



**Department of  
Design and  
Construction**

**CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE  
VOLUME 1 – BID BOOKLET (FTA FUNDED)**

**TABLE OF CONTENTS**

Introduction .....	2
Bid Submission Requirements.....	3
Notices to Bidders .....	4
Pre Bid Questions (PBQs) .....	4
NYC Contract Financing Loan Fund .....	4
M/WBE Notice to Prospective Contractors .....	5
Affirmation .....	13
Bid Schedule .....	15
Pre-Award Process .....	17
Project References .....	19
A. Contracts completed by the bidder .....	19
B. Contracts currently under construction by the bidder .....	20
C. Pending contracts not yet started by the bidder .....	21

## **Introduction**

This Bid Booklet is intended to provide general information necessary for bidding on a DDC public works project and is part of the Contract Documents, as per Article 1.1 of the Standard Construction Contract.

As this contract is solicited via the PASSPort system, the bidder will be required to complete all of the PASSPort forms and questionnaires. These forms and questionnaires, along with the bidder's responses, will become part of the Bid Booklet.

Additional information on the PASSPort system can be found at the following website:

<https://www1.nyc.gov/site/mocs/systems/passport-user-materials.page>

# **Bid Submission Requirements**

THE FOLLOWING MUST BE COMPLETED AND SUBMITTED FOR THE BID TO BE CONSIDERED RESPONSIVE:

1. Completed electronic bid submission in PASSPort;
  - a. All required fields in PASSPort must be completed.
2. One-page signed Bid Submission Form delivered in person to DDC before the bid due date; and
3. Bid security, if required.
  - a. If Bid security is in a form of a bid bond, bidders must include it with their electronic PASSPort submission.
  - b. If Bid security is in a form of a certified check, bidders must deliver the certified check with the signed Bid Submission Form.

**BIDDERS ARE ADVISED THAT PAPER BID SUBMISSIONS WILL BE DEEMED NON-RESPONSIVE. BIDDERS MUST SUBMIT THEIR BIDS ELECTRONICALLY IN PASSPORT, PROVIDE THE BID SECURITY, AND DELIVER TO DDC THE ONE-PAGE SIGNED BID SUBMISSION FOR THE BID TO BE CONSIDERED RESPONSIVE.**

THE FOLLOWING MAY RESULT IN THE BID BEING FOUND NON-RESPONSIVE:

1. Any discrepancy between the total bid price listed on the Bid Submission Form and the bid information submitted in PASSPort.
2. Failure to upload required files or documents as part of a mandatory PASSPort Questionnaire response.
  - a. For clarity, this includes uploading the DBE Schedule of Utilization on a form other than the Excel file provided in the PASSPort Questionnaire.

## **Notices to Bidders**

### **Pre Bid Questions (PBQs)**

Please be advised that PBQs should be submitted to the Agency Contact Person ([CSB\\_projectinquiries@ddc.nyc.gov](mailto:CSB_projectinquiries@ddc.nyc.gov)) at least five (5) business days (by 5:00 PM EST) prior to the bid opening date as indicated in the PASSPort procurement.

All PBQs must reference the Project ID. If a bidder has multiple PBQs for the same Project ID, the PBQs must be numbered sequentially, even if they are submitted separately.

While the PASSPort system has a facility for submitting inquiries, bidders are directed to send PBQs as directed above instead of using the PASSPort inquiry system.

**Inquiries sent using the PASSPort inquiry system will not be considered PBQs.**

### **NYC Contract Financing Loan Fund**

If your business is working as a prime or subcontractor on a project with a City agency or City-funded entity, you may be eligible for a Contract Financing Loan from a participating lender coordinated with the NYC Department of Small Business Services (SBS). Loan repayment terms align with the contract payment schedule.

For more information: Call 311 or visit <https://www1.nyc.gov/nycbusiness/article/contract-financing-loan-fund>

# M/WBE Notice to Prospective Contractors

## **PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT (9/2020 version)**

### **ARTICLE I. M/WBE PROGRAM**

Section 6-129 of the Administrative Code of the City of New York ("Section 6-129") establishes the program for participation in City procurement ("M/WBE Program") by minority-owned business enterprises ("MBEs") and women-owned business enterprises ("WBEs"), certified in accordance with Section 1304 of the New York City Charter. As stated in Section 6-129, the intent of the program is to address the impact of discrimination on the City's procurement process, and to promote the public interest in avoiding fraud and favoritism in the procurement process, increasing competition for City business, and lowering contract costs. The contract provisions contained herein are pursuant to Section 6-129, and the rules of the Department of Small Business Services ("DSBS") promulgated thereunder.

**If this Contract is subject to the M/WBE Program established by Section 6-129, the specific requirements of MBE and/or WBE participation for this Contract are set forth in Schedule B of the Contract (entitled the "M/WBE Utilization Plan") and are detailed below. Contracts solicited through the Procurement and Sourcing Solutions Portal (PASSPort) will contain Schedule B in the format outlined in the Schedule B – M/WBE Utilization Plan & PASSPort rider. The provisions of this notice will apply to all contracts subject to the M/WBE Program established by Section 6-129 regardless of solicitation source.**

**The Contractor must comply with all applicable MBE and WBE requirements for this Contract.**

All provisions of Section 6-129 are hereby incorporated in the Contract by reference and all terms used herein that are not defined herein shall have the meanings given such terms in Section 6-129.

References to MBEs and WBEs shall also include such businesses certified pursuant to the executive law where credit is required by Section 11 of the New York City Charter or other provision of law.

Article I, Part A, below, sets forth provisions related to the participation goals for construction, standard and professional services contracts.

Article I, Part B, below, sets forth miscellaneous provisions related to the M/WBE Program.

### **PART A**

#### **PARTICIPATION GOALS FOR CONSTRUCTION, STANDARD**

#### **AND PROFESSIONAL SERVICES CONTRACTS OR TASK ORDERS**

1. The **MBE and/or WBE Participation Goals** established for this Contract or Task Orders issued pursuant to this Contract, ("**Participation Goals**"), as applicable, are set forth on Schedule B, Part 1 to this Contract (see Page 1, Line 1 Total Participation Goals) or will be set forth on Schedule B, Part 1 to Task Orders issued pursuant to this Contract, as applicable.

The **Participation Goals** represent a percentage of the total dollar value of the Contract or Task Order, as applicable, that may be achieved by awarding subcontracts to firms certified with DSBS as MBEs and/or WBEs, and/or by crediting the participation of prime contractors and/or qualified joint ventures as provided in Section 3 below, unless the goals have been waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

2. If **Participation Goals** have been established for this Contract or Task Orders issued pursuant to this Contract, Contractor agrees or shall agree as a material term of the Contract that Contractor shall be subject to the **Participation Goals**, unless the goals are waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

3. If **Participation Goals** have been established for this Contract or Task Order issued pursuant to this Contract, a Contractor that is an MBE and/or WBE shall be permitted to count its own participation toward fulfillment of the relevant **Participation Goal**, provided that in accordance with Section 6-129 the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that the Contractor pays to direct subcontractors (as defined in Section 6-129(c)(13)), and provided further that a Contractor that is certified as both an MBE and a WBE may count its own participation either toward the goal for MBEs or the goal for WBEs, but not both.

A Contractor that is a qualified joint venture (as defined in Section 6-129(c)(30)) shall be permitted to count a percentage of its own participation toward fulfillment of the relevant **Participation Goal**. In accordance with Section 6-129, the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that Contractor pays to direct subcontractors, and then multiplying the remainder by the percentage to be applied to total profit to determine the amount to which an MBE or WBE is entitled pursuant to the joint venture agreement, provided that where a participant in a joint venture is certified as both an MBE and a WBE, such amount shall be counted either toward the goal for MBEs or the goal for WBEs, but not both.

4. A. If **Participation Goals** have been established for this Contract, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Utilization Plan, Part 2 (see Pages 1-2) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; (c) in cases where the contractor intends to award direct subcontractors, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs and the time frame in which such work is scheduled to begin and end; as well as the name, address, and telephone number of the M/WBE subcontractors if required by the solicitation; and (d) the prospective contractor's required certification and affirmations. In the event that this M/WBE Utilization Plan indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals** in the bid or proposal, as applicable, shall be deemed non-responsive, unless Agency has granted the bidder or proposer, as applicable, a pre-award waiver of the **Participation Goals** in accordance with Section 6-129 and Part A, Section 10 below.

B. (i) If this Contract is for a master services agreement or other requirements type contract that will result in the issuance of Task Orders that will be individually registered ("Master Services Agreement") and is subject to M/WBE **Participation Goals**, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Participation Requirements for Master Services Agreements That Will Require Individually Registered Task Orders, Part 2 (page 2) indicating the prospective contractor's certification and required affirmations to make all reasonable good faith efforts to meet participation goals established on each individual Task Order issued pursuant to this Contract, or if a partial waiver is obtained or such goals are modified by the Agency, to meet the modified **Participation Goals** by soliciting and obtaining the participation of certified MBE and/or WBE firms. In the event that the Schedule B indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals** that may be established on Task Orders issued pursuant to this Contract, the bid or proposal, as applicable, shall be deemed non-responsive.

(ii) **Participation Goals** on a Master Services Agreement will be established for individual Task Orders issued after the Master Services Agreement is awarded. If **Participation Goals** have been established on a Task Order, a contractor shall be required to submit a Schedule B – M/WBE Utilization Plan For Independently Registered Task Orders That Are Issued Pursuant to Master Services Agreements, Part 2 (see Pages 1-2) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end; as well as the name, addresses, and telephone numbers of the M/WBE subcontractors if required by the solicitation; and (d) the prospective contractor's required certification and affirmations. The contractor must engage in good faith efforts to meet the **Participation Goals** as established for the Task Order unless Agency has granted the contractor a pre-award waiver of the **Participation Goals** in accordance with Section 6-129 and Part A, Section 10 below.

**C. THE BIDDER/PROPOSER MUST COMPLETE THE SCHEDULE B INCLUDED HEREIN (SCHEDULE B, PART 2). A SCHEDULE B SUBMITTED BY THE BIDDER/PROPOSER WHICH DOES NOT INCLUDE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS WILL BE DEEMED TO BE NON-RESPONSIVE, UNLESS A FULL WAIVER OF PARTICIPATION GOALS IS GRANTED (SCHEDULE B, PART 3). IN THE EVENT THAT THE CITY DETERMINES THAT THE BIDDER/PROPOSER HAS SUBMITTED A SCHEDULE B WHERE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS ARE COMPLETE BUT OTHER ASPECTS OF THE SCHEDULE B ARE NOT COMPLETE, OR CONTAIN A COPY OR COMPUTATION ERROR THAT IS INCONSISTENT WITH THE VENDOR CERTIFICATION AND AFFIRMATIONS, THE BIDDER/PROPOSER WILL BE NOTIFIED BY THE AGENCY AND WILL BE GIVEN FOUR (4) CALENDAR DAYS FROM RECEIPT OF NOTIFICATION TO CORRECT THE SPECIFIED DEFICIENCIES AND RETURN A COMPLETED SCHEDULE B TO THE AGENCY. FAILURE TO DO SO WILL RESULT IN A DETERMINATION THAT THE BID/PROPOSAL IS NON-RESPONSIVE. RECEIPT OF NOTIFICATION IS DEFINED AS THE DATE NOTICE IS E-MAILED OR FAXED (IF THE BIDDER/PROPOSER HAS PROVIDED AN E-MAIL ADDRESS OR FAX NUMBER), OR NO LATER THAN FIVE (5) CALENDAR DAYS FROM THE DATE OF MAILING OR UPON DELIVERY, IF DELIVERED.**

5. Where an MBE/WBE Utilization Plan has been submitted, the Contractor shall, within 30 days of issuance by Agency of a notice to proceed, submit a list of proposed persons or entities to which it intends to award subcontracts within the subsequent 12 months. In the case of multi-year contracts, such list shall also be submitted every year hereafter. The Agency may also require the Contractor to report periodically about the contracts awarded by its direct subcontractors to indirect subcontractors (as defined in Section 6-129(c)(22)). **PLEASE NOTE that this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor must identify all those to which it intends to award construction subcontracts for any portion of the Wicks trade work at the time of bid submission, regardless of what point in the life of the contract such subcontracts will occur. In identifying intended subcontractors in the bid submission, bidders may satisfy any Participation Goals established for this Contract by proposing one or more subcontractors that are MBEs and/or WBEs for any portion of the Wicks trade work.** In the event that the Contractor's selection of a subcontractor is disapproved, the Contractor shall have a reasonable time to propose alternate subcontractors.

6. MBE and WBE firms must be certified by DSBS in order for the Contractor to credit such firms' participation toward the attainment of the **Participation Goals**. Such certification must occur prior to the firms' commencement of work. A list of city-certified MBE and WBE firms may be obtained from the DSBS

website at [www.nyc.gov/buycertified](http://www.nyc.gov/buycertified), by emailing DSBS at [buyer@sbs.nyc.gov](mailto:buyer@sbs.nyc.gov), by calling (212) 513-6451, or by visiting or writing DSBS at One Liberty Plaza, New York, New York, 10006, 11<sup>th</sup> floor. Eligible firms that have not yet been certified may contact DSBS in order to seek certification by visiting [www.nyc.gov/getcertified](http://www.nyc.gov/getcertified), emailing [MWBE@sbs.nyc.gov](mailto:MWBE@sbs.nyc.gov), or calling the DSBS certification helpline at (212) 513-6311. A firm that is certified as both an MBE and a WBE may be counted either toward the goal for MBEs or the goal for WBEs, but not both. No credit shall be given for participation by a graduate MBE or graduate WBE, as defined in Section 6-129(c)(20).

7. Where an **M/WBE** Utilization Plan has been submitted, the Contractor shall, with each voucher for payment, and/or periodically as Agency may require, submit statements, certified under penalty of perjury, which shall include, but not be limited to, the total amount the Contractor paid to its direct subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount direct subcontractors paid to indirect subcontractors; the names, addresses and contact numbers of each MBE or WBE hired as a subcontractor by the Contractor, and, where applicable, hired by any of the Contractor's direct subcontractors; and the dates and amounts paid to each MBE or WBE. The Contractor shall also submit, along with its voucher for final payment: the total amount it paid to subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount its direct subcontractors paid directly to their direct subcontractors; and a final list, certified under penalty of perjury, which shall include the name, address and contact information of each subcontractor that is an MBE or WBE, the work performed by, and the date and amounts paid to each.

8. If payments made to, or work performed by, MBEs or WBEs are less than the amount specified in the Contractor's **M/WBE** Utilization Plan, Agency shall take appropriate action in accordance with Section 6-129 and Article II below, unless the Contractor has obtained a modification of its **M/WBE** Utilization Plan in accordance with Section 6-129 and Part A, Section 1 below.

9. Where an **M/WBE** Utilization Plan has been submitted, and the Contractor requests a change order the value of which equals or is greater than 10 percent of the Contract or Task Order, as applicable, or \$500,000, Agency shall review the scope of work for the Contract or Task Order, as applicable, and the scale and types of work involved in the change order, and determine whether the **Participation Goals** should be modified.

10. Pre-award waiver of the **Participation Goals**.

(a) A bidder or proposer or contractor with respect to a Task Order, may seek a pre-award full or partial waiver of the **Participation Goals** in accordance with Section 6-129, which requests that Agency change one or more **Participation Goals** on the grounds that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, or by demonstrating that it has legitimate business reasons for proposing a lower level of subcontracting in its **M/WBE** Utilization Plan.

(b) To apply for a full or partial waiver of the **Participation Goals**, a bidder, proposer, or contractor, as applicable, must complete Part 3 of Schedule B and submit such request no later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due, in writing to the Agency by email at [MWBEModification@ddc.nyc.gov](mailto:MWBEModification@ddc.nyc.gov). Full or partial waiver requests that are received later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due may be rejected as untimely. Bidders, proposers, or contractors, as applicable, who have submitted timely requests will receive an Agency response by no later than two (2) calendar days prior to the due date for bids, proposals, or Task Orders; provided, however, that if that date would fall on a weekend or holiday, an Agency response will be provided by close-of-business on the business day before such weekend or holiday date.



(c) If the Agency determines that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, it shall revise the solicitation and extend the deadline for bids and proposals, or revise the Task Order, as applicable.

(d) Agency may grant a full or partial waiver of the **Participation Goals** to a bidder, proposer or contractor, as applicable, who demonstrates—before submission of the bid, proposal or Task Order, as applicable—that it has legitimate business reasons for proposing the level of

subcontracting in its **M/WBE** Utilization Plan. In making its determination, Agency shall consider factors that shall include, but not be limited to, whether the bidder, proposer or contractor, as applicable, has the capacity and the bona fide intention to perform the Contract without any subcontracting, or to perform the Contract without awarding the amount of subcontracts represented by the **Participation Goals**. In making such determination, Agency may consider whether the **M/WBE** Utilization Plan is consistent with past subcontracting practices of the bidder, proposer or contractor, as applicable, whether the bidder, proposer or contractor, as applicable, has made efforts to form a joint venture with a certified firm, and whether the bidder, proposer, or contractor, as applicable, has made good faith efforts to identify other portions of the Contract that it intends to subcontract.

11. Modification of **M/WBE** Utilization Plan. (a) A Contractor may request a modification of its **M/WBE** Utilization Plan after award of this Contract. **PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York State or if the Contract is subject to a project labor agreement in accordance with Labor Law § 220 and the bidder is required to identify at the time of bid submission its intended subcontractors for the Vicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilation and air conditioning (HVAC); and electric wiring), the Contractor may request Modification of its M/WBE Utilization Plan as part of its bid submission.**

The Agency may grant a request for Modification of a contractor's **M/WBE** Utilization Plan if it determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts to meet the **Participation Goals**. In making such determination, Agency shall consider evidence of the following efforts, as applicable, along with any other relevant factors:

(i) The Contractor advertised opportunities to participate in the Contract, where appropriate, in general circulation media, trade and professional association publications and small business media, and publications of minority and women's business organizations;

(ii) The Contractor provided notice of specific opportunities to participate in the Contract, in a timely manner, to minority and women's business organizations;

(iii) The Contractor sent written notices, by certified mail or facsimile, in a timely manner, to advise MBEs or WBEs that their interest in the Contract was solicited;

(iv) The Contractor made efforts to identify portions of the work that could be substituted for portions originally designated for participation by MBEs and/or WBEs in the **M/WBE** Utilization Plan, and for which the Contractor claims an inability to retain MBEs or WBEs;

(v) The Contractor held meetings with MBEs and/or WBEs prior to the date their bids or proposals were due, for the purpose of explaining in detail the scope and requirements of the work for which their bids or proposals were solicited;

(vi) The Contractor made efforts to negotiate with MBEs and/or WBEs as relevant to perform specific subcontracts, or act as suppliers or service providers;

(vii) Timely written requests for assistance made by the Contractor to Agency's M/WBE liaison officer and to DSBS;

(viii) Description of how recommendations made by DSBS and Agency were acted upon and an explanation of why action upon such recommendations did not lead to the desired level of participation of MBEs and/or WBEs.

Agency's M/WBE officer shall provide written notice to the Contractor of the determination.

(b) The Agency may modify the **Participation Goals** when the scope of the work has been changed by the Agency in a manner that affects the scale and types of work that the Contractor indicated in its **M/WBE Utilization Plan** would be awarded to subcontractors.

12. If the Contractor was required to identify in its bid or proposal the MBEs and/or WBEs they intended to use in connection with the performance of the Contract or Task Order, substitutions to the identified firms may only be made with the approval of the Agency, which shall only be given when the Contractor has proposed to use a firm that would satisfy the **Participation Goals** to the same extent as the firm previously identified, unless the Agency determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts. In making such determination, the Agency shall require evidence of the efforts listed in Section 6-129(a) above, as applicable, along with any other relevant factors.

13. If this Contract is for an indefinite quantity of construction, standard professional services or is a requirements type contract and the Contractor has submitted an **M/WBE Utilization Plan** and has committed to subcontract work to MBEs and/or WBEs in order to meet the **Participation Goals**, the Contractor will not be deemed in violation of the **M/WBE Program** requirements for this Contract with regard to any work which was intended to be subcontracted to an MBE and/or WBE to the extent that the Agency has determined that such work is not needed.

14. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, at least once annually during the term of the contract or Task Order, as applicable, Agency shall review the Contractor's progress in attainment of its **M/WBE Utilization Plan**, including but not limited to, by reviewing the percentage of work the Contractor has actually awarded to MBE and/or WBE subcontractors and the payments the Contractor made to such subcontractors.

15. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, Agency shall evaluate and assess the Contractor's performance in meeting those goals, and such evaluation and assessment shall become part of the Contractor's overall contract performance evaluation.

## **PART B**

### **MISCELLANEOUS**

1. The Contractor shall take notice that, if this solicitation requires the establishment of a **M/WBE Utilization Plan**, the resulting contract may be audited by DSBS to determine compliance with Section 6-129. See §6-129(e)(10). Furthermore, such resulting contract may also be examined by the City's Comptroller to assess compliance with the **M/WBE Utilization Plan**.

2. Pursuant to DSBS rules, construction contracts that include a requirement for a **M/WBE Utilization Plan** shall not be subject to the law governing Locally Based Enterprises set forth in Section 6-108.1 of the Administrative Code of the City of New York.

3. DSBS is available to assist contractors and potential contractors in determining the availability of MBEs and/or WBEs to participate as subcontractors, and in identifying opportunities that are appropriate for participation by MBEs and/or WBEs in contracts.

4. Prospective contractors are encouraged to enter into qualified joint venture agreements with MBEs and/or WBEs as defined by Section 6-129(c)(30).

5. By submitting a bid or proposal the Contractor hereby acknowledges its understanding of the M/WBE Program requirements set forth herein and the pertinent provisions of Section 6-129, and any rules promulgated thereunder, and if awarded this Contract, the Contractor hereby agrees to comply with the M/WBE Program requirements of this Contract and pertinent provisions of Section 6-129, and any rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract. The Contractor hereby agrees to make all reasonable, good faith efforts to solicit and obtain the participation of MBEs and/or WBEs to meet the required **Participation Goals**.

## ARTICLE II. ENFORCEMENT

1. If Agency determines that a bidder or proposer, as applicable, has violated this procurement, violated Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, Agency may disqualify such bidder or proposer, as applicable, from competing for this Contract and the Agency may revoke such bidder's or proposer's prequalification status, if applicable.

2. Whenever Agency believes that the Contractor or a subcontractor is in non-compliance with Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to any **M/WBE Utilization Plan**, Agency shall send a written notice to the Contractor describing the alleged noncompliance and offering the Contractor an opportunity to be heard. Agency shall then conduct an investigation to determine whether such Contractor or subcontractor is in compliance.

3. In the event that the Contractor has been found to have violated Section 6-129, the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to, any **M/WBE Utilization Plan**, Agency may determine that one of the following actions should be taken:

- (a) entering into an agreement with the Contractor allowing the Contractor to cure the violation;
- (b) revoking the Contractor's pre-qualification to bid or make proposals for future contracts;
- (c) making a finding that the Contractor is in default of the Contract;
- (d) terminating the Contract;
- (e) declaring the Contractor to be in breach of Contract;
- (f) withholding payment or reimbursement;
- (g) determining not to renew the Contract;
- (h) assessing actual and consequential damages;
- (i) assessing liquidated damages or reducing fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the M/WBE Program, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional

procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;

(j) exercising rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or

(k) taking any other appropriate remedy.

4. If an **M/WBE** Utilization Plan has been submitted, and pursuant to this Article II, Section 3, the Contractor has been found to have failed to fulfill its **Participation Goals** contained in its **M/WBE** Utilization Plan or the **Participation Goals** as modified by Agency pursuant to Article I, Part A, Section 11, Agency may assess liquidated damages in the amount of ten percent (10%) of the difference between the dollar amount of work required to be awarded to MBE and/or WBE firms to meet the **Participation Goals** and the dollar amount the Contractor actually awarded and paid, and/or credited, to MBE and/or WBE firms. In view of the difficulty of accurately ascertaining the loss which the City will suffer by reason of Contractor's failure to meet the **Participation Goals**, the foregoing amount is hereby fixed and agreed as the liquidated damages that the City will suffer by reason of such failure, and not as a penalty. Agency may deduct and retain out of any monies which may become due under this Contract the amount of any such liquidated damages; and in case the amount which may become due under this Contract shall be less than the amount of liquidated damages suffered by the City, the Contractor shall be liable to pay the difference.

5. Whenever Agency has reason to believe that an MBE and/or WBE is not qualified for certification, or is participating in a contract in a manner that does not serve a commercial useful function (as defined in Section 6-129(c)(8)), or has violated any provision of Section 6-129, Agency shall notify the Commissioner of DSBS who shall determine whether the certification of such business enterprise should be revoked.

6. Statements made in any instrument submitted to Agency pursuant to Section 6-129 shall be submitted under penalty of perjury and any false or misleading statement or omission shall be grounds for the application of any applicable criminal and/or civil penalties for perjury. The making of a false or fraudulent statement by an MBE and/or WBE in any instrument submitted pursuant to Section 6-129 shall, in addition, be grounds for revocation of its certification.

7. The Contractor's record in implementing its **M/WBE** Utilization Plan shall be a factor in the evaluation of its performance. Whenever Agency determines that a Contractor's compliance with an **M/WBE** Utilization Plan has been unsatisfactory, Agency shall, after consultation with the City Chief Procurement Officer, file an advice of caution form for inclusion in PASSPort as caution data.

## **Affirmation**

The Bidder affirms and declares:

1. The said bidder is of lawful age and the only one interested in this bid; and no person, firm or corporation other than hereinbefore named has any interest in this bid, or in the Contract proposed to be taken.
2. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief: (1) the prices in this bid have been arrived at independently without collusion, consultation, communication or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor; (2) unless otherwise required by law, the prices quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and (3) no attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.
3. No councilman or other officer or employee or person whose salary is payable in whole or in part from the City Treasury is directly or indirectly interested in this bid, or in the supplies, materials, equipment, work or labor to which it relates, or in any of the profits thereof.
4. The bidder is not in arrears to the City of New York upon debt or contract or taxes, and is not a defaulter, as surety or otherwise, upon any obligation of the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York or State of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except as disclosed in PASSPort.
5. The bidder hereby affirms that it has paid all applicable City income, excise and other taxes for all it has conducted business activities in New York City.
6. The bidder, as an individual, or as a member, partner, director or officer of the bidder, if the same be a firm, partnership or corporation, executes this document expressly warranting and representing that should this bid be accepted by the City and the Contract awarded to him, he and his subcontractors engaged in the performance:

(1) will comply with the provisions of Section 6-108 of the Administrative Code of the City of New York and the non-discrimination provisions of Section 220a of the New York State Labor Law, as more expressly and in detail set forth in the Agreement; (2) will comply with Section 6-109 of the Administrative Code of the City of New York in relation to minimum wages and other stipulations as more expressly and in detail set forth in the Agreement; (3) have complied with the provisions of the aforesaid laws since their respective effective dates, and (4) will post notices to be furnished by the City, setting forth the requirements of the aforesaid laws in prominent and conspicuous places in each and every plant, factory, building and structure where employees engaged in the performance of the Contract can readily view it, and will continue to keep such notices posted until the supplies, materials and equipment, or work labor and services required to be furnished or rendered by the Contractor have been finally accepted by the City. In the event of any breach or violation of the foregoing, the Contractor may be subject to damages, liquidated or otherwise, cancellation of the Contract and suspension as a bidder for a period of three years. (The words, "the bidder", "he", "his", and "him" where used shall mean the individual bidder, firm, partnership or corporation executing this bid).

## 7. Compliance Report

The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, (1) represents that his attention has been specifically drawn to Executive Order No. 50, dated April 25, 1980, on Equal Employment Compliance of the contract, and (2) warrants that he will comply with the provisions of Executive Order No. 50. The Employment Report must be submitted as part of the bid.

8. The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, executes this document expressly warranting that he will comply with: (1) the provision of the contract on providing records, Chapter 8.
9. By submission of this bid, the bidder certifies that it now has and will continue to have the financial capability to fully perform the work required for this contract. Any award of this contract will be made in reliance upon such certification. Upon request therefor, the bidder will submit written verification of such financial capability in a form that is acceptable to the department.
10. In accordance with Section 165 of the State Finance Law, the bidder agrees that tropical hardwoods, as defined in Section 165 of the State Finance Law, shall not be utilized in the performance of this Contract, except as the same are permitted by the foregoing provision of law.
11. The bidder has visited and examined the site of the work and has carefully examined the Contract in the form approved by the Corporation Counsel, and will execute the Contract and perform all its items, covenants and conditions, and will provide, furnish and deliver all the work, materials, supplies, tools and appliances for all labor and materials necessary or required for the hereinafter named work, all in strict conformity with the Contract, for the prices set forth in the Bid Schedule.

**BID SUBMISSION FORM**

Bidder Name: J. Pizzirusso Landscaping Corp  
Procurement Title: 85023B0057-HWCSCHPKR – SAFE ROUTES TO SCHOOLS, BROOKLYN AND STATEN ISLAND  
RFx Name: 85023B0057-HWCSCHPKR – SAFE ROUTES TO SCHOOLS, BROOKLYN AND STATEN ISLAND

The above-named bidder affirms and declares:

1. The bidder has completed and submitted all required information for the above procurement in the PASSPort system;
2. Any discrepancy between the bid price listed on this Bid Submission Form and the bid information submitted in PASSPort may result in the agency finding the bid non-responsive; and
3. This bid is being submitted in accordance with New York State General Municipal Law § 103.

Total Bid Price: \$ 16,946,000.00  
(a/k/a Total Amount)


**Bidder Signature**

EIN (if applicable): 11-3539578

(EIN must match the EIN of the entity that submitted bid information in PASSPort)

Bidder Name: J. Pizzirusso Landscaping Corp.

By: Joseph Pizzirusso V.P.  
(Name of Partner of Corporate Officer)

Signature:   
(Signature of Partner of Corporate Officer)

FORM OF BID BOND

KNOW ALL MEN BY THESE PRESENTS. That we, \_\_\_\_\_  
J. Pizzirusso Landscaping Corp.  
2400 East 69th Street, Brooklyn, NY 11234

hereinafter referred to as the "Principal", and \_\_\_\_\_  
Liberty Mutual Insurance Company  
175 Berkeley Street, Boston, MA 02116

hereinafter referred to as the "Surety" are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "CITY", or to its successors and assigns in the penal sum of \_\_\_\_\_

Ten Percent (10%) of Total Amount Bid

(\$ \_\_\_\_\_ 10% \_\_\_\_\_), Dollars lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

Whereas, the Principal is about to submit (or has submitted) to the City the accompanying proposal, hereby made a part hereof, to enter into a contract in writing for \_\_\_\_\_

Replacement of Regulator Chamber Roof - BED-827

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said Proposal without the consent of the City for a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's Proposal by the City, if the Principal shall:

(a) Within ten (10) days after notification by the City, execute in quadruplicate and deliver to the City all the executed counterparts of the Contract in the form set forth in the Contract Documents, in accordance with the proposal as accepted, and

(b) Furnish a performance bond and separate payment bond, as may be required by the City, for the faithful performance and proper fulfillment of such Contract, which bonds shall be satisfactory in all respects to the City and shall be executed by good and sufficient sureties, and

(c) In all respects perform the agreement created by the acceptance of said Proposal as provided in the Information for Bidders, bound herewith and made a part hereof, or if the City shall reject the aforesaid Proposal, then this obligation shall be null and void; otherwise to remain in full force and effect.



In the event that the Proposal of the Principal shall be accepted and the Contract be awarded to the Principal the Surety hereunder agrees subject only to the payment by the Principal of the premium therefore, if requested by the City, to write the aforementioned performance and payment bonds in the form set forth in the Contract Documents.

It is expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall in no event exceed the penal amount of this obligation as herein stated.

There shall be no liability under this bond if, in the event of the acceptance of the Principal's Proposal by the City, either a performance bond or payment bond, or both, shall not be required by the City on or before the 30th day after the date on which the City signs the Contract.

The surety, for the value received, hereby stipulates and agrees that the obligations of the Surety and its bond shall in no way be impaired or affected by any postponements of the date upon which the City will receive or open bids, or by any extensions of the time within which the City may accept the Principal's Proposal, or by any waiver by the City of any of the requirements of the Information for Bidders, and the Surety hereby waives notice of any such postponements, extensions, or waivers.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers the 17th day of February, 2023.

(Seal)

J. Pizzirusso Landscaping Corp. (L.S.)  
Principal

By: 

(Seal)

Liberty Mutual Insurance Company  
Surety

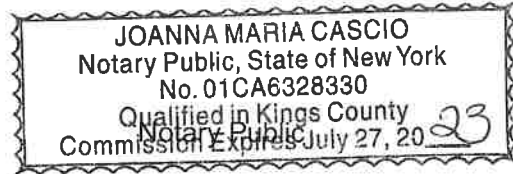
By:   
Loriann P. Fay, Attorney-in-Fact

ACKNOWLEDGMENT OF PRINCIPAL, IF A CORPORATION

State of New York County of KINGS ss:  
On this 10<sup>th</sup> day of MARCH, 2023, before me personally came  
JOSEPH PIZZIRUSSO to me known, who, being by me duly sworn, did  
depose and say that he/she/they resides at  
NASSAU COUNTY  
that he/she/they is the VICE PRESIDENT of  
J. Pizzirusso Landscaping Corp.

the corporation described in and which executed the foregoing instrument; that he/she/they knows the seal of said corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of the directors of said corporation, and that he/she/they signed his name thereto by like order.

Joanna Cascio



ACKNOWLEDGMENT OF PRINCIPAL, IF A PARTNERSHIP

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:  
On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally  
appeared \_\_\_\_\_ to me known and known to me to be one of  
the members of the firm of \_\_\_\_\_ described in and  
who executed the foregoing instrument, and he/she/they acknowledged to me that he/she/they  
executed the same as and for the act and deed of said firm.

\_\_\_\_\_  
Notary Public

ACKNOWLEDGMENT OF PRINCIPAL, IF AN INDIVIDUAL

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:  
On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally  
appeared \_\_\_\_\_ to me known and known to me to be the  
person described in and who executed the foregoing instrument and acknowledged that  
he/she/they executed the same.

\_\_\_\_\_  
Notary Public

AFFIX ACKNOWLEDGMENTS AND JUSTIFICATION OF SURETIES

**SURETY COMPANY'S ACKNOWLEDGMENT**

STATE OF   New York   )  
COUNTY OF   Suffolk   ) ss.:  
CITY OF   East Northport   )

On this   17th   day of   February   in the year   2023   before me personally came   Loriann P. Fay  , to me known, who, being by me duly sworn, did depose and say that he/she/they reside(s) in   East Northport, NY  , that he/she/they (is) (are) the   Attorney-In-Fact   duly appointed of the   Liberty Mutual Insurance Company   the corporation described in and which executed the above instrument; that he/she/they know(s) the seal of said corporation; that the seal affixed to said instrument is such corporate seal; is such corporate seal; that it was so affixed by authority of the board of directors of said corporation, and that he/she/they signed his/her/their name(s) thereto by like authority.

  
NOTARY PUBLIC

**Geoffrey Lesniak**  
Notary Public, State of New York  
No. 01LE6376846  
Qualified in Erie County  
Commission Expires June 18, 2026



This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Liberty Mutual Insurance Company  
The Ohio Casualty Insurance Company  
West American Insurance Company

Certificate No. 8200197

### POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the Companies), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Loriann P. Fay

all of the city of Northport state of NY each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 31st day of December, 2018.



Liberty Mutual Insurance Company  
The Ohio Casualty Insurance Company  
West American Insurance Company

By: David M. Carey  
David M. Carey, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

State of PENNSYLVANIA ss  
County of MONTGOMERY

On this 31st day of December, 2018 before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Teresa Pastella, Notary Public  
Upper Merion Twp., Montgomery County  
My Commission Expires March 26, 2021  
Member, Pennsylvania Association of Notaries

By: Teresa Pastella  
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

**ARTICLE IV - OFFICERS: Section 12. Power of Attorney.**

Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

**ARTICLE XIII - Execution of Contracts: Section 5. Surety Bonds and Undertakings.**

Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

**Certificate of Designation** - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

**Authorization** - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 17th day of February, 2023



By: Renee C. Llewellyn  
Renee C. Llewellyn, Assistant Secretary

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.



LIBERTY MUTUAL INSURANCE COMPANY  
 FINANCIAL STATEMENT — DECEMBER 31, 2021

<b>Assets</b>	<b>Liabilities</b>
Cash and Bank Deposits .....	Unearned Premiums .....
*Bonds — U.S Government .....	Reserve for Claims and Claims Expense .....
*Other Bonds .....	Funds Held Under Reinsurance Treaties .....
*Stocks .....	Reserve for Dividends to Policyholders .....
Real Estate .....	Additional Statutory Reserve .....
Agents' Balances or Uncollected Premiums .....	Reserve for Commissions, Taxes and
Accrued Interest and Rents .....	Other Liabilities .....
Other Admitted Assets .....	<b>Total .....</b>
	Special Surplus Funds .....
	Capital Stock .....
	Paid in Surplus .....
	Unassigned Surplus .....
	<b>Surplus to Policyholders .....</b>
	<b>Total Liabilities and Surplus .....</b>
<b>Total Admitted Assets .....</b>	

**Total Admitted Assets .....** **\$65,530,745.401**

**Total .....** **\$43,481,129,334**  
 Special Surplus Funds .....



\* Bonds are stated at amortized or investment value; Stocks at Association Market Values.  
 The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2021, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 8<sup>th</sup> day of March, 2022.

*T. Mikolajewski*

Assistant Secretary

**SPECIAL EXPERIENCE REQUIREMENTS FOR THE BIDDER:**

The Special Experience Requirements set forth below apply to the bidder. Compliance with such Special Experience Requirements will be determined solely by the City prior to an award of contract. Failure to comply with the Special Experience Requirements will result in rejection of the bid as non-responsive.

**The requirements in this Section (A) apply to this contract where indicated by a blackened box (■).**

- The bidder must, within the last seven (7) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least one (1) project similar in scope and type to the required work. Such prior project may have been performed as a prime contractor, subcontractor or sub-subcontractor.

The Special Experience Requirements next to the blackened box below apply to the bidder. If the bidder intends to perform such work itself, it must demonstrate compliance with the Special Experience Requirements. If the bidder intends to subcontract this work, the proposed subcontractor or sub-subcontractor must demonstrate compliance with the Special Experience Requirements. The contractor, subcontractor or sub-subcontractor (hereinafter referred to as the "Entity") that will perform any specific area of work indicated by the blackened box below, may have performed the required prior project(s) as a prime contractor, subcontractor or sub-subcontractor. Once approved, no substitution will be permitted, unless the qualifications of the proposed replacement have been approved in writing in advance by the City.

- Trunk Water Main Work:** The Entity that will perform the trunk water main work must, within the last seven (7) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least one (1) project similar in scope and type to the required work.
- Best Management Practice Work:** Best Management Practice ("BMP") Work is any item of work in the Bid Schedule that begins with the prefix "BMP". The Entity that will perform any BMP Work must, within the last five (5) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least three (3) projects similar in scope and type to the required work.  
For professional services in connection with BMP Work (i.e., monitoring and reporting services), the individual who will perform the required services must, within the last five (5) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least three (3) projects similar in scope and type to the required work. Additional requirements are set forth below.
  - The individual serving as the Restoration Specialist (Construction Monitor) must be a Registered Landscape Architect licensed by the state of New York, or must have equivalent professional experience.
  - The individual serving as the Erosion and Sediment Control Licensed/Certified Professional must be a Certified Professional in Erosion and Sediment Control (CPESC), certified by CPESC, Inc.
- Micro-Tunneling/Pipe Jacking Work:** The Entity that will perform the micro-tunneling/pipe jacking work must, within the last five (5) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least two (2) projects similar in scope and type to the required work.
- OTHER:** \_\_\_\_\_  
\_\_\_\_\_

**SPECIAL EXPERIENCE REQUIREMENTS FOR ENTITIES PERFORMING SPECIFIC AREAS OF WORK:**

The requirements in this Section (B) apply to this contract where indicated by a blackened box (■).

The Special Experience Requirements set forth below apply to the Entity that will perform the specific area of work. **Compliance with such Special Experience Requirements will be determined solely by the City after an award of contract. Within two (2) weeks of award of contract, the contractor will be required to submit the qualifications of the Entity that will perform the specific area of work.** If the bidder intends to perform such work itself, it must demonstrate compliance with the Special Experience Requirements. If the bidder intends to subcontract this work, the proposed subcontractor or sub-subcontractor must demonstrate compliance with the Special Experience Requirements. Once approved, no substitution will be permitted, unless the qualifications of the proposed replacement have been approved in writing in advance by the City.

Special Experience Requirements apply to the Entity that will perform any specific area of work indicated by a blackened box. The Entity may have performed the required prior project(s) as a prime contractor, subcontractor or sub-subcontractor.

■ **Hazmat Work:** Hazmat Work is any item of work in the Bid Schedule that begins with the prefix 8.01. The Entity that will perform any Hazmat Work must, within the last three (3) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least five (5) projects similar in scope and type to the required work.

□ **Pile, CFA Pile, and/or Mini-Pile Work:** The Entity that will perform the Pile, CFA Pile and/or Mini-Pile Work must, within the last three (3) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least two (2) projects similar in scope and type to the required work.

For professional services in connection with Pile Work (i.e., engineering and inspection services), the individual who will perform the required services must be a Professional Engineer licensed by the state of New York. Such individual must also comply with the above requirements for prior projects.

□ **Construction Report, Monitoring and Post-Construction Report, and Continuous Real-Time Monitoring For Vibrations and Movements and Post-Construction Report Work:** The Entity that will perform the Construction Report, Monitoring For Vibrations And Movements, and Post-Construction Report Work must, within the last three (3) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least two (2) projects similar in scope and type to the required work.

For professional services in connection with Reporting and Monitoring Work (i.e., engineering and inspection services), the individual who will perform the required services must be a Professional Engineer licensed by the state of New York. Such individual must also comply with the above requirements for prior projects.

□ **OTHER:** \_\_\_\_\_  
\_\_\_\_\_

## QUALIFICATION FORM

List previous projects completed to meet the special experience requirements for this contract. Please photocopy this form for submission of all required projects.

Name of Contractor: J. PIZZI RUSSO LANDSCAPE CORP

Name of Project: RED 385 - WATERMAIN VARIOUS LOCATIONS

Location of Project: BROOKLYN / STATEN ISLAND

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: ROBERT YUEH PE

Title: ASSIST COMM. Phone Number: 718-391-1937

Brief description of the Project completed or the Project in progress: WATERMAIN, TRENCH RESTORATION

Was the Project performed as a prime, a subcontractor or a sub-subcontractor: PRIME

Amount of Contract, Subcontract or Sub-subcontract: \$4,600,000 -

Start Date and Completion Date: OCT 2018 TO DEC 2019

\*\*\*\*\*  
Name of Contractor: J. PIZZI RUSSO LANDSCAPING CORP

Name of Project: GQB309-1 GROUND INFRASTRUCTURE

Location of Project: QUEENS - VARIOUS LOCATIONS

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: ALI MALYK PE

Title: ASSIST COMM. Phone Number: 718-391-1463

Brief description of the Project completed or the Project in progress: BIOSWALES, CURB, SIDEWALK, PAVING, LANDSCAPING, MPT

Was the Project performed as a prime, a subcontractor or a sub-subcontractor: PRIME

Amount of Contract, Subcontract or Sub-subcontract: \$9,100,000 -

Start Date and Completion Date: JAN 2017 TO NOV 2019



## QUALIFICATION FORM

Name of Contractor: J. Pizzirusso Landscape Corp.

Name of Project: SANDHW 15. RECON OF FATHER CAPADONNA BLVD

Location of Project: BORO - STATEN ISLAND

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: ADAM ALLWIESS PE

Title: ASSIST COMM. Phone Number: 917-417-6789

Brief description of the Project completed or the Project in progress: CURB, SIDEWALK  
FULL ROAD RECONST., LIGHTING, TRAFFIC, DRAINAGE

Was the Project performed as a prime, a subcontractor or a sub-subcontractor: PRIME

Amount of Contract, Subcontract or Sub-subcontract: \$6,500,000 -

Start Date and Completion Date: FEB 2018 TO 8-2019

\*\*\*\*\*

Name of Contractor: J. PIZZIRUSSO LANDSCAPE CORP

Name of Project: SANDHW 14; RECONST. FATHER CAPADONNA BLVD

Location of Project: BORO 012 STATEN ISLAND

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: ADAM ALLWIESS PE

Title: ASSIST COMM. Phone Number: 917-417-6789

Brief description of the Project completed or the Project in progress: WALKWAY, DRAINAGE,  
CURB, SIDEWALK, FULL ROAD RECONST. LIGHTING, TRAFFIC

Was the Project performed as a prime, a subcontractor or a sub-subcontractor: PRIME

Amount of Contract, Subcontract or Sub-subcontract: \$18,100,000 -

Start Date and Completion Date: JULY 2018 ON GOING

**QUALIFICATION FORM**

Name of Contractor: J. Pizzinuso Landscapes Corp

Name of Project: HWMWTCBSA, RECON. LIBERTY STREET

Location of Project: MANHATTAN

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: SHAH JAROMI PE

Title: Assoc. Comm. Phone Number: 917-417-6790

Brief description of the Project completed or the Project in progress: NEW ROADWAY, UTILITY WORK - JB CONTRACT, PAVING

Was the Project performed as a prime, a subcontractor or a sub-subcontractor: PRIME

Amount of Contract, Subcontract or Sub-subcontract: \$ 1,950,000 -

Start Date and Completion Date: 10-2018 TO 8-27-19

\*\*\*\*\*

Name of Contractor: J. Pizzinuso Landscape Corp

Name of Project: SECBRP 010-R

Location of Project: BORO OF BROOKLYN / QUEENS

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: DANNIA LEFKOWITZ

Title: DIRECTOR - DEP Phone Number: 347-924-2268

Brief description of the Project completed or the Project in progress: NEW CATCH BASINS / CHUTES, PAVING, CURB & SIDEWALK

Was the Project performed as a prime, a subcontractor or a sub-subcontractor: PRIME

Amount of Contract, Subcontract or Sub-subcontract: \$ 5,100,000

Start Date and Completion Date: OCT 2017 TO DEC. 2019

**QUALIFICATION FORM**

Name of Contractor: J. Pizzi Russo Landscape Corp

Name of Project: HWPL2009K, RECON. OF NEW LOTS AVE - TRIANGLE

Location of Project: BROOKLYN

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: ROBERT YUSH PE.

Title: ASSIST COMM Phone Number: 718-391-1937

Brief description of the Project completed or the Project in progress: WATERMAIN, DRAINAGE ROAD RECONSTR. CURB, SIDEWALK, PAVING, TRAFFIC/STR. LIGHT

Was the Project performed as a prime, a subcontractor or a sub-subcontractor: PRIME

Amount of Contract, Subcontract or Sub-subcontract: \$ 2,660,000

Start Date and Completion Date: 6-20-16 TO 12-2018

\*\*\*\*\*

Name of Contractor: J. Pizzi Russo Landscape Corp

Name of Project: HWPE05F-1 PED SAFETY IMPROVEMENTS

Location of Project: 31<sup>ST</sup> STREET TO BROADWAY, QUEENS

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: ALI MALICK PE

Title: ASSIST COMM. Phone Number: 718-391-1463

Brief description of the Project completed or the Project in progress: CURB, SIDEWALK, PED RAMP, WATERMAIN, DRAINAGE, STREET LIGHTING, TRAFFIC SIGNALS

Was the Project performed as a prime, a subcontractor or a sub-subcontractor: PRIME

Amount of Contract, Subcontract or Sub-subcontract: \$ 2,130,000-

Start Date and Completion Date: MAY 2016 TO JUNE 2019



## SAFETY QUESTIONNAIRE

The Bidder must include, with its bid, all information requested on this Safety Questionnaire. Failure to provide a completed and signed Safety Questionnaire at the time of bid opening may result in disqualification of the bid as non-responsive. This Safety Questionnaire will be reviewed as per Section V of the Safety Requirements for Construction Contracts, found in Volume 2 of the Contract.

### 1. Bidder Information:

Company Name: J. Pizzirusso Landscaping Corporation

DDC Project Number: HWCSCHPKR

Company Size:  Ten (10) employees or less  
 Greater than ten (10) employees

Company has previously worked for DDC:  YES  NO

### 2. Type(s) of Construction Work:

Identify the types of work that the Bidder has performed in the last three years, and the types of work that are part of this Contract.

TYPE OF WORK	LAST 3 YEARS	THIS PROJECT
General Building Construction	<input type="checkbox"/>	<input type="checkbox"/>
Residential Building Construction	<input type="checkbox"/>	<input type="checkbox"/>
Nonresidential Building Construction	<input type="checkbox"/>	<input type="checkbox"/>
Heavy Construction, except building	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Highway and Street Construction	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Heavy Construction, except highways	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Plumbing, Heating, HVAC	<input type="checkbox"/>	<input type="checkbox"/>
Painting and Paper Hanging	<input type="checkbox"/>	<input type="checkbox"/>
Electrical Work	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Masonry, Stonework and Plastering	<input type="checkbox"/>	<input type="checkbox"/>
Carpentry and Floor Work	<input type="checkbox"/>	<input type="checkbox"/>
Roofing, Siding, and Sheet Metal	<input type="checkbox"/>	<input type="checkbox"/>
Concrete Work	<input type="checkbox"/>	<input type="checkbox"/>
Specialty Trade Contracting	<input type="checkbox"/>	<input type="checkbox"/>
Asbestos Abatement	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify) _____	<input type="checkbox"/>	<input type="checkbox"/>

### 3. Experience Modification Rate:

The Experience Modification Rate (EMR) is a rating generated by the National Council of Compensation Insurance (NCCI). This rating is used to determine the contractor's premium for worker's compensation insurance. The Bidder / Contractor may obtain its EMR by contacting its insurance broker or the NCCI. If the Bidder cannot obtain its EMR, it must submit a written explanation as to why.

The Bidder must indicate its Intrastate and Interstate EMR for the past three years. [Note: For contractors with less than three years of experience, the EMR will be considered to be 1.00].

YEAR	INTRASTATE RATE	INTERSTATE RATE
2022	N/A	.72
2021	N/A	.75
2020	N/A	.90

If the Intrastate and/or Interstate EMR for any of the past three years is greater than 1.00, the Bidder / Contractor must attach, to this questionnaire, a written explanation for the rating and identify what corrective action was taken to correct the situation resulting in that rating.

**4. OSHA Information:**

YES       NO      Contractor has received a willful violation issued by OSHA or a New York City Department of Buildings (NYCDOB) construction-related violation within the last three years.

YES       NO      Contractor has had an incident requiring OSHA notification within 8 hours (all work-related fatalities) or an incident requiring OSHA notification within 24 hours (work-related in-patient hospitalization, amputation and all loss of an eye).

The OSHA Form 300 “Log of Work-Related Injuries and Illnesses” and OSHA Form 300A “Summary of Work-Related Injuries and Illnesses” must be submitted for the last three years for Contractors with more than ten employees.

The Bidder / Contractor must indicate the total number of hours worked by its employees, as reflected in payroll records for the past three (3) years.

The Bidder / Contractor must submit the Incident Rate for Lost Time Injuries (the Incident Rate) for the past three (3) years. The Incident Rate is calculated in accordance with the formula set forth below. For each given year, the total number of incidents is the total number of non-fatal injuries and illnesses reported on the OSHA Form 300 and OSHA Form 300A. The 200,000 hours represents the equivalent of 100 employees working forty hours a week, fifty (50) weeks per year.

Incident Rate = 
$$\frac{\text{Total Number of Incidents} \times 200,000}{\text{Total Number of Hours Worked by Employees}}$$

YEAR	TOTAL NUMBERS OF HOURS WORKED BY EMPLOYEES	INCIDENT RATE
2022	209,979.65	0
2021	127,960.33	0
2020	133,600.00	0

**If the Bidder's / Contractor's Incident Rate for any of the past three years is one point higher than the Incident Rate for the type of construction it performs (listed below), the Bidder / Contractor must attach, to this questionnaire, a written explanation for the relatively high rate.**

General Building Construction .....	8.5
Residential Building Construction .....	7.0
Nonresidential Building Construction.....	10.2
Heavy Construction, except building .....	8.7
Highway and Street Construction .....	9.7
Heavy Construction, except highways .....	8.3
Plumbing, Heating, HVAC .....	11.3
Painting and Paper Hanging.....	6.9
Electrical Work .....	9.5
Masonry, Stonework and Plastering.....	10.5
Carpentry and Floor Work .....	12.2
Roofing, Siding, and Sheet Metal .....	10.3
Concrete Work.....	8.6
Specialty Trade Contracting .....	8.6

**5. Safety Performance on Previous DDC Project(s)**

YES     NO    Fatality or an incident requiring OSHA notification within 24 hours (work-related in-patient hospitalization, amputation and all loss of an eye) on DDC Project(s) within the last three (3) years.

DDC Project Number(s): \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_

The Bidder hereby affirms that all the information provided in this Safety Questionnaire and all additional pages and/or attachments, if applicable, consist of accurate representations.

Date: 3-20-2023

By: \_\_\_\_\_  
(Signature of Bidder: Owner, Partner, Corporate Officer)

Title: Vice President

# Bid Schedule

The Questionnaire in the PASSPort system contains the Bid Schedule. Items listed in the Bid Schedule shall comply with the requirements of the corresponding sections of the specifications detailed in the table below. All references to the Standard Specifications, Details, Standards and Drawings shall be to the version in effect at the time of bid.

**NOTES:**

- “XXX” in the table below signifies any possible combination of characters and spaces.
- The table below may contain item formats which are not included in the Bid Schedule.  
***Please refer to the Bid Schedule to determine which specifications apply.***

Item Number Format	Applicable Specifications
4.XXX 6.XXX 7.XXX 8.XXX <i>(Except 8.01 XXX; see below)</i> 9.XXX HW-XXX	NYC Department of Transportation (“DOT”) Standard Highway Specifications, as amended in the R-Pages, located in Volume 3 of 3 herein; <p style="text-align: center;"><b>AND</b></p> NYC DOT Standard Details of Construction; <p style="text-align: center;"><b>OR,</b></p> <b><i>if the item is not contained within the Standard Specifications</i></b> , then see the applicable New Sections in the I-Pages, located in Volume 3 of 3 herein.
1.XXX 50.XXX through 55.XXX 60.XXX through 66.XXX 70.XXX through 79.XXX <i>(Except 79.11XXX; see below)</i> DSS XXX DSW XXX	NYC Department of Environmental Protection (“DEP”) Standard Sewer and Water Main Specifications, as amended in the R-Pages and SW-Pages, located in Volume 3 of 3 herein; <p style="text-align: center;"><b>AND</b></p> NYC DEP Specifications for Trunk Main Work; <p style="text-align: center;"><b>AND</b></p> NYC DEP Sewer Design Standards; <p style="text-align: center;"><b>AND</b></p> NYC DEP Water Main Standard Drawings; <p style="text-align: center;"><b>OR,</b></p> <b><i>if the item is not contained within the Standard Specifications</i></b> , then see the Amendments to the Standard Sewer and Water Main Specifications in the SW-Pages, located in Volume 3 of 3 herein.
GI-XXX PM-XXX ROW XXX	New Sections in the I-Pages, located in Volume 3 of 3 herein <p style="text-align: center;"><b>AND</b></p> NYC DEP Standards for Green Infrastructure.



Item Number Format	Applicable Specifications
UTL-XXX	Gas Cost Sharing Standard Specifications in the EP7-Pages, located in Volume 3 of 3 herein.
83X.XXX MX.XXX MP XXX NYC-XXX NYCT-XXX NYPD-XXX P XXX PK-XXX	New Sections in the I-Pages, located in Volume 3 of 3 herein.
BMP-XXX	Specifications for Construction of Best Management Practice (BMP) and Mitigation Area in the BMP-Pages, located in Volume 3 of 3 herein.
E XXX ME XXX	Specifications for the Specialty Electrical Works in the EL-Pages, located in Volume 3 of 3 herein.
SL-XXX	NYC DOT Division of Street Lighting Specifications <b>AND</b> NYC Division of Street Lighting Standard Drawings.
T-XXX	NYC DOT Specifications for Traffic Signals and Intelligent Transportation Systems <b>AND</b> NYC DOT Traffic Signal Standard Drawings.
JB XXX	Joint Bid Specifications in the JB-Pages, located in Volume 3 of 3 herein.
8.01 XXX	Specifications for Handling, Transportation and Disposal of Nonhazardous and Potentially Hazardous Contaminated Materials in the HAZ-Pages, located in Volume 3 of 3 herein.
67.XXX	Specifications for Abatement of Coal Tar Wrap Asbestos Containing Materials in the ASB-Pages, located in Volume 3 of 3 herein.
79.11XXX	Specifications for Abatement of Transit Authority Duct Insulation Asbestos Containing Materials in the ASB-Pages, located in Volume 3 of 3 herein.

**NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN  
BID SCHEDULE**

Project ID: HWCSCHPKR  
ePIN: 85023B0057

**TOTAL BID PRICE: \$16,946,000.00**

Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col. 8
Seq. No	Item Number	Item Description	Engineer's Estimate of Quantity	Unit	Unit Price	Extended Amount	Price Criteria
1	4.01 RAG	ASPHALT MACADAM PAVEMENT, 6" THICK	200.00	S.Y.	\$80.00	\$16,000.00	
2	4.02 AG	ASPHALTIC CONCRETE WEARING COURSE, 3" THICK	22,916.00	S.Y.	\$45.00	\$1,031,220.00	
3	4.02 CB	ASPHALTIC CONCRETE MIXTURE	825.00	TONS	\$200.00	\$165,000.00	
4	4.04 EC	CONCRETE BASE FOR PAVEMENT, 10" THICK, CLASS B-32	180.00	C.Y.	\$400.00	\$72,000.00	
5	4.04 H	CONCRETE BASE FOR PAVEMENT, VARIABLE THICKNESS FOR TRENCH RESTORATION, (HIGH-EARLY STRENGTH)	730.00	C.Y.	\$375.00	\$273,750.00	
6	4.05 AX	HIGH-EARLY STRENGTH REINFORCED CONCRETE PAVEMENT (BUS STOPS)	130.00	C.Y.	\$650.00	\$84,500.00	
7	4.09 ADB	STRAIGHT STEEL FACED CONCRETE CURB (20" DEEP)	3,835.00	L.F.	\$125.00	\$479,375.00	
8	4.09 AF	STRAIGHT STEEL FACED CONCRETE CURB (27" DEEP)	270.00	L.F.	\$130.00	\$35,100.00	
9	4.09 BDB	DEPRESSED STEEL FACED CONCRETE CURB (20" DEEP)	585.00	L.F.	\$140.00	\$81,900.00	
10	4.09 CDB	CORNER STEEL FACED CONCRETE CURB (20" DEEP)	1,530.00	L.F.	\$160.00	\$244,800.00	
11	4.11 CA	FILL, PLACE MEASUREMENT	228.00	C.Y.	\$25.00	\$5,700.00	
12	4.13 AAS	4" CONCRETE SIDEWALK (UNPIGMENTED)	69,760.00	S.F.	\$17.00	\$1,185,920.00	
13	4.13 BAS	7" CONCRETE SIDEWALK (UNPIGMENTED)	11,290.00	S.F.	\$19.00	\$214,510.00	
14	4.13 DE	EMBEDDED PREFORMED DETECTABLE WARNING UNITS	2,220.00	S.F.	\$40.00	\$88,800.00	
15	4.13 DSA	SURFACE APPLIED DETECTABLE WARNING UNITS	660.80	S.F.	\$40.00	\$26,432.00	
16	4.15	TOPSOIL	25.00	C.Y.	\$125.00	\$3,125.00	
17	4.16 AA	TREES REMOVED (4" TO UNDER 12" CALIPER)	1.00	EACH	\$2,750.00	\$2,750.00	
18	4.18 A	MAINTENANCE TREE PRUNING (UNDER 12" CAL.)	50.00	EACH	\$350.00	\$17,500.00	
19	4.18 B	MAINTENANCE TREE PRUNING (12" TO UNDER 18" CAL.)	5.00	EACH	\$675.00	\$3,375.00	
20	4.18 C	MAINTENANCE TREE PRUNING (18" TO UNDER 24" CAL.)	2.00	EACH	\$1,000.00	\$2,000.00	
21	4.21	TREE CONSULTANT	1,165.00	P/HR	\$75.00	\$87,375.00	
22	50.41M6S12	12" D.I.P. CLASS 56 STORM SEWER, ON CRUSHED STONE BEDDING	55.00	L.F.	\$400.00	\$22,000.00	
23	51.11P006	STANDARD 6'-0" DIAMETER PRECAST MANHOLE	1.00	EACH	\$20,000.00	\$20,000.00	
24	51.21SOA1000E	STANDARD MANHOLE TYPE A-1 ON EXISTING SEWER	8.00	EACH	\$15,000.00	\$120,000.00	
25	51.21SOA1000V	STANDARD MANHOLE TYPE A-1	1.00	EACH	\$15,000.00	\$15,000.00	
26	51.21SOA2000V	STANDARD MANHOLE TYPE A-2	1.00	EACH	\$20,000.00	\$20,000.00	
27	51.23RF	REPLACEMENT OF EXISTING MANHOLE FRAME AND COVER	20.00	EACH	\$1,500.00	\$30,000.00	
28	51.41S001	STANDARD CATCH BASIN, TYPE 1	48.00	EACH	\$9,000.00	\$432,000.00	
29	51.41S002	STANDARD CATCH BASIN, TYPE 2	1.00	EACH	\$9,500.00	\$9,500.00	
30	51.71B0M000	MODIFICATION OF EXISTING CATCH BASIN TO MANHOLE	2.00	EACH	\$7,500.00	\$15,000.00	
31	51.71B0M001	MODIFICATION OF EXISTING TYPE 1 CATCH BASIN INTO THE MANHOLE	1.00	EACH	\$7,500.00	\$7,500.00	
32	51.71B0M0W3	MODIFICATION OF EXISTING TYPE 3 CATCH BASIN WITH CURB PIECE TO MANHOLE	1.00	EACH	\$7,500.00	\$7,500.00	
33	52.11D12	12" DUCTILE IRON PIPE BASIN CONNECTION	1,065.00	L.F.	\$375.00	\$399,375.00	
34	55.11AB	ABANDONING BASINS AND INLETS	30.00	EACH	\$500.00	\$15,000.00	
35	6.02 AAN	UNCLASSIFIED EXCAVATION	1,320.00	C.Y.	\$75.00	\$99,000.00	
36	6.02 PA	PNEUMATIC EXCAVATION AROUND TREES	475.00	C.Y.	\$100.00	\$47,500.00	
37	6.02 PB	BACKFILLING AROUND TREES	475.00	C.Y.	\$75.00	\$35,625.00	
38	6.02 XHEC	INCREMENTAL COST FOR MODIFYING WORK METHODS NEAR (WITHIN 3 FEET OF) TRANSIT FACILITIES AND BUILDING VAULTS	25.00	C.Y.	\$75.00	\$1,875.00	
39	6.02 XSCW	INCREMENTAL COST FOR USING SPECIAL CARE WORK METHODS NEAR (FROM 3 FEET TO 50 FEET) TRANSIT FACILITIES	50.00	C.Y.	\$25.00	\$1,250.00	
40	6.09	CONCRETE HEADER (6" WIDE X 15" DEEP)	350.00	L.F.	\$100.00	\$35,000.00	
41	6.22 F	ADDITIONAL HARDWARE	3,000.00	LBS.	\$15.00	\$45,000.00	
42	6.23 AB	REMOVE EXISTING FIRE ALARM POST	3.00	EACH	\$1,265.00	\$3,795.00	
43	6.23 BA	FURNISH AND INSTALL FIRE ALARM POST AND SUBBASE IN ACCORDANCE WITH F.D. STD. DWG. #141	3.00	EACH	\$3,880.00	\$11,640.00	
44	6.23 BD	FURNISH AND INSTALL 4-PAIR FIRE ALARM CABLE	222.00	L.F.	\$30.00	\$6,660.00	
45	6.23 BFF	FURNISH AND INSTALL FIRE DEPARTMENT 16 WIRE TERMINAL BOX AND TERMINATE FIRE ALARM CABLES	3.00	EACH	\$6,100.00	\$18,300.00	
46	6.23 BGSE	FURNISH AND INSTALL 4" P.V.C. CONDUIT, SCHEDULE 40, U.L. 651 (WITH PAVEMENT EXCAVATION)	67.00	L.F.	\$65.00	\$4,355.00	

**NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN  
BID SCHEDULE**

Project ID: HWCSCHPKR  
ePIN: 85023B0057

**TOTAL BID PRICE: \$16,946,000.00**

Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col. 8
Seq. No	Item Number	Item Description	Engineer's Estimate of Quantity	Unit	Unit Price	Extended Amount	Price Criteria
47	6.23 BHE	FURNISH AND INSTALL 4" 90-DEGREE P.V.C. WIDE BEND, SCHEDULE 40, U.L. 651 (WITH PAVEMENT EXCAVATION) IN ACCORDANCE WITH F.D. STD. DWG. #141 OR #145AA	3.00	EACH	\$1,350.00	\$4,050.00	
48	6.23 BP	FURNISH AND INSTALL FIRE ALARM PEDESTAL BUMPERS (2 REQUIRED PER SET) IN ACCORDANCE WITH F.D. STD. DWG. #168	3.00	SETS	\$1,400.00	\$4,200.00	
49	6.25 RS	TEMPORARY SIGNS	2,898.48	S.F.	\$1.00	\$2,898.48	
50	6.26	TIMBER CURB	1,752.09	L.F.	\$1.00	\$1,752.09	
51	6.28 AA	LIGHTED TIMBER BARRICADES	3,424.10	L.F.	\$1.00	\$3,424.10	
52	6.34 ACT	TEMPORARY CHAIN LINK FENCE, 6'-0" HIGH	210.26	L.F.	\$125.00	\$26,282.50	
53	6.40 DU	ENGINEER'S FIELD OFFICE (JOINT USE) (TYPE DU)	30.04	MONTH	\$10,000.00	\$300,400.00	
54	6.43 D	DIGITAL PHOTOGRAPHS	1,125.00	SETS	\$25.00	\$28,125.00	
55	6.44	THERMOPLASTIC REFLECTORIZED PAVEMENT MARKINGS (4" WIDE)	60,000.00	L.F.	\$1.35	\$81,000.00	
56	6.44 L	PAVEMENT MARKINGS - LETTERS AND NUMBERS	35.00	EACH	\$100.00	\$3,500.00	
57	6.44 S	PAVEMENT MARKINGS - SYMBOLS	30.00	EACH	\$350.00	\$10,500.00	
58	6.49	TEMPORARY PAVEMENT MARKINGS (4" WIDE)	2,002.40	L.F.	\$2.50	\$5,006.00	
59	6.50	CLEANING OF DRAINAGE STRUCTURES	33.00	EACH	\$1,000.00	\$33,000.00	
60	6.52 FED	UNIFORMED FLAGPERSON	1.00	F.S.	\$1,706,335.14	\$1,706,335.14	PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 1,706,335.14
61	6.53	REMOVE EXISTING LANE MARKINGS (4" WIDE)	1,651.97	L.F.	\$2.50	\$4,129.93	
62	6.55	SAWCUTTING EXISTING PAVEMENT	10,930.00	L.F.	\$4.00	\$43,720.00	
63	6.67	SUBBASE COURSE, SELECT GRANULAR MATERIAL	35.00	C.Y.	\$80.00	\$2,800.00	
64	6.75	GRINDING EXISTING ASPHALTIC CONCRETE WEARING COURSE	3,280.00	C.Y.	\$50.00	\$164,000.00	
65	6.82 A	REMOVING EXISTING TRAFFIC AND STREET NAME SIGNS	505.00	S.F.	\$10.00	\$5,050.00	
66	6.82 B	REMOVING EXISTING TRAFFIC AND STREET NAME SIGN POSTS	312.00	L.F.	\$10.00	\$3,120.00	
67	6.83 AA	FURNISHING NEW NON-REFLECTORIZED TRAFFIC SIGNS	145.00	S.F.	\$20.00	\$2,900.00	
68	6.83 AB	FURNISHING NEW TRAFFIC SIGN POSTS	420.00	L.F.	\$20.00	\$8,400.00	
69	6.83 AR	FURNISHING NEW REFLECTORIZED TRAFFIC SIGNS	360.00	S.F.	\$20.00	\$7,200.00	
70	6.83 BA	INSTALLING TRAFFIC SIGNS	505.00	S.F.	\$10.00	\$5,050.00	
71	6.83 BB	INSTALLING TRAFFIC SIGN POSTS	420.00	L.F.	\$10.00	\$4,200.00	
72	6.84 B	LOLLIPOP TYPE BUS STOP SIGNS	1.00	F.S.	\$20,000.00	\$20,000.00	PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 20,000.00
73	6.86 AA	FURNISHING NEW STREET NAME SIGNS	200.00	S.F.	\$100.00	\$20,000.00	
74	6.86 AB	FURNISHING NEW STREET NAME SIGN POSTS	196.00	L.F.	\$20.00	\$3,920.00	
75	6.86 BA	INSTALLING STREET NAME SIGNS	200.00	S.F.	\$10.00	\$2,000.00	
76	6.86 BB	INSTALLING STREET NAME SIGN POSTS	196.00	L.F.	\$10.00	\$1,960.00	
77	6.87	PLASTIC BARRELS	11,038.24	EACH	\$1.00	\$11,038.24	
78	6.91	REFLECTIVE CRACKING MEMBRANE (18" WIDE)	11,300.00	L.F.	\$3.00	\$33,900.00	
79	6.99	AUDIO AND VIDEO DOCUMENTATION SURVEY	1.00	L.S.	\$25,000.00	\$25,000.00	
80	60.11R520	FURNISHING AND DELIVERING 20-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 55)	230.00	L.F.	\$276.00	\$63,480.00	
81	60.11R604	FURNISHING AND DELIVERING 4-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56)	10.00	L.F.	\$65.00	\$650.00	
82	60.11R606	FURNISHING AND DELIVERING 6-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56)	75.00	L.F.	\$66.00	\$4,950.00	
83	60.11R608	FURNISHING AND DELIVERING 8-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56)	415.00	L.F.	\$93.00	\$38,595.00	
84	60.11R612	FURNISHING AND DELIVERING 12-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56)	415.00	L.F.	\$147.00	\$61,005.00	
85	60.12D04	LAYING 4-INCH DUCTILE IRON PIPE AND FITTINGS	15.00	L.F.	\$50.00	\$750.00	
86	60.12D06	LAYING 6-INCH DUCTILE IRON PIPE AND FITTINGS	85.00	L.F.	\$60.00	\$5,100.00	
87	60.12D08	LAYING 8-INCH DUCTILE IRON PIPE AND FITTINGS	455.00	L.F.	\$160.00	\$72,800.00	
88	60.12D12	LAYING 12-INCH DUCTILE IRON PIPE AND FITTINGS	455.00	L.F.	\$180.00	\$81,900.00	
89	60.12D20	LAYING 20-INCH DUCTILE IRON PIPE AND FITTINGS	255.00	L.F.	\$250.00	\$63,750.00	

**NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN  
BID SCHEDULE**

Project ID: HWCSCPKR  
ePIN: 85023B0057

**TOTAL BID PRICE: \$16,946,000.00**

Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col. 8
Seq. No	Item Number	Item Description	Engineer's Estimate of Quantity	Unit	Unit Price	Extended Amount	Price Criteria
90	60.13M0A24	FURNISHING AND DELIVERING DUCTILE IRON MECHANICAL JOINT 24-INCH DIAMETER AND SMALLER FITTINGS, INCLUDING WEDGE TYPE RETAINER GLANDS	10.00	TONS	\$15,000.00	\$150,000.00	
91	61.11DMM06	FURNISHING AND DELIVERING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	5.00	EACH	\$1,530.00	\$7,650.00	
92	61.11DMM08	FURNISHING AND DELIVERING 8-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	9.00	EACH	\$2,355.00	\$21,195.00	
93	61.11DMM12	FURNISHING AND DELIVERING 12-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	4.00	EACH	\$4,775.00	\$19,100.00	
94	61.11DMM20	FURNISHING AND DELIVERING 20-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	2.00	EACH	\$26,360.00	\$52,720.00	
95	61.11TWC04	FURNISHING AND DELIVERING 4-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	4.00	EACH	\$1,400.00	\$5,600.00	
96	61.12DMM06	SETTING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	5.00	EACH	\$600.00	\$3,000.00	
97	61.12DMM08	SETTING 8-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	9.00	EACH	\$800.00	\$7,200.00	
98	61.12DMM12	SETTING 12-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	4.00	EACH	\$1,200.00	\$4,800.00	
99	61.12DMM20	SETTING 20-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	2.00	EACH	\$2,000.00	\$4,000.00	
100	61.12TWC04	SETTING 4-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	4.00	EACH	\$500.00	\$2,000.00	
101	62.11SD	FURNISHING AND DELIVERING HYDRANTS	4.00	EACH	\$5,000.00	\$20,000.00	
102	62.12SE	SETTING HYDRANTS TO NEW GRADE USING EXTENSION KITS	3.00	EACH	\$3,500.00	\$10,500.00	
103	62.12SG	SETTING HYDRANTS COMPLETE WITH WEDGE TYPE RETAINER GLANDS	4.00	EACH	\$3,500.00	\$14,000.00	
104	62.13RH	REMOVING HYDRANTS	4.00	EACH	\$500.00	\$2,000.00	
105	62.14FS	FURNISHING, DELIVERING AND INSTALLING HYDRANT FENDERS	12.00	EACH	\$500.00	\$6,000.00	
106	63.11VC	FURNISHING AND DELIVERING VARIOUS CASTINGS	15.00	TONS	\$5,000.00	\$75,000.00	
107	64.11EL	WITHDRAWING AND REPLACING HOUSE SERVICES USING 1-1/2-INCH OR LARGER SCREW TAPS	15.00	EACH	\$295.00	\$4,425.00	
108	64.11ST	WITHDRAWING AND REPLACING HOUSE SERVICES USING SMALLER THAN 1-1/2-INCH SCREW TAPS	15.00	EACH	\$285.00	\$4,275.00	
109	64.12COEG	CUTTING AND OFFSETTING HOUSE SERVICE WATER CONNECTIONS (EQUAL TO OR GREATER THAN 3-INCH DIAMETER)	50.00	L.F.	\$85.00	\$4,250.00	
110	64.12COLT	CUTTING AND OFFSETTING HOUSE SERVICE WATER CONNECTIONS (LESS THAN 3-INCH DIAMETER)	80.00	L.F.	\$75.00	\$6,000.00	
111	64.12ESEG	EXTENDING HOUSE SERVICE WATER CONNECTIONS (EQUAL TO OR GREATER THAN 3-INCH DIAMETER)	100.00	L.F.	\$85.00	\$8,500.00	
112	64.12ESLT	EXTENDING HOUSE SERVICE WATER CONNECTIONS (LESS THAN 3-INCH DIAMETER)	60.00	L.F.	\$75.00	\$4,500.00	
113	64.13WC08	FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 8-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS	2.00	EACH	\$5,500.00	\$11,000.00	
114	64.13WC12	FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 12-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS	1.00	EACH	\$6,000.00	\$6,000.00	
115	65.11BR	FURNISHING, DELIVERING AND INSTALLING BANDS, RODS, WASHERS, ETC., COMPLETE, FOR RESTRAINING JOINTS	225.00	LBS.	\$2.00	\$450.00	
116	65.21PS	FURNISHING AND PLACING POLYETHYLENE SLEEVE	600.00	L.F.	\$2.00	\$1,200.00	Unit price bid shall not be less than: \$ 2.00
117	65.31FF	FURNISHING, DELIVERING AND PLACING FILTER FABRIC	11,000.00	S.F.	\$0.10	\$1,100.00	Unit price bid shall not be less than: \$ 0.10
118	65.71SG	FURNISHING, DELIVERING AND PLACING SCREENED GRAVEL OR SCREENED BROKEN STONE BEDDING	85.00	C.Y.	\$80.00	\$6,800.00	
119	7.07 MB2	MARTELLO BOLLARD, VERSION 2.0	2.00	EACH	\$6,000.00	\$12,000.00	
120	7.07 RB30H	30" HEIGHT REMOVABLE STEEL PIPE BOLLARD, FURNISH AND INSTALL	5.00	EACH	\$3,000.00	\$15,000.00	

**NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN  
BID SCHEDULE**

Project ID: HWCSCHPKR  
ePIN: 85023B0057

**TOTAL BID PRICE: \$16,946,000.00**

Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col. 8
Seq. No	Item Number	Item Description	Engineer's Estimate of Quantity	Unit	Unit Price	Extended Amount	Price Criteria
121	7.12 A	PROCTOR ANALYSIS	10.00	EACH	\$95.00	\$950.00	
122	7.12 B	IN-PLACE SOIL DENSITY TEST	30.00	EACH	\$15.00	\$450.00	
123	7.13 B	MAINTENANCE OF SITE	24.04	MONTH	\$12,200.00	\$293,288.00	Unit price bid shall not be less than: \$ 12,200.00
124	7.16 D	TEST PITS	175.00	C.Y.	\$700.00	\$122,500.00	
125	7.19	LOAD TRANSFER JOINT	430.00	L.F.	\$75.00	\$32,250.00	
126	7.30 A	REMOVAL OF TRACK	60.00	C.Y.	\$150.00	\$9,000.00	
127	7.35	PEDESTRIAN CHANNELIZER	12,304.74	L.F.	\$1.00	\$12,304.74	
128	7.49 K	STEEL TREE PIT GUARD - TYPE K	450.00	L.F.	\$150.00	\$67,500.00	
129	7.88 AA	RODENT INFESTATION SURVEY AND MONITORING	1.00	L.S.	\$2,000.00	\$2,000.00	Unit price bid shall not be less than: \$ 2,000.00
130	7.88 AB	RODENT BAIT STATIONS	615.00	EACH	\$65.00	\$39,975.00	Unit price bid shall not be less than: \$ 65.00
131	7.88 AC	BAITING OF RODENT BAIT STATIONS	615.00	EACH	\$11.00	\$6,765.00	Unit price bid shall not be less than: \$ 11.00
132	7.88 AD	WATERBUG BAIT APPLICATIONS	48.00	BLOCK	\$75.00	\$3,600.00	Unit price bid shall not be less than: \$ 75.00
133	70.31FN	FENCING	180.00	L.F.	\$1.50	\$270.00	Unit price bid shall not be less than: \$ 1.50
134	70.51EO	EXCAVATION OF BOULDERS IN OPEN CUT	16.00	C.Y.	\$75.00	\$1,200.00	Unit price bid shall not be less than: \$ 75.00
135	70.61RE	ROCK EXCAVATION	11.00	C.Y.	\$500.00	\$5,500.00	
136	70.81CB	CLEAN BACKFILL	200.00	C.Y.	\$15.00	\$3,000.00	Unit price bid shall not be less than: \$ 15.00
137	70.91SW12	FURNISHING AND PLACING SHEETING AND BRACING IN TRENCH FOR WATER MAIN PIPE 12-INCH IN DIAMETER AND LESS	1,000.00	S.F.	\$1.00	\$1,000.00	
138	70.91SW20	FURNISHING AND PLACING SHEETING AND BRACING IN TRENCH FOR WATER MAIN PIPE 20-INCH IN DIAMETER	3,000.00	S.F.	\$1.00	\$3,000.00	
139	72.11HF	HYDRAULIC FILL FOR ABANDONED SEWERS AND WATER MAINS	50.00	C.Y.	\$200.00	\$10,000.00	
140	73.11AB	ADDITIONAL BRICK MASONRY	16.00	C.Y.	\$62.50	\$1,000.00	Unit price bid shall not be less than: \$ 62.50
141	73.21AC	ADDITIONAL CONCRETE	5.00	C.Y.	\$62.50	\$312.50	Unit price bid shall not be less than: \$ 62.50
142	73.51AS	ADDITIONAL STEEL REINFORCING BARS	1,500.00	LBS.	\$1.00	\$1,500.00	Unit price bid shall not be less than: \$ 1.00
143	8.01 C1	HANDLING, TRANSPORTING AND DISPOSAL OF NON-HAZARDOUS CONTAMINATED SOIL	2,890.00	TONS	\$85.00	\$245,650.00	Unit price bid shall not be less than: \$ 85.00
144	8.01 C2	SAMPLING AND TESTING OF CONTAMINATED/POTENTIALLY HAZARDOUS SOIL FOR DISPOSAL PURPOSES	12.00	SETS	\$2,500.00	\$30,000.00	Unit price bid shall not be less than: \$ 2,500.00
145	8.01 H	HANDLING, TRANSPORTING AND DISPOSAL OF HAZARDOUS SOIL	362.00	TONS	\$400.00	\$144,800.00	Unit price bid shall not be less than: \$ 400.00
146	8.01 S	HEALTH AND SAFETY	1.00	L.S.	\$20,000.00	\$20,000.00	Unit price bid shall not be less than: \$ 20,000.00
147	8.01 W1	REMOVAL, TREATMENT, AND DISCHARGE/DISPOSAL OF CONTAMINATED WATER	5.00	DAY	\$1,700.00	\$8,500.00	Unit price bid shall not be less than: \$ 1,700.00
148	8.01 W2	SAMPLING AND TESTING OF CONTAMINATED WATER	5.00	SETS	\$1,600.00	\$8,000.00	Unit price bid shall not be less than: \$ 1,600.00
149	8.02 A	SPECIAL CARE EXCAVATION AND RESTORATION FOR SIDEWALK WORK	745.00	S.F.	\$1.00	\$745.00	
150	8.02 B	SPECIAL CARE EXCAVATION AND RESTORATION FOR CURB WORK	185.00	L.F.	\$1.00	\$185.00	
151	8.22 D	THREE PLY MEMBRANE WATERPROOFING	155.00	S.F.	\$50.00	\$7,750.00	
152	8.32	BARK CHIP MULCH	45.00	S.Y.	\$25.00	\$1,125.00	
153	8.52	ALLOWANCE FOR WAYFINDING TOTEMS	1.00	F.S.	\$76,592.00	\$76,592.00	PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 76,592.00
154	8.52 WSF-B	WAYFINDING SIGN FOOTING TYPE B	8.00	EACH	\$5,500.00	\$44,000.00	
155	9.04 HW	ALLOWANCE FOR ANTI-FREEZE ADDITIVE IN CONCRETE	1.00	F.S.	\$50,000.00	\$50,000.00	PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 50,000.00
156	9.23	PRICE ADJUSTMENTS	1.00	F.S.	\$200,000.00	\$200,000.00	PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 200,000.00
157	9.30	STORM WATER POLLUTION PREVENTION	1.00	L.S.	\$40,000.00	\$40,000.00	
158	JB-FS-AL	ALTICE JB FIXED SUM	1.00	F.S.	\$20,838.90	\$20,838.90	PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 20,838.90
159	JB-FS-CE	CON EDISON JB FIXED SUM	1.00	F.S.	\$500,702.67	\$500,702.67	PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 500,702.67
160	JB-FS-NG	NATIONAL GRID JB FIXED SUM	1.00	F.S.	\$239,634.00	\$239,634.00	PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 239,634.00
161	JB-FS-VZ	ECS-VERIZON JB FIXED SUM	1.00	F.S.	\$767,225.00	\$767,225.00	PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 767,225.00
162	SL-20.02.02	FURNISH AND INSTALL STANDARD TYPE ANCHOR BOLT FOUNDATION, AS PER DRAWING E-3788	3.00	EACH	\$3,625.00	\$10,875.00	
163	SL-20.08.01	REMOVE STANDARD TYPE ANCHOR BOLT CONCRETE FOUNDATION	15.00	EACH	\$500.00	\$7,500.00	
164	SL-21.03.02	FURNISH AND INSTALL TYPE 8S LAMPOST WITH TRANSFORMER BASE	3.00	EACH	\$9,430.00	\$28,290.00	
165	SL-21.09.05	REMOVE STANDARD FABRICATED STEEL, SPUN ALUMINUM NO. 10, ETC. WITH ARM(S), LUMINAIRE(S), CONTROL(S) WITH ALL ATTACHMENTS, IF ANY.	15.00	EACH	\$1,265.00	\$18,975.00	

**NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN  
BID SCHEDULE**

Project ID: HWCSCHPKR  
ePIN: 85023B0057

**TOTAL BID PRICE: \$16,946,000.00**

Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col. 8
Seq. No	Item Number	Item Description	Engineer's Estimate of Quantity	Unit	Unit Price	Extended Amount	Price Criteria
166	SL-21.09.08	REMOVE ALL STREET LIGHTING EQUIPMENT FROM TYPE "M-2" TRAFFIC POST (ARM(S), PHOTOELECTRIC CONTROLLER, LUMINAIRE(S), SHAFT EXTENSION, WIRING, ETC.)	13.00	EACH	\$1,265.00	\$16,445.00	
167	SL-22.16.05	FURNISH AND INSTALL ROADWAY TYPE LED FIXTURE AS PER SPECIFICATION 466 WITH PEC RECEPTACLE LUM-001	62.00	EACH	\$905.00	\$56,110.00	
168	SL-24.02.01	FURNISH FABRICATED STEEL 6FT SHAFT EXTENSION (SINGLE ARM) FOR M-2 TRAFFIC LAMPPOST	59.00	EACH	\$365.00	\$21,535.00	
169	SL-24.02.02	FURNISH AND INSTALL FABRICATED STEEL 8 Ft. ARM ON LAMPPOST OR "M-2" TRAFFIC POLE SHAFT EXTENSION.	59.00	EACH	\$1,700.00	\$100,300.00	
170	SL-24.02.26	FURNISH AND INSTALL 4 Ft. STEEL ARM ON METAL LAMPPOST.	6.00	EACH	\$2,100.00	\$12,600.00	
171	SL-26.01.04	FURNISH AND INSTALL LONG LIFE PHOTO ELECTRIC CONTROL WITH SURGE WITH SURGE PROTECTION FOR LED LIGHT AS PER SPEC# 504	62.00	EACH	\$420.00	\$26,040.00	
172	SL-29.01.01	FURNISH, INSTALL, MAINTAIN AND REMOVE EQUIPMENT FOR TEMPORARY LIGHTING (PYLON), AS PER DRAWINGS F-5005 AND F-5005A	5.00	EACH	\$5,900.00	\$29,500.00	
173	SL-33.01.01	FURNISH AND INSTALL NO. 12 AWG XLP COPPER WIRE OR EQUAL IN CONDUIT	500.00	L.F.	\$14.00	\$7,000.00	
174	T-1.1	INSTALL TYPE "S" OR "T" FOUNDATION	40.00	EACH	\$4,000.00	\$160,000.00	
175	T-1.18	REMOVE TYPE "A", "B", "S" OR "T" SERIES FOUNDATION	13.00	EACH	\$500.00	\$6,500.00	
176	T-1.2	INSTALL TYPE "F-1" FOUNDATION	4.00	EACH	\$2,625.00	\$10,500.00	
177	T-1.20	REMOVE TYPE "M" SERIES FOUNDATION	24.00	EACH	\$500.00	\$12,000.00	
178	T-1.21	REMOVE TYPE "F-1" FOUNDATION	1.00	EACH	\$500.00	\$500.00	
179	T-1.26	REMOVE STEEL CYLINDER (32" DIAMETER X 9")	1.00	EACH	\$500.00	\$500.00	
180	T-1.3	INSTALL TYPE "M2-5S" FOUNDATION	69.00	EACH	\$4,375.00	\$301,875.00	
181	T-2.1	INSTALL TYPE "S-1" OR "T-1" SERIES POST	39.00	EACH	\$1,265.00	\$49,335.00	
182	T-2.2	INSTALL TYPE "S-14" POST	4.00	EACH	\$1,265.00	\$5,060.00	
183	T-2.22	REMOVE TYPE "S-1" OR "T-1" SERIES POST	13.00	EACH	\$1,265.00	\$16,445.00	
184	T-2.24	REMOVE TYPE "M" SERIES POST	24.00	EACH	\$2,530.00	\$60,720.00	
185	T-2.28	REMOVE MAST ARM FROM ANY POST	24.00	EACH	\$365.00	\$8,760.00	
186	T-2.4	INSTALL TYPE "M-2" POST	29.00	EACH	\$2,530.00	\$73,370.00	
187	T-2.7	INSTALL SHAFT ON "M-2" POST	71.00	EACH	\$1,265.00	\$89,815.00	
188	T-20000	FURNISH TEN FOOT ALUMINUM SIGNAL POST TYPE "S-1"	39.00	EACH	\$825.00	\$32,175.00	
189	T-20020	a) FURNISH 3/4" ANCHOR BOLT ASSEMBLIES FOR S-1 (EACH) (3 REQUIRED PER POST)	117.00	EACH	\$87.00	\$10,179.00	
190	T-20021	b) FURNISH 1" ANCHOR BOLT ASSEMBLIES FOR F-1 (EACH) (4 REQUIRED PER POST)	16.00	EACH	\$200.00	\$3,200.00	
191	T-20160	FURNISH 20 FOOT SIGNAL MAST ARM POLE ASSEMBLY TYPE "M-2"	29.00	EACH	\$6,325.00	\$183,425.00	
192	T-20170	M-2A POLE SHAFT WITH BOLT COVERINGS	71.00	EACH	\$6,555.00	\$465,405.00	
193	T-20184	a) FURNISH 5' EXTENSION ARM ASSEMBLY WITH FITTINGS	28.00	EACH	\$288.00	\$8,064.00	
194	T-20200	M-2A POLE TRANSFORMER BASE WITH SHAFT	71.00	EACH	\$865.00	\$61,415.00	
195	T-20220	c) FURNISH 1-1/4" ANCHOR BOLT ASSEMBLIES FOR M-2 (EACH) (4 REQUIRED PER POST)	276.00	EACH	\$430.00	\$118,680.00	
196	T-20640	FURNISH ALUMINUM TRAFFIC SIGNAL POST TYPE "S-14"	4.00	EACH	\$1,725.00	\$6,900.00	
197	T-3.1	INSTALL "ONE-WAY" SIGNAL UNIT ON MAST ARM OR TOP OF TRAFFIC POST	82.00	EACH	\$345.00	\$28,290.00	
198	T-3.18	REMOVE SIGNAL HEAD FROM ANY TYPE POST	83.00	EACH	\$460.00	\$38,180.00	
199	T-3.2	INSTALL "ONE-WAY" SIGNAL UNIT ON THE SHAFT OF ANY POST	11.00	EACH	\$575.00	\$6,325.00	
200	T-3.21	REMOVE PEDESTRIAN SIGNAL OR SIGN UNIT OR OTHER ILLUMINATED SIGNS FROM ANY POST	112.00	EACH	\$405.00	\$45,360.00	
201	T-3.40	INSTALL AUDIBLE PEDESTRIAN SIGNALS UNIT	126.00	EACH	\$920.00	\$115,920.00	
202	T-3.6	INSTALL PEDESTRIAN SIGNAL ON ANY TYPE POST	117.00	EACH	\$865.00	\$101,205.00	
203	T-30013L	FURNISH ADJUSTABLE 3 SECTION 1-WAY, DIE CAST ALUMINUM TRAFFIC SIGNALS 8" - W/LED LENS	88.00	EACH	\$660.00	\$58,080.00	
204	T-31150	FURNISH "15A" ALUMINUM DIE CASTINGS AND ASSEMBLY FOR POST SIGNAL MOUNTING	4.00	EACH	\$90.00	\$360.00	
205	T-31200	e) "V8" ASSEMBLY *ASSEMBLY IS EQUAL TO ONE PAIR	11.00	EACH	\$110.00	\$1,210.00	

**NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN  
BID SCHEDULE**

Project ID: HWCSCHPKR  
ePIN: 85023B0057

**TOTAL BID PRICE: \$16,946,000.00**

Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col. 8
Seq. No	Item Number	Item Description	Engineer's Estimate of Quantity	Unit	Unit Price	Extended Amount	Price Criteria
206	T-31210	h) "HUB" ASSEMBLY *ASSEMBLY IS EQUAL TO ONE PAIR	115.00	EACH	\$35.00	\$4,025.00	
207	T-31215	b) "2MS"	1.00	EACH	\$260.00	\$260.00	
208	T-31225	c) "3MS"	18.00	EACH	\$290.00	\$5,220.00	
209	T-31235	d) "4MS"	5.00	EACH	\$320.00	\$1,600.00	
210	T-31340	f) "VB-P" ASSEMBLY *ASSEMBLY IS EQUAL TO ONE PAIR	113.00	EACH	\$115.00	\$12,995.00	
211	T-31351	g) "VB-2P" ASSEMBLY *ASSEMBLY IS EQUAL TO ONE PAIR	2.00	EACH	\$260.00	\$520.00	
212	T-33001-L	FURNISH POLYCARBONATE PEDESTRIAN SIGNAL (16 X 16) W/LED COUNT LENS (SPECIFICATION A-L)	117.00	EACH	\$365.00	\$42,705.00	
213	T-33002	FURNISH AUDIBLE PEDESTRIAN SIGNAL UNIT	126.00	EACH	\$865.00	\$108,990.00	
214	T-4.22	INSTALL ANY TYPE OF ADVANCED SOLID STATE TRAFFIC SIGNAL CONTROLLER AND CABINET ON METAL POLE	14.00	EACH	\$3,075.00	\$43,050.00	
215	T-4.8	REMOVE ONE CONTROL BOX AND CONTROLLER FROM ANY POST OR SUPPORT	9.00	EACH	\$1,095.00	\$9,855.00	
216	T-5.32	RESTORING PERMANENT ROADWAY (INCLUDING SAWCUT)	520.00	L.F.	\$80.00	\$41,600.00	
217	T-5.50	FURNISH AND INSTALL 2" HDPE UNDERGROUND CONDUIT IN PAVED ROADWAY	520.00	L.F.	\$58.00	\$30,160.00	
218	T-5.52	FURNISH AND INSTALL 2" HDPE UNDERGROUND CONDUIT IN UNPAVED AREA	4,765.00	L.F.	\$58.00	\$276,370.00	
219	T-6.1	INSTALL CABLE (INCLUDES OVERHEAD)	4,765.00	L.F.	\$15.00	\$71,475.00	
220	T-6.10	REMOVE CABLE (INCLUDES OVERHEAD)	4,765.00	L.F.	\$10.00	\$47,650.00	
221	T-6.2	INSTALL MULTIPLE CABLE (INCLUDES OVERHEAD)	9,530.00	L.F.	\$20.00	\$190,600.00	
222	T-60000B	FURNISH 2 c # 10B (BREAKDOWN = 2#10 WITH 3RD WIRE FOR GROUNDING).	8,230.00	L.F.	\$5.00	\$41,150.00	
223	T-60040	c) 7 CONDUCTOR, 14 A.W.G.	6,065.00	L.F.	\$3.50	\$21,227.50	
224	T-60190	e) 13 CONDUCTOR, 14 A.W.G.	12,130.00	L.F.	\$5.75	\$69,747.50	
225	T-60200	FURNISH AND INSTALL AUDIBLE PEDESTRIAN SIGNALS CABLE	1,300.00	L.F.	\$35.00	\$45,500.00	
226	T-7.53	REMOVE (2418) HAND BOX OR PULL BOX IN PAVED SIDEWALK	1.00	EACH	\$500.00	\$500.00	
227	T-8.8	INSTALL CONCRETE PYLON	39.00	EACH	\$2,530.00	\$98,670.00	
228	T-8.9	REMOVE CONCRETE PYLON	39.00	EACH	\$1,265.00	\$49,335.00	
229	T-81000	FURNISH CONCRETE PYLON	39.00	EACH	\$865.00	\$33,735.00	
		<b>SUBTOTAL</b>				<b>\$15,691,089.29</b>	
230	6.39 B	MOBILIZATION	1.00	L.S.	\$1,254,910.71	\$1,254,910.71	BID PRICE OF MOBILIZATION SHALL NOT EXCEED 8% OF THE ABOVE SUB-TOTAL PRICE.

**NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN  
JB SPECIALTY ITEMS**

Project ID: HWCSCHKR  
ePIN: 85023B0057

Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col. 8
Seq. No	JB Fixed Sum Items	Item Number	Item Description	Engineer's Estimate of Quantity	Unit	Unit Price	Price Criteria
1	JB-FS-NG	51.41P001	SPECIAL CATCH BASIN NO. 1	1	EACH	10000	Unit bid price shall not be less than: \$8,090.00
2	JB-FS-VZ	51.41P002	SPECIAL CATCH BASIN NO. 2	1	EACH	15000	Unit bid price shall not be less than: \$12,146.00
3	JB-FS-CE JB-FS-VZ	51.42S1SO	INCREMENTAL COST OF STANDARD CATCH BASIN TYPE 3 WITH CURB PIECE IN LIEU OF STANDARD CATCH BASIN TYPE 1	12	EACH	6000	Unit bid price shall not be less than: \$6,000.00
4	JB-FS-NG	JB 502	REMOVAL OF ABANDONED GAS FACILITIES WITH POSSIBLE COAL TAR WRAP ALL SIZES	500	L.F.	35	Unit bid price shall not be less than: \$31.00

**Prices bid for these items will be paid for under the respective JB Fixed Sum items in the Bid Schedule.**



## Pre-Award Process

The bidder is advised that as part of the pre-award review of its bid, it may be required to submit the information described in Sections (A) through (D) below. If required, the bidder must submit such information within five (5) business days following receipt of notification from DDC that it is among the low bidders. Such notification from DDC will be by email and will specify the types of information which must be submitted directly to DDC.

### In the event the bidder fails to submit the required information within the specified time frame, its bid may be rejected as nonresponsive.

\*\*\*\*\*

- (A) **Project Reference Form:** If required, the bidder must complete and submit the Project Reference Form set forth in this Bid Booklet. The Project Reference Form consists of 3 parts: (1) Contracts Completed by the Bidder, (2) Contracts Currently Under Construction by the Bidder, and (3) Pending Contracts Not Yet Started by the Bidder.
- (B) **Copy of License:** If required, the bidder must submit a copy of the license under which the bidder will be performing the work. Such license must clearly show the following: (1) Name of the Licensee, (2) License Number, and (3) Expiration date of the License. A copy of the license will be required from bidders for the following contracts: Plumbing Work, Electrical Work and Asbestos Abatement.
- (C) **Financial Information:** If required, the bidder must submit the financial information described below:
  - (1) **Audited Financial Statements:** Financial statements (Balance Sheet and Income Statement) of the entity submitting the bid, as audited by an independent auditor licensed to practice as a certified public accountant (CPA). Audited financial statements for the three most recent fiscal years must be submitted. Each such financial statement must include the auditor's standard report.

If the bidder does not have audited financial statements, it must submit an affidavit attesting to the fact that the bidder does not have such statements. In addition, the bidder must submit the following documentation covering the three most recent fiscal years: signed federal tax returns, unaudited financial statements, and a "certified review letter" from a certified public accountant (CPA) verifying the unaudited financial statements.

Unless the most recent audited or unaudited financial statement was issued within ninety (90) days, the bidder must submit interim financial information that includes data on financial position and results of operation (income data) for the current fiscal year. Such information may be summarized on a monthly or quarterly basis or at other intervals.

- (2) **Schedule of Aged Accounts Receivable,** including portion due within ninety (90) days.

(D) **Project Specific Information:** If required, the bidder must submit the project specific information described below:

- (1) Statement indicating the number of years of experience the bidder has had and in what type of construction.
- (2) Resumes of all key personnel to be involved in the project, including the proposed project superintendent.
- (3) List of significant pieces of equipment expected to be used for the contract, and whether such equipment is owned or leased.
- (4) Description of work expected to be subcontracted, and to what firms, if known.
- (5) List of key material suppliers.
- (6) Preliminary bar chart time schedule
- (7) Contractor's expected means of financing the project. This should be based on the assumption that the contractor is required to finance 2X average monthly billings throughout the contract period.
- (8) Any other issues the contractor sees as impacting his ability to complete the project according to the contract.

In addition to the information described in Sections (A) through (D) above, the bidder shall submit such additional information as the Commissioner may require, including without limitation, an explanation or justification for specific unit price items.

The bidder is further advised that it may be required to attend a pre-award meeting with DDC representatives. If such a meeting is convened, the bidder will be advised as to any additional material to be provided.

## **Project References**

### **A. Contracts completed by the bidder**

List all contracts substantially completed within the last 4 years, up to a maximum of 10 projects, in descending order of date of substantial completion.

Project & Location	Contract Type	Contract Amount (\$000)	Date Completed	Owner Reference & Tel. No.	Architect/Engineer Reference & Tel. No. (if different from owner)
See Attached					

**B. Contracts currently under construction by the bidder**

List all contracts currently under construction even if they are not similar to the contract being awarded.

Project & Location	Contract Type	Contract Amount (\$000)	Subcontracted to Others (\$000)	Uncompleted Portion (\$000)	Date Scheduled to Complete	Owner Reference & Tel. No.	Architect/Engineer Reference & Tel. No. (if different from owner)
See Attached							

**C. Pending contracts not yet started by the bidder**

List all contracts awarded to or won by the bidder but not yet started.

Project & Location	Contract Type	Contract Amount (\$000)	Date Scheduled to Start	Owner Reference & Tel. No.	Architect/Engineer Reference & Tel. No. (if different from owner)
See Attached					

Mar-23

### J. Pizzirusso Landscaping Corp DBA JPL INDUSTRIES (Jobs as Prime Contractor)

Contract #	Description	Year of Award	Agency	Percent Complete	Comptroller #	Contract Amount	
1	BG-804M	Planting of New & Replacement Street Trees	2004	NYCDPR	100%	20050016990	\$ 544,000.00
2	BG-104M	Planting of New & Replacement Street Trees	2004	NYCDPR	100%	20050017287	\$ 287,297.00
3	CNYG-3005M	Construction of Green Streets	2005	NYCDPR	100%	20060017893	\$ 250,000.00
4	BG-605M	Planting of New & Replacement Street Trees	2005	NYCDPR	100%	20050030571	\$ 462,000.00
5	QG-505M	Planting of New & Replacement Street Trees	2005	NYCDPR	100%	20050034934	\$ 626,000.00
6	BG-804MR	Planting of New & Replacement Street Trees	2005	NYCDPR	100%	20060019027	\$ 400,000.00
7	QG-505MR	Planting of New & Replacement Street Trees	2006	NYCDPR	100%	20060034429	\$ 674,000.00
8	BG-605MR	Planting of New & Replacement Street Trees	2006	NYCDPR	100%	20060037821	\$ 563,000.00
9	BG-306M	Planting of New & Replacement Street Trees	2006	NYCDPR	100%	20070013063	\$ 738,000.00
10	QG-606M	Planting of New & Replacement Street Trees	2006	NYCDPR	100%	20070018729	\$ 453,000.00
11	CNYG-3005MR	Construction of Green Streets	2006	NYCDPR	100%	20070020526	\$ 450,000.00
12	CNYG-507M	Planting of New & Replacement Street Trees	2007	NYCDPR	100%	20070035596	\$ 575,000.00
13	CNYG-407M	Planting of New & Replacement Street Trees	2007	NYCDPR	100%	20070036600	\$ 575,000.00
14	QG-207M	Planting of New & Replacement Street Trees	2007	NYCDPR	100%	20070037195	\$ 425,000.00
15	CNYG-2906M	Construction of Green Streets	2007	NYCDPR	100%	20070037864	\$ 895,000.00
16	QG-1007M	Planting of New & Replacement Street Trees	2007	NYCDPR	100%	20080015412	\$ 1,050,000.00
17	QG-606MR	Planting of New & Replacement Street Trees	2007	NYCDPR	100%	20080015701	\$ 850,000.00
18	QG-207MR	Planting of New & Replacement Street Trees	2008	NYCDPR	100%	20080028942	\$ 490,000.00
19	CNYG-2906MR	Construction of Green Streets	2008	NYCDPR	100%	20080030071	\$ 1,095,000.00
20	QG-1007MR	Planting of New & Replacement Street Trees	2008	NYCDPR	100%	20080033330	\$ 1,700,000.00
21	RG-108M	Planting of New & Replacement Street Trees	2008	NYCDPR	100%	20080033802	\$ 1,250,000.00
22	MG-408M	Planting of New & Replacement Street Trees	2008	NYCDPR	100%	20090005334	\$ 187,500.00
23	BG-1708M	Planting of New & Replacement Street Trees	2008	NYCDPR	100%	20090007438	\$ 910,000.00
24	QG-2308M	Planting of New & Replacement Street Trees	2008	NYCDPR	100%	20090011787	\$ 721,000.00
25	QG-2108M	Planting of New & Replacement Street Trees	2008	NYCDPR	100%	20090011844	\$ 950,000.00
26	XG-809M	Planting of New & Replacement Street Trees	2009	NYCDPR	100%	20090029100	\$ 1,239,000.00
27	RG-709M	Planting of New & Replacement Street Trees	2009	NYCDPR	100%	20090029492	\$ 1,701,000.00
28	BG-1109M	Planting of New & Replacement Street Trees	2009	NYCDPR	100%	20090029891	\$ 1,729,000.00
29	BG-909M	Planting of New & Replacement Street Trees	2009	NYCDPR	100%	20090030216	\$ 800,000.00
30	QG-2709M	Planting of New & Replacement Street Trees	2009	NYCDPR	100%	20100015386	\$ 605,000.00
31	CNYG-2609M	Planting of New & Replacement Street Trees	2010	NYCDPR	100%	20101401745	\$ 847,000.00

Contract #	Description	Year of Award	Agency	Percent Complete	Comptroller #	Contract Amount
32	CNYG-5009M	Construct Storm Water Capture Greenstreets	2010	NYCDPR	100%	20101403241 \$ 1,818,000.00
33	BG-210MA	Reconstruction of Plaza- Lafayette Street, Brooklyn	2012	NYCDPR	100%	20121440652 \$ 737,000.00
34	GK26W03-1	ROWB Demo Area 2	2012	DEP	100%	2021442033 \$ 896,200.00
35	GKNC15-05	ROWB Demo Area 3	2012	DEP	100%	20131408316 \$ 508,150.00
36	Q021-109M	Reconstruction of Cunningham Park	2012	NYCDPR	100%	20121441209 \$ 1,700,000.00
37	B065-112M	Ocean Parkway Malls- Brooklyn	2013	NYCDPR	100%	20131421377 \$ 1,250,000.00
38	BG-212M	Ocean Parkway Malls- Brooklyn	2014	NYCDPR	100%	2014140456 \$ 2,100,000.00
39	Q015-210M	Reconstruction of Forest Park- Queens	2012	NYCDPR	100%	20121442766 \$ 600,000.00
40	CNYG-512M	Construction of Green Infrastructure - Citywide	2013	NYCDPR	100%	20121440850 \$ 2,800,000.00
41	CNYG-1013M	Construction of Green Infrastructure - Citywide	2013	NYCDPR	100%	20141415393 \$ 2,841,000.00
42	BG-1013M	Construction of Green Infrastructure - Citywide	2013	NYCDPR	100%	20141413520 \$ 2,060,000.00
43	B073-211M	Reconstruct Paths & Ballfields in Prospect Pk.	2013	NYCDPR	100%	2041403516 \$ 916,874.00
44	QG-214M	Planting of Street Trees - Queens	2014	NYCDPR	100%	20151403872 \$ 2,450,000.00
45	BG-315M	Planting of Street Trees - Brooklyn	2015	NYCDPR	100%	20141413520 \$ 1,770,000.00
46	CNYG-414M	Planting of Street Trees - Citywide	2015	NYCDPR	100%	2015422517-1 \$ 2,650,000.00
47	Q015-113M	Reconstruction of Forest Park- Queens	2015	NYCDPR	100%	20151414522 \$ 1,313,560.00
48	Q020-111M	Reconstruction of Ballfields- Highland Pk. Queens	2015	NYCDPR	100%	20151407249 \$ 2,594,360.00
49	GKNC1501	Right of way Bioswales - Brooklyn	2015	Liro/EDC	100%	N/A \$ 4,739,505.00
50	GK26W3-03	Right of way Bioswales - Brooklyn	2015	HR/EDC	100%	N/A \$ 4,132,284.00
51	QG-813M	Right of way Bioswales - Queens	2015	NYCDPR	100%	20141417191 \$ 2,658,000.00
52	B073-214M	Reconstruction of Ballfields- Prospect Pk. Brooklyn	2016	NYCDPR	100%	20161418031 \$ 2,086,704.00
53	BG-1315M	Planting of Street Trees - Brooklyn	2016	NYCDPR	100%	20161413922 \$ 1,800,000.00
54	BG-1215M	Planting of Street Trees - Brooklyn	2016	NYCDPR	100%	20161414075 \$ 1,800,000.00
55	HWPEDSF1	School Safety Routes @ 31st Street & Broadway, Queens	2016	NYCDDC	100%	20161417326 \$ 2,130,068.00
56	HWPLZ009K	Reconstruction of New Lots Triangle- Brooklyn	2016	NYCDDC	100%	20161422876 \$ 2,667,626.00
57	X274-113M	Reconstruction of Retaining Walls & Site Mt. Hope Park	2016	NYCDPR	100%	20171403991 \$ 3,761,639.01
58	R035-115M	Reconstruction of DeMatti Playground- Staten Island	2016	NYCDPR	100%	20161429777 \$ 5,105,370.00
59	BG-116M	Planting of Street Trees - Brooklyn	2017	NYCDPR	100%	20181406773 \$ 2,900,000.00
60	GQBB09-01	Right of Way Bioswales- Queens	2017	NYCDDC	100%	20161429838 \$ 9,110,000.00
61	D004898	Roberto Clemente State Park- Lower Plaza Reconstruction	2017	NYSRHP	100%	N/A \$ 5,100,000.00
62	B057-114M	Reconstruction of Dr. John's Playground, Brooklyn	2017	NYCDPR	100%	20171409895 \$ 2,681,600.00
63	GQBB06-03-1	Right of way Bioswales -Queens	2017	NYCDEP	100%	2017146974 \$ 9,707,000.00
64	SECBRPQ10-R	Installation of New Catch Basins - Citywide	2017	NYCDDC	100%	20181405056 \$ 5,160,000.00
65	HWBUSPAD6	Installation or Replacement of Bus Pads Citywide	2017	NYCDDC	100%	20181400711 \$ 3,204,632.00
66	XG32250313MA	Right of way Bioswales- Greenstreets, The Bronx	2017	NYCDPR	0%	Cancelled \$ -
67	BG-518M	Planting of Street Trees - Brooklyn	2018	NYCDPR	100%	20181427541 \$ 1,700,000.00
68	SANDHW15	Reconstruction of Father Capodanno Blvd. - Midland Beach	2018	NYCDDC	100%	20181412527 \$ 6,445,703.00

Contract #	Description	Year of Award	Agency	Percent Complete	Comptroller #	Contract Amount	
69	SANDHW14	Reconstruction of Father Capodanno Blvd. - South Beach	2018	NYCDDC	100%	20181420093	\$ 18,180,900.00
70	B429-116M	Reconstruction of The Ballfield, Playground, Sitting area and Perimeter Sidewalks at Hope Ballfield, Located on the Southwest side of Knickerbocker Avenue Between Menahan and Grove Streets, In The Borough of Brooklyn	2018	NYCDPR	100%	20181416171	\$ 2,927,210.00
71	HWMWTCB5A	Reconstruction of Liberty Street- Manhattan	2018	NYCDDC	100%	20181427663	\$ 1,951,333.21
72	RED-385	Installation of Water Mains & Appurtenances in the Boroughs of Staten Island and Brooklyn	2018	NYCDDC	100%	20181425084	\$ 4,650,000.00
73	BG-419M	Planting of Street Trees - Brooklyn	2019	NYCDPR	100%	20201415534	\$ 2,975,000.00
74	QG-519M	Planting of Street Trees - Queens	2019	NYCDPR	100%	20201420702	\$ 2,875,000.00
75	R153-118M	The Construction of a 12 Acre Multi-Purpose Field, Parking Area Retention Areas, Paths and Landscaping in Fairview Park, Located on Mohr Street Between Arthur Kill Road and Veterans Road West, in the Borough of Staten Island	2019	NYCDPR	100%	20191407986	\$ 12,288,411.60
76	SECBRKR02	Installing New Catch Basins & Reconstruction of Existing Catch Basins in the Boroughs of Brooklyn & Staten Island	2019	NYCDDC	100%	20191426223	\$3,826,800.00
77	MIBBNC003	Installation of Storm and Sanitary Sewers and Replacement of Water Mains, Wet Lands Restorations including BMP Practices in Mason Avenue, Staten Island (Under JV)	2019	NYCDDC	80%	20201400406	\$ 44,500,000.00
78	D00055551	Roberto Clemente State Park- Sports Field Upgrades	2019	NYSRHP	100%	N/A	\$ 2,000,000.00
79	BG-319MA	Planting of Street Trees - Brooklyn	2020	NYCDPR	90%	20211415751	\$ 3,407,000.00
80	BG-1319M	Planting of Street Trees - Brooklyn	2020	NYCDPR	100%	20211425396	\$ 9,450,000.00
81	BG-1619M	Planting of Street Trees - Brooklyn	2020	NYCDPR	100%	20221400723	\$ 2,530,000.00
82	M159-219M	Reconstruction of Fredrick Johnson Park- Manhattan	2020	NYCDPR	100%	20201414689	\$ 5,000,000.00
83	SECBRKR03	Installing New Catch Basins & Reconstruction of Existing Catch Basins in the Boroughs of Brooklyn & Staten Island * note contract extended by 12 months & value increased	2020	NYCDEP	95%	20211404369	\$ 5,882,600.00
84	SANDRESP	East Coast Resiliency- Early Package Jackson to Cherry Streets, Manhattan	2020	NYCDDC	100%	20201420401	\$ 1,899,000.00



Contract #	Description	Year of Award	Agency	Percent Complete	Comptroller #	Contract Amount
85	XZ-120M Emergency Contract for Cemetery Operations and Services for Hart Island, Borough of the Bronx	2020	NYCDPR	100%	20201426567	\$1,000,000.00
86	48480005 Reconstruction of Water Street from Broad Street to Fulton Street, Manhattan	2021	NYCEDC	20%	N/A	\$ 19,051,418.82
87	SEK002380 Combined Relief Sewer and Chambers in 7th Street Between 3rd and 4th Avenues, including Water Main, Street Lighting and Traffic Signal Work, Borough of Brooklyn	2021	NYCDDC	25%	20211414686	\$ 8,984,000.00
88	B219-117M Reconstruction of Callahan Kelly Playground, Bounded by Fulton and Truxton Streets and Eastern Parkway and Van Sinderen Ave, Brooklyn	2021	NYCDPR	35%	2021140799	\$ 18,000,000.00
89	HWS2020R Installation of Sidewalks, Adjacent Curbs and Pedestrian Ramps as Necessary in Various Locations, Borough of Staten Island	2021	NYCDDC	80%	20211426342	\$ 2,489,031.00
90	RFP EPIN 09621P0330 Management of Hart Island - Cemetery and Site Management and Capital Improvements, Borough of the Bronx	2021	NYCHRA	25%	N/A	\$ 33,000,000.00
91	HWS2020K2 Installation of Sidewalks, Adjacent Curbs and Pedestrian Ramps as Necessary in Various Locations, Borough of Brooklyn	2021	NYCDDC	60%	N/A	\$ 6,400,000.00
92	SER200232 Construction of New Storm and Sanitary Sewers and Watermains in Xenia Street, Borough of Staten Island	2021	NYCDDC	100%	20211422891	\$ 4,200,000.00

Contract #	Description	Year of Award	Agency	Percent Complete	Comptroller #	Contract Amount
93	H600952-01G GOSR Lido Beach Drainage Improvments County of Nassau	2021	NCDPW	100%	N/A	\$ 1,236,000.00
94	HWMWTCB1 Reconstruction of Nassau Street, Borough of Manhattan	2022	NYCDDC	0%	20238803238	\$ 6,535,090.00
95	HWQ1193 Reconstruction of Center Median at Union Turnpike, Borough of Queens	2022	NYCDDC	30%	20228808508	\$ 4,171,815.00
96	M263-119M Reconstruction of Portions of Bellevue Park South, Borough of Manhattan	2022	NYCDPR	80%	20221414581	\$ 3,499,000.00
97	M117-119M Reconstruction of Honey Locust Park, Borough of Manhattan	2022	NYCDPR	80%	20221408178	\$ 992,647.00
98	SANDR03 Reconstruction of Jamaica Bay Greenway-Brooklyn	2022	NYCDDC	35%	20228808465	\$ 3,356,710.00
99	D264779 NYS DOT Safety Enhancement -Glen Cove Road	2022	NYS DOT	20%	N/A	\$ 3,833,620.00
100	SANDRESPC East Coast Resiliency-Parallel Conveyance (Under JV)	2022	NYC DDC	<1%	20228808374	\$ 154,991,054.00
TOTAL						\$ 526,020,712.64



**Department of  
Design and  
Construction**

**THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND  
CONSTRUCTION  
DIVISION OF INFRASTRUCTURE**  
30-30 THOMSON AVENUE  
LONG ISLAND CITY, NY, 11101  
TEL: 718.391.1000  
WEB: [www.nyc.gov/ddc](http://www.nyc.gov/ddc)

*TO BE FILLED IN BY THE BIDDER:*

BIDDER'S NAME:

\_\_\_\_\_  
\_\_\_\_\_

BID SECURITY (CIRCLE ONE):  
BID BOND / CERTIFIED CHECK

NUMBER OF ADDENDUMS RECEIVED  
AND ATTACHED TO BID:  
\_\_\_\_\_ ADDENDUMS

*DDC CLIENT AGENCY:*  
**DEPARTMENT OF TRANSPORTATION**  
*PREPARED BY:*  
**AECOM USA INC.**  
*DATE PREPARED:*  
**DECEMBER 1, 2022**



# VOLUME 2 OF 3

FOR FURNISHING ALL LABOR AND MATERIALS  
NECESSARY AND REQUIRED FOR:

## **PROJECT ID: HWCSCHPKR INFORMATION FOR BIDDERS CONTRACT PERFORMANCE AND PAYMENT BONDS PREVAILING WAGE SCHEDULE**

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY  
AND REQUIRED FOR:

### **SAFE ROUTES TO SCHOOLS IN THE VICINITY OF THE FOLLOWING SCHOOLS**

**M.S. 598**

**OUR LADY OF PERPETUAL HELP (OLPH)**

**P.S. 169**

**P.S. 156 / P.S. 189 / P.S. 327**

**P.S. 380**

**P.S. 41**

**INCLUDING CURB EXTENSIONS, BUS PADS,  
PEDESTRIAN RAMPS, SEWER, WATER MAIN,  
STREET LIGHTING, AND TRAFFIC WORK**

TOGETHER WITH ALL WORK INCIDENTAL THERETO

**BOROUGH OF BROOKLYN AND STATEN ISLAND  
CITY OF NEW YORK**

**CITY OF NEW YORK**  
**DEPARTMENT OF DESIGN AND CONSTRUCTION**  
**INFORMATION FOR BIDDERS**  
**December 2021**

**THIS PAGE INTENTIONALLY LEFT BLANK**

*CITY OF NEW YORK*  
*DEPARTMENT OF DESIGN AND CONSTRUCTION*  
**INFORMATION FOR BIDDERS**

TABLE OF CONTENTS

1.	Description and Location of Work	1
2.	Time and Place for Receipt of Bids	1
3.	Definitions	1
4.	Invitation For Bids and Contract Documents	1
5.	Pre-Bid Conference	1
6.	Agency Contact	1
7.	Bidder's Oath	1
8.	Examination and Viewing of Site, Consideration of Other Sources of Information and Changed Conditions	2
9.	Examination of Proposed Contract	2
10.	Form of Bid	2
11.	Irrevocability of Bid	3
12.	Acknowledgment of Amendments	3
13.	Bid Samples and Descriptive Literature	3
14.	Proprietary Information/Trade Secrets	3
15.	Pre-Opening Modification or Withdrawal of Bids	3
16.	Bid Evaluation and Award	3
17.	Late Bids, Late Withdrawals and Late Modifications	3
18.	Withdrawal of Bids.	3
19.	Mistake in Bids	4
20.	Low Tie Bids	4
21.	Rejection of Bids	5
22.	Right to Appeal Determinations of Non-Responsiveness or Non-Responsibility and Right to Protest Solicitations and Award	5
23.	Affirmative Action and Equal Employment Opportunity	5
25.	Complaints About the Bid Process	6
26.	Bid, Performance and Payment Security	6
27.	Failure to Execute Contract	7
28.	Bidder Responsibilities and Qualifications	7
29.	Employment Report	7
30.	Labor Law Requirements	8
31.	Insurance	8
32.	Lump Sum Contracts	8
33.	Unit Price Contracts	8

34.	Excise Tax	9
35.	Licenses and Permits	9
36.	Multiple Prime Contractors	9
37.	Locally Based Enterprise Requirements (LBE)	9
38.	Bid Submission Requirements	11
39.	Comptroller's Certificate	11
40.	Procurement Policy Board Rules	11
41.	DDC Safety Requirements	11

1. Description and Location of Work

The description and location of the work for which bids are requested are specified in the PASSPort RFX field "Description".

2. Time and Place for Receipt of Bids

Sealed bids shall be received on or before the date and hour specified in the PASSPort RFX, at which time they will be publicly opened and read aloud in the presence of the Commissioner or the Commissioner's or her representative, and any bidders who may desire to be present.

3. Definitions

The definitions set forth in the Procurement Policy Board Rules shall apply to this Invitation For Bids.

4. Invitation For Bids and Contract Documents

(A) Except for titles, sub-titles, headings, running headlines, tables of contents and indices (all of which are printed herein merely for convenience) the following, except for such portions thereof as may be specifically excluded, shall be deemed to be part of the Contract and the Invitation for Bids.

- (1) All provisions required by law to be inserted in this Contract, whether actually inserted or not
- (2) The Contract Drawings and Specifications
- (3) The General Conditions, the General Requirements and the Special Conditions, if any
- (4) The Contract
- (5) The Information for Bidders; Request for Proposals; Notice of Solicitation and Proposal For Bids; Bid or Proposal, and the Bid Booklet
- (6) The Budget Director's Certificate; all Addenda issued prior to the receipt of the bids; the Notice of Award; Performance and Payment Bonds, if required; and the Notice to Proceed with the Work.

(B) For particulars as to this procurement, including quantity and quality of the purchase, extent of the work or labor to be performed, delivery and performance schedule, and any other special instructions, prospective bidders are referred to the Invitation For Bids Documents. A copy of such documents can be obtained in the PASSPort RFX.

5. Pre-Bid Conference

A pre-bid conference shall be held as set forth in the PASSPort RFX. Nothing stated at the pre-bid conference shall change the terms or conditions of the Invitation For Bids Documents, unless a change is made by written amendment as provided in Section 9 below. Failure to attend a mandatory pre-bid conference shall constitute grounds for the rejection of the bid.

6. Agency Contact

Any questions or correspondence relating to this bid solicitation shall be addressed to the Agency Contact person specified in the PASSPort RFX.

7. Bidder's Oath

- (A) The bid shall be properly signed by an authorized representative of the bidder and the bid shall be verified by the written oath of the authorized representative who signed the bid, that the several matters stated and information furnished therein are in all aspects true.
- (B) A materially false statement willfully or fraudulently made in connection with the bid or any of the forms completed and submitted with the bid may result in the termination of any Contract between the City and the Bidder. As a result, the Bidder may be barred from participating in future City contracts as well as be subject to possible criminal prosecution.



8. Examination and Viewing of Site, Consideration of Other Sources of Information and Changed Conditions

- (A) Pre-Bidding (Investigation) Viewing of Site - Bidders must carefully view and examine the site of the proposed work, as well as its adjacent area, and seek other usual sources of information, for they will be conclusively presumed to have full knowledge of any and all conditions on, about or above the site relating to or affecting in any way the performance of the work to be done under the Contract which were or should have been indicated to a reasonably prudent bidder. To arrange a date for visiting the work site, bidders are to contact the Agency Contact person specified in The PASSPort RFx.
- (B) Should the contractor encounter during the progress of the work subsurface conditions at the site materially differing from any shown on the Contract Drawings or indicated in the Specifications or such subsurface conditions as could not reasonably have been anticipated by the contractor and were not anticipated by the City, which conditions will materially affect the cost of the work to be done under the Contract, the attention of the Commissioner must be called immediately to such conditions before they are disturbed. The Commissioner shall thereupon promptly investigate the conditions. If the Commissioner finds that they do so materially differ, or that they could not reasonably have been anticipated by the contractor and were not anticipated by the City, the Contract may be modified with the Commissioner's written approval.

9. Examination of Proposed Contract

- (A) Request for Interpretation or Correction: Prospective bidders must examine the Contract Documents carefully and before bidding must request the Commissioner in writing for an interpretation or correction of every patent ambiguity, inconsistency or error therein which should have been discovered by a reasonably prudent bidder. Such interpretation or correction, as well as any additional contract provisions the Commissioner may decide to include, will be issued in writing by the Commissioner as an addendum to the Contract, which will be transmitted to each person recorded as having received a copy of the Contract Documents from the Department. Transmission of such addendum will be by mail, e-mail, facsimile or hand delivery. Such addendum will also be posted at the place where the Contract Documents are available for the inspection of prospective bidders. Upon transmission as provided for herein, such addendum shall become a part of the Contract Documents, and binding on all bidders, whether or not actual notice of such addendum is shown.
- (B) Only Commissioner's Interpretation or Correction Binding: Only the written interpretation or correction so given by the Commissioner shall be binding, and prospective bidders are warned that no other officer, agent or employee of the City is authorized to give information concerning, or to explain or interpret, the Contract.
- (C) Documents given to a subcontractor for the purpose of soliciting the subcontractor's bid shall include either a copy of the bid cover sheet or a separate information sheet setting forth the project name, the Contract number (if available), the contracting agency and the Project's location.

10. Form of Bid

Each bid must be submitted upon the prescribed form and must contain: a) the name, residence and place of business of the person or persons making the same; b) the names of all persons interested therein, and if no other person is so interested, such fact must be distinctly stated; c) a statement to the effect that it is made without any connection with any other person making a bid for the same purpose and that it is in all respects fair and without collusion or fraud; d) a statement that no Council member or other officer or employee or person whose salary is payable in whole or part from the City Treasury is directly or indirectly interested therein or in the supplies, materials or equipment and work or labor to which it relates, or in any portion of the profits thereof; e) a statement that the bidder is not in arrears to the City or to any agency upon a debt or contract or taxes, and is not a defaulter as surety or otherwise upon any obligation to the City to any agency thereof, except as set forth in the bid.

THE BID SHALL BE TYPEWRITTEN OR WRITTEN LEGIBLY IN INK. THE BID SHALL BE SIGNED IN INK. ERASURES OR ALTERATIONS SHALL BE INITIALED BY THE SIGNER IN INK. FAILURE TO CONFORM TO THE REQUIREMENTS OF THIS SECTION 10 SHALL RESULT IN THE REJECTION OF THE BID.

11. Irrevocability of Bid

The prices set forth in the bid cannot be revoked and shall be effective until the award of the Contract, unless the bid is withdrawn as provided for in Sections 15 and 18 below.

12. Acknowledgment of Amendments

The receipt of any amendment to the Contract Documents shall be acknowledged by the bidder in its bid submission.

13. Bid Samples and Descriptive Literature

Bid samples and descriptive literature shall not be submitted by the bidder, unless expressly requested elsewhere in the Contract or Contract Documents. Any unsolicited bid samples or descriptive literature which are submitted shall not be examined or tested and shall not be deemed to vary any of the provisions of this Contract.

14. Proprietary Information/Trade Secrets

- (A) The bidder shall identify those portions of the bid which it deems to be confidential, proprietary information or trade secrets, and provide justification why such materials shall not be disclosed by the City. All such materials shall be clearly indicated by stamping the pages on which such information appears, at the top and bottom thereof with the word "Confidential". Such materials stamped "Confidential" must be easily separable from the non-confidential sections of the bid.
- (B) All such materials so indicated shall be reviewed by the Agency and any decision not to honor a request for confidentiality shall be communicated in writing to the bidder. For those bids which are unsuccessful, all such confidential materials shall be returned to the bidder. Prices, makes and model or catalog numbers of the items offered, deliveries, and terms of payment shall be publicly available after bid opening, regardless of any designation of confidentiality made by the bidder.

15. Pre-Opening Modification or Withdrawal of Bids

Bids may be modified or withdrawn by written notice received in the office designated in the PASSPort RFx, before the time and date set for the bid opening. If a bid is withdrawn in accordance with this Section, the bid security, if any, shall be returned to the bidder.

16. Bid Evaluation and Award

In accordance with the New York City Charter, the Procurement Policy Board Rules and the terms and conditions of this Invitation For Bids, this Contract shall be awarded, if at all, to the responsible bidder whose bid meets the requirements and evaluation criteria set forth in the Invitation For Bids, and whose bid price is either the most favorable bid price or, if the Invitation For Bids so states, the most favorable evaluated bid price. A bid may not be evaluated for any requirement or criterion that is not disclosed in the Invitation For Bids.

**Restriction:** No negotiations with any bidder shall be allowed to take place except under the circumstances and in the manner set forth in Section 21. Nothing in this Section shall be deemed to permit a contract award to a bidder submitting a higher quality item than that designated in the Invitation For Bids, if that bid is not also the most favorable bid.

17. Late Bids, Late Withdrawals and Late Modifications

Any bid received at the place designated in the solicitation after the time and date set for receipt of bids is late and shall not be considered. Any request for withdrawal or modification received at the place designated in the solicitation after the time and date set for receipt of bids is late and shall not be considered. The exception to this provision is that a late modification of a successful bid that makes the bid terms more favorable to the City shall be considered at any time it is received.

18. Withdrawal of Bids.

Except as provided for in Section 15, above, a bidder may not withdraw its bid before the expiration of forty-five (45) days after the date of the opening of bids; thereafter, a bidder may withdraw its bid only in writing and in advance of an actual award. If within sixty (60) days after the execution of the Contract, the Commissioner fails to fix the date

for commencement of work by written notice to the bidder, the bidder at the bidder's option, may ask to be relieved of the bidder's obligation to perform the work called for by written notice to the Commissioner. If such notice is given to the Commissioner, and the request to withdraw is granted, the bidder waives all claims in connection with this Contract.

19. Mistake in Bids

(A) Mistake Discovered Before Bid Opening: A bidder may correct mistakes discovered before the time and date set for bid opening by withdrawing or correcting the bid as provided in Section 15 above.

(B) Mistakes Discovered Before Award

(1) In accordance with General Municipal Law (Section 103, subdivision 11), where a unilateral error or mistake is discovered in a bid, such bid may be withdrawn upon written approval of the Agency Chief Contracting Officer if the following conditions are met:

(a) The mistake is known or made known to the agency prior to the awarding of the Contract or within 3 days after the opening of the bid, whichever period is shorter; and

(b) The price bid was based upon an error of such magnitude that enforcement would be unconscionable; and

(c) The bid was submitted in good faith and the bidder submits credible evidence that the mistake was a clerical error as opposed to a judgment error; and

(d) The error in the bid is actually due to an unintentional and substantial arithmetic error or an unintentional omission of a substantial quantity of work, labor, material or services made directly in the compilation of the bid, which unintentional arithmetic error or unintentional omission can be clearly shown by objective evidence drawn from inspection of the original work paper, documents, or materials used in the preparation of the bid sought to be withdrawn; and

(e) It is possible to place the agency in the same position as existed prior to the bid.

(2) Unless otherwise required by law, the sole remedy for a bid mistake in accordance with this Article shall be withdrawal of the bid, and the return of the bid bond or other security, if any, to the bidder. Thereafter, the agency may, in its discretion, award the Contract to the next lowest bidder or rebid the Contract. Any amendment to or reformation of a bid or a Contract to rectify such an error or mistake therein is strictly prohibited.

(3) If the mistake and the intended correct bid are clearly evident on the face of the bid document, the bid shall be corrected to the intended correct bid and may not be withdrawn. Examples of mistakes that may be corrected are typographical errors, errors in extending unit prices, transposition errors and arithmetical errors.

20. Low Tie Bids

(A) When two or more low responsive bids from responsible bidders are identical in price, meeting all the requirements and criteria set forth in the Invitation For Bids, the Agency Chief Contracting Officer will break the tie in the following manner and order of priority:

(1) Award to a certified New York City small, minority or woman-owned business entity bidder;

(2) Award to a New York City bidder;

(3) Award to a certified New York State small, minority or woman-owned business bidder;

(4) Award to a New York State bidder.

(B) If two or more bidders still remain equally eligible after application of paragraph (A) above, award shall be made by a drawing by lot limited to those bidders. The bidders involved shall be invited to attend the drawing. A witness shall be present to verify the drawing and shall certify the results on the bid tabulation sheet.

21. Rejection of Bids

- (A) Rejection of Individual Bids: The Agency may reject a bid if:
- (1) The bidder fails to furnish any of the information required pursuant to Section 24 or 28 hereof; or if
  - (2) The bidder is determined to be not responsible pursuant to the Procurement Policy Board Rules; or if
  - (3) The bid is determined to be non-responsive pursuant to the Procurement Policy Board Rules; or if
  - (4) The bid, in the opinion of the Agency Chief Contracting Officer, contains unbalanced bid prices and is thus non-responsive, unless the bidder can show that the prices are not unbalanced for the probable required quantity of items, or if the imbalance is corrected pursuant to Section 15.
- (B) Rejection of All Bids: The Agency, upon written approval by the Agency Chief Contracting Officer, may reject all bids and may elect to resolicit bids if in its sole opinion it shall deem it in the best interest of the City so to do.
- (C) Rejection of All Bids and Negotiation With All Responsible Bidders: The Agency Head may determine that it is appropriate to cancel the Invitation For Bids after bid opening and before award and to complete the acquisition by negotiation. This determination shall be based on one of the following reasons:
- (1) All otherwise acceptable bids received are at unreasonable prices, or only one bid is received and the Agency Chief Contracting Officer cannot determine the reasonableness of the bid price, or no responsive bid has been received from a responsible bidder; or
  - (2) In the judgment of the Agency Chief Contracting Officer, the bids were not independently arrived at in open competition, were collusive, or were submitted in bad faith.
- (B) When the Agency has determined that the Invitation for Bids is to be canceled and that use of negotiation is appropriate to complete the acquisition, the contracting officer may negotiate and award the Contract without issuing a new solicitation, subject to the following conditions:
- (1) prior notice of the intention to negotiate and a reasonable opportunity to negotiate have been given by the contracting officer to each responsible bidder that submitted a bid in response to the Invitation for Bids;
  - (2) the negotiated price is the lowest negotiated price offered by a responsible bidder; and
  - (3) the negotiated price is lower than the lowest rejected bid price of a responsible bidder that submitted a bid in response to the Invitation for Bids.

22. Right to Appeal Determinations of Non-Responsiveness or Non-Responsibility and Right to Protest Solicitations and Award

The bidder has the right to appeal a determination of non-responsiveness or non-responsibility and has the right to protest a solicitation and award. For further information concerning these rights, the bidder is directed to the Procurement Policy Board Rules.

23. Affirmative Action and Equal Employment Opportunity

This Invitation For Bids is subject to applicable provisions of Federal, State and Local Laws and executive orders requiring affirmative action and equal employment opportunity.

24. PASSPort COMPLIANCE

All vendors that intend to do business with the City of New York must complete a disclosure process in order to be considered for a contract. This disclosure process was formerly completed using Vendor Information Exchange System (VENDEX) paper-based forms. The City of New York has moved collection of vendor disclosure information online. In early August 2017, the New York City Mayor's Office of Contract Services (MOCS) launched the Procurement and Sourcing Solutions Portal (PASSPort), a new online procurement system that replaced the paper-VENDEX process. In anticipation of awards, all bidders must create online accounts in the new PASSPort system, and

file all disclosure information using PASSPort. Paper submissions, including certifications of no changes to existing VENDEX packages, will not be accepted in lieu of complete online filings using PASSPort.

All vendors that intend to do business with the City, but specifically those that fall into any of the following categories, are required to enroll:

- Have a pending award with a City Agency; or
- Hold a current contract with a City Agency and have either an expiring VENDEX or expiring Certificate of No Change.

The Department of Design and Construction (DDC) and MOCS hereby notifies all proposers that the PASSPort system is available, and that disclosure filing completion is required prior to any award through this competitive bid.

To enroll in PASSPort and to access the PASSPort website (including online training), please visit [www.nyc.gov/passport](http://www.nyc.gov/passport). Contact MOCS at [passport@mocs.nyc.gov](mailto:passport@mocs.nyc.gov) for additional information and technical support.

#### 25. Complaints About the Bid Process

The New York City Comptroller is charged with the audit of contracts in New York City. Any vendor who believes that there has been unfairness, favoritism or impropriety in the bid process should inform the Comptroller, Office of Contract Administration, One Centre Street, Room 835, New York, New York; telephone number (212)669-2323.

#### 26. Bid, Performance and Payment Security

(A) Bid Security: Each bid must be accompanied by bid security in an amount and type specified in the PASSPort RFX questionnaire. The bid security shall assure the City of New York of the adherence of the bidder to its proposal, the execution of the Contract, and the furnishing of Performance and Payment Bonds by the bidder, if required in the PASSPort RFX. Bid security shall be returned to the bidder as follows:

- (1) Within ten (10) days after the bid opening, the Comptroller will be notified to return the deposits of all but the three (3) lowest bidders. Within five (5) days after the award, the Comptroller will be notified to return the deposits of the remaining two unsuccessful bidders.
- (2) Within five (5) days after the execution of the Contract and acceptance of the Contractor's bonds, the Comptroller will be notified to return the bid security of the successful bidder or, if performance and payment bonds are not required, only after the sum retained under Article 21 of the Contract equals the amount of the bid security.
- (3) Where all bids are rejected, the Comptroller will be notified to return the deposit of the three (3) lowest bidders at the time of rejection.

(B) Performance and Payment Security: Performance and Payment Security must be provided in an amount and type specified in the PASSPort RFX. The performance and payment security shall be delivered by the contractor prior to or at the time of execution of the Contract. If a contractor fails to deliver the required performance and payment security, its bid security shall be enforced, and an award of Contract may be made to the next lowest responsible and responsive bidder, or the contract may be rebid.

(C) Acceptable Types of Security: Acceptable types of security for bids, performance, and payment shall be limited to the following:

- (1) a one-time bond in a form satisfactory to the City;
- (2) a bank certified check or money order;
- (3) obligations of the City of New York; or
- (4) other financial instruments as determined by the Office of Construction in consultation with the Comptroller.

Whenever the successful bidder deposits obligations of the City of New York as performance and payment security, the Comptroller may sell and use the proceeds thereof for any purpose for which the principal or

surety on such bond would be liable under the terms of the Contract. If the money is deposited with the Comptroller, the successful bidder shall not be entitled to receive interest on such money from the City.

- (D) Form of Bonds: Security provided in the form of bonds must be prepared on the form of bonds authorized by the City of New York. Forms for bid, performance, and payment bonds are included in the Invitation for Bids Documents. Such bonds must have as surety thereunder such surety company or companies as are: (1) approved by the City of New York; (2) authorized to do business in the State of New York, and (3) approved by the Department of the Treasury of the United States. Premiums for any required bonds must be included in the base bid.

The bidder is advised that submission of a bid bond where the surety on such bond fails to meet the criteria set forth herein, shall result in the rejection of the bid as non-responsive.

The Department of the Treasury of the United States advises that information concerning approved surety companies may be obtained as follows: (1) from the Government Printing Office at 215-364-6465; (2) through the Internet at <https://www.fiscal.treasury.gov/surety-bonds/>.

- (E) Power of Attorney: Attorneys in fact who sign bid, performance, or payment bonds must file with each bond a certified copy of their power of attorney to sign said bonds.

**27. Failure to Execute Contract**

In the event of failure of the successful bidder to execute the Contract and furnish the required security within ten (10) days after notice of the award of the Contract, the deposit of the successful bidder or so much thereof as shall be applicable to the amount of the award made shall be retained by the City, and the successful bidder shall be liable for and hereby agrees to pay on demand the difference between the price bid and the price for which such Contract shall be subsequently awarded, including the cost of any required reletting and less the amount of such deposit. No plea of mistake in such accepted bid shall be available to the bidder for the recovery of the deposit or as a defense to any action based upon such accepted bid. Further, should the bidder's failure to comply with this Section cause any funding agency, body or group (Federal, State, City, Public, Private, etc.) to terminate, cancel or reduce the funding on this project, the bidder in such event shall be liable also to the City for the amount of actual funding withdrawn by such agency on this project, less the amount of the forfeited deposit.

**28. Bidder Responsibilities and Qualifications**

- (A) Bidders must include with their bids all information necessary for a determination of bidder responsibility, as set forth in the Specifications.
- (B) The Agency may require any bidder or prospective bidder to furnish all books of account, records, vouchers, statements or other information concerning the bidder's financial status for examination as may be required by the Agency to ascertain the bidder's responsibility and capability to perform the Contract. If required, a bidder must also submit a sworn statement setting forth such information as the Agency may require concerning present and proposed plant and equipment, the personnel and qualifications of the bidder's working organizations, prior experience and performance record.
- (C) Oral Examination on Qualifications: In addition thereto, and when directed by the Agency, the bidder, or a responsible officer, agent or employee of the bidder, must submit to an oral examination to be conducted by the Agency in relation to the bidder's proposed tentative plan and schedule of operations, and such other matters as the Agency may deem necessary in order to determine the bidder's ability and responsibility to perform the work in accordance with the Contract. Each person so examined must sign and verify a stenographic transcript of such examination noting thereon such corrections as such person may desire to make.
- (D) If the bidder fails or refuses to supply any of the documents or information set forth in paragraph (B) hereof or fails to comply with any of the requirements thereof, the Agency may reject the bid.

**29. Employment Report**

In accordance with Executive Order No. 50 (1980) as modified by Executive Order 108 (1986), the filing of a

completed Employment Report (ER) is a requirement of doing business with the City of New York for construction contractors with contracts of \$1,000,000 or more and subcontractors with construction subcontracts of \$750,000 or more. The required forms and information are included in the PASSPort Vendor Profile.

**30. Labor Law Requirements**

- (A) General: The successful bidder will be required to comply strictly with all Federal, State and local labor laws and regulations.
- (B) New York State Labor Law: This Contract is subject to New York State Labor Law Section 220, which requires that construction workers on the site be paid prevailing wages and supplements. The Contractor is reminded that all wage provisions of this Contract will be enforced strictly and failure to comply will be considered when evaluating performance. Noncompliance may result in the contractor being debarred by the City from future contracts. Complaints filed with the Comptroller may result in decisions which may debar a contractor from bidding contracts with any state governmental entity and other political subdivisions.
- (C) Records: The Contractor is expected to submit accurate payroll reports and other required documents and verify attendance and job classifications being utilized in compliance with the law, Contract provisions and agency procedures.

**31. Insurance**

- (A) Bidders are advised that the insurance requirements contained herein are regarded as material terms of the Contract. As required by Article 22 of the Contract, the contractor must effect and maintain with companies licensed and authorized to do business in the State of New York, the types of insurance set forth therein, when required by and in the amounts set forth in Schedule A of the General Conditions. Such required insurance must be provided from the date the contractor is ordered to commence work and up to the date of final acceptance of all required work.
- (B) The contractor must, within ten days of receipt of the notice of award, submit the following insurance documentation: (a) original certificate of insurance for general liability in the amount required by Schedule A of the General Conditions, and (b) original certificates of insurance or other proof of coverage for workers' compensation and disability benefits, as required by Section 57 of the New York State Workers' Compensation Law and Section 220 of the Disability Benefits Law.

**32. Lump Sum Contracts**

- (A) Comparison of Bids: Bids on Lump Sum Contracts will be compared on the basis of the lump sum price bid, adjusted for alternate prices bid, if any.
- (B) Lump Sum Bids for "General Construction Work" which include excavation shall include all necessary excavation work defined in the Specifications as being included in the lump sum bid. The bidder shall also bid a unit price for the additional cost of excavating material which is defined in the Specifications as excavation for which additional payment will be made. The total estimated additional cost of removing such material will be taken as the quantity set forth in the Engineer's Estimate multiplied by the unit price bid. This total estimated cost of additional excavation shall be added to the lump sum bid for the General Construction Work for the purpose of comparing bids to determine the low bidder.
- (C) Variations from Engineer's Estimate: The Engineer's Estimate of the quantity of excavation for which additional payment will be made is approximate only and is given solely to be used as a uniform basis for the comparison of bids and such estimate is not to be considered as part of this contract. The quantities actually required to complete the contract work may be more or less than the quantities in the Engineer's Estimate and, if so, no action for damages or for loss of profits shall accrue to the contractor by reason thereof.

**33. Unit Price Contracts**

- (A) Comparison of Bids: Bids on Unit Price Contracts will be compared on the basis of a total estimated price,

arrived at by taking the sum of the estimated quantities of such items, in accordance with the Engineer's Estimate of Quantities set forth in the Bid Schedule, multiplied by the corresponding unit prices, and including any lump sum bids on individual items.

(B) Variations from Engineer's Estimate: Bidders are warned that the Engineer's Estimate of Quantities on the various items of work and materials is approximate only, given solely to be used as a uniform basis for the comparison of bids, and is not be considered part of this contract. The quantities actually required to complete the contract work may be less or more than so estimated, and if so, no action for damages or for loss of profits shall accrue to the contractor by reason thereof.

(C) Overruns: The terms and conditions applicable to overruns of unit price items are set forth in Article 26 of the Contract.

**34. Excise Tax**

Bidders are referred to the Specifications for information on Federal Excise Tax exemptions.

**35. Licenses and Permits**

The successful bidder will be required to obtain all necessary licenses and permits necessary to perform the work.

**36. Multiple Prime Contractors**

If more than one prime contractor will be involved on this project, all contractors are required to examine the Invitation for Bid packages for all other parts of the project.

**37. Locally Based Enterprise Requirements (LBE)**

This Contract is subject to the requirements of Administrative Code, Section 6-108.1, and the regulations promulgated thereunder. No construction contract will be awarded unless and until these requirements have been complied with in their entirety. The bidder is advised of the provisions set forth below, as well as the provisions with respect to the Locally Based Enterprise Program contained in Article 67 of the Contract. The contractor is advised that:

(A) If any portion of the Contract is subcontracted, not less than ten percent of the total dollar amount of the contract shall be awarded to locally based enterprises ("LBEs"); except, where less than ten percent of the total dollar amount of the Contract is subcontracted, such lesser percentage shall be so awarded.

(B) No contractor shall require performance and payment bonds from LBE subcontractors.

(C) No Contract shall be awarded unless the contractor first identifies in its bid:

(1) the percentage, dollar amount and type of work to be subcontracted; and

(2) the percentage, dollar amount and type of work to be subcontracted to LBEs.

(D) Within ten calendar days after notification of low bid, the apparent low bidder shall submit an "LBE Participation Schedule" to the contracting agency. If such schedule does not identify sufficient LBE subcontractors to meet the requirements of Administrative Code Section 6-108.1, the apparent low bidder shall submit documentation of its good faith efforts to meet such requirements.

(1) The "LBE Participation Schedule" shall include:

(a) the name and address of each LBE that will be given a subcontract,

(b) the percentage, dollar amount and type of work to be subcontracted to the LBE, and

(c) the dates when the LBE subcontract work will commence and end.

(2) The following documents shall be attached to the "LBE Participation Schedule":

(a) verification letters from each subcontractor listed in the "LBE Participation Schedule" stating that the LBE will enter into a formal agreement for work,



- (b) certification documents of any proposed LBE subcontractor which is not on the LBE certified list, and
  - (c) copies of the certification letter of any proposed subcontractor which is an LBE.
- (3) Documentation of good faith efforts to achieve the required LBE percentage shall include as appropriate but not limited to the following:
- (a) attendance at prebid meetings, when scheduled by the agency, to advise bidders of contract requirements;
  - (b) advertisement where appropriate in general circulation media, trade association publications and small business media of the specific subcontracts that would be at least equal to the percentage goal for LBE utilization specified by the contractor;
  - (c) written notification to association of small, minority and women contractors soliciting specific subcontractors;
  - (d) written notification by certified mail to LBE firms that their interest in the contract is solicited for specific work items and their estimated values;
  - (e) demonstration of efforts made to select portions of the work for performance by LBE firms in order to increase the likelihood of achieving the stated goal;
  - (f) documented efforts to negotiate with LBE firms for specific subcontracts, including at a minimum:
    - (i) The names, address and telephone numbers of LBE firms that are contacted;
    - (ii) A description of the information provided to LBE firms regarding the plans and specifications for portions of the work to be performed;
    - (iii) Documentation showing that no reasonable price can be obtained from LBE firms;
    - (iv) A statement of why agreements with LBE firms were not reached;
  - (g) a statement of the reason for rejecting any LBE firm which the contractor deemed to be unqualified; and
  - (h) documentation of efforts made to assist the LBE firms contacted that needed assistance in obtaining required insurance.
- (E) Unless otherwise waived by the Commissioner with the approval of the Office of Economic and Financial Opportunity, failure of a proposed contractor to provide the information required by paragraphs (C) and (D) above may render the bid non-responsive and the Contract may not be awarded to the bidder. If the contractor states that it will subcontract a specific portion of the work, but can demonstrate despite good faith efforts it cannot achieve its required LBE percentage for subcontracted work until after award of Contract, the Contract may be awarded, subject to a letter of compliance from the contractor stating that it will comply with Administrative Code Section 6-108.1 and subject to approval by the Commissioner. If the contractor has not met its required LBE percentage prior to award, the contractor shall demonstrate that a good faith effort has been made subsequent to award to obtain LBEs on each subcontract until it meets the required percentage.
- (F) When a bidder indicates prior to award that no work will be subcontracted, no work may be subcontracted without the prior written approval of the Commissioner, which shall be granted only if the contractor in good faith seeks LBE subcontractors at least six weeks prior to the start of work.
- (G) The contractor may not substitute or change any LBE which was identified prior to award of the contract without the written permission of the Commissioner. The contractor shall make a written application to the Commissioner for permission to make such substitution or change, explaining why the contractor needs to change its LBE subcontractor and how the contractor will meet its LBE subcontracting requirement. Copies of such application must be served on the originally identified LBE by certified mail return receipt requested,

as well as the proposed substitute LBE. The Commissioner shall determine whether or not to grant the contractor's request for substitution.

38. Bid Submission Requirements

The Bid Submission Requirements are set forth in the PASSPort RFx.

39. Comptroller's Certificate

This Contract shall not be binding or of any force unless it is registered by the Comptroller in accordance with Section 328 of the City Charter and the Procurement Policy Board Rules. This Contract shall continue in force only after annual appropriation of funds by the City of New York and certification as hereinabove set forth.

40. Procurement Policy Board Rules

This Invitation For Bids is subject to the Rules of the Procurement Policy Board of the City of New York. In the event of a conflict between said Rules and a provision of this Invitation For Bids, the Rules shall take precedence.

41. DDC Safety Requirements

The DDC Safety Requirements apply to the work to be performed pursuant to the Contract. The DDC Safety Requirements are set forth on the following pages.

**THIS PAGE INTENTIONALLY LEFT BLANK**

**CITY OF NEW YORK**  
**DEPARTMENT OF DESIGN AND CONSTRUCTION**  
**SAFETY REQUIREMENTS FOR CONSTRUCTION**  
**CONTRACTS**

January 2020

---

**THE DDC SAFETY REQUIREMENTS FOR CONSTRUCTION CONTRACTS INCLUDE THE FOLLOWING SECTIONS:**

- I. POLICY ON SITE SAFETY**
- II. PURPOSE**
- III. DEFINITIONS**
- IV. RESPONSIBILITIES**
- V. SAFETY QUESTIONNAIRE**
- VI. SITE SAFETY PLAN**
- VII. KICK-OFF/PRE-CONSTRUCTION MEETINGS AND SAFETY REVIEW**
- VIII. EVALUATION DURING WORK IN PROGRESS**
- IX. SAFETY PERFORMANCE EVALUATION**

## I. POLICY ON SITE SAFETY

The City of New York Department of Design and Construction (DDC) is committed to a policy of injury and illness prevention and risk management for construction work that will ensure the safety and health of the workers engaged in the projects and the protection of the general public. Therefore, it is DDC's policy that work carried out by Contractors on DDC contracts must, at a minimum, comply with the most current versions of all applicable federal, state and city laws, rules, and regulations, including without limitation:

- ❑ Code of Federal Regulations, Title 29, Part 1926 (29 CFR 1926) and applicable Sub-parts of Part 1910 – U.S. Occupational Safety and Health Administration (OSHA);
- ❑ Federal Highway Administration – Manual on Uniform Traffic Control Devices (MUTCD);
- ❑ New York Codes, Rules and Regulations (NYCRR), Title 12, Part 23 – Protection in Construction, Demolition and Excavation Operations;
- ❑ New York Codes, Rules and Regulations (NYCRR), Title 16, Part 753 – Protection of Underground Facilities;
- ❑ New York City Administrative Code, Title 28 – New York City Construction Codes;
- ❑ Rules of the City of New York, Title 15, Chapter 13 – Rules Pertaining To the Prevention of the Emission of Dust from Construction Related Activities;
- ❑ Rules of the City of New York, Title 15, Chapter 28 – Citywide Construction Noise Mitigation;
- ❑ Rules of the City of New York, Title 34 Chapter 2 – NYCDOT Highway Rules.

The Contractor will be required to comply with all new and/or revised federal, state and city laws, rules, and regulations, issued during the course of the project, at the expense of the Contractor without any additional costs to the DDC.

## II. PURPOSE

The purpose of this policy is to ensure that Contractors perform their work and supervise their employees in accordance with all applicable federal, state and city rules and regulations. Further, Contractors will be expected to minimize or eliminate jobsite and public hazards, through a planning, inspection, auditing and corrective action process. The goal is to control risks so that injuries, illnesses, and accidents to contractors' employees, DDC employees and the general public, as well as damage to city-owned and private property, are reduced to the lowest level feasible.

## III. DEFINITIONS

**Agency Chief Contracting Officer (ACCO):** The ACCO will mean the person delegated authority by the Commissioner to organize and supervise the procurement activity of subordinate Agency staff in conjunction with the City Chief Procurement Officer (CCPO).

**Competent Person:** As defined by OSHA, an individual who is capable of identifying existing and predictable hazards in the surroundings or working conditions that are unsanitary, hazardous, or dangerous to employees or the general public, and who has authorization to take prompt corrective measures to eliminate them. This individual will have completed, at a minimum an authorized 30-hour OSHA Construction Safety Course. The Contractor may be required to provide more than one competent person due to construction operations and based on the number of active work sites.

**Construction Safety Auditor:** A representative of the Office of Construction Safety who provides inspection and assessment services to enhance health and safety on all DDC construction projects. The activities of the Construction Safety Auditor include performing site audits, reviewing safety plans, reviewing construction permits, drawings, verifying Contractor's compliance with applicable federal, state and city laws, rules, regulations, and DDC Contract Safety Requirements, etc. and rendering technical advice and assistance to DDC Resident Engineers and Project Managers.

**Office of Construction Safety:** A unit of DDC Safety and Site Support that assesses contractor’s safety on DDC jobsites and advises responsible parties of needed corrective actions.

**Registered Construction Superintendent:** For certain projects, as defined in New York City Construction Codes – Title 28, the contractor will provide a Construction Superintendent registered with the NYC Department of Buildings and responsible for all duties as defined in Chapter 33 of Title 1 of the Rules of the City of New York.

**Contractor:** For purposes of these Safety Requirements, the term “Contractor” will mean any person or entity that enters into a contract for the performance of construction work on a DDC project. The term “Contractor” will include any person or entity which enters into any of the following types of contracts: (1) a prime construction contract for a specific project, (2) a prime construction contract using the Job Order Contracting System (“JOCS Contract”), and (3) a subcontract with a CM/Builder (“First Tier Subcontract”).

**Daily Safety Job Briefing:** Daily jobsite safety briefings, given to all jobsite personnel at project site by the Contractor before work begins and/or if hazards or potential hazards are discovered while working, with the purpose of discussing the scheduled activities for the day, the hazards related to these activities, activity specific safety procedures, and Job Hazard Analysis associated with the scheduled construction work. Daily jobsite briefings will be documented, available at the jobsite, and will include at a minimum, topics, name and signature of the person conducting the briefing session, names and signatures of attendants, name of the designated competent person, contractor’s name, DDC Project ID, date, time, and location.

**Director – Office of Construction Safety:** Responsible for the operations of the Office of Construction Safety and the DDC Site Safety management programs.

**Job Hazard Analysis (JHA):** A process of identifying the major job tasks and any potential site-specific hazards that may be present during construction and establishing the means and methods to eliminate or control those hazards. A JHA will be documented, available at the jobsite and will include at a minimum work tasks, being performed, identified hazards, control methods for the identified hazards, contractor’s name, DDC Project ID, location, date, name and signature of certifying person. A JHA is a living document that will be re-evaluated and revised to address new hazards and tasks that may develop and will be present at the worksite and produced upon request.

**Qualified Person:** As defined by OSHA, an individual who, by possession of a recognized degree, certificate, license, or professional standing, or who by extensive knowledge, training, and experience, has successfully demonstrated his or her ability to solve problems relating to the subject matter, the work, or the project. Qualified Persons are required under regulation to address issues pertaining, but without limit, to fall protection, scaffold design, maintenance and protection of traffic, and excavation protective system, among others.

**Project Site:** Those areas indicated in the Contract Documents where the Work is to be performed.

**Project Safety Representative:** The designated Project Safety Representative will have at a minimum an OSHA 30-hour Construction Safety Course and other safety training applicable to Contractor’s/subcontractor’s project work. This individual will be responsible to oversee safety performance of the required construction work, conduct documented daily safety inspections, and implement corrective actions to maintain a safe work site. The Project Safety Representative must have sufficient experience and skills necessary to thoroughly understand the health and safety hazards and controls and must have authority to undertake corrective actions. A dedicated full-time Project Safety Representative may be required on large projects and projects deemed by DDC to be particularly high risk. DDC reserves the right to request a dedicated full-time Project Safety Representative for any reason at any time during the course of the project at the expense of the Contractor without any additional costs to the DDC. The full-time Project Safety Representative will be present at the site during all work activities.

**Resident Engineer (“RE”):** Representative of the Commissioner duly designated by the Commissioner to be his/her representative at the site of the work. The RE may be a consultant retained by DDC, including a Construction Management (CM) or Resident Engineer Inspection (REI) firm. If DDC has retained a CM, REI or other consultant firm to perform management and oversight for the Project (e.g., CM-Builder, CM-Design-Builder, Project Manager, Program Manager), that CM, REI or other consultant is the Resident Engineer for purposes of these Safety Requirements.

**Safety Questionnaire:** Used by DDC to evaluate Contractor’s current and past safety performance. It is required to be completed by all Contractors initially when submitting bids for Construction work, or when being pre-qualified and updated annually or as requested by the DDC.

**Site Safety Manager:** For certain projects, as defined in New York City Construction Codes – Title 28, the Contractor will provide a Site Safety Manager with a Site Safety Manager License issued by the New York City Department of Building.

**Site Safety Plan:** A site-specific safety plan developed by the Contractor for a DDC project. The Site Safety Plan will identify the project work scope, identify hazards associated with the project work and include project specific safety procedures and training appropriate and necessary to complete the work. The Site Safety Plan will be submitted within 30 days from the Award Date or as otherwise directed and is subject to review and acceptance by the Office of Construction Safety prior to the commencement of work at the site.

**Unsafe or Unhealthy Condition:** A condition that could be potentially hazardous to the health and safety of personnel or the public, and/or damaging to equipment, machinery, property, or the environment.

**Weekly Safety Meetings:** Weekly jobsite safety meetings, given to all jobsite personnel by Contractor, with the purpose of discussing general safety topics and job specific requirements encountered at the DDC work site. Weekly safety meetings will be documented and will include at a minimum, topics, name and signature of the person conducting the meeting, names and signatures of attendees, contractor’s name, DDC Project ID, date, and location.

**Work:** The construction required by the Contractor’s Contract Documents whether completed or partially completed, performed by the Contractor/ subcontractors. Work refers to the furnishing of labor, furnishing and incorporating materials and equipment into the construction and providing any service required by the Contract Documents to fulfill the Contractor’s obligation to complete the Project. For the purposes of these Safety Requirements, the term “Work” includes all Utility Interference work (commonly referred to as “Section U”, “EP-7”, and “Joint Bid” work) performed in association with this Contract.

#### **IV. RESPONSIBILITIES**

All persons who manage, perform, and provide support for construction projects will conduct operations in compliance with the requirements identified in this Policy and all applicable governing regulatory agency requirements and guidelines pertaining to safety in construction.

##### **A. Resident Engineer**

1. Review and facilitate Contractor(s) Site Safety Plan submittals to DDC for acceptability.
2. Notify the Office of Construction Safety of the commencement of construction work.
3. Develop and implement a training verification process to ensure that all CM/REI, consultant, Contractor, and subcontractor employees are properly trained. Maintain all applicable initial and refresher training records and assures documentation availability on site.
4. Maintain documentation of and attend weekly safety meetings and daily safety job briefings.
5. Assure that Contractor(s) JHA’s are current to reflect the work tasks being performed, hazards, and control methods to mitigate the identified hazards. Verify that all employees at the job site are trained on the JHAs and maintain supporting documentation on site.
6. Assure adequate planning for all critical construction activities (crane operation, excavation, confined space entry, etc.) including coordination between Contractor(s) /DDC/ other Agencies as required.
7. Maintain custody of all construction related permits, plans, approvals, drawings, etc., related to the project and assure their availability on site.
8. Recognize, minimize, or eliminate jobsite and public hazards, through required planning, inspection, verification, and corrective action process.
9. Monitor the conditions at the site for conformance with the Contractor’s Site Safety Plan, DDC policies, permits, and all applicable regulations and documentation that pertain to construction safety.
10. Notify the Contractor and DDC immediately upon determination of any condition or activity existing which is not in compliance with the Contractor’s Site Safety Plan, applicable federal, state or local codes or any

condition that presents a potential risk of injury to the public or workers or possible damage to property. Direct the Contractor to provide such labor, materials, equipment, and supervision to remedy such conditions.

11. Notify the Office of Construction Safety and the ACCO's Insurance and Risk Management Unit of project-related accidents, incidents, and near misses as per DDC's Construction Safety Emergency and Accident Notification and Response Procedure within two (2) hours.
12. In case of an accident, incident, or near miss, RE is responsible to protect the integrity of the accident site including but not limited to: the safeguarding of all evidence, documentation of all personnel on site at the time of the accident, gather facts related to all accidents, incidents, or near miss, and prepare required DDC Construction Accident Report as per DDC's Construction Safety Emergency and Accident Notification and Response Procedure. Maintain all records pertaining to accidents, incidents, and near miss and have them available upon request.
13. Notify the Office of Construction Safety within two (2) hours of the start of an inspection by any outside/regulatory agency personnel, including NYS, OSHA, NYC DOB or any other City/State/Federal oversight entity and forward a copy of the inspection report within one business day of its receipt.
14. Escort and assist Construction Safety Auditors during all field and record audits.
15. Report any emergency conditions to the Office of Construction Safety immediately.

**Note: In addition to the responsibilities listed above, if the Resident Engineer is a CM/REI or other non-City party hired by the City to manage the Project, the Resident Engineer is also required to do the following:**

16. Provide personnel who are certified and or trained appropriately for the requirements of the project.
17. Perform an investigation for any project-related accidents, incidents, and near misses. Within 24-hours of the time of the accident, incident, or near miss, the CM/REI will submit an investigation report to the Office of Construction Safety. Such report will include proposed remedial measures and implementation of corrective actions to prevent recurrence.

DDC reserves the right to request that the CM/REI replace any CM/REI personnel for any reason at any time during the project.

## **B. Construction Contractors**

**Note: For CM-Build and CM-Design-Build Projects, the CM will meet all requirements listed in this section, as well as the Resident Engineer section above.**

1. Submit a completed Safety Questionnaire and other safety performance related documentation with its bid or as part of a pre-qualification package.
2. Submit a Site Safety Plan within 30 days from the Award Date or as otherwise directed. The Site Safety Plan is subject to review and acceptance by the Office of Construction Safety prior to the commencement of work at the site. The Site Safety Plan will be revised and updated as necessary during the course of the project. If requested by the Office of Construction Safety, the Site Safety Plan must be developed and submitted for approval using a web-based system, the Site Safety Plan Application (SSP App).
3. Designate and identify a Project Safety Representative in the Site Safety Plan. The Contractor will immediately notify the Office of Construction Safety, in a form and manner acceptable to the Office of Construction Safety, of any permanent change to the designated Project Safety Representative. In the event the primary designated Project Safety Representative is temporary unable to perform his or her duties, an alternate Project Safety Representative will be provided. Resumes, outlining the qualification and experience for the Project Safety Representative (s) will be included in the Site Safety Plan and available upon request. DDC reserves the right to request the Contractor to replace a Project Safety Representative for any reason at any time during the course of the project.
4. Designate and identify a Competent Person(s) in the Site Safety Plan. Contractor/subcontractor may be required to provide more than one competent person due to construction operations and based on a number of work tasks/areas. DDC reserves the right to request the Contractor to replace a Competent Person or provide additional Competent Person(s) for any reason at any time during the course of the project. The Competent Person will be present at the site during all work activities.
5. For certain projects, as defined in New York City Construction Codes – Title 28, designate and identify the Licensed Site Safety Manager or Registered Construction Superintendent. Resumes, outlining the qualification and experience for the Licensed Site Safety Manager or Registered Construction Superintendent will be included in the Site Safety Plan and available upon request. The Contractor will immediately notify the Office



of Construction Safety, in a form and manner acceptable to the Office of Construction Safety, of any permanent change to the designated Site Safety Manager and/or Construction Superintendent. In the event the primary designated Site Safety Manager or Construction Superintendent is temporarily unable to perform his or her duties, an alternate Licensed Site Safety Manager and/or Registered Construction Superintendent will be provided. The Office of Construction Safety must be informed of such change. DDC reserves the right to request the Contractor to replace Site Safety Manager or Construction Superintendent for any reason at any time during the course of the project.

6. Develop a written Job Hazard Analysis (JHA) that identifies safety hazards and control methods for project specific work tasks. A preliminary JHA will be included in the Site Safety Plan submitted by the Contractor. A JHA is a living document that will be re-evaluated and revised to address new hazards and tasks that may develop during the course of the project and will be present at the worksite and produced upon request.
7. Develop project specific safety procedures to protect employees, general public, and property during all construction activities for the duration of the project.
8. Ensure that all employees are aware of the hazards associated with the project through documented formal and informal training and/or other communications. Conduct and document new employee and site-specific safety orientation for all Contractor and subcontractor personnel to review the hazards associated with the project as identified in the Site Safety Plan and the specific safety procedures and controls that will be used to protect workers, the general public and property. The Project Safety Representative will conduct this training prior to mobilization and if necessary during the course of the project. Documentation will be provided to the RE.
9. Prior to performing any work on DDC projects all Contractor's and subcontractor's employees will, at a minimum, have successfully completed, within the previous five calendar years, an OSHA 10-hour construction safety course.

All training records (OSHA 10-hour, flagger, scaffold, fall protection, confined space, etc.) will be provided to the RE prior to mobilization, included in the Site Safety Plan, kept current during the course of the project, and available for review.
10. Conduct and document weekly safety meetings and daily job briefing sessions for the duration of the project. Attendance at weekly safety meetings and daily job briefing sessions is mandatory. A written record of weekly safety meetings will be available upon request and job briefing sessions will be available at the worksite.
11. As part of the Site Safety Plan, prepare site specific procedures, such as maintenance and protection of traffic plan, steel erection plan, confined space program, fall protection plan, demolition plan, site specific emergency evacuation plan, etc. (if not otherwise provided in the contract documents) and comply with all of its provisions.
12. Have immediately available for review at the project site where actual construction activities are being performed all applicable documentation, including but not limited to: JHAs for work tasks being performed, all required training records, MPT plan (where applicable), Noise and Dust Mitigation Plans, excavation protective system drawings (where applicable), Emergency Evacuation plan, fall protection program (where applicable), confined space program (where applicable), all required permits, daily job briefing records, all required documentation for crane operation (where applicable), daily inspection checklist, scaffold and sidewalk drawings (when applicable), safety data sheets for chemicals in use.
13. Comply with all federal, state and local safety and health rules, laws, and regulations.
14. Comply with all provisions of the Site Safety Plan.
15. Provide, replace, and adequately maintain at or around the project site, suitable and sufficient signage, lights, barricades and enclosures (fences, sidewalk sheds, netting, bracing, etc.). The project specific MPT plan will be developed, implemented, and reviewed during the course of the project.
16. The Project Safety Representative will conduct daily safety inspections, document the inspection results, implement corrective actions for the identified hazards. Maintain the inspection records and have them available upon request.
17. **Report unsafe or unhealthy conditions to the RE as soon as practical, but no more than 24 hours after discovery, and take prompt actions to remove or abate such conditions. Should an imminent dangerous condition be discovered, Contractor will stop all work in the area of danger until corrections are made.**
18. Report all accidents, incidents and near misses involving injuries to workers or the general public, as well as property damage, to the RE within one (1) hour.
19. Following an accident or incident, unless otherwise directed, the Contractor will not remove or alter any equipment, structure, material, or evidence related to the accident or incident. Exception: Immediate emergency procedures taken to secure structures, temporary construction, operations, or equipment that pose a continued imminent danger or facilitate assistance for persons who are trapped or who have sustained bodily injury. Take

additional measures as necessary to secure the accident or incident site and to protect against any further injury or property damage.

20. The Contractor will perform an investigation into the root cause of the accident, incident, or near miss. Within 24 hours of an accident, incident, or near miss, the Contractor will prepare and submit to the RE a written investigation report detailing findings, corrective actions, and hazard mitigation implementation to prevent recurrence.
21. Notify the RE within two (2) hours of the start of an inspection by any outside regulatory agency personnel, including OSHA, NYC DOB, or others.
22. Maintain all records pertaining to all required safety compliance documents, accidents and incidents reports. DDC reserves the right to request copy of any records pertaining to the safety of the project and required by DDC and other federal, state, and city agencies, including but not limited to permits, training records, safety inspection records, drawings, equipment records, etc.
23. Cooperate with DDC Office of Construction Safety/ RE and address DDC recommendations on safety, which will in no way relieve the Contractor of its responsibilities for safety on the project. The Contractor has sole responsibility for safety.

## **V. SAFETY QUESTIONNAIRE**

DDC requires that all Contractors provide information regarding their current and past safety performance and programs. This will be accomplished by the use of the DDC Safety Questionnaire. As a part of the bid submittal package, the contractor will submit a completed DDC Safety Questionnaire listing company workers' compensation experience modification rating and OSHA Incident Rates for the three (3) years prior to the date of the bid opening. DDC may request a Contractor to update its Questionnaire at any time or to provide more detailed information. The Contractor will provide the requested information within 15 days.

The following criteria will be used by DDC in reviewing the Contractor's responsibility, which will be based on the information provided on the questionnaire:

- Criteria 1: OSHA Injury and Illness Rates (I&IR) are no greater than the average for the industry (based on the most current Bureau of Labor Statistics data for the Contractors SIC code); and
- Criteria 2: Insurance workers compensation Experience Modification Rate (EMR) equal to or less than 1.0; and
- Criteria 3: Any willful violations issued by OSHA or NYC DOB within the last three (3) years; and
- Criteria 4: A fatality (worker or member of public) and injuries, requiring OSHA notification, experienced on or near Contractor's worksite within the last three (3) years; and
- Criteria 5: Past safety performance on DDC projects (accidents; status of site safety plan submittals; etc.)
- Criteria 6: OSHA violation history for the last three (3) years;
- Criteria 7: Contractor will provide OSHA Injury and Illness Records (currently OSHA 300 and 300A Logs) for the last three (3) years.

If the Contractor fails to meet the basic criteria listed above, the Office of Construction Safety may request, through the ACCO, more details concerning the Contractor's safety experience. DDC may request the Contractor to provide copies of, among other things, accident investigation reports, OSHA records, OSHA and NYC DOB citations, EPA citations and written corrective action plan.

## **VI. SITE SAFETY PLAN**

Within thirty (30) days from the Award Date or as otherwise directed, the Contractor will submit the Site Safety Plan. The Site Safety Plan will identify project work scope, safety hazards associated with the project tasks, and include specific safety procedures and training appropriate and necessary to complete the work. The Site Safety Plan is subject to review and acceptance by the Office of Construction Safety prior to the commencement of work at the site. Due to the project work scope and project duration, the Office of Construction Safety may grant a conditional acceptance for a Site Safety Plan without all sections being complete. In a case of a "Conditional Acceptance" of a Site Safety Plan,

the Contractor will provide the remaining sections previously incomplete and/or not submitted for review and acceptance by the Office of Construction Safety prior to the commencement of the construction activities. The Office of Construction Safety reserves the right to withdraw the initial “Conditional Acceptance” if the Contractor fails to provide the remaining sections of a Site Safety Plan. Failure by the Contractor to submit an acceptable Site Safety Plan will be grounds for default.

Site Safety Plan requirements: The Site Safety Plan will be a written document and will apply to all project specific Contractor and subcontractor operations, and will have at a minimum, the following elements with each described in a separate section (It may be necessary to modify the basic format for certain unique or high-risk projects, such as tunnels or high-rise construction). All Site Safety Plan sections will be numbered in the order listed below. For sections, which are not applicable for the type of the work being performed by the Contractor on DDC project, the Contractor will in writing indicate “Not applicable based on the project work scope.” The Site Safety Plan will include Contractor’s name, DDC project ID, project location (s), and development and revision dates. The Site Safety Plan will include the sections, attachments, and appendixes provided in the Site Safety Plan. All pages of the Site Safety Plan will be numbered. If requested by the Office of Construction Safety, the Site Safety Plan must be developed and submitted for approval using a web-based system, the Site Safety Plan Application (SSP App).

1. Project Work Scope – Detailed information regarding work tasks that will be performed by Contractor and subcontractors under the project.
2. Responsibility and Organization – Contractor’s organization chart with responsible personnel for the project, including titles, names, contact information, roles, and responsibilities. All Contractor’s personnel required by the DDC Safety Requirements will be identified.
3. Safety Training and Education – OSHA 10 Hours training, requirements for daily safety briefings and weekly safety meetings, any work task specific training, responsible staff for implementation of training program for the project.
4. Job Hazard Analysis (JHA) – Project specific Job Hazard Analysis including work tasks, identified hazards, hazard control methods (administrative, engineering, PPE) to protect workers, property and general public, Contractor’s name, project id, location, name and signature of a certifying person, hazard assessment date.
5. Protection of Public – Project specific procedures covering safety of the general public during all project construction activities.
6. Hazard Corrective Actions - Procedures for hazard identification, including responsible person(s), frequency of safety inspections, implementation of corrective actions, safety inspection checklist.
7. Accident/Exposure Investigation – Project specific procedures for accident/incident/near miss investigation and implementation of corrective actions. Accident/incident/near miss notification procedure of DDC project staff (timer frame and responsible personnel).
8. Recording and Reporting Injuries – Procedures to meet 29 CFR 1904 requirements.
9. First Aid and Medical Attention – Responsible staff, location and inspection of First Aid kit, directions to local hospitals; emergency telephone numbers.
10. Project Specific Fire Protection and Prevention Program – Project specific procedures, including responsible staff, fire alarm system/methods, hot work procedures, etc.
11. Housekeeping Procedure.
12. Project Specific Illumination Procedure.
13. Project Specific Sanitation Procedure.
14. Personal Protective Equipment (PPE), including Respiratory Protection Program and Hearing Conservation Program, if required.
15. Hazard Communication Program – Contractor’s Hazard Communication Program, responsible staff; training; SDS records, project specific list of chemicals; location of the program and SDS records.
16. Means of Egress – Information regarding free and unobstructed egress from all parts of the building or structure; exit marking; maintenance of means of egress, etc.
17. Employee Emergency Action Plan – Project specific: responsible staff, emergency alarm system/devices, evacuation procedure, procedure to account for employees after evacuation, etc.
18. Evacuation Plan – Project specific evacuation plan (drawing/scheme) with exists and evacuation routes.
19. Ionizing/Nonionizing Radiation – Competent person, license and qualification requirements, type of radiation, employee’s exposure and protection, safety procedures, etc.

20. Material Handling, Storage, Use and Disposal – Project specific information regarding material storage, disposal, and handling: procedures, plan/drawings, etc.
21. Signs, Signals, and Barricades – Use of danger/warning signs, safety instruction signs, sidewalk closure and pedestrian fencing and barricades (if not included in the MPT plan), etc.
22. Tools – Hand and Power – Safety procedures for the type of tools to be used.
23. Scaffold – Project specific scaffold types, procedures, training requirements, scaffold drawings, designed, sealed, and signed by NYS Licensed Professional Engineer, or as otherwise directed; competent person, criteria for project specific scaffold, falling object protection, procedures for aerial lifts/scissor lifts.
24. Welding and Cutting – Project specific procedure for welding and cutting, including all necessary safety requirements such as fire prevention, personal protective equipment, hot work permits (if not covered by Contractor’s Fire Prevention and Protection program, FDNY certificate requirements).
25. Electrical Safety – Project specific procedures, including lock out-tag out.
26. Fall Protection – Project specific information regarding selected fall protection systems, fall protection plan, responsible staff.
27. Cranes, Derrick, Hoists, Elevators, Conveyors – project specific equipment information including type, rated load capacity, manufacture specification requirements, competent person, exposure to falling load, inspection, recordkeeping, clearance requirements, communication procedure, ground lines, permits.
28. Excavation Safety – Competent person; excavation procedures; project specific protective system, including drawings, designed, sealed, and signed by NYS Licensed Professional Engineer, or as otherwise directed.
29. Protection of Underground Facilities and Utilities Procedure, including responsible staff and responsibilities.
30. Concrete and Masonry Construction Procedures
31. Maintenance and Protection of Traffic Plan – Project specific MPT plan, designed, sealed, and signed by NYS Licensed Professional Engineer, or as otherwise directed; flagmen training, public safety, etc.
32. Steel Erection – Site specific erection plan, requirements for applicable written notifications, competent person, fall protection plan, training requirements, etc.
33. Demolition – Engineering survey, including written evidence, disconnection of all effected utilities, identification of all hazardous chemicals, materials, gases, etc., floor openings, chutes, inspection and maintenance of all stairs/passageways, removal of materials/debris/structural elements, lock out/tag out, competent person.
34. Blasting and the Use of Explosives – Project specific safety procedures, warning signs, training/qualification, transportation, storage and use of explosives, inspection.
35. Stairways and Ladders – Types of stairs and ladders, safety procedures, training requirements.
36. Alcohol and Drug Abuse Policy
37. Rodents and Vermin Controls
38. Toxic and Hazardous Substances – Safety procedures for substances that Contractor’s and subcontractor’s employees can be exposed on project.
39. Noise Mitigation Plan – Completed project specific Noise Mitigation Plan, and noise mitigation procedures.
40. Confined Space Program – Project specific Confined Space Program, responsible staff, training records, equipment information, rescue procedure, list of project specific confined spaces, forms.
41. Construction Vehicles/Heavy Equipment – Type of construction vehicles/heavy equipment to be used on site, procedures
42. Dust Mitigation Plan – Completed project specific Dust Mitigation Plan, and dust mitigation procedures.
43. Working Over and Near Water. Diving Operations – safety procedures including personal protective equipment, fall protection, rescue services, etc.

The most critical component of the Site Safety Plan is the Job Hazard Analysis (JHA) section. The JHA form is a written document prepared by the Contractor. The Contractor will conduct a site and task assessment to identify the tasks and any potential safety or environmental hazards related to performance of the work, eliminate or implement controls for the potential hazards, and identify proper personal protective equipment for the task. The JHA will be communicated to all Contractor/subcontractor personnel on site. The JHA will include safety hazard identification and controls to protect employees, general public, and property.

The initial JHA will be included in the Contractor’s Site Safety Plan and the current JHA form will be available at the construction site for reference. A JHA is a living document that will be re-evaluated and revised to address new hazards and tasks that may develop and will be present at the worksite and produced upon request.

## **VII. KICK-OFF MEETINGS/PRE-CONSTRUCTION AND SAFETY REVIEW**

Prior to the start of construction activities on all DDC projects, RE will invite the Office of Construction Safety to the construction kick-off meeting. The Office of Construction Safety representative(s) will participate in this meeting with the Contractor and RE for the purpose of:

- A. Reviewing DDC Contract Safety Requirements
- B. Reviewing site-specific safety issues based on a project work scope, location, and any other factors which may impact safety of workers and general public.
- C. Reviewing the Site Safety Plan and JHA requirements.
- D. Reviewing Accident/Incident reporting and investigation procedures.
- E. Reviewing designated safety contacts, roles, and responsibilities.
- F. Discussing planned inspections and audits of the site by the Office of Construction Safety personnel.

## **VIII. EVALUATION DURING WORK IN PROGRESS**

The Contractor's adherence to these Safety Requirements will be monitored throughout the project. This will be accomplished by the following:

- A. Use of a safety checklist by a representative of the Office of Construction Safety (or other designated DDC representative) and the RE during regular inspections and comprehensive audits of the job site. Field Exit Conferences will be held with the RE and Contractor Project Safety Representatives.
- B. The RE will continually monitor the safety and environmental performance of the Contractor's employees and work methods. Deficiencies will be brought to the attention of the Contractor's Project Safety Representative on site for immediate correction. The RE will maintain a written record of these deficiencies and have these records available upon request. Any critical deficiencies will be immediately reported to the Office of Construction Safety via telephone (718)391-1911.
- C. If the Contractor's safety performance during the project is not up to DDC standards (safety performance measure, accident/incident rate, etc.) the Director – Office of Construction Safety, or his/her designee will meet with the Contractor's Project Safety Representative and other representatives, the RE, and the DDC Environmental Specialist (if environmental issues are involved). The purpose of this meeting is to 1) determine the level of non-compliance; 2) explain and clarify the safety/environmental provisions; 3) agree on a future course of action to correct the deficiencies.
- D. If the deficiencies continue, the Commissioner may, without limitation, declare the Contractor in default.
- E. The Contractor will within 1 hour inform the RE of all accidents/incidents/near misses including all fatalities, any injuries to employees or members of the general public, and property damage (e.g., structural damage, equipment rollovers, utility damage, loads dropped from crane). The RE will notify the Office of Construction Safety as per DDC's Construction Safety Emergency and Accident Notification and Response Procedure and will maintain a record of all Contractor accidents/incidents for the project.
- F. The Contractor and the RE will notify the Office of Construction Safety within two (2) hours of the start of any NYS-DOL/ NYC-COSH/ OSHA/ EPA inspections.

## **IX. SAFETY PERFORMANCE EVALUATION**

The Contractor's safety record, including accident/incident history and DDC safety inspection results, will be considered as part of the Contractor's performance evaluation at the conclusion of the project. Poor safety performance during the course of the project will be a reason to rate a Contractor unsatisfactory which may be reflected in the City's PASSPort system and will be considered for future procurement actions as set forth in the City's Procurement Policy Board Rules.

# NOTICE TO BIDDERS

Please be advised that the following Riders to the March 2017 New York City Standard Construction Contract have been attached and incorporated in this Invitation for Bid:

- Rider regarding Non-Compensable Delays and Grounds for Extension;
- Rider regarding NYC Earned Safe and Sick Time Act.

Other than provisions specifically delineated in the Riders, all other terms of the March 2017 New York City Standard Construction Contract continue to apply in full force and effect.

**RIDER TO NEW YORK CITY STANDARD CONSTRUCTION CONTRACT (MARCH  
2017) REGARDING NON-COMPENSABLE DELAYS AND GROUNDS FOR  
EXTENSION**

The following provisions supersede the corresponding provisions in the March 2017 version of the New York City Standard Construction Contract:

1. Section **11.5.1** provides as follows:

11.5.1 The acts or omissions of public or government bodies (other than **City** agencies) or of any third parties who are disclosed in the **Contract Documents**, or those third parties who are ordinarily encountered or who are generally recognized as related to the **Work**, including but not limited to, **Other Contractors**, utilities or private enterprises;

2. Section **11.5.6** provides as follows:

**11.5.6** Climatic conditions, storms, floods, droughts, tidal waves, fires, hurricanes, earthquakes, landslides or other catastrophes or acts of God; acts of war or of the public enemy or terrorist acts; disruption, outage or power failure caused by a utility's inability or failure to provide service, pandemics, epidemics, outbreaks of infectious disease or any other public health emergency; other states of emergency declared by the City, State or Federal government, quarantine restrictions, and freight embargoes; including the **City's** reasonable responses to any of the above; and

3. Section **13.3** provides as follows:

**13.3** Grounds for Extension: If such application is made, the **Contractor** shall be entitled to an extension of time for delay in completion of the **Work** caused solely:

**13.3.1** By any of the acts or omissions of the **City**, its officials, agents or employees set forth in Articles **11.4.1.1** through **11.4.1.9**; or

**13.3.2** By or attributable to any of the items set forth in Articles **11.5.1** through **11.5.7**.

**13.3.3** The **Contractor** shall, however, be entitled to an extension of time for such causes only for the number of **Days** of delay which the **ACCO** or the Board may determine to be due solely to such causes, and then only if the **Contractor** shall have strictly complied with all of the requirements of Articles 9 and 10.

## **NYC EARNED SAFE AND SICK TIME ACT CONTRACT RIDER**

(To supersede Section 4.06 of the January 2018 Appendix A and Section 35.5 of the March 2017 Standard Construction Contract and to be attached to other City contracts and solicitations)

### A. *Introduction and General Provisions.*

1. The Earned Safe and Sick Time Act (“ESSTA”), codified at Title 20, Chapter 8 of the New York City Administrative Code, also known as the “Paid Safe and Sick Leave Law,” requires covered employees (as defined in Admin. Code § 20-912) in New York City (“City”) to be provided with paid safe and sick time. Contractors of the City or of other governmental entities may be required to provide safe and sick time pursuant to the ESSTA. The ESSTA is enforced by the City’s Department of Consumer and Worker Protection (“DCWP”), which has promulgated 6 RCNY §§ 7-101 and 201 *et seq.* (“DCWP Rules”).

2. The Contractor agrees to comply in all respects with the ESSTA and the DCWP Rules, and as amended, if applicable, in the performance of this agreement. The Contractor further acknowledges that such compliance is a material term of this agreement and that failure to comply with the ESSTA in performance of this agreement may result in its termination.

3. The Contractor must notify (with a copy to DCWP at [ComplianceMonitoring@dcwp.nyc.gov](mailto:ComplianceMonitoring@dcwp.nyc.gov)) the Agency Chief Contracting Officer of the City Agency or other entity with whom it is contracting in writing within 10 days of receipt of a complaint (whether oral or written) or notice of investigation regarding the ESSTA involving the performance of this agreement. Additionally, the Contractor must cooperate with DCWP’s guidance and must comply with DCWP’s subpoenas, requests for information, and other document demands as set forth in the ESSTA and the DCWP Rules. More information is available at <https://www1.nyc.gov/site/dca/about/paid-sick-leave-what-employers-need-to-know.page>.

4. Upon conclusion of a DCWP investigation, Contractor will receive a findings letter detailing any employee relief and civil penalties owed. Pursuant to the findings, Contractor will have the opportunity to settle any violations and cure the breach of this agreement caused by failure to comply with the ESSTA either i) without a trial by entering into a consent order or ii) appearing before an impartial judge at the City’s administrative tribunal. In addition to and notwithstanding any other rights and remedies available to the City, non-payment of relief and penalties owed pursuant to a consent order or final adjudication within 30 days of such consent order or final adjudication may result in the termination of this agreement without further opportunity to settle or cure the violations.

5. The ESSTA is briefly summarized below for the convenience of the Contractor. The Contractor is advised to review the ESSTA and the DCWP Rules in their entirety. The Contractor may go to [www.nyc.gov/PaidSickLeave](http://www.nyc.gov/PaidSickLeave) for resources for employers, such as Frequently Asked Questions, timekeeping tools and model forms, and an event calendar of upcoming presentations and webinars at which the Contractor can get more information about how to comply with the ESSTA and the DCWP Rules. The Contractor acknowledges that it is responsible for compliance with the ESSTA and the DCWP Rules notwithstanding any inconsistent language contained herein.



B. *Pursuant to the ESSTA and DCWP Rules: Applicability, Accrual, and Use.*

1. An employee who works within the City must be provided paid safe and sick time.<sup>1</sup> Employers with one hundred or more employees are required to provide 56 hours of safe and sick time for an employee each calendar year. Employers with fewer than one hundred employees are required to provide 40 hours of sick leave each calendar year. Employers must provide a minimum of one hour of safe and sick time for every 30 hours worked by an employee and compensation for such safe and sick time must be provided at the greater of the employee's regular hourly rate or the minimum wage at the time the paid safe or sick time is taken. Employers are not discouraged or prohibited from providing more generous safe and sick time policies than what the ESSTA requires.

2. Employees have the right to determine how much safe and sick time they will use, provided that an employer may set a reasonable minimum increment for the use of safe and sick time not to exceed four hours per day. For the use of safe time or sick time beyond the set minimum increment, an employer may set fixed periods of up to thirty minutes beyond the minimum increment. In addition, an employee may carry over up to 40 or 56 hours of unused safe and sick time to the following calendar year, provided that no employer is required to carry over unused paid safe and sick time if the employee is paid for such unused safe and sick time and the employer provides the employee with at least the legally required amount of paid safe and sick time for such employee for the immediately subsequent calendar year on the first day of such calendar year.

3. An employee entitled to safe and sick time pursuant to the ESSTA may use safe and sick time for any of the following:

a. such employee's mental illness, physical illness, injury, or health condition or the care of such illness, injury, or condition or such employee's need for medical diagnosis or preventive medical care;

b. such employee's care of a family member (an employee's child, spouse, domestic partner, parent, sibling, grandchild, or grandparent, the child or parent of an employee's spouse or domestic partner, any other individual related by blood to the employee, and any other individual whose close association with the employee is the equivalent of a family relationship) who has a mental illness, physical illness, injury or health condition or who has a need for medical diagnosis or preventive medical care;

---

<sup>1</sup> Pursuant to the ESSTA, if fewer than five employees work for the same employer, and the employer had a net income of less than one million dollars during the previous tax year, such employer has the option of providing such employees uncompensated safe and sick time.

c. closure of such employee's place of business by order of a public official due to a public health emergency;

d. such employee's need to care for a child whose school or childcare provider has been closed due to a public health emergency; or

e. when the employee or a family member has been the victim of a family offense matter, sexual offense, stalking, or human trafficking:

1. to obtain services from a domestic violence shelter, rape crisis center, or other shelter or services program for relief from a family offense matter, sexual offense, stalking, or human trafficking;
2. to participate in safety planning, temporarily or permanently relocate, or take other actions to increase the safety of the employee or employee's family members from future family offense matters, sexual offenses, stalking, or human trafficking;
3. to meet with a civil attorney or other social service provider to obtain information and advice on, and prepare for or participate in any criminal or civil proceeding, including but not limited to, matters related to a family offense matter, sexual offense, stalking, human trafficking, custody, visitation, matrimonial issues, orders of protection, immigration, housing, discrimination in employment, housing or consumer credit;
4. to file a complaint or domestic incident report with law enforcement;
5. to meet with a district attorney's office;
6. to enroll children in a new school; or
7. to take other actions necessary to maintain, improve, or restore the physical, psychological, or economic, health or safety of the employee or the employee's family member or to protect those who associate or work with the employee.

4. An employer must not require an employee, as a condition of taking safe and sick time, to search for a replacement. However, where the employee's need for safe and sick time is foreseeable, an employer may require an employee to provide reasonable notice of the need to use safe and sick time. For an absence of more than three consecutive work days, an employer may require reasonable documentation that the use of safe and sick time was needed for a reason listed in Admin. Code § 20-914; and/or written confirmation that an employee used safe and sick time pursuant to the ESSTA. However, an employer may not require documentation specifying the nature of a medical condition, require disclosure of the details of a medical condition, or require disclosure of the details of a family offense matter, sexual offense, stalking, or human trafficking, as a condition of providing safe and sick time. Health information and information concerning family offenses, sexual offenses, stalking or human trafficking obtained solely due to an

employee's use of safe and sick time pursuant to the ESSTA must be treated by the employer as confidential. An employer must reimburse an employee for all reasonable costs or expenses incurred in obtaining such documentation for the employer.

5. An employer must provide to all employees a written policy explaining its method of calculating sick time, policies regarding the use of safe and sick time (including any permissible discretionary conditions on use), and policies regarding carry-over of unused time at the end of the year, among other topics. It must provide the policy to employees using a delivery method that reasonably ensures that employees receive the policy. If such employer has not provided its written policy, it may not deny safe and sick time to an employee because of non-compliance with such a policy.

6. An employer must provide a pay statement or other form of written documentation that informs the employee of the amount of safe/sick time accrued and used during the relevant pay period and the total balance of the employee's accrued safe/sick time available for use.

7. Safe and sick time to which an employee is entitled must be paid no later than the payday for the next regular payroll period beginning after the safe and sick time was used.

C. *Exemptions and Exceptions.* Notwithstanding the above, the ESSTA does not apply to any of the following:

1. an independent contractor who does not meet the definition of employee under N.Y. Labor Law § 190(2);

2. an employee covered by a valid collective bargaining agreement, if the provisions of the ESSTA are expressly waived in such agreement and such agreement provides a benefit comparable to that provided by the ESSTA for such employee;

3. an audiologist, occupational therapist, physical therapist, or speech language pathologist who is licensed by the New York State Department of Education and who calls in for work assignments at will, determines their own schedule, has the ability to reject or accept any assignment referred to them, and is paid an average hourly wage that is at least four times the federal minimum wage;

4. an employee in a work study program under Section 2753 of Chapter 42 of the United States Code;

5. an employee whose work is compensated by a qualified scholarship program as that term is defined in the Internal Revenue Code, Section 117 of Chapter 20 of the United States Code; or

6. a participant in a Work Experience Program (WEP) under N.Y. Social Services Law § 336-c.

D. *Retaliation Prohibited.* An employer shall not take any adverse action against an employee that penalizes the employee for, or is reasonably likely to deter the employee from or interfere with the employee exercising or attempting in good faith to exercise any right provided by the ESSTA. In addition, an employer shall not interfere with any investigation, proceeding, or hearing pursuant to the ESSTA.

E. *Notice of Rights.*

1. An employer must provide its employees with written notice of their rights pursuant to the ESSTA. Such notice must be in English and the primary language spoken by an employee, provided that DCWP has made available a translation into such language. Downloadable notices are available on DCWP's website at <https://www1.nyc.gov/site/dca/about/Paid-Safe-Sick-Leave-Notice-of-Employee-Rights.page>. The notice must be provided to the employees by a method that reasonably ensures personal receipt by the employee.

2. Any person or entity that willfully violates these notice requirements is subject to a civil penalty in an amount not to exceed \$50.00 for each employee who was not given appropriate notice.

F. *Records.* An employer must retain records documenting its compliance with the ESSTA for a period of at least three years, and must allow DCWP to access such records in furtherance of an investigation related to an alleged violation of the ESSTA.

G. *Enforcement and Penalties.*

1. Upon receiving a complaint alleging a violation of the ESSTA, DCWP must investigate such complaint. DCWP may also open an investigation to determine compliance with the ESSTA on its own initiative. Upon notification of a complaint or an investigation by DCWP, the employer must provide DCWP with a written response and any such other information as DCWP may request. If DCWP believes that a violation of the ESSTA has occurred, it has the right to issue a notice of violation to the employer .

2. DCWP has the power to grant an employee or former employee all appropriate relief as set forth in Admin. Code § 20-924(d). Such relief may include, but is not limited to, treble damages for the wages that should have been paid; statutory damages for unlawful retaliation; and damages, including statutory damages, full compensation for wages and benefits lost, and reinstatement, for unlawful discharge. In addition, DCWP may impose on an employer found to have violated the ESSTA civil penalties not to exceed \$500.00 for a first violation, \$750.00 for a second violation within two years of the first violation, and \$1,000.00 for each succeeding violation within two years of the previous violation. When an employer has a policy or practice of not providing or refusing to allow the use of safe and sick time to its employees, DCWP may seek penalties and relief on a per employee basis.

3. Pursuant to Admin. Code § 20-924.2, (a) where reasonable cause exists to believe that an employer is engaged in a pattern or practice of violations of the ESSTA, the Corporation Counsel may commence a civil action on behalf of the City in a court of competent jurisdiction by filing a complaint setting forth facts relating to such pattern or practice and requesting relief, which may include injunctive relief, civil penalties and any other appropriate relief. Nothing in § 20-924.2 prohibits DCWP from exercising its authority under section 20-924 or the Charter, provided that a civil action pursuant to § 20-924.2 shall not have previously been commenced.

H. *More Generous Policies and Other Legal Requirements.* Nothing in the ESSTA is intended to discourage, prohibit, diminish, or impair the adoption or retention of a more generous safe and sick time policy, or the obligation of an employer to comply with any contract, collective bargaining agreement, employment benefit plan or other agreement providing more generous safe and sick time. The ESSTA provides minimum requirements pertaining to safe and sick time and does not preempt, limit, or otherwise affect the applicability of any other law, regulation, rule, requirement, policy or standard that provides for greater accrual or use by employees of safe and sick leave or time, whether paid or unpaid, or that extends other protections to employees. The ESSTA may not be construed as creating or imposing any requirement in conflict with any federal or state law, rule or regulation.

**CITY OF NEW YORK**

**STANDARD CONSTRUCTION CONTRACT**

**March 2017**

(NO TEXT ON THIS PAGE)

*CITY OF NEW YORK STANDARD CONSTRUCTION CONTRACT*

*TABLE OF CONTENTS*

( NO TEXT ON THIS PAGE ) ..... 6

CHAPTER I: THE CONTRACT AND DEFINITIONS ..... 7

**ARTICLE 1. THE CONTRACT**.....7

**ARTICLE 2. DEFINITIONS**.....7

CHAPTER II: THE WORK AND ITS PERFORMANCE ..... 10

**ARTICLE 3. CHARACTER OF THE WORK** .....10

**ARTICLE 4. MEANS AND METHODS OF CONSTRUCTION**.....10

**ARTICLE 5. COMPLIANCE WITH LAWS** .....11

**ARTICLE 6. INSPECTION** .....16

**ARTICLE 7. PROTECTION OF WORK AND OF PERSONS AND PROPERTY; NOTICES AND INDEMNIFICATION**.....17

CHAPTER III: TIME PROVISIONS ..... 18

**ARTICLE 8. COMMENCEMENT AND PROSECUTION OF THE WORK**.....18

**ARTICLE 9. PROGRESS SCHEDULES** .....18

**ARTICLE 10. REQUESTS FOR INFORMATION OR APPROVAL**.....19

**ARTICLE 11. NOTICE OF CONDITIONS CAUSING DELAY AND DOCUMENTATION OF DAMAGES CAUSED BY DELAY** .....19

**ARTICLE 12. COORDINATION WITH OTHER CONTRACTORS** .....23

**ARTICLE 13. EXTENSION OF TIME FOR PERFORMANCE** .....24

**ARTICLE 14. COMPLETION AND FINAL ACCEPTANCE OF THE WORK**.....27

**ARTICLE 15. LIQUIDATED DAMAGES** .....28

**ARTICLE 16. OCCUPATION OR USE PRIOR TO COMPLETION**.....29

CHAPTER IV: SUBCONTRACTS AND ASSIGNMENTS ..... 29

**ARTICLE 17. SUBCONTRACTS** .....29

**ARTICLE 18. ASSIGNMENTS** .....31

CHAPTER V: CONTRACTOR’S SECURITY AND GUARANTEE ..... 32

**ARTICLE 19. SECURITY DEPOSIT**.....32

**ARTICLE 20. PAYMENT GUARANTEE**.....32

**ARTICLE 21. RETAINED PERCENTAGE** .....35

**ARTICLE 22. INSURANCE**.....35

**ARTICLE 23. MONEY RETAINED AGAINST CLAIMS**.....41

**ARTICLE 24. MAINTENANCE AND GUARANTY** .....42

CHAPTER VI: CHANGES, EXTRA WORK, AND DOCUMENTATION OF CLAIM ..... 43

**ARTICLE 25. CHANGES** .....43

**ARTICLE 26. METHODS OF PAYMENT FOR OVERRUNS AND EXTRA WORK**.....43

**ARTICLE 27. RESOLUTION OF DISPUTES** .....46

**ARTICLE 28. RECORD KEEPING FOR EXTRA OR DISPUTED WORK OR WORK ON A TIME & MATERIALS BASIS** .....50

**ARTICLE 29. OMITTED WORK**.....51

**ARTICLE 30. NOTICE AND DOCUMENTATION OF COSTS AND DAMAGES; PRODUCTION OF FINANCIAL RECORDS** .....51

CHAPTER VII: POWERS OF THE RESIDENT ENGINEER, THE ENGINEER OR ARCHITECT



AND THE COMMISSIONER .....	52
<b>ARTICLE 31. THE RESIDENT ENGINEER .....</b>	<b>52</b>
<b>ARTICLE 32. THE ENGINEER OR ARCHITECT OR PROJECT MANAGER.....</b>	<b>53</b>
<b>ARTICLE 33. THE COMMISSIONER.....</b>	<b>53</b>
<b>ARTICLE 34. NO ESTOPPEL .....</b>	<b>54</b>
CHAPTER VIII: LABOR PROVISIONS .....	54
<b>ARTICLE 35. EMPLOYEES.....</b>	<b>54</b>
<b>ARTICLE 36. NO DISCRIMINATION.....</b>	<b>61</b>
<b>ARTICLE 37. LABOR LAW REQUIREMENTS.....</b>	<b>63</b>
<b>ARTICLE 38. PAYROLL REPORTS.....</b>	<b>68</b>
<b>ARTICLE 39. DUST HAZARDS .....</b>	<b>69</b>
CHAPTER IX: PARTIAL AND FINAL PAYMENTS .....	69
<b>ARTICLE 40. CONTRACT PRICE.....</b>	<b>69</b>
<b>ARTICLE 41. BID BREAKDOWN ON LUMP SUM .....</b>	<b>69</b>
<b>ARTICLE 42. PARTIAL PAYMENTS.....</b>	<b>70</b>
<b>ARTICLE 43. PROMPT PAYMENT.....</b>	<b>70</b>
<b>ARTICLE 44. SUBSTANTIAL COMPLETION PAYMENT .....</b>	<b>71</b>
<b>ARTICLE 45. FINAL PAYMENT.....</b>	<b>72</b>
<b>ARTICLE 46. ACCEPTANCE OF FINAL PAYMENT .....</b>	<b>73</b>
<b>ARTICLE 47. APPROVAL BY PUBLIC DESIGN COMMISSION.....</b>	<b>73</b>
CHAPTER X: CONTRACTOR'S DEFAULT.....	74
<b>ARTICLE 48. COMMISSIONER'S RIGHT TO DECLARE CONTRACTOR IN DEFAULT .....</b>	<b>74</b>
<b>ARTICLE 49. EXERCISE OF THE RIGHT TO DECLARE DEFAULT .....</b>	<b>75</b>
<b>ARTICLE 50. QUITTING THE SITE .....</b>	<b>75</b>
<b>ARTICLE 51. COMPLETION OF THE WORK .....</b>	<b>75</b>
<b>ARTICLE 52. PARTIAL DEFAULT .....</b>	<b>76</b>
<b>ARTICLE 53. PERFORMANCE OF UNCOMPLETED WORK.....</b>	<b>76</b>
<b>ARTICLE 54. OTHER REMEDIES .....</b>	<b>76</b>
CHAPTER XI: MISCELLANEOUS PROVISIONS .....	77
<b>ARTICLE 55. CONTRACTOR'S WARRANTIES .....</b>	<b>77</b>
<b>ARTICLE 56. CLAIMS AND ACTIONS THEREON .....</b>	<b>77</b>
<b>ARTICLE 57. INFRINGEMENT .....</b>	<b>78</b>
<b>ARTICLE 58. NO CLAIM AGAINST OFFICIALS, AGENTS OR EMPLOYEES .....</b>	<b>78</b>
<b>ARTICLE 59. SERVICE OF NOTICES.....</b>	<b>78</b>
<b>ARTICLE 60. UNLAWFUL PROVISIONS DEEMED STRICKEN FROM CONTRACT.....</b>	<b>78</b>
<b>ARTICLE 61. ALL LEGAL PROVISIONS DEEMED INCLUDED.....</b>	<b>79</b>
<b>ARTICLE 62. TAX EXEMPTION.....</b>	<b>79</b>
<b>ARTICLE 63. INVESTIGATION(S) CLAUSE.....</b>	<b>80</b>
<b>ARTICLE 64. TERMINATION BY THE CITY.....</b>	<b>82</b>
<b>ARTICLE 65. CHOICE OF LAW, CONSENT TO JURISDICTION AND VENUE .....</b>	<b>85</b>
<b>ARTICLE 66. PARTICIPATION IN AN INTERNATIONAL BOYCOTT.....</b>	<b>85</b>
<b>ARTICLE 67. LOCALLY BASED ENTERPRISE PROGRAM.....</b>	<b>86</b>
<b>ARTICLE 68. ANTITRUST .....</b>	<b>87</b>
<b>ARTICLE 69. MacBRIDE PRINCIPLES PROVISIONS.....</b>	<b>87</b>
<b>ARTICLE 70. ELECTRONIC FILING/NYC DEVELOPMENT HUB.....</b>	<b>89</b>
<b>ARTICLE 71. PROHIBITION OF TROPICAL HARDWOODS.....</b>	<b>89</b>
<b>ARTICLE 72. CONFLICTS OF INTEREST .....</b>	<b>89</b>

**ARTICLE 73. MERGER CLAUSE .....89**

**ARTICLE 74. STATEMENT OF WORK .....89**

**ARTICLE 75. COMPENSATION TO BE PAID TO CONTRACTOR.....90**

**ARTICLE 76. ELECTRONIC FUNDS TRANSFER.....90**

**ARTICLE 77. RECORDS RETENTION.....90**

**ARTICLE 78. EXAMINATION AND VIEWING OF SITE, CONSIDERATION OF OTHER  
SOURCES OF INFORMATION AND CHANGED SITE CONDITIONS .....90**

**ARTICLE 79. PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS  
ENTERPRISES IN CITY PROCUREMENT .....91**

**PERFORMANCE BOND #1 .....99**

**PERFORMANCE BOND #2.....103**

**PAYMENT BOND .....107**

**( NO TEXT ON THIS PAGE )**

## WITNESSETH:

The parties, in consideration of the mutual agreements contained herein, agree as follows:

### CHAPTER I: THE CONTRACT AND DEFINITIONS

#### ARTICLE 1. THE CONTRACT

1.1 Except for titles, subtitles, headings, running headlines, tables of contents and indices (all of which are printed herein merely for convenience), the following, except for such portions thereof as maybe specifically excluded, shall be deemed to be part of this **Contract**:

1.1.1 All provisions required by law to be inserted in this **Contract**, whether actually inserted or not;

1.1.2 The Contract Drawings and Specifications;

1.1.3 The General Conditions and Special Conditions, if any;

1.1.4 The **Contract**;

1.1.5 The Information for Bidders; Request for Proposals; Notice of Solicitation and Proposal For Bids; Bid or Proposal, and, if used, the Bid Booklet;

1.1.6 All Addenda issued prior to the receipt of the bids; the Notice of Award; Performance and Payment Bonds, if required; and the Notice to Proceed or the Order to Work.

1.2 Should any conflict occur in or between the Drawings and Specifications, the **Contractor** shall be deemed to have estimated the most expensive way of doing the **Work**, unless the **Contractor** shall have asked for and obtained a decision in writing from the **Commissioner** of the **Agency** that is entering into this **Contract**, before the submission of its bid, as to what shall govern.

#### ARTICLE 2. DEFINITIONS

2.1 The following words and expressions, or pronouns used in their stead, shall, wherever they appear in this Contract, be construed as follows, unless a different meaning is clear from the context:

2.1.1 “**Addendum**” or “**Addenda**” shall mean the additional Contract provisions and/or technical clarifications issued in writing by the Commissioner prior to the receipt of bids.

2.1.2 “**Agency**” shall mean a city, county, borough or other office, position, department, division, bureau, board or commission, or a corporation, institution or agency of government, the expenses of which are paid in whole or in part from the City treasury.

2.1.3 “**Agency Chief Contracting Officer**” (**ACCO**) shall mean a person delegated authority by the Commissioner to organize and supervise the procurement activity of subordinate Agency staff in conjunction with the CCPO, or his/her duly authorized representative.

2.1.4 **“Allowance”** shall mean a sum of money which the Agency may include in the total amount of the Contract for such specific contingencies as the Agency believes may be necessary to complete the Work, *e.g.*, lead or asbestos remediation, and for which the Contractor will be paid on the basis of stipulated unit prices or a formula set forth in the Contract or negotiated between the parties provided, however, that if the Contractor is not directed to use the Allowance, the Contractor shall have no right to such money and it shall be deducted from the total amount of the Contract.

2.1.5 **“City”** shall mean the City of New York.

2.1.6 **“City Chief Procurement Officer” (CCPO)** shall mean a person delegated authority by the Mayor to coordinate and oversee the procurement activity of Mayoral agency staff, including the ACCO and any offices which have oversight responsibility for the procurement of construction, or his/her duly authorized representative.

2.1.7 **“Commissioner”** shall mean the head of the Agency that has entered into this Contract, or his/her duly authorized representative.

2.1.8 **“Comptroller”** shall mean the Comptroller of the City of New York.

2.1.9 **“Contract”** or **“Contract Documents”** shall mean each of the various parts of the contract referred to in Article 1 hereof, both as a whole and severally.

2.1.10 **“Contract Drawings”** shall mean only those drawings specifically entitled as such and listed in the Specifications or in any Addendum, or any drawings furnished by the Commissioner, pertaining or supplemental thereto.

2.1.11 **“Contract Work”** shall mean everything required to be furnished and done by the Contractor by any one or more of the parts of the Contract referred to in Article 1, except Extra Work as hereinafter defined.

2.1.12 **“Contractor”** shall mean the entity which executed this Contract, whether a corporation, firm, partnership, joint venture, individual, or any combination thereof, and its, their, his/her successors, personal representatives, executors, administrators, and assigns, and any person, firm, partnership, joint venture, individual, or corporation which shall at any time be substituted in the place of the Contractor under this Contract.

2.1.13 **“Days”** shall mean calendar days, except where otherwise specified.

2.1.14 **“Engineer”** or **“Architect”** or **“Project Manager”** shall mean the person so designated in writing by the Commissioner in the Notice to Proceed or the Order to Work to act as such in relation to this Contract, including a private Architect or Engineer or Project Manager, as the case may be. Subject to written approval by the Commissioner, the Engineer, Architect or Project Manager may designate an authorized representative.

2.1.15 **“Engineering Audit Officer” (EAO)** shall mean the person so designated by the Commissioner to perform responsible auditing functions hereunder.

2.1.16 **“Extra Work”** shall mean Work other than that required by the Contract at the time of award which is authorized by the Commissioner pursuant to Chapter VI of this Contract.

- 2.1.17 **“Federal-Aid Contract”** shall mean a contract in which the United States (federal) Government provides financial funding as so designated in the Information for Bidders.
- 2.1.18 **“Final Acceptance”** shall mean final written acceptance of all the Work by the Commissioner, a copy of which shall be sent to the Contractor.
- 2.1.19 **“Final Approved Punch List”** shall mean a list, approved pursuant to Article 14.2.2, specifying those items of Work to be completed by the Contractor after Substantial Completion and dates for the completion of each item of Work.
- 2.1.20 **“Law” or “Laws”** shall mean the Constitution of the State of New York, the New York City Charter, the New York City Administrative Code, a statute of the United States or of the State of New York, a local law of the City of New York, any ordinance, rule or regulation having the force of law, or common law.
- 2.1.21 **“Materialman”** shall mean any corporation, firm, partnership, joint venture, or individual, other than employees of the Contractor, who or which contracts with the Contractor or any Subcontractor, to fabricate or deliver, or who actually fabricates or delivers, plant, materials or equipment to be incorporated in the Work.
- 2.1.22 **“Means and Methods of Construction”** shall mean the labor, materials, temporary structures, tools, plant, and construction equipment, and the manner and time of their use, necessary to accomplish the result intended by this Contract.
- 2.1.23 **“Notice to Proceed” or “Order to Work”** shall mean the written notice issued by the Commissioner specifying the time for commencement of the Work and the Engineer, Architect or Project Manager.
- 2.1.24 **“Other Contractor(s)”** shall mean any contractor (other than the entity which executed this Contract or its Subcontractors) who or which has a contract with the City for work on or adjacent to the building or Site of the Work.
- 2.1.25 **“Payroll Taxes”** shall mean State Unemployment Insurance (SUI), Federal Unemployment Insurance (FUI), and payments pursuant to the Federal Insurance Contributions Act (FICA).
- 2.1.26 **“Project”** shall mean the public improvement to which this Contract relates.
- 2.1.27 **“Procurement Policy Board” (PPB)** shall mean the Agency of the City of New York whose function is to establish comprehensive and consistent procurement policies and rules which shall have broad application throughout the City.
- 2.1.28 **“Required Quantity”** in a unit price Contract shall mean the actual quantity of any item of Work or materials which is required to be performed or furnished in order to comply with the Contract.
- 2.1.29 **“Resident Engineer”** shall mean the representative of the Commissioner duly designated by the Commissioner to be his/her representative at the site of the Work.
- 2.1.30 **“Site”** shall mean the area upon or in which the Contractor’s operations are carried on, and such other areas adjacent thereto as may be designated as such by the Engineer.
- 2.1.31 **“Small Tools”** shall mean items that are ordinarily required for a worker’s job

function, including but not limited to, equipment that ordinarily has no licensing, insurance or substantive storage costs associated with it; such as circular and chain saws, impact drills, threaders, benders, wrenches, socket tools, etc.

2.1.32 “**Specifications**” shall mean all of the directions, requirements, and standards of performance applying to the Work as hereinafter detailed and designated under the Specifications.

2.1.33 “**Subcontractor**” shall mean any person, firm or corporation, other than employees of the Contractor, who or which contracts with the Contractor or with its subcontractors to furnish, or actually furnishes labor, or labor and materials, or labor and equipment, or superintendence, supervision and/or management at the Site. Wherever the word Subcontractor appears, it shall also mean sub-Subcontractor.

2.1.34 “**Substantial Completion**” shall mean the written determination by the Engineer that the Work required under this Contract is substantially, but not entirely, complete and the approval of the **Final Approved Punch List**.

2.1.35 “**Work**” shall mean all services required to complete the Project in accordance with the Contract Documents, including without limitation, labor, material, superintendence, management, administration, equipment, and incidentals, and obtaining any and all permits, certifications and licenses as may be necessary and required to complete the Work, and shall include both Contract Work and Extra Work.

## **CHAPTER II: THE WORK AND ITS PERFORMANCE**

### **ARTICLE 3. CHARACTER OF THE WORK**

3.1 Unless otherwise expressly provided in the **Contract Drawings, Specifications, and Addenda**, the **Work** shall be performed in accordance with the best modern practice, utilizing, unless otherwise specified in writing, new and unused materials of standard first grade quality and workmanship and design of the highest quality, to the satisfaction of the **Commissioner**.

### **ARTICLE 4. MEANS AND METHODS OF CONSTRUCTION**

4.1 Unless otherwise expressly provided in the **Contract Drawings, Specifications, and Addenda**, the **Means and Methods of Construction** shall be such as the **Contractor** may choose; subject, however, to the **Engineer’s** right to reject the **Means and Methods of Construction** proposed by the **Contractor** which in the opinion of the **Engineer**:

4.1.1 Will constitute or create a hazard to the **Work**, or to persons or property; or

4.1.2 Will not produce finished **Work** in accordance with the terms of the **Contract**; or

4.1.3 Will be detrimental to the overall progress of the **Project**.

4.2 The **Engineer’s** approval of the **Contractor’s Means and Methods of Construction**, or his/her failure to exercise his/her right to reject such means or methods, shall not relieve the **Contractor** of its obligation to complete the **Work** as provided in this **Contract**; nor shall the exercise of such right to reject

create a cause of action for damages.

## ARTICLE 5. COMPLIANCE WITH LAWS

5.1 The **Contractor** shall comply with all **Laws** applicable to this **Contract** and to the **Work** to be done hereunder.

5.2 Procurement Policy Board Rules: This **Contract** is subject to the Rules of the **PPB** (“**PPB Rules**”) in effect at the time of the bid opening for this **Contract**. In the event of a conflict between the **PPB Rules** and a provision of this **Contract**, the **PPB Rules** shall take precedence.

5.3 Noise Control Code provisions.

5.3.1 In accordance with the provisions of Section 24-216(b) of the Administrative Code of the **City** (“**Administrative Code**”), Noise Abatement Contract Compliance, devices and activities which will be operated, conducted, constructed or manufactured pursuant to this **Contract** and which are subject to the provisions of the **City** Noise Control Code shall be operated, conducted, constructed, or manufactured without causing a violation of the Administrative Code. Such devices and activities shall incorporate advances in the art of noise control development for the kind and level of noise emitted or produced by such devices and activities, in accordance with regulations issued by the **Commissioner** of the **City** Department of Environmental Protection.

5.3.2 The **Contractor** agrees to comply with Section 24-219 of the Administrative Code and implementing rules codified at 15 Rules of the City of New York (“**RCNY**”) Section 28-100 *et seq.* In accordance with such provisions, the **Contractor**, if the **Contractor** is the responsible party under such regulations, shall prepare and post a Construction Noise Mitigation Plan at each **Site**, in which the **Contractor** shall certify that all construction tools and equipment have been maintained so that they operate at normal manufacturers operating specifications. If the **Contractor** cannot make this certification, it must have in place an Alternative Noise Mitigation Plan approved by the **City** Department of Environmental Protection. In addition, the **Contractor**’s certified Construction Noise Mitigation Plan is subject inspection by the **City** Department of Environmental Protection in accordance with Section 28-101 of Title 15 of RCNY. No **Contract Work** may take place at a **Site** unless there is a Construction Noise Mitigation Plan or approved Alternative Noise Mitigation Plan in place. In addition, the **Contractor** shall create and implement a noise mitigation training program. Failure to comply with these requirements may result in fines and other penalties pursuant to the applicable provisions of the Administrative Code and RCNY.

5.4 Ultra Low Sulfur Diesel Fuel: In accordance with the provisions of Section 24-163.3 of the Administrative Code, the **Contractor** specifically agrees as follows:

5.4.1 Definitions. For purposes of this Article 5.4, the following definitions apply:

5.4.1(a) “**Contractor**” means any person or entity that enters into a Public Works Contract with a **City Agency**, or any person or entity that enters into an agreement with such person or entity, to perform work or provide labor or services related to such Public Works Contract.

5.4.1(b) “**Motor Vehicle**” means any self-propelled vehicle designed for transporting



persons or property on a street or highway.

5.4.1(c) “Nonroad Engine” means an internal combustion engine (including the fuel system) that is not used in a Motor Vehicle or a vehicle used solely for competition, or that is not subject to standards promulgated under Section 7411 or Section 7521 of Title 42 of the United States Code, except that this term shall apply to internal combustion engines used to power generators, compressors or similar equipment used in any construction program or project.

5.4.1(d) “Nonroad Vehicle” means a vehicle that is powered by a Nonroad Engine, fifty (50) horsepower and greater, and that is not a Motor Vehicle or a vehicle used solely for competition, which shall include, but not be limited to, excavators, backhoes, cranes, compressors, generators, bulldozers, and similar equipment, except that this term shall not apply to horticultural maintenance vehicles used for landscaping purposes that are powered by a Nonroad Engine of sixty-five (65) horsepower or less and that are not used in any construction program or project.

5.4.1(e) “Public Works Contract” means a contract with a **City Agency** for a construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge; a contract with a **City Agency** for the preparation for any construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge; or a contract with a **City Agency** for any final work involved in the completion of any construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge.

5.4.1(f) “Ultra Low Sulfur Diesel Fuel” means diesel fuel that has a sulfur content of no more than fifteen parts per million (15 ppm).

#### 5.4.2 Ultra Low Sulfur Diesel Fuel

5.4.2(a) All **Contractors** shall use Ultra Low Sulfur Diesel Fuel in diesel-powered Nonroad Vehicles in the performance of this **Contract**.

5.4.2(b) Notwithstanding the requirements of Article 5.4.2(a), **Contractors** may use diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm) to fulfill the requirements of this Article 5.4.2, where the Commissioner of the **City Department of Environmental Protection** (“DEP Commissioner”) has issued a determination that a sufficient quantity of Ultra Low Sulfur Diesel Fuel is not available to meet the needs of **Agencies** and **Contractors**. Any such determination shall expire after six (6) months unless renewed.

5.4.2(c) **Contractors** shall not be required to comply with this Article 5.4.2 where the **City Agency** letting this **Contract** makes a written finding, which is approved, in writing, by the DEP Commissioner, that a sufficient quantity of Ultra Low Sulfur Diesel Fuel, or diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm) is not available to meet the requirements of Section 24-163.3 of the Administrative Code, provided that such **Contractor** in its fulfillment of the requirements of this **Contract**, to the extent practicable, shall use whatever quantity of Ultra Low Sulfur Diesel Fuel or diesel fuel that has a sulfur content of no more than thirty parts per

million (30 ppm) is available. Any finding made pursuant to this Article 5.4.2(c) shall expire after sixty (60) **Days**, at which time the requirements of this Article 5.4.2 shall be in full force and effect unless the **City Agency** renews the finding in writing and such renewal is approved by the DEP Commissioner.

5.4.2(d) **Contractors** may check on determinations and approvals issued by the DEP Commissioner pursuant to Section 24-163.3 of the Administrative Code, if any, at [www.dep.nyc.gov](http://www.dep.nyc.gov) or by contacting the **City Agency** letting this **Contract**.

5.4.2(e) The requirements of this Article 5.4.2 do not apply where they are precluded by federal or State funding requirements or where the **Contract** is an emergency procurement.

#### 5.4.3 Best Available Technology

5.4.3(a) All **Contractors** shall utilize the best available technology for reducing the emission of pollutants for diesel-powered Nonroad Vehicles in the performance of this **Contract**. For determinations of best available technology for each type of diesel-powered Nonroad Vehicle, **Contractors** shall comply with the regulations of the **City Department of Environmental Protection**, as and when adopted, Chapter 14 of Title 15 of the Rules of the City of New York (RCNY). The **Contractor** shall fully document all steps in the best available technology selection process and shall furnish such documentation to the **City Agency** or the DEP Commissioner upon request. The **Contractor** shall retain all documentation generated in the best available technology selection process for as long as the selected best available technology is in use.

5.4.3(b) No **Contractor** shall be required to replace best available technology for reducing the emission of pollutants or other authorized technology utilized for a diesel-powered Nonroad Vehicle in accordance with the provisions of this Article 5.4.3 within three (3) years of having first utilized such technology for such vehicle.

5.4.3(c) This Article 5.4.3 shall not apply to any vehicle used to satisfy the requirements of a specific Public Works Contract for fewer than twenty (20) **Days**.

5.4.3(d) The **Contractor** shall not be required to comply with this Article 5.4.3 with respect to a diesel-powered Nonroad Vehicle under the following circumstances:

5.4.3(d)(i) Where the **City Agency** makes a written finding, which is approved, in writing, by the DEP Commissioner, that the best available technology for reducing the emission of pollutants as required by this Article 5.4.3 is unavailable for such vehicle, the **Contractor** shall use whatever technology for reducing the emission of pollutants, if any, is available and appropriate for such vehicle.

5.4.3(d)(ii) Where the DEP Commissioner has issued a written waiver based upon the Contractor having demonstrated to the DEP Commissioner that the use of the best available technology for reducing the emission of pollutants might endanger the operator of such vehicle or those working near such vehicle, due to engine malfunction, the **Contractor** shall use whatever technology for reducing the emission of pollutants, if any, is available and appropriate for such vehicle, which would not endanger the operator of such vehicle or those working near such vehicle.

5.4.3(d)(iii) In determining which technology to use for the purposes of Articles 5.4.3(d)(i) and 5.4.3(d)(ii) above, the **Contractor** shall primarily consider the reduction in emissions of particulate matter and secondarily consider the reduction in emissions of nitrogen oxides associated with the use of such technology, which shall in no event result in an increase in the emissions of either such pollutant.

5.4.3(d)(iv) The **Contractor** shall submit requests for a finding or a waiver pursuant to this Article 5.4.3(d) in writing to the DEP Commissioner, with a copy to the **ACCO** of the **City Agency** letting this **Contract**. Any finding or waiver made or issued pursuant to Articles 5.4.3(d)(i) and 5.4.3(d)(ii) above shall expire after one hundred eighty (180) **Days**, at which time the requirements of Article 5.4.3(a) shall be in full force and effect unless the **City Agency** renews the finding, in writing, and the DEP Commissioner approves such finding, in writing, or the DEP Commissioner renews the waiver, in writing.

5.4.3(e) The requirements of this Article 5.4.3 do not apply where they are precluded by federal or State funding requirements or where the **Contract** is an emergency procurement.

5.4.4 Section 24-163 of the Administrative Code. The **Contractor** shall comply with Section 24-163 of the Administrative Code related to the idling of the engines of motor vehicles while parking.

#### 5.4.5 Compliance

5.4.5(a) The **Contractor's** compliance with Article 5.4 may be independently monitored. If it is determined that the **Contractor** has failed to comply with any provision of Article 5.4, any costs associated with any independent monitoring incurred by the **City** shall be reimbursed by the **Contractor**.

5.4.5(b) Any **Contractor** who violates any provision of Article 5.4, except as provided in Article 5.4.5(c) below, shall be liable for a civil penalty between the amounts of one thousand (\$1,000) and ten thousand (\$10,000) dollars, in addition to twice the amount of money saved by such **Contractor** for failure to comply with Article 5.4.

5.4.5(c) No **Contractor** shall make a false claim with respect to the provisions of Article 5.4 to a **City Agency**. Where a **Contractor** has been found to have done so, such **Contractor** shall be liable for a civil penalty of twenty thousand (\$20,000) dollars, in addition to twice the amount of money saved by such **Contractor** in association with having made such false claim.

#### 5.4.6 Reporting

5.4.6(a) For all Public Works Contracts covered by this Article 5.4, the **Contractor** shall report to the **City Agency** the following information:

5.4.6(a)(i) The total number of diesel-powered Nonroad Vehicles used to fulfill the requirements of this Public Works Contract;

5.4.6(a)(ii) The number of such Nonroad Vehicles that were powered by Ultra Low Sulfur Diesel Fuel;

5.4.6(a)(iii) The number of such Nonroad Vehicles that utilized the best available technology for reducing the emission of pollutants, including a breakdown by vehicle model and the type of technology;

5.4.6(a)(iv) The number of such Nonroad Vehicles that utilized such other authorized technology in accordance with Article 5.4.3, including a breakdown by vehicle model and the type of technology used for each such vehicle;

5.4.6(a)(v) The locations where such Nonroad Vehicles were used; and

5.4.6(a)(vi) Where a determination is in effect pursuant to Article 5.4.2(b) or 5.4.2(c), detailed information concerning the **Contractor's** efforts to obtain Ultra Low Sulfur Diesel Fuel or diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm).

5.4.6(b) The **Contractor** shall submit the information required by Article 5.4.6(a) at the completion of **Work** under the Public Works Contract and on a yearly basis no later than August 1 throughout the term of the Public Works Contract. The yearly report shall cover **Work** performed during the preceding fiscal year (July 1 - June 30).

5.5 Ultra Low Sulfur Diesel Fuel. In accordance with the Coordinated Construction Act for Lower Manhattan, as amended:

5.5.1 Definitions. For purposes of this Article 5.5, the following definitions apply:

5.5.1(a) "Lower Manhattan" means the area to the south of and within the following lines: a line beginning at a point where the United States pierhead line in the Hudson River as it exists now or may be extended would intersect with the southerly line of West Houston Street in the Borough of Manhattan extended, thence easterly along the southerly side of West Houston Street to the southerly side of Houston Street, thence easterly along the southerly side of Houston Street to the southerly side of East Houston Street, thence northeasterly along the southerly side of East Houston Street to the point where it would intersect with the United States pierhead line in the East River as it exists now or may be extended, including tax lots within or immediately adjacent thereto.

5.5.1(b) "Lower Manhattan Redevelopment Project" means any project in Lower Manhattan that is funded in whole or in part with federal or State funding, or any project intended to improve transportation between Lower Manhattan and the two air terminals in the **City** known as LaGuardia Airport and John F. Kennedy International Airport, or between Lower Manhattan and the air terminal in Newark known as Newark Liberty International Airport, and that is funded in whole or in part with federal funding.

5.5.1(c) "Nonroad Engine" means an internal combustion engine (including the fuel system) that is not used in a Motor Vehicle or a vehicle used solely for competition, or that is not subject to standards promulgated under Section 7411 or Section 7521 of Title 42 of the United States Code, except that this term shall apply to internal combustion engines used to power generators, compressors or similar equipment used in any construction program or project.

5.5.1(d) "Nonroad Vehicle" means a vehicle that is powered by a Nonroad Engine, fifty (50) horsepower (HP) and greater, and that is not a Motor Vehicle or a vehicle used

solely for competition, which shall include, but not be limited to, excavators, backhoes, cranes, compressors, generators, bulldozers, and similar equipment, except that this terms shall not apply to horticultural maintenance vehicles used for landscaping purposes that are powered by a Nonroad Engine of sixty-five (65) HP or less and that are not used in any construction program or project.

5.5.1(e) "Ultra Low Sulfur Diesel Fuel" means diesel fuel that has a sulfur content of no more than fifteen parts per million (15 ppm).

5.5.2 Requirements. **Contractors** and **Subcontractors** are required to use only Ultra Low Sulfur Diesel Fuel to power the diesel-powered Nonroad Vehicles with engine HP rating of fifty (50) HP and above used on a Lower Manhattan Redevelopment Project and, where practicable, to reduce the emission of pollutants by retrofitting such Nonroad Vehicles with oxidation catalysts, particulate filters, or technology that achieves lowest particulate matter emissions.

5.6 Pesticides. In accordance with Section 17-1209 of the Administrative Code, to the extent that the **Contractor** or any **Subcontractor** applies pesticides to any property owned or leased by the **City**, the **Contractor**, and any **Subcontractor** shall comply with Chapter 12 of the Administrative Code.

5.7 Waste Treatment, Storage, and Disposal Facilities and Transporters. In connection with the **Work**, the **Contractor** and any **Subcontractor** shall use only those waste treatment, storage, and disposal facilities and waste transporters that possess the requisite license, permit or other governmental approval necessary to treat, store, dispose, or transport the waste, materials or hazardous substances.

5.8 Environmentally Preferable Purchasing. The **Contractor** shall ensure that products purchased or leased by the **Contractor** or any **Subcontractor** for the **Work** that are not specified by the **City** or are submitted as equivalents to a product specified by the **City** comply with the requirements of the New York City Environmentally Preferable Purchasing Program contained in Chapter 11 of Title 43 of the RCNY, pursuant to Chapter 3 of Title 6 of the Administrative Code.

## **ARTICLE 6. INSPECTION**

6.1 During the progress of the **Work** and up to the date of **Final Acceptance**, the **Contractor** shall at all times afford the representatives of the **City** every reasonable, safe, and proper facility for inspecting all **Work** done or being done at the **Site** and also for inspecting the manufacture or preparation of materials and equipment at the place of such manufacture or preparation.

6.2 The **Contractor's** obligation hereunder shall include the uncovering or taking down of finished **Work** and its restoration thereafter; provided, however, that the order to uncover, take down and restore shall be in writing, and further provided that if **Work** thus exposed proves satisfactory, and if the **Contractor** has complied with Article 6.1, such uncovering or taking down and restoration shall be considered an item of **Extra Work** to be paid for in accordance with the provisions of Article 26. If the **Work** thus exposed proves unsatisfactory, the **City** has no obligation to compensate the **Contractor** for the uncovering, taking down or restoration.

6.3 Inspection and approval by the **Commissioner**, the **Engineer**, **Project Manager**, or **Resident Engineer**, of finished **Work** or of **Work** being performed, or of materials and equipment at the place of manufacture or preparation, shall not relieve the **Contractor** of its obligation to perform the **Work** in strict accordance with the **Contract**. Finished or unfinished **Work** not found to be in strict accordance with the

**Contract** shall be replaced as directed by the **Engineer**, even though such **Work** may have been previously approved and paid for. Such corrective **Work** is **Contract Work** and shall not be deemed **Extra Work**.

6.4 Rejected **Work** and materials shall be promptly taken down and removed from the **Site**, which must at all times be kept in a reasonably clean and neat condition.

## **ARTICLE 7. PROTECTION OF WORK AND OF PERSONS AND PROPERTY; NOTICES AND INDEMNIFICATION**

7.1 During the performance of the **Work** and up to the date of **Final Acceptance**, the **Contractor** shall be under an absolute obligation to protect the finished and unfinished **Work** against any damage, loss, injury, theft and/or vandalism and in the event of such damage, loss, injury, theft and/or vandalism, it shall promptly replace and/or repair such **Work** at the **Contractor's** sole cost and expense, as directed by the **Resident Engineer**. The obligation to deliver finished **Work** in strict accordance with the **Contract** prior to **Final Acceptance** shall be absolute and shall not be affected by the **Resident Engineer's** approval of, or failure to prohibit, the **Means and Methods of Construction** used by the **Contractor**.

7.2 During the performance of the **Work** and up to the date of **Final Acceptance**, the **Contractor** shall take all reasonable precautions to protect all persons and the property of the **City** and of others from damage, loss or injury resulting from the **Contractor's**, and/or its **Subcontractors'** operations under this **Contract**. The **Contractor's** obligation to protect shall include the duty to provide, place or replace, and adequately maintain at or about the **Site** suitable and sufficient protection such as lights, barricades, and enclosures.

7.3 The **Contractor** shall comply with the notification requirements set forth below in the event of any loss, damage or injury to **Work**, persons or property, or any accidents arising out of the operations of the **Contractor** and/or its **Subcontractors** under this **Contract**.

7.3.1 The **Contractor** shall make a full and complete report in writing to the **Resident Engineer** within three (3) **Days** after the occurrence.

7.3.2 The **Contractor** shall also send written notice of any such event to all insurance carriers that issued potentially responsive policies (including commercial general liability insurance carriers for events relating to the **Contractor's** own employees) no later than twenty (20) days after such event and again no later than twenty (20) days after the initiation of any claim and/or action resulting therefrom. Such notice shall contain the following information: the number of the insurance policy, the name of the Named Insured, the date and location of the incident, and the identity of the persons injured or property damaged. For any policy on which the **City** and/or the **Engineer**, **Architect**, or **Project Manager** are Additional Insureds, such notice shall expressly specify that "this notice is being given on behalf of the City of New York as Additional Insured, such other Additional Insureds, as well as the Named Insured."

7.3.2(a) Whenever such notice is sent under a policy on which the **City** is an Additional Insured, the **Contractor** shall provide copies of the notice to the **Comptroller**, the **Commissioner** and the **City Corporation Counsel**. The copy to the **Comptroller** shall be sent to the Insurance Unit, NYC Comptroller's Office, 1 Centre Street – Room 1222, New York, New York, 10007. The copy to the **Commissioner** shall be sent to the address set forth in Schedule A of the General Conditions. The copy to the **City Corporation Counsel** shall be sent to Insurance Claims Specialist, Affirmative Litigation

Division, New York City Law Department, 100 Church Street, New York, New York 10007.

7.3.2(b) If the **Contractor** fails to provide any of the foregoing notices to any appropriate insurance carrier(s) in a timely and complete manner, the **Contractor** shall indemnify the **City** for all losses, judgments, settlements, and expenses, including reasonable attorneys' fees, arising from an insurer's disclaimer of coverage citing late notice by or on behalf of the **City**.

7.4 To the fullest extent permitted by law, the **Contractor** shall defend, indemnify, and hold the **City**, its employees, and officials (the "Indemnitees") harmless against any and all claims (including but not limited to claims asserted by any employee of the **Contractor** and/or its **Subcontractors**) and costs and expenses of whatever kind (including but not limited to payment or reimbursement of attorneys' fees and disbursements) allegedly arising out of or in any way related to the operations of the **Contractor** and/or its **Subcontractors** in the performance of this **Contract** or from the **Contractor's** and/or its **Subcontractors'** failure to comply with any of the provisions of this **Contract** or of the **Law**. Such costs and expenses shall include all those incurred in defending the underlying claim and those incurred in connection with the enforcement of this Article 7.4 by way of cross-claim, third-party claim, declaratory action or otherwise. The parties expressly agree that the indemnification obligation hereunder contemplates (1) full indemnity in the event of liability imposed against the Indemnitees without negligence and solely by reason of statute, operation of **Law** or otherwise; and (2) partial indemnity in the event of any actual negligence on the part of the Indemnitees either causing or contributing to the underlying claim (in which case, indemnification will be limited to any liability imposed over and above that percentage attributable to actual fault whether by statute, by operation of **Law**, or otherwise). Where partial indemnity is provided hereunder, all costs and expenses shall be indemnified on a pro rata basis.

7.4.1 Indemnification under Article 7.4 or any other provision of the **Contract** shall operate whether or not **Contractor** or its **Subcontractors** have placed and maintained the insurance specified under Article 22.

7.5 The provisions of this Article 7 shall not be deemed to create any new right of action in favor of third parties against the **Contractor** or the **City**.

### CHAPTER III: TIME PROVISIONS

#### ARTICLE 8. COMMENCEMENT AND PROSECUTION OF THE WORK

8.1 The **Contractor** shall commence the **Work** on the date specified in the **Notice to Proceed** or the **Order to Work**. The time for performance of the **Work** under the **Contract** shall be computed from the date specified in the **Notice to Proceed** or the **Order to Work**. **TIME BEING OF THE ESSENCE** to the **City**, the **Contractor** shall thereafter prosecute the **Work** diligently, using such **Means and Methods of Construction** as are in accord with Article 4 herein and as will assure its completion not later than the date specified in this **Contract**, or on the date to which the time for completion may be extended.

#### ARTICLE 9. PROGRESS SCHEDULES

9.1 To enable the **Work** to be performed in an orderly and expeditious manner, the **Contractor**, within fifteen (15) **Days** after the **Notice to Proceed** or **Order to Work**, unless otherwise directed by the **Engineer**, shall submit to the **Engineer** a proposed progress schedule based on the Critical Path Method in the form of

a bar graph or in such other form as specified by the **Engineer**, and monthly cash flow requirements, showing:

9.1.1 The anticipated time of commencement and completion of each of the various operations to be performed under this **Contract**; and

9.1.2 The sequence and interrelation of each of these operations with the others and with those of other related contracts; and

9.1.3 The estimated time required for fabrication or delivery, or both, of all materials and equipment required for the **Work**, including the anticipated time for obtaining required approvals pursuant to Article 10; and

9.1.4 The estimated amount in dollars the **Contractor** will claim on a monthly basis.

9.2 The proposed schedule shall be revised as directed by the **Engineer**, until finally approved by the **Engineer**, and after such approval, subject to the provisions of Article 11, shall be strictly adhered to by the **Contractor**.

9.3 If the **Contractor** shall fail to adhere to the approved progress schedule, or to the schedule as revised pursuant to Article 11, it shall promptly adopt such other or additional **Means and Methods of Construction**, at its sole cost and expense, as will make up for the time lost and will assure completion in accordance with the approved progress schedule. The approval by the **City** of a progress schedule which is shorter than the time allotted under the **Contract** shall not create any liability for the **City** if the approved progress schedule is not met.

9.4 The **Contractor** will not receive any payments until the proposed progress schedule is submitted.

#### **ARTICLE 10. REQUESTS FOR INFORMATION OR APPROVAL**

10.1 From time to time as the **Work** progresses and in the sequence indicated by the approved progress schedule, the **Contractor** shall submit to the **Engineer** a specific request in writing for each item of information or approval required by the **Contractor**. These requests shall state the latest date upon which the information or approval is actually required by the **Contractor**, and shall be submitted in a reasonable time in advance thereof to provide the **Engineer** a sufficient time to act upon such submissions, or any necessary re-submissions thereof.

10.2 The **Contractor** shall not have any right to an extension of time on account of delays due to the **Contractor's** failure to submit requests for the required information or the required approval in accordance with the above requirements.

#### **ARTICLE 11. NOTICE OF CONDITIONS CAUSING DELAY AND DOCUMENTATION OF DAMAGES CAUSED BY DELAY**

11.1 After the commencement of any condition which is causing or may cause a delay in completion of the **Work**, including conditions for which the **Contractor** may be entitled to an extension of time, the following notifications and submittals are required:

11.1.1 Within fifteen (15) **Days** after the **Contractor** becomes aware or reasonably should be



aware of each such condition, the **Contractor** must notify the **Resident Engineer** or **Engineer**, as directed by the **Commissioner**, in writing of the existence, nature and effect of such condition upon the approved progress schedule and the **Work**, and must state why and in what respects, if any, the condition is causing or may cause a delay. Such notice shall include a description of the construction activities that are or could be affected by the condition and may include any recommendations the **Contractor** may have to address the delay condition and any activities the **Contractor** may take to avoid or minimize the delay.

11.1.2 If the **Contractor** shall claim to be sustaining damages for delay as provided for in this Article 11, within forty-five (45) **Days** from the time such damages are first incurred for each such condition, the **Contractor** shall submit to the **Commissioner** a verified written statement of the details and estimates of the amounts of such damages, including categories of expected damages and projected monthly costs, together with documentary evidence of such damages as the **Contractor** may have at the time of submission (“statement of delay damages”), as further detailed in Article 11.6. The **Contractor** may submit the above statement within such additional time as may be granted by the **Commissioner** in writing upon written request therefor.

11.1.3 Articles 11.1.1 and 11.1.2 do not relieve the **Contractor** of its obligation to comply with the provisions of Article 44.

11.2 Failure of the **Contractor** to strictly comply with the requirements of Article 11.1.1 may, in the discretion of the **Commissioner**, be deemed sufficient cause to deny any extension of time on account of delay arising out of such condition. Failure of the **Contractor** to strictly comply with the requirements of both Articles 11.1.1 and 11.1.2 shall be deemed a conclusive waiver by the **Contractor** of any and all claims for damages for delay arising from such condition and no right to recover on such claims shall exist.

11.3 When appropriate and directed by the **Engineer**, the progress schedule shall be revised by the **Contractor** until finally approved by the **Engineer**. The revised progress schedule must be strictly adhered to by the **Contractor**.

#### 11.4 Compensable Delays

11.4.1 The **Contractor** agrees to make claim only for additional costs attributable to delay in the performance of this **Contract** necessarily extending the time for completion of the **Work** or resulting from acceleration directed by the **Commissioner** and required to maintain the progress schedule, occasioned solely by any act or omission to act of the **City** listed below. The **Contractor** also agrees that delay from any other cause shall be compensated, if at all, solely by an extension of time to complete the performance of the **Work**.

11.4.1.1 The failure of the **City** to take reasonable measures to coordinate and progress the **Work** to the extent required by the **Contract**, except that the **City** shall not be responsible for the **Contractor’s** obligation to coordinate and progress the **Work** of its **Subcontractors**.

11.4.1.2 Unreasonable delays attributable to the review of shop drawings, the issuance of change orders, or the cumulative impact of change orders that were not brought about by any act or omission of the **Contractor**.

11.4.1.3 The unavailability of the **Site** caused by acts or omissions of the **City**.

11.4.1.4 The issuance by the **Engineer** of a stop work order that was not brought about through any act or omission of the **Contractor**.

11.4.1.5 Differing site conditions or environmental hazards that were neither known nor reasonably ascertainable on a pre-bid inspection of the **Site** or review

of the bid documents or other publicly available sources, and that are not ordinarily encountered in the **Project's** geographical area or neighborhood or in the type of **Work** to be performed.

11.4.1.6 Delays caused by the **City's** bad faith or its willful, malicious, or grossly negligent conduct;

11.4.1.7 Delays not contemplated by the parties;

11.4.1.8 Delays so unreasonable that they constitute an intentional abandonment of the **Contract** by the **City**; and

11.4.1.9 Delays resulting from the **City's** breach of a fundamental obligation of the **Contract**.

11.4.2 No claim may be made for any alleged delay in **Substantial Completion** of the **Work** if the **Work** will be or is substantially completed by the date of **Substantial Completion** provided for in Schedule A unless acceleration has been directed by the **Commissioner** to meet the date of **Substantial Completion** set forth in Schedule A, or unless there is a provision in the **Contract** providing for additional compensation for early completion.

11.4.3 The provisions of this Article 11 apply only to claims for additional costs attributable to delay and do not preclude determinations by the **Commissioner** allowing reimbursements for additional costs for **Extra Work** pursuant to Articles 25 and 26 of this **Contract**. To the extent that any cost attributable to delay is reimbursed as part of a change order, no additional claim for compensation under this Article 11 shall be allowed.

11.5 Non-Compensable Delays. The **Contractor** agrees to make no claim for, and is deemed to have included in its bid prices for the various items of the **Contract**, the extra/additional costs attributable to any delays caused by or attributable to the items set forth below. For such items, the **Contractor** shall be compensated, if at all, solely by an extension of time to complete the performance of the **Work**, in accordance with the provisions of Article 13. Such extensions of time will be granted, if at all, pursuant to the grounds set forth in Article 13.3.

11.5.1 The acts or omissions of any third parties, including but not limited to **Other Contractors**, public/ governmental bodies (other than **City Agencies**), utilities or private enterprises, who are disclosed in the **Contract Documents** or are ordinarily encountered or generally recognized as related to the **Work**;

11.5.2 Any situation which was within the contemplation of the parties at the time of entering into the **Contract**, including any delay indicated or disclosed in the **Contract Documents** or that would be generally recognized by a reasonably prudent contractor as related to the nature of the **Work**, and/or the existence of any facility or appurtenance owned, operated or maintained by any third party, as indicated or disclosed in the **Contract Documents** or ordinarily encountered or generally recognized as related to the nature of the **Work**;

11.5.3 Restraining orders, injunctions or judgments issued by a court which were caused by a Contractor's submission, action or inaction or by a Contractor's **Means and Methods of Construction**, or by third parties, unless such order, injunction or judgment was the result of an act or omission by the **City**;

11.5.4 Any labor boycott, strike, picketing, lockout or similar situation;

11.5.5 Any shortages of supplies or materials, or unavailability of equipment, required by the **Contract Work**;

11.5.6 Climatic conditions, storms, floods, droughts, tidal waves, fires, hurricanes, earthquakes, landslides or other catastrophes or acts of God, or acts of war or of the public enemy or terrorist acts, including the **City's** reasonable responses thereto; and

11.5.7 **Extra Work** which does not significantly affect the overall completion of the **Contract**, reasonable delays in the review or issuance of change orders or field orders and/or in shop drawing reviews or approvals.

#### 11.6 Required Content of Submission of Statement of Delay Damages

11.6.1 In the verified written statement of delay damages required by Article 11.1.2, the following information shall be provided by the **Contractor**:

11.6.1.1 For each delay, the start and end dates of the claimed periods of delay and, in addition, a description of the operations that were delayed, an explanation of how they were delayed, and the reasons for the delay, including identifying the applicable act or omission of the City listed in Article 11.4.

11.6.1.2 A detailed factual statement of the claim providing all necessary dates, locations and items of **Work** affected by the claim.

11.6.1.3 The estimated amount of additional compensation sought and a breakdown of that amount into categories as described in Article 11.7.

11.6.1.4 Any additional information requested by the **Commissioner**.

#### 11.7 Recoverable Costs

11.7.1 Delay damages may be recoverable for the following costs actually and necessarily incurred in the performance of the **Work**:

11.7.1.1 Direct labor, including payroll taxes (subject to statutory wage caps) and supplemental benefits, based on time and materials records;

11.7.1.2 Necessary materials (including transportation to the **Site**), based on time and material records;

11.7.1.3 Reasonable rental value of necessary plant and equipment other than small tools, plus fuel/energy costs according to the applicable formula set forth in Articles 26.2.4 and/or 26.2.8, based on time and material records;

11.7.1.4 Additional insurance and bond costs;

11.7.1.5 Extended **Site** overhead, field office rental, salaries of field office staff, on-site project managers and superintendents, field office staff vehicles, **Project**-specific storage, field office utilities and telephone, and field office consumables;

11.7.1.6 Labor escalation costs based on actual costs;

11.7.1.7 Materials and equipment escalation costs based on applicable industry indices unless documentation of actual increased cost is provided;

11.7.1.8 Additional material and equipment storage costs based on actual documented costs and additional costs necessitated by extended manufacturer warranty periods; and

11.7.1.9 Extended home office overhead calculated based on the following formula:  
(1) Subtract from the original **Contract** amount the amount earned by original contractual **Substantial Completion** date (not

- including change orders);
- (2) Remove 15% overhead and profit from the calculation in item (1) by dividing the results of item (1) by 1.15;
- (3) Multiply the result of item (2) by 7.25% for the total home office overhead;
- (4) Multiply the result of item (3) by 7.25% for the total profit; and
- (5) The total extended home office overhead will be the total of items (3) and (4).

11.7.2 Recoverable Subcontractor Costs. When the **Work** is performed by a **Subcontractor**, the **Contractor** may be paid the actual and necessary costs of such subcontracted **Work** as outlined above in Articles 11.7.1.1 through 11.7.1.8, and an additional overhead of 5% of the costs outlined in Articles 11.7.1.1 through 11.7.1.3.

11.7.3 Non-Recoverable Costs. The parties agree that the **City** will have no liability for the following items and the **Contractor** agrees it shall make no claim for the following items:

- 11.7.3.1 Profit, or loss of anticipated or unanticipated profit, except as provided in Article 11.7.1.9;
- 11.7.3.2 Consequential damages, including, but not limited to, construction or bridge loans or interest paid on such loans, loss of bonding capacity, bidding opportunities, or interest in investment, or any resulting insolvency;
- 11.7.3.3 Indirect costs or expenses of any nature except those included in Article 11.7.1;
- 11.7.3.4 Direct or indirect costs attributable to performance of **Work** where the **Contractor**, because of situations or conditions within its control, has not progressed the **Work** in a satisfactory manner; and
- 11.7.3.5 Attorneys' fees and dispute and claims preparation expenses.

11.8 Any claims for delay under this Article 11 are not subject to the jurisdiction of the Contract Dispute Resolution Board pursuant to the dispute resolution process set forth in Article 27.

11.9 Any compensation provided to the **Contractor** in accordance with this Article 11 will be made pursuant to a claim filed with the **Comptroller**. Nothing in this Article 11 extends the time for the **Contractor** to file an action with respect to a claim within six months after **Substantial Completion** pursuant to Article 56.

## **ARTICLE 12. COORDINATION WITH OTHER CONTRACTORS**

12.1 During the progress of the **Work**, **Other Contractors** may be engaged in performing other work or may be awarded other contracts for additional work on this **Project**. In that event, the **Contractor** shall coordinate the **Work** to be done hereunder with the work of such **Other Contractors** and the **Contractor** shall fully cooperate with such **Other Contractors** and carefully fit its own **Work** to that provided under other contracts as may be directed by the **Engineer**. The **Contractor** shall not commit or permit any act which will interfere with the performance of work by any **Other Contractors**.

12.2 If the **Engineer** determines that the **Contractor** is failing to coordinate its **Work** with the work of **Other Contractors** as the **Engineer** has directed, then the **Commissioner** shall have the right to withhold any payments otherwise due hereunder until the **Contractor** completely complies with the **Engineer's** directions.

12.3 The **Contractor** shall notify the **Engineer** in writing if any **Other Contractor** on this **Project** is failing to coordinate its work with the **Work** of this **Contract**. If the **Engineer** finds such charges to be true, the **Engineer** shall promptly issue such directions to the **Other Contractor** with respect thereto as the situation may require. The **City** shall not, however, be liable for any damages suffered by any **Other Contractor's** failure to coordinate its work with the **Work** of this **Contract** or by reason of the **Other Contractor's** failure to promptly comply with the directions so issued by the **Engineer**, or by reason of any **Other Contractor's** default in performance, it being understood that the **City** does not guarantee the responsibility or continued efficiency of any contractor. The **Contractor** agrees to make no claim against the **City** for any damages relating to or arising out of any directions issued by the **Engineer** pursuant to this Article 12 (including but not limited to the failure of any **Other Contractor** to comply or promptly comply with such directions), or the failure of any **Other Contractor** to coordinate its work, or the default in performance of any **Other Contractor**.

12.4 The **Contractor** shall indemnify and hold the **City** harmless from any and all claims or judgments for damages and from costs and expenses to which the **City** may be subjected or which it may suffer or incur by reason of the **Contractor's** failure to comply with the **Engineer's** directions promptly; and the **Comptroller** shall have the right to exercise the powers reserved in Article 23 with respect to any claims which may be made for damages due to the **Contractor's** failure to comply with the **Engineer's** directions promptly. Insofar as the facts and **Law** relating to any claim would preclude the **City** from being completely indemnified by the **Contractor**, the **City** shall be partially indemnified by the **Contractor** to the fullest extent provided by **Law**.

12.5 Should the **Contractor** sustain any damage through any act or omission of any **Other Contractor** having a contract with the **City** for the performance of work upon the **Site** or of work which may be necessary to be performed for the proper prosecution of the **Work** to be performed hereunder, or through any act or omission of a subcontractor of such **Other Contractor**, the **Contractor** shall have no claim against the **City** for such damage, but shall have a right to recover such damage from the **Other**

12.5 **Contractor** under the provision similar to the following provisions which apply to this Contract and have been or will be inserted in the contracts with such Other Contractors:

12.5.1 Should any **Other Contractor** having or who shall hereafter have a contract with the **City** for the performance of work upon the **Site** sustain any damage through any act or omission of the **Contractor** hereunder or through any act or omission of any **Subcontractor** of the **Contractor**, the **Contractor** agrees to reimburse such **Other Contractor** for all such damages and to defend at its own expense any action based upon such claim and if any judgment or claim (even if the allegations of the action are without merit) against the **City** shall be allowed the **Contractor** shall pay or satisfy such judgment or claim and pay all costs and expenses in connection therewith and agrees to indemnify and hold the **City** harmless from all such claims. Insofar as the facts and **Law** relating to any claim would preclude the **City** from being completely indemnified by the **Contractor**, the **City** shall be partially indemnified by the **Contractor** to the fullest extent provided by **Law**.

12.6 The **City's** right to indemnification hereunder shall in no way be diminished, waived or discharged by its recourse to assessment of liquidated damages as provided in Article 15, or by the exercise of any other remedy provided for by **Contract** or by **Law**.

### **ARTICLE 13. EXTENSION OF TIME FOR PERFORMANCE**

13.1 If performance by the **Contractor** is delayed for a reason set forth in Article 13.3, the **Contractor** may be allowed a reasonable extension of time in conformance with this Article 13 and the **PPB**

Rules.

13.2 Any extension of time may be granted only by the **ACCO** or by the Board for the Extension of Time (hereafter “Board”) (as set forth below) upon written application by the **Contractor**.

13.3 Grounds for Extension: If such application is made, the **Contractor** shall be entitled to an extension of time for delay in completion of the **Work** caused solely:

13.3.1 By the acts or omissions of the **City**, its officials, agents or employees; or

13.3.2 By the act or omissions of **Other Contractors** on this **Project**; or

13.3.3 By supervening conditions entirely beyond the control of either party hereto (such as, but not limited to, acts of God or the public enemy, excessive inclement weather, war or other national emergency making performance temporarily impossible or illegal, or strikes or labor disputes not brought about by any act or omission of the **Contractor**).

13.3.4 The **Contractor** shall, however, be entitled to an extension of time for such causes only for the number of **Days** of delay which the **ACCO** or the Board may determine to be due solely to such causes, and then only if the **Contractor** shall have strictly complied with all of the requirements of Articles 9 and 10.

13.4 The **Contractor** shall not be entitled to receive a separate extension of time for each of several causes of delay operating concurrently, but, if at all, only for the actual period of delay in completion of the **Work** as determined by the **ACCO** or the Board, irrespective of the number of causes contributing to produce such delay. If one of several causes of delay operating concurrently results from any act, fault or omission of the **Contractor** or of its **Subcontractors** or **Materialmen**, and would of itself (irrespective of the concurrent causes) have delayed the **Work**, no extension of time will be allowed for the period of delay resulting from such act, fault or omission.

13.5 The determination made by the **ACCO** or the Board on an application for an extension of time shall be binding and conclusive on the **Contractor**.

13.6 The **ACCO** or the Board acting entirely within their discretion may grant an application for an extension of time for causes of delay other than those herein referred.

13.7 Permitting the **Contractor** to continue with the **Work** after the time fixed for its completion has expired, or after the time to which such completion may have been extended has expired, or the making of any payment to the **Contractor** after such time, shall in no way operate as a waiver on the part of the **City** of any of its rights under this **Contract**.

13.8 Application for Extension of Time:

13.8.1 Before the **Contractor’s** time extension request will be considered, the **Contractor** shall notify the **ACCO** of the condition which allegedly has caused or is causing the delay, and shall submit a written application to the **ACCO** identifying:

13.8.1(a) The **Contractor**; the registration number; and **Project** description;

13.8.1(b) Liquidated damage assessment rate, as specified in the **Contract**;

13.8.1(c) Original total bid price;

13.8.1(d) The original **Contract** start date and completion date;

13.8.1(e) Any previous time extensions granted (number and duration); and

13.8.1(f) The extension of time requested.

13.8.2 In addition, the application for extension of time shall set forth in detail:

13.8.2(a) The nature of each alleged cause of delay in completing the **Work**;

13.8.2(b) The date upon which each such cause of delay began and ended and the number of **Days** attributable to each such cause;

13.8.2(c) A statement that the **Contractor** waives all claims except for those delineated in the application, and the particulars of any claims which the **Contractor** does not agree to waive. For time extensions for **Substantial Completion** and final completion payments, the application shall include a detailed statement of the dollar amounts of each element of claim item reserved; and

13.8.2(d) A statement indicating the **Contractor's** understanding that the time extension is granted only for purposes of permitting continuation of **Contract** performance and payment for **Work** performed and that the **City** retains its right to conduct an investigation and assess liquidated damages as appropriate in the future.

13.9 Analysis and Approval of Time Extensions:

13.9.1 For time extensions for partial payments, a written determination shall be made by the **ACCO** who may, for good and sufficient cause, extend the time for the performance of the **Contract** as follows:

13.9.1(a) If the **Work** is to be completed within six (6) months, the time for performance may be extended for sixty (60) **Days**;

13.9.1(b) If the **Work** is to be completed within less than one (1) year but more than six (6) months, an extension of ninety (90) **Days** may be granted;

13.9.1(c) If the **Contract** period exceeds one (1) year, besides the extension granted in Article 13.9.1(b), an additional thirty (30) **Days** may be granted for each multiple of six (6) months involved beyond the one (1) year period; or

13.9.1(d) If exceptional circumstances exist, the **ACCO** may extend the time for performance beyond the extensions in Articles 13.9.1(a), 13.9.1(b), and 13.9.1(c). In that event, the **ACCO** shall file with the Mayor's Office of Contract Services a written explanation of the exceptional circumstances.

13.9.2 For extensions of time for **Substantial Completion** and final completion payments, the **Engineer**, in consultation with the **ACCO**, shall prepare a written analysis of the delay (including a preliminary determination of the causes of delay, the beginning and end dates for each such cause of delay, and whether the delays are excusable under the terms of this **Contract**). The report shall be subject to review by and approval of the Board, which shall have authority to question its analysis and determinations and request additional facts or documentation. The report as reviewed and made final by the Board shall be made a part of the **Agency** contract file. Neither the report itself nor anything contained therein shall operate as a

waiver or release of any claim the **City** may have against the **Contractor** for either actual or liquidated damages.

13.9.3 Approval Mechanism for Time Extensions for **Substantial Completion** or Final Completion Payments: An extension shall be granted only with the approval of the Board which is comprised of the **ACCO** of the **Agency**, the **City** Corporation Counsel, and the **Comptroller**, or their authorized representatives.

13.9.4 Neither the granting of any application for an extension of time to the **Contractor** or any **Other Contractor** on this **Project** nor the papers, records or reports related to any application for or grant of an extension of time or determination related thereto shall be referred to or offered in evidence by the **Contractor** or its attorneys in any action or proceeding.

13.10 No Damage for Delay: The **Contractor** agrees to make no claim for damages for delay in the performance of this **Contract** occasioned by any act or omission to act of the **City** or any of its representatives, except as provided for in Article 11.

#### **ARTICLE 14. COMPLETION AND FINAL ACCEPTANCE OF THE WORK**

14.1 Date for **Substantial Completion**: The **Contractor** shall substantially complete the **Work** within the time fixed in Schedule A of the General Conditions, or within the time to which such **Substantial Completion** may be extended.

14.2 Determining the Date of **Substantial Completion**: The **Work** will be deemed to be substantially complete when the two conditions set forth below have been met.

14.2.1 Inspection: The **Engineer** or **Resident Engineer**, as applicable, has inspected the **Work** and has made a written determination that it is substantially complete.

14.2.2 Approval of **Final Approved Punch List** and Date for **Final Acceptance**: Following inspection of the **Work**, the **Engineer/Resident Engineer** shall furnish the **Contractor** with a final punch list, specifying all items of **Work** to be completed and proposing dates for the completion of each specified item of **Work**. The **Contractor** shall then submit in writing to the **Engineer/Resident Engineer** within ten (10) **Days** of the **Engineer/Resident Engineer** furnishing the final punch list either acceptance of the dates or proposed alternative dates for the completion of each specified item of **Work**. If the **Contractor** neither accepts the dates nor proposes alternative dates within ten (10) **Days**, the schedule proposed by the **Engineer/Resident Engineer** shall be deemed accepted. If the **Contractor** proposes alternative dates, then, within a reasonable time after receipt, the **Engineer/Resident Engineer**, in a written notification to the **Contractor**, shall approve the **Contractor's** completion dates or, if they are unable to agree, the **Engineer/Resident Engineer** shall establish dates for the completion of each item of **Work**. The latest completion date specified shall be the date for **Final Acceptance** of the **Work**.

14.3 Date of **Substantial Completion**. The date of approval of the **Final Approved Punch List**, shall be the date of **Substantial Completion**. The date of approval of the **Final Approved Punch List** shall be either (a) if the **Contractor** approves the final punch list and proposed dates for completion furnished by the **Engineer/Resident Engineer**, the date of the **Contractor's** approval; or (b) if the **Contractor** neither accepts the dates nor proposes alternative dates, ten (10) **Days** after the **Engineer/Resident Engineer** furnishes the **Contractor** with a final punch list and proposed dates for completion; or (c) if the **Contractor** proposes alternative dates, the date that the **Engineer/Resident Engineer** sends written notification to the



**Contractor** either approving the **Contractor's** proposed alternative dates or establishing dates for the completion for each item of **Work**.

14.4 Determining the Date of **Final Acceptance**: The **Work** will be accepted as final and complete as of the date of the **Engineer's/Resident Engineer's** inspection if, upon such inspection, the **Engineer/Resident Engineer** finds that all items on the **Final Approved Punch List** are complete and no further **Work** remains to be done. The **Commissioner** will then issue a written determination of **Final Acceptance**.

14.5 Request for Inspection: Inspection of the **Work** by the **Engineer/Resident Engineer** for the purpose of **Substantial Completion** or **Final Acceptance** shall be made within fourteen (14) **Days** after receipt of the **Contractor's** written request therefor.

14.6 Request for Re-inspection: If upon inspection for the purpose of **Substantial Completion** or **Final Acceptance**, the **Engineer/Resident Engineer** determines that there are items of **Work** still to be performed, the **Contractor** shall promptly perform them and then request a re-inspection. If upon re-inspection, the **Engineer/Resident Engineer** determines that the **Work** is substantially complete or finally accepted, the date of such re-inspection shall be the date of **Substantial Completion** or **Final Acceptance**. Re-inspection by the **Engineer/Resident Engineer** shall be made within ten (10) **Days** after receipt of the **Contractor's** written request therefor.

14.7 Initiation of Inspection by the **Engineer/Resident Engineer**: If the **Contractor** does not request inspection or re-inspection of the **Work** for the purpose of **Substantial Completion** or **Final Acceptance**, the **Engineer/Resident Engineer** may initiate such inspection or re-inspection.

#### **ARTICLE 15. LIQUIDATED DAMAGES**

15.1 In the event the **Contractor** fails to substantially complete the **Work** within the time fixed for such **Substantial Completion** in Schedule A of the General Conditions, plus authorized time extensions, or if the **Contractor**, in the sole determination of the **Commissioner**, has abandoned the **Work**, the **Contractor** shall pay to the **City** the sum fixed in Schedule A of the General Conditions, for each and every **Day** that the time consumed in substantially completing the **Work** exceeds the time allowed therefor; which said sum, in view of the difficulty of accurately ascertaining the loss which the **City** will suffer by reason of delay in the **Substantial Completion** of the **Work** hereunder, is hereby fixed and agreed as the liquidated damages that the **City** will suffer by reason of such delay, and not as a penalty. This Article 15 shall also apply to the **Contractor** whether or not the **Contractor** is defaulted pursuant to Chapter X of this **Contract**. Neither the failure to assess liquidated damages nor the granting of any time extension shall operate as a waiver or release of any claim the **City** may have against the **Contractor** for either actual or liquidated damages.

15.2 Liquidated damages received hereunder are not intended to be nor shall they be treated as either a partial or full waiver or discharge of the **City's** right to indemnification, or the **Contractor's** obligation to indemnify the **City**, or to any other remedy provided for in this **Contract** or by **Law**.

15.3 The **Commissioner** may deduct and retain out of the monies which may become due hereunder, the amount of any such liquidated damages; and in case the amount which may become due hereunder shall be less than the amount of liquidated damages suffered by the **City**, the **Contractor** shall be liable to pay the difference.

## **ARTICLE 16. OCCUPATION OR USE PRIOR TO COMPLETION**

16.1 Unless otherwise provided for in the **Specifications**, the **Commissioner** may take over, use, occupy or operate any part of the **Work** at any time prior to **Final Acceptance**, upon written notification to the **Contractor**. The **Engineer** or **Resident Engineer**, as applicable, shall inspect the part of the **Work** to be taken over, used, occupied, or operated, and will furnish the **Contractor** with a written statement of the **Work**, if any, which remains to be performed on such part. The **Contractor** shall not object to, nor interfere with, the **Commissioner's** decision to exercise the rights granted by Article 16. In the event the **Commissioner** takes over, uses, occupies, or operates any part of the **Work**:

16.1.1 the **Engineer/Resident Engineer** shall issue a written determination of **Substantial Completion** with respect to such part of the **Work**;

16.1.2 the **Contractor** shall be relieved of its absolute obligation to protect such part of the unfinished **Work** in accordance with Article 7;

16.1.3 the **Contractor's** guarantee on such part of the **Work** shall begin on the date of such use by the **City**; and;

16.1.4 the **Contractor** shall be entitled to a return of so much of the amount retained in accordance with Article 21 as it relates to such part of the **Work**, except so much thereof as may be retained under Articles 24 and 44.

## **CHAPTER IV: SUBCONTRACTS AND ASSIGNMENTS**

### **ARTICLE 17. SUBCONTRACTS**

17.1 The **Contractor** shall not make subcontracts totaling an amount more than the percentage of the total **Contract** price fixed in Schedule A of the General Conditions, without prior written permission from the **Commissioner**. All subcontracts made by the **Contractor** shall be in writing. No **Work** may be performed by a **Subcontractor** prior to the **Contractor** entering into a written subcontract with the **Subcontractor** and complying with the provisions of this Article 17.

17.2 Before making any subcontracts, the **Contractor** shall submit a written statement to the **Commissioner** giving the name and address of the proposed **Subcontractor**; the portion of the **Work** and materials which it is to perform and furnish; the cost of the subcontract; the VENDEX questionnaire if required; the proposed subcontract if requested by the **Commissioner**; and any other information tending to prove that the proposed **Subcontractor** has the necessary facilities, skill, integrity, past experience, and financial resources to perform the **Work** in accordance with the terms and conditions of this **Contract**.

17.3 In addition to the requirements in Article 17.2, **Contractor** is required to list the **Subcontractor** in the web based Subcontractor Reporting System through the City's Payee Information Portal (PIP), available at [www.nyc.gov/pip](http://www.nyc.gov/pip).<sup>1</sup> For each **Subcontractor** listed, **Contractor** is required to provide the following information: maximum contract value, description of **Subcontractor's** Work, start and end date of the subcontract and identification of the **Subcontractor's** industry. Thereafter, **Contractor** will be required to report in the system the payments made to each **Subcontractor** within 30 days of making the

---

<sup>1</sup> In order to use the new system, a PIP account will be required. Detailed instructions on creating a PIP account and using the new system are also available at [www.nyc.gov/pip](http://www.nyc.gov/pip). Additional assistance with PIP may be obtained by emailing the Financial Information Services Agency Help Desk at [pip@fisa.nyc.gov](mailto:pip@fisa.nyc.gov).

payment. If any of the required information changes throughout the Term of the **Contract**, **Contractor** will be required to revise the information in the system.

Failure of the **Contractor** to list a **Subcontractor** and/or to report **Subcontractor** payments in a timely fashion may result in the **Commissioner** declaring the **Contractor** in default of the **Contract** and will subject **Contractor** to liquidated damages in the amount of \$100 per day for each day that the **Contractor** fails to identify a **Subcontractor** along with the required information about the **Subcontractor** and/or fails to report payments to a **Subcontractor**, beyond the time frames set forth herein or in the notice from the **City**. Article 15 shall govern the issue of liquidated damages.

17.4 If an approved **Subcontractor** elects to subcontract any portion of its subcontract, the proposed sub-subcontract shall be submitted in the same manner as directed above.

17.5 The **Commissioner** will notify the **Contractor** in writing whether the proposed **Subcontractor** is approved. If the proposed **Subcontractor** is not approved, the **Contractor** may submit another proposed **Subcontractor** unless the **Contractor** decides to do the **Work**. No **Subcontractor** shall be permitted to enter or perform any work on the **Site** unless approved.

17.6 Before entering into any subcontract hereunder, the **Contractor** shall provide the proposed **Subcontractor** with a complete copy of this document and inform the proposed **Subcontractor** fully and completely of all provisions and requirements of this **Contract** relating either directly or indirectly to the **Work** to be performed and the materials to be furnished under such subcontract, and every such **Subcontractor** shall expressly stipulate that all labor performed and materials furnished by the **Subcontractor** shall strictly comply with the requirements of this **Contract**.

17.7 Documents given to a prospective **Subcontractor** for the purpose of soliciting the **Subcontractor's** bid shall include either a copy of the bid cover or a separate information sheet setting forth the **Project** name, the **Contract** number (if available), the **Agency** (as noted in Article 2.1.6), and the **Project's** location.

17.8 The **Commissioner's** approval of a **Subcontractor** shall not relieve the **Contractor** of any of its responsibilities, duties, and liabilities hereunder. The **Contractor** shall be solely responsible to the **City** for the acts or defaults of its **Subcontractor** and of such **Subcontractor's** officers, agents, and employees, each of whom shall, for this purpose, be deemed to be the agent or employee of the **Contractor** to the extent of its subcontract.

17.9 If the **Subcontractor** fails to maintain the necessary facilities, skill, integrity, past experience, and financial resources (other than due to the **Contractor's** failure to make payments where required) to perform the **Work** in accordance with the terms and conditions of this **Contract**, the **Contractor** shall promptly notify the **Commissioner** and replace such **Subcontractor** with a newly approved **Subcontractor** in accordance with this Article 17.

17.10 The **Contractor** shall be responsible for ensuring that all **Subcontractors** performing **Work** at the **Site** maintain all insurance required by **Law**.

17.11 The **Contractor** shall promptly, upon request, file with the **Engineer** a conformed copy of the subcontract and its cost. The subcontract shall provide the following:

17.11.1 Payment to **Subcontractors**: The agreement between the **Contractor** and its **Subcontractor** shall contain the same terms and conditions as to method of payment for **Work**, labor, and materials, and as to retained percentages, as are contained in this **Contract**.

17.11.2 Prevailing Rate of Wages: The agreement between the **Contractor** and its **Subcontractor** shall include the prevailing wage rates and supplemental benefits to be paid in accordance with Labor Law Section 220.

17.11.3 Section 6-123 of the Administrative Code: Pursuant to the requirements of Section 6-123 of the Administrative Code, every agreement between the **Contractor** and a **Subcontractor** in excess of fifty thousand (\$50,000) dollars shall include a provision that the **Subcontractor** shall not engage in any unlawful discriminatory practice as defined in Title VIII of the Administrative Code (Section 8-101 *et seq.*).

17.11.4 All requirements required pursuant to federal and/or state grant agreement(s), if applicable to the **Work**.

17.12 The **Commissioner** may deduct from the amounts certified under this **Contract** to be due to the **Contractor**, the sum or sums due and owing from the **Contractor** to the **Subcontractors** according to the terms of the said subcontracts, and in case of dispute between the **Contractor** and its **Subcontractor**, or **Subcontractors**, as to the amount due and owing, the **Commissioner** may deduct and withhold from the amounts certified under this **Contract** to be due to the **Contractor** such sum or sums as may be claimed by such **Subcontractor**, or **Subcontractors**, in a sworn affidavit, to be due and owing until such time as such claim or claims shall have been finally resolved.

17.13 On contracts where performance bonds and payment bonds are executed, the **Contractor** shall include on each requisition for payment the following data: **Subcontractor's** name, value of the subcontract, total amount previously paid to **Subcontractor** for **Work** previously requisitioned, and the amount, including retainage, to be paid to the **Subcontractor** for **Work** included in the requisition.

17.14 On **Contracts** where performance bonds and payment bonds are not executed, the **Contractor** shall include with each requisition for payment submitted hereunder, a signed statement from each and every **Subcontractor** and/or **Materialman** for whom payment is requested in such requisition. Such signed statement shall be on the letterhead of the **Subcontractor** and/or **Materialman** for whom payment is requested and shall (i) verify that such **Subcontractor** and/or **Materialman** has been paid in full for all **Work** performed and/or material supplied to date, exclusive of any amount retained and any amount included on the current requisition, and (ii) state the total amount of retainage to date, exclusive of any amount retained on the current requisition.

## ARTICLE 18. ASSIGNMENTS

18.1 The **Contractor** shall not assign, transfer, convey or otherwise dispose of this **Contract**, or the right to execute it, or the right, title or interest in or to it or any part thereof, or assign, by power of attorney or otherwise any of the monies due or to become due under this **Contract**, unless the previous written consent of the **Commissioner** shall first be obtained thereto, and the giving of any such consent to a particular assignment shall not dispense with the necessity of such consent to any further or other assignments.

18.2 Such assignment, transfer, conveyance or other disposition of this **Contract** shall not be valid until filed in the office of the **Commissioner** and the **Comptroller**, with the written consent of the **Commissioner** endorsed thereon or attached thereto.

18.3 Failure to obtain the previous written consent of the **Commissioner** to such an assignment,

transfer, conveyance or other disposition, may result in the revocation and annulment of this **Contract**. The **City** shall thereupon be relieved and discharged from any further liability to the **Contractor**, its assignees, transferees or sublessees, who shall forfeit and lose all monies therefor earned under the **Contract**, except so much as may be required to pay the **Contractor's** employees.

18.4 The provisions of this clause shall not hinder, prevent, or affect an assignment by the **Contractor** for the benefit of its creditors made pursuant to the **Laws** of the State of New York.

18.5 This **Contract** may be assigned by the **City** to any corporation, agency or instrumentality having authority to accept such assignment.

## CHAPTER V: CONTRACTOR'S SECURITY AND GUARANTEE

### ARTICLE 19. SECURITY DEPOSIT

19.1 If performance and payment bonds are required, the **City** shall retain the bid security to ensure that the successful bidder executes the **Contract** and furnishes the required payment and performance security within ten (10) **Days** after notice of the award of the **Contract**. If the successful bidder fails to execute the **Contract** and furnish the required payment and performance security, the **City** shall retain such bid security as set forth in the Information for Bidders. If the successful bidder executes the **Contract** and furnishes the required payment and performance security, the **City** shall return the bid security within a reasonable time after the furnishing of such bonds and execution of the **Contract** by the **City**.

19.2 If performance and payment bonds are not required, the bid security shall be retained by the **City** as security for the **Contractor's** faithful performance of the **Contract**. If partial payments are provided, the bid security will be returned to the **Contractor** after the sum retained under Article 21 equals the amount of the bid security, subject to other provisions of this **Contract**. If partial payments are not provided, the bid security will be released when final payment is certified by the **City** for payment.

19.3 If the **Contractor** is declared in default under Article 48 prior to the return of the deposit, or if any claim is made such as referred to in Article 23, the amount of such deposit, or so much thereof as the **Comptroller** may deem necessary, may be retained and then applied by the **Comptroller**:

19.3.1 To compensate the **City** for any expense, loss or damage suffered or incurred by reason of or resulting from such default, including the cost of re-letting and liquidated damages; or

19.3.2 To indemnify the **City** against any and all claims.

### ARTICLE 20. PAYMENT GUARANTEE

20.1 On **Contracts** where one hundred (100%) percent performance bonds and payment bonds are executed, this Article 20 does not apply.

20.2 In the event the terms of this **Contract** do not require the **Contractor** to provide a payment bond or where the **Contract** does not require a payment bond for one hundred (100%) percent of the **Contract** price, the **City** shall, in accordance with the terms of this Article 20, guarantee payment of all lawful claims for:

20.2.1 Wages and compensation for labor performed and/or services rendered; and

20.2.2 Materials, equipment, and supplies provided, whether incorporated into the **Work** or not, when demands have been filed with the **City** as provided hereinafter by any person, firm, or corporation which furnished labor, material, equipment, supplies, or any combination thereof, in connection with the **Work** performed hereunder (hereinafter referred to as the “beneficiary”) at the direction of the **City** or the **Contractor**.

20.3 The provisions of Article 20.2 are subject to the following limitations and conditions:

20.3.1 If the **Contractor** provides a payment bond for a value that is less than one hundred (100%) percent of the value of the **Contract Work**, the payment bond provided by the **Contractor** shall be primary (and non-contributing) to the payment guarantee provided under this Article 20.

20.3.2 The guarantee is made for the benefit of all beneficiaries as defined in Article 20.2 provided that those beneficiaries strictly adhere to the terms and conditions of Article 20.3.4 and 20.3.5.

20.3.3 Nothing in this Article 20 shall prevent a beneficiary providing labor, services or material for the **Work** from suing the **Contractor** for any amounts due and owing the beneficiary by the **Contractor**.

20.3.4 Every person who has furnished labor or material, to the **Contractor** or to a **Subcontractor** of the **Contractor**, in the prosecution of the **Work** and who has not been paid in full therefor before the expiration of a period of ninety (90) **Days** after the date on which the last of the labor was performed or material was furnished by him/her for which the claim is made, shall have the right to sue on this payment guarantee in his/her own name for the amount, or the balance thereof, unpaid at the time of commencement of the action; provided, however, that a person having a direct contractual relationship with a **Subcontractor** of the **Contractor** but no contractual relationship express or implied with the **Contractor** shall not have a right of action upon the guarantee unless he/she shall have given written notice to the **Contractor** within one hundred twenty (120) **Days** from the date on which the last of the labor was performed or the last of the material was furnished, for which his/her claim is made, stating with substantial accuracy the amount claimed and the name of the party to whom the material was furnished or for whom the labor was performed. The notice shall be served by delivering the same personally to the **Contractor** or by mailing the same by registered mail, postage prepaid, in an envelope addressed to the **Contractor** at any place where it maintains an office or conducts its business; provided, however, that where such notice is actually received by the **Contractor** by other means, such notice shall be deemed sufficient.

20.3.5 Except as provided in Labor Law Section 220-g, no action on this payment guarantee shall be commenced after the expiration of the one-year limitations period set forth in Section 137(4)(b) of the State Finance Law.

20.3.6 The **Contractor** shall promptly forward to the **City** any notice or demand received pursuant to Article 20.3.4. The **Contractor** shall inform the **City** of any defenses to the notice or demand and shall forward to the **City** any documents the **City** requests concerning the notice or demand.

20.3.7 All demands made against the **City** by a beneficiary of this payment guarantee shall be presented to the **Engineer** along with all written documentation concerning the demand which the **Engineer** deems reasonably appropriate or necessary, which may include, but shall not be

limited to: the subcontract; any invoices presented to the **Contractor** for payment; the notarized statement of the beneficiary that the demand is due and payable, that a request for payment has been made of the **Contractor** and that the demand has not been paid by the **Contractor** within the time allowed for such payment by the subcontract; and copies of any correspondence between the beneficiary and the **Contractor** concerning such demand. The **City** shall notify the **Contractor** that a demand has been made. The **Contractor** shall inform the **City** of any defenses to the demand and shall forward to the **City** any documents the **City** requests concerning the demand.

20.3.8 The **City** shall make payment only if, after considering all defenses presented by the **Contractor**, it determines that the payment is due and owing to the beneficiary making the demand.

20.3.9 No beneficiary shall be entitled to interest from the **City**, or to any other costs, including, but not limited to, attorneys' fees, except to the extent required by State Finance Law Section 137.

20.3.10

20.4 Upon the receipt by the **City** of a demand pursuant to this Article 20, the **City** may withhold from any payment otherwise due and owing to the **Contractor** under this **Contract** an amount sufficient to satisfy the demand.

20.4.1 In the event the **City** determines that the demand is valid, the **City** shall notify the **Contractor** of such determination and the amount thereof and direct the **Contractor** to immediately pay such amount to the beneficiary. In the event the **Contractor**, within seven (7) **Days** of receipt of such notification from the **City**, fails to pay the beneficiary, such failure shall constitute an automatic and irrevocable assignment of payment by the **Contractor** to the beneficiary for the amount of the demand determined by the **City** to be valid. The **Contractor**, without further notification or other process, hereby gives its unconditional consent to such assignment of payment to the beneficiary and authorizes the **City**, on its behalf, to take all necessary actions to implement such assignment of payment, including without limitation the execution of any instrument or documentation necessary to effectuate such assignment.

20.4.2 In the event that the amount otherwise due and owing to the **Contractor** by the **City** is insufficient to satisfy such demand, the **City** may, at its option, require payment from the **Contractor** of an amount sufficient to cover such demand and exercise any other right to require or recover payment which the **City** may have under **Law** or **Contract**.

20.4.3 In the event the **City** determines that the demand is invalid, any amount withheld pending the **City**'s review of such demand shall be paid to the **Contractor**; provided, however, no lien has been filed. In the event a claim or an action has been filed, the terms and conditions set forth in Article 23 shall apply. In the event a lien has been filed, the parties will be governed by the provisions of the Lien Law of the State of New York.

20.5 The provisions of this Article 20 shall not prevent the **City** and the **Contractor** from resolving disputes in accordance with the **PPB** Rules, where applicable.

20.6 In the event the **City** determines that the beneficiary is entitled to payment pursuant to this Article 20, such determination and any defenses and counterclaims raised by the **Contractor** shall be taken into account in evaluating the **Contractor**'s performance.

20.7 Nothing in this Article 20 shall relieve the **Contractor** of the obligation to pay the claims of all

persons with valid and lawful claims against the **Contractor** relating to the **Work**.

20.8 The **Contractor** shall not require any performance, payment or other bonds of any **Subcontractor** if this **Contract** does not require such bonds of the **Contractor**.

20.9 The payment guarantee made pursuant to this Article 20 shall be construed in a manner consistent with Section 137 of the State Finance Law and shall afford to persons furnishing labor or materials to the **Contractor** or its **Subcontractors** in the prosecution of the **Work** under this **Contract** all of the rights and remedies afforded to such persons by such section, including but not limited to, the right to commence an action against the **City** on the payment guarantee provided by this Article 20 within the one-year limitations period set forth in Section 137(4)(b).

## ARTICLE 21. RETAINED PERCENTAGE

21.1 If this **Contract** requires one hundred (100%) percent performance and payment security, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, five (5%) percent of the value of **Work** certified for payment in each partial payment voucher.

21.2 If this **Contract** does not require one hundred (100%) percent performance and payment security and if the price for which this **Contract** was awarded does not exceed one million (\$1,000,000) dollars, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, five (5%) percent of the value of **Work** certified for payment in each partial payment voucher.

21.3 If this **Contract** does not require one hundred (100%) percent performance and payment security and if the price for which this **Contract** was awarded exceeds one million (\$1,000,000) dollars, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, up to ten (10%) percent of the value of **Work** certified for payment in each partial payment voucher. The percentage to be retained is set forth in Schedule A of the General Conditions.

## ARTICLE 22. INSURANCE

22.1 Types of Insurance: The **Contractor** shall procure and maintain the following types of insurance if, and as indicated, in Schedule A of the General Conditions (with the minimum limits and special conditions specified in Schedule A). Such insurance shall be maintained from the date the **Contractor** is required to provide Proof of Insurance pursuant to Article 22.3.1 through the date of completion of all required **Work** (including punch list work as certified in writing by the **Resident Engineer**), except for insurance required pursuant to Article 22.1.4, which may terminate upon **Substantial Completion** of the **Contract**. All insurance shall meet the requirements set forth in this Article 22. Wherever this Article requires that insurance coverage be “at least as broad” as a specified form (including all ISO forms), there is no obligation that the form itself be used, provided that the **Contractor** can demonstrate that the alternative form or endorsement contained in its policy provides coverage at least as broad as the specified form.

22.1.1 Commercial General Liability Insurance: The **Contractor** shall provide Commercial General Liability Insurance covering claims for property damage and/or bodily injury, including death, which may arise from any of the operations under this **Contract**. Coverage under this insurance shall be at least as broad as that provided by the latest edition of Insurance



Services Office (“ISO”) Form CG 0001. Such insurance shall be “occurrence” based rather than “claims-made” and include, without limitation, the following types of coverage: premises operations; products and completed operations; contractual liability (including the tort liability of another assumed in a contract); broad form property damage; independent contractors; explosion, collapse and underground (XCU); construction means and methods; and incidental malpractice. Such insurance shall contain a “per project” aggregate limit, as specified in Schedule A, that applies separately to operations under this **Contract**.

22.1.1(a) Such Commercial General Liability Insurance shall name the **City** as an Additional Insured. Coverage for the City shall specifically include the **City’s** officials and employees, be at least as broad as the latest edition of ISO Form CG 20 10 and provide completed operations coverage at least as broad as the latest edition of ISO Form CG 20 37.

22.1.1(b) Such Commercial General Liability Insurance shall name all other entities designated as additional insureds in Schedule A but only for claims arising from the **Contractor’s** operations under this **Contract**, with coverage at least as broad as the latest edition of ISO Form CG 20 26.

22.1.1(c) If the **Work** requires a permit from the Department of Buildings pursuant to 1 RCNY Section 101-08, the **Contractor** shall provide Commercial General Liability Insurance with limits of at least those required by 1 RCNY section 101-08 or greater limits required by the Agency in accordance with Schedule A. If the **Work** does not require such a permit, the minimum limits shall be those provided for in Schedule A.

22.1.1(d) If any of the **Work** includes repair of a waterborne vessel owned by or to be delivered to the **City**, such Commercial General Liability shall include, or be endorsed to include, Ship Repairer’s Legal Liability Coverage to protect against, without limitation, liability arising from navigation of such vessels prior to delivery to and acceptance by the **City**.

22.1.2 Workers’ Compensation Insurance, Employers’ Liability Insurance, and Disability Benefits Insurance: The **Contractor** shall provide, and shall cause its **Subcontractors** to provide, Workers Compensation Insurance, Employers’ Liability Insurance, and Disability Benefits Insurance in accordance with the **Laws** of the State of New York on behalf of all employees providing services under this **Contract** (except for those employees, if any, for which the **Laws** require insurance only pursuant to Article 22.1.3).

22.1.3 United States Longshoremen’s and Harbor Workers Act and/or Jones Act Insurance: If specified in Schedule A of the General Conditions or if required by **Law**, the **Contractor** shall provide insurance in accordance with the United States Longshoremen’s and Harbor Workers Act and/or the Jones Act, on behalf of all qualifying employees providing services under this **Contract**.

22.1.4 Builders Risk Insurance: If specified in Schedule A of the General Conditions, the **Contractor** shall provide Builders Risk Insurance on a completed value form for the total value of the **Work** through **Substantial Completion** of the **Work** in its entirety. Such insurance shall be provided on an All Risk basis and include coverage, without limitation, for windstorm (including named windstorm), storm surge, flood and earth movement. Unless waived by the **Commissioner**, it shall include coverage for ordinance and law, demolition and increased costs of construction, debris removal, pollutant clean up and removal, and expediting costs. Such insurance shall cover, without limitation, (a) all buildings and/or structures involved in the

**Work**, as well as temporary structures at the **Site**, and (b) any property that is intended to become a permanent part of such building or structure, whether such property is on the **Site**, in transit or in temporary storage. Policies shall name the **Contractor** as Named Insured and list the **City** as both an Additional Insured and a Loss Payee as its interest may appear.

22.1.4(a) Policies of such insurance shall specify that, in the event a loss occurs at an occupied facility, occupancy of such facility is permitted without the consent of the issuing insurance company.

22.1.4(b) Such insurance may be provided through an Installation Floater, at the **Contractor's** option, if it otherwise conforms with the requirements of this Article 22.1.4.

22.1.5 Commercial Automobile Liability Insurance: The **Contractor** shall provide Commercial Automobile Liability Insurance for liability arising out of ownership, maintenance or use of any owned (if any), non-owned and hired vehicles to be used in connection with this **Contract**. Coverage shall be at least as broad as the latest edition of ISO Form CA0001. If vehicles are used for transporting hazardous materials, the Automobile Liability Insurance shall be endorsed to provide pollution liability broadened coverage for covered vehicles (endorsement CA 99 48) as well as proof of MCS 90.

22.1.6 Contractors Pollution Liability Insurance: If specified in Schedule A of the General Conditions, the **Contractor** shall maintain, or cause the **Subcontractor** doing such **Work** to maintain, Contractors Pollution Liability Insurance covering bodily injury and property damage. Such insurance shall provide coverage for actual, alleged or threatened emission, discharge, dispersal, seepage, release or escape of pollutants (including asbestos), including any loss, cost or expense incurred as a result of any cleanup of pollutants (including asbestos) or in the investigation, settlement or defense of any claim, action, or proceedings arising from the operations under this **Contract**. Such insurance shall be in the **Contractor's** name and list the **City** as an Additional Insured and any other entity specified in Schedule A. Coverage shall include, without limitation, (a) loss of use of damaged property or of property that has not been physically injured, (b) transportation, and (c) non-owned disposal sites.

22.1.6(a) Coverage for the **City** as Additional Insured shall specifically include the **City's** officials and employees and be at least as broad as provided to the **Contractor** for this **Project**.

22.1.6(b) If such insurance is written on a claims-made policy, such policy shall have a retroactive date on or before the effective date of this **Contract**, and continuous coverage shall be maintained, or an extended discovery period exercised, for a period of not less than three (3) years from the time the **Work** under this **Contract** is completed.

22.1.7 Marine Insurance:

22.1.7(a) Marine Protection and Indemnity Insurance: If specified in Schedule A of the General Conditions or if the **Contractor** engages in marine operations in the execution of any part of the **Work**, the **Contractor** shall maintain, or cause the **Subcontractor** doing such **Work** to maintain, Marine Protection and Indemnity Insurance with coverage at least as broad as Form SP-23. The insurance shall provide coverage for the **Contractor** or **Subcontractor** (whichever is doing this **Work**) and for the **City** (together with its officials and employees) and any other entity specified in Schedule A as an Additional Insured for bodily injury and property damage arising from marine operations under this

**Contract.** Coverage shall include, without limitation, injury or death of crew members (if not fully provided through other insurance), removal of wreck, damage to piers, wharves and other fixed or floating objects and loss of or damage to any other vessel or craft, or to property on such other vessel or craft.

22.1.7(b) Hull and Machinery Insurance: If specified in Schedule A of the General Conditions or if the **Contractor** engages in marine operations in the execution of any part of the **Work**, the **Contractor** shall maintain, or cause the **Subcontractor** doing such **Work** to maintain, Hull and Machinery Insurance with coverage for the **Contractor** or **Subcontractor** (whichever is doing this Work) and for the **City** (together with its officials and employees) as Additional Insured at least as broad as the latest edition of American Institute Tug Form for all tugs used under this **Contract** and Collision Liability at least as broad as the latest edition of American Institute Hull Clauses.

22.1.7(c) Marine Pollution Liability Insurance: If specified in Schedule A of the General Conditions or if the **Contractor** engages in marine operations in the execution of any part of the **Work**, the **Contractor** shall maintain, or cause the **Subcontractor** doing such Work to maintain, Marine Pollution Liability Insurance covering itself (or the Subcontractor doing such Work) as Named Insured and the **City** (together with its officials and employees) and any other entity specified in Schedule A as an Additional Insured. Coverage shall be at least as broad as that provided by the latest edition of Water Quality Insurance Syndicate Form and include, without limitation, liability arising from the discharge or substantial threat of a discharge of oil, or from the release or threatened release of a hazardous substance including injury to, or economic losses resulting from, the destruction of or damage to real property, personal property or natural resources.

22.1.8 The **Contractor** shall provide such other types of insurance, at such minimum limits and with such conditions, as are specified in Schedule A of the General Conditions.

## 22.2 General Requirements for Insurance Coverage and Policies:

22.2.1 All required insurance policies shall be maintained with companies that may lawfully issue the required policy and have an A.M. Best rating of at least A-/VII or a Standard and Poor's rating of at least A, unless prior written approval is obtained from the **City** Corporation Counsel.

22.2.2 The **Contractor** shall be solely responsible for the payment of all premiums for all required policies and all deductibles and self-insured retentions to which such policies are subject, whether or not the **City** is an insured under the policy.

22.2.3 In his/her sole discretion, the **Commissioner** may, subject to the approval of the **Comptroller** and the **City** Corporation Counsel, accept Letters of Credit and/or custodial accounts in lieu of required insurance.

22.2.4 The **City's** limits of coverage for all types of insurance required pursuant to Schedule A of the General Conditions shall be the greater of (i) the minimum limits set forth in Schedule A or (ii) the limits provided to the **Contractor** as Named Insured under all primary, excess, and umbrella policies of that type of coverage.

22.2.5 The **Contractor** may satisfy its insurance obligations under this Article 22 through primary policies or a combination of primary and excess/umbrella policies, so long as all policies provide the scope of coverage required herein.

22.2.6 Policies of insurance provided pursuant to this Article 22 shall be primary and non-contributing to any insurance or self-insurance maintained by the **City**.

### 22.3 Proof of Insurance:

22.3.1 For all types of insurance required by Article 22.1 and Schedule A, except for insurance required by Articles 22.1.4 and 22.1.7, the **Contractor** shall file proof of insurance in accordance with this Article 22.3 within ten (10) **Days** of award. For insurance provided pursuant to Articles 22.1.4 and 22.1.7, proof shall be filed by a date specified by the **Commissioner** or ten (10) **Days** prior to the commencement of the portion of the **Work** covered by such policy, whichever is earlier.

22.3.2 For Workers' Compensation Insurance provided pursuant to Article 22.1.2, the **Contractor** shall submit one of the following forms: C-105.2 Certificate of Workers' Compensation Insurance; U-26.3 - State Insurance Fund Certificate of Workers' Compensation Insurance; Request for WC/DB Exemption (Form CE-200); equivalent or successor forms used by the New York State Workers' Compensation Board; or other proof of insurance in a form acceptable to the **Commissioner**. For Disability Benefits Insurance provided pursuant to Article 22.1.2, the Contractor shall submit DB-120.1 - Certificate Of Insurance Coverage Under The NYS Disability Benefits Law, Request for WC/DB Exemption (Form CE-200); equivalent or successor forms used by the New York State Workers' Compensation Board; or other proof of insurance in a form acceptable to the **Commissioner**. ACORD forms are not acceptable.

22.3.3 For policies provided pursuant to all of Article 22.1 other than Article 22.1.2, the **Contractor** shall submit one or more Certificates of Insurance on forms acceptable to the **Commissioner**. All such Certificates of Insurance shall certify (a) the issuance and effectiveness of such policies of insurance, each with the specified minimum limits (b) for insurance secured pursuant to Article 22.1.1 that the **City** and any other entity specified in Schedule A is an Additional Insured thereunder; (c) in the event insurance is required pursuant to Article 22.1.6 and/or Article 22.1.7, that the City is an Additional Insured thereunder; (d) the company code issued to the insurance company by the National Association of Insurance Commissioners (the NAIC number); and (e) the number assigned to the **Contract** by the **City**. All such Certificates of Insurance shall be accompanied by either a duly executed "Certification by Insurance Broker or Agent" in the form contained in Part III of Schedule A or copies of all policies referenced in such Certificate of Insurance as certified by an authorized representative of the issuing insurance carrier. If any policy is not available at the time of submission, certified binders may be submitted until such time as the policy is available, at which time a certified copy of the policy shall be submitted.

22.3.4 Documentation confirming renewals of insurance shall be submitted to the **Commissioner** prior to the expiration date of coverage of policies required under this **Contract**. Such proofs of insurance shall comply with the requirements of Articles 22.3.2 and 22.3.3.

22.3.5 The **Contractor** shall be obligated to provide the **City** with a copy of any policy of insurance provided pursuant to this Article 22 upon the demand for such policy by the **Commissioner** or the **City** Corporation Counsel.

### 22.4 Operations of the **Contractor**:

22.4.1 The **Contractor** shall not commence the **Work** unless and until all required certificates have been submitted to and accepted by the **Commissioner**. Acceptance by the

**Commissioner** of a certificate does not excuse the **Contractor** from securing insurance consistent with all provisions of this Article 22 or of any liability arising from its failure to do so.

22.4.2 The **Contractor** shall be responsible for providing continuous insurance coverage in the manner, form, and limits required by this **Contract** and shall be authorized to perform **Work** only during the effective period of all required coverage.

22.4.3 In the event that any of the required insurance policies lapse, are revoked, suspended or otherwise terminated, for whatever cause, the **Contractor** shall immediately stop all **Work**, and shall not recommence **Work** until authorized in writing to do so by the **Commissioner**. Upon quitting the **Site**, except as otherwise directed by the **Commissioner**, the **Contractor** shall leave all plant, materials, equipment, tools, and supplies on the **Site**. **Contract** time shall continue to run during such periods and no extensions of time will be granted. The **Commissioner** may also declare the **Contractor** in default for failure to maintain required insurance.

22.4.4 In the event the **Contractor** receives notice, from an insurance company or other person, that any insurance policy required under this Article 22 shall be cancelled or terminated (or has been cancelled or terminated) for any reason, the **Contractor** shall immediately forward a copy of such notice to both the **Commissioner** and the New York City Comptroller, attn: Office of Contract Administration, Municipal Building, One Centre Street, room 1005, New York, New York 10007. Notwithstanding the foregoing, the **Contractor** shall ensure that there is no interruption in any of the insurance coverage required under this Article 22.

22.4.5 Where notice of loss, damage, occurrence, accident, claim or suit is required under an insurance policy maintained in accordance with this Article 22, the **Contractor** shall notify in writing all insurance carriers that issued potentially responsive policies of any such event relating to any operations under this **Contract** (including notice to Commercial General Liability insurance carriers for events relating to the **Contractor**'s own employees) no later than 20 days after such event. For any policy where the **City** is an Additional Insured, such notice shall expressly specify that "this notice is being given on behalf of the City of New York as Insured as well as the Named Insured." Such notice shall also contain the following information: the number of the insurance policy, the name of the named insured, the date and location of the damage, occurrence, or accident, and the identity of the persons or things injured, damaged or lost. The **Contractor** shall simultaneously send a copy of such notice to the City of New York c/o Insurance Claims Specialist, Affirmative Litigation Division, New York City Law Department, 100 Church Street, New York, New York 10007.

22.4.6 In the event of any loss, accident, claim, action, or other event that does or can give rise to a claim under any insurance policy required under this Article 22, the **Contractor** shall at all times fully cooperate with the **City** with regard to such potential or actual claim.

22.5 **Subcontractor Insurance**: In the event the **Contractor** requires any **Subcontractor** to procure insurance with regard to any operations under this **Contract** and requires such **Subcontractor** to name the **Contractor** as an **Additional Insured** thereunder, the **Contractor** shall ensure that the **Subcontractor** name the **City**, including its officials and employees, as an Additional Insured with coverage at least as broad as the most recent edition of ISO Form CG 20 26.

22.6 Wherever reference is made in Article 7 or this Article 22 to documents to be sent to the **Commissioner** (e.g., notices, filings, or submissions), such documents shall be sent to the address set forth in Schedule A of the General Conditions. In the event no address is set forth in Schedule A, such documents

are to be sent to the **Commissioner's** address as provided elsewhere in this **Contract**.

22.7 Apart from damages or losses covered by insurance provided pursuant to Articles 22.1.2, 22.1.3, or 22.1.5, the **Contractor** waives all rights against the **City**, including its officials and employees, for any damages or losses that are covered under any insurance required under this Article 22 (whether or not such insurance is actually procured or claims are paid thereunder) or any other insurance applicable to the operations of the **Contractor** and/or its employees, agents, or **Subcontractors**.

22.8 In the event the **Contractor** utilizes a self-insurance program to satisfy any of the requirements of this Article 22, the **Contractor** shall ensure that any such self-insurance program provides the **City** with all rights that would be provided by traditional insurance under this Article 22, including but not limited to the defense and indemnification obligations that insurers are required to undertake in liability policies.

22.9 Materiality/Non-Waiver: The **Contractor's** failure to secure policies in complete conformity with this Article 22, or to give an insurance company timely notice of any sort required in this **Contract** or to do anything else required by this Article 22 shall constitute a material breach of this **Contract**. Such breach shall not be waived or otherwise excused by any action or inaction by the **City** at any time.

22.10 Pursuant to General Municipal Law Section 108, this **Contract** shall be void and of no effect unless **Contractor** maintains Workers' Compensation Insurance for the term of this **Contract** to the extent required and in compliance with the New York State Workers' Compensation Law.

22.11 Other Remedies: Insurance coverage provided pursuant to this Article 22 or otherwise shall not relieve the **Contractor** of any liability under this **Contract**, nor shall it preclude the **City** from exercising any rights or taking such other actions available to it under any other provisions of this **Contract** or **Law**.

### **ARTICLE 23. MONEY RETAINED AGAINST CLAIMS**

23.1 If any claim shall be made by any person or entity (including **Other Contractors** with the **City** on this **Project**) against the **City** or against the **Contractor** and the **City** for any of the following:

- (a) An alleged loss, damage, injury, theft or vandalism of any of the kinds referred to in Articles 7 and 12, plus the reasonable costs of defending the **City**, which in the opinion of the **Comptroller** may not be paid by an insurance company (for any reason whatsoever); or
- (b) An infringement of copyrights, patents or use of patented articles, tools, etc., as referred to in Article 57; or
- (c) Damage claimed to have been caused directly or indirectly by the failure of the **Contractor** to perform the **Work** in strict accordance with this **Contract**,

the amount of such claim, or so much thereof as the **Comptroller** may deem necessary, may be withheld by the **Comptroller**, as security against such claim, from any money due hereunder. The **Comptroller**, in his/her discretion, may permit the **Contractor** to substitute other satisfactory security in lieu of the monies so withheld.

23.2 If an action on such claim is timely commenced and the liability of the **City**, or the **Contractor**,

or both, shall have been established therein by a final judgment of a court of competent jurisdiction, or if such claim shall have been admitted by the **Contractor** to be valid, the **Comptroller** shall pay such judgment or admitted claim out of the monies retained by the **Comptroller** under the provisions of this Article 23, and return the balance, if any, without interest, to the **Contractor**.

#### **ARTICLE 24. MAINTENANCE AND GUARANTY**

24.1 The **Contractor** shall promptly repair, replace, restore or rebuild, as the **Commissioner** may determine, any finished **Work** in which defects of materials or workmanship may appear or to which damage may occur because of such defects, during the one (1) year period subsequent to the date of **Substantial Completion** (or use and occupancy in accordance with Article 16), except where other periods of maintenance and guaranty are provided for in Schedule A.

24.2 As security for the faithful performance of its obligations hereunder, the **Contractor**, upon filing its requisition for payment on **Substantial Completion**, shall deposit with the **Commissioner** a sum equal to one (1%) percent of the price (or the amount fixed in Schedule A of the General Conditions) in cash or certified check upon a state or national bank and trust company or a check of such bank and trust company signed by a duly authorized officer thereof and drawn to the order of the **Comptroller**, or obligations of the **City**, which the **Comptroller** may approve as of equal value with the sum so required.

24.3 In lieu of the above, the **Contractor** may make such security payment to the **City** by authorizing the **Commissioner** in writing to deduct the amount from the **Substantial Completion** payment which shall be deemed the deposit required above.

24.4 If the **Contractor** has faithfully performed all of its obligations hereunder the **Commissioner** shall so certify to the **Comptroller** within five (5) **Days** after the expiration of one (1) year from the date of **Substantial Completion** and acceptance of the **Work** or within thirty (30) **Days** after the expiration of the guarantee period fixed in the **Specifications**. The security payment shall be repaid to the **Contractor** without interest within thirty (30) **Days** after certification by the **Commissioner** to the **Comptroller** that the **Contractor** has faithfully performed all of its obligations hereunder.

24.5 Notice by the **Commissioner** to the **Contractor** to repair, replace, rebuild or restore such defective or damaged **Work** shall be timely, pursuant to this article, if given not later than ten (10) **Days** subsequent to the expiration of the one (1) year period or other periods provided for herein.

24.6 If the **Contractor** shall fail to repair, replace, rebuild or restore such defective or damaged **Work** promptly after receiving such notice, the **Commissioner** shall have the right to have the **Work** done by others in the same manner as provided for in the completion of a defaulted **Contract**, under Article 51.

24.7 If the security payment so deposited is insufficient to cover the cost of such **Work**, the **Contractor** shall be liable to pay such deficiency on demand by the **Commissioner**.

24.8 The **Engineer's** certificate setting forth the fair and reasonable cost of repairing, replacing, rebuilding or restoring any damaged or defective **Work** when performed by one other than the **Contractor**, shall be binding and conclusive upon the **Contractor** as to the amount thereof.

24.9 The **Contractor** shall obtain all manufacturers' warranties and guaranties of all equipment and materials required by this **Contract** in the name of the **City** and shall deliver same to the **Commissioner**. All of the **City's** rights and title and interest in and to said manufacturers' warranties and guaranties may be assigned by the **City** to any subsequent purchasers of such equipment and materials or lessees of the

premises into which the equipment and materials have been installed.

## **CHAPTER VI: CHANGES, EXTRA WORK, AND DOCUMENTATION OF CLAIM**

### **ARTICLE 25. CHANGES**

25.1 Changes may be made to this **Contract** only as duly authorized in writing by the **Commissioner** in accordance with the **Law** and this **Contract**. All such changes, modifications, and amendments will become a part of the **Contract**. **Work** so ordered shall be performed by the **Contractor**.

25.2 **Contract** changes will be made only for **Work** necessary to complete the **Work** included in the original scope of the **Contract** and/or for non-material changes to the scope of the **Contract**. Changes are not permitted for any material alteration in the scope of **Work** in the **Contract**.

25.3 The **Contractor** shall be entitled to a price adjustment for **Extra Work** performed pursuant to a written change order. Adjustments to price shall be computed in one or more of the following ways:

25.3.1 By applicable unit prices specified in the **Contract**; and/or

25.3.2 By agreement of a fixed price; and/or

25.3.3 By time and material records; and/or

25.3.4 In any other manner approved by the **CCPO**.

25.4 All payments for change orders are subject to pre-audit by the **Engineering Audit Officer** and may be post-audited by the **Comptroller** and/or the **Agency**.

### **ARTICLE 26. METHODS OF PAYMENT FOR OVERRUNS AND EXTRA WORK**

26.1 **Overrun of Unit Price Item**: An overrun is any quantity of a unit price item which the **Contractor** is directed to provide which is in excess of one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule.

26.1.1 For any unit price item, the **Contractor** will be paid at the unit price bid for any quantity up to one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule. If during the progress of the **Work**, the actual quantity of any unit price item required to complete the **Work** approaches the estimated quantity for that item, and for any reason it appears that the actual quantity of any unit price item necessary to complete the **Work** will exceed the estimated quantity for that item by twenty-five (25%) percent, the **Contractor** shall immediately notify the **Engineer** of such anticipated overrun. The **Contractor** shall not be compensated for any quantity of a unit price item provided which is in excess of one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule without written authorization from the **Engineer**.

26.1.2 If the actual quantity of any unit price item necessary to complete the **Work** will exceed one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule, the **City** reserves the right and the **Contractor** agrees to negotiate a new unit price for such item. In no event shall such negotiated new unit price exceed the unit bid price. If the **City** and **Contractor** cannot agree on a new unit price, then the **City** shall order the **Contractor** and the **Contractor** agrees to provide additional quantities of the item on the



basis of time and material records for the actual and reasonable cost as determined under Article 26.2, but in no event at a unit price exceeding the unit price bid.

26.2 **Extra Work:** For **Extra Work** where payment is by agreement on a fixed price in accordance with Article 25.3.2, the price to be paid for such **Extra Work** shall be based on the fair and reasonable estimated cost of the items set forth below. For **Extra Work** where payment is based on time and material records in accordance with Article 25.3.3, the price to be paid for such **Extra Work** shall be the actual and reasonable cost of the items set forth below, calculated in accordance with the formula specified therein, if any.

26.2.1 Necessary materials (including transportation to the **Site**); plus

26.2.2 Necessary direct labor, including payroll taxes (subject to statutory wage caps) and supplemental benefits; plus

26.2.3 Sales and personal property taxes, if any, required to be paid on materials not incorporated into such **Extra Work**; plus

26.2.4 Reasonable rental value of **Contractor**-owned (or **Subcontractor**-owned, as applicable), necessary plant and equipment other than **Small Tools**, plus fuel/energy costs. Except for fuel costs for pick-up trucks which shall be reimbursed based on a consumption of five (5) gallons per shift, fuel costs shall be reimbursed based on actual costs or, in the absence of auditable documentation, the following fuel consumption formula per operating hour:  $(.035) \times (\text{HP rating}) \times (\text{Fuel cost/gallon})$ . Reasonable rental value is defined as the lower of either seventy-five percent of the monthly prorated rental rates established in "The AED Green Book, Rental Rates and Specifications for Construction Equipment" published by Equipment Watch (the "Green Book"), or seventy-five percent of the monthly prorated rental rates established in the "Rental Rate Blue Book for Construction Equipment" published by Equipment Watch (the "Blue Book") (the applicable Blue Book rate being for rental only without the addition of any operational costs listed in the Blue Book). The reasonable rental value is deemed to be inclusive of all operating costs except for fuel/energy consumption and equipment operator's wages/costs. For multiple shift utilization, reimbursement shall be calculated as follows: first shift shall be seventy-five (75%) percent of such rental rates; second shift shall be sixty (60%) percent of the first shift rate; and third shift shall be forty (40%) percent of the first shift rate. Equipment on standby shall be reimbursed at one-third (1/3) the prorated monthly rental rate. **Contractor**-owned (or **Subcontractor**-owned, as applicable) equipment includes equipment from rental companies affiliated with or controlled by the **Contractor** (or **Subcontractor**, as applicable), as determined by the **Commissioner**. In establishing cost reimbursement for non-operating **Contractor**-owned (or **Subcontractor**-owned, as applicable) equipment (scaffolding, sheeting systems, road plates, etc.), the **City** may restrict reimbursement to a purchase-salvage/life cycle basis if less than the computed rental costs; plus

26.2.5 Necessary installation and dismantling of such plant and equipment, including transportation to and from the **Site**, if any, provided that, in the case of non-**Contractor**-owned (or non-**Subcontractor**-owned, as applicable) equipment rented from a third party, the cost of installation and dismantling are not allowable if such costs are included in the rental rate; plus

26.2.6 Necessary fees charged by governmental entities; plus

26.2.7 Necessary construction-related service fees charged by non-governmental entities, such as landfill tipping fees; plus

26.2.8 Reasonable rental costs of non-**Contractor**-owned (or non-**Subcontractor**-owned, as applicable) necessary plant and equipment other than **Small Tools**, plus fuel/energy costs. Except for fuel costs for pick-up trucks which shall be reimbursed based on a consumption of five (5) gallons per shift, fuel costs shall be reimbursed based on actual costs or, in the absence of auditable documentation, the following fuel consumption formula per hour of operation:  $(.035) \times (\text{HP rating}) \times (\text{Fuel cost/gallon})$ . In lieu of renting, the **City** reserves the right to direct the purchase of non-operating equipment (scaffolding, sheeting systems, road plates, etc.), with payment on a purchase-salvage/life cycle basis, if less than the projected rental costs; plus

26.2.9 Workers' Compensation Insurance, and any insurance coverage expressly required by the **City** for the performance of the **Extra Work** which is different than the types of insurance required by Article 22 and Schedule A of the General Conditions. The cost of Workers' Compensation Insurance is subject to applicable payroll limitation caps and shall be based upon the carrier's Manual Rate for such insurance derived from the applicable class Loss Cost ("LC") and carrier's Lost Cost Multiplier ("LCM") approved by the New York State Department of Financial Services, and with the exception of experience rating, rate modifiers as promulgated by the New York Compensation Insurance Rating Board ("NYCIRB"); plus

26.2.10 Additional costs incurred as a result of the **Extra Work** for performance and payment bonds; plus

26.2.11 Twelve percent (12%) percent of the total of items in Articles 26.2.1 through 26.2.5 as compensation for overhead, except that no percentage for overhead will be allowed on **Payroll Taxes** or on the premium portion of overtime pay or on sales and personal property taxes. Overhead shall include without limitation, all costs and expenses in connection with administration, management superintendence, small tools, and insurance required by Schedule A of the General Conditions other than Workers' Compensation Insurance; plus

26.2.12 Ten (10%) percent of the total of items in Articles 26.2.1 through 26.2.5, plus the items in Article 26.2.11, as compensation for profit, except that no percentage for profit will be allowed on **Payroll Taxes** or on the premium portion of overtime pay or on sales and personal property taxes; plus

26.2.13 Five (5%) percent of the total of items in Articles 26.2.6 through 26.2.10 as compensation for overhead and profit.

26.3 Where the **Extra Work** is performed in whole or in part by other than the **Contractor's** own forces pursuant to Article 26.2, the **Contractor** shall be paid, subject to pre-audit by the **Engineering Audit Officer**, the cost of such **Work** computed in accordance with Article 26.2 above, plus an additional allowance of five (5%) percent to cover the **Contractor's** overhead and profit.

26.4 Where a change is ordered, involving both **Extra Work** and omitted or reduced **Contract Work**, the **Contract** price shall be adjusted, subject to pre-audit by the **EAO**, in an amount based on the difference between the cost of such **Extra Work** and of the omitted or reduced **Work**.

26.5 Where the **Contractor** and the **Commissioner** can agree upon a fixed price for **Extra Work** in accordance with Article 25.3.2 or another method of payment for **Extra Work** in accordance with Article 25.3.4, or for **Extra Work** ordered in connection with omitted **Work**, such method, subject to pre-audit by the **EAO**, may, at the option of the **Commissioner**, be substituted for the cost plus a percentage method provided in Article 26.2; provided, however, that if the **Extra Work** is performed by a **Subcontractor**, the **Contractor** shall not be entitled to receive more than an additional allowance of five (5%) percent for overhead and profit over

the cost of such **Subcontractor's Work** as computed in accordance with Article 26.2.

## ARTICLE 27. RESOLUTION OF DISPUTES

27.1 All disputes between the **City** and the **Contractor** of the kind delineated in this Article 27.1 that arise under, or by virtue of, this **Contract** shall be finally resolved in accordance with the provisions of this Article 27 and the **PPB** Rules. This procedure for resolving all disputes of the kind delineated herein shall be the exclusive means of resolving any such disputes.

27.1.1 This Article 27 shall not apply to disputes concerning matters dealt with in other sections of the **PPB** Rules, or to disputes involving patents, copyrights, trademarks, or trade secrets (as interpreted by the courts of New York State) relating to proprietary rights in computer software.

27.1.2 This Article 27 shall apply only to disputes about the scope of **Work** delineated by the **Contract**, the interpretation of **Contract** documents, the amount to be paid for **Extra Work** or disputed work performed in connection with the **Contract**, the conformity of the **Contractor's Work** to the **Contract**, and the acceptability and quality of the **Contractor's Work**; such disputes arise when the **Engineer, Resident Engineer, Engineering Audit Officer**, or other designee of the **Commissioner** makes a determination with which the **Contractor** disagrees.

27.2 All determinations required by this Article 27 shall be made in writing clearly stated, with a reasoned explanation for the determination based on the information and evidence presented to the party making the determination. Failure to make such determination within the time required by this Article 27 shall be deemed a non-determination without prejudice that will allow application to the next level.

27.3 During such time as any dispute is being presented, heard, and considered pursuant to this Article 27, the **Contract** terms shall remain in force and the **Contractor** shall continue to perform **Work** as directed by the **ACCO** or the **Engineer**. Failure of the **Contractor** to continue **Work** as directed shall constitute a waiver by the **Contractor** of its claim.

### 27.4 Presentation of Disputes to **Commissioner**.

Notice of Dispute and Agency Response. The **Contractor** shall present its dispute in writing ("Notice of Dispute") to the **Commissioner** within thirty (30) Days of receiving written notice of the determination or action that is the subject of the dispute. This notice requirement shall not be read to replace any other notice requirements contained in the **Contract**. The Notice of Dispute shall include all the facts, evidence, documents, or other basis upon which the **Contractor** relies in support of its position, as well as a detailed computation demonstrating how any amount of money claimed by the **Contractor** in the dispute was arrived at. Within thirty (30) Days after receipt of the detailed written submission comprising the complete Notice of Dispute, the **Engineer, Resident Engineer, Engineering Audit Officer**, or other designee of the **Commissioner** shall submit to the **Commissioner** all materials he or she deems pertinent to the dispute. Following initial submissions to the **Commissioner**, either party may demand of the other the production of any document or other material the demanding party believes may be relevant to the dispute. The requested party shall produce all relevant materials that are not otherwise protected by a legal privilege recognized by the courts of New York State. Any question of relevancy shall be determined by the **Commissioner** whose decision shall be final. Willful failure of the **Contractor** to produce any requested material whose relevancy the **Contractor** has not disputed, or whose relevancy has been affirmatively determined, shall constitute a waiver by the **Contractor** of its claim.

27.4.1 **Commissioner Inquiry.** The **Commissioner** shall examine the material and may, in his or her discretion, convene an informal conference with the **Contractor**, the **ACCO**, and the **Engineer, Resident Engineer, Engineering Audit Officer**, or other designee of the **Commissioner** to resolve the issue by mutual consent prior to reaching a determination. The **Commissioner** may seek such technical or other expertise as he or she shall deem appropriate, including the use of neutral mediators, and require any such additional material from either or both parties as he or she deems fit. The **Commissioner's** ability to render, and the effect of, a decision hereunder shall not be impaired by any negotiations in connection with the dispute presented, whether or not the **Commissioner** participated therein. The **Commissioner** may or, at the request of any party to the dispute, shall compel the participation of any **Other Contractor** with a contract related to the **Work** of this **Contract**, and that **Contractor** shall be bound by the decision of the **Commissioner**. Any **Other Contractor** thus brought into the dispute resolution proceeding shall have the same rights and obligations under this Article 27 as the **Contractor** initiating the dispute.

27.4.2 **Commissioner Determination.** Within thirty (30) **Days** after the receipt of all materials and information, or such longer time as may be agreed to by the parties, the **Commissioner** shall make his or her determination and shall deliver or send a copy of such determination to the **Contractor**, the **ACCO**, and **Engineer, Resident Engineer, Engineering Audit Officer**, or other designee of the **Commissioner**, as applicable, together with a statement concerning how the decision may be appealed.

27.4.3 **Finality of Commissioner's Decision.** The **Commissioner's** decision shall be final and binding on all parties, unless presented to the Contract Dispute Resolution Board pursuant to this Article 27. The **City** may not take a petition to the Contract Dispute Resolution Board. However, should the **Contractor** take such a petition, the **City** may seek, and the Contract Dispute Resolution Board may render, a determination less favorable to the **Contractor** and more favorable to the **City** than the decision of the **Commissioner**.

27.5 **Presentation of Dispute to the Comptroller.** Before any dispute may be brought by the **Contractor** to the Contract Dispute Resolution Board, the **Contractor** must first present its claim to the **Comptroller** for his or her review, investigation, and possible adjustment.

27.5.1 **Time, Form, and Content of Notice.** Within thirty (30) **Days** of its receipt of a decision by the **Commissioner**, the **Contractor** shall submit to the **Comptroller** and to the **Commissioner** a Notice of Claim regarding its dispute with the **Agency**. The Notice of Claim shall consist of (i) a brief written statement of the substance of the dispute, the amount of money, if any, claimed and the reason(s) the **Contractor** contends the dispute was wrongly decided by the **Commissioner**; (ii) a copy of the written decision of the **Commissioner**; and (iii) a copy of all materials submitted by the **Contractor** to the **Agency**, including the Notice of Dispute. The **Contractor** may not present to the **Comptroller** any material not presented to the **Commissioner**, except at the request of the **Comptroller**.

27.5.2 Response. Within thirty (30) **Days** of receipt of the Notice of Claim, the **Agency** shall make available to the **Comptroller** a copy of all material submitted by the **Agency** to the **Commissioner** in connection with the dispute. The **Agency** may not present to the **Comptroller** any material not presented to the **Commissioner** except at the request of the **Comptroller**.

27.5.3 **Comptroller** Investigation. The **Comptroller** may investigate the claim in dispute and, in the course of such investigation, may exercise all powers provided in Sections 7-201 and 7-203 of the Administrative Code. In addition, the **Comptroller** may demand of either party, and such party shall provide, whatever additional material the **Comptroller** deems pertinent to the claim, including original business records of the **Contractor**. Willful failure of the **Contractor** to produce within fifteen (15) **Days** any material requested by the **Comptroller** shall constitute a waiver by the **Contractor** of its claim. The **Comptroller** may also schedule an informal conference to be attended by the **Contractor**, **Agency** representatives, and any other personnel desired by the **Comptroller**.

27.5.4 Opportunity of **Comptroller** to Compromise or Adjust Claim. The **Comptroller** shall have forty-five (45) **Days** from his or her receipt of all materials referred to in Article 27.5.3 to investigate the disputed claim. The period for investigation and compromise may be further extended by agreement between the **Contractor** and the **Comptroller**, to a maximum of ninety (90) **Days** from the **Comptroller's** receipt of all materials. The **Contractor** may not present its petition to the Contract Dispute Resolution Board until the period for investigation and compromise delineated in this Article 27.5.4 has expired. In compromising or adjusting any claim hereunder, the **Comptroller** may not revise or disregard the terms of the **Contract** between the parties.

27.6 Contract Dispute Resolution Board. There shall be a Contract Dispute Resolution Board composed of:

27.6.1 The chief administrative law judge of the Office of Administrative Trials and Hearings (OATH) or his/her designated OATH administrative law judge, who shall act as chairperson, and may adopt operational procedures and issue such orders consistent with this Article 27 as may be necessary in the execution of the Contract Dispute Resolution Board's functions, including, but not limited to, granting extensions of time to present or respond to submissions;

27.6.2 The **CCPO** or his/her designee; any designee shall have the requisite background to consider and resolve the merits of the dispute and shall not have participated personally and substantially in the particular matter that is the subject of the dispute or report to anyone who so participated; and

27.6.3 A person with appropriate expertise who is not an employee of the **City**. This person shall be selected by the presiding administrative law judge from a prequalified panel of individuals, established and administered by OATH with appropriate background to act as decision-makers in a dispute. Such individual may not have a contract or dispute with the **City** or be an officer or employee of any company or organization that does, or regularly represents persons, companies, or organizations having disputes with the **City**.

27.7 Petition to the Contract Dispute Resolution Board. In the event the claim has not been settled or adjusted by the **Comptroller** within the period provided in this Article 27, the **Contractor**, within thirty (30) **Days** thereafter, may petition the Contract Dispute Resolution Board to review the

**Commissioner's determination.**

27.7.1 Form and Content of Petition by **Contractor**. The **Contractor** shall present its dispute to the Contract Dispute Resolution Board in the form of a petition, which shall include (i) a brief written statement of the substance of the dispute, the amount of money, if any, claimed, and the reason(s) the **Contractor** contends the dispute was wrongly decided by the **Commissioner**; (ii) a copy of the written Decision of the **Commissioner**, (iii) copies of all materials submitted by the **Contractor** to the Agency; (iv) a copy of the written decision of the **Comptroller**, if any, and (v) copies of all correspondence with, or written material submitted by the **Contractor**, to the **Comptroller**. The **Contractor** shall concurrently submit four (4) complete sets of the Petition: one set to the **City** Corporation Counsel (Attn: Commercial and Real Estate Litigation Division) and three (3) sets to the Contract Dispute Resolution Board at OATH's offices with proof of service on the **City** Corporation Counsel. In addition, the **Contractor** shall submit a copy of the written statement of the substance of the dispute, cited in (i) above, to both the **Commissioner** and the **Comptroller**.

27.7.2 **Agency Response**. Within thirty (30) **Days** of its receipt of the Petition by the **City** Corporation Counsel, the **Agency** shall respond to the brief written statement of the **Contractor** and make available to the Contract Dispute Resolution Board all material it submitted to the **Commissioner** and **Comptroller**. Three (3) complete copies of the **Agency** response shall be provided to the Contract Dispute Resolution Board and one to the **Contractor**. Extensions of time for submittal of the **Agency** response shall be given as necessary upon a showing of good cause or, upon consent of the parties, for an initial period of up to thirty (30) **Days**.

27.7.3 Further Proceedings. The Contract Dispute Resolution Board shall permit the **Contractor** to present its case by submission of memoranda, briefs, and oral argument. The Contract Dispute Resolution Board shall also permit the **Agency** to present its case in response to the **Contractor** by submission of memoranda, briefs, and oral argument. If requested by the **City** Corporation Counsel, the **Comptroller** shall provide reasonable assistance in the preparation of the **Agency's** case. Neither the **Contractor** nor the **Agency** may support its case with any documentation or other material that was not considered by the **Comptroller**, unless requested by the Contract Dispute Resolution Board. The Contract Dispute Resolution Board, in its discretion, may seek such technical or other expert advice as it shall deem appropriate and may seek, on its own or upon application of a party, any such additional material from any party as it deems fit. The Contract Dispute Resolution Board, in its discretion, may combine more than one dispute between the parties for concurrent resolution.

27.7.4 Contract Dispute Resolution Board Determination. Within forty-five (45) **Days** of the conclusion of all written submissions and oral arguments, the Contract Dispute Resolution Board shall render a written decision resolving the dispute. In an unusually complex case, the Contract Dispute Resolution Board may render its decision in a longer period, not to exceed ninety (90) **Days**, and shall so advise the parties at the commencement of this period. The Contract Dispute Resolution Board's decision must be consistent with the terms of the **Contract**. Decisions of the Contract Dispute Resolution Board shall only resolve matters before the Contract Dispute Resolution Board and shall not have precedential effect with respect to matters not before the Contract Dispute Resolution Board.

27.7.5 Notification of Contract Dispute Resolution Board Decision. The Contract Dispute Resolution Board shall send a copy of its decision to the **Contractor**, the **ACCO**, the Engineer, the **Comptroller**, the **City** Corporation Counsel, the CCPO, and the **PPB**. A decision in favor of the **Contractor** shall be subject to the prompt payment provisions of the **PPB** Rules. The

Required Payment Date shall be thirty (30) Days after the date the parties are formally notified of the Contract Dispute Resolution Board's decision.

27.7.6 Finality of Contract Dispute Resolution Board Decision. The Contract Dispute Resolution Board's decision shall be final and binding on all parties. Any party may seek review of the Contract Dispute Resolution Board's decision solely in the form of a challenge, filed within four (4) months of the date of the Contract Dispute Resolution Board's decision, in a court of competent jurisdiction of the State of New York, County of New York pursuant to Article 78 of the Civil Practice Law and Rules. Such review by the court shall be limited to the question of whether or not the Contract Dispute Resolution Board's decision was made in violation of lawful procedure, was affected by an error of **Law**, or was arbitrary and capricious or an abuse of discretion. No evidence or information shall be introduced or relied upon in such proceeding that was not presented to the Contract Dispute Resolution Board in accordance with this Article 27.

27.8 Any termination, cancellation, or alleged breach of the **Contract** prior to or during the pendency of any proceedings pursuant to this Article 27 shall not affect or impair the ability of the **Commissioner** or Contract Dispute Resolution Board to make a binding and final decision pursuant to this Article 27.

## **ARTICLE 28. RECORD KEEPING FOR EXTRA OR DISPUTED WORK OR WORK ON A TIME & MATERIALS BASIS**

28.1 While the **Contractor** or any of its **Subcontractors** is performing **Work** on a time and material basis or **Extra Work** on a time and material basis ordered by the **Commissioner** under Article 25, or where the **Contractor** believes that it or any of its **Subcontractors** is performing **Extra Work** but a final determination by **Agency** has not been made, or the **Contractor** or any of its **Subcontractors** is performing disputed **Work** (whether on or off the **Site**), or complying with a determination or order under protest in accordance with Articles 11, 27, and 30, in each such case the **Contractor** shall furnish the **Resident Engineer** daily with three (3) copies of written statements signed by the **Contractor's** representative at the **Site** showing:

28.1.1 The name, trade, and number of each worker employed on such **Work** or engaged in complying with such determination or order, the number of hours employed, and the character of the **Work** each is doing; and

28.1.2 The nature and quantity of any materials, plant and equipment furnished or used in connection with the performance of such **Work** or compliance with such determination or order, and from whom purchased or rented.

28.2 A copy of such statement will be countersigned by the **Resident Engineer**, noting thereon any items not agreed to or questioned, and will be returned to the **Contractor** within two (2) **Days** after submission.

28.3 The **Contractor** and its **Subcontractors**, when required by the **Commissioner**, or the **Comptroller**, shall also produce for inspection, at the office of the **Contractor** or **Subcontractor**, any and all of its books, bid documents, financial statements, vouchers, records, daily job diaries and reports, and cancelled checks, and any other documents relating to showing the nature and quantity of the labor, materials, plant and equipment actually used in the performance of such **Work**, or in complying with such determination or order, and the amounts expended therefor, and shall permit the **Commissioner** and the

**Comptroller** to make such extracts therefrom, or copies thereof, as they or either of them may desire.

28.4 In connection with the examination provided for herein, the **Commissioner**, upon demand therefor, will produce for inspection by the **Contractor** such records as the **Agency** may have with respect to such **Extra Work** or disputed **Work** performed under protest pursuant to order of the **Commissioner**, except those records and reports which may have been prepared for the purpose of determining the accuracy and validity of the **Contractor's** claim.

28.5 Failure to comply strictly with these requirements shall constitute a waiver of any claim for extra compensation or damages on account of the performance of such **Work** or compliance with such determination or order.

### **ARTICLE 29. OMITTED WORK**

29.1 If any **Contract Work** in a lump sum **Contract**, or if any part of a lump sum item in a unit price, lump sum, or percentage-bid **Contract** is omitted by the **Commissioner** pursuant to Article 33, the **Contract** price, subject to audit by the EAO, shall be reduced by a pro rata portion of the lump sum bid amount based upon the percent of **Work** omitted subject to Article 29.4. For the purpose of determining the pro rata portion of the lump sum bid amount, the bid breakdown submitted in accordance with Article 41 shall be considered, but shall not be the determining factor.

29.2 If the whole of a lump sum item or units of any other item is so omitted by the **Commissioner** in a unit price, lump sum, or percentage-bid **Contract**, then no payment will be made therefor except as provided in Article 29.4.

29.3 For units that have been ordered but are only partially completed, the unit price shall be reduced by a pro rata portion of the unit price bid based upon the percentage of **Work** omitted subject to Article 29.4.

29.4 In the event the **Contractor**, with respect to any omitted **Work**, has purchased any non-cancelable material and/or equipment that is not capable of use except in the performance of this **Contract** and has been specifically fabricated for the sole purpose of this **Contract**, but not yet incorporated into the **Work**, the **Contractor** shall be paid for such material and/or equipment in accordance with Article 64.2.1(b); provided, however, such payment is contingent upon the **Contractor's** delivery of such material and/or equipment in acceptable condition to a location designated by the **City**.

29.5 The **Contractor** agrees to make no claim for damages or for loss of overhead and profit with regard to any omitted **Work**.

### **ARTICLE 30. NOTICE AND DOCUMENTATION OF COSTS AND DAMAGES; PRODUCTION OF FINANCIAL RECORDS**

30.1 If the **Contractor** shall claim to be sustaining damages by reason of any act or omission of the **City** or its agents, it shall submit to the **Commissioner** within forty-five (45) **Days** from the time such damages are first incurred, and every thirty (30) **Days** thereafter to the extent additional damages are being incurred for the same condition, verified statements of the details and the amounts of such damages, together with documentary evidence of such damages. The **Contractor** may submit any of the above statements within such additional time as may be granted by the **Commissioner** in writing upon written request therefor. Failure of the **Commissioner** to respond in writing to a written request for additional time within thirty (30) **Days** shall be deemed a denial of the request. On failure of the **Contractor** to strictly comply with



the foregoing provisions, such claims shall be deemed waived and no right to recover on such claims shall exist. Damages that the **Contractor** may claim in any action or dispute resolution procedure arising under or by reason of this **Contract** shall not be different from or in excess of the statements and documentation made pursuant to this Article 30. This Article 30.1 does not apply to claims submitted to the **Commissioner** pursuant to Article 11 or to claims disputing a determination under Article 27.

30.2 In addition to the foregoing statements, the **Contractor** shall, upon notice from the **Commissioner**, produce for examination at the **Contractor's** office, by the **Engineer, Architect or Project Manager**, all of its books of account, bills, invoices, payrolls, subcontracts, time books, daily reports, bank deposit books, bank statements, check books, and cancelled checks, showing all of its acts and transactions in connection with or relating to or arising by reason of this **Contract**, and submit itself and persons in its employment, for examination under oath by any person designated by the **Commissioner** or **Comptroller** to investigate claims made or disputes against the **City** under this **Contract**. At such examination, a duly authorized representative of the **Contractor** may be present.

30.3 In addition to the statements required under Article 28 and this Article 30, the **Contractor** and/or its **Subcontractor** shall, within thirty (30) **Days** upon notice from the **Commissioner** or **Comptroller**, produce for examination at the **Contractor's** and/or **Subcontractor's** office, by a representative of either the **Commissioner** or **Comptroller**, all of its books of account, bid documents, financial statements, accountant workpapers, bills, invoices, payrolls, subcontracts, time books, daily reports, bank deposit books, bank statements, check books, and cancelled checks, showing all of its acts and transactions in connection with or relating to or arising by reason of this **Contract**. Further, the **Contractor** and/or its **Subcontractor** shall submit any person in its employment, for examination under oath by any person designated by the **Commissioner** or **Comptroller** to investigate claims made or disputes against the **City** under this **Contract**. At such examination, a duly authorized representative of the **Contractor** may be present.

30.4 Unless the information and examination required under Article 30.3 is provided by the **Contractor** and/or its **Subcontractor** upon thirty (30) **Days'** notice from the **Commissioner** or **Comptroller**, or upon the **Commissioner's** or **Comptroller's** written authorization to extend the time to comply, the **City** shall be released from all claims arising under, relating to or by reason of this **Contract**, except for sums certified by the **Commissioner** to be due under the provisions of this **Contract**. It is further stipulated and agreed that no person has the power to waive any of the foregoing provisions and that in any action or dispute resolution procedure against the **City** to recover any sum in excess of the sums certified by the **Commissioner** to be due under or by reason of this **Contract**, the **Contractor** must allege in its complaint and prove, at trial or during such dispute resolution procedure, compliance with the provisions of this Article 30.

30.5 In addition, after the commencement of any action or dispute resolution procedure by the **Contractor** arising under or by reason of this **Contract**, the **City** shall have the right to require the **Contractor** to produce for examination under oath, up until the trial of the action or hearing before the Contract Dispute Resolution Board, the books and documents described in Article 30.3 and submit itself and all persons in its employ for examination under oath. If this Article 30 is not complied with as required, then the **Contractor** hereby consents to the dismissal of the action or dispute resolution procedure.

## **CHAPTER VII: POWERS OF THE RESIDENT ENGINEER, THE ENGINEER OR ARCHITECT AND THE COMMISSIONER**

### **ARTICLE 31. THE RESIDENT ENGINEER**

31.1 The **Resident Engineer** shall have the power to inspect, supervise, and control the performance

of the **Work**, subject to review by the **Commissioner**. The **Resident Engineer** shall not, however, have the power to issue an **Extra Work** order, except as specifically designated in writing by the **Commissioner**.

### **ARTICLE 32. THE ENGINEER OR ARCHITECT OR PROJECT MANAGER**

32.1 The **Engineer** or **Architect** or **Project Manager**, in addition to those matters elsewhere herein delegated to the **Engineer** and expressly made subject to his/her determination, direction or approval, shall have the power, subject to review by the **Commissioner**:

32.1.1 To determine the amount, quality, and location of the **Work** to be paid for hereunder; and

32.1.2 To determine all questions in relation to the **Work**, to interpret the **Contract Drawings, Specifications, and Addenda**, and to resolve all patent inconsistencies or ambiguities therein; and

32.1.3 To determine how the **Work** of this **Contract** shall be coordinated with **Work** of **Other Contractors** engaged simultaneously on this **Project**, including the power to suspend any part of the **Work**, but not the whole thereof; and

32.1.4 To make minor changes in the **Work** as he/she deems necessary, provided such changes do not result in a net change in the cost to the **City** or to the **Contractor** of the **Work** to be done under the **Contract**; and

32.1.5 To amplify the **Contract Drawings**, add explanatory information and furnish additional **Specifications** and drawings, consistent with this **Contract**.

32.2 The foregoing enumeration shall not imply any limitation upon the power of the **Engineer** or **Architect** or **Project Manager**, for it is the intent of this **Contract** that all of the **Work** shall generally be subject to his/her determination, direction, and approval, except where the determination, direction or approval of someone other than the **Engineer** or **Architect** or **Project Manager** is expressly called for herein.

32.3 The **Engineer** or **Architect** or **Project Manager** shall not, however, have the power to issue an **Extra Work** order, except as specifically designated in writing by the **Commissioner**.

### **ARTICLE 33. THE COMMISSIONER**

33.1 The **Commissioner**, in addition to those matters elsewhere herein expressly made subject to his/her determination, direction or approval, shall have the power:

33.1.1 To review and make determinations on any and all questions in relation to this **Contract** and its performance; and

33.1.2 To modify or change this **Contract** so as to require the performance of **Extra Work** (subject, however, to the limitations specified in Article 25) or the omission of **Contract Work**; and

33.1.3 To suspend the whole or any part of the **Work** whenever in his/her judgment such suspension is required:

33.1.3(a) In the interest of the **City** generally; or

33.1.3(b) To coordinate the **Work** of the various contractors engaged on this **Project** pursuant to the provisions of Article 12; or

33.1.3(c) To expedite the completion of the entire **Project** even though the completion of this particular **Contract** may thereby be delayed.

#### **ARTICLE 34. NO ESTOPPEL**

34.1 Neither the **City** nor any **Agency**, official, agent or employee thereof, shall be bound, precluded or estopped by any determination, decision, approval, order, letter, payment or certificate made or given under or in connection with this **Contract** by the **City**, the **Commissioner**, the **Engineer**, the **Resident Engineer**, or any other official, agent or employee of the **City**, either before or after the final completion and acceptance of the **Work** and payment therefor:

34.1.1 From showing the true and correct classification, amount, quality or character of the **Work** actually done; or that any such determination, decision, order, letter, payment or certificate was untrue, incorrect or improperly made in any particular, or that the **Work**, or any part thereof, does not in fact conform to the requirements of this **Contract**; and

34.1.2 From demanding and recovering from the **Contractor** any overpayment made to it, or such damages as the **City** may sustain by reason of the **Contractor's** failure to perform each and every part of its **Contract**.

### **CHAPTER VIII: LABOR PROVISIONS**

#### **ARTICLE 35. EMPLOYEES**

35.1 The **Contractor** and its **Subcontractors** shall not employ on the **Work**:

35.1.1 Anyone who is not competent, faithful and skilled in the **Work** for which he/she shall be employed; and whenever the **Commissioner** shall inform the **Contractor**, in writing, that any employee is, in his/her opinion, incompetent, unfaithful or disobedient, that employee shall be discharged from the **Work** forthwith, and shall not again be employed upon it; or

35.1.2 Any labor, materials or means whose employment, or utilization during the course of this **Contract**, may tend to or in any way cause or result in strikes, work stoppages, delays, suspension of **Work** or similar troubles by workers employed by the **Contractor** or its **Subcontractors**, or by any of the trades working in or about the buildings and premises where **Work** is being performed under this **Contract**, or by **Other Contractors** or their **Subcontractors** pursuant to other contracts, or on any other building or premises owned or operated by the **City**, its **Agencies**, departments, boards or authorities. Any violation by the **Contractor** of this requirement may, upon certification of the **Commissioner**, be considered as proper and sufficient cause for declaring the **Contractor** to be in default, and for the **City** to take action against it as set forth in Chapter X of this **Contract**, or such other article of this **Contract** as the Commissioner may deem proper; or

35.1.3 In accordance with Section 220.3-e of the Labor Law of the State of New York (hereinafter “Labor Law”), the **Contractor** and its **Subcontractors** shall not employ on the **Work** any apprentice, unless he/she is a registered individual, under a bona fide program registered with the New York State Department of Labor. The allowable ratio of apprentices to journey-level workers in any craft classification shall not be greater than the ratio permitted to the **Contractor** as to its work force on any job under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered as above, shall be paid the wage rate determined by the **Comptroller** of the **City** for the classification of **Work** actually performed. The **Contractor** or **Subcontractor** will be required to furnish written evidence of the registration of its program and apprentices as well as all the appropriate ratios and wage rates, for the area of the construction prior to using any apprentices on the **Contract Work**.

35.2 If the total cost of the **Work** under this **Contract** is at least two hundred fifty thousand (\$250,000) dollars, all laborers, workers, and mechanics employed in the performance of the **Contract** on the public work site, either by the **Contractor**, **Subcontractor** or other person doing or contracting to do the whole or a part of the **Work** contemplated by the **Contract**, shall be certified prior to performing any **Work** as having successfully completed a course in construction safety and health approved by the United States Department of Labor’s Occupational Safety and Health Administration that is at least ten (10) hours in duration.

35.3 In accordance with Local Law Nos. 30-2012 and 33-2012, codified at sections 6-132 and 12-113 of the Administrative Code, respectively,

35.3.1 The **Contractor** shall not take an adverse personnel action with respect to an officer or employee in retaliation for such officer or employee making a report of information concerning conduct which such officer or employee knows or reasonably believes to involve corruption, criminal activity, conflict of interest, gross mismanagement or abuse of authority by any officer or employee relating to this **Contract** to (a) the Commissioner of the Department of Investigation, (b) a member of the New York City Council, the Public Advocate, or the **Comptroller**, or (c) the **CCPO**, **ACCO**, **Agency** head, or **Commissioner**.

35.3.2 If any of the **Contractor**’s officers or employees believes that he or she has been the subject of an adverse personnel action in violation of Article 35.3.1, he or she shall be entitled to bring a cause of action against the **Contractor** to recover all relief necessary to make him or her whole. Such relief may include but is not limited to: (a) an injunction to restrain continued retaliation, (b) reinstatement to the position such employee would have had but for the retaliation or to an equivalent position, (c) reinstatement of full fringe benefits and seniority rights, (d) payment of two times back pay, plus interest, and (e) compensation for any special damages sustained as a result of the retaliation, including litigation costs and reasonable attorney’s fees.

35.3.3 The **Contractor** shall post a notice provided by the **City** in a prominent and accessible place on any site where work pursuant to the **Contract** is performed that contains information about:

35.3.3(a) how its employees can report to the New York City Department of Investigation allegations of fraud, false claims, criminality or corruption arising out of or in connection with the **Contract**; and

35.3.3(b) the rights and remedies afforded to its employees under Administrative Code sections 7-805 (the New York City False Claims Act) and 12-113 (the Whistleblower Protection Expansion Act) for lawful acts taken in connection with the

reporting of allegations of fraud, false claims, criminality or corruption in connection with the **Contract**.

35.3.4 For the purposes of this Article 35.3, “adverse personnel action” includes dismissal, demotion, suspension, disciplinary action, negative performance evaluation, any action resulting in loss of staff, office space, equipment or other benefit, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected officer or employee.

35.3.5 This Article 35.3 is applicable to all of the **Contractor’s Subcontractors** having subcontracts with a value in excess of \$100,000; accordingly, the **Contractor** shall include this rider in all subcontracts with a value a value in excess of \$100,000.

35.4 Article 35.3 is not applicable to this **Contract** if it is valued at \$100,000 or less. Articles 35.3.1, 35.3.2, 35.3.4, and 35.3.5 are not applicable to this **Contract** if it was solicited pursuant to a finding of an emergency.

### 35.5 Paid Sick Leave Law.

#### 35.5.1 Introduction and General Provisions.

35.5.1(a) The Earned Sick Time Act, also known as the Paid Sick Leave Law (“PSLL”), requires covered employees who annually perform more than 80 hours of work in New York City to be provided with paid sick time<sup>2</sup>. Contractors of the **City** or of other governmental entities may be required to provide sick time pursuant to the PSLL.

35.5.1(b) The PSLL became effective on April 1, 2014, and is codified at Title 20, Chapter 8, of the New York City Administrative Code. It is administered by the City’s Department of Consumer Affairs (“DCA”); DCA’s rules promulgated under the PSLL are codified at Chapter 7 of Title 6 of the Rules of the City of New York (“Rules”).

35.5.1(c) The **Contractor** agrees to comply in all respects with the PSLL and the Rules, and as amended, if applicable, in the performance of this **Contract**. The **Contractor** further acknowledges that such compliance is a material term of this **Contract** and that failure to comply with the PSLL in performance of this **Contract** may result in its termination.

35.5.1(d) The **Contractor** must notify the **Agency Chief Contracting Officer** of the **Agency** with whom it is contracting in writing within ten (10) days of receipt of a complaint (whether oral or written) regarding the PSLL involving the performance of this **Contract**. Additionally, the **Contractor** must cooperate with DCA’s education efforts and must comply with DCA’s subpoenas and other document demands as set forth in the PSLL and Rules.

35.5.1(e) The PSLL is summarized below for the convenience of the **Contractor**. The **Contractor** is advised to review the PSLL and Rules in their entirety. On the

---

<sup>2</sup> Pursuant to the PSLL, if fewer than five employees work for the same employer, as determined pursuant to New York City Administrative Code § 20-912(g), such employer has the option of providing such employees uncompensated sick time.

website [www.nyc.gov/PaidSickLeave](http://www.nyc.gov/PaidSickLeave) there are links to the PSL and the associated Rules as well as additional resources for employers, such as Frequently Asked Questions, timekeeping tools and model forms, and an event calendar of upcoming presentations and webinars at which the **Contractor** can get more information about how to comply with the PSL. The **Contractor** acknowledges that it is responsible for compliance with the PSL notwithstanding any inconsistent language contained herein.

#### 35.5.2 Pursuant to the PSL and the Rules: Applicability, Accrual, and Use.

35.5.2(a) An employee who works within the City of New York for more than eighty hours in any consecutive 12-month period designated by the employer as its “calendar year” pursuant to the PSL (“Year”) must be provided sick time. Employers must provide a minimum of one hour of sick time for every 30 hours worked by an employee and compensation for such sick time must be provided at the greater of the employee’s regular hourly rate or the minimum wage. Employers are not required to provide more than 40 hours of sick time to an employee in any Year.

35.5.2(b) An employee has the right to determine how much sick time he or she will use, provided that employers may set a reasonable minimum increment for the use of sick time not to exceed four hours per **Day**. In addition, an employee may carry over up to 40 hours of unused sick time to the following Year, provided that no employer is required to allow the use of more than forty hours of sick time in a Year or carry over unused paid sick time if the employee is paid for such unused sick time and the employer provides the employee with at least the legally required amount of paid sick time for such employee for the immediately subsequent Year on the first **Day** of such Year.

35.5.2(c) An employee entitled to sick time pursuant to the PSL may use sick time for any of the following:

- i. such employee’s mental illness, physical illness, injury, or health condition or the care of such illness, injury, or condition or such employee’s need for medical diagnosis or preventive medical care;
- ii. such employee’s care of a family member (an employee’s child, spouse, domestic partner, parent, sibling, grandchild or grandparent, or the child or parent of an employee’s spouse or domestic partner) who has a mental illness, physical illness, injury or health condition or who has a need for medical diagnosis or preventive medical care;
- iii. closure of such employee’s place of business by order of a public official due to a public health emergency; or
- iv. such employee’s need to care for a child whose school or childcare provider has been closed due to a public health emergency.

35.5.2(d) An employer must not require an employee, as a condition of taking sick time, to search for a replacement. However, an employer may require an employee to provide: reasonable notice of the need to use sick time; reasonable documentation that the use of sick time was needed for a reason above if for an absence of more than three consecutive work days; and/or written confirmation that an employee used sick time pursuant to the PSL. However, an employer may not require documentation specifying the nature of a medical condition or otherwise require disclosure of the details of a medical condition as a condition of providing sick time and health information obtained solely due to an employee’s use of sick time pursuant to the PSL must be treated by the

employer as confidential.

35.5.2(e) If an employer chooses to impose any permissible discretionary requirement as a condition of using sick time, it must provide to all employees a written policy containing those requirements, using a delivery method that reasonably ensures that employees receive the policy. If such employer has not provided its written policy, it may not deny sick time to an employee because of non-compliance with such a policy.

35.5.2(f) Sick time to which an employee is entitled must be paid no later than the payday for the next regular payroll period beginning after the sick time was used.

35.5.3 Exemptions and Exceptions. Notwithstanding the above, the PSLL does not apply to any of the following:

35.5.3(a) an independent contractor who does not meet the definition of employee under section 190(2) of the New York State Labor Law;

35.5.3(b) an employee covered by a valid collective bargaining agreement in effect on April 1, 2014, until the termination of such agreement;

35.5.3(c) an employee in the construction or grocery industry covered by a valid collective bargaining agreement if the provisions of the PSLL are expressly waived in such collective bargaining agreement;

35.5.3(d) an employee covered by another valid collective bargaining agreement if such provisions are expressly waived in such agreement and such agreement provides a benefit comparable to that provided by the PSLL for such employee;

35.5.3(e) an audiologist, occupational therapist, physical therapist, or speech language pathologist who is licensed by the New York State Department of Education and who calls in for work assignments at will, determines his or her own schedule, has the ability to reject or accept any assignment referred to him or her, and is paid an average hourly wage that is at least four times the federal minimum wage;

35.5.3(f) an employee in a work study program under Section 2753 of Chapter 42 of the United States Code;

35.5.3(g) an employee whose work is compensated by a qualified scholarship program as that term is defined in the Internal Revenue Code, Section 117 of Chapter 20 of the United States Code; or

35.5.3(h) a participant in a Work Experience Program (WEP) under section 336-c of the New York State Social Services Law.

35.5.4 Retaliation Prohibited. An employer may not threaten or engage in retaliation against an employee for exercising or attempting in good faith to exercise any right provided by the PSLL. In addition, an employer may not interfere with any investigation, proceeding, or hearing pursuant to the PSLL.

35.5.5 Notice of Rights.

35.5.5(a) An employer must provide its employees with written notice of their rights pursuant to the PSLL. Such notice must be in English and the primary language spoken

by an employee, provided that DCA has made available a translation into such language. Downloadable notices are available on DCA's website at <http://www.nyc.gov/html/dca/html/law/PaidSickLeave.shtml>.

35.5.5(b) Any person or entity that willfully violates these notice requirements is subject to a civil penalty in an amount not to exceed fifty dollars for each employee who was not given appropriate notice.

35.5.6 Records. An employer must retain records documenting its compliance with the PSLL for a period of at least three years, and must allow DCA to access such records in furtherance of an investigation related to an alleged violation of the PSLL.

35.5.7 Enforcement and Penalties.

35.5.7(a) Upon receiving a complaint alleging a violation of the PSLL, DCA has the right to investigate such complaint and attempt to resolve it through mediation. Within **30 Days** of written notification of a complaint by DCA, or sooner in certain circumstances, the employer must provide DCA with a written response and such other information as DCA may request. If DCA believes that a violation of the PSLL has occurred, it has the right to issue a notice of violation to the employer.

35.5.7(b) DCA has the power to grant an employee or former employee all appropriate relief as set forth in New York City Administrative Code § 20-924(d). Such relief may include, among other remedies, treble damages for the wages that should have been paid, damages for unlawful retaliation, and damages and reinstatement for unlawful discharge. In addition, DCA may impose on an employer found to have violated the PSLL civil penalties not to exceed \$500 for a first violation, \$750 for a second violation within two years of the first violation, and \$1,000 for each succeeding violation within two years of the previous violation.

35.5.8 More Generous Policies and Other Legal Requirements. Nothing in the PSLL is intended to discourage, prohibit, diminish, or impair the adoption or retention of a more generous sick time policy, or the obligation of an employer to comply with any contract, collective bargaining agreement, employment benefit plan or other agreement providing more generous sick time. The PSLL provides minimum requirements pertaining to sick time and does not preempt, limit or otherwise affect the applicability of any other law, regulation, rule, requirement, policy or standard that provides for greater accrual or use by employees of sick leave or time, whether paid or unpaid, or that extends other protections to employees. The PSLL may not be construed as creating or imposing any requirement in conflict with any federal or state law, rule or regulation.

35.6 HireNYC: Hiring and Reporting Requirements. This Article 35.6 applies to construction contracts of \$1,000,000 or more. The **Contractor** shall comply with the requirements of Articles 35.6.1-35.6.5 for all non-trades jobs (e.g., for an administrative position arising out of **Work** ant located in New York City). The **Contractor** shall reasonably cooperate with SBS and the **City** on specific outreach events, including "Hire-on-the-Spot" events, for the hiring of trades workers in connection with the **Work**. If provided elsewhere in this **Contract**, this **Contract** is subject to a project labor agreement.

35.6.1 Enrollment. The **Contractor** shall enroll with the HireNYC system, found at [www.nyc.gov/sbs](http://www.nyc.gov/sbs), within thirty (30) days after the registration of this **Contract** pursuant to Section 328 of the New York City Charter. The **Contractor** shall provide information about the business, designate a primary contact and say whether it intends to hire for any entry



to mid-level job opportunities arising from this **Contract** and located in New York City, and, if so, the approximate start date of the first hire.

#### 35.6.2 Job Posting Requirements.

35.6.2(a) Once enrolled in HireNYC, the **Contractor** agrees to update the HireNYC portal with all entry to mid-level job opportunities arising from this **Contract** and located in New York City, if any, which shall be defined as jobs requiring no more than an associate degree, as provided by the New York State Department of Labor (see Column F of <https://labor.ny.gov/stats/2012-2022-NYS-Employment-Prospects.xls>). The information to be updated includes the types of entry and mid-level positions made available from the work arising from the **Contract** and located in New York City, the number of positions, the anticipated schedule of initiating the hiring process for these positions, and the contact information for the **Contractor's** representative charged with overseeing hiring. The **Contractor** must update the HireNYC portal with any hiring needs arising from the contract and located in New York City, and the requirements of the jobs to be filled, no less than three weeks prior to the intended first day of employment for each new position, except with the permission of SBS, not to be unreasonably withheld, and must also update the HireNYC portal as set forth below.

35.6.2(b) After enrollment through HireNYC and submission of relevant information, SBS will work with the **Contractor** to develop a recruitment plan which will outline the candidate screening process, and will provide clear instructions as to when, where, and how interviews will take place. HireNYC will screen applicants based on employer requirements and refer applicants whom it believes are qualified to the **Contractor** for interviews. The **Contractor** must interview referred applicants whom it believes are qualified.

35.6.2(c) After completing an interview of a candidate referred by HireNYC, the **Contractor** must provide feedback via the portal within twenty (20) business days to indicate which candidates were interviewed and hired, if any. In addition, the **Contractor** shall provide the start date of new hires, and additional information reasonably related to such hires, within twenty (20) business days after the start date. In the event the **Contractor** does not have any job openings covered by this Rider in any given year, the **Contractor** shall be required to provide an annual update to HireNYC to that effect. For this purpose, the reporting year shall run from the date of the registration of the **Contract** pursuant to Charter section 328 and each anniversary date.

35.6.2(d) These requirements do not limit the **Contractor's** ability to assess the qualifications of prospective workers, and to make final hiring and retention decisions. No provision of this Article 35.6 shall be interpreted so as to require the **Contractor** to employ any particular worker.

35.6.2(e) In addition, the provisions of this Article 35.6 shall not apply to positions that the **Contractor** intends to fill with employees employed pursuant to the job retention provision of Section 22-505 of the Administrative Code of the City of New York. The **Contractor** shall not be required to report such openings with HireNYC. However, the **Contractor** shall enroll with the HireNYC system pursuant to Article 35.6.1, above, and, if such positions subsequently become open, then the remaining provisions of this Article 35.6 will apply.

35.6.3 Breach and Liquidated Damages. If the **Contractor** fails to comply with the terms of the **Contract** and this Article 35.6 (1) by not enrolling its business with HireNYC; (2) by not informing HireNYC, as required, of open positions; or (3) by failing to interview a qualified candidate, the **Agency** may assess liquidated damages in the amount of two-thousand five hundred dollars (\$2,500) per breach. For all other events of noncompliance with the terms of this Article 35.6, the **Agency** may assess liquidated damages in the amount of five hundred dollars (\$500) per breach. Furthermore, in the event the **Contractor** breaches the requirements of this Article 35.6 during the term of the **Contract**, the **City** may hold the **Contractor** in default of this **Contract**.

35.6.4 Audit Compliance. In addition to the auditing requirements set forth in other parts of the **Contract**, the **Contractor** shall permit SBS and the **City** to inspect any and all records concerning or relating to job openings or the hiring of individuals for work arising from the **Contract** and located in New York City. The **Contractor** shall permit an inspection within seven (7) business days of the request.

35.6.5 Other Reporting Requirements. The **Contractor** shall report to the **City**, on a monthly basis, all information reasonably requested by the **City** that is necessary for the **City** to comply with any reporting requirements imposed by **Law**, including any requirement that the **City** maintain a publicly accessible database. In addition, the **Contractor** agrees to comply with all reporting requirements imposed by **Law**, or as otherwise requested by the **City**.

35.6.6 Federal Hiring Requirements. If this **Contract** is federally funded (as indicated elsewhere in this **Contract**), the **Contractor** shall comply with all federal hiring requirements as may be set forth in this **Contract**, including, as applicable: (a) Section 3 of the HUD Act of 1968, which requires, to the greatest extent feasible, economic opportunities for 30 percent of new hires be given to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing and Executive Order 11246, which prohibits discrimination in employment due to race, color, religion, sex or national origin, and requires the implementation of goals for minority and female participation for work involving any construction trade.

## ARTICLE 36. NO DISCRIMINATION

36.1 The **Contractor** specifically agrees, as required by Labor Law Section 220-e, as amended, that:

36.1.1 In the hiring of employees for the performance of **Work** under this **Contract** or any subcontract hereunder, neither the **Contractor**, **Subcontractor**, nor any person acting on behalf of such **Contractor** or **Subcontractor**, shall by reason of race, creed, color or national origin discriminate against any citizen of the State of New York who is qualified and available to perform the **Work** to which the employment relates;

36.1.2 Neither the **Contractor**, **Subcontractor**, nor any person on its behalf shall, in any manner, discriminate against or intimidate any employee hired for the performance of **Work** under this **Contract** on account of race, creed, color or national origin;

36.1.3 There may be deducted from the amount payable to the **Contractor** by the **City** under this **Contract** a penalty of fifty (\$50.00) dollars for each person for each **Day** during which such person was discriminated against or intimidated in violation of the provisions of this

**Contract;** and

36.1.4 This **Contract** may be cancelled or terminated by the **City** and all moneys due or to become due hereunder may be forfeited, for a second or any subsequent violation of the terms or conditions of this Article 36.

36.1.5 This Article 36 covers all construction, alteration and repair of any public building or public work occurring in the State of New York and the manufacture, sale, and distribution of materials, equipment, and supplies to the extent that such operations are performed within the State of New York pursuant to this **Contract**.

36.2 The **Contractor** specifically agrees, as required by Section 6-108 of the Administrative Code, as amended, that:

36.2.1 It shall be unlawful for any person engaged in the construction, alteration or repair of buildings or engaged in the construction or repair of streets or highways pursuant to a **Contract** with the **City** or engaged in the manufacture, sale or distribution of materials, equipment or supplies pursuant to a **Contract** with the **City** to refuse to employ or to refuse to continue in any employment any person on account of the race, color or creed of such person.

36.2.2 It shall be unlawful for any person or any servant, agent or employee of any person, described in Article 36.1.2, to ask, indicate or transmit, orally or in writing, directly or indirectly, the race, color or creed or religious affiliation of any person employed or seeking employment from such person, firm or corporation.

36.2.3 Breach of the foregoing provisions shall be deemed a violation of a material provision of this **Contract**.

36.2.4 Any person, or the employee, manager or owner of or officer of such firm or corporation who shall violate any of the provisions of this Article 36.2 shall, upon conviction thereof, be punished by a fine of not more than one hundred (\$100.00) dollars or by imprisonment for not more than thirty (30) **Days**, or both.

36.3 This **Contract** is subject to the requirements of Executive Order No. 50 (1980) (“E.O. 50”), as revised, and the rules and regulations promulgated thereunder. No contract will be awarded unless and until these requirements have been complied with in their entirety. By signing this **Contract**, the **Contractor** agrees that it:

36.3.1 Will not engage in any unlawful discrimination against any employee or applicant for employment because of race, creed, color, national origin, sex, age, disability, marital status or sexual orientation with respect to all employment decisions including, but not limited to, recruitment, hiring, upgrading, demotion, downgrading, transfer, training, rates of pay or other forms of compensation, layoff, termination, and all other terms and conditions of employment; and

36.3.2 Will not engage in any unlawful discrimination in the selection of **Subcontractors** on the basis of the owner’s race, color, creed, national origin, sex, age, disability, marital status or sexual orientation; and

36.3.3 Will state in all solicitations or advertisements for employees placed by or on behalf of the **Contractor** that all qualified applicants will receive consideration for employment without unlawful discrimination based on race, creed, color, national origin, sex, age, citizens status,

disability, marital status, sexual orientation, or that it is an equal employment opportunity employer; and

36.3.4 Will send to each labor organization or representative of workers with which it has a collective bargaining agreement or other contract or memorandum of understanding, written notification of its equal employment opportunity commitments under E.O. 50 and the rules and regulations promulgated thereunder; and

36.3.5 Will furnish, before the award of the **Contract**, all information and reports, including an employment report, that are required by E.O. 50, the rules and regulations promulgated thereunder, and orders of the **City** Department of Business Services, Division of Labor Services (**DLS**) and will permit access to its books, records, and accounts by the **DLS** for the purposes of investigation to ascertain compliance with such rules, regulations, and orders.

36.4 The **Contractor** understands that in the event of its noncompliance with the nondiscrimination clauses of this **Contract** or with any of such rules, regulations, or orders, such noncompliance shall constitute a material breach of this **Contract** and noncompliance with E.O. 50 and the rules and regulations promulgated thereunder. After a hearing held pursuant to the rules of the **DLS**, the Director of the **DLS** may direct the **Commissioner** to impose any or all of the following sanctions:

36.4.1 Disapproval of the **Contractor**; and/or

36.4.2 Suspension or termination of the **Contract**; and/or

36.4.3 Declaring the **Contractor** in default; and/or

36.4.4 In lieu of any of the foregoing sanctions, the Director of the **DLS** may impose an employment program.

In addition to any actions taken under this **Contract**, failure to comply with E.O. 50 and the rules and regulations promulgated thereunder, in one or more instances, may result in a **City Agency** declaring the **Contractor** to be non-responsible in future procurements. The **Contractor** further agrees that it will refrain from entering into any **Contract** or **Contract** modification subject to E.O. 50 and the rules and regulations promulgated thereunder with a **Subcontractor** who is not in compliance with the requirements of E.O. 50 and the rules and regulations promulgated thereunder.

36.5 The **Contractor** specifically agrees, as required by Section 6-123 of the Administrative Code, that:

36.5.1 The **Contractor** will not engage in any unlawful discriminatory practice in violation of Title 8 of the Administrative Code; and

36.5.2 Any failure to comply with this Article 36.5 may subject the **Contractor** to the remedies set forth in Section 6-123 of the Administrative Code, including, where appropriate, sanctions such as withholding of payment, imposition of an employment program, finding the **Contractor** to be in default, cancellation of the **Contract**, or any other sanction or remedy provided by **Law** or **Contract**.

### **ARTICLE 37. LABOR LAW REQUIREMENTS**

37.1 The **Contractor** shall strictly comply with all applicable provisions of the Labor Law, as

amended. Such compliance is a material term of this **Contract**.

37.2 The **Contractor** specifically agrees, as required by Labor Law Sections 220 and 220-d, as amended, that:

37.2.1 Hours of **Work**: No laborer, worker, or mechanic in the employ of the **Contractor**, **Subcontractor** or other person doing or contracting to do the whole or a part of the **Work** contemplated by this **Contract** shall be permitted or required to work more than eight (8) hours in any one (1) **Day**, or more than five (5) **Days** in any one (1) week, except as provided in the Labor Law and in cases of extraordinary emergency including fire, flood, or danger to life or property, or in the case of national emergency when so proclaimed by the President of the United States of America.

37.2.2 In situations in which there are not sufficient laborers, workers, and mechanics who may be employed to carry on expeditiously the **Work** contemplated by this **Contract** as a result of such restrictions upon the number of hours and **Days** of labor, and the immediate commencement or prosecution or completion without undue delay of the **Work** is necessary for the preservation of the **Site** and/or for the protection of the life and limb of the persons using the same, such laborers, workers, and mechanics shall be permitted or required to work more than eight (8) hours in any one (1) **Day**; or five (5) **Days** in any one (1) week; provided, however, that upon application of any **Contractor**, the **Commissioner** shall have first certified to the Commissioner of Labor of the State of New York (hereinafter "Commissioner of Labor") that such public **Work** is of an important nature and that a delay in carrying it to completion would result in serious disadvantage to the public; and provided, further, that such Commissioner of Labor shall have determined that such an emergency does in fact exist as provided in Labor Law Section 220.2.

37.2.3 Failure of the **Commissioner** to make such a certification to the Commissioner of Labor shall not entitle the **Contractor** to damages for delay or for any cause whatsoever.

37.2.4 Prevailing Rate of Wages: The wages to be paid for a legal day's **Work** to laborers, workers, or mechanics employed upon the **Work** contemplated by this **Contract** or upon any materials to be used thereon shall not be less than the "prevailing rate of wage" as defined in Labor Law Section 220, and as fixed by the **Comptroller** in the attached Schedule of Wage Rates and in updated schedules thereof. The prevailing wage rates and supplemental benefits to be paid are those in effect at the time the **Work** is being performed.

37.2.5 Requests for interpretation or correction in the Information for Bidders includes all requests for clarification of the classification of trades to be employed in the performance of the **Work** under this **Contract**. In the event that a trade not listed in the **Contract** is in fact employed during the performance of this **Contract**, the **Contractor** shall be required to obtain from the **Agency** the prevailing wage rates and supplementary benefits for the trades used and to complete the performance of this **Contract** at the price at which the **Contract** was awarded.

37.2.6 Minimum Wages: Except for employees whose wage is required to be fixed pursuant to Labor Law Section 220, all persons employed by the **Contractor** and any **Subcontractor** in the manufacture or furnishing of the supplies, materials, or equipment, or the furnishing of work, labor, or services, used in the performance of this **Contract**, shall be paid, without subsequent deduction or rebate unless expressly authorized by **Law**, not less than the sum mandated by **Law**.

37.3 Working Conditions: No part of the **Work**, labor or services shall be performed or rendered by

the **Contractor** in any plants, factories, buildings or surroundings or under working conditions which are unsanitary or hazardous or dangerous to the health and safety of employees engaged in the performance of this **Contract**. Compliance with the safety, sanitary, and factory inspection **Laws** of the state in which the **Work** is to be performed shall be prima facie evidence of compliance with this Article 37.3.

37.4 Prevailing Wage Enforcement: The **Contractor** agrees to pay for all costs incurred by the **City** in enforcing prevailing wage requirements, including the cost of any investigation conducted by or on behalf of the **Agency** or the **Comptroller**, where the **City** discovers a failure to comply with any of the requirements of this Article 37 by the **Contractor** or its **Subcontractor(s)**. The **Contractor** also agrees that, should it fail or refuse to pay for any such investigation, the **Agency** is hereby authorized to deduct from a **Contractor's** account an amount equal to the cost of such investigation.

37.4.1 The Labor Law Section 220 and Section 220-d, as amended, provide that this **Contract** shall be forfeited and no sum paid for any **Work** done hereunder on a second conviction for willfully paying less than:

37.4.1(a) The stipulated prevailing wage scale as provided in Labor Law section 220, as amended, or

37.4.1(b) The stipulated minimum hourly wage scale as provided in Labor Law section 220-d, as amended.

37.4.2 For any breach or violation of either working conditions (Article 37.3) or minimum wages (Article 37.2.6) provisions, the party responsible therefor shall be liable to the **City** for liquidated damages, which may be withheld from any amounts due on any contracts with the **City** of such party responsible, or may be recovered in actions brought by the **City** Corporation Counsel in the name of the **City**, in addition to damages for any other breach of this **Contract**, for a sum equal to the amount of any underpayment of wages due to any employee engaged in the performance of this **Contract**. In addition, the **Commissioner** shall have the right to cancel contracts and enter into other contracts for the completion of the original contract, with or without public letting, and the original **Contractor** shall be liable for any additional cost. All sums withheld or recovered as deductions, rebates, refunds, or underpayment of wages hereunder, shall be held in a special deposit account and shall be paid without interest, on order of the **Comptroller**, directly to the employees who have been paid less than minimum rates of pay as set forth herein and on whose account such sums were withheld or recovered, provided that no claims by employees for such payments shall be entertained unless made within two (2) years from the date of actual notice to the **Contractor** of the withholding or recovery of such sums by the **City**.

37.4.3 A determination by the **Comptroller** that a **Contractor** and/or its **Subcontractor** willfully violated Labor Law Section 220 will be forwarded to the **City's** five District Attorneys for review.

37.4.4 The **Contractor's** or **Subcontractor's** noncompliance with this Article 37.4 and Labor Law Section 220 may result in an unsatisfactory performance evaluation and the **Comptroller** may also find and determine that the **Contractor** or **Subcontractor** willfully violated the New York Labor **Law**.

37.4.4(a) An unsatisfactory performance evaluation for noncompliance with this Article 37.4 may result in a determination that the **Contractor** is a non-responsible bidder on subsequent procurements with the **City** and thus a rejection of a future award

of a contract with the **City**, as well as any other sanctions provided for by **Law**.

37.4.4(b) Labor Law Section 220-b, as amended, provides that when two (2) final determinations have been rendered against a **Contractor** or **Subcontractor** within any consecutive six (6) year period determining that such **Contractor** or **Subcontractor** has willfully failed to pay the prevailing rate of wages or to provide supplements in accordance with the Labor Law and this Article 37.4, whether such failures were concurrent or consecutive and whether or not such final determinations concerning separate public works projects are rendered simultaneously, such **Contractor** or **Subcontractor** shall be ineligible to submit a bid on or be awarded any public works contract with the **City** for a period of five (5) years from the second final determination. If the final determination involves the falsification of payroll records or the kickback of wages or supplements, the **Contractor** or **Subcontractor** shall be ineligible to submit a bid on or be awarded any public works contract with the **City** for a period of five (5) years from the first final determination.

37.4.4(c) Labor Law Section 220, as amended, provides that the **Contractor** or **Subcontractor** found to have violated this Article 37.4 may be directed to make payment of wages or supplements including interest found to be due, and the **Contractor** or **Subcontractor** may be directed to make payment of a further sum as a civil penalty in an amount not exceeding twenty-five (25%) percent of the total amount found to be due.

37.5 The **Contractor** and its **Subcontractors** shall within ten (10) **Days** after mailing of a Notice of Award or written order, post in prominent and conspicuous places in each and every plant, factory, building, and structure where employees of the **Contractor** and its **Subcontractors** engaged in the performance of this **Contract** are employed, notices furnished by the **City**, in relation to prevailing wages and supplements, minimum wages, and other stipulations contained in Sections 220 and 220-h of the Labor Law, and the **Contractor** and its **Subcontractors** shall continue to keep such notices posted in such prominent and conspicuous places until **Final Acceptance** of the supplies, materials, equipment, or **Work**, labor, or services required to be furnished or rendered under this **Contract**.

37.6 The **Contractor** shall strictly comply with all of the provisions of Articles 37.6.1 through 37.6.5, and provide for all workers, laborers or mechanics in its employ, the following:

37.6.1 Notices Posted At **Site**: Post, in a location designated by the **City**, schedules of prevailing wages and supplements for this **Project**, a copy of all re-determinations of such schedules for the **Project**, the Workers' Compensation **Law** Section 51 notice, all other notices required by **Law** to be posted at the **Site**, the **City** notice that this **Project** is a public works project on which each worker is entitled to receive the prevailing wages and supplements for the occupation at which he or she is working, and all other notices which the **City** directs the **Contractor** to post. The **Contractor** shall provide a surface for such notices which is satisfactory to the **City**. The **Contractor** shall maintain and keep current such notices in a legible manner and shall replace any notice or schedule which is damaged, defaced, illegible or removed for any reason. The **Contractor** shall post such notices before commencing any **Work** on the **Site** and shall maintain such notices until all **Work** on the **Site** is complete; and

37.6.2 Daily **Site** Sign-in Sheets: Maintain daily **Site** sign-in sheets, and require that **Subcontractors** maintain daily **Site** sign-in sheets for its employees, which include blank spaces for an employee's name to be both printed and signed, job title, date started and Social Security number, the time the employee began work and the time the employee left

work, until **Final Acceptance** of the supplies, materials, equipment, or **Work**, labor, or services to be furnished or rendered under this **Contract** unless exception is granted by the **Comptroller** upon application by the **Agency**. In the alternative, subject to the approval of the **CCPO**, the **Contractor** and **Subcontractor** may maintain an electronic or biometric sign-in system, which provides the information required by this Article 37.6.2; and

37.6.3 Individual Employee Information Notices: Distribute a notice to each worker, laborer or mechanic employed under this **Contract**, in a form provided by the **Agency**, that this **Project** is a public works project on which each worker, laborer or mechanic is entitled to receive the prevailing rate of wages and supplements for the occupation at which he or she is working. If the total cost of the **Work** under this **Contract** is at least two hundred fifty thousand (\$250,000) dollars, such notice shall also include a statement that each worker, laborer or mechanic must be certified prior to performing any **Work** as having successfully completed a course in construction safety and health approved by the United States Department of Labor's Occupational Safety and Health Administration that is at least ten (10) hours in duration. Such notice shall be distributed to each worker before he or she starts performing any **Work** of this **Contract** and with the first paycheck after July first of each year. "Worker, laborer or mechanic" includes employees of the **Contractor** and all **Subcontractors** and all employees of suppliers entering the **Site**. At the time of distribution, the **Contractor** shall have each worker, laborer or mechanic sign a statement, in a form provided by the **Agency**, certifying that the worker has received the notice required by this Article 37.6.3, which signed statement shall be maintained with the payroll records required by this **Contract**; and

37.6.3(a) The **Contractor** and each **Subcontractor** shall notify each worker, laborer or mechanic employed under this **Contract** in writing of the prevailing rate of wages for their particular job classification. Such notification shall be given to every worker, laborer, and mechanic on their first pay stub and with every pay stub thereafter; and

37.6.4 **Site Laminated Identification Badges**: The **Contractor** shall provide laminated identification badges which include a photograph of the worker's, laborer's or mechanic's face and indicate the worker's, laborer's or mechanic's name, trade, employer's name, and employment starting date (month/day/year). Further, the **Contractor** shall require as a condition of employment on the **Site**, that each and every worker, laborer or mechanic wear the laminated identification badge at all times and that it may be seen by any representative of the **City**. The **Commissioner** may grant a written waiver from the requirement that the laminated identification badge include a photograph if the **Contractor** demonstrates that the identity of an individual wearing a laminated identification badge can be easily verified by another method; and

37.6.5 **Language Other Than English Used On Site**: Provide the **ACCO** notice when three (3) or more employees (worker and/or laborer and/or mechanic) on the **Site**, at any time, speak a language other than English. The **ACCO** will then provide the **Contractor** the notices described in Article 37.6.1 in that language or languages as may be required. The **Contractor** is responsible for all distributions under this Article 37; and

37.6.6 **Provision of Records**: The **Contractor** and **Subcontractor(s)** shall produce within five (5) **Days** on the **Site** of the **Work** and upon a written order of the **Engineer**, the **Commissioner**, the **ACCO**, the **Agency EAO**, or the **Comptroller**, such records as are required to be kept by this Article 37.6; and



37.6.7 The **Contractor** and **Subcontractor(s)** shall pay employees by check or direct deposit. If this **Contract** is for an amount greater than one million (\$1,000,000) dollars, checks issued by the **Contractor** to covered employees shall be generated by a payroll service or automated payroll system (an in-house system may be used if approved by the **Agency**). For any subcontract for an amount greater than seven hundred fifty thousand (\$750,000) dollars, checks issued by a **Subcontractor** to covered employees shall be generated by a payroll service or automated payroll system (an in-house system may be used if approved by the **Agency**); and

37.6.8 The failure of the **Contractor** or **Subcontractor(s)** to comply with the provisions of Articles 37.6.1 through 37.6.7 may result in the **Commissioner** declaring the **Contractor** in default and/or the withholding of payments otherwise due under the **Contract**.

37.7 The **Contractor** and its **Subcontractors** shall keep such employment and payroll records as are required by Section 220 of the Labor Law. The failure of the **Contractor** or **Subcontractor(s)** to comply with the provisions of this Article 37.7 may result in the **Commissioner** declaring the **Contractor** in default and/or the withholding of payments otherwise due under the **Contract**.

37.8 At the time the **Contractor** makes application for each partial payment and for final payment, the **Contractor** shall submit to the **Commissioner** a written payroll certification, in the form provided by this **Contract**, of compliance with the prevailing wage, minimum wage, and other provisions and stipulations required by Labor Law Section 220 and of compliance with the training requirements of Labor Law Section 220-h set forth in Article 35.2. This certification of compliance shall be a condition precedent to payment and no payment shall be made to the **Contractor** unless and until each such certification shall have been submitted to and received by the **Commissioner**.

37.9 This **Contract** is executed by the **Contractor** with the express warranty and representation that the **Contractor** is not disqualified under the provisions of Section 220 of the Labor Law from the award of the **Contract**.

37.10 Any breach or violation of any of the foregoing shall be deemed a breach or violation of a material provision of this **Contract**, and grounds for cancellation thereof by the **City**.

#### **ARTICLE 38. PAYROLL REPORTS**

38.1 The **Contractor** and its **Subcontractor(s)** shall maintain on the **Site** during the performance of the **Work** the original payrolls or transcripts thereof which the **Contractor** and its **Subcontractor(s)** are required to maintain and shall submit such original payrolls or transcripts, subscribed and affirmed by it as true, within thirty (30) **Days** after issuance of its first payroll, and every thirty (30) **Days** thereafter, pursuant to Labor Law Section 220(3-a)(a)(iii). The **Contractor** and **Subcontractor(s)** shall submit such original payrolls or transcripts along with each and every payment requisition. If payment requisitions are not submitted at least once a month, the **Contractor** and its **Subcontractor(s)** shall submit original payrolls and transcripts both along with its payment requisitions and independently of its payment requisitions.

38.2 The **Contractor** shall maintain payrolls or transcripts thereof for six (6) years from the date of completion of the **Work** on this **Contract**. If such payrolls and transcripts are maintained outside of New York City after the completion of the **Work** and their production is required pursuant to this Article 38, the **Contractor** shall produce such records in New York City upon request by the **City**.

38.3 The **Contractor** and **Subcontractor(s)** shall comply with any written order, direction, or request made by the **Engineer**, the **Commissioner**, the **ACCO**, the **Agency EAO**, the **Agency Labor Law**

**Investigator(s)**, or the **Comptroller**, to provide to the requesting party any of the following information and/or records within five (5) **Days** of such written order, direction, or request:

38.3.1 Such original payrolls or transcripts thereof subscribed and affirmed by it as true and the statements signed by each worker pursuant to this Chapter VIII; and/or

38.3.2 Attendance sheets for each **Day** on which any employee of the **Contractor** and/or any of the **Subcontractor(s)** performed **Work** on the **Site**, which attendance sheet shall be in a form acceptable to the **Agency** and shall provide information acceptable to the **Agency** to identify each such employee; and/or

38.3.3 Any other information to satisfy the **Engineer**, the **Commissioner**, the **ACCO**, the **Agency EAO**, the **Agency Labor Law Investigator(s)** or the **Comptroller**, that this Chapter VIII and the Labor Law, as to the hours of employment and prevailing rates of wages and/or supplemental benefits, are being observed.

38.4 The failure of the **Contractor** or **Subcontractor(s)** to comply with the provisions of Articles 38.1 and/or 38.2 may result in the **Commissioner** declaring the **Contractor** in default and/or the withholding of payments otherwise due under the **Contract**.

### ARTICLE 39. DUST HAZARDS

39.1 Should a harmful dust hazard be created in performing the **Work** of this **Contract**, for the elimination of which appliances or methods have been approved by the Board of Standards and Appeals of the City of New York, such appliances and methods shall be installed, maintained, and effectively operated during the continuance of such harmful dust hazard. Failure to comply with this provision after notice shall make this **Contract** voidable at the sole discretion of the **City**.

## CHAPTER IX: PARTIAL AND FINAL PAYMENTS

### ARTICLE 40. CONTRACT PRICE

40.1 The **City** shall pay, and the **Contractor** agrees to accept, in full consideration for the **Contractor's** performance of the **Work** subject to the terms and conditions hereof, the lump sum price or unit prices for which this **Contract** was awarded, plus the amount required to be paid for any **Extra Work** ordered by the **Commissioner** under Article 25, less credit for any **Work** omitted pursuant to Article 29.

### ARTICLE 41. BID BREAKDOWN ON LUMP SUM

41.1 Within fifteen (15) **Days** after the commencement date specified in the **Notice to Proceed** or **Order to Work**, unless otherwise directed by the **Resident Engineer**, the **Contractor** shall submit to the **Resident Engineer** a breakdown of its bid price, or of lump sums bid for items of the **Contract**, showing the various operations to be performed under the **Contract**, as directed in the progress schedule required under Article 9, and the value of each of such operations, the total of such items to equal the lump sum price bid. Said breakdown must be approved in writing by the **Resident Engineer**.

41.2 No partial payment will be approved until the **Contractor** submits a bid breakdown that is acceptable to the **Resident Engineer**.

41.3 The **Contractor** shall also submit such other information relating to the bid breakdown as directed by the **Resident Engineer**. Thereafter, the breakdown may be used only for checking the **Contractor's** applications for partial payments hereunder, but shall not be binding upon the **City**, the **Commissioner**, or the **Engineer** for any purpose whatsoever.

#### **ARTICLE 42. PARTIAL PAYMENTS**

42.1 From time to time as the **Work** progresses satisfactorily, but not more often than once each calendar month (except where the **Commissioner** approves in writing the submission of invoices on a more frequent basis and for invoices relating to **Work** performed pursuant to a change order), the **Contractor** may submit to the **Engineer** a requisition for a partial payment in the prescribed form, which shall contain an estimate of the quantity and the fair value of the **Work** done during the payment period.

42.2 Partial payments may be made for materials, fixtures, and equipment in advance of their actual incorporation in the **Work**, as the **Commissioner** may approve, and upon the terms and conditions set forth in the General Conditions.

42.3 The **Contractor** shall also submit to the **Commissioner** in connection with every application for partial payment a verified statement in the form prescribed by the **Comptroller** setting forth the information required under Labor Law Section 220-a.

42.4 Within thirty (30) **Days** after receipt of a satisfactory payment application, and within sixty (60) **Days** after receipt of a satisfactory payment application in relation to **Work** performed pursuant to a change order, the **Engineer** will prepare and certify, and the **Commissioner** will approve, a voucher for a partial payment in the amount of such approved estimate, less any and all deductions authorized to be made by the **Commissioner** under the terms of this **Contract** or by **Law**.

#### **ARTICLE 43. PROMPT PAYMENT**

43.1 The Prompt Payment provisions of the **PPB** Rules in effect at the time of the bid will be applicable to payments made under this **Contract**. The provisions require the payment to the **Contractor** of interest on payments made after the required payment date, except as set forth in the **PPB** Rules.

43.2 The **Contractor** shall submit a proper invoice to receive payment, except where the **Contract** provides that the **Contractor** will be paid at predetermined intervals without having to submit an invoice for each scheduled payment.

43.3 Determination of interest due will be made in accordance with the **PPB** Rules.

43.4 If the **Contractor** is paid interest, the proportionate share(s) of that interest shall be forwarded by the **Contractor** to its **Subcontractor(s)**.

43.5 The **Contractor** shall pay each **Subcontractor** or **Materialman** not later than seven (7) **Days** after receipt of payment out of amounts paid to the **Contractor** by the **City** for **Work** performed by the **Subcontractor** or **Materialman** under this **Contract**.

43.5.1 If **Contractor** fails to make any payment to any **Subcontractor** or **Materialman** within seven (7) **Days** after receipt of payment by the **City** pursuant to this Article 43.5,

then the **Contractor** shall pay interest on amounts due to such **Subcontractor** or **Materialman** at the rate of interest in effect on the date such payment is made by the **Contractor** computed in accordance with Section 756-b (1)(b) of the New York General Business Law. Accrual of interest shall commence on the **Day** immediately following the expiration of the seventh **Day** following receipt of payment by the **Contractor** from the **City** and shall end on the date on which payment is made.

43.6 The **Contractor** shall include in each of its subcontracts a provision requiring each **Subcontractor** to make payment to each of its **Subcontractors** or **Materialmen** for **Work** performed under this **Contract** in the same manner and within the same time period set forth above.

#### **ARTICLE 44. SUBSTANTIAL COMPLETION PAYMENT**

44.1 The **Contractor** shall submit with the **Substantial Completion** requisition:

44.1.1 A final verified statement of any pending Article 27 disputes in accordance with the **PPB** Rules and this **Contract** and any and all alleged claims against the **City**, in any way connected with or arising out of this **Contract** (including those as to which details may have been furnished pursuant to Articles 11, 27, 28, and 30) setting forth with respect to each such claim the total amount thereof, the various items of labor and materials included therein, and the alleged value of each item; and if the alleged claim be one for delay, the alleged cause of each such delay, the period or periods of time, giving the dates when the **Contractor** claims the performance of the **Work** or a particular part thereof was delayed, and an itemized statement and breakdown of the amount claimed for each such delay.

44.1.1(a) With respect to each such claim, the **Commissioner**, the **Comptroller** and, in the event of litigation, the **City** Corporation Counsel shall have the same right to inspect, and to make extracts or copies of, the **Contractor's** books, vouchers, records, etc., as is referred to in Articles 11, 27, 28, and 30. Nothing contained in this Article 44.1.1(a) is intended to or shall relieve the **Contractor** from the obligation of complying strictly with Articles 11, 27, 28, and 30. The **Contractor** is warned that unless such claims are completely set forth as herein required, the **Contractor** upon acceptance of the **Substantial Completion** payment pursuant to this Article 44, will have waived any such claims.

44.1.2 A **Final Approved Punch List**.

44.1.3 Where required, a request for an extension of time to achieve **Substantial Completion** or final extension of time.

44.2 The **Commissioner** shall issue a voucher calling for payment of any part or all of the balance due for **Work** performed under the **Contract**, including monies retained under Article 21, less any and all deductions authorized to be made by the **Commissioner**, under this **Contract** or by **Law**, and less twice the amount the **Commissioner** considers necessary to ensure the completion of the balance of the **Work** by the **Contractor**. Such a payment shall be considered a partial and not a final payment. No **Substantial Completion** payment shall be made under this Article 44 where the **Contractor** failed to complete the **Work** within the time fixed for such completion in the Schedule A of the General Conditions, or within the time to which completion may have been extended, until an extension or extensions of time for the completion of **Work** have been acted upon pursuant to Article 13.

44.3 No further partial payments shall be made to the **Contractor** after **Substantial Completion**, except the **Substantial Completion** payment and payment pursuant to any **Contractor's** requisition that were properly filed with the **Commissioner** prior to the date of **Substantial Completion**; however, the **Commissioner** may grant a waiver for further partial payments after the date of **Substantial Completion** to permit payments for change order **Work** and/or release of retainage and deposits pursuant to Articles 21 and 24. Such waiver shall be in writing.

44.4 The **Contractor** acknowledges that nothing contained in this Article 44 is intended to or shall in any way diminish the force and effect of Article 13.

#### **ARTICLE 45. FINAL PAYMENT**

45.1 After completion and **Final Acceptance** of the **Work**, the **Contractor** shall submit all required certificates and documents, together with a requisition for the balance claimed to be due under the **Contract**, less the amount authorized to be retained for maintenance under Article 24. Such submission shall be within 90 days of the date of the **Commissioner's** written determination of **Final Acceptance**, or within such additional time as may be granted by the **Commissioner** in writing. If the **Contractor** fails to submit all required certificates and documents within the time allowed, no payment of the balance claimed shall be made to the **Contractor** and the **Contractor** shall be deemed to have forfeited its right to payment of any balance claimed. A verified statement similar to that required in connection with applications for partial payments shall also be submitted to the **Commissioner**.

45.2 Amended Verified Statement of Claims: The **Contractor** shall also submit with the final requisition any amendments to the final verified statement of any pending dispute resolution procedures in accordance with the **PPB** Rules and this **Contract** and any and all alleged claims against the **City**, in any way connected with or arising out of this **Contract** (including those as to which details may have been furnished pursuant to Articles 11, 27, 28, and 30) that have occurred subsequent to **Substantial Completion**, setting forth with respect to each such claim the total amount thereof, the various items of labor and materials included therein, and the alleged value of each such item; and if the alleged claim be one for delay, the alleged cause of each such delay, the period or periods of time, giving the dates when the **Contractor** claims the performance of the **Work** or a particular part thereof was delayed, and an itemized statement and breakdown of the amount claimed for each such delay. With reference to each such claim, the **Commissioner**, the **Comptroller** and, in the event of litigation, the **City** Corporation Counsel shall have the same right to inspect, and to make extracts or copies of, the **Contractor's** books, vouchers, records, etc., as is referred to in Articles 11, 27, 28, and 30. Nothing contained in this Article 45.2, is intended to or shall relieve the **Contractor** from the obligation of complying strictly with Articles 11, 27, 28, and 30. The **Contractor** is warned that unless such claims are completely set forth as herein required, the **Contractor**, upon acceptance of the Final Payment pursuant to Article 46, will have waived any such claims.

45.3 Preparation of Final Voucher: Upon determining the balance due hereunder other than on account of claims, the **Engineer** will prepare and certify, for the **Commissioner's** approval, a voucher for final payment in that amount less any and all deductions authorized to be made by the **Commissioner** under this **Contract** or by **Law**. In the case of a lump sum **Contract**, the **Commissioner** shall certify the voucher for final payment within thirty (30) **Days** from the date of completion and acceptance of the **Work**, provided all requests for extensions of time have been acted upon.

45.3.1 All prior certificates and vouchers upon which partial payments were made, being merely estimates made to enable the **Contractor** to prosecute the **Work** more advantageously, shall be subject to correction in the final voucher, and the certification of the **Engineer**

thereon and the approval of the **Commissioner** thereof, shall be conditions precedent to the right of the **Contractor** to receive any money hereunder. Such final voucher shall be binding and conclusive upon the **Contractor**.

45.3.2 Payment pursuant to such final voucher, less any deductions authorized to be made by the **Commissioner** under this **Contract** or by **Law**, shall constitute the final payment, and shall be made by the **Comptroller** within thirty (30) **Days** after the filing of such voucher in his/her office.

45.4 The **Contractor** acknowledges that nothing contained in this Article 45 is intended to or shall in any way diminish the force and effect of Article 13.

#### **ARTICLE 46. ACCEPTANCE OF FINAL PAYMENT**

46.1 The acceptance by the **Contractor**, or by anyone claiming by or through it, of the final payment, whether such payment be made pursuant to any judgment of any court, or otherwise, shall constitute and operate as a release of the **City** from any and all claims of and liability to the **Contractor** for anything heretofore done or furnished for the **Contractor** relating to or arising out of this **Contract** and the **Work** done hereunder, and for any prior act, neglect or default on the part of the **City** or any of its officials, agents or employees, excepting only a claim against the **City** for the amounts deducted or retained in accordance with the terms and provisions of this **Contract** or by **Law**, and excepting any claims, not otherwise waived, or any pending dispute resolution procedures which are contained in the verified statement filed with the **Contractor's** substantial and final requisitions pursuant to Articles 44 and 45.

46.2 The **Contractor** is warned that the execution by it of a release, in connection with the acceptance of the final payment, containing language purporting to reserve claims other than those herein specifically excepted from the operation of this Article 46, or those for amounts deducted by the **Commissioner** from the final requisition or from the final payment as certified by the **Engineer** and approved by the **Commissioner**, shall not be effective to reserve such claims, anything stated to the **Contractor** orally or in writing by any official, agent or employee of the **City** to the contrary notwithstanding.

46.3 Should the **Contractor** refuse to accept the final payment as tendered by the **Comptroller**, it shall constitute a waiver of any right to interest thereon.

46.4 The **Contractor**, however, shall not be barred by this Article 46 from commencing an action for breach of **Contract** to the extent permitted by **Law** and by the terms of the **Contract** for any claims that are contained in the verified statement filed with the **Contractor's** substantial and final requisitions pursuant to Articles 44 and 45 or that arose after submission of the final payment requisition, provided that a detailed and verified statement of claim is served upon the contracting **Agency** and **Comptroller** not later than forty (40) **Days** after the making of such final payment by electronic funds transfer (EFT) or the mailing of such final payment. The statement shall specify the items upon which the claim will be based and any such claim shall be limited to such items.

#### **ARTICLE 47. APPROVAL BY PUBLIC DESIGN COMMISSION**

47.1 All works of art, including paintings, mural decorations, stained glass, statues, bas-reliefs, and other sculptures, monuments, fountains, arches, and other structures of a permanent character intended for ornament or commemoration, and every design of the same to be used in the performance of this **Contract**, and the design of all bridges, approaches, buildings, gates, fences, lamps, or structures to be erected, pursuant

to the terms of this **Contract**, shall be submitted to the Art Commission, d/b/a the Public Design Commission of the City of New York, and shall be approved by the Public Design Commission prior to the erection or placing in position of the same. The final payment shall not become due or payable under this **Contract** unless and until the Public Design Commission shall certify that the design for the **Work** herein contracted for has been approved by the said Public Design Commission, and that the same has been executed in substantial accordance with the design so approved, pursuant to the provisions of Chapter 37, Section 854 of the **City** Charter, as amended.

## **CHAPTER X: CONTRACTOR'S DEFAULT**

### **ARTICLE 48. COMMISSIONER'S RIGHT TO DECLARE CONTRACTOR IN DEFAULT**

48.1 In addition to those instances specifically referred to in other Articles herein, the **Commissioner** shall have the right to declare the **Contractor** in default of this **Contract** if:

48.1.1 The **Contractor** fails to commence **Work** when notified to do so by the **Commissioner**; or if

48.1.2 The **Contractor** shall abandon the **Work**; or if

48.1.3 The **Contractor** shall refuse to proceed with the **Work** when and as directed by the **Commissioner**; or if

48.1.4 The **Contractor** shall, without just cause, reduce its working force to a number which, if maintained, would be insufficient, in the opinion of the **Commissioner**, to complete the **Work** in accordance with the progress schedule; or if

48.1.5 The **Contractor** shall fail or refuse to increase sufficiently such working force when ordered to do so by the **Commissioner**; or if

48.1.6 The **Contractor** shall sublet, assign, transfer, convert or otherwise dispose of this **Contract** other than as herein specified; or sell or assign a majority interest in the **Contractor**; or if

48.1.7 The **Contractor** fails to secure and maintain all required insurance; or if

48.1.8 A receiver or receivers are appointed to take charge of the **Contractor's** property or affairs; or if

48.1.9 The **Commissioner** shall be of the opinion that the **Contractor** is or has been unnecessarily or unreasonably or willfully delaying the performance and completion of the **Work**, or the award of necessary subcontracts, or the placing of necessary material and equipment orders; or if

48.1.10 The **Commissioner** shall be of the opinion that the **Contractor** is or has been willfully or in bad faith violating any of the provisions of this **Contract**; or if

48.1.11 The **Commissioner** shall be of the opinion that the **Work** cannot be completed within the time herein provided therefor or within the time to which such completion may have been extended; provided, however, that the impossibility of timely completion is, in the

**Commissioner's** opinion, attributable to conditions within the **Contractor's** control; or if

48.1.12 The **Work** is not completed within the time herein provided therefor or within the time to which the **Contractor** may be entitled to have such completion extended; or if

48.1.13 Any statement or representation of the **Contractor** in the **Contract** or in any document submitted by the **Contractor** with respect to the **Work**, the **Project**, or the **Contract** (or for purposes of securing the **Contract**) was untrue or incorrect when made; or if

48.1.14 The **Contractor** or any of its officers, directors, partners, five (5%) percent shareholders, principals, or other persons substantially involved in its activities, commits any of the acts or omissions specified as the grounds for debarment in the **PPB** Rules.

48.2 Before the **Commissioner** shall exercise his/her right to declare the **Contractor** in default, the **Commissioner** shall give the **Contractor** an opportunity to be heard, upon not less than two (2) **Days'** notice.

#### **ARTICLE 49. EXERCISE OF THE RIGHT TO DECLARE DEFAULT**

49.1 The right to declare the **Contractor** in default for any of the grounds specified or referred to in Article 48 shall be exercised by sending the **Contractor** a notice, signed by the **Commissioner**, setting forth the ground or grounds upon which such default is declared (hereinafter referred to as a "Notice of Default").

49.2 The **Commissioner's** determination that the **Contractor** is in default shall be conclusive, final, and binding on the parties and such a finding shall preclude the **Contractor** from commencing a plenary action for any damages relating to the **Contract**. If the **Contractor** protests the determination of the **Commissioner**, the **Contractor** may commence an action in a court of competent jurisdiction of the State of New York under Article 78 of the New York Civil Practice Law and Rules.

#### **ARTICLE 50. QUITTING THE SITE**

50.1 Upon receipt of such notice the **Contractor** shall immediately discontinue all further operations under this **Contract** and shall immediately quit the **Site**, leaving untouched all plant, materials, equipment, tools, and supplies then on the **Site**.

#### **ARTICLE 51. COMPLETION OF THE WORK**

51.1 The **Commissioner**, after declaring the **Contractor** in default, may then have the **Work** completed by such means and in such manner, by contract with or without public letting, or otherwise, as he/she may deem advisable, utilizing for such purpose such of the **Contractor's** plant, materials, equipment, tools, and supplies remaining on the **Site**, and also such **Subcontractors**, as he/she may deem advisable.

51.2 After such completion, the **Commissioner** shall make a certificate stating the expense incurred in such completion, which shall include the cost of re-letting and also the total amount of liquidated damages (at the rate provided for in the **Contract**) from the date when the **Work** should have been completed by the **Contractor** in accordance with the terms hereof to the date of actual completion of the **Work**. Such certificate shall be binding and conclusive upon the **Contractor**, its sureties, and any person claiming under the **Contractor**, as to the amount thereof.



51.3 The expense of such completion, including any and all related and incidental costs, as so certified by the **Commissioner**, and any liquidated damages assessed against the **Contractor**, shall be charged against and deducted out of monies which are earned by the **Contractor** prior to the date of default. Should the expense of such completion, as certified by the **Commissioner**, exceed the total sum which would have been payable under the **Contract** if it had been completed by the **Contractor**, any excess shall be paid by the **Contractor**.

#### **ARTICLE 52. PARTIAL DEFAULT**

52.1 In case the **Commissioner** shall declare the **Contractor** in default as to a part of the **Work** only, the **Contractor** shall discontinue such part, shall continue performing the remainder of the **Work** in strict conformity with the terms of this **Contract**, and shall in no way hinder or interfere with any **Other Contractor(s)** or persons whom the **Commissioner** may engage to complete the **Work** as to which the **Contractor** was declared in default.

52.2 The provisions of this Chapter relating to declaring the **Contractor** in default as to the entire **Work** shall be equally applicable to a declaration of partial default, except that the **Commissioner** shall be entitled to utilize for completion of the part of the **Work** as to which the **Contractor** was declared in default only such plant, materials, equipment, tools, and supplies as had been previously used by the **Contractor** on such part.

#### **ARTICLE 53. PERFORMANCE OF UNCOMPLETED WORK**

53.1 In completing the whole or any part of the **Work** under the provisions of this Chapter X, the **Commissioner** shall have the power to depart from or change or vary the terms and provisions of this **Contract**, provided, however, that such departure, change or variation is made for the purpose of reducing the time or expense of such completion. Such departure, change or variation, even to the extent of accepting a lesser or different performance, shall not affect the conclusiveness of the **Commissioner's** certificate of the cost of completion referred to in Article 51, nor shall it constitute a defense to an action to recover the amount by which such certificate exceeds the amount which would have been payable to the **Contractor** hereunder but for its default.

#### **ARTICLE 54. OTHER REMEDIES**

54.1 In addition to the right to declare the **Contractor** in default pursuant to this Chapter X, the **Commissioner** shall have the absolute right, in his/her sole discretion and without a hearing, to complete or cause to be completed in the same manner as described in Articles 51 and 53, any or all unsatisfactory or uncompleted punch list **Work** that remains after the completion date specified in the **Final Approved Punch List**. A written notice of the exercise of this right shall be sent to the **Contractor** who shall immediately quit the **Site** in accordance with the provisions of Article 50.

54.2 The expense of completion permitted under Article 54.1, including any and all related and incidental costs, as so certified by the **Commissioner**, shall be charged against and deducted out of monies which have been earned by the **Contractor** prior to the date of the exercise of the right set forth in Article 54.1; the balance of such monies, if any, subject to the other provisions of this **Contract**, to be paid to the **Contractor** without interest after such completion. Should the expense of such completion, as certified by

the **Commissioner**, exceed the total sum which would have been payable under the **Contract** if it had been completed by the **Contractor**, any excess shall be paid by the **Contractor**.

54.3 The previous provisions of this Chapter X shall be in addition to any and all other remedies available under **Law** or in equity.

54.4 The exercise by the **City** of any remedy set forth herein shall not be deemed a waiver by the **City** of any other legal or equitable remedy contained in this **Contract** or provided under **Law**.

## **CHAPTER XI: MISCELLANEOUS PROVISIONS**

### **ARTICLE 55. CONTRACTOR'S WARRANTIES**

55.1 In consideration of, and to induce, the award of this **Contract** to the **Contractor**, the **Contractor** represents and warrants:

55.1.1 That it is financially solvent, sufficiently experienced and competent to perform the **Work**; and

55.1.2 That the facts stated in its bid and the information given by it pursuant to the Information for Bidders is true and correct in all respects; and

55.1.3 That it has read and complied with all requirements set forth in the **Contract**.

### **ARTICLE 56. CLAIMS AND ACTIONS THEREON**

56.1 Any claim, that is not subject to dispute resolution under the **PPB** Rules or this **Contract**, against the **City** for damages for breach of **Contract** shall not be made or asserted in any action, unless the **Contractor** shall have strictly complied with all requirements relating to the giving of notice and of information with respect to such claims, as herein before provided.

56.2 Nor shall any action be instituted or maintained on any such claims unless such action is commenced within six (6) months after **Substantial Completion**; except that:

56.2.1 Any claims arising out of events occurring after **Substantial Completion** and before **Final Acceptance** of the **Work** shall be asserted within six (6) months of **Final Acceptance** of the **Work**;

56.2.2 If the **Commissioner** exercises his/her right to complete or cause to complete any or all unsatisfactory or uncompleted punch list **Work** that remains after the completion date specified in the **Final Approved Punch List** pursuant to Article 54, any such action shall be commenced within six (6) months from the date the **Commissioner** notifies the **Contractor** in writing that he/she has exercised such right. Any claims for monies deducted, retained or withheld under the provisions of this **Contract** shall be asserted within six (6) months after the date when such monies otherwise become due and payable hereunder; and

56.2.3 If the **Commissioner** exercises his/her right to terminate the **Contract** pursuant to Article 64, any such action shall be commenced within six (6) months of the date the **Commissioner** exercises said right.

## **ARTICLE 57. INFRINGEMENT**

57.1 The **Contractor** shall be solely responsible for and shall defend, indemnify, and hold the **City** harmless from any and all claims (even if the allegations of the lawsuit are without merit) and judgments for damages and from costs and expenses to which the **City** may be subject to or which it may suffer or incur allegedly arising out of or in connection with any infringement by the **Contractor** of any copyright, trade secrets, trademark or patent rights or any other property or personal right of any third party by the **Contractor** and/or its **Subcontractors** in the performance or completion of the **Work**. Insofar as the facts or **Law** relating to any claim would preclude the **City** from being completely indemnified by the **Contractor**, the **City** shall be partially indemnified by the **Contractor** to the fullest extent permitted by **Law**.

## **ARTICLE 58. NO CLAIM AGAINST OFFICIALS, AGENTS OR EMPLOYEES**

58.1 No claim whatsoever shall be made by the **Contractor** against any official, agent or employee of the **City** for, or on account of, anything done or omitted to be done in connection with this **Contract**.

## **ARTICLE 59. SERVICE OF NOTICES**

59.1 The **Contractor** hereby designates the business address, fax number, and email address specified in its bid, as the place where all notices, directions or other communications to the **Contractor** may be delivered, or to which they may be mailed. Any notice, direction, or communication from either party to the other shall be in writing and shall be deemed to have been given when (i) delivered personally; (ii) sent by certified mail, return receipt requested; (iii) delivered by overnight or same day courier service in a properly addressed envelope with confirmation; or (iv) sent by fax or email and, unless receipt of the fax or e-mail is acknowledged by the recipient by fax or e-mail, deposited in a post office box regularly maintained by the United States Postal Service in a properly addressed, postage pre-paid envelope.

59.2 **Contractor's** notice address, email address, or fax number may be changed at any time by an instrument in writing, executed and acknowledged by the **Contractor**, and delivered to the **Commissioner**.

59.3 Nothing herein contained shall, however, be deemed to preclude or render inoperative the service of any notice, direction or other communication upon the **Contractor** personally, or, if the **Contractor** is a corporation, upon any officer thereof.

## **ARTICLE 60. UNLAWFUL PROVISIONS DEEMED STRICKEN FROM CONTRACT**

60.1 If this **Contract** contains any unlawful provision not an essential part of the **Contract** and which shall not appear to have been a controlling or material inducement to the making thereof, the same shall be deemed of no effect and shall, upon notice by either party, be deemed stricken from the **Contract** without affecting the binding force of the remainder.

## **ARTICLE 61. ALL LEGAL PROVISIONS DEEMED INCLUDED**

61.1 It is the intent and understanding of the parties to this **Contract** that each and every provision of **Law** required to be inserted in this **Contract** shall be and is inserted herein. Furthermore, it is hereby stipulated that every such provision is to be deemed to be inserted herein, and if, through mistake or otherwise, any such provision is not inserted, or is not inserted in correct form, then this **Contract** shall forthwith upon the application of either party be amended by such insertion so as to comply strictly with the **Law** and without prejudice to the rights of either party hereunder.

## **ARTICLE 62. TAX EXEMPTION**

62.1 The **City** is exempt from payment of Federal, State, and local taxes, including sales and compensating use taxes of the State of New York and its cities and counties on all tangible personal property sold to the **City** pursuant to the provisions of this **Contract**. These taxes are not to be included in bids. However, this exemption does not apply to tools, machinery, equipment or other property leased by or to the **Contractor**, **Subcontractor** or **Materialman** or to tangible personal property which, even though it is consumed, is not incorporated into the completed **Work** (consumable supplies) and tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work**. The **Contractor** and its **Subcontractors** and **Materialmen** shall be responsible for and pay any and all applicable taxes, including sales and compensating use taxes, on such leased tools, machinery, equipment or other property and upon all such consumable supplies and tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work**.

62.2 The **Contractor** agrees to sell and the **City** agrees to purchase all tangible personal property, other than consumable supplies and other tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work**, that is required, necessary or proper for or incidental to the construction of the **Project** covered by this **Contract**. The sum paid under this **Contract** for such tangible personal property shall be in full payment and consideration for the sale of such tangible personal property.

62.2.1 The **Contractor** agrees to construct the **Project** and to perform all **Work**, labor and services rendered, necessary, proper or incidental thereto for the sum shown in the bid for the performance of such **Work**, labor, and services, and the sum so paid pursuant to this **Contract** for such **Work**, labor, and services, shall be in full consideration for the performance by the **Contractor** of all its duties and obligations under this **Contract** in connection with said **Work**, labor, and services.

62.3 20 NYCRR Section 541.3(d) provides that a **Contractor's** purchases of tangible personal property that is either incorporated into real property owned by a governmental entity or purchased for and sold to a governmental entity are exempt from sales and use tax. The **City** shall not pay sales tax for any such tangible personal property that it purchases from the **Contractor** pursuant to the **Contract**. With respect to such tangible personal property, the **Contractor**, at the request of the **City**, shall furnish to the **City** such bills of sale and other instruments as may be required by the **City**, properly executed, acknowledged and delivered assuring to the **City** title to such tangible personal property, free of liens and/or encumbrances, and the **Contractor** shall mark or otherwise identify all such tangible personal property as the property of the **City**.

62.4 Title to all tangible personal property to be sold by the **Contractor** to the **City** pursuant to the provisions of the **Contract** shall immediately vest in and become the sole property of the **City** upon delivery of such tangible personal property to the **Site**. Notwithstanding such transfer of title, the **Contractor** shall

have the full and continuing responsibility to install such tangible personal property in accordance with the provisions of this **Contract**, protect it, maintain it in a proper condition and forthwith repair, replace and make good any damage thereto, theft or disappearance thereof, and furnish additional tangible personal property in place of any that may be lost, stolen or rendered unusable, without cost to the **City**, until such time as the **Work** covered by the **Contract** is fully accepted by the **City**. Such transfer of title shall in no way affect any of the **Contractor's** obligations hereunder. In the event that, after title has passed to the **City**, any of the tangible personal property is rejected as being defective or otherwise unsatisfactory, title to all such tangible personal property shall be deemed to have been transferred back to the **Contractor**.

62.5 The purchase by **Subcontractors** or **Materialmen** of tangible personal property to be sold hereunder shall be a purchase or procurement for resale to the **Contractor** (either directly or through other **Subcontractors**) and therefore not subject to the aforesaid sales and compensating use taxes, provided that the subcontracts and purchase agreements provide for the resale of such tangible personal property and that such subcontracts and purchase agreements are in a form similar to this **Contract** with respect to the separation of the sale of consumable supplies and tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work** from the **Work** and labor, services, and any other matters to be provided, and provided further that the subcontracts and purchase agreements provide separate prices for tangible personal property and all other services and matters. Such separation shall actually be followed in practice, including the separation of payments for tangible personal property from the payments for other **Work** and labor and other things to be provided.

62.6 The **Contractor** and its **Subcontractors** and **Materialmen** shall furnish a **Contractor** Exempt Purchase Certificate to all persons, firms or corporations from which they purchase tangible personal property for the performance of the **Work** covered by this **Contract**.

62.7 In the event any of the provisions of this Article 62 shall be deemed to be in conflict with any other provisions of this **Contract** or create any ambiguity, then the provisions of this Article 62 shall control.

### **ARTICLE 63. INVESTIGATION(S) CLAUSE**

63.1 The parties to this **Contract** agree to cooperate fully and faithfully with any investigation, audit or inquiry conducted by a United States, a State of New York (State) or a **City** governmental agency or authority that is empowered directly or by designation to compel the attendance of witnesses and to examine witnesses under oath, or conducted by the Inspector General of a governmental agency that is a party in interest to the transaction, submitted bid, submitted proposal, contract, lease, permit or license that is the subject of the investigation, audit or inquiry.

63.2 If any person who has been advised that his/her statement, and any information from such statement, will not be used against him/her in any subsequent criminal proceeding refuses to testify before a grand jury or other governmental agency or authority empowered directly or by designation to compel the attendance of witnesses and to examine witnesses under oath concerning the award of or performance under any transaction, agreement, lease, permit, contract, or license entered into with the **City**, the State, or any political subdivision or public authority thereof, or the Port Authority of New York and New Jersey, or any local development corporation within the **City**, or any public benefit corporation organized under the **Laws** of the State of New York, or;

63.3 If any person refuses to testify for a reason other than the assertion of his/her privilege against self incrimination in an investigation, audit or inquiry conducted by a **City** or State governmental agency or authority empowered directly or by designation to compel the attendance of witnesses and to take testimony under oath, or by the Inspector General of the governmental agency that is a party in interest in, and is

seeking testimony concerning the award of, or performance under any transaction, agreement, lease, permit, contract, or license entered into with the **City**, the State, or any political subdivision thereof or any local development corporation within the **City**, then;

63.4 The **Commissioner** whose **Agency** is a party in interest to the transaction, submitted bid, submitted proposal, contract, lease, permit, or license shall convene a hearing, upon not less than five (5) **Days**' written notice to the parties involved to determine if any penalties should attach for the failure of a person to testify.

63.5 If any non-governmental party to the hearing requests an adjournment, the **Commissioner** who convened the hearing may, upon granting the adjournment, suspend any contract, lease, permit, or license, pending the final determination pursuant to Article 63.7 without the **City** incurring any penalty or damages for delay or otherwise.

63.6 The penalties which may attach after a final determination by the **Commissioner** may include but shall not exceed:

63.6.1 The disqualification for a period not to exceed five (5) years from the date of an adverse determination for any person, or any entity of which such person was a member at the time the testimony was sought, from submitting bids for, or transacting business with, or entering into or obtaining any contract, lease, permit or license with or from the **City**; and/or

63.6.2 The cancellation or termination of any and all such existing **City** contracts, leases, permits or licenses that the refusal to testify concerns and that have not been assigned as permitted under this **Contract**, nor the proceeds of which pledged, to an unaffiliated and unrelated institutional lender for fair value prior to the issuance of the notice scheduling the hearing, without the **City** incurring any penalty or damages on account of such cancellation or termination; monies lawfully due for goods delivered, work done, rentals, or fees accrued prior to the cancellation or termination shall be paid by the **City**.

63.7 The **Commissioner** shall consider and address in reaching his/her determination and in assessing an appropriate penalty the factors in Articles 63.7.1 and 63.7.2. The **Commissioner** may also consider, if relevant and appropriate, the criteria established in Articles 63.7.3 and 63.7.4, in addition to any other information which may be relevant and appropriate:

63.7.1 The party's good faith endeavors or lack thereof to cooperate fully and faithfully with any governmental investigation or audit, including but not limited to the discipline, discharge, or disassociation of any person failing to testify, the production of accurate and complete books and records, and the forthcoming testimony of all other members, agents, assignees or fiduciaries whose testimony is sought.

63.7.2 The relationship of the person who refused to testify to any entity that is a party to the hearing, including but not limited to, whether the person whose testimony is sought has an ownership interest in the entity and/or the degree of authority and responsibility the person has within the entity.

63.7.3 The nexus of the testimony sought to the subject entity and its contracts, leases, permits or licenses with the **City**.

63.7.4 The effect a penalty may have on an unaffiliated and unrelated party or entity that has a significant interest in an entity subject to penalties under Article 63.6, provided that the party

or entity has given actual notice to the **Commissioner** upon the acquisition of the interest, or at the hearing called for in Article 63.4, gives notice and proves that such interest was previously acquired. Under either circumstance the party or entity shall present evidence at the hearing demonstrating the potential adverse impact a penalty will have on such person or entity.

#### 63.8 Definitions:

63.8.1 The term “license” or “permit” as used in this Article 63 shall be defined as a license, permit, franchise or concession not granted as a matter of right.

63.8.2 The term “person” as used in this Article 63 shall be defined as any natural person doing business alone or associated with another person or entity as a partner, director, officer, principal or employee.

63.8.3 The term “entity” as used in this Article 63 shall be defined as any firm, partnership, corporation, association, joint venture, or person that receives monies, benefits, licenses, leases, or permits from or through the **City** or otherwise transacts business with the **City**.

63.8.4 The term “member” as used in this Article 63 shall be defined as any person associated with another person or entity as a partner, director, officer, principal or employee.

63.9 In addition to and notwithstanding any other provision of this **Contract**, the **Commissioner** may in his/her sole discretion terminate this **Contract** upon not less than three (3) **Days**’ written notice in the event the **Contractor** fails to promptly report in writing to the **Commissioner** of the Department of Investigations (“DOI”) of the **City** any solicitation of money, goods, requests for future employment or other benefit or thing of value, by or on behalf of any employee of the **City** or other person, firm, corporation or entity for any purpose which may be related to the procurement or obtaining of this **Contract** by the **Contractor**, or affecting the performance of this **Contract**.

### **ARTICLE 64. TERMINATION BY THE CITY**

64.1 In addition to termination pursuant to any other article of this **Contract**, the **Commissioner** may, at any time, terminate this **Contract** by written notice to the **Contractor**. In the event of termination, the **Contractor** shall, upon receipt of such notice, unless otherwise directed by the **Commissioner**:

64.1.1 Stop **Work** on the date specified in the notice;

64.1.2 Take such action as may be necessary for the protection and preservation of the **City**’s materials and property;

64.1.3 Cancel all cancelable orders for material and equipment;

64.1.4 Assign to the **City** and deliver to the **Site** or another location designated by the **Commissioner**, any non-cancelable orders for material and equipment that is not capable of use except in the performance of this **Contract** and has been specifically fabricated for the sole purpose of this **Contract** and not incorporated in the **Work**;

64.1.5 Take no action which will increase the amounts payable by the **City** under this  
64.1.5 **Contract**.

64.2 In the event of termination by the **City** pursuant to this Article 64, payment to the **Contractor** shall be in accordance with Articles 64.2.1, 64.2.2 or 64.2.3, to the extent that each respective article applies.

64.2.1 Lump Sum Contracts or Items: On all lump sum **Contracts**, or on lump sum items in a **Contract**, the **City** will pay the **Contractor** the sum of the amounts described in Articles 64.2.1(a) and 64.2.1(b), less all payments previously made pursuant to this **Contract**. On lump sum **Contracts** only, the **City** will also pay the **Contractor** an additional sum as provided in Article 64.2.1(c).

64.2.1(a) For **Work** completed prior to the notice of termination, the **Contractor** shall be paid a pro rata portion of the lump sum bid amount, plus approved change orders, based upon the percent completion of the **Work**, as determined by the **Commissioner**. For the purpose of determining the pro rata portion of the lump sum bid amount to which the **Contractor** is entitled, the bid breakdown submitted in accordance with Article 41 shall be considered, but shall not be dispositive. The **Commissioner's** determination hereunder shall be final, binding, and conclusive.

64.2.1(b) For non-cancelable material and equipment that is not capable of use except in the performance of this **Contract** and has been specifically fabricated for the sole purpose of this **Contract**, but not yet incorporated in the **Work**, the **Contractor** shall be paid the lesser of the following, less salvage value:

64.2.1(b)(i) The Direct Cost, as defined in Article 64.2.4; or

64.2.1(b)(ii) The fair and reasonable value, if less than Direct Cost, of such material and equipment, plus necessary and reasonable delivery costs.

64.2.1(b)(iii) In addition, the **Contractor** shall be paid five (5%) percent of the amount described in Article 64.2.1(b)(i) or Article 64.2.1(b)(ii), whichever applies.

64.2.1(c) Except as otherwise provided in Article 64.2.1(d), on all lump sum **Contracts**, the **Contractor** shall be paid the percentage indicated below applied to the difference between the total lump sum bid amount and the total of all payments made prior to the notice of termination plus all payments allowed pursuant to Articles 64.2.1(a) and 64.2.1(b):

64.2.1(c)(i) Five (5%) percent of the first five million (\$5,000,000) dollars; and

64.2.1(c)(ii) Three (3%) percent of any amount between five million (\$5,000,000) dollars and fifteen million (\$15,000,000) dollars; plus

64.2.1(c)(iii) One (1%) percent of any amount over fifteen million (\$15,000,000) dollars.

64.2.1(d) In the event the **City** terminates a lump sum **Contract** pursuant to this Article 64 within ninety (90) **Days** after registration of the **Contract** with the **Comptroller**, the **Contractor** shall be paid one (1%) percent of the difference between the lump sum bid amount and the total of all payments made pursuant to this Article 64.2.

64.2.2 Unit Price Contracts or Items: On all unit price **Contracts**, or on unit price items in a



**Contract**, the **City** will pay the **Contractor** the sum of the amounts described in Articles 64.2.2(a) and 64.2.2(b), less all payments previously made pursuant to this **Contract**:

64.2.2(a) For all completed units, the unit price stated in the **Contract**, and

64.2.2(b) For units that have been ordered but are only partially completed, the **Contractor** will be paid:

64.2.2(b)(i) A pro rata portion of the unit price stated in the **Contract** based upon the percent completion of the unit and

64.2.2(b)(ii) For non-cancelable material and equipment, payment will be made pursuant to Article 64.2.1(b).

64.2.3 Time and Materials Contracts or Items Based on Time and Material Records: On all **Contracts** or items in a **Contract** where payment for the **Work** is based on time and material records, the **Contractor** shall be paid in accordance with Article 26, less all payments previously made pursuant to this **Contract**.

64.2.4 Direct Costs: Direct Costs as used in this Article 64.2 shall mean:

64.2.4(a) The actual purchase price of material and equipment, plus necessary and reasonable delivery costs,

64.2.4(b) The actual cost of labor involved in construction and installation at the **Site**, and

64.2.4(c) The actual cost of necessary bonds and insurance purchased pursuant to requirements of this **Contract** less any amounts that have been or should be refunded by the **Contractor's** sureties or insurance carriers.

64.2.4(d) Direct Costs shall not include overhead.

64.3 In no event shall any payments under this Article 64 exceed the **Contract** price for such items.

64.4 All payments pursuant to Article 64 shall be in the nature of liquidated damages and shall be accepted by the **Contractor** in full satisfaction of all claims against the **City**.

64.5 The **City** may deduct or set off against any sums due and payable pursuant to this Article 64, any deductions authorized by this **Contract** or by **Law** (including but not limited to liquidated damages) and any claims it may have against the **Contractor**. The **City's** exercise of the right to terminate the **Contract** pursuant to this Article 64 shall not impair or otherwise effect the **City's** right to assert any claims it may have against the **Contractor** in a plenary action.

64.6 Where the **Work** covered by the **Contract** has been substantially completed, as determined in writing by the **Commissioner**, termination of the **Work** shall be handled as an omission of **Work** pursuant to Articles 29 and 33, in which case a change order will be issued to reflect an appropriate reduction in the **Contract** sum, or if the amount is determined after final payment, such amount shall be paid by the **Contractor**.

## **ARTICLE 65. CHOICE OF LAW, CONSENT TO JURISDICTION AND VENUE**

65.1 This **Contract** shall be deemed to be executed in the **City** regardless of the domicile of the **Contractor**, and shall be governed by and construed in accordance with the **Laws** of the State of New York and the **Laws** of the United States, where applicable.

65.2 The parties agree that any and all claims asserted against the **City** arising under this **Contract** or related thereto shall be heard and determined in the courts of the State of New York (“New York State Courts”) located in the **City** and County of New York. To effect this **Contract** and intent, the **Contractor** agrees:

65.2.1 If the **City** initiates any action against the **Contractor** in Federal court or in a New York State Court, service of process may be made on the **Contractor** either in person, wherever such **Contractor** may be found, or by registered mail addressed to the **Contractor** at its address as set forth in this **Contract**, or to such other address as the **Contractor** may provide to the **City** in writing; and

65.2.2 With respect to any action between the **City** and the **Contractor** in a New York State Court, the **Contractor** hereby expressly waives and relinquishes any rights it might otherwise have:

65.2.2(a) To move to dismiss on grounds of forum non conveniens;

65.2.2(b) To remove to Federal Court; and

65.2.2(c) To move for a change of venue to a New York State Court outside New York County.

65.2.3 With respect to any action brought by the **City** against the **Contractor** in a Federal Court located in the **City**, the **Contractor** expressly waives and relinquishes any right it might otherwise have to move to transfer the action to a Federal Court outside the **City**.

65.2.4 If the **Contractor** commences any action against the **City** in a court located other than in the **City** and County of New York, upon request of the **City**, the **Contractor** shall either consent to a transfer of the action to a New York State Court of competent jurisdiction located in the **City** and County of New York or, if the Court where the action is initially brought will not or cannot transfer the action, the **Contractor** shall consent to dismiss such action without prejudice and may thereafter reinstate the action in a New York State Court of competent jurisdiction in New York County.

65.3 If any provision(s) of this Article 65 is held unenforceable for any reason, each and all other provision(s) shall nevertheless remain in full force and effect.

## **ARTICLE 66. PARTICIPATION IN AN INTERNATIONAL BOYCOTT**

66.1 The **Contractor** agrees that neither the **Contractor** nor any substantially owned affiliated company is participating or shall participate in an international boycott in violation of the provisions of the Federal Export Administration Act of 1979, as amended, or the regulations of the United States Department of Commerce (Commerce Department) promulgated thereunder.

66.2 Upon the final determination by the Commerce Department or any other agency of the United States as to, or conviction of the **Contractor** or a substantially-owned affiliated company thereof for participation in an international boycott in violation of the provisions of the Export Administration Act of 1979, as amended, or the regulations promulgated thereunder, the **Comptroller** may, at his/her option, render forfeit and void this **Contract**.

66.3 The **Contractor** shall comply in all respects, with the provisions of Section 6-114 of the Administrative Code and the rules and regulations issued by the **Comptroller** thereunder.

## **ARTICLE 67. LOCALLY BASED ENTERPRISE PROGRAM**

67.1 This **Contract** is subject to the requirements of Section 6-108.1 of the Administrative Code and regulations promulgated thereunder. No construction contract shall be awarded unless and until these requirements have been complied with in their entirety; however, compliance with this Article 67 is not required if the Agency sets Subcontractor Participation Goals for Minority- and Women-Owned Business Enterprises (M/WBEs).

67.2 Unless specifically waived by the **Commissioner** with the approval of the Division of Economic and Financial Opportunity of the **City** Department of Business Services, if any portion of the **Contract** is subcontracted, not less than ten (10%) percent of the total dollar amount of the **Contract** shall be awarded to locally based enterprises (LBEs); except that where less than ten (10%) percent of the total dollar amount of the **Contract** is subcontracted, such lesser percentage shall be so awarded.

67.3 The **Contractor** shall not require performance and payment bonds from LBE **Subcontractors**.

67.4 If the **Contractor** has indicated prior to award that no **Work** will be subcontracted, no **Work** shall be subcontracted without the prior approval of the **Commissioner**, which shall be granted only if the **Contractor** makes a good faith effort beginning at least six (6) weeks before the **Work** is to be performed to obtain LBE **Subcontractors** to perform the **Work**.

67.5 If the **Contractor** has not identified sufficient LBE **Subcontractors** prior to award, it shall sign a letter of compliance stating that it complies with Section 6-108.1 of the Administrative Code, recognizes that achieving the LBE requirement is a condition of its **Contract**, and shall submit documentation demonstrating its good faith efforts to obtain LBEs. After award, the **Contractor** shall begin to solicit LBE's to perform subcontracted **Work** at least six (6) weeks before the date such **Work** is to be performed and shall demonstrate that a good faith effort has been made to obtain LBEs on each subcontract until it meets the required percentage.

67.6 Failure of the **Contractor** to comply with the requirements of Section 6-108.1 of the Administrative Code and the regulations promulgated thereunder shall constitute a material breach of this **Contract**. Remedy for such breach may include the imposition of any or all of the following sanctions:

67.6.1 Reducing the **Contractor's** compensation by an amount equal to the dollar value of the percentage of the LBE subcontracting requirement not complied with;

67.6.2 Declaring the **Contractor** in default;

67.6.3 If the **Contractor** is an LBE, de-certifying and declaring the **Contractor** ineligible to participate in the LBE program for a period of up to three (3) years.

## ARTICLE 68. ANTITRUST

68.1 The **Contractor** hereby assigns, sells, and transfers to the **City** all right, title, and interest in and to any claims and causes of action arising under the antitrust **Laws** of New York State or of the United States relating to the particular goods or services purchased or procured by the **City** under this **Contract**.

## ARTICLE 69. MacBRIDE PRINCIPLES PROVISIONS

### 69.1 Notice To All Prospective **Contractors**:

69.1.1 Local Law No. 34 of 1991 became effective on September 10, 1991 and added Section 6-115.1 of the Administrative Code. The local **Law** provides for certain restrictions on **City Contracts** to express the opposition of the people of the **City** to employment discrimination practices in Northern Ireland to promote freedom of work-place opportunity.

69.1.2 Pursuant to Section 6-115.1, prospective **Contractors** for **Contracts** to provide goods or services involving an expenditure of an amount greater than ten thousand (\$10,000.) dollars, or for construction involving an amount greater than fifteen thousand (\$15,000.) dollars, are asked to sign a rider in which they covenant and represent, as a material condition of their **Contract**, that any business operations in Northern Ireland conducted by the **Contractor** and any individual or legal entity in which the **Contractor** holds a ten (10%) percent or greater ownership interest in the **Contractor** will be conducted in accordance with the MacBride Principles of nondiscrimination in employment.

69.1.3 Prospective **Contractors** are not required to agree to these conditions. However, in the case of **Contracts** let by competitive sealed bidding, whenever the lowest responsible bidder has not agreed to stipulate to the conditions set forth in this notice and another bidder who has agreed to stipulate to such conditions has submitted a bid within five (5%) percent of the lowest responsible bid for a **Contract** to supply goods, services or construction of comparable quality, the **Agency** shall refer such bids to the Mayor, the Speaker or other officials, as appropriate, who may determine, in accordance with applicable **Law**, that it is in the best interest of the **City** that the **Contract** be awarded to other than the lowest responsible pursuant to Section 313(b)(2) of the **City** Charter.

69.1.4 In the case of **Contracts** let by other than competitive sealed bidding, if a prospective **Contractor** does not agree to these conditions, no **Agency**, elected official or the **City** Council shall award the **Contract** to that bidder unless the **Agency** seeking to use the goods, services or construction certifies in writing that the **Contract** is necessary for the **Agency** to perform its functions and there is no other responsible **Contractor** who will supply goods, services or construction of comparable quality at a comparable price.

69.2 In accordance with Section 6-115.1 of the Administrative Code, the **Contractor** stipulates that such **Contractor** and any individual or legal entity in which the **Contractor** holds a ten (10%) percent or greater ownership interest in the **Contractor** either:

69.2.1 Have no business operations in Northern Ireland, or

69.2.2 Shall take lawful steps in good faith to conduct any business operations they have in

Northern Ireland in accordance with the MacBride Principles, and shall permit independent monitoring of their compliance with such principles.

69.3 For purposes of this Article, the following terms shall have the following meanings:

69.3.1 “MacBride Principles” shall mean those principles relating to nondiscrimination in employment and freedom of work-place opportunity which require employers doing business in Northern Ireland to:

69.3.1(a) increase the representation of individuals from under-represented religious groups in the workforce, including managerial, supervisory, administrative, clerical and technical jobs;

69.3.1(b) take steps to promote adequate security for the protection of employees from under-represented religious groups both at the work-place and while traveling to and from **Work**;

69.3.1(c) ban provocative religious or political emblems from the workplace;

69.3.1(d) publicly advertise all job openings and make special recruitment efforts to attract applicants from under-represented religious groups;

69.3.1(e) establish layoff, recall, and termination procedures which do not in practice favor a particular religious group;

69.3.1(f) abolish all job reservations, apprenticeship restrictions and different employment criteria which discriminate on the basis of religion;

69.3.1(g) develop training programs that will prepare substantial numbers of current employees from under-represented religious groups for skilled jobs, including the expansion of existing programs and the creation of new programs to train, upgrade, and improve the skills of workers from under-represented religious groups;

69.3.1(h) establish procedures to assess, identify, and actively recruit employees from under-represented religious groups with potential for further advancement; and

69.3.1(i) appoint a senior management staff member to oversee affirmative action efforts and develop a timetable to ensure their full implementation.

69.4 The **Contractor** agrees that the covenants and representations in Article 69.2 are material conditions to this **Contract**. In the event the **Agency** receives information that the **Contractor** who made the stipulation required by this Article 69 is in violation thereof, the **Agency** shall review such information and give the **Contractor** an opportunity to respond. If the **Agency** finds that a violation has occurred, the **Agency** shall have the right to declare the **Contractor** in default and/or terminate this **Contract** for cause and procure supplies, services or **Work** from another source in the manner the **Agency** deems proper. In the event of such termination, the **Contractor** shall pay to the **Agency**, or the **Agency** in its sole discretion may withhold from any amounts otherwise payable to the **Contractor**, the difference between the **Contract** price for the uncompleted portion of this **Contract** and the cost to the **Agency** of completing performance of this **Contract** either itself or by engaging another **Contractor** or **Contractors**. In the case of a requirement **Contract**, the **Contractor** shall be liable for such difference in price for the entire amount of supplies required by the **Agency** for the uncompleted term of **Contractor's Contract**. In the case of a construction **Contract**, the **Agency** shall also have the right to hold the **Contractor** in partial or total default in

accordance with the default provisions of this **Contract**, and/or may seek debarment or suspension of the **Contractor**. The rights and remedies of the **Agency** hereunder shall be in addition to, and not in lieu of, any rights and remedies the **Agency** has pursuant to this **Contract** or by operation of **Law**.

#### **ARTICLE 70. ELECTRONIC FILING/NYC DEVELOPMENT HUB**

70.1 The **Contractor** shall electronically file all alteration type-2 and alteration type-3 applications via the New York City Development Hub Web site, except applications for the following types of minor alterations: enlargements, curb cuts, legalizations, fire alarms, builders pavement plans, and jobs filed on Landmark Preservation Commission calendared properties. All such filings must be professionally certified. Information about electronic filing via the New York City Development Hub is available on the **City** Department of Buildings Web site at [www.nyc.gov/buildings](http://www.nyc.gov/buildings).

#### **ARTICLE 71. PROHIBITION OF TROPICAL HARDWOODS**

71.1 Tropical hardwoods, as defined in Section 165 of the New York State Finance Law (Finance Law), shall not be utilized in the performance of this **Contract** except as expressly permitted by Section 165 of the Finance Law.

#### **ARTICLE 72. CONFLICTS OF INTEREST**

72.1 Section 2604 of the **City** Charter and other related provisions of the **City** Charter, the Administrative Code, and the Penal Law are applicable under the terms of this **Contract** in relation to conflicts of interest and shall be extended to **Subcontractors** authorized to perform **Work**, labor and services pursuant to this **Contract** and further, it shall be the duty and responsibility of the **Contractor** to so inform its respective **Subcontractors**. Notice is hereby given that, under certain circumstances, penalties may be invoked against the donor as well as the recipient of any form of valuable gift.

#### **ARTICLE 73. MERGER CLAUSE**

73.1 The written **Contract** herein, contains all the terms and conditions agreed upon by the parties hereto, and no other agreement, oral or otherwise, regarding the subject matter of this **Contract** shall be deemed to exist or to bind any of the parties hereto, or to vary any of the terms contained herein.

#### **ARTICLE 74. STATEMENT OF WORK**

74.1 The **Contractor** shall furnish all labor and materials and perform all **Work** in strict accordance with the **Specifications** and **Addenda** thereto, numbered as shown in Schedule A.

## **ARTICLE 75. COMPENSATION TO BE PAID TO CONTRACTOR**

75.1 The **City** will pay and the **Contractor** will accept in full consideration for the performance of the **Contract**, subject to additions and deductions as provided herein, the total sum shown in Schedule A, this said sum being the amount at which the **Contract** was awarded to the **Contractor** at a public letting thereof, based upon the **Contractor's** bid for the **Contract**.

## **ARTICLE 76. ELECTRONIC FUNDS TRANSFER**

76.1 In accordance with Section 6-107.1 of the Administrative Code, the **Contractor** agrees to accept payments under this **Contract** from the **City** by electronic funds transfer (EFT). An EFT is any transfer of funds, other than a transaction originated by check, draft or similar paper instrument, which is initiated through an electronic terminal, telephonic instrument or computer or magnetic tape so as to order, instruct or authorize a financial institution to debit or credit an account. Prior to the first payment made under this **Contract**, the **Contractor** shall designate one financial institution or other authorized payment agent and shall complete the attached "EFT Vendor Payment Enrollment Form" in order to provide the Commissioner of the **City** Department of Finance with information necessary for the **Contractor** to receive electronic funds transfer payments through a designated financial institution or authorized payment agent. The crediting of the amount of a payment to the appropriate account on the books of a financial institution or other authorized payment agent designated by the **Contractor** shall constitute full satisfaction by the **City** for the amount of the payment under this **Contract**. The account information supplied by the **Contractor** to facilitate the electronic funds transfer shall remain confidential to the fullest extent provided by **Law**.

76.2 The **Commissioner** may waive the application of the requirements of this Article 76 to payments on contracts entered into pursuant to Section 315 of the **City** Charter. In addition, the Commissioner of the Department of Finance and the Comptroller may jointly issue standards pursuant to which the **Agency** may waive the requirements of this Article 76 for payments in the following circumstances: (i) for individuals or classes of individuals for whom compliance imposes a hardship; (ii) for classifications or types of checks; or (iii) in other circumstances as may be necessary in the interest of the **City**.

## **ARTICLE 77. RECORDS RETENTION**

77.1 The **Contractor** agrees to retain all books, records, and other documents relevant to this **Contract** for six years after the final payment or termination of this **Contract**, whichever is later. **City**, state, and federal auditors and any other persons duly authorized by the **City** shall have full access to and the right to examine any such books, records, and other documents during the retention period.

## **ARTICLE 78. EXAMINATION AND VIEWING OF SITE, CONSIDERATION OF OTHER SOURCES OF INFORMATION AND CHANGED SITE CONDITIONS**

78.1 Pre-Bidding (Investigation) Viewing of Site – Bidders must carefully view and examine the **Site** of the proposed **Work**, as well as its adjacent area, and seek other usual sources of information, for they will be conclusively presumed to have full knowledge of any and all conditions and hazards on, about or above the **Site** relating to or affecting in any way the performance of the **Work** to be done under the **Contract** that were or should have been known by a reasonably prudent bidder. To arrange a date for visiting the **Site**,

bidders are to contact the **Agency** contact person specified in the bid documents.

78.2 Should the **Contractor** encounter during the progress of the Work site conditions or environmental hazards at the **Site** materially differing from any shown on the **Contract Drawings** or indicated in the **Specifications** or such conditions or environmental hazards as could not reasonably have been anticipated by the **Contractor**, which conditions or hazards will materially affect the cost of the **Work** to be done under the **Contract**, the attention of the **Commissioner** must be called immediately to such conditions or hazards before they are disturbed. The **Commissioner** shall thereupon promptly investigate the conditions or hazards. If the **Commissioner** finds that they do so materially differ, and that they could not have been reasonably anticipated by the **Contractor**, the **Contract** may be modified with the **Commissioner's** written approval.

**ARTICLE 79. PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT**

**ARTICLE I. M/WBE PROGRAM**

Section 6-129 of the Administrative Code of the City of New York (“Section 6-129”) establishes the program for participation in City procurement (“M/WBE Program”) by minority- owned business enterprises (“MBEs”) and women-owned business enterprises (“WBEs”), certified in accordance with Section 1304 of the New York City Charter. As stated in Section 6- 129, the intent of the program is to address the impact of discrimination on the City’s procurement process, and to promote the public interest in avoiding fraud and favoritism in the procurement process, increasing competition for City business, and lowering contract costs. The contract provisions contained herein are pursuant to Section 6-129, and the rules of the Department of Small Business Services (“DSBS”) promulgated thereunder.

If this Contract is subject to the M/WBE Program established by Section 6-129, the specific requirements of MBE and/or WBE participation for this Contract are set forth in Schedule B of the Contract (entitled the “M/WBE Utilization Plan”) and are detailed below.

The Contractor must comply with all applicable MBE and WBE requirements for this Contract.

All provisions of Section 6-129 are hereby incorporated in the Contract by reference and all terms used herein that are not defined herein shall have the meanings given such terms in Section 6-129.

References to MBEs or WBEs shall also include such businesses certified pursuant to the executive law where credit is required by section 311 of the New York City Charter or other provision of law.

Article I, Part A, below, sets forth provisions related to the participation goals for construction, standard and professional services contracts.

Article I, Part B, below, sets forth miscellaneous provisions related to the M/WBE Program.

**PART A: PARTICIPATION GOALS FOR CONSTRUCTION, STANDARD AND PROFESSIONAL SERVICES CONTRACTS OR TASK ORDERS**

1. The MBE and/or WBE Participation Goals established for this Contract or Task Orders issued pursuant to this Contract, (“Participation Goals”), as applicable, are set forth on Schedule B, Part 1 to this Contract (see Page 1, Line 1 Total Participation Goals) or will be set forth on Schedule B, Part 1 to Task Orders issued pursuant to this Contract, as applicable.



The Participation Goals represent a percentage of the total dollar value of the Contract or Task Order, as applicable, that may be achieved by awarding subcontracts to firms certified with DSBS as MBEs and/or WBEs, and/or by crediting the participation of prime contractors and/or qualified joint ventures as provided in Section 3 below, unless the goals have been waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

2. If Participation Goals have been established for this Contract or Task Orders issued pursuant to this Contract, Contractor agrees or shall agree as a material term of the Contract that Contractor shall be subject to the Participation Goals, unless the goals are waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

3. If Participation Goals have been established for this Contract or Task Order issued pursuant to this Contract, a Contractor that is an MBE and/or WBE shall be permitted to count its own participation toward fulfillment of the relevant Participation Goal, provided that in accordance with Section 6-129 the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that the Contractor pays to direct subcontractors (as defined in Section 6-129(c)(13)), and provided further that a Contractor that is certified as both an MBE and a WBE may count its own participation either toward the goal for MBEs or the goal for WBEs, but not both.

A Contractor that is a qualified joint venture (as defined in Section 6-129(c)(30)) shall be permitted to count a percentage of its own participation toward fulfillment of the relevant Participation Goal. In accordance with Section 6-129, the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that Contractor pays to direct subcontractors, and then multiplying the remainder by the percentage to be applied to total profit to determine the amount to which an MBE or WBE is entitled pursuant to the joint venture agreement, provided that where a participant in a joint venture is certified as both an MBE and a WBE, such amount shall be counted either toward the goal for MBEs or the goal for WBEs, but not both.

4. A. If Participation Goals have been established for this Contract, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Utilization Plan, Part 2 (see Pages 1-2) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end; as well as the name, addresses, and telephone numbers of the M/WBE subcontractors if required by the solicitation; and (d) the prospective contractor's required certification and affirmations. In the event that this M/WBE Utilization Plan indicates that the bidder or proposer, as applicable, does not intend to meet the Participation Goals, the bid or proposal, as applicable, shall be deemed non-responsive, unless Agency has granted the bidder or proposer, as applicable, a pre-award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.

B. (i) If this Contract is for a master services agreement or other requirements type contract that will result in the issuance of Task Orders that will be individually registered ("Master Services Agreement") and is subject to M/WBE Participation Goals, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Participation Requirements for Master Services Agreements That Will Require Individually Registered Task Orders, Part 2 (page 2) indicating the prospective contractor's certification and required affirmations to make all reasonable good faith efforts to meet participation goals established on each individual Task Order issued pursuant to this Contract, or if a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms. In the event that the Schedule B indicates that the bidder or proposer, as applicable, does not intend to meet the Participation Goals that may be established on Task Orders issued pursuant to this Contract, the bid or proposal, as applicable, shall be deemed non-responsive.

(ii) Participation Goals on a Master Services Agreement will be established for individual Task Orders issued after the Master Services Agreement is awarded. If Participation Goals have been established on a Task Order, a contractor shall be required to submit a Schedule B – M/WBE Utilization Plan For Independently Registered Task Orders That Are Issued Pursuant to Master Services Agreements, Part 2 (see Pages 1-2) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end; as well as the name, addresses, and telephone numbers of the M/WBE subcontractors if required by the solicitation; and (d) the prospective contractor's required certification and affirmations. The contractor must engage in good faith efforts to meet the Participation Goals as established for the Task Order unless Agency has granted the contractor a pre-award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.

**C. THE BIDDER/PROPOSER MUST COMPLETE THE SCHEDULE B INCLUDED HEREIN (SCHEDULE B, PART 2). A SCHEDULE B SUBMITTED BY THE BIDDER/PROPOSER WHICH DOES NOT INCLUDE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS WILL BE DEEMED TO BE NON-RESPONSIVE, UNLESS A FULL WAIVER OF THE PARTICIPATION GOALS IS GRANTED (SCHEDULE B, PART 3). IN THE EVENT THAT THE CITY DETERMINES THAT THE BIDDER/PROPOSER HAS SUBMITTED A SCHEDULE B WHERE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS ARE COMPLETED BUT OTHER ASPECTS OF THE SCHEDULE B ARE NOT COMPLETE, OR CONTAIN A COPY OR COMPUTATION ERROR THAT IS AT ODDS WITH THE VENDOR CERTIFICATION AND AFFIRMATIONS, THE BIDDER/PROPOSER WILL BE NOTIFIED BY THE AGENCY AND WILL BE GIVEN FOUR (4) CALENDAR DAYS FROM RECEIPT OF NOTIFICATION TO CURE THE SPECIFIED DEFICIENCIES AND RETURN A COMPLETED SCHEDULE B TO THE AGENCY. FAILURE TO DO SO WILL RESULT IN A DETERMINATION THAT THE BID/PROPOSAL IS NON-RESPONSIVE. RECEIPT OF NOTIFICATION IS DEFINED AS THE DATE NOTICE IS E-MAILED OR FAXED (IF THE BIDDER/PROPOSER HAS PROVIDED AN E-MAIL ADDRESS OR FAX NUMBER), OR NO LATER THAN FIVE (5) CALENDAR DAYS FROM THE DATE OF MAILING OR UPON DELIVERY, IF DELIVERED.**

5. Where an M/WBE Utilization Plan has been submitted, the Contractor shall, within 30 days of issuance by Agency of a notice to proceed, submit a list of proposed persons or entities to which it intends to award subcontracts within the subsequent 12 months. In the case of multi- year contracts, such list shall also be submitted every year thereafter. The Agency may also require the Contractor to report periodically about the contracts awarded by its direct subcontractors to indirect subcontractors (as defined in Section 6-129(c)(22)). PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor must identify all those to which it intends to award construction subcontracts for any portion of the Wicks trade work at the time of bid submission, regardless of what point in the life of the contract such subcontracts will occur. In identifying intended subcontractors in the bid submission, bidders may satisfy any Participation Goals established for this Contract by proposing one or more subcontractors that are MBEs and/or WBEs for any portion of the Wicks trade work. In the event that the Contractor's selection of a subcontractor is disapproved, the Contractor shall have a reasonable time to propose alternate subcontractors.

6. MBE and WBE firms must be certified by DSBS in order for the Contractor to credit such firms' participation toward the attainment of the Participation Goals. Such certification must occur prior to the firms' commencement of work. A list of city-certified MBE and WBE firms may be obtained from the DSBS website at [www.nyc.gov/buycertified](http://www.nyc.gov/buycertified), by emailing DSBS at [buyer@sbs.nyc.gov](mailto:buyer@sbs.nyc.gov), by calling (212) 513-6451, or by visiting or writing DSBS at One Liberty Plaza ., New York, New York, 10006, 11th floor. Eligible firms that have not yet

been certified may contact DSBS in order to seek certification by visiting [www.nyc.gov/getcertified](http://www.nyc.gov/getcertified), emailing [MWBE@sbs.nyc.gov](mailto:MWBE@sbs.nyc.gov), or calling the DSBS certification helpline at (212) 513-6311. A firm that is certified as both an MBE and a WBE may be counted either toward the goal for MBEs or the goal for WBEs, but not both. No credit shall be given for participation by a graduate MBE or graduate WBE, as defined in Section 6-129(c)(20).

7. Where an M/WBE Utilization Plan has been submitted, the Contractor shall, with each voucher for payment, and/or periodically as Agency may require, submit statements, certified under penalty of perjury, which shall include, but not be limited to, the total amount the Contractor paid to its direct subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount direct subcontractors paid to indirect subcontractors; the names, addresses and contact numbers of each MBE or WBE hired as a subcontractor by the Contractor, and, where applicable, hired by any of the Contractor's direct subcontractors; and the dates and amounts paid to each MBE or WBE. The Contractor shall also submit, along with its voucher for final payment: the total amount it paid to subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount its direct subcontractors paid directly to their indirect subcontractors; and a final list, certified under penalty of perjury, which shall include the name, address and contact information of each subcontractor that is an MBE or WBE, the work performed by, and the dates and amounts paid to each.

8. If payments made to, or work performed by, MBEs or WBEs are less than the amount specified in the Contractor's M/WBE Utilization Plan, Agency shall take appropriate action, in accordance with Section 6-129 and Article II below, unless the Contractor has obtained a modification of its M/WBE Utilization Plan in accordance with Section 6-129 and Part A, Section 11 below.

9. Where an M/WBE Utilization Plan has been submitted, and the Contractor requests a change order the value of which exceeds the greater of 10 percent of the Contract or Task Order, as applicable, or \$500,000, Agency shall review the scope of work for the Contract or Task Order, as applicable, and the scale and types of work involved in the change order, and determine whether the Participation Goals should be modified.

10. Pre-award waiver of the Participation Goals. (a) A bidder or proposer, or contractor with respect to a Task Order, may seek a pre-award full or partial waiver of the Participation Goals in accordance with Section 6-129, which requests that Agency change one or more Participation Goals on the grounds that the Participation Goals are unreasonable in light of the availability of certified firms to perform the services required, or by demonstrating that it has legitimate business reasons for proposing a lower level of subcontracting in its M/WBE Utilization Plan.

(b) To apply for a full or partial waiver of the Participation Goals, a bidder, proposer, or contractor, as applicable, must complete Part 3 of Schedule B **and submit such request no later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due, in writing by email at [MWBEModification@ddc.nyc.gov](mailto:MWBEModification@ddc.nyc.gov). Full or partial waiver requests that are received later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due may be rejected as untimely.** Bidders, proposers, or contractors, as applicable, who have submitted timely requests will receive an Agency response by no later than two (2) calendar days prior to the due date for bids, proposals, or Task Orders; provided, however, that if that date would fall on a weekend or holiday, an Agency response will be provided by close-of-business on the business day before such weekend or holiday date.

(c) If the Agency determines that the Participation Goals are unreasonable in light of the availability of certified firms to perform the services required, it shall revise the solicitation and extend the deadline for bids and proposals, or revise the Task Order, as applicable.

(d) Agency may grant a full or partial waiver of the Participation Goals to a bidder, proposer or contractor, as applicable, who demonstrates—before submission of the bid, proposal or Task Order, as applicable—that it has legitimate business reasons for proposing the level of subcontracting in its M/WBE Utilization Plan. In making its determination, Agency shall consider factors that shall include, but not be limited

to, whether the bidder, proposer or contractor, as applicable, has the capacity and the bona fide intention to perform the Contract without any subcontracting, or to perform the Contract without awarding the amount of subcontracts represented by the Participation Goals. In making such determination, Agency may consider whether the M/WBE Utilization Plan is consistent with past subcontracting practices of the bidder, proposer or contractor, as applicable, whether the bidder, proposer or contractor, as applicable, has made efforts to form a joint venture with a certified firm, and whether the bidder, proposer, or contractor, as applicable, has made good faith efforts to identify other portions of the Contract that it intends to subcontract.

11. Modification of M/WBE Utilization Plan. (a) A Contractor may request a modification of its M/WBE Utilization Plan after award of this Contract. PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor may request a Modification of its M/WBE Utilization Plan as part of its bid submission. The Agency may grant a request for Modification of a Contractor's M/WBE Utilization Plan if it determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts to meet the Participation Goals. In making such determination, Agency shall consider evidence of the following efforts, as applicable, along with any other relevant factors:

- (i) The Contractor advertised opportunities to participate in the Contract, where appropriate, in general circulation media, trade and professional association publications and small business media, and publications of minority and women's business organizations;
- (ii) The Contractor provided notice of specific opportunities to participate in the Contract, in a timely manner, to minority and women's business organizations;
- (iii) The Contractor sent written notices, by certified mail or facsimile, in a timely manner, to advise MBEs or WBEs that their interest in the Contract was solicited;
- (iv) The Contractor made efforts to identify portions of the work that could be substituted for portions originally designated for participation by MBEs and/or WBEs in the M/WBE Utilization Plan, and for which the Contractor claims an inability to retain MBEs or WBEs;
- (v) The Contractor held meetings with MBEs and/or WBEs prior to the date their bids or proposals were due, for the purpose of explaining in detail the scope and requirements of the work for which their bids or proposals were solicited;
- (vi) The Contractor made efforts to negotiate with MBEs and/or WBEs as relevant to perform specific subcontracts, or act as suppliers or service providers;
- (vii) Timely written requests for assistance made by the Contractor to Agency's M/WBE liaison officer and to DSBS;
- (viii) Description of how recommendations made by DSBS and Agency were acted upon and an explanation of why action upon such recommendations did not lead to the desired level of participation of MBEs and/or WBEs.

Agency's M/WBE officer shall provide written notice to the Contractor of the determination.

(b) The Agency may modify the Participation Goals when the scope of the work has been changed by the Agency in a manner that affects the scale and types of work that the Contractor indicated in its M/WBE Utilization Plan would be awarded to subcontractors.

12. If the Contractor was required to identify in its bid or proposal the MBEs and/or WBEs they intended to use in connection with the performance of the Contract or Task Order, substitutions to the identified firms may only be made with the approval of the Agency, which shall only be given when the Contractor has proposed to use a firm that would satisfy the Participation Goals to the same extent as the firm previously identified, unless the Agency determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts. In making such determination, the Agency shall require evidence of the

efforts listed in Section 11(a) above, as applicable, along with any other relevant factors.

13. If this Contract is for an indefinite quantity of construction, standard or professional services or is a requirements type contract and the Contractor has submitted an M/WBE Utilization Plan and has committed to subcontract work to MBEs and/or WBEs in order to meet the Participation Goals, the Contractor will not be deemed in violation of the M/WBE Program requirements for this Contract with regard to any work which was intended to be subcontracted to an MBE and/or WBE to the extent that the Agency has determined that such work is not needed.

14. If Participation Goals have been established for this Contract or a Task Order issued pursuant to this Contract, at least once annually during the term of the Contract or Task Order, as applicable, Agency shall review the Contractor's progress toward attainment of its M/WBE Utilization Plan, including but not limited to, by reviewing the percentage of work the Contractor has actually awarded to MBE and/or WBE subcontractors and the payments the Contractor made to such subcontractors.

15. If Participation Goals have been established for this Contract or a Task Order issued pursuant to this Contract, Agency shall evaluate and assess the Contractor's performance in meeting those goals, and such evaluation and assessment shall become part of the Contractor's overall contract performance evaluation.

## PART B: MISCELLANEOUS

The Contractor shall take notice that, if this solicitation requires the establishment of a M/WBE Utilization Plan, the resulting contract may be audited by DSBS to determine compliance with Section 6-129. See §6-129(e)(10). Furthermore, such resulting contract may also be examined by the City's Comptroller to assess compliance with the M/WBE Utilization Plan.

1. Pursuant to DSBS rules, construction contracts that include a requirement for a M/WBE Utilization Plan shall not be subject to the law governing Locally Based Enterprises set forth in Section 6-108.1 of the Administrative Code of the City of New York.

2. DSBS is available to assist contractors and potential contractors in determining the availability of MBEs and/or WBEs to participate as subcontractors, and in identifying opportunities that are appropriate for participation by MBEs and/or WBEs in contracts.

3. Prospective contractors are encouraged to enter into qualified joint venture agreements with MBEs and/or WBEs as defined by Section 6-129(c)(30).

4. By submitting a bid or proposal the Contractor hereby acknowledges its understanding of the M/WBE Program requirements set forth herein and the pertinent provisions of Section 6-129, and any rules promulgated thereunder, and if awarded this Contract, the Contractor hereby agrees to comply with the M/WBE Program requirements of this Contract and pertinent provisions of Section 6-129, and any rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract. The Contractor hereby agrees to make all reasonable, good faith efforts to solicit and obtain the participation of MBEs and/or WBEs to meet the required Participation Goals.

## ARTICLE II. ENFORCEMENT

1. If Agency determines that a bidder or proposer, as applicable, has, in relation to this procurement, violated Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, Agency may disqualify such bidder or proposer, as applicable, from competing for this Contract and the Agency may revoke such bidder's or proposer's prequalification status, if applicable.

2. Whenever Agency believes that the Contractor or a subcontractor is not in compliance with Section 6-129

or the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to any M/WBE Utilization Plan, Agency shall send a written notice to the Contractor describing the alleged noncompliance and offering the Contractor an opportunity to be heard. Agency shall then conduct an investigation to determine whether such Contractor or subcontractor is in compliance.

3. In the event that the Contractor has been found to have violated Section 6-129, the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to, any M/WBE Utilization Plan, Agency may determine that one of the following actions should be taken:

- (a) entering into an agreement with the Contractor allowing the Contractor to cure the violation;
- (b) revoking the Contractor's pre-qualification to bid or make proposals for future contracts;
- (c) making a finding that the Contractor is in default of the Contract;
- (d) terminating the Contract;
- (e) declaring the Contractor to be in breach of Contract;
- (f) withholding payment or reimbursement;
- (g) determining not to renew the Contract;
- (h) assessing actual and consequential damages;
- (i) assessing liquidated damages or reducing fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the M/WBE Program, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;
- (j) exercising rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or
- (k) taking any other appropriate remedy.

4. If an M/WBE Utilization Plan has been submitted, and pursuant to this Article II, Section 3, the Contractor has been found to have failed to fulfill its Participation Goals contained in its M/WBE Utilization Plan or the Participation Goals as modified by Agency pursuant to Article I, Part A, Section 11, Agency may assess liquidated damages in the amount of ten percent (10%) of the difference between the dollar amount of work required to be awarded to MBE and/or WBE firms to meet the Participation Goals and the dollar amount the Contractor actually awarded and paid, and/or credited, to MBE and/or WBE firms. In view of the difficulty of accurately ascertaining the loss which the City will suffer by reason of Contractor's failure to meet the Participation Goals, the foregoing amount is hereby fixed and agreed as the liquidated damages that the City will suffer by reason of such failure, and not as a penalty. Agency may deduct and retain out of any monies which may become due under this Contract the amount of any such liquidated damages; and in case the amount which may become due under this Contract shall be less than the amount of liquidated damages suffered by the City, the Contractor shall be liable to pay the difference.

5. Whenever Agency has reason to believe that an MBE and/or WBE is not qualified for certification, or is participating in a contract in a manner that does not serve a commercially useful function (as defined in Section 6-129(c)(8)), or has violated any provision of Section 6-129, Agency shall notify the Commissioner of DSBS who shall determine whether the certification of such business enterprise should be revoked.

6. Statements made in any instrument submitted to Agency pursuant to Section 6-129 shall be submitted under penalty of perjury and any false or misleading statement or omission shall be grounds for the application of any applicable criminal and/or civil penalties for perjury. The making of a false or fraudulent statement by an MBE and/or WBE in any instrument submitted pursuant to Section 6-129 shall, in addition, be grounds for revocation of its certification.

7. The Contractor's record in implementing its M/WBE Utilization Plan shall be a factor in the evaluation of

its performance. Whenever Agency determines that a Contractor's compliance with an M/WBE Utilization Plan has been unsatisfactory, Agency shall, after consultation with the City Chief Procurement Officer, file an advice of caution form for inclusion in PASSPort as caution data.

## CONTRACT SIGNATURE PAGE

This Contract is entered by and between the City of New York (“City”), acting by and through the **DEPARTMENT OF DESIGN AND CONSTRUCTION**, and **J PIZZIRUSSO LANDSCAPING CORP** (“Contractor”).

This Contract consists of this contract signature page as well as the following documents (“Contract Documents”) which are located in the Documents tab of the PASSPort record titled **85023B0057-HWCSCHPKR**.

1. (Question answer) - Appendix A1 Lobbying.pdf - Jun 21 2023 4:24PM
2. (Question answer) - Appendix A5 Buy America.pdf - Jun 21 2023 4:25PM
3. (Question answer) - HWCSCHPKR - BID BOND.pdf - Jun 21 2023 1:45PM
4. (Question answer) - HWCSCHPKR - Bid Schedule - JB Specialty Items - 2023.01.30.xlsx - Jun 21 2023 1:45PM
5. (Question answer) - QUALIFICATIONS.pdf - Jun 21 2023 4:25PM
6. HWCSCHPKR - HAZMAT -ATTACHMENT 1 - PHASE 1 , PART 1 - Jun 21 2023 1:45PM
7. HWCSCHPKR - DRAWINGS PART 2 - Jun 21 2023 1:45PM
8. HWCSCHPKR - DRAWINGS PART 3 - Jun 21 2023 1:45PM
9. HWCSCHPKR - DRAWINGS PART 4 - Jun 21 2023 1:45PM
10. HWCSCHPKR - DRAWINGS PART1 - Jun 21 2023 1:45PM
11. HWCSCHPKR - HAZMAT - ATTACHMENT 1 -PHASE 1, PART 2 - Jun 21 2023 1:45PM
12. HWCSCHPKR Addendum 1 - Jun 21 2023 1:45PM
13. HWCSCHPKR Addendum 2 - Jun 21 2023 1:45PM
14. HWCSCHPKR VOLUME 2 - Jun 21 2023 1:45PM
15. HWCSCHPKR Bidder #1\_J. Pizzirusso Landscaping Corp. Bid Schedule from PASSPort - Jun 21 2023 4:26PM
16. HWCSCHPR - HAZMAT - ATTACHMENT 1, PHASE 1, PART 3 - Jun 21 2023 1:45PM
17. J. Pizzirusso Landscaping Corp. - HWCSCHPKR Broker's Certificate - Jun 29 2023 12:14PM
18. J. Pizzirusso Landscaping Corp. - HWCSCHPKR Disability Insurance - Jun 29 2023 12:15PM
19. J. Pizzirusso Landscaping Corp. - HWCSCHPKR Insurance Certificate - Jun 29 2023 12:17PM
20. J. Pizzirusso Landscaping Corp. - HWCSCHPKR Performance and Payment Bonds - Jun 29 2023 12:14PM
21. J. Pizzirusso Landscaping Corp. - HWCSCHPKR Worker's Compensation - Jun 29 2023 12:16PM
22. J. Pizzirusso Landscaping Corp. - Revised HWCSCHPKR DBE Schedule of Utilization in P - Jun 21 2023 2:04PM
23. Planholder's List (Addendum 1) - Jun 21 2023 1:45PM
24. Proposal/Bid - Jun 21 2023 1:45PM
25. V1 Infra Bid Booklet PASSPort (FTA) [2022-01-07] - Jun 21 2023 1:45PM
26. Volume 3 (Addendum 1) - Jun 21 2023 1:45PM

The above order does not represent an order of precedence. The Contract shall be governed by the order of precedence, if any, in the Contract Documents or by ordinary contract principles if no such order of precedence exists.

Each party is signing this Contract electronically on the date stated in that party's electronic signature.

The City of New York

By: **DEPARTMENT OF DESIGN AND CONSTRUCTION**

*ERIC MACFARLANE*

1A87ABA0188B4TC...



*(Signature)*

Name: ERIC MACFARLANE

Title: First Deputy Commissioner

Date: 6/30/2023 | 06:43:40 PDT

Contractor

By: **J PIZZIRUSSO LANDSCAPING CORP**

DocuSigned by:

John Pizzirusso

05391FF7135441C...

*(Signature)*

Name: John Pizzirusso

Title: President

Date: 6/30/2023 | 06:39:43 PDT

**PERFORMANCE BOND #1**

Performance Bond #1 (4 Pages): Use if the total contract price is \$5 Million Or Less. Performance Bond #1 has been approved by the U.S. Small Business Administration (“SBA”)for participation in its Bond Guarantee Program.

PERFORMANCE BOND #1 (Page 1)

**KNOW ALL PERSONS BY THESE PRESENTS;**

That we, \_\_\_\_\_

\_\_\_\_\_

hereinafter referred to as the “Principal,”

and, \_\_\_\_\_

\_\_\_\_\_

hereinafter referred to as the “Surety” (“Sureties”) are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the “City” or to its successors and assigns in the penal sum of \_\_\_\_\_

\_\_\_\_\_

(\$ \_\_\_\_\_) Dollars, lawful money of the United States for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

**WHEREAS**, the Principal is about to enter, or has entered, into a Contract in writing with the City for

\_\_\_\_\_

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full; **NOW, THEREFORE**, the conditions of this obligation are such that if the Principal, his or its representatives or assigns, shall well and faithfully perform the said Contract and all modifications, amendments, additions and alterations thereto that may hereafter be made, according to its terms and its true intent and meaning, including repair and or replacement of defective work and guarantees of maintenance for the periods stated in the Contract, and shall fully indemnify and save harmless the City from all cost and damage which it may suffer by reason of the Principal’s default of the Contract, and shall fully reimburse and repay the City for all outlay and expense which the City may incur in making good any such default and shall protect the said City of New York against, and pay any and all amounts, damages, cost and judgments which may or shall be recovered against said City or its officers or agents or which the said City of New York may be called upon to pay any person or corporation by reason of any damages arising or growing out of the Principal’s default of the Contract, then this obligation shall be null and void, otherwise to remain in full force and effect.

PERFORMANCE BOND #1 (Page 2)

The Surety (Sureties), for value received, hereby stipulates and agrees, upon written notice from the City that the City has determined that the Principal is in default of the Contract, to (1) pay the City the cost to complete the contract as determined by the City in excess of the balance of the Contract held by the City, plus any damages or costs to which the City is entitled, up to the full amount of the above penal sum, (2) fully perform and complete the Work to be performed under the Contract, pursuant to the terms, conditions, and covenants thereof, or (3) tender a completion Contractor that is acceptable to the City. The Surety (Sureties) further agrees, at its option, either to notify the City that it elects to pay the City the cost of completion plus any applicable damages and costs under option (1) above, or to commence and diligently perform the Work specified in the Contract, including physical site work, within twenty-five (25) business days after written notice thereof from the City and, if the Surety elects to fully perform and complete the Work, then to complete all Work within the time set forth in the Contract or such other time as agreed to between the City and Surety in accordance with the Contract. If the Surety elects to tender payment pursuant to (1) above, then the Surety shall tender such amount within fifteen (15) business days notification from the City of the cost of completion. The Surety and the City reserve all rights and defenses each may have against the other; provided, however, that the Surety expressly agrees that its reservation of rights shall not provide a basis for non-performance of its obligation to pay the City the cost of completion, to commence and complete all Work as provided herein, or to tender a completion contractor.

The Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties) and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or to the said Contract or the Work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or any moneys due or to become due thereunder; and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, and waivers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to subcontractors shall have the same effect as to said Surety (Sureties) as though done or omitted to be done by or in relation to said Principal. Notwithstanding the above, if the City makes payments to the Principal before the time required by the contract that in the aggregate exceed \$100,000 or 10% of the Contract price, whichever is less, and that have not become earned prior to the Principal being found to be in default, then all payments made to the Principal before the time required by the Contract shall be added to the remaining contract value available to be paid for the completion of the Contract as if such sums had not been paid to the Principal, but shall not provide a basis for non-performance of its obligation to pay the City the cost of completion, to commence and to complete all Work as provided herein, or to tender a completion contractor.

PERFORMANCE BOND #1 (Page 3)

**IN WITNESS WHEREOF**, The Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_(Seal)

\_\_\_\_\_(L.S.)  
Principal

(Seal)

By: \_\_\_\_\_  
Surety

By: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Surety

By: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Surety

By: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Surety

By: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Surety

By: \_\_\_\_\_

Bond Premium Rate \_\_\_\_\_

Bond Premium Cost \_\_\_\_\_

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

**ACKNOWLEDGMENT OF PRINCIPAL IF A CORPORATION**

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_ before me personally came \_\_\_\_\_, to me known, who, being by me duly sworn did depose and say that he/she resides at \_\_\_\_\_; that he/she is the \_\_\_\_\_ of the corporation described in and which executed the foregoing instrument; and that he/she signed his/her name to the foregoing instrument by order of the directors of said corporation as the duly authorized and binding act thereof.

\_\_\_\_\_  
Notary Public or Commissioner of Deeds.

**ACKNOWLEDGMENT OF PRINCIPAL IF A PARTNERSHIP**

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_ before me personally came \_\_\_\_\_, to me known, who, being by me duly sworn did depose and say that he/she resides at \_\_\_\_\_ partner of \_\_\_\_\_, a limited/general partnership existing under the laws of the State of \_\_\_\_\_, the partnership described in and which executed the foregoing instrument; and that he/she signed his/her name to the foregoing instrument as the duly authorized and binding act of said partnership.

\_\_\_\_\_  
Notary Public or Commissioner of Deeds.

**ACKNOWLEDGMENT OF PRINCIPAL IF AN INDIVIDUAL**

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_ before me personally came \_\_\_\_\_, to me known, who, being by me duly sworn did depose and say that he/she resides at \_\_\_\_\_, and that he/she is the individual whose name is subscribed to the within instrument and acknowledged to me that by his/her signature on the instrument, said individual executed the instrument.

\_\_\_\_\_  
Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

\*\*\*\*\*

Affix Acknowledgments and Justification of Sureties.

**Performance Bond #2 (Pages 104 to 107): Use if the total contract price is more than \$5 Million.**

PERFORMANCE BOND #2 (Page 1)

**PERFORMANCE BOND #2**

**KNOW ALL PERSONS BY THESE PRESENTS,;**

That we, J. Pizzirusso Landscaping Corp.

2400 East 69th Street

Brooklyn, NY 11234

hereinafter referred to as the "Principal,"  
and, Liberty Mutual Insurance Company

175 Berkeley Street

Boston, MA 02116

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns in the penal sum of Sixteen Million Nine Hundred Forty-six Thousand And No/100

( \$16,946,000.00 ) Dollars, lawful money of the United States for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

**WHEREAS**, the Principal is about to enter, or has entered, into a Contract in writing with the City for Safe Routes to Schools Brooklyn and Staten Island - HWCSCHPKR

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;

**NOW, THEREFORE**, the conditions of this obligation are such that if the Principal, his or its representatives or assigns, shall well and faithfully perform the said Contract and all modifications, amendments, additions and alterations thereto that may hereafter be made, according to its terms and its true intent and meaning, including repair and or replacement of defective work and guarantees of maintenance for the periods stated in the Contract, and shall fully indemnify and save harmless the City from all cost and damage which it may suffer by reason of the Principal's default of the Contract, and shall fully reimburse and repay the City for all outlay and expense which the City may incur in making

**Performance Bond #2 (Pages 104 to 107): Use if the total contract price is more than \$5 Million.**

PERFORMANCE BOND #2 (Page 2)

good any such default and shall protect the said City of New York against, and pay any and all amounts, damages, cost and judgments which may or shall be recovered against said City or its officers or agents or which the said City of New York may be called upon to pay any person or corporation by reason of any damages arising or growing out of the Principal's default of the Contract, then this obligation shall be null and void, otherwise to remain in full force and effect.

The Surety (Sureties), for value received, hereby stipulates and agrees, upon written notice from the City that the City has determined that the Principal is in default of the Contract, to either (1) pay the full amount of the above penal sum in complete discharge and exoneration of this bond and of all the liabilities of the Surety relating to this bond, or (2) fully perform and complete the Work to be performed under the Contract, pursuant to the terms, conditions, and covenants thereof. The Surety (Sureties) further agrees, at its option, either to tender the penal sum or to commence and diligently perform the Work specified in the Contract, including physical site work, within twenty-five (25) business days after written notice thereof from the City and to complete all Work within the time set forth in the Contract or such other time as agreed to between the City and Surety in accordance with the Contract. The Surety and the City reserve all rights and defenses each may have against the other; provided, however, that the Surety expressly agrees that its reservation of rights shall not provide a basis for non-performance of its obligation to commence and to complete all Work as provided herein.

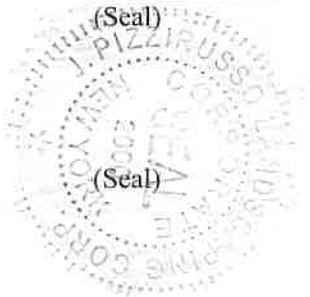
The Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties) and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or to the said Contract or the Work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any Work to be performed or any moneys due or to become due thereunder; and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done by or in relation to said Principal.

**Performance Bond #2 (Pages 104 to 107): Use if the total contract price is more than \$5 Million.**

PERFORMANCE BOND #2 (Page 3)

IN WITNESS WHEREOF, The Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this

\_\_\_\_\_ 26th \_\_\_\_\_ day of \_\_\_\_\_ June \_\_\_\_\_ 2023 \_\_\_\_\_



(Seal)

\_\_\_\_\_  
J. Pizzirusso Landscaping Corp. (L.S.)  
Principal

By: \_\_\_\_\_

\_\_\_\_\_  
Surety  
Liberty Mutual Insurance Company

By: \_\_\_\_\_  
Loriann P. Fay, Attorney-in-Fact

Surety

By: \_\_\_\_\_

Surety

By: \_\_\_\_\_

Surety

By: \_\_\_\_\_

Surety

By: \_\_\_\_\_

Bond Premium Rate \_\_\_\_\_

Bond Premium Cost \$118,760.00 \_\_\_\_\_

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.



**Performance Bond #2 (Pages 104 to 107): Use if the total contract price is more than \$5 Million.**

PERFORMANCE BOND #2 (Page 4)

**ACKNOWLEDGMENT OF PRINCIPAL IF A CORPORATION**

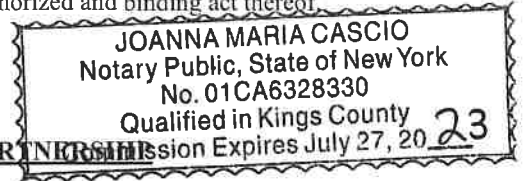
State of New York County of Kings ss:

On this 28th day of June, 20 23 before me personally came John Pizzinusso

to me known, who, being by me duly sworn did depose and say that he resides at 2848 Lindenmere Dr, Merrick NY 11566; that he/she is the President

of the corporation described in and which executed the foregoing instrument; that he/she signed his/her name to the foregoing instrument by order of the directors of said corporation as the duly authorized and binding act thereof.

Joanna Cascio  
Notary Public or Commissioner of Deeds.



**ACKNOWLEDGMENT OF PRINCIPAL IF A PARTNERSHIP**

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_ before me personally came \_\_\_\_\_

to me known, who, being by me duly sworn did depose and say that he/she resides at \_\_\_\_\_

\_\_\_\_\_ ; that he/she is \_\_\_\_\_ partner of \_\_\_\_\_, a limited/general partnership existing under the laws of the State of \_\_\_\_\_, the partnership described in and which executed the foregoing instrument; and that he/she signed his/her name to the foregoing instrument as the duly authorized and binding act of said partnership.

\_\_\_\_\_  
Notary Public or Commissioner of Deeds

**ACKNOWLEDGMENT OF PRINCIPAL IF AN INDIVIDUAL**

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_ before me personally came \_\_\_\_\_

to me known, who, being by me duly sworn did depose and say that he/she resides at \_\_\_\_\_

\_\_\_\_\_, and that he/she is the individual whose name is subscribed to the within instrument and acknowledged to me that by his/her signature on the instrument, said individual executed the instrument.

\_\_\_\_\_  
Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

\*\*\*\*\*

Affix Acknowledgments and Justification of Sureties.

**SURETY COMPANY'S ACKNOWLEDGMENT**

STATE OF   New York   )

COUNTY OF   Suffolk   ) ss.:

CITY OF   East Northport   )

On this   26th   day of   June   in the year   2023   before me personally came   Loriann P. Fay  , to me known, who, being by me duly sworn, did depose and say that he/she/they reside(s) in   East Northport, NY  , that he/she/they (is) (are) the   Attorney-In-Fact   duly appointed of the   Liberty Mutual Insurance Company   the corporation described in and which executed the above instrument; that he/she/they know(s) the seal of said corporation; that the seal affixed to said instrument is such corporate seal; is such corporate seal; that it was so affixed by authority of the board of directors of said corporation, and that he/she/they signed his/her/their name(s) thereto by like authority.

  
NOTARY PUBLIC

**Geoffrey Lesniak**  
Notary Public, State of New York  
No. 01LE6376846  
Qualified in Erie County  
Commission Expires June 18, 2026



This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Liberty Mutual Insurance Company  
The Ohio Casualty Insurance Company  
West American Insurance Company

Certificate No: 8200197

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the Companies), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Loriann P. Fay

all of the city of Northport state of NY each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 31st day of December, 2018.



Liberty Mutual Insurance Company  
The Ohio Casualty Insurance Company  
West American Insurance Company

By: David M. Carey  
David M. Carey, Assistant Secretary

State of PENNSYLVANIA ss  
County of MONTGOMERY

On this 31st day of December, 2018 before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Teresa Pastella, Notary Public  
Upper Merion Twp., Montgomery County  
My Commission Expires March 28, 2021  
Member, Pennsylvania Association of Notaries

By: Teresa Pastella  
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS: Section 12. Power of Attorney.

Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts: Section 5. Surety Bonds and Undertakings.

Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 26th day of June, 2023



By: Renee C. Llewellyn  
Renee C. Llewellyn, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.



LIBERTY MUTUAL INSURANCE COMPANY  
**Financial Statement – December 31, 2022**

<b>Assets</b>		<b>Liabilities</b>	
Cash and Bank Deposits .....	\$3,908,755,039	Unearned Premiums .....	\$10,133,358,204
*Bonds — U.S Government.....	3,451,999,931	Reserve for Claims and Claims Expense.....	27,953,643,316
*Other Bonds .....	18,862,255,155	Funds Held Under Reinsurance Treaties.....	368,610,620
*Stocks .....	19,372,953,698	Reserve for Dividends to Policyholders .....	1,379,296
Real Estate.....	190,092,373	Additional Statutory Reserve.....	197,278,000
Agents' Balances or Uncollected Premiums.....	7,929,876,358	Reserve for Commissions, Taxes and Other Liabilities.....	9,206,000,954
Accrued Interest and Rents .....	166,740,412	<b>Total.....</b>	<b>\$47,860,270,390</b>
Other Admitted Assets .....	15,968,062,977	Special Surplus Funds .....	\$195,696,103
<b>Total Admitted Assets .....</b>	<b><u>\$69,850,735,943</u></b>	Capital Stock .....	10,000,075
		Paid in Surplus .....	13,324,803,036
		Unassigned Surplus .....	8,459,966,339
		<b>Surplus to Policyholders .....</b>	<b>21,990,465,553</b>
		<b>Total Liabilities and Surplus .....</b>	<b><u>\$69,850,735,943</u></b>



\* Bonds are stated at amortized or investment value; Stocks at Association Market Values.  
 The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2022, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 8th day of March 2023.

*T. Mikolajewski*  
 \_\_\_\_\_  
 Assistant Secretary  
 \_\_\_\_\_

**Payment Bond (Pages 108 to 111): Use for any contract for which a Payment Bond is required.**

PAYMENT BOND (Page 1)

PAYMENT BOND

KNOW ALL PERSONS BY THESE PRESENTS, That we, \_\_\_\_\_

J. Pizzirusso Landscaping Corp.

2400 East 69th Street

Brooklyn, NY 11234

hereinafter referred to as the "Principal", and \_\_\_\_\_

Liberty Mutual Insurance Company

175 Berkeley Street

Boston, MA 02116

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns, in the penal sum of

Sixteen Million Nine Hundred Forty-six Thousand And No/100

(\$16,946,000.00) Dollars, lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for Safe Routes to Schools Brooklyn and Staten Island - HWCSCHPKR

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns and other Subcontractors to whom Work under this Contract is sublet and his or their successors and assigns shall promptly pay or cause to be paid all lawful claims for

(a) Wages and compensation for labor performed and services rendered by all persons engaged in the prosecution of the Work under said Contract, and any amendment or extension thereof or addition thereto, whether such persons be agents servants or employees of the Principal or any such Subcontractor, including all persons so engaged who perform the work of laborers or mechanics at or in the vicinity of the site

**Payment Bond (Pages 108 to 111): Use for any contract for which a Payment Bond is required.**

PAYMENT BOND (Page 2)

of the Project regardless of any contractual relationship between the Principal or such Subcontractors, or his or their successors or assigns, on the one hand and such laborers or mechanics on the other, but not including office employees not regularly stationed at the site of the project; and

(b) Materials and supplies (whether incorporated in the permanent structure or not), as well as teams, fuels, oils, implements or machinery furnished, used or consumed by said Principal or any subcontractor at or in the vicinity of the site of the Project in the prosecution of the Work under said Contract and any amendment or extension thereof or addition thereto; then this obligation shall be void, otherwise to remain in full force and effect.

This bond is subject to the following additional conditions, limitations and agreements:

(a) The Principal and Surety (Sureties) agree that this bond shall be for the benefit of any materialmen or laborer having a just claim, as well as the City itself.

(b) All persons who have performed labor, rendered services or furnished materials and supplies, as aforesaid, shall have a direct right of action against the Principal and his, its or their successors and assigns, and the Surety (Sureties) herein, or against either or both or any of them and their successors and assigns. Such persons may sue in their own name, and may prosecute the suit to judgment and execution without the necessity of joining with any other persons as party plaintiff.

(c) The Principal and Surety (Sureties) agree that neither of them will hold the City liable for any judgment for costs of otherwise, obtained by either or both of them against a laborer or materialman in a suit brought by either a laborer or materialman under this bond for moneys allegedly due for performing work or furnishing material.

(d) The Surety (Sureties) or its successors and assigns shall not be liable for any compensation recoverable by an employee or laborer under the Workmen's Compensation Law.

(e) In no event shall the Surety (Sureties), or its successors or assigns, be liable for a greater sum than the penalty of this bond or be subject to any suit, action or proceeding hereon that is instituted by any person, firm, or corporation hereunder later than two years after the complete performance of said Contract and final settlement thereof.

The Principal, for himself and his successors and assigns, and the Surety (Sureties), for itself and its successors and assigns, do hereby expressly waive any objection that might be interposed as to the right of the City to require a bond containing the foregoing provisions, and they do hereby further expressly waive any defense which they or either of them might interpose to an action brought hereon by any person, firm or corporation, including subcontractors, materialmen and third persons, for work, labor, services, supplies or material performed rendered, or furnished as aforesaid upon the ground that there is no law authorizing the City to require the foregoing provisions to be placed in this bond.

And the Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties), and its bonds shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or of the said Contract or the work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any part thereof, or of any Work to be performed, or any moneys due to become due thereunder and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, Subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done or in relation to said Principal.

**Payment Bond (Pages 108 to 111): Use for any contract for which a Payment Bond is required.**

PAYMENT BOND (Page 3)

IN WITNESS WHEREOF, the Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this 26th day of June, 2023.

(Seal)

J. Pizzirusso Landscaping Corp. (L.S.)  
Principal

By: \_\_\_\_\_

(Seal)

Liberty Mutual Insurance Company  
Surety

By: \_\_\_\_\_

Loriann P. Fay, Attorney-in-Fact

(Seal)

\_\_\_\_\_  
Surety

By: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Surety

By: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Surety

By: \_\_\_\_\_

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

**Payment Bond (Pages 108 to 111): Use for any contract for which a Payment Bond is required.**

PAYMENT BOND (Page 4)

**ACKNOWLEDGMENT OF PRINCIPAL, IF A CORPORATION**

State of New York County of Kings ss:

On this 28<sup>th</sup> day of June 2023, before me personally came John Pizzirusso to me known, who, being by me duly sworn did depose and say that he resides at 2848 Lindenmere Dr, Merrick NY 11566 that he is the President of the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of the directors of said corporation, and that he signed his name thereto by like order.

Joanna Cascio  
Notary Public or Commissioner of Deeds  
JOANNA MARIA CASCIO  
Notary Public, State of New York  
No. 01CA6328330  
Qualified in Kings County  
Commission Expires July 27, 2023

**ACKNOWLEDGMENT OF PRINCIPAL, IF A PARTNERSHIP**

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared \_\_\_\_\_ to me known, and known to me to be one of the members of the firm of \_\_\_\_\_ described in and who executed the foregoing instrument; and he acknowledged to me that he executed the same as and for the act and deed of said firm.

\_\_\_\_\_  
Notary Public or Commissioner of Deeds

**ACKNOWLEDGMENT OF PRINCIPAL, IF AN INDIVIDUAL**

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared \_\_\_\_\_ to me known, and known to me to be the person described in and who executed the foregoing instrument; and acknowledged that he executed the same.

\_\_\_\_\_  
Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

\*\*\*\*\*

Affix Acknowledgments and Justification of Sureties.



**SURETY COMPANY'S ACKNOWLEDGMENT**

STATE OF   New York   )

COUNTY OF   Suffolk   ) ss.:

CITY OF   East Northport   )

On this   26th   day of   June   in the year   2023   before me personally came   Loriann P. Fay  , to me known, who, being by me duly sworn, did depose and say that he/she/they reside(s) in   East Northport, NY  , that he/she/they (is) (are) the   Attorney-In-Fact   duly appointed of the   Liberty Mutual Insurance Company   the corporation described in and which executed the above instrument; that he/she/they know(s) the seal of said corporation; that the seal affixed to said instrument is such corporate seal; is such corporate seal; that it was so affixed by authority of the board of directors of said corporation, and that he/she/they signed his/her/their name(s) thereto by like authority.



NOTARY PUBLIC

**Geoffrey Lesniak**  
Notary Public, State of New York  
No. 01LE6376846  
Qualified in Erie County  
Commission Expires June 18, 2026



This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Liberty Mutual Insurance Company  
The Ohio Casualty Insurance Company  
West American Insurance Company

Certificate No. 8200197

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the Companies), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Loriann P. Fay

all of the city of Northport state of NY each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 31st day of December, 2018.



Liberty Mutual Insurance Company  
The Ohio Casualty Insurance Company  
West American Insurance Company

By: David M. Carey  
David M. Carey, Assistant Secretary

State of PENNSYLVANIA ss  
County of MONTGOMERY

On this 31st day of December, 2018 before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Teresa Pastella, Notary Public  
Upper Merion Twp., Montgomery County  
My Commission Expires March 28, 2021  
Member, Pennsylvania Association of Notaries

By: Teresa Pastella  
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV OFFICERS: Section 12. Power of Attorney.

Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII Execution of Contracts: Section 5. Surety Bonds and Undertakings.

Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 26th day of June, 2023



By: Renee C. Llewellyn  
Renee C. Llewellyn, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.



LIBERTY MUTUAL INSURANCE COMPANY  
**Financial Statement – December 31, 2022**

<b>Assets</b>		<b>Liabilities</b>	
Cash and Bank Deposits .....	\$3,908,755,039	Unearned Premiums .....	\$10,133,358,204
*Bonds — U.S Government.....	3,451,999,931	Reserve for Claims and Claims Expense.....	27,953,643,316
*Other Bonds .....	18,862,255,155	Funds Held Under Reinsurance Treaties.....	368,610,620
*Stocks .....	19,372,953,698	Reserve for Dividends to Policyholders .....	1,379,296
Real Estate.....	190,092,373	Additional Statutory Reserve.....	197,278,000
Agents' Balances or Uncollected Premiums.....	7,929,876,358	Reserve for Commissions, Taxes and Other Liabilities.....	9,206,000,954
Accrued Interest and Rents .....	166,740,412	<b>Total.....</b>	<b>\$47,860,270,390</b>
Other Admitted Assets .....	15,968,062,977	Special Surplus Funds .....	\$195,696,103
<b>Total Admitted Assets .....</b>	<b><u>\$69,850,735,943</u></b>	Capital Stock .....	10,000,075
		Paid in Surplus .....	13,324,803,036
		Unassigned Surplus .....	8,459,966,339
		<b>Surplus to Policyholders .....</b>	<b>21,990,465,553</b>
		<b>Total Liabilities and Surplus .....</b>	<b><u>\$69,850,735,943</u></b>



\* Bonds are stated at amortized or investment value; Stocks at Association Market Values.  
 The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2022, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 8th day of March 2023.

*T. Mikolajewski*  
 \_\_\_\_\_  
 Assistant Secretary  
 \_\_\_\_\_



# CERTIFICATE OF LIABILITY INSURANCE

CR

DATE (MM/DD/YYYY)  
06/28/2023

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	<b>GEORGE R GROSSMANN, LUTCF</b> <b>FARM FAMILY CASUALTY INSURANCE COMPANY</b> <b>3920 VETERANS MEMORIAL HIGHWAY</b> <b>BOHEMIA, NY 11716</b>	CONTACT NAME: <b>GEORGE GROSSMANN</b> PHONE (A/C, No, Ext): <b>631-439-4650</b> FAX (A/C, No): <b>631-439-4651</b> E-MAIL ADDRESS: ADDRESS:
	INSURER(S) AFFORDING COVERAGE NAIC # INSURER A: <b>FARM FAMILY CASUALTY INSURANCE CO.</b> 13803 INSURER B: <b>UNITED FARM FAMILY INSURANCE CO.</b> 29963 INSURER C: INSURER D: INSURER E: INSURER F:	
INSURED	<b>J PIZZIRUSSO LANDSCAPING CORP.</b> <b>2400 E 69TH STREET</b> <b>BROOKLYN, NY 11234</b>	

**COVERAGES** CERTIFICATE NUMBER: 124934 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR VWD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> <b>COMMERCIAL GENERAL LIABILITY</b> <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:	X		3124X0083	03/21/2023	03/21/2024	EACH OCCURRENCE \$ 2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 2,000,000 GENERAL AGGREGATE \$ 4,000,000 PRODUCTS - COMP/OP AGG \$ 4,000,000
B	<b>AUTOMOBILE LIABILITY</b> <input type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY			3101C3685	02/24/2023	02/24/2024	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
A	<input checked="" type="checkbox"/> <b>UMBRELLA LIAB</b> <input checked="" type="checkbox"/> EXCESS LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$10,000			3101E3822	07/27/2022	07/27/2023 07/27/2023 07/27/2024	EACH OCCURRENCE \$ 8,000,000 AGGREGATE \$ 8,000,000
	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input type="checkbox"/> If yes, describe under DESCRIPTION OF OPERATIONS below		N/A				PER STATUTE OTH-ER E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$

**DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)**  
 CITY OF NEW YORK, INCLUDING ITS OFFICIALS AND EMPLOYEES, THE NEW YORK CITY TRANSIT AUTHORITY (NYCTA), MANHATTAN AND BRONX SURFACE TRANSIT OPERATION AUTHORITY (MABSTOA), STATEN ISLAND RAPID TRANSIT OPERATION AUTHORITY (SIRTOA), METROPOLITAN TRANSPORTATION AUTHORITY (MTA), ITS SUBSIDIARIES AND AFFILIATED COMPANIES, NEW YORK STATE, INCLUDING ITS OFFICIALS AND EMPLOYEES, FEDERAL TRANSIT ADMINISTRATION (FTA), INCLUDING ITS OFFICIALS AND EMPLOYEES, CON EDISON, VERIZON, NATIONAL GRID, & ALTICE

#HWCSCHPKR

**CERTIFICATE HOLDER****CANCELLATION**

CITY OF NEW YORK  
 DEPARTMENT OF DESIGN AND CONSTRUCTION  
 30-30 THOMSON AVENUE  
 LONG ISLAND, CITY, NY 11101

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

© 1988-2015 ACORD CORPORATION. All rights reserved.

Re: Contract  
#HWCSCHPKR

**CITY OF NEW YORK**  
**CERTIFICATION BY INSURANCE BROKER OR AGENT**

The undersigned insurance broker or agent represents to the City of New York that the attached Certificate of Insurance is accurate in all material respects.

GEORGE GROSSMANN

[Name of broker or agent (typewritten)]

3920 VETERANS MEMORIAL HIGHWAY  
UNIT 4A, BOHEMIA, NY 11716

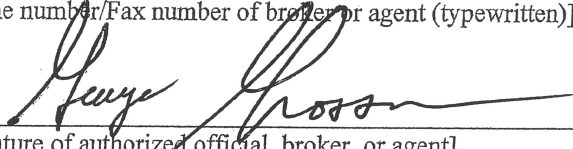
[Address of broker or agent (typewritten)]

GEORGE\_GROSSMANN@AMERICAN-NATIONAL.COM

[Email address of broker or agent (typewritten)]

631-439-4650 / 631-439-4651

[Phone number/Fax number of broker or agent (typewritten)]



[Signature of authorized official, broker, or agent]

GEORGE GROSSMANN, AGENT

[Name and title of authorized official, broker, or agent (typewritten)]

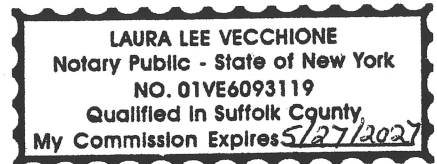
State of New York

County of Suffolk ) ss.:

Sworn to before me this 28<sup>th</sup> day of June 2023

Laura Lee Vecchione

NOTARY PUBLIC FOR THE STATE OF New York



**CERTIFICATE OF WORKERS' COMPENSATION INSURANCE (RENEWED)**

^ ^ ^ ^ ^ ^ ^ ^ 113539578  
J. PIZZIRUSSO LANDSCAPING CORP.  
2400 EAST 69TH STREET  
BROOKLYN NY 11234



SCAN TO VALIDATE  
AND SUBSCRIBE

<b>POLICYHOLDER</b> J. PIZZIRUSSO LANDSCAPING CORP. 2400 EAST 69TH STREET BROOKLYN NY 11234		<b>CERTIFICATE HOLDER</b> NYC DDC 30-30 THOMSON AVE 4 FLOOR LONG ISLAND CITY NY 11101	
<b>POLICY NUMBER</b> K 858 023-5	<b>CERTIFICATE NUMBER</b> 238925	<b>POLICY PERIOD</b> 03/20/2023 TO 03/20/2024	<b>DATE</b> 6/28/2023

THIS IS TO CERTIFY THAT THE POLICYHOLDER NAMED ABOVE IS INSURED WITH THE NEW YORK STATE INSURANCE FUND UNDER POLICY NO. 858 023-5, COVERING THE ENTIRE OBLIGATION OF THIS POLICYHOLDER FOR WORKERS' COMPENSATION UNDER THE NEW YORK WORKERS' COMPENSATION LAW WITH RESPECT TO ALL OPERATIONS IN THE STATE OF NEW YORK, EXCEPT AS INDICATED BELOW, AND, WITH RESPECT TO OPERATIONS OUTSIDE OF NEW YORK, TO THE POLICYHOLDER'S REGULAR NEW YORK STATE EMPLOYEES ONLY.

**IF YOU WISH TO RECEIVE NOTIFICATIONS REGARDING SAID POLICY, INCLUDING ANY NOTIFICATION OF CANCELLATIONS, OR TO VALIDATE THIS CERTIFICATE, VISIT OUR WEBSITE AT [HTTPS://WWW.NYSIF.COM/CERT/CERTVAL.ASP](https://www.nysif.com/cert/certval.asp). THE NEW YORK STATE INSURANCE FUND IS NOT LIABLE IN THE EVENT OF FAILURE TO GIVE SUCH NOTIFICATIONS.**

THIS POLICY DOES NOT COVER CLAIMS OR SUITS THAT ARISE FROM BODILY INJURY SUFFERED BY THE OFFICERS OF THE INSURED CORPORATION.  
 J PIZZIRUSSO LANDSCAPING CORP  
 JOHN PIZZIRUSSO  
 JOSEPH PIZZIRUSSO

THIS CERTIFICATE DOES NOT APPLY TO THOSE JOB SITES WHICH ARE COVERED BY OTHER INSURANCE AND ARE SPECIFICALLY EXCLUDED BY ENDORSEMENT.

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS NOR INSURANCE COVERAGE UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICY.

NEW YORK STATE INSURANCE FUND

DIRECTOR, INSURANCE FUND UNDERWRITING

VALIDATION NUMBER: 1070722939



**CERTIFICATE OF INSURANCE COVERAGE  
NYS DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW**

**PART 1. To be completed by NYS disability and Paid Family Leave benefits carrier or licensed insurance agent of that carrier**

<p>1a. Legal Name &amp; Address of Insured (use street address only)  <b>J.PIZZIRUSSO LANDSCAPING CORP</b>  <b>2400 EAST 69 STREET</b>  <b>BROOKLYN, NY 11234</b></p> <p>Work Location of Insured (Only required if coverage is specifically limited to certain locations in New York State, i.e., Wrap-Up Policy)  <b>Contract #HWCSCHKR</b></p>	<p>1b. Business Telephone Number of Insured  <b>7185316084</b></p> <p>1c. Federal Employer Identification Number of Insured or Social Security Number  <b>11-3539578</b></p>
<p>2. Name and Address of Entity Requesting Proof of Coverage (Entity Being Listed as the Certificate Holder)  <b>NYC Department of Design and Construction</b>  <b>30-30 Thomson Ave</b>  <b>4 floor</b>  <b>Long Island City, NY 11101</b></p>	<p>3a. Name of Insurance Carrier  <b>Standard Security Life Insurance Company of New York</b></p> <p>3b. Policy Number of Entity Listed in Box 1a  <b>D35965-000</b></p> <p>3c. Policy Effective Period  <u>1/1/2001</u> to <u>6/26/2024</u></p>

4. Policy provides the following benefits:

A. Both disability and Paid Family Leave benefits.  
 B. Disability benefits only.  
 C. Paid Family Leave benefits only.


5. Policy covers:

A. All of the employer's employees eligible under the NYS Disability and Paid Family Leave Benefits Law.  
 B. Only the following class or classes of employer's employees:

\_\_\_\_\_

\_\_\_\_\_

Under penalty of perjury, I certify that I am an authorized representative or licensed agent of the insurance carrier referenced above and that the named insured has NYS disability and/or Paid Family Leave benefits insurance coverage as described above.

Date Signed 6/28/2023 By   
(Signature of insurance carrier's authorized representative or NYS licensed insurance agent of that insurance carrier)

Telephone Number (212) 355-4141 Name and Title SUPERVISOR-DBL/POLICY SERVICES

**IMPORTANT:** If Boxes 4A and 5A are checked, and this form is signed by the insurance carrier's authorized representative or NYS Licensed Insurance Agent of that carrier, this certificate is COMPLETE. Mail it directly to the certificate holder.

If Box 4B, 4C or 5B is checked, this certificate is NOT COMPLETE for purposes of Section 220, Subd. 8 of the NYS Disability and Paid Family Leave Benefits Law. It must be emailed to PAU@wcb.ny.gov or it can be mailed for completion to the Workers' Compensation Board, Plans Acceptance Unit, PO Box 5200, Binghamton, NY 13902-5200.

**PART 2. To be completed by the NYS Workers' Compensation Board (Only if Box 4B, 4C or 5B of Part 1 has been checked)**

**State of New York  
Workers' Compensation Board**

According to information maintained by the NYS Workers' Compensation Board, the above-named employer has complied with the NYS Disability and Paid Family Leave Benefits Law (Article 9 of the Workers' Compensation Law) with respect to all of their employees.

Date Signed \_\_\_\_\_ By \_\_\_\_\_  
(Signature of Authorized NYS Workers' Compensation Board Employee)

Telephone Number \_\_\_\_\_ Name and Title \_\_\_\_\_

**Please Note:** Only insurance carriers licensed to write NYS disability and Paid Family Leave benefits insurance policies and NYS licensed insurance agents of those insurance carriers are authorized to issue Form DB-120.1. **Insurance brokers are NOT authorized to issue this form.**



## Additional Instructions for Form DB-120.1

By signing this form, the insurance carrier identified in Box 3 on this form is certifying that it is insuring the business referenced in Box 1a for disability and/or Paid Family Leave benefits under the NYS Disability and Paid Family Leave Benefits Law. The insurance carrier or its licensed agent will send this Certificate of Insurance Coverage (Certificate) to the entity listed as the certificate holder in Box 2.

The insurance carrier must notify the above certificate holder and the Workers' Compensation Board within 10 days IF a policy is cancelled due to nonpayment of premiums or within 30 days IF there are reasons other than nonpayment of premiums that cancel the policy or eliminate the insured from coverage indicated on this Certificate. (These notices may be sent by regular mail.) Otherwise, this Certificate is valid for one year after this form is approved by the insurance carrier or its licensed agent, or until the policy expiration date listed in Box 3c, whichever is earlier.

This certificate is issued as a matter of information only and confers no rights upon the certificate holder. This certificate does not amend, extend or alter the coverage afforded by the policy listed, nor does it confer any rights or responsibilities beyond those contained in the referenced policy.

This Certificate may be used as evidence of a NYS disability and/or Paid Family Leave benefits contract of insurance only while the underlying policy is in effect.

**Please Note: Upon the cancellation of the disability and/or Paid Family Leave benefits policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificate holder, the business must provide that certificate holder with a new Certificate of Insurance Coverage for NYS disability and/or Paid Family Leave Benefits or other authorized proof that the business is complying with the mandatory coverage requirements of the NYS Disability and Paid Family Leave Benefits Law.**

### NYS DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

#### §220. Subd. 8

(a) The head of a state or municipal department, board, commission or office authorized or required by law to issue any permit for or in connection with any work involving the employment of employees in employment as defined in this article, and notwithstanding any general or special statute requiring or authorizing the issue of such permits, shall not issue such permit unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits and after January first, two thousand and twenty-one, the payment of family leave benefits for all employees has been secured as provided by this article. Nothing herein, however, shall be construed as creating any liability on the part of such state or municipal department, board, commission or office to pay any disability benefits to any such employee if so employed.

(b) The head of a state or municipal department, board, commission or office authorized or required by law to enter into any contract for or in connection with any work involving the employment of employees in employment as defined in this article and notwithstanding any general or special statute requiring or authorizing any such contract, shall not enter into any such contract unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits and after January first, two thousand eighteen, the payment of family leave benefits for all employees has been secured as provided by this article.



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

**LABOR LAW ARTICLE 8 - NYC PUBLIC WORKS**

Workers, Laborers and Mechanics employed on a public work project must receive not less than the prevailing rate of wage and benefits for the classification of work performed by each upon such public work. Pursuant to New York Labor Law Article 8 the Comptroller of the City of New York has promulgated this schedule solely for Workers, Laborers and Mechanics engaged by private contractors on New York City public work projects. Prevailing rates are required to be annexed to and form part of the public work contract pursuant to Labor Law section 220 (3).

This schedule is a compilation of separate determinations of the prevailing rate of wage and supplements made by the Comptroller for each trade classification listed herein pursuant to Labor Law section 220 (5). The source of the wage and supplement rates, whether a collective bargaining agreement, survey data or other, is listed at the end of each classification.

Agency Chief Contracting Officers should contact the Bureau of Labor Law's Classification Unit with any questions concerning trade classifications, prevailing rates or prevailing practices with respect to procurement on New York City public work contracts. Contractors are advised to review the Comptroller's Prevailing Wage Schedule before bidding on public work contracts. Contractors with questions concerning trade classifications, prevailing rates or prevailing practices with respect to public work contracts in the procurement stage must contact the contracting agency responsible for the procurement.

Any error as to compensation under the prevailing wage law or other information as to trade classification, made by the contracting agency in the contract documents or in any other communication, will not preclude a finding against the contractor of prevailing wage violation.

Any questions concerning trade classifications, prevailing rates or prevailing practices on New York City public work contracts that have already been awarded may be directed to the Bureau of Labor Law's Classification Unit by calling (212) 669-4443. All callers must have the agency name and contract registration number available when calling with questions on public work contracts. Please direct all other compliance issues to: [laborlaw@comptroller.nyc.gov](mailto:laborlaw@comptroller.nyc.gov) or Bureau of Labor Law, Attn: Paul Brumlik, Office of the Comptroller, 1 Centre Street, Room 651, New York, N.Y. 10007.

Pursuant to Labor Law § 220 (3-a) (a), the appropriate schedule of prevailing wages and benefits must be posted in a prominent and accessible place at all public work sites along with the Construction Poster provided on our web site at [comptroller.nyc.gov/wages](http://comptroller.nyc.gov/wages). In addition, covered employees must be given the appropriate schedule of prevailing wages and benefits along with the Worker Notice provided on our web site at the time the public work project begins, and with the first paycheck to each such employee after July first of each year.

This schedule is applicable to work performed during the effective period, unless otherwise noted. Changes to this schedule are published on our web site [comptroller.nyc.gov/wages](http://comptroller.nyc.gov/wages). Contractors must pay the wages and supplements in effect when the worker, laborer, mechanic performs the work. Preliminary schedules for future one-year periods appear in the City Record on or about June 1 each succeeding year. Final schedules appear on or about July 1 in the City Record and on our web site [comptroller.nyc.gov/wages](http://comptroller.nyc.gov/wages).

Prevailing rates and ratios for apprentices are published in the Construction Apprentice Prevailing Wage Schedule. Pursuant to Labor Law § 220 (3-e), only apprentices who are individually registered in a bona fide program to which the employer contractor is a participant, registered with the

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

New York State Department of Labor, may be paid at the apprentice rates. Apprentices who are not so registered must be paid as journey persons.

New York City public work projects awarded pursuant to a Project Labor Agreement (“PLA”) in accordance with Labor Law section 222 may have different labor standards for shift, premium and overtime work. Please refer to the PLA’s pre-negotiated labor agreements for wage and benefit rates applicable to work performed outside of the regular workday. More information is available at the Mayor’s Office of Contract Services (MOCS) web page at:

<https://www1.nyc.gov/site/mocs/legal-forms/project-labor-agreements.page>

All the provisions of Labor Law Article 8 remain applicable to PLA work including, but not limited to, the enforcement of prevailing wage requirements by the Comptroller in accordance with the trade classifications in this schedule; however, we will enforce shift, premium, overtime and other non-standard rates as they appear in a project’s pre-negotiated labor agreement.

In order to meet their obligation to provide prevailing supplemental benefits to each covered employee, employers must either:

- 1) Provide bona fide fringe benefits which cost the employer no less than the prevailing supplemental benefits rate; or
- 2) Supplement the employee’s hourly wage by an amount no less than the prevailing supplemental benefits rate; or
- 3) Provide a combination of bona fide fringe benefits and wage supplements which cost the employer no less than the prevailing supplemental benefits rate in total.

Although prevailing wage laws do not require employers to provide bona fide fringe benefits (as opposed to wage supplements) to their employees, other laws may. For example, the Employee Retirement Income Security Act, 29 U.S.C. § 1001 et seq., the Patient Protection and Affordable Care Act, 42 U.S.C. § 18001 et seq., and the New York City Paid Sick Leave Law, N.Y.C. Admin. Code § 20-911 et seq., require certain employers to provide certain benefits to their employees. Labor agreements to which employers are a party may also require certain benefits. The Comptroller’s Office does not enforce these laws or agreements.

**Employers must provide prevailing supplemental benefits at the straight time rate for each hour worked unless otherwise noted in the classification.**

**Paid Holidays, Vacation and Sick Leave when listed must be paid or provided in addition to the prevailing hourly supplemental benefit rate.**

For more information, please refer to the Comptroller’s Prevailing Wage Law Regulations in Title 44 of the Rules of the City of New York, Chapter 2, available at [comptroller.nyc.gov/wages](http://comptroller.nyc.gov/wages).

**Paul Brumlik**  
Director of Classifications  
Bureau of Labor Law

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

**TABLE OF CONTENTS**

<b><u>CLASSIFICATION</u></b>	<b><u>PAGE</u></b>
ASBESTOS HANDLER .....	5
BLASTER .....	5
BOILERMAKER.....	6
BRICKLAYER.....	7
CARPENTER - BUILDING COMMERCIAL .....	8
CARPENTER - HEAVY CONSTRUCTION WORK .....	9
CARPENTER - HIGH RISE CONCRETE FORMS .....	10
CARPENTER - SIDEWALK SHED, SCAFFOLD AND HOIST.....	11
CARPENTER - WOOD WATER STORAGE TANK .....	12
CEMENT & CONCRETE WORKER.....	13
CEMENT MASON .....	14
CORE DRILLER .....	14
DERRICKPERSON AND RIGGER .....	16
DIVER .....	17
DOCKBUILDER - PILE DRIVER.....	18
DRIVER: TRUCK (TEAMSTER) .....	19
ELECTRICIAN .....	21
ELECTRICIAN - ALARM TECHNICIAN.....	25
ELECTRICIAN-STREET LIGHTING WORKER .....	26
ELEVATOR CONSTRUCTOR .....	27
ELEVATOR REPAIR & MAINTENANCE.....	28
ENGINEER .....	29
ENGINEER - CITY SURVEYOR AND CONSULTANT.....	34
ENGINEER - FIELD (BUILDING CONSTRUCTION) .....	35
ENGINEER - FIELD (HEAVY CONSTRUCTION) .....	36
ENGINEER - FIELD (STEEL ERECTION) .....	37
ENGINEER - OPERATING .....	38
FLOOR COVERER .....	46
GLAZIER .....	47
GLAZIER - REPAIR & MAINTENANCE .....	48
HAZARDOUS MATERIAL HANDLER.....	48
HEAT AND FROST INSULATOR .....	49
HOUSE WRECKER .....	50
IRON WORKER - ORNAMENTAL.....	51
IRON WORKER - STRUCTURAL.....	52
LABORER .....	53
LANDSCAPING .....	54

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

MARBLE MECHANIC.....	55
MASON TENDER .....	57
MASON TENDER (INTERIOR DEMOLITION WORKER) .....	58
METALLIC LATHER.....	59
MILLWRIGHT .....	59
MOSAIC MECHANIC.....	60
PAINTER .....	61
PAINTER - LINE STRIPING (ROADWAY).....	62
PAINTER - METAL POLISHER .....	63
PAINTER - SIGN.....	65
PAINTER - STRUCTURAL STEEL.....	65
PAPERHANGER .....	66
PAVER AND ROADBUILDER .....	67
PLASTERER .....	69
PLASTERER - TENDER.....	70
PLUMBER .....	71
PLUMBER (MECHNICAL EQUIPMENT AND SERVICE).....	72
PLUMBER (RESIDENTIAL RATES FOR 1, 2 AND 3 FAMILY HOME CONSTRUCTION).....	72
PLUMBER: PUMP & TANK.....	73
POINTER, WATERPROOFER, CAULKER, SANDBLASTER, STEAMBLASTER .....	74
ROOFER.....	75
SHEET METAL WORKER.....	75
SHEET METAL WORKER - SPECIALTY .....	77
SHIPYARD WORKER.....	78
SIGN ERECTOR.....	79
STEAMFITTER .....	80
STEAMFITTER - REFRIGERATION AND AIR CONDITIONER .....	81
STONE MASON - SETTER.....	82
TAPER.....	82
TELECOMMUNICATION WORKER .....	83
TILE FINISHER.....	84
TILE LAYER - SETTER .....	85
TIMBERPERSON .....	86
TUNNEL WORKER .....	87
UTILITY LOCATOR .....	89
WELDER.....	90

## **ASBESTOS HANDLER SEE HAZARDOUS MATERIAL HANDLER**

---

---

### **BLASTER**

#### **Blaster**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$57.21**

Supplemental Benefit Rate per Hour: **\$50.43**

#### **Blaster - Hydraulic Trac Drill**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$51.35**

Supplemental Benefit Rate per Hour: **\$50.43**

#### **Blaster - Wagon: Air Trac: Quarry Bar: Drillrunners**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$50.02**

Supplemental Benefit Rate per Hour: **\$50.43**

#### **Blaster - Journeyperson**

(Laborer, Chipper/Jackhammer including Walk Behind Self Propelled Hydraulic Asphalt and Concrete Breakers and Hydro (Water) Demolition, Powder Carrier, Hydraulic Chuck Tender, Chuck Tender and Nipper)

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$44.00**

Supplemental Benefit Rate per Hour: **\$50.43**

#### **Blaster - Magazine Keepers: (Watch Person)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$22.00**

Supplemental Benefit Rate per Hour: **\$50.43**

#### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

## Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Thanksgiving Day  
Christmas Day

## Paid Holidays

Labor Day  
Thanksgiving Day

## Shift Rates

When two shifts are employed, single time rate shall be paid for each shift. When three shifts are found necessary, each shift shall work seven and one half hours (7 ½), but shall be paid for eight (8) hours of labor, and be permitted one half hour for lunch.

(Local #731)

---

---

# BOILERMAKER

## Boilermaker

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$64.38**

Supplemental Benefit Rate per Hour: **\$47.35**

Supplemental Note: For time and one half overtime - \$70.58 For double overtime - \$93.80

## Overtime Description

For Repair and Maintenance work:

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

For New Construction work:

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

## Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Memorial Day  
Independence Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Columbus Day  
Election Day  
Veteran's Day  
Thanksgiving Day  
Christmas Day

Quadruple time the regular rate for work on the following holiday(s).  
Labor Day

## **Paid Holidays**

Good Friday  
Day after Thanksgiving  
Day before Christmas  
Day before New Year's Day

## **Shift Rates**

On jobs requiring two (2) or three (3) shifts, the first shift shall work eight (8) hours at the regular straight-time hourly rate. The second shift shall work eight (8) hours and receive eight hours at the regular straight time hourly rate plus two dollars (\$2.00) per hour. The third shift shall work eight (8) hours and receive eight hours at the regular straight time hourly rate plus two dollars and twenty-five cents (\$2.25) per hour.

(Local #5)

---

---

# **BRICKLAYER**

## **Bricklayer**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$58.23**

Supplemental Benefit Rate per Hour: **\$37.75**

## **Overtime Description**

Time and one half the regular rate after a 7 hour day. If working on a job that is predominately Pointer, Cleaner, Caulker work, then Time and one half the regular rate after an 8 hour day.

## **Overtime**

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Memorial Day  
Independence Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Labor Day  
Thanksgiving Day  
Christmas Day

**Paid Holidays**  
None

**Shift Rates**

The second shift wage rate shall be a 15% wage premium with no premium for supplemental benefits. There must be a first shift in order to work a second shift. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, eight hours will be paid at straight time rate for seven hours of work.

(Bricklayer District Council)

---

---

**CARPENTER - BUILDING COMMERCIAL**

**Building Commercial**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$55.05**

Supplemental Benefit Rate per Hour: **\$47.83**

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

**Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

**Paid Holidays**

None



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

## Shift Rates

The second shift will receive one hour at the double time rate of pay for the last hour of the shift; eight hours pay for seven hours of work, nine hours pay for eight hours of work. There must be a first shift in order to work a second shift. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, the rule for the second shift will apply.

(Carpenters District Council)

---

---

## CARPENTER - HEAVY CONSTRUCTION WORK

(Construction of Engineered Structures and Building Foundations including all form work)

### Heavy Construction Work

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$58.16**

Supplemental Benefit Rate per Hour: **\$54.26**

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

### Paid Holidays

None

### Shift Rates

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate and the supplemental benefits shall be paid at the straight time rate. When two (2) or more shifts of Carpenters are employed, single time will be paid for each shift.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

(Carpenters District Council)

---

---

**CARPENTER - HIGH RISE CONCRETE FORMS**  
(Excludes Engineered Structures and Building Foundations)

**Carpenter High Rise A**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$50.78**

Supplemental Benefit Rate per Hour: **\$44.44**

**Carpenter High Rise B**

Carpenter High Rise B worker is excluded from high risk operations such as erection decking, perimeter debris netting, leading edge work, self-climbing form systems, and the installation of cocoon systems unless directly supervised by a Carpenter High Rise A worker.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$40.19**

Supplemental Benefit Rate per Hour: **\$17.75**

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

**Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

**Paid Holidays**

None

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

## Shift Rates

The second shift wage rate shall be 113% of the straight time hourly wage rate. However, any shift beginning after 5:00 P.M. shall be paid at time and one half the regular hourly rate. There must be a first shift in order to work a second shift. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, the rule for the second shift will apply.

(Carpenters District Council)

---

---

## CARPENTER - SIDEWALK SHED, SCAFFOLD AND HOIST

### Carpenter - Hod Hoist

(Assisted by Mason Tender)

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$53.00**

Supplemental Benefit Rate per Hour: **\$47.65**

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

### Paid Holidays

None

### Shift Rates

The second shift will receive 112% of the straight time hourly rate. Benefit fund contributions shall be paid at the straight time rate. There must be a first shift in order to work a second shift. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, the rule for the second shift will apply.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

(Carpenters District Council)

---

---

## CARPENTER - WOOD WATER STORAGE TANK

### Tank Mechanic

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$36.42**

Supplemental Benefit Rate per Hour: **\$23.10**

### Tank Helper

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$28.76**

Supplemental Benefit Rate per Hour: **\$23.10**

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular rate for work on a holiday plus the day's pay.

### **Paid Holidays**

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Thanksgiving Day

Day after Thanksgiving

1/2 day on Christmas Eve if work is performed in the A.M.

Christmas Day

1/2 day on New Year's Eve if work is performed in the A.M.

### **Vacation**

Employed for one (1) year.....one (1) week vacation (40 hours)

Employed for three (3) years.....two (2) weeks vacation (80 hours)

Employed for more than twenty (20) years.....three (3) weeks vacation (120 hours)

### **SICK LEAVE:**

Two (2) sick days after being employed for twenty (20) years.

(Carpenters District Council)

---

## **CEMENT & CONCRETE WORKER**

### **Cement & Concrete Worker**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$46.28**

Supplemental Benefit Rate per Hour: **\$30.20**

Supplemental Note: \$34.20 on Saturdays; \$38.20 on Sundays & Holidays

### **Cement & Concrete Worker - (Hired after 2/6/2016)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$35.80**

Supplemental Benefit Rate per Hour: **\$22.20**

Supplemental Note: \$24.20 on Saturdays; \$26.20 on Sundays & Holidays

### **Overtime Description**

Time and one half the regular rate after 7 hour day (time and one half the regular rate after an 8 hour day when working with Dockbuilders on pile cap forms and for work below street level to the top of the foundation wall, not to exceed 2 feet or 3 feet above the sidewalk-brick shelf, when working on the foundation and structure.)

### **Overtime**

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

### **Paid Holidays**

1/2 day before Christmas Day

1/2 day before New Year's Day

### **Shift Rates**

On shift work extending over a twenty-four hour period, all shifts are paid at straight time.

(Cement & Concrete Workers District Council 16)

## **CEMENT MASON**

### **Cement Mason**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$46.77**

Supplemental Benefit Rate per Hour: **\$41.01**

Supplemental Note: Supplemental benefit time and one half rate: \$71.97; Double time rate: double the base supplemental benefit rate.

### **Overtime Description**

Time and one-half the regular rate after an 8 hour day, double time the regular rate after 10 hours. Time and one-half the regular rate on Saturday, double time the regular rate after 10 hours. Double time the regular rate on Sunday. Four Days a week at Ten (10) hours straight time is allowed.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

### **Paid Holidays**

Any worker who reports to work on Christmas Eve or New Year's Eve pursuant to his employer's instruction shall be entitled to three (3) hours afternoon pay without working.

### **Shift Rates**

For off shift work, (at times other than the regular 7:00 A.M. to 3:30 P.M. work day) a cement mason shall be paid at the regular hourly rate plus a 25% per hour differential.

(Local #780) (BCA)

---

---

## **CORE DRILLER**

### **Core Driller**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2022 - 10/17/2022

Wage Rate per Hour: **\$42.54**

Supplemental Benefit Rate per Hour: **\$30.60**

Effective Period: 10/18/2022 - 6/30/2023

Wage Rate per Hour: **\$43.88**

Supplemental Benefit Rate per Hour: **\$31.35**

**Core Driller Helper**

Effective Period: 7/1/2022 - 10/17/2022

Wage Rate per Hour: **\$33.47**

Supplemental Benefit Rate per Hour: **\$30.60**

Effective Period: 10/18/2022 - 6/30/2023

Wage Rate per Hour: **\$34.47**

Supplemental Benefit Rate per Hour: **\$31.35**

**Core Driller Helper(Third year in the industry)**

Effective Period: 7/1/2022 - 10/17/2022

Wage Rate per Hour: **\$30.12**

Supplemental Benefit Rate per Hour: **\$30.60**

Effective Period: 10/18/2022 - 6/30/2023

Wage Rate per Hour: **\$31.02**

Supplemental Benefit Rate per Hour: **\$31.35**

**Core Driller Helper (Second year in the industry)**

Effective Period: 7/1/2022 - 10/17/2022

Wage Rate per Hour: **\$26.78**

Supplemental Benefit Rate per Hour: **\$30.60**

Effective Period: 10/18/2022 - 6/30/2023

Wage Rate per Hour: **\$27.58**

Supplemental Benefit Rate per Hour: **\$31.35**

**Core Driller Helper (First year in the industry)**

Effective Period: 7/1/2022 - 10/17/2022

Wage Rate per Hour: **\$23.43**

Supplemental Benefit Rate per Hour: **\$30.60**

Effective Period: 10/18/2022 - 6/30/2023

Wage Rate per Hour: **\$24.13**

Supplemental Benefit Rate per Hour: **\$31.35**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

## Overtime Description

Time and one half the regular rate for work on a holiday plus Holiday pay when worked.

## Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular rate for work on the following holiday(s).

## Paid Holidays

New Year's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

## Shift Rates

When two (2) or more shifts are employed, single time shall be paid for each shift, but those employees employed on a shift other than from 8:00 A.M. to 5:00 P.M. shall, in addition, receive two dollars (\$2.00) per hour differential for each hour worked. When three (3) shifts are needed, each shift shall work seven and one-half (7 ½) hours paid for eight (8) hours of labor and be permitted one-half (½) hour for mealtime.

(Carpenters District Council)

---

---

## DERRICKPERSON AND RIGGER

### Derrick Person & Rigger

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$57.76**

Supplemental Benefit Rate per Hour: **\$56.24**

### Derrick Person & Rigger - Site Work

Assists the Stone Mason-Setter in the setting of stone and paving stone.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$46.20**

Supplemental Benefit Rate per Hour: **\$44.97**

## Overtime Description

The first two hours of overtime on weekdays and the first seven hours of work on Saturdays are paid at time and one half for wages and supplemental benefits. All additional overtimes is paid at double time for wages and supplemental benefits.



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

## Overtime

Double time the regular rate for Sunday.

## Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day  
Washington's Birthday  
Good Friday  
Memorial Day  
Independence Day  
Labor Day  
Thanksgiving Day  
Christmas Day

## Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

(Local #197)

---

---

## DIVER

### Diver (Marine)

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$73.03**

Supplemental Benefit Rate per Hour: **\$54.26**

### Diver Tender (Marine)

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$52.57**

Supplemental Benefit Rate per Hour: **\$54.26**

## Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

## Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Memorial Day  
Independence Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Labor Day  
Columbus Day  
Presidential Election Day  
Thanksgiving Day  
Christmas Day

**Paid Holidays**  
None

**Shift Rates**

When three shifts are utilized each shift shall work seven and one half-hours (7 1/2 hours) and paid for 8 hours, allowing for one half hour for lunch.

(Carpenters District Council)

---

---

**DOCKBUILDER - PILE DRIVER**

**Dockbuilder - Pile Driver**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$58.16**

Supplemental Benefit Rate per Hour: **\$54.26**

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

**Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Presidential Election Day  
Thanksgiving Day  
Christmas Day

**Paid Holidays**  
None

**Shift Rates**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate.

(Carpenters District Council)

---

---

## **DRIVER: TRUCK (TEAMSTER)**

### **Driver - Dump Truck**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$44.17**

Supplemental Benefit Rate per Hour: **\$53.95**

Supplemental Note: Over 40 hours worked: at time and one half rate - \$24.00; at double time rate - \$32.00

### **Driver - Tractor Trailer**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$47.32**

Supplemental Benefit Rate per Hour: **\$52.40**

Supplemental Note: Over 40 hours worked: at time and one half rate - \$23.25; at double time rate - \$31.00

### **Driver - Euclid & Turnapull Operator**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$47.88**

Supplemental Benefit Rate per Hour: **\$52.40**

Supplemental Note: Over 40 hours worked: at time and one half rate - \$23.25; at double time rate - \$31.00

## **Overtime Description**

For Paid Holidays: Holiday pay for all holidays shall be prorated based two hours per day for each day worked in the holiday week, not to exceed 8 hours of holiday pay. For Thanksgiving week, the prorated share shall be 5 1/3 hours of holiday pay for each day worked in Thanksgiving week.

## **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Veteran's Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

### **Paid Holidays**

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

### **Shift Rates**

Off shift work commencing between 6:00 P.M. and 4:30 A.M. shall work eight and one half (8 1/2) hours allowing for one half hour for lunch and receive 9 hours pay for 8 hours of work.

---

### **Driver Redi-Mix (Sand & Gravel)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$40.89**

Supplemental Benefit Rate per Hour: **\$47.85**

Supplemental Note: Over 40 hours worked: time and one half rate \$18.68; double time rate \$24.90

### **Overtime Description**

For Paid Holidays: Employees who do not work on a contractual holiday shall be compensated two (2) hours extra pay in straight time wages and benefits for every day on which the Employee does not pass up a day's work during the calendar week (Sunday through Saturday) of the holiday, up to a maximum of ten (10) hours in wages and eight (8) hours in benefit contributions for the holiday

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

President's Day  
Columbus Day  
Veteran's Day

Triple time the regular rate for work on the following holiday(s).

New Year's Day  
Memorial Day  
Independence Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Labor Day  
Thanksgiving Day  
Christmas Day

**Paid Holidays**

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Election Day  
Thanksgiving Day  
Christmas Day

(Local #282)

---

---

**ELECTRICIAN**

(Including installation of low voltage cabling carrying data, video and/or voice on building construction/alteration/renovation projects.)

**Electrician "A" (Regular Day / Day Shift)**

Effective Period: 7/1/2022 - 4/12/2023

Wage Rate per Hour: **\$59.00**

Supplemental Benefit Rate per Hour: **\$57.84**

\* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2023 - 6/30/2023

Wage Rate per Hour: **\$61.00**

Supplemental Benefit Rate per Hour: **\$60.06**

\* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

**Electrician "A" (Regular Day Overtime after 7 hrs / Day Shift Overtime after 8 hrs)**

Effective Period: 7/1/2022 - 4/12/2023

Wage Rate per Hour: **\$88.50**

Supplemental Benefit Rate per Hour: **\$59.74**

\* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2023 - 6/30/2023

Wage Rate per Hour: **\$91.50**

Supplemental Benefit Rate per Hour: **\$62.02**

\* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

**Electrician "A" (Swing Shift)**

Effective Period: 7/1/2022 - 4/12/2023

Wage Rate per Hour: **\$69.23**

Supplemental Benefit Rate per Hour: **\$65.68**

\* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2023 - 6/30/2023

Wage Rate per Hour: **\$71.57**

Supplemental Benefit Rate per Hour: **\$68.14**

\* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

**Electrician "A" (Swing Shift Overtime after 7.5 hours)**

Effective Period: 7/1/2022 - 4/12/2023

Wage Rate per Hour: **\$103.85**

Supplemental Benefit Rate per Hour: **\$67.90**

\* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2023 - 6/30/2023

Wage Rate per Hour: **\$107.36**

Supplemental Benefit Rate per Hour: **\$70.45**

\* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

**Electrician "A" (Graveyard Shift)**

Effective Period: 7/1/2022 - 4/12/2023

Wage Rate per Hour: **\$77.54**

Supplemental Benefit Rate per Hour: **\$72.31**

\* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2023 - 6/30/2023

Wage Rate per Hour: **\$80.17**

Supplemental Benefit Rate per Hour: **\$74.99**

\* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

**Electrician "A" (Graveyard Shift Overtime after 7 hours)**

Effective Period: 7/1/2022 - 4/12/2023

Wage Rate per Hour: **\$116.31**

Supplemental Benefit Rate per Hour: **\$74.80**

Effective Period: 4/13/2023 - 6/30/2023

Wage Rate per Hour: **\$120.26**

Supplemental Benefit Rate per Hour: **\$77.57**

\* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

\* Supplemental Benefit Rate per Hour Note

In addition to the Supplemental Benefit Rates per Hour listed above, the employer must provide an additional 6.2% of taxable gross pay earned on covered work only. This additional Supplemental Benefit Rate will terminate

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

when the employee has contributed the maximum annual Social Security tax required by law, on all work performed.

### Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

### Overtime Holidays

Time and one half the regular rate for work on a holiday.

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

### Paid Holidays

None

### Shift Rates

For multiple shifts of temporary light and/or power, the temporary light and/or power employee shall be paid for 8 hours at the straight time rate. For three or less workers performing 8 hours temporary light and/or power the supplemental benefit rate is \$24.36, effective 04/13/2023 the supplemental benefit rate is \$24.78 - See \* Supplemental Benefit Rate per Hour Note above.

---

### Electrician "M" (First 8 hours)

"M" rated work shall be defined as jobbing: electrical work of limited duration and scope, also consisting of repairs and/or replacement of electrical and tele-data equipment. Includes all work necessary to retrofit, service, maintain and repair all kinds of lighting fixtures and local lighting controls and washing and cleaning of foregoing fixtures.

Effective Period: 7/1/2022 - 4/12/2023

Wage Rate per Hour: **\$31.25**

Supplemental Benefit Rate per Hour: **\$25.30**

First and Second Year "M" Wage Rate Per Hour: **\$26.75**

First and Second Year "M" Supplemental Rate: **\$22.88**

Effective Period: 4/13/2023 - 6/30/2023

Wage Rate per Hour: **\$31.25**

Supplemental Benefit Rate per Hour: **\$26.55**

First and Second Year "M" Wage Rate Per Hour: **\$26.75**

First and Second Year "M" Supplemental Rate: **\$24.13**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

**Electrician "M" (Overtime After First 8 hours)**

"M" rated work shall be defined as jobbing: electrical work of limited duration and scope, also consisting of repairs and/or replacement of electrical and tele-data equipment. Includes all work necessary to retrofit, service, maintain and repair all kinds of lighting fixtures and local lighting controls and washing and cleaning of foregoing fixtures.

Effective Period: 7/1/2022 - 4/12/2023

Wage Rate per Hour: **\$46.88**

Supplemental Benefit Rate per Hour: **\$27.28**

First and Second Year "M" Wage Rate Per Hour: **\$40.13**

First and Second Year "M" Supplemental Rate: **\$24.57**

Effective Period: 4/13/2023 - 6/30/2023

Wage Rate per Hour: **\$46.88**

Supplemental Benefit Rate per Hour: **\$28.53**

First and Second Year "M" Wage Rate Per Hour: **\$40.13**

First and Second Year "M" Supplemental Rate: **\$25.82**

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

**Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

**Paid Holidays**

None

(Local #3)

---

---



## **ELECTRICIAN - ALARM TECHNICIAN**

(Scope of Work - Inspect, test, repair, and replace defective, malfunctioning, or broken devices, components and controls of Fire, Burglar and Security Systems)

### **Alarm Technician**

Effective Period: 7/1/2022 - 3/8/2023

Wage Rate per Hour: **\$35.40**

Supplemental Benefit Rate per Hour: **\$19.79**

Supplemental Note: \$17.91 only after 8 hours worked in a day

Effective Period: 3/9/2023 - 6/30/2023

Wage Rate per Hour: **\$36.40**

Supplemental Benefit Rate per Hour: **\$20.67**

Supplemental Note: \$18.80 only after 8 hours worked in a day

### **Overtime Description**

Time and one half the regular rate for work on the following holidays: Columbus Day, Veterans Day, Day after Thanksgiving.

Double time the regular rate for work on the following holidays: New Year's day, Martin Luther King Jr. Day, President's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day.

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

### **Paid Holidays**

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

### **Shift Rates**

Night Differential is based upon a ten percent (10%) differential between the hours of 4:00 P.M. and 12:30 A.M. and a fifteen percent (15%) differential for the hours 12:00 A.M. to 8:30 A.M.

### **Vacation**

At least 1 year of employment.....ten (10) days

5 years or more of employment.....fifteen (15) days

10 years of employment.....twenty (20) days

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Plus one Personal Day per year

Sick Days:

One day per Year. Up to 4 vacation days may be used as sick days.

(Local #3)

---

---

## **ELECTRICIAN-STREET LIGHTING WORKER**

### **Electrician - Electro Pole Electrician**

Effective Period: 7/1/2022 - 4/19/2023

Wage Rate per Hour: **\$59.00**

Supplemental Benefit Rate per Hour: **\$59.85**

Effective Period: 4/20/2023 - 6/30/2023

Wage Rate per Hour: **\$61.00**

Supplemental Benefit Rate per Hour: **\$62.13**

\* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

### **Electrician - Electro Pole Foundation Installer**

Effective Period: 7/1/2022 - 4/18/2023

Wage Rate per Hour: **\$44.66**

Supplemental Benefit Rate per Hour: **\$45.27**

Effective Period: 4/20/2023 - 6/30/2023

Wage Rate per Hour: **\$46.66**

Supplemental Benefit Rate per Hour: **\$47.16**

\* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

### **Electrician - Electro Pole Maintainer**

Effective Period: 7/1/2022 - 4/18/2023

Wage Rate per Hour: **\$38.61**

Supplemental Benefit Rate per Hour: **\$41.00**

Effective Period: 4/20/2023 - 6/30/2023

Wage Rate per Hour: **\$40.61**

Supplemental Benefit Rate per Hour: **\$42.88**

\* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

\* Supplemental Benefit Rate per Hour Note

In addition to the Supplemental Benefit Rates per Hour listed above, the employer must provide an additional 6.2% of taxable gross pay earned on covered work only. This additional Supplemental Benefit Rate will terminate when the employee has contributed the maximum annual Social Security tax required by law, on all work performed.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

## Overtime Description

Electrician - Electro Pole Electrician: Time and one half the regular rate after a 7 hour day and after 5 consecutive days worked per week.

Electrician - Electro Pole Foundation Installer: Time and one half the regular rate after 8 hours within a 24 hour period and Saturday and Sunday.

Electrician - Electro Pole Maintainer: Time and one half the regular rate after a 7 hour day and after 5 consecutive days worked per week. Saturdays and Sundays may be used as a make-up day at straight time when a day is lost during the week to inclement weather.

## Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

## Paid Holidays

None

(Local #3)

---

---

# ELEVATOR CONSTRUCTOR

## Elevator Constructor

Effective Period: 7/1/2022 - 3/16/2023

Wage Rate per Hour: **\$75.14**

Supplemental Benefit Rate per Hour: **\$39.11**

Effective Period: 3/17/2023 - 6/30/2023

Wage Rate per Hour: **\$77.49**

Supplemental Benefit Rate per Hour: **\$40.62**

## Overtime Description

For New Construction: work performed after an 8 hour day, Saturday, Sunday or between 4:30pm and 7:00am shall be paid at double time rate.

Existing buildings: work performed after an 8 hour day, Saturday, Sunday or between 5:30pm and 7:00 am shall be paid time and one half.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

## Overtime

Double time the regular rate for work on the following holiday(s).

## Paid Holidays

New Year's Day  
President's Day  
Good Friday  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

## Vacation

Employer contributes 8% of regular basic hourly rate as vacation pay for employees with more than 15 years of service, and 6% for employees with 5 to 15 years of service, and 4% for employees with less than 5 years of service.

(Local #1)

---

---

## ELEVATOR REPAIR & MAINTENANCE

### Elevator Service/Modernization Mechanic

Effective Period: 7/1/2022 - 3/16/2023

Wage Rate per Hour: **\$59.09**

Supplemental Benefit Rate per Hour: **\$39.01**

Effective Period: 3/17/2023 - 6/30/2023

Wage Rate per Hour: **\$60.89**

Supplemental Benefit Rate per Hour: **\$40.52**

## Overtime Description

For Scheduled Service Work: Double time - work scheduled in advance by two or more workers performed on Sundays, Holidays, and between midnight and 7:00am.

## Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Time and one half the regular rate for work on a holiday plus the day's pay.

## Paid Holidays

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

New Year's Day  
President's Day  
Good Friday  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

## Shift Rates

Afternoon shift - regularly hourly rate plus a (15%) fifteen percent differential. Graveyard shift - time and one half the regular rate.

## Vacation

Employer contributes 8% of regular basic hourly rate as vacation pay for employees with more than 15 years of service, and 6% for employees with 5 to 15 years of service, and 4% for employees with less than 5 years of service.

(Local #1)

---

---

## ENGINEER

### Engineer - Heavy Construction Operating Engineer I

Cherry pickers 20 tons and over and Loaders (rubber tired and/or tractor type with a manufacturer's minimum rated capacity of six cubic yards and over).

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$74.86**

Supplemental Benefit Rate per Hour: **\$44.72**

Supplemental Note: \$82.04 on overtime

Shift Wage Rate: **\$119.78**

### Engineer - Heavy Construction Operating Engineer II

Backhoes, Basin Machines, Groover, Mechanical Sweepers, Bobcat, Boom Truck, Barrier Transport (Barrier Mover) & machines of similar nature. Operation of Churn Drills and machines of a similar nature, Stetco Silent Hoist and machines of similar nature, Vac-Alls, Meyers Machines, John Beam and machines of a similar nature, Ross Carriers and Travel Lifts and machines of a similar nature, Bulldozers, Scrapers and Turn-a-Pulls: Tugger Hoists (Used exclusively for handling excavated material); Tractors with attachments, Hyster and Roustabout Cranes, Cherry pickers. Austin Western, Grove and machines of a similar nature, Scoopmobiles, Monorails, Conveyors, Trenchers: Loaders-Rubber Tired and Tractor: Barber Greene and Eimco Loaders and Eimco Backhoes; Mighty Midget and similar breakers and Tampers, Curb and Gutter Pavers and Motor Patrol, Motor Graders and all machines of a similar nature. Locomotives 10 Tons or under. Mini-Max, Break-Tech and machines of a similar nature; Milling machines, robotic and demolition machines and machines of a similar

**OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE**

nature, shot blaster, skid steer machines and machines of a similar nature including bobcat, pile rig rubber-tired excavator (37,000 lbs. and under), 2 man auger.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$72.55**

Supplemental Benefit Rate per Hour: **\$44.72**

Supplemental Note: \$82.04 on overtime

Shift Wage Rate: **\$116.08**

**Engineer - Heavy Construction Operating Engineer III**

Minor Equipment such as Tractors, Post Hole Diggers, Ditch Witch (Walk Behind), Road Finishing Machines, Rollers five tons and under, Tugger Hoists, Dual Purpose Trucks, Fork Lifts, and Dempsey Dumpers, Fireperson.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$68.68**

Supplemental Benefit Rate per Hour: **\$44.72**

Supplemental Note: \$82.04 on overtime

Shift Wage Rate: **\$109.89**

**Engineer - Heavy Construction Maintenance Engineer I**

Installing, Repairing, Maintaining, Dismantling and Manning of all equipment including Steel Cutting, Bending and Heat Sealing Machines, Mechanical Heaters, Grout Pumps, Bentonite Pumps & Plants, Screening Machines, Fusion Coupling Machines, Tunnel Boring Machines Moles and Machines of a similar nature, Power Packs, Mechanical Hydraulic Jacks; all drill rigs including but not limited to Churn, Rotary Caisson, Raised Bore & Drills of a similar nature; Personnel, Inspection & Safety Boats or any boats used to perform functions of same, Mine Hoists, Whirlies, all Climbing Cranes, all Tower Cranes, including but not limited to Truck Mounted and Crawler Type and machines of similar nature; Maintaining Hydraulic Drills and machines of a similar nature; Well Point System-Installation and dismantling; Burning, Welding, all Pumps regardless of size and/or motor power, except River Cofferdam Pumps and Wells Point Pumps; Motorized Buggies (three or more); equipment used in the cleaning and televising of sewers, but not limited to jet-rodder/vacuum truck, vacall/vactor, closed circuit television inspection equipment; high powered water pumps, jet pumps; screed machines and concrete finishing machines of a similar nature; vermeers.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$72.19**

Supplemental Benefit Rate per Hour: **\$44.72**

Supplemental Note: \$82.04 on overtime

Shift Wage Rate: **\$115.50**

**Engineer - Heavy Construction Maintenance Engineer II**

On Base Mounted Tower Cranes

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$95.74**

Supplemental Benefit Rate per Hour: **\$44.72**

Supplemental Note: \$82.04 on overtime

Shift Wage Rate: **\$153.18**

### **Engineer - Heavy Construction Maintenance Engineer III**

On Generators, Light Towers

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$46.62**

Supplemental Benefit Rate per Hour: **\$44.72**

Supplemental Note: \$82.04 on overtime

Shift Wage Rate: **\$74.59**

### **Engineer - Heavy Construction Maintenance Engineer IV**

On Pumps and Mixers including mud sucking

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$47.90**

Supplemental Benefit Rate per Hour: **\$44.72**

Supplemental Note: \$82.04 on overtime

Shift Wage Rate: **\$76.64**

### **Engineer - Heavy Construction Service Engineer**

Gradalls: Concrete Pumps: Power Houses: Driving Truck Cranes: Driving and Operating Fuel and Grease Trucks.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$64.78**

Supplemental Benefit Rate per Hour: **\$44.72**

Supplemental Note: \$82.04 on overtime

Shift Wage Rate: **\$103.65**

### **Engineer - Heavy Construction Service Mechanic**

Shovels: Cranes: Draglines: Backhoes: Keystones: Pavers: Trenching Machines: Gunite Machines: Compressors (three (3) or more in Battery): Crawler Cranes- having a straight lattice boom with no attachment or luffing boom, no jib and no auxiliary attachment.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$43.90**

Supplemental Benefit Rate per Hour: **\$44.72**

Supplemental Note: \$82.04 on overtime

Shift Wage Rate: **\$70.24**

### **Engineer - Steel Erection Maintenance Engineers**

Derrick, Travelers, Tower, Crawler Tower and Climbing Cranes

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$69.19**

Supplemental Benefit Rate per Hour: **\$44.72**

Supplemental Note: \$82.04 on overtime

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Shift Wage Rate: **\$110.70**

**Engineer - Steel Erection Oiler I**

On a Truck Crane

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$64.57**

Supplemental Benefit Rate per Hour: **\$44.72**

Supplemental Note: \$82.04 on overtime

Shift Wage Rate: **\$103.31**

**Engineer - Steel Erection Oiler II**

On a Crawler Crane

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$48.44**

Supplemental Benefit Rate per Hour: **\$44.72**

Supplemental Note: \$82.04 on overtime

Shift Wage Rate: **\$77.50**

**Overtime Description**

On jobs of more than one shift, if the next shift employee fails to report for work through any cause over which the employer has no control, the employee on duty who works the next shift continues to work at the single time rate.

**Overtime**

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

**Paid Holidays**

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

---

**Engineer - Building Work Maintenance Engineers I**



**OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE**

Installing, repairing, maintaining, dismantling (of all equipment including: Steel Cutting and Bending Machines, Mechanical Heaters, Mine Hoists, Climbing Cranes, Tower Cranes, Linden Peine, Lorain, Liebherr, Mannes, or machines of a similar nature, Well Point Systems, Deep Well Pumps, Concrete Mixers with loading Device, Concrete Plants, Motor Generators when used for temporary power and lights), skid steer machines of a similar nature including bobcat.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$64.47**

Supplemental Benefit Rate per Hour: **\$43.81**

Supplemental Note: \$80.22 on overtime

### **Engineer - Building Work Maintenance Engineers II**

On Pumps, Generators, Mixers and Heaters

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$49.42**

Supplemental Benefit Rate per Hour: **\$43.81**

Supplemental Note: \$80.22 on overtime

### **Engineer - Building Work Oilers I**

All gasoline, electric, diesel or air operated Gradealls: Concrete Pumps, Overhead Cranes in Power Houses: Their duties shall be to assist the Engineer in oiling, greasing and repairing of all machines; Driving Truck Cranes: Driving and Operating Fuel and Grease Trucks, Cherrypickers (hydraulic cranes) over 70,000 GVW, and machines of a similar nature.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$61.15**

Supplemental Benefit Rate per Hour: **\$43.81**

Supplemental Note: \$80.22 on overtime

### **Engineer - Building Work Oilers II**

Oilers on Crawler Cranes, Backhoes, Trenching Machines, Guniting Machines, Compressors (three or more in Battery).

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$44.68**

Supplemental Benefit Rate per Hour: **\$43.81**

Supplemental Note: \$80.22 on overtime

### **Overtime Description**

On jobs of more than one shift, if an Employee fails to report for work through any cause over which the Employer has no control, the Employee on duty will continue to work at the rate of single time.

### **Overtime**

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Double time the regular rate for work on the following holiday(s).

### **Paid Holidays**

New Year's Day  
Lincoln's Birthday  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

### **Shift Rates**

When two (2) or more shifts are employed, single time will be paid for each shift.

(Local #15)

---

---

## **ENGINEER - CITY SURVEYOR AND CONSULTANT**

### **Party Chief**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$42.49**

Supplemental Benefit Rate per Hour: **\$25.50**

Supplemental Note: Overtime Benefit Rate - \$30.50 per hour (time & one half) \$35.50 per hour (double time).

### **Instrument Person**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$34.64**

Supplemental Benefit Rate per Hour: **\$25.50**

Supplemental Note: Overtime Benefit Rate - \$30.50 per hour (time & one half) \$35.50 per hour (double time).

### **Rodperson**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$29.69**

Supplemental Benefit Rate per Hour: **\$25.50**

Supplemental Note: Overtime Benefit Rate - \$30.50 per hour (time & one half) \$35.50 per hour (double time).

### **Overtime Description**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Time and one half the regular rate after an 8 hour day, Time and one half the regular rate for Saturday for the first eight hours worked, Double time the regular time rate for Saturday for work performed in excess of eight hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

### **Paid Holidays**

- New Year's Day
- Lincoln's Birthday
- President's Day
- Memorial Day
- Independence Day
- Labor Day
- Columbus Day
- Veteran's Day
- Thanksgiving Day
- Day after Thanksgiving
- Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

---

## **ENGINEER - FIELD (BUILDING CONSTRUCTION)** (Construction of Building Projects, Concrete Superstructures, etc.)

### **Field Engineer - BC Party Chief**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$66.46**

Supplemental Benefit Rate per Hour: **\$40.09**

Supplemental Note: Overtime Benefit Rate - \$56.54 per hour (time & one half) \$72.98 per hour (double time).

### **Field Engineer - BC Instrument Person**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$50.97**

Supplemental Benefit Rate per Hour: **\$40.09**

Supplemental Note: Overtime Benefit Rate - \$56.54 per hour (time & one half) \$72.98 per hour (double time).

### **Field Engineer - BC Rodperson**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$31.90**

Supplemental Benefit Rate per Hour: **\$40.09**

Supplemental Note: Overtime Benefit Rate - \$56.54 per hour (time & one half) \$72.98 per hour (double time).

### **Overtime Description**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Time and one half the regular rate after a 7 hour work and time and one half the regular rate for Saturday for the first seven hours worked, Double time the regular time rate for Saturday for work performed in excess of seven hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

### **Paid Holidays**

New Year's Day  
President's Day  
Good Friday  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

---

---

## **ENGINEER - FIELD (HEAVY CONSTRUCTION)** (Construction of Roads, Tunnels, Bridges, Sewers, Building Foundations, Engineering Structures etc.)

### **Field Engineer - HC Party Chief**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$77.31**

Supplemental Benefit Rate per Hour: **\$42.52**

Supplemental Note: Overtime benefit rate - \$60.06 per hour (time & one half), \$77.60 per hour (double time).

### **Field Engineer - HC Instrument Person**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$55.97**

Supplemental Benefit Rate per Hour: **\$42.52**

Supplemental Note: Overtime benefit rate - \$60.06 per hour (time & one half), \$77.60 per hour (double time).

### **Field Engineer - HC Rodperson**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$46.47**

Supplemental Benefit Rate per Hour: **\$42.52**

Supplemental Note: Overtime benefit rate - \$60.06 per hour (time & one half), \$77.60 per hour (double time).

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

## Overtime Description

Time and one half the regular rate after an 8 hour day, Time and one half the regular rate for Saturday for the first eight hours worked, Double time the regular time rate for Saturday for work performed in excess of eight hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

## Paid Holidays

New Year's Day  
Lincoln's Birthday  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

---

---

## ENGINEER - FIELD (STEEL ERECTION)

### Field Engineer - Steel Erection Party Chief

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$71.98**

Supplemental Benefit Rate per Hour: **\$42.07**

Supplemental Note: Overtime benefit rate - \$59.38 per hour (time & one half), \$76.69 per hour (double time).

### Field Engineer - Steel Erection Instrument Person

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$55.42**

Supplemental Benefit Rate per Hour: **\$42.07**

Supplemental Note: Overtime benefit rate - \$59.38 per hour (time & one half), \$76.69 per hour (double time).

### Field Engineer - Steel Erection Rodperson

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$36.05**

Supplemental Benefit Rate per Hour: **\$42.07**

Supplemental Note: Overtime benefit rate - \$59.38 per hour (time & one half), \$76.69 per hour (double time).

## Overtime Description

Time and one half the regular rate for Saturday for the first eight hours worked.  
Double time the regular rate for Saturday for work performed in excess of eight hours.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

## Overtime

Time and one half the regular rate after an 8 hour day.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

## Paid Holidays

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

---

---

## ENGINEER - OPERATING

### Operating Engineer - Road & Heavy Construction I

Back Filling Machines, Cranes, Mucking Machines and Dual Drum Paver.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$88.32**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: \$64.40 overtime hours

Shift Wage Rate: **\$141.31**

### Operating Engineer - Road & Heavy Construction II

Backhoes, Power Shovels, Hydraulic Clam Shells, Steel Erection, Moles and machines of a similar nature.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$91.40**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: \$64.40 overtime hours

Shift Wage Rate: **\$146.24**

### Operating Engineer - Road & Heavy Construction III

Mine Hoists (Cranes, etc. when used as Mine Hoists)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$94.31**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: \$64.40 overtime hours

Shift Wage Rate: **\$150.90**

**Operating Engineer - Road & Heavy Construction IV**

Gradealls, Keystones, Cranes on land or water (with digging buckets), Bridge Cranes, Vermeer Cutter and machines of a similar nature, Trenching Machines.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$92.06**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: \$64.40 overtime hours

Shift Wage Rate: **\$147.30**

**Operating Engineer - Road & Heavy Construction V**

Pile Drivers & Rigs (working alongside Dock Builder foreperson): Derrick Boats, Tunnel Shovels.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$90.26**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: \$64.40 overtime hours

Shift Wage Rate: **\$144.42**

**Operating Engineer - Road & Heavy Construction VI**

Mixers (Concrete with loading attachment), Concrete Pavers, Cableways, Land Derricks, Power Houses (Low Air Pressure Units).

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$85.80**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: \$64.40 overtime hours

Shift Wage Rate: **\$137.28**

**Operating Engineer - Road & Heavy Construction VII**

Barrier Movers, Barrier Transport and Machines of a Similar Nature.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$69.52**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: \$64.40 overtime hours

Shift Wage Rate: **\$111.23**

**Operating Engineer - Road & Heavy Construction VIII**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Utility Compressors

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$54.21**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: \$64.40 overtime hours

Shift Wage Rate: **\$68.04**

**Operating Engineer - Road & Heavy Construction IX**

Horizontal Boring Rig

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$81.67**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: \$64.40 overtime hours

Shift Wage Rate: **\$130.67**

**Operating Engineer - Road & Heavy Construction X**

Elevators (manually operated as personnel hoist).

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$75.16**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: \$64.40 overtime hours

Shift Wage Rate: **\$120.26**

**Operating Engineer - Road & Heavy Construction XI**

Compressors (Portable 3 or more in battery), Driving of Truck Mounted Compressors, Well-point Pumps, Tugger Machines Well Point Pumps, Churn Drill.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$58.61**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: \$64.40 overtime hours

Shift Wage Rate: **\$93.78**

**Operating Engineer - Road & Heavy Construction XII**

All Drills and Machines of a similar nature.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$86.71**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: \$64.40 overtime hours

Shift Wage Rate: **\$138.74**



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

**Operating Engineer - Road & Heavy Construction XIII**

Concrete Pumps, Concrete Plant, Stone Crushers, Double Drum Hoist, Power Houses (other than above).

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$84.02**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: **\$64.40** overtime hours

Shift Wage Rate: **\$134.43**

**Operating Engineer - Road & Heavy Construction XIV**

Concrete Mixer

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$80.36**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: **\$64.40** overtime hours

Shift Wage Rate: **\$128.58**

**Operating Engineer - Road & Heavy Construction XV**

Compressors (Portable Single or two in Battery, not over 100 feet apart), Pumps (River Cofferdam) and Welding Machines, Push Button Machines, All Engines Irrespective of Power (Power-Pac) used to drive auxiliary equipment, Air, Hydraulic, etc.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$54.56**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: **\$64.40** overtime hours

Shift Wage Rate: **\$87.30**

**Operating Engineer - Road & Heavy Construction XVI**

Concrete Breaking Machines, Hoists (Single Drum), Load Masters, Locomotives (over ten tons) and Dinkies over ten tons, Hydraulic Crane-Second Engineer.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$76.80**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: **\$64.40** overtime hours

Shift Wage Rate: **\$122.88**

**Operating Engineer - Road & Heavy Construction XVII**

On-Site concrete plant engineer, On-site Asphalt Plant Engineer, and Vibratory console.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$77.36**

Supplemental Benefit Rate per Hour: **\$35.30**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Supplemental Note: \$64.40 overtime hours  
Shift Wage Rate: \$123.78

**Operating Engineer - Road & Heavy Construction XVIII**

Tower Crane

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: \$110.56  
Supplemental Benefit Rate per Hour: \$35.30  
Supplemental Note: \$64.40 overtime hours  
Shift Wage Rate: \$176.90

**Operating Engineer - Paving I**

Asphalt Spreaders, Autogrades (C.M.I.), Roto/Mil

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: \$85.80  
Supplemental Benefit Rate per Hour: \$35.30  
Supplemental Note: \$64.40 overtime hours  
Shift Wage Rate: \$137.28

**Operating Engineer - Paving II**

Asphalt Roller

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: \$83.63  
Supplemental Benefit Rate per Hour: \$35.30  
Supplemental Note: \$64.40 overtime hours  
Shift Wage Rate: \$133.81

**Operating Engineer - Paving III**

Asphalt Plants

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: \$70.88  
Supplemental Benefit Rate per Hour: \$35.30  
Supplemental Note: \$64.40 overtime hours  
Shift Wage Rate: \$113.41

**Operating Engineer - Concrete I**

Cranes

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: \$91.66

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: **\$35.30**  
Supplemental Note: \$64.40 overtime hours

**Operating Engineer - Concrete II**

Compressors

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$54.97**  
Supplemental Benefit Rate per Hour: **\$35.30**  
Supplemental Note: \$64.40 overtime hours

**Operating Engineer - Concrete III**

Micro-traps (Negative Air Machines), Vac-All Remediation System.

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$73.46**  
Supplemental Benefit Rate per Hour: **\$35.30**  
Supplemental Note: \$64.40 overtime hours

**Operating Engineer - Steel Erection I**

Three Drum Derricks

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$95.02**  
Supplemental Benefit Rate per Hour: **\$35.30**  
Supplemental Note: \$64.40 overtime hours  
Shift Wage Rate: **\$152.03**

**Operating Engineer - Steel Erection II**

Cranes, 2 Drum Derricks, Hydraulic Cranes, Fork Lifts and Boom Trucks.

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$91.33**  
Supplemental Benefit Rate per Hour: **\$35.30**  
Supplemental Note: \$64.40 overtime hours  
Shift Wage Rate: **\$146.13**

**Operating Engineer - Steel Erection III**

Compressors, Welding Machines.

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$54.68**  
Supplemental Benefit Rate per Hour: **\$35.30**  
Supplemental Note: \$64.40 overtime hours  
Shift Wage Rate: **\$87.49**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

**Operating Engineer - Steel Erection IV**

Compressors - Not Combined with Welding Machine. (Public Works Only)

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$52.10**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: **\$64.40** overtime hours

Shift Wage Rate: **\$83.36**

**Operating Engineer - Building Work I**

Forklifts, Plaster (Platform machine), Plaster Bucket, Concrete Pump and all other equipment used for hoisting material.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$73.28**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: **\$64.40** overtime hours

**Operating Engineer - Building Work II**

Compressors, Welding Machines (Cutting Concrete-Tank Work), Paint Spraying, Sandblasting, Pumps (with the exclusion of Concrete Pumps), All Engines irrespective of Power (Power-Pac) used to drive Auxiliary Equipment, Air, Hydraulic, Jacking System, etc.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$54.94**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: **\$64.40** overtime hours

**Operating Engineer - Building Work III**

Double Drum

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$86.78**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: **\$64.40** overtime hours

**Operating Engineer - Building Work IV**

Stone Derrick, Cranes, Hydraulic Cranes Boom Trucks.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$91.86**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: **\$64.40** overtime hours

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

**Operating Engineer - Building Work V**

Dismantling and Erection of Cranes, Relief Engineer.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$81.38**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: **\$64.40** overtime hours

**Operating Engineer - Building Work VI**

4 Pole Hoist, Single Drum Hoists.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$80.52**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: **\$64.40** overtime hours

**Operating Engineer - Building Work VII**

Rack & Pinion and House Cars

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$64.09**

Supplemental Benefit Rate per Hour: **\$35.30**

Supplemental Note: **\$64.40** overtime hours

For New House Car projects Wage Rate per Hour **\$51.21**

For New House Car projects: Supplemental Benefit overtime hours: **\$49.85**

**Overtime Description**

On jobs of more than one shift, if an Employee fails to report for work through any cause over which the Employer has no control, the Employee on duty will continue to work at the rate of single time.

For House Cars and Rack & Pinion only: Overtime paid at time and one-half for all hours in excess of eight hours in a day, Saturday, Sunday and Holidays worked.

**Overtime**

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

**Paid Holidays**

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

## Shift Rates

When two (2) or more shifts are employed, single time will be paid for each shift.

For Steel Erection Only: Shifts may be worked at the single time rate at other than the regular working hours (8:00 A.M. to 4:30 P.M.) on the following work ONLY: Heavy construction jobs on work below the street level, over railroad tracks and on building jobs.

(Operating Engineer Local #14)

---

---

## FLOOR COVERER

(Interior vinyl composition tile, sheath vinyl linoleum and wood parquet tile including site preparation and synthetic turf not including site preparation)

### Floor Coverer

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$55.05**

Supplemental Benefit Rate per Hour: **\$47.83**

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Day after Thanksgiving

Day before Christmas

Christmas Day

Day before New Year's Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

## Shift Rates

Two shifts may be utilized with the first shift working 8 a.m. to the end of the shift at straight time rate of pay. The wage rate for the second shift consisting of 7 hours shall be paid at 114.29% of straight time wage rate. The wage rate for the second shift consisting of 8 hours shall be paid 112.5% of the straight time wage rate. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, the rule for the second shift will apply.

(Carpenters District Council)

---

---

## GLAZIER

(New Construction, Remodeling, and Alteration)

### Glazier

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$46.55**

Supplemental Benefit Rate per Hour: **\$50.04**

Supplemental Note: Supplemental Benefit Overtime Rate: \$75.07

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

### Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

### Paid Holidays

None

### Shift Rates

Shifts shall be any 8 consecutive hours after the normal working day for which the Glazier shall receive 9 hours pay for 8 hours worked.

(Local #1281)

---

## **GLAZIER - REPAIR & MAINTENANCE**

(For the Installation of Glass - All repair and maintenance work on a particular building.)

### **Craft Jurisdiction for repair, maintenance and fabrication**

Plate glass replacement, Residential glass replacement, Residential mirrors and shower doors, Storm windows and storm doors, Residential replacement windows, Herculite door repairs, Door closer repairs, Retrofit apartment house (non-commercial buildings), Glass tinting.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$26.40**

Supplemental Benefit Rate per Hour: **\$25.32**

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Sunday.

Time and one half the regular rate for work on the following holiday(s).

Time and one half the regular hourly rate after 40 straight time hours in any work week.

### **Paid Holidays**

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Local #1281)

---

---

## **HAZARDOUS MATERIAL HANDLER**

(Removal, abatement, encapsulation or decontamination of asbestos, lead, mold, or other toxic or hazardous waste/materials)

### **Handler**

Effective Period: 7/1/2022 - 7/3/2022

Wage Rate per Hour: **\$38.05**



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: **\$19.10**

Effective Period: 7/4/2022 - 6/30/2023

Wage Rate per Hour: **\$38.05**

Supplemental Benefit Rate per Hour: **\$19.60**

## Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Sunday.

Time and one half the regular hourly rate after 40 straight time hours in any work week.

## Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Easter

## Paid Holidays

None

(Local #78 and Local #12A)

---

---

# HEAT AND FROST INSULATOR

## Heat & Frost Insulator

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$62.71**

Supplemental Benefit Rate per Hour: **\$41.91**

## Overtime Description

Double time shall be paid for supplemental benefits during overtime work.

8th hour paid at time and one half.

## Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

## Overtime Holidays

Double time the regular rate for work on the following holiday(s).

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

New Year's Day  
Martin Luther King Jr. Day  
President's Day  
Memorial Day  
Independence Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

Triple time the regular rate for work on the following holiday(s).  
Labor Day

## **Paid Holidays**

None

## **Shift Rates**

The first shift shall work seven hours at the regular straight time rate. The second and third shift shall work seven hours the regular straight time hourly rate plus a fourteen percent wage and benefit premium. There must be a first shift to work the second shift, and a second shift to work the third shift. Off-hour jobs in occupied buildings may be worked on weekdays with an increment of one-dollar (\$1.00) per hour and eight (8) hours pay for seven (7) hours worked.

(Local #12) (BCA)

---

---

## **HOUSE WRECKER (TOTAL DEMOLITION)**

### **House Wrecker - Tier A**

On all work sites the first, second, eleventh and every third House Wrecker thereafter will be Tier A House Wreckers (i.e. 1st, 2nd, 11th, 14th etc). Other House Wreckers may be Tier B House Wreckers.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$38.23**

Supplemental Benefit Rate per Hour: **\$30.97**

### **House Wrecker - Tier B**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$27.46**

Supplemental Benefit Rate per Hour: **\$23.38**

## **Overtime**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Time and one half the regular rate after an 8 hour day.  
Time and one half the regular rate for Saturday.  
Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Thanksgiving Day  
Christmas Day

### Paid Holidays

None

(Mason Tenders District Council)

---

---

## IRON WORKER - ORNAMENTAL

### Iron Worker - Ornamental

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$46.65**

Supplemental Benefit Rate per Hour: **\$61.62**

Supplemental Note: Supplemental benefits are to be paid at the applicable overtime rate when overtime is in effect.

### Overtime Description

Time and one half the regular rate after a 7 hour day for a maximum of two hours on any regular work day (the 8th and 9th hour) and double time shall be paid for all work on a regular work day thereafter, time and one half the regular rate for Saturday for the first seven hours of work and double time shall be paid for all work on a Saturday thereafter.

### Overtime

Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Thanksgiving Day  
Christmas Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

## Paid Holidays

None

## Shift Rates

When two or three shifts are employed on a job, Monday through Friday, the second and third shift are paid eight and one half (8 ½) hours at the straight time rate for seven (7) hours of work, and ten (10) hours at the straight time rate for eight (8) hours of work. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, eight hours will be paid at straight time rate for seven hours of work, and all overtime shall be paid at time and one-half the regular straight time rates but on Sundays and Holidays, time and one-half the regular straight time rate shall be paid for all work up to seven (7) hours and double time shall be paid for all work thereafter.

(Local #580)

---

---

## IRON WORKER - STRUCTURAL

### Iron Worker - Structural

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$55.70**

Supplemental Benefit Rate per Hour: **\$84.79**

Supplemental Note: Supplemental benefits are to be paid at the applicable overtime rate when overtime is in effect.

### Overtime Description

Monday through Friday- the first eight hours are paid at straight time, the 9th and 10th hours are paid at time and one-half the regular rate, all additional weekday overtime is paid at double the regular rate. Saturdays- the first eight hours are paid at time and one-half the regular rate, double time thereafter. Sunday-all shifts are paid at double time. Four Days a week at Ten (10) hours straight time is allowed.

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

## **Paid Holidays**

1/2 day on Christmas Eve if work is performed in the A.M.

1/2 day on New Year's Eve if work is performed in the A.M.

## **Shift Rates**

Monday through Friday - First Shift: First eight hours are paid at straight time, the 9th & 10th hours are paid at time and a half, double time paid thereafter. Second and third Shifts: First eight hours are paid at time and one-half, double time thereafter. Saturdays: All shifts, first eight hours paid at time and one-half, double time thereafter: Sunday all shifts are paid at double time.

Four (4), ten (10) hour days may be worked at straight time during a week, Monday thru Thursday.

(Local #40 & #361)

---

---

## **LABORER**

(Foundation, Concrete, Excavating, Street Pipe Layer and Common)

### **Laborer**

Excavation and foundation work for buildings, heavy construction, engineering work, and hazardous waste removal in connection with the above work. Landscaping tasks in connection with heavy construction work, engineering work and building projects. Projects include, but are not limited to pollution plants, sewers, parks, subways, bridges, highways, etc.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$44.00**

Supplemental Benefit Rate per Hour: **\$50.43**

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Thanksgiving Day

Christmas Day

### **Paid Holidays**

Labor Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Thanksgiving Day

## Shift Rates

When two shifts are employed, single time rate shall be paid for each shift. When three shifts are found necessary, each shift shall work seven and one half hours (7 ½), but shall be paid for eight (8) hours of labor, and be permitted one half hour for lunch.

(Local #731)

---

---

## LANDSCAPING

(Landscaping tasks, such as tree pruning, tree removing and spraying in connection with Green Infrastructure maintenance and the planting of street trees and trees in City parks, but not when such activities are performed as part of construction or reconstruction projects.)

### Landscaper (Year 6 and above)

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$35.06**

Supplemental Benefit Rate per Hour: **\$17.55**

### Landscaper (Year 3 - 5)

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$33.93**

Supplemental Benefit Rate per Hour: **\$17.55**

### Landscaper (up to 3 years)

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$31.09**

Supplemental Benefit Rate per Hour: **\$17.55**

### Groundperson

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$31.09**

Supplemental Benefit Rate per Hour: **\$17.55**

### Tree Remover / Pruner

Effective Period: 7/1/2022 - 6/30/2023

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$40.76**

Supplemental Benefit Rate per Hour: **\$17.55**

**Landscaper Sprayer (Pesticide Applicator)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$29.39**

Supplemental Benefit Rate per Hour: **\$17.55**

**Watering - Plant Maintainer**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$23.68**

Supplemental Benefit Rate per Hour: **\$17.55**

**Overtime Description**

For all overtime work performed, supplemental benefits shall include an additional seventy-five (\$0.75) cents per hour.

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular rate for work on a holiday plus the day's pay.

**Paid Holidays**

New Year's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

**Shift Rates**

Work performed on a 4pm to 12am shift has a 15% differential. Work performed on a 12am to 8am shift has a 20% differential.

(Local #175)

---

---

**MARBLE MECHANIC**

**Marble Setter**

Effective Period: 7/1/2022 - 7/3/2022

Wage Rate per Hour: **\$57.17**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: **\$42.26**

Effective Period: 7/4/2022 - 6/30/2023

Wage Rate per Hour: **\$57.40**

Supplemental Benefit Rate per Hour: **\$42.66**

### **Marble Finisher**

Effective Period: 7/1/2022 - 7/3/2022

Wage Rate per Hour: **\$44.42**

Supplemental Benefit Rate per Hour: **\$39.46**

Effective Period: 7/4/2022 - 6/30/2023

Wage Rate per Hour: **\$44.65**

Supplemental Benefit Rate per Hour: **\$39.76**

### **Marble Polisher**

Effective Period: 7/1/2022 - 7/3/2022

Wage Rate per Hour: **\$43.35**

Supplemental Benefit Rate per Hour: **\$32.26**

Effective Period: 7/4/2022 - 6/30/2023

Wage Rate per Hour: **\$43.71**

Supplemental Benefit Rate per Hour: **\$32.46**

### **Marble Maintenance Finisher**

Effective Period: 7/1/2022 - 7/3/2022

Wage Rate per Hour: **\$27.01**

Supplemental Benefit Rate per Hour: **\$13.99**

Effective Period: 7/4/2022 - 6/30/2023

Wage Rate per Hour: **\$27.17**

Supplemental Benefit Rate per Hour: **\$14.23**

### **Overtime Description**

Supplemental Benefit contributions are to be made at the applicable overtime rates.

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

## **Paid Holidays**

None

(Local #7)

---

---

## **MASON TENDER**

### **Mason Tender**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$39.95**

Supplemental Benefit Rate per Hour: **\$31.99**

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

### **Paid Holidays**

None

### **Shift Rates**

The employer may work two (2) shifts with the first shift at the straight time wage rate and the second shift receiving eight (8) hours paid for seven (7) hours work at the straight time wage rate. When it is not possible to conduct alteration work during regular working hours in a building occupied by tenants, the rule for the second shift will apply.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

(Local #79)

---

---

## **MASON TENDER (INTERIOR DEMOLITION WORKER)**

### **Mason Tender Tier A**

Tier A Interior Demolition Worker performs all burning, chopping, and other technically skilled tasks related to interior demolition work.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$37.69**

Supplemental Benefit Rate per Hour: **\$26.10**

### **Mason Tender Tier B**

Tier B Interior Demolition Worker performs manual work and work incidental to demolition work, such as loading and carting of debris from the work site to an area where it can be loaded in to bins/trucks for removal. Also performs clean-up of the site when demolition is completed.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$26.88**

Supplemental Benefit Rate per Hour: **\$20.42**

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

### **Paid Holidays**

None

(Local #79)

---

---

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

## METALLIC LATHER

### Metallic Lather

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$46.40**

Supplemental Benefit Rate per Hour: **\$51.30**

Supplemental Note: For time and one half overtime - \$63.05 For double overtime - \$79.10

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Thanksgiving Day

Christmas Day

### Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

1/2 day on New Year's Eve if work is performed in the A.M.

### Shift Rates

Off-shift work outside of normal working hours shall receive straight time rate plus \$12 per hour for the first eight (8) hours.

(Local #46)

---

---

## MILLWRIGHT

### Millwright

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$57.80**

Supplemental Benefit Rate per Hour: **\$55.96**

### Overtime

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

## Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Veteran's Day

Thanksgiving Day

Christmas Day

## Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

1/2 day on New Year's Eve if work is performed in the A.M.

## Shift Rates

Second and third shifts receives the straight time rate of pay plus fifteen (15%) percent allowing for one half hour for a meal. There must be a first shift to work a second and third shift. All additional hours worked shall be paid at the time and one-half rate of pay plus fifteen (15%) percent for weekday hours.

(Local #740)

---

---

## MOSAIC MECHANIC

### Mosaic Mechanic - Mosaic & Terrazzo Mechanic

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$52.75**

Supplemental Benefit Rate per Hour: **\$44.37**

### Mosaic Mechanic - Mosaic & Terrazzo Finisher

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$51.14**

Supplemental Benefit Rate per Hour: **\$44.37**

### Mosaic Mechanic - Machine Operator Grinder

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$51.14**

Supplemental Benefit Rate per Hour: **\$44.37**

## Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

## Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

Good Friday

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

## Paid Holidays

None

(Local #7)

---

---

## PAINTER

### Painter - Brush & Roller

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$43.00**

Supplemental Benefit Rate per Hour: **\$38.78**

Supplemental Note: \$46.62 on overtime

### Spray & Scaffold / Decorative / Sandblast

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$46.00**

Supplemental Benefit Rate per Hour: **\$38.78**

Supplemental Note: \$46.62 on overtime

## Overtime

Time and one half the regular rate after a 7 hour day.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

## Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Thanksgiving Day

Christmas Day

## Paid Holidays

None

(District Council of Painters #9)

---

---

## PAINTER - LINE STRIPING (ROADWAY)

### Striping - Machine Operator

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$39.00**

Supplemental Benefit Rate per Hour: **\$15.27**

Supplemental Note: Overtime Supplemental Benefit rate - \$15.90

### Lineperson (Thermoplastic)

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$43.00**

Supplemental Benefit Rate per Hour: **\$15.27**

Supplemental Note: Overtime Supplemental Benefit rate - \$15.90

### Striping Assistant & Traffic Safety

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$37.00**

Supplemental Benefit Rate per Hour: **\$15.27**

Supplemental Note: Overtime Supplemental Benefit rate - \$15.90

## Overtime Description

For Paid Holidays: Employees will only receive Holiday Pay for holidays not worked if said employee worked both the regularly scheduled workday before and after the holiday.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

**Overtime**

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Time and one half the regular rate for work on the following holiday(s).

**Paid Holidays**

New Year's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

**Vacation**

Employees with one to two years service shall accrue vacation based on hours worked: 250 hours worked - 1 day vacation; 500 hours worked - 2 days vacation; 750 hours worked - 3 days vacation; 900 hours worked - 4 days vacation; 1,000 hours worked - 5 days vacation. Employees with two to five years service receive two weeks vacation. Employees with five to twenty years service receive three weeks vacation. Employees with twenty to twenty-five years service receive four weeks vacation. Employees with 25 or more years service receive five weeks vacation.

(Local #1010)

---

---

**PAINTER - METAL POLISHER**

**METAL POLISHER**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$32.51**

Supplemental Benefit Rate per Hour: **\$10.92**

**METAL POLISHER - NEW CONSTRUCTION**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$33.46**

Supplemental Benefit Rate per Hour: **\$10.92**

**METAL POLISHER - SCAFFOLD OVER 34 FEET**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$36.01**

Supplemental Benefit Rate per Hour: **\$10.92**

**ASSISTANT METAL POLISHER**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$25.31**

Supplemental Benefit Rate per Hour: **\$10.44**

**ASSISTANT METAL POLISHER - NEW CONSTRUCTION**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$26.26**

Supplemental Benefit Rate per Hour: **\$10.44**

**ASSISTANT METAL POLISHER - SCAFFOLD OVER 34 FEET**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$27.81**

Supplemental Benefit Rate per Hour: **\$10.44**

**Overtime Description**

All work performed on Saturdays shall be paid at time-in-a half. The exception being; for suspended scaffold work and work deemed as a construction project; an eight (8) hour shift lost during the week due to circumstances beyond the control of the employer, up to a maximum of eight (8) hours per week, may be worked on Saturday at the straight time rate.

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Triple time the regular rate for work on the following holiday(s).

**Paid Holidays**

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

**Shift Rates**

Four Days a week at Ten (10) hours straight a day.

Local 8A-28A



## **PAINTER - SIGN**

### **Sign Painter**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$45.54**

Supplemental Benefit Rate per Hour: **\$22.29**

### **Assistant Sign Painter**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$38.70**

Supplemental Benefit Rate per Hour: **\$20.20**

### **Overtime Description**

If any employee is required to work on any of the paid holidays then the employee shall receive double time rate of wages as well as the holiday pay for that day.

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

### **Paid Holidays**

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

### **Vacation**

At least 1 year of employment.....1 week

2 years or more of employment.....2 weeks

8 years or more of employment.....3 weeks

(Local #8A-28A)

---

---

## **PAINTER - STRUCTURAL STEEL**

### **Painters on Structural Steel**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$53.00**

Supplemental Benefit Rate per Hour: **\$49.83**

## **Painter - Power Tool**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$59.50**

Supplemental Benefit Rate per Hour: **\$49.83**

Overtime Wage Rate: **\$6.50** above the "Painters on Structural Steel" overtime rate.

## **Overtime Description**

Supplemental Benefits shall be paid for each hour worked, up to forty (40) hours per week for the period of May 1st to November 15th or up to fifty (50) hours per week for the period of November 16th to April 30th.

## **Overtime**

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

## **Paid Holidays**

None

## **Shift Rates**

Second shift is paid at regular hourly wage rates plus a ten percent (10%) differential. There must be a first shift in order to work a second shift.

(Local #806)

---

---

# **PAPERHANGER**

## **Paperhanger**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$47.37**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: **\$39.06**

Supplemental Note: Supplemental benefits are to be paid at the appropriate straight time and overtime rate.

## Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

## Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

## Paid Holidays

None

## Shift Rates

Evening shift - 4:30 P.M. to 12:00 Midnight (regular rate of pay); any work performed before 7:00 A.M. shall be at time and one half the regular base rate of pay.

(District Council of Painters #9)

---

---

## PAVER AND ROADBUILDER

### Paver & Roadbuilder - Formsetter

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$48.35**

Supplemental Benefit Rate per Hour: **\$50.19**

Supplemental Note: For time and one half overtime - \$54.44 For double overtime - \$58.69

### Paver & Roadbuilder - Laborer

Paving and road construction work, regardless of material used, including but not limited to preparation of job sites, removal of old surfaces, asphalt and/or concrete, by whatever method, including but not limited to milling; laying of concrete; laying of asphalt for temporary, patchwork, and utility paving (but not production paving); site preparation and incidental work for installation of rubberized materials and similar surfaces; installation and repair of temporary construction fencing; slurry/seal coating, paving stones, maintenance of safety surfaces; play equipment installation, and other related work.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$44.48**

Supplemental Benefit Rate per Hour: **\$50.19**

Supplemental Note: For time and one half overtime - \$54.44 For double overtime - \$58.69

### **Production Paver & Roadbuilder - Screed Person**

(Production paving is asphalt paving when using a paving machine or on a project where a paving machine is traditionally used)

Adjustment of paving machinery on production paving jobs.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$48.95**

Supplemental Benefit Rate per Hour: **\$50.19**

Supplemental Note: For time and one half overtime - \$54.44 For double overtime - \$58.69

### **Production Paver & Roadbuilder - Raker**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$48.35**

Supplemental Benefit Rate per Hour: **\$50.19**

Supplemental Note: For time and one half overtime - \$54.44 For double overtime - \$58.69

### **Production Paver & Roadbuilder - Shoveler**

General laborer (except removal of surfaces - see Paver and Roadbuilder-Laborer) including but not limited to tamper, AC paint and liquid tar work.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$44.48**

Supplemental Benefit Rate per Hour: **\$50.19**

Supplemental Note: For time and one half overtime - \$54.44 For double overtime - \$58.69

### **Overtime Description**

If an employee works New Year's Day or Christmas Day, they receive the single time rate plus 25%.

For Paid Holidays: Holiday pay for all holidays shall be prorated based two hours per day for each day worked in the holiday week, not to exceed 8 hours of holiday pay.

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

Memorial Day

Independence Day

Labor Day

Columbus Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Thanksgiving Day

## **Paid Holidays**

Memorial Day  
Independence Day  
Labor Day  
Thanksgiving Day

## **Shift Rates**

When two shifts are employed, the work period for each shift shall be a continuous eight (8) hours. When three shifts are employed, each shift will work seven and one half (7 ½) hours but will be paid for eight (8) hours at the straight time rate since only one half (1/2) hour is allowed for meal time.

When two or more shifts are employed, single time will be paid for each shift.

Night Work - On night work, the first eight (8) hours of work will be paid for at the single time rate, except that production paving work shall be paid at 10% over the single time rate for the screed person, rakers and shovelers directly involved only. This differential is to be paid when there is only one shift and the shift works at night. All other workers will be exempt. Hours worked over eight (8) hours during said shift shall be paid for at the time and one-half rate.

(Local #1010)

---

---

# **PLASTERER**

## **Plasterer**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$47.03**

Supplemental Benefit Rate per Hour: **\$28.79**

## **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Thanksgiving Day  
Christmas Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

## Paid Holidays

None

## Shift Rates

When it is not possible to conduct work during regular working hours (between 6:30am and 4:30pm), a shift differential shall be paid at the regular hourly rate plus a twelve percent (12%) per hour differential. Workers on shift work shall be allowed a paid one-half hour meal break.

(Local #262)

---

---

## PLASTERER - TENDER

### Plasterer - Tender

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$39.95**

Supplemental Benefit Rate per Hour: **\$31.99**

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

Memorial Day

Independence Day

Labor Day

Presidential Election Day

Thanksgiving Day

Christmas Day

## Paid Holidays

None

## Shift Rates

When work commences outside regular work hours, workers receive an hour additional (differential) wage and supplement payment. Eight hours pay for seven hours work or nine hours pay for eight hours work.

(Mason Tenders District Council)

---

## **PLUMBER**

### **Plumber**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$72.50**

Supplemental Benefit Rate per Hour: **\$41.45**

Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

### **Plumber - Temporary Services**

Temporary Services - When there are no Plumbers on the job site, there may be three shifts designed to cover the entire twenty-four hour period, including weekends if necessary, at the following rate straight time.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$58.08**

Supplemental Benefit Rate per Hour: **\$33.08**

### **Overtime**

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

### **Shift Rates**

30% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shifts Monday to Friday.

50% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shift work performed on weekends. For shift work on holidays, double time wages and fringe benefits shall be paid.

(Plumbers Local #1)

---

---

**PLUMBER (MECHANICAL EQUIPMENT AND SERVICE)**  
(Mechanical Equipment and Service work shall include any repair and/or replacement of the present plumbing system.)

**Plumber**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$46.60**

Supplemental Benefit Rate per Hour: **\$19.96**

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

**Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

**Paid Holidays**

None

(Plumbers Local # 1)

---

---

**PLUMBER (RESIDENTIAL RATES FOR 1, 2 AND 3 FAMILY HOME CONSTRUCTION)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$50.35**

Supplemental Benefit Rate per Hour: **\$29.73**

**Overtime**

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

**Overtime Holidays**



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Double time the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

## **Paid Holidays**

None

## **Shift Rates**

30% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shifts Monday to Friday.  
50% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shift work performed on weekends. For shift work on holidays, double time wages and fringe benefits shall be paid.

(Plumbers Local #1)

---

---

## **PLUMBER: PUMP & TANK**

### **Oil Trades (Installation and Maintenance)**

### **Plumber - Pump & Tank**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$69.73**

Supplemental Benefit Rate per Hour: **\$28.48**

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Day after Thanksgiving  
Christmas Day

## **Paid Holidays**

None

## **Shift Rates**

All work outside the regular workday (8:00 A.M. to 3:30 P.M.) is to be paid at time and one half the regular hourly rate

(Plumbers Local #1)

---

---

# **POINTER, WATERPROOFER, CAULKER, SANDBLASTER, STEAMBLASTER (Exterior Building Renovation)**

## **Journey person**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$58.83**

Supplemental Benefit Rate per Hour: **\$30.10**

## **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

## **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

## **Paid Holidays**

None

## **Shift Rates**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

All work outside the regular work day (an eight hour workday between the hours of 6:00 A.M. and 4:00 P.M.) is to be paid at time and one half the regular rate. However, the employer may establish one (1) or two (2) shifts starting at or after 4:00 P.M. to be paid at the regular hourly rate plus a 10% differential.

(Bricklayer District Council)

---

---

## ROOFER

### Roofer

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$45.25**

Supplemental Benefit Rate per Hour: **\$37.56**

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

### Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

### Paid Holidays

None

### Shift Rates

Second shift - Regular hourly rate plus a 10% differential. Third shift - Regular hourly rate plus a 15% differential. There must be a first shift to work the second shift, and a second shift to work the third shift. All other work outside the regular work day (an eight hour workday between the hours of 5:00 A.M. and 4:00 P.M.) is to be paid at time and one half the regular rate.

(Local #8)

---

---

## SHEET METAL WORKER

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

**Sheet Metal Worker**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$52.10**

Supplemental Benefit Rate per Hour: **\$55.18**

Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

**Sheet Metal Worker - Fan Maintenance**

(The temporary operation of fans or blowers in new or existing buildings for heating and/or ventilation, and/or air conditioning prior to the completion of the project.)

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$41.68**

Supplemental Benefit Rate per Hour: **\$55.18**

**Sheet Metal Worker - Duct Cleaner**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$19.12**

Supplemental Benefit Rate per Hour: **\$12.01**

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

**Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

**Paid Holidays**

None

**Shift Rates**

Work that can only be performed outside regular working hours (eight hours of work between 7:30 A.M. and 3:30 P.M.) - First shift (work between 3:30 P.M. and 11:30 P.M.) - 10% differential above the established hourly rate.

Second shift (work between 11:30 P.M. and 7:30 A.M.) - 15% differential above the established hourly rate.

For Fan Maintenance: On all full shifts of fan maintenance work the straight time hourly rate of pay will be paid for each shift, including nights, Saturdays, Sundays, and holidays.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

(Local #28)

---

---

## **SHEET METAL WORKER - SPECIALTY (Decking & Siding)**

### **Sheet Metal Specialty Worker**

The first worker to perform this work must be paid at the rate of the Sheet Metal Worker. The second and third workers shall be paid the Specialty Worker Rate. The ratio of One Sheet Metal Worker, then Two Specialty Workers shall be utilized thereafter.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$49.05**

Supplemental Benefit Rate per Hour: **\$27.76**

Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

### **Paid Holidays**

None

(Local #28)

---

---

## **SHIPYARD WORKER**

### **Shipyard Mechanic - First Class**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$28.85**

Supplemental Benefit Rate per Hour: **\$3.93**

### **Shipyard Mechanic - Second Class**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$22.07**

Supplemental Benefit Rate per Hour: **\$3.79**

### **Shipyard Laborer - First Class**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$22.48**

Supplemental Benefit Rate per Hour: **\$3.77**

### **Shipyard Laborer - Second Class**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$17.93**

Supplemental Benefit Rate per Hour: **\$3.78**

### **Shipyard Dockhand - First Class**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$22.15**

Supplemental Benefit Rate per Hour: **\$3.70**

### **Shipyard Dockhand - Second Class**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$18.04**

Supplemental Benefit Rate per Hour: **\$3.61**

### **Overtime Description**

Work performed on holiday is paid double time the regular hourly wage rate plus holiday pay.

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular hourly rate after 40 straight time hours in any work week.

### **Paid Holidays**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

New Year's Day  
Martin Luther King Jr. Day  
President's Day  
Good Friday  
Memorial Day  
Independence Day  
Labor Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

Based on Survey Data

---

---

## **SIGN ERECTOR**

(Sheet Metal, Plastic, Electric, and Neon)

### **Sign Erector**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$53.79**

Supplemental Benefit Rate per Hour: **\$59.56**

### **Overtime**

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Time and one half the regular rate for work on the following holiday(s).

### **Paid Holidays**

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Election Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

### **Shift Rates**

Time and one half the regular hourly rate is to be paid for all hours worked outside the regular workday either (7:00 A.M. through 2:30 P.M.) or (8:00 A.M. through 3:30 P.M.)

(Local #137)

## STEAMFITTER

### Steamfitter

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$61.30**

Supplemental Benefit Rate per Hour: **\$59.89**

Supplemental Note: Overtime supplemental benefit rate: \$119.04

### Steamfitter -Temporary Services

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$46.59**

Supplemental Benefit Rate per Hour: **\$48.70**

### Overtime Description

Double time after a 7 hour day except for Temporary Services.

### Overtime

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

### Paid Holidays

None

### Shift Rates

May be performed outside of the regular workday except Saturday, Sunday and Holidays. When shift work is performed the wage rate for regular time worked is a 15% percent premium on wage and 15% percent premium on supplemental benefits.

Local 638



## **STEAMFITTER - REFRIGERATION AND AIR CONDITIONER (Maintenance and Installation Service Person)**

### **Refrigeration and Air Conditioner Mechanic**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$43.85**

Supplemental Benefit Rate per Hour: **\$19.96**

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

Independence Day

Labor Day

Veteran's Day

Thanksgiving Day

Christmas Day

Double time and one half the regular rate for work on the following holiday(s).

Martin Luther King Jr. Day

President's Day

Memorial Day

Columbus Day

### **Paid Holidays**

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

(Local #638-B)

---

---

## STONE MASON - SETTER

### Stone Mason - Setter

(Assisted by Derrickperson and Rigger)

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$57.16**

Supplemental Benefit Rate per Hour: **\$50.17**

### Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

Good Friday

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

### Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

### Shift Rates

For all work outside the regular workday (8:00 A.M. to 3:30 P.M. Monday through Friday), the pay shall be straight time plus a ten percent (10%) differential.

(Bricklayers District Council)

---

---

## TAPER

### Drywall Taper

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$48.47**

Supplemental Benefit Rate per Hour: **\$30.01**

### Overtime

Time and one half the regular rate after a 7 hour day.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Thanksgiving Day

Christmas Day

### **Paid Holidays**

Any worker who reports to work on Christmas Eve or New Year's Eve pursuant to his employer's instruction shall be entitled to three (3) hours afternoon pay without working.

(Local #1974)

---

---

## **TELECOMMUNICATION WORKER**

(Install/maintain/repair telecommunications cables carrying data, video, and/or voice except for installation on building construction/alteration/renovation projects.)

### **Telecommunication Worker**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$47.03**

Supplemental Benefit Rate per Hour: **\$23.15**

Supplemental Note: The above rate applies for Manhattan, Bronx, Brooklyn, Queens. \$22.84 for Staten Island only.

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Lincoln's Birthday

Washington's Birthday

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Election Day  
Veteran's Day  
Thanksgiving Day  
Christmas Day

**Paid Holidays**

New Year's Day  
Lincoln's Birthday  
Washington's Birthday  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Election Day  
Veteran's Day  
Thanksgiving Day  
Christmas Day

Employees have the option of observing either Martin Luther King's Birthday or the day after Thanksgiving instead of Lincoln's Birthday

**Shift Rates**

For any workday that starts before 8A.M. or ends after 6P.M. there is a 10% differential for the applicable worker's hourly rate.

**Vacation**

After 6 months.....one week.  
After 12 months but less than 7 years.....two weeks.  
After 7 or more but less than 15 years.....three weeks.  
After 15 years or more but less than 25 years.....four weeks.

(C.W.A.)

---

---

**TILE FINISHER**

**Tile Finisher**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$44.40**  
Supplemental Benefit Rate per Hour: **\$35.56**

**Overtime**

Time and one half the regular rate after a 7 hour day.  
Time and one half the regular rate for Saturday.  
Double time the regular rate for Sunday.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

## Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Good Friday  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

## Paid Holidays

None

## Shift Rates

Off shift work day (work performed outside the regular 8:00 A.M. to 3:30 P.M. workday): shift differential of one and one quarter (1¼) times the regular straight time rate of pay for the seven hours of actual off-shift work.

(Local #7)

---

---

## TILE LAYER - SETTER

### Tile Layer - Setter

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$57.41**

Supplemental Benefit Rate per Hour: **\$40.11**

### Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Good Friday  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

## Shift Rates

Off shift work day (work performed outside the regular 8:00 A.M. to 3:30 P.M. workday): shift differential of one and one quarter (1¼) times the regular straight time rate of pay for the seven hours of actual off-shift work.

(Local #7)

---

---

## TIMBERPERSON

### Timberperson

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$53.05**

Supplemental Benefit Rate per Hour: **\$53.94**

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

### Paid Holidays

None

### Shift Rates

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate. Benefits for off-shift work shall be paid at the straight time rate.

(Local #1536)

## **TUNNEL WORKER**

### **Blasters, Mucking Machine Operators (Compressed Air Rates)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$68.58**

Supplemental Benefit Rate per Hour: **\$60.19**

### **Tunnel Workers (Compressed Air Rates)**

Includes shield driven liner plate portions or solidification portions work (8 hour shift) during excavation phase.

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$66.14**

Supplemental Benefit Rate per Hour: **\$58.29**

### **Top Nipper (Compressed Air Rates)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$65.04**

Supplemental Benefit Rate per Hour: **\$57.14**

### **Outside Lock Tender, Outside Gauge Tender, Muck Lock Tender (Compressed Air Rates)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$63.74**

Supplemental Benefit Rate per Hour: **\$56.20**

### **Bottom Bell & Top Bell Signal Person: Shaft Person (Compressed Air Rates)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$63.74**

Supplemental Benefit Rate per Hour: **\$56.20**

### **Changehouse Attendant: Powder Watchperson (Compressed Air Rates)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$56.04**

Supplemental Benefit Rate per Hour: **\$52.83**

### **Blasters (Free Air Rates)**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$65.41**

Supplemental Benefit Rate per Hour: **\$57.80**

**Tunnel Workers (Free Air Rates)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$62.58**

Supplemental Benefit Rate per Hour: **\$55.38**

**All Others (Free Air Rates)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$57.84**

Supplemental Benefit Rate per Hour: **\$51.26**

**Microtunneling (Free Air Rates)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$50.06**

Supplemental Benefit Rate per Hour: **\$44.30**

**Overtime Description**

For work performed during excavation and primary concrete tunnel lining phases - Double time the regular rate after an 8 hour day and Saturday, Sunday and on the following holiday(s) listed below.

For Repair-Maintenance Work on Existing Equipment and Facilities - Time and one half the regular rate after a 7 hour day, Saturday, Sunday and double time the regular rate for work on the following holiday(s) listed below.

For Small-Bore Micro Tunneling Machines - Time and one-half the regular rate shall be paid for all overtime.

For work not listed above - Time and one half the regular rate after an 8 hour day and Saturday and double time the regular rate on Sunday and on the following holiday(s) listed below.

**Paid Holidays**

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Veteran's Day

Thanksgiving Day

Christmas Day

(Local #147)

---

---



## **UTILITY LOCATOR**

**(Locate & mark underground utilities for street excavation.)**

### **Utility Locator (Year 7 and above)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$31.56**

Supplemental Benefit Rate per Hour: **\$1.43**

### **Utility Locator (Year 5 - 6)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$22.85**

Supplemental Benefit Rate per Hour: **\$1.43**

### **Utility Locator (Year 4)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$21.54**

Supplemental Benefit Rate per Hour: **\$1.43**

### **Utility Locator (Year 3)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$20.30**

Supplemental Benefit Rate per Hour: **\$1.43**

### **Utility Locator (Year 2)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$19.13**

Supplemental Benefit Rate per Hour: **\$1.43**

### **Utility Locator (Year 1)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$18.04**

Supplemental Benefit Rate per Hour: **\$1.43**

### **Utility Locator (Up to 1 year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$17.00**

Supplemental Benefit Rate per Hour: **\$1.43**

Supplemental Note: No benefits for the first 90 days of employment.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

**Overtime**

Time and one half the regular rate for work on the following holiday(s).  
Time and one half the regular hourly rate after 40 straight time hours in any work week.

**Paid Holidays**

New Year's Day  
Memorial Day  
Independence Day  
Thanksgiving Day  
Christmas Day

**Shift Rates**

10% shift differential to employees working any shift starting between noon and 5 AM.

**Vacation**

For up to 1 year           0 hours  
For year 1 - 2   48 hours per year  
For year 3 - 9   96 hours per year  
For year 10 or more   144 hours per year

**Sick Days:**

For up to 1 year employee receives 40 hours paid sick leave.  
For year 1 employee earns 2 hours of paid sick leave for every 100 overtime hours worked.  
For year 2 - 9 years employee earns 4 hours of paid sick leave for every 100 overtime hours worked.  
For year 10 or more employee earns 6 hours of paid sick leave for every 100 overtime hours worked.

(C.W.A.)

---

---

**WELDER**

**TO BE PAID AT THE RATE OF THE JOURNEYPERSON IN THE TRADE  
PERFORMING THE WORK.**

**OFFICE OF THE COMPTROLLER**

**CITY OF NEW YORK**

**CONSTRUCTION APPRENTICE  
PREVAILING WAGE SCHEDULE**

Pursuant to Labor Law § 220 (3-e), only apprentices who are individually registered in a bona fide program to which the employer contractor is a participant and registered with the New York State Department of Labor, may be paid at the apprentice rates in this schedule. Apprentices who are not so registered must be paid as journey persons in accordance with the trade classification of the work they actually performed.

Apprentice ratios are established to ensure the proper safety, training and supervision of apprentices. A ratio establishes the number of journey workers required for each apprentice in a program and on a job site. Ratios are interpreted as follows: in the case of a 1:1, 1:4 ratio, there must be one journey worker for the first apprentice, and four additional journey workers for each subsequent apprentice.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

**TABLE OF CONTENTS**

<b><u>CLASSIFICATION</u></b>	<b><u>PAGE</u></b>
BOILERMAKER.....	3
BRICKLAYER.....	4
CARPENTER.....	5
CARPENTER - HIGH RISE CONCRETE FORMS .....	5
CEMENT AND CONCRETE WORKER.....	6
CEMENT MASON.....	7
DERRICKPERSON & RIGGER (STONE).....	7
DOCKBUILDER/PILE DRIVER.....	8
ELECTRICIAN.....	9
ELEVATOR CONSTRUCTOR.....	10
ELEVATOR REPAIR & MAINTENANCE.....	11
ENGINEER.....	12
ENGINEER - OPERATING.....	13
FLOOR COVERER.....	14
GLAZIER.....	14
HAZARDOUS MATERIAL HANDLER.....	15
HEAT & FROST INSULATOR.....	16
HOUSE WRECKER.....	17
IRON WORKER - ORNAMENTAL.....	17
IRON WORKER - STRUCTURAL.....	18
LABORER (FOUNDATION, CONCRETE, EXCAVATING, STREET PIPE LAYER & COMMON).....	19
MARBLE MECHANICS.....	20
MASON TENDER.....	21
MASON TENDER (INTERIOR DEMOLITION WORKER).....	22
METALLIC LATHER.....	23
MILLWRIGHT.....	23
PAINTER.....	24
PAINTER - LINE STRIPING (ROADWAY).....	25
PAINTER - METAL POLISHER.....	25
PAINTER - STRUCTURAL STEEL.....	26
PAVER AND ROADBUILDER.....	26
PLASTERER.....	27
PLASTERER - TENDER.....	28
PLUMBER.....	28
POINTER, WATERPROOFER, CAULKER, SANDBLASTER, STEAMBLASTER.....	29
ROOFER.....	30
SHEET METAL WORKER.....	31
SIGN ERECTOR.....	32
STEAMFITTER.....	33
STEAMFITTER - REFRIGERATION & AIR CONDITIONER.....	34
STONE MASON - SETTER.....	35
TAPER.....	36
TILE LAYER - SETTER.....	36
TIMBERPERSON.....	38

## **BOILERMAKER**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

### **Boilermaker (First Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 65% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$33.57

### **Boilermaker (Second Year: 1st Six Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 70% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$35.54

### **Boilermaker (Second Year: 2nd Six Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 75% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$37.51

### **Boilermaker (Third Year: 1st Six Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 80% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$39.48

### **Boilermaker (Third Year: 2nd Six Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 85% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$41.45

### **Boilermaker (Fourth Year: 1st Six Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 90% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$43.42

### **Boilermaker (Fourth Year: 2nd Six Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 95% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$45.39

(Local #5)

## **BRICKLAYER**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

### **Bricklayer (First 750 Hours)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 50% of Journeyman's rate

Supplemental Benefit Rate Per Hour: \$23.85

### **Bricklayer (Second 750 Hours)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 60% of Journeyman's rate

Supplemental Benefit Rate Per Hour: \$23.85

### **Bricklayer (Third 750 Hours)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 70% of Journeyman's rate

Supplemental Benefit Rate Per Hour: \$23.85

### **Bricklayer (Fourth 750 Hours)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 80% of Journeyman's rate

Supplemental Benefit Rate Per Hour: \$23.85

### **Bricklayer (Fifth 750 Hours)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 90% of Journeyman's rate

Supplemental Benefit Rate Per Hour: \$23.85

### **Bricklayer (Sixth 750 Hours)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 95% of Journeyman's rate

Supplemental Benefit Rate Per Hour: \$23.85

(Bricklayer District Council)

---

---

## **CARPENTER**

**(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)**

### **Carpenter (First Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour For Building Apprentice: \$19.80

Supplemental Benefit Rate Per Hour For Building Apprentice: \$16.85

Wage Rate Per Hour For Heavy Apprentice: \$24.60

Supplemental Benefit Rate Per Hour For Heavy Apprentice: \$36.26

### **Carpenter (Second Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour For Building Apprentice: \$22.80

Supplemental Benefit Rate Per Hour For Building Apprentice: \$18.35

Wage Rate Per Hour For Heavy Apprentice: \$30.20

Supplemental Benefit Rate Per Hour For Heavy Apprentice: \$36.26

### **Carpenter (Third Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour For Building Apprentice: \$27.05

Supplemental Benefit Rate Per Hour For Building Apprentice: \$21.95

Wage Rate Per Hour For Heavy Apprentice: \$38.58

Supplemental Benefit Rate Per Hour For Heavy Apprentice: \$36.26

### **Carpenter (Fourth Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour For Building Apprentice: \$34.93

Supplemental Benefit Rate Per Hour For Building Apprentice: \$23.95

Wage Rate Per Hour For Heavy Apprentice: \$46.97

Supplemental Benefit Rate Per Hour For Heavy Apprentice: \$36.26

(Carpenters District Council)

---

---

## **CARPENTER - HIGH RISE CONCRETE FORMS**

**(Ratio of Apprentice to Journeyman: 1 to 1, 2 to 5)**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

**Carpenter - High Rise (First Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: \$18.27  
Supplemental Benefit Rate per Hour: \$16.55

**Carpenter - High Rise (Second Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: \$24.70  
Supplemental Benefit Rate per Hour: \$17.68

**Carpenter - High Rise (Third Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: \$31.28  
Supplemental Benefit Rate per Hour: \$17.81

**Carpenter - High Rise (Fourth Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: \$38.90  
Supplemental Benefit Rate per Hour: \$17.96

(Carpenters District Council)

---

---

**CEMENT AND CONCRETE WORKER  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)**

**Cement & Concrete Worker (First 1333 hours)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 53% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$14.79

**Cement & Concrete Worker (Second 1333 hours)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 69% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$19.72

**Cement & Concrete Worker (Last 1334 hours)**



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 85% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$21.30

(Cement Concrete Workers District Council)

---

---

**CEMENT MASON**  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

**Cement Mason (First Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$19.92**  
Supplemental Benefit Rate per Hour: **\$15.61**

**Cement Mason (Second Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$24.82**  
Supplemental Benefit Rate per Hour: **\$15.91**

**Cement Mason (Third Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$30.22**  
Supplemental Benefit Rate per Hour: **\$16.02**

(Local #780)

---

---

**DERRICKPERSON & RIGGER (STONE)**  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

**Derrickperson & Rigger (stone) - First Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 50% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: 50% of Journeyman's rate

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

**Derrickperson & Rigger (stone) - Second Year: 1st Six Months**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 70% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

**Derrickperson & Rigger (stone) - Second Year: 2nd Six Months**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 80% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

**Derrickperson & Rigger (stone) - Third Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 90% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

(Local #197)

---

---

**DOCKBUILDER/PILE DRIVER  
(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)**

**Dockbuilder/Pile Driver (First Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: \$24.60  
Supplemental Benefit Rate Per Hour: \$36.26

**Dockbuilder/Pile Driver (Second Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: \$30.20  
Supplemental Benefit Rate Per Hour: \$36.26

**Dockbuilder/Pile Driver (Third Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: \$38.58  
Supplemental Benefit Rate Per Hour: \$36.26

**Dockbuilder/Pile Driver (Fourth Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: \$46.97

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate Per Hour: \$36.26

(Carpenters District Council)

---

---

## **ELECTRICIAN**

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

### **Electrician (First Term: 0-6 Months)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$18.00**

Supplemental Benefit Rate per Hour: **\$15.68**

Overtime Supplemental Rate Per Hour: \$16.88

### **Electrician (First Term: 7-12 Months)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$18.50**

Supplemental Benefit Rate per Hour: **\$15.94**

Overtime Supplemental Rate Per Hour: \$17.17

### **Electrician (Second Term: 0-6 Months)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$19.50**

Supplemental Benefit Rate per Hour: **\$16.47**

Overtime Supplemental Rate Per Hour: \$17.76

### **Electrician (Second Term: 7-12 Months)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$20.50**

Supplemental Benefit Rate per Hour: **\$16.99**

Overtime Supplemental Rate Per Hour: \$18.35

### **Electrician (Third Term: 0-6 Months)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$21.50**

Supplemental Benefit Rate per Hour: **\$17.52**

Overtime Supplemental Rate Per Hour: \$18.94

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

**Electrician (Third Term: 7-12 Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$22.50**  
Supplemental Benefit Rate per Hour: **\$18.04**  
Overtime Supplemental Rate Per Hour: **\$19.53**

**Electrician (Fourth Term: 0-6 Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$23.50**  
Supplemental Benefit Rate per Hour: **\$18.56**  
Overtime Supplemental Rate Per Hour: **\$20.12**

**Electrician (Fourth Term: 7-12 Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$25.50**  
Supplemental Benefit Rate per Hour: **\$19.61**  
Overtime Supplemental Rate Per Hour: **\$21.30**

**Electrician (Fifth Term: 0-12 Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$26.75**  
Supplemental Benefit Rate per Hour: **\$22.88**  
Overtime Supplemental Rate Per Hour: **\$24.57**

**Electrician (Fifth Term: 13-18 Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$31.25**  
Supplemental Benefit Rate per Hour: **\$25.30**  
Overtime Supplemental Rate Per Hour: **\$27.28**

**Overtime Description**

Overtime Wage paid at time and one half the regular rate

(Local #3)

---

---

**ELEVATOR CONSTRUCTOR**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 2)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

**Elevator (Constructor) - First Year**

Effective Period: 7/1/2022 - 3/16/2023  
Wage Rate Per Hour: 50% of Journeyperson's rate  
Supplemental Rate Per Hour: \$33.38

Effective Period: 3/17/2023 - 6/30/2023  
Wage Rate Per Hour: 50% of Journeyperson's rate  
Supplemental Rate Per Hour: \$34.64

**Elevator (Constructor) - Second Year**

Effective Period: 7/1/2022 - 3/16/2023  
Wage Rate Per Hour: 55% of Journeyperson's rate  
Supplemental Rate Per Hour: \$33.96

Effective Period: 3/17/2023 - 6/30/2023  
Wage Rate Per Hour: 55% of Journeyperson's rate  
Supplemental Rate Per Hour: \$35.24

**Elevator (Constructor) - Third Year**

Effective Period: 7/1/2022 - 3/16/2023  
Wage Rate Per Hour: 65% of Journeyperson's rate  
Supplemental Rate Per Hour: \$35.10

Effective Period: 3/17/2023 - 6/30/2023  
Wage Rate Per Hour: 65% of Journeyperson's rate  
Supplemental Rate Per Hour: \$36.43

**Elevator (Constructor) - Fourth Year**

Effective Period: 7/1/2022 - 3/16/2023  
Wage Rate Per Hour: 75% of Journeyperson's rate  
Supplemental Rate Per Hour: \$36.24

Effective Period: 3/17/2023 - 6/30/2023  
Wage Rate Per Hour: 75% of Journeyperson's rate  
Supplemental Rate Per Hour: \$37.63

(Local #1)

---

---

**ELEVATOR REPAIR & MAINTENANCE**  
**(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 2)**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

**Elevator Service/Modernization Mechanic (First Year)**

Effective Period: 7/1/2022 - 3/16/2023  
Wage Rate Per Hour: 50% of Journeyperson's rate  
Supplemental Benefit Per Hour: \$33.33

Effective Period: 3/17/2023 - 6/30/2023  
Wage Rate Per Hour: 50% of Journeyperson's rate  
Supplemental Benefit Per Hour: \$34.59

**Elevator Service/Modernization Mechanic (Second Year)**

Effective Period: 7/1/2022 - 3/16/2023  
Wage Rate Per Hour: 55% of Journeyperson's rate  
Supplemental Benefit Per Hour: \$33.90

Effective Period: 3/17/2023 - 6/30/2023  
Wage Rate Per Hour: 55% of Journeyperson's rate  
Supplemental Benefit Per Hour: \$35.18

**Elevator Service/Modernization Mechanic (Third Year)**

Effective Period: 7/1/2022 - 3/16/2023  
Wage Rate Per Hour: 65% of Journeyperson's rate  
Supplemental Benefit Per Hour: \$35.03

Effective Period: 3/17/2023 - 6/30/2023  
Wage Rate Per Hour: 65% of Journeyperson's rate  
Supplemental Benefit Per Hour: \$36.37

**Elevator Service/Modernization Mechanic (Fourth Year)**

Effective Period: 7/1/2022 - 3/16/2023  
Wage Rate Per Hour: 75% of Journeyperson's rate  
Supplemental Benefit Per Hour: \$36.17

Effective Period: 3/17/2023 - 6/30/2023  
Wage Rate Per Hour: 75% of Journeyperson's rate  
Supplemental Benefit Per Hour: \$37.55

(Local #1)

---

---

**ENGINEER**  
**(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 5)**

**Engineer - First Year**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$27.47**  
Supplemental Benefit Rate per Hour: **\$30.97**

**Engineer - Second Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$34.34**  
Supplemental Benefit Rate per Hour: **\$30.97**

**Engineer - Third Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$37.77**  
Supplemental Benefit Rate per Hour: **\$30.97**

**Engineer - Fourth Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$41.21**  
Supplemental Benefit Rate per Hour: **\$30.97**

(Local #15)

---

---

**ENGINEER - OPERATING**  
(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 5)

**Operating Engineer - First Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 40% of Operating Engineer - Road & Heavy Construction V's Rate  
Supplemental Benefit Per Hour: \$24.80

**Operating Engineer - Second Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 50% of Operating Engineer - Road & Heavy Construction V's Rate  
Supplemental Benefit Per Hour: \$24.80

**Operating Engineer - Third Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 60% of Operating Engineer - Road & Heavy Construction V's Rate

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Supplemental Benefit Per Hour: \$24.80

(Local #14)

---

---

## **FLOOR COVERER**

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

### **Floor Coverer (First Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$24.80**

Supplemental Benefit Rate per Hour: **\$16.83**

### **Floor Coverer (Second Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$27.80**

Supplemental Benefit Rate per Hour: **\$18.33**

### **Floor Coverer (Third Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$32.05**

Supplemental Benefit Rate per Hour: **\$21.93**

### **Floor Coverer (Fourth Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$39.93**

Supplemental Benefit Rate per Hour: **\$23.93**

(Carpenters District Council)

---

---

## **GLAZIER**

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

### **Glazier (First Year)**



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 40% of Journeyperson's rate

**Glazier (Second Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

**Glazier (Third Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

**Glazier (Fourth Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

(Local #1281)

---

---

**HAZARDOUS MATERIAL HANDLER**  
**(Ratio of Apprentice Journeyperson: 1 to 1, 1 to 3)**

**Handler (First 1000 Hours)**

Effective Period: 7/1/2022 - 7/3/2022

Wage Rate per Hour: **\$20.00**

Supplemental Benefit Rate per Hour: **\$14.25**

Effective Period: 7/4/2022 - 6/30/2023

Wage Rate per Hour: **\$20.00**

Supplemental Benefit Rate per Hour: **\$14.75**

**Handler (Second 1000 Hours)**

Effective Period: 7/1/2022 - 7/3/2022

Wage Rate per Hour: **\$21.00**

Supplemental Benefit Rate per Hour: **\$14.25**

Effective Period: 7/4/2022 - 6/30/2023

Wage Rate per Hour: **\$21.00**

Supplemental Benefit Rate per Hour: **\$14.75**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

**Handler (Third 1000 Hours)**

Effective Period: 7/1/2022 - 7/3/2022

Wage Rate per Hour: **\$24.00**

Supplemental Benefit Rate per Hour: **\$14.25**

Effective Period: 7/4/2022 - 6/30/2023

Wage Rate per Hour: **\$24.00**

Supplemental Benefit Rate per Hour: **\$14.75**

**Handler (Fourth 1000 Hours)**

Effective Period: 7/1/2022 - 7/3/2022

Wage Rate per Hour: **\$26.00**

Supplemental Benefit Rate per Hour: **\$14.25**

Effective Period: 7/4/2022 - 6/30/2023

Wage Rate per Hour: **\$26.00**

Supplemental Benefit Rate per Hour: **\$14.75**

(Local #78)

---

---

**HEAT & FROST INSULATOR**

**(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)**

**Heat & Frost Insulator (First Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 40% of Journeyperson's rate

**Heat & Frost Insulator (Second Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

**Heat & Frost Insulator (Third Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

**Heat & Frost Insulator (Fourth Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 70% of Journeyperson's rate

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

(Local #12)

---

---

**HOUSE WRECKER**  
**(TOTAL DEMOLITION)**  
**(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)**

**House Wrecker - First Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$20.80**  
Supplemental Benefit Rate per Hour: **\$10.67**

**House Wrecker - Second Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$22.75**  
Supplemental Benefit Rate per Hour: **\$10.67**

**House Wrecker - Third Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$24.25**  
Supplemental Benefit Rate per Hour: **\$10.67**

**House Wrecker - Fourth Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$26.75**  
Supplemental Benefit Rate per Hour: **\$10.67**

(Mason Tenders District Council)

---

---

**IRON WORKER - ORNAMENTAL**  
**(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)**

**Iron Worker (Ornamental) - First Year**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$20.63**  
Supplemental Benefit Rate per Hour: **\$17.61**

**Iron Worker (Ornamental) - Second Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$24.22**  
Supplemental Benefit Rate per Hour: **\$18.86**

**Iron Worker (Ornamental) - Third Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$27.80**  
Supplemental Benefit Rate per Hour: **\$20.12**

**Iron Worker (Ornamental) - Fourth Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$31.38**  
Supplemental Benefit Rate per Hour: **\$21.38**

(Local #580)

---

---

**IRON WORKER - STRUCTURAL**  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 6)

**Iron Worker (Structural) - 1st Six Months**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$28.97**  
Supplemental Benefit Rate per Hour: **\$58.62**

**Iron Worker (Structural) - 7- 18 Months**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$29.57**  
Supplemental Benefit Rate per Hour: **\$58.62**

**Iron Worker (Structural) - 19 - 36 months**

Effective Period: 7/1/2022 - 6/30/2023

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$30.18**

Supplemental Benefit Rate per Hour: **\$58.62**

(Local #40 and #361)

---

---

**LABORER (FOUNDATION, CONCRETE, EXCAVATING, STREET PIPE LAYER & COMMON)**

(Ratio Apprentice to Journeyman: 1 to 1, 1 to 3)

**Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - First 1000 hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 50% of Journeyman's rate

Supplemental Rate Per Hour: \$50.43

**Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - Second 1000 hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 60% of Journeyman's rate

Supplemental Rate Per Hour: \$50.43

**Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - Third 1000 hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 75% of Journeyman's rate

Supplemental Rate Per Hour: \$50.43

**Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - Fourth 1000 hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 90% of Journeyman's rate

Supplemental Rate Per Hour: \$50.43

(Local #731)

---

---

## **MARBLE MECHANICS**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

### **Cutters & Setters - First 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 40% of Journeyman's rate

NO BENEFITS PAID DURING THE FIRST TWO MONTHS (PROBATIONARY PERIOD)

### **Cutters & Setters - Second 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 45% of Journeyman's rate

### **Cutters & Setters - Third 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 50% of Journeyman's rate

### **Cutters & Setters - Fourth 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 55% of Journeyman's rate

### **Cutters & Setters - Fifth 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 60% of Journeyman's rate

### **Cutters & Setters - Sixth 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 65% of Journeyman's rate

### **Cutters & Setters - Seventh 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 70% of Journeyman's rate

### **Cutters & Setters - Eighth 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 75% of Journeyman's rate

### **Cutters & Setters - Ninth 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Wage and Supplemental Rate Per Hour: 85% of Journeyperson's rate

**Cutters & Setters - Tenth 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 95% of Journeyperson's rate

**Polishers & Finishers - First 900 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 70% of Journeyperson's rate

NO BENEFITS PAID DURING THE FIRST TWO MONTHS (PROBATIONARY PERIOD)

**Polishers & Finishers - Second 900 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

**Polishers & Finishers - Third 900 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 90% of Journeyperson's rate

(Local #7)

---

---

**MASON TENDER**

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

**Mason Tender - First Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$20.95**

Supplemental Benefit Rate per Hour: **\$10.82**

**Mason Tender - Second Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$22.90**

Supplemental Benefit Rate per Hour: **\$10.82**

**Mason Tender - Third Year**

Effective Period: 7/1/2022 - 6/30/2023

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$24.40**  
Supplemental Benefit Rate per Hour: **\$10.82**

**Mason Tender - Fourth Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$26.90**  
Supplemental Benefit Rate per Hour: **\$10.82**

(Local #79)

---

---

**MASON TENDER (INTERIOR DEMOLITION WORKER)**  
(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

**Mason Tender (Interior Demolition) - First Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$20.70**  
Supplemental Benefit Rate per Hour: **\$10.82**

**Mason Tender (Interior Demolition) - Second Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$22.65**  
Supplemental Benefit Rate per Hour: **\$10.82**

**Mason Tender (Interior Demolition) - Third Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$24.15**  
Supplemental Benefit Rate per Hour: **\$10.82**

**Mason Tender (Interior Demolition) - Fourth Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$26.65**  
Supplemental Benefit Rate per Hour: **\$10.82**

(Local #79)

---



## **METALLIC LATHER**

**(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)**

### **Metallic Lather (First Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$22.55**

Supplemental Benefit Rate per Hour: **\$17.87**

### **Metallic Lather (Second Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$23.60**

Supplemental Benefit Rate per Hour: **\$16.87**

### **Metallic Lather (Third Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$24.60**

Supplemental Benefit Rate per Hour: **\$15.92**

### **Metallic Lather (Fourth Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$37.18**

Supplemental Benefit Rate per Hour: **\$21.82**

(Local #46)

---

## **MILLWRIGHT**

**(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)**

### **Millwright (First Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$31.24**

Supplemental Benefit Rate per Hour: **\$35.94**

### **Millwright (Second Year)**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$36.69**  
Supplemental Benefit Rate per Hour: **\$39.64**

**Millwright (Third Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$42.14**  
Supplemental Benefit Rate per Hour: **\$43.99**

**Millwright (Fourth Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$53.04**  
Supplemental Benefit Rate per Hour: **\$50.75**

(Local #740)

---

---

**PAINTER**

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

**Painter - Brush & Roller - First Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$17.20**  
Supplemental Benefit Rate per Hour: **\$17.42**

**Painter - Brush & Roller - Second Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$21.50**  
Supplemental Benefit Rate per Hour: **\$22.41**

**Painter - Brush & Roller - Third Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$25.80**  
Supplemental Benefit Rate per Hour: **\$26.46**

**Painter - Brush & Roller - Fourth Year**

Effective Period: 7/1/2022 - 6/30/2023

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$34.40**

Supplemental Benefit Rate per Hour: **\$34.15**

(District Council of Painters)

---

---

**PAINTER - LINE STRIPING (ROADWAY)**  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

**Painter - Line Striping (Roadway) - First Year (Minimum 1000 hours)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$30.36**

Supplemental Benefit Rate per Hour: **\$15.27**

**Painter - Line Striping (Roadway) - Second Year (Minimum 1000 hours)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$32.00**

Supplemental Benefit Rate per Hour: **\$15.27**

(Local #1010)

---

---

**PAINTER - METAL POLISHER**  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

**Metal Polisher (First Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$16.00**

Supplemental Benefit Rate per Hour: **\$7.96**

New Construction - Wage Rate Per Hour: **\$16.39**

Scaffold Over 34 Feet - Wage Rate Per Hour: **\$18.50**

**Metal Polisher (Second Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$17.00**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: **\$7.96**  
New Construction - Wage Rate Per Hour: **\$17.44**  
Scaffold Over 34 Feet - Wage Rate Per Hour: **\$19.50**

**Metal Polisher (Third Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$18.00**  
Supplemental Benefit Rate per Hour: **\$7.96**  
New Construction - Wage Rate Per Hour: **\$18.54**  
Scaffold Over 34 Feet - Wage Rate Per Hour: **\$20.50**

(Local 8A-28)

---

---

**PAINTER - STRUCTURAL STEEL**  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

**Painters - Structural Steel (First Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage and Supplemental Rate Per Hour: 40% of Journeyman's rate

**Painters - Structural Steel (Second Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage and Supplemental Rate Per Hour: 60% of Journeyman's rate

**Painters - Structural Steel (Third Year)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage and Supplemental Rate Per Hour: 80% of Journeyman's rate

(Local #806)

---

---

**PAVER AND ROADBUILDER**  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

**Paver and Roadbuilder - First Year (Minimum 1000 hours)**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$29.86**

Supplemental Benefit Rate per Hour: **\$24.60**

**Paver and Roadbuilder - Second Year (Minimum 1000 hours)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$32.00**

Supplemental Benefit Rate per Hour: **\$24.60**

(Local #1010)

---

---

**PLASTERER**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

(Each Term is 800 Hours.)

**Plasterer - First Term**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 55% of Journeyman's rate

Supplemental Rate Per Hour: **\$17.48**

**Plasterer - Second Term**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 60% of Journeyman's rate

Supplemental Rate Per Hour: **\$18.63**

**Plasterer - Third Term**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 70% of Journeyman's rate

Supplemental Rate Per Hour: **\$20.93**

**Plasterer - Fourth Term**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 75% of Journeyman's rate

Supplemental Rate Per Hour: **\$22.10**

(Local #262)

---

## **PLASTERER - TENDER**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

### **Plasterer Tender - First Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$21.45**

Supplemental Benefit Rate per Hour: **\$10.32**

### **Plasterer Tender - Second Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$23.40**

Supplemental Benefit Rate per Hour: **\$10.32**

### **Plasterer Tender - Third Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$24.90**

Supplemental Benefit Rate per Hour: **\$10.32**

### **Plasterer Tender - Fourth Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$27.40**

Supplemental Benefit Rate per Hour: **\$10.32**

(Local #79)

---

---

## **PLUMBER**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

### **Plumber - First Year: 1st Six Months**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$16.78**

Supplemental Benefit Rate per Hour: **\$5.43**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

**Plumber - First Year: 2nd Six Months**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$19.78**

Supplemental Benefit Rate per Hour: **\$6.43**

**Plumber - Second Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$28.99**

Supplemental Benefit Rate per Hour: **\$21.95**

**Plumber - Third Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$31.09**

Supplemental Benefit Rate per Hour: **\$21.95**

**Plumber - Fourth Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$33.94**

Supplemental Benefit Rate per Hour: **\$21.95**

**Plumber - Fifth Year: 1st Six Months**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$35.34**

Supplemental Benefit Rate per Hour: **\$21.95**

**Plumber - Fifth Year: 2nd Six Months**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$47.41**

Supplemental Benefit Rate per Hour: **\$21.95**

(Plumbers Local #1)

---

---

**POINTER, WATERPROOFER, CAULKER, SANDBLASTER,  
STEAMBLASTER**

**(Exterior Building Renovation)**

**(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

**Pointer, Waterproofer, Caulker, Sandblaster, Steamblaster - First Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$29.86**

Supplemental Benefit Rate per Hour: **\$15.00**

**Pointer, Waterproofer, Caulker, Sandblaster, Steamblaster - Second Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$33.74**

Supplemental Benefit Rate per Hour: **\$20.05**

**Pointer, Waterproofer, Caulker, Sandblaster, Steamblaster - Third Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$39.02**

Supplemental Benefit Rate per Hour: **\$23.80**

**Pointer, Waterproofer, Caulker, Sandblaster, Steamblaster - Fourth Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$47.05**

Supplemental Benefit Rate per Hour: **\$24.80**

(Bricklayer District Council)

---

---

**ROOFER**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 2)

**Roofer - First Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 35% of Journeyman's rate

Supplemental Benefit Rate Per Hour: **\$3.82**

**Roofer - Second Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 50% of Journeyman's rate

Supplemental Benefit Rate Per Hour: **\$18.92**

**Roofer - Third Year**



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 60% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: \$22.64

**Roofer - Fourth Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 75% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: \$28.24

(Local #8)

---

---

**SHEET METAL WORKER**  
(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

**Sheet Metal Worker (0-6 Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 25% of Journeyperson's rate  
Supplemental Rate Per Hour: \$6.84

**Sheet Metal Worker (7-18 Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 35% of Journeyperson's rate  
Supplemental Rate Per Hour: \$20.20

**Sheet Metal Worker (19-30 Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 45% of Journeyperson's rate  
Supplemental Rate Per Hour: \$27.48

**Sheet Metal Worker (31-36 Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 55% of Journeyperson's rate  
Supplemental Rate Per Hour: \$32.52

**Sheet Metal Worker (37-42 Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 55% of Journeyperson's rate  
Supplemental Rate Per Hour: \$32.52

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

**Sheet Metal Worker (43-48 Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 70% of Journeyperson's rate  
Supplemental Rate Per Hour: \$40.08

**Sheet Metal Worker (49-54 Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 70% of Journeyperson's rate  
Supplemental Rate Per Hour: \$40.08

**Sheet Metal Worker (55-60 Months)**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 80% of Journeyperson's rate  
Supplemental Rate Per Hour: \$45.12

(Local #28)

---

---

**SIGN ERECTOR**

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

**Sign Erector - First Year: 1st Six Months**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 35% of Journeyperson's rate  
Supplemental Rate Per Hour: \$17.09

**Sign Erector - First Year: 2nd Six Months**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 40% of Journeyperson's rate  
Supplemental Rate Per Hour: \$19.39

**Sign Erector - Second Year: 1st Six Months**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 45% of Journeyperson's rate  
Supplemental Rate Per Hour: \$21.70

**Sign Erector - Second Year: 2nd Six Months**

Effective Period: 7/1/2022 - 6/30/2023

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Wage Rate Per Hour: 50% of Journeyperson's rate  
Supplemental Rate Per Hour: \$24.02

**Sign Erector - Third Year: 1st Six Months**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 55% of Journeyperson's rate  
Supplemental Rate Per Hour: \$32.50

**Sign Erector - Third Year: 2nd Six Months**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 60% of Journeyperson's rate  
Supplemental Rate Per Hour: \$35.35

**Sign Erector - Fourth Year: 1st Six Months**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 65% of Journeyperson's rate  
Supplemental Rate Per Hour: \$39.00

**Sign Erector - Fourth Year: 2nd Six Months**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 70% of Journeyperson's rate  
Supplemental Rate Per Hour: \$41.95

**Sign Erector - Fifth Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 75% of Journeyperson's rate  
Supplemental Rate Per Hour: \$44.89

**Sign Erector - Sixth Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 80% of Journeyperson's rate  
Supplemental Rate Per Hour: \$47.80

(Local #137)

---

---

**STEAMFITTER**

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

**Steamfitter - First Year**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate and Supplemental Per Hour: 40% of Journeyperson's rate

**Steamfitter - Second Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate and Supplemental Rate Per Hour: 50% of Journeyperson's rate.

**Steamfitter - Third Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate and Supplemental Rate per Hour: 60% of Journeyperson's rate.

**Steamfitter - Fourth Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate and Supplemental Rate Per Hour: 70% of Journeyperson's rate.

**Steamfitter - Fifth Year**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate and Supplemental Rate Per Hour: 80% of Journeyperson's rate.

(Local #638)

---

---

**STEAMFITTER - REFRIGERATION & AIR CONDITIONER  
(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)**

**Refrigeration & Air Conditioner (First Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$21.23**

Supplemental Benefit Rate per Hour: **\$13.29**

**Refrigeration & Air Conditioner (Second Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$25.63**

Supplemental Benefit Rate per Hour: **\$14.57**

**Refrigeration & Air Conditioner (Third Year)**

Effective Period: 7/1/2022 - 6/30/2023

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$29.85**

Supplemental Benefit Rate per Hour: **\$15.91**

**Refrigeration & Air Conditioner (Fourth Year)**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate per Hour: **\$36.05**

Supplemental Benefit Rate per Hour: **\$17.72**

(Local #638-B)

---

---

**STONE MASON - SETTER**

(Ratio Apprentice of Journeyman: 1 to 1, 1 to 2)

**Stone Mason - Setters - First 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 50% of Journeyman's rate

**Stone Mason - Setters - Second 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 60% of Journeyman's rate

Supplemental Rate Per Hour: 50% of Journeyman's rate

**Stone Mason - Setters - Third 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 70% of Journeyman's rate

Supplemental Rate Per Hour: 50% of Journeyman's rate

**Stone Mason - Setters - Fourth 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 80% of Journeyman's rate

Supplemental Rate Per Hour: 50% of Journeyman's rate

**Stone Mason - Setters - Fifth 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage Rate Per Hour: 90% of Journeyman's rate

Supplemental Rate Per Hour: 50% of Journeyman's rate

**Stone Mason - Setters - Sixth 750 Hours**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: 100% of Journeyman's rate  
Supplemental Rate Per Hour: 50% of Journeyman's rate

(Bricklayers District Council)

---

---

**TAPER**  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

**Drywall Taper - First Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$20.97**  
Supplemental Benefit Rate per Hour: **\$14.25**

**Drywall Taper - Second Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$24.24**  
Supplemental Benefit Rate per Hour: **\$21.26**

**Drywall Taper - Third Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$29.08**  
Supplemental Benefit Rate per Hour: **\$23.01**

**Drywall Taper - Fourth Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate per Hour: **\$38.78**  
Supplemental Benefit Rate per Hour: **\$26.51**

(Local #1974)

---

---

**TILE LAYER - SETTER**  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

**Tile Layer - Setter - First 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 35% of Journeyperson's rate

**Tile Layer - Setter - Second 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour 40% of Journeyperson's rate

**Tile Layer - Setter - Third 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

**Tile Layer - Setter - Fourth 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 55% of Journeyperson's rate

**Tile Layer - Setter - Fifth 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

**Tile Layer - Setter - Sixth 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 65% of Journeyperson's rate

**Tile Layer - Setter - Seventh 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 70% of Journeyperson's rate

**Tile Layer - Setter - Eighth 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 75% of Journeyperson's rate

**Tile Layer - Setter - Ninth 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

**Tile Layer - Setter - Tenth 750 Hours**

Effective Period: 7/1/2022 - 6/30/2023

Wage and Supplemental Rate Per Hour: 90% of Journeyperson's rate

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

(Local #7)

---

---

## **TIMBERPERSON**

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)

### **Timberperson - First Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: \$22.42  
Supplemental Rate Per Hour: \$36.22

### **Timberperson - Second Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: \$27.53  
Supplemental Rate Per Hour: \$36.22

### **Timberperson - Third Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: \$35.18  
Supplemental Rate Per Hour: \$36.22

### **Timberperson - Fourth Year**

Effective Period: 7/1/2022 - 6/30/2023  
Wage Rate Per Hour: \$42.84  
Supplemental Rate Per Hour: \$36.22

(Local #1536)





Leonard A. Mancusi  
SENIOR ASSISTANT COMPTROLLER

THE CITY OF NEW YORK  
OFFICE OF THE COMPTROLLER  
1 CENTRE STREET ROOM 1120  
NEW YORK, N.Y. 10007-2341

TELEPHONE: (212) 669-3622  
FAX NUMBER: (212) 669-8499

ALAN G. HEVESI  
COMPTROLLER

**MEMORANDUM**

November 6, 2000

To Agency Chief Contracting Officers

From: Leonard A. Mancusi

Re: Security at Construction Sites

Prior to the enactment of Administrative Code §6-109, security guards on construction sites were not subject to prevailing wages. Security guards under the New York State labor law are covered under §230 which provides that prevailing wages are to be paid for security guards in existing buildings. §6-109 of the Administrative Code which was enacted in 1996 closed this loophole by including all security guards working pursuant to a city contract as a prevailing wage trade.

Although some construction contract boilerplate language has been amended to include §6-109, sub-contractors performing security services have advised us that they were not aware of this provision and, since traditionally, security guards were not a covered trade on construction sites, and they were not advised by a prime contractor that they would have to pay prevailing wages, they have not been doing so.

To avoid the possibility of issuing stop payments against prime contractors for the failure of their security service sub-contractors to pay

*prevailing wages, we suggest that you write to all your existing security guard sub-contractors and their primes and in the future, upon approval of a security guard sub-contractor, advise the contractors of their obligation to pay prevailing wages under §6-109 of the Administrative Code.*

*As always, your cooperation is appreciated.*

**LAM:er**  
**ACCO.SECURITY AT SITES**



**Department of  
Design and  
Construction**

---

**DIVISION OF INFRASTRUCTURE  
BUREAU OF DESIGN**

**VOLUME 2 OF 3**

---

*Contractor*

---

Dated \_\_\_\_\_, 20\_\_

---

**APPROVED AS TO FORM  
CERTIFIED AS TO LEGAL AUTHORITY**

---

*Acting Corporation Counsel*

---

Dated \_\_\_\_\_, 20\_\_



**Department of  
Design and  
Construction**

**DIVISION OF INFRASTRUCTURE  
BUREAU OF DESIGN**

**VOLUME 2 OF 3**

**PROJECT ID: HWCSCHPKR**

**SAFE ROUTES TO SCHOOLS  
IN THE VICINITY OF THE FOLLOWING SCHOOLS**

**M.S. 598**

**OUR LADY OF PERPETUAL HELP (OLPH)**

**P.S. 169**

**P.S. 156 / P.S. 189 / P.S. 327**

**P.S. 380**

**P.S. 41**

**INCLUDING CURB EXTENSIONS, BUS PADS, PEDESTRIAN RAMPS, SEWER, WATER  
MAIN, STREET LIGHTING, AND TRAFFIC WORK**

**TOGETHER WITH ALL WORK INCIDENTAL THERETO**

**BOROUGH OF BROOKLYN AND STATEN ISLAND  
CITY OF NEW YORK**

\_\_\_\_\_  
*Contractor*

Dated \_\_\_\_\_, 20\_\_

**APPROVED AS TO FORM  
CERTIFIED AS TO LEGAL AUTHORITY**

\_\_\_\_\_  
*Acting Corporation Counsel*

Dated \_\_\_\_\_, 20\_\_



**Department of  
Design and  
Construction**

**DIVISION OF INFRASTRUCTURE  
BUREAU OF DESIGN**

**VOLUME 2 OF 3**

PROJECT ID: HWCSCHPKR

SAFE ROUTES TO SCHOOLS  
IN THE VICINITY OF THE FOLLOWING SCHOOLS  
M.S. 598  
OUR LADY OF PERPETUAL HELP (OLPH)  
P.S. 169  
P.S. 156 / P.S. 189 / P.S. 327  
P.S. 380  
P.S. 41

INCLUDING CURB EXTENSIONS, BUS PADS, PEDESTRIAN RAMPS, SEWER, WATER  
MAIN, STREET LIGHTING, AND TRAFFIC WORK

TOGETHER WITH ALL WORK INCIDENTAL THERETO

BOROUGH OF BROOKLYN AND STATEN ISLAND  
CITY OF NEW YORK

\_\_\_\_\_  
*Contractor*

Dated \_\_\_\_\_, 20\_\_

APPROVED AS TO FORM  
CERTIFIED AS TO LEGAL AUTHORITY

  
\_\_\_\_\_  
*Acting Corporation Counsel*

Dated February 01, 2023





**Department of  
Design and  
Construction**

THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND  
CONSTRUCTION  
DIVISION OF INFRASTRUCTURE  
30-30 THOMSON AVENUE  
LONG ISLAND CITY, NY, 11101  
TEL: 718.391.1000  
WEB: [www.nyc.gov/ddc](http://www.nyc.gov/ddc)

*TO BE FILLED IN BY THE BIDDER:*

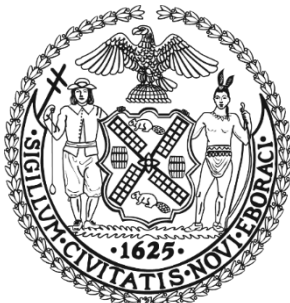
BIDDER'S NAME:

\_\_\_\_\_  
\_\_\_\_\_

BID SECURITY (CIRCLE ONE):  
BID BOND / CERTIFIED CHECK

NUMBER OF ADDENDUMS RECEIVED  
AND ATTACHED TO BID:  
\_\_\_\_\_ ADDENDUMS

*DDC CLIENT AGENCY:*  
**DEPARTMENT OF TRANSPORTATION**  
*PREPARED BY:*  
**AECOM USA INC.**  
*DATE PREPARED:*  
**DECEMBER 1, 2022**



# VOLUME 3 OF 3

FOR FURNISHING ALL LABOR AND MATERIALS  
NECESSARY AND REQUIRED FOR:

**PROJECT ID: HWCSCHPKR**

**SCHEDULE A  
SPECIFICATIONS AND  
REVISIONS TO STANDARD  
SPECIFICATIONS**

**SAFE ROUTES TO SCHOOLS  
IN THE VICINITY OF THE FOLLOWING  
SCHOOLS**

**M.S. 598**

**OUR LADY OF PERPETUAL HELP (OLPH)**

**P.S. 169**

**P.S. 156 / P.S. 189 / P.S. 327**

**P.S. 380**

**P.S. 41**

**INCLUDING CURB EXTENSIONS, BUS PADS,  
PEDESTRIAN RAMPS, SEWER, WATER MAIN,  
STREET LIGHTING, AND TRAFFIC WORK**

TOGETHER WITH ALL WORK INCIDENTAL  
THERE TO

**BOROUGH OF BROOKLYN AND STATEN ISLAND  
CITY OF NEW YORK**

**VOLUME 3 OF 3**  
**TABLE OF CONTENTS**

<b><u>SECTION</u></b>	<b><u>DESCRIPTION</u></b>
SPECIFICATIONS AND STANDARDS OF NEW YORK CITY	
SCHEDULE A	GENERAL CONDITIONS TO CONSTRUCTION CONTRACT
R – PAGES	REVISIONS TO STANDARD SPECIFICATIONS
I - PAGES	NEW SECTIONS
S – PAGES	SPECIAL PROVISIONS
FTA-PAGES	FEDERAL TRANSIT ADMINISTRATION PROJECTS
MM-PAGES	MULTI-MODAL CAPITAL PROJECT ATTACHMENTS
SW - PAGES	SEWER AND WATER MAIN SPECIFICATIONS
HAZ - PAGES	SUPPLEMENTAL DOCUMENTATION FOR USE WITH SPECIFICATIONS FOR HANDLING, TRANSPORTATION AND DISPOSAL OF NONHAZARDOUS AND POTENTIALLY HAZARDOUS CONTAMINATED MATERIALS
JB – PAGES	JOINT BID SECTION

## SPECIFICATIONS AND STANDARDS OF NEW YORK CITY

The following specifications and standards are incorporated into the Contract Documents by reference as though fully set forth herein.

1. Standard Specifications and Drawings for New York City Department of Transportation (NYCDOT) are available:  
Online at: <http://www1.nyc.gov/site/ddc/resources/publications.page>
  - a. NYC DOT Standard Highway Specifications
  - b. NYC DOT Standard Details of ConstructionOnline at: <https://www1.nyc.gov/html/dot/html/about/dotlibrary.shtml#spec>
  - c. NYC DOT Division of Street Lighting Standard Drawings
  - d. NYC DOT Standard Specifications for Traffic Signals
  - e. NYC DOT Standard Drawings for Traffic SignalsFor purchase between 9:00 A.M. and 3:00 P.M. Bid Window, at 55 Water St., Ground Floor, NYC, N.Y. 10041. Tel. (212) 839-9435.
  - f. NYC DOT Division of Street Lighting Specifications
2. The 2010 Americans with Disabilities Act (ADA) Standards; available online at: <https://www.ada.gov/regs2010/2010ADASTandards/2010ADASTandards.htm>
3. The 2013 Public Rights-of-Way Accessibility Guidelines (PROWAG); available online at: <https://www.access-board.gov/files/prowag/PROW-SUP-SNPRM-2013.pdf>
4. Standard Specifications and Drawings for New York City Department of Environmental Protection (NYCDEP) are available online at: <http://www1.nyc.gov/site/ddc/resources/publications.page>
  - a. NYC DEP Standard Sewer and Water Main Specifications, August 8, 2022
  - b. NYC DEP Instructions to Architect/Engineers Specifications for Concrete, January 1992
  - c. NYC DEP General Specification 11-Concrete, November 1991
  - d. NYC DEP Sewer Design Standards, August 2018
  - e. NYC DEP Water Main Standard Drawings, December 2020
  - f. Specifications for Trunk Main Work, July 2014
  - g. Standard Green Infrastructure Specifications September 1,2021
5. Standard Design and Guidelines for Green Infrastructure Practices, latest version, available only online at: <https://www1.nyc.gov/assets/dep/downloads/pdf/water/stormwater/green-infrastructure/green-infrastructure-standard-designs.pdf>
6. Standard Specifications and Drawings for New York City Fire Department Communications facilities of New York City are available online at <https://www1.nyc.gov/assets/fdny/downloads/pdf/about/fdny-plant-operations-standard-drawings-specifications.pdf> or for pick up from the FDNY Facilities Management Bureau, Plant Operations Engineering, 316 Sgt. Beers Avenue Cluster 1 Box 16, Fort Totten, N.Y. 11359. Contact: Mr. Ed Durkin, Tel. (718) 281-3933
7. Tree Planting Standards of the City of New York Parks & Recreation are available at the following Department of Parks & Recreation website: <http://www.nycgovparks.org/pagefiles/53/Tree-Planting-Standards.pdf>
8. Standards and Specifications for Utility Joint Bid work are available online at <http://www1.nyc.gov/site/ddc/resources/publications.page>
  - a. CET SPECIFICATIONS AND SKETCHES, dated November 2010
  - b. JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR MANHATTAN, Issued August 1, 2005



**SCHEDULE A****GENERAL CONDITIONS TO CONSTRUCTION CONTRACT  
(INCLUDING GENERAL CONDITIONS RELATED TO ARTICLE 22 – INSURANCE)****PART I. REQUIRED INFORMATION**

<p align="center"><b><u>INFORMATION FOR BIDDERS SECTION 26 BID SECURITY</u></b></p> <p>The <b>Contractor</b> shall obtain a bid security in the amount indicated to the right.</p>	<p>Required provided the TOTAL BID PRICE set forth on the Bid Form is \$1,000,000. or more.</p> <p>Certified Check: 10% of Bid Amount or Bond: 10% of Bid Amount</p>
<p align="center"><b><u>INFORMATION FOR BIDDERS SECTION 26 PERFORMANCE AND PAYMENT BONDS</u></b></p> <p>The <b>Contractor</b> shall obtain performance and payment bonds in the amount indicated to the right.</p>	<p>Required for contracts in the amount of \$1,000,000 or more.</p> <p>Performance Security and Payment Security shall each be in an amount equal to 100% of the Contract Price.</p>
<p align="center"><b><u>INFORMATION FOR BIDDERS DEPARTMENT OF DESIGN AND CONSTRUCTION SAFETY REQUIREMENTS</u></b></p> <p>The <b>Contractor</b> shall provide the safety personnel as indicated to the right.</p>	<ul style="list-style-type: none"> <li>■ Project Safety Representative</li> <li>■ Dedicated, full-time Project Safety Manager</li> </ul>
<p align="center"><b><u>CONTRACT ARTICLE 14 DATE FOR SUBSTANTIAL COMPLETION</u></b></p> <p>The <b>Contractor</b> shall substantially complete the <b>Work</b> in the number of calendar days indicated to the right.</p>	<p>See Page SA-4</p>
<p align="center"><b><u>CONTRACT ARTICLE 15 LIQUIDATED DAMAGES</u></b></p> <p>If the <b>Contractor</b> fails to substantially complete the <b>Work</b> within the time fixed for substantial completion plus authorized time extensions or if the <b>Contractor</b>, in the sole determination of the <b>Commissioner</b>, has abandoned the <b>Work</b>, the <b>Contractor</b> shall pay to the <b>City</b> the amount indicated to the right.</p>	<p>\$<u>4,000.00</u> for each consecutive calendar day over substantial completion time</p>
<p align="center"><b><u>CONTRACT ARTICLE 17. SUB-CRONTACTOR</u></b></p> <p>The <b>Contractor</b> shall not make subcontracts totaling an amount more than the percentage of the total <b>Contract</b> price indicated to the right.</p>	<p>Not to exceed <u>49</u> % of the <b>Contract</b> price</p>

<p align="center"><b><u>CONTRACT ARTICLE 21.</u></b> <b><u>RETAINAGE</u></b></p> <p>The <b>Commissioner</b> shall deduct and retain until the substantial completion of the <b>Work</b> the percent value of the <b>Work</b> indicated to the right.</p>	<p align="center"><u>0</u> % of the value of the <b>Work</b></p>
<p align="center"><b><u>CONTRACT ARTICLE 22.</u></b> <b><u>(Per Directions Below)</u></b></p>	<p>See pages SA-5 through SA-12</p>
<p align="center"><b><u>CONTRACT ARTICLE 24.</u></b> <b><u>DEPOSIT GUARANTEE</u></b></p> <p>As security for the faithful performance of its obligations, the <b>Contractor</b>, upon filing its requisition for payment on <b>Substantial Completion</b>, shall deposit with the <b>Commissioner</b> a sum equal to the percentage of the <b>Contract</b> price indicated to the right.</p>	<p>1% of <b>Contract</b> price</p>
<p align="center"><b><u>CONTRACT ARTICLE 24.</u></b> <b><u>PERIOD OF GUARANTEE</u></b></p> <p>Periods of maintenance and guarantee other than the period set forth in Article 24.1 are indicated to the right.</p>	<p>Eighteen (18) Months, excluding Trees and Plants</p> <p>Twenty-four (24) Months for Trees and Plants</p>
<p align="center"><b><u>CONTRACT ARTICLE 75.</u></b> <b><u>COMPENSATION TO BE PAID TO CONTRACTOR</u></b></p> <p>The <b>City</b> shall pay and the <b>Contractor</b> shall accept in full consideration for the performance of the <b>Contract</b>, subject to additions and deductions as provided herein, the total sum <b>shown in the column to the right</b>, being the amount at which the <b>Contract</b> was awarded to the <b>Contractor</b> at a public letting thereof, based upon the <b>Contractor's</b> bid for the <b>Contract</b>.</p>	<p>Amount for which the <b>Contract</b> was Awarded:</p> <p>_____</p> <p>_____ Dollars</p> <p>(\$ _____)</p>
<p align="center"><b><u>CONTRACT ARTICLE 79.</u></b> <b><u>PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT</u></b></p>	<p>A) FTA DBE utilization goal of thirteen percent (13%) has been established for this Contract</p>

<p style="text-align: center;"><b><u>STANDARD HIGHWAY SPECIFICATIONS</u></b> <b><u>SECTION 6.40</u></b> <b><u>LIQUIDATED DAMAGES FOR ENGINEER'S FIELD OFFICE</u></b></p> <p>If the Contractor fails to satisfactorily provide the field office and all equipment specified in <b>Section 6.40 - Engineer's Field Office</b>, and/or if a cited deficiency exceed seventy two (72) hours after notice from the Engineer in writing, or is permitted to recur, liquidated damages will be assessed in the amount specified herein for each subsequent calendar day or part thereof that a cited deficiency resulting in nonpayment, as described in <b>Section 6.40.5</b>, is not corrected.</p>	<p>\$ <u>500.00</u> for each calendar day of deficiency</p>
<p style="text-align: center;"><b><u>STANDARD HIGHWAY SPECIFICATIONS</u></b> <b><u>SECTION 6.70</u></b> <b><u>LIQUIDATED DAMAGES FOR MAINTENANCE AND PROTECTION OF TRAFFIC</u></b></p>	<p>\$ <u>250.00</u> for each instance of failure to comply with the Maintenance and Protection of Traffic requirements within three (3) hours after written notice from the Engineer.</p> <p>\$ <u>500.00</u> for each and every hour of failing to open the entire width of roadway to traffic the morning following a night/weekend work operation.</p>
<p style="text-align: center;"><b><u>STANDARD HIGHWAY SPECIFICATIONS</u></b> <b><u>SECTION 7.13</u></b> <b><u>LIQUIDATED DAMAGES FOR MAINTENANCE OF SITE</u></b></p> <p>If the Contractor fails to comply, within three (3) consecutive hours after written notice from the Engineer, with the requirements of <b>Section 7.13 - Maintenance of Site</b>, the Contractor shall pay to the City of New York, until such notice has been complied with or rescinded, the sum specified above per calendar day, for each instance of such failure, as liquidated damages and not as a penalty, for such default.</p>	<p>\$ <u>800.00</u> for each calendar day, for each occurrence</p>

**Date for Substantial Completion (Reference: Article 14)**

The Contractor shall substantially complete the Work within the Final Contract Duration determined in accordance with the terms and conditions set forth herein.

The Base Contract Duration for this project is 730 consecutive calendar days (“ccds”).

The Final Contract Duration shall be the Base Contract Duration when a check mark is indicated before the word “NO”, below, and shall be the Base Contract Duration adjusted by the table set forth below when a check mark is indicated before the word “YES”, below.

YES                       NO

When the Final Contract Duration is indicated above to be adjusted by the table below, the table may increase the Base Contract Duration depending on the date of scheduled substantial completion to avoid a scheduled substantial completion of the Work during the winter months. The date of scheduled substantial completion shall be determined by adding the Base Contract Duration to the date specified to commence work in the written Notice to Proceed. The Final Contract Duration shall then be determined as follows:

- (a) Find the row that corresponds to the month of substantial completion based on the Base Contract Duration added to the date specified to commence work in the written Notice to Proceed.
- (b) Find the number of days to be added to the Base Contract Duration in the table below. Add that number of days to the Base Contract Duration to obtain the Final Contract Duration in consecutive calendar days.

<b>Month of Substantial Completion based on the Base Contract Duration</b>	<b>Number of Days of adjustment</b>
<b>January</b>	150
<b>February</b>	120
<b>March</b>	90
<b>April</b>	60
<b>May</b>	30
<b>June</b>	0
<b>July</b>	0
<b>August</b>	0
<b>September</b>	0
<b>October</b>	0
<b>November –December 15</b>	0
<b>December 16 – December 31</b>	180

In addition, should Item No. 9.30, “Storm Water Pollution Prevention,” exist in the Contract and the required Storm Water Pollution Prevention Plan (SWPPP) does not conform to NYSDEC’s recommended Standards, an additional 60 ccd shall be added to the above Final Contract Duration.

**(GENERAL CONDITIONS RELATING TO ARTICLE 22 – INSURANCE)**

**PART II. TYPES OF INSURANCE, MINIMUM LIMITS AND SPECIAL CONDITIONS**

**Note:** All certificate(s) of insurance submitted pursuant to Contract Article 22.3. 3 must be accompanied by a Certification by Broker consistent with Part III below and include the following information:

- For each insurance policy, the name and NAIC number of issuing company, number of policy, and effective dates;
- Policy limits consistent with the requirements listed below;
- Additional insureds or loss payees consistent with the requirements listed below; and
- The number assigned to the Contract by the City (in the “Description of Operations” field).

Insurance indicated by a blackened box (■) or by X in a □ to left will be required under this contract

Types of Insurance (per Article 22 in its entirety, including listed paragraph)	Minimum Limits and Special Conditions
<p>■ Commercial General Liability Art. 22.1.1</p>	<p>The minimum limits shall be \$ <u>3,000,000</u> per occurrence and \$ <u>6,000,000</u> per project aggregate applicable to this <b>Contract</b>.</p> <p>■ Additional Insureds:</p> <ol style="list-style-type: none"> <li>1. City of New York, including its officials and employees, with coverage at least as broad as ISO Form CG 20 10 and CG 20 37,</li> <li>2. All person(s) or organization(s), if any, that Article 22.1.1(b) of the <b>Contract</b> requires to be named as Additional Insured(s), with coverage at least as broad as ISO Form CG 20 26. The Additional Insured endorsement shall either specify the entity’s name, if known, or the entity’s title (e.g., Project Manager),</li> <li>3. The New York City Transit Authority (NYCTA), Manhattan and Bronx Surface Transit Operation Authority (MaBSTOA), Staten Island Rapid Transit Operation Authority (SIRTOA), Metropolitan Transportation Authority (MTA), its subsidiaries and affiliated companies. The Contractor shall furnish two (2) certificates of insurance to and the policy shall be endorsed to provide thirty (30) days advance notice to the Director, Risk Management, MTA Risk and Insurance Management Standards, Enforcement and Claims Unit, 2 Broadway, 21st Floor, New York, NY 10004, of any material change and/or cancellation.</li> <li>4. New York State, including its officials and employees,</li> <li>5. Federal Transit Administration (FTA), including its officials and employees.</li> <li>6. ConEdison</li> <li>7. Verizon</li> <li>8. National Grid</li> <li>9. Altice</li> </ol>

<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Workers' Compensation Art. 22.1.2</li> <li><input checked="" type="checkbox"/> Disability Benefits Insurance Art. 22.1.2</li> <li><input checked="" type="checkbox"/> Employers' Liability Art. 22.1.2</li> <li><input type="checkbox"/> Jones Act Art. 22.1.3</li> <li><input type="checkbox"/> U.S. Longshoremen's and Harbor Workers Compensation Act Art. 22.1.3</li> </ul>	<p>Workers' Compensation, Employers' Liability, and Disability Benefits Insurance: Statutory per New York State law without regard to jurisdiction.</p> <p><b>Note:</b> The following forms are acceptable: (1) New York State Workers' Compensation Board Form No. C-105.2, (2) State Insurance Fund Form No. U-26.3, (3) New York State Workers' Compensation Board Form No. DB-120.1 and (4) Request for WC/DB Exemption Form No. CE-200. The City will not accept an ACORD form as proof of Workers' Compensation or Disability Insurance.</p> <p>Jones Act and U.S. Longshoremen's and Harbor Workers' Compensation Act: Statutory per U.S. Law.</p> <p><input type="checkbox"/> Additional Requirements:</p> <p>(1) <u>NYCTA "OUTSIDE CONTRACT" INSURANCE REQUIREMENTS: Workers' Compensation Insurance (including Employer's Liability Insurance) with limits of not less than \$2,000,000, which limit may be met by a combination of primary and excess insurance meeting the statutory limits of New York State.</u></p> <p>(2) <u>Two (2) certificates of such insurance shall be furnished to the Director, Risk Management, MTA Risk and Insurance Management Standards, Enforcement and Claims Unit, 2 Broadway, 21<sup>st</sup> Floor, New York, NY 10004.</u></p>
<ul style="list-style-type: none"> <li><input type="checkbox"/> Builders' Risk Art. 22.1.4</li> </ul>	<p><input type="checkbox"/> Required: 100% of total bid amount</p> <p><input type="checkbox"/> Required: 100 % of total bid amount for Item(s):</p> <p><b>Contractor</b> the Named Insured; the <b>City</b> both an Additional Insured and one of the loss payees as its interests may appear.</p> <p>If the <b>Work</b> does not involve construction of a new building or gut renovation work, the <b>Contractor</b> may provide an installation floater in lieu of Builders Risk insurance.</p> <p>Note: Builders Risk Insurance may terminate upon <b>Substantial Completion</b> of the <b>Work</b> in its entirety.</p>

<p><input checked="" type="checkbox"/> Commercial Auto Liability      Art. 22.1.5</p>	<p>\$ <u>2,000,000</u> per accident combined single limit</p> <p>If vehicles are used for transporting hazardous materials, the <b>Contractor</b> shall provide pollution liability broadened coverage for covered vehicles (endorsement CA 99 48) as well as proof of MCS 90</p> <p><input checked="" type="checkbox"/> Additional Insureds:</p> <p>(1) City of New York, including its officials and employees, and</p> <p>(2) The New York City Transit Authority (NYCTA), Manhattan and Bronx Surface Transit Operation Authority (MaBSTOA), Staten Island Rapid Transit Operation Authority (SIRTOA), Metropolitan Transportation Authority (MTA), its subsidiaries and affiliated companies.</p>
<p><input type="checkbox"/> Contractors Pollution Liability      Art. 22.1.6</p>	<p>\$ <u>5,000,000</u> per occurrence</p> <p>\$ <u>5,000,000</u> aggregate</p> <p>Additional Insureds:</p> <p>1. City of New York, including its officials and employees, and</p> <p>2. _____</p> <p>3. _____</p>
<p><input type="checkbox"/> Marine Protection and Indemnity Art. 22.1.7(a)</p>	<p>\$ _____ each occurrence</p> <p>\$ _____ aggregate</p> <p>Additional Insureds:</p> <p>1. City of New York, including its officials and employees, and</p> <p>2. _____</p> <p>3. _____</p>

<input type="checkbox"/> Hull and Machinery Insurance Art. 22.1.7(b)	<p>\$ _____ per occurrence</p> <p>\$ _____ aggregate</p> <p>Additional Insureds:</p> <ol style="list-style-type: none"> <li>1. City of New York, including its officials and employees, and</li> <li>2. _____</li> <li>3. _____</li> </ol>
<input type="checkbox"/> Marine Pollution Liability Art. 22.1.7(c)	<p>\$ <u>1,000,000</u> per occurrence</p> <p>\$ <u>1,000,000</u> aggregate</p> <p>Additional Insureds:</p> <ol style="list-style-type: none"> <li>1. City of New York, including its officials and employees, and</li> <li>2. _____</li> <li>3. _____</li> </ol>



[OTHER]  
22.1.8

Art.

■ Railroad Protection Liability Policy

(ISO-RIMA or equivalent form) approved by Permitter covering the work to be performed at the designated site and affording protection for damages arising out of bodily injury or death, physical damage to or destruction of property, including damage to the Insured's own property and conforming to the following:

- Policy Endorsement CG 28 31 - Pollution Exclusion Amendment is required to be endorsed onto the policy when environmental-related work and/or exposures exist.
- Indicate the Name and address of the Contractor to perform the work, the Contract # and the name of the railroad property where the work is being performed and the Agency Permit.
- Evidence of Railroad Protective Liability Insurance, must be provided in the form of the Original Policy. A detailed Insurance Binder (ACORD or Manuscript Form) will be accepted pending issuance of the Original Policy, which must be provided within 30 days of the Binder Approval.

\$ 2,000,000 per occurrence

\$ 6,000,000 annual aggregate

■ Named Insureds:

1. New York City Transit Authority (NYCTA), the Manhattan and Bronx Surface Transit Operation Authority (MaBSTOA), the Staten Island Rapid Transit Operation Authority (SIRTOA), MTA Capital Construction Co., the Metropolitan Transportation Authority (MTA) including its subsidiaries and affiliates, and the City of New York (as Owner) and all other indemnified parties.

<p>[OTHER] <span style="float: right;">Art. 22.1.8</span></p> <p>■ Professional Liability</p> <p>A. The Contractor's Professional Engineer shall maintain and submit evidence of Professional Liability Insurance in the minimum amount of \$1,000,000 per claim. The policy or policies shall include an endorsement to cover the liability assumed by the Contractor under this Contract arising out of the negligent performance of professional services or caused by an error, omission or negligent act of the Contractor's Professional Engineer or anyone employed by the Contractor's Professional Engineer.</p> <p>B. Claims-made policies will be accepted for Professional Liability Insurance. All such policies shall have an extended reporting period option or automatic coverage of not less than two (2) years. If available as an option, the Contractor's Professional Engineer shall purchase extended reporting period coverage effective on cancellation or termination of such insurance unless a new policy is secured with a retroactive date, including at least the last policy year.</p>	
<p>[OTHER] <span style="float: right;">Art. 22.1.8</span></p> <p>■ Engineer's Field Office</p> <p><b>Section 6.40, Standard Highway Specifications</b></p>	<p>Fire insurance, extended coverage and vandalism, malicious mischief and burglary, and theft insurance coverage in the amount of <u>\$40,000</u></p>
<p>[OTHER] <span style="float: right;">Art. 22.1.8</span></p> <p><input type="checkbox"/> The Following Additional Insurance Must Be Provided:</p> <p><b>Umbrella/Excess Liability Insurance</b> - The Contractor shall provide Umbrella/Excess Liability Insurance in the minimum amount of \$10,000,000 per Occurrence and \$10,000,000 in Aggregate. The policy terms and condition should be at least as broad as the underlying policies. The underlying policies should comply with the insurance provision as outlined by the contract. Defense cost should be in addition to the limit of liability. The City of New York, including its officials and employees, should be included as additional insured as respects to the noted project.</p>	

**SCHEDULE A**  
**(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)**  
**(GENERAL CONDITIONS RELATING TO ARTICLE 22 – INSURANCE)**

**PART III. CERTIFICATES OF INSURANCE**

All certificates of insurance (except certificates of insurance solely evidencing Workers' Compensation Insurance, Employer's Liability Insurance, and/or Disability Benefits Insurance) must be accompanied by one of the following:

(1) the Certification by Insurance Broker or Agent on the following page setting forth the required information and signatures;

-- OR --

(2) copies of all policies as certified by an authorized representative of the issuing insurance carrier that are referenced in such certificate of insurance. If any policy is not available at the time of submission, certified binders may be submitted until such time as the policy is available, at which time a certified copy of the policy shall be submitted.



**SCHEDULE A**

**(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)**

**PART IV. ADDRESS OF COMMISSIONER**

Wherever reference is made in Article 7 or Article 22 to documents to be sent to the **Commissioner** (e.g., notices, filings, or submissions), such documents must be sent through email to [insurance@ddc.nyc.gov](mailto:insurance@ddc.nyc.gov). Hard copy documents of the above requirement are no longer required.

(NO FURTHER TEXT ON THIS PAGE)

**REVISIONS TO STANDARD SPECIFICATIONS**

---

**NOTICE**

The Specification Bulletin(s) (“SB(s)”) referenced in this Section (R-Pages) may consist of revisions to the following Standard Specifications:

- New York City Department of Transportation (“NYC DOT”) Standard Highway Specifications, dated 5/16/2022;
- New York City Department of Environmental Protection (“NYC DEP”) Standard Sewer and Water Main Specifications, dated 8/8/2022; and
- NYC DEP Specifications for Trunk Main Work, dated 7/2014.

The SB(s) modify and supersede portions of the applicable Standard Specifications. The provisions contained in this Contract’s I-Pages, S-Pages and SW-Pages may further modify the applicable Standard Specifications.

The following active SB(s) are included as part of this contract:

- *SB 22-006 – INCREMENTAL COST NEAR TRANSIT FACILITIES*

The SB(s) are available online at:

<http://www1.nyc.gov/site/ddc/resources/specification-bulletins.page>

**(NO FURTHER TEXT ON THIS PAGE)**

**I - PAGES**

**NEW SECTIONS**

---

**NOTICE**

UNLESS OTHERWISE NOTED, ALL SECTIONS, SUBSECTIONS, ARTICLES, OR SUBARTICLES AS REFERRED TO HEREIN WITHIN THESE NEW SECTION SPECIFICATIONS WILL BE THOSE OF THE NEW YORK CITY DEPARTMENT OF TRANSPORTATION'S (NYCDOT'S) CURRENT STANDARD HIGHWAY SPECIFICATIONS WITH CURRENT ADDITIONS, MODIFICATIONS AND REVISIONS TO THE STANDARD HIGHWAY SPECIFICATIONS (R-PAGES).

THE STANDARD HIGHWAY SPECIFICATIONS ARE NOT INCLUDED IN THESE I-PAGES. SEE THE NYCDOT STANDARD HIGHWAY SPECIFICATIONS BOOKS FOR STANDARD SPECIFICATIONS TEXTS.

(NO TEXT ON THIS PAGE)



**TABLE OF CONTENTS**

<b><u>SECTION</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>PAGE #</u></b>
6.40	Engineer's Field Office	I-1
6.52 FED	Uniformed Flagperson	I-9
7.07 RB30H	30" Height Removable Steel Pipe Bollard, Furnish and Install	I-12
7.13	Maintenance of Site	I-15
7.35	Pedestrian Channelizer	I-23
7.49 K	Steel Tree Pit Guard – Type K	I-25
7.70 TPR	Temporary Pedestrian Ramp	I-29
9.23	Price Adjustments	I-31

*The Section below supersedes and replaces Section 6.40 of NYC DOT**Standard Specifications dated May 16, 2022***SECTION 6.40 – Engineer’s Field Office**

**6.40.1. DESCRIPTION.** The Contractor shall provide, furnish, and maintain a fully equipped field office (Type A, B, C, CU, D, DC, or DU, as specified) for the exclusive use of and occupancy by the Department’s engineering personnel and/or Supervising Consultant (herein after called “City personnel”), and by the engineering personnel of private utilities when specified. The field office shall be at a location approved by the Engineer and shall be a commercial building, store front, or with the approval of both Office of Construction Mitigation and Coordination (OCMC) and the Community Board it may be a mobile trailer(s). If a trailer is used it shall be subject to approval by the Engineer, and all necessary permits shall be obtained by the Contractor. The Contractor may have facilities in an adjoining area separated by a lockable door, provided such facilities are in a location approved by the Engineer. The field office must be within ½ mile of the job site. Field offices located further than 1/2 mile from the job site will require approval by the Director or Assistant Commissioner for Construction.

The field office structure and occupancy thereof shall conform to the requirements of all laws, rules, regulations, and orders applicable to it.

The field office and all equipment, except as otherwise specified, may be new materials or may be used materials in good condition and satisfactory to the Engineer.

**6.40.2. MATERIALS.**

(A) **GENERAL CONSTRUCTION.** The Engineer’s Field Office shall be in an approved and weatherproof building. It shall have a minimum ceiling height of seven (7’) feet and be partitioned to provide the number of rooms required for the type of office specified. Floor space for Field Office Types C, CU, D, and DU shall be subdivided into work areas based on a floor plan provided by the City to the Contractor upon notification of space availability.

(B) **GENERAL FACILITIES.** The field office shall contain or have the following facilities incorporated:

- (a) Lighting - Electric light, non-glare type luminaries to provide a minimum illumination level of 100 ft.- candles at desk height level.
- (b) Heating and Cooling - Adequate equipment to maintain an ambient air temperature of 70° F. ±5°.
- (c) Electrical Energy Outlets
- (d) Toilet - A separate enclosed room, properly ventilated per code and complying with applicable sanitary codes shall contain a lavatory with a sink that provides running hot and cold water, flush-type toilet, mirror, electric hand dryer, and paper towel dispenser.
- (e) Potable Water - Potable water supplied from an existing system or five (5) gallon capacity water cooler of a type to be approved by the Engineer shall be provided for use by City personnel. Replacement bottles of water shall be provided by the Contractor, when required.
- (f) Signs - Store front locations shall have a window graphic sign in black and white lettering with the following inscription. Other locations shall have a wood or metal sign affixed on the outside wall of the building with the following inscription painted in black block lettering on a white background. Paints shall be approved exterior enamels.

<b>CITY OF NEW YORK</b>	2-1/2"
<b>DEPARTMENT OF DESIGN AND CONSTRUCTION</b>	3-1/2"
<b>INFRASTRUCTURE</b>	2-1/2"
<b>RESIDENT ENGINEER'S FIELD OFFICE</b>	2-1/2"

- (g) Electric Refrigerator - Five (5) cubic feet minimum capacity for use by City personnel.
- (h) Microwave, Toaster Oven, and Coffee Maker - Basic reheating equipment or approved appliances for use by City personnel.
- (i) Windows and Doors - All windows and doors shall be weatherproof, and each equipped with adequate locking devices. Each window shall be equipped with vertical blinds. Exterior doors shall be provided with two (2) separate "high security" dead bolt type cylinder locks, keyed alike, and three (3) keys shall be furnished for each lock.
- (j) Partitions - Partitions for workspace enclosures shall be either permanent walls or of the modular type similar to Herman Miller's standard fabric covered line.
- (k) Kitchen Sink – Mechanism to provide non-drinking, hot and cold, running water.
- (l) Security Cameras – Wi-Fi enabled security cameras must be provided at all entrances and exits, except that fire escapes / emergency stairwells do not require cameras. One security camera must be provided for the interior of the field office, with the location to be determined by the Engineer. Cameras must be minimum 1080p video resolution. Cameras must have internet cloud storage, with all videos stored for a minimum of two weeks. The cloud storage must be accessible via desktop or mobile. Cameras may be hardwired for power or battery powered; battery powered cameras must have the batteries changed by the Contractor as required to ensure no lapses of service. Signs must be posted indicating that the area is under video surveillance.
- (C) OFFICE EQUIPMENT.
- (a) Pencil Sharpener - One standard pencil sharpener for use by City personnel.
- (b) Telephone Answering Machine - The telephone answering machine to be provided shall be an electronic digital voice machine with emergency call forwarding capability. It shall be operable twenty-four (24) hours per day and, when unattended, shall transmit to the caller the following message:
- “You have reached the Field Office of the New York City Dept. of Design and Construction. No one is here now. We check our incoming messages frequently. We will get back to you as soon as possible. Please leave your name, message, and phone number where you may be reached. In case of emergency, call the New York City Hotline at 311. Again, the emergency number is 311.”
- All electronic voicemail messages shall be automatically forwarded as email attachments, to allow for the voicemails to be played remotely.
- (c) Computer Equipment - Computers shall be provided for all contracts regardless of construction duration.
- Computers furnished by the Contractor for use by City Personnel, for the duration of the contract, shall be in accordance with Table I - ADDITIONAL SPECIFIC REQUIREMENTS, contained herein, and shall meet the following minimum requirements:
- (1) Personal Computers – Personal Computers must meet the requirements of the US General Services Administration (GSA) Government-Wide Strategic Solutions (GSS)

Standard Laptop, Desktop, and Tablet Specifications, V7. (Available online at <https://hallways.cap.gsa.gov/>)

- (a) Computer type for Personal Computers to be “Desktop Small Form Factor.” Computer type for projector laptop to be “Lightweight Notebook” or “Notebook”
- (b) The following components listed as optional in the GSA specification must be provided with each personal computer: monitor, speakers, optical drive, smart card reader, webcam, and headset.
- (c) The following additional software must be provided with licenses for each computer:
  - 1. Adobe Acrobat Pro DC or Bluebeam Revu
  - 2. Microsoft Office Professional
  - 3. Autodesk AutoCAD LT
  - 4. Anti-virus software
  - 5. Microsoft Visio (only one license required per field office)
- (2) All field offices requiring computers shall be provided with the following:
  - (a) One (1) broad-band internet service account. See table below for minimum required upload and download speeds. Telephone service should be bundled together with Internet connectivity. Because of throughput requirements Verizon FIOS is the preferred connectivity provider where available.

<b>Office Personnel #</b>	<b>Download Speeds (<i>Minimum</i>)</b>	<b>Upload Speeds (<i>Minimum</i>)</b>
1 – 5	10 Mbps	15 Mbps
6 – 10	20 Mbps	15 Mbps
11 – 15	25 Mbps	15 Mbps
16 – 20	50 Mbps	15 Mbps

This account will be active for the life of the project. The e-mail name for the account shall be the NYCDDC Field Office/project Id (preferably Gmail or Outlook – e.g. [HWK666@gmail.com](mailto:HWK666@gmail.com)).

- (b) All necessary Cabling.
- (c) Storage Boxes for and Blank CDs/DVDs.
- (d) UPS/Surge Suppressor combo.
- (e) 10 USB Thumb (or Flash) Drive – 16 GB each
- (3) All computers required for use in the Engineer’s Field Office shall be delivered, installed, and setup in the Field Office by the Contractor.
- (4) All Computer Hardware shall come with a three (3) year warranty for on-site repair or replacement. Additionally, and notwithstanding any terms of the warranty to the contrary, the Contractor is responsible for rectifying all computer problems or equipment failures within one (1) business day.
- (5) An adequate supply of blank CDs/DVDs, and paper and toner cartridges for the printer shall be provided by the Contractor and shall be replenished by the Contractor as required by the Engineer.

- (6) It is the Contractor's responsibility to ensure that electrical service and phone connections are also available at all times; that is, the Field Office Computer(s) is to be powered and turned on twenty-four (24) hours each day.

Broadband connectivity is preferred at each field office location. Please take into consideration that an extra phone line dedicated to the modem must be ordered as part of the contract unless Internet broadband connectivity, via Cable or FiOS, is available at the planned field office location. Any questions regarding this policy should be directed to the Director of Information Technology Services at 718-391-1761.

- (d) Data Access - Electronic access to the Equipment Watch Retail Rental Rates database (formerly known as The AED Green Book, published by Equipment Watch), shall be provided for all contracts that have a total Consecutive Calendar Days for General Construction duration as set forth in Schedule A of greater than 545 CCD's. Contracts of lesser duration shall not require any data access.

(D) Field Testing Equipment.

- (a) Air Entrainment Meters - Pressure Type, with carrying case for use by City personnel. Each meter shall be capable of producing an accurate test result in approximately five (5) minutes and shall comply with ASTM Designation C231.
- (b) Slump Test Sets - Slump cone and test sets conforming to the requirements of ASTM Designation C143, complete with rod and scoop for use by City personnel.
- (c) Thermometers: For use by City personnel.
- (1) 1 Minimum-maximum thermometer.
  - (2) 3 Asphalt thermometers of stainless-steel construction with an accuracy of 0.5% of the full scale, able to measure temperatures from 50 to 500 degrees F. in 5-degree increments.
  - (3) 3 Surface Thermometers able to measure temperatures of flat surfaces similar to Sargent-Welsh Model S81441-D, or an approved equivalent.
- (d) Non sparking Pinch Bar - For use in opening manholes.
- (e) Gas Meters - For use in detecting the presence of explosive gases and vapors for use by City personnel.
- (f) Straight Edge - One 10-foot-long straight edge for use by City personnel in detecting pavement surface tolerance.
- (g) 48" Smart Level - For use in determining pedestrian ramp and sidewalk slopes.
- (h) Chlorine Test Kits - For testing residual chlorine levels following water main flushing.
- (i) Green Florescent Power Trace-Dye - For testing sewer connections.
- (j) One Million Candlepower Rechargeable Flashlight.
- (k) Distance Measuring Wheel - For measuring long distances.

(E) Additional Office Electronics -

- (a) Photocopying machine must be a stand-alone, heavy duty, electric, dry-process color photocopying type with color scan and send capability via e-mail, a minimum production rate of 70 pages per minute and an adequate supply of copy paper, toner, etc. The machine shall be capable of duplex copying paper sizes of 8-1/2 x 11 inches, 8-1/2 x 14 inches and 11 x 17 inches and have separate trays for each paper size. It shall have a document feeder, collator, stapler, and the capability to reduce/enlarge copies between each paper size. The

supply of each size copy paper, toner, etc. shall be replenished and the machines shall be maintained for the duration of the contract by the Contractor as required by the Engineer. Make and model can be Minolta, Canon, IBM, Epson, or an approved equivalent, and shall be networked to the office computers for printing capability.

- (b) Fax machine must be provided with an adequate supply of copy paper, toner, etc. The supply of copy paper, toner, etc. shall be replenished and the machines shall be maintained for the duration of the contract by the Contractor as required by the Engineer.
- (c) Paper shredder must be a heavy-duty commercial grade diamond cut shredder with automatic start. The shredder shall be able to receive 8-1/2 inch wide paper and shred a minimum of 15 sheets simultaneously along with CDs and staples.
- (d) Projector must be 1080p LCD with a min. of 2200 ANSI Lumens, 1920 x 1080, 16:9, 40,000:1 contrast ratio, HDMI, VGA, USB, and a 10' diagonal, 16:9 Projection Screen. A screen must be provided if directed by the Engineer. A laptop must be provided for use with the projector, and all required cables for connecting the laptop to the projector.

### 6.40.3. SPECIFIC REQUIREMENTS FOR ENGINEER'S FIELD OFFICE.

In addition to the general requirements, each type of Field Office shall have the minimum floor area indicated in Table 6.40-I calculated based on usable area only, excluding any loss factors. Loss factors are defined as those areas such as lobby, sidewalk window ledge, elevator shafts and stairways. The Contractor shall provide and maintain furnishings for each type of Field Office in the quantity specified in Table 6.40-I. The furnishings shall be new or used equipment satisfactory to the Engineer:

- (a) Each Type shall have a minimum of one outside door and four windows.
- (b) Type C shall be partitioned to provide three (3) rooms.
- (c) Type CU shall be partitioned to provide four (4) rooms, one of which shall be at least 150 s.f. in area (for use by private utilities).
- (d) Type D and DC shall be partitioned to provide four (4) rooms.
- (e) Type DU shall be partitioned to provide five (5) rooms, one of which shall be at least 150 s.f. in area (for use by private utilities).

**TABLE 6.40-I – ADDITIONAL SPECIFIC REQUIREMENTS**

SPECIFIC REQUIREMENTS	FIELD OFFICE TYPE						
	A	B	C	CU	D	DC	DU
Minimum useable floor space (Square Feet)	400	800	1,200	1,200	1,800	2,320	1,800
Office desks, at least 4'-8" x 2'-8", with drawers, locks, and keys.	2	2	4	8 <sup>a</sup>	8	8	12 <sup>a</sup>
Swivel chairs, with arms, for the above.	2	2	4	8 <sup>a</sup>	8	8	12 <sup>a</sup>
Office folding chairs, metal, with padded seats and backs.	2	3	6	14 <sup>b</sup>	8	8	16 <sup>b</sup>
Steel supply cabinets (approximate size 72" high by 36" wide by 18" deep), with four adjustable shelves, tumbler lock and 3 keys.	1	1	1	1	1	1	1
Fire resistant cabinet, 4-drawer, legal size with lock and three (3) keys, meeting the requirements for "Filing devices, Insulated (36 E 9)" Class D Label, of the Underwriters' Laboratories, Inc. Specifications.	1	1	1	3 <sup>c</sup>	4	4	6 <sup>c</sup>

SPECIFIC REQUIREMENTS	FIELD OFFICE TYPE						
	A	B	C	CU	D	DC	DU
Individual lockers (17" wide x 18" deep x 72" high) with flat key locks and two (2) keys each.	1	1	4	4	4	4	4
Calculating machines, tape type with digital display registering at least ten (10) digits.	1	1	2	2	3	3	3
Wastepaper baskets (metal, approximately 12" square by 16" high).	1	2	2	6 <sup>a</sup>	4	4	8 <sup>a</sup>
Fire extinguishers, non-toxic, dry chemical type meeting Underwriters Laboratories, Inc., approval for Class A, Class B and Class C fires with a minimum rating of 2A:10B:10C.	1	1	2	3 <sup>d</sup>	4	8	5 <sup>d</sup>
First Aid Kit kept properly stocked with appropriate first aid supplies at all times.	1	1	1	1	2	2	2
Drafting tables (3'-0" x 5'-0") with storage drawers and stool.	1	2	2	3 <sup>d</sup>	4	4	5 <sup>d</sup>
Photocopying Machine	1	1	1	1	1	1	1
Standalone networked color laser printer. (Not required if photocopying machine prints in color)	1	1	1	1	1	1	1
Vertical filing plan racks for six sets of 22"x36" plans each rack.	1	1	2	3 <sup>d</sup>	4	4	5 <sup>d</sup>
Telephone lines for calls, where one shall be dedicated for the Fax Machine, one for each computer fax/modem and the others for telephone instruments.	4	6	6	7 <sup>e</sup>	8	8	9 <sup>e</sup>
Telephone instruments.	2	2	3	5 <sup>e</sup>	4	4	6 <sup>e</sup>
Telephone answering machine.	1	1	1	1	1	1	1
Fax Machine	1	1	1	1	1	1	1
Personal Computer	1	3	3	3	4	4	4
Bottled water with refrigerator unit-hot/cold water. (For private utilities room.)	0	0	0	1	0	0	1
Paper Shredder	1	1	1	1	1	1	1
Projector	0	0	1	1	1	1	1
Conference Room, 320 square feet (20'x16' minimum, equipped with (2) 3'x6.5' tables and (30) chairs.	0	0	0	0	0	1	0

<sup>a</sup> Provide four (4) each of Office Desks, Swivel Chairs and Wastepaper Baskets in private utilities room.

<sup>b</sup> Provide eight (8) Folding Chairs in private utilities room.

<sup>c</sup> Provide two (2) Fire Resistant 4- Drawer Legal Size Cabinets in private utilities room.

<sup>d</sup> Provide one (1) each of Fire Extinguisher, Drafting Table and Vertical File Rack in private utilities room.

<sup>e</sup> Provide one (1) telephone line and two (2) telephone instruments for the exclusive use by private utilities personnel. The line shall interconnect the two telephone instruments by push button control.

**6.40.4. CONSTRUCTION METHODS.** The building shall be fully equipped and made available for use and occupancy by the Department's personnel and/or Supervision Consultant not less than thirty (30) days prior to the start of any contract work.

The building interior (including access foyers, stairwells, etc.) shall be maintained in good, clean, and sanitary working condition by the Contractor for the duration of the contract. The Contractor shall provide

and pay all costs for electrical service, telephone service for calls within New York City limits, hot and cold water, heat and fuel, and daily janitor service. Staples, such as paper towels, hand soap, toilet paper, and similar supplies, shall always be available.

Where necessary, the site for a mobile trailer(s) shall be graded and shoulder stone placed and maintained as directed by the Engineer to provide a parking area for City personnel and, if necessary, an approach road shall be provided. Plumbing work shall include all water supply, drainage and piping required for the operation of a complete installation. Temporary water service shall be provided from an existing main and extended into the trailer and all fixtures requiring water supply shall be properly connected up. All necessary soil, waste, vent and drainage piping shall be provided and connected to the existing sewer or as otherwise directed.

The office, incorporated facilities, equipment, and personal property of the Department's employees shall be protected by the Contractor against loss or damage from fire, theft, or other causes, at all hours of the day and night. The Contractor shall provide fire insurance, extended coverage and vandalism, malicious mischief and burglary, and theft insurance coverage in the amount of forty thousand dollars (\$40,000.00) for office equipment of the City of New York in the Engineer's field office and for property of City personnel that is used in the contract work and stored in the office. All insurance coverage shall be written by a company approved by the Commissioner and payable in case of loss to the City of New York. The office shall be maintained by the Contractor in first class condition until final acceptance of the work.

At the direction of the Engineer, any equipment on the above lists may be deleted. The Engineer may direct that other equipment of equivalent value be supplied by the Contractor or an appropriate credit be taken for the value of equipment not provided.

When directed by the Engineer, the Contractor shall disconnect all services and remove and dispose of all temporary installations from the site, including fencing, surfacing and utilities, the area shall then be cleaned, loamed, and seeded if required and left in a neat and acceptable condition. On and after the date of the Engineer's Final Acceptance, the temporary structure and all installed equipment shall become the property of the Contractor, and shall be disposed of, by him, away from the site of the work. Engineer's Final Acceptance shall be when the Contractor has completed all punch list work and Official Completion Date has been set.

**6.40.5. NONCONFORMANCE.** No payment will be made under Engineer's Field Office for each calendar day during which there are deficiencies in compliance with the requirements of any subsection of this specification. The first calendar day shall commence twenty-four (24) hours after notice to the Contractor of such a deficiency. This non-payment shall be deducted from the Contractor's next estimate as a charge to the Contractor on the item. The amount of such calendar day non-payment will be determined by dividing the unit price bid per month by 30.

In addition, the Contractor may be subject to liquidated damages in accordance with Schedule A.

**6.40.6. MEASUREMENT.** The quantity to be measured for payment under this item shall be the number of months that the Field Office is available for occupancy by the Field Engineers during the period of the contract. Payment will begin the first month that the office is fully equipped, serviced as specified, and made available for occupancy. The Field Office is to be continuously made available and monthly payments will continue for the duration of the contract through a period not to exceed 6 months past the Substantial Completion date. When directed in writing by the Commissioner, the Field Office will be provided and paid for a period of time beyond 6 months past the Substantial Completion date. Payment for each month's occupancy after the date of Substantial Completion acceptance will be made as part of the final estimate. Monthly payments may be terminated on a specified date prior to acceptance of the contract by written notification by the Engineer that such office will no longer be required on the contract.

In order to incentivize early Substantial Completion of the Project, the City agrees to share the savings resulting from the reduction of the quantity measured for payment under this item.



If the determination of Substantial Completion is reached at least two (2) months earlier than the Substantial Completion date set forth in the Notice to Proceed letter, plus any approved time extensions, the Contractor and the City will evenly split the saved amount. This payment will be in addition to any payments of incentive for early completion if one is specified for the Project.

For example, using a contract with a 30-month duration for achievement of substantial completion, with the Engineer's Field Office directed by the Commissioner to remain open six (6) months after the substantial completion date per Subsection 6.40.6 above, the following would apply under these two scenarios:

1. Project substantial completion is achieved in 28.5 months: Because the contract was completed within two (2) months of the scheduled substantial completion date, the contractor is entitled to be paid for the 28.5 month project duration plus the six (6) months after Substantial Completion, amounting to 34.5 months to be paid to the Contractor for the Engineer’s Field Office, with no additional amounts due to the contractor from any savings.
2. Project substantial completion is achieved in 26 months: Because the contract was substantially completed more than two (2) months early, the contractor is entitled to be paid for the 26 month project duration plus six (6) months after substantial completion plus half of the four months saved, amounting to 34 months to be paid to the contractor for the Engineer’s Field Office.

**6.40.7. PRICE TO COVER.** The unit price bid per month for the item Engineer’s Field Office shall include the cost of furnishing all labor, materials, equipment, ground rental, fire and theft insurance, and utility charges necessary to complete the work of providing or constructing the field office; making all necessary electrical, water, sewer, and other connections required to make the above facilities operative; payment of all rental costs; furnishing and paying for heating fuel, as required; all electrical energy; private telephone services; staples, as specified; and all necessary incidentals to complete the work - all in accordance with the specifications and the directions of the Engineer.

*Payment will be made under:*

Item No.	Item	Pay Unit
6.40 A	ENGINEER’S FIELD OFFICE (Type A)	MONTH
6.40 B	ENGINEER’S FIELD OFFICE (Type B)	MONTH
6.40 C	ENGINEER’S FIELD OFFICE (Type C)	MONTH
6.40 CU	ENGINEER’S FIELD OFFICE (Joint Use) (Type CU)	MONTH
6.40 D	ENGINEER’S FIELD OFFICE (Type D)	MONTH
6.40 DC	ENGINEER’S FIELD OFFICE WITH CONFERENCE ROOM	MONTH
6.40 DU	ENGINEER’S FIELD OFFICE (Joint Use) (Type DU)	MONTH

**SECTION 6.52 FED – UNIFORMED FLAGPERSON****6.52FED.1. INTENT.**

This section describes the employment of uniformed flagpersons to direct and detour traffic.

**6.52FED.2. DESCRIPTION.**

The Contractor must furnish an adequate number of flagpersons to control vehicular and pedestrian traffic when it is necessary to maintain alternating one-way traffic in one lane of a two-way roadway, and at all other locations where construction operations, construction vehicles and equipment, detours, and temporary traffic patterns related to the construction operations require positive temporary traffic control for safe, efficient traffic operations.

**6.52FED.3. METHODS.**

All flagpersons must be English speaking and adequately trained and certified in flagging operations by a recognized training program such as that provided by the American Traffic Safety Services Association, the National Safety Council, unions or construction industry associations, or by an individual who holds a current certification as a flagger training instructor from such a program.

All flagpersons, their apparel, hand-signaling devices, active two-way radios, and procedures to be used by them must be in compliance with the requirements of Chapter 6E. FLAGGER CONTROL, in the Federal “U.S. Department of Transportation, Federal Highway Administration, “Manual on Uniform Traffic Control Devices for Streets and Highways” current edition.

Prior to the start of flagging operations, the Contractor must provide to the Engineer a list of certified flagpersons to be used in the contract, identifying the source of flagger training for each individual. When requested by the Engineer, flagpersons must demonstrate their competency in flagging procedures. Flagpersons not competent in flagging procedures to the satisfaction of the Engineer must be retrained or replaced at once.

The Contractor will be given a minimum of 12 hours advance notice by the Engineer as to when to furnish a flagperson.

**6.52FED.4. METHOD OF MEASUREMENT.**

The fixed price lump sum shown in the bid proposal for this item will be considered the price bid, although actual payment will be based on the authorized work performed by the Uniformed Flagpersons. The fixed sum is not to be altered in any manner by the bidder.

It is agreed that the quantity to be measured for payment will be the number of person-hours of uniformed flagperson service actually performed, as authorized by the Engineer.

Laborers who are not certified uniformed flagpersons will not be measured for payment as flagperson under this item.

**6.52FED.5. BASIS OF PAYMENT.**

The Contract price for this item will be a lump sum price for the work performed under this item and will be equal to the total sum of the amount of allowed wages paid for all authorized Uniformed Flagpersons performing vehicular and pedestrian traffic management.

The amount to be paid per person-hour will be calculated as follows:

For the Entity directly employing the flagperson:	
<b>Wages &amp; Benefits:</b>	The hourly rates for wages & benefits (“supplemental”) will be the rates listed in the prevailing wage schedule for Laborers ( <i>Notes 1, 2</i> ).
<b>Worker’s Compensation Insurance:</b>	Worker’s Compensation Insurance will be paid for at cost, subject to the provisions of Article 26.2.9 of the Standard Construction Contract.
<b>Taxes:</b>	Applicable payroll taxes will be paid for at the appropriate cost.
<b>Overhead &amp; Profit:</b>	12% overhead markup and 10% profit markup will be applied to the wage & benefit amounts. 5% combined overhead & profit markup will be applied to the Worker’s Compensation Insurance amount. 0% overhead or profit markup on the premium portion of overtime wages. 0% overhead or profit markup on payroll taxes.

For the Contractor only, if the Entity directly employing the flagperson is a subcontractor:	
<b><u>Overhead &amp; Profit:</u></b>	5% overhead and profit on subcontractor amounts as calculated above.

*Note 1:* If the contract has multiple prevailing wage schedules (e.g., NYC Comptroller Section 220 prevailing wage schedule or Federal Davis Bacon prevailing wage schedule) with different Laborer wage & benefit rates, the higher wage & benefit rates will be used. The Laborer rates appropriate for the type of work being performed will be used.

*Note 2:* The prevailing wages & benefits in effect at the time of work will be used.

Overhead will include without limitation, all costs and expenses in connection with administration, management superintendence, and all material costs for their apparel, hand-signaling devices, active two-way radios, and any other equipment required, and insurance required by Schedule A of the General Conditions other than Workers’ Compensation Insurance.

The Contactor must submit to the Engineer satisfactory evidence of payment on certified payroll forms published by the Comptroller of the City of New York. No retainage will be withheld by the Department on such payments made under this section.

The total estimated cost of this item is the “fixed sum” amount shown for this item in the Bid Schedule. No guarantee is given that the actual total cost for this item will in fact be the “fixed sum” amount. The “fixed sum” amount is included in the total bid solely to ensure that sufficient monies will be available to pay the Contractor for these services.

The “fixed sum” is for bidding purposes only and must not be varied in the bid. The Contractor will be paid for the actual amount regardless of the fixed sum, which may be more or less than the fixed sum amount.

The Contractor must maintain separate books of accounts and must not charge any portion of the wages and benefits for Uniformed Flagpersons to another part of the work. Payment and partial payments under this item will be treated separately from the rest of the contract items.

The Comptroller's certified payroll report forms must be completed on a weekly basis and submitted to the Engineer every thirty days or whenever a payment requisition is submitted in less than thirty days. The Contractor must submit signed original daily sign-in sheets and any required daily reports, as required under this contract or directed by the Engineer.

*Payment will be made under:*

Item No.	Item	Pay Unit
6.52 FED	UNIFORMED FLAGPERSON	FIXED SUM

**SECTION 7.07 RB30H - 30" HEIGHT REMOVABLE STEEL PIPE BOLLARD, FURNISH AND INSTALL**

**7.07 RB30H.1. DESCRIPTION:**

Under this Section the Contractor will furnish, erect and powder-coat 30" HEIGHT REMOVABLE STEEL PIPE BOLLARD, FURNISH AND INSTALL in accordance with the Contract Drawings, the specifications and the directions of the Engineer.

**7.07 RB30H.2. MATERIALS:**

A. 30" HEIGHT REMOVABLE STEEL PIPE BOLLARD will be manufactured by one of the following fabricators, or an approved equal:

1. All City Play Equipment  
135 58<sup>th</sup> Street  
Brooklyn, NY 11220  
718-258-9600
2. Shannon Gates, Inc.  
911 Long Island Avenue  
Deer Park, NY 11729  
631-254-0314
3. Victor Stanley, Inc.  
P.O. Box 330  
2103 Brickhouse Road  
Dunkirk, MD 20754  
301-855-8300

B. All fittings and hardware will be of the materials listed in the following schedule:

<b><u>PART</u></b>	<b><u>MATERIAL</u></b>
Post Caps	Malleable Iron - 3/16" thick
Drive Pins and Set Screws	Stainless Steel, 18-8
Flange	Pressed Steel
"U" Bolts	Pressed Steel, Extra Heavy-3/4" X 1/2"

Malleable iron castings will be hot dipped galvanized in accordance with ASTM Serial Designation A-153 and powder coated per this specification.

Pressed steel fittings and appurtenances will be powder coated and hot dipped galvanized in accordance with ASTM Serial Designation A-123.

- C. Posts: Posts will be extra heavy galvanized steel pipe, 4" O.D. and will conform to ASTM Serial Designation A-120, Schedule 80, except that pipe will be unthreaded and untested for water pressure.
- D. Sleeves: Sleeves will be standard weight galvanized steel pipe, 5" I.D. and will conform to ASTM Serial Designation A-120, Schedule 80, except that pipe will be unthreaded and untested for water pressure.

- E. Padlocks: One padlock will be furnished for each removable bollard. The padlocks will be Master Pro Series 6125LJ, as manufactured by Master Lock Company, or approved equal. All padlocks for the same park facility will be keyed alike, with two and three-eighth inch (2 3/8") width, by three-quarter inch (3/4") thick laminated steel body, maximum security, five (5) pin tumblers with hardened boron alloy shackle no less than three-eighths inch (3/8") diameter, two and one-half inches (2 1/2") vertical clearance and 29/32" inches horizontal clearance. The Contractor will furnish two (2) keys for each padlock.
- F. Concrete: Concrete will be placed as shown. Concrete will conform to N.Y.C. Dept. of Transportation class B-32, Type II A, air-entrained, moderate sulphate resistant. The batch will contain a minimum of size (6) bags of cement per cubic yard of concrete, maximum of 6 1/4 gallons of water per bag, a maximum of three (3") inch slump, and a minimum compressive strength of 3,200 psi. Large aggregate will be limited to one (1") inch.
- G. Cement: Air Entraining Portland Cement will comply with the ASTM Specification for Portland Cement, Designation C150. It will be Type IIA, Moderate Sulfate Resistant.
- H. Fly Ash/GCBFS: A maximum of thirty (30 %) percent of Portland Cement content may be substituted with Fly Ash or Ground Granulated Blast Furnace Slag. Fly Ash will conform the requirements for Class F as defined by ASTM C618 – “Standard Specification for Coal fly Ash and Raw or Calcined Natural Pozzolan for Use in Concrete”. Ground Granulated Furnace Blast Skag (GGBFS) will conform the requirements for Grade 100 or 120 slag as defined by ASTM C989 – Standard Specification for Slag Cement for Use in Concrete and Mortars”. Portland Cement substitutions that demonstrate tot same or similar properties as Fly Ash/GGBFS will be accepted pending Engineer review and approval.

### **7.07 RB30H.3. INSTALLATION:**

The posts for fixed bollards will be set in concrete footings as shown on the plans or as directed by the Engineer. Once erected, steel pipe of the fixed bollard will be filled with average concrete. The sleeves for removable bollards will be set in concrete footings, as shown on the plans or as directed by the Engineer.

All posts and sleeves will be set plumb and true to line and grade. Any post and sleeve not set true to line and grade will be removed and replaced at the Contractor's expense. Bending posts to make them plumb will not be permitted.

### **7.07 RB30H.4. POWDER COATING:**

The galvanized steel pipe and fittings will be powder coated with TGIC-Polyester.

Galvanizing will provide an acceptable substrate for applied powder coatings. No lacquer, urethane or other coatings which would prevent proper adhesion of powder coating will be applied to the pipe and fittings.

The powder coating will be applied to the galvanized pipe and fittings in such a manner that the coating will not peel off. Ensure surfaces to be coated are clean and dry and free of grease, dust, rust, etc. All coated parts will first receive phosphating and chromating treatments to improve the adhesion of the surface coating. Color to be black. The TGIC-Polyester will be applied at a film thickness of 3 to 4 mils by electrostatic spray process and bake finished per manufacturer's directions. The TGIC-Polyester will be applied without voids, tears or cuts that reveal the substrate and will thoroughly adhere to the metal without peeling when scratched with a pick device or knife blade point.

Laboratory Test For TGIC-Polyester Powder Coat: At the discretion of the Engineer, a sample TGIC-Polyester powder coated bollard will be laboratory tested for bonding of the powder coating to the metal. Test will be the Cross Hatch test per ASTM D3359, method B. Failure to satisfactorily pass this test will be a basis for rejection.

**TOUCH-UP & REPAIR:** For minor damaged caused by installation or transportation, clean damaged area, then:

- A. On damaged galvanized surfaces, apply organic zinc repair paint complying with ASTM A780, then repair powder coating per number 2 below. Galvanizing repair paint will have sixty-five (65%) percent zinc by weight. Thickness of repair paint will be not less than that required by ASTM A123.
- B. On damaged powder coated surfaces, touch-up finish in conformance with manufacturer's recommendations. Provide touch-up such that repair is not visible from a distance of 6 (six) feet.

**7.07 RB30H.5. SUBMITTALS:**

**Shop Drawings:** Before the work is started, the Contractor must submit shop drawings for written approval prior to fabrication.

**Design Mix Report:** The Contractor will submit a design mix report prior to the productions and delivery of concrete for reviews and approval. Design Mix Report dated more than one (1) year of the submittal date will be rejected. The Contractor will submit the Design Mix Report including but not limited to the following information: date of Design Mix Report, name and address of concrete mixing company, name and address of laboratory, name of project water/cement (w/c) ratio, type and sieve analysis of aggregates, type of cement, type and amount of fly ash or slag used, percentage of Portland cement replacement by fly ash or slag, types of admixture use, amount of water use, air content and slump of design mix, three (3) day curing strength, seven (7) day curing strength and twenty-eight (28) day curing strength.

**7.07 RB30H.6. MEASUREMENT:**

The quantity of the 30” HEIGHT REMOVABLE STEEL PIPE BOLLARD, FURNISH AND INSTALL to be paid for under this item will be the number of bollards actually installed to the satisfaction of the Engineer.

**7.07 RB30H.7. PRICE TO COVER:**

The price bid will be unit price for EACH 30” Height Removable Steel Pipe Bollard and will include the cost for all labor, materials, hardware, equipment, insurance, and incidentals necessary to complete the work including, but not limited to, excavation, furnishing and installing the bollard, cap, concrete foundation and fill, and any rebar or other necessary items required to set and install the 30” Height Removable Steel Pipe Bollard; all in accordance with the Contract Drawings, the specifications and the directions of the Engineer.

*Payment will be made under:*

Item No.	Item	Pay Unit
7.07 RB30H	30” HEIGHT REMOVABLE STEEL PIPE BOLLARD, FURNISH AND INSTALL	EACH

*The Section below supersedes and replaces Section 7.13 of NYC DOT**Standard Specifications dated May 16, 2022***SECTION 7.13 – Maintenance of Site**

**7.13.1. DESCRIPTION.** This section describes the maintenance, protection, and cleanup of the construction site. The Contractor is placed on notice that a safe and clean site throughout all phases of the work and during all operations must be provided by the Contractor, and further that the monitoring by the City of the Contractor's site maintenance, site protection and site cleanup is considered for the purposes of the contract to be a Project objective necessary to eliminate and/or mitigate public disruption and inconvenience, and to insure public health and safety. The Contractor shall therefore, at all times, conduct this operation in a manner which promotes a clean site and ensures the convenience, safety and health of general users consisting of, but not limited to, the motorist, the pedestrian, and the abutting property owners/tenants, as well as those of the Contractor's employees. This includes compliance with the Contractor Code of Conduct in **Section 1.06.19**.

The provisions of this section are supplementary to and do not abrogate the General Conditions (Section 1.06) or the General Notes on the Contract Drawings relating to the protection and cleanup of the site, and the delivery and storage of materials at the site. Furthermore, any conditions pertaining to the maintenance, protection, and cleanup of the construction site during the life of the contract which are addressed in the General Conditions and in the General Notes on the Contract Drawings, whether or not addressed under this Section, shall be deemed as having been addressed under this Section.

**7.13.2. METHODS.****(A) GENERAL**

Work under this Item shall start from the date of written notice to commence work or from the actual start of construction work at the site, whichever is later.

The Contractor shall be responsible for the maintenance of the contract streets or portions of streets pursuant to Article 7 of the Standard Construction Contract.

The Contractor shall provide the necessary personnel and equipment for adequate site maintenance within and adjacent to the contract site and all detour routes. The Contractor shall keep the work site and adjacent areas free and clean from all rubbish, debris, dust, idle construction equipment, discarded or leftover construction material and excavated material as outlined below. The Contractor shall also keep all haul routes outside the work site free and clean from all rubbish, debris and dust resulting from the Contractor's operations.

The Contractor shall protect the public from damage to persons and property, which may result directly or indirectly from any construction operation. Such protection shall include, but not be limited to, providing proper street drainage and diversion of runoffs from private properties by such means as sandbagging or pumping, controlling soil erosion and/or soil migration.

All existing Fire Department Communication facilities shall be protected, and provisions made for their continuous operation during construction. ALL ALARM BOXES AND POSTS MUST REMAIN ACCESSIBLE. If, due to the Contractor's operations, Fire Alarm Service is inadvertently interrupted or Fire Communication System equipment or facilities are damaged, the Contractor will be held responsible and shall replace them at its own expense and in accordance with Fire Department requirements.

The Contractor shall be fully responsible for maintaining the completed work in an acceptable condition and protecting the completed work until relieved of such responsibility by acceptance of the contract or the completed items of work. Upon completion of each phase of work, or when ordered by the Engineer, and before acceptance and final payment are made, the Contractor shall remove all surplus and discarded material, rubbish, equipment, debris, and temporary structures from the site, and restore the working site as



directed by and to the satisfaction of the Engineer. All sewers, water mains, appurtenant structures, etc., shall be clean, free from debris and deposits.

(B) MAINTENANCE OF STREETS

Maintenance of streets and detours for vehicles shall include any repairs, as directed, including the filling of pre-existing and new potholes that may be necessary due to usage of streets by traffic. This repair work will be paid for under Item No. 4.02 CB - ASPHALTIC CONCRETE MIXTURE, or 4.02 CA - BINDER MIXTURE, as provided in the Bid Schedule.

Also, the Contractor shall provide reasonably safe and convenient walkways and passageways for pedestrian traffic. Where required by the Contract Documents or when ordered by the Engineer, the Contractor shall construct and maintain, as directed, temporary asphalt walkways and ramps in accordance with the requirements of Subsection 7.13.2.(G)(1), below, temporary wood plank or steel plate ramps or other configurations and materials, as may be required, and provide temporary pedestrian passageways (as per the NYC Department of Transportation's Standard Details of Construction, Standard Drawing H-1004, or as otherwise approved). The Contractor shall make the surface(s) of the pedestrian pathway(s) safe by eliminating ponding conditions, removing debris, sweeping, and wetting for dust control. All walkways and passageways must be in compliance with all ADA requirements.

The Contractor shall maintain access to all abutting properties and pedestrian usage of sidewalk areas, both old and new, at all times, as directed by the Engineer and as shown on the Contract Drawings, except at "Sidewalk Closings" as designated or as directed.

The Contractor shall maintain the traveled way in such a condition and conduct operations in such a manner that snow, and ice may be readily removed by others as and when necessary, and in such a manner that proper drainage is provided for the melting of snow in the banks resulting from normal plowing. However, the Contractor will not be responsible for snow or ice removal on the pavement or traveled way opened for public usage, except within the limits of the work zone(s) which may include, but is not limited to, stairway, promenades, esplanade areas, and sidewalk, including those fronting the Contractor's office and the Engineer's field office all of which will be the responsibility of the Contractor. In order to minimize the amount of salts entering the storm sewer system, snow melt must not be used in place of shoveling, but must be used after all standing snow is removed. This does not prohibit applying reasonable amounts of snow melt prior to snowfall.

(C) CONTROL OF DUST AND DEBRIS

The Contractor shall control dust and debris within the work area and the traveled way. The Contractor shall mitigate material spilling from trucks with the use of tarpaulin covers. All dust producing materials shall be wet down with water to the extent necessary to minimize dust. When public or local inconvenience is caused by dust occasioned by the sweeping and cleaning operations, the Contractor shall furnish and sprinkle water onto the affected surfaces during the sweeping and cleaning operations; however, the application of water shall not be used as a substitute for sweeping.

The Contractor shall perform all work operations so that dust and debris is minimized within the work zone and mitigated before any of it leaves the work zone. Movement of dust and debris by wind, vehicles, persons, and the Contractor's operations shall be cause for sweeping and watering to be implemented immediately as directed by the Engineer. Also, should dust and dirt cover over all or portions of the work site it shall also be cause for immediate sweeping and watering by the Contractor.

All water furnished and applied under this item shall be free from harmful materials and shall be reasonably clean. Water shall be delivered in tanks or tank trucks, or by use of hydrants as permitted by the Department of Environmental Protection; however, no guarantee is made by the City as to the availability of suitable hydrants at the site. Where no suitable hydrants exist at the site, the Contractor shall be required to furnish water in tanks or tank trucks at no additional cost to the City.

(D) CLEANING OF SITE AND WASTE DISPOSAL

The Contractor shall be responsible for the removal of all rubbish and debris from the site of the project. The Contractor shall remove all piles of rubbish, debris, waste material and wood cratings as a result of the Contractor's operations as they accumulate. When directed by the Engineer the Contractor shall cart them away from the site. The Contractor shall employ and keep engaged for this purpose an adequate force of laborers.

The Contractor shall at the beginning and end of each day be required to pick up all litter, trash, and debris (excluding garbage and recycled material set to be picked up by scheduled private and/or public sanitation pickups) adjacent to and within the work zone on a daily basis, seven (7) days a week. The Contractor shall also during the day keep clean all roadways, sidewalks and other places in which the work is being performed or which are to be used in connection therewith.

The Contractor shall protect the site against unauthorized dumping of waste materials by patrolling the site and reporting violations to the Engineer, and should any unauthorized dumping occur, it shall be immediately removed by the Contractor to the Engineer's satisfaction.

While performing the above site cleaning work, the Contractor shall have available an approved mechanical street sweeper, with operator, suitable for removing dirt, debris, dust and loose stones; a sprinkler truck; adequate size pick-up truck with driver and laborers; an adequate supply of brooms, sixteen (16) inch wide or larger; and necessary hand tools and materials. The Contractor shall arrange to have necessary persons and equipment assigned to satisfy concerns relating to required clean up and restoration work. These persons with equipment shall be available to correct all matters requiring attention and shall be immediately available to respond to directives issued by the Engineer regarding specified problems of maintenance and cleaning.

The Contractor shall perform this work during the normal or extended working days. However, when required in accordance with the approved schedule or directed, the Contractor shall be prepared to extend this work beyond the normal workday, including weekends.

The Contractor shall provide trash receptacles for use by its construction staff. The trash shall be periodically removed and disposed of in compliance with local ordinances.

#### (E) DISPOSAL OF REMOVED MATERIALS

Except as may be otherwise specified herein or in the General Conditions, all materials which are permanently removed from the existing construction by the Contractor in accordance with the Contract Documents shall become the Contractor's property and shall be disposed of by him away from the site.

In addition, it is the intent of NYCDDC to have all metals that are excavated and removed from the site, such as iron castings street hardware (i.e., manhole frames and cover, valve box covers, hydrants, etc.), ductile iron sewer pipe, steel and ductile iron water main pipe, trolley track rails, etc. (excluding steel reinforcement embedded in concrete), recycled provided that they are not deemed contaminated or hazardous. Therefore, the Contractor shall agree to make every effort possible to recycle said metals removed from the site. As a record of such compliance, the Contractor shall be required to keep an accurate log of said materials that are excavated and removed from the site and where and how said materials are either processed for reuse or disposed of away from the site. A copy of said log shall be submitted to the Engineer along with the invoice submitted by the Contractor for payment each month.

#### (F) REMOVAL OF SURPLUS PLANT AND EQUIPMENT

When ordered by the Engineer, the Contractor shall be required to promptly move from any location within the contract area all such items of plant and equipment determined to be no longer necessary for the effective prosecution of the work at such point, to other locations to be designated by the Engineer. If, in the opinion of the Engineer, plant and equipment are no longer required on any portion of the work, they shall be removed from the site when so ordered.

Where access to regularly scheduled private and/or public sanitation pickups, such as garbage and recycled materials, is blocked due to the Contractor's operations, the Contractor shall coordinate a schedule for

collection of said materials, and/or shall collect and transport garbage and recycled materials to collection points, as directed by the Engineer, for disposal by public or private collections, as appropriate.

Waste material shall not be dumped in or on any part of the City's property except by special permission of the Engineer. Concrete mixing trucks shall not be washed on City streets nor shall the waste material from the washing out of concrete mixing trucks be discharged to any street, public property, sewer manhole, catch basin, sewer, street gutter, or other above or below ground structures. All excavated materials falling on roadways and sidewalks shall be promptly swept up and removed.

(G) MAINTAINING ACCESS TO PROPERTIES AT CUT AND FILL LOCATIONS

When it is necessary to cut or fill at abutting properties in accordance with the contract requirements, the Contractor shall immediately commence construction to provide entrance to and egress from said properties as shown on the Contract Drawings and/or by one of the following methods, or modifications made thereto, when so ordered by the Engineer:

(1) "Asphalt Ramps"

Temporary access ramps shall be made hard and smooth surfaced with asphaltic material (to be paid for under Item No. 4.02 CB or 4.02 CA, as provided in the Bid Schedule) The slope of temporary ramps at driveways and transition areas shall be approximately 25% [approximately a three (3") inch rise in one (1') foot] and be limited to a width of not more than eight (8') feet for single driveways and not more than twelve (12') feet for double driveways. The slope of temporary ramps at street hardware shall range between 1:10 and 1:6 (rise:run). The slope of temporary pedestrian ramps shall be limited to a width of not less than four (4') nor more than five (5') feet and a slope of approximately 1:12.

(2) "Benching"

In locations where embankments are to be constructed on existing slopes or against existing embankments with slopes steeper than 1 (vertical) on 3 (horizontal), slopes shall be benched as shown on the Contract Drawings. Benches shall be constructed as a "Temporary Retaining Wall" (Item No. 8.12). Access to abutting properties shall be provided as shown on the Contract Drawings or as per the details shown on the NYC Department of Transportation's Standard Details of Construction, Standard Drawing for Temporary Wooden Steps (Item No. 7.15).

(3) "Specified"

By methods specified and detailed on the Contract Drawings.

(H) FINAL CLEARANCE OF SITE

Immediately after the completion of the contract and before final acceptance of the Work by the Department, the Contractor shall remove all surplus material, temporary structures, and debris resulting from the Contractor's operations. Any painted markings (layout survey, etc.), excluding utility markings made under 16 NYCRR Part 753 (utility markings made under Part 753 shall not be removed), that have been placed by the Contractor and which are still remaining at the end of the contract shall be removed. Removal of painted markings shall be done using an approved power-washing method. The entire area shall be cleared and left in a neat presentable manner satisfactory to the Commissioner.

If as a result of the Contractor's operations, obstructions have fallen into a navigable waterway, they must be removed and the waterway and channel cleared; and the Contractor must obtain a release from the United States Coast Guard.

**7.13.3. STORAGE OF MATERIALS AND EQUIPMENT.** Roadways, sidewalks, gutters, crosswalks, and driveways shall at all times be kept clear and unobstructed unless a permit has been obtained from NYC Department of Transportation authorizing encumbrance of the roadway and/or sidewalk with equipment and/or material, provided it is in a manner which will not prevent the safe passage

of vehicular traffic on such roadway designated to remain open, or the safe passage of pedestrians on such sidewalk and crosswalks, or block the normal drainage flow within the streets.

(A) DELIVERED MATERIALS NOT TO OBSTRUCT TRAFFIC

All materials delivered upon but not placed in the work shall be neatly piled so as not to obstruct public travel and shall be removed from the line of the work, at the direction of the Engineer, at no additional cost to the City. Unless the materials are so removed by the Contractor upon notice from the Engineer, the materials may be removed by the Commissioner and the expense thereof charged to the Contractor.

(B) PILING OF MATERIALS DELIVERED TO WORK SITE

Materials placed on the sidewalk or roadway shall be piled or stacked in a satisfactory and safe manner, enclosed with plastic barrels (Section 6.87) or barricades (Section 6.28 AA or 6.28 BA), and with pedestrian steel barricades (Section 7.36), "WARNING: KEEP OUT" signs (Section 6.25), and heavy duty safety orange construction fencing. The heavy duty safety orange construction fencing shall be safety orange in color, of heavy duty construction grade flexible plastic (light duty plastic screening fence will not be accepted), have a minimum height of four (4') feet, and shall be of a type approved by the Engineer. The heavy duty safety orange construction fencing shall be held vertically in place for its full length and shall be securely attached to barrels, utility poles, or a combination thereof, or other traffic control devices shown on the Contract Drawings or directed, in a manner approved by the Engineer. Loose materials shall be covered with tarpaulins, suitably held down. Areas adjacent to stored materials shall be kept clean and watered as required and as directed by the Engineer. When such materials are removed, the sidewalks and roadways must be immediately swept clean by the Contractor and control of dust shall be mitigated in accordance with the requirements of Subsection 7.13.2.(C), above.

Materials to be used in the work shall be compactly piled within limits to be designated by the Engineer. Sand and coarse aggregate may be piled within the roadway area. All old and such new material as has been approved, except sand and coarse aggregate, shall be neatly piled by the Contractor on the front half of the sidewalk, on planks or plates, if the same be flagged or otherwise improved.

Stored material shall be neatly stacked, placed at locations designated by the Engineer, and suitably enclosed or covered, protected, and wet down, as stipulated above. Streets under such construction material or equipment shall be shielded by wooden planking, skids or other protective covering approved by the Engineer. All pipes, fittings and appurtenances must be carefully stored, as approved by the Engineer, so as to prevent surface drainage, excavation material or other foreign matter from entering into the pipes, fittings and appurtenances.

Waste material and excavated material will under no conditions be permitted to remain on the work site or Provisions must be made by the Contractor to maintain curb-line drainage through storage areas. Stored materials shall not block the normal drainage flow or cause ponding conditions within streets and shall not be placed within fifteen (15') feet of any fire hydrant (working or not), at bus stops, within tree root zone areas, or any other areas as set forth in the rules of the department the obstruction of which would impair the safety or convenience of the public (also see General Notes on Contract Drawings for any additional information). In a street upon which there is a surface railroad, construction materials or equipment shall not be placed nearer to the track than five (5) feet.

The Contractor shall not be permitted to store, stockpile or lay down any construction material within the boundaries of tree pits or critical root zone (CRZ) of existing trees. This material includes but is not limited to lumber, fuel and oil containers, pipes, pipe fittings, barricades, hand tools, hoses, hardware, bricks, salvaged stone or granite, trash receptacles, or asphalt. Bulk material, equipment, or vehicles shall not be stockpiled or parked within the CRZ of any tree, or within ten (10') feet of the trunk (whichever is greater). This is done to minimize surface and subsurface root and soil compaction. This applies to all CRZs within or outside the project limit line. CRZ is calculated as  $(DBH \times 1.5 \text{ ft} = \text{Radius})$ . The radius calculation is equal to the critical root zone.

When no work is in progress, at least one half of the roadway must be left clear at all times.

The Contractor must remove any stored materials/equipment from the project street(s), as directed by the Engineer, within forty-eight (48) hours' notice, at no additional cost to the City. Payment for compliance with such a directive shall be deemed included in the unit price bid for this "Maintenance of Site" item.

(C) ILLUMINATION OF BUILDING MATERIAL AND EQUIPMENT ON STREETS

Pursuant to Section 19-121 of the Administrative Code of the City of New York, the Contractor's attention is directed to the following:

1. Whenever a permit is issued for any construction material or equipment, the outer surface of such construction material or equipment shall be clearly marked with high intensity fluorescent paint, reflectors, or other marking which is capable of producing a warning glow when illuminated by the headlamps of a vehicle or other source of illumination.
2. Each approved storage area shall have at least one (1) sign identifying the Contractor's name, Project ID/Name, and the phone number of the Engineer's Field Office.
3. Violations. Any person who shall violate any of the above provisions, upon conviction thereof, shall be subject to the Criminal penalties pursuant to Section 19-149 of the Administrative Code of the City of New York or Civil penalties pursuant to Section 19-150 of the Administrative Code of the City of New York, or both such fines and imprisonment.

(D) STORAGE WITHIN THE PROJECT LIMITS

The Contractor will not be permitted to store construction equipment, construction material or excavated material within the project limits, except where specifically approved by the Engineer and only under the following conditions:

The Contractor will not be permitted to allow the personal vehicles of the Contractor's work force to be stored, parked, or to stand within the limits of any designated work area or in "no parking", "no standing", and/or other restricted zones; vehicles so stored, parked, or found standing may be ticketed and/or towed at the owner's expense. This restriction shall exclude Contractor owned vehicles transporting and/or storing specialized equipment and/or materials necessary for the execution of ongoing contract work, as approved by the Engineer. The Contractor shall be responsible for properly notifying the Contractor's work force of these restrictions.

Payment for traffic control devices such as plastic barrels, barricades, pedestrian steel barricades, and warning signs used to enclose stored materials and equipment within the project limits will be paid for under the appropriately scheduled items; however, when no appropriately scheduled item or items are provided in the bid schedule, the cost of those items shall be deemed included under all scheduled items.

Materials stored on site shall be "Installed in Place" within two (2) consecutive working days of delivery to the job site, unless otherwise specified or permitted by the Engineer. (Construction supervisor will be required to maintain accurate records of all delivery dates.) No material shall be stored on site during construction shutdowns and/or stoppages scheduled to last more than five (5) consecutive working days.

(E) STORAGE OUTSIDE THE PROJECT LIMITS

The Contractor may be permitted to occupy off site street/roadway areas for material storage, subject to their availability and conformance with City wide permitting requirements for storage of materials; however, this neither implies nor guaranties the Contractor the availability and/or approval of any off site street/roadway areas.

Materials and/or equipment must be stored safely and neatly as specified above, with appropriate Maintenance and Protection of Traffic devices separating the storage area from vehicular traffic and pedestrians. Loose materials must be properly and neatly stored.

No separate payment will be made for providing off site storage site(s) where approved or for providing any traffic control devices used for off-site storage, the cost of which shall be deemed included under all scheduled items.

**7.13.4. NONCONFORMANCE.** No payment will be made under Maintenance of Site for each calendar day during which there are deficiencies in compliance with the foregoing specification requirements, as determined by the Engineer and made evident by the Engineer's failure to sign documents each day approving payment to be made under this item.

The amount of such calendar day non-payment will be determined by dividing the unit price bid per month by thirty (30).

If the Contractor fails to maintain and protect the site, or any portion thereof, adequately and safely for a period of three (3) or more consecutive hours, the Engineer may correct the adverse conditions by any means deemed appropriate, including, but not limited to, "outside services," and shall deduct the cost of the corrective work from any monies due the Contractor. The cost of this work shall be in addition to the nonpayment for site maintenance listed above.

However, where continued nonconformance with the requirements of this specification is noted by the Engineer, and prompt Contractor compliance is deemed not to be obtainable, all contract work may be stopped by direct order of the Engineer, regardless of whether corrections are made by the Engineer as stated in the paragraph above.

Furthermore, in addition to the remedies specified above, in the event the Contractor shall fail to comply, within three (3) consecutive hours after written notice from the Engineer, with the requirements of the contract and the specifications in the matter of providing facilities and services for the maintenance, protection and cleanup of the construction site, the Contractor shall pay to the City of New York, until such notice has been complied with or rescinded, the sum shown per calendar day in Schedule A, for each instance of such failure, as liquidated damages and not as a penalty, for such default.

Any money due the City of New York under this provision shall be deducted from the amounts due or to become due to the Contractor for work performed under the contract.

**7.13.5. MEASUREMENT.**

(A) MAINTENANCE OF SITE (LUMP SUM)

Payment will be made by lump sum.

(B) MAINTENANCE OF SITE (PER MONTH)

The quantity to be measured for payment under this item shall be the number of months (to the nearest 1/4 month increment) that the Contractor satisfactorily provides for the Maintenance of Site in accordance with these specifications, including winter shut down, holiday embargo, and other work suspension periods for which the Contractor remains responsible for site maintenance. Measurement for this item shall not begin until actual construction work is started at the site.

Periods where the Contractor is demobilized and not continuing the site maintenance will not be measured for payment. The Engineer will provide written notice two weeks in advance that the Contractor is being deemed to be demobilized. For the avoidance of doubt, reduced activity during winter shutdowns, holiday embargos, and other work suspension periods as shown on the Contractor's approved CPM schedule do not count as demobilization, provided the Contractor continues to be responsible for site maintenance and responsive to notifications of nonconformance per **Subsection 7.13.4** above. Should such nonconformance occur during periods of demobilization, the liquidated damages described in **Subsection 7.13.4** above may be assessed during periods where maintenance of site is not being measured for payment.

In order to incentivize early completion, the City agrees to share the savings resulting from the reduction of the quantity measured for payment under this item.

If the determination of Substantial Completion is reached at least two (2) months earlier than the Substantial Completion date set forth in the Notice to Proceed letter, plus any approved time extensions, the Contractor and the City will evenly split the saved amount. This payment will be in addition to any payments of incentive for early completion if one is specified for the Project.

For example, using a contract with a 30-month duration for achievement of substantial completion, the following would apply under these two scenarios:

1. Project substantial completion is achieved in 28.5 months: Because the contract was completed within two (2) months of the scheduled substantial completion date, the contractor is entitled to be paid the 28.5 month project duration for the Maintenance of Site, with no additional amounts due to the contractor from any savings.
2. Project substantial completion is achieved in 26 months: Because the contract was completed more than two (2) months prior to the scheduled substantial completion date, the contractor is entitled to be paid the 26 month project duration plus half of the four months saved, amounting to 28 months to be paid to the contractor for the Maintenance of Site.

**7.13.6. PRICE TO COVER.**

(A) MAINTENANCE OF SITE (LUMP SUM)

The lump sum price bid for Maintenance of Site shall include the cost of furnishing all labor, materials, plant, equipment, insurance and incidentals required to maintain, protect and clean up the site, all in accordance with the Contract Drawings, these specifications, and the directions of the Engineer. Payment will be made in proportion to the percentage of actual contract completion. The final payment for this item will be in direct proportion (whether higher or lower) to the final contract value as compared to the original contract value.

(B) MAINTENANCE OF SITE (PER MONTH)

The unit price bid per month for Maintenance of Site shall include the cost of furnishing all labor, materials, plant, equipment, insurance and incidentals required to maintain, protect and clean up the site, all in accordance with the Contract Drawings, these specifications, and the directions of the Engineer.

Where no separate item is provided for this work, the cost thereof shall be deemed to be included under all scheduled items.

*Payment will be made under:*

Item No.	Item	Pay Unit
7.13 A	MAINTENANCE OF SITE	L.S.
7.13 B	MAINTENANCE OF SITE	MONTH

## **SECTION 7.35 - PEDESTRIAN CHANNELIZER**

### **7.35.1. INTENT:**

This section describes the work of providing interlocking Pedestrian Channelizers to be used as temporary pedestrian access route between pedestrian and construction work areas when directed by the Engineer.

Pedestrian channelizer must provide the same level of pedestrian guidance as concrete or plastic barrier, but should be light weight, easier to transport, install and remove with interlocking arrangements.

### **7.35.2. MATERIALS:**

The work will consist of furnishing, maintaining, relocating, and removing Pedestrian Channelizer in sidewalk areas as per the specifications, as shown on the Contract Drawings, or where otherwise directed by the Engineer.

Pedestrian Channelizer units must meet the requirements of the following standards:

- a. 2010 ADA Standards for Accessible Design
- b. 2011 Proposed Accessibility Guidelines for Pedestrian Facilities in the Public Right-of-Way (PROWAG)
- c. 2009 Manual on Uniform Traffic Control Devices (MUTCD), with FHWA Revisions and NYS Supplements

Product should meet the crash test and evaluation criteria contained in the American Association of State Highway and Transportation Officials' (AASHTO) Manual for Assessing Safety Hardware (MASH) and have a FHWA acceptance letter.

Acceptable products include:

- a. ADA PEDESTRIAN BARRICADE – STRONG WALL by PLASTICADE
- b. ADA COMPLIANT PEDESTRIAN BARRICADE like Safety Rail & SafetyWall by PSS
- c. Urbanite 57000 Series by Urbanite
- d. Plastic Safety System Safety Wall by PAUL PETERSON SAFETY DIVISION.
- e. Or approved equivalent

### **7.35.3. METHODS:**

Pedestrian Channelizer units of the various sizes required must be furnished to the site, complete, ready to use. All units must be in good condition and acceptable to the Engineer. Pedestrian Channelizer installed must meet the requirements of the standards listed in **Section 7.35.2** above.

The Contractor must install pedestrian channelizer by placing them where shown on the Contract Drawings or where otherwise directed by the Engineer. Adjacent units must be interlocked. Weights (sand or water ballast in the unit, sandbags, concrete blocks, etc.) must be used per the manufacturer's recommendations, as necessary to ensure stability, or as directed by the Engineer. Weights must not interfere with pedestrian travel. The minimum number of interlocked barricade units in a given run must be two (2), unless otherwise approved by the Engineer. Where less than three (3) units are required and approved by the Engineer, additional measures must be taken by the Contractor to stabilize the shorter length of interlocking barricade and prevent overturning.

At corners, units four (4) feet or less in length must be used to form smooth curved runs of barricade.



Contractor must continuously maintain the temporary pedestrian steel barricades, where shown on the Contract Drawings or directed by the Engineer, until ordered by the Engineer to remove the barricades at the completion of a work stage. Should a unit or units of barricades become damaged or otherwise unacceptable to the Engineer, the Contractor must replace said units within twenty-four (24) hours of notice by the Engineer, at no additional cost to the City.

**7.35.4. MEASUREMENT:**

The quantity to be measured for payment will be the number of linear feet of Pedestrian Channelizer constructed and placed, complete, based upon the summation of the lengths of the individual units so constructed and placed.

Payment will be made for only the initial installation at any location. Whenever Pedestrian Channelizer are moved to a new location, as required by the Contract Drawings or directed by the Engineer, payment will be made in the same manner as if it were an initial installation. Whenever the Contractor proposes to move Pedestrian Channelizer to a new location, it is subject to approval of the Engineer and must be in accordance with the latest approved progress schedule. Minor movement of the pedestrian channelizer within a work area will not be considered as a movement to a new location and will not entitle the Contractor to additional payment. Minor movement within a work area includes, but is not limited to

- Movement from one side of the roadway to the other side
- Movement to adjust the roadway or work zone width
- Movement required to access the work zone or to secure the work zone
- Linear movement of less than one block within an established work zone
- Rearrangement within a work area

No payment will be made: for non-interlocked units of barricade; for barricade units greater than four (4') feet in length used in corner quadrants; for movements of pedestrian channelizer made for the Contractor's convenience; for movement of barricades at a given location at the end of a work period and subsequent replacement at the same location at the beginning of the next work period; for movement of barricades at a given location during a work period and subsequent replacement at the same location during the same work period; or for the interchanging of barricades between initial installations.

**7.35.5. PRICE TO COVER:**

The contract price bid per linear foot for PEDESTRIAN CHANNELIZER will cover the cost of furnishing all labor, materials, plant, equipment, insurance, and necessary incidentals required to furnish, install, maintain, relocate, and remove PEDESTRIAN CHANNELIZER, complete with weights for stability, in accordance with the Contract Drawings, the specifications, and the directions of the Engineer.

Where there is no scheduled item for temporary Pedestrian Steel Barricades, the cost of furnishing, installation, maintenance, relocation, and subsequent removal of PEDESTRIAN CHANNELIZER as required will be deemed included in the unit price bid for the Maintenance and Protection of Traffic Item.

*Payment will be made under:*

<b>Item No.</b>	<b>Item</b>	<b>Pay Unit</b>
7.35	PEDESTRIAN CHANNELIZER	L.F.

**SECTION 7.49 K - STEEL TREE PIT GUARD – TYPE K****7.49 K.1. DESCRIPTION:**

Under this Section the Contractor will furnish and erect Steel Tree Pit Guard fence of the sizes specified in accordance with the Contract Drawings, the specifications and directions of the Engineer.

**7.49 K.2. MATERIALS:**

- A. STEEL TREE PIT GUARD fence will be constructed of solid (not hollow) bars, posts, pickets, and rails of the sizes shown on the Contract Drawings. All material must conform to Specifications C1015 of the American Iron and Steel Institute (AISI).

Steel Tree Pit Guard fence fabricators will be one of the following or an approved equivalent fabricator:

1. Art Metals, LLC, Don Jenkins  
76 Edsall Drive  
Sussex, NJ 07461  
718-834-0224  
[artech@ptd.net](mailto:artech@ptd.net)
2. A&T Iron Works, Nick Visconti  
25 Cliff Street  
New Rochelle, NY 10801  
800-523-0973
3. Cape Cod Fabrications, Inc., Rich Corner  
P.O. Box 634  
210 Nathan Ellis Highway  
N. Falmouth, MA 02556  
508-564-5777

Steel Tree Pit Guard fence will be fabricated in strict accordance with the contract drawings and approved Shop Drawings. Posts, pickets, bars and rails will be formed into panels of the shapes on the contract drawings and joints completely welded with welds of proper size and shape; all welds ground smooth to a neat finish.

Posts and pickets must, in all cases, be truly vertical. Rails and bars must be parallel to grade as shown on the contract drawings. All posts, pickets, bars and rails will be solid steel. Dimensions of the various tree pit guard fence lengths may vary as required by existing site conditions and as directed by the Engineer, in consultation with NYC Department of Parks and Recreation.

- B. CONCRETE for encasement of the tree guard extended steel posts must be Class B-32, Type I/IA and comply with the requirements of Sections 3.05 and 4.06 of the N.Y.C. Department of Transportation, Standard Highway Specifications.
- C. SEALANT around tree pit guard fence posts will be a one-component, cold-applied, silicone material that cures with atmospheric moisture to form a flexible, low-modulus 100% silicone rubber joint seal which meets or exceeds both Federal Specifications TT-S-001543A Class A (one-part silicone sealants) and TT-S-00230C Class A (one-part silicone sealants), and listed in the NYS Department of Transportation's Materials and Equipment Approved List for "SILICONE JOINT SEALANTS FOR PAVEMENTS (705-05)".

D. PAINT: Steel Tree Pit Guard fence must receive three (3) coats of paint. A field applied touch-up coat will be applied at the discretion of the Engineer. Immediately prior to painting, all surfaces of framework must be thoroughly free of debris. All surfaces that are rust free will be treated in accordance with SP-1, Solvent Cleaning. Treatment will be performed with a solvent such as mineral spirits, xylol, or turpentine to remove all dirt, grease, and foreign matter. Surfaces that show evidence of scale and rust must be cleaned in accordance with S S P C SP-2, Hand Tool Cleaning, a method generally confined to wire-brushing, sandpaper, hand scrapers, or hand impact tools or SSPC SP-3, Power Tool Cleaning, a method generally confined to power wire brushes, impact tools, power sanders, and grinders in order to achieve a sound substrate. After the Steel Tree Pit Guard fence has been cleaned and prepared, it will be painted as follows:

- (1) First Coat (Shop Applied): Sherwin Williams # E41N1 Metal Primer, Brown, or approved equivalent. Primer is an alkyd oil, flat finish coating having a dry film thickness of 3 to 4 mils. Paint requires twenty-four (24) hours drying time before recoating. Performance must meet or exceed the standards of Federal Specification TT-P-86H.
- (2) Second Coat (Shop Applied): Sherwin Williams High Solids Alkyd Metal Primer, B50 Series, Reddish Brown, or approved equivalent. Primer is an alkyd, low luster coating having a dry film thickness of 3-5 mils. Paint requires four (4) hours drying time before recoating (with alkyds)
- (3) Third Coat (Shop Applied): Sherwin Williams Silicone Alkyd Low VOC B56Z Black, or approved equivalent. Topcoat is a silicon alkyd, high gloss coating having a dry film thickness of 2 - 4 mils. Paint requires sixteen (16) hours drying time @ 45° F; eight (8) hours @ 77°F. (tack free).

Alternative paint manufacturers to be Benjamin Moore & Co.; Pratt and Lambert, Inc.; PPG Industries; or other approved manufacturer. All paints used must be compatible and the product of the same manufacturer.

All paints must be applied when the ambient air temperature is fifty (50) degrees F minimum and rising and when surfaces to be painted are moisture free. No painting will be allowed below the minimum ambient air temperature. In addition, no painting will be allowed below the temperature at which moisture will condense on surfaces. Refer to the Dew Point Chart at the end of this section to find the minimum allowed moisture free temperature.

Touch-up and Repair: For minor damage caused by installation or transportation, touch-up finish in conformance with manufacturer's recommendations. Provide touch-up such that repair is not visible from a distance of six (6') feet.

**DEW POINT CALCULATION CHART (FAHRENHEIT)**  
AT 30 HG. BAROMETRIC PRESSURE

		Ambient Air Temperature °F										
		20	30	40	50	60	70	80	90	100	110	120
% Relative Humidity	90	18	28	37	47	57	67	77	87	97	107	117
	85	17	26	36	45	55	65	75	84	95	104	113
	80	16	25	34	44	54	63	73	82	93	102	110
	75	15	24	33	42	52	62	71	80	91	100	108
	70	13	22	31	40	50	60	68	78	88	96	105
	65	12	20	29	38	47	57	66	76	85	93	103
	60	11	19	27	36	45	55	64	73	83	92	101
	55	9	17	25	34	43	53	61	70	80	89	98
	50	6	15	23	31	40	50	59	67	77	86	94
	45	4	13	21	29	37	47	56	64	73	82	91
	40	1	11	18	26	35	43	52	61	69	78	87
	35	-2	8	16	23	31	40	48	57	65	74	83
30	-6	4	13	20	28	36	44	52	61	69	77	

Dew Point: The temperature at which moisture will condense on the surface. No coatings should be applied unless the surface temperature is a minimum of 5°F above this point. Temperature must be maintained during curing.

Example: If air temperature is 70°F and relative humidity is 65%, the dew point is 57°F. No coating should be applied unless the surface temperature is 62°F minimum.

**7.49 K.3. INSTALLATION:**

- (A) The Contractor will excavate and set in place the footing forms used to embed the posts of the steel tree pit guard fences in concrete. The forms will be embedded in the soil as shown on the Contract Drawings prior to setting the steel fence posts for encasement in concrete.
- (B) Steel Tree Pit Guard fences must be fabricated in strict accordance with the contract drawings and approved shop drawings. Posts, pickets, bars, and rails will be formed into panels of the shapes shown on the Contract Drawings. Connections must be provided as indicated on the plans. All welding must be performed in accordance with ANSI/AWS D1.1 and ANSI/AWS D1.4. Welding must not be performed when the base metal temperature is lower than 32 degrees Fahrenheit. Joints must be fully seam welded with welds of proper size and shape. Stitch welds and tack welds are not acceptable as final welds for the joints. All welds must be ground smooth to a neat finish.
- (C) Extended steel posts of the tree pit guard fence will be concrete encased as shown on the Contract Drawings.
- (D) Posts and pickets will, in all cases, be set truly vertical. Rails and bars will be parallel to grade as shown on the Contract Drawings. Dimensions of individual tree pit guard fence lengths may vary as required by existing site conditions, in accordance with the directions of the Engineer. Any guards not set plumb and true to line and grade will be removed and replaced at the Contractor’s expense. The Contractor will maintain the Guards during the life of the contract and will repair or replace all members that are disturbed, damaged or destroyed.

**7.49 K.4. SUBMITTALS:**

- (A) PRODUCT DATA: For manufacturer’s product lines assembled from components listed in materials.
- (B) SHOP DRAWINGS: Include plans and elevations for entire lengths for all planting beds. Drawings must indicate attachments, anchoring and connecting hardware. Indicate all field and shop welds.
- (C) SAMPLES: Individual samples of short rails, bars, pickets and posts and samples showing finishes.

- (D) QUALIFICATION DATA: For firms required to demonstrate their capabilities and experience. Include lists of completed projects with project names and addresses, names of addresses of designers and owners, and other information specified.
- (E) PRODUCT TEST REPORTS: Based on evaluation of comprehensive tests performed by a qualified testing agency, including finish, indicating compliance with referenced standard.
- (F) MOCK-UP: Assembled samples of the Steel Tree Pit Guard made from full-size finished components, including all connecting hardware. Show method of finishing members at intersections and posts. Sample must be full height and may be used in final installation if workmanship and finishes are accepted to Engineer.

**7.49 K.5. MEASUREMENT:**

The quantity of each type of STEEL TREE PIT GUARD – TYPE K fence to be measured for payment will be the number of LINEAR FEET of tree pit guard fence furnished and erected, complete, in place in accordance with the plans and specifications and to the satisfaction of the Engineer, measured along the top rail.

**7.49 K.6. PRICES TO COVER:**

The unit price bid per LINEAR FOOT of each type of STEEL TREE PIT GUARD – TYPE K fence will cover the cost of all labor, material, equipment, insurance, and incidentals required to fabricate, furnish and erect steel tree pit guards including, but not limited to, welding and painting, furnishing, placing and removal of the concrete footing forms, and furnishing and placing concrete for encasement of the extended steel tree pit guard posts; all in accordance with the Contract Drawings, the specifications and as directed by the Engineer.

*Payment will be made under:*

Item No.	Item	Pay Unit
7.49 K	STEEL TREE PIT GUARD - TYPE K	L.F.

**SECTION 7.70 TPR - Temporary Pedestrian Ramp  
(NOT A PAY ITEM)**

**7.70TPR.1. INTENT.**

This section describes the work of providing Temporary Pedestrian Ramps (TPRs) near new pedestrian construction work areas and the ADA guidelines. TPRs should provide access to sidewalk routes when pedestrian ramps are not accessible due to construction activities going on or around pedestrian ramps.

The work must consist of furnishing, installation, maintaining, relocating, and removing temporary pedestrian ramps in order to access sidewalk areas as shown on the Contract Drawings or where otherwise directed by the Engineer.

TPR must consist of temporary pedestrian ramp units having a geometry similar to that shown on the contract drawings, as directed the Engineer and complying with the following requirements:

- a. TPR running slope must not exceed 1:12 ramp slope, which equals 4.8 degrees slope or one foot of wheelchair ramp for each inch of rise. For instance, a 30 inch rise requires a 30 foot handicap wheelchair ramp.
- b. Cross slope must not exceed 1:48.
- c. Handrails must be provided on both sides if rise is more than 6 inches.
- d. A minimum 5' x 5' flat, unobstructed area at the top and bottom of the ramp
- e. A Minimum width of 36 inches of clear space across the wheelchair ramp.
- f. Maximum run of 30 feet will be allowed ramp before a rest or turn platform.
- g. Ramp handrail height will be between 34" and 38" in height on both sides of the wheelchair ramps.
- h. The TPR must allow for normal street drainage.

**7.70TPR.3. MATERIALS AND METHODS.**

a. **LUMBER TPR:** Lumber should have a slip-resistant surface. Use pressure treated wood for most of the wood material. Lumber must be preservative-treated southern pine, grade #2 or better. Pressure treated lumber must resist rot and decay with level of treatment as per American Wood Council latest standards. Decking board for the TPR will be at a minimum 2" thick.

b. **ALUMINUM TPR:** Aluminum should be high traction (e.g., textured extruded aluminum surface), dock plate with a coefficient of friction not less than 0.5 and made from high strength, lightweight aluminum; it should be slip-resistant and have a self-adjusting ground transition plate. The Ramp, Step, and Platform system is designed to be a rigid, free-standing structure. All footplates should be fastened securely to a concrete surface or 12" minimum diameter footings in order to achieve full structural integrity. Footing depth will depend on local building code.

Fastening all platforms to the building or modular building with lag screws is highly recommended.

c. **FIBERGLASS/PLASTIC TPR:** Fiberglass/ Plastic ramps should be able to take minimum wheelchairs, foot traffic etc., not less than 550 lbs., should be ADA compliant and adapts to varying curb heights from minimum of 2.5" to 7". Should be anti-slip with high visibility surface and must be bolted for maximum stability and security. Coefficient of friction will not be less than 0.5.

All ramp handrails and ramp guardrails are designed to withstand a concentrated load of 200 pounds applied in any direction on the top of the rail.

All Ramp handrails are designed to be continuous along ramp runs and in between the inside corner of 90 degree and 180 degree turns in ramp direction. Handrails are not interrupted by posts or other obstructions.

Platforms and landings will be designed to carry a uniform live load of 100 pounds per square foot and a concentrated vertical load of 300 pounds in an area of one square foot.

Walking surfaces for serial number 1, 2 and 3 above must be designed to have a coefficient of friction no less than 0.50 in all directions of travel.

**7.70TPR.4. METHODS.**

All units must be in good condition and acceptable to the Engineer.

The Contractor must install the TPR by placing them where shown on the Contract Drawings, specifications and as directed by the Engineer. All the modular ramps will be assembled as per manufacturer's instructions.

If the modular ramps are pre-assembled and transported to their locations, Contractor must use utmost care in the delivery and installation of these units.

Units can also be fabricated/constructed at site with the approval of the Engineer.

Contractor must continuously maintain the TPR, where shown on the Contract Drawings or directed by the Engineer, until ordered by the Engineer to remove the completion of a work stage. Should a unit or units of barricades become damaged or otherwise unacceptable to the Engineer, the Contractor must replace said units within twenty-four (24) hours of notice by the Engineer, at no additional cost to the City.

**7.70TPR.5 NONCONFORMANCE.**

Please see subsection 6.70.12(C)

**7.70TPR.6. MEASUREMENT AND PAYMENT.**

Not a pay item

**SECTION 9.23 – Asphalt, Fuel, and Steel Price Adjustment Allowance****9.23.1. SCOPE AND INTENT**

(A) This section will provide for additional compensation to the Contractor for increases, or repayment by the Contractor for decreases, in the price of asphalt, fuel, or steel products.

(B) Price Adjustments will be made only for eligible work as defined below. With respect to asphalt and steel eligible work items, price adjustment will be paid, if eligible, only after the items have been permanently incorporated into the Work and accepted by the Commissioner. With respect to fuel, price adjustment will be paid, if eligible, only after fuel has been delivered to the Project site.

(C) No adjustment will be provided for any extra work paid by fixed price in accordance with the Standard Construction Contract Article 25.3.2 or paid for on a time and material basis per Standard Construction Contract Article 26. Additional quantities of existing Contract pay items at original bid prices will be considered eligible for asphalt, fuel, and steel price adjustments.

(D) Temporary work performed by the Contractor at its own expense will not be eligible for price adjustment. Notwithstanding the foregoing, temporary asphalt will be eligible if shown on the Contract Drawings or required to complete the Work and must be approved in advance by the Engineer.

(E) The Contractor, its Subcontractor(s) and/or Materialmen, must, when directed by the Commissioner, provide any and all Project documents and/or records the Commissioner deems pertinent to his/her determination with respect to the price adjustment. If requested by the Commissioner, the Contractor, its Subcontractor(s) and/or Materialmen, must provide copies of Project documents and/or records.

(F) Failure by the Contractor, its Subcontractor(s) and/or Materialmen, to comply strictly with the requirement to provide Project records will constitute a waiver of any claim for additional compensation the Contractor may have in connection with the price adjustment request.

(G) Project documents and/or records include, without limitation, Bid and Contract Documents, shop drawings, manufacturing and/or shipping data, as-built drawings, books of account, financial statements, invoices, vouchers, records, daily job diaries and reports.

(H) If the Contractor is paid additional compensation in accordance with this Section, the Contractor must pay a properly allocated share of such additional compensation to the applicable Subcontractor(s) and/or Materialmen.

**9.23.2. PRICE ADJUSTMENT VALUES**

(A) The monthly average asphalt prices, monthly average fuel prices, steel cost basis and steel index values will be posted on the NYS Department of Transportation (NYSDOT) website: <https://www.dot.ny.gov/main/business-center/contractors/construction-division/fuel-asphalt-steel-price-adjustments>

(B) Historical index values are available as issued Engineering Bulletins on the NYSDOT website: <https://www.dot.ny.gov/eieb>



**9.23.3. ASPHALT PRICE ADJUSTMENT****(A) Price Changes.**

The asphalt price adjustment will be based solely on the price changes for asphalt as determined by the formulas below. No adjustment will be made if the monthly average posted price is within \$15.00 of the asphalt index price. No consideration will be given to the situation where the price paid by the Contractor, its Subcontractors, or the Contractor's or Subcontractor's supplier(s) exceeds the monthly average posted price.

**(B) Applicability.**

The asphalt price adjustment will apply to all permanent asphalt pavement items. The asphalt price adjustment will apply to temporary asphalt pavement if the temporary asphalt is shown on the Contract Drawings or approved in advance by the Commissioner. No price adjustment will be made for tack coat or pothole cold patch.

**(C) Prices.**

The asphalt index price and the monthly average posted price are defined as follows:

1. Asphalt Index Price. The asphalt index price is a price per ton of binder (also referred to as liquid bitumen or asphaltic cement) used solely as a basis from which to compute asphalt price adjustments. The asphalt index price will be the monthly average posted price for the month and year the bid opening for the Project.
2. Monthly Average Posted Price. The monthly asphalt index prices will be determined by NYSDOT using the methods set forth in NYSDOT Standard Specification Section 698.

**(D) Quantity.**

The quantity of asphalt in tons considered for adjustment will be determined by the tons of asphalt actually placed. This will be calculated using the measured volume of asphalt placed, and the asphalt's in-place density, as measured in the field. Quantities of asphalt will be measured to the nearest 0.1 ton.

**(E) Adjustment.**

Asphalt price adjustment will be based on the following formulas:

1. When price increases: Price Adjustment = (Quantity of Asphalt) x (Monthly Average Posted Price – Asphalt Index Price - \$15.00)
2. When price decreases: Price Adjustment = (Quantity of Asphalt) x (Monthly Average Posted Price – Asphalt Index Price + \$15.00)

**(F) Payment of the Price Adjustment.**

The Contractor is required to keep a log of all asphalt incorporated into the Project that is eligible for the price adjustment. The log must keep track of the date when the asphalt was purchased, the quantity of the asphalt, the Asphalt Index Price and the Monthly Average Posted Price, as determined in accordance with 9.23.3.C.

When the adjustment amount, calculated in accordance with 9.23.3.E, exceeds \$10,000.00 for all eligible asphalt incorporated into the Project, the Contractor must submit with its monthly payment requisition, the request for payment of the asphalt price adjustment.

#### **9.23.4. FUEL PRICE ADJUSTMENT**

##### **(A) Price Changes.**

The fuel price adjustment will be based solely on the price changes for fuel as determined by the formulas below. No adjustment will be made if the monthly average posted price is within \$0.10 per gallon of the fuel index price. No consideration will be given to the situation where the price paid by the Contractor, its Subcontractors, or the Contractor's or Subcontractor's supplier(s) exceeds the monthly average posted price.

##### **(B) Applicability.**

The intent of the fuel price adjustment is to cover on-site equipment and vehicles only as delineated below.

1. The fuel price adjustment will apply for fuel used in:
  - a. Diesel equipment used on site, such as backhoes, excavators, cranes.
  - b. Stationary equipment used on site, such as trailer or skid mounted compressors, generators, or light towers.
  - c. Gasoline or diesel trucks and vans that are assigned to the site full-time, which may be used for off-site pickups and deliveries.
  - d. Equipment used for temporary heating.
2. The fuel price adjustment will not apply to:
  - a. On-site gasoline powered hand tools, such as chainsaws, cut-off saws, pressure washers, small generators, etc.
  - b. Vehicles (cars, pickup trucks) that are also used for commuting.
  - c. Delivery vehicles.
  - d. Any equipment at the Contractor's shop, manufacturer's shop, or other off-site facility.

##### **(C) Prices.**

The fuel index price and the monthly average posted price are defined as follows:

1. Fuel Index Price. A price per gallon of fuel used solely as a basis from which to compute fuel price adjustments. The fuel index price will be the monthly average posted price for the month of the bid letting.
2. Monthly Average Posted Price. The monthly fuel index prices will be determined by NYSDOT using the methods set forth in NYSDOT Standard Specification Section 698.

##### **(D) Quantity.**

The quantity of fuel in gallons considered for adjustment will be determined by invoices for fuel delivered to the Project site. Quantities of fuel will be measured to the nearest 0.01 gallon.

## (E) Adjustment.

Fuel price adjustment will be based on the following formulas:

1. When price increases:  $\text{Price Adjustment} = (\text{Quantity of Fuel}) \times (\text{Monthly Average Posted Price} - \text{Fuel Index Price} - \$0.10)$
2. When price decreases:  $\text{Price Adjustment} = (\text{Quantity of Fuel}) \times (\text{Monthly Average Posted Price} - \text{Fuel Index Price} + \$0.10)$

## (F) Payment of the Price Adjustment.

The Contractor is required to keep a log of all fuel incorporated into the Project that is eligible for the price adjustment. The log must keep track of the date when the fuel was purchased, the quantity of the fuel, the Fuel Index Price and the Monthly Average Posted Price, as determined in accordance with 9.23.4.C.

When the adjustment amount, calculated in accordance with 9.23.4.E, exceeds \$10,000.00 for all eligible fuel delivered to the Project site, the Contractor must submit with its monthly payment requisition, the request for payment of the fuel price adjustment.

**9.23.5. STEEL PRICE ADJUSTMENT**

## (A) Applicability.

The intent of the steel price adjustment is to cover steel materials as follows. For the purposes of this section, steel includes all steel alloys, stainless steel alloys, iron, and ductile iron.

1. Steel price adjustment will apply to groups of similar material content.
2. The steel price adjustment will apply to the following Material Groups:
  - a. Structural steel
  - b. Reinforcing bars
  - c. Steel water mains, appurtenances, and valves
  - d. Ductile iron water and sewer pipes, appurtenances, fittings, and valves
  - e. Steel piles and minipile casings
  - f. Municipal steel and iron castings (manhole covers, sewer grates, etc.)
  - g. <enter material group>
3. The steel price adjustment will not apply to the following:
  - a. Steel in fabricated elements, such as traffic signal cabinets, or electrical fixtures and boxes
  - b. Handrails, access ladders, edging strips and other miscellaneous metals
  - c. Anchor bolts and fasteners
  - d. <enter material group>

(B) For each Material Group listed, the Contractor must also identify the parties whose relationship establishes the invoice date. If the parties are known, they must be identified by name. If the two parties are not known, they must be identified by role (Contractor, Subcontractor, Materialman, fabricator, etc.). Different parties may be identified for scopes within a Material Group for the purposes

of establishing an invoice date. If the Contractor does not provide a list of materials to which to apply the steel price adjustment, no steel price adjustment will be made.

(C) If the percentage change for a given month does not exceed 5% plus or minus, from the benchmark steel index, no adjustments will be made for materials invoiced that month.

(D) The percentage change for each material group identified in Article 9.23.5.A.2 above will be determined using the month that the largest value of materials were invoiced.

(E) The weight of the steel must exclude minor appurtenances individually weighing less than 5 lbs (i.e., nuts, bolts, washers, etc.) and non-steel components, such as door insulation or glazing. Precast or prestressed concrete items must have total reinforcing steel weight listed on the approved shop drawings. The following sources must be used, in declining order of precedence, to determine the weight of steel: approved shop drawings; verified shipping documents; Contract Documents; industry standards (i.e., AISC Manual of Steel Construction, AWWA Standards, etc.); and manufacturer's data.

1. Indexes and Prices. Adjustments are based on the Producer Price Index (PPI) for Semifinished Steel Mill Products (WPU 101702). PPI values are published by the US Department of Labor, Bureau of Labor Statistics (BLS). Recent PPI values are posted on the NYSDOT website linked above. The Cost Basis, Benchmark Steel Index, Monthly Steel Index, and the Percentage Change are defined as follows:
  - a. Cost Basis (CB). An average price of steel products in dollars per ton used solely as a cost basis from which to compute steel price adjustments. The cost basis for original Contract bid price items and additional work at the original Contract bid price will be the cost basis listed for the month of the bid letting. The cost basis for additional work at agreed price will be the value of the cost basis for the month the agreed price was submitted to the Commissioner.
  - b. Benchmark Steel Index (BI). The benchmark steel index for original Contract bid price items and additional work at the original Contract bid price will be the value of the preliminary PPI for the month of the bid letting. The benchmark steel index for additional work at agreed price will be the value of the preliminary PPI for the month the agreed price was submitted to the Commissioner.
  - c. Monthly Steel Index (MI). Value of the final PPI for the month the material is invoiced.
  - d. Percent Change. The percent change in any given month will be determined as follows:

$$\text{Percentage Change} = \left( \frac{MI - BI}{BI} \right) \times 100$$

(F) The quantity of steel for adjustment of each Material Group will be measured to the nearest 0.1 tons.

1. Percent Change Greater Than +5%. If the Percentage Change is greater than +5% from the benchmark steel index, Price Adjustments will be made for materials invoiced that month. The Contractor must provide the Commissioner a detailed list of the weight of eligible materials within 60 calendar days after installation, including: the Contract pay item, the weight of steel, the month(s) of invoice, the source used to determine the weight, and if requested by the Engineer, copies of invoices to verify the month of invoice.
2. Percent Change -5% to +5%. If the Percentage Change is between -5% and +5%, inclusive, from

the benchmark steel index, no adjustments will be made for materials invoiced that month.

3. Percent Change Lower Than -5%. If the Percentage Change is lower than -5% from the benchmark steel index, a Price Adjustment will be charged to the Contractor for materials invoiced that month. The Contractor must provide the Commissioner a detailed list of the weight of eligible materials within 60 calendar days after installation, including: the Contract pay item, the weight of steel, the month(s) of invoice, the source used to determine the weight, and copies of invoices to verify the month of invoice.

(G) Adjustment.

Steel price adjustment will be made for all the materials which the Contractor opted to apply the steel price adjustment, based on the following formulas:

1. When price increases:

$$Price\ Adjustment = \left[ \left( \frac{MI - BI}{BI} \right) - 0.05 \right] (CB) Qty$$

2. When price decreases:

$$Price\ Adjustment = - \left[ \left( \frac{MI - BI}{BI} \right) + 0.05 \right] (CB) Qty$$

(H) Payment of the Price Adjustment.

Steel Price Adjustment will be paid once during the Project duration for each eligible Material Group after the final PPI is available to set the Monthly Steel Index for the invoice month determined in Article 9.23.5.D above.

**9.23.6. MEASUREMENT AND PAYMENT**

(A) The fixed sum shown in the Bid Schedule for Price Adjustments Allowance will be considered the price bid for this item. The fixed sum is not to be altered in any manner by the bidder. Should the amount shown be altered, the new figures will be disregarded and the original price will be used to determine the total amount bid for the Contract. The fixed sum payment made under this item will be equal to the sum of payments and credits for price adjustments, as approved by the Commissioner, with no markup for overhead, profit, or other fees allowed. The fixed sum amount is included in the bid solely to ensure that sufficient monies will be available to pay the Contractor for the price escalation adjustment payments as delineated herein, which may be more or less than the fixed sum amount.

*Payment will be made under:*

Item No.	Item	Pay Unit
9.23	PRICE ADJUSTMENTS	F.S.

S - PAGES

## **GENERAL AND SPECIAL PROVISIONS:**

- (A) GENERAL PROVISIONS**
  - (B) HIGHWAY PROJECT SPECIFIC PROVISIONS**
  - (C) SEWER & WATER MAIN PROJECT SPECIFIC PROVISIONS**
  - (D) GREEN INFRASTRUCTURE PROVISIONS**
- 

### **NOTICE**

THE PAGES CONTAINED HEREIN (S-PAGES) ARE GENERAL AND SPECIAL PROVISIONS THAT WILL APPLY TO AND BECOME PART OF THE CONTRACT.

(NO TEXT ON THIS PAGE)

**TABLE OF CONTENTS**  
**(A) GENERAL PROVISIONS**

<b>ARTICLE</b>	<b>DESCRIPTION</b>	<b>PAGE NO.</b>
A	LINES AND GRADES	S-1
B	SPECIFIC TRAFFIC STIPULATIONS	S-1
C	HOLIDAYS CONSTRUCTION EMBARGO	S-1
D	CONTRACT ITEMS THAT INCLUDE BACKFILL AS PART OF THEIR WORK	S-2
E	ACCELERATED PROJECT SCHEDULE AND COMBINATION OF STAGES	S-2
F	DISPOSAL OF EXCESS EXCAVATED MATERIAL	S-2
G	NO EXTENSIONS OF TIME FOR WINTER SHUT-DOWN	S-2
H	PRIVATE UTILITY HARDWARE ADJUSTMENTS	S-2
I	SURVEY MONUMENTS	S-2
J	RESTORATION OF ADJACENT AREAS	S-2
K	FLAGGERS	S-2
L	FUEL COST	S-3
M	NYCDPR CONSTRUCTION PERMITS AND OTHER REQUIREMENTS	S-3
N	START OF CONTRACT WORK	S-4
O	STANDARD WORKING HOURS	S-4
P	TREE BARRIERS	S-4
Q	UTILITIES	S-4
R	HOUSE CONNECTIONS	S-4
S	STREET LIGHT AND TRAFFIC SIGNAL	S-4
T	SAW CUT	S-5
U	PRE-CONSTRUCTION STAGE	S-5



<b>ARTICLE</b>	<b>DESCRIPTION</b>	<b>PAGE NO.</b>
V	EXISTING SEWERS. WATER AND APPURTENANCE	S-5
W	RECONNECTING EXISTING SEWERS TO NEW MANHOLES	S-5
X	VIBRATION MONITORING	S-5
Y	CITY ASSETS	S-6
Z	"AS-BUILT" DRAWINGS FOR WATER MAINS AND APPURTENANCES 24-INCHES (600-MM.) AND LARGER	S-6
AA	NO ADDITIONAL PAYMENT	S-7
AB	SHEETING AND EXCAVATION AT TRANSIT FACILITIES	S-7
AC	ARCHAEOLOGICAL DISCOVERIES	S-7
AD	USE OF CITY WATER	S-8
AE	PUBLIC DISSEMINATION OF INFORMATION	S-8
AF	PRICES TO INCLUDE	S-9

**(B) HIGHWAY PROJECT SPECIFIC PROVISIONS**

**PROVISIONS**

<b>ARTICLE</b>	<b>DESCRIPTION</b>	<b>PAGE NO.</b>
1.	OCMC TRAFFIC STIPULATIONS	S-11

**DRAWINGS**

(NO TEXT)

**(C) SEWER & WATER MAIN PROJECT SPECIFIC PROVISIONS**

**PROVISIONS**

<b>ARTICLE</b>	<b>DESCRIPTION</b>	<b>PAGE NO.</b>
1.	EXISTING SEWERS, MANHOLES, ETC.	S-18
2.	RECONNECT ALL REMAINING PIPES TO PROPOSED MANHOLES	S-18
3.	RECONNECT HOUSE DRAINS	S-18
4.	PAVEMENT RESTORATION	S-18
5.	PRICES TO INCLUDE	S-18

**DRAWINGS**

<b>SKETCH</b>	<b>DESCRIPTION</b>	<b>PAGE NO.</b>
1-2	TYPES OF PAVEMENTS RESTORATION	S-19

**(D) GREEN INFRASTRUCTURE PROVISIONS**

(NO TEXT)	S-20
-----------	------

## (A) GENERAL PROVISIONS

A. LINES AND GRADES. The Contractor must furnish lines and grades in accordance with Section 1.06.27 of the NYCDOT Standard Highway Specifications, except that survey controls established for this project may no longer exist and the Contractor will be required to re-establish the survey control information using official Borough Survey Control Monuments and Bench Marks, where they exist. The Contractor must check with Topographic Section of the Borough President's Office as to the reliability and accuracy of the data to be used for lines and grades.

B. SPECIFIC TRAFFIC STIPULATIONS. Under this contract, the Contractor must perform the work in strict accordance with the requirements of Section 6.70 in the Standard Highway Specifications, specific traffic stipulations as called for on the plans, Office of Construction Mitigation and Coordination (OCMC) Traffic Stipulations attached to the end of these Special Provisions, and the directions of the Engineer. In case of a conflict, the Engineer's decision will be final.

The Contractor must plan and schedule the work in order to accommodate all special events listed in the NYCDOT Special Events Annual Calendars. The calendars are available at the following link:

[https://nycdot.sharepoint.com/:f:/s/publicshare/Ei2XN4G99\\_JFkdrtd\\_cj0QBtSPR2xhVrApcqAs1KSLpeA?e=j276PF](https://nycdot.sharepoint.com/:f:/s/publicshare/Ei2XN4G99_JFkdrtd_cj0QBtSPR2xhVrApcqAs1KSLpeA?e=j276PF)

In addition, the cost of compliance with requirements of the OCMC Traffic Stipulations, unless otherwise provided for, will be deemed included in the prices bid for all scheduled items..

C. HOLIDAY CONSTRUCTION EMBARGO. A special Holiday Construction Embargo will be in effect on the Friday of the week preceding Thanksgiving Day week from 6:00 AM to 11:59 PM and again from the Monday of Thanksgiving Day week from 6:00 AM through January 2, at 11:59 PM. Roadway and sidewalk construction activities will be restricted during the embargo period on the streets listed below\*.

Any permits issued prior to the date of this notice, for work during this embargo period on the streets listed below\* which do not already have the permit stipulation "410" are hereby suspended for the period noted above. All permittees must comply with this embargo unless a special waiver is granted by OCMC. Waiver requests must be filed at least thirteen days before Thanksgiving Day, in the Permit Office by filing a "Request for Roadway/Sidewalk Permits During "Embargo Periods" and submitting supporting documentation. Waiver requests should only be submitted for critical reasons for a specific project. If a waiver is granted, the applicant will be notified so they can apply for the approved permits. Waivers **are not** required for ongoing Building Construction Activity Permits which already include the "410" permit stipulation. Waiver request forms may be obtained at any Permit Office or on the Department of Transportation's website at:

<http://www.nyc.gov/html/dot/downloads/pdf/holidayembapp.pdf>

Prior to this embargo period all necessary measures must be taken so that all roadways and sidewalks are in proper condition to allow for the expeditious and safe movement of vehicular, bicycle and pedestrian traffic. Tool carts, cable reels, containers, and material stored on roadways must be removed during the embargo period.

The opening of utility access covers is prohibited on any of the streets noted below\*\* between the hours of 6:00 AM and midnight unless the utility or Contractor files for an Emergency Authorization Number as required by Section 2-07 of the Department of Transportation's Highway Rules. The planned opening of utility access covers may occur during the hours of 12:01 AM and 5:59 AM where no authorization number is required.

Temporary restoration of the streets and sidewalks and removal thereof, if required for the Holiday Embargo period, will be paid for under the appropriate scheduled items.

No extension of time due to the shutdown period will be granted to the Contractor for completion of the work.

---

\* Please note that this embargo only applies to NYCDOT construction permits.

\*\* List of street and maps of the affected locations are available by borough on the Department of Transportation's website at: <http://www.nyc.gov/html/dot/html/motorist/trafalrt.shtml>

D. CONTRACT ITEMS THAT INCLUDE BACKFILL AS A PART OF THEIR WORK. The following will pertain to all contract items that have backfill as a part of their work: Backfilling will comply with Subsection 4.11.3 of the Standard Specifications and no additional payment will be made for any Highway or Street Lighting work item requiring Contractor to furnish additional fill material to meet these requirements when backfilling.

E. ACCELERATED PROJECT SCHEDULE AND COMBINATION OF STAGES. Contractor will plan and/or stage his/her work schedule using all hours/days available. Contractor is advised that all applicable unit prices will include, for the purpose of this contract, all overtime costs, premium time costs, shift differentials required to complete construction within the specified "Time(s) of Completion" stipulated in this contract.

Contractor will be permitted to accelerate this project, to combine stages and/or work sequences. Any such changes will be shown in the construction schedule, to be furnished in accordance with the General Provisions of the Standard Specifications.

F. DISPOSAL OF EXCESS EXCAVATED MATERIAL. All excess excavated material, with the exception of contaminated material, will become the property of the Contractor and will be properly disposed of away from the site, at the Contractor's expense. Contaminated material will be disposed of separately in accordance with contract requirements.

G. NO EXTENSION OF TIME FOR WINTER SHUT-DOWN. Where the Contractor's approved work schedule for installing sidewalk, curb, roadway base and/or pavement falls within the winter period of December 1st through April 1st, the Contractor will NOT be granted an extension of time for completion of this contract due to the winter shut-down period, unless otherwise provided in Schedule A.

H. PRIVATE UTILITY HARDWARE ADJUSTMENTS. will be performed by the owning utility company or its agent, at its expense. The Contractor must notify the utility company 72 hours prior to start of work at each location where its hardware requires adjustment.

I. SURVEY MONUMENTS. When working in the vicinity of survey monument the Contractor will hand excavate per Item 8.02 AB-S, 8.02 A and 8.02 B (as applicable), at City Survey Monuments, for a distance of five (5) feet around each monument, as directed by the Engineer.

J. RESTORATION OF ADJACENT AREAS. The Contractor will be required to remove all form work. In planting strip areas, the Contractor will be required to restore areas damaged as a result of the Contractor's operations, to the satisfaction of the Engineer, with sod. The Contractor will also, as directed by the Engineer, make safe adjacent areas to the Contractor's work, such as: restoring missing or damaged pavement markings that were removed or damaged as a result of the Contractor's operations (as per requirements of Section 6.44 in the Standard Specifications); resetting granite blocks in tree pits; and, applying binder mixture (Item 4.02 CA or as applicable) where badly broken sidewalk or curb may create a dangerous condition just outside his area of operation, where and when directed by the Engineer.

All restoration work must be performed to the satisfaction of the Engineer.

K. FLAGGERS. The Contractor is notified that wherever the Item No. "6.52" and words "flagger", "flagperson" and "flagman" are used in the contract documents and drawings it will mean the Item No. "6.52 CG" and the words "Crossing Guard", respectively. The Contractor is advised that until the Comptroller of the City of New York sets a prevailing wage rate for crossing guards, there are no prevailing wage rates for crossing guards.

For projects that require compliance with the Davis-Bacon Act, wherever the Item No. "6.52" and words "flagger", "flagperson" and "flagman" are used in the contract documents and drawings it will mean the Item No. "6.52 FED" and the words "Uniformed Flagperson", respectively.

L. FUEL COST. The Contractor is notified that the fuel cost per gallon used in the formula under Sub-Article 26.2.8 of the Standard Construction Contract for Extra Work will be derived from the fuel price index for the United States East Coast published weekly by the United States Energy Information Administration ("USEIA"), and available on its website at <http://www.eia.gov/petroleum/gasdiesel/>. The USEIA-published cost per gallon for the applicable fuel on the East Coast for the week in which the first day of each calendar quarter during the contract term occurs (i.e., January 1<sup>st</sup>, April 1<sup>st</sup>, July 1<sup>st</sup> and September 1<sup>st</sup>) will be used in the reimbursement formula for all **Extra Work** invoiced that was performed during that calendar quarter. Should the USEIA stop publishing this fuel price index, the fuel cost per gallon will be determined by reference to a substitute index to be agreed upon by the Contractor and the City.

M. NYCDPR CONSTRUCTION PERMITS AND OTHER REQUIREMENTS.

1. At least thirty (30) days prior to the upcoming start of construction at or near the New York City Department of Parks and Recreation (NYCDPR) land, the Contractor is required to issue a notice to NYCDPR about the start of construction activity. At least 30 days in advance of the Order to Work Date, the Contractor must notify the New York City Department of Parks and Recreation (NYCDPR) of the upcoming start of construction by emailing [interagency@parks.nyc.gov](mailto:interagency@parks.nyc.gov).

2. Parks Construction Permits are required for all work on Parkland. Construction Permits may also be required for work on sidewalks adjacent to Parks properties or other areas maintained by NYCDPR such as Greenstreets depending on the scope of work. It is the Contractor's responsibility to coordinate with Parks via email at [interagency@parks.nyc.gov](mailto:interagency@parks.nyc.gov) to establish whether Construction Permits are required for the contract scope of work.

3. The Contractor will not be permitted to store, stage, stockpile, barricade, lay down construction materials or equipment, or otherwise impede access to Parkland, Greenstreets, or sidewalks in the right-of-way fronting Park properties unless such permission is granted by NYCDPR via issuance of a Parks Construction Permit.

4. The Contractor must obtain the necessary Parks Construction Permit from NYCDPR prior to the start of work on Parkland or areas under Parks' jurisdiction. The Construction Permit application is found online at <https://www.nycgovparks.org/permits/construction>.

5. When no Construction Permit is required, the contractor must notify Parks at [interagency@parks.nyc.gov](mailto:interagency@parks.nyc.gov) at least one week in advance of any construction adjacent to Greenstreets or in the right-of-way fronting Parks properties to allow for coordination as needed.

6. The Contractor is responsible for the protection of any Greenstreets, sidewalks, and other landscape features under NYCDPR jurisdiction that are adjacent to or enclosed by the construction area, including hardscape, landscape, shrubs, and trees. Any areas and features disturbed or damaged during construction activity are the responsibility of the Contractor to restore and repair.

7. Many NYCDPR properties are indicated on the publicly accessible online mapping resource of the New York City Department of Information Technology and Telecommunications (DOITT) at <http://maps.nyc.gov/doitt/nycitymap/>. However, the map is not exhaustive, and Contractors should confirm Parks properties in the vicinity of their work with NYCDPR.

8. The Contractor must take necessary precautions to prevent interference with or damage to utilities or other facilities during construction. The cost of all work connected with

maintaining and protecting utilities affected by the work be borne by the Contractor and the cost will be deemed included in the price bid for the various items in the contract.

9. In the event the Contractor damages an existing utility or interrupts utility service, the Contractor will immediately notify its owner and the Engineer and must commence repair/replacement work as instructed by the Engineer.

10. In the event the Contractor causes an interruption in utility service, the Contractor will immediately arrange for service to be restored and may not cease the repair work until service is restored. The Contractor will not continue work until the service is restored, unless otherwise directed by the Engineer. All corrective utility work will be acceptable to the engineer and the subject utility owner.

11. If any utility service or connection of unknown ownership is encountered during construction which appears to enter or serve Parkland, Contractor must contact Parks at [interagency@parks.nyc.gov](mailto:interagency@parks.nyc.gov) to inquire if Parks is the owner of such utility.

N. START OF CONTRACT WORK. The Contractor is notified that a Notice To Proceed (NTP) date will be issued for work to commence within 21 to 30 Days of Contract Registration.

O. STANDARD WORKING HOURS: In absence of OCMC Traffic Stipulations, Section 1.06.23 (P) of NYC DOT STANDARD HIGHWAY SPECIFICATIONS regarding standard working hours is to be followed is to be followed. Work performed outside the standard working hours must be pre-approved by NYC DDC.

P. TREE BARRIERS. The Contractor will furnish, install, maintain and subsequently remove temporary Protective Tree Barriers. Protective Tree Barriers will be Type B, unless otherwise directed by the Engineer, and will be constructed and installed as shown on the Protective Tree Barrier sketch in Department Of Transportation, Standard Highway Details Of Construction, Drawing No. H-1046A, as directed by the Engineer, and in accordance with Department of Parks and Recreation requirements.

Price of the tree barriers must be included in the in the unit prices bid for all scheduled items.

Q. UTILITIES. All utility locations and invert elevations are not guaranteed, nor is there any guarantee that all existing utilities, whether functional or abandoned within the project area are shown.

R. HOUSE CONNECTIONS. All existing house connections will be maintained and supported during construction. The Contractor will replace any existing house connection damaged as a result of the Contractor's construction operations as ordered by the Engineer at no cost to the City.

S. STREET LIGHT AND TRAFFIC SIGNAL. The Contractor is responsible for any damage to the existing street lighting and traffic signal equipment, including underground conduits and the safety of both pedestrian and vehicular traffic for the duration of the contract.

Should any conduits, cables or foundations need repair due to the Contractor's negligent operations during construction, all work will be performed according to NYCDOT Bureau of Traffic's Standard Drawings and Specifications and City of New York DOT System Engineering Specifications (dated November 2013) at the sole expense of the Contractor.

It is the Contractor's responsibility to secure an approved electrical Contractor to perform all traffic signal work (if any). For list of approved electrical Contractors, contact Bjorn Seedan or James Celentano, New York City Department of Transportation at (212) 839-3790.

T. SAW CUT. The Contractor is advised that where the existing roadway pavement is designated to be replaced from curb to curb, then no full depth saw cutting of pavement for sewer and water main trenches will be required, except at the limits of full width pavement restoration. No separate or additional payment will be made for any saw cutting.

U. PRE-CONSTRUCTION STAGE. The Contractor is advised that the Base Contract Duration (consecutive calendar days "ccds") must also include pre-construction stage from the Notice To Proceed date. During this stage the Contractor is required to submit the necessary shop drawings, obtain all permits and submit the health and safety plan for review and approval. The Engineer's field office will also need to be established during this pre-construction stage period. Failure to comply with the pre-construction stage requirements may result in assessing liquidated damages to the Contractor for everyday beyond the pre-construction stage duration. The liquidated damage will be of equivalent value as identified in the Schedule A for work beyond the construction completion date.

V. EXISTING SEWERS, WATER AND APPURTENANCE. The Contractor is notified that at some locations there may exist sewers, manholes, water mains, etc., which are to remain undisturbed and are in close proximity to the line of the proposed work. The Contractor exercise extreme care, minimize the trench width of the proposed sewers and take all necessary precautions in placing sheeting and during excavation of the trenches to prevent any damage to the existing structures, pavement, curbs, and sidewalks that are to remain while working adjacent to them. The Contractor maybe restricted to use wood sheeting at certain critical locations as directed by the Engineer. Should any damage occur to any portion of the existing structures that are to remain due to the Contractor's operations, the Contractor will make all repairs to the existing structures to the satisfaction of and as directed by the Engineer. The cost of such repair will be borne by the Contractor, at no cost to the City. Additional cost to use wood sheeting specifically to ensure integrity of existing sewer structures will be deemed included in all bid items for work.

W. RECONNECTING EXISTING SEWERS TO NEW MANHOLES. If there are locations on the contract plans, where the Contractor is required to reconnect all existing sewers to the proposed manholes in this contract. The said manholes will be fabricated to provide openings for the existing sewers at the specified invert elevations as shown on the contract drawings. The cost of reconnecting existing sewer pipes to new manholes, including concrete collar with steel reinforcements and/or grouting around the existing sewer pipes at the openings and all work necessary to complete the pipe reconnection, to the satisfaction of the Resident Engineer will be deemed included in the prices bid for all items of work. No additional payment will be made.

***[ARTICLE "X" IS ONLY APPLICABLE IF ITEMS FOR VIBRATION MONITORING  
ITEM NO. 76.31 IS IN THE BID SCHEDULE]***

X. VIBRATION MONITORING. In case of structures requiring vibration monitoring, the Contractor, in addition to Continuous Real Time Monitoring for Vibrations as determined in the Construction Report must provide Continuous Real Time Monitoring for Vibrations of existing buildings/structures adjacent to or in the proximity of different types of construction activities being conducted including, but not limited to, installation of sheeting for construction of proposed water and sewer mains, installation of sheeting for excavation of jacking/receiving pits, direct jacking of sewers, piling work or as directed by the Engineer.

Y. CITY ASSETS. The Contractor is advised that any City owned light poles, traffic signals, street name signs, traffic signs and encumbrances including, but not limited to, underground conduit displaced as the result of the installation of the new sewers, water mains, catch basins, catch basin connections and appurtenances will be replaced in kind and as directed by the Engineer. The cost of such work will be deemed included in the prices bid for all items of work under this contract.

**[ ARTICLE "Z" IS ONLY APPLICABLE FOR WATERMAIN 24-INCHES AND HIGHER ]**

Z. "AS-BUILT" DRAWINGS FOR WATER MAINS AND APPURTENANCES 24-INCHES (600-MM.) AND LARGER: Upon the completion of the work for each Capital Project and as a condition precedent to obtaining the certificate for Substantial Completion for each Capital Project under Article 44 of the Contract, the Contractor will furnish "As-Built" drawings for water mains and appurtenances 24-inches and larger to the City. The Contractor will prepare and submit the "As-Built" record drawings to the Engineer for approval. Approved "As-Built" drawings will be delivered to the Department of Design and Construction, 30-30 Thomson Avenue, Long Island City, New York, 11101-3045. The following guideline is provided for the preparation of "As-Built" record drawings:

1. The Contractor will prepare the "As-Built" drawings on AutoCAD and will provide to the City two (2) sets of Mylar and AutoCAD files on a CD. The drawings on CD's and the plotted Mylar's will conform to the standard size of 22" x 36" (559-mm. x 914-mm.) using a 1"=30' (1:360) horizontal and 1"=10' (1:120) vertical scale. The Mylar will be 3-mil in thickness.

2. The "As-Built" drawings will include but not be limited to the following guidelines summarized below:

(a) Drawings will consist of the same legend and layout of title boxes shown on the contract drawings.

(b) Each plotted Mylar drawing will contain the signature and stamp of the Contractor's NYS Professional Engineer/Registered Architect.

(c) The drawings will include:

- street name and crossing street(s) or distance from;
- north arrow;
- property lines and widths;
- legal and existing street widths, street alignment and grades;
- "new" curb lines and widths;
- water main center line measured off the "new" curb line;
- horizontal stationing for all valves, hydrants, outlets, blow-offs, house service connections, etc., measured on a horizontal line as established by the Borough Office Bureau of Topographic;
- alignment and appurtenance location stationing, and deflection angles;
- cover and elevations (Datum used will be that of the Borough where work is located);
- location of pipe joints;
- profile of all piping;
- complete details of all outlet piping roundabouts;
- complete details of all blow-off connections to the sewer;
- complete details of all air cocks;
- location of taps and access manholes;



- location of all cathodic protection stations;
- Venturi sensing lines plans and profiles;
- all appropriate notes.

3. The cost of preparing and submitting "As-Built" approved drawings will be deemed included in the prices bid for all scheduled bid items in the contract. No separate or additional payment will be made for this work.

AA. NO ADDITIONAL PAYMENT. The Contractor is advised that any fences, guardrails, boulders, asphalt walkway of the park, fixtures, other encumbrances removed within project limits during construction will be replaced in kind to the satisfaction of the Engineer. The cost of such work will be deemed included in the prices bid for all contract items of work and no additional or separate payment will be made.

AB. SHEETING AND EXCAVATION AT TRANSIT FACILITIES. In case of transit facilities like MTA, LIRR, METRO NORTH etc., the Contractor will exercise extreme caution and take all necessary precautions in placing sheeting and excavation to prevent any damage to the existing underground or overhead structures and its appurtenances during construction work throughout the project area. The Contractor must take full responsibility to protect the said structures and its appurtenances and any damage caused by the Contractor's operations must be made good by the Contractor to the satisfaction of the Engineer at no additional cost to the City.

The Contractor must submit shop drawings to the Transit facilities showing all the details and methods of construction, such as, sheeting and bracing, including the Contractor's procedure and sequence of construction, supporting and/or protection of the existing structures and its appurtenances, with necessary design calculations for approval prior to starting of the construction. The design will be made by a New York State Licensed Professional Engineer skilled in this type of construction and as further evidenced by the imprint of Professional Engineer's seal and signature on all drawings. The cost of this work will be deemed included in the price bid for all items of work under this contract.

AC. ARCHAEOLOGICAL DISCOVERIES. The Contractor is notified that if requested by the Resident Engineer and the City, the Contractor will be required retain the services of an Archaeologist (the "City's Archaeologist") for this project.

The City's Archaeologist will be notified in advance and will be present on site during sub-surface excavations as deemed necessary. The City's Archaeologist will be authorized to halt construction at any time in order to record and/or recover any archaeological resources encountered during excavations, and to stabilize in place any human remains encountered.

For the purpose of evaluating and recording archaeological resources, the City's Archaeologist will be allowed to enter trenches provided all standard safety requirements are met. It is understood that some construction down time may be necessary.

In the event that human remains, and/or other significant archaeological deposits are encountered during construction or archaeological investigations, Landmarks Preservation Commission (LPC) will be notified as directed by the City's Archaeologist and the State Historic Preservation Office (SHPO) requires that the following protocol is implemented:

1. At all times human remains must be treated with the utmost dignity and respect. Should human remains be encountered work in the general area of the discovery will stop

immediately and the location will be immediately secured and protected from damage and disturbance.

2. Human remains or associated artifacts will be left in place and not disturbed. No skeletal remains or materials associated with the remains will be collected or removed until appropriate consultation has taken place and a plan of action has been developed.

3. The County coroner and local law enforcement as well as the SHPO and the involved agency will be notified immediately. The coroner and local law enforcement will make the official ruling on the nature of the remains, being either forensic or archeological. If the remains are archeological in nature, a bio-archaeologist will confirm the identification as human.

4. If human remains are determined to be Native American, the remains will be left in place and protected from further disturbance until a plan for their protection or removal can be generated. The involved agency will consult SHPO and appropriate Native American groups to determine a plan of action that is consistent with the Native American Graves Protection and Repatriation Act (NAGPRA) guidance.

5. If human remains are determined to be Euro-American, African- American, etc., the remains will be left in place and protected from further disturbance until a plan for their avoidance or removal can be generated. Consultation with the SHPO and other appropriate parties will be required to determine a plan of action.

Should extra work be ordered by the Resident Engineer as a result of any archaeological discoveries, it will be paid for from the Fixed Sum included in, and in accordance with Item HW-908 Allowance for Extra Work Due To Archaeological Discoveries.

AD. USE OF CITY WATER. Please refer to NYCDOT STANDARD HIGHWAY SPECIFICATIONS (May 16, 2022), Sub Section 1.06.23 (A), Rules, Laws, and Requirements, for use of City water.

AE. PUBLIC DISSEMINATION OF INFORMATION. The Contractor agrees to hold confidential, both during and after the completion or termination of this Contract, all of the reports, information, or data, furnished to, or prepared, assembled or used by, the Contractor under this Contract. The Contractor agrees to maintain the confidentiality of such reports, information, or data by using a reasonable degree of care, and using at least the same degree of care that the Contractor uses to preserve the confidentiality of its own confidential information. The Contractor agrees that such reports, information, or data will not be made available to any person or entity without the prior written approval of the Commissioner. The obligation under this Section to hold reports, information or data confidential will not apply where the Contractor is legally required to disclose such reports, information or data by virtue of a subpoena, court order or otherwise ("disclosure demand"), provided that the Contractor complies with the following: (1) the Contractor will provide advance notice to the Commissioner, in writing or by e-mail, that it received a disclosure demand for such reports, information or data and (2) if requested by the Commissioner, the Contractor will not disclose such reports, information or data until the City has exhausted its legal rights, if any, to prevent disclosure of all or a portion of such reports, information, or data. The previous sentence will not apply if the Contractor is prohibited by law from disclosing to the City the disclosure demand for such reports, information or data.

The Contractor will restrict access to confidential information to persons who have a legitimate work-related purpose to access such information. The Contractor agrees that it will instruct its officers, employees, and agents to maintain the confidentiality of any and all information required to be kept confidential by this Contract.

The Contractor, and its officers, employees, and agents will notify the Commissioner, at any time either during or after completion or termination of this Contract, of any intended statement to the press or any intended issuing of any material for publication in any media of communication (print, news, television, radio, Internet, etc.) regarding the services provided or the data collected pursuant to this Contract at least twenty-four (24) hours prior to any statement to the press or at least five (5) business days prior to the submission of the material for publication, or such shorter periods as are reasonable under the circumstances. The Contractor may not issue any statement or submit any material for publication that includes confidential information as prohibited by this Section.

At the request of the Commissioner, the Contractor will return to the Commissioner any and all confidential information in the possession of the Contractor or its subContractors. If the Contractor or its subContractors are legally required to retain any confidential information, the Contractor will notify the Commissioner in writing and set forth the confidential information that it intends to retain and the reasons why it is legally required to retain such information. The Contractor will confer with the Commissioner, in good faith, regarding any issues that arise from the Contractor retaining such confidential information. If the Commissioner does not request such information, or the Law does not require otherwise, such information will be maintained in accordance with the requirements set forth in the Contract Documents.

AF. PRICES TO INCLUDE. No direct payment will be made for costs incurred in complying with the foregoing Special Provisions, unless otherwise provided. Said costs will be deemed to have been included in the prices bid for all the scheduled contract items.

**(B) HIGHWAY PROJECT SPECIFIC PROVISIONS**

<b>ARTICLE</b>	<b>DESCRIPTION</b>	<b>PAGE NO.</b>
1.	OCMC TRAFFIC STIPULATIONS S-10	S-11

**(C) SEWER & WATER MAIN PROJECT SPECIFIC PROVISIONS**

<b>ARTICLE</b>	<b>DESCRIPTION</b>	<b>PAGE NO.</b>
1.	EXISTING SEWERS, MANHOLES, ETC. S-17	S-18
2.	RECONNECT ALL REMAINING PIPES TO PROPOSED MANHOLES	S-18
3.	RECONNECT HOUSE DRAINS	S-18
4.	PAVEMENT RESTORATION	S-18
5.	PRICES TO INCLUDE	S-18

**DRAWINGS**

<b>SKETCH</b>	<b>DESCRIPTION</b>	<b>PAGE NO.</b>
1-2	TYPES OF PAVEMENTS RESTORATION	S-19

**(D) GREEN INFRASTRUCTURE PROVISIONS**

(NO TEXT)

S-20

**(B) HIGHWAY PROJECT SPECIFIC PROVISIONS****1. OCMC STIPULATIONS**

Department of Transportation

Ydanis Rodriguez, Commissioner

**OCMC TRAFFIC STIPULATIONS****APRIL 5, 2022**

**OCMC FILE NO:** CEC-21-648  
**CONTRACT NO:** HWCSCHPKR  
**PROJECT:** RECONSTRUCTION OF SAFE ROUTES TO SCHOOLS - M.S. 598, OUR LADY OF PERPETUAL HELP (OLPH) - P.S. 169 - P.S. 156/P.S. 189/P.S. 327 - P.S. 380 - P.S. 41  
**LOCATIONS:** VARIOUS LOCATIONS IN BROOKLYN AND STATEN ISLAND

PERMISSION IS HEREBY GRANTED TO THE **NYC DEPARTMENT OF DESIGN AND CONSTRUCTION** AND ITS DULY AUTHORIZED AGENT, TO ENTER UPON AND RESTRICT THE FLOW OF TRAFFIC AT THE ABOVE LOCATION(S) FOR THE PURPOSE OF CARRYING OUT THE ABOVE NOTED PROJECT, SUBJECT TO THE STIPULATIONS, AS NOTED BELOW:

**I. SPECIAL STIPULATIONS**

- A. **EMBARGOES** – A CONSTRUCTION EMBARGO WILL APPLY TO THOSE LOCATIONS BELOW WHICH FALL WITHIN THE **HOLIDAY EMBARGO** OR ANY OTHER SPECIAL EVENT EMBARGOES PUBLISHED BY THE BUREAU OF PERMIT MANAGEMENT AND CONSTRUCTION CONTROL.
- B. **BIKE LANES** – IF WORK IS IN OR AFFECTING A BIKE LANE, THE PERMITEE MUST POST ADVANCE WARNING SIGNS 350 FEET AND 200 FEET PRIOR TO THE WORK ZONE STATING "**CONSTRUCTION IN BIKE LANE AHEAD PROCEED WITH CAUTION**", AND ALSO POST A SIGN AT THE WORK ZONE STATING "**CONSTRUCTION IN BIKE LANE PROCEED WITH CAUTION**". SUCH SIGNS SHALL BE ORANGE, 3' X 3', DIAMOND-SHAPED WITH 4" BLACK LETTERING. SIGNS SHALL BE POSTED IN ACCORDANCE WITH THE FEDERAL MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES (MUTCD).
- C. **BIKE SHARE STATIONS:** THE PERMITEE SHALL NOT REMOVE, RELOCATE, DAMAGE OR DISRUPT THE OPERATION OF EXISTING BIKE SHARE STATIONS WITHOUT FIRST CONTACTING NYC BIKE SHARE AT 855-245-3311 FOR THEIR REQUIREMENTS PRIOR TO COMMENCING WORK.
- D. **CITYBENCH:** THE PERMITEE SHALL NOT REMOVE, RELOCATE, DAMAGE OR DISRUPT AN EXISTING CITYBENCH WITHOUT FIRST CONTACTING NYC DOT AT 212-839-6569, OR VIA EMAIL AT [CITYBENCH@DOJ.NYC.GOV](mailto:CITYBENCH@DOJ.NYC.GOV) PRIOR TO COMMENCING WORK.
- E. **BUS STOPS** – THE PERMITEE SHALL PROVIDE WRITTEN NOTICE TO NYC DOT OCMC AND NEW YORK CITY TRANSIT (NYCT) A MINIMUM OF FIVE (5) WEEKS IN ADVANCE FOR LANE/STREET CLOSURES THAT AFFECT BUS ROUTES/BUS STOPS.
- F. **STREET LIGHTS / TRAFFIC SIGNALS:** THE PERMITEE SHALL NOT REMOVE OR RELOCATE EXISTING STREET LIGHTS OR TRAFFIC SIGNALS WITHOUT FIRST OBTAINING APPROVAL FROM NYCDOT STREET LIGHTING / TRAFFIC SIGNALS UNIT.
- G. **TRAFFIC CAMERAS, DETECTION/COMMUNICATION EQUIPMENT:** IF AT ANY TIME DURING THE APPROVED WORK, THE PERMITEE ENCOUNTERS TRAFFIC SURVEILLANCE CAMERAS, DETECTION EQUIPMENT OR ANY TYPE OF COMMUNICATION EQUIPMENT (WIRELESS OR HARD-WIRED) ON ANY NYC DOT FACILITY, THAT IS NOT INCLUDED ON THE DESIGN/BUILD DRAWINGS, THE PERMITEE SHALL IMMEDIATELY NOTIFY NYC DOT TRAFFIC MANAGEMENT BY PHONE AT 718-433-3390 OR 718-433-3340 AND VIA EMAIL AT [IMC@DOT.NYC.GOV](mailto:IMC@DOT.NYC.GOV) AND AWAIT DIRECTION PRIOR TO CONTINUING WORK.
- H. **METERS** – THE PERMITEE SHALL NOT REMOVE OR RELOCATE PARKING METERS WITHOUT FIRST OBTAINING APPROVAL FROM NYCDOT PARKING METER DIVISION AT 646-892-1219.
- I. **TEST PITS** – THE BELOW TRAFFIC STIPULATIONS DO NOT APPLY TO TEST PIT WORK RELATED TO THIS CONTRACT. WORK HOURS AND OTHER REQUIREMENTS FOR TEST PIT OPERATIONS MAY DIFFER FROM THE STIPULATIONS IDENTIFIED BELOW. THE PERMITEE SHALL BE REQUIRED TO OBTAIN SEPARATE PERMITS RELATED TO TEST PITS.
- J. **TEMPORARY PARKING REGULATIONS/PAVEMENT MARKINGS** – THE PERMITEE IS REQUIRED TO INSTALL, MAINTAIN AND REMOVE ALL NECESSARY TEMPORARY PARKING AND REGULATORY SIGNS AND PAVEMENT MARKINGS, AND RESTORE THEIR ORIGINAL CONDITION PER NYC DOT STANDARDS, PRIOR TO EXPIRATION OF THEIR PERMITS. THE PERMITEE OR AGENCY PERFORMING PUBLIC OUTREACH SHALL POST AND MAINTAIN ADVISORY SIGNS A MINIMUM OF 48 HOURS PRIOR TO CHANGING EXISTING PARKING REGULATION SIGNS TO APPROVED TEMPORARY CONSTRUCTION PARKING REGULATION SIGNS. THE ADVISORY SIGNS SHOULD BE POSTED ON ALL POLES AND DRIVE RAILS ON THE SEGMENT AFFECTED, INDICATING THE DATE OF THE CHANGE, THE NEW REGULATIONS AND A TELEPHONE NUMBER TO OBTAIN MORE INFORMATION.

NYC Department of Transportation  
 Bureau of Permit Management and Construction Control  
 55 Water Street – Concourse level, New York, NY 10041  
 T: 212.839.9581 F: 212.839.9699  
[www.nyc.gov/dot](http://www.nyc.gov/dot)

OCMC FILE NO: CEC-21-648  
 CONTRACT NO: HWCSCHPKR  
 PROJECT: RECONSTRUCTION OF SAFE ROUTES TO SCHOOLS - M.S. 598, OUR LADY OF PERPETUAL HELP (OLPH) - P.S. 169 - P.S. 156/P.S. 189/P.S. 327 - P.S. 380 - P.S. 41  
 LOCATIONS: VARIOUS LOCATIONS IN BROOKLYN AND STATEN ISLAND

APRIL 5, 2022

Page 2 of 7

- K. **ACCESS TO ABUTTING PROPERTIES** – THE PERMITEE SHALL COORDINATE ALL ACTIVITIES WITH ABUTTING PROPERTY OWNERS TO ENSURE ACCESS IS PROVIDED TO/FROM ENTRANCES/DRIVEWAYS AT ALL TIMES.
- L. **AUTHORIZED PARKING** – PRIOR TO PERFORMING WORK WHICH IMPACTS AUTHORIZED PARKING, THE PERMITEE SHALL SUBMIT IN WRITING, AND COPY OCMC-STREETS, A REQUEST TO OCCUPY SPACE CURRENTLY USED BY AUTHORIZED VEHICLES. APPROVAL MUST BE RECEIVED FROM AUTHORIZED PARKING PRIOR TO OCCUPYING THESE AREAS.
- M. **NOTIFICATION** – THE PERMITEE MUST AT LEAST TWO (2) WORKING DAYS BEFORE THE START OF CONSTRUCTION NOTIFY THE NYC FIRE DEPARTMENT, NYC POLICE DEPARTMENT, NYCEMS, LOCAL COMMUNITY BOARD, BOROUGH PRESIDENT'S OFFICE-CHIEF ENGINEER, NYCDOT OCMC OFFICE, AND ALL ABUTTING PROPERTY OWNERS.
- N. **CONSTRUCTION INFORMATIONAL SIGNS** – THIS PROJECT REQUIRES A CONSTRUCTION PROJECT INFORMATIONAL SIGN (CPIS) IN ACCORDANCE WITH NYCDOT HIGHWAY RULE SECTION 2-02 (4) AND (5). CRITERIA AND A PROTOTYPE FOR THIS SIGN MAY BE FOUND ON THE NYCDOT WEBSITE AT:  
[HTTP://WWW.NYC.GOV/HTML/DOT/DOWNLOADS/PDF/DOT\\_CPIS\\_DIRECTIONS.PDF](http://www.nyc.gov/html/dot/downloads/pdf/dot_cpis_directions.pdf)
- O. **ENHANCED MITIGATIONS**
- o **ENHANCED MITIGATIONS FOR PEDESTRIAN FLOW**, INCLUDING METAL FENCING, SHALL BE PROVIDED TO ENSURE PEDESTRIANS STAY WITHIN THEIR DESIGNATED PATH/ROUTE. PEDESTRIAN MANAGERS SHALL BE PROVIDED TO ASSIST WITH PEDESTRIANS AT THE DESIGNATED CROSSWALK AREAS.
  - o **"NO STANDING ANYTIME-TEMPORARY CONSTRUCTION" SIGNS** AND TEMPORARY PAVEMENT MARKINGS SHALL BE INSTALLED AND MAINTAINED AS WARRANTED BY THE MAINTENANCE AND PROTECTION OF TRAFFIC (MPT) REQUIRED TO FACILITATE TRAFFIC MOVEMENTS THROUGH THE WORK ZONE. ALL TEMPORARY SIGNS AND PAVEMENT MARKINGS SHALL BE REMOVED UPON COMPLETION OF THE PROJECT.
  - o **COMMUNITY OUTREACH** SHALL BE PROVIDED FOR THE DURATION OF THE PROJECT.

## **II. MAINTENANCE AND PROTECTION OF TRAFFIC**

### **A. INTERSECTION OF PITKIN AVENUE AND BRISTOL STREET**

### **B. INTERSECTION OF HOWARD AVENUE AND SUTTER AVENUE**

### **C. INTERSECTION OF PITKIN AVENUE AND EASTERN PARKWAY**

### **D. INTERSECTION OF WINTHROP STREET AND EAST 51 STREET**

### **E. INTERSECTION OF WINTHROP STREET AND EAST 53 STREET**

### **F. INTERSECTION OF REMSEN AVENUE AND CLARKSON AVENUE**

### **G. INTERSECTION OF EAST NEW YORK AVENUE AND ROCKAWAY AVENUE/PROSPECT PLACE**

1. Work hours shall be as follows: 9AM to 4PM Monday to Friday and Saturday 8AM to 4 PM.
2. Work hours shall be as follows: 9AM to 2PM Monday to Friday and Saturday 8AM to 4 PM in School zone.
3. The contractor shall maintain a minimum 5 foot clear sidewalk or maintain a 5 foot clear pedestrian walkway in the roadway.  
 The contractor shall also maintain one (1) 11ft lane for traffic on one-way streets or two (2)11ft lanes for traffic on two-way streets during work hours. Must clear the entire intersection after work hours. The contractor must provide flagmen to intermittently stop the pedestrian flow.  
 The contractor must coordinate with NYCT Bus prior to mobilizing.

### **H. INTERSECTION OF HYLAN BOULEVARD AND BURBANK AVENUE**

1. Work hours shall be as follows: 9:00 PM – 5:00 AM
2. During working hours, the contractor shall maintain one lane for southbound traffic on Burbank Avenue and 4-11 ft. lanes, two in each direction on Hylan Blvd.
3. The contractor may occupy/close one crosswalk at a time.
4. After working hours, the contractor shall re-position the barriers against the curb and restore all travelling lanes for travelling.

OCMC FILE NO: CEC-21-648  
 CONTRACT NO: HWCSCHPKR  
 PROJECT: RECONSTRUCTION OF SAFE ROUTES TO SCHOOLS - M.S. 598, OUR LADY OF PERPETUAL HELP  
 (OLPH) - P.S. 169 - P.S. 156/P.S. 189/P.S. 327 - P.S. 380 - P.S. 41  
 LOCATIONS: VARIOUS LOCATIONS IN BROOKLYN AND STATEN ISLAND

APRIL 5, 2022

Page 3 of 7

**I. INTERSECTION OF BEDFORD AVENUE AND WALLABOUT STREET****J. INTERSECTION OF BEDFORD AVENUE AND LYNCH STREET****K. INTERSECTION OF LYNCH STREET AND WALLABOUT STREET****L. INTERSECTION OF WALLABOUT STREET AND LEE AVENUE****M. INTERSECTION OF LEE AVENUE AND LORIMER STREET****N. INTERSECTION OF LORIMER STREET AND WALLABOUT STREET****O. INTERSECTION OF MARCY AVENUE AND DIVISION AVENUE****P. INTERSECTION OF MARCY AVENUE AND WILLIAMSBURG STREET WEST****Q. INTERSECTION OF DIVISION AVENUE AND WILLIAMSBURG STREET WEST**

1. Work hours shall be as follows: 9AM-4PM and Sundays 8AM-4PM
2. The contractor shall maintain a minimum 5 foot clear sidewalk or maintain a 5 foot clear pedestrian walkway in the roadway.
3. The contractor shall also maintain one (1) 11ft lane for traffic on one-way streets or two (2) 11ft lanes for traffic on two-way streets during work hours. The contractor must clear the entire intersection after work hours and shall re-position the barriers against the curb and restore all travelling lanes for traffic.
4. The contractor must coordinate with the MTA Bus Operations Planning prior to mobilizing.

**R. INTERSECTION OF UNION AVENUE AND LORIMER STREET****S. INTERSECTION OF LORIMER STREET AND HARRISON AVENUE****T. INTERSECTION OF UNION AVENUE AND HARRISON AVENUE**

1. Work hours shall be as follows: 9AM-2PM and Sundays 8AM-4PM
2. The contractor shall maintain a minimum 5 foot clear sidewalk or maintain a 5 foot clear pedestrian walkway in the roadway.
3. The contractor shall also maintain one (1) 11ft lane for traffic on one-way streets or two (2) 11ft lanes for traffic on two-way streets during work hours. The contractor must clear the entire intersection after work hours and shall re-position the barriers against the curb and restore all travelling lanes for traffic.
4. The contractor must coordinate with the school prior to mobilizing.

**U. 6<sup>TH</sup> AVENUE BETWEEN 58<sup>TH</sup> STREET TO 60<sup>TH</sup> STREET**

1. Work hours shall be as follows: 9:00am – 2:00pm Monday through Friday, when school is in session.  
9:00am – 4:00pm Monday through Friday, when school is not in session.  
8:00am – 4:00pm Saturday.
2. The contractor shall maintain two (2) lanes for traffic, one (1) lane in each direction. Full width of the roadway shall be restored after working hours.
3. The contractor shall maintain a minimum 5-foot clear sidewalk for sidewalks less than 15-feet in width and a minimum 8-foot clear sidewalks for sidewalks 15-feet or greater in width. After working hours the full width of the sidewalk shall be open to pedestrians.
4. Must coordinate with school prior to mobilizing.
5. Flag persons must be provided to stop pedestrian and/or vehicle traffic while lifting materials overhead and also when crossing sidewalk.

**V. 7<sup>TH</sup> AVENUE BETWEEN 59<sup>TH</sup> STREET TO 61<sup>ST</sup> STREET**

1. Work hours shall be as follows: 9:00am – 4:00pm Monday through Friday  
8:00am – 4:00pm Saturday.
2. The contractor shall maintain two (2) lanes for traffic, one (1) lane in each direction. Full width of the roadway shall be restored after working hours.
3. The contractor shall maintain a minimum 5-foot clear sidewalk for sidewalks less than 15-feet in width and a minimum 8-foot clear sidewalks for sidewalks 15-feet or greater in width. After working hours the full width of the sidewalk shall be open to pedestrians.
4. Flag persons must be provided to stop pedestrian and/or vehicle traffic while lifting materials overhead and also when crossing sidewalk.

OCMC FILE NO: CEC-21-648  
 CONTRACT NO: HWCSCHPKR  
 PROJECT: RECONSTRUCTION OF SAFE ROUTES TO SCHOOLS - M.S. 598, OUR LADY OF PERPETUAL HELP  
 (OLPH) - P.S. 169 - P.S. 156/P.S. 189/P.S. 327 - P.S. 380 - P.S. 41  
 LOCATIONS: VARIOUS LOCATIONS IN BROOKLYN AND STATEN ISLAND

APRIL 5, 2022

Page 4 of 7

**W. 59<sup>TH</sup> STREET BETWEEN 5<sup>TH</sup> AVENUE TO 7<sup>TH</sup> AVENUE**

1. Work hours shall be as follows: 9:00am – 2:00pm Monday through Friday, when school is in session.  
7:00am – 6:00pm Monday through Friday, when school is not in session.  
8:00am – 4:00pm Saturday.
2. The contractor shall maintain one (1) eleven (11) foot lane for traffic. Full width of the roadway shall be restored after working hours.
3. The contractor shall maintain a minimum 5-foot clear sidewalk for sidewalks less than 15-feet in width and a minimum 8-foot clear sidewalks for sidewalks 15-feet or greater in width. After working hours the full width of the sidewalk shall be open to pedestrians.
4. Flag persons must be provided to stop pedestrian and/or vehicle traffic while lifting materials overhead and also when crossing sidewalk.

**X. 60<sup>TH</sup> STREET BETWEEN 6<sup>TH</sup> AVENUE TO 8<sup>TH</sup> AVENUE**

1. Work hours shall be as follows: 9:00am – 4:00pm Monday through Friday  
8:00am – 4:00pm Saturday.
2. The contractor shall maintain two (2) lanes for traffic, one (1) lane in each direction. Full width of the roadway shall be restored after working hours.
3. The contractor shall maintain a minimum 5-foot clear sidewalk for sidewalks less than 15-feet in width and a minimum 8-foot clear sidewalks for sidewalks 15-feet or greater in width. After working hours the full width of the sidewalk shall be open to pedestrians.
4. Flag persons must be provided to stop pedestrian and/or vehicle traffic while lifting materials overhead and also when crossing sidewalk.
5. Must coordinate with MTA prior to mobilizing. Do not block buses from loading/unloading.

**Y. INTERSECTION OF 59<sup>TH</sup> STREET AND 6<sup>TH</sup> AVENUE**

1. Work hours shall be as follows: 9:00am – 2:00pm Monday through Friday, when school is in session.  
9:00am – 4:00pm Monday through Friday, when school is not in session.  
8:00am – 4:00pm Saturday.
2. The contractor shall maintain one (1) eleven (11) foot lane on one-way streets and two (2) eleven (11) foot lanes on two-way streets. Full width of the roadway shall be restored after working hours.
3. The contractor shall maintain a minimum 5-foot clear sidewalk for sidewalks less than 15-feet in width and a minimum 8-foot clear sidewalks for sidewalks 15-feet or greater in width. After working hours the full width of the sidewalk shall be open to pedestrians.
4. Flag persons must be provided to stop pedestrian and/or vehicle traffic while lifting materials overhead and also when crossing sidewalk.
5. Must coordinate with school prior to mobilizing.

**Z. INTERSECTION OF 60<sup>TH</sup> STREET AND 70<sup>TH</sup> AVENUE**

1. Work hours shall be as follows: 9:00am – 4:00pm Monday through Friday.  
8:00am – 4:00pm Saturday.
2. The contractor shall maintain two (2) lanes for traffic, one (1) lane in each direction on both 60<sup>th</sup> Street and 7<sup>th</sup> Avenue. Full width of the roadway shall be restored after working hours.
3. The contractor shall maintain a minimum 5-foot clear sidewalk for sidewalks less than 15-feet in width and a minimum 8-foot clear sidewalks for sidewalks 15-feet or greater in width. After working hours the full width of the sidewalk shall be open to pedestrians.
4. Flag persons must be provided to stop pedestrian and/or vehicle traffic while lifting materials overhead and also when crossing sidewalk.
5. Must coordinate with MTA prior to mobilizing. Do not block buses from loading/unloading.

**AA. 44<sup>TH</sup> STREET BETWEEN 5<sup>TH</sup> AVENUE AND 6<sup>TH</sup> AVENUE**

1. Work hours shall be as follows: 7:00am – 6:00pm Monday through Friday.  
8:00am – 4:00pm Saturday.
2. The contractor shall maintain one (1) eleven (11) foot lane for traffic. Full width of the roadway shall be restored after working hours.
3. The contractor shall maintain a minimum 5-foot clear sidewalk for sidewalks less than 15-feet in width and a minimum 8-foot clear sidewalks for sidewalks 15-feet or greater in width. After working hours the full width of the sidewalk shall be open to pedestrians.



OCMC FILE NO: CEC-21-648  
 CONTRACT NO: HWCSCHPKR  
 PROJECT: RECONSTRUCTION OF SAFE ROUTES TO SCHOOLS - M.S. 598, OUR LADY OF PERPETUAL HELP (OLPH) - P.S. 169 - P.S. 156/P.S. 189/P.S. 327 - P.S. 380 - P.S. 41  
 LOCATIONS: VARIOUS LOCATIONS IN BROOKLYN AND STATEN ISLAND

APRIL 5, 2022

Page 5 of 7

4. Flag persons must be provided to stop pedestrian and/or vehicle traffic while lifting materials overhead and also when crossing sidewalk.

---

**BB. 44<sup>TH</sup> STREET BETWEEN 6<sup>TH</sup> AVENUE TO 8<sup>TH</sup> AVENUE**

1. Work hours shall be as follows: 9:00am – 2:00pm Monday through Friday, when school is in session.  
7:00am – 6:00pm Monday through Friday, when school is not in session.  
8:00am – 4:00pm Saturday.
2. The contractor shall maintain one (1) eleven (11) foot lane for traffic. Full width of the roadway shall be restored after working hours.
3. The contractor shall maintain a minimum 5-foot clear sidewalk for sidewalks less than 15-feet in width and a minimum 8-foot clear sidewalks for sidewalks 15-feet or greater in width. After working hours the full width of the sidewalk shall be open to pedestrians.
4. Flag persons must be provided to stop pedestrian and/or vehicle traffic while lifting materials overhead and also when crossing sidewalk.
5. Must coordinate with school prior to mobilizing.

---

**CC. 6<sup>TH</sup> AVENUE BETWEEN 44<sup>TH</sup> STREET AND 45<sup>TH</sup> STREET**

1. Work hours shall be as follows: 9:00am – 4:00pm Monday through Friday  
8:00am – 4:00pm Saturday.
2. The contractor shall maintain two (2) lanes for traffic, one (1) lane in each direction. Full width of the roadway shall be restored after working hours.
3. The contractor shall maintain a minimum 5-foot clear sidewalk for sidewalks less than 15-feet in width and a minimum 8-foot clear sidewalks for sidewalks 15-feet or greater in width. After working hours the full width of the sidewalk shall be open to pedestrians.
4. Flag persons must be provided to stop pedestrian and/or vehicle traffic while lifting materials overhead and also when crossing sidewalk.

---

**DD. 7<sup>TH</sup> AVENUE BETWEEN 43<sup>RD</sup> STREET TO 45<sup>TH</sup> STREET**

1. Work hours shall be as follows: 9:00am – 2:00pm Monday through Friday, when school is in session.  
9:00am – 4:00pm Monday through Friday, when school is not in session.  
8:00am – 4:00pm Saturday.
2. The contractor shall maintain two (2) lanes for traffic, one (1) lane in each direction. Full width of the roadway shall be restored after working hours.
3. The contractor shall maintain a minimum 5-foot clear sidewalk for sidewalks less than 15-feet in width and a minimum 8-foot clear sidewalks for sidewalks 15-feet or greater in width. After working hours the full width of the sidewalk shall be open to pedestrians.
4. Must coordinate with school prior to mobilizing.
5. Flag persons must be provided to stop pedestrian and/or vehicle traffic while lifting materials overhead and also when crossing sidewalk.

---

**EE. INTERSECTION OF 6<sup>TH</sup> AVENUE AND 44<sup>TH</sup> STREET**

1. Work hours shall be as follows: 9:00am – 4:00pm Monday through Friday.  
8:00am – 4:00pm Saturday.
2. The contractor shall maintain one (1) eleven (11) foot lane on one-way streets and two (2) eleven (11) foot lanes on two-way streets. Full width of the roadway shall be restored after working hours.
3. The contractor shall maintain a minimum 5-foot clear sidewalk for sidewalks less than 15-feet in width and a minimum 8-foot clear sidewalks for sidewalks 15-feet or greater in width. After working hours the full width of the sidewalk shall be open to pedestrians.
4. Flag persons must be provided to stop pedestrian and/or vehicle traffic while lifting materials overhead and also when crossing sidewalk.

---

**FF. INTERSECTION OF 7<sup>TH</sup> AVENUE AND 44<sup>TH</sup> STREET**

1. Work hours shall be as follows: 9:00am – 2:00pm Monday through Friday, when school is in session.  
9:00am – 4:00pm Monday through Friday, when school is not in session.  
8:00am – 4:00pm Saturday.
2. The contractor shall maintain one (1) eleven (11) foot lane on one-way streets and two (2) eleven (11) foot lanes on two-way streets. Full width of the roadway shall be restored after working hours.

OCMC FILE NO: CEC-21-648  
 CONTRACT NO: HWCSCHPKR  
 PROJECT: RECONSTRUCTION OF SAFE ROUTES TO SCHOOLS - M.S. 598, OUR LADY OF PERPETUAL HELP (OLPH) - P.S. 169 - P.S. 156/P.S. 189/P.S. 327 - P.S. 380 - P.S. 41  
 LOCATIONS: VARIOUS LOCATIONS IN BROOKLYN AND STATEN ISLAND

APRIL 5, 2022

Page 6 of 7

3. The contractor shall maintain a minimum 5-foot clear sidewalk for sidewalks less than 15-feet in width and a minimum 8-foot clear sidewalks for sidewalks 15-feet or greater in width. After working hours the full width of the sidewalk shall be open to pedestrians.
4. Flag persons must be provided to stop pedestrian and/or vehicle traffic while lifting materials overhead and also when crossing sidewalk.
5. Must coordinate with school prior to mobilizing.

#### GENERAL NOTES

- A. **THIS IS NOT A PERMIT.** THIS STIPULATION SHEET MUST BE SUBMITTED WITH ALL REQUESTS FOR PERMITS PERTAINING TO THE ABOVE CONTRACT AND PRESENT AT THE WORK SITE ALONG WITH ALL ACTIVE CONSTRUCTION PERMITS WHEN THE APPROVED WORK IS BEING PERFORMED.
- B. THE PERMITEE MUST COMPLY WITH ALL CONSTRUCTION EMBARGOS ISSUED BY THE NYCDOT INCLUDING THE HOLIDAY EMBARGO.
- C. THE PERMITEE SHALL COMPLY WITH ALL REQUIREMENTS OF THE NYCDOT SPECIAL EVENTS UNIT AS IDENTIFIED BELOW:
  1. **STREET FAIRS / FESTIVALS**
    - ALL EXCAVATIONS MUST BE PLATED WITH SKID RESISTANT PLATES.
    - PLATES MUST BE RECESSED AND FLUSH WITH PAVEMENT.
    - ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
    - THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
    - ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.
  2. **RUNNING / WALKING / BIKING EVENTS**
    - ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT.
    - ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
    - THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
    - ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.
  3. **PARADES**
    - ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT.
    - FORMATION AND DISPERSAL AREA PLATES MUST BE RECESSED AND FLUSH WITH PAVEMENT (PLATES MUST BE SKID RESISTANT).
    - ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
    - THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
    - ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.
  4. **MAYORAL EVENTS**
    - ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT.
    - ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
    - THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
    - ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.
- D. ALL RELOCATION WORK BY THE UTILITIES SUCH AS; CON EDISON, TELEPHONE, GAS AND CABLE COMPANIES SHALL PRECEDE THE CONTRACTORS' START OF WORK ON ALL AFFECTED ROADWAYS IN THE IMPACTED CONTRACT AREA.
- E. THE CONTRACTOR IS ADVISED THAT OTHER CONTRACTORS MAY BE WORKING IN THE GENERAL AREA DURING THE TERM OF THIS STIPULATION. IN WHICH EVENT, THE CONTRACTOR MAY REQUIRE MODIFICATIONS BY THE OCMC-STREETS.
- F. THE PERMITEE IS NOT AUTHORIZED TO ENTER, OCCUPY OR USE ANY PUBLICLY-OWNED OR PRIVATELY OWNED, NON-PAVED, LANDSCAPE OR NON-LANDSCAPED LOCATION WITHOUT SPECIFIC WRITTEN PERMISSION. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF A LIMITED-ACCESS ARTERIAL HIGHWAY, **WRITTEN** APPROVAL FROM THE NYCDOT OCMC-HIGHWAYS IS REQUIRED. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF A PUBLIC STREET OR PUBLIC PARK, **WRITTEN** APPROVAL FROM THE NEW YORK CITY DEPARTMENT OF TRANSPORTATION OR NEW YORK CITY DEPARTMENT OF PARKS AND RECREATION IS REQUIRED. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF ANY OTHER JURISDICTION SUCH AS PRIVATE PROPERTY, STATE, FEDERAL ETC., IT IS THE PERMITEE'S RESPONSIBILITY TO DETERMINE THE PROPERTY OWNER AND OBTAIN THE WRITTEN APPROVAL.
- G. THE PERMITEE SHALL ADHERE TO THE NYCDOT BUREAU OF BRIDGES' SPECIAL PROVISIONS FOR LANDSCAPE PROTECTION, MAINTENANCE AND RESTORATION, ITEMS 1.18.15 THROUGH 1.18.19, WHENEVER AND WHEREVER ANY OF THE PERMITEE'S ACTIVITIES OCCUR WITHIN A LIMITED ACCESS ARTERIAL HIGHWAY RIGHT - OF - WAY.


**OCMC FILE NO:** CEC-21-648 **APRIL 5, 2022**  
**CONTRACT NO:** HWCSCHPKR **Page 7 of 7**  
**PROJECT:** RECONSTRUCTION OF SAFE ROUTES TO SCHOOLS - M.S. 598, OUR LADY OF PERPETUAL HELP  
(OLPH) - P.S. 169 - P.S. 156/P.S. 189/P.S. 327 - P.S. 380 - P.S. 41  
**LOCATIONS:** VARIOUS LOCATIONS IN BROOKLYN AND STATEN ISLAND


- H. NO DEVIATION OR DEPARTURE FROM THESE STIPULATIONS WILL BE PERMITTED WITHOUT THE PRIOR WRITTEN APPROVAL FROM THE OCMC-STREETS. REQUEST FOR SUCH MODIFICATIONS SHALL BE SUBMITTED TO THE OFFICE OF THE OCMC-STREETS, NEW YORK CITY DEPARTMENT OF TRANSPORTATION, A MINIMUM OF TWENTY (20) DAYS IN ADVANCE FOR CONSIDERATION.
- I. FOR ANY CONSTRUCTION ACTIVITY RESULTING IN THE FULL CLOSURE OF A ROADWAY FOR MORE THAN 180 CONSECUTIVE CALENDAR DAYS, THE CONTRACTOR MUST PRODUCE AND SUBMIT A COMMUNITY REASSESSMENT, IMPACT AND AMELIORATION (CRIA) STATEMENT TO NYCDOT PLANNING AND OBTAIN THEIR APPROVAL BEFORE APPLYING FOR PERMITS, IN COMPLIANCE WITH THE PROVISIONS OF LOCAL LAW 24 STREET CLOSURE LAW.
- J. FOR THIS PROJECT THE CONTRACTOR SHALL FURNISH, INSTALL AND MAINTAIN ALL NECESSARY ADVANCE WARNING AND DETOUR SIGNS, TEMPORARY CONTROL DEVICES, BARRICADES, LIGHTS AND FLASHING ARROW BOARDS IN ACCORDANCE WITH THE "MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES," THE TYPICAL SCHEMES INCLUDED IN THIS SPECIFICATION; AND AS ORDERED BY THE ENGINEER-IN-CHARGE AND THE OCMC-STREETS.
- K. THE CONTRACTOR SHALL BE RESPONSIBLE FOR IDENTIFYING HIS CONSTRUCTION SIGNAGE. THE IDENTIFICATION SHALL INCLUDE THE CONTRACTOR'S NAME, SPONSORING AGENCY NAME AND THE CONTRACT NUMBER. THE IDENTIFICATION SHALL BE PLACED ON THE BACK OF THE SIGN. THE LETTERING SHALL BE THREE (3) INCHES HIGH.
- L. THE OCMC-STREETS RESERVES THE RIGHT TO VOID OR MODIFY THESE STIPULATIONS SHOULD CONSTRUCTION FAIL TO COMMENCE WITHIN TWO (2) YEARS OF THE SIGNED DATE OF THESE STIPULATIONS.

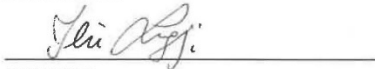
  
**GARY SMALLS**  
DIRECTOR  
OCMC-STREETS

  
**JUSTIN NANTON**  
PROJECT MANAGER  
OCMC-STREETS

  
**HEBA GUIRGUIS**  
PROJECT MANAGER  
OCMC-STREET

  
**DANIEL PRINCIVIL**  
PROJECT MANAGER  
OCMC-STREETS

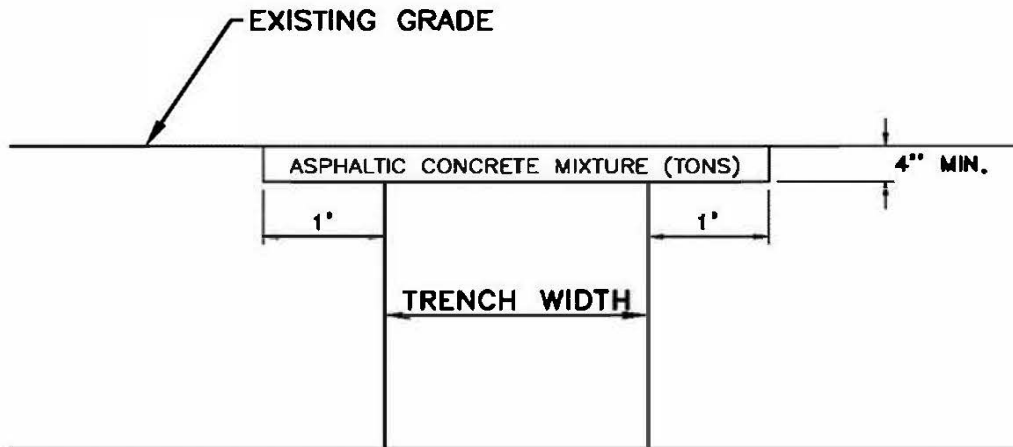
  
**JILLIAN GBIDI**  
PROJECT MANAGER  
OCMC-STREETS

  
**ILIR LUGJI**  
PROJECT MANAGER  
OCMC-STREETS

**(C) SEWER & WATER MAIN PROJECT SPECIFIC PROVISIONS**

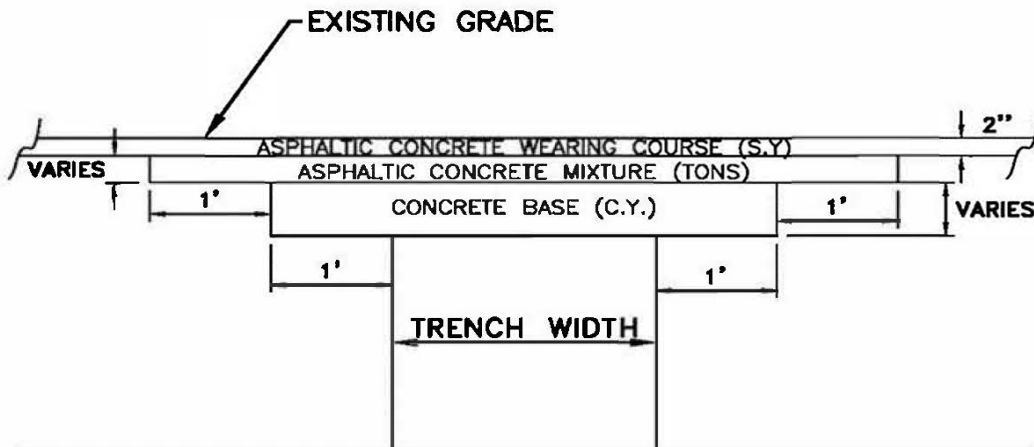
1. EXISTING SEWERS, MANHOLES, ETC. The contractor is advised that at some locations, there presently exist sewers, manholes, water mains, etc., which are to remain undisturbed and are in close proximity to the line of proposed work. The Contractor must exercise extreme care, minimize the trench width of the proposed sanitary or storm sewers and take all necessary precautions in placing sheeting, installing additional support and during excavation to prevent any damages to the said existing structures while working adjacent to them. The cost of the above work including additional supporting or underpinning design, modification of trench sheeting and all necessary work incidental thereto will be deemed to be included in the prices bid for all contract items of work. No additional or separate payment will be made. Any damage to any portion of the said existing structures due to the Contractor's operations must be repaired by him as directed by the Engineer. The cost for such repair must be borne by the Contractor solely at his own expense.
2. RECONNECT ALL REMAINING PIPES TO PROPOSED MANHOLES. At some locations as indicated on the contract plans, the Contractor is required to reconnect all existing sewers to proposed manholes in this contract. The said manholes must be fabricated to provide openings for the existing sewers at the specified invert elevations as shown on the contract drawings. The cost of reconnecting existing sewer pipes to new manholes, including concrete collar with steel reinforcements and/or grouting around the existing sewer pipes at the openings and all work necessary to complete the pipe reconnection, to the satisfaction of the Resident Engineer will be deemed included in the prices bid for all items of work. No additional payment will be made.
3. RECONNECT HOUSE DRAINS. The Contractor is advised that at certain locations within the project limit, all house connection drains for buildings must be transferred from the existing combined sewers to the new combined sewers. Prior to the start of construction, the Contractor must perform investigation in order to verify the exact locations of the existing combined sewers and house connection drains requiring transfer to the new combined sewers. The cost of investigation work will be deemed included in the prices bid for all contract items of work. No additional or separate payment will be made for this work.
4. PAVEMENT RESTORATION. In trench areas, temporary (sketch 1) and permanent (sketch 2) pavement restoration must follow sketches 1-2 shown in page S-18.
5. PRICES TO INCLUDE. No direct payment will be made for costs incurred in complying with the foregoing Special Provisions, unless otherwise provided. Said costs will be deemed to have been included in the prices bid for all the scheduled contract items.

**TEMPORARY RESTORATION OF PAVEMENT (SKETCH 1)**



TEMPORARY PAVEMENT RESTORATION: THE TEMPORARY RESTORATION OVER THE TRENCH WIDTH AND CUTBACKS SHALL CONSIST OF A MINIMUM OF FOUR (4) INCHES OF ASPHALTIC CONCRETE MIXTURE (ITEM 4.02 CB) OVER CLEAN BACKFILL (ITEM 70.81CB).

**PERMANENT RESTORATION OF PAVEMENT (SKETCH 2)**



PERMANENT PAVEMENT RESTORATION: THE PERMANENT RESTORATION OVER THE TRENCH WIDTH AND CUTBACKS SHALL CONSIST OF TWO (2) INCHES OF ASPHALTIC

CONCRETE WEARING COURSE (ITEM 4.02 AF-R) OVER ASPHALTIC CONCRETE MIXTURE (ITEM 4.02 CB) AND CONCRETE BASE (ITEM 4.04H) TO MATCH EXISTING AS DIRECTED AND APPROVED BY ENGINEER.

**TYPES OF PAVEMENTS RESTORATION**  
N.T.S.

**(D) GREEN INFRASTRUCTURE PROVISIONS**

(NO TEXT ON THIS PAGE )

**FTA - PAGES:**

**FEDERAL TRANSIT ADMINISTRATION  
PROJECTS**



**(NO TEXT ON THIS PAGE)**



# **FEDERAL TRANSIT ADMINISTRATION (FTA) THIRD PARTY REQUIREMENTS(CONTRACTORS) ATTACHMENT**

THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
INFRASTRUCTURE DIVISION  
BUREAU OF DESIGN

## **THIS ATTACHMENT IS HEREBY MADE A PART OF THE CONTRACT DOCUMENTS**

1. Any substantial modification of the Contract will be subject to approval by the State Commissioner of Transportation and the Federal Transit Administration, in addition to the City agencies involved.
2. The Contractor will be responsible for compliance with all the provisions, forms etc., of the following (i) Federal Transit Administration (FTA) Third Party Requirements (Contractors) (ii) Appendices, are made a part of the original contract documents and are annexed hereto:
  - i. NEW YORK CITY DEPARTMENT OF TRANSPORTATION - FTA  
THIRD PARTY REQUIREMENTS (CONTRACTORS)
  - ii. Appendices:
    - Appendix A: Federal Exhibits
      - FEDERAL EXHIBIT 1 – NOTICE TO BIDDERS
      - FEDERAL EXHIBIT 2 - DAVIS-BACON WAGES
    - Appendix A1– Disclosure of Lobbying Activities
    - Appendix A2 – Certification of a Contractor regarding debarment, suspension and other responsibility matters
    - Appendix A3 – Certification of a Subcontractor/ Supplier regarding debarment, suspension and other responsibility matters
    - Appendix A4 – NYC DOT Disadvantage Business Enterprise Program
    - Appendix A4 – Attachment 1 - DETERMINING GOOD FAITH EFFORTS

## Appendix A4 – Attachment 2 – DBE Forms:

- NYCDOT AAP 19 FTA (1/14) NEW YORK CITY DEPARTMENT OF TRANSPORTATION DISADVANTAGED BUSINESS ENTERPRISE SCHEDULE OF UTILIZATION SCHEDULE OF UTILIZATION
- AAP 21LL (FTA) (1/14) NYC Department of Transportation Contractor Report of Contract Payments
- AAP 21LL (FTA) Form Instructions
- AAP 15 FTA(1/14) DESIGNATION OF AFFIRMATIVE REPRESENTATIVES BY CONTRACTORS/SUBCONTRACTORS
- AAP 23LL (2/11) PRE-AWARD DBE TRUCKING COMMITMENT INFORMATION
- NYCDOT AAPHC 89 FTA (1/14) NEW YORK CITY DEPARTMENT OF TRANSPORTATION DBE UTILIZATION WORKSHEET
- NYCDOT AAPHC 89-1 FTA (1/14) NEW YORK CITY DEPARTMENT OF TRANSPORTATION DBE UTILIZATION WORKSHEET AMENDMENT
- NYCDOT AAPHC 89-1 FTA (1/14) REVERSE INSTRUCTIONS FOR COMPLETING FORM AAPC 89-1 DBE UTILIZATION WORKSHEET AMENDMENT

## Appendix C:

DETERMINING GOOD FAITH EFFORTS

## NYC DOT AAP10 (10/14)

NYC DEPARTMENT OF TRANSPORTATION DBE SOLICITATION LOG

## Appendix D

PROMPT PAYMENT AFFIDAVIT

## Appendix E

SAMPLE PRIME CONTRACTOR AWARD LETTER

## Appendix F

MINORITY OWNED FINANCIAL INSTITUTIONS

## Appendix A4 – Attachment 3:

PROMPT PAYMENT AFFIDAVIT (SAMPLE PRIME CONTRACTOR PROMPT PAYMENT AFFIDAVIT)

## Appendix A5

Buy America Certification

In addition to compliance with the above FTA requirements, the Contractor will also be required to comply with all City requirements as depicted in the Information for Bidders and Standard Construction Contract herein. Wherever a conflict may exist, the FTA Regulations will take precedence.

3. All references to M/WBE within the Bid Documents must be deleted and the Disadvantaged Business Enterprise (DBE) requirements with a goal of 13% must be substituted.
4. Amendments to Information for Bidders:
  - a) Refer to Page 6, SECTION 20. Low Tie Bids;  
Delete Article 20, in its entirety, and substitute the words "ARTICLE 20. (NO TEXT)".
  - b) Refer to Pages 12 and 13, SECTION 37. Locally Based Enterprise Requirements (LBE);  
Delete the SECTION 37, in its entirety, and substitute the words "ARTICLE 37. (NO TEXT)".  
See FEDERAL TRANSIT ADMINISTRATION (FTA) THIRD PARTY REQUIREMENTS attachment, Article 28. Disadvantaged Business Enterprise (DBE)(49 CFR Part 26) and Appendix A4
5. Amendments to Standard Construction Contract:
  - a) Refer to Page 29, ARTICLE 21. RETAINED PERCENTAGE;  
Delete Article 21, in its entirety;  
Substitute the following: "ARTICLE 21. (NO TEXT)"
  - b) Refer to Page 74 and 75, ARTICLE 67. LOCALLY BASED ENTERPRISE PROGRAM;  
Delete Article 67, in its entirety, and substitute the words "ARTICLE 67. (NO TEXT)".  
See FEDERAL TRANSIT ADMINISTRATION (FTA) THIRD PARTY REQUIREMENTS attachment, Article 29. Disadvantaged Business Enterprise (DBE)(49 CFR Part 26) and Appendix A4.

**(NO TEXT ON THIS PAGE)**

**NEW YORK CITY  
DEPARTMENT OF TRANSPORTATION**

**FTA THIRD PARTY REQUIREMENTS  
(CONTRACTORS)**

**November 2022**

# Contents

PREFACE .....	4
Statutory Authorities.....	4
Purpose of these Third Party Requirements .....	4
GENERALLY APPLICABLE PROVISIONS.....	5
Section 1. Terms of these Third Party Requirements and Compliance. ....	5
Section 2. Definitions. ....	5
Section 3. Implementation.....	6
Section 4. Ethics, Political Activity, Disqualification, and Certain Criminal Activity. ....	9
Sections 5 through 8. Intentionally Omitted.....	11
Section 9. Record Retention and Access to Sites of Performance.....	11
Sections 10. Intentionally Omitted. ....	12
Section 11. Termination due to Reductions in Federal Funding.....	12
Section 12. Civil Rights.....	13
Sections 13 through 14. Intentionally Omitted. ....	24
Section 15. Preference for United States Products and Services. ....	24
Section 16. Subcontracts. ....	25
Section 17. Intentionally Omitted. ....	27
Section 18. Rights in Data and Copyrights.....	27
Section 19. Use of Real Property, Equipment, and Supplies. ....	30
Section 20. Transit Asset Management.....	30
Section 21. Insurance and Bonding. ....	30
Section 22. Intentionally Omitted.....	31
Section 23. Construction. ....	31
Section 24. Employee Protections.....	31
Section 25. Extra Work. ....	41
Section 26. Environmental Protections.....	42
Section 27. Solid Wastes/Recycled Products. ....	43
Section 28. Charter Service. ....	44
Section 29. School Bus Operations.....	44
Section 30. Geographic Information and Related Spatial Data.....	44
Section 31. Intentionally Omitted. ....	45

Section 32. Rolling Stock.....	45
Section 33. Motor Carrier Safety.....	46
Section 34. Safe Operation of Motor Vehicles.....	47
Section 35. Substance Abuse.....	47
Section 36. Protection of Sensitive Security and Other Sensitive Information.....	48
Section 37. Intentionally Omitted.....	49
Section 38. Freedom of Information.....	49
Section 39. Disputes, Breaches, Defaults, and Termination.....	49
Section 40. Intelligent Transportation System.....	50
Section 41. Telecommunications and Video Surveillance Services or Equipment.....	50
Section 42. Information Obtained through Internet Links.....	51
Section 43. Severability.....	51
Special Provision for Promoting COVID-19 Safety.....	51
Special Provisions For Contracts Involving Experimental, Developmental, or Research Work.....	51
Appendices.....	59
Appendix A: Federal Exhibits.....	60
Appendix A1: Disclosure of Lobbying Activities.....	63
Appendix A2: Certification of a Contractor regarding debarment, suspension, and other responsibility matters.....	64
Appendix A3: Certification of a Subcontractor/Supplier regarding debarment, suspension, and other responsibility matters.....	65
Appendix A4: NYC DOT Disadvantage Business Enterprise Program.....	66
Appendix A5: Buy America Certification.....	90

## PREFACE

### Statutory Authorities

These Third Party Requirements are applicable to contracts funded with an award from the Federal Transit Administration (FTA) as authorized by:

- (a) Federal transit laws, 49 U.S.C. chapter 53, as amended, including the following:
  - (1) The Infrastructure Investment and Jobs Act of 2021 (IIJA), Public Law No. 117-58, November 15, 2021, and other authorizing legislation that may be enacted;
  - (2) The Fixing America's Surface Transportation (FAST) Act, Public Law No. 114-94, December 4, 2015;
  - (3) The Moving Ahead for Progress in the 21st Century Act (MAP-21), Public Law No. 112-141, July 6, 2012, as amended by the Surface Transportation and Veterans Health Care Choice Improvement Act of 2015, Public Law No. 114-41, July 31, 2015; and
  - (4) The Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law No. 109-59, August 10, 2005, as amended by the SAFETEA-LU Technical Corrections Act of 2008, Public Law No 110-244, June 6, 2008.
- (b) Continuing Resolutions or Other Appropriations Resolutions or Acts funding the Department of Transportation during Fiscal Year 2018.
- (c) Title 23, United States Code (Highways).
- (d) Other federal legislation that FTA administers, as FTA so determines.

### Purpose of these Third Party Requirements

These Third Party Requirements contain the standard terms and conditions that apply to the agreement between the City and the Contractor.

THEREFORE, in consideration of the mutual covenants, promises, and representations herein, the City and the Contractor agree as follows:



# GENERALLY APPLICABLE PROVISIONS

## Section 1. Terms of these Third Party Requirements and Compliance.

- (a) The Contractor must comply with all applicable federal laws, regulations, and requirements, and follow applicable federal guidance, except as FTA determines otherwise in writing.
- (b) To assure compliance with federal laws, regulations, and requirements, the Contractor must take measures to assure that its Subcontractors comply with applicable federal laws, regulations, and requirements, and follow applicable federal guidance, except as FTA determines otherwise in writing.
- (c) Contractor understands and agrees that FTA may take enforcement action if the Contractor or a Subcontractor violates an applicable federal law, regulation, or requirement, or does not follow applicable federal guidance.
- (d) Contractor understands and agrees that all submissions, requests for information/guidance, waiver requests, or any other project related communications to FTA including interpretation of FTA regulations and guidelines must be submitted through the City, unless otherwise provided by law.
- (e) All contractual provisions required by USDOT, as set forth in FTA Circular 4220.1F are hereby incorporated by reference. Anything to the contrary herein notwithstanding, all FTA mandated terms shall be deemed to control in the event of a conflict with the provisions contained in this Contract. The Contractor shall not perform any act, fail to perform any act, or refuse to comply with any of the City's requests which would cause the City to be in violation of the FTA terms and conditions. Contractor's failure to comply with applicable federal laws, regulations, and requirements shall constitute a material breach of the Contract.

## Section 2. Definitions.

- (a) *List of Definitions.* The Contractor agrees that the definitions set forth in the Master Agreement between the New York City Department of Transportation and FTA shall apply to these Third Party Requirements except as provided below:

*City* means the New York City agency that procured the contract in which this document is incorporated.

*Contract* means the contract or the agreement between the City and the Contractor.

*Project* means the public transportation improvement activities being funded with federal assistance from the FTA.

*Subcontract* means a contract or agreement entered into by the Contractor with a Subcontractor, or a Subcontractor with another Subcontractor at any tier, and is supported in whole or in part with the federal assistance originally derived from FTA, or non-federal share dedicated to the Contract.

### **Section 3. Implementation.**

- (a) [Intentionally Omitted]
- (b) *Description of Each Project.* The Contractor agrees to perform the work described in the terms of its Contract, including all the documents and information incorporated by reference and made part of that Contract including these Third Party Requirements.
- (c) *Prompt Implementation.* After receiving notice to proceed, the Contractor agrees to undertake promptly each Project and related activities described in the Contract.
- (d) *Completion Dates.* The Contractor agrees to complete each Project within the time periods specified in the Contract and all activities must be completed by the Contract's end date, unless the City agrees in writing to extend the end date.
- (e) [Intentionally Omitted]
- (f) [Intentionally Omitted]
- (g) [Intentionally Omitted]
- (h) *Responsibility to Comply with Federal Requirements.* Irrespective of involvement by any other entity in the Contract, the Contractor agrees to comply with all federal requirements that apply to itself and the Contract.
- (i) *Responsibility to Extend Federal Requirements.* In certain circumstances, the Contractor's compliance with specific federal requirements depends on compliance by its Subcontractors with those federal requirements, and therefore:
  - (1) *General.* The Contractor agrees to ensure that its Subcontractors will comply with applicable federal requirements, and follow applicable federal guidance.
  - (2) [Intentionally Omitted]
  - (3) *Performance of the Contractor's Responsibilities.* If a Subcontractor is expected to fulfill any responsibilities typically performed by the Contractor, the Contractor agrees to ensure that the Subcontractor will carry out such responsibilities in compliance with federal requirements, and provide enough information to each Subcontractor so that it understands that it will be expected to follow federal guidance.
  - (4) [Intentionally Omitted]

- (5) *Subcontracts.* The Contractor agrees to enter into a written agreement with each Subcontractor and must include all appropriate provisions stating the Subcontractor's responsibilities to assure the Subcontractor's capability to comply with applicable federal requirements and guidance and specifying the responsibilities that the Subcontractor will fulfill on the Contractor's behalf.
- (6) *Notice.*
  - (i) Federal requirements that apply to the Contractor or Contract, and any amendments thereto may change due to changes in federal law, regulation, other requirements, or guidance, or changes in the Contract including any information incorporated by reference and made part of that Contract; and
  - (ii) Applicable changes to those federal requirements will apply to each Subcontract at any tier.
- (j) *Changed Circumstances.* The Contractor agrees that changed circumstances may occur that may impact its ability to comply with the terms and conditions of the Contract.
  - (1) *Types of Changes.* Certain circumstances can cause significant changes in performance of a Project or related activities or adversely affect the Contractor's ability to carry out its Contract, such as:
    - (i) A change in federal requirements or guidance;
    - (ii) A change in state, territorial, local, or tribal requirements;
    - (iii) A change in the Contractor's circumstances, including its technical or managerial capacity or another similar situation; and
    - (iv) Any current or prospective legal matter with potentially serious consequences, including a major dispute, default, breach, or litigation, or knowledge that the Contractor's principal, official, employee, agent, or a Subcontractor at any tier, or other person has submitted a false claim under the False Claims Act, 31 U.S.C. § 3729 et seq., or has committed a criminal or civil violation of law pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving federal assistance; suspension, debarment, or other similar administrative or enforcement action against the Contractor or any Subcontractor; or any matter or situation, including any other change or legal action that may adversely affect the Federal Government's interest in a Project or related activities.
  - (2) *Notice.* In the circumstances described above, the Contractor agrees to provide immediate written notice to the:
    - (i) Agency Chief Contracting Officer for the City agency that entered into the Contract;

- (ii) NYC DOT’s Associate Commissioner for Grants Administration, if the City agency that entered into the Contract is the New York City Department of Transportation; and
  - (iii) NYC DOT’s General Counsel, if the City agency that entered into the Contract is the New York City Department of Transportation.
- (3) The Contractor will include this provision in its subcontracts at every tier, for any agreement that is a “covered transaction” according to 2 C.F.R. 180.220 and 1200.220.
- (k) *Conflict Between Federal Requirements and State, Territorial, Local, or Tribal Requirements.* The Contractor understands that a federal requirement may conflict with a state, territorial, local, or tribal requirement, and agrees that the Contractor must comply with each applicable federal requirement that pre-empts the conflicting state, territorial, local, or tribal requirement.
  - (1) *Compliance with State, Territorial, Local or Tribal Requirements.* Unless otherwise pre-empted by a federal requirement, the Contractor agrees that:
    - (i) The Contractor will comply with applicable state, territorial, local, and tribal requirements; however
    - (ii) The City does not require the Contractor to take any action involving the Contract that would violate a state, territorial, local, or tribal requirement that conflicts with a federal requirement.
  - (2) *When a Conflict Arises.* When a federal requirement conflicts with a state, territorial, local, or tribal requirement:
    - (i) The Contractor must notify the City immediately in writing if compliance with the federal requirement would violate a state, territorial, local, or tribal requirement, or require the Contractor to violate a state, territorial, local, or tribal requirement.
    - (ii) The Contractor must make appropriate arrangements with the City to proceed with its responsibilities as set forth in the Contract, or terminate the Contract expeditiously, if necessary.
- (l) *No Federal Government Commitment or Liability.* Except as the Federal Government expressly consents in writing, the Contractor agrees that:
  - (1) The Federal Government does not and shall not have any commitment or liability related to the Contract, to the Contractor, or to any Subcontractor at any tier; and
  - (2) Notwithstanding that the Federal Government may have concurred in or approved any solicitation for this Contract or Subcontract at any tier, the Federal

Government does not and shall not have any commitment or liability to the Contractor or any Subcontractor.

**Section 4. Ethics, Political Activity, Disqualification, and Certain Criminal Activity.**

- (a) [Intentionally Omitted]
- (b) [Intentionally Omitted]
- (c) *Lobbying Restrictions.* The Contractor agrees that neither it nor any Subcontractor will use federal assistance to influence any officer or employee of a federal agency, member of Congress or an employee of a member of Congress, or officer or employee of Congress on matters that involve the Contract, including any extension or modification, according to the following:
  - (1) *Laws, Regulations, Requirements, and Guidance.* This includes:
    - (i) The Byrd Anti-Lobbying Amendment, 31 U.S.C. § 1352, as amended;
    - (ii) U.S. DOT regulations, “New Restrictions on Lobbying,” 49 C.F.R. part 20, to the extent consistent with 31 U.S.C. § 1352, as amended; and
    - (iii) Other applicable federal laws, regulations, requirements, and guidance prohibiting the use of federal assistance for any activity concerning legislation or appropriations designed to influence the U.S. Congress or a state legislature; and
  - (2) *Certification.* Contractors that apply or bid for an award exceeding \$100,000 must file, at the time of bid, the certification required by 49 C.F.R. part 20, “Disclosure of Lobbying Activities” contained in Appendix A1. Each tier certifies to the tier above that it will not and has not used federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any federal contract, grant or any other award covered by 31 U.S.C. § 1352. Each tier must also disclose any lobbying with non-federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the City.
- (d) [Intentionally Omitted]
- (e) *False or Fraudulent Statements or Claims.*
  - (1) *Civil Fraud.* The Contractor acknowledges and agrees that:
    - (i) Federal laws, regulations, and requirements apply to itself and its Contract, including the Program Fraud Civil Remedies Act of 1986, as amended, 31 U.S.C. § 3801 et seq., and U.S. DOT regulations, “Program Fraud Civil

Remedies,” 49 C.F.R. part 31.

- (ii) By executing the Contract, the Contractor certifies and affirms the truthfulness and accuracy of any claim, statement, submission, certification, assurance, affirmation, or representation that the Contractor makes, has made, or causes to be made pertaining to the Contract or the project for which the Contract work is being performed.
    - (iii) The Federal Government may impose the penalties of the Program Fraud Civil Remedies Act of 1986, as amended, and other applicable penalties if the Contractor presents, submits, or makes available any false, fictitious, or fraudulent information.
  - (2) *Criminal Fraud.* The Contractor acknowledges that 49 U.S.C. § 5323(l)(1) authorizes the Federal Government to impose the penalties under 18 U.S.C. § 1001 if the Contractor provides a false, fictitious, or fraudulent claim, statement, submission, certification, assurance, or representation in connection with a federal public transportation program under 49 U.S.C. chapter 53 or any other applicable federal law.
  - (3) *Flow-Down.* The Contractor also agrees to include the above two (2) clauses in each Subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agrees that the clauses shall not be modified, except to identify the Subcontractor who will be subject to the provisions.
- (f) [Intentionally Omitted]
- (g) *Federal Tax Liability and Recent Felony Convictions.*
- (1) *Transactions Prohibited.*
    - (i) The Contractor certifies that it—
      - (A) Does not have any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability; and
      - (B) Was not convicted of the felony criminal violation under any Federal law within the preceding 24 months.
  - (2) *Flow-Down.* The Contractor agrees to flow this requirement down to Subcontractors at all tiers, without regard to the value of any Subcontract.
- (h) *Debarment and Suspension.* The Contractor agrees to the following:
- (1) Contractors who bid for an award of \$25,000 or more must file, at the time of bid, the certification contained in Appendix A2, Certification Regarding Debarment, Suspension and Other Responsibility Matters. Contractor agrees that before

entering into any subcontract, it will file the certification contained in Appendix A3, Certification of Subcontractor/Supplier Regarding Debarment, Suspension and Other Responsibility Matters. The Contractor will ensure that certifications completed by its Subcontractors are attached to and incorporated into their subcontracts.

- (2) It will not enter into any “covered transaction” (as that phrase is defined at 2 C.F.R. §§ 180.220 and 1200.220) with any Subcontractor that is, or whose principal is, suspended, debarred, or otherwise excluded from participating in covered transactions, except as authorized by—
  - (i) U.S. DOT regulations, “Nonprocurement Suspension and Debarment,” 2 C.F.R. part 1200;
  - (ii) U.S. OMB regulatory guidance, “Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement),” 2 C.F.R. part 180; and
  - (iii) Other applicable federal laws, regulations, or requirements regarding participation with debarred or suspended Contractors or Subcontractors.
- (3) It will review the U.S. GSA “System for Award Management – Lists of Parties Excluded from Federal Procurement and Nonprocurement Programs,” if required by U.S. DOT regulations, 2 C.F.R. part 1200.
- (4) It will ensure that its Subcontracts contain provisions necessary to flow down these suspension and debarment provisions to all lower tier covered transactions.
- (5) The certification in this clause is a material representation of fact relied upon by the City. If it is later determined that the Contractor knowingly rendered an erroneous certification, in addition to remedies available to the City, the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment.

**Sections 5 through 8. Intentionally Omitted.**

**Section 9. Record Retention and Access to Sites of Performance.**

- (a) *Types of Records.* The Contractor agrees to retain, and will require its Subcontractors to retain, complete and readily accessible records related in whole or in part to the Contract, including, but not limited to, data, documents, reports, statistics, Subcontracts, and supporting materials related to those records.
- (b) *Retention Period.* Contractor agrees to retain records for a period of not less than three years after the date of termination or expiration of the Contract, except in the event of litigation or settlement of claims arising from the performance of the Contract, in which

case Contractor agrees to maintain same until the City, FTA Administrator, the Comptroller General, or any of their duly authorized representatives, have disposed of all such litigation, appeals, claims or exceptions related thereto.

- (c) *Access to Records.* The Contractor agrees to:
- (1) Provide, and require its Subcontractors at each tier to provide, sufficient access (including the ability to reproduce by any means whatsoever or to copy excerpts and transcriptions as reasonably needed) to inspect and audit records and information related to its Contract to the U.S. Secretary of Transportation or the Secretary's duly authorized representatives, to the Comptroller General of the United States, and the Comptroller General's duly authorized representatives, and to the City of New York;
  - (2) Permit those individuals listed above to inspect all work and materials related to its Contract, and to audit any information related to its Contract under the control of the Contractor or Subcontractor within books, records, accounts, or other locations; and
  - (3) Otherwise comply with 49 U.S.C. § 5325(g), and federal access to records requirements as set forth in the applicable U.S. DOT Common Rules.
- (d) *Access to the Sites of Performance.* The Contractor agrees to permit, and to require its Subcontractors to permit, FTA and the City of New York to have access to the sites of performance of its Contract, and to make site visits as needed in compliance with the U.S. DOT Common Rules.
- (e) *Closeout.* Closeout of the Contract does not alter the record retention or access requirements of this section.

## **Sections 10. Intentionally Omitted.**

## **Section 11. Termination due to Reductions in Federal Funding**

The City shall have the right to terminate the Contract in whole or in part for cause, for convenience, due to force majeure, or due to reductions in federal funding. In addition to the termination provisions included elsewhere in the Contract, the following termination provisions apply:

- (a) This Contract is funded in whole or in part by funds secured from the Federal government. Should the Federal government reduce or discontinue such funds, the City shall have, in its sole discretion, the right to terminate this Contract in whole or in part, or to reduce the funding and/or level of services of this Contract caused by such action by the Federal government, including, in the case of the reduction option, but not limited to, the reduction or elimination of programs, services or service components; the reduction or elimination of contract-reimbursable staff or staff-hours, and corresponding reductions in the budget



of this Contract and in the total amount payable under this Contract. Any reduction in funds pursuant to this paragraph shall be accompanied by an appropriate reduction in the services performed under this Contract.

- (b) In the case of the reduction option referred to in subparagraph (i), above, any such reduction shall be effective as of the date set forth in a written notice thereof to the Contractor, which shall be not less than 30 calendar days from the date of such notice. Prior to sending such notice of reduction, the City shall advise the Contractor that such option is being exercised and afford the Contractor an opportunity to make within seven calendar days any suggestion(s) it may have as to which program(s), service(s), service component(s), staff or staff-hours might be reduced or eliminated, provided, however, that the City shall not be bound to utilize any of the Contractor's suggestions and that the City shall have sole discretion as to how to effectuate the reductions.
- (c) If the City reduces funding pursuant to this Section 11, the basis of settlement shall be as provided for in the Contract's termination provisions.

## **Section 12. Civil Rights.**

- (a) *Civil Rights Requirements.* The Contractor agrees that it must comply with applicable federal civil rights laws, regulations, and requirements, and follow applicable federal guidance, except as the Federal Government determines otherwise in writing.
- (b) *Nondiscrimination in Federal Public Transportation Programs.* The Contractor agrees to, and assures that it and each of its Subcontractors at any tier will:
  - (1) Prohibit discrimination based on race, color, religion, national origin, sex (including sexual orientation and gender identity), disability, or age.
  - (2) Prohibit the:
    - (i) Exclusion from participation in employment or a business opportunity for reasons identified in 49 U.S.C. § 5332;
    - (ii) Denial of program benefits in employment or a business opportunity identified in 49 U.S.C. § 5332; or
    - (iii) Discrimination identified in 49 U.S.C. § 5332, including discrimination in employment or a business opportunity identified in 49 U.S.C. § 5332.
  - (3) Follow:
    - (i) The most recent edition of FTA Circular 4702.1, "Title VI Requirements and Guidelines for Federal Transit Administration Recipients," to the extent consistent with applicable federal laws, regulations, requirements, and guidance;
- (c) *Nondiscrimination – Title VI of the Civil Rights Act.* The Contractor agrees to, and assures that each Subcontractor at any tier will:

- (1) Prohibit discrimination based on race, color, or national origin,
- (2) Comply with:
  - (i) Title VI of the Civil Rights Act of 1964, as amended, 42 U.S.C. § 2000d et seq.;
  - (ii) U.S. DOT regulations, “Nondiscrimination in Federally-Assisted Programs of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964,” 49 C.F.R. part 21; and
  - (iii) Federal transit law, specifically 49 U.S.C. § 5332; and
- (3) Follow:
  - (i) The most recent edition of FTA Circular 4702.1, “Title VI Requirements and Guidelines for Federal Transit Administration Recipients,” to the extent consistent with applicable federal laws, regulations, requirements, and guidance;
  - (ii) U.S. DOJ, “Guidelines for the enforcement of Title VI, Civil Rights Act of 1964,” 28 C.F.R. § 50.3; and
  - (iii) All other applicable federal guidance that may be issued.
- (d) *Equal Employment Opportunity.*
  - (1) *Federal Requirements and Guidance.* The Contractor agrees to, and assures that each Subcontractor will, prohibit discrimination based on race, color, religion, sex, sexual orientation, gender identity, or national origin, and:
    - (i) Comply with Title VII of the Civil Rights Act of 1964, as amended, 42 U.S.C. § 2000e et seq.;
    - (ii) Comply with Title I of the Americans with Disabilities Act of 1990, as amended, 42 U.S.C. §§ 12101, et seq.;
    - (iii) Facilitate compliance with Executive Order No. 11246, “Equal Employment Opportunity” September 24, 1965 (42 U.S.C. § 2000e note), as amended by any later Executive Order that amends or supersedes it in part and is applicable to federal assistance programs;
    - (iv) Comply with federal transit law, specifically 49 U.S.C. § 5332, as provided in section 12 of these Third Party Requirements;
    - (v) FTA Circular 4704.1 “Equal Employment Opportunity (EEO) Requirements and Guidelines for Federal Transit Administration Recipients;” and

- (vi) Follow other federal guidance pertaining to EEO laws, regulations, and requirements, and prohibitions against discrimination on the basis of disability.
- (2) *Specifics.* The Contractor agrees to, and assures that each Subcontractor will:
- (i) *Affirmative Action.* If required to do so by U.S. DOT regulations (49 C.F.R. part 21) or U.S. Department of Labor regulations (41 C.F.R. chapter 60), take affirmative action that includes, but is not limited to:
    - (A) Recruitment advertising, recruitment, and employment;
    - (B) Rates of pay and other forms of compensation;
    - (C) Selection for training, including apprenticeship, and upgrading; and
    - (D) Transfers, demotions, layoffs, and terminations; and
- (3) *Equal Employment Opportunity Requirements for Construction Activities.*

For Contracts involving Construction work, design for Construction, or Construction services, all such work or services performed by the Contractor and its subcontractors shall be subject to the following requirements:

The Contractor shall include the notice found at FEDERAL EXHIBIT I in all Construction subcontracts. For the purposes of the Equal Opportunity Construction Contract Specifications and Clause below, the term “Construction Work” means the construction, rehabilitation, alteration, conversion, extension, demolition or repair of buildings, highways, or other changes or improvements to real property, including facilities providing utility services. The term also includes the supervision, inspection, and other onsite functions incidental to the actual construction.

**Standard Federal Equal Employment Opportunity Construction Contract Specifications for Contracts and Subcontracts in Excess of \$10,000.**

- 1. As used in these specifications:
  - a. “Covered area” means the geographical area described in the solicitation from which this Contract resulted;
  - b. “Director” means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;

c. “Employer identification number” means the Federal Social Security number used on the Employer’s Quarterly Federal Tax Return, U.S. Treasury Department Form 941.

d. “Minority” includes:

(i) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);

(ii) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);

(iii) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and

(iv) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).

2. Whenever the Contractor, or any subcontractor at any tier, subcontracts a portion of the work involving any Construction trade, it shall physically include in each subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this Contract resulted.

3. If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or subcontractors toward a goal in an approved Plan does not excuse any covered Contractor’s or subcontractor’s failure to take good faith efforts to achieve the Plan goals and timetables.

4. The Contractor shall implement the specific affirmative action standards provided in paragraphs 7 a through p of these specifications. The goals set forth in the solicitation from which this Contract resulted are expressed as percentages of

the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each Construction trade in which it has employees in the covered area. Covered Construction Contractors performing Construction Work in geographical areas where they do not have a Federal or federally assisted Construction contract shall apply the minority and female goals established for the geographical areas where the work is being performed. Goals are published periodically in the Federal Register in notice form, and such notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress in meeting its goals in each craft during the period specified.

5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246 as amended, or the regulations promulgated pursuant thereto.

6. In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.

7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:

a. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each Construction project. The Contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.

b. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the

organization's responses.

c. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefor, along with whatever additional actions the Contractor may have taken.

d. Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.

e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under 7b above.

f. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where Construction Work is performed.

g. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with on-site supervisory personnel such as Superintendents, General Foremen, etc., prior to the initiation of Construction Work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

h. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female

news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and subcontractors with whom the Contractor does or anticipates doing business.

i. Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.

j. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on the site and in other areas of a Contractor's work force.

k. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.

l. Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.

m. Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.

n. Ensure that all facilities and company activities are non-segregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.

o. Document and maintain a record of all solicitations of offers for subcontracts from minority and female Construction contractors and suppliers, including circulation of solicitations to minority and female Contractor associations and other business associations.

p. Conduct a review, at least annually, of all supervisor's adherence to and performance under the Contractor's EEO policies and affirmative action obligations.

8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (7a through p). The efforts of a Contractor association, joint Contractor-union, Contractor-community, or other similar group of which the Contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under 7a through p of these specifications provided that the Contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the Program are reflected in the Contractor's minority and female work force participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.

9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if a specific minority group of women is underutilized).

10. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, sexual orientation, gender identity, or national origin.

11. The Contractor shall not enter into any Subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246 or suspended or is otherwise excluded from or ineligible for participation in federal assistance programs.

12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the Office of Federal Contract Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.

13. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards



prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR 60-4.8.

14. The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee the name, address, telephone numbers, Construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g., mechanic, apprentice trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, Contractors shall not be required to maintain separate records.

15. Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for hiring of local or other areas residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

- (1) **Equal Opportunity Clause** (for contracts for Construction Work) required by 41 CFR § 60-1.4(b).

During the performance of this contract, the Contractor agrees as follows:

(1) The Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. The Contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, sexual orientation, gender identity, or national origin. Such action shall include, but not be limited to the following:

Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

(2) The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive

consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin.

(3) The Contractor will not discharge or in any other manner discriminate against any employee or applicant for employment because such employee or applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision shall not apply to instances in which an employee who has access to the compensation information of other employees or applicants as a part of such employee's essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the Contractor's legal duty to furnish information.

(4) The Contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representatives of the Contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

(5) The Contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.

(6) The Contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

(7) In the event of the Contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

(8) The Contractor will include the portion of the sentence immediately preceding

paragraph (1) and the provisions of paragraphs (1) through (8) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The Contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance:

Provided, however, that in the event a Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the administering agency, the Contractor may request the United States to enter into such litigation to protect the interests of the United States.

- (e) *Disadvantaged Business Enterprise.* To the extent authorized by applicable federal laws, regulations, or requirements, the Contractor agrees to facilitate, and assures that each Subcontractor will facilitate, participation by small business concerns owned and controlled by socially and economically disadvantaged individuals, also referred to as “Disadvantaged Business Enterprises” (DBEs), in the Contract as follows:
- (1) *Statutory and Regulatory Requirements.* The Contractor agrees to comply with:
    - (i) Section 11101(e) of IIIJA;
    - (ii) U.S. DOT regulations, “Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs,” 49 C.F.R. part 26; and
    - (iii) Federal transit law, specifically 49 U.S.C. § 5332, as provided in section 12 of these Third Party Requirements.
  - (2) *DBE Program Requirements.* Contractor and its Subcontractors are required to comply with the requirements of Appendix A4, NYC DOT’s Disadvantage Business Enterprise Program.
  - (3) *Special Requirements for a Transit Vehicle Manufacturer (TVM).* As a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, a bidder or offeror must certify that it has complied with the requirements of 49 C.F.R. part 26
  - (4) *Assurance.* The Contractor agrees and assures that it will include the following assurance in each Subcontract regardless of tier:
    - (i) The Contractor and each Subcontractor must not discriminate based on race, color, national origin, or sex in the award and performance of any FTA or U.S. DOT-assisted Contract and Subcontract, as applicable, and the administration of its DBE program or the requirements of 49 C.F.R. part

26;

- (ii) The Contractor and each Subcontractor must take all necessary and reasonable steps under 49 C.F.R. part 26 to ensure nondiscrimination in the award and administration of U.S. DOT-assisted Contract and Subcontracts, as applicable;
- (iii) Failure by the Contractor and any of its Subcontractors to carry out the requirements of this subparagraph 12.e(4)(iii) is a material breach of this Contract, as applicable; and
- (iv) The following remedies, or such other remedy as NYCDOT deems appropriate, include, but are not limited to, withholding monthly progress payments, assessing liquidated damages, and/or disqualifying Contractor or Subcontractor from future bidding as non-responsible.

#### **Sections 13 through 14. Intentionally Omitted.**

#### **Section 15. Preference for United States Products and Services.**

Except as the Federal Government determines otherwise in writing, the Contractor and its Subcontractors agree to comply with FTA's U.S. domestic preference requirements and follow federal guidance, including:

- (a) *Buy America*. The domestic preference procurement requirements of 49 U.S.C. §5323(j), and FTA regulations, "Buy America Requirements," 49 C.F.R. part 661, to the extent consistent with 49 U.S.C. § 5323(j), which provide that Federal funds may not be obligated unless steel, iron, and manufactured products used in FTA-funded projects are produced in the United States, unless a waiver has been granted by FTA or the product is subject to a general waiver. General waivers are listed in 49 C.F.R. 661.7, and include final assembly in the United States for 15 passenger vans and 15 passenger wagons produced by Chrysler Corporation, and microcomputer equipment and software. Separate requirements for rolling stock are set out at 49 U.S.C. 5323(j)(2)(C) and 49 C.F.R. 661.11. Rolling stock must be assembled in the United States and have a 70 percent domestic content.

A bidder or offeror must file, at the time of bid, the appropriate Buy America certification contained in Appendix A5 except where subject to a general waiver. Bids that are not accompanied by a completed Buy America certification may be rejected as non-responsive. Bidder or offeror understands that procurements for rolling stock purchases may require additional certifications related to Buy America (see Section 32 – Rolling Stock).

- (b) *Build America, Buy America Act*. Construction materials used in the Project are subject to the domestic preference requirement of the Build America, Buy America Act, Pub. L. 117-58, div. G, tit. IX, §§ 70911 – 70927 (2021), as implemented by the U.S. Office of Management and Budget, the U.S. Department of Transportation, and FTA. The Contractor acknowledges that this agreement is neither a waiver of § 70914(a) nor a

finding under § 70914(b).

A bidder or offeror must file, at the time of bid, the appropriate Buy America certification contained in Appendix A5 except where subject to a waiver. Bids that are not accompanied by a completed Buy America certification may be rejected as non-responsive.

- (c) *Cargo Preference–Use of United States-Flag Vessels.* The shipping requirements of 46 U.S.C. § 55305, and U.S. Maritime Administration regulations, “Cargo Preference – U.S.-Flag Vessels,” 46 C.F.R. part 381 when the Contractor or Subcontract requires the transport of equipment, material, or commodities by ocean vessel; and
- (d) *Fly America.* The air transportation requirements of Section 5 of the International Air Transportation Fair Competitive Practices Act of 1974, as amended, 49 U.S.C. § 40118, and U.S. General Services Administration (U.S. GSA) regulations, “Use of United States Flag Air Carriers,” 41 C.F.R. §§ 301-10.131 – 301-10.143, which provides that recipients and subrecipient of Federal funds and their contractors are required to use U.S. Flag air carriers for U.S Government-financed international air travel and transportation of their personal effects or property, to the extent such service is available, unless travel by foreign air carrier is a matter of necessity, as defined by the Fly America Act. The Contractor shall submit, if a foreign air carrier was used, an appropriate certification or memorandum adequately explaining why service by a U.S. flag air carrier was not available or why it was necessary to use a foreign air carrier and shall, in any event, provide a certificate of compliance with the Fly America requirements.
- (e) *Uniform Administrative Requirements.* Compliance with FTA’s Buy America requirements shall be deemed to satisfy 2 CFR § 200.322, “Domestic Preferences for Procurements.”
- (f) *Limitation on Certain Rolling Stock Procurement.* Refer to Section 32 of these Third Party Requirements

#### **Section 16. Subcontracts.**

- (a) *Required Clauses in Subcontracts.* In addition to other applicable provisions of federal law, regulations, requirements, and guidance, all Subcontracts made by the Contractor must contain provisions covering the following, as applicable:
  - (1) *Simplified Acquisition Threshold.* All subcontracts for more than the simplified acquisition threshold, which is the inflation adjusted amount determined by the Civilian Agency Acquisition Council and the Defense Acquisition Regulations Council (Councils) as authorized by 41 U.S.C. § 1908, or otherwise set by law, must address administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate. Refer to Section 39 of these Third Party Requirements.
  - (2) *Termination.* All subcontracts in excess of \$10,000 must address termination for cause and for convenience by the non-federal entity including the manner by which

it will be effected and the basis for settlement. Refer to Section 39 of these Third Party Requirements.

- (3) *Equal Employment Opportunity*. Refer to Section 12 of these Third Party Requirements.
- (4) *Davis-Bacon Act, as amended (40 U.S.C. §§ 3141 – 3148)*. Refer to Section 24 of these Third Party Requirements.
- (5) *Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 3701 – 3708)*. Where applicable, all Subcontracts in excess of \$100,000 that involve the employment of mechanics or laborers must include a provision for compliance with 40 U.S.C. §§ 3702 and 3704, as supplemented by Department of Labor regulations (29 C.F.R. part 5). Refer to Section 24 of these Third Party Requirements.
- (6) *Rights to Inventions Made Under Contract*. Refer to Section 17 of these Third Party Requirements.
- (7) *Clean Air Act (42 U.S.C. §§ 7401 – 7671q.) and the Federal Water Pollution Control Act (33 U.S.C. §§ 1251 – 1388), as amended*. Subcontracts in excess of \$150,000 must contain a provision that requires the Subcontractor to agree to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. §§ 7401 – 7671q) and the Federal Water Pollution Control Act as amended (33 U.S.C. §§ 1251 – 1388). Refer to Section 26 of these Third Party Requirements.
- (8) *Debarment and Suspension (Executive Orders 12549 and 12689)*. A covered transaction (see 2 C.F.R. §§ 180.220 and 1200.220) must not be entered into with any party listed on the governmentwide exclusions in the System for Award Management (SAM), in accordance with the OMB guidelines at 2 C.F.R. 180 that implement Executive Orders 12549 (31 U.S.C. § 6101 note, 51 Fed. Reg. 6370,) and 12689 (31 U.S.C. § 6101 note, 54 Fed. Reg. 34131), “Debarment and Suspension.” SAM Exclusions contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than Executive Order 12549. The Contractor agrees to include a similar provision in each Subcontract, ensuring that each Subcontractor:
  - (i) Complies with federal debarment and suspension requirements; and
  - (ii) Reviews the SAM at <https://www.sam.gov>, if necessary to comply with U.S. DOT regulations, 2 C.F.R. part 1200.

Refer to Section 4 of these Third Party Requirements.

- (9) *Restrictions on Lobbying (31 U.S.C. § 1352)*. Refer to Section 4 of these Third Party Requirements.

- (10) *Solid Wastes*. Refer to Section 27 of these Third Party Requirements.

### **Section 17. Intentionally Omitted.**

### **Section 18. Rights in Data and Copyrights.**

- (a) *Definition of "Subject Data."* As used in this section, "subject data" means recorded information, whether or not copyrighted, that is delivered or specified to be delivered as required by the Contract. Examples of subject data include, but are not limited to computer software, standards, specifications, engineering drawings and associated lists, process sheets, manuals, technical reports, catalog item identifications, and related information, but do not include financial reports, cost analyses, or other similar information used for performance or administration of the Contract.
- (b) *General Federal Restrictions.* The following restrictions apply to all subject data first produced in the performance of the Contract:
- (1) *Prohibitions.* The Contractor may not publish or reproduce any subject data, in whole, in part, or in any manner or form, or permit others to do so.
  - (2) *Exceptions.* The prohibitions do not apply to publications or reproductions for the Contractor's own internal use, an institution of higher learning, the portion of subject data that the Federal Government has previously released or approved for release to the public, or the portion of data that has the Federal Government's prior written consent for release.
- (c) *Rights in Data and Copyrights.* The Contractor agrees that:
- (1) *General.* It must provide a license to its subject data to the Federal Government that is royalty-free, non-exclusive, and irrevocable. The Federal Government's license must permit the Federal Government to reproduce, publish, or otherwise use the subject data or permit other entities or individuals to use the subject data provided those actions are taken for Federal Government purposes; and
  - (2) *Intangible Property.*
    - (i) Pursuant to 2 CFR § 200.315, the Government reserves a royalty-free, non-exclusive, and irrevocable right to obtain, reproduce, publish, or otherwise use, and to authorize others to use, for Government purposes: (a) the copyright in any work developed under the Contract or subcontract; and (b) any rights of copyright to which a Contractor purchases ownership with grant support.
    - (ii) Any reports, documents, data, photographs, deliverables, and/or other materials produced pursuant to the Contract ("Copyrightable Materials"),

and any and all drafts and/or other preliminary materials in any format related to such items produced pursuant to the contract, shall upon their creation become the exclusive property of the City. The Copyrightable Materials shall be considered “work-made-for-hire” within the meaning and purview of Section 101 of the United States Copyright Act, 17 U.S.C. § 101, and the City shall be the copyright owner thereof and of all aspects, elements and components thereof in which copyright protection might exist. To the extent that the Copyrightable Materials do not qualify as “work-made-for-hire,” the Contractor hereby irrevocably transfers, assigns and conveys exclusive copyright ownership in and to the Copyrightable Materials to the City, free and clear of any liens, claims, or other encumbrances. The Contractor shall retain no copyright or intellectual property interest in the Copyrightable Materials. The Copyrightable Materials shall be used by the Contractor for no purpose other than in the performance of this Contract without the prior written permission of the City. The City may grant the Contractor a license to use the Copyrightable Materials on such terms as determined by the City and set forth in the license.

- (iii) The Contractor acknowledges that the City may, in its sole discretion, register copyright in the Copyrightable Materials with the United States Copyright Office or any other government agency authorized to grant copyright registrations. The Contractor shall fully cooperate in this effort, and agrees to provide any and all documentation necessary to accomplish this.
  
- (iv) The Contractor represents and warrants that the Copyrightable Materials:
  - (i) are wholly original material not published elsewhere (except for material that is in the public domain);
  - (ii) do not violate any copyright law;
  - (iii) do not constitute defamation or invasion of the right of privacy or publicity;
  - and (iv) are not an infringement, of any kind, of the rights of any third party.To the extent that the Copyrightable Materials incorporate any non-original material, the Contractor has obtained all necessary permissions and clearances, in writing, for the use of such non-original material under this Contract, copies of which shall be provided to the City upon execution of this Contract.
  
- (v) The Contractor shall promptly and fully report to the City any discovery or invention arising out of or developed in the course of performance of this Contract and the Contractor shall promptly and fully report to the Government to make a determination as to whether patent protection on such invention shall be sought and how the rights in the invention or discovery, including rights under any patent issued thereon, shall be disposed of and administered in order to protect the public interest.



- (vi) If the Contractor publishes a work dealing with any aspect of performance under this Agreement, or with the results of such performance, the City shall have a royalty-free, non-exclusive irrevocable license to reproduce, publish, or otherwise use such work for City governmental purposes.
- (d) *Special Federal Rights in Data for Research, Development, Demonstration, Deployment, Technical Assistance, and Special Studies Programs.* In general, FTA's purpose in providing federal assistance for a research, development, demonstration, deployment, technical assistance, or special studies program is to increase transportation knowledge, rather than limit the benefits to participants in the project. Therefore, in addition to the Special Provisions for Contracts Involving Experimental, Developmental, or Research Work, the Contractor agrees that:
  - (1) *Publicly Available Report.* When an Award providing federal assistance for any of the programs described above is completed, it must provide a report of the Contract that FTA may publish or make available for publication on the Internet.
  - (2) *Other Reports.* It must provide other reports related to Contract that FTA and NYC DOT may request.
  - (3) *Availability of Subject Data.* FTA may make available its copyright license to the subject data, and a copy of the subject data to the Contractor or any Subcontractor at any tier, except as the Federal Government determines otherwise in writing.
  - (4) *Identification of Information.* It must identify clearly any specific confidential, privileged, or proprietary information.
  - (5) *Incomplete.* If the Contract is not completed for any reason whatsoever, all data developed with federal assistance becomes subject data and must be delivered as the Federal Government may direct.
  - (6) *Exception.* This section does not apply to an adaptation of any automatic data processing equipment or program that is both for the City and the Contractor's use, and acquired with FTA capital program assistance.
- (e) [Intentionally Omitted]
- (f) *Hold Harmless.* Unless prohibited by state law, upon request by the Federal Government, the Contractor agrees that if it intentionally violates any proprietary rights, copyrights, or right of privacy, and if its violation under the preceding section occurs from any of the publication, translation, reproduction, delivery, use or disposition of subject data, then it will indemnify, save, and hold harmless the Federal Government against any liability, including costs and expenses of the Federal Government's officers, employees, and agents acting within the scope of their official duties. The Contractor will not be required to indemnify the Federal Government for any liability described in the preceding sentence, if the violation is caused by the wrongful acts of federal officers, employees or agents, or if indemnification is prohibited or limited by applicable state law.

- (g) *Restrictions on Access to Patent Rights.* Nothing in this section pertaining to rights in data either implies a license to the Federal Government under any patent, or may be construed to affect the scope of any license or other right otherwise granted to the Federal Government under any patent.
- (h) *Data Developed Without Federal Assistance or Support.* This section generally does not apply to data developed without federal assistance, even though that data may have been used in connection with the Contract. The Contractor agrees that the Federal Government will not be able to protect data developed without federal assistance and submitted to FTA from unauthorized disclosure unless that data is clearly marked “Proprietary,” or “Confidential.”
- (i) *Requirements to Release Data.* The Contractor understands and agrees that the Federal Government may be required to release data and information submitted to the Federal Government as required under:
  - (1) The Freedom of Information Act (FOIA), 5 U.S.C. § 552;
  - (2) The U.S. DOT Common Rules;
  - (3) The U.S. DOT Public Access Plan, which includes, but not limited to, the submission and approval of a Data Management Plan, the use of Open Researcher and Contributor ID (ORCID) numbers, the creation and maintenance of a Research Project record in the Transportation Research Board’s (TRB) Research in Progress (RiP) database, and the timely and complete submission of all required publications and associated digital data sets as such terms are defined in the DOT Public Access plan. Additional information about how to comply with the requirements can be found at <http://ntl.bts.gov/publicaccess/howtocomply.html>; or
  - (4) Other federal laws, regulations, requirements, and guidance concerning access to records pertaining to the Contract.

**Section 19. Use of Real Property, Equipment, and Supplies.**

- (a) *Federal Interest.* The Contractor agrees that the Federal Government retains a federal interest in all real property, equipment, and supplies acquired or improved for use in connection with a Project (Project property) until, and to the extent that, the Federal Government removes its federal interest.

**Section 20. Transit Asset Management.**

- (a) *General.* The Contractor will, comply with FTA regulations, “Transit Asset Management; National Transit Database,” 49 C.F.R. parts 625 and 630, and follow applicable federal guidance as applicable.

**Section 21. Insurance and Bonding.**

- (a) *Bonding.* The Contractor agrees to comply with the following bonding requirements and restrictions:
  - (1) *Construction.* Provide bid guarantee bonds, contract performance bonds, and payment bonds as specified in the Contract including, but not necessarily limited to, Schedule A.
  - (2) *Activities Not Involving Construction.* Provide bonding as specified in the Contract including, but not necessarily limited to, Schedule A.
- (b) *Insurance Requirements.* The Contractor agrees that it will comply with the insurance requirements of the Contract as specified in Schedule A.

**Section 22. Intentionally Omitted.**

**Section 23. Construction.**

- (a) *Construction Plans and Specifications.* The Contractor agrees to comply with all applicable statutes, regulations, and requirements, and follow FTA guidance in the development and implementation of construction plans and specifications.
- (b) *Seismic Safety.* The Contractor agrees to comply with the Earthquake Hazards Reduction Act of 1977, as amended, 42 U.S.C. § 7701 et seq., and U.S. DOT regulations, “Seismic Safety,” 49 C.F.R. part 41, specifically, 49 C.F.R. § 41.117.

**Section 24. Employee Protections.**

- (a) *Construction Contracts.* The Contractor agrees to comply and assures that each Subcontractor will comply with all federal laws, regulations, and requirements providing protections for construction employees involved in the Project, including the:
  - (1) Prevailing Wage Requirements of:
    - (i) Federal transit laws, specifically 49 U.S.C. § 5333(a), (FTA’s “Davis-Bacon Related Act”);
    - (ii) The Davis-Bacon Act, 40 U.S.C. §§ 3141 – 3144, 3146, and 3147;  
and
    - (iii) U.S. DOL regulations, “Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction (also Labor Standards Provisions Applicable to Nonconstruction Contracts Subject to the Contract Work Hours and Safety Standards Act),” 29 C.F.R. part 5.

In accordance with the statute, Contractor is required to pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage

determination made by the Secretary of Labor. In addition, Contractor is required to pay wages not less than once a week. A copy of the current prevailing wage determination issued by the Department of Labor is included in Appendix A. The decision to award this Contract is conditioned upon Contractor's acceptance of the wage determination. The City will report all suspected or reported violations to the FTA.

- (2) Wage and Hour Requirements of:
  - (i) Section 102 of the Contract Work Hours and Safety Standards Act, as amended, 40 U.S.C. § 3702, and other relevant parts of that Act, 40 U.S.C. § 3701 et seq.; and
  - (ii) U.S. DOL regulations, "Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction (also Labor Standards Provisions Applicable to Nonconstruction Contracts Subject to the Contract Work Hours and Safety Standards Act)," 29 C.F.R. part 5.
- (3) "Anti-Kickback" Prohibitions of:
  - (i) Section 1 of the Copeland "Anti-Kickback" Act, as amended, 18 U.S.C. § 874;
  - (ii) Section 2 of the Copeland "Anti-Kickback" Act, as amended, 40 U.S.C. § 3145; and
  - (iii) U.S. DOL regulations, "Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States," 29 C.F.R. part 3.
- (4) Construction Site Safety of:
  - (i) Section 107 of the Contract Work Hours and Safety Standards Act, as amended, 40 U.S.C. § 3704, and other relevant parts of that Act, 40 U.S.C. § 3701 et seq.; and
  - (ii) U.S. DOL regulations, "Recording and Reporting Occupational Injuries and Illnesses," 29 C.F.R. part 1904; "Occupational Safety and Health Standards," 29 C.F.R. part 1910; and "Safety and Health Regulations for Construction," 29 C.F.R. part 1926.

(a-1) *Specific Requirements.*

- (1) Minimum Wages
  - (i) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary

of Labor under the Copeland Act (29 CFR Part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (a-1)(1)(iv) of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR Part 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination and the Davis-Bacon poster (WH-1321) shall be posted at all times by the Contractor and its Subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

- (ii) (A) The Contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
  - (1) Except with respect to helpers as defined as 29 CFR 5.2(n)(4), the work to be performed by the classification requested is not performed by a classification in the wage determination; and
  - (2) The classification is utilized in the area by the construction industry; and
  - (3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and
  - (4) With respect to helpers as defined in 29 CFR 5.2(n)(4), such a classification prevails in the area in which the work is performed.

(B) If the Contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(C) In the event the Contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the Contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(D) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs (a-1)(1)(ii) (B) or (C) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

- (iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- (iv) If the Contractor does not make payments to a trustee or other third person, the Contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the Contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.
- (v) (A) The Contracting officer shall require that any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an

additional classification and wage rate and fringe benefits therefor only when the following criteria have been met:

- (1) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
- (2) The classification is utilized in the area by the construction industry; and
- (3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(B) If the Contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(C) In the event the Contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination with 30 days of receipt and so advise the Contracting officer or will notify the Contracting officer within the 30-day period that additional time is necessary.

(D) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs (a-1)(1)(v) (B) or (C) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

(2) Withholding

The City shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld from the Contractor under this contract or any other Federal contract with the same Contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers

and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of 1949 in the construction or development of the project), all or part of the wages required by the contract, the City may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

(3) Payrolls and basic records

(i) Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work (or under the United States Housing Act of 1937, or under the Housing Act of 1949, in the construction or development of the project). Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

(ii) (A) The Contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the City for transmission to the Federal Transit Administration. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under section 5.5(a)(3)(i) of Regulations, 29 CFR part 5. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal Stock Number 029-005-00014-1), U.S. Government Printing Office, Washington, DC 20402. The Contractor is responsible for the submission of copies of payrolls by all subcontractors.

(B) Each payroll submitted shall be accompanied by a "Statement of



Compliance," signed by the Contractor or Subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

- (1) That the payroll for the payroll period contains the information required to be maintained under section 5.5(a)(3)(i) of Regulations, 29 CFR part 5 and that such information is correct and complete;
  - (2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the Contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;
  - (3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (C) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph (a-1)(3)(ii)(B) of this section.
- (D) The falsification of any of the above certifications may subject the Contractor or Subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.
- (iii) The Contractor or Subcontractor shall make the records required under paragraph (a-1)(3)(i) of this section available for inspection, copying, or transcription by authorized representatives of the Federal Transit Administration or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the Contractor or Subcontractor fails to submit the required records or to make them available, the Federal agency may, after written notice to the Contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.
- (4) Apprentices and trainees
- (i) Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and

individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the Contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the Contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator of the Wage and Hour Division of the U.S. Department of Labor determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training, or a State Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- (ii) Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the

journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

EEO - The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.

- (5) The Contractor shall comply with the requirements of 29 CFR Part 3 “Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States”, 18 U.S.C. 874, as amended, and 40 U.S.C. 3145 which are incorporated by reference in this contract. The Contractor is prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he or she is otherwise entitled. All suspected or reported violations will be reported by the City to the Federal Transit Administration.
- (6) The Contractor or Subcontractor shall insert in any subcontracts the clauses contained in 29 CFR 5.5(a)(1) through (10) and such other clauses as the Federal Transit Administration may by appropriate instructions require, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The Contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.
- (7) A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.
- (8) All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this Contract.
- (9) Disputes arising out of the labor standards provisions of this contract shall not be

subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

(10) Certification of eligibility

(i) By entering into this contract, the Contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(ii) No part of this Contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

(11) Overtime Requirements

The Contractor and its Subcontractors are required to compute the wages of every mechanic and laborer based on a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. The requirements of 40 U.S.C. § 3704 are applicable to construction work and provide that no laborer or mechanic must be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.

(12) Violation; liability for unpaid wages; liquidated damages.

In the event of any violation of the clause set forth above the Contractor and any Subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such Contractor and Subcontractor shall be liable to the United States for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth above, in the sum of \$27 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth above.

(13) Withholding for unpaid wages and liquidated damages.

The City shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Contractor or Subcontractor under any such contract or any other Federal contract with the same Contractor, or any other federally-assisted contract subject to the Contract Work

Hours and Safety Standards Act, which is held by the same Contractor, such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or Subcontractor for unpaid wages and liquidated damages set forth above.

- (14) The Contractor or Subcontractor shall insert in any subcontracts the clauses set forth in paragraphs (a-1)(11) through (14) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The Contractor shall be responsible for compliance by any Subcontractor or lower tier Subcontractor with the clauses set forth in paragraphs (a-1)(11) through (14) of this section.
- (b) *Contracts Not Involving Construction.* The Contractor agrees to comply and assures that each Subcontractor will comply with all federal laws, regulations, and requirements providing wage and hour protections for nonconstruction employees, including Section 102 of the Contract Work Hours and Safety Standards Act, as amended, 40 U.S.C. § 3702, and other relevant parts of that Act, 40 U.S.C. § 3701 et seq., and U.S. DOL regulations, “Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction (also Labor Standards Provisions Applicable to Nonconstruction Contracts Subject to the Contract Work Hours and Safety Standards Act),” 29 C.F.R. part 5.
- (c) *Contracts Involving Commerce.* The Contractor agrees to comply and assures that each Subcontractor will comply with the Fair Labor Standards Act (FLSA), 29 U.S.C. § 201 et seq. to the extent that the FLSA applies to employees performing work with federal assistance provided through the Contract involving commerce, and as the Federal Government otherwise determines applicable.
- (d) *Public Transportation Employee Protective Arrangements.* The Contractor agrees to comply and assures that each Subcontractor will comply with the following employee protective arrangements of 49 U.S.C. § 5333(b):
- (1) *U.S. DOL Certification.* When the Contract involves public transportation operations and is supported with federal assistance appropriated or made available for 49 U.S.C. §§ 5307 – 5312, 5316, 5318, 5323(a)(1), 5323(b), 5323(d), 5328, 5337, 5338(b), or 5339, or former 49 U.S.C. §§ 5308, 5309, 5312, or other provisions of law as required by the Federal Government, the Contractor agrees that it will comply with the terms and conditions of any certification of employee protective arrangements issued by U.S. DOL.
  - (2) *Special Warranty.* When the Contract involves public transportation operations and is supported with federal assistance appropriated or made available for 49 U.S.C. § 5311, the Contractor agrees that it will comply with the terms and conditions of any U.S. DOL Special Warranty provided in relation to the Contract.

## **Section 25. Extra Work.**

Notwithstanding anything herein to the contrary, the Contractor understands and agrees that profit

shall be negotiated as a separate item of cost. The Contractor further understands and agrees that any profit percentages specified in the sections listed below are not to exceed values which may only be negotiated downward:

- (i) For Construction Contracts: Paragraphs 26.2.11, 26.2.12, 26.2.13, and 26.3 of Part H, General Conditions.
- (ii) For Furnish and Install Contracts: Paragraphs 59.2.11, 59.2.12, 59.2.13, and 59.3 of Part H, General Conditions.
- (iii) For Supply and Service Contracts: the percentages specified in Paragraphs 59.2.11, 59.2.12, 59.2.13, and 59.3 of Part H, General Conditions.

These profit limits are not predetermined, guaranteed profit percentages on extra work.

## **Section 26. Environmental Protections.**

- (a) *General.* The Contractor agrees to, and assures that its Subcontractors will, comply with all applicable environmental and resource use laws, regulations, and requirements, and follow applicable guidance, now in effect or that may become effective in the future, including state and local laws, ordinances, regulations, and requirements and follow applicable guidance.
- (b) [Intentionally Omitted]
- (c) [Intentionally Omitted]
- (d) *Other Environmental Federal Laws.* The Contractor agrees to comply or facilitate compliance, and assures that its Subcontractors will comply or facilitate compliance, with all applicable federal laws, regulations, and requirements, and will follow applicable guidance, including, but not limited to, the Clean Air Act, Clean Water Act, Wild and Scenic Rivers Act of 1968, Coastal Zone Management Act of 1972, the Endangered Species Act of 1973, Magnuson Stevens Fishery Conservation and Management Act, Resource Conservation and Recovery Act, Comprehensive Environmental Response, Compensation, and Liability Act, Executive Order No. 11990 relating to “Protection of Wetlands,” and Executive Order No. 11988, as amended, “Floodplain Management.”

Contractor must report violations to the City who will, in turn, report violations as required to FTA and the Regional Office of the Environmental Protection Agency (EPA).

This provision does not apply to subcontracts under \$150,000 financed in whole or in part with Federal assistance provided by FTA.

- (e) [Intentionally Omitted]

- (f) *Use of Certain Public Lands.* The Contractor agrees to comply, and assures that its Subcontractors will comply, with U.S. DOT laws, specifically 49 U.S.C. § 303 (often referred to as “section 4(f)”), and joint FHWA and FTA regulations, “Parks, Recreation Areas, Wildlife and Waterfowl Refuges, and Historic Sites,” 23 C.F.R. part 774, and referenced in 49 C.F.R. part 622.

- (g) *Historic Preservation.* The Contractor agrees to, and assures that its Subcontractors will:
- (1) Comply with U.S. DOT laws, including 49 U.S.C. § 303 (often referred to as “section 4(f)”), which requires certain findings be made before an FTA-funded project may be undertaken if it involves the use of any land from a historic site that is on or eligible for inclusion on the National Register of Historic Places.
  - (2) Encourage compliance with the federal historic and archaeological preservation requirements of section 106 of the National Historic Preservation Act, as amended, 54 U.S.C. § 306108.
  - (3) Comply with the Archeological and Historic Preservation Act of 1974, as amended, 54 U.S.C. § 312501 et seq.
  - (4) Comply with U.S. Advisory Council on Historic Preservation regulations, “Protection of Historic Properties,” 36 C.F.R. part 800.
  - (5) Comply with federal requirements and follow federal guidance to avoid or mitigate adverse effects on historic properties.
- (h) *Indian Sacred Sites.* The Contractor agrees to, and assures that its Subcontractors will, facilitate compliance with federal efforts to promote the preservation of places and objects of religious importance to American Indians, Eskimos, Aleuts, and Native Hawaiians, and facilitate compliance with the American Indian Religious Freedom Act, 42 U.S.C. § 1996, and Executive Order No. 13007, “Indian Sacred Sites,” May 24, 1996, 42 U.S.C. § 3161 note (61 Fed. Reg. 26771).
- (i) [Intentionally Omitted]
- (j) *Energy Conservation.* The Contractor agrees to comply with the mandatory energy standards and policies of New York State’s energy conservation plans under the Energy Policy and Conservation Act, as amended, 42 U.S.C. § 6321 et seq., and perform an energy assessment for any building constructed, reconstructed, or modified with federal assistance required under FTA regulations, “Requirements for Energy Assessments,” 49 C.F.R. part 622, subpart C.

## **Section 27. Solid Wastes/Recycled Products.**

The Contractor and its Subcontractors must comply with section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at 40 C.F.R. part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired during the preceding fiscal year exceeded \$10,000; procuring solid waste management services in a manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines.

## **Section 28. Charter Service.**

- (a) *Prohibitions.* The Contractor agrees that neither it nor any Subcontractor involved in the Contract will engage in charter service, except as permitted under federal transit laws, specifically 49 U.S.C. § 5323(d), (g), and (r), FTA regulations, “Charter Service,” 49 C.F.R. part 604, any other federal Charter Service regulations, federal requirements, or federal guidance.
- (b) *Exceptions.* Apart from exceptions to the Charter Service restrictions in FTA’s Charter Service regulations, FTA has established the following additional exceptions to those restrictions:
  - (1) FTA’s Charter Service restrictions do not apply to equipment or facilities supported with federal assistance appropriated or made available for 49 U.S.C. § 5307 to support a Job Access and Reverse Commute (JARC)- type Project or related activities that would have been eligible for assistance under repealed 49 U.S.C. § 5316 in effect in Fiscal Year 2012 or a previous fiscal year, provided that the federal assistance was used for FTA program purposes only; and
  - (2) FTA’s Charter Service restrictions do not apply to equipment or facilities supported with the federal assistance appropriated or made available for 49 U.S.C. § 5310 to support a New Freedom-type Project or related activities that would have been eligible for federal assistance under repealed 49 U.S.C. § 5317 in effect in Fiscal Year 2012 or a previous fiscal year, provided the federal assistance was used for FTA program purposes only.

## **Section 29. School Bus Operations.**

- (a) *Prohibitions.* The Contractor agrees that neither it nor any Subcontractor will engage in school bus operations exclusively for the transportation of students or school personnel in competition with private school bus operators, except as permitted by federal transit laws, 49 U.S.C. § 5323(f) or (g), FTA regulations, “School Bus Operations,” 49 C.F.R. part 605, and any other applicable federal “School Bus Operations” laws, regulations, requirements, or applicable federal guidance.

## **Section 30. Geographic Information and Related Spatial Data.**

- (a) *General.* The Contractor agrees that Contract work will conform to the Federal Geographic Data Committee’s National Spatial Data Infrastructure if it directly or indirectly involves spatial data, or geographic information systems, and it will follow U.S. OMB Circular A-16, “Coordination of Geographic Information and Related Spatial Data Activities,” August 19, 2002, and U.S. OMB Circular A-16 Supplemental Guidance, “Geospatial Line of Business,” November 10, 2010.



## Section 31. Intentionally Omitted.

## Section 32. Rolling Stock.

- (a) *Bus Testing.* The Contractor agrees to comply with the requirements of 49 U.S.C. § 5318(e) and its implementing regulation at 49 CFR Part 665 and shall perform the following:
- (1) A manufacturer of a new bus model or a bus produced with a major change in components or configuration shall provide a copy of the final test report to the City at a point in the procurement process specified by the City which will be prior to the City's final acceptance of the first vehicle.
  - (2) A manufacturer who releases a report under paragraph 1 above shall provide notice to the operator of the testing facility that the report is available to the public.
  - (3) If the manufacturer represents that the vehicle was previously tested, the vehicle being sold should have the identical configuration and major components as the vehicle in the test report, which must be provided to the City prior to the City's final acceptance of the first vehicle. If the configuration or components are not identical, the manufacturer shall provide a description of the change and the manufacturer's basis for concluding that it is not a major change requiring additional testing.
  - (4) If the manufacturer represents that the vehicle is "grandfathered" (has been used in mass transit service in the United States before October 1, 1988, and is currently being produced without a major change in configuration or components), the manufacturer shall provide the name and address to the City of such a vehicle and the details of that vehicle's configuration and major components.
- (b) *Pre-Award and Post Delivery Audits Requirements.* The Contractor agrees to comply with the requirements of 49 U.S.C. § 5323(m) and its implementing regulation at 49 CFR Part 663 which includes, but is not necessarily limited to, providing all information and certifications requested as part of the pre-award and post-delivery audits in order to verify compliance with applicable Buy America requirements.
- (c) *Americans with Disabilities Act (ADA) for Rolling Stock.* Rolling stock must comply with the accessibility requirements of:
- (i) USDOT regulations, "Transportation Services for Individuals with Disabilities (ADA)," 49 CFR Part 37;
  - (ii) Joint Architectural Transportation Barriers Compliance Board (ATBCB) and USDOT regulations, "Americans with Disabilities (ADA) Accessibility Specifications for Transportation Vehicles," 36 CFR Part 1192 and 49 CFR Part 38; and
  - (iii) U.S. DOT regulations "Transportation for Individuals with Disabilities: Passenger Vessels" 49 C.F.R. part 39.

Private entities must comply with the requirements of 49 CFR Part 37, and 49 C.F.R. part

39 applicable to public entities with which they contract to provide public transportation services. The City advises third party contractors operating public transportation services to review the requirements for public entities in this context.

- (d) *Limitation on Certain Rolling Stock Procurement.* In accordance with 49 U.S.C. §5323(u), the Contractor, and its subcontractors, shall not provide rolling stock for use in public transportation pursuant to this Contract if the manufacturer of the rolling stock –
- (A) Is incorporated in or has manufacturing facilities in the United States; and
  - (B) Is owned or controlled by, is a subsidiary of, or is otherwise related legally or financially to a corporation based in a county that
    - (i) Is identified as a nonmarket economy country (as defined in section 771(18) of the Tariff Act of 1930 (19 U.S.C. 1677 (18)) as of December 20, 2019.
    - (ii) Was identified by the United States Trade Representative in the most recent report required by Section 182 of the Trade Act of 1974 (19 U.S.C. 2242) as a foreign country included on the priority watch list defined in subsection (g)(3) of that section; and
    - (iii) Is subject to monitoring by the Trade Representative under Section 306 of the Trade Act of 1974 (19 U.S.C. 2416)

For purposes of paragraph 32(d), the term “otherwise related legally or financially” does not include a minority relationship or investment. This subsection shall be applied in a manner consistent with the obligations of the United States under international agreements.

### **Section 33. Motor Carrier Safety.**

- (a) *Financial Responsibility.* The Contractor agrees to comply and assures that its Subcontractors will comply with the economic and insurance registration requirements of the:
- (1) U.S. Federal Motor Carrier Safety Administration (U.S. FMCSA) regulations, “Minimum Levels of Financial Responsibility for Motor Carriers,” 49 C.F.R. part 387, if it is engaged in operations requiring compliance with 49 C.F.R. part 387, it is engaged in interstate commerce, and it is not within a defined commercial zone; and
  - (3) The provisions of 49 U.S.C. § 31138(e)(4), which supersede inconsistent provisions of 49 C.F.R. part 387, and reduce the amount of insurance that must be obtained to the highest amount required by any state in which the public transportation provider operates, if it operates within a public transportation service area located in more than one state, and receives federal assistance under 49 U.S.C. §§ 5307, 5310, and 5311.
- (b) *U.S. FMCSA Requirements.* The Contractor agrees to comply and assures that its Subcontractors will comply with:
- (1) The safety requirements of U.S. FMCSA regulations, “Federal Motor

Carrier Safety Regulations,” 49 C.F.R. parts 390 – 397, to the extent applicable; and

(2) The driver’s license requirements of U.S. FMCSA regulations, “Commercial Driver’s License Standards, Requirements, and Penalties,” 49 C.F.R. part 383, and “State Compliance with Commercial Driver's License,” 49 C.F.R. part 384, to the extent applicable, with the substance abuse requirements and guidance of U.S. FMCSA’s regulations, “Controlled Substances and Alcohol Use and Testing,” 49 C.F.R. part 382, and implementing federal guidance, to the extent applicable.

### **Section 34. Safe Operation of Motor Vehicles.**

(a) *Seat Belt Use.* The Contractor agrees to:

- (1) Comply with all state and local regulations regarding seat belt use while performing Contract work; and
- (2) Including a “Seat Belt Use” provision in each Subcontract.

(b) *Distracted Driving, Including Text Messaging While Driving.* The Contractor agrees to comply with:

- (1) State and local regulations regarding distracted driving including texting while driving
- (4) The following U.S. DOT Special Provision pertaining to Distracted Driving:
  - (i) *Safety.* Adopting and enforcing workplace safety policies to decrease crashes caused by distracted drivers, including policies to ban text messaging while using an electronic device supplied by an employer, and driving a vehicle the driver owns or rents, a vehicle Contractor owns, leases, or rents, or a privately-owned vehicle when on official business in connection with the Contract, or when performing any work for or on behalf of the Contract;
  - (ii) *Contractor Size.* The Contractor agrees to conduct workplace safety initiatives in a manner commensurate with its size, such as establishing new rules and programs to prohibit text messaging while driving, re-evaluating the existing programs to prohibit text messaging while driving, and providing education, awareness, and other outreach to employees about the safety risks associated with texting while driving; and
  - (iii) *Extension of Provision.* The Contractor agrees to include this Special Provision in each Subcontract at each tier supported with federal assistance.

### **Section 35. Substance Abuse.**

- (a) [Intentionally Omitted]
- (b) *Alcohol Misuse and Prohibited Drug Use.*
  - (1) *Requirements.* The Contractor agrees to comply and assures that its Subcontractors will comply with the following, for any transit operations funded by FTA pursuant to this Contract:
    - (i) Federal transit laws, specifically 49 U.S.C. § 5331;
    - (ii) FTA regulations, “Prevention of Alcohol Misuse and Prohibited Drug Use in Transit Operations,” 49 C.F.R. part 655; and
    - (iii) Applicable provisions of U.S. DOT regulations, “Procedures for Transportation Workplace Drug and Alcohol Testing Programs,” 49 C.F.R. part 40.
  - (2) *Drug and Alcohol Testing Program.* If Contractor is performing safety-sensitive functions on the City's behalf, the Contractor agrees to establish and implement a drug and alcohol testing program that complies with 49 CFR Part 655, 49 U.S.C. 5331, and 49 C.F.R. part 40, produce any documentation necessary to establish its compliance, and permit any authorized representative of the United States Department of Transportation or its operating administrations, New York State or the City, to inspect the facilities and records associated with the implementation of the drug and alcohol testing program as required under 49 CFR Part 655, 49 U.S.C. 5331, and 49 C.F.R. part 40 and review the testing process.

**Section 36. Protection of Sensitive Security and Other Sensitive Information.**

The Contractor agrees to comply with the following requirements for the protection of sensitive security information:

- (a) The Homeland Security Act, as amended, specifically 49 U.S.C. § 40119(b), and U.S. DOT regulations, “Protection of Sensitive Security Information,” 49 C.F.R. part 15;
- (b) The Aviation and Transportation Security Act, as amended, 49 U.S.C. § 114(r), and U.S. Department of Homeland Security, Transportation Security Administration regulations, “Protection of Sensitive Security Information,” 49 C.F.R. part 1520;
- (c) Implementing reasonable measures to safeguard protected personally identifiable information as well as any information that the FTA or the City designates as sensitive; and
- (d) National Archives and Records Administration regulations, “Controlled Unclassified Information,” 32 C.F.R. part 2002.

### **Section 37. Intentionally Omitted.**

### **Section 38. Freedom of Information.**

- (a) *Applicability.* The Contractor agrees that the Freedom of Information Act (FOIA), 5 U.S.C. § 552, as amended, applies to most information submitted to FTA and U.S. DOT, whether electronically or in typewritten hard copy.
- (b) *Records.* The Contractor agrees that all applications and materials submitted to FTA that are related to its Contract have or will become federal agency records, and are or will be subject to FOIA and to public release through individual FOIA requests, unless FTA determines that a valid exemption under FOIA or another statute applies.
- (c) *Confidentiality.* President Obama’s “Memorandum for the Heads of Executive Departments and Agencies on the Freedom of Information Act,” dated January 21, 2009, directs federal agencies to adopt a presumption that information should generally be disclosed when requested, and therefore the Recipient agrees that:
  - (1) Unless a federal law or regulation requires that a document or other information be withheld, FTA does not consent to withhold information, irrespective of its format, merely because it is accompanied by a “routine” confidentiality statement that may appear on:
    - (i) Information about the Contract, and any Amendments thereto;
    - (ii) Information accompanying or supplementing the Contract, and any Amendments thereto; or
    - (iii) Any other information FTA may obtain.
  - (2) As provided in federal laws, regulations, requirements, and guidance, FTA will review the information and documents that are the subject of each FOIA request to determine the extent to which FTA must or should exercise its discretion to withhold that information or those documents.
  - (3) Any genuinely confidential, privileged, or sensitive security information will be marked clearly and specifically as confidential or privileged, and justified as confidential or privileged under FOIA standards. The Contractor will mark all sensitive security information (SSI), as defined by 49 C.F.R. § 15.5, as set forth in 49 C.F.R. § 1520.13. The Contractor will not mark non-SSI material as SSI. Also refer to Section 36 of these Third Party Requirements, regarding the protection of SSI and other sensitive information.

### **Section 39. Disputes, Breaches, Defaults, and Termination.**

- (a) *FTA Interest.* FTA has a vested interest in the settlement of any violation of federal law, regulation, or requirement, or any disagreement involving FTA-funded projects including, but not limited to, a default, breach, major dispute, or litigation, and FTA reserves the right

to concur in any settlement or compromise.

- (b) *Notification.* If a current or prospective legal matter that may affect the Federal Government emerges, the Contractor must promptly notify the City in accordance with the provisions of Section 3(j) of these Third Party Requirements.
- (c) *Termination and Remedies for Breach.* Refer to Section 11 of these Third Party Requirements.
- (d) *Enforcement.* The City will pursue its legal rights and remedies available under this Contract or any federal, state, or local law or regulation.

#### **Section 40. Intelligent Transportation System.**

- (a) *National Intelligent Transportation Systems Architecture and Standards.* The Contractor agrees to conform intelligent transportation system property and services to the National Intelligent Transportation Systems (ITS) Architecture requirements of 23 U.S.C. § 517(d), unless an exemption from those requirements has been obtained, and to follow FTA Notice, “FTA National ITS Architecture Policy on Transit Projects,” 66 Fed. Reg. 1455, January 8, 2001, and all other applicable federal guidance.

#### **Section 41. Telecommunications and Video Surveillance Services or Equipment.**

- (a) The Contractor is prohibited from obligating or expending loan or grant funds to:
  - (1) Procure or obtain;
  - (2) Extend or renew a contract to procure or obtain; or
  - (3) Enter into a contract (or extend or renew a contract) to procure or obtain equipment, services, or systems that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system. As described in Public Law 115–232, section 889, covered telecommunications equipment is telecommunications equipment produced by Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities).
    - (i) For the purpose of public safety, security of government facilities, physical security surveillance of critical infrastructure, and other national security purposes, video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities).
    - (ii) Telecommunications or video surveillance services provided by such entities or using such equipment.
    - (iii) Telecommunications or video surveillance equipment or services produced or provided by an entity that the Secretary of Defense, in consultation with the Director of the National Intelligence or the Director of the Federal Bureau of Investigation, reasonably believes to be an entity owned or controlled by, or otherwise connected to, the government of a covered

foreign country.

- (b) In implementing the prohibition under Public Law 115–232, section 889, subsection (f), paragraph (1), heads of executive agencies administering loan, grant, or subsidy programs shall prioritize available funding and technical support to assist affected businesses, institutions and organizations as is reasonably necessary for those affected entities to transition from covered communications equipment and services, to procure replacement equipment and services, and to ensure that communications service to users and customers is sustained.
- (c) The Contractor’s attention is directed to Public Law 115–232, section 889 for additional information.
- (d) The Contractor’s attention is directed to § 200.471.

**Section 42. Information Obtained through Internet Links.**

These Third Party Requirements may include electronic links to federal laws, regulations, requirements, and guidance, the City does not guarantee the accuracy of the information that may be accessed through such links. Accordingly, the Contractor understands and agrees that any information obtained through any electronic link within these Third Party Requirements does not represent an official version of a federal law, regulation, or requirement, and might be inaccurate. Therefore, any information that is obtained through such links is neither incorporated by reference nor made part of these Third Party Requirements. The Federal Register and the Code of Federal Regulations are the official sources for regulatory information pertaining to the Federal Government.

**Section 43. Severability.**

The Contractor agrees that if any provision of the Contract or any amendment thereto is determined to be invalid, then the remaining provisions thereof that conform to federal laws, regulations, requirements, and guidance will continue in effect.

**Special Provision for Promoting COVID-19 Safety**

*Compliance with CDC Mask Order.* The Centers for Disease Control and Prevention (“CDC”) Order of January 29, 2021, titled Requirement for Persons to Wear Masks While on Conveyances and at Transportation Hubs (“CDC Mask Order”), is within the meaning of “Federal Requirement” as that term is defined in the Master Agreement between the NYCDOT and FTA. One of the objectives of the CDC Mask Order is “[m]aintaining a safe and operating transportation system.” The Contractor and its subcontractors agree to comply with the CDC Mask Order. A copy of the CDC Mask Order is located [here](#).

**Special Provisions For Contracts Involving Experimental, Developmental, or Research Work.**

- (1) If this Contract involves the performance of experimental, developmental, or research work by the Contractor or its subcontractors, and the entity performing such work is a Nonprofit

Organization or Small Business Firm as defined below, the following provisions apply unless the Contract specifically states that this provision is superseded:

a. *Definitions.* The following definitions apply to these Special Provisions.

- i. “Invention” means any invention or discovery which is or may be patentable or otherwise protectable under Title 35 of the United States Code, or any novel variety of plant which is or may be protected under the Plant Variety Protection Act (7 U.S.C. § 2321 *et seq.*).
- ii. “Subject invention” means any invention of the Contractor conceived or first actually reduced to practice in the performance of work under this Contract, provided that in the case of a variety of plant, the date of determination (as defined in section 41(d) of the Plant Variety Protection Act, 7 U.S.C. 2401(d)) must also occur during the period of Contract performance.
- iii. “Practical Application” means to manufacture in the case of a composition or product, to practice in the case of a process or method, or to operate in the case of a machine or system; and, in each case, under such conditions as to establish that the invention is being utilized and that its benefits are, to the extent permitted by law or government regulations, available to the public on reasonable terms.
- iv. “Made” when used in relation to any invention means the conception or first actual reduction to practice of such invention.
- v. “Small Business Firm” means a small business concern as defined at section 2 of Pub. L. 85-536 (15 U.S.C. 632) and implementing regulations of the Administrator of the Small Business Administration. For the purpose of this clause, the size standards for small business concerns involved in government procurement and subcontracting at 13 CFR 121.3-8 and 13 CFR 121.3-12, respectively, will be used.
- vi. “Nonprofit Organization” means a university or other institution of higher education or an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1954 (26 U.S.C. 501(c) and exempt from taxation under section 501(a) of the Internal Revenue Code (25 U.S.C. 501(a)) or any nonprofit scientific or educational organization qualified under a state nonprofit organization statute.
- vii. “Statutory period” means the one-year period before the effective filing date of a claimed invention during which exceptions to prior art exist per 35 U.S.C. 102(b), as amended by the Leahy-Smith America Invents Act, Public Law 112-29.
- viii. The “contractor” means any person, small business firm or nonprofit organization, or as set forth in section 1, paragraph (b)(4) of Executive Order 12591, as amended, any business firm regardless of size, which is a party to a funding agreement.

b. *Allocation of Principal Rights.* The Contractor may retain the entire right, title, and interest throughout the world to each subject invention subject to the provisions of this clause and



35 U.S.C. 203. With respect to any subject invention in which the Contractor retains title, the Federal government shall have a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world.

c. *Invention Disclosure, Election of Title and Filing of Patent Application by Contractor.*

- i. The Contractor will disclose each subject invention to the City and the Federal Agency within two months after the inventor discloses it in writing to Contractor personnel responsible for patent matters. Such disclosure shall be in the form of a written report and shall identify the contract under which the invention was made and the inventor(s). It shall be sufficiently complete in technical detail to convey a clear understanding to the extent known at the time of the disclosure, of the nature, purpose, operation, and the physical, chemical, biological or electrical characteristics of the invention. The disclosure shall also identify any publication, on sale or public use of the invention and whether a manuscript describing the invention has been submitted for publication and, if so, whether it has been accepted for publication at the time of disclosure. In addition, after such disclosure, the Contractor will promptly notify the City and the Federal Agency of the acceptance of any manuscript describing the invention for publication or of any on sale or public use planned by the Contractor.
- ii. The Contractor will elect in writing whether or not to retain title to any such invention by notifying the City and the Federal Agency within two years of disclosure to the City and the Federal Agency. However, in any case where a patent, a printed publication, public use, sale, or other availability to the public has initiated the one year statutory period wherein valid patent protection can still be obtained in the United States, the period for election of title may be shortened by the Federal Agency to a date that is no more than 60 days prior to the end of the statutory period.
- iii. The Contractor will file its initial patent application on a subject invention to which it elects to retain title within one year after election of title or, if earlier, prior to the end of any statutory period wherein valid patent protection can be obtained in the United States after a publication, on sale, or public use. If the Contractor files a provisional application as its initial patent application, it shall file a non-provisional application within 10 months of the filing of the provisional application. The Contractor will file patent applications in additional countries or international patent offices within earlier ten months of the first filed patent application or six months from the date permission is granted by the Commissioner of Patents to file foreign patent applications where such filing has been prohibited by a Secrecy Order.
- iv. For any subject invention with Federal agency and contractor co-inventors, where the Federal agency employing such co-inventor determines that it would be in the interest of the government, pursuant to 35 U.S.C. 207(a)(3), to file an initial patent application on the subject invention, the Federal agency employing such co-inventor, at its discretion and in consultation with the contractor, may file such

application at its own expense, provided that the contractor retains the ability to elect title pursuant to 35 U.S.C. 202(a).

- v. Requests for extension of the time for disclosure, election, and filing under paragraphs (i), (ii), and (iii) of this clause may, at the discretion of the Federal agency, be granted. When a contractor has requested an extension for filing a non-provisional application after filing a provisional application, a one-year extension will be granted unless the Federal agency notifies the contractor within 60 days of receiving the request.

*d. Conditions When the Government May Obtain Title*

The Contractor will convey to the Federal Agency, upon written request, title to any subject invention --

- i. If the Contractor fails to disclose or elect title to the subject invention within the times specified in (c) of this clause, or elects not to retain title.
- ii. In those countries in which the Contractor fails to file patent applications within the times specified in paragraph (c) of this clause; provided, however, that if the Contractor has filed a patent application in a country after the times specified in (c) of this clause, but prior to its receipt of the written request of the Federal Agency, the Contractor shall continue to retain title in that country.
- iii. In any country in which the Contractor decides not to continue the prosecution of any non-provisional patent application for, to pay a maintenance annuity or renewal fee on, or to defend in a reexamination or opposition proceeding on, a patent on a subject invention.

*e. Minimum Rights to Contractor and Protection of the Contractor Right to File*

- i. The Contractor will retain a nonexclusive royalty-free license throughout the world in each subject invention to which the Government obtains title, except if the Contractor fails to disclose the invention within the times specified in (c), above. The Contractor's license extends to its domestic subsidiary and affiliates, if any, within the corporate structure of which the Contractor is a party and includes the right to grant sublicenses of the same scope to the extent the Contractor was legally obligated to do so at the time the Contract was awarded. The license is transferable only with the approval of the Federal Agency except when transferred to the successor of that party of the Contractor's business to which the invention pertains.
- ii. The Contractor's domestic license may be revoked or modified by the funding Federal Agency to the extent necessary to achieve expeditious practical application of the subject invention pursuant to an application for an exclusive license submitted in accordance with applicable provisions at 37 CFR Part 404 and agency licensing regulations (if any). This license will not be revoked in that field of use or the geographical areas in which the Contractor has achieved practical application and continues to make the benefits of the invention reasonably accessible to the public. The license in any foreign country may be revoked or modified at the

discretion of the funding Federal Agency to the extent the Contractor, its licensees, or the domestic subsidiaries or affiliates have failed to achieve practical application in that foreign country.

- iii. Before revocation or modification of the license, the funding Federal Agency will furnish the Contractor a written notice of its intention to revoke or modify the license, and the Contractor will be allowed thirty days (or such other time as may be authorized by the funding Federal Agency for good cause shown by the Contractor) after the notice to show cause why the license should not be revoked or modified. The Contractor has the right to appeal, in accordance with applicable regulations in 37 CFR Part 404 and Federal Agency regulations (if any) concerning the licensing of Government-owned inventions, any decision concerning the revocation or modification of the license.

f. Contractor Action to Protect the Government's Interest

- i. The Contractor agrees to execute or to have executed and promptly deliver to the Federal Agency all instruments necessary to (i) establish or confirm the rights the Government has throughout the world in those subject inventions to which the Contractor elects to retain title, and (ii) convey title to the Federal Agency when requested under paragraph (d) above and to enable the Government to obtain patent protection throughout the world in that subject invention.
- ii. The Contractor agrees to require, by written agreement, its employees, other than clerical and nontechnical employees, to disclose promptly in writing to personnel identified as responsible for the administration of patent matters and in a format suggested by the Contractor each subject invention made under contract in order that the Contractor can comply with the disclosure provisions of paragraph (c), of this clause, to assign to the Contractor the entire right, title and interest in and to each subject invention made under Contract, and to execute all papers necessary to file patent applications on subject inventions and to establish the Government's rights in the subject inventions. This disclosure format should require, as a minimum, the information required by paragraph (c)(1) of this clause. The Contractor shall instruct such employees through employee agreements or other suitable educational programs on the importance of reporting inventions in sufficient time to permit the filing of patent applications prior to U.S. or foreign statutory bars.
- iii. For each subject invention, the contractor will, no less than 60 days prior to the expiration of the statutory deadline, notify the Federal agency of any decision: Not to continue the prosecution of a non-provisional patent application; not to pay a maintenance, annuity or renewal fee; not to defend in a reexamination or opposition proceeding on a patent, in any country; to request, be a party to, or take action in a trial proceeding before the Patent Trial and Appeals Board of the U.S. Patent and Trademark Office, including but not limited to post-grant review, review of a business method patent, inter partes review, and derivation proceeding; or to request, be a party to, or take action in a non-trial submission of art or information

at the U.S. Patent and Trademark Office, including but not limited to a pre-issuance submission, a post-issuance submission, and supplemental examination..

- iv. The Contractor agrees to include, within the specification of any United States patent applications and any patent issuing thereon covering a subject invention, the following statement, “This invention was made with government support under (identify the contract) awarded by (identify the Federal Agency). The government has certain rights in the invention.”

g. Subcontracts

- i. The Contractor will include this clause, suitably modified to identify the parties, in all subcontracts, regardless of tier, for experimental, developmental or research work to be performed by a subcontractor. The subcontractor will retain all rights provided for the Contractor in this clause, and the Contractor will not, as part of the consideration for awarding the subcontract, obtain rights in the subcontractor’s subject inventions.
  - ii. The Contractor will include in all other subcontracts, regardless of tier, for experimental developmental or research work the patent rights clause required by 2 CFR § 200.315(c) and Appendix II to 2 CFR Part 200.
  - iii. In the case of subcontracts, at any tier, when the prime award with the Federal Agency was a contract (but not a grant or cooperative agreement), the Agency, subcontractor, and the Contractor agree that the mutual obligations of the parties created by this clause constitute a contract between the subcontractor and the Federal Agency with respect to the matters covered by the clause; provided, however, that nothing in this paragraph is intended to confer any jurisdiction under the Contract Disputes Act in connection with proceedings under paragraph (j) of this clause.
- h. *Reporting on Utilization of Subject Inventions.* The Contractor agrees to submit on request periodic reports no more frequently than annually on the utilization of a subject invention or on efforts at obtaining such utilization that are being made by the Contractor or its licensees or assignees. Such reports shall include information regarding the status of development, date of first commercial sale or use, gross royalties received by the Contractor, and such other data and information as the Federal Agency may reasonably specify. The Contractor also agrees to provide additional reports as may be requested by the Federal Agency in connection with any march-in proceeding undertaken by the Federal Agency in accordance with paragraph (j) of this clause. As required by 35 U.S.C. § 202(c)(5), the Federal Agency agrees it will not disclose such information to persons outside the Government without permission of the Contractor.
- i. *Preference for United States Industry.* Notwithstanding any other provision of this clause, the Contractor agrees that neither it nor any assignee will grant to any person the exclusive right to use or sell any subject inventions in the United States unless such person agrees that any products embodying the subject invention or produced through the use of the subject invention will be manufactured substantially in the United States. However, in

individual cases, the requirement for such an agreement may be waived by the Federal Agency upon a showing by the Contractor or its assignee that reasonable but unsuccessful efforts have been made to grant licenses on similar terms to potential licensees that would be likely to manufacture substantially in the United States or that under the circumstances domestic manufacture is not commercially feasible.

- j. *March-in Rights.* The Contractor agrees that with respect to any subject invention in which it has acquired title, the Federal Agency has the right in accordance with the procedures in 37 CFR § 401.6 and any supplemental regulations of the Federal Agency to require the Contractor, an assignee or exclusive licensee of a subject invention to grant a nonexclusive, partially exclusive, or exclusive license in any field of use to a responsible applicant or applicants, upon terms that are reasonable under the circumstances, and if the Contractor, assignee, or exclusive licensee refuses such a request the Federal Agency has the right to grant such a license itself if the Federal Agency determines that:
  - i. Such action is necessary because the Contractor or assignee has not taken, or is not expected to take within a reasonable time, effective steps to achieve practical application of the subject invention in such field of use.
  - ii. Such action is necessary to alleviate health or safety needs which are not reasonably satisfied by the Contractor, assignee or their licensees;
  - iii. Such action is necessary to meet requirements for public use specified by Federal regulations and such requirements are not reasonably satisfied by the Contractor, assignee or licensees; or
  - iv. Such action is necessary because the agreement required by paragraph (i) of this clause has not been obtained or waived or because a licensee of the exclusive right to use or sell any subject invention in the United States is in breach of such agreement.
  
- k. *Special Provisions for Contracts with Nonprofit Organizations.* If the Contractor is a nonprofit organization, it agrees that:
  - i. Rights to a subject invention in the United States may not be assigned without the approval of the Federal Agency, except where such assignment is made to an organization which has as one of its primary functions the management of inventions, provided that such assignee will be subject to the same provisions as the Contractor;
  - ii. The Contractor will share royalties collected on a subject invention with the inventor, including Federal employee co-inventors (when the Federal Agency deems it appropriate) when the subject invention is assigned in accordance with 35 U.S.C. § 202(e) and 37 CFR § 401.10;
  - iii. The balance of any royalties or income earned by the Contractor with respect to subject inventions, after payment of expenses (including payments to inventors)

incidental to the administration of subject inventions, will be utilized for the support of scientific research or education; and

- iv. It will make efforts that are reasonable under the circumstances to attract licensees of subject invention that are Small Business Firms and that it will give a preference to a Small Business Firm when licensing a subject invention if the Contractor determines that the Small Business Firm has a plan or proposal for marketing the invention which, if executed, is equally as likely to bring the invention to practical application as any plans or proposals from applicants that are not Small Business Firms; provided, that the Contractor is also satisfied that the Small Business Firm has the capability and resources to carry out its plan or proposal. The decision whether to give a preference in any specific case will be at the discretion of the Contractor. However, the Contractor agrees that the Federal Agency may review the Contractor's licensing program and decisions regarding Small Business applicants, and the Contractor will negotiate changes to its licensing policies, procedures, or practices with the Federal Agency when the Federal Agency's review discloses that the Contractor could take reasonable steps to implement more effectively the requirements of this paragraph (k)(iv). In accordance with 37 CFR 401.7, the Federal agency or the contractor may request that the Secretary review the contractor's licensing program and decisions regarding small business applicants.
1. *Communication.* The central point of contact at the Federal Agency for communications on matters relating to this clause may be obtained from the City upon request.

## **Appendices**

**Appendix A: Federal Exhibits**

**FEDERAL EXHIBIT 1**

**NOTICE TO BIDDERS**

**NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (EXECUTIVE ORDER 11246, as amended) FOR ALL CONSTRUCTION CONTRACTS AND SUB-CONTRACTS IN EXCESS OF \$10,000.**

1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" set forth herein.

2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all Construction Work in the covered area, are as follows:

**Goals and Timetables for Minorities**

<u>Trade</u>	<u>Goal (percent)</u>
Electricians . . . . .	9.0 to 10.2
Carpenters . . . . .	27.6 to 32.0
Steamfitters . . . . .	12.2 to 13.5
Metal Lathers . . . . .	24.6 to 25.6
Painters . . . . .	28.6 to 26.0
Operating Engineers . . . . .	25.6 to 26.0
Plumbers . . . . .	12.0 to 14.5
Iron Workers (structural) . . . . .	25.9 to 32.0
Elevator Constructors . . . . .	5.5 to 6.5
Bricklayers . . . . .	13.4 to 15.5
Asbestos Workers . . . . .	22.8 to 28.0
Roofers . . . . .	6.3 to 7.5
Iron Workers (ornamental) . . . . .	22.4 to 23.0
Cement Masons . . . . .	23.0 to 27.0
Glazers . . . . .	16.0 to 20.0
Plasterers . . . . .	15.8 to 18.0
Teamsters . . . . .	22.0 to 22.5
Boilermakers . . . . .	13.0 to 15.5
All Other . . . . .	16.4 to 17.5

**Goals and Timetables for Women**

From April 1, 1980 until the present . . . . . 6.9

These goals are applicable to all the Contractor's Construction Work (whether or not it is Federal



or federally assisted) performed in the covered area. If the Contractor performs Construction Work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the Contractor also is subject to the goals for both its federally involved and nonfederally involved Construction.

The Contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the Contractor shall made a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any Construction subcontract in excess of \$10,000 at any tier for Construction Work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor; employer identification number of the subcontractor; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the contract is to be performed.

4. As used in this Contract, the "covered area" is the City of New York.

**FEDERAL EXHIBIT 2**

For Contracts involving construction work, design for construction, or construction services, refer to Section 24 (a-1) for a more complete detailed statement of FTA-specific labor standard requirements.

A copy of the current prevailing wage determination issued by the US Department of Labor is attached.

**FEDERAL EXHIBIT 2**

**DAVIS BACON WAGE RATES**

"General Decision Number: NY20230003 02/24/2023

Superseded General Decision Number: NY20220003

State: New York

Construction Types: Building, Heavy, Highway and Residential

Counties: Bronx, Kings, New York, Queens and Richmond  
Counties in New York.

BUILDING & RESIDENTIAL CONSTRUCTION PROJECTS (includes single family homes and apartments up to and including 4 stories),  
HEAVY AND HIGHWAY CONSTRUCTION PROJECTS

Note: Contracts subject to the Davis-Bacon Act are generally required to pay at least the applicable minimum wage rate required under Executive Order 14026 or Executive Order 13658. Please note that these Executive Orders apply to covered contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but do not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(2)-(60).

<p>If the contract is entered into on or after January 30, 2022, or the contract is renewed or extended (e.g., an option is exercised) on or after January 30, 2022:</p>	<ul style="list-style-type: none"> <li>. Executive Order 14026 generally applies to the contract.</li> <li>. The contractor must pay all covered workers at least \$16.20 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in 2023.</li> </ul>
<p>If the contract was awarded on or between January 1, 2015 and January 29, 2022, and the contract is not renewed or extended on or after January 30, 2022:</p>	<ul style="list-style-type: none"> <li>. Executive Order 13658 generally applies to the contract.</li> <li>. The contractor must pay all covered workers at least \$12.15 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on that contract in 2023.</li> </ul>

The applicable Executive Order minimum wage rate will be adjusted annually. If this contract is covered by one of the Executive Orders and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must still submit a conformance request.

Additional information on contractor requirements and worker protections under the Executive Orders is available at <http://www.dol.gov/whd/govcontracts>.

Modification Number	Publication Date
0	01/06/2023
1	01/13/2023
2	02/24/2023

ASBE0012-001 06/01/2022

	Rates	Fringes
Asbestos Workers/Insulator Includes application of all insulating materials, protective coverings, coatings and finishes to all types of mechanical systems.....	\$ 70.01	35.16
HAZARDOUS MATERIAL HANDLER.....	\$ 40.50	15.95

BOIL0005-001 01/01/2021

	Rates	Fringes
BOILERMAKER.....	\$ 63.38	33%+47.22+a

FOOTNOTE:

a. PAID HOLIDAYS: New Year's Day, Thanksgiving Day, Memorial Day, Independence Day, Labor Day and Good Friday, Friday after Thanksgiving, Christmas Eve Day and New Year's Eve

BRNY0001-001 07/01/2022

	Rates	Fringes
BRICKLAYER.....	\$ 65.53	31.60
MASON - STONE.....	\$ 69.72	38.67

BRNY0001-002 07/01/2022

	Rates	Fringes
Pointer, cleaner and caulker.....	\$ 59.09	31.02

BRNY0004-001 07/04/2022

	Rates	Fringes
MARBLE MASON.....	\$ 62.40	38.82

BRNY0007-001 07/01/2022

	Rates	Fringes
TERRAZZO FINISHER.....	\$ 55.21	36.97
TERRAZZO WORKER/SETTER.....	\$ 59.75	38.60

BRNY0007-002 12/06/2021

	Rates	Fringes
TILE FINISHER.....	\$ 47.56	32.71

BRNY0020-001 07/04/2022

	Rates	Fringes
MARBLE FINISHER.....	\$ 49.20	36.21
-----		
BRNY0024-001 07/04/2022		

	Rates	Fringes
BRICKLAYER MARBLE POLISHERS.....	\$ 46.96	29.21
-----		
BRNY0052-001 12/06/2021		

	Rates	Fringes
Tile Layer.....	\$ 61.84	36.04
-----		
* CARP0020-001 07/01/2022		

Richmond County

	Rates	Fringes
CARPENTER (BUILDING & RESIDENTIAL) Carpenters.....	\$ 55.05	47.83
-----		
* CARP0020-002 07/01/2022		

Richmond County

	Rates	Fringes
CARPENTER (HEAVY & HIGHWAY).....	\$ 58.16	54.26
-----		
* CARP0045-001 07/01/2022		

Queens County

	Rates	Fringes
CARPENTER (BUILDING & RESIDENTIAL) Carpenters.....	\$ 55.05	47.83
-----		
* CARP0045-002 07/01/2022		

Queens County

	Rates	Fringes
CARPENTER (HEAVY & HIGHWAY).....	\$ 58.16	54.26
-----		
* CARP0157-001 07/01/2022		

Bronx and New York Counties

	Rates	Fringes
CARPENTER (BUILDING & RESIDENTIAL) Carpenters.....	\$ 55.05	47.83
-----		
* CARP0157-002 07/01/2022		

Bronx and New York Counties

	Rates	Fringes
CARPENTER (HEAVY & HIGHWAY).....	\$ 58.16	54.26
-----		
* CARP0740-001 07/01/2022		

	Rates	Fringes
MILLWRIGHT.....	\$ 57.80	55.96
-----		
* CARP0926-001 07/01/2022		

Kings County

	Rates	Fringes
CARPENTER (BUILDING & RESIDENTIAL) Carpenters.....	\$ 55.05	47.83
-----		
* CARP0926-002 07/01/2022		

Kings County

	Rates	Fringes
CARPENTER (HEAVY & HIGHWAY).....	\$ 58.16	54.26
-----		
CARP1556-006 07/01/2022		

	Rates	Fringes
Dock Builder & Piledrivermen.....	\$ 58.16	53.56
-----		
CARP1556-007 07/01/2022		

	Rates	Fringes
Diver Tender.....	\$ 52.57	53.56
Diver.....	\$ 73.03	53.56
-----		
CARP1556-011 07/01/2022		

	Rates	Fringes
Carpenters: TIMBERMEN.....	\$ 53.05	53.94
-----		
* CARP2287-004 07/01/2022		

	Rates	Fringes
Carpenters: Soft Floor Layers.....	\$ 55.05	47.83
-----		
ELEC0003-001 04/13/2022		

	Rates	Fringes
ELECTRICIAN Electricians.....	\$ 59.00	77.695%+17.25
Jobbing, and maintenance		

and repair work.....\$ 28.50 51.243%+7.50+a

PAID HOLIDAYS:

- a. New Years Day, Martin Luther King, Jr.'s Birthday, Washington's Birthday, Memorial Day, Independence Day, Labor Day, Columbus Day, Election Day, Thanksgiving Day, the day after Thanksgiving Day, and Christmas Day

-----  
 ELEC1049-001 04/03/2022

QUEENS COUNTY

	Rates	Fringes
Line Construction (Substation and Switching structures pipe type cable installation and maintenance jobs or projects; Railroad electrical distribution/transmission systems maintenance (when work is not performed by railroad employees) Overhead and Underground transmission/distribution line work. Fiber optic, telephone cable and equipment)		
Groundman.....	\$ 37.92	26.49
Heavy Equipment Operator....	\$ 50.56	30.51
Lineman and Cable Splicer...	\$ 63.20	34.52
Tree Trimmer.....	\$ 30.09	14.12

-----  
 ELEV0001-002 03/17/2022

	Rates	Fringes
ELEVATOR MECHANIC		
Elevator Constructor.....	\$ 75.14	47.446+a+b
Modernization and Repair....	\$ 59.09	45.564+a+b

FOOTNOTE:

- a. PAID HOLIDAYS: New Year's Day, Good Friday, President's Day, Memorial Day, Independence Day, Labor Day, Columbus Day, Veteran's Day, Thanksgiving Day, Friday after Thanksgiving, and Christmas Day.

- b. PAID VACATION: An employee who has worked less than 5 years shall receive vacation pay credit on the basis of 4% of his hourly rate for all hours worked; an employee who has worked 5 to 15 years shall receive vacation pay credit on the basis of 6% of his hourly rate for all hours worked; an employee who has worked 15 or more years shall receive vacation pay credit on the basis of 8% of his hourly rate for all hours worked.

-----  
 ENGI0014-001 07/01/2022

	Rates	Fringes
POWER EQUIPMENT OPERATOR (HEAVY & HIGHWAY)		



GROUP 1.....	\$ 110.56	35.35
GROUP 2.....	\$ 91.40	35.35
GROUP 3.....	\$ 94.31	35.35
GROUP 4.....	\$ 92.06	35.35
GROUP 5.....	\$ 90.26	35.35
GROUP 6.....	\$ 86.71	35.35
GROUP 7.....	\$ 88.32	35.35
GROUP 8.....	\$ 85.80	35.35
GROUP 9.....	\$ 84.02	35.35
GROUP 10.....	\$ 80.36	35.35
GROUP 11.....	\$ 75.16	35.35
GROUP 12.....	\$ 76.80	35.35
GROUP 13.....	\$ 77.36	35.35
GROUP 14.....	\$ 58.61	35.35
GROUP 15.....	\$ 54.56	35.35
POWER EQUIPMENT OPERATOR (PAVEMENT-HEAVY & HIGHWAY)		
Asphalt Plants.....	\$ 70.88	35.35
Asphalt roller.....	\$ 83.63	35.35
Asphalt spreader.....	\$ 85.80	35.35
POWER EQUIPMENT OPERATOR (STEEL ERECTION)		
Compressors, Welding Machines.....	\$ 54.68	35.35
Cranes, Hydraulic Cranes, 2 drum derricks, Forklifts, Boom Trucks.....	\$ 91.33	35.35
Three drum derricks.....	\$ 95.02	35.35
POWER EQUIPMENT OPERATOR (UTILITY)		
Horizontal Boring Rig.....	\$ 81.67	35.35
Off shift compressors.....	\$ 68.04	35.35
Utility Compressors.....	\$ 54.21	35.35

POWER EQUIPMENT OPERATOR CLASSIFICATIONS

GROUP 1: Tower crane

GROUP 2: Rubber Tire Backhoes over 37,000 lbs, Track Backhoes, power shovel, Hydraulic clam shells, moles and machines of a similar type

GROUP 3: Mine hoists and crane, etc. used as mine hoists

GROUP 4: Gradalls, keystones, cranes (with digging buckets), bridge cranes, trenching machines, vermeer cutter and machines of a similar nature

GROUP 5: Piledrivers, derrick boats, tunnel shovels

GROUP 6: All drills, and machines of a similar nature

GROUP 7: Back filling machines, cranes, mucking machines, dual drum pavers

GROUP 8: Mixers (concrete w/loading attachments), concrete pavers, cableways, land derricks, power house (low pressure units), concrete pumps

GROUP 9: Concrete plants, well drilling machines, stone crushers double drum hoist, power house (other than above)

GROUP 10: Concrete mixers

GROUP 11: Elevators

GROUP 12: Concrete breaking machine, Hoists (single drum), load masters, locomotive and dinkies over 10 tons

GROUP 13: Vibratory console

GROUP 14: Compressors (portable 3 or more in battery), tugging machine (caissons), well point pumps, chum drill

GROUP 15: Boilers, (high pressure, compressors (portable, single, or 2 in battery, not over 100' apart), pumps (river cofferdam and welding machines (except where arc is operated by members of local 15) push button machines, all engines irrespective of power (power pac) used to drive auxilliary equipment, air, hydraulic etc.

PREMIUMS ON CRANES (Crawler or Truck):

- 100' to 149' boom - add .50
- 150' to 249' boom - add .75
- 250' to 349' boom - add 1.00
- 350' to 450' boom - add 1.50

Premiums for Cranes on Steel Erection:

- 100' to 149' boom - add 1.75
- 150' to 249' boom - add 2.00
- 250' to 349' boom - add 2.25
- 350' to 450' boom - add 2.75
- Tower crane - add 2.00

FOOTNOTE:

a. Paid Holidays: New Year's Day; Lincoln's Birthday; Washington's Birthday; Memorial Day; Independence Day; Labor Day; Veterans Day; Columbus Day; Election Day; Thanksgiving Day; and Christmas Day; provided the employee works one day the payroll week in which the holiday occurs.

-----  
ENGI0014-002 07/01/2022

	Rates	Fringes
Power Equipment Operator		
BUILDING & RESIDENTIAL		
GROUP 1.....	\$ 86.78	35.35
GROUP 2.....	\$ 91.86	35.35
GROUP 3.....	\$ 80.52	35.35
GROUP 4.....	\$ 73.28	35.35
GROUP 5.....	\$ 54.94	35.35

POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1: Double drum

GROUP 2: Stone derrick, cranes, hydraulic cranes, boom trucks

GROUP 3: 4 pole Hoist, Single Drum Hoists

GROUP 4: Fork lift, house cars, plaster (platform machine), plaster bucket, concrete pump and all other equipment used for hoisting material

GROUP 5: Compressors, welding machines (cutting concrete work), paint spraying, sand blasting, pumps (with the exclusion of concrete pumps), house car (settlement basis

only), all engines irrespective of power (power pac) used to drive auxiliary equipment, air, hydraulic, etc., boilers

Premiums for Cranes:

- 100'-149' boom - add 1.75
- 150'-249' boom - add 2.00
- 250'-349' boom - add 2.25
- 350'-450' boom - add 2.75
- Tower cranes add 2.00

FOOTNOTE:

a. PAID HOLIDAYS: New Year's Day, Lincoln's Birthday, Memorial Day, Independence Day, Labor Day, Veteran's Day, Columbus Day, Election Day, Thanksgiving Day, and Christmas Day, provided the employee works one day in the payroll week in which the holiday occurs

-----  
ENGI0015-001 07/01/2021

	Rates	Fringes
POWER EQUIPMENT OPERATOR		
HEAVY AND HIGHWAY		
GROUP 1.....	\$ 74.65	38.00
GROUP 2.....	\$ 72.40	38.00
GROUP 3.....	\$ 68.62	38.00
GROUP 4.....	\$ 64.82	38.00
GROUP 5.....	\$ 44.45	38.00

POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1: Cherrypickers 20 tons and over and loaders (rubber-tired and/or tractor type with a manufacturer's rated capacity of six cubic yards and over

GROUP 2: Rubber Tire Backhoes up to and including 37,000 lbs, Basin Machines, Groover, Mechanical Sweepers, Bobcat, Boom Truck, Barrier Transport (Barrier Mover) and machines of a similar nature, Boat Captains, Boat Operators, operation of Churn Drills and machines of a similar nature, Stetco Silent Hoist and machines of a similar nature, Vac-alls, Meyers Machines, John Beam and machines of a similar nature, Ross Carriers and Travel Lifts and machines of a similar nature, Bulldozers, Scrapers, and Turn-a Pulls, Tugger Hoist (used exclusively for handling excavated material), Tractors with attachments, Hyster and Roustabout Cranes, Cherrypickers, Austin Western, Grove and machines of a similar nature, Scoopmobiles, Monorails, Conveyors, Trenchers, Loaders- Rubber-tired and Tractor, Barber Greene, Eimco Loaders and Eimco Backhoes, Mighty Midget and similar breakers and tampers, Curb and Gutter Pavers and Motor Patrol, Motor Graders and all machines of a similar nature, Locomotives ten (10) tons or under, Mini-Max, Break-Tech and machines of a similar nature, Milling Machines, robotic and demolition machines and machines of a similar nature including Bobcat, Pile Rig Rubber-tired Excavator (37,000 lbs. and under), 2 man auger

GROUP 3: Minor Equipment such as Tractors, Post Hole Diggers and Drivers, Ditch Witch (Walk Behind), Road Finishing Machines, Rollers (five (5) tons and under), Tugger Hoists, Dual Purpose Trucks, Fork Lifts and Dempsey Dumpsters

GROUP 4: Oilers for the following equipment: (all gasoline, electric, diesel, or air operated) gradalls and concrete

pumps or similarly equipment manned by two-men

GROUP 5: Oilers for the following equipment: (all gasoline, electric, diesel, or air operated) shovels, cranes (draglines), backhoes, pavers, trenching machines, gunite machines, compressors (3 or more in battery)

Premiums for Cranes:

100'-149' boom - add 1.75
150'-249' boom - add 2.00
250'-349' boom - add 2.25
350'-450' boom - add 2.75
Tower cranes add 2.00

FOOTNOTE:

a. PAID HOLIDAYS: New Year's Day, Lincoln's Birthday, Memorial Day, Independence Day, Labor Day, Veteran's Day, Columbus Day, Election Day, Thanksgiving Day, and Christmas Day, provided the employee works one day in the payroll week in which the holiday occurs

-----
ENGI0015-002 07/01/2016

Table with 2 columns: Rates, Fringes. Rows include POWER EQUIPMENT OPERATOR BUILDING and GROUP 1, 2, 3 with corresponding rates and fringes.

POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1: Oiler
GROUP 2: Oilers on Crawler Cranes, Backhoes, Trenching machines, Gunite machines, Compressors (3 or more in Battery)
GROUP 3: Gradalls: Concrete Pumps, Power Houses - All equipment in same is manned by two (2) men only, Driving Truck Cranes

FOOTNOTE:

a. PAID HOLIDAYS: New Year's Day, Lincoln's Birthday, Memorial Day, Independence Day, Labor Day, Veteran's Day, Columbus Day, Election Day, Thanksgiving Day, and Christmas Day, provided the employee works one day in the payroll week in which the holiday occurs

-----
IRON0040-002 07/01/2022

BRONX, NEW YORK, RICHMOND

Table with 2 columns: Rates, Fringes. Row includes IRONWORKER, STRUCTURAL with rate \$ 55.70 and fringes 84.79.

-----
IRON0046-003 07/01/2022

Table with 2 columns: Rates, Fringes. Rows include IRONWORKER METALLIC LATHERS AND REINFORCING IRONWORKERS with rate \$ 56.90 and fringes 27.80.

-----
IRON0197-001 07/01/2021

	Rates	Fringes
IRONWORKER		
STONE DERRICKMAN.....	\$ 55.63	55.10

-----  
 IRON0361-002 07/01/2022

KINGS, QUEENS

	Rates	Fringes
Ironworkers:		
(STRUCTURAL).....	\$ 55.70	84.79

-----  
 IRON0580-001 07/01/2022

	Rates	Fringes
IRONWORKER, ORNAMENTAL.....	\$ 46.65	61.62

-----  
 LAB00006-001 07/01/2016

	Rates	Fringes
LABORER (Cement and Concrete Workers).....	\$ 42.48	17.35

-----  
 LAB00029-001 07/01/2017

	Rates	Fringes
Laborers:		
Heavy		
Blasters (hydraulic trac drill).....	\$ 47.15	35.49
Blasters.....	\$ 46.27	35.49
Hydraulic Trac Drill.....	\$ 41.29	35.49
Jackhammers, Chippers, Spaders, Concrete Breakers, All Other Pneumatic Tools, Walk Behind Self-Propelled Hydraulic Asphalt and Concrete Breaker.....	\$ 39.34	35.49
Powder Carriers.....	\$ 35.17	35.49

-----  
 LAB00078-001 09/01/2021

	Rates	Fringes
LABORERS		
BUILDING CONSTRUCTION		
ASBESTOS (Removal, Abatement, Encapsulation or Decontamination of asbestos); LEAD; & HAZARDOUS WASTE LABORERS (Hazardous Waste, Hazardous Materials, Biochemical and Mold Remediation, HVAC, Duct Cleaning, Re-spray Fireproofing, etc).....	\$ 38.05	19.35

LABO0079-001 07/01/2018

	Rates	Fringes
LABORER (Building Construction)		
Demolition Laborers (Interior)		
Tier A.....	\$ 37.44	23.60
Tier B.....	\$ 26.63	17.57
Mason Tender/General Laborer.....	\$ 40.65	28.85

CLASSIFICATIONS

TIER A: Responsible for the removal of all interior petitions and structural petitions that can consist of sheet rock, block or masonry. Also, all structural slab openings for ducts, mechanical, shafts, elevators, slab openings and exterior walls where the building is not being completely demolished.

TIER B: Responsible for shoveling of debris into containers, pushing containers from the inside to the outside of the building.

-----  
LABO0147-001 07/01/2016

	Rates	Fringes
LABORERS (FREE AIR & TUNNEL).....	\$ 72.67	47.72

Maintenance Men, Inside Muck Lock Tenders, Pump Men, Electricians, Cement Finishers, Caulkers, Hydraulic Men, Shield Men, Monorail Operators, Motor Men, Conveyor Men, Powder Carriers, Pan Men, Riggers, Chuck Tenders, Track Men Painters, Nippers, Brakemen, Cable Men, Hose Men, Grout Men, Gravel Men, Form Workers, Concrete Workers, Tunnel Laborers, Mole Nipper (one (1) Mole Sipper per Working Shaft per Shift for up to and including Two (2) Moles

-----  
LABO0731-001 07/01/2022

	Rates	Fringes
LABORER		
Building, Heavy and Residential Construction		
LABORER: (Asbestos, Lead, Hazardous Waste Removal (including soil)/CEMENT/CONCRETE.....	\$ 44.00	48.48
UTILITY LABORER.....	\$ 43.85	48.48

Paid Holidays: Labor Day and Thanksgiving Day

-----  
LABO1010-001 07/01/2022

	Rates	Fringes
Laborers:		
HIGHWAY CONSTRUCTION		
Fence Installer & Repairer..	\$ 44.48	49.34

FORMSETTERS.....	\$ 48.35	49.34
LABORERS.....	\$ 44.48	49.34
Landscape Planting & Maintenance.....	\$ 44.48	49.34
Maintenance Safety Surface.	\$ 44.48	49.34
Slurry/Sealcoater/Play Equipment Installer.....	\$ 44.48	49.34
Small Equipment Operator (Not Operating Engineer)...	\$ 44.48	49.34
Small Power Tools Operator.	\$ 44.48	49.34

FOOTNOTES:

a. PAID HOLIDAYS: Memorial Day, Fourth of July, Labor Day, Columbus Day, Election Day and Thanksgiving Day, provided the employee has worked one (1) day in the calendar week in which the said holiday occurs.

-----  
LAB01010-002 07/01/2022

	Rates	Fringes
Laborers-Asphalt Construction:		
Micro Paver.....	\$ 48.95	49.34
Raker.....	\$ 48.35	49.34
Screedperson.....	\$ 48.95	49.34
Shoveler (Production Paving Only).....	\$ 44.48	49.34
Small Equipment Operator (Asphalt).....	\$ 44.48	49.34

-----  
PAIN009-001 11/01/2022

	Rates	Fringes
GLAZIER.....	\$ 47.30	50.52
PAINTER		
Painters, Drywall Finishers, Lead Abatement Worker.....	\$ 43.00	38.11
Spray, Scaffold and Sandblasting.....	\$ 46.00	38.11

-----  
PAIN0806-001 10/01/2022

	Rates	Fringes
Painters: Structural Steel and Bridge.	\$ 54.50	52.73

-----  
PAIN1974-001 06/28/2022

	Rates	Fringes
Painters: Drywall Tapers/Pointers.....	\$ 48.47	30.21

-----  
PLAS0262-001 08/01/2019

	Rates	Fringes
PLASTERER.....	\$ 45.73	30.37

-----  
PLAS0262-002 08/01/2019

KINGS AND QUEENS COUNTIES

	Rates	Fringes
PLASTERER.....	\$ 45.73	30.37
-----		
PLAS0780-001 07/01/2018		

	Rates	Fringes
CEMENT MASON/CONCRETE FINISHER...	\$ 51.97	33.56
-----		
PLUM0001-001 07/01/2022		

	Rates	Fringes
PLUMBER		
MECHANICAL EQUIPMENT AND SERVICE		
Any repair and/or replacement of the present plumbing system that does not change the existing roughing.....	\$ 46.60	19.96
PLUMBERS:.....	\$ 72.50	41.45
-----		
PLUM0638-001 07/01/2020		

	Rates	Fringes
PLUMBER		
SERVICE FITTERS.....	\$ 41.75	14.00
SPRINKLER FITTERS, STEAMFITTERS.....	\$ 59.05	58.34

Service Fitter work shall consist of all repair, service and maintenance work on domestic, commercial and industrial refrigeration, air conditioning and air cooling, stoker and oil burner apparatus and heating apparatus etc., including but not exclusively the charging, evacuation, leak testing and assembling for all machines for domestic, commercial and industrial refrigeration, air conditioning and heating apparatus. Also, work shall include adjusting, including capacity adjustments, checking and repairing or replacement of all controls and start up of all machines and repairing all defects that may develop on any system for domestic, commercial and industrial refrigeration and all air conditioning, air cooling, stoker and oil burner apparatus and heating apparatus regardless of size or type.

-----

ROOF0008-003 05/01/2022

	Rates	Fringes
ROOFER.....	\$ 45.25	37.62
-----		
SHEE0028-002 07/28/2022		

	Rates	Fringes
SHEET METAL WORKER		
BUILDING CONSTRUCTION.....	\$ 52.10	55.18
RESIDENTIAL CONSTRUCTION....	\$ 23.84	8.40



-----  
TEAM0282-001 07/01/2020

	Rates	Fringes
TRUCK DRIVER		
Asphalt.....	\$ 42.68	46.9025+a
Euclids & Turnpulls.....	\$ 45.62	50.5625+a
High Rise.....	\$ 53.79	49.2025+a

FOOTNOTES:

PAID HOLIDAYS: New Year's Day, Presidents' Day, Memorial Day, Independence Day, Labor Day, Columbus Day, Election Day, Veterans' Day (Armistice Day), Thanksgiving Day, Day after Thanksgiving and Christmas Day. Employees working two (2) days in the calendar week in which a holiday falls are to be paid for such holiday, provided that they shape each remaining workday during such calendar week.

-----  
WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

=====  
Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at <https://www.dol.gov/agencies/whd/government-contracts>.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

-----  
The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of "identifiers" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than ""SU"" or ""UAVG"" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

Survey Rate Identifiers

Classifications listed under the ""SU"" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

-----

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour National Office because National Office has responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations  
 Wage and Hour Division  
 U.S. Department of Labor  
 200 Constitution Avenue, N.W.  
 Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator  
 U.S. Department of Labor  
 200 Constitution Avenue, N.W.  
 Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board  
 U.S. Department of Labor  
 200 Constitution Avenue, N.W.  
 Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

=====

END OF GENERAL DECISIO"

Appendix A1

DISCLOSURE OF LOBBYING ACTIVITIES

I Joseph Pizzirusso hereby certifies on behalf of J. Pizzirusso Landscaping Corp  
name and title of company representative name of company

that will file the certification required by 49 CFR Part 20, "New Restrictions on Lobbying." Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by 31 U.S.C. 1352. Each tier shall also disclose the name of any registrant under the Lobbying Disclosure Act of 1995 who has made lobbying contacts on its behalf with non-Federal funds with respect to that Federal contract, grant or award covered by 31 U.S.C. 1352. Such disclosures are forwarded from tier to tier up to the recipient.

The Contractor certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form--LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions [as amended by "Government wide Guidance for New Restrictions on Lobbying," 61 Fed. Reg. 1413 (1/19/96).

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31, U.S.C. § 1352 (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Pursuant to 31 U.S.C. § 1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such expenditure or failure.

The Contractor, J. Pizzirusso Landscaping Corp certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Contractor understands and agrees that the provisions of 31 U.S.C. A 3801, *et seq.*, apply to this certification and disclosure, if any.

\_\_\_\_\_  
Signature of Contractor's Authorized Official

Joseph Pizzirusso  
Name and Title of Contractor's Authorized Official

3-13-23  
Date

**Appendix A2: Certification of a Contractor regarding debarment, suspension, and other responsibility matters**

The Contractor, \_\_\_\_\_, certifies to the best of its knowledge and belief, that it and its principals:

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
2. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or Local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
3. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or Local) with commission of any of the offenses enumerated in paragraph (2) of this certification; and
4. Have not within a three-year period preceding this proposal or bid had one or more public transactions (Federal, State or Local) terminated for cause or default.
5. The Contractor agrees to provide the City with immediate written notice if, at any time, it learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances. Each Subcontractor or Vendor for the Contractor shall provide the same updated notice to the Contractor and the Contractor shall be solely responsible for collecting, updating and submitting updated information to the City.

NOTE: If for any reason the Contractor is unable to certify to any of the statements in this certification, the Contractor shall attach an explanation to this certification.

THE CONTRACTOR, \_\_\_\_\_ CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION AND UNDERSTANDS THAT THE PROVISIONS OF 31 U.S.C. SECTIONS 3801 ET SEQ. ARE APPLICABLE THERETO.

\_\_\_\_\_  
Signature and Title of Authorized Official

\_\_\_\_\_  
Date

**Appendix A3: Certification of a Subcontractor/Supplier regarding debarment, suspension, and other responsibility matters**

The Subcontractor/Supplier \_\_\_\_\_, certifies to the best of its knowledge and belief, that it and its principals:

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
2. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or Local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
3. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or Local) with commission of any of the offenses enumerated in paragraph (2) of this certification; and
4. Have not within a three-year period preceding this proposal or bid had one or more public transactions (Federal, State or Local) terminated for cause or default.
5. The Subcontractor agrees to provide the Contractor with immediate written notice if, at any time, it learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances. Each Subcontractor or Vendor for the Contractor shall provide the same updated notice to the Contractor and the Contractor shall be solely responsible for collecting, updating and submitting updated information to the City.

NOTE: If for any reason the Subcontractor/Supplier is unable to certify to any of the statements in this certification, the Contractor shall attach an explanation to this certification.

THE SUBCONTRACTOR/SUPPLIER, \_\_\_\_\_, CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION AND UNDERSTANDS THAT THE PROVISIONS OF 31 U.S.C. SECTIONS 3801 ET SEQ. ARE APPLICABLE THERETO.

\_\_\_\_\_  
Signature and Title of Authorized Official

\_\_\_\_\_  
Date

**Contractor Note:**

**Contractor must require all Subcontractors/Suppliers to complete this certification and Contractor shall submit the certifications to the City as they are received.**

## **Appendix A4: NYC DOT Disadvantage Business Enterprise Program**

### **DBE Policy**

This contract is subject to the Federal Transit Administration (FTA) requirements for implementing the U.S. Department of Transportation (USDOT) regulations related to Disadvantaged Business Enterprises (DBEs). It is the policy of USDOT that DBEs, as defined in 49 CFR Part 26, shall have the maximum opportunity to participate in the performance of contracts financed in whole or in part with Federal funds. The Contractor agrees to take all necessary and reasonable steps to ensure that DBEs have the maximum opportunity to participate in the performance of contracts and subcontracts financed in whole or in part with Federal funds provided under this agreement.

Because this is a federally funded project with its own DBE requirement no separate NYC M/WBE program condition will apply to this contract. Further information or questions can be directed to:

New York City Department of Transportation  
ACCO Office of Contract and Compliance  
55 Water Street, 8th Floor  
New York, New York 10041  
Attn: Cassandra Luxama, Director of DBE Compliance

### **DBE Goal (49 CFR 26.45)**

The successful proposer/bidder will be required to meet the NYCDOT Disadvantage Business Enterprise (DBE) goal stated in Schedule A or secure demonstrable Good Faith Efforts participation. The overall agency DBE goals for the current Federal Fiscal Year and DBE program plan are available on NYCDOT's website for guidance at:

<https://www1.nyc.gov/html/dot/html/about/doing-business.shtml>

### **Documentation and Good Faith Efforts (49 CFR 53)**

In those instances where a contract-specific DBE goal is included in a procurement/solicitation, the contract will not be awarded to a bidder who does not either:

- provide documentation that it will meet the contract goal with verified, countable DBE participation; OR
- documentation that it has made adequate good faith efforts to meet the DBE contract goal, even though it was unable to do so. It is the obligation of the bidder to demonstrate it has made sufficient good faith efforts prior to contract award. For additional information on determining 'good faith efforts' refer to Attachment 1.

The lowest bidder/offeror must complete and submit all DBE Utilization forms within five (5) calendar days of the bid opening. The DBE Utilization forms are attached as Attachment 2. Failure to submit the required forms may result in the bidder being deemed non-responsive.

In addition to the Record Retention requirements in the GENERALLY APPLICABLE PROVISIONS, the Contractor is required to make available upon request a copy of all DBE subcontracts and payment documents.

### Administrative Reconsideration

The lowest bidder/offeror may request administrative reconsideration of any nonresponsive/non-responsible determination in accordance with the New York City Procurement Policy Board (PPB) Rules, which can be found at:

<https://www1.nyc.gov/site/mocs/legal-forms/procurement-policy-board-ppb-rules.page>

As outlined in Section 2-10 of the PPB Rules, requests for administrative reconsideration shall be filed with the Agency Head and shall briefly state all the facts or other basis upon which the vendor contests the agency decision. The Agency Head's determination shall be final, and shall state the reasons upon which it is based. The procurement action under protest shall not be stayed unless the Agency Head determines that it is in the City's best interest to delay the action.

### Termination of DBE Subcontractor

Contractor must not terminate a DBE Subcontractor listed on a bid/contract with a DBE contract goal without the City's prior written consent. Prior written consent will only be provided where there is "good cause" for termination of the DBE firm, as established by Section 26.53(f)(3) of the DBE regulation. Good cause may be defined as, but is not limited to, "a situation where the DBE Subcontractor has failed or refused to perform the work of its subcontract in accordance with normal industry standards." Good cause does not exist if the Contractor seeks to terminate a DBE Subcontractor in order to self-perform the work or to substitute another DBE or non-DBE Subcontractor.

Before transmitting a request to terminate, the Contractor must give notice in writing to the DBE Subcontractor of its intent to do so. A copy of this notice must be provided to the City prior to consideration of the request to terminate. The DBE Subcontractor will then have five (5) days to respond and advise the City of why it objects to the proposed termination. [Note: the five-day period may be reduced if the matter is one of public necessity, e.g., safety.]

When a DBE Subcontractor is terminated in accordance with this section, or fails to complete its work on the contract for any reason, the City will require the prime contractor to make good faith efforts to find another DBE subcontractor to substitute for the original DBE.

### **DBE Qualification and Directory (49 CFR 26.31)**

To be qualified as a DBE, a firm must be certified by the state where the work is located, in accordance with 49 CFR Part 26. The New York State Unified Certification Program (NYS UCP) is responsible for DBE certification in New York State. Only firms certified by the NYS UCP as DBEs are eligible to be used by the Contractor in order to meet the DBE participation goal set on this federally funded contract.

To apply for DBE certification you may contact any one of the four NYSUCP Certifying Partners listed below via the NYS UCP online system at: <https://nysucp.newnycontracts.com/>

- Metropolitan Transportation Agency
- New York State Department Of Transportation
- Port Authority of New York & New Jersey
- Niagara Frontier Transportation Authority

Additional information on NYS UCP's certification procedures can be found at the following website: <https://www.dot.ny.gov/main/business-center/civil-rights/general-info/dbe-certification>



**Assurances (49 CFR 26.13)**

The Contractor agrees and assures that it will include the assurances set forth in Section 12(e)(4) of the GENERALLY APPLICABLE PROVISIONS in each Subcontract regardless of tier.

**DBE Financial Institutions (49 CFR 26.27)**

The Contractor is encouraged to investigate the full extent of services offered by financial institutions owned and controlled by socially and economically disadvantaged individuals and make reasonable efforts to use those institutions. A list can be found at:

<https://www.federalreserve.gov/supervisionreg/minority-depository-institutions.htm>

**Prompt Payment Mechanisms (49 CFR 26.29)**

**Prompt Payment**

The Contractor shall pay all Subcontractors for work that has been satisfactorily performed no later than seven (7) days from the date of the Contractor's receipt of progress payments by the City unless a shorter duration is stated elsewhere in the contract. This applies to both DBE and non-DBE subcontracts.

For purposes of this section, a Subcontractor's work is satisfactorily completed when all the tasks called for in the Subcontract have been accomplished and documented as required by the City. When the City has made an incremental acceptance of a portion of a contract, the work of a Subcontractor covered by that acceptance is deemed to be satisfactorily completed.

The Contractor must sign a prompt payment affidavit (Attachment 3) or provide other proof of timely payment to sub-contractors.

**Retainage**

NO RETAINAGE WILL BE HELD ON FTA FUNDED CONTRACTS. The Contractor cannot hold retainage on federally funded contracts. Any delay or postponement of payment from the above may occur only for good cause following written approval of the NYCDOT. This clause applies to both DBE and non-DBE subcontracts.

**Monitoring (49 CFR 26.37)**

The City will monitor and track the actual DBE participation through Contractor and Subcontractor reports of payments, and other appropriate monitoring.

The City will monitor compliance of its contractors on FTA assisted contracts within the requirements of the Regulations and the DBE Program. The City may impose such contract remedies as are available under federal, state and local law and regulations for non-compliance. Such remedies may include, but are not limited to, withholding of progress payments and contract retentions, imposition of liquidated damages, and termination of the contract in whole or in part.

**Cooperation, and Intimidation or Retaliation (49 CFR 26.109)**

The City, its contractors, and subcontractors are subject to contract compliance reviews, certification reviews, investigations, and other requests for information to determine that DBE requirements are being met. Failure to cooperate shall be a ground for appropriate action against the party involved.

Contractor and its Subcontractors, regardless of tier, must not intimidate, threaten, coerce, or discriminate against any individual or firm for the purpose of interfering with any right or privilege secured by 49 CFR Part 26 or because the individual or firm has made a complaint, testified, assisted, or participated in any manner in an investigation, proceeding, or hearing under 49 CFR Part 26, and that violation of this prohibition will constitute noncompliance with 49 CFR Part 26.

## Appendix A4 - Attachment 1

### DETERMINING GOOD FAITH EFFORTS

To determine whether a bidder that has failed to meet the DBE contract goal(s) may receive the contract, the Department will decide whether the efforts the Bidder made to obtain DBE participation were “good faith efforts” to meet the goal(s). Efforts that are merely pro forma are not good faith efforts to meet the goal(s). Efforts to obtain DBE participation are not good faith efforts to meet the goal(s), even if they are sincerely motivated, if, given all relevant circumstances, they could not reasonably be expected to produce a level of DBE participation sufficient to meet the goal(s).

In order to evaluate the Bidder’s conformance to this subsection, the Department will consider the quality, quantity, and intensity of the different kinds of efforts that the Bidder has made. The following is a list of the types of actions which the Department will consider as part of the Bidder’s good faith efforts to obtain DBE participation. It is not intended to be a mandatory checklist, nor is it intended to be exhaustive or exclusive. Other factors or types of efforts may be relevant in appropriate cases.

The following is a list of the kinds of efforts that the City will evaluate to determine if the Bidder has demonstrated a good faith effort:

1. Efforts to secure participation by certified DBE firms for work that they are listed to perform that is in the contract. Only DBEs certified by the NYSUCP shall be used to fulfill the established goal on Federal-Aid contracts.
2. Soliciting through all reasonable and available means (e.g., attendance at pre-bid meetings, advertising and/or written notices) the interest of all certified DBEs who have the capability to perform the work of the contract. The Bidder shall solicit this interest within sufficient time to allow the DBEs to respond to the solicitation. The Bidder shall determine with certainty if the DBEs are interested by taking appropriate steps to follow up on initial solicitations.
3.
  - a. The Bidder shall, at a minimum, seek certified DBEs in the same geographic region where the contract is located. This is defined as a one hundred (100) kilometer radius around the city, town or borough where the contract is located as identified in the contract proposal. For specialty work such as pavement markings, guide rail, etc. (as defined in the contract proposal) the Bidder shall, at a minimum, solicit on an upstate or downstate basis, depending upon the location of the contract.
  - b. The Department has facilitated identification of upstate, downstate and areas within a 100 km radius through the NYSUCP website, which is accessible on the Internet at [www.nysucp.net](http://www.nysucp.net). For more information contact the NYCDOT at (212) 839-9411. For bidders who do not have internet capability, a hard copy solicitation report for a specific contract can be requested by contacting the Office of Contract and Compliance Unit at (212) 839-9411.
4. Selecting portions of the work to be performed by DBEs in order to increase the likelihood that the DBE goal(s) will be achieved. This includes, where appropriate, either breaking

down operations within the contract or combining like or related operations in the contract into logistically and economically feasible units to facilitate DBE participation, even when the Contractor might otherwise prefer to perform these work items with its own forces.

5. Providing interested DBEs with adequate information about the plans, specifications, and requirements of the contract in a timely manner to assist them in responding to a solicitation.
6. a. Negotiating in good faith with interested DBEs. It is the Bidder's responsibility to make a portion of the work available to DBE's Subcontractors and material suppliers and to select those portions of the work or material needs consistent with the available DBE's Subcontractors and material suppliers, so as to facilitate DBE participation. Evidence of such negotiation includes the names, addresses, and telephone numbers of DBEs that were considered; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why additional agreements could not be reached for DBEs to perform the work.  
  
b. The fact that there may be some additional cost involved in finding and using DBEs is not itself sufficient reason for a bidder's failure to meet contract DBE goal(s), as long as such cost are reasonable. The ability or desire of a bidder to perform the work of a contract with its own organization does not relieve the Bidder of the responsibility to make good faith efforts. Bidders are not, however, required to accept higher quotes from DBEs if the price difference is excessive or unreasonable.
7. Not rejecting DBEs as unqualified without sound reasons based on a thorough investigation of their capabilities.
8. Making efforts to assist interested DBEs in obtaining bonding, lines of credit or insurance as required by the City.
9. Making efforts to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance.
10. Effectively using the services of available disadvantaged business focused media, trade associations, and contractors' groups; local, state, and Federal disadvantaged business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in the recruitment and placement of DBEs.
11. All bidders shall keep records of efforts to solicit and negotiate with DBEs, using the Solicitation Log as a continuing record of pre- and post-letting solicitation activity. When submitting a DBE Schedule of Utilization to the City, the Apparent Low Bidder will attach the log, together with the supplemental information specified in the instructions for the Solicitation Log as evidence of good-faith efforts when the established DBE goal(s) for the contract have not been met utilizing certified DBEs. Such supplemental efforts shall include at least the following:
  - a. All envelopes of solicitation inquiries that were returned as undeliverable; and
  - b. Any quotations submitted by DBEs that are not included in the DBE Schedule of

Utilization with an explanation for the Bidder's action in case.

12. Promptly executing an agreement with DBE Subcontracts/vendors.

The DBE Compliance Officer will review the data submitted under this section to determine whether the DBE requirements have been satisfied through good faith efforts.



**NYC Department of Transportation  
Contractor Report of Contract Payments**

<p align="center"><b>Final Report</b></p> <p><input type="radio"/> Yes    <input type="radio"/> No</p>	<p align="center"><b>PIN &amp; Contract #</b></p> <div style="border: 1px solid black; height: 20px; width: 100%;"></div>	<p align="center"><b>County</b></p> <div style="border: 1px solid black; height: 20px; width: 100%;"></div>	<p align="center"><b>Report Date</b></p> <div style="border: 1px solid black; height: 20px; width: 100%;"></div>
--	---	---	--

<p><b>Contractor Name and Address</b></p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>	<p><b>Subcontractor/Vendor Name and Address</b></p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> <p><input type="checkbox"/> Check if firm is CERTIFIED D/M/WBE</p>
---	--

<p><b>Contractor Federal Identification Number</b></p> <div style="border: 1px solid black; height: 20px; width: 100%;"></div>	<p><b>Subcontractor/Vendor Federal Identification Number</b></p> <div style="border: 1px solid black; height: 20px; width: 100%;"></div>
--	--

<p align="right">Total Payments Due to Date:</p>	
<p align="right">- Withholding to Date:</p>	
<p align="right"><b>= Total Payments to Date:</b></p>	

**Comments:**

**Certification**

Section 139-f of the State Finance Law requires the contractor to pay each of its subcontractors and/or material supplier the proceeds from the payment representing the value of work performed and/or materials furnished by the subcontractor and/or material supplier within 7 calendar days of the receipt of any payment from the public owner.

<p>As an officer or the Contractor identified above, and based on my personal knowledge, I certify that payment has been made by the Contractor to the Subcontractor/Vendor in the amount herein, and that said work/services/product was performed/supplied by said Subcontractor/Vendor and that there were no rebates, refunds, or offsets applied to any payments except as noted under "Comments" above and a copy of this form has been sent to the Subcontractor/Vendor.</p> <p><b>Signed:</b> _____</p> <p><b>Title:</b> _____ <b>(For Contractor)</b></p>	<p align="center"><b>PAYMENT CERTIFICATION</b></p> <p>As an officer of the Subcontractor/Vendor identified above, and based on my personal knowledge, I certify that payment has been received in the amount stated herein, and that said work/services/product was performed/supplied and supervised solely by the Subcontractor/Vendor and that there were no rebates, refunds, or offsets applied to any payments except as noted under "Comments" above.</p> <p><b>Signed:</b> _____</p> <p><b>Title:</b> _____ <b>(For Subcontractor/Vendor)</b></p>
--	---

**Notarization**

<p><b>Sworn before me this</b> _____</p> <p><b>Day of</b> _____, _____</p> <p align="center">_____ <b>Notary Public</b></p>	<p><b>Sworn before me this</b> _____</p> <p><b>Day of</b> _____, _____</p> <p align="center">_____ <b>Notary Public</b></p>
---	---

**Any person who makes a false or fraudulent statement in connection with participation of a DBE on any assisted program or otherwise violates applicable State and/or Federal statutes may be referred for prosecution under applicable State and/or Federal law.**

**Note to Subcontractor: If the Contractor has not paid your firm for the work completed and accepted by the Project Sponsor in accordance with the terms specified on this form, please contact the Engineer in Charge for the contract.**

NYCDOT AAP 21LL (FTA) Form Instructions:

**Final Report:** Check YES or NO, as appropriate, to indicate whether this will be the Final Report submitted for this vendor.

**Contract No:** Enter NYC DOT PIN (Project Identification Number) and Local Project contract number.

**County:** Enter the name of the county or counties this project is located in.

**Report Date:** Enter date (Month/Day/Year) through which payments due and made are reflective of.

**Contractor and Vendor Data:** Enter names, and addresses (including zip code), Telephone numbers (including area codes) and Federal Identification Numbers for both the Contractor and Vendor.

**Total Payments Due to Date:** Enter total of payments due to the vendor to date.

**Withholding to Date:** Enter amount due vendor that has not been paid. Any withholding must be explained in the Comments section.

**Total Payment to Date:** Value of payments due to date less withholding.

**Comments:** Amounts recorded as withholding must be accompanied by a brief description of the circumstances necessitating the withholding along with item numbers involved (if any). If there is not enough space, then attach a letter of explanation to this form.

**Signatures:** Authorized representatives of both the Prime Contractor and Subcontractor/Vendor sign and date.

**Notarization:** The signatures must be notarized by a duly registered Notary Public.

The AAP 21LL is a cumulative to-date report of the total payments due a vendor, total withholdings, and total payments made to the vendor. The AAP 21LL is to be submitted and notarized by the 15th day of the following month to the Regional Local Project Liaison (RLPL) for each vendor due payment during the previous month or when requested by the Project Sponsor or the NYC Department of Transportation. The dollar values on this report should be accurate through the last day of the previous month. The Final AAP 21LL should be submitted as soon as possible after the vendor has completed/supplied all of the work/service/products for which it was utilized, but not later than 30 days after the vendor/subcontractor has completed its commitment.

The Prime Contractor shall submit a copy of the AAP 21LL signed by an authorized representative of their firm, to the Sponsor and to each vendor due payment on the project.

The Prime Contractor shall inform the Vendor of its responsibility to review the form for accuracy, to sign and return the form to the Sponsor, and to have the Vendor's signature on the AAP 21LL.

This report is a written instrument within the meaning of Section 175.00 of the Penal law. I am fully aware that it will be filed with the New York City Department of Transportation and become a part of the records thereof and that entering any false information hereon constitutes the crime of offering a false instrument for filing in the first degree, which is a Class E Felony. (Penal Law, Section 175.35)



### DESIGNATION OF AFFIRMATIVE ACTION REPRESENTATIVES BY CONTRACTORS/SUBCONTRACTORS

In accordance with Equal Employment Opportunity (EEO) and Disadvantaged Business Enterprise (DBE) Utilization participation requirements of the New York City Department of Transportation contract identified below, the following information shall be furnished by the contractor and all subcontractors prior to approval to work.

1. **Contract No.** \_\_\_\_\_ 2. **County** \_\_\_\_\_

3. **Contractor:**  or **Subcontractor:**   
Name \_\_\_\_\_  
Address \_\_\_\_\_  
City/State/Zip \_\_\_\_\_

4. **Equal Employment Opportunity Officer:**  
Name \_\_\_\_\_  
Title \_\_\_\_\_  
Address \_\_\_\_\_  
City/State/Zip \_\_\_\_\_  
Telephone ( ) \_\_\_\_\_

5. **Contract Site Equal Employment Opportunity Representative:**  
Name \_\_\_\_\_  
Title \_\_\_\_\_  
Address \_\_\_\_\_  
City/State/Zip \_\_\_\_\_  
Telephone ( ) \_\_\_\_\_

6. **Disadvantaged/ Minority/Women’s Business Enterprise (D/M/WBE) Officer:**  
Name \_\_\_\_\_  
Title \_\_\_\_\_  
Address \_\_\_\_\_  
City/State/Zip \_\_\_\_\_  
Telephone ( ) \_\_\_\_\_

7. **Designation Submission:**  Initial  Revised

This form shall accompany DBE pre-award submittals AAPHC 89 to the Contract Compliance Unit.

**PRE-AWARD DBE TRUCKING COMMITMENT INFORMATION**

Contract No.	PIN

Project Sponsor	County

**Supervisor of the day-to-day DBE trucking operation is:**

--

DBE Trucking Firm:	Owned	Leased	Total
No. of Trucks			0

Number of Trucks Performing Work	Rate (\$)	Per	Duration/No.	Commitment (\$)
On-Site				\$0.00
Off-Site				\$0.00
<b>TOTAL</b>				<b>\$0.00</b>

DBE Trucking Firm:	Owned	Leased	Total
No. of Trucks			0

Number of Trucks Performing Work	Rate (\$)	Per	Duration/No.	Commitment (\$)
On-Site				\$0.00
Off-Site				\$0.00
<b>TOTAL</b>				<b>\$0.00</b>

DBE Trucking Firm:	Owned	Leased	Total
No. of Trucks			0

Number of Trucks Performing Work	Rate (\$)	Per	Duration/No.	Commitment (\$)
On-Site				\$0.00
Off-Site				\$0.00
<b>TOTAL</b>				<b>\$0.00</b>

NOTE: A Low Bidder that submits a DBE Utilization Pre-Award Package that includes amount(s) for trucking, must complete this form to show how the commitment amount was estimated. For any long-term leased truck, submit a copy of the lease agreement. Rate should be stated as \$/day, \$/hour, \$/load, etc. and estimated duration/number of loads. (i.e., 1 truck @ \$400/day for 3 weeks = \$6,000)

**NEW YORK CITY  
DEPARTMENT OF TRANSPORTATION  
DBE UTILIZATION WORKSHEET**

<b>CONTRACT No.</b>	<b>COUNTY</b>	<b>F. A. PROJECT No.</b>	<b>PAGE No.</b> ____ OF ____	<b>DATE SUBMITTED</b>
---------------------	---------------	--------------------------	---------------------------------	-----------------------

<b>CONTRACTOR</b>		<b>SUBCONTRACTOR</b>		
<b>NAME</b>	_____	<b>NAME</b>	_____	
<b>ADDRESS</b>	_____	<b>ADDRESS</b>	_____	
<b>PHONE</b>	_____	<b>PHONE</b>	_____	
<b>FED. ID No.</b>	_____	<b>FED. ID No.</b>	_____	

The Contractor shall inform the Engineer in Charge the dates when the Subcontractor starts and completes all work under the subcontract. When work performed by the Subcontractor is included in an estimate for payment, labor affidavits, copies of payrolls, etc. are to be submitted in the same manner and number as required of the Prime Contractor.

<b>EST. BEGINNING DATE</b> (Mo & Yr) _____/_____/____	<b>EST. COMPLETION DATE</b> (Mo & Yr) _____/_____/____
--	---

This approval may be rescinded at any time in the progress of the work if work of the Subcontractor is determined unsatisfactory.

No Work may be assigned by the Subcontractor to a second tier Subcontractor. No work may be performed by a Subcontractor other than that specifically approved by the Contract Compliance Director. The signators below agree that violations of the foregoing may result in no payment by the City for the related work.

No work shall be started by the Subcontractor prior to filing the required insurances. The contractor and Subcontractor hereby certify that the subcontract is in writing, and contains all the pertinent provisions of the prime contract in regard to Federal, State, and City Laws and Regulations.

_____ <b>Contractor's Signature</b>	_____ <b>Date</b>	_____ <b>Subcontractor's Signature</b>	_____ <b>Date</b>
--	----------------------	---	----------------------

	ITEM No.	NAME	< 100 %	BID AMOUNT		AGREED AMOUNT \$	% to CNT
				\$ SPECIALTY	\$ NON-SPECIALTY		
1							
2							
3							
4							
5							
6							
7							
8							
9							
<b>TOTALS:</b>				\$	\$	\$	

The Subcontractor named above is approved for utilization under the DBE General Provisions. Approval of this worksheet conveys only the Department's concurrence in the use of the named subcontractor for the items specified, and application of the DBE Agreed Amount to the participation goals of the contract. CCU approval of an Approval to Subcontract form AAPHC 89 is required prior to subletting or otherwise assigning any part of the contract.

<b>APPROVED FOR OFFICE OF EQUAL OPPORTUNITY DEVELOPMENT AND COMPLIANCE BY:</b>	<b>DATE APPROVED</b> ____/____/____
--	--

NEW YORK CITY  
DEPARTMENT OF TRANSPORTATION  
**DBE UTILIZATION WORKSHEET**

**New York City Department of Transportation DBE General Provisions requires that prior to contract award , Contractors must obtain written consent of the NYCDOT to a utilization plan that identifies certified disadvantaged owned business enterprises that have committed to perform work on a proposed contract. Authority for approval of utilization has been delegated to the Contract Compliance Unit (CCU). The DBE Utilization Worksheet is used to describe in item detail the utilization plan for each proposed subcontractor.**

**DBE Provisions require Prime Contractors to obtain written consent of the Department prior to subletting or otherwise assigning any part of the contract. Authority for approval to subcontract has been delegated to the Contract Compliance Unit.**

**The DBE Utilization Worksheet has been designated for use as form AAPHC 89. When submitting forms for firms included in the Contractor’s Utilization Plan, prepare a signed copy as described below. All DBE Utilization Worksheets are to be submitted directly to CCU as attachments to a revised Utilization Plan, form AAP 19.**

**CONTRACT No.:** Enter New York City contract number. (Example: BRC100)

**COUNTY:** Enter name of county or counties of this project. (Example: Bronx)

**F.A. Project No.:** Enter only for Federal Aid Projects. (Example: I-87-3(177))

**PAGE No.:** Enter 1 of 1, 1 of 2, or 2 of 2 etc. Use additional forms as needed.

**DATE SUBMITTED:** Enter date completed forms are submitted to OCC (MM/DD/YY)

**CONTRACTOR AND SUBCONTRACTOR DATA:** Enter names, and addresses (including ZIP code), telephone numbers (including area codes) and Federal Identification Numbers for both the Contractor and Subcontractor.

**EST. BEGINNING DATE:** Enter estimated month and year in which subcontractor work will begin.

**EST. COMPLETION DATE:** Enter estimated month and year in which subcontractor work will completed.

**SIGNATURES:** Authorized representatives of both the prime and subcontractor sign and date.

**ITEM No. AND NAME:** Enter each item or specification number and name. If only part of an item is to be subcontracted check the “less than 100%” box and attach a description of the specific work to be performed.

**BID AMOUNT:** Enter the prime contractor total bid price for items of work being subcontracted, item by item, under appropriate heading of “Specialty” or Non-Specialty” and enter totals for each “Specialty” items, if any, are designated in the contract proposal. If only part of an item is to be subcontracted enter the amount of the prime contractor bid amount that represents the portion of the item that is being subcontracted: For other than subcontract work, i.e. material supplier and off-site trucking or other services no entry is required under “Specialty” or “Non-Specialty” headings.

**DBE ONLY AGREED AMOUNT:** In addition to completing the appropriate bid amount columns as described above on the utilization worksheet enter the agreed amount for each item of work to be performed by a certified DBE. Indicate if the contractor’s Utilization Plan whether subcontractor, material supplier, trucker or provider of other services

**TOTALS:** Enter the sum of all Bid Amounts and DBE Agreed Amounts, if any.

---

Subcontractor Approvals and Approval Amendments will be sequentially numbered for each prime contract in the order that may be approved. An approved copy will be provided to the prime contractor and the Engineer-in-Charge of the contract in each instance.

---

**NEW YORK CITY  
DEPARTMENT OF TRANSPORTATION  
DBE UTILIZATION WORKSHEET AMENDMENT**

<b>CONTRACT No.</b>	<b>COUNTY</b>	<b>F. A. PROJECT No.</b>	<b>PAGE No.</b> ____ OF ____	<b>DATE SUBMITTED</b>
---------------------	---------------	--------------------------	---------------------------------	-----------------------

<p align="center"><b>CONTRACTOR</b></p> <p><b>NAME</b> _____</p> <p><b>ADDRESS</b> _____</p> <p>_____</p> <p><b>PHONE</b> _____</p> <p><b>FED. ID No.</b> _____</p>	<p align="center"><b>SUBCONTRACTOR</b></p> <p><b>NAME</b> _____</p> <p><b>ADDRESS</b> _____</p> <p>_____</p> <p><b>PHONE</b> _____</p> <p><b>FED. ID No.</b> _____</p>
---	--

<p>The Contractor shall inform the Engineer in Charge the dates when the Subcontractor starts and completes all work under the subcontract. When work performed by the Subcontractor is included in an estimate for payment, labor affidavits, copies of payrolls, etc. are to be submitted in the same manner and number as required of the Prime Contractor.</p>	<p align="center"><b>EST. BEGINNING DATE</b></p> <p>(Mo &amp; Yr)____/____</p>	<p align="center"><b>EST. COMPLETION DATE</b></p> <p>(Mo &amp; Yr)____/____</p>
--	--	---

This approval may be rescinded at any time in the progress of the work if work of the Subcontractor is determined unsatisfactory.

No work may be assigned by the Subcontractor to a second tier Subcontractor. No work may be performed by a Subcontractor other than that specifically approved by the Contract Compliance Director. The signatories below agree that violations of the foregoing may result in no payment by the City for the related work.

No work shall be started by the Subcontractor prior to filing the required insurances. The contractor and Subcontractor hereby certify that the subcontract is in writing, and contains all the pertinent provisions of the prime contract in regard to Federal, State, and City Laws and Regulations.

<b>Contractor' Signature</b>	<b>Date</b>	<b>Subcontractor's Signature</b>	<b>Date</b>
------------------------------	-------------	----------------------------------	-------------

**ONLY LIST ITEMS TO BE ADDED, DELETED, INCREASED OR DECREASED: See Instructions.**

ITEM No.	NAME	Previous or New Entry	< 100%	BID AMOUNT		AGREED AMOUNT \$	% to CNT
				\$ SPECIALTY	\$ NON-SPECIALTY		
1		PREV					
		NEW					
2		PREV					
		NEW					
3		PREV					
		NEW					
4		PREV					
		NEW					
5		PREV					
		NEW					
Total all PREV Bid Amounts & D/M/WBE Agreed Amounts:							
Total all NEW Bid Amounts & D/M/WBE Agreed Amounts:							
<b>NET TOTAL AMENDMENTS:</b>				<b>\$</b>	<b>\$</b>	<b>\$</b>	

The Subcontractor named above is approved for utilization under the DBE General Provisions. Approval of this worksheet conveys only the Department's concurrence in the use of the named subcontractor for the items specified, and application of the DBE Agreed Amount to the participation goals of the contract. CCU approval of an Approval to Subcontract (Amended) form AAPHC 89-1 is required prior to subletting or otherwise assigning any new work shown on this worksheet.

<b>APPROVED FOR OFFICE OF EQUAL OPPORTUNITY DEVELOPMENT AND COMPLIANCE BY:</b>	<b>DATE APPROVED</b> / /
--	-----------------------------

**INSTRUCTIONS FOR COMPLETING FORM AAPHC 89-1  
DBE UTILIZATION WORKSHEET AMENDMENT**

New York City Department of Transportation DBE Provisions requires that prior to contract award; Prime Contractors must obtain written consent of the Department to a utilization plan that identifies certified disadvantaged owned business enterprises that have committed to perform work on a proposed contract. Authority for approval of utilization has been delegated to the Contract Compliance Unit (CCU). The DBE Utilization Worksheet is used to describe in item detail the utilization plan for each proposed subcontractor. The DBE Utilization Worksheet Amendment is used to describe in item detail any change (addition, subtraction, increase and/or decrease) to a previously approved worksheet.

DBE Provisions require Prime Contractors to obtain written consent of the Department prior to subletting or otherwise assigning any part of the contract. Authority for approval to subcontract has been delegated to the Contract Compliance Unit.

The DBE Utilization Worksheet Amendment has been designed for use as form AAPHC 89-1, when submitting forms for firms included in the Contractor's Utilization Plan, prepare a signed copy as described below. All DBE Utilization Worksheet Amendments are to be submitted directly to OCC as attachments to a revised Utilization Plan, form AAP 19.

Approval of the Utilization Worksheet Amendment conveys only the Department's concurrence in the use of the named subcontractor for the items specified, and application of the DBE Agreed Amount to the participation goals of the contract.

Only one DBE Utilization Worksheet is to be submitted for each subcontractor on this prime contract. DO NOT submit amendments to the item(s) or amount(s) of work proposed for a subcontractor on another form AAPHC 89. After initial forms have been filed for a given subcontractor, any amendments to the item(s) or amount(s) of work to be performed by this subcontractor will be submitted on form AAPHC 89-1.

Examples: (1) To add or delete items of work and/or increase or decrease the value of an item of work on a previously approved Utilization Worksheet: complete form AAPHC 89-1.

(2) To transfer part of a previously approved Utilization Worksheet from one subcontractor to another previously approved subcontractor: complete two sets of forms AAPHC 89-1. On the first request approval to decrease previously approved value(s) and on the second request approval to increase previously approved value(s).

(3) To transfer part of a previously approved Utilization Worksheet from one subcontractor to a new, not previously approved subcontractor: complete form AAPHC 89-1 and one form AAPHC 89. On the form AAPHC 89-1, request approval to decrease the value of a previously approved subcontract; on the form AAPHC 89, request approval to execute an entirely new subcontract with a new subcontractor.

**AN AMENDMENT THAT REDUCES THE UTILIZATION OF AN APPROVED DBE MUST BE ACCOMPANIED BY SUPPORTING DOCUMENTATION (i.e.. a letter of unavailability from the DBE).**

CONTRACT NO.: Enter NYC contract number. Example: BRC100

COUNTY: Enter name of county or counties. Example: Manhattan & Brooklyn

F.A. PROJECT No.: Enter only for Federal-Aid projects. Example: I-87-3(177)

## Appendix C

### **DETERMINING GOOD FAITH EFFORTS**

To determine whether a bidder that has failed to meet the DBE contract goal(s) may receive the contract, the Department will decide whether the efforts the Bidder made to obtain DBE participation were “good faith efforts” to meet the goal(s). Efforts that are merely pro forma are not good faith efforts to meet the goal(s). Efforts to obtain DBE participation are not good faith efforts to meet the goal(s), even if they are sincerely motivated, if, given all relevant circumstances, they could not reasonably be expected to produce a level of DBE participation sufficient to meet the goal(s).

In order to evaluate the Bidder’s conformance to this subsection, the Department will consider the quality, quantity, and intensity of the different kinds of efforts that the Bidder has made. The following is a list of the types of actions which the Department will consider as part of the Bidder’s good faith efforts to obtain DBE participation. It is not intended to be a mandatory checklist, nor is it intended to be exhaustive or exclusive. Other factors or types of efforts may be relevant in appropriate cases.

The following is a list of the kinds of efforts that the City will evaluate to determine if the Bidder has demonstrated a good faith effort:

1. Efforts to secure participation by certified DBE firms for work that they are listed to perform that is in the contract. Only DBEs certified by the NYSUCP shall be used to fulfill the established goal on Federal-Aid contracts.
2. Soliciting through all reasonable and available means (e.g., attendance at pre-bid meetings, advertising and/or written notices) the interest of all certified DBEs who have the capability to perform the work of the contract. The Bidder shall solicit this interest within sufficient time to allow the DBEs to respond to the solicitation. The Bidder shall determine with certainty if the DBEs are interested by taking appropriate steps to follow up on initial solicitations.
3.
  - a. The Bidder shall, at a minimum, seek certified DBEs in the same geographic region where the contract is located. This is defined as a one hundred (100) kilometer radius around the city, town or borough where the contract is located as identified in the contract proposal. For specialty work such as pavement markings, guide rail, etc. (as defined in the contract proposal) the Bidder shall, at a minimum, solicit on an upstate or downstate basis, depending upon the location of the contract.
  - b. The Department has facilitated identification of upstate, downstate and areas within a 100 km radius through the NYSUCP website, which is accessible on the Internet at [www.nysucp.net](http://www.nysucp.net). For more information contact the NYCDOT at (212) 839-9411. For bidders who do not have internet capability, a hard copy solicitation report for a specific contract can be requested by contacting the Office of Contract and Compliance Unit at (212) 839-9411.

4. Selecting portions of the work to be performed by DBEs in order to increase the likelihood that the DBE goal(s) will be achieved. This includes, where appropriate, either breaking down operations within the contract or combining like or related operations in the contract into logistically and economically feasible units to facilitate DBE participation, even when the Contractor might otherwise prefer to perform these work items with its own forces.
5. Providing interested DBEs with adequate information about the plans, specifications, and requirements of the contract in a timely manner to assist them in responding to a solicitation.
6.
  - a. Negotiating in good faith with interested DBEs. It is the Bidder's responsibility to make a portion of the work available to DBE's Subcontractors and material suppliers and to select those portions of the work or material needs consistent with the available DBE's Subcontractors and material suppliers, so as to facilitate DBE participation. Evidence of such negotiation includes the names, addresses, and telephone numbers of DBEs that were considered; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why additional agreements could not be reached for DBEs to perform the work.
  - b. The fact that there may be some additional cost involved in finding and using DBEs is not itself sufficient reason for a bidder's failure to meet contract DBE goal(s), as long as such cost are reasonable. The ability or desire of a bidder to perform the work of a contract with its own organization does not relieve the Bidder of the responsibility to make good faith efforts. Bidders are not, however, required to accept higher quotes from DBEs if the price difference is excessive or unreasonable.
7. Not rejecting DBEs as unqualified without sound reasons based on a thorough investigation of their capabilities.
8. Making efforts to assist interested DBEs in obtaining bonding, lines of credit or insurance as required by the City.
9. Making efforts to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance.
10. Effectively using the services of available disadvantaged business focused media, trade associations, and contractors' groups; local, state, and Federal disadvantaged business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in the recruitment and placement of DBEs.
11. All bidders shall keep records of efforts to solicit and negotiate with DBEs, using the Solicitation Log as a continuing record of pre- and post-letting solicitation activity. When submitting a DBE Schedule of Utilization to the City, the Apparent Low Bidder will attach the log, together with the supplemental information specified in the instructions for the Solicitation Log as evidence of good-faith efforts when the established DBE goal(s) for the contract have not been met utilizing certified DBEs. Such supplemental efforts shall include at least the following:
  - a. All envelopes of solicitation inquires that were returned as undeliverable; and
  - b. Any quotations submitted by DBEs that are not included in the DBE Schedule of Utilization with an explanation for the Bidder's action in case.



12. Promptly executing an agreement with DBE Subcontracts/vendors.

The DBE Compliance Officer will review the data submitted under this section to determine whether the DBE requirements have been satisfied through good faith efforts.

## NYC Department of Transportation DBE SOLICITATION LOG

NYC DOT  
AAP10  
(01/14)

Contract No. \_\_\_\_\_ County \_\_\_\_\_ Letting Date \_\_\_/\_\_\_/\_\_\_ Date Submitted \_\_\_/\_\_\_/\_\_\_ Page \_\_\_ of \_\_\_

Contractor Name & Address \_\_\_\_\_ Contract Name: \_\_\_\_\_

\_\_\_\_\_ E-Mail: \_\_\_\_\_

Telephone No: ( ) - \_\_\_\_\_

	Firm Name Contact	Program	Telephone No. E-Mail Address	NYSDOT Work Code(s)	Date of Contact	Method(s) of Contact	DBE Response Code(s)	Bidder Action Code(s)
1		Select One	( ) -		//	Select One		
					//	Select One		
					//	Select One		
2		Select One	( ) -		//	Select One		
					//	Select One		
					//	Select One		
3		Select One	( ) -		//	Select One		
					//	Select One		
					//	Select One		
4		Select One	( ) -		//	Select One		
					//	Select One		
					//	Select One		
5		Select One	( ) -		//	Select One		
					//	Select One		
					//	Select One		
6		Select One	( ) -		//	Select One		
					//	Select One		
					//	Select One		
7		Select One	( ) -		//	Select One		
					//	Select One		
					//	Select One		
8		Select One	( ) -		//	Select One		
					//	Select One		
					//	Select One		
9		Select One	( ) -		//	Select One		
					//	Select One		
					//	Select One		
10		Select One	( ) -		//	Select One		
					//	Select One		
					//	Select One		

**DBE Response Codes** 11-Submitted Written Quote 12- Submitted Verbal Quote 13 -Negotiating with prime 14- Developing Quote  
 21- Not Certified for items(s) 22- Location Unacceptable 23- No Price Agreement 24- No Time for Bid 25- Schedule Unacceptable 26- Other  
**Bidder Codes:** 31- Selected 32- Unavailable 33- No Longer in Business 34- Undeliverable 35- Unreachable 36- Unresponsive 37- Not Selected

**Appendix D**

**PROMPT PAYMENT AFFIDAVIT**

**(SAMPLE PRIME CONTRACTOR PROMPT PAYMENT AFFIDAVIT)**

Contractor will place a check in the appropriate box below that applies to this payment request.

Re: Payment Request No. \_\_\_\_\_

I, \_\_\_\_\_ (Name), the \_\_\_\_\_  
(Title - e.g., President, Vice President, etc.) of \_\_\_\_\_  
("Company"), do state the following with regard to payments made under Contract No.  
\_\_\_\_\_ ("Contract"):

1. \_\_\_\_ Subcontractors, at the first tier, both DBE and non-DBE, who completed work and were listed for payment on the prior Payment Request No. \_\_\_\_\_, were paid no later than thirty (30) business days after Company received payment from the City.
  
2. \_\_\_\_ Copies of invoices and cancelled checks for subcontractors at the first tier who were paid under the prior payment request have been delivered or mailed to NYCDOT Contract Compliance Department. In addition, the Company has attached to the current Payment Request all liens and or waivers for prior subcontractor payments and any other documentation required by the City. **(Failure to attach all required documentation to the Payment Request or forward cancelled checks and invoices to NYCDOT Contract Compliance Department may cause the Payment Request to be rejected by the City).**
  
3. \_\_\_\_ There was no delay in or postponement of any payment owed to a DBE subcontractor, whether periodic payment or retainage amount, except for good cause and after receipt of prior written approval from the City

\_\_\_\_\_  
Company Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Print Name

Date: \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_.

\_\_\_\_\_  
Notary Public

**Appendix E**

**SAMPLE PRIME CONTRACTOR AWARD LETTER**

Date

Prime Contractor Winner, Inc.  
Attn: Mr. Buck Stops Here, President  
1111 Bottom Line Street  
Anywhere, New York 10000

Re: Contract Name and/or Description

Dear Mr. John Doe:

Your Company was awarded and recently executed the above listed contract with NYCDOT. Part of the consideration in awarding the contract was the Disadvantaged Business Enterprise (DBE) participation that you listed in the bid/proposal document.

Please be advised that you will be required to meet your Disadvantaged Business Enterprise Goals of 11%

You have listed the following DBE firm(s) and the dollar amounts of their subcontracts:

<b>ABC Electrical Co.</b>	<b>Electrical Contracting</b>	<b>\$xx,xxx</b>
<b>DEF Plumbing</b>	<b>Plumbing Contracting</b>	<b>\$xx,xxx</b>
<b>GHI Roofing</b>	<b>Roofing Contracting</b>	<b>\$xx,xxx</b>
<b>JKL Printing Co.</b>	<b>Printing</b>	<b>\$xx,xxx</b>

The DBE firm(s) listed above represent(s) your commitment to NYCDOT's DBE program and each respective DBE firm.

To ensure the integrity of the DBE program, NYCDOT has developed DBE compliance procedures that should be followed during this contract. NYCDOT's DBE Department and Contract Administrator should be notified in writing prior to any material changes from the above commitments. Also, any changes should be for real and substantial reasons. Frivolous and/or unsubstantiated changes are unacceptable.

Please provide to the DBE Department, within 3 calendar days of execution, a copy of your executed subcontract with each DBE firm. A letter of commitment signed by both an authorized representative of your firm and the DBE firm may be submitted instead of signed subcontracts. The letter should verify the subcontract dollar amount, the general work scope, **and affirm the absence of subcontract restrictions or requirements that are unfair, burdensome, outside of normal business practices, unjustly punitive, etc.** There should be a letter for each DBE firm.

Also, you **must** attach NYCDOT's **DBE Expenditure Report** (see contract compliance manual) with **each** invoice/payment request that you submit to NYCDOT's Contract Administrator. This report is designed to provide an accounting of monthly and year-to-date payments made to the DBE firm(s) that you have subcontracted with above.

The DBE Expenditure Report reflects the dollars that **will be paid** to each DBE firm from your **submitted invoice/payment request**. Evidence of payments (i.e. copy of canceled checks, copy of check register, etc.) may be periodically requested. NYCDOT **may not** authorize payment unless the DBE Expenditure Report accompanies your invoice/payment request.

Finally, please submit a DBE projected work schedule (i.e. a breakdown by month of expected DBE activity). Updates of the projected work schedule should be submitted as needed over the life of the contract.

Failure to comply may result in breach of contract and it may jeopardize future contracts with NYCDOT.

If you have any questions you may contact NYCDOT's Contract Compliance Unit at (212) 839-9411 or email us at [accomail@dot.nyc.gov](mailto:accomail@dot.nyc.gov).

Thank you for your continued commitment and cooperation.

Cordially,

DBE Administrator

cc: Every DBE Firm Listed Above  
Appropriate Project Manager, NYCDOT  
Contracts Administrator, NYCDOT  
Purchasing Administrator, NYCDOT  
DBE File, NYCDOT  
Others As Needed

## **Appendix F**

### **MINORITY OWNED FINANCIAL INSTITUTIONS**

All contractors are encouraged to use Minority Owned Financial Institutions. A list can be found at <http://www.federalreserve.gov/releases/mob/>.

**Appendix A4 - Attachment 3**

**PROMPT PAYMENT AFFIDAVIT**

(SAMPLE PRIME CONTRACTOR PROMPT PAYMENT AFFIDAVIT)

Contractor will place a check in the appropriate box below that applies to this payment request.

I, \_\_\_\_\_ (Name), the \_\_\_\_\_ (Title - e.g., President, Vice President, etc.) of \_\_\_\_\_ ("Company"), do state the following with regard to payments made under Contract No. \_\_\_\_\_ ("Contract"):

1. \_\_\_\_\_ Subcontractors, at the first tier, both DBE and non-DBE, who completed work and were listed for payment on the prior Payment Request No. \_\_\_\_\_, were paid no later than thirty (30) business days after Company received payment from the City.
2. \_\_\_\_\_ Copies of invoices and cancelled checks for subcontractors at the first tier who were paid under the prior payment request have been delivered or mailed to NYCDOT Contract Compliance Department. In addition, the Company has attached to the current Payment Request all liens and or waivers for prior subcontractor payments and any other documentation required by the City. (Failure to attach all required documentation to the Payment Request or forward cancelled checks and invoices to NYCDOT Contract Compliance Department may cause the Payment Request to be rejected by the City).
3. \_\_\_\_\_ There was no delay in or postponement of any payment owed to a DBE subcontractor, whether periodic payment or retainage amount, except for good cause and after receipt of prior written approval from the City.

Company Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Print Name: \_\_\_\_\_

Date: \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

Appendix A5

**BUY AMERICA CERTIFICATION**

**Certification requirement for procurement of steel, iron, or manufactured products.**

*Certificate of Compliance with 49 U.S.C. 5323(j)(1)*

The bidder or offeror hereby certifies that it will meet the requirements of 49 U.S.C. 5323(j)(1) and the applicable regulations in 49 CFR Part 661.

Date 3-13-23

Signature \_\_\_\_\_

Company Name J.P. Russo Landscaping Corp.

Title Vice President

*Certificate of Non-Compliance with 49 U.S.C. 5323(j)(1)*

The bidder or offeror hereby certifies that it cannot comply with the requirements of 49 U.S.C. 5323(j)(1), but it may qualify for an exception pursuant to 49 U.S.C. 5323(j)(2)(B) or (j)(2)(D) and the regulations in 49 CFR 661.7.

Date \_\_\_\_\_

Signature \_\_\_\_\_

Company Name \_\_\_\_\_

Title \_\_\_\_\_

**Certification requirement for procurement of buses, other rolling stock and associated equipment.**

*Certificate of Compliance with 49 U.S.C. 5323(j)(2)(C).*

The bidder or offeror hereby certifies that it will comply with the requirements of 49 U.S.C. 5323(j)(2)(C) and the regulations at 49 CFR Part 661.

Date 3-13-23

Signature \_\_\_\_\_

Company Name J.P. Russo Landscaping Corp.

Title Vice President



**Appendix A5: Buy America Certification**

*Certificate of Non-Compliance with 49 U.S.C. 5323(j)(2)(C)*

The bidder or offeror hereby certifies that it cannot comply with the requirements of 49 U.S.C. 5323(j)(2)(C), but may qualify for an exception pursuant to 49 U.S.C. 5323(j)(2)(B) or (j)(2)(D) and the regulations in 49 CFR 661.7.

Date \_\_\_\_\_

Signature \_\_\_\_\_

Company Name \_\_\_\_\_

Title \_\_\_\_\_

**MM - PAGES:**

**MULTI-MODAL (MM)  
CAPITAL PROJECT ATTACHMENTS**

---

**(NO TEXT ON THIS PAGE)**

# MULTI-MODAL (MM) PROGRAM FUNDING ATTACHMENT

THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
INFRASTRUCTURE DIVISION  
BUREAU OF DESIGN

## **THIS ATTACHMENT IS HEREBY MADE A PART OF THE CONTRACT DOCUMENTS**

I. The requirements and exhibits listed below, and included in this Attachment, are made part of the Contract documents, and the Contractor will be responsible for compliance with all the provisions contained here, including, but not limited to:

- Minority and Women Owned Enterprise (M/WBE) Requirement;
- Equal Employment Opportunity (EEO) Requirement;
- Appendix A – Standard Clauses for New York State Contracts

II. Amendments to the NYC Department of Transportation Standard Highway Specifications, Volume I, General Conditions:

- i) Refer to Pages 36 through 38, Article 1.06.46. Project Sign;  
Add the following text to the end of Article 1.06.46:

“(B) ADDITIONAL MM PROJECT SIGN:

In addition to the Project Sign specified in Subsection 1.06.46.(A), above, the Contractor shall also be required to furnish and install an MM Project Sign as directed by the Engineer.

The MM Project Sign shall be posted and maintained upon the site at a point and in a prominent position where directed by the Commissioner. The Contractor shall protect and repair the sign from damage during the continuance of work under the Contract. In addition, the requirements for Sign Quality, Schedule, and Removal as specified under Subsection 1.06.46.(A)2, 3, and 4 shall also apply to the MM Project Sign and the sign panel material shall be the same as that used for the Project Sign required under Subsection 1.06.46.(A), but with the dimensions of the sign to be provided by the Engineer.”

## **III. MINORITY AND WOMEN'S BUSINESS ENTERPRISE (M/WBE) REQUIREMENT**

M/WBE is a general term that refers to a Minority Business Enterprise (MBE) or a Women's Business Enterprise (WBE). The M/WBE program applies to this contract.

The New York City Department of Design and Construction (DDC or the Department) seeks to:

- Ensure nondiscrimination in award and administration of Department contracts;
- Ensure that only firms that fully meet M/WBE eligibility standards are permitted to participate in the Department's M/WBE programs;
- Help remove barriers to the participation of M/WBEs in the performance of Department contracts;
- Create a level playing field on which M/WBEs can fairly compete for Department contracts; and
- Assist in the development of firms that can compete successfully in the construction industry outside the M/WBE programs.

The parties to this contract shall take all necessary and reasonable steps in accordance with the laws, rules and regulations cited in this subsection to promote the objectives outlined above. The Contractor shall comply with the applicable laws, rules and regulations and the M/WBE Program requirements stated below. The Contractor or Subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of Department contracts. Failure by the Contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the Department deems appropriate. The Contractor shall not use the requirements of these specifications to discriminate against any qualified company or group of companies. These requirements shall be made a part of all subcontracts and agreements entered into as a result of this contract.

**A. Statutory Authority.** The New York State (State) statutory authority for the M/WBE Program is contained in Section 85 of the Highway Law, Section 428 of the Transportation Law, and Executive Law Article 15-A. Regulations have been promulgated under 5 NYCRR 140-145. The parties to this contract shall comply with these laws, rules and regulations and the M/WBE Program requirements stated below.

**B. M/WBE Goal(s).** This contract has two separate and distinct goals, one for MBEs and one for WBEs, which cannot be combined. The Department will monitor the Contractor's attainments towards M/WBE goals in accordance with Part V herein, Civil Rights Monitoring and Reporting. M/WBE goals are as follows:

- **25%** Combined Minority and Women's Business Enterprise Participation, comprising of:
  - **10%** Minority Business Enterprise Participation
  - **15%** Women's Business Enterprise Participation

The Department has established contract utilization goal(s) for M/WBEs, which are expressed as a percentage of the total contract price. The goal(s) are stated above and remain in effect throughout the life of the contract. In executing the contract or bid documents the Bidder declares that it subscribes to the utilization goal(s) and shall meet the goal(s) or demonstrate that it could not meet them despite its best efforts. Failure to provide commitments to meet the established goal(s) for the contract or failure to meet the good faith efforts may be grounds for rejection of the bid as non-responsive.

**C. M/WBE Eligibility.** Only those M/WBE firms that are certified by the NYS Department of Economic Development are eligible to be used for goal attainment. M/WBE certification is not an endorsement of the quality or performance of the business but simply an acknowledgment of the firm's status as an M/WBE. The participation of a firm that is certified as an MBE cannot be counted toward a WBE goal, and the participation of a firm that is certified as a WBE cannot be counted toward an MBE goal. The participation of a firm that is certified as both an MBE and a WBE will only be counted toward one goal, and cannot be divided between the two goals. A business directory is available on the Empire State Development website at [www.esd.ny.gov/MWBE.html](http://www.esd.ny.gov/MWBE.html).

Additionally, the Contractor is encouraged to contact DDC Diversity and Industrial Relations/ M/WBE Compliance and Outreach to discuss additional methods of maximizing participation by M/WBEs contact Lea Mapp, M/WBE Outreach & Compliance Analyst at 718-391-1003.

**D. Counting M/WBE Participation Towards the M/WBE Goal(s).** The value of the work performed by a M/WBE, including that of a M/WBE prime contractor, with its own equipment, with its own forces, and under its own supervision will be counted toward the goal(s), provided the utilization is a commercially useful function. A M/WBE prime contractor shall still provide opportunities for participation by other M/WBEs. Work performed by M/WBEs on the contract will be counted as set forth below. If the Department determines that some or all of a M/WBE's work does not constitute a commercially useful function, only the portion of the work considered to be a commercially useful function will be credited toward the goal(s).

- 1. Subcontractors.** 100% of the value of the work performed by a M/WBE Subcontractor will be counted toward the M/WBE goal(s), including the cost of materials and supplies purchased by the M/WBE, except the cost of supplies or equipment rented or leased from the Contractor or its affiliates will not be counted.
- 2. Manufacturers/Fabricators.** 100% of the expenditure to a M/WBE Manufacturer or Fabricator will be counted toward the M/WBE goal(s). Manufacturers or Fabricators may provide materials to the Contractor, a Subcontractor, or other firm working on the contract for installation.
- 3. Material Suppliers.** 100% of the expenditure to an M/WBE Material Supplier will be counted toward the M/WBE goals. Packers, brokers, manufacturer's representatives, or other persons who arrange or expedite transactions are not Material Suppliers. Material Suppliers may provide materials to the Contractor, a Subcontractor, or other firm working on the contract for installation.
- 4. Brokers/Manufacturer's Representatives.** 100% of the expenditures for fees or commissions charged for assistance in the procurement of, or fees for transportation charges for the delivery of, materials or supplies provided by a M/WBE Broker/Manufacturer's Representative will be counted toward the M/WBE goal(s), provided they are determined by the Department to be reasonable and not excessive as compared with fees customarily

allowed for similar services. The cost of the materials and supplies themselves will not be counted. Brokers may supply materials to the Contractor, Subcontractor, or other firm working on the contract.

5. **Services.** 100% of the expenditure for fees charged by a M/WBE for providing a bona fide service, such as professional, technical, consultant, or managerial services, or for providing bonds or insurance specifically required for the performance of the contract will be counted toward the M/WBE goal(s), provided the fee is reasonable and not excessive as compared with fees customarily allowed for similar services.
6. **Trucking Operations.** A M/WBE trucking firm shall own and operate at least one registered, insured, and fully operational truck used on the contract and shall be responsible for the management and supervision of the trucking operation for which it is responsible, and the arrangement cannot be contrived solely for the purpose of meeting the M/WBE goal(s). The M/WBE trucking firm shall control the day-to-day M/WBE trucking operations, and shall be responsible for: (1) Negotiating and executing rental/leasing agreements; (2) Controlling the work force; (3) Coordinating the daily trucking needs with the Contractor or Subcontractor; and (4) Scheduling and dispatching trucks.
  - a. **M/WBE Owned/Leased Trucks.** 100% of the value of the trucking operations the M/WBE provides on the contract using trucks it owns or leases on a long-term basis that are registered, insured, and operated by the M/WBE using drivers it employs, will be counted toward the M/WBE goal(s).
  - b. **Other M/WBE Trucks.** The M/WBE may obtain trucks from another M/WBE, including an owner/operator. 100% of the value of the trucking operations that the other M/WBE provides will also be counted toward the M/WBE goal(s).
  - c. **Non-M/WBE Trucks.** The M/WBE may obtain trucks from a non-M/WBE, including an owner-operator. Only the value of the fee or commission that the M/WBE receives as a result of the arrangement with the non-M/WBE will be counted toward the M/WBE goal(s).
7. **Equipment Rental.** 100% of the expenditure to a M/WBE for equipment rental will be counted toward the M/WBE goal(s). The Contractor shall have a written rental agreement with the firm that rents the equipment.
- E. **Conditions of Participation.** M/WBE participation will be counted toward meeting the M/WBE contract goal(s), subject to the following conditions:
  1. **Commercially Useful Function.** A M/WBE is considered to perform a commercially useful function when it is responsible for the execution of a distinct element of work on a contract and carries out its responsibilities by actually performing, managing, and supervising the work involved in accordance with normal industry practice. Regardless of whether an arrangement between the Contractor and the M/WBE represent standard industry practice, if the

arrangement erodes the ownership, control or independence of the M/WBE or in any other way does not meet the commercially useful function requirement, the Contractor will receive no credit toward the goal(s) and may be required to backfill the participation. A M/WBE does not perform a commercially useful function if its role is limited to that of an extra participant in a transaction or contract through which funds are passed in order to obtain the appearance of M/WBE participation.

An M/WBE may rebut a determination by the Department that the M/WBE is not performing a commercially useful function to the NYS Department of Economic Development.

- 2. Work Force.** The M/WBE must employ a work force, (including administrative and clerical) separate and apart from that employed by the Contractor, other Subcontractors on the contract, or their affiliates. This does not preclude the employment by the M/WBE of an individual that has been previously employed by another firm involved in the contract, provided that the individual was independently recruited by the M/WBE in accordance with customary industry practice. The routine transfer of work crews from another employer to the M/WBE shall not be allowed.
  - 3. Supervision.** All work performed by the M/WBE must be controlled and supervised by the M/WBE without duplication of supervisory personnel from the Contractor, other Subcontractors on the contract, or their affiliates. This does not preclude routine communication between the supervisory personnel of the M/WBE and other supervisors necessary to coordinate the contract work.
  - 4. Equipment.** M/WBE Subcontractors may supplement their equipment by renting or leasing additional equipment in accordance with customary industry practice. The M/WBE shall obtain approval of the Department prior to renting equipment from the Contractor or its affiliates, and shall provide documentation to the Department demonstrating that similar equipment and terms could not be obtained at a lower cost from other customary sources of equipment. The required documentation shall include, but not be limited to, copies of the rental or leasing agreements, and the names, addresses, and terms quoted by other sources of equipment.
- F. Requests For Waiver.** A potential bidder, defined as one who has purchased the contract documents, may request a waiver of all or part of a contract's M/WBE goal(s) by submitting a written request to the DDC Office of Diversity and Industry Relations. The request shall be submitted no later than 17 calendar days prior to the contract letting, in order to allow sufficient time for a review and issuance of an amendment of the established goal(s), if necessary, in accordance with the Department's schedule for contract amendments. The request should contain sufficient justification as to why the goal(s) should be waived or reduced, and should at least address the following factors: the potential Bidder's method of accomplishing the work, the subcontracting opportunities associated with the proposed method, and the availability of certified M/WBEs for the work to be subcontracted.



**G. Good Faith Efforts.** To determine whether a bidder that has failed to meet the M/WBE contract goal(s) may receive the contract, the Department will decide whether the efforts the Bidder made to obtain M/WBE participation were "good faith efforts" to meet the goal(s). Efforts to obtain M/WBE participation that are merely pro forma are not good faith efforts, nor are efforts that, even if they are sincerely motivated, given all relevant circumstances, they could not reasonably be expected to produce a level of M/WBE participation sufficient to meet the goal(s).

In order to award a contract to a bidder that has failed to meet the M/WBE contract goal(s), the Department will determine that the Bidder's good faith efforts were those that, given all relevant circumstances, a bidder actively and aggressively seeking to meet the goal(s) would make.

When a contract is awarded with M/WBE commitment(s) that is less than the contract goal(s), the Contractor shall continue good faith efforts. The Contractor shall periodically review items that are available for M/WBE participation, typically before the beginning of a new construction season and when significant new items of work are added to the contract, and conduct additional M/WBE solicitation.

In order to evaluate the Bidder's good faith efforts, the Department will consider the quality, quantity, and intensity of the different kinds of efforts that the Bidder has made. Below is a list of the types of actions which the Department will consider as part of the Bidder's good faith efforts to obtain M/WBE participation. It is not a mandatory checklist, nor is it intended to be exhaustive or exclusive.

1. Securing participation by certified M/WBE firms for work that they are listed to perform that is in the contract. Only M/WBEs certified by the NYS Department of Economic Development shall be used to fulfill the established goal(s) on this contract.
2. Soliciting through reasonable and available means the interest of certified M/WBEs who have the capability to perform the work of the contract. The Bidder shall solicit this interest within sufficient time to allow the M/WBEs to respond to the solicitation. The Bidder shall verify that M/WBEs received the solicitation by following up the initial solicitation with at least one additional solicitation via a different media. The Bidder shall keep records of efforts to solicit and negotiate with M/WBEs as evidence of good-faith efforts, using the Solicitation Log as a continuing record.
3. Soliciting, at a minimum, certified M/WBEs in the appropriate geographic area:
  - For all work, soliciting certified M/WBEs within 75 miles of the contract location.
  - For trucking operations and equipment rental, soliciting certified M/WBEs within 75 miles of the contract location.
  - For work such as guide rail, fencing, landscaping, work zone traffic control, survey, signs, permanent highway lighting, traffic signals, and intelligent transportation systems (ITS); soliciting certified M/WBEs within 150 miles of the contract location.

- For work such as pavement markings, manufacturers, fabricators, material suppliers, brokers, and services; soliciting certified M/WBEs within 300 miles of the contract location, or on an upstate or downstate basis.
4. Selecting portions of the work to be performed by M/WBEs in order to increase the likelihood that the M/WBE goal(s) will be achieved. This includes, where appropriate, either breaking down operations or combining like or related operations into logistically and economically feasible units to facilitate M/WBE participation, even when the Contractor might prefer to perform these work items with its own forces.
  5. Providing interested M/WBEs with adequate information on where and how to obtain the plans, specifications, and requirements of the contract in a timely manner to assist them in responding to a solicitation.
  6.
    - a. *Negotiating in good faith with interested M/WBEs.* It is the Bidder's responsibility to make a portion of the work available to M/WBE Subcontractors and material suppliers and to select those portions of the work or material needs consistent with the available M/WBE Subcontractors and material suppliers, so as to facilitate M/WBE participation. Evidence of such negotiation includes the names, addresses, and telephone numbers of M/WBEs that were considered; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why additional agreements could not be reached for M/WBEs to perform the work.
    - b. *Additional Costs.* The fact that there may be some additional costs involved in finding and using M/WBEs is not in itself sufficient reason for a bidder's failure to meet the contract M/WBE goal(s), as long as such costs are reasonable. The ability or desire of a bidder to perform the work of a contract with its own organization does not relieve the Bidder of the responsibility to make good faith efforts. Bidders are not, however, required to accept higher quotes from M/WBEs if the price difference is excessive or unreasonable.
  7. Not rejecting M/WBEs as unqualified without sound reasons based on a thorough investigation of their capabilities.
  8. Making efforts to assist interested M/WBEs in obtaining bonding, lines of credit or insurance as required by the Department.
  9. Making efforts to assist interested M/WBEs in obtaining necessary equipment, supplies, materials, or related assistance.
  10. Where available, effectively using the services of available minority/women focused media, trade associations, and contractor groups; local, state, and State minority/women business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in the recruitment and placement of M/WBEs.

**H. M/WBE Pre-Award Utilization Package.** Together with its bid, each Bidder must return the completed M/WBE Schedule of Utilization and the M/WBE Solicitation Log, found in the Volume 1 Bid Booklet and also available at:

<https://www.dot.ny.gov/divisions/operating/opdm/local-programs-bureau/multi-modal/forms>.

For each M/WBE Subcontractor, the Bidder shall indicate the contract pay item number(s) of the work to be performed. The Bidder shall explain, in writing, the scope of work to be performed by the M/WBE for any item which is not completely performed by the M/WBE Subcontractor. This does not include items for which the Contractor is performing less than the total contract quantity for that item.

For each M/WBE Manufacturer, Fabricator, Material Supplier, or Broker, Bidder shall indicate the contract pay item number(s) of the material to be manufactured, fabricated, supplied, or otherwise provided. If the material, equipment or service does not correspond to a specific contract pay item, the Bidder shall use a contract pay item(s) to which the activity relates.

For each M/WBE Service, the Bidder shall indicate the contract pay item number(s) of the service to be provided. If the equipment or service does not correspond to a specific Department contract pay item, the Bidder shall use a contract pay item(s) to which the activity relates.

For each M/WBE Trucking Operation, the Bidder shall indicate the contract pay item number(s) for which the trucking operations are to be performed. If the trucking operation does not correspond to a specific contract pay item, the Bidder shall use a contract pay item(s) to which the activity relates. The Bidder shall indicate the type of trucking operation to be performed, the number of trucks owned/leased, the number of trucks working on-site or off-site, rate per hour/ton/load/etc., duration or amount, and total dollar value of the proposed M/WBE commitment. The Bidder shall provide copies of all lease agreements utilized by the M/WBE.

If the Bidder has met or exceeded the established M/WBE goal(s) for the contract utilizing certified M/WBEs it is not necessary to submit documentation of good faith efforts.

If the Bidder has not met the M/WBE goal(s), it shall submit the Solicitation Log, together with other documentation that substantiates good faith efforts. Such documentation shall include, at a minimum, all envelopes of solicitation inquires that were returned as undeliverable and quotations submitted by M/WBEs that are not included in the M/WBE Schedule of Utilization with an explanation for the Bidder's action in each case.

After contract award, the Contractor shall promptly execute subcontracts, agreements, or purchase orders, as appropriate, with each M/WBE for the type and amount of work identified in the approved M/WBE Utilization Worksheet.

**I. Bidder's Failure to Comply.** The Department's acceptance of the Bidder's bid is conditioned upon the Bidder's fulfillment of the M/WBE utilization requirements. If the Bidder fails to submit a complete M/WBE utilization package with its bid and/or fails to attain the

M/WBE utilization goal(s) and to satisfactorily document its good faith efforts, the bid may be declared incomplete and the deposit may be subject to forfeiture pursuant to Section 27 of the Information for Bidders, Contract Document Volume 2 of 3. The Bidder, upon receipt of written notification of its failure to comply with the M/WBE utilization requirements shall have 5 work days to carry out the corrective action(s) described in the notification.

If the Department determines that the Bidder has failed to meet the good faith effort requirements, the Department will, before awarding the contract, provide the Bidder an opportunity for administrative reconsideration by an official who did not take part in the original determination that the Bidder failed to meet the goal(s) or make adequate good faith efforts to do so. As part of this reconsideration, the Bidder shall have the opportunity to provide written documentation or argument and to meet in person with the Department's reconsideration official concerning the issue of whether it met the goal(s) or made adequate good faith efforts to do so. The Department will send the Bidder a written decision on reconsideration, explaining the basis for finding that the Bidder did or did not meet the goal(s) or make adequate good faith efforts to do so.

**J. M/WBE Utilization Plans:** Bidders must submit the M/WBE Schedule of Utilization with their bids as provided in the bid book (form AAP 19LL NYS). The utilization plans listed below must be provided when requested by the Department prior to award. The utilization plans include but are not limited to:

- AAP 10LL NYS M/WBE Solicitation Log
- AAP 15LL NYS Designation of AA Representatives (one for the prime contractor as well as each subcontractor)
- AAP 19LL NYS M/WBE Schedule of Utilization
- AAPHC 20LL NYS Part 1 M/WBE Utilization Worksheet, Part 2 Subcontractor Approval
- AAP 22LL NYS M/WBE Material Supplier Commitment Information
- AAP 23LL NYS M/WBE Trucking Commitment Information
- AAP 35LL NYS Workforce Participation Plan

These forms are available online at:

<https://www.dot.ny.gov/divisions/operating/opdm/local-programs-bureau/multi-modal/forms>

If the apparent low bidder did not meet one or both of the goals, they must submit evidence of good faith efforts to obtain the goal(s). Submitting a complete and accurate utilization plan with bid documents is a condition of award, as well as meeting the goals or demonstrating good faith efforts to do so.

**K. Monitoring and Reporting.** M/WBE participation will be monitored by the Department as the project progresses. Attainments must be reported by the prime Contractor to the Department by the 15th of the month for the previous month, utilizing form *AAP 21LL Contractor Report of Contract Payments*.

#### **IV. Equal Employment Opportunity (EEO) Requirement**

The Department seeks to ensure nondiscrimination in employment under all Department contracts. The Contractor shall comply with the following Equal Employment Opportunity (EEO) requirements. *Goals for Equal Opportunity Employment Participation* are listed in the table following this Part IV.

Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

**A. Statutory Authority.** The Federal statutory authority for Equal Employment Opportunity provisions is contained in 23 U.S.C. 140, Title VII of the Civil Rights Act of 1964, Federal Aid Highway Act of 1968 and Executive Order 11246. State statutory authority is contained in Section 85 of the Highway Law, Section 428 of the Transportation Law, and NYS Executive Law Articles 15 and 15-A. Regulations have been promulgated under 23 CFR 200, 23 CFR 230, 41 CFR 60, 49 CFR 21, and 5 NYCRR 140-145.

#### **B. Definitions.**

1. For this contract, a minority group member is defined under this subsection as a United States citizen or permanent resident alien who is, and can demonstrate membership in, one of the following groups:
  - a. Black person having origins in any of the Black African racial groups;
  - b. Hispanic persons of Mexican, Puerto Rican, Dominican, Cuban, Central or South American descent of either Indian or Hispanic origin, regardless of race;
  - c. Asian and Pacific Islander persons having origins in any of the Far East countries, South East Asia, the Indian subcontinent, or the Pacific Islands;
  - d. Native American or Alaskan native person having origins in any of the original peoples of North America.

**C. Contractor Obligations.** The Contractor shall develop and implement an EEO policy in accordance with APPENDIX A – STANDARD CLAUSES FOR NEW YORK STATE CONTRACTS.

1. **Non-Discrimination.** The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability. The Contractor shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability.

To the extent required by Article 15 of the Executive Law and all other State and Federal statutory and constitutional non-discrimination provisions, the Contractor shall not

discriminate against any employee or applicant for employment because of military status, predisposing genetic characteristics, marital status, familial status, or domestic violence victim status; and shall also follow the requirements of the Human Rights Law with regard to non-discrimination on the basis of prior criminal conviction and prior arrest.

Such actions shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor shall post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Department setting forth the provisions of this non-discrimination clause.

- 2. *Solicitations.*** The Contractor shall state in all solicitations or advertisements for employees placed by or on behalf of the Contractor, that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age, military status, disability, predisposing genetic characteristics, marital status, or domestic violence victim status.
- 3. *Compensation Information.*** The Contractor shall not discharge or in any other manner discriminate against any employee or applicant for employment because such employee or applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision shall not apply to instances in which an employee who has access to the compensation information of other employees or applicants as a part of such employee's essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the Contractor's legal duty to furnish information.
- 4. *Collective Bargaining Agreements.*** The Contractor shall send to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representative of the Contractor's commitments to equal employment opportunities, under the Equal Opportunity Clause and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- 5. *Executive Order 11246.*** The Contractor shall comply with all provisions of the Federal Executive Order 11246, and of the rules, regulations, and relevant orders of the U. S. Secretary of Labor.
- 6. *Furnishing Information.*** The Contractor shall furnish all information and reports required by Executive Order 11246 and by rules, regulations, and orders of the U.S. Secretary of Labor, or pursuant thereto, and will permit access to its books, records, and accounts by the

Department and the U.S. Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

- 7. Non-Compliance.** In the event of the Contractor's non-compliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated or suspended in whole or in part and the Contractor may be declared ineligible for further Government contracts by rule, regulation, or order, or as otherwise provided by law.
  
- 8. Subcontracts/Purchase Orders.** The Contractor shall include the provisions of Subsection C, *Contractor Obligations*, of this Article IV, in every subcontract or purchase order, unless exempted by rules, regulations, or orders of the U.S. Secretary of Labor issued pursuant to section 204 of the Executive Order 11246, so that such provisions will be binding upon each subcontractor or vendor. The Contractor shall take such action with respect to any subcontract or purchase order as may be directed by the U.S. Secretary of Labor as a means of enforcing such provisions including sanctions for noncompliance: provided, however, that in the event a Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction, the Contractor may request the United States to enter into such litigation to protect the interests of the United States.

**D. Employment Goals.** Employment goal(s) for minorities and a separate goal for women are presented in the contract documents. The Contractor shall provide equal employment opportunity and shall take affirmative action for all minority groups, both male and female; and women, both minority and non-minority. The covered area is the county or counties in which the work is located. The Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if a specific minority group of women is underutilized).

The Contractor shall not use the goals or affirmative action standards to discriminate against any person because of race, color, religion, sex, sexual orientation, gender identity, national origin, age, military status, disability, predisposing genetic characteristics, marital status, or domestic violence victim status.

If the Contractor performs work outside of the covered area, it shall apply the goals established for the county where the work is actually performed. The Department will monitor the Contractor's attainments towards EEO goals in accordance with Part V herein, Civil Rights Monitoring and Reporting.

The goals set for the contract are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. The Contractor is expected to make substantially uniform progress in meeting its goals in each trade.

The hours of minority and female employment and training shall be substantially uniform throughout the length of the contract, and in each trade, and the Contractor shall make a good faith effort to employ minorities and women evenly on each of its contracts. The transfer of minority or female employees, apprentices, or trainees from contractor to contractor or from contract to contract for the sole purpose of meeting the Contractor's goals is a violation of the contract, the Executive Order and the regulations in 41 CFR 60-4. Compliance with the goals will be measured against the total work hours performed. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations.

The Contractor shall not enter into any subcontract with any person or firm debarred from government contracts pursuant to Executive Order 11246.

The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Contractor records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, classification (e.g., supervisor, journeyworker, apprentice, or trainee), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractors shall not be required to maintain separate records.

The Contractor's compliance with the Executive Order and 41 CFR 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by these specifications and its efforts to meet the goals.

**E. Affirmative Action Steps.** The Contractor shall take specific affirmative actions to promote equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its efforts to ensure equal employment opportunity. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:

1. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, shall assign two or more women to each construction contract. The Contractor shall specifically ensure that all forepersons, superintendents, and other onsite supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.
2. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community



organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.

3. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefore, along with whatever additional actions the Contractor may have taken.
4. Provide immediate written notification to the Department when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
5. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by either the NYS Department of Labor or the US Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under E.2. above.
6. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.
7. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with onsite supervisory personnel such as superintendents, forepersons, etc., prior to the initiation of construction work at any contract site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
8. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other contractors and Subcontractors with whom the Contractor does or anticipates doing business.
9. Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor

shall send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.

- 10.** Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on the site and in other areas of the Contractor's work force.
- 11.** Validate all tests and other selection requirements in accordance with state and Federal laws, rules and regulations.
- 12.** Conduct, at least annually, an inventory and evaluation of all minority and female personnel for promotional opportunities. Encourage these employees to seek or to prepare for promotional opportunities through appropriate training, etc.
- 13.** Ensure that seniority practices, labor classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.
- 14.** Ensure that all facilities and company activities are non-segregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.
- 15.** Document and maintain a record of all solicitations of offers for Subcontracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
- 16.** Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.

**F. Complaints of Alleged Discrimination.** The Contractor shall promptly investigate all complaints of alleged discrimination made to the Contractor in connection with its obligations under this contract, shall attempt to resolve such complaints, and shall take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, corrective action shall include such other persons. Upon completion of each investigation, the Contractor shall inform every complainant of all available avenues of appeal.

**G. Associations.** Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations. The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the Contractor is a member and participant, may be asserted as fulfilling one or more of its obligations, provided that the Contractor actively participates in the group, makes every effort to ensure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the benefits of the program are reflected in the Contractor's minority and female workforce participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.

**H. Bid Submission.** Together with their bids, bidders must submit form AAP 35LL *Workforce Participation Plan* to indicate the projected combined workforce during the pre-construction meeting.

**I. Monitoring and Reporting.** EEO participation will be monitored by the Department as the project progresses. Attainment must be reported by the prime Contractor to the Department by the 15th of the month for the previous month, utilizing form AAP 33LL *Employment Utilization Report*. An AAP 33LL must be submitted as follows: a report for the prime Contractor's workforce, a report for each subcontractor's workforce, and a composite report for the combined workforce.

## GOALS FOR EQUAL EMPLOYMENT OPPORTUNITY (EEO) PARTICIPATION

### GOALS FOR MINORITY PARTICIPATION

COUNTY	%	COUNTY	%	COUNTY	%
Albany .....	3.2	Herkimer .....	2.1	* Richmond	
Allegany .....	6.3	Jefferson .....	2.5	Rockland.....	22.6
Broome .....	1.1	* Kings		St. Lawrence.....	2.5
* Bronx		Lewis .....	2.5	Saratoga.....	3.2
Cattaraugus.....	6.3	Livingston .....	5.3	Schenectady.....	3.2
Cayuga .....	2.5	Madison .....	3.8	Schoharie .....	2.6
Chautauqua .....	6.3	Monroe .....	5.3	Schuyler.....	1.2
Chemung .....	2.2	Montgomery .....	3.2	Seneca .....	5.9
Chenango .....	1.2	Nassau .....	5.8	Steuben .....	1.2
Clinton .....	2.6	* New York		Suffolk.....	5.8
Columbia .....	2.6	Niagara .....	7.7	Sullivan .....	17.0
Cortland .....	2.5	Oneida .....	2.1	Tioga.....	1.1
Delaware .....	1.2	Onondaga .....	3.8	Tompkins.....	1.2
Dutchess .....	6.4	Ontario .....	5.3	Ulster .....	17.0
Erie .....	7.7	Orange .....	17.0	Warren.....	2.6
Essex .....	2.6	Orleans .....	5.3	Washington.....	2.6
Franklin .....	2.5	Oswego .....	3.8	Wayne.....	5.3
Fulton .....	2.6	Otsego .....	1.2	Westchester.....	22.6
Genesee .....	5.9	Putnam .....	22.6	Wyoming.....	6.3
Greene .....	2.6	* Queens		Yates.....	5.9
Hamilton .....	2.6	Rensselaer .....	3.2		

\* The following goal ranges are applicable to the indicated trades in the Counties of Bronx, Kings, New York, Queens and Richmond:

Electricians.....	9.0 to 10.2	Asbestos workers .....	22.8 to 28.0
Carpenters .....	27.6 to 32.0	Roofers .....	6.3 to 7.5
Steam fitters .....	12.2 to 13.5	Iron Workers (ornamental) .....	22.4 to 23.0
Metal lathers.....	24.6 to 25.6	Cement masons .....	23.0 to 27.0
Painters .....	26.0 to 28.6	Glaziers.....	16.0 to 20.0
Operating engineers .....	25.6 to 26.0	Plasterers.....	15.8 to 18.0
Plumbers .....	12.0 to 14.5	Teamsters.....	22.0 to 22.5
Iron Workers (structural) .....	25.9 to 32.0	Boilermakers.....	13.0 to 15.5
Elevator constructors .....	5.5 to 6.5	All others.....	16.4 to 17.5
Bricklayers.....	13.4 to 15.5		

### GOAL FOR PARTICIPATION OF WOMEN

The last publication of a goal for the participation of women was April 7, 1978 (43 FR 14888, 14900). Pursuant to 41CFR 60-4.6, the 6.9% goal published on that date is hereby made the goal for all contracts and grant agreements, until further notice.

**(No Further Text This Page)**

## **V. CIVIL RIGHTS MONITORING AND REPORTING**

The approved civil rights reporting software is Equitable Business Opportunity Solution (EBO). The EBO software is a web-based system owned and maintained by the New York State Department of Transportation and provided to the Contractor at no cost. The Contractor shall use the approved civil rights reporting software on this contract. The Contractor shall submit complete, accurate, electronic data to the Department using the approved civil rights reporting software. The Contractor shall submit complete, accurate, electronic data to the Department for each month, not later than the 15th of the following month, using the approved civil rights reporting software. Data shall be current through the end of the last full payroll week for that month, or as otherwise approved by the Engineer to coordinate with the contractor payment submittals.

- A. **Civil Rights Officer(s).** The Contractor shall designate a Corporate Civil Rights Officer, a Corporate M/WBE Representative, and a contract site Equal Employment Opportunity (EEO) Representative; and each Subcontractor shall designate a Corporate Civil Rights Officer, and a contract site Equal Employment Opportunity (EEO) Representative in the approved civil rights reporting software. The designated individuals shall have the responsibility to and shall be capable of effectively administering and promoting an active program of equal employment opportunity and who shall be assigned adequate authority and responsibility to do so. A single individual may fulfill multiple roles. The Contractor shall update the approved civil rights reporting software within 10 calendar days of any changes in these roles.
- B. **Workforce Participation Plan.** At the pre-construction meeting, the Contractor shall submit a Workforce Participation Plan covering the Contractor's workforce and the workforce of its Subcontractors with subcontracts over \$10,000, together and coordinated with the contract progress schedule that addresses the Equal Employment Opportunity goals.

The Contractor shall not start work until the Department and the Contractor have agreed upon the Workforce Participation Plan. The Contractor shall submit a revised plan when a significant work force build-up or reduction will substantially affect goal attainment, or when a revised schedule is requested by the Department. Such revised Workforce Participation Plan must be agreed upon by the Department or the original will remain in effect.

- C. **Equal Employment Opportunity (EEO) Monitoring and Reporting.** The Contractor's compliance with the EEO Requirements will be based on its Employment Utilization, affirmative action steps and its good faith efforts to meet the goals.

The Department, in evaluating the Contractor's good faith efforts to meet the EEO goal(s), will first analyze the Contractor's goal attainment on an individual contract. If the Contractor is not meeting the goal(s) for a single trade or contract, the Department will analyze, progressively, the Contractor's goal attainment on all contracts held by the Contractor. This method of analysis shall be applied primarily but not solely to contracts with small population numbers. Other factors to be considered include, but are not limited to; the

location of the contracts, the relative proximity of the contracts to each other, and the nature of the work.

1. **Employee Utilization Data.** The Contractor shall submit employee utilization data for its workforce and for each Subcontractor on a monthly basis, not later than the 10th of the following month. Data shall be submitted showing the total hours for each payroll week separately through the end of the last full payroll week for that month. Payroll weeks are determined based on the firm's established payroll end date. Data shall include employee name, gender, ethnicity, and hours worked by trade(s) and classification. Employment utilization percentages are determined using data from the start of work up to and including the month being reported. For the purpose of determining utilization percentages, the hours of female and minority employment are tabulated separately and attainment percentages are calculated separately.
2. **Monthly Training Progress Report.** The Contractor must submit a monthly training progress report to the Engineer not later than the 15th of each month, utilizing AAP 26LL NYS – Monthly Training Progress Report, to report all apprenticeship participation. Apprenticeship requirements are set forth in the bid booklet, Volume 1 of 3.
3. **Contractor Compliance with EEO Requirements.** If the Contractor fails to meet the EEO goal(s) for minorities or women, the Department may require training of minorities and women to satisfy the employment goals. If the Contractor fails to meet the EEO goal(s), or is in noncompliance with the nondiscrimination clauses, the Department may determine that one of the following actions should be taken:
  - a. entering into an agreement with the Contractor allowing the Contractor to cure the violation;
  - b. revoking the Contractor's pre-qualification to bid or make proposals for future contracts;
  - c. making a finding that the Contractor is in default of the Contract;
  - d. terminating the Contract;
  - e. declaring the Contractor to be in breach of Contract;
  - f. withholding payment or reimbursement;
  - g. determining not to renew the Contract;
  - h. assessing actual and consequential damages;
  - i. assessing liquidated damages or reducing fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the EEO Requirements, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;
  - j. exercising rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or
  - k. taking any other appropriate remedy.

**D. M/WBE Monitoring and Reporting.** The Engineer will monitor the work to ensure that the identified M/WBEs perform the work as identified in the Contractor's commitments. Attainment will be measured based on payments made to M/WBEs. Attainment based on work completed by M/WBEs that are no longer certified will not be counted after the M/WBE is no longer certified.

1. **Report of Payments to Subcontractors and M/WBEs.** The Contractor shall report payments made to all Subcontractors and all M/WBEs, in order to measure goal attainment and to gauge the effect of M/WBE goal(s) on the industry. The Contractor shall submit payment data for all Subcontractors and for all M/WBEs approved by the Department that are due a payment or have received a payment within the last month. The Subcontractor or M/WBE shall acknowledge payment not later than 7 calendar days after receipt. The Contractor shall enter the final payment to each Subcontractor or M/WBE and designate it as such when the final payment is made, or as a separate \$0.00 entry indicating final payment has been made, prior to contract final acceptance, excepting those payments due from work contained in a change order that have not been approved. The Subcontractor or M/WBE shall acknowledge payment not later than 7 calendar days after receipt.
2. **Revisions to M/WBE Utilization.** The Contractor shall obtain Department approval for substantial revisions in M/WBE utilization prior to implementing any proposed change through submission of a revised M/WBE Utilization Worksheet using the Department approved civil rights reporting software.

If the reduction of the M/WBE's work or the removal of the M/WBE, including for reasons of commercially useful function violations, causes the M/WBE utilization to fall below the goal(s), the Contractor shall make good faith efforts to find another M/WBE to substitute for the original M/WBE to perform at least the same amount of work as the M/WBE that was terminated, to the extent needed to meet the contract goal(s).

A M/WBE may be substituted if the work committed to the M/WBE is deleted or reduced by the Department and enough work remains to substitute an equal commitment amount to the affected M/WBE. If not enough work remains, the Department may relieve the Contractor from attaining that portion of the commitments.

The following modifications will be considered a substantial revision in M/WBE utilization:

1. Adding, removing or substituting a M/WBE.
2. Adding new item(s) of work to a M/WBE within a NGIP, CSI, NYSDOT, or NAICS Code for which the M/WBE is not currently approved.
3. Significantly reducing the dollar value of or eliminating the M/WBE's item(s) of work. Significant reduction will be determined by comparison to the total M/WBE contract goal.

The following modifications will not be considered a substantial revision in M/WBE utilization:

1. Increasing the dollar value of an item(s) of work or adding new item(s) of work within the same NAICS Code to a M/WBE.
2. Substituting similar dollar values of work within the NGIP, CSI, NYSDOT, or NAICS Codes that the M/WBE is currently approved for.

3. Changes in utilization due to differences between estimated quantities and actual work performed.

In the cases of substantial reduction, removal or substitution, the Contractor shall provide written justification with a substantive basis for the change. A Contractor's ability to negotiate a more advantageous contract with another Subcontractor will not be considered a valid basis for change.

- 3. Contractor Compliance.** If the Contractor fails to meet the M/WBE utilization goal(s), to exert a good faith effort, or otherwise fails to comply with the M/WBE requirements, the Department may determine that one of the following actions should be taken:
- a. entering into an agreement with the Contractor allowing the Contractor to cure the violation;
  - b. revoking the Contractor's pre-qualification to bid or make proposals for future contracts;
  - c. making a finding that the Contractor is in default of the Contract;
  - d. terminating the Contract;
  - e. declaring the Contractor to be in breach of Contract;
  - f. withholding payment or reimbursement;
  - g. determining not to renew the Contract;
  - h. assessing actual and consequential damages;
  - i. assessing liquidated damages or reducing fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the M/WBE Program, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;
  - j. exercising rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or
  - k. taking any other appropriate remedy.

Where Department determines that Contractor is not in compliance with the requirements of the Contract and Contractor refuses to comply with such requirements, or if Contractor is found to have willfully and intentionally failed to comply with the M/WBE participation goals, Contractor shall be obligated to pay to the Department liquidated damages.

Such Liquidated Damages for failure to meet the M/WBE requirements shall be calculated as an amount equaling the difference between the amount committed to MWBEs by the Contractor at award; and the amount actually paid to MWBEs for work performed or materials supplied under the Contract, not including any amount for work deleted by the Department.

**E. Apprenticeship Monitoring and Reporting.** The Contractor must report all apprenticeship participation as part of its Employee Utilization Data submitted monthly per Paragraph C of this Part V. The Contractor shall provide, with the final request for payment, a Certification of Compliance that:



- a. lists all New York State Department of Labor approved apprenticeship programs utilized in the execution of the Contract;
- b. certifies that all apprenticeship participation has been submitted as part of the monthly Employee Utilization Data;
- c. certifies that the requirements of the above listed apprenticeship programs have been met.

**APPENDIX A**

**STANDARD CLAUSES FOR NEW YORK STATE CONTRACTS**

**PLEASE RETAIN THIS DOCUMENT  
FOR FUTURE REFERENCE.**

TABLE OF CONTENTS

	<b>Page</b>
1. Executory Clause	3
2. Non-Assignment Clause	3
3. Comptroller's Approval	3
4. Workers' Compensation Benefits	3
5. Non-Discrimination Requirements	3
6. Wage and Hours Provisions	3-4
7. Non-Collusive Bidding Certification	4
8. International Boycott Prohibition	4
9. Set-Off Rights	4
10. Records	4
11. Identifying Information and Privacy Notification	4
12. Equal Employment Opportunities For Minorities and Women	4-5
13. Conflicting Terms	5
14. Governing Law	5
15. Late Payment	5
16. No Arbitration	5
17. Service of Process	5
18. Prohibition on Purchase of Tropical Hardwoods	5-6
19. MacBride Fair Employment Principles	6
20. Omnibus Procurement Act of 1992	6
21. Reciprocity and Sanctions Provisions	6
22. Compliance with Breach Notification and Data Security Laws	6
23. Compliance with Consultant Disclosure Law	6-7
24. Procurement Lobbying	7
25. Certification of Registration to Collect Sales and Compensating Use Tax by Certain State Contractors, Affiliates and Subcontractors	7
26. Iran Divestment Act	7
27. Admissibility of Contract	7

## **STANDARD CLAUSES FOR NYS CONTRACTS**

The parties to the attached contract, license, lease, amendment or other agreement of any kind (hereinafter, "the contract" or "this contract") agree to be bound by the following clauses which are hereby made a part of the contract (the word "Contractor" herein refers to any party other than the State, whether a contractor, licenser, licensee, lessor, lessee or any other party):

**1. EXECUTORY CLAUSE.** In accordance with Section 41 of the State Finance Law, the State shall have no liability under this contract to the Contractor or to anyone else beyond funds appropriated and available for this contract.

**2. NON-ASSIGNMENT CLAUSE.** In accordance with Section 138 of the State Finance Law, this contract may not be assigned by the Contractor or its right, title or interest therein assigned, transferred, conveyed, sublet or otherwise disposed of without the State's previous written consent, and attempts to do so are null and void. Notwithstanding the foregoing, such prior written consent of an assignment of a contract let pursuant to Article XI of the State Finance Law may be waived at the discretion of the contracting agency and with the concurrence of the State Comptroller where the original contract was subject to the State Comptroller's approval, where the assignment is due to a reorganization, merger or consolidation of the Contractor's business entity or enterprise. The State retains its right to approve an assignment and to require that any Contractor demonstrate its responsibility to do business with the State. The Contractor may, however, assign its right to receive payments without the State's prior written consent unless this contract concerns Certificates of Participation pursuant to Article 5-A of the State Finance Law.

**3. COMPTROLLER'S APPROVAL.** In accordance with Section 112 of the State Finance Law (or, if this contract is with the State University or City University of New York, Section 355 or Section 6218 of the Education Law), if this contract exceeds \$50,000 (or the minimum thresholds agreed to by the Office of the State Comptroller for certain S.U.N.Y. and C.U.N.Y. contracts), or if this is an amendment for any amount to a contract which, as so amended, exceeds said statutory amount, or if, by this contract, the State agrees to give something other than money when the value or reasonably estimated value of such consideration exceeds \$25,000, it shall not be valid, effective or binding upon the State until it has been approved by the State Comptroller and filed in his office. Comptroller's approval of contracts let by the Office of General Services is required when such contracts exceed \$85,000 (State Finance Law § 163.6-a). However, such pre-approval shall not be required for any contract established as a centralized contract through the Office of General Services or for a purchase order or other transaction issued under such centralized contract.

**4. WORKERS' COMPENSATION BENEFITS.** In accordance with Section 142 of the State Finance Law, this

contract shall be void and of no force and effect unless the Contractor shall provide and maintain coverage during the life of this contract for the benefit of such employees as are required to be covered by the provisions of the Workers' Compensation Law.

**5. NON-DISCRIMINATION REQUIREMENTS.** To the extent required by Article 15 of the Executive Law (also known as the Human Rights Law) and all other State and Federal statutory and constitutional non-discrimination provisions, the Contractor will not discriminate against any employee or applicant for employment, nor subject any individual to harassment, because of age, race, creed, color, national origin, sexual orientation, gender identity or expression, military status, sex, disability, predisposing genetic characteristics, familial status, marital status, or domestic violence victim status or because the individual has opposed any practices forbidden under the Human Rights Law or has filed a complaint, testified, or assisted in any proceeding under the Human Rights Law. Furthermore, in accordance with Section 220-e of the Labor Law, if this is a contract for the construction, alteration or repair of any public building or public work or for the manufacture, sale or distribution of materials, equipment or supplies, and to the extent that this contract shall be performed within the State of New York, Contractor agrees that neither it nor its subcontractors shall, by reason of race, creed, color, disability, sex, or national origin: (a) discriminate in hiring against any New York State citizen who is qualified and available to perform the work; or (b) discriminate against or intimidate any employee hired for the performance of work under this contract. If this is a building service contract as defined in Section 230 of the Labor Law, then, in accordance with Section 239 thereof, Contractor agrees that neither it nor its subcontractors shall by reason of race, creed, color, national origin, age, sex or disability: (a) discriminate in hiring against any New York State citizen who is qualified and available to perform the work; or (b) discriminate against or intimidate any employee hired for the performance of work under this contract. Contractor is subject to fines of \$50.00 per person per day for any violation of Section 220-e or Section 239 as well as possible termination of this contract and forfeiture of all moneys due hereunder for a second or subsequent violation.

**6. WAGE AND HOURS PROVISIONS.** If this is a public work contract covered by Article 8 of the Labor Law or a building service contract covered by Article 9 thereof, neither Contractor's employees nor the employees of its subcontractors may be required or permitted to work more than the number of hours or days stated in said statutes, except as otherwise provided in the Labor Law and as set forth in prevailing wage and supplement schedules issued by the State Labor Department. Furthermore, Contractor and its subcontractors must pay at least the prevailing wage rate and pay or provide the prevailing supplements, including the premium rates for overtime pay, as determined by the State Labor Department in accordance with the Labor Law.

Additionally, effective April 28, 2008, if this is a public work contract covered by Article 8 of the Labor Law, the Contractor understands and agrees that the filing of payrolls in a manner consistent with Subdivision 3-a of Section 220 of the Labor Law shall be a condition precedent to payment by the State of any State approved sums due and owing for work done upon the project.

**7. NON-COLLUSIVE BIDDING CERTIFICATION.** In accordance with Section 139-d of the State Finance Law, if this contract was awarded based upon the submission of bids, Contractor affirms, under penalty of perjury, that its bid was arrived at independently and without collusion aimed at restricting competition. Contractor further affirms that, at the time Contractor submitted its bid, an authorized and responsible person executed and delivered to the State a non-collusive bidding certification on Contractor's behalf.

**8. INTERNATIONAL BOYCOTT PROHIBITION.** In accordance with Section 220-f of the Labor Law and Section 139-h of the State Finance Law, if this contract exceeds \$5,000, the Contractor agrees, as a material condition of the contract, that neither the Contractor nor any substantially owned or affiliated person, firm, partnership or corporation has participated, is participating, or shall participate in an international boycott in violation of the federal Export Administration Act of 1979 (50 USC App. Sections 2401 et seq.) or regulations thereunder. If such Contractor, or any of the aforesaid affiliates of Contractor, is convicted or is otherwise found to have violated said laws or regulations upon the final determination of the United States Commerce Department or any other appropriate agency of the United States subsequent to the contract's execution, such contract, amendment or modification thereto shall be rendered forfeit and void. The Contractor shall so notify the State Comptroller within five (5) business days of such conviction, determination or disposition of appeal (2 NYCRR § 105.4).

**9. SET-OFF RIGHTS.** The State shall have all of its common law, equitable and statutory rights of set-off. These rights shall include, but not be limited to, the State's option to withhold for the purposes of set-off any moneys due to the Contractor under this contract up to any amounts due and owing to the State with regard to this contract, any other contract with any State department or agency, including any contract for a term commencing prior to the term of this contract, plus any amounts due and owing to the State for any other reason including, without limitation, tax delinquencies, fee delinquencies or monetary penalties relative thereto. The State shall exercise its set-off rights in accordance with normal State practices including, in cases of set-off pursuant to an audit, the finalization of such audit by the State agency, its representatives, or the State Comptroller.

**10. RECORDS.** The Contractor shall establish and maintain complete and accurate books, records, documents, accounts and other evidence directly pertinent to performance under this contract (hereinafter, collectively, the "Records"). The

Records must be kept for the balance of the calendar year in which they were made and for six (6) additional years thereafter. The State Comptroller, the Attorney General and any other person or entity authorized to conduct an examination, as well as the agency or agencies involved in this contract, shall have access to the Records during normal business hours at an office of the Contractor within the State of New York or, if no such office is available, at a mutually agreeable and reasonable venue within the State, for the term specified above for the purposes of inspection, auditing and copying. The State shall take reasonable steps to protect from public disclosure any of the Records which are exempt from disclosure under Section 87 of the Public Officers Law (the "Statute") provided that: (i) the Contractor shall timely inform an appropriate State official, in writing, that said records should not be disclosed; and (ii) said records shall be sufficiently identified; and (iii) designation of said records as exempt under the Statute is reasonable. Nothing contained herein shall diminish, or in any way adversely affect, the State's right to discovery in any pending or future litigation.

**11. IDENTIFYING INFORMATION AND PRIVACY NOTIFICATION.** (a) Identification Number(s). Every invoice or New York State Claim for Payment submitted to a New York State agency by a payee, for payment for the sale of goods or services or for transactions (e.g., leases, easements, licenses, etc.) related to real or personal property must include the payee's identification number. The number is any or all of the following: (i) the payee's Federal employer identification number, (ii) the payee's Federal social security number, and/or (iii) the payee's Vendor Identification Number assigned by the Statewide Financial System. Failure to include such number or numbers may delay payment. Where the payee does not have such number or numbers, the payee, on its invoice or Claim for Payment, must give the reason or reasons why the payee does not have such number or numbers.

(b) Privacy Notification. (1) The authority to request the above personal information from a seller of goods or services or a lessor of real or personal property, and the authority to maintain such information, is found in Section 5 of the State Tax Law. Disclosure of this information by the seller or lessor to the State is mandatory. The principal purpose for which the information is collected is to enable the State to identify individuals, businesses and others who have been delinquent in filing tax returns or may have understated their tax liabilities and to generally identify persons affected by the taxes administered by the Commissioner of Taxation and Finance. The information will be used for tax administration purposes and for any other purpose authorized by law. (2) The personal information is requested by the purchasing unit of the agency contracting to purchase the goods or services or lease the real or personal property covered by this contract or lease. The information is maintained in the Statewide Financial System by the Vendor Management Unit within the Bureau of State Expenditures, Office of the State Comptroller, 110 State Street, Albany, New York 12236.

**12. EQUAL EMPLOYMENT OPPORTUNITIES FOR MINORITIES AND WOMEN.**

In accordance with Section 312 of the Executive Law and 5 NYCRR Part 143, if this contract is: (i) a written agreement or purchase order instrument, providing for a total expenditure in excess of \$25,000.00, whereby a contracting agency is committed to expend or does expend funds in return for labor, services, supplies, equipment, materials or any combination of the foregoing, to be performed for, or rendered or furnished to the contracting agency; or (ii) a written agreement in excess of \$100,000.00 whereby a contracting agency is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon; or (iii) a written agreement in excess of \$100,000.00 whereby the owner of a State assisted housing project is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon for such project, then the following shall apply and by signing this agreement the Contractor certifies and affirms that it is Contractor's equal employment opportunity policy that:

(a) The Contractor will not discriminate against employees or applicants for employment because of race, creed, color, national origin, sex, age, disability or marital status, shall make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force on State contracts and will undertake or continue existing programs of affirmative action to ensure that minority group members and women are afforded equal employment opportunities without discrimination. Affirmative action shall mean recruitment, employment, job assignment, promotion, upgradings, demotion, transfer, layoff, or termination and rates of pay or other forms of compensation;

(b) at the request of the contracting agency, the Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability or marital status and that such union or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein; and

(c) the Contractor shall state, in all solicitations or advertisements for employees, that, in the performance of the State contract, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.

Contractor will include the provisions of "a," "b," and "c" above, in every subcontract over \$25,000.00 for the construction, demolition, replacement, major repair, renovation, planning or design of real property and

improvements thereon (the "Work") except where the Work is for the beneficial use of the Contractor. Section 312 does not apply to: (i) work, goods or services unrelated to this contract; or (ii) employment outside New York State. The State shall consider compliance by a contractor or subcontractor with the requirements of any federal law concerning equal employment opportunity which effectuates the purpose of this clause. The contracting agency shall determine whether the imposition of the requirements of the provisions hereof duplicate or conflict with any such federal law and if such duplication or conflict exists, the contracting agency shall waive the applicability of Section 312 to the extent of such duplication or conflict. Contractor will comply with all duly promulgated and lawful rules and regulations of the Department of Economic Development's Division of Minority and Women's Business Development pertaining hereto.

**13. CONFLICTING TERMS.** In the event of a conflict between the terms of the contract (including any and all attachments thereto and amendments thereof) and the terms of this Appendix A, the terms of this Appendix A shall control.

**14. GOVERNING LAW.** This contract shall be governed by the laws of the State of New York except where the Federal supremacy clause requires otherwise.

**15. LATE PAYMENT.** Timeliness of payment and any interest to be paid to Contractor for late payment shall be governed by Article 11-A of the State Finance Law to the extent required by law.

**16. NO ARBITRATION.** Disputes involving this contract, including the breach or alleged breach thereof, may not be submitted to binding arbitration (except where statutorily authorized), but must, instead, be heard in a court of competent jurisdiction of the State of New York.

**17. SERVICE OF PROCESS.** In addition to the methods of service allowed by the State Civil Practice Law & Rules ("CPLR"), Contractor hereby consents to service of process upon it by registered or certified mail, return receipt requested. Service hereunder shall be complete upon Contractor's actual receipt of process or upon the State's receipt of the return thereof by the United States Postal Service as refused or undeliverable. Contractor must promptly notify the State, in writing, of each and every change of address to which service of process can be made. Service by the State to the last known address shall be sufficient. Contractor will have thirty (30) calendar days after service hereunder is complete in which to respond.

**18. PROHIBITION ON PURCHASE OF TROPICAL HARDWOODS.** The Contractor certifies and warrants that all wood products to be used under this contract award will be in accordance with, but not limited to, the specifications and provisions of Section 165 of the State Finance Law, (Use of Tropical Hardwoods) which prohibits purchase and use of tropical hardwoods, unless specifically exempted, by the State

or any governmental agency or political subdivision or public benefit corporation. Qualification for an exemption under this law will be the responsibility of the contractor to establish to meet with the approval of the State.

In addition, when any portion of this contract involving the use of woods, whether supply or installation, is to be performed by any subcontractor, the prime Contractor will indicate and certify in the submitted bid proposal that the subcontractor has been informed and is in compliance with specifications and provisions regarding use of tropical hardwoods as detailed in § 165 State Finance Law. Any such use must meet with the approval of the State; otherwise, the bid may not be considered responsive. Under bidder certifications, proof of qualification for exemption will be the responsibility of the Contractor to meet with the approval of the State.

**19. MACBRIDE FAIR EMPLOYMENT PRINCIPLES (APPLICABLE ONLY IN NON-FEDERAL AID NEW YORK STATE CONTRACTS).** In accordance with the MacBride Fair Employment Principles (Chapter 807 of the Laws of 1992), the Contractor hereby stipulates that the Contractor either (a) has no business operations in Northern Ireland, or (b) shall take lawful steps in good faith to conduct any business operations in Northern Ireland in accordance with the MacBride Fair Employment Principles (as described in Section 165 of the New York State Finance Law), and shall permit independent monitoring of compliance with such principles.

**20. OMNIBUS PROCUREMENT ACT OF 1992 (APPLICABLE ONLY IN NON-FEDERAL AID NEW YORK STATE CONTRACTS).** It is the policy of New York State to maximize opportunities for the participation of New York State business enterprises, including minority- and women-owned business enterprises as bidders, subcontractors and suppliers on its procurement contracts.

Information on the availability of New York State subcontractors and suppliers is available from:

NYS Department of Economic Development  
Division for Small Business  
Albany, New York 12245  
Telephone: 518-292-5100  
Fax: 518-292-5884  
email: [opa@esd.ny.gov](mailto:opa@esd.ny.gov)

A directory of certified minority- and women-owned business enterprises is available from:

NYS Department of Economic Development  
Division of Minority and Women's Business Development  
633 Third Avenue  
New York, NY 10017  
212-803-2414  
email: [mwbecertification@esd.ny.gov](mailto:mwbecertification@esd.ny.gov)

<https://ny.newnycontracts.com/FrontEnd/VendorSearchPublic.asp>

The Omnibus Procurement Act of 1992 (Chapter 844 of the Laws of 1992, codified in State Finance Law § 139-i and Public Authorities Law § 2879(3)(n)-(p)) requires that by signing this bid proposal or contract, as applicable, Contractors certify that whenever the total bid amount is greater than \$1 million:

(a) The Contractor has made reasonable efforts to encourage the participation of New York State Business Enterprises as suppliers and subcontractors, including certified minority- and women-owned business enterprises, on this project, and has retained the documentation of these efforts to be provided upon request to the State;

(b) The Contractor has complied with the Federal Equal Opportunity Act of 1972 (P.L. 92-261), as amended;

(c) The Contractor agrees to make reasonable efforts to provide notification to New York State residents of employment opportunities on this project through listing any such positions with the Job Service Division of the New York State Department of Labor, or providing such notification in such manner as is consistent with existing collective bargaining contracts or agreements. The Contractor agrees to document these efforts and to provide said documentation to the State upon request; and

(d) The Contractor acknowledges notice that the State may seek to obtain offset credits from foreign countries as a result of this contract and agrees to cooperate with the State in these efforts.

**21. RECIPROCITY AND SANCTIONS PROVISIONS.** Bidders are hereby notified that if their principal place of business is located in a country, nation, province, state or political subdivision that penalizes New York State vendors, and if the goods or services they offer will be substantially produced or performed outside New York State, the Omnibus Procurement Act 1994 and 2000 amendments (Chapter 684 and Chapter 383, respectively, codified in State Finance Law § 165(6) and Public Authorities Law § 2879(5)) require that they be denied contracts which they would otherwise obtain. NOTE: As of October 2019, the list of discriminatory jurisdictions subject to this provision includes the states of South Carolina, Alaska, West Virginia, Wyoming, Louisiana and Hawaii.

**22. COMPLIANCE WITH BREACH NOTIFICATION AND DATA SECURITY LAWS.** Contractor shall comply with the provisions of the New York State Information Security Breach and Notification Act (General Business Law § 899-aa and State Technology Law § 208) and commencing March 21, 2020 shall also comply with General Business Law § 899-bb.

**23. COMPLIANCE WITH CONSULTANT DISCLOSURE LAW.** If this is a contract for consulting services, defined for purposes of this requirement to include analysis, evaluation, research, training, data processing, computer programming, engineering, environmental, health, and mental health services, accounting, auditing, paralegal, legal or similar services, then, in accordance with Section 163 (4)(g) of the State Finance Law (as amended by Chapter 10 of the Laws of 2006), the Contractor shall timely, accurately and properly comply with the requirement to submit an annual employment report for the contract to the agency that awarded the contract, the Department of Civil Service and the State Comptroller.

**24. PROCUREMENT LOBBYING.** To the extent this agreement is a "procurement contract" as defined by State Finance Law §§ 139-j and 139-k, by signing this agreement the contractor certifies and affirms that all disclosures made in accordance with State Finance Law §§ 139-j and 139-k are complete, true and accurate. In the event such certification is found to be intentionally false or intentionally incomplete, the State may terminate the agreement by providing written notification to the Contractor in accordance with the terms of the agreement.

**25. CERTIFICATION OF REGISTRATION TO COLLECT SALES AND COMPENSATING USE TAX BY CERTAIN STATE CONTRACTORS, AFFILIATES AND SUBCONTRACTORS.**

To the extent this agreement is a contract as defined by Tax Law § 5-a, if the contractor fails to make the certification required by Tax Law § 5-a or if during the term of the contract, the Department of Taxation and Finance or the covered agency, as defined by Tax Law § 5-a, discovers that the certification, made under penalty of perjury, is false, then such failure to file or false certification shall be a material breach of this contract and this contract may be terminated, by providing written notification to the Contractor in accordance with the terms of the agreement, if the covered agency determines that such action is in the best interest of the State.

**26. IRAN DIVESTMENT ACT.** By entering into this Agreement, Contractor certifies in accordance with State Finance Law § 165-a that it is not on the "Entities Determined to be Non-Responsive Bidders/Offerers pursuant to the New York State Iran Divestment Act of 2012" ("Prohibited Entities List") posted at: <https://ogs.ny.gov/list-entities-determined-be-non-responsive-biddersofferers-pursuant-nys-iran-divestment-act-2012>

Contractor further certifies that it will not utilize on this Contract any subcontractor that is identified on the Prohibited Entities List. Contractor agrees that should it seek to renew or extend this Contract, it must provide the same certification at the time the Contract is renewed or extended. Contractor also agrees that any proposed Assignee of this Contract will be required to certify that it is not on the Prohibited Entities List before the contract assignment will be approved by the State.

During the term of the Contract, should the state agency receive information that a person (as defined in State Finance Law § 165-a) is in violation of the above-referenced certifications, the state agency will review such information and offer the person an opportunity to respond. If the person fails to demonstrate that it has ceased its engagement in the investment activity which is in violation of the Act within 90 days after the determination of such violation, then the state agency shall take such action as may be appropriate and provided for by law, rule, or contract, including, but not limited to, imposing sanctions, seeking compliance, recovering damages, or declaring the Contractor in default.

The state agency reserves the right to reject any bid, request for assignment, renewal or extension for an entity that appears on the Prohibited Entities List prior to the award, assignment, renewal or extension of a contract, and to pursue a responsibility review with respect to any entity that is awarded a contract and appears on the Prohibited Entities list after contract award.

**27. ADMISSIBILITY OF REPRODUCTION OF CONTRACT.** Notwithstanding the best evidence rule or any other legal principle or rule of evidence to the contrary, the Contractor acknowledges and agrees that it waives any and all objections to the admissibility into evidence at any court proceeding or to the use at any examination before trial of an electronic reproduction of this contract, in the form approved by the State Comptroller, if such approval was required, regardless of whether the original of said contract is in existence.



**NOTICE**

The Standard Sewer And Water Main Specifications of the Department of Environmental Protection (dated August 8, 2022), Sewer Design Standards of the Department of Environmental Protection (dated (September 2007) Revised August 2018), Water Main Standard Drawings of the Department of Environmental Protection (latest revisions), Specifications For Trunk Main Work of the Department of Environmental Protection (dated July 2014) and the Standard Highway Specifications of the Department of Transportation (dated May 16, 2022) of The City of New York, shall be included as part of the contract documents. These said specifications and standard drawings are hereby revised under the following section headings:

- A. NOTICE TO BIDDERS
- B. REVISIONS TO THE STANDARD SEWER AND WATER MAIN SPECIFICATIONS
- C. REVISIONS TO THE SPECIFICATIONS FOR TRUNK MAIN WORK

(NO TEXT ON THIS PAGE)

**A. NOTICE TO BIDDERS**

NO TEXT

**B. REVISIONS TO THE STANDARD SEWER AND WATER MAIN SPECIFICATIONS**

- (1) **Refer to Subsection 10.15 - Notice To Utility Companies, Etc., To Remove Structures Occupying Place Of Sewers, Water Mains Or Appurtenances, Page 19:**

**Add** the following to **Subsection 10.15:**

- (1) CONSOLIDATED EDISON COMPANY OF NEW YORK (CON EDISON)

There are CON EDISON facilities in the area of construction. The Contractor must notify CON EDISON at least seventy-two (72) hours prior to the start of construction by contacting Mr. Noel Leon at (718) 802-3013.

- (2) NATIONAL GRID

There are NATIONAL GRID facilities in the area of construction. The Contractor must notify NATIONAL GRID at least seventy-two (72) hours prior to the start of construction by contacting Mr. Neville Jacobs Jr. at (718) 963-5612.

- (3) VERIZON

There are VERIZON facilities in the area of construction. The Contractor must notify VERIZON at least seventy-two (72) hours prior to the start of construction by contacting Mr. Aubrey Makhanlall at (718) 977-8165.

- (2) **Refer to Subsection 10.21 - Contractor To Notify City Departments, Page 21:**

**Add** the following to **Subsection 10.21:**

- (1) N.Y.C. D.E.P., BUREAU OF WATER AND SEWERS OPERATIONS

The Contractor will notify Ms. Sol Posada, P.E., Chief, Linear Capital Program Management Division at the Department of Environmental Protection, 59-17 Junction Blvd., 3rd floor low rise, Corona N.Y. 11368, at (718) 595-7434 at least thirty (30) days prior to the start of construction

- (2) N.Y.C. DEPARTMENT OF TRANSPORTATION

The Contractor will notify Mr. Michael Lofesse/ Akmal Mikhail – Traffic Signal/Street Lighting Operations, 34-02 Queens Blvd., Long Island City, N.Y. 11101 at (212) 839-3799/ (212) 839-3368, at least seventy-two (72) hours prior to the start of construction.

- (3) N.Y.C. TRANSIT AUTHORITY

- (a) The Contractor shall notify Outside Projects at least seven (7) days prior to the start of construction.

The Contractor shall contact:

Mr. Mohamed Adam, P.E.  
Project Engineer-Outside Projects  
New York City Transit  
2 Broadway, 8thFloor  
New York, NY 10004  
[EPP-PublicAgency@mtacd.org](mailto:EPP-PublicAgency@mtacd.org)  
[External Partner Program Website](#)  
Telephone No. (646) 252-3641

- (b) The Contractor is advised that bus routes as well as bus stops, within the scope of this project may be affected during construction operations. The Contractor shall notify the Transit Authority at least two (2) weeks prior to the start of construction, in order to make the necessary arrangements.

Arrangements shall be made through:

Ms. Sarah Wyss  
Acting Director, Operation Planning  
New York City Transit  
2 Broadway, 17<sup>th</sup> Floor, Room A17.82  
New York, N.Y. 10004  
Telephone No. (646) 252-5517  
sarah.wyss@nyct.com

- (c) In addition, the Contractor is advised that construction operations might affect subway lines and stations; and NYCT facilities (i.e. manholes, ducts, etc.). The Contractor shall notify the Transit Authority.

**(3) Refer to Subsection 10.24 – Damaged Water Service Pipes To Be Repaired By A Licensed Plumber, Page 22:**

**Add** the following to **Subsection 10.24:**

If the damaged or cut water service pipe is lead, galvanized steel, or galvanized iron, the service pipe must not be partially replaced, but fully replaced from the main to the house control valve. If the service pipe was damaged, cut, or otherwise interrupted due to the Contractor's actions or means & methods (including selection of shoring systems), the water service pipe will be replaced at the Contractor's own cost.

**(4) Refer to Subsection 10.25 - Contractor To Carry Out Agreement Between City And Railroad Company Or Property Owner(s), Page 22:**

**Add** the following to **Subsection 10.25:**

**(A) NYC TRANSIT GENERAL NOTES**

For NYC TRANSIT notes see the contract drawings.

**(B) NYC TRANSIT INSURANCE REQUIREMENTS**

For NYC TRANSIT insurance requirements, refer to A section of S Pages.

**(5) Refer to Subsection 10.30 - Contractor To Provide For Traffic, Page 24:**

**Add** the following to **Subsection 10.30:**

**(1) Traffic Stipulations:**

The Contractor shall refer to Traffic Stipulations identified in the maintenance of traffic requirements under Highway Contract No. HWCSCHPKR.

- (6) Refer to **Subsection 71.41.4 - Specific Pavement Restoration Provisions**, Page 529:  
Add the following to **Subsection 71.41.4**:

(E) Specific Pavement Restoration Provisions:

- (1) Within the limits of the highway reconstruction the restoration shall be accomplished and paid for in accordance with Highway Construction Plans, Details and Specifications for Highway Project ID. HWCSCHPKR.

**C. REVISIONS TO THE SPECIFICATIONS FOR TRUNK MAIN WORK**

- 1) **Refer** to **Part 1 – Furnishing And Delivering Steel Pipes And Appurtenances 30 Inches In Diameter And Larger, Section 11. Fabrication**; Page 4;  
**Add** the following to **Section 11**:

All steel water mains shall be spiral welded pipes, and all steel water main fittings shall be fabricated from qualified spiral welded pipe. Can type pipe is not acceptable.

- 2) **Refer** to **Part 1 – Furnishing And Delivering Steel Pipes And Appurtenances 30 Inches In Diameter And Larger, Section 13. Special Fittings**, Page 5;  
**Add** the following to **Section 13**:

The steel reducer shall have a length of seven (7) feet for every twelve (12) inches reduction in diameter.

- 3) **Refer** to the Specifications for Trunk Main Work, Division 2, Article 69.A.

**Replace** Article 69.A with the following:

“Cement must meet the requirements of AWWA C205, or as otherwise specified by the Engineer.”

**END OF SECTION**

**This Section consists of Five (5) pages.**

**NO TEXT ON THIS PAGE**



**HAZ - PAGES****SUPPLEMENTAL DOCUMENTATION FOR USE  
WITH SPECIFICATIONS FOR HANDLING,  
TRANSPORTATION AND DISPOSAL  
OF NONHAZARDOUS AND POTENTIALLY  
HAZARDOUS CONTAMINATED MATERIALS**

---

**NOTICE**

THE PAGES CONTAINED IN THIS SECTION ARE ISSUED FOR THE PURPOSE OF SPECIFYING THE REQUIREMENTS OF THE CONTRACT DOCUMENTS AND HEREBY MADE PART OF SAID CONTRACT DOCUMENTS.

(NO TEXT ON THIS PAGE)

**SUPPLEMENTAL DOCUMENTATION FOR USE WITH SPECIFICATIONS FOR  
HANDLING, TRANSPORTATION, AND DISPOSAL  
OF POTENTIAL AND IDENTIFIED  
CONTAMINATED AND HAZARDOUS MATERIALS**

**SAFE ROUTE TO SCHOOLS, BOROUGHES OF BROOKLYN AND STATEN ISLAND**

**BOROUGHES OF BROOKLYN AND STATEN ISLAND  
CITY OF NEW YORK**

**Project ID: HWCSCHPKR**

**Prepared By:**

**NYC** Department of  
**DDC** Design and  
Construction  
30-30 Thomson Avenue  
Long Island City, New York 11101

December 7, 2022

---

These Haz-Pages are to be read in conjunction with the corresponding 8.01 sections of  
STANDARD HIGHWAY SPECIFICATIONS, May 16, 2022.

---

## Notice to Bidders

---

**DISCLAIMER:** NO PHASE II SUBSURFACE CORRIDOR INVESTIGATION (SCI) IS PROVIDED. THE CONTRACTOR IS TO ASSUME THE EXCAVATED SOIL IS CONTAMINATED AND BID ON THE QUANTITIES LISTED. THE CONTRACTOR SHALL USE THE CONTRACTORS ENGINEERING JUDGMENT FOR PRICING OF THOSE ITEMS. THE PHASE I CORRIDOR ASSESSMENT REPORT IS INCLUDED AS ATTACHMENT 1.

**NOTICE**

THE PAGES CONTAINED IN THIS JOINT BID (JB-PAGES) REPRESENT ADDITIONAL CONTRACT REQUIREMENTS APPLYING TO WORK PERFORMED IN THE PRESENCE OF PRIVATELY OWNED UTILITY FACILITIES.

**(NO TEXT ON THIS PAGE)**

## **JB-PAGES TABLE OF CONTENTS**

- SECTION A. JOINT BID CONTRACT REQUIREMENTS AND STANDARD SPECIFICATIONS
- SECTION B. NYC UTILITY PRICE LIST
- SECTION C. JOINT BID SPECIAL SPECIFICATIONS
- SECTION D. PRIVATE UTILITIES PARTICIPATING LIST
- SECTION E. PRIVATE UTILITIES SCOPE OF WORK
- SECTION F. PRIVATE UTILITIES TEST PITS AND SKETCHES
- SECTION G. PRIVATE UTILITY DRAWING LIST

# **SECTION A – JOINT BID REQUIREMENTS AND STANDARD SPECIFICATIONS**

---

---



## **SECTION A.1 - Requirements for Joint Bid Work**

A. Definitions. Additional terms are defined in Standard Construction Contract.

1. "Business Days" will mean Monday through Friday, excluding holidays.
2. "City Facility(ies)" will mean any facility owned by the City, including, but not limited to, roadways, streets, highways, parkways and other thoroughfares, bridges, sewers, culverts, catch basins, chutes and water mains.
3. "Joint Bid Project(s)" will mean a construction project that the City and Utilities agree will be awarded in accordance with applicable law and will include both City Facilities and Utility Facilities.
4. "Pre-engineer" or "Pre-engineering" will mean a process undertaken by the Utilities on all Joint Bid Projects whereby the Utilities will determine and mark-out current Utility Facilities, and design the location and/or re-location of Utility Facilities in a manner that is to the extent practicable, efficient and cost-effective for both the City and the Utilities to avoid or ameliorate disturbances to the City Facilities and the Utilities Facilities, and in which the City and Utility participate in prior to the award of a Joint Bid Project contract.
5. "Public Work" will mean the following: (a) construction, reconstruction, installation, alteration, maintenance, repair, grading, re-grading, regulating and improvement of roadways, highways, streets, parkways and other thoroughfares, and bridges and (b) similarly for sewers, culverts, catch basins, chutes and water mains.
6. "Shared Items" will mean the bid items in the City's construction contract in which the total cost will be paid for by the City and the Utilities in accordance with their share as mutually agreed upon.
7. "Specialty Contractor" will mean a contractor provided and paid for by the Utility, which may include the Utilities' in-house field forces.
8. "Specific Public Work Items" will mean a detailed set of specifications prepared by the City based on the City's engineering, design and plans that will represent the Public Work portion of the Joint Bid Project and it is these unit price items and quantities related to the Public Work that will be bid upon and evaluated by the City for the Public Work portion of the City's construction contract.
9. "Specific Shared Items" will mean a detailed set of specifications prepared by the City based on the City's engineering, design and plans that will represent the Shared Items portion of the Joint Bid Project and it is these unit prices and quantities related to Shared Items that will be bid upon and evaluated by the City for the Shared Items portion of the City's construction contract.
10. "Specific Utility Work Items" will mean a detailed set of specifications prepared by the Utilities based on the Utility's Pre-engineering that will represent the Utility Work portion of the Joint Bid Project. The Specific Utility Work Items are composed of the Joint Bid Fixed Sum Items and Joint Bid Specialty Items, as described in Section A.2 below.

11. "Utility Facility(ies)" will mean the property owned by the Utilities, including, but not limited to, pipes, poles, conduits, wires, lines and other facilities, structures or property of the Utilities that may be below ground, at ground-level or above ground, that could disturb or interfere with the Public Work.
  12. "Utility" or "Utilities" will mean the utility entities participating in this Joint Bid Project.
  13. "Utility Work" will mean such work as is required to be performed by the Contractor during the performance of Public Work, as defined herein, in order to maintain, protect, support, shift, alter, relocate, remove, construct, and/or replace Utility Facilities at the Utilities' expense.
- B. The City is bidding jointly this Contract. The City has combined its Public Work and Utility Work into one bid contract package. All prospective bidders should be alerted to the fact that the City prepared all specifications, drawings, and all other necessary contract documents for the Public Work and Utility Work.
  - C. The City has prepared contract documents which include specifications, drawings and all other necessary contract documents for the Public Work and Utility Work. The bid items, specifications, and estimated quantities have been designed to fully compensate the Contractor for its costs to perform the Public Work and Utility Work.
  - D. The Contractor agrees that its bid prices and the NYC Utility Price List prices for the Public Work and Utility Work will include all incremental costs and/ or additional compensation for performing Public Work and Utility Work including: coordination of its work with the Utilities, loss of productivity and efficiency, idle time, delays (including any delays occasioned by negotiation of a contract change), change in operations, mobilization, demobilization, remobilization, added cost or expense, loss of profit, other damages or impact costs that may be suffered by the Contractor because of direct or indirect obstructions due to the presence of Utility Facilities, such as conduits, ducts or duct banks containing conductors for live and/or abandoned electric, telephone, cable TV, any type of communication cables, "Non Cost Sharing" gas mains and services, steam mains, and various non-hazardous encasement materials or utility structures located within the Public Work project area.
  - E. In the bid solicitation documents, the City has provided estimated quantities for both Specific Public Work Items, Specific Utility Work Items, and Specific Shared Items. Bidders are required to bid a unit price on all Work in the Bid Schedules. For the purposes of identifying the lowest responsive and responsible bidder, a bidder's unit prices bid must be calculated based on all Work, which includes the combined Specific Public Work Items, the Specific Utility Work Items, and the Specific Shared Items.
  - F. If the Utility determines that the Contractor is not qualified or best suited to perform a specific scope of Utility Work, the Utility has the right to utilize their Specialty Contractors. If the Contractor claims that delays were caused by a Utility for failure to supply and/or provide Specialty Contractors in a timely manner, then the Contractor may bring a claim against the Utility. To the extent the Contractor claims that a delay was caused by a Utility, the Contractor will be limited to bringing such legal action in a court of law and may not seek arbitration over any delay claims or delay-related claims. If the Contractor and Utility initiate a legal action against each other, this legal action will be outside the jurisdiction of the City's Contract Dispute Resolution Board process and the City will not be a party in the litigation process. Neither the Contractor nor the Utility may bring a delay claim against the City through either a

court of law or the City's Contract Dispute Resolution Board process. Refer to the Standard Construction Contract for additional details. The Contractor must allow the Utility's Specialty Contractors to have reasonable access to the work area with prior notice and may, with the exception of the Utilities' in-house field forces, condition such access on proof of insurance acceptable to the Engineer.

- G. The Lower Manhattan Joint Bid Agreement, dated June 6, 2006, shall not apply to this project. The Contractor agrees that the Utilities are third-party beneficiaries of the contract for a Joint Bid Project, and that the Utilities shall be entitled to rely upon and enforce any and all terms and conditions of the Contract for a Joint Bid Project as it pertains to the Contractor and the performance of the Public Work, Shared Items, and Utility Work.

## **SECTION A.2 – Price List Method**

A. This Contract has been prepared using the Price List Method. The Price List Method consists of all of the following.

1. The Contractor bids on the items listed in the Bid Schedule (“Bid Items”)
2. The Contractor agrees that the prices listed for items in the Price List (“PL Items”) represent full and complete compensation for the Specific Utility Work Items listed in the NYC Utility Price List. The NYC Utility Price List prices are fixed for the duration of the Contract, regardless of any time extensions.
3. The Bid Schedule contains Joint Bid Fixed Sum Items (“JB FS Items”) that will be used for payment of Utility Work. These items may include:

<b>Item No.</b>	<b>Description</b>	<b>Unit</b>
JB-FS-AL	ALTICE JB FIXED SUM	F.S.
JB-FS-CC	CROWN CASTLE JB FIXED SUM	F.S.
JB-FS-CE	CON EDISON JB FIXED SUM	F.S.
JB-FS-EX	EXTNET JB FIXED SUM	F.S.
JB-FS-NG	NATIONAL GRID JB FIXED SUM	F.S.
JB-FS-LI	LIPA-PSEG JB FIXED SUM	F.S.
JB-FS-RC	RCN JB FIXED SUM	F.S.
JB-FS-SP	CHARTER-SPECTRUM-TW JB FIXED SUM	F.S.
JB-FS-VZ	ECS-VERIZON JB FIXED SUM	F.S.

4. If this Contract contains Specialty JB items that are not covered by the NYC Utility Price List (“JB Specialty Items”), the Contractor will bid on the JB Special items listed in the separate JB Specialty Item Bid Schedule. For clarity, standard City items that are not used in the Bid Schedule may be used as JB Specialty Items.

B. Any costs that are to be paid for by the Utility will be paid according to the JB FS Items, whether the work is a Bid Item, PL Item, or JB Specialty Item.

C. There is no restriction as to which items may be used to pay for Utility Work – any Bid Item, PL Item (regardless of the utility), or JB Specialty Item (regardless of the utility) may be used. Utility may use any applicable item from the Bid Items, the PL Items, or the JB Specialty Items, whether for anticipated or unanticipated Utility work, regardless of whether the item is considered or defined as a City item, such Utility’s item, or another Utility’s item. In consultation with the Utilities, the Engineer is responsible for verifying the applicability of items proposed for use by the Utilities in accordance with any agreement in effect between the City and the Utilities. The arbitrator(s) under Section A.4 shall have the sole and exclusive authority to determine which items are applicable should there be a dispute between any Utility and the Contractor on such issue.

D. Quantities of work to be paid for under the JB FS Items must be tracked separately from the quantities of work paid for under the Bid Items. The method and format of separate tracking must be submitted to the Engineer for review and processing.

E. Overruns:

1. Bid Items: Quantities of Bid Items paid for according to the JB FS Items are not overruns for the purpose of Standard Construction Contract Article 26.1. However, if the City negotiates a new unit price for an item per Article 26.1, that new unit price will also be used for payment under the JB FS Items.

2. JB FS Items: The City will not pay the Contractor directly when there is an overrun of the JB FS Items, except when the City's RE determines that such overruns are caused by field conditions impacting planned City work, or scope of work changes. Overruns not paid by City will be paid directly to Contractor by the Utility at the established unit rate for the Bid Items, the PL Items, or the JB Specialty Items and according to the same retainage requirements as applicable between the City and the Contractor. In order to facilitate the shared cost reconciliation between the City and the Utilities, the Contractor must provide details of all payments from the Utilities. These details must include the items, quantities, and amounts that are covered by the payment.

In the event that funds are insufficient in the Utility budget code to continue payments under the JB FS Item, the Engineer may determine that all future payments under the JB FS Item are overruns, and will be paid directly to the Contractor by the Utility as specified in the paragraph above.

#### F. Extra Work:

1. If during construction the Contractor encounters utility facilities interferences or utility scope of work that it believes is not covered by the Contract, then the Contractor must immediately notify the City and the Utility in writing, describing the nature and location of the extra work in question. The Utility then has five (5) business days to investigate the conditions and then either:
  - i. Advise the Contractor and the City in writing that no interference with its facilities exists at the location in question, and hence that the Contractor may proceed with City work without providing for any impact from Company facilities;
  - ii. Advise the Contractor and the City in writing that the Contract items provide for the scope of work encountered, specifying the exact unit items that cover the work;
  - iii. Advise the Contractor and the City in writing that it intends to perform the necessary utility work with Utility forces or with Specialty Contractors, but not limited to, relocating its facility out of the way of the proposed City work. In this case, the Utility must provide a written schedule for the performance of the utility work it proposes to perform, which shall be subject to approval by the City based on its impact to the Contractor's currently approved progress schedule. Upon approval of the Utility's schedule by the City, the Contractor must provide access to the worksite to the Utility and/or any Specialty Contractors hired by it to perform this utility work. If necessary, the City may grant a contract time extension for delays caused by the performance of such utility work by the Utility.
  - iv. Reasonably specify in writing the scope of work to be performed by the Contractor on behalf of the Company that is not covered under the Contract, including, but not limited to, relocating, supporting, and/or protecting the Utility's facilities, and/or shifting the City facility if approved by the Engineer, and/or otherwise changing its operations to work in the presence of the Utility's facilities. Should the Utility elect this option, it must adequately define and provide an initial price offer for the work required to be performed.
2. For items not included in the Bid Items, PL Items, or JB Specialty Items ("Utility Extra Work"), the Utility and the Contractor will directly negotiate unit rates, lump sum amounts, or agree to payment on a Time and Material Basis. In this case, the

requirements above for overruns apply for payment. The Utility may select whether the Extra Work will be paid under the appropriate JB FS Item or paid directly from the Utility, unless such payment would cause an overrun of the JB FS Item and the Extra Work will be paid directly from the Utility. In this case, the requirements above for overruns apply for payment. If Extra Work agreed to on a Time and Material Basis is not calculated in accordance with Article 26 of the Standard Construction Contract, this Extra Work must be paid directly to the Contractor by the Utility.

In order to facilitate the shared cost reconciliation between the City and the Utilities, the Contractor must provide details of all payments from the Utilities. These details must include the items, quantities, and amounts that are covered by the payment.

G. Overtime on Utility Work:

The Contractor will be paid under this article for Utility Work deemed necessary by the Commissioner (in consultation with the Utility) to accelerate Specific Utility Work Items during critical periods. Such accelerated Utility Work includes:

- A. 100% of the premium portions of overtime pay for working during non-scheduled work hours which must be defined as those hours of work outside the permissible hours stated in the original contract OCMC Traffic Stipulations; or,
- B. The premium portion of overtime pay for overtime actually worked beyond the 40-hour work week but within the permissible hours of work stated in the original contract OCMC Traffic Stipulations; or,
- C. All other incidental expenditures caused by modifications of project site regulations or administrative requirements ordered by the Commissioner (in consultation with the Utility) that result in additional costs to perform Contract Work as specified.

Such accelerated Utility Work must be paid for under the appropriate JB FS Item in accordance with the requirements of Articles 25 and 26 of the Standard Construction Contract.

Payment made under this article must cover the cost of all labor, materials, plant, equipment, insurance, and incidentals necessary to accelerate the work as ordered by the Commissioner (in consultation with the Utility).

This article may only be applied to Utility Work performed prior to Substantial Completion of the Project.

### **SECTION A.3 - Standard Specifications for Joint Bid Work**

- A. The Contractor is responsible for performing work in accordance with the Private Utilities reference document called "JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR LOWER MANHATTAN", dated August 1, 2005, as amended below and in Section C.
- B. Refer to the Private Utility reference document called "JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR LOWER MANHATTAN", Specification for JB 450, pages 56, 57, and 58;  
Note: Items under JB 450 are task driven operation items and are not based on crew size. These items are divided into three unique types, each of which provides a description of applicability and typical use. The "Method of Measurement", on page 57, states that "The actual crew performing the operation will not be considered by the facility operator, in consultation with the Resident Engineer, when determining the applicable Unit Item Type, which will be only as per the task performed."
- C. Refer to the Private Utility reference document called "JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR LOWER MANHATTAN", Specification for JB 225, page 10, Article A. Description;  
Delete the last four lines of text in their entirety, beginning with the words: "accordance with Specification under Addendum #1, . . .";  
Substitute the following revised text: "accordance with Specification Section 7.18 – Controlled Low Strength Material (CLSM), in the Standard Highway Specification. All backfill within the maximum excavation limits shown in Sketch No. JB 225 will be of controlled low strength material (CLSM) in compliance with requirements of Section 7.18, and its cost will be deemed included in this item."
- D. Refer to the Private Utility reference document called "JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR LOWER MANHATTAN", Specification for JB 225, page 10, Article B. Materials;  
Delete the first sentence in its entirety, beginning with the words: "Furnish Controlled Low Strength Material fill or backfill . . .";  
Substitute the following revised sentence: "Furnish Controlled Low Strength Material fill or backfill as required and specified in Section 7.18 – Controlled Low Strength Material (CLSM), of the Standard Highway Specification."
- E. Refer to the Private Utility reference document called "JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR LOWER MANHATTAN", Specification for JB 226, page 12, Article A. Description;  
Delete the last five lines of text in their entirety, beginning with the words: "accordance with Specification under Addendum #1, . . .";  
Substitute the following revised text: "accordance with Specification Section 7.18 – Controlled Low Strength Material (CLSM), in the Standard Highway Specification. All backfill within the maximum excavation limits shown in Sketch No. JB 225 will be of controlled low strength material (CLSM) backfill in compliance with Section 7.18 in the Standard Highway Specifications, and its cost will be deemed included in this item."
- F. Refer to the Private Utility reference document called "JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR LOWER MANHATTAN", Specification for JB 226, page 12, Article B. Materials;

Delete the first sentence in its entirety, beginning with the words: “Furnish controlled low strength material fill or backfill . . .”;

Substitute the following revised sentence: “Furnish controlled low strength material fill or backfill as required and specified in Section 7.18 – Controlled Low Strength Material (CLSM), of the Standard Highway Specification.”

- G. Section JB 350 is not applicable and deemed deleted. The Contractor will not be paid separately to modify means and methods around overhead utilities; those costs must be included in the prices bid for all work.
- H. Section JB 900 is not applicable and deemed deleted.



SECTION A.4 – Resolution of Certain Disputes Arising Between the Contractor and the Utilities  
(Appendix “JB-A”)

A.1.0 Applicability. In recognition of the usefulness of a process of alternative dispute resolution for its efficiency, speed and cost-effectiveness in managing conflict and settling disputes that may arise under, or by virtue of, these special provisions of Joint Bidding, the City and Utilities have agreed to the procedures set forth in this **Appendix “JB-A.”** Accordingly, this **Appendix “JB-A”** will apply to disputes between the Contractor and the Utilities that arise in relation to this Contract, except for those disputes between the Contractor and the Utilities relating to delay claims, as described in Section A.1, Article F of these JB-Pages.

A.1.1 The Utilities’ Responsibilities. If the Utility identifies an issue in the payment requisition for the Utility Work, the Utility will immediately notify the City and the Contractor by a written notice. After sending such written notice, the Utility agrees to meet with the Contractor to resolve the issue. If the issue cannot be resolved, then the Utility or the Contractor will seek to resolve the issue through the arbitration process as set forth herein.

A.1.2 No Extra Or Disputed Work. If the Utility determines that the alleged extra Utility Work or the disputed Utility Work is part of the City’s Contract Documents and denies the Contractor’s claim or request for a change order, then after receiving the Utility’s written response, the Contractor will either accept the Utility’s determination or immediately seek to have the issue resolved through the arbitration process as set forth herein.

A.1.3 Extra Work. If the Utility determines that there is extra Utility Work, the extra Utility Work will be paid for based on the contract rates as set forth in A.2 of these JB-Pages. If all or a portion of the agreed upon extra Utility Work items are not in the contract rates, then the Utility and the Contractor will negotiate the cost of the extra Utility Work with each other with the understanding that the performance of Public and Utility Work will continue during all negotiations and discussions. If the parties reach an agreement on cost for the extra Utility Work, then the Contractor and the Utility will submit to the City’s RE a copy of the agreed upon prices together with supporting documentation. If the parties do not reach an agreement on cost for the extra Utility Work, then the parties will immediately arbitrate the issue as set forth herein.

A.2.0 Joint Bid Projects. Disputes that arise under this Appendix, as described above in paragraph A.1.0, will be resolved in accordance with the provisions of this **Appendix “JB-A”**. **Appendix “JB-A”** will NOT apply to any disputes between the City and the Contractor, or any disputes between the City and the Utilities. Since the arbitration of Utility interference disputes, as described in Article A.1.0 above, is a matter solely between the Utilities and the Contractor, and since the parties agree to reduce or eliminate any costs to the City relating to any arbitration pursuant to this **Appendix “JB-A”**, the parties hereby agree that:

A.2.1 The City will not be a party in the arbitration process;

A.2.2 Neither the Contractor nor the Utilities will call as a witness in the arbitration process any City employee, agent or consultant, including the City’s RE, his staff or City inspection personnel;

A.2.3 The City will not be responsible for any costs, fees or monetary awards or price adjustments associated in any way with the arbitration process described in this **Appendix “JB-A”**; and

A.2.4 Notwithstanding Articles A.2.1 and A.2.2, the City’s obligation to furnish information to the parties will be limited to those requests as set forth under the New York State Freedom of Information Law, as amended.

A.3.0 Pre-Arbitration Procedures.

A.3.1 Should a dispute arise between any Utility and the Contractor pursuant to Article A.1.0 of this Appendix, the disputing party will notify the City and the other party in writing within two (2) Business Days of the dispute that a dispute exists, and briefly describe; (i) the nature of the dispute; and (ii) the proposed resolution and rationale supporting its proposal.

A.3.2 After notifying the City of the dispute, the disputing parties will have fifteen

(15) Business Days to meet, discuss the issues, exchange documents and/or exchange offers with due diligence and in good faith in order to reach an agreement and resolve the dispute.

- A.3.3. If the disputing parties reach an agreement, they will immediately notify the City in writing that the dispute has been resolved and describe the terms of the resolution.
- A.3.4. If the disputing parties have not reached an agreement within fifteen (15) Business Days of the date the City was first notified of the dispute, the Contractor will, within five (5) Business Days thereafter, submit to the Utility a written Final Offer, which will consist of: (i) a description (e.g., units and quantities) of all reasonable and necessary disputed work or extra work which the Contractor contends are not covered by application of the contract rates; and (ii) a detailed breakdown of the Contractor's proposed prices (e.g., unit prices and quantities) for such work.
- A.3.5. Upon receipt of the Contractor's Final Offer, the Utility will, within five (5) Business Days, either accept the Contractor's Final Offer or submit to the Contractor a written Final Offer which will consist of: (i) a description (e.g., units and quantities) of all reasonable and necessary disputed work or extra work, if any; and (ii) a detailed breakdown of the Utility's proposed prices (e.g., unit prices and quantities) for such work, if applicable.
- A.3.6. Once Final Offers have been exchanged by the parties, they may not be modified or withdrawn by either party except by mutual agreement or final settlement of the dispute.
- A.3.7. Upon exchange of Final Offers, the Contractor will have three (3) Business Days, to either accept or reject the Utility's Final Offer. If the Contractor rejects the Utility's Final Offer, then either the Contractor or the Utility will submit the dispute to the American Arbitration Association ("AAA") to be resolved in accordance with the Construction Industry Arbitration Rules ("Rules") in effect on the date the arbitration is initiated, except as such Rules are modified herein.
- A.3.8. Each of the steps described above will be a condition precedent to the obligations of the parties in succeeding steps. Since **Time is of the Essence**, should either party fail to comply with any of the pre-arbitration procedures described above, that party will be deemed to be in default. If, upon receipt of written notice of default by the other party, the defaulting party has not cured the default within three (3) Business Days, the other party may proceed to arbitration solely on the issue of whether the defaulting party was in default of these pre-arbitration procedures. If, after hearing evidence, the arbitrator(s) determine that the defaulting party was in default of these pre-arbitration procedures, then the arbitrator(s) will enter a final decision in favor of the other party in accordance with the Final Offer submitted by the other party or, if no Final Offer has been submitted prior to the default, according to the last written proposal submitted by the other party.

#### A.4.0 General Provisions.

- A.4.1. The Utility agrees to pay for any disputed or extra Utility Work while the arbitration proceeding is pending based on the Utility's Final Offer.
- A.4.2. All determinations by the parties required by this **Appendix "JB-A"** will be clearly stated, with a reasoned explanation for the determination based on the information and evidence presented to the party making the determination.
- A.4.3. The Utility agrees to copy the City on all communications involving the arbitration process and to notify the City of the final determination.
- A.4.4. The Utility agrees to pay the Contractor directly for any final settlement for extra Utility Work that may be agreed to by the Utilities and the Contractor or any final award for extra Utility Work issued by the arbitrator(s), less credits for any payments previously made by the Utility to the Contractor.
- A.4.5. All of the contract defined terms will apply here, as if they were re-stated herein.

- A.4.6 Since **Time Is Of The Essence** on all Joint Bid Projects, whenever there is a dispute pursuant to this **Appendix “JB-A”**, the terms of the City’s Construction Contract will remain in full force and effect, and the Contractor will continue performing all of the Contract Work and the Utility Work as directed by the City.
- A.4.7 The timeframes set forth herein have been established to ensure that the Joint Bid Project does not stop for any disputes between the Contractor and the Utility.
- A.4.8 All of the timeframes are measured in Business Days, which include Monday, Tuesday, Wednesday, Thursday and Friday, but exclude holidays.
- A.4.9 For all disputes that arise under **Appendix “JB-A”**, the City’s role will be limited to receiving copies of all written communications.
- A.4.10 The Contractor and all subcontractors hired by it agree to waive any rights they may have, if any, under law, equity, contract or otherwise to compel the City to assert any right the City may have, including the issuance of any directives or so-called “order outs” under the New York City Administrative Code, to require any or all of the Utilities to maintain, repair, replace, protect, support, shift, alter, relocate, and/or remove Utility facilities in connection with work to be performed under this contract. However, nothing in this Agreement will preclude the City from exercising its rights under the law, including the right to issue such a directive to a Utility.
- A.4.11 Each Utility will be named as an additional insured on all insurance policies required to be maintained by the Contractor in connection with the Joint Bid Project. The actual incremental cost, if any, to the Contractor of providing such insurance coverage will be borne by the Contractor. The Contractor will provide a written statement from its insurance provider documenting this added coverage to the Utility. Under no circumstances will the cost of insurance coverage on behalf of the Utility be borne by the City. Nothing in this paragraph will be interpreted to imply the City’s acceptance of any additional responsibility or liability for any matter related to the performance of Utility Work. In particular, with regard to any Utility Work performed in accordance with or through this **Appendix “JB-A”**, the Utility and the Contractor bear joint and full responsibility to ensure that any Utility Work performed by the Contractor is in compliance with all applicable government and Utility regulations.
- A.5.0 The Arbitration Procedures.
- A.5.1 Once the AAA has appointed an arbitrator(s), the arbitration will be scheduled as promptly as possible given the arbitrator(s) and the parties’ schedules.
- A.5.2 No later than fourteen (14) calendar days prior to the first day of arbitration, the Utility and Contractor will submit to the arbitrator(s), and to each other, a summary of each party’s respective position, all documentary, photographic or physical evidence on which the party intends to rely, and such other information as is deemed appropriate, along with a copy of each party’s “Final Offer” as described above.
- A.5.3 The arbitration will be conducted and concluded in two (2) days.
- A.5.4 On the morning of the first (1<sup>st</sup>) day of the arbitration, Contractor and/or representatives will have 3½ hours to make a presentation of its claim to the arbitrator(s). During its presentation, Contractor will not be permitted to produce any evidence that has not already been provided to the Utility and the arbitrator(s) pursuant to Paragraph A.5.2, above. Contractor will be permitted to produce any analysis or description of its claim that has been prepared for the purpose of its presentation.
- A.5.5 After the Contractor’s presentation, Utility and/or its representatives will have 2 hours to ask the Contractor questions about its claim and its presentation. Thereafter, the arbitrator(s) will have 2 hours to ask the Contractor questions about its claim and its presentation.

- A.5.6 On the morning of the second (2<sup>nd</sup>) day of the arbitration, Utility and/or its representatives will have 3½ hours to make a presentation of its claim to the arbitrator(s). During its presentation, the Utilities will not be permitted to produce any evidence that has not already been provided to the Contractor and the arbitrator(s) pursuant to Paragraph A.5.2, above. The Utility will be permitted to produce any analysis or description of its claim that has been prepared for the purpose of its presentation.
- A.5.7 After the Utility's presentation, the Contractor and/or its representatives will have 2 hours to ask the Utility questions about its claim and its presentation. Thereafter, the arbitrator(s) will have 2 hours to ask the Utility questions about its claim and its presentation.
- A.5.8 Subject to the above maximum time limitations set forth above, the arbitrator(s) may conduct the arbitration in such manner as the arbitrator(s) deems reasonable.
- A.5.9 The arbitrator(s) will then have one (1) week to select in writing, as the arbitrators' award, that party's Final Offer that appears to be more reasonable, based on the presentations at the arbitration hearings.
- A.5.10 The arbitrator(s) will have no discretion to grant an award other than one of the two (2) Final Offers submitted by the parties.
- A.5.11 The arbitration award will be final and binding upon the parties to the arbitration and judgment upon the award may be entered in a court having jurisdiction.
- A.5.12 Any award for work that has already been performed will be paid on the 7<sup>th</sup> day after receipt of the arbitrator's decision, or on the 30<sup>th</sup> day after completion of the work, whichever is later. Payment for work not yet completed at the time of the arbitrator's decision will be paid within thirty (30) calendar days of completion of work. Interest will accrue from the date payment is due at the rate of nine (9%) percent per annum. Either party may cause judgment to be entered in accordance with the decision of the arbitrator(s) in a court in the State of New York, County of New York.
- A.5.13 The Utility and the Contractor initially will share the arbitrator's(s') fees and any other costs of the arbitration equally. The non-prevailing party will then pay all arbitrator's(s') fees and costs of the arbitration and will reimburse the prevailing party for its share of such fees and costs theretofore paid.
- A.5.14 The parties may, at any time, settle any matter submitted to arbitration.
- A.5.15 Since **Time is of the Essence**, should any party, at any time after the dispute has been submitted for arbitration, materially fail to comply with: (i) the Rules, (ii) any of these arbitration procedures, or (iii) any procedural decisions by the arbitrator(s), then the arbitrator(s) will enter an order directing the party to cure its non-compliance within five (5) Business Days. If the party fails to comply with the order of the arbitrator(s) order within the five (5) Business Days, upon receipt of evidence that the non-complying party has failed to comply with the arbitrator's(s') order, the arbitrator(s) will enter a final decision in favor of the other party in accordance with the other party's Final Offer.

**END OF JB-PAGES SECTION A  
(NO FURTHER TEXT ON THIS PAGE)**

**SECTION B. NYC JOINT BID ITEM PRICE LIST**

---

---

## NYCDDC Infrastructure Division - Joint Bid Utility Price List

Item No.	Item Description	Unit	Price
JB 100.1	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION (TYPE .1)	EACH	\$ 573
JB 100.2	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION (TYPE .2)	EACH	\$ 858
JB 100.3	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION (TYPE .3)	EACH	\$ 1,125
JB 100.4	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION (TYPE .4)	EACH	\$ 1,368
JB 100.5	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION (TYPE .5)	EACH	\$ 1,883
JB 100.6	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION (TYPE .6)	EACH	\$ 2,012
JB 100.7	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION (TYPE .7)	EACH	\$ 2,182
JB 101.1	UTILITIES CROSSING TRENCH FOR SEWERS OVER 12" TO 24" DIAMETER (TYPE .1)	EACH	\$ 2,862
JB 101.2	UTILITIES CROSSING TRENCH FOR SEWERS OVER 12" TO 24" DIAMETER (TYPE .2)	EACH	\$ 3,284
JB 101.3	UTILITIES CROSSING TRENCH FOR SEWERS OVER 12" TO 24" DIAMETER (TYPE .3)	EACH	\$ 4,428
JB 101.4	UTILITIES CROSSING TRENCH FOR SEWERS OVER 12" TO 24" DIAMETER (TYPE .4)	EACH	\$ 4,686
JB 101.5	UTILITIES CROSSING TRENCH FOR SEWERS OVER 12" TO 24" DIAMETER (TYPE .5)	EACH	\$ 5,673
JB 101.6	UTILITIES CROSSING TRENCH FOR SEWERS OVER 12" TO 24" DIAMETER (TYPE .6)	EACH	\$ 6,208
JB 101.7	UTILITIES CROSSING TRENCH FOR SEWERS OVER 12" TO 24" DIAMETER (TYPE .7)	EACH	\$ 6,284
JB 102.1	UTILITIES CROSSING TRENCH FOR SEWERS OVER 24" TO 36" DIAMETER (TYPE .1)	EACH	\$ 3,565
JB 102.2	UTILITIES CROSSING TRENCH FOR SEWERS OVER 24" TO 36" DIAMETER (TYPE .2)	EACH	\$ 4,006
JB 102.3	UTILITIES CROSSING TRENCH FOR SEWERS OVER 24" TO 36" DIAMETER (TYPE .3)	EACH	\$ 4,780
JB 102.4	UTILITIES CROSSING TRENCH FOR SEWERS OVER 24" TO 36" DIAMETER (TYPE .4)	EACH	\$ 5,465
JB 102.5	UTILITIES CROSSING TRENCH FOR SEWERS OVER 24" TO 36" DIAMETER (TYPE .5)	EACH	\$ 6,056
JB 102.6	UTILITIES CROSSING TRENCH FOR SEWERS OVER 24" TO 36" DIAMETER (TYPE .6)	EACH	\$ 6,413
JB 102.7	UTILITIES CROSSING TRENCH FOR SEWERS OVER 24" TO 36" DIAMETER (TYPE .7)	EACH	\$ 7,526
JB 103.1	UTILITIES CROSSING TRENCH FOR SEWERS OVER 36" TO 48" DIAMETER (TYPE .1)	EACH	\$ 4,178
JB 103.2	UTILITIES CROSSING TRENCH FOR SEWERS OVER 36" TO 48" DIAMETER (TYPE .2)	EACH	\$ 4,910
JB 103.3	UTILITIES CROSSING TRENCH FOR SEWERS OVER 36" TO 48" DIAMETER (TYPE .3)	EACH	\$ 6,109
JB 103.4	UTILITIES CROSSING TRENCH FOR SEWERS OVER 36" TO 48" DIAMATER (TYPE .4)	EACH	\$ 7,183
JB 103.5	UTILITIES CROSSING TRENCH FOR SEWERS OVER 36" TO 48" DIAMATER (TYPE .5)	EACH	\$ 8,594

## NYCDDC Infrastructure Division - Joint Bid Utility Price List

Item No.	Item Description	Unit	Price
JB 103.6	UTILITIES CROSSING TRENCH FOR SEWERS OVER 36" TO 48" DIAMATER (TYPE .6)	EACH	\$ 9,213
JB 103.7	UTILITIES CROSSING TRENCH FOR SEWERS OVER 36" TO 48" DIAMATER (TYPE .7)	EACH	\$ 9,832
JB 104.1	UTILITIES CROSSING TRENCH FOR SEWERS OVER 48" TO 54" DIAMETER (TYPE .1)	EACH	\$ 4,601
JB 104.2	UTILITIES CROSSING TRENCH FOR SEWERS OVER 48" TO 54" DIAMETER (TYPE .2)	EACH	\$ 5,088
JB 104.3	UTILITIES CROSSING TRENCH FOR SEWERS OVER 48" TO 54" DIAMETER (TYPE .3)	EACH	\$ 6,071
JB 104.4	UTILITIES CROSSING TRENCH FOR SEWERS OVER 48" TO 54" DIAMETER (TYPE .4)	EACH	\$ 6,821
JB 104.5	UTILITIES CROSSING TRENCH FOR SEWERS OVER 48" TO 54" DIAMETER (TYPE .5)	EACH	\$ 7,943
JB 104.6	UTILITIES CROSSING TRENCH FOR SEWERS OVER 48" TO 54" DIAMETER (TYPE .6)	EACH	\$ 8,311
JB 104.7	UTILITIES CROSSING TRENCH FOR SEWERS OVER 48" TO 54" DIAMETER (TYPE .7)	EACH	\$ 8,835
JB 105.1	UTILITIES CROSSING TRENCH FOR SEWERS OVER 54" TO 60" DIAMETER (TYPE .1)	EACH	\$ 4,933
JB 105.2	UTILITIES CROSSING TRENCH FOR SEWERS OVER 54" TO 60" DIAMETER (TYPE .2)	EACH	\$ 5,460
JB 105.3	UTILITIES CROSSING TRENCH FOR SEWERS OVER 54" TO 60" DIAMETER (TYPE .3)	EACH	\$ 6,744
JB 105.4	UTILITIES CROSSING TRENCH FOR SEWERS OVER 54" TO 60" DIAMETER (TYPE .4)	EACH	\$ 7,854
JB 105.5	UTILITIES CROSSING TRENCH FOR SEWERS OVER 54" TO 60" DIAMETER (TYPE .5)	EACH	\$ 9,426
JB 105.6	UTILITIES CROSSING TRENCH FOR SEWERS OVER 54" TO 60" DIAMETER (TYPE .6)	EACH	\$ 10,001
JB 105.7	UTILITIES CROSSING TRENCH FOR SEWERS OVER 54" TO 60" DIAMETER (TYPE .7)	EACH	\$ 9,372
JB 106.1	UTILITIES CROSSING TRENCH FOR SEWERS OVER 60" TO 72" DIAMETER (TYPE .1)	EACH	\$ 5,156
JB 106.2	UTILITIES CROSSING TRENCH FOR SEWERS OVER 60" TO 72" DIAMETER (TYPE .2)	EACH	\$ 5,689
JB 106.3	UTILITIES CROSSING TRENCH FOR SEWERS OVER 60" TO 72" DIAMETER (TYPE .3)	EACH	\$ 7,013
JB 106.4	UTILITIES CROSSING TRENCH FOR SEWERS OVER 60" TO 72" DIAMETER (TYPE .4)	EACH	\$ 8,152
JB 106.5	UTILITIES CROSSING TRENCH FOR SEWERS OVER 60" TO 72" DIAMETER (TYPE .5)	EACH	\$ 9,659
JB 106.6	UTILITIES CROSSING TRENCH FOR SEWERS OVER 60" TO 72" DIAMETER (TYPE .6)	EACH	\$ 10,240
JB 106.7	UTILITIES CROSSING TRENCH FOR SEWERS OVER 60" TO 72" DIAMETER (TYPE .7)	EACH	\$ 10,821
JB 107.1	UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .1)	EACH	\$ 5,442
JB 107.2	UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .2)	EACH	\$ 6,079
JB 107.3	UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .3)	EACH	\$ 7,402

## NYCDDC Infrastructure Division - Joint Bid Utility Price List

Item No.	Item Description	Unit	Price
JB 107.4	UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .4)	EACH	\$ 8,592
JB 107.5	UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .5)	EACH	\$ 10,314
JB 107.6	UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .6)	EACH	\$ 10,709
JB 107.7	UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .7)	EACH	\$ 11,104
JB 108.1	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .1)	EACH	\$ 873
JB 108.2	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .2)	EACH	\$ 1,503
JB 108.3	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .3)	EACH	\$ 2,139
JB 108.4	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .4)	EACH	\$ 2,733
JB 108.5	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .5)	EACH	\$ 3,186
JB 108.6	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .6)	EACH	\$ 3,568
JB 108.7	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .7)	EACH	\$ 4,373
JB 109.1	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .1)	EACH	\$ 1,265
JB 109.2	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .2)	EACH	\$ 1,758
JB 109.3	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .3)	EACH	\$ 2,378
JB 109.4	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .4)	EACH	\$ 3,045
JB 109.5	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .5)	EACH	\$ 3,530
JB 109.6	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .6)	EACH	\$ 3,956
JB 109.7	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .7)	EACH	\$ 4,100
JB 110.1	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 24" AND UP TO 36" DIAMETER (TYPE .1)	EACH	\$ 1,649
JB 110.2	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 24" AND UP TO 36" DIAMETER (TYPE .2)	EACH	\$ 2,126
JB 110.3	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 24" AND UP TO 36" DIAMETER (TYPE .3)	EACH	\$ 2,744
JB 110.4	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 24" AND UP TO 36" DIAMETER (TYPE .4)	EACH	\$ 3,466
JB 110.5	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 24" AND UP TO 36" DIAMETER (TYPE .5)	EACH	\$ 4,055
JB 110.6	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 24" AND UP TO 36" DIAMETER (TYPE .6)	EACH	\$ 4,663
JB 110.7	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 24" AND UP TO 36" DIAMETER (TYPE .7)	EACH	\$ 5,453
JB 111.1	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 36" AND UP TO 48" DIAMETER (TYPE .1)	EACH	\$ 2,054



## NYCDDC Infrastructure Division - Joint Bid Utility Price List

Item No.	Item Description	Unit	Price
JB 111.2	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 36" AND UP TO 48" DIAMETER (TYPE .2)	EACH	\$ 2,748
JB 111.3	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 36" AND UP TO 48" DIAMETER (TYPE .3)	EACH	\$ 3,656
JB 111.4	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 36" AND UP TO 48" DIAMETER (TYPE .4)	EACH	\$ 4,652
JB 111.5	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 36" AND UP TO 48" DIAMETER (TYPE .5)	EACH	\$ 5,491
JB 111.6	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 36" AND UP TO 48" DIAMETER (TYPE .6)	EACH	\$ 6,228
JB 111.7	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 36" AND UP TO 48" DIAMETER (TYPE .7)	EACH	\$ 7,781
JB 112.1	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 48" AND UP TO 54" DIAMETER (TYPE .1)	EACH	\$ 2,050
JB 112.2	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 48" AND UP TO 54" DIAMETER (TYPE .2)	EACH	\$ 2,657
JB 112.3	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 48" AND UP TO 54" DIAMETER (TYPE .3)	EACH	\$ 3,687
JB 112.4	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 48" AND UP TO 54" DIAMETER (TYPE .4)	EACH	\$ 4,753
JB 112.5	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 48" AND UP TO 54" DIAMETER (TYPE .5)	EACH	\$ 5,574
JB 112.6	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 48" AND UP TO 54" DIAMETER (TYPE .6)	EACH	\$ 6,273
JB 112.7	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 48" AND UP TO 54" DIAMETER (TYPE .7)	EACH	\$ 7,413
JB 113.1	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 54" AND UP TO 60" DIAMETER (TYPE .1)	EACH	\$ 2,361
JB 113.2	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 54" AND UP TO 60" DIAMETER (TYPE .2)	EACH	\$ 3,198
JB 113.3	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 54" AND UP TO 60" DIAMETER (TYPE .3)	EACH	\$ 4,249
JB 113.4	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 54" AND UP TO 60" DIAMETER (TYPE .4)	EACH	\$ 5,340
JB 113.5	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 54" AND UP TO 60" DIAMETER (TYPE .5)	EACH	\$ 6,135
JB 113.6	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 54" AND UP TO 60" DIAMETER (TYPE .6)	EACH	\$ 6,914
JB 113.7	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 54" AND UP TO 60" DIAMETER (TYPE .7)	EACH	\$ 8,046
JB 114.1	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 60" AND UP TO 72" DIAMETER (TYPE .1)	EACH	\$ 2,492
JB 114.2	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 60" AND UP TO 72" DIAMETER (TYPE .2)	EACH	\$ 3,599
JB 114.3	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 60" AND UP TO 72" DIAMETER (TYPE .3)	EACH	\$ 5,401
JB 114.4	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 60" AND UP TO 72" DIAMETER (TYPE .4)	EACH	\$ 7,013
JB 114.5	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 60" AND UP TO 72" DIAMETER (TYPE .5)	EACH	\$ 8,301
JB 114.6	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 60" AND UP TO 72" DIAMETER (TYPE .6)	EACH	\$ 9,188

## NYCDDC Infrastructure Division - Joint Bid Utility Price List

Item No.	Item Description	Unit	Price
JB 114.7	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 60" AND UP TO 72" DIAMETER (TYPE .7)	EACH	\$ 9,474
JB 115.1	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 72" AND UP TO 84" DIAMETER (TYPE .1)	EACH	\$ 2,874
JB 115.2	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 72" AND UP TO 84" DIAMETER (TYPE .2)	EACH	\$ 4,116
JB 115.3	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 72" AND UP TO 84" DIAMETER (TYPE .3)	EACH	\$ 6,065
JB 115.4	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 72" AND UP TO 84" DIAMETER (TYPE .4)	EACH	\$ 7,994
JB 115.5	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 72" AND UP TO 84" DIAMETER (TYPE .5)	EACH	\$ 9,455
JB 115.6	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 72" AND UP TO 84" DIAMETER (TYPE .6)	EACH	\$ 10,379
JB 115.7	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 72" AND UP TO 84" DIAMETER (TYPE .7)	EACH	\$ 10,742
JB 116.1	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 84" (TYPE .1)	EACH	\$ 3,118
JB 116.2	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 84" (TYPE .2)	EACH	\$ 4,480
JB 116.3	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 84" (TYPE .3)	EACH	\$ 6,478
JB 116.4	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 84" (TYPE .4)	EACH	\$ 8,628
JB 116.5	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 84" (TYPE .5)	EACH	\$ 10,236
JB 116.6	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 84" (TYPE .6)	EACH	\$ 11,263
JB 116.7	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 84" (TYPE .7)	EACH	\$ 11,627
JB 200	EXTRA DEPTH EXCAVATION OF CATCH BASIN CHUTE CONNECTION PIPES	L.F.	\$ 190
JB 225.1A	INSTALLATION AND REMOVAL OF CATCH BASINS WITH UTILITY INTERFERENCES	EACH	\$ 4,018
JB 225.1B	INSTALLATION AND REMOVAL OF CATCH BASINS WITH UTILITY INTERFERENCES AT AN ADDITIONAL DEPTH OF UP TO 3 FEET	EACH	\$ 4,548
JB 225.2A	INSTALLATION OF CATCH BASINS WITH UTILITY INTERFERENCES	EACH	\$ 2,009
JB 225.2B	INSTALLATION OF CATCH BASINS WITH UTILITY INTERFERENCES AT AN ADDITIONAL DEPTH OF UP TO 3 FEET	EACH	\$ 2,539
JB 225.3A	REMOVAL OF CATCH BASINS WITH UTILITY INTERFERENCES	EACH	\$ 1,845
JB 300A	SPECIAL CARE EXCAVATION AND BACKFILLING FOR TRANSMISSION MAINS (TRANSMISSION MAIN IS DESCRIBED AS ANY GAS MAIN WITH A MAOP GREATER THAN 124-PSIG)	C.Y.	\$ 312
JB 300.1	SPECIAL CARE EXCAVATION AND BACKFILLING IN TRENCH LESS THAN 5' DEEP	C.Y.	\$ 229

## NYCDDC Infrastructure Division - Joint Bid Utility Price List

Item No.	Item Description	Unit	Price
JB 300.2	SPECIAL CARE EXCAVATION AND BACKFILLING IN TRENCH GREATER THAN 5' DEEP	C.Y.	\$ 265
JB 301	SPECIAL CARE EXCAVATION AND BACKFILLING FOR OIL-O-STATIC PIPE	C.Y.	\$ 316
JB 303	FURNISH, DELIVER AND INSTALL TYPE 3/8 CLEAN SAND BACKFILL	C.Y.	\$ 52
JB 306	SPECIAL CARE EXCAVATION AND BACKFILLING WITHIN A CITY TRENCH	C.Y.	\$ 272
JB 330E	SUPPORT AND PROTECTION OF UTILITY FACILITIES DURING EXCAVATION	L.F.	\$ 232
JB 330G	SUPPORTS FOR PARALLEL FULLY EXPOSED GAS MAINS IN TRENCH	EACH	\$ 1,629
JB 330T1	SUPPORT AND PROTECTION OF COMMUNICATION UTILITY FACILITIES DURING EXCAVATION OF CITY TRENCH WHEN PARALLELING COMMUNICATION FACILITIES LIE COMPLETELY IN THE PROPOSED CITY TRENCH	L.F.	\$ 157
JB 330T2.1	COMMUNICATIONS FACILITY OPERATOR(S) REQUESTS THE TRENCH BE WIDENED	L.F.	\$ 319
JB 330T2.2	COMMUNICATION FACILITY OPERATOR(S) REQUESTS THE TRENCH / SHEETING BE MODIFIED	L.F.	\$ 448
JB 351	INSTALL AND REMOVE "A" FRAME ON UTILITY POLES	EACH	\$ 1,416
JB 400	TEST PITS FOR UTILITY FACILITIES	C.Y.	\$ 242
JB 401	TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITY FACILITIES	C.Y.	\$ 284
JB 401A	SPECIAL CARE PAVEMENT EXCAVATION FOR ADJUSTMENT OF UTILITY FACILITIES CONNECTED TO THE BASE PAVEMENT	C.Y.	\$ 349
JB 401AC	SPECIAL CARE PAVEMENT EXCAVATION FOR ADJUSTMENT OF CABLE TV FACILITIES CONNCTED TO THE BASE PAVEMENT	C.Y.	\$ 96
JB 401AT	SPECIAL CARE PAVEMENT EXCAVATION FOR ADJUSTMENT OF TELECOMMUNICATION FACILITIES CONNECTED TO OR NEAR THE BASE PAVEMENT	C.Y.	\$ 105
JB 402.1	EXISTING CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT	L.F.	\$ 64
JB 402.1A	EXISTING CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	L.F.	\$ 73
JB 402.2	EXISTING NON-CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT	L.F.	\$ 42

## NYCDDC Infrastructure Division - Joint Bid Utility Price List

Item No.	Item Description	Unit	Price
JB 402.2A	EXISTING NON-CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	L.F.	\$ 52
JB 402T.1	EXISTING CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT	L.F.	\$ 64
JB 402T.1A	EXISTING CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	L.F.	\$ 69
JB 402T.2	EXISTING NON-CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT	L.F.	\$ 46
JB 402T.2A	EXISTING NON-CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	L.F.	\$ 60
JB 402T.3	ACM REMOVAL AND DISPOSAL OF VERIZON/ECS CONDUITS WITH ASBESTOS CONTAINING MATERIAL TRANSITE PIPES (ACM-TP) UP TO AND INCLUDING 4" DIAMETER	L.F.	\$ 90
JB 402T.J1	EXISTING CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT - JOINTS BROKEN OUT AND CONDUITS REMAIN INTACT	L.F.	\$ 64
JB 402T.J1A	EXISTING CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT - JOINTS BROKEN OUT AND CONDUITS REMAIN INTACT	L.F.	\$ 72
JB 402T.J2	EXISTING NON-CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT - JOINTS BROKEN OUT AND CONDUITS REMAIN INTACT	L.F.	\$ 53
JB 402T.J2A	EXISTING NON-CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT - JOINTS BROKEN OUT AND CONDUITS REMAIN INTACT	L.F.	\$ 60
JB 402T.R1A	EXISTING CONCRETE ENCASED STEEL/IRON CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	L.F.	\$ 67
JB 402T.R2A	EXISTING NON - CONCRETE ENCASED STEEL/IRON CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	L.F.	\$ 53
JB 402T.V1	EXISTING VACANT CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT	L.F.	\$ 65
JB 402T.V1A	EXISTING VACANT CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	L.F.	\$ 45

## NYCDDC Infrastructure Division - Joint Bid Utility Price List

Item No.	Item Description	Unit	Price
JB 402T.V2	EXISTING VACANT NON-CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT	L.F.	\$ 54
JB 402T.V2A	EXISTING VACANT NON-CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	L.F.	\$ 61
JB 403	PLACING STEEL PROTECTION PLATES FOR UTILITY FACILITIES	S.F.	\$ 4
JB 403T.1	FURNISH AND INSTALL STEEL PROTECTION PLATES FOR UTILITY FACILITIES (1/4" THICK)	S.F.	\$ 16
JB 403T.2	FURNISH AND INSTALL STEEL PROTECTION PLATES FOR UTILITES FACILITES (3/8" THICK)	S.F.	\$ 20
JB 404	PIER & PLATE METHOD OF PROTECTION FOR DUCTILE IRON WATER MAINS AND OTHER SHALLOW FACILITIES	S.F.	\$ 664
JB 405.1	TRENCH EXCAVATION FOR INSTALLATION OF UTILITY FACILITIES WITH TOTAL DEPTHS LESS THAN FIVE FEET	C.Y.	\$ 368
JB 405.2	TRENCH EXCAVATION FOR INSTALLATION OF UTILITY FACILITIES WITH TOTAL DEPTHS EQUAL TO OR GREATER THAN FIVE FEET, REQUIRING SHEETING	C.Y.	\$ 445
JB 406	EXCAVATION FOR UTILITY STRUCTURE	C.Y.	\$ 307
JB 410.1	MASS TRENCH EXCAVATION FOR UTILITY FACILITIES UP TO AND INCLUDING 20% (TYPE .1)	C.Y.	\$ 367
JB 410.2	MASS TRENCH EXCAVATION FOR UTILITY FACILITIES OVER 20% AND UP TO AND INCLUDING 40% (TYPE .2)	C.Y.	\$ 437
JB 410.3	MASS TRENCH EXCAVATION FOR UTILITY FACILITIES OVER 40% AND UP TO AND INCLUDING 60% (TYPE .3)	C.Y.	\$ 510
JB 410.4	MASS TRENCH EXCAVATION FOR UTILITY FACILITIES OVER 60% AND UP TO AND INCLUDING 80% (TYPE .4)	C.Y.	\$ 630
JB 410.5	MASS TRENCH EXCAVATION FOR UTILITY FACILITIES UP TO AND INCLUDING 20% WITH TRENCH DEPTH EQUAL TO OR GREATER THAN FIVE FEET (TYPE .5)	C.Y.	\$ 713
JB 410.6	MASS TRENCH EXCAVATION FOR UTILITY FACILITIES OVER 20% AND UP TO AND INCLUDING 40% WITH TRENCH DEPTH EQUAL TO OR GREATER THAN FIVE FEET (TYPE .6)	C.Y.	\$ 747

## NYCDDC Infrastructure Division - Joint Bid Utility Price List

Item No.	Item Description	Unit	Price
JB 410.7	MASS TRENCH EXCAVATION FOR UTILITY FACILITIES OVER 40% AND UP TO AND INCLUDING 60% WITH TRENCH DEPTH EQUAL TO OR GREATER THAN FIVE FEET (TYPE .7)	C.Y.	\$ 828
JB 410.8	MASS TRENCH EXCAVATION FOR UTILITY FACILITIES OVER 60% AND UP TO AND INCLUDING 80% WITH TRENCH DEPTH EQUAL TO OR GREATER THAN FIVE FEET (TYPE .8)	C.Y.	\$ 910
JB 450.1	CONSTRUCTION FIELD SUPPORT - SURVEY CREW (TYPE .1)	CREW/HR	\$ 353
JB 450.2	CONSTRUCTION FIELD SUPPORT - SMALL SIZE CREW (TYPE .2)	CREW/HR	\$ 388
JB 450.3	CONSTRUCTION FIELD SUPPORT - MEDIUM SIZE CREW (TYPE .3)	CREW/HR	\$ 998
JB 450.4	CONSTRUCTION FIELD SUPPORT - LARGE SIZE CREW (TYPE .4)	CREW/HR	\$ 1,363
JB 450.5	CONSTRUCTION FIELD SUPPORT - MEDIUM SIZE CREW (TYPE .5) PIPE-RIPPING SUPPORT	CREW/HR	\$ 835
JB 500	REMOVAL OF ABANDONED UTILITY CONDUITS (NON-CONCRETE ENCASED)	L.F.	\$ 5
JB 501	REMOVAL OF ABANDONED MASONRY FOR UTILITY FACILITIES	C.Y.	\$ 308
JB 603E.1	INSTALL UTILITY CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT	L.F.	\$ 4
JB 603E.2	INSTALL UTILITY CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	L.F.	\$ 8
JB 603T.1	INSTALL 1 EACH 2", 4" OR 1-1/4" QUAD CONDUITS (PVC OR STEEL) IN ANY COMBINATION	L.F.	\$ 7
JB 603T.2	INSTALL 2 EACH 2", 4" OR 1-1/4" QUAD CONDUITS (PVC OR STEEL) IN ANY COMBINATION	L.F.	\$ 14
JB 603T.3	INSTALL 4 EACH 4" OR 1-1/4" QUAD CONDUITS (PVC OR STEEL) IN ANY COMBINATION	L.F.	\$ 23
JB 603T.4	INSTALL 6 EACH 4" OR 1-1/4" QUAD CONDUITS (PVC OR STEEL) IN ANY COMBINATION	L.F.	\$ 45
JB 603T.5	INSTALL 8 EACH 4" OR 1-1/4" QUAD CONDUITS (PVC OR STEEL) IN ANY COMBINATION	L.F.	\$ 61
JB 603T.6	INSTALL 12 EACH 4" OR 1-1/4" QUAD CONDUITS (PVC OR STEEL) IN ANY COMBINATION	L.F.	\$ 91
JB 603T.7	INSTALL 15 EACH 4" OR 1-1/4" QUAD CONDUITS (PVC OR STEEL) IN ANY COMBINATION	L.F.	\$ 113
JB 603T.8	INSTALL 24 EACH 4" OR 1-1/4" QUAD CONDUITS (PVC OR STEEL) IN ANY COMBINATION	L.F.	\$ 182
JB 603T.9	INSTALL 30 EACH 4" OR 1-1/4" QUAD CONDUITS (PVC OR STEEL) IN ANY COMBINATION	L.F.	\$ 212
JB 636 EA	ADJUSTMENT OF UTILITY HARDWARE (UNDER 7" WIDTH)	EACH	\$ 212
JB 636 EB	ADJUSTMENT OF UTILITY HARDWARE (7" TO UNDER 14" WIDTH)	EACH	\$ 356
JB 636 EC	ADJUSTMENT OF UTILITY HARDWARE (14" TO UNDER 30" WIDTH)	EACH	\$ 905
JB 636 ED	ADJUSTMENT OF UTILITY HARDWARE (30" TO UNDER 34" WIDTH)	EACH	\$ 950

## NYCDDC Infrastructure Division - Joint Bid Utility Price List

Item No.	Item Description	Unit	Price
JB 636 EE	ADJUSTMENT OF UTILITY HARDWARE (34" TO UNDER 41" WIDTH)	EACH	\$ 1,086
JB 636 EG	ADJUSTMENT OF UTILITY HARDWARE (41" TO UNDER 75" WIDTH)	EACH	\$ 1,222
JB 636 EH	ADJUSTMENT OF UTILITY HARDWARE (75" TO UNDER 125" WIDTH)	EACH	\$ 1,371
JB 636 EI	ADJUSTMENT OF UTILITY HARDWARE (125" TO UNDER 170" WIDTH)	EACH	\$ 1,512
JB 636 MA	ADJUSTMENT OF UTILITY HARDWARE 7" to 30" MILLING / RESURFACING	EACH	\$ 129
JB 636 MB	MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE (7" TO UNDER 14" WIDTH)	EACH	\$ 156
JB 636 MC	MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE (14" TO UNDER 30" WIDTH)	EACH	\$ 170
JB 636 MD	MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE (30" TO UNDER 34" WIDTH)	EACH	\$ 183
JB 636 ME	MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE (34" TO UNDER 41" WIDTH)	EACH	\$ 206
JB 636 MG	MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE (41" TO UNDER 75" WIDTH)	EACH	\$ 233
JB 636 MH	MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE (75" TO UNDER 125" WIDTH)	EACH	\$ 265
JB 636 MI	MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE (125" TO UNDER 170" WIDTH)	EACH	\$ 299
JB 636 R	REPAIR TO UTILITY STRUCTURES	C.Y.	\$ 267
JB 636 SA	CONCRETE COLLAR AROUND STEAM CASTINGS	S.F.	\$ 15
JB 636 SB	ADJUSTMENT TO UTILITY STEAM CASTINGS (UNDER AND INCLUDING 8" WIDTH)	EACH	\$ 243
JB 636 SC	ADJUSTMENT OF UTILITY STEAM CASTINGS (ABOVE 8" TO 34" WIDTH)	EACH	\$ 718
JB 638 N	INSTALLATION OF FIELD CONSTRUCTED UTILITIES STRUCTURES.	C.Y.	\$ 1,256
JB 638 NT	INSTALLATION OF FIELD CONSTRUCTED TELEPHONE/COMMUNICATIONS UTILITY STRUCTURE	C.Y.	\$ 3,539
JB 638 R	BREAK OUT AND REMOVE UTILITY STRUCTURE	C.Y.	\$ 686

## NYCDDC Infrastructure Division - Joint Bid Utility Price List

Item No.	Item Description	Unit	Price
JB 638 RT	BREAK OUT AND REMOVE TELEPHONE/COMMUNICATIONS UTILITY STRUCTURE CONTAINING ACTIVE CABLES	C.Y.	\$ 6,991
JB 700	SPECIAL MODIFICATION OF WORK METHODS TO ACCOMMODATE/PROTECT UNDERGROUND FACILITIES WITH LIMITED COVER	C.Y.	\$ 95
JB 710.1	REMOVAL OF ABANDONED UTILITY STEEL/CAST IRON/ PLASTIC PIPES, UP TO AND INCLUDING 12" DIAMETER PIPE	L.F.	\$ 16
JB 710.2	REMOVAL OF ABANDONED UTILITY STEEL/CAST IRON/ PLASTIC PIPES, OVER 12" AND UP TO AND INCLUDING 20" DIAMETER PIPE	L.F.	\$ 18
JB 710.3	REMOVAL OF ABANDONED UTILITY STEEL/CAST IRON PIPE, STRUCTURE OPENINGS GREATER THAN 20"	L.F.	\$ 45
JB 711	USE SHEETING LINE AS FORM	L.F.	\$ 7
JB 781	REMOVABLE CURB SIDEWALK PANEL FOR ACCESS TO UTILITY STRUCTURE OPENINGS	EACH	\$ 1,609
JB 798	MODIFICATION OF NON-CONCRETE YOKE TROLLEY STRUCTURES REMOVAL WHEN CROSSING UTILITY FACILITIES	L.F.	\$ 331
JB 799	MODIFICATION OF NON-CONCRETE YOKE TROLLEY STRUCTURES REMOVAL PARALLEL TO UTILITY FACILITIES	L.F.	\$ 105
JB 800	MODIFICATION OF CONCRETE YOKE TROLLEY STRUCTURE REMOVAL WHEN CROSSING UTILITY FACILITIES	L.F.	\$ 248
JB 801	MODIFICATION OF CONCRETE YOKE TROLLEY STRUCTURE REMOVAL PARALLEL TO UTILITY FACILITIES	L.F.	\$ 126
JB 802A	SPECIAL CARE EXCAVATION AND RESTORATION FOR SIDEWALK WORK	S.F.	\$ 5
JB 802B	SPECIAL CARE EXCAVATION AND RESOTRATION FOR CURB WORK	L.F.	\$ 12
JB 803.1	LINE CUT BY PNEUMATIC TOOLS IN LIEU OF SAW CUT ASSOCIATED WITH RDWY REMOVAL (LINE CUT ASPHALT)	L.F.	\$ 11
JB 803.2	LINE CUT BY PNEUMATIC TOOLS IN LIEU OF SAW CUT ASSOCIATED WITH ROADWAY REMOVAL OPERATIONS (LINE CUT ANY COMBINATION OF ASPHALT AND CONCRETE ROADWAY)	L.F.	\$ 22
JB 803.3	LINE CUT BY PNEUMATIC TOOLS IN LIEU OF SAW CUT ASSOCIATED WITH ASPHALT, CONCRETE AND BELGIAN BLOCK ROADWAY REMOVAL OPERATIONS	L.F.	\$ 31



**END OF JB-PAGES SECTION B  
(NO FURTHER TEXT ON THIS PAGE)**

# **SECTION C. JOINT BID SPECIAL SPECIFICATIONS**

---

---

### Special Specifications

The specifications listed below are special specifications which are provided withing Section C of the Joint Bid Package and are not found within the "JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR MANHATTAN (ISSUED: AUGUST 1ST, 2005)" and the "NYCDDC Joint Bid Utility Price List (Revised 10/15/2021)".

#### CON EDISON

Specification No.	Description	Quantity
51.42S1SO	INCREMENTAL COST OF TYPE 3 CATCH BASIN WITH CURB PIECE IN LIEU OF TYPE 1 CATCH BASIN	7 EA

#### VERIZON

Specification No.	Description	Quantity
51.41P302	SPECIAL CATCH BASIN NO. 2, TYPE 3	1 EA
51.42S1SO	INCREMENTAL COST OF STANDARD CATCH BASIN TYPE 3 WITH CURB PIECE IN LIEU OF STANDARD CATCH BASIC TYPE 1	5 EA

#### NATIONAL GRID

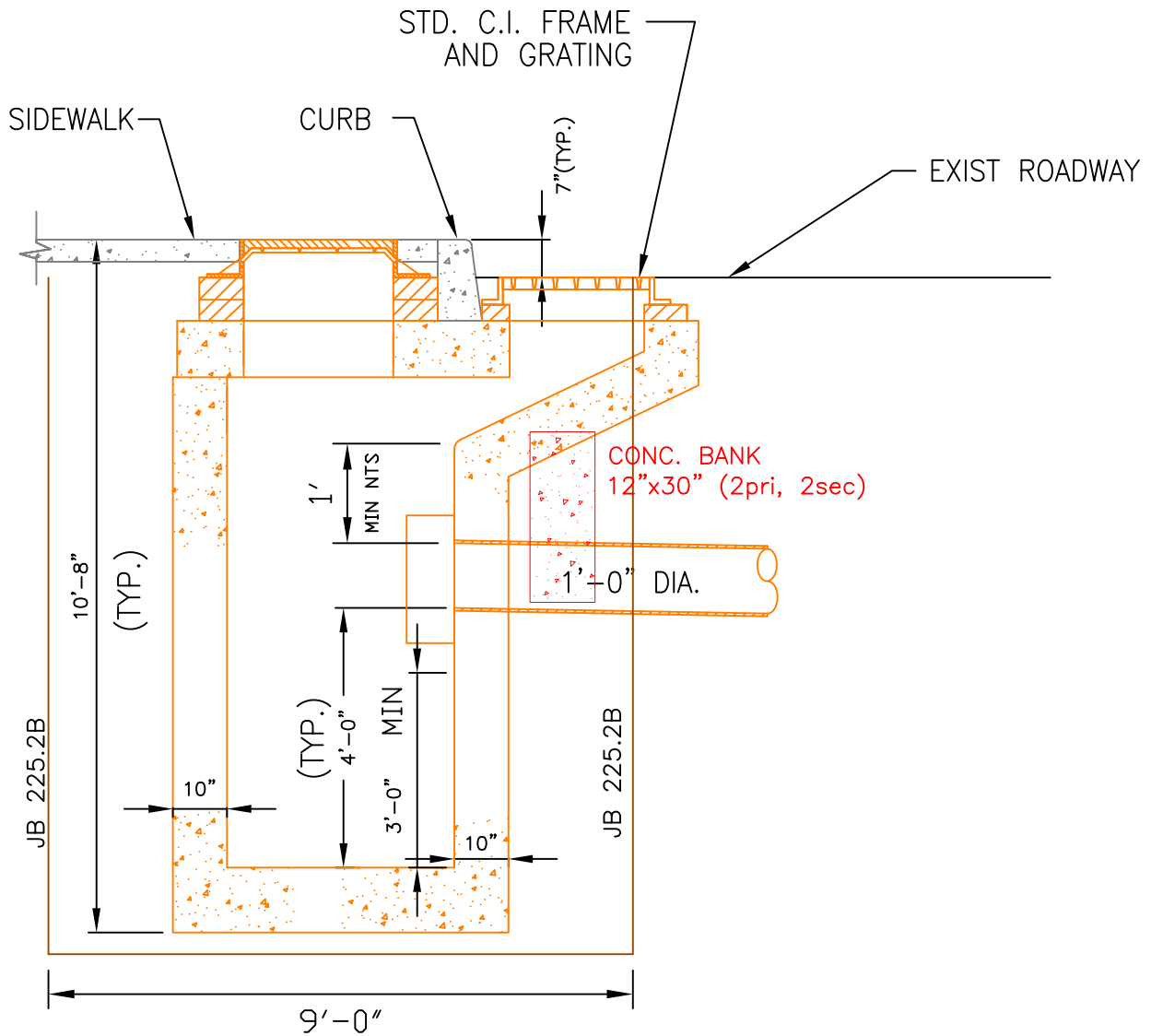
Specification No.	Description	Quantity
JB 502	REMOVAL OF ABANDONED GAS FACILITIES WITH POSSIBLE COAL TAR WRAP. ALL SIZES.	500 LF
54.41P101	SPECIAL CATCH BASIN NO. 1, TYPE 1	1 EA

## TYPE 3 CATCH BASIN

REQD. FOR CONTRACT NO. HWCSCHPKR

LOCATION 35' N/N/C 60th STREET, ON 7th AVENUE

TEST PIT NO. 4



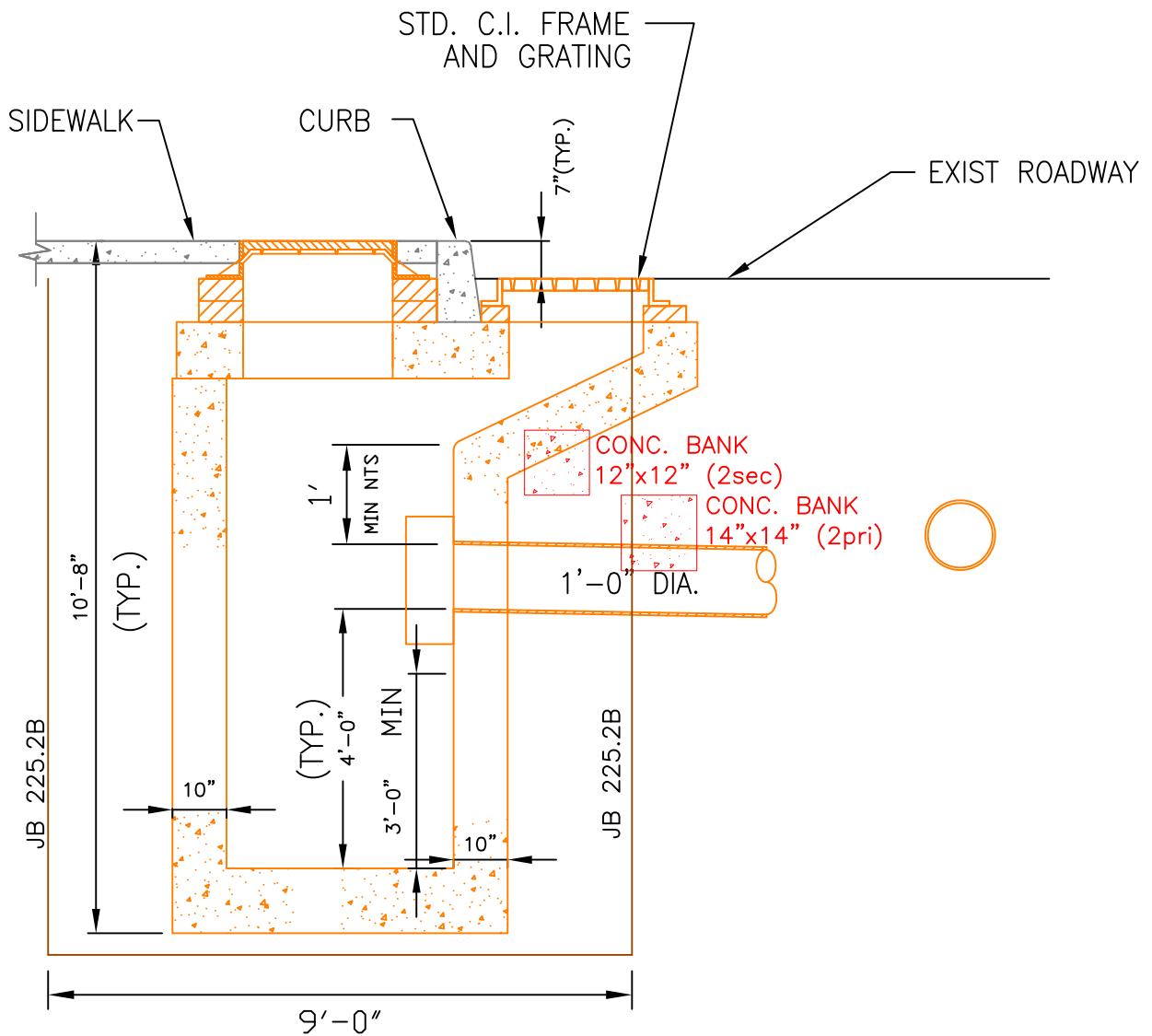
### PROFILE — LOOKING NORTH

## TYPE 3 CATCH BASIN

REQD. FOR CONTRACT NO. HWCSCHPKR

LOCATION 32' N/N/C 59th St., ON 6th Ave.

TEST PIT NO. 6



### PROFILE — LOOKING SOUTH

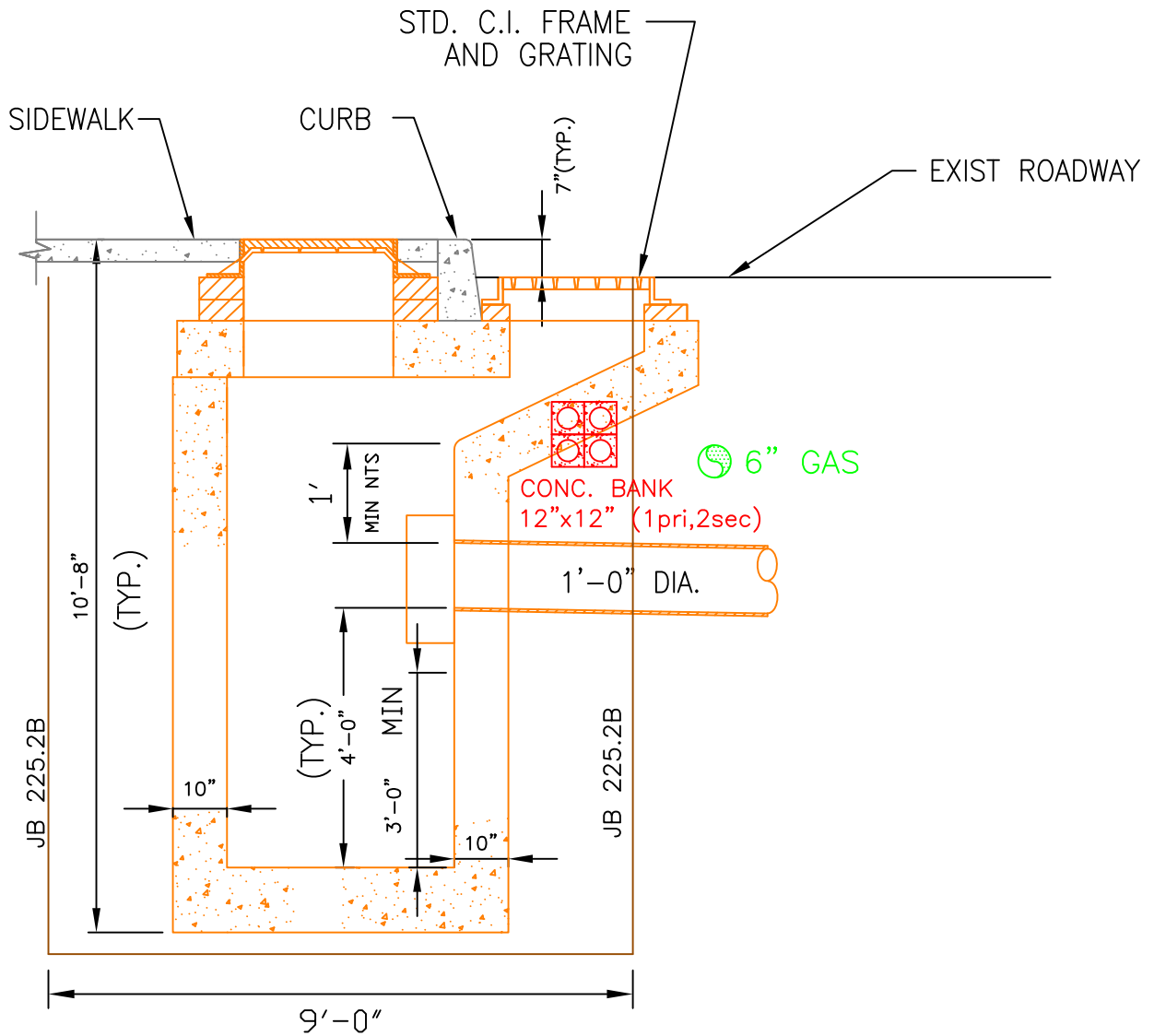
N.T.S.

## TYPE 3 CATCH BASIN

REQD. FOR CONTRACT NO. HWCSCHPKR

LOCATION 27' W/W/C 7th AVENUE, ON 44th STREET

TEST PIT NO. 7



### PROFILE — LOOKING WEST

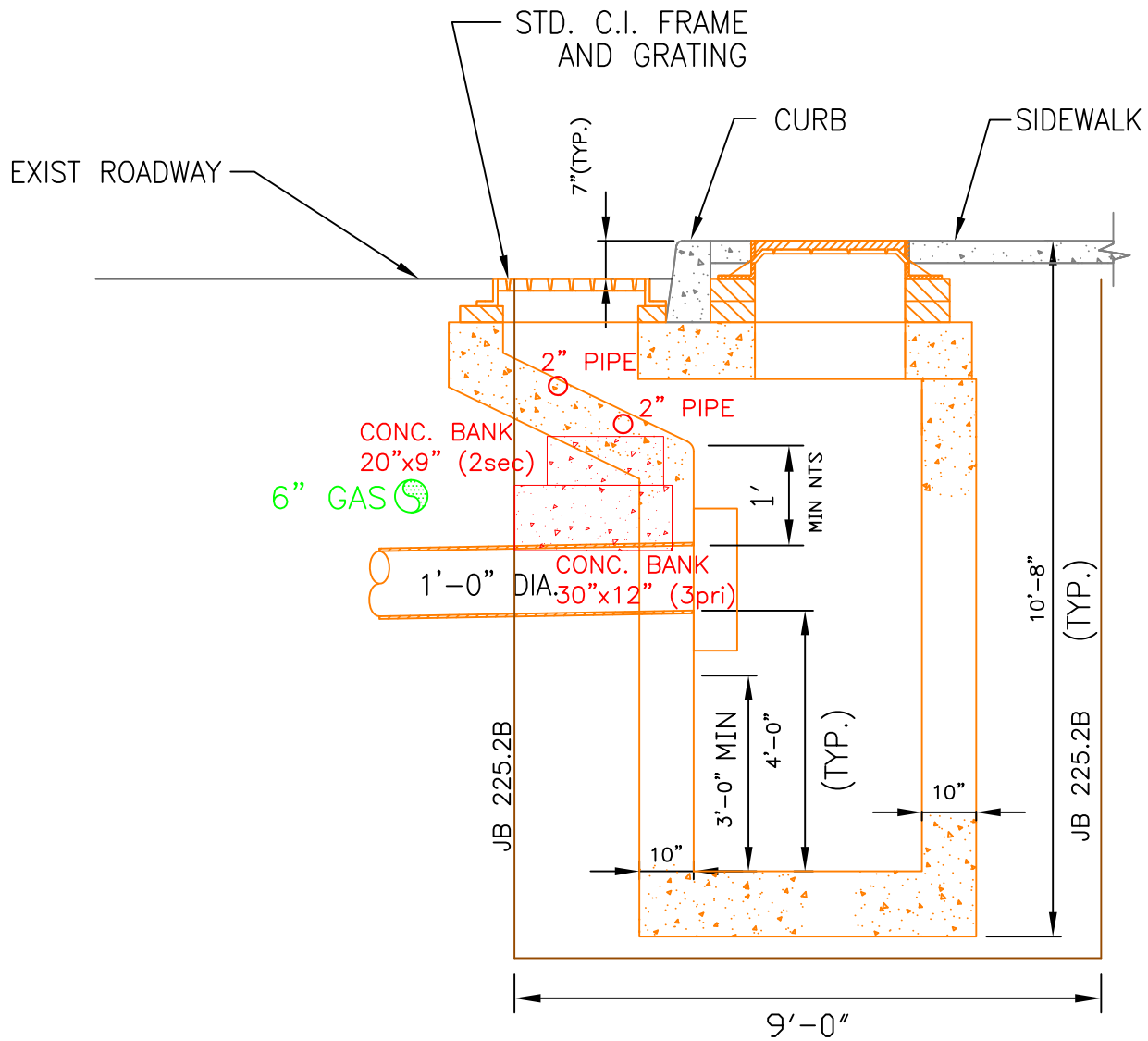
N.T.S.

## TYPE 3 CATCH BASIN

REQD. FOR CONTRACT NO. HWCSCHPKR

LOCATION 20' W/W/C BRISTOL STREET, ON PITKIN AVENUE

TEST PIT NO. 8



PROFILE — LOOKING EAST

N.T.S.

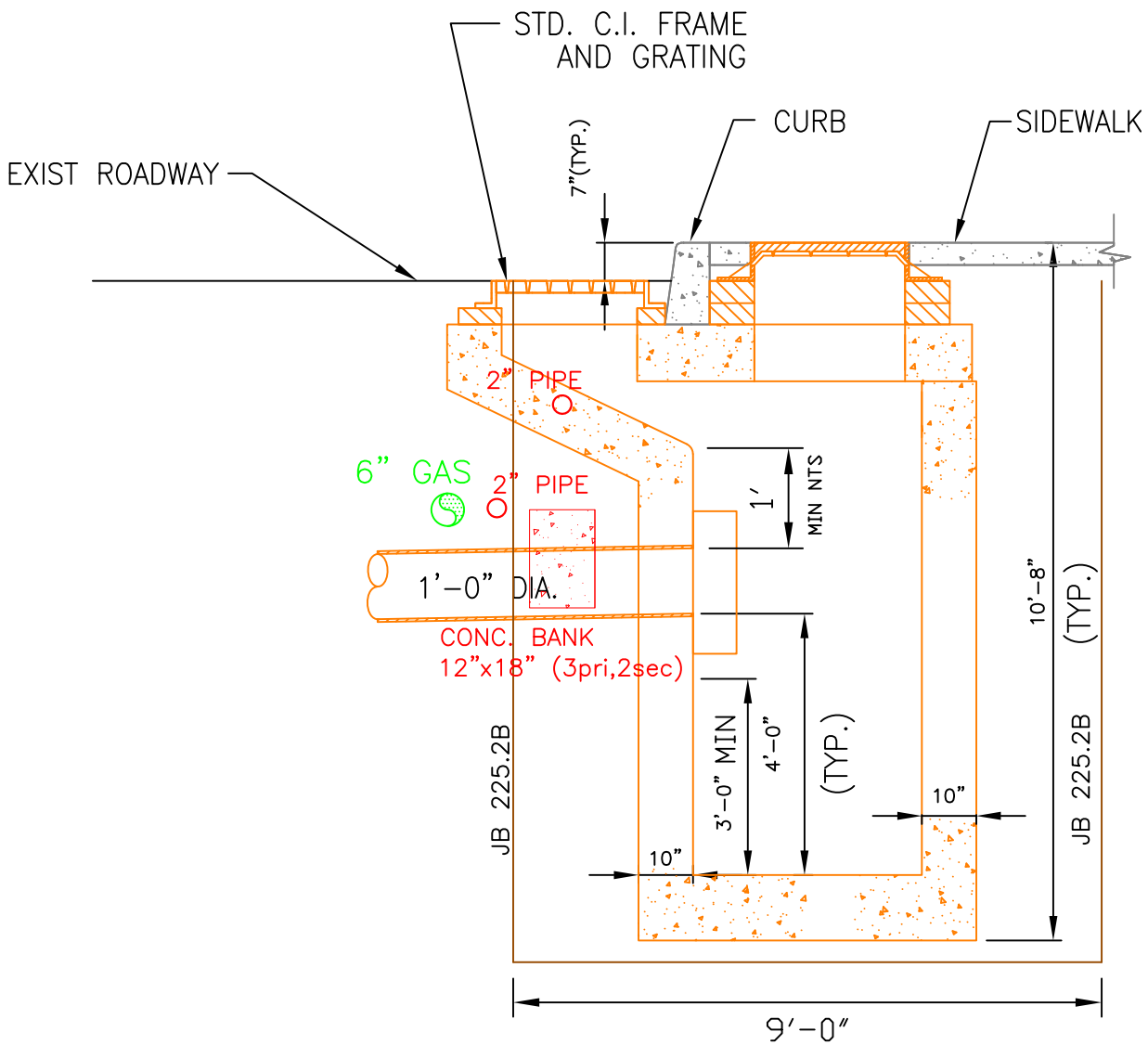
JB-C6

## TYPE 3 CATCH BASIN

REQD. FOR CONTRACT NO. HWCSCHPKR

LOCATION 20' E/E/C BRISTOL STREET, ON PITKIN AVENUE

TEST PIT NO. 9



### PROFILE — LOOKING EAST

N.T.S.

JB-C7

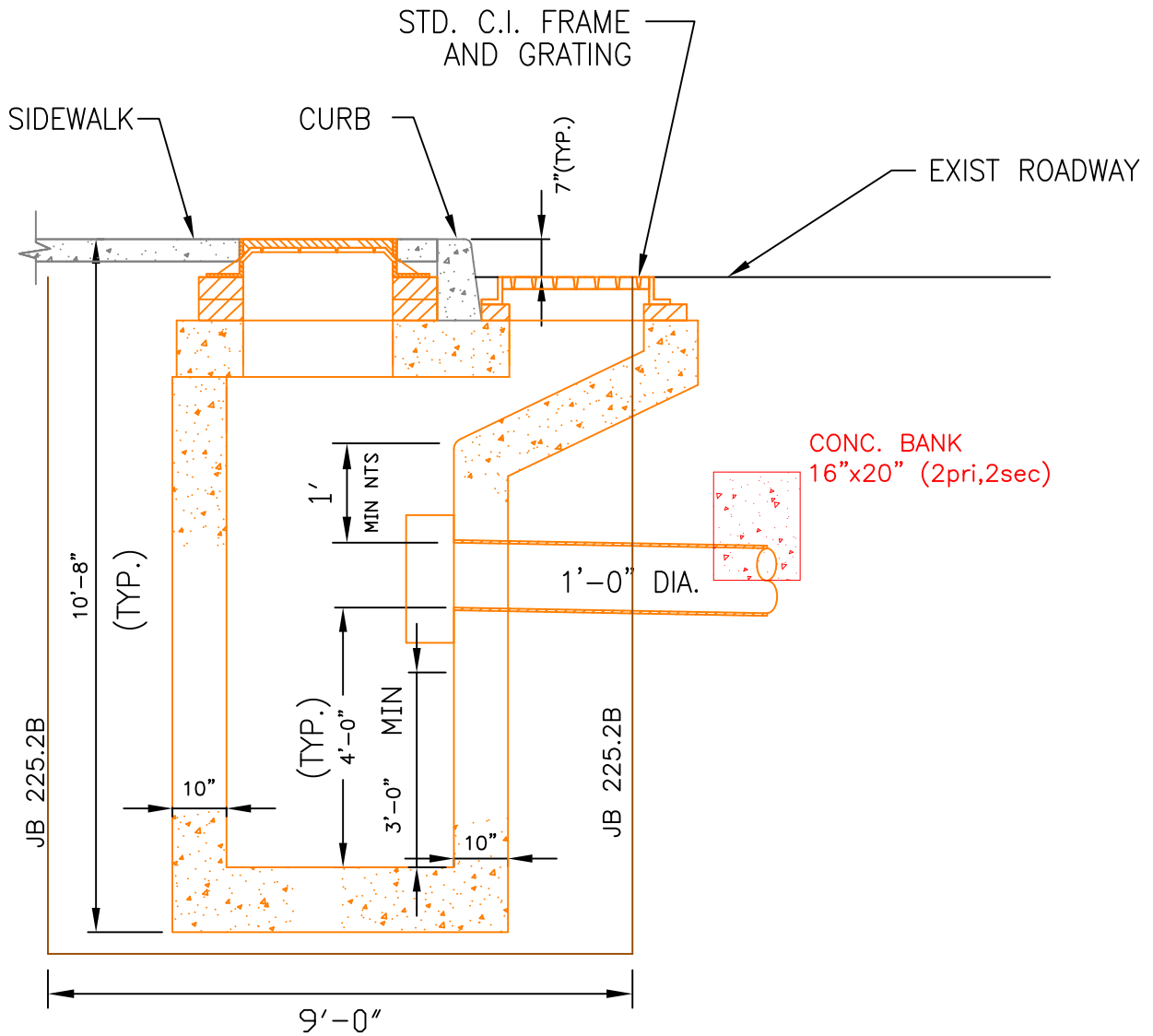


## TYPE 3 CATCH BASIN

REQD. FOR CONTRACT NO. HWCSCHPKR

LOCATION 20' W/W/C HOWARD AVENUE, ON SUTTER AVENUE

TEST PIT NO. 11



### PROFILE — LOOKING WEST

N.T.S.

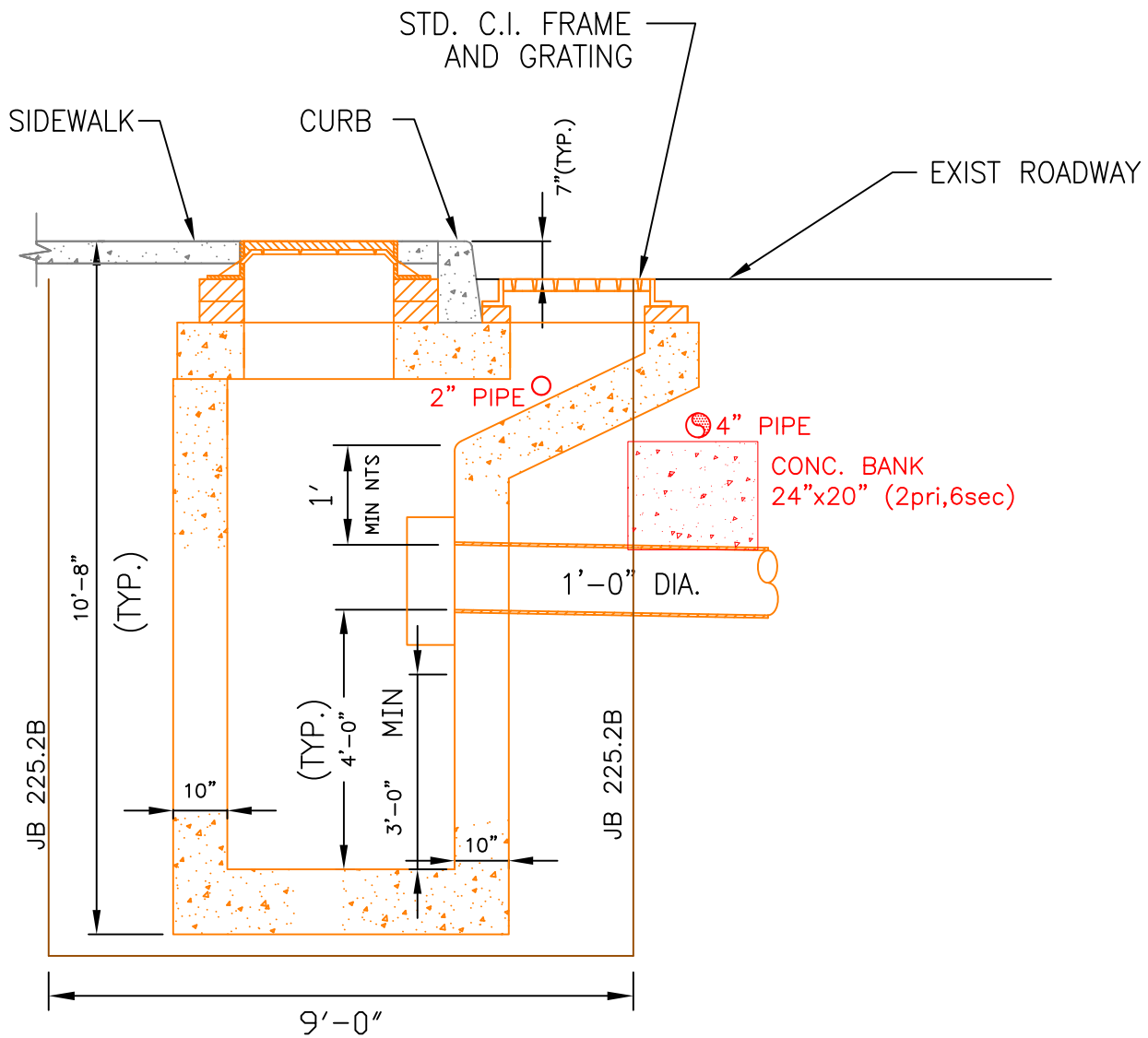
JB-C8

## TYPE 3 CATCH BASIN

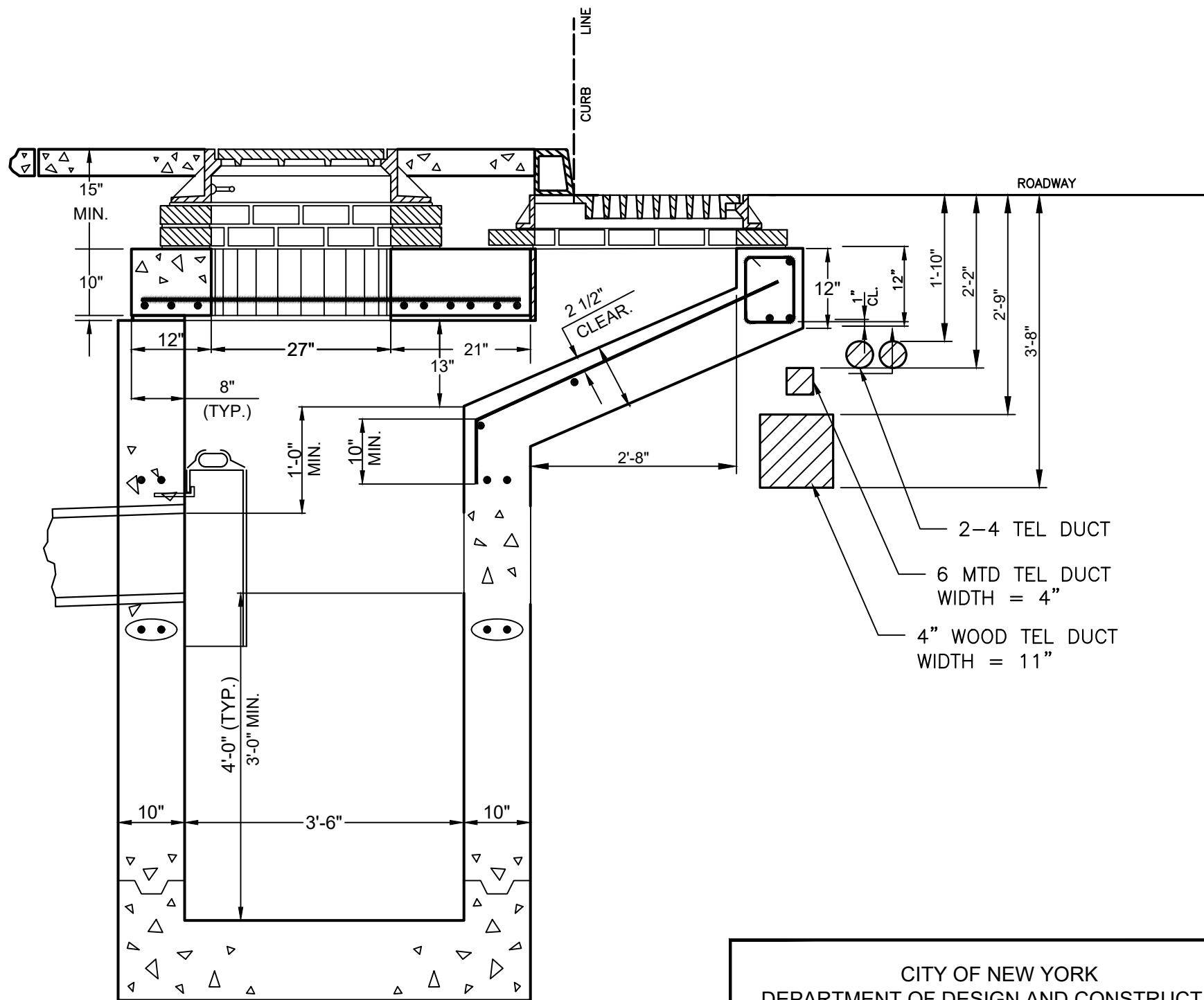
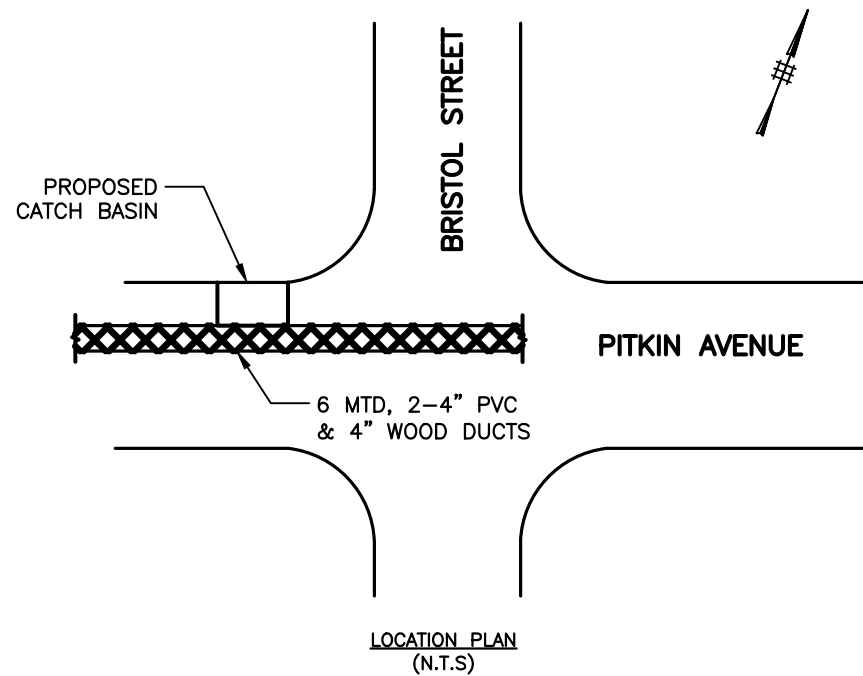
REQD. FOR CONTRACT NO. HWCSCHPKR

LOCATION 22' E/E/C LEE AVENUE, ON WALLABOUT STREET

TEST PIT NO. 12



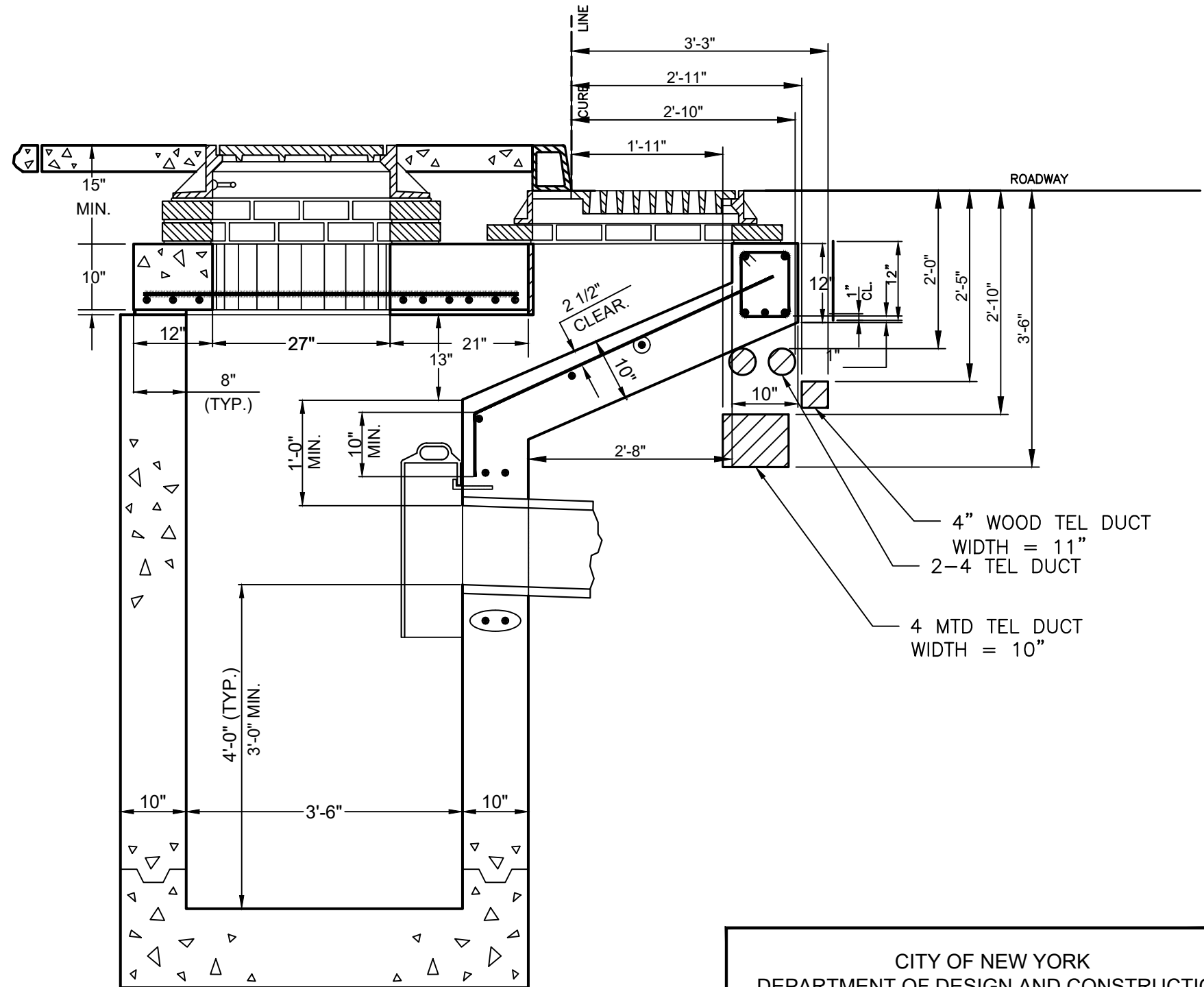
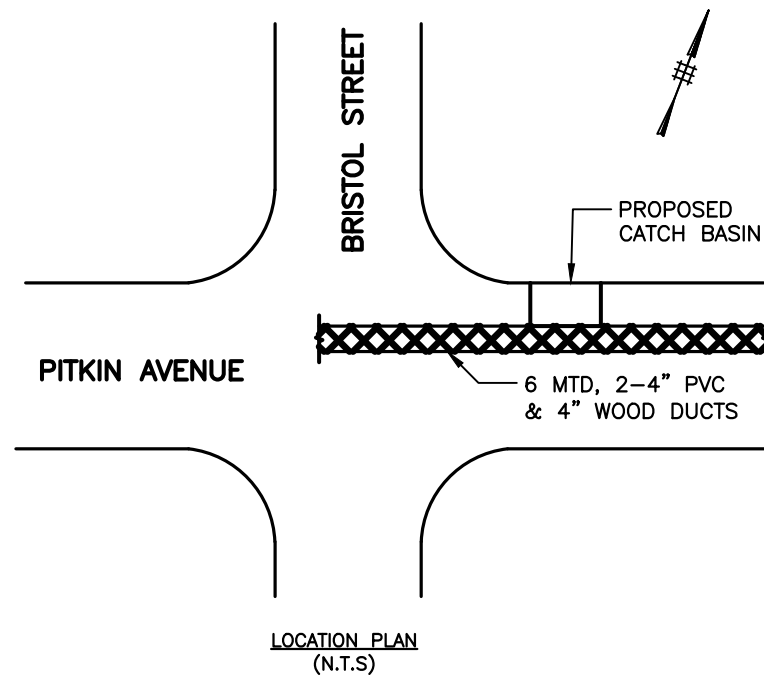
PROFILE — LOOKING WEST



CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION	
VERIZON TYPE-3 CATCH BASIN W/ BACK-TO-BACK CONNECTION REQUEST	
NORTH WEST CORNER INTERSECTION OF PITKIN AVENUE & BRISTOL STREET BOROUGH OF BROOKLYN	
SHEET 1 OF 1	CONTRACT NO. HWCSCHPKR



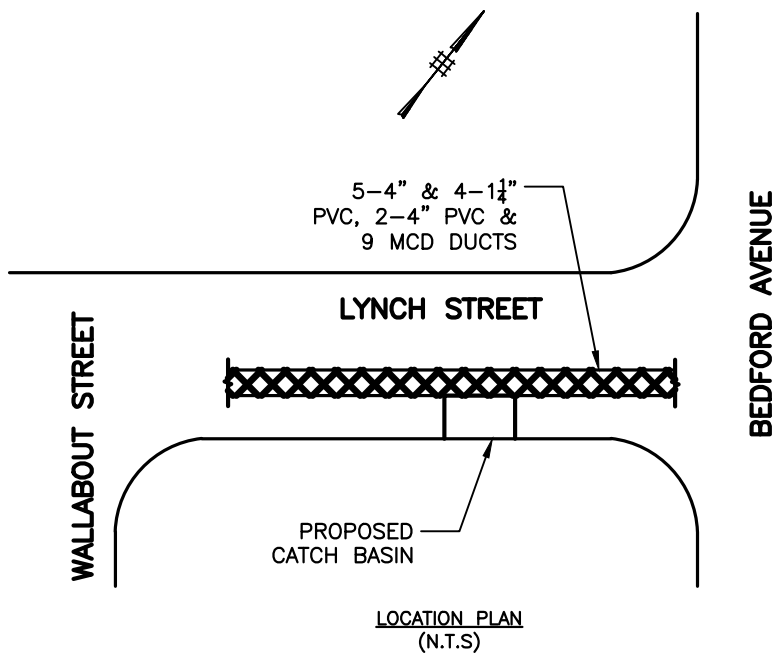
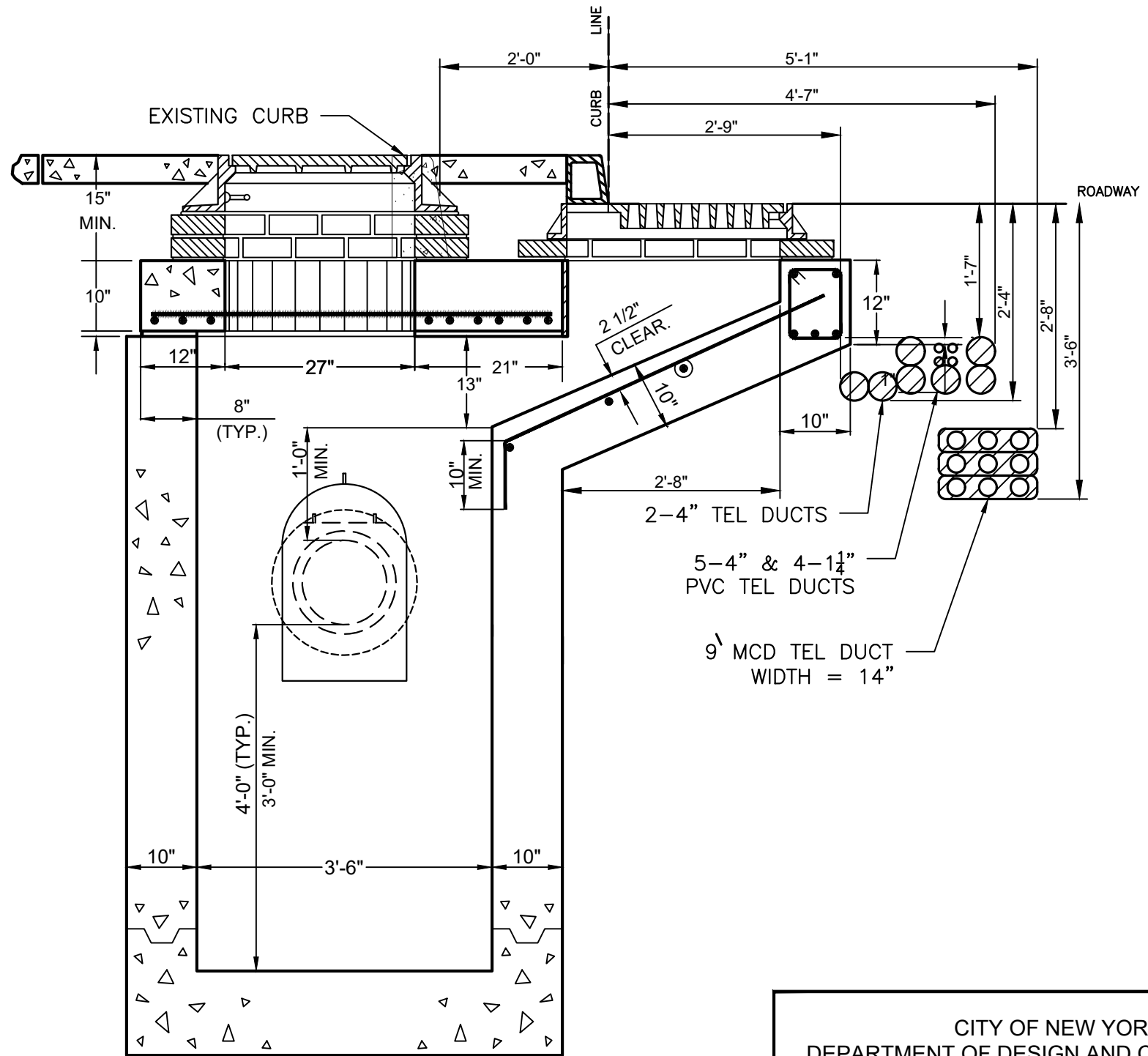
LOCATION-1  
(N.T.S)



LOCATION-2  
(N.T.S)

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION	
VERIZON TYPE-3 (WITH CURB PIECE) CATCH BASIN REQUEST	
NORTH EAST CORNER INTERSECTION OF PITKIN AVENUE & BRISTOL STREET BOROUGH OF BROOKLYN	
SHEET 1 OF 1	CONTRACT NO. HWCSCHPKR

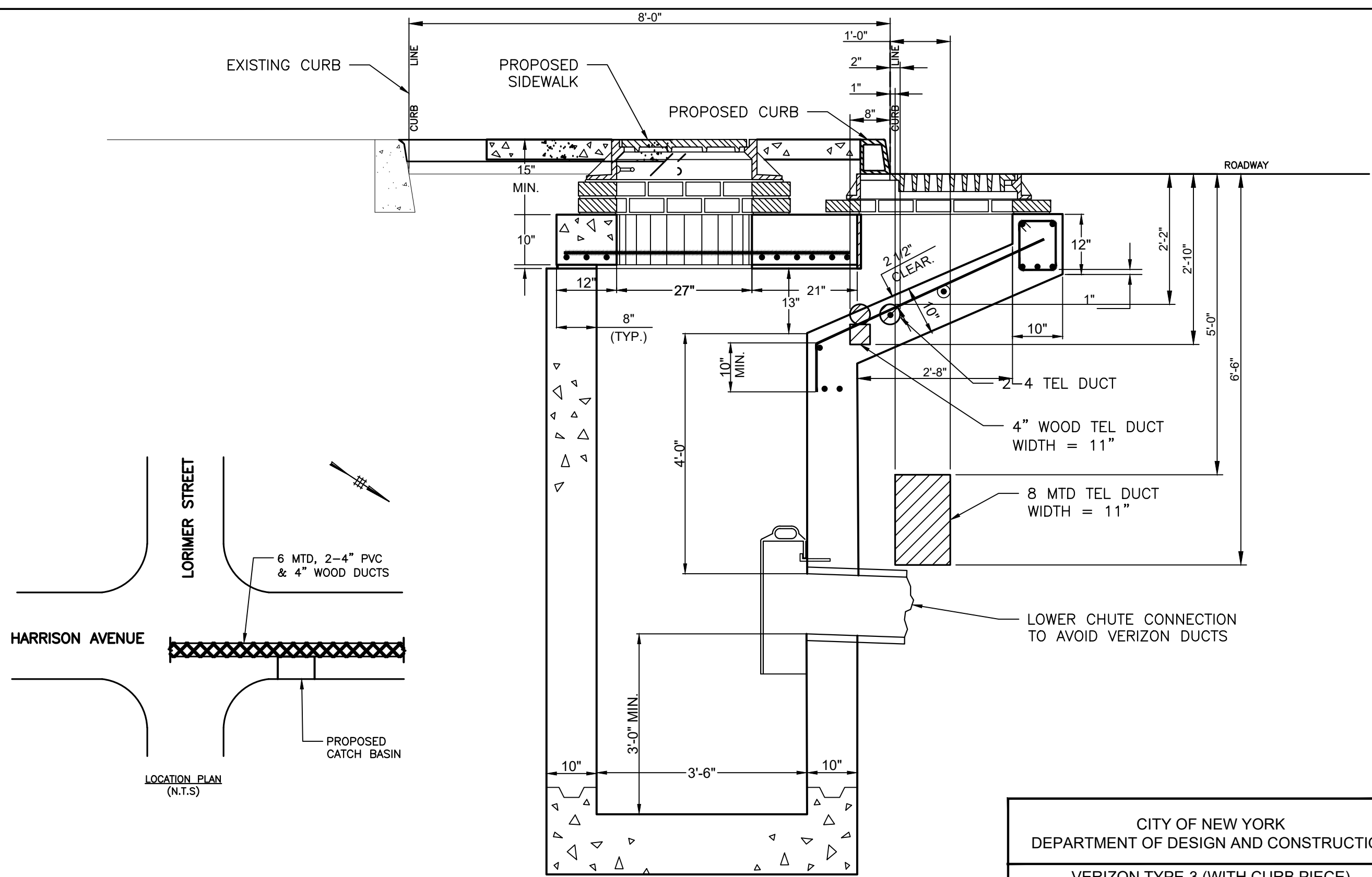




CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION	
VERIZON TYPE-3 (WITH CURB PIECE) CATCH BASIN REQUEST	
SOUTH SIDE OF LYNCH STREET BETWEEN WALLABOUT STREET & BEDFORD AVENUE BOROUGH OF BROOKLYN	
SHEET 1 OF 1	CONTRACT NO. HWCSCHKR



LOCATION-4  
(N.T.S)

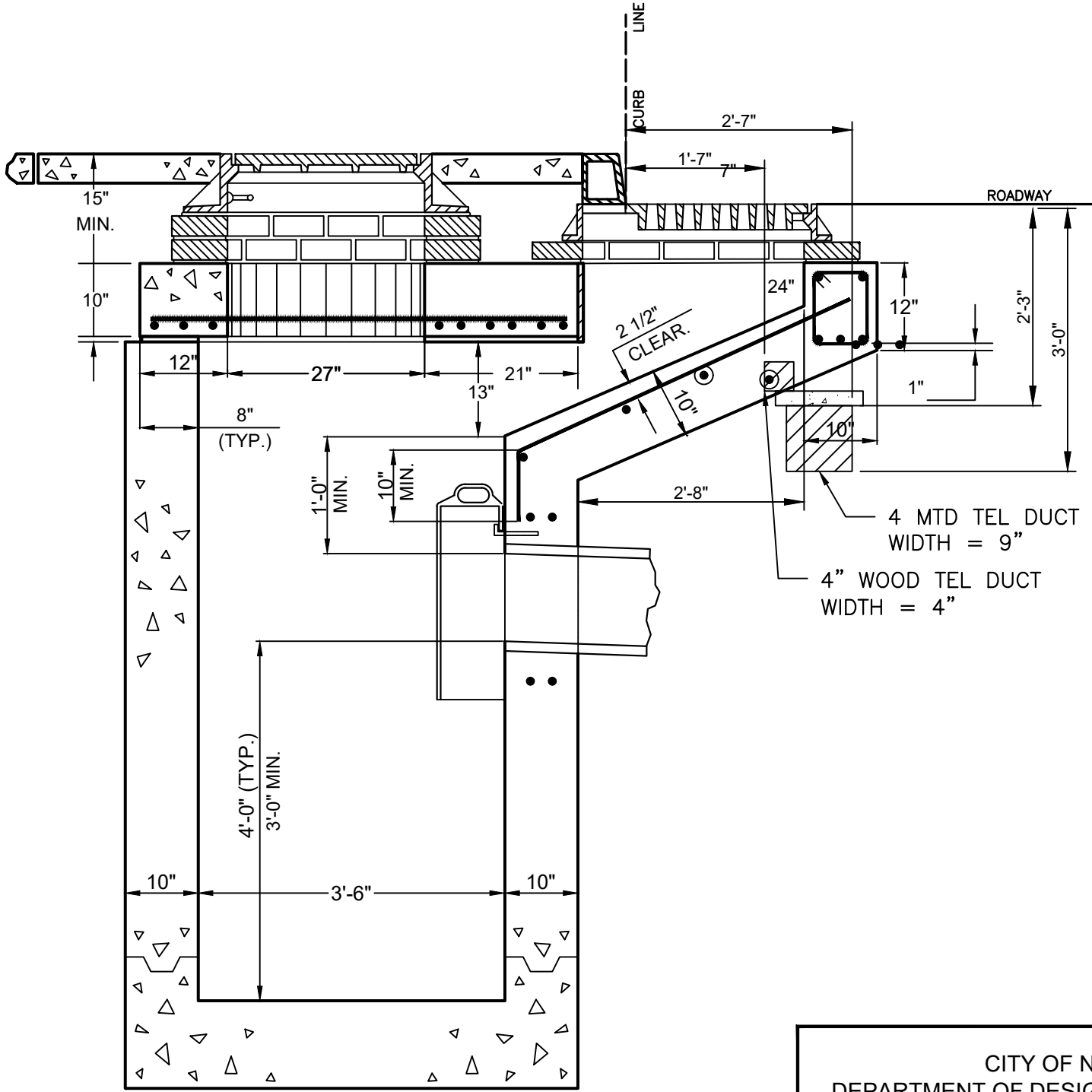
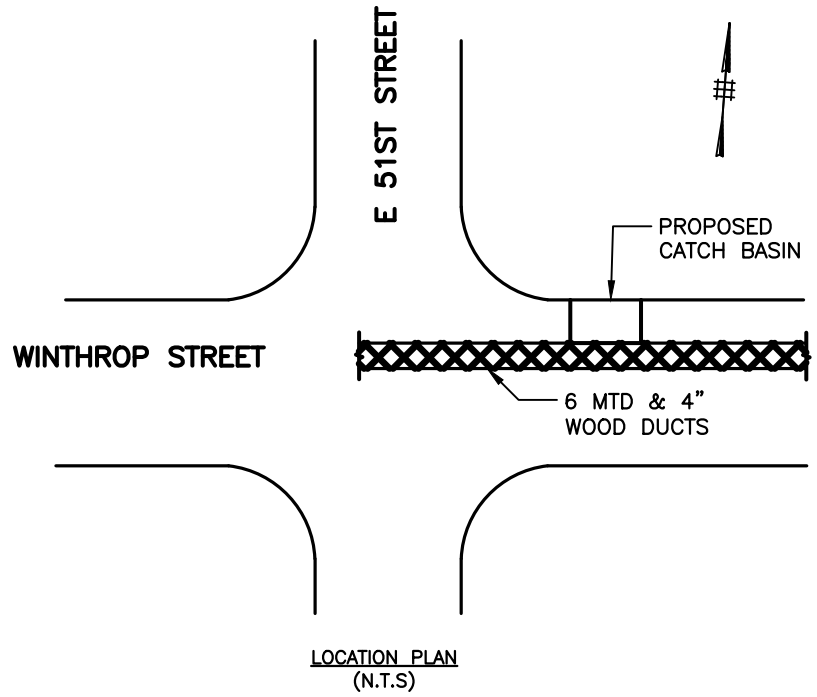


CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION	
VERIZON TYPE-3 (WITH CURB PIECE) EXTRA-DEPTH CATCH BASIN REQUEST	
NORTH EAST CORNER INTERSECTION OF HARRISON AVENUE & LORIMER STREET BOROUGH OF BROOKLYN	
SHEET 1 OF 1	CONTRACT NO. HWCSCHPKR



LOCATION-5  
(N.T.S)



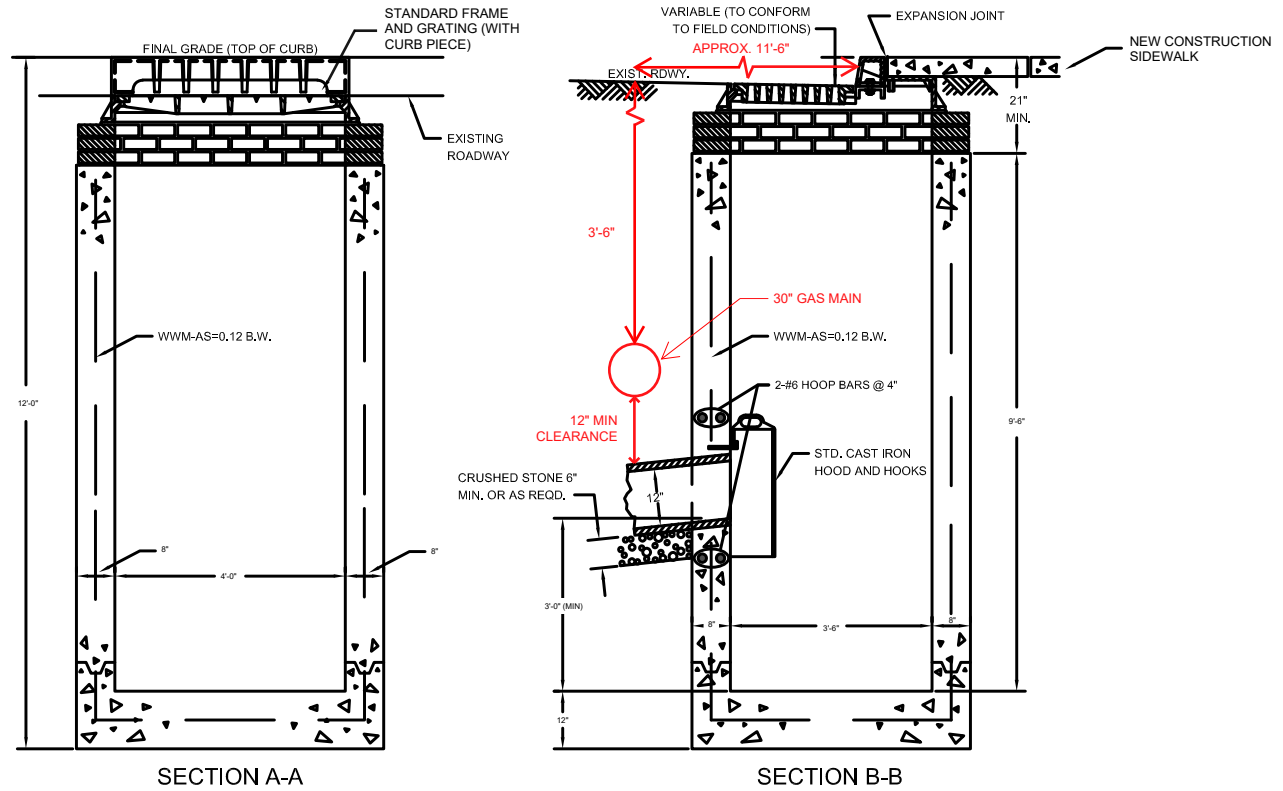


LOCATION-6  
(N.T.S)

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION	
VERIZON TYPE-3 (WITH CURB PIECE) CATCH BASIN REQUEST	
NORTH EAST CORNER INTERSECTION OF WINTHROP STREET & E 51ST STREET BOROUGH OF BROOKLYN	
SHEET 1 OF 1	CONTRACT NO. HWCSCHPKR







**SPECIAL CATCH BASIN NO. 1, TYPE 1  
ITEM NO. 54.41P101  
(N.T.S)**

**nationalgrid**

JB-C16

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION	
NATIONAL GRID EXTRA DEPTH TYPE 1 CB	
INTERSECTION 11 - SUTTER AVE & HOWARD AVE BOROUGH OF BROOKLYN	
SHEET 1 OF 1	CONTRACT NO. HWCSCHPKR



# Guideline Document for Public Improvement

## CONST- 029 Revision Number 4

Purpose: To update the Public Improvement Contractor Guideline document for safe entry into Sub-Surface Structures and moving energized underground cables.

Revision Date: 7-30-2020 Next Revision Due 7-30-2022  
Date:

Supersedes Date: 6-30-2018 Revision Cycle  
Period: Once every 2 years

TYPE	NAME	DATE
EH&S	Glenn D. Newell	7/30/2020
Technical	Joseph Bedell, Joseph Bedell Jr. John Stefandl & Marlon Kalloo	7/30/2020
Legal	Inna Rozenberg	7/30/2020

- Summary of Changes:
1. Updated Reference Section to Include OSHA 1926 Subpart V
  2. Removed Section on Moving Energized U.G. Cables Located Inside Sub-Surface Structures. (Previously Section 4.0 in Revision 3)
  3. Updated/Revised Sections 1.4, 2.1, 2.2.2, 3.4, 3.6, 3.8, 3.9, 4.5.5, 4.7 & 4.7.1
  4. Added New Section 4.5.4 – HDPE Conduit
  5. Added New Chapter 5.0 – Breaking Out a Point of Entry (POE)

Training Requirements - N/A

DOJT/Course #, etc. Associated with this Operating Document:

None

Subject Matter Expert: Marlon Kalloo Approved Date: 7/30/2020 

Approver Name: Theresa Kong Approved Date: 7/30/2020 

**Consolidated Edison Company of New York, Inc.**

**Guideline**

**For**

**Safe Entry into Sub-Surface Structures  
(Electrical Enclosed Space),**

**Moving Energized Underground Cables**

**Removal of Conduit from Cables, and**

**Breaking Point of Entry (POE's) Into Sub-Surface Structures**

**Performed by**

**Municipal Contractors**

## **TABLE OF CONTENTS:**

### **1.0 – Definitions**

- 1.1 - Competent Person
- 1.2 - Attendant
- 1.3 - Electrical Enclosed Space
- 1.4 - CET Specification
- 1.5 - JB Specification
- 1.6 - Public Improvement Representative
- 1.7 – Municipal Contractor
- 1.8 - Electrically Competent Qualified Municipal Contractor

### **2.0 - References**

- 2.1 – OSHA Sections 1910.269 & 1926 Subpart V
- 2.2 - Training
  - 2.2.1 – Electrically Competent Qualified Municipal Contractor
  - 2.2.2- Municipal Contractor Employee

### **3.0 - Safe Entry into Sub-Surface Structures. (Electrical Enclosed Space)**

- 3.1 - Purpose
- 3.2 - Application
- 3.3 - Guideline
- 3.4 - Inspection / Testing
- 3.5 - Job Briefing
- 3.6 - Attendants
- 3.7 - Hazardous Atmosphere
- 3.8 - Personal Protective Equipment
- 3.9 – Con Edison’s Personal Protective Equipment Guideline
- 3.10 - Access

### **4.0 - Removal of Conduit from Cables and Moving Energized Underground Cables Located Outside of Subsurface Structures**

- 4.1 – Purpose
- 4.2 – Application
- 4.3 – Guideline
- 4.4 – Job Briefing
- 4.5 - Removal of Conduit from Cables
  - 4.5.1 - Pre-cast Concrete Conduit
  - 4.5.2 - Concrete Encased Conduit
  - 4.5.3 - Wooden Conduit
  - 4.5.4 – HDPE Conduit
  - 4.5.5 – Metal Conduit
- 4.6 - Visual Inspection
- 4.7 - Cable Moving Operations
  - 4.7.1 - Personal Protective Equipment

### **5.0 - Breaking Out a Point of Entry (POE’s) in an Electrical Enclose Space**

- 5.1 – Activities Prior to creating POE’s
- 5.2 – Creation of POE Operations

## 1.0 **Definitions**

- 1.1 **Competent Person-** As a general rule, a Competent Person is an individual who, by way of training and/or experience, is knowledgeable of OSHA and other applicable standards, is capable of identifying workplace hazards relating to the specific operation, and is designated by the employer with the authority to take all appropriate actions necessary to comply with all applicable standards and properly address hazards. Some OSHA standards add additional specific requirements that must be met by the Competent Person.
- 1.2 **Attendant** - An authorized individual who is stationed outside a sub-surface structure or an Electrical Enclosed Space to monitor the authorized entrants and to perform duties assigned including providing assistance to individual inside the sub-surface structure or Electrical Enclosed Space.
- 1.3 **Electrical Enclosed Space** – OSHA defines an Electrical Enclosed Space as a working space, such as a manhole, vault, tunnel, service box, or shaft, used for the operation and maintenance of electric power generation, transmission, and distribution lines and equipment. An Electrical Enclosed Space has a limited means of egress or entry, and is designed for periodic entry under normal operating conditions. Under normal conditions, an Electrical Enclosed Space does not contain a hazardous atmosphere, but may contain a hazardous atmosphere under abnormal conditions.
- 1.4 **CET Specification** – CET Specification defining private utility work within Municipal Construction Contracts.
- 1.5 **JB Specification** – Joint Bid specification defining private utility work within a NYC DDC Capital contract.
- 1.6 **Public Improvement Representative** - Con Edison employee, (Inspector, Construction Representative, Chief Construction Inspector, Project Specialist, or Manager) assigned to the Public Improvement section.
- 1.7 **Municipal Contractor** – Construction municipal contractor performing work for Municipal, State or other Public Agencies or Authorities.
- 1.8 **Electrically Competent Qualified Municipal Contractor** - is a Municipal Contractor employee designated and documented by the Municipal Contractor employer, in writing, as the electrically competent and qualified person who, by way of training and/or experience has the skills and techniques necessary to distinguish exposed live parts from other parts of electrical equipment, can identify non-insulated conductors from insulated conductors and/or cables and has the knowledge of the precautionary techniques, personal protective equipment, insulating and shielding materials, and insulated tools that are required for working on or near exposed energized electrical equipment. The Electrically Competent Qualified Municipal Contractor employee is capable of identifying varying workplace electrical hazards relating to the specific operation and has the authority to take appropriate actions, as required. In order to meet the task specific qualifications of this guideline, the Electrically Competent Qualified Municipal Contractor employee must be familiar with this document and be able to demonstrate adherence.

## 2.0 References

2.1 **OSHA Section 1910.269** – Electric Power Generation, Transmission & Distribution & **1926 Subpart V** –Electric Power Transmission and Distribution

2.2 **Training-** The OSHA Office of Training and Education (OTE) develops, directs, oversees, manages and ensures implementation of OSHA's national training and education policies and programs in support of OSHA's strategic goals with the objective of reducing occupational hazards through direct intervention, promoting a safety and health culture through compliance assistance, cooperative programs and strong leadership and maximizing OSHA effectiveness and efficiency by strengthening capabilities and infrastructure.

All Municipal Contractor employees shall be trained in and familiar with the safety-related work practices, safety procedures, and other safety requirements in section 1910.269(a)(2) and 1926 Subpart V that pertains to the Municipal Contractor employees' respective job assignments. Municipal Contractor employees' shall also be trained in and familiar with any other safety practices, including emergency procedures, such as manhole rescue, that are not specifically addressed by this referenced section but that is related to their work and is necessary for their safety.

Con Edison manhole inspection and underground awareness training can be scheduled through the Con Edison TLC upon request of the municipal contractor. OSHA 10 certification cards and CPR / First Aid training are prerequisites.

**2.2.1** Electrically Competent Qualified municipal contractors shall also be trained and competent in:

- a- The skills and techniques necessary to distinguish exposed live parts from other parts of electrical equipment
- b- The skills and techniques necessary to determine exposed live parts from other parts, (determination of non-insulated conductors from insulated conductors / cables).
- c- The knowledge of the precautionary techniques, personal protective equipment, insulating and shielding materials, and insulated tools that are required for working on or near exposed energized parts of electrical equipment. Generally, the Municipal Contractor will not be required to work on or near exposed/non-insulated energized parts of electrical equipment or cables. In the event special conditions exist requiring working near exposed/non insulated energized parts of electrical equipment or cables, the Municipal Contractor shall cease working and immediately contact the authorized Con Edison Inspector so that a further assessment of the condition can be evaluated, and appropriate guidance provided.
- d- The Electrically Competent Qualified Municipal Contractor employee shall determine, through regular supervision and through inspections conducted on at least an annual basis that each Municipal Contractor employee is complying with the safety-related work practices outlined in this guidance document.

**2.2.2** A Municipal Contractor employee shall receive additional training (or retraining) by the Electrically Competent Municipal Contractor under any of the following conditions:

- a- If the Electrically Competent Qualified Municipal Contractor employee indicates that the Municipal Contractor employee is not complying with safety-related work practices
- b- If new technology, new types of equipment, or changes in procedures necessitate the use of safety-related work practices that are different from those which the Municipal Contractor employee would normally use
- c- If the Municipal Contractor employee must employ other safety-related work practices that are not normally used or that require modification from those stated in this guidance document

Note: OSHA requires retraining before commencing with a task that has not been performed within one year.

The required training shall be of the classroom and/or on-the-job type. The training shall establish Municipal Contractor proficiency in the work practices required and shall introduce the procedures necessary for compliance. The Electrically Competent Qualified Municipal Contractor shall certify that each Municipal Contractor employee has received the training required and retains records of this training to be supplied upon request by Con Edison.

### **3.0 Safe Entry into Sub-Surface Structures, (Electrical Enclosed Space)**

**3.1 Purpose:** To establish a guideline that shall be employed for safe entry into Con Edison sub-surface structures by Municipal Contractors.

**3.2 Application:** Municipal Contractor personnel

**3.3 Guideline:** This guideline provides the requirements for practices that shall be employed for safe entry into Con Edison sub-surface structures. Municipal Contractor personnel requiring entry into Con Edison sub-surface structures shall adhere to this guideline.

### **3.4 Inspection/Testing**

Prior to entry into a Con Edison sub-surface structure, properly trained and qualified Con Edison electrical personnel must conduct an inspection. The assessment will determine if the condition of the electrical facilities contained therein is sufficient to allow need based unrestricted access. Con Edison electrical personnel shall validate that the Con Edison sub-surface structure inspected is approved for need based unrestricted access. The Con Edison Inspector shall communicate and document to an Electrically Competent Municipal Contractor personnel any safety precautions to be taken and that the subsurface structure is safe for entry. Any condition deemed to be un-safe through this formal inspection process would preclude granting access.

An inspection must take place daily prior to Municipal Contractor entry. Once the cover is placed on the electric subsurface structure another inspection must occur prior to Municipal Contractor entry. Inspections include but are not limited to:

- 1) Testing for stray voltage by a qualified Con Edison employee or qualified Municipal Contractor employee.
- 2) Completion of atmospheric testing.
- 3) Determination that it is safe to enter the space.
- 4) A visual inspection for any abnormalities previously defined.
- 5) Communication of inspection results and hazards to the Con Edison inspectors and the municipal contractor supervisor.

### **3.5 Job Briefing**

The Electrically Competent Qualified Municipal Contractor in charge shall conduct a job briefing with the municipal contractor's employees involved before they start the job. The briefing shall cover: the hazards associated with the job; work procedures involved; special precautions; and personal protective equipment requirements. The Electrically Competent Qualified Municipal Contractor shall instruct that all cables are to be treated as energized. Additional briefings shall be held if significant changes, which might affect the safety of the municipal contractor's employees, occur during the course of the work. The Electrically Competent Qualified Municipal Contractor shall document completion of the job briefing. A copy of the documented job briefing should be available upon request by Con Edison.

### **3.6 Attendants**

While work is performed in a Con Edison sub-surface structure, a Municipal Contractor Attendant shall be available in the immediate vicinity to render emergency assistance. Sub-surface structure Attendants shall comply with applicable OSHA requirements.

### **3.7 Hazardous Atmosphere**

Municipal Contractor personnel shall perform a hazardous atmosphere test before entry into any Con Edison sub-surface structure and perform continuous air monitoring in compliance with applicable OSHA requirements. Any atmospheric reading deemed to be un-safe would prohibit access to the structure. The Municipal Contractor shall immediately notify the authorized Con Edison Inspector.

### **3.8 Personal Protective Equipment**

Municipal Contractor personnel requiring entry into Con Edison sub-surface structures shall refer to and comply with applicable OSHA requirements regarding the use of Personal Protective Equipment when performing this work. In addition, Con Edison is requiring that Municipal Contractor personnel assigned to work inside Con Edison sub-surface structures shall at all times wear Flame Resistant (FR) Clothing with a rating of 8 cal/cm<sup>2</sup> or HR2, a retrieval harness and that a retrieval device be on location. In addition, an atmospheric tester



must be in use continuously anytime a structure is occupied. See section 3.9 for Matrix on Con Edison's Personal Protective Equipment Guideline.

### 3.9 Con Edison Personal Protective Equipment Guideline

	Task	Class 0 Gloves	FR Clothing	FR Hood	Blast Goggles	Face Shield	Safety Glasses
1	Pavement breaking	N	N				Y
2	Breaking out concrete encased duct	Y	Y				Y
3	Moving energized primary cables that are located outside a structure while in proximity to joints	Y	Y	Y	Y		
4	Moving primary cables outside a structure (no joints involved)	Y	Y				Y
5	Moving energized secondary cables	Y	Y				Y
6	Hand excavate to locate precast ducts	N	N				Y
7	Hand excavate to locate direct buried cables	Y	Y				Y
8	Removing cable from conduit	Y	Y				Y
9	Breaking structure for POE from outside/inside	Y	Y			Y	Y
10	Breaking sub-structure walls	Y	Y				Y
11	Pulling rope within structure with energized cable	Y	Y				Y
12	Pulling rope in enclosed spaces	Y	Y				Y
13	Building a bench or platform within a subsurface structure to support or protect cables.	Y	Y				Y
14	Breaking out unknown precast electric duct	Y	Y				Y
15	Using digging bar over electric facility	Y	N				Y
16	Using digging bar over direct buried cables	Y	Y				Y
17	Using Pneumatic clay digger in vicinity of electric facility	Y	Y				Y
18	Installing forms for field-constructed subsurface structures from inside the designed footprint when connected cables are present	Y	Y				Y
19	Installing forms for field-constructed subsurface structures from outside the designed footprint when connected cables are present	N	N				Y
20	Installing forms for field-constructed subsurface structure prior to first energization of new cables	N	N				Y
21	Saw cutting operation	Y	N				Y
22	Hand excavate to locate cable fault	Y	Y				Y
23	Hand excavating to find service dead leg	Y	Y				Y
24	Removing underground silo	Y	Y				Y
25	Regrade	Y	N				Y
26	Build/remove shunt box w/ energized cable inside	Y	Y				Y

### 3.10 Access

Municipal Contractor personnel shall not climb into or out of Con Edison subsurface structures by stepping on cables or hangers.

#### **4.0 Removal of Conduit from Cables and Moving Energized Underground Cables Located Outside of Subsurface Structures**

**4.1 Purpose:** Establish a guideline that shall be employed by Electrically Competent Qualified Municipal Contractor personnel, meeting OSHA training requirements, when removing conduit from cables and moving Con Edison energized underground cables located outside structures.

**4.2 Application:** Municipal Contractor personnel

**4.3 Guideline:** This guideline details the requirements for practices that shall be employed when moving Con Edison energized underground cables located outside of Con Edison structures. Movement of energized cables on the Con Edison system shall be performed in accordance with the following directions. Only Electrically Competent Qualified Municipal Contractor personnel who have been trained and meet necessary OSHA requirements for moving energized underground cables and in accordance with the following directions shall perform movement of energized cables on the Con Edison system.

#### **4.4 Job Briefing**

The Electrically Competent Qualified Municipal Contractor in charge shall conduct a job briefing with the Municipal Contractor's employees involved before they start the job. The briefing shall cover: the hazards associated with the job; work procedures involved; special precautions; and personal protective equipment requirements. The Electrically Competent Qualified Municipal Contractor shall instruct that all cables are to be treated as energized. Additional briefings shall be held if significant changes, which might affect the safety of the Municipal Contractor's employees, occur during the course of the work. The Electrically Competent Qualified Municipal Contractor shall document completion of the job briefing.

#### **4.5 Removal of Conduit from Cables**

All subsurface electric cable systems and related components shall be considered energized. Caution shall always be employed whenever conduits are opened to expose the interior cable.

##### **4.5.1- Pre-cast Concrete Conduit**

- a- The conduit shall be fractured by striking the top end corner of the conduit with a 3 lb. hammer equipped with a non-conductive handle. When fracturing the conduit, all impact/chipping action shall be performed in such a manner so as to be directed across the top of the conduit away from any cable that may be inside of the conduit.
- b- A small piece of the concrete conduit shall be chipped away so that a visual examination of the interior of the conduit can be made to verify the presence of cable. All impact/chipping actions shall be performed in such a manner so as to be directed across the top of the duct, away from the cable.

- c- If cable is present, concrete-chipping operations shall continue until enough material has been removed to permit insertion of a non-conductive protective shield barrier between the conduit and cable or as directed by the authorized Con Edison Inspector based on existing field conditions. Material such as exterior grade plywood or lumber (min. thickness  $\frac{3}{4}$ " ) or suitably reinforced plastic sheet material (min. thickness 0.060" – e.g. Norplex Micarta RT504 NEMA Grade G-3) shall be used for this purpose. This shield material shall provide protection for the cable during the remaining conduit removal operations. The remainder of the conduit shall be fractured using the 3 lb. hammer equipped with a non-conductive handle. Care shall be taken so as to avoid any impact upon the cable, either by direct or indirect hammer blows.
- d- During and after conduit removal operations, cable/conduit shall be properly supported as indicated in Section 5.7, below.

#### **4.5.2 - Concrete Encased Conduit**

- a- The concrete encased conduit (including but not limited to pre-cast, fiber, tile, clay), shall be fractured by striking the top end corner of the conduit with a 3 lb. hammer equipped with a non-conductive handle. When fracturing the conduit, all impact/chipping action shall be performed in such a manner so as to be directed across the top of the conduit away from any cable that may be inside of the conduit.
- b- For concrete encased conduit, it may be necessary to employ a handheld cold chisel (in conjunction with the 3 lb. hammer) to remove concrete encasement. If a chisel is utilized, all impact/chipping actions shall be performed in such a manner so as to be directed across the top of the duct, away from the cable.
- c- If the concrete encasement is so dense as to render the use of a hammer and handheld chisel non-effective, an 8-pound sledgehammer may be employed. If neither of these devices proves effective, the use of a pneumatic chipping hammer will be permitted. The weight/size of the pneumatic chipping hammer shall not exceed 20 lbs. When utilizing a pneumatic chipping hammer, the device shall be securely positioned and be under close operator control at all times. The tool bit used for these operations shall be chisel shaped with a minimum width of two (2") inches. All impact/chipping actions shall be performed in such a manner so as to be directed across the top of the duct, away from the cable.
- d- A small piece of the concrete conduit shall be chipped away so as to permit verification of the presence of cable inside the conduit.
- e- If cable is present, concrete-chipping operations shall continue until enough material has been removed to permit insertion of a non-conductive protective shield barrier between the conduit and cable or as directed by the authorized Con Edison Inspector

based on existing field conditions. Material such as exterior grade plywood or lumber (min. thickness  $\frac{3}{4}$ " ) or suitably reinforced plastic sheet material (min. thickness 0.060" – e.g. Norplex Micarta RT504 NEMA Grade G-3) shall be used for this purpose. This shield material shall provide protection for the cable during the remaining conduit removal operation.

- f- After installation of the shield material has been completed, continue removal of remaining conduit and encasement, using handheld and power tools.
- g- During and after conduit removal operations, cable/conduit shall be properly supported as indicated in Section 5.7, below.

#### **4.5.3 – Wood Conduit**

- a- Wooden conduit shall be split using a handheld cold chisel and a 3 lb. hammer equipped with a non-conductive handle. All impact/chipping action shall be performed in such a manner so as to be directed across the top of the conduit away from the cable.
- b- The chisel shall use to create a small window in the conduit that will permit a visual inspection of the conduit interior for the presence of cable.
- c- If cable is present, wood conduit material shall continue to be removed until enough material has been removed to permit insertion of a non-conductive protective shield barrier between the conduit and cable or as directed by the authorized Con Edison Inspector based on existing field conditions. Material such as exterior grade plywood or lumber (min. thickness  $\frac{3}{4}$ " ) or suitably reinforced plastic sheet material (min. thickness 0.060" – e.g. Norplex Micarta RT504 NEMA Grade G-3) shall be used for this purpose. This shield material shall provide protection for the cable during the remaining conduit removal operation.
- d- After installation of the shield material has been completed, continue removal of remaining conduit
- e- During and after conduit removal operations, cable/conduit shall be properly supported as indicated in Section 5.7, below.

#### **4.5.4 – HDPE Conduit**

- a- HDPE conduit shall be split using hand tools or a handheld pneumatic rotary cutting tool. All splitting/cutting actions shall be performed in such a manner so as to be directed across the top of the duct, away from the cable.
- b- Pneumatic Rotary Cutting Tool – A pneumatic rotary cutting tool shall be used to score an access area in the surface of the conduit., Prior to application of the cutting tool to the surface of the

conduit, the depth collar on the pneumatic rotary cutting tool shall be set so that the cutting bit will penetrate approximately  $\frac{3}{4}$  of the wall thickness of the conduit. After the conduit has been cut to the maximum depth allowable (such that the bit does not fully penetrate the thickness of the conduit), a 3 lb hammer shall be used to knock out the access area (window) outlined by the cutting tool. This will permit visual inspection of the conduit interior for the presence of cable.

- c- If inspection of the interior of the conduit reveals that cable is present, a non-conductive protective shield barrier shall be inserted into the conduit between the conduit and cable. This shield material shall provide protection for the cable during the remaining conduit removal operations. Material such as exterior grade plywood or lumber (min. thickness  $\frac{3}{4}$ " ) or suitably reinforced plastic sheet material (min. thickness 0.060" – e.g. Norplex Micarta RT504 NEMA Grade G-3) shall be used for this purpose. This shield material shall provide protection for the cable during the remaining conduit removal operations.
- d- If inspection of the conduit interior does not reveal the presence of cable, the remaining conduit may be removed using the tool choices mentioned in 4.5.4.a.

#### **4.5.5 - Metal Conduit**

- a. When removing metal conduit, the Municipal Contractor should first excavate and expose a collar connecting two sections of conduit. Once the collar is accessible, split and/or cut the collar off to inspect the conduit interior for the presence of cable. If a metal conduit collar is NOT easily accessible, or found within 20 to 40 feet of open excavation, proceed to section 4.5.5.b. Note: If the work to be performed is in response to a suspected natural gas leak or in the presence of natural gas, only the use of non-powered hand tools is allowed. Further guidance will be provided by Gas Engineering.
- b. If a collar connecting two sections of conduit cannot be found, metal conduit will be split using hand tools and/or a handheld rotary cutting tool. All splitting actions will be directed across the top of the conduit, away from the cable. Note: If the work to be performed is in response to a suspected natural gas leak or in the presence of natural gas, only the use of non-powered hand tools is allowed. Further guidance will be provided by Gas Engineering.
- c. Score the outline of an access area onto the surface of the conduit. Do not fully penetrate the conduit with the tool while making this outline.
- d. Use this outline as a guide for further splitting and cutting operations that will eventually create a viewing window into the conduit.

- e. If inspection of the conduit interior reveals the presence of cable, insert a non-conductive protective barrier between the cable and conduit wall. The barrier will provide physical protection for the cable during remaining conduit removal operations. Materials including, but not limited to, exterior grade plywood, lumber, and Norplex Micarta are acceptable. After the installation of the non-conductive protective barrier, the removal of the remaining conduit may proceed using the tool choices mentioned in section 5.5.4b.
- f. If inspection of the conduit interior does not reveal the presence of cable, the remaining conduit may be removed using the tool choices mentioned in section 4.5.5b.

#### **4.6 Visual Inspection**

- a- A visual inspection of cables located outside Con Edison structures that will be moved, shall be performed by Electrically Competent Qualified Municipal Contractor personnel or the authorized Con Edison Inspector.
- b- After the conduits have been broken out (removed from the cables), the exposed cable(s) shall be inspected by the Electrically Competent Qualified Municipal Contractor personnel.
- c- The cables shall be visually inspected by the Electrically Competent Qualified Municipal Contractor personnel, and determined to be free from any of the defects that would prevent relocation. Cable(s) shall be free of cracks, tears, and evidence of oil stains, swelling, or melting of the insulation. Cables shall not have any exposed conductor.

#### **4.7 Cable Moving Operations – Outside Structures**

- a- Prior to moving any cables outside of a subsurface structure, the cables located within the associated connecting subsurface structures shall be inspected in accordance with the guideline requirements for moving cables within Con Edison sub-structures.
- b- Municipal Contractor personnel experienced in moving Con Edison cables only shall move cables.
- c- Cables shall not be moved until plastic “fair-leaders” are positioned at the duct edges to prevent chaffing damage.
- d- Synthetic web slings having a minimum width of two (2) inches shall support cables that have been removed from conduit. Slings shall be used in a basket hitch configuration.
- e- Conduits housing cables shall be supported using slings, cable, or rope. Conduits shall be supported in such manner as to maintain alignment with one another.
- f- Maximum distance between support points shall be four (4) feet.

- g- To prevent inadvertent over bending of the cables, the maximum vertical or horizontal offset between supports shall be one foot (1') for cable that is supported outside of conduit. For cable that is being moved while still installed in conduit, the conduit shall not be offset more than one foot per four-foot section of conduit.
- h- Each set of cables (cables from one duct/conduit) shall be moved individually. Cables from multiple ducts/conduits shall not be moved as a bundle.
- i- Relocation of cables shall be performed in a careful manner with the movement of cable under complete control at all times. There shall be no sudden movements of the cable or the conduit that contains cable.
- j- An observer shall be positioned so as to determine proper slack in structures and to ensure that joints remain properly supported on rack arms and specified offsets are maintained. This observation shall be performed from outside of the structure while the cable is being moved.
- k- Allowable horizontal and vertical offsets shall be determined based on applicable CET or JB item sketches and/or as directed by the authorized Con Edison Inspector.
- l- Cables shall not be permitted to fall freely from temporary supports.
- m- All cables supported by slings shall be visually inspected at the beginning and end of each work shift to ensure that no cracks, leaks, or other defects have developed.
- n- Cables shall be repositioned with care when being moved into their final position for the installation of split conduit.

#### **4.7.1 Personal Protective Equipment**

Municipal Contractor personnel moving Con Edison energized cables shall refer to and comply with applicable OSHA requirements regarding the use of Personal Protective Equipment when performing this work. See Section 3.9 for Matrix on Con Edison's Personal Protective Equipment Guideline.

### **5.0 Breaking Out a Point of Entry (POE's) in an Electrical Enclosed Space**

#### **5.1 Activities Prior to creating POE's**

- 5.1.1 Prior to creating POE's, the location of all conduit and cable passing through the section of the wall shall be visually identified and protected inside structure.
- 5.1.2 If any cables are required to be moved prior to creation of a POE, they shall be moved by properly trained and qualified Con Edison electrical personnel.

## 5.2 Creation of POE Operations

**5.2.1** Using caution, expose the exterior section of the wall that you intend to create the POE (typically done in 2' – deep vertical sections) by carefully excavating on the outside of the structure.

**5.2.2** When there is a potential for contact between the existing cables that have been visually identified and the tool being used to break out the POE, protect the cables using fire rated wood, phenolic board, cable shields or other acceptable non-conductive materials. Along with protecting the cable from coming in contact with the implement being used for breaking, cables on the walls in the POE area shall also be protected from falling debris using FR wood even if there is no potential for contact with said cables.

**5.2.3** Once the following conditions have been satisfied:

**5.2.3.1** Location of cables inside the structure and the associated conduit outside have been verified.

**5.2.3.2** Protection of cable on both the inside and the outside of the structure walls

**5.2.3.3** Structural integrity of the proposed POE area has been confirmed using hand tools

Then use the appropriate tool (up to and including a 90 lb. jackhammer) to create the POE on the section of structure wall that was previously prepared.

**5.2.4** If a jackhammer is being used for the POE breakout operation, where feasible, support the tool from underneath to prevent slippage.

**5.2.5** Where possible, the use of a 90 lb. jackhammer shall be avoided within 8" of a live conduit. If the competent person determines that the breakout can only be made using a jackhammer within 8" of live conduit, a physical barrier must be placed between the jackhammer and all facilities that could possibly come in contact with the jackhammer. The Municipal Contractor may then begin utilizing the 90 lb. jackhammer using a 3" bit or wider.



## MODIFIED SPECIFICATIONS

The specifications listed below supersede the specifications found in the “JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR MANHATTAN”.

Specifications	Superseded Specifications
<b>CON EDISON</b>	
JB 802	JB 802A & JB 802B
ALW	N / A
<b>VERIZON</b>	
JB 225	JB 225.1A, JB 225.1B, JB 225.2A, JB 225.2B, & JB 225.3A
JB 300	JB 300.1, JB 300.2
JB 351T	N / A
JB 401	JB 401
JB 402T	JB 402T.1, JB 402T.1A, JB 402T.2, JB 402T.2A, JB 402T.J1, JB 402T.J1A, JB 402T.J2, JB 402T.J2A, JB 402T.R1A, JB 402T.R2A, JB 402T.V1, JB 402T.V1A, JB 402T.V2, & JB 402T.V2A
JB 402T.3	JB 402T.3
JB 403T	JB 403T.1 & JB 403T.2
JB 405	JB 405.1 & JB 405.2
JB 450	JB 450.1, JB 450.2, JB 450.3, JB 450.4, JB 450.5
JB 603T	JB 603T.1, JB 603T.2, JB 603T.3, JB 603T.4, JB 603T.5, JB 603T.6, JB 603T.7, JB 603T.8, & JB 603T.9
JB 636 E	JB 636 EA, JB 636 EB, JB 636 EC, JB 636 ED, JB 636 EE, JB 636 EG, JB 636 EH, & JB 636 EI
JB 636 M	JB 636 MA, JB 636 MB, JB 636 MC, JB 636 MD, JB 636 ME, JB 636 MG, JB 636 MH, & JB 636 MI
JB 638 NT	JB 638 NT
JB 638 RT	JB 638 RT
JB 798	JB 798
JB 799	JB 799
JB 800	JB 800
JB 801	JB 801
JB 802	JB 802A & JB 802B
JB 803	JB 803.1, JB 803.2, & JB 803.3
<b>NATIONAL GRID</b>	
JB 502	

## **JB 802 - SPECIAL MODIFICATION OF WORK FOR INSTALLATION OF NEW CURBS AND SIDEWALKS**

### **A. Description**

Under this Section, the Contractor shall be required to modify work methods of installing new curb and sidewalk in order to maintain, protect and accommodate the integrity of private Utility Facilities located within a zone of protection immediately beneath existing sidewalk and curb designated to be replaced under other Contract items. The zone of protection shall define an area of curb and sidewalk where: work is within the vicinity of private Utility Facilities as shown on the Special Care Excavation Plan or where utilities are encountered during construction that are within 18 inches of either face of curb and/or 12 inches of the base material of proposed curb and/or sidewalk.

### **B. Materials – N/A**

### **C. Method of Operation/Construction**

Once clearances have been verified by available records to the satisfaction of the facility operator, the Contractor shall exercise extreme caution to install new curb and sidewalks within zoned areas of protection. Exercising extreme caution shall mean utilizing appropriate methods of operation/construction, special operations and sequencing, and by employing hand labor, using hand held tools only, under the personal direction of the appropriate facility operator. The work shall incorporate, but not be limited by, the following restrictions:

#### **1. Removal of Existing Curb and Sidewalk**

Removal of existing curb and sidewalk material shall be performed by saw cutting the curb and sidewalk, for a depth of not less than 2", to assist the Contractor in breaking up the concrete curb and sidewalk for removal by hand. Curb and sidewalk removal shall be done with hand labor, using hand held tools only while working from adjacent undisturbed sidewalk and/or pavement. Furthermore, it shall be understood to mean that digging and/or excavating directly with power-mechanized earth moving equipment will not be permitted. Power mechanized earth moving equipment may only be used as a depository of material removed from the excavation by hand as described above. All equipment, methods, and maintenance and protection provisions shall require full authorization by the facility operator.

#### **2. Preparation and Installation of New Curb and Temporary and New Sidewalk**

Backfilling, filling, grading of sub base, and installation of new curb and both temporary and new sidewalk, as required under other Contract Items, shall be performed utilizing materials, equipment and methods of construction that will insure the integrity of the private utility facilities and at the same time meet all requirements for this work as specified in other sections of this contract.

#### **3. Compaction**

The Contractor shall compact all sub-grade and new sub-base materials by utilizing native and/or blended fill material, equipment and methods of construction that will ensure integrity of private Utility Facilities and at the same time meet all requirements for compaction as specified in Section 4.11 of the Standard Highway Specifications.

#### **4. Powered Excavating Equipment Limitations**

The Contractor shall not employ powered or mechanical excavating equipment within the zone of protection. Powered or mechanical excavating equipment may only be used as a depository for material removed from the excavation by hand as described above.

The Contractor shall not be permitted to store, stand and/or travel equipment/vehicles on specified unpaved zoned protection areas.

#### **D. Method of Measurement**

##### **1. JB 802A**

The quantity of "Special Care Excavation and Restoration for Sidewalk Work" to be measured for payment shall be the number of square feet (S.F.) of new sidewalk actually installed under other contract items within the zone of protection areas requested by the facility operator. For payment purposes, the horizontal limits for a zone of protection area shall be defined as the area designated on the plans or an area equal to the length of the designated facility multiplied by its width plus 18 inches on each side. Where overlapping of zones occur due to multiple facilities, the area will be modified to one zone measured from the outside limits. Where the 18-inch area falls beyond the curb line the outside boundary shall be the curb line.

##### **2. JB 802B**

The quantity of "Special Care Excavation and Restoration for Curb Work" to be measured for payment shall be equal to the number of linear feet (L.F.) of new curb actually installed under other contract items within the zone of protection areas requested by the facility operator.

## **E. Price to Cover**

### **1. JB 802A**

The contract price per square foot for "Special Care Excavation and Restoration for Sidewalk Work" shall be the incremental cost difference of all labor, materials, equipment, insurance and incidentals required for excavation and disposal of pavement, base and all other material to new sub-grade within and adjacent to zone of protection areas; saw cutting, grading, preparation of sub-grades, backfilling and compaction within zone of protection areas; all in accordance with the plans, the specifications and the directions of the facility operator. The price shall further include the cost of maintaining, protecting and accommodating the integrity of private Utility Facilities during the performance of sidewalk reconstruction (under other Contract Items) within zone of protection areas designated on the plans or as directed by the facility operator.

### **2. JB 802B**

The contract price per linear foot for "Special Care Excavation and Restoration for Curb Work" shall be the incremental cost difference of all labor, materials, equipment, insurance and incidentals required to install new curbs and temporary restoration material under other Contract items, within and adjacent to zone of protection areas; all in accordance with the plans, the specifications and the directions of the facility operator. The price shall further include the cost of maintaining, protecting, and accommodating the integrity of private Utility Facilities during the performance of curb reconstruction (under other Contract Items) within zone of protection areas designated on the plans or as directed by the facility operator.

Payment for all work specified herein shall be made on a one-time basis only; no payment will be made for the same area of sidewalk or length of curb more than one time. When the installation of curb and sidewalk are performed as separate operations on the same location, payment shall be made separately for each operation. In addition, work under these items shall not be paid in combination with other utility items.

## **F. References**

1. Section 4.11 Standard Highway Specification

## **EXTRA UTILITY WORK COSTS ALLOWANCE**

### **A. Description - Use of contract "Extra Utility Work Costs Allowance" – Fixed Sum**

This item is applicable only for extra Utility Work. If it is determined that there is extra Utility Work for which there are no items in the Unit Price Book, then the Utility and City Contractor shall negotiate the cost of supporting and protecting, and/or alleviating the impact on the Public Work caused by the extra Utility Work with each other with the understanding that the performance of Public Work shall continue during all negotiations and discussions.

- (a) If the parties reach an agreement on cost for the extra Utility Work when there are no bid items available in the Unit Price Book, then the City Contractor and the Utility shall jointly submit a copy to the City's Resident Engineer the agreed upon price along with all supporting documentation. The City Contractor shall be paid by requisitions submitted in accordance with the agreed upon price. All such extra utility work shall be totaled and the total shall be paid under "Extra Work Allowance".
- (b) If the parties do not reach an agreement on the extra Utility Work within seven (7) Business Days from the start of negotiations, then parties will resolve the dispute through the dispute resolution process, as set forth in Appendix "C". During the arbitration process, the extra Utility Work will be performed and paid for on a time and material basis or an alternate method of payment, as set forth in the City's standard construction contract.
- (c) When time and material is used during arbitration or if the Utility and the City Contractor can agree on an alternative method for payment for the Utility Work, then that method may be applied by the Utility, with notice to the City. The total value of such Time and Material or alternative method of payment shall be paid under "Extra Work Allowance".

### **B. Materials**

All materials shall be supplied by the Contractor and approved by the facility operator in consultation with the Resident Engineer.

### **C. Method of Construction**

As required.

### **D. Method of Measurement**

No guarantee is given that this allowance item will in fact be required in this contract. The estimated "fixed sum" amount in the Bid Schedule is included in the total bid solely to insure funding availability.

The quantity to be measured for payment shall be each lump sum (LS) amount of the extra utility work, or Time and Material amounts. Payments for extra Utility Work or Time and Material shall be made under "Extra Work Allowance" and shall be documented with a proper Change Order Request, provided that sufficient funding of "Extra Work Allowance" for each affected utility(ies) is available.

Change Order Requests and Overrun Change Order Requests for Utility Work shall be submitted separately from Public Work Change Order Requests and Overrun Change Order Requests. The costs breakdown by items for each participating Utility shall be clearly tabulated and sub-totaled. Public Work costs shall not be combined with Utility Work Costs on Change Order Requests of any type.

#### **E. Price to Cover**

Payment made under each lump sum (LS) amount, shall cover the cost of all labor, materials, equipment, supervision, insurance and incidentals necessary to complete the extra utility work. The price includes the modification of any methods of construction and operation and associated changes in sequencing of the City contract work as required, in order to perform the extra utility work and/or the City contract work. Each lump sum (LS) amount includes all special considerations due to all site conditions, loss of productivity and efficiency, idle time, demobilization and remobilization, site maintenance, maintenance of traffic and protection, extended performance, extended overhead costs, extended engineering and extended home office costs in connection with the extra utility work. In consideration of each lump sum (LS) amount, the contractor waives all claims for impacts arising from the extra utility work, which shall be deemed included in each lump sum amount paid. The total estimated cost of this item is the "fixed sum" amount shown for this item in the Bid Schedule. No guarantee is given that the actual lump sum cost for this item will in fact be the "fixed sum" amount. The "fixed sum" amount is included in the total bid solely to insure that sufficient monies will be available to pay the Contractor under this item.

#### **F. References**

None

## **JB 225 – INSTALLATION AND REMOVAL OF CATCH BASINS WITH UTILITY INTERFERENCES**

### **A. Description**

Under this section, the Contractor shall provide all incremental labor, materials, equipment, insurance and incidentals required to support and/or protect the integrity of utility facilities required during the excavation, installation and removal of catch basins within maximum excavation limits shown on sketch JB 225. This shall include but not be limited to the following types of utility facilities:

1. Conduits
2. Cables
3. Concrete encased conduit duct banks
4. Steel pipes
5. Steam facilities
6. Oil-o-static facilities, and
7. Non-Cost sharing gas facilities

encroaching the catch basin excavation and sheeting lines as described further in this section and attached sketch JB 225.

### **B. Materials**

Furnish slurry fill or backfill as required. All materials used to support and protect utility facilities shall be as indicated on standard Sketches JB 100A, JB 100B, JB 100C, JB 100C-1, JB 100D, JB 100E and JB 100F, contained elsewhere in these specifications, shall be supplied by the Contractor and approved by the facility operator(s).

### **C. Methods of Construction**

The Contractor shall use sheeting methods that permit maintenance, support and protection of all utility facilities covered by this section. It is the intent of this item to support, maintain and protect any and all combinations and configurations of utility facilities located within limits indicated on sketch JB 225. For the construction of Type III catch basins, the spillway shall be constructed in a separate stage where the excavation limits may be waived after the basin structure has been installed and backfilled. Excavation for the spillway shall not exceed 3' beyond the exterior finished surface of the proposed spillway. Excavation method for spillway construction shall be done by hand. Utility facilities located within the catch basin footprint, are not covered by this section and shall be removed or adjusted by the Contractor under other JB items or by facility operator at their own expense. This section shall then cover the adjusted facilities.

Utility facilities located beyond the established maximum payment limits are not affected by work specified and shall not be disturbed during any type of catch basin installation and/or removal. Contractor shall be solely and totally responsible for support, maintain and protect, any disturbances and/or any damages to such facilities at his expense. The facility operator(s) shall identify the locations of all utilities within the contract area as required by New York State Industrial Code Rule 753. As provided by the Rule, the Contractor shall use hand excavation methods (pick

and shovel and/or hand held power tools) directly below the pavement base to expose the facilities and to ascertain the spatial relationships and/or dimensions of these utilities with respect to the proposed excavation. Upon exposing the affected utilities as determined solely by the facility operator(s), the Contractor shall be permitted to proceed with a combination of hand and machine excavation, as appropriate, within a zone of protection whose limit shall be defined as a perimeter located one foot from the outside face of each utility interference.

#### **D. Method of Measurement**

The quantity to be measured for payment shall be each basin where utility facilities are located within the limits indicated on sketch JB 225. Utility facilities located within the catch basin footprint shall be removed or adjusted by the contractor under other JB items. This section shall then cover the adjusted facilities. Payment will be made only one time at locations where a new catch basin is to be installed at the same location as a preexisting catch basin as specified on contract drawings. For the purpose of this item, "same location" shall mean within 10ft of the preexisting basin location.

JB 225.1A – Installation and removal of catch basins with utility interferences (EA)

JB 225.1B – Installation and removal of catch basin with utility interference at an additional depth of up to 3 feet (EA)

JB 225.2A – Installation of catch basins with utility interferences (EA)

JB 225.2B – Installation of catch basins with utility interferences at an additional depth of up to 3 feet (EA)

JB 225.3A – Removal of catch basins with utility interferences. This item shall be applied only in situations where the catch basin is being removed and not replaced. This item requires complete removal of the catch basin including the floor. (EA)

#### **E. Price to Cover**

For JB items 225.1A & 225.2A – The price shall cover the cost of all labor, material, equipment, insurance and incidentals necessary to completely support and protect and maintain the integrity of the utilities without disruption of service to the customers and in accordance with other types of utility items. The price shall also include the cost of: supports, slings and beams installed for utility support; changes of sheeting method and configuration where necessary to accommodate the utility; a combination of hand and machine excavation within the payment limits specified; the disposal of excess backfill material; the placing of backfill material adjacent to catch basins within the maximum payment limits shown on sketch JB 225; backfilling and compacting around over, under and in between utility facilities; installation and removal of sheeting around facilities; support and protection of utility facilities encountered during construction of the spillway. The price shall also cover any additional excavations including hand and hand and machine excavations under and in between single and multiple facilities; and/or in between utility facilities and other existing structures. The unit price shall be deemed to cover all incremental cost for all labor, material, equipment, and incidentals necessary to excavate, install and/or remove specified catch basins while completely supporting, protecting, maintaining and/or adjusting the catch basin to accommodate the integrity of the encroaching utility facilities without disruption of service to the customers in accordance with the contract documents. All cost to support maintain, protect, and accommodate the integrity of utility facilities shall be deemed included in the price for this item. The price shall also cover all additional restricted excavating, sheeting, backfilling, and



compaction around, over, under, and between utility facilities and all other existing structures and/or newly installed and/or removed catch basin.

For JB items 225.1B and .2B – The price to cover shall include all work as described in price to cover for JB 225.1A and .2A plus installation of the catch basin at an additional depth of up to three feet. Payment for all work herein specified shall be made on a one-time basis only; no payment for work herein specified shall be made for the same area more than one time. Payment will be made only one time if the Contractor elects to install new basins next to existing basins to accommodate their operations. No payment will be made for the removal of the existing basins if performed at a later sequence.

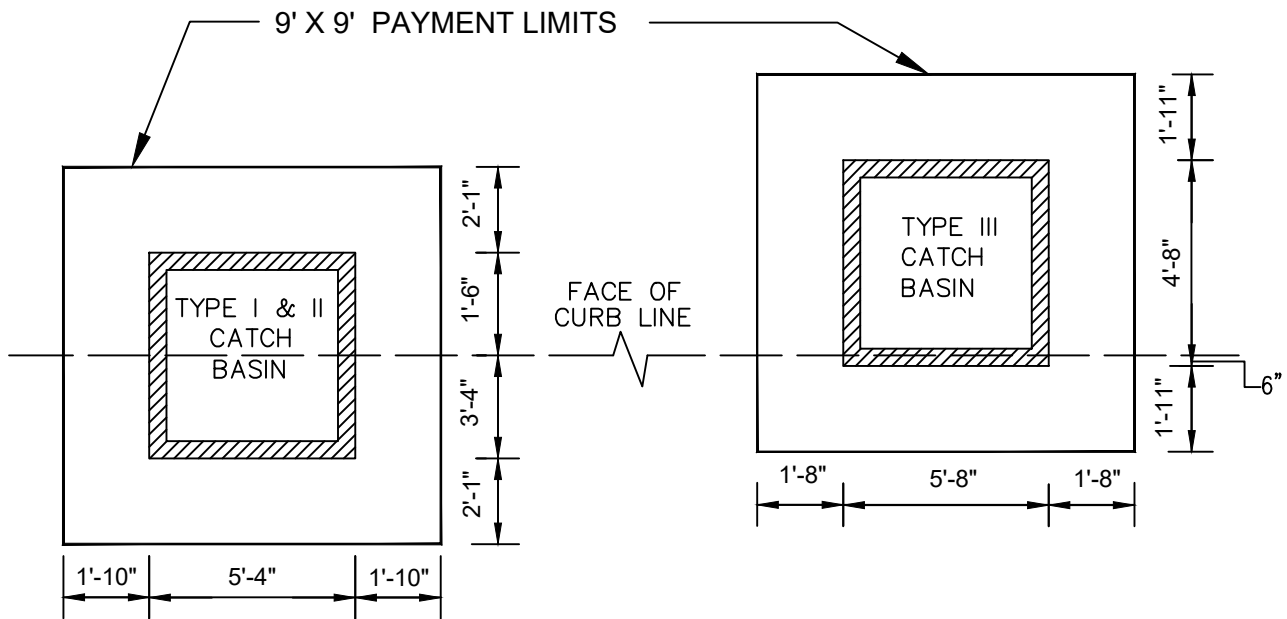
For JB items 225.1A, .1B, .2A, and .2B - if tight sheeting is not utilized for the installation of the catch basin this JB pay item shall be reduced by 60%.

For JB item 225.3A – The price to cover shall include all work as described in price to cover for JB 225.1A and .2A plus the modification of means and methods for the removal of catch basins using hand tools including but not limited to chipping guns and jack hammers when work is performed in the presence of utility facilities within the excavation limits as outlined in sketch JB 225.

Payment for all work herein specified shall be made on a one-time basis only; no payment for work herein specified shall be made for the same area more than one time. Payment will be made only one time if the Contractor elects to install new basins next to existing basins to accommodate their operations. No payment will be made for the removal of the existing basins if performed at a later sequence.

## **F. References**

1. Sketches JB 225, JB 100A, JB 100B, JB 100C, JB 100C-1, JB 100D, JB 100E and JB 100F
2. NYS Industrial Code Rule 753



CATCH BASIN ORIENTATION TO CURB LINE WITH PAYMENT LIMITS CONCENTRIC TO BASINS  
N.T.S.

JB 225 PAY LIMITS

CATCH BASIN TYPE	DIMENSION TO UTILITY FACILITY PARALLEL FROM FACE OF CURB INTO STREET	DIMENSION TO UTILITY FACILITY PARALLEL FROM FACE OF CURB INTO SIDEWALK	DIMENSION TO UTILITY FACILITY PERPENDICULAR TO CURB FROM FACE OF CATCH BASIN
TYPE I & II TYPE III	3'-6" TO 5'-5" 0'-8" TO 2'-5"	1'-8" TO 3'-7" 4'-10" TO 6'-7"	0'-2" TO 1'-10" 0'-2" TO 1'-8"

NOTES:

FOR EXCAVATIONS GREATER THAN 9'X9', THE CONTRACTOR SHALL SUPPORT ALL UTILITIES AS SPECIFIED UNDER THE VARIOUS ITEMS WITH NO ADDITIONAL COMPENSATION FROM THE IMPACTED UTILITIES. PAYMENT UNDER THIS ITEM SHALL INCLUDE MODIFICATION OF SHEETING METHODS TO SUPPORT UTILITY FACILITIES PARTIALLY WITHIN THE PAYMENT LIMITS SPECIFIED.

ACCOMODATION OF UTILITY FACILITIES DURING CATCH BASIN INSTALLATIONS

LAST REVISION  
2/27/2016

SKETCH NO.  
JB 225

## **JB 300 - SPECIAL CARE EXCAVATION AND BACKFILLING**

### **A. Description**

Under this section, the Contractor shall provide all incremental labor, materials, equipment, insurance and incidentals required for trench excavation when protecting and maintaining and accommodating the integrity of utility facilities, including but not limited to:

1. Conduits
2. Cables
3. Structures
4. Concrete encased conduit ductbanks
5. Steel pipes
6. Steam facilities
7. Non-cost sharing gas facilities

of various sizes and configurations, encroaching (partially exposed) or paralleling (not exposed) within 6 inches of the approved city trench lines for all phases of contract excavation as shown on contract drawings and/or as encountered during construction, except excavations to the ultimate depth for curbs, sidewalks and roadway/base/sub-base removal which are covered under other JB items. This item shall also apply to facilities that cross excavations for water service installation and extensions or excavations for water tap searches. The items specified under this section shall not be measured for payment in conjunction with any other types of utility items. All work shall be performed in accordance with contract plans, specifications, sketches JB 300A and JB 300B and at the direction of the facility operator(s).

### **B. Materials** – N/A

### **C. Method of Construction**

The Contractor shall maintain and protect and accommodate the integrity of all utility facilities encroaching/paralleling within excavations as schematically shown on sketches JB 300A and JB 300B. The facility operator(s) shall identify the locations of all utilities within the contract area as required by New York State Industrial Code Rule 753. As provided by the Rule, the Contractor shall use hand excavation methods (i.e. pick and shovel or hand held power tools) directly below the pavement base to expose the facilities and ascertain the spatial relationships and/or dimensions of these utilities with respect to the proposed excavation. Upon exposing the affected utilities sufficiently as determined solely by the facility operator(s), the Contractor shall be permitted to proceed with a combination of hand and machine excavation, as appropriate, within a zone of protection whose limit shall be defined as a perimeter located one foot from the outside face of each utility encroaching.

### **D. Method of Measurement**

The unit price for this work item shall be based on the volume (C.Y.) of special care excavation calculated as follows:

- For paralleling facilities (not exposed) within six inches of city trench line: The area for payment shall be measured horizontally from the face of the excavation plus one foot towards the center

of trench and vertically from bottom of the roadway to the bottom of the trench, multiplied by the length of the paralleling utility facility as indicated in sketches JB 300A and JB 300B.

- For encroaching facilities: The area for payment shall be measured horizontally as the width of the encroaching facility plus one foot towards the center of the trench and vertically from the bottom of the roadway to the bottom of the trench, multiplied by the length of the encroaching utility facility as indicated in sketches JB 300A and JB 300B.
- For facilities crossing water service excavations: Depth as defined above multiplied by the width taken as the outside diameter width of structure plus one foot on either side, multiplied by the length of the exposed facility inside the trench.

The volume calculation shall in all cases include, the volume occupied by the utility proper within the payment area described above. Overlapping volume dimensions measured as described above may occur when multiple utilities are encroaching trench excavations. In such cases, all such utilities shall be counted as one utility limited by the maximum encroachment of pipes, conduit(s), and conduit banks faces. The volume shall then be calculated as described above and shown on sketches JB 100E, JB 300A and JB 300B. Utilities identified as abandoned by the facility operator prior to beginning of excavation, are not included for payment under this item.

JB 300.1 – Special care excavation and backfilling for utility facilities in city trenches less than 5' in depth

JB 300.2 – Special care excavation and backfilling for utility facilities in city trenches 5' or greater in depth

#### **E. Price to Cover**

The price shall cover the cost of all labor, material, equipment, insurance and incidentals necessary to completely protect and maintain and accommodate the integrity of the facilities without disruption of service to the customers and in accordance with contract documents. The price shall also include the cost of modifications to typical work methods, including water tap search excavations and the use of such methods as hand excavation around existing single and multiple facilities; backfilling and compaction around, over and under the utilities including the use of special methods, installation and removal of sheeting from around the facilities, and traffic plates that may be required to temporarily close and/or complete the work.

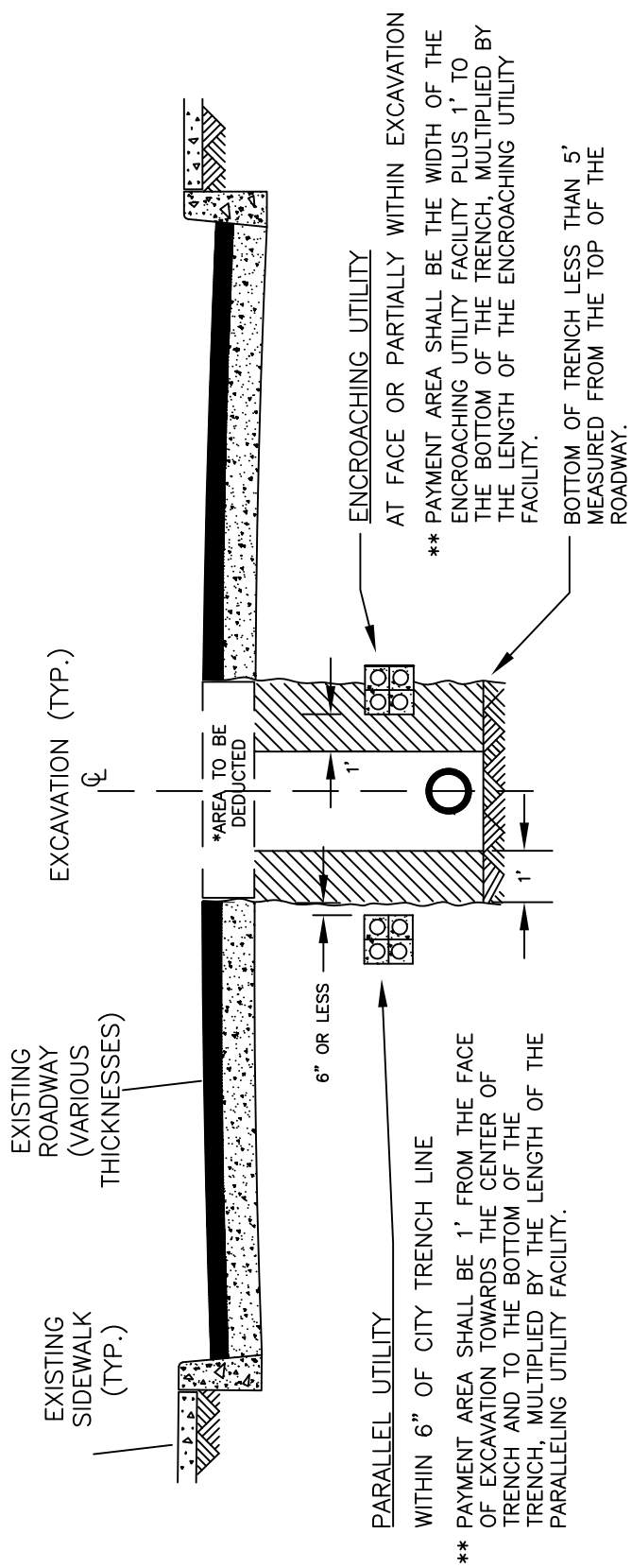
For item JB 300.1 – Depth shall be measured from the bottom of the existing roadway to the bottom of the trench up to a total trench depth of less than 5' measured from top of roadway.

For item JB 300.2 – Depth shall be measured from the bottom of the existing roadway to the bottom of the trench.

If the lowest utility facility is located at a depth less than 5' in a trench greater than 5' deep, then only item 300.1 shall apply. Only one payment for either 300.1 or 300.2 shall apply per trench wall.

#### **F. References**

1. Sketches JB 100E, 300A, JB 300B
2. NYS Industrial Code Rule 753



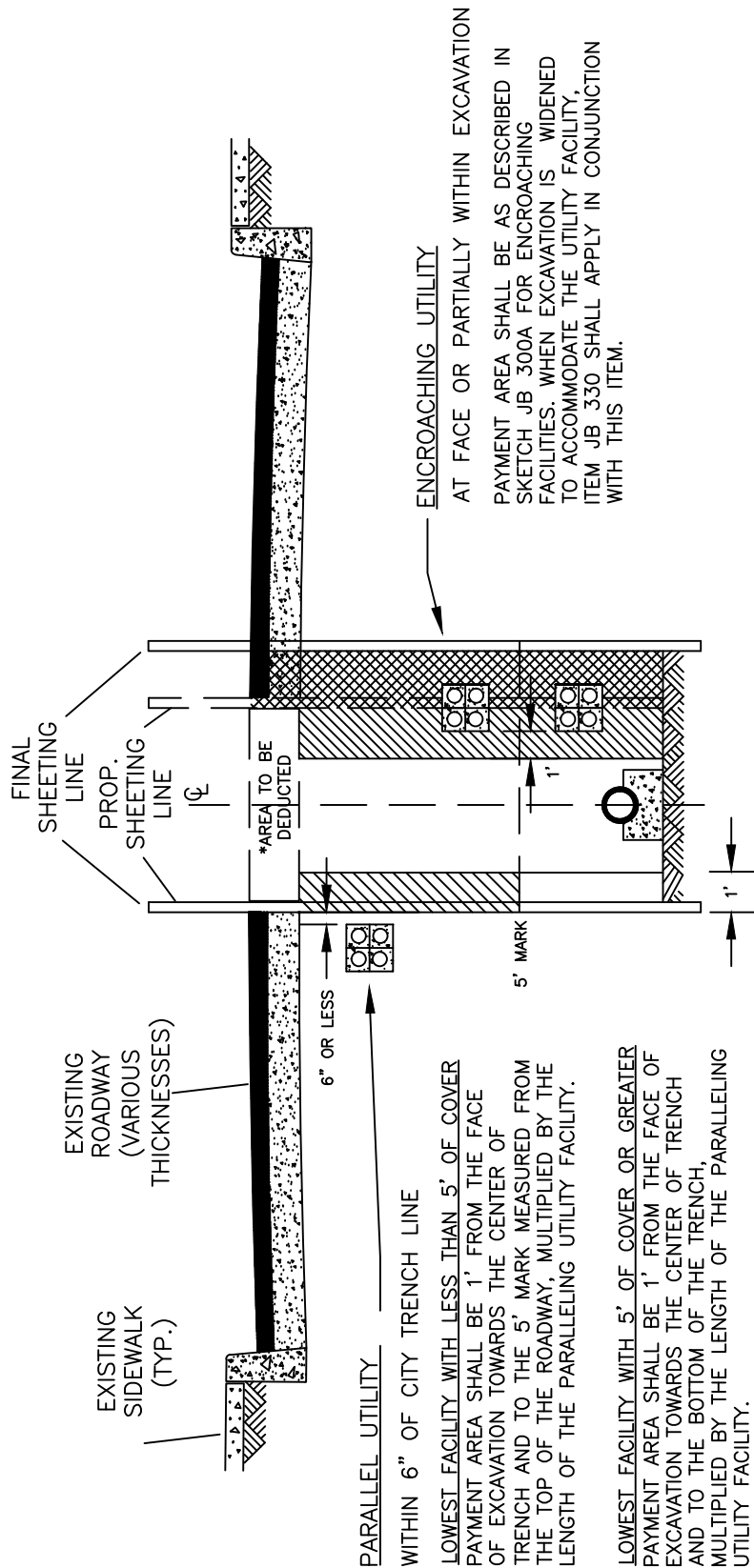
**PROFILE**

N.T.S.

UTILITY PARALLELING/ ENCROACHING IN CITY FACILITY TRENCH LESS THAN 5' IN DEPTH	
LAST REVISION 6/30/2015	SKETCH NO. JB 300 A

- NOTES:**
- \* VOLUME OF ROADWAY SHALL BE DEDUCTED FROM PAYMENT AREA
  - \*\* ONLY ONE PAYMENT SHALL APPLY PER TRENCH WALL





**ENCROACHING UTILITY**  
 AT FACE OR PARTIALLY WITHIN EXCAVATION  
 PAYMENT AREA SHALL BE AS DESCRIBED IN  
 SKETCH JB 300A FOR ENCROACHING  
 FACILITIES. WHEN EXCAVATION IS WIDENED  
 TO ACCOMMODATE THE UTILITY FACILITY,  
 ITEM JB 330 SHALL APPLY IN CONJUNCTION  
 WITH THIS ITEM.

**PARALLEL UTILITY**  
 WITHIN 6" OF CITY TRENCH LINE  
 LOWEST FACILITY WITH LESS THAN 5' OF COVER  
 PAYMENT AREA SHALL BE 1' FROM THE FACE  
 OF EXCAVATION TOWARDS THE CENTER OF  
 TRENCH AND TO THE 5' MARK MEASURED FROM  
 THE TOP OF THE ROADWAY, MULTIPLIED BY THE  
 LENGTH OF THE PARALLELING UTILITY FACILITY.  
 LOWEST FACILITY WITH 5' OF COVER OR GREATER  
 PAYMENT AREA SHALL BE 1' FROM THE FACE OF  
 EXCAVATION TOWARDS THE CENTER OF TRENCH  
 AND TO THE BOTTOM OF THE TRENCH,  
 MULTIPLIED BY THE LENGTH OF THE PARALLELING  
 UTILITY FACILITY.

PROFILE

N.T.S.

-  ITEM 300 PAYMENT AREA
-  ITEM 330 PAYMENT AREA

**NOTES:**  
 \* VOLUME OF ROADWAY SHALL BE DEDUCTED FROM  
 PAYMENT AREA  
 \*\* ONLY ONE PAYMENT SHALL APPLY PER TRENCH  
 WALL

UTILITY PARALLELING/ ENCROACHING IN CITY FACILITY TRENCH 5' AND GREATER IN DEPTH	
LAST REVISION 10/05/2021	SKETCH NO. JB 300 B

## **JB 351T - UTILITY POLE SUPPORTS**

### **A. Description**

This section describes the temporary supports for utility poles at locations directed by the facility operator(s) in consultation with the Resident Engineer, in order to maintain such poles in their existing upright position without disturbing attached wires and equipment. The Contractor shall provide all labor, material, equipment, insurance, and incidentals required to construct, install and maintain an effective support system that will meet the stated objective.

### **B. Materials**

All materials required to construct and maintain an effective support system shall be supplied by the contractor and approved by the facility operator.

### **C. Method of Construction**

Where directed by the utility representative, the Contractor shall furnish, install and remove utility pole supports and maintain utility poles as shown on Sketch JB 351. Alternate methods proposed by the Contractor will be permitted if approved by the facility operator.

### **D. Method of Measurement**

The quantity of utility pole supports to be measured for payment shall be the number of utility poles supported. The Contractor will be paid only once for each utility pole supported and maintained no matter how many different construction operations have an impact on the pole.

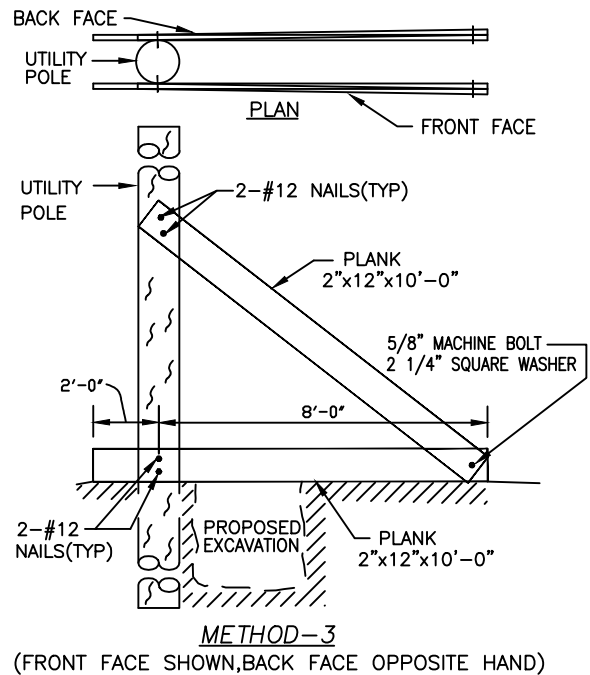
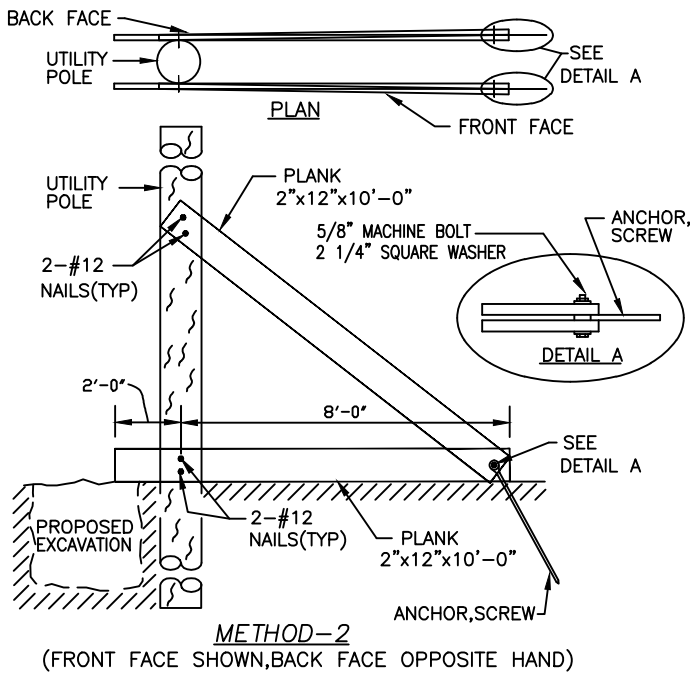
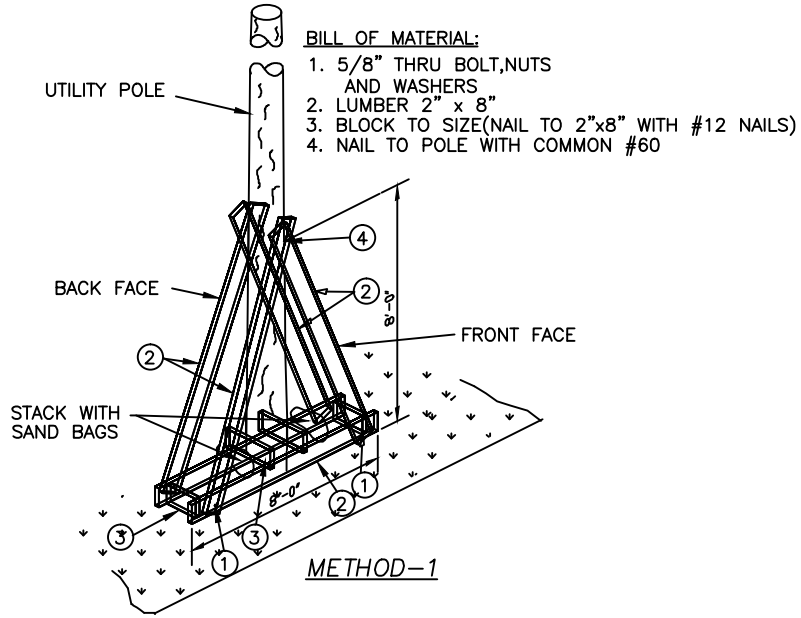
### **E. Price to Cover**

The price shall cover the cost of all labor, material, equipment, insurance, and incidentals necessary to furnish, install, maintain and remove utility pole supports to completely support, maintain, protect, and accommodate the integrity of utility poles without disruption of service to customers. The price bid shall also include all additional impact cost associated with working around utility pole supports, poles and appurtenances.

### **F. References**

1. Sketch JB 351T

METHODS OF SHORING UTILITY POLES



NOTES:

1. THESE METHODS OF SHORING ARE GENERAL GUIDES. FIELD CONDITIONS WILL DICTATE WHICH METHOD WILL BE USED. VARIATIONS OF THESE METHODS WHICH ACCOMPLISH THE SAME PURPOSE MAY ALSO BE UTILIZED WHEN APPROVED BY OVERHEAD CONSTRUCTION DEPARTMENT.
2. ANY INFORMATION NOT SHOWN WILL BE DETERMINED IN THE FIELD TO SUIT THE FIELD CONDITIONS WHEN APPROVED BY THE OVERHEAD CONSTRUCTION DEPARTMENT.

SUGGESTED  
 METHODS OF SHORING  
 UTILITY POLES

LAST REVISION  
 03/07/2018

SKETCH NO.  
 JB 351T



## **JB 401 - TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITY FACILITIES**

### **A. Description**

Under this section, the Contractor shall provide all labor, materials, equipment, insurance and incidentals required to excavate by hand to locate and expose subsurface utilities encountered during construction in preparation for horizontal and vertical movement (covered by other Sections), and to support and maintain and protect the integrity of utility facilities including but not limited to:

1. Conduits;
2. Conductor(s) and/or cable(s);
3. Concrete Encased Conduit Bank(s);
4. Steel Pipe(s)

The trench to be excavated shall be determined by the size of the utility and the extent of adjustment required to avoid interferences as detailed on Sketch JB 402 A during all phases of contract work. The work shall be performed in accordance with the specifications, and at the directions of the facility operator in consultation with the Resident Engineer.

### **B. Materials**

All materials used to support and maintain and protect shall be similar to those indicated on Sketches JB 100 A and 100 A-1 and shall be supplied by Contractor and be approved by the facility operator in consultation with the Resident Engineer.

### **C. Methods of Construction**

The Contractor shall cut, break and remove various thickness of surface and base pavement, excavate by hand to expose, support and protect all utility facilities within the trench and then furnish and tamp backfill after work has been completed by the parties indicated under other Sections. The facility operator(s) shall identify the locations of all utilities within the contract area as required by New York State Industrial Code Rule 753. As provided by the Rule the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) directly below the pavement base to expose the utility. Upon exposing the affected utilities sufficiently to determine relationships and/or clearances at the sole discretion of the facility operator in consultation with the Resident Engineer, the Contractor shall be permitted to proceed with a combination of hand and machine excavation sufficiently to wingback all interferences of cable and conduit. The trench shall be adjusted so as to provide a nominal cover of 24" over the highest conduit. The width of the trench shall be as directed by the facility operator in consultation with the Resident Engineer. The bottom of the trench shall be graded smooth and tamped to minimize initial settlement and to avoid "point" support of conduits. All stones projecting into the trench bottom shall be removed, and the voids backfilled before conduits are placed. Where streets are not to final grade, the cover shall be measured from the final grade, or the existing grade, whichever provides the deeper trench.

**D. Method of Measurement**

The Contractor shall be paid per cubic yard (C.Y.) of trench actually excavated to the limits directed as detailed in Sketch JB 402 A and to the satisfaction of the facility operator in consultation with the Resident Engineer. When two or more utility facilities requiring horizontal or vertical adjustment with different owners are in the same trench, the facility operators shall jointly determine the percentage of ownership of the trench.

**E. Price to Cover**

The price for excavation shall include the cost of all labor, materials, equipment, insurance, and incidentals necessary to completely expose, support and protect and maintain the integrity of the facilities without disruption of service to the customers and in accordance with the Contract Documents, associated maintenance of traffic, and traffic plates and sheeting that may be required, cut, break and remove various thickness of surface and base pavement, excavate by hand to expose existing structures, furnish, place and tamp backfill after required vertical and/or horizontal adjustments have been completed under other Sections. Any required removing, trucking, storing, and disposing of material shall be deemed included in the unit price. The price shall also include the cost of providing temporary pavement restoration. Permanent pavement restoration shall be paid under other items. The price shall also include the cost of locating and supporting and protecting all utilities encountered including slings and beams installed for utility support when required. The price shall include maintaining the sheeting for the duration of the relocation and work required by the facility operator including but not limited to pipe-ripping covered under JB 402T.

**F. References**

1. NYS Industrial Code Rule 753
2. Sketch JB 100 A and A-1
3. Sketch JB 402 A

## **JB 402T - HORIZONTAL AND VERTICAL ADJUSTMENT OF TELECOMMUNICATIONS FACILITIES**

### **A. Description**

Under this section, the Contractor shall provide all labor, materials, equipment, insurance and incidentals required to adjust and support and protect and maintain and accommodate the integrity of telecommunication facilities including but not limited to:

1. Conduit(s);
2. Cables and Air Pipe
3. Concrete Encased/Capped Conduit Banks

The work shall be performed in accordance with specifications and at the direction of the facility operator in consultation with the Resident Engineer.

### **B. Materials**

All materials used to adjust and support and protect and maintain and accommodate the integrity of utility facilities shall be similar to those indicated on the standard Sketches JB 100 A & 100 A-1 and shall be supplied by the Contractor and be approved by the facility operator in consultation with the Resident Engineer.

Materials used for replacing conduit(s) removed under this item shall be supplied by and installed by the Contractor and shall include but not be limited to the following:

1. Bends
2. Split and Solid Conduit(s) PVC and Steel
3. Couplings and Adapters PVC, Tile and Steel
4. Straps or plastic ties

PVC conduit and fittings shall be as supplied by American Pipe and Plastics, Type "C" or approved equal.

Steel Pipe and fittings shall conform to ASTM A53 Schedule 40

Tile to PVC adaptors shall be as supplied American U-Tel or approved equal.

### **C. Methods of Construction**

Lengths of "wing-back" shall be determined by the facility operator in consultation with the Resident Engineer. All work performed prior to that approval shall be at the contractors risk.

Methods of construction shall include but not be limited to the following:

- 1 Removal and Support
  - a. Break with hand held power tools, remove and dispose of plain or reinforced concrete encasement.

- b. Break with hand held power tools, remove and dispose of conduit(s) enclosures and conduit that contain conductor(s) and/or cable(s) except steel/iron conduits, inner ducts and 1 ¼" to 1 ½" PVC "quad ducts. Breaking – "ringing and ripping" - of steel/iron conduits belonging to ECS shall be performed by ECS forces only. Contractor shall make safe the work area to accommodate the ECS forces.
- c. Support and protect exposed conduits, cables, innerduct and airpipe as shown in Sketch JB 100A-1 and approved by the facility operator in consultation with the Resident Engineer.
- d. ECS tenants cables may require inspection, testing and encapsulation before they can be shifted. Contractor shall make safe the work area to accommodate these forces. Contractor shall be notified by the facility operator of the ECS tenant requirements before the conduits are broken-out.

2. Adjust or Move Conductor(s) and/or cable(s) and support

- a. Cable shall be relocated horizontally and/or vertically as directed by the facility operator in consultation with the Resident Engineer
- b. Support and protect conductors and/or cables as shown on Sketch # JB 100 A-1 and/or as directed by the facility operator.

3. Replacement, Encasement, Protection and Support

- a. Replace vacant and loaded conduit(s) with solid and/or split conduit(s) and adapters.
  - 1) Vacant Conduit - Repairs to conduits shall not be permitted. All damaged or impaired lengths of conduit(s) shall be removed and replaced with new conduit(s). The number of vacant conduits replaced shall be confirmed by the facility operator.
  - 2) Loaded Conduit - Replacement of conduits that are removed from around existing cable(s) or innerduct shall be accomplished with split plastic (PVC) or split steel conduits as directed by the facility operator. Where split and solid plastic or steel conduit is used, the conduit(s) shall be spaced 1½ inches from each other. All split PVC shall be secured with plastic straps spaced at a maximum distance of eighteen (18") inches. Plastic conduit shall be joined with plastic couplings.
  - 3) Adapting - Joining plastic conduit to existing conduits of other diameters or material shall be done using single or multiple adapters, (supplied by contractor).
- b. If due to subsurface conditions, the cover is less than 20" from finished grade, the duct shall be protected with steel plates furnished by the contractor and measured for payment under Item JB 403T.
- c. Support and protect cable(s) and/or conductor(s) and conduit(s).
- d. Verify vacant conduits and provide pull ropes.

- e. Encase all exposed conduit with concrete ( $f'_c = 1200$  to  $1500$  psi maximum) with slump commensurate to completely fill voids around conduits. Concrete encasement shall extend to two (2") inches beyond the limits of the duct bank vertically and horizontally.

#### **D. Method of Measurement**

The quantity to be measured for breaking out conduits, removing concrete, moving, protecting and supporting conductors and replacing conduits with split and solid conduit, shall be paid for by the linear foot (L.F.) of each conduit replaced. A linear foot of conduit shall be defined as one (1) single conduit measured along its longitudinal axis that has been broken out or moved from its original location either horizontally and/or vertically and measured in its final location. Quad PVC ducts produced as one unit shall be consider one duct for each quad unit. All conduits removed under this section and not restored shall be paid at 60% of the appropriate item in this section.

Multiple tile duct bank with concrete protection cover is not considered concrete encasement.

Each type of utility adjustment shall be paid for separately, the types of utility adjustments are defined as follows:

JB-402T.1 Existing Concrete Encased Non-Steel/Iron Conduits Placed in Final Position without Concrete Encasement. (L.F.)

JB-402T.1A Existing Concrete Encased Non-Steel/Iron Conduits Placed in Final Position with Concrete Encasement. (L.F.)

JB-402T.2 Existing Non-Concrete Encased Non-Steel/Iron Conduits Placed in Final Position without Concrete Encasement. (L.F.)

JB-402T.2A Existing Non-Concrete Encased Non-Steel/Iron Conduits Placed in Final Position with Concrete Encasement. (L.F.)

JB-402T.R1A Existing Concrete Encased Steel/Iron Conduits Placed in Final Position with Concrete Encasement. (L.F.)

JB-402T.R2A Existing Non-Concrete Encased Steel/Iron Conduits Placed in Final Position with Concrete Encasement. (L.F.)

JB-402T.V1 Existing Vacant Concrete Encased Conduits Placed in Final Position without Concrete Encasement. (L.F.)

JB-402T.V1A Existing Vacant Concrete Encased Conduits Placed in Final Position with Concrete Encasement. (L.F.)

JB-402T.V2 Existing Vacant Non-Concrete Encased Conduits Placed in Final Position without Concrete Encasement. (L.F.)

JB-402T.V2A Existing Vacant Non-Concrete Encased Conduits Placed in Final Position with Concrete Encasement. (L.F.)

JB-402T.J1 Existing Concrete Encased Conduits Placed in Final Position without Concrete Encasement. (L.F.) in Which Only Conduit Joints are Broken Out and Conduits Remain Intact.

JB-402T.J1A Existing Concrete Encased Conduits Placed in Final Position with Concrete Encasement. (L.F.) in Which Only Conduit Joints are Broken Out and Conduits Remain Intact.

JB-402T.J2 Existing Non-Concrete Encased Conduits Placed in Final Position without Concrete Encasement. (L.F.) in Which Only Conduit Joints are Broken Out and Conduits Remain Intact.

JB-402T.J2A Existing Non-Concrete Encased Conduits Placed in Final Position with Concrete Encasement. (L.F.) in Which Only Conduit Joints are Broken Out and Conduits Remain Intact.

### **E. Price to Cover**

The unit price bid per linear foot (L.F.) of conduit shall cover the cost of all labor, material, equipment, insurance, and incidentals necessary to shift, adjust, support, protect, maintain and accommodate the integrity of utilities without disruption of service to the facility operator's customers and in accordance with contract documents. The price bid shall also include the cost of: breaking out, removal and disposal of plain or reinforced concrete encasements, conduits (except iron/steel), support of cables/conduits, replacement with field split, split and solid conduits, adapters, clamps, straps and couplings; verify vacant conduits and provide pull ropes; furnish and install concrete encasement, supports, slings and beams for utility support; changes of sheeting method and/or configuration when required and where necessary to accommodate the utilities during all phases of contract work; and removal of sheeting around the utilities, and all else necessary and required to complete the work.

The unit price shall include providing access to the facility operator pipe-ripping crews and tenants to verify and test cables before, during and after the pipe ripping operation completed by the facility operator or specialized contractor hired by the facility operator and after conduit removal by the Contractor. The unit price shall include, but not limited to, opening and closing of fences; removal and replacement of temporary timber curb and opening and closing of traffic plates. Access to adjacent manholes impacted by the run is included in this item. JB 450 shall not be used in conjunction with JB-402T as JB-402T covers access to the work site at all times for work required under this item.

### **F. References**

1. Sketches JB 100A and 100A-1
2. JB 403T
3. American Pipe and Plastics, P.O. Box 577, Binghamton, N.Y. 13902
4. American U-Tel, 9760 Smith Rd., Willoughby, Ohio 44094

## **JB 402T.3 - ACM REMOVAL AND DISPOSAL OF VERIZON/ECS CONDUITS WITH ASBESTOS CONTAINING MATERIAL TRANSITE PIPES (ACM-TP) UP TO AND INCLUDING 4" DIAMETER**

### **A. Description**

Under this item the contractor shall provide all labor, equipment, transportation, insurance and incidentals to remove and dispose of asbestos containing material - transite pipe (ACM-TP).

The contractor shall strictly adhere to all environmental requirements and work practices and notifications specified under construction details.

The transite conduits (ACM-TP) to be removed contain active cables and these cables will remain active during the transite conduits removal.

All removal and disposal of ACM-TP shall be done by pre-qualified contractors (or sub-contractors) as specified under method of construction.

### **B. Materials**

The contractor shall supply separate dumpsters to be used exclusively for the removal and disposal of the ACM-TP and all other materials such as bagging, dumpster linings, respirators and filters, enclosures and all other incidental material required for the removal and disposal of the Verizon/ECS ACM-TP in accordance with laws, rules and regulations of Federal, State or local agencies.

### **C. Method of Construction**

#### **a. Work Scope**

Removal of concrete encased conduits shall be accomplished by hand held chipping hammers. The removal should be in a pre-identified operation in order to minimize the potential release of airborne asbestos fibers from the ACM-TP.

#### **b. Notification And Variance**

Verizon/ECS is required by the New York City Department of Environmental Protection (NYCDEP) to obtain a site specific variance.

The contractor shall prepare the variance application letter and the NYCDEP Form ACP-9 and will submit them to the NYCDEP not sooner than four (4) weeks prior to the start of the ACM-TP removal.

The contractor is responsible for preparing the NYCDEP Form ACP-7 together with NYCDEP Form ACP-9 as the applicant and providing these forms to Verizon/ECS for review and signature prior to submittal to the NYCDEP seven

(7) weeks prior to the commencement of the project (Notice to begin construction).

The contractor shall prepare the New York State Department of Labor (NYSDOL) notification Form DOSH-483 prior to submittal to the NYSDOL at least four (4) weeks prior to the commencement of the project.

The contractor shall prepare the United States Environmental Protection agency (USEPA) Notification of Demolition and Renovation form for submittal four (4) weeks prior to the commencement of the project.

### **c. Vendor Prequalification**

All subcontracted environmental parties which shall include but not be limited to asbestos abatement contractor, project monitoring firm, air sampling and analytical contractor can be selected from Verizon's currently approved vendor lists. Should the contractor elect to use his own list, that information shall be provided to Verizon/ECS for review/ approval at least four (4) weeks prior to date the ACP-7 form is submitted to Verizon.

### **d. Waste Transport and Disposal**

The ACM-TP that is removed will be disposed of as ACM waste at an asbestos landfill. This landfill site shall be selected from one of Verizon's list of approved disposal sites. The contractor will identify and obtain approval from Verizon for the transporter. The contractor can select one of the Verizon's currently approved transporters, or alternatively select a transporter of its own. Should the contractor elect to use latter, that information shall be provided to Verizon/ECS for review/approval at least 4 weeks prior to the date the ACP-7 is submitted to Verizon.

An original of the asbestos waste manifest is to be provided to the Verizon/ECS representative for all shipments that leave the work site. A signed copy (by the selected landfill) of the waste manifest is to be provided to Verizon/ECS not later than 10 days from the date the shipment leaves the site.

### **e. Exhibits**

The forms are a component part of this item.

- 1-NYCDEP Form ACP-7
- 2-NYCDEP Form ACP-9R1
- 3-NYSDOL Form DOSH-483
- 4-USEPA - Notification Form

### **f. Work Plan**

1. For this item the contractor must submit to Verizon/ECS for review and approval a detailed site specific work plan.
2. The contractor must submit to Verizon/ECS for review and approval any alternate proposals for variance requested from Regulatory Statutes (NYSDOL & NYCDEP).



3. The contractor shall provide all sampling and laboratory analysis required under the Industrial Code Rule 56 Subpart 17 and all applicable Blanket Variances. All sampling and analysis shall be performed by a firm independent from the Contractor. The price for the cost for compliance air monitoring sampling and analysis shall be included in the price bid for listed items.

4. Within five (5) calendar days of the receipt of the results of any part of the compliance air sampling and analysis, the Contractor shall forward a copy of those results (showing the name and address of the laboratory, the type of test performed, the method of measurement and all information normally relevant to sampling and analysis of asbestos remediation procedure) to Verizon/ECS on-site representative.

#### **g. Project Folder**

Upon completion of the abatement, the Contractor shall provide a complete job folder with the following documents, which shall include chain of custody records:

- a. Copy of Supervisor/Handler certifications
- b. Copy of the Subcontractor's Enclosure Entry Permit
- c. Result of Analysis for All Bulk Samples, Air and Clearance Monitoring
- d. Copy of all Notifications (City, State, Federal)
- e. Copy of the Vendor's Certificate of Insurance
- f. Copy of the Waste Transporter Permit
- g. Signed Asbestos Waste

#### **D. Method of Measurement**

Payment under this item shall be paid for by the linear foot (L.F.) of each conduit removed. A linear foot of conduit shall be defined as one (1) single conduit up to and including 4" nominal diameter measured along its longitudinal axis that has been broken out and removed.

#### **E. Price to Cover**

The unit price per linear foot (L.F.) shall cover the actual costs of all supervision, labor, insurance, (including asbestos liability insurance), materials and equipment necessary to complete the work. The Contractor shall cover the cost of preparing variance application, all filing fees and will submit the application with associated fees to the NYCDEP for processing.

Any contractor's alternative proposals for variance requested from regulatory statutes (NYS DOL & NYCDEP) shall be also included in the actual cost.

Excavation and backfill shall be paid for under JB 401.

#### **F. References**

N/A

## **JB 403T – FURNISH AND INSTALL STEEL PROTECTION PLATES FOR TELECOMMUNICATIONS FACILITIES**

### **A. Description**

Under this section, the Contractor shall furnish and install as required permanent steel protection plates over telecommunications facilities where directed by the facility operator(s).

### **B. Materials**

Material shall be:

1/4" thick ASTM A-36 plates. Maximum size 24" by 48".

3/8" thick ASTM A-36 plates. Maximum size 12" by 18".

Thickness to be determined by the facility operator(s)

### **C. Method of Construction**

Steel protection plates shall be placed in accordance with the attached facility operator(s) standard sketch JB 403T. All protective plates shall overlap a minimum of 3".

### **D. Method of Measurement**

The quantity for payment shall be the area of permanent steel plating protection furnished and installed (excluding overlap) and measured in place in Square Feet (S.F.).

JB 403T.1 – Furnish and Install 1/4" thick steel plate (S.F.)

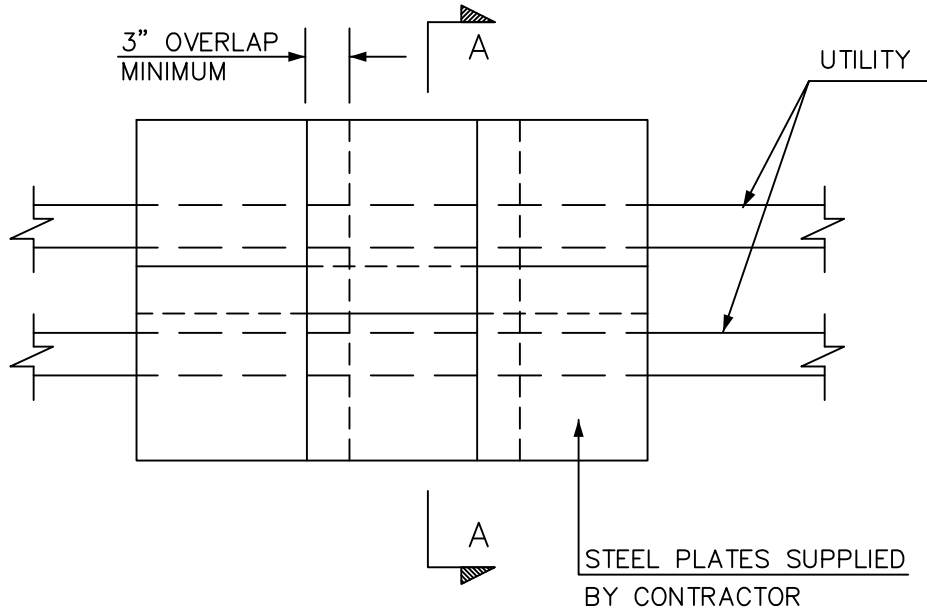
JB 403T.2 – Furnish and Install 3/8" thick steel plate (S.F.)

### **E. Price to Cover**

The price shall cover the cost of all labor, material, equipment, insurance, and incidentals necessary to complete the work.

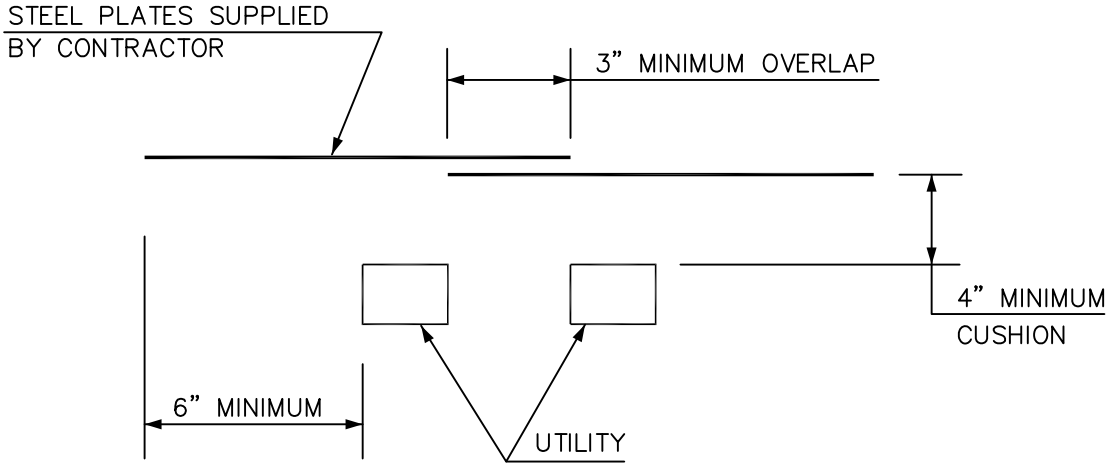
### **F. References**

Sketch JB 403T



PLAN  
NOT TO SCALE

AREA FOR PAYMENT  
SHALL BE AS MEASURED  
IN PLACE (EXCLUDE OVERLAP)



SECTION A-A  
NOT TO SCALE

TYPICAL PLATE SIZES:

- 6" x 48" x 1/4"
- 12" x 48" x 1/4"
- 24" x 48" x 1/4"
- 12" x 24" x 3/8"

J.B. SKETCH	
PLACING STEEL PROTECTION PLATES FOR COMMUNICATION FACILITIES	
REVISIONS	CONTRACT NO.
09/13/2017	SKETCH NO. JB 403 T

## **JB 405 - EXCAVATION FOR INSTALLATION OF UTILITY FACILITIES**

### **A. Description**

Under this section, the Contractor shall provide all labor, materials, equipment, insurance and incidentals necessary to excavate, maintain trenches and backfill for the installation of new utility facilities including but not limited to:

1. Conduits
2. Non-cost sharing gas facilities
3. Steam mains
4. Steel pipe(s)

The trench to be excavated shall be determined by the size of the utility facility to be installed. The work shall be performed in accordance with applicable specifications, at the direction of the facility operator.

### **B. Materials**

All materials used to excavate and prepare trenches shall be supplied by the Contractor and be approved by the facility operator.

### **C. Methods of Construction**

1. Excavation – The Contractor shall saw cut and/or break and remove existing roadway which may include but is not limited to, asphalt, concrete and cobblestone, utilizing approved equipment that leaves a neat straight joint line along the juncture with subsequently replaced pavement. The Contractor shall be permitted to excavate utilizing a combination of machine and hand excavation, as field conditions warrant and as directed by the facility operator. The trench shall be adjusted so as to provide a nominal cover as defined in the specifications for the facility being installed over the new utility facilities or as required based on field conditions, applicable specifications, or as directed by the facility operator. The width of the trench shall be as directed by the facility operator or as shown on Sketch JB 603T (ECS only). The bottom of the trench shall be graded smooth with a minimum cushion of 3 inches of backfill material or in conformance with applicable specification and be compacted, to minimize initial settlement and to avoid "point" support of new utility facilities. All stones projecting into the trench bottom shall be removed, and the voids backfilled before the new utility facilities are installed. Where streets are not to final grade, the cover shall be measured from the final grade, or the existing grade, whichever provides the deeper trench. Excavation in the vicinity of utilities and other structures shall be performed using hand tools. The contractor shall properly dispose of all materials excavated away from site. Size and location of excavation shall be as directed by the facility operator. Trenches shall be excavated to a depth and size necessary to facilitate the installation of the new utility facility and in conformance with the applicable specification. All existing facilities that are encountered during trench excavating shall be protected in a manner suitable to the facility operator. Tight sheeting shall be used, as required, based on field conditions and/or when the depth of excavation is equal to or greater than five feet. Skeleton type sheeting will not be permitted. The sheeting required shall be furnished and installed in full compliance with the State of New York and Federal Safety Code requirements and in compliance with applicable specifications and/or as directed by the facility operator.

Care shall be taken that no existing utility facilities or other structures are broken or damaged. Contractor shall excavate all material encountered necessary to facilitate the installation of the new utility facilities, and as directed by the facility operator. Care should be taken to avoid damage to existing utility facilities and structures, and to pavements and their foundations, and to avoid caving or sliding banks within the excavation.

2. Maintenance of Trench Excavation - Excavated trenches shall be maintained free of debris and kept dry by the contractor. In order to accomplish this, contractor shall, upon completion of excavation and placement of sheeting (as required and/or if depth is equal to or greater than five feet), furnish and install adequate steel plates, as directed by the facility operator, and posting over the excavated trenches and shall temporarily remove all equipment debris and workers, and relocate barricades in order to open the full width of street to traffic during non-working hours, as required based on DOT requirements. The Contractor shall then, at no additional cost, relocate such barricades barrels, cones and other warning devices and remove steel plates, as and when directed by the facility operator to facilitate the installation of the new utility facility. When work is being performed and the excavations are not covered with steel plates, the Contractor shall provide complete and safe access to the trench as may be required, and shall provide construction barricades and maintain traffic at all times as shown or as directed by the facility operator. Upon completion of installation of the new utility facility, the trench excavation shall be backfilled by the contractor in accordance with Contract requirements and all backfill material shall conform to contract specifications for such purpose.

3. Pavement and Sidewalk Restoration - After backfilling is completed, the contractor shall install temporary pavement consisting of six inches (6") thick asphaltic concrete mixture in roadway areas or a two inches (2") thick asphaltic concrete mixture in sidewalk areas in order to maintain existing pedestrian and vehicular traffic. This temporary pavement shall be maintained until permanent replacement as specified in contract.

#### **D. Method of Measurement**

The quantity to be measured for payment shall be the number of cubic yards (C.Y.) of trench actually excavated and backfilled as directed by the facility operator or as shown on Sketch JB 603T for JB 603T Items. The volume occupied by existing pipes or other structures will not be deducted from the total volume measured.

JB 405.1 - Trench Excavations for installation of Utility Facilities with total depths less than five feet (C.Y.)

JB 405.2 - Trench Excavations for Utility Facilities with total depths equal to or greater than five feet (C.Y.)

#### **E. Price to Cover**

The unit price bid for the various trench excavation items shall include the cost of all labor, materials, equipment, insurance, and incidentals necessary to completely expose, protect and maintain the integrity of the facilities without disruption of service to the customers and in accordance with the contract documents. The price shall also include, installation of traffic plates as well as opening and closing of plates as may be required in order to provide access to trench; installation, removal and maintenance of tight sheeting as required; cutting, breaking and removing various thickness of surface and base pavement; excavation by hand to expose

existing structures; furnishing, placing and compacting clean backfill following installation of utility facility in compliance with DOT requirements. Any required removing, trucking, storing, and disposing of material shall be deemed included in the unit price. The price shall also include the cost of providing temporary pavement restoration. Permanent pavement restoration shall be paid under city items. The price shall also include the cost of locating and protecting all utilities encountered as required.

Clean backfill material in accordance with specifications shall be used around gas facilities and critical facilities shall be paid for under item JB 303.

**F. References**

1. Item JB 303
2. Sketch JB603T
3. Con Edison Specifications, latest revisions  
CEHSP S13.00 – Excavation and Trenching

## **JB 450 – CONSTRUCTION FIELD SUPPORT**

### **A. Description**

Under this section, the Contractor shall provide all labor, materials, equipment, insurance and incidentals necessary to provide construction field support, while maintaining and protecting surface and subsurface facilities, at various locations approved solely by the facility operator. The Contractor shall encounter various surface and subsurface utility facilities while performing various construction field support operations, which may include but are not limited to working over, under, adjacent to, around, in between and in close proximity of:

1. Conduits
2. Conductors
3. Concrete encased conduit banks
4. Steel pipes
5. Gas mains
6. Steam mains
7. Oil-o-static facilities
8. Utility structures and covers

The actual construction field support operation to be performed by the Contractor shall be performed in accordance with the contract plans, specifications or as determined based on actual field conditions and at the sole discretion and direction of the facility operator. This item shall apply to various field support operation tasks for which there are no other applicable JB Items to cover the required work. This item will not apply and will not be paid when there are other applicable JB items available either partly or completely covering tasks described below as determined solely by the facility operator.

### **B. Materials**

All materials used to provide construction field support shall be supplied by the Contractor and be approved by the facility operator in consultation with the Resident Engineer.

### **C. Methods of Construction**

It is the intent of this item that the Contractor provides field support construction crews suffice to perform various item type tasks required as described. For the purpose of this item a crew consists of more than one non-management individual. The Contractor shall provide all labor and equipment necessary to perform the required task as described below under existing field conditions at various locations and at the sole discretion and direction of the facility operator in consultation with the Resident Engineer. The Contractor shall perform the necessary construction field support, while maintaining and protecting surface and subsurface facilities. The Contractor shall employ approved methods of operation, including the use of appropriate equipment and tools that will enable him to complete the field support operation work as described in the Item Type description below. Existing facilities that are encountered during the construction field support operation shall be supported and protected similar to those indicated on sketches JB 100A and 100B and in a manner suitable to the facility operator in consultation with the Resident Engineer and are deemed included in this item except as included under JB-402T. The Contractor shall properly dispose of all materials excavated away from site, which may require the use of hand held tools and equipment in order to ensure that the integrity of the underground utility facilities are not jeopardized. Care should be taken to avoid damage to existing utility facilities and

structures, and to adjacent curbs, sidewalks, pavements and their foundations, and to avoid caving or sliding banks within excavations.

#### **D. Method of Measurement**

1 – Quantity - The quantity to be measured for payment shall be the number of actual crew hours (CRHRS.) provided by the Contractor for performing the various types of construction field support operation as directed by the facility operator in consultation with the Resident Engineer.

2 – Type – The unit type to be measured for payment shall be based on the actual task performed by the contractor and covered by the applicable Item Type. The tasks described within the Bid Item Type below are provided as a guide only as to the general nature of the various functions included, but these examples in no way limit the use of the item to these functions only. The contractor should use this information in order to approximate the various required crew sizes necessary to perform the work covered by this item in a productive, safe and efficient manner. The actual construction crew size required to perform the field support operation shall be determined solely by the contractor in order to perform the required construction field support operation. It is the responsibility of the contractor to provide appropriate field support crews capable of performing required tasks in a productive, safe and efficient manner. The actual crew performing the operation will not be considered, by the facility operator in consultation with the Resident Engineer, when determining the applicable item type, which shall be only as per the task performed.

Note: Only one measurement type will be used for each defined construction field support area.

Type .1 = Construction Field Support requiring an average size survey crew that will perform typical field survey functions and provide quality data analysis reports.

Type .2 = Construction Field Support requiring an average small size crew capable of performing various tasks not requiring the use of a machine or operator, which may include but are not limited to: opening/closing subsurface structure cover(s), setting/resetting MPT setup(s), assisting Utility Facility/Specialty crew(s) not included in JB 402T or JB 450.5, performing conduit occupancy identification, clean-up storage work-site area, etc.

Type .3 = Construction Field Support requiring an average medium size crew capable of performing various tasks which include the use of a machine and operator, which may include but are not limited to: excavations due to cable failures, including emergency type excavations, construct manhole enclosures, installing support system for utility facilities, dewatering utility structures and excavations, opening/closing traffic and/or pedestrian plates, etc not included in JB 402T or JB 450.5.



Type .4 = Construction Field Support requiring an average large size crew capable of performing various tasks that requires the use of multiple machine(s) and operator(s), which may include but are not limited to: assistance during heat contingency, welding, repositioning and placing large diameter pipe, etc.

Type .5 = Construction Field Support requiring an average small size crew when requested by the facility operator to assist the facility operator or speciality contractor hired by the facility operator in shifting and supporting the conduits during pipe-ripping operations and all else necessary as required to complete the work including but not limited to constructing temporary work platform and temporary weather protection.

#### **E. Price to Cover**

The unit price bid for the various construction field support items shall include the cost of all labor, materials, equipment, insurance, and incidentals necessary to provide construction field support, which may include but is not limited to working over, under, adjacent to, around, in between and in close proximity of surface and subsurface utility facilities and exposing, supporting, protecting and maintaining the integrity of the facilities without disruption of service to the general public, utility customers and in accordance with the Contract Documents at various locations approved by the Facility Operator in consultation with the Resident Engineer. The unit price shall also include openings and closings of plates, and cones, barrels, arrow-boards, etc. and installing, shifting, moving and relocating cones, barrels, arrow-boards, etc. as may be required in order to provide access to excavations and during specialty work being performed by others excluding work operations covered under JB402T. The unit price shall also include excavating by hand to expose existing structures. Any required removing, trucking, storing, and disposing of material shall be deemed included in the unit price bid. The unit price shall also include the cost of supporting and protecting all utilities encountered during the construction field support operation, as required except work operations covered under JB 402T. The unit price bid shall also include alternate methods for construction field support, which may include changes in equipment and special operations, and sequencing and the use of only all hand-held tools due to existing field conditions, including potential delays and extended performance. Any and all Contractor method changes and operation modifications employed for construction field support are deemed to be included in the price bid for this item. Work under this item may be paid in combination with other City, utility or facility accommodation Items bid under other contract items except where expressly excluded from that item.

#### **F. References**

1. Sketches JB 100A, JB 100B

## **JB 603T - FURNISH AND INSTALL TELECOMMUNICATIONS CONDUITS**

### **A. Description**

Under this Section, the Contractor shall provide all labor, materials, equipment, insurance, and incidentals required to procure and install conduit for the purpose of installing the facility operator's utilities. Conduit runs shall be as shown on the contract drawings or as specified by the facility operator in consultation with the Resident Engineer.

### **B. Materials**

Conduit shall consist of:

PVC – 2" and 4" diameter or 1 ¼" Quad, Type "C" as supplied by American Pipe and Plastics or approved equal

Steel - 4" diameter, ASTM A53, Schedule 40 or approved equal

All conduit including sleeves, couplings, bends, pulling lines, etc. shall be supplied by the Contractor and approved by the facility operator in consultation with the resident Engineer.

The Contractor shall supply all material (Mortar, Brick, etc.) to make repairs to opening(s) as approved by the facility operator in consultation with the Resident Engineer.

### **C. Method of Construction**

The Contractor shall install the specified conduit(s) then rod, mandrel and wire (install pulling line) the new conduits. When conduit pipes are to be connected to existing underground ducts, manholes, or boxes, the Contractor, using hand-held tools only, shall cut existing conduit, to pick-up existing underground conduits with new conduits, make openings into manholes or boxes, install/connect the conduit, and make repairs to seal the openings in the structure.

Steel pipe shall be used for shallow cover and crossing or paralleling steam mains as directed by the facility operator in consultation with the Resident Engineer.

When the facility operator required a combination of conduit types and materials the facility operator will define the configuration of the conduit system and the location of each type within the conduit bank. All conduit shall be spaced 1 ½" both vertically and horizontally from the adjacent conduit(s). All conduits shall be encased in lean concrete ( $f'c = 1200$  to  $1500$  psi maximum) which shall extend 2" beyond each face of the conduit formation, above and each side of the conduit formation.

If due to subsurface conditions, the cover is less than 20" from finished grade, the duct shall be protected with steel plates furnished by the facility operator(s) and measured for payment under Item JB 403.

The work shall be performed in accordance with the contract plans, specifications, and at the directions of the facility operator in consultation with the Resident Engineer.

**D. Methods of Measurement**

The quantity to be measured for payment shall be the number of linear feet (LF) of conduit trench for which conduit was furnished and installed:

1. 603T.1 - Install 1 ea. 2", 4" or 1 1/4" Quad" Conduit (PVC or Steel) in any combination
2. 603T.2 - Install 2 ea. 2", 4" or 1 1/4" Quad Conduits (PVC or Steel) in any combination
3. 603T.3 - Install 4 ea. 4" or 1 1/4" Quad Conduits (PVC or Steel) in any combination
4. 603T.4 - Install 6 ea. 4" or 1 1/4" Quad Conduits (PVC or Steel) in any combination
5. 603T.5 - Install 8 ea. 4" or 1 1/4" Quad Conduits (PVC or Steel) in any combination
6. 603T.6 - Install 12 ea. 4" or 1 1/4" Quad Conduits (PVC or Steel) in any combination
7. 603T.7 - Install 15 ea. 4" or 1 1/4" Quad Conduits (PVC or Steel) in any combination
8. 603T.8 - Install 24 ea. 4" or 1 1/4" Quad Conduits (PVC or Steel) in any combination
9. 603T.9 - Install 30 ea. 4" or 1 1/4" Quad Conduits (PVC or Steel) in any combination

A Quad, consisting of four 1 1/4" conduits shall be supplied as one unit. For purposes of measurement and payment each quad unit of four 1 1/4" ducts shall be counted as one duct.

For any equivalent combination not fitting the above categories payment shall be based on the next higher category.

**E. Price to Cover**

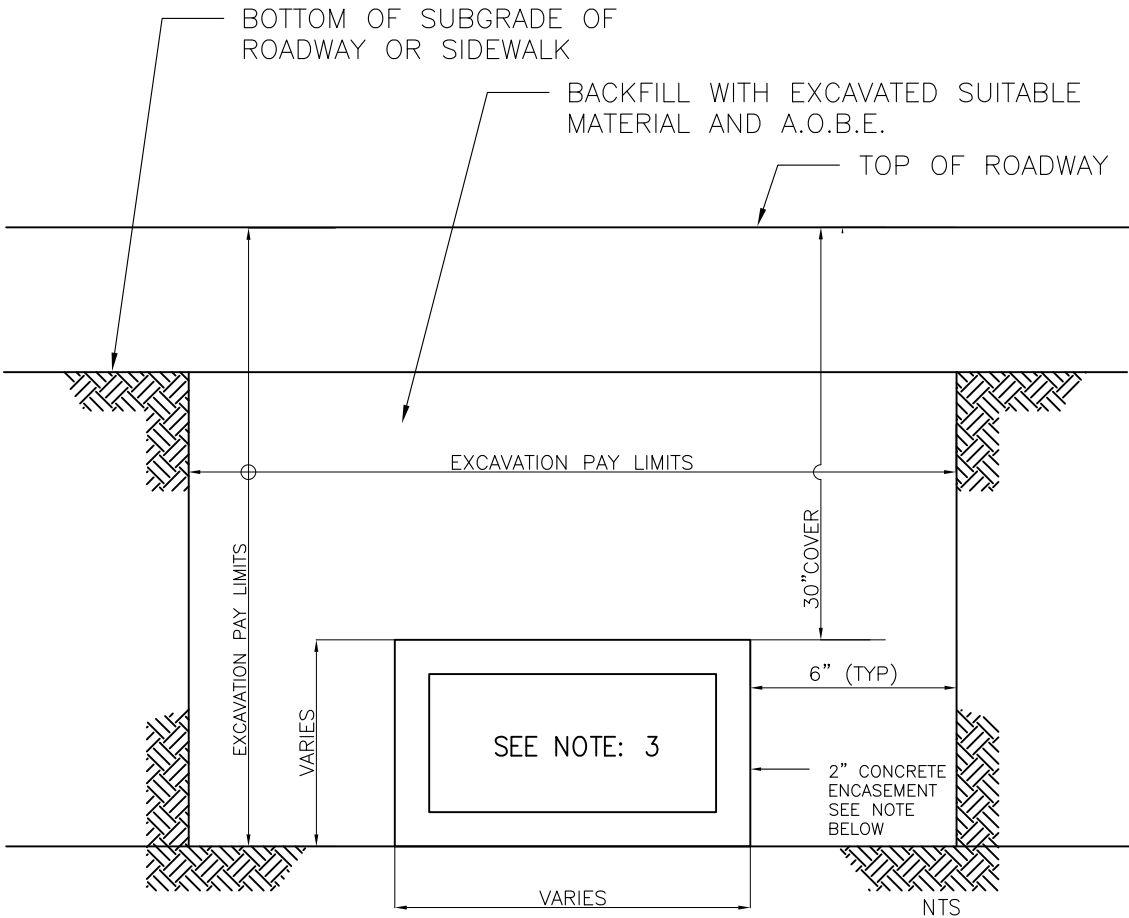
The unit price per linear foot of Conduit trench shall cover the cost of all labor, materials, equipment, insurance and incidentals necessary to furnish, install, rod, rope, and perform any other associated work required to install the conduit completely in place. Where conduits are to be connected to ducts, manholes or boxes, the cost of cutting and/or breaking into the ducts, manholes or boxes, installing and sealing the conduit, including duct plugs; and making repairs to the openings in the structure shall be considered as included in the unit price bid for the installation of the conduit. All acceptance testing, including passing a mandrill with a diameter of 1/8" less than the inside diameter of the duct through the entire length of the duct, as required by the facility operator shall be considered as included in the unit price.

Payment for trench excavation shall be paid under Item JB 405.

Steel protection plates shall be paid for under Item JB 403T

**F. References**

1. Sketch JB 603T
2. Item JB 405
3. American Pipe and Plastics, P.O. Box 577, Binghamton, N.Y. 13902



**TYPICAL CONDUIT EXCAVATION AND BACKFILL**

NOTES:

**QUEENS, BROOKLYN & STATEN ISLAND**

1- CONCRETE ENCASE REQUIRED IF TOP OF DUCTS IS 20" OR LESS FROM SURFACE AND ALL BENDS, SWEEPS AND CHANGE OF GRADE. FOR COVER LESS THAN 20", 3/8" STEEL (A36M) COVER PLATES IN ADDITION TO THE CONCRETE ENCASEMENT SHALL BE PROVIDED.

**MANHATTAN & BRONX,**

2- FOR COVER LESS THAN 20", 1/4" STEEL (A36M) COVER COVER PLATES IN ADDITION TO THE CONCRETE ENCASEMENT SHALL BE PROVIDED.

3- CONDUIT CONFIGURATION TO BE DETERMINED BY ECS/VERIZON REPRESENTATIVE.

J.B. SKETCH			
TRENCH EXCAVATION FOR CONDUIT			
09/13/2017	<table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">CONTRACT NO.</td> <td style="width: 50%;">SKETCH NO. JB 603T</td> </tr> </table>	CONTRACT NO.	SKETCH NO. JB 603T
CONTRACT NO.	SKETCH NO. JB 603T		

## **JB 636E - ADJUSTMENT OF UTILITY HARDWARE**

Under this section the Contractor shall adjust existing utility street hardware including vault grates, valve boxes, etc., to the proposed grade by either building up or lowering the installation and resetting the castings, as and where directed by the facility operator.

### **A. Description**

Building up or lowering the installation and resetting the castings shall consist of removing the existing frame and cover, building up or decreasing the existing installation, replacing the frame and/or cover if damaged, as determined by the facility operator, with a new frame and/or cover furnished by the facility operator, and setting the frame and cover to the new elevation.

### **B. Materials**

Materials used shall comply with the Standards and specifications of the facility operator having jurisdiction over the installations. Where high-early strength concrete is required by the Resident Engineer to be placed adjacent to utility installations then the requirement for mortar shall be quick setting mortar capable of obtaining a minimum compressive strength of 1,500 psi in two (2) hours, and the requirement for concrete shall be high-early strength complying with current N.Y. State Department of Transportation, Standard Specifications for Class F concrete.

When castings and/or covers are deemed inadequate at a location as determined by the facility operator, the facility operators shall furnish new castings and/or covers to the Contractor for installation. The Contractor is required to inform the utility operator in advance of the need for the castings. Materials supplied by the facility operator shall be delivered to the contractor's designated storage area.

### **C. Methods of Construction**

The Contractor shall breakout and dispose of sidewalk, curb, pavement and/or pavement base around existing casting, excavate as required to remove casting and install existing or replacement casting, remove casting, protect opening, reinstall existing casting or install new casting to the proposed grades, backfill, grade and compact fill around casting, install base concrete and or sidewalk pavement and curb, tack coat around frame, install and remove temporary pavement around casting where directed by the facility operator; and install and compact asphalt binder and wearing course or other permanent pavement around casting and perform all work in accordance with the contract plans and the specifications.

Setting or resetting the castings shall be done with bricks plus mortar and/or by raising or lowering adjustable castings according to the standards of the utility owner having jurisdiction over the installation. Work shall be done in a workmanlike manner. Any damage resulting from the Contractor's operations to the existing installation which is to remain shall be satisfactorily corrected at the Contractor's own expense, as directed by the facility operator. Castings, which are deemed unacceptable for resetting, shall become the property of the Contractor and shall be removed and disposed of by him away from the site.

No traffic shall be allowed on adjusted utility hardware until permitted by the facility operator.

**D. Method of Measurement**

The quantity to be measured for payment shall be the number of utility hardware units (EA) in each size group actually adjusted as specified under each item. The size of each utility hardware unit, measured in width, shall be defined as either, the diameter of the exposed edge of the casting, or the exposed edge of the longest side of rectangular frames as indicated in sketch JB 636.

JB 636 EA - Adjustment of Utility Hardware (Under 7" Width)

JB 636 EB - Adjustment of Utility Hardware (7" to under 14" Width)

JB 636 EC - Adjustment of Utility Hardware (14" to under 30" Width)

JB 636 ED - Adjustment of Utility Hardware (30" to under 34" Width)

JB 636 EE – Adjustment of Utility Hardware (34" to under 41" Width)

JB 636 EG - Adjustment of Utility Hardware (41" to under 75" Width)

JB 636 EH - Adjustment of Utility Hardware (75" to under 125" Width)

JB 636 EI - Adjustment of Utility Hardware (125" to under 170" Width)

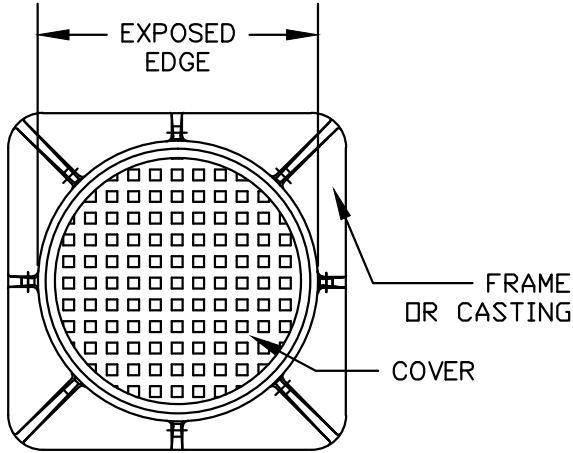
**E. Price to Cover**

The price for re-grading utility hardware shall be the unit price per each (EA.) and shall cover the cost of furnishing all labor, materials, plant, equipment, and incidentals required to remove existing frames and covers; build up the existing installations with brick and mortar, or lower the existing installations by removing bricks and mortar; replace damaged frames and/or covers with frames and/or covers furnished by others; break out pavement and/or pavement base; protect existing opening and installation; set the frames and covers to new elevations; grade and compact fill; install base concrete; tack coat frame; install, remove, and dispose temporary pavement; install and compact asphalt binder and wearing course or other permanent pavement; repair minor structural damage to existing installations prior to resetting frames; unloading of furnished castings at the Contractor's yard and transporting castings from the Contractor's yard to the job site as required; and complete the work in accordance with the plans, the specifications, and the directions of the facility operator.

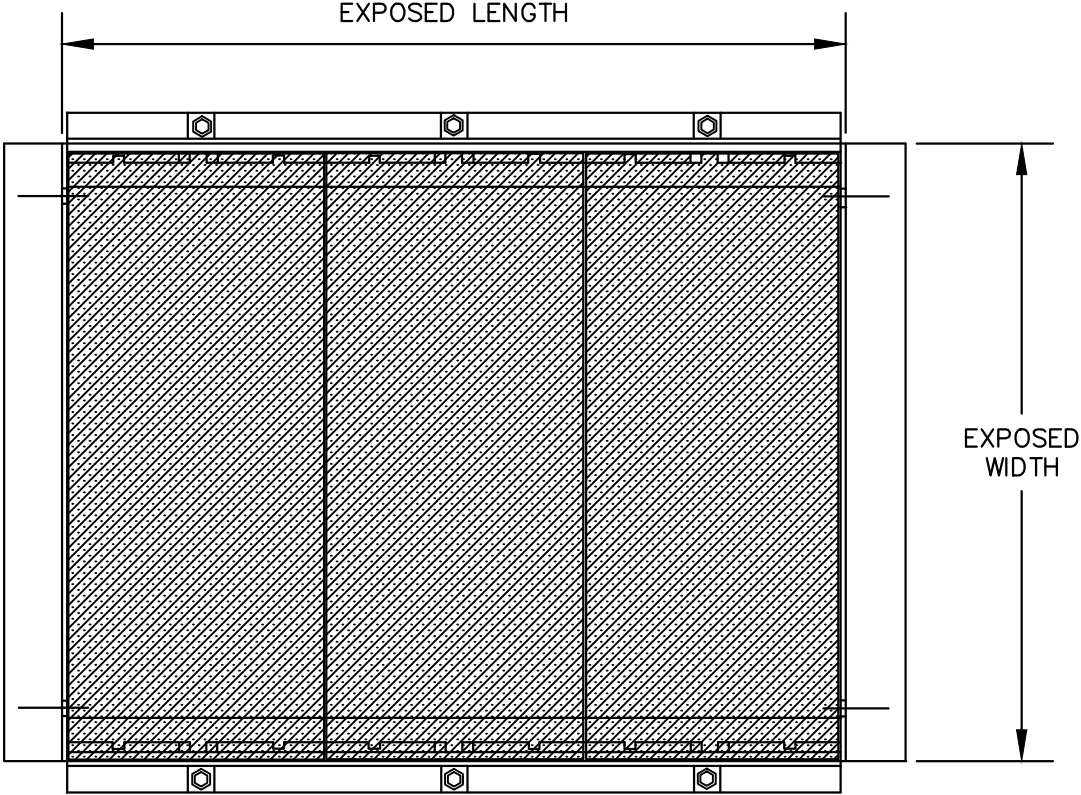
**F. References**

1. NYS DOT Standard Specs for Class F Concrete
2. Con Edison Specifications, latest revisions. EO-10321-B, latest revision – Chimneys, collars & grading blocks for manholes & vaults construction and installation
3. Sketch JB 636E

ROUND COVERS



RECTANGULAR COVERS/FRAMES



PLAN VIEW  
N.T.S.

ADJUSTMENT OF UTILITY HARDWARE	
LAST REVISION 6/30/2015	SKETCH NO. JB 636E

**JB 636 M - MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE DURING PAVEMENT MILLING AND RESURFACING OPERATIONS****A. Description**

Under this section, the Contractor shall provide all labor, materials, equipment, insurance, and incidentals required to maintain, protect, and accommodate the integrity of utility hardware during pavement milling and resurfacing operations. Hardware includes castings, frames, and covers on utility structures, valve box cover castings, concrete collars around steam castings, and all other hardware protecting utility facilities.

**B. Materials – N/A****C. Method of Construction**

Removal of existing pavement around utility hardware shall be performed by the Contractor with extreme caution by utilizing appropriate methods of operation, by employing specialized construction equipment, and by special operations and sequencing.

The Contractor shall not mill existing pavement within 12" of the perimeter of utility hardware. Removal of pavement within 12" of the perimeter of utility hardware shall be by cutting with pavement breakers or other methods as proposed by the Contractor. All methods shall be presented to the facility operator and the Resident Engineer by the Contractor prior to the start of construction and shall be approved by the facility operator in consultation with the Resident Engineer.

During removal of existing pavement and for the duration of project, the Contractor shall protect utility hardware from damage by the Contractor's operations and traffic. Contractor shall also provide all necessary protection to pedestrians to prevent injury to pedestrians when crossing utility hardware during the project. Utility street hardware damaged by the Contractor or others during the project shall be replaced by the Contractor at Contractor's expense.

The Contractor shall not place any paving materials over utility hardware during the project.

**D. Method of Measurement**

The quantity to be measured for payment shall be the number of utility hardware units (ea) in each size group actually adjusted as specified under each item. The size of each hardware unit, measured in width, shall be defined as the diameter of circular covers, the major axis of elliptical covers, or the larger length or width of rectangular covers.

1. Item 636 MA – Modification of Work Methods to Accommodate Utility Hardware (Under 7" Width)
2. Item 636 MB – Modification of Work Methods to Accommodate Utility Hardware (7" to under 14" Width)



3. Item 636 MC – Modification of Work Methods to Accommodate Utility Hardware (14" to under 30" Width)
4. Item 636 MD – Modification of Work Methods to Accommodate Utility Hardware (30" to under 34" Width)
5. Item 636 ME – Modification of Work Methods to Accommodate Utility Hardware (34" to under 41" Width)
6. Item 636 MG – Modification of Work Methods to Accommodate Utility Hardware (41" to under 75" Width)
7. Item 636 MH – Modification of Work Methods to Accommodate Utility Hardware (75" to under 125" Width)
8. Item 636 MI – Modification of Work Methods to Accommodate Utility Hardware (125" to under 170" Width)
9. Item 636 SMB – Modification of Work Methods to Accommodate Utility Steam Hardware (Under and including 8" Width)
10. Item 636 SMC – Modification of Work Methods to Accommodate Utility Steam Hardware (Above 8" to 34" Width)

#### **A. Price to Cover**

The price to modify work methods to accommodate Utility Hardware during pavement milling and resurfacing operations shall include the cost of all incremental labor, materials, time, equipment, insurance and incidentals required for removal and disposal of existing pavement, installation and compaction of base and wearing course materials, installation and compaction and removal of temporary asphalt concrete mixture, tack coating; in accordance with the plans, the specifications and the directions of the facility operator in consultation with the Resident Engineer. The price to cover shall further include the cost of maintaining, protecting, and accommodating the integrity of utility street hardware during the project and during the performance of milling and resurfacing and the incremental additional work and effort made necessary to protect pedestrians from injury when crossing utility hardware during the project. The price to cover shall further include additional areas of modification of work methods beyond 12" of the perimeter of the utility street hardware due to the milling equipment and the location of other utility hardware, city street hardware, utility poles, street lights, traffic signals, curbs, sidewalks, medians, guide rails, pavement stops, cobblestones, and pavers. The price to cover for Items 636 SMB and 636 SMC shall also include modification of work methods due to existing concrete collars surrounding these castings.

Payment for all work herein specified shall be made on a one-time basis only; no payment for work herein specified shall be made for the same area more than one time. Adjustment to utility hardware shall be paid for under the appropriate JB 636E item.

#### **F. References**

1. JB 636E

## **JB 638NT – FIELD CONSTRUCTED TELECOMMUNICATIONS MANHOLE STRUCTURES**

Under this item the Contractor shall perform the complete installation of field constructed utility structures approved by the facility operator in consultation with the Resident Engineer. The utility structure shall be field constructed and installed in compliance with standard utility specifications and/or methods approved by the facility operator in consultation with the Resident Engineer.

### **A. Description**

Installation of field constructed utility structure shall comply with utility standard specifications and/or as directed by the facility operator in consultation with the Resident Engineer and shall include:

- Service Boxes (various sizes)
- Manholes (various sizes)

Where approved by the facility operator, telephone structures may as an alternate be constructed of precast reinforced concrete.

### **B. Materials**

The facility operator will furnish cable racks, pulling-in irons, sump castings, hardware, manhole steps/ladder supports and cast iron frames and covers. All other materials required for a complete manhole installation including concrete, reinforcing steel and structural steel shall be supplied by the Contractor and shall comply with the standards of the facility operator.

The Contractor shall notify the facility operator a minimum of 30 days prior to manhole construction for scheduling materials to be furnished by the utility company. The Contractor shall pick up said materials at the facility operator's yard.

All concrete shall have a minimum compressive strength of 4,000 psi at 28 days.

Reinforcing steel shall be deformed bars conforming to ASTM, Grade 60.

Structural steel shall conform to the requirements of ASTM A-36. Bolts shall conform with the requirements of ASTM A-325.

The Contractor shall supply all necessary materials (mortar, concrete, brick, etc.) for sealing duct entrance windows in manholes and for constructing chimneys and bricking up castings to grade.

### **C. Method of Construction**

All work shall comply with the utility specifications, plans, and standards of the facility operator.

Refer to specification JB 406 for excavation and sheeting requirements associated with telephone manhole construction. Where replacement manholes are indicated on the plans, the demolition

and removal of the existing manhole structure and the protection of existing cables and splices will be paid separately under JB 638R.

The Contractor shall perform the necessary field construction of the floor, walls, and roof of the utility structure as shown on the Plans and as directed by the facility operator in consultation with the Resident Engineer. No traffic shall be allowed on the structure until permitted by the facility operator in consultation with the Resident Engineer.

Field conditions may require the contractor to modify the design of the manhole structure, as directed by the facility operator in consultation with the Resident Engineer.

Refer to specification JB 636E for guidelines relating to the installation of new frames and covers.

All structural steel roof beams shall be ground free of burrs and painted with one shop coat and two field coats of finish paint. The Contractor shall make provisions for and incorporate into the manhole all required materials as shown on the Plans, standard utility details or as directed by the facility operator in consultation with the Resident Engineer.

The Contractor shall provide duct entry windows in the new manhole as shown on the Plans and as directed by the facility operator. All windows shall be properly sealed around new ducts per utility company requirements.

All cable racks and wall brackets shall be supported on walls with 1/2" dia. X 2-1/2" long galvanized steel machine bolts using 1/2" concrete inserts or expansion bolts. Vertical spacing of inserts shall not exceed 18" o.c. (typ).

The Contractor shall confirm placement of concrete inserts for cable rack supports, pulling-in irons, and other embedments shown on the Plans with the facility operator, in consultation with the Resident Engineer, prior to manhole construction.

All work shall be done in a workmanlike manner and any damage resulting from the Contractor's operations shall be satisfactorily corrected as directed by the facility operator in consultation with the Resident Engineer and at the Contractor's expense. The contractor shall perform the installation of the utility structure while maintaining, supporting, and protecting and accommodating the integrity of all utility facilities (without disruption of service) located within the areas of the excavation and the field constructed structure.

This item shall also apply when partially or totally rebuilding or modifying an existing utility structure.

The Contractor is advised that in lieu of poured-in-place structures the substitution of Precast Reinforced Concrete Structures that comply with Utility Specifications, will be permitted only when approved by the facility operator in consultation with the Resident Engineer, along with the following provisions:

1. Precast telephone manholes shall be constructed to the interior manhole dimensions and details shown on the Plans.

2. The Contractor shall submit shop drawings and design calculations for each precast manhole structure for review and approval by the facility operator and the Resident Engineer prior to fabrication. Shop drawings shall show the overall structure dimensions, roof openings, window sizes and locations, sump locations, reinforcing steel and details, construction joint types and locations including sealant material proposed. The inside face of all windows (4 sides) shall be beveled and provisions made for all inserts and hardware for a complete manhole installation, including cable pulling iron embedments and cable rack insert embedments in accordance with the standards and requirements of the facility operator.
3. Precast manhole design criteria shall be as follows:
  - Concrete Minimum Compressive Strength: 4,000 psi or greater at 28 days.
  - Steel Reinforcement: ASTM A-615, Grade 60.
  - Design Loading: AASHTO HS20-44
  - Shop drawings and calculations shall state design methodology used and all design assumptions including soil pressures and ground water levels used in the design.
4. A 9-inch thick compacted stone ballast leveling pad shall be provided as a foundation for all precast manholes.

#### **D. Method of Measurement**

The quantity to be measured for payment shall be the number of cubic yards (CY) of concrete, cast on site or pre-cast, as specified, concrete, brick, and mortar in place to the nearest hundredth of a cubic yard. No deductions will be made for the spaces occupied by steel reinforcement.

#### **E. Price to Cover**

The unit price under this item shall be a unit price per cubic yard (CY) of concrete, cast on site or pre-cast, as specified, concrete, brick, and mortar placed in the field constructed utility structure. The unit price shall cover the cost of all labor, materials, plant, equipment, insurance and incidentals required to field construct partially or totally, rebuild or modify, a utility structure. The unit price shall also include all formwork installation and removal, installation of concrete, bricks, mortar, steel reinforcement, structural steel beams, furnish and install pre-cast concrete, chimney, and installation of interior and exterior hardware, including frames and covers. The Contractor shall also install pipes, conduits, sumps, drains, sleeves, related steel or cast iron materials or equipment through the structures as shown on the drawings. Upon removal of forms, the Contractor shall remove debris and face off the entire interior of the structure. The unit price includes necessary realignment of existing ducts into the new structure up to five feet from the outside face of the new structure; any additional duct realignment required shall be paid under a separate JB item. The unit price shall further include the cost of maintaining, supporting, protecting and accommodating the integrity of all utility facilities (without disruption of service) during the work within the areas of excavation and the field constructed structure, and the furnishing of samples, as required. All work shall comply with the plans, specifications, standards, and directions of the facility operator in consultation with the Resident Engineer.

All pavement breaking, pavement removal and disposal, excavation, haul away, and disposal, furnish and install backfill, temporary pavement, sheeting, bracing, and all necessary incidentals shall be paid under item JB 406, only if required. All required break out and disposal of all types of conduits/duct banks in new structure area, including maintenance and support of cable shall be included in JB 638R. Where precast reinforced concrete manholes are used in lieu of poured in place manholes, the cost for furnishing, delivery and installation of the precast reinforced structures, additional excavation associated with the widening and deepening of trench due to increased width of precast structures and due to the placement of a stone ballast leveling pad; stone ballast; connections; and all work incidental thereto all in accordance with the Plans, Specification and Standards, shall be deemed included under this item. No additional or separate payments will be made for any work associated with the installation of precast reinforced structures.

The cost of providing an anti-freeze additive in concrete, when required, shall be paid for under Item 9.04 HW.

#### **F. References**

1. JB 406
2. JB 636E
3. JB 638R
4. Standard Utility Specifications and Drawings

## **JB 638RT – BREAK OUT AND REMOVE TELEPHONE/COMMUNICATIONS UTILITY STRUCTURE CONTAINING ACTIVE FACILITIES**

### **A. Description**

Under this section the Contractor shall provide all labor, materials, equipment, insurance and incidentals necessary to partially or totally break out and remove existing utility structures using methods approved by the facility operator. Breaking out and removing existing utility structures shall be performed while maintaining and protecting all subsurface facilities, at locations approved by the Facility Operator. The Contractor will encounter various underground facilities, located both inside and outside the utility structure, while partially or totally breaking out and removing existing utility structures and will be required to excavate and perform work over, under, adjacent to, around, in between and in close proximity of various congested configurations of multiple facilities, conduits, pipes and cables.

All work required to partially or totally break out and remove existing utility structures shall comply with standard utility specifications and/or as directed by the facility operator and shall include but not be limited to:

- Service Boxes (various sizes)
- Manholes (various sizes)
- Vaults (various sizes)
- Valve Boxes (various sizes)
- Concrete encased conduits containing cables

### **B. Materials**

All materials used shall be supplied by the Contractor and comply with the standards of the facility operator.

### **C. Method of Construction**

The Contractor shall perform the necessary breaking out and removal of the existing utility structure while maintaining and protecting all subsurface facilities. The Contractor will encounter various underground facilities located both inside and outside the utility structure, while partially or totally breaking out and removing existing utility structures and will be required to excavate and perform work over, under, adjacent to, around, in between and in close proximity of various congested configurations of multiple facilities, conduits, pipes and cables, as directed by the facility operator. All work shall be done in a workmanlike manner and any damage resulting from the Contractor's operations shall be satisfactorily corrected as directed by the facility operator and at the Contractor's expense.

This item shall also apply when partially or totally breaking out and removing an existing utility structure.

The rebuilding of the utility structure is covered under JB 638NT.

**D. Method of Measurement**

The quantity to be measured for payment shall be the number of cubic yards (CY) of concrete, reinforced concrete, brick, and mortar of the existing utility structure broken out, removed and disposed to the nearest hundredth of a cubic yard. No deductions will be made for the spaces occupied by steel reinforcement.

**E. Price to Cover**

The unit price bid under this item shall be a unit price per cubic yard (CY) of concrete, reinforced concrete, brick, and mortar of the existing utility structure broken out, removed and disposed. The unit price shall also cover the cost of all labor, materials, plant, equipment, insurance, and incidentals required to partially or totally break out, remove and dispose of existing utility structure. The unit price shall also include demolition of the existing utility structure, haul away and disposal of demolished materials, formwork, concrete, bricks, mortar, steel reinforcement, structural steel beams, interior hardware, exterior hardware, including frames and covers. The unit price shall further include the cost of maintaining, supporting, protecting and accommodating the integrity of all utility facilities (without disruption of service) during the work within the areas of excavation and the existing structure. All work shall comply with the plans, specifications and standards, provided by and at the directions of the facility operator.

The unit price shall include providing access to the facility operator tenants to verify and test cables before, during and after breaking out and removal of the utility and after conduit removal by the Contractor. The unit price shall include, but not limited to, opening and closing of fences; removal and replacement of temporary timber curb and opening and closing of traffic plates. Access to adjacent manholes impacted by the run is included in this item. JB 450 shall not be used in conjunction with JB 638RT as JB 638RT covers access to the work site at all times.

All pavement breaking, pavement removal and disposal, excavation, haul away, and disposal, furnish and install backfill, temporary pavement, sheeting, bracing, and all necessary incidentals shall be paid under item JB 406, only if required.

**F. References**

1. JB 406
2. Standard Utility Specifications and Drawings

## **JB 798 - MODIFICATION OF NON CONCRETE YOKE TROLLEY STRUCTURES REMOVAL WHEN CROSSING UTILITY FACILITES**

### **A. Description**

This JB item shall only be applied to trolley structure systems that do not contain concrete yoke foundations. This JB item shall only be used for trolley systems that have rails and wood ties only.

Under this section, the Contractor shall provide all incremental labor, equipment, insurance and incidentals required to maintain and protect and accommodate the integrity of utility facilities that include but are not limited to:

1. Conduits;
2. Conductors;
3. Concrete encased Conduit banks;
4. Steel Pipes; Steam Facilities;
5. Oil-o-static Facilities;
6. Non-cost Sharing Gas Facilities;
7. Steam Facilities;

of various sizes and configurations crossing trolley structures at various angles located within a zone of protection, as indicated on Sketch JB 798, during the removal of trolley structures and subsequent backfilling operations. Utility facilities that run parallel to trolley structures are not included within this item and will be paid for under the appropriate JB item. The work shall be performed in accordance with the contract plans, the specifications, and as encountered during construction and directed by the facility operator.

### **B. Materials – N/A**

### **C. Method of Construction**

The Contractor shall maintain, protect, and accommodate the integrity of all utility facilities of various sizes and configurations crossing trolley structures within a zone of protection as indicated in Sketch JB 798, during removal of trolley structures and subsequent backfilling and compaction operations under other contract item(s). The facility operator shall identify the locations of all utilities within the contract area as required by New York State Industrial Code Rule 753. As provided by the Rule, the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) directly below the pavement base to expose the utility and ascertain the numerical relationships and/or dimensions of these utilities with respect to the proposed excavation. Contractor shall perform test pits at locations determined by the facility operator to expose utility as specified in JB 400. Upon exposing the affected utilities sufficiently, and at the sole discretion of the facility operator to determine relationships and/or dimensions, the Contractor shall be permitted to proceed with care to remove existing trolley structure within the zone of protection whose limit shall be defined as a distance of 24 inches from the outside face of each utility crossing.



**D. Method of Measurement**

The quantity to be measured for payment shall be the number of linear feet of modified trolley structure removal within the zone of protection as indicated on JB Sketch 798, measured along the centerline of trench. The trench is defined as one track set containing two rails. The zone of protection shall be defined, for the purpose of this agreement, as the boundary/area designated on the plans or a boundary/area 24 inches to either side of each of the designated facilities, based upon available records and/or information obtained from prior or new test pits, or any combination thereof. Where overlapping of the zones occurs due to multiple facilities, the boundary/area shall be modified to one zone measured from the outside limits. The contract item specified under this section shall not be measured for payment in conjunction with other types of utility items. Modifications to work methods required in areas between zones of protection for multiple utilities or JB facilities shall not be measured for payment and are included in the price bid for this item.

**E. Price to Cover**

The unit price per linear foot shall include the incremental cost for all labor, equipment, insurance and incidentals required to maintain and protect and accommodate the integrity of utility facilities during the removal of trolley structures (including rails, timber ties, trolley conduits and main conduit), and backfilling and compacting within a zoned area designated for protection of utilities by the facility operator.

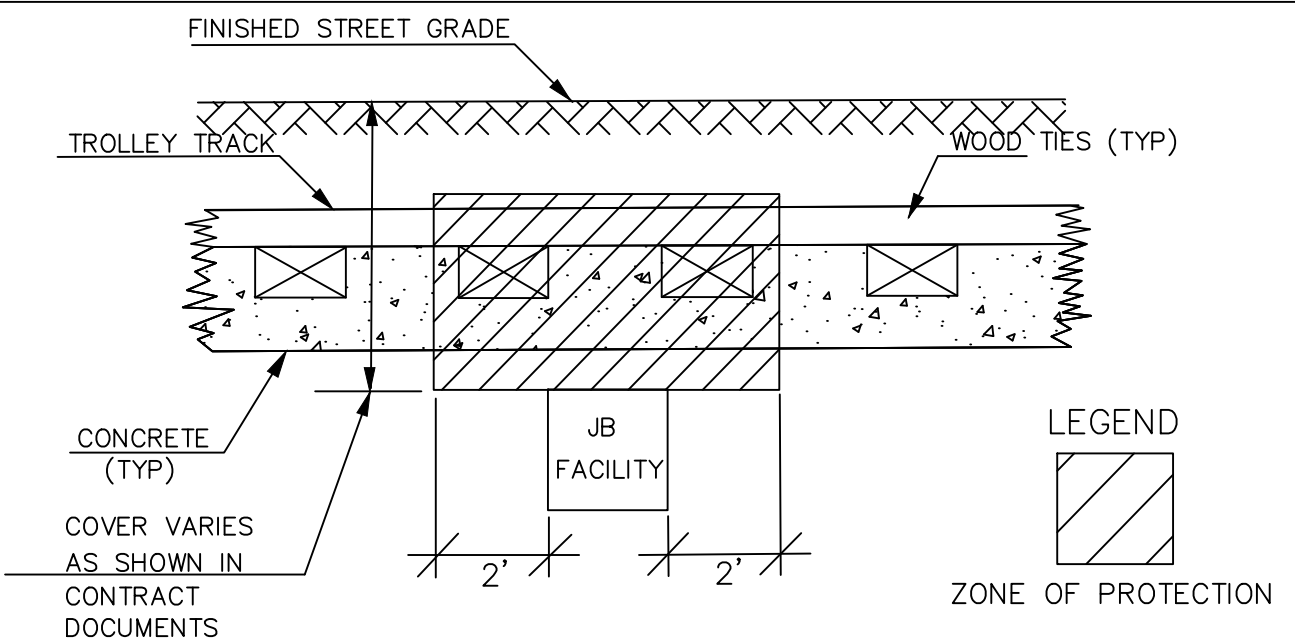
The price shall include any additional cutting, removing and disposing of roadway materials; hand or machine excavation; trucking and disposing of excavated materials, installation and removal of sheeting; and furnishing, installing and compacting backfill that may be required to support, protect, maintain and accommodate the integrity of utility facilities. The price shall also include means to ascertain the numerical relationship between utility and the trolley structure and the incremental cost for providing all vehicular and pedestrian traffic maintenance necessary to perform the work.

The Contractor shall be responsible for any and all damages resulting from and/or due to trolley demolition operations that are not performed in accordance with the specifications.

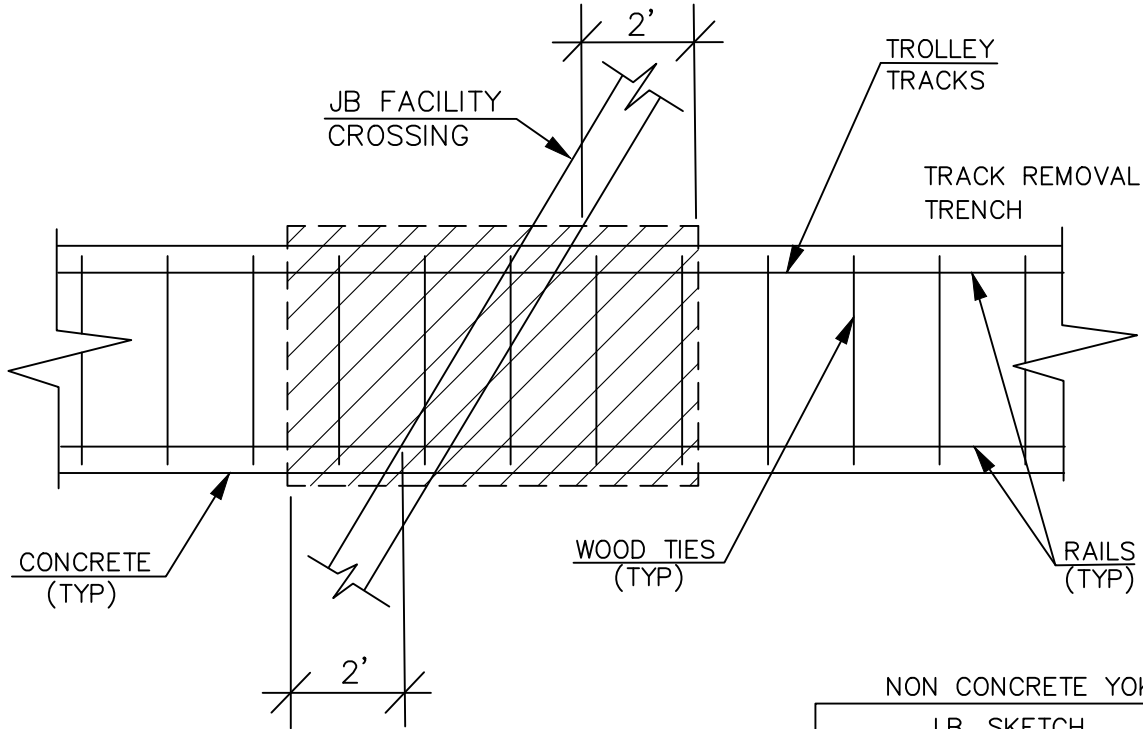
When this work is performed within a mass excavation area, a credit will be taken for the removed trolley structure.

**F. References**

1. NYS Industrial Code Rule 753
2. Sketch JB 798



SECTION OF JB FACILITIES CROSSING  
TROLLEY TRACKS



PLAN OF JB FACILITIES  
CROSSING TROLLEY TRACKS

NON CONCRETE YOKE	
J.B. SKETCH	
ACCOMODATION FACILITIES CROSSING TROLLEY RAILROAD STRUCTURES	
REVISIONS	CONTRACT NO.
11/28/2018	SKETCH NO. JB 798

## **JB 799 - MODIFICATION OF NON CONCRETE TROLLEY STRUCTURES REMOVAL PARALLEL TO UTILITY FACILITIES**

### **A. Description**

This JB item shall only be applied to trolley structure systems that do not contain concrete yoke foundations. This JB item shall only be used for trolley systems that have rails and wood ties only.

Under this section, the Contractor shall provide all incremental labor, equipment, insurance and incidentals required to maintain, protect, support and accommodate the integrity of utility facilities that include but are not limited to:

1. Conduits;
2. Conductors;
3. Concrete encased Conduit banks;
4. Steel Pipes; Steam Facilities;
5. Oil-o-static Facilities; and
6. Non-cost Sharing Gas Facilities;
7. Steam Facilities.

of various sizes and configurations paralleling or encroaching trolley structures located within a zone of protection, as indicated on the Plans or as directed by the field representative, during all trolley structure removal operations and subsequent backfilling operations. Utility facilities which cross over, under and between the trolley structures are not included within this item and will be paid for under the appropriate JB item. The work shall be performed in accordance with the contract plans, the specifications, and as encountered during construction and directed by the facility operator(s).

### **B. Materials – N/A**

### **C. Method of Construction**

The Contractor shall maintain, protect, support and accommodate the integrity of all utility facilities of various sizes and configurations paralleling or encroaching trolley structures within a zone of protection as indicated on the Plans or as directed by the field representative, during removal of trolley structures and subsequent backfilling and compaction operations under other contract item(s). The facility operator(s) shall identify the locations of all utilities within the contract area as required by New York State Industrial Code Rule 753. As provided by the Rule, the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) directly below the pavement base to expose the utility and ascertain the numerical relationships and/or dimensions of these utilities with respect to the proposed excavation. Contractor shall perform test pits at locations determined by the facility operator to expose utility as specified in JB 400. Upon exposing the affected utilities sufficiently, and at the sole discretion of the facility operator(s) to determine relationships and/or dimensions, the Contractor shall be permitted to proceed with care to remove existing trolley structure within the zone of protection whose limit shall be defined as a distance of 24 inches from the outside face of each utility to the edge of the trolley structure.

**D. Method of Measurement**

The quantity to be measured for payment shall be the number of linear feet of modified trolley structure removal within the zone of protection as indicated on the plans, measured along the centerline of trench. The trench is defined as one track set containing two rails. The contract item specified under this section shall not be measured for payment in conjunction with other types of utility items. Modifications to work methods required in areas between zones of protection for multiple utilities or JB facilities shall not be measured for payment and are included in the price bid for this item.

**E. Price to Cover**

The unit price per linear foot shall include the incremental cost for all labor, equipment, insurance and incidentals required to maintain, protect, support and accommodate the integrity of utility facilities paralleling or encroaching trolley structures during the removal of trolley structures (including rails, timber ties, trolley conduits, and main conduits), and backfilling and compacting within a zoned area designated for protection of utilities by the facility operator(s).

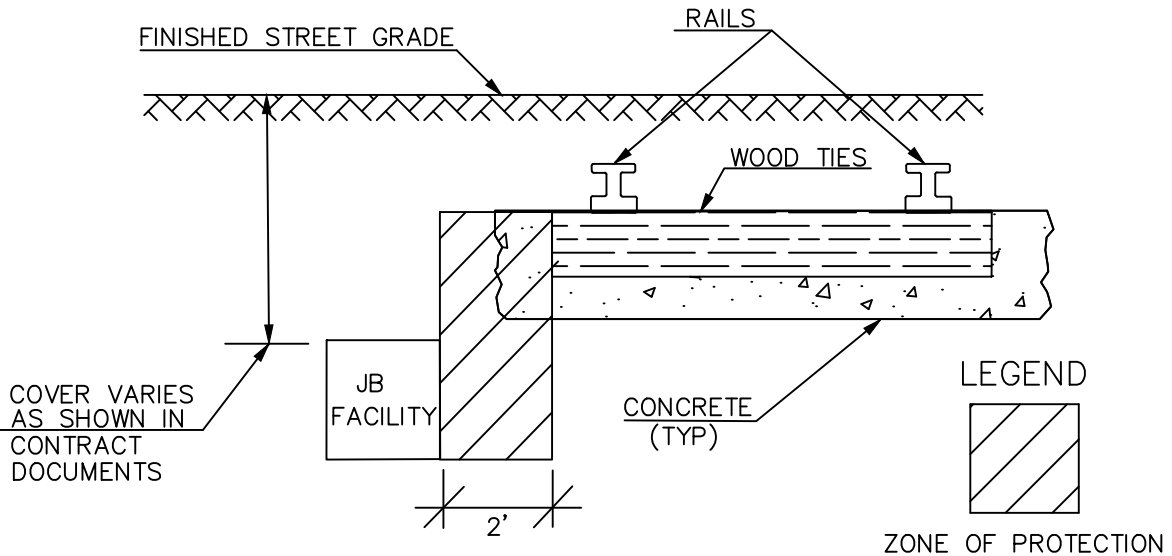
The unit price shall also include any additional cutting, removing and disposing of roadway materials; hand or machine excavation; trucking and disposing of excavated materials, installation and removal of sheeting; and furnishing, installing and compacting backfill that may be required to support, protect, maintain and accommodate the integrity of utility facilities. The price shall also include means to ascertain the numerical relationship between utility and the trolley structure, and the incremental cost for providing all vehicular and pedestrian traffic maintenance necessary to perform the work.

The Contractor shall be responsible for any and all damages resulting from and/or due to trolley demolition operations that are not performed in accordance with the specifications.

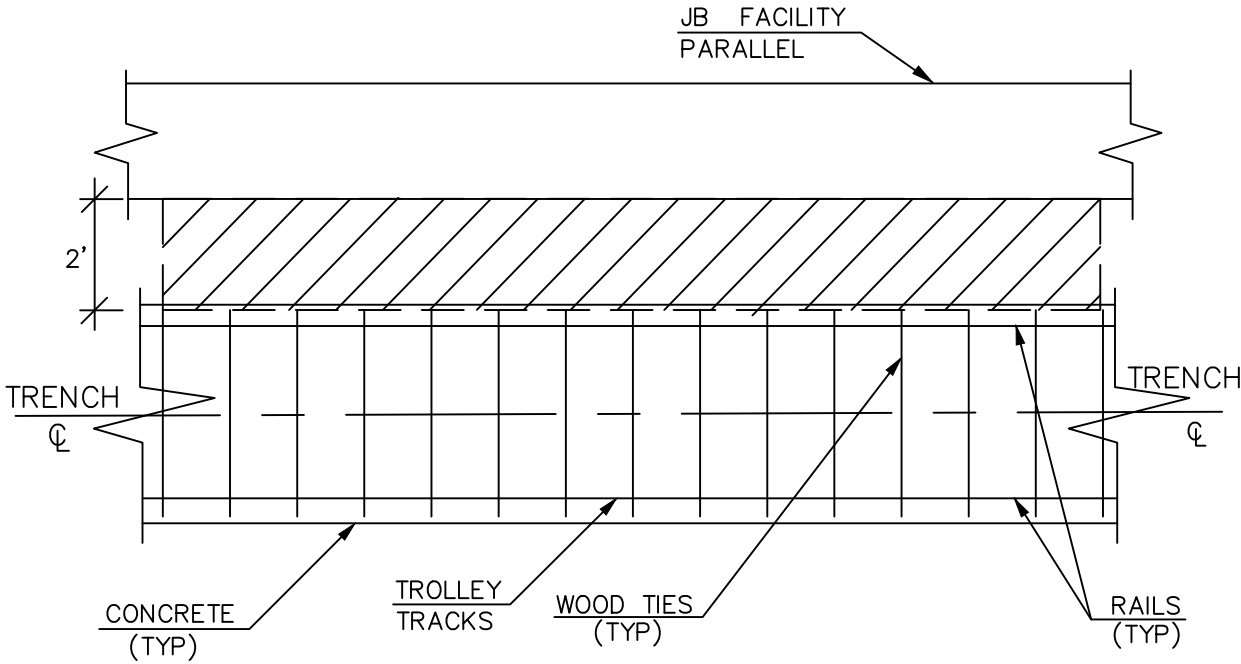
When this work is performed within a mass excavation area, a credit will be taken for the removed trolley structure.

**F. References**

1. NYS Industrial Code Rule 753
2. Sketch JB 799



SECTION OF JB FACILITIES PARALLELING TROLLEY TRACKS



PLAN OF JB FACILITIES PARALLELING TROLLEY TRACKS

NON CONCRETE YOKE

J.B. SKETCH

ACCOMODATION  
FACILITIES PARALLEL  
TROLLEY RAILROAD  
STRUCTURES

REVISIONS
11/28/2018

CONTRACT NO.	SKETCH NO. JB 799
--------------	----------------------

## **JB 800 - MODIFICATION OF CONCRETE YOKE TROLLEY STRUCTURES REMOVAL WHEN CROSSING UTILITY FACILITIES**

### **A. Description**

This JB item shall only be applied to trolley structure systems that contain concrete yoke foundations. This JB item shall not be used for trolley systems that have rails and wood ties only.

Under this section, the Contractor shall provide all incremental labor, equipment, insurance and incidentals required to maintain and protect and accommodate the integrity of utility facilities that include but are not limited to:

1. Conduits
2. Conductors
3. Concrete encased conduit banks
4. Steel pipes
5. Oil-o-static facilities
6. Non-cost Sharing Gas Facilities and
7. Steam Facilities

of various sizes and configurations crossing trolley structures at various angles located within a zone of protection, as indicated on Sketch JB 800, during the removal of trolley structures and subsequent backfilling operations. Utility facilities that run parallel to trolley structures are not included within this item and will be paid for under the appropriate JB item. The work shall be performed in accordance with the contract plans, the specifications, and as encountered during construction and directed by the facility operator.

### **B. Materials** – N/A

### **C. Method of Construction**

The Contractor shall maintain, protect, and accommodate the integrity of all utility facilities of various sizes and configurations crossing trolley structures within a zone of protection as indicated in Sketch JB 800, during removal of trolley structures and subsequent backfilling and compaction operations under other contract item(s). The facility operator shall identify the locations of all utilities within the contract area as required by New York State Industrial Code Rule 753. As provided by the Rule, the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) directly below the pavement base to expose the utility and ascertain the numerical relationships and/or dimensions of these utilities with respect to the proposed excavation. Contractor shall perform test pits to expose the utilities as specified under JB 400. Upon exposing the affected utilities sufficiently, and at the sole discretion of the facility operator to determine relationships and/or dimensions, the Contractor shall be permitted to proceed with hand held power tools to remove existing trolley structure within the zone of protection whose limit shall be defined as a perimeter located 24 inches from the outside face of each utility crossing.

**D. Method of Measurement**

The quantity to be measured for payment shall be the number of linear feet of modified trolley structure removal within the zone of protection as indicated on JB Sketch 800, measured along the centerline of trench. The trench is defined as one track set containing two rails. The zone of protection shall be defined, for the purpose of this agreement, as the boundary/area designated on the plans or a boundary/area 3 feet to either side of each of the designated facilities, based upon available records and/or information obtained from prior or new test pits, or any combination thereof. Where overlapping of the zones occurs due to multiple facilities, the boundary/area shall be modified to one zone measured from the outside limits. The contract item specified under this section shall not be measured for payment in conjunction with other types of utility items. Modifications to work methods required in areas between zones of protection for multiple utilities or JB facilities shall not be measured for payment and are included in the price bid for this item.

**E. Price to Cover**

The unit price per linear foot shall include the incremental cost for all labor, equipment, insurance and incidentals required to maintain and protect and accommodate the integrity of utility facilities during the removal of trolley structures (including rails, timber ties, yokes, trolley conduits, main conduit, rail and yoke foundations), and backfilling and compacting within a zoned area designated for protection of utilities by the facility operator.

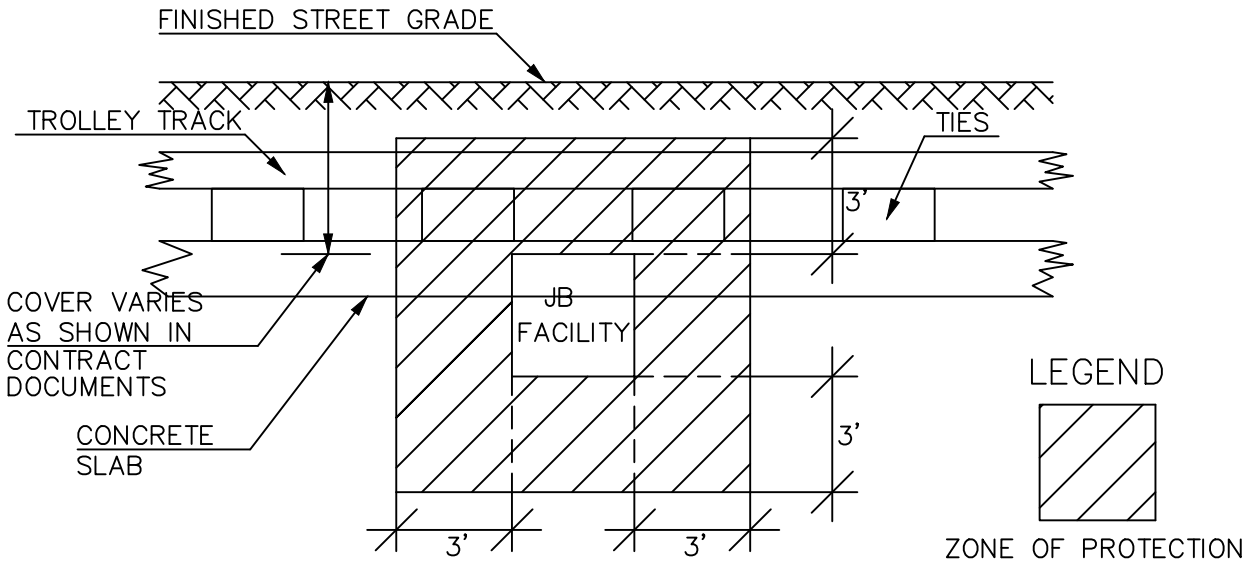
The unit price shall also include any additional cutting, removing and disposing of roadway materials; hand or machine excavation; trucking and disposing of excavated materials, installation and removal of sheeting; and furnishing, installing and compacting backfill that may be required to support, protect, maintain and accommodate the integrity of utility facilities. The unit price shall also include the incremental cost for providing all vehicular and pedestrian traffic maintenance necessary to perform the work.

The Contractor shall be responsible for any and all damages resulting from and/or due to trolley demolition operations that are not performed in accordance with the specifications.

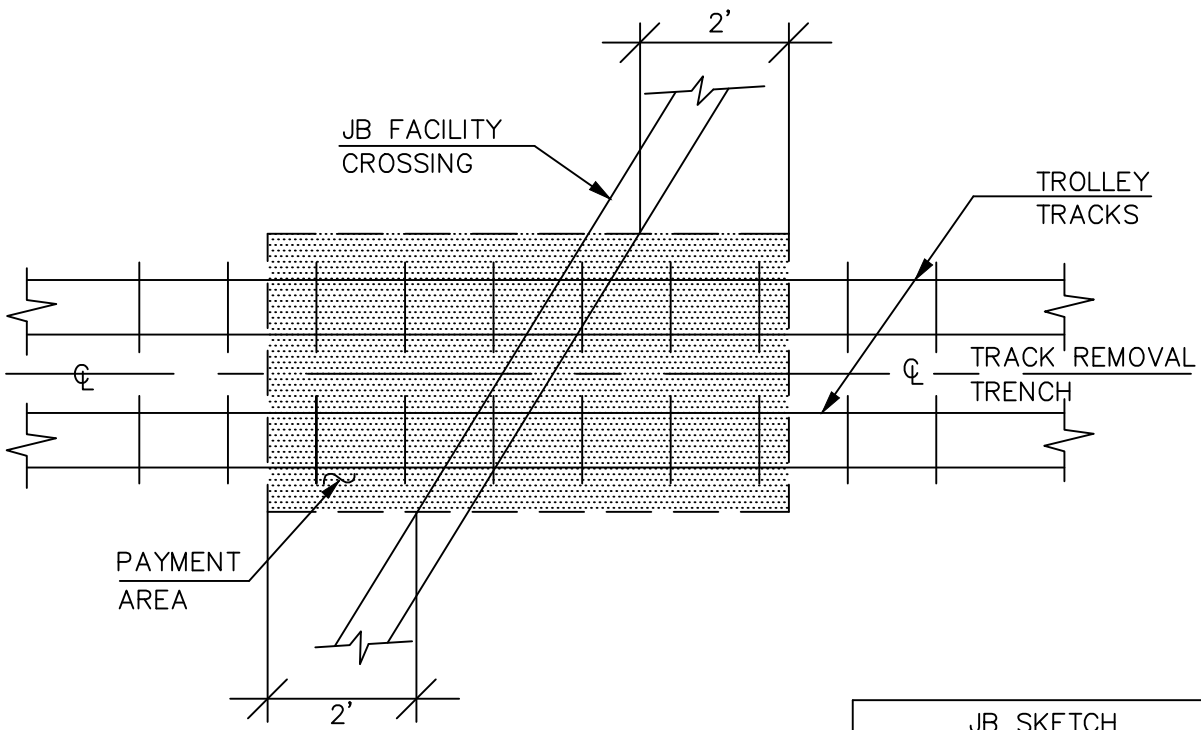
When this work is performed within a mass excavation area, a credit will be taken for the removed trolley structure.

**F. References**

1. NYS Industrial Code Rule 753
2. Sketch JB 800



SECTION OF JB FACILITIES CROSSING TROLLEY TRACKS



PLAN OF JB FACILITIES CROSSING TROLLEY TRACKS

REVISIONS
11/28/2018

JB SKETCH	
ACCOMODATION FACILITIES AT TROLLEY RAILROAD STRUCTURES	
CONTRACT NO.	SKETCH NO. JB 800



## **JB 801 - MODIFICATION OF CONCRETE YOKE TROLLEY STRUCTURES REMOVAL PARALLEL TO UTILITY FACILITIES**

### **A. Description**

This JB item shall only be applied to trolley structure systems that contain concrete yoke foundations. This JB item shall not be used for trolley systems that have rails and wood ties only.

Under this section, the Contractor shall provide all incremental labor, equipment, insurance and incidentals required to maintain, protect, support and accommodate the integrity of utility facilities that include but are not limited to:

1. Conduits
2. Conductors
3. Concrete encased conduit banks
4. Steel pipes
5. Oil-o-static facilities
6. Non-cost sharing gas facilities and
7. Steam facilities

of various sizes and configurations paralleling or encroaching trolley structures located within a zone of protection, as indicated in sketch JB 801 or as directed by the field representative, during all trolley structure removal operations and subsequent backfilling operations. Utility facilities which cross over, under and between the trolley structures are not included within this item and will be paid for under the appropriate JB item. The work shall be performed in accordance with the contract plans, the specifications, and as encountered during construction and directed by the facility operator(s).

### **B. Materials – N/A**

### **C. Method of Construction**

The Contractor shall maintain, protect, support and accommodate the integrity of all utility facilities of various sizes and configurations paralleling or encroaching trolley structures within a zone of protection as indicated in sketch 801 or as directed by the field representative, during removal of trolley structures and subsequent backfilling and compaction operations under other contract item(s). The facility operator(s) shall identify the locations of all utilities within the contract area as required by New York State Industrial Code Rule 753. As provided by the Rule, the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) directly below the pavement base to expose the utility and ascertain the numerical relationships and/or dimensions of these utilities with respect to the proposed excavation. Contractor shall perform test pits to expose the utilities as specified under JB 400. Upon exposing the affected utilities sufficiently, and at the sole discretion of the facility operator(s) to determine relationships and/or dimensions, the Contractor shall be permitted to proceed with hand held power tools to remove existing trolley structure within the zone of protection whose limit shall be defined as a perimeter located 24 inches from the outside face of each utility.

**D. Method of Measurement**

The quantity to be measured for payment shall be the number of linear feet of modified trolley structure removal within the zone of protection as indicated on the plans, measured along the centerline of trench. The trench is defined as one track set containing two rails. The contract item specified under this section shall not be measured for payment in conjunction with other types of utility items. Modifications to work methods required in areas between zones of protection for multiple utilities or JB facilities shall not be measured for payment and are included in the price bid for this item.

**E. Price to Cover**

The unit price per linear foot shall include the incremental cost for all labor, equipment, insurance and incidentals required to maintain, protect, support and accommodate the integrity of utility facilities paralleling or encroaching trolley structures during the removal of trolley structures (including rails, timber ties, yokes, trolley conduits, main conduit, rail and yoke foundations), and backfilling and compacting within a zoned area designated for protection of utilities by the facility operator(s).

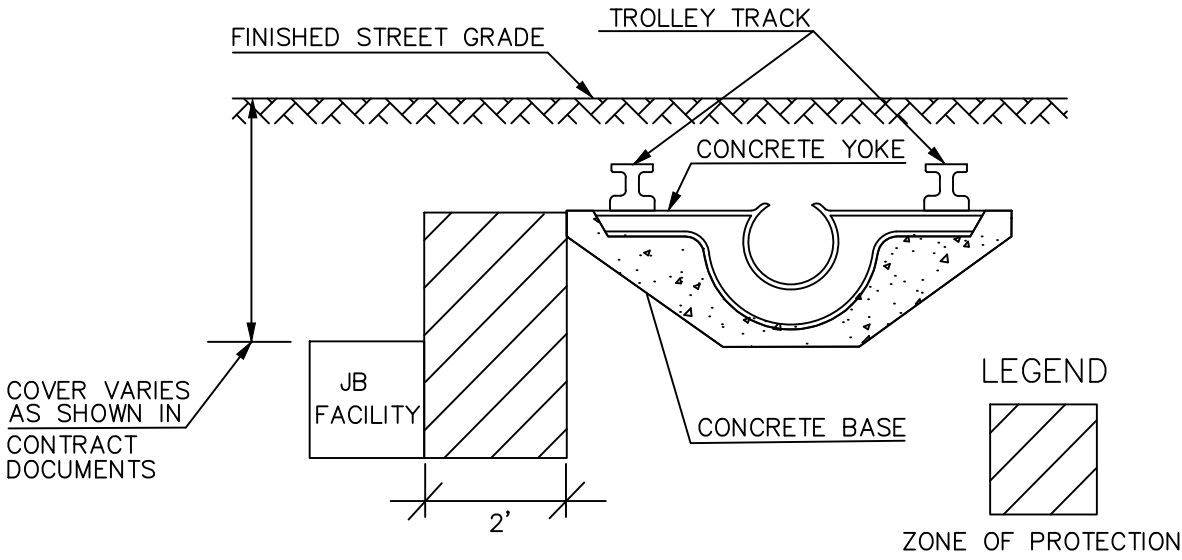
The unit price shall also include any additional cutting, removing and disposing of roadway materials; hand or machine excavation; trucking and disposing of excavated materials, installation and removal of sheeting; and furnishing, installing and compacting backfill that may be required to support, protect, maintain and accommodate the integrity of utility facilities. The unit price shall also include the incremental cost for providing all vehicular and pedestrian traffic maintenance necessary to perform the work.

The Contractor shall be responsible for any and all damages resulting from and/or due to trolley demolition operations that are not performed in accordance with the specifications.

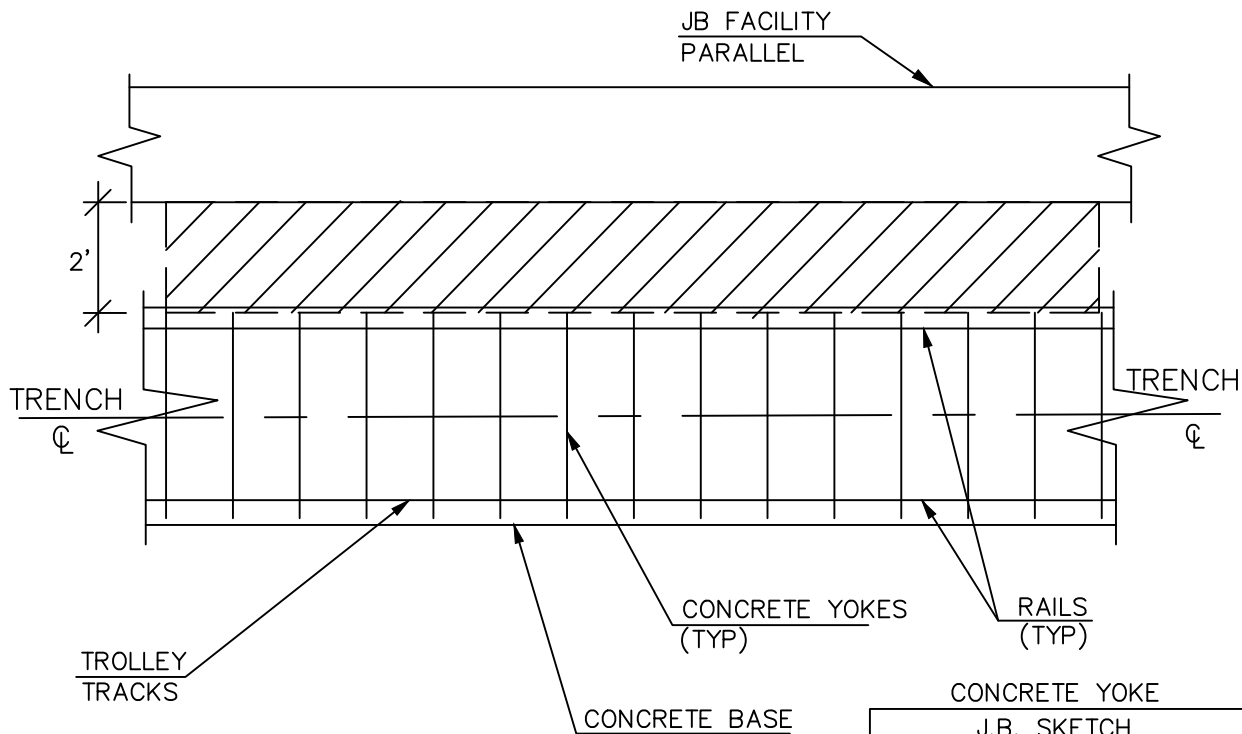
When this work is performed within a mass excavation area, a credit will be taken for the removed trolley structure.

**F. References**

1. NYS Industrial Code Rule 753
2. Sketch JB 801



SECTION OF JB FACILITIES PARALLELING TROLLEY TRACKS



PLAN OF JB FACILITIES PARALLELING TROLLEY TRACKS

CONCRETE YOKE	
J.B. SKETCH	
ACCOMODATION	
FACILITIES PARALLEL	
TROLLEY RAILROAD	
STRUCTURES	
REVISIONS	CONTRACT NO.
11/28/2018	SKETCH NO.
	JB 801

---

**B. Materials** – N/A

**C. Method of Operation/Construction**

Once clearances have been verified by available records to the satisfaction of the facility operator, the Contractor shall exercise extreme caution to install new curb and sidewalks within zoned areas of protection. Exercising extreme caution shall mean utilizing appropriate methods of operation/construction, special operations and sequencing, and by employing hand labor, using hand held tools only, under the personal direction of the appropriate facility operator. The work shall incorporate, but not be limited by, the following restrictions:

**1. Removal of Existing Curb and Sidewalk**

Removal of existing curb and sidewalk material shall be performed by saw cutting the curb and sidewalk, for a depth of not less than 2", to assist the Contractor in breaking up the concrete curb and sidewalk for removal by hand. Curb and sidewalk removal shall be done with hand labor, using hand held tools only while working from adjacent undisturbed sidewalk and/or pavement. Furthermore, it shall be understood to mean that digging and/or excavating directly with power-mechanized earth moving equipment will not be permitted. Power mechanized earth moving equipment may only be used as a depository of material removed from the excavation by hand as described above. All equipment, methods, and maintenance and protection provisions shall require full authorization by the facility operator.

**2. Preparation and Installation of New Curb and Temporary and New Sidewalk**

Backfilling, filling, grading of sub base, and installation of new curb and both temporary and new sidewalk, as required under other Contract Items, shall be performed utilizing materials, equipment and methods of construction that will insure the integrity of the private utility facilities and at the same time meet all requirements for this work as specified in other sections of this contract.

### **3. Compaction**

The Contractor shall compact all sub-grade and new sub-base materials by utilizing native and/or blended fill material, equipment and methods of construction that will ensure integrity of private Utility Facilities and at the same time meet all requirements for compaction as specified in Section 4.11 of the Standard Highway Specifications.

### **4. Powered Excavating Equipment Limitations**

The Contractor shall not employ powered or mechanical excavating equipment within the zone of protection. Powered or mechanical excavating equipment may only be used as a depository for material removed from the excavation by hand as described above.

The Contractor shall not be permitted to store, stand and/or travel equipment/vehicles on specified unpaved zoned protection areas.

### **D. Method of Measurement**

#### **1. ITEM JB 802A**

The quantity of "Special Care Excavation and Restoration for Sidewalk Work" to be measured for payment shall be the number of square feet (SF) of new sidewalk actually installed under other contract items within the zone of protection areas requested by the facility operator. For payment purposes, the horizontal limits for a zone of protection area shall be defined as the area designated on the plans or an area equal to the length of the designated facility multiplied by its width plus 18 inches on each side. Where overlapping of zones occur due to multiple facilities, the area will be modified to one zone measured from the outside limits. Where the 18-inch area falls beyond the curb line the outside boundary shall be the curb line.

#### **2. ITEM JB 802B**

The quantity of "Special Care Excavation and Restoration for Curb Work" to be measured for payment shall be equal to the number of linear feet (LF) of new curb actually installed under other contract items within the zone of protection areas requested by the facility operator.

## **E. Price to Cover**

### **1. ITEM JB 802A**

The contract price per square foot for "Special Care Excavation and Restoration for Sidewalk Work" shall be the incremental cost difference of all labor, materials, equipment, insurance and incidentals required for excavation and disposal of pavement, base and all other material to new sub-grade within and adjacent to zone of protection areas; saw cutting, grading, preparation of sub-grades, backfilling and compaction within zone of protection areas; all in accordance with the plans, the specifications and the directions of the facility operator. The price shall further include the cost of maintaining, protecting and accommodating the integrity of private Utility Facilities during the performance of sidewalk reconstruction (under other Contract Items) within zone of protection areas designated on the plans or as directed by the facility operator.

### **2. ITEM JB 802B**

The contract price per linear foot for "Special Care Excavation and Restoration for Curb Work" shall be the incremental cost difference of all labor, materials, equipment, insurance and incidentals required to install new curbs and temporary restoration material under other Contract items, within and adjacent to zone of protection areas; all in accordance with the plans, the specifications and the directions of the facility operator. The price shall further include the cost of maintaining, protecting, and accommodating the integrity of private Utility Facilities during the performance of curb reconstruction (under other Contract Items) within zone of protection areas designated on the plans or as directed by the facility operator.

Payment for all work specified herein shall be made on a one-time basis only; no payment will be made for the same area of sidewalk or length of curb more than one time. In addition, work under these items shall not be paid in combination with other utility items.

## **F. References**

1. Section 4.11 Standard Highway Specification

## **JB 803 - LINE CUT BY PNEUMATIC TOOLS IN LIEU OF SAW CUT ASSOCIATED WITH ROADWAY REMOVAL OPERATIONS**

### **A. Description**

Under this section, the Contractor shall provide all incremental labor, equipment, insurance and incidentals required to maintain, protect, support and accommodate the integrity of utility facilities that include but are not limited to oil or static facilities, and any other facilities of various sizes and configurations paralleling or crossing proposed saw cut areas located within a zone of protection associated with roadway removal operations, as determined by the utility operator. Utility facilities which cross under and between the saw cut area are included within this item. The work shall be performed in accordance with the contract plans, the specifications, and as encountered during construction and determined by the facility operator(s).

### **B. Materials – N/A**

### **C. Method of Construction**

The Contractor shall maintain, protect, support and accommodate the integrity of all utility facilities of various sizes and configurations paralleling or crossing the saw cut area within a zone of protection as determined by the Facility Operator, during the roadway saw cut. The facility operator(s) shall identify the locations of all utilities within the contract area as required by New York State Industrial Code Rule 753. As provided by the Rule, the Contractor shall use pneumatic tools to line cut the pavement in lieu of saw cut by machine. It is the sole discretion of the facility operator(s) to determine relationships and/or dimensions, and advise the Contractor to proceed with pneumatic tools to line cut existing roadway structure.

### **D. Method of Measurement**

The quantity to be measured for payment shall be the number of linear feet of line cut performed by pneumatic tools measured along the length of cut. The contract item specified under this section shall not be measured for payment in conjunction with other types of utility items. Modifications to work methods required in areas between zones of protection for multiple utilities or JB facilities shall not be measured for payment and are included in the price bid for this item.

JB 803.1 Line cut Asphalt Roadway (LF)

JB 803.2 Line cut any combination of Asphalt and Concrete Roadway (LF)

JB 803.3 Line cut any combination of Asphalt, Concrete, and Belgium Block (LF)

### **E. Price to Cover**

The unit price per linear foot shall include the incremental cost for all labor, equipment, insurance and incidentals required to maintain, protect, support and accommodate the integrity of utility facilities paralleling or crossing the saw cut area associated with the removal of roadway designated for protection of utilities by the facility operator(s).

The unit price shall also include any additional cutting, removing and disposing of roadway materials; and any backfill that may be required to support, protect, maintain and accommodate the integrity of utility facilities. The price shall also include the incremental cost for providing all vehicular and pedestrian traffic maintenance necessary to perform the work.

The Contractor shall be responsible for any and all damages resulting from and/or due to saw cutting operations that are not performed in accordance with the specifications.

### **F. References**

1. NYS Industrial Code Rule 753



## **JB502 - Removal Of Abandoned Gas Facilities With Possible Coal Tar Wrap. All Sizes.**

### **1. Description:**

Under this section the Contractor shall provide all labor, materials, equipment, insurance and, incidentals required for the removal of abandoned gas mains, services or appurtenances thereof, located within the street shown on the contract plans, owned by the gas company operating in the project area (facility operator), used or to be used for or in connection with or to facilitate the conveying, transportation, distribution or furnishing of gas (natural or manufactured or mixture of both) for light, heat, or power, but does not include property used solely for or in connection with business of selling, distributing or furnishing of gas in enclosed containers. Such removal shall include only abandoned gas facilities that interfere with (i.e. cause additional work) City work. These gas facilities may be coated with Coal Tar Wrap and so, may require special handling and disposal methods as specified in National Grid/KeySpan Energy Standard Operating Procedure 12-2, Coal Tar Wrap Handling and 12NYCRR56.

### **2. Determination Of Operating Status Of Gas Facilities:**

The Contractor shall notify facility operator, as required by New York State Industrial Code 753. Gas facilities shall not be removed without the approval of the facility operator whose authorized representative shall certify in writing (specific facility or area wide facilities certification) and in a timely manner acceptable to the Resident Engineer that abandoned facilities are free of combustible gas and any other environmental contaminants prior to removal. The Resident Engineer shall rely on the facility operator's certification. The facility operator may request the excavation of test pits (See Section 400) for this determination ahead of City work and, the Contractor shall provide safe access, facilitate and permit facility operator to enter test pit excavations for the purpose of testing gas facilities to be removed by the Contractor. However, the facility operator may prefer to make this test during performance of City work, in order to issue the above certification. This shall be permitted provided that it is agreed that additional costs, if any, resulting from this choice shall be a matter of adjustment between the Contractor and the facility operator only, and at no cost to the City contract. Should such investigation result in the determination that the abandoned gas facilities do not contain Coal Tar Wrap then the removal of said facilities shall be covered under separate item (See Section 710).

### **3. Requirements:**

The City Contractor shall excavate abandoned gas facility sufficiently, either in its entirety, or at locations determined by Contractor to allow the removal of Coal Tar Wrap (if present on the abandoned gas facility) and to facilitate the safe extraction of manageable lengths of abandoned pipe without damage to adjacent facilities, utilities or City structures either parallel to or crossing above or below abandoned gas facility. The Contractor is to allow access to the designated cutting points within the Contractor's trench by authorized National Grid/KeySpan personnel who will remove the Coal Tar Wrap as per National Grid/KeySpan procedures. This work by National Grid/KeySpan personnel shall be performed in a timely fashion and shall not unduly impede the Contractor's progress and/or productivity. Upon completion of the coating removal, the Contractor shall be allowed to cut, or grind the gas facility and remove the section of abandoned pipe. The Contractor at a site designated by the Contractor shall stockpile the removed pipe. The facility operator will be responsible to provide trucking and disposal services with its own personnel and shall remove the stockpiled pipes during off hours or during such time as agreed to by the Contractor. Since the pipe removed will remain the property of the facility operator and is to be disposed of by the facility operator, the facility operator shall be responsible for any required notifications, filings, dump charges and incidentals associated with the disposal of abandoned gas facilities found to contain Coal Tar Wrap.

### **4. Method Of Measurement:**

Abandoned gas pipeline removal shall be measured for payment per linear foot of pipe and appurtenances removed.

### **5. Price To Cover:**

The price shall cover all additional cost of supervision, labor, materials, equipment and insurance necessary to complete this work in accordance with the contract plans and specifications, including excavation by hand around and under other City and facility operator owned properties and, where necessary, the support and protection of such properties. The cost shall also include hand excavation in the area(s) of proposed abandoned pipe cut(s), and/or cutting of abandoned gas pipes and stockpile of removed sections of abandoned pipe and associated maintenance and protection of traffic, blocking and temporary fencing if required. The unit price shall also cover sealing open ends remaining in the excavation with concrete or end caps (end caps to be provided by the facility operator) and backfilling of the area where the abandoned pipeline has been removed with clean backfill material. This item does not include any type of extra excavation, backfilling, compaction, pavement removal and/or restoration (temporary and permanent) associated with abandoned pipe removal ("lost trench"), all of which are covered under separate Section 300. The price shall also include allowance for any loss of productivity by the Contractor due to required facility operator work to remove pipe coating and prepare pipe for cutting as well as any change in Contractor's excavation method, additional trucking and/or stockpiling costs.

**END OF JB-PAGES SECTION C  
(NO FURTHER TEXT ON THIS PAGE)**

# **SECTION D. PRIVATE UTILITIES PARTICIPATING LIST**

---

---

**PRIVATE UTILITIES PARTICIPATING LIST**

<b>COMPANY NAME</b>	<b>CONTACT NAME</b>	<b>CONTACT TELEPHONE</b>	<b>E-MAIL</b>
CONSOLIDATED EDISON	DENNIS BRADY	917-608-3435	BradyD@coned.com
ECS / VERIZON	AUBREY MAKHANLALL	516-758-3705	aubrey.n.makhanlall@verizon.com
NATIONAL GRID	NEVILLE JACOBS JR.	718-963-5612	neville.jacobs@nationalgrid.com
ALTICE / CABLE VISION	AL CLARK	718-861-7382	<a href="mailto:al.clark@alticeusa.com">al.clark@alticeusa.com</a>

**END OF JB-PAGES SECTION D  
(NO FURTHER TEXT ON THIS PAGE)**

# **SECTION E. PRIVATE UTILITIES SCOPE OF WORK**

---

---

**JOINT BID WORKSHEET**  
**ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE**  
**FOR CONSOLIDATED EDISON COMPANY OF NEW YORK**  
**HWCSCHPKR**  
**SAFE ROUTES TO SCHOOL IMPROVEMENTS**  
**BOROUGH OF BROOKLYN/SI**

JOINT BID ITEM NUMBER	DESCRIPTION	UNITS	ESTIMATED QUANTITY
JB 100.1	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION (TYPE .1)	EA	9
JB 100.2	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION (TYPE .2)	EA	4
JB 100.3	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION (TYPE .3)	EA	8
JB 100.4	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION (TYPE .4)	EA	4
JB 108.1	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .1)	EA	2
JB 108.2	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .2)	EA	2
JB 108.3	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .3)	EA	3
JB 109.3	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .3)	EA	1
JB 109.4	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .4)	EA	1
JB 225.2A	INSTALLATION OF CATCH BASINS WITH UTILITY INTERFERENCES	EA	14
JB 225.2B	INSTALLATION OF CATCH BASINS WITH UTILITY INTERFERENCES AT AN ADDITIONAL DEPTH OF UP TO 3 FEET	EA	17
JB 225.3A	REMOVAL OF CATCH BASINS WITH UTILITY INTERFERENCES	EA	18
JB 300.1	SPECIAL CARE EXCAVATION AND BACKFILLING IN TRENCH LESS THAN 5' DEEP	CY	4
JB 300.2	SPECIAL CARE EXCAVATION AND BACKFILLING IN TRENCH GREATER THAN 5' DEEP	CY	16
JB 301	SPECIAL CARE EXCAVATION AND BACKFILLING FOR OIL-O-STATIC PIPE	CY	23
JB 303	FURNISH, DELIVER AND INSTALL TYPE 3/8 CLEAN SAND BACKFILL	CY	13
JB 351	INSTALL AND REMOVE "A" FRAME ON UTILITY POLES	EA	5



**JOINT BID WORKSHEET**  
**ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE**  
**FOR CONSOLIDATED EDISON COMPANY OF NEW YORK**  
**HWCSCHPKR**  
**SAFE ROUTES TO SCHOOL IMPROVEMENTS**  
**BOROUGH OF BROOKLYN/SI**

JOINT BID ITEM NUMBER	DESCRIPTION	UNITS	ESTIMATED QUANTITY
JB 400	TEST PITS FOR UTILITY FACILITIES	CY	30
JB 401	TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITY FACILITIES	CY	181
JB 402.1	EXISTING CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT	LF	1,250
JB 403	PLACING STEEL PROTECTION PLATES FOR UTILITY FACILITIES	SF	144
JB 450.1	CONSTRUCTION FIELD SUPPORT - SURVEY CREW (TYPE .1)	CRHRS	8
JB 450.2	CONSTRUCTION FIELD SUPPORT - SMALL SIZE CREW (TYPE .2)	CRHRS	8
JB 450.3	CONSTRUCTION FIELD SUPPORT - MEDIUM SIZE CREW (TYPE .3)	CRHRS	8
JB 636 ED	ADJUSTMENT OF UTILITY HARDWARE (30" TO UNDER 34" WIDTH)	EA	3
JB 636 EE	ADJUSTMENT OF UTILITY HARDWARE (34" TO UNDER 41" WIDTH)	EA	4
JB 636 EH	ADJUSTMENT OF UTILITY HARDWARE (75" TO UNDER 125" WIDTH)	EA	2
JB 636 MD	MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE (30" TO UNDER 34" WIDTH)	EA	3
JB 636 ME	MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE (34" TO UNDER 41" WIDTH)	EA	20
JB 636 MH	MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE (75" TO UNDER 125" WIDTH)	EA	5
JB 700	SPECIAL MODIFICATION OF WORK METHODS TO ACCOMMODATE/PROTECT UNDERGROUND FACILITIES WITH LIMITED COVER	CY	107
JB 802A	SPECIAL CARE EXCAVATION AND RESTORATION FOR SIDEWALK WORK	SF	3,426
JB 802B	SPECIAL CARE EXCAVATION AND RESOTRATION FOR CURB WORK	LF	424

**CON EDISON JOINT BIDDING SCOPE OF WORK  
SUPPORT AND PROTECTION  
HWCSCHPKR  
SAFE ROUTES TO SCHOOL IMPROVEMENTS  
BOROUGH OF BROOKLYN/SI**

---

**JB 100.1**      **UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION (TYPE .1)**      **EA**

*At the following locations:*

Winthrop Street and East 51st Street  
 Winthrop Street and East 53rd Street  
 Remsen Avenue and Clarkson Avenue  
 Pitkin Avenue and Bristol Street  
 Wallabout Street and Lynch Street and Bedford Avenue  
 Wallabout Street and Lorimer Street and Lee Avenue  
 Wallabout Street and Lorimer Street and Lee Avenue  
 Marcy Avenue and Division Avenue

**Total Quantity for JB 100.1      =    9**

**JB 100.2**      **UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION (TYPE .2)**      **EA**

*At the following locations:*

Winthrop Street and East 51st Street  
 Winthrop Street and East 53rd Street  
 7th Avenue and 44th Street  
 Pitkin Avenue and Eastern Parkway

**Total Quantity for JB 100.2      =    4**

**JB 100.3**      **UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION (TYPE .3)**      **EA**

*At the following locations:*

Winthrop Street and East 51st Street  
 Winthrop Street and East 53rd Street  
 7th Avenue and 60th Street  
 7th Avenue and 44th Street  
 Sutter Avenue and Howard Avenue  
 Wallabout Street and Lorimer Street and Lee Avenue  
 Division Avenue and WilliamSBurg Street W.

**Total Quantity for JB 100.3      =    8**

**CON EDISON JOINT BIDDING SCOPE OF WORK  
SUPPORT AND PROTECTION  
HWCSCHPKR  
SAFE ROUTES TO SCHOOL IMPROVEMENTS  
BOROUGH OF BROOKLYN/SI**

---

<b>JB 100.4</b>	<b>UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION (TYPE .4)</b>	<b>EA</b>
	<i>At the following locations:</i>	
	Wallabout Street and Lynch Street and Bedford Avenue	
	Wallabout Street and Lorimer Street and Lee Avenue	
	Harrison Avenue and Lorimer Street	
	<b>Total Quantity for JB 100.4</b>	<b>= 4</b>
<b>JB 108.1</b>	<b>UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .1)</b>	<b>EA</b>
	<i>At the following locations:</i>	
	6th Avenue and 59th Street	
	Wallabout Street and Lorimer Street and Lee Avenue	
	<b>Total Quantity for JB 108.1</b>	<b>= 2</b>
<b>JB 108.2</b>	<b>UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .2)</b>	<b>EA</b>
	<i>At the following locations:</i>	
	Winthrop Street and East 53rd Street	
	Pitkin Avenue and Bristol Street	
	<b>Total Quantity for JB 108.2</b>	<b>= 2</b>
<b>JB 108.3</b>	<b>UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .3)</b>	<b>EA</b>
	<i>At the following locations:</i>	
	6th Avenue and 59th Street	
	Pitkin Avenue and Bristol Street	
	Wallabout Street and Lorimer Street and Lee Avenue	
	<b>Total Quantity for JB 108.3</b>	<b>= 3</b>
<b>JB 109.3</b>	<b>UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .3)</b>	<b>EA</b>
	<i>At the following locations:</i>	
	7th Avenue and 44th Street	
	<b>Total Quantity for JB 109.3</b>	<b>= 1</b>

**CON EDISON JOINT BIDDING SCOPE OF WORK  
SUPPORT AND PROTECTION  
HWCSCHPKR  
SAFE ROUTES TO SCHOOL IMPROVEMENTS  
BOROUGH OF BROOKLYN/SI**

---

**JB 109.4** UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .4) EA

*At the following locations:*

Harrison Avenue and Lorimer Street

**Total Quantity for JB 109.4 = 1**

**JB 225.2A** INSTALLATION OF CATCH BASINS WITH UTILITY INTERFERENCES EA

*At the following locations:*

Pitkin Avenue and Bristol Street

Pitkin Avenue and Eastern Parkway

Sutter Avenue and Howard Avenue

Wallabout Street and Lynch Street and Bedford Avenue

Wallabout Street and Lynch Street and Bedford Avenue

Wallabout Street and Lorimer Street and Lee Avenue

Wallabout Street and Lorimer Street and Lee Avenue

Marcy Avenue and Division Avenue

Division Avenue and WilliamSBurg Street W.

**Total Quantity for JB 225.2A = 14**

**CON EDISON JOINT BIDDING SCOPE OF WORK  
SUPPORT AND PROTECTION  
HWCSCHPKR  
SAFE ROUTES TO SCHOOL IMPROVEMENTS  
BOROUGH OF BROOKLYN/SI**

---

**JB 225.2B**      **INSTALLATION OF CATCH BASINS WITH UTILITY INTERFERENCES AT AN ADDITIONAL DEPTH OF UP TO 3 FEET**      **EA**

*At the following locations:*

- Winthrop Street and East 51st Street
- Winthrop Street and East 53rd Street
- 7th Avenue and 60th Street
- 6th Avenue and 59th Street
- 7th Avenue and 44th Street
- Pitkin Avenue and Bristol Street
- Sutter Avenue and Howard Avenue
- Wallabout Street and Lynch Street and Bedford Avenue
- Wallabout Street and Lorimer Street and Lee Avenue
- Wallabout Street and Lorimer Street and Lee Avenue
- Wallabout Street and Lorimer Street and Lee Avenue
- Wallabout Street and Lorimer Street and Lee Avenue
- Division Avenue and WilliamSBurg Street W.
- Union Avenue and Harrison Avenue
- Harrison Avenue and Lorimer Street

**Total Quantity for JB 225.2B      =      17**

**CON EDISON JOINT BIDDING SCOPE OF WORK  
SUPPORT AND PROTECTION  
HWCSCHPKR  
SAFE ROUTES TO SCHOOL IMPROVEMENTS  
BOROUGH OF BROOKLYN/SI**

---

**JB 225.3A      REMOVAL OF CATCH BASINS WITH UTILITY INTERFERENCES      EA**

*At the following locations:*

Winthrop Street and East 53rd Street  
Remsen Avenue and Clarkson Avenue  
7th Avenue and 44th Street  
Pitkin Avenue and Bristol Street  
Sutter Avenue and Howard Avenue  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lorimer Street and Lee Avenue  
Wallabout Street and Lorimer Street and Lee Avenue  
Wallabout Street and Lorimer Street and Lee Avenue  
Marcy Avenue and Division Avenue  
Division Avenue and WilliamSBurg Street W.  
Union Avenue and Harrison Avenue  
Harrison Avenue and Lorimer Street

**Total Quantity for JB 225.3A      =      18**

**JB 300.1      SPECIAL CARE EXCAVATION AND BACKFILLING IN TRENCH LESS THAN 5' DEEP      CY**

*At the following locations:*

Wallabout Street and Lynch Street and Bedford Avenue

**Total Quantity for JB 300.1      =      4**

**JB 300.2      SPECIAL CARE EXCAVATION AND BACKFILLING IN TRENCH GREATER THAN 5' DEEP      CY**

*At the following locations:*

Wallabout Street and Lynch Street and Bedford Avenue  
Marcy Avenue and Division Avenue

**Total Quantity for JB 300.2      =      16**

**CON EDISON JOINT BIDDING SCOPE OF WORK  
SUPPORT AND PROTECTION  
HWCSCHPKR  
SAFE ROUTES TO SCHOOL IMPROVEMENTS  
BOROUGH OF BROOKLYN/SI**

---

**JB 301**                    **SPECIAL CARE EXCAVATION AND BACKFILLING FOR OIL-O-STATIC PIPE**                    **CY**

*At the following locations:*

Pitkin Avenue and Bristol Street  
Division Avenue and WilliamSBurg Street W.

**Total Quantity for JB 301**                    =    **23**

**JB 303**                    **FURNISH, DELIVER AND INSTALL TYPE 3/8 CLEAN SAND BACKFILL**                    **CY**

*At the following locations:*

Pitkin Avenue and Bristol Street  
Division Avenue and WilliamSBurg Street W.

**Total Quantity for JB 303**                    =    **13**

**JB 351**                    **INSTALL AND REMOVE "A" FRAME ON UTILITY POLES**                    **EA**

*At the following locations:*

Winthrop Street and East 51st Street  
Winthrop Street and East 53rd Street  
Remsen Avenue and Clarkson Avenue  
Hylan Boulevard and Burbank Avenue

**Total Quantity for JB 351**                    =    **5**

**JB 400**                    **TEST PITS FOR UTILITY FACILITIES**                    **CY**

*At the following locations:*

Various Locations

**Total Quantity for JB 400**                    =    **30**

**CON EDISON JOINT BIDDING SCOPE OF WORK  
SUPPORT AND PROTECTION  
HWCSCHPKR  
SAFE ROUTES TO SCHOOL IMPROVEMENTS  
BOROUGH OF BROOKLYN/SI**

---

**JB 401**                      **TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITY FACILITIES**                      **CY**

*At the following locations:*

Winthrop Street and East 53rd Street  
Remsen Avenue and Clarkson Avenue  
7th Avenue and 60th Street  
6th Avenue and 59th Street  
7th Avenue and 44th Street  
Pitkin Avenue and Bristol Street  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lorimer Street and Lee Avenue  
Marcy Avenue and Division Avenue  
Division Avenue and WilliamSBurg Street W.  
Union Avenue and Lorimer Street  
Harrison Avenue and Lorimer Street

**Total Quantity for JB 401                      =                      181**

**JB 402.1**                      **EXISTING CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT**                      **LF**

*At the following locations:*

Winthrop Street and East 53rd Street  
Remsen Avenue and Clarkson Avenue  
7th Avenue and 60th Street  
6th Avenue and 59th Street  
7th Avenue and 44th Street  
Pitkin Avenue and Bristol Street  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lorimer Street and Lee Avenue  
Marcy Avenue and Division Avenue  
Division Avenue and WilliamSBurg Street W.  
Union Avenue and Lorimer Street  
Harrison Avenue and Lorimer Street

**Total Quantity for JB 402.1                      =                      1,250**



**CON EDISON JOINT BIDDING SCOPE OF WORK  
SUPPORT AND PROTECTION  
HWCSCHPKR  
SAFE ROUTES TO SCHOOL IMPROVEMENTS  
BOROUGH OF BROOKLYN/SI**

---

<b>JB 403</b>	<b>PLACING STEEL PROTECTION PLATES FOR UTILITY FACILITIES</b>	<b>SF</b>
	<i>At the following locations:</i>	
	Winthrop Street and East 53rd Street	
	Remsen Avenue and Clarkson Avenue	
	7th Avenue and 60th Street	
	<b>Total Quantity for JB 403</b>	<b>= 144</b>
<b>JB 450.1</b>	<b>CONSTRUCTION FIELD SUPPORT - SURVEY CREW (TYPE .1)</b>	<b>CRHRS</b>
	<i>At the following locations:</i>	
	Various Locations	
	<b>Total Quantity for JB 450.1</b>	<b>= 8</b>
<b>JB 450.2</b>	<b>CONSTRUCTION FIELD SUPPORT - SMALL SIZE CREW (TYPE .2)</b>	<b>CRHRS</b>
	<i>At the following locations:</i>	
	Various Locations	
	<b>Total Quantity for JB 450.2</b>	<b>= 8</b>
<b>JB 450.3</b>	<b>CONSTRUCTION FIELD SUPPORT - MEDIUM SIZE CREW (TYPE .3)</b>	<b>CRHRS</b>
	<i>At the following locations:</i>	
	Various Locations	
	<b>Total Quantity for JB 450.3</b>	<b>= 8</b>
<b>JB 636 ED</b>	<b>ADJUSTMENT OF UTILITY HARDWARE (30" TO UNDER 34" WIDTH)</b>	<b>EA</b>
	<i>At the following locations:</i>	
	7th Avenue and 60th Street	
	6th Avenue and 59th Street	
	7th Avenue and 44th Street	
	<b>Total Quantity for JB 636 ED</b>	<b>= 3</b>

**CON EDISON JOINT BIDDING SCOPE OF WORK  
SUPPORT AND PROTECTION  
HWCSCHPKR  
SAFE ROUTES TO SCHOOL IMPROVEMENTS  
BOROUGH OF BROOKLYN/SI**

---

**JB 636 EE**      **ADJUSTMENT OF UTILITY HARDWARE (34" TO UNDER 41" WIDTH)**      **EA**

*At the following locations:*

7th Avenue and 60th Street  
Pitkin Avenue and Eastern Parkway  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lynch Street and Bedford Avenue

**Total Quantity for JB 636 EE = 4**

**JB 636 EH**      **ADJUSTMENT OF UTILITY HARDWARE (75" TO UNDER 125" WIDTH)**      **EA**

*At the following locations:*

Pitkin Avenue and Eastern Parkway  
Sutter Avenue and Howard Avenue

**Total Quantity for JB 636 EH = 2**

**JB 636 MD**      **MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE (30" TO UNDER 34" WIDTH)**      **EA**

*At the following locations:*

7th Avenue and 60th Street  
6th Avenue and 59th Street  
7th Avenue and 44th Street

**Total Quantity for JB 636 MD = 3**

**CON EDISON JOINT BIDDING SCOPE OF WORK  
SUPPORT AND PROTECTION  
HWCSCHPKR  
SAFE ROUTES TO SCHOOL IMPROVEMENTS  
BOROUGH OF BROOKLYN/SI**

---

**JB 636 ME      MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE (34" TO UNDER 41" WIDTH)      EA**

*At the following locations:*

7th Avenue and 60th Street  
6th Avenue and 44th Street  
Pitkin Avenue and Bristol Street  
Pitkin Avenue and Eastern Parkway  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lorimer Street and Lee Avenue  
Wallabout Street and Lorimer Street and Lee Avenue  
Marcy Avenue and Division Avenue  
Division Avenue and WilliamSBurg Street W.

**Total Quantity for JB 636 ME      =      20**

**JB 636 MH      MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE (75" TO UNDER 125" WIDTH)      EA**

*At the following locations:*

Pitkin Avenue and Bristol Street  
Pitkin Avenue and Eastern Parkway  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lorimer Street and Lee Avenue  
Division Avenue and WilliamSBurg Street W.

**Total Quantity for JB 636 MH      =      5**

**JB 700      SPECIAL MODIFICATION OF WORK METHODS TO ACCOMMODATE/PROTECT UNDERGROUND FACILITIES WITH LIMITED COVER      CY**

*At the following locations:*

7th Avenue and 60th Street  
60th Street Btw 7th Avenue and 8th Avenue  
AS SHOWN ON CONTRACT DRAWINGS AND DIRECTED BY A CON EDISON REP.

**Total Quantity for JB 700      =      107**

**CON EDISON JOINT BIDDING SCOPE OF WORK  
SUPPORT AND PROTECTION  
HWCSCHPKR  
SAFE ROUTES TO SCHOOL IMPROVEMENTS  
BOROUGH OF BROOKLYN/SI**

---

**JB 802A****SPECIAL CARE EXCAVATION AND RESTORATION FOR SIDEWALK WORK****SF**

*At the following locations:*

Winthrop Street and East 51st Street  
Winthrop Street and East 53rd Street  
Remsen Avenue and Clarkson Avenue  
7th Avenue and 60th Street  
6th Avenue and 59th Street  
6th Avenue and 44th Street  
7th Avenue and 44th Street  
Pitkin Avenue and Bristol Street  
Pitkin Avenue and Eastern Parkway  
Sutter Avenue and Howard Avenue  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lorimer Street and Lee Avenue  
Marcy Avenue and Division Avenue  
Harrison Avenue and Lorimer Street  
Hylan Boulevard and Burbank Avenue

**Total Quantity for JB 802A = 3,426**

**CON EDISON JOINT BIDDING SCOPE OF WORK  
SUPPORT AND PROTECTION  
HWCSCHPKR  
SAFE ROUTES TO SCHOOL IMPROVEMENTS  
BOROUGH OF BROOKLYN/SI**

---

**JB 802B**

**SPECIAL CARE EXCAVATION AND RESOTRATION FOR CURB WORK**

**LF**

*At the following locations:*

Winthrop Street and East 51st Street  
Winthrop Street and East 53rd Street  
Remsen Avenue and Clarkson Avenue  
7th Avenue and 60th Street  
6th Avenue and 59th Street  
6th Avenue and 44th Street  
7th Avenue and 44th Street  
Pitkin Avenue and Bristol Street  
Pitkin Avenue and Eastern Parkway  
Sutter Avenue and Howard Avenue  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lorimer Street and Lee Avenue  
Marcy Avenue and Division Avenue  
Harrison Avenue and Lorimer Street

**Total Quantity for JB 802B = 424**

**CON EDISON CONTRACT INCLUSION ANALYSIS**  
**CITY BID ITEMS ESTIMATED QUANTITIES**  
**HWCSCHPKR**  
**SAFE ROUTES TO SCHOOL IMPROVEMENTS**  
**BOROUGH OF BROOKLYN/SI**

CITY BID ITEM NUMBER	DESCRIPTION	UNIT	TOTAL QUANTITY
4.02 AG	ASPHALTIC CONCRETE WEARING COURSE, 3" THICK	SY	223
4.02 CB	ASPHALTIC CONCRETE MIXTURE	TONS	13
4.04 H	CONCRETE BASE PAVEMENT, VARIABLE THICKNESS FOR TRENCH RESTORATION (HIGH EARLY STRENGTH)	CY	21
6.55	SAWCUTTING EXISTING PAVEMENT	LF	218
6.91	REFLECTIVE CRACKING MEMBRANE (18" WIDE)	LF	900

**CON EDISON JOINT BIDDING SCOPE OF WORK  
CITY BID ITEMS FOR INCLUSION IN  
HWCSCHPKR  
SAFE ROUTES TO SCHOOL IMPROVEMENTS  
BOROUGH OF BROOKLYN/SI**

---

**4.02 AG ASPHALTIC CONCRETE WEARING COURSE, 3" THICK SY**

*At the following locations:*

Winthrop Street and East 53rd Street  
Remsen Avenue and Clarkson Avenue  
7th Avenue and 60th Street  
6th Avenue and 59th Street  
7th Avenue and 44th Street  
Pitkin Avenue and Bristol Street  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lorimer Street and Lee Avenue  
Marcy Avenue and Division Avenue  
Union Avenue and Harrison Avenue

**Total Quantity for 4.02 AG = 223**

**4.02 CB ASPHALTIC CONCRETE MIXTURE TONS**

*At the following locations:*

Winthrop Street and East 53rd Street  
Remsen Avenue and Clarkson Avenue  
7th Avenue and 60th Street  
6th Avenue and 59th Street  
7th Avenue and 44th Street  
Pitkin Avenue and Bristol Street  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lorimer Street and Lee Avenue  
Marcy Avenue and Division Avenue  
Union Avenue and Harrison Avenue

**Total Quantity for 4.02 CB = 13**

**CON EDISON JOINT BIDDING SCOPE OF WORK  
CITY BID ITEMS FOR INCLUSION IN  
HWCSCHPKR  
SAFE ROUTES TO SCHOOL IMPROVEMENTS  
BOROUGH OF BROOKLYN/SI**

---

**4.04 H**      **CONCRETE BASE PAVEMENT, VARIABLE THICKNESS FOR TRENCH RESTORATION (HIGH EARLY STRENGTH)**      **CY**

*At the following locations:*

Winthrop Street and East 53rd Street  
Remsen Avenue and Clarkson Avenue  
7th Avenue and 60th Street  
6th Avenue and 59th Street  
7th Avenue and 44th Street  
Pitkin Avenue and Bristol Street  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lorimer Street and Lee Avenue  
Marcy Avenue and Division Avenue  
Union Avenue and Harrison Avenue

**Total Quantity for 4.04 H = 21**

**6.55**      **SAWCUTTING EXISTING PAVEMENT**      **LF**

*At the following locations:*

Winthrop Street and East 53rd Street  
7th Avenue and 44th Street  
Pitkin Avenue and Bristol Street

**Total Quantity for 6.55 = 218**

**6.91**      **REFLECTIVE CRACKING MEMBRANE (18" WIDE)**      **LF**

*At the following locations:*

Winthrop Street and East 53rd Street  
Remsen Avenue and Clarkson Avenue  
7th Avenue and 60th Street  
6th Avenue and 59th Street  
7th Avenue and 44th Street  
Pitkin Avenue and Bristol Street  
Wallabout Street and Lynch Street and Bedford Avenue  
Wallabout Street and Lorimer Street and Lee Avenue  
Marcy Avenue and Division Avenue  
Union Avenue and Harrison Avenue

**Total Quantity for 6.91 = 900**



**CONTRACT INCLUSION ANALYSIS  
CITY BID SPECIALTY ITEMS  
HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
BOROUGH OF BROOKLYN AND STATEN ISLAND**

SPECIFICATION NO.	DESCRIPTION	UNIT	QUANTITY
51.42S1SO	INCREMENTAL COST OF TYPE 3 CATCH BASIN WITH CURB PIECE IN LIEU OF TYPE 1 CATCH BASIN	EA	7

**CON EDISON JOINT BIDDING SCOPE OF WORK  
CITY BID SPECIALTY ITEMS  
HWCSCHPKR  
SAFE ROUTES TO SCHOOL IMPROVEMENTS BOROUGH  
OF BROOKLYN / SI**

---

**51.42S1SO      INCREMENTAL COST OF TYPE 3 CATCH BASIN WITH CURB PIECE IN LIEU OF TYPE 1 CATCH      EA**  
**BASIN**

*At the following locations:*

- 35' N/N/C 60th Street, On 7th Avenue
- 32' N/N/C 59th St., On 6th Ave.
- 27' W/W/C 7th Avenue, On 44th Street
- 20' E/E/C Bristol Street, On Pitkin Avenue
- 20' W/W/C Bristol Street, On Pitkin Avenue
- 20' W/W/C Howard Avenue, On Sutter Avenue
- 22' E/E/C Lee Avenue, On Wallabout Street

**Total Quantity for 51.42B1W = 7**

**HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
Boroughs of Brooklyn and Staten Island  
Schedule JB: Scope of Work for Joint Bid Items**

JB ITEM	DESCRIPTION	UNITS	ESTIMATED QUANTITY
JB 100.1	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION AND/OR TEST PIT (TYPE .1)	EACH	10
JB 100.2	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION AND/OR TEST PIT (TYPE .2)	EACH	10
JB 100.3	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION AND/OR TEST PIT (TYPE .3)	EACH	2
JB 108.1	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .1)	EACH	6
JB 108.2	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .2)	EACH	7
JB 108.3	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .3)	EACH	3
JB 109.1	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .1)	EACH	2
JB 109.2	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .2)	EACH	2
JB 200	EXTRA DEPTH EXCAVATION OF CATCH BASIN CHUTE CONNECTION PIPES	L.F.	41
JB 225.1A	INSTALLATION AND REMOVAL OF CATCH BASINS WITH UTILITY INTERFERENCES	EACH	1
JB 225.2A	INSTALLATION OF CATCH BASINS WITH UTILITY INTERFERENCES	EACH	11
JB 225.3A	REMOVAL OF CATCH BASINS WITH UTILITY INTERFERENCES	EACH	6
JB 300.1	SPECIAL CARE EXCAVATION AND BACKFILLING IN TRENCH LESS THAN 5' DEEP	C.Y.	10
JB 300.2	SPECIAL CARE EXCAVATION AND BACKFILLING IN TRENCH GREATER THAN 5' DEEP	C.Y.	15
JB 330T1	SUPPORT AND PROTECTION OF COMMUNICATION UTILITY FACILITIES DURING EXCAVATION OF CITY TRENCH WHEN PARALLELING COMMUNICATION FACILITIES LIE COMPLETELY IN THE PROPOSED CITY TRENCH	L.F.	115
JB 330T2.1	COMMUNICATIONS FACILITY OPERATOR(S) REQUESTS THE TRENCH BE WIDENED	L.F.	100
JB 330T2.2	COMMUNICATION FACILITY OPERATOR(S) REQUESTS THE TRENCH / SHEETING BE MODIFIED	L.F.	100

**HWCSCHPKR**  
**SAFE ROUTES TO SCHOOLS**  
**Boroughs of Brooklyn and Staten Island**  
**Schedule JB: Scope of Work for Joint Bid Items**

JB 351	INSTALL AND REMOVE "A" FRAME ON UTILITY POLES	EACH	3
JB 400	TEST PITS FOR UTILITY FACILITIES	C.Y.	60
JB 401	TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITY FACILITIES	C.Y.	84
JB 401AT	SPECIAL CARE PAVEMENT EXCAVATION FOR ADJUSTMENT OF TELECOMMUNICATION FACILITIES CONNECTED TO OR NEAR THE BASE PAVEMENT	C.Y.	12
JB 402T.1A	EXISTING CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	L.F.	250
JB 402T.2A	EXISTING NON-CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	L.F.	1212
JB 402T.V1A	EXISTING VACANT CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	L.F.	150
JB 402T.V2A	EXISTING VACANT NON-CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	L.F.	234
JB 402T.3	ACM REMOVAL AND DISPOSAL OF VERIZON/ECS CONDUITS WITH ASBESTOS CONTAINING MATERIAL TRANSITE PIPES (ACM-TP) UP TO AND INCLUDING 4" DIAMETER	L.F.	50
JB 403T.2	FURNISH AND INSTALL STEEL PROTECTION PLATES FOR UTILITES FACILITES (3/8" THICK)	S.F.	100
JB 406	EXCAVATION FOR UTILITY STRUCTURE	C.Y.	5
JB 450.3	CONSTRUCTION FIELD SUPPORT - MEDIUM SIZE CREW (TYPE .3)	CREW/HR	100
JB 500	REMOVAL OF ABANDONED UTILITY CONDUITS (NON-CONCRETE ENCASED)	L.F.	100
JB 636 EE	ADJUSTMENT OF UTILITY HARDWARE (34" TO UNDER 41" WIDTH)	EACH	8
JB 636 ME	MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE (34" TO UNDER 41" WIDTH)	EACH	10
JB 638 NT	INSTALLATION OF FIELD CONSTRUCTED TELEPHONE UTILITY STRUCTURE	C.Y.	5
JB 638 RT	BREAK OUT AND REMOVE UTILITY STRUCTURE CONTAINING ACTIVE CABLES	C.Y.	5

**HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
Boroughs of Brooklyn and Staten Island  
Schedule JB: Scope of Work for Joint Bid Items**

JB 700	SPECIAL MODIFICATION OF WORK METHODS TO ACCOMMODATE/PROTECT UNDERGROUND FACILITIES WITH LIMITED COVER	C.Y.	229
JB 711	USE SHEETING LINE AS FORM	L.F.	50
JB 799	MODIFICATION OF NON-CONCRETE YOKE TROLLEY STRUCTURES REMOVAL PARALLEL TO UTILITY FACILITIES	L.F.	50
JB 802A	SPECIAL CARE EXCAVATION AND RESTORATION FOR SIDEWALK WORK	S.F.	1025
JB 802B	SPECIAL CARE EXCAVATION AND RESOTRATION FOR CURB WORK	L.F.	77
JB 803.2	LINE CUT BY PNEUMATIC TOOLS IN LIEU OF SAW CUT ASSOCIATED WITH ROADWAY REMOVAL OPERATIONS (LINE CUT ANY COMBINATION OF ASPHALT AND CONCRETE ROADWAY)	L.F.	100

**HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
Boroughs of Brooklyn and Staten Island  
Schedule JB: Scope of Work for Joint Bid Items**

---

**JB 100.1**

**UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION AND/OR TEST PIT (TYPE .1)**

@ THE FOLLOWING LOCATIONS

	QTY(EACH)
NEC INT OF WINTHROP STREET & E 51ST STREET	1
SEC INT OF 6TH AVENUE & 59TH STREET	1
NEC INT OF PITKIN AND BRISTOL STREET	1
NWC INT OF SUTTER AVENUE & HOWARD AVENUE	1
NEC INT OF SUTTER AVENUE & HOWARD AVENUE	1
SEC INT OF LORIMER STREET & LEE AVENUE	1
NEC INT OF UNION AVENUE & LORIMER STREET	1
NWC INT OF UNION AVENUE & LORIMER STREET	1
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	2

**JB 100.1** **TOTAL** **10**

---

**JB 100.2**

**UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION AND/OR TEST PIT (TYPE .2)**

@ THE FOLLOWING LOCATIONS

	QTY(EACH)
NEC INT OF WINTHROP STREET & E 51ST STREET	1
NEC INT OF 44TH STREET & 7TH AVENUE	1
NEC INT OF PITKIN AVENUE & BRISTOL STREET	2
SWC INT OF SUTTER AVENUE & HOWARD AVENUE	2
NEC INT OF SUTTER AVENUE & HOWARD AVENUE	1
SEC INT OF WALLABOUT STREET & BEDFORD AVENUE	1
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	2

**JB 100.2** **TOTAL** **10**

---

**JB 100.3**

**UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION AND/OR TEST PIT (TYPE .3)**

@ THE FOLLOWING LOCATIONS

	QTY(EACH)
E SIDE OF HARRISON AVENUE BTWN LORIMER STRET & UNION AVENUE	1
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	1

**JB 100.3** **TOTAL** **2**

---

**JB 108.1**

**UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .1)**

@ THE FOLLOWING LOCATIONS

	QTY(EACH)
NWC PITKIN & AVENUE & BRISTOL STREET	1
SEC INT OF 6TH AVENUE & 59TH STREET	1
INT OF BRISTOL STREET & PITKIN AVENUE	1
N SIDE OF PITKIN AVENUE BTWN THOMAS S BOYLAND STREET & BRISTOL STREET	1

**HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
Boroughs of Brooklyn and Staten Island  
Schedule JB: Scope of Work for Joint Bid Items**

N SIDE INT OF WALLABOUT STREET & LEE AVENUE	1	
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	1	
<b>JB 108.1</b>	<b>TOTAL</b>	<b>6</b>

**JB 108.2**

**UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .2)**

@ THE FOLLOWING LOCATIONS

		QTY(EACH)
NEC INT OF PITKIN AVENUE & BRISTOL STREET		2
NWC INT OF PITKIN AVENUE & BRISTOL STREET		2
NEC INT OF WINTHROP STREET & E 53RD STREET		1
N SIDE INT OF WALLABOUT STREET & LEE AVENUE		1
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE		1

<b>JB 108.2</b>	<b>TOTAL</b>	<b>7</b>
-----------------	--------------	----------

**JB 108.3**

**UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .3)**

@ THE FOLLOWING LOCATIONS

		QTY(EACH)
N SIDE OF PITKIN AVENUE BTWN THOMAS S BOYLAND STREET & BRISTOL STREET		1
E SIDE OF HARRISON AVENUE BTWN LORIMER STRET & UNION AVENUE		1
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE		1

<b>JB 108.3</b>	<b>TOTAL</b>	<b>3</b>
-----------------	--------------	----------

**JB 109.1**

**UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .1)**

@ THE FOLLOWING LOCATIONS

		QTY(EACH)
N SIDE INT OF WALLABOUT STREET & LEE AVENUE		1
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE		1

<b>JB 109.1</b>	<b>TOTAL</b>	<b>2</b>
-----------------	--------------	----------

**JB 109.2**

**UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .2)**

@ THE FOLLOWING LOCATIONS

		QTY(EACH)
N SIDE INT OF WALLABOUT STREET & LEE AVENUE		1
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE		1

<b>JB 109.2</b>	<b>TOTAL</b>	<b>2</b>
-----------------	--------------	----------

**HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
Boroughs of Brooklyn and Staten Island  
Schedule JB: Scope of Work for Joint Bid Items**

**JB 200**

**EXTRA DEPTH EXCAVATION OF CATCH BASIN CHUTE CONNECTION PIPES**

@ THE FOLLOWING LOCATIONS

	QTY(L.F.)
NEC INT OF 7TH AVENUE & 44TH STREET	20
SWC INT OF SUTTER AVENUE & HOWARD AVENUE	14
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	7

<b>JB 200</b>	<b>TOTAL</b>	<b>41</b>
---------------	--------------	-----------

---

**JB 225.1A**

**INSTALLATION AND REMOVAL OF CATCH BASINS WITH UTILITY INTERFERENCES**

@ THE FOLLOWING LOCATIONS

	QTY(EACH)
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	1

<b>JB 225.1A</b>	<b>TOTAL</b>	<b>1</b>
------------------	--------------	----------

---

**JB 225.2A**

**INSTALLATION OF CATCH BASINS WITH UTILITY INTERFERENCES**

@ THE FOLLOWING LOCATIONS

	QTY(EACH)
NEC INT OF WINTHROP STREET & E 51ST STREET	1
NEC INT OF PITKIN AVENUE & BRISTOL STREET	1
NWC INT OF PITKIN AVENUE & BRISTOL STREET	1
NWC INT OF SUTTER AVENUE & HOWARD AVENUE	1
S SIDE OF LYNCH STREET BTWN WALLABOUT STREET & BEDFORD AVENUE	1
NEC INT OF WALLABOUT STREET & LEE AVENUE	1
SEC INT OF WALLABOUT STREET & LEE AVENUE	1
E SIDE OF HARRISON AVENUE BTWN LORIMER STRET & UNION AVENUE	1
NWC INT OF UNION AVENUE & LORIMER STREET	1
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	2

<b>JB 225.2A</b>	<b>TOTAL</b>	<b>11</b>
------------------	--------------	-----------

---

**JB 225.3A**

**REMOVAL OF CATCH BASINS WITH UTILITY INTERFERENCES**

@ THE FOLLOWING LOCATIONS

	QTY(EACH)
NEC INT OF WINTHROP STREET & E 51ST STREET	1
NEC INT OF PITKIN AVENUE & BRISTOL STREET	1
NWC INT OF PITKIN AVENUE & BRISTOL STREET	1
SEC INT OF WALLABOUT STREET & LEE AVENUE	1



**HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
Boroughs of Brooklyn and Staten Island  
Schedule JB: Scope of Work for Joint Bid Items**

NEC INT OF HARRISON AVENUE & LORIMER STREET		1
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE		1
<b>JB 225.3A</b>	<b>TOTAL</b>	<b>6</b>

**JB 300.1**

**SPECIAL CARE EXCAVATION AND BACKFILLING IN TRENCH LESS THAN 5' DEEP**

@ THE FOLLOWING LOCATIONS

		QTY(C.Y.)
NEC INT OF WINTHROP STREET & E 53RD STREET		6
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE		4

<b>JB 300.1</b>	<b>TOTAL</b>	<b>10</b>
-----------------	--------------	-----------

**JB 300.2**

**SPECIAL CARE EXCAVATION AND BACKFILLING IN TRENCH GREATER THAN 5' DEEP**

@ THE FOLLOWING LOCATIONS

		QTY(C.Y.)
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE		15

<b>JB 300.2</b>	<b>TOTAL</b>	<b>15</b>
-----------------	--------------	-----------

**JB 330T1**

**SUPPORT AND PROTECTION OF COMMUNICATION UTILITY FACILITIES DURING EXCAVATION OF CITY TRENCH WHEN PARALLELING COMMUNICATION FACILITIES LIE COMPLETELY IN THE PROPOSED CITY TRENCH**

@ THE FOLLOWING LOCATIONS

		QTY(L.F.)
N SIDE INT OF PITKIN AVENUE & BRISTOL STREET		15
NEC INT OF WALLABOUT STREET & LEE AVENUE		10
N SIDE INT OF WALLABOUT STREET & LEE AVENUE		25
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE		65

<b>JB 330T1</b>	<b>TOTAL</b>	<b>115</b>
-----------------	--------------	------------

**JB 330T2.1**

**COMMUNICATIONS FACILITY OPERATOR(S) REQUESTS THE TRENCH BE WIDENED**

@ THE FOLLOWING LOCATIONS

		QTY(L.F.)
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE		100

<b>JB 330T2.1</b>	<b>TOTAL</b>	<b>100</b>
-------------------	--------------	------------

**HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
Boroughs of Brooklyn and Staten Island  
Schedule JB: Scope of Work for Joint Bid Items**

**JB 330T2.2**

**COMMUNICATION FACILITY OPERATOR(S) REQUESTS THE TRENCH / SHEETING BE MODIFIED**

@ THE FOLLOWING LOCATIONS

QTY(L.F.)

AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE

100

**JB 330T2.2**

**TOTAL 100**

**JB 351**

**INSTALL AND REMOVE "A" FRAME ON UTILITY POLES**

@ THE FOLLOWING LOCATIONS

QTY(EACH)

AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE

3

**JB 351**

**TOTAL 3**

**JB 400**

**TEST PITS FOR UTILITY FACILITIES**

@ THE FOLLOWING LOCATIONS

QTY(C.Y.)

AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE

60

**JB 400**

**TOTAL 60**

**JB 401**

**TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITY FACILITIES**

@ THE FOLLOWING LOCATIONS

QTY(C.Y.)

NEC INT OF WINTHROP STREET & E 51ST STREET

10

SEC INT OF RALPH AVENUE & EASTERN PARKWAY

51

E SIDE OF HARRISON AVENUE BTWN LORIMER STREET & UNION AVENUE

9

AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE

14

**JB 401**

**TOTAL 84**

**JB 401AT**

**SPECIAL CARE PAVEMENT EXCAVATION FOR ADJUSTMENT OF TELECOMMUNICATION FACILITIES CONNECTED TO OR NEAR THE BASE PAVEMENT**

@ THE FOLLOWING LOCATIONS

QTY(C.Y.)

NWC INT OF PITKIN AVENUE & BRISTOL STREET

9

AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE

3

**JB 401AT**

**TOTAL 12**

**HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
Boroughs of Brooklyn and Staten Island  
Schedule JB: Scope of Work for Joint Bid Items**

**JB 402T.1A**

**EXISTING CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT**

@ THE FOLLOWING LOCATIONS

AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	QTY(L.F.) 250
---	------------------

<b>JB 402T.1A</b>	<b>TOTAL</b>	<b>250</b>
-------------------	--------------	------------

**JB 402T.2A**

**EXISTING NON-CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT**

@ THE FOLLOWING LOCATIONS

NEC INT OF WINTHROP STREET & E 51ST STREET	QTY(L.F.) 40
NWC INT OF BRISTOL STREET & PITKIN AVENUE	240
SEC INT OF RALPH AVENUE & EASTERN PARKWAY	650
E SIDE OF HARRISON AVENUE BTWN LORIMER STREET & UNION AVENUE	80
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	202

<b>JB 402T.2A</b>	<b>TOTAL</b>	<b>1212</b>
-------------------	--------------	-------------

**JB 402T.V1A**

**EXISTING VACANT CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT**

@ THE FOLLOWING LOCATIONS

AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	QTY(L.F.) 150
---	------------------

<b>JB 402T.V1A</b>	<b>TOTAL</b>	<b>150</b>
--------------------	--------------	------------

**JB 402T.V2A**

**EXISTING VACANT NON-CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT**

@ THE FOLLOWING LOCATIONS

SEC INT OF RALPH AVENUE & EASTERN PARKWAY	QTY(L.F.) 195
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	39

<b>JB 402T.V2A</b>	<b>TOTAL</b>	<b>234</b>
--------------------	--------------	------------

**JB 402T.3**

**ACM REMOVAL AND DISPOSAL OF VERIZON/ECS CONDUITS WITH ASBESTOS CONTAINING MATERIAL TRANSITE PIPES (ACM-TP) UP TO AND INCLUDING 4" DIAMETER**

@ THE FOLLOWING LOCATIONS

AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	L.F. 50
---	------------

<b>JB 402T.3</b>	<b>TOTAL</b>	<b>50</b>
------------------	--------------	-----------

**HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
Boroughs of Brooklyn and Staten Island  
Schedule JB: Scope of Work for Joint Bid Items**

**JB 403T.2**

**FURNISH AND INSTALL STEEL PROTECTION PLATES FOR UTILITES FACILITES (3/8" THICK)**

@ THE FOLLOWING LOCATIONS

AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	QTY(S.F.) 100
---	------------------

<b>JB 403T.2</b>	<b>TOTAL</b>	<b>100</b>
------------------	--------------	------------

**JB 406**

**EXCAVATION FOR UTILITY STRUCTURE**

@ THE FOLLOWING LOCATIONS

AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	QTY(C.Y.) 5
---	----------------

<b>JB 406</b>	<b>TOTAL</b>	<b>5</b>
---------------	--------------	----------

**JB 450.3**

**CONSTRUCTION FIELD SUPPORT - MEDIUM SIZE CREW (TYPE .3)**

@ THE FOLLOWING LOCATIONS

AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	QTY(CREW/HR) 100
---	---------------------

<b>JB 450.3</b>	<b>TOTAL</b>	<b>100</b>
-----------------	--------------	------------

**JB 500**

**REMOVAL OF ABANDONED UTILITY CONDUITS (NON-CONCRETE ENCASED)**

@ THE FOLLOWING LOCATIONS

AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	QTY(L.F.) 100
---	------------------

<b>JB 500</b>	<b>TOTAL</b>	<b>100</b>
---------------	--------------	------------

**JB 636 EE**

**ADJUSTMENT OF UTILITY HARDWARE (34" TO UNDER 41" WIDTH)**

@ THE FOLLOWING LOCATIONS

SWC INT OF BRISTOL STREET AND PITKIN AVENUE	QTY(EACH) 1
NEC INT OF WALLABOUT STREET & LEE AVENUE	1
NWC INT OF HARRISON AVENUE & LORIMER STREET	1
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	5

<b>JB 636 EE</b>	<b>TOTAL</b>	<b>8</b>
------------------	--------------	----------

**HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
Boroughs of Brooklyn and Staten Island  
Schedule JB: Scope of Work for Joint Bid Items**

**JB 636 ME**

**MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE (34" TO UNDER 41" WIDTH)**

@ THE FOLLOWING LOCATIONS

	QTY(EACH)
E SIDE INT OF 60TH STREET & 7TH AVENUE	1
N SIDE INT OF BRISTOL STREET AND PITKIN AVENUE	1
SEC INT OF EASTERN PARKWAY AND RALPH AVENUE	1
NEC INT OF EASTERN PARKWAY AND PITKIN AVENUE	1
E SIDE INT OF SUTTER AVENUE & HOWARD AVENUE	1
S SIDE INT OF SUTTER AVENUE & HOWARD AVENUE	1
S SIDE INT OF BEDFORD AVENUE & LYNCH STREET	1
NEC INT OF HARRISON AVENUE & LORIMER STREET	1
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	2

**JB 636 ME** **TOTAL** **10**

---

**JB 638 NT**

**INSTALLATION OF FIELD CONSTRUCTED TELEPHONE UTILITY STRUCTURE**

@ THE FOLLOWING LOCATIONS

	QTY(C.Y.)
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	5

**JB 638 NT** **TOTAL** **5**

---

**JB 638 RT**

**BREAK OUT AND REMOVE UTILITY STRUCTURE CONTAINING ACTIVE CABLES**

@ THE FOLLOWING LOCATIONS

	QTY(C.Y.)
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	5

**JB 638 RT** **TOTAL** **5**

---

**JB 700**

**SPECIAL MODIFICATION OF WORK METHODS TO ACCOMMODATE/PROTECT UNDERGROUND FACILITIES WITH LIMITED COVER**

@ THE FOLLOWING LOCATIONS

	QTY(C.Y.)
NWC INT OF PITKIN AVENUE & BRISTOL STREET	31
NEC INT OF PITKIN AVENUE & BRISTOL STREET	32
S SIDE OF LYNCH STREET BTWN WALLABOUT STREET & BEDFORD AVENUE	128
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	38

**JB 700** **TOTAL** **229**

---

**HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
Boroughs of Brooklyn and Staten Island  
Schedule JB: Scope of Work for Joint Bid Items**

**JB 711**

**USE SHEETING LINE AS FORM**

@ THE FOLLOWING LOCATIONS

AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	QTY(L.F.) 50
---	-----------------

<b>JB 711</b>	<b>TOTAL</b>	<b>50</b>
---------------	--------------	-----------

**JB 799**

**MODIFICATION OF NON-CONCRETE YOKE TROLLEY STRUCTURES REMOVAL PARALLEL TO UTILITY FACILITIES**

@ THE FOLLOWING LOCATIONS

AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	QTY(L.F.) 50
---	-----------------

<b>JB 799</b>	<b>TOTAL</b>	<b>50</b>
---------------	--------------	-----------

**JB 802A**

**SPECIAL CARE EXCAVATION AND RESTORATION FOR SIDEWALK WORK**

@ THE FOLLOWING LOCATIONS

S SIDE OF LYNCH STREET BTWN WALLABOUT STREET & BEDFORD AVENUE	QTY(S.F.) 25
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	1000

<b>JB 802A</b>	<b>TOTAL</b>	<b>1025</b>
----------------	--------------	-------------

**JB 802B**

**SPECIAL CARE EXCAVATION AND RESOTRATION FOR CURB WORK**

@ THE FOLLOWING LOCATIONS

S SIDE OF LYNCH STREET BTWN WALLABOUT STREET & BEDFORD AVENUE	QTY(L.F.) 7
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	70

<b>JB 802B</b>	<b>TOTAL</b>	<b>77</b>
----------------	--------------	-----------

**JB 803.2**

**LINE CUT BY PNEUMATIC TOOLS IN LIEU OF SAW CUT ASSOCIATED WITH ROADWAY REMOVAL OPERATIONS (LINE CUT ANY COMBINATION OF ASPHALT AND CONCRETE ROADWAY)**

@ THE FOLLOWING LOCATIONS

AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	QTY(L.F.) 100
---	------------------

<b>JB 803.2</b>	<b>TOTAL</b>	<b>100</b>
-----------------	--------------	------------

**Verizon**

**SEPTEMBER 2022**

**HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
Boroughs of Brooklyn and Staten Island  
Bid Item Accomodation Estimate**

ITEM	DESCRIPTION	UNITS	ESTIMATED QUANTITY
4.02 CB	ASPHALTIC CONCRETE MIXTURE	QTY(TON)	17
4.04 H	CONCRETE BASE FOR PAVEMENT, VARIABLE THICKNESS, FOR TRENCH RESTORATION (HIGH EARLY STRENGTH)	QTY(CY)	23

**HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
Boroughs of Brooklyn and Staten Island  
Bid Item Accommodation Scope**

---

<b>4.02 CB</b>		
<b>ASPHALTIC CONCRETE MIXTURE</b>		
@ THE FOLLOWING LOCATIONS		QTY(TON)
NEC INT OF WINTHROP STREET & E 51ST STREET		3
SEC INT OF RALPH AVENUE & EASTERN PARKWAY		8
E SIDE OF HARRISON AVENUE BTWN LORIMER STREET & UNION AVENUE		3
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE		3
<b>4.02 CB</b>	<b>TOTAL</b>	<b>17</b>

<b>4.04 H</b>		
<b>CONCRETE BASE FOR PAVEMENT, VARIABLE THICKNESS, FOR TRENCH RESTORATION (HIGH EARLY STRENGTH)</b>		
@ THE FOLLOWING LOCATIONS		QTY(CY)
NEC INT OF WINTHROP STREET & E 51ST STREET		4
SEC INT OF RALPH AVENUE & EASTERN PARKWAY		11
E SIDE OF HARRISON AVENUE BTWN LORIMER STREET & UNION AVENUE		4
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE		4
<b>4.04 H</b>	<b>TOTAL</b>	<b>23</b>



**VERIZON  
CONTRACT INCLUSION ANALYSIS  
CITY BID SPECIALTY ITEMS**

**HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
Boroughs of Brooklyn and Staten Island**

ITEM	DESCRIPTION	UNITS	ESTIMATED QUANTITY
51.42S1SO	INCREMENTAL COST OF STANDARD CATCH BASIN TYPE 3 WITH CURB PIECE IN LIEU OF STANDARD CATCH BASIN TYPE 1	QTY(EACH)	5
54.41P302	SPECIAL CATCH BASIN NO. 2, TYPE 3	QTY(EACH)	1

**VERIZON  
CONTRACT INCLUSION ANALYSIS  
CITY BID SPECIALTY ITEMS**

**HWCSCHPKR  
SAFE ROUTES TO SCHOOLS Boroughs of Brooklyn and  
Staten Island  
Bid Item Accommodation Scope**

---

<b>51.42S1SO</b>		
<b>INCREMENTAL COST OF STANDARD CATCH BASIN TYPE 3 WITH CURB PIECE IN LIEU OF STANDARD CATCH BASIN TYPE 1</b>		
@ THE FOLLOWING LOCATIONS		
	QTY(EACH)	
NWC INT OF BRISTOL STREET & PITKIN AVENUE	1	
NEC INT OF BRISTOL STREET & PITKIN AVENUE	1	
S SIDE OF LYNCH STREET BTWN WALLABOUT STREET & BEDFORD AVENUE	1	
NEC INT OF WINTHROP STREET & E 51ST STREET	1	
AS ENCOUNTERED & DIRECTED BY THE VERIZON FIELD REPRESENTATIVE	1	
<b>51.42S1SO</b>	<b>TOTAL</b>	<b>5</b>

---

<b>54.41P302</b>		
<b>SPECIAL CATCH BASIN NO. 2, TYPE 3</b>		
	QTY()	
NEC INT OF HARRISON AVENUE & LORIMER STREET	1	
<b>54.41P302</b>	<b>TOTAL</b>	<b>1</b>

---

**Support & Protection**  
**CONTRACT NO. HWCSCHPKR**  
**Reconstruction of Safe Routes to Schools**  
**Boroughs of Brooklyn & Staten Island**

JB Item Number	Description	Unit of Measure	Estimated Quantity
100.1	Utilities Crossing Trench For Cb Chute Connection &/Or Test Pits (Type .1)	EA	4
100.3	Utilities Crossing Trench For Cb Chute Connection &/Or Test Pits (Type .3)	EA	2
108.1	Utilities Crossing Trench For Water Main Up To & Incl. 12" Diameter (Type .1)	EA	1
200	Extra Depth Excavation Of Catch Basin Chute Connection Pipes	LF	185
225.1A	Installation And/Or Removal of Catch Basins with Utility Interference	CY	2
300A	Special Care Excavation & Backfilling for Transmission Mains (Transmission Main is Described as any Gas Main with a MAOP Greater Than 124-PSIG)	CY	75
300.1	Special Care Excavation & Backfilling in Trench Less Than 5' Deep	CY	120
400	Test Pits For Utility Facilities	CY	60
636EA	Adjustment Of Utility Hardware (Under 7" Width)	EA	15
636EC	Adjustment Of Utility Hardware (14" to 30" Width)	EA	2
710.1	Removal Of Abandoned Utility Steel/Cast Iron/Plastic Pipes, Up to And Including 12" Diameter Pipe	LF	1200
802A	Special Care Excavation and Restoration For Sidewalk Work	SF	6375
802B	Special Care Excavation and Restoration For Curb Work	LF	108

---

CONTRACT NO. HWCSCHPKR

**JB Item Nr. Description**

- 100.1 Utilities Crossing Trench For Cb Chute Connection &/Or Test Pits (Type .1)**  
2 @ Wallabout St & Bedford Ave  
1 @ Lorimer St & Harrison Ave  
1 @ Lorimer St & Lee Ave  
**Quantity - 4 EA**
- 100.3 Utilities Crossing Trench For Cb Chute Connection &/Or Test Pits (Type .3)**  
2 @ Howard Ave & Sutter Ave  
**Quantity - 2 EA**
- 108.1 Utilities Crossing Trench For Water Main Up To & Incl. 12" Diameter (Type .1)**  
1 @ Lee Ave & Wallabout St  
**Quantity - 1 EA**
- 200 Extra Depth Excavation Of Catch Basin Chute Connection Pipes**  
50 @ Lorimer St & Harrison Ave  
30 @ Wallabout St & Bedford Ave  
85 @ Howard Ave & Sutter Ave  
20 @ Lorimer St & Lee Ave  
**Quantity - 185 LF**
- 225.1A Installation And/Or Removal of Catch Basins with Utility Interference**  
1 @ Howard Ave & Sutter Ave  
1 @ Wallabout St & Bedford Ave  
**Quantity - 2 CY**
- 300.1 Special Care Excavation & Backfilling in Trench Less Than 5' Deep**  
120 @ AS ENCOUNTERED AND REQUIRED BY NATIONAL GRID  
**Quantity - 120 CY**
- 300A Special Care Excavation & Backfilling for Transmission Mains (Transmission Main is Described as any Gas Main with a MAOP Greater Than 124-PSIG)**  
75 @ AS ENCOUNTERED AND REQUIRED BY NATIONAL GRID  
**Quantity - 75 CY**
- 400 Test Pits For Utility Facilities**  
60 @ AS ENCOUNTERED AND REQUIRED BY NATIONAL GRID  
**Quantity - 60 CY**
- 636EA Adjustment Of Utility Hardware (Under 7" Width)**  
15 @ AS ENCOUNTERED AND REQUIRED BY NATIONAL GRID  
**Quantity - 15 EA**
- 636EC Adjustment Of Utility Hardware (14" to Under 30" Width)**  
2 @ AS ENCOUNTERED AND REQUIRED BY NATIONAL GRID  
**Quantity - 2 EA**

CONTRACT NO. HWCSCHPKR

- 710.1**      **Removal Of Abandoned Utility Steel/Cast Iron/Plastic Pipes, Up To And Including 12" Diameter Pipe**  
1200 @ AS ENCOUNTERED AND REQUIRED BY NATIONAL GRID  
**Quantity - 1200 LF**
  
- 802A**      **Special Care Excavation And Restoration For Sidewalk Work**  
6375 @ AS ENCOUNTERED AND REQUIRED BY NATIONAL GRID  
**Quantity - 6375 SF**
  
- 802B**      **Special Care Excavation And Restoration For Curb Work**  
108 @ AS ENCOUNTERED AND REQUIRED BY NATIONAL GRID  
**Quantity - 108 LF**

**NATIONAL GRID  
CONTRACT INCLUSION ANALYSIS  
CITY BID SPECIALTY ITEMS  
HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
BOROUGHES OF BROOKLYN AND STATEN ISLAND**

<b>SPECIFICATION NO.</b>	<b>DESCRIPTION</b>	<b>UNIT</b>	<b>QUANTITY</b>
JB 502	REMOVAL OF ABANDONED GAS FACILITIES WITH POSSIBLE COAL TAR WRAP. ALL SIZES.	LF	500
54.41P101	SPECIAL CATCH BASIN NO. 1, TYPE 1	EA	1

**NATIONAL GRID  
CONTRACT INCLUSION ANALYSIS  
CITY BID SPECIALTY ITEMS**

**HWCSCHPKR  
SAFE ROUTES TO SCHOOLS Boroughs of  
Brooklyn and Staten Island**

---

**502 Removal of Abandoned Gas Facilities With Possible Coal Tar Wrap. All Sizes.**

**500 @ AS ENCOUNTERED AND REQUIRED BY NATIONAL GRID**

**Quantity - 500 LF**

**54.41P101 Special Catch Basin No.1, Type 1**

**1 @ Sutter Ave & Howard Ave**

**Quantity - 1 EA**

HWCSCHPKR  
SAFE ROUTES TO SCHOOLS  
BOROUGH OF BROOKLYN AND STATEN ISLAN

ITEM	DESCRIPTION	UNITS	ESTIMATED QUANTITY
JB 100.1	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTION (TYPE .1)	EA	1
JB 108.1	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .1)	EA	1
JB 330T1	SUPPORT AND PROTECTION OF COMMUNICATION UTILITY FACILITIES DURING EXCAVATION OF CITY TRENCH WHEN PARALLELING COMMUNICATION FACILITIES LIE	L.F.	20



**Boroughs of Brooklyn and Staten Island  
Schedule JB: Scope of Work for Joint Bid Items**

---

<b>JB 100.1</b>		
<b>UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTIONS AND/OR TEST PITS (TYPE .1)</b>		
AS DIRECTED BY ALTICE REPRESENTATIVE @ THE FOLLOWING LOCATIONS		
		QTY(EA)
	SUTTER AVENUE & HOWARD AVENUE [U11]	1
<b>JB 100.1</b>	<b>TOTAL</b>	<b>1</b>
-----		
<b>JB 108.1</b>		
<b>UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .1)</b>		
AS DIRECTED BY AN ALTICE REPRESENTATIVE @ THE FOLLOWING LOCATIONS		
		QTY(EA)
	WALLABOUT STREET & LEE AVENUE [U13]	1
<b>JB 108.1</b>	<b>TOTAL</b>	<b>1</b>
-----		
<b>JB 330T1</b>		
<b>SUPPORT AND PROTECTION OF COMMUNICATION UTILITY FACILITIES DURING EXCAVATION OF CITY TRENCH WHEN FACILITIES LIE IN OR IN CLOSE PROXIMITY TO TRENCH LIMITS</b>		
AS DIRECTED BY AN ALTICE REPRESENTATIVE @ THE FOLLOWING LOCATIONS		
		QTY(LF)
	WALLABOUT STREET & LEE AVENUE [U13]	20
<b>JB 330T1</b>	<b>TOTAL</b>	<b>20</b>
-----		

**END OF JB-PAGES SECTION E  
(NO FURTHER TEXT ON THIS PAGE)**

# **SECTION F. PRIVATE UTILITIES TEST PITS AND SKETCHES**

---

---



JOB NO: HWCSCHPKR

TEST PIT # 4

PREPARED BY: Magomedov N.

DATE: 6-23-2022

CHECKED BY: Alman C.

DATE: 6-23-2022

JOB NAME: Safe Routes to School Improvements

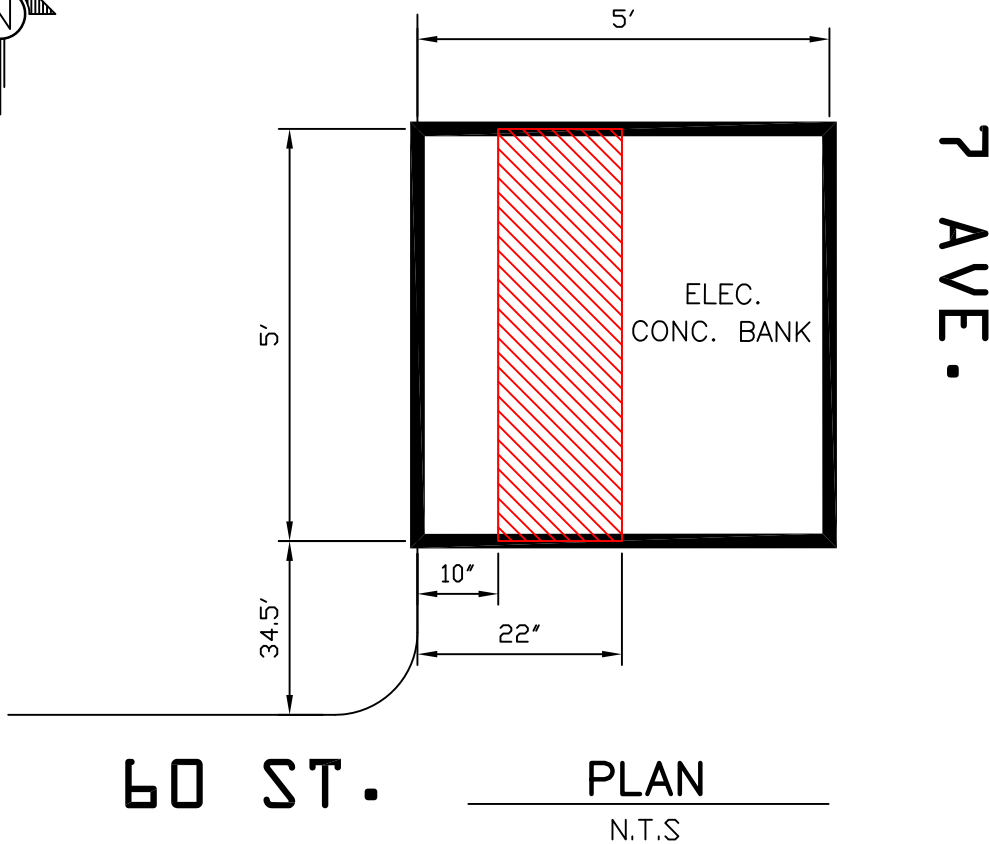
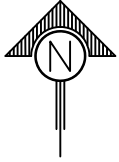
LOCATION: 34.5' - 39.5' N/N/C 60th St.,

PURPOSE: Type 3 CB Request

0' - 5' E/W/C 7th Ave.

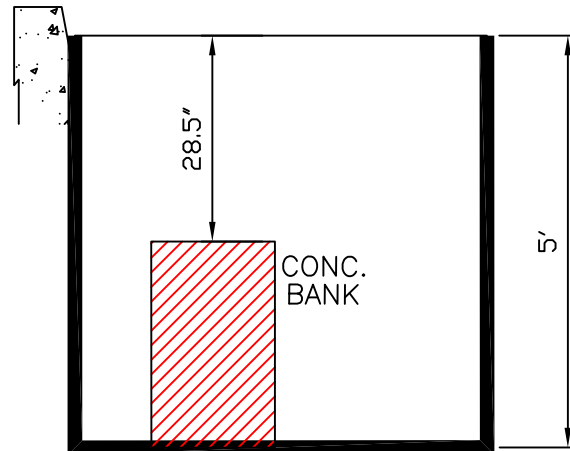
DATE OF EXCAVATION: 6-9-2022

CONTRACT SHEET NO: 4 OF 16



LOOKING  
NORTH WALL

CURB





JOB NO: HWCSCHPKR

TEST PIT # 6

PREPARED BY: Magomedov N.

DATE: 6-27-2022

CHECKED BY: Alman C.

DATE: 6-27-2022

JOB NAME: Safe Routes to School Improvements

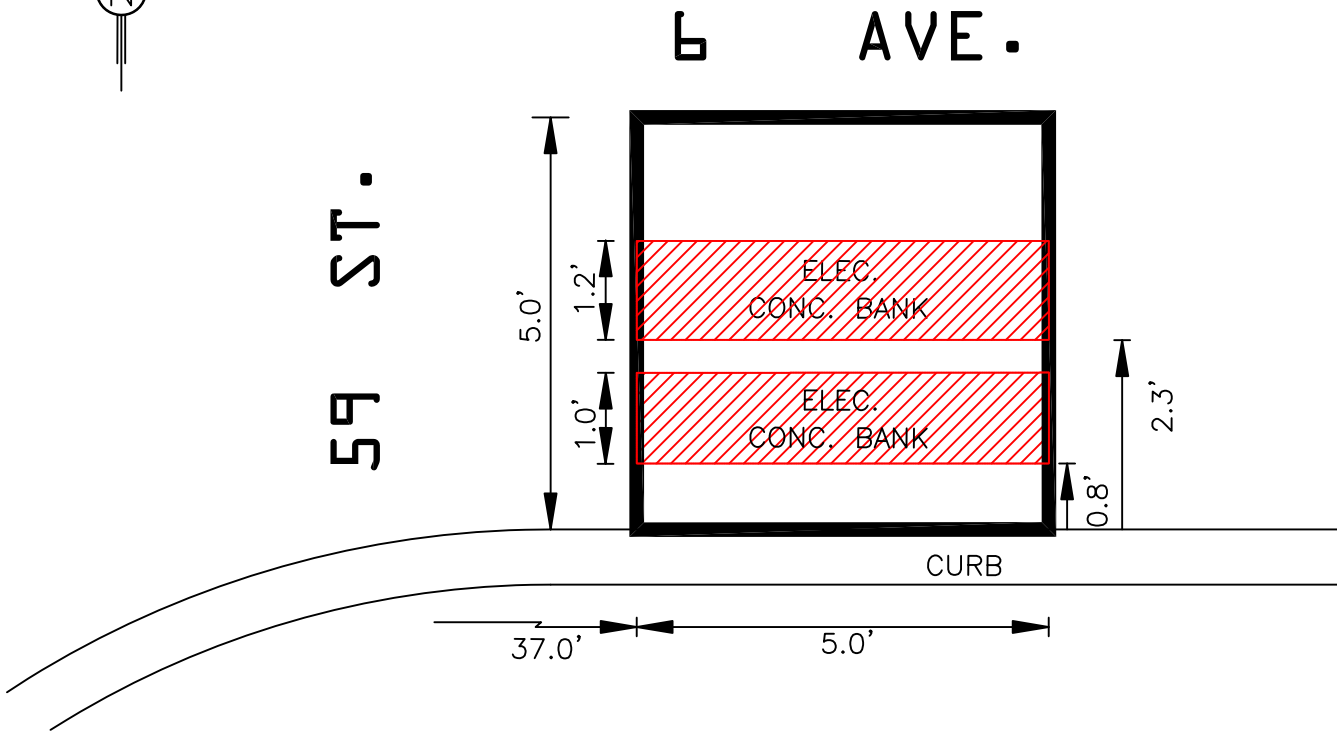
LOCATION: 37' - 42' N/N/C 59th St.,

PURPOSE: Type 3 CB Request

0' - 5' W/E/C 6th Ave.

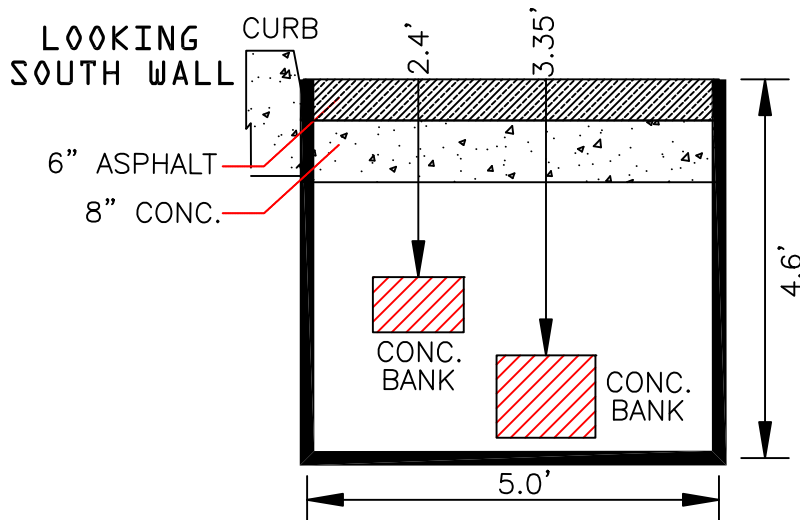
DATE OF EXCAVATION: 6-27-2022

CONTRACT SHEET NO: 5 OF 16



### PLAN

N.T.S



### PROFILE - LOOKING SOUTH

N.T.S. JB-F3



JOB NO: HWCSCHPKR

TEST PIT # 7

PREPARED BY: Magomedov N.

DATE: 6-23-2022

CHECKED BY: Alman C.

DATE: 6-23-2022

JOB NAME: Safe Routes to School Improvements

LOCATION: 32' - 37.5' W/W/C 7th Ave.,

PURPOSE: Type 3 CB Request

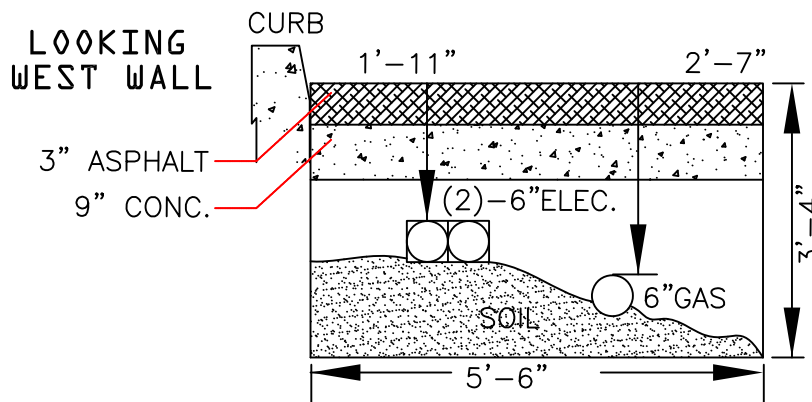
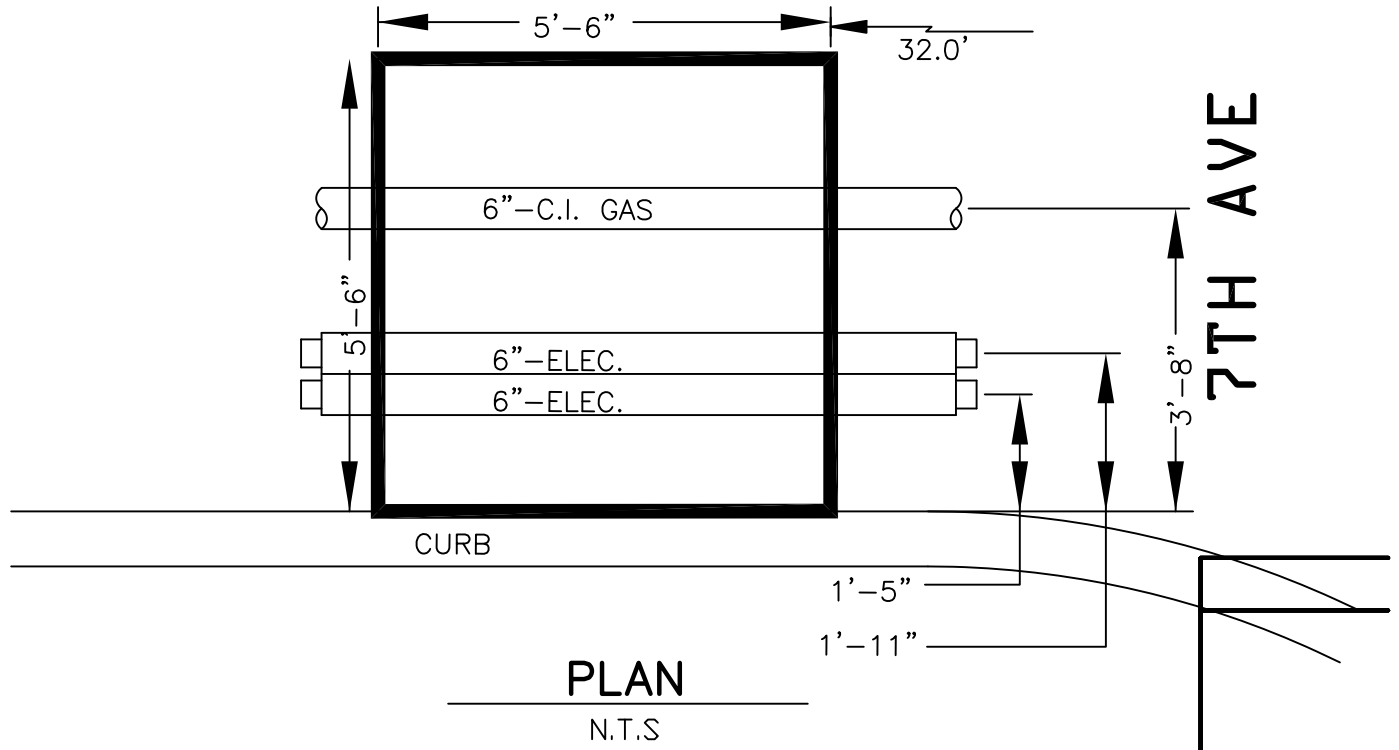
0' - 5.5' N/S/C 44th St.

DATE OF EXCAVATION: 6-17-2022

CONTRACT SHEET NO: 7 OF 16



# 44TH STREET



## PROFILE - LOOKING WEST

N.T.S. JB-F4



JOB NO: HWCSCHPKR

TEST PIT # 8

PREPARED BY: Magomedov N.

DATE: 6-23-2022

CHECKED BY: Alman C.

DATE: 6-23-2022

JOB NAME: Safe Routes to School Improvements

LOCATION: 16' - 21' W/W/C Bristol St.,

PURPOSE: Type 3 CB Request

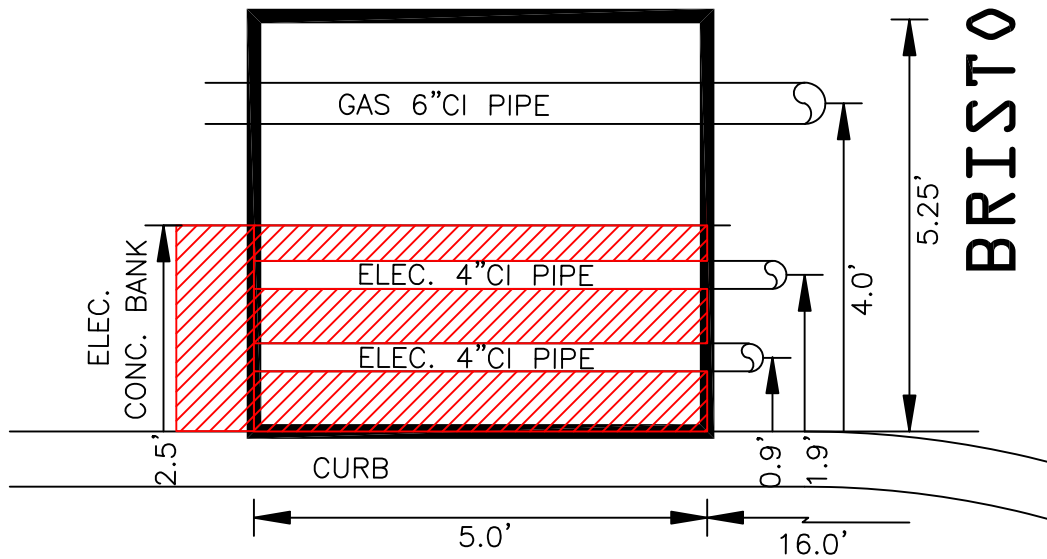
0' - 5' N/S/C Pitkin Ave.

DATE OF EXCAVATION: 6-17-2022

CONTRACT SHEET NO: 8 OF 16

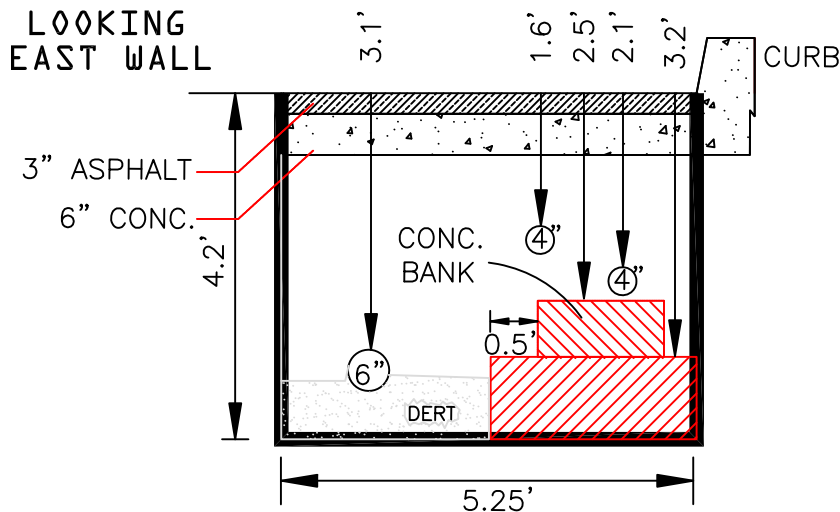


# PITKIN AVE. BRISTOL ST.



### PLAN

N.T.S



### PROFILE - LOOKING EAST

N.T.S. JB-F5



JOB NO: HWCSCHPKR

TEST PIT # 9

PREPARED BY: Magomedov N.

DATE: 6-23-2022

CHECKED BY: Alman C.

DATE: 6-23-2022

JOB NAME: Safe Routes to School Improvements

LOCATION: 17.5' - 23' E/E/C Bristol St.,

PURPOSE: Type 3 CB Request

0' - 5' N/S/C Pitkin Ave.

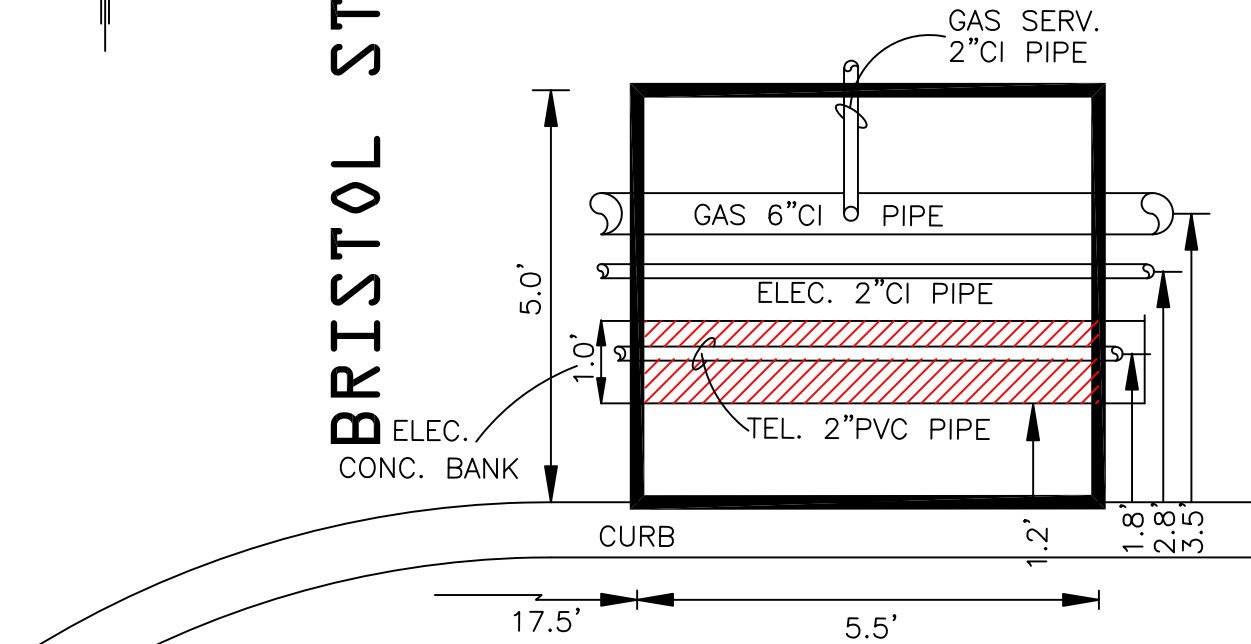
DATE OF EXCAVATION: 6-17-2022

CONTRACT SHEET NO: 8 OF 16



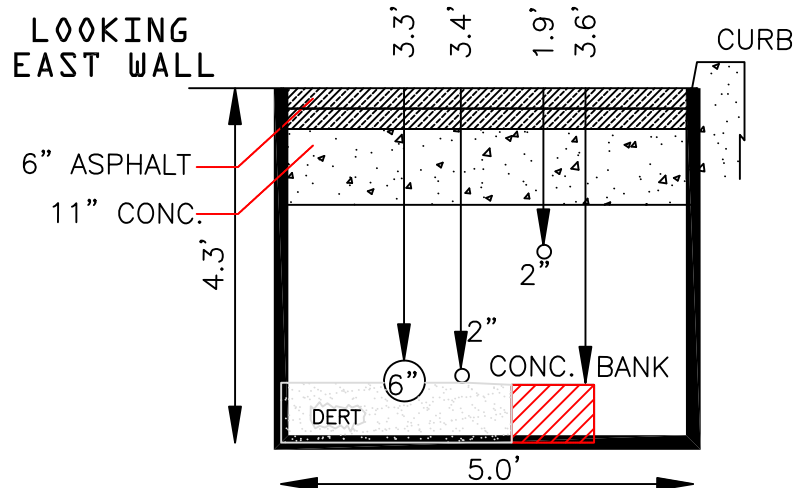
**BRISTOL ST.**

**PITKIN AVE.**



**PLAN**

N.T.S



**PROFILE — LOOKING EAST**

N.T.S. JB-F6





JOB NO: HWCSCHPKR

TEST PIT # 11

PREPARED BY: Magomedov N.

DATE: 6-23-2022

CHECKED BY: Alman C.

DATE: 6-23-2022

JOB NAME: Safe Routes to School Improvements

LOCATION: 17' - 22.5' W/W/C Howard Ave.,

PURPOSE: Type 3 CB Request

0' - 5' N/S/C Sutter Ave.

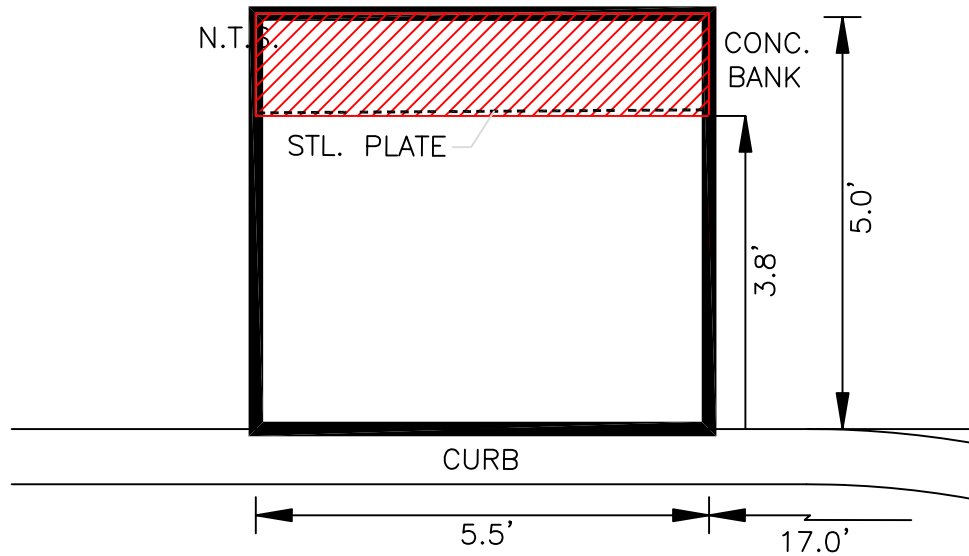
DATE OF EXCAVATION: 6-17-2022

CONTRACT SHEET NO: 11 OF 16



**SUTTER AVE.**

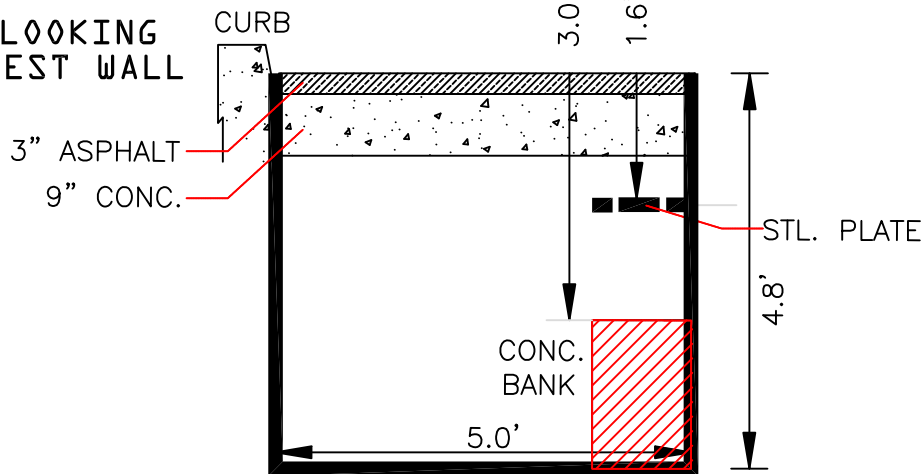
**HOWARD AVE.**



**PLAN**

N.T.S

LOOKING WEST WALL



**PROFILE - LOOKING WEST**

JOB NAME: Safe Routes to School Improvements

LOCATION: 19.5' - 24.5' E/E/C Lee Ave.,

PURPOSE: Type 3 CB Request

0' - 5' N/S/C Wallabout St.

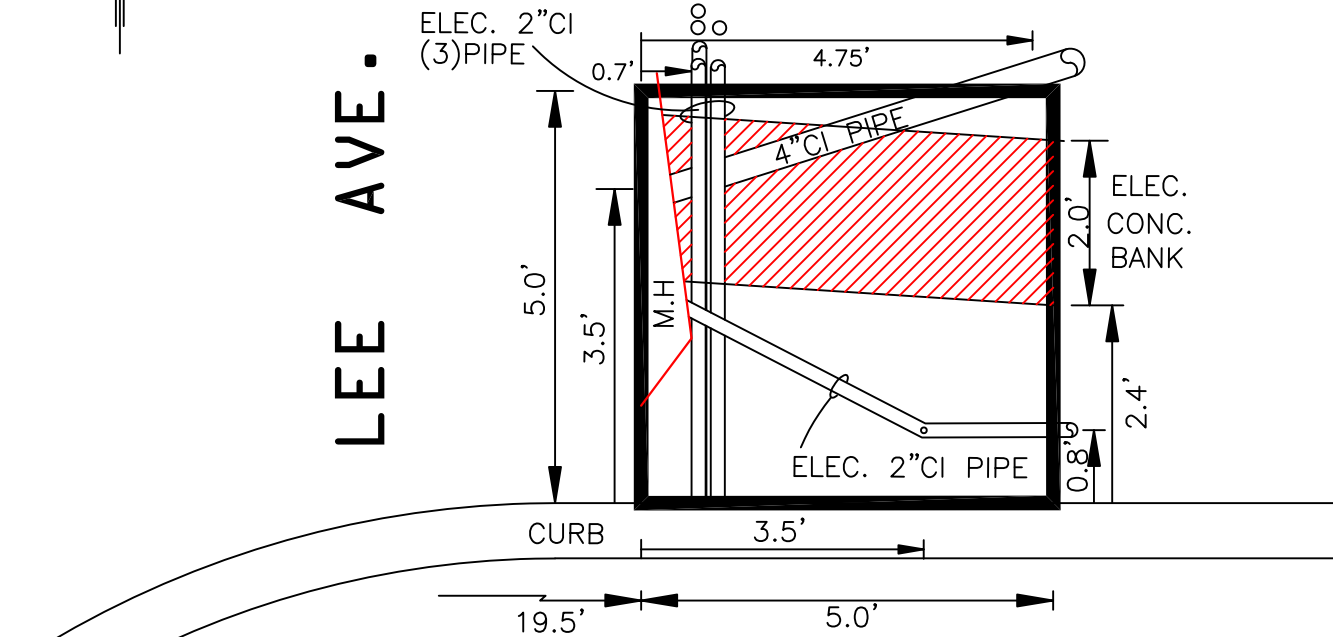
DATE OF EXCAVATION: 6-17-2022

CONTRACT SHEET NO: 13 OF 16



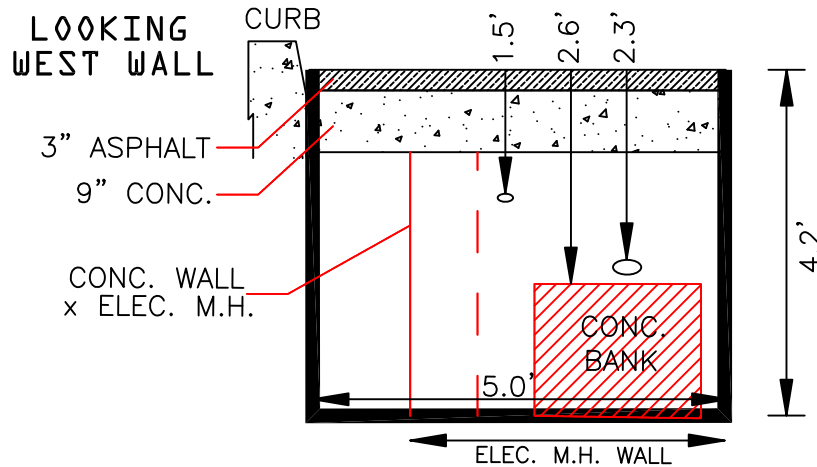
# WALLABOUT ST.

LEE AVE.



## PLAN

N.T.S



## PROFILE — LOOKING WEST

N.T.S. JB-F8



JOB: SAFE ROUTES TO SCHOOLS PREPARED BY: HENRY READ DATE: 01/27/22

JOB NO: HWCSCHPKR CHECKED BY: ANDREW MATARAZZO DATE: 02/01/22

TEST PIT # 1 LOCATION: NORTHEAST CORNER OF WINTHROP STREET &

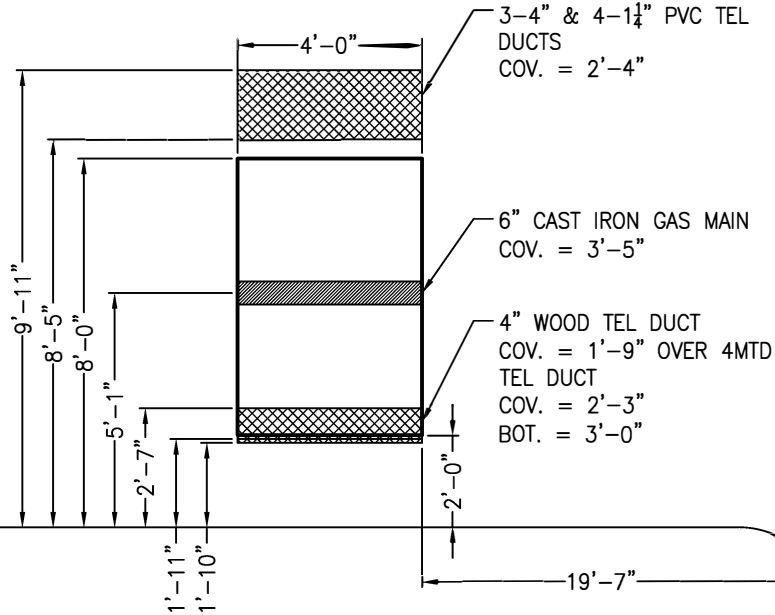
PURPOSE: LOCATE UTILITIES EAST 51ST STREET, ON WINTHROP STREET

TRENCH DIMENSIONS  
6'-0" X 4'-0" X 2'-7"

ASPHALT - 3"  
CONCRETE - 9"

WINTHROP STREET

E 51ST STREET



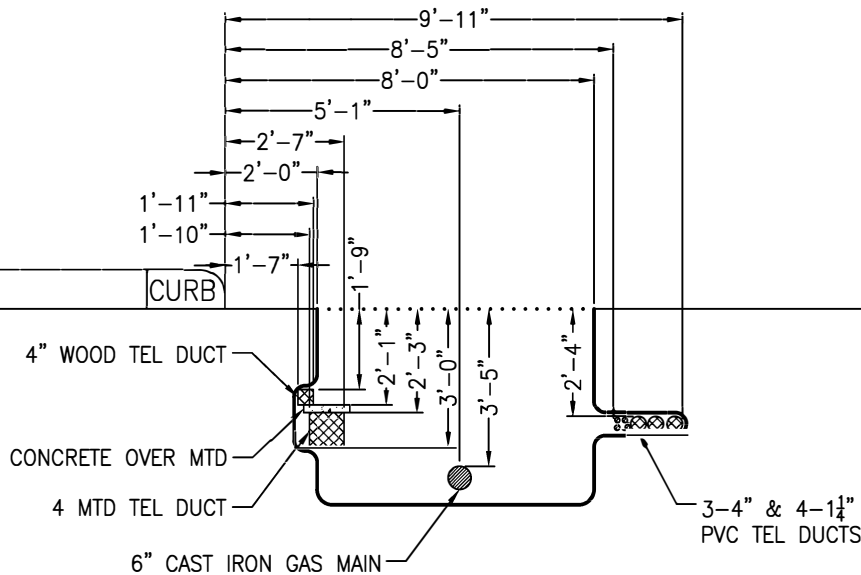
PLAN

JB ITEM  
100.2  
225

SIDEWALK

CURB

ROADWAY



SECTION LOOKING EAST



JOB: SAFE ROUTES TO SCHOOLS PREPARED BY: HENRY READ DATE: 01/27/22

JOB NO: HWCSCHPKR CHECKED BY: ANDREW MATARAZZO DATE: 02/01/22

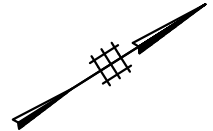
TEST PIT # 2 LOCATION: SOUTHEAST CORNER OF 7TH AVENUE &

PURPOSE: LOCATE UTILITIES 44TH STREET, ON 7TH AVENUE

**TRENCH DIMENSIONS**  
**6'-0" X 4'-0" X 3'-7"**

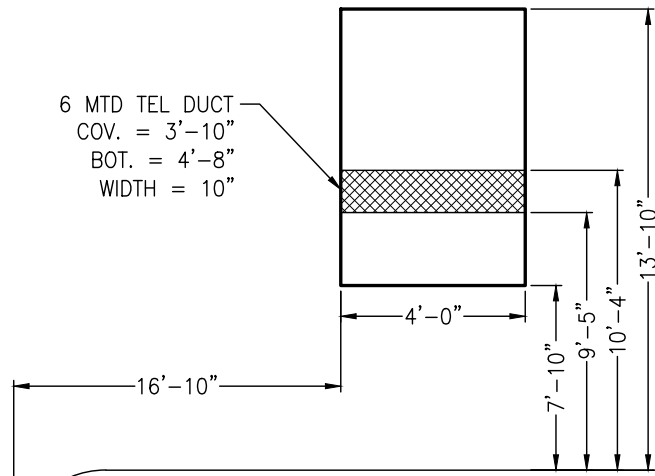
**7TH AVENUE**

ASPHALT - 4"  
CONCRETE - 10"



**44TH STREET**

6 MTD TEL DUCT  
COV. = 3'-10"  
BOT. = 4'-8"  
WIDTH = 10"



**PLAN**

**JB ITEM**  
**100.2**

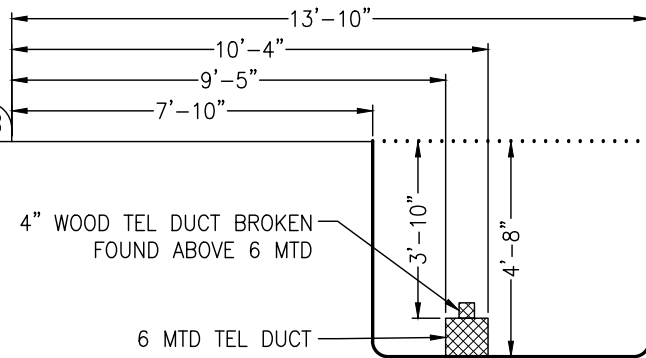
SIDEWALK

CURB

ROADWAY

4" WOOD TEL DUCT BROKEN  
FOUND ABOVE 6 MTD

6 MTD TEL DUCT



**SECTION LOOKING SOUTH**



JOB: SAFE ROUTES TO SCHOOLS PREPARED BY: HENRY READ DATE: 01/27/22

JOB NO: HWCSCHPKR CHECKED BY: ANDREW MATARAZZO DATE: 02/01/22

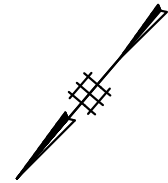
TEST PIT # 3 LOCATION: NORTHWEST CORNER OF PITKIN AVENUE &

PURPOSE: LOCATE UTILITIES BRISTOL STREET, ON PITKIN AVENUE

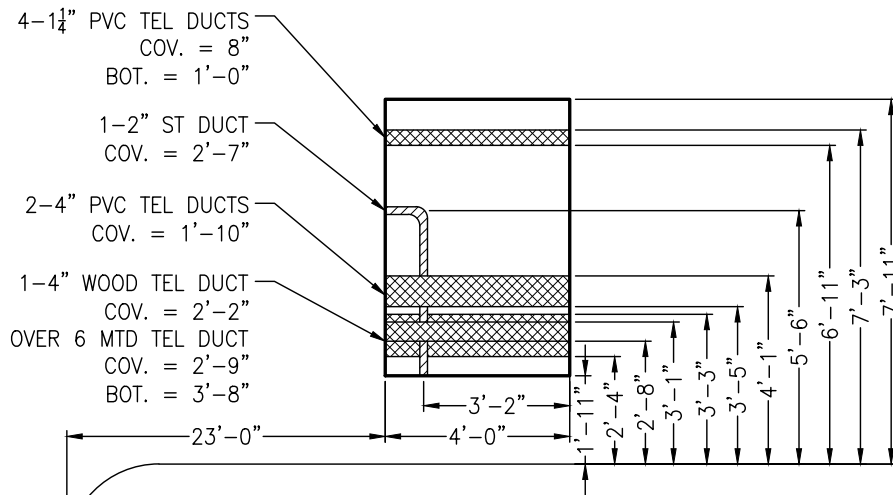
TRENCH DIMENSIONS  
6'-0" X 4'-0" X 3'-2"

ASPHALT - 4"  
CONCRETE - 9"

PITKIN AVENUE



BRISTOL STREET



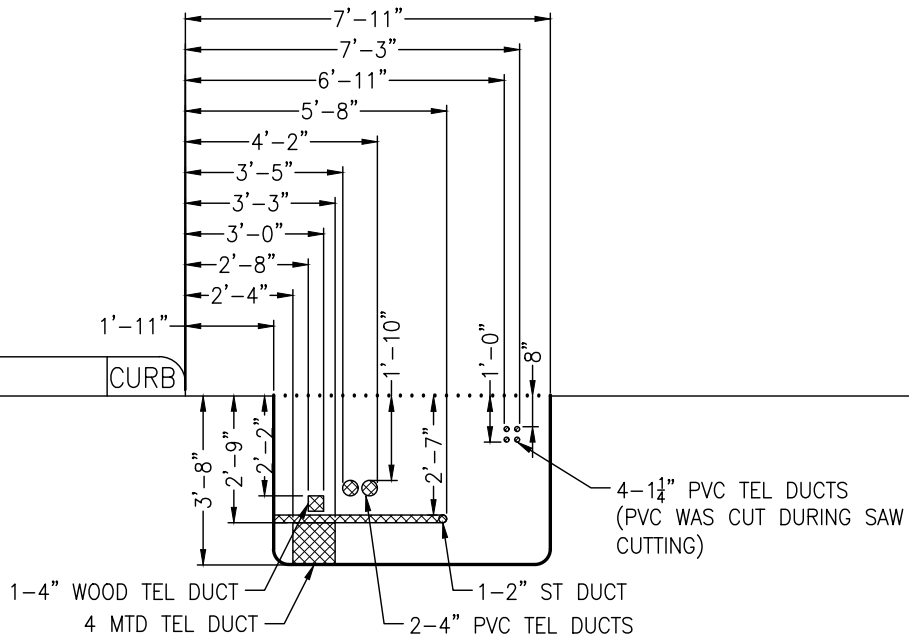
PLAN

JB ITEM  
100.2  
225

SIDEWALK



ROADWAY



SECTION LOOKING EAST



JOB: SAFE ROUTES TO SCHOOLS PREPARED BY: HENRY READ DATE: 01/27/22

JOB NO: HWCSCHPKR CHECKED BY: ANDREW MATARAZZO DATE: 02/01/22

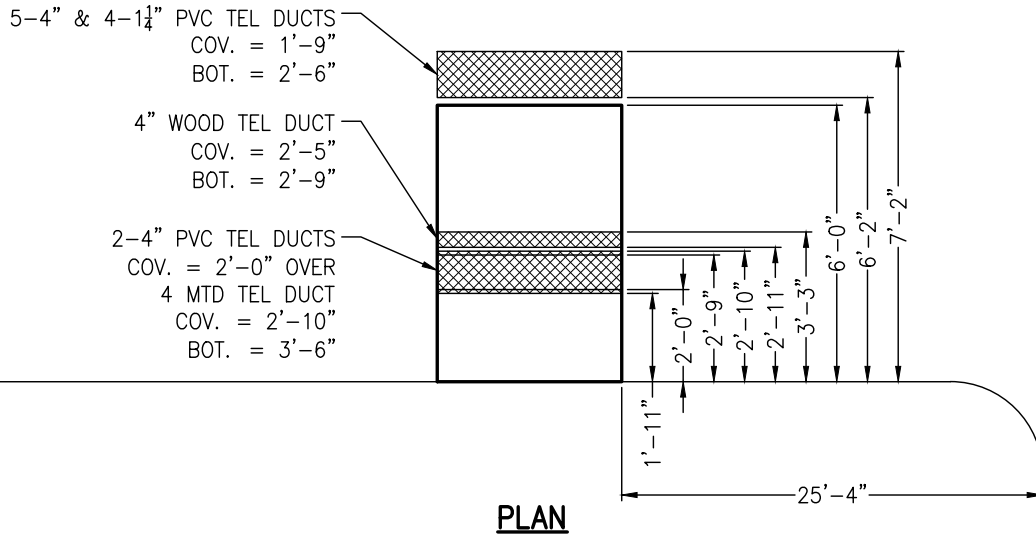
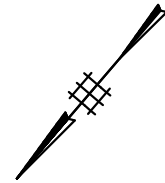
TEST PIT # 4 LOCATION: NORTHEAST CORNER OF PITKIN AVENUE &

PURPOSE: LOCATE UTILITIES BRISTOL STREET, ON PITKIN AVENUE

**TRENCH DIMENSIONS**  
**6'-0" X 4'-0" X 2'-6"**

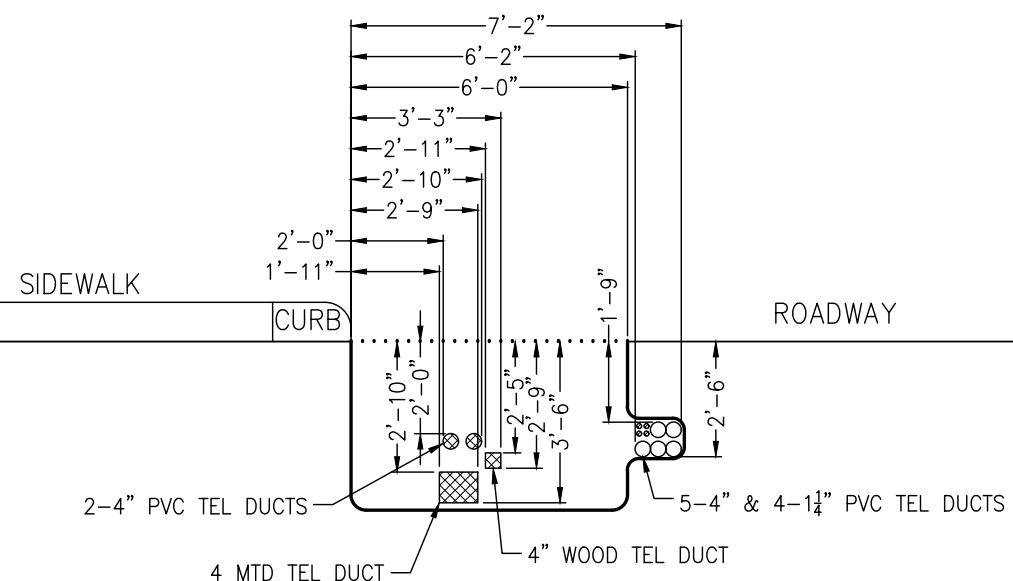
ASPHALT - 3"  
CONCRETE - 9"

PITKIN AVENUE



BRISTOL STREET

JB ITEM  
100.2  
225



**SECTION LOOKING EAST**



JOB: SAFE ROUTES TO SCHOOLS PREPARED BY: HENRY READ DATE: 01/27/22

JOB NO: HWCSCHPKR CHECKED BY: ANDREW MATARAZZO DATE: 02/01/22

TEST PIT # 5 LOCATION: SOUTHWEST CORNER OF SUTTER AVENUE &

PURPOSE: LOCATE UTILITIES HOWARD AVENUE, ON SUTTER AVENUE

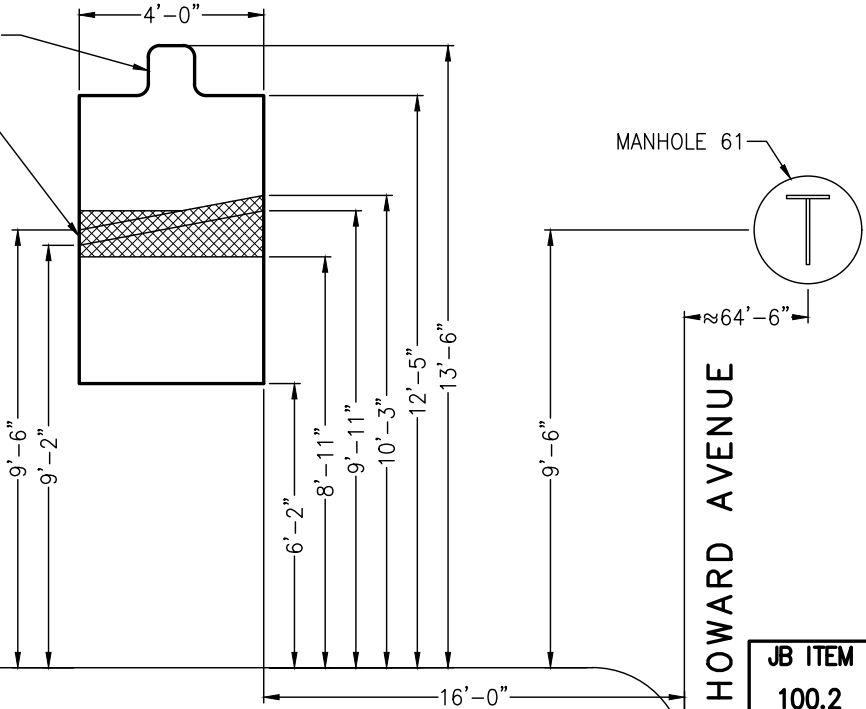
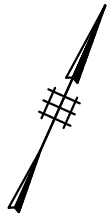
TRENCH DIMENSIONS  
6'-3" X 4'-0" X 3'-9"

SUTTER AVENUE

ASPHALT - 3.5"  
CONCRETE - 10"

ADDITIONAL 1'-1" WAS  
EXCAVATED INTO STREET

4" WOOD TEL DUCT  
COV. = 2'-9"  
ABOVE 6 MTD TEL DUCT  
COV. = 4'-7"  
BOT. = 3'-3"



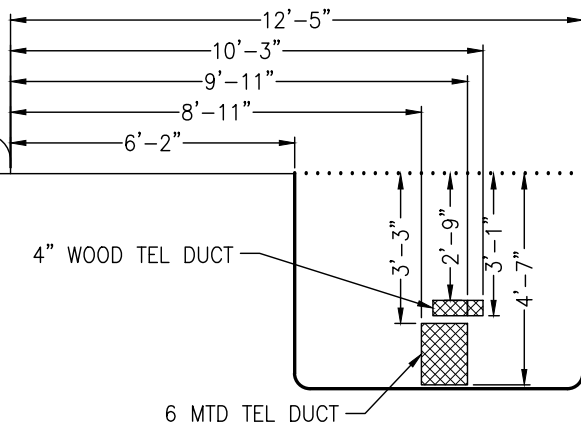
PLAN

JB ITEM  
100.2

HOWARD AVENUE

SIDEWALK

CURB



SECTION LOOKING WEST

DUG TO 5' TO LOCATE 5-4" & 4-1 1/4" PVC. MANHOLE 61 AND 155 WERE OPENED TO LOCATE DUCT BANK. IN MANHOLE 61 DUCT BANK WAS LOCATED AT A DEPTH OF 2.5', DUCTS WERE ANGLED AT ROUGHLY AT 22.5° SOUTH (TOWARDS CENTER OF THE STREET). TEST PIT WAS PERFORMED ON A PROTECTED STREET AND WERE THEREFORE WAS NOT ABLE TO BE TUNNELED TO. AT MANHOLE 155 THE DUCT BANK WAS LOCATED AT A DEPTH OF 2' ANGLED SAME AS MANHOLE 155.



JOB: SAFE ROUTES TO SCHOOLS PREPARED BY: HENRY READ DATE: 01/27/22

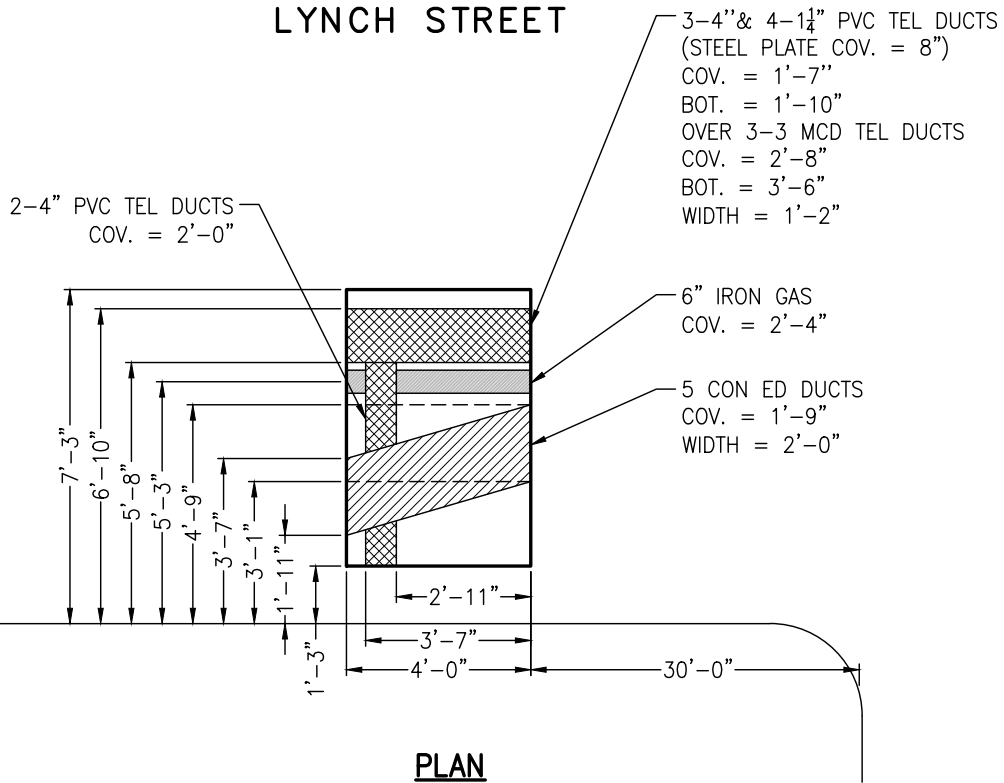
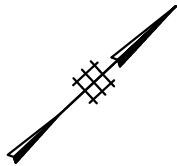
JOB NO: HWCSCHPKR CHECKED BY: ANDREW MATARAZZO DATE: 02/01/22

TEST PIT # 6 LOCATION: SOUTH SIDE OF LYNCH STREET BTWN

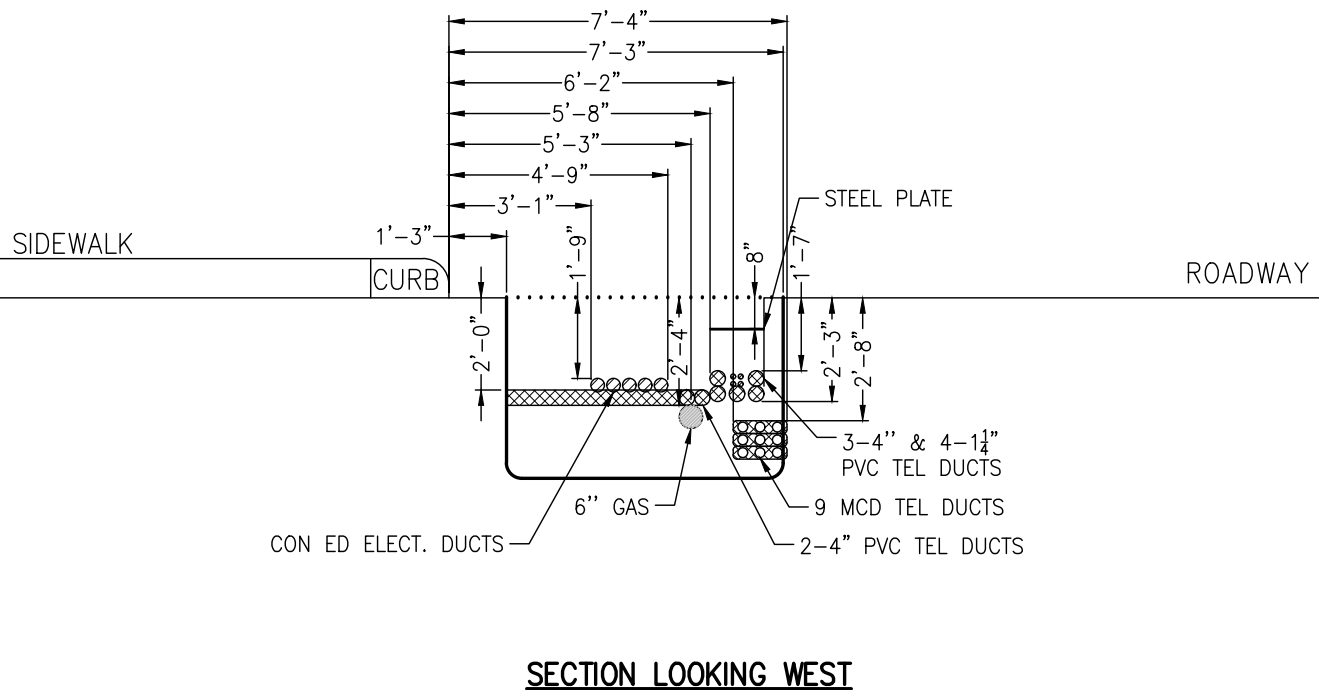
PURPOSE: LOCATE UTILITIES WALLABOUT STREET & BEDFORD AVENUE

TRENCH DIMENSIONS  
6'-0" X 4'-0" X 2'-0"

ASPHALT - 3"  
CONCRETE - 10"



JB ITEM  
802B







JOB: SAFE ROUTES TO SCHOOLS PREPARED BY: HENRY READ DATE: 01/27/22

JOB NO: HWCSCHPKR CHECKED BY: ANDREW MATARAZZO DATE: 02/01/22

TEST PIT # 7 LOCATION: NORTH EAST SIDE OF HARRISON AVENUE BTWN

PURPOSE: LOCATE UTILITIES LORIMER STREET & UNION AVENUE

TRENCH DIMENSIONS  
7'-0" X 4'-0" X 3'-5"

HARRISON AVENUE

ASPHALT - 2"  
CONCRETE - 10"

20" WATER MAIN  
COV. = 4'-2"

2-4" PVC TEL DUCTS  
COV. = 2'-2"

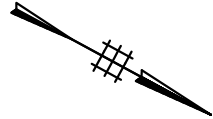
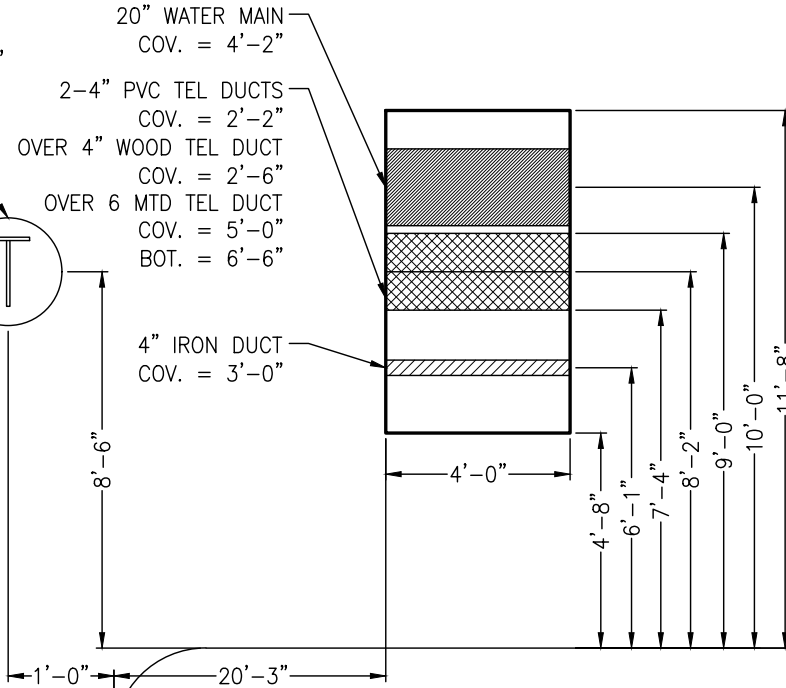
OVER 4" WOOD TEL DUCT  
COV. = 2'-6"

OVER 6 MTD TEL DUCT  
COV. = 5'-0"  
BOT. = 6'-6"

4" IRON DUCT  
COV. = 3'-0"



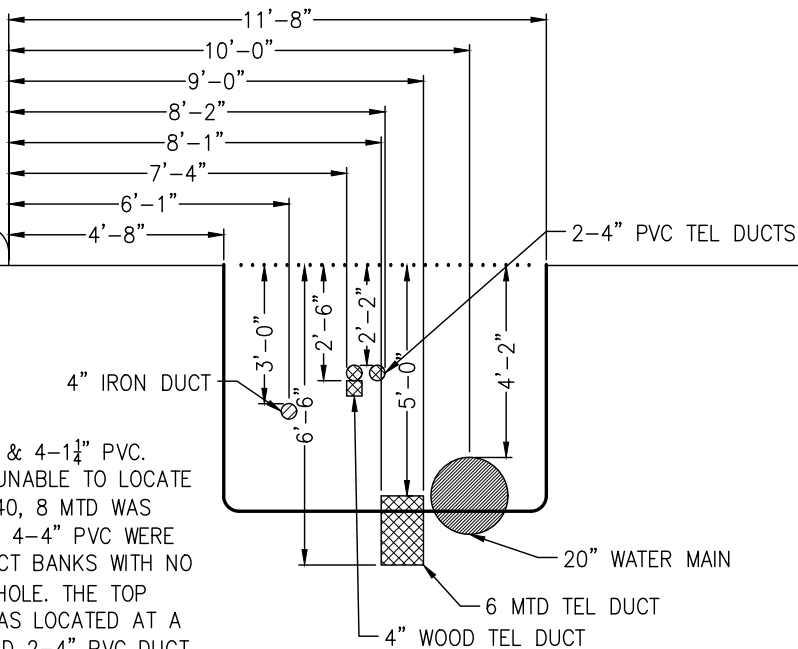
LORIMER STREET



PLAN

<b>JB ITEM</b>
100.1 100.2
108.1 108.2 225
330 820B

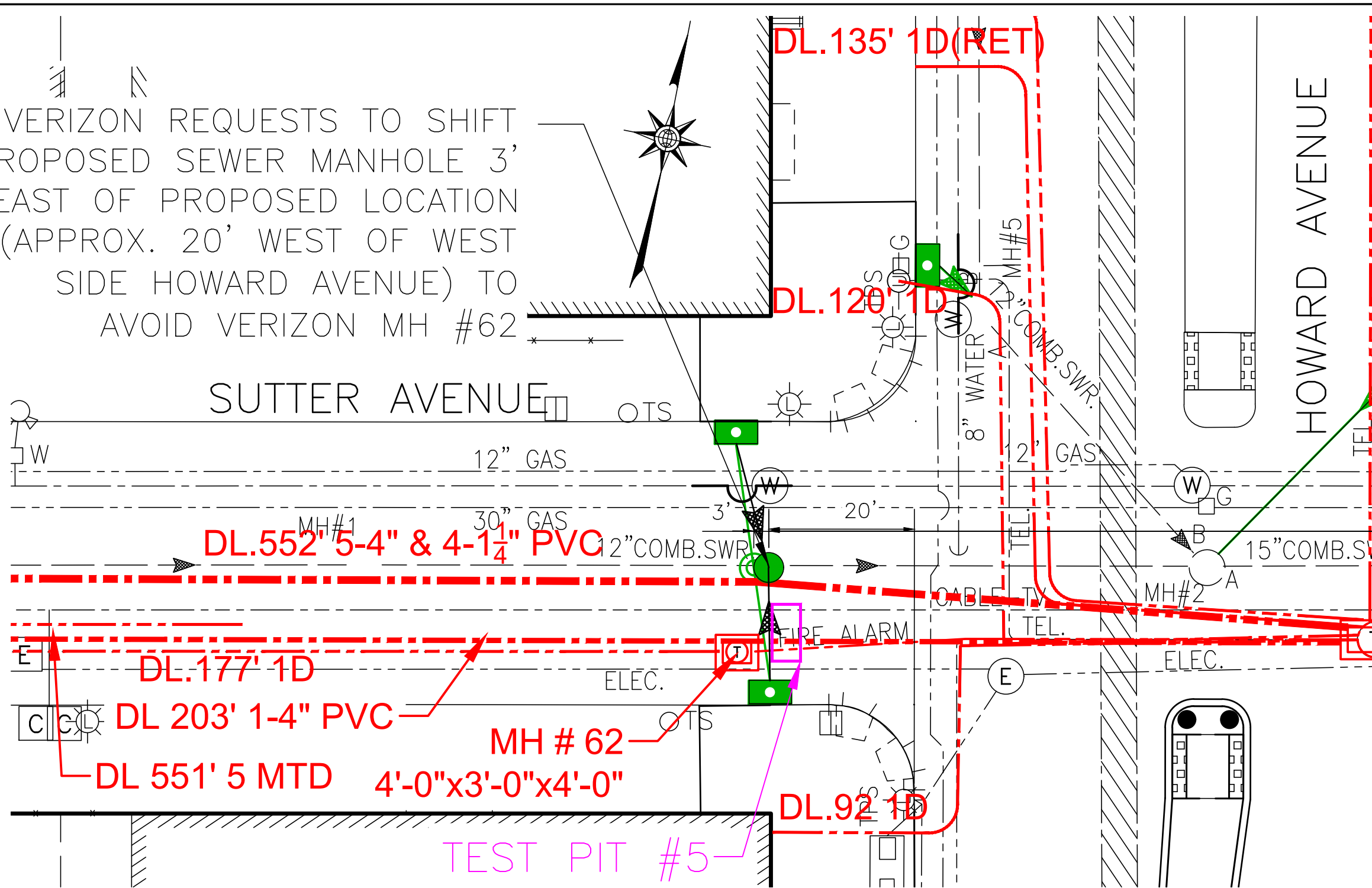
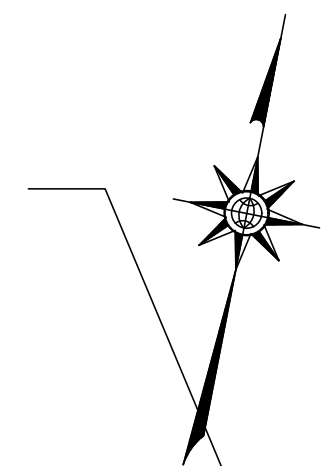
SIDEWALK  
CURB



DUG TO 5' TO LOCATE 3-4" & 4-1 1/4" PVC. MANHOLE 40 WAS OPENED (UNABLE TO LOCATE MANHOLE 39). IN MANHOLE 40, 8 MTD WAS LOCATED AT A DEPTH OF 6', 4-4" PVC WERE LOCATED IN 2 SEPARATE DUCT BANKS WITH NO 4-1 1/4" PVC LOCATED IN MANHOLE. THE TOP DUCT BANK OF 2-4" PVC WAS LOCATED AT A DEPTH OF 2'-6". THE SECOND 2-4" PVC DUCT BANK WAS LOCATED AT A DEPTH OF 4'-8" ANGLED WEST ON LORIMER STREET.

SECTION LOOKING SOUTH

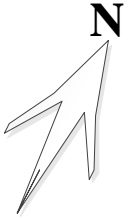
VERIZON REQUESTS TO SHIFT PROPOSED SEWER MANHOLE 3' EAST OF PROPOSED LOCATION (APPROX. 20' WEST OF WEST SIDE HOWARD AVENUE) TO AVOID VERIZON MH #62





CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION	
VERIZON SEWER MANHOLE SHIFT REQUEST	
SOUTHWEST CORNER INTERSECTION OF SUTTER AVENUE & HOWARD AVENUE BOROUGH OF BROOKLYN	
SHEET 1 OF 1	CONTRACT NO. HWCSCHPKR

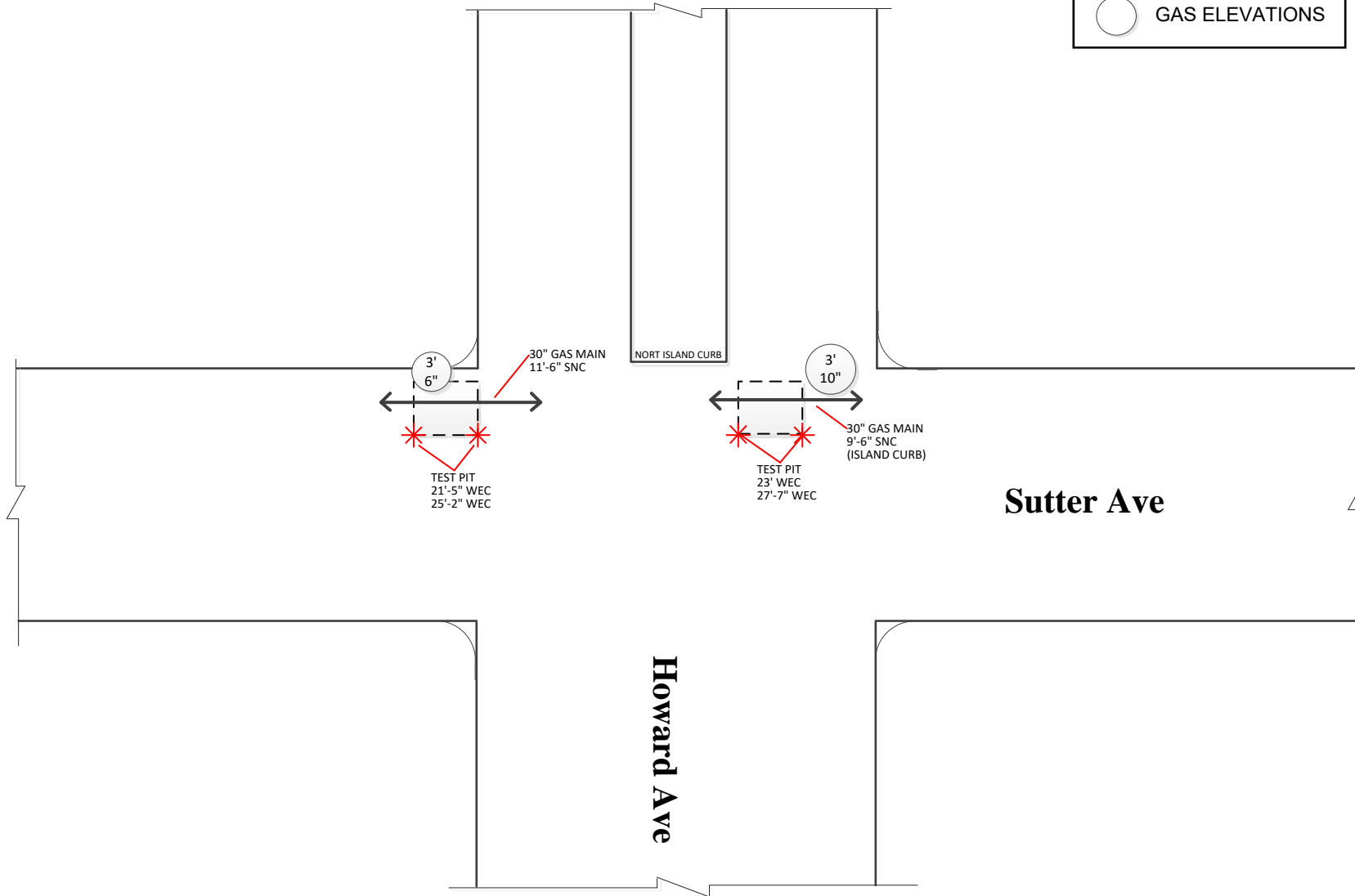


LOCATION-3  
(N.T.S)



**LEGEND:**

-  GAS MAIN
-  GAS ELEVATIONS



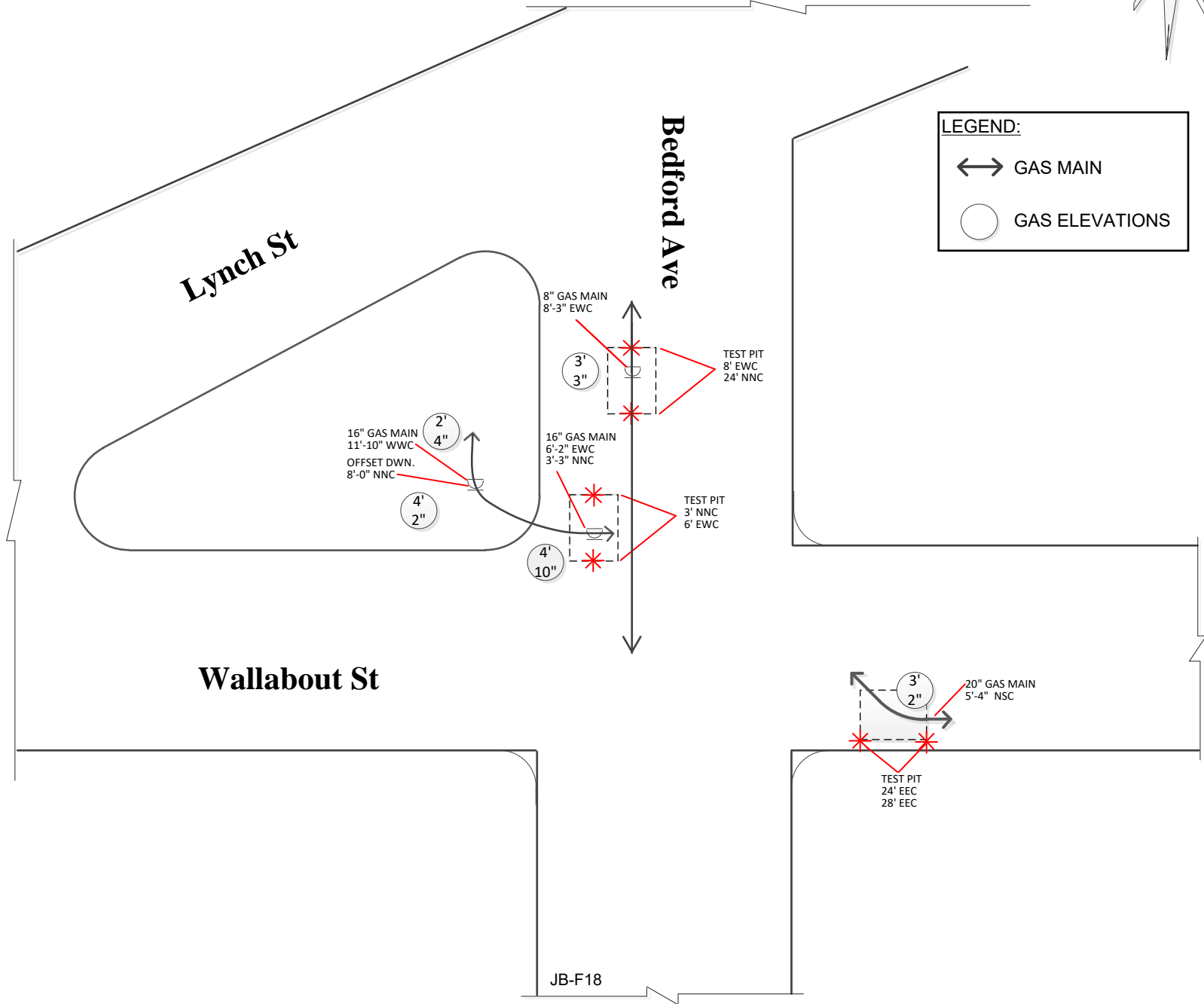


Test Pits Results

Project HWCSCHPKR

**LEGEND:**

- ↔ GAS MAIN
- GAS ELEVATIONS



JB-F18

**nationalgrid**



Test Pits Results

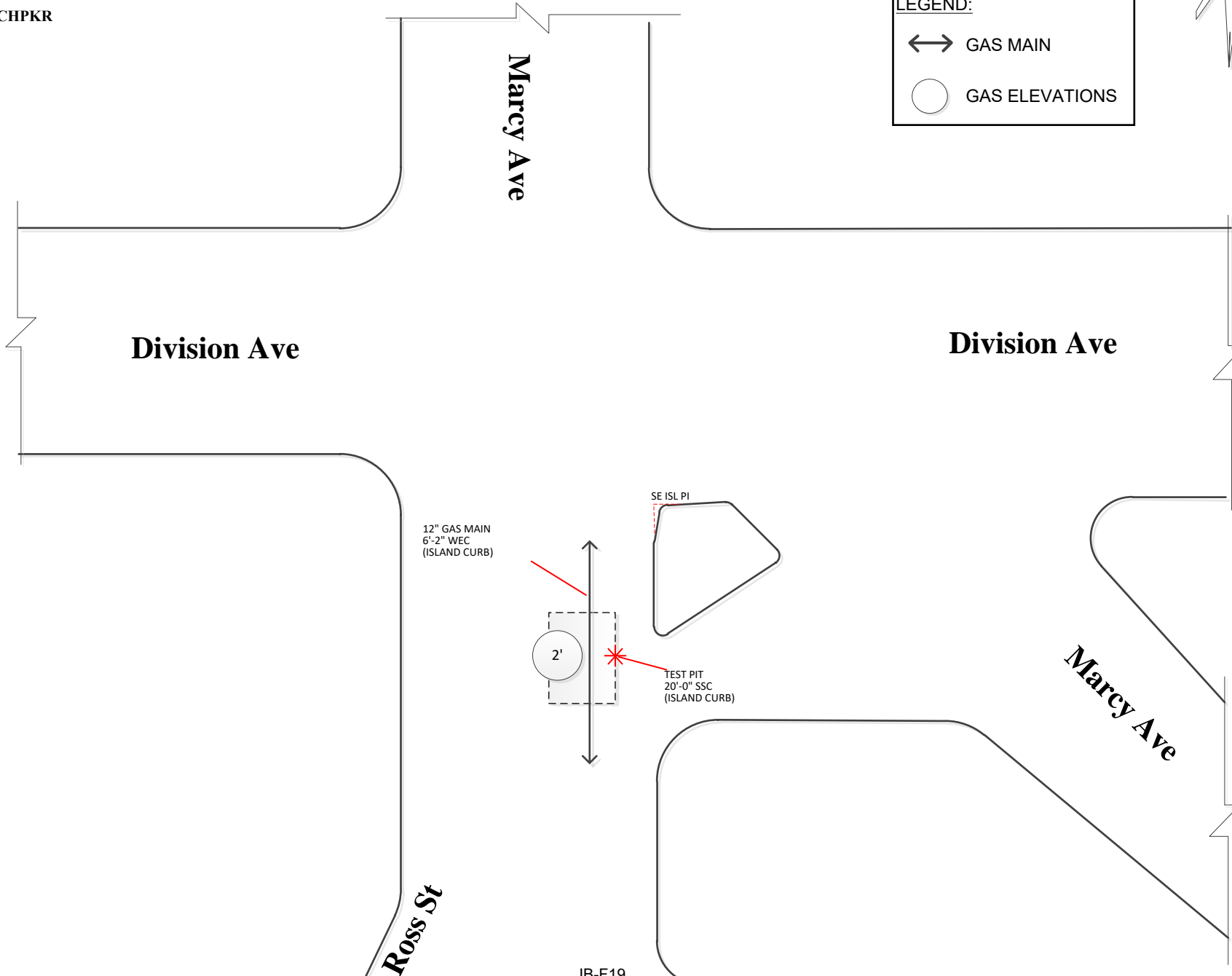
Project HWCSCHPKR

Page 3 of 3



**LEGEND:**

-  GAS MAIN
-  GAS ELEVATIONS



JB-F19

**END OF JB-PAGES SECTION F  
(NO FURTHER TEXT ON THIS PAGE)**

**SECTION G. PRIVATE UTILITY  
DRAWING LIST  
(see CONTRACT DRAWINGS)**

---

---

**UTILITY DRAWING LIST**  
**(see CONTRACT DRAWINGS)**

<b>CON EDISON</b>		
<b>DRAWING TITLE</b>	<b>DRAWING NO.</b>	<b>NO. OF SHEETS</b>
GENERAL NOTES AND CONDITION FOR UTILITY WORK	JB-U1	1
CONDUIT AND DUCT OCCUPANCY PLATES	JB-U2 – U9	8
LOW TENSION MAINS AND SERVICE PLATES	JB-U10 – U17	8
<b>TOTAL</b>		<b>17</b>

<b>VERIZON</b>		
<b>DRAWING TITLE</b>	<b>DRAWING NO.</b>	<b>NO. OF SHEETS</b>
VERIZON CONDUIT UTILITY PLATES	JB-U18 – U33	16
VERIZON OVERHEAD PLANS	JB-U34 – U38	5
SPECIAL CARE EXCAVATION PLANS	JB-U39 – U40	2
<b>TOTAL</b>		<b>23</b>

<b>NATIONAL GRID</b>		
<b>DRAWING TITLE</b>	<b>DRAWING NO.</b>	<b>NO. OF SHEETS</b>
NATIONAL GRID TRANSMISSION LINE	JB-U41	1
<b>TOTAL</b>		<b>1</b>

<b>ALTICE</b>		
<b>DRAWING TITLE</b>	<b>DRAWING NO.</b>	<b>NO. OF SHEETS</b>
ALTICE UTILITY OVERLAY PLAN EXISTING UTILITIES AND CONSTRUCTION	JB-U42 – U56	15
<b>TOTAL</b>		<b>15</b>



**END OF JB-PAGES SECTION G  
(NO FURTHER TEXT ON THIS PAGE)**


CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE

# ADDENDA CONTROL SHEET

BID SUBMISSION DATE/ TIME: March 10, 2023; between 8:30 AM and 11:00 AM  
BID OPENING DATE/ TIME: March 10, 2023; 11:30 AM

PROJECT No. : HWCSCHPKR

TITLE: **SAFE ROUTES TO SCHOOLS -BOROUGH OF BROOKLYN AND STATEN ISLAND**

ADDENDA ISSUED	NO. OF DWG	DATE	APPROVED BY:	
			SPECS UNIT	GENERAL COUNSEL
#1 Questions and Answers Revised Documents	0	02/27/2023	RJ	 <small>2/27/2023</small>

THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE

02/27/2023

**ADDENDUM No. # 1**

FOR FURNISHING ALL LABOR AND MATERIAL NECESSARY AND REQUIRED FOR:

8502023HW0001C - HWCSCHPKR

**SAFE ROUTES TO SCHOOLS –  
BOROUGH OF BROOKLYN AND STATEN ISLAND QUEENS**

---

This addendum is issued for the purpose of amending the requirements of the Bid and Contract Documents and is hereby made a part of said Bid and Contract Documents to the same extent as though it were originally included therein.

The bidder is advised that the items listed below apply to the project:

2. **Bidders Questions and Responses to Questions:**  
Attachment A is included with this Addendum.
3. **Revisions to Documents:**  
Attachment B is included with this Addendum.
4. **Revisions to PASSPort forms:**  
No Attachment C is included with this Addendum.

Transferring Data Between Rounds of an RFX: A new document titled “Transferring Data Between Rounds of an RFX” has been added to the Documents section of the View RFX tab. Please refer to this document when an addendum has been issued. Note: Whenever an addendum is issued, the RFX item grid will be cleared. You can import the work you have already done by following the steps on this document.

DDC strongly advises vendors to finalize and submit bids 48 hours prior to due date and time. The City is not responsible for technical issues (e.g. internet connection, power outages, technology malfunction, computer errors, etc.) related to bid submissions.

If additional information is required, please contact the Department of Design and Construction, Contract Section at (718) 391-1041 or by email at [CSB\\_projectinquiries@ddc.nyc.gov](mailto:CSB_projectinquiries@ddc.nyc.gov).

---

Richard Jones, PE CWI CDT  
Executive Director, Specifications

**DDC PROJECT #:** HWCSCHPKR

**PROJECT NAME:** SAFE ROUTES TO SCHOOLS -BOROUGH OF BROOKLYN AND  
STATEN ISLAND

**ATTACHMENT A - BIDDERS QUESTIONS AND DDC RESPONSES**

<b>No.</b>	<b>Bidders Questions</b>	<b>DDC Responses</b>
1	Would you provide the list of plan holders for this project to me, please?	Please refer to Attachment B

**DDC PROJECT #:** HWCSCHPKR

**PROJECT NAME:** SAFE ROUTES TO SCHOOLS -BOROUGH OF BROOKLYN AND  
STATEN ISLAND

**ATTACHMENT B – REVISIONS TO THE DOCUMENTS**

1. Volume 3 –
  1. FTA-Pages – Davis Bacon Wages updated
  2. JB 4.0-Package – Revised JB Price List (JB 306 Description modified)
2. Planholder's List added

<b>Vendor</b>	<b>Email</b>	<b>Telephone</b>
ADC CONSTRUCTION L.L.C	<a href="mailto:adcconstruction@verizon.net">adcconstruction@verizon.net</a>	7186285555
AKELA CONTRACTING LLC	<a href="mailto:kwilliams@akelacontracting.com">kwilliams@akelacontracting.com</a>	7183284900
CAC INDUSTRIES INC	<a href="mailto:mcapasso@cacindinc.com">mcapasso@cacindinc.com</a>	7187293600
DIFAZIO IND LLC	<a href="mailto:johnd@difazioind.net">johnd@difazioind.net</a>	7187206966
GIANFIA CORP	<a href="mailto:rruggiero@gianfiacorp.com">rruggiero@gianfiacorp.com</a>	9143584601
HELLMAN ELECTRIC LLC	<a href="mailto:slazzaro@hellmanelectric.com">slazzaro@hellmanelectric.com</a>	7189319900
HUICATAO CORP	<a href="mailto:phui@huiconstruction.co">phui@huiconstruction.co</a>	7183561983
J PIZZIRUSSO LANDSCAPING CORP	<a href="mailto:jplcorp@aol.com">jplcorp@aol.com</a>	7185316084
JRCRUZ CORP	<a href="mailto:ecruz@jrcruz.com">ecruz@jrcruz.com</a>	7322900700
METROEXPRESS SERVICES INC	<a href="mailto:lfine@triangleservices.com">lfine@triangleservices.com</a>	5165611700
NY ASPHALT INC	<a href="mailto:mthompson@nyasphalt.com">mthompson@nyasphalt.com</a>	7189666466
P&T II CONTRACTING CORP	<a href="mailto:lenny@pandt2.com">lenny@pandt2.com</a>	7182060210
PAUL J. SCARIANO INC	<a href="mailto:pscariano@ipjs.com">pscariano@ipjs.com</a>	9146239200
PERFETTO CONTRACTING CORP	<a href="mailto:cperpetto@perpettocontracting.com">cperpetto@perpettocontracting.com</a>	7188588600
PERFETTO ENTERPRISES COMPANY INC	<a href="mailto:cperpetto@perpettoent.com">cperpetto@perpettoent.com</a>	7184424212
RESTANI CONSTRUCTION CORP	<a href="mailto:srestivo@restani.com">srestivo@restani.com</a>	7187280870
SAM LIVINGSTON	<a href="mailto:islandwidephoto@aol.com">islandwidephoto@aol.com</a>	5162393737
TRIUMPH CONSTRUCTION CORP	<a href="mailto:ccuzzi@triumphconstructionny.com">ccuzzi@triumphconstructionny.com</a>	7188616060
VIF CORP	<a href="mailto:villaconstruction@villac.com">villaconstruction@villac.com</a>	9147473277

**DDC PROJECT #:** HWCSCHPKR

**PROJECT NAME:** SAFE ROUTES TO SCHOOLS -BOROUGH OF BROOKLYN AND  
STATEN ISLAND

**ATTACHMENT C – REVISIONS TO PASSPORT FORMS**

**This Addendum Initiates Round 2 of the procurement.**

*Please note that numbering of addenda is independent of rounds.*

**Questionnaire Changes:**

None.


CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE

# ADDENDA CONTROL SHEET

BID SUBMISSION DATE/ TIME: March 13, 2023; between 8:30 AM and 11:00 AM  
BID OPENING DATE/ TIME: March 13, 2023; 11:30 AM

PROJECT No. : HWCSCHPKR

TITLE: **SAFE ROUTES TO SCHOOLS -BOROUGH OF BROOKLYN AND STATEN ISLAND**

ADDENDA ISSUED	NO. OF DWG	DATE	APPROVED BY:	
			SPECS UNIT	GENERAL COUNSEL
#1 Questions and Answers Revised Documents	0	02/27/2023	RJ	
#2 Revised PASSPort Forms	0	03/09/2023	RJ	 3/9/2023



THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE

03/09/2023

**ADDENDUM No. # 2**

FOR FURNISHING ALL LABOR AND MATERIAL NECESSARY AND REQUIRED FOR:

8502023HW0001C - HWCSCHPKR

**SAFE ROUTES TO SCHOOLS –  
BOROUGH OF BROOKLYN AND STATEN ISLAND QUEENS**

---

This addendum is issued for the purpose of amending the requirements of the Bid and Contract Documents and is hereby made a part of said Bid and Contract Documents to the same extent as though it were originally included therein.

The bidder is advised that the items listed below apply to the project:

1. **Bid Date Revised to 03/13/23**
2. **Bidders Questions and Responses to Questions:**  
No Attachment A is included with this Addendum.
3. **Revisions to Documents:**  
No Attachment B is included with this Addendum.
4. **Revisions to PASSPort forms:**  
Attachment C is included with this Addendum.

Transferring Data Between Rounds of an RFX: A new document titled “Transferring Data Between Rounds of an RFX” has been added to the Documents section of the View RFX tab. Please refer to this document when an addendum has been issued. Note: Whenever an addendum is issued, the RFX item grid will be cleared. You can import the work you have already done by following the steps on this document.

DDC strongly advises vendors to finalize and submit bids 48 hours prior to due date and time. The City is not responsible for technical issues (e.g. internet connection, power outages, technology malfunction, computer errors, etc.) related to bid submissions.

If additional information is required, please contact the Department of Design and Construction, Contract Section at (718) 391-1041 or by email at [CSB\\_projectinquiries@ddc.nyc.gov](mailto:CSB_projectinquiries@ddc.nyc.gov).

---

Richard Jones, PE CWI CDT  
Executive Director, Specifications

**DDC PROJECT #:** HWCSCHPKR

**PROJECT NAME:** SAFE ROUTES TO SCHOOLS -BOROUGH OF BROOKLYN AND  
STATEN ISLAND

**ATTACHMENT A - BIDDERS QUESTIONS AND DDC RESPONSES**

**None**

**DDC PROJECT #:** HWCSCHPKR

**PROJECT NAME:** SAFE ROUTES TO SCHOOLS -BOROUGH OF BROOKLYN AND  
STATEN ISLAND

**ATTACHMENT B – REVISIONS TO THE DOCUMENTS**

None

**DDC PROJECT #:** HWCSCHPKR

**PROJECT NAME:** SAFE ROUTES TO SCHOOLS -BOROUGH OF BROOKLYN AND  
STATEN ISLAND

**Bid date Revised.**

**ATTACHMENT C – REVISIONS TO PASSPORT FORMS**

**This Addendum Initiates Round 3 of the procurement.**

*Please note that numbering of addenda is independent of rounds.*

**Questionnaire Changes:**

Added FTA Section



**Department of  
Design and  
Construction**

---

**DIVISION OF INFRASTRUCTURE  
BUREAU OF DESIGN**

**VOLUME 3 OF 3**

**PROJECT ID: HWCSCHPKR**

**SAFE ROUTES TO SCHOOLS  
IN THE VICINITY OF THE FOLLOWING SCHOOLS**

**M.S. 598**

**OUR LADY OF PERPETUAL HELP (OLPH)**

**P.S. 169**

**P.S. 156 / P.S. 189 / P.S. 327**

**P.S. 380**

**P.S. 41**

**INCLUDING CURB EXTENSIONS, BUS PADS, PEDESTRIAN RAMPS, SEWER, WATER MAIN,  
STREET LIGHTING, AND TRAFFIC WORK**

**TOGETHER WITH ALL WORK INCIDENTAL THERETO**

**BOROUGH OF BROOKLYN AND STATEN ISLAND  
CITY OF NEW YORK**

---

---

***Contractor***

**Dated** \_\_\_\_\_, 20\_\_\_\_