



**THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE**

30-30 THOMSON AVENUE
LONG ISLAND CITY, NEW YORK 11101-3045
TELEPHONE (718) 391-1000
WEBSITE www.nyc.gov/buildnyc

LAW

VOLUME 1 OF 3

BID BOOKLET

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED FOR:

PROJECT ID: GE353

**DISTRIBUTION WATER MAIN EXTENSION AND REPLACEMENT
ADJACENT TO T.A. FACILITIES IN:**

BROOKLYN:

**FLATBUSH AVENUE BETWEEN ATLANTIC AVENUE AND 5TH AVENUE;
DRIGGS AVENUE BETWEEN LORIMER STREET AND NORTH 12TH
STREET; UNION AVENUE BETWEEN NORTH STREET AND RICHARD
STREET;**

SOUTH QUEENS:

**ROCKAWAY FREEWAY BETWEEN BEACH 98TH STREET AND BEACH
101ST STREET; BEACH 98TH STREET BETWEEN ROCKAWAY BEACH
BOULEVARD AND BEACH CHANNEL DRIVE; BEACH 100TH STREET
BETWEEN ROCKAWAY BEACH BOULEVARD AND ROCKAWAY
FREEWAY; BEACH 101ST STREET BETWEEN ROCKAWAY BEACH
BOULEVARD AND BEACH CHANNEL DRIVE; JAMAICA AVENUE
BETWEEN 116TH STREET AND 123RD STREET;**

**Together With All Work Incidental Thereto
BOROUGH OF BROOKLYN AND QUEENS
CITY OF NEW YORK**

**FOR THE DEPARTMENT OF ENVIRONMENTAL PROTECTION
PREPARED BY
IN-HOUSE DESIGN**

October 22, 2014



15-060



**Department of
Design and
Construction**

Dr. Feniosky Peña-Mora
Commissioner

Andrea Glick
Deputy Commissioner
Administration

John Goddard
Agency Chief
Contracting Officer

Lorraine Holley
Deputy ACCO
Competitive Sealed
Bid Contracts

April 23, 2015

CERTIFIED MAIL - RETURN RECEIPT REQUEST

P & T II Contracting Corp.
2417 Jericho Turnpike Ste 315
Garden City Park, NY 11040

**RE: FMS ID: GE-353
E-PIN: 85015B0054001
DDC PIN: 8502013WM0009C
Distribution Water Main Extension And
Replacement Adjacent To T.A. Facilities - Borough
Of Brooklyn And Queens
NOTICE OF AWARD**

Dear Contractor:

You are hereby awarded the above referenced contract based upon your bid in the amount of \$5,581,324.01 submitted at the bid opening on January 07, 2015. Within ten (10) days of your receipt of this notice of award, you are required to take the actions set forth in Paragraphs (1) through (3) below. For your convenience, attached please find a copy of Schedule A of the General Conditions to the Contract, which sets forth the types and amounts of insurance coverage required for this contract.

- (1) Execute four copies of the Agreement in the Contracts Unit, 30-30 Thomson Avenue, 1st Floor, Long Island City, New York (IDCNY Building). A Commissioner of Deeds will be available to witness and notarize your signature. The Agreement must be signed by an officer of the corporation or a partner of the firm and sealed with a corporation seal.
- (2) Submit to the Contracts Unit four properly executed performance and payment bonds. If required for this contract, copies of performance and payment bonds are attached.
- (3) Submit to the Contracts Unit the following insurance documentation: (a) original certificate of insurance for general liability in the amount required by Schedule A, and (b) original certificates of insurance or other proof of coverage for workers' compensation and disability benefits, as required by New York State Law. The insurance documentation specified in this paragraph is required for registration of the contract with the Comptroller's Office.



Department of
Design and
Construction

On or before the contract commencement date, you are required to submit all other certificates of insurance and/or policies in the types and amounts required by Schedule A. Such certificates of Insurance and/or policies must be submitted to the Agency Chief Contracting Office, Attention: Risk Manager, Fourth Floor at the above indicated department address.

Your attention is directed to the section of the Information for Bidders entitled "Failure to Execute Contract". As indicated in this section, in the event you fail to execute the contract and furnish the required bonds within the (10) days of your receipt of this notice of award, your bid security will be retained by the City and you will be liable for the difference between your bid price and the price for which the contract is subsequently awarded, less the amount of the bid security retained.

Sincerely,

A handwritten signature in black ink, appearing to read "Lorraine Holley". The signature is fluid and cursive, with the first name "Lorraine" and the last name "Holley" clearly distinguishable.

Lorraine Holley

Lorraine Holley

BID FORM
THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE

BID FOR FURNISHING ALL LABOR AND
MATERIAL NECESSARY AND REQUIRED FOR:

PROJECT ID: GE353

DISTRIBUTION WATER MAIN EXTENSION AND REPLACEMENT ADJACENT TO T.A. FACILITIES IN:

BROOKLYN:

FLATBUSH AVENUE BETWEEN ATLANTIC AVENUE AND 5TH AVENUE;
DRIGGS AVENUE BETWEEN LORIMER STREET AND NORTH 12TH STREET; UNION AVENUE BETWEEN NORTH
STREET AND RICHARD STREET;

SOUTH QUEENS:

ROCKAWAY FREEWAY BETWEEN BEACH 98TH STREET AND BEACH 101ST STREET; BEACH 98TH STREET
BETWEEN ROCKAWAY BEACH BOULEVARD AND BEACH CHANNEL DRIVE; BEACH 100TH STREET BETWEEN
ROCKAWAY BEACH BOULEVARD AND ROCKAWAY FREEWAY; BEACH 101ST STREET BETWEEN ROCKAWAY
BEACH BOULEVARD AND BEACH CHANNEL DRIVE; JAMAICA AVENUE BETWEEN 116TH STREET AND 123RD
STREET;

Together With All Work Incidental Thereto
BOROUGH OF BROOKLYN AND QUEENS

Name of Bidder: PET II Contracting Corp.
Date of Bid Opening: January 7, 2015
Bidder is: (Check one, whichever applies) Individual () Partnership () Corporation (☒)
Place of Business of Bidder: 2417 Jericho tpike #315 Garden City Pk, NY 11040
Bidder's Telephone Number: 718. 206. 0245 Fax Number: 718. 206. 0083
Bidder's E-Mail Address: pt2contracting@gmail.com
Residence of Bidder (If Individual): _____

If Bidder is a Partnership, fill in the following blanks:

Names of Partners

Residence of Partners

If Bidder is a Corporation, fill in the following blanks:

Organized under the laws of the State of New York
Name and Home Address of President: Lenny Pereira
57 ledgewood dr. Smithtown. n.y.
Name and Home Address of Secretary: Daniel McCallan
1023 Friendly Road. Upper Brookville. N.Y.
Name and Home Address of Treasurer: _____

BID FORM

The above-named Bidder affirms and declares:

1. The said bidder is of lawful age and the only one interested in this bid; and no person, firm or corporation other than hereinbefore named has any interest in this bid, or in the Contract proposed to be taken.
2. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief: (1) the prices in this bid have been arrived at independently without collusion, consultation, communication or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor; (2) unless otherwise required by law, the prices quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and (3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.
3. No councilman or other officer or employee or person whose salary is payable in whole or in part from the City Treasury is directly or indirectly interested in this bid, or in the supplies, materials, equipment, work or labor to which it relates, or in any of the profits thereof.
4. The bidder is not in arrears to the City of New York upon debt or contract or taxes, and is not a defaulter, as surety or otherwise, upon any obligation of the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York or State of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except as set forth on the Affirmation included as page C-6 of this Bid Booklet.

The bidder hereby affirms that it has paid all applicable City income, excise and other taxes for all years it has conducted business activities in New York City.

5. The bidder, as an individual, or as a member, partner, director or officer of the bidder, if the same be a firm, partnership or corporation, executes this document expressly warranting and representing that should this bid be accepted by the City and the Contract awarded to him, he and his subcontractors engaged in the performance: (1) will comply with the provisions of Section 6-108 of the Administrative Code of the City of New York and the non-discrimination provisions of Section 220a of the New York State Labor Law, as more expressly and in detail set forth in the Agreement; (2) will comply with Section 6-109 of the Administrative Code of the City of New York in relation to minimum wages and other stipulations as more expressly and in detail set forth in the Agreement; (3) have complied with the provisions of the aforesaid laws since their respective effective dates, and (4) will post notices to be furnished by the City, setting forth the requirements of the aforesaid laws in prominent and conspicuous places in each and every plant, factory, building and structure where employees engaged in the performance of the Contract can readily view it, and will continue to keep such notices posted until the supplies, materials and equipment, or work labor and services required to be furnished or rendered by the Contractor have been finally accepted by the City. In the event of any breach or violation of the foregoing, the Contractor may be subject to damages, liquidated or otherwise, cancellation of the Contract and suspension as a bidder for a period of three years. (The words, "the bidder", "he", "his", and "him" where used herein shall mean the individual bidder, firm, partnership or corporation executing the bid).

BID FORM

PROJECT ID: GE353

TOTAL BID PRICE: In the space provided below, the Bidder shall indicate its Total Bid Price in figures. Such Total Bid Price is set forth on the final page of the Bid Schedule.

**TOTAL BID PRICE:
(a/k/a BID PROPOSAL)**

\$ 5,581,324.01
① 11/7/15

BIDDER'S SIGNATURE AND AFFIDAVIT

Bidder: PETI Contracting Corp.

By: [Signature]
(Signature of Partner or corporate officer)

Attest:
(Corporate Seal)

Secretary of Corporate Bidder

Affidavit on the following page should be subscribed
and sworn to before a Notary Public

BID FORM (TO BE NOTARIZED)

AFFIDAVIT WHERE BIDDER IS AN INDIVIDUAL

STATE OF NEW YORK, COUNTY OF _____ ss:

being duly sworn says:

I am the person described in and who executed the foregoing bid, and the several matters therein stated are in all respects true.

(Signature of the person who signed the Bid)

Subscribed and sworn to before me this _____
day of _____,

Notary Public

AFFIDAVIT WHERE BIDDER IS A PARTNERSHIP

STATE OF NEW YORK, COUNTY OF _____ ss:

being duly sworn says:

I am a member of _____ the firm described in and which executed the foregoing bid. I subscribed the name of the firm thereto on behalf of the firm, and the several matters therein stated are in all respects true.

(Signature of Partner who signed the Bid)

Subscribed and sworn to before me this _____
day of _____,

Notary Public

AFFIDAVIT WHERE BIDDER IS A CORPORATION

STATE OF NEW YORK, COUNTY OF Queens ss:

being duly sworn says:

I am the Lenny Pereira President of the above named corporation whose name is subscribed to and which executed the foregoing bid. I reside at 57 Lodgewood DR. Smithtown N.Y.
I have knowledge of the several matters therein stated, and they are in all respects true.

(Signature of Partner who signed the Bid)

Subscribed and sworn to before me this 6th
day of JANUARY, 2016

Claudia J. Whitfield
Notary Public

Claudia J. Whitfield
CLAUDIA J. WHITFIELD
NOTARY PUBLIC-STATE OF NEW YORK
No. 01WH5004514
Qualified in Queens County 2018
My Commission Expires November 16, _____

AFFIRMATION

The undersigned bidder affirms and declares that said bidder is not in arrears to the City of New York upon debt, contract or taxes and is not a defaulter, as surety or otherwise, upon obligation to the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except: NONE

(If none, the bidder shall insert the word "None" in the space provided above.)

Full Name of Bidder: P&T II Contracting Corp.

Address: 2417 Seicho Ave # 315

City Garden City Park State N.Y.

Zip Code 11040

CHECK ONE BOX AND INCLUDE APPROPRIATE NUMBER:

☐ A - Individual or Sole Proprietorship*
SOCIAL SECURITY NUMBER

☐ B - Partnership, Joint Venture or other unincorporated organization
EMPLOYER IDENTIFICATION NUMBER

☒ C - Corporation
EMPLOYER IDENTIFICATION NUMBER

20.4708892

By: _____

Signature

Title: _____

President

If a corporation, place seal here

This affirmation must be signed by an officer or duly authorized representative.

*Under the Federal Privacy Act the furnishing of Social Security Numbers by bidders on City contracts is voluntary. Failure to provide a Social Security Number will not result in a bidder's disqualification. Social Security Numbers will be used to identify bidders, proposers or vendors to ensure their compliance with laws, to assist the City in enforcement of laws, as well as to provide the City a means of identifying of businesses which seek City contracts.

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12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| LINE NO. | DESCRIPTION | QUANTITY | UNIT | UNIT PRICE | TOTAL PRICE |
|----------|---|----------|------|-------------------|-----------------------|
| 001 | 4.02 AB-R ASPHALTIC CONCRETE WEARING COURSE, 1-1/2" THICK | 9,569.00 | S.Y. | 25 ⁰⁰ | 239,225 ⁰⁰ |
| 002 | 4.02 CA BINDER MIXTURE | 2,691.00 | TONS | 150 ⁰⁰ | 403,650 ⁰⁰ |
| 003 | 4.04 H CONCRETE BASE FOR PAVEMENT, VARIABLE THICKNESS FOR TRENCH RESTORATION, (HIGH-EARLY STRENGTH) | 962.00 | C.Y. | 350 ⁰⁰ | 336,700 ⁰⁰ |
| 004 | 4.05 AX HIGH-EARLY STRENGTH REINFORCED CONCRETE PAVEMENT (BUS STOPS) | 500.00 | C.Y. | 1 ⁰⁰ | 500 ⁰⁰ |
| 005 | 4.08 AA CONCRETE CURB (18" DEEP) | 600.00 | L.F. | 20 ⁰⁰ | 12,000 ⁰⁰ |
| 006 | 4.09 AD STRAIGHT STEEL FACED CONCRETE CURB (18" DEEP) | 840.00 | L.F. | 30 ⁰⁰ | 25,200 ⁰⁰ |

B - 4
[REVISION # 1]

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Fax: 718-391-2615

Dec 19 2014 04:18pm P006/031

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| ITEM NO. | DESCRIPTION | QUANTITY | UNIT | UNIT PRICE | TOTAL PRICE |
|----------|---|----------|------|---|----------------------|
| 007 | 4.09 AE STRAIGHT STEEL FACED CONCRETE CURB (21" DEEP) | 240.00 | L.F. | 30 ⁰⁰ | 7,200 ⁰⁰ |
| 008 | 4.09 BD DEPRESSED STEEL FACED CONCRETE CURB (18" DEEP) | 120.00 | L.F. | 30 ⁰⁰ | 3,600 ⁰⁰ |
| 009 | 4.09 BE DEPRESSED STEEL FACED CONCRETE CURB (21" DEEP) | 120.00 | L.F. | 30 ⁰⁰ | 3,600 ⁰⁰ |
| 010 | 4.09 CD CORNER STEEL FACED CONCRETE CURB (18" DEEP) | 40.00 | L.F. | <i>my</i> <i>01/06/15</i> 40 ⁰⁰ 60 ⁰⁰ | 2,400 ⁰⁰ |
| 011 | 4.09 CE CORNER STEEL FACED CONCRETE CURB (21" DEEP) | 40.00 | L.F. | 60 ⁰⁰ | 2,400 ⁰⁰ |
| 012 | 4.13 AAS 4" CONCRETE SIDEWALK (UNPIGMENTED) | 7,100.00 | S.F. | 5 ⁰⁰ | 35,500 ⁰⁰ |

B - 5
[REVISION # 1]

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Fax: 718-391-2615

Dec 19 2014 04:18pm P007/031

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| ITEM NO. | DESCRIPTION | UNIT PRICE | UNIT | QUANTITY | AMOUNT |
|----------|--|------------|------|-------------------|---------------------|
| 013 | 4.13 BAS 7" CONCRETE SIDEWALK (UNPIGMENTED) | 700.00 | S.F. | 6 ⁰⁰ | 4,200 ⁰⁰ |
| 014 | 4.13 DE EMBEDDED PREFORMED DETECTABLE WARNING UNITS | 100.00 | S.F. | 1 ⁰⁰ | 100 ⁰⁰ |
| 015 | 4.18 A MAINTENANCE TREE PRUNING (UNDER 12" CAL.) | 15.00 | EACH | 100 ⁰⁰ | 1,500 ⁰⁰ |
| 016 | 4.18 B MAINTENANCE TREE PRUNING (12" TO UNDER 18" CAL.) | 5.00 | EACH | 125 ⁰⁰ | 625 ⁰⁰ |
| 017 | 4.18 C MAINTENANCE TREE PRUNING (18" TO UNDER 24" CAL.) | 2.00 | EACH | 150 ⁰⁰ | 300 ⁰⁰ |
| 018 | 4.18 D MAINTENANCE TREE PRUNING (24" CAL. AND OVER) | 20.00 | EACH | 200 ⁰⁰ | 4,000 ⁰⁰ |

B-6
[REVISION # 1]

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Fax: 718-391-2615

Dec 19 2014 04:19pm P008/031

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| ITEM NO. | DESCRIPTION | UNIT PRICE | UNIT | QUANTITY | AMOUNT | TOTAL |
|----------|--|------------|------|----------|--------|------------|
| 019 | 4.21 TREE CONSULTANT | 252.00 | P/HR | 100 | 00 | 25,200 00 |
| 020 | 51.41S001 STANDARD CATCH BASIN, TYPE 1 | 10.00 | EACH | 2,500 | 00 | 25,000 00 |
| 021 | 52.11D12 12" DUCTILE IRON PIPE BASIN CONNECTION | 500.00 | L.F. | 250 | 00 | 125,000 00 |
| 022 | 6.02 AAN UNCLASSIFIED EXCAVATION | 2,658.00 | C.Y. | 50 | 00 | 132,900 00 |
| 023 | 6.02 XHEC INCREMENTAL COST FOR MODIFYING WORK METHODS NEAR (WITHIN 3 FEET OF) TRANSIT FACILITIES AND BUILDING VAULTS | 850.00 | C.Y. | 75 | 00 | 63,750 00 |
| 024 | 6.02 XSCW INCREMENTAL COST FOR USING SPECIAL CARE WORK METHODS NEAR (FROM 3 FEET TO 50 FEET) TRANSIT FACILITIES | 250.00 | C.Y. | 50 | 00 | 12,500 00 |

B - 7
[REVISION # 1]

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Fax: 718-391-2615

Dec 19 2014 04:19pm P009/031

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| ITEM NO. | DESCRIPTION | UNIT PRICE | UNIT | QUANTITY | TOTAL PRICE |
|----------|---|------------|-------|---------------------|----------------------|
| 025 | 6.25 RS TEMPORARY SIGNS | 2,200.00 | S.F. | 1 ⁰⁰ | 2,200 ⁰⁰ |
| 026 | 6.26 TIMBER CURB | 500.00 | L.F. | 1 ⁰⁰ | 500 ⁰⁰ |
| 027 | 6.28 AA LIGHTED TIMBER BARRICADES | 300.00 | L.F. | 1 ⁰⁰ | 300 ⁰⁰ |
| 028 | 6.40 C ENGINEER'S FIELD OFFICE (TYPE C) | 18.00 | MONTH | 3,000 ⁰⁰ | 54,000 ⁰⁰ |
| 029 | 6.44 THERMOPLASTIC REFLECTORIZED PAVEMENT MARKINGS (4" WIDE) | 4,200.00 | L.F. | 1 ⁰⁰ | 4,200 ⁰⁰ |
| 030 | 6.49 TEMPORARY PAVEMENT MARKINGS (4" WIDE) | 8,400.00 | L.F. | 0 ⁰¹ | 84 ⁰⁰ |

B - 8
[REVISION # 1]

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Fax: 718-391-2615

Dec 19 2014 04:19pm P010/031

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| LINE | DESCRIPTION | UNIT | QUANTITY | UNIT PRICE | TOTAL PRICE |
|------|--|---------------|----------|------------|-------------|
| 031 | 6.50 CLEANING OF DRAINAGE STRUCTURES | 10.00 EACH | 500 | 00 | 5,000 00 |
| 032 | 6.52 CG CROSSING GUARD | 2,112.00 P/HR | 1 | 00 | 2,112 00 |
| 033 | 6.53 REMOVE EXISTING LANE MARKINGS (4" WIDE) | 4,200.00 L.F. | 0 | 01 | 42 00 |
| 034 | 6.84 B LOLLIPOP TYPE BUS STOP SIGNS PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 18,000.00 | 1.00 F.S. | 18,000 | 00 | 18,000 00 |
| 035 | 6.87 PLASTIC BARRELS | 4,160.00 EACH | 1 | 00 | 4,160 00 |

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| ITEM NO. | DESCRIPTION | UNIT PRICE | UNIT | QUANTITY | TOTAL PRICE |
|----------|--|------------|------|-------------------|-----------------------|
| 036 | 6.91 REFLECTIVE CRACKING MEMBRANE (18" WIDE) | 20,810.00 | L.F. | 0 ⁰¹ | 208 ¹⁰ |
| 037 | 60.11R516 FURNISHING AND DELIVERING 18-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 55) | 50.00 | L.F. | 100 ⁰⁰ | 5,000 ⁰⁰ |
| 038 | 60.11R520 FURNISHING AND DELIVERING 20-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 55) | 865.00 | L.F. | 100 ⁰⁰ | 86,500 ⁰⁰ |
| 039 | 60.11R604 FURNISHING AND DELIVERING 4-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56) | 30.00 | L.F. | 25 ⁰⁰ | 750 ⁰⁰ |
| 040 | 60.11R606 FURNISHING AND DELIVERING 6-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56) | 345.00 | L.F. | 25 ⁰⁰ | 8,625 ⁰⁰ |
| 041 | 60.11R608 FURNISHING AND DELIVERING 8-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56) | 4,245.00 | L.F. | 50 ⁰⁰ | 212,250 ⁰⁰ |

B - 10
(REVISION # 1)

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Fax: 718-391-2615

Dec 19 2014 04:20pm P012/031

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| Item Number | Description | Unit Price | Unit | Quantity | Amount |
|-------------|--|------------|------|-------------------|-----------------------|
| 042 | 60.11R612 FURNISHING AND DELIVERING 12-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56) | 3,995.00 | L.F. | 75 ⁰⁰ | 299,625 ⁰⁰ |
| 043 | 60.12D04 LAYING 4-INCH DUCTILE IRON PIPE AND FITTINGS | 35.00 | L.F. | 100 ⁰⁰ | 3,500 ⁰⁰ |
| 044 | 60.12D06 LAYING 6-INCH DUCTILE IRON PIPE AND FITTINGS | 365.00 | L.F. | 100 ⁰⁰ | 36,500 ⁰⁰ |
| 045 | 60.12D08 LAYING 8-INCH DUCTILE IRON PIPE AND FITTINGS | 4,500.00 | L.F. | 120 ⁰⁰ | 540,000 ⁰⁰ |
| 046 | 60.12D12 LAYING 12-INCH DUCTILE IRON PIPE AND FITTINGS | 4,250.00 | L.F. | 135 ⁰⁰ | 573,750 ⁰⁰ |
| 047 | 60.12D16 LAYING 16-INCH DUCTILE IRON PIPE AND FITTINGS | 55.00 | L.F. | 200 ⁰⁰ | 11,000 ⁰⁰ |

B - 11
[REVISION # 1]

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Fax: 718-391-2615

Dec 19 2014 04:20pm P013/031

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| ITEM NO. | DESCRIPTION | UNIT PRICE | UNIT | QUANTITY | AMOUNT |
|----------|---|------------|------|---------------------|-----------------------|
| 048 | 60.12D20 LAYING 20-INCH DUCTILE IRON PIPE AND FITTINGS | 955.00 | L.F. | 200 ⁰⁰ | 191,000 ⁰⁰ |
| 049 | 60.13M0A24 FURNISHING AND DELIVERING DUCTILE IRON MECHANICAL JOINT 24-INCH DIAMETER AND SMALLER FITTINGS, INCLUDING WEDGE TYPE RETAINER GLANDS | 30.00 | TONS | 1 ⁰⁰ | 30 ⁰⁰ |
| 050 | 60.18BJC20EL FURNISHING, DELIVERING AND INSTALLING BELL JOINT CLAMPS, COMPLETE FOR 20-INCH PIPE AND LESS | 20.00 | EACH | 1 ⁰⁰ | 20 ⁰⁰ |
| 051 | 61.11DMM06 FURNISHING AND DELIVERING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 33.00 | EACH | 1,000 ⁰⁰ | 33,000 ⁰⁰ |
| 052 | 61.11DMM08 FURNISHING AND DELIVERING 8-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 27.00 | EACH | 1,900 ⁰⁰ | 51,300 ⁰⁰ |

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| SECTION | ITEM DESCRIPTION | QUANTITY | UNIT | UNIT PRICE | TOTAL PRICE |
|---------|---|----------|------|----------------------|----------------------|
| 053 | 61.11DMM12 FURNISHING AND DELIVERING 12-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 18.00 | EACH | 2,800 ⁰⁰ | 50,400 ⁰⁰ |
| 054 | 61.11DMM20 FURNISHING AND DELIVERING 20-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 4.00 | EACH | 15,000 ⁰⁰ | 60,000 ⁰⁰ |
| 055 | 61.11TWC03 FURNISHING AND DELIVERING 3-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 3.00 | EACH | 1 ⁰⁰ | 3 ⁰⁰ |
| 056 | 61.11TWC04 FURNISHING AND DELIVERING 4-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 3.00 | EACH | 1 ⁰⁰ | 3 ⁰⁰ |
| 057 | 61.11TWC06 FURNISHING AND DELIVERING 6-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 2.00 | EACH | 1 ⁰⁰ | 2 ⁰⁰ |

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| ITEM NO. | DESCRIPTION | UNIT | QUANTITY | UNIT PRICE | TOTAL PRICE |
|----------|--|------------|----------|------------|-------------|
| 058 | 61.11TWC08 FURNISHING AND DELIVERING 8-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 2.00 EACH | 1 | 2.00 | 2.00 |
| 059 | 61.12DMM06 SETTING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 33.00 EACH | 500 | 16,500.00 | 16,500.00 |
| 060 | 61.12DMM08 SETTING 8-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 27.00 EACH | 1,000 | 27,000.00 | 27,000.00 |
| 061 | 61.12DMM12 SETTING 12-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 18.00 EACH | 1,500 | 27,000.00 | 27,000.00 |
| 062 | 61.12DMM20 SETTING 20-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 4.00 EACH | 5,500 | 22,000.00 | 22,000.00 |
| 063 | 61.12TWC03 SETTING 3-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 3.00 EACH | 1 | 3.00 | 3.00 |

B - 14
[REVISION # 1]

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Dec 19 2014 04:21pm P016/031

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| ITEM NO. | DESCRIPTION | UNIT | QUANTITY | UNIT PRICE | TOTAL PRICE |
|----------|--|------------|----------|------------|-------------|
| 064 | 61.12TWC04 SETTING 4-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 3.00 EACH | 1 | 00 | 3 00 |
| 065 | 61.12TWC06 SETTING 6-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 2.00 EACH | 1 | 00 | 2 00 |
| 066 | 61.12TWC08 SETTING 8-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 2.00 EACH | 1 | 00 | 2 00 |
| 067 | 62.11SD FURNISHING AND DELIVERING HYDRANTS | 33.00 EACH | 2,500 | 00 | 82,500 00 |
| 068 | 62.12SG SETTING HYDRANTS COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 33.00 EACH | 1,500 | 00 | 49,500 00 |
| 069 | 62.13RH REMOVING HYDRANTS | 25.00 EACH | 1 | 00 | 25 00 |

B - 15
[REVISION # 1]

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Dec 19 2014 04:21pm P017/031

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| ITEM NO. | ITEM DESCRIPTION | UNIT PRICE | UNIT | QUANTITY | AMOUNT |
|----------|--|------------|------|-------------------|----------------------|
| 070 | 62.14FS FURNISHING, DELIVERING AND INSTALLING HYDRANT FENDERS | 66.00 | EACH | 220 ⁰⁰ | 14,520 ⁰⁰ |
| 071 | 63.11VC FURNISHING AND DELIVERING VARIOUS CASTINGS | 40.00 | TONS | 1 ⁰⁰ | 40 ⁰⁰ |
| 072 | 64.11EL WITHDRAWING AND REPLACING HOUSE SERVICES USING 1-1/2-INCH OR LARGER SCREW TAPS | 85.00 | EACH | 225 ⁰⁰ | 19,125 ⁰⁰ |
| 073 | 64.11ST WITHDRAWING AND REPLACING HOUSE SERVICES USING SMALLER THAN 1-1/2-INCH SCREW TAPS | 100.00 | EACH | 150 ⁰⁰ | 15,000 ⁰⁰ |
| 074 | 64.12COEG CUTTING AND OFFSETTING HOUSE SERVICE WATER CONNECTIONS (EQUAL TO OR GREATER THAN 3-INCH DIAMETER) | 425.00 | L.F. | 1 ⁰⁰ | 425 ⁰⁰ |
| 075 | 64.12COLT CUTTING AND OFFSETTING HOUSE SERVICE WATER CONNECTIONS (LESS THAN 3-INCH DIAMETER) | 925.00 | L.F. | 1 ⁰⁰ | 925 ⁰⁰ |

B - 16
[REVISION # 1]

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Fax: 718-391-2615

Dec 19 2014 04:22pm P018/031

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| ITEM NO. | ITEM DESCRIPTION | UNIT | QUANTITY | UNIT PRICE | TOTAL PRICE |
|----------|--|------|----------|------------------|---------------------|
| 076 | 64.12ESEG EXTENDING HOUSE SERVICE WATER CONNECTIONS (EQUAL TO OR GREATER THAN 3-INCH DIAMETER) | LF. | 50.00 | 75 ⁰⁰ | 3,750 ⁰⁰ |
| 077 | 64.12ESLT EXTENDING HOUSE SERVICE WATER CONNECTIONS (LESS THAN 3-INCH DIAMETER) | LF. | 100.00 | 50 ⁰⁰ | 5,000 ⁰⁰ |
| 078 | 64.13WC08 FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 8-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS | EACH | 6.00 | 1 ⁰⁰ | 6 ⁰⁰ |
| 079 | 64.13WC12 FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 12-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS | EACH | 2.00 | 1 ⁰⁰ | 2 ⁰⁰ |
| 080 | 64.13WC20 FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 20-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS | EACH | 2.00 | 1 ⁰⁰ | 2 ⁰⁰ |
| 081 | 65.11BR FURNISHING, DELIVERING AND INSTALLING BANDS, RODS, WASHERS, ETC., COMPLETE, FOR RESTRAINING JOINTS | LBS. | 3,384.00 | 1 ⁰⁰ | 3,384 ⁰⁰ |

B - 17
[REVISION # 1]

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Dec 19 2014 04:22pm P019/031

12/19/2014 12:00 AM

**NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN**

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| COL 1 | COL 2 | COL 3 | COL 4 | COL 5 | | COL 6 | |
|---------|---|---------------------------------------|-------|----------------------------|-----|---------------------------------|-----|
| SEQ. NO | ITEM NUMBER and DESCRIPTION | ENGINEER'S ESTIMATE OF QUANTITY | UNIT | UNIT PRICE (IN FIGURES) | | EXTENDED AMOUNT (IN FIGURES) | |
| | | | | DOLLARS | CTS | DOLLARS | CTS |
| 082 | 65.21PS FURNISHING AND PLACING POLYETHYLENE SLEEVE Unit price bid shall not be less than: \$ 0.50 | 9,988.00 | L.F. | 0 | 50 | 4,994 | 00 |
| 083 | 65.31FF FURNISHING, DELIVERING AND PLACING FILTER FABRIC Unit price bid shall not be less than: \$ 0.15 | 78,860.00 | S.F. | 0 | 15 | 11,829 | 00 |
| 084 | 65.51PC FURNISHING AND PLACING CAST-IN-PLACE CONCRETE CLASS 40 AND PRECAST CONCRETE CLASS 50 | 35.00 | C.Y. | 1,000 | 00 | 35,000 | 00 |
| 085 | 65.61SS FURNISHING, DELIVERING AND PLACING STRUCTURAL, REINFORCING AND MISCELLANEOUS STEEL | 40,000.00 | LBS. | 2 | 00 | 80,000 | 00 |
| 086 | 65.71SG FURNISHING, DELIVERING AND PLACING SCREENED GRAVEL OR SCREENED BROKEN STONE BEDDING | 603.00 | C.Y. | 1 | 00 | 603 | 00 |
| 087 | 7.13 B MAINTENANCE OF SITE Unit price bid shall not be less than: \$ 4,000.00 | 12.00 | MONTH | 4,000 | 00 | 48,000 | 00 |

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| SECTION | DESCRIPTION | UNIT PRICE | UNIT | QUANTITY | TOTAL |
|---------|---|------------|-------|---------------------|----------------------|
| 088 | 7.19 LOAD TRANSFER JOINT | 350.00 | L.F. | 1 ⁰⁰ | 350 ⁰⁰ |
| 089 | 7.36 PEDESTRIAN STEEL BARRICADES | 8,400.00 | L.F. | 1 ⁰⁰ | 8,400 ⁰⁰ |
| 090 | 7.88 AA RODENT INFESTATION SURVEY AND MONITORING Unit price bid shall not be less than: \$ 6,750.00 | 1.00 | L.S. | 6,750 ⁰⁰ | 6,750 ⁰⁰ |
| 091 | 7.88 AB RODENT BAIT STATIONS Unit price bid shall not be less than: \$ 60.00 | 1,080.00 | EACH | 60 ⁰⁰ | 64,800 ⁰⁰ |
| 092 | 7.88 AC BAITING OF RODENT BAIT STATIONS Unit price bid shall not be less than: \$ 9.25 | 1,080.00 | EACH | 9 ²⁵ | 9,990 ⁰⁰ |
| 093 | 7.88 AD WATERBUG BAIT APPLICATIONS Unit price bid shall not be less than: \$ 65.00 | 324.00 | BLOCK | 65 ⁰⁰ | 21,060 ⁰⁰ |

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| ITEM NO. | DESCRIPTION | UNIT PRICE | UNIT | QUANTITY | TOTAL |
|----------|--|------------|------|------------------|----------------------|
| 094 | 70.31FN FENCING Unit price bid shall not be less than: \$ 2.00 | 1,000.00 | L.F. | 2 ⁰⁰ | 2,000 ⁰⁰ |
| 095 | 70.51EO EXCAVATION OF BOULDERS IN OPEN CUT Unit price bid shall not be less than: \$ 75.00 | 15.00 | C.Y. | 75 ⁰⁰ | 1,125 ⁰⁰ |
| 096 | 70.61RE ROCK EXCAVATION | 10.00 | C.Y. | 1 ⁰⁰ | 10 ⁰⁰ |
| 097 | 70.81CB CLEAN BACKFILL Unit price bid shall not be less than: \$ 15.00 | 2,010.00 | C.Y. | 15 ⁰⁰ | 30,150 ⁰⁰ |
| 098 | 70.91SW12 FURNISHING AND PLACING SHEETING AND BRACING IN TRENCH FOR WATER MAIN PIPE 12-INCH IN DIAMETER AND LESS | 9,205.00 | S.F. | 0 ⁰¹ | 92 ⁰⁵ |
| 099 | 70.91SW20 FURNISHING AND PLACING SHEETING AND BRACING IN TRENCH FOR WATER MAIN PIPE 20-INCH IN DIAMETER | 955.00 | S.F. | 0 ⁰¹ | 9 ⁵⁵ |

B - 20
[REVISION # 1]

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Dec 19 2014 04:23pm P022/031

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| LINE NO. | DESCRIPTION | QUANTITY | UNIT | UNIT PRICE | TOTAL PRICE |
|----------|--|----------|------|-------------------|-----------------------|
| 100 | 73.11AB ADDITIONAL BRICK MASONRY Unit price bid shall not be less than: \$ 62.50 | 10.00 | C.Y. | 62 ⁵⁰ | 625 ⁰⁰ |
| 101 | 73.21AC ADDITIONAL CONCRETE Unit price bid shall not be less than: \$ 62.50 | 50.00 | C.Y. | 62 ⁵⁰ | 3,125 ⁰⁰ |
| 102 | 73.31AE0 ADDITIONAL EARTH EXCAVATION INCLUDING TEST PITTS (ALL DEPTHS) Unit price bid shall not be less than: \$ 20.00 | 265.00 | C.Y. | 20 ⁰⁰ | 5,300 ⁰⁰ |
| 103 | 73.41AG ADDITIONAL SELECT GRANULAR BACKFILL Unit price bid shall not be less than: \$ 15.00 | 225.00 | C.Y. | 15 ⁰⁰ | 3,375 ⁰⁰ |
| 104 | 75.11RT REMOVAL OF ABANDONED TRACKS | 1,000.00 | L.F. | 150 ⁰⁰ | 150,000 ⁰⁰ |

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| LINE NO. | DESCRIPTION | QUANTITY | UNIT | UNIT PRICE | TOTAL PRICE |
|----------|--|----------|------|------------|-------------|
| 105 | 79.11AATA ALLOWANCE FOR ASBESTOS ABATEMENT WORK PERFORMED ON EXISTING TRANSIT AUTHORITY DUCT INSULATION (REMOVAL OF ACM, REPLACEMENT WITH NON-ACM, AND SUPPORT AND PROTECTION OF EXISTING TRANSIT AUTHORITY DUCT, COMPLETE) PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 500,000.00 | 1.00 | F.S. | 500,000 00 | 500,000 00 |
| 106 | 8.02 A SPECIAL CARE EXCAVATION AND RESTORATION FOR SIDEWALK WORK | 1,000.00 | S.F. | 5 00 | 5,000 00 |
| 107 | 8.02 B SPECIAL CARE EXCAVATION AND RESTORATION FOR CURB WORK | 500.00 | L.F. | 10 00 | 5,000 00 |
| 108 | T-1.2 INSTALL TYPE "F-1" FOUNDATION | 1.00 | EACH | 250 00 | 250 00 |
| 109 | T-1.20 REMOVE TYPE "M" SERIES FOUNDATION | 1.00 | EACH | 500 00 | 500 00 |

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| ITEM NO. | DESCRIPTION | QUANTITY | UNIT | UNIT PRICE | TOTAL PRICE |
|----------|--|----------|------|-------------------|-------------------|
| 110 | T-1.23 REMOVE STREET LIGHT FOUNDATION | 1.00 | EACH | 500 ⁰⁰ | 500 ⁰⁰ |
| 111 | T-1.3 INSTALL TYPE "M2-56" FOUNDATION | 1.00 | EACH | 350 ⁰⁰ | 350 ⁰⁰ |
| 112 | T-2.24 REMOVE TYPE "M" SERIES POST | 1.00 | EACH | 250 ⁰⁰ | 250 ⁰⁰ |
| 113 | T-2.27 REMOVE ANY OTHER TYPE POST | 1.00 | EACH | 500 ⁰⁰ | 500 ⁰⁰ |
| 114 | T-2.38 INSTALL ONE STREET LIGHT POLE | 1.00 | EACH | 500 ⁰⁰ | 500 ⁰⁰ |
| 115 | T-2.4 INSTALL TYPE "M-2" POST | 1.00 | EACH | 500 ⁰⁰ | 500 ⁰⁰ |

B - 23
[REVISION # 1]

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Dec 19 2014 04:24pm P025/031

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| ITEM NO. | DESCRIPTION | QUANTITY | UNIT | UNIT PRICE | TOTAL PRICE |
|----------|--|----------|------|------------------|-------------------|
| 116 | T-20021 b) FURNISH 1" ANCHOR BOLT ASSEMBLIES FOR F-1 (EACH) (4 REQUIRED PER POST) | 4.00 | EACH | 25 ⁰⁰ | 100 ⁰⁰ |
| 117 | T-20220 c) FURNISH 1-1/4" ANCHOR BOLT ASSEMBLIES FOR M-2 (EACH) (4 REQUIRED PER POST) | 4.00 | EACH | 25 ⁰⁰ | 100 ⁰⁰ |
| 118 | T-3.1 INSTALL "ONE-WAY" SIGNAL UNIT ON MAST ARM OR TOP OF TRAFFIC POST | 2.00 | EACH | 50 ⁰⁰ | 100 ⁰⁰ |
| 119 | T-3.18 REMOVE SIGNAL HEAD FROM ANY TYPE POST | 1.00 | EACH | 30 ⁰⁰ | 30 ⁰⁰ |
| 120 | T-3.21 REMOVE PEDESTRIAN SIGNAL OR SIGN UNIT OR OTHER ILLUMINATED SIGNS FROM ANY POST | 4.00 | EACH | 25 ⁰⁰ | 100 ⁰⁰ |
| 121 | T-3.6 INSTALL PEDESTRIAN SIGNAL ON ANY TYPE POST | 4.00 | EACH | 50 ⁰⁰ | 200 ⁰⁰ |

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
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PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| LINE NO. | DESCRIPTION | QUANTITY | UNIT | UNIT PRICE | TOTAL PRICE |
|----------|--|----------|------|-------------------|---------------------|
| 122 | T-31210 h) "HUB" ASSEMBLY *ASSEMBLY IS EQUAL TO ONE PAIR | 2.00 | EACH | 100 ⁰⁰ | 200 ⁰⁰ |
| 123 | T-31215 b) "2MS" | 1.00 | EACH | 100 ⁰⁰ | 100 ⁰⁰ |
| 124 | T-31351 g) "VB-2P" ASSEMBLY *ASSEMBLY IS EQUAL TO ONE PAIR | 2.00 | EACH | 100 ⁰⁰ | 200 ⁰⁰ |
| 125 | T-5.1 FURNISH AND INSTALL 2" RIGID UNDERGROUND CONDUIT IN UNPAVED ROADWAY | 100.00 | L.F. | 20 ⁰⁰ | 2,000 ⁰⁰ |
| 126 | T-5.2 FURNISH AND INSTALL 2" RIGID UNDERGROUND CONDUIT IN PAVED ROADWAY | 50.00 | L.F. | 50 ⁰⁰ | 2,500 ⁰⁰ |
| 127 | T-5.32 RESTORING PERMANENT ROADWAY (INCLUDING SAWCUT) | 50.00 | L.F. | 50 ⁰⁰ | 2,500 ⁰⁰ |

B - 25
[REVISION # 1]

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Fax: 718-391-2615

Dec 19 2014 04:24pm P027/031

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| LINE NO. | DESCRIPTION | UNIT PRICE | UNIT | QUANTITY | AMOUNT |
|----------|--|------------|------|-------------------|---------------------|
| 128 | T-6.10 REMOVE CABLE (INCLUDES OVERHEAD) | 1,500.00 | L.F. | 2 ⁰⁰ | 3,000 ⁰⁰ |
| 129 | T-6.2 INSTALL MULTIPLE CABLE (INCLUDES OVERHEAD) | 1,500.00 | L.F. | 2 ⁰⁰ | 3,000 ⁰⁰ |
| 130 | T-60000B FURNISH 2 c # 10B (SEE SPEC) (BREAKDOWN = 2#10 WITH 3RD WIRE FOR GROUNDING). | 1,500.00 | L.F. | 2 ⁰⁰ | 3,000 ⁰⁰ |
| 131 | T-60040 c) 7 CONDUCTOR, 14 A.W.G. | 1,000.00 | L.F. | 2 ⁰⁰ | 2,000 ⁰⁰ |
| 132 | T-60190 e) 13 CONDUCTOR, 14 A.W.G. | 1,500.00 | L.F. | 2 ⁰⁰ | 3,000 ⁰⁰ |
| 133 | T-8.10 RELOCATE CONCRETE PYLON WITH POST | 1.00 | EACH | 500 ⁰⁰ | 500 ⁰⁰ |

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
 CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| ITEM NO. | DESCRIPTION | QUANTITY | UNIT | UNIT PRICE | TOTAL PRICE |
|----------|---|----------|------|-------------------|----------------------|
| 134 | T-8.8 INSTALL CONCRETE PYLON | 1.00 | EACH | 500 ⁰⁰ | 500 ⁰⁰ |
| 135 | T-8.9 REMOVE CONCRETE PYLON | 1.00 | EACH | 500 ⁰⁰ | 500 ⁰⁰ |
| 136 | T-81000 FURNISH CONCRETE PYLON | 1.00 | EACH | 500 ⁰⁰ | 500 ⁰⁰ |
| 137 | UTL-6.01.8 GAS SERVICES CROSSING TRENCHES AND/OR EXCAVATIONS (\$6.01) Unit price bid shall not be less than: \$ 465.00 | 20.00 | EACH | 465 ⁰⁰ | 9,300 ⁰⁰ |
| 138 | UTL-6.01.9 GAS MAIN CROSSING WATER MAIN UP TO 20" IN DIAMETER (\$6.01) Unit price bid shall not be less than: \$ 485.00 | 36.00 | EACH | 485 ⁰⁰ | 17,460 ⁰⁰ |
| 139 | UTL-6.03 REMOVAL OF ABANDONED GAS FACILITIES, ALL SIZES, (\$6.03) Unit price bid shall not be less than: \$ 15.00 | 2,100.00 | L.F. | 15 ⁰⁰ | 31,500 ⁰⁰ |

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
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PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| LINE NO. | DESCRIPTION | QUANTITY | UNIT | UNIT PRICE | TOTAL PRICE |
|----------|--|----------|------|-------------------|-----------------------|
| 140 | UTL-6.03.1 REMOVAL OF ABANDONED GAS FACILITIES WITH POSSIBLE COAL TAR WRAP. ALL SIZES. (\$6.03) Unit price bid shall not be less than: \$ 25.00 | 100.00 | L.F. | 25 ⁰⁰ | 2,500 ⁰⁰ |
| 141 | UTL-6.04 ADJUST HARDWARE TO GRADE USING SPACER RINGS/ADAPTORS. (STREET REPAVING.) (\$6.04) Unit price bid shall not be less than: \$ 35.00 | 25.00 | EACH | 35 ⁰⁰ | 875 ⁰⁰ |
| 142 | UTL-6.05 ADJUST HARDWARE TO GRADE BY RESETTING. (ROAD RECONSTRUCTION.) (\$6.05) Unit price bid shall not be less than: \$ 65.00 | 25.00 | EACH | 65 ⁰⁰ | 1,625 ⁰⁰ |
| 143 | UTL-6.06 SPECIAL CARE EXCAVATION AND BACKFILLING (\$6.06) Unit price bid shall not be less than: \$ 180.00 | 700.00 | C.Y. | 180 ⁰⁰ | 126,000 ⁰⁰ |
| 144 | UTL-6.06A SPECIAL CARE EXCAVATION AND BACKFILLING FOR TRANSMISSION MAINS (TRANSMISSION MAIN IS DESCRIBED AS ANY GAS MAIN WITH A MAOP GREATER THAN 124-PSIG) (\$6.06A) Unit price bid shall not be less than: \$ 230.00 | 150.00 | C.Y. | 230 ⁰⁰ | 34,500 ⁰⁰ |
| 145 | UTL-6.07 TEST PITS FOR GAS FACILITIES (\$6.07) Unit price bid shall not be less than: \$ 100.00 | 100.00 | C.Y. | 100 ⁰⁰ | 10,000 ⁰⁰ |

B - 28
[REVISION # 1]

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Fax: 718-391-2615

Dec 19 2014 04:25pm P030/031

12/19/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| COL 1 | COL 2 | COL 3 | COL 4 | COL 5 | | COL 6 | |
|---------|--|---------------------------------------|-------|----------------------------|-----|---------------------------------|-----|
| SEQ. NO | ITEM NUMBER and DESCRIPTION | ENGINEER'S ESTIMATE OF QUANTITY | UNIT | UNIT PRICE (IN FIGURES) | | EXTENDED AMOUNT (IN FIGURES) | |
| | | | | DOLLARS | CTS | DOLLARS | CTS |
| 146 | UTL-GCS-2WS GAS INTERFERENCES AND ACCOMMODATIONS PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 100,000.00 | 1.00 | F.S. | 100,000 | 00 | 100,000 | 00 |

SUB-TOTAL: \$ 5,366,657.70

| | | | | | | | |
|-----|--|------|------|---------|----|---------|----|
| 147 | 6.39 A MOBILIZATION BID PRICE OF MOBILIZATION SHALL NOT EXCEED 4% OF THE ABOVE SUB-TOTAL PRICE. | 1.00 | L.S. | 214,666 | 31 | 214,666 | 31 |
|-----|--|------|------|---------|----|---------|----|

TOTAL BID PRICE: \$ 5,581,324.01

PLEASE BE SURE A LEGIBLE BID IS ENTERED FOR EACH ITEM.
THE BIDDER SHALL INSERT THE TOTAL BID PRICE IN
THE BID FORM ON PAGE C-4 OF THIS BID BOOKLET.

Tax ID #: 20.4708892APT E-
PIN #: 85015B0054**SCHEDULE B – M/WBE Utilization Plan**
Part I: M/WBE Participation Goals**Part I to be completed by contracting agency****Contract Overview**

APT E- Pin # 85015B0054 FMS Project ID#: GE-353

Project Title/ Agency PIN # DISTRIBUTION WATER MAIN EXTENSION AND REPLACEMENT ADJACENT TO T.A. FACILITIES/8502013WM0009C

Bid/Proposal Response Date JANUARY 7, 2015

Contracting Agency Department of Design and Construction

Agency Address 30-30 Thomson Avenue City Long Island City State NY Zip Code 11101

Contact Person Monika Beci Title MWBE Liaison & Compliance Analyst

Telephone # (718) 391-1128 Email BeciMo@ddc.nyc.gov

Project Description (attach additional pages if necessary)

PROJECT ID: GE353

DISTRIBUTION WATER MAIN EXTENSION AND REPLACEMENT ADJACENT TO T.A. FACILITIES IN:

BROOKLYN:

FLATBUSH AVENUE BETWEEN ATLANTIC AVENUE AND 5TH AVENUE;
DRIGGS AVENUE BETWEEN LORIMER STREET AND NORTH 12TH STREET;
UNION AVENUE BETWEEN NORTH STREET AND RICHARD STREET;

SOUTH QUEENS:

ROCKAWAY FREEWAY BETWEEN BEACH 98TH STREET AND BEACH 101ST STREET;
BEACH 98TH STREET BETWEEN ROCKAWAY BEACH BOULEVARD AND BEACH CHANNEL DRIVE;
BEACH 100TH STREET BETWEEN ROCKAWAY BEACH BOULEVARD AND ROCKAWAY FREEWAY; BEACH 101ST STREET BETWEEN
ROCKAWAY BEACH BOULEVARD AND BEACH CHANNEL DRIVE; JAMAICA AVENUE BETWEEN 116TH STREET AND 123RD STREET;

Together With All Work Incidental Thereto
BOROUGH OF BROOKLYN AND QUEENS
CITY OF NEW YORK

MWBE Participation Goals for Services

Enter the percentage amount for each group or for an unspecified goal. Please note that there are no goals for Asian-Americans in Professional Services.

Prime Contract Industry: Construction

| Group | Percentage |
|----------------------------------|---------------------|
| <u>Unspecified*</u> | <u>10%</u> |
| or | |
| <u>Black American</u> | <u>UNSPECIFIED*</u> |
| <u>Hispanic American</u> | <u>UNSPECIFIED*</u> |
| <u>Asian American</u> | <u>UNSPECIFIED*</u> |
| <u>Women</u> | <u>UNSPECIFIED*</u> |
| Total Participation Goals | 10% Line 1 |

*Note: For this procurement, individual ethnicity and gender goals are not specified. The Total Participation Goal for construction contracts may be met by using either Black-American, Hispanic-American, Asian American, or Women certified firms or any combination of such firms.

Tax ID #: 20.4708892

APT E-

PIN #:

85015B0054**SCHEDULE B - Part II: M/WBE Participation Plan**

Part II to be completed by the bidder/proposer.

Please note: For Non-M/WBE Prime Contractors who will NOT subcontract any services and will self-perform the entire contract, you must obtain a FULL waiver by completing the Waiver Application on pages 17 and 18 and timely submitting it to the contracting agency pursuant to the Notice to Prospective Contractors. Once a FULL WAIVER is granted, it must be included with your bid or proposal and you do not have to complete or submit this form with your bid or proposal.

Section I: Prime Contractor Contact Information

| | | | |
|---------------|---|-----------------|---------------------------------|
| Tax ID # | <u>20.4708892</u> | FMS Vendor ID # | |
| Business Name | <u>P&T II Contracting Corp</u> | Contact Person | <u>Lenny Pereira</u> |
| Address | <u>2417 Seicho tpe #815 Garden City Pk. NY. 11040</u> | | |
| Telephone # | <u>718.206.0245</u> | Email | <u>ptacontracting@gmail.com</u> |

Section II: M/WBE Utilization Goal Calculation: Check the applicable box and complete subsection.**PRIME CONTRACTOR ADOPTING AGENCY M/WBE PARTICIPATION GOALS**

☒ For Prime Contractors (including Qualified Joint Ventures and M/WBE firms) adopting Agency M/WBE Participation Goals.

Calculate the total dollar value of your total bid that you agree will be awarded to M/WBE subcontractors for services and/or credited to an M/WBE prime contractor or Qualified Joint Venture.

Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation.

Total
Bid/Proposal
Value

Agency Total
Participation Goals
(Line 1, Page 13)

Calculated M/WBE
Participation
Amount

\$ 5,581,324.00

X

10%

=

\$ 558,132.40
Line 2

PRIME CONTRACTOR OBTAINED PARTIAL WAIVER APPROVAL: ADOPTING MODIFIED M/WBE PARTICIPATION GOALS

☐ For Prime Contractors (including Qualified Joint Ventures and M/WBE firms) adopting Modified M/WBE Participation Goals.

Calculate the total dollar value of your total bid that you agree will be awarded to M/WBE subcontractors for services and/or credited to an M/WBE prime contractor or Qualified Joint Venture.

Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation.

Total
Bid/Proposal
Value

Adjusted
Participation Goal
(From Partial Waiver)

Calculated M/WBE
Participation
Amount

\$

X

=

\$
Line 3

Tax ID #: 20.4708892

APT E-
PIN #: 85015B0054

Section III: M/WBE Utilization Plan: How Proposer/Bidder Will Fulfill M/WBE Participation Goals. Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation. Check applicable box. The Proposer or Bidder will fulfill the M/WBE Participation Goals:

☐ As an M/WBE Prime Contractor that will self-perform and/or subcontract to other M/WBE firms a portion of the contract the value of which is at least the amount located on Lines 2 or 3 above, as applicable. The value of any work subcontracted to non-M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals. Please check all that apply to Prime Contractor:

☐ MBE ☐ WBE

☐ As a Qualified Joint Venture with an M/WBE partner, in which the value of the M/WBE partner's participation and/or the value of any work subcontracted to other M/WBE firms is at least the amount located on Lines 2 or 3 above, as applicable. The value of any work subcontracted to non M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals.

☒ As a non M/WBE Prime Contractor that will enter into subcontracts with M/WBE firms the value of which is at least the amount located on Lines 2 or 3 above, as applicable.

Section IV: General Contract Information

What is the expected percentage of the total contract dollar value that you expect to award in subcontracts for services, regardless of M/WBE status? % 11.9147 %

Enter brief description of the type of work and dollar value of subcontracts for all any services you plan on subcontracting. Awarded this contract for each item. Indicate whether the work is designated for participation by MBEs and/or WBEs and the time frame in which such work is scheduled to begin and end. Use additional sheets if necessary.

| | | | |
|----|-----------------------------|---------------|--------------------------|
| 1 | MBE Trucking | \$ 100,000.00 | Entire length of Project |
| 2 | MBE Inspection & Consulting | \$ 20,000.00 | Entire length of Project |
| 3 | MBE Electrical | \$ 50,000.00 | Last 1/3 of Project |
| 4 | MBE Stone Stripping | \$ 10,000.00 | Last 1/3 of Project |
| 5 | MBE Arborescences Removal | \$ 380,000.00 | Entire length of Project |
| 6 | Non MBE Tree Pruning | \$ 15,000.00 | First half of Project |
| 7 | Non MBE Tree Consultant | \$ 20,000.00 | Entire length of Project |
| 8 | Non MBE Resident | \$ 60,000.00 | Entire length of Project |
| 9 | Non MBE Photos | \$ 10,000.00 | First half of Project |
| 10 | | | |
| 11 | | | |
| 12 | | | |
| 13 | | | |
| 14 | | | |
| 15 | | | |
| 16 | | | |
| 17 | | | |

✓ Scopes of Subcontract Work

Tax ID #: 20.4708892

APT E-
PIN #:

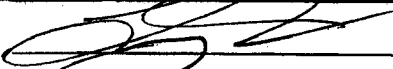
85015B0054

Section V: Vendor Certification and Required Affirmations

I hereby:

- 1) acknowledge my understanding of the M/WBE participation requirements as set forth herein and the pertinent provisions of Section 6-129 of the Administrative Code of the City of New York ("Section 6-129"), and the rules promulgated thereunder;
- 2) affirm that the information supplied in support of this M/WBE Utilization Plan is true and correct;
- 3) agree, if awarded this Contract, to comply with the M/WBE participation requirements of this Contract, the pertinent provisions of Section 6-129 and the rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract;
- 4) agree and affirm that it is a material term of this Contract that the Vendor will award the total dollar value of the M/WBE Participation Goals to certified MBEs and/or WBEs, unless a full waiver is obtained or such goals are modified by the Agency; and
- 5) agree and affirm, if awarded this Contract, to make all reasonable, good faith efforts to meet the M/WBE Participation Goals, or If a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms.

Signature



Date

01/06/15

Print Name

Lenny Pereira

Title

President

SCHEDULE B – PART III – REQUEST FOR WAIVER OF M/WBE PARTICIPATION REQUIREMENT

Contract Overview

Tax ID # _____ FMS Vendor ID # _____
 Business Name _____
 Contact Name _____ Telephone # _____ Email _____
 Type of Procurement ☐ Competitive Sealed Bids ☐ Other Bid/Response Due Date _____
 APT E-PIN # (for this procurement) _____ Contracting Agency _____

M/WBE Participation Goals as described in bid/solicitation documents

_____%
 Agency M/WBE Participation Goal

Proposed M/WBE Participation Goal as anticipated by vendor seeking waiver

_____% of the total contract value anticipated in good faith by the bidder/proposer to be subcontracted for services and/or credited to an M/WBE Prime Contractor or Qualified Joint Venture.

Basis for Waiver Request: Check appropriate box & explain in detail below (attach additional pages if needed)

- ☐ Vendor does not subcontract services, and has the capacity and good faith intention to perform all such work itself with its own employees.
- ☐ Vendor subcontracts some of this type of work but at a lower % than bid/solicitation describes, and has the capacity and good faith intention to do so on this contract. (Attach subcontracting plan outlining services that the vendor will self-perform and subcontract to other vendors or consultants.)
- ☐ Vendor has other legitimate business reasons for proposing the M/WBE Participation Goal above. Explain under separate cover.

References

List 3 most recent contracts performed for NYC agencies (if any). Include information for each subcontract awarded in performance of such contracts. Add more pages if necessary.

| CONTRACT NO. | AGENCY | DATE COMPLETED |
|---|---|---|
| Total Contract Amount \$ _____ | Total Amount Subcontracted \$ _____ | |
| Item of Work Subcontracted and Value of subcontract _____ | Item of Work Subcontracted and Value of subcontract _____ | Item of Work Subcontracted and Value of subcontract _____ |
| CONTRACT NO. | AGENCY | DATE COMPLETED |
| Total Contract Amount \$ _____ | Total Amount Subcontracted \$ _____ | |
| Item of Work Subcontracted and Value of subcontract _____ | Item of Work Subcontracted and Value of subcontract _____ | Item of Work Subcontracted and Value of subcontract _____ |
| CONTRACT NO. | AGENCY | DATE COMPLETED |
| Total Contract Amount \$ _____ | Total Amount Subcontracted \$ _____ | |
| Item of Work Subcontracted and Value of subcontract _____ | Item of Work Subcontracted and Value of subcontract _____ | Item of Work Subcontracted and Value of subcontract _____ |

(Complete ONLY if vendor has performed fewer than 3 New York City contracts.)

| TYPE OF Contract | AGENCY/ENTITY | DATE COMPLETED |
|--|---|---|
| Manager at entity that hired vendor (Name/Phone No./Email) | | |
| Total Contract Amount \$ | Total Amount Subcontracted \$ | |
| Item of Work Subcontracted and Value of subcontract | Item of Work Subcontracted and Value of subcontract | Item of Work Subcontracted and Value of subcontract |

Title: _____

[illegible]

APPRENTICESHIP PROGRAM REQUIREMENTS

Bidders are advised that the Apprenticeship Program Requirements set forth below apply to each contract for which a check mark is indicated before the word "Yes". Compliance with these requirements will be determined solely by the City.

 ✓ YES

 NO

(1) Apprenticeship Program Requirements

Notice to Bidders: Please be advised that, pursuant to the authority granted to the City under Labor Law Section 816-b, the Department of Design and Construction hereby requires that the contractor awarded a contract as a result of this Invitation for Bids, and any of its subcontractors with subcontracts worth one million dollars or over, have, prior to entering into such contract or subcontract, apprenticeship agreements appropriate for the type and scope of work to be performed that have been registered with, and approved by, the New York State Commissioner of Labor. In addition, the contractor and its subcontractors will be required to show that such apprenticeship programs have three years of current, successful experience in providing career opportunities.

The failure to prove, upon request, that these requirements have been met shall result in the contract not being awarded to the contractor or the subcontract not being approved.

Please be further advised that, pursuant to Labor Law Section 220, the allowable ratio of apprentices to journeypersons in any craft classification shall not be greater than the ratio permitted to the contractor as to its workforce on any job under the registered apprenticeship program.

(2) Apprenticeship Program Questionnaire

The bidder must submit a completed and signed Apprenticeship Program Questionnaire. The Questionnaire is set forth on the following page of the Bid Booklet.

PROJECT ID: GE353

1. Does the bidder have an Apprenticeship Program appropriate for the type and scope of work to be performed?
[Note: Participation may be by either direct sponsorship or through collective bargaining agreement(s).]

_____ YES ✓ NO

YES ✓ NO

YES ✓ NO

Bidder: PET II Contracting Corp.

By: [Signature]
(Signature of Partner or Corporate Officer)

Title: President

Date: 1/6/15

SAFETY QUESTIONNAIRE

The bidder must include, with its bid, all information requested on this Safety Questionnaire. Failure to provide a completed and signed Safety Questionnaire at the time of bid opening may result in disqualification of the bid as non-responsive.

1. Bidder Information:Company Name: P&T II Contracting Corp.DDC Project Number: GE353

Company Size: _____ Ten (10) employees or less
☒ Greater than ten (10) employees

Company has previously worked for DDC ☒ YES ☐ NO

2. Type(s) of Construction Work

| TYPE OF WORK | LAST 3 YEARS | THIS PROJECT |
|--------------------------------------|-------------------------------------|-------------------------------------|
| General Building Construction | _____ | _____ |
| Residential Building Construction | _____ | _____ |
| Nonresidential Building Construction | _____ | _____ |
| Heavy Construction, except building | _____ | _____ |
| Highway and Street Construction | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Heavy Construction, except highways | _____ | _____ |
| Plumbing, Heating, HVAC | _____ | _____ |
| Painting and Paper Hanging | _____ | _____ |
| Electrical Work | _____ | _____ |
| Masonry, Stonework and Plastering | _____ | _____ |
| Carpentry and Floor Work | _____ | _____ |
| Roofing, Siding, and Sheet Metal | _____ | _____ |
| Concrete Work | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Specialty Trade Contracting | _____ | _____ |
| Asbestos Abatement | _____ | _____ |
| Other (specify) | _____ | _____ |

3. Experience Modification Rate:

The Experience Modification Rate (EMR) is a rating generated by the National Council of Compensation Insurance (NCCI). This rating is used to determine the contractor's premium for worker's compensation insurance. The contractor may obtain its EMR by contacting its insurance broker or the NCCI. If the contractor cannot obtain its EMR, it must submit a written explanation as to why.

Project ID. GE353

The Contractor must indicate its Intrastate and Interstate EMR for the past three years. [Note: For contractors with less than three years of experience, the EMR will be considered to be 1.00].

| YEAR | INTRASTATE RATE | INTERSTATE RATE |
|-------------|-----------------|-----------------|
| <u>2013</u> | <u>.80</u> | <u>.80</u> |
| <u>2012</u> | <u>.81</u> | <u>.81</u> |
| <u>2011</u> | <u>.97</u> | <u>.97</u> |

If the Intrastate and/or Interstate EMR for any of the past three years is greater than 1.00, the contractor must attach, to this questionnaire, a written explanation for the rating and identify what corrective action was taken to correct the situation resulting in that rating.

4. OSHA Information:

☐ YES ☒ NO Contractor has received a willful violation issued by OSHA or New York City Department of Buildings (NYCDOB) within the last three years.

☐ YES ☒ NO Contractor has had an incident requiring OSHA notification within 8 hours (i.e., fatality, or hospitalization of three or more employees).

The Occupational Safety and Health Act (OSHA) of 1970 requires employers with ten or more employees, on a yearly basis to complete and maintain on file the form entitled "Log of Work-related Injuries and Illnesses". This form is commonly referred to as the OSHA 300 Log (OSHA 200 Log for 2001 and earlier).

The OSHA 300 Log must be submitted for the last three years for contractors with more than ten employees.

The Contractor must indicate the total number of hours worked by its employees, as reflected in payroll records for the past three years.

The contractor must submit the Incident Rate for Lost Time Injuries (the Incident Rate) for the past three years. The Incident Rate is calculated in accordance with the formula set forth below. For each given year, the total number of incidents is the total number of non-fatal injuries and illnesses reported on the OSHA 300 Log. The 200,000 hours represents the equivalent of 100 employees working forty hours a week, fifty weeks per year.

Incident Rate =
$$\frac{\text{Total Number of Incidents X 200,000}}{\text{Total Number of Hours Worked by Employees}}$$

| YEAR | TOTAL NUMBERS OF HOURS WORKED BY EMPLOYEES | INCIDENT RATE |
|-------------|--|---------------|
| <u>2013</u> | <u>128,633</u> | <u>1.6</u> |
| <u>2012</u> | <u>74,820</u> | <u>0</u> |
| <u>2011</u> | <u>71,694</u> | <u>2.79</u> |

Project ID. GE353

If the contractor's Incident Rate for any of the past three years is one point higher than the Incident Rate for the type of construction it performs (listed below), the contractor must attach, to this questionnaire, a written explanation for the relatively high rate.

| | |
|--------------------------------------|------|
| General Building Construction | 8.5 |
| Residential Building Construction | 7.0 |
| Nonresidential Building Construction | 10.2 |
| Heavy Construction, except building | 8.7 |
| Highway and Street Construction | 9.7 |
| Heavy Construction, except highways | 8.3 |
| Plumbing, Heating, HVAC | 11.3 |
| Painting and Paper Hanging | 6.9 |
| Electrical Work | 9.5 |
| Masonry, Stonework and Plastering | 10.5 |
| Carpentry and Floor Work | 12.2 |
| Roofing, Siding, and Sheet Metal | 10.3 |
| Concrete Work | 8.6 |
| Specialty Trade Contracting | 8.6 |

5. Safety Performance on Previous DDC Project(s)

☒ YES ☐ NO Contractor previously audited by the DDC Office of Site Safety.

DDC Project Number(s): HWP2009Q, _____, _____

☒ YES ☐ NO Accident on previous DDC Project(s).

DDC Project Number(s): HWP2010R, _____, _____

☐ YES ☒ NO Fatality or Life-altering Injury on DDC Project(s) within the last three years.
[Examples of a life-altering injury include loss of limb, loss of a sense (e.g., sight, hearing), or loss of neurological function].

DDC Project Number(s): _____, _____, _____

Date: 1/6/15

By: 
(Signature of Owner, Partner, Corporate Officer)

Title: President

(NO TEXT ON THIS PAGE)

IRAN DIVESTMENT ACT COMPLIANCE RIDER

FOR NEW YORK CITY CONTRACTORS

The Iran Divestment Act of 2012, effective as of April 12, 2012, is codified at State Finance Law ("SFL") §165-a and General Municipal Law ("GML") §103-g. The Iran Divestment Act, with certain exceptions, prohibits municipalities, including the City, from entering into contracts with persons engaged in investment activities in the energy sector of Iran. Pursuant to the terms set forth in SFL §165-a and GML §103-g, a person engages in investment activities in the energy sector of Iran if:

- (a) The person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or
- (b) The person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran and is identified on a list created pursuant to paragraph (b) of subdivision three of Section 165-a of the State Finance Law and maintained by the Commissioner of the Office of General Services.

A bid or proposal shall not be considered for award nor shall any award be made where the bidder or proposer fails to submit a signed and verified bidder's certification.

Each bidder or proposer must certify that it is not on the list of entities engaged in investment activities in Iran created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law. In any case where the bidder or proposer cannot certify that they are not on such list, the bidder or proposer shall so state and shall furnish with the bid or proposal a signed statement which sets forth in detail the reasons why such statement cannot be made. The City of New York may award a bid to a bidder who cannot make the certification on a case by case basis if:

- (1) The investment activities in Iran were made before the effective date of this section (i.e., April 12, 2012), the investment activities in Iran have not been expanded or renewed after the effective date of this section and the person has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
- (2) The City makes a determination that the goods or services are necessary for the City to perform its functions and that, absent such an exemption, the City would be unable to obtain the goods or services for which the contract is offered. Such determination shall be made in writing and shall be a public document.

**BIDDER'S CERTIFICATION OF COMPLIANCE WITH
IRAN DIVESTMENT ACT**


Pursuant to General Municipal Law §103-g, which generally prohibits the City from entering into contracts with persons engaged in investment activities in the energy sector of Iran, the bidder/proposer submits the following certification:

[Please Check One]

BIDDER'S CERTIFICATION

- ☒ By submission of this bid or proposal, each bidder/proposer and each person signing on behalf of any bidder/proposer certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief, that each bidder/proposer is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law.
- ☐ I am unable to certify that my name and the name of the bidder/proposer does not appear on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law. I have attached a signed statement setting forth in detail why I cannot so certify.

Dated: Queens, New York
January 6th 2015

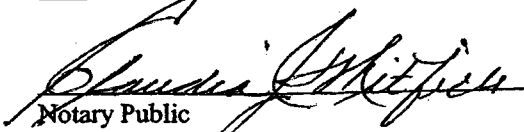


SIGNATURE
Lenny Pereira

PRINTED NAME
President

TITLE

Sworn to before me this
6th day of JAN, 2015



Notary Public

Dated: 1/6/15 CLAUDIA J. WHITFIELD
NOTARY PUBLIC-STATE OF NEW YORK
No. 01WH5004514
Qualified in Queens County
My Commission Expires November 16, 2018

CONSTRUCTION EMPLOYMENT REPORT

1. Your contractual relationship in this contract is: Prime contractor x Subcontractor

12. SAME
Name of Prime Contractor and Contact Person
(If same as Item #8, write "same")

13. Number of employees in your company: 40

14. Contract information:

(a) NYCDDC
Contracting Agency (City Agency)

(b) 5,581,324.01
Contract Amount

(c) _____
Procurement Identification Number (PIN)

(d) _____
Contract Registration Number (CT#)

(e) _____
Projected Commencement Date

(f) _____
Projected Completion Date

(g) Description and location of proposed contract:

15. Has your firm been reviewed by the Division of Labor Services (DLS) within the past 36 months and issued a Certificate of Approval? Yes ___ No ✓

If yes, attach a copy of certificate.

16. Has DLS within the past month reviewed an Employment Report submission for your company and issued a Conditional Certificate of Approval? Yes ___ No ✓

If yes, attach a copy of certificate.

NOTE: DLS WILL NOT ISSUE A CONTINUED CERTIFICATE OF APPROVAL IN CONNECTION WITH THIS CONTRACT UNLESS THE REQUIRED CORRECTIVE ACTIONS IN PRIOR CONDITIONAL CERTIFICATES OF APPROVAL HAVE BEEN TAKEN.

17. Has an Employment Report already been submitted for a different contract (not covered by this Employment Report) for which you have not yet received compliance certificate?

Yes ___ No ✓ If yes,

Date submitted: _____

Agency to which submitted: _____

Name of Agency Person: _____

Contract No: _____

Telephone: _____

18. Has your company in the past 36 months been audited by the United States Department of Labor, Office of Federal Contract Compliance Programs (OFCCP)? Yes ___ No ✓

If yes,

(a) Name and address of OFCCP office.

(b) Was a Certificate of Equal Employment Compliance issued within the past 36 months?

Yes___ No___

If yes, attach a copy of such certificate.

(c) Were any corrective actions required or agreed to? Yes___ No___

If yes, attach a copy of such requirements or agreements.

(d) Were any deficiencies found? Yes___ No___

If yes, attach a copy of such findings.

19. Is your company or its affiliates a member or members of an employers' trade association which is responsible for negotiating collective bargaining agreements (CBA) which affect construction site hiring? Yes___ No ✓

If yes, attach a list of such associations and all applicable CBA's.

PART II: DOCUMENTS REQUIRED

20. For the following policies or practices, attach the relevant documents (e.g., printed booklets, brochures, manuals, memoranda, etc.). If the policy(ies) are unwritten, attach a full explanation of the practices. See instructions.

Y (a) Health benefit coverage/description(s) for all management, nonunion and union employees (whether company or union administered)

Y (b) Disability, life, other insurance coverage/description

N (c) Employee Policy/Handbook

N (d) Personnel Policy/Manual

N (e) Supervisor's Policy/Manual

N (f) Pension plan or 401k coverage/description for all management, nonunion and union employees, whether company or union administered

N (g) Collective bargaining agreement(s).

N (h) Employment Application(s)

N (i) Employee evaluation policy/form(s).

N (j) Does your firm have medical and/or non-medical (i.e. education, military, personal, pregnancy, child care) leave policy?

21. To comply with the Immigration Reform and Control Act of 1986 when and of whom does your firm require the completion of an I-9 Form?

- | | |
|--|---|
| (a) Prior to job offer | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| (b) After a conditional job offer | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| (c) After a job offer | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| (d) Within the first three days on the job | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| (e) To some applicants | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| (f) To all applicants | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| (g) To some employees | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| (h) To all employees | Yes <input type="checkbox"/> No <input type="checkbox"/> |

22. Explain where and how completed I-9 Forms, with their supportive documentation, are maintained and made accessible.

filed by year

23. Does your firm or any of its collective bargaining agreements require job applicants to take a medical examination? Yes ☐ No ☒

If yes, is the medical examination given:

- | | |
|-----------------------------------|--|
| (a) Prior to a job offer | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| (b) After a conditional job offer | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| (c) After a job offer | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| (d) To all applicants | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| (e) Only to some applicants | Yes <input type="checkbox"/> No <input type="checkbox"/> |

If yes, list for which applicants below and attach copies of all medical examination or questionnaire forms and instructions utilized for these examinations.

24. Do you have a written equal employment opportunity (EEO) policy? Yes ☒ No ☐

If yes, list the document(s) and page number(s) where these written policies are located.

25. Does the company have a current affirmative action plan(s) (AAP)

- ☐ Minorities and Women
☐ Individuals with handicaps
☐ Other. Please specify _____

26. Does your firm or collective bargaining agreement(s) have an internal grievance procedure with respect to EEO complaints? Yes ☐ No ☒

If yes, please attach a copy of this policy.

If no, attach a report detailing your firm's unwritten procedure for handling EEO complaints.

27. Has any employee, within the past three years, filed a complaint pursuant to an internal grievance procedure or with any official of your firm with respect to equal employment opportunity? Yes___ No ✓

If yes, attach an internal complaint log. See instructions.

28. Has your firm, within the past three years, been named as a defendant (or respondent) in any administrative or judicial action where the complainant (plaintiff) alleged violation of any anti-discrimination or affirmative action laws? Yes___ No ✓

If yes, attach a log. See instructions.

29. Are there any jobs for which there are physical qualifications? Yes___ No ✓

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

30. Are there any jobs for which there are age, race, color, national origin, sex, creed, disability, marital status, sexual orientation, or citizenship qualifications? Yes___ No ✓

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

SIGNATURE PAGE

I, (print name of authorized official signing) Lenny Pereira hereby certify that the information submitted herewith is true and complete to the best of my knowledge and belief and submitted with the understanding that compliance with New York City's equal employment requirements, as contained in Chapter 56 of the City Charter, Executive Order No. 50 (1980), as amended, and the implementing Rules and Regulations, is a contractual obligation. I also agree on behalf of the company to submit a certified copy of payroll records to the Division of Labor Services on a monthly basis.

P&T II Contracting Corp.
Contractor's Name

Lenny Pereira President
Name of person who prepared this Employment Report Title

Lenny Pereira President
Name of official authorized to sign on behalf of the contractor Title

718.2060245
Telephone Number

[Signature] 3/20/15
Signature of authorized official Date

If contractors are found to be underutilizing minorities and females in any given trade based on Chapter 56 Section 3H, the Division of Labor Services reserves the right to request the contractor's workforce data and to implement an employment program.

Contractors who fail to comply with the above mentioned requirements or are found to be in noncompliance may be subject to the withholding of final payment.

Willful or fraudulent falsifications of any data or information submitted herewith may result in the termination of the contract between the City and the bidder or contractor and in disapproval of future contracts for a period of up to five years. Further, such falsification may result in civil and/or criminal prosecution.

To the extent permitted by law and consistent with the proper discharge of DLS' responsibilities under Charter Chapter 56 of the City Charter and Executive Order No. 50 (1980) and the implementing Rules and Regulations, all information provided by a contractor to DLS shall be confidential.

Only original signatures accepted.

Sworn to before me this 20th day of March 20 15

[Signature] [Signature] 3/20/15
Notary Public Authorized Signature Date

CLAUDIA J WHITFIELD
NOTARY PUBLIC-STATE OF NEW YORK
No. 01WH5004514
Qualified in Queens County 2018
My Commission Expires November 16, 2018

FORM A. CONTRACT BID INFORMATION: USE OF SUBCONTRACTORS/TRADES

1. Do you plan to subcontractor work on this contract? Yes ☒ No ☐
2. If yes, complete the chart below.

NOTE: All proposed subcontractors with a subcontract in excess of \$750,000 must complete an Employment Report for review and approval before the contract may be awarded and work commences.

| SUBCONTRACTOR'S NAME* | OWNERSHIP (ENTER APPROPRIATE CODE LETTERS BELOW) | WORK TO BE PERFORMED BY SUBCONTRACTOR | TRADE PROJECTED FOR USE BY SUBCONTRACTOR | PROJECTED DOLLAR VALUE OF SUBCONTRACT |
|-----------------------|--|---------------------------------------|--|---------------------------------------|
| Unknown | Unknown | MBE trucking | LABOR | 100,000.00 |
| Unknown | Unknown | MBE Inspection & Compaction | technician | 20,000.00 |
| Unknown | Unknown | MBE Electrical | Labor | 50,000.00 |
| Unknown | Unknown | MBE Line Stripping | Labor | 10,000.00 |
| Unknown | Unknown | MBE Asbestos Abatement | Labor | 380,000.00 |
| Unknown | Unknown | Non MBE tree Pruning | Labor | 15,000.00 |
| Unknown | Unknown | Non MBE tree Consultant | Labor | 20,000.00 |
| Unknown | Unknown | Non MBE Rodent | Labor | 60,000.00 |
| Unknown | Unknown | Non MBE Photos | Labor | 10,000.00 |

*If subcontractor is presently unknown, please enter the trade (craft name).

OWNERSHIP CODES

W: White
 B: Black
 H: Hispanic
 A: Asian
 N: Native American
 F: Female

FORM B: PROJECTED WORKFORCE

TRADE CLASSIFICATION CODES

(J) Journeylevel Workers
(H) Helper
(TOT) Total by Column

(A) Apprentice
(TRN) Trainee

For each trade to be engaged by your company for this project, enter the projected workforce for Males and Females by trade classification on the charts below.

Trade:

LABORER

Union Affiliation, if applicable

Total (Col. #1-10):

Total Minority, Male & Female
(Col. #2,3,4,5,7,8,9, & 10):

Total Female
(Col. #6 - 10):

MALES

| | (1) White Non Hisp. | (2) Black Non Hisp. | (3) Hisp. | (4) Asian | (5) Native Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|------------------------|
| J | | | 7 | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | 7 | | |

FEMALES

| | (6) White Non Hisp. | (7) Black Non Hisp. | (8) Hisp. | (9) Asian | (10) Native Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|-------------------------|
| J | | | | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | | | |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

UNIONS

FORM B: PROJECTED WORKFORCE

Trade: 15

Union Affiliation, if applicable

Total (Col. #1-10):

Total Minority, Male & Female
(Col. #2,3,4,5,7,8,9, & 10):

Total Female
(Col. #6 – 10):

| | MALES | | | | |
|-----|------------------------------|------------------------------|--------------|--------------|------------------------|
| | (1) White Non Hisp. | (2) Black Non Hisp. | (3) Hisp. | (4) Asian | (5) Native Amer. |
| J | | | 2 | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | 2 | | |

| | FEMALES | | | | |
|-----|------------------------------|------------------------------|--------------|--------------|-------------------------|
| | (6) White Non Hisp. | (7) Black Non Hisp. | (8) Hisp. | (9) Asian | (10) Native Amer. |
| J | | | | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | | | |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?
UNIONS

FORM C: CURRENT WORKFORCE

TRADE CLASSIFICATION CODES

(J) Journeylevel Workers
(H) Helper
(TOT) Total by Column

(A) Apprentice
(TRN) Trainee

For each trade currently engaged by your company for all work performed in New York City, enter the current workforce for Males and Females by trade classification on the charts below.

Trade:

LABORER

Union Affiliation, if applicable

Total (Col. #1-10):

Total Minority, Male & Female
(Col. #2,3,4,5,7,8,9, & 10):

Total Female
(Col. #6 - 10):

MALES

| | (1) White Non Hisp. | (2) Black Non Hisp. | (3) Hisp. | (4) Asian | (5) Native Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|------------------------|
| J | | | 7 | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | 7 | | |

FEMALES

| | (6) White Non Hisp. | (7) Black Non Hisp. | (8) Hisp. | (9) Asian | (10) Native Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|-------------------------|
| J | | | | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | | | |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

Union

ATTACH TO CONTRACT DOCUMENTS

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
INFRASTRUCTURE DIVISION
BUREAU OF DESIGN

PROJECT ID: GE353

DISTRIBUTION WATER MAIN EXTENSION AND REPLACEMENT ADJACENT TO T.A. FACILITIES
IN:

BROOKLYN:

FLATBUSH AVENUE BETWEEN ATLANTIC AVENUE AND 5TH AVENUE;
DRIGGS AVENUE BETWEEN LORIMER STREET AND NORTH 12TH STREET; UNION AVENUE
BETWEEN NORTH STREET AND RICHARD STREET;

SOUTH QUEENS:

ROCKAWAY FREEWAY BETWEEN BEACH 98TH STREET AND BEACH 101ST STREET; BEACH
98TH STREET BETWEEN ROCKAWAY BEACH BOULEVARD AND BEACH CHANNEL DRIVE;
BEACH 100TH STREET BETWEEN ROCKAWAY BEACH BOULEVARD AND ROCKAWAY
FREEWAY; BEACH 101ST STREET BETWEEN ROCKAWAY BEACH BOULEVARD AND BEACH
CHANNEL DRIVE; JAMAICA AVENUE BETWEEN 116TH STREET AND 123RD STREET;

Together With All Work Incidental Thereto
BOROUGHES OF BROOKLYN AND QUEENS
CITY OF NEW YORK

ADDENDUM NO. 7DATED: December 19, 2014

This Addendum is issued for the purpose of amending the requirements of the contract documents and is hereby made part of said contract documents to the same extent as if it was originally included therein.

Refer to the Bid and Contract Documents, VOLUME 1 OF 3, BID SCHEDULE, pages B-3 to B-29;
~~Delete~~ all pages in their entirety;
Substitute attached revised pages B-3 (REVISION #1) to B-29 (REVISION #1).

By signing in the space provided below, the bidder acknowledges receipt of the
one (1) page of this Addendum plus twenty-seven (27) pages of attachment.

THIS ADDENDUM MUST BE SIGNED BY ALL BIDDERS
AND ATTACHED TO THEIR BIDS.

P+T II Contracting Corp
Name of Bidder

By: 

Purnima Sharma
for GURDIP SAINI, P.E.
Assistant Commissioner/Design

ATTACH TO CONTRACT DOCUMENTS

**THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
INFRASTRUCTURE DIVISION
BUREAU OF DESIGN**

PROJECT ID: GE353

**DISTRIBUTION WATER MAIN EXTENSION AND REPLACEMENT ADJACENT TO T.A. FACILITIES
IN:**

BROOKLYN:

**FLATBUSH AVENUE BETWEEN ATLANTIC AVENUE AND 5TH AVENUE;
DRIGGS AVENUE BETWEEN LORIMER STREET AND NORTH 12TH STREET; UNION AVENUE
BETWEEN NORTH STREET AND RICHARD STREET;**

SOUTH QUEENS:

**ROCKAWAY FREEWAY BETWEEN BEACH 98TH STREET AND BEACH 101ST STREET; BEACH
98TH STREET BETWEEN ROCKAWAY BEACH BOULEVARD AND BEACH CHANNEL DRIVE;
BEACH 100TH STREET BETWEEN ROCKAWAY BEACH BOULEVARD AND ROCKAWAY
FREEWAY; BEACH 101ST STREET BETWEEN ROCKAWAY BEACH BOULEVARD AND BEACH
CHANNEL DRIVE; JAMAICA AVENUE BETWEEN 116TH STREET AND 123RD STREET;**

Together With All Work Incidental Thereto

**BOROUGHES OF BROOKLYN AND QUEENS
CITY OF NEW YORK**

ADDENDUM NO. 6

DATED: December 17, 2014

This Addendum is issued for the purpose of amending the requirements of the contract documents and is hereby made part of said contract documents to the same extent as if it was originally included therein.

- (1) Refer to the Bid and Contract Documents, VOLUME 1 OF 3, SPECIAL EXPERIENCE REQUIREMENTS, pages 2 and 3;
Delete pages 2 and 3 in its entirety;
Substitute attached pages 2 and 3-R.**
- (2) Refer to the Bid and Contract Documents, VOLUME 3 of 3, Addendum No. 2, Long Island Rail Road - MINIMUM JACKING REQUIREMENTS FOR UNDERGROUND UTILITIES, pages 1 to 3;
Delete all pages in their entirety;**

In response to a question from a bidder, please see the answer below:

Question: The Special Experience Requirements of Volume 1 indicate 5 years of micro tunneling/pipe jacking work experience will be necessary. There aren't indications of any pipe tunneling and/or jacking in the unit items and drawings, only a specification for LIRR in Volume 3. Furthermore, there doesn't appear to be any LIRR facilities adjacent to any of the proposed water main locations. Please clarify.

Answer: Please refer to item Nos. (1) and (2) of this addendum No.6 with regard to microtunneling/pipe jacking work. LIRR facilities are present in the proposed water main locations. Where it reads "approximate limit of overhead railroad" refers to LIRR properties as shown in contract drawings, sheet 12 of 12.

By signing in the space provided below, the bidder acknowledges receipt of the one (1) page of this Addendum plus one (1) page of attachment.

**THIS ADDENDUM MUST BE SIGNED BY ALL BIDDERS
AND ATTACHED TO THEIR BIDS.**

P+T II Contracting Corp
Name of Bidder
By: [Signature]

Purnima Sharma
for GURDIP SAINI, P.E.
Assistant Commissioner/Design

**BID BOND 1
FORM OF BID BOND**

KNOW ALL MEN BY THESE PRESENTS. That we, P & T II Contracting Corp.
2417 Jericho Turnpike, Garden City Park, NY 11040

hereinafter referred to as the "Principal", and Liberty Mutual Insurance Company
1200 MacArthur Blvd., Mahwah, NJ 07043

hereinafter referred to as the "Surety" are held and firmly bound to THE CITY OF NEW YORK,
hereinafter referred to as the "CITY", or to its successors and assigns in the penal sum of _____

TEN PERCENT OF AMOUNT BID

(10% Amt Bid), Dollars lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

Whereas, the Principal is about to submit (or has submitted) to the City the accompanying proposal, hereby made a part hereof, to enter into a contract in writing for _____

Cont. #GE353 - Distribution Water Main Extension and Replacement Adjacent to T.A. Facilities in Brooklyn and South Queens

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said Proposal without the consent of the City for a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's Proposal by the City, if the Principal shall:

(a) Within ten (10) days after notification by the City, execute in quadruplicate and deliver to the City all the executed counterparts of the Contract in the form set forth in the Contract Documents, in accordance with the proposal as accepted, and

(b) Furnish a performance bond and separate payment bond, as may be required by the City, for the faithful performance and proper fulfillment of such Contract, which bonds shall be satisfactory in all respects to the City and shall be executed by good and sufficient sureties, and

(c) In all respects perform the agreement created by the acceptance of said Proposal as provided in the Information for Bidders, bound herewith and made a part hereof, or if the City shall reject the aforesaid Proposal, then this obligation shall be null and void; otherwise to remain in full force and effect.

BID BOND 2

In the event that the Proposal of the Principal shall be accepted and the Contract be awarded to him the Surety hereunder agrees subject only to the payment by the Principal of the premium therefore, if requested by the City, to write the aforementioned performance and payment bonds in the form set forth in the Contract Documents.

It is expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall in no event exceed the penal amount of this obligation as herein stated.

There shall be no liability under this bond if, in the event of the acceptance of the Principal's Proposal by the City, either a performance bond or payment bond, or both, shall not be required by the City on or before the 30th day after the date on which the City signs the Contract.

The surety, for the value received, hereby stipulates and agrees that the obligations of the Surety and its bond shall in no way be impaired or affected by any postponements of the date upon which the City will receive or open bids, or by any extensions of the time within which the City may accept the Principal's Proposal, or by any waiver by the City of any of the requirements of the Information for Bidders, and the Surety hereby waives notice of any such postponements, extensions, or waivers.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers the 5th day of January, 2015.

(Seal)

P & T II Contracting Corp.

(L.S.)

Principal

By: 

(Seal)

Liberty Mutual Insurance Company

Surety

By: 

Robert Kempner, Attorney-in-Fact

BID BOND 3

ACKNOWLEDGMENT OF PRINCIPAL, IF A CORPORATION

State of _____ County of _____ ss:
On this _____ day of _____, _____, before me personally came
_____ to me known, who, being by me duly sworn, did depose and say
that he resides at _____
that he is the _____ of _____
the corporation described in and which executed the foregoing instrument; that he knows the seal of said
corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of
the directors of said corporation, and that he signed his name thereto by like order.

Notary Public

ACKNOWLEDGMENT OF PRINCIPAL, IF A PARTNERSHIP

State of _____ County of _____ ss:
On this _____ day of _____, _____, before me personally appeared
_____ to me known and known to me to be one of the members of the
firm of _____ described in and who executed the foregoing
instrument, and he acknowledged to me that he executed the same as and for the act and deed of said
firm.

Notary Public

ACKNOWLEDGMENT OF PRINCIPAL, IF AN INDIVIDUAL

State of _____ County of _____ ss:
On this _____ day of _____, _____, before me personally appeared
_____ to me known and known to me to be the person described in
and who executed the foregoing instrument and acknowledged that he executed the same.

Notary Public

AFFIX ACKNOWLEDGMENTS AND JUSTIFICATION OF SURETIES

ACKNOWLEDGEMENT OF PRINCIPAL, OF A CORPORATION

STATE OF New York


SS:

COUNTY OF Queens

On this 7th day of January, 2015 before me personally came Lenny Pereira to me known, who, being by me duly sworn did depose and say that he resides at Smithtown, NY that he is the President of P.T. Contracting Corp the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that one of the seals affixed to the foregoing instrument is such seal; that it was an affixed by order of the board of directors of said corporation; and that he signed his name thereto by like order.

CLAUDIA J WHITFIELD
NOTARY PUBLIC-STATE OF NEW YORK
No. 01WH5004514

Qualified in Queens County
My Commission Expires November 16, 2018


Notary Public

ACKNOWLEDGEMENT OF SURETY

STATE OF New York

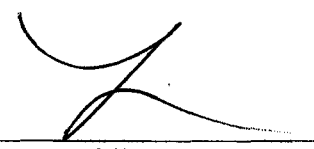
SS:

COUNTY OF Nassau

On this 5th day of January, 2015, before me personally came Robert Kempner to me known, who, being by me duly sworn, did depose and say that he is an Attorney-In-Fact of Liberty Mutual Insurance Company the corporation described in and which executed the within instrument; that he knows the corporate seal of said corporation; that the seal affixed to the within instrument is such corporate seal, and that he signed and said instrument and affixed the said seal as Attorney-In-Fact by authority of the Board of Directors of said corporation and by authority of this office under the Standing Resolutions thereof.

LYNN ANN INFANTI
Notary Public, State of New York
No. 01IN6004351
Qualified in Suffolk County
Commission Expires March 23, 2018

My commission expires _____


Notary Public

THE UNIVERSITY OF CHICAGO
DIVISION OF THE PHYSICAL SCIENCES
DEPARTMENT OF CHEMISTRY
5708 S. UNIVERSITY AVE.
CHICAGO, ILL. 60637

THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 6777249

American Fire and Casualty Company
The Ohio Casualty Insurance Company

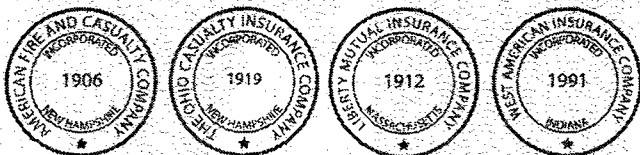
Liberty Mutual Insurance Company
West American Insurance Company

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That American Fire & Casualty Company and The Ohio Casualty Insurance Company are corporations duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Joseph Sforzo; Robert Kempner; Robert W. O'Kane; Susan P. Hammel

all of the city of Plainview, state of NY each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 10th day of November, 2014



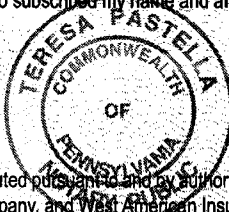
American Fire and Casualty Company
The Ohio Casualty Insurance Company
Liberty Mutual Insurance Company
West American Insurance Company

By: David M. Carey
David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA ss
COUNTY OF MONTGOMERY

On this 10th day of November, 2014, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of American Fire and Casualty Company, Liberty Mutual Insurance Company, The Ohio Casualty Insurance Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at Plymouth Meeting, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Teresa Pastella, Notary Public
Plymouth Twp., Montgomery County
My Commission Expires March 28, 2017
Member, Pennsylvania Association of Notaries

By: Teresa Pastella
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS - Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings. Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Gregory W. Davenport, the undersigned, Assistant Secretary, of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 5th day of January, 2015



By: Gregory W. Davenport
Gregory W. Davenport, Assistant Secretary

To confirm the validity of this Power of Attorney call
1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.

Not valid for mortgage, note, loan, letter of credit,
currency rate, interest rate, residual value guarantees.



LIBERTY MUTUAL INSURANCE COMPANY
FINANCIAL STATEMENT — DECEMBER 31, 2013

| Assets | | Liabilities | |
|---|--------------------------------|---|--------------------------------|
| Cash and Bank Deposits..... | \$1,118,180,550 | Unearned Premiums..... | \$5,940,431,054 |
| *Bonds — U.S Government..... | 1,888,225,943 | Reserve for Claims and Claims Expense..... | 17,305,063,560 |
| *Other Bonds..... | 12,039,490,815 | Funds Held Under Reinsurance Treaties..... | 212,659,311 |
| *Stocks..... | 9,030,962,112 | Reserve for Dividends to Policyholders..... | 1,226,236 |
| Real Estate..... | 251,301,907 | Additional Statutory Reserve..... | 63,348,980 |
| Agents' Balances or Uncollected Premiums..... | 4,781,042,931 | Reserve for Commissions, Taxes and | |
| Accrued Interest and Rents..... | 149,855,386 | Other Liabilities..... | <u>5,826,683,629</u> |
| Other Admitted Assets..... | <u>15,216,749,451</u> | Total..... | <u>\$29,349,412,770</u> |
| Total Admitted Assets..... | <u>\$44,475,809,095</u> | Special Surplus Funds..... | \$55,686,852 |
| | | Capital Stock..... | 11,250,000 |
| | | Paid in Surplus..... | 7,898,288,167 |
| | | Unassigned Surplus..... | 7,161,171,306 |
| | | Surplus to Policyholders..... | <u>15,126,396,325</u> |
| | | Total Liabilities and Surplus..... | <u>\$44,475,809,095</u> |



* Bonds are stated at amortized or investment value; Stocks at Association Market Values.
The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2013, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 20th day of March, 2014.

Tim Mikolajewski

Assistant Secretary

GET UPFRONT CAPITAL TO COMPETE ON CITY CONTRACTS



Contract Financing for NYC Small Businesses

NYC Business Solutions helps small businesses, including Minority or Women-owned Business Enterprises (M/WBEs), across all industries secure low-rate loans to compete on City contracts.

Whether your firm has been selected to perform a construction project, provide professional services, or supply the City with goods, businesses across all industries can access up to \$150,000 in capital to cover contract-related labor and equipment costs.

The ideal business should:

- Currently be in operation
- Have plans to bid on a City contract
- Need early-stage resources (e.g. working capital, inventory, or equipment)

Call 311 and ask for "contract financing" or visit www.nyc.gov/contractfinancing for more information

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CITY OF NEW YORK

DEPARTMENT OF

DESIGN AND CONSTRUCTION

DIVISION OF INFRASTRUCTURE

BID BOOKLET

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND
REQUIRED FOR:

PROJECT ID: GE353

**DISTRIBUTION WATER MAIN EXTENSION AND REPLACEMENT ADJACENT
TO T.A. FACILITIES IN:**

BROOKLYN:

**FLATBUSH AVENUE BETWEEN ATLANTIC AVENUE AND 5TH AVENUE;
DRIGGS AVENUE BETWEEN LORIMER STREET AND NORTH 12TH STREET;
UNION AVENUE BETWEEN NORTH STREET AND RICHARD STREET;**

SOUTH QUEENS:

**ROCKAWAY FREEWAY BETWEEN BEACH 98TH STREET AND BEACH 101ST
STREET; BEACH 98TH STREET BETWEEN ROCKAWAY BEACH BOULEVARD
AND BEACH CHANNEL DRIVE; BEACH 100TH STREET BETWEEN ROCKAWAY
BEACH BOULEVARD AND ROCKAWAY FREEWAY; BEACH 101ST STREET
BETWEEN ROCKAWAY BEACH BOULEVARD AND BEACH CHANNEL DRIVE;
JAMAICA AVENUE BETWEEN 116TH STREET AND 123RD STREET;**

**Together With All Work Incidental Thereto
BOROUGHES OF BROOKLYN AND QUEENS**

(NO TEXT ON THIS PAGE)

**CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE**

BID BOOKLET

TABLE OF CONTENTS

| SECTION | PAGE |
|--|-------------|
| PART A | |
| 1. Table of Contents | 1 |
| 2. Special Notice to Bidders | 2 |
| 3. Attachment 1 – Bid Information..... | A-1 |
| 4. Bid Schedule..... | B-1 |
| 5. Bid Form..... | C-1 |
| 6. Affirmation | C-6 |
| 7. Bid Bond..... | C-7 |
| 8. M/WBE Program: M/WBE Utilization Plan..... | 5 |
| 9. Apprenticeship Program Requirements..... | 19 |
| PART B | |
| 10. Safety Questionnaire | 21 |
| 11. Pre-award Process..... | 24 |
| 12. Project Reference Form..... | 26 |
| 13. Contract Certificate..... | 29 |
| 14. Vendex Compliance | 30 |
| 15. Iran Divestment Act Compliance Rider | 31 |
| 16. Construction Employment Report | 33 |

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**CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE**

SPECIAL NOTICE TO BIDDERS

BID SUBMISSION REQUIREMENTS

THE FOLLOWING DOCUMENTS ARE TO BE COMPLETED AND SUBMITTED WITH THE BID:

1. Bid Schedule and Bid Form, including Affirmation
2. Bid Security (if required, see Attachment 1 on Page A-1)
3. Schedule B: M/WBE Utilization Plan (if participation goals have been established)

**FAILURE TO SUBMIT ITEMS (1), (2) AND (3)
WILL RESULT IN THE DISQUALIFICATION OF THE BID.**

4. Safety Questionnaire
5. Construction Employment Report (if bid is \$1,000,000 or more)
6. Contract Certificate (if bid is less than \$1,000,000)
7. Confirmation of Vendex Compliance
8. Bidder's Certification of Compliance with Iran Divestment Act
9. Special Experience Requirements (if applicable)
10. Apprenticeship Program Questionnaire (if applicable)
11. Any addenda issued prior to the receipt of bids

**FAILURE TO SUBMIT ITEMS (4) THROUGH (11)
MAY RESULT IN THE DISQUALIFICATION OF THE BID.**

NOTES:

- (1) All of the above referred to blank forms to be completed and submitted with the bid are included in the BID BOOKLET.
- (2) If the bidder has any questions or requires additional information, please contact the Department of Design and Construction by phone (718-391- 2601) or by fax (718-391-2615).
- (3) **VENDEX QUESTIONNAIRES:** The Bidder is advised that Vendex Questionnaires and procedures have been changed. Vendex Questionnaires, as well as detailed instructions, may be obtained at www.nyc.gov/vendex. The bidder may also obtain Vendex forms and instructions by contacting the Agency Chief Contracting Officer or the contact person for this contract.
- (4) **SPECIAL EXPERIENCE REQUIREMENTS:** The Bidder is advised that Special Experience Requirements may apply to this contract. Such requirements are set forth on pages 3, 3a, 3b and 4 of this Bid Booklet.

SPECIAL NOTICE TO BIDDERS

SPECIAL EXPERIENCE REQUIREMENTS (Revised 03/2014)

- (A) **SPECIAL EXPERIENCE REQUIREMENTS FOR THE BIDDER:** The Special Experience Requirements set forth below apply to the bidder. Compliance with such Special Experience Requirements will be determined solely by the City prior to an award of contract. Failure to comply with the Special Experience Requirements will result in rejection of the bid as non-responsive.

The requirements in this Section (A) apply to this contract where indicated by a blackened box (■).

- The bidder must, within the last seven (7) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least one (1) project similar in scope and type to the required work. Such prior project may have been performed as a prime contractor, subcontractor or sub-subcontractor.

The Special Experience Requirements next to the blackened box below apply to the bidder. If the bidder intends to perform such work itself, it must demonstrate compliance with the Special Experience Requirements. If the bidder intends to subcontract this work, the proposed subcontractor or sub-subcontractor must demonstrate compliance with the Special Experience Requirements. The contractor, subcontractor or sub-subcontractor (hereinafter referred to as the "entity") that will perform any specific area of work indicated by the blackened box below, may have performed the required prior project(s) as a prime contractor, subcontractor or sub-subcontractor. Once approved, no substitution will be permitted, unless the qualifications of the proposed replacement have been approved in writing in advance by the City.

- ☐ **Trunk Water Main Work:** The entity that will perform the trunk water main work must, within the last seven (7) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least one (1) project similar in scope and type to the required work.
- ☐ **Best Management Practice Work:** Best Management Practice ("BMP") Work is any item of work in the Bid Schedule that begins with the prefix "BMP". The entity that will perform any BMP Work must, within the last five (5) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least three (3) projects similar in scope and type to the required work.

For professional services in connection with BMP Work, (i.e., monitoring and reporting services), the individual who will perform the required services must, within the last five (5) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least three (3) projects similar in scope and type to the required work. Additional requirements are set forth below.

- ☐ The individual serving as the Restoration Specialist (Construction Monitor) must be a Registered Landscape Architect licensed by the state of New York, or must have equivalent professional experience.
- ☐ The individual serving as the Erosion and Sediment Control Licensed/Certified Professional must be a Certified Professional in Erosion and Sediment Control (CPESC), certified by CPESC, Inc.
- **Micro-Tunneling/Pipe Jacking Work:** The entity that will perform the micro-tunneling/pipe jacking work must, within the last five (5) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least two (2) projects similar in scope and type to the required work.
- ☐ **OTHER:** _____

(B) **SPECIAL EXPERIENCE REQUIREMENTS FOR SPECIFIC AREAS OF WORK (to be provided after an award of contract):**

The requirements in this Section (B) apply to this contract where indicated by a blackened box (■).

The Special Experience Requirements set forth below apply to the contractor, subcontractor or sub-subcontractor that will perform the specific area of work. **Compliance with such Special Experience Requirements will be determined solely by the City after an award of contract.** After an award of contract, when requested by the City, the contractor will be required to submit the qualifications of the contractor, subcontractor or sub-subcontractor that will perform the specific area of work. If the bidder intends to perform such work itself, it must demonstrate compliance with the Special Experience Requirements. If the bidder intends to subcontract this work, the proposed subcontractor or sub-subcontractor must demonstrate compliance with the Special Experience Requirements. Once approved, no substitution will be permitted, unless the qualifications of the proposed replacement have been approved in writing in advance by the City.

Special Experience Requirements apply to the contractor, subcontractor or sub-subcontractor (hereinafter referred to as the "entity") that will perform any specific area of work indicated by a blackened box. The entity may have performed the required prior project(s) as a prime contractor, subcontractor or sub-subcontractor.

- ☐ **Hazmat Work:** Hazmat Work is any item of work in the Bid Schedule that begins with the prefix 8.01. The entity that will perform any Hazmat Work must, within the last three (3) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least five (5) projects similar in scope and type to the required work.

- ☐ **Pile, CFA Pile, and/or Mini-Pile Work:** The entity that will perform the Pile, CFA Pile and/or Mini-Pile Work must, within the last three (3) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least two (2) projects similar in scope and type to the required work.

For professional services in connection with Pile Work, (i.e., engineering and inspection services), the individual who will perform the required services must be a Professional Engineer licensed by the state of New York. Such individual must also comply with the above requirements for prior projects.

- ☐ **Construction Report, Monitoring And Post-Construction Report, and Continuous Real-Time Monitoring For Vibrations And Movements And Post-Construction Report Work:** The entity that will perform the Construction Report, Monitoring For Vibrations And Movements, and Post-Construction Report Work must, within the last three (3) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least two (2) projects similar in scope and type to the required work.

For professional services in connection with Reporting and Monitoring Work, (i.e., engineering and inspection services), the individual who will perform the required services must be a Professional Engineer licensed by the state of New York. Such individual must also comply with the above requirements for prior projects.

- ☐ **OTHER:** _____

(C) **SPECIFICATIONS:** In the event of any conflict, omission or inconsistency between (1) the Specifications and/or Contract Drawings, and (2) the Special Experience Requirements in Section (B) of the Special Notice To Bidders, the special experience listed in the Specifications and/or Contract Drawings shall be controlling. The Special Experience Requirements in Section (B) of this Special Notice To Bidders are only for the convenience of the bidders.

(D) **SUBMISSION REQUIREMENTS:** For each project submitted to demonstrate compliance with the Special Experience Requirements, the bidder must complete and submit the Qualification Form included in the Bid Booklet. The City will only evaluate a project if the following criteria are met: (1) the project is described on the Qualification Form, and (2) all information on the Qualification Form is provided. The City will not evaluate any project which does not comply with the criteria set forth herein, including any project which is referred to only on the resume of an individual.

If Special Experience Requirements are indicated for any specific area of work, the submission requirement set forth above shall apply to the entity that will perform the specific area of work.

(E) **CONDITIONS:** In determining compliance with the Special Experience Requirements for the bidder set forth above, the City may consider prior projects completed by principal(s) or other employees of the bidder while affiliated with another entity, subject to the conditions set forth below.

- Any principal or other employee on whose prior experience the bidder is relying to demonstrate compliance with this special experience requirement must have held the following: (a) a significant management role in the prior entity with which he/she was affiliated, and (b) a significant management role in the entity submitting the bid for a period of six (6) months or more from the inception of the bidding entity.
- The bidder may not rely on the experience of its principals or other employees to demonstrate compliance with any other requirements, including without limitation, financial requirements or requirements for a specified minimum amount of annual gross revenues.

(F) **JOINT VENTURES:** In the event the bidder is a joint venture, at least one firm in the joint venture must meet the above described experience requirements.

Qualification Form

List previous projects completed to meet the special experience requirements for this contract.
Please photocopy this form for submission of all required projects.

Name of Contractor: _____

Name of Project: _____

Location of Project: _____

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: _____

Title: _____ Phone Number: _____

Brief description of the Project completed or the Project in progress: _____

Was the Project performed as a prime, a subcontractor or a sub-subcontractor: _____

Amount of Contract, Subcontract or Sub-subcontract: _____

Start Date and Completion Date: _____

Name of Contractor: _____

Name of Project: _____

Location of Project: _____

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: _____

Title: _____ Phone Number: _____

Brief description of the Project completed or the Project in progress: _____

Was the Project performed as a prime, a subcontractor or a sub-subcontractor: _____

Amount of Contract, Subcontract or Sub-subcontract: _____

Start Date and Completion Date: _____

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ATTACHMENT 1 - BID INFORMATION

PROJECT ID: GE353
PIN: 8502013WM0009C

Description and Location of Work: Description and Location of Work: Distribution Water Main Extension And Replacement Adjacent To T.A. Facilities In:

Brooklyn:

Flatbush Avenue Between Atlantic Avenue And 5th Avenue; Driggs Avenue Between Lorimer Street And North 12th Street; Union Avenue Between North Street And Richard Street;

South Queens:

Rockaway Freeway Between Beach 98th Street And Beach 101st Street; Beach 98th Street Between Rockaway Beach Boulevard And Beach Channel Drive; Beach 100th Street Between Rockaway Beach Boulevard And Rockaway Freeway; Beach 101st Street Between Rockaway Beach Boulevard And Beach Channel Drive; Jamaica Avenue Between 116th Street And 123rd Street,, Together With All Work Incidental Thereto, Boroughs Of Brooklyn And Queens.

Documents Available At: 30-30 Thomson Avenue
First Floor Bid Procurement Room
Long Island City, New York 11101
8:30 A.M. to 4:00 P.M. - Monday through Friday

Submission of Bids To: 30-30 Thomson Avenue
First Floor Bid Procurement Room
Long Island City, New York 11101
Before 11:00 A.M. on JANUARY 7, 2015

Bid Opening: 30-30 Thomson Avenue
First Floor Bid Procurement Room
Long Island City, New York 11101
Time and Date: 11:00 A.M. on JANUARY 7, 2015

Pre-Bid Conference: Yes _____ No X
If Yes, Mandatory: _____ Optional: _____
Time and Date: _____
Location: _____

Bid Security: Bid Security is required in the amount set forth below; provided, however, bid security is not required if the TOTAL BID PRICE set forth on the Bid Form is less than \$1,000,000.00.

- (1) Bond in an amount not less than 10% of the TOTAL BID PRICE set forth on the Bid Form, OR
- (2) Certified Check in an amount not less than 2% of the TOTAL BID PRICE set forth on the Bid Form.

Performance and Payment Security: Required for contracts in the amount of \$1,000,000 or more. Performance Security and Payment Security shall each be in an amount equal to 100% of the Contract Price.

Agency Contact Person: Lorraine Holley
Phone: 718-391-2601 FAX: 718-391-2615

(NO TEXT ON THIS PAGE)

BID SCHEDULE

NOTICE TO BIDDERS

Items listed in this Bid Schedule which have one (1) digit followed by a decimal (e.g. 4.02 AG), excluding items beginning with the number "8.01", shall comply with the requirements of the corresponding numerical Sections of the NYCDOT Standard Highway Specifications as amended by Addendum No. 1 and Addendum No. 2, herein Volume 3 of 3.

Items listed in this Bid Schedule which have two (2) digits beginning with the number "5" followed by a decimal (e.g. 50.31CC15) shall comply with the requirements of the New York City Department of Environmental Protection (NYCDEP) Standard Sewer and Water Main Specifications dated July 1, 2014, as amended by Addendum No. 2, herein Volume 3 of 3.

Items listed in this Bid Schedule which have two (2) digits beginning with the number "6" followed by a decimal (e.g. 60.11R612) shall comply with the requirements of the New York City Department of Environmental Protection (NYCDEP) Standard Sewer and Water Main Specifications dated July 1, 2014, as amended by Addendum No. 2, herein Volume 3 of 3.

Items listed in this Bid Schedule which have two (2) digits beginning with the number "7" followed by a decimal (e.g. 70.31FN) shall comply with the requirements of the New York City Department of Environmental Protection (NYCDEP) Standard Sewer and Water Main Specifications dated July 1, 2014, as amended by Addendum No. 2, herein Volume 3 of 3.

Items listed in this Bid Schedule beginning with the prefix "T-" (e.g. T-2.15) are Traffic Items which shall be done in accordance with the requirements of Sub-Section 1.06.23.(D) and Section 1.06.49 in the Standard Highway Specifications.

Items listed in this Bid Schedule beginning with the prefix "UTL-" (e.g. UTL-6.01.8) are for Gas Cost Sharing (EP-7) work and shall comply with the requirements of Addendum No. 3, herein Volume 3 of 3.

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10/28/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

CONTRACT PIN: 8502013WM0009C
PROJECT ID: GE353

BID SCHEDULE

- NOTE:** (1) The Agency may reject a bid if it contains unbalanced bid prices. An unbalanced bid is considered to be one containing lump sum or unit items which do not reflect reasonable actual costs plus a reasonable proportionate share of the Bidder's anticipated profit, overhead costs, and other indirect costs, anticipated for the performance of the items in question.
- (2) The following bid prices on Unit Price Contracts are to be paid for the actual quantities of the item numbers in the completed work or structure, and they cover the cost of all work, labor, material, tools, plant and appliances of every description necessary to complete the entire work, as specified, and the removal of all debris, temporary work and appliances.
- (3) **PLEASE BE SURE A LEGIBLE BID IS ENTERED, IN INK, FOR EACH ITEM.**
Alterations must be initialed in ink by the bidder.
- (4) The Extended Amount entered in Column 6 shall be the product of the Estimated Quantity in Column 3 times the Unit Price Bid in Column 5.
- (5) Prospective bidders must examine the Bid Schedule carefully and, before bidding, must advise the Commissioner, in writing, if any pages are missing, and must request that such missing pages be furnished them. The pages of this Bid Schedule are numbered consecutively, as follows: B - 3 Through B - 29

PLEASE BE SURE A LEGIBLE BID IS ENTERED FOR EACH ITEM.
THE BIDDER SHALL INSERT THE TOTAL BID PRICE IN
THE BID FORM ON PAGE C-4 OF THIS BID BOOKLET.

10/28/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| COL 1 SEQ. NO. | COL 2 ITEM NUMBER AND DESCRIPTION | COL 3 ENGINEER'S ESTIMATE OF QUANTITY | COL 4 UNIT | COL 5 UNIT PRICE (IN FIGURES) DOLLARS | COL 6 EXTENDED PRICE DOLLARS |
|-------------------|--|--|---------------|--|------------------------------------|
| 001 | 4.02 AB-R ASPHALTIC CONCRETE WEARING COURSE, 1-1/2" THICK | 9,569.00 | S.Y. | | |
| 002 | 4.02 CA BINDER MIXTURE | 2,691.00 | TONS | | |
| 003 | 4.04 H CONCRETE BASE FOR PAVEMENT, VARIABLE THICKNESS FOR TRENCH RESTORATION, (HIGH-EARLY STRENGTH) | 962.00 | C.Y. | | |
| 004 | 4.05 AX HIGH-EARLY STRENGTH REINFORCED CONCRETE PAVEMENT (BUS STOPS) | 500.00 | C.Y. | | |
| 005 | 4.08 AA CONCRETE CURB (18" DEEP) | 600.00 | L.F. | | |
| 006 | 4.09 AD STRAIGHT STEEL FACED CONCRETE CURB (18" DEEP) | 840.00 | L.F. | | |

BID SCHEDULE FORM

| COL 1 SEQ. NO. | COL 2 ITEM NUMBER and DESCRIPTION | COL 3 ENGINEER'S ESTIMATE OF QUANTITY | COL 4 UNIT | COL 5 UNIT PRICE (IN FIGURES) | | COL 6 EXTENDED AMOUNT (IN FIGURES) | |
|-------------------|---|--|---------------|-------------------------------------|-----|--|-----|
| | | | | DOLLARS | CTS | DOLLARS | CTS |
| 007 | 4.09 AE STRAIGHT STEEL FACED CONCRETE CURB (21" DEEP) | 240.00 | L.F. | | | | |
| 008 | 4.09 BD DEPRESSED STEEL FACED CONCRETE CURB (18" DEEP) | 120.00 | L.F. | | | | |
| 009 | 4.09 BE DEPRESSED STEEL FACED CONCRETE CURB (21" DEEP) | 120.00 | L.F. | | | | |
| 010 | 4.09 CD CORNER STEEL FACED CONCRETE CURB (18" DEEP) | 40.00 | L.F. | | | | |
| 011 | 4.09 CE CORNER STEEL FACED CONCRETE CURB (21" DEEP) | 40.00 | L.F. | | | | |
| 012 | 4.13 AAS 4" CONCRETE SIDEWALK (UNPIGMENTED) | 7,000.00 | S.F. | | | | |

10/28/2014 12:00 AM

**NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN**

**PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C**

BID SCHEDULE FORM

| COL 1 | COL 2 | COL 3 | COL 4 | COL 5 | | COL 6 | |
|----------|---|---------------------------------------|-------|----------------------------|-------|---------------------------------|-------|
| SEQ. NO. | ITEM NUMBER and DESCRIPTION | ENGINEER'S ESTIMATE OF QUANTITY | UNITS | UNIT PRICE (IN FIGURES) | | EXTENDED AMOUNT (IN FIGURES) | |
| | | | | DOLLARS | CENTS | DOLLARS | CENTS |
| 013 | 4.13 AAS 4" CONCRETE SIDEWALK (UNPIGMENTED) | 100.00 | S.F. | | | | |
| 014 | 4.13 BAS 7" CONCRETE SIDEWALK (UNPIGMENTED) | 500.00 | S.F. | | | | |
| 015 | 4.13 BAS 7" CONCRETE SIDEWALK (UNPIGMENTED) | 200.00 | S.F. | | | | |
| 016 | 4.13 DE EMBEDDED PREFORMED DETECTABLE WARNING UNITS | 100.00 | S.F. | | | | |
| 017 | 4.18 A MAINTENANCE TREE PRUNING (UNDER 12" CAL.) | 15.00 | EACH | | | | |
| 018 | 4.18 B MAINTENANCE TREE PRUNING (12" TO UNDER 18" CAL.) | 5.00 | EACH | | | | |

10/28/2014 12:00 AM

**NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN**

**PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C**

BID SCHEDULE FORM

| COL 1 | COL 2 | COL 3 | COL 4 | COL 5 | | COL 6 | |
|---------|--|---------------------------------------|-------|------------------------------|-----|-----------------------------------|-----|
| SEQ. NO | ITEM NUMBER and DESCRIPTION | ENGINEER'S ESTIMATE OF QUANTITY | UNIT | UNIT PRICE (IN FIGURES) | | EXTENDED AMOUNT (IN FIGURES) | |
| | | | | DOLLARS | CTS | DOLLARS | CTS |
| 019 | 4.18 C MAINTENANCE TREE PRUNING (18" TO UNDER 24" CAL.) | 2.00 | EACH | | | | |
| 020 | 4.18 D MAINTENANCE TREE PRUNING (24" CAL. AND OVER) | 20.00 | EACH | | | | |
| 021 | 4.21 TREE CONSULTANT | 252.00 | P/HR | | | | |
| 022 | 51.41S001 STANDARD CATCH BASIN, TYPE 1 | 10.00 | EACH | | | | |
| 023 | 52.11D12 12" DUCTILE IRON PIPE BASIN CONNECTION | 500.00 | L.F. | | | | |
| 024 | 6.02 AAN UNCLASSIFIED EXCAVATION | 2,658.00 | C.Y. | | | | |

10/28/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| COL 1 | COL 2 | COL 3 | COL 4 | COL 5 | | COL 6 | |
|--------|---|---------------------------------------|-------|----------------------------|-----|---------------------------------|-----|
| SEQ NO | ITEM NUMBER AND DESCRIPTION | ENGINEER'S ESTIMATE OF QUANTITY | UNIT | UNIT PRICE (IN FIGURES) | | EXTENDED AMOUNT (IN FIGURES) | |
| | | | | DOLLARS | CTS | DOLLARS | CTS |
| 025 | 6.02 XHEC INCREMENTAL COST FOR MODIFYING WORK METHODS NEAR (WITHIN 3 FEET OF) TRANSIT FACILITIES AND BUILDING VAULTS | 850.00 | C.Y. | | | | |
| 026 | 6.02 XSCW INCREMENTAL COST FOR USING SPECIAL CARE WORK METHODS NEAR (FROM 3 FEET TO 50 FEET) TRANSIT FACILITIES | 250.00 | C.Y. | | | | |
| 027 | 6.25 RS TEMPORARY SIGNS | 2,200.00 | S.F. | | | | |
| 028 | 6.26 TIMBER CURB | 500.00 | L.F. | | | | |
| 029 | 6.28 AA LIGHTED TIMBER BARRICADES | 300.00 | L.F. | | | | |
| 030 | 6.40 C ENGINEER'S FIELD OFFICE (TYPE C) | 18.00 | MONTH | | | | |

10/28/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| COL 1 SEQ. NO. | COL 2 ITEM NUMBER and DESCRIPTION | COL 3 ENGINEER'S ESTIMATE OF QUANTITY | COL 4 UNIT | COL 5 UNIT PRICE (IN FIGURES) DOLLARS CTS | | COL 6 EXTENDED AMOUNT (IN FIGURES) DOLLARS CTS | |
|-------------------|---|--|---------------|--|--|---|--|
| 031 | 6.44 THERMOPLASTIC REFLECTORIZED PAVEMENT MARKINGS (4" WIDE) | 4,200.00 | L.F. | | | | |
| 032 | 6.49 TEMPORARY PAVEMENT MARKINGS (4" WIDE) | 8,400.00 | L.F. | | | | |
| 033 | 6.50 CLEANING OF DRAINAGE STRUCTURES | 10.00 | EACH | | | | |
| 034 | 6.52 CG CROSSING GUARD | 2,112.00 | P/HR | | | | |
| 035 | 6.53 REMOVE EXISTING LANE MARKINGS (4" WIDE) | 4,200.00 | L.F. | | | | |

10/28/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| COL 1 | COL 2 | COL 3 | COL 4 | COL 5 | COL 6 |
|----------|--|---------------------------------------|-------|---|--|
| SEQ. NO. | ITEM NUMBER and DESCRIPTION | ENGINEER'S ESTIMATE OF QUANTITY | UNIT | UNIT PRICE (IN FIGURES) DOLLARS CTS | EXTENDED AMOUNT (IN FIGURES) DOLLARS CTS |
| 036 | 6.84 B LOLLIPOP TYPE BUS STOP SIGNS PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 18,000.00 | 1.00 | F.S. | 18,000 00 | 18,000 00 |
| 037 | 6.87 PLASTIC BARRELS | 4,160.00 | EACH | | |
| 038 | 6.91 REFLECTIVE CRACKING MEMBRANE (18" WIDE) | 20,810.00 | L.F. | | |
| 039 | 60.11R516 FURNISHING AND DELIVERING 16-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 55) | 50.00 | L.F. | | |
| 040 | 60.11R520 FURNISHING AND DELIVERING 20-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 55) | 865.00 | L.F. | | |

10/28/2014 12:00 AM

**NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN**

**PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C**

BID SCHEDULE FORM

| COL. 1 SEQ. NO. | COL. 2 ITEM NUMBER and DESCRIPTION | COL. 3 ENGINEER'S ESTIMATE OF QUANTITY | COL. 4 UNIT | COL. 5 UNIT PRICE (IN FIGURES) DOLLARS CTS | COL. 6 EXTENDED AMOUNT (IN FIGURES) DOLLARS CTS |
|--------------------|--|---|----------------|---|--|
| 041 | 60.11R604 FURNISHING AND DELIVERING 4-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56) | 30.00 | L.F. | | |
| 042 | 60.11R606 FURNISHING AND DELIVERING 6-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56) | 345.00 | L.F. | | |
| 043 | 60.11R608 FURNISHING AND DELIVERING 8-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56) | 4,245.00 | L.F. | | |
| 044 | 60.11R612 FURNISHING AND DELIVERING 12-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56) | 3,995.00 | L.F. | | |
| 045 | 60.12D04 LAYING 4-INCH DUCTILE IRON PIPE AND FITTINGS | 35.00 | L.F. | | |
| 046 | 60.12D06 LAYING 6-INCH DUCTILE IRON PIPE AND FITTINGS | 365.00 | L.F. | | |

10/28/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| COL 1 SEQ. NO. | COL 2 ITEM NUMBER AND DESCRIPTION | COL 3 ENGINEER'S ESTIMATE OF QUANTITY | COL 4 UNIT | COL 5 UNIT PRICE (IN DOLLARS AND CENTS) | | COL 6 EXTENDED AMOUNT (IN DOLLARS AND CENTS) | |
|-------------------|---|--|---------------|---|--|--|--|
| 047 | 60.12D08 LAYING 8-INCH DUCTILE IRON PIPE AND FITTINGS | 4,500.00 | L.F. | | | | |
| 048 | 60.12D12 LAYING 12-INCH DUCTILE IRON PIPE AND FITTINGS | 4,250.00 | L.F. | | | | |
| 049 | 60.12D16 LAYING 16-INCH DUCTILE IRON PIPE AND FITTINGS | 55.00 | L.F. | | | | |
| 050 | 60.12D20 LAYING 20-INCH DUCTILE IRON PIPE AND FITTINGS | 955.00 | L.F. | | | | |
| 051 | 60.13M0A24 FURNISHING AND DELIVERING DUCTILE IRON MECHANICAL JOINT 24- -INCH DIAMETER AND SMALLER FITTINGS, INCLUDING WEDGE TYPE RETAINER GLANDS | 30.00 | TONS | | | | |
| 052 | 60.18BJC20EL FURNISHING, DELIVERING AND INSTALLING BELL JOINT CLAMPS, COMPLETE FOR 20-INCH PIPE AND LESS | 20.00 | EACH | | | | |

10/28/2014 12:00 AM

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BID SCHEDULE FORM

| COL 1 SEQ. NO. | COL 2 ITEM NUMBER and DESCRIPTION | COL 3 ENGINEER'S ESTIMATE OF QUANTITY | COL 4 UNIT | COL 5 UNIT PRICE (IN FIGURES) | | COL 6 EXTENDED AMOUNT (IN FIGURES) | |
|-------------------|--|--|---------------|-------------------------------------|-------|--|-------|
| | | | | DOLLARS | CENTS | DOLLARS | CENTS |
| 053 | 61.11DMM06 FURNISHING AND DELIVERING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 33.00 | EACH | | | | |
| 054 | 61.11DMM08 FURNISHING AND DELIVERING 8-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 27.00 | EACH | | | | |
| 055 | 61.11DMM12 FURNISHING AND DELIVERING 12-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 18.00 | EACH | | | | |
| 056 | 61.11DMM20 FURNISHING AND DELIVERING 20-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 4.00 | EACH | | | | |
| 057 | 61.11TWC03 FURNISHING AND DELIVERING 3-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 3.00 | EACH | | | | |

10/28/2014 12:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C

BID SCHEDULE FORM

| COL 1 | COL 2 | COL 3 | COL 4 | COL 5 | | COL 6 | |
|----------|---|---------------------------------------|-------|----------------------------|-------|---------------------------------|-------|
| SEQ. NO. | ITEM NUMBER AND DESCRIPTION | ENGINEER'S ESTIMATE OF QUANTITY | UNIT | UNIT PRICE (IN FIGURES) | | EXTENDED AMOUNT (IN FIGURES) | |
| | | | | DOLLARS | CENTS | DOLLARS | CENTS |
| 058 | 61.11TWC04 FURNISHING AND DELIVERING 4-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 3.00 | EACH | | | | |
| 059 | 61.11TWC06 FURNISHING AND DELIVERING 6-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 2.00 | EACH | | | | |
| 060 | 61.11TWC08 FURNISHING AND DELIVERING 8-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 2.00 | EACH | | | | |
| 061 | 61.12DMM06 SETTING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 33.00 | EACH | | | | |
| 062 | 61.12DMM08 SETTING 8-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 27.00 | EACH | | | | |
| 063 | 61.12DMM12 SETTING 12-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 18.00 | EACH | | | | |

BID SCHEDULE FORM

| COL 1 | COL 2 | COL 3 | COL 4 | COL 5 | | COL 6 | |
|---------|--|---------------------------------------|-------|----------------------------|-----|---------------------------------|-----|
| SEQ. NO | ITEM NUMBER AND DESCRIPTION | ENGINEER'S ESTIMATE OF QUANTITY | UNIT | UNIT PRICE (IN FIGURES) | | EXTENDED AMOUNT (IN FIGURES) | |
| | | | | DOLLARS | CTS | DOLLARS | CTS |
| 064 | 61.12DMM20 SETTING 20-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 4.00 | EACH | | | | |
| 065 | 61.12TWC03 SETTING 3-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 3.00 | EACH | | | | |
| 066 | 61.12TWC04 SETTING 4-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 3.00 | EACH | | | | |
| 067 | 61.12TWC06 SETTING 6-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 2.00 | EACH | | | | |
| 068 | 61.12TWC08 SETTING 8-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 2.00 | EACH | | | | |
| 069 | 62.11SD FURNISHING AND DELIVERING HYDRANTS | 33.00 | EACH | | | | |

10/28/2014 12:00 AM

**NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN**

**PROJECT ID: GE353
CONTRACT PIN: 8502013WM0009C**

BID SCHEDULE FORM

| COL 1 | COL 2 | COL 3 | COL 4 | COL 5 | | COL 6 | |
|---------|---|---------------------------------------|-------|----------------------------|-----|---------------------------------|-----|
| SEQ. NO | ITEM NUMBER and DESCRIPTION | ENGINEER'S ESTIMATE OF QUANTITY | UNIT | UNIT PRICE (IN FIGURES) | | EXTENDED AMOUNT (IN FIGURES) | |
| | | | | DOLLARS | CTS | DOLLARS | CTS |
| 070 | 62.12SG SETTING HYDRANTS COMPLETE WITH WEDGE TYPE RETAINER GLANDS | 33.00 | EACH | | | | |
| 071 | 62.13RH REMOVING HYDRANTS | 25.00 | EACH | | | | |
| 072 | 62.14FS FURNISHING, DELIVERING AND INSTALLING HYDRANT FENDERS | 66.00 | EACH | | | | |
| 073 | 63.11VC FURNISHING AND DELIVERING VARIOUS CASTINGS | 40.00 | TONS | | | | |
| 074 | 64.11EL WITHDRAWING AND REPLACING HOUSE SERVICES USING 1-1/2-INCH OR LARGER SCREW TAPS | 85.00 | EACH | | | | |
| 075 | 64.11ST WITHDRAWING AND REPLACING HOUSE SERVICES USING SMALLER THAN 1-1/2-INCH SCREW TAPS | 100.00 | EACH | | | | |

BID SCHEDULE FORM

| COL 1 | COL 2 | COL 3 | COL 4 | COL 5 | | COL 6 | |
|----------|--|---------------------------------------|-------|----------------------------|------|---------------------------------|------|
| SEQ. NO. | ITEM NUMBER and DESCRIPTION | ENGINEER'S ESTIMATE OF QUANTITY | UNIT | UNIT PRICE (IN FIGURES) | | EXTENDED AMOUNT (IN FIGURES) | |
| | | | | DOLLARS | CTS. | DOLLARS | CTS. |
| 076 | 64.12COEG CUTTING AND OFFSETTING HOUSE SERVICE WATER CONNECTIONS (EQUAL TO OR GREATER THAN 3-INCH DIAMETER) | 425.00 | L.F. | | | | |
| 077 | 64.12COLT CUTTING AND OFFSETTING HOUSE SERVICE WATER CONNECTIONS (LESS THAN 3-INCH DIAMETER) | 925.00 | L.F. | | | | |
| 078 | 64.12ESEG EXTENDING HOUSE SERVICE WATER CONNECTIONS (EQUAL TO OR GREATER THAN 3-INCH DIAMETER) | 50.00 | L.F. | | | | |
| 079 | 64.12ESLT EXTENDING HOUSE SERVICE WATER CONNECTIONS (LESS THAN 3- INCH DIAMETER) | 100.00 | L.F. | | | | |
| 080 | 64.13WC08 FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 8-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS | 6.00 | EACH | | | | |
| 081 | 64.13WC12 FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 12-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS | 2.00 | EACH | | | | |

10/28/2014 12:00 AM

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BID SCHEDULE FORM

| COL 1 SEQ. NO. | COL 2 ITEM NUMBER and DESCRIPTION | COL 3 ENGINEER'S ESTIMATE OF QUANTITY | COL 4 UNIT | COL 5 UNIT PRICE (IN FIGURES) DOLLARS CTS | | COL 6 EXTENDED AMOUNT (IN FIGURES) DOLLARS CTS | |
|-------------------|---|--|---------------|--|--|---|--|
| 082 | 64.13WC20 FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 20-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS | 2.00 | EACH | | | | |
| 083 | 65.11BR FURNISHING, DELIVERING AND INSTALLING BANDS, RODS, WASHERS, ETC., COMPLETE, FOR RESTRAINING JOINTS | 3,384.00 | LBS. | | | | |
| 084 | 65.21PS FURNISHING AND PLACING POLYETHYLENE SLEEVE Unit price bid shall not be less than: \$ 0.50 | 9,988.00 | L.F. | | | | |
| 085 | 65.31FF FURNISHING, DELIVERING AND PLACING FILTER FABRIC Unit price bid shall not be less than: \$ 0.15 | 78,860.00 | S.F. | | | | |
| 086 | 65.51PC FURNISHING AND PLACING CAST-IN-PLACE CONCRETE CLASS 40 AND PRECAST CONCRETE CLASS 50 | 35.00 | C.Y. | | | | |
| 087 | 65.61SS FURNISHING, DELIVERING AND PLACING STRUCTURAL, REINFORCING AND MISCELLANEOUS STEEL | 40,000.00 | LBS. | | | | |

BID SCHEDULE FORM

| COL 1 | COL 2 | COL 3 | COL 4 | COL 5 | | COL 6 | |
|----------|--|---------------------------------------|-------|----------------------------|------|---------------------------------|------|
| SEQ. NO. | ITEM NUMBER and DESCRIPTION | ENGINEER'S ESTIMATE OF QUANTITY | UNIT | UNIT PRICE (IN FIGURES) | | EXTENDED AMOUNT (IN FIGURES) | |
| | | | | DOLLARS | CTS. | DOLLARS | CTS. |
| 088 | 65.71SG FURNISHING, DELIVERING AND PLACING SCREENED GRAVEL OR SCREENED BROKEN STONE BEDDING | 603.00 | C.Y. | | | | |
| 089 | 7.13 B MAINTENANCE OF SITE Unit price bid shall not be less than: \$ 4,000.00 | 12.00 | MONTH | | | | |
| 090 | 7.19 LOAD TRANSFER JOINT | 350.00 | L.F. | | | | |
| 091 | 7.36 PEDESTRIAN STEEL BARRICADES | 8,400.00 | L.F. | | | | |
| 092 | 7.88 AA RODENT INFESTATION SURVEY AND MONITORING Unit price bid shall not be less than: \$ 6,750.00 | 1.00 | L.S. | | | | |
| 093 | 7.88 AB RODENT BAIT STATIONS Unit price bid shall not be less than: \$ 60.00 | 1,080.00 | EACH | | | | |

10/28/2014 12:00 AM

**NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN**

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| COL 1 | COL 2 | COL 3 | COL 4 | COL 5 | | COL 6 | |
|---------|--|---------------------------------------|-------|----------------------------|-----|---------------------------------|-----|
| SEQ. NO | ITEM NUMBER and DESCRIPTION | ENGINEER'S ESTIMATE OF QUANTITY | UNIT | UNIT PRICE (IN FIGURES) | | EXTENDED AMOUNT (IN FIGURES) | |
| | | | | DOLLARS | CTS | DOLLARS | CTS |
| 094 | 7.88 AC BAITING OF RODENT BAIT STATIONS Unit price bid shall not be less than: \$ 9.25 | 1,080.00 | EACH | | | | |
| 095 | 7.88 AD WATERBUG BAIT APPLICATIONS Unit price bid shall not be less than: \$ 65.00 | 324.00 | BLOCK | | | | |
| 096 | 70.31FN FENCING Unit price bid shall not be less than: \$ 2.00 | 1,000.00 | L.F. | | | | |
| 097 | 70.51EO EXCAVATION OF BOULDERS IN OPEN CUT Unit price bid shall not be less than: \$ 75.00 | 15.00 | C.Y. | | | | |
| 098 | 70.61RE ROCK EXCAVATION | 10.00 | C.Y. | | | | |
| 099 | 70.81CB CLEAN BACKFILL Unit price bid shall not be less than: \$ 15.00 | 2,010.00 | C.Y. | | | | |

BID SCHEDULE FORM

| COL 1 | COL 2 | COL 3 | COL 4 | COL 5 | | COL 6 | |
|----------|---|---------------------------------------|-------|----------------------------|------|---------------------------------|------|
| SEQ. NO. | ITEM NUMBER AND DESCRIPTION | ENGINEER'S ESTIMATE OF QUANTITY | UNIT | UNIT PRICE (IN FIGURES) | | EXTENDED AMOUNT (IN FIGURES) | |
| | | | | DOLLARS | CTS. | DOLLARS | CTS. |
| 100 | 70.91SW12 FURNISHING AND PLACING SHEETING AND BRACING IN TRENCH FOR WATER MAIN PIPE 12-INCH IN DIAMETER AND LESS | 9,205.00 | S.F. | | | | |
| 101 | 70.91SW20 FURNISHING AND PLACING SHEETING AND BRACING IN TRENCH FOR WATER MAIN PIPE 20-INCH IN DIAMETER | 955.00 | S.F. | | | | |
| 102 | 73.11AB ADDITIONAL BRICK MASONRY Unit price bid shall not be less than: \$ 62.50 | 10.00 | C.Y. | | | | |
| 103 | 73.21AC ADDITIONAL CONCRETE Unit price bid shall not be less than: \$ 62.50 | 50.00 | C.Y. | | | | |
| 104 | 73.31AE0 ADDITIONAL EARTH EXCAVATION INCLUDING TEST PITS (ALL DEPTHS) Unit price bid shall not be less than: \$ 20.00 | 265.00 | C.Y. | | | | |
| 105 | 73.41AG ADDITIONAL SELECT GRANULAR BACKFILL Unit price bid shall not be less than: \$ 15.00 | 225.00 | C.Y. | | | | |

10/28/2014 12:00 AM

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|---------|---|---------------------------------------|-------|----------------------------|-----|---------------------------------|-----|
| SEQ. NO | ITEM NUMBER and DESCRIPTION | ENGINEER'S ESTIMATE OF QUANTITY | UNIT | UNIT PRICE (IN FIGURES) | | EXTENDED AMOUNT (IN FIGURES) | |
| | | | | DOLLARS | CTS | DOLLARS | CTS |
| 106 | 75.11RT REMOVAL OF ABANDONED TRACKS | 1,000.00 | L.F. | | | | |
| 107 | 79.11AATA ALLOWANCE FOR ASBESTOS ABATEMENT WORK PERFORMED ON EXISTING TRANSIT AUTHORITY DUCT INSULATION (REMOVAL OF ACM, REPLACEMENT WITH NON-ACM, AND SUPPORT AND PROTECTION OF EXISTING TRANSIT AUTHORITY DUCT, COMPLETE) PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 500,000.00 | 1.00 | F.S. | 500,000 | 00 | 500,000 | 00 |
| 108 | 8.02 A SPECIAL CARE EXCAVATION AND RESTORATION FOR SIDEWALK WORK | 1,000.00 | S.F. | | | | |
| 109 | 8.02 B SPECIAL CARE EXCAVATION AND RESTORATION FOR CURB WORK | 500.00 | L.F. | | | | |
| 110 | T-1.2 INSTALL TYPE "F-1" FOUNDATION | 1.00 | EACH | | | | |

10/28/2014 12:00 AM

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|-------------------|---|--|---------------|--|---|
| 111 | T-1.20 REMOVE TYPE "M" SERIES FOUNDATION | 1.00 | EACH | | |
| 112 | T-1.23 REMOVE STREET LIGHT FOUNDATION | 1.00 | EACH | | |
| 113 | T-1.3 INSTALL TYPE "M2-5S" FOUNDATION | 1.00 | EACH | | |
| 114 | T-2.24 REMOVE TYPE "M" SERIES POST | 1.00 | EACH | | |
| 115 | T-2.27 REMOVE ANY OTHER TYPE POST | 1.00 | EACH | | |
| 116 | T-2.38 INSTALL ONE STREET LIGHT POLE | 1.00 | EACH | | |

BID SCHEDULE FORM

| COL 1 SEG. NO. | COL 2 ITEM NUMBER AND DESCRIPTION | COL 3 ENGINEER'S ESTIMATE OF QUANTITY | COL 4 UNIT | COL 5 UNIT PRICE (IN DOLLARS) | | COL 6 EXTENDED AMOUNT (IN DOLLARS) | |
|-------------------|---|--|---------------|-------------------------------------|-------|--|-------|
| | | | | DOLLARS | CENTS | DOLLARS | CENTS |
| 117 | T-2.4 INSTALL TYPE "M-2" POST | 1.00 | EACH | | | | |
| 118 | T-20021 b) FURNISH 1" ANCHOR BOLT ASSEMBLIES FOR F-1 (EACH) (4 REQUIRED PER POST) | 4.00 | EACH | | | | |
| 119 | T-20220 c) FURNISH 1-1/4" ANCHOR BOLT ASSEMBLIES FOR M-2 (EACH) (4 REQUIRED PER POST) | 4.00 | EACH | | | | |
| 120 | T-3.1 INSTALL "ONE-WAY" SIGNAL UNIT ON MAST ARM OR TOP OF TRAFFIC POST | 2.00 | EACH | | | | |
| 121 | T-3.18 REMOVE SIGNAL HEAD FROM ANY TYPE POST | 1.00 | EACH | | | | |
| 122 | T-3.21 REMOVE PEDESTRIAN SIGNAL OR SIGN UNIT OR OTHER ILLUMINATED SIGNS FROM ANY POST | 4.00 | EACH | | | | |

10/28/2014 12:00 AM

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|-------------------|---|--|---------------|--|---|
| 123 | T-3.6 INSTALL PEDESTRIAN SIGNAL ON ANY TYPE POST | 4.00 | EACH | | |
| 124 | T-31210 h) "HUB" ASSEMBLY *ASSEMBLY IS EQUAL TO ONE PAIR | 2.00 | EACH | | |
| 125 | T-31215 b) "2MS" | 1.00 | EACH | | |
| 126 | T-31351 g) "VB-2P" ASSEMBLY *ASSEMBLY IS EQUAL TO ONE PAIR | 2.00 | EACH | | |
| 127 | T-5.1 FURNISH AND INSTALL 2" RIGID UNDERGROUND CONDUIT IN UNPAVED ROADWAY | 100.00 | L.F. | | |
| 128 | T-5.2 FURNISH AND INSTALL 2" RIGID UNDERGROUND CONDUIT IN PAVED ROADWAY | 50.00 | L.F. | | |

10/28/2014 12:00 AM

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CONTRACT PIN: 8502013WM0009C

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|----------|---|---------------------------------------|-------|----------------------------|-------|---------------------------------|-------|
| SEQ. NO. | ITEM NUMBER AND DESCRIPTION | ENGINEER'S ESTIMATE OF QUANTITY | UNIT | UNIT PRICE (IN FIGURES) | | EXTENDED AMOUNT (IN FIGURES) | |
| | | | | DOLLARS | CENTS | DOLLARS | CENTS |
| 129 | T-5.32 RESTORING PERMANENT ROADWAY (INCLUDING SAWCUT) | 50.00 | L.F. | | | | |
| 130 | T-6.10 REMOVE CABLE (INCLUDES OVERHEAD) | 1,500.00 | L.F. | | | | |
| 131 | T-6.2 INSTALL MULTIPLE CABLE (INCLUDES OVERHEAD) | 1,500.00 | L.F. | | | | |
| 132 | T-60000B FURNISH 2 c # 10B (SEE SPEC) (BREAKDOWN = 2#10 WITH 3RD WIRE FOR GROUNDING). | 1,500.00 | L.F. | | | | |
| 133 | T-60040 c) 7 CONDUCTOR, 14 A.W.G. | 1,000.00 | L.F. | | | | |
| 134 | T-60190 e) 13 CONDUCTOR, 14 A.W.G. | 1,500.00 | L.F. | | | | |

10/28/2014 12:00 AM

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|--------------------|--|---|----------------|--------------------------------------|-------|---|-------|
| | | | | DOLLARS | CENTS | DOLLARS | CENTS |
| 135 | T-8.10 RELOCATE CONCRETE PYLON WITH POST | 1.00 | EACH | | | | |
| 136 | T-8.8 INSTALL CONCRETE PYLON | 1.00 | EACH | | | | |
| 137 | T-8.9 REMOVE CONCRETE PYLON | 1.00 | EACH | | | | |
| 138 | T-81000 FURNISH CONCRETE PYLON | 1.00 | EACH | | | | |
| 139 | UTL-6.01.8 GAS SERVICES CROSSING TRENCHES AND/OR EXCAVATIONS (S6.01) Unit price bid shall not be less than: \$ 465.00 | 20.00 | EACH | | | | |
| 140 | UTL-6.01.9 GAS MAIN CROSSING WATER MAIN UP TO 20" IN DIAMETER (S6.01) Unit price bid shall not be less than: \$ 485.00 | 36.00 | EACH | | | | |

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|----------|---|--------------------------------------|-------|----------------------------|-------|---------------------------------|-------|
| SEQ. NO. | ITEM NUMBER and DESCRIPTION | ENGINEERS ESTIMATE OF QUANTITY | UNIT | UNIT PRICE (IN FIGURES) | | EXTENDED AMOUNT (IN FIGURES) | |
| | | | | DOLLARS | CENTS | DOLLARS | CENTS |
| 141 | UTL-6.03 REMOVAL OF ABANDONED GAS FACILITIES. ALL SIZES. (S6.03) Unit price bid shall not be less than: \$ 15.00 | 2,100.00 | L.F. | | | | |
| 142 | UTL-6.03.1 REMOVAL OF ABANDONED GAS FACILITIES WITH POSSIBLE COAL TAR WRAP. ALL SIZES. (S6.03) Unit price bid shall not be less than: \$ 25.00 | 100.00 | L.F. | | | | |
| 143 | UTL-6.04 ADJUST HARDWARE TO GRADE USING SPACER RINGS/ADAPTORS. (STREET REPAVING.) (S6.04) Unit price bid shall not be less than: \$ 35.00 | 25.00 | EACH | | | | |
| 144 | UTL-6.05 ADJUST HARDWARE TO GRADE BY RESETTING. (ROAD RECONSTRUCTION.) (S6.05) Unit price bid shall not be less than: \$ 65.00 | 25.00 | EACH | | | | |
| 145 | UTL-6.06 SPECIAL CARE EXCAVATION AND BACKFILLING (S6.06) Unit price bid shall not be less than: \$ 180.00 | 700.00 | C.Y. | | | | |
| 146 | UTL-6.06A SPECIAL CARE EXCAVATION AND BACKFILLING FOR TRANSMISSION MAINS (TRANSMISSION MAIN IS DESCRIBED AS ANY GAS MAIN WITH A MAOP GREATER THAN 124-PSIG) (S6.06A) Unit price bid shall not be less than: \$ 230.00 | 150.00 | C.Y. | | | | |

BID SCHEDULE FORM

| COL 1 SEQ. NO. | COL 2 ITEM NUMBER and DESCRIPTION | COL 3 ENGINEER'S ESTIMATE OF QUANTITY | COL 4 UNIT | COL 5 UNIT PRICE (IN FIGURES) DOLLARS CTS | | COL 6 EXTENDED AMOUNT (IN FIGURES) DOLLARS CTS | |
|-------------------|--|--|---------------|--|----|---|----|
| 147 | UTL-6.07 TEST PITS FOR GAS FACILITIES (\$6.07) Unit price bid shall not be less than: \$ 100.00 | 100.00 | C.Y. | | | | |
| 148 | UTL-GCS-2WS GAS INTERFERENCES AND ACCOMMODATIONS PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 100,000.00 | 1.00 | F.S. | 100,000 | 00 | 100,000 | 00 |

SUB-TOTAL: \$ _____

| | | | | | | | |
|-----|---|------|------|--|--|--|--|
| 149 | 6.39 A MOBILIZATION BID PRICE OF MOBILIZATION SHALL NOT EXCEED 4% OF THE ABOVE SUB-TOTAL PRICE. | 1.00 | L.S. | | | | |
|-----|---|------|------|--|--|--|--|

TOTAL BID PRICE: \$ _____

PLEASE BE SURE A LEGIBLE BID IS ENTERED FOR EACH ITEM.
THE BIDDER SHALL INSERT THE TOTAL BID PRICE IN
THE BID FORM ON PAGE C-4 OF THIS BID BOOKLET.

**BID FORM
THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE**

**BID FOR FURNISHING ALL LABOR AND
MATERIAL NECESSARY AND REQUIRED FOR:**

PROJECT ID: GE353

DISTRIBUTION WATER MAIN EXTENSION AND REPLACEMENT ADJACENT TO T.A. FACILITIES IN:

BROOKLYN:

**FLATBUSH AVENUE BETWEEN ATLANTIC AVENUE AND 5TH AVENUE;
DRIGGS AVENUE BETWEEN LORIMER STREET AND NORTH 12TH STREET; UNION AVENUE BETWEEN NORTH
STREET AND RICHARD STREET;**

SOUTH QUEENS:

**ROCKAWAY FREEWAY BETWEEN BEACH 98TH STREET AND BEACH 101ST STREET; BEACH 98TH STREET
BETWEEN ROCKAWAY BEACH BOULEVARD AND BEACH CHANNEL DRIVE; BEACH 100TH STREET BETWEEN
ROCKAWAY BEACH BOULEVARD AND ROCKAWAY FREEWAY; BEACH 101ST STREET BETWEEN ROCKAWAY
BEACH BOULEVARD AND BEACH CHANNEL DRIVE; JAMAICA AVENUE BETWEEN 116TH STREET AND 123RD
STREET;**

**Together With All Work Incidental Thereto
BOROUGH OF BROOKLYN AND QUEENS**

Name of Bidder: _____

Date of Bid Opening: _____

Bidder is: (Check one, whichever applies) Individual () Partnership () Corporation ()

Place of Business of Bidder: _____

Bidder's Telephone Number: _____ Fax Number: _____

Bidder's E-Mail Address: _____

Residence of Bidder (If Individual): _____

If Bidder is a Partnership, fill in the following blanks:

Names of Partners

Residence of Partners

If Bidder is a Corporation, fill in the following blanks:

Organized under the laws of the State of _____

Name and Home Address of President: _____

Name and Home Address of Secretary: _____

Name and Home Address of Treasurer: _____

BID FORM

The above-named Bidder affirms and declares:

1. The said bidder is of lawful age and the only one interested in this bid; and no person, firm or corporation other than hereinbefore named has any interest in this bid, or in the Contract proposed to be taken.
2. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief: (1) the prices in this bid have been arrived at independently without collusion, consultation, communication or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor; (2) unless otherwise required by law, the prices quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and (3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.
3. No councilman or other officer or employee or person whose salary is payable in whole or in part from the City Treasury is directly or indirectly interested in this bid, or in the supplies, materials, equipment, work or labor to which it relates, or in any of the profits thereof.
4. The bidder is not in arrears to the City of New York upon debt or contract or taxes, and is not a defaulter, as surety or otherwise, upon any obligation of the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York or State of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except as set forth on the Affirmation included as page C-6 of this Bid Booklet.

The bidder hereby affirms that it has paid all applicable City income, excise and other taxes for all years it has conducted business activities in New York City.

5. The bidder, as an individual, or as a member, partner, director or officer of the bidder, if the same be a firm, partnership or corporation, executes this document expressly warranting and representing that should this bid be accepted by the City and the Contract awarded to him, he and his subcontractors engaged in the performance: (1) will comply with the provisions of Section 6-108 of the Administrative Code of the City of New York and the non-discrimination provisions of Section 220a of the New York State Labor Law, as more expressly and in detail set forth in the Agreement; (2) will comply with Section 6-109 of the Administrative Code of the City of New York in relation to minimum wages and other stipulations as more expressly and in detail set forth in the Agreement; (3) have complied with the provisions of the aforesaid laws since their respective effective dates, and (4) will post notices to be furnished by the City, setting forth the requirements of the aforesaid laws in prominent and conspicuous places in each and every plant, factory, building and structure where employees engaged in the performance of the Contract can readily view it, and will continue to keep such notices posted until the supplies, materials and equipment, or work labor and services required to be furnished or rendered by the Contractor have been finally accepted by the City. In the event of any breach or violation of the foregoing, the Contractor may be subject to damages, liquidated or otherwise, cancellation of the Contract and suspension as a bidder for a period of three years. (The words, "the bidder", "he", "his", and "him" where used herein shall mean the individual bidder, firm, partnership or corporation executing the bid).

6. Compliance Report

The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, (1) represents that his attention has been specifically drawn to Executive Order No. 50, dated, April 25, 1980, on Equal Employment Compliance of the contract, and (2) warrants that he will comply with the provisions of Executive Order No. 50. The Employment Report must be submitted as part of the bid.

The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, executes this document expressly warranting that he will comply with: (1) the provision of the contract on providing records, Chapter 8.

7. By submission of this bid, the bidder certifies that it now has and will continue to have the financial capability to fully perform the work required for this contract. Any award of this contract will be made in reliance upon such certification. Upon request therefor, the bidder will submit written verification of such financial capability in a form that is acceptable to the department.

8. In accordance with Section 165 of the State Finance Law, the bidder agrees that tropical hardwoods, as defined in Section 165 of the State Finance Law, shall not be utilized in the performance of this Contract, except as the same are permitted by the foregoing provision of law.

9. The bidder has visited and examined the site of the work and has carefully examined the Contract in the form approved by the Corporation Counsel, and will execute the Contract and perform all its items, covenants and conditions, and will provide, furnish and deliver all the work, materials, supplies, tools and appliances for all labor and materials necessary or required for the hereinafter named work, all in strict conformity with the Contract, for the prices set forth in the Bid Schedule:

10. **M/WBE UTILIZATION PLAN:** By signing its bid, the bidder agrees to the Vendor Certification and Required Affirmations set forth below, unless a full waiver of the Participation Goals is granted. The Vendor Certification and Required Affirmations will be deemed to satisfy the requirement to complete Section V of Part II of Schedule B: M/WBE Utilization Plan.

Section V: Vendor Certification and Required Affirmations:

I hereby:

- 1) acknowledge my understanding of the M/WBE participation requirements as set forth in this Contract and the pertinent provisions of Section 6-129 of the Administrative Code of the City of New York and the rules promulgated thereunder;
- 2) affirm that the information supplied in support of the M/WBE Utilization Plan is true and correct;
- 3) agree, if awarded this Contract, to comply with the M/WBE participation requirements of this Contract, the pertinent provisions of Section 6-129, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract;
- 4) agree and affirm that it is a material term of this Contract that the Vendor will award the total dollar value of the M/WBE Participation Goals to certified MBEs and/or WBEs, unless a full waiver is obtained or such goals are modified by the Agency; and
- 5) agree and affirm, if awarded this Contract, to make all reasonable, good faith efforts to meet the M/WBE Participation Goals, or If a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms.

(NO TEXT ON THIS PAGE)

BID FORM

PROJECT ID: GE353

TOTAL BID PRICE: In the space provided below, the Bidder shall indicate its Total Bid Price in figures. Such Total Bid Price is set forth on the final page of the Bid Schedule.

TOTAL BID PRICE:
(a/k/a BID PROPOSAL)

\$ _____

BIDDER'S SIGNATURE AND AFFIDAVIT

Bidder: _____

By: _____
(Signature of Partner or corporate officer)

Attest:
(Corporate Seal)

Secretary of Corporate Bidder

**Affidavit on the following page should be subscribed
and sworn to before a Notary Public**

BID FORM (TO BE NOTARIZED)

AFFIDAVIT WHERE BIDDER IS AN INDIVIDUAL

STATE OF NEW YORK, COUNTY OF _____ ss:

being duly sworn says:

I am the person described in and who executed the foregoing bid, and the several matters therein stated are in all respects true.

(Signature of the person who signed the Bid)

Subscribed and sworn to before me this
_____ day of _____,

Notary Public

AFFIDAVIT WHERE BIDDER IS A PARTNERSHIP

STATE OF NEW YORK, COUNTY OF _____ ss:

being duly sworn says:

I am a member of _____ the firm described in and which executed the foregoing bid. I subscribed the name of the firm thereto on behalf of the firm, and the several matters therein stated are in all respects true.

(Signature of Partner who signed the Bid)

Subscribed and sworn to before me this
_____ day of _____,

Notary Public

AFFIDAVIT WHERE BIDDER IS A CORPORATION

STATE OF NEW YORK, COUNTY OF _____ ss:

being duly sworn says:

I am the _____ of the above named corporation whose name is subscribed to and which executed the foregoing bid. I reside at _____
I have knowledge of the several matters therein stated, and they are in all respects true.

(Signature of Partner who signed the Bid)

Subscribed and sworn to before me this
_____ day of _____,

Notary Public

AFFIRMATION

The undersigned bidder affirms and declares that said bidder is not in arrears to the City of New York upon debt, contract or taxes and is not a defaulter, as surety or otherwise, upon obligation to the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except: _____

(If none, the bidder shall insert the word "None" in the space provided above.)

Full Name of Bidder: _____

Address: _____

City _____ State _____ Zip Code _____

CHECK ONE BOX AND INCLUDE APPROPRIATE NUMBER:

☐ A - Individual or Sole Proprietorship*
SOCIAL SECURITY NUMBER

☐ B - Partnership, Joint Venture or other unincorporated organization
EMPLOYER IDENTIFICATION NUMBER

☐ C- Corporation
EMPLOYER IDENTIFICATION NUMBER

By: _____
Signature

Title: _____

If a corporation, place seal here

This affirmation must be signed by an officer or duly authorized representative.

*Under the Federal Privacy Act the furnishing of Social Security Numbers by bidders on City contracts is voluntary. Failure to provide a Social Security Number will not result in a bidder's disqualification. Social Security Numbers will be used to identify bidders, proposers or vendors to ensure their compliance with laws, to assist the City in enforcement of laws, as well as to provide the City a means of identifying of businesses which seek City contracts.

(NO TEXT ON THIS PAGE)

BID BOND 1
FORM OF BID BOND

KNOW ALL MEN BY THESE PRESENTS. That we, _____

hereinafter referred to as the "Principal", and _____

hereinafter referred to as the "Surety" are held and firmly bound to THE CITY OF NEW YORK,
hereinafter referred to as the "CITY", or to its successors and assigns in the penal sum of _____

(\$ _____), Dollars lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

Whereas, the Principal is about to submit (or has submitted) to the City the accompanying proposal, hereby made a part hereof, to enter into a contract in writing for _____

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said Proposal without the consent of the City for a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's Proposal by the City, if the Principal shall:

(a) Within ten (10) days after notification by the City, execute in quadruplicate and deliver to the City all the executed counterparts of the Contract in the form set forth in the Contract Documents, in accordance with the proposal as accepted, and

(b) Furnish a performance bond and separate payment bond, as may be required by the City, for the faithful performance and proper fulfillment of such Contract, which bonds shall be satisfactory in all respects to the City and shall be executed by good and sufficient sureties, and

(c) In all respects perform the agreement created by the acceptance of said Proposal as provided in the Information for Bidders, bound herewith and made a part hereof, or if the City shall reject the aforesaid Proposal, then this obligation shall be null and void; otherwise to remain in full force and effect.

BID BOND 2

In the event that the Proposal of the Principal shall be accepted and the Contract be awarded to him the Surety hereunder agrees subject only to the payment by the Principal of the premium therefore, if requested by the City, to write the aforementioned performance and payment bonds in the form set forth in the Contract Documents.

It is expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall in no event exceed the penal amount of this obligation as herein stated.

There shall be no liability under this bond if, in the event of the acceptance of the Principal's Proposal by the City, either a performance bond or payment bond, or both, shall not be required by the City on or before the 30th day after the date on which the City signs the Contract.

The surety, for the value received, hereby stipulates and agrees that the obligations of the Surety and its bond shall in no way be impaired or affected by any postponements of the date upon which the City will receive or open bids, or by any extensions of the time within which the City may accept the Principal's Proposal, or by any waiver by the City of any of the requirements of the Information for Bidders, and the Surety hereby waives notice of any such postponements, extensions, or waivers.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers the _____ day of _____, _____.

(Seal)

_____(L.S.)
Principal

By: _____

(Seal)

Surety

By: _____

BID BOND 3

ACKNOWLEDGMENT OF PRINCIPAL, IF A CORPORATION

State of _____ County of _____ ss:
On this _____ day of _____, _____, before me personally came
_____ to me known, who, being by me duly sworn, did depose and say
that he resides at _____
that he is the _____ of _____
the corporation described in and which executed the foregoing instrument; that he knows the seal of said
corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of
the directors of said corporation, and that he signed his name thereto by like order.

Notary Public

ACKNOWLEDGMENT OF PRINCIPAL, IF A PARTNERSHIP

State of _____ County of _____ ss:
On this _____ day of _____, _____, before me personally appeared
_____ to me known and known to me to be one of the members of the
firm of _____ described in and who executed the foregoing
instrument, and he acknowledged to me that he executed the same as and for the act and deed of said
firm.

Notary Public

ACKNOWLEDGMENT OF PRINCIPAL, IF AN INDIVIDUAL

State of _____ County of _____ ss:
On this _____ day of _____, _____, before me personally appeared
_____ to me known and known to me to be the person described in
and who executed the foregoing instrument and acknowledged that he executed the same.

Notary Public

AFFIX ACKNOWLEDGMENTS AND JUSTIFICATION OF SURETIES

(NO TEXT ON THIS PAGE)

M/WBE PROGRAM

M/WBE UTILIZATION PLAN

M/WBE Program Requirements: The requirements for the M/WBE Program are set forth on the following pages of this Bid Booklet, in the section entitled "Notice to All Prospective Contractors".

Schedule B: M/WBE Utilization Plan: Schedule B: M/WBE Utilization Plan for this Contract is set forth in this Bid Booklet on the pages following the section entitled "Notice to All Prospective Contractors". The M/WBE Utilization Plan (Part I) indicates whether Participation Goals have been established for this Contract. If Participation Goals have been established for this Contract, the bidder must submit an M/WBE Utilization Plan (Part II) with its bid.

Waiver: The bidder may seek a full or partial pre-award waiver of the Participation Goals in accordance with the "Notice to All Prospective Contractors" (See Part A, Section 10). The bidder's request for a waiver must be submitted at least seven (7) calendar days prior to the bid date. Waiver requests submitted after the deadline will not be considered. The form for requesting a waiver of the Participation Goals is set forth in the M/WBE Utilization Plan (Part III).

Rejection of the Bid: The bidder must complete Schedule B: M/WBE Utilization Plan (Part II) set forth in this Bid Booklet on the pages following the section entitled "Notice to All Prospective Contractors". A Schedule B submitted by the bidder which does not include the Vendor Certification and Required Affirmations (See Section V of Part II) will be deemed to be non-responsive, unless a full waiver of the Participation Goals is granted (Schedule B, Part III). In the event that the City determines that the bidder has submitted a Schedule B where the Vendor Certification and Required Affirmations are completed but other aspects of the Schedule B are not complete, or contain a copy or computation error that is at odds with the Vendor Certification and Required Affirmations, the bidder will be notified by the Agency and will be given four (4) calendar days from receipt of notification to cure the specified deficiencies and return a completed Schedule B to the Agency. Failure to do so will result in a determination that the Bid is non-responsive. Receipt of notification is defined as the date notice is emailed or faxed (if the bidder has provided an email address or fax number), or no later than five (5) calendar days from the date of mailing or upon delivery, if delivered.

Impact on LBE Requirements: If Participation Goals have been established for the participation of M/WBEs, the contractor is not required to comply with the Locally Based Enterprise Program ("LBE"). The LBE Program is set forth in Article 67 of the Contract.

NOTICE TO ALL PROSPECTIVE CONTRACTORS

PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT

ARTICLE I. M/WBE PROGRAM

Local Law No. 129 of 2005 added and Local Law 1 of 2013 amended Section 6-129 of the Administrative Code of the City of New York (hereinafter "Section 6-129"). Section 6-129 establishes the program for participation in City procurement ("M/WBE Program") by minority-owned business enterprises ("MBEs") and women-owned business enterprises ("WBEs"), certified in accordance with Section 1304 of the New York City Charter. As stated in Section 6-129, the intent of the program is to address the impact of discrimination on the City's procurement process, and to promote the public interest in avoiding fraud and favoritism in the procurement process, increasing competition for City business, and lowering contract costs. The contract provisions contained herein are pursuant to Section 6-129, and the rules of the Department of Small Business Services ("DSBS") promulgated thereunder.

If this Contract is subject to the M/WBE Program established by Section 6-129, the specific requirements of MBE and/or WBE participation for this Contract are set forth in Schedule B of the Contract (entitled the "M/WBE Utilization Plan"), and are detailed below. The Contractor must comply with all applicable MBE and WBE requirements for this Contract.

All provisions of Section 6-129 are hereby incorporated in the Contract by reference and all terms used herein that are not defined herein shall have the meanings given such terms in Section 6-129. Article I, Part A, below, sets forth provisions related to the participation goals for construction, standard and professional services contracts. Article I, Part B, below, sets forth miscellaneous provisions related to the M/WBE Program.

PART A

PARTICIPATION GOALS FOR CONSTRUCTION, STANDARD AND PROFESSIONAL SERVICES CONTRACTS OR TASK ORDERS

1. The MBE and/or WBE Participation Goals established for this Contract or Task Orders issued pursuant to this Contract, ("Participation Goals"), as applicable, are set forth on Schedule B, Part I to this Contract (see Page 1, line 1 Total Participation Goals) or will be set forth on Schedule B, Part I to Task Orders issued pursuant to this Contract, as applicable.

The Participation Goals represent a percentage of the total dollar value of the Contract or Task Order, as applicable, that may be achieved by awarding subcontracts to firms certified with New York City Department of Small Business Services as MBEs and/or WBEs, and/or by crediting the participation of prime contractors and/or qualified joint ventures as provided in Section 3 below, unless the goals have been waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

2. If Participation Goals have been established for this Contract or Task Orders issued pursuant to this Contract, Contractor agrees or shall agree as a material term of the Contract that Contractor shall be subject to the Participation Goals, unless the goals are waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

3. If Participation Goals have been established for this Contract or Task Order issued pursuant to this Contract, a Contractor that is an MBE and/or WBE shall be permitted to count its own participation toward fulfillment of the relevant Participation Goal, provided that in accordance with Section 6-129 the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that the Contractor pays to direct subcontractors (as defined in Section 6-129(c)(13)), and provided further that a Contractor that is certified as both an MBE and a WBE may count its own participation either toward the goal for MBEs or the goal for WBEs, but not both.

A Contractor that is a qualified joint venture (as defined in Section 6-129(c)(30)) shall be permitted to count a percentage of its own participation toward fulfillment of the relevant **Participation Goal**. In accordance with Section 6-129, the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that Contractor pays to direct subcontractors, and then multiplying the remainder by the percentage to be applied to total profit to determine the amount to which an MBE or WBE is entitled pursuant to the joint venture agreement, provided that where a participant in a joint venture is certified as both an MBE and a WBE, such amount shall be counted either toward the goal for MBEs or the goal for WBEs, but not both.

4. A. If **Participation Goals** have been established for this Contract, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Utilization Plan, Part II (see Pages 2-4) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; and (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end. In the event that this M/WBE Utilization Plan indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals**, the bid or proposal, as applicable, shall be deemed non-responsive, unless Agency has granted the bidder or proposer, as applicable, a pre-award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.

B. (i) If this Contract is for a master services agreement or other requirements type contract that will result in the issuance of Task Orders that will be individually registered ("Master Services Agreement") and is subject to M/WBE **Participation Goals**, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Participation Requirements for Master Services Agreements That Will Require Individually Registered Task Orders, Part II (page 2) indicating the prospective contractor's certification and required affirmations to make all reasonable good faith efforts to meet participation goals established on each individual Task Order issued pursuant to this Contract, or if a partial waiver is obtained or such goals are modified by the Agency, to meet the modified **Participation Goals** by soliciting and obtaining the participation of certified MBE and/or WBE firms. In the event that the Schedule B indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals** that may be established on Task Orders issued pursuant to this Contract, the bid or proposal, as applicable, shall be deemed nonresponsive.

(ii) **Participation Goals** on a Master Services Agreement will be established for individual Task Orders issued after the Master Services Agreement is awarded. If **Participation Goals** have been established on a Task Order, a contractor shall be required to submit a Schedule B - M/WBE Utilization Plan For Independently Registered Task Orders That Are Issued Pursuant to Master Services Agreements, Part II (see Pages 2-4) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; and (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end. The contractor must engage in good faith efforts to meet the **Participation Goals** as established for the Task Order unless Agency has granted the contractor a pre-award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.

C. **THE BIDDER/PROPOSER MUST COMPLETE THE SCHEDULE B INCLUDED HEREIN (SCHEDULE B, PART II). A SCHEDULE B SUBMITTED BY THE BIDDER/PROPOSER WHICH DOES NOT INCLUDE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS (SEE SECTION V OF PART II) WILL BE DEEMED TO BE NON-RESPONSIVE, UNLESS A FULL WAIVER OF THE PARTICIPATION GOALS IS GRANTED (SCHEDULE B, PART III). IN THE EVENT THAT THE CITY DETERMINES THAT THE BIDDER/PROPOSER HAS SUBMITTED A SCHEDULE B WHERE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS ARE COMPLETED BUT OTHER ASPECTS OF THE SCHEDULE B ARE NOT COMPLETE, OR CONTAIN A COPY OR COMPUTATION ERROR THAT IS AT ODDS WITH THE VENDOR CERTIFICATION AND AFFIRMATIONS, THE BIDDER/PROPOSER WILL BE NOTIFIED BY THE AGENCY AND WILL BE GIVEN FOUR (4) CALENDAR DAYS FROM RECEIPT OF NOTIFICATION TO CURE THE SPECIFIED DEFICIENCIES AND RETURN A COMPLETED SCHEDULE B TO THE AGENCY. FAILURE TO DO**

SO WILL RESULT IN A DETERMINATION THAT THE BID/PROPOSAL IS NON-RESPONSIVE. RECEIPT OF NOTIFICATION IS DEFINED AS THE DATE NOTICE IS E-MAILED OR FAXED (IF THE BIDDER/PROPOSER HAS PROVIDED AN E-MAIL ADDRESS OR FAX NUMBER), OR NO LATER THAN FIVE (5) CALENDAR DAYS FROM THE DATE OF MAILING OR UPON DELIVERY, IF DELIVERED.

5. Where an M/WBE Utilization Plan has been submitted, the Contractor shall, within 30 days of issuance by Agency of a notice to proceed, submit a list of proposed persons or entities to which it intends to award subcontracts within the subsequent 12 months. In the case of multiyear contracts, such list shall also be submitted every year thereafter. The Agency may also require the Contractor to report periodically about the contracts awarded by its direct subcontractors to indirect subcontractors (as defined in Section 6-129(c)(22)). **PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor must identify all those to which it intends to award construction subcontracts for any portion of the Wicks trade work at the time of bid submission, regardless of what point in the life of the contract such subcontracts will occur. In identifying intended subcontractors in the bid submission, bidders may satisfy any Participation Goals established for this Contract by proposing one or more subcontractors that are MBEs and/or WBEs for any portion of the Wicks trade work. In the event that the Contractor's selection of a subcontractor is disapproved, the Contractor shall have a reasonable time to propose alternate subcontractors.**

6. MBE and WBE firms must be certified by DSBS in order for the Contractor to credit such firms' participation toward the attainment of the **Participation Goals**. Such certification must occur prior to the firms' commencement of work. A list of MBE and WBE firms may be obtained from the DSBS website at www.nyc.gov/buycertified, by emailing DSBS at buyer@sbs.nyc.gov, by calling (212) 513-6356, or by visiting or writing DSBS at 110 William St., New York, New York, 10038, 7th floor. Eligible firms that have not yet been certified may contact DSBS in order to seek certification by visiting www.nyc.gov/getcertified, emailing MWBE@sbs.nyc.gov, or calling the DSBS certification helpline at (212) 513-6311. A firm that is certified as both an MBE and a WBE may be counted either toward the goal for MBEs or the goal for WBEs, but not both. No credit shall be given for participation by a graduate MBE or graduate WBE, as defined in Section 6-129(c)(20).

7. Where an M/WBE Utilization Plan has been submitted, the Contractor shall, with each voucher for payment, and/or periodically as Agency may require, submit statements, certified under penalty of perjury, which shall include, but not be limited to: the total amount the Contractor paid to its direct subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount direct subcontractors paid to indirect subcontractors; the names, addresses and contact numbers of each MBE or WBE hired as a subcontractor by the Contractor, and, where applicable, hired by any of the Contractor's direct subcontractors; and the dates and amounts paid to each MBE or WBE. The Contractor shall also submit, along with its voucher for final payment: the total amount it paid to subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount its direct subcontractors paid directly to their indirect subcontractors; and a final list, certified under penalty of perjury, which shall include the name, address and contact information of each subcontractor that is an MBE or WBE, the work performed by, and the dates and amounts paid to each.

8. If payments made to, or work performed by, MBEs or WBEs are less than the amount specified in the Contractor's M/WBE Utilization Plan, Agency shall take appropriate action, in accordance with Section 6-129 and Article II below, unless the Contractor has obtained a modification of its M/WBE Utilization Plan in accordance with Section 6-129 and Part A, Section 11 below.

9. Where an M/WBE Utilization Plan has been submitted, and the Contractor requests a change order the value of which exceeds the greater of 10 percent of the Contract or Task Order, as applicable, or \$500,000, Agency shall review the scope of work for the Contract or Task Order, as applicable, and the scale and types of work involved in the change order, and determine whether the **Participation Goals** should be modified.

10. Pre-award waiver of the **Participation Goals**. (a) A bidder or proposer, or contractor with respect to a Task Order, may seek a pre-award full or partial waiver of the **Participation Goals** in accordance with Section 6-129, which requests that Agency change one or more **Participation Goals** on the grounds that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, or by demonstrating that it has legitimate business reasons for proposing a lower level of subcontracting in its M/WBE Utilization Plan.

(b) To apply for a full or partial waiver of the **Participation Goals**, a bidder, proposer, or contractor, as applicable, must complete Part III (Page 5) of Schedule B and submit such request no later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due, in writing to the Agency by email at poped@ddc.nyc.gov or via facsimile at (718) 391-1886. Bidders, proposers, or contractors, as applicable, who have submitted requests will receive an Agency response by no later than two (2) calendar days prior to the due date for bids, proposals, or Task Orders; provided, however, that if that date would fall on a weekend or holiday, an Agency response will be provided by close-of-business on the business day before such weekend or holiday date.

(c) If the Agency determines that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, it shall revise the solicitation and extend the deadline for bids and proposals, or revise the Task Order, as applicable.

(d) Agency may grant a full or partial waiver of the **Participation Goals** to a bidder, proposer or contractor, as applicable, who demonstrates—before submission of the bid, proposal or Task Order, as applicable—that it has legitimate business reasons for proposing the level of subcontracting in its M/WBE Utilization Plan. In making its determination, Agency shall consider factors that shall include, but not be limited to, whether the bidder, proposer or contractor, as applicable, has the capacity and the bona fide intention to perform the Contract without any subcontracting, or to perform the Contract without awarding the amount of subcontracts represented by the **Participation Goals**. In making such determination, Agency may consider whether the M/WBE Utilization Plan is consistent with past subcontracting practices of the bidder, proposer or contractor, as applicable, whether the bidder, proposer or contractor, as applicable, has made efforts to form a joint venture with a certified firm, and whether the bidder, proposer, or contractor, as applicable, has made good faith efforts to identify other portions of the Contract that it intends to subcontract.

11. Modification of M/WBE Utilization Plan. (a) A Contractor may request a modification of its M/WBE Utilization Plan after award of this Contract. **PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor may request a Modification of its M/WBE Utilization Plan as part of its bid submission.** The Agency may grant a request for Modification of a Contractor's M/WBE Utilization Plan if it determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts to meet the **Participation Goals**. In making such determination, Agency shall consider evidence of the following efforts, as applicable, along with any other relevant factors:

- (i) The Contractor advertised opportunities to participate in the Contract, where appropriate, in general circulation media, trade and professional association publications and small business media, and publications of minority and women's business organizations;
- (ii) The Contractor provided notice of specific opportunities to participate in the Contract, in a timely manner, to minority and women's business organizations;
- (iii) The Contractor sent written notices, by certified mail or facsimile, in a timely manner, to advise MBEs or WBEs that their interest in the Contract was solicited;
- (iv) The Contractor made efforts to identify portions of the work that could be substituted for portions originally designated for participation by MBEs and/or WBEs in the M/WBE Utilization Plan, and for which the Contractor claims an inability to retain MBEs or WBEs;

- (v) The Contractor held meetings with MBEs and/or WBEs prior to the date their bids or proposals were due, for the purpose of explaining in detail the scope and requirements of the work for which their bids or proposals were solicited;
- (vi) The Contractor made efforts to negotiate with MBEs and/or WBEs as relevant to perform specific subcontracts, or act as suppliers or service providers;
- (vii) Timely written requests for assistance made by the Contractor to Agency's M/WBE liaison officer and to DSBS;
- (viii) Description of how recommendations made by DSBS and Agency were acted upon and an explanation of why action upon such recommendations did not lead to the desired level of participation of MBEs and/or WBEs.

Agency's M/WBE officer shall provide written notice to the Contractor of the determination.

(b) The Agency may modify the **Participation Goals** when the scope of the work has been changed by the Agency in a manner that affects the scale and types of work that the Contractor indicated in its **M/WBE Utilization Plan** would be awarded to subcontractors.

12. If this Contract is for an indefinite quantity of construction, standard or professional services or is a requirements type contract and the Contractor has submitted an **M/WBE Utilization Plan** and has committed to subcontract work to MBEs and/or WBEs in order to meet the **Participation Goals**, the Contractor will not be deemed in violation of the M/WBE Program requirements for this Contract with regard to any work which was intended to be subcontracted to an MBE and/or WBE to the extent that the Agency has determined that such work is not needed.

13. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, at least once annually during the term of the Contract or Task Order, as applicable, Agency shall review the Contractor's progress toward attainment of its M/WBE Utilization Plan, including but not limited to, by reviewing the percentage of work the Contractor has actually awarded to MBE and/or WBE subcontractors and the payments the Contractor made to such subcontractors.

14. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, Agency shall evaluate and assess the Contractor's performance in meeting those goals, and such evaluation and assessment shall become part of the Contractor's overall contract performance evaluation.

PART B: MISCELLANEOUS

1. The Contractor shall take notice that, if this solicitation requires the establishment of an **M/WBE Utilization Plan**, the resulting contract may be audited by DSBS to determine compliance with Section 6-129. See §6-129(e)(10). Furthermore, such resulting contract may also be examined by the City's Comptroller to assess compliance with the **M/WBE Utilization Plan**.

2. Pursuant to DSBS rules, construction contracts that include a requirement for an **M/WBE Utilization Plan** shall not be subject to the law governing Locally Based Enterprises set forth in Section 6-108.1 of the Administrative Code of the City of New York.

3. DSBS is available to assist contractors and potential contractors in determining the availability of MBEs and/or WBEs to participate as subcontractors, and in identifying opportunities that are appropriate for participation by MBEs and/or WBEs in contracts.

4. Prospective contractors are encouraged to enter into qualified joint venture agreements with MBEs and/or WBEs as defined by Section 6-129(c)(30).

5. By submitting a bid or proposal the Contractor hereby acknowledges its understanding of the M/WBE Program requirements set forth herein and the pertinent provisions of Section 6-129, and any rules promulgated thereunder, and if awarded this Contract, the Contractor hereby agrees to comply with the M/WBE Program requirements of this Contract and pertinent provisions of Section 6-129, and any rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract. The Contractor hereby agrees to make all reasonable, good faith efforts to solicit and obtain the participation of MBEs and/or WBEs to meet the required **Participation Goals**.

ARTICLE II. ENFORCEMENT

1. If Agency determines that a bidder or proposer, as applicable, has, in relation to this procurement, violated Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, Agency may disqualify such bidder or proposer, as applicable, from competing for this Contract and the Agency may revoke such bidder's or proposer's prequalification status, if applicable.

2. Whenever Agency believes that the Contractor or a subcontractor is not in compliance with Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to any M/WBE Utilization Plan, Agency shall send a written notice to the Contractor describing the alleged noncompliance and offering the Contractor an opportunity to be heard. Agency shall then conduct an investigation to determine whether such Contractor or subcontractor is in compliance.

3. In the event that the Contractor has been found to have violated Section 6-129, the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to, any M/WBE Utilization Plan, Agency may determine that one of the following actions should be taken:

- (a) entering into an agreement with the Contractor allowing the Contractor to cure the violation;
- (b) revoking the Contractor's pre-qualification to bid or make proposals for future contracts;
- (c) making a finding that the Contractor is in default of the Contract;
- (d) terminating the Contract;
- (e) declaring the Contractor to be in breach of Contract;
- (f) withholding payment or reimbursement;
- (g) determining not to renew the Contract;
- (h) assessing actual and consequential damages;
- (i) assessing liquidated damages or reducing fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the M/WBE Program, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;
- (j) exercising rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or
- (k) taking any other appropriate remedy.

4. If an M/WBE Utilization Plan has been submitted, and pursuant to this Article II, Section 3, the Contractor has been found to have failed to fulfill its **Participation Goals** contained in its M/WBE Utilization Plan or the **Participation Goals** as modified by Agency pursuant to Article I, Part A, Section 11, Agency may assess liquidated damages in the amount of ten percent (10%) of the difference between the dollar amount of work required to be awarded to MBE and/or WBE firms to meet the **Participation Goals** and the dollar amount the Contractor actually awarded and paid, and/or credited, to MBE and/or WBE firms. In view of the difficulty of accurately ascertaining the loss which the City will suffer by reason of Contractor's failure to meet the **Participation Goals**, the foregoing amount is hereby fixed and agreed as the liquidated damages that the City will suffer by reason of such failure, and not as a penalty. Agency may deduct and retain out of any monies which may become due under this Contract the amount of any such liquidated damages; and in case the amount which may become due under this Contract shall be less than the amount of liquidated damages suffered by the City, the Contractor shall be liable to pay the difference.

5. Whenever Agency has reason to believe that an MBE and/or WBE is not qualified for certification, or is participating in a contract in a manner that does not serve a commercially useful function (as defined in Section 6-129(c)(8)), or has violated any provision of Section 6-129, Agency shall notify the Commissioner of DSBS who shall determine whether the certification of such business enterprise should be revoked.

6. Statements made in any instrument submitted to Agency pursuant to Section 6-129 shall be submitted under penalty of perjury and any false or misleading statement or omission shall be grounds for the application of any applicable criminal and/or civil penalties for perjury. The making of a false or fraudulent statement by an MBE and/or WBE in any instrument submitted pursuant to Section 6-129 shall, in addition, be grounds for revocation of its certification.

7. The Contractor's record in implementing its M/WBE Utilization Plan shall be a factor in the evaluation of its performance. Whenever Agency determines that a Contractor's compliance with an M/WBE Utilization Plan has been unsatisfactory, Agency shall, after consultation with the City Chief Procurement Officer, file an advice of caution form for inclusion in VENDEX as caution data.

Tax ID #: _____

APT E-

PIN #: 85015B0054

SCHEDULE B – M/WBE Utilization Plan**Part I: M/WBE Participation Goals****Part I to be completed by contracting agency****Contract Overview**

APT E- Pin # 85015B0054 FMS Project ID#: GE-353

Project Title/ Agency PIN # DISTRIBUTION WATER MAIN EXTENSION AND REPLACEMENT ADJACENT TO T.A. FACILITIES/8502013WM0009C

Bid/Proposal Response Date JANUARY 7, 2015

Contracting Agency Department of Design and Construction

Agency Address 30-30 Thomson Avenue City Long Island City State NY Zip Code 11101

Contact Person Monika Beci Title MWBE Liaison & Compliance Analyst

Telephone # (718) 391-1128 Email BeciMo@ddc.nyc.gov

Project Description (attach additional pages if necessary)**PROJECT ID: GE353**

DISTRIBUTION WATER MAIN EXTENSION AND REPLACEMENT ADJACENT TO T.A. FACILITIES IN:

BROOKLYN:

FLATBUSH AVENUE BETWEEN ATLANTIC AVENUE AND 5TH AVENUE;
 DRIGGS AVENUE BETWEEN LORIMER STREET AND NORTH 12TH STREET;
 UNION AVENUE BETWEEN NORTH STREET AND RICHARD STREET;

SOUTH QUEENS:

ROCKAWAY FREEWAY BETWEEN BEACH 98TH STREET AND BEACH 101ST STREET;
 BEACH 98TH STREET BETWEEN ROCKAWAY BEACH BOULEVARD AND BEACH CHANNEL DRIVE;
 BEACH 100TH STREET BETWEEN ROCKAWAY BEACH BOULEVARD AND ROCKAWAY FREEWAY; BEACH 101ST STREET BETWEEN
 ROCKAWAY BEACH BOULEVARD AND BEACH CHANNEL DRIVE; JAMAICA AVENUE BETWEEN 116TH STREET AND 123RD STREET;

Together With All Work Incidental Thereto
 BOROUGH OF BROOKLYN AND QUEENS
 CITY OF NEW YORK

M/WBE Participation Goals for Services

Enter the percentage amount for each group or for an unspecified goal. Please note that there are no goals for Asian Americans in Professional Services.

Prime Contract Industry: Construction

| Group | Percentage |
|----------------------------------|-------------------|
| Unspecified* | 10% |
| or | |
| Black American | UNSPECIFIED* |
| Hispanic American | UNSPECIFIED* |
| Asian American | UNSPECIFIED* |
| Women | UNSPECIFIED* |
| Total Participation Goals | 10% Line 1 |

*Note: For this procurement, individual ethnicity and gender goals are not specified. The Total Participation Goal for construction contracts may be met by using either Black-American, Hispanic-American, Asian American, or Women certified firms or any combination of such firms.

Tax ID #: _____

APT E-
PIN #: _____

85015B0054

SCHEDULE B - Part II: M/WBE Participation Plan

Part II to be completed by the bidder/proposer.

Please note: For Non-M/WBE Prime Contractors who will NOT subcontract any services and will self-perform the entire contract, you must obtain a FULL waiver by completing the Waiver Application on pages 17 and 18 and timely submitting it to the contracting agency pursuant to the Notice to Prospective Contractors. Once a FULL WAIVER is granted, it must be included with your bid or proposal and you do not have to complete or submit this form with your bid or proposal.

Section I: Prime Contractor Contact Information

| | |
|----------------------------|------------------------------|
| Tax ID # _____ | FMS Vendor ID # _____ |
| Business Name _____ | Contact Person _____ |
| Address _____ | |
| Telephone # _____ | Email _____ |

Section II: M/WBE Utilization Goal Calculation: Check the applicable box and complete subsection.**PRIME CONTRACTOR ADOPTING AGENCY M/WBE PARTICIPATION GOALS**

☐ For Prime Contractors (including Qualified Joint Ventures and M/WBE firms) adopting Agency M/WBE Participation Goals.

Calculate the total dollar value of your total bid that you agree will be awarded to M/WBE subcontractors for services and/or credited to an M/WBE prime contractor or Qualified Joint Venture.

Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation.

**Total
Bid/Proposal
Value**

**Agency Total
Participation Goals
(Line 1, Page 13)**

**Calculated M/WBE
Participation
Amount**

\$

X

=

\$
Line 2

PRIME CONTRACTOR OBTAINED PARTIAL WAIVER APPROVAL: ADOPTING MODIFIED M/WBE PARTICIPATION GOALS

☐ For Prime Contractors (including Qualified Joint Ventures and M/WBE firms) adopting Modified M/WBE Participation Goals.

Calculate the total dollar value of your total bid that you agree will be awarded to M/WBE subcontractors for services and/or credited to an M/WBE prime contractor or Qualified Joint Venture.

Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation.

**Total
Bid/Proposal
Value**

**Adjusted
Participation Goal
(From Partial Waiver)**

**Calculated M/WBE
Participation
Amount**

\$

X

=

\$
Line 3

Tax ID #: _____

APT E-

PIN #: 85015B0054

Section III: M/WBE Utilization Plan: How Proposer/Bidder Will Fulfill M/WBE Participation Goals. Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation. Check applicable box. The Proposer or Bidder will fulfill the M/WBE Participation Goals:

☐ As an M/WBE Prime Contractor that will self-perform and/or subcontract to other M/WBE firms a portion of the contract the value of which is at least the amount located on Lines 2 or 3 above, as applicable. The value of any work subcontracted to non-M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals. Please check all that apply to Prime Contractor:

☐ MBE ☐ WBE

☐ As a Qualified Joint Venture with an M/WBE partner, in which the value of the M/WBE partner's participation and/or the value of any work subcontracted to other M/WBE firms is at least the amount located on Lines 2 or 3 above, as applicable. The value of any work subcontracted to non M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals.

☐ As a non M/WBE Prime Contractor that will enter into subcontracts with M/WBE firms the value of which is at least the amount located on Lines 2 or 3 above, as applicable.

Section IV: General Contract Information

What is the expected percentage of the total contract dollar value that you expect to award in subcontracts for services, regardless of M/WBE status? % _____

Enter brief description of the type(s) and dollar value of subcontracts for all/any services you plan on subcontracting if awarded this contract. For each item, indicate whether the work is designated for participation by MBEs and/or WBEs and the time frame in which such work is scheduled to begin and end. Use additional sheets if necessary.

✓ Scopes of Subcontract Work

| | |
|-----|--|
| 1. | |
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| 16. | |
| 17. | |

Tax ID #: _____

APT E-
PIN #: 85015B0054

Section V: Vendor Certification and Required Affirmations

I hereby:

- 1) acknowledge my understanding of the M/WBE participation requirements as set forth herein and the pertinent provisions of Section 6-129 of the Administrative Code of the City of New York ("Section 6-129"), and the rules promulgated thereunder;*
- 2) affirm that the information supplied in support of this M/WBE Utilization Plan is true and correct;*
- 3) agree, if awarded this Contract, to comply with the M/WBE participation requirements of this Contract, the pertinent provisions of Section 6-129, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract;*
- 4) agree and affirm that it is a material term of this Contract that the Vendor will award the total dollar value of the M/WBE Participation Goals to certified MBEs and/or WBEs, unless a full waiver is obtained or such goals are modified by the Agency; and*
- 5) agree and affirm, if awarded this Contract, to make all reasonable, good faith efforts to meet the M/WBE Participation Goals, or If a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms.*

Signature _____

Date _____

Print Name _____

Title _____

SCHEDULE B – PART III – REQUEST FOR WAIVER OF M/WBE PARTICIPATION REQUIREMENT

Contract Overview

Tax ID # _____ FMS Vendor ID # _____

Business Name _____

Contact Name _____ Telephone # _____ Email _____

Type of Procurement ☐ Competitive Sealed Bids ☐ Other Bid/Response Due Date _____

APT E-PIN # (for this procurement): _____ Contracting Agency: _____

M/WBE Participation Goals as described in bid/solicitation documents

_____ % Agency M/WBE Participation Goal

Proposed M/WBE Participation Goal as anticipated by vendor seeking waiver

_____ % of the total contract value anticipated in good faith by the bidder/proposer to be subcontracted for services and/or credited to an M/WBE Prime Contractor or Qualified Joint Venture.

Basis for Waiver Request: Check appropriate box & explain in detail below (attach additional pages if needed)

- ☐ Vendor does not subcontract services, and has the capacity and good faith intention to perform all such work itself with its own employees.
- ☐ Vendor subcontracts some of this type of work but at a lower % than bid/solicitation describes, and has the capacity and good faith intention to do so on this contract. (Attach subcontracting plan outlining services that the vendor will self-perform and subcontract to other vendors or consultants.)
- ☐ Vendor has other legitimate business reasons for proposing the M/WBE Participation Goal above. Explain under separate cover.

References

List 3 most recent contracts performed for NYC agencies (if any). Include information for each subcontract awarded in performance of such contracts. Add more pages if necessary.

| CONTRACT NO. | AGENCY | DATE COMPLETED |
|---|---|---|
| Total Contract Amount \$ _____ | Total Amount Subcontracted \$ _____ | |
| Item of Work Subcontracted and Value of subcontract _____ | Item of Work Subcontracted and Value of subcontract _____ | Item of Work Subcontracted and Value of subcontract _____ |
| CONTRACT NO. _____ | AGENCY _____ | DATE COMPLETED _____ |
| Total Contract Amount \$ _____ | Total Amount Subcontracted \$ _____ | |
| Item of Work Subcontracted and Value of subcontract _____ | Item of Work Subcontracted and Value of subcontract _____ | Item of Work Subcontracted and Value of subcontract _____ |
| CONTRACT NO. _____ | AGENCY _____ | DATE COMPLETED _____ |
| Total Contract Amount \$ _____ | Total Amount Subcontracted \$ _____ | |
| Item of Work Subcontracted and Value of subcontract _____ | Item of Work Subcontracted and Value of subcontract _____ | Item of Work Subcontracted and Value of subcontract _____ |

List 3 most recent contracts performed for other entities. Include information for each subcontract awarded in performance of such contracts. Add more pages if necessary.

(Complete ONLY if vendor has performed fewer than 3 New York City contracts.)

| | | |
|--|-------------------------------------|-----------------------------|
| TYPE OF Contract _____ | ENTITY _____ | DATE COMPLETED _____ |
| Manager at entity that hired vendor (Name/Phone No./Email) _____ | | |
| Total Contract Amount \$ _____ | Total Amount Subcontracted \$ _____ | |
| Type of Work Subcontracted _____ | | |

| | | |
|---|---|---|
| TYPE OF Contract _____ | AGENCY/ENTITY _____ | DATE COMPLETED _____ |
| Manager at agency/entity that hired vendor (Name/Phone No./Email) _____ | | |
| Total Contract Amount \$ _____ | Total Amount Subcontracted \$ _____ | |
| Item of Work Subcontracted and Value of subcontract _____ | Item of Work Subcontracted and Value of subcontract _____ | Item of Work Subcontracted and Value of subcontract _____ |

| | | |
|--|---|---|
| TYPE OF Contract _____ | AGENCY/ENTITY _____ | DATE COMPLETED _____ |
| Manager at entity that hired vendor (Name/Phone No./Email) _____ | | |
| Total Contract Amount \$ _____ | Total Amount Subcontracted \$ _____ | |
| Item of Work Subcontracted and Value of subcontract _____ | Item of Work Subcontracted and Value of subcontract _____ | Item of Work Subcontracted and Value of subcontract _____ |

VENDOR CERTIFICATION: I hereby affirm that the information supplied in support of this waiver request is true and correct, and that this request is made in good faith.

| | |
|--------------------------|---------------------|
| Signature: _____ | Date: _____ |
| Print Name: _____ | Title: _____ |

Shaded area below is for agency completion only

| | |
|--|-------------|
| AGENCY CONTRACTING OFFICER APPROVAL | |
| Signature: _____ | Date: _____ |
| CITY OF NEW YORK OFFICER APPROVAL | |
| Signature: _____ | Date: _____ |
| Waiver Description: _____ | |
| Full Waiver: <input type="checkbox"/> _____ | |
| Partial Waiver: <input type="checkbox"/> _____ | |
| Revised Partial Waiver: <input type="checkbox"/> _____ % | |

APPRENTICESHIP PROGRAM REQUIREMENTS

Bidders are advised that the Apprenticeship Program Requirements set forth below apply to each contract for which a check mark is indicated before the word "Yes". Compliance with these requirements will be determined solely by the City.

 ✓ YES

 NO

(1) Apprenticeship Program Requirements

Notice to Bidders: Please be advised that, pursuant to the authority granted to the City under Labor Law Section 816-b, the Department of Design and Construction hereby requires that the contractor awarded a contract as a result of this Invitation for Bids, and any of its subcontractors with subcontracts worth one million dollars or over, have, prior to entering into such contract or subcontract, apprenticeship agreements appropriate for the type and scope of work to be performed that have been registered with, and approved by, the New York State Commissioner of Labor. In addition, the contractor and its subcontractors will be required to show that such apprenticeship programs have three years of current, successful experience in providing career opportunities.

The failure to prove, upon request, that these requirements have been met shall result in the contract not being awarded to the contractor or the subcontract not being approved.

Please be further advised that, pursuant to Labor Law Section 220, the allowable ratio of apprentices to journeypersons in any craft classification shall not be greater than the ratio permitted to the contractor as to its workforce on any job under the registered apprenticeship program.

(2) Apprenticeship Program Questionnaire

The bidder must submit a completed and signed Apprenticeship Program Questionnaire. The Questionnaire is set forth on the following page of the Bid Booklet.

APPRENTICESHIP PROGRAM QUESTIONNAIRE

PROJECT ID: GE353

The bidder must submit a completed and signed Apprenticeship Program Questionnaire.

1. Does the bidder have an Apprenticeship Program appropriate for the type and scope of work to be performed?
[Note: Participation may be by either direct sponsorship or through collective bargaining agreement(s).]

_____ YES

_____ NO

2. Has the bidder's Apprenticeship Program been registered with, and approved by, the New York State Commissioner of Labor?

_____ YES

_____ NO

3. Has the bidder's Apprenticeship Program had three years of successful experience in providing career opportunities?

_____ YES

_____ NO

If the answer to Question #3 is "Yes", the bidder shall, in the space below, provide information regarding the experience the Apprenticeship Program has had in providing career opportunities. The bidder may attach additional pages if necessary.

Bidder: _____

By: _____ Title: _____

(Signature of Partner or Corporate Officer)

Date: _____

SAFETY QUESTIONNAIRE

The bidder must include, with its bid, all information requested on this Safety Questionnaire. Failure to provide a completed and signed Safety Questionnaire at the time of bid opening may result in disqualification of the bid as non-responsive.

1. Bidder Information:

Company Name: _____

DDC Project Number: _____

Company Size: _____ Ten (10) employees or less
 _____ Greater than ten (10) employees

Company has previously worked for DDC _____ YES _____ NO

2. Type(s) of Construction Work

| TYPE OF WORK | LAST 3 YEARS | THIS PROJECT |
|--------------------------------------|--------------|--------------|
| General Building Construction | _____ | _____ |
| Residential Building Construction | _____ | _____ |
| Nonresidential Building Construction | _____ | _____ |
| Heavy Construction, except building | _____ | _____ |
| Highway and Street Construction | _____ | _____ |
| Heavy Construction, except highways | _____ | _____ |
| Plumbing, Heating, HVAC | _____ | _____ |
| Painting and Paper Hanging | _____ | _____ |
| Electrical Work | _____ | _____ |
| Masonry, Stonework and Plastering | _____ | _____ |
| Carpentry and Floor Work | _____ | _____ |
| Roofing, Siding, and Sheet Metal | _____ | _____ |
| Concrete Work | _____ | _____ |
| Specialty Trade Contracting | _____ | _____ |
| Asbestos Abatement | _____ | _____ |
| Other (specify) | _____ | _____ |

3. Experience Modification Rate:

The Experience Modification Rate (EMR) is a rating generated by the National Council of Compensation Insurance (NCCI). This rating is used to determine the contractor's premium for worker's compensation insurance. The contractor may obtain its EMR by contacting its insurance broker or the NCCI. If the contractor cannot obtain its EMR, it must submit a written explanation as to why.

Project ID. _____

The Contractor must indicate its Intrastate and Interstate EMR for the past three years. [Note: For contractors with less than three years of experience, the EMR will be considered to be 1.00].

| YEAR | INTRASTATE RATE | INTERSTATE RATE |
|-------|-----------------|-----------------|
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |

If the Intrastate and/or Interstate EMR for any of the past three years is greater than 1.00, the contractor must attach, to this questionnaire, a written explanation for the rating and identify what corrective action was taken to correct the situation resulting in that rating.

4. OSHA Information:

____ YES ____ NO Contractor has received a willful violation issued by OSHA or New York City Department of Buildings (NYCDOB) within the last three years.

____ YES ____ NO Contractor has had an incident requiring OSHA notification within 8 hours (i.e., fatality, or hospitalization of three or more employees).

The Occupational Safety and Health Act (OSHA) of 1970 requires employers with ten or more employees, on a yearly basis to complete and maintain on file the form entitled "Log of Work-related Injuries and Illnesses". This form is commonly referred to as the OSHA 300 Log (OSHA 200 Log for 2001 and earlier).

The OSHA 300 Log must be submitted for the last three years for contractors with more than ten employees.

The Contractor must indicate the total number of hours worked by its employees, as reflected in payroll records for the past three years.

The contractor must submit the Incident Rate for Lost Time Injuries (the Incident Rate) for the past three years. The Incident Rate is calculated in accordance with the formula set forth below. For each given year, the total number of incidents is the total number of non-fatal injuries and illnesses reported on the OSHA 300 Log. The 200,000 hours represents the equivalent of 100 employees working forty hours a week, fifty weeks per year.

$$\text{Incident Rate} = \frac{\text{Total Number of Incidents} \times 200,000}{\text{Total Number of Hours Worked by Employees}}$$

| YEAR | TOTAL NUMBERS OF HOURS WORKED BY EMPLOYEES | INCIDENT RATE |
|-------|--|---------------|
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |

Project ID. _____

If the contractor's Incident Rate for any of the past three years is one point higher than the Incident Rate for the type of construction it performs (listed below), the contractor must attach, to this questionnaire, a written explanation for the relatively high rate.

| | |
|--------------------------------------|------|
| General Building Construction | 8.5 |
| Residential Building Construction | 7.0 |
| Nonresidential Building Construction | 10.2 |
| Heavy Construction, except building | 8.7 |
| Highway and Street Construction | 9.7 |
| Heavy Construction, except highways | 8.3 |
| Plumbing, Heating, HVAC | 11.3 |
| Painting and Paper Hanging | 6.9 |
| Electrical Work | 9.5 |
| Masonry, Stonework and Plastering | 10.5 |
| Carpentry and Floor Work | 12.2 |
| Roofing, Siding, and Sheet Metal | 10.3 |
| Concrete Work | 8.6 |
| Specialty Trade Contracting | 8.6 |

5. Safety Performance on Previous DDC Project(s)

___ YES ___ NO Contractor previously audited by the DDC Office of Site Safety.

DDC Project Number(s): _____, _____, _____

___ YES ___ NO Accident on previous DDC Project(s).

DDC Project Number(s): _____, _____, _____

___ YES ___ NO Fatality or Life-altering Injury on DDC Project(s) within the last three years.
[Examples of a life-altering injury include loss of limb, loss of a sense (e.g., sight, hearing), or loss of neurological function].

DDC Project Number(s): _____, _____, _____

Date: _____

By: _____

(Signature of Owner, Partner, Corporate Officer)

Title: _____

(NO TEXT ON THIS PAGE)

Pre-Award Process

The bidder is advised that as part of the pre-award review of its bid, it may be required to submit the information described in Sections (A) through (D) below. If required, such information must be submitted by the bidder within five (5) business days following receipt of notification from DDC that it is among the low bidders. Such notification from DDC will be by facsimile or in writing and will specify the types of information must be submitted. The types of information the bidder may be required to submit are described below. In the event the bidder fails to submit the required information within the specified time frame, its bid may be rejected as nonresponsive.

- (A) **Project Reference Form:** If required, the bidder must complete and submit the Project Reference Form set forth on pages 26 through 28 of this Bid Booklet. The Project Reference Form consists of 3 parts: (1) Similar Contracts Completed by the Bidder, (2) Contracts Currently Under Construction by the Bidder, and (3) Pending Contracts Not Yet Started by the Bidder.
- (B) **Copy of License:** If required, the bidder must submit a copy of the license under which the bidder will be performing the work. Such license must clearly show the following: (1) Name of the Licensee, (2) License Number, and (3) Expiration date of the License. A copy of the license will be required from bidders for the following contracts: Plumbing Work, Electrical Work and Asbestos Abatement.
- (C) **Financial Information:** If required, the bidder must submit the financial information described below:
 - (1) **Audited Financial Statements:** Financial statements (Balance Sheet and Income Statement) of the entity submitting the bid, as audited by an independent auditor licensed to practice as a certified public accountant (CPA). Audited financial statements for the three most recent fiscal years must be submitted. Each such financial statement must include the auditor's standard report.

If the bidder does not have audited financial statements, it must submit an affidavit attesting to the fact that the bidder does not have such statements. In addition, the bidder must submit the following documentation covering the three most recent fiscal years: signed federal tax returns, unaudited financial statements, and a "certified review letter" from a certified public accountant (CPA) verifying the unaudited financial statements.

Unless the most recent audited or unaudited financial statement was issued within ninety (90) days, the bidder must submit interim financial information that includes data on financial position and results of operation (income data) for the current fiscal year. Such information may be summarized on a monthly or quarterly basis or at other intervals.
 - (2) **Schedule of Aged Accounts Receivable,** including portion due within ninety (90) days.

(D) **Project Specific Information:** If required, the bidder must submit the project specific information described below:

- (1) Statement indicating the number of years of experience the bidder has had and in what type of construction.
- (2) Resumes of all key personnel to be involved in the project, including the proposed project superintendent.
- (3) List of significant pieces of equipment expected to be used for the contract, and whether such equipment is owned or leased.
- (4) Description of work expected to be subcontracted, and to what firms, if known.
- (5) List of key material suppliers.
- (6) Preliminary bar chart time schedule
- (7) Contractor's expected means of financing the project. This should be based on the assumption that the contractor is required to finance 2X average monthly billings throughout the contract period.
- (8) Any other issues the contractor sees as impacting his ability to complete the project according to the contract.

In addition to the information described in Sections (A) through (D) above, the bidder shall submit such additional information as the Commissioner may require, including without limitation, an explanation or justification for specific unit price items.

The bidder is further advised that it may be required to attend a pre-award meeting with DDC representatives. If such a meeting is convened, the bidder will be advised as to any additional material to be provided.

A. PROJECT REFERENCES – SIMILAR CONTRACTS COMPLETED BY THE BIDDER

List all contracts substantially completed within the last 4 years similar to the contract being awarded, up to a maximum of 10, in descending order of date of substantial completion.

| Project & Location | Contract Type | Contract Amount (\$000) | Date Completed | Owner Reference & Tel. No. | Architect/Engineer Reference & Tel. No. if different from owner |
|--------------------|---------------|-------------------------|----------------|----------------------------|---|
| | | | | | |
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B. PROJECT REFERENCES – CONTRACTS CURRENTLY UNDER CONSTRUCTION BY THE BIDDER

List all contracts currently under construction even if they are not similar to the contract being awarded.

| Project & Location | Contract Type | Contract Amount (\$000) | Subcontracted to Others (\$000) | Uncompleted Portion (\$000) | Date Scheduled to Complete | Owner Reference & Tel. No. | Architect/Engineer Reference & Tel. No. if different from owner |
|--------------------|---------------|-------------------------|---------------------------------|-----------------------------|----------------------------|----------------------------|---|
| | | | | | | | |
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C. PROJECT REFERENCES – PENDING CONTRACTS NOT YET STARTED BY THE BIDDER

List all contracts awarded to or won by the bidder but not yet started.

| Project & Location | Contract Type | Contract Amount (\$000) | Date Scheduled to Start | Owner Reference & Tel. No. | Architect/Engineer Reference & Tel. No. if different from owner |
|--------------------|---------------|-------------------------|-------------------------|----------------------------|---|
| | | | | | |
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**OFFICE OF THE MAYOR
BUREAU OF LABOR SERVICES
CONTRACT CERTIFICATE**

To be completed if the contract is less than \$1,000,000

Contractor: _____

Address: _____

Telephone Number: _____

Name and Title of Signatory: _____

Contracting Agency or Owner: _____

Project Number: _____

Proposed Contract Amount: _____

Description and Address of Proposed Contract: _____

Names of Subcontractors in the amount of 750,000 or more on this contract (if not known at this time, so state indicating that trades will be subcontracted):

I, (fill in name of person signing) _____,
hereby affirm that I am authorized by the above-named contractor to certify that said contractor's
proposed contract with the above-named owner or city agency is less than \$1,000,000. This affirmation
is made in accordance with Executive Order No. 50 (1980) as amended and its implementing regulations.

Date

Signature

**WILLFUL OR FRAUDULENT FALSIFICATION OF ANY DATA OR INFORMATION
SUBMITTED HEREWITH MAY RESULT IN THE TERMINATION OF ANY CONTRACT BETWEEN
THE CITY AND THE BIDDER OR CONTRACTOR AND BAR THE BIDDER OR CONTRACTOR FROM
PARTICIPATION IN ANY CITY CONTRACT FOR A PERIOD OF UP TO THREE YEARS. FURTHER,
SUCH FALSIFICATION MAY RESULT IN CRIMINAL PROSECUTION.**

(NO TEXT ON THIS PAGE)

VENDEX COMPLIANCE

(A) **Vendex Fees:** Pursuant to Procurement Policy Board Rule 2-08(f)(2), the contractor will be charged a fee for the administration of the VENDEX system, including the Vendor Name Check process, if a Vendor Name Check review is required to be conducted by the Department of Investigation. The contractor shall also be required to pay the applicable required fees for any of its subcontractors for which Vendor Name Check reviews are required. The fee(s) will be deducted from payments made to the contractor under the contract. For contracts with an estimated value of less than or equal to \$1,000,000, the fee will be \$175 per Vendor Name Check review. For contracts with an estimated value of greater than \$1,000,000, the fee will be \$350 per Vendor Name Check review.

(B) **Confirmation of Vendex Compliance:** The Bidder shall submit this Confirmation of Vendex Compliance to the Department of Design and Construction, Contracts Section, 30-30 Thomson Avenue – First Floor, Long Island City, NY 11101.

Bid Information: The Bidder shall complete the bid information set forth below.

Name of Bidder: _____
Bidder's Address: _____
Bidder's Telephone Number: _____
Bidder's Fax Number: _____
Date of Bid Opening: _____
Project ID: _____

Vendex Compliance: To demonstrate compliance with Vendex requirements, the Bidder shall complete either Section (1) or Section (2) below, whichever applies.

- (1) **Submission of Vendex Questionnaires to MOCS:** By signing in the space provided below, the Bidder certifies that as of the date specified below, the Bidder has submitted Vendex Questionnaires to the Mayor's Office of Contract Services, Attn: VENDEX, 253 Broadway, 9th Floor, New York, New York 10007.

Date of Submission: _____

By: _____
(Signature of Partner or corporate officer)

Print Name: _____

- (2) **Submission of Certification of No Change to DDC:** By signing in the space provided below, the Bidder certifies that it has read the instructions in a "Vendor's Guide to Vendex" and that such instructions do not require the Bidder to submit Vendex Questionnaires. The Bidder has completed **TWO ORIGINALS** of the Certification of No Change set forth on the next page of this Bid Booklet.

By: _____
(Signature of Partner or corporate officer)

Print Name: _____

(NO TEXT ON THIS PAGE)

Certificate of No Change Form



- Please submit two completed forms. Copies will not be accepted.
- Please send both copies to the agency that requested it, unless you are advised to send it directly to the Mayor's Office of Contract Services (MOCS).
- A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.
- A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges

I, _____, being duly sworn, state that I have read
Enter Your Name

and understand all the items contained in the vendor questionnaire and any submission of change as identified on page one of this form and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) and any submission of change identified on page two of this form have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that the City of New York will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

Vendor Questionnaire *This section is required.*

This refers to the vendor questionnaire(s) submitted for the vendor doing business with the City.

Name of Submitting Entity: _____

Vendor's Address: _____

Vendor's EIN or TIN: _____ Requesting Agency: _____

Are you submitting this Certification as a parent? (Please circle one) Yes No

Signature date on the last full vendor questionnaire signed for the submitting vendor: _____

Signature date on change submission for the submitting vendor: _____

Principal Questionnaire

This section refers to the most recent principal questionnaire submissions.



| Principal Name | Date of signature on last full Principal Questionnaire | Date(s) of signature on submission of change |
|----------------|--|---|
| 1 | | |
| 2 | | |
| 3 | | |
| 4 | | |
| 5 | | |
| 6 | | |

☐ Check if additional changes were submitted and attach a document with the date of additional submissions.

Certification *This section is required.*

This form must be signed and notarized. Please complete this twice. Copies will not be accepted.

Certified By:

Name (Print)

Title

Name of Submitting Entity

Signature

Date

Notarized By:

Notary Public

County License Issued

License Number

Sworn to before me on: _____
Date

Certificate of No Change Form



- Please submit two completed forms. Copies will not be accepted.
- Please send both copies to the agency that requested it, unless you are advised to send it directly to the Mayor's Office of Contract Services (MOCS).
- A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.
- A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges

I, _____, being duly sworn, state that I have read
Enter Your Name

and understand all the items contained in the vendor questionnaire and any submission of change as identified on page one of this form and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) and any submission of change identified on page two of this form have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that the City of New York will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

Vendor Questionnaire *This section is required.*

This refers to the vendor questionnaire(s) submitted for the vendor doing business with the City.

Name of Submitting Entity: _____

Vendor's Address: _____

Vendor's EIN or TIN: _____ Requesting Agency: _____

Are you submitting this Certification as a parent? (Please circle one) Yes No

Signature date on the last full vendor questionnaire signed for the submitting vendor: _____

Signature date on change submission for the submitting vendor: _____

Principal Questionnaire

This section refers to the most recent principal questionnaire submissions.



| Principal Name | Date of signature on last full Principal Questionnaire | Date(s) of signature on submission of change |
|----------------|--|---|
| 1 | | |
| 2 | | |
| 3 | | |
| 4 | | |
| 5 | | |
| 6 | | |

☐ Check if additional changes were submitted and attach a document with the date of additional submissions.

Certification *This section is required.*

This form must be signed and notarized. Please complete this twice. Copies will not be accepted.

Certified By:

Name (Print)

Title

Name of Submitting Entity

Signature

Date

Notarized By:

Notary Public

County License Issued

License Number

Sworn to before me on: _____
Date

IRAN DIVESTMENT ACT COMPLIANCE RIDER

FOR NEW YORK CITY CONTRACTORS

The Iran Divestment Act of 2012, effective as of April 12, 2012, is codified at State Finance Law ("SFL") §165-a and General Municipal Law ("GML") §103-g. The Iran Divestment Act, with certain exceptions, prohibits municipalities, including the City, from entering into contracts with persons engaged in investment activities in the energy sector of Iran. Pursuant to the terms set forth in SFL §165-a and GML §103-g, a person engages in investment activities in the energy sector of Iran if:

- (a) The person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or
- (b) The person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran and is identified on a list created pursuant to paragraph (b) of subdivision three of Section 165-a of the State Finance Law and maintained by the Commissioner of the Office of General Services.

A bid or proposal shall not be considered for award nor shall any award be made where the bidder or proposer fails to submit a signed and verified bidder's certification.

Each bidder or proposer must certify that it is not on the list of entities engaged in investment activities in Iran created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law. In any case where the bidder or proposer cannot certify that they are not on such list, the bidder or proposer shall so state and shall furnish with the bid or proposal a signed statement which sets forth in detail the reasons why such statement cannot be made. The City of New York may award a bid to a bidder who cannot make the certification on a case by case basis if:

- (1) The investment activities in Iran were made before the effective date of this section (i.e., April 12, 2012), the investment activities in Iran have not been expanded or renewed after the effective date of this section and the person has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
- (2) The City makes a determination that the goods or services are necessary for the City to perform its functions and that, absent such an exemption, the City would be unable to obtain the goods or services for which the contract is offered. Such determination shall be made in writing and shall be a public document.

**BIDDER'S CERTIFICATION OF COMPLIANCE WITH
IRAN DIVESTMENT ACT**

Pursuant to General Municipal Law §103-g, which generally prohibits the City from entering into contracts with persons engaged in investment activities in the energy sector of Iran, the bidder/proposer submits the following certification:

[Please Check One]

BIDDER'S CERTIFICATION

- ☐ By submission of this bid or proposal, each bidder/proposer and each person signing on behalf of any bidder/proposer certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief, that each bidder/proposer is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law.
- ☐ I am unable to certify that my name and the name of the bidder/proposer does not appear on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law. I have attached a signed statement setting forth in detail why I cannot so certify.

Dated: _____, New York
_____, 20__

SIGNATURE

PRINTED NAME

TITLE

Sworn to before me this
____ day of _____, 20__

Notary Public

Dated:

**THE CITY OF NEW YORK
DEPARTMENT OF SMALL BUSINESS SERVICES
DIVISION OF LABOR SERVICES
CONTRACT COMPLIANCE UNIT
110 WILLIAMS STREET
NEW YORK, NEW YORK 10038
PHONE: (212) 513-6323
FAX: (212) 618-8879**

CONSTRUCTION

EMPLOYMENT

REPORT

(NO TEXT ON THIS PAGE)

The City of New York
Department of Small Business Services
Division of Labor Services
Contract Compliance Unit
110 William Street
New York, New York 10038
Phone: (212) 513 - 6323
Fax: (212) 618-8879

**CONSTRUCTION EMPLOYMENT REPORT
INSTRUCTIONS**

WHO MUST FILE A CONSTRUCTION EMPLOYMENT REPORT

A Construction Employment Report (ER) must be filed if you meet the following conditions:

| CONTRACT FUNDING SOURCE | CONTRACTOR | CONTRACT VALUE | SUBMISSION REQUIREMENT |
|----------------------------|--------------------------|------------------------|--|
| Federal/Federally assisted | Prime and subcontractors | \$10,000 or greater | Construction Employment Report |
| City and state funded | Prime contractor | \$1,000,000 or greater | |
| | Subcontractor | \$750,000 or greater | |
| | | Less than \$750,000 | Less than \$750,000 Certificate (City/State Only) |

Prime Contractor:

- A general contractor or construction manager selected to perform work on a construction project funded (in whole or in part) by the federal government with a proposed contract value of \$10,000 or more.
- A general contractor or construction manager selected to perform work on a construction project funded or assisted by the City of New York with a proposed contract value of \$1,000,000 or more.

Subcontractor:

- A subcontractor selected to perform work on a construction project funded (in whole or in part) by the federal government with a proposed contract value of \$10,000 or more.
- A subcontractor selected to perform work on a construction project funded or assisted by the City of New York with a proposed contract value of \$750,000 or more.
- A subcontractor selected to perform work on a construction project funded or assisted by the City of New York with a proposed contract value of less than \$750,000 must submit a "Less than \$750,000" certificate.

WHERE TO FILE

Employment Reports must be filed with the City agency awarding the contract. If you are a contractor or subcontractor who will be working for a private developer in receipt of funding or assistance from the City, the ER must be filed with the City agency with jurisdiction over the developer's project.

DLS REVIEW PROCESS

In accordance with Executive Order 50 (EO 50), upon receipt by DLS of a completed ER, DLS conducts a review of the contractor's current employment policies, practices and procedures, as well as perform a statistical analysis of the contractor's workforce, if necessary. The process is as follows:

1. Within five (5) business days, DLS will review the ER for completeness and accuracy. If any information is omitted or incorrect, or if necessary documents are not submitted, the submission shall be deemed incomplete and DLS will inform the contractor. The substantive compliance review does not commence until the submission is complete. **An incomplete submission will delay the review process and may preclude or interrupt the contract approval.**
2. If the ER submission is complete, the compliance review will proceed, resulting in one of the following:

Certificate of Approval

The contractor is found to be in compliance with all applicable laws and regulations. The approval is valid for 36 months.

Continued Approval Certificate

The contractor has been issued a Certificate of Approval in the previous 36 months which is good for the applicable contract.

Conditional Certificate of Compliance

The contractor is required to take corrective actions in order to be in compliance with EO 50. The contractor must meet the conditions within one month of the issue of the Conditional Certificate.

Determination of Nonperformance

The contractor has failed to take the required corrective actions stipulated in the Conditional Certificate. A determination of nonperformance may prevent a contractor from receiving an award of a contract.

HOW TO COMPLETE THE EMPLOYMENT REPORT

Contents

General Information

Part I: Contractor/Subcontractor Information

Part II: Employment Policies and Practices

Part III: Contract Bid Information and Projected and Current Workforce Forms

Signature Page

PART I: CONTRACTOR/SUBCONTRACTOR INFORMATION

- Questions 7 – 11: Please provide the required contact information for your company. All contracts must have a designated Equal Employment Officer.
- Question 12: If you are a subcontractor, you must state the name of the contractor for whom you are providing the construction services.
- Question 13: Please provide the number of permanent employees in your company.
- Question 14a-g: The Project Identification Number (PIN) and the Contract Registration ID Number (CT#) can be obtained from the City agency. Provide a description of the trade work you will perform on this project and the address where the work will be performed. Subcontractors can obtain this information from the contract they have with the prime contractor.
- Questions 15 – 18: If your company has received a valid Certificate of Approval within the past 36 months, been audited by the United States Department of Labor, Office of Federal Contract Compliance Programs (OFCCP), or if your company has submitted an ER for a different contract for which you have not yet received a compliance certificate, then you only need to complete and submit the following:
- General Information section
 - Part I - Contractor/Subcontractor Information
 - Form B - Projected Workforce
 - Signature Page

If your company is currently waiting for an approval on another contract previously submitted, be certain to identify the date on which you submitted the completed Employment Report, the name of the City contracting agency with which the contract was made, and the name and telephone number of the person to whom the Employment Report was submitted.

If your company was issued a Conditional Certificate of Approval, all required corrective actions must have been taken or DLS will not issue a Continued Certificate.

- Question 18: If the company was audited by the OFCCP, also provide the following:
- Identify the reviewing OFCCP office by its name and address
 - If an unconditional certificate of compliance was issued by the OFCCP, attach a copy of the certificate in lieu of completing Parts II and III;
 - Include copies of all corrective actions and documentation of OFCCP's performance; and
 - Provide a copy of all stated OFCCP findings.
- Question 19: Please provide a copy of any Collective Bargaining Agreement(s) which is negotiated through an employer trade association on behalf of your organization or any of its affiliates.

PART II: EMPLOYMENT POLICIES AND PRACTICES

Remember to label all documents with the question number for which they are submitted.

Questions 20a – j: You must respond to the questions as to whether or not your firm has documents reflecting written policies, benefits and procedures. If so, then you must identify by name each document in which the policy(ies), procedure(s) and benefit(s) is located and submit copies of all of the document(s). If your firm follows unwritten practices or procedures, include an explanation of how they operate. Please submit the most current document(s), including all applicable amendments. Label each document and/or unwritten practice according to the question to which it corresponds (e.g. 20a, 20b, etc.)

Questions 21a – h: Inquires about the manner/methods by which you comply with the requirements of the Immigration Reform and Control Act of 1986 (IRCA).

Question 22: Inquires into where and how I-9 forms are maintained and stored.

Questions 23a – e: Inquires into whether or not there is a requirement that an applicant or employee be subjected to a medical examination at any given time. Copies of the medical information questionnaire and instructions must be submitted with the Employment Report.

Question 24: Indicate the existence and location of all statements of your firm's Equal Employment Opportunity policy and attach a copy of each statement.

Question 25: Submit any current Affirmative Action Plan(s) created pursuant to Executive Order 11246.

Question 26: If your firm or collective bargaining agreement has an internal grievance procedure, indicate this and submit a copy of the policy and procedure. If unwritten, explain its nature and operation. Explain how your firm's procedure addresses EEO complaints.

Question 27: If your employees have used the procedure in the last three (3) years, please submit an explanation in the format indicated below:

| 1. Number of complaint(s) | 2. Nature of the complaint(s) | 3. Position(s) of the complainant(s) | 4. Was an investigation conducted? Y/N | 5. Current status of the disposition |
|---------------------------|-------------------------------|--------------------------------------|---|--------------------------------------|
|---------------------------|-------------------------------|--------------------------------------|---|--------------------------------------|

Question 28: Indicate whether in the past three (3) years complaints have been filed with a court of law or administrative agency, naming your company as a defendant (or respondent) in a complaint alleging violation of any anti-discrimination or affirmative action laws. If yes, develop and submit a log to show, for each administrative/and or judicial action filed, the following information:

| 1. Name(s) of complainant(s) | 2. Administrative agency or court in which action was filed | 3. Nature of the complaint(s) | 4. Current status | 5. If not pending, the complaint's disposition |
|------------------------------|---|-------------------------------|-------------------|--|
|------------------------------|---|-------------------------------|-------------------|--|

Question 29: Identify each job for which a physical qualification exists. Identify and explain the physical qualification(s) for each stated job. Submit job descriptions for each job and the reasons for the qualifications.

Question 30: Identify each job for which there exists any qualification related to age, race, color, national origin, sex, creed, disability, marital status, sexual orientation or citizenship status. Identify and explain the specific related qualification for each job stated. Submit job descriptions for each job and the reasons for the qualifications.

PART III: CONTRACT BID INFORMATION AND PROJECTED AND CURRENT WORKFORCE FORMS

FORM A: CONTRACT BID INFORMATION – USE OF SUBCONTRACTORS/TRADES

Your projections for the utilization of subcontractors on the proposed contract are to be provided in this section. A chart has been provided for the identification of subcontractors. Information is to be provided to the extent known at the time the ER is filed for review by DLS. If the subcontractor's name is unknown, then write "unknown". Under "ownership", enter the appropriate race/ethnic and gender code. If the contract is federally funded or assisted and the subcontractor is being utilized in accordance with applicable federal requirements with respect to Minority Business Enterprise or Woman Business Enterprise requirements, enter the appropriate code. This will also apply to state funded contracts with similar requirements for minority and female owned businesses.

FORM B: PROJECTED WORKFORCE FOR WORK TO BE PERFORMED ON THIS PROJECT

For each trade to be engaged by your company for this project, enter the projected workforce for Males and Females by trade classification in the charts provided.

FORM C: CURRENT WORKFORCE FOR WORK TO BE PERFORMED ON THIS PROJECT

For each trade *currently* engaged by your company for all work performed in NYC, enter the current workforce for Males and Females by trade classification in the charts provided.

SIGNATURE PAGE

The signatory of this Employment Report and all other documents submitted to DLS must be an official authorized to enter into a binding legal agreement. The signature page must be completed in its entirety and notarized. Only original signatures will be accepted.

CONSTRUCTION EMPLOYMENT REPORT

1. Your contractual relationship in this contract is: Prime contractor x Subcontractor

☐ Locally Based Business Enterprise
☐ Emerging Business Enterprise

6. Are you a Veteran owned company? Yes _____ No _____

12. _____
Name of Prime Contractor and Contact Person
(If same as Item #8, write "same")

13. Number of employees in your company: _____

14. Contract information:

(a) _____ (b) _____
Contracting Agency (City Agency) Contract Amount

(c) _____ (d) _____
Procurement Identification Number (PIN) Contract Registration Number (CT#)

(e) _____ (f) _____
Projected Commencement Date Projected Completion Date

(g) Description and location of proposed contract:

15. Has your firm been reviewed by the Division of Labor Services (DLS) within the past 36 months and issued a Certificate of Approval? Yes___ No___

If yes, attach a copy of certificate.

16. Has DLS within the past month reviewed an Employment Report submission for your company and issued a Conditional Certificate of Approval? Yes___ No___

If yes, attach a copy of certificate.

NOTE: DLS WILL NOT ISSUE A CONTINUED CERTIFICATE OF APPROVAL IN CONNECTION WITH THIS CONTRACT UNLESS THE REQUIRED CORRECTIVE ACTIONS IN PRIOR CONDITIONAL CERTIFICATES OF APPROVAL HAVE BEEN TAKEN.

17. Has an Employment Report already been submitted for a different contract (not covered by this Employment Report) for which you have not yet received compliance certificate?

Yes___ No___ If yes,

Date submitted: _____

Agency to which submitted: _____

Name of Agency Person: _____

Contract No: _____

Telephone: _____

18. Has your company in the past 36 months been audited by the United States Department of Labor, Office of Federal Contract Compliance Programs (OFCCP)? Yes___ No___

If yes,

(a) Name and address of OFCCP office.

(b) Was a Certificate of Equal Employment Compliance issued within the past 36 months?

Yes___ No___

If yes, attach a copy of such certificate.

(c) Were any corrective actions required or agreed to? Yes___ No___

If yes, attach a copy of such requirements or agreements.

(d) Were any deficiencies found? Yes___ No___

If yes, attach a copy of such findings.

19. Is your company or its affiliates a member or members of an employers' trade association which is responsible for negotiating collective bargaining agreements (CBA) which affect construction site hiring? Yes___ No___

If yes, attach a list of such associations and all applicable CBA's.

PART II: DOCUMENTS REQUIRED

20. For the following policies or practices, attach the relevant documents (e.g., printed booklets, brochures, manuals, memoranda, etc.). If the policy(ies) are unwritten, attach a full explanation of the practices. See instructions.

- ___ (a) Health benefit coverage/description(s) for all management, nonunion and union employees (whether company or union administered)
- ___ (b) Disability, life, other insurance coverage/description
- ___ (c) Employee Policy/Handbook
- ___ (d) Personnel Policy/Manual
- ___ (e) Supervisor's Policy/Manual
- ___ (f) Pension plan or 401k coverage/description for all management, nonunion and union employees, whether company or union administered
- ___ (g) Collective bargaining agreement(s).
- ___ (h) Employment Application(s)
- ___ (i) Employee evaluation policy/form(s).
- ___ (j) Does your firm have medical and/or non-medical (i.e. education, military, personal, pregnancy, child care) leave policy?

21. To comply with the Immigration Reform and Control Act of 1986 when and of whom does your firm require the completion of an I-9 Form?

- | | |
|--|--------------|
| (a) Prior to job offer | Yes___ No___ |
| (b) After a conditional job offer | Yes___ No___ |
| (c) After a job offer | Yes___ No___ |
| (d) Within the first three days on the job | Yes___ No___ |
| (e) To some applicants | Yes___ No___ |
| (f) To all applicants | Yes___ No___ |
| (g) To some employees | Yes___ No___ |
| (h) To all employees | Yes___ No___ |

22. Explain where and how completed I-9 Forms, with their supportive documentation, are maintained and made accessible.

23. Does your firm or any of its collective bargaining agreements require job applicants to take a medical examination? Yes___ No___

If yes, is the medical examination given:

- | | |
|-----------------------------------|--------------|
| (a) Prior to a job offer | Yes___ No___ |
| (b) After a conditional job offer | Yes___ No___ |
| (c) After a job offer | Yes___ No___ |
| (d) To all applicants | Yes___ No___ |
| (e) Only to some applicants | Yes___ No___ |

If yes, list for which applicants below and attach copies of all medical examination or questionnaire forms and instructions utilized for these examinations.

24. Do you have a written equal employment opportunity (EEO) policy? Yes___ No___

If yes, list the document(s) and page number(s) where these written policies are located.

25. Does the company have a current affirmative action plan(s) (AAP)

____ Minorities and Women

____ Individuals with handicaps

____ Other. Please specify _____

26. Does your firm or collective bargaining agreement(s) have an internal grievance procedure with respect to EEO complaints? Yes___ No___

If yes, please attach a copy of this policy.

If no, attach a report detailing your firm's unwritten procedure for handling EEO complaints.

27. Has any employee, within the past three years, filed a complaint pursuant to an internal grievance procedure or with any official of your firm with respect to equal employment opportunity? Yes___ No___

If yes, attach an internal complaint log. See instructions.

28. Has your firm, within the past three years, been named as a defendant (or respondent) in any administrative or judicial action where the complainant (plaintiff) alleged violation of any anti-discrimination or affirmative action laws? Yes___ No___

If yes, attach a log. See instructions.

29. Are there any jobs for which there are physical qualifications? Yes___ No___

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

30. Are there any jobs for which there are age, race, color, national origin, sex, creed, disability, marital status, sexual orientation, or citizenship qualifications? Yes___ No___

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

SIGNATURE PAGE

I, (print name of authorized official signing) _____ hereby certify that the information submitted herewith is true and complete to the best of my knowledge and belief and submitted with the understanding that compliance with New York City's equal employment requirements, as contained in Chapter 56 of the City Charter, Executive Order No. 50 (1980), as amended, and the implementing Rules and Regulations, is a contractual obligation. I also agree on behalf of the company to submit a certified copy of payroll records to the Division of Labor Services on a monthly basis.

Contractor's Name

Name of person who prepared this Employment Report

Title

Name of official authorized to sign on behalf of the contractor

Title

Telephone Number

Signature of authorized official

Date

If contractors are found to be underutilizing minorities and females in any given trade based on Chapter 56 Section 3H, the Division of Labor Services reserves the right to request the contractor's workforce data and to implement an employment program.

Contractors who fail to comply with the above mentioned requirements or are found to be in noncompliance may be subject to the withholding of final payment.

Willful or fraudulent falsifications of any data or information submitted herewith may result in the termination of the contract between the City and the bidder or contractor and in disapproval of future contracts for a period of up to five years. Further, such falsification may result in civil and/or criminal prosecution.

To the extent permitted by law and consistent with the proper discharge of DLS' responsibilities under Charter Chapter 56 of the City Charter and Executive Order No. 50 (1980) and the implementing Rules and Regulations, all information provided by a contractor to DLS shall be confidential.

Only original signatures accepted.

Sworn to before me this _____ day of _____ 20 _____

Notary Public

Authorized Signature

Date

FORM A. CONTRACT BID INFORMATION: USE OF SUBCONTRACTORS/TRADES

1. Do you plan to subcontractor work on this contract? Yes___ No___
2. If yes, complete the chart below.

NOTE: All proposed subcontractors with a subcontract in excess of \$750,000 must complete an Employment Report for review and approval before the contract may be awarded and work commences.

| SUBCONTRACTOR'S NAME* | OWNERSHIP (ENTER APPROPRIATE CODE LETTERS BELOW) | WORK TO BE PERFORMED BY SUBCONTRACTOR | TRADE PROJECTED FOR USE BY SUBCONTRACTOR | PROJECTED DOLLAR VALUE OF SUBCONTRACT |
|--------------------------|--|---|--|---|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

***If subcontractor is presently unknown, please enter the trade (craft name).**

OWNERSHIP CODES

W: White
B: Black
H: Hispanic
A: Asian
N: Native American
F: Female

FORM B: PROJECTED WORKFORCE

TRADE CLASSIFICATION CODES

(J) Journeylevel Workers (A) Apprentice
 (H) Helper (TRN) Trainee
 (TOT) Total by Column

For each trade to be engaged by your company for this project, enter the projected workforce for Males and Females by trade classification on the charts below.

Trade: _____

Union Affiliation, if applicable

Total (Col. #1-10):

Total Minority, Male & Female
 (Col. #2,3,4,5,7,8,9, & 10):

Total Female
 (Col. #6 – 10):

MALES

| | (1) White Non Hisp. | (2) Black Non Hisp. | (3) Hisp. | (4) Asian | (5) Native Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|------------------------|
| J | | | | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | | | |

FEMALES

| | (6) White Non Hisp. | (7) Black Non Hisp. | (8) Hisp. | (9) Asian | (10) Native Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|-------------------------|
| J | | | | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | | | |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

FORM B: PROJECTED WORKFORCE

Trade: _____

Union Affiliation, if applicable: _____

Total (Col. #1-10): _____

Total Minority, Male & Female
(Col. #2,3,4,5,7,8,9, & 10): _____

Total Female
(Col. #6 – 10): _____

| MALES | | | | | FEMALES | | | | | |
|-------|------------------------------|------------------------------|--------------|--------------|------------------------|------------------------------|------------------------------|--------------|--------------|-------------------------|
| | (1) White Non Hisp. | (2) Black Non Hisp. | (3) Hisp. | (4) Asian | (5) Native Amer. | (6) White Non Hisp. | (7) Black Non Hisp. | (8) Hisp. | (9) Asian | (10) Native Amer. |
| J | | | | | | | | | | |
| H | | | | | | | | | | |
| A | | | | | | | | | | |
| TRN | | | | | | | | | | |
| TOT | | | | | | | | | | |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

FORM C: CURRENT WORKFORCE

TRADE CLASSIFICATION CODES

(J) Journeylevel Workers (A) Apprentice
 (H) Helper (TRN) Trainee
 (TOT) Total by Column

For each trade currently engaged by your company for all work performed in New York City, enter the current workforce for Males and Females by trade classification on the charts below.

Trade: _____

Union Affiliation, if applicable

Total (Col. #1-10):

Total Minority, Male & Female
 (Col. #2,3,4,5,7,8,9, & 10):

Total Female
 (Col. #6 – 10):

MALES

| | (1) White Non Hisp. | (2) Black Non Hisp. | (3) Hisp. | (4) Asian | (5) Native Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|------------------------|
| J | | | | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | | | |

FEMALES

| | (6) White Non Hisp. | (7) Black Non Hisp. | (8) Hisp. | (9) Asian | (10) Native Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|-------------------------|
| J | | | | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | | | |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

FORM C: CURRENT WORKFORCE

Trade:

Union Affiliation, if applicable

Total (Col. #1-10):

Total Minority, Male & Female
(Col. #2,3,4,5,7,8,9, & 10):

Total Female
(Col. #6 – 10):

MALES

| | (1) White Non Hisp. | (2) Black Non Hisp. | (3) Hisp. | (4) Asian | (5) Native Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|------------------------|
| J | | | | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | | | |

FEMALES

| | (6) White Non Hisp. | (7) Black Non Hisp. | (8) Hisp. | (9) Asian | (10) Native Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|-------------------------|
| J | | | | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | | | |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

(NO TEXT ON THIS PAGE)

The City of New York Department of Small Business Services
Division of Labor Services Contract Compliance Unit
110 William Street, New York, New York 10038
Phone: (212) 513 - 6323
Fax: (212) 618-8879

CONSTRUCTION EMPLOYMENT REPORT

GENERAL INFORMATION

1. Your contractual relationship in this contract is: Prime contractor____ Subcontractor x
- 1a. Are M/WBE goals attached to this project? Yes _____ No _____
2. Please check one of the following if your firm would like information on how to certify with the City of New York as a:

| | |
|---|--|
| <input type="checkbox"/> Minority Owned Business Enterprise | <input type="checkbox"/> Locally Based Business Enterprise |
| <input type="checkbox"/> Women Owned Business Enterprise | <input type="checkbox"/> Emerging Business Enterprise |
| <input type="checkbox"/> Disadvantaged Business Enterprise | |
- 2a. If you are certified as an **MBE, WBE, LBE, EBE** or **DBE**, what city/state agency are you certified with? _____ Are you DBE certified? Yes _____ No _____
3. Please indicate if you would like assistance from SBS in identifying certified M/WBEs for contracting opportunities: Yes____ No____
4. Is this project subject to a project labor agreement? Yes _____ No _____
5. Are you a Union contractor? Yes _____ No _____ If yes, please list which local(s) you affiliated with _____
6. Are you a Veteran owned company? Yes _____ No _____

PART I: CONTRACTOR/SUBCONTRACTOR INFORMATION

7. _____
Employer Identification Number or Federal Tax I.D. _____ Email Address _____
8. _____
Company Name _____
9. _____
Company Address and Zip Code _____
10. _____
Chief Operating Officer _____ Telephone Number _____
11. _____
Designated Equal Opportunity Compliance Officer _____ Telephone Number _____
(If same as Item #10, write "same")
12. _____
Name of Prime Contractor and Contact Person _____
(If same as Item #8, write "same")

13. Number of employees in your company: _____

14. Contract information:

(a) _____ (b) _____
Contracting Agency (City Agency) Contract Amount

(c) _____ (d) _____
Procurement Identification Number (PIN) Contract Registration Number (CT#)

(e) _____ (f) _____
Projected Commencement Date Projected Completion Date

(g) Description and location of proposed contract:

15. Has your firm been reviewed by the Division of Labor Services (DLS) within the past 36 months and issued a Certificate of Approval? Yes___ No___

If yes, attach a copy of certificate.

16. Has DLS within the past month reviewed an Employment Report submission for your company and issued a Conditional Certificate of Approval? Yes___ No___

If yes, attach a copy of certificate.

NOTE: DLS WILL NOT ISSUE A CONTINUED CERTIFICATE OF APPROVAL IN CONNECTION WITH THIS CONTRACT UNLESS THE REQUIRED CORRECTIVE ACTIONS IN PRIOR CONDITIONAL CERTIFICATES OF APPROVAL HAVE BEEN TAKEN.

17. Has an Employment Report already been submitted for a different contract (not covered by this Employment Report) for which you have not yet received compliance certificate?
Yes___ No___ If yes,

Date submitted: _____

Agency to which submitted: _____

Name of Agency Person: _____

Contract No: _____

Telephone: _____

18. Has your company in the past 36 months been audited by the United States Department of Labor, Office of Federal Contract Compliance Programs (OFCCP)? Yes___ No___

If yes,

(a) Name and address of OFCCP office.

(b) Was a Certificate of Equal Employment Compliance issued within the past 36 months?

Yes___ No___

If yes, attach a copy of such certificate.

(c) Were any corrective actions required or agreed to? Yes___ No___

If yes, attach a copy of such requirements or agreements.

(d) Were any deficiencies found? Yes___ No___

If yes, attach a copy of such findings.

19. Is your company or its affiliates a member or members of an employers' trade association which is responsible for negotiating collective bargaining agreements (CBA) which affect construction site hiring? Yes___ No___

If yes, attach a list of such associations and all applicable CBA's.

PART II: DOCUMENTS REQUIRED

20. For the following policies or practices, attach the relevant documents (e.g., printed booklets, brochures, manuals, memoranda, etc.). If the policy(ies) are unwritten, attach a full explanation of the practices. See instructions.

- ___ (a) Health benefit coverage/description(s) for all management, nonunion and union employees (whether company or union administered)
- ___ (b) Disability, life, other insurance coverage/description
- ___ (c) Employee Policy/Handbook
- ___ (d) Personnel Policy/Manual
- ___ (e) Supervisor's Policy/Manual
- ___ (f) Pension plan or 401k coverage/description for all management, nonunion and union employees, whether company or union administered
- ___ (g) Collective bargaining agreement(s).
- ___ (h) Employment Application(s)
- ___ (i) Employee evaluation policy/form(s).
- ___ (j) Does your firm have medical and/or non-medical (i.e. education, military, personal, pregnancy, child care) leave policy?

21. To comply with the Immigration Reform and Control Act of 1986 when and of whom does your firm require the completion of an I-9 Form?

- | | | |
|--|--------|-------|
| (a) Prior to job offer | Yes___ | No___ |
| (b) After a conditional job offer | Yes___ | No___ |
| (c) After a job offer | Yes___ | No___ |
| (d) Within the first three days on the job | Yes___ | No___ |
| (e) To some applicants | Yes___ | No___ |
| (f) To all applicants | Yes___ | No___ |
| (g) To some employees | Yes___ | No___ |
| (h) To all employees | Yes___ | No___ |

22. Explain where and how completed I-9 Forms, with their supportive documentation, are maintained and made accessible.

23. Does your firm or any of its collective bargaining agreements require job applicants to take a medical examination? Yes___ No___

If yes, is the medical examination given:

- | | | |
|-----------------------------------|--------|-------|
| (a) Prior to a job offer | Yes___ | No___ |
| (b) After a conditional job offer | Yes___ | No___ |
| (c) After a job offer | Yes___ | No___ |
| (d) To all applicants | Yes___ | No___ |
| (e) Only to some applicants | Yes___ | No___ |

If yes, list for which applicants below and attach copies of all medical examination or questionnaire forms and instructions utilized for these examinations.

24. Do you have a written equal employment opportunity (EEO) policy? Yes___ No___

If yes, list the document(s) and page number(s) where these written policies are located.

25. Does the company have a current affirmative action plan(s) (AAP)

____ Minorities and Women

____ Individuals with handicaps

____ Other. Please specify _____

26. Does your firm or collective bargaining agreement(s) have an internal grievance procedure with respect to EEO complaints? Yes___ No___

If yes, please attach a copy of this policy.

If no, attach a report detailing your firm's unwritten procedure for handling EEO complaints.

27. Has any employee, within the past three years, filed a complaint pursuant to an internal grievance procedure or with any official of your firm with respect to equal employment opportunity? Yes___ No___

If yes, attach an internal complaint log. See instructions.

28. Has your firm, within the past three years, been named as a defendant (or respondent) in any administrative or judicial action where the complainant (plaintiff) alleged violation of any anti-discrimination or affirmative action laws? Yes___ No___

If yes, attach a log. See instructions.

29. Are there any jobs for which there are physical qualifications? Yes___ No___

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

30. Are there any jobs for which there are age, race, color, national origin, sex, creed, disability, marital status, sexual orientation, or citizenship qualifications? Yes___ No___

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

SIGNATURE PAGE

I, (print name of authorized official signing) _____ hereby certify that the information submitted herewith is true and complete to the best of my knowledge and belief and submitted with the understanding that compliance with New York City's equal employment requirements, as contained in Chapter 56 of the City Charter, Executive Order No. 50 (1980), as amended, and the implementing Rules and Regulations, is a contractual obligation. I also agree on behalf of the company to submit a certified copy of payroll records to the Division of Labor Services on a monthly basis.

Contractor's Name

Name of person who prepared this Employment Report

Title

Name of official authorized to sign on behalf of the contractor

Title

Telephone Number

Signature of authorized official

Date

If contractors are found to be underutilizing minorities and females in any given trade based on Chapter 56 Section 3H, the Division of Labor Services reserves the right to request the contractor's workforce data and to implement an employment program.

Contractors who fail to comply with the above mentioned requirements or are found to be in noncompliance may be subject to the withholding of final payment.

Willful or fraudulent falsifications of any data or information submitted herewith may result in the termination of the contract between the City and the bidder or contractor and in disapproval of future contracts for a period of up to five years. Further, such falsification may result in civil and/or criminal prosecution.

To the extent permitted by law and consistent with the proper discharge of DLS' responsibilities under Charter Chapter 56 of the City Charter and Executive Order No. 50 (1980) and the implementing Rules and Regulations, all information provided by a contractor to DLS shall be confidential.

Only original signatures accepted.

Sworn to before me this _____ day of _____ 20 _____

Notary Public

Authorized Signature

Date

FORM A. CONTRACT BID INFORMATION: USE OF SUBCONTRACTORS/TRADES

1. Do you plan to subcontractor work on this contract? Yes___ No___
2. If yes, complete the chart below.

NOTE: All proposed subcontractors with a subcontract in excess of \$750,000 must complete an Employment Report for review and approval before the contract may be awarded and work commences.

| SUBCONTRACTOR'S NAME* | OWNERSHIP (ENTER APPROPRIATE CODE LETTERS BELOW) | WORK TO BE PERFORMED BY SUBCONTRACTOR | TRADE PROJECTED FOR USE BY SUBCONTRACTOR | PROJECTED DOLLAR VALUE OF SUBCONTRACT |
|--------------------------|--|---|--|---|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

***If subcontractor is presently unknown, please enter the trade (craft name).**

OWNERSHIP CODES

W: White
B: Black
H: Hispanic
A: Asian
N: Native American
F: Female

FORM B: PROJECTED WORKFORCE

TRADE CLASSIFICATION CODES

(J) Journeylevel Workers (A) Apprentice
 (H) Helper (TRN) Trainee
 (TOT) Total by Column

For each trade to be engaged by your company for this project, enter the projected workforce for Males and Females by trade classification on the charts below.

Trade: _____

Union Affiliation, if applicable _____

Total (Col. #1-10): _____

Total Minority, Male & Female (Col. #2,3,4,5,7,8,9, & 10): _____

Total Female (Col. #6 - 10): _____

| | | MALES | | | | | FEMALES | | | | |
|-----|--|------------------------------|------------------------------|--------------|--------------|------------------------|------------------------------|------------------------------|--------------|--------------|-------------------------|
| | | (1) White Non Hisp. | (2) Black Non Hisp. | (3) Hisp. | (4) Asian | (5) Native Amer. | (6) White Non Hisp. | (7) Black Non Hisp. | (8) Hisp. | (9) Asian | (10) Native Amer. |
| J | | | | | | | | | | | |
| H | | | | | | | | | | | |
| A | | | | | | | | | | | |
| TRN | | | | | | | | | | | |
| TOT | | | | | | | | | | | |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

FORM B: PROJECTED WORKFORCE

Trade:

Union Affiliation, if applicable

Total (Col. #1-10):

Total Minority, Male & Female
(Col. #2,3,4,5,7,8,9, & 10):

Total Female
(Col. #6 – 10):

MALES

| | (1) White Non Hisp. | (2) Black Non Hisp. | (3) Hisp. | (4) Asian | (5) Native Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|------------------------|
| J | | | | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | | | |

FEMALES

| | (6) White Non Hisp. | (7) Black Non Hisp. | (8) Hisp. | (9) Asian | (10) Native Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|-------------------------|
| J | | | | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | | | |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

FORM C: CURRENT WORKFORCE

TRADE CLASSIFICATION CODES

(J) Journeylevel Workers (A) Apprentice
 (H) Helper (TRN) Trainee
 (TOT) Total by Column

For each trade currently engaged by your company for all work performed in New York City, enter the current workforce for Males and Females by trade classification on the charts below.

Trade: _____

Union Affiliation, if applicable _____

Total (Col. #1-10): _____

Total Minority, Male & Female
 (Col. #2,3,4,5,7,8,9, & 10): _____

Total Female
 (Col. #6 – 10): _____

MALES

| | (1) White Non Hisp. | (2) Black Non Hisp. | (3) Hisp. | (4) Asian | (5) Native Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|------------------------|
| J | | | | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | | | |

FEMALES

| | (6) White Non Hisp. | (7) Black Non Hisp. | (8) Hisp. | (9) Asian | (10) Native Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|-------------------------|
| J | | | | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | | | |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

FORM C: CURRENT WORKFORCE

Trade: _____

Union Affiliation, if applicable _____

Total (Col. #1-10): _____

Total Minority, Male & Female
(Col. #2,3,4,5,7,8,9, & 10): _____

Total Female
(Col. #6 – 10): _____

MALES

| | (1) White Non Hisp. | (2) Black Non Hisp. | (3) Hisp. | (4) Asian | (5) Native Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|------------------------|
| J | | | | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | | | |

FEMALES

| | (6) White Non Hisp. | (7) Black Non Hisp. | (8) Hisp. | (9) Asian | (10) Native Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|-------------------------|
| J | | | | | |
| H | | | | | |
| A | | | | | |
| TRN | | | | | |
| TOT | | | | | |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

(NO TEXT ON THIS PAGE)

The City of New York Department of Small Business Services
Division of Labor Services Contract Compliance Unit
110 William Street, New York, New York 10038
Phone: (212) 513 - 6323 Fax: (212) 618-8879

Date _____

File Number _____

**LESS THAN \$750,000 SUBCONTRACT CERTIFICATE
(CITY, STATE AND ICIP ONLY)**

Are you currently certified as one of the following? Please check yes or no:

MBE Yes ___ No ___ WBE Yes ___ No ___ LBE Yes ___ No ___

DBE Yes ___ No ___ EBE Yes ___ No ___

If you are certified as an MBE, WBE, LBE, EBE or DBE, what city/state agency are you certified with?

Please check one of the following if your firm would like information on how to certify with the City of New York as a:

___ Minority Owned Business Enterprise

___ Locally based Business Enterprise

___ Women Owned Business Enterprise

___ Emerging Business Enterprise

___ Disadvantaged Business Enterprise

Company Name

Employer Identification Number or Federal Tax I.D

Company Address and Zip Code

Contact Person (First Name, Last Name)

Telephone Number

Fax Number

E-mail Address

Description and location of proposed subcontract: _____

Are you a Union contractor? Yes ___ No ___ If yes, please list which local(s) you affiliated with _____

Are you a Veteran owned company? Yes ___ No ___

Procurement Identification Number (PIN)
(City contracts only)

Contract Registration Number (CT#)
(City contracts only)

Block and Lot Number
(ICIP projects only)

Contract Amount

I, (print name of authorized official signing) _____ hereby certify that I am authorized by the above-named subcontractor to certify that said subcontractor's proposed contract with the above named owner or City agency is less than \$750,000. This affirmation is made in accordance with NYC Charter Chapter 56, Executive Order No. 50 (1980) and the implementing Rules.

Willful or fraudulent falsifications of any data or information submitted herewith may result in the termination of the contract between the City and the bidder or contractor and in disapproval of future contracts for a period of up to five years. Further, such falsification may result in civil and/or criminal prosecution.

Signature of authorized official

Date

Only original signatures accepted.

Sworn to before me this _____ day of _____ 20 _____

Notary Public

Authorized Signature

Date



**INFRASTRUCTURE DIVISION
BUREAU OF DESIGN**

VOLUME 1 OF 3

PROJECT ID: GE353

DISTRIBUTION WATER MAIN EXTENSION AND REPLACEMENT ADJACENT TO T.A.
FACILITIES IN:

BROOKLYN:

FLATBUSH AVENUE BETWEEN ATLANTIC AVENUE AND 5TH AVENUE;
DRIGGS AVENUE BETWEEN LORIMER STREET AND NORTH 12TH STREET; UNION
AVENUE BETWEEN NORTH STREET AND RICHARD STREET;

SOUTH QUEENS:

ROCKAWAY FREEWAY BETWEEN BEACH 98TH STREET AND BEACH 101ST STREET;
BEACH 98TH STREET BETWEEN ROCKAWAY BEACH BOULEVARD AND BEACH CHANNEL
DRIVE; BEACH 100TH STREET BETWEEN ROCKAWAY BEACH BOULEVARD AND
ROCKAWAY FREEWAY; BEACH 101ST STREET BETWEEN ROCKAWAY BEACH BOULEVARD
AND BEACH CHANNEL DRIVE; JAMAICA AVENUE BETWEEN 116TH STREET AND 123RD
STREET;

Together With All Work Incidental Thereto
BOROUGHES OF BROOKLYN AND QUEENS
CITY OF NEW YORK

Contractor

Dated _____, 20____
