

Department of Design and Construction

### THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

30-30 THOMSON AVENUE LONG ISLAND CITY, NEW YORK 11101-3045 TELEPHONE (718) 391-1000 WEBSITE www.nyc.gov/buildnyc



## VOLUME 1 OF 3

## **BID BOOKLET**

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED FOR:

### **PROJECT ID: SEQ200463**

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 5TH AVENUE BETWEEN 121ST STREET AND COLLEGE PLACE; 6TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 7TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 8TH AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9TH AVENUE BETWEEN 118TH STREET AND 120TH STREET; 118TH STREET BETWEEN 9TH AVENUE AND 12TH AVENUE; 119TH STREET BETWEEN 12TH AVENUE AND 9TH AVENUE; 120TH STREET BETWEEN 9TH AVENUE AND 9TH ROAD; 9TH ROAD BETWEEN 120TH STREET AND 121ST STREET; 121ST STREET BETWEEN 9TH ROAD AND 12TH AVENUE

INCLUDING WATER MAIN AND STREET LIGHTING WORK

Together With All Work Incidental Thereto BOROUGH OF QUEENS CITY OF NEW YORK



FOR THE DEPARTMENT OF ENVIRONMENTAL PROTECTION PREPARED BY IN-HOUSE DESIGN

June 22, 2015



DR. FENIOSKY A. PEÑA-MORA Commissioner

Lorraine Holley Deputy Agency Chief Contracting Officer

February 10, 2016

#### <u>CERTIFIED MAIL - RETURN RECEIPT REQUEST</u> INTER CONTRACTING CORP. 274 WHITEPLAINS ROAD - STE 6 EASTCHESTER, NY 10709

RE:

FMS ID: SEQ200463 E-PIN: 85015B0183001 DDC PIN: 8502014SE0060C Replacement and Extensions of Storm and Sanitary Sewers and Appurtenances in College Place bet. 8th Ave and Outfall, etc. Including Water Main & Street Lighting Work - Borough of Queens NOTICE OF AWARD

Dear Contractor:

You are hereby awarded the above referenced contract based upon your bid in the amount of \$15,236,918.40 submitted at the bid opening on October 07, 2015. Within ten (10) days of your receipt of this notice of award, you are required to take the actions set forth in Paragraphs (1) through (3) below. For your convenience, attached please find a copy of Schedule A of the General Conditions to the Contract, which sets forth the types and amounts of insurance coverage required for this contract.

- (1) Execute four copies of the Agreement in the Contracts Unit, 30-30 Thomson Avenue, 1<sup>st</sup> Floor, Long Island City, New York (IDCNY Building). A Commissioner of Deeds will be available to witness and notarize your signature. The Agreement must be signed by an officer of the corporation or a partner of the firm.
- (2) Submit to the Contracts Unit four properly executed performance and payment bonds. If required for this contract, copies of performance and payment bonds are attached.
- (3) Submit to the Contracts Unit the following insurance documentation: (a) original certificate of insurance for general liability in the amount required by Schedule A, and (b) original certificates of insurance or other proof of coverage for workers' compensation and disability benefits, as required by New York State Law. The insurance documentation specified in this paragraph is required for registration of the contract with the Comptroller's Office.

30 - 30 Thomson Ave L.I.C., NY 11101

Telephone: (718) 391-2601

Facsimile: (718) 391-2615

www.nyc.gov/buildnyc



On or before the contract commencement date, you are required to submit all other certificates of insurance and/or policies in the types and amounts required by Schedule A. Such certificates of Insurance and/or policies must be submitted to the Agency Chief Contracting Office, Attention: Risk Manager, Fourth Floor at the above indicated department address.

Your attention is directed to the section of the Information for Bidders entitled "Failure to Execute Contract". As indicated in this section, in the event you fail to execute the contract and furnish the required bonds within the (10) days of your receipt of this notice of award, your bid security will be retained by the City and you will be liable for the difference between your bid price and the price for which the contract is subsequently awarded, less the amount of the bid security retained.

Sincerely,

Jonaine Holley

Lorraine Holley

Facsimile: (718) 391-2615

www.nyc.gov/buildnyc

## SPECIAL NOTICE TO BIDDERS

The New York City Department of Small Business Services (SBS), in conjunction with the New York Business Development Corporation (NYBDC), have established a **NYC Construction Loan** pilot program to provide prime contractors and subcontractors financing for mobilization costs on certain City construction projects.

Under this initiative, loans are available for early stage mobilization needs such as insurance, labor, supplies and equipment. Bidders are strongly encouraged to visit <u>"Growing Your Business</u>" at <u>www.nyc.gov/nycbusiness</u> to learn more about the loan or contact <u>constructionloan@sbs.nyc.gov</u> / (212) 513-6444 to obtain details and to determine preliminary eligibility.

A successful loan applicant will be required to make an assignment of its contract (or subcontract) payments to the lender NYBDC until the loan is repaid. If the loan is to a subcontractor, a prime contractor must honor the terms of such an assignment.

A prime contractor may not discriminate against a subcontractor or potential subcontractor by reason of the subcontractor's participation, or nonparticipation, in the NYC Construction Loan program.

### NOTICE TO VENDORS

Please be advised that, pursuant to the authority granted to the City under Labor Law §816-b, the New York City Department of Design and Construction hereby requires that the contractor awarded a contract as a result of this solicitation, and any of its subcontractors with subcontracts worth two million dollars or over, have, prior to entering into such contract or subcontract, apprenticeship agreements appropriate for the type and scope of work to be performed that have been registered with, and approved by, the New York State Commissioner of Labor. In addition, the contractor and its subcontractors will be required to show that such apprenticeship program/s have successfully passed the two year Probation period following the initial registration date of such program/s with the New York State Department of Labor.

The failure to prove, upon request, that these requirements have been met shall result in the contract not being awarded to the contractor or the subcontractor not being approved.

Please be further advised that, pursuant to Labor Law §220, the allowable ratio of apprentices to journeypersons in any craft classification shall not be greater than the ratio permitted to the contractor as to its workforce on any job under the registered apprenticeship program.

## **CITY OF NEW YORK**

# DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

## **BID BOOKLET**

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED FOR:

### **PROJECT ID: SEQ200463**

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 5TH AVENUE BETWEEN 121ST STREET AND COLLEGE PLACE; 6TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 7TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 8TH AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9TH AVENUE BETWEEN 118TH STREET AND 120TH STREET; 118TH STREET BETWEEN 9TH AVENUE AND 12TH AVENUE; 119TH STREET BETWEEN 12TH AVENUE AND POPPENHUSEN AVENUE; 119TH STREET BETWEEN 7TH AVENUE AND 9TH AVENUE; 120TH STREET BETWEEN 9TH AVENUE AND 9TH ROAD; 9TH ROAD BETWEEN 120TH STREET AND 121ST STREET; 121ST STREET BETWEEN 9TH ROAD AND 12TH AVENUE

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### **PROJECT ID: SEQ200463**

#### CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

#### **BID BOOKLET**

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#### CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

#### SPECIAL NOTICE TO BIDDERS

#### **BID SUBMISSION REQUIREMENTS**

#### THE FOLLOWING DOCUMENTS ARE TO BE COMPLETED AND SUBMITTED WITH THE BID:

- 1. Bid Schedule and Bid Form, including Affirmation
- 2. Bid Security (if required, see Attachment 1 on Page A-1)
- 3. Schedule B: M/WBE Utilization Plan (if participation goals have been established)

#### FAILURE TO SUBMIT ITEMS (1), (2) AND (3) WILL RESULT IN THE DISQUALIFICATION OF THE BID.

- 4. Safety Questionnaire
- 5. Construction Employment Report (if bid is \$1,000,000 or more)
- 6. Contract Certificate (if bid is less than \$1,000,000)
- 7. Confirmation of Vendex Compliance
- 8. Bidder's Certification of Compliance with Iran Divestment Act
- 9. Special Experience Requirements (if applicable)
- 10. Apprenticeship Program Questionnaire (if applicable)
- 11. Any addenda issued prior to the receipt of bids

#### FAILURE TO SUBMIT ITEMS (4) THROUGH (11) MAY RESULT IN THE DISQUALIFICATION OF THE BID.

NOTES:

(1) All of the above referred to blank forms to be completed and submitted with the bid are included in the BID BOOKLET.

(2) If the bidder has any questions or requires additional information, please contact the Department of Design and Construction by phone (718-391- 2601) or by fax (718-391-2615).

(3) <u>VENDEX QUESTIONNAIRES</u>: The Bidder is advised that Vendex Questionnaires and procedures have been changed. Vendex Questionnaires, as well as detailed instructions, may be obtained at <u>www.nyc.gov/vendex</u>. The bidder may also obtain Vendex forms and instructions by contacting the Agency Chief Contracting Officer or the contact person for this contract.

(4) <u>SPECIAL EXPERIENCE REQUIREMENTS</u>: The Bidder is advised that Special Experience Requirements may apply to this contract. Such requirements are set forth on pages 3, 3a, 3b and 4 of this Bid Booklet.



#### **SPECIAL NOTICE TO BIDDERS**

#### SPECIAL EXPERIENCE REQUIREMENTS (Revised 03/2014)

(A) <u>SPECIAL EXPERIENCE REQUIREMENTS FOR THE BIDDER</u>: The Special Experience Requirements set forth below apply to the bidder. Compliance with such Special Experience Requirements will be determined solely by the City prior to an award of contract. Failure to comply with the Special Experience Requirements will result in rejection of the bid as non-responsive.

#### The requirements in this Section (A) apply to this contract where indicated by a blackened box (.).

The bidder must, within the last seven (7) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least one (1) project similar in scope and type to the required work. Such prior project may have been performed as a prime contractor, subcontractor or sub-subcontractor.

The Special Experience Requirements next to the blackened box below apply to the bidder. If the bidder intends to perform such work itself, it must demonstrate compliance with the Special Experience Requirements. If the bidder intends to subcontract this work, the proposed subcontractor or sub-subcontractor must demonstrate compliance with the Special Experience Requirements. The contractor, subcontractor or sub-subcontractor (hereinafter referred to as the "entity") that will perform any specific area of work indicated by the blackened box below, may have performed the required prior project(s) as a prime contractor, subcontractor, subcontractor or sub-subcontractor. Once approved, no substitution will be permitted, unless the qualifications of the proposed replacement have been approved in writing in advance by the City.

- **Trunk Water Main Work:** The entity that will perform the trunk water main work must, within the last seven (7) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least one (1) project similar in scope and type to the required work.
- **Best Management Practice Work:** Best Management Practice ("BMP") Work is any item of work in the Bid Schedule that begins with the prefix "BMP". The entity that will perform any BMP Work must, within the last five (5) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least three (3) projects similar in scope and type to the required work.

For professional services in connection with BMP Work, (i.e., monitoring and reporting services), the individual who will perform the required services must, within the last five (5) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least three (3) projects similar in scope and type to the required work. Additional requirements are set forth below.

- The individual serving as the Restoration Specialist (Construction Monitor) must be a Registered Landscape Architect licensed by the state of New York, or must have equivalent professional experience.
- The individual serving as the Erosion and Sediment Control Licensed/Certified Professional must be a Certified Professional in Erosion and Sediment Control (CPESC), certified by CPESC, Inc.
- ☐ **Micro-Tunneling/Pipe Jacking Work:** The entity that will perform the micro-tunneling/pipe jacking work must, within the last five (5) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least two (2) projects similar in scope and type to the required work.

 $\Box$  OTHER:

# (B) <u>SPECIAL EXPERIENCE REQUIREMENTS FOR SPECIFIC AREAS OF WORK (to be provided</u> after an award of contract):

The requirements in this Section (B) apply to this contract where indicated by a blackened box (.).

The Special Experience Requirements set forth below apply to the contractor, subcontractor or subsubcontractor that will perform the specific area of work. <u>Compliance with such Special Experience</u> <u>Requirements will be determined solely by the City after an award of contract</u>. After an award of contract, when requested by the City, the contractor will be required to submit the qualifications of the contractor, subcontractor or sub-subcontractor that will perform the specific area of work. If the bidder intends to perform such work itself, it must demonstrate compliance with the Special Experience Requirements. If the bidder intends to subcontract this work, the proposed subcontractor or sub-subcontractor must demonstrate compliance with the Special Experience Requirements. Once approved, no substitution will be permitted, unless the qualifications of the proposed replacement have been approved in writing in advance by the City.

Special Experience Requirements apply to the contractor, subcontractor or sub-subcontractor (hereinafter referred to as the "entity") that will perform any specific area of work indicated by a blackened box. The entity may have performed the required prior project(s) as a prime contractor, subcontractor or sub-subcontractor.

- **Hazmat Work:** Hazmat Work is any item of work in the Bid Schedule that begins with the prefix 8.01. The entity that will perform any Hazmat Work must, within the last three (3) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least five (5) projects similar in scope and type to the required work.
- Pile, CFA Pile, and/or Mini-Pile Work: The entity that will perform the Pile, CFA Pile and/or Mini-Pile Work must, within the last three (3) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least two (2) projects similar in scope and type to the required work.

For professional services in connection with Pile Work, (i.e., engineering and inspection services), the individual who will perform the required services must be a Professional Engineer licensed by the state of New York. Such individual must also comply with the above requirements for prior projects.

■ Construction Report, Monitoring And Post-Construction Report, and Continuous Real-Time Monitoring For Vibrations And Movements And Post-Construction Report Work: The entity that will perform the Construction Report, Monitoring For Vibrations And Movements, and Post-Construction Report Work must, within the last three (3) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least two (2) projects similar in scope and type to the required work.

For professional services in connection with Reporting and Monitoring Work, (i.e., engineering and inspection services), the individual who will perform the required services must be a Professional Engineer licensed by the state of New York. Such individual must also comply with the above requirements for prior projects.

□ OTHER:\_\_\_

- (C) <u>SPECIFICATIONS</u>: In the event of any conflict, omission or inconsistency between (1) the Specifications and/or Contract Drawings, and (2) the Special Experience Requirements in Section (B) of the Special Notice To Bidders, the special experience listed in the Specifications and/or Contract Drawings shall be controlling. The Special Experience Requirements in Section (B) of this Special Notice To Bidders are only for the convenience of the bidders.
- (D) <u>SUBMISSION REQUIREMENTS</u>: For each project submitted to demonstrate compliance with the Special Experience Requirements, the bidder must complete and submit the Qualification Form included in the Bid Booklet. The City will only evaluate a project if the following criteria are met: (1) the project is described on the Qualification Form, and (2) all information on the Qualification Form is provided. The City will not evaluate any project which does not comply with the criteria set forth herein, including any project which is referred to only on the resume of an individual.

If Special Experience Requirements are indicated for any specific area of work, the submission requirement set forth above shall apply to the entity that will perform the specific area of work.

- (E) <u>CONDITIONS</u>: In determining compliance with the Special Experience Requirements for the bidder set forth above, the City may consider prior projects completed by principal(s) or other employees of the bidder while affiliated with another entity, subject to the conditions set forth below.
  - Any principal or other employee on whose prior experience the bidder is relying to demonstrate compliance with this special experience requirement must have held the following: (a) a significant management role in the prior entity with which he/she was affiliated, and (b) a significant management role in the entity submitting the bid for a period of six (6) months or more from the inception of the bidding entity.
  - The bidder may not rely on the experience of its principals or other employees to demonstrate compliance with any other requirements, including without limitation, financial requirements or requirements for a specified minimum amount of annual gross revenues.
- (F) <u>JOINT VENTURES</u>: In the event the bidder is a joint venture, at least one firm in the joint venture must meet the above described experience requirements.

BID BOOKLET DECEMBER 2013

#### **Qualification Form**

List previous projects completed to meet the special experience requirements for this contract. Please photocopy this form for submission of all required projects.

Name of Contractor:
Name of Project:
Location of Project:
Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:
Name:            Title:
Brief description of the Project completed or the Project in progress:
Was the Project performed as a prime, a subcontractor or a sub-subcontractor:
Amount of Contract, Subcontract or Sub-subcontract:
Start Date and Completion Date:
******
Name of Contractor:
Name of Project:
Location of Project:
Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:
Name:
Brief description of the Project completed or the Project in progress:
Was the Project performed as a prime, a subcontractor or a sub-subcontractor:
Amount of Contract, Subcontract or Sub-subcontract:
Start Date and Completion Date:

### ATTACHMENT 1 - BID INFORMATION

#### PROJECT ID: SEQ200463 PIN: 8502014SE0060C

Description and Location of Work: For The Replacement And Extension Of Storm And Sanitary Sewers And Appurtenances In College Place Between 8th Avenue And Outfall; Poppenhusen Avenue Between 119th Street And College Place; 5th Avenue Between 121st Street And College Place; 6th Avenue Between 119th Street And College Place; 7th Avenue Between 119th Street And College Place; 8th Avenue Between College Place And Dead End; 9th Avenue Between 118th Street And 120th Street; 118th Street Between 9th Avenue And 12th Avenue; 119th Street Between 12th Avenue And Poppenhusen Avenue; 119th Street Between 7th Avenue And 9th Avenue; 120th Street Between 9th Avenue And 9th Road; 9th Road Between 120th Street And 121st Street; 121st Street Between 9th Road And 12th Avenue Including Water Main And Street Lighting Work, Together With All Work Incidental Thereto, Borough Of Queens.

Documents Available A	<ul> <li>30-30 Thomson Avenue</li> <li>First Floor Bid Procurement Room</li> <li>Long Island City, New York 11101</li> <li>8:30 A.M. to 4:00 P.M Monday through Friday</li> </ul>
<u>Submission of Bids To</u> :	30-30 Thomson Avenue First Floor Bid Procurement Room Long Island City, New York 11101 Before 11:00 A.M. on September 17, 2015
Bid Opening:	30-30 Thomson Avenue First Floor Bid Procurement Room Long Island City, New York 11101
	Time and Date: 11:00 A.M. on September 17, 2015
Pre-Bid Conference:	Yes No X
	If Yes, Mandatory:    Optional:      Time and Date:
Bid Security:	Bid Security is required in the amount set forth below; provided, however, bid security is not required if the TOTAL BID PRICE set forth on the Bid Form is less than \$1,000,000.00.
	<ol> <li>Bond in an amount not less than 10% of the TOTAL BID PRICE set forth on the Bid Form, OR</li> <li>Certified Check in an amount not less than 2% of the TOTAL BID PRICE set forth on the Bid Form.</li> </ol>
<u>Performance and Paym</u> Performance Security a Price.	ent Security: Required for contracts in the amount of \$1,000,000 or more. nd Payment Security shall each be in an amount equal to 100% of the Contract
Agency Contact Persor	Lorraine Holley         FAX: 718-391-2615           Phone: 718-391-2601         FAX: 718-391-2615

1

### BID SCHEDULE

#### NOTICE TO BIDDERS

Items listed in this Bid Schedule which have one (1) digit followed by a decimal (e.g. 4.02 AG), excluding items beginning with the number "8.01", shall comply with the requirements of the corresponding numerical Sections of the NYCDOT Standard Highway Specifications as amended by Addendum No. 1 and Addendum No. 2, herein Volume 3 of 3.

Items listed in this Bid Schedule beginning with the number "8.01" (e.g. 8.01 C1) shall comply with the requirements of Addendum No. 5, herein Volume 3 of 3.

Items listed in this Bid Schedule which have two (2) digits beginning with the number "5" followed by a decimal (e.g. 50.31CC15) shall comply with the requirements of the New York City Department of Environmental Protection (NYCDEP) Standard Sewer and Water Main Specifications dated July 1, 2014, as amended by Addendum No. 2, herein Volume 3 of 3.

Items listed in this Bid Schedule which have two (2) digits beginning with the number "6" followed by a decimal (e.g. 60.11R612) shall comply with the requirements of the New York City Department of Environmental Protection (NYCDEP) Standard Sewer and Water Main Specifications dated July 1, 2014, as amended by Addendum No. 2, herein Volume 3 of 3.

Items listed in this Bid Schedule which have two (2) digits beginning with the number "7" followed by a decimal (e.g. 70.31FN) shall comply with the requirements of the New York City Department of Environmental Protection (NYCDEP) Standard Sewer and Water Main Specifications dated July 1, 2014, as amended by Addendum No. 2, herein Volume 3 of 3.

Items listed in this Bid Schedule beginning with the prefix "SL-" (e.g. SL-21.09.05) are Street Lighting Items which shall be done in accordance with the requirements of Sub-Section 1.06.23.(D) and Section 1.06.49 in the Standard Highway Specifications.

Items listed in this Bid Schedule beginning with the prefix "UTL-" (e.g. UTL-6.01.8) are for Gas Cost Sharing (EP-7) work and shall comply with the requirements of Addendum No. 3, herein Volume 3 of 3.

Items listed in this Bid Schedule beginning with the prefix "BMP" (e.g. BMP-7.502) shall comply with the requirements of the corresponding alphanumeric sections incorporated in Addendum No. 6, herein Volume 3 of 3.



NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN CONTRACT PIN: 8502014SE0060C PROJECT ID: SEQ200463

### BID SCHEDULE

- NOTE: (1) The Agency may reject a bid if it contains unbalanced bid prices. An unbalanced bid is considered to be one containing lump sum or unit items which do not reflect reasonable actual costs plus a reasonable proportionate share of the Bidder's anticipated profit, overhead costs, and other indirect costs, anticipated for the performance of the items in question.
  - (2) The following bid prices on Unit Price Contracts are to be paid for the actual quantities of the item numbers in the completed work or structure, and they cover the cost of all work, labor, material, tools, plant and appliances of every description necessary to complete the entire work, as specified, and the removal of all debris, temporary work and appliances.
  - (3) PLEASE BE SURE A LEGIBLE BID IS ENTERED, IN INK, FOR EACH ITEM. Alterations must be initialed in ink by the bidder.
  - (4) The Extended Amount entered in Column 6 shall be the product of the Estimated Quantity in Column 3 times the Unit Price Bid in Column 5.
  - (5) Prospective bidders must examine the Bid Schedule carefully and, before bidding, must advise the Commissioner, in writing, if any pages are missing, and must request that such missing pages be furnished them. The pages of this Bid Schedule are numbered consecutively, as follows: B - 3 Through B - 36

PLEASE BE SURE A LEGIBLE BID IS ENTERED FOR EACH ITEM. THE BIDDER SHALL INSERT THE TOTAL BID PRICE IN THE BID FORM ON PAGE C-4 OF THIS BID BOOKLET.



NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN PROJECT ID: SEQ200463 CONTRACT PIN: 8502014SE0060C

COL. 1	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRICE ( IN FIGURES		COL. 6 EXTENDED AMOUNT (IN FIGURES)	
SEQ. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
001	<b>4.02 AF-R</b> ASPHALTIC CONCRETE WEARING COURSE, 2" THICK	19,000.00	S.Y.	23	00	437,000	00
002	4.02 CA BINDER MIXTURE	8,150.00	TONS	l	00	8,150	00
003	<b>4.04 H</b> CONCRETE BASE FOR PAVEMENT, VARIABLE THICKNESS FOR TRENCH RESTORATION, (HIGH-EARLY STRENGTH)	3,150.00	C.Y.	1.	00	3,150	00
004	4.08 AA CONCRETE CURB (18" DEEP)	940.00	L.F.	32	00	30,080	00
005	4.09 AD STRAIGHT STEEL FACED CONCRETE CURB (18" DEEP)	250.00	L.F.	55	00	13,750	00
006	4.09 CD CORNER STEEL FACED CONCRETE CURB (18" DEEP)	510.00	L.F.	80	00	40,800	00

7/30/2 2:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN PROJECT ID: SEQ200463 CONTRACT PIN: 8502014SE0060C

					. <i>4</i>		
COL. 1	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRIC ( IN FIGURE	S)	COL. 6 EXTENDED AMOUNT ( IN FIGURES )	
SEQ. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
007	4.13 AAS	6,000.00	S.F.	an Cola			
	4" CONCRETE SIDEWALK (UNPIGMENTED)			11	00	66,000	00
008	4.13 BAS	3,520.00	S.F.	an a			
	7" CONCRETE SIDEWALK (UNPIGMENTED)			12	00	47,240	00
009	4.13 DE	800.00	S.F.		L		
	EMBEDDED PREFORMED DETECTABLE WARNING UNITS			16	00	12,800	00
010	4.13 DSA	880.00	S.F.		j¢.		
	SURFACE APPLIED DETECTABLE WARNING UNITS			16	00	14,080	00
011	4.16 AA	6.00	EACH	2 **		to the second	
	TREES REMOVED (4" TO UNDER 12" CALIPER)			700	00	4,200	00
012	4.16 AB	3.00	EACH	• • • • • • • • • • • • • • • • • • •			
	TREES REMOVED (12" TO UNDER 18" CALIPER)			850	00	2,550	00



NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: SEQ200463 CONTRACT PIN: 8502014SE0060C

			IAI		nn S g		4
COL. 1 SEQ. NO		COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRICE ( IN FIGURES	5)	COL. 6 EXTENDED AMOUN ( IN FIGURES )	
	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
013	<b>4.16 BA</b> TREES PLANTED, 2-1/2" TO 3" CALIPER. ALL TYPES	54.00	EACH	850	00	45,900	00
014	4.16 CA405	16.00	EACH	•	1 2 2		
	TREES PLANTED. 3" TO 3-1/2" CALIPER, ALL TYPES, IN 4' X 5' TREE PITS			1,040	00	16,640	00
015	4.18 A	122.00	FAOU	* * *	1 1		
010	MAINTENANCE TREE PRUNING (UNDER 12" CAL.)	122.00	EACH	325	00	39,650	00
016	<b>4.18 B</b> MAINTENANCE TREE PRUNING (12" TO UNDER 18" CAL.)	48.00	EACH	350	00	16,800	00
017	<b>4.18 C</b> MAINTENANCE TREE PRUNING (18" TO UNDER 24" CAL.)	31.00	EACH	385	00	11,935	00
018	<b>4.18 D</b> MAINTENANCE TREE PRUNING (24" CAL. AND OVER)	27.00	EACH	495	00	13,365	00

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NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: SEQ200463 CONTRACT PIN: 8502014SE0060C

## **BID SCHEDULE FORM**

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COL. 1	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRIC ( IN FIGURE		COL. 6 EXTENDED AMOUNT (IN FIGURES)	· · · · · · · · · · · · · · · · · · ·
SEQ. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
019	4.19 SODDING	200.00	S.Y.	10	00	2,000	00
020	4.20 SEEDING	550.00	S.Y.	9	00	4,950	00
021	4.21 TREE CONSULTANT	1,500.00	P/HR	85	00	127,500	00
022	50.11MS046036 4'-6"W X 3'-6"H SINGLE BARREL FLAT TOP REINFORCED CONCRETE STORM SEWER	120.00	L.F.	1,350	00	162,000	00
023	50.21M3C024D 24" R.C.P. CLASS III STORM SEWER, ON CONCRETE CRADLE	1,338.00	· L.F.	575	00	769,350	00
024	50.21M3C030D 30" R.C.P. CLASS III STORM SEWER, ON CONCRETE CRADLE	832.00	L.F.	688	00	577,416	00



NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: SEQ200463 CONTRACT PIN: 8502014SE0060C

COL. 1	COL. 2			· · · · · · · · · · · · · · · · · · ·			. A A
SEQ. NO	ENGINEE ESTIMA	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRIC ( IN FIGURE	S)	COL. 6 EXTENDED AMOUNT ( IN FIGURES )	
		OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
025	50.21M3C036D 36" R.C.P. CLASS III STORM SEWER, ON CONCRETE CRADLE	199.00	L.F.	700	00	139,300 <del>93,100</del> MM	00
026	50.21M3E024D	731.00	L.F.		1 5.3		
	24" R.C.P. CLASS III STORM SEWER, ENCASED IN CONCRETE			658	00	480,998	00
027	50.21M3E030D	28.00	L.F.	n na sana sa			-
	30" R.C.P. CLASS III STORM SEWER, ENCASED IN CONCRETE	20.00	L.F.	675	00	18,900	00
028	50.21M3E042D 42" R.C.P. CLASS III STORM SEWER, ENCASED IN CONCRETE	685.00	L.F.	786	00	538,410	00
029	50.21S3C024D 24" R.C.P. CLASS III SANITARY SEWER, ON CONCRETE CRADLE	10.00	L.F.	750	00	7,500	00
030	50.31MC12 12" E.S.V.P. STORM SEWER. ON CONCRETE CRADLE	426.00	L.F.	500	00	213,000	00

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NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: SEQ200463 CONTRACT PIN: 8502014SE0060C

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COL. 1	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRICI ( IN FIGURE		COL. 6 EXTENDED AMOUNT (IN FIGURES)	3 14
SEQ. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
031	50.31MC15 15" E.S.V.P. STORM SEWER, ON CONCRETE CRADLE	395.00	L.F.	600	00	237,000	00
032	50.31MC18 18" E.S.V.P. STORM SEWER, ON CONCRETE CRADLE	660.00	L.F.	625	00	417,500	00
033	50.31ME18 18" E.S.V.P. STORM SEWER, ENCASED IN CONCRETE	705.00	L.F.	650	00	458,250	00
034	50.31SC10 10" E.S.V.P. SANITARY SEWER, ON CONCRETE CRADLE	2,400.00	L.F.	715	00	1,716,000	00
035	50.31SC12 12" E.S.V.P. SANITARY SEWER, ON CONCRETE CRADLE	370.00	L.F.	600	00	222,000	00
036	50.31SC15 15" E.S.V.P. SANITARY SEWER, ON CONCRETE CRADLE	133.00	L.F.	700	00	93,100	00



PROJECT ID: SEQ200463 CONTRACT PIN: 8502014SE0060C

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COL. 1	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRICE ( IN FIGURES		COL. 6 EXTENDED AMOUNT (IN FIGURES)	· · · ·
SEQ. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
037	50.31SC18 18" E.S.V.P. SANITARY SEWER, ON CONCRETE CRADLE	59.00	L.F.	675	00	мл З 39,825	00
038	51.11C001 CHAMBER NO. 1	1.00	EACH	195,000	00	195,000	00
039	51.11P004 STANDARD 4'-0" DIAMETER PRECAST MANHOLE	3.00	EACH	8,500	00	25,500	00
040	51.11P006 STANDARD 6'-0" DIAMETER PRECAST MANHOLE	1.00	EACH	9,500	00	9,500	00
041	51.11P007 STANDARD 7'-0" DIAMETER PRECAST MANHOLE	1.00	EACH	225,000	00	225,000	00
042	51.21S0A1000V STANDARD MANHOLE TYPE A-1	38.00	EACH	7,900	00	300,200	00



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## **BID SCHEDULE FORM**

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COL. 1	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRICE ( IN FIGURES		COL. 6 EXTENDED AMOUN ( IN FIGURES )	r
SEQ. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	. DOLLARS	CTS	DOLLARS	CTS
043	51.21S0A2000V STANDARD MANHOLE TYPE A-2	1.00	EACH	8,500	00	8,500	00
044	51.21S0A3000V STANDARD SHALLOW MANHOLE TYPE A-3	9.00	EACH	5,800	00	52,200	00
045	51.21S0C1036R STANDARD MANHOLE TYPE C-1 ON 36" R.C.P. SEWER	4.00	EACH	5,700	00	22,800	00
046	51.21S0C1042R STANDARD MANHOLE TYPE C-1 ON 42" R.C.P. SEWER	1.00	EACH	9,500	00	9,500	00
047	51.23RF REPLACEMENT OF EXISTING MANHOLE FRAME AND COVER	50.00	EACH	1,000	00	50,000	00
048	51.31S00236R STANDARD DROP-PIPE MANHOLE TYPE II ON 36" R.C.P. SEWER	1.00	EACH	45,000	00	45,000	00

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COL.1	COL 2	·	r	State States	\$ s		۰.,
SEQ. NO	COL. 2	COL. 3 ENGINEER'S ESTIMATE OF QUANTITIY	COL. 4	COL. 5 UNIT PRICI ( IN FIGURE:	S)	COL. 6 EXTENDED AMOUNT (IN FIGURES)	
049		OF QUANTITY	UNIT	DOLLARS	CTS	DOLLARS	CTS
049	51.41S001 . STANDARD CATCH BASIN, TYPE 1	65.00	EACH	7,900	00	513,500	00
050	51.41S003	7.00	EACH		1		1 2 2
	STANDARD CATCH BASIN, TYPE 3			8,000	00	56,000	00
051	51.42S1SO	3.00	EACH				
	INCREMENTAL COST OF STANDARD CATCH BASIN TYPE 3 WITH CURB PIECE IN LIEU OF STANDARD CATCH BASIN TYPE 1	0.00	LAUN	2000	00	6,000	00
052	51.61F001 OUTFALL NO. 1	1.00	EACH	485,000	00	485,000	00
053	52.11D12 12" DUCTILE IRON PIPE BASIN CONNECTION	1,350.00	L.F.	225	00	303,750	00
	52.21V08 8" E.S.V.P. RISER FOR HOUSE CONNECTION	100.00	V.F.	100	00	10,000	00



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COL. 1		COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRICE ( IN FIGURES	5)	COL. 6 EXTENDED AMOUNT ( IN FIGURES )	
36Q. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
055	52.31V06S10 6" E.S.V.P. SPUR FOR HOUSE CONNECTION ON 10" E.S.V.P. SANITARY SEWER	91.00	EACH	110	00	10,010	00
056	52.31V06S12 6" E.S.V.P. SPUR FOR HOUSE CONNECTION ON 12" E.S.V.P. SANITARY SEWER	12.00	EACH	125	00	1,500	00
057	52.31V06S15 6" E.S.V.P. SPUR FOR HOUSE CONNECTION ON 15" E.S.V.P. SANITARY SEWER	5.00	EACH	400	00	2,000	00
058	52.31V06S18 6" E.S.V.P. SPUR FOR HOUSE CONNECTION ON 18" E.S.V.P. SANITARY SEWER	5.00	EACH	500	00	2,500	00
059	52.41D06R 6" D.I.P. HOUSE CONNECTION DRAIN ON CONCRETE CRADLE (RECONNECTION)	151.00	L.F.	115	00	(7,365	00
060	52.41V06N NEW 6" E.S.V.P. HOUSE CONNECTION DRAIN ON CONCRETE CRADLE Unit price bid shall not be greater than: \$75.00	240.00	L.F.	75	00	18,000	00



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COL. 1	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL.4	COL. 5 UNIT PRIC ( IN FIGURE		COL. 6 EXTENDED AMOUN (IN FIGURES)	T .
SEQ. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
061	52.41V06R 6" E.S.V.P. HOUSE CONNECTION DRAIN ON CONCRETE CRADLE (RECONNECTION)	440.00	L.F.	50	00	27,000	00
062	53.11DR TELEVISION INSPECTION AND DIGITAL AUDIO-VISUAL RECORDING OF SEWERS	9,040.00	L.F.	2	00	18,080	00
063	6.01 AC CLEARING AND GRUBBING	700.00	S.Y.	2	00	1,400	00
064	6.02 AAN UNCLASSIFIED EXCAVATION	3,535.00	C.Y.	10	00	35,350	00
065	6.25 RS TEMPORARY SIGNS	1,000.00	S.F.	3	00	3,000	00
066	6.26 TIMBER CURB	3,000.00	L.F.	l	00	3,000	00

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7/30/2 2:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: SEQ200463 CONTRACT PIN: 8502014SE0060C

COL. 1 SEQ. NO	COL. 2 ITEM NUMBER and DESCRIPTION	COL. 3 ENGINEER'S ESTIMATE OF QUANTITIY	COL. 4 UNIT	COL. 5 UNIT PRICE ( IN FIGURES DOLLARS		COL. 6 EXTENDED AMOUNT (IN FIGURES) DOLLARS	÷ стз
067	6.28 AA LIGHTED TIMBER BARRICADES	200.00	L.F.	5	00	,	00
068	6.28 BA LIGHTED TYPE III BREAKAWAY BARRICADES	300.00	L.F.	3	00	900	00
069	6.40 D ENGINEER'S FIELD OFFICE (TYPE D)	30.00	MONTH	500	00	15,000	00
070	6.44 , THERMOPLASTIC REFLECTORIZED PAVEMENT MARKINGS (4" WIDE)	6,000.00	L.F.	l	00	6,000	00
071	6.49 TEMPORARY PAVEMENT MARKINGS (4" WIDE)	3,000.00	L.F.	0	01	30	00
072	6.52 CG CROSSING GUARD	16,000.00	P/HR	l	00	16,000	00



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COL. 1 SEQ. NO	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRICE ( IN FIGURES	)	COL. 6 EXTENDED AMOUNT (IN FIGURES)	
		OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
073	6.53 REMOVE EXISTING LANE MARKINGS (4" WIDE)	6,000.00	L.F.	1	00	6,000	00
074	6.84 B LOLLIPOP TYPE BUS STOP SIGNS PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 5,000.00	1.00	F.S.	5,000	00	5,000	00
					1 2 3 3 4 4	an Angelan Angelan Angelan	
075	6.87 PLASTIC BARRELS	3,200.00	EACH	l The second se	00	3,200	00
076	60.11R606 FURNISHING AND DELIVERING 6-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56)	370.00	L.F.	95	00	35,150	00
077	60.11R608 FURNISHING AND DELIVERING 8-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56)	4,210.00	L.F.	125	00	526, 250	00



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COL. 1	COL. 2	COL. 3 ENGINEER'S	COL. 4	COL. 5 UNIT PRIC	E	COL. 6 EXTENDED AMOUNT	
SEQ. NO	ITEM NUMBER and DESCRIPTION	ESTIMATE		( IN EIGURE	S) <sup>≮, ≴</sup> ∈	(IN FIGURES)	2 <sup>881</sup> •
		OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
078	60.11R612	4,230.00	L.F.		1		1
	FURNISHING AND DELIVERING 12-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56)			155	00	655,650	00
079	60.12D06	380.00	L.F.				1
	LAYING 6-INCH DUCTILE IRON PIPE AND FITTINGS		6	85	00	32,300	00
080	60.12D08	4,410.00	L.F.				
	LAYING 8-INCH DUCTILE IRON PIPE AND FITTINGS	1,110.00	L.I .	150	00	661,500	00
081	60.12D12	4,450.00	L.F.		·····		-
	LAYING 12-INCH DUCTILE IRON PIPE AND FITTINGS	4,400.00	L.F.	195	00	867,750	00
082	60.13M0A24	14.00	TONS		1		1
	FURNISHING AND DELIVERING DUCTILE IRON MECHANICAL JOINT 24 -INCH DIAMETER AND SMALLER FITTINGS, INCLUDING WEDGE TYPE RETAINER GLANDS			5,000	00	70,000	00



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COL. 1	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRICE ( IN FIGURES		COL. 6 EXTENDED AMOUNT (IN FIGURES)	
SEQ. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
083	61.11DMM06	30.00	EACH		1		1
	FURNISHING AND DELIVERING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS		•	1,500	00	45,000	00
				· · · ·			:
084	61.11DMM08	14.00	EACH		!		1 ····
	FURNISHING AND DELIVERING 8-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS			1,600	00	22,400	00
							1 1 1 5
085	61.11DMM12	12.00	EACH				+
	FURNISHING AND DELIVERING 12-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS			5,500	00	66,000	00
086	61.11TWC03	1.00	EACH				1
	FURNISHING AND DELIVERING 3-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS			500	00	500	00
087	61.11TWC04 FURNISHING AND DELIVERING 4-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	1.00	EACH	600	00	600	00
	VIEVE COMPLETE WITH WEDGE TIPE RETAINER GLANDS						



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COL. 1 SEQ. NO	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRIC ( IN FIGURE	S)	COL. 6 EXTENDED AMOUNT ( IN FIGURES )	
		OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
088	61.11TWC06 FURNISHING AND DELIVERING 6-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	2.00	EACH	650	00	1,300	00
089	61.11TWC08 FURNISHING AND DELIVERING 8-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	1.00	EACH	700	00	700	00
090	<b>61.11TWC10</b> FURNISHING AND DELIVERING 10-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	1.00	EACH	850	00	850	00
091	61.12DMM06 SETTING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	30.00	EACH	150	00	4,500	00
092	<b>61.12DMM08</b> SETTING 8-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	14.00	EACH	300	00	4,200	00
093	<b>61.12DMM12</b> SETTING 12-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	12.00	EACH	950	00	11,400	•0

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NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: SEQ200463 CONTRACT PIN: 8502014SE0060C

COL. 1 SEQ. NO	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRIC ( IN FIGURE		COL. 6 EXTENDED AMOUNT (IN FIGURES)	<u></u> Г
-		OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
094	61.12TWC03 SETTING 3-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	1.00	EACH	50	<b>00</b>	50	00
095	61.12TWC04 SETTING 4-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	1.00	EACH	55	000	55	00
096	61.12TWC06 SETTING 6-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	2.00	EACH	60	00	120	00
097	61.12TWC08 SETTING 8-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	1.00	EACH	85 SOMA	00	85 85 MA	00
098	61.12TWC10 SETTING 10-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	1.00	EACH	95	00	95	00
099	62.11SD FURNISHING AND DELIVERING HYDRANTS	29.00	EACH	4,500	ගං	130,500	00



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COL. 1	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRICE ( IN FIGURES	S)	COL. 6 EXTENDED AMOUNT ( IN FIGURES )	-
SEQ. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
100	62.12SG SETTING HYDRANTS COMPLETE WITH WEDGE TYPE RETAINER GLANDS	29.00	EACH	3,900	00	113,100	00
101	62.13RH REMOVING HYDRANTS	22.00	EACH	500	00	11,000	00
102	62.14FS FURNISHING, DELIVERING AND INSTALLING HYDRANT FENDERS	58.00	EACH	500	00	29,000	00
103	63.11VC FURNISHING AND DELIVERING VARIOUS CASTINGS	25.00	TONS	2,000	00	50,000	00
104	64.11EL WITHDRAWING AND REPLACING HOUSE SERVICES USING 1-1/2- INCH OR LARGER SCREW TAPS	100.00	EACH	300	00	30,000	00
105	64.11ST WITHDRAWING AND REPLACING HOUSE SERVICES USING SMALLER THAN 1-1/2-INCH SCREW TAPS	200.00	EACH	650	00	130,000	05



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COL. 1 SEQ. NO	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRIC ( IN FIGURE		COL. 6 EXTENDED AMOUN ( IN FIGURES )	NT
106		OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
	64.12COEG CUTTING AND OFFSETTING HOUSE SERVICE WATER CONNECTIONS (EQUAL TO OR GREATER THAN 3-INCH DIAMETER)	50.00	L.F.	100	00	5,000	00
107	64.12COLT CUTTING AND OFFSETTING HOUSE SERVICE WATER CONNECTIONS (LESS THAN 3-INCH DIAMETER)	330.00	L.F.	100	00	33,000	00
108	64.12ESEG EXTENDING HOUSE SERVICE WATER CONNECTIONS (EQUAL TO OR GREATER THAN 3-INCH DIAMETER)	50.00	L.F.	125	00	6,750	00
109	64.12ESLT EXTENDING HOUSE SERVICE WATER CONNECTIONS (LESS THAN 3- INCH DIAMETER)	330.00	L.F.	125	00	41, 250	00
110	<b>64.13WC06</b> FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 6-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS	1.00	EACH	800	00	800	00
111	64.13WC08 FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 8-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS	1.00	EACH	850	ବର	820	00



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COL. 1	COL. 2	ENGINEER'S ESTIMATE		COL. 5 UNIT PRICI ( IN FIGURE:		COL. 6 EXTENDED AMOUN (IN FIGURES)	r
SEQ. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
118	7.36 PEDESTRIAN STEEL BARRICADES	2,800.00	L.F.	5	00	14,000	00
119	7.88 AA RODENT INFESTATION SURVEY AND MONITORING Unit price bid shall not be less than: \$8,000.00	1.00	L.S.	8,000	00	8,000	00
120	7.88 AB RODENT BAIT STATIONS Unit price bid shall not be less than: \$ 60.00	350.00	EACH	60	00	21,000	00
121	7.88 AC BAITING OF RODENT BAIT STATIONS Unit price bid shall not be less than: \$9.25	350.00	EACH	9	25	3,237	50
122	7.88 AD WATERBUG BAIT APPLICATIONS Unit price bid shall not be less than: \$65.00	230.00	BLOCK	65	00	14,950	ÓÐ
123	70.11TT TIMBER PILES (TREATED) Unit price bid shall not be less than: \$12.50	2,950.00	V.F.	12	50	36,875	00

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NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

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COL. 1	COL 0	·					
SEQ. NO	COL. 2 ITEM NUMBER and DESCRIPTION	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRIC ( IN FIGURE		COL. 6 EXTENDED AMOUN (IN FIGURES)	r
440		OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
112	64.13WC12 FURNISHING. DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 12-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS	1.00	EACH	1,250	00	1,250	00
113	65.11BR FURNISHING, DELIVERING AND INSTALLING BANDS, RODS,	3,000.00	LBS.	€ - 4,			F 757 2.
	WASHERS, ETC., COMPLETE, FOR RESTRAINING JOINTS			0	50	1,500	00
114	65.21PS FURNISHING AND PLACING POLYETHYLENE SLEEVE Unit price bid shall not be less than: \$0.50	8,810.00	L.F.	0	50	4.405	00
115	65.31FF FURNISHING, DELIVERING AND PLACING FILTER FABRIC Unit price bid shall not be less than: \$0.10	202,000.00	S.F.	0	им 10 Ф#	4/9/15 20,200	00
116	<b>65.71SG</b> FURNISHING, DELIVERING AND PLACING SCREENED GRAVEL OR SCREENED BROKEN STONE BEDDING	470.00	C.Y.	l	00	470	00
	7.13 B MAINTENANCE OF SITE Unit price bid shall not be less than: \$7,000.00	24.00	MONTH	7,000	00	168,000	00



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					÷ \	and the second	
COL. 1	COL. 2	COL 3 ENGINEER'S ESTIMATE	COL. 4	ČOL. 5 UNIT PRIC ( IN FIGURE	S)	COL. 6 EXTENDED AMOUN ( IN FIGURES )	r
SEQ. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
124	70.31FN FENCING Unit price bid shall not be less than: \$2.00	36,150.00	L.F.	2	00	72,300	00
125	70.51EO EXCAVATION OF BOULDERS IN OPEN CUT Unit price bid shall not be less than: \$75.00	90.00	C.Y.	75	00	6,750	00
126	70.61RE ROCK EXCAVATION	90.00	C.Y.	0	01	D	90
127	70.71RR RIPRAP	80.00	C.Y.	50	00	4,000	00
128	70.71SB STONE BALLAST Unit price bid shall not be less than: \$ 15.00	55.00	C.Y.	15	00	825	00
129	70.81CB CLEAN BACKFILL Unit price bid shall not be less than: \$15.00	4,500.00	C.Y.	15	00	67,500	00



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COL. 1	COL. 2	COL. 3	COL. 4	COL. 5	<u> </u>	COL. 6	
		ENGINEER'S		UNIT PRIC	E ·	EXTENDED AMOUNT	-
		ESTIMATE		( IN FIGURE		(IN FIGURES)	
SEQ. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
130	70.91SW12	7,100.00	S.F.	, <sup>1</sup> * 9	1 1 1 1		1
	FURNISHING AND PLACING SHEETING AND BRACING IN TRENCH FOR WATER MAIN PIPE 12-INCH IN DIAMETER AND LESS			0	01	71	00
131	72.11HF	20.00	C.Y.		T I		1
	HYDRAULIC FILL FOR ABANDONED SEWERS AND WATER MAINS			25	00	500	00
132	73.11AB	40.00	C.Y.			· · · · · · · · · · · · · · · · · · ·	1
	ADDITIONAL BRICK MASONRY		••••				:
	Unit price bid shall not be less than: \$ 62.50			62	50	2,500	00
133	73.21AC	1,920.00	C.Y.				• 
	ADDITIONAL CONCRETE			60			
	Unit price bid shall not be less than: \$ 62.50			62	50	120,000	00
134	73.31AE0	355.00	C.Y.		1	and the second	ļ.
	ADDITIONAL EARTH EXCAVATION INCLUDING TEST PITS (ALL			01		0 17-	
	DEPTHS) Unit price bid shall not be less than: \$20.00			85	00	30,175	00
135	73.41AG	950.00	C.Y.				
	ADDITIONAL SELECT GRANULAR BACKFILL			15	00	14,250	00
	Unit price bid shall not be less than: \$ 15.00			1.5			

7/30/20 2:00 AM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: SEQ200463 CONTRACT PIN: 8502014SE0060C

CÓL. 1 SEQ. NO	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRICE ( IN FIGURE		COL. 6 EXTENDED AMOUN (IN FIGURES)	т
	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
136	73.51AS ADDITIONAL STEEL REINFORCING BARS Unit price bid shall not be less than: \$ 1.00	2,400.00	LBS.		00	2,400	00
137	73.61AT ADDITIONAL STONE BALLAST Unit price bid shall not be less than: \$ 15.00	5.00	C.Y.	85	00	425	00
138	76.11CR CONSTRUCTION REPORT	1.00	L.S.	4,200	00	4,700	00
139	76.21MR MONITORING AND POST-CONSTRUCTION REPORT	1.00	L.S.	3,000	00	3,000	00
140	8.01 C1 HANDLING, TRANSPORTING AND DISPOSAL OF NON-HAZARDOUS CONTAMINATED SOIL	5,000.00	TONS	[0	00	50,000	00
141	8.01 C2 SAMPLING AND TESTING OF CONTAMINATED/POTENTIALLY HAZARDOUS SOIL FOR DISPOSAL PURPOSES	15.00	SETS	2,400	æ	36,000	00



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COL. 1	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRICE ( IN FIGURES		COL. 6 EXTENDED AMOUNT ( IN FIGURES )	Γ
SEQ. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
142	8.01 H HANDLING, TRANSPORTING AND DISPOSAL OF HAZARDOUS SOIL	250.00	TONS	200	00	59,000	00
143	8.01 S HEALTH AND SAFETY	1.00	L.S.	25,000	00	25,000	00
144	8.01 W1 REMOVAL: TREATMENT, AND DISCHARGE/DISPOSAL/OF CONTAMINATED WATER	30.00	DAY	500	00	15,000	00
145	8.01 W2 SAMPLING AND TESTING OF CONTAMINATED WATER	5.00	SETS	1,000	00	5,000	00
146	8.08 VARIABLE MESSAGE BOARD	3.00	EACH	7,500	00	22,500	00



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COL. 1	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRICE ( IN FIGURES	)	COL. 6 EXTENDED AMOUNT (IN FIGURES)	
SEQ. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
147	9.04 HW ALLOWANCE FOR ANTI-FREEZE ADDITIVE IN CONCRETE	1.00	F.S.	50,000	00	50,000	00
	PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 50,000.00			4 	1		•
					12 1 1	M Maria di Anglia Maria di Anglia	* * *
148	9.30 STORM WATER POLLUTION PREVENTION	1.00	L.S.	<b>C</b>	1		1
			- 	50,000	00	50,000	00
149	9.99 FLASHING ARROW BOARD	2.00	EACH	0.00	1 1 1 1	2.	
				3,500	00	7000	00
150	BMP-7.09	2.00	DAY		   		
	LICENSED SURVEYOR			2000	00	4,000	00
151	ВМР-7.307-А	6,500.00	S.F.				
7	GRADING			0	50	3,250	00



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COL. 1 SEQ. NO	COL. 2	COL. 3 ENGINEER'S ESTIMATE OF QUANTITIY	COL. 4 UNIT	COL. 5 UNIT PRICE ( IN FIGURES DOLLARS		COL 6 EXTENDED AMOUNT (IN FIGURES) DOLLARS	CTS
152	BMP-7.401-J HERBACEOUS PLANTS (PLUGS)	4,500.00	EACH	4	50	20,250	00
153	BMP-7.404-A ' RESTORATION SPECIALIST	420.00	HRS	50	00	21,000	ØØ
154	BMP-7.404-B EROSION AND SEDIMENT CONTROL LICENSED/CERTIFIED PROFESSIONAL	110.00	DAY	400	00	44,000	00
155	BMP-7.407-A EROSION CONTROL MAT	4,700.00	S.F.	l	00	4,700	00
156	BMP-7.413 TEMPORARY GOOSE EXCLUSION FENCE	2,300.00	L.F.	5	00	11,500	00
157	BMP-7.417 DEBRIS EXCLUSION FENCE	1,260.00	L.F.	[0	00	12,600	00



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COL. 1 SEQ. NO	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRIC ( IN FIGURE	S) <sup>* 1</sup>	COL. 6 EXTENDED AMOUNT (IN FIGURES)	ý y
		OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
158	BMP-7.418 CLEAN SAND FOR RESTORED AREA	20.00	C.Y.	25	00	500	00
159	BMP-7.502 CONSTRUCTION LIMIT FENCE	35.00	L.F.	10	00	350	00
160	BMP-7.504A SILT FENCE	250.00	L.F.	15	00	3,750	00
161	BMP-7.509-A , STABILIZED CONSTRUCTION ENTRANCE	1.00	EACH	7,500	$\infty$	7,500	00
162	BMP-7.510 PORTABLE SEDIMENT TANK	1.00	EACH	3,000	00	3,000	00
163	BMP-7.516 TURBIDITY CURTAIN	70.00	L.F.	IS	00	1,050	00



NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION

DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: SEQ200463 CONTRACT PIN: 8502014SE0060C

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COL. 1				· · · · · · · · · · · · · · · · · · ·		**	2.
SEQ. NO	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRIC ( IN FIGURE	S)	COL. 6 EXTENDED AMOUN (IN FIGURES)	ΝT
		OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
164	SL-20.02.02 FURNISH AND INSTALL STANDARD TYPE ANCHOR BOLT FOUNDATION, AS PER DRAWING E-3788	1.00	EACH	2,000		2 000	
165	SL-21.03.02			2,000	00	2,000	00
100	FURNISH AND INSTALL TYPE 2S, 4S, 6S, 8S OR 12S LAMPPOST WITH TRANSFORMER BASE	1.00	EACH	3,200	00	3,200	00
166	SL-21.09.09 REMOVE F.S. SPUN ALUMINUM, #10, ETC LAMPPOST, WITH ARMS(S), LUMINAIRE(S), ETC., WITH ALL ATTACHMENTS, IF ANY. REMOVE PORTION OF FOUNDATION. RESTORE TO SURROUNDING CONDITIONS.	1.00	EACH	700	00	700	00
167	SL-22.03.17 FURNISH AND INSTALL TYPE COBRA HEAD LUMINAIRE WITH 100 WATT HPS WITH SOLID STATE BALLAST AND STRAY VOLTAGE INDICATOR FOR 55 VOLT LAMP.	1.00	EACH	600	00	600	00
168	SL-26.01.01 FURNISH AND INSTALL, OR FURNISH AND REPLACE A PLUG-IN SOLID STATE PHOTOELECTRIC CONTROL	1.00	EACH	100	00	(00	00



PROJECT ID: SEQ200463 CONTRACT PIN: 8502014SE0060C

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COL. 1	COL. 2	COL. 3 ENGINEER'S	COL. 4	UNIT PRICE	:	COL. 6 EXTENDED AMOUNT	τ.
		ESTIMATE		( IN FIGURES	5)	(IN FIGURES)	
SEQ. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
169	SL-29.01.01	1.00	EACH	e 14			30 K 
	FURNISH: INSTALL, MAINTAIN AND REMOVE EQUIPMENT FOR TEMPORARY LIGHTING (PYLON). AS PER DRAWINGS F-5005 AND F- 5005A			1,600	0.0	1,600	00
						and the second second	* 7
170	SL-33.02.01	100.00	L.F.			· · · · · · · · · · · · · · · · · · ·	1 1
	REMOVE TEMPORARY OR PERMANENT OVERHEAD ELECTRICAL CONDUCTORS			5	00	500	00
171	SL-33.02.02	200.00	L.F.				1 1
	FURNISH AND INSTALL NO. 6 AWG XLP COPPER CABLE OR EQUAL FOR OVERHEAD INSTALLATION			13	00	2,600	00
					1. 1. 1.	and the second sec	
172	UTL-6.01.1	15.00	EACH		с.		
	GAS MAIN CROSSING SEWER UP TO 24" IN DIAMETER (S6.01)			1,040	00	15,600	00
	Unit price bid shall not be less than: \$ 1,040.00			* * * * * * * * * * * * * * * * * * *		(7,000	
173	UTL-6.01.2	2.00	EACH		1		8 8 8
	GAS MAIN CROSSING SEWER 30" IN DIAMETER (S6.01)			1770		3,540	00
	Unit price bid shall not be less than: \$ 1,770.00			1.770	00	71710	
174	UTL-6.01.3	1.00	EACH				1
	GAS MAIN CROSSING SEWER 36" THRU 42" IN DIAMETER (S6.01)		· ·	2010		2,040	00
	Unit price bid shall not be less than: \$2,040.00			2,040	00	6,070	



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NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: SEQ200463 CONTRACT PIN: 8502014SE0060C

#### **BID SCHEDULE FORM**

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COL. 1 SEQ. NO	COL. 2	COL. 3 ENGINEER'S ESTIMATE OF QUANTITIY	COL. 4 UNIT	COL. 5 UNIT PRIC (IN FIGURE	S)	COL. 6 EXTENDED AMOUNT (IN FIGURES)	
175	UTL-6.01.8 GAS SERVICES CROSSING TRENCHES AND/OR EXCAVATIONS (S6.01) Unit price bid shall not be less than: \$465.00	180.00	EACH	465	СТS 00	0011ARS 83,700	CTS OO
176	UTL-6.01.9 GAS MAIN CROSSING WATER MAIN UP TO 20" IN DIAMETER (S6.01) Unit price bid shall not be less than: \$485.00	20.00	EACH	485	00	9,700	00
177	UTL-6.02 EXTRA EXCAVATION FOR THE INSTALLATION OF CATCH BASIN SEWER DRAIN PIPES WITH GAS INTERFERENCES (S6.02) Unit price bid shall not be less than: \$715.00	15.00	EACH	715	00	10,725	00
178	UTL-6.03 REMOVAL OF ABANDONED GAS FACILITIES. ALL SIZES. (S6.03) Unit price bid shall not be less than: \$15.00	4,000.00	L.F.	15	00	60,000	00
179	UTL-6.03.1A REMOVAL OF ABANDONED GAS FACILITIES WITH POSSIBLE COAL TAR WRAP. ALL SIZES. (S6.03) Unit price bid shall not be less than: \$25.00	100.00	L.F.	25	00	2,500	00
180	UTL-6.04 ADJUST HARDWARE TO GRADE USING SPACER RINGS/ADAPTORS. (STREET REPAVING.) (S6.04) Unit price bid shall not be less than: \$35.00	10.00	EACH	35	00	350	00



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COL. 1 SEQ. NO	COL. 2	COL. 3 ENGINEER'S ESTIMATE	COL. 4	COL. 5 UNIT PRICE ( IN FIGURES )	•	COL. 6 EXTENDED AMOUNT (IN FIGURES)	
181	UTL-6.05	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS
101		10.00	EACH		   		:
	ADJUST HARDWARE TO GRADE BY RESETTING. (RÓAD RECONSTRUCTION.) (S6.05) Unit price bid shall not be less than: \$65.00			65	00	650	00
182	UTL-6.06	1,000.00	C.Y.				
	SPECIAL CARE EXCAVATION AND BACKFILLING (S6.06)			_		1.00	
	Unit price bid shall not be less than: \$180.00			180	00	180,000	00
183	UTL-6.07	100.00	C.Y.				
	TEST PITS FOR GAS FACILITIES (S6.07)		0.11	100		La man	
	Unit price bid shall not be less than: \$ 100.00			100	00	10,000	00
184	UTL-GCS-2WS	1.00	F.S.			- 	
	GAS INTERFERENCES AND ACCOMMODATIONS	1.00	г.э.	100,000	00	100,000	00
	PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 100,000.00						
			•				¢.



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#### **BID SCHEDULE FORM**

COL, 1	COL. 2	COL. 3	COL. 4	COL. 5		COL. 6	
		ENGINEER'S		UNIT PRIC	ЖE	EXTENDED AMOUNT	
	· · · · · · · · · · · · · · · · · · ·	ESTIMATE		( IN FIGURE	ES)	(IN FIGURES)	
SEQ. NO	ITEM NUMBER and DESCRIPTION	OF QUANTITIY	UNIT	DOLLARS	CTS	DOLLARS	CTS

#### SUB-TOTAL: \$ 14,686,918.40

r.							
	185	6.39 A	1.00	L.S.			:
		MOBILIZATION	н		1.		
		BID PRICE OF MOBILIZATION SHALL NOT EXCEED 4% OF THE ABOVE SUB-TOTAL PRICE.			550,000 00	550,000	00

TOTAL BID PRICE: \$ 15,236,918.40

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#### PLEASE BE SURE A LEGIBLE BID IS ENTERED FOR EACH ITEM.

THE BIDDER SHALL INSERT THE TOTAL BID PRICE IN THE BID FORM ON PAGE C-4 OF THIS BID BOOKLET.



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#### **BID SCHEDULE**

- proportionate share of the Bidder's anticipated profit, overhead costs, and other indirect costs, anticipated NOTE: (1) The Agency may reject a bid if it contains unbalanced bid prices. An unbalanced bid is considered to be one containing lump sum or unit items which do not reflect reasonable actual costs plus a reasonable for the performance of the items in question.
- (2) The following bid prices on Unit Price Contracts are to be paid for the actual quantities of the item numbers appliances of every description necessary to complete the entire work, as specified, and the removal of all in the completed work or structure, and they cover the cost of all work, labor, material, tools, plant and debris, temporary work and appliances.
- (3) PLEASE BE SURE A LEGIBLE BID IS ENTERED, IN INK, FOR EACH ITEM. Alterations must be initialed in ink by the bidder.
- (4) The Extended Amount entered in Column 6 shall be the product of the Estimated Quantity in Column 3 times the Unit Price Bid in Column 5.
- Commissioner, in writing, if any pages are missing, and must request that such missing pages be furnished (5) Prospective bidders must examine the Bid Schedule carefully and, before bidding, must advise the them. The pages of this Bid Schedule are numbered consecutively, as follows: B - 3 Through B - 36

#### PLEASE BE SURE A LEGIBLE BID IS ENTERED FOR EACH ITEM. THE BIDDER SHALL INSERT THE TOTAL BID PRICE IN THE BID FORM ON PAGE C-4 OF THIS BID BOOKLET.

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#### **BID SCHEDULE FORM**

COL 5 COL 5 COL 6 COL 6 COL 6 COL 6 COL 5 COL 5 COL 6						
COL 4 COL 4	S.Y.	TONS	c.Y.	LF.	) F.F.	C LF.
<ul> <li>COL.3</li> <li>ENGINEERS</li> <li>ESTIMATE</li> <li>OF QUANTITY</li> </ul>	19,000.00	8,150.00	3,150.00	940.00	250.00	510.00
COL. 2 COL. 2 TIEN NUMBER and DESCRIPTION	4.02 AF-R ASPHALTIC CONCRETE WEARING COURSE, 2" THICK	4.02 CA BINDER MIXTURE	<b>4.04 H</b> CONCRETE BASE FOR PAVEMENT, VARIABLE THICKNESS FOR TRENCH RESTORATION, (HIGH-EARLY STRENGTH)	4.08 AA CONCRETE CURB (18" DEEP)	4.09 AD STRAIGHT STEEL FACED CONCRETE CURB (18" DEEP)	4.09 CD CORNER STEEL FACED CONCRETE CURB (18" DEEP)
COL.1 SEQ.NO	001	002	003	004	005	000







PROJECT ID: SEQ200463 CONTRACT PIN: 8502014SE0060C

COL 5 COL 6 UNIT PRICE EXTENDED AMOUNT IN FIGURES) (IN FIGURES) OLLARS CTS DOLLARS CTS						
COL 4 COL 4 COL	S.F.	S.F.	S.F.	S.F.	EACH	EACH
COL 3 ENGINEER'S ESTIMATE OF QUANTIFITY	6,000.00	3,520.00	800.00	880.00	6.00	3.00
COL.2 ITEM NUMBER and DESCRIPTION	4.13 AAS 4" CONCRETE SIDEWALK (UNPIGMENTED)	4.13 BAS 7" CONCRETE SIDEWALK (UNPIGMENTED)	<b>4.13 DE</b> EMBEDDED PREFORMED DETECTABLE WARNING UNITS	4.13 DSA SURFACE APPLIED DETECTABLE WARNING UNITS	<b>4.16 AA</b> TREES REMOVED (4" TO UNDER 12" CALIPER)	4.16 AB TREES REMOVED (12" TO UNDER 18" CALIPER)
COL. 1 SEQ. NO	007	008	600	010	011	012

COL 5 COL 5 COL 6 UNIT PRICE EXTENDED AMOUNT (IN FIGURES) (IN FIGURES) DOLLARS COS DOLLARS COS						
Sur - 1	EACH	EACH	EACH	EACH	EACH	EACH
COL 3 ENGINEERS ESTIMATE	54.00	16.00	122.00	48.00	31.00	27.00
COL 2 THEM NUMBER and DESCRIPTION	<b>4.16 BA</b> TREES PLANTED, 2-1/2" TO 3" CALIPER, ALL TYPES	<b>4.16 CA405</b> TREES PLANTED, 3" TO 3-1/2" CALIPER, ALL TYPES, IN 4' X 5' TREE PITS	4.18 A MAINTENANCE TREE PRUNING (UNDER 12" CAL.)	<b>4.18 B</b> MAINTENANCE TREE PRUNING (12" TO UNDER 18" CAL.)	<b>4.18 C</b> MAINTENANCE TREE PRUNING (18" TO UNDER 24" CAL.)	<b>4.18 D</b> MAINTENANCE TREE PRUNING (24" CAL. AND OVER)
COL. 1 SEQ. NO	013	014	015	016	017	018

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COL 5     COL 6       UNIT PRICE     EXTENDED AMOUNT       (IN FIGURES)     (IN FIGURES)       DOLLARS     CTS						
COL 4 COL 5 UNIT PRICE (IN FIGURES	ц. Т.		Ľ.	ц. Ч.	LF.	Ľ.
ENGINEERS ESTIMATE FOCL 3 ESTIMATE	199.00	731.00	28.00	685.00	10.00	426.00
COL 2 THEM NUMBER and DESCRIPTION	50.21M3C036D 36" R.C.P. CLASS III STORM SEWER, ON CONCRETE CRADLE	50.21M3E024D 24" R.C.P. CLASS III STORM SEWER, ENCASED IN CONCRETE	50.21M3E030D 30" R.C.P. CLASS III STORM SEWER, ENCASED IN CONCRETE	50.21M3E042D 42" R.C.P. CLASS III STORM SEWER, ENCASED IN CONCRETE	50.21S3C024D 24" R.C.P. CLASS III SANITARY SEWER, ON CONCRETE CRADLE	50.31MC12 12" E.S.V.P. STORM SEWER, ON CONCRETE CRADLE
COL. 1 SEQ. NO	025	026	027	028	029	030







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COL.1 SEQ.NO	COL2 TEM NUMBER and DESCRIPTION	COL.3 ENGINEERS ESTIMATE OF QUANTITIY	COL 4	COL 5 UNIT PRICE (INFIGURES) BOLLARS CITS	COL 6 EXTENDED AMOUNT (IN FIGURES) DOILARS	CIS.
031	50.31MC15 15" E.S.V.P. STORM SEWER, ON CONCRETE CRADLE	395.00	L.F.			
032	50.31MC18 18" E.S.V.P. STORM SEWER, ON CONCRETE CRADLE	660.00	L.F.			
033	50.31ME18 18" E.S.V.P. STORM SEWER, ENCASED IN CONCRETE	705.00	LF.			
034	50.31SC10 10" E.S.V.P. SANITARY SEWER, ON CONCRETE CRADLE	2,400.00	L.F.			
035	50.31SC12 12" E.S.V.P. SANITARY SEWER, ON CONCRETE CRADLE	370.00	Ľ.			
036	50.31SC15 15" E.S.V.P. SANITARY SEWER, ON CONCRETE CRADLE	133.00	Ľ.			

COL.5 COL.6 COL.6 UNIT PRICE EXTENDED AMOUNT IN FIGURES) (IN FIGURES) OLLARS CTS DOLLARS CTS					
COL4 UNIT		EACH	EACH	EACH	EACH
COL.3 ENGINEERS ESTIMATE OF QUANTITY 59.00	1.00	3.00	1.00	1.00	38.00
COL.2 COL.2 ITEM NUMBER and DESCRIPTION	18" E.S.V.P. SANITARY SEWER, ON CONCRETE CRADLE 51.11C001 CHAMBER NO. 1	<b>51.11P004</b> STANDARD 4-0" DIAMETER PRECAST MANHOLE	<b>51.11P006</b> STANDARD 6-0" DIAMETER PRECAST MANHOLE	<b>51.11P007</b> STANDARD 7-0" DIAMETER PRECAST MANHOLE	<b>51.21S0A1000V</b> STANDARD MANHOLE TYPE A-1
COL.1 SEQ.NO 037	038	039	040	64	042

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GOL 6 EXTENDED AMOUNT (IN FIGURES) DOLLARS						
oten (ch (cs) ·crs						
DOLLARS DOLLARS DOLLARS						
COL-4	EACH	EACH	EACH	EACH	EACH	EACH
COL 3 ENGINEERS ESTIMATE OF QUANTITY	1.00	9.00	4.00	1.00	50.00	1.00
COL 2 ITEM NUMBER and DESCRIPTION	<b>51.21S0A2000V</b> STANDARD MANHOLE TYPE A-2	<b>51.21S0A3000V</b> STANDARD SHALLOW MANHOLE TYPE A-3	51.21S0C1036R STANDARD MANHOLE TYPE C-1 ON 36" R.C.P. SEWER	<b>51.21S0C1042R</b> STANDARD MANHOLE TYPE C-1 ON 42" R.C.P. SEWER	<b>51.23RF</b> REPLACEMENT OF EXISTING MANHOLE FRAME AND COVER	51.31S00236R STANDARD DROP-PIPE MANHOLE TYPE II ON 36" R.C.P. SEWER
_ 0		-			<b>51.</b> REPI	
COL 1 SEQ. NO	043	044	045	046	047	048

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COL.5 COL.6 COL.6 COL.6 COL.6 COL.6 COL.6 COL.5 COL.6						
COL4	EACH	EACH	EACH	EACH	L L	V.F.
COL 3 ENGINEERS ESTIMATE COLANTITY	65.00	7.00	3.00	1.00	1,350.00	100.00
COL 2 ITEM NUMBER and DESCRIPTION	<b>51.41S001</b> STANDARD CATCH BASIN, TYPE 1	<b>51.41S003</b> STANDARD CATCH BASIN, TYPE 3	51.42S1SO INCREMENTAL COST OF STANDARD CATCH BASIN TYPE 3 WITH CURB PIECE IN LIEU OF STANDARD CATCH BASIN TYPE 1	<b>51.61F001</b> OUTFALL NO. 1	52.11D12 12" DUCTILE IRON PIPE BASIN CONNECTION	52.21V08 8" E.S.V.P. RISER FOR HOUSE CONNECTION
COL. 1 SEQ. NO	049	050	051	052	053	054







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COL1	COL2 THEN NIMBER AND DESCRIPTION	COL 3 ENGINEERS ESTIMATE OFCUANTITIY	COL 4	COL.5 COL.5 COL.6 UNIT PRICE EXTENDED AMOUNT (IN FIGURES) (IN FIGURES) DOLLARS CTS DOLLARS	6 MACUNT RES ) RS CTS
055	52.31V06S10 6" E.S.V.P. SPUR FOR HOUSE CONNECTION ON 10" E.S.V.P. SANITARY SEWER	91.00	EACH		
056	52.31V06S12 6" E.S.V.P. SPUR FOR HOUSE CONNECTION ON 12" E.S.V.P. SANITARY SEWER	12.00	EACH		
057	52.31V06S15 6" E.S.V.P. SPUR FOR HOUSE CONNECTION ON 15" E.S.V.P. SANITARY SEWER	5.00	EACH		
058	52.31V06S18 6" E.S.V.P. SPUR FOR HOUSE CONNECTION ON 18" E.S.V.P. SANITARY SEWER	5.00	EACH		
029	52.41D06R 6" D.I.P. HOUSE CONNECTION DRAIN ON CONCRETE CRADLE (RECONNECTION)	151.00	L.F.		
090	<b>52.41V06N</b> NEW 6" E.S.V.P. HOUSE CONNECTION DRAIN ON CONCRETE CRADLE <b>Unit price bid shall not be greater than: \$75.00</b>	240.00	Ľ.		

COL,5 COL 6 UNIT PRIGE EXTENDED AMOUNT; (IN FIGURES) (IN FIGURES) DOLLARS CIS DOLLARS CIS					
GOLA UNIT	Ц. Г.	S.Y.	C.Y.		Ľ.
COL.3 ENGINEERS ESTIMATE OF QUANTITY 440.00	9,040.00	700.00	3,535.00	1,000.00	3,000.00
COL.2 ITEM NUMBER and DESCRIPTION 52.41V06R	6" E.S.V.P. HOUSE CONNECTION DRAIN ON CONCRETE CRADLE (RECONNECTION) 53.11DR TELEVISION INSPECTION AND DIGITAL AUDIO-VISUAL RECORDING OF SEWERS	6.01 AC CLEARING AND GRUBBING	6.02 AAN UNCLASSIFIED EXCAVATION	<b>6.25 RS</b> TEMPORARY SIGNS	6.26 TIMBER CURB
COL 1 SEQ. NO 061	062	063	064	065	990





PROJECT ID: SEQ200463 CONTRACT PIN: 8502014SE0060C

COL 1 SEQ.NO	COL 2 GEM NUMBER and DESCRIPTION	COL 3 ÉNGINEER'S ÉSTIMATE OF QUANTITIY	COL 4 UNIT	COL.5 COL.5 COL.6 COL.6 COL.6 COL.6 COL.6 COL.5 COL.5 COL.5 COL.6	ount: s), cts
067	6.28 AA LIGHTED TIMBER BARRICADES	200.00	LF.		
068	<b>6.28 BA</b> LIGHTED TYPE III BREAKAWAY BARRICADES	300.00	L.F.		
690	6.40 D ENGINEER'S FIELD OFFICE (TYPE D)	30.00	MONTH		
070	6.44 THERMOPLASTIC REFLECTORIZED PAVEMENT MARKINGS (4" WIDE)	6,000.00	L.F.		
071	<b>6.49</b> TEMPORARY PAVEMENT MARKINGS (4" WIDE)	3,000.00	L.F.		
072	6.52 CG CROSSING GUARD	16,000.00	P/HR		

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COL. 6 EXTENDED AMOUNT (IN FIGURES) DOLLARS : CTS	5,000 00			
COL 5	5,000 00			
COL 4 ENTIF	с. Г	EACH	Ľ.	Ľ.
COL 3 ENGINEERS ESTIMATE OF QUANTITY 6,000.00	1.00	3,200.00	370.00	4,210.00
COL. 2 ITEM NUMBER and DESCRIPTION 6.53 REMOVE EXISTING LANE MARKINGS (4" WIDE)	6.84 B LOLLIPOP TYPE BUS STOP SIGNS PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 5,000.00	<b>6.87</b> PLASTIC BARRELS	60.11R606 FURNISHING AND DELIVERING 6-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56)	60.11R608 FURNISHING AND DELIVERING 8-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56)
COL 1 SEQ. NO 073	074	075	076	017





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COL 1 SEQ. NO	COL.2. IFEM NUMBER and DESCRIPTION	COL 3 ENGINEERS ESTIMATE OF QUANTITY	COL 4	COL 5: UNIT PRICE (IN FIGURES) DOLLARS CTS	COL 6 EXTENDED AMOUNT (IN FIGURES) DOLLARS	CTS
078	60.11R612 FURNISHING AND DELIVERING 12-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56)	4,230.00	L.F.			
670	60. 12D06 LAYING 6-INCH DUCTILE IRON PIPE AND FITTINGS	380.00	L.F.			
080	60.12D08 LAYING 8-INCH DUCTILE IRON PIPE AND FITTINGS	4,410.00	LF.			
081	60.12D12 LAYING 12-INCH DUCTILE IRON PIPE AND FITTINGS	4,450.00	L.F.			
082	60.13M0A24 FURNISHING AND DELIVERING DUCTILE IRON MECHANICAL JOINT 24 -INCH DIAMETER AND SMALLER FITTINGS, INCLUDING WEDGE TYPE RETAINER GLANDS	14.00	TONS			

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COL 5 COL 6					
COLA	EACH	EACH	EACH	EACH	EACH
OOL 3 ENGINEER'S ESTIMATE OF QUANTITIY	30.00	14.00	12.00	1.00	1.00
COL. 2 ITEM NUMBER and DESCRIPTION	<b>61.11DMM06</b> FURNISHING AND DELIVERING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	<b>61.11DMM08</b> FURNISHING AND DELIVERING 8-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	<b>61.11DMM12</b> FURNISHING AND DELIVERING 12-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	61.11TWC03 FURNISHING AND DELIVERING 3-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	61.11TWC04 FURNISHING AND DELIVERING 4-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS
COL.1. SEQ. NO	083	084	085	086	087





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COL 5 COL 5 COL 6 UNIT-PRICE EXTENDED AMOUNT (IN FIGURES) (IN FIGURES) 2 FOLLARS CTS DOLLARS CTS						
Till Solution	EACH	EACH	EACH	EACH	EACH	EACH
COL 3 ENGINEERS ESTIMATE OF QUANTITY	2.00	1.00	1.00	30.00	14.00	12.00
COL.2. FIEM NUMBER and DESCRIPTION	61.11TWCO6 FURNISHING AND DELIVERING 6-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	61.11TWC08 FURNISHING AND DELIVERING 8-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	61.11TWC10 FURNISHING AND DELIVERING 10-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	61.12DMM06 SETTING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	61.12DMM08 SETTING 8-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	<b>61.12DMM12</b> SETTING 12-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS
COL 1 '	088	680	060	091	092	093

COL 1 SEQ. NO	COL. 2 ITEM NUMBER and DESCRIPTION	COL 3 ENGINEERS ESTIMATE OF QUANTIENY	COL 4	COL 5 COL 6 COL 8 COL 8 COL 6 COL 8 COL 6 COL 6 COL 6 COL 6 COL 6 COL 6 COL 8 COL 7	OUNT S) CTS
034	61.12TWC03 SETTING 3-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	1.00	EACH		
095	<b>61.12TWC04</b> SETTING 4-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	1.00	EACH		
960	61.12TWC06 SETTING 6-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	2.00	EACH		
60	61.12TWC08 SETTING 8-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	1.00	EACH		
860	61.12TWC10 SETTING 10-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	1.00	EACH		
660	62.11SD FURNISHING AND DELIVERING HYDRANTS	29.00	EACH		





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COL 1 SEC. NO 100 102 103 104	COL 2	COL 3 ENGINEER'S ESTIMATE 29.00 28.00 58.00 100.00	COL 4 UNIT EACH EACH EACH EACH	COL 6 UNIT PRICE COL 6 (IN FIGURES) DOLLARS CTS DOLLARS DOLLARS	
105	<b>64.11ST</b> WITHDRAWING AND REPLACING HOUSE SERVICES USING SMALLER THAN 1-1/2-INCH SCREW TAPS	200.00	EACH		

COL.1 SEQ. NO	COL 2 ITEM NUMBER and DESCRIPTION	COL. 3 ENGINEERS ESTIMATE	COL 4 UNH	COL 5	COL. 8 EXTENDED AMOUNT (IN FIGURES) DOLLARS CTS
106	64.12COEG CUTTING AND OFFSETTING HOUSE SERVICE WATER CONNECTIONS (EQUAL TO OR GREATER THAN 3-INCH DIAMETER)	50.00	Ľ.		
107	64.12COLT CUTTING AND OFFSETTING HOUSE SERVICE WATER CONNECTIONS (LESS THAN 3-INCH DIAMETER)	330.00	L.F.		
108	64.12ESEG EXTENDING HOUSE SERVICE WATER CONNECTIONS (EQUAL TO OR GREATER THAN 3-INCH DIAMETER)	50.00	L.F.		
109	64.12ESLT EXTENDING HOUSE SERVICE WATER CONNECTIONS (LESS THAN 3- INCH DIAMETER)	330.00	L.F.		
110	64.13WC06 FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 6-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS	1.00	EACH		
111	64.13WC08 FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 8-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS	1.00	EACH		







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COL 1 SEQ. NO	COL 2 TEM NUMBER and DESCRIPTION	COL 3 ENGINEERS ESTIMATE OF QUANTITY	COL. 4 UNIT	COL 5 UNIT PRICE EXTENDED AMOUNT (IN FIGURES) (IN FIGURES) DOLLARS CIS DOLLARS ; CTS
112	64.13WC12 FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 12-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS	1.00	EACH	
113	<b>65.11BR</b> FURNISHING, DELIVERING AND INSTALLING BANDS, RODS, WASHERS, ETC., COMPLETE, FOR RESTRAINING JOINTS	3,000.00	LBS.	
114	65.21PS FURNISHING AND PLACING POLYETHYLENE SLEEVE Unit price bid shall not be less than: \$ 0.50	8,810.00	Ľ.	
115	<b>65.31FF</b> FURNISHING, DELIVERING AND PLACING FILTER FABRIC Unit price bid shall not be less than: \$0.10	202,000.00	S.F.	
116	65.71SG FURNISHING, DELIVERING AND PLACING SCREENED GRAVEL OR SCREENED BROKEN STONE BEDDING	470.00	C.Y.	
117	7.13 B MAINTENANCE OF SITE Unit price bid shall not be less than: \$7,000.00	24.00	MONTH	

COL. 6 EXTENDED AMOUNT (WEGURES) CTS DOLLARS CTS					
COL 5 UNIT PRICE (IN FIGURES) DOLLARS		т	Ŧ	¥	
COLLA COLLA	1.00 L.S.	00 EACH	00 EACH	00 BLOCK	00 V.F.
COL 3 EXCINEERS ESTIMATE OF QUANTITY 2,800.00	11	350.00	350.00	230.00	2,950.00
COL. 2 ITEM NUMBER and DESCRIPTION 7.36 PEDESTRIAN STEEL BARRICADES	7.88 AA RODENT INFESTATION SURVEY AND MONITORING Unit price bid shall not be less than: \$ 8,000.00	7.88 AB RODENT BAIT STATIONS Unit price bid shall not be less than: \$ 60.00	7.88 AC BAITING OF RODENT BAIT STATIONS Unit price bid shall not be less than: \$9.25	7.88 AD WATERBUG BAIT APPLICATIONS Unit price bid shall not be less than: \$ 65.00	70.11TT TIMBER PILES (TREATED) Unit price bid shall not be less than: \$ 12.50
COL 1 SEG. NO 118	119	120	121	122	123







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COL 1 SEQ. NO	COL. 2 TEM NUMBER and DESCRIPTION	COL 3 ENGINEERS FESTIMATE OF QUANTITIY	COL 4 UNIT	COLLS     COLLS       UNIT PRICE     EXTENDED AMOUNT       (IN FIGURES)     (IN FIGURES)       POLLARS     CTS
124	<b>70.31FN</b> FENCING Unit price bid shall not be less than: \$2.00	36,150.00	Ľ.	
125	70.51EO EXCAVATION OF BOULDERS IN OPEN CUT Unit price bid shall not be less than: \$75.00	90.00	C.Y.	
126	<b>70.61RE</b> ROCK EXCAVATION	90.00	C.Y.	
127	<b>70.71RR</b> RIPRAP	80.00	c.Y.	
128	70.71SB STONE BALLAST Unit price bid shall not be less than: \$ 15.00	55.00	c.Y.	
129	70.81CB CLEAN BACKFILL Unit price bid shall not be less than: \$ 15.00	4,500.00	c.Y.	

COL.5 COL.6 COL.6 UNIT PRICE EXTENDED AMOUNT (INFIGURES) (IN FIGURES) DOLLARS CTS DOLLARS CTS					
COL 4 Wilt S.F.	C.Y.	C.Y.	C.Y.	c. Y.	c.Y.
COL 3 ENGINEER'S ESTIMATE OFQUANTITY 7,100.00	20.00	40.00	1,920.00	355.00	950.00
COL 2 IFEM NUMBER and DESCRIPTION 70.91SW12 FURNISHING AND PLACING SHEETING AND BRACING IN TRENCH FOR WATER MAIN PIPE 12-INCH IN DIAMETER AND LESS	72.11HF HYDRAULIC FILL FOR ABANDONED SEWERS AND WATER MAINS	<b>73.11AB</b> ADDITIONAL BRICK MASONRY Unit price bid shall not be less than: \$ 62.50	73.21AC ADDITIONAL CONCRETE Unit price bid shall not be less than: \$ 62.50	<b>73.31AE0</b> ADDITIONAL EARTH EXCAVATION INCLUDING TEST PITS (ALL DEPTHS) Unit price bid shall not be less than: \$20.00	<b>73.41AG</b> ADDITIONAL SELECT GRANULAR BACKFILL Unit price bid shall not be less than: \$ 15.00
COL.1 SEQ.NO 130	131	132	133	134	135





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- 0	00L2	COL 3 ENGINEER'S ESTIMATE	<b>1</b> 0	COL.5 COL.6 COL.6 COL.6 (IN FRICE EXTENDED AMOUNT (IN FIGURES) (IN FIG
550. NO 136	73.51AS 73.51AS ADDITIONAL STEEL REINFORCING BARS Unit price bid shall not be less than: \$ 1.00	2,400.00	LBS.	
137	<b>73.61AT</b> ADDITIONAL STONE BALLAST <b>Unit price bid shall not be less than: \$ 15.00</b>	5.00	C.Y.	
138	76.11CR CONSTRUCTION REPORT	1.00	L.S.	
139	76.21MR MONITORING AND POST-CONSTRUCTION REPORT	1.00	L.S.	
140	8.01 C1 HANDLING, TRANSPORTING AND DISPOSAL OF NON-HAZARDOUS CONTAMINATED SOIL	5,000.00	TONS	
141	8.01 C2 SAMPLING AND TESTING OF CONTAMINATED/POTENTIALLY HAZARDOUS SOIL FOR DISPOSAL PURPOSES	15.00	SETS	

COL 5 COL 6 UNIT PRICE EXTENDED AMOUNT (IN FIGURES) (IN FIGURES) DOLLARS CIS DOLLARS : CIS					
COL 4- UNIF	TONS	L.S.	DAY	SETS	EACH
ENGINEERS ESTIMATE OF QUANTITY	250.00	1.00	30.00	5.00	3.00
COL 2 ITEM NUMBER and DESCRIPTION	8.01 H HANDLING, TRANSPORTING AND DISPOSAL OF HAZARDOUS SOIL	8.01 S HEALTH AND SAFETY	8.01 W1 REMOVAL, TREATMENT, AND DISCHARGE/DISPOSAL OF CONTAMINATED WATER	8.01 W2 SAMPLING AND TESTING OF CONTAMINATED WATER	8.08 VARIABLE MESSAGE BOARD
COL 1 SEQ.NO	142	143	144	145	146





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COL 1 SEQ.NO	COL. 2 ITEM NUMBER and DESCRIPTION	COL 3 ENGINEER'S ESTIMATE OF QUANTITY	COL. 4 BNIT	GOL 5 UNIT PRICE (IN FRURES). DOILARS	EXT ()	COL 6 EXTENDED AMOUNT (IN FIGURES) DOLLARS	CTS
147	9.04 HW Allowance For Anti-Freeze Additive in Concrete PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 50,000.00	1.00	F.S.	50,000 00	0	50,000 00	8
148	9.30 STORM WATER POLLUTION PREVENTION	1.00	Ľ.S.				
149	9.99 FLASHING ARROW BOARD	2.00	EACH				
150	BMP-7.09 LICENSED SURVEYOR	2.00	DAY				
151	BMP-7.307-A Grading	6,500.00	S. Г.				

COL 5 COL 6 UNIT PRICE EXTENDED AMOUNT (IN FIGURES) (IN FIGURES) DOLLARS CTS DOLLARS CTS					
COL4 UNIT EACH	HRS	DAY		ц	Ч
COL 3 ENGINEERS ESTIMATE OF QUANTITITY 4,500.00	420.00	110.00	4,700.00	2,300.00	1,260.00
COL 2 TEM NUNBER and DESCRIPTION BMP-7.401-J HERBACEOUS PLANTS (PLUGS)	BMP-7.404-A RESTORATION SPECIALIST	BMP-7.404-B EROSION AND SEDIMENT CONTROL LICENSED/CERTIFIED PROFESSIONAL	BMP-7.407-A EROSION CONTROL MAT	BMP-7.413 TEMPORARY GOOSE EXCLUSION FENCE	BMP-7.417 DEBRIS EXCLUSION FENCE
COL. 1 SEQ. NO 152	153	154	155	156	157





NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

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COL 1 158 159	COL. 2 ITEM NUMBER and DESCRIPTION BMP-7.418 CLEAN SAND FOR RESTORED AREA CLEAN SAND FOR RESTORED AREA	COL 3 ENCINEERS ESTIMATE OF QUANTITY 20.00 35.00		COL 5 COL 6 UNIT PRICE EXTENDED AMOUNT (IN FIGURES ) (IN FIGURES ) DOLLARS CIS DOLLARS CIS DOLLARS CIS
160	BMP-7.504A SILT FENCE BMP-7.509-A STABILIZED CONSTRUCTION ENTRANCE	1.00	EACH	
162	BMP-7.510 PORTABLE SEDIMENT TANK	1.00	EACH	
163	BMP-7.516 TURBIDITY CURTAIN	70.00	Ë.	

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

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COL 5 COL 8 UNIT PRICE EXTENDED AMOUNT (IN FIGURES) - (IN FIGURES) DOLLARS CTS DOLLARS CTS					
COL. 4 UNIT	EACH	EACH	EACH	EACH	EACH
L COL.3 ENGINEERS ESTIMATE COLONNITIN	1.00	1.00	1.00	1.00	1.00
Contraction Protocol Contractor	SL-20.02.02 FURNISH AND INSTALL STANDARD TYPE ANCHOR BOLT FOUNDATION, AS PER DRAWING E-3788	<b>SL-21.03.02</b> FURNISH AND INSTALL TYPE 2S, 4S, 6S, 8S OR 12S LAMPPOST WITH TRANSFORMER BASE	SL-21.09.09 REMOVE F.S. SPUN ALUMINUM, #10, ETC LAMPPOST, WITH ARMS(S), LUMINAIRE(S), ETC., WITH ALL ATTACHMENTS, IF ANY. REMOVE PORTION OF FOUNDATION. RESTORE TO SURROUNDING CONDITIONS.	SL-22.03.17 FURNISH AND INSTALL TYPE COBRA HEAD LUMINAIRE WITH 100 WATT HPS WITH SOLID STATE BALLAST AND STRAY VOLTAGE INDICATOR FOR 55 VOLT LAMP.	SL-26.01.01 FURNISH AND INSTALL, OR FURNISH AND REPLACE A PLUG-IN SOLID STATE PHOTOELECTRIC CONTROL
COL.1 SEQ.NO	<u>79</u>	165	166	167	168





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COL 1 SEO. NO	COL 2 THEN NUMBER and DESCRIPTION	COL 3 ENGINEERS 'ESTIMATE OF QUANTITY	COL 4 COL 5 UNIT PRICE (IN FIGURES UNIT DOLLARS	E COL 6 EXTENDED AMOUNT S) (IN FIGURES) CTS DOLLARS CTS
169	SL-29.01.01 FURNISH, INST. TEMPORARY LI 5005A	1.00	EACH	
170	SL-33.02.01 REMOVE TEMPORARY OR PERMANENT OVERHEAD ELECTRICAL CONDUCTORS	100.00	ц. Ц	
171	SL-33.02.02 FURNISH AND INSTALL NO. 6 AWG XLP COPPER CABLE OR EQUAL FOR OVERHEAD INSTALLATION	200.00	Ľ.	
172	UTL-6.01.1 GAS MAIN CROSSING SEWER UP TO 24" IN DIAMETER (S6.01) Unit price bid shall not be less than: \$ 1,040.00	15.00	EACH	
173	UTL-6.01.2 GAS MAIN CROSSING SEWER 30" IN DIAMETER (S6.01) Unit price bid shall not be less than: \$ 1,770.00	2.00	EACH	
174	UTL-6.01.3 GAS MAIN CROSSING SEWER 36" THRU 42" IN DIAMETER (S6.01) Unit price bid shall not be less than: \$2,040.00	1.00	EACH	

## **BID SCHEDULE FORM**

COL.5 COL.6 UNIT PRICE EXTENDED AMOUNT (IN FIGURES) (IN FIGURES) DOLLARS 1: CTS DOLLARS CTS					
COL4 UNIT	EACH	EACH	L.	ц	EACH
COL. 3 ENGINEER'S ESTIMATE OF QUANTITY 180.00	20.00	15.00	4,000.00	100.00	10.00
S off the last	(S6.07) Unit price bid shall not be less than: \$465.00 UTL-6.01.9 GAS MAIN CROSSING WATER MAIN UP TO 20" IN DIAMETER (S6.01) Unit price bid shall not be less than: \$485.00	UTL-6.02 EXTRA EXCAVATION FOR THE INSTALLATION OF CATCH BASIN SEWER DRAIN PIPES WITH GAS INTERFERENCES (S6.02) Unit price bid shall not be less than: \$715.00	UTL-6.03 REMOVAL OF ABANDONED GAS FACILITIES. ALL SIZES. (S6.03) Unit price bid shall not be less than: \$ 15.00	UTL-6.03.1A REMOVAL OF ABANDONED GAS FACILITIES WITH POSSIBLE COAL TAR WRAP. ALL SIZES. (S6.03) Unit price bid shall not be less than: \$25.00	UTL-6.04 ADJUST HARDWARE TO GRADE USING SPACER RINGS/ADAPTORS. (STREET REPAVING.) (S6.04) Unit price bid shall not be less than: \$35.00
col. 1 sea no 175	176	177	178	179	180

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### DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN BID SCHEDULE FORM

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION

COL 1 SEQ. NO	COL. 2 DEM NUMBER and DESCRIPTION	COL 3 ENCINEER'S ESTIMATE OF QUANTITIY	COL 4	COL 5 UNIT PRICE (IN-FIGURES) BOLLARS CTS	COL 6 EXTENDED AMOUNT (IN FIGURES) DOLLARS CTS
181	UTL-6.05 ADJUST HARDWARE TO GRADE BY RESETTING. (ROAD RECONSTRUCTION.) (S6.05) Unit price bid shall not be less than: \$ 65.00	10.00	EACH		
182	UTL-6.06 SPECIAL CARE EXCAVATION AND BACKFILLING (S6.06) Unit price bid shall not be less than: \$ 180.00	1,000.00	c.Y.		
183	UTL-6.07 TEST PITS FOR GAS FACILITIES (S6.07) Unit price bid shall not be less than: \$100.00	100.00	C.Y.		
184	UTL-GCS-2WS GAS INTERFERENCES AND ACCOMMODATIONS PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 100,000.00	1.00	ц. С	100,000 00	100,000 00

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

PROJECT ID: SEQ200463 CONTRACT PIN: 8502014SE0060C

### **BID SCHEDULE FORM**

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### SUB-TOTAL: \$\_

185	185 6.39 A		U -	
	MOBILIZATION	2	ġ	 
	BID PRICE OF MOBILIZATION SHALL NOT EXCEED 4% OF THE ABOVE SUB-TOTAL PRICE.			 
				 -

### TOTAL BID PRICE: \$

PLEASE BE SURE A LEGIBLE BID IS ENTERED FOR EACH ITEM. THE BIDDER SHALL INSERT THE TOTAL BID PRICE IN THE BID FORM ON PAGE C-4 OF THIS BID BOOKLET.



### BID FORM THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

BID FOR FURNISHING ALL LABOR AND MATERIAL NECESSARY AND REQUIRED FOR:

PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES.IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 5TH AVENUE BETWEEN 121ST STREET AND COLLEGE PLACE; 6TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 7TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 8TH AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9TH AVENUE BETWEEN 118TH STREET AND 120TH STREET; 118TH STREET BETWEEN 9TH AVENUE AND 12TH AVENUE; 119TH STREET BETWEEN 12TH AVENUE AND POPPENHUSEN AVENUE; 119TH STREET BETWEEN 7TH AVENUE AND 9TH AVENUE; 120TH STREET BETWEEN 9TH AVENUE AND 9TH ROAD BETWEEN 120TH STREET AND 121ST STREET; 121ST STREET BETWEEN 9TH ROAD AND 12TH AVENUE

INCLUDING WATER MAIN AND STREET LIGHTING WORK

Together With All Work Incidental Thereto BOROUGH OF QUEENS

Name of Bidder: Inter Contracting Corp. Date of Bid Opening: October 7, 2015 Bidder is: (Check one, whichever applies) Individual () Corporation ( $\boldsymbol{\kappa}$ ) Partnership ( ) Place of Business of Bidder: 274 White Plains Rd., Suite b, Casechester NY 10709 Bidder's Telephone Number: 914.337.1350 Fax Number: 914.337.1450 Bidder's E-Mail Address: <u>MMUEino @inter contracting corp. com</u> Residence of Bidder (If Individual): If Bidder is a Partnership, fill in the following blanks: **Residence** of Partners Names of Partners If Bidder is a Corporation, fill in the following blanks: New Organized under the laws of the State of Michael Mitico Name and Home Address of President: 140 West 86th Street #150, New York, 10024 Name and Home Address of Secretary: Vorio Lavalies 50 Nelson Rd., Scarsdolc Name and Home Address of Treasurer: Michael Mitino Same as

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION C-1

BID BOOKLET DECEMBER 2013

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The above-named Bidder affirms and declares:

1. The said bidder is of lawful age and the only one interested in this bid; and no person, firm or corporation other than hereinbefore named has any interest in this bid, or in the Contract proposed to be taken.

2. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief: (1) the prices in this bid have been arrived at independently without collusion, consultation, communication or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor; (2) unless otherwise required by law, the prices quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and (3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

3. No councilman or other officer or employee or person whose salary is payable in whole or in part from the City Treasury is directly or indirectly interested in this bid, or in the supplies, materials, equipment, work or labor to which it relates, or in any of the profits thereof.

4. The bidder is not in arrears to the City of New York upon debt or contract or taxes, and is not a defaulter, as surety or otherwise, upon any obligation of the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York or State of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except as set forth on the Affirmation included as page C-6 of this Bid Booklet.

The bidder hereby affirms that it has paid all applicable City income, excise and other taxes for all years it has conducted business activities in New York City.

5. The bidder, as an individual, or as a member, partner, director or officer of the bidder, if the same be a firm, partnership or corporation, executes this document expressly warranting and representing that should this bid be accepted by the City and the Contract awarded to him, he and his subcontractors engaged in the performance: (1) will comply with the provisions of Section 6-108 of the Administrative Code of the City of New York and the non-discrimination provisions of Section 220a of the New York State Labor Law, as more expressly and in detail set forth in the Agreement; (2) will comply with Section 6-109 of the Administrative Code of the City of New York in relation to minimum wages and other stipulations as more expressly and in detail set forth in the Agreement; (3) have complied with the provisions of the aforesaid laws since their respective effective dates, and (4) will post notices to be furnished by the City, setting forth the requirements of the aforesaid laws in prominent and conspicuous places in each and every plant, factory, building and structure where employees engaged in the performance of the Contract can readily view it, and will continue to keep such notices posted until the supplies, materials and equipment, or work labor and services required to be furnished or rendered by the Contractor have been finally accepted by the City. In the event of any breach or violation of the foregoing, the Contractor may be subject to damages, liquidated or otherwise, cancellation of the Contract and suspension as a bidder for a period of three years. (The words, "the bidder", "he", "his", and "him" where used herein shall mean the individual bidder, firm, partnership or corporation executing, ે. હેલ the bid).

S . S. S.

### 6. Compliance Report

The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, (1) represents that his attention has been specifically drawn to Executive Order No. 50, dated, April 25, 1980, on Equal Employment Compliance of the contract, and (2) warrants that he will comply with the provisions of Executive Order No. 50. The Employment Report must be submitted as part of the bid.

The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, executes this document expressly warranting that he will comply with: (1) the provision of the contract on providing records, Chapter 8.

7. By submission of this bid, the bidder certifies that it now has and will continue to have the financial capability to fully perform the work required for this contract. Any award of this contract will be made in reliance upon such certification. Upon request therefor, the bidder will submit written verification of such financial capability in a form that is acceptable to the department.

8. In accordance with Section 165 of the State Finance Law, the bidder agrees that tropical hardwoods, as defined in Section 165 of the State Finance Law, shall not be utilized in the performance of this Contract, except as the same are permitted by the foregoing provision of law.

9. The bidder has visited and examined the site of the work and has carefully examined the Contract in the form approved by the Corporation Counsel, and will execute the Contract and perform all its items, covenants and conditions, and will provide, furnish and deliver all the work, materials, supplies, tools and appliances for all labor and materials necessary or required for the hereinafter named work, all in strict conformity with the Contract, for the prices set forth in the Bid Schedule:

10. <u>M/WBE UTILIZATION PLAN</u>: By signing its bid, the bidder agrees to the Vendor Certification and Required Affirmations set forth below, unless a full waiver of the Participation Goals is granted. The Vendor Certification and Required Affirmations will be deemed to satisfy the requirement to complete Section V of Part II of Schedule B: M/WBE Utilization Plan.

### Section V: Vendor Certification and Required Affirmations:

I hereby:

- acknowledge my understanding of the M/WBE participation requirements as set forth in this Contract and the pertinent provisions of Section 6-129 of the Administrative Code of the City of New York and the rules promulgated thereunder;
- 2) affirm that the information supplied in support of the M/WBE Utilization Plan is true and correct;
- 3) agree, if awarded this Contract, to comply with the M/WBE participation requirements of this Contract, the pertinent provisions of Section 6-129, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract;
- 4) agree and affirm that it is a material term of this Contract that the Vendor will award the total dollar value of the M/WBE Participation Goals to certified MBEs and/or WBEs, unless a full waiver is obtained or such goals are modified by the Agency; and
- 5) agree and affirm, if awarded this Contract, to make all reasonable, good faith efforts to meet the M/WBE Participation Goals, or If a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms.

### (NO TEXT ON THIS PAGE)

### **BID FORM** PROJECT ID: SEQ200463 In the space provided below, the Bidder shall indicate its Total Bid Price in TOTAL BID PRICE: figures. Such Total Bid Price is set forth on the final page of the Bid Schedule. \$ 15,236,918.40 BB 10/7/15 TOTAL BID PRICE: (a/k/a BID PROPOSAL) **BIDDER'S SIGNATURE AND AFFIDAVIT** Inter Contracting Corp. Bidder: By: (Signature of Partner or corporate officer) Secretary of Corporate Bidder Attest: (Corporate Seal) Affidavit on the following page should be subscribed and sworn to before a Notary Public C-4 CITY OF NEW YORK BID BOOKLET DEPARTMENT OF DESIGN AND CONSTRUCTION DECEMBER 2013

### BID FORM (TO BE NOTARIZED)

### AFFIDAVIT WHERE BIDDER IS AN INDIVIDUAL

STATE OF NEW	YORK	COUNTY OF	
01/11L UI 19L Y			

\_\_\_\_ss: being duly sworn says:

(Signature of the person who signed the Bid)

I am the person described in and who executed the foregoing bid, and the several matters therein stated are in all respects true.

Subscribed and sworn to before me this

\_\_\_\_\_ day of \_\_\_\_\_,

Notary Public

### AFFIDAVIT WHERE BIDDER IS A PARTNERSHIP

STATE OF NEW YORK, COUNTY OF

 I am a member of
 being duly sworn says:

 I am a member of
 the firm described in and which executed the foregoing

 bid. I subscribed the name of the firm thereto on behalf of the firm, and the several matters therein stated are in all respects true.

(Signature of Partner who signed the Bid)

Subscribed and sworn to before me this day of \_\_\_\_\_\_

Notary Public

### AFFIDAVIT WHERE BIDDER IS A CORPORATION

(Signature of Partner who signed the Bid)

Subscribed and sworn to before me this day of October , 2015

Notary Public

**Qualified** in **Commission Ex** 

TTY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION C-5

BID BOOKLET DECEMBER 2013

### AFFIRMATION

### PROJECT ID: SEQ200463

The undersigned bidder affirms and declares that said bidder is not in arrears to the City of New York upon debt, contract or taxes and is not a defaulter, as surety or otherwise, upon obligation to the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except:

(If none, the bidder shall insert the word "None" in the space provided above.)

Full Name of Bidder: <u>Inter Contracting Corr</u> Address: <u>774</u> White Plains Rowd, Suite 6 City <u>Ease claster</u> State NY Zip Code 10707

### CHECK ONE BOX AND INCLUDE APPROPRIATE NUMBER:

<u> _</u> /	A -	Individual or Sole Proprietorship* SOCIAL SECURITY NUMBER
	к.	
/	В -	Partnership, Joint Venture or other unincorporated organization EMPLOYER IDENTIFICATION NUMBER
-		
X	C-	Corporation EMPLOYER IDENTIFICATION NUMBER
		20-4575508
Bv:	Ta	the second secon
<i>D</i> y	Sign	ature
Title:_	Pres	ident

If a corporation, place seal here

This affirmation must be signed by an officer or duly authorized representative.

\*Under the Federal Privacy Act the furnishing of Social Security Numbers by bidders on City contracts is voluntary. Failure to provide a Social Security Number will not result in a bidder's disqualification. Social Security Numbers will be used to identify bidders, proposers or vendors to ensure their compliance with laws, to assist the City in enforcement of laws, as well as to provide the City a means of identifying of businesses which seek City contracts.

Y OF NEW YORK T DEPARTMENT OF DESIGN AND CONSTRUCTION 

### **BID FORM** THE CITY OF NEW YORK **DEPARTMENT OF DESIGN AND CONSTRUCTION** DIVISION OF INFRASTRUCTURE

### **BID FOR FURNISHING ALL LABOR AND** MATERIAL NECESSARY AND REQUIRED FOR:

### **PROJECT ID: SEQ200463**

### FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 5TH AVENUE BETWEEN 121ST STREET AND COLLEGE PLACE; 6TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 7TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 8TH AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9TH AVENUE BETWEEN 118TH STREET AND 120TH STREET; 118TH STREET BETWEEN 9TH AVENUE AND 12TH AVENUE; 119TH STREET BETWEEN 12TH AVENUE AND POPPENHUSEN AVENUE; 119TH STREET BETWEEN 7TH AVENUE AND 9TH AVENUE; 120TH STREET BETWEEN 9TH AVENUE AND 9TH ROAD; 9TH ROAD BETWEEN 120TH STREET AND 121ST STREET; 121ST STREET BETWEEN 9TH ROAD AND 12TH AVENUE

### INCLUDING WATER MAIN AND STREET LIGHTING WORK

**Together With All Work Incidental Thereto BOROUGH OF QUEENS** 

Name	of Bidder:				
Date o	f Bid Opening:			<u> </u>	
Bidder	is: (Check one, whichever applies)	Individual (	)	Partnership () Corpor	ation ( )
Place of	of Business of Bidder:				
Bidder	's Telephone Number:	]	Fax	Number:	
Bidder	's E-Mail Address:				
Reside	nce of Bidder (If Individual):		-		
If Bide	ler is a Partnership, fill in the following	g blanks:			
	Names of Partners			Residence of Partners	
		<u> </u>			
		<u> </u>	_		
If Bide	der is a Corporation, fill in the followin	ig blanks:			
Organ	ized under the laws of the State of				<u> </u>
Name	and Home Address of President:	·			
			_		<u></u>
Name	and Home Address of Secretary:			· · · · · · · · · · · · · · · · · · ·	
			<u>.</u>		
Name	and Home Address of Treasurer:				
	F NEW YORK	C-1			BID BOOKLE

The above-named Bidder affirms and declares:

1. The said bidder is of lawful age and the only one interested in this bid; and no person, firm or corporation other than hereinbefore named has any interest in this bid, or in the Contract proposed to be taken.

2. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief: (1) the prices in this bid have been arrived at independently without collusion, consultation, communication or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor; (2) unless otherwise required by law, the prices quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and (3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

3. No councilman or other officer or employee or person whose salary is payable in whole or in part from the City Treasury is directly or indirectly interested in this bid, or in the supplies, materials, equipment, work or labor to which it relates, or in any of the profits thereof.

4. The bidder is not in arrears to the City of New York upon debt or contract or taxes, and is not a defaulter, as surety or otherwise, upon any obligation of the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York or State of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except as set forth on the Affirmation included as page C-6 of this Bid Booklet.

The bidder hereby affirms that it has paid all applicable City income, excise and other taxes for all years it has conducted business activities in New York City.

5. The bidder, as an individual, or as a member, partner, director or officer of the bidder, if the same be a firm, partnership or corporation, executes this document expressly warranting and representing that should this bid be accepted by the City and the Contract awarded to him, he and his subcontractors engaged in the performance: (1) will comply with the provisions of Section 6-108 of the Administrative Code of the City of New York and the non-discrimination provisions of Section 220a of the New York State Labor Law, as more expressly and in detail set forth in the Agreement; (2) will comply with Section 6-109 of the Administrative Code of the City of New York in relation to minimum wages and other stipulations as more expressly and in detail set forth in the Agreement; (3) have complied with the provisions of the aforesaid laws since their respective effective dates, and (4) will post notices to be furnished by the City, setting forth the requirements of the aforesaid laws in prominent and conspicuous places in each and every plant, factory, building and structure where employees engaged in the performance of the Contract can readily view it, and will continue to keep such notices posted until the supplies, materials and equipment, or work labor and services required to be furnished or rendered by the Contractor have been finally accepted by the City. In the event of any breach or violation of the foregoing, the Contractor may be subject to damages, liquidated or otherwise, cancellation of the Contract and suspension as a bidder for a period of three years. (The words, "the bidder", "he", "his", and "him" where used herein shall mean the individual bidder, firm, partnership or corporation executing the bid).



### 6. Compliance Report

The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, (1) represents that his attention has been specifically drawn to Executive Order No. 50, dated, April 25, 1980, on Equal Employment Compliance of the contract, and (2) warrants that he will comply with the provisions of Executive Order No. 50. The Employment Report must be submitted as part of the bid.

The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, executes this document expressly warranting that he will comply with: (1) the provision of the contract on providing records, Chapter 8.

7. By submission of this bid, the bidder certifies that it now has and will continue to have the financial capability to fully perform the work required for this contract. Any award of this contract will be made in reliance upon such certification. Upon request therefor, the bidder will submit written verification of such financial capability in a form that is acceptable to the department.

8. In accordance with Section 165 of the State Finance Law, the bidder agrees that tropical hardwoods, as defined in Section 165 of the State Finance Law, shall not be utilized in the performance of this Contract, except as the same are permitted by the foregoing provision of law.

9. The bidder has visited and examined the site of the work and has carefully examined the Contract in the form approved by the Corporation Counsel, and will execute the Contract and perform all its items, covenants and conditions, and will provide, furnish and deliver all the work, materials, supplies, tools and appliances for all labor and materials necessary or required for the hereinafter named work, all in strict conformity with the Contract, for the prices set forth in the Bid Schedule:

10. <u>M/WBE UTILIZATION PLAN</u>: By signing its bid, the bidder agrees to the Vendor Certification and Required Affirmations set forth below, unless a full waiver of the Participation Goals is granted. The Vendor Certification and Required Affirmations will be deemed to satisfy the requirement to complete Section V of Part II of Schedule B: M/WBE Utilization Plan.

### Section V: Vendor Certification and Required Affirmations:

I hereby:

- acknowledge my understanding of the M/WBE participation requirements as set forth in this Contract and the pertinent provisions of Section 6-129 of the Administrative Code of the City of New York and the rules promulgated thereunder;
- 2) affirm that the information supplied in support of the M/WBE Utilization Plan is true and correct;
- 3) agree, if awarded this Contract, to comply with the M/WBE participation requirements of this Contract, the pertinent provisions of Section 6-129, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract;
- 4) agree and affirm that it is a material term of this Contract that the Vendor will award the total dollar value of the M/WBE Participation Goals to certified MBEs and/or WBEs, unless a full waiver is obtained or such goals are modified by the Agency; and
- 5) agree and affirm, if awarded this Contract, to make all reasonable, good faith efforts to meet the M/WBE Participation Goals, or If a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms.

C-3

### (NO TEXT ON THIS PAGE)

### PROJECT ID: SEQ200463

<u>TOTAL BID PRICE</u>: In the space provided below, the Bidder shall indicate its Total Bid Price in figures. Such Total Bid Price is set forth on the final page of the Bid Schedule.

TOTAL BID (a/k/a BID PI		<u>\$</u>		
	BIDDER'S SI	GNATURE AND AFFIDA	VIT	
idder:				
y:	(Si an atum	e of Partner or corporate off	icer)	<u> </u>
	(Signature	e of Partier of corporate of		
		<u> </u>	nata Diddon	
ttest: Corporate Seal)		Secretary of Corpo	brate Bidder	
•				
			1 1.1	
	Affidavit on the and swo	following page should be su rn to before a Notary Public	bscribed	
TTY OF NEW YORK		C-4		ID BOOK

### **BID FORM** (TO BE NOTARIZED)

### AFFIDAVIT WHERE BIDDER IS AN INDIVIDUAL

STATE OF NEW YORK, COUNTY OF	SS:
	being duly sworn says: cuted the foregoing bid, and the several matters therein stated are in all
Subscribed and sworn to before me this day of,	(Signature of the person who signed the Bid)
Notary Public	
AFFIDAVI	T WHERE BIDDER IS A PARTNERSHIP
STATE OF NEW YORK, COUNTY OF	SS:
I am a member of bid. I subscribed the name of the firm ther respects true.	
	(Signature of Partner who signed the Bid)
Subscribed and sworn to before me this day of,	- ,
Notary Public	
AFFIDAVIT	WHERE BIDDER IS A CORPORATION
STATE OF NEW YORK, COUNTY OF	ss: being duly sworn says:
I am the	of the above named corporation whose name is subscribed to and which
executed the foregoing bid. I reside at I have knowledge of the several matters the	rain stated and the second 11
There are wredge of the several matters the	tem stated, and they are in all respects true.
Subscribed and sworn to before me this	(Signature of Partner who signed the Bid)
day of,,	
Notary Public	
CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION	C-5 BID BOOKLET N DECEMBER 2013

### AFFIRMATION

### PROJECT ID: SEQ200463

The undersigned bidder affirms and declares that said bidder is not in arrears to the City of New York upon debt, contract or taxes and is not a defaulter, as surety or otherwise, upon obligation to the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except:

(If none, the	bidder shall insert the word "None" in the spac	e provided above.)	
Full Name of Address:	f Bidder:State	Zin Code	
	E BOX AND INCLUDE APPROPRIATE NUM		
CHECK ON	E BOX AND INCLUDE AT I KOT MITTE NOT		
// A-	Individual or Sole Proprietorship* SOCIAL SECURITY NUMBER		
B -	Partnership, Joint Venture or other unincorp EMPLOYER IDENTIFICATION NUMBE	porated organization R	
C-	Corporation EMPLOYER IDENTIFICATION NUMBE	R	
By:			
Si	gnature		
Title:			

If a corporation, place seal here

This affirmation must be signed by an officer or duly authorized representative.

\*Under the Federal Privacy Act the furnishing of Social Security Numbers by bidders on City contracts is voluntary. Failure to provide a Social Security Number will not result in a bidder's disqualification. Social Security Numbers will be used to identify bidders, proposers or vendors to ensure their compliance with laws, to assist the City in enforcement of laws, as well as to provide the City a means of identifying of businesses which seek City contracts.

### (NO TEXT ON THIS PAGE)

### BID BOND 1 FORM OF BID BOND

### KNOW ALL MEN BY THESE PRESENTS. That we, \_

Inter Contracting Corp.

274 White Plains Road, Suite 6, Eastchester, NY 10709

hereinafter referred to as the "Principal", and

Western Surety Company

P. O. Box 5077, Sioux Falls, SD 57117-5077

hereinafter referred to as the "Surety" are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "CITY", or to its successors and assigns in the penal sum of

Ten Percent of Amount Bid

(\$\_ 10%

), Dollars lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

Whereas, the Principal is about to submit (or has submitted) to the City the accompanying proposal, hereby made a part hereof, to enter into a contract in writing for Project ID: SEQ200463. Replacement and

Extension of Storm and Sanitary Sewers and Appurtenances in College Place Between 8th Avenue and

Outfall, etc. Including Water Main and Street Lighting Work. Borough of Queens, City of New York.

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said Proposal without the consent of the City for a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's Proposal by the City, if the Principal shall:

Within ten (10) days after notification by the City, execute in quadruplicate and deliver to the City (a) all the executed counterparts of the Contract in the form set forth in the Contract Documents, in accordance with the proposal as accepted, and

Furnish a performance bond and separate payment bond, as may be required by the City, for the **(b)** faithful performance and proper fulfullment of such Contract, which bonds shall be satisfactory in all respects to the City and shall be executed by good and sufficient sureties, and

(c) In all respects perform the agreement created by the acceptance of said Proposal as provided in the Information for Bidders, bound herewith and made a part hereof, or if the City shall reject the aforesaid Proposal, then this obligation shall be null and void; otherwise to remain in full force and effect.

### BID BOND 2

In the event that the Proposal of the Principal shall be accepted and the Contract be awarded to him the Surety hereunder agrees subject only to the payment by the Principal of the premium therefore, if requested by the City, to write the aforementioned performance and payment bonds in the form set forth in the Contract Documents.

It is expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall in no event exceed the penal amount of this obligation as herein stated.

There shall be no liability under this bond if, in the event of the acceptance of the Principal's Proposal by the City, either a performance bond or payment bond, or both, shall not be required by the City on or before the 30th day after the date on which the City signs the Contract.

The surety, for the value received, hereby stipulates and agrees that the obligations of the Surety and its bond shall in no way be impaired or affected by any postponements of the date upon which the City will receive or open bids, or by any extensions of time within which the City may accept the Principal's Proposal, or by any waiver by the City of any of the requirements of the Information for Bidders, and the Surety hereby waives notice of any such postponements, extensions, or waivers.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers the <u>14th</u> day of <u>September</u>, <u>2015</u>.

(L.S.) Inter Contracting Corp. (Seal) Principa By: Western Suré Company (Seal) Surety Attorney-in-Fact Susah Lupski BID BOOKLET CITY OF NEW YORK December 2013 DDC

### ACKNOWLEDGMENT OF SURETY COMPANY

STATE OF	NEW YORK	3	
COUNTY OF	NASSAU	>	SS

	On this Septer	mber 1	14, 2015		befa	ore me p	erson	ally came	Sus	an Lup	ski			
to	me known,	who,						depose						
	Nassau County	• • • • • • • • • •	••••	, Si	ate of .	New Yo	rk	ti	hat he	/she is	s the A	ttomey-i	n-Fact of	the
····	Western Surety Cor	npany			*****			the corpo	ration	descr	ibed ir	n which e	xecuted t	he

111

**Notary Public** 

NY acknowledgement

DESIREE CARDLIN Notary Public, State of New York No. 01CA6150043 Qualified in Suffolk County Commission Expires 07/24/2018

### Western Surety Company

### POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

### Camille Maitland, Gerard S Macholz, Robert T Pearson, Thomas Bean, Susan Lupski, Rita Sagistano, George O Brewster, Colette R Chisholm, Lee Ferrucci, Mia Woo-Warren, Individually

of Uniondale, NY, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

### - In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 24th day of July, 2015.

State of South Dakota County of Minnehaha } ss



Paul T. Bruflat, Vice President

On this 24th day of July, 2015, before me personally came Paul T. Bruflat, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires

February 12, 2021



S. Eid

S. Eich, Notary Public

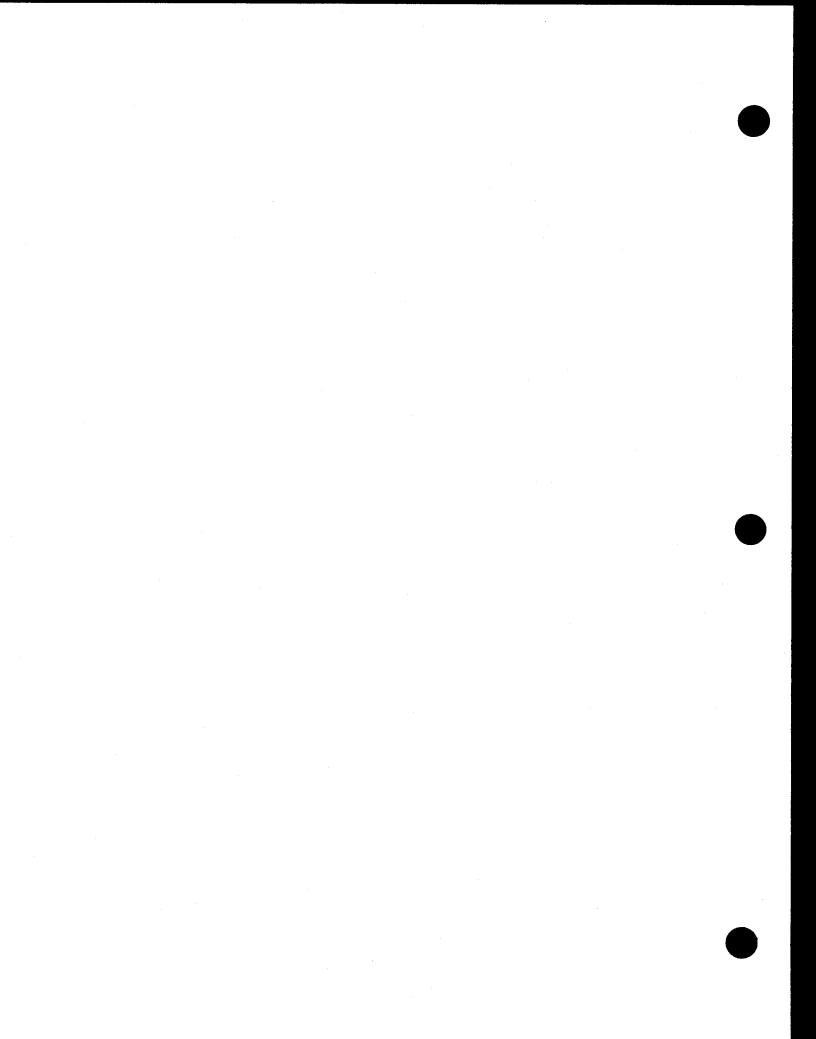
### CERTIFICATE

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this \_\_\_\_\_\_ day of \_\_\_\_\_\_ day of \_\_\_\_\_\_.



### WESTERN SURETY COMPANY

Relson L. Nelson, Assistant Secretary



### WESTERN SURETY COMPANY Sioux Falls, South Dakota Statement of Net Admitted Assets and Liabilities December 31, 2014

### **ASSETS**

Bonds	S	1,824,951,414
Stocks		23,975,582
Cash, cash equ	ivalents, and short-term investments	51,536,164
Investment inc	ome due and accrued	22,267,675
Premiums and	considerations	41,696,249
Amounts recov	erable from reinsurers	(11,221,508)
Federal and for	eign income taxes recoverable	7,401,709
Net deferred ta	x asset	20,261,713
Receivable from	n parent, subsidiaries, and affiliates	17,380,167
Other assets		3,799
Total Assets	\$	1,998,252,964
	LIABILITIES AND SURPLUS	

Losses		· S	302,997,505
Reinsurance payable on paid losses and loss a	djustment expenses		(15,267,712)
Loss adjustment expense			64,134,995
Contingent and other commissions payable			6,099,306
Unearned premiums			259,011,845
Advance premiums			5,321,610
Payable to parent, subsidiaries and affiliates			107,843
Other liabilities			7,821,458
Total Liabilities		S	630,226,850
Surplus Account:			
Capital paid up	\$ 4,000,000		
Gross paid in and contributed surplus	280,071,837		
Unassigned funds	1.083.954.277		
Complete an end of the state in			

Surplus as regards policyholders	<u>\$ 1,368,026,114</u>
Total Liabilities and Capital	\$ 1,998,252,964

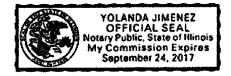
I, Peter Locy. Assistant Vice President of Western Surety Company hereby certify that the above is an accurate representation of the financial statement of the Company dated December 31, 2014, as filed with the various Insurance Departments and is a true and correct statement of the condition of Western Surety Company as of that date.

Western Surety Company

Assistant Vice President By

Subscribed and sworn to me this 19th day of March, 2015.

My commission expires:





#### BID BOND 1 FORM OF BID BOND

KNOW ALL MEN BY THESE PRESENTS. That we,

hereinafter referred to as the "Principal", and \_\_\_\_\_

hereinafter referred to as the "Surety" are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "CITY", or to its successors and assigns in the penal sum of \_\_\_\_\_\_

(\$\_\_\_\_\_), Dollars lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

Whereas, the Principal is about to submit (or has submitted) to the City the accompanying proposal, hereby made a part hereof, to enter into a contract in writing for \_\_\_\_\_\_

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said Proposal without the consent of the City for a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's Proposal by the City, if the Principal shall:

(a) Within ten (10) days after notification by the City, execute in quadruplicate and deliver to the City all the executed counterparts of the Contract in the form set forth in the Contract Documents, in accordance with the proposal as accepted, and

(b) Furnish a performance bond and separate payment bond, as may be required by the City, for the faithful performance and proper fulfillment of such Contract, which bonds shall be satisfactory in all respects to the City and shall be executed by good and sufficient sureties, and

(c) In all respects perform the agreement created by the acceptance of said Proposal as provided in the Information for Bidders, bound herewith and made a part hereof, or if the City shall reject the aforesaid Proposal, then this obligation shall be null and void; otherwise to remain in full force and effect.

#### BID BOND 2

In the event that the Proposal of the Principal shall be accepted and the Contract be awarded to him the Surety hereunder agrees subject only to the payment by the Principal of the premium therefore, if requested by the City, to write the aforementioned performance and payment bonds in the form set forth in the Contract Documents.

It is expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall in no event exceed the penal amount of this obligation as herein stated.

There shall be no liability under this bond if, in the event of the acceptance of the Principal's Proposal by the City, either a performance bond or payment bond, or both, shall not be required by the City on or before the 30th day after the date on which the City signs the Contract.

The surety, for the value received, hereby stipulates and agrees that the obligations of the Surety and its bond shall in no way be impaired or affected by any postponements of the date upon which the City will receive or open bids, or by any extensions of the time within which the City may accept the Principal's Proposal, or by any waiver by the City of any of the requirements of the Information for Bidders, and the Surety hereby waives notice of any such postponements, extensions, or waivers.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers the \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_.

(Seal)

	Principal	(1
Ву:		
	. · · ·	

(Seal)

By:

# BID BOND 3

# ACKNOWLEDGMENT OF PRINCIPAL, IF A CORPORATION

State of	State of	County of	ss:
that he resides at	On this	day of	,, before me personally came
that he resides at			to me known, who, being by me duly sworn, did depose and say
the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of the directors of said corporation, and that he signed his name thereto by like order. <u>Notary Public</u> <u>ACKNOWLEDGMENT OF PRINCIPAL, IF A PARTNERSHIP</u> State of	that he res	ides at	
the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of the directors of said corporation, and that he signed his name thereto by like order. <u>Notary Public</u> <u>ACKNOWLEDGMENT OF PRINCIPAL, IF A PARTNERSHIP</u> State of	that he is t	he	of
ACKNOWLEDGMENT OF PRINCIPAL. IF A PARTNERSHIP         State of	the corporation	ation described in and which e n; that one of the seals affixed	to said instrument is such seal; that it was so affixed by order of
ACKNOWLEDGMENT OF PRINCIPAL. IF A PARTNERSHIP         State of			
State of       County of			Notary Public
firm of			
firm of	State of	County of	SS:
firm of	On this	day of	,, before me personally appeared
firm of			to me known and known to me to be one of the members of the
firm.	firm of		described in and who executed the foregoing
ACKNOWLEDGMENT OF PRINCIPAL, IF AN INDIVIDUAL         State ofCounty ofss:         On thisday of,, before me personally appeared, before me person described in and who executed the foregoing instrument and acknowledged that he executed the same.		, and he acknowledged to me	that he executed the same as and for the act and deed of said
ACKNOWLEDGMENT OF PRINCIPAL, IF AN INDIVIDUAL         State ofCounty ofss:         On thisday of,, before me personally appeared, before me person described in and who executed the foregoing instrument and acknowledged that he executed the same.			
State ofCounty ofss:         On thisday of,, before me personally appeared        and who executed the foregoing instrument and acknowledged that he executed the same.			Notary Public
and who executed the foregoing instrument and acknowledged that he executed the same.		ACKNOWLEDGM	ENT OF PRINCIPAL, IF AN INDIVIDUAL
and who executed the foregoing instrument and acknowledged that he executed the same.	State of	County of	ss:
and who executed the foregoing instrument and acknowledged that he executed the same.		day of	, , before me personally appeared
and who executed the foregoing instrument and acknowledged that he executed the same.			to me known and known to me to be the person described in
AFFIX ACKNOWLEDGMENTS AND JUSTIFICATION OF SURETIES	and who e	xecuted the foregoing instrum	ent and acknowledged that he executed the same.
AFFIX ACKNOWLEDGMENTS AND JUSTIFICATION OF SURETIES			
AFFIX ACKNOWLEDGMENTS AND JUSTIFICATION OF SURETIES			Notary Public
			Notary I done
		AFFIX ACKNOWLED	GMENTS AND JUSTIFICATION OF SURETIES

DEPARTMENT OF DESIGN AND CONSTRUCTION

# (NO TEXT ON THIS PAGE)

#### **M/WBE PROGRAM**

#### M/WBE UTILIZATION PLAN

<u>M/WBE</u> <u>Program Requirements</u>: The requirements for the M/WBE Program are set forth on the following pages of this Bid Booklet, in the section entitled "Notice to All Prospective Contractors".

<u>Schedule B: M/WBE Utilization Plan</u>: Schedule B: M/WBE Utilization Plan for this Contract is set forth in this Bid Booklet on the pages following the section entitled "Notice to All Prospective Contractors". The M/WBE Utilization Plan (Part I) indicates whether Participation Goals have been established for this Contract. If Participation Goals have been established for this Contract, the bidder must submit an M/WBE Utilization Plan (Part II) with its bid.

**Waiver:** The bidder may seek a full or partial pre-award waiver of the Participation Goals in accordance with the "Notice to All Prospective Contractors" (See Part A, Section 10). The bidder's request for a waiver must be submitted at least seven (7) calendar days prior to the bid date. Waiver requests submitted after the deadline will not be considered. The form for requesting a waiver of the Participation Goals is set forth in the M/WBE Utilization Plan (Part III).

**Rejection of the Bid:** The bidder must complete Schedule B: M/WBE Utilization Plan (Part II) set forth in this Bid Booklet on the pages following the section entitled "Notice to All Prospective Contractors". A Schedule B submitted by the bidder which does not include the Vendor Certification and Required Affirmations (See Section V of Part II) will be deemed to be non-responsive, unless a full waiver of the Participation Goals is granted (Schedule B, Part III). In the event that the City determines that the bidder has submitted a Schedule B where the Vendor Certification and Required Affirmations are completed but other aspects of the Schedule B are not complete, or contain a copy or computation error that is at odds with the Vendor Certification and Required Affirmations, the bidder will be notified by the Agency and will be given four (4) calendar days from receipt of notification to cure the specified deficiencies and return a completed Schedule B to the Agency. Failure to do so will result in a determination that the Bid is non-responsive. Receipt of notification is defined as the date notice is emailed or faxed (if the bidder has provided an email address or fax number), or no later than five (5) calendar days from the date of mailing or upon delivery, if delivered.

**Impact on LBE Requirements:** If Participation Goals have been established for the participation of M/WBEs, the contractor is not required to comply with the Locally Based Enterprise Program ("LBE"). The LBE Program is set forth in Article 67 of the Contract.



CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION

#### NOTICE TO ALL PROSPECTIVE CONTRACTORS

#### PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT

#### ARTICLE I. M/WBE PROGRAM

Local Law No. 129 of 2005 added and Local Law 1 of 2013 amended Section 6-129 of the Administrative Code of the City of New York (hereinafter "Section 6-129"). Section 6-129 establishes the program for participation in City procurement ("M/WBE Program") by minority- owned business enterprises ("MBEs") and women-owned business enterprises ("WBEs"), certified in accordance with Section 1304 of the New York City Charter. As stated in Section 6-129, the intent of the program is to address the impact of discrimination on the City's procurement process, and to promote the public interest in avoiding fraud and favoritism in the procurement process, increasing competition for City business, and lowering contract costs. The contract provisions contained herein are pursuant to Section 6-129, and the rules of the Department of Small Business Services ("DSBS") promulgated thereunder.

If this Contract is subject to the M/WBE Program established by Section 6-129, the specific requirements of MBE and/or WBE participation for this Contract are set forth in Schedule B of the Contract (entitled the "M/WBE Utilization Plan"), and are detailed below. The Contractor must comply with all applicable MBE and WBE requirements for this Contract.

All provisions of Section 6-129 are hereby incorporated in the Contract by reference and all terms used herein that are not defined herein shall have the meanings given such terms in Section 6-129. Article I, Part A, below, sets forth provisions related to the participation goals for construction, standard and professional services contracts. Article I, Part B, below, sets forth miscellaneous provisions related to the M/WBE Program.

#### PART A

#### PARTICIPATION GOALS FOR CONSTRUCTION, STANDARD AND PROFESSIONAL SERVICES CONTRACTS OR TASK ORDERS

1. The **MBE and/or WBE Participation Goals** established for this Contract or Task Orders issued pursuant to this Contract, ("**Participation Goals**"), as applicable, are set forth on Schedule B, Part I to this Contract (see Page 1, line 1 Total Participation Goals) or will be set forth on Schedule B, Part I to Task Orders issued pursuant to this Contract, as applicable.

The **Participation Goals** represent a percentage of the total dollar value of the Contract or Task Order, as applicable, that may be achieved by awarding subcontracts to firms certified with New York City Department of Small Business Services as MBEs and/or WBEs, and/or by crediting the participation of prime contractors and/or qualified joint ventures as provided in Section 3 below, unless the goals have been waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

2. If **Participation Goals** have been established for this Contract or Task Orders issued pursuant to this Contract, Contractor agrees or shall agree as a material term of the Contract that Contractor shall be subject to the **Participation Goals**, unless the goals are waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

3. If **Participation Goals** have been established for this Contract or Task Order issued pursuant to this Contract, a Contractor that is an MBE and/or WBE shall be permitted to count its own participation toward fulfillment of the relevant **Participation Goal**, provided that in accordance with Section 6-129 the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that the Contractor pays to direct subcontractors (as defined in Section 6-129(c)(13)), and provided further that a Contractor that is certified as both an MBE and a WBE may count its own participation either toward the goal for MBEs or the goal for WBEs, but not both.

A Contractor that is a qualified joint venture (as defined in Section 6-129(c)(30)) shall be permitted to count a percentage of its own participation toward fulfillment of the relevant **Participation Goal**. In accordance with Section 6-129, the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that Contractor pays to direct subcontractors, and then multiplying the remainder by the percentage to be applied to total profit to determine the amount to which an MBE or WBE is entitled pursuant to the joint venture agreement, provided that where a participant in a joint venture is certified as both an MBE and a WBE, such amount shall be counted either toward the goal for MBEs or the goal for WBEs, but not both.

4. A. If **Participation Goals** have been established for this Contract, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Utilization Plan, Part II (see Pages 2-4) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; and (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end. In the event that this M/WBE Utilization Plan indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals**, the bid or proposal, as applicable, shall be deemed non-responsive, unless Agency has granted the bidder or proposer, as applicable, a pre- award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.

B. (i) If this Contract is for a master services agreement or other requirements type contract that will result in the issuance of Task Orders that will be individually registered ("Master Services Agreement") and is subject to M/WBE **Participation Goals**, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Participation Requirements for Master Services Agreements That Will Require Individually Registered Task Orders, Part II (page 2) indicating the prospective contractor's certification and required affirmations to make all reasonable good faith efforts to meet participation goals established on each individual Task Order issued pursuant to this Contract, or if a partial waiver is obtained or such goals are modified by the Agency, to meet the modified **Participation Goals** by soliciting and obtaining the participation of certified MBE and/or WBE firms. In the event that the Schedule B indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals** that may be established on Task Orders issued pursuant to this Contract, the bid or proposal, as applicable, shall be deemed nonresponsive.

(ii) **Participation Goals** on a Master Services Agreement will be established for individual Task Orders issued after the Master Services Agreement is awarded. If **Participation Goals** have been established on a Task Order, a contractor shall be required to submit a Schedule B - M/WBE Utilization Plan For Independently Registered Task Orders That Are Issued Pursuant to Master Services Agreements, Part II (see Pages 2-4) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; and (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end. The contractor must engage in good faith efforts to meet the **Participation Goals** as established for the Task Order unless Agency has granted the contractor a pre-award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.

C. THE BIDDER/PROPOSER MUST COMPLETE THE SCHEDULE B INCLUDED HEREIN (SCHEDULE B, PART II). A SCHEDULE B SUBMITTED BY THE BIDDER/PROPOSER WHICH DOES NOT INCLUDE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS (SEE SECTION V OF PART II) WILL BE DEEMED TO BE NON-RESPONSIVE, UNLESS A FULL WAIVER OF THE PARTICIPATION GOALS IS GRANTED (SCHEDULE B, PART III). IN THE EVENT THAT THE CITY DETERMINES THAT THE BIDDER/PROPOSER HAS SUBMITTED A SCHEDULE B WHERE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS ARE COMPLETED BUT OTHER ASPECTS OF THE SCHEDULE B ARE NOT COMPLETE, OR CONTAIN A COPY OR COMPUTATION ERROR THAT IS AT ODDS WITH THE VENDOR CERTIFICATION AND AFFIRMATIONS, THE BIDDER/PROPOSER WILL BE NOTIFIED BY THE AGENCY AND WILL BE GIVEN FOUR (4) CALENDAR DAYS FROM RECEIPT OF NOTIFICATION TO CURE THE SPECIFIED DEFICIENCIES AND RETURN A COMPLETED SCHEDULE B TO THE AGENCY. FAILURE TO DO

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION

# SO WILL RESULT IN A DETERMINATION THAT THE BID/PROPOSAL IS NON-RESPONSIVE. RECEIPT OF NOTIFICATION IS DEFINED AS THE DATE NOTICE IS E-MAILED OR FAXED (IF THE BIDDER/PROPOSER HAS PROVIDED AN E-MAIL ADDRESS OR FAX NUMBER), OR NO LATER THAN FIVE (5) CALENDAR DAYS FROM THE DATE OF MAILING OR UPON DELIVERY, IF DELIVERED.

5. Where an M/WBE Utilization Plan has been submitted, the Contractor shall, within 30 days of issuance by Agency of a notice to proceed, submit a list of proposed persons or entities to which it intends to award subcontracts within the subsequent 12 months. In the case of multiyear contracts, such list shall also be submitted every year thereafter. The Agency may also require the Contractor to report periodically about the contracts awarded by its direct subcontractors to indirect subcontractors (as defined in Section 6-129(c)(22)). PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor must identify all those to which it intends to award construction subcontracts for any portion of the Wicks trade work at the time of bid submission, regardless of what point in the life of the contract such subcontracts will occur. In identifying intended subcontractors in the bid submission, bidders may satisfy any Participation Goals established for this Contract by proposing one or more subcontractors that are MBEs and/or WBEs for any portion of the Wicks trade work. In the event that the Contractor's selection of a subcontractor is disapproved, the Contractor shall have a reasonable time to propose alternate subcontractors.

6. MBE and WBE firms must be certified by DSBS in order for the Contractor to credit such firms' participation toward the attainment of the **Participation Goals**. Such certification must occur prior to the firms' commencement of work. A list of MBE and WBE firms may be obtained from the DSBS website at www.nyc.gov/buycertified, by emailing DSBS at buyer@sbs.nyc.gov, by calling (212) 513-6356, or by visiting or writing DSBS at 110 William St., New York, New York, 10038, 7th floor. Eligible firms that have not yet been certified may contact DSBS in order to seek certification by visiting www.nyc.gov/getcertified, emailing MWBE@sbs.nyc.gov, or calling the DSBS certification helpline at (212) 513-6311. A firm that is certified as both an MBE and a WBE may be counted either toward the goal for MBEs or the goal for WBEs, but not both. No credit shall be given for participation by a graduate MBE or graduate WBE, as defined in Section 6-129(c)(20).

7. Where an **M/WBE** Utilization Plan has been submitted, the Contractor shall, with each voucher for payment, and/or periodically as Agency may require, submit statements, certified under penalty of perjury, which shall include, but not be limited to,: the total amount the Contractor paid to its direct subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount direct subcontractors paid to indirect subcontractors; the names, addresses and contact numbers of each MBE or WBE hired as a subcontractor by the Contractor, and, where applicable, hired by any of the Contractor's direct subcontractors; and the dates and amounts paid to each MBE or WBE. The Contractor shall also submit, along with its voucher for final payment: the total amount it paid to subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount of each 129(j), the total amount its direct subcontractors paid directly to their indirect subcontractors; and a final list, certified under penalty of perjury, which shall include the name, address and contact information of each subcontractor that is an MBE or WBE, the work performed by, and the dates and amounts paid to each.

8. If payments made to, or work performed by, MBEs or WBEs are less than the amount specified in the Contractor's M/WBE Utilization Plan, Agency shall take appropriate action, in accordance with Section 6-129 and Article II below, unless the Contractor has obtained a modification of its M/WBE Utilization Plan in accordance with Section 6-129 and Part A, Section 11 below.

9. Where an **M/WBE** Utilization Plan has been submitted, and the Contractor requests a change order the value of which exceeds the greater of 10 percent of the Contract or Task Order, as applicable, or \$500,000, Agency shall review the scope of work for the Contract or Task Order, as applicable, and the scale and types of work involved in the change order, and determine whether the **Participation Goals** should be modified.

10. Pre-award waiver of the **Participation Goals**. (a) A bidder or proposer, or contractor with respect to a Task Order, may seek a pre-award full or partial waiver of the **Participation Goals** in accordance with Section 6-129, which requests that Agency change one or more **Participation Goals** on the grounds that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, or by demonstrating that it has legitimate business reasons for proposing a lower level of subcontracting in its M/WBE Utilization Plan.

(b) To apply for a full or partial waiver of the **Participation Goals**, a bidder, proposer, or contractor, as applicable, must complete Part III (Page 5) of Schedule B and submit such request no later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due, in writing to the Agency by email at <u>poped@ddc.nyc.gov</u> or via facsimile at (718) 391-1886. Bidders, proposers, or contractors, as applicable, who have submitted requests will receive an Agency response by no later than two (2) calendar days prior to the due date for bids, proposals, or Task Orders; provided, however, that if that date would fall on a weekend or holiday, an Agency response will be provided by close-of-business on the business day before such weekend or holiday date.

(c) If the Agency determines that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, it shall revise the solicitation and extend the deadline for bids and proposals, or revise the Task Order, as applicable.

(d) Agency may grant a full or partial waiver of the **Participation Goals** to a bidder, proposer or contractor, as applicable, who demonstrates—before submission of the bid, proposal or Task Order, as applicable—that it has legitimate business reasons for proposing the level of subcontracting in its **M/WBE** Utilization Plan. In making its determination, Agency shall consider factors that shall include, but not be limited to, whether the bidder, proposer or contractor, as applicable, has the capacity and the bona fide intention to perform the Contract without any subcontracting, or to perform the Contract without awarding the amount of subcontracts represented by the **Participation Goals**. In making such determination, Agency may consider whether the **M/WBE** Utilization Plan is consistent with past subcontracting practices of the bidder, proposer or contractor, as applicable, has made efforts to form a joint venture with a certified firm, and whether the bidder, proposer, or contractor, as applicable, has made good faith efforts to identify other portions of the Contract that it intends to subcontract.

11. Modification of M/WBE Utilization Plan. (a) A Contractor may request a modification of its M/WBE Utilization Plan after award of this Contract. PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor may request a Modification of its M/WBE Utilization Plan as part of its bid submission. The Agency may grant a request for Modification of a Contractor's M/WBE Utilization Plan if it determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts to meet the Participation Goals. In making such determination, Agency shall consider evidence of the following efforts, as applicable, along with any other relevant factors:

- The Contractor advertised opportunities to participate in the Contract, where appropriate, in general circulation media, trade and professional association publications and small business media, and publications of minority and women's business organizations;
- (ii) The Contractor provided notice of specific opportunities to participate in the Contract, in a timely manner, to minority and women's business organizations;
- (iii) The Contractor sent written notices, by certified mail or facsimile, in a timely manner, to advise MBEs or WBEs that their interest in the Contract was solicited;
- (iv) The Contractor made efforts to identify portions of the work that could be substituted for portions originally designated for participation by MBEs and/or WBEs in the M/WBE Utilization Plan, and for which the Contractor claims an inability to retain MBEs or WBEs;

- (v) The Contractor held meetings with MBEs and/or WBEs prior to the date their bids or proposals were due, for the purpose of explaining in detail the scope and requirements of the work for which their bids or proposals were solicited;
- (vi) The Contractor made efforts to negotiate with MBEs and/or WBEs as relevant to perform specific subcontracts, or act as suppliers or service providers;
- (vii) Timely written requests for assistance made by the Contractor to Agency's M/WBE liaison officer and to DSBS;
- (viii) Description of how recommendations made by DSBS and Agency were acted upon and an explanation of why action upon such recommendations did not lead to the desired level of participation of MBEs and/or WBEs.

Agency's M/WBE officer shall provide written notice to the Contractor of the determination.

(b) The Agency may modify the **Participation Goals** when the scope of the work has been changed by the Agency in a manner that affects the scale and types of work that the Contractor indicated in its **M/WBE** Utilization Plan would be awarded to subcontractors.

12. If this Contract is for an indefinite quantity of construction, standard or professional services or is a requirements type contract and the Contractor has submitted an M/WBE Utilization Plan and has committed to subcontract work to MBEs and/or WBEs in order to meet the **Participation Goals**, the Contractor will not be deemed in violation of the M/WBE Program requirements for this Contract with regard to any work which was intended to be subcontracted to an MBE and/or WBE to the extent that the Agency has determined that such work is not needed.

13. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, at least once annually during the term of the Contract or Task Order, as applicable, Agency shall review the Contractor's progress toward attainment of its M/WBE Utilization Plan, including but not limited to, by reviewing the percentage of work the Contractor has actually awarded to MBE and/or WBE subcontractors and the payments the Contractor made to such subcontractors.

14. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, Agency shall evaluate and assess the Contractor's performance in meeting those goals, and such evaluation and assessment shall become part of the Contractor's overall contract performance evaluation.

#### PART B: MISCELLANEOUS

1. The Contractor shall take notice that, if this solicitation requires the establishment of an M/WBE Utilization Plan, the resulting contract may be audited by DSBS to determine compliance with Section 6-129. See (6-129(e)(10)). Furthermore, such resulting contract may also be examined by the City's Comptroller to assess compliance with the M/WBE Utilization Plan.

2. Pursuant to DSBS rules, construction contracts that include a requirement for an **M/WBE** Utilization Plan shall not be subject to the law governing Locally Based Enterprises set forth in Section 6-108.1 of the Administrative Code of the City of New York.

3. DSBS is available to assist contractors and potential contractors in determining the availability of MBEs and/or WBEs to participate as subcontractors, and in identifying opportunities that are appropriate for participation by MBEs and/or WBEs in contracts.

4. Prospective contractors are encouraged to enter into qualified joint venture agreements with MBEs and/or WBEs as defined by Section 6-129(c)(30).

5. By submitting a bid or proposal the Contractor hereby acknowledges its understanding of the M/WBE Program requirements set forth herein and the pertinent provisions of Section 6-129, and any rules promulgated thereunder, and if awarded this Contract, the Contractor hereby agrees to comply with the M/WBE Program requirements of this Contract and pertinent provisions of Section 6-129, and any rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract. The Contractor hereby agrees to make all reasonable, good faith efforts to solicit and obtain the participation of MBEs and/or WBEs to meet the required **Participation Goals**.

#### ARTICLE II. ENFORCEMENT

1. If Agency determines that a bidder or proposer, as applicable, has, in relation to this procurement, violated Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, Agency may disqualify such bidder or proposer, as applicable, from competing for this Contract and the Agency may revoke such bidder's or proposer's prequalification status, if applicable.

2. Whenever Agency believes that the Contractor or a subcontractor is not in compliance with Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to any M/WBE Utilization Plan, Agency shall send a written notice to the Contractor describing the alleged noncompliance and offering the Contractor an opportunity to be heard. Agency shall then conduct an investigation to determine whether such Contractor or subcontractor is in compliance.

3. In the event that the Contractor has been found to have violated Section 6-129, the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to, any **M/WBE** Utilization Plan, Agency may determine that one of the following actions should be taken:

- (a) entering into an agreement with the Contractor allowing the Contractor to cure the violation;
- (b) revoking the Contractor's pre-qualification to bid or make proposals for future contracts;
- (c) making a finding that the Contractor is in default of the Contract;
- (d) terminating the Contract;
- (e) declaring the Contractor to be in breach of Contract;
- (f) withholding payment or reimbursement;
- (g) determining not to renew the Contract;
- (h) assessing actual and consequential damages;
- (i) assessing liquidated damages or reducing fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the M/WBE Program, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;
- (j) exercising rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or
- (k) taking any other appropriate remedy.

4. If an **M/WBE** Utilization Plan has been submitted, and pursuant to this Article II, Section 3, the Contractor has been found to have failed to fulfill its **Participation Goals** contained in its **M/WBE** Utilization Plan or the **Participation Goals** as modified by Agency pursuant to Article I, Part A, Section 11, Agency may assess liquidated damages in the amount of ten percent (10%) of the difference between the dollar amount of work required to be awarded to MBE and/or WBE firms to meet the **Participation Goals** and the dollar amount the Contractor actually awarded and paid, and/or credited, to MBE and/or WBE firms. In view of the difficulty of accurately ascertaining the loss which the City will suffer by reason of Contractor's failure to meet the **Participation Goals**, the foregoing amount is hereby fixed and agreed as the liquidated damages that the City will suffer by reason of such failure, and not as a penalty. Agency may deduct and retain out of any monies which may become due under this Contract the amount of any such liquidated damages; and in case the amount which may become due under this Contract shall be less than the amount of liquidated damages suffered by the City, the Contractor shall be liable to pay the difference.



5. Whenever Agency has reason to believe that an MBE and/or WBE is not qualified for certification, or is participating in a contract in a manner that does not serve a commercially useful function (as defined in Section 6-129(c)(8)), or has violated any provision of Section 6-129, Agency shall notify the Commissioner of DSBS who shall determine whether the certification of such business enterprise should be revoked.

6. Statements made in any instrument submitted to Agency pursuant to Section 6-129 shall be submitted under penalty of perjury and any false or misleading statement or omission shall be grounds for the application of any applicable criminal and/or civil penalties for perjury. The making of a false or fraudulent statement by an MBE and/or WBE in any instrument submitted pursuant to Section 6-129 shall, in addition, be grounds for revocation of its certification.

7. The Contractor's record in implementing its **M/WBE** Utilization Plan shall be a factor in the evaluation of its performance. Whenever Agency determines that a Contractor's compliance with an **M/WBE** Utilization Plan has been unsatisfactory, Agency shall, after consultation with the City Chief Procurement Officer, file an advice of caution form for inclusion in VENDEX as caution data.

Tax 1D #: 20-4575508		APT E PIN#	
SCHEDULE B – M/WBE Utilization Plan Part I: M/WBE Participation Goals		4.	
Part I to be completed	by contracting agency		
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APT E- Pin #	85015B0183	FMS Project ID#	#: SEQ200463
Project Title/ Agency PIN #	REPLACEMENT AND EXTENS APPURTENANCES/8502015SI		SANITARY SEWERS AND
Bid/Proposal Response Date	September 17, 2015		
<b>Contracting Agency</b>	Department of Design and Co	nstruction	
Agency Address	30-30 Thomson Avenue City	Long Island City	State <u>NY</u> Zip Code <u>11101</u>
Contact Person	Lea:M. Case	Title MWBE	Liaison & Compliance Analyst
Telephone#	(718) 391-1003	Email casele(	Dddc.nyc.gov

Project Description (attach additional pages if necessary)

#### PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 5<sup>TH</sup> AVENUE BETWEEN 121<sup>ST</sup> STREET AND COLLEGE PLACE; 6<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 7<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 8<sup>TH</sup> AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9<sup>TH</sup> AVENUE BETWEEN 118<sup>TH</sup> STREET AND 120<sup>TH</sup> STREET; 118<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 12<sup>TH</sup> AVENUE; 119<sup>TH</sup> STREET BETWEEN 12<sup>TH</sup> AVENUE AND POPPENHUSEN AVENUE; 119<sup>TH</sup> STREET BETWEEN 7<sup>TH</sup> AVENUE AND 9<sup>TH</sup> AVENUE; 120<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 9<sup>TH</sup> ROAD; 9<sup>TH</sup> ROAD BETWEEN 120<sup>TH</sup> STREET AND 121<sup>ST</sup> STREET; 121<sup>ST</sup> STREET BETWEEN 9<sup>TH</sup> ROAD AND 12<sup>TH</sup> AVENUE

#### INCLUDING WATER MAIN AND STREET LIGHTING WORK

Together With All Work Incidental Thereto BOROUGH OF QUEENS CITY OF NEW YORK

#### M/WBE Participation Goals for Services

Enter the percentage amount for each group or for an unspecified goal Please note that there are no goals for Asian Americans in Professional Services

#### Prime Contract Industry: Construction

Group	Percentage		an a
Unspecified*	10%	- Le render steel - L, etc Le louis - Hou - Hou - House Heren	
	, nangatika januan pertakan kerikan kerikan di karangan pertakan di kerikan kerikan di kerikan kerikan kerikan Manga jangan di kerikan di kerikan kerikan di		
Black American	UNSPECIFIED*		
Hispanic American	UNSPECIFIED*		
Asian American	<b>UNSPECIFIED*</b>		
Women	UNSPECIFIED*		
Total Participation Goals	10%	Line 1	

\*Note: For this procurement, individual ethnicity and gender goals are not specified. The Total Participation Goal for construction contracts may be met by using either Black-American, Hispanic-American, Asian American, or Women certified is or any combination of such firms.

Tax ID #: 20-4575508

APT E-PIN #: \_

85015B0183

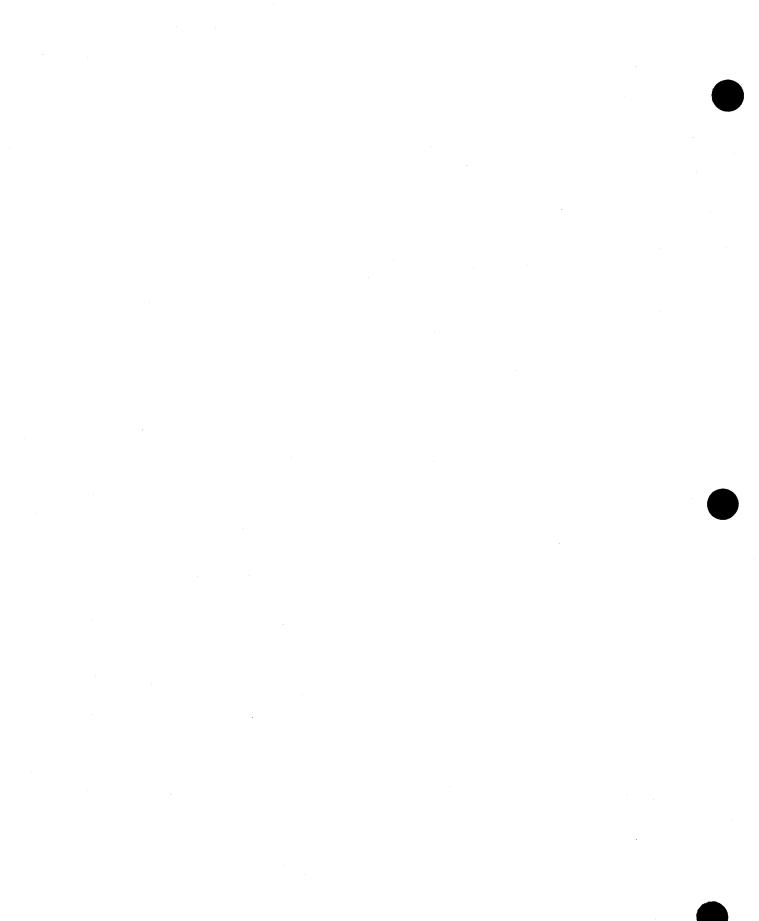
#### SCHEDULE B - Part II: M/WBE Participation Plan

#### Part II to be completed by the bidder/proposer.

Please note: For Non-M/WBE Prime Contractors who will NOT subcontract any services and will self-perform the entire contract, you must obtain a FULL waiver by completing the Waiver Application on pages 17 and 18 and timely submitting it to the contracting agency pursuant to the Notice to Prospective Contractors. Once a FULL WAIVER is granted, it must be included with your bid or proposal and you do not have to complete or submit this form with your bid or proposal.

Section I Prime Contractor Contact Info	malloo		An	<u>Ne i</u>	
Tax ID # 20-4575508			FMS Vendor ID #		
Business Name Inter Contract			Contact Person	М:	hard Mitiao
Address 274 White	Plains Fd. Sui	te	6, Easeclosear	M	- 10709
Telephone # 914.337.1350	Email	Mi	mutino@intercon	trac	ting Copp. com
Section II: MWEE Utilization Goal Calcu	No	3.0 million 2 3		ubeq	aloni.
PRIME CONTRACTOR ADOPTING AG	SENCY M/WBE PAR	TIC	IPATION GOALS	T	Υ
For Prime Contractors (including Qualified Joint Ventures and M/WBE firms) adopting Agency M/WBE	Total Bid/Proposal Value		Agency Total Participation Goals (Line 1, Page 13)		Calculated M/WBE Participation Amount
Participation Goals. Calculate the total dollar value of your total					
bid that you agree will be awarded to M/WBE subcontractors for services and/or credited to an M/WBE prime contractor or Qualified Joint Venture.	15,236,918.40		107.		1,523,691.84
Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation.	\$	x		=	\$ Line 2
PRIME CONTRACTOR OBTAINED PA PARTICIPATION GOALS	RTIAL WAIVER APP	PRO	VAL: ADOPTING MO	DIFI	ED M/WBE
<b>EVALUATE:</b> For Prime Contractors (including Qualified Joint Ventures and M/WBE firms) adopting Modified M/WBE	Total Bid/Proposal Value		Adjusted Participation Goal (From Partial Waiver)		Calculated M/WBE Participation Amount
Participation Goals.					
Calculate the total dollar value of your total bid that you agree will be awarded to M/WBE subcontractors for services and/or credited to an M/WBE prime contractor or Qualified Joint Venture.					
Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation.	\$	X		1	\$ Line 3

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Tax ID #: 20-4575508 Section III: M/WBE Utilization Plan: How Proposer/Bidder Will Fulfill M/WBE Participation Goals. Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation. Check applicable box. The Proposer or Bidder will fulfill the M/WBE Participation Goals: As an M/WBE Prime Contractor that will self-perform and/or subcontract to other M/WBE firms a portion of the contract the value of which is at least the amount located on Lines 2 or 3 above, as applicable. The value of any work subcontracted to non-M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals. Please check all that apply to Prime Contractor: MBE **WBE** As a Qualified Joint Venture with an M/WBE partner, in which the value of the M/WBE partner's participation and/or the value of any work subcontracted to other M/WBE firms is at least the amount located on Lines 2 or 3 above, as applicable. The value of any work subcontracted to non M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals. X As a non M/WBE Prime Contractor that will enter into subcontracts with M/WBE firms the value of which is at least the amount located on Lines 2 or 3 above, as applicable. - जेवनीवन W देवावनी दिवाधिस्तवी Informelitat

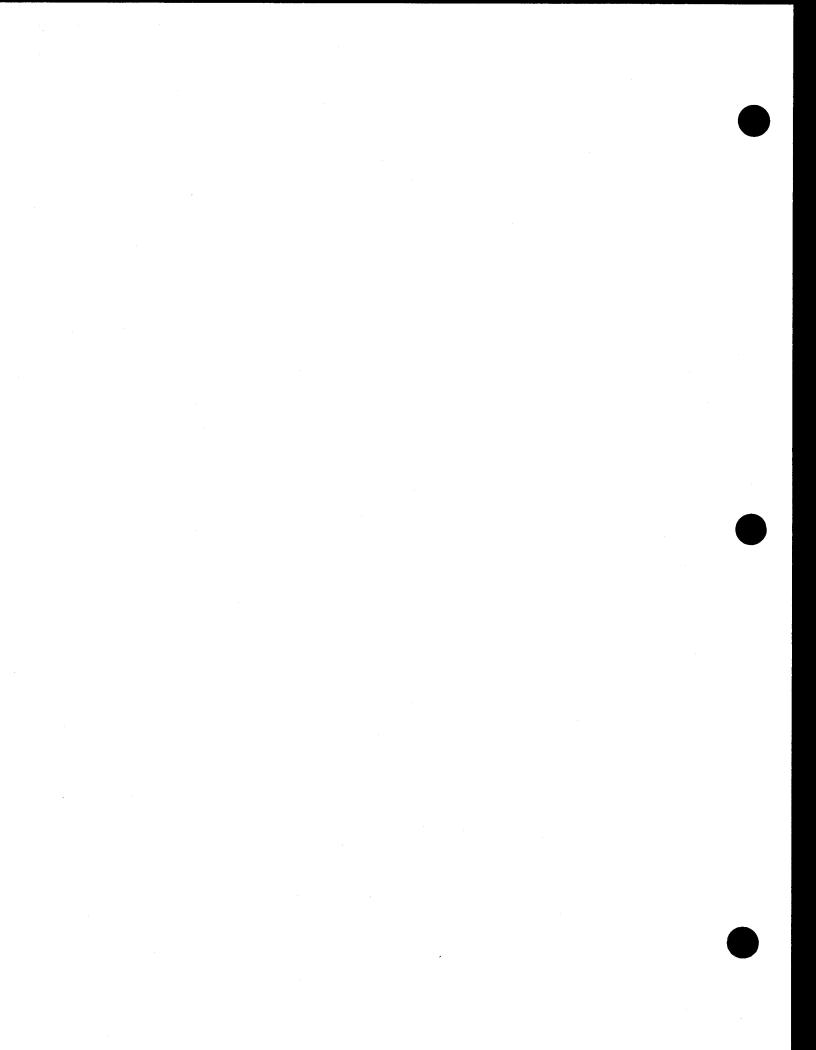
What is the expected percentage of the total contract dollar value that you expect to award in subcontracts for services, regardless of M/WBE status? % 11.7

Enter brief description of the type(s) and dollar value of subcontracts for all/any services you plan on subcontracting if awarded this contract. For each item, indicate whether the work is designated for participation by MBEs and/or WBEs and the time frame in which such work is scheduled to begin and end. Use additional sheets if necessary

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Scopes of Subcontract Work

APT E-85015B0183 PIN #:



# Tax ID #: 20-4575508

APT E-PIN #: \_\_\_\_\_<u>85015B0183</u>

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2) affirm that the information supplied in support of this MPVBE Utilization Plan is true and correct, 3) agree, if awarded this Contract, to comply with the MPVBE participation requirements of this Contract the partment provisions of Section 3-125, and the rules promultated thereworder, all of which shall be deemed to be material terms of this Contrast.

4) agree and affirm that it is a matarial term of this Converse that the Vermor will award the total talk value of the M/WBE Participation Goals to garifited MBEs and/or WBEs, unless a full walver is obtained or such goals are modified by the Agency; and

5) agree and affirm, if awarded this Contract, to make all reasonable, good fails eitens to meet the MMBE Participation Goals, or if a varial waiver is obtained or such goals are modified by the Agency. to meet the modified Participation Goals by soliciting and obtaining the participation of cartified MBE and/or MBE firms.

Date October 6, 2015 Signature Title Pres Print Name

# SCHEDULE B – M/WBE Utilization Plan Part I: M/WBE Participation Goals

Part I to be completed by contracting agency

Contract Overview			
APT E- Pin #	85015B0183	FMS Project ID#:	SEQ200463
Project Title/ Agency PIN #	REPLACEMENT AND EXTENS APPURTENANCES/8502015SE		RY SEWERS AND
Bid/Proposal Response Date	September 17, 2015		
Contracting Agency	Department of Design and Co	nstruction	
Agency Address	30-30 Thomson Avenue City	Long Island City State N	Y Zip Code <u>11101</u>
Contact Person	Lea M. Case	Title <u>MWBE Liaison 8</u>	Compliance Analyst
Telephone #	(718) 391-1003	Email <u>casele@ddc.nyc</u>	.gov

Project Description (attach additional pages if necessary)

#### PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 5<sup>TH</sup> AVENUE BETWEEN 121<sup>ST</sup> STREET AND COLLEGE PLACE; 6<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 7<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 8<sup>TH</sup> AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9<sup>TH</sup> AVENUE BETWEEN 118<sup>TH</sup> STREET AND COLLEGE PLACE; 118<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 12<sup>TH</sup> AVENUE; 119<sup>TH</sup> STREET BETWEEN 118<sup>TH</sup> STREET AND 120<sup>TH</sup> STREET; 118<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 12<sup>TH</sup> AVENUE; 119<sup>TH</sup> STREET BETWEEN 12<sup>TH</sup> AVENUE AND POPPENHUSEN AVENUE; 119<sup>TH</sup> STREET BETWEEN 7<sup>TH</sup> AVENUE AND 9<sup>TH</sup> AVENUE; 120<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 9<sup>TH</sup> ROAD; 9<sup>TH</sup> ROAD BETWEEN 120<sup>TH</sup> STREET AND 121<sup>ST</sup> STREET; 121<sup>ST</sup> STREET BETWEEN 9<sup>TH</sup> ROAD AND 12<sup>TH</sup> AVENUE

#### INCLUDING WATER MAIN AND STREET LIGHTING WORK

#### Together With All Work Incidental Thereto BOROUGH OF QUEENS CITY OF NEW YORK

#### M/WBE Participation Goals for Services

Enter the percentage amount for each group or for an unspecified goal. Please note that there are no goals for Asian Americans in Professional Services.

#### Prime Contract Industry: Construction

Group	Percentage	
Unspecified*	10%	
or		
Black American	UNSPECIFIED*	
Hispanic American	UNSPECIFIED*	
Asian American	UNSPECIFIED*	
	UNSPECIFIED*	
Total Participation Goals	10%	Line 1

\*Note: For this procurement, individual ethnicity and gender goals are not specified. The Total Participation Goal for onstruction contracts may be met by using either Black-American, Hispanic-American, Asian American, or Women certified firms or any combination of such firms.

Tax ID #:	APT E-
Tax ID #	PIN #: 85015B0183

# SCHEDULE B - Part II: M/WBE Participation Plan

# Part II to be completed by the bidder/proposer.

Please note: For Non-M/WBE Prime Contractors who will NOT subcontract any services and will self-perform the entire contract, you must obtain a FULL waiver by completing the Waiver Application on pages 17 and 18 and timely submitting it to the contracting agency pursuant to the Notice to Prospective Contractors. Once a FULL WAIVER is granted, it must be included with your bid or proposal and you do not have to complete or submit this form with your bid or proposal.

	rmation				
Tax ID #	·		FMS Vendor ID #		
Business Name			Contact Person	<u> </u>	· · · · · · · · · · · · · · · · · · ·
Address				· ·	
Telephone #	Email				
Section II: M/WBE Utilization Goal Calcu	lation: Check the ap	plica	able box and complete	subse	ection.
PRIME CONTRACTOR ADOPTING AC	SENCY M/WBE PA	RTIC	CIPATION GOALS		
For Prime Contractors (including Qualified Joint Ventures and M/WBE firms) adopting Agency M/WBE Participation Goals.	Total Bid/Proposal Value		Agency Total Participation Goals (Line 1, Page 13)		Calculated M/WBE Participation Amount
Calculate the total dollar value of your total bid that you agree will be awarded to M/WBE subcontractors for services and/or credited to an M/WBE prime contractor or Qualified Joint Venture.	,				
Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation.	e	x			\$
PRIME CONTRACTOR OBTAINED PAP PARTICIPATION GOALS	RTIAL WAIVER AP	PRO	VAL: ADOPTING MC	DIF	Line 2 ED M/WBE
For Prime Contractors (including ualified Joint Ventures and M/WBE rms) adopting Modified M/WBE articipation Goals.	Totai Bid/Proposal Value		Adjusted Participation Goal (From Partial Waiver)		Calculated M/WBE Participation Amount
				1	
alculate the total dollar value of your total d that you agree will be awarded to /WBE subcontractors for services and/or edited to an M/WBE prime contractor or ualified Joint Venture.					

APT E-85015B0183 PIN #: \_\_\_\_ Tax ID #: \_\_\_\_ Section III: M/WBE Utilization Plan: How Proposer/Bidder Will Fulfill M/WBE Participation Goals. Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation. Check applicable box. The Proposer or Bidder will fulfill the M/WBE Participation Goals: As an M/WBE Prime Contractor that will self-perform and/or subcontract to other M/WBE firms a portion of the contract the value of which is at least the amount located on Lines 2 or 3 above, as applicable. The value of any work subcontracted to non-M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals. Please check all that apply to Prime Contractor: As a Qualified Joint Venture with an M/WBE partner, in which the value of the M/WBE partner's participation and/or the value of any work subcontracted to other M/WBE firms is at least the amount located on Lines 2 or 3 above, as applicable. The value of any work subcontracted to non M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals. As a non M/WBE Prime Contractor that will enter into subcontracts with M/WBE firms the value of which is at least the amount located on Lines 2 or 3 above, as applicable. Section IV: General Contract Information What is the expected percentage of the total contract dollar value that you expect to award in subcontracts for services, regardless of M/WBE status? % \_\_\_\_\_ Enter brief description of the type(s) and dollar value of subcontracts for all/any services you plan on subcontracting if awarded this contract. For each item, indicate whether the work is designated for participation by MBEs and/or WBEs and the time frame in which such work is scheduled to begin and end. Use additional sheets if necessary. All Coller - Hilling 3. 6. Scopes of Subcontract Work 10.\_\_\_\_ 11. 12 13. 14. 册 15. 16.\_\_\_\_ 17.

# Section V: Vendor Certification and Required Affirmations

#### I hereby:

1) acknowledge my understanding of the M/WBE participation requirements as set forth herein and the pertinent provisions of Section 6-129 of the Administrative Code of the City of New York ("Section 6-129"), and the rules promulgated thereunder;

2) affirm that the information supplied in support of this M/WBE Utilization Plan is true and correct;
3) agree, if awarded this Contract, to comply with the M/WBE participation requirements of this Contract, the pertinent provisions of Section 6-129, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract;

4) agree and affirm that it is a material term of this Contract that the Vendor will award the total dollar value of the M/WBE Participation Goals to certified MBEs and/or WBEs, unless a full waiver is obtained or such goals are modified by the Agency; and

5) agree and affirm, if awarded this Contract, to make all reasonable, good faith efforts to meet the *M/WBE* Participation Goals, or If a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms.

Signature	Date	
Print Name	Title	

# SCHEDULE B - PART III - REQUEST FOR WAIVER OF M/WBE PARTICIPATION REQUIREMENT

Tax ID #         FMS Vendor ID #			
Business Name			
Contact Name	Telephone #	Email	
Type of Procurement	Competitive Sealed Bids 🛛 Other	Bid/Response Due Date	
APT E-PIN # (for this procurement):	· · · · · · · · · · · · · · · · · · ·	Contracting Agency:	

M/WBE Participation Goals as described in bid/solicitation documents

%	Agency MANRE Participation Cool
Proposed M/WBE Pa	Agency M/WBE Participation Goal rticipation Goal as anticipated by vendor seeking waiver
%	of the total contract value enticipated in good foith by the hidder/proposer to be subcontrac

of the total contract value anticipated in good faith by the bidder/proposer to be subcontracted for services and/or credited to an M/WBE Prime Contractor or Qualified Joint Venture.

Vendor does not subcontract services, and has the capacity and good faith intention to perform all such work itself with its own employees.

□ Vendor subcontracts *some* of this type of work but at a *lower* % than bid/solicitation describes, and has the capacity and good faith intention to do so on this contract. (Attach subcontracting plan outlining services that the vendor will self-perform and subcontract to other vendors or consultants.)

☐ Vendor has other legitimate business reasons for proposing the M/WBE Participation Goal above. Explain under separate cover.

List 3 most recent contracts performed for NYC agencies (if any). Include information for each subcontract awarded in performance of such contracts. Add more pages if necessary.

CONTRACT NO.	AGENCY		DATE COMPLETED
Total Contract Amount	\$ Total Amount Subcontracted	\$	
Item of Work Subcontracted and Value of subcontract	Item of Work Subcontracted and Value of subcontract		Item of Work Subcontracted and Value of subcontract
CONTRACT NO.	AGENCY		DATE COMPLETED
Total Contract Amount	\$ Total Amount Subcontracted	\$	
Item of Work Subcontracted and Value of subcontract	Item of Work Subcontracted and Value of subcontract	•	Item of Work Subcontracted and Value of subcontract
CONTRACT NO.	AGENCY		DATE COMPLETED
Total Contract Amount	\$ Total Amount Subcontracted	\$	
Item of Work Subcontracted and Value of subcontract	Item of Work Subcontracted and Value of subcontract		Item of Work Subcontracted and Value of subcontract



List 3 most recent contracts performed for other entities. Include information for each subcontract awarded in performance of such contracts. Add more pages if necessary.

(Complete ONLY if vendor has performed fewer than 3 New York City contracts.)

AGENCY/EN	5		-		
AGENCY/EN			-		
	JTITY		-	-	
Name/Phone			DATE CON	IPLETED	
			-		
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AGENCY/EN	ITITY		DATE COM	PLETED	
ne/Phone No./E	mail)		• •	-	
	5		_		
Item of Work Subcontracted and Value of subcontract			Subcontra	acted and	
n that the inform Id Taith.	nation supplied in	support o	f this waiver	request	is true and
		Date:			
		Title:			
only					
R APPROVAL		Date:			
APPROVAL	·	Date:	· · · · · · · · · · · · · · · · · · ·		
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	<b>PROJECT ID:</b>	SEQ200463		
The hidder must submit a cor	npleted and signed Apprentices	hip Program Ouestionnaire		
The order must submit a con	-h and colleges with a species			
1. Does the bidder have a [Note: Participation m	an Apprenticeship Program appr ay be by either direct sponsorsh Provide X YES	ropriate for the type and sco in or through collective bar of Through CBAS	ppe of work to be performed? gaining agreement(s).]	
2. Has the bidder's App Commissioner of Labo	prenticeship Program been report	gistered with, and approv Through CBA	ed by, the New York State \$	
	X YES	······································	NO	
3. Has the bidder's App opportunities?	prenticeship Program had three	e years of successful exp	perience in providing career	
	VES	hrough CBAs	NO	
If the answer to Question # experience the Apprenticeshi pages if necessary.	3 is "Yes", the bidder shall, p Program has had in providing	in the space below, provi g career opportunities. The	de information regarding the bidder may attach additional	
	an a			
	nyang ang ang mang ang ang ang ang ang ang ang ang ang			
an a	<u> </u>			
		n an	anna an	
Bidder: Inter Co	rtructing Corp			
By:			Pres.	
By:	re of Partner or Corporate Off		Pres.	
By:		icer)	Pres. BID BOOKLET	

#### APPRENTICESHIP PROGRAM REQUIREMENTS

Bidders are advised that the Apprenticeship Program Requirements set forth below apply to each contract for which a check mark is indicated before the word "Yes". Compliance with these requirements will be determined solely by the City.



## (1) Apprenticeship Program Requirements

<u>Notice to Bidders</u>: Please be advised that, pursuant to the authority granted to the City under Labor Law Section 816-b, the Department of Design and Construction hereby requires that the contractor awarded a contract as a result of this Invitation for Bids, and any of its subcontractors with subcontracts worth two million dollars or over, have, prior to entering into such contract or subcontract, apprenticeship agreements appropriate for the type and scope of work to be performed that have been registered with, and approved by, the New York State Commissioner of Labor. In addition, the contractor and its subcontractors will be required to show that such apprenticeship program/s have successfully passed the two year Probation period following the initial registration date of such program/s with the New York State Department of Labor.

The failure to prove, upon request, that these requirements have been met shall result in the contract not being awarded to the contractor or the subcontract not being approved.

Please be further advised that, pursuant to Labor Law Section 220, the allowable ratio of apprentices to journeypersons in any craft classification shall not be greater than the ratio permitted to the contractor as to its workforce on any job under the registered apprenticeship program.

#### (2) Apprenticeship Program Questionnaire

The bidder must submit a completed and signed Apprenticeship Program Questionnaire. The Questionnaire is set forth on the following page of the Bid Booklet.

## **APPRENTICESHIP PROGRAM QUESTIONNAIRE**

## PROJECT ID: <u>SEQ200463</u>

The bidder must submit a completed and signed Apprenticeship Program Questionnaire.

1. Does the bidder have an Apprenticeship Program appropriate for the type and scope of work to be performed? [Note: Participation may be by either direct sponsorship or through collective bargaining agreement(s).]

		<u></u>	YES		NO
2.	Has the bidder's Apprenti Commissioner of Labor?	ceship Program	been registered	with, and appr	oved by, the New York State
			YES		NO ·
3.	Has the bidder's Apprenti opportunities?	ceship Program	had three years	of successful	experience in providing career
			YES		NO
exper	answer to Question #3 is ience the Apprenticeship Pro- if necessary.	"Yes", the bidd ogram has had in	er shall, in the s providing career	pace below, pro opportunities. T	wide information regarding the bidder may attach additiona
		. <u></u>	tar P	<i>a</i>	
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				<u></u>	
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		- 10 <del>- 1</del> 0 -			
	·				
Bidde	er:				
By:				Title:	
Date:		Partner or Corp	orate Officer)		
	OF NEW YORK RTMENT OF DESIGN AND CONS	STRUCTION	20		BID BOOKLE DECEMBER 201

Project ID. SEQ200463

# SAFETY QUESTIONNAIRE

The bidder must include, with its bid, all information requested on this Safety Questionnaire. Failure to provide a completed and signed Safety Questionnaire at the time of bid opening may result in disqualification of the bid as non-responsive.

1. Bidder Information:

Company Name: Inter Contracting (	Corp.		
Company Name: Inter Contracting C DDC Project Number: SEQ 20046	3		an a
Company Size: <b>H</b> ada Ten (10)		ess	
X Greater th	nan ten (10) em	ployees	
Company has previously worked for DDC	X	_ YES	NO
2. Type(s) of Construction Work			
TYPE OF WORK	LAST 3 YE	ARS	THIS PROJECT
General Building Construction			
Residential Building Construction			
Nonresidential Building Construction			
Heavy Construction, except building	<u> </u>		<u> </u>
Highway and Street Construction	<u> </u>		<b>X</b>
Heavy Construction, except highways	<u> </u>		<u> </u>
Plumbing, Heating, HVAC			
Painting and Paper Hanging			
Electrical Work	<u></u>		Company of the compan
Masonry, Stonework and Plastering			
Carpentry and Floor Work			
Roofing, Siding, and Sheet Metal			
Concrete Work			
Specialty Trade Contracting			·
Asbestos Abatement			

#### 3. Experience Modification Rate:

Other (specify)

The Experience Modification Rate (EMR) is a rating generated by the National Council of Compensation Insurance (NCCI). This rating is used to determine the contractor's premium for worker's compensation insurance. The contractor may obtain its EMR by contacting its insurance broker or the NCCI. If the contractor cannot obtain its EMR, it must submit a written explanation as to why.

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION

Project ID. SEQ 200463

The Contractor must indicate its <u>Intra</u>state and <u>Interstate EMR</u> for the past three years. [Note: For contractors with less than three years of experience, the EMR will be considered to be 1.00].

YEAR	INTRASTATE RATE	<b>INTER</b> STATE RATE
2014	1.05	nla
2013	1.02	nla
2012	1.02	nla

If the Intrastate and/or Interstate EMR for any of the past three years is greater than 1.00, the contractor must attach, to this questionnaire, a written explanation for the rating and identify what corrective action was taken to correct the situation resulting in that rating.

#### 4. OSHA Information:

- \_\_\_YES \_\_X NO Contractor has received a willful violation issued by OSHA or New York City Department of Buildings (NYCDOB) within the last three years.
  - YES \_X\_NO Contractor has had an incident requiring OSHA notification within 8 hours (all work-related fatalities) or an incident requiring OSHA notification within 24 hours (all work-related in-patient hospitalizations, all amputations and all losses of an eye).

The Occupational Safety and Health Act (OSHA) of 1970 requires employers with ten or more employees, on a yearly basis to complete and maintain on file the form entitled "Log of Work-related Injuries and Illnesses". This form is commonly referred to as the OSHA 300 Log (OSHA 200 Log for 2001 and earlier).

The OSHA 300 Log must be submitted for the last three years for contractors with more than ten employees.

The Contractor must indicate the total number of hours worked by its employees, as reflected in payroll records for the past three years.

The contractor must submit the Incident Rate for Lost Time Injuries (the Incident Rate) for the past three years. The Incident Rate is calculated in accordance with the formula set forth below. For each given year, the total number of incidents is the total number of non-fatal injuries and illnesses reported on the OSHA 300 Log. The 200,000 hours represents the equivalent of 100 employees working forty hours a week, fifty weeks per year.

Incident Rate =	Total Number of Incidents X 200,000 Total Number of Hours Worked by Employees			
YEAR	TOTAL NUMBERS OF HOURS WORKED BY EMPLOYEES	INCIDENT RATE		
2014	32,536	0		
2013	25, 150	7.95		
2012	25, 251			

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION 22

BID BOOKLET DECEMBER 2013

Project ID. SEQ Z00463

If the contractor's Incident Rate for any of the past three years is one point higher than the Incident Rate for the type of construction it performs (listed below), the contractor must attach, to this questionnaire, a written explanation for the relatively high rate.

General Building Construction	8.5
Residential Building Construction	7.0
Nonresidential Building Construction	10.2
Heavy Construction, except building	8.7
Highway and Street Construction	9.7
Heavy Construction, except highways	. 8.3
Plumbing, Heating, HVAC	8.3
Painting and Paper Hanging	6.9
Electrical Work	9.5
Masonry, Stonework and Plastering	10.5
Carpentry and Floor Work	12.2
Roofing, Siding, and Sheet Metal	10.3
Concrete Work	8.6
Specialty Trade Contracting	8.6

#### 5. Safety Performance on Previous DDC Project(s)

\_XYES \_\_\_\_NO Contractor previously audited by the DDC Office of Site Safety.

DDC Project Number(s): SER002316, SER200209, SER200252

XYES NO

Accident on previous DDC Project(s).

DDC Project Number(s): \_\_\_\_\_,

\_\_\_YES \_XNO

Fatality or Life-altering Injury on DDC Project(s) within the last three years. [Examples of a life-altering injury include loss of limb, loss of a sense (e.g., sight, hearing), or loss of neurological function].

DDC Project Number(s): \_\_\_\_\_, \_\_\_\_,

Date: Oct. 9, 2015

(Signature of Owner, Partner, Corporate Officer) By:

Title: Presider



CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION 23

BID BOOKLET DECEMBER 2013

# Inter Contracting Corp.

274 White Plains Road, Suite 6 Eastchester NY, 10709 914.337.1350 Fax 914.337.1450

October 9, 2015

# Re: NYC DDC Project SEQ200463 Safety Questionnaire

To Whom it May Concern:

Regarding Inter Contracting's Experience Modification Rate (EMR):

For 2014, Inter Contracting's EMR was 1.05. For 2013, the EMR was 1.02. For 2012, the EMR was 1.02. These exceed the 1.00 threshold described in the Safety Questionnaire and therefore require an explanation for that EMR.

The EMR is calculated using payroll history as well as claim history, with consideration given to claim expectancy for other contractors with similar exposure. Inter Contracting has a large reserve for a workman's compensation claim that is ongoing, and has had relatively low payroll. As such, our EMR is relatively high. The loss reserve is the result of one incident, a hit-and-run between a vehicle and one of our employees. The vehicle ignored a flag persons instructions, drove through multiple MPT devices and struck an employee prior to fleeing the scene. This incident did not occur because of a lapse in safety. The workman's compensation claim remains open for this incident and a relatively large reserve is being held while the claim is resolved.

Inter Contracting has implemented a Corporate Safety Program and conducts weekly tool-box talk meetings in order to increase employee awareness to potential jobsite hazards. In addition, a specific Site Safety Plan is developed for each project.

Project ID.

### SAFETY QUESTIONNAIRE

The bidder must include, with its bid, all information requested on this Safety Questionnaire. Failure to provide a completed and signed Safety Questionnaire at the time of bid opening may result in disqualification of the bid as non-responsive.

r less employees YES	NO
employees	NO
employees	NO
	NO
YES	NO
YEARS	

### 3. Experience Modification Rate:

The Experience Modification Rate (EMR) is a rating generated by the National Council of Compensation Insurance (NCCI). This rating is used to determine the contractor's premium for worker's compensation insurance. The contractor may obtain its EMR by contacting its insurance broker or the NCCI. If the contractor cannot obtain its EMR, it must submit a written explanation as to why.



### Project ID.

The Contractor must indicate its Intrastate and Interstate EMR for the past three years. [Note: For contractors with less than three years of experience, the EMR will be considered to be 1.00].

YEAR	INTRASTATE RATE	INTERSTATE RATE
X		

If the Intrastate and/or Interstate EMR for any of the past three years is greater than 1.00, the contractor must attach, to this questionnaire, a written explanation for the rating and identify what corrective action was taken to correct the situation resulting in that rating.

### 4. OSHA Information:

DEPARTMENT OF DESIGN AND CONSTRUCTION

YES	NO	Contractor has received a willful violation issued by OSHA or New York City Department of Buildings (NYCDOB) within the last three years.
YES	NO	Contractor has had an incident requiring OSHA notification within 8 hours (all work-related fatalities) or an incident requiring OSHA notification within 24 hours (all work-related in-patient hospitalizations, all amputations and all losses of an eye).

The Occupational Safety and Health Act (OSHA) of 1970 requires employers with ten or more employees, on a yearly basis to complete and maintain on file the form entitled "Log of Work-related Injuries and Illnesses". This form is commonly referred to as the OSHA 300 Log (OSHA 200 Log for 2001 and earlier).

The OSHA 300 Log must be submitted for the last three years for contractors with more than ten employees.

The Contractor must indicate the total number of hours worked by its employees, as reflected in payroll records for the past three years.

The contractor must submit the Incident Rate for Lost Time Injuries (the Incident Rate) for the past three years. The Incident Rate is calculated in accordance with the formula set forth below. For each given year, the total number of incidents is the total number of non-fatal injuries and illnesses reported on the OSHA 300 Log. The 200,000 hours represents the equivalent of 100 employees working forty hours a week, fifty weeks per year.

Incident Rate =	Total Number of Incider Total Number of Hours Worked	
YEAR	TOTAL NUMBERS OF HOURS WORKED BY EMPLOYEES	INCIDENT RATE
	·	
CITY OF NEW YORK	22 ESIGN AND CONSTRUCTION	BID BOOKLET DECEMBER 2013

Project ID.

If the contractor's Incident Rate for any of the past three years is one point higher than the Incident Rate for the type of construction it performs (listed below), the contractor must attach, to this questionnaire, a written explanation for the relatively high rate.

General Building Construction	8.5
Residential Building Construction	7.0
Nonresidential Building Construction	10.2
Heavy Construction, except building	8.7
Highway and Street Construction	9.7
Heavy Construction, except highways	8.3
Plumbing, Heating, HVAC	11.3
Painting and Paper Hanging	6.9
Electrical Work	9.5
Masonry, Stonework and Plastering	10.5
Carpentry and Floor Work	12.2
Roofing, Siding, and Sheet Metal	10.3
Concrete Work	8.6
Specialty Trade Contracting	8.6

### 5. Safety Performance on Previous DDC Project(s)

YESNO	Contractor previously audited by the DDC Office of Site Safety.
	DDC Project Number(s):,,
YESNO	Accident on previous DDC Project(s).
	DDC Project Number(s):,,
YES NO	Fatality or Life-altering Injury on DDC Project(s) within the last three years. [Examples of a life-altering injury include loss of limb, loss of a sense (e.g., sight, hearing), or loss of neurological function].
	DDC Project Number(s):,,,
Date:	By: (Signature of Owner, Partner, Corporate Officer)
	Title:

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# (NO TEXT ON THIS PAGE)

### **Pre-Award Process**

The bidder is advised that as part of the pre-award review of its bid, it may be required to submit the information described in Sections (A) through (D) below. If required, such information must be submitted by the bidder within five (5) business days following receipt of notification from DDC that it is among the low bidders. Such notification from DDC will be by facsimile or in writing and will specify the types of information must be submitted. The types of information the bidder may be required to submit are described below. In the event the bidder fails to submit the required information within the specified time frame, its bid may be rejected as nonresponsive.

### \*\*\*\*\*\*

- (A) Project Reference Form: If required, the bidder must complete and submit the Project Reference Form set forth on pages 26 through 28 of this Bid Booklet. The Project Reference Form consists of 3 parts: (1) Similar Contracts Completed by the Bidder, (2) Contracts Currently Under Construction by the Bidder, and (3) Pending Contracts Not Yet Started by the Bidder.
- (B) Copy of License: If required, the bidder must submit a copy of the license under which the bidder will be performing the work. Such license must clearly show the following: (1) Name of the Licensee, (2) License Number, and (3) Expiration date of the License. A copy of the license will be required from bidders for the following contracts: Plumbing Work, Electrical Work and Asbestos Abatement.
- (C) **Financial Information**: If required, the bidder must submit the financial information described below:
  - (1) Audited Financial Statements: Financial statements (Balance Sheet and Income Statement) of the entity submitting the bid, as audited by an independent auditor licensed to practice as a certified public accountant (CPA). Audited financial statements for the three most recent fiscal years must be submitted. Each such financial statement must include the auditor's standard report.

If the bidder does not have audited financial statements, it must submit an affidavit attesting to the fact that the bidder does not have such statements. In addition, the bidder must submit the following documentation covering the three most recent fiscal years: signed federal tax returns, unaudited financial statements, and a "certified review letter" from a certified public accountant (CPA) verifying the unaudited financial statements.

Unless the most recent audited or unaudited financial statement was issued within ninety (90) days, the bidder must submit interim financial information that includes data on financial position and results of operation (income data) for the current fiscal year. Such information may be summarized on a monthly or quarterly basis or at other intervals.

(2) Schedule of Aged Accounts Receivable, including portion due within ninety (90) days.

(D) **Project Specific Information**: If required, the bidder must submit the project specific information described below:

- (1) Statement indicating the number of years of experience the bidder has had and in what type of construction.
- (2) Resumes of all key personnel to be involved in the project, including the proposed project superintendent.
- (3) List of significant pieces of equipment expected to be used for the contract, and whether such equipment is owned or leased.
- (4) Description of work expected to be subcontracted, and to what firms, if known.
- (5) List of key material suppliers.
- (6) Preliminary bar chart time schedule
- (7) Contractor's expected means of financing the project. This should be based on the assumption that the contractor is required to finance 2X average monthly billings throughout the contract period.
- (8) Any other issues the contractor sees as impacting his ability to complete the project according to the contract.

In addition to the information described in Sections (A) through (D) above, the bidder shall submit such additional information as the Commissioner may require, including without limitation, an explanation or justification for specific unit price items.

The bidder is further advised that it may be required to attend a pre-award meeting with DDC representatives. If such a meeting is convened, the bidder will be advised as to any additional material to be provided.

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# PROJECT REFERENCES – SIMILAR CONTRACTS COMPLETED BY THE BIDDER Å.

List all contracts substantially completed within the last 4 years similar to the contract being awarded, up to a maximum of 10, in descending order of date of substantial completion.

Project & Location	Contract Type	Contract Amount (\$000)	Date Completed	Owner Reference & Tel. No.	Architect/Engineer Reference & Tel. No. if different from owner
SER 200209 Canton Aver, SI		\$6.9 MM	6/15	DDC	Nancukh Nami
SER 200252 Scarboro Aue, SI	Sewert WM	* /. 9 mm	5/14	DDC	Arvind Patel
SE & 002657 Francis Lewis Blod, Queens	Sewert	\$3.3MM	9/13	DDC	Faves Abdullingent
NYC EDC 1483 0004 Hunts Point Aur, Bronk	Streets rape	the que	2/13	EDC	Jawood Assak 212.312.3215
Record. P. Lot. Bldg. 242 Brooklyn Nary Jard	Highwary + Drain ege	\$3.1 May	21/01	BArDC	Anthony Jurgemboush: 318: 909: 5952
NYCEDC 16920006 Laturette Aue, Bronx	Sawer WM, Higherry	\$ 4.1 mm	4/12	EDC	Jound Assaf
SER002292 Holly Mace, SI	sever +	\$ 3. gum	4/12	DAC	Arvind Patel

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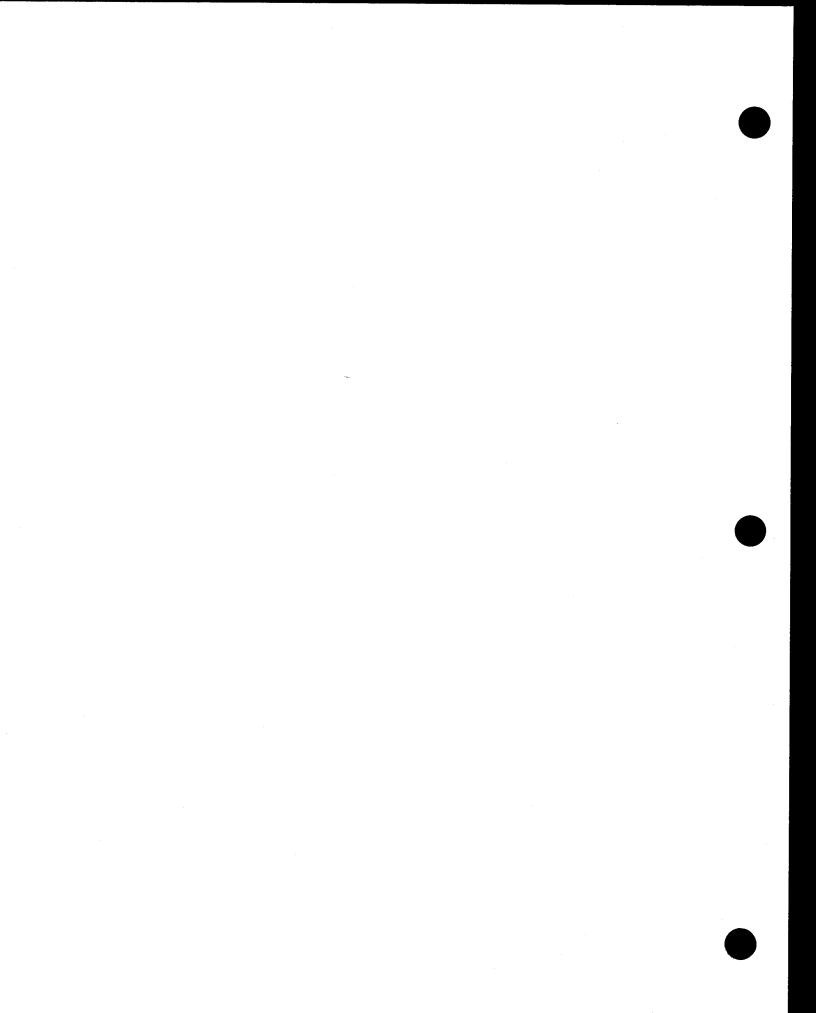
List all contracts currently under construction even if they are not similar to the contract being awarded.

		Contract	Subcontracted to	Uncompleted	Date	Owner	Architect/En
	Contract	Amount	Others (\$000)	Portion	Scheduled to	Reference &	gineer
Project & Location	Type	(2000)		(000\$)	Complete	Tel. No.	Reference &
)					-		Tel. No.
-							if different
							from owner
SER 002316	Sever +		•	* • • • •	<b>``</b>	DDC	Mansukh
Rosswille Are, SI		WW t.01.	1.2 MM	VVVI.	4/16	•	Maroni
Adlantic Ave Ext.				\$/ J		EDC	Sukin
Q A.Y		+ 6.3MM	NNN S.	6.544	4/17	•	0Keowo
11: (202)							10.0.0.1
Willowbrock Park	Sewer/BMD		* 2000	* 20.44		boc	Avera
p-SOZWIL2	•	WW0-7	2057	5-20-2	a//L		lance/
5ER200241	Sever+	*	<b>*</b> / <b>-</b>	100	/	200	Arvivel
Bloominsulate RV, SI	1414	13.5 MM	www./.		1/16		Potel
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*\$0% JV							

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CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION



PROJECT REFERENCES – PENDING CONTRACTS NOT YET STARTED BY THE BIDDER ن

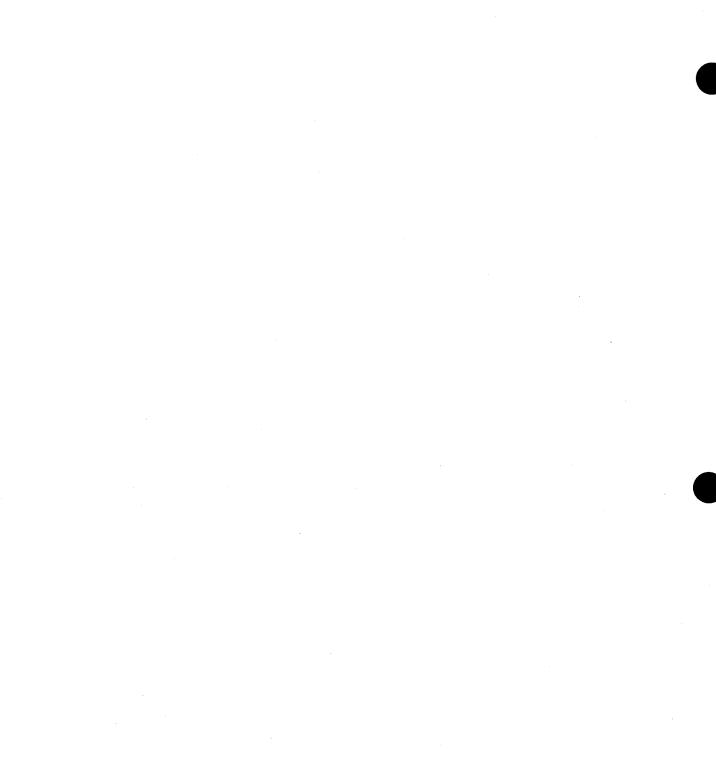
List all contracts awarded to or won by the bidder but not yet started.

Project & Location	Contract Type	Contract Amount (\$000)	Date Scheduled to Start	Owner Reference & Tel. No.	Architect/Engineer Reference & Tel. No. if different from owner
SEQ 200574 58th Ang Queens	Sever + 10/19	\$1. Emm	1/16	DDC	
SER 200272 +K Dixan Aug, SI	Sewert win	\$ 6. 4 mm	4/16	DDC	
*Sox JV					

BID BOOKLET DECEMBER 2013

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CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION



# Inter Contracting Corp.

274 White Plains Road, Suite 6 Eastchester, NY 10709 914.337.1350 Fax 914.337.1450 May 30, 2014

### <u><u>History</u></u>

Inter Contracting Corp was formed in 2006 for the purpose of pursuing public infrastructure projects throughout the New York City area. In particular, our firm specializes in the construction of sewers, water mains and roadway infrastructure. Inter has completed numerous projects for both public agencies and private developers, including NYCDDC, NYCEDC, NYDC, Monadnock Construction, and Beechwood Organization. We have completed projects up to \$5MM in contract value, and over \$10MM per year.

The principals of Inter Contracting Corp are Dario Cavaliere and Michael Mutino. Mr. Cavaliere has a degree in civil engineering for Manhattan College and has been employed in the sewer construction industry in New York for over twenty five years, completing projects to \$15MM in construction industry in New York for over twenty five years, completing projects to \$15MM in matters. Mr. Mutino has a degree in civil engineering from Carnegie Mellon University. He is matters. Mr. Mutino has a degree in civil engineering from Carnegie Mellon University. He is matters. Mr. Mutino has a degree in civil engineering from Carnegie Mellon University. He is

Inter was awarded our first contract in 2007, by Monadnock Construction, as part of the Southtown Phase II development project on Roosevelt Island. This project consisted of sewers, water mains, street lighting, concrete and asphalt roadway, curbs, sidewalks, and private utility installation. Since then, Inter has completed a sewer and water main installation for the private developer Beechwood Organization.

Inter has been awarded numerous public contract with NYC DDC, NYC EDC, and BNYDC. Sewers in Canton Avenue, etc." Inter has previously completed four other sewer projects for NYC DDC.

Inter was awarded two concurrent contracts with EDC in the Hunts Point section of the Bronx, on intersecting streets. The combined value of these contracts is over \$10MM. The work on these projects consisted of sewer, water main, gas main, and private utility relocation, as well as sidewalk installation, installation of bus pads, construction of landscaped medians, street lighting and landscaping. These contracts will be considered complete upon completion of punch list work relating to the landscaping which must be performed this spring.

Inter has also completed other sewer and water main projects of a smaller scale.



# Michael Mutino

140 West 86<sup>th</sup> Street #15C, New York, NY 10024 mmutino@intercontractingcorp.com 917.578.4987

**ΙΝΤΕR CONTRACTING CORP** 

April 22, 2014

### EXPERIENCE

### President

Handle executive functions, estimating, purchasing, subcontracting and project management on heavy construction projects throughout the New York City metropolitan area, with a specialty in sanitary and storm sewers, water mains, underground utility and roadway intrastructure.

### SELECTED PROJECTS

### NYCEDC 16920006: Reconstruction of Lafayette Avenue

Bronx, \$5.1MM

Construction of combined sewers with segments on piles, water main replacement, gas main relocation, private utility duct installation, full roadway reconstruction including installation of landscaped malls, and granite block sidewalk installation.

### NYCEDC 14830004: Hunts Point Streetscape & Signage

Bronx, \$5.0MM Construction of raised median planters, with granite block sidewalk construction, curb and sidewalk construction, bus pad replacement, catch basins installation, street lighting and signage installation.

### NACDDC 2E0002657: Sewers in Water's Edge Drive

MM8.E2, , sn99uQ

Construction of combined and storm sewers, catch basin installation, sanitary sewer on piles, and extensive water main replacement in heavily trafficked roadway. Project also included roadway reconstruction in complex intersections.

### NYCDDC SER002292: Sewers in Holly Place, Etc.

MM7.52, the hard of the hard o

Construction of sanitary and storm sewers with segments on piles, catch basin installation, water main replacement and roadway reconstruction.

### Brooklyn Navy Yard Reconstruction of Parking Lot at Building 292

Brooklyn, \$3.0MM Parking lot reconstruction including installation of curbs, sidewalks, and roadway reconstruction. Project required the installation of massive underground storm water detention system, installation of drainage structures, water main replacement, and storm sewer connection.

# 

B.S. Civil Engineering, May 2009

### ENGINEER-IN-TRAINING AMERICAN SOCIETY OF CIVIL ENGINEERS

EDUCATION

CERTIFICATIONS & AFFILIATIONS

# DVBIO CVAVIEBE

### **Work Experience**

### Inter Contracting Corp. Scarsdale, NY

Vice President in Charge of Construction Perform daily supervision of construction activities for projects throughout the NYC area, including planning, scheduling, equipment selection and work crew assignments. **Project Highlights:** 

- Brooklyn Navy Yard Parking Lot Reconstruction: \$ 3.1M project installation of new water mains, storm water detention system, and total highway reconstruction.
- Holly Place, Staten Island NYDDC Project: \$ 3.9M new storm and sanitary sewers (partially on piles), and water mains including entire resurfacing of
- roadway.
  Lafayette Ave. and Hunts Point Ave., Bronx: Two individual
  Streetscape Projects for NYC EDC, \$8M combined. Both projects involved extensive medians and landscaping. Lafayette Ave. included new water mains,
- 263rd St. NYCDDC \$780K Project. New water mains and storm sewers.

storm sewers, and full roadway reconstruction.

- Shorehaven Phase 7, Bronx \$ 1.8M Project for The Beechwood Organization
   Project included new water, storm, and sanitary mains for a new development of 84 homes with all new connections to each unit.
- Reconstruction of Southtown, Roosevelt Island \$2.5M. Total reconstruction of new roadway, gas main and electrical system with vaults and manholes

### Laws Construction Corp. Pleasantville, NY

Construction Superintendent

Performed similar responsibilities as previously described for a \$12M NYCDDC project, Reconstruction of Water Mains and Sanitary Sewers in Stillwell Ave. & 86<sup>th</sup> Street, mater mains and 2,000 If of sanitary sewers, including curb and sidewalk construction in a high traffic area of Brooklyn.

# Project Highlights:

- I2 blocks in 86<sup>th</sup> St. of water main and sewer installation required strict adherence to pedestrian and vehicular traffic considerations since most of the work was beneath elevated MTA facilities. A dynamic "Maintenance of Traffic Plan" was developed and implemented by me and my team to keep production at optimum efficiency while working with local business and community groups.
- Within the limits of Stillwell Ave. I was assigned the task of installing the sanitary sewer in 4'-5' of ground water without the benefit of a costly dewaterin system. We successfully accomplished this in part due to my many years of experience in this area.

L0/L = 50/6

7/07 - Present

.

# DVBIO CVAVIEBE

JPM Contracting Corp. Port Chester, NY

Project Manager/Estimator

Coordinated and oversaw daily operations at jobsite including scheduling of workers, and delivery of equipment and materials. Prepared bid estimates. Monitored and analyzed construction costs and forecasted project completion costs.

### DeMicco Bros. Inc. Bronx, NY

Construction Superintendent Performed similar responsibilities as previously described for a \$5.3M NYCDDC project. The project included the installation of approximately 3,000 If of precast box culverts, cast-in-place chambers, 4,000 If of sanitary sewers, and 4,000 If of 8" water mains in a high traffic area of Staten Island.

### Project Highlights:

- The storm sewer portion of this project involved the installation of various precast box culverts, catch basins, and poured in place chambers including the relocation of various utilities. This required the coordination of multiple crews performing various operations in order to meet project deadlines.
- This project had wetlands considerations that required special sheeting alterations that we were able redesign in the field in accordance with all governing
- guidelines.

### Briar Construction Corp. Staten Island, NY

Construction Superintendent/ Estimator

Responsibilities included engineering, management, and supervision of sewer and water main division. Instrumental in completion of over ten sewer, water main, and highway projects for NYCDDC ranging from \$0.5M to \$6M. Also completed a \$2.5M new 20" water main for new major development on Roosevelt Island, NY. Provided engineering skills necessary for a variety of tasks. During my tenure at Briar Construction I was in charge of multiple projects simultaneously as well as mobilizing and demobilizing of the various works. At any one time I was responsible for \$4M to \$10M worth of work.

### Project Highlights:

- Weed Ave. was a capital improvement project that also involved raising the roadway and private property elevations 1'- 3' above existing grade. Logistically this meant coordinating the different phases of work so as to maintain flow and efficiency while maintaining a safe work zone especially in privately owned areas.
- Roosevelt Island Infrastructure Phase 1 was a privately funded project that involved every aspect of utility construction. The work included working with multiple agencies both City any private in order to complete the installation of an entirely new utility system with sewers, water, electric, gas, and telephone in an unmapped street. In addition approximately 10,000 CY of earth was removed or

\$0/6 - \$0/6

E0/8 - I0/2

**†**0/6 - £0/6

# DARIO CAVALIERE

owners recommended and awarded Phase 2 to Inter Contracting Corp. forth by the owners. So pleased were the owners with my performance that the Roosevelt Island. All this work required compliance with a strict schedule set relocated in order to install a completely new extension of Main Street on

### JPM Contracting Corp. Port Chester, NY 10/2 - 66/6

consisted of reconstruction of over one mile of curbs, sidewalks, and roadway. of 22 ton precast box culvert sections, sanitary sewer and 8" water main. Project also supervision of multiple crews. Project consisted of installation of approximately 6,000 lf Completed \$12M Quintard Street project, which required the coordination and Engineer in charge of various NYCDDC sewer, water main, and highway projects. Project Engineer/Construction Superintendent

### Project Highlights:

- simultaneously. This allowed us to bill out nearly \$1M per month. given time during the project there were at least four separate crews all working guidelines were followed while still maintaining a rigorous work schedule. At any Approximately 1,000LF of the project was in a wetlands/ buffer zone. Strict DEC
- to be used with 24hr pumping operations. The entire site was in a high water table requiring an extensive dewatering system

### 6661 - L661

Construction Superintendent Felix Equities, Inc. Lincolndale, NY

labor, equipment, and materials under strict time constraints. locations each throughout NYC. These projects required the constant mobilization of NYCDEP. The projects ranged from \$3.5M - \$5M, encompassing 40 - 50 various separate "24 HOUR – NOTICE EMERGENCY SEWER REPAIR" contracts for Responsibilities similar to those performed at JPM Contracting Corp. Completed three

### Barwick Associates, Inc. Yonkers, NY L661 - 2661

reconstruction projects. lines. Supervised numerous sewer repair contracts for NYCDEP, as well as roadway multiple vacuum sewer lines throughout an operating train yard under high voltage power the Amtrak Coach Facility Project, Queens, NY. This project included the installation of Responsibilities similar to those of Felix Equities, Inc. In charge of the sewer portion of Field Supervisor

### Dedona Contracting Corp. Bronx, NY 7661 - 9861

repair contracts for NYCDEP at 200 various locations in NYC. Responsible for initial Performed work similar to Felix Equities, Inc. Projects included \$24 million in sewer Field Superintendent/ Engineer

sebrar and daily monitoring of lines and grades.

# DVBIO CVAVIEBE

### Project Highlights:

• These projects were particularly challenging because we had only 24 hours to respond to any given sewer collapse in the bough of Brooklyn. The mobilization of the crews and coordination of equipment and materials were my responsibility

Manhattan College, Bronx NV B.S. in Civil Engineering <u>รอวนองอ</u>โอ<sub>ไ</sub>อไ

1985 <u>Education</u>

Richard Myhre, P.E., NYCDDC Director of Infrastructure 4434 Amboy Road – 2<sup>nd</sup> Fl. Staten Island, New York 10312 718.605.2174

Bob Yueh, P.E., NYCDDC Director of Infrastructure 16 Court Street -14<sup>th</sup> Fl. Brooklyn, New York 11241

### John Pust, P.E., NYCDDC

718.205.5047

Former Commissioner JWP Engineers and Land Surveyors 7 Centre Drive, Suite 8 Monroe, New Jersey 08831 732.485.8090

Heshem Kotby, P.E., HAKS Engineering Vice President of Construction 40 Wall Street – 11<sup>th</sup> Fl. New York, New York 10005

Greg Bauso, Monandock Construction Vice President 155 Third Street Brooklyn, New York 11231 718.875.8160

# Inter Contracting Corp.

274 White Plains Road, Suite 6 Eastchester, NY 10709 914.337.1350 Fax 914.337.1450

October 9, 2015

New York City Department of Design & Construction 30-30 Thomson Avenue Long Island City, New York 11101

Re: Project ID: SEQ200463 Contract Pin No.: 8502014SE0060C Construction of Sewers in College Place, etc. List of Significant Pieces of Equipment to be Used on This Project

- Caterpillar 330 Excavator, Local Law 77 Compliant (Owned)
- Caterpillar 326 Excavator, Local Law 77 Compliant (Owned)
- Caterpillar 324 Excavator, Local Law 77 Compliant (Owned)
- Caterpillar 450 Backhoe, Local Law 77 Compliant (Owned)
- Caterpillar 950 Wheel Loader, Local Law 77 Compliant (Owned)
- Caterpillar 950 Wheel Loader, Local Law 77 Compliant (Owned)
- Caterpillar 272D Skid Steer, Local Law 77 Compliant (Owned)
- Hamm HD14 Roller, Local Law 77 Compliant (Owned)
- Krings Sheeting System (Owned)
- Frame & Plate Sheeting System (Owned)
- Trench Boxes, Various Sizes (Owned)
- Steel Road Plates (Owned)
- Water Main Tapping Machine (Owned)
- Pipe Laying Lasers, Rotary Lasers (Owned)
- Misc. Small Tools, Storage Containers, etc. (Owned)
- Various Foreman's Trucks, Pickup, Vans (Owned)
- Compressors & Various Hammers (Owned)
- Signs, Timber Curb, Pedestrian (bike) Racks, Barrels, etc. (Owned)
- Small Rollers, Tampers, etc. (Owned)

# Inter Contracting Corp.

274 White Plains Road, Suite 6 Eastchester, NY 10709 914.337.1350 Fax 914.337.1450

October 9, 2015

New York City Department of Design & Construction 30-30 Thomson Avenue Long Island City, New York 11101

Re: Project ID: SEQ200463 Contract Pin No.: 8502014SE0060C Proposed Suppliers & Subcontractors Proposed Suppliers & Subcontractors

Inter Contracting Corp plans to subcontract the following work:

- Rodent Survey
- Rodent & Waterbug Bait Stations
- gaittuowe2 -
- Tree Consultant

Suffolk Materials

-

- Tree Work
- notication TV Inspection
- gaidmulg -
- Photographs
- gaiveq .

At this time the subcontractors have not been selected. Please note that the subcontracts will be awarded in accordance with Article 17 and Article 77 of the contract.

Inter Contracting Corp has not purchased any materials for this project. However we have relationships and credit with the following suppliers:

T. Mina Pipe Supply
 T. Mina Pipe Supply
 Coastal Pipeline
 City Transit Mix
 Concrete
 Willets Point Asphalt
 Millets Point Asphalt
 General Foundries
 Castings

Aggregates

•

# Inter Contracting Corp.

274 White Plains Road, Suite 6 Eastchester, NY 10709 914.337.1350 Fax 914.337.1450

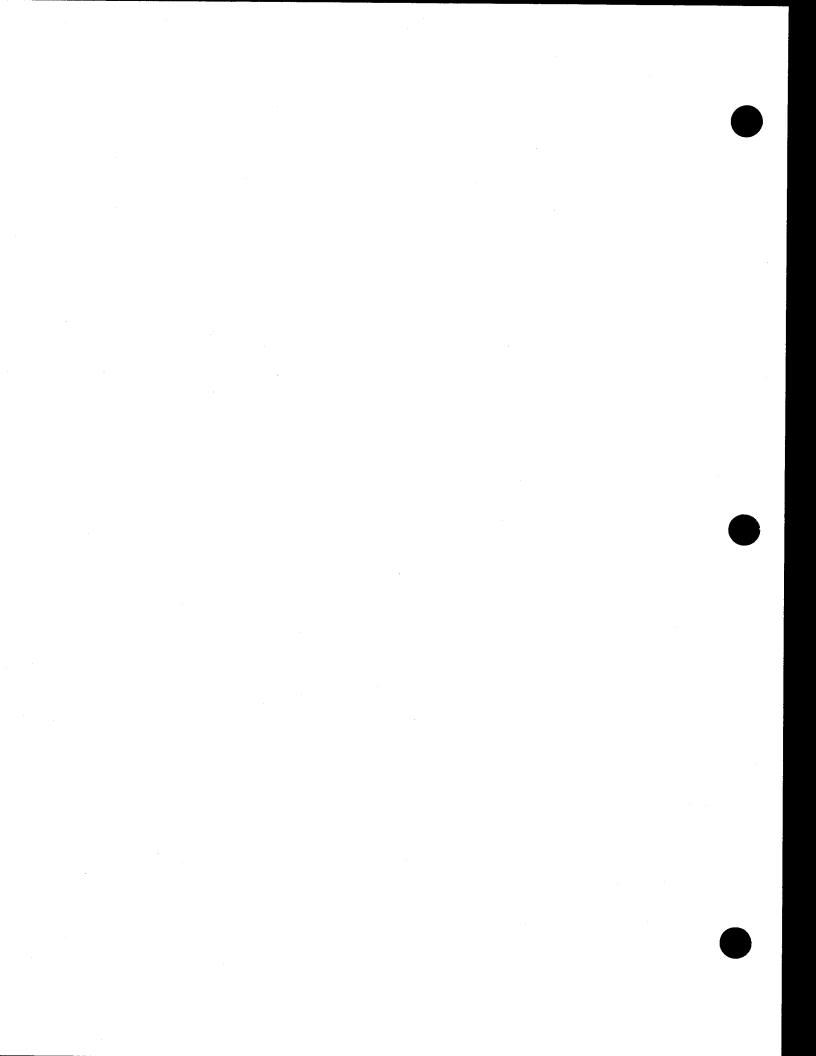
October 9, 2015

New York City Department of Design & Construction 30-30 Thomson Avenue Long Island City, New York 11101

Re: Project ID: SEQ200463 Contract Pin No.: 8502014SE0060C Method of Financing Project

sgnillig yldtnoM əgerəvA x2:	\$1°566°143°50
Average Monthly Billings:	09.178,4588
Contract Term:	24 Months
Contract Value:	\$12,236,918.40

This project will be financed with cash on hand.



# Inter Contracting Corp.

274 White Plains Road, Suite 6 Eastchester, NY 10709 914.337.1350 Fax 914.337.1450

October 9, 2015

New York City Department of Design & Construction 30-30 Thomson Avenue Long Island City, New York 11101

Re: Project ID: SEQ200463 Contract Pin No.: 8502014SE0060C Construction of Sewers in College Place, etc. <u>NYS Labor Law Section 220 Compliance</u>

To Whom It May Concern:

Inter Contracting Corp. has successfully completed numerous projects for the City of New York requiring compliance with this law. Inter Contracting Corp. employs experienced employs union laborers, operating engineers, and timbermen, whose wages meet or exceed the requirements of this law. Inter Contracting Corp. will fully comply with any NYS Labor Law-

Inter Contracting Corp. Michael Mutino, Pres Sincerely

# PROJECT REFERENCES – SIMILAR CONTRACTS COMPLETED BY THE BIDDER A.

List all contracts substantially completed within the last 4 years similar to the contract being awarded, up to a maximum of 10, in descending order of date of substantial completion.

Architect/EngineerOwner Reference& Tel. No.different from owner				
Date Ow Completed				
Contract Amount (\$000)				
Contract Type				
Project & Location				

BID BOOKLET DECEMBER 2013

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List all contracts currently under construction even if they are not similar to the contract being awarded.

PROJECT REFERENCES – CONTRACTS CURRENTLY UNDER CONSTRUCTION BY THE BIDDER

Architect/En gineer Reference & Tel. No. if different from owner				
Owner Reference & Tel. No.				
Date Scheduled to Complete		· ·		
Uncompleted Portion (\$000)				
Subcontracted to Others (\$000)				
Contract Amount (\$000)				
Contract Type				
Project & Location			·	

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION

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BID BOOKLET DECEMBER 2013

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List all contracts awarded to or won by the bidder but not yet started.

Architect/Engineer Reference & Tel. No. if different from owner				
Owner Reference & Tel. No.				
Date Scheduled to Start				
Contract Amount (\$000)				
Contract Type	•			
Project & Location				

BID BOOKLET DECEMBER 2013

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CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION

# (NO TEXT ON THIS PAGE)

# OFFICE OF THE MAYOR BUREAU OF LABOR SERVICES CONTRACT CERTIFICATE

To be completed if the contract is less than \$1,000,000

Contractor:
Address:
Telephone Number:
Name and Title of Signatory:
Contracting Agency or Owner:
Project Number:
Proposed Contract Amount:
Description and Address of Proposed Contract:
Names of Subcontractors in the amount of 750,000 or more on this contract (if not known at this time, s state indicating that trades will be subcontracted):
I, (fill in name of person signing), hereby affirm that I am authorized by the above-named contractor to certify that said contractor's proposed contract with the above-named owner or city agency is less than \$1,000,000. This affirmation is made in accordance with Executive Order No. 50 (1980) as amended and its implementing regulation

Date

Signature

WILLFUL OR FRAUDULENT FALSIFICATION OF ANY DATA OR INFORMATION SUBMITTED HEREWITH MAY RESULT IN THE TERMINATION OF ANY CONTRACT BETWEEN THE CITY AND THE BIDDER OR CONTRACTOR AND BAR THE BIDDER OR CONTRACTOR FROM PARTICIPATION IN ANY CITY CONTRACT FOR A PERIOD OF UP TO THREE YEARS. FURTHER, SUCH FALSIFICATION MAY RESULT IN CRIMINAL PROSECUTION.

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION 29

# (NO TEXT ON THIS PAGE)

### VENDEX COMPLIANCE

Vendex Fees: Pursuant to Procurement Policy Board Rule 2-08(f)(2), the contractor will be (A) charged a fee for the administration of the VENDEX system, including the Vendor Name Check process, if a Vendor Name Check review is required to be conducted by the Department of Investigation. The contractor shall also be required to pay the applicable required fees for any of its subcontractors for which Vendor Name Check reviews are required. The fee(s) will be deducted from payments made to the contractor under the contract. For contracts with an estimated value of less than or equal to \$1,000,000, the fee will be \$175 per Vendor Name Check review. For contracts with an estimated value of greater than \$1,000,000, the fee will be \$350 per Vendor Name Check review.

Confirmation of Vendex Compliance: The Bidder shall submit this Confirmation of Vendex **(B)** Compliance to the Department of Design and Construction, Contracts Section, 30-30 Thomson Avenue -First Floor, Long Island City, NY 11101.

Bid Information: The Bidder shall complete the bid information set forth below.

Name of Bidder: <u>Inter Contracting</u> Grp. Bidder's Address: <u>274 White Mains Road</u>, Suite 6, Easterletter UN 10709 Bidder's Telephone Number: <u>914.337.1350</u> Bidder's Fax Number: <u>914.337.1450</u> Oct. Date of Bid Opening: 2015 Project ID: SEQ 200463

Vendex Compliance: To demonstrate compliance with Vendex requirements, the Bidder shall complete either Section (1) or Section (2) below, whichever applies.

Submission of Vendex Questionnaires to MOCS: By signing in the space provided below, the (1) Bidder certifies that as of the date specified below, the Bidder has submitted Vendex Questionnaires to the Mayor's Office of Contract Services, Attn: VENDEX, 253 Broadway, 9th Floor, New York, New York 10007.

Date of Submission:

By: \_\_\_\_\_

(Signature of Partner or corporate officer)

Print Name:

Submission of Certification of No Change to DDC: By signing in the space provided below, (2)the Bidder certifies that it has read the instructions in a "Vendor's Guide to Vendex" and that such instructions do not require the Bidder to submit Vendex Questionnaires. The Bidder has completed TWO ORIGINALS of the Certification of No Change set forth on the next page of

this Bid Booklet.

(Signature of Partner or corporate officer)

Mutino

Print Name: Michuer

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION 30

**BID BOOKLET DECEMBER 2013** 

# VENDEX COMPLIANCE

(A) <u>Vendex Fees</u>: Pursuant to Procurement Policy Board Rule 2-08(f)(2), the contractor will be charged a fee for the administration of the VENDEX system, including the Vendor Name Check process, if a Vendor Name Check review is required to be conducted by the Department of Investigation. The contractor shall also be required to pay the applicable required fees for any of its subcontractors for which Vendor Name Check reviews are required. The fee(s) will be deducted from payments made to the contractor under the contract. For contracts with an estimated value of less than or equal to \$1,000,000, the fee will be \$175 per Vendor Name Check review. For contracts with an estimated value of greater than \$1,000,000, the fee will be \$350 per Vendor Name Check review.

**(B)** <u>Confirmation of Vendex Compliance</u>: The Bidder shall submit this Confirmation of Vendex Compliance to the Department of Design and Construction, Contracts Section, 30-30 Thomson Avenue – First Floor, Long Island City, NY 11101.

**<u>Bid Information</u>**: The Bidder shall complete the bid information set forth below.

Name of Bidder:	
Bidder's Address:	
Bidder's Telephone Number:	
Bidder's Fax Number:	·
Date of Bid Opening:	 
Project ID:	

**Vendex Compliance:** To demonstrate compliance with Vendex requirements, the Bidder shall complete either Section (1) or Section (2) below, whichever applies.

(1) <u>Submission of Vendex Questionnaires to MOCS</u>: By signing in the space provided below, the Bidder certifies that as of the date specified below, the Bidder has submitted Vendex Questionnaires to the Mayor's Office of Contract Services, Attn: VENDEX, 253 Broadway, 9<sup>th</sup> Floor, New York, New York 10007.

Date of Submission:	

By:

(Signature of Partner or corporate officer)

Print Name:

(2) <u>Submission of Certification of No Change to DDC:</u> By signing in the space provided below, the Bidder certifies that it has read the instructions in a "Vendor's Guide to Vendex" and that such instructions do not require the Bidder to submit Vendex Questionnaires. The Bidder has completed TWO ORIGINALS of the Certification of No Change set forth on the next page of this Bid Booklet.

By: \_\_\_\_\_

(Signature of Partner or corporate officer)

Print Name: \_\_\_\_\_\_

# (NO TEXT ON THIS PAGE)

# **Certificate of No Change Form**



• Please fill in all the fields and DO NOT leave any field blank.

Please submit two completed forms. Copies will not be accepted.

Please send both copies to the agency that requested it, unless you are advised to send it directly to the Mayor's Office of Contract Services (MOCS).

- A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.
- A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges

Michael Mutino

, being duly sworn, state that I have read

Enter Your Name

and understand all the items contained in the vendor questionnaire and any submission of change as identified on page one of this form and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

n addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) and any submission of change identified on page two of this form have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that the City of New York will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

# Vendor Questionnaire This section is required.

This refers to the vendor questionnaire(s) submitted for the vendor doing business with the City.

Name of Submitting Entity:	
Vendor's Address:	
Vendor's EIN or TIN: Requesting Agend	NYC DDC
Are you submitting this Certification as a parent? (Please circle or	ne) 🗌 Yes 🖌 No
Signature date on the last full vendor questionnaire signed by the	
Signature date on changed submission, if applicable, for the subm	
Mayor's Office of Contract Services 253 Broadway, 9th Floor New York, NY 1000	4

Phone: 212-788-0010 Fax: 212-788-0049

# **Principal Questionnaire**

This section refers to the most recent principal questionnaire submissions.



Principal Name	Date of signature on last full Principal Questionnaire 02/05/2014	Date(s) of signature on Changed Submission (if applicable) 06/03/2014
1 Michael Mutino	02/03/2014	
2 Dario Cavaliere	02/05/2014	06/03/2014
3		
4	· .	
5		
6		

Check if additional changes were submitted and attach a document with the date of additional submissions.

# Certification This section is required.

This form must be signed and notarized. Please complete this twice. Copies will not be accepted.

Name (Print)		
President		
Title		
Inter Contracting Corp.		
Name of Submitting Entity		40/00/00
1177		10/09/20
Signature		Date Elizabeth Leichnam Notary Public, State of New York
lotarized By:		Notary Public, State of New York No. 01LE6004261 Qualified in Queens County Commission Expires June 7, 20
Elabert La	Queens	
Notary Public	County License Issued	License Number
October 9	2015	
Sworn to before me on: October 9 Date	1	

# **Certificate of No Change Form**



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- Please send both copies to the agency that requested it, unless you are advised to send it directly to the Mayor's Office of Contract Services (MOCS).
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- A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges

\_, being duly sworn, state that I have read

# Enter Your Name

and understand all the items contained in the vendor questionnaire and any submission of change as identified on page one of this form and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

Ι,

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) and any submission of change identified on page two of this form have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

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This refers to the vendor questionnaire(s) submitted for the vendor doing business with the City.

Name of Submitting Entity:	
Vendor's Address:	
Vendor's EIN or TIN: R	Requesting Agency:
Are you submitting this Certification as a parent?	(Please circle one) Yes No
Signature date on the last full vendor questionnai	re signed for the submitting vendor:
Signature date on change submission for the sub-	mitting vendor:
Mayor's Office of	Contract Services

253 Broadway, 9th Floor New York, NY 10007 Phone: 212 788 0018 Fax: 212 788 0049

**Principal Questionnaire** This section refers to the most recent principal questionnaire submissions.



Principal Name	Date of signature on last full Principal Questionnaire	Date(s) of signature on submission of change
1		
2		
3		· · · · · · · · · · · · · · · · · · ·
4		
5		
6		
	e submitted and attach a document with the	e date of additional submissions.
<b>Certification</b> This section is this form must be signed and not	's required <b>.</b> tarized. Please complete this twice. C	opies will not be accepted.
Certified By:		
Name (Print)		
Title		· · · · · · · · · · · · · · · · · · ·
Name of Submitting Entity		
Signature		Date
Notarized By:		
Notary Public	County License Issued	License Number
Sworn to before me on:		· · ·
253	Mayor's Office of Contract Services 3 Broadway, 9th Floor New York, NY 10007	2

Phone: 212 788 0018 Fax: 212 788 0049

# **Certificate of No Change Form**



1

- Please submit two completed forms. Copies will not be accepted.
- Please send both copies to the agency that requested it, unless you are advised to send it directly to the Mayor's Office of Contract Services (MOCS).
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, being duly sworn, state that I have read

# Enter Your Name

and understand all the items contained in the vendor questionnaire and any submission of change as identified on page one of this form and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

١,

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) and any submission of change identified on page two of this form have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that the City of New York will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

# Vendor Questionnaire This section is required.

This refers to the vendor questionnaire(s) submitted for the vendor doing business with the City.

Name of Submitting Entity:			
Vendor's Address:			
Vendor's EIN or TIN:	Requesting Agency:		
Are you submitting this Certification as a parent	? (Please circle one)	Yes	No
Signature date on the last full vendor questionna	aire signed for the submi	tting ven	dor:
Signature date on change submission for the su	bmitting vendor:		

Mayor's Office of Contract Services 253 Broadway, 9th Floor New York, NY 10007 Phone: 212 788 0018 Fax: 212 788 0049

**Principal Questionnaire** This section refers to the most recent principal questionnaire submissions.





Principal Name	Date of signature on last full Principal Questionnaire	Date(s) of signature or submission of change
1		
2		· · · · ·
3		
ertification This section is re is form must be signed and notariz ertified By:	e <b>quired.</b> zed. Please complete this twice. C	opies will not be accepted.
Name (Print)		
Name (Print)	······································	
Name (Print) Title		
·		
Title		Date
Title Name of Submitting Entity		Date
Title Name of Submitting Entity Signature otarized By:	County License Issued	Date License Number
Title Name of Submitting Entity Signature	County License Issued	

Mayor's Office of Contract Services 253 Broadway, 9th Floor New York, NY 10007 Phone: 212 788 0018 Fax: 212 788 0049

2

# BIDDER'S CERTIFICATION OF COMPLIANCE WITH IRAN DIVESTMENT ACT

Pursuant to General Municipal Law §103-g, which generally prohibits the City from entering into contracts with persons engaged in investment activities in the energy sector of Iran, the bidder/proposer submits the following certification:

# [Please Check One]

X

# **BIDDER'S CERTIFICATION**

By submission of this bid or proposal, each bidder/proposer and each person signing on behalf of any bidder/proposer certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief, that each bidder/proposer is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law.

I am unable to certify that my name and the name of the bidder/proposer does not appear on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law. I have attached a signed statement setting forth in detail why I cannot so certify.

SIGNATURE

lichel,

PRINTED NAME

TITLE

Sworn to before me this  $G^{\text{th}}$  day of  $D^{\text{ch}}$ , 20 15

alter 7

Dated:

Elizabeth Leichnam Notary Public, State of New York No. 01LE6004261 Qualified in Queens County

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION 32

BID BOOKLET DECEMBER 2013

# IRAN DIVESTMENT ACT COMPLIANCE RIDER

### FOR NEW YORK CITY CONTRACTORS

The Iran Divestment Act of 2012, effective as of April 12, 2012, is codified at State Finance Law ("SFL") §165-a and General Municipal Law ("GML") §103-g. The Iran Divestment Act, with certain exceptions, prohibits municipalities, including the City, from entering into contracts with persons engaged in investment activities in the energy sector of Iran. Pursuant to the terms set forth in SFL §165-a and GML §103-g, a person engages in investment activities in the energy sector of Iran if:

- (a) The person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or
- (b) The person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran and is identified on a list created pursuant to paragraph (b) of subdivision three of Section 165-a of the State Finance Law and maintained by the Commissioner of the Office of General Services.

A bid or proposal shall not be considered for award nor shall any award be made where the bidder or proposer fails to submit a signed and verified bidder's certification.

Each bidder or proposer must certify that it is not on the list of entities engaged in investment activities in Iran created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law. In any case where the bidder or proposer cannot certify that they are not on such list, the bidder or proposer shall so state and shall furnish with the bid or proposal a signed statement which sets forth in detail the reasons why such statement cannot be made. The City of New York may award a bid to a bidder who cannot make the certification on a case by case basis if:

- (1) The investment activities in Iran were made before the effective date of this section (i.e., April 12, 2012), the investment activities in Iran have not been expanded or renewed after the effective date of this section and the person has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran: or
- (2) The City makes a determination that the goods or services are necessary for the City to perform its functions and that, absent such an exemption, the City would be unable to obtain the goods or services for which the contract is offered. Such determination shall be made in writing and shall be a public document.

# BIDDER'S CERTIFICATION OF COMPLIANCE WITH IRAN DIVESTMENT ACT

Pursuant to General Municipal Law §103-g, which generally prohibits the City from entering into contracts with persons engaged in investment activities in the energy sector of Iran, the bidder/proposer submits the following certification:

[Please Check One]

# **BIDDER'S CERTIFICATION**

- By submission of this bid or proposal, each bidder/proposer and each person signing on behalf of any bidder/proposer certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief, that each bidder/proposer is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law.
- I am unable to certify that my name and the name of the bidder/proposer does not appear on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law. I have attached a signed statement setting forth in detail why I cannot so certify.

SIGNATURE

TITLE

PRINTED NAME

Sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20

Notary Public

Dated:

THE CITY OF NEW YORK DEPARTMENT OF SMALL BUSINESS SERVICES DIVISION OF LABOR SERVICES CONTRACT COMPLIANCE UNIT 110 WILLIAMS STREET NEW YORK, NEW YORK 10038 PHONE: (212) 513-6323 FAX: (212) 618-8879

# **CONSTRUCTION**

# **EMPLOYMENT**

# REPORT



CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION BID BOOKLET DECEMBER 2013

33

# (NO TEXT ON THIS PAGE)

The City of New York Department of Small Business Services Division of Labor Services Contract Compliance Unit 110 William Street New York, New York 10038 Phone: (212) 513 – 6323 Fax: (212) 618-8879

# CONSTRUCTION EMPLOYMENT REPORT

# WHO MUST FILE A CONSTRUCTION EMPLOYMENT REPORT

# A Construction Employment Report (ER) must be filed if you meet the following conditions:

CONTRACT FUNDING SOURCE CONTRACTOR		CONTRACT VALUE	SUBMISSION REQUIREMENT
Federal/Federally assisted	Prime and subcontractors	\$10,000 or greater	
	Prime contractor	\$1,000,000 or greater	Construction Employment Report
City and state funded		\$750,000 or greater	
	Subcontractor	Less than \$750,000	Less than \$750,000 Certificate (City/State Only)

### Prime Contractor:

- A general contractor or construction manager selected to perform work on a construction project funded (in whole
  or in part) by the federal government with a proposed contract value of \$10,000 or more.
- A general contractor or construction manager selected to perform work on a construction project funded or assisted by the City of New York with a proposed contract value of \$1,000,000 or more.

### Subcontractor:

- A subcontractor selected to perform work on a construction project funded (in whole or in part) by the federal
  government with a proposed contract value of \$10,000 or more.
- A subcontractor selected to perform work on a construction project funded or assisted by the City of New York with a proposed contract value of \$750,000 or more.
- A subcontractor selected to perform work on a construction project funded or assisted by the City of New York with a proposed contract value of less than \$750,000 must submit a "Less than \$750,000" certificate.

## WHERE TO FILE

Employment Reports must be filed with the City agency awarding the contract. If you are a contractor or subcontractor who will be working for a private developer in receipt of funding or assistance from the City, the ER must be filed with the City agency with jurisdiction over the developer's project.

# DLS REVIEW PROCESS

In accordance with Executive Order 50 (EO 50), upon receipt by DLS of a completed ER, DLS conducts a review of the contractor's current employment policies, practices and procedures, as well as perform a statistical analysis of the contractor's workforce, if necessary. The process is as follows:

Page 1 Revised 8/13

- 1. Within five (5) business days, DLS will review the ER for completeness and accuracy. If any information is omitted or incorrect, or if necessary documents are not submitted, the submission shall be deemed incomplete and DLS will inform the contractor. The substantive compliance review does not commence until the submission is complete. An incomplete submission will delay the review process and may preclude or interrupt the contract approval.
- 2. If the ER submission is complete, the compliance review will proceed, resulting in one of the following:

### Certificate of Approval

The contractor is found to be in compliance with all applicable laws and regulations. The approval is valid for 36 months.

# **Continued Approval Certificate**

The contractor has been issued a Certificate of Approval in the previous 36 months which is good for the applicable contract.

## **Conditional Certificate of Compliance**

The contractor is required to take corrective actions in order to be in compliance with EO 50. The contractor must meet the conditions within one month of the issue of the Conditional Certificate.

# **Determination of Nonperformance**

The contractor has failed to take the required corrective actions stipulated in the Conditional Certificate. A determination of nonperformance may prevent a contractor from receiving an award of a contract.

# HOW TO COMPLETE THE EMPLOYMENT REPORT

### **Contents**

### **General Information**

Part I: Contractor/Subcontractor Information

- Part II: Employment Policies and Practices
- Part III: Contract Bid Information and Projected and Current Workforce Forms Signature Page

# PART I: CONTRACTOR/SUBCONTRACTOR INFORMATION

- Questions 7 11: Please provide the required contact information for your company. All contracts must have a designated Equal Employment Officer.
- Question 12: If you are a subcontractor, you must state the name of the contractor for whom you are providing the construction services.
- Question 13: Please provide the number of permanent employees in your company.
- Question 14a-g: The Project Identification Number (PIN) and the Contract Registration ID Number (CT#) can be obtained from the City agency. Provide a description of the trade work you will perform on this project and the address where the work will be performed. Subcontractors can obtain this information from the contract they have with the prime contractor.
- Questions 15 18: If your company has received a valid Certificate of Approval within the past 36 months, been audited by the United States Department of Labor, Office of Federal Contract Compliance Programs (OFCCP), or if your company has submitted an ER for a different contract for which you have not yet received a compliance certificate, then you only need to complete and submit the following:
  - General Information section
  - Part I Contractor/Subcontractor Information
  - Form B Projected Workforce
  - Signature Page

If your company is currently waiting for an approval on another contract previously submitted, be certain to identify the date on which you submitted the completed Employment Report, the name of the City contracting agency with which the contract was made, and the name and telephone number of the person to whom the Employment Report was submitted.

If your company was issued a Conditional Certificate of Approval, all required corrective actions must have been taken or DLS will not issue a Continued Certificate.

Question 18:

If the company was audited by the OFCCP, also provide the following:

- Identify the reviewing OFCCP office by its name and address
- If an unconditional certificate of compliance was issued by the OFCCP, attach a copy of the certificate in lieu of completing Parts II and III;
- Include copies of all corrective actions and documentation of OFCCP's performance; and
- Provide a copy of all stated OFCCP findings.
- Question 19: Please provide a copy of any Collective Bargaining Agreement(s) which is negotiated through an employer trade association on behalf of your organization or any of its affiliates.

# PART II: EMPLOYMENT POLICIES AND PRACTICES

Remember to label all documents with the question number for which they are submitted.

Questions 20a – j:	policies, benefits and p the policy(ies), procedu If your firm follows unw Please submit the mos	rocedures. If so, then you ire(s) and benefit(s) is loca ritten practices or procedu t current document(s), incl	or not your firm has docun must identify <u>by name</u> eac ted and submit copies of a res, include an explanation uding all applicable amend the question to which it cor	Il of the document(s). of how they operate. ments. Label each	
Questions 21a – h:	Inquires about the mar Reform and Control Ac	bout the manner/methods by which you comply with the requirements of the Immigration d Control Act of 1986 (IRCA).			
Question 22:	Inquires into where and	Inquires into where and how I-9 forms are maintained and stored.			
Questions 23a – e	: Inquires into whether or not there is a requirement that an applicant or employee be subjected to a medical examination at any given time. Copes of the medical information questionnaire and instructions must be submitted with the Employment Report.				
Question 24:	Indicate the existence and location of all statements of your firm's Equal Employment Opportunity policy and attach a copy of each statement.				
Question 25:	Submit any current Affirmative Action Plan(s) created pursuant to Executive Order 11246.				
Question 26:	If your firm or collective bargaining agreement has an internal grievance procedure, indicate this and submit a copy of the policy and procedure. If unwritten, explain its nature and operation. Explain how your firm's procedure addresses EEO complaints.				
Question 27:	If your employees have used the procedure in the last three (3) years, please submit an explanation in the format indicated below:				
lumber of mplaint(s)	2. Nature of the complaint(s)	3. Position(s) of the complainant(s)	4. Was an investigation conducted?	5. Current status of the disposition	

Y/N

Question 28: Indicate whether in the past three (3) years complaints have been filed with a court of law or administrative agency, naming your company as a defendant (or respondent) in a complaint alleging violation of any anti-discrimination or affirmative action laws. If yes, develop and submit a log to show, for each administrative/and or judicial action filed, the following information:

1. Name(s) of complainant(s)	2. Administrative agency or court in which action	3. Nature of the complaint(s)	4. Current status	5. If not pending, the complaint's disposition	
	was filed	• • • • •			

Question 29: Identify each job for which a physical qualification exists. Identify and explain the physical qualification(s) for each stated job. Submit job descriptions for each job and the reasons for the qualifications.

Question 30: Identify each job for which there exists any qualification related to age, race, color, national origin, sex, creed, disability, marital status, sexual orientation or citizenship status. Identify and explain the specific related qualification for each job stated. Submit job descriptions for each job and the reasons for the qualifications.

# PART III: CONTRACT BID INFORMATION AND PROJECTED AND CURRENT WORKFORCE FORMS

# FORM A: CONTRACT BID INFORMATION - USE OF SUBCONTRACTORS/TRADES

Your projections for the utilization of subcontractors on the proposed contract are to be provided in this section. A chart has been provided for the identification of subcontractors. Information is to be provided to the extent known at the time the ER is filed for review by DLS. If the subcontractor's name is unknown, then write "unknown". Under "ownership", enter the appropriate race/ethnic and gender code. If the contract is federally funded or assisted and the subcontractor is being utilized in accordance with applicable federal requirements with respect to Minority Business Enterprise or Woman Business Enterprise requirements, enter the appropriate code. This will also apply to state funded contracts with similar requirements for minority and female owned businesses.

# FORM B: PROJECTED WORKFORCE FOR WORK TO BE PERFORMED ON THIS PROJECT

For each trade to be engaged by your company for this project, enter the projected workforce for Males and Females by trade classification in the charts provided.

# FORM C: CURRENT WORKFORCE FOR WORK TO BE PERFORMED ON THIS PROJECT

For each trade *currently* engaged by your company for all work performed in NYC, enter the current workforce for Males and Females by trade classification in the charts provided.

### SIGNATURE PAGE

The signatory of this Employment Report and all other documents submitted to DLS must be an official authorized to enter into a binding legal agreement. The signature page must be completed in its entirety and notarized. Only original signatures will be accepted.

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# **GENERAL INFORMATION**

1.	Your contractual relationship in this contract is: Prime contractor x Subcontractor
.1a.	Are M/WBE goals attached to this project? Yes K No
2.	Please check one of the following if your firm would like information on how to certify with the City of New York as a:
	Minority Owned Business Enterprise          Women Owned Business Enterprise       XLocally Based Business Enterprise         Disadvantaged Business Enterprise       Emerging Business Enterprise
2a.	If you are certified as an MBE, WBE, LBE, EBE or DBE, what city/state agency are you certified with? No K Are you DBE certified? Yes No K
3.	Please indicate if you would like assistance from SBS in identifying certified M/WBEs for contracting opportunities: Yes $\chi$ No
4.	Is this project subject to a project labor agreement? Yes No _X
5.	Are you a Union contractor? Yes <u>1</u> No <u>If yes</u> , please list which local(s) you affiliated with <u>10/0, 731, 14, 15, 1556</u>
6.	Are you a Veteran owned company? Yes No X
PART	I: CONTRACTOR/SUBCONTRACTOR INFORMATION
7.	20-4575508MMUtino@intercontructing.corp.comEmployer Identification Number or Federal Tax I.D.Email Address
8.	Inter Contracting Corp.
9.	274 White Plains Rd. Suireb, Easecloseer NY 10709 Company Address and Zip Code
10.	Michnel Mutino 914.337.1350
	Chief Operating Officer Telephone Number
11.	SameDesignated Equal Opportunity Compliance OfficerTelephone Number(If same as Item #10, write "same")Telephone Number
12.	Some
-	Name of Prime Contractor and Contact Person (If same as Item #8, write "same")

Number of	employees in your company:	21
Contract in	formation:	\$15 271 QUELLO
(a) <u>D</u> Contrac	ting Agency (City Agency)	(b) <b>*15, 236, 918.40</b> Contract Amount
(c) <b>850</b> Procure	2014SE 0060 C ement Identification Number (PIN)	(d) Contract Registration Number (CT#)
(e) Projecte	c//6 ed Commencement Date	(f) <u>6/18</u> Projected Completion Date
(g) Descrit	otion and location of proposed cont	tract:
SEQZ	20463: Construction of	- Severs in College Place.

15. Has your firm been reviewed by the Division of Labor Services (DLS) within the past 36 months and issued a Certificate of Approval? Yes X No\_\_\_\_

If yes, attach a copy of certificate.

16. Has DLS within the past month reviewed an Employment Report submission for your company and issued a Conditional Certificate of Approval? Yes\_\_\_\_No\_X\_\_\_

If yes, attach a copy of certificate.

NOTE: DLS WILL NOT ISSUE A CONTINUED CERTIFICATE OF APPROVAL IN CONNECTION WITH THIS CONTRACT UNLESS THE REQUIRED CORRECTIVE ACTIONS IN PRIOR CONDITIONAL CERTIFICATES OF APPROVAL HAVE BEEN TAKEN.

Has an Employment Report already been submitted for a different contract (not covered by this Employment Report) for which you have not yet received compliance certificate?
 Yes\_\_\_\_ No X\_\_\_\_ If yes,

Date submitted:	
Agency to which submitted:	
Name of Agency Person:	
Contract No:	
Telephone:	· · · · · · · · · · · · · · · · · · ·

 Has your company in the past 36 months been audited by the United States Department of Labor, Office of Federal Contract Compliance Programs (OFCCP)? Yes\_\_\_\_ No\_\_\_\_

If yes,

inge B Bindade Main 1983 - Ontwick Alberts Coments Prime Main<u>a</u>

- (a) Name and address of OFCCP office.
- (b) Was a Certificate of Equal Employment Compliance issued within the past 36 months? Yes\_\_\_\_No\_\_\_\_

If yes, attach a copy of such certificate.

(c) Were any corrective actions required or agreed to? Yes\_\_\_ No\_\_\_\_

If yes, attach a copy of such requirements or agreements.

(d) Were any deficiencies found? Yes\_\_\_ No\_\_\_

If yes, attach a copy of such findings.

19. Is your company or its affiliates a member or members of an employers' trade association which is responsible for negotiating collective bargaining agreements (CBA) which affect construction site hiring? Yes\_\_\_\_ No X\_\_\_

If yes, attach a list of such associations and all applicable CBA's.

### PART II: DOCUMENTS REQUIRED

- 20. For the following policies or practices, attach the relevant documents (e.g., printed booklets, brochures, manuals, memoranda, etc.). If the policy(ies) are unwritten, attach a full explanation of the practices. See instructions.
  - (a) Health benefit coverage/description(s) for all management, nonunion and union employees (whether company or union administered)
  - (b) Disability, life, other insurance coverage/description
  - \_\_\_ (c) Employee Policy/Handbook
  - \_\_\_ (d) Personnel Policy/Manual
  - (e) Supervisor's Policy/Manual
  - (f) Pension plan or 401k coverage/description for all management, nonunion and union employees, whether company or union administered
  - \_\_\_\_ (g) Collective bargaining agreement(s).
  - (h) Employment Application(s)
  - (i) Employee evaluation policy/form(s).
  - \_\_\_\_ (j) Does your firm have medical and/or non-medical (i.e. education, military, personal, pregnancy, child care) leave policy?

Tage 3 Rock 2. Mile 198 DAVID - D. USE DAVID FIR Also

To comply with the Immigration Reform and Control Act of 1986 when and of whom does your 21. firm require the completion of an I-9 Form?

(a) Prior to job offer	Yes No
(b) After a conditional job offer	Yes No
(c) After a job offer	Yes 🗶 No
(d) Within the first three days on the job	Yes 🗶 No
(e) To some applicants	Yes No
(f) To all applicants	Yes No
(g) To some employees	Yes No
(h) To all employees	Yes No

Explain where and how completed I-9 Forms, with their supportive documentation, are 22. maintained and made accessible.

They are kept in home office and accessible your request.

Does your firm or any of its collective bargaining agreements require job applicants to take a 23. medical examination? Yes\_\_\_ No X

If yes, is the medical examination given:

(a) Prior to a job offer	Yes	No
(b) After a conditional job offer	Yes	No
(c) After a job offer	Yes	No
(d) To all applicants	Yes	No
(e) Only to some applicants	Yes	No

If yes, list for which applicants below and attach copies of all medical examination or questionnaire forms and instructions utilized for these examinations.

Do you have a written equal employment opportunity (EEO) policy? Yes X No\_\_\_\_ 24.

If yes, list the document(s) and page number(s) where these written policies are located.

25. Does the company have a current affirmative action plan(s) (AAP) Minorities and Women

- Individuals with handicaps
- Other. Please specify
- Does your firm or collective bargaining agreement(s) have an internal grievance procedure with 26. respect to EEO complaints? Yes X No\_\_\_\_

If yes, please attach a copy of this policy.

If no, attach a report detailing your firm's unwritten procedure for handling EEO complaints.

Harry & levisus er A VOLIOTINISTI, ESCOPLICE FILIPA

27. Has any employee, within the past three years, filed a complaint pursuant to an internal grievance procedure or with any official of your firm with respect to equal employment opportunity? Yes\_\_\_\_No\_X\_\_\_

If yes, attach an internal complaint log. See instructions.

28. Has your firm, within the past three years, been named as a defendant (or respondent) in any administrative or judicial action where the complainant (plaintiff) alleged violation of any anti-discrimination or affirmative action laws? Yes\_\_\_\_ No X

If yes, attach a log. See instructions.

29. Are there any jobs for which there are physical qualifications? Yes X No\_\_\_\_

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s). Labours muse be adequately strong to lift heav objects

30. Are there any jobs for which there are age, race, color, national origin, sex, creed, disability, marital status, sexual orientation, or citizenship qualifications? Yes\_\_\_\_ No <u>x</u>

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

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# SIGNATURE PAGE

Mukino

hereby certify that

I, (print name of authorized official signing)\_ the information submitted herewith is true and complete to the best of my knowledge and belief and submitted with the understanding that compliance with New York City's equal employment requirements, as contained in Chapter 56 of the City Charter, Executive Order No. 50 (1980), as amended, and the implementing Rules and Regulations, is a contractual obligation. I also agree on behalf of the company to submit a certified copy of payroll records to the Division of Labor Services on a monthly basis.

Inter Contracting Corp Contractor's Name Pacs. Michael Mutino Name of person who prepared this Employment Report Pres. Milino Name of official authorized to sign on behalf of the contractor 914.337.1350

Signature of authorized official

Telephone Number

If contractors are found to be underutilizing minorities and females in any given trade based on Chapter 56 Section 3H, the Division of Labor Services reserves the right to request the contractor's workforce data and to implement an employment program.

Contractors who fail to comply with the above mentioned requirements or are found to be in noncompliance may be subject to the withholding of final payment.

Willful or fraudulent falsifications of any data or information submitted herewith may result in the termination of the contract between the City and the bidder or contractor and in disapproval of future contracts for a period of up to five years. Further, such falsification may result in civil and/and or criminal prosecution.

To the extent permitted by law and consistent with the proper discharge of DLS' responsibilities under Charter Chapter 56 of the City Charter and Executive Order No. 50 (1980) and the implementing Rules and Regulations, all information provided by a contractor to DLS shall be confidential.

Only original signatures accepted.

Sworn to before me this <u>9th</u> day of <u>October</u> 20<u>15</u> <u>Claute Le</u> Notary Public

Dothler 9, 2015 Date

Elizabeth Leichnam Notary Public, State of New York No. 01LE6004261 Qualified in Queens County

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# CONTRACT BID INFORMATION: USE OF SUBCONTRACTORS/TRADES

FORM A.

- Do you plan to subcontractor work on this contract? Yes  $\underline{X}$  No\_\_\_\_ ÷
- If yes, complete the chart below. <sup>2</sup>

NOTE: All proposed subcontractors with a subcontract in excess of \$750,000 must complete an Employment Report for review and approval before the contract may be awarded and work commences.

OWNERSHIP (ENTER APPROPRIATE CODE LETTERS RELOWD

\*If subcontractor is presently unknown, please enter the trade (craft name).

**OWNERSHIP CODES** 

W: White B: Black H: Hispanic

A: Asian N: Native American F: Female

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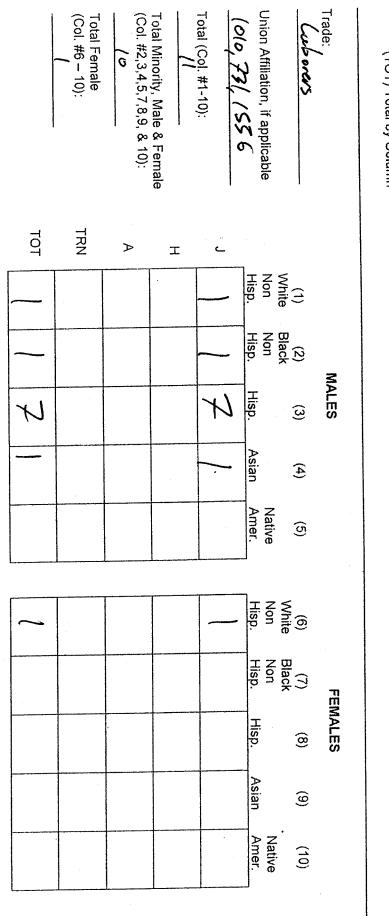
## FORM B: PROJECTED WORKFORCE

### TRADE CLASSIFICATION CODES

(J) Journeylevel Workers (H) Helper (TOT) Total by Column

(A) Apprentice (TRN) Trainee

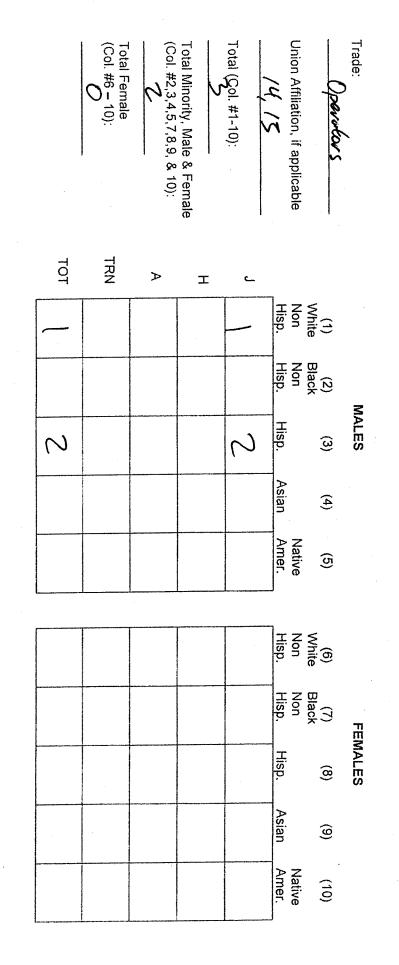
> For each trade to be engaged by your company for this project, enter the projected workforce for Males and Females by trade classification on the charts below.



What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)? Union >

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What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)? Unions

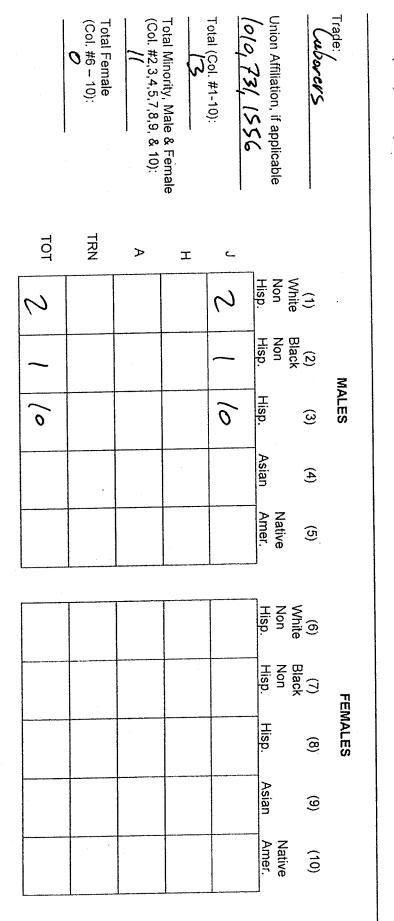
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TRADE CLASSIFICATION CODES

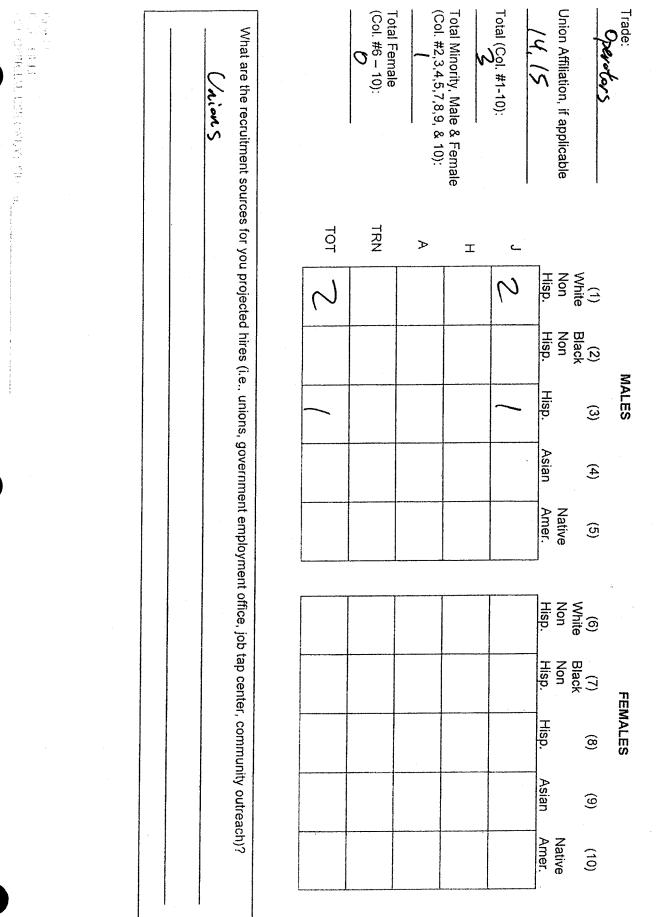
(J) Journeylevel Workers
(A) Apprentice
(H) Helper
(TOT) Total by Column

For each trade currently engaged by your company for all work performed in New York City, enter the current workforce for Males and Females by trade classification on the charts below.



17 AN 11 "VAPALE REPORT NUMBER NOT Recisi d 841.2 What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)? Varians

FORM C: CURRENT WORKFORCE



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Services

Maria Torres-Springer Commissioner #215CY183

May 14, 2015

Mr. Michael Mutino President Inter Contracting Corporation 274 White Plains Road, Suite 6 Eastchester, NY 10709

RE: NYC Department of Design & Construction Contract (DDC); PIN No. #8502013SE0023C; Contract No. #SEQ200574; Construction of sewers in 58<sup>th</sup> Avenue, etc.; Borough of Queens; Contract Value: \$1,857,467.00; Continued Certificate of Approval.

Dear Mr. Mutino:

Please be advised that Inter Contracting Corporation has already received notice of its approval status for the three (3) year period indicated in the Department of Small Business Services/Division of Labor Services' (DLS') Certificate of Approval dated April 15, 2015 for File # 215CY129.

As your organization continues to meet the equal employment opportunity requirements of the City of New York, DLS approves the awarding of the above-referenced contract. This approval does not extend the initial 3 year approval (April 15, 2015 – April 14, 2018) referred to above.

If you have any questions, please call Mr. Jacques St. Cloud at (212) 513-9233 or by email <u>JSt.Cloud@sbs.nvc.gov</u>.

Very truly yours,

Helen Wilson For

Helen Wilson Assistant Commissioner Division of Labor Services

cc: Lorraine Holley (DDC) Jacques St. Cloud File

110 William Street, New York, NY 10038 Tel 212.513.6300 \*Fax 212.618.8991\*TDD 212.513.6306 WWW.nyc.gov/sbs The City of New York Department of Small Business Services Division of Labor Services Contract Compliance Unit 110 William Street, New York, New York 10038 Phone: (212) 513 – 6323 Fax: (212) 618-8879 CONSTRUCTION EMPLOYMENT REPORT

### **GENERAL INFORMATION**

1.	Your contractual relationship in this contract is: Prime c	ontractor_x_ Subcontractor
1a.	Are M/WBE goals attached to this project? Yes	No
2.	Please check one of the following if your firm would like info City of New York as a:	rmation on how to certify with the
		Locally Based Business Enterprise Emerging Business Enterprise
2a.	If you are certified as an <b>MBE, WBE, LBE, EBE</b> or <b>DBE</b> , w certified with? Are yo	hat city/state agency are you u DBE certified? Yes No
3.	Please indicate if you would like assistance from SBS in ide contracting opportunities: Yes No	ntifying certified M/WBEs for
4.	Is this project subject to a project labor agreement? Yes	No
5.	Are you a Union contractor? Yes No If yes, p with	lease list which local(s) you affiliated
6.	Are you a Veteran owned company? Yes No	
PART	TI: CONTRACTOR/SUBCONTRACTOR INFORMATION	
7.	Employer Identification Number or Federal Tax I.D.	Email Address
8.	Company Name	·
9.	Company Address and Zip Code	
10.	Chief Operating Officer	Telephone Number

11. Designated Equal Opportunity Compliance Officer Telephone Number (If same as Item #10, write "same")

12. Name of Prime Contractor and Contact Person (If same as Item #8, write "same")

13.	Number of employees in your company:	
14.	Contract information:	
	(a) Contracting Agency (City Agency)	(b) Contract Amount
	(c) Procurement Identification Number (PIN)	(d) Contract Registration Number (CT#)
	(e) Projected Commencement Date	(f) Projected Completion Date
	(g) Description and location of proposed contract	ot:
15.	Has your firm been reviewed by the Division of L and issued a Certificate of Approval? Yes N	abor Services (DLS) within the past 36 months
	If yes, attach a copy of certificate.	
16.	Has DLS within the past month reviewed an Emp and issued a Conditional Certificate of Approval?	bloyment Report submission for your company Yes No
	If yes, attach a copy of certificate.	

NOTE: DLS WILL NOT ISSUE A CONTINUED CERTIFICATE OF APPROVAL IN CONNECTION WITH THIS CONTRACT UNLESS THE REQUIRED CORRECTIVE ACTIONS IN PRIOR CONDITIONAL CERTIFICATES OF APPROVAL HAVE BEEN TAKEN.

 Has an Employment Report already been submitted for a different contract (not covered by this Employment Report) for which you have not yet received compliance certificate?
 Yes\_\_\_ No\_\_\_ If yes,

Date submitted:			
Agency to which submitted:	 	**	
Name of Agency Person:			
Contract No:			
Telephone:			

18. Has your company in the past 36 months been audited by the United States Department of Labor, Office of Federal Contract Compliance Programs (OFCCP)? Yes\_\_\_\_ No\_\_\_\_

If yes,

- (a) Name and address of OFCCP office.
- (b) Was a Certificate of Equal Employment Compliance issued within the past 36 months? Yes\_\_\_\_ No\_\_\_\_

If yes, attach a copy of such certificate.

(c) Were any corrective actions required or agreed to? Yes\_\_\_\_ No\_\_\_\_

If yes, attach a copy of such requirements or agreements.

(d) Were any deficiencies found? Yes\_\_\_ No\_\_\_\_

If yes, attach a copy of such findings.

19. Is your company or its affiliates a member or members of an employers' trade association which is responsible for negotiating collective bargaining agreements (CBA) which affect construction site hiring? Yes\_\_\_\_ No\_\_\_\_

If yes, attach a list of such associations and all applicable CBA's.

### PART II: DOCUMENTS REQUIRED

- 20. For the following policies or practices, attach the relevant documents (e.g., printed booklets, brochures, manuals, memoranda, etc.). If the policy(ies) are unwritten, attach a full explanation of the practices. See instructions.
  - (a) Health benefit coverage/description(s) for all management, nonunion and union employees (whether company or union administered)
  - (b) Disability, life, other insurance coverage/description
  - (c) Employee Policy/Handbook
  - \_\_\_ (d) Personnel Policy/Manual
  - (e) Supervisor's Policy/Manual
  - (f) Pension plan or 401k coverage/description for all management, nonunion and union employees, whether company or union administered
  - (g) Collective bargaining agreement(s).
  - \_\_\_ (h) Employment Application(s)
  - (i) Employee evaluation policy/form(s).
  - (j) Does your firm have medical and/or non-medical (i.e. education, military, personal, pregnancy, child care) leave policy?

- 21. To comply with the Immigration Reform and Control Act of 1986 when <u>and of whom</u> does your firm require the completion of an I-9 Form?
  - (a) Prior to job offer
  - (b) After a conditional job offer
  - (c) After a job offer
  - (d) Within the first three days on the job
  - (e) To some applicants
  - (f) To all applicants
  - (g) To some employees
  - (h) To all employees

 Yes
 No

 Yes
 No

- 22. Explain where and how completed I-9 Forms, with their supportive documentation, are maintained and made accessible.
- 23. Does your firm or any of its collective bargaining agreements require job applicants to take a medical examination? Yes\_\_\_\_ No\_\_\_\_

If yes, is the medical examination given:

- (a) Prior to a job offer Yes No
- (b) After a conditional job offer Yes\_\_\_ No\_\_\_\_
- (c) After a job offer Yes No
- (d) To all applicants Yes No
- (e) Only to some applicants Yes No

If yes, list for which applicants below and attach copies of all medical examination or questionnaire forms and instructions utilized for these examinations.

24. Do you have a written equal employment opportunity (EEO) policy? Yes\_\_\_\_ No\_\_\_\_

If yes, list the document(s) and page number(s) where these written policies are located.

- 25. Does the company have a current affirmative action plan(s) (AAP)
   \_\_\_\_Minorities and Women
   \_\_\_\_Individuals with handicaps
   \_\_\_Other. Please specify
- 26. Does your firm or collective bargaining agreement(s) have an internal grievance procedure with respect to EEO complaints? Yes\_\_\_\_ No\_\_\_\_

If yes, please attach a copy of this policy.

If no, attach a report detailing your firm's unwritten procedure for handling EEO complaints.

27. Has any employee, within the past three years, filed a complaint pursuant to an internal grievance procedure or with any official of your firm with respect to equal employment opportunity? Yes\_\_\_\_ No\_\_\_\_

If yes, attach an internal complaint log. See instructions.

28. Has your firm, within the past three years, been named as a defendant (or respondent) in any administrative or judicial action where the complainant (plaintiff) alleged violation of any anti-discrimination or affirmative action laws? Yes\_\_\_\_ No\_\_\_\_

If yes, attach a log. See instructions.

29. Are there any jobs for which there are physical qualifications? Yes\_\_\_\_ No\_\_\_\_

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

30. Are there any jobs for which there are age, race, color, national origin, sex, creed, disability, marital status, sexual orientation, or citizenship qualifications? Yes\_\_\_\_ No\_\_\_\_

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).



### SIGNATURE PAGE

I, (print name of authorized official signing)\_\_\_\_\_\_hereby certify that the information submitted herewith is true and complete to the best of my knowledge and belief and submitted with the understanding that compliance with New York City's equal employment requirements, as contained in Chapter 56 of the City Charter, Executive Order No. 50 (1980), as amended, and the implementing Rules and Regulations, is a contractual obligation. I also agree on behalf of the company to submit a certified copy of payroll records to the Division of Labor Services on a monthly basis.

Contractor's Name		
Name of person who prepared the	is Employment Report	Title
Name of official authorized to sig	n on behalf of the contractor	Title
Telephone Number		
Signature of authorized official		Date
If contractors are found to be und 56 Section 3H, the Division of La data and to implement an employ	our Services reserves the right to	in any given trade based on Chapter request the contractor's workforce
Contractors who fail to comply win noncompliance may be subject to	th the above mentioned requirements the withholding of final payment.	ents or are found to be in
Willful or fraudulent falsifications of termination of the contract betwee contracts for a period of up to five criminal prosecution.	In the City and the hidder or contr	actor and in disanary at aff.
To the extent permitted by law an Charter Chapter 56 of the City Ch and Regulations, all information p	after and Executive Order No. 50	arge of DLS' responsibilities under (1980) and the implementing Rules all be confidential.
	Only original signatures accept	ed.
Sworn to before me this	day of 20	
Notary Public	Authorized Signature	Date

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- Do you plan to subcontractor work on this contract? Yes\_\_\_\_ No\_\_ <del>.</del>.
- If yes, complete the chart below. с.

NOTE: All proposed subcontractors with a subcontract in excess of \$750,000 must complete an Employment Report for review and approval before the contract may be awarded and work commences.

PROJECTED DOLLAR VALUE OF SUBCONTRACT			
TRADE PROJECTED FOR USE BY SUBCONTRACTOR			
WORK TO BE PERFORMED BY SUBCONTRACTOR			
OWNERSHIP (ENTER APPROPRIATE CODE LETTERS BELOW)			
SUBCONTRACTOR'S NAME*			

\*If subcontractor is presently unknown, please enter the trade (craft name).

**OWNERSHIP CODES** 

- W: White

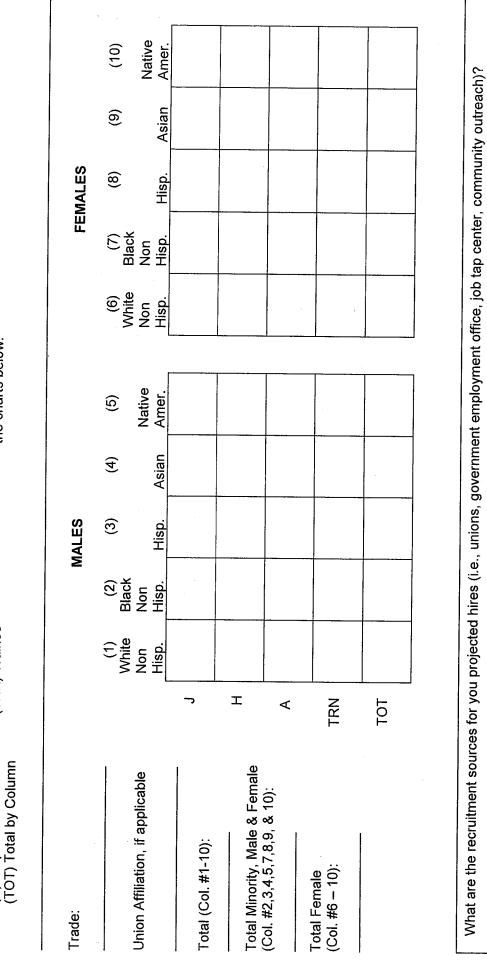
  - B: Black H: Hispanic
- A: Asian N: Native American F: Female

# FORM B: PROJECTED WORKFORCE

### TRADE CLASSIFICATION CODES

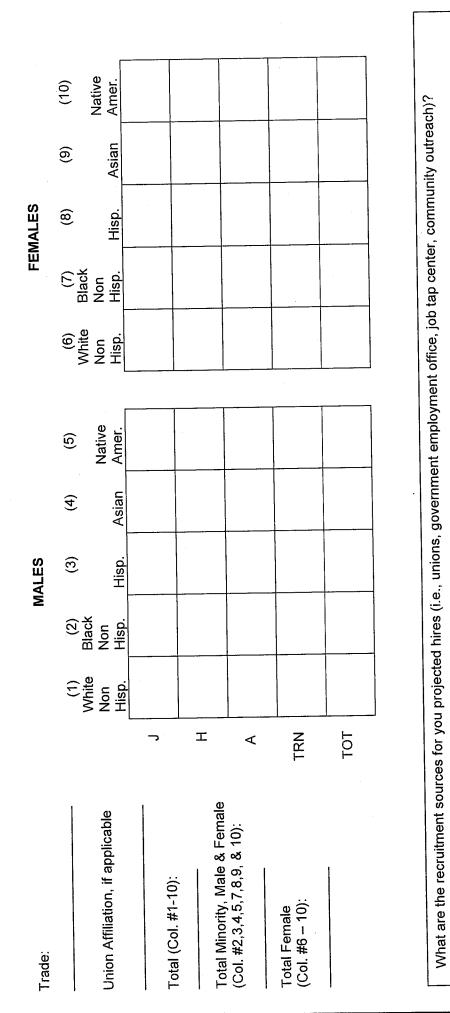
(J) Journeylevel Workers(A) Apprentice(H) Helper(TRN) Trainee

For each trade to be engaged by your company for this project, enter the projected workforce for Males and Females by trade classification on the charts below.



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FORM B: PROJECTED WORKFORCE



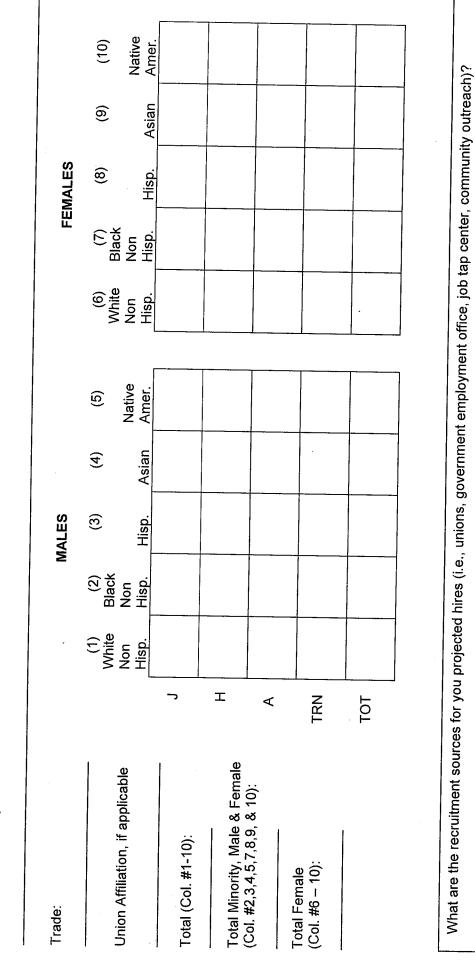
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FORM C: CURRENT WORKFORCE

### TRADE CLASSIFICATION CODES

(J) Journeylevel Workers
 (A) Apprentice
 (H) Helper
 (TOT) Total by Column

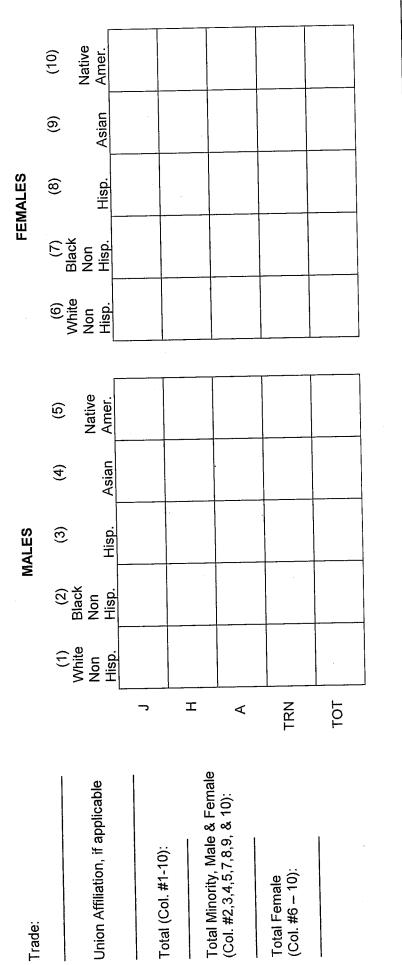
For each trade currently engaged by your company for all work performed in New York City, enter the current workforce for Males and Females by trade classification on the charts below.



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FORM C: CURRENT WORKFORCE



What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

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DNLY: File No.\_\_

The City of New York Department of Small Business Services Division of Labor Services Contract Compliance Unit 110 Willism Street, New York, New York 10038 Phone: (212) 513 – 6323 Fax: (212) 618-8879 CONSTRUCTION EMPLOYMENT REPORT

### GENERAL INFORMATION

1.	Your contractual relationship in this contract is: Prime contractor Subcontractor x
1a.	Are M/WBE goals attached to this project? Yes No
2.	Please check one of the following if your firm would like information on how to certify with the City of New York as a:
	Minority Owned Business EnterpriseLocally Based Business EnterpriseWomen Owned Business EnterpriseEmerging Business EnterpriseDisadvantaged Business Enterprise
2a.	If you are certified as an MBE, WBE, LBE, EBE or DBE, what city/state agency are you certified with? Are you DBE certified? Yes No
3.	Please indicate if you would like assistance from SBS in identifying certified M/WBEs for contracting opportunities: Yes No
4.	Is this project subject to a project labor agreement? Yes No
5.	Are you a Union contractor? Yes No If yes, please list which local(s) you affiliated with
6.	Are you a Veteran owned company? Yes No
PAR	I: CONTRACTOR/SUBCONTRACTOR INFORMATION
7.	Employer Identification Number or Federal Tax I.D. Email Address
8.	Company Name
9.	

Company Address and Zip Code

12.

10. Chief Operating Officer Telephone Number

11. Designated Equal Opportunity Compliance Officer Telephone Number (If same as Item #10, write "same")

Name of Prime Contractor and Contact Person (If same as Item #8, write "same")

13.	Number of employees in your company:	
14.	Contract information:	
	(a) Contracting Agency (City Agency)	(b) Contract Amount
	(c) Procurement Identification Number (PIN)	(d) Contract Registration Number (CT#)
	(e) Projected Commencement Date	(f) Projected Completion Date
	(g) Description and location of proposed contrac	
5.	Has your firm been reviewed by the Division of La and issued a Certificate of Approval? Yes No	abor Services (DLS) within the past 36 month
5.	Has your firm been reviewed by the Division of La and issued a Certificate of Approval? Yes No If yes, attach a copy of certificate.	abor Services (DLS) within the past 36 month o
	If yes, attach a copy of certificate. Has DLS within the past month reviewed an Empl	ovment Report submission for your comment
	If yes, attach a copy of certificate.	ovment Report submission for your comment
6. NC WI	If yes, attach a copy of certificate. Has DLS within the past month reviewed an Empl and issued a Conditional Certificate of Approval?	D Ioyment Report submission for your company Yes No TIFICATE OF APPROVAL IN CONNECTION CORRECTIVE ACTIONS IN PRIOD
	If yes, attach a copy of certificate. Has DLS within the past month reviewed an Empl and issued a Conditional Certificate of Approval? If yes, attach a copy of certificate. DTE: DLS WILL NOT ISSUE A CONTINUED CERT TH THIS CONTRACT UNLESS THE REQUIRED C	D loyment Report submission for your company Yes No TIFICATE OF APPROVAL IN CONNECTION CORRECTIVE ACTIONS IN PRIOR /E BEEN TAKEN.
6. NC WI CO	If yes, attach a copy of certificate. Has DLS within the past month reviewed an Empl and issued a Conditional Certificate of Approval? If yes, attach a copy of certificate. <b>DTE: DLS WILL NOT ISSUE A CONTINUED CERT TH THIS CONTRACT UNLESS THE REQUIRED CONTINUED CERT TH THIS CONTRACT UNLESS THE REQUIRED CONTINUED CERT Has an Employment Report already been submitted Employment Report already been submitted The submitted: Date submitted: Agency to which submitted:</b>	O loyment Report submission for your company Yes No TIFICATE OF APPROVAL IN CONNECTION CORRECTIVE ACTIONS IN PRIOR /E BEEN TAKEN. ed for a different contract (not covered by this eceived compliance certificate?
6. NC WI CO	If yes, attach a copy of certificate. Has DLS within the past month reviewed an Empl and issued a Conditional Certificate of Approval? If yes, attach a copy of certificate. <b>DTE: DLS WILL NOT ISSUE A CONTINUED CERT TH THIS CONTRACT UNLESS THE REQUIRED CONDITIONAL CERTIFICATES OF APPROVAL HAV</b> Has an Employment Report already been submitted Employment Report already been submitted Tes	by ment Report submission for your company Yes No TIFICATE OF APPROVAL IN CONNECTION CORRECTIVE ACTIONS IN PRIOR /E BEEN TAKEN.
6. NC WI CO	If yes, attach a copy of certificate. Has DLS within the past month reviewed an Empl and issued a Conditional Certificate of Approval? If yes, attach a copy of certificate. <b>DTE: DLS WILL NOT ISSUE A CONTINUED CERT TH THIS CONTRACT UNLESS THE REQUIRED CONDITIONAL CERTIFICATES OF APPROVAL HAV</b> Has an Employment Report already been submitted Employment Report already been submitted Tes	by the United States Department of the states of the state

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- (a) Name and address of OFCCP office.
- (b) Was a Certificate of Equal Employment Compliance issued within the past 36 months? Yes\_\_\_\_ No\_\_\_\_

If yes, attach a copy of such certificate.

(c) Were any corrective actions required or agreed to? Yes\_\_\_\_ No\_\_\_\_

If yes, attach a copy of such requirements or agreements.

(d) Were any deficiencies found? Yes\_\_\_ No\_\_\_

If yes, attach a copy of such findings.

19. Is your company or its affiliates a member or members of an employers' trade association which is responsible for negotiating collective bargaining agreements (CBA) which affect construction site hiring? Yes\_\_\_\_No\_\_\_\_

If yes, attach a list of such associations and all applicable CBA's.



### PART II: DOCUMENTS REQUIRED

- 20. For the following policies or practices, attach the relevant documents (e.g., printed booklets, brochures, manuals, memoranda, etc.). If the policy(ies) are unwritten, attach a full explanation of the practices. See instructions.
  - (a) Health benefit coverage/description(s) for all management, nonunion and union employees (whether company or union administered)
  - (b) Disability, life, other insurance coverage/description
  - \_\_\_ (c) Employee Policy/Handbook
  - \_\_\_ (d) Personnel Policy/Manual
  - (e) Supervisor's Policy/Manual
  - (f) Pension plan or 401k coverage/description for all management, nonunion and union employees, whether company or union administered
  - \_\_\_ (g) Collective bargaining agreement(s).
  - \_\_\_\_ (h) Employment Application(s)
  - (i) Employee evaluation policy/form(s).
  - (j) Does your firm have medical and/or non-medical (i.e. education, military, personal, pregnancy, child care) leave policy?

21. To comply with the Immigration Reform and Control Act of 1986 when <u>and of whom</u> does your firm require the completion of an I-9 Form?

<ul> <li>(a) Prior to job offer</li> <li>(b) After a conditional job offer</li> <li>(c) After a job offer</li> <li>(d) Within the first three days on the job</li> <li>(e) To some applicants</li> <li>(f) To all applicants</li> <li>(g) To some employees</li> <li>(b) To all applicants</li> </ul>	Yes No         Yes No
(h) To all employees	Yes No Yes No

22. Explain where and how completed I-9 Forms, with their supportive documentation, are maintained and made accessible.

23. Does your firm or any of its collective bargaining agreements require job applicants to take a medical examination? Yes\_\_\_ No\_\_\_\_

If yes, is the medical examination given:

- (a) Prior to a job offer Yes\_\_\_ No\_
- (b) After a conditional job offer Yes No
- (c) After a job offer Yes No
- (d) To all applicants Yes No
- (e) Only to some applicants Yes No

If yes, list for which applicants below and attach copies of all medical examination or questionnaire forms and instructions utilized for these examinations.

24. Do you have a written equal employment opportunity (EEO) policy? Yes\_\_\_\_ No\_\_\_\_

If yes, list the document(s) and page number(s) where these written policies are located.

25. Does the company have a current affirmative action plan(s) (AAP)
 \_\_\_\_Minorities and Women
 \_\_\_\_Individuals with handicaps
 \_\_\_Other. Please specify

26. Does your firm or collective bargaining agreement(s) have an internal grievance procedure with respect to EEO complaints? Yes\_\_\_\_ No\_\_\_\_

If yes, please attach a copy of this policy.

If no, attach a report detailing your firm's unwritten procedure for handling EEO complaints.

27. Has any employee, within the past three years, filed a complaint pursuant to an internal grievance procedure or with any official of your firm with respect to equal employment opportunity? Yes\_\_\_\_ No\_\_\_\_

If yes, attach an internal complaint log. See instructions.

28. Has your firm, within the past three years, been named as a defendant (or respondent) in any administrative or judicial action where the complainant (plaintiff) alleged violation of any anti-discrimination or affirmative action laws? Yes\_\_\_ No\_\_\_\_

If yes, attach a log. See instructions.

29. Are there any jobs for which there are physical qualifications? Yes\_\_\_ No\_\_\_\_

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

30. Are there any jobs for which there are age, race, color, national origin, sex, creed, disability, marital status, sexual orientation, or citizenship qualifications? Yes\_\_\_\_ No\_\_\_\_

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).



### SIGNATURE PAGE

I, (print name of authorized official signing) \_\_\_\_\_\_\_ hereby certify that the information submitted herewith is true and complete to the best of my knowledge and belief and submitted with the understanding that compliance with New York City's equal employment requirements, as contained in Chapter 56 of the City Charter, Executive Order No. 50 (1980), as amended, and the implementing Rules and Regulations, is a contractual obligation. I also agree on behalf of the company to submit a certified copy of payroll records to the Division of Labor Services on a monthly basis.

Title r Title Date Date males in any given trade based on Chapter ght to request the contractor's workforce uirements or are found to be in
Date males in any given trade based on Chapter ght to request the contractor's workforce
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ment.
ubmitted herewith may result in the r contractor and in disapproval of future cation may result in civil and/and or
discharge of DLS' responsibilities under lo. 50 (1980) and the implementing Rules LS shall be confidential.
ccepted.
Date

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# ONTRACT BID INFORMATION: USE OF SUBCONTRACTORS IRADES FORM A.

- Do you plan to subcontractor work on this contract? Yes\_\_\_\_ No\_\_ .-
- If yes, complete the chart below. с.

NOTE: All proposed subcontractors with a subcontract in excess of \$750,000 must complete an Employment Report for review and approval before the contract may be awarded and work commences.

PROJECTED DOLLAR VALUE OF SUBCONTRACT			
TRADE PROJECTED FOR USE BY SUBCONTRACTOR			
WORK TO BE PERFORMED BY SUBCONTRACTOR			
OWNERSHIP (ENTER APPROPRIATE CODE LETTERS BELOW)			
SUBCONTRACTOR'S NAME*			

\*If subcontractor is presently unknown, please enter the trade (craft name).

**OWNERSHIP CODES** 

- W: White

  - B: Black H: Hispanic
- A: Asian N: Native American F: Female

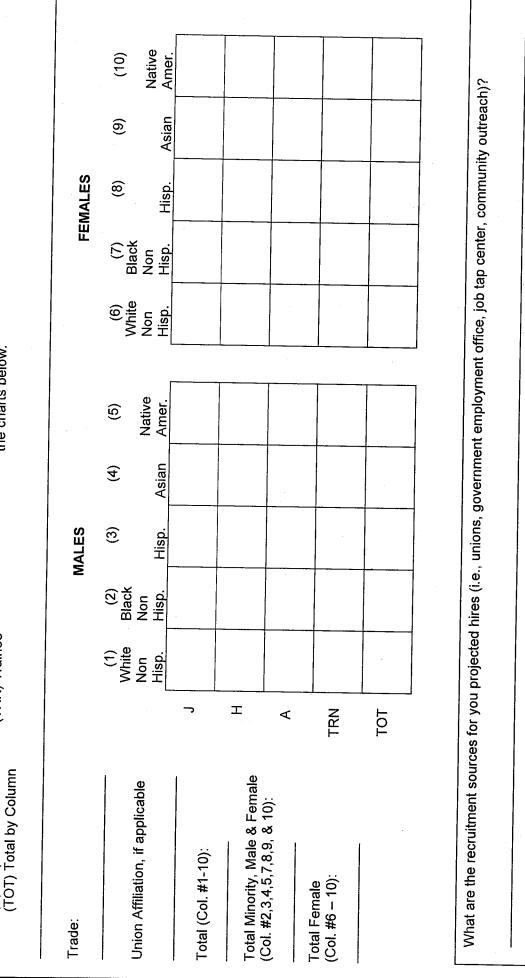
# FORM B: PROJECTED WORKFORCE

### TRADE CLASSIFICATION CODES

(J) Journeylevel Workers (H) Helper

(A) Apprentice (TRN) Trainee

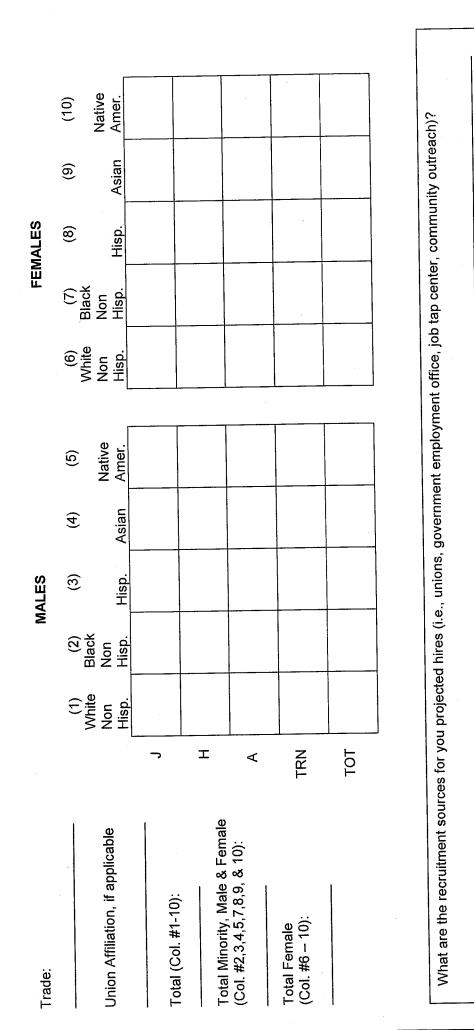
For each trade to be engaged by your company for this project, enter the projected workforce for Males and Females by trade classification on the charts below.



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FORM B: PAGECTED WORKFORCE



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FORM C: CURRENT WORKFORCE

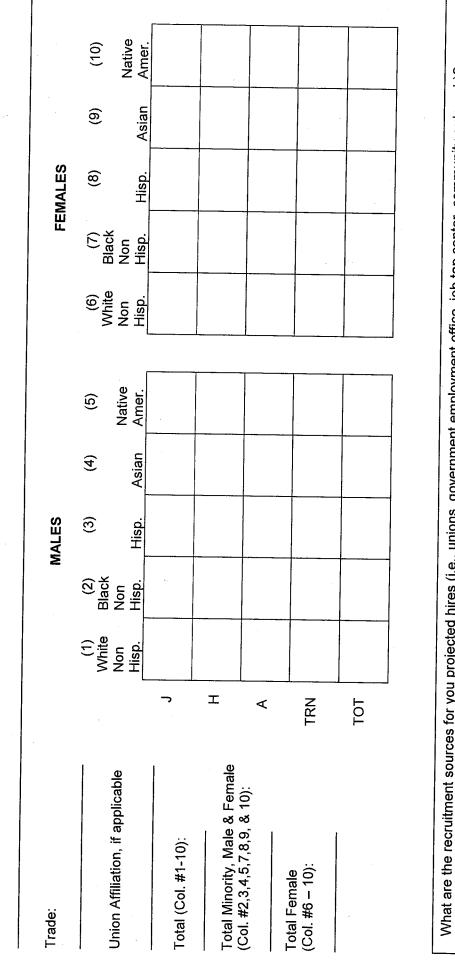
TRADE CLASSIFICATION CODES

(A) Apprentice (J) Journeylevel Workers (TOT) Total by Column

(H) Helper

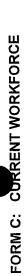
(TRN) Trainee

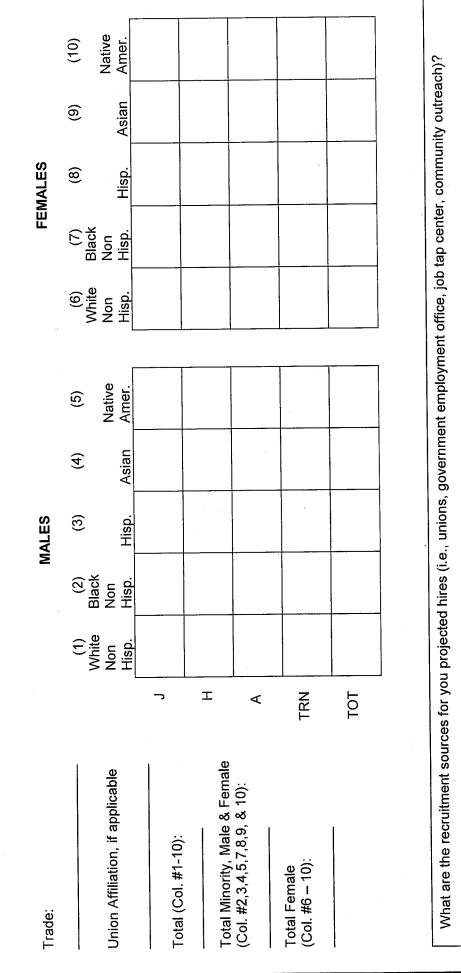
all work performed in New York City, enter the current workforce For each trade currently engaged by your company for for Males and Females by trade classification on the charts below.



What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

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ONLY: File No.

		LIV)	sion of E 110 Willia	Labor Servic am Streat, N	ment of Small B es Contract Cor ew York, New Yo 23 Fax: (212)	npliance ork 1003	Unit S
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Are you	u currently certified a	as one of the	followir	ng? Please	e check yes or	no:	
MBE	YesNo	WBE	Yes _	No	LBE	Yes _	No
DBE	YesNo						
Won Disa Compan	ority Owned Busine nen Owned Busines dvantaged Busines	ss Enterprise s Enterprise s Enterprise		ould like inf	Loc En	cally bas	ertify with the City of New York as sed Business Enterprise Business Enterprise
compan	y Address and Zip i	Jode					
Contact	Person (First Name	, Last Name)				Teleph	one Number
Fax Num	lber		··			E-mail	Address
Descripti							
				<u></u> . <u></u> .			s) you affiliated with
Are you a	a Veteran owned co	mpany? Ye	S	No			
Procurem City cont	ent Identification N racts only)	umber (PIN)			Contract Reg (City contrac	gistratio ts only)	n Number (CT#)

Block and Lot Number (ICIP projects only)

Contract Amount

hereby certify that I am I, (print name of authorized official signing) authorized by the above-named subcontractor to certify that said subcontractor's proposed contract with the above named owner or City agency is less than \$750,000. This affirmation is made in accordance with NYC Charter Chapter 56, Executive Order No. 50 (1980) and the implementing Rules.

Willful or fraudulent falsifications of any data or information submitted herewith may result in the termination of the contract between the City and the bidder or contractor and in disapproval of future contracts for a period of up to five years. Further, such falsification may result in civil and/and or criminal prosecution.

Signature of authorized official		Date
Sworn to before me this	Only original signatures accepted. day of20	
Notary Public	Authorized Signature	Date

#### CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

# **ADDENDA CONTROL SHEET**

BID OPENING DATE: OCTOBER 1, 2015

PROJECT NO.: SEQ200463

TITLE: FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE, ETC

ADDENDA ISSUED	No. OF DRAWINGS	DATE
#1: Amendments to Standard Highway Specs.		04/15/2015
#2: Sewer and Water Main Specifications		06/22/2015
#3: Gas Cost Sharing (EP-7) Std. Specifications		06/22/2015
#4: To CET Specifications		06/22/2015
#5: Hazmat Specifications		06/22/2015
#6: Best Management Practice (BMP) Specifications		06/22/2015
#7: Additional Amendments	· · ·	09/15/2015

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#### ATTACH TO CONTRACT DOCUMENTS

#### THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION INFRASTRUCTURE DIVISION BUREAU OF DESIGN

#### PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 5<sup>TH</sup> AVENUE BETWEEN 121<sup>ST</sup> STREET AND COLLEGE PLACE; 6<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 7<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 8<sup>TH</sup> AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9<sup>TH</sup> AVENUE BETWEEN 118<sup>TH</sup> STREET AND 120<sup>TH</sup> STREET; 118<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 12<sup>TH</sup> AVENUE; 119<sup>TH</sup> STREET BETWEEN 12<sup>TH</sup> AVENUE AND POPPENHUSEN AVENUE; 119<sup>TH</sup> STREET BETWEEN 7<sup>TH</sup> AVENUE AND 9<sup>TH</sup> AVENUE; 120<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 9<sup>TH</sup> ROAD; 9<sup>TH</sup> ROAD BETWEEN 120<sup>TH</sup> STREET AND 121<sup>ST</sup> STREET; 121<sup>ST</sup> STREET BETWEEN 9<sup>TH</sup> ROAD AND 12<sup>TH</sup> AVENUE

INCLUDING WATER MAIN AND STREET LIGHTING WORK

**Together With All Work Incidental Thereto** 

#### **BOROUGH OF QUEENS**

#### ADDENDUM NO. 7

#### DATED: September 15, 2015

This Addendum is issued for the purpose of amending the requirements of the Contract Documents and is hereby made part of said Contract Documents to the same extent as if it was originally included therein.

(1) <u>Refer</u> to the Bid and Contract Documents, VOLUME 1 OF 3, Page A-1, Attachment 1 - Bid Information:

Change the dates shown for Submission of Bids To: and for Bid Opening: from "September 17, 2015 to read "October 1, 2015,"

(2) <u>Refer</u> to the Bid and Contract Documents, VOLUME 1 OF 3, Page 13, Schedule B - MWBE; <u>Change</u> the dates shown for Bid/Proposal Response Date: from "September 17, 2015 to read "October 1, 2015."

By signing in the space provided below, the bidder acknowledges receipt of the one (1) page of this Addendum.

THIS ADDENDUM MUST BE SIGNED BY ALL BIDDERS AND ATTACHED TO THEIR BIDS.

Inter Contracting Name of Bidger

GURDIP SAINI, P.E. Associate Commissioner/Design I

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#### CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

# ADDENDA CONTROL SHEET

BID OPENING DATE: OCTOBER 7, 2015

PROJECT NO.: \_\_\_\_SEQ200463

TITLE: FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE, ETC

ADDENDA ISSUED	No. OF DRAWINGS	DATE
#1: Amendments to Standard Highway Specs.	a	04/15/2015
#2: Sewer and Water Main Specifications		06/22/2015
#3: Gas Cost Sharing (EP-7) Std. Specifications		06/22/2015
#4: To CET Specifications		06/22/2015
#5: Hazmat Specifications		06/22/2015
#6: Best Management Practice (BMP) Specifications		06/22/2015
#7: Additional Amendments		09/15/2015
#8: Additional Amendments		09/30/2015
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#### ATTACH TO CONTRACT DOCUMENTS

#### THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION INFRASTRUCTURE DIVISION BUREAU OF DESIGN

#### PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 5<sup>TH</sup> AVENUE BETWEEN 121<sup>ST</sup> STREET AND COLLEGE PLACE; 6<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 7<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 8<sup>TH</sup> AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9<sup>TH</sup> AVENUE BETWEEN 118<sup>TH</sup> STREET AND 120<sup>TH</sup> STREET; 118<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 12<sup>TH</sup> AVENUE; 119<sup>TH</sup> STREET BETWEEN 12<sup>TH</sup> AVENUE AND POPPENHUSEN AVENUE; 119<sup>TH</sup> STREET BETWEEN 7<sup>TH</sup> AVENUE AND 9<sup>TH</sup> AVENUE; 120<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 9<sup>TH</sup> ROAD; 9<sup>TH</sup> ROAD BETWEEN 120<sup>TH</sup> STREET AND 121<sup>ST</sup> STREET; 121<sup>ST</sup> STREET BETWEEN 9<sup>TH</sup> ROAD AND 12<sup>TH</sup> AVENUE

#### INCLUDING WATER MAIN AND STREET LIGHTING WORK

#### **Together With All Work Incidental Thereto**

#### **BOROUGH OF QUEENS**

#### ADDENDUM NO. 8

#### DATED: September 30, 2015

This Addendum is issued for the purpose of amending the requirements of the Contract Documents and is hereby made part of said Contract Documents to the same extent as if it was originally included therein.

(1) <u>Refer</u> to the Bid and Contract Documents, VOLUME 1 OF 3, Page A-1, Attachment 1 - Bid Information;

<u>Change</u> the dates shown for Submission of Bids To: and for Bid Opening: from "October 1, 2015 to read "October 7, 2015."

- (2) <u>Refer</u> to the Bid and Contract Documents, VOLUME 1 OF 3, Page 13, Schedule B MWBE; <u>Change</u> the dates shown for Bid/Proposal Response Date: from "October 1, 2015 to read "October 7, 2015."
- (3) <u>Refer</u> to the Contract Drawings, sheet 8 of 38; <u>Delete</u> the text that reads: "(Spot repair 20" San. Sewer approx. 20'±) (See notes on Sheet No. 19)"
- (4) See attached list of QUESTIONS SUBMITTED BY BIDDERS AND RESPONSES PROVIDED BY DDC for additional information.

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**ADDENDUM NO. 8** 

By signing in the space provided below, the bidder acknowledges receipt of the two (2) pages of this Addendum plus one (1) page of attachment.

THIS ADDENDUM MUST BE SIGNED BY ALL BIDDERS AND ATTACHED TO THEIR BIDS.

G. San

Name of Bidder

GURDIP SAINI, P.E. Associate Commissioner/Design I

#### PROJECT ID: SEQ200463

#### QUESTIONS SUBMITTED BY BIDDERS AND RESPONSES PROVIDED BY DDC

**QUESTION NO. 1:** What are the pay limits for the outfall?

**ANSWER NO. 1:** For the pay limit of the outfall structure, refer to sheet No. 23 of 38 of the Contract Drawings.

**QUESTION NO. 2:** Are any permits required from New York City Department of Parks and Recreation? If so please provide a copy of the permit or a list of the permit requirements.

**ANSWER NO. 2:** The contractor shall apply for all necessary permits during construction phase of the project as stated in the Standard Sewer and Water Main Specifications dated July 1, 2014 and in Addendum No. 2 provided in Volume 3 of 3 of the Bid and Contract Documents.

**QUESTION NO. 3:** Are all approvals, acquisitions, permits, etc. necessary to construct the entire project in place at this time?

**ANSWER NO. 3:** Acquisitions and easements related work is being processed at this time. However, for permits see answer to Question No. 2.

QUESTION NO. 4: Is a load test required for piles?

**ANSWER NO. 4:** Refer to the Standard Sewer and Water Main Specifications dated July 1, 2014, **Subsection 70.11.3** – GENERAL PILE INSTALLATION PROVISIONS, page VII – 4.

**QUESTION NO. 5:** The manhole over the 30" interceptor requires the bottom be poured in place. Can the existing invert be left in place? If not please provide the flow in the interceptor so a bypass system can be designed and costed.

**ANSWER NO. 5:** No, the manhole bottom shall be poured in place. The estimated flow in the interceptor sewer is 78± cubic feet per second.

QUESTION NO. 6: The pavement cores and borings show some streets have areas of concrete base with asphalt and other areas of just asphalt. How will this be handled in the field? Will all concrete base be required or all asphalt or both?

ANSWER NO. 6: Refer to Addendum No. 2, Article (7), page A2-12.

QUESTION NO. 7: Sheet 8 shows a spot repair for a 20" sewer but there is no corresponding bid item. Please clarify.

ANSWER NO. 7: Refer to Article (3) of this Addendum

QUESTION NO. 8: Can water main work be performed prior to the issuance of the outfall permits?

ANSWER NO. 8: Yes

QUESTION NO. 9: Can sanitary sewer be done prior to issuing the outfall permits?

ANSWER NO. 9: Yes

PAGE 1 OF 1

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#### CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

# **ADDENDA CONTROL SHEET**

BID OPENING DATE: OCTOBER 7, 2015

PROJECT NO.: SEQ200463

TITLE: FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE, ETC

ADDENDA ISSUED	No. OF DRAWINGS	DATE
#1: Amendments to Standard Highway Specs.		04/15/2015
#2: Sewer and Water Main Specifications		06/22/2015
#3: Gas Cost Sharing (EP-7) Std. Specifications		06/22/2015
#4: To CET Specifications		06/22/2015
#5: Hazmat Specifications	en e	06/22/2015
#6: Best Management Practice (BMP) Specifications		06/22/2015
#7: Additional Amendments		09/15/2015
#8: Additional Amendments		09/30/2015
#9: Additional Amendments		10/02/2015
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#### ATTACH TO CONTRACT DOCUMENTS

#### THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION INFRASTRUCTURE DIVISION BUREAU OF DESIGN

#### PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 5<sup>TH</sup> AVENUE BETWEEN 121<sup>ST</sup> STREET AND COLLEGE PLACE; 6<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 7<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 8<sup>TH</sup> AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9<sup>TH</sup> AVENUE BETWEEN 118<sup>TH</sup> STREET AND 120<sup>TH</sup> STREET; 118<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 12<sup>TH</sup> AVENUE; 119<sup>TH</sup> STREET BETWEEN 12<sup>TH</sup> AVENUE AND POPPENHUSEN AVENUE; 119<sup>TH</sup> STREET BETWEEN 7<sup>TH</sup> AVENUE AND 9<sup>TH</sup> AVENUE; 120<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 9<sup>TH</sup> ROAD; 9<sup>TH</sup> ROAD BETWEEN 120<sup>TH</sup> STREET AND 121<sup>ST</sup> STREET; 121<sup>ST</sup> STREET BETWEEN 9<sup>TH</sup> ROAD AND 12<sup>TH</sup> AVENUE

**INCLUDING WATER MAIN AND STREET LIGHTING WORK** 

**Together With All Work Incidental Thereto** 

#### **BOROUGH OF QUEENS**

#### ADDENDUM NO. 9

#### DATED: October 2, 2015

This Addendum is issued for the purpose of amending the requirements of the Contract Documents and is hereby made part of said Contract Documents to the same extent as if it was originally included therein.

- (1) <u>Refer</u> to the Bid and Contract Documents, VOLUME 3 of 3, ADDENDUM NO. 2, OCMC TRAFFIC STIPULATIONS, pages 1 to 4. <u>Delete</u> all pages in their entirety; <u>Substitute</u> with attached revised OCMC TRAFFIC STIPULATIONS, pages 1R to 4R;
- (2) See attached list of QUESTIONS SUBMITTED BY BIDDERS AND RESPONSES PROVIDED BY DDC for additional information.

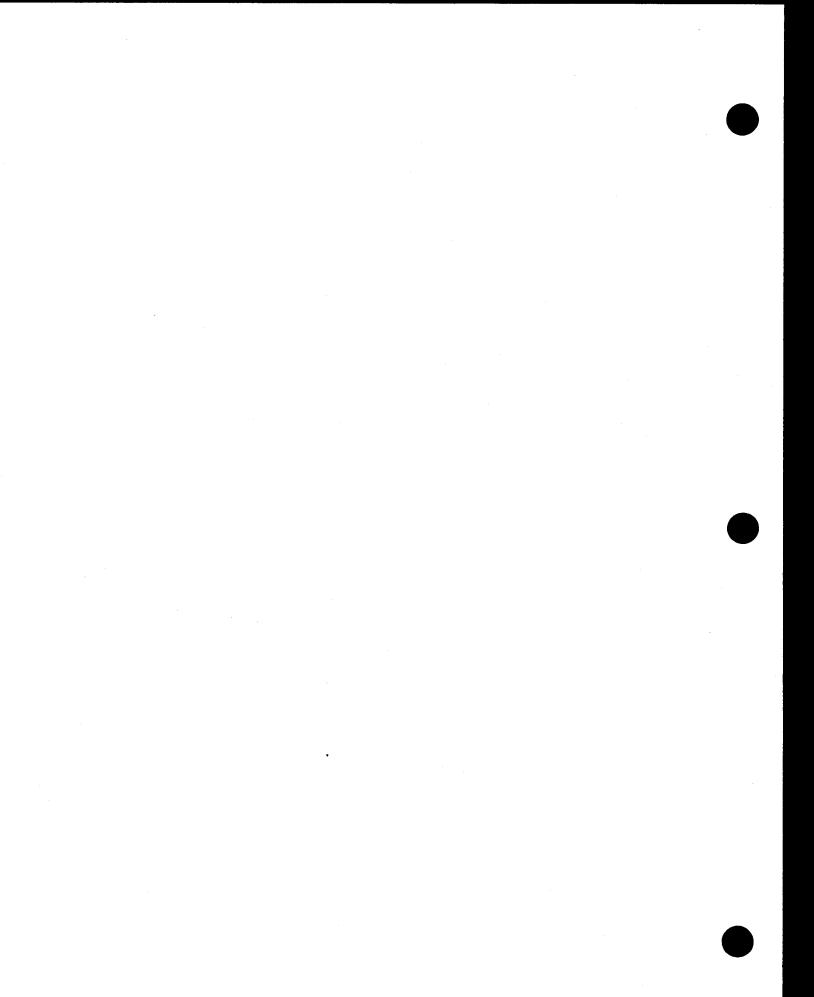
By signing in the space provided below, the bidder acknowledges receipt of the one (1) page of this Addendum plus six (6) pages of attachments.

THIS ADDENDUM MUST BE SIGNED BY ALL BIDDERS AND ATTACHED TO THEIR BIDS.

Inter Contracting Corp. Name of Bidder

GURDIP SAINI, P.E.
 Associate Commissioner/Design I

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#### **ADDENDUM NO. 9**

#### PROJECT ID: SEQ200463

#### QUESTIONS SUBMITTED BY BIDDERS AND RESPONSES PROVIDED BY DDC

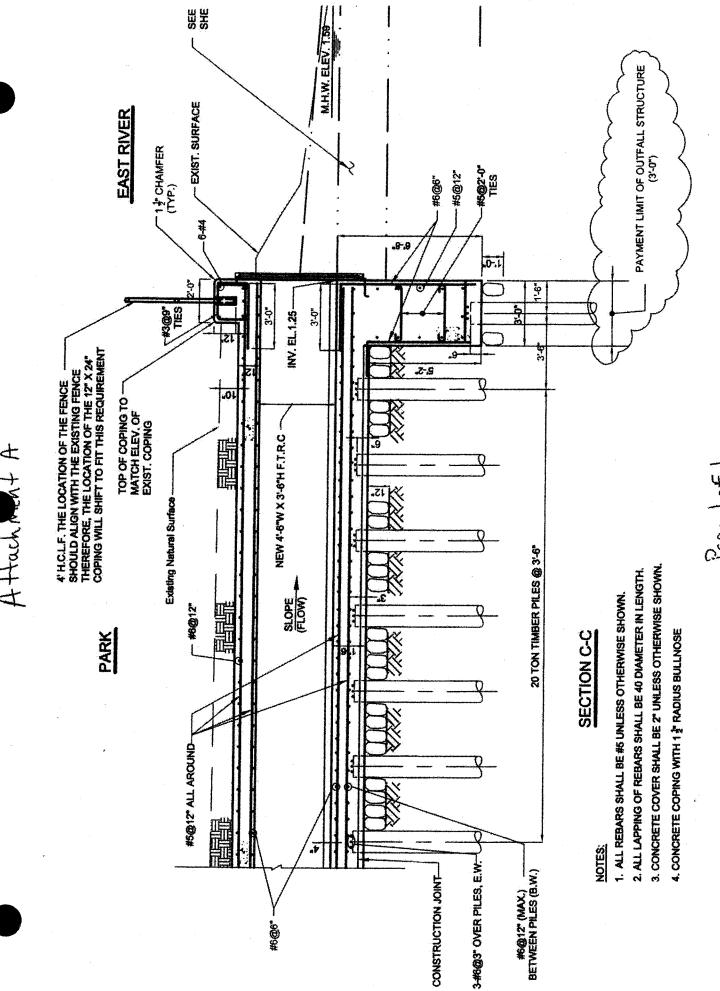
**QUESTION NO. 1:** The pay limits of the outfall are not shown on Sheet 23 of 38. The limits shown on that sheet are perpendicular to the line of the sewer work. The limits should be along the line of the sewer and clearly show the demarcation point between the outfall and the sewer. Please clarify.

ANSWER NO. 1: For the pay limit of the outfall structure, refer to the attached reference to the outfall (Attachment A).

**QUESTION NO. 2:** It is not possible to comply with the traffic stipulations at many locations. For example, College Place is approx. 24 feet wide. During construction of sanitary sewers there is not enough space for a travel lane. Please clarify.

**ANSWER NO. 2:** No changes are required to the traffic stipulations. If however, during construction the field conditions warrant a change to the traffic stipulations, the Contractor in coordination with the Engineer shall apply for the required permits.





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Page 1 of 1



Department of Transportation

POLLY TROTTENBERG, Commissioner

#### OCMC TRAFFIC STIPULATIONS

SEPTEMBER 23, 2015

#### OCMC FILE NO: QEC-14-466 REVISED SEPTEMBER 2015

CONTRACT NO: NYCDDC PROJECT SEQ200463

PROJECT: REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES AND WATERMAINS AND APPURTENANCES IN COLLEGE PLACE AND OTHER LOCATIONS

LOCATION(S):	COLLEGE PLACE FROM POPPENHUSEN AVENUE TO 8TH AVENUE
	POPPENHUSEN AVENUE FROM 119TH STREET TO 121ST STREET
÷	6TH AVENUE FROM 119TH STREET TO COLLEGE PLACE
	7TH AVENUE FROM 119TH STREET TO COLLEGE PLACE
	8TH AVENUE FROM 119TH STREET TO COLLEGE PLACE
	8 <sup>TH</sup> AVENUE FROM COLLEGE PLACE TO DEAD END
	9TH AVENUE FROM 119TH STREET TO COLLEGE PLACE
	9TH AVENUE FROM 118TH STREET TO 120TH STREET
	9TH ROAD FROM 120TH STREET TO 121ST STREET
	12TH AVENUE FROM 118TH STREET TO 119TH STREET
	118TH STREET FROM 9TH AVENUE TO 12TH AVENUE
	119TH STREET FROM POPPENHUSEN AVENUE TO 12TH AVENUE
	1191H STREET FROM 7TH AVENUE TO 9TH AVENUE
	120TH STREET FROM 9TH AVENUE TO 9TH ROAD
	121 <sup>st</sup> STREET FROM 9 <sup>th</sup> AVENUE TO 12 <sup>th</sup> AVENUE

PERMISSION IS HEREBY GRANTED TO THE NYCODC AND ITS DULY AUTHORIZED AGENT, TO ENTER UPON AND RESTRICT THE FLOW OF TRAFFIC AT THE ABOVE LOCATION(S) FOR THE PURPOSE OF CARRYING OUT THE ABOVE NOTED PROJECT, SUBJECT TO THE STIPULATIONS, AS NOTED BELOW:

#### A. SPECIAL STIPULATIONS

- 1. EMBARGOES A CONSTRUCTION EMBARGO WILL APPLY TO THOSE LOCATIONS BELOW WHICH FALL WITHIN THE HOLIDAY EMBARGO OR ANY OTHER SPECIAL EVENT EMBARGOES SUCH AS THE (OTHER EMBARGOES IF APPLICABLE) AS PUBLISHED BY THE BUREAU OF PERMIT MANAGEMENT AND CONSTRUCTION CONTROL.
- 2. <u>BIKE LANES</u> IF WORK IS IN OR AFFECTING A BIKE LANE, THE PERMITTEE MUST POST ADVANCE WARNING SIGNS 350 FEET AND 200 FEET PRIOR TO THE WORK ZONE STATING "CONSTRUCTION IN BIKE LANE AHEAD PROCEED WITH CAUTION". AND ALSO POST A SIGN AT THE WORK ZONE STATING "CONSTRUCTION IN BIKE LANE PROCEED WITH CAUTION". SUCH SIGNS SHALL BE ORANGE, 3' X 3', DIAMOND-SHAPED WITH 4" BLACK LETTERING. SIGNS SHALL BE POSTED IN ACCORDANCE WITH THE FEDERAL MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES (MUTCD).
- 3. <u>BIKE SHARE STATIONS</u>: THE PERMITTEE SHALL NOT REMOVE, RELOCATE, DAMAGE OR DISRUPT THE OPERATION OF EXISTING BIKE SHARE STATIONS WITHOUT FIRST CONTACTING NYC BIKE SHARE AT 855-245-3311 FOR THEIR REQUIREMENTS PRIOR TO COMMENCING WORK.
- 4. <u>BUS STOPS</u> THE PERMITTEE SHALL PROVIDE WRITTEN NOTICE TO NYC DOT OCMC AND NEW YORK CITY TRANSIT (NYCT) A MINIMUM OF FIVE (5) WEEKS IN ADVANCE FOR LANE/STREET CLOSURES THAT AFFECT BUS ROUTES/BUS STOPS.
- 5. <u>STREET LIGHTS / TRAFFIC SIGNALS: THE PERMITTEE SHALL NOT REMOVE OR RELOCATE EXISTING STREET LIGHTS OR TRAFFIC SIGNALS WITHOUT FIRST OBTAINING APPROVAL FROM NYCDOT STREET LIGHTING / TRAFFIC SIGNALS UNIT.</u>
- 6. TRAFFIC CAMERAS, DETECTION/COMMUNICATION EQUIPMENT: IF AT ANY TIME DURING THE APPROVED WORK, THE PERMITTEE ENCOUNTERS TRAFFIC SURVEILLANCE CAMERAS, DETECTION EQUIPMENT OR ANY TYPE OF COMMUNICATION EQUIPMENT (WIRELESS OR HARD-WIRED) ON ANY NYC DOT FACILITY, THAT IS NOT INCLUDED ON THE DESIGN/BUILD DRAWINGS, THE PERMITTEE SHALL IMMEDIATELY NOTIFY NYC DOT TRAFFIC MANAGEMENT BY PHONE AT 718-433-3390 OR 718-433-3340 AND VIA EMAIL AT TMC@DOT.NYC.GOV AND AWAIT DIRECTION PRIOR TO CONTINUING WORK.
- 7. METERS THE PERMITTEE SHALL NOT REMOVE OR RELOCATE PARKING METERS WITHOUT FIRST OBTAINING APPROVAL FROM NYCDOT PARKING METER DIVISION AT 718 894 8651.
- 8. TEMPORARY PARKING REGULATIONS/PAVEMENT MARKINGS THE PERMITTEE IS REQUIRED TO INSTALL, MAINTAIN AND REMOVE ALL NECESSARY TEMPORARY PARKING AND REGULATORY SIGNS AND PAVEMENT MARKINGS, AND RESTORE THEIR

NYC Department of Transportation

Bureau of Permit Management and Construction Control

Water Street - 7th Floor, New York, NY 10041

: 212.839.9637 F: 212-839-8970

www.nyc.gov/dot

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OCMC FILE NO:

QWC-14-466 REVISED SEPTEMBER, 2015

CONTRACT NO: PROJECT:

#### NYCDDC PROJECT SEQ 200463 REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES AND WATERMAINS AND APPURTENANCES IN COLLEGE PLACE AND OTHER LOCATIONS Page 2 of 4

ORIGINAL CONDITION PER NYC DOT STANDARDS, PRIOR TO EXPIRATION OF THEIR PERMITS. THE PERMITTEE OR AGENCY PERFORMING PUBLIC OUTREACH SHALL POST AND MAINTAIN ADVISORY SIGNS A MINIMUM OF 48 HOURS PRIOR TO CHANGING EXISTING PARKING REGULATION SIGNS TO APPROVED TEMPORARY CONSTRUCTION PARKING REGULATION SIGNS. THE ADVISORY SIGNS SHOULD BE POSTED ON ALL POLES AND DRIVE RAILS ON THE SEGMENT AFFECTED, INDICATING THE DATE OF THE CHANGE, THE NEW REGULATIONS AND A TELEPHONE NUMBER TO OBTAIN MORE INFORMATION.

- 9. ACCESS TO ABUTTING PROPERTIES THE PERMITTEE SHALL COORDINATE ALL ACTIVITIES WITH ABUTTING PROPERTY OWNERS TO ENSURE ACCESS IS PROVIDED TO/FROM ENTRANCES/DRIVEWAYS AT ALL TIMES.
- 10. <u>AUTHORIZED PARKING</u> PRIOR TO PERFORMING WORK WHICH IMPACTS AUTHORIZED PARKING, THE PERMITTEE SHALL SUBMIT IN WRITING, AND COPY OCMC-STREETS, A REQUEST TO OCCUPY SPACE CURRENTLY USED BY AUTHORIZED VEHICLES. APPROVAL MUST BE RECEIVED FROM AUTHORIZED PARKING PRIOR TO OCCUPYING THESE AREAS.
- 11. NOTIFICATION THE PERMITTEE MUST AT LEAST TWO (2) WORKING DAYS BEFORE THE START OF CONSTRUCTION NOTIFY THE NYC FIRE DEPARTMENT, NYC POLICE DEPARTMENT, NYCEMS, LOCAL COMMUNITY BOARD, BOROUGH PRESIDENT'S OFFICE-CHIEF ENGINEER, NYCDOT OCMC OFFICE, AND ALL ABUTTING PROPERTY OWNERS.
- 12. <u>CONSTRUCTION INFORMATIONAL SIGNS</u> THIS PROJECT REQUIRES A CONSTRUCTION PROJECT INFORMATIONAL SIGN (CPIS) IN ACCORDANCE WITH NYCDOT HIGHWAY RULE SECTION 2-02 (4) AND (5). CRITERIA AND A PROTOTYPE FOR THIS SIGN MAY BE FOUND ON THE NYCDOT WEBSITE AT:
  - HTTP://WWW.NYC.GOV/HTML/DOT/DOWNLOADS/PDF/DOT\_CPIS\_DIRECTIONS.PDF
- 13. ENHANCED MITIGATIONS
  - ENHANCED MITIGATIONS FOR PEDESTRIAN FLOW, INCLUDING METAL FENCING, SHALL BE PROVIDED TO ENSURE PEDESTRIANS STAY WITHIN THEIR DESIGNATED PATH/ROUTE. FLAGGERS SHALL BE PROVIDED TO ASSIST WITH PEDESTRIANS AT THE DESIGNATED CROSSWALK AREAS. THESE FLAGGERS SHALL BE ASSIGNED TO THIS FUNCTION ONLY.
  - <u>VARIABLE MESSAGE SIGNS (VMS)</u> SHALL BE PROVIDED FOR THIS PROJECT. THE LOCATIONS AND MESSAGES SHALL BE RECOMMENDED BY NYCDDC AND THEIR CONTRACTOR A MINIMUM OF TWO (2) WEEKS PRIOR TO WORK COMMENCING, FOR OCMC REVIEW AND APPROVAL.
  - "NO STANDING ANYTIME-TEMPORARY CONSTRUCTION" SIGNS AND TEMPORARY PAVEMENT MARKINGS SHALL BE INSTALLED AND MAINTAINED AS WARRANTED BY THE MAINTENANCE AND PROTECTION OF TRAFFIC (MPT) REQUIRED TO FACILITATE TRAFFIC MOVEMENTS THROUGH THE WORK ZONE. ALL TEMPORARY SIGNS AND PAVEMENT MARKINGS SHALL BE REMOVED UPON COMPLETION OF THE PROJECT.
  - COMMUNITY OUTREACH SHALL BE PROVIDED FOR THE DURATION OF THE PROJECT.

#### B. MAINTENANCE AND PROTECTION OF TRAFFIC:

#### **REPLACEMENT AND EXTENSION OF STORM SEWERS AND APPURTENANCES:**

POPPENHUSEN AVENUE FROM 119TH STREET TO 121ST STREET COLLEGE PLACE FROM POPPENHUSEN AVENUE TO 8TH AVENUE 12<sup>TH</sup> AVENUE FROM 118<sup>TH</sup> STREET TO 119<sup>TH</sup> STREET 119TH STREET FROM POPPENHUSEN AVENUE TO 12TH AVENUE 120TH STREET FROM 9TH AVENUE TO 9TH ROAD

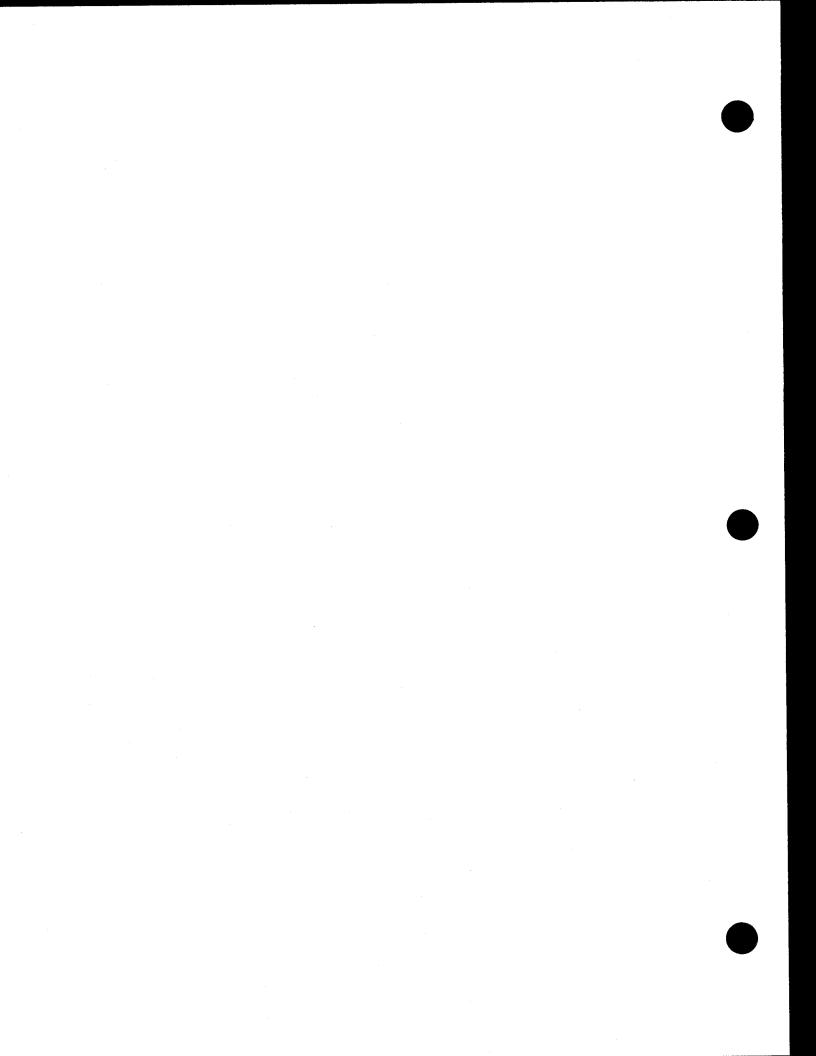
Work hours shall be as follows: 8AM to

8AM to 6PM, Monday thru Friday

- From 9AM to 6PM Saturdays and Sundays.
   The contractors shall maintain 1-12 foot lane for local and emergency traffic during working hours.
- The contractors shall occupy maximum half the width of any intersection during working hours and maintain 2-way traffic in the remaining half width of the intersection.
- Full sidewalk closure allowed for sidewalks less than 15 Ft wide. Post Signs meeting NYCDOT Specs at
  work zone and at both Intersections directing Pedestrians to opposite sidewalk. Maintain 5-Foot
  clear for pedestrians on sidewalks 15-Foot or more in width. After working hours minimum of 5-foot of
  sidewalk must be maintained for pedestrians in both cases
- The contractor shall not work in more than two consecutive street blocks at a time.
- The contractor shall restore the full width of the street at the end of the working hours either by backfilling the excavation or covering it with steel plates

2R of 4R

The contractor shall restore the full width of the sidewalks after working hours.



OCMC FILE NO: QWC

QWC-14-466 REVISED SEPTEMBER, 2015

CONTRACT NO: PROJECT: NYCDDC PROJECT SEQ 200463 REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES AND WATERMAINS AND APPURTENANCES IN COLLEGE PLACE AND OTHER LOCATIONS Page 3 of 4

## C. MAINTENANCE AND PROTECTION OF TRAFFIC (continued):

#### **REPLACEMENT AND EXTENSION OF STORM SEWERS AND APPURTENANCES:**

#### 6TH AVENUE FROM 119TH STREET TO COLLEGE PLACE 7<sup>TH</sup> AVENUE FROM 119TH STREET TO COLLEGE PLACE 8<sup>TH</sup> AVENUE FROM 119TH STREET TO COLLEGE PLACE 9<sup>TH</sup> AVENUE FROM 118<sup>TH</sup> STREET TO 120<sup>TH</sup> STREET 118<sup>TH</sup> STREET FROM 9<sup>TH</sup> AVENUE TO 12<sup>TH</sup> AVENUE

- Work hours shall be as follows:
- 8AM to 6PM, Monday thru Friday From 9AM to 6PM Saturdays and Sundays
- From 9AM to 6PM Saturaays and Sundays
- The contractor shall maintain 2-11 foot lanes, 1-11 foot lane in each direction for two-way traffic
   The contractors shall occupy maximum half the width of any intersection during working hours and maintain 2-way traffic in the remaining half width of the intersection.
- The contractor shall not work in more than two consecutive street blocks at a lime.
- The contractor shall maintain minimum 5-foot clear sidewalk on both sides during working hours.
- The contractor shall restore the full width of the street at the end of the working hours either by
- backfilling the excavation or covering it with steel plates
- The contractor shall restore the full width of the sidewalks after working hours.

#### **REPLACEMENT AND EXTENSION OF SANITARY SEWERS & APPURTENANCES:**

#### 8TH AVENUE FROM 119<sup>201</sup> STREET TO DEAD END 9TH AVENUE FROM 120TH STREET TO COLLEGE PLACE 9TH ROAD FROM 120TH STREET TO COLLEGE PLACE 118TH STREET FROM 9TH AVENUE TO 12TH AVENUE 121ST STREET FROM 9TH AVENUE TO 12TH AVENUE

- Work hours shall be as follows:
- 8AM to 6PM, Monday thru Friday

From 9AM to 6PM Saturdays and Sundays

- The contractor shall maintain 1-11 foot lanes for two-way thru traffic with flaggers at each end of work zone
- The confractors shall occupy maximum half the width of any intersection during working hours and maintain 2-way traffic in the remaining half width of the intersection.
- The contractor shall not work in more than two consecutive street blocks at a time.
- The contractor shall maintain minimum 5-foot clear sidewalk on both sides during working hours.
- The contractor shall restore the full width of the street at the end of the working hours either by
   backfilling the excavation or covering it with steel plates
- The contractor shall restore the full width of the sidewalks after working hours.

#### C. GENERAL NOTES

- 1. THIS IS NOT A PERMIT. THIS STIPULATION SHEET MUST BE SUBMITTED WITH ALL REQUESTS FOR PERMITS PERTAINING TO THE ABOVE CONTRACT AND PRESENT AT THE WORK SITE ALONG WITH ALL ACTIVE CONSTRUCTION PERMITS WHEN THE APPROVED WORK IS BEING PERFORMED.
- 2. THE CONTRACTOR MUST COMPLY WITH ALL CONSTRUCTION EMBARGOS ISSUED BY THE NYCDOT INCLUDING THE HOLIDAY EMBARGO.

3R of 4R

3. THE CONTRACTOR SHALL COMPLY WITH ALL REQUIREMENTS OF THE NYCDOT SPECIAL EVENTS UNIT AS IDENTIFIED BELOW:

#### A. STREET FAIRS / FESTIVALS

- ALL EXCAVATIONS MUST BE PLATED WITH SKID RESISTANT PLATES.
- PLATES MUST BE RECESSED AND FLUSH WITH PAVEMENT.
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL
  MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
- ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.

#### OCMC FILE NO: QWC-14-466 REVISED SEPTEMBER, 2015

CONTRACT NO: PROJECT:

#### NYCODC PROJECT SEQ 200463 REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS ANDAPPURTENANCES AND WATERMAINS AND APPURTENANCES IN COLLEGE PLACE AND OTHER LOCATIONS

Page 4 of 4

- B. RUNNING / WALKING / BIKING EVENTS
- ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT.
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
- ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.

#### C. PARADES

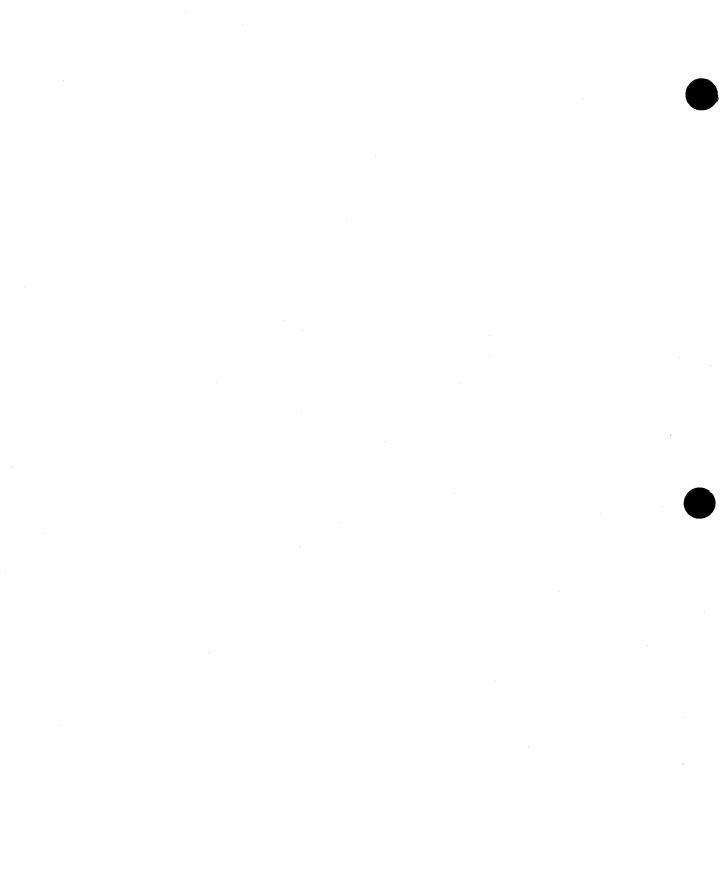
- ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT.
- FORMATION AND DISPERSAL AREA PLATES MUST BE RECESSED AND FLUSH WITH PAVEMENT (PLATES MUST BE SKID RESISTANT).
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
- ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.

#### D. MAYORAL EVENTS

- ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT.
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
- ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.
- 4. ALL RELOCATION WORK BY THE UTILITIES SUCH AS; CON EDISON, TELEPHONE, GAS AND CABLE COMPANIES SHALL PRECEDE THE CONTRACTORS' START OF WORK ON ALL AFFECTED ROADWAYS IN THE IMPACTED CONTRACT AREA.
- 5. THE CONTRACTOR IS ADVISED THAT OTHER CONTRACTORS MAY BE WORKING IN THE GENERAL AREA DURING THE TERM OF THIS STIPULATION. IN WHICH EVENT, THE CONTRACTOR MAY REQUIRE MODIFICATIONS BY THE OCMC-STREETS.
- 6. THE PERMITTEE IS NOT AUTHORIZED TO ENTER, OCCUPY OR USE ANY PUBLICLY-OWNED OR PRIVATELY OWNED, NON-PAVED, LANDSCAPE OR NON-LANDSCAPED LOCATION WITHOUT SPECIFIC WRITTEN PERMISSION. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF A LIMITED-ACCESS ARTERIAL HIGHWAY, WRITTEN APPROVAL FROM THE NYCDOT OCMC-HIGHWAYS IS REQUIRED. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF A PUBLIC STREET OR PUBLIC PARK, WRITTEN APPROVAL FROM THE NEW YORK CITY DEPARTMENT OF TRANSPORTATION OR NEW YORK CITY DEPARTMENT OF PARKS AND RECREATION IS REQUIRED. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF ANY OTHER JURISDICTION SUCH AS PRIVATE PROPERTY, STATE, FEDERAL ETC., IT IS THE PERMITTEE'S RESPONSIBILITY TO DETERMINE THE PROPERTY OWNER AND OBTAIN THE WRITTEN APPROVAL.
- 7. THE PERMITTEE SHALL ADHERE TO THE NYCDOT BUREAU OF BRIDGES' SPECIAL PROVISIONS FOR LANDSCAPE PROTECTION, MAINTENANCE AND RESTORATION, ITEMS 1.18.15 THROUGH 1.18.19, WHENEVER AND WHEREVER ANY OF THE PERMITTEE'S ACTIVITIES OCCUR WITHIN A LIMITED ACCESS ARTERIAL HIGHWAY RIGHT - OF - WAY.
- 8. NO DEVIATION OR DEPARTURE FROM THESE STIPULATIONS WILL BE PERMITTED WITHOUT THE PRIOR WRITTEN APPROVAL FROM THE OCMC-STREETS. REQUEST FOR SUCH MODIFICATIONS SHALL BE SUBMITTED TO THE OFFICE OF THE OCMC-STREETS, NEW YORK CITY
- 9. FOR THIS PROJECT THE CONTRACTOR SHALL FURNISH, INSTALL AND MAINTAIN ALL NECESSARY ADVANCE WARNING AND DETOUR SIGNS, TEMPORARY CONTROL DEVICES, BARRICADES, LIGHTS AND FLASHING ARROW BOARDS IN ACCORDANCE WITH THE "MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES," THE TYPICAL SCHEMES INCLUDED IN THIS SPECIFICATION; AND AS ORDERED BY THE ENGINEER-IN-CHARGE AND THE OCMC-STREETS.
- 10. THE CONTRACTOR SHALL BE RESPONSIBLE FOR IDENTIFYING HIS CONSTRUCTION SIGNAGE. THE IDENTIFICATION SHALL INCLUDE THE CONTRACTOR'S NAME, SPONSORING AGENCY NAME AND THE CONTRACT NUMBER. THE IDENTIFICATION SHALL BE PLACED ON THE BACK OF THE SIGN. THE LETTERING SHALL BE THREE (3) INCHES HIGH.
- 11. THE OCMC-STREETS RESERVES THE RIGHT TO VOID OR MODIFY THESE STIPULATIONS SHOULD CONSTRUCTION FAIL TO COMMENCE WITHIN TWO (2) YEARS OF THE SIGNED DATE OF THESE STIPULATIONS.

NICOLAS DAGHER, P.E. EXECUTIVE DIRECTOR OCMC-STREETS MAZHAR JAMIL PROJECT MANAGER OCMC-STREETS

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INFRASTRUCTURE DIVISION BUREAU OF DESIGN

## VOLUME 1 OF 3

PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL;
POPPENHUSEN AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 5TH AVENUE BETWEEN 121ST STREET AND COLLEGE PLACE; 6TH AVENUE BETWEEN 119TH
STREET AND COLLEGE PLACE; 7TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 8TH AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9TH AVENUE
BETWEEN 118TH STREET AND 120TH STREET; 118TH STREET BETWEEN 9TH AVENUE AND 12TH AVENUE; 119TH STREET BETWEEN 12TH AVENUE AND POPPENHUSEN
AVENUE; 119TH STREET BETWEEN 7TH AVENUE AND 9TH AVENUE; 120TH STREET BETWEEN 9TH AVENUE AND 9TH ROAD; 9TH ROAD BETWEEN 120TH STREET AND 121ST STREET; 121ST STREET BETWEEN 9TH ROAD AND 12TH AVENUE

INCLUDING WATER MAIN AND STREET LIGHTING WORK

Together With All Work Incidental Thereto BOROUGH OF QUEENS CITY OF NEW YORK

**Contractor** 

\_\_\_\_, 20

Dated\_



Department of Design and Construction

### THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

30-30 THOMSON AVENUE LONG ISLAND CITY, NEW YORK 11101-3045 TELEPHONE (718) 391-1000 WEBSITE www.nyc.gov/buildnyc

# **VOLUME 2 OF 3**

# INFORMATION FOR BIDDERS CONTRACT PERFORMANCE AND PAYMENT BONDS PREVAILING WAGE SCHEDULE

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED F

# PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITAR SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 5TH AVENUE BETWEEN 121ST STREE AND COLLEGE PLACE; 6TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 7TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 8TH AVENUE BETWEEN COLLEGE PLACE AND DE END; 9TH AVENUE BETWEEN 118TH STREET AND 120TH STREET; 118 STREET BETWEEN 9TH AVENUE AND 12TH AVENUE; 119TH STREET BETWEEN 12TH AVENUE AND POPPENHUSEN AVENUE; 119TH STREET BETWEEN 7TH AVENUE AND 9TH AVENUE; 120TH STREET BETWEEN 9TH AVENUE AND 9TH ROAD; 9TH ROAD BETWEEN 120TH STREET AND 121ST STREET; 121ST STREET BETWEEN 9TH ROAD AND 12TH AVENUE

INCLUDING WATER MAIN AND STREET LIGHTING WORK

Together With All Work Incidental Thereto BOROUGH OF QUEENS CITY OF NEW YORK

FOR THE DEPARTMENT OF ENVIRONMENTAL PROTECTION PREPARED BY IN-HOUSE DESIGN

June 22, 2015





Department of Design and Construction

## THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

30-30 THOMSON AVENUE LONG ISLAND CITY, NEW YORK 11101-3045 TELEPHONE (718) 391-1000 WEBSITE www.nyc.gov/buildnyc

# **VOLUME 2 OF 3**

# INFORMATION FOR BIDDERS CONTRACT PERFORMANCE AND PAYMENT BONDS PREVAILING WAGE SCHEDULE

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED F



FOR THE DEPARTMENT OF ENVIRONMENTAL PROTECTION PREPARED BY IN-HOUSE DESIGN

June 22, 2015



# **NOTICE TO BIDDERS**

Please be advised that the City of New York has issued a new Standard Construction Contract. The new Contract, which is incorporated in this bid, is significantly different from the 2008 version previously used by the City. A listing of some of the significant changes is provided below. This notice is only a partial listing. Please refer to the Contract itself for a full understanding of the changes and the actual text of the changes that were made. The text of the revised Standard Construction Contract is the controlling document should there be any discrepancies between this notice and the Standard Construction Contract.

Significant changes include the following:

#### ARTICLE 11 DAMAGES CAUSED BY DELAYS

In 2008, the City embarked on a pilot project to test the use of new construction contract language altering the allocation of the risk of project delays, as between the City and the contractor. The City has determined to make the pilot project language the standard language for all City construction contracts. Accordingly, there is now one Standard City Construction Contract that is to be used by all agencies for all bids released after the release of the new contract. The damages for delay language is Article 11. Please note that changes have been made to the damages for delay provisions from the pilot to the adopted version.

#### ARTICLE 22 INSURANCE

Changes have been made to the insurance provisions, including incorporating requirements that the insurance provided comply with recent NYC Department of Buildings regulations specifying required dollar limits for CGL insurance for certain projects and requiring proof of builder's risk insurance prior to Work commencing rather than within 10 days of award.

#### ARTICLE 26 EXTRA WORK

The percentage paid for overhead for Extra Work pursuant to Section 26.1.11 is increased from 10% to 12% and the calculation of Worker's Compensation insurance costs reimbursed for Extra Work has been clarified.

## ARTICLE 37LABOR LAW REQUIREMENTSARTICLE 38PAYROLL REPORTS

The provisions governing Labor Law provisions have been tightened, including requirements the employee identification cards include a photo (unless the requirement is waived), a prohibition on cash payments to employees and subcontractors, and clear enforcement authority requirements.

#### ARTICLE 70 ELECTRONIC FILING

A provision is added to make mandatory the electronic filing of certain alteration permits with the Department of Buildings.

#### Other significant changes include the following:

#### ARTICLE 7 INDEMNIFICATION

Changes have been made to the indemnification provisions.

## ARTICLE14FINAL ACCEPTANCE OF WORKARTICLE 44SUBSTANTIAL COMPLETION PAYMENT

The Commissioner is no longer required to issue a substantial completion determination in addition to the already existing requirement that the Engineer issue a substantial completion determination and reach an agreement on a punch list of remaining work. Now, the Engineer, when issuing the punch list to the Contractor, must also include a proposed schedule for the completion of the punch list. The Contractor may propose an alternative schedule that is subject to the approval of the Engineer. If the Contractor fails to respond to the Engineer's proposed schedule, the Engineer's schedule is deemed accepted.

#### ARTICLE 15 LIQUIDATED DAMAGES

The contract is revised to match Schedule A to provide that liquidated damages are available only until substantial completion.

#### ARTICLE 17 SUBCONTRACTS

The requirements for prior approval of subcontractors, and for contractors to be responsible for the actions of their subcontractors, have been tightened. The requirement that the Contractor list subcontractors in the City's Payee Information Portal has been added; the provision was previously attached as a rider.

#### ARTICLE 19 SECURITY DEPOSIT

The provisions governing the return of bid deposits are clarified.

#### ARTICLE 20 PAYMENT GUARANTEE

The Payment Guaranty provisions, which apply when the City does not require the Contractor to obtain payment bonds, has been significantly revised to track the requirements of State Finance law 137.

## ARTICLE 28 RECORDKEEPING FOR EXTRA OR DISPUTED WORK

The recordkeeping requirement that currently apply to payments for Time & Materials for extra work are expressly made applicable to regular work that is paid for on a T & M basis.

#### ARTICLE 35 EMPLOYEES

The whistleblower provisions of local law are added to the construction contract. They previously have been attached as a rider.

#### ARTICLE 38 PAYROLL REPORTS ARTICLE 77 RECORDS RETENTION

Requirements that records be maintained for six years and directions on how such records must be made available.

#### ARTICLE 42 PARTIAL PAYMENTS

Increased flexibility has been provided for when contractors may submit invoices.

#### ARTICLE 62 TAX EXEMPTION

The provisions identifying the State tax exemption for municipalities are revised to more clearly describe State law.

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## **CITY OF NEW YORK**

## DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURES

## **INFORMATION FOR BIDDERS**

## **JUNE 2015**

### (NO TEXT ON THIS PAGE)

#### CITY OF NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION INFORMATION FOR BIDDERS

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CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION

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#### **INFORMATION FOR BIDDERS**

#### 1. <u>Description and Location of Work</u>

The description and location of the work for which bids are requested are specified in Attachment 1, "Bid Information". Attachment 1 is included as page A-l of the Bid Booklet.

#### 2. <u>Time and Place for Receipt of Bids</u>

Sealed bids shall be received on or before the date and hour specified in Attachment 1, at which time they will be publicly opened and read aloud in the presence of the Commissioner or his or her representative, and any bidders who may desire to be present.

#### 3. <u>Definitions</u>

The definitions set forth in the Procurement Policy Board Rules shall apply to this Invitation For Bids.

#### 4. Invitation For Bids and Contract Documents

(A) Except for titles, sub-titles, headings, running headlines, tables of contents and indices (all of which are printed herein merely for convenience) the following, except for such portions thereof as may be specifically excluded, shall be deemed to be part of the Contract and the Invitation for Bids.

- (1) All provisions required by law to be inserted in this Contract, whether actually inserted or not
- (2) The Contract Drawings and Specifications
- (3) The General Conditions, the General Requirements and the Special Conditions, if any
- (4) The Contract
- (5) The Information for Bidders; Request for Proposals; Notice of Solicitation and Proposal For Bids; Bid or Proposal, and, if used, the Bid Booklet
- (6) The Budget Director's Certificate; all Addenda issued prior to the receipt of the bids; the Notice of Award; Performance and Payment Bonds, if required; and the Notice to Proceed with the Work.

(B) For particulars as to this procurement, including quantity and quality of the purchase, extent of the work or labor to be performed, delivery and performance schedule, and any other special instructions, prospective bidders are referred to the Invitation For Bids Documents. A copy of such documents can be obtained at the location set forth in Attachment 1.

(C) <u>Deposit for Copy of Invitation For Bids Documents</u>: Prospective bidders may obtain a copy of the Invitation For Bids Documents by complying with the conditions set forth in the Notice of Solicitation. The deposit must be in the form of a check or money order made payable to the City of New York, and drawn upon a state or national bank or trust company, or a check of such bank or trust company signed by a duly authorized officer thereof.

(D) <u>Return of Invitation For Bids Documents</u>: All Invitation For Bids Documents must be returned to the Department upon request. If the bidder elects not to submit a bid thereunder, the

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION

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Invitation For Bids Documents shall be returned to the Department, along with a statement that no bid will be submitted.

(E) <u>Return of Deposit</u>: Such deposit will be returned within 30 days after the award of the contract or the rejection of all bids as set forth in the advertisement, provided the Invitation For Bids Documents are returned to the location specified in Attachment 1, in physical condition satisfactory to the Commissioner.

(F) <u>Additional Copies</u>: Additional copies of the Invitation For Bids Documents may be obtained, subject to the conditions set forth in the advertisement for bids.

#### 5. Pre-Bid Conference

A pre-bid conference shall be held as set forth in Attachment 1. Nothing stated at the pre-bid conference shall change the terms or conditions of the Invitation For Bids Documents, unless a change is made by written amendment as provided in Section 9 below. Failure to attend a mandatory pre-bid conference shall constitute grounds for the rejection of the bid.

#### 6. <u>Agency Contact</u>

Any questions or correspondence relating to this bid solicitation shall be addressed to the Agency Contact person specified in Attachment 1.

#### 7. <u>Bidder's Oath</u>

(A) The bid-shall be properly signed by an authorized representative of the bidder and the bid shall be verified by the written oath of the authorized representative who signed the bid, that the several matters stated and information furnished therein are in all aspects true.

(B) A materially false statement willfully or fraudulently made in connection with the bid or any of the forms completed and submitted with the bid may result in the termination of any Contract between the City and the Bidder. As a result, the Bidder may be barred from participating in future City contracts as well as be subject to possible criminal prosecution.

#### 8. Examination and Viewing of Site

(A) Pre-Bidding (Investigation) Viewing of Site -Bidders must carefully view and examine the site of the proposed work, as well as its adjacent area, and seek other usual sources of information, for they will be conclusively presumed to have full knowledge of any and all conditions on, about or above the site relating to or affecting in any way the performance of the work to be done under the Contract which were or should have been indicated to a reasonably prudent bidder. To arrange a date for visiting the work site, bidders are to contact the Agency Contact person specified in Attachment 1.

(B) Should the contractor encounter during the progress of the work subsurface conditions at the site materially differing from any shown on the Contract Drawings or indicated in the Specifications or such subsurface conditions as could not reasonably have been anticipated by the contractor and were not anticipated by the City, which conditions will materially affect the cost of the work to be done under the

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Contract, the attention of the Commissioner must be called immediately to such conditions before they are disturbed. The Commissioner shall thereupon promptly investigate the conditions. If he finds that they do so materially differ, or that they could not have been reasonably anticipated by the contractor and were not anticipated by the City, the Contract may be modified with his written approval.

#### 9. Examination of Proposed Contract

(A) <u>Request for Interpretation or Correction</u>: Prospective bidders must examine the Contract Documents carefully and before bidding must request the Commissioner in writing for an interpretation or correction of every patent ambiguity, inconsistency or error therein which should have been discovered by a reasonably prudent bidder. Such interpretation or correction, as well as any additional contract provisions the Commissioner may decide to include, will be issued in writing by the Commissioner as an addendum to the Contract, which will be transmitted to each person recorded as having received a copy of the Contract Documents from the Department. Transmission of such addendum will be by mail, e-mail, facsimile or hand delivery. Such addendum will also be posted at the place where the Contract Documents are available for the inspection of prospective bidders. Upon transmission as provided for herein, such addendum shall become a part of the Contract Documents, and binding on all bidders, whether or not actual notice of such addendum is shown.

(B) <u>Only Commissioner's Interpretation or Correction Binding</u>: Only the written interpretation or correction so given by the Commissioner shall be binding, and prospective bidders are warned that no other officer, agent or employee of the City is authorized to give information concerning, or to explain or interpret, the Contract.

(C) Documents given to a subcontractor for the purpose of soliciting the subcontractor's bid shall include either a copy of the bid cover sheet or a separate information sheet setting forth the project name, the Contract number (if available), the contracting agency and the Project's location.

#### 10. Form of Bid

Each bid must be submitted upon the prescribed form and must contain: a) the name, residence and place of business of the person or persons making the same; b) the names of all persons interested therein, and if no other person is so interested, such fact must be distinctly stated; c) a statement to the effect that it is made without any connection with any other person making a bid for the same purpose and that it is in all respects fair and without collusion or fraud; d) a statement that no Council member or other officer or employee or person whose salary is payable in whole or part from the City Treasury is directly or indirectly interested therein or in the supplies, materials or equipment and work or labor to which it relates, or in any portion of the profits thereof; e) a statement that the bidder is not in arrears to the City or to any agency upon a debt or contract or taxes, and is not a defaulter as surety or otherwise upon any obligation to the City to any agency thereof, except as set forth in the bid.

#### THE BID SHALL BE TYPEWRITTEN OR WRITTEN LEGIBLY IN INK. THE BID SHALL BE SIGNED IN INK. ERASURES OR ALTERATIONS SHALL BE INITIALED BY THE SIGNER IN INK. FAILURE TO CONFORM TO THE REQUIREMENTS OF THIS SECTION 10 SHALL RESULT IN THE REJECTION OF THE BID.

#### 11. Irrevocability of Bid

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION

The prices set forth in the bid cannot be revoked and shall be effective until the award of the Contract, unless the bid is withdrawn as provided for in Sections 15 and 18 below.

#### 12. Acknowledgment of Amendments

The receipt of any amendment to the Contract Documents shall be acknowledged by the bidder in its bid submission.

#### 13. Bid Samples and Descriptive Literature

Bid samples and descriptive literature shall not be submitted by the bidder, unless expressly requested elsewhere in the Contract or Contract Documents. Any unsolicited bid samples or descriptive literature which are submitted shall not be examined or tested and shall not be deemed to vary any of the provisions of this Contract.

#### 14. <u>Proprietary Information/Trade Secrets</u>

(A) The bidder shall identify those portions of the bid which it deems to be confidential, proprietary information or trade secrets, and provide justification why such materials shall not be disclosed by the City. All such materials shall be clearly indicated by stamping the pages on which such information appears, at the top and bottom thereof with the word "Confidential". Such materials stamped "Confidential" must be easily separable from the non-confidential sections of the bid.

(B) All such materials so indicated shall be reviewed by the Agency and any decision not to honor a request for confidentiality shall be communicated in writing to the bidder. For those bids which are unsuccessful, all such confidential materials shall be returned to the bidder. Prices, makes and model or catalog numbers of the items offered, deliveries, and terms of payment shall be publicly available after bid opening, regardless of any designation of confidentiality made by the bidder.

#### 15. Pre-Opening Modification or Withdrawal of Bids

Bids may be modified or withdrawn by written notice received in the office designated in Attachment 1, before the time and date set for the bid opening. If a bid is withdrawn in accordance with this Section, the bid security, if any, shall be returned to the bidder.

#### 16. <u>Bid Evaluation and Award</u>

In accordance with the New York City Charter, the Procurement Policy Board Rules and the terms and conditions of this Invitation For Bids, this Contract shall be awarded, if at all, to the responsible bidder whose bid meets the requirements and evaluation criteria set forth in the Invitation For Bids, and whose bid price is either the most favorable bid price or, if the Invitation For Bids so states, the most favorable evaluated bid price. A bid may not be evaluated for any requirement or criterion that is not disclosed in the Invitation For Bids.

<u>Restriction</u>: No negotiations with any bidder shall be allowed to take place except under the circumstances and in the manner set forth in Section 21. Nothing in this Section shall be deemed to permit a contract award to a bidder submitting a higher quality item than that designated in the Invitation For Bids, if that bid is not also the most favorable bid.

#### 17. Late Bids, Late Withdrawals and Late Modifications

Any bid received at the place designated in the solicitation after the time and date set for receipt of bids is late and shall not be considered. Any request for withdrawal or modification received at the place designated in the solicitation after the time and date set for receipt of bids is late and shall not be considered. The exception to this provision is that a late modification of a successful bid that makes the bid terms more favorable to the City shall be considered at any time it is received.

#### 18. Withdrawal of Bids.

Except as provided for in Section 15, above, a bidder may not withdraw its bid before the expiration of forty-five (45) days after the date of the opening of bids; thereafter, a bidder may withdraw its bid only in writing and in advance of an actual award. If within sixty (60) days after the execution of the Contract, the Commissioner fails to fix the date for commencement of work by written notice to the bidder, the bidder, at his option, may ask to be relieved of his obligation to perform the work called for by written notice to the Commissioner. If such notice is given to the Commissioner, and the request to withdraw is granted, the bidder waives all claims in connection with this Contract.

#### 19. <u>Mistake in Bids</u>

(A) <u>Mistake Discovered Before Bid Opening</u>: A bidder may correct mistakes discovered before the time and date set for bid opening by withdrawing or correcting the bid as provided in Section 15 above.

#### (B) Mistakes Discovered Before Award

(1) In accordance with General Municipal Law (Section 103, subdivision 11), where a unilateral error or mistake is discovered in a bid, such bid may be withdrawn upon written approval of the Agency Chief Contracting Officer if the following conditions are met:

- (a) The mistake is known or made known to the agency prior to the awarding of the Contract or within 3 days after the opening of the bid, whichever period is shorter; and
- (b) The price bid was based upon an error of such magnitude that enforcement would be unconscionable; and
- (c) The bid was submitted in good faith and the bidder submits credible evidence that the mistake was a clerical error as opposed to a judgment error; and
- (d) The error in the bid is actually due to an unintentional and substantial arithmetic error or an unintentional omission of a substantial quantity of work, labor, material or services made directly in the compilation of the bid, which unintentional arithmetic error or unintentional omission can be clearly shown by objective evidence drawn from inspection of the original work paper, documents, or materials used in the preparation of the bid sought to be withdrawn; and
- (e) It is possible to place the agency in the same position as existed prior to the bid.

(2) Unless otherwise required by law, the sole remedy for a bid mistake in accordance with this Article shall be withdrawal of the bid, and the return of the bid bond, or other security, if any, to the bidder. Thereafter, the agency may, in its discretion, award the Contract to the next lowest bidder or rebid the Contract. Any amendment to or reformation of a bid or a Contract to rectify such an error or mistake

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therein is strictly prohibited.



(3) If the mistake and the intended correct bid are clearly evident on the face of the bid document, the bid shall be corrected to the intended correct bid and may not be withdrawn. Examples of mistakes that may be corrected are typographical errors, errors in extending unit prices, transposition errors and arithmetical errors.

#### 20. Low Tie Bids

(A) When two or more low responsive bids from responsible bidders are identical in price, meeting all the requirements and criteria set forth in the Invitation For Bids, the Agency Chief Contracting Officer will break the tie in the following manner and order of priority:

- (1) Award to a certified New York City small, minority or woman-owned business entity bidder;
- (2) Award to a New York City bidder;
- (3) Award to a certified New York State small, minority or woman-owned business bidder;
- (4) Award to a New York State bidder.

(B) If two or more bidders still remain equally eligible after application of paragraph (A) above, award shall be made by a drawing by lot limited to those bidders. The bidders involved shall be invited to attend the drawing. A witness shall be present to verify the drawing and shall certify the results on the bid tabulation sheet.

#### 21. <u>Rejection of Bids</u>

- (A) <u>Rejection of Individual Bids</u>: The Agency may reject a bid if:
- (1) The bidder fails to furnish any of the information required pursuant to Section 24 or 28 hereof; or if
- (2) The bidder is determined to be not responsible pursuant to the Procurement Policy Board Rules; or if
- (3) The bid is determined to be non-responsive pursuant to the Procurement Policy Board Rules; or if
- (4) The bid, in the opinion of the Agency Chief Contracting Officer, contains unbalanced bid prices and is thus non-responsive, unless the bidder can show that the prices are not unbalanced for the probable required quantity of items, or if the imbalance is corrected pursuant to Section 15.

(B) <u>Rejection of All Bids</u>: The Agency, upon written approval by the Agency Chief Contracting Officer, may reject all bids and may elect to resolicit bids if in its sole opinion it shall deem it in the best interest of the City so to do.

(C) <u>Rejection of All Bids and Negotiation With All Responsible Bidders</u>: The Agency Head may determine that it is appropriate to cancel the Invitation For Bids after bid opening and before award and to complete the acquisition by negotiation. This determination shall be based on one of the following reasons:

- (1) All otherwise acceptable bids received are at unreasonable prices, or only one bid is received and the Agency Chief Contracting Officer cannot determine the reasonableness of the bid price, or no responsive bid has been received from a responsible bidder; or
- (2) In the judgment of the Agency Chief Contracting Officer, the bids were not independently arrived at in open competition, were collusive, or were submitted in bad faith.

(D) When the Agency has determined that the Invitation for Bids is to be canceled and that use of negotiation is appropriate to complete the acquisition, the contracting officer may negotiate and award the Contract without issuing a new solicitation, subject to the following conditions:

- (1) prior notice of the intention to negotiate and a reasonable opportunity to negotiate have been given by the contracting officer to each responsible bidder that submitted a bid in response to the Invitation for Bids;
- (2) the negotiated price is the lowest negotiated price offered by a responsible bidder; and
- (3) the negotiated price is lower than the lowest rejected bid price of a responsible bidder that submitted a bid in response to the Invitation for Bids.

22. <u>Right to Appeal Determinations of Non-Responsiveness or Non-Responsibility and Right to</u> <u>Protest Solicitations and Award</u>

The bidder has the right to appeal a determination of non-responsiveness or non-responsibility and has the right to protest a solicitation and award. For further information concerning these rights, the bidder is directed to the Procurement Policy Board Rules.

23. Affirmative Action and Equal Employment Opportunity

This Invitation For Bids is subject to applicable provisions of Federal, State and Local Laws and executive orders requiring affirmative action and equal employment opportunity.

#### 24. VENDEX Questionnaires

(A) <u>Requirement</u>: Pursuant to Administrative Code Section 6-116.2 and the PPB Rules, bidders may be obligated to complete and submit VENDEX Questionnaires. Generally, if this bid is \$100,000 or more, or if this bid when added to the sum total of all contracts, concessions and franchises the bidder has received from the City and any subcontracts received from City contractors over the past twelve months, equals or exceeds \$100,000, Vendex Questionnaires must be completed. If required, Vendex Questionnaires must be completed and submitted before any award of contract may be made or before approval is given for a proposed subcontractor. Non-compliance with these submission requirements may result in the disqualification of the bid, disapproval of a subcontractor, subsequent withdrawal of approval for the use of an approved subcontractor, or the cancellation of the contract after its award.

(B) <u>Submission</u>: Vendex Questionnaires must be submitted directly to the Mayor's Office of Contract Services, ATTN: Vendex, 253 Broadway, 9th Floor, New York, New York 10007. In addition, the bidder must submit a Confirmation of Vendex Compliance to the agency. A form for this confirmation is set forth in the Bid Booklet.

(C) Obtaining Forms: Vendex Questionnaires, as well as detailed instructions, may be obtained at www.nyc.gov/vendex. The bidder may also obtain Vendex forms and instructions by contacting the

Agency Chief Contracting Office or the contract person for this contract.

#### 25. <u>Complaints About the Bid Process</u>

The New York City Comptroller is charged with the audit of contracts in New York City. Any vendor who believes that there has been unfairness, favoritism or impropriety in the bid process should inform the Comptroller, Office of Contract Administration, One Centre Street, Room 835, New York, New York; telephone number (212)669-2797.

#### 26. Bid, Performance and Payment Security

(A) Bid Security: Each bid must be accompanied by bid security in an amount and type specified in Attachment 1 (page A-1 of the Bid Booklet). The bid security shall assure the City of New York of the adherence of the bidder to its proposal, the execution of the Contract, and the furnishing of Performance and Payment Bonds by the bidder, if required in Attachment 1. Bid security shall be returned to the bidder as follows:

- (1) Within ten (10) days after the bid opening, the Comptroller will be notified to return the deposits of all but the three (3) lowest bidders. Within five (5) days after the award, the Comptroller will be notified to return the deposits of the remaining two unsuccessful bidders.
- (2) Within five (5) days after the execution of the Contract and acceptance of the Contractor's bonds, the Comptroller will be notified to return the bid security of the successful bidder or, if performance and payment bonds are not required, only after the sum retained under Article 21 of the Contract equals the amount of the bid security.
- (3) Where all bids are rejected, the Comptroller will be notified to return the deposit of the three (3) lowest bidders at the time of rejection.

(B) <u>Performance and Payment Security</u>: Performance and Payment Security must be provided in an amount and type specified in Attachment 1 (page A-l of the Bid Booklet). The performance and payment security shall be delivered by the contractor prior to or at the time of execution of the Contract. If a contractor fails to deliver the required performance and payment security, its bid security shall be enforced, and an award of Contract may be made to the next lowest responsible and responsive bidder, or the contract may be rebid.

(C) <u>Acceptable Types of Security</u>: Acceptable types of security for bids, performance, and payment shall be limited to the following:

- (1) a one-time bond in a form satisfactory to the City;
- (2) a bank certified check or money order;
- (3) obligations of the City of New York; or
- (4) other financial instruments as determined by the Office of Construction in consultation with the Comptroller.

Whenever the successful bidder deposits obligations of the City of New York as performance and payment security, the Comptroller may sell and use the proceeds thereof for any purpose for which the principal or surety on such bond would be liable under the terms of the Contract. If the money is deposited with the Comptroller, the successful bidder shall not be entitled to receive interest on such money from the City.

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(D) <u>Form of Bonds</u>: Security provided in the form of bonds must be prepared on the form of bonds authorized by the City of New York. Forms for bid, performance, and payment bonds are included in the Invitation for Bids Documents. Such bonds must have as surety thereunder such surety company or companies as are: (I) approved by the City of New York; (2) authorized to do business in the State of New York, and (3) approved by the Department of the Treasury of the United States. Premiums for any required bonds must be included in the base bid.

The bidder is advised that submission of a bid bond where the surety on such bond fails to meet the criteria set forth herein, shall result in the rejection of the bid as non-responsive.

The Department of the Treasury of the United States advises that information concerning approved surety companies may be obtained as follows: (1) from the Government Printing Office at 202-512-1800; (2) through the Internet at <u>http://www.fms.treas.gov/c570/index.html</u>, and (3) through a computerized public bulletin board, which can be accessed by using your computer modem and dialing 202-874-6887.

(E) <u>Power of Attorney</u>: Attorneys in fact who sign bid, performance, or payment bonds must file with each bond a certified copy of their power of attorney to sign said bonds.

#### 27. Failure to Execute Contract

In the event of failure of the successful bidder to execute the Contract and furnish the required security within ten (10) days after notice of the award of the Contract, the deposit of the successful bidder or so much thereof as shall be applicable to the amount of the award made shall be retained by the City, and the successful bidder shall be liable for and hereby agrees to pay on demand the difference between the price bid and the price for which such Contract shall be subsequently awarded, including the cost of any required reletting and less the amount of such deposit. No plea of mistake in such accepted bid shall be available to the bidder for the recovery of the deposit or as a defense to any action based upon such accepted bid. Further, should the bidder's failure to comply with this Section cause any funding agency, body or group (Federal, State, City, Public, Private, etc.) to terminate, cancel or reduce the funding on this project, the bidder in such event shall be liable also to the City for the amount of actual funding withdrawn by such agency on this project, less the amount of the forfeited deposit.

#### 28. Bidder Responsibilities and Qualifications

(A) Bidders must include with their bids all information necessary for a determination of bidder responsibility, as set forth in the Specifications.

(B) The Agency may require any bidder or prospective bidder to furnish all books of account, records, vouchers, statements or other information concerning the bidder's financial status for examination as may be required by the Agency to ascertain the bidder's responsibility and capability to perform the Contract. If required, a bidder must also submit a sworn statement setting forth such information as the Agency may require concerning present and proposed plant and equipment, the personnel and qualifications of his working organizations, prior experience and performance record.

(C) <u>Oral Examination on Qualifications</u>: In addition thereto, and when directed by the Agency, the bidder, or a responsible officer, agent or employee of the bidder, must submit to an oral examination to be conducted by the Agency in relation to his proposed tentative plan and schedule of

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operations, and such other matters as the Agency may deem necessary in order to determine the bidder's ability and responsibility to perform the work in accordance with the Contract. Each person so examined must sign and verify a stenographic transcript of such examination noting thereon such corrections as such person may desire to make.

(D) If the bidder fails or refuses to supply any of the documents or information set forth in paragraph (B) hereof or fails to comply with any of the requirements thereof, the Agency may reject the bid.

#### 29. <u>Employment Report</u>

In accordance with Executive Order No. 50 (1980) as modified by Executive Order 108 (1986), the filing of a completed Employment Report (ER) is a requirement of doing business with the City of New York for construction contractors with contracts of \$1,000,000 or more and subcontractors with construction subcontracts of \$750,000 or more. The required forms and information are included in the Bid Booklet.

#### 30. Labor Law Requirements

(A) <u>General</u>: The successful bidder will be required to comply strictly with all Federal, State and local labor laws and regulations.

(B) <u>New York State Labor Law</u>: This Contract is subject to New York State Labor Law Section 220, which requires that construction workers on the site be paid prevailing wages and supplements. The Contractor is reminded that all wage provisions of this Contract will be enforced strictly and failure to comply will be considered when evaluating performance. Noncompliance may result in the contractor being debarred by the City from future contracts. Complaints filed with the Comptroller may result in decisions which may debar a contractor from bidding contracts with any state governmental entity and other political subdivisions.

(C) <u>Records</u>: The Contractor is expected to submit accurate payroll reports and other required documents and verify attendance and job classifications being utilized in compliance with the law, Contract provisions and agency procedures.

#### 31. Insurance

(A) Bidders are advised that the insurance requirements contained herein are regarded as material terms of the Contract. As required by Article 22 of the Contract, the contractor must effect and maintain with companies licensed and authorized to do business in the State of New York, the types of insurance set forth therein, when required by and in the amounts set forth in Schedule A of the General Conditions. Such required insurance must be provided from the date the contractor is ordered to commence work and up to the date of final acceptance of all required work.

(B) The contractor must, within ten days of receipt of the notice of award, submit the following insurance documentation: (a) original certificate of insurance for general liability in the amount required by Schedule A of the General Conditions, and (b) original certificates of insurance or other proof of coverage for workers' compensation and disability benefits, as required by Section 57 of the New York State Workers' Compensation Law and Section 220 of the Disability Benefits Law.

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#### 32. Lump Sum Contracts

(A) <u>Comparison of Bids</u>: Bids on Lump Sum Contracts will be compared on the basis of the lump sum price bid, adjusted for alternate prices bid, if any.

(B) Lump Sum Bids for "General Construction Work" which include excavation shall include all necessary excavation work defined in the Specifications as being included in the lump sum bid. The bidder shall also bid a unit price for the additional cost of excavating material which is defined in the Specifications as excavation for which additional payment will be made. The total estimated additional cost of removing such material will be taken as the quantity set forth in the Engineer's Estimate multiplied by the unit price bid. This total estimated cost of additional excavation shall be added to the lump sum bid for the General Construction Work for the purpose of comparing bids to determine the low bidder.

(C) <u>Variations from Engineer's Estimate</u>: The Engineer's Estimate of the quantity of excavation for which additional payment will be made is approximate only and is given solely to be used as a uniform basis for the comparison of bids and such estimate is not to be considered as part of this contract. The quantities actually required to complete the contract work may be more or less than the quantities in the Engineer's Estimate and, if so, no action for damages or for loss of profits shall accrue to the contractor by reason thereof.

#### 33. Unit Price Contracts

(A) <u>Comparison of Bids</u>: Bids on Unit Price Contracts will be compared on the basis of a total estimated price, arrived at by taking the sum of the estimated quantities of such items, in accordance with the Engineer's Estimate of Quantities set forth in the Bid Schedule, multiplied by the corresponding unit prices, and including any lump sum bids on individual items.

(B) <u>Variations from Engineer's Estimate</u>: Bidders are warned that the Engineer's Estimate of Quantities on the various items of work and materials is approximate only, given solely to be used as a uniform basis for the comparison of bids, and is not be considered part of this contract. The quantities actually required to complete the contract work may be less or more than so estimated, and if so, no action for damages or for loss of profits shall accrue to the contractor by reason thereof.

(C) <u>Overruns</u>: The terms and conditions applicable to overruns of unit price items are set forth in Article 26 of the Contract.

#### 34. Excise Tax

Bidders are referred to the Specifications for information on Federal Excise Tax exemptions.

#### 35. Licenses and Permits

The successful bidder will be required to obtain all necessary licenses and permits necessary to perform the work.

36. <u>Multiple Prime Contractors</u>

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If more than one prime contractor will be involved on this project, all contractors are required to examine the Invitation for Bid packages for all other parts of the project.

#### 37. Locally Based Enterprise Requirements (LBE)

This Contract is subject to the requirements of Administrative Code, Section 6-108.1, and the regulations promulgated thereunder. No construction contract will be awarded unless and until these requirements have been complied with in their entirety. The bidder is advised of the provisions set forth below, as well as the provisions with respect to the Locally Based Enterprise Program contained in Article 67 of the Contract. The contractor is advised that:

(A) If any portion of the Contract is subcontracted, not less than ten percent of the total dollar amount of the contract shall be awarded to locally based enterprises ("LBEs"); except, where less than ten percent of the total dollar amount of the Contract is subcontracted, such lesser percentage shall be so awarded.

- (B) No contractor shall require performance and payment bonds from LBE subcontractors.
- (C) No Contract shall be awarded unless the contractor first identifies in its bid:
- (1) the percentage, dollar amount and type of work to be subcontracted; and
- (2) the percentage, dollar amount and type of work to be subcontracted to LBEs.

(D) Within ten calendar days after notification of low bid, the apparent low bidder shall submit an "LBE Participation Schedule" to the contracting agency. If such schedule does not identify sufficient LBE subcontractors to meet the requirements of Administrative Code Section 6-108.1, the apparent low bidder shall submit documentation of its good faith efforts to meet such requirements.

- (1) The "LBE Participation Schedule" shall include:
  - (a) the name and address of each LBE that will be given a subcontract,
  - (b) the percentage, dollar amount and type of work to be subcontracted to the LBE, and
  - (c) the dates when the LBE subcontract work will commence and end.
- (2) The following documents shall be attached to the "LBE Participation Schedule":
  - (a) verification letters from each subcontractor listed in the "LBE Participation Schedule" stating that the LBE will enter into a formal agreement for work,
  - (b) certification documents of any proposed LBE subcontractor which is not on the LBE certified list, and
  - (c) copies of the certification letter of any proposed subcontractor which is an LBE.
- (3) Documentation of good faith efforts to achieve the required LBE percentage shall include as appropriate but not limited to the following:
  - (a) attendance at prebid meetings, when scheduled by the agency, to advise bidders of contract requirements;



- (b) advertisement where appropriate in general circulation media, trade association publications and small business media of the specific subcontracts that would be at least equal to the percentage goal for LBE utilization specified by the contractor;
- (c) written notification to association of small, minority and women contractors soliciting specific subcontractors;
- (d) written notification by certified mail to LBE firms that their interest in the contract is solicited for specific work items and their estimated values;
- (e) demonstration of efforts made to select portions of the work for performance by LBE firms in order to increase the likelihood of achieving the stated goal;
- (f) documented efforts to negotiate with LBE firms for specific subcontracts, including at a minimum:
  - (i) The names, address and telephone numbers of LBE firms that are contacted;

(ii) A description of the information provided to LBE firms regarding the plans and specifications for portions of the work to be performed;

(iii) Documentation showing that no reasonable price can be obtained from LBE firms;

(iv) A statement of why agreements with LBE firms were not reached;

- (g) a statement of the reason for rejecting any LBE firm which the contractor deemed to be unqualified; and
- (h) documentation of efforts made to assist the LBE firms contacted that needed assistance in obtaining required insurance.

(E) Unless otherwise waived by the Commissioner with the approval of the Office of Economic and Financial Opportunity, failure of a proposed contractor to provide the information required by paragraphs (C) and (D) above may render the bid non-responsive and the Contract may not be awarded to the bidder. If the contractor states that it will subcontract a specific portion of the work, but can demonstrate despite good faith efforts it cannot achieve its required LBE percentage for subcontracted work until after award of Contract, the Contract may be awarded, subject to a letter of compliance from the contractor stating that it will comply with Administrative Code Section 6-108.1 and subject to approval by the Commissioner. If the contractor has not met its required LBE percentage prior to award, the contractor shall demonstrate that a good faith effort has been made subsequent to award to obtain LBEs on each subcontract until its meets the required percentage.

(F) When a bidder indicates prior to award that no work will be subcontracted, no work may be subcontracted without the prior written approval of the Commissioner, which shall be granted only if the contractor in good faith seeks LBE subcontractors at least six weeks prior to the start of work.

(G) The contractor may not substitute or change any LBE which was identified prior to award of the contract without the written permission of the Commissioner. The contractor shall make a written application to the Commissioner for permission to make such substitution or change, explaining why the contractor needs to change its LBE subcontractor and how the contractor will meet its LBE subcontracting requirement. Copies of such application must be served on the originally identified LBE by certified mail return receipt requested, as well as the proposed substitute LBE. The Commissioner shall determine whether or not to grant the contractor's request for substitution.

38. Bid Submission Requirements

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The following forms, all of which are contained in the Bid Booklet, are to be completed and submitted with the bid:

- (1) Bid Schedule and Bid Form, including Affirmation
- (2) Bid Security (if required, see Attachment 1 on Page A-1)
- (3) M/WBE Subcontactor Utilization Plan (if participation goals have been established)

#### FAILURE TO SUBMIT ITEMS (1), (2) AND (3) WILL RESULT IN THE DISQUALIFICATION OF THE BID.

- (4) Safety Questionnaire
- (5) Construction Employment Report (if bid is \$1,000,000 or more)
- (6) Contract Certificate (if bid is less than \$1,000,000)
- (7) Confirmation of Vendex Compliance
- (8) Special Experience Requirements (if applicable to this contract)
- (9) Apprenticeship Program Questionnaire (if applicable)

#### FAILURE TO SUBMIT ITEMS (4) THROUGH (9) MAY RESULT IN THE DISQUALIFICATION OF THE BID.

#### 39. <u>Comptroller's Certificate</u>

This Contract shall not be binding or of any force unless it is registered by the Comptroller in accordance with Section 328 of the City Charter and the Procurement Policy Board Rules. This Contract shall continue in force only after annual appropriation of funds by the City of New York and certification as hereinabove set forth.

#### 40. Procurement Policy Board Rules

This Invitation For Bids is subject to the Rules of the Procurement Policy Board of the City of New York. In the event of a conflict between said Rules and a provision of this Invitation For Bids, the Rules shall take precedence.

#### 41. DDC Safety Requirements

The DDC Safety Requirements apply to the work to be performed pursuant to the Contract. The DDC Safety Requirements are set forth on the following pages.



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## **DEPARTMENT OF DESIGN AND CONSTRUCTION**

### SAFETY REQUIREMENTS

June 2015

#### THE DDC SAFETY REQUIREMENTS INCLUDE THE FOLLOWING SECTIONS:

- I. POLICY ON SITE SAFETY
- II. PURPOSE
- III. DEFINITIONS
- IV. **RESPONSIBILITIES**
- V. SAFETY QUESTIONNAIRE
- VI. SAFETY PROGRAM AND SITE SAFETY PLAN
- VII. KICK-OFF/PRE-CONSTRUCTION MEETINGS AND SAFETY REVIEW
- VIII. EVALUATION DURING WORK IN PROGRESS
- IX. SAFETY PERFORMANCE EVALUATION

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#### I. POLICY ON SITE SAFETY

The City of New York Department of Design and Construction (DDC) is committed to a policy of injury and illness prevention and risk management for construction work that will ensure the safety and health of the workers engaged in the projects and the protection of the general public. Therefore, it is DDC's policy that work carried out by Contractors on DDC jobsites must, at a minimum, comply with applicable federal, state and city laws, rules and regulations, including without limitation:

- □ U. S. Department of Labor 29 Code of Federal Regulations (CFR) Part 1926 and applicable Sub-parts of Part 1910 U.S. Occupational Safety and Health Administration (OSHA); New York State Department of Labor Industrial Code Rule 23 Protection in Construction, Demolition and Excavation;
- □ New York City Construction Codes, Title 28
- □ NYC Department of Transportation Title 34 Chapter 2 Highway Rules
- New York State Department of Labor Industrial Code Rule 16 NYCRR Part 753
- Title 15 of the Rules of the City of New York, Chapter 13 Citywide Construction Dust Mitigation
- Manual on Uniform Traffic Control Devices (MUTCD)
- □ Title 15 of the Rules of the City of New York, Chapter 28 Citywide Construction Noise Mitigation

#### II. PURPOSE

The purpose of this policy is to ensure that Contractors perform their work and supervise their employees in accordance with all applicable federal, state and city rules and regulations. Further, Contractors will be expected to minimize or eliminate jobsite and public hazards, through a planning, inspection, auditing and corrective action process. The goal is to control risks so that injuries, illnesses and accidents to contractors' employees, DDC employees and the general public, as well as damage to city-owned and private property, are reduced to the lowest level feasible.

#### **III. DEFINITIONS**

Agency Chief Contracting Officer (ACCO): The ACCO shall mean the person delegated authority by the Commissioner to organize and supervise the procurement activity of subordinate Agency staff in conjunction with the CCPO.

**Competent Person:** As defined by OSHA, an individual who is capable of identifying existing and predictable hazards in the surroundings or working conditions that are unsanitary, hazardous, or dangerous to employees or the general public, and who has authorization to take prompt corrective measures to eliminate them.

**Construction Safety Auditor:** A representative of the QA&CS Construction Safety Unit who provides inspection and assessment services to enhance health and safety on all DDC construction projects. The activities of the Construction Safety Auditor include performing site surveys, reviewing health and safety plans, reviewing construction permits, and rendering technical advice and assistance to DDC Resident Engineers and Project Managers.

**Construction Safety Unit:** A part of QA&CS within the Division of Program Management/ Safety & Site Support that assesses contractor safety on DDC jobsites and advises responsible parties of needed corrective actions.



**Construction Superintendent**: A representative of the contractor responsible for overseeing performance of the required construction work. This individual must engage in sound construction practices, and is responsible to maintain a safe work site. In the case of a project involving the demolition, alteration or new construction of buildings, the Construction Superintendent must be licensed by the NYC Department of Buildings.

**Contractor:** For purposes of these Safety Requirements, the term "Contractor" shall mean any person or entity that enters into a contract for the performance of construction work on a DDC project. The term "Contractor" shall include any person or entity which enters into any of the following types of contracts: (1) a prime construction contract for a specific project, (2) a prime construction contract using the Job Order Contracting System ("JOCS Contract"), and (3) a subcontract with a CM/Builder ("First Tier Subcontract").

**Daily Safety Job Briefing:** Daily jobsite safety meetings, giving to all jobsite personnel by contractor, with the purpose of discussing project specific safety procedures for the scheduled construction work.

**Director - Quality Assurance and Construction Safety (QA&CS):** Responsible for the operations of the QACS Construction Safety Unit and the DDC Site Safety management programs.

Job Hazard Analysis (JHA): A process of identifying the major job steps and any potential site-specific hazards that may be present during construction and establishing the means and methods to eliminate or control those hazards.

**Qualified Person:** As defined by OSHA, an individual who, by possession of a recognized degree, certificate, license or professional standing, or who by extensive knowledge, training, and experience, has successfully demonstrated his or her ability to solve problems relating to the subject matter, the work, or the project. Qualified Persons are required under regulation to address issues pertaining, but without limit, to fall protection, scaffold design and trenching and shoring, among others.

Project Site: Those areas indicated in the Contract Documents where the Work is to be performed.

**Project Safety Representative:** The designated project safety representative shall have completed an authorized 30 hour OSHA Construction Safety Course and other safety training applicable to Contractor's/subcontractor's project work. Except in instances where a dedicated Project Safety Manager is required, a Project Safety Representative may also function as a superintendent, foreman or crew leader on the Project, but must have sufficient experience and authority to undertake corrective actions and must qualify to be a competent person. No work is to be performed on site when a Project Safety Representative is not present.

**Project Safety Manager:** A dedicated, full-time project safety manager may be a contractual requirement on large projects or projects deemed by DDC to be particularly high risk. This would be in addition or in lieu of a Contractor's Project Safety Representative. This individual shall not have any other assigned duties. This individual shall have received, at a minimum an authorized 30 hour OSHA Construction Safety Course. Other examples of acceptable training are OSHA Safety and Health Standards for the Construction Industry training program (OSHA 510), Certified Safety Professional (CSP), Certified Industrial Hygienist (CIH) or a degree/certificate in a safety and health from a college-level curriculum.

A Project Safety Manager shall possess the additional training, years of experience, and skills necessary to thoroughly understand the health and safety hazards and controls for large construction projects, including the full scope of the specific Work.

QA&CS – Quality Assurance and Construction Safety of the New York City Department of Design and Construction.

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**Resident Engineer (RE) / Construction Project Manager (CPM):** Representative of the Commissioner duly designated by the Commissioner to be his/her representative at the site of the work. (The RE/CPM may be a third-party consultant, including a Construction Management firm, retained by DDC)

Safety Program: Established by the Contractor that covers all operations of that Contractor and establishes the Contractor's overall safety policy, regulatory compliance plan and minimum safety standards. The Safety Program must be submitted prior to the commencement of work at the site and is subject to review and acceptance by the Construction Safety Unit.

**Safety Questionnaire:** Used by DDC to evaluate Contractor's current and past safety performance. It is required to be completed by all Contractors initially when submitting bids for Construction work, or when being pre-qualified and updated annually or as requested by the DDC.

Site Safety Manager: For certain projects, as defined in NYC Construction Codes – Title 28, the Contractor shall provide a Site Safety Manager with a Site Safety Manager License issued by the NYC Department of Building.

Site Safety Plan: A site-specific safety plan developed by the Contractor for a specific project. The Site Safety Plan must identify hazards associated with the project, and include specific safety procedures and training appropriate and necessary to complete the work. The Site Safety Plan must be submitted prior to the commencement of work at the site and is subject to review and acceptance by the Construction Safety Unit.

**Unsafe or Unhealthy Condition**: A condition that could be potentially hazardous to the health and safety of personnel or the public, and/or damaging to equipment, machinery, property or the environment.

Weekly Safety Meetings: Weekly documented jobsite safety meetings, given to all jobsite personnel by contractor, with the purpose of discussing general safety topics and job specific requirements encountered at the DDC work site.

Work: The construction required by the Contract Documents whether completed or partially completed, performed by the Contractor/ subcontractors. Work refers to the furnishing of labor, furnishing and incorporating materials and equipment into the construction and providing any service required by the Contract Documents to fulfill the Contractor's obligation to complete the Project.

#### IV. RESPONSIBILITIES

All persons who manage, perform, and provide support for construction projects shall conduct operations in compliance with the requirements identified in this Policy and all applicable governing regulatory agency requirements and guidelines pertaining to safety in construction.

#### A. DDC or CM Resident Engineer / Construction Project Manager

- Monitors the issuance of safety- related permits, approvals and drawings and maintains copies on site.
- Monitors construction-related work activities to confirm that they are conducted in accordance with DDC policies and all applicable regulations that pertain to construction safety.
- Maintains documentation and periodically attends weekly safety meetings and daily safety job briefings.
- Notifies the Construction Safety Unit and the ACCO's Insurance and Risk Management Unit of project- related accidents and emergencies, as per DDC's Construction Safety Emergency and Accident Notification and Response Protocol.
- Gathers facts related to all accidents and prepares DDC Construction Accident Report.



- Notifies the Construction Safety Unit within two (2) hours of the start of an inspection by any outside regulatory agency personnel, including OSHA, NYC DOB or others and forwards a copy of the inspection report within three days of its receipt.
- Monitors the conditions at the site for conformance with the contractor's Site Safety Plan and DDC construction documents.
- Notifies the contractor and DDC in the event that any condition or activity exists that is not in compliance with the contractor's Site Safety Plan, applicable federal, state or local codes or any condition that presents a potential risk of injury to the public or workers or possible damage to property.
- Notifies DDC of any unsafe or unhealthy condition and directs the contractor to provide such labor, materials, equipment and supervision to abate such conditions.
- Escort and assist QA&CS Construction Safety Auditors during the field and record inspections.
- Reports emergency conditions to the Construction Safety Unit immediately.

#### **B.** Contractors

- Submit a completed Safety Questionnaire and other safety performance related documentation with its bid or as part of a pre-qualification package.
- Complete a written Job Hazard Analysis (JHA) that identifies safety hazards for project specific work tasks and hazard control methods. A written JHA shall be available at the site for reference and included in the Site Safety Plan submitted by the contractor.
- Submit a Site Safety Plan and Safety Program within 30 days from the Award Date or as otherwise directed. The Site Safety Plan and Safety Program are subject to review and acceptance by the Construction Safety Unit prior to the commencement of work at the site. The Site Safety Plan shall be revised and updated as necessary.
- Develop project specific safety procedures to protect general public during all construction activities for the duration of the project.
- Ensure that all employees are aware of the hazards associated with the project through documented formal and informal training and/or other communications. Conduct and document weekly safety meetings and daily job briefing sessions for the duration of the project. Documentation to be provided to the RE/CPM on a monthly basis.
- Name the Project Safety Representative and Project Safety Manager, if required. The Contractor will be required to identify the Project Safety Representative and Project Safety Manager in the Site Safety Plan. Resumes, outlining the qualification and experience for the Project Safety Representative and Project Safety Manager, shall be available upon request. DDC reserves the right to request that the Contractor replace any Project Safety Representative or Project Safety Manager for any reason at any time during the project.
- Name a Competent Person(s), The Contractor will be required to identify a Competent Person(s) in the Site Safety Plan.
- Comply with all mandated federal, state and local safety and health rules and regulations.
- Comply with all provisions of the Site Safety Plan.
- Conduct applicable safety training prior to the commencement of work at the site. All training records
   (OSHA 10-hour, flagger, scaffold, fall protection, confined space entry, etc.) shall be provided to the
   RE/CPM prior to mobilization, included in the Site Safety Plan, kept current during the course of the
   project, and available for review. Prior to performing any work on DDC project all employees shall
   have successfully completed, within the previous five calendar years, a 10 Hour OSHA construction
   safety course.
- As part of the Site Safety Plan, prepare a site specific programs and plans, such as MPT plan, steel erection plan, confined space program, fall protection plan, demolition plan, etc. (if not otherwise provided in the contract documents) and comply with all of its provisions.
- Conduct and document site-specific safety orientation for Contractor personnel to review the hazards associated with the project as identified in the Site Safety Plan and the specific safety procedures and

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controls that will be used to protect workers, the general public and property. The Project Safety Representative and/or Project Safety Manager will conduct this training prior to mobilization and provide documentation to the RE/CPM.

- Provide, replace and adequately maintain at or around the project site, suitable and sufficient signage, lights, barricades and enclosures (fences, sidewalk sheds, netting, bracing, etc.).
- Report unsafe or unhealthy conditions to the RE/CPM as soon as practical, but no more than 24 hours after discovery, and take prompt actions to remove or abate such conditions.
- Report any accidents involving injuries to workers or the general public, as well as property damage, to the RE/CPM within one (1) hour.
- Following an accident, the Contractor shall not remove or alter any equipment, structure, material, or evidence related to the accident. Exception: Immediate emergency procedures taken to secure structures, temporary construction, operations, or equipment that pose a continued imminent danger or facilitate assistance for persons who are trapped or who have sustained bodily injury.
- Notify the RE/CPM within one (1) hour of the start of an inspection by any outside regulatory agency personnel, including OSHA, NYC DOB or others.
- Maintain all records pertaining to all required compliance documents and accident and injury reports.
- Address DDC recommendations on safety, which shall in no way relieve the Contractor of its responsibilities for safety on the project. The Contractor has sole responsibility for safety.

#### V. SAFETY QUESTIONNAIRE

DDC requires that all Contractors provide information regarding their current and past safety performance and programs. This will be accomplished by the use of the DDC Safety Questionnaire. As a part of the bid submittal package, the contractor must submit a completed DDC Safety Questionnaire listing company workers' compensation experience modification rating and OSHA Incident Rates for the three (3) years prior to the date of the bid opening. DDC may request a Contractor to update its Questionnaire at any time or to provide more detailed information. The Contractor must provide the requested information within 15 days.

The following criteria will be used by DDC in reviewing the Contractor's responsibility, which will be based on the information provided on the questionnaire:

Criteria 1:	OSHA Injury and Illness Rates (I&IR) are no greater than the average for the industry	
Criteria 2:	(based on the most current Bureau of Labor Statistics data for the Contractors SIC code); and Insurance workers compensation Experience Modification Rate (EMR) equal to or less than 1.0; and	
Criteria 3:	Any willful violations issued by OSHA or NYC DOB within the last three (3) years; and	
Criteria 4:	A latanty (worker or member of public) and injuries requiring ORITA and Court	
Criteria 5:	experienced on or near Contractor's worksite within the last three (3) years; and Past safety performance on DDC projects (accidents; status of safety program and site safety plan submittals; etc.)	
Criteria 6:	OSHA violation history for the last three (3) years;	
Criteria 7:	Contractor shall provide OSHA Injury and Illness Percenda (automatic OSHA and	
	COMMONICE USHA INNIV and Illness Deserve (accords and a second	

300A Logs) for the last three (3) years.

If the Contractor fails to meet the basic criteria listed above, the Construction Safety Unit may request, through the ACCO, more details concerning the Contractor's safety experience. DDC may request the Contractor to provide copies of, among other things, accident investigation reports, OSHA records, OSHA and NYC DOB citations, EPA citations and written corrective action plan.

#### VI. SAFETY PROGRAM AND SITE SAFETY PLAN

Within thirty (30) days from the Award Date, or as otherwise directed, the Contractor shall submit the following: (1) Safety Program, and (2) Site Safety Plan. The Safety Program shall set forth the Contractor's overall safety policy, regulatory compliance plan and minimum safety standards. The Site Safety Plan shall identify project work scope, safety hazards associated with the project tasks, and include specific safety procedures and training appropriate and necessary to complete the work. The Safety Program and the Site Safety Plan are subject to review and acceptance by the Construction Safety Unit prior to the commencement of work at the site. Failure by the Contractor to submit an acceptable Site Safety Plan and Safety Program shall be grounds for default.

<u>Safety Program</u>: Corporate Safety Program established by the Contractor that includes the Contractor's overall safety policy, regulatory compliance plan and basic safety procedures covering all aspects of construction operations, performed by the Contractor. The Safety Program shall be a written document with a separate section describing each element of the Safety Program. The Safety Program shall have at minimum the following elements applicable to the Contractor's operations:

- Responsibility and Organization Contractor's company organization chart, including titles, names, contact information, roles and responsibilities for key personnel, etc.
- Safety Training Program Contractor's corporate training program.
- Hazard Corrective Actions Criteria for safety inspections, identification of safety noncompliances, implementation and verification of corrective actions, forms to document safety inspections results, etc.
- Accident/Exposure Investigation
- Recordkeeping and Reporting Injuries Responsible staff; reporting and recording criteria; OSHA 300 and 300A form completion, etc.
- Fire Protection and Prevention Program
- Housekeeping
- Illumination
- Sanitation
- Personal Protective Equipment (PPE) Company policy for the use of head protection, foot protection, hearing protection, eye and face protection, protective clothing, and any additional protective equipment based on work tasks; PPE inspection and replacement policy.
- Hazard Communication Program
- Employee Emergency Action Plan
- Protection of Underground Facilities and Utilities
- Ionizing/Nonionizing Radiation
- Material Handling, Storage, Use and Disposal
- Tools Hand and Power
- Signs, Signals, and Barricades
- Scaffold Local Law 52 requirements, installation, use, inspection, dismantling, training and general safety requirements.
- Welding and Cutting
- Electrical Safety
- Fall Protection
- Cranes, Derrick, Hoists, Elevators, Conveyors
- Excavation Safety
- Concrete and Masonry Construction
- Maintenance and Protection of Traffic
- Steel Erection
- Demolition
- Blasting and the Use of Explosives
- Stairways and Ladders

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- Toxic and Hazardous Substances
- Alcohol and Drug Abuse Policy
- Rodents and Vermin
- Occupational Noise Exposure
- Confined Space Program General confined Space Program: training requirements, confined space hazard evaluation procedure, atmospheric testing procedure, confined space classification, permit-required procedure, communication procedure, rescue procedure, forms, etc.
- Construction Vehicles/Heavy Equipment
- Dust Control Procedures

<u>Site Safety Plan</u>: The Site Safety Plan shall be a written document and shall apply to all project specific Contractor and subcontractor operations, and shall have at a minimum, the following elements with each element described in a separate section (It may be necessary to modify the basic format for certain unique or high-risk projects, such as tunnels or high-rise construction):

- Project Work Scope Detailed information regarding work tasks that will be performed by contractor and subcontractors under the project.
- Responsibility and Organization Contractor's organization chart with responsible staff for the project, including titles, names, contact information, roles and responsibilities.
- Safety Training and Education OSHA 10 Hours training, requirements for daily safety briefings and weekly safety meetings, any work task specific training, responsible staff for implementation of training program for the project.
- Job Hazard Analysis (JHA) Project specific Job Hazard Analysis including work tasks, identified hazards, hazard control methods (administrative, engineering, PPE), contractor's name, project id, location, name and signature of a certifying person, hazard assessment date.
- Protection of Public
- Hazard Corrective Actions Responsible staff, forms, frequency of safety inspections and implementation of corrective actions.
- Accident/Exposure Investigation Accident/incident notification procedure of DDC project staff. Project specific procedures for accident investigation and implementation of corrective actions.
- First Aid and Medical Attention Responsible staff, location and inspection of First Aid kit, directions to local hospitals; emergency telephone numbers.
- Project Specific Fire Protection and Prevention Program.
- Project Specific Illumination Procedure.
- Project Specific Sanitation Procedure.
- Personal Protective Equipment (PPE)
- Hazard Communication Program Responsible staff; training; SDS records, project specific list of chemical; location of the program and SDS records.
- Means of Egress Information regarding free and unobstructed egress from all parts of the building or structure; exit marking; maintenance of means of egress, etc.
- Employee Emergency Action Plan Project specific: responsible staff, emergency alarm system, evacuation procedure, procedure to account for employees after evacuation, etc.
- Evacuation Plan Project specific evacuation plan (drawing/scheme) with exists and evacuation routes.
- Protection of Underground Facilities and Utilities, including responsible staff.
- Ionizing/Nonionizing Radiation Competent person, license and qualification requirements, type of radiation, employees exposure and protection, etc.
- Material Handling, Storage, Use and Disposal Project specific information regarding material storage and disposal.
- Signs, Signals, and Barricades Use of danger/warning signs, sidewalk closure, safety instruction signs, pedestrian fencing and barricades, etc.
- Scaffold Project specific scaffold types, training, scaffold drawings, competent person, criteria for project specific scaffold, falling object protection.

- Welding and Cutting project specific procedure for welding and cutting, including all necessary safety requirements such as fire prevention, personal protective equipment, hot work permits, FDNY certificate requirements.
- Fall Protection Project specific information regarding selected fall protection systems, fall protection plan.
- Cranes, Derrick, Hoists, Elevators, Conveyors project specific equipment information including type, rated load capacity, manufacture specification requirements, competent person, exposure to falling load, inspection, recordkeeping, clearance requirements, communication procedure, ground lines, permits.
- Excavation Safety Competent person, project specific protective system.
- Maintenance and Protection of Traffic Plan Project specific MPT plan, flagmen training.
- Steel Erection Site specific erection plan, requirements for applicable written notifications, competent person.
- Demolition Engineering survey, including written evidence, disconnection of all effected utilities, identification of all hazardous chemicals, materials, gases, etc., floor openings, chutes, inspection and maintenance of all stairs/passageways, removal of materials/debris/structural elements, lock out/tag out, competent person.
- Blasting and the Use of Explosives Project specific safety procedures, warning signs, training/qualification, transportation, storage and use of explosives, inspection.
- Toxic and Hazardous Substances Safety procedures for substances to be used on project.
- Noise Mitigation Plan Completed project specific Noise Mitigation Plan.
- Confined Space Program Project specific Confined Space Program, responsible staff, training
- records, equipment information, rescue procedure, list of project specific confined spaces, forms.
  Construction Vehicles/Heavy Equipment Type of construction vehicles/heavy equipment to be
- used on site.
- Dust Mitigation Plan Completed project specific Dust Mitigation Plan.

The most critical component of the Site Safety Plan is the Job Hazard Analysis (JHA) section. The JHA form is a written document prepared by the contractor. The contractor must conduct a site and task assessment JHA to identify the major job steps and any potential safety or environmental hazards related to performance of the work, eliminate or implement controls for the potential hazards, and identify proper personal protective equipment for the task. The JHA shall be communicated to all contractor/subcontractor personnel on site.

The initial Job Hazard Assessment form shall be included in the contractor's Site Safety Plan and the current form shall be available at the construction site for reference.

Certain DDC programs, such as Job Order Contracting System (JOCS), may not necessarily require Site Safety Plans. The JOCS contractor shall submit a Safety Program. The Site Safety Plan requirement for the JOCS contractor will be determined by QA&CS based on a project work scope, construction activities and project location. In addition, certain DDC Operating Units may establish client-specific program or safety requirements. The contractor's Site Safety Plan must address such client-specific program or safety requirements.

### VII. KICK-OFF MEETINGS/PRE-CONSTRUCTION AND SAFETY REVIEW

RE/CPM shall invite QA&CS Construction Safety Unit to the construction kick-off meeting. A QA&CS representative will participate in this meeting with the Contractor and RE/CPM prior to the start of the project for the purpose of:

- A. Reviewing the safety issues detailed in the contract.
- B. Reviewing the Site Safety Plan.
- C. Reviewing any new issues or information that was not previously addressed.
- D. Discussing planned inspections and audits of the site by QA&CS personnel.

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### VIII. EVALUATION DURING WORK IN PROGRESS

The Contractor's adherence to these Safety Requirements will be monitored throughout the project. This will be accomplished by the following:

- A. Use of a safety checklist by a representative of the Construction Safety Unit or other designated DDC representative or Consultant during regular, unannounced inspections of the job site. Field Exit Conferences will be held with the RE/CPM, Contractor Project Safety Representatives.
- B. The RE/CPM will continually monitor the safety and environmental performance of the contractor's employees and work methods. Deficiencies shall be brought to the attention of the contractor's representative on site for immediate correction. The DDC representative will maintain a written record of these deficiencies and have these records available upon request. Any critical deficiencies shall be immediately reported to QA&CS phone# (718) 391-1624 or (718) 391-1911.
- C. If the Contractor's safety performance during the project is not up to DDC standards (safety performance measure, accident/incident rate, etc.) the Director QA&CS, or his/her designee will meet with the Contractor's Project Safety Representative and or Project Safety Manager, the DDC Project Manager, the RE/CPM, and the DDC Environmental Specialist (if environmental issues are involved). The purpose of this meeting is to 1) determine the level of non-compliance; 2) explain and clarify the safety/environmental provisions; 3) agree on a future course of action to correct the deficiencies.
- D. If the deficiencies continue to occur with inadequate attention by the contractor, this shall, among other remedies available, be grounds for default.
- E. The contractor shall within 1 hour inform the RE/CPM/CM of all accidents/incidents including all fatalities, any injuries to employees or members of the general public, and property damage (e.g., structural damage, equipment rollovers, utility damage, loads dropped from crane). The RE/CPM shall notify the Construction Safety Unit as per DDC's Construction Safety Emergency and Accident Notification and Response Protocol and shall maintain a record of all contractor accidents/incidents for the project.
- F. The Construction Safety Unit shall be notified within two (2) hours of the start of any NYS-DOL/ NYC-COSH/ OSHA/ EPA inspections.

#### IX. SAFETY PERFORMANCE EVALUATION

The contractor's safety record, including accident/incident history and DDC safety inspection results, will be considered as part of the Contractor's performance evaluation at the conclusion of the project. Poor safety performance during the course of the project shall be a reason to rate a Contractor unsatisfactory which may be reflected in the City's Vendex system and will be considered for future procurement actions as set forth in the City's Procurement Policy Board Rules.



The following provisions supersede the corresponding provisions in the December 2013 version of the New York City Standard Construction Contract:

1. Section 22.1.1(c) provides as follows:

22.1.1(c) If the **Work** requires a permit from the Department of Buildings pursuant to 1 RCNY Section 101-08, the **Contractor** shall provide Commercial General Liability Insurance with limits of at least those required by 1 RCNY section 101-08 or greater limits provided by the Agency in Schedule A. If the Work does not require such a permit, the minimum limits shall be those provided for in Schedule A.

2. Section 22.3.3 provides as follows:

For policies provided pursuant to all of Article 22.1 other than Article 22.1.2, 22.3.3 the Contractor shall submit one or more Certificates of Insurance on forms acceptable to the **Commissioner**. All such Certificates of Insurance shall certify (a) the issuance and effectiveness of such policies of insurance, each with the specified minimum limits (b) for insurance secured pursuant to Article 22.1.1 that the City and any other entity specified in Schedule A is an Additional Insured thereunder; (c) in the event insurance is required pursuant to Article 22.1.6 and/or Article 22.1.7, that the City is an Additional Insured thereunder; and (d) the company code issued to the insurance company by the National Association of Insurance Commissioners (the NAIC number). All such Certificates of Insurance shall be accompanied by the required additional insured endorsements and either a duly executed "Certification by Insurance Broker or Agent" in the form contained in Part III of Schedule A or copies of all policies referenced in such Certificate of Insurance as certified by an authorized representative of the issuing insurance carrier. If any policy is not available at the time of submission, certified binders may be submitted until such time as the policy is available, at which time a certified copy of the policy shall be submitted.

Standard Construction Contract Rider June 2015

## (NO TEXT ON THIS PAGE)

## **CITY OF NEW YORK**

## STANDARD CONSTRUCTION CONTRACT

**DECEMBER 2013** 

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#### WITNESSETH:

The parties, in consideration of the mutual agreements contained herein, agree as follows:

## CHAPTER I THE CONTRACT AND DEFINITIONS

## ARTICLE 1. THE CONTRACT

1.1 Except for titles, subtitles, headings, running headlines, tables of contents and indices (all of which are printed herein merely for convenience), the following, except for such portions thereof as may be specifically excluded, shall be deemed to be part of this Contract:

1.1.1 All provisions required by law to be inserted in this Contract, whether actually inserted or not;

1.1.2 The Contract Drawings and Specifications;

1.1.3 The General Conditions and Special Conditions, if any;

1.1.4 The Contract;

1.1.5 The Information for Bidders; Request for Proposals; Notice of Solicitation and Proposal For Bids; Bid or Proposal, and, if used, the Bid Booklet;

1.1.6 All Addenda issued prior to the receipt of the bids; the Notice of Award; Performance and Payment Bonds, if required; and the Notice to Proceed or the Order to Work.

1.2 Should any conflict occur in or between the Drawings and Specifications, the Contractor shall be deemed to have estimated the most expensive way of doing the Work, unless the Contractor shall have asked for and obtained a decision in writing from the Commissioner of the Agency that is entering into this Contract, before the submission of its bid, as to what shall govern.

#### **ARTICLE 2. DEFINITIONS**

2.1 The following words and expressions, or pronouns used in their stead, shall, wherever they appear in this Contract, be construed as follows, unless a different meaning is clear from the context:

2.1.1 "Addendum" or "Addenda" shall mean the additional Contract provisions and/or technical clarifications issued in writing by the Commissioner prior to the receipt of bids.

2.1.2 "Agency" shall mean a city, county, borough or other office, position, department, division, bureau, board or commission, or a corporation, institution or agency of government, the expenses of which are paid in whole or in part from the City treasury.

2.1.3 "Agency Chief Contracting Officer" (ACCO) shall mean a person delegated authority by the Commissioner to organize and supervise the procurement activity of subordinate Agency staff in conjunction with the CCPO, or his/her duly authorized representative.

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2.1.4 "Allowance" shall mean a sum of money which the Agency may include in the total amount of the Contract for such specific contingencies as the Agency believes may be necessary to complete the Work, e.g., lead or asbestos remediation, and for which the Contractor will be paid on the basis of stipulated unit prices or a formula set forth in the Contract or negotiated between the parties provided, however, that if the Contractor is not directed to use the Allowance, the Contractor shall have no right to such money and it shall be deducted from the total amount of the Contract.

2.1.5 "City" shall mean the City of New York.

2.1.6 "City Chief Procurement Officer" (CCPO) shall mean a person delegated authority by the Mayor to coordinate and oversee the procurement activity of Mayoral agency staff, including the ACCO and any offices which have oversight responsibility for the procurement of construction, or his/her duly authorized representative.

2.1.7 "Commissioner" shall mean the head of the Agency that has entered into this Contract, or his/her duly authorized representative.

2.1.8 "Comptroller" shall mean the Comptroller of the City of New York.

2.1.9 "Contract" or "Contract Documents" shall mean each of the various parts of the contract referred to in Article 1 hereof, both as a whole and severally.

2.1.10 "Contract Drawings" shall mean only those drawings specifically entitled as such and listed in the Specifications or in any Addendum, or any drawings furnished by the Commissioner, pertaining or supplemental thereto.

2.1.11 "Contract Work" shall mean everything required to be furnished and done by the Contractor by any one or more of the parts of the Contract referred to in Article 1, except Extra Work as hereinafter defined.

2.1.12 "Contractor" shall mean the entity which executed this Contract, whether a corporation, firm, partnership, joint venture, individual, or any combination thereof, and its, their, his/her successors, personal representatives, executors, administrators, and assigns, and any person, firm, partnership, joint venture, individual, or corporation which shall at any time be substituted in the place of the Contractor under this Contract.

2.1.13 "Days" shall mean calendar days, except where otherwise specified.

2.1.14 "Engineer" or "Architect" or "Project Manager" shall mean the person so designated in writing by the Commissioner in the Notice to Proceed or the Order to Work to act as such in relation to this Contract, including a private Architect or Engineer or Project Manager, as the case may be. Subject to written approval by the Commissioner, the Engineer, Architect or Project Manager may designate an authorized representative.

2.1.15 "Engineering Audit Officer" (EAO) shall mean the person so designated by the Commissioner to perform responsible auditing functions hereunder.

2.1.16 "Extra Work" shall mean Work other than that required by the Contract at the time of award which is authorized by the Commissioner pursuant to Chapter VI of this Contract.

2.1.17 "Federal-Aid Contract" shall mean a contract in which the United States (federal) Government provides financial funding as so designated in the Information for Bidders.



2.1.18 **"Final Acceptance"** shall mean final written acceptance of all the Work by the Commissioner, a copy of which shall be sent to the Contractor.

2.1.19 **"Final Approved Punch List"** shall mean a list, approved pursuant to Article 14.2.2, specifying those items of Work to be completed by the Contractor after Substantial Completion and dates for the completion of each item of Work.

2.1.20 "Law" or "Laws" shall mean the Constitution of the State of New York, the New York City Charter, the New York City Administrative Code, a statute of the United States or of the State of New York, a local law of the City of New York, any ordinance, rule or regulation having the force of law, or common law.

2.1.21 "Materialman" shall mean any corporation, firm, partnership, joint venture, or individual, other than employees of the Contractor, who or which contracts with the Contractor or any Subcontractor, to fabricate or deliver, or who actually fabricates or delivers, plant, materials or equipment to be incorporated in the Work.

2.1.22 "Means and Methods of Construction" shall mean the labor, materials, temporary structures, tools, plant, and construction equipment, and the manner and time of their use, necessary to accomplish the result intended by this Contract.

2.1.23"Notice to Proceed" or "Order to Work" shall mean the written notice issued by the Commissioner specifying the time for commencement of the Work and the Engineer, Architect or Project Manager.

2.1.24 "Other Contractor(s)" shall mean any contractor (other than the entity which executed this Contract or its Subcontractors) who or which has a contract with the City for work on or adjacent to the building or Site of the Work.

2.1.25 **"Payroll Taxes"** shall mean State Unemployment Insurance (SUI), Federal Unemployment Insurance (FUI), and payments pursuant to the Federal Insurance Contributions Act (FICA).

2.1.26 "Project" shall mean the public improvement to which this Contract relates.

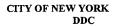
2.1.27 "**Procurement Policy Board**" (**PPB**) shall mean the Agency of the City of New York whose function is to establish comprehensive and consistent procurement policies and rules which shall have broad application throughout the City.

2.1.28 "**Required Quantity**" in a unit price Contract shall mean the actual quantity of any item of Work or materials which is required to be performed or furnished in order to comply with the Contract.

2.1.29 "Resident Engineer" shall mean the representative of the Commissioner duly designated by the Commissioner to be his/her representative at the site of the Work.

2.1.30 "Site" shall mean the area upon or in which the Contractor's operations are carried on, and such other areas adjacent thereto as may be designated as such by the Engineer.

2.1.31 "Small Tools" shall mean items that are ordinarily required for a worker's job function, including but not limited to, equipment that ordinarily has no licensing, insurance



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or substantive storage costs associated with it; such as circular and chain saws, impact drills, threaders, benders, wrenches, socket tools, etc.

2.1.32 "Specifications" shall mean all of the directions, requirements, and standards of performance applying to the Work as hereinafter detailed and designated under the Specifications.

2.1.33 "Subcontractor" shall mean any person, firm or corporation, other than employees of the Contractor, who or which contracts with the Contractor or with its subcontractors to furnish, or actually furnishes labor, or labor and materials, or labor and equipment, or superintendence, supervision and/or management at the Site. Wherever the word Subcontractor appears, it shall also mean sub-Subcontractor.

2.1.34 "Substantial Completion" shall mean the written determination by the Engineer that the Work required under this Contract is substantially, but not entirely, complete and the approval of the Final Approved Punch List.

2.1.35 "Work" shall mean all services required to complete the Project in accordance with the Contract Documents, including without limitation, labor, material, superintendence, management, administration, equipment, and incidentals, and obtaining any and all permits, certifications and licenses as may be necessary and required to complete the Work, and shall include both Contract Work and Extra Work.

## CHAPTER II THE WORK AND ITS PERFORMANCE

#### **ARTICLE 3. CHARACTER OF THE WORK**

3.1 Unless otherwise expressly provided in the **Contract Drawings**, **Specifications**, and **Addenda**, the **Work** shall be performed in accordance with the best modern practice, utilizing, unless otherwise specified in writing, new and unused materials of standard first grade quality and workmanship and design of the highest quality, to the satisfaction of the **Commissioner**.

## **ARTICLE 4. MEANS AND METHODS OF CONSTRUCTION**

4.1 Unless otherwise expressly provided in the Contract Drawings, Specifications, and Addenda, the Means and Methods of Construction shall be such as the Contractor may choose; subject, however, to the Engineer's right to reject the Means and Methods of Construction proposed by the Contractor which in the opinion of the Engineer:

4.1.1 Will constitute or create a hazard to the Work, or to persons or property; or

4.1.2 Will not produce finished Work in accordance with the terms of the Contract; or

4.1.3 Will be detrimental to the overall progress of the Project.

4.2 The Engineer's approval of the Contractor's Means and Methods of Construction, or his/her failure to exercise his/her right to reject such means or methods, shall not relieve the Contractor of its obligation to complete the Work as provided in this Contract; nor shall the exercise of such right to reject create a cause of action for damages.

## **ARTICLE 5. COMPLIANCE WITH LAWS**

5.1 The **Contractor** shall comply with all **Laws** applicable to this **Contract** and to the **Work** to be done hereunder.

5.2 Procurement Policy Board Rules: This **Contract** is subject to the Rules of the **PPB** ("**PPB** Rules") in effect at the time of the bid opening for this **Contract**. In the event of a conflict between the **PPB** Rules and a provision of this **Contract**, the **PPB** Rules shall take precedence.

5.3 Noise Control Code provisions.

5.3.1 In accordance with the provisions of Section 24-216(b) of the Administrative Code of the City ("Administrative Code"), Noise Abatement Contract Compliance, devices and activities which will be operated, conducted, constructed or manufactured pursuant to this Contract and which are subject to the provisions of the City Noise Control Code shall be operated, conducted, constructed, or manufactured without causing a violation of the Administrative Code. Such devices and activities shall incorporate advances in the art of noise control development for the kind and level of noise emitted or produced by such devices and activities, in accordance with regulations issued by the Commissioner of the City Department of Environmental Protection.

5.3.2 The **Contractor** agrees to comply with Section 24-219 of the Administrative Code and implementing rules codified at 15 Rules of the City of New York ("RCNY") Section 28-100 *et seq*. In accordance with such provisions, the **Contractor**, if the **Contractor** is the responsible party under such regulations, shall prepare and post a Construction Noise Mitigation Plan at each **Site**, in which the **Contractor** shall certify that all construction tools and equipment have been maintained so that they operate at normal manufacturers operating specifications. If the **Contractor** cannot make this certification, it must have in place an Alternative Noise Mitigation Plan approved by the **City** Department of Environmental Protection. In addition, the **Contractor**'s certified Construction Noise Mitigation Plan is subject inspection by the **City** Department of Environmental Protection in accordance with Section 28-101 of Title 15 of RCNY. No **Contract Work** may take place at a **Site** unless there is a Construction Noise Mitigation Plan or approved Alternative Noise Mitigation Plan in place. In addition, the **Contractor** shall create and implement a noise mitigation training program. Failure to comply with these requirements may result in fines and other penalties pursuant to the applicable provisions of the Administrative Code and RCNY.

5.4 Ultra Low Sulfur Diesel Fuel: In accordance with the provisions of Section 24-163.3 of the Administrative Code, the **Contractor** specifically agrees as follows:

5.4.1 Definitions. For purposes of this Article 5.4, the following definitions apply:

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5.4.1(a) "Contractor" means any person or entity that enters into a Public Works Contract with a **City Agency**, or any person or entity that enters into an agreement with such person or entity, to perform work or provide labor or services related to such Public Works Contract.

5.4.1(b) "Motor Vehicle" means any self-propelled vehicle designed for transporting persons or property on a street or highway.

5.4.1(c) "Nonroad Engine" means an internal combustion engine (including the fuel system) that is not used in a Motor Vehicle or a vehicle used solely for competition, or that is not subject to standards promulgated under Section 7411 or Section 7521 of

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Title 42 of the United States Code, except that this term shall apply to internal combustion engines used to power generators, compressors or similar equipment used in any construction program or project.

5.4.1(d) "Nonroad Vehicle" means a vehicle that is powered by a Nonroad Engine, fifty (50) horsepower and greater, and that is not a Motor Vehicle or a vehicle used solely for competition, which shall include, but not be limited to, excavators, backhoes, cranes, compressors, generators, bulldozers, and similar equipment, except that this term shall not apply to horticultural maintenance vehicles used for landscaping purposes that are powered by a Nonroad Engine of sixty-five (65) horsepower or less and that are not used in any construction program or project.

5.4.1(e) "Public Works Contract" means a contract with a City Agency for a construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge; a contract with a City Agency for the preparation for any construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge; or a contract with a City Agency for any final work involved in the completion of any construction program or project involving the construction program or project involving the construction, repair, renovation, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, demolition, restoration, rehabilitation, repair, renovation, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge.

5.4.1(f) "Ultra Low Sulfur Diesel Fuel" means diesel fuel that has a sulfur content of no more than fifteen parts per million (15 ppm).

5.4.2 Ultra Low Sulfur Diesel Fuel

5.4.2(a) All **Contractors** shall use Ultra Low Sulfur Diesel Fuel in diesel-powered Nonroad Vehicles in the performance of this **Contract**.

5.4.2(b) Notwithstanding the requirements of Article 5.4.2(a), **Contractors** may use diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm) to fulfill the requirements of this Article 5.4.2, where the Commissioner of the **City** Department of Environmental Protection ("DEP Commissioner") has issued a determination that a sufficient quantity of Ultra Low Sulfur Diesel Fuel is not available to meet the needs of **Agencies** and **Contractors**. Any such determination shall expire after six (6) months unless renewed.

5.4.2(c) **Contractors** shall not be required to comply with this Article 5.4.2 where the **City Agency** letting this **Contract** makes a written finding, which is approved, in writing, by the DEP Commissioner, that a sufficient quantity of Ultra Low Sulfur Diesel Fuel, or diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm) is not available to meet the requirements of Section 24-163.3 of the Administrative Code, provided that such **Contractor** in its fulfillment of the requirements of this **Contract**, to the extent practicable, shall use whatever quantity of Ultra Low Sulfur Diesel Fuel or diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm) is available. Any finding made pursuant to this Article 5.4.2(c) shall expire after sixty (60) **Days**, at which time the requirements of this Article 5.4.2 shall be in full force and effect unless the **City Agency** renews the finding in writing and such renewal is approved by the DEP Commissioner.

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5.4.2(d) **Contractors** may check on determinations and approvals issued by the DEP Commissioner pursuant to Section 24-163.3 of the Administrative Code, if any, at <u>www.dep.nyc.gov</u> or by contacting the **City Agency** letting this **Contract**.

5.4.2(e) The requirements of this Article 5.4.2 do not apply where they are precluded by federal or State funding requirements or where the **Contract** is an emergency procurement.

# 5.4.3 Best Available Technology

5.4.3(a) All **Contractors** shall utilize the best available technology for reducing the emission of pollutants for diesel-powered Nonroad Vehicles in the performance of this **Contract**. For determinations of best available technology for each type of diesel-powered Nonroad Vehicle, **Contractors** shall comply with the regulations of the **City** Department of Environmental Protection, as and when adopted, Chapter 14 of Title 15 of the Rules of the City of New York (RCNY). The **Contractor** shall fully document all steps in the best available technology selection process and shall furnish such documentation to the **City Agency** or the DEP Commissioner upon request. The **Contractor** shall retain all documentation generated in the best available technology selection process for as long as the selected best available technology is in use.

5.4.3(b) No **Contractor** shall be required to replace best available technology for reducing the emission of pollutants or other authorized technology utilized for a diesel-powered Nonroad Vehicle in accordance with the provisions of this Article 5.4.3 within three (3) years of having first utilized such technology for such vehicle.

5.4.3(c) This Article 5.4.3 shall not apply to any vehicle used to satisfy the requirements of a specific Public Works Contract for fewer than twenty (20) **Days**.

5.4.3(d) The **Contractor** shall not be required to comply with this Article 5.4.3 with respect to a diesel-powered Nonroad Vehicle under the following circumstances:

5.4.3(d)(i) Where the **City Agency** makes a written finding, which is approved, in writing, by the DEP Commissioner, that the best available technology for reducing the emission of pollutants as required by this Article 5.4.3 is unavailable for such vehicle, the **Contractor** shall use whatever technology for reducing the emission of pollutants, if any, is available and appropriate for such vehicle.

5.4.3(d)(ii) Where the DEP Commissioner has issued a written waiver based upon the **Contractor** having demonstrated to the DEP Commissioner that the use of the best available technology for reducing the emission of pollutants might endanger the operator of such vehicle or those working near such vehicle, due to engine malfunction, the **Contractor** shall use whatever technology for reducing the emission of pollutants, if any, is available and appropriate for such vehicle, which would not endanger the operator of such vehicle or those working near such vehicle.

5.4.3(d)(iii) In determining which technology to use for the purposes of Articles 5.4.3(d)(i) and 5.4.3(d)(i) above, the **Contractor** shall primarily consider the reduction in emissions of particulate matter and secondarily consider the reduction in emissions of nitrogen oxides associated with the use of such

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technology, which shall in no event result in an increase in the emissions of either such pollutant.

5.4.3(d)(iv) The **Contractor** shall submit requests for a finding or a waiver pursuant to this Article 5.4.3(d) in writing to the DEP Commissioner, with a copy to the **ACCO** of the **City Agency** letting this **Contract**. Any finding or waiver made or issued pursuant to Articles 5.4.3(d)(i) and 5.4.3(d)(ii) above shall expire after one hundred eighty (180) **Days**, at which time the requirements of Article 5.4.3(a) shall be in full force and effect unless the **City Agency** renews the finding, in writing, and the DEP Commissioner approves such finding, in writing, or the DEP Commissioner renews the waiver, in writing.

5.4.3(e) The requirements of this Article 5.4.3 do not apply where they are precluded by federal or State funding requirements or where the **Contract** is an emergency procurement.

5.4.4 Section 24-163 of the Administrative Code. The **Contractor** shall comply with Section 24-163 of the Administrative Code related to the idling of the engines of motor vehicles while parking.

5.4.5 Compliance

5.4.5(a) The **Contractor's** compliance with Article 5.4 may be independently monitored. If it is determined that the **Contractor** has failed to comply with any provision of Article 5.4, any costs associated with any independent monitoring incurred by the **City** shall be reimbursed by the **Contractor**.

5.4.5(b) Any **Contractor** who violates any provision of Article 5.4, except as provided in Article 5.4.5(c) below, shall be liable for a civil penalty between the amounts of one thousand (\$1,000) and ten thousand (\$10,000) dollars, in addition to twice the amount of money saved by such **Contractor** for failure to comply with Article 5.4.

5.4.5(c) No **Contractor** shall make a false claim with respect to the provisions of Article 5.4 to a **City Agency**. Where a **Contractor** has been found to have done so, such **Contractor** shall be liable for a civil penalty of twenty thousand (\$20,000) dollars, in addition to twice the amount of money saved by such **Contractor** in association with having made such false claim.

## 5.4.6 Reporting

5.4.6(a) For all Public Works Contracts covered by this Article 5.4, the **Contractor** shall report to the **City Agency** the following information:

5.4.6(a)(i) The total number of diesel-powered Nonroad Vehicles used to fulfill the requirements of this Public Works Contract;

5.4.6(a)(ii) The number of such Nonroad Vehicles that were powered by Ultra Low Sulfur Diesel Fuel;

5.4.6(a)(iii) The number of such Nonroad Vehicles that utilized the best available technology for reducing the emission of pollutants, including a breakdown by vehicle model and the type of technology;





5.4.6(a)(iv) The number of such Nonroad Vehicles that utilized such other authorized technology in accordance with Article 5.4.3, including a breakdown by vehicle model and the type of technology used for each such vehicle;

5.4.6(a)(v) The locations where such Nonroad Vehicles were used; and

5.4.6(a)(vi) Where a determination is in effect pursuant to Article 5.4.2(b) or 5.4.2(c), detailed information concerning the **Contractor's** efforts to obtain Ultra Low Sulfur Diesel Fuel or diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm).

5.4.6(b) The **Contractor** shall submit the information required by Article 5.4.6(a) at the completion of **Work** under the Public Works Contract and on a yearly basis no later than August 1 throughout the term of the Public Works Contract. The yearly report shall cover **Work** performed during the preceding fiscal year (July 1 - June 30).

5.5 Ultra Low Sulfur Diesel Fuel. In accordance with the Coordinated Construction Act for Lower Manhattan, as amended:

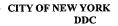
5.5.1 Definitions. For purposes of this Article 5.5, the following definitions apply:

5.5.1(a) "Lower Manhattan" means the area to the south of and within the following lines: a line beginning at a point where the United States pierhead line in the Hudson River as it exists now or may be extended would intersect with the southerly line of West Houston Street in the Borough of Manhattan extended, thence easterly along the southerly side of West Houston Street to the southerly side of Houston Street, thence easterly along the southerly side of Houston Street, to the southerly side of East Houston Street, thence northeasterly along the southerly side of East Houston Street to the point where it would intersect with the United States pierhead line in the East River as it exists now or may be extended, including tax lots within or immediately adjacent thereto.

5.5.1(b) "Lower Manhattan Redevelopment Project" means any project in Lower Manhattan that is funded in whole or in part with federal or State funding, or any project intended to improve transportation between Lower Manhattan and the two air terminals in the **City** known as LaGuardia Airport and John F. Kennedy International Airport, or between Lower Manhattan and the air terminal in Newark known as Newark Liberty International Airport, and that is funded in whole or in part with federal funding.

5.5.1(c) "Nonroad Engine" means an internal combustion engine (including the fuel system) that is not used in a Motor Vehicle or a vehicle used solely for competition, or that is not subject to standards promulgated under Section 7411 or Section 7521 of Title 42 of the United States Code, except that this term shall apply to internal combustion engines used to power generators, compressors or similar equipment used in any construction program or project.

5.5.1(d) "Nonroad Vehicle" means a vehicle that is powered by a Nonroad Engine, fifty (50) horsepower (HP) and greater, and that is not a Motor Vehicle or a vehicle used solely for competition, which shall include, but not be limited to, excavators, backhoes, cranes, compressors, generators, bulldozers, and similar equipment, except



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that this terms shall not apply to horticultural maintenance vehicles used for landscaping purposes that are powered by a Nonroad Engine of sixty-five (65) HP or less and that are not used in any construction program or project.

5.5.1(e) "Ultra Low Sulfur Diesel Fuel" means diesel fuel that has a sulfur content of no more than fifteen parts per million (15 ppm).

5.5.2 Requirements. **Contractors** and **Subcontractors** are required to use only Ultra Low Sulfur Diesel Fuel to power the diesel-powered Nonroad Vehicles with engine HP rating of fifty (50) HP and above used on a Lower Manhattan Redevelopment Project and, where practicable, to reduce the emission of pollutants by retrofitting such Nonroad Vehicles with oxidation catalysts, particulate filters, or technology that achieves lowest particulate matter emissions.

5.6 Pesticides. In accordance with Section 17-1209 of the Administrative Code, to the extent that the **Contractor** or any **Subcontractor** applies pesticides to any property owned or leased by the **City**, the **Contractor**, and any **Subcontractor** shall comply with Chapter 12 of the Administrative Code.

5.7 Waste Treatment, Storage, and Disposal Facilities and Transporters. In connection with the **Work**, the **Contractor** and any **Subcontractor** shall use only those waste treatment, storage, and disposal facilities and waste transporters that possess the requisite license, permit or other governmental approval necessary to treat, store, dispose, or transport the waste, materials or hazardous substances.

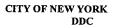
5.8 Environmentally Preferable Purchasing. The **Contractor** shall ensure that products purchased or leased by the **Contractor** or any **Subcontractor** for the **Work** that are not specified by the **City** or are submitted as equivalents to a product specified by the **City** comply with the requirements of the New York City Environmentally Preferable Purchasing Program contained in Chapter 11 of Title 43 of the RCNY, pursuant to Chapter 3 of Title 6 of the Administrative Code.

#### **ARTICLE 6. INSPECTION**

6.1 During the progress of the Work and up to the date of Final Acceptance, the Contractor shall at all times afford the representatives of the City every reasonable, safe, and proper facility for inspecting all Work done or being done at the Site and also for inspecting the manufacture or preparation of materials and equipment at the place of such manufacture or preparation.

6.2 The Contractor's obligation hereunder shall include the uncovering or taking down of finished Work and its restoration thereafter; provided, however, that the order to uncover, take down and restore shall be in writing, and further provided that if Work thus exposed proves satisfactory, and if the Contractor has complied with Article 6.1, such uncovering or taking down and restoration shall be considered an item of Extra Work to be paid for in accordance with the provisions of Article 26. If the Work thus exposed proves unsatisfactory, the City has no obligation to compensate the Contractor for the uncovering, taking down or restoration.

6.3 Inspection and approval by the Commissioner, the Engineer, Project Manager, or Resident Engineer, of finished Work or of Work being performed, or of materials and equipment at the place of manufacture or preparation, shall not relieve the Contractor of its obligation to perform the Work in strict accordance with the Contract. Finished or unfinished Work not found to be in strict accordance with the Contract shall be replaced as directed by the Engineer, even though such Work may have been previously approved and paid for. Such corrective Work is Contract Work and shall not be deemed Extra Work.





6.4 Rejected **Work** and materials shall be promptly taken down and removed from the **Site**, which must at all times be kept in a reasonably clean and neat condition.

## ARTICLE 7. PROTECTION OF WORK AND OF PERSONS AND PROPERTY; NOTICES AND INDEMNIFICATION

7.1 During the performance of the Work and up to the date of Final Acceptance, the Contractor shall be under an absolute obligation to protect the finished and unfinished Work against any damage, loss, injury, theft and/or vandalism and in the event of such damage, loss, injury, theft and/or vandalism, it shall promptly replace and/or repair such Work at the Contractor's sole cost and expense, as directed by the Resident Engineer. The obligation to deliver finished Work in strict accordance with the Contract prior to Final Acceptance shall be absolute and shall not be affected by the Resident Engineer's approval of, or failure to prohibit, the Means and Methods of Construction used by the Contractor.

7.2 During the performance of the Work and up to the date of Final Acceptance, the Contractor shall take all reasonable precautions to protect all persons and the property of the City and of others from damage, loss or injury resulting from the Contractor's, and/or its Subcontractors' operations under this Contract. The Contractor's obligation to protect shall include the duty to provide, place or replace, and adequately maintain at or about the Site suitable and sufficient protection such as lights, barricades, and enclosures.

7.3 The **Contractor** shall comply with the notification requirements set forth below in the event of any loss, damage or injury to **Work**, persons or property, or any accidents arising out of the operations of the **Contractor** and/or its **Subcontractors** under this **Contract**.

7.3.1 The **Contractor** shall make a full and complete report in writing to the **Resident Engineer** within three (3) **Days** after the occurrence.

7.3.2 The **Contractor** shall also send written notice of any such event to all insurance carriers that issued potentially responsive policies (including commercial general liability insurance carriers for events relating to the **Contractor**'s own employees) no later than twenty (20) days after such event and again no later than twenty (20) days after the initiation of any claim and/or action resulting therefrom. Such notice shall contain the following information: the number of the insurance policy, the name of the Named Insured, the date and location of the incident, and the identity of the persons injured or property damaged. For any policy on which the **City** and/or the **Engineer**, **Architect**, or **Project Manager** are Additional Insureds, such notice shall expressly specify that "this notice is being given on behalf of the City of New York as Additional Insured, such other Additional Insureds, as well as the Named Insured."

7.3.2(a) Whenever such notice is sent under a policy on which the **City** is an Additional Insured, the **Contractor** shall provide copies of the notice to the **Comptroller**, the **Commissioner** and the **City** Corporation Counsel. The copy to the **Comptroller** shall be sent to the Insurance Unit, NYC Comptroller's Office, 1 Centre Street – Room 1222, New York, New York, 10007. The copy to the **Commissioner** shall be sent to the address set forth in Schedule A of the General Conditions. The copy to the **City** Corporation Division, New York City Law Department, 100 Church Street, New York, New

CITY OF NEW YORK DDC 7.3.2(b) If the **Contractor** fails to provide any of the foregoing notices to any appropriate insurance carrier(s) in a timely and complete manner, the **Contractor** shall indemnify the **City** for all losses, judgments, settlements, and expenses, including reasonable attorneys' fees, arising from an insurer's disclaimer of coverage citing late notice by or on behalf of the **City**.

7.4 To the fullest extent permitted by law, the Contractor shall defend, indemnify, and hold the City, its employees, and officials (the "Indemnitees") harmless against any and all claims (including but not limited to claims asserted by any employee of the Contractor and/or its Subcontractors) and costs and expenses of whatever kind (including but not limited to payment or reimbursement of attorneys' fees and disbursements) allegedly arising out of or in any way related to the operations of the Contractor and/or its Subcontractors in the performance of this Contract or from the Contractor's and/or its Subcontractors' failure to comply with any of the provisions of this Contract or of the Law. Such costs and expenses shall include all those incurred in defending the underlying claim and those incurred in connection with the enforcement of this Article 7.4 by way of cross-claim, third-party claim, declaratory action or otherwise. The parties expressly agree that the indemnification obligation hereunder contemplates (1) full indemnity in the event of liability imposed against the Indemnitees without negligence and solely by reason of statute, operation of Law or otherwise; and (2) partial indemnity in the event of any actual negligence on the part of the Indemnitees either causing or contributing to the underlying claim (in which case, indemnification will be limited to any liability imposed over and above that percentage attributable to actual fault whether by statute, by operation of Law, or otherwise). Where partial indemnity is provided hereunder, all costs and expenses shall be indemnified on a pro rata basis.

7.4.1 Indemnification under Article 7.4 or any other provision of the **Contract** shall operate whether or not **Contractor** or its **Subcontractors** have placed and maintained the insurance specified under Article 22.

7.5 The provisions of this Article 7 shall not be deemed to create any new right of action in favor of third parties against the **Contractor** or the **City**.

#### CHAPTER III TIME PROVISIONS

## ARTICLE 8. COMMENCEMENT AND PROSECUTION OF THE WORK

8.1 The Contractor shall commence the Work on the date specified in the Notice to Proceed or the Order to Work. The time for performance of the Work under the Contract shall be computed from the date specified in the Notice to Proceed or the Order to Work. TIME BEING OF THE ESSENCE to the City, the Contractor shall thereafter prosecute the Work diligently, using such Means and Methods of Construction as are in accord with Article 4 herein and as will assure its completion not later than the date specified in this Contract, or on the date to which the time for completion may be extended.

## **ARTICLE 9. PROGRESS SCHEDULES**

9.1 To enable the Work to be performed in an orderly and expeditious manner, the Contractor, within fifteen (15) Days after the Notice to Proceed or Order to Work, unless otherwise directed by the Engineer, shall submit to the Engineer a proposed progress schedule based on the Critical Path Method in the form of a bar graph or in such other form as specified by the Engineer, and monthly cash flow requirements, showing:

CITY OF NEW YORK DDC 9.1.1 The anticipated time of commencement and completion of each of the various operations to be performed under this **Contract**; and

9.1.2 The sequence and interrelation of each of these operations with the others and with those of other related contracts; and

9.1.3 The estimated time required for fabrication or delivery, or both, of all materials and equipment required for the **Work**, including the anticipated time for obtaining required approvals pursuant to Article 10; and

9.1.4 The estimated amount in dollars the Contractor will claim on a monthly basis.

9.2 The proposed schedule shall be revised as directed by the **Engineer**, until finally approved by the **Engineer**, and after such approval, subject to the provisions of Article 11, shall be strictly adhered to by the **Contractor**.

9.3 If the **Contractor** shall fail to adhere to the approved progress schedule, or to the schedule as revised pursuant to Article 11, it shall promptly adopt such other or additional **Means and Methods of Construction**, at its sole cost and expense, as will make up for the time lost and will assure completion in accordance with the approved progress schedule. The approval by the **City** of a progress schedule which is shorter than the time allotted under the **Contract** shall not create any liability for the **City** if the approved progress schedule is not met.

9.4 The Contractor will not receive any payments until the proposed progress schedule is submitted.

## ARTICLE 10. REQUESTS FOR INFORMATION OR APPROVAL

10.1 From time to time as the Work progresses and in the sequence indicated by the approved progress schedule, the **Contractor** shall submit to the **Engineer** a specific request in writing for each item of information or approval required by the **Contractor**. These requests shall state the latest date upon which the information or approval is actually required by the **Contractor**, and shall be submitted in a reasonable time in advance thereof to provide the **Engineer** a sufficient time to act upon such submissions, or any necessary re-submissions thereof.

10.2 The **Contractor** shall not have any right to an extension of time on account of delays due to the **Contractor's** failure to submit requests for the required information or the required approval in accordance with the above requirements.

## ARTICLE 11. NOTICE OF CONDITIONS CAUSING DELAY AND DOCUMENTATION OF DAMAGES CAUSED BY DELAY

11.1 After the commencement of any condition which is causing or may cause a delay in completion of the Work, including conditions for which the Contractor may be entitled to an extension of time, the following notifications and submittals are required:

11.1.1 Within seven (7) **Days** after the commencement of such condition, the **Contractor** must notify the **Engineer** in writing of the existence, nature and effect of such condition upon the approved progress schedule and the **Work**, and must state why and in what respects, if any, the condition is causing or may cause a delay.

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11.1.2 If the **Contractor** shall claim to be sustaining damages for delay as provided for in this Article 11, within forty-five (45) **Days** from the time such damages are first incurred, and every thirty (30) **Days** thereafter for as long as such damages are being incurred, the **Contractor** shall submit to the **Commissioner** verified written statements of the details and the amounts of such damages, together with documentary evidence of such damages, ("statement of delay damages") as further detailed in Article 11.6. The **Contractor** may submit any of the above statements within such additional time as may be granted by the **Commissioner** in writing upon written request therefor. On failure of the **Contractor** to strictly comply with all of the foregoing provisions, such claims shall be deemed waived and no right to recover on such claims shall exist. Damages that the **Contractor** may claim in any action arising under or by reason of this **Contract** shall not be different from or in excess of the statements made and documentation provided pursuant to this Article 11.

11.1.3 Within 60 days of submission of the final verified statement of claims pursuant to Article 44, the **Commissioner** shall make a determination as to whether a compensable delay has occurred and, if so, the amount of compensation due the **Contractor**. Notwithstanding the above, the **Commissioner** may make a determination as to whether a compensable delay has occurred at any time after the **Contractor's** first submission of a statement of delay damages provided, however, that the amount of compensation due to the **Contractor** will not be determined until the **Commissioner** determines that the **Work** is delayed after the date set for substantial completion.

11.2 Failure of the **Contractor** to strictly comply with the requirements of Article 11.1.1 may, in the discretion of the **Commissioner**, be deemed sufficient cause to deny any extension of time on account of delay arising out of such condition. Failure of the **Contractor** to strictly comply with the requirements of Articles 11.1.1 and 11.1.2 shall be deemed a conclusive waiver by the **Contractor** of any and all claims for damages for delay arising from such condition and no right to recover on such claims shall exist.

11.3 When appropriate and directed by the **Engineer**, the progress schedule shall be revised by the **Contractor** until finally approved by the **Engineer**. The revised progress schedule must be strictly adhered to by the **Contractor**.

11.4 Compensable Delays

11.4.1 The **Contractor** agrees to make claim only for additional costs attributable to delay in the performance of this **Contract** necessarily extending the time for completion of the **Work** or resulting from acceleration directed by the **Commissioner** and required to maintain the **Project** schedule, occasioned solely by any act or omission to act of the **City** listed below. The **Contractor** also agrees that delay from any other cause shall be compensated, if at all, solely by an extension of time to complete the performance of the **Work**.

- 11.4.1.1 The failure of the City to take reasonable measures to coordinate and progress the Work, except that the City shall not be responsible for the Contractor's obligation to coordinate and progress the Work of its Subcontractors.
- 11.4.1.2 Extended delays attributable to the **City** in the review or issuance of change orders, in shop drawing reviews and approvals or as a result of the cumulative impact of multiple change orders, which have a verifiable impact on **Project** costs.
- 11.4.1.3 The unavailability of the **Site** for an extended period of time that significantly affects the scheduled completion of the **Contract**.

- 11.4.1.4 The issuance by the **Engineer** of a stop work order relative to a substantial portion of the **Work** for a period exceeding thirty (30) **Days**, that was not brought about through any action or omission of the **Contractor**.
- 11.4.1.5 Differing site conditions that were neither known nor reasonably ascertainable on a pre-bid inspection of the Site or review of the bid documents or other publicly available sources, and that are not ordinarily encountered in the **Project's** geographical area or neighborhood or in the type of **Work** to be performed.
- 11.4.1.6 Delays caused by the **City's** bad faith or its willful, malicious, or grossly negligent conduct;
- 11.4.1.7 Delays not contemplated by the parties;
- 11.4.1.8 Delays so unreasonable that they constitute an intentional abandonment of the **Contract** by the **City**; and
- 11.4.1.9 Delays resulting from the City's breach of a fundamental obligation of the Contract.

11.4.2 No claim may be made for any alleged delay in Substantial Completion of the Work by a date earlier than the date of Substantial Completion provided for in Schedule A unless there is a provision in the Contract providing for additional compensation for early completion. No claim may be made for any alleged delay in Substantial Completion of the Work if the work is substantially completed by the date of Substantial Completion provided for in Schedule A unless acceleration has been directed by the Commissioner to meet the date of Substantial Completion set forth in Schedule A.

11.4.3 The provisions of this Article 11 apply only to claims for additional costs attributable to delay and do not preclude determinations by the **Commissioner** allowing reimbursements for additional costs for **Extra Work** pursuant to Articles 25 and 26 of this **Contract**. To the extent that any cost attributable to delay is reimbursed as part of a change order, no additional claim for compensation under this Article 11 shall be allowed.

11.5 Non-Compensable Delays. The **Contractor** agrees to make no claim for, and is deemed to have included in its bid prices for the various items of the **Contract**, the extra/additional costs attributable to any delays caused by or attributable to the items set forth below. For such items, the **Contractor** shall be compensated, if at all, solely by an extension of time to complete the performance of the **Work**, in accordance with the provisions of Article 13. Such extensions of time will be granted, if at all, pursuant to the grounds set forth in Article 13.3.

11.5.1 The acts or omissions of any third parties, including but not limited to Other Contractors, public/ governmental bodies (other than City Agencies), utilities or private enterprises, who are disclosed in the Contract Documents or are ordinarily encountered or generally recognized as related to the Work;

11.5.2 Any situation which was within the contemplation of the parties at the time of entering into the **Contract**, including any delay indicated or disclosed in the **Contract Documents** or generally recognized as related to the nature of the **Work**, and/or the existence of any facility or appurtenance owned, operated or maintained by any third party, as indicated or disclosed in the **Contract Documents** or ordinarily encountered or generally recognized as related to the nature of the **Work**;

11.5.3 Restraining orders, injunctions or judgments issued by a court which were caused by a Contractor's submission, action or inaction or by a Contractor's **Means and Methods of** 

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**Construction**, or by third parties, unless such order, injunction or judgment was the result of an action or omission by the **City**;

11.5.4 Any labor boycott, strike, picketing, lockout or similar situation;

11.5.5 Any shortages of supplies or materials, or unavailability of equipment, required by the Contract Work;

11.5.6 Climatic conditions, storms, floods, droughts, tidal waves, fires, hurricanes, earthquakes, landslides or other catastrophes or acts of God, or acts of war or of the public enemy or terrorist acts, including the **City's** reasonable responses thereto; and

11.5.7 Extra Work which does not significantly affect the overall completion of the Contract, reasonable delays in the review or issuance of change orders or field orders and/or in shop drawing reviews or approvals.

11.6 Required Content of Submission of Statement of Delay Damages

11.6.1 In the verified written statement of delay damages required by Article 11.1.2, the following information shall be provided by the **Contractor**:

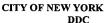
- 11.6.1.1 For each delay, the start and end dates of the claimed periods of delay and, in addition, a description of the operations that were delayed, an explanation of how they were delayed, and the reasons for the delay, including identifying the applicable act or omission of the City listed in Article 11.4.
- 11.6.1.2 A detailed factual statement of the claim providing all necessary dates, locations and items of **Work** affected by the claim.
- 11.6.1.3 The amount of additional compensation sought and a breakdown of that amount into categories as described in Article 26.2, subject to the limitations set forth in Article 11.7.
- 11.6.1.4 Any additional information requested by the Commissioner.

11.7 Recoverable Costs

11.7.1 Delay damages may be recoverable for the following costs actually and necessarily incurred in the performance of the **Work**:

- 11.7.1.1 Direct labor, including payroll taxes (subject to statutory wage caps) and supplemental benefits, based on time and materials records;
- 11.7.1.2 Necessary materials (including transportation to the Site), based on time and material records;
- 11.7.1.3 Reasonable rental value of necessary plant and equipment other than small tools, plus fuel/energy costs according to the applicable formula set forth in Articles 26.2.4 and/or 26.2.8, based on time and material records;
- 11.7.1.4 Insurance and bond costs;
- 11.7.1.5 Extended field office costs;
- 11.7.1.6 Extended Site overhead; and
- 11.7.1.7 Extended home office overhead.

11.7.2 Recoverable Subcontractor Costs. When the Work is performed by a Subcontractor, the Contractor may be paid the actual and necessary costs of such subcontracted Work as outlined above in Articles 11.7.1.1 through 11.7.1.6, and an





additional overhead of five (5%) percent of the costs outlined in Articles 11.7.1.1 through 11.7.1.3.

11.7.3 Non-Recoverable Costs. The parties agree that the **City** will have no liability for the following items and the **Contractor** agrees it shall make no claim for the following items:

- 11.7.3.1Profit, or loss of anticipated or unanticipated profit;
- 11.7.3.2Consequential damages, including but not limited to interest on monies in dispute, including interest which is paid on such monies, loss of bonding capacity, bidding opportunities, or interest in investment, or any resulting insolvency;
- 11.7.3.3 Indirect costs or expenses of any nature;
- 11.7.3.4 Direct or indirect costs attributable to performance of **Work** where the **Contractor**, because of situations or conditions within its control, has not progressed the **Work** in a satisfactory manner; and
- 11.7.3.5 Attorneys' fees and dispute and claims preparation expenses.
- 11.8 Determinations under this Article 11 are not subject to the jurisdiction of the Contract Dispute Resolution Board pursuant to the dispute resolution process set forth in Article 27.
- 11.9 If the parties agree, pursuant to Article 11.1.3 above, that a compensable delay has occurred and agree on the amount of compensation, payment may be made pursuant to a written change order. Payment pursuant to such change order is subject to pre-audit by the **Engineering Audit Officer**, and may be post-audited by the **Comptroller** and/or the **Agency**.

# ARTICLE 12. COORDINATION WITH OTHER CONTRACTORS

12.1 During the progress of the Work, Other Contractors may be engaged in performing other work or may be awarded other contracts for additional work on this **Project**. In that event, the **Contractor** shall coordinate the **Work** to be done hereunder with the work of such Other Contractors and the **Contractor** shall fully cooperate with such Other Contractors and carefully fit its own Work to that provided under other contracts as may be directed by the **Engineer**. The **Contractor** shall not commit or permit any act which will interfere with the performance of work by any Other Contractors.

12.2 If the Engineer determines that the Contractor is failing to coordinate its Work with the work of Other Contractors as the Engineer has directed, then the Commissioner shall have the right to withhold any payments otherwise due hereunder until the Contractor completely complies with the Engineer's directions.

12.3 The Contractor shall notify the Engineer in writing if any Other Contractor on this Project is failing to coordinate its work with the Work of this Contract. If the Engineer finds such charges to be true, the Engineer shall promptly issue such directions to the Other Contractor with respect thereto as the situation may require. The City shall not, however, be liable for any damages suffered by any Other Contractor's failure to coordinate its work with the Work of this Contract or by reason of the Other Contractor's failure to promptly comply with the directions so issued by the Engineer, or by reason of any Other Contractor's default in performance, it being understood that the City does not guarantee the responsibility or continued efficiency of any contractor. The Contractor agrees to make no claim against CITY OF NEW YORK 17 STANDARD CONSTRUCTION CONTRACT DDC the **City** for any damages relating to or arising out of any directions issued by the **Engineer** pursuant to this Article 12 (including but not limited to the failure of any **Other Contractor** to comply or promptly comply with such directions), or the failure of the **Engineer** to issue any directions, or the failure of any **Other Contractor** to coordinate its work, or the default in performance of any **Other Contractor**.

12.4 The **Contractor** shall indemnify and hold the **City** harmless from any and all claims or judgments for damages and from costs and expenses to which the **City** may be subjected or which it may suffer or incur by reason of the **Contractor's** failure to comply with the **Engineer's** directions promptly; and the **Comptroller** shall have the right to exercise the powers reserved in Article 23 with respect to any claims which may be made for damages due to the **Contractor's** failure to comply with the **Engineer's** directions promptly. Insofar as the facts and **Law** relating to any claim would preclude the **City** from being completely indemnified by the **Contractor**, the **City** shall be partially indemnified by the **Contractor** to the fullest extent provided by **Law**.

12.5 Should the **Contractor** sustain any damage through any act or omission of any **Other Contractor** having a contract with the **City** for the performance of work upon the **Site** or of work which may be necessary to be performed for the proper prosecution of the **Work** to be performed hereunder, or through any act or omission of a subcontractor of such **Other Contractor**, the **Contractor** shall have no claim against the **City** for such damage, but shall have a right to recover such damage from the **Other Contractor** under the provision similar to the following provisions which apply to this **Contract** and have been or will be inserted in the contracts with such **Other Contractors**:

12.5.1 Should any Other Contractor having or who shall hereafter have a contract with the City for the performance of work upon the Site sustain any damage through any act or omission of the Contractor hereunder or through any act or omission of any Subcontractor of the Contractor, the Contractor agrees to reimburse such Other Contractor for all such damages and to defend at its own expense any action based upon such claim and if any judgment or claim (even if the allegations of the action are without merit) against the City shall be allowed the Contractor shall pay or satisfy such judgment or claim and pay all costs and expenses in connection therewith and agrees to indemnify and hold the City harmless from all such claims. Insofar as the facts and Law relating to any claim would preclude the City from being completely indemnified by the Contractor, the City shall be partially indemnified by the Contractor to the fullest extent provided by Law.

12.6 The City's right to indemnification hereunder shall in no way be diminished, waived or discharged by its recourse to assessment of liquidated damages as provided in Article 15, or by the exercise of any other remedy provided for by **Contract** or by **Law**.

#### **ARTICLE 13. EXTENSION OF TIME FOR PERFORMANCE**

13.1 If performance by the **Contractor** is delayed for a reason set forth in Article 13.3, the **Contractor** may be allowed a reasonable extension of time in conformance with this Article 13 and the **PPB** Rules.

13.2 Any extension of time may be granted only by the ACCO or by the Board for the Extension of Time (hereafter "Board") (as set forth below) upon written application by the **Contractor**.

13.3 Grounds for Extension: If such application is made, the **Contractor** shall be entitled to an extension of time for delay in completion of the **Work** caused solely:

13.3.1 By the acts or omissions of the City, its officials, agents or employees; or

CITY OF NEW YORK DDC 13.3.2 By the act or omissions of Other Contractors on this Project; or

13.3.3 By supervening conditions entirely beyond the control of either party hereto (such as, but not limited to, acts of God or the public enemy, excessive inclement weather, war or other national emergency making performance temporarily impossible or illegal, or strikes or labor disputes not brought about by any act or omission of the **Contractor**).

13.3.4 The **Contractor** shall, however, be entitled to an extension of time for such causes only for the number of **Days** of delay which the **ACCO** or the Board may determine to be due solely to such causes, and then only if the **Contractor** shall have strictly complied with all of the requirements of Articles 9 and 10.

13.4 The **Contractor** shall not be entitled to receive a separate extension of time for each of several causes of delay operating concurrently, but, if at all, only for the actual period of delay in completion of the **Work** as determined by the **ACCO** or the Board, irrespective of the number of causes contributing to produce such delay. If one of several causes of delay operating concurrently results from any act, fault or omission of the **Contractor** or of its **Subcontractors** or **Materialmen**, and would of itself (irrespective of the concurrent causes) have delayed the **Work**, no extension of time will be allowed for the period of delay resulting from such act, fault or omission.

13.5 The determination made by the ACCO or the Board on an application for an extension of time shall be binding and conclusive on the **Contractor**.

13.6 The ACCO or the Board acting entirely within their discretion may grant an application for an extension of time for causes of delay other than those herein referred.

13.7 Permitting the **Contractor** to continue with the **Work** after the time fixed for its completion has expired, or after the time to which such completion may have been extended has expired, or the making of any payment to the **Contractor** after such time, shall in no way operate as a waiver on the part of the **City** of any of its rights under this **Contract**.

13.8 Application for Extension of Time:

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13.8.1 Before the **Contractor's** time extension request will be considered, the **Contractor** shall notify the **ACCO** of the condition which allegedly has caused or is causing the delay, and shall submit a written application to the **ACCO** identifying:

13.8.1(a) The Contractor; the registration number; and Project description;

13.8.1(b) Liquidated damage assessment rate, as specified in the Contract;

13.8.1(c) Original total bid price;

13.8.1(d) The original Contract start date and completion date;

13.8.1(e) Any previous time extensions granted (number and duration); and

13.8.1(f) The extension of time requested.

13.8.2 In addition, the application for extension of time shall set forth in detail:

13.8.2(a) The nature of each alleged cause of delay in completing the Work; 19 STANDARD CONSTRUCTION CONTRACT December 2013 13.8.2(b) The date upon which each such cause of delay began and ended and the number of **Days** attributable to each such cause;

13.8.2(c) A statement that the **Contractor** waives all claims except for those delineated in the application, and the particulars of any claims which the **Contractor** does not agree to waive. For time extensions for **Substantial Completion** and final completion payments, the application shall include a detailed statement of the dollar amounts of each element of claim item reserved; and

13.8.2(d) A statement indicating the **Contractor's** understanding that the time extension is granted only for purposes of permitting continuation of **Contract** performance and payment for **Work** performed and that the **City** retains its right to conduct an investigation and assess liquidated damages as appropriate in the future.

13.9 Analysis and Approval of Time Extensions:

13.9.1 For time extensions for partial payments, a written determination shall be made by the ACCO who may, for good and sufficient cause, extend the time for the performance of the **Contract** as follows:

13.9.1(a) If the Work is to be completed within six (6) months, the time for performance may be extended for sixty (60) **Days**;

13.9.1(b) If the **Work** is to be completed within less than one (1) year but more than six (6) months, an extension of ninety (90) **Days** may be granted;

13.9.1(c) If the **Contract** period exceeds one (1) year, besides the extension granted in Article 13.9.1(b), an additional thirty (30) **Days** may be granted for each multiple of six (6) months involved beyond the one (1) year period; or

13.9.1(d) If exceptional circumstances exist, the ACCO may extend the time for performance beyond the extensions in Articles 13.9.1(a), 13.9.1(b), and 13.9.1(c). In that event, the ACCO shall file with the Mayor's Office of Contract Services a written explanation of the exceptional circumstances.

13.9.2 For extensions of time for Substantial Completion and final completion payments, the Engineer, in consultation with the ACCO, shall prepare a written analysis of the delay (including a preliminary determination of the causes of delay, the beginning and end dates for each such cause of delay, and whether the delays are excusable under the terms of this Contract). The report shall be subject to review by and approval of the Board, which shall have authority to question its analysis and determinations and request additional facts or documentation. The report as reviewed and made final by the Board shall be made a part of the Agency contract file. Neither the report itself nor anything contained therein shall operate as a waiver or release of any claim the City may have against the Contractor for either actual or liquidated damages.

13.9.3 Approval Mechanism for Time Extensions for Substantial Completion or Final Completion Payments: An extension shall be granted only with the approval of the Board which is comprised of the ACCO of the Agency, the City Corporation Counsel, and the Comptroller, or their authorized representatives.

13.9.4 Neither the granting of any application for an extension of time to the **Contractor** or any **Other Contractor** on this **Project** nor the papers, records or reports related to any application for or grant of an extension of time or determination related thereto shall be referred to or offered in evidence by the **Contractor** or its attorneys in any action or proceeding.

13.10 No Damage for Delay: The **Contractor** agrees to make no claim for damages for delay in the performance of this **Contract** occasioned by any act or omission to act of the **City** or any of its representatives, except as provided for in Article 11.

## ARTICLE 14. COMPLETION AND FINAL ACCEPTANCE OF THE WORK

14.1 Date for Substantial Completion:' The Contractor shall substantially complete the Work within the time fixed in Schedule A of the General Conditions, or within the time to which such Substantial Completion may be extended.

14.2 Determining the Date of **Substantial Completion**: The **Work** will be deemed to be substantially complete when the two conditions set forth below have been met.

14.2.1 Inspection: The **Engineer** has inspected the **Work** and has made a written determination that it is substantially complete.

14.2.2 Approval of Final Approved Punch List and Date for Final Acceptance: Following inspection of the Work, the Engineer shall furnish the Contractor with a final punch list, specifying all items of Work to be completed and proposing dates for the completion of each specified item of Work. The Contractor shall then submit in writing to the Engineer within ten (10) Days of the Engineer furnishing the final punch list either acceptance of the dates or proposed alternative dates for the completion of each specified item of Work. If the Contractor proposes alternative dates, then, within a reasonable time after receipt, the Engineer, in a written notification to the Contractor, shall approve the Contractor's completion dates or, if they are unable to agree, the Engineer shall establish dates for the completion of each item of Work. If the Contractor neither accepts the dates nor proposes alternative dates within ten (10) Days, the schedule proposed by the Engineer shall be deemed accepted. The latest completion date specified shall be the date for Final Acceptance of the Work.

14.3 Date of Substantial Completion. The date of approval of the Final Approved Punch List, shall be the date of Substantial Completion. The date of approval of the Final Approved Punch List shall be either (a) if the Contractor approves the final punch list and proposed dates for completion furnished by the Engineer, the date of the Contractor's approval; or (b) if the Contractor neither accepts the dates nor proposes alternative dates, ten (10) Days after the Engineer furnishes the Contractor with a final punch list and proposed dates for completion; or (c) if the Contractor proposes alternative dates, the date that the Engineer sends written notification to the Contractor either approving the Contractor's proposed alternative dates or establishing dates for the completion for each item of Work.

14.4 Determining the Date of Final Acceptance: The Work will be accepted as final and complete as of the date of the Engineer's inspection if, upon such inspection, the Engineer finds that all items on the Final Approved Punch List are complete and no further Work remains to be done. The Commissioner will then issue a written determination of Final Acceptance.



14.5 Request for Inspection: Inspection of the Work by the Engineer for the purpose of Substantial Completion or Final Acceptance shall be made within ten (10) Days after receipt of the Contractor's written request therefor.

14.6 Request for Re-inspection: If upon inspection for the purpose of Substantial Completion or Final Acceptance, the Engineer determines that there are items of Work still to be performed, the Contractor shall promptly perform them and then request a re-inspection. If upon re-inspection, the Engineer determines that the Work is substantially complete or finally accepted, the date of such re-inspection shall be the date of Substantial Completion or Final Acceptance. Re-inspection by the Engineer shall be made within ten (10) Days after receipt of the Contractor's written request therefor.

14.7 Initiation of Inspection by the Engineer: If the Contractor does not request inspection or reinspection of the Work for the purpose of Substantial Completion or Final Acceptance, the Engineer may initiate such inspection or re-inspection.

#### ARTICLE 15. LIQUIDATED DAMAGES

15.1 In the event the **Contractor** fails to substantially complete the **Work** within the time fixed for such **Substantial Completion** in Schedule A of the General Conditions, plus authorized time extensions, or if the **Contractor**, in the sole determination of the **Commissioner**, has abandoned the **Work**, the **Contractor** shall pay to the **City** the sum fixed in Schedule A of the General Conditions, for each and every **Day** that the time consumed in substantially completing the **Work** exceeds the time allowed therefor; which said sum, in view of the difficulty of accurately ascertaining the loss which the **City** will suffer by reason of delay in the **Substantial Completion** of the **Work** hereunder, is hereby fixed and agreed as the liquidated damages that the **City** will suffer by reason of such delay, and not as a penalty. This Article 15 shall also apply to the **Contractor** whether or not the **Contractor** is defaulted pursuant to Chapter X of this **Contract**. Neither the failure to assess liquidated damages nor the granting of any time extension shall operate as a waiver or release of any claim the **City** may have against the **Contractor** for either actual or liquidated damages.

15.2 Liquidated damages received hereunder are not intended to be nor shall they be treated as either a partial or full waiver or discharge of the **City's** right to indemnification, or the **Contractor's** obligation to indemnify the **City**, or to any other remedy provided for in this **Contract** or by **Law**.

15.3 The **Commissioner** may deduct and retain out of the monies which may become due hereunder, the amount of any such liquidated damages; and in case the amount which may become due hereunder shall be less than the amount of liquidated damages suffered by the **City**, the **Contractor** shall be liable to pay the difference.

#### ARTICLE 16. OCCUPATION OR USE PRIOR TO COMPLETION

16.1 Unless otherwise provided for in the Specifications, the Commissioner may take over, use, occupy or operate any part of the Work at any time prior to Final Acceptance, upon written notification to the Contractor. The Engineer shall inspect the part of the Work to be taken over, used, occupied, or operated, and will furnish the Contractor with a written statement of the Work, if any, which remains to be performed on such part. The Contractor shall not object to, nor interfere with, the Commissioner's decision to exercise the rights granted by Article 16. In the event the Commissioner takes over, uses, occupies, or operates any part of the Work:

16.1.1 the Engineer shall issue a written determination of Substantial Completion with respect to such part of the Work;



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16.1.2 the **Contractor** shall be relieved of its absolute obligation to protect such part of the unfinished **Work** in accordance with Article 7;

16.1.3 the Contractor's guarantee on such part of the Work shall begin on the date of such use by the City; and;

16.1.4 the **Contractor** shall be entitled to a return of so much of the amount retained in accordance with Article 21 as it relates to such part of the **Work**, except so much thereof as may be retained under Articles 24 and 44.

## CHAPTER IV SUBCONTRACTS AND ASSIGNMENTS

## **ARTICLE 17. SUBCONTRACTS**

17.1 The **Contractor** shall not make subcontracts totaling an amount more than the percentage of the total **Contract** price fixed in Schedule A of the General Conditions, without prior written permission from the **Commissioner**. All subcontracts made by the **Contractor** shall be in writing. No **Work** may be performed by a **Subcontractor** prior to the **Contractor** entering into a written subcontract with the **Subcontractor** and complying with the provisions of this Article 17.

17.2 Before making any subcontracts, the **Contractor** shall submit a written statement to the **Commissioner** giving the name and address of the proposed **Subcontractor**; the portion of the **Work** and materials which it is to perform and furnish; the cost of the subcontract; the VENDEX questionnaire if required; the proposed subcontract if requested by the **Commissioner**; and any other information tending to prove that the proposed **Subcontractor** has the necessary facilities, skill, integrity, past experience, and financial resources to perform the **Work** in accordance with the terms and conditions of this **Contract**.

17.3 In addition to the requirements in Article 17.2, **Contractor** is required to list the **Subcontractor** in the web based Subcontractor Reporting System through the City's Payee Information Portal (PIP), available at <u>www.nyc.gov/pip.</u><sup>1</sup> For each **Subcontractor** listed, **Contractor** is required to provide the following information: maximum contract value, description of **Subcontractor's** Work, start and end date of the subcontract and identification of the **Subcontractor's** industry. Thereafter, **Contractor** will be required to report in the system the payments made to each **Subcontractor** within 30 days of making the payment. If any of the required information changes throughout the Term of the **Contract, Contractor** will be required to revise the information in the system.

Failure of the **Contractor** to list a **Subcontractor** and/or to report **Subcontractor** payments in a timely fashion may result in the **Commissioner** declaring the **Contractor** in default of the **Contract** and will subject **Contractor** to liquidated damages in the amount of \$100 per day for each day that the **Contractor** fails to identify a **Subcontractor** along with the required information about the **Subcontractor** and/or fails to report payments to a **Subcontractor**, beyond the time frames set forth herein or in the notice from the **City**. Article 15 shall govern the issue of liquidated damages.

<sup>&</sup>lt;sup>1</sup> In order to use the new system, a PIP account will be required. Detailed instructions on creating a PIP account and using the new system are also available at <u>www.nyc.gov/pip</u>. Additional assistance with PIP may be obtained by emailing the Financial Information Services Agency Help Desk at <u>pip@fisa.nyc.gov</u>.

17.4 If an approved **Subcontractor** elects to subcontract any portion of its subcontract, the proposed sub-subcontract shall be submitted in the same manner as directed above.

17.5 The **Commissioner** will notify the **Contractor** in writing whether the proposed **Subcontractor** is approved. If the proposed **Subcontractor** is not approved, the **Contractor** may submit another proposed **Subcontractor** unless the **Contractor** decides to do the **Work**. No **Subcontractor** shall be permitted to enter or perform any work on the **Site** unless approved.

17.6 Before entering into any subcontract hereunder, the **Contractor** shall provide the proposed **Subcontractor** with a complete copy of this document and inform the proposed **Subcontractor** fully and completely of all provisions and requirements of this **Contract** relating either directly or indirectly to the **Work** to be performed and the materials to be furnished under such subcontract, and every such **Subcontractor** shall expressly stipulate that all labor performed and materials furnished by the **Subcontractor** shall strictly comply with the requirements of this **Contract**.

17.7 Documents given to a prospective **Subcontractor** for the purpose of soliciting the **Subcontractor's** bid shall include either a copy of the bid cover or a separate information sheet setting forth the **Project** name, the **Contract** number (if available), the **Agency** (as noted in Article 2.1.6), and the **Project's** location.

17.8 The **Commissioner's** approval of a **Subcontractor** shall not relieve the **Contractor** of any of its responsibilities, duties, and liabilities hereunder. The **Contractor** shall be solely responsible to the **City** for the acts or defaults of its **Subcontractor** and of such **Subcontractor's** officers, agents, and employees, each of whom shall, for this purpose, be deemed to be the agent or employee of the **Contractor** to the extent of its subcontract.

17.9 If the **Subcontractor** fails to maintain the necessary facilities, skill, integrity, past experience, and financial resources (other than due to the **Contractor's** failure to make payments where required) to perform the **Work** in accordance with the terms and conditions of this **Contract**, the **Contractor** shall promptly notify the **Commissioner** and replace such **Subcontractor** with a newly approved **Subcontractor** in accordance with this Article 17.

17.10 The Contractor shall be responsible for ensuring that all Subcontractors performing Work at the Site maintain all insurance required by Law.

17.11 The **Contractor** shall promptly, upon request, file with the **Engineer** a conformed copy of the subcontract and its cost. The subcontract shall provide the following:

17.11.1 Payment to Subcontractors: The agreement between the Contractor and its Subcontractor shall contain the same terms and conditions as to method of payment for Work, labor, and materials, and as to retained percentages, as are contained in this Contract.

17.11.2 Prevailing Rate of Wages: The agreement between the **Contractor** and its **Subcontractor** shall include the prevailing wage rates and supplemental benefits to be paid in accordance with Labor Law Section 220.

17.11.3 Section 6-123 of the Administrative Code: Pursuant to the requirements of Section 6-123 of the Administrative Code, every agreement between the **Contractor** and a **Subcontractor** in excess of fifty thousand (\$50,000) dollars shall include a provision that the **Subcontractor** shall not engage in any unlawful discriminatory practice as defined in Title VIII of the Administrative Code (Section 8-101 *et seq.*).

17.11.4 All requirements required pursuant to federal and/or state grant agreement(s), if applicable to the Work.

17.12 The **Commissioner** may deduct from the amounts certified under this **Contract** to be due to the **Contractor**, the sum or sums due and owing from the **Contractor** to the **Subcontractors** according to the terms of the said subcontracts, and in case of dispute between the **Contractor** and its **Subcontractor**, or **Subcontractors**, as to the amount due and owing, the **Commissioner** may deduct and withhold from the amounts certified under this **Contractor**, in a sworn affidavit, to be due and owing until such time as such claim or claims shall have been finally resolved.

17.13 On contracts where performance bonds and payment bonds are executed, the **Contractor** shall include on each requisition for payment the following data: **Subcontractor's** name, value of the subcontract, total amount previously paid to **Subcontractor** for **Work** previously requisitioned, and the amount, including retainage, to be paid to the **Subcontractor** for **Work** included in the requisition.

17.14 On **Contracts** where performance bonds and payment bonds are not executed, the **Contractor** shall include with each requisition for payment submitted hereunder, a signed statement from each and every **Subcontractor** and/or **Materialman** for whom payment is requested in such requisition. Such signed statement shall be on the letterhead of the **Subcontractor** and/or **Materialman** for whom payment is requested and shall (i) verify that such **Subcontractor** and/or **Materialman** has been paid in full for all **Work** performed and/or material supplied to date, exclusive of any amount retained and any amount included on the current requisition, and (ii) state the total amount of retainage to date, exclusive of any amount retained on the current requisition.

# ARTICLE 18. ASSIGNMENTS

18.1 The **Contractor** shall not assign, transfer, convey or otherwise dispose of this **Contract**, or the right to execute it, or the right, title or interest in or to it or any part thereof, or assign, by power of attorney or otherwise any of the monies due or to become due under this **Contract**, unless the previous written consent of the **Commissioner** shall first be obtained thereto, and the giving of any such consent to a particular assignment shall not dispense with the necessity of such consent to any further or other assignments.

18.2 Such assignment, transfer, conveyance or other disposition of this **Contract** shall not be valid until filed in the office of the **Commissioner** and the **Comptroller**, with the written consent of the **Commissioner** endorsed thereon or attached thereto.

18.3 Failure to obtain the previous written consent of the **Commissioner** to such an assignment, transfer, conveyance or other disposition, may result in the revocation and annulment of this **Contract**. The **City** shall thereupon be relieved and discharged from any further liability to the **Contractor**, its assignees, transferees or sublessees, who shall forfeit and lose all monies therefor earned under the **Contract**, except so much as may be required to pay the **Contractor's** employees.

18.4 The provisions of this clause shall not hinder, prevent, or affect an assignment by the **Contractor** for the benefit of its creditors made pursuant to the **Laws** of the State of New York.

18.5 This **Contract** may be assigned by the **City** to any corporation, agency or instrumentality having authority to accept such assignment.

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## CHAPTER V CONTRACTOR'S SECURITY AND GUARANTEE

# ARTICLE 19. SECURITY DEPOSIT

19.1 If performance and payment bonds are required, the City shall retain the bid security to ensure that the successful bidder executes the Contract and furnishes the required payment and performance security within ten (10) Days after notice of the award of the Contract. If the successful bidder fails to execute the Contract and furnish the required payment and performance security, the City shall retain such bid security as set forth in the Information for Bidders. If the successful bidder executes the Contract and furnishes the required payment and performance security, the City shall retain security within a reasonable time after the furnishing of such bonds and execution of the Contract by the City.

19.2 If performance and payment bonds are not required, the bid security shall be retained by the **City** as security for the **Contractor**'s faithful performance of the **Contract**. If partial payments are provided, the bid security will be returned to the **Contractor** after the sum retained under Article 21 equals the amount of the bid security, subject to other provisions of this **Contract**. If partial payments are not provided, the bid security will be released when final payment is certified by the **City** for payment.

19.3 If the **Contractor** is declared in default under Article 48 prior to the return of the deposit, or if any claim is made such as referred to in Article 23, the amount of such deposit, or so much thereof as the **Comptroller** may deem necessary, may be retained and then applied by the **Comptroller**:

19.3.1 To compensate the **City** for any expense, loss or damage suffered or incurred by reason of or resulting from such default, including the cost of re-letting and liquidated damages; or

19.3.2 To indemnify the City against any and all claims.

# **ARTICLE 20. PAYMENT GUARANTEE**

20.1 On **Contracts** where one hundred (100%) percent performance bonds and payment bonds are executed, this Article 20 does not apply.

20.2 In the event the terms of this **Contract** do not require the **Contractor** to provide a payment bond or where the **Contract** does not requite a payment bond for one hundred (100%) percent of the **Contract** price, the **City** shall, in accordance with the terms of this Article 20, guarantee payment of all lawful claims for:

20.2.1 Wages and compensation for labor performed and/or services rendered; and

20.2.2 Materials, equipment, and supplies provided, whether incorporated into the **Work** or not, when demands have been filed with the **City** as provided hereinafter by any person, firm, or corporation which furnished labor, material, equipment, supplies, or any combination thereof, in connection with the **Work** performed hereunder (hereinafter referred to as the "beneficiary") at the direction of the **City** or the **Contractor**.

20.3 The provisions of Article 20.2 are subject to the following limitations and conditions:

20.3.1 If the **Contractor** provides a payment bond for a value that is less than one hundred (100%) percent of the value of the **Contract Work**, the payment bond provided by the **Contractor** shall be primary (and non-contributing) to the payment guarantee provided under this Article 20.

20.3.2 The guarantee is made for the benefit of all beneficiaries as defined in Article 20.2 provided that those beneficiaries strictly adhere to the terms and conditions of Article 20.3.4 and 20.3.5.

20.3.3 Nothing in this Article 20 shall prevent a beneficiary providing labor, services or material for the Work from suing the Contractor for any amounts due and owing the beneficiary by the Contractor.

20.3.4 Every person who has furnished labor or material, to the Contractor or to a Subcontractor of the Contractor, in the prosecution of the Work and who has not been paid in full therefor before the expiration of a period of ninety (90) Days after the date on which the last of the labor was performed or material was furnished by him/her for which the claim is made, shall have the right to sue on this payment guarantee in his/her own name for the amount, or the balance thereof, unpaid at the time of commencement of the action; provided, however, that a person having a direct contractual relationship with a Subcontractor of the Contractor but no contractual relationship express or implied with the **Contractor** shall not have a right of action upon the guarantee unless he/she shall have given written notice to the Contractor within one hundred twenty (120) Days from the date on which the last of the labor was performed or the last of the material was furnished, for which his/her claim is made, stating with substantial accuracy the amount claimed and the name of the party to whom the material was furnished or for whom the labor was performed. The notice shall be served by delivering the same personally to the Contractor or by mailing the same by registered mail, postage prepaid, in an envelope addressed to the Contractor at any place where it maintains an office or conducts its business; provided, however, that where such notice is actually received by the Contractor by other means, such notice shall be deemed sufficient.

20.3.5 Except as provided in Labor Law Section 220-g, no action on this payment guarantee shall be commenced after the expiration of the one-year limitations period set forth in Section 137(4)(b) of the State Finance Law.

20.3.6 The **Contractor** shall promptly forward to the **City** any notice or demand received pursuant to Article 20.3.4. The **Contractor** shall inform the **City** of any defenses to the notice or demand and shall forward to the **City** any documents the **City** requests concerning the notice or demand.

20.3.7 All demands made against the **City** by a beneficiary of this payment guarantee shall be presented to the **Engineer** along with all written documentation concerning the demand which the **Engineer** deems reasonably appropriate or necessary, which may include, but shall not be limited to: the subcontract; any invoices presented to the **Contractor** for payment; the notarized statement of the beneficiary that the demand is due and payable, that a request for payment has been made of the **Contractor** and that the demand has not been paid by the **Contractor** within the time allowed for such payment by the subcontract; and copies of any correspondence between the beneficiary and the **Contractor** concerning such demand. The **City** shall notify the **Contractor** that a demand and shall forward to the **Contractor** shall inform the **City** of any defenses to the demand and shall forward to the **City** any documents the **City** requests concerning the demand.

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20.3.8 The City shall make payment only if, after considering all defenses presented by the **Contractor**, it determines that the payment is due and owing to the beneficiary making the demand.

20.3.9 No beneficiary shall be entitled to interest from the **City**, or to any other costs, including, but not limited to, attorneys' fees, except to the extent required by State Finance Law Section 137.

20.4 Upon the receipt by the **City** of a demand pursuant to this Article 20, the **City** may withhold from any payment otherwise due and owing to the **Contractor** under this **Contract** an amount sufficient to satisfy the demand.

20.4.1 In the event the **City** determines that the demand is valid, the **City** shall notify the **Contractor** of such determination and the amount thereof and direct the **Contractor** to immediately pay such amount to the beneficiary. In the event the **Contractor**, within seven (7) **Days** of receipt of such notification from the **City**, fails to pay the beneficiary, such failure shall constitute an automatic and irrevocable assignment of payment by the **Contractor** to the beneficiary for the amount of the demand determined by the **City** to be valid. The **Contractor**, without further notification or other process, hereby gives its unconditional consent to such assignment of payment to the beneficiary and authorizes the **City**, on its behalf, to take all necessary actions to implement such assignment of payment, including without limitation the execution of any instrument or documentation necessary to effectuate such assignment.

20.4.2In the event that the amount otherwise due and owing to the **Contractor** by the **City** is insufficient to satisfy such demand, the **City** may, at its option, require payment from the **Contractor** of an amount sufficient to cover such demand and exercise any other right to require or recover payment which the **City** may have under **Law** or **Contract**.

20.4.3 In the event the **City** determines that the demand is invalid, any amount withheld pending the **City**'s review of such demand shall be paid to the **Contractor**; provided, however, no lien has been filed. In the event a claim or an action has been filed, the terms and conditions set forth in Article 23 shall apply. In the event a lien has been filed, the parties will be governed by the provisions of the Lien Law of the State of New York.

20.5 The provisions of this Article 20 shall not prevent the **City** and the **Contractor** from resolving disputes in accordance with the **PPB** Rules, where applicable.

20.6 In the event the City determines that the beneficiary is entitled to payment pursuant to this Article 20, such determination and any defenses and counterclaims raised by the Contractor shall be taken into account in evaluating the Contractor's performance.

20.7 Nothing in this Article 20 shall relieve the **Contractor** of the obligation to pay the claims of all persons with valid and lawful claims against the **Contractor** relating to the **Work**.

20.8 The Contractor shall not require any performance, payment or other bonds of any Subcontractor if this Contract does not require such bonds of the Contractor.

20.9 The payment guarantee made pursuant to this Article 20 shall be construed in a manner consistent with Section 137 of the State Finance Law and shall afford to persons furnishing labor or materials to the **Contractor** or its **Subcontractors** in the prosecution of the **Work** under this **Contract** all of the rights and remedies afforded to such persons by such section, including but not limited to, the right CITY OF NEW YORK 28 STANDARD CONSTRUCTION CONTRACT December 2013

to commence an action against the City on the payment guarantee provided by this Article 20 within the one-year limitations period set forth in Section 137(4)(b).

#### **ARTICLE 21. RETAINED PERCENTAGE**

21.1 If this **Contract** requires one hundred (100%) percent performance and payment security, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, five (5%) percent of the value of **Work** certified for payment in each partial payment voucher.

21.2 If this **Contract** does not require one hundred (100%) percent performance and payment security and if the price for which this **Contract** was awarded does not exceed one million (\$1,000,000) dollars, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, five (5%) percent of the value of **Work** certified for payment in each partial payment voucher.

21.3 If this **Contract** does not require one hundred (100%) percent performance and payment security and if the price for which this **Contract** was awarded exceeds one million (\$1,000,000) dollars, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, up to ten (10%) percent of the value of **Work** certified for payment in each partial payment voucher. The percentage to be retained is set forth in Schedule A of the General Conditions.

#### ARTICLE 22. INSURANCE

22.1 Types of Insurance: The **Contractor** shall procure and maintain the following types of insurance if, and as indicated, in Schedule A of the General Conditions (with the minimum limits and special conditions specified in Schedule A). Such insurance shall be maintained from the date the **Contractor** is required to provide Proof of Insurance pursuant to Article 22.3.1 through the date of completion of all required **Work** (including punch list work as certified in writing by the **Resident Engineer**), except for insurance required pursuant to Article 22.1.4, which may terminate upon **Substantial Completion** of the **Contract**. All insurance shall meet the requirements set forth in this Article 22. Wherever this Article requires that insurance coverage be "at least as broad" as a specified form (including all ISO forms), there is no obligation that the form itself be used, provided that the **Contractor** can demonstrate that the alternative form or endorsement contained in its policy provides coverage at least as broad as the specified form.

22.1.1Commercial General Liability Insurance: The **Contractor** shall provide Commercial General Liability Insurance covering claims for property damage and/or bodily injury, including death, which may arise from any of the operations under this **Contract**. Coverage under this insurance shall be at least as broad as that provided by the latest edition of Insurance Services Office ("ISO") Form CG 0001. Such insurance shall be "occurrence" based rather than "claims-made" and include, without limitation, the following types of coverage: premises operations; products and completed operations; contractual liability (including the tort liability of another assumed in a contract); broad form property damage; independent contractors; explosion, collapse and underground (XCU); construction means and methods; and incidental malpractice. Such insurance shall contain a "per project" aggregate limit, as specified in Schedule A, that applies separately to operations under this **Contract**.

22.1.1(a) Such Commercial General Liability Insurance shall name the **City** as an Additional Insured. Coverage for the City shall specifically include the **City's** officials and employees, be at least as broad as the latest edition of ISO Form CG 20 10 and provide completed operations coverage at least as broad as the latest edition of ISO Form CG 20 37.

22.1.1(b) Such Commercial General Liability Insurance shall name all other entities designated as additional insureds in Schedule A but only for claims arising from the **Contractor's** operations under this **Contract**, with coverage at least as broad as the latest edition of ISO Form CG 20 26.

22.1.1(c) If the Work requires a permit from the Department of Buildings pursuant to 1 RCNY Section 101-08, at <u>http://www.nyc.gov/html/dob/downloads/rules/1\_RCNY\_101-08.pdf</u>, the **Contractor** shall provide Commercial General Liability Insurance with limits of at least those required by 1 RCNY section 101-08. If the **Work** does not require such a permit, the minimum limits shall be those provided for in Schedule A.

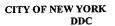
22.1.1(d) If any of the **Work** includes repair of a waterborne vessel owned by or to be delivered to the **City**, such Commercial General Liability shall include, or be endorsed to include, Ship Repairer's Legal Liability Coverage to protect against, without limitation, liability arising from navigation of such vessels prior to delivery to and acceptance by the **City**.

22.1.2 Workers' Compensation Insurance, Employers' Liability Insurance, and Disability Benefits Insurance: The **Contractor** shall provide, and shall cause its **Subcontractors** to provide, Workers Compensation Insurance, Employers' Liability Insurance, and Disability Benefits Insurance in accordance with the **Laws** of the State of New York on behalf of all employees providing services under this **Contract** (except for those employees, if any, for which the **Laws** require insurance only pursuant to Article 22.1.3).

22.1.3 United States Longshoremen's and Harbor Workers Act and/or Jones Act Insurance: If specified in Schedule A of the General Conditions or if required by Law, the Contractor shall provide insurance in accordance with the United States Longshoremen's and Harbor Workers Act and/or the Jones Act, on behalf of all qualifying employees providing services under this Contract.

22.1.4 Builders Risk Insurance: If specified in Schedule A of the General Conditions, the **Contractor** shall provide Builders Risk Insurance on a completed value form for the total value of the **Work** through **Substantial Completion** of the **Work** in its entirety. Such insurance shall be provided on an All Risk basis and include coverage, without limitation, for windstorm (including named windstorm), storm surge, flood and earth movement. Unless waived by the **Commissioner**, it shall include coverage for ordinance and law, demolition and increased costs of construction, debris removal, pollutant clean up and removal, and expediting costs. Such insurance shall cover, without limitation, (a) all buildings and/or structures involved in the **Work**, as well as temporary structures at the **Site**, and (b) any property that is intended to become a permanent part of such building or structure, whether such property is on the **Site**, in transit or in temporary storage. Policies shall name the **Contractor** as Named Insured and list the **City** as both an Additional Insured and a Loss Payee as its interest may appear.

22.1.4(a) Policies of such insurance shall specify that, in the event a loss occurs at an occupied facility, occupancy of such facility is permitted without the consent of the issuing insurance company.





22.1.4(b) Such insurance may be provided through an Installation Floater, at the **Contractor's** option, if it otherwise conforms with the requirements of this Article 22.1.4.

22.1.5 Commercial Automobile Liability Insurance: The **Contractor** shall provide Commercial Automobile Liability Insurance for liability arising out of ownership, maintenance or use of any owned (if any), non-owned and hired vehicles to be used in connection with this **Contract**. Coverage shall be at least as broad as the latest edition of ISO Form CA0001. If vehicles are used for transporting hazardous materials, the Automobile Liability Insurance shall be endorsed to provide pollution liability broadened coverage for covered vehicles (endorsement CA 99 48) as well as proof of MCS 90.

22.1.6 Contractors Pollution Liability Insurance: If specified in Schedule A of the General Conditions, the **Contractor** shall maintain, or cause the **Subcontractor** doing such **Work** to maintain, Contractors Pollution Liability Insurance covering bodily injury and property damage. Such insurance shall provide coverage for actual, alleged or threatened emission, discharge, dispersal, seepage, release or escape of pollutants (including asbestos), including any loss, cost or expense incurred as a result of any cleanup of pollutants (including asbestos) or in the investigation, settlement or defense of any claim, action, or proceedings arising from the operations under this **Contract**. Such insurance shall be in the **Contractor's** name and list the **City** as an Additional Insured and any other entity specified in Schedule A. Coverage shall include, without limitation, (a) loss of use of damaged property or of property that has not been physically injured, (b) transportation, and (c) non-owned disposal sites.

22.1.6(a) Coverage for the **City** as Additional Insured shall specifically include the **City's** officials and employees and be at least as broad as provided to the **Contractor** for this **Project**.

22.1.6(b) If such insurance is written on a claims-made policy, such policy shall have a retroactive date on or before the effective date of this **Contract**, and continuous coverage shall be maintained, or an extended discovery period exercised, for a period of not less than three (3) years from the time the **Work** under this **Contract** is completed.

22.1.7 Marine Insurance:

22.1.7(a) Marine Protection and Indemnity Insurance: If specified in Schedule A of the General Conditions or if the **Contractor** engages in marine operations in the execution of any part of the **Work**, the **Contractor** shall maintain, or cause the **Subcontractor** doing such Work to maintain, Marine Protection and Indemnity Insurance with coverage at least as broad as Form SP-23. The insurance shall provide coverage for the **Contractor** or **Subcontractor** (whichever is doing this **Work**) and for the **City** (together with its officials and employees) and any other entity specified in Schedule A as an Additional Insured for bodily injury and property damage arising from marine operations under this **Contract**. Coverage shall include, without limitation, injury or death of crew members (if not fully provided through other insurance), removal of wreck, damage to piers, wharves and other fixed or floating objects and loss of or damage to any other vessel or craft, or to property on such other vessel or craft. 22.1.7(b) Hull and Machinery Insurance: If specified in Schedule A of the General Conditions or if the **Contractor** engages in marine operations in the execution of any part of the **Work**, the **Contractor** shall maintain, or cause the **Subcontractor** doing such **Work** to maintain, Hull and Machinery Insurance with coverage for the **Contractor** or **Subcontractor** (whichever is doing this Work) and for the **City** (together with its officials and employees) as Additional Insured at least as broad as the latest edition of American Institute Tug Form for all tugs used under this **Contract** and Collision Liability at least as broad as the latest edition of American Institute Hull Clauses.

22.1.7(c) Marine Pollution Liability Insurance: If specified in Schedule A of the General Conditions or if the **Contractor** engages in marine operations in the execution of any part of the **Work**, the **Contractor** shall maintain, or cause the **Subcontractor** doing such Work to maintain, Marine Pollution Liability Insurance covering itself (or the Subcontractor doing such Work) as Named Insured and the **City** (together with its officials and employees) and any other entity specified in Schedule A as an Additional Insured. Coverage shall be at least as broad as that provided by the latest edition of Water Quality Insurance Syndicate Form and include, without limitation, liability arising from the discharge or substantial threat of a discharge of oil, or from the release or threatened release of a hazardous substance including injury to, or economic losses resulting from, the destruction of or damage to real property, personal property or natural resources.

22.1.8 The **Contractor** shall provide such other types of insurance, at such minimum limits and with such conditions, as are specified in Schedule A of the General Conditions.

22.2 General Requirements for Insurance Coverage and Policies:

22.2.1 All required insurance policies shall be maintained with companies that may lawfully issue the required policy and have an A.M. Best rating of at least A-/VII or a Standard and Poor's rating of at least A, unless prior written approval is obtained from the **City** Corporation Counsel.

22.2.2 The **Contractor** shall be solely responsible for the payment of all premiums for all required policies and all deductibles and self-insured retentions to which such policies are subject, whether or not the **City** is an insured under the policy.

22.2.3 In his/her sole discretion, the **Commissioner** may, subject to the approval of the **Comptroller** and the **City** Corporation Counsel, accept Letters of Credit and/or custodial accounts in lieu of required insurance.

22.2.4 The **City's** limits of coverage for all types of insurance required pursuant to Schedule A of the General Conditions shall be the greater of (i) the minimum limits set forth in Schedule A or (ii) the limits provided to the **Contractor** as Named Insured under all primary, excess, and umbrella policies of that type of coverage.

22.2.5 The **Contractor** may satisfy its insurance obligations under this Article 22 through primary policies or a combination of primary and excess/umbrella policies, so long as all policies provide the scope of coverage required herein.

22.2.6 Policies of insurance provided pursuant to this Article 22 shall be primary and noncontributing to any insurance or self-insurance maintained by the **City**.

# 22.3 Proof of Insurance:

22.3.1 For all types of insurance required by Article 22.1 and Schedule A, except for insurance required by Articles 22.1.4 and 22.1.7, the **Contractor** shall file proof of insurance in accordance with this Article 22.3 within ten (10) **Days** of award. For insurance provided pursuant to Articles 22.1.4 and 22.1.7, proof shall be filed by a date specified by the **Commissioner** or ten (10) **Days** prior to the commencement of the portion of the **Work** covered by such policy, whichever is earlier.

22.3.2 For Workers' Compensation Insurance provided pursuant to Article 22.1.2, the **Contractor** shall submit one of the following forms: C-105.2 Certificate of Workers' Compensation Insurance; U-26.3 - State Insurance Fund Certificate of Workers' Compensation Insurance; Request for WC/DB Exemption (Form CE-200); equivalent or successor forms used by the New York State Workers' Compensation Board; or other proof of insurance in a form acceptable to the **Commissioner**. For Disability Benefits Insurance provided pursuant to Article 22.1.2, the Contractor shall submit DB-120.1 - Certificate Of Insurance Coverage Under The NYS Disability Benefits Law, Request for WC/DB Exemption (Form CE-200); equivalent or successor forms used by the New York State Workers' Compensation Board; or other proof of insurance Coverage Under The NYS Disability Benefits Law, Request for WC/DB Exemption (Form CE-200); equivalent or successor forms used by the New York State Workers' Compensation Board; or other proof of insurance in a form acceptable to the resonance of the NYS Disability Benefits Law, Request for WC/DB Exemption (Form CE-200); equivalent or successor forms used by the New York State Workers' Compensation Board; or other proof of insurance in a form acceptable to the **Commissioner**. ACORD forms are not acceptable.

22.3.3 For policies provided pursuant to all of Article 22.1 other than Article 22.1.2, the Contractor shall submit one or more Certificates of Insurance on forms acceptable to the Commissioner. All such Certificates of Insurance shall certify (a) the issuance and effectiveness of such policies of insurance, each with the specified minimum limits (b) for insurance secured pursuant to Article 22.1.1 that the City and any other entity specified in Schedule A is an Additional Insured with coverage at least as broad as the most recent edition of ISO Forms CG 20 10, CG 20 37, and CG 20 26, as applicable; (c) in the event insurance is required pursuant to Article 22.1.6 and/or Article 22.1.7, that the City is an Additional Insured thereunder; (d) the company code issued to the insurance company by the National Association of Insurance Commissioners (the NAIC number); and (e) the number assigned to the Contract by the City. All such Certificates of Insurance shall be accompanied by either a duly executed "Certification by Broker" in the form contained in Part III of Schedule A or copies of all policies referenced in such Certificate of Insurance as certified by an authorized representative of the issuing insurance carrier. If any policy is not available at the time of submission, certified binders may be submitted until such time as the policy is available, at which time a certified copy of the policy shall be submitted.

22.3.4 Documentation confirming renewals of insurance shall be submitted to the **Commissioner** prior to the expiration date of coverage of policies required under this **Contract**. Such proofs of insurance shall comply with the requirements of Articles 22.3.2 and 22.3.3.

22.3.5 The **Contractor** shall be obligated to provide the **City** with a copy of any policy of insurance provided pursuant to this Article 22 upon the demand for such policy by the **Commissioner** or the **City** Corporation Counsel.

## 22.4 Operations of the Contractor:

22.4.1 The **Contractor** shall not commence the **Work** unless and until all required certificates have been submitted to and accepted by the **Commissioner**. Acceptance by the **Commissioner** of a certificate does not excuse the **Contractor** from securing insurance

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STANDARD CONSTRUCTION CONTRACT December 2013 consistent with all provisions of this Article 22 or of any liability arising from its failure to do so.

22.4.2 The **Contractor** shall be responsible for providing continuous insurance coverage in the manner, form, and limits required by this **Contract** and shall be authorized to perform **Work** only during the effective period of all required coverage.

22.4.3 In the event that any of the required insurance policies lapse, are revoked, suspended or otherwise terminated, for whatever cause, the **Contractor** shall immediately stop all **Work**, and shall not recommence **Work** until authorized in writing to do so by the **Commissioner**. Upon quitting the **Site**, except as otherwise directed by the **Commissioner**, the **Contractor** shall leave all plant, materials, equipment, tools, and supplies on the **Site**. **Contract** time shall continue to run during such periods and no extensions of time will be granted. The **Commissioner** may also declare the **Contractor** in default for failure to maintain required insurance.

22.4.4 In the event the **Contractor** receives notice, from an insurance company or other person, that any insurance policy required under this Article 22 shall be cancelled or terminated (or has been cancelled or terminated) for any reason, the **Contractor** shall immediately forward a copy of such notice to both the **Commissioner** and the New York City Comptroller, attn: Office of Contract Administration, Municipal Building, One Centre Street, room 1005, New York, New York 10007. Notwithstanding the foregoing, the **Contractor** shall ensure that there is no interruption in any of the insurance coverage required under this Article 22.

22.4.5 Where notice of loss, damage, occurrence, accident, claim or suit is required under an insurance policy maintained in accordance with this Article 22, the **Contractor** shall notify in writing all insurance carriers that issued potentially responsive policies of any such event relating to any operations under this **Contract** (including notice to Commercial General Liability insurance carriers for events relating to the **Contractor**'s own employees) no later than 20 days after such event. For any policy where the **City** is an Additional Insured, such notice shall expressly specify that "this notice is being given on behalf of the City of New York as Insured as well as the Named Insured." Such notice shall also contain the following information: the number of the insurance policy, the name of the named insured, the date and location of the damage, occurrence, or accident, and the identity of the persons or things injured, damaged or lost. The **Contractor** shall simultaneously send a copy of such notice to the City of New York City Law Department, 100 Church Street, New York, New York 10007.

22.4.6 In the event of any loss, accident, claim, action, or other event that does or can give rise to a claim under any insurance policy required under this Article 22, the **Contractor** shall at all times fully cooperate with the **City** with regard to such potential or actual claim.

22.5 Subcontractor Insurance: In the event the Contractor requires any Subcontractor to procure insurance with regard to any operations under this Contract and requires such Subcontractor to name the Contractor as an Additional Insured thereunder, the Contractor shall ensure that the Subcontractor name the City, including its officials and employees, as an Additional Insured with coverage at least as broad as the most recent edition of ISO Form CG 20 26.

22.6 Wherever reference is made in Article 7 or this Article 22 to documents to be sent to the **Commissioner** (e.g., notices, filings, or submissions), such documents shall be sent to the address set forth in Schedule A of the General Conditions. In the event no address is set forth in Schedule A, such documents are to be sent to the **Commissioner's** address as provided elsewhere in this **Contract**.



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22.7 Apart from damages or losses covered by insurance provided pursuant to Articles 22.1.2, 22.1.3, or 22.1.5, the **Contractor** waives all rights against the **City**, including its officials and employees, for any damages or losses that are covered under any insurance required under this Article 22 (whether or not such insurance is actually procured or claims are paid thereunder) or any other insurance applicable to the operations of the **Contractor** and/or its employees, agents, or **Subcontractors**.

22.8 In the event the **Contractor** utilizes a self-insurance program to satisfy any of the requirements of this Article 22, the **Contractor** shall ensure that any such self-insurance program provides the **City** with all rights that would be provided by traditional insurance under this Article 22, including but not limited to the defense and indemnification obligations that insurers are required to undertake in liability policies.

22.9 Materiality/Non-Waiver: The **Contractor's** failure to secure policies in complete conformity with this Article 22, or to give an insurance company timely notice of any sort required in this **Contract** or to do anything else required by this Article 22 shall constitute a material breach of this **Contract**. Such breach shall not be waived or otherwise excused by any action or inaction by the **City** at any time.

22.10 Pursuant to General Municipal Law Section 108, this **Contract** shall be void and of no effect unless **Contractor** maintains Workers' Compensation Insurance for the term of this **Contract** to the extent required and in compliance with the New York State Workers' Compensation Law.

22.11 Other Remedies: Insurance coverage provided pursuant to this Article 22 or otherwise shall not relieve the **Contractor** of any liability under this **Contract**, nor shall it preclude the **City** from exercising any rights or taking such other actions available to it under any other provisions of this **Contract** or **Law**.

#### ARTICLE 23. MONEY RETAINED AGAINST CLAIMS

23.1 If any claim shall be made by any person or entity (including **Other Contractors** with the **City** on this **Project**) against the **City** or against the **Contractor** and the **City** for any of the following:

(a) An alleged loss, damage, injury, theft or vandalism of any of the kinds referred to in Articles 7 and 12, plus the reasonable costs of defending the **City**, which in the opinion of the **Comptroller** may not be paid by an insurance company (for any reason whatsoever); or

(b) An infringement of copyrights, patents or use of patented articles, tools, etc., as referred to in Article 57; or

(c) Damage claimed to have been caused directly or indirectly by the failure of the **Contractor** to perform the **Work** in strict accordance with this **Contract**,

the amount of such claim, or so much thereof as the **Comptroller** may deem necessary, may be withheld by the **Comptroller**, as security against such claim, from any money due hereunder. The **Comptroller**, in his/her discretion, may permit the **Contractor** to substitute other satisfactory security in lieu of the monies so withheld.

23.2 If an action on such claim is timely commenced and the liability of the City, or the Contractor, or both, shall have been established therein by a final judgment of a court of competent jurisdiction, or if such claim shall have been admitted by the Contractor to be valid, the Comptroller

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shall pay such judgment or admitted claim out of the monies retained by the **Comptroller** under the provisions of this Article 23, and return the balance, if any, without interest, to the **Contractor**.

#### ARTICLE 24. MAINTENANCE AND GUARANTY

24.1 The **Contractor** shall promptly repair, replace, restore or rebuild, as the **Commissioner** may determine, any finished **Work** in which defects of materials or workmanship may appear or to which damage may occur because of such defects, during the one (1) year period subsequent to the date of **Substantial Completion** (or use and occupancy in accordance with Article 16), except where other periods of maintenance and guaranty are provided for in Schedule A.

24.2 As security for the faithful performance of its obligations hereunder, the **Contractor**, upon filing its requisition for payment on **Substantial Completion**, shall deposit with the **Commissioner** a sum equal to one (1%) percent of the price (or the amount fixed in Schedule A of the General Conditions) in cash or certified check upon a state or national bank and trust company or a check of such bank and trust company signed by a duly authorized officer thereof and drawn to the order of the **Comptroller**, or obligations of the **City**, which the **Comptroller** may approve as of equal value with the sum so required.

24.3 In lieu of the above, the **Contractor** may make such security payment to the **City** by authorizing the **Commissioner** in writing to deduct the amount from the **Substantial Completion** payment which shall be deemed the deposit required above.

24.4 If the Contractor has faithfully performed all of its obligations hereunder the Commissioner shall so certify to the Comptroller within five (5) Days after the expiration of one (1) year from the date of Substantial Completion and acceptance of the Work or within thirty (30) Days after the expiration of the guarantee period fixed in the Specifications. The security payment shall be repaid to the Contractor without interest within thirty (30) Days after certification by the Commissioner to the Comptroller that the Contractor has faithfully performed all of its obligations hereunder.

24.5 Notice by the **Commissioner** to the **Contractor** to repair, replace, rebuild or restore such defective or damaged **Work** shall be timely, pursuant to this article, if given not later than ten (10) **Days** subsequent to the expiration of the one (1) year period or other periods provided for herein.

24.6 If the **Contractor** shall fail to repair, replace, rebuild or restore such defective or damaged **Work** promptly after receiving such notice, the **Commissioner** shall have the right to have the **Work** done by others in the same manner as provided for in the completion of a defaulted **Contract**, under Article 51.

24.7 If the security payment so deposited is insufficient to cover the cost of such Work, the Contractor shall be liable to pay such deficiency on demand by the Commissioner.

24.8 The Engineer's certificate setting forth the fair and reasonable cost of repairing, replacing, rebuilding or restoring any damaged or defective Work when performed by one other than the Contractor, shall be binding and conclusive upon the Contractor as to the amount thereof.

24.9 The **Contractor** shall obtain all manufacturers' warranties and guaranties of all equipment and materials required by this **Contract** in the name of the **City** and shall deliver same to the **Commissioner**. All of the **City's** rights and title and interest in and to said manufacturers' warranties and guaranties may be assigned by the **City** to any subsequent purchasers of such equipment and materials or lessees of the premises into which the equipment and materials have been installed.

# CHAPTER VI CHANGES, EXTRA WORK, AND DOCUMENTATION OF CLAIM

#### **ARTICLE 25. CHANGES**

25.1 Changes may be made to this **Contract** only as duly authorized in writing by the **Commissioner** in accordance with the **Law** and this **Contract**. All such changes, modifications, and amendments will become a part of the **Contract**. Work so ordered shall be performed by the **Contractor**.

25.2 Contract changes will be made only for Work necessary to complete the Work included in the original scope of the Contract and/or for non-material changes to the scope of the Contract. Changes are not permitted for any material alteration in the scope of Work in the Contract.

25.3 The **Contractor** shall be entitled to a price adjustment for **Extra Work** performed pursuant to a written change order. Adjustments to price shall be computed in one or more of the following ways:

25.3.1 By applicable unit prices specified in the Contract; and/or

25.3.2 By agreement of a fixed price; and/or

25.3.3 By time and material records; and/or

25.3.4 In any other manner approved by the CCPO.

25.4 All payments for change orders are subject to pre-audit by the Engineering Audit Officer and may be post-audited by the Comptroller and/or the Agency.

# ARTICLE 26. METHODS OF PAYMENT FOR OVERRUNS AND EXTRA WORK

26.1 Overrun of Unit Price Item: An overrun is any quantity of a unit price item which the **Contractor** is directed to provide which is in excess of one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule.

26.1.1For any unit price item, the **Contractor** will be paid at the unit price bid for any quantity up to one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule. If during the progress of the **Work**, the actual quantity of any unit price item required to complete the **Work** approaches the estimated quantity for that item, and for any reason it appears that the actual quantity of any unit price item necessary to complete the **Work** will exceed the estimated quantity for that item by twenty-five (25%) percent, the **Contractor** shall immediately notify the **Engineer** of such anticipated overrun. The **Contractor** shall not be compensated for any quantity of a unit price item provided which is in excess of one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule without written authorization from the **Engineer**.

26.1.2If the actual quantity of any unit price item necessary to complete the Work will exceed one hundred twenty five (125%) percent of the estimated quantity for that item set forth in the bid schedule, the City reserves the right and the Contractor agrees to negotiate a new unit price for such item. In no event shall such negotiated new unit price exceed the unit bid price. If the City and Contractor cannot agree on a new unit price, then the City shall order the Contractor and the Contractor agrees to provide additional quantities of the

item on the basis of time and material records for the actual and reasonable cost as determined under Article 26.2, but in no event at a unit price exceeding the unit price bid.

26.2 Extra Work: For Extra Work where payment is by agreement on a fixed price in accordance with Article 25.3.2, the price to be paid for such Extra Work shall be based on the fair and reasonable estimated cost of the items set forth below. For Extra Work where payment is based on time and material records in accordance with Article 25.3.3, the price to be paid for such Extra Work shall be the actual and reasonable cost of the items set forth below, calculated in accordance with the formula specified therein, if any.

26.2.1 Necessary materials (including transportation to the Site); plus

26.2.2 Necessary direct labor, including payroll taxes (subject to statutory wage caps) and supplemental benefits; plus

26.2.3 Sales and personal property taxes, if any, required to be paid on materials not incorporated into such Extra Work; plus

26.2.4 Reasonable rental value of Contractor-owned (or Subcontractor-owned, as applicable), necessary plant and equipment other than Small Tools, plus fuel/energy costs. Except for fuel costs for pick-up trucks which shall be reimbursed based on a consumption of five (5) gallons per shift, fuel costs shall be reimbursed based on actual costs or, in the absence of auditable documentation, the following fuel consumption formula per operating hour: (.035) x (HP rating) x (Fuel cost/gallon). Reasonable rental value is defined as the lower of either seventy-five percent of the monthly prorated rental rates established in "The AED Green Book, Rental Rates and Specifications for Construction Equipment" published by Equipment Watch (the "Green Book"), or seventy-five percent of the monthly prorated rental rates established in the "Rental Rate Blue Book for Construction Equipment" published by Equipment Watch (the "Blue Book") (the applicable Blue Book rate being for rental only without the addition of any operational costs listed in the Blue Book). The reasonable rental value is deemed to be inclusive of all operating costs except for fuel/energy consumption and equipment operator's wages/costs. For multiple shift utilization, reimbursement shall be calculated as follows: first shift shall be seventy-five (75%) percent of such rental rates; second shift shall be sixty (60%) percent of the first shift rate; and third shift shall be forty (40%) percent of the first shift rate. Equipment on standby shall be reimbursed at one-third (1/3) the prorated monthly rental rate. Contractor-owned (or Subcontractor-owned, as applicable) equipment includes equipment from rental companies affiliated with or controlled by the Contractor (or Subcontractor, as applicable), as determined by the Commissioner. In establishing cost reimbursement for non-operating Contractor-owned (or Subcontractor-owned, as applicable) equipment (scaffolding, sheeting systems, road plates, etc.), the City may restrict reimbursement to a purchase-salvage/life cycle basis if less than the computed rental costs; plus

- 26.2.5 Necessary installation and dismantling of such plant and equipment, including transportation to and from the Site, if any, provided that, in the case of non-**Contractor**-owned (or non-**Subcontractor**-owned, as applicable) equipment rented from a third party, the cost of installation and dismantling are not allowable if such costs are included in the rental rate; plus
- 26.2.6 Necessary fees charged by governmental entities; plus



26.2.7 Necessary construction-related service fees charged by non-governmental entities, such as landfill tipping fees; plus

26.2.8 Reasonable rental costs of non-Contractor-owned (or non-Subcontractor-owned, as applicable) necessary plant and equipment other than Small Tools, plus fuel/energy costs. Except for fuel costs for pick-up trucks which shall be reimbursed based on a consumption of five (5) gallons per shift, fuel costs shall be reimbursed based on actual costs or, in the absence of auditable documentation, the following fuel consumption formula per hour of operation: (.035) x (HP rating) x (Fuel cost/gallon). In lieu of renting, the City reserves the right to direct the purchase of non-operating equipment (scaffolding, sheeting systems, road plates, etc.), with payment on a purchase-salvage/life cycle basis, if less than the projected rental costs; plus

26.2.9 Workers' Compensation Insurance, and any insurance coverage expressly required by the **City** for the performance of the **Extra Work** which is different than the types of insurance required by Article 22 and Schedule A of the General Conditions. The cost of Workers' Compensation Insurance is subject to applicable payroll limitation caps and shall be based upon the carrier's Manual Rate for such insurance derived from the applicable class Loss Cost ("LC") and carrier's Lost Cost Multiplier ("LCM") approved by the New York State Department of Financial Services, and with the exception of experience rating, rate modifiers as promulgated by the New York Compensation Insurance Rating Board ("NYCIRB"); plus

26.2.10 Additional costs incurred as a result of the Extra Work for performance and payment bonds; plus

26.2.11 Twelve percent (12%) percent of the total of items in Articles 26.2.1 through 26.2.5 as compensation for overhead, except that no percentage for overhead will be allowed on **Payroll Taxes** or on the premium portion of overtime pay or on sales and personal property taxes. Overhead shall include without limitation, all costs and expenses in connection with administration, management superintendence, small tools, and insurance required by Schedule A of the General Conditions other than Workers' Compensation Insurance; plus

26.2.12 Ten (10%) percent of the total of items in Articles 26.2.1 through 26.2.5, plus the items in Article 26.2.11, as compensation for profit, except that no percentage for profit will be allowed on **Payroll Taxes** or on the premium portion of overtime pay or on sales and personal property taxes; plus

26.2.13 Five (5%) percent of the total of items in Articles 26.2.6 through 26.2.10 as compensation for overhead and profit.

26.3 Where the Extra Work is performed in whole or in part by other than the Contractor's own forces pursuant to Article 26.2, the Contractor shall be paid, subject to pre-audit by the Engineering Audit Officer, the cost of such Work computed in accordance with Article 26.2 above, plus an additional allowance of five (5%) percent to cover the Contractor's overhead and profit.

26.4 Where a change is ordered, involving both Extra Work and omitted or reduced Contract Work, the Contract price shall be adjusted, subject to pre-audit by the EAO, in an amount based on the difference between the cost of such Extra Work and of the omitted or reduced Work.

26.5 Where the **Contractor** and the **Commissioner** can agree upon a fixed price for **Extra Work** in accordance with Article 25.3.2 or another method of payment for **Extra Work** in accordance with Article CITY OF NEW YORK 39 STANDARD CONSTRUCTION CONTRACT

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25.3.4, or for Extra Work ordered in connection with omitted Work, such method, subject to pre-audit by the EAO, may, at the option of the Commissioner, be substituted for the cost plus a percentage method provided in Article 26.2; provided, however, that if the Extra Work is performed by a Subcontractor, the Contractor shall not be entitled to receive more than an additional allowance of five (5%) percent for overhead and profit over the cost of such Subcontractor's Work as computed in accordance with Article 26.2.

#### **ARTICLE 27. RESOLUTION OF DISPUTES**

27.1 All disputes between the **City** and the **Contractor** of the kind delineated in this Article 27.1 that arise under, or by virtue of, this **Contract** shall be finally resolved in accordance with the provisions of this Article 27 and the **PPB** Rules. This procedure for resolving all disputes of the kind delineated herein shall be the exclusive means of resolving any such disputes.

27.1.1 This Article 27 shall not apply to disputes concerning matters dealt with in other sections of the **PPB** Rules, or to disputes involving patents, copyrights, trademarks, or trade secrets (as interpreted by the courts of New York State) relating to proprietary rights in computer software.

27.1.2 This Article 27 shall apply only to disputes about the scope of Work delineated by the Contract, the interpretation of Contract documents, the amount to be paid for Extra Work or disputed work performed in connection with the Contract, the conformity of the Contractor's Work to the Contract, and the acceptability and quality of the Contractor's Work; such disputes arise when the Engineer, Resident Engineer, Engineering Audit Officer, or other designee of the Commissioner makes a determination with which the Contractor disagrees.

27.2 All determinations required by this Article 27 shall be made in writing clearly stated, with a reasoned explanation for the determination based on the information and evidence presented to the party making the determination. Failure to make such determination within the time required by this Article 27 shall be deemed a non-determination without prejudice that will allow application to the next level.

27.3 During such time as any dispute is being presented, heard, and considered pursuant to this Article 27, the **Contract** terms shall remain in force and the **Contractor** shall continue to perform **Work** as directed by the **ACCO** or the **Engineer**. Failure of the **Contractor** to continue **Work** as directed shall constitute a waiver by the **Contractor** of its claim.

27.4 Presentation of Disputes to Commissioner.

Notice of Dispute and Agency Response. The **Contractor** shall present its dispute in writing ("Notice of Dispute") to the **Commissioner** within thirty (30) Days of receiving written notice of the determination or action that is the subject of the dispute. This notice requirement shall not be read to replace any other notice requirements contained in the **Contract**. The Notice of Dispute shall include all the facts, evidence, documents, or other basis upon which the **Contractor** relies in support of its position, as well as a detailed computation demonstrating how any amount of money claimed by the **Contractor** in the dispute was arrived at. Within thirty (30) Days after receipt of the detailed written submission comprising the complete Notice of Dispute, the **Engineer**, **Resident Engineer**, **Engineering Audit Officer**, or other designee of the **Commissioner** shall submit to the **Commissioner** all materials he or she deems pertinent to the dispute. Following initial submissions to the **Commissioner**, either party may demand of the other the production of any document or other material the demanding party believes may be relevant to the dispute. The requested party shall produce all relevant materials that are not otherwise



protected by a legal privilege recognized by the courts of New York State. Any question of relevancy shall be determined by the **Commissioner** whose decision shall be final. Willful failure of the **Contractor** to produce any requested material whose relevancy the **Contractor** has not disputed, or whose relevancy has been affirmatively determined, shall constitute a waiver by the **Contractor** of its claim.

27.4.1 **Commissioner** Inquiry. The **Commissioner** shall examine the material and may, in his or her discretion, convene an informal conference with the **Contractor**, the **ACCO**, and the **Engineer**, **Resident Engineer**, **Engineering Audit Officer**, or other designee of the **Commissioner** to resolve the issue by mutual consent prior to reaching a determination. The **Commissioner** may seek such technical or other expertise as he or she shall deem appropriate, including the use of neutral mediators, and require any such additional material from either or both parties as he or she deems fit. The **Commissioner**'s ability to render, and the effect of, a decision hereunder shall not be impaired by any negotiations in connection with the dispute presented, whether or not the **Commissioner** participated therein. The **Commissioner** may or, at the request of any party to the dispute, shall compel the participation of any **Other Contractor** with a contract related to the **Work** of this **Contractor** thus brought into the dispute resolution proceeding shall have the same rights and obligations under this Article 27 as the **Contractor** initiating the dispute.

27.4.2 **Commissioner** Determination. Within thirty (30) **Days** after the receipt of all materials and information, or such longer time as may be agreed to by the parties, the **Commissioner** shall make his or her determination and shall deliver or send a copy of such determination to the **Contractor**, the **ACCO**, and **Engineer**, **Resident Engineer**, **Engineering Audit Officer**, or other designee of the **Commissioner**, as applicable, together with a statement concerning how the decision may be appealed.

27.4.3 Finality of **Commissioner's** Decision. The **Commissioner's** decision shall be final and binding on all parties, unless presented to the Contract Dispute Resolution Board pursuant to this Article 27. The **City** may not take a petition to the Contract Dispute Resolution Board. However, should the **Contractor** take such a petition, the **City** may seek, and the Contract Dispute Resolution Board may render, a determination less favorable to the **Contractor** and more favorable to the **City** than the decision of the **Commissioner**.

27.5 Presentation of Dispute to the **Comptroller**. Before any dispute may be brought by the **Contractor** to the Contract Dispute Resolution Board, the **Contractor** must first present its claim to the **Comptroller** for his or her review, investigation, and possible adjustment.

27.5.1 Time, Form, and Content of Notice. Within thirty (30) **Days** of its receipt of a decision by the **Commissioner**, the **Contractor** shall submit to the **Comptroller** and to the **Commissioner** a Notice of Claim regarding its dispute with the **Agency**. The Notice of Claim shall consist of (i) a brief written statement of the substance of the dispute, the amount of money, if any, claimed and the reason(s) the **Contractor** contends the dispute was wrongly decided by the **Commissioner**; (ii) a copy of the written decision of the **Commissioner**; and (iii) a copy of all materials submitted by the **Contractor** to the **Agency**, including the Notice of Dispute. The **Contractor** may not present to the **Comptroller** any material not presented to the **Commissioner**, except at the request of the **Comptroller**.

27.5.2 Response. Within thirty (30) **Days** of receipt of the Notice of Claim, the **Agency** shall make available to the **Comptroller** a copy of all material submitted by the **Agency** to the **Commissioner** in connection with the dispute. The **Agency** may not present to the

CITY OF NEW YORK DDC Comptroller any material not presented to the Commissioner except at the request of the Comptroller.

27.5.3 Comptroller Investigation. The Comptroller may investigate the claim in dispute and, in the course of such investigation, may exercise all powers provided in Sections 7-201 and 7-203 of the Administrative Code. In addition, the Comptroller may demand of either party, and such party shall provide, whatever additional material the Comptroller deems pertinent to the claim, including original business records of the Contractor. Willful failure of the Contractor to produce within fifteen (15) Days any material requested by the Comptroller shall constitute a waiver by the Contractor of its claim. The Comptroller may also schedule an informal conference to be attended by the Contractor, Agency representatives, and any other personnel desired by the Comptroller.

27.5.4 Opportunity of **Comptroller** to Compromise or Adjust Claim. The **Comptroller** shall have forty-five (45) **Days** from his or her receipt of all materials referred to in Article 27.5.3 to investigate the disputed claim. The period for investigation and compromise may be further extended by agreement between the **Contractor** and the **Comptroller**, to a maximum of ninety (90) **Days** from the **Comptroller's** receipt of all materials. The **Contractor** may not present its petition to the Contract Dispute Resolution Board until the period for investigation and compromise delineated in this Article 27.5.4 has expired. In compromising or adjusting any claim hereunder, the **Comptroller** may not revise or disregard the terms of the **Contract** between the parties.

27.6 Contract Dispute Resolution Board. There shall be a Contract Dispute Resolution Board composed of:

27.6.1 The chief administrative law judge of the Office of Administrative Trials and Hearings (OATH) or his/her designated OATH administrative law judge, who shall act as chairperson, and may adopt operational procedures and issue such orders consistent with this Article 27 as may be necessary in the execution of the Contract Dispute Resolution Board's functions, including, but not limited to, granting extensions of time to present or respond to submissions;

27.6.2 The **CCPO** or his/her designee; any designee shall have the requisite background to consider and resolve the merits of the dispute and shall not have participated personally and substantially in the particular matter that is the subject of the dispute or report to anyone who so participated; and

27.6.3 A person with appropriate expertise who is not an employee of the **City**. This person shall be selected by the presiding administrative law judge from a prequalified panel of individuals, established and administered by OATH with appropriate background to act as decision-makers in a dispute. Such individual may not have a contract or dispute with the **City** or be an officer or employee of any company or organization that does, or regularly represents persons, companies, or organizations having disputes with the **City**.

27.7 Petition to the Contract Dispute Resolution Board. In the event the claim has not been settled or adjusted by the **Comptroller** within the period provided in this Article 27, the **Contractor**, within thirty (30) **Days** thereafter, may petition the Contract Dispute Resolution Board to review the **Commissioner's** determination.

27.7.1 Form and Content of Petition by Contractor. The Contractor shall present its dispute to the Contract Dispute Resolution Board in the form of a petition, which shall CITY OF NEW YORK 42 STANDARD CONSTRUCTION CONTRACT December 2013

include (i) a brief written statement of the substance of the dispute, the amount of money, if any, claimed, and the reason(s) the **Contractor** contends the dispute was wrongly decided by the **Commissioner**; (ii) a copy of the written Decision of the **Commissioner**, (iii) copies of all materials submitted by the **Contractor** to the Agency; (iv) a copy of the written decision of the **Comptroller**, if any, and (v) copies of all correspondence with, or written material submitted by the **Contractor**, to the **Comptroller**. The **Contractor** shall concurrently submit four (4) complete sets of the Petition: one set to the **City** Corporation Counsel (Attn: Commercial and Real Estate Litigation Division) and three (3) sets to the Contract Dispute Resolution Board at OATH's offices with proof of service on the **City** Corporation Counsel. In addition, the **Contractor** shall submit a copy of the written statement of the substance of the dispute, cited in (i) above, to both the **Commissioner** and the **Comptroller**.

27.7.2 Agency Response. Within thirty (30) Days of its receipt of the Petition by the City Corporation Counsel, the Agency shall respond to the brief written statement of the Contractor and make available to the Contract Dispute Resolution Board all material it submitted to the Commissioner and Comptroller. Three (3) complete copies of the Agency response shall be provided to the Contract Dispute Resolution Board and one to the Contractor. Extensions of time for submittal of the Agency response shall be given as necessary upon a showing of good cause or, upon consent of the parties, for an initial period of up to thirty (30) Days.

27.7.3 Further Proceedings. The Contract Dispute Resolution Board shall permit the **Contractor** to present its case by submission of memoranda, briefs, and oral argument. The Contract Dispute Resolution Board shall also permit the **Agency** to present its case in response to the **Contractor** by submission of memoranda, briefs, and oral argument. If requested by the **City** Corporation Counsel, the **Comptroller** shall provide reasonable assistance in the preparation of the **Agency's** case. Neither the **Contractor** nor the **Agency** may support its case with any documentation or other material that was not considered by the **Comptroller**, unless requested by the Contract Dispute Resolution Board, in its discretion, may seek such technical or other expert advice as it shall deem appropriate and may seek, on its own or upon application of a party, any such additional material from any party as it deems fit. The Contract Dispute Resolution Board, in its discretion, may combine more than one dispute between the parties for concurrent resolution.

27.7.4 Contract Dispute Resolution Board Determination. Within forty-five (45) **Days** of the conclusion of all written submissions and oral arguments, the Contract Dispute Resolution Board shall render a written decision resolving the dispute. In an unusually complex case, the Contract Dispute Resolution Board may render its decision in a longer period, not to exceed ninety (90) **Days**, and shall so advise the parties at the commencement of this period. The Contract Dispute Resolution Board's decision must be consistent with the terms of the **Contract**. Decisions of the Contract Dispute Resolution Board and shall only resolve matters before the Contract Dispute Resolution Board and shall not have precedential effect with respect to matters not before the Contract Dispute Resolution Board.

27.7.5 Notification of Contract Dispute Resolution Board Decision. The Contract Dispute Resolution Board shall send a copy of its decision to the **Contractor**, the **ACCO**, the Engineer, the **Comptroller**, the **City** Corporation Counsel, the CCPO, and the **PPB**. A decision in favor of the **Contractor** shall be subject to the prompt payment provisions of the **PPB** Rules. The Required Payment Date shall be thirty (30) Days after the date the parties are formally notified of the Contract Dispute Resolution Board's decision.

27.7.6 Finality of Contract Dispute Resolution Board Decision. The Contract Dispute Resolution

Board's decision shall be final and binding on all parties. Any party may seek review of the Contract Dispute Resolution Board's decision solely in the form of a challenge, filed within four (4) months of the date of the Contract Dispute Resolution Board's decision, in a court of competent jurisdiction of the State of New York, County of New York pursuant to Article 78 of the Civil Practice Law and Rules. Such review by the court shall be limited to the question of whether or not the Contract Dispute Resolution Board's decision was made in violation of lawful procedure, was affected by an error of Law, or was arbitrary and capricious or an abuse of discretion. No evidence or information shall be introduced or relied upon in such proceeding that was not presented to the Contract Dispute Resolution Board in accordance with this Article 27.

27.8 Any termination, cancellation, or alleged breach of the **Contract** prior to or during the pendency of any proceedings pursuant to this Article 27 shall not affect or impair the ability of the **Commissioner** or Contract Dispute Resolution Board to make a binding and final decision pursuant to this Article 27.

# ARTICLE 28. RECORD KEEPING FOR EXTRA OR DISPUTED WORK OR WORK ON A <u>TIME & MATERIALS BASIS</u>

28.1 While the Contractor or any of its Subcontractors is performing Work on a time and material basis or Extra Work on a time and material basis ordered by the Commissioner under Article 25, or where the Contractor believes that it or any of its Subcontractors is performing Extra Work but a final determination by Agency has not been made, or the Contractor or any of its Subcontractors is performing disputed Work (whether on or off the Site), or complying with a determination or order under protest in accordance with Articles 11, 27, and 30, in each such case the Contractor shall furnish the Resident Engineer daily with three (3) copies of written statements signed by the Contractor's representative at the Site showing:

28.1.1 The name, trade, and number of each worker employed on such **Work** or engaged in complying with such determination or order, the number of hours employed, and the character of the **Work** each is doing; and

28.1.2 The nature and quantity of any materials, plant and equipment furnished or used in connection with the performance of such **Work** or compliance with such determination or order, and from whom purchased or rented.

28.2 A copy of such statement will be countersigned by the **Resident Engineer**, noting thereon any items not agreed to or questioned, and will be returned to the **Contractor** within two (2) **Days** after submission.

28.3 The Contractor and its Subcontractors, when required by the Commissioner, or the Comptroller, shall also produce for inspection, at the office of the Contractor or Subcontractor, any and all of its books, bid documents, financial statements, vouchers, records, daily job diaries and reports, and cancelled checks, and any other documents relating to showing the nature and quantity of the labor, materials, plant and equipment actually used in the performance of such Work, or in complying with such determination or order, and the amounts expended therefor, and shall permit the Commissioner and the Comptroller to make such extracts thereform, or copies thereof, as they or either of them may desire.

28.4 In connection with the examination provided for herein, the **Commissioner**, upon demand therefor, will produce for inspection by the **Contractor** such records as the **Agency** may have with CITY OF NEW YORK 44 STANDARD CONSTRUCTION CONTRACT December 2013

respect to such Extra Work or disputed Work performed under protest pursuant to order of the Commissioner, except those records and reports which may have been prepared for the purpose of determining the accuracy and validity of the Contractor's claim.

28.5 Failure to comply strictly with these requirements shall constitute a waiver of any claim for extra compensation or damages on account of the performance of such **Work** or compliance with such determination or order.

## ARTICLE 29. OMITTED WORK

29.1 If any **Contract Work** in a lump sum **Contract**, or if any part of a lump sum item in a unit price, lump sum, or percentage-bid **Contract** is omitted by the **Commissioner** pursuant to Article 33, the **Contract** price, subject to audit by the EAO, shall be reduced by a pro rata portion of the lump sum bid amount based upon the percent of **Work** omitted subject to Article 29.4. For the purpose of determining the pro rata portion of the lump sum bid amount, the bid breakdown submitted in accordance with Article 41 shall be considered, but shall not be the determining factor.

29.2 If the whole of a lump sum item or units of any other item is so omitted by the **Commissioner** in a unit price, lump sum, or percentage-bid **Contract**, then no payment will be made therefor except as provided in Article 29.4.

29.3 For units that have been ordered but are only partially completed, the unit price shall be reduced by a pro rata portion of the unit price bid based upon the percentage of **Work** omitted subject to Article 29.4.

29.4 In the event the **Contractor**, with respect to any omitted **Work**, has purchased any noncancelable material and/or equipment that is not capable of use except in the performance of this **Contract** and has been specifically fabricated for the sole purpose of this **Contract**, but not yet incorporated into the **Work**, the **Contractor** shall be paid for such material and/or equipment in accordance with Article 64.2.1(b); provided, however, such payment is contingent upon the **Contractor's** delivery of such material and/or equipment in acceptable condition to a location designated by the **City**.

29.5 The Contractor agrees to make no claim for damages or for loss of overhead and profit with regard to any omitted Work.

# ARTICLE 30. NOTICE AND DOCUMENTATION OF COSTS AND DAMAGES; PRODUCTION OF FINANCIAL RECORDS

30.1 If the **Contractor** shall claim to be sustaining damages by reason of any act or omission of the **City** or its agents, it shall submit to the **Commissioner** within forty-five (45) **Days** from the time such damages are first incurred, and every thirty (30) **Days** thereafter for as long as such damages are incurred, verified statements of the details and the amounts of such damages, together with documentary evidence of such damages. The **Contractor** may submit any of the above statements within such additional time as may be granted by the **Commissioner** in writing upon written request therefor. Failure of the **Commissioner** to respond in writing to a written request for additional time within thirty (30) **Days** shall be deemed a denial of the request. On failure of the **Contractor** to strictly comply with the foregoing provisions, such claims shall be deemed waived and no right to recover on such claims shall exist. Damages that the **Contractor** may claim in any action or dispute resolution procedure arising under or by reason of this **Contract** shall not be different from or in excess of the statements and documentation made pursuant to this Article 30.

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STANDARD CONSTRUCTION CONTRACT December 2013 30.2 In addition to the foregoing statements, the **Contractor** shall, upon notice from the **Commissioner**, produce for examination at the **Contractor's** office, by the **Engineer**, **Architect** or **Project Manager**, all of its books of account, bills, invoices, payrolls, subcontracts, time books, daily reports, bank deposit books, bank statements, check books, and cancelled checks, showing all of its acts and transactions in connection with or relating to or arising by reason of this **Contract**, and submit itself and persons in its employment, for examination under oath by any person designated by the **Commissioner** or **Comptroller** to investigate claims made or disputes against the **City** under this **Contract**. At such examination, a duly authorized representative of the **Contractor** may be present.

30.3 In addition to the statements required under Article 28 and this Article 30, the Contractor and/or its Subcontractor shall, within thirty (30) Days upon notice from the Commissioner or Comptroller, produce for examination at the Contractor's and/or Subcontractor's office, by a representative of either the Commissioner or Comptroller, all of its books of account, bid documents, financial statements, accountant workpapers, bills, invoices, payrolls, subcontracts, time books, daily reports, bank deposit books, bank statements, check books, and cancelled checks, showing all of its acts and transactions in connection with or relating to or arising by reason of this Contract. Further, the Contractor and/or its Subcontractor shall submit any person in its employment, for examination under oath by any person designated by the Commissioner or Comptroller to investigate claims made or disputes against the City under this Contract. At such examination, a duly authorized representative of the Contractor may be present.

30.4 Unless the information and examination required under Article 30.3 is provided by the **Contractor** and/or its **Subcontractor** upon thirty (30) **Days'** notice from the **Commissioner** or **Comptroller**, or upon the **Commissioner's** or **Comptroller's** written authorization to extend the time to comply, the **City** shall be released from all claims arising under, relating to or by reason of this **Contract**, except for sums certified by the **Commissioner** to be due under the provisions of this **Contract**. It is further stipulated and agreed that no person has the power to waive any of the foregoing provisions and that in any action or dispute resolution procedure against the **City** to recover any sum in excess of the sums certified by the **Commissioner** to be due under or by reason of this **Contract**, the **Contractor** must allege in its complaint and prove, at trial or during such dispute resolution procedure, compliance with the provisions of this Article 30.

30.5 In addition, after the commencement of any action or dispute resolution procedure by the **Contractor** arising under or by reason of this **Contract**, the **City** shall have the right to require the **Contractor** to produce for examination under oath, up until the trial of the action or hearing before the Contract Dispute Resolution Board, the books and documents described in Article 30.3 and submit itself and all persons in its employ for examination under oath. If this Article 30 is not complied with as required, then the **Contractor** hereby consents to the dismissal of the action or dispute resolution procedure.

# CHAPTER VII POWERS OF THE RESIDENT ENGINEER, THE ENGINEER OR ARCHITECT AND THE COMMISSIONER

#### ARTICLE 31. THE RESIDENT ENGINEER

31.1 The **Resident Engineer** shall have the power to inspect, supervise, and control the performance of the **Work**, subject to review by the **Commissioner**. The **Resident Engineer** shall not, however, have the power to issue an **Extra Work** order, except as specifically designated in writing by the **Commissioner**.

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### ARTICLE 32. THE ENGINEER OR ARCHITECT OR PROJECT MANAGER

32.1 The Engineer or Architect or Project Manager, in addition to those matters elsewhere herein delegated to the Engineer and expressly made subject to his/her determination, direction or approval, shall have the power, subject to review by the Commissioner:

32.1.1 To determine the amount, quality, and location of the **Work** to be paid for hereunder; and

32.1.2 To determine all questions in relation to the Work, to interpret the Contract Drawings, Specifications, and Addenda, and to resolve all patent inconsistencies or ambiguities therein; and

32.1.3 To determine how the Work of this Contract shall be coordinated with Work of Other Contractors engaged simultaneously on this Project, including the power to suspend any part of the Work, but not the whole thereof; and

32.1.4 To make minor changes in the Work as he/she deems necessary, provided such changes do not result in a net change in the cost to the City or to the Contractor of the Work to be done under the Contract; and

32.1.5 To amplify the **Contract Drawings**, add explanatory information and furnish additional **Specifications** and drawings, consistent with this **Contract**.

32.2 The foregoing enumeration shall not imply any limitation upon the power of the Engineer or Architect or Project Manager, for it is the intent of this Contract that all of the Work shall generally be subject to his/her determination, direction, and approval, except where the determination, direction or approval of someone other than the Engineer or Architect or Project Manager is expressly called for herein.

32.3 The Engineer or Architect or Project Manager shall not, however, have the power to issue an Extra Work order, except as specifically designated in writing by the Commissioner.

#### ARTICLE 33. THE COMMISSIONER

33.1 The **Commissioner**, in addition to those matters elsewhere herein expressly made subject to his/her determination, direction or approval, shall have the power:

33.1.1 To review and make determinations on any and all questions in relation to this **Contract** 

and its performance; and

33.1.2 To modify or change this **Contract** so as to require the performance of **Extra Work** (subject, however, to the limitations specified in Article 25) or the omission of **Contract Work**; and

33.1.3 To suspend the whole or any part of the **Work** whenever in his/her judgment such suspension is required:

33.1.3(a) In the interest of the City generally; or

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STANDARD CONSTRUCTION CONTRACT December 2013 33.1.3(b) To coordinate the **Work** of the various contractors engaged on this **Project** pursuant to the provisions of Article 12; or

33.1.3(c) To expedite the completion of the entire **Project** even though the completion of this particular **Contract** may thereby be delayed.

#### ARTICLE 34. NO ESTOPPEL

34.1 Neither the **City** nor any **Agency**, official, agent or employee thereof, shall be bound, precluded or estopped by any determination, decision, approval, order, letter, payment or certificate made or given under or in connection with this **Contract** by the **City**, the **Commissioner**, the **Engineer**, the **Resident Engineer**, or any other official, agent or employee of the **City**, either before or after the final completion and acceptance of the **Work** and payment therefor:

34.1.1 From showing the true and correct classification, amount, quality or character of the **Work** actually done; or that any such determination, decision, order, letter, payment or certificate was untrue, incorrect or improperly made in any particular, or that the **Work**, or any part thereof, does not in fact conform to the requirements of this **Contract**; and

34.1.2 From demanding and recovering from the **Contractor** any overpayment made to it, or such damages as the **City** may sustain by reason of the **Contractor's** failure to perform each and every part of its **Contract**.

#### CHAPTER VIII LABOR PROVISIONS

#### ARTICLE 35. EMPLOYEES

35.1 The Contractor and its Subcontractors shall not employ on the Work:

35.1.1 Anyone who is not competent, faithful and skilled in the Work for which he/she shall be employed; and whenever the **Commissioner** shall inform the **Contractor**, in writing, that any employee is, in his/her opinion, incompetent, unfaithful or disobedient, that employee shall be discharged from the **Work** forthwith, and shall not again be employed upon it; or

35.1.2 Any labor, materials or means whose employment, or utilization during the course of this **Contract**, may tend to or in any way cause or result in strikes, work stoppages, delays, suspension of **Work** or similar troubles by workers employed by the **Contractor** or its **Subcontractors**, or by any of the trades working in or about the buildings and premises where **Work** is being performed under this **Contract**, or by **Other Contractors** or their **Subcontractors** pursuant to other contracts, or on any other building or premises owned or operated by the **City**, its **Agencies**, departments, boards or authorities. Any violation by the **Contractor** of this requirement may, upon certification of the **Commissioner**, be considered as proper and sufficient cause for declaring the **Contractor** to be in default, and for the **City** to take action against it as set forth in Chapter X of this **Contract**, or such other article of this **Contract** as the **Commissioner** may deem proper; or

35.1.3 In accordance with Section 220.3-e of the Labor Law of the State of New York (hereinafter "Labor Law"), the **Contractor** and its **Subcontractors** shall not employ on the Work any apprentice, unless he/she is a registered individual, under a bona fide program CITY OF NEW YORK DDC 48 STANDARD CONSTRUCTION CONTRACT December 2013 registered with the New York State Department of Labor. The allowable ratio of apprentices to journey-level workers in any craft classification shall not be greater than the ratio permitted to the **Contractor** as to its work force on any job under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered as above, shall be paid the wage rate determined by the **Comptroller** of the **City** for the classification of **Work** actually performed. The **Contractor** or **Subcontractor** will be required to furnish written evidence of the registration of its program and apprentices as well as all the appropriate ratios and wage rates, for the area of the construction prior to using any apprentices on the **Contract Work**.

35.2 If the total cost of the Work under this Contract is at least two hundred fifty thousand (\$250,000) dollars, all laborers, workers, and mechanics employed in the performance of the Contract on the public work site, either by the Contractor, Subcontractor or other person doing or contracting to do the whole or a part of the Work contemplated by the Contract, shall be certified prior to performing any Work as having successfully completed a course in construction safety and health approved by the United States Department of Labor's Occupational Safety and Health Administration that is at least ten (10) hours in duration.

35.3 In accordance with Local Law Nos. 30-2012 and 33-2012, codified at sections 6-132 and 12-113 of the Administrative Code, respectively,

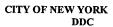
35.3.1 The **Contractor** shall not take an adverse personnel action with respect to an officer or employee in retaliation for such officer or employee making a report of information concerning conduct which such officer or employee knows or reasonably believes to involve corruption, criminal activity, conflict of interest, gross mismanagement or abuse of authority by any officer or employee relating to this **Contract** to (a) the Commissioner of the Department of Investigation, (b) a member of the New York City Council, the Public Advocate, or the **Comptroller**, or (c) the **CCPO**, **ACCO**, **Agency** head, or **Commissioner**.

35.3.2 If any of the **Contractor**'s officers or employees believes that he or she has been the subject of an adverse personnel action in violation of Article 35.3.1, he or she shall be entitled to bring a cause of action against the **Contractor** to recover all relief necessary to make him or her whole. Such relief may include but is not limited to: (a) an injunction to restrain continued retaliation, (b) reinstatement to the position such employee would have had but for the retaliation or to an equivalent position, (c) reinstatement of full fringe benefits and seniority rights, (d) payment of two times back pay, plus interest, and (e) compensation for any special damages sustained as a result of the retaliation, including litigation costs and reasonable attorney's fees.

35.3.3 The **Contractor** shall post a notice provided by the **City** in a prominent and accessible place on any site where work pursuant to the **Contract** is performed that contains information about:

35.3.3(a) how its employees can report to the New York City Department of Investigation allegations of fraud, false claims, criminality or corruption arising out of or in connection with the **Contract**; and

35.3.3(b) the rights and remedies afforded to its employees under Administrative Code sections 7-805 (the New York City False Claims Act) and 12-113 (the Whistleblower Protection Expansion Act) for lawful acts taken in connection with the reporting of allegations of fraud, false claims, criminality or corruption in connection with the **Contract**.



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35.3.4 For the purposes of this Article 35.3, "adverse personnel action" includes dismissal, demotion, suspension, disciplinary action, negative performance evaluation, any action resulting in loss of staff, office space, equipment or other benefit, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected officer or employee.

35.3.5 This Article 35.3 is applicable to all of the **Contractor**'s **Subcontractors** having subcontracts with a value in excess of \$100,000; accordingly, the **Contractor** shall include this rider in all subcontracts with a value a value in excess of \$100,000.

35.4 Article 35.3 is not applicable to this **Contract** if it is valued at \$100,000 or less. Articles 35.3.1, 35.3.2, 35.3.4, and 35.3.5 are not applicable to this **Contract** if it was solicited pursuant to a finding of an emergency.

#### ARTICLE 36. NO DISCRIMINATION

36.1 The Contractor specifically agrees, as required by Labor Law Section 220-e, as amended, that:

36.1.1 In the hiring of employees for the performance of Work under this Contract or any subcontract hereunder, neither the Contractor, Subcontractor, nor any person acting on behalf of such Contractor or Subcontractor, shall by reason of race, creed, color or national origin discriminate against any citizen of the State of New York who is qualified and available to perform the Work to which the employment relates;

36.1.2 Neither the **Contractor**, **Subcontractor**, nor any person on its behalf shall, in any manner, discriminate against or intimidate any employee hired for the performance of **Work** under this **Contract** on account of race, creed, color or national origin;

36.1.3 There may be deducted from the amount payable to the **Contractor** by the **City** under this **Contract** a penalty of fifty (\$50.00) dollars for each person for each **Day** during which such person was discriminated against or intimidated in violation of the provisions of this **Contract**; and

36.1.4 This **Contract** may be cancelled or terminated by the **City** and all moneys due or to become due hereunder may be forfeited, for a second or any subsequent violation of the terms or conditions of this Article 36.

36.1.5 This Article 36 covers all construction, alteration and repair of any public building or public work occurring in the State of New York and the manufacture, sale, and distribution of materials, equipment, and supplies to the extent that such operations are performed within the State of New York pursuant to this **Contract**.

36.2 The **Contractor** specifically agrees, as required by Section 6-108 of the Administrative Code, as amended, that:

36.2.1 It shall be unlawful for any person engaged in the construction, alteration or repair of buildings or engaged in the construction or repair of streets or highways pursuant to a **Contract** with the **City** or engaged in the manufacture, sale or distribution of materials, equipment or supplies pursuant to a **Contract** with the **City** to refuse to employ or to refuse to continue in any employment any person on account of the race, color or creed of such person.

CITY OF NEW YORK DDC 36.2.2 It shall be unlawful for any person or any servant, agent or employee of any person, described in Article 36.1.2, to ask, indicate or transmit, orally or in writing, directly or indirectly, the race, color or creed or religious affiliation of any person employed or seeking employment from such person, firm or corporation.

36.2.3 Breach of the foregoing provisions shall be deemed a violation of a material provision of this **Contract**.

36.2.4 Any person, or the employee, manager or owner of or officer of such firm or corporation who shall violate any of the provisions of this Article 36.2 shall, upon conviction thereof, be punished by a fine of not more than one hundred (\$100.00) dollars or by imprisonment for not more than thirty (30) **Days**, or both.

36.3 This **Contract** is subject to the requirements of Executive Order No. 50 (1980) ("E.O. 50"), as revised, and the rules and regulations promulgated thereunder. No contract will be awarded unless and until these requirements have been complied with in their entirety. By signing this **Contract**, the **Contractor** agrees that it:

36.3.1 Will not engage in any unlawful discrimination against any employee or applicant for employment because of race, creed, color, national origin, sex, age, disability, marital status or sexual orientation with respect to all employment decisions including, but not limited to, recruitment, hiring, upgrading, demotion, downgrading, transfer, training, rates of pay or other forms of compensation, layoff, termination, and all other terms and conditions of employment; and

36.3.2 Will not engage in any unlawful discrimination in the selection of **Subcontractors** on the basis of the owner's race, color, creed, national origin, sex, age, disability, marital status or sexual orientation; and

36.3.3 Will state in all solicitations or advertisements for employees placed by or on behalf of the **Contractor** that all qualified applicants will receive consideration for employment without unlawful discrimination based on race, creed, color, national origin, sex, age, citizens status, disability, marital status, sexual orientation, or that it is an equal employment opportunity employer; and

36.3.4 Will send to each labor organization or representative of workers with which it has a collective bargaining agreement or other contract or memorandum of understanding, written notification of its equal employment opportunity commitments under E.O. 50 and the rules and regulations promulgated thereunder; and

36.3.5 Will furnish, before the award of the **Contract**, all information and reports, including an employment report, that are required by E.O. 50, the rules and regulations promulgated thereunder, and orders of the **City** Department of Business Services, Division of Labor Services (**DLS**) and will permit access to its books, records, and accounts by the **DLS** for the purposes of investigation to ascertain compliance with such rules, regulations, and orders.

36.4 The **Contractor** understands that in the event of its noncompliance with the nondiscrimination clauses of this **Contract** or with any of such rules, regulations, or orders, such noncompliance shall constitute a material breach of this **Contract** and noncompliance with E.O. 50 and the rules and regulations promulgated thereunder. After a hearing held pursuant to the rules of the **DLS**, the Director of the **DLS** may direct the **Commissioner** to impose any or all of the following sanctions:

36.4.1 Disapproval of the **Contractor**; and/or

36.4.2 Suspension or termination of the Contract; and/or

36.4.3 Declaring the Contractor in default; and/or

36.4.4 In lieu of any of the foregoing sanctions, the Director of the DLS may impose an employment program.

In addition to any actions taken under this Contract, failure to comply with E.O. 50 and the rules and regulations promulgated thereunder, in one or more instances, may result in a City Agency declaring the Contractor to be non-responsible in future procurements. The Contractor further agrees that it will refrain from entering into any Contract or Contract modification subject to E.O. 50 and the rules and regulations promulgated thereunder with a Subcontractor who is not in compliance with the requirements of E.O. 50 and the rules and regulations promulgated thereunder.

36.5 The Contractor specifically agrees, as required by Section 6-123 of the Administrative Code, that:

> 36.5.1 The Contractor will not engage in any unlawful discriminatory practice in violation of Title 8 of the Administrative Code; and

> 36.5.2 Any failure to comply with this Article 36.5 may subject the Contractor to the remedies set forth in Section 6-123 of the Administrative Code, including, where appropriate, sanctions such as withholding of payment, imposition of an employment program, finding the Contractor to be in default, cancellation of the Contract, or any other sanction or remedy provided by Law or Contract.

#### **ARTICLE 37. LABOR LAW REQUIREMENTS**

37.1 The Contractor shall strictly comply with all applicable provisions of the Labor Law, as amended. Such compliance is a material term of this Contract.

37.2 The Contractor specifically agrees, as required by Labor Law Sections 220 and 220-d, as amended, that:

> 37.2.1 Hours of Work: No laborer, worker, or mechanic in the employ of the Contractor, Subcontractor or other person doing or contracting to do the whole or a part of the Work contemplated by this **Contract** shall be permitted or required to work more than eight (8) hours in any one (1) Day, or more than five (5) Days in any one (1) week, except as provided in the Labor Law and in cases of extraordinary emergency including fire, flood, or danger to life or property, or in the case of national emergency when so proclaimed by the President of the United States of America.

> 37.2.2 In situations in which there are not sufficient laborers, workers, and mechanics who may be employed to carry on expeditiously the Work contemplated by this Contract as a result of such restrictions upon the number of hours and Days of labor, and the immediate commencement or prosecution or completion without undue delay of the Work is necessary for the preservation of the Site and/or for the protection of the life and limb of the persons using the same, such laborers, workers, and mechanics shall be permitted or required to STANDARD CONSTRUCTION CONTRACT

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work more than eight (8) hours in any one (1) **Day**; or five (5) **Days** in any one (1) week; provided, however, that upon application of any **Contractor**, the **Commissioner** shall have first certified to the Commissioner of Labor of the State of New York (hereinafter "Commissioner of Labor") that such public **Work** is of an important nature and that a delay in carrying it to completion would result in serious disadvantage to the public; and provided, further, that such Commissioner of Labor shall have determined that such an emergency does in fact exist as provided in Labor Law Section 220.2.

37.2.3 Failure of the **Commissioner** to make such a certification to the Commissioner of Labor shall not entitle the **Contractor** to damages for delay or for any cause whatsoever.

37.2.4 Prevailing Rate of Wages: The wages to be paid for a legal day's **Work** to laborers, workers, or mechanics employed upon the **Work** contemplated by this **Contract** or upon any materials to be used thereon shall not be less than the "prevailing rate of wage" as defined in Labor Law Section 220, and as fixed by the **Comptroller** in the attached Schedule of Wage Rates and in updated schedules thereof. The prevailing wage rates and supplemental benefits to be paid are those in effect at the time the **Work** is being performed.

37.2.5 Requests for interpretation or correction in the Information for Bidders includes all requests for clarification of the classification of trades to be employed in the performance of the Work under this Contract. In the event that a trade not listed in the Contract is in fact employed during the performance of this Contract, the Contractor shall be required to obtain from the Agency the prevailing wage rates and supplementary benefits for the trades used and to complete the performance of this Contract at the price at which the Contract was awarded.

37.2.6 Minimum Wages: Except for employees whose wage is required to be fixed pursuant to Labor Law Section 220, all persons employed by the **Contractor** and any **Subcontractor** in the manufacture or furnishing of the supplies, materials, or equipment, or the furnishing of work, labor, or services, used in the performance of this **Contract**, shall be paid, without subsequent deduction or rebate unless expressly authorized by **Law**, not less than the sum mandated by **Law**.

37.3 Working Conditions: No part of the **Work**, labor or services shall be performed or rendered by the **Contractor** in any plants, factories, buildings or surroundings or under working conditions which are unsanitary or hazardous or dangerous to the health and safety of employees engaged in the performance of this **Contract**. Compliance with the safety, sanitary, and factory inspection **Laws** of the state in which the **Work** is to be performed shall be prima facie evidence of compliance with this Article 37.3.

37.4 Prevailing Wage Enforcement: The Contractor agrees to pay for all costs incurred by the City in enforcing prevailing wage requirements, including the cost of any investigation conducted by or on behalf of the Agency or the Comptroller, where the City discovers a failure to comply with any of the requirements of this Article 37 by the Contractor or its Subcontractor(s). The Contractor also agrees that, should it fail or refuse to pay for any such investigation, the Agency is hereby authorized to deduct from a Contractor's account an amount equal to the cost of such investigation.

37.4.1 The Labor Law Section 220 and Section 220-d, as amended, provide that this **Contract** shall be forfeited and no sum paid for any **Work** done hereunder on a second conviction for willfully paying less than:

37.4.1(a) The stipulated prevailing wage scale as provided in Labor Law section 220, as amended, or

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37.4.1(b) The stipulated minimum hourly wage scale as provided in Labor Law section 220-d, as amended.

37.4.2 For any breach or violation of either working conditions (Article 37.3) or minimum wages (Article 37.2.6) provisions, the party responsible therefor shall be liable to the City for liquidated damages, which may be withheld from any amounts due on any contracts with the City of such party responsible, or may be recovered in actions brought by the City Corporation Counsel in the name of the City, in addition to damages for any other breach of this Contract, for a sum equal to the amount of any underpayment of wages due to any employee engaged in the performance of this Contract. In addition, the Commissioner shall have the right to cancel contracts and enter into other contracts for the completion of the original contract, with or without public letting, and the original Contractor shall be liable for any additional cost. All sums withheld or recovered as deductions, rebates, refunds, or underpayment of wages hereunder, shall be held in a special deposit account and shall be paid without interest, on order of the Comptroller, directly to the employees who have been paid less than minimum rates of pay as set forth herein and on whose account such sums were withheld or recovered, provided that no claims by employees for such payments shall be entertained unless made within two (2) years from the date of actual notice to the Contractor of the withholding or recovery of such sums by the City.

37.4.3 A determination by the Comptroller that a Contractor and/or its Subcontractor willfully violated Labor Law Section 220 will be forwarded to the City's five District Attorneys for review.

37.4.4 The Contractor's or Subcontractor's noncompliance with this Article 37.4 and Labor Law Section 220 may result in an unsatisfactory performance evaluation and the Comptroller may also find and determine that the Contractor or Subcontractor willfully violated the New York Labor Law.

37.4.4(a) An unsatisfactory performance evaluation for noncompliance with this Article 37.4 may result in a determination that the Contractor is a non-responsible bidder on subsequent procurements with the City and thus a rejection of a future award of a contract with the City, as well as any other sanctions provided for by Law.

37.4.4(b) Labor Law Section 220-b, as amended, provides that when two (2) final determinations have been rendered against a Contractor or Subcontractor within any consecutive six (6) year period determining that such Contractor or Subcontractor has willfully failed to pay the prevailing rate of wages or to provide supplements in accordance with the Labor Law and this Article 37.4, whether such failures were concurrent or consecutive and whether or not such final determinations concerning separate public works projects are rendered simultaneously, such Contractor or Subcontractor shall be ineligible to submit a bid on or be awarded any public works contract with the City for a period of five (5) years from the second final determination. If the final determination involves the falsification of payroll records or the kickback of wages or supplements, the Contractor or Subcontractor shall be ineligible to submit a bid on or be awarded any public works contract with the City for a period of five (5) years from the first final determination.

37.4.4(c) Labor Law Section 220, as amended, provides that the Contractor or Subcontractor found to have violated this Article 37.4 may be directed to make payment of wages or supplements including interest found to be due, and the Contractor or Subcontractor may be directed to make payment of a further sum as STANDARD CONSTRUCTION CONTRACT

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a civil penalty in an amount not exceeding twenty-five (25%) percent of the total amount found to be due.

37.5 The Contractor and its Subcontractors shall within ten (10) Days after mailing of a Notice of Award or written order, post in prominent and conspicuous places in each and every plant, factory, building, and structure where employees of the Contractor and its Subcontractors engaged in the performance of this Contract are employed, notices furnished by the City, in relation to prevailing wages and supplements, minimum wages, and other stipulations contained in Sections 220 and 220-h of the Labor Law, and the Contractor and its Subcontractors shall continue to keep such notices posted in such prominent and conspicuous places until Final Acceptance of the supplies, materials, equipment, or Work, labor, or services required to be furnished or rendered under this Contract.

37.6 The **Contractor** shall strictly comply with all of the provisions of Articles 37.6.1 through 37.6.5, and provide for all workers, laborers or mechanics in its employ, the following:

37.6.1 Notices Posted At Site: Post, in a location designated by the City, schedules of prevailing wages and supplements for this **Project**, a copy of all re-determinations of such schedules for the **Project**, the Workers' Compensation Law Section 51 notice, all other notices required by Law to be posted at the Site, the City notice that this **Project** is a public works project on which each worker is entitled to receive the prevailing wages and supplements for the occupation at which he or she is working, and all other notices which the **City** directs the **Contractor** to post. The **Contractor** shall provide a surface for such notices in a legible manner and shall replace any notice or schedule which is damaged, defaced, illegible or removed for any reason. The **Contractor** shall post such notices before commencing any **Work** on the **Site** and shall maintain such notices until all **Work** on the **Site** is complete; and

37.6.2 Daily Site Sign-in Sheets: Maintain daily Site sign-in sheets, and require that Subcontractors maintain daily Site sign-in sheets for its employees, which include blank spaces for an employee's name to be both printed and signed, job title, date started and Social Security number, the time the employee began work and the time the employee left work, until Final Acceptance of the supplies, materials, equipment, or Work, labor, or services to be furnished or rendered under this Contract unless exception is granted by the Comptroller upon application by the Agency. In the alternative, subject to the approval of the CCPO, the Contractor and Subcontractor may maintain an electronic or biometric sign-in system, which provides the information required by this Article 37.6.2; and

37.6.3 Individual Employee Information Notices: Distribute a notice to each worker, laborer or mechanic employed under this **Contract**, in a form provided by the **Agency**, that this **Project** is a public works project on which each worker, laborer or mechanic is entitled to receive the prevailing rate of wages and supplements for the occupation at which he or she is working. If the total cost of the **Work** under this **Contract** is at least two hundred fifty thousand (\$250,000) dollars, such notice shall also include a statement that each worker, laborer or mechanic must be certified prior to performing any **Work** as having successfully completed a course in construction safety and health approved by the United States Department of Labor's Occupational Safety and Health Administration that is at least ten (10) hours in duration. Such notice shall be distributed to each worker before he or she starts performing any **Work** of this **Contract** and with the first paycheck after July first of each year. "Worker, laborer or mechanic" includes employees of the **Contractor** and all **Subcontractors** and all employees of suppliers entering the **Site**. At the time of distribution, the **Contractor** shall have each worker, laborer or mechanic sign a statement, in a form provided by the **Agency**, certifying that the worker has received the notice required by this

CITY OF NEW YORK DDC STANDARD CONSTRUCTION CONTRACT December 2013 Article 37.6.3, which signed statement shall be maintained with the payroll records required by this **Contract**; and

37.6.3(a) The **Contractor** and each **Subcontractor** shall notify each worker, laborer or mechanic employed under this **Contract** in writing of the prevailing rate of wages for their particular job classification. Such notification shall be given to every worker, laborer, and mechanic on their first pay stub and with every pay stub thereafter; and

37.6.4 Site Laminated Identification Badges: The Contractor shall provide laminated identification badges which include a photograph of the worker's, laborer's or mechanic's face and indicate the worker's, laborer's or mechanic's name, trade, employer's name, and employment starting date (month/day/year). Further, the Contractor shall require as a condition of employment on the Site, that each and every worker, laborer or mechanic wear the laminated identification badge at all times and that it may be seen by any representative of the City. The Commissioner may grant a written waiver from the requirement that the laminated identification badge include a photograph if the Contractor demonstrates that the identity of an individual wearing a laminated identification badge can be easily verified by another method; and

37.6.5 Language Other Than English Used On Site: Provide the ACCO notice when three (3) or more employees (worker and/or laborer and/or mechanic) on the Site, at any time, speak a language other than English. The ACCO will then provide the Contractor the notices described in Article 37.6.1 in that language or languages as may be required. The Contractor is responsible for all distributions under this Article 37; and

37.6.6 Provision of Records: The **Contractor** and **Subcontractor(s)** shall produce within five (5) **Days** on the **Site** of the **Work** and upon a written order of the **Engineer**, the **Commissioner**, the **ACCO**, the **Agency EAO**, or the **Comptroller**, such records as are required to be kept by this Article 37.6; and

37.6.7 The **Contractor** and **Subcontractor(s)** shall pay employees by check or direct deposit. If this **Contract** is for an amount greater than one million (\$1,000,000) dollars, checks issued by the **Contractor** to covered employees shall be generated by a payroll service or automated payroll system (an in-house system may be used if approved by the **Agency**). For any subcontract for an amount greater than seven hundred fifty thousand (\$750,000) dollars, checks issued by a **Subcontractor** to covered employees shall be generated by a payroll service or automated payroll system (an in-house system may be used if approved by the **Agency**); and

37.6.8 The failure of the **Contractor** or **Subcontractor(s)** to comply with the provisions of Articles 37.6.1 through 37.6.7 may result in the **Commissioner** declaring the **Contractor** in default and/or the withholding of payments otherwise due under the **Contract**.

37.7 The Contractor and its Subcontractors shall keep such employment and payroll records as are required by Section 220 of the Labor Law. The failure of the Contractor or Subcontractor(s) to comply with the provisions of this Article 37.7 may result in the Commissioner declaring the Contractor in default and/or the withholding of payments otherwise due under the Contract.

37.8 At the time the **Contractor** makes application for each partial payment and for final payment, the **Contractor** shall submit to the **Commissioner** a written payroll certification, in the form provided by this **Contract**, of compliance with the prevailing wage, minimum wage, and other provisions and stipulations required by Labor Law Section 220 and of compliance with the training requirements of CITY OF NEW YORK 56 STANDARD CONSTRUCTION CONTRACT DDC December 2013 Labor Law Section 220-h set forth in Article 35.2. This certification of compliance shall be a condition precedent to payment and no payment shall be made to the **Contractor** unless and until each such certification shall have been submitted to and received by the **Commissioner**.

37.9 This **Contract** is executed by the **Contractor** with the express warranty and representation that the **Contractor** is not disqualified under the provisions of Section 220 of the Labor Law from the award of the **Contract**.

37.10 Any breach or violation of any of the foregoing shall be deemed a breach or violation of a material provision of this **Contract**, and grounds for cancellation thereof by the **City**.

#### ARTICLE 38. PAYROLL REPORTS

38.1 The **Contractor** and its **Subcontractor(s)** shall maintain on the **Site** during the performance of the **Work** the original payrolls or transcripts thereof which the **Contractor** and its **Subcontractor(s)** are required to maintain and shall submit such original payrolls or transcripts, subscribed and affirmed by it as true, within thirty (30) **Days** after issuance of its first payroll, and every thirty (30) **Days** thereafter, pursuant to Labor Law Section 220(3-a)(a)(iii). The **Contractor** and **Subcontractor(s)** shall submit such original payrolls or transcripts along with each and every payment requisition. If payment requisitions are not submitted at least once a month, the **Contractor** and its **Subcontractor(s)** shall submit original payrolls and transcripts both along with its payment requisitions and independently of its payment requisitions.

38.2 The **Contractor** shall maintain payrolls or transcripts thereof for six (6) years from the date of completion of the **Work** on this **Contract**. If such payrolls and transcripts are maintained outside of New York City after the completion of the **Work** and their production is required pursuant to this Article 38, the **Contractor** shall produce such records in New York City upon request by the City.

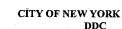
38.3 The Contractor and Subcontractor(s) shall comply with any written order, direction, or request made by the Engineer, the Commissioner, the ACCO, the Agency EAO, the Agency Labor Law Investigator(s), or the Comptroller, to provide to the requesting party any of the following information and/or records within five (5) Days of such written order, direction, or request:

38.3.1 Such original payrolls or transcripts thereof subscribed and affirmed by it as true and the statements signed by each worker pursuant to this Chapter VIII; and/or

38.3.2 Attendance sheets for each **Day** on which any employee of the **Contractor** and/or any of the **Subcontractor(s)** performed **Work** on the **Site**, which attendance sheet shall be in a form acceptable to the **Agency** and shall provide information acceptable to the **Agency** to identify each such employee; and/or

38.3.3 Any other information to satisfy the Engineer, the Commissioner, the ACCO, the Agency EAO, the Agency Labor Law Investigator(s) or the Comptroller, that this Chapter VIII and the Labor Law, as to the hours of employment and prevailing rates of wages and/or supplemental benefits, are being observed.

38.4 The failure of the **Contractor** or **Subcontractor(s)** to comply with the provisions of Articles 38.1 and/or 38.2 may result in the **Commissioner** declaring the **Contractor** in default and/or the withholding of payments otherwise due under the **Contract**.



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#### **ARTICLE 39. DUST HAZARDS**

39.1 Should a harmful dust hazard be created in performing the Work of this Contract, for the elimination of which appliances or methods have been approved by the Board of Standards and Appeals of the City of New York, such appliances and methods shall be installed, maintained, and effectively operated during the continuance of such harmful dust hazard. Failure to comply with this provision after notice shall make this Contract voidable at the sole discretion of the City.

#### CHAPTER IX PARTIAL AND FINAL PAYMENTS

#### **ARTICLE 40. CONTRACT PRICE**

40.1 The City shall pay, and the Contractor agrees to accept, in full consideration for the Contractor's performance of the Work subject to the terms and conditions hereof, the lump sum price or unit prices for which this Contract was awarded, plus the amount required to be paid for any Extra Work ordered by the Commissioner under Article 25, less credit for any Work omitted pursuant to Article 29.

#### **ARTICLE 41. BID BREAKDOWN ON LUMP SUM**

41.1 Within fifteen (15) **Days** after the commencement date specified in the **Notice to Proceed** or **Order to Work**, unless otherwise directed by the **Resident Engineer**, the **Contractor** shall submit to the **Resident Engineer** a breakdown of its bid price, or of lump sums bid for items of the **Contract**, showing the various operations to be performed under the **Contract**, as directed in the progress schedule required under Article 9, and the value of each of such operations, the total of such items to equal the lump sum price bid. Said breakdown must be approved in writing by the **Resident Engineer**.

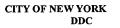
41.2 No partial payment will be approved until the **Contractor** submits a bid breakdown that is acceptable to the **Resident Engineer**.

41.3 The **Contractor** shall also submit such other information relating to the bid breakdown as directed by the **Resident Engineer**. Thereafter, the breakdown may be used only for checking the **Contractor's** applications for partial payments hereunder, but shall not be binding upon the **City**, the **Commissioner**, or the **Engineer** for any purpose whatsoever.

#### ARTICLE 42. PARTIAL PAYMENTS

42.1 From time to time as the Work progresses satisfactorily, but not more often than once each calendar month (except where the **Commissioner** approves in writing the submission of invoices on a more frequent basis and for invoices relating to Work performed pursuant to a change order), the **Contractor** may submit to the **Engineer** a requisition for a partial payment in the prescribed form, which shall contain an estimate of the quantity and the fair value of the **Work** done during the payment period.

42.2 Partial payments may be made for materials, fixtures, and equipment in advance of their actual incorporation in the **Work**, as the **Commissioner** may approve, and upon the terms and conditions set forth in the General Conditions.



42.3 The **Contractor** shall also submit to the **Commissioner** in connection with every application for partial payment a verified statement in the form prescribed by the **Comptroller** setting forth the information required under Labor Law Section 220-a.

42.4 Within thirty (30) **Days** after receipt of a satisfactory payment application, and within sixty (60) **Days** after receipt of a satisfactory payment application in relation to **Work** performed pursuant to a change order, the **Engineer** will prepare and certify, and the **Commissioner** will approve, a voucher for a partial payment in the amount of such approved estimate, less any and all deductions authorized to be made by the **Commissioner** under the terms of this **Contract** or by **Law**.

### **ARTICLE 43. PROMPT PAYMENT**

43.1 The Prompt Payment provisions of the **PPB** Rules in effect at the time of the bid will be applicable to payments made under this **Contract**. The provisions require the payment to the **Contractor** of interest on payments made after the required payment date, except as set forth in the **PPB** Rules.

43.2 The **Contractor** shall submit a proper invoice to receive payment, except where the **Contract** provides that the **Contractor** will be paid at predetermined intervals without having to submit an invoice for each scheduled payment.

43.3 Determination of interest due will be made in accordance with the PPB Rules.

43.4 If the **Contractor** is paid interest, the proportionate share(s) of that interest shall be forwarded by the **Contractor** to its **Subcontractor**(s).

43.5 The Contractor shall pay each Subcontractor or Materialman not later than seven (7) Days after receipt of payment out of amounts paid to the Contractor by the City for Work performed by the Subcontractor or Materialman under this Contract.

43.5.1 If **Contractor** fails to make any payment to any **Subcontractor** or **Materialman** within seven (7) **Days** after receipt of payment by the **City** pursuant to this Article 43.5, then the **Contractor** shall pay interest on amounts due to such **Subcontractor** or **Materialman** at the rate of interest in effect on the date such payment is made by the **Contractor** computed in accordance with Section 756-b (1)(b) of the New York General Business Law. Accrual of interest shall commence on the **Day** immediately following the expiration of the seventh **Day** following receipt of payment by the **Contractor** from the **City** and shall end on the date on which payment is made.

43.6 The **Contractor** shall include in each of its subcontracts a provision requiring each **Subcontractor** to make payment to each of its **Subcontractors** or **Materialmen** for **Work** performed under this **Contract** in the same manner and within the same time period set forth above.

# ARTICLE 44. SUBSTANTIAL COMPLETION PAYMENT

44.1 The Contractor shall submit with the Substantial Completion requisition:

44.1.1 A final verified statement of any pending Article 27 disputes in accordance with the **PPB** Rules and this **Contract** and any and all alleged claims against the **City**, in any way connected with or arising out of this **Contract** (including those as to which details may have been furnished pursuant to Articles 11, 27, 28, and 30) setting forth with respect to each

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such claim the total amount thereof, the various items of labor and materials included therein, and the alleged value of each item; and if the alleged claim be one for delay, the alleged cause of each such delay, the period or periods of time, giving the dates when the **Contractor** claims the performance of the **Work** or a particular part thereof was delayed, and an itemized statement and breakdown of the amount claimed for each such delay.

44.1.1(a) With respect to each such claim, the **Commissioner**, the **Comptroller** and, in the event of litigation, the **City** Corporation Counsel shall have the same right to inspect, and to make extracts or copies of, the **Contractor's** books, vouchers, records, etc., as is referred to in Articles 11, 27, 28, and 30. Nothing contained in this Article 44.1.1(a) is intended to or shall relieve the **Contractor** from the obligation of complying strictly with Articles 11, 27, 28, and 30. The **Contractor** is warned that unless such claims are completely set forth as herein required, the **Contractor** upon acceptance of the **Substantial Completion** payment pursuant to this Article 44, will have waived any such claims.

#### 44.1.2 A Final Approved Punch List.

44.1.3 Where required, a request for an extension of time to achieve Substantial Completion or final extension of time.

44.2 The Commissioner shall issue a voucher calling for payment of any part or all of the balance due for Work performed under the Contract, including monies retained under Article 21, less any and all deductions authorized to be made by the Commissioner, under this Contract or by Law, and less twice the amount the Commissioner considers necessary to ensure the completion of the balance of the Work by the Contractor. Such a payment shall be considered a partial and not a final payment. No Substantial Completion payment shall be made under this Article 44 where the Contractor failed to complete the Work within the time fixed for such completion in the Schedule A of the General Conditions, or within the time to which completion may have been extended, until an extension or extensions of time for the completion of Work have been acted upon pursuant to Article 13.

44.3 No further partial payments shall be made to the Contractor after Substantial Completion, except the Substantial Completion payment and payment pursuant to any Contractor's requisition that were properly filed with the Commissioner prior to the date of Substantial Completion; however, the Commissioner may grant a waiver for further partial payments after the date of Substantial Completion to permit payments for change order Work and/or release of retainage and deposits pursuant to Articles 21 and 24. Such waiver shall be in writing.

44.4 The **Contractor** acknowledges that nothing contained in this Article 44 is intended to or shall in any way diminish the force and effect of Article 13.

#### **ARTICLE 45. FINAL PAYMENT**

45.1 After completion and Final Acceptance of the Work, the Contractor shall submit all required certificates and documents, together with a requisition for the balance claimed to be due under the Contract, less the amount authorized to be retained for maintenance under Article 24. Such submission shall be within 90 days of the date of the Commissioner's written determination of Final Acceptance, or within such additional time as may be granted by the Commissioner in writing. If the Contractor fails to submit all required certificates and documents within the time allowed, no payment of the balance claimed shall be made to the Contractor and the Contractor shall be deemed to have forfeited its right to

CITY OF NEW YORK DDC payment of any balance claimed. A verified statement similar to that required in connection with applications for partial payments shall also be submitted to the **Commissioner**.

45.2 Amended Verified Statement of Claims: The Contractor shall also submit with the final requisition any amendments to the final verified statement of any pending dispute resolution procedures in accordance with the PPB Rules and this Contract and any and all alleged claims against the City, in any way connected with or arising out of this Contract (including those as to which details may have been furnished pursuant to Articles 11, 27, 28, and 30) that have occurred subsequent to Substantial Completion, setting forth with respect to each such claim the total amount thereof, the various items of labor and materials included therein, and the alleged value of each such item; and if the alleged claim be one for delay, the alleged cause of each such delay, the period or periods of time, giving the dates when the Contractor claims the performance of the Work or a particular part thereof was delayed, and an itemized statement and breakdown of the amount claimed for each such delay. With reference to each such claim, the Commissioner, the Comptroller and, in the event of litigation, the City Corporation Counsel shall have the same right to inspect, and to make extracts or copies of, the Contractor's books, vouchers, records, etc., as is referred to in Articles 11, 27, 28, and 30. Nothing contained in this Article 45.2, is intended to or shall relieve the Contractor from the obligation of complying strictly with Articles 11, 27, 28, and 30. The Contractor is warned that unless such claims are completely set forth as herein required, the Contractor, upon acceptance of the Final Payment pursuant to Article 46, will have waived any such claims.

45.3 Preparation of Final Voucher: Upon determining the balance due hereunder other than on account of claims, the **Engineer** will prepare and certify, for the Commissioner's approval, a voucher for final payment in that amount less any and all deductions authorized to be made by the **Commissioner** under this **Contract** or by **Law**. In the case of a lump sum **Contract**, the **Commissioner** shall certify the voucher for final payment within thirty (30) **Days** from the date of completion and acceptance of the **Work**, provided all requests for extensions of time have been acted upon.

45.3.1 All prior certificates and vouchers upon which partial payments were made, being merely estimates made to enable the **Contractor** to prosecute the **Work** more advantageously, shall be subject to correction in the final voucher, and the certification of the **Engineer** thereon and the approval of the **Commissioner** thereof, shall be conditions precedent to the right of the **Contractor** to receive any money hereunder. Such final voucher shall be binding and conclusive upon the **Contractor**.

45.3.2 Payment pursuant to such final voucher, less any deductions authorized to be made by the **Commissioner** under this **Contract** or by **Law**, shall constitute the final payment, and shall be made by the **Comptroller** within thirty (30) **Days** after the filing of such voucher in his/her office.

45.4 The **Contractor** acknowledges that nothing contained in this Article 45 is intended to or shall in any way diminish the force and effect of Article 13.

# **ARTICLE 46. ACCEPTANCE OF FINAL PAYMENT**

46.1 The acceptance by the **Contractor**, or by anyone claiming by or through it, of the final payment, whether such payment be made pursuant to any judgment of any court, or otherwise, shall constitute and operate as a release of the **City** from any and all claims of and liability to the **Contractor** for anything heretofore done or furnished for the **Contractor** relating to or arising out of this **Contract** and the **Work** done hereunder, and for any prior act, neglect or default on the part of the **City** or any of its officials, agents or employees, excepting only a claim against the **City** for the amounts deducted or retained in accordance with the terms and provisions of this **Contract** or by **Law**, and excepting any **CITY OF NEW YORK** 61 STANDARD CONSTRUCTION CONTRACT December 2013

claims, not otherwise waived, or any pending dispute resolution procedures which are contained in the verified statement filed with the **Contractor's** substantial and final requisitions pursuant to Articles 44 and 45.

46.2 The **Contractor** is warned that the execution by it of a release, in connection with the acceptance of the final payment, containing language purporting to reserve claims other than those herein specifically excepted from the operation of this Article 46, or those for amounts deducted by the **Commissioner** from the final requisition or from the final payment as certified by the **Engineer** and approved by the **Commissioner**, shall not be effective to reserve such claims, anything stated to the **Contractor** orally or in writing by any official, agent or employee of the **City** to the contrary notwithstanding.

46.3 Should the **Contractor** refuse to accept the final payment as tendered by the **Comptroller**, it shall constitute a waiver of any right to interest thereon.

46.4 The Contractor, however, shall not be barred by this Article 46 from commencing an action for breach of Contract to the extent permitted by Law and by the terms of the Contract for any claims that are contained in the verified statement filed with the Contractor's substantial and final requisitions pursuant to Articles 44 and 45 or that arose after submission of the final payment requisition, provided that a detailed and verified statement of claim is served upon the contracting Agency and Comptroller not later than forty (40) Days after the making of such final payment by electronic funds transfer (EFT) or the mailing of such final payment. The statement shall specify the items upon which the claim will be based and any such claim shall be limited to such items.

# **ARTICLE 47. APPROVAL BY PUBLIC DESIGN COMMISSION**

47.1 All works of art, including paintings, mural decorations, stained glass, statues, bas-reliefs, and other sculptures, monuments, fountains, arches, and other structures of a permanent character intended for ornament or commemoration, and every design of the same to be used in the performance of this **Contract**, and the design of all bridges, approaches, buildings, gates, fences, lamps, or structures to be erected, pursuant to the terms of this **Contract**, shall be submitted to the Art Commission, d/b/a the Public Design Commission of the City of New York, and shall be approved by the Public Design Commission prior to the erection or placing in position of the same. The final payment shall not become due or payable under this **Contract** unless and until the Public Design Commission shall certify that the design for the **Work** herein contracted for has been approved by the said Public Design Commission, and that the same has been executed in substantial accordance with the design so approved, pursuant to the provisions of Chapter 37, Section 854 of the **City** Charter, as amended.

#### CHAPTER X CONTRACTOR'S DEFAULT

# ARTICLE 48. COMMISSIONER'S RIGHT TO DECLARE CONTRACTOR IN DEFAULT

48.1 In addition to those instances specifically referred to in other Articles herein, the **Commissioner** shall have the right to declare the **Contractor** in default of this **Contract** if:

48.1.1 The **Contractor** fails to commence **Work** when notified to do so by the **Commissioner**; or

if

48.1.2 The Contractor shall abandon the Work; or if

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48.1.3 The Contractor shall refuse to proceed with the Work when and as directed by the Commissioner; or if

48.1.4 The **Contractor** shall, without just cause, reduce its working force to a number which, if maintained, would be insufficient, in the opinion of the **Commissioner**, to complete the **Work** in accordance with the progress schedule; or if

48.1.5 The **Contractor** shall fail or refuse to increase sufficiently such working force when ordered to do so by the **Commissioner**; or if

48.1.6 The **Contractor** shall sublet, assign, transfer, convert or otherwise dispose of this **Contract** other than as herein specified; or sell or assign a majority interest in the **Contractor**; or if

48.1.7 The Contractor fails to secure and maintain all required insurance; or if

48.1.8 A receiver or receivers are appointed to take charge of the **Contractor's** property or affairs; or if

48.1.9 The **Commissioner** shall be of the opinion that the **Contractor** is or has been unnecessarily or unreasonably or willfully delaying the performance and completion of the **Work**, or the award of necessary subcontracts, or the placing of necessary material and equipment orders; or if

48.1.10 The **Commissioner** shall be of the opinion that the **Contractor** is or has been willfully or in bad faith violating any of the provisions of this **Contract**; or if

48.1.11 The **Commissioner** shall be of the opinion that the **Work** cannot be completed within the time herein provided therefor or within the time to which such completion may have been extended; provided, however, that the impossibility of timely completion is, in the **Commissioner's** opinion, attributable to conditions within the **Contractor's** control; or if

48.1.12 The Work is not completed within the time herein provided therefor or within the time to which the **Contractor** may be entitled to have such completion extended; or if

48.1.13 Any statement or representation of the **Contractor** in the **Contract** or in any document submitted by the **Contractor** with respect to the **Work**, the **Project**, or the **Contract** (or for purposes of securing the **Contract**) was untrue or incorrect when made; or if

48.1.14 The **Contractor** or any of its officers, directors, partners, five (5%) percent shareholders, principals, or other persons substantially involved in its activities, commits any of the acts or omissions specified as the grounds for debarment in the **PPB** Rules.

48.2 Before the **Commissioner** shall exercise his/her right to declare the **Contractor** in default, the **Commissioner** shall give the **Contractor** an opportunity to be heard, upon not less than two (2) **Days** notice.

# ARTICLE 49. EXERCISE OF THE RIGHT TO DECLARE DEFAULT

49.1 The right to declare the **Contractor** in default for any of the grounds specified or referred to in Article 48 shall be exercised by sending the **Contractor** a notice, signed by the **Commissioner**, setting forth the ground or grounds upon which such default is declared (hereinafter referred to as a "Notice of Default").

49.2 The **Commissioner's** determination that the **Contractor** is in default shall be conclusive, final, and binding on the parties and such a finding shall preclude the **Contractor** from commencing a plenary action for any damages relating to the **Contract**. If the **Contractor** protests the determination of the **Commissioner**, the **Contractor** may commence an action in a court of competent jurisdiction of the State of New York under Article 78 of the New York Civil Practice Law and Rules.

# ARTICLE 50. QUITTING THE SITE

50.1 Upon receipt of such notice the **Contractor** shall immediately discontinue all further operations under this **Contract** and shall immediately quit the **Site**, leaving untouched all plant, materials, equipment, tools, and supplies then on the **Site**.

# **ARTICLE 51. COMPLETION OF THE WORK**

51.1 The **Commissioner**, after declaring the **Contractor** in default, may then have the **Work** completed by such means and in such manner, by contract with or without public letting, or otherwise, as he/she may deem advisable, utilizing for such purpose such of the **Contractor's** plant, materials, equipment, tools, and supplies remaining on the **Site**, and also such **Subcontractors**, as he/she may deem advisable.

51.2 After such completion, the **Commissioner** shall make a certificate stating the expense incurred in such completion, which shall include the cost of re-letting and also the total amount of liquidated damages (at the rate provided for in the **Contract**) from the date when the **Work** should have been completed by the **Contractor** in accordance with the terms hereof to the date of actual completion of the **Work**. Such certificate shall be binding and conclusive upon the **Contractor**, its sureties, and any person claiming under the **Contractor**, as to the amount thereof.

51.3 The expense of such completion, including any and all related and incidental costs, as so certified by the **Commissioner**, and any liquidated damages assessed against the **Contractor**, shall be charged against and deducted out of monies which are earned by the **Contractor** prior to the date of default. Should the expense of such completion, as certified by the **Commissioner**, exceed the total sum which would have been payable under the **Contract** if it had been completed by the **Contractor**, any excess shall be paid by the **Contractor**.

# **ARTICLE 52. PARTIAL DEFAULT**

52.1 In case the **Commissioner** shall declare the **Contractor** in default as to a part of the **Work** only, the **Contractor** shall discontinue such part, shall continue performing the remainder of the **Work** in strict conformity with the terms of this **Contract**, and shall in no way hinder or interfere with any **Other** 

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Contractor(s) or persons whom the Commissioner may engage to complete the Work as to which the Contractor was declared in default.

52.2 The provisions of this Chapter relating to declaring the **Contractor** in default as to the entire **Work** shall be equally applicable to a declaration of partial default, except that the **Commissioner** shall be entitled to utilize for completion of the part of the **Work** as to which the **Contractor** was declared in default only such plant, materials, equipment, tools, and supplies as had been previously used by the **Contractor** on such part.

# ARTICLE 53. PERFORMANCE OF UNCOMPLETED WORK

53.1 In completing the whole or any part of the Work under the provisions of this Chapter X, the **Commissioner** shall have the power to depart from or change or vary the terms and provisions of this **Contract**, provided, however, that such departure, change or variation is made for the purpose of reducing the time or expense of such completion. Such departure, change or variation, even to the extent of accepting a lesser or different performance, shall not affect the conclusiveness of the **Commissioner's** certificate of the cost of completion referred to in Article 51, nor shall it constitute a defense to an action to recover the amount by which such certificate exceeds the amount which would have been payable to the **Contractor** hereunder but for its default.

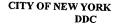
# ARTICLE 54. OTHER REMEDIES

54.1 In addition to the right to declare the **Contractor** in default pursuant to this Chapter X, the **Commissioner** shall have the absolute right, in his/her sole discretion and without a hearing, to complete or cause to be completed in the same manner as described in Articles 51 and 53, any or all unsatisfactory or uncompleted punch list **Work** that remains after the completion date specified in the **Final Approved Punch List**. A written notice of the exercise of this right shall be sent to the **Contractor** who shall immediately quit the **Site** in accordance with the provisions of Article 50.

54.2 The expense of completion permitted under Article 54.1, including any and all related and incidental costs, as so certified by the **Commissioner**, shall be charged against and deducted out of monies which have been earned by the **Contractor** prior to the date of the exercise of the right set forth in Article 54.1; the balance of such monies, if any, subject to the other provisions of this **Contract**, to be paid to the **Contractor** without interest after such completion. Should the expense of such completion, as certified by the **Contractor**, any excess shall be paid by the **Contractor**.

54.3 The previous provisions of this Chapter X shall be in addition to any and all other remedies available under Law or in equity.

54.4 The exercise by the City of any remedy set forth herein shall not be deemed a waiver by the City of any other legal or equitable remedy contained in this Contract or provided under Law.



# CHAPTER XI MISCELLANEOUS PROVISIONS

# ARTICLE 55. CONTRACTOR'S WARRANTIES

55.1 In consideration of, and to induce, the award of this **Contract** to the **Contractor**, the **Contractor** represents and warrants:

55.1.1 That it is financially solvent, sufficiently experienced and competent to perform the **Work**; and

55.1.2 That the facts stated in its bid and the information given by it pursuant to the Information for Bidders is true and correct in all respects; and

55.1.3 That it has read and complied with all requirements set forth in the Contract.

# **ARTICLE 56. CLAIMS AND ACTIONS THEREON**

56.1 Any claim, that is not subject to dispute resolution under the **PPB** Rules or this **Contract**, against the **City** for damages for breach of **Contract** shall not be made or asserted in any action, unless the **Contractor** shall have strictly complied with all requirements relating to the giving of notice and of information with respect to such claims, as herein before provided.

56.2 Nor shall any action be instituted or maintained on any such claims unless such action is commenced within six (6) months after Substantial Completion; except that:

56.2.1 Any claims arising out of events occurring after **Substantial Completion** and before **Final Acceptance** of the **Work** shall be asserted within six (6) months of **Final Acceptance** of the **Work**;

56.2.2 Any claims for monies deducted, retained or withheld under the provisions of this **Contract** shall be asserted within six (6) months after the date when such monies otherwise become due and payable hereunder; and

56.2.3 If the **Commissioner** exercises his/her right to terminate the **Contract** pursuant to Article 64, any such action shall be commenced within six (6) months of the date the **Commissioner** exercises said right.

# ARTICLE 57. INFRINGEMENT

57.1 The **Contractor** shall be solely responsible for and shall defend, indemnify, and hold the **City** harmless from any and all claims (even if the allegations of the lawsuit are without merit) and judgments for damages and from costs and expenses to which the **City** may be subject to or which it may suffer or incur allegedly arising out of or in connection with any infringement by the **Contractor** of any copyright, trade secrets, trademark or patent rights or any other property or personal right of any third party by the **Contractor** and/or its **Subcontractors** in the performance or completion of the **Work**. Insofar as the facts or **Law** relating to any claim would preclude the **City** from being completely indemnified by the **Contractor**, the **City** shall be partially indemnified by the **Contractor** to the fullest extent permitted by **Law**.

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# ARTICLE 58. NO CLAIM AGAINST OFFICIALS, AGENTS OR EMPLOYEES

58.1 No claim whatsoever shall be made by the Contractor against any official, agent or employee of the City for, or on account of, anything done or omitted to be done in connection with this Contract.

#### **ARTICLE 59. SERVICE OF NOTICES**

59.1 The Contractor hereby designates the business address, fax number, and email address specified in its bid, as the place where all notices, directions or other communications to the Contractor may be delivered, or to which they may be mailed. Any notice, direction, or communication from either party to the other shall be in writing and shall be deemed to have been given when (i) delivered personally; (ii) sent by certified mail, return receipt requested; (iii) delivered by overnight or same day courier service in a properly addressed envelope with confirmation; or (iv) sent by fax or email and, unless receipt of the fax or e-mail is acknowledged by the recipient by fax or e-mail, deposited in a post office box regularly maintained by the United States Postal Service in a properly addressed, postage prepaid envelope.

59.2 Contractor's notice address, email address, or fax number may be changed at any time by an instrument in writing, executed and acknowledged by the Contractor, and delivered to the Commissioner.

59.3 Nothing herein contained shall, however, be deemed to preclude or render inoperative the service of any notice, direction or other communication upon the Contractor personally, or, if the Contractor is a corporation, upon any officer thereof.

#### **ARTICLE 60. UNLAWFUL PROVISIONS DEEMED STRICKEN FROM CONTRACT**

60.1 If this Contract contains any unlawful provision not an essential part of the Contract and which shall not appear to have been a controlling or material inducement to the making thereof, the same shall be deemed of no effect and shall, upon notice by either party, be deemed stricken from the Contract without affecting the binding force of the remainder.

#### **ARTICLE 61. ALL LEGAL PROVISIONS DEEMED INCLUDED**

61.1 It is the intent and understanding of the parties to this Contract that each and every provision of Law required to be inserted in this Contract shall be and is inserted herein. Furthermore, it is hereby stipulated that every such provision is to be deemed to be inserted herein, and if, through mistake or otherwise, any such provision is not inserted, or is not inserted in correct form, then this Contract shall forthwith upon the application of either party be amended by such insertion so as to comply strictly with the Law and without prejudice to the rights of either party hereunder.

# **ARTICLE 62. TAX EXEMPTION**

62.1 The City is exempt from payment of Federal, State, and local taxes, including sales and compensating use taxes of the State of New York and its cities and counties on all tangible personal property sold to the City pursuant to the provisions of this Contract. These taxes are not to be included in bids. However, this exemption does not apply to tools, machinery, equipment or other property leased by or to the Contractor, Subcontractor or Materialman or to tangible personal property which, even CITY OF NEW YORK STANDARD CONSTRUCTION CONTRACT 67

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though it is consumed, is not incorporated into the completed Work (consumable supplies) and tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work**. The **Contractor** and its **Subcontractors** and **Materialmen** shall be responsible for and pay any and all applicable taxes, including sales and compensating use taxes, on such leased tools, machinery, equipment or other property and upon all such consumable supplies and tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work**.

62.2 The **Contractor** agrees to sell and the **City** agrees to purchase all tangible personal property, other than consumable supplies and other tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work**, that is required, necessary or proper for or incidental to the construction of the **Project** covered by this **Contract**. The sum paid under this **Contract** for such tangible personal property shall be in full payment and consideration for the sale of such tangible personal property.

62.2.1 The Contractor agrees to construct the **Project** and to perform all **Work**, labor and services rendered, necessary, proper or incidental thereto for the sum shown in the bid for the performance of such **Work**, labor, and services, and the sum so paid pursuant to this **Contract** for such **Work**, labor, and services, shall be in full consideration for the performance by the **Contractor** of all its duties and obligations under this **Contract** in connection with said **Work**, labor, and services.

62.3 20 NYCRR Section 541.3(d) provides that a **Contractor**'s purchases of tangible personal property that is either incorporated into real property owned by a governmental entity or purchased for and sold to a governmental entity are exempt from sales and use tax. The **City** shall not pay sales tax for any such tangible personal property that it purchases from the **Contractor** pursuant to the **Contract.** With respect to such tangible personal property, the **Contractor**, at the request of the **City**, shall furnish to the **City** such bills of sale and other instruments as may be required by the **City**, properly executed, acknowledged and delivered assuring to the **City** title to such tangible personal property, free of liens and/or encumbrances, and the **Contractor** shall mark or otherwise identify all such tangible personal property of the **City**.

62.4 Title to all tangible personal property to be sold by the **Contractor** to the **City** pursuant to the provisions of the **Contract** shall immediately vest in and become the sole property of the **City** upon delivery of such tangible personal property to the **Site**. Notwithstanding such transfer of title, the **Contractor** shall have the full and continuing responsibility to install such tangible personal property in accordance with the provisions of this **Contract**, protect it, maintain it in a proper condition and forthwith repair, replace and make good any damage thereto, theft or disappearance thereof, and furnish additional tangible personal property in place of any that may be lost, stolen or rendered unusable, without cost to the **City**, until such time as the **Work** covered by the **Contract** is fully accepted by the **City**. Such transfer of title shall in no way affect any of the tangible personal property is rejected as being defective or otherwise unsatisfactory, title to all such tangible personal property shall be deemed to have been transferred back to the **Contractor**.

62.5 The purchase by **Subcontractors** or **Materialmen** of tangible personal property to be sold hereunder shall be a purchase or procurement for resale to the **Contractor** (either directly or through other **Subcontractors**) and therefore not subject to the aforesaid sales and compensating use taxes, provided that the subcontracts and purchase agreements provide for the resale of such tangible personal property and that such subcontracts and purchase agreements are in a form similar to this **Contract** with respect to the separation of the sale of consumable supplies and tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work** from the **Work** and labor, services, and any other matters to be provided, and provided further that the subcontracts and



purchase agreements provide separate prices for tangible personal property and all other services and matters. Such separation shall actually be followed in practice, including the separation of payments for tangible personal property from the payments for other **Work** and labor and other things to be provided.

62.6 The **Contractor** and its **Subcontractors** and **Materialmen** shall furnish a **Contractor** Exempt Purchase Certificate to all persons, firms or corporations from which they purchase tangible personal property for the performance of the **Work** covered by this **Contract**.

62.7 In the event any of the provisions of this Article 62 shall be deemed to be in conflict with any other provisions of this **Contract** or create any ambiguity, then the provisions of this Article 62 shall control.

#### ARTICLE 63. INVESTIGATION(S) CLAUSE

63.1 The parties to this **Contract** agree to cooperate fully and faithfully with any investigation, audit or inquiry conducted by a United States, a State of New York (State) or a **City** governmental agency or authority that is empowered directly or by designation to compel the attendance of witnesses and to examine witnesses under oath, or conducted by the Inspector General of a governmental agency that is a party in interest to the transaction, submitted bid, submitted proposal, contract, lease, permit or license that is the subject of the investigation, audit or inquiry.

63.2 If any person who has been advised that his/her statement, and any information from such statement, will not be used against him/her in any subsequent criminal proceeding refuses to testify before a grand jury or other governmental agency or authority empowered directly or by designation to compel the attendance of witnesses and to examine witnesses under oath concerning the award of or performance under any transaction, agreement, lease, permit, contract, or license entered into with the **City**, the State, or any political subdivision or public authority thereof, or the Port Authority of New York and New Jersey, or any local development corporation within the **City**, or any public benefit corporation organized under the **Laws** of the State of New York, or;

63.3 If any person refuses to testify for a reason other than the assertion of his/her privilege against self incrimination in an investigation, audit or inquiry conducted by a **City** or State governmental agency or authority empowered directly or by designation to compel the attendance of witnesses and to take testimony under oath, or by the Inspector General of the governmental agency that is a party in interest in, and is seeking testimony concerning the award of, or performance under any transaction, agreement, lease, permit, contract, or license entered into with the **City**, the State, or any political subdivision thereof or any local development corporation within the **City**, then;

63.4 The **Commissioner** whose **Agency** is a party in interest to the transaction, submitted bid, submitted proposal, contract, lease, permit, or license shall convene a hearing, upon not less than five (5) **Days'** written notice to the parties involved to determine if any penalties should attach for the failure of a person to testify.

63.5 If any non-governmental party to the hearing requests an adjournment, the **Commissioner** who convened the hearing may, upon granting the adjournment, suspend any contract, lease, permit, or license, pending the final determination pursuant to Article 63.7 without the **City** incurring any penalty or damages for delay or otherwise.

63.6 The penalties which may attach after a final determination by the **Commissioner** may include but shall not exceed:

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63.6.1 The disqualification for a period not to exceed five (5) years from the date of an adverse determination for any person, or any entity of which such person was a member at the time the testimony was sought, from submitting bids for, or transacting business with, or entering into or obtaining any contract, lease, permit or license with or from the **City**; and/or

63.6.2 The cancellation or termination of any and all such existing City contracts, leases, permits or licenses that the refusal to testify concerns and that have not been assigned as permitted under this **Contract**, nor the proceeds of which pledged, to an unaffiliated and unrelated institutional lender for fair value prior to the issuance of the notice scheduling the hearing, without the City incurring any penalty or damages on account of such cancellation or termination; monies lawfully due for goods delivered, work done, rentals, or fees accrued prior to the cancellation or termination shall be paid by the City.

63.7 The **Commissioner** shall consider and address in reaching his/her determination and in assessing an appropriate penalty the factors in Articles 63.7.1 and 63.7.2. The **Commissioner** may also consider, if relevant and appropriate, the criteria established in Articles 63.7.3 and 63.7.4, in addition to any other information which may be relevant and appropriate:

63.7.1 The party's good faith endeavors or lack thereof to cooperate fully and faithfully with any governmental investigation or audit, including but not limited to the discipline, discharge, or disassociation of any person failing to testify, the production of accurate and complete books and records, and the forthcoming testimony of all other members, agents, assignees or fiduciaries whose testimony is sought.

63.7.2 The relationship of the person who refused to testify to any entity that is a party to the hearing, including but not limited to, whether the person whose testimony is sought has an ownership interest in the entity and/or the degree of authority and responsibility the person has within the entity.

63.7.3 The nexus of the testimony sought to the subject entity and its contracts, leases, permits or licenses with the **City**.

63.7.4 The effect a penalty may have on an unaffiliated and unrelated party or entity that has a significant interest in an entity subject to penalties under Article 63.6, provided that the party or entity has given actual notice to the **Commissioner** upon the acquisition of the interest, or at the hearing called for in Article 63.4, gives notice and proves that such interest was previously acquired. Under either circumstance the party or entity shall present evidence at the hearing demonstrating the potential adverse impact a penalty will have on such person or entity.

## 63.8 Definitions:

63.8.1 The term "license" or "permit" as used in this Article 63 shall be defined as a license, permit, franchise or concession not granted as a matter of right.

63.8.2 The term "person" as used in this Article 63 shall be defined as any natural person doing business alone or associated with another person or entity as a partner, director, officer, principal or employee.

63.8.3 The term "entity" as used in this Article 63 shall be defined as any firm, partnership, corporation, association, joint venture, or person that receives monies, benefits, licenses, leases, or permits from or through the **City** or otherwise transacts business with the **City**.

63.8.4 The term "member" as used in this Article 63 shall be defined as any person associated with another person or entity as a partner, director, officer, principal or employee.

63.9 In addition to and notwithstanding any other provision of this **Contract**, the **Commissioner** may in his/her sole discretion terminate this **Contract** upon not less than three (3) **Days'** written notice in the event the **Contractor** fails to promptly report in writing to the **Commissioner** of the Department of Investigations ("DOI") of the **City** any solicitation of money, goods, requests for future employment or other benefit or thing of value, by or on behalf of any employee of the **City** or other person, firm, corporation or entity for any purpose which may be related to the procurement or obtaining of this **Contract**.

# **ARTICLE 64. TERMINATION BY THE CITY**

64.1 In addition to termination pursuant to any other article of this **Contract**, the **Commissioner** may, at any time, terminate this **Contract** by written notice to the **Contractor**. In the event of termination, the **Contractor** shall, upon receipt of such notice, unless otherwise directed by the **Commissioner**:

64.1.1 Stop Work on the date specified in the notice;

64.1.2 Take such action as may be necessary for the protection and preservation of the **City's** materials and property;

64.1.3 Cancel all cancelable orders for material and equipment;

64.1.4 Assign to the **City** and deliver to the **Site** or another location designated by the **Commissioner**, any non-cancelable orders for material and equipment that is not capable of use except in the performance of this **Contract** and has been specifically fabricated for the sole purpose of this **Contract** and not incorporated in the **Work**;

64.1.5 Take no action which will increase the amounts payable by the City under this Contract.

64.2 In the event of termination by the City pursuant to this Article 64, payment to the Contractor shall be in accordance with Articles 64.2.1, 64.2.2 or 64.2.3, to the extent that each respective article applies.

64.2.1 Lump Sum Contracts or Items: On all lump sum **Contracts**, or on lump sum items in a **Contract**, the **City** will pay the **Contractor** the sum of the amounts described in Articles 64.2.1(a) and 64.2.1(b), less all payments previously made pursuant to this **Contract**. On lump sum **Contracts** only, the **City** will also pay the **Contractor** an additional sum as provided in Article 64.2.1(c).

64.2.1(a) For Work completed prior to the notice of termination, the Contractor shall be paid a pro rata portion of the lump sum bid amount, plus approved change orders, based upon the percent completion of the Work, as determined by the Commissioner. For the purpose of determining the pro rata portion of the lump sum bid amount to which the Contractor is entitled, the bid breakdown submitted in accordance with Article 41 shall be considered, but shall not be dispositive. The Commissioner's determination hereunder shall be final, binding, and conclusive.

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64.2.1(b) For non-cancelable material and equipment that is not capable of use except in the performance of this Contract and has been specifically fabricated for the sole purpose of this Contract, but not yet incorporated in the Work, the Contractor shall be paid the lesser of the following, less salvage value:

64.2.1(b)(i) The Direct Cost, as defined in Article 64.2.4; or

64.2.1(b)(ii) The fair and reasonable value, if less than Direct Cost, of such material and equipment, plus necessary and reasonable delivery costs.

64.2.1(b)(iii) In addition, the Contractor shall be paid five (5%) percent of the amount described in Article 64.2.1(b)(i) or Article 64.2.1(b)(ii), whichever applies.

64.2.1(c) Except as otherwise provided in Article 64.2.1(d), on all lump sum Contracts, the Contractor shall be paid the percentage indicated below applied to the difference between the total lump sum bid amount and the total of all payments made prior to the notice of termination plus all payments allowed pursuant to Articles 64.2.1(a) and 64.2.1(b):

> Five (5%) percent of the first five million (\$5,000,000) 64.2.1(c)(i)dollars; and

> 64.2.1(c)(ii) Three (3%) percent of any amount between five million (\$5,000,000) dollars and fifteen million (\$15,000,000) dollars; plus

> 64.2.1(c)(iii) One (1%) percent of any amount over fifteen million (\$15,000,000) dollars.

64.2.1(d) In the event the City terminates a lump sum Contract pursuant to this Article 64 within ninety (90) Days after registration of the Contract with the Comptroller, the Contractor shall be paid one (1%) percent of the difference between the lump sum bid amount and the total of all payments made pursuant to this Article 64.2.

64.2.2 Unit Price Contracts or Items: On all unit price Contracts, or on unit price items in a Contract, the City will pay the Contractor the sum of the amounts described in Articles 64.2.2(a) and 64.2.2(b), less all payments previously made pursuant to this Contract:

64.2.2(a) For all completed units, the unit price stated in the Contract, and

64.2.2(b) For units that have been ordered but are only partially completed, the Contractor will be paid:

> 64.2.2(b)(i) A pro rata portion of the unit price stated in the Contract based upon the percent completion of the unit and

> 64.2.2(b)(ii) For non-cancelable material and equipment, payment will be made pursuant to Article 64.2.1(b).

64.2.3 Time and Materials Contracts or Items Based on Time and Material Records: On all Contracts or items in a Contract where payment for the Work is based on time and

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material records, the **Contractor** shall be paid in accordance with Article 26, less all payments previously made pursuant to this **Contract**.

64.2.4 Direct Costs: Direct Costs as used in this Article 64.2 shall mean:

64.2.4(a) The actual purchase price of material and equipment, plus necessary and reasonable delivery costs,

64.2.4(b) The actual cost of labor involved in construction and installation at the Site, and

64.2.4(c) The actual cost of necessary bonds and insurance purchased pursuant to requirements of this **Contract** less any amounts that have been or should be refunded by the **Contractor's** sureties or insurance carriers.

64.2.4(d) Direct Costs shall not include overhead.

64.3 In no event shall any payments under this Article 64 exceed the Contract price for such items.

64.4 All payments pursuant to Article 64 shall be in the nature of liquidated damages and shall be accepted by the **Contractor** in full satisfaction of all claims against the **City**.

64.5 The City may deduct or set off against any sums due and payable pursuant to this Article 64, any deductions authorized by this Contract or by Law (including but not limited to liquidated damages) and any claims it may have against the Contractor. The City's exercise of the right to terminate the Contract pursuant to this Article 64 shall not impair or otherwise effect the City's right to assert any claims it may have against the Contractor in a plenary action.

64.6 Where the Work covered by the Contract has been substantially completed, as determined in writing by the Commissioner, termination of the Work shall be handled as an omission of Work pursuant to Articles 29 and 33, in which case a change order will be issued to reflect an appropriate reduction in the Contract sum, or if the amount is determined after final payment, such amount shall be paid by the Contractor.

## ARTICLE 65. CHOICE OF LAW, CONSENT TO JURISDICTION AND VENUE

65.1 This **Contract** shall be deemed to be executed in the **City** regardless of the domicile of the **Contractor**, and shall be governed by and construed in accordance with the **Laws** of the State of New York and the **Laws** of the United States, where applicable.

65.2 The parties agree that any and all claims asserted against the **City** arising under this **Contract** or related thereto shall be heard and determined in the courts of the State of New York ("New York State Courts") located in the **City** and County of New York. To effect this **Contract** and intent, the **Contractor** agrees:

65.2.1 If the **City** initiates any action against the **Contractor** in Federal court or in a New York State Court, service of process may be made on the **Contractor** either in person, wherever such **Contractor** may be found, or by registered mail addressed to the **Contractor** at its address as set forth in this **Contract**, or to such other address as the **Contractor** may provide to the **City** in writing; and

65.2.2 With respect to any action between the **City** and the **Contractor** in a New York State Court, the **Contractor** hereby expressly waives and relinquishes any rights it might otherwise have:

65.2.2(a) To move to dismiss on grounds of forum non conveniens;

65.2.2(b) To remove to Federal Court; and

65.2.2(c) To move for a change of venue to a New York State Court outside New York County.

65.2.3 With respect to any action brought by the **City** against the **Contractor** in a Federal Court located in the **City**, the **Contractor** expressly waives and relinquishes any right it might otherwise have to move to transfer the action to a Federal Court outside the **City**.

65.2.4 If the **Contractor** commences any action against the **City** in a court located other than in the **City** and County of New York, upon request of the **City**, the **Contractor** shall either consent to a transfer of the action to a New York State Court of competent jurisdiction located in the **City** and County of New York or, if the Court where the action is initially brought will not or cannot transfer the action, the **Contractor** shall consent to dismiss such action without prejudice and may thereafter reinstate the action in a New York State Court of competent jurisdiction in New York County.

65.3 If any provision(s) of this Article 65 is held unenforceable for any reason, each and all other provision(s) shall nevertheless remain in full force and effect.

#### **ARTICLE 66. PARTICIPATION IN AN INTERNATIONAL BOYCOTT**

66.1 The **Contractor** agrees that neither the **Contractor** nor any substantially owned affiliated company is participating or shall participate in an international boycott in violation of the provisions of the Federal Export Administration Act of 1979, as amended, or the regulations of the United States Department of Commerce (Commerce Department) promulgated thereunder.

66.2 Upon the final determination by the Commerce Department or any other agency of the United States as to, or conviction of the **Contractor** or a substantially-owned affiliated company thereof for participation in an international boycott in violation of the provisions of the Export Administration Act of 1979, as amended, or the regulations promulgated thereunder, the **Comptroller** may, at his/her option, render forfeit and void this **Contract**.

66.3 The **Contractor** shall comply in all respects, with the provisions of Section 6-114 of the Administrative Code and the rules and regulations issued by the **Comptroller** thereunder.

# ARTICLE 67. LOCALLY BASED ENTERPRISE PROGRAM

67.1 This **Contract** is subject to the requirements of Section 6-108.1 of the Administrative Code and regulations promulgated thereunder. No construction contract shall be awarded unless and until these requirements have been complied with in their entirety; however, compliance with this Article 67 is not required if the Agency sets Subcontractor Participation Goals for Minority- and Women-Owned Business Enterprises (M/WBEs). 67.2 Unless specifically waived by the **Commissioner** with the approval of the Division of Economic and Financial Opportunity of the **City** Department of Business Services, if any portion of the **Contract** is subcontracted, not less than ten (10%) percent of the total dollar amount of the **Contract** shall be awarded to locally based enterprises (LBEs); except that where less than ten (10%) percent of the total dollar amount of the **Contract** is subcontracted, such lesser percentage shall be so awarded.

67.3 The Contractor shall not require performance and payment bonds from LBE Subcontractors.

67.4 If the **Contractor** has indicated prior to award that no **Work** will be subcontracted, no **Work** shall be subcontracted without the prior approval of the **Commissioner**, which shall be granted only if the **Contractor** makes a good faith effort beginning at least six (6) weeks before the **Work** is to be performed to obtain LBE **Subcontractors** to perform the **Work**.

67.5 If the **Contractor** has not identified sufficient LBE **Subcontractors** prior to award, it shall sign a letter of compliance stating that it complies with Section 6-108.1 of the Administrative Code, recognizes that achieving the LBE requirement is a condition of its **Contract**, and shall submit documentation demonstrating its good faith efforts to obtain LBEs. After award, the **Contractor** shall begin to solicit LBE's to perform subcontracted **Work** at least six (6) weeks before the date such **Work** is to be performed and shall demonstrate that a good faith effort has been made to obtain LBEs on each subcontract until it meets the required percentage.

67.6 Failure of the **Contractor** to comply with the requirements of Section 6-108.1 of the Administrative Code and the regulations promulgated thereunder shall constitute a material breach of this **Contract**. Remedy for such breach may include the imposition of any or all of the following sanctions:

67.6.1 Reducing the **Contractor's** compensation by an amount equal to the dollar value of the percentage of the LBE subcontracting requirement not complied with;

67.6.2 Declaring the Contractor in default;

67.6.3 If the **Contractor** is an LBE, de-certifying and declaring the **Contractor** ineligible to participate in the LBE program for a period of up to three (3) years.

## ARTICLE 68. ANTITRUST

68.1 The **Contractor** hereby assigns, sells, and transfers to the **City** all right, title, and interest in and to any claims and causes of action arising under the antitrust **Laws** of New York State or of the United States relating to the particular goods or services purchased or procured by the **City** under this **Contract**.

# ARTICLE 69. MacBRIDE PRINCIPLES PROVISIONS

69.1 Notice To All Prospective Contractors:

69.1.1 Local Law No. 34 of 1991 became effective on September 10, 1991 and added Section 6-115.1 of the Administrative Code. The local Law provides for certain restrictions on City Contracts to express the opposition of the people of the City to employment discrimination practices in Northern Ireland to promote freedom of work-place opportunity.

69.1.2 Pursuant to Section 6-115.1, prospective **Contractors** for **Contracts** to provide goods or services involving an expenditure of an amount greater than ten thousand CITY OF NEW YORK DDC 75 STANDARD CONSTRUCTION CONTRACT December 2013 (\$10,000.) dollars, or for construction involving an amount greater than fifteen thousand (\$15,000.) dollars, are asked to sign a rider in which they covenant and represent, as a material condition of their **Contract**, that any business operations in Northern Ireland conducted by the **Contractor** and any individual or legal entity in which the **Contractor** holds a ten (10%) percent or greater ownership interest in the **Contractor** will be conducted in accordance with the MacBride Principles of nondiscrimination in employment.

69.1.3 Prospective **Contractors** are not required to agree to these conditions. However, in the case of **Contracts** let by competitive sealed bidding, whenever the lowest responsible bidder has not agreed to stipulate to the conditions set forth in this notice and another bidder who has agreed to stipulate to such conditions has submitted a bid within five (5%) percent of the lowest responsible bid for a **Contract** to supply goods, services or contraction of comparable quality, the **Agency** shall refer such bids to the Mayor, the Speaker or other officials, as appropriate, who may determine, in accordance with applicable Law, that it is in the best interest of the **City** that the **Contract** be awarded to other than the lowest responsible pursuant to Section 313(b)(2) of the **City** Charter.

69.1.4 In the case of **Contracts** let by other than competitive sealed bidding, if a prospective **Contractor** does not agree to these conditions, no **Agency**, elected official or the **City** Council shall award the **Contract** to that bidder unless the **Agency** seeking to use the goods, services or construction certifies in writing that the **Contract** is necessary for the **Agency** to perform its functions and there is no other responsible **Contractor** who will supply goods, services or construction of comparable quality at a comparable price.

69.2 In accordance with Section 6-115.1 of the Administrative Code, the **Contractor** stipulates that such **Contractor** and any individual or legal entity in which the **Contractor** holds a ten (10%) percent or greater ownership interest in the **Contractor** either:

69.2.1 Have no business operations in Northern Ireland, or

69.2.2 Shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Principles, and shall permit independent monitoring of their compliance with such principles.

69.3 For purposes of this Article, the following terms shall have the following meanings:

69.3.1 "MacBride Principles" shall mean those principles relating to nondiscrimination in employment and freedom of work-place opportunity which require employers doing business in Northern Ireland to:

69.3.1(a) increase the representation of individuals from under-represented religious groups in the workforce, including managerial, supervisory, administrative, clerical and technical jobs;

69.3.1(b) take steps to promote adequate security for the protection of employees from under-represented religious groups both at the work-place and while traveling to and from **Work**;

69.3.1(c) ban provocative religious or political emblems from the workplace;

69.3.1(d) publicly advertise all job openings and make special recruitment efforts to attract applicants from under-represented religious groups;

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69.3.1(e) establish layoff, recall, and termination procedures which do not in practice favor a particular religious group;

69.3.1(f) abolish all job reservations, apprenticeship restrictions and different employment criteria which discriminate on the basis of religion;

69.3.1(g) develop training programs that will prepare substantial numbers of current employees from under-represented religious groups for skilled jobs, including the expansion of existing programs and the creation of new programs to train, upgrade, and improve the skills of workers from under-represented religious groups;

69.3.1(h) establish procedures to asses, identify, and actively recruit employees from under-represented religious groups with potential for further advancement; and

69.3.1(i) appoint a senior management staff member to oversee affirmative action efforts and develop a timetable to ensure their full implementation.

69.4 The Contractor agrees that the covenants and representations in Article 69.2 are material conditions to this Contract. In the event the Agency receives information that the Contractor who made the stipulation required by this Article 69 is in violation thereof, the Agency shall review such information and give the Contractor an opportunity to respond. If the Agency finds that a violation has occurred, the Agency shall have the right to declare the Contractor in default in default and/or terminate this Contract for cause and procure supplies, services or Work from another source in the manner the Agency deems proper. In the event of such termination, the Contractor shall pay to the Agency, or the Agency in its sole discretion may withhold from any amounts otherwise payable to the Contractor, the difference between the Contract price for the uncompleted portion of this Contract and the cost to the Agency of completing performance of this Contract either itself or by engaging another Contractor or Contractors. In the case of a requirement Contract, the Contractor shall be liable for such difference in price for the entire amount of supplies required by the Agency for the uncompleted term of Contractor's Contract. In the case of a construction Contract, the Agency shall also have the right to hold the Contractor in partial or total default in accordance with the default provisions of this Contract, and/or may seek debarment or suspension of the Contractor. The rights and remedies of the Agency hereunder shall be in addition to, and not in lieu of, any rights and remedies the Agency has pursuant to this Contract or by operation of Law.

# ARTICLE 70. ELECTRONIC FILING/NYC DEVELOPMENT HUB

70.1 The **Contractor** shall electronically file all alteration type-2 and alteration type-3 applications via the New York City Development Hub Web site, except applications for the following types of minor alterations: enlargements, curb cuts, legalizations, fire alarms, builders pavement plans, and jobs filed on Landmark Preservation Commission calendared properties. All such filings must be professionally certified. Information about electronic filing via the New York City Development Hub is available on the **City** Department of Buildings Web site at www.nyc.gov/buildings.

## **ARTICLE 71. PROHIBITION OF TROPICAL HARDWOODS**

71.1 Tropical hardwoods, as defined in Section 165 of the New York State Finance Law (Finance Law), shall not be utilized in the performance of this **Contract** except as expressly permitted by Section 165 of the Finance Law.

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# ARTICLE 72. CONFLICTS OF INTEREST

72.1 Section 2604 of the City Charter and other related provisions of the City Charter, the Administrative Code, and the Penal Law are applicable under the terms of this Contract in relation to conflicts of interest and shall be extended to Subcontractors authorized to perform Work, labor and services pursuant to this Contract and further, it shall be the duty and responsibility of the Contractor to so inform its respective Subcontractors. Notice is hereby given that, under certain circumstances, penalties may be invoked against the donor as well as the recipient of any form of valuable gift.

#### ARTICLE 73. MERGER CLAUSE

73.1 The written **Contract** herein, contains all the terms and conditions agreed upon by the parties hereto, and no other agreement, oral or otherwise, regarding the subject matter of this **Contract** shall be deemed to exist or to bind any of the parties hereto, or to vary any of the terms contained herein.

#### **ARTICLE 74. STATEMENT OF WORK**

74.1 The **Contractor** shall furnish all labor and materials and perform all **Work** in strict accordance with the **Specifications** and **Addenda** thereto, numbered \_\_\_\_\_\_.

# **ARTICLE 75. COMPENSATION TO BE PAID TO CONTRACTOR**

75.1 The City will pay and the Contractor will accept in full consideration for the performance of the Contract, subject to additions and deductions as provided herein, the total sum of: Dollars, (\$\_\_\_\_\_\_), this said sum being the amount at which the Contract was awarded to the Contractor at a public letting thereof, based upon the Contractor's bid for the Contract.

#### **ARTICLE 76. ELECTRONIC FUNDS TRANSFER**

76.1 In accordance with Section 6-107.1 of the Administrative Code, the **Contractor** agrees to accept payments under this **Contract** from the **City** by electronic funds transfer (EFT). An EFT is any transfer of funds, other than a transaction originated by check, draft or similar paper instrument, which is initiated through an electronic terminal, telephonic instrument or computer or magnetic tape so as to order, instruct or authorize a financial institution to debit or credit an account. Prior to the first payment made under this **Contract**, the **Contractor** shall designate one financial institution or other authorized payment agent and shall complete the attached "EFT Vendor Payment Enrollment Form" in order to provide the Commissioner of the **City** Department of Finance with information necessary for the **Contractor** to receive electronic funds transfer payment agent agent agent. The crediting of the amount of a payment to the appropriate account on the books of a financial institution or other authorized payment agent designated by the **Contractor** shall constitute full satisfaction by the **City** for the amount of the payment under this **Contract**. The account information supplied by the **Contractor** to facilitate the electronic funds transfer shall remain confidential to the fullest extent provided by **Law**.

76.2 The Commissioner may waive the application of the requirements of this Article 76 to payments on contracts entered into pursuant to Section 315 of the City Charter. In addition, the Commissioner of the Department of Finance and the Comptroller may jointly issue standards pursuant to CITY OF NEW YORK 78 STANDARD CONSTRUCTION CONTRACT DDC December 2013



which the **Agency** may waive the requirements of this Article 76 for payment in the following circumstances: (i) for individuals or classes of individuals for whom compliance imposes a hardship; (ii) for classifications or types of checks; or (iii) in other circumstances as may be necessary in the interest of the **City**.

#### **ARTICLE 77. RECORDS RETENTION**

77.1 The **Contractor** agrees to retain all books, records, and other documents relevant to this **Contract** for six years after the final payment or termination of this **Contract**, whichever is later. **City**, state, and federal auditors and any other persons duly authorized by the **City** shall have full access to and the right to examine any such books, records, and other documents during the retention period.

# ARTICLE 78. PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT

#### NOTICE TO ALL PROSPECTIVE CONTRACTORS

#### ARTICLE I. <u>M/WBE PROGRAM</u>

Local Law No. 129 of 2005 added and Local Law 1 of 2013 amended Section 6-129 of the Administrative Code of the City of New York (hereinafter "Section 6-129"). Section 6-129 establishes the program for participation in City procurement ("M/WBE Program") by minority- owned business enterprises ("MBEs") and women-owned business enterprises ("WBEs"), certified in accordance with Section 1304 of the New York City Charter. As stated in Section 6-129, the intent of the program is to address the impact of discrimination on the City's procurement process, and to promote the public interest in avoiding fraud and favoritism in the procurement process, increasing competition for City business, and lowering contract costs. The contract provisions contained herein are pursuant to Section 6-129, and the rules of the Department of Small Business Services ("DSBS") promulgated thereunder.

If this Contract is subject to the M/WBE Program established by Section 6-129, the specific requirements of MBE and/or WBE participation for this Contract are set forth in Schedule B of the Contract (entitled the "M/WBE Utilization Plan"), and are detailed below. The Contractor must comply with all applicable MBE and WBE requirements for this Contract.

All provisions of Section 6-129 are hereby incorporated in the Contract by reference and all terms used herein that are not defined herein shall have the meanings given such terms in Section 6-129. Article I, Part A, below, sets forth provisions related to the participation goals for construction, standard and professional services contracts. Article I, Part B, below, sets forth miscellaneous provisions related to the M/WBE Program.

#### PART A

#### PARTICIPATION GOALS FOR CONSTRUCTION, STANDARD AND PROFESSIONAL SERVICES CONTRACTS OR TASK ORDERS

1. The **MBE and/or WBE Participation Goals** established for this Contract or Task Orders issued pursuant to this Contract, ("**Participation Goals**"), as applicable, are set forth on Schedule B, Part I to this Contract (see Page 1, line 1 Total Participation Goals) or will be set forth on Schedule B, Part I to Task Orders issued pursuant to this Contract, as applicable.

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The **Participation Goals** represent a percentage of the total dollar value of the Contract or Task Order, as applicable, that may be achieved by awarding subcontracts to firms certified with New York City Department of Small Business Services as MBEs and/or WBEs, and/or by crediting the participation of prime contractors and/or qualified joint ventures as provided in Section 3 below, unless the goals have been waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

2. If **Participation Goals** have been established for this Contract or Task Orders issued pursuant to this Contract, Contractor agrees or shall agree as a material term of the Contract that Contractor shall be subject to the **Participation Goals**, unless the goals are waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

3. If **Participation Goals** have been established for this Contract or Task Order issued pursuant to this Contract, a Contractor that is an MBE and/or WBE shall be permitted to count its own participation toward fulfillment of the relevant **Participation Goal**, provided that in accordance with Section 6-129 the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that the Contractor pays to direct subcontractors (as defined in Section 6-129(c)(13)), and provided further that a Contractor that is certified as both an MBE and a WBE may count its own participation either toward the goal for MBEs or the goal for WBEs, but not both.

A Contractor that is a qualified joint venture (as defined in Section 6-129(c)(30)) shall be permitted to count a percentage of its own participation toward fulfillment of the relevant **Participation Goal**. In accordance with Section 6-129, the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that Contractor pays to direct subcontractors, and then multiplying the remainder by the percentage to be applied to total profit to determine the amount to which an MBE or WBE is entitled pursuant to the joint venture agreement, provided that where a participant in a joint venture is certified as both an MBE and a WBE, such amount shall be counted either toward the goal for MBEs or the goal for WBEs, but not both.

4. A. If **Participation Goals** have been established for this Contract, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Utilization Plan, Part II (see Pages 2-4) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; and (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end. In the event that this M/WBE Utilization Plan indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals**, the bid or proposal, as applicable, shall be deemed non-responsive, unless Agency has granted the bidder or proposer, as applicable, a pre- award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.

B. (i) If this Contract is for a master services agreement or other requirements type contract that will result in the issuance of Task Orders that will be individually registered ("Master Services Agreement") and is subject to M/WBE **Participation Goals**, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Participation Requirements for Master Services Agreements That Will Require Individually Registered Task Orders, Part II (page 2) indicating the prospective contractor's certification and required affirmations to make all reasonable good faith efforts to meet participation goals established on each individual Task Order issued pursuant to this Contract, or if a partial waiver is obtained or such goals are modified by the Agency, to meet the modified **Participation Goals** by soliciting and obtaining the participation of certified MBE and/or WBE firms. In the event that the Schedule B indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals** that may be established on Task Orders issued pursuant to this Contract, the bid or proposal, as applicable, shall be deemed nonresponsive.

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CITY OF NEW YORK DDC (ii) **Participation Goals** on a Master Services Agreement will be established for individual Task Orders issued after the Master Services Agreement is awarded. If **Participation Goals** have been established on a Task Order, a contractor shall be required to submit a Schedule B – M/WBE Utilization Plan For Independently Registered Task Orders That Are Issued Pursuant to Master Services Agreements, Part II (see Pages 2-4) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; and (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end. The contractor must engage in good faith efforts to meet the **Participation Goals** as established for the Task Order unless Agency has granted the contractor a pre-award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.

THE BIDDER/PROPOSER MUST COMPLETE THE SCHEDULE B INCLUDED С. HEREIN (SCHEDULE B, PART II). A SCHEDULE B SUBMITTED BY THE **BIDDER/PROPOSER WHICH DOES NOT INCLUDE THE VENDOR CERTIFICATION AND REOUIRED AFFIRMATIONS (SEE SECTION V OF PART II) WILL BE DEEMED TO BE NON-RESPONSIVE, UNLESS A FULL WAIVER OF THE PARTICIPATION GOALS IS GRANTED** (SCHEDULE B, PART III). IN THE EVENT THAT THE CITY DETERMINES THAT THE BIDDER/PROPOSER HAS SUBMITTED A SCHEDULE B WHERE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS ARE COMPLETED BUT OTHER ASPECTS OF THE SCHEDULE B ARE NOT COMPLETE, OR CONTAIN A COPY OR COMPUTATION ERROR THAT IS AT ODDS WITH THE VENDOR CERTIFICATION AND AFFIRMATIONS, THE BIDDER/PROPOSER WILL BE NOTIFIED BY THE AGENCY AND WILL BE GIVEN FOUR (4) CALENDAR DAYS FROM RECEIPT OF NOTIFICATION TO CURE THE SPECIFIED DEFICIENCIES AND RETURN A COMPLETED SCHEDULE B TO THE AGENCY. FAILURE TO DO SO WILL RESULT IN A DETERMINATION THAT THE **BID/PROPOSAL IS NON-RESPONSIVE. RECEIPT OF NOTIFICATION IS DEFINED AS THE** DATE NOTICE IS E-MAILED OR FAXED (IF THE BIDDER/PROPOSER HAS PROVIDED AN E-MAIL ADDRESS OR FAX NUMBER), OR NO LATER THAN FIVE (5) CALENDAR DAYS FROM THE DATE OF MAILING OR UPON DELIVERY, IF DELIVERED.

5. Where an M/WBE Utilization Plan has been submitted, the Contractor shall, within 30 days of issuance by Agency of a notice to proceed, submit a list of proposed persons or entities to which it intends to award subcontracts within the subsequent 12 months. In the case of multiyear contracts, such list shall also be submitted every year thereafter. The Agency may also require the Contractor to report periodically about the contracts awarded by its direct subcontractors to indirect subcontractors (as defined in Section 6-129(c)(22)). PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor must identify all those to which it intends to award construction subcontracts for any portion of the Wicks trade work at the time of bid submission, regardless of what point in the life of the contract such subcontracts will occur. In identifying intended subcontractors in the bid submission, bidders may satisfy any Participation Goals established for this Contract by proposing one or more subcontractors that are MBEs and/or WBEs for any portion of the Wicks trade work. In the event that the Contractor's selection of a subcontractor is disapproved, the Contractor shall have a reasonable time to propose alternate subcontractors.

6. MBE and WBE firms must be certified by DSBS in order for the Contractor to credit such firms' participation toward the attainment of the **Participation Goals**. Such certification must occur prior to the

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firms' commencement of work. A list of MBE and WBE firms may be obtained from the DSBS website at www.nyc.gov/buycertified, by emailing DSBS at buyer@sbs.nyc.gov, by calling (212) 513-6356, or by visiting or writing DSBS at 110 William St., New York, New York, 10038, 7th floor. Eligible firms that have not yet been certified may contact DSBS in order to seek certification by visiting www.nyc.gov/getcertified, emailing MWBE@sbs.nyc.gov, or calling the DSBS certification helpline at (212) 513-6311. A firm that is certified as both an MBE and a WBE may be counted either toward the goal for MBEs or the goal for WBEs, but not both. No credit shall be given for participation by a graduate MBE or graduate WBE, as defined in Section 6-129(c)(20).

7. Where an **M/WBE** Utilization Plan has been submitted, the Contractor shall, with each voucher for payment, and/or periodically as Agency may require, submit statements, certified under penalty of perjury, which shall include, but not be limited to,: the total amount the Contractor paid to its direct subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount direct subcontractors paid to indirect subcontractor, and, where applicable, hired by any of the Contractor's direct subcontractors; and the dates and amounts paid to each MBE or WBE. The Contractor shall also submit, along with its voucher for final payment: the total amount it paid to subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount its direct subcontractors and a final list, certified under penalty of perjury, which shall include the name, address and contact information of each subcontractor that is an MBE or WBE, the work performed by, and the dates and amounts paid to each.

8. If payments made to, or work performed by, MBEs or WBEs are less than the amount specified in the Contractor's **M/WBE** Utilization Plan, Agency shall take appropriate action, in accordance with Section 6-129 and Article II below, unless the Contractor has obtained a modification of its **M/WBE** Utilization Plan in accordance with Section 6-129 and Part A, Section 11 below.

9. Where an **M/WBE** Utilization Plan has been submitted, and the Contractor requests a change order the value of which exceeds the greater of 10 percent of the Contract or Task Order, as applicable, or \$500,000, Agency shall review the scope of work for the Contract or Task Order, as applicable, and the scale and types of work involved in the change order, and determine whether the **Participation Goals** should be modified.

10. Pre-award waiver of the **Participation Goals**. (a) A bidder or proposer, or contractor with respect to a Task Order, may seek a pre-award full or partial waiver of the **Participation Goals** in accordance with Section 6-129, which requests that Agency change one or more **Participation Goals** on the grounds that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, or by demonstrating that it has legitimate business reasons for proposing a lower level of subcontracting in its M/WBE Utilization Plan.

(b) To apply for a full or partial waiver of the **Participation Goals**, a bidder, proposer, or contractor, as applicable, must complete Part III (Page 5) of Schedule B and submit such request no later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due, in writing to the Agency by email at <u>poped@ddc.nyc.gov</u> or via facsimile at (718) 391-1886. Bidders, proposers, or contractors, as applicable, who have submitted requests will receive an Agency response by no later than two (2) calendar days prior to the due date for bids, proposals, or Task Orders; provided, however, that if that date would fall on a weekend or holiday, an Agency response will be provided by close-of-business on the business day before such weekend or holiday date.

(c) If the Agency determines that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, it shall revise the solicitation and extend the deadline for bids and proposals, or revise the Task Order, as applicable.

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(d) Agency may grant a full or partial waiver of the **Participation Goals** to a bidder, proposer or contractor, as applicable, who demonstrates—before submission of the bid, proposal or Task Order, as applicable—that it has legitimate business reasons for proposing the level of subcontracting in its **M/WBE** Utilization Plan. In making its determination, Agency shall consider factors that shall include, but not be limited to, whether the bidder, proposer or contractor, as applicable, has the capacity and the bona fide intention to perform the Contract without any subcontracting, or to perform the Contract without awarding the amount of subcontracts represented by the **Participation Goals**. In making such determination, Agency may consider whether the **M/WBE** Utilization Plan is consistent with past subcontracting practices of the bidder, proposer or contractor, as applicable, whether the bidder, proposer, as applicable, has made efforts to form a joint venture with a certified firm, and whether the bidder, proposer, or contractor, as applicable, has made good faith efforts to identify other portions of the Contract that it intends to subcontract.

11. Modification of M/WBE Utilization Plan. (a) A Contractor may request a modification of its M/WBE Utilization Plan after award of this Contract. PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor may request a Modification of its M/WBE Utilization Plan as part of its bid submission. The Agency may grant a request for Modification of a Contractor's M/WBE Utilization Plan if it determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts to meet the Participation Goals. In making such determination, Agency shall consider evidence of the following efforts, as applicable, along with any other relevant factors:

- The Contractor advertised opportunities to participate in the Contract, where appropriate, in general circulation media, trade and professional association publications and small business media, and publications of minority and women's business organizations;
- (ii) The Contractor provided notice of specific opportunities to participate in the Contract, in a timely manner, to minority and women's business organizations;
- (iii) The Contractor sent written notices, by certified mail or facsimile, in a timely manner, to advise MBEs or WBEs that their interest in the Contract was solicited;
- (iv) The Contractor made efforts to identify portions of the work that could be substituted for portions originally designated for participation by MBEs and/or WBEs in the M/WBE Utilization Plan, and for which the Contractor claims an inability to retain MBEs or WBEs;
- (v) The Contractor held meetings with MBEs and/or WBEs prior to the date their bids or proposals were due, for the purpose of explaining in detail the scope and requirements of the work for which their bids or proposals were solicited;
- (vi) The Contractor made efforts to negotiate with MBEs and/or WBEs as relevant to perform specific subcontracts, or act as suppliers or service providers;
- (vii) Timely written requests for assistance made by the Contractor to Agency's M/WBE liaison officer and to DSBS;
- (viii) Description of how recommendations made by DSBS and Agency were acted upon and an explanation of why action upon such recommendations did not lead to the desired level of participation of MBEs and/or WBEs.

Agency's M/WBE officer shall provide written notice to the Contractor of the determination.

(b) The Agency may modify the **Participation Goals** when the scope of the work has been changed by the Agency in a manner that affects the scale and types of work that the Contractor indicated in its **M/WBE** Utilization Plan would be awarded to subcontractors.

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12. If this Contract is for an indefinite quantity of construction, standard or professional services or is a requirements type contract and the Contractor has submitted an **M/WBE** Utilization Plan and has committed to subcontract work to MBEs and/or WBEs in order to meet the **Participation Goals**, the Contractor will not be deemed in violation of the M/WBE Program requirements for this Contract with regard to any work which was intended to be subcontracted to an MBE and/or WBE to the extent that the Agency has determined that such work is not needed.

13. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, at least once annually during the term of the Contract or Task Order, as applicable, Agency shall review the Contractor's progress toward attainment of its M/WBE Utilization Plan, including but not limited to, by reviewing the percentage of work the Contractor has actually awarded to MBE and/or WBE subcontractors and the payments the Contractor made to such subcontractors.

14. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, Agency shall evaluate and assess the Contractor's performance in meeting those goals, and such evaluation and assessment shall become part of the Contractor's overall contract performance evaluation.

#### PART B: MISCELLANEOUS

1. The Contractor shall take notice that, if this solicitation requires the establishment of an **M/WBE** Utilization Plan, the resulting contract may be audited by DSBS to determine compliance with Section 6-129. See §6-129(e)(10). Furthermore, such resulting contract may also be examined by the City's Comptroller to assess compliance with the **M/WBE** Utilization Plan.

2. Pursuant to DSBS rules, construction contracts that include a requirement for an **M/WBE** Utilization Plan shall not be subject to the law governing Locally Based Enterprises set forth in Section 6-108.1 of the Administrative Code of the City of New York.

3. DSBS is available to assist contractors and potential contractors in determining the availability of MBEs and/or WBEs to participate as subcontractors, and in identifying opportunities that are appropriate for participation by MBEs and/or WBEs in contracts.

4. Prospective contractors are encouraged to enter into qualified joint venture agreements with MBEs and/or WBEs as defined by Section 6-129(c)(30).

5. By submitting a bid or proposal the Contractor hereby acknowledges its understanding of the M/WBE Program requirements set forth herein and the pertinent provisions of Section 6-129, and any rules promulgated thereunder, and if awarded this Contract, the Contractor hereby agrees to comply with the M/WBE Program requirements of this Contract and pertinent provisions of Section 6-129, and any rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract. The Contractor hereby agrees to make all reasonable, good faith efforts to solicit and obtain the participation of MBEs and/or WBEs to meet the required **Participation Goals**.

## ARTICLE II. ENFORCEMENT

1. If Agency determines that a bidder or proposer, as applicable, has, in relation to this procurement, violated Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, Agency may disqualify such bidder or proposer, as applicable, from competing for this Contract and the Agency may revoke such bidder's or proposer's prequalification status, if applicable.

CITY OF NEW YORK DDC 2. Whenever Agency believes that the Contractor or a subcontractor is not in compliance with Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to any **M/WBE** Utilization Plan, Agency shall send a written notice to the Contractor describing the alleged noncompliance and offering the Contractor an opportunity to be heard. Agency shall then conduct an investigation to determine whether such Contractor or subcontractor is in compliance.

3. In the event that the Contractor has been found to have violated Section 6-129, the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to, any **M/WBE** Utilization Plan, Agency may determine that one of the following actions should be taken:

- (a) entering into an agreement with the Contractor allowing the Contractor to cure the violation;
- (b) revoking the Contractor's pre-qualification to bid or make proposals for future contracts;
- (c) making a finding that the Contractor is in default of the Contract;
- (d) terminating the Contract;
- (e) declaring the Contractor to be in breach of Contract;
- (f) withholding payment or reimbursement;
- (g) determining not to renew the Contract;
- (h) assessing actual and consequential damages;
- (i) assessing liquidated damages or reducing fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the M/WBE Program, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;
- (j) exercising rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or
- (k) taking any other appropriate remedy.

4. If an M/WBE Utilization Plan has been submitted, and pursuant to this Article II, Section 3, the Contractor has been found to have failed to fulfill its **Participation Goals** contained in its M/WBE Utilization Plan or the **Participation Goals** as modified by Agency pursuant to Article I, Part A, Section 11, Agency may assess liquidated damages in the amount of ten percent (10%) of the difference between the dollar amount of work required to be awarded to MBE and/or WBE firms to meet the **Participation Goals** and the dollar amount the Contractor actually awarded and paid, and/or credited, to MBE and/or WBE firms. In view of the difficulty of accurately ascertaining the loss which the City will suffer by reason of Contractor's failure to meet the **Participation Goals**, the foregoing amount is hereby fixed and agreed as the liquidated damages that the City will suffer by reason of such failure, and not as a penalty. Agency may deduct and retain out of any monies which may become due under this Contract the amount of any such liquidated damages; and in case the amount which may become due under this Contract shall be less than the amount of liquidated damages suffered by the City, the Contractor shall be liable to pay the difference.

5. Whenever Agency has reason to believe that an MBE and/or WBE is not qualified for certification, or is participating in a contract in a manner that does not serve a commercially useful function (as defined in Section 6-129(c)(8)), or has violated any provision of Section 6-129, Agency shall notify the Commissioner of DSBS who shall determine whether the certification of such business enterprise should be revoked.

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6. Statements made in any instrument submitted to Agency pursuant to Section 6-129 shall be submitted under penalty of perjury and any false or misleading statement or omission shall be grounds for the application of any applicable criminal and/or civil penalties for perjury. The making of a false or fraudulent statement by an MBE and/or WBE in any instrument submitted pursuant to Section 6-129 shall, in addition, be grounds for revocation of its certification.

7. The Contractor's record in implementing its **M/WBE** Utilization Plan shall be a factor in the evaluation of its performance. Whenever Agency determines that a Contractor's compliance with an **M/WBE** Utilization Plan has been unsatisfactory, Agency shall, after consultation with the City Chief Procurement Officer, file an advice of caution form for inclusion in VENDEX as caution data.

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IN WITNESS WHEREOF, the Commissioner, on behalf of the City of New York, and the Contractor, have executed this agreement in quadruplicate, two parts of which are to remain with the Commissioner, another to be filed with the Comptroller of the City, and the fourth to be delivered to the Contractor. en de la service de la serv La service de en el antipatrica de la compañía de

and and the second s

THE CITY OF NEW YORK

last B١ Commissioner

CONTRACTOR: Inter Contracting (

By

(Member of Firm or Officer of Corporation)

res. Title:

(Where Contractor is a Corporation, add): Attest:

Secretary

(Seal)



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ACKNOWLEDGEMENT OF PRINCIPAL, IF A CORPORATION

State of New York County of Queens On this <u>16</u> day of <u>February</u>, <u>2016</u>, before me personally came <u>Michael</u> <u>Matino</u> to me known who, being by me duly sworn did depose and say that he resides at <u>Accer York</u>, -\_\_\_\_\_ that he is the <u>President</u> of the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of the directors of said corporation, and that he signed his name thereto by like order. VICTORIA AYO-VAUGHAN Notary Public, State of New York Registration #01AY5014042 Qualified in Queens County Hotary Public or Commissioner of Deeds Commission Expires July 15, 2021 ACKNOWLEDGEMENT OF PRINCIPAL, IF A PARTNERSHIP State of \_\_\_\_\_ County of \_\_\_\_\_ ss: On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_, before me personally appeared to me known, and known to me to be one of the members of the firm of \_\_\_\_\_described in and who executed the foregoing instrument; and he acknowledged to me that he executed the same as and for the act and deed of said firm. Notary Public or Commissioner of Deeds ACKNOWLEDGEMENT OF PRINCIPAL, IF AN INDIVIDUAL \_\_\_\_\_ County of \_\_\_\_\_\_ ss: State of On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_, before me personally appeared \_\_\_\_\_ to me known, and known to me to be the person described in and who executed the foregoing instrument; and acknowledged that he executed the same.

Notary Public or Commissioner of Deeds

CITY OF NEW YORK DDC

#### ACKNOWLEDGEMENT BY COMMISSIONER

State of New York County of Queens ss:

On this <u>23</u> day of <u>Feb.</u>, <u>246</u> before me personally came <u>Eric MacFarlane</u> to me known, and known to be the Deputy Commissioner of the Department of Design and Construction of The City of New York, the person described as such in and who as such executed the foregoing instrument and acknowledged to me that he executed the same as Deputy Commissioner for the purposes therein mentioned.

Notary Pub Commissioner of Deeds or

VICTORIA AYO-VAUGHAN Notary Public, State of New York Registration #01AY5014042 Qualified in Queens County Commission Expires July 15, VICTORIA AYO-VAUGHAN

#### STANDARD CONSTRUCTION CONTRACT December 2013

CITY OF NEW YORK DDC

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# AUTHORITY

#### MAYOR'S CERTIFICATE NO. CBX BUDGET DIRECTOR'S CERTIFICATE NO.

DATED DATED

# APPROPRIATION COMMISSIONER'S CERTIFICATE

In conformity with the provisions of Section 6-101 of the Administrative Code of the City of New York, it is hereby certified that the estimated cost of the work, materials and supplies required by the within Contract, amounting to

Fifteen Million Two Hundred Thirty 51x Thousand Nine Hundred Eighteen Dollars Holioo Dollars (\$ 15, 236, 918.40)

is chargeable to the fund of the Department of Design and Construction entitled Code

SEQ 200463

Department of Design and Construction

I hereby certify that the specifications contained herein comply with the terms and conditions of the BUDGET.

Commissioner

COMPTROLLER'S CERTIFICATE

The City of New York\_\_\_\_\_

Pursuant to the provisions of Section 6-101 of the Administrative Code of the City of New York, I hereby certify that there remains unapplied and unexpended a balance of the above mentioned fund applicable to this Contract sufficient to pay the estimated expense of executing the same viz:

\$

Comptroller

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MAYOR'S CERTIFICATE OR CERTIFICATE OF THE DIRECTOR OF THE BUDGET



CITY OF NEW YORK DDC

<u>Performance Bond #1 (Pages 92 to 95)</u>: Use if the total contract price is \$5 Million Or Less. Performance Bond #1 has been approved by the U.S. Small Business Administration ("SBA") for participation in its Bond Guarantee Program.

PERFORMANCE BOND #1 (Page 1)

### PERFORMANCE BOND #1

### KNOW ALL PERSONS BY THESE PRESENTS:, That we, \_\_\_\_\_\_

hereinafter referred to as the "Principal," and,

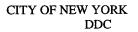
hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns in the penal sum of \_\_\_\_\_\_

(\$\_\_\_\_\_) Dollars, lawful money of the United States for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns, shall well and faithfully perform the said Contract and all modifications, amendments, additions and alterations thereto that may hereafter be made, according to its terms and its true intent and meaning, including repair and or replacement of defective work and guarantees of maintenance for the periods stated in the Contract, and shall fully indemnify and save harmless the City from all cost and damage which it may suffer by reason of the Principal's default of the Contract, and shall fully reimburse and repay the City for all outlay and expense which the City may incur in making



# <u>Performance Bond #1 (Pages 92 to 95)</u>: Use if the total contract price is \$5 Million Or Less. Performance Bond #1 has been approved by the U.S. Small Business Administration ("SBA") for participation in its Bond Guarantee Program.

## PERFORMANCE BOND #1 (Page 2)

good any such default and shall protect the said City of New York against, and pay any and all amounts, damages, cost and judgments which may or shall be recovered against said City or its officers or agents or which the said City of New York may be called upon to pay any person or corporation by reason of any damages arising or growing out of the Principal's default of the Contract, then this obligation shall be null and void, otherwise to remain in full force and effect.

The Surety (Sureties), for value received, hereby stipulates and agrees, upon written notice from the City that the City has determined that the Principal is in default of the Contract, to (1) pay the City the cost to complete the contract as determined by the City in excess of the balance of the Contract held by the City, plus any damages or costs to which the City is entitled, up to the full amount of the above penal sum, (2) fully perform and complete the Work to be performed under the Contract, pursuant to the terms, conditions, and covenants thereof, or (3) tender a completion Contractor that is acceptable to the City. The Surety (Sureties) further agrees, at its option, either to notify the City that it elects to pay the city the cost of completion plus any applicable damages and costs under option (1) above, or to commence and diligently perform the Work specified in the Contract, including physical site work, within twenty-five (25) business days after written notice thereof from the City and, if the Surety elects to fully perform and complete the Work, then to complete all Work within the time set forth in the Contract or such other time as agreed to between the City and Surety in accordance with the Contract. If the Surety elects to tender payment pursuant to (1) above, then the Surety shall tender such amount within fifteen (15) business days notification from the City of the cost of completion. The Surety and the City reserve all rights and defenses each may have against the other; provided, however, that the Surety expressly agrees that its reservation of rights shall not provide a basis for non-performance of its obligation to pay the City the cost of completion, to commence and complete all Work as provided herein, or to tender a completion contractor.

The Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties) and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or to the said Contract or the Work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or any moneys due or to become due thereunder; and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, and waivers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to subcontractors shall have the same effect as to said Surety (Sureties) as though done or omitted to be done by or in relation to said Principal. Notwithstanding the above, if the City makes payments to the Principal before the time required by the contract that in the aggregate exceed \$100,000 or 10% of the Contract price, whichever is less, and that have not become earned prior to the Principal being found to be in default, then all payments made to the Principal before the time required by the Contract shall be added to the remaining contract value available to be paid for the completion of the Contract as if such sums had not been paid to the Principal, but shall not provide a basis for non-performance of its obligation to pay the City the cost of completion, to commence and to complete all Work as provided herein, or to tender a completion contractor.

CITY OF NEW YORK DDC <u>Performance Bond #1 (Pages 92 to 95)</u>: Use if the total contract price is \$5 Million Or Less. Performance Bond #1 has been approved by the U.S. Small Business Administration ("SBA") for participation in its Bond Guarantee Program.

# PERFORMANCE BOND #1 (Page 3)

IN WITNESS WHEREOF, The Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this

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(Seal)				
				(L.S.)
			Principal	
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If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

CITY OF NEW YORK DDC Performance Bond #1 (Pages 92 to 95): Use if the total contract price is \$5 Million Or Less. Performance Bond #1 has been approved by the U.S. Small Business Administration ("SBA") for participation in its Bond Guarantee Program.

PERFORMANCE BOND #1 (Page 4)

# ACKNOWLEDGMENT OF PRINCIPAL IF A CORPORATION

state of	Count	y of	SS:
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Notary Public or Cor	mmissioner of Deeds.		
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and that he/she signe said partnership.	ed his/her name to the foregoin	g instrument as the duly aut	ionzed and binding act of
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subscribed to the winstrument, said ind	ithin instrument and acknowle lividual executed the instrument	dged to me that by his/her sig	gnature on the
Notary Public or Co	ommissioner of Deeds		

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

\* \* \* \* \* \* \* \*

Affix Acknowledgments and Justification of Sureties. CITY OF NEW YORK DDC

95

# Performance Bond #2 (Pages 96 to 99): Use if the total contract price is more than \$5 Million.



# PERFORMANCE BOND #2 (Page 1)

# **PERFORMANCE BOND #2**

KNOW ALL PERSONS BY THESE PRESENTS:, That we, \_\_\_\_\_

hereinafter referred to as the "Principal," and, \_\_\_\_\_

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns in the penal sum of \_\_\_\_\_

\_\_\_\_\_

) Dollars, lawful money of the United States for the payment of (\$ which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full:

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns, shall well and faithfully perform the said Contract and all modifications, amendments, additions and alterations thereto that may hereafter be made, according to its terms and its true intent and meaning, including repair and or replacement of defective work and guarantees of maintenance for the periods stated in the Contract, and shall fully indemnify and save harmless the City from all cost and damage which it may suffer by reason of the Principal's default of the Contract, and shall fully reimburse and repay the City for all outlay and expense which the City may incur in making

CITY OF NEW YORK DDC

STANDARD CONSTRUCTION CONTRACT December 2013

96

# Performance Bond #2 (Pages 96 to 99): Use if the total contract price is more than \$5 Million.

PERFORMANCE BOND #2 (Page 1)

# PERFORMANCE BOND #2

Bond No. 929624644

KNOW ALL PERSONS BY THESE PRESENTS:, That we, Inter Contracting Corp.

274 White Plains Road, Suite 6, Eastchester, NY 10709

hereinafter referred to as the "Principal," and, Western Surety Company

P.O. Box 5077, Sioux Falls, SD 57117-5077

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns in the penal sum of <u>Fifteen Million Two Hundred Thirty Six Thousand Nine Hundred Eighteen and 40/100</u>

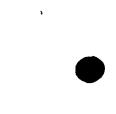
 $($_{15,236,918.40})$  Dollars, lawful money of the United States for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for FMS ID: SEQ200463. Replacement and Extensions of Storm and Sanitary Sewers and Appurtenances in College Place Between 8th Avenue and Outfall, etc. Including Water Main and Street Lighting Work. Borough of Queens, City of New York. E-PIN: 85015B0183001. DDC PIN: 8502014SE0060C

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns, shall well and faithfully perform the said Contract and all modifications, amendments, additions and alterations thereto that may hereafter be made, according to its terms and its true intent and meaning, including repair and or replacement of defective work and guarantees of maintenance for the periods stated in the Contract, and shall fully indemnify and save harmless the City from all cost and damage which it may suffer by reason of the Principal's default of the Contract, and shall fully reimburse and repay the City for all outlay and expense which the City may incur in making

CITY OF NEW YORK DDC



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# Performance Bond #2 (Pages 96 to 99): Use if the total contract price is more than \$5 Million.

# PERFORMANCE BOND #2 (Page 2)

good any such default and shall protect the said City of New York against, and pay any and all amounts, damages, cost and judgments which may or shall be recovered against said City or its officers or agents or which the said City of New York may be called upon to pay any person or corporation by reason of any damages arising or growing out of the Principal's default of the Contract, then this obligation shall be null and void, otherwise to remain in full force and effect.

The Surety (Sureties), for value received, hereby stipulates and agrees, upon written notice from the City that the City has determined that the Principal is in default of the Contract, to either (1) pay the full amount of the above penal sum in complete discharge and exoneration of this bond and of all the liabilities of the Surety relating to this bond, or (2) fully perform and complete the Work to be performed under the Contract, pursuant to the terms, conditions, and covenants thereof. The Surety (Sureties) further agrees, at its option, either to tender the penal sum or to commence and diligently perform the Work specified in the Contract, including physical site work, within twenty-five (25) business days after written notice thereof from the City and to complete all Work within the time set forth in the Contract or such other time as agreed to between the City and Surety in accordance with the Contract. The Surety and the City reserve all rights and defenses each may have against the other; provided, however, that the Surety expressly agrees that its reservation of rights shall not provide a basis for non-performance of its obligation to commence and to complete all Work as provided herein.

The Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties) and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or to the said Contract or the Work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any Work to be performed or any moneys due or to become due thereunder; and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done by or in relation to said Principal.

CITY OF NEW YORK DDC

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# Performance Bond #2 (Pages 96 to 99): Use if the total contract price is more than \$5 Million.

#### PERFORMANCE BOND #2 (Page 3)

11 1

IN WITNESS WHEREOF, The Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this

12th	day of	February	20
(Seal)		Inter Contra	acting Corp. (L.S.)
(Seal)		By: Weste	
(Scal)		By: George Ø./Bre	wster, Attorney-In-Fact Surety
(Seal)			Surety
(Seal)		By:	Surety
		Ву:	
Seal)			Surety
		Ву:	
Sond Premium Rate			
Sond Premium Cost			

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

98

CITY OF NEW YORK DDC



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# Performance Bond #2 (Pages 96 to 99): Use if the total contract price is more than \$5 Million.

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		ACKNOWLI	DGMENT OF P	RINCIPAL IF A		CE BOND #2 (Page 4) )N
State of	New	York	County of	Queen		ss:
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to me know atNei	N York	ng by me duly , NM	sworn did depose a	nd say that he resinat he/she is the	President	
for the corp				toregoing instrum	ent; that he/she si	gned his/her name to the
Class	m 4		irectors of said con Notary eds. Quali	Public, State of Net	y authorized and t Vork	olinging act thereor.
Notary Publ	lic or Comm	issioner of De	eds. Quali	fied in Queens Co.	Inty 18	
			DGMENT OF P			ъ
state of			County of			
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aid partners	ic or Commi	ssioner of Dee				-
			DGMENT OF P			-
			County of			
n this		day of		, 20	befo	ore me personally
me known	, who, being	, by me duly s	worn did depose an	d say that he/she r	resides	
			, and	that he/she is the	individual whose	name ic
ubscribed to strument, s	o the within i aid individu	instrument and al executed the	acknowledged to r	ne that by his/her	signature on the	
otary Publi	c or Commi	ssioner of Dee	ds .			
uly certified presentative f Attorney o	copy of Pov of Principal r other certif	ver of Attorney or Surety; (c) icate of authori	or other certificate a duly certified extr	of authority when act from By-Laws er or representative	bond is executed or resolutions of S	re parties; (b) appropriate by agent, officer or other urety under which Power d) certified copy of latest

\*\*\*\*\*\*\*

	Affix Acknowledgments and Justification of Sureties.		
CITY OF NEW YORK		STANDARD CONSTRUCTION CONTRACT	
DDC	99	December 2013	

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# ACKNOWLEDGMENT OF SURETY COMPANY

STATE OF	NEW YORK	r	
COUNTY OF	NASSAU	}	SS

	On this February 12 me known, who,	, 2016	****	hefr	na ma n	Oroon		Geo	rge O.	Brews	ster		
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abo	Western Surety Company	10/ehó 1/	······································	******	••••••	***	the corpo	ration	descri	bed in	which ex	(ecuted t	he

Notary Public

NY acknowledgement

DANA GRANICE Notary Public, State of New York No. 01GR6099128 Qualified in Suffolk County Commission Expires 9/22/2019

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# Western Surety Company

# POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

# Camille Maitland, Thomas Bean, Gerard S Macholz, Robert T Pearson, George O Brewster, Rita Sagistano, Susan Lupski, Lee Ferrucci, Colette R Chisholm, Mia Woo-Warren, Individually

of Uniondale, NY, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

# - In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 1st day of December, 2015.

State of South Dakota County of Minnehaha



WESTERN SURETY COMPANY

aul T. Bruflat, Vice President

On this 1st day of December, 2015, before me personally came Paul T. Bruflat, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires

June 23, 2021

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J. MOHR 🛛 🜡	
NOTARY PUBLIC	
SOUTH DAKOTA	
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J. Mohr, Notary Public

### CERTIFICATE

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this \_\_\_\_\_\_ day of \_\_\_\_\_\_ FEB 1 2 2016



WESTERN SURETY COMPANY

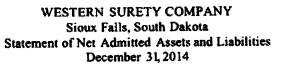
J. Relson, Assistant Secretary

### **Authorizing By-Law**

# ADOPTED BY THE SHAREHOLDERS OF WESTERN SURETY COMPANY

This Power of Attorney is made and executed pursuant to and by authority of the following By-Law duly adopted by the shareholders of the Company.

Section 7. All bonds, policies, undertakings, Powers of Attorney, or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, and Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or agents who shall have authority to issue bonds, policies, or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile.



### ASSETS

	S	1,824,951,414
Bonds	4	23,975,582
Stocks		
Cash, cash equivalents, and short-term investments		51,536,164
Investment income due and accrued		22, <b>267,675</b>
Premiums and considerations		41,696,249
Amounts recoverable from reinsurers		(11,221,508)
Federal and foreign income taxes recoverable		7,401,709
Net deferred tax asset		20,261,713
Receivable from parent, subsidiaries, and affiliates		17,380,167
Other assets		3,799
Total Assets	\$	1,998,252,964
LIABILITIES AND SURPLUS		
Losses	\$	302,997,505
Reinsurance payable on paid losses and loss adjustment expenses		(15,267,712)
Loss adjustment expense		64,134,995
Contingent and other commissions payable		6,099,306
Unearned premiums		259,011,845
		5,321,610
Advance premiums		J.J.L. 1. 91. 9

Payable to parent, subsidiaries and affiliates		
Other liabilities		
Total Liabilities		
Surplus Account:		
Capital paid up	\$ 4,000,000	
Gross paid in and contributed surplus	280,071,837	
Unassigned funds	1,083,954,277	
Surplus as regards policyholders		
Total Liabilities and Capital		

I, Peter Locy, Assistant Vice President of Western Surety Company hereby certify that the above is an accurate representation of the financial statement of the Company dated December 31, 2014, as filed with the various Insurance Departments and is a true and correct statement of the condition of Western Surety Company as of that date.

Western Surety Company

1,368,026,114

1,998,252,964

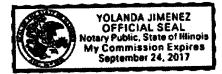
\$

<u>\$</u> \$ 107,843 7,821,458 630,226,850

Assistant Vice President

Subscribed and sworn to me this 19th day of March, 2015.

My commission expires:







#### PAYMENT BOND (Page 1)

PAYMENT BOND

Bond No. 929624644

KNOW ALL PERSONS BY THESE PRESENTS, That we, Inter Contracting Corp.

274 White Plains Road, Suite 6, Eastchester, NY 10709

hereinafter referred to as the "Principal", and \_\_\_\_\_ Western Surety Company

P.O. Box 5077, Sioux Falls, SD 57117-5077

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns, in the penal sum of

Fifteen Million Two Hundred Thirty Six Thousand Nine Hundred Eighteen and 40/100\_\_\_\_

 $(\$^{15,236,918,40})$  Dollars, lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for

FMS ID: SEQ200463. Replacement and Extensions of Storm and Sanitary Sewers and

Appurtenances in College Place Between 8th Avenue and Outfall, etc. Including Water Main and

Street Lighting Work. Borough of Queens, City of New York. E-PIN: 85015B0183001. DDC PIN:

8502014SE0060C

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns and other Subcontractors to whom Work under this Contract is sublet and his or their successors and assigns shall promptly pay or cause to be paid all lawful claims for

(a) Wages and compensation for labor performed and services rendered by all persons engaged in the prosecution of the Work under said Contract, and any amendment or extension thereof or addition thereto, whether such persons be agents servants or employees of the Principal or any such Subcontractor, including all persons so engaged who perform the work of laborers or mechanics at or in the vicinity of the site

CITY OF NEW YORK DDC

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STANDARD CONSTRUCTION CONTRACT December 2013

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# PAYMENT BOND (Page 2)

of the Project regardless of any contractual relationship between the Principal or such Subcontractors, or his or their successors or assigns, on the one hand and such laborers or mechanics on the other, but not including office employees not regularly stationed at the site of the project; and

Materials and supplies (whether incorporated in the permanent structure or not), as well as **(b)** teams, fuels, oils, implements or machinery furnished, used or consumed by said Principal or any subcontractor at or in the vicinity of the site of the Project in the prosecution of the Work under said Contract and any amendment or extension thereof or addition thereto; then this obligation shall be void, otherwise to remain in full force and effect.

This bond is subject to the following additional conditions, limitations and agreements:

The Principal and Surety (Sureties) agree that this bond shall be for the benefit of any (a) materialmen or laborer having a just claim, as well as the City itself.

All persons who have performed labor, rendered services or furnished materials and supplies, **(b)** as aforesaid, shall have a direct right of action against the Principal and his, its or their successors and assigns, and the Surety (Sureties) herein, or against either or both or any of them and their successors and assigns. Such persons may sue in their own name, and may prosecute the suit to judgment and execution without the necessity of joining with any other persons as party plaintiff.

The Principal and Surety (Sureties) agree that neither of them will hold the City liable for any (c) judgment for costs of otherwise, obtained by either or both of them against a laborer or materialman in a suit brought by either a laborer or materialman under this bond for moneys allegedly due for performing work or furnishing material.

(d) The Surety (Sureties) or its successors and assigns shall not be liable for any compensation recoverable by an employee or laborer under the Workmen's Compensation Law.

In no event shall the Surety (Sureties), or its successors or assigns, be liable for a greater sum (e) than the penalty of this bond or be subject to any suit, action or proceeding hereon that is instituted by any person, firm, or corporation hereunder later than two years after the complete performance of said Contract and final settlement thereof.

The Principal, for himself and his successors and assigns, and the Surety (Sureties), for itself and its successors and assigns, do hereby expressly waive any objection that might be interposed as to the right of the City to require a bond containing the foregoing provisions, and they do hereby further expressly waive any defense which they or either of them might interpose to an action brought hereon by any person, firm or corporation, including subcontractors, materialmen and third persons, for work, labor, services, supplies or material performed rendered, or furnished as aforesaid upon the ground that there is no law authorizing the City to require the foregoing provisions to be place in this bond.

And the Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties), and its bonds shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or of the said Contract or the work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any part thereof, or of any Work to be performed, or any moneys due to become due thereunder and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignces, Subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done or in relation to said Principal.

101

**CITY OF NEW YORK** DDC

STANDARD CONSTRUCTION CONTRACT December 2013

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### PAYMENT BOND (Page 3)

IN WITNESS WHEREOF, the Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this  $\frac{12\text{th}}{12\text{th}}$  day of <u>February</u>, <u>2016</u>.

(Seal) (Seal) (Seal) (Seal) (Seal) (Seal) (Seal) (Seal) (Seal) (Seal) Surety By: By: Surety By: Surety By: Surety By: Surety By: Surety By: Surety By: Surety By: By: Surety By: By: Surety By: By: By: By: By: By: By: By: By: By: By: By: By:	Inter Contracting Corp. (L.S.) Principal
(Seal)  (Seal)  (Seal)  (Seal)  (Seal)  (Seal)  Surety  By:  By:  Surety  By:  Surety  By:  Surety	htt
(Seal)  (Seal)	
(Seal) Surety By: By: Surety By: Surety Surety Surety	By: George O/Brewster, Attorney-In-Fact
(Seal)	Surety
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(Seal) Surety	Surety
Surety	Ву:
By	Surety
······································	By:

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

CITY OF NEW YORK DDC

#### STANDARD CONSTRUCTION CONTRACT December 2013

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PAYMENT BOND (Page 4)

	T OF PRINCIPAL, IF A (		
State of New Yor	K County of _Qu.	eens ss:	
On this 16th day of	Flower 2016 before m	seensss: me personally cameMichoo ose and say that he resides at the is theAresident	of Mutin
to me known, who, bein	g by me duly sworn did depc	ose and say that he resides at	er round
- New York	that	the is the <u>President</u>	of
		C IOTEQOING Instrument, that he	Imous the seal of 1
corporation, mat one of	uic scals allixed to said inst	trument is such seal; that it was is name thereto by like order.	so affixed by order of
and the build over	oradon, and that he signed it	as name increto by like order.	
	Slate	t ic or Commissioner of Deeds	Elizabeth Leichnam Notary Public, State of New Y
	Notary Publi	ic or Commissioner of Deeds	Qualified in Queens Count Commission Expires June 7, 20
ACKNOWLEDGMEN	T OF PRINCIPAL, IF A PA	ARTNERSHIP	
State of	County of		
On this day of	, before me	e personally appeared	
to the provint and vilowit		Ders of the firm of	
	described in and w	ho executed the foregoing for the act and deed of said firm	motorio and to
ACKNOWLEDGMENT	Notary Public OF PRINCIPAL, IF AN I	c or Commissioner of Deeds	
	County of		
	County of	\$S:	
On this day of	, before me	personally appeared	
o me known, and known	to me to be the nerson data		
and acknowledged that he	executed the same.	ribed in and who executed the	foregoing instrument;
and acknowledged that he	executed the same.	ribed in and who executed the	foregoing instrument;
Each executed bor arties; (b) appropriate dul e executed by agent, offic y-Laws or resolutions of gent, officer or représenta	Notary Public Notary Public ad should be accompanied by y certified copy of Power of er or other representative of Surety under which Power ative was issued, and (d) cer		foregoing instrument; nents of the respective authority where bond certified extract from
Each executed bor arties; (b) appropriate dul s executed by agent, offic sy-Laws or resolutions of gent, officer or représenta ssets and liabilities of Sur	Notary Public Notary Public ad should be accompanied by y certified copy of Power of er or other representative of Surety under which Power ative was issued, and (d) cert ety.	or Commissioner of Deeds y: (a) appropriate acknowledgn Attorney or other certificate of Principal or Surety; (c) a duly r of Attorney or other certificate thified copy of latest published	foregoing instrument; nents of the respective authority where bond certified extract from
Each executed bor arties; (b) appropriate dul executed by agent, offic y-Laws or resolutions of gent, officer or représenta ssets and liabilities of Sur	Notary Public Notary Public ad should be accompanied by y certified copy of Power of er or other representative of Surety under which Power tive was issued, and (d) cer ety.	or Commissioner of Deeds y: (a) appropriate acknowledgn Attorney or other certificate of Principal or Surety; (c) a duly r of Attorney or other certificate thified copy of latest published	foregoing instrument; nents of the respective authority where bond certified extract from
Each executed bor arties; (b) appropriate dul executed by agent, offic y-Laws or resolutions of gent, officer or reprèsents sets and liabilities of Sur	Notary Public Notary Public ad should be accompanied by y certified copy of Power of er or other representative of Surety under which Power ative was issued, and (d) cert ety.	or Commissioner of Deeds y: (a) appropriate acknowledgn Attorney or other certificate of Principal or Surety; (c) a duly r of Attorney or other certificate thified copy of latest published	foregoing instrument; ments of the respective authority where bond certified extract from ate of authority of its financial statement of

DDC

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December 2013

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# ACKNOWLEDGMENT OF SURETY COMPANY

# STATE OF ... NEW YORK COUNTY OF ...NASSAU } SS

	On this February 12, 2016 before me persona					George O. Brewster								
to	me known,	who,	being	by · me	duly	sworn,	did	depose	and	say;	that	he/she	resides	in
****	*******	********	••••	, Sta	ate of	New Yo	rk	ti	nat he	/she is	the A	ttomey-i	n-Fact of f	the
····	Western Surety Co	····		** *** * * * * * * *	••••	••••••	•••••	the corpo	ration	descri	bed ir	1 Which e:	xecuted tl	he

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Notary Public

NY acknowledgement

DANA GRANICE Notary Public, State of New York No. 01GR6099128 Qualified in Suffolk County Commission Expires 9/22/2019

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# Western Surety Company

# POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

# Camille Maitland, Thomas Bean, Gerard S Macholz, Robert T Pearson, George O Brewster, Rita Sagistano, Susan Lupski, Lee Ferrucci, Colette R Chisholm, Mia Woo-Warren, Individually

of Uniondale, NY, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

### - In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 1st day of December, 2015. WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be



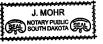
State of South Dakota County of Minnehaha

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On this 1st day of December, 2015, before me personally came Paul T. Bruflat, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires

June 23, 2021



**CERTIFICATE CERTIFICATE** 

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this \_\_\_\_\_\_ day of \_\_\_\_\_\_ FEB 1 2 2016 \_\_\_\_\_.



WESTERN SURETY COMPANY

Relation, Assistant Secretary

#### **Authorizing By-Law**

# ADOPTED BY THE SHAREHOLDERS OF WESTERN SURETY COMPANY

This Power of Attorney is made and executed pursuant to and by authority of the following By-Law duly adopted by the shareholders of the Company.

Section 7. All bonds, policies, undertakings, Powers of Attorney, or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, and Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or agents who shall have authority to issue bonds, policies, or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile.

### WESTERN SURETY COMPANY Sioux Falls, South Dakota Statement of Net Admitted Assets and Liabilities December 31, 2014

### ASSETS

Bonds	S	1,824,951,414
Stocks	-	23,975,582
Cash, cash equivalents, and short-term investments		51,536,164
Investment income due and accrued		22,267,675
Premiums and considerations		41,696,249
Amounts recoverable from reinsurers		(11,221,508)
Federal and foreign income taxes recoverable		7,401,709
Net deferred tax asset		20,261,713
Receivable from parent, subsidiaries, and affiliates		17,380,167
Other assets		3,799
Total Assets	\$	1,998,252,964

#### LIABILITIES AND SURPLUS

Losses		\$	302,997,505
Reinsurance payable on paid losses and loss adju	ustment expenses	-	(15,267,712)
Loss adjustment expense	-		64,134,995
Contingent and other commissions payable			6,099,306
Unearned premiums			259,011,845
Advance premiums			5,321,610
Payable to parent, subsidiaries and affiliates			
Other liabilities			107,843
Total Liabilities			7,821,458
Total Liadintics		\$	630,226,850
Surplus Account:			
Capital paid up	\$ 4,000,000		
Gross paid in and contributed surplus	280,071,837		
Unassigned funds	1.083,954,277		
Surplus as regards policyholders		\$	1,368,026,114
Total Liabilities and Capital		\$	1,998,252,964

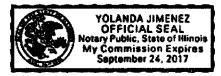
I, Peter Locy, Assistant Vice President of Western Surety Company hereby certify that the above is an accurate representation of the financial statement of the Company dated December 31, 2014, as filed with the various Insurance Departments and is a true and correct statement of the condition of Western Surety Company as of that date.

Western Surety Company

By Assistant Vice Pre sident

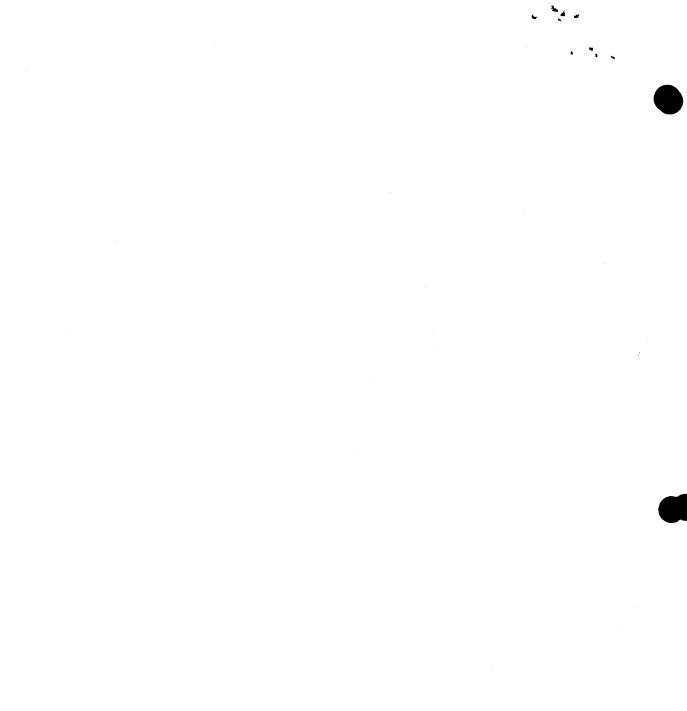
Subscribed and sworn to me this <u>19th</u> day of <u>March</u>, 2015.

My commission expires:









A	C							INTECON-02	
(			EK		ICATE OF LIABI	LITY INS	URANC	CE	2/11/2016
٦	HIS	CERTIFICATE IS ISSUED AS	A M/	TTE	R OF INFORMATION ONLY		S NO RIGHTS	S UPON THE CERTIFICAT	
E F	SEL(	OW. THIS CERTIFICATE OF IN RESENTATIVE OR PRODUCER, A	SUR ND 1	ANC HE (	R NEGATIVELY AMEND, EX E DOES NOT CONSTITUTE , CERTIFICATE HOLDER.	TEND OR AL A CONTRACT	TER THE C	OVERAGE AFFORDED E THE ISSUING INSURER(	BY THE POLICIE S), AUTHORIZE
. u	ne i	DRTANT: If the certificate hold terms and conditions of the polic ficate holder in lieu of such endor	V. CO	rtain	Dolicies may require an endo	rsement. A st	be endorsed atement on t	. If SUBROGATION IS WA his certificate does not co	VIVED, subject t IVED, subject to the second s
	DUC				CON	TACT E:			
Bro B00	We	& Brown of New York Inc. stchester Avenue, N-311					337-1833	FAX (A/C No): (	914) 337-1596
		ook, NY 10573			E-MA ADD	RESS: info@bl	binsny.com	 	
						IN	SURER(S) AFFO	RDING COVERAGE	NAIC #
					INSU		and the second	ity Company of CT	25682
INSU	JRED				INSU	RER B : Travelo	ers Indemn	ity Company of Americ	
		Inter Contracting Corp. Michael Mutino			INSU	RER C : State I	nsurance F	und	36102
		274 White Plains Road Suite	<del>)</del> 6		INSU	RER D : Endura	ance Ameri	can Insurance Compan	y 10641
		Eastchester, NY 10709	-		INSU	RER E :			
		24.050				RER F :			
		RAGES CER IS TO CERTIFY THAT THE POLICII			E NUMBER:			<b>REVISION NUMBER:</b>	
<u> </u>	XCL	ATED. NOTWITHSTANDING ANY F IFICATE MAY BE ISSUED OR MAY USIONS AND CONDITIONS OF SUCH TYPE OF INSURANCE	POLI		LIMITS SHOWN MAY HAVE BEEN		PAID CLAIMS		ALL THE TERMS
A	X	COMMERCIAL GENERAL LIABILITY	11130	1110			(MM/DD/YYYY)		2,000
		CLAIMS-MADE X OCCUR	X		CO6E399183	08/01/2015	08/01/2016	DAMAGE TO RENTED	
	X							PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$	
	X	XCU						PERSONAL & ADV INJURY \$	
	GE	N'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE \$	
								PRODUCTS - COMP/OP AGG \$	
		OTHER:						\$	
2								COMBINED SINGLE LIMIT (Ea accident)	1,000,
В	X	ANY AUTO			8106E399183	08/01/2015	08/01/2016	BODILY INJURY (Per person) \$	
		ALL OWNED SCHEDULED AUTOS NON-OWNED			BODILY INJURY (Pe		BODILY INJURY (Per accident) \$		
		HIRED AUTOS						PROPERTY DAMAGE \$	
								\$	
	<b>X</b>							EACH OCCURRENCE \$	3,000,
4	ļ	EXCESS LIAB CLAIMS-MADE			CUP6E399183	08/01/2015	08/01/2016	AGGREGATE \$	
	WO	DED X RETENTION \$ 10,000						\$	
	AND	RKERS COMPENSATION DEMPLOYERS' LIABILITY Y / N						X PER OTH- STATUTE ER	
)	ANY OFFI	ICER/MEMBER EXCLUDED?	N/A		14883359	04/01/2015	04/01/2016	E.L. EACH ACCIDENT \$	
	(Mar	ndatory in NH)						E.L. DISEASE - EA EMPLOYEE \$	
		s, describe under CRIPTION OF OPERATIONS below			<b>FVA46667</b>			E.L. DISEASE - POLICY LIMIT \$	
)	⊂XC	ess Umbrella			EXC10007532300	08/01/2015	08/01/2016		6,000,
ESC	RIPT	TION OF OPERATIONS / LOCATIONS / VEHICL	.ES (A	CORD	101, Additional Remarks Schedule, may	be attached if mor	e space is requir	ed)	
e: F	roje	ect ID: SEQ200463						r	

City of New York, including its officials and employees, and Consolidated Edison Company of New York are included as additional insured if required by a written contract.

CERTIFICATE HOLDER

City of New York, Dept of Design & Construction 30-30 Thomson Avenue Long Island City, NY 11101 CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE M.7. Rollin III

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**NYSIF** New York State Insurance Fund

246049

Workers' Compensation & Disability Benefits Specialists Since 1914 199 CHURCH STREET, NEW YORK, N.Y. 10007-1100 Phone: (888) 997-3863

# **CERTIFICATE OF WORKERS' COMPENSATION INSURANCE**

^ ^ ^ ^ ^ 204575508

LOVELL SAFETY MGMT CO., LLC 110 WILLIAM STREET 12TH FLR NEW YORK NY 10038

G1488 335-9

POLICYHOLDER	······································	CERTIFICATE HOLDER	
INTER CONTRACTING 274 WHITE PLAINS RO EASTCHESTER NY 10	DAD, STE 6	CITY OF NEW YORK, DEPT OF DESIGN & CONSTRUCTION 30-30 THOMSON AVENUE LONG ISLAND CITY NY 11101	
POLICY NUMBER	CERTIFICATE NUMBER	PERIOD COVERED BY THIS CERTIFICATE	DATE

THIS IS TO CERTIFY THAT THE POLICYHOLDER NAMED ABOVE IS INSURED WITH THE NEW YORK STAT	TE INSURANCE
FUND UNDER POLICY NO. 1488 335-9 UNTIL 04/01/2017, COVERING THE ENTIRE OBLIGATION OF THIS P	
FOR WORKERS' COMPENSATION UNDER THE NEW YORK WORKERS' COMPENSATION LAW WITH RES	SPECT TO ALL
OPERATIONS IN THE STATE OF NEW YORK, EXCEPT AS INDICATED BELOW.	

04/01/2015 TO 04/01/2017

IF SAID POLICY IS CANCELLED, OR CHANGED PRIOR TO 04/01/2017 IN SUCH MANNER AS TO AFFECT THIS CERTIFICATE, 30 DAYS WRITTEN NOTICE OF SUCH CANCELLATION WILL BE GIVEN TO THE CERTIFICATE HOLDER ABOVE. NOTICE BY REGULAR MAIL SO ADDRESSED SHALL BE SUFFICIENT COMPLIANCE WITH THIS PROVISION. THE NEW YORK STATE INSURANCE FUND DOES NOT ASSUME ANY LIABILITY IN THE EVENT OF FAILURE TO GIVE SUCH NOTICE.

THIS CERTIFICATE DOES NOT APPLY TO THOSE JOB SITES WHICH ARE COVERED BY OTHER INSURANCE AND ARE SPECIFICALLY EXCLUDED BY ENDORSEMENT.

THE POLICY INCLUDES A WAIVER OF SUBROGATION ENDORSEMENT UNDER WHICH NYSIF AGREES TO WAIVE ITS RIGHT OF SUBROGATION TO BRING AN ACTION AGAINST THE CERTIFICATE HOLDER TO RECOVER AMOUNTS WE PAID IN WORKERS' COMPENSATION AND/OR MEDICAL BENEFITS TO OR ON BEHALF OF AN EMPLOYEE OF OUR INSURED IN THE EVENT THAT, PRIOR TO THE DATE OF THE ACCIDENT, THE CERTIFICATE HOLDER HAS ENTERED INTO A WRITTEN CONTRACT WITH OUR INSURED THAT REQUIRES THAT SUCH RIGHT OF SUBROGATION BE WAIVED.

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS NOR INSURANCE COVERAGE UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICY.

NEW YORK STATE INSURANCE FUN

2/11/2016

Lylor.

DIRECTOR,INSURANCE FUND UNDERWRITING This certificate can be validated on our web site at https://www.nysif.com/cert/certval.asp or by calling (888) 875-5790 VALIDATION NUMBER: 978781035

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# **CITY OF NEW YORK CERTIFICATION BY INSURANCE BROKER OR AGENT**

The undersigned insurance broker or agent represents to the City of New York that the attached Certificate of Insurance is accurate in all material respects.

> Brown & Brown of NY, Inc. [Name of broker or agent (typewritten)]

800 Westchester Avenue, Suite N-311 Rye Brook, NY 10573 [Address of broker or agent (typewritten)]

ESavarese@bbinsny.com [Email address of broker or agent (typewritten)]

914-337-1833 [Phone number/Fax number of broker or agent (typewritten)] of authorized official, broker, or agent]

Account Manager [Name and title of authorized official, broker, or agent (typewritten)]

) ss.: County of ....Westchester .....)

Sworn to before me this 11th day of February 20 16

NOTARY PUBLIC FOR THE STATE OF A

BEREN NALLWEY NGLARY PUBLIC-STATE OF MENTYORY NO. 018848176239 Quelfied in Wesichoster Courty Ma Car a lation for an isomer tax 13, 2019

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# Performance Bond #2 (Pages 96 to 99): Use if the total contract price is more than \$5 Million.

# PERFORMANCE BOND #2 (Page 2)

good any such default and shall protect the said City of New York against, and pay any and all amounts, damages, cost and judgments which may or shall be recovered against said City or its officers or agents or which the said City of New York may be called upon to pay any person or corporation by reason of any damages arising or growing out of the Principal's default of the Contract, then this obligation shall be null and void, otherwise to remain in full force and effect.

The Surety (Sureties), for value received, hereby stipulates and agrees, upon written notice from the City that the City has determined that the Principal is in default of the Contract, to either (1) pay the full amount of the above penal sum in complete discharge and exoneration of this bond and of all the liabilities of the Surety relating to this bond, or (2) fully perform and complete the Work to be performed under the Contract, pursuant to the terms, conditions, and covenants thereof. The Surety (Sureties) further agrees, at its option, either to tender the penal sum or to commence and diligently perform the Work specified in the Contract, including physical site work, within twenty-five (25) business days after written notice thereof from the City and to complete all Work within the time set forth in the Contract or such other time as agreed to between the City and Surety in accordance with the Contract. The Surety and the City reserve all rights and defenses each may have against the other; provided, however, that the Surety expressly agrees that its reservation of rights shall not provide a basis for non-performance of its obligation to commence and to complete all Work as provided herein.

The Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties) and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or to the said Contract or the Work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any Work to be performed or any moneys due or to become due thereunder; and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done by or in relation to said Principal.



### STANDARD CONSTRUCTION CONTRACT December 2013

# Performance Bond #2 (Pages 96 to 99): Use if the total contract price is more than \$5 Million.

PERFORMANCE BOND #2 (Page 3)

**IN WITNESS WHEREOF,** The Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this

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(L.S.)
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<u>.</u>
y
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If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

CITY OF NEW YORK DDC

## Performance Bond #2 (Pages 96 to 99): Use if the total contract price is more than \$5 Million.

		PEI	RFORMANCE BOND #2 (Page 4)
	ACKNOWLEDGM	IENT OF PRINCIPAL IF A CO	RPORATION
State of	State of County of		\$S:
		, 20	before me personally
-	o, being by me duly sworn	did depose and say that he resides	
at		; that he/she is the	
of the corporation foregoing instrum	described in and which	executed the foregoing instrument; ors of said corporation as the duly au	that he/she signed his/her name to the
Notary Public or C	Commissioner of Deeds.		
	ACKNOWLEDG	MENT OF PRINCIPAL IF A PA	ARTNERSHIP
State of		_ County of	SS:
		, 20	before me personally
came to me known, who at	b, being by me duly sworn	did depose and say that he/she resid	des
		; that he/she is	partner of
	, a limit	ed/general partnership existing under	er the laws of the State of
and that he/she sig said partnership.	gned his/her name to the fo	ship described in and which execute oregoing instrument as the duly auth	d the foregoing instrument; orized and binding act of
Notary Public or 0	Commissioner of Deeds		
	ACKNOWLEDG	MENT OF PRINCIPAL IF AN	INDIVIDUAL
State of		_ County of	SS:
	day of	, 20	before me personally
		did depose and say that he/she resid	des
at		, and that he/she is the inc	lividual whose name is
	within instrument and ack ndividual executed the ins	nowledged to me that by his/her sig	nature on the

#### Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

\* \* \* \* \* \* \* \*

Affix Acknowledgments and Justification of Sureties.

CITY OF NEW YORK DDC

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STANDARD CONSTRUCTION CONTRACT December 2013

PAYMENT BOND (Page 1)

## PAYMENT BOND

KNOW ALL PERSONS BY THESE PRESENTS, That we,

hereinafter referred to as the "Principal", and \_\_\_\_\_

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns, in the penal sum of

(\$\_\_\_\_\_\_) Dollars, lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns and other Subcontractors to whom Work under this Contract is sublet and his or their successors and assigns shall promptly pay or cause to be paid all lawful claims for

(a) Wages and compensation for labor performed and services rendered by all persons engaged in the prosecution of the Work under said Contract, and any amendment or extension thereof or addition thereto, whether such persons be agents servants or employees of the Principal or any such Subcontractor, including all persons so engaged who perform the work of laborers or mechanics at or in the vicinity of the site

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CITY OF NEW YORK DDC

#### PAYMENT BOND (Page 2)

of the Project regardless of any contractual relationship between the Principal or such Subcontractors, or his or their successors or assigns, on the one hand and such laborers or mechanics on the other, but not including office employees not regularly stationed at the site of the project; and

(b) Materials and supplies (whether incorporated in the permanent structure or not), as well as teams, fuels, oils, implements or machinery furnished, used or consumed by said Principal or any subcontractor at or in the vicinity of the site of the Project in the prosecution of the Work under said Contract and any amendment or extension thereof or addition thereto; then this obligation shall be void, otherwise to remain in full force and effect.

This bond is subject to the following additional conditions, limitations and agreements:

(a) The Principal and Surety (Sureties) agree that this bond shall be for the benefit of any materialmen or laborer having a just claim, as well as the City itself.

(b) All persons who have performed labor, rendered services or furnished materials and supplies, as aforesaid, shall have a direct right of action against the Principal and his, its or their successors and assigns, and the Surety (Sureties) herein, or against either or both or any of them and their successors and assigns. Such persons may sue in their own name, and may prosecute the suit to judgment and execution without the necessity of joining with any other persons as party plaintiff.

(c) The Principal and Surety (Sureties) agree that neither of them will hold the City liable for any judgment for costs of otherwise, obtained by either or both of them against a laborer or materialman in a suit brought by either a laborer or materialman under this bond for moneys allegedly due for performing work or furnishing material.

(d) The Surety (Sureties) or its successors and assigns shall not be liable for any compensation recoverable by an employee or laborer under the Workmen's Compensation Law.

(e) In no event shall the Surety (Sureties), or its successors or assigns, be liable for a greater sum than the penalty of this bond or be subject to any suit, action or proceeding hereon that is instituted by any person, firm, or corporation hereunder later than two years after the complete performance of said Contract and final settlement thereof.

The Principal, for himself and his successors and assigns, and the Surety (Sureties), for itself and its successors and assigns, do hereby expressly waive any objection that might be interposed as to the right of the City to require a bond containing the foregoing provisions, and they do hereby further expressly waive any defense which they or either of them might interpose to an action brought hereon by any person, firm or corporation, including subcontractors, materialmen and third persons, for work, labor, services, supplies or material performed rendered, or furnished as aforesaid upon the ground that there is no law authorizing the City to require the foregoing provisions to be place in this bond.

And the Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties), and its bonds shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or of the said Contract or the work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any part thereof, or of any Work to be performed, or any moneys due to become due thereunder and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, Subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done or in relation to said Principal.

CITY OF NEW YORK DDC

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STANDARD CONSTRUCTION CONTRACT December 2013

PAYMENT BOND (Page 3)

IN WITNESS WHEREOF, the Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_.

(Seal)	(L.S.)
· · ·	Principal
	Ву:
(Seal)	Surety
	By:
(Seal)	
(Scal)	Surety
	Ву:
(Seal)	
· · ·	Surety
	Ву:
(Seal)	
	Surety
	Ву:

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

CITY OF NEW YORK DDC

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PAYMENT BOND (Page 4)

# ACKNOWLEDGMENT OF PRINCIPAL, IF A CORPORATION

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_, before me personally came \_\_\_\_\_\_ to me known, who, being by me duly sworn did depose and say that he resides at \_\_\_\_\_\_

that he is the \_\_\_\_\_\_ of the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of the directors of said corporation, and that he signed his name thereto by like order.

Notary Public or Commissioner of Deeds

### ACKNOWLEDGMENT OF PRINCIPAL, IF A PARTNERSHIP

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_, before me personally appeared \_\_\_\_\_\_ to me known, and known to me to be one of the members of the firm of \_\_\_\_\_\_

\_\_\_\_\_\_ described in and who executed the foregoing instrument; and he acknowledged to me that he executed the same as and for the act and deed of said firm.

Notary Public or Commissioner of Deeds

# ACKNOWLEDGMENT OF PRINCIPAL, IF AN INDIVIDUAL

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_, before me personally appeared \_\_\_\_\_\_ to me known, and known to me to be the person described in and who executed the foregoing instrument; and acknowledged that he executed the same.

Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

\* \* \* \* \* \* \* \* \* \* \* Affix Acknowledgments and Justification of Sureties.

CITY OF NEW YORK DDC

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STANDARD CONSTRUCTION CONTRACT December 2013 (NO TEXT ON THIS PAGE)

#### SCHEDULE OF WORKMEN, MECHANICS AND LABORERS

The following is a list of classifications for workmen, mechanics and laborers which are anticipated to be employed in the performance of work under this contract, followed by a schedule of the prevailing wage rates and supplemental benefits for all classifications as established by the Comptroller of the City of New York.

Request for interpretation or correction under Subsection A of Section No. 3 in the Information for Bidders includes all requests for clarification of the classification of trades to be employed in the performance of the work under this contract.

In the event that a trade not listed in the classification of trades required to be used at the time of the award of the contract is in fact employed during the performance of this contract, the Contractor shall be required to obtain from the agency the prevailing wage rates and supplementary benefits for the trades used and to complete the performance of this contract at the price at which the contract was awarded.

CLA	SS:	IFI	CAT	ION

CODE

15 15	42 001 42 002	Rigger Sign Erector
16 16 16	11 001 11 002 11 003	Gardener Tree Pruner Tree Remover
16 16	11 011 11 012 11 013 11 014 11 015 11 016 11 017	Asphalt Raker (Highway & Paving) Tamper (Highway & Paving) Curbsetter (Highway & Paving) Formsetter (Highway & Paving) Rammerman (Highway & Paving) Laborer (Highway & Paving) ALL OTHER TITLES (Highway & Paving)
16 16 16	23 001 23 002 23 003 23 004 23 005 23 006 23 007	Laborer Operating Engineer (Heavy Construction-Maintenance) Junior Operating Engineer Junior Operating Engineer Junior Operating Engineer Fireman (Heavy Construction) Oiler (Heavy Construction)
16 16 16 16 16	23 051 23 052 23 053 23 057 23 058 23 059	Surveyor-Heavy Construction Surveyor-Heavy Construction-Instrument Man Surveyor-Heavy Construction-Rodman Surveyor-Land Surveying-Party Chief Surveyor-Land Surveying-Instrument Man Surveyor-Land Surveying-Rodman

CODE	CLASSIFICATION
16 23 061 16 23 062 16 23 063	Operating Engineer-Road & Heavy Construction Operating Engineer-Paving Operating Engineer-Concrete
16 23 071 16 23 072 16 23 073 16 23 074	Teamster-Heavy Equipment Trailer Driver Teamster-Dump Truck Driver Teamster-Flat Bed Trailer Driver (3-Axle) Teamster-Redi-Mix (Sand and Gravel)
16 29 011	Drill Runners
17 11 001	Plumbers
17 21 001	Painter (Brush & Roller)
17 31 001	Electrician
17 41 001 17 41 002 17 41 004	Bricklayer Mason Tender Cement Mason
17 42 002	Metallic Lather
17 51 001 17 51 002	Carpenter Dock Builder
17 71 001	Cement & Concrete Worker
17 91 001	Structural Iron Worker
17 95 001	Barman
17 96 021	Derrickmen & Riggers
17 99 001 17 99 002 17 99 005	Ornamental Iron Worker Sandblaster Pointers (Waterproofer)
17 99 011	Welders

Each classification may include trainees depending upon project staffing schedules and as required by the terms of this contract.

#### LABOR LAW §220 PREVAILING WAGE SCHEDULE

Workers, Laborers and Mechanics employed on a public work project must receive not less than the prevailing rate of wage and benefits for the classification of work performed by each upon such public work. Pursuant to Labor Law §220 the Comptroller of the City of New York has promulgated this schedule solely for Workers, Laborers and Mechanics engaged by private contractors on New York City public work contracts.

This schedule is a compilation of separate determinations of the prevailing rate of wage and supplements made by the Comptroller for each trade classification listed herein pursuant to New York State Labor Law section 220 (5). The source of the wage and supplement rates, whether a collective bargaining agreement, survey data or other, is listed at the end of each classification.

Agency Chief Contracting Officers should contact the Bureau of Labor Law's Classification Unit with any questions concerning trade classifications, prevailing rates or prevailing practices with respect to procurement on New York City public works contracts. Contractors are advised to review the Comptroller's Prevailing Wage Schedule before bidding on public works contracts. Contractors with respect to public works concerning trade classifications, prevailing rates or prevailing practices with respect to public works contracts in the procurement stage must contact the contracting agency responsible for the procurement.

Any error as to compensation under the prevailing wage law or other information as to trade classification, made by the contracting agency in the contract documents or in any other communication, will not preclude a finding against the contractor of prevailing wage violation.

Any questions concerning trade classifications, prevailing rates or prevailing practices on New York City public works contracts that have already been awarded may be directed to the Bureau of Labor Law's Classification Unit by calling (212) 669-7974. All callers must have the agency name and contract registration number available when calling with questions on public works contracts. Please direct all other compliance issues to: Bureau of Labor Law, Attn: Wasyl Kinach, P.E., Office of the Comptroller, 1 Centre Street, Room 1122, New York, N.Y. 10007; Fax (212) 669-4002.

The appropriate schedule of prevailing wages and benefits must be posted at all public work sites pursuant to Labor Law §220 (3-a) (a).

This schedule is applicable to work performed during the effective period, unless otherwise noted. Changes to this schedule are published on our web site www.comptroller.nyc.gov. Contractors must pay the wages and supplements in effect when the worker, laborer, mechanic performs the work. Preliminary schedules for future one-year periods appear in the City Record on or about June 1 each succeeding year. Final schedules appear on or about July 1 in the City Record and on our web site www.comptroller.nyc.gov.

The Comptroller's Office has attempted to include all overtime, shift and night differential, Holiday, Saturday, Sunday or other premium time work. However, this schedule does not set forth every prevailing practice with respect to such rates with which employers must comply. All such practices are nevertheless part of the employer's prevailing wage obligation and contained in the collective bargaining agreements of the prevailing wage unions. These collective bargaining agreements are available for inspection by appointment. Requests for appointments may be made by calling (212) 669-4443, Monday through Friday between the hours of 9 a.m. and 5 p.m.

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Prevailing rates and ratios for apprentices are attached to this schedule in the Appendix. Pursuant to Labor Law §220 (3-e), only apprentices who are individually registered in a bona fide program to which the employer contractor is a participant, registered with the New York State Department of Labor, may be employed on a public work project. Workers who are not journey persons for not registered apprentices pursuant to Labor Law §220 (3-e) may not be substituted for apprentices and must be paid as journey persons.

Public Work construction, reconstruction, demolition, excavation, rehabilitation, repair, renovation, alteration, or improvement contracts awarded pursuant to a Project Labor Agreement "PLA") in accordance with Labor Law section 222 may have different labor standards for shift, premium and overtime work. Please refer to the PLA's pre-negotiated labor agreements for wage and benefit rates applicable to work performed outside of the regular workday. More information is available at the Mayor's Office of Contract Services (MOCS) web page at http://www.nyc.gov/html/mocs/html/vendors/pla.shtml.

All the provisions of Labor Law section 220 remain applicable to PLA work including, but not imited to, the enforcement of prevailing wage requirements by the Comptroller; however, we will enforce shift, premium, overtime and other non-standard rates as they appear in a project's pre-negotiated labor agreement.

In order to meet their obligation to provide prevailing supplemental benefits to each covered employee, employers must either:

- 1) Provide bona-fide benefits which cost the employer no less than the prevailing supplemental benefits rate; or
- 2) Supplement the employee's hourly wage by an amount no less than the prevailing supplemental benefits rate; or
- 3) Provide a combination of bona-fide benefits and wage supplements which cost the employer no less than the prevailing supplemental benefits rate in total.

Particular attention should be given to the supplemental benefits requirement. Although in most instances the payment or provision for supplemental benefits is for each hour worked, some classifications require the payment or provision of supplemental benefits for each hour paid. Consequently, some prevailing practices require benefits to be purchased at the overtime, shift differential, Holiday, Saturday, Sunday or other premium time rate.

## Benefits are paid for <u>EACH HOUR WORKED</u> unless otherwise noted.

Wasyl Kinach, P.E. Director of Classifications Bureau of Labor Law

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•

## ASBESTOS HANDLER

(Hazardous Material; Disturbs, removes, encapsulates, repairs, or encloses friable asbestos material)

## Asbestos Handler

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$36.00 Supplemental Benefit Rate per Hour: \$15.95

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Sunday. Time and one half the regular hourly rate after 40 hours in any work week.

## **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day Good Friday Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day Easter

# Paid Holidays

(Local #78 and Local #12A)

## BLASTER

#### <u>Blaster</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$46.89 Supplemental Benefit Rate per Hour: \$41.19

## **Blaster (Hydraulic)**

Effective Period: 7/1/2015 - 6/30/2016

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age Rate per Hour: \$47.71 Ipplemental Benefit Rate per Hour: \$41.19

## <u> laster - Trac Drill Hydraulic</u>

fective Period: 7/1/2015 - 6/30/2016 age Rate per Hour: \$42.25 upplemental Benefit Rate per Hour: \$41.19

## laster - Wagon: Air Trac: Quarry Bar: Drillrunners

fective Period: 7/1/2015 - 6/30/2016 age Rate per Hour: \$41.46 upplemental Benefit Rate per Hour: \$41.19

## laster - Operators of Jack Hammers

hippers: Spaders: Concrete Breakers: and all other pneumatic tools of like usage: Walk Behind Self Propelled ydraulic Asphalt and Concrete Breakers: Hydro (Water) Demolition

ffective Period: 7/1/2015 - 6/30/2016 /age Rate per Hour: \$40.42 upplemental Benefit Rate per Hour: \$41.19

### Blaster - Powder Carriers

ffective Period: 7/1/2015 - 6/30/2016 Vage Rate per Hour: \$36.53 Supplemental Benefit Rate per Hour: \$41.19

## <u> Blaster - Hydraulic Trac Drill Chuck Tender</u>

ffective Period: 7/1/2015 - 6/30/2016 Vage Rate per Hour: \$35.25 Supplemental Benefit Rate per Hour: \$41.19

## Blaster - Chuck Tender & Nipper

Effective Period: 7/1/2015 - 6/30/2016 Vage Rate per Hour: \$34.50 Supplemental Benefit Rate per Hour: \$41.19

## <u> Blaster - Magazine Keepers: (Watch Person)</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$20.68 Supplemental Benefit Rate per Hour: \$41.19

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## **Overtime Description**

Magazine Keepers:

Time and one half for work performed in excess of forty (40) hours per week and for work performed on Saturdays, Sundays and Holidays.

All Other Employees:

Time and one-half for the first eight hours of work on Saturday and for Make-up Time. Double time for all hours over eight Monday through Friday (except make-up hours) and for all hours worked on Sunday and Holidays.

### **Overtime**

Double time the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Christmas Day



Paid Holidays

## **Shift Rates**

A single shift shall be 8 hours plus an unpaid lunch, starting at 8:00 A.M (or between 6:00 A.M. and 10:00 A.M. on weekdays). When two (2) shifts are employed, each shift shall be 8 hours plus  $\frac{1}{2}$  hour unpaid lunch. When three (3) shifts are employed, each shift will work seven and one-half (7  $\frac{1}{2}$ ) hours, but will be paid for eight (8) hours, since only one-half ( $\frac{1}{2}$ ) hour is allowed for mealtime. When two (2) or more shifts are employed, single time will be paid for each shift. The first 8 hours of any and all work performed Monday through Friday inclusive of any off-shift shall be at the single time rate.

(Local #29)

## BOILERMAKER

## **Boilermaker**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$51.56 Supplemental Benefit Rate per Hour: \$41.69 Supplemental Note: For time and one half overtime - \$61.94 For double overtime - \$82.18

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## **Overtime Description**

or Repair and Maintenance work: ime and one half the regular rate after an 8 hour day.

- ime and one half the regular rate for Saturday.
- ouble time the regular rate for Sunday.
- or New Construction work:
- ouble time the regular rate after an 8 hour day.
- ouble time the regular time rate for Saturday.
- ouble time the regular rate for Sunday.

## **Overtime Holidays**

ouble time the regular rate for work on the following holiday(s).

ew Year's Day resident's Day lemorial Day ndependence Day columbus Day lection Day feteran's Day hanksgiving Day

uadruple time the regular rate for work on the following holiday(s). abor Day

## Paid Holidays

Good Friday Day after Thanksgiving Day before Christmas Day before New Year's Day

## Shift Rates

When shifts are required, the first shift shall work eight (8) hours at the regular straight-time hourly rate. The econd shift shall work seven and one-half (7 ½) hours and receive eight hours at the regular straight time hourly ate plus twenty-five cents (\$0.25) per hour. The third shift shall work seven (7) hours and receive eight hours at he regular straight time hourly rate plus fifty cents (\$0.50) per hour. A thirty (30) minute lunch period shall not be considered as time worked. Work in excess of the above shall be paid overtime at the appropriate new construction work or repair work overtime wage and supplemental benefit hourly rate.

Local #5)

## BRICKLAYER

## <u> Bricklayer</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: **\$48.91** 



Supplemental Benefit Rate per Hour: \$28.03

### **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

## Paid Holidays

None

### Shift Rates

Overtime rates to be paid outside the regular scheduled work day.

(Bricklayer District Council)

## **CARPENTER - BUILDING COMMERCIAL**

## **Building Commercial**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$50.50 Supplemental Benefit Rate per Hour: \$45.88

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Washington's Birthday Memorial Day

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ndependence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Day after Thanksgiving Christmas Day

### Paid Holidays

None

#### Shift Rates

The second shift will receive one hour at the double time rate of pay for the last hour of the shift; eight hours pay for seven hours of work, nine hours pay for eight hours of work. There must be a first shift in order to work a second shift.

(Carpenters District Council)

## CARPENTER - HEAVY CONSTRUCTION WORK (Construction of Engineering Structures and Building Foundations)

### **Heavy Construction Work**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$50.50 Supplemental Benefit Rate per Hour: \$46.65

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Christmas Day



## Shift Rates

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate.

(Carpenters District Council)

## **CARPENTER - SIDEWALK SHED, SCAFFOLD AND HOIST**

## **Carpenter - Hod Hoist**

(Assisted by Mason Tender)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$49.60 Supplemental Benefit Rate per Hour: \$43.00



### Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Day after Thanksgiving Christmas Day

Paid Holidays

None

#### Shift Rates

The second shift will receive one hour at the double time rate of pay for the last hour of the shift; eight hours pay for seven hours of work, nine hours pay for eight hours of work. There must be a first shift in order to work a second shift.

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(Carpenters District Council)

## **CEMENT & CONCRETE WORKER**

#### Cement & Concrete Worker

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$42.48 Supplemental Benefit Rate per Hour: \$26.57 Supplemental Note: \$29.32 on Saturdays; \$32.07 on Sundays & Holidays

#### **Overtime Description**

Time and one half the regular rate after 7 hour day (time and one half the regular rate after an 8 hour day when working with Dockbuilders on pile cap forms and for work below street level to the top of the foundation wall, not to exceed 2 feet or 3 feet above the sidewalk-brick shelf, when working on the foundation and structure.)

#### **Overtime**

Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

1/2 day before Christmas Day 1/2 day before New Year's Day

#### Shift Rates

On shift work extending over a twenty-four hour period, all shifts are paid at straight time.

(Cement Concrete Workers District Council)

# CEMENT MASON

## **Cement Mason**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$38.88 Supplemental Benefit Rate per Hour: \$39.80 Supplemental Note: For time and one half overtime - \$49.05; For double overtime - \$58.30

## **Overtime Description**

Time and one-half the regular rate after an 8 hour day, double time the regular rate after 10 hours. Time and onehalf the regular rate on Saturday, double time the regular rate after 10 hours. Double time the regular rate on Sunday.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Christmas Day

## **Paid Holidays**

Any worker who reports to work on Christmas Eve or New Year's Eve pursuant to his employer's instruction shall be entitled to three (3) hours afternoon pay without working.

#### Shift Rates

For an off shift day, (work at times other than the regular 7:00 A.M. to 3:30 P.M. work day) a cement mason shall be paid at the regular hourly rate plus a 25% per hour differential. Four Days a week at Ten (10)hour day.

(Local #780)

## **CORE DRILLER**

## **Core Driller**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$36.82 Supplemental Benefit Rate per Hour: \$22.69

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## Core Driller Helper

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$29.44 Supplemental Benefit Rate per Hour: \$22.69

## Core Driller Helper(Third year in the industry)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$26.50 Supplemental Benefit Rate per Hour: \$22.69

## Core Driller Helper (Second year in the industry)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$23.55 Supplemental Benefit Rate per Hour: \$22.69

## Core Driller Helper (First year in the industry)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$20.61 Supplemental Benefit Rate per Hour: \$22.69

## **Overtime Description**

Time and one half the regular rate for work on a holiday plus Holiday pay when worked.

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Time and one half the regular rate for work on the following holiday(s).

## **Paid Holidays**

New Year's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

#### Shift Rates

The shift day shall be the continuous eight and one-half ( $8\frac{1}{2}$ ) hours from 6:00 A.M. to 2:30 P.M. and from 2:30 P.M. to 11:00 P.M., including one-half ( $\frac{1}{2}$ ) hour of employees regular rate of pay for lunch. When two (2) or more shifts are employed, single time shall be paid for each shift, but those employees employed on a shift other than from 8:00 A.M. to 5:00 P.M. shall, in addition, receive seventy-five cents (\$0.75) per hour differential for each hour worked. When three (3) shifts are needed, each shift shall work seven and one-half ( $7\frac{1}{2}$ ) hours paid for eight (8) hours of labor and be permitted one-half ( $\frac{1}{2}$ ) hour for mealtime.

**Carpenters District Council)** 

## DERRICKPERSON AND RIGGER

## Derrick Person & Rigger

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$44.84 Supplemental Benefit Rate per Hour: \$49.28

Supplemental Note: The above supplemental rate applies for work performed in Manhattan, Bronx, Brooklyn and Queens. \$50.70 - For work performed in Staten Island.

## **Overtime Description**

The first two hours of overtime on weekdays and the first seven hours of work on Saturdays are paid at time and one half for wages and supplemental benefits. All additional overtimes is paid at double time for wages and supplemental benefits. Deduct \$1.42 from the Staten Island hourly benefits rate before computing overtime.

## **Overtime**

Double time the regular rate for Sunday.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Washington's Birthday Good Friday Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

## Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

(Local #197)

## DIVER

## **Diver (Marine)**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$63.82

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Supplemental Benefit Rate per Hour: \$46.65

## Diver Tender (Marine)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$45.47 Supplemental Benefit Rate per Hour: \$46.65

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Christmas Day

Paid Holidays

None

## Shift Rates

When three shifts are utilized each shift shall work seven and one half-hours (7 1/2 hours) and paid for 8 hours, allowing for one half hour for lunch.

(Carpenters District Council)

## **DOCKBUILDER - PILE DRIVER**

## **Dockbuilder - Pile Driver**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$50.50 Supplemental Benefit Rate per Hour: \$46.65

#### **Overtime**

Time and one half the regular rate after an 8 hour day.

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Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Dav **President's Day Memorial Day Independence Day** Labor Day **Columbus Day Presidential Election Day** Thanksgiving Day Christmas Day

### Paid Holidays

None

### **Shift Rates**

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate.

(Carpenters District Council)

## **DRIVER: TRUCK (TEAMSTER)**

## **Driver - Dump Truck**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$39.53 Supplemental Benefit Rate per Hour: \$41.59 Supplemental Note: Over 40 hours worked: time and one half rate \$16.94, double time rate \$22.58

## **Driver - Tractor Trailer**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$39.50 Supplemental Benefit Rate per Hour: \$43.35 Supplemental Note: For over 40 hours worked: at time and one half - \$16.65; at double time - \$22.20

## Driver - Euclid & Turnapull Operator

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$40.06

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Supplemental Benefit Rate per Hour: \$43.35

Supplemental Note: Over 40 hours worked: time and one half rate \$16.65 double time rate \$22.20

#### **Overtime Description**

or Paid Holidays: Holiday pay for all holidays shall be prorated based two hours per day for each day worked in he holiday week, not to exceed 8 hours of holiday pay. For Thanksgiving week, the prorated share shall be 5 1/3 hours of holiday pay for each day worked in Thanksgiving week.

#### Overtime

ime and one half the regular rate after an 8 hour day. ime and one half the regular rate for Saturday. Jouble time the regular rate for Sunday.

#### **Overtime Holidays**

ouble time the regular rate for work on the following holiday(s).

- lew Year's Day President's Day Iemorial Day Independence Day abor Day Columbus Day Columbus Day Ceteran's Day hanksgiving Day Pay after Thanksgiving
- hristmas Dav

#### aid Holidays

ew Year's Day resident's Day demorial Day dependence Day abor Day olumbus Day eteran's Day hanksgiving Day ay after Thanksgiving hristmas Day

#### hift Rates

ff single shift work commencing between 6:00 P.M. and 5:00 A.M. shall work eight and one half hours allowing r one half hour for lunch and receive 9 hours pay for 8 hours of work.

## river Redi-Mix (Sand & Gravel)

fective Period: 7/1/2015 - 6/30/2016 age Rate per Hour: \$36.30 upplemental Benefit Rate per Hour: \$40.02 upplemental Note: Over 40 hours worked: time and one half rate \$13.90, double time rate \$18.53

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## **Overtime Description**

For Paid Holidays: Employees working two (2) days in the calendar week in which the holiday falls are to paid for these holidays, provided they shape each remaining workday during that calendar week.

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). President's Day Columbus Day Veteran's Day

Triple time the regular rate for work on the following holiday(s). New Year's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

#### **Paid Holidays**



New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Election Day Thanksgiving Day Christmas Day

(Local #282)

## ELECTRICIAN

(Including all low voltage cabling carrying data; video; and voice in combination with data and or video.)

## Electrician "A" (Regular Day)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$54.00 Supplemental Benefit Rate per Hour: \$50.03

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## Electrician "A" (Regular Day Overtime)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$81.00 Supplemental Benefit Rate per Hour: \$53.41

### Electrician "A" (Day Shift)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$54.00 Supplemental Benefit Rate per Hour: \$50.03

#### Electrician "A" (Day Shift Overtime After 8 hours)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$81.00 Supplemental Benefit Rate per Hour: \$53.41

#### Electrician "A" (Swing Shift)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$63.36 Supplemental Benefit Rate per Hour: \$56.94

#### Electrician "A" (Swing Shift Overtime After 7.5 hours)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$95.04 Supplemental Benefit Rate per Hour: \$60.91

### Electrician "A" (Graveyard Shift)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$70.97 Supplemental Benefit Rate per Hour: \$62.78

#### Electrician "A" (Graveyard Shift Overtime After 7 hours)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$106.46 Supplemental Benefit Rate per Hour: \$67.23

#### Overtime

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

## **Overtime Holidays**

Time and one half the regular rate for work on a holiday. New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

## Paid Holidays

None

#### Shift Rates

When so elected by the Employer, one or more shifts of at least five days duration may be scheduled as follows: Day Shift: 8:00 am to 4:30 pm, Swing Shift 4:30 pm to 12:30 am, Graveyard Shift: 12:30 am to 8:00 am.

For multiple shifts of temporary light and/or power, the temporary light and/or power employee shall be paid for 8 hours at the straight time rate. For three or less workers performing 8 hours temporary light and/or power the supplemental benefit rate is \$24.39.

## Electrician "M" (First 8 hours)

"M" rated work shall be defined as jobbing: electrical work of limited duration and scope, also consisting of repairs and/or replacement of electrical and tele-data equipment. Includes all work necessary to retrofit, service, maintain and repair all kinds of lighting fixtures and local lighting controls and washing and cleaning of foregoing fixtures.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: **\$27.50** Supplemental Benefit Rate per Hour: **\$20.82** First and Second Year "M" Wage Rate Per Hour: **\$23.00** First and Second Year "M" Supplemental Rate: **\$18.56** 

## Electrician "M" (Overtime After First 8 hours)

"M" rated work shall be defined as jobbing: electrical work of limited duration and scope, also consisting of repairs and/or replacement of electrical and tele-data equipment. Includes all work necessary to retrofit, service, maintain and repair all kinds of lighting fixtures and local lighting controls and washing and cleaning of foregoing fixtures.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$41.25 Supplemental Benefit Rate per Hour: \$22.54 First and Second Year "M" Wage Rate Per Hour: \$34.50

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First and Second Year "M" Supplemental Rate: \$20.00

#### Overtime

Fime and one half the regular rate after an 8 hour day. Fime and one half the regular rate for Saturday. Fime and one half the regular rate for Sunday.

### **Overtime Holidays**

Fime and one half the regular rate for work on the following holiday(s).

New Year's Day Martin Luther King Jr. Day

President's Day

Memorial Day

ndependence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day Day after Thanksgiving

Day aπer Thanksgiving Christmas Day

## Paid Holidays

None

(Local #3)

## ELECTRICIAN - ALARM TECHNICIAN

(Scope of Work - Inspect, test, repair, and replace defective, malfunctioning, or broken devices, components and controls of Fire, Burglar and Security Systems)

## Alarm Technician

Effective Period: 7/1/2015 - 3/9/2016 Wage Rate per Hour: \$31.40 Supplemental Benefit Rate per Hour: \$14.76 Supplemental Note: \$13.26 only after 8 hours worked in a day

Effective Period: 3/10/2016 - 6/30/2016 Wage Rate per Hour: \$32.00 Supplemental Benefit Rate per Hour: \$15.47 Supplemental Note: \$13.97 only after 8 hours worked in a day

## **Overtime Description**

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Time and one half the regular rate for work on the following holidays: Columbus Day, Veterans Day, Day after Thanksgiving.

Double time the regular rate for work on the following holidays: New Year's day, Martin Luther King Jr. Day, President's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day.

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Paid Holidays**

New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### **Shift Rates**

Night Differential is based upon a ten percent (10%) differential between the hours of 4:00 P.M. and 12:30 A.M. and a fifteen percent (15%) differential for the hours 12:00 A.M. to 8:00 A.M.

## Vacation

At least 1 year of employment	ten (10) days
5 years or more of employment	
10 years of employment	
Plus one Personal Day per year	

Sick Days: One day per Year. Up to 4 vacation days may be used as sick days.

(Local #3)

## **ELECTRICIAN-STREET LIGHTING WORKER**

#### **Electrician - Electro Pole Electrician**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$54.00 Supplemental Benefit Rate per Hour: \$51.86

## **Electrician - Electro Pole Foundation Installer**

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Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$40.93 Supplemental Benefit Rate per Hour: \$39.46

## Electrician - Electro Pole Maintainer

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$35.05 Supplemental Benefit Rate per Hour: \$35.51

## **Overtime Description**

Electrician - Electro Pole Electrician: Time and one half the regular rate after a 7 hour day and after 5 consecutive days worked per week.

Electrician - Electro Pole Foundation Installer: Time and one half the regular rate after 8 hours within a 24 hour period and Saturday and Sunday.

Electrician - Electro Pole Maintainer: Time and one half the regular rate after a 7 hour day and after 5 consecutive days worked per week. Saturdays and Sundays may be used as a make-up day at straight time when a day is lost during the week to inclement weather.

## **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

# Paid Holidays

(Local #3)

## **ELEVATOR CONSTRUCTOR**

## **Elevator Constructor**

Effective Period: 7/1/2015 - 3/16/2016 Wage Rate per Hour: \$59.55 Supplemental Benefit Rate per Hour: \$31.07

Effective Period: 3/17/2016 - 6/30/2016 Wage Rate per Hour: \$60.96 Supplemental Benefit Rate per Hour: \$32.67

## **Overtime Description**

For New Construction: work performed after 7 or 8 hour day, Saturday, Sunday or between 4:30pm and 7:00am shall be paid at double time rate.

Existing buildings: work performed after an 8 hour day, Saturday, Sunday or between 5:30pm and 7:00 am shall be paid time and one half.

### Overtime

Double time the regular rate for work on the following holiday(s).

#### **Paid Holidays**

New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### Vacation

Employer contributes 8% of regular basic hourly rate as vacation pay for employees with more than 15 years of service, and 6% for employees with 5 to 15 years of service, and 4% for employees with less than 5 years of service.

(Local #1)

## **ELEVATOR REPAIR & MAINTENANCE**

## **Elevator Service/Modernization Mechanic**

Effective Period: 7/1/2015 - 3/16/2016 Wage Rate per Hour: \$46.92 Supplemental Benefit Rate per Hour: \$30.91

Effective Period: 3/17/2016 - 6/30/2016 Wage Rate per Hour: \$47.91 Supplemental Benefit Rate per Hour: \$32.51

## **Overtime Description**

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For Scheduled Service Work: Double time - work scheduled in advance by two or more workers performed on Sundays, Holidays, and between midnight and 7:00am.

#### Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday. Time and one half the regular rate for work on a holiday plus the day's pay.

### Paid Holidays

New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

### Shift Rates

Afternoon shift - regularly hourly rate plus a (15%) fifteen percent differential. Graveyard shift - time and one half the regular rate.

#### Vacation

Employer contributes 8% of regular basic hourly rate as vacation pay for employees with more than 15 years of service, and 6% for employees with 5 to 15 years of service, and 4% for employees with less than 5 years of service.

(Local #1)

## ENGINEER

## Engineer - Heavy Construction Operating Engineer I

Cherrypickers 20 tons and over and Loaders (rubber tired and/or tractor type with a manufacturer's minimum rated capacity of six cubic yards and over).

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$64.31 Supplemental Benefit Rate per Hour: \$34.25 Supplemental Note: \$61.60 on overtime Shift Wage Rate: \$102.90

## Engineer - Heavy Construction Operating Engineer II

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Backhoes, Basin Machines, Groover, Mechanical Sweepers, Bobcat, Boom Truck, Barrier Transport (Barrier Mover) & machines of similar nature. Operation of Churn Drills and machines of a similar nature, Stetco Silent Hoist and machines of similar nature, Vac-Alls, Meyers Machines, John Beam and machines of a similar nature, Ross Carriers and Travel Lifts and machines of a similar nature, Bulldozers, Scrapers and Turn-a-Pulls: Tugger Hoists (Used exclusively for handling excavated material); Tractors with attachments, Hyster and Roustabout Cranes, Cherrypickers. Austin Western, Grove and machines of a similar nature, Scoopmobiles, Monorails, Conveyors, Trenchers: Loaders-Rubber Tired and Tractor: Barber Greene and Eimco Loaders and Eimco Backhoes; Mighty Midget and similar nature. Locomotives 10 Tons or under. Mini-Max, Break-Tech and machines of a similar nature; Milling machines, robotic and demolition machines and machines of a similar nature; shot blaster, skid steer machines and machines of a similar nature including bobcat, pile rig rubber-tired excavator (37,000 lbs. and under), 2 man auger.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$62.40 Supplemental Benefit Rate per Hour: \$34.25 Supplemental Note: \$61.60 on overtime Shift Wage Rate: \$99.84

## Engineer - Heavy Construction Operating Engineer III

Minor Equipment such as Tractors, Post Hole Diggers, Ditch Witch (Walk Behind), Road Finishing Machines, Rollers five tons and under, Tugger Hoists, Dual Purpose Trucks, Fork Lifts, and Dempsey Dumpers, Fireperson.



Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$59.20 Supplemental Benefit Rate per Hour: \$34.25 Supplemental Note: \$61.60 on overtime Shift Wage Rate: \$94.72

## Engineer - Heavy Construction Maintenance Engineer I

Installing, Repairing, Maintaining, Dismantling and Manning of all equipment including Steel Cutting, Bending and Heat Sealing Machines, Mechanical Heaters, Grout Pumps, Bentonite Pumps & Plants, Screening Machines, Fusion Coupling Machines, Tunnel Boring Machines Moles and Machines of a similar nature, Power Packs, Mechanical Hydraulic Jacks; all drill rigs including but not limited to Churn, Rotary Caisson, Raised Bore & Drills of a similar nature; Personnel, Inspection & Safety Boats or any boats used to perform functions of same, Mine Hoists, Whirlies, all Climbing Cranes, all Tower Cranes, including but not limited to Truck Mounted and Crawler Type and machines of similar nature; Maintaining Hydraulic Drills and machines of a similar nature; Well Point System-Installation and dismantling; Burning, Welding, all Pumps regardless of size and/or motor power, except River Cofferdam Pumps and Wells Point Pumps; Motorized Buggies (three or more); equipment used in the cleaning and televising of sewers, but not limited to jet-rodder/vacuum truck, vacall/vactor, closed circuit television inspection equipment; high powered water pumps, jet pumps; screed machines and concrete finishing machines of a similar nature; vermeers.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$62.11 Supplemental Benefit Rate per Hour: \$34.25 Supplemental Note: \$61.60 on overtime Shift Wage Rate: \$99.38

## Engineer - Heavy Construction Maintenance Engineer II

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On Base Mounted Tower Cranes

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$81.54 Supplemental Benefit Rate per Hour: \$34.25 Supplemental Note: \$61.60 on overtime Shift Wage Rate: \$130.46

## Engineer - Heavy Construction Maintenance Engineer III

On Generators, Light Towers

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$41.04 Supplemental Benefit Rate per Hour: \$34.25 Supplemental Note: \$61.60 on overtime Shift Wage Rate: \$65.66

#### Engineer - Heavy Construction Maintenance Engineer IV

On Pumps and Mixers including mud sucking

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$42.11 Supplemental Benefit Rate per Hour: \$34.25 Supplemental Note: \$61.60 on overtime Shift Wage Rate: \$67.38

#### <u> Engineer - Heavy Construction Oilers I</u>

Gradalls, Cold Planer Grader, Concrete Pumps, Driving Truck Cranes, Driving and Operating Fuel and Grease Trucks.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$56.02 Supplemental Benefit Rate per Hour: \$34.25 Supplemental Note: \$61.60 on overtime Shift Wage Rate: \$89.63

#### Engineer - Heavy Construction Oilers II

All gasoline, electric, diesel or air operated Shovels, Draglines, Backhoes, Keystones, Pavers, Gunite Machines, Battery of Compressors, Crawler Cranes, two-person Trenching Machines.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$38.79 Supplemental Benefit Rate per Hour: \$34.25 Supplemental Note: \$61.60 on overtime Shift Wage Rate: \$62.06

## **Engineer - Steel Erection Maintenance Engineers**

Derrick, Travelers, Tower, Crawler Tower and Climbing Cranes

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$59.77 Supplemental Benefit Rate per Hour: \$34.25 Supplemental Note: \$61.60 on overtime Shift Wage Rate: \$95.63

## Engineer - Steel Erection Oiler I

On a Truck Crane

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$55.95 Supplemental Benefit Rate per Hour: \$34.25 Supplemental Note: \$61.60 on overtime Shift Wage Rate: \$89.52

## Engineer - Steel Erection Oiler II

On a Crawler Crane

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$42.64 Supplemental Benefit Rate per Hour: \$34.25 Supplemental Note: \$61.60 on overtime Shift Wage Rate: \$68.22

#### **Overtime Description**

On jobs of more than one shift, if the next shift employee fails to report for work through any cause over which the employer has no control, the employee on duty who works the next shift continues to work at the single time rate.

#### **Overtime**

Double time the regular rate after an 8 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday. Double time the regular rate for work on the following holiday(s).

#### Paid Holidays

New Year's Day Lincoln's Birthday President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day

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Thanksgiving Day Day after Thanksgiving Christmas Day Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

## Engineer - Building Work Maintenance Engineers I

Installing, repairing, maintaining, dismantling (of all equipment including: Steel Cutting and Bending Machines, Mechanical Heaters, Mine Hoists, Climbing Cranes, Tower Cranes, Linden Peine, Lorain, Liebherr, Mannes, or machines of a similar nature, Well Point Systems, Deep Well Pumps, Concrete Mixers with loading Device, Concrete Plants, Motor Generators when used for temporary power and lights), skid steer machines of a similar nature including bobcat.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$56.88 Supplemental Benefit Rate per Hour: \$34.25 Supplemental Note: \$61.60 on overtime

## Engineer - Building Work Maintenance Engineers II

On Pumps, Generators, Mixers and Heaters

Effective Period: 7/1/2015 - 6/30/2016 Nage Rate per Hour: \$44.22 Supplemental Benefit Rate per Hour: \$34.25 Supplemental Note: \$61.60 on overtime

## Engineer - Building Work Oilers I

All gasoline, electric, diesel or air operated Gradealls: Concrete Pumps, Overhead Cranes in Power Houses: Their duties shall be to assist the Engineer in oiling, greasing and repairing of all machines; Driving Truck Cranes: Driving and Operating Fuel and Grease Trucks, Cherrypickers (hydraulic cranes) over 70,000 GVW, and nachines of a similar nature.

Effective Period: 7/1/2015 - 6/30/2016 Nage Rate per Hour: **\$54.08** Supplemental Benefit Rate per Hour: **\$34.25** Supplemental Note: **\$61.60** on overtime

## <u> Engineer - Building Work Oilers II</u>

Dilers on Crawler Cranes, Backhoes, Trenching Machines, Gunite Machines, Compressors (three or more in Battery).

Effective Period: 7/1/2015 - 6/30/2016 Vage Rate per Hour: \$40.21 Supplemental Benefit Rate per Hour: \$34.25 Supplemental Note: \$61.60 on overtime

## **Overtime Description**

On jobs of more than one shift, if an Employee fails to report for work through any cause over which the Employer has no control, the Employee on duty will continue to work at the rate of single time.

#### **Overtime**

Double time the regular rate after an 8 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday. Double time the regular rate for work on the following holiday(s).

## **Paid Holidays**

New Year's Day Lincoln's Birthday President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Christmas Day Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

## Shift Rates

Off Shift: double time the regular hourly rate.

(Local #15)

## **ENGINEER - CITY SURVEYOR AND CONSULTANT**

## Party Chief

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$37.04 Supplemental Benefit Rate per Hour: \$18.60 Supplemental Note: Overtime Benefit Rate - \$25.45 per hour (time & one half) \$32.30 per hour (double time).

## **Instrument Person**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$30.59 Supplemental Benefit Rate per Hour: \$18.60 Supplemental Note: Overtime Benefit Rate - \$25.45 per hour (time & one half) \$32.30 per hour (double time).

## <u>Rodperson</u>

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Effective Period: 7/1/2015 - 6/30/2016

Wage Rate per Hour: \$26.52

Supplemental Benefit Rate per Hour: \$18.60

Supplemental Note: Overtime Benefit Rate - \$25.45 per hour (time & one half) \$32.30 per hour (double time).

## **Overtime Description**

Time and one half the regular rate after an 8 hour day, Time and one half the regular rate for Saturday for the first eight hours worked, Double time the regular time rate for Saturday for work performed in excess of eight hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

## **Paid Holidays**

New Year's Day Lincoln's Birthday President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

# ENGINEER - FIELD (BUILDING CONSTRUCTION)

(Construction of Building Projects, Concrete Superstructures, etc.)

## Field Engineer - BC Party Chief

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$60.77 Supplemental Benefit Rate per Hour: \$32.40 Supplemental Note: Overtime Benefit Rate - \$45.28 per hour (time & one half) \$58.15 per hour (double time).

## Field Engineer - BC Instrument Person

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$47.20 Supplemental Benefit Rate per Hour: \$32.40 Supplemental Note: Overtime Benefit Rate - \$45.28 per hour (time & one half) \$58.15 per hour (double time).

## Field Engineer - BC Rodperson

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Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$30.49 Supplemental Benefit Rate per Hour: \$32.40 Supplemental Note: Overtime Benefit Rate - \$45.28 per hour (time & one half) \$58.15 per hour (double time).

### **Overtime Description**

Time and one half the regular rate after a 7 hour work and time and one half the regular rate for Saturday for the first seven hours worked, Double time the regular time rate for Saturday for work performed in excess of seven hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

## **Paid Holidays**

New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Christmas Day Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

## **ENGINEER - FIELD (HEAVY CONSTRUCTION)**

(Construction of Roads, Tunnels, Bridges, Sewers, Building Foundations, Engineering Structures etc.)

## Field Engineer - HC Party Chief

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$66.43 Supplemental Benefit Rate per Hour: \$32.40 Supplemental Note: Overtime benefit rate - \$45.28 per hour (time & one half), \$58.15 per hour (double time).

## Field Engineer - HC Instrument Person

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$48.82 Supplemental Benefit Rate per Hour: \$32.40 Supplemental Note: Overtime benefit rate - \$45.28 per hour (time & one half), \$58.15 per hour (double time).

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## Field Engineer - HC Rodperson

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: **\$40.99** Supplemental Benefit Rate per Hour: **\$32.40** Supplemental Note: Overtime benefit rate - \$45.28 per hour (time & one half), \$58.15 per hour (double time).

## **Overtime Description**

Time and one half the regular rate after an 8 hour day, Time and one half the regular rate for Saturday for the first eight hours worked, Double time the regular time rate for Saturday for work performed in excess of eight hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

## **Paid Holidays**

New Year's Day Lincoln's Birthday President's Day Memorial Day Independence Day Labor Day Columbus Day Columbus Day Veteran's Day Thanksgiving Day Christmas Day Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

## **ENGINEER - FIELD (STEEL ERECTION)**

## Field Engineer - Steel Erection Party Chief

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$62.26 Supplemental Benefit Rate per Hour: \$32.40 Supplemental Note: Overtime benefit rate - \$45.28 per hour (time & one half), \$58.15 per hour (double time).

## Field Engineer - Steel Erection Instrument Person

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$48.57 Supplemental Benefit Rate per Hour: \$32.40 Supplemental Note: Overtime benefit rate - \$45.28 per hour (time & one half), \$58.15 per hour (double time).

## Field Engineer - Steel Erection Rodperson

Effective Period: 7/1/2015 - 6/30/2016

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#### Wage Rate per Hour: \$32.61

Supplemental Benefit Rate per Hour: \$32.40 Supplemental Note: Overtime benefit rate - \$45.28 per hour (time & one half), \$58.15 per hour (double time).

### **Overtime Description**

Time and one half the regular rate for Saturday for the first eight hours worked. Double time the regular rate for Saturday for work performed in excess of eight hours.

### **Overtime**

Time and one half the regular rate after an 8 hour day. Double time the regular rate for Sunday. Double time the regular rate for work on the following holiday(s).

## **Paid Holidays**

New Year's Day Lincoln's Birthday President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Christmas Day Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

## **ENGINEER - OPERATING**

## **Operating Engineer - Road & Heavy Construction I**

Back Filling Machines, Cranes, Mucking Machines and Dual Drum Paver.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$71.75 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$114.80

## **Operating Engineer - Road & Heavy Construction II**

Backhoes, Power Shovels, Hydraulic Clam Shells, Steel Erection, Moles and machines of a similar nature.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: **\$74.29** 

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Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$118.86

### **Operating Engineer - Road & Heavy Construction III**

Mine Hoists, Cranes, etc. (Used as Mine Hoists)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$76.67 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$122.67

### **Operating Engineer - Road & Heavy Construction IV**

Gradealls, Keystones, Cranes on land or water (with digging buckets), Bridge Cranes, Vermeer Cutter and machines of a similar nature, Trenching Machines.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$74.84 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$119.74

### **Operating Engineer - Road & Heavy Construction V**

Pile Drivers & Rigs (employing Dock Builder foreperson): Derrick Boats, Tunnel Shovels.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$73.36 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$117.38

#### **Operating Engineer - Road & Heavy Construction VI**

Mixers (Concrete with loading attachment), Concrete Pavers, Cableways, Land Derricks, Power Houses (Low Air Pressure Units).

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$69.69 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$111.50

### **Operating Engineer - Road & Heavy Construction VII**

Barrier Movers, Barrier Transport and Machines of a Similar Nature.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$56.25 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$90.00

## **Operating Engineer - Road & Heavy Construction VIII**

**Utility Compressors** 

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$43.63 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$55.03

## **Operating Engineer - Road & Heavy Construction IX**

**Horizontal Boring Rig** 

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$66.26 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$106.02

## **Operating Engineer - Road & Heavy Construction X**

Elevators (manually operated as personnel hoist).

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$60.89 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$97.42

## **Operating Engineer - Road & Heavy Construction XI**

Compressors (Portable 3 or more in battery), Driving of Truck Mounted Compressors, Well-point Pumps, Tugger Machines Well Point Pumps, Churn Drill.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$47.28 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$75.65

## **Operating Engineer - Road & Heavy Construction XII**

All Drills and Machines of a similar nature.

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Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$70.42 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$112.67

### **Operating Engineer - Road & Heavy Construction XIII**

Concrete Pumps, Concrete Plant, Stone Crushers, Double Drum Hoist, Power Houses (other than above).

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$68.19 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$109.10

#### **Operating Engineer - Road & Heavy Construction XIV**

**Concrete Mixer** 

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$65.20 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$104.32

#### **Operating Engineer - Road & Heavy Construction XV**

Compressors (Portable Single or two in Battery, not over 100 feet apart), Pumps (River Cofferdam) and Welding Machines, Push Button Machines, All Engines Irrespective of Power (Power-Pac) used to drive auxiliary equipment, Air, Hydraulic, etc.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$43.91 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$70.26

### **Operating Engineer - Road & Heavy Construction XVI**

Concrete Breaking Machines, Hoists (Single Drum), Load Masters, Locomotives (over ten tons) and Dinkies over ten tons, Hydraulic Crane-Second Engineer.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$62.25 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$99.60

#### **Operating Engineer - Road & Heavy Construction XVII**

On-Site concrete plant engineer, On-site Asphalt Plant Engineer, and Vibratory console.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$62.74 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$100.38

## **Operating Engineer - Road & Heavy Construction XVIII**

Tower Crane

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$90.09 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$144.14

#### **Operating Engineer - Paving I**

Asphalt Spreaders, Autogrades (C.M.I.), Roto/Mil

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$69.69 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$111.50

#### **Operating Engineer - Paving II**

**Asphalt Roller** 

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$67.87 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$108.59

#### **Operating Engineer - Paving III**

Asphalt Plants

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$57.40 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$91.84

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### <u> Operating Engineer - Concrete I</u>

Cranes

Effective Period: 7/1/2015 - 6/30/2016 Vage Rate per Hour: **\$74.51** Supplemental Benefit Rate per Hour: **\$30.40** Supplemental Note: \$55.10 overtime hours

## **Operating Engineer - Concrete II**

Compressors

Effective Period: 7/1/2015 - 6/30/2016 Nage Rate per Hour: **\$44.25** Supplemental Benefit Rate per Hour: **\$30.40** Supplemental Note: **\$55.10** overtime hours

## **Operating Engineer - Concrete III**

licro-traps (Negative Air Machines), Vac-All Remediation System.

Effective Period: 7/1/2015 - 6/30/2016 Vage Rate per Hour: \$59.51 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours

## <u> Operating Engineer - Steel Erection I</u>

Three Drum Derricks

Effective Period: 7/1/2015 - 6/30/2016 Vage Rate per Hour: \$77.40 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$123.84

### **Operating Engineer - Steel Erection II**

Cranes, 2 Drum Derricks, Hydraulic Cranes, Fork Lifts and Boom Trucks.

Effective Period: 7/1/2015 - 6/30/2016 Vage Rate per Hour: \$74.37 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$118.99

## **Operating Engineer - Steel Erection III**

Compressors, Welding Machines.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$44.09 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$70.54

#### **Operating Engineer - Steel Erection IV**

**Compressors - Not Combined with Welding Machine.** 

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$41.98 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours Shift Wage Rate: \$67.17

#### **Operating Engineer - Building Work I**

Forklifts, Plaster (Platform machine), Plaster Bucket, Concrete Pump and all other equipment used for hoisting material.



Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$61.27 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours

#### **Operating Engineer - Building Work II**

Compressors, Welding Machines (Cutting Concrete-Tank Work), Paint Spraying, Sandblasting, Pumps (with the exclusion of Concrete Pumps), All Engines irrespective of Power (Power-Pac) used to drive Auxiliary Equipment, Air, Hydraulic, Jacking System, etc.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$45.85 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours

#### **Operating Engineer - Building Work III**

**Double Drum** 

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$69.76 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours

### <u> Operating Engineer - Building Work IV</u>

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Stone Derrick, Cranes, Hydraulic Cranes Boom Trucks.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$73.91 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours

#### Operating Engineer - Building Work V

Dismantling and Erection of Cranes, Relief Engineer.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$68.09 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours

#### Operating Engineer - Building Work VI

4 Pole Hoist, Single Drum Hoists.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$67.37 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours

#### **Operating Engineer - Building Work VII**

Rack & Pinion and House Cars

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$53.54 Supplemental Benefit Rate per Hour: \$30.40 Supplemental Note: \$55.10 overtime hours For New House Car projects Wage Rate per Hour \$42.70

#### **Overtime Description**

On jobs of more than one shift, if an Employee fails to report for work through any cause over which the Employer has no control, the Employee on duty will continue to work at the rate of single time.

For House Cars and Rack & Pinion only: Overtime paid at time and one-half for all hours in excess of eight hours in a day, Saturday, Sunday and Holidays worked.

#### Overtime

Double time the regular rate after an 8 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday. Double time the regular rate for work on the following holiday(s).

#### Paid Holidays

New Year's Day

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Lincoln's Birthday President's Day **Memorial Dav Independence Day** Labor Dav **Columbus Day** Veteran's Day Thanksgiving Day Day after Thanksgiving **Christmas Day** Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

### Shift Rates

For Steel Erection Only: Shifts may be worked at the single time rate at other than the regular working hours (8:00 A.M. to 4:30 P.M.) on the following work ONLY: Heavy construction jobs on work below the street level, over railroad tracks and on building jobs.

(Operating Engineer Local #14)

## FLOOR COVERER

(Interior vinyl composition tile, sheath vinyl linoleum and wood parquet tile including site preparation and synthetic turf not including site preparation)

## **Floor Coverer**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$50.50 Supplemental Benefit Rate per Hour: \$45.88

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day **President's Day Memorial Day** Independence Day Labor Day **Columbus Day Presidential Election Day** Thanksgiving Day Day after Thanksgiving Christmas Day

**PUBLISH DATE: 7/1/2015** 

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#### Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M. 1/2 day on New Year's Eve if work is performed in the A.M.

#### Shift Rates

Two shifts may be utilized with the first shift working 8:00 A.M. to the end of the shift at the straight time of pay. The second shift will receive one hour at double time rate for the last hour of the shift. (eight for seven, nine for eight).

(Carpenters District Council)

## GLAZIER (New Construction, Remodeling, and Alteration)

#### <u>Glazier</u>

Effective Period: 7/1/2015 - 10/31/2015 Wage Rate per Hour: \$43.35 Supplemental Benefit Rate per Hour: \$36.59 Supplemental Note: Supplemental Benefit Overtime Rate: \$45.34

Effective Period: 11/1/2015 - 6/30/2016 Wage Rate per Hour: \$43.95 Supplemental Benefit Rate per Hour: \$36.84 Supplemental Note: Supplemental Benefit Overtime Rate: \$45.59

#### **Overtime Description**

An optional 8th hour can be worked at straight time rate. If 9th hour is worked, then both hours or more (8th & 9th or more) will be at the double time rate of pay.

#### **Overtime**

Double time the regular rate after a 7 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Day after Thanksgiving

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Christmas Day

# Paid Holidays

#### Shift Rates

Shifts shall be any 7 hours beyond 4:00 P.M. for which the glazier shall receive 8 hours pay for 7 hours worked.

(Local #1281)

## **GLAZIER - REPAIR & MAINTENANCE**

(For the Installation of Glass - All repair and maintenance work on a particular building, whenever performed, where the total cumulative contract value is under \$105,000. Except where enumerated (i.e. plate glass windows) does not apply to non-residential buildings.)

### Craft Jurisdiction for repair, maintenance and fabrication

Plate glass replacement, Residential glass replacement, Residential mirrors and shower doors, Storm windows and storm doors, Residential replacement windows, Herculite door repairs, Door closer repairs, Retrofit apartment house (non commercial buildings), Glass tinting.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$23.68 Supplemental Benefit Rate per Hour: \$19.54

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Double time the regular rate for Sunday. Time and one half the regular hourly rate after 40 hours in any work week.

## **Paid Holidays**

New Year's Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Day after Thanksgiving Christmas Day

(Local #1281)

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## HEAT AND FROST INSULATOR

### Heat & Frost Insulator

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$57.38 Supplemental Benefit Rate per Hour: \$37.41

#### **Overtime Description**

Double time shall be paid for supplemental benefits during overtime work. 8th hour paid at time and one half.

#### **Overtime**

Double time the regular rate after an 8 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

Triple time the regular rate for work on the following holiday(s). Labor Day

#### Paid Holidays

None

#### Shift Rates

The first shift shall work seven hours at the regular straight time rate. The second and third shift shall work seven hours the regular straight time hourly rate plus a fourteen percent wage and benefit premium. Off hour work in occupied or retail buildings may be worked on weekdays with an increment of \$1.00 per hour and eight hours pay for seven (7) hours worked. Double time will apply for over seven (7) hours worked on weekdays, weekends or holidays.

(Local #12)

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## HOUSE WRECKER (TOTAL DEMOLITION)

## House Wrecker - Tier A

On all work sites the first, second, eleventh and every third House Wrecker thereafter will be Tier A House Wreckers (i.e. 1st, 2nd, 11th, 14th etc). Other House Wreckers may be Tier B House Wreckers.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$35.52 Supplemental Benefit Rate per Hour: \$26.86

### House Wrecker - Tier B

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: **\$24.90** Supplemental Benefit Rate per Hour: **\$19.88** 

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

## Paid Holidays

None

(Mason Tenders District Council)

## **IRON WORKER - ORNAMENTAL**

Iron Worker - Ornamental

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Effective Period: 7/1/2015 - 6/30/2016

Wage Rate per Hour: \$43.20

Supplemental Benefit Rate per Hour: \$47.67

Supplemental Note: Supplemental benefits are to be paid at the applicable overtime rate when overtime is in effect.

#### **Overtime Description**

Time and one half the regular rate after a 7 hour day for a maximum of two hours on any regular work day (the 8th and 9th hour) and double time shall be paid for all work on a regular work day thereafter, time and one half the regular rate for Saturday for the first seven hours of work and double time shall be paid for all work on a Saturday thereafter.

#### **Overtime**

Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

Paid Holidays

None

#### Shift Rates

For off shift work - 8 hours pay for 7 hours of work. When two or three shifts are employed on a job, Monday through Friday, the workday for each shift shall be seven hours and paid for ten and one-half hours at the single time rate. When two or three shifts are worked on Saturday, Sunday or holidays, each shift shall be seven hours and paid fifteen and three-quarters hours.

(Local #580)

## **IRON WORKER - STRUCTURAL**

### Iron Worker - Structural

Effective Period: 7/1/2015 - 6/30/2016

Wage Rate per Hour: \$48.75

Supplemental Benefit Rate per Hour: \$67.34

Supplemental Note: Supplemental benefits are to be paid at the applicable overtime rate when overtime is in effect.

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### **Overtime Description**

Monday through Friday- the first eight hours are paid at straight time, the 9th and 10th hours are paid at time and one-half the regular rate, all additional weekday overtime is paid at double the regular rate. Saturdays- the first eight hours are paid at time and one-half the regular rate, double time thereafter. Sunday-all shifts are paid at double time.

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Good Friday Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

### **Paid Holidays**

1/2 day on Christmas Eve if work is performed in the A.M. 1/2 day on New Year's Eve if work is performed in the A.M.



### Shift Rates

Monday through Friday - First Shift: First eight hours are paid at straight time, the 9th & 10th hours are paid at time and a half, double time paid thereafter. Second and third Shifts: First eight hours are paid at time and one-half, double time thereafter. Saturdays: All shifts, first eight hours paid at time and one-half, double time thereafter. Saturdays: All shifts, first eight hours paid at time and one-half, double time thereafter. Second and third Shifts: First eight hours are paid at time and one-half, double time thereafter.

(Local #40 & #361)

## LABORER (Foundation, Concrete, Excavating, Street Pipe Layer and Common)

### **Laborer**

Excavation and foundation work for buildings, heavy construction, engineering work, and hazardous waste removal in connection with the above work. Landscaping tasks in connection with heavy construction work, engineering work and building projects. Projects include, but are not limited to pollution plants, sewers, parks, subways, bridges, highways, etc.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: **\$40.50** 

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Supplemental Benefit Rate per Hour: \$36.53

#### Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day

Christmas Day

#### Paid Holidays

Labor Day Thanksgiving Day

#### Shift Rates

When two shifts are employed, single time rate shall be paid for each shift. When three shifts are found necessary, each shift shall work seven and one half hours (7 ½), but shall be paid for eight (8) hours of labor, and be permitted one half hour for lunch.

(Local #731)

## LANDSCAPING

(Landscaping tasks, as well as tree pruning, tree removing, spraying and maintenance in connection with the planting of street trees and the planting of trees in city parks but not when such activities are performed as part of, or in connection with, other construction or reconstruction projects.)

### Landscaper (Above 6 years experience)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$27.00 Supplemental Benefit Rate per Hour: \$14.55

### Landscaper (3 - 6 years experience)

Effective Period: 7/1/2015 - 6/30/2016

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Wage Rate per Hour: \$26.00 Supplemental Benefit Rate per Hour: \$14.55

### Landscaper (up to 3 years experience)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$23.50 Supplemental Benefit Rate per Hour: \$14.55

#### **Groundperson**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$23.50 Supplemental Benefit Rate per Hour: \$14.55

#### **Tree Remover / Pruner**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$32.00 Supplemental Benefit Rate per Hour: \$14.55

#### Landscaper Sprayer (Pesticide Applicator)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$22.00 Supplemental Benefit Rate per Hour: \$14.55

#### Watering - Plant Maintainer

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$17.00 Supplemental Benefit Rate per Hour: \$14.55

#### **Overtime Description**

For all overtime work performed, supplemental benefits shall include an additional seventy-five (\$0.75) cents per hour.

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Time and one half the regular rate for work on a holiday plus the day's pay.

#### **Paid Holidays**

New Year's Day Memorial Day Independence Day Labor Day Thanksgiving Day

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Christmas Day

#### Shift Rates

Work performed on a 4pm to 12am shift has a 15% differential. Work performed on a 12am to 8am shift has a 20% differential.

(Local #175)

## MARBLE MECHANIC

#### <u>Marble Setter</u>

Effective Period: 7/1/2015 - 12/31/2015 Wage Rate per Hour: \$51.53 Supplemental Benefit Rate per Hour: \$35.73

Effective Period: 1/1/2016 - 6/30/2016 Wage Rate per Hour: \$51.89 Supplemental Benefit Rate per Hour: \$36.62

#### Marble Finisher

Effective Period: 7/1/2015 - 12/31/2015 Wage Rate per Hour: \$40.53 Supplemental Benefit Rate per Hour: \$34.52

Effective Period: 1/1/2016 - 6/30/2016 Wage Rate per Hour: **\$40.80** Supplemental Benefit Rate per Hour: **\$35.15** 

#### <u>Marble Polisher</u>

Effective Period: 7/1/2015 - 12/31/2015 Wage Rate per Hour: \$36.65 Supplemental Benefit Rate per Hour: \$26.63

Effective Period: 1/1/2016 - 6/30/2016 Wage Rate per Hour: \$37.02 Supplemental Benefit Rate per Hour: \$27.01

#### **Overtime Description**

Supplemental Benefit contributions are to be made at the applicable overtime rates. Time and one half the regular rate after a 7 hour day or time and one half the regular rate after an 8 hour day - chosen by Employer at the start of the project and then would last for the full duration of the project.

#### Overtime

Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day **President's Day Good Friday** Memorial Day **Independence** Day Labor Day **Columbus Day** Veteran's Day Thanksgiving Day Day after Thanksgiving **Christmas Day** 

## Paid Holidays

None

(Local #7)

## MASON TENDER

### Mason Tender

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$36.67 Supplemental Benefit Rate per Hour: \$28.02

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day **President's Day** Memorial Day **Independence Day** Labor Day Thanksgiving Day Christmas Day

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## Paid Holidays

None

#### Shift Rates

The Employer may work two (2) shifts with the first shift at the straight time wage rate and the second shift receiving eight (8) hours paid for seven (7) hours work at the straight time wage rate.

(Local #79)

## MASON TENDER (INTERIOR DEMOLITION WORKER)

(The erection, building, moving, servicing and dismantling of enclosures, scaffolding, barricades, protection and site safety structures etc., on Interior Demolition jobs.)

## Mason Tender Tier A

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$35.46 Supplemental Benefit Rate per Hour: \$22.13

## Mason Tender Tier B

On Interior Demolition job sites 33 1/3 % of the employees shall be classified as Tier A Interior Demolition Workers and 66 2/3 % shall be classified as Tier B Interior Demolition Workers; provided that the employer may employ more than 33 1/3 % Tier A Interior Demolition Workers on the job site. Where the number of employees on a job site is not divisible by 3, the first additional employee (above the number of employees divisible by three) shall be a Tier B Interior Demolition Worker, and the second additional employee shall be a Tier A Interior Demolition Worker.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$24.65 Supplemental Benefit Rate per Hour: \$16.45

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day



Labor Day Thanksgiving Day Christmas Day

Paid Holidays

(Local #79)

## **METALLIC LATHER**

### Metallic Lather

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$43.63 Supplemental Benefit Rate per Hour: \$41.57 Supplemental Note: Supplemental benefits for overtime are paid at the appropriate overtime rate.

#### **Overtime Description**

Overtime would be time and one half the regular rate after a seven (7) or eight (8) hours workday, which would be set at the start of the job.

#### **Overtime**

Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Washington's Birthday Good Friday Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

1/2 day on Christmas Eve if work is performed in the A.M. 1/2 day on New Year's Eve if work is performed in the A.M.

#### Shift Rates

There shall be either two (2) or three (3) shifts, each shift shall be eight (8) hours with nine (9) hours pay, including one half (½) hour for lunch. Off-Hour Start shall commence after 3:30 P.M. and shall conclude by 6:00

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A.M. The first consecutive seven (7) hours shall be at straight time with a differential of twelve dollars (\$12.00) per hour. Fringes shall be paid at the straight time rate.

(Local #46)

## MILLWRIGHT

### <u>Millwright</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$49.50 Supplemental Benefit Rate per Hour: \$52.01

#### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

#### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day

Christmas Day

Christinas Day

### Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M. 1/2 day on New Year's Eve if work is performed in the A.M.

## Shift Rates

The first shift shall receive the straight time rate of pay. The second shift receives the straight time rate of pay plus fifteen (15%) per cent. Members of the second shift shall be allowed one half hour to eat, with this time being included in the hours of the workday established. There must be a first shift to work a second shift. All additional hours worked shall be paid at the time and one-half rate of pay plus fifteen (15%) per cent for weekday hours.

(Local #740)

## **MOSAIC MECHANIC**

## Mosaic Mechanic - Mosaic & Terrazzo Mechanic

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$45.91 Supplemental Benefit Rate per Hour: \$38.15 Supplemental Note: Supplemental benefits for overtime to be paid at the rate of \$48.92 per hour.

### Mosaic Mechanic - Mosaic & Terrazzo Finisher

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$44.30 Supplemental Benefit Rate per Hour: \$38.14 Supplemental Note: Supplemental benefits for overtime to be paid at the rate of \$48.91 per hour.

## Mosaic Mechanic - Machine Operator Grinder

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$44.30 Supplemental Benefit Rate per Hour: \$38.14 Supplemental Note: Supplemental benefits for overtime to be paid at the rate of \$48.91 per hour.

## **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Washington's Birthday Good Friday Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

Paid Holidays

None



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## PAINTER

### Painter - Brush & Roller

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$41.00 Supplemental Benefit Rate per Hour: \$26.37 Supplemental Note: \$31.00 on overtime

## Spray & Scaffold / Decorative / Sandblast

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$44.00 Supplemental Benefit Rate per Hour: \$26.37 Supplemental Note: \$31.00 on overtime

#### Overtime

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

#### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Thanksgiving Day Christmas Day

## Paid Holidays

None

(District Council of Painters #9)

## **PAINTER - SIGN**

#### <u>Designer</u>

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Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$40.30 Supplemental Benefit Rate per Hour: \$7.22

#### **Journeyperson**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$37.48 Supplemental Benefit Rate per Hour: \$7.22

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday. Double time the regular rate for work on the following holiday(s).

### **Paid Holidays**

New Year's Day Martin Luther King Jr. Day Memorial Day Independence Day Labor Day Thanksgiving Day Day after Thanksgiving Christmas Day Two (2) additional holidays as floating holidays

(Local #8A-28A)

## **PAINTER - STRIPER**

### Striper (paint)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$35.00 Supplemental Benefit Rate per Hour: \$12.27 Supplemental Note: Overtime Supplemental Benefit rate - \$8.02 New Hire Rate (0-3 months) - \$0.00

### Lineperson (thermoplastic)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$39.00 Supplemental Benefit Rate per Hour: \$12.27 Supplemental Note: Overtime Supplemental Benefit rate - \$8.02; New Hire Rate (0-3 months) - \$0.00

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#### Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Time and one half the regular rate for work on the following holiday(s).

### Paid Holidays

New Year's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### Shift Rates

Employees hired before April 1, 2003: 15% night shift premium differential for work commenced at 9:00 PM or later.

### Vacation

Employees with one to two years service shall accrue vacation based on hours worked: 250 hours worked - 1 day vacation; 500 hours worked - 2 days vacation; 750 hours worked - 3 days vacation; 900 hours worked - 4 days vacation; 1,000 hours worked - 5 days vacation. Employees with two to five years service receive two weeks vacation. Employees with five to twenty years service receive three weeks vacation. Employees with two to five years service receive two twenty to twenty-five years service receive four weeks vacation. Employees with 25 or more years service receive five weeks vacation. Vacation must be taken during winter months. 2 Personal Days except employees hired after 4/1/12 who do not have 2 years of service.

(Local #917)

## PAINTER - STRUCTURAL STEEL

### Painters on Structural Steel

Effective Period: 7/1/2015 - 9/30/2015 Wage Rate per Hour: \$48.00 Supplemental Benefit Rate per Hour: \$34.58

Effective Period: 10/1/2015 - 6/30/2016 Wage Rate per Hour: \$49.00 Supplemental Benefit Rate per Hour: \$36.08

### Painter - Power Tool

Effective Period: 7/1/2015 - 9/30/2015 Wage Rate per Hour: \$54.00 Supplemental Benefit Rate per Hour: \$34.58

Effective Period: 10/1/2015 - 6/30/2016 Wage Rate per Hour: \$55.00 Supplemental Benefit Rate per Hour: \$36.08

### **Overtime Description**

Supplemental Benefits shall be paid for each hour worked, up to forty (40) hours per week for the period of May 1st to November 15th or up to fifty (50) hours per week for the period of November 16th to April 30th.

### **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

## Paid Holidays

None

#### Shift Rates

Regular hourly rates plus a ten per cent (10%) differential

(Local #806)

## PAPERHANGER

#### Paperhanger

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$41.08 Supplemental Benefit Rate per Hour: \$29.23 Supplemental Note: Supplemental benefits are to be paid at the appropriate straight time and overtime rate.

#### Overtime

Time and one half the regular rate after a 7 hour day.

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Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

#### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

- New Year's Day
- President's Day
- Memorial Day
- Independence Day
- Labor Day
- Thanksgiving Day
- Day after Thanksgiving
- Christmas Day

### Paid Holidays

None

#### Shift Rates

Evening shift - 4:30 P.M. to 12:00 Midnight (regular rate of pay); any work performed before 7:00 A.M. shall be at time and one half the regular base rate of pay.

(District Council of Painters #9)

## PAVER AND ROADBUILDER

### Paver & Roadbuilder - Formsetter

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: **\$44.85** Supplemental Benefit Rate per Hour: **\$36.92** 

### Paver & Roadbuilder - Laborer

Paving and road construction work, regardless of material used, including but not limited to preparation of job sites, removal of old surfaces, asphalt and/or concrete, by whatever method, including but not limited to milling; laying of concrete; laying of asphalt for temporary, patchwork, and utility paving (but not production paving); site preparation and incidental work before the installation of rubberized materials and similar surfaces; installation and repair of temporary construction fencing; slurry seal coating, maintenance of safety surfaces; play equipment installation, and other related work.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$40.98 Supplemental Benefit Rate per Hour: \$36.92

### Production Paver & Roadbuilder - Screed Person

(Production paving is asphalt paving when using a paving machine or on a project where a paving machine is traditionally used)

Adjustment of paving machinery on production paving jobs.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$45.45 Supplemental Benefit Rate per Hour: \$36.92

### Production Paver & Roadbuilder - Raker

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$44.85 Supplemental Benefit Rate per Hour: \$36.92

#### Production Paver & Roadbuilder - Shoveler

General laborer (except removal of surfaces - see Paver and Roadbuilder-Laborer) including but not limited to tamper, AC paint and liquid tar work.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$41.56 Supplemental Benefit Rate per Hour: \$36.92

#### **Overtime Description**

Veteran's Day is a Paid Holiday for employees working on production paving.

If an employee works New Year's Day or Christmas Day, they receive the single time rate plus 25%.

Employees who work on a holiday listed below receive the straight time rate plus one day's pay for the holiday.

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### Paid Holidays

Memorial Day Independence Day Labor Day Presidential Election Day Thanksgiving Day

#### Shift Rates

When two shifts are employed, the work period for each shift shall be a continuous eight (8) hours. When three shifts are employed, each shift will work seven and one half (7  $\frac{1}{2}$ ) hours but will be paid for eight (8) hours since only one half (1/2) hour is allowed for meal time.

When two or more shifts are employed, single time will be paid for each shift.

Night Work - On night work, the first eight (8) hours of work will be paid for at the single time rate, except that production paving work shall be paid at 15% over the single time rate for the screed person, rakers and

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shovelers directly involved only. All other workers will be exempt. Hours worked over eight (8) hours during said shift shall be paid for at the time and one-half rate.

(Local #1010)

## PLASTERER

#### <u>Plasterer</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$43.43 Supplemental Benefit Rate per Hour: \$27.95

#### Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

#### Overtime Holidays

Double time the regular rate for work on the following holiday(s). New Year's Day Martin Luther King Jr. Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day

Presidential Election Day

- Thanksgiving Day
- Christmas Day

### Paid Holidays

None

## Shift Rates

When it is not possible to conduct alteration work during regular work hours, in a building occupied by tenants, said work shall proceed on a shift basis: however work over seven (7) hours in any twenty four (24) hour period, the time after seven (7) hours shall be considered overtime.

The second shift shall start at a time between 3:30 p.m. and 7:00 p.m. and shall consist of seven (7) working hours and shall receive eight (8) hours of wages and benefits at the straight time rate. The workers on the second shift shall be allowed one-half ( $\frac{1}{2}$ ) hour to eat with this time being included in the seven (7) hours of work.

(Local #530)

## **PLASTERER - TENDER**

## **Plasterer - Tender**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$36.67 Supplemental Benefit Rate per Hour: \$28.02

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Washington's Birthday Memorial Day Independence Day Labor Day Presidential Election Day Thanksgiving Day Christmas Day

### **Paid Holidays**

None

#### Shift Rates

When work commences outside regular work hours, workers receive an hour additional (differential) wage and supplement payment. Eight hours pay for seven hours work or nine hours pay for eight hours work.

(Mason Tenders District Council)

## PLUMBER

#### <u>Plumber</u>

Effective Period: 7/1/2015 - 6/30/2016

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Wage Rate per Hour: \$65.27 Supplemental Benefit Rate per Hour: \$28.38 Supplemental Note: Overtime supplemental benefit rate per hour: \$56.48

### Plumber - Temporary Services

Temporary Services - When there are no Plumbers on the job site, there may be three shifts designed to cover the entire twenty-four hour period, including weekends if necessary, at the following rate straight time.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$52.24 Supplemental Benefit Rate per Hour: \$22.28

### **Overtime Description**

Double time the regular rate after a 7 hour day - unless for new construction site work where the plumbing contract price is \$1.5 million or less, the hours of labor can be 8 hours per day at the employers option. On Alteration jobs when other mechanical trades at the site are working an eighth hour at straight time, then the plumber shall also work an eighth hour at straight time.

#### Overtime

Double time the regular time rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day President's Day Memorial Day ndependence Day Labor Day Columbus Day Columbus Day Veteran's Day Fhanksgiving Day Day after Thanksgiving Christmas Day

## Shift Rates

Shift work, when directly specified in public agency or authority documents where plumbing contract is \$8 nillion or less, will be permitted. 30% shift premium shall be paid for wages and fringe benefits for 4:00 pm and nidnight shifts Monday to Friday. 50% shift premium shall be paid for wages and fringe benefits for 4:00 pm and nidnight shift work performed on weekends. For shift work on holidays, double time wages and fringe benefits hall be paid.

Plumbers Local #1)

## PLUMBER (MECHNICAL EQUIPMENT AND SERVICE)

(Mechanical Equipment and Service work shall include any repair and/or replacement of the present plumbing system.)

### **Plumber**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$39.27 Supplemental Benefit Rate per Hour: \$13.34

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

#### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Thanksgiving Day Day after Thanksgiving Christmas Day

Paid Holidays

(Plumbers Local # 1)

## PLUMBER (RESIDENTIAL RATES FOR 1, 2 AND 3 FAMILY HOME CONSTRUCTION)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$45.19 Supplemental Benefit Rate per Hour: \$20.62

#### **Overtime**

Double time the regular rate after an 8 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday.

## **Overtime Holidays**

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Double time the regular rate for work on the following holiday(s).

New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### Paid Holidays

None

#### Shift Rates

30% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shifts Monday to Friday. 50% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shift work performed on weekends. For shift work on holidays, double time wages and fringe benefits shall be paid.

(Plumbers Local #1)

## PLUMBER: PUMP & TANK Oil Trades (Installation and Maintenance)

### Plumber - Pump & Tank

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$62.83 Supplemental Benefit Rate per Hour: \$21.37

#### Overtime

Γime and one half the regular rate after an 8 hour day. Γime and one half the regular rate for Saturday. Γime and one half the regular rate for Sunday.

### **Overtime Holidays**

Fime and one half the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day ndependence Day \_abor Day Columbus Day /eteran's Day Fhanksgiving Day



Day after Thanksgiving Christmas Day

# Paid Holidays

#### Shift Rates

All work outside the regular workday (8:00 A.M. to 3:30 P.M.) is to be paid at time and one half the regular hourly rate

(Plumbers Local #1)

## POINTER - WATERPROOFER, CAULKER MECHANIC (EXTERIOR BUILDING RENOVATION)

## Pointer - Waterproofer, Caulker Mechanic

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$47.41 Supplemental Benefit Rate per Hour: \$24.40

#### Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

#### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

Paid Holidays

None

#### Shift Rates

All work outside the regular work day (an eight hour workday between the hours of 6:00 A.M. and 4:30 P.M.) is to be paid at time and one half the regular rate.

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(Bricklayer District Council)

## ROOFER

## <u>Roofer</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$40.70 Supplemental Benefit Rate per Hour: \$30.17

#### Overtime

Fime and one half the regular rate after an 8 hour day. Fime and one half the regular rate for Saturday. Fime and one half the regular rate for Sunday.

### **Overtime Holidays**

Fime and one half the regular rate for work on the following holiday(s).

New Year's Day President's Day Memorial Day ndependence Day Labor Day Presidential Election Day Fhanksgiving Day Christmas Day

### Paid Holidays

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### Shift Rates

Second shift - Regular hourly rate plus a 10% differential. Third shift - Regular hourly rate plus a 15% differential.

Local #8)

## SANDBLASTER - STEAMBLASTER Exterior Building Renovation)

## <u>Sandblaster / Steamblaster</u>

ffective Period: 7/1/2015 - 6/30/2016

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Wage Rate per Hour: \$47.41 Supplemental Benefit Rate per Hour: \$24.40

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

#### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

### Paid Holidays

None

#### Shift Rates

All work outside the regular work day (an eight hour workday between the hours of 6:00 A.M. and 4:30 P.M.) is to be paid at time and one half the regular rate.

(Bricklayer District Council)

## SHEET METAL WORKER

### **Sheet Metal Worker**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$46.96 Supplemental Benefit Rate per Hour: \$45.19 Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

## Sheet Metal Worker - Fan Maintenance

(The temporary operation of fans or blowers in new or existing buildings for heating and/or ventilation, and/or air conditioning prior to the completion of the project.)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$37.57

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Supplemental Benefit Rate per Hour: \$45.19

#### <u> Sheet Metal Worker - Duct Cleaner</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$12.90 Supplemental Benefit Rate per Hour: \$8.07

#### Overtime

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

### Paid Holidays

None

### Shift Rates

Work that can only be performed outside regular working hours (seven hours of work between 7:30 A.M. and 3:30 P.M.) - First shift (work between 3:30 P.M. and 11:30 P.M.) - 10% differential above the established hourly rate. Second shift (work between 11:30 P.M. and 7:30 A.M.) - 15% differential above the established hourly rate.

For Fan Maintenance: On all full shifts of fan maintenance work the straight time hourly rate of pay will be paid for each shift, including nights, Saturdays, Sundays, and holidays.

(Local #28)

SHEET METAL WORKER - SPECIALTY (Decking & Siding)

#### Sheet Metal Specialty Worker

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The first worker to perform this work must be paid at the rate of the Sheet Metal Worker. The second and third workers shall be paid the Specialty Worker Rate. The ratio of One Sheet Metal Worker, then Two Specialty Workers shall be utilized thereafter.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$42.64 Supplemental Benefit Rate per Hour: \$23.62 Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Christmas Day

Paid Holidays

(Local #28)

## SHIPYARD WORKER

#### Shipyard Mechanic - First Class

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$27.54 Supplemental Benefit Rate per Hour: \$3.01

#### Shipyard Mechanic - Second Class

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$20.22 Supplemental Benefit Rate per Hour: \$2.73

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### <u> Shipyard Laborer - First Class</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$20.90 Supplemental Benefit Rate per Hour: \$2.75

#### Shipyard Laborer - Second Class

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$13.86 Supplemental Benefit Rate per Hour: \$2.48

### <u> Shipyard Dockhand - First Class</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$23.61 Supplemental Benefit Rate per Hour: \$2.86

### <u> Shipyard Dockhand - Second Class</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$15.94 Supplemental Benefit Rate per Hour: \$2.56

#### **Overtime Description**

Work performed on holiday is paid double time the regular hourly wage rate plus holiday pay.

#### Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Time and one half the regular hourly rate after 40 hours in any work week.

#### Paid Holidays

New Year's Day Martin Luther King Jr. Day President's Day Good Friday Memorial Day Independence Day Labor Day Thanksgiving Day Day after Thanksgiving Christmas Day

Based on Survey Data

# SIGN ERECTOR (Sheet Metal, Plastic, Electric, and Neon)

### Sign Erector

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$45.60 Supplemental Benefit Rate per Hour: \$46.28

### Overtime

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday. Time and one half the regular rate for work on the following holiday(s).

### **Paid Holidays**

New Year's Day Washington's Birthday Memorial Day Independence Day Labor Day Columbus Day Election Day Thanksgiving Day Day after Thanksgiving Christmas Day

### **Shift Rates**

Time and one half the regular hourly rate is to be paid for all hours worked outside the regular workday either (7:00 A.M. through 2:30 P.M.) or (8:00 A.M. through 3:30 P.M.)

(Local #137)

# STEAMFITTER

### Steamfitter I

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$55.00 Supplemental Benefit Rate per Hour: \$52.79 Supplemental Note: Overtime supplemental benefit rate: \$104.84

## Steamfitter -Temporary Services

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The steamfitters shall not do any other work and shall not be permitted to work more than one shift in a twentyfour hour day. When steamfitters are present during the regular working day, no temporary services steamfitter will be required

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$41.80 Supplemental Benefit Rate per Hour: \$42.76 Supplemental Note: .

#### Overtime

Double time the regular rate after a 7 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

### Paid Holidays

None

#### Shift Rates

Work performed between 3:30 P.M. and 7:00 A.M. and on Saturdays, Sundays and Holidays shall be at double time the regular hourly rate and paid at the overtime supplemental benefit rate above.

### <u>Steamfitter II</u>

For heating, ventilation, air conditioning and mechanical public works contracts with a dollar value not to exceed \$15,000,000 and for fire protection/sprinkler public works contracts not to exceed \$1,500,000.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$55.00 Supplemental Benefit Rate per Hour: \$52.79 Supplemental Note: Overtime supplemental benefit rate: \$104.84

### Steamfitter -Temporary Services

The steamfitters shall not do any other work and shall not be permitted to work more than one shift in a twentyfour hour day. When steamfitters are present during the regular working day, no temporary services steamfitter will be required.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$41.80 Supplemental Benefit Rate per Hour: \$42.76

#### **Overtime**

Double time the regular rate after an 8 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

# Paid Holidays

None

### Shift Rates

May be performed outside of the regular workday except Saturday, Sunday and Holidays. A shift shall consist of eight working hours. All work performed in excess of eight hours shall be paid at double time. No shift shall commence after 7:00 P.M. on Friday or 7:00 P.M. the day before holidays. All work performed after 12:01 A.M. Saturday or 12:01 A.M. the day before a Holiday will be paid at double time. When shift work is performed the wage rate for regular time worked is a thirty percent premium together with fringe benefits.

On Transit Authority projects, where work is performed in the vicinity of tracks all shift work on weekends and holidays may be performed at the regular shift rates.

Local #638

**STEAMFITTER - REFRIGERATION AND AIR CONDITIONER** (Maintenance and Installation Service Person)

### **Refrigeration and Air Conditioner Mechanic**

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Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$39.25 Supplemental Benefit Rate per Hour: \$13.81

### <u>Refrigeration and Air Conditioner Service Person V</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$32.25 Supplemental Benefit Rate per Hour: \$12.44

### Refrigeration and Air Conditioner Service Person IV

Effective Period: 7/1/2015 - 6/30/2016 Nage Rate per Hour: \$26.72 Supplemental Benefit Rate per Hour: \$11.30

### Refrigeration and Air Conditioner Service Person III

Filter changing and maintenance thereof, oil and greasing, tower and coil cleaning, scraping and painting, general housekeeping, taking of water samples.

Effective Period: 7/1/2015 - 6/30/2016 Nage Rate per Hour: \$22,93 Supplemental Benefit Rate per Hour: \$10.45

### Refrigeration and Air Conditioner Service Person II

Filter changing and maintenance thereof, oil and greasing, tower and coil cleaning, scraping and painting, general housekeeping, taking of water samples.

Effective Period: 7/1/2015 - 6/30/2016 Nage Rate per Hour: \$19.02 Supplemental Benefit Rate per Hour: \$9.67

### Refrigeration and Air Conditioner Service Person I

Filter changing and maintenance thereof, oil and greasing, tower and coil cleaning, scraping and painting, general housekeeping, taking of water samples.

Effective Period: 7/1/2015 - 6/30/2016 Nage Rate per Hour: \$13.91 Supplemental Benefit Rate per Hour: \$8.78

#### Overtime

lime and one half the regular rate after an 8 hour day. lime and one half the regular rate for Saturday. Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s). New Year's Day Independence Day Labor Day Veteran's Day Thanksgiving Day Christmas Day

Double time and one half the regular rate for work on the following holiday(s). Martin Luther King Jr. Day President's Day Memorial Day Columbus Day

#### Paid Holidays

New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Christmas Day

(Local #638B)

## **STONE MASON - SETTER**

#### Stone Mason - Setters

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$47.20 Supplemental Benefit Rate per Hour: \$37.15

#### **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Washington's Birthday Good Friday Memorial Day Independence Day

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Labor Day Thanksgiving Day Christmas Day

### Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

#### Shift Rates

For all work outside the regular workday (8:00 A.M. to 3:30 P.M. Monday through Friday), the pay shall be straight time plus a ten percent (10%) differential.

Bricklayers District Council)

# TAPER

### <u>Drywall Taper</u>

Effective Period: 7/1/2015 - 12/29/2015 Wage Rate per Hour: **\$46.32** Supplemental Benefit Rate per Hour: **\$22.66** 

Effective Period: 12/30/2015 - 6/30/2016 Wage Rate per Hour: \$46.82 Supplemental Benefit Rate per Hour: \$22.66

### Overtime

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day Martin Luther King Jr. Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Thanksgiving Day Christmas Day

### Paid Holidays

Any worker who reports to work on Christmas Eve or New Year's Eve pursuant to his employer's instruction shall be entitled to three (3) hours afternoon pay without working.

### Shift Rates

Time and one half the regular rate outside the regular work hours (8:00 A.M. through 3:30 P.M.)

(Local #1974)

# TELECOMMUNICATION WORKER (Voice Installation Only)

### **Telecommunication Worker**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$40.35 Supplemental Benefit Rate per Hour: \$13.19 Supplemental Note: The above rate applies for Manhattan, Bronx, Brooklyn, Queens. \$12.64 for Staten Island only.

### **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day Lincoln's Birthday Washington's Birthday Memorial Day Independence Day Labor Day Columbus Day Election Day Veteran's Day Thanksgiving Day Christmas Day

### **Paid Holidays**

New Year's Day Lincoln's Birthday Washington's Birthday Memorial Day Independence Day Labor Day Columbus Day Election Day Veteran's Day

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Thanksgiving Day

Christmas Day

Employees have the option of observing either Martin Luther King's Birthday or the day after Thanksgiving instead of Lincoln's Birthday

### Shift Rates

For any workday that starts before 8A.M. or ends after 6P.M. there is a 10% differential for the applicable worker's hourly rate.

### Vacation

After 6 months	one week.
After 12 months but less than 7 years	two weeks.
After 7 or more but less than 15 years	
After 15 years or more but less than 25 years	

(C.W.A.)

# TILE FINISHER

### <u> Tile Finisher</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$40.03 Supplemental Benefit Rate per Hour: \$29.71

### **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### Paid Holidays

None



#### Shift Rates

Off shift work day (work performed outside the regular 8:00 A.M. to 3:30 P.M. workday): shift differential of one and one quarter (1<sup>1</sup>/<sub>4</sub>) times the regular straight time rate of pay for the seven hours of actual off-shift work.

(Local #7)

## TILE LAYER - SETTER

### **Tile Layer - Setter**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$51.61 Supplemental Benefit Rate per Hour: \$33.46

#### **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### **Shift Rates**

Off shift work day (work performed outside the regular 8:00 A.M. to 3:30 P.M. workday): shift differential of one and one quarter (1<sup>1</sup>/<sub>4</sub>) times the regular straight time rate of pay for the seven hours of actual off-shift work.

(Local #7)

## TIMBERPERSON

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### **Timberperson**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$45.60 Supplemental Benefit Rate per Hour: \$46.67

### Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Time and one half the regular hourly rate after 40 hours in any work week.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Christmas Day

Paid Holidays

None

### Shift Rates

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate.

(Local #1536)

## **TUNNEL WORKER**

### Blasters, Mucking Machine Operators (Compressed Air Rates)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$59.17 Supplemental Benefit Rate per Hour: \$49.45

### **Tunnel Workers (Compressed Air Rates)**

Effective Period: 7/1/2015 - 6/30/2016

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Wage Rate per Hour: \$57.12 Supplemental Benefit Rate per Hour: \$47.80

### **Top Nipper (Compressed Air Rates)**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$56.07 Supplemental Benefit Rate per Hour: \$46.96

## Outside Lock Tender, Outside Gauge Tender, Muck Lock Tender (Compressed Air Rates)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$55.06 Supplemental Benefit Rate per Hour: \$46.07

## Bottom Bell & Top Bell Signal Person: Shaft Person (Compressed Air Rates)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$55.06 Supplemental Benefit Rate per Hour: \$46.07

### Changehouse Attendant: Powder Watchperson (Compressed Air Rates)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$48.16 Supplemental Benefit Rate per Hour: \$43.62

### **Blasters (Free Air Rates)**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$56.47 Supplemental Benefit Rate per Hour: \$47.47

### **Tunnel Workers (Free Air Rates)**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$54.04 Supplemental Benefit Rate per Hour: \$45.45

### All Others (Free Air Rates)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$49.93 Supplemental Benefit Rate per Hour: \$42.06

### Microtunneling (Free Air Rates)

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Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$43.23 Supplemental Benefit Rate per Hour: \$36.36

### **Overtime Description**

For Repair-Maintenance Work on Existing Equipment and Facilities - Time and one half the regular rate after a 7 hour day, or for Saturday, or for Sunday. Double time the regular rate for work on a holiday. For Small-Bore Micro Tunneling Machines - Time and one-half the regular rate shall be paid for all overtime.

### Overtime

Double time the regular rate after an 8 hour day.

- Double time the regular time rate for Saturday.
- Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

### Paid Holidays

New Year's Day Lincoln's Birthday President's Day Memorial Day Independence Day Labor Day Columbus Day Election Day Veteran's Day Fhanksgiving Day Christmas Day

Local #147)

## WELDER TO BE PAID AT THE RATE OF THE JOURNEYPERSON IN THE TRADE PERFORMING THE WORK.

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# **OFFICE OF THE COMPTROLLER**

# **CITY OF NEW YORK**

# 220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

## APPENDIX

Pursuant to Labor Law §220 (3-e), only apprentices who are individually registered in a bona fide program to which the employer contractor is a participant and registered with the New York State Department of Labor, may be employed on a public work project.

Any employee listed on a payroll at an apprentice wage rate, who is not registered as above, shall be paid the journey person wage rate for the classification of work he actually performed.

Apprentice ratios are established to ensure the proper safety, training and supervision of apprentices. A ratio establishes the number of journey workers required for each apprentice in a program and on a job site. Ratios are interpreted as follows: in the case of a 1:1, 1:4 ratio, there must be one journey worker for the first apprentice, and four additional journey workers for each subsequent apprentice.

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# ASBESTOS HANDLER (Ratio of Apprentice Journeyperson: 1 to 1, 1 to 3)

## Asbestos Handler (First 1000 Hours)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 78% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$15.95

### Asbestos Handler (Second 1000 Hours)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$15.95

## Asbestos Handler (Third 1000 Hours)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 83% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$15.95

## Asbestos Handler (Fourth 1000 Hours)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 89% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$15.95

(Local #78)

# BOILERMAKER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

### **Boilermaker (First Year)**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$30.00

## Boilermaker (Second Year: 1st Six Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 70% of Journeyperson's rate

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Supplemental Benefit Rate Per Hour: \$31.66

### Boilermaker (Second Year: 2nd Six Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$33.32

### Boilermaker (Third Year: 1st Six Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$35.00

### Boilermaker (Third Year: 2nd Six Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 85% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$36.67

### Boilermaker (Fourth Year: 1st Six Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 90% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$38.34

### <u>Boilermaker (Fourth Year: 2nd Six Months)</u>

Effective Period: 7/1/2015 - 6/30/2016 Vage Rate Per Hour: 95% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$40.01

Local #5)

### BRICKLAYER

Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

### Bricklayer (First 750 Hours)

Effective Period: 7/1/2015 - 6/30/2016 Vage Rate Per Hour: 50% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$17.10

### Bricklayer (Second 750 Hours)

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Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 60% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$17.10

## Bricklayer (Third 750 Hours)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$17.10

## Bricklayer (Fourth 750 Hours)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$17.10

## Bricklayer (Fifth 750 Hours)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 90% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$17.10

## Bricklayer (Sixth 750 Hours)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 95% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$17.10

(Bricklayer District Council)

# CARPENTER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

## **Carpenter (First Year)**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 40% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$31.14

### **Carpenter (Second Year)**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$31.14

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## <u> Carpenter (Third Year)</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$31.14

## Carpenter (Fourth Year)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$31.14

(Carpenters District Council)

## CEMENT MASON (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

### <u> Cement Mason (First Year)</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 50% of Journeyperson's Rate

### Cement Mason (Second Year)

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 60% of Journeyperson's Rate

### <u> Cement Mason (Third Year)</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 70% of Journeyperson's Rate

(Local #780)

# CEMENT AND CONCRETE WORKER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

### Cement & Concrete Worker (First 1333 hours)

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Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$18.84

### Cement & Concrete Worker (Second 1333 hours)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$24.65

### Cement & Concrete Worker (Last 1334 hours)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$25.47

(Cement Concrete Workers District Council)

# DERRICKPERSON & RIGGER (STONE)

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

### Derrickperson & Rigger (stone) - First Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Benefit Rate Per Hour: 50% of Journeyperson's rate

### Derrickperson & Rigger (stone) - Second Year: 1st Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

### Derrickperson & Rigger (stone) - Second Year: 2nd Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

### Derrickperson & Rigger (stone) - Third Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 90% of Journeyperson's rate Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

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Local #197)

# DOCKBUILDER/PILE DRIVER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)

### Dockbuilder/Pile Driver (First Year)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 40% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$31.52

### Dockbuilder/Pile Driver (Second Year)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$31.52

### Dockbuilder/Pile Driver (Third Year)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$31.52

### Dockbuilder/Pile Driver (Fourth Year)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$31.52

(Carpenters District Council)

ELECTRICIAN (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

### Electrician (First Term: 0-6 Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$13.00

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Supplemental Benefit Rate per Hour: \$11.61 Overtime Supplemental Rate Per Hour: \$12.47

## Electrician (First Term: 7-12 Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$14.00 Supplemental Benefit Rate per Hour: \$12.12 Overtime Supplemental Rate Per Hour: \$13.04

### Electrician (Second Term: 0-6 Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$15.00 Supplemental Benefit Rate per Hour: \$12.63 Overtime Supplemental Rate Per Hour: \$13.62

### Electrician (Second Term: 7-12 Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$16.00 Supplemental Benefit Rate per Hour: \$13.14 Overtime Supplemental Rate Per Hour: \$14.19

### <u>Electrician (Third Term: 0-6 Months)</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$17.00 Supplemental Benefit Rate per Hour: \$13.65 Overtime Supplemental Rate Per Hour: \$14.77

### Electrician (Third Term: 7-12 Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$18.00 Supplemental Benefit Rate per Hour: \$14.16 Overtime Supplemental Rate Per Hour: \$15.34

### **Electrician (Fourth Term: 0-6 Months)**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$19.00 Supplemental Benefit Rate per Hour: \$14.67 Overtime Supplemental Rate Per Hour: \$15.92

### Electrician (Fourth Term: 7-12 Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$21.00

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Supplemental Benefit Rate per Hour: \$15.68 Overtime Supplemental Rate Per Hour: \$17.07

### Electrician (Fifth Term: 0-12 Months - Hired on or after 5/10/07)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$23.00 Supplemental Benefit Rate per Hour: \$18.56 Overtime Supplemental Rate Per Hour: \$20.00

### Electrician (Fifth Term: 13-18 Months - Hired on or after 5/10/07)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: **\$27.50** Supplemental Benefit Rate per Hour: **\$20.82** Overtime Supplemental Rate Per Hour: **\$22.5**4

### Electrician (Fifth Term: 0-18 Months - Hired before 5/10/07)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: **\$26.80** Supplemental Benefit Rate per Hour: **\$20.46** Overtime Supplemental Rate Per Hour: \$22.14

### **Overtime Description**

Overtime Wage paid at time and one half the regular rate For "A" rated Apprentices (work in excess of 7 hours per day) For "M" rated Apprentices (work in excess of 8 hours per day)

(Local #3)

## ELEVATOR CONSTRUCTOR (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 2)

# Elevator (Constructor) - First Year

Effective Period: 7/1/2015 - 3/16/2016 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Rate Per Hour: \$26.94

Effective Period: 3/17/2016 - 6/30/2016 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Rate Per Hour: \$28.41

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## Elevator (Constructor) - Second Year

Effective Period: 7/1/2015 - 3/16/2016 Wage Rate Per Hour: 55% of Journeyperson's rate Supplemental Rate Per Hour: \$27.35

Effective Period: 3/17/2016 - 6/30/2016 Wage Rate Per Hour: 55% of Journeyperson's rate Supplemental Rate Per Hour: \$28.84

### Elevator (Constructor) - Third Year

Effective Period: 7/1/2015 - 3/16/2016 Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Rate Per Hour: \$28.17

Effective Period: 3/17/2016 - 6/30/2016 Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Rate Per Hour: \$29.69

### **Elevator (Constructor) - Fourth Year**

Effective Period: 7/1/2015 - 3/16/2016 Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Rate Per Hour: \$29.00

Effective Period: 3/17/2016 - 6/30/2016 Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Rate Per Hour: \$30.54

(Local #1)

## ELEVATOR REPAIR & MAINTENANCE (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 2)

### **Elevator Service/Modernization Mechanic (First Year)**

Effective Period: 7/1/2015 - 3/16/2016 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Benefit Per Hour: \$26.87

Effective Period: 3/17/2016 - 6/30/2016 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Benefit Per Hour: \$28.34

### Elevator Service/Modernization Mechanic (Second Year)

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Effective Period: 7/1/2015 - 3/16/2016 Wage Rate Per Hour: 55% of Journeyperson's rate Supplemental Benefit Per Hour: \$27.27

Effective Period: 3/17/2016 - 6/30/2016 Wage Rate Per Hour: 55% of Journeyperson's rate Supplemental Benefit Per Hour: \$28.76

### <u>Elevator Service/Modernization Mechanic (Third Year)</u>

Effective Period: 7/1/2015 - 3/16/2016 Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Benefit Per Hour: \$28.08

Effective Period: 3/17/2016 - 6/30/2016 Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Benefit Per Hour: \$29.60

### Elevator Service/Modernization Mechanic (Fourth Year)

Effective Period: 7/1/2015 - 3/16/2016 Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Benefit Per Hour: \$28.89

Effective Period: 3/17/2016 - 6/30/2016 Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Benefit Per Hour: \$30.43

(Local #1)

ENGINEER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 5)

### Engineer - First Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$23.68 Supplemental Benefit Rate per Hour: \$22.55

### Engineer - Second Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$29.60 Supplemental Benefit Rate per Hour: \$22.55

## Engineer - Third Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$32.56 Supplemental Benefit Rate per Hour: \$22.55

### **Engineer - Fourth Year**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$35.52 Supplemental Benefit Rate per Hour: \$22.55

(Local #15)

# ENGINEER - OPERATING

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 5)

## **Operating Engineer - First Year**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour 40% of Journeyperson's Rate Supplemental Benefit Per Hour: \$20.15

### **Operating Engineer - Second Year**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 50% of Journeyperson's Rate Supplemental Benefit Per Hour: \$20.15

### **Operating Engineer - Third Year**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 60% of Journeyperson's Rate Supplemental Benefit Per Hour: \$20.15

(Local #14)

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## FLOOR COVERER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

## Floor Coverer (First Year)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 40% of Journeyperson's rate Supplemental Rate Per Hour: \$31.14

### Floor Coverer (Second Year)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Rate Per Hour: \$31.14

### Floor Coverer (Third Year)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Rate Per Hour: \$31.14

### <u> Floor Coverer (Fourth Year)</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Rate Per Hour: \$31.14

(Carpenters District Council)

GLAZIER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

### <u> Glazier (First Year)</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 40% of Journeyperson's rate Supplemental Rate Per Hour: \$13.64 Effective 11/1/2015 - Supplemental Rate Per Hour: \$13.79

### <u> Glazier (Second Year)</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 50% of Journeyperson's rate

Supplemental Rate Per Hour: \$22.97 Effective 11/1/2015 - Supplemental Rate Per Hour: \$23.13

## **Glazier (Third Year)**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 60% of Journeyperson's rate Supplemental Rate Per Hour: \$25.87 Effective 11/1/2015 - Supplemental Rate Per Hour: \$26.03

## **Glazier (Fourth Year)**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Rate Per Hour: \$31.04 Effective 11/1/2015 - Supplemental Rate Per Hour: \$31.29

(Local #1281)

## HEAT & FROST INSULATOR (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

## Heat & Frost Insulator (First Year)

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 40% of Journeyperson's rate

## Heat & Frost Insulator (Second Year)

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

### Heat & Frost Insulator (Third Year)

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 70% of Journeyperson's rate

## Heat & Frost Insulator (Fourth Year)

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

(Local #12)

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HOUSE WRECKER (TOTAL DEMOLITION) (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

### <u> House Wrecker - First Year</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$21.17 Supplemental Benefit Rate per Hour: \$17.33

### House Wrecker - Second Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$22.32 Supplemental Benefit Rate per Hour: \$17.33

### <u> House Wrecker - Third Year</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$23.97 Supplemental Benefit Rate per Hour: \$17.33

### <u> House Wrecker - Fourth Year</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$26.53 Supplemental Benefit Rate per Hour: \$17.33

(Mason Tenders District Council)

## **IRON WORKER - ORNAMENTAL**

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

## Iron Worker (Ornamental) - 1st Ten Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Rate Per Hour: \$36.50

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## Iron Worker (Ornamental) - 11 -16 Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 55% of Journeyperson's rate Supplemental Rate Per Hour: \$37.62

### Iron Worker (Ornamental) - 17 - 22 Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 60% of Journeyperson's rate Supplemental Rate Per Hour: \$38.73

### Iron Worker (Ornamental) - 23 - 28 Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Rate Per Hour: \$40.97

### Iron Worker (Ornamental) - 29 - 36 Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Rate Per Hour: \$43.20

(Local #580)

## **IRON WORKER - STRUCTURAL** (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)

## Iron Worker (Structural) - 1st Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$25.48 Supplemental Benefit Rate per Hour: \$46.83

### Iron Worker (Structural) - 7- 18 Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$26.08 Supplemental Benefit Rate per Hour: \$46.83

### Iron Worker (Structural) - 19 - 36 months

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Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$26.68 Supplemental Benefit Rate per Hour: \$46.83

(Local #40 and #361)

# LABORER (FOUNDATION, CONCRETE, EXCAVATING, STREET PIPE LAYER & COMMON)

(Ratio Apprentice to Journeyperson: 1 to 1, 1 to 3)

## Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - First 1000 hours

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Rate Per Hour: \$36.53

## Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) -Second 1000 hours

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 60% of Journeyperson's rate Supplemental Rate Per Hour: \$36.53

## Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) -Third 1000 hours

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Rate Per Hour: \$36.53

## Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) -Fourth 1000 hours

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 90% of Journeyperson's rate Supplemental Rate Per Hour: \$36.53

(Local #731)

# MARBLE MECHANICS (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

### Cutters & Setters - First 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

NO BENEFITS PAID DURING THE FIRST TWO MONTHS (PROBATIONARY PERIOD)

### Cutters & Setters - Second 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 55% of Journeyperson's rate

### Cutters & Setters - Third 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 65% of Journeyperson's rate

### Cutters & Setters - Fourth 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 75% of Journeyperson's rate

### Cutters & Setters - Fifth 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 85% of Journeyperson's rate

### Cutters & Setters - Sixth 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 95% of Journeyperson's rate

### Polishers & Finishers - First 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

NO BENEFITS PAID DURING THE FIRST TWO MONTHS (PROBATIONARY PERIOD)

### Polishers & Finishers - Second 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

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### Polishers & Finishers - Third 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 75% of Journeyperson's rate

## Polishers & Finishers - Fourth 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 90% of Journeyperson's rate

(Local #7)

## MASON TENDER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

### <u> Mason Tender - First Year</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$21.39 Supplemental Benefit Rate per Hour: \$18.44

### <u> Mason Tender - Second Year</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$22.54 Supplemental Benefit Rate per Hour: \$18.44

### Mason Tender - Third Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$24.29 Supplemental Benefit Rate per Hour: \$18.49

### Mason Tender - Fourth Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$26.95 Supplemental Benefit Rate per Hour: \$18.49

(Local #79)

## **METALLIC LATHER** (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

## Metallic Lather (First Year -Called Prior to 6/29/11)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$29.41 Supplemental Benefit Rate per Hour: \$22.89

## Metallic Lather (Second Year - Called Prior to 6/29/11)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$34.01 Supplemental Benefit Rate per Hour: \$24.54

## Metallic Lather (Third Year - Called Prior to 6/29/11)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$39.07 Supplemental Benefit Rate per Hour: \$25.69

## Metallic Lather (First Year -Called On Or After 6/29/11)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$23.01 Supplemental Benefit Rate per Hour: \$17.95

## Metallic Lather (Second Year - Called On Or After 6/29/11)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$28.11 Supplemental Benefit Rate per Hour: \$17.95

### Metallic Lather (Third Year - Called On Or After 6/29/11)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$33.21 Supplemental Benefit Rate per Hour: \$17.95

(Local #46)

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### MILLWRIGHT (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

### <u> Millwright (First Year)</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$27.23 Supplemental Benefit Rate per Hour: \$34.06

### <u> Millwright (Second Year)</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$32.18 Supplemental Benefit Rate per Hour: \$37.62

### <u> Millwright (Third Year)</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$37.13 Supplemental Benefit Rate per Hour: \$41.83

### <u> Millwright (Fourth Year)</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$47.03 Supplemental Benefit Rate per Hour: \$48.31

(Local #740)

## PAVER AND ROADBUILDER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

### Paver and Roadbuilder - First Year (Minimum 1000 hours)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$27.05 Supplemental Benefit Rate per Hour: \$17.12

### Paver and Roadbuilder - Second Year (Minimum 1000 hours)

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Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$28.69 Supplemental Benefit Rate per Hour: \$17.12

(Local #1010)

## **PAINTER** (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

### Painter - Brush & Roller - First Year

Effective Period: 7/1/2015 - 10/31/2015 Wage Rate per Hour: \$15.80 Supplemental Benefit Rate per Hour: \$11.88

Effective Period: 11/1/2015 - 6/30/2016 Wage Rate per Hour: \$16.40 Supplemental Benefit Rate per Hour: \$12.13

## Painter - Brush & Roller - Second Year

Effective Period: 7/1/2015 - 10/31/2015 Wage Rate per Hour: \$19.75 Supplemental Benefit Rate per Hour: \$15.73

Effective Period: 11/1/2015 - 6/30/2016 Wage Rate per Hour: \$20.50 Supplemental Benefit Rate per Hour: \$15.98

### Painter - Brush & Roller - Third Year

Effective Period: 7/1/2015 - 10/31/2015 Wage Rate per Hour: \$23.70 Supplemental Benefit Rate per Hour: \$18.64

Effective Period: 11/1/2015 - 6/30/2016 Wage Rate per Hour: **\$24.60** Supplemental Benefit Rate per Hour: **\$18.89** 

### Painter - Brush & Roller - Fourth Year

Effective Period: 7/1/2015 - 10/31/2015 Wage Rate per Hour: \$31.60

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Supplemental Benefit Rate per Hour: \$24.02

Effective Period: 11/1/2015 - 6/30/2016 Wage Rate per Hour: \$32.80 Supplemental Benefit Rate per Hour: \$24.27

(District Council of Painters)

## PAINTER - STRUCTURAL STEEL

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

### Painters - Structural Steel (First Year)

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 40% of Journeyperson's rate

#### Painters - Structural Steel (Second Year)

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

### Painters - Structural Steel (Third Year)

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

(Local #806)

### PLASTERER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

### Plasterer - First Year: 1st Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 40% of Journeyperson's rate Supplemental Rate Per Hour: \$15.76

#### Plasterer - First Year: 2nd Six Months

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Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 45% of Journeyperson's rate Supplemental Rate Per Hour: \$16.24

### Plasterer - Second Year: 1st Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 55% of Journeyperson's rate Supplemental Rate Per Hour: \$18.21

## Plasterer - Second Year: 2nd Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 60% of Journeyperson's rate Supplemental Rate Per Hour: \$19.29

### Plasterer - Third Year: 1st Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Rate Per Hour: \$21.46

### Plasterer - Third Year: 2nd Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Rate Per Hour: \$22.54

(Local #530)

## PLUMBER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

### Plumber - First Year: 1st Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$14.00 Supplemental Benefit Rate per Hour: \$0.71

### Plumber - First Year: 2nd Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: **\$14.00** 

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Supplemental Benefit Rate per Hour: \$2.96

### Plumb<u>er - Second Year</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$23.87 Supplemental Benefit Rate per Hour: \$12.76

### Plumber - Third Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$25.97 Supplemental Benefit Rate per Hour: \$12.76

### Plumber - Fourth Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$28.82 Supplemental Benefit Rate per Hour: \$12.76

### Plumber - Fifth Year: 1st Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$30.22 Supplemental Benefit Rate per Hour: \$12.76

### Plumber - Fifth Year: 2nd Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: **\$42.29** Supplemental Benefit Rate per Hour: **\$12.76** 

(Plumbers Local #1)

## POINTER - WATERPROOFER, CAULKER MECHANIC (EXTERIOR BUILDING RENOVATION)

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

## Pointer - Waterproofer, Caulker Mechanic - First Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$25.01

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#### Supplemental Benefit Rate per Hour: \$4.75

### Pointer - Waterproofer, Caulker Mechanic - Second Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$27.25 Supplemental Benefit Rate per Hour: \$9.70

#### Pointer - Waterproofer, Caulker Mechanic - Third Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$32.24 Supplemental Benefit Rate per Hour: \$12.45

#### Pointer - Waterproofer, Caulker Mechanic - Fourth Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$38.66 Supplemental Benefit Rate per Hour: \$12.45

(Bricklayer District Council)

### **ROOFER** (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 2)

#### **Roofer - First Year**

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 35% of Journeyperson's Rate

#### Roofer - Second Year

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 50% of Journeyperson's Rate

### Roofer - Third Year

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 60% of Journeyperson's Rate

### **Roofer - Fourth Year**

Effective Period: 7/1/2015 - 6/30/2016

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Vage and Supplemental Rate Per Hour: 75% of Journeyperson's Rate

Local #8)

## SHEET METAL WORKER

Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

### <u>Sheet Metal Worker (0-6 Months)</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 25% of Journeyperson's rate Supplemental Rate Per Hour: \$6.24

### Sheet Metal Worker (7-18 Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 35% of Journeyperson's rate Supplemental Rate Per Hour: \$16.71

### Sheet Metal Worker (19-30 Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 45% of Journeyperson's rate Supplemental Rate Per Hour: \$23.00

### Sheet Metal Worker (31-36 Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 55% of Journeyperson's rate Supplemental Rate Per Hour: \$27.02

### Sheet Metal Worker (37-42 Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 60% of Journeyperson's rate Supplemental Rate Per Hour: \$29.06

### Sheet Metal Worker (43-48 Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Rate Per Hour: \$33.10

### Sheet Metal Worker (49-54 Months)



Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Rate Per Hour: \$35.12

### Sheet Metal Worker (55-60 Months)

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Rate Per Hour: \$37.15

(Local #28)

## SIGN ERECTOR (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

### Sign Erector - First Year: 1st Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 35% of Journeyperson's rate Supplemental Rate Per Hour: \$13.18

### Sign Erector - First Year: 2nd Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 40% of Journeyperson's rate Supplemental Rate Per Hour: \$14.95

### Sign Erector - Second Year: 1st Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 45% of Journeyperson's rate Supplemental Rate Per Hour: \$16.74

### Sign Erector - Second Year: 2nd Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Rate Per Hour: \$18.52

### Sign Erector - Third Year: 1st Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 55% of Journeyperson's rate Supplemental Rate Per Hour: \$24.94

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### Sign Erector - Third Year: 2nd Six Months

Effective Period: 7/1/2015 - 6/30/2016 Nage Rate Per Hour: 60% of Journeyperson's rate Supplemental Rate Per Hour: \$26.87

### Sign Erector - Fourth Year: 1st Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Rate Per Hour: \$29.47

### Sign Erector - Fourth Year: 2nd Six Months

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Rate Per Hour: \$31.46

#### Sign Erector - Fifth Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Rate Per Hour: \$33.43

### <u> Sign Erector - Sixth Year</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Rate Per Hour: \$35.41

(Local #137)

### STEAMFITTER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

### <u> Steamfitter - First Year</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate and Supplemental Per Hour: 40% of Journeyperson's rate

#### Steamfitter - Second Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate and Supplemental Rate Per Hour: 50% of Journeyperson's rate.

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### <u> Steamfitter - Third Year</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate and Supplemental Rate per Hour: 65% of Journeyperson's rate.

### Steamfitter - Fourth Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate and Supplemental Rate Per Hour: 80% of Journeyperson's rate.

### Steamfitter - Fifth Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate and Supplemental Rate Per Hour: 85% of Journeyperson's rate.

(Local #638)

### STONE MASON - SETTER (Ratio Apprentice of Journeyperson: 1 to 1, 1 to 2)

### Stone Mason - Setters - First 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

### Stone Mason - Setters - Second 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 60% of Journeyperson's rate Supplemental Rate Per Hour: 50% of Journeyperson's rate

### Stone Mason - Setters - Third 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Rate Per Hour: 50% of Journeyperson's rate

### Stone Mason - Setters - Fourth 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Rate Per Hour: 50% of Journeyperson's rate

### Stone Mason - Setters - Fifth 750 Hours

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Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 90% of Journeyperson's rate Supplemental Rate Per Hour: 50% of Journeyperson's rate

#### Stone Mason - Setters - Sixth 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 100% of Journeyperson's rate Supplemental Rate Per Hour: 50% of Journeyperson's rate

Bricklayers District Council)

# TAPER(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

#### Drywall Taper - First Year

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 40% of Journeyperson's rate

#### Drywall Taper - Second Year

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

#### <u> Drywall Taper - Third Year</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

(Local #1974)

### TILE LAYER - SETTER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

#### <u> Tile Layer - Setter - First 750 Hours</u>

Effective Period: 7/1/2015 - 6/30/2016

PUBLISH DATE: 7/1/2015 EFFECTIVE PERIOD: JULY 1, 2015 THROUGH JUNE 30, 2016 Page 32 of 34

Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

### Tile Layer - Setter - Second 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 55% of Journeyperson's rate

### Tile Layer - Setter - Third 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 65% of Journeyperson's rate

#### <u> Tile Layer - Setter - Fourth 750 Hours</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 75% of Journeyperson's rate

#### Tile Layer - Setter - Fifth 750 Hours

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 85% of Journeyperson's rate

### <u> Tile Layer - Setter - Sixth 750 Hours</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage and Supplemental Rate Per Hour: 95% of Journeyperson's rate

(Local #7)

### TIMBERPERSON

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)

### Timberperson - First Year

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 40% of Journeyperson's rate Supplemental Rate Per Hour: \$31.54

#### <u>Timberperson - Second Year</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Rate Per Hour: \$31.54



### <u> Timberperson - Third Year</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Rate Per Hour: \$31.54

### <u> Timberperson - Fourth Year</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Rate Per Hour: \$31.54

(Local #1536)

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#### NYC ADMINISTRATIVE CODE § 6-109 SCHEDULE OF "LIVING WAGES"

Contractors who provide the following services to the City of New York must post a copy of this Living Wage Schedule at their work site(s) as required by New York City Administrative Code § 6-109:

- Building Services,
- Day Care Services,
- Food Services,
- Head Start Services,
- Homecare Services,
- Services to Persons with Cerebral Palsy, and
- Temporary Services.

In accordance with NYC Administrative Code § 6-109, the Comptroller of the City of New York promulgated this schedule of living wages for the above services on contracts for non-emergency work in excess of the small purchase limit set by the Procurement Policy Board; contracting agencies must annex this schedule to such contracts.

This schedule is a compilation of separate determinations of the prevailing rate of wage and supplements made by the Comptroller for each trade classification listed herein pursuant to New York City Administrative Code section 6-109. The source of the wage and supplement rates, whether a collective bargaining agreement, survey data or other, is listed at the end of each classification.

A city service contractor or subcontractor that provides homecare services, day care services, head start services or services to persons with cerebral palsy must pay its covered employees that directly render such services in performance of the city service contract or subcontract no less than the living wage and must provide its employees health benefits (supplemental benefits) or must supplement their hourly wage rate by an amount no less than the health benefits supplement rate. This requirement applies for each hour that the employee works performing the city service contract or subcontract.

A city service contractor or subcontractor that provides building services, food services or temporary services must pay its employees that are engaged in performing the city service contract or subcontract no less than the living wage or the prevailing wage, whichever is greater. Where the living wage is greater than the prevailing wage, the city service contractor or subcontractor must either provide its employees health benefits or must supplement their hourly wage rate by an amount no less than the health benefits supplement rate. Where the prevailing wage is greater than the living wage, the city service contractor or subcontractor must provide its employees the prevailing wage and supplements. These requirements apply for each hour that the employee works performing the city service contract or subcontract.

The appropriate schedule of living wages must be posted at all work sites pursuant to NYC Administrative Code 6-109.

The schedule is applicable for work performed during the effective period, unless otherwise noted. You will be notified of any changes to this schedule by addenda published on our web site www.comptroller.nyc.gov. Schedules for future one-year periods will be published annually in the City Record on or about July 1<sup>st</sup> of each succeeding year and on our web site www.comptroller.nyc.gov.

PUBLISH DATE: 7/1/2015 EFFECTIVE PERIOD: JULY 1, 2015 THROUGH JUNE 30, 2016 Page 1 of 8

The living wage rate and the health benefit supplement rate are known through June 30 of each year and those rates are listed in this schedule.

The living wage rates listed in this schedule may not include all hourly wage calculations for overtime, shift differential, Holiday, Saturday, Sunday or other premium time work. Similarly, this schedule does not set forth every living wage practice with which employers must comply.

Some of the rates in this schedule are based on collective bargaining agreements. These agreements are available for inspection by appointment. Requests for appointments may be made by calling (212) 669-4443, Monday through Friday between the hours of 9 a.m. and 5 p.m.

Answers to questions concerning prevailing wage practices may be obtained from the Classification Unit by calling (212) 669-7974. Please direct all other compliance issues to; Bureau of Labor Law, Attn: Wasyl Kinach, P.E., Office of the Comptroller, 1 Centre Street, Room 1122, New York, N.Y. 10007; Fax (212) 669-4002.

Contractors are solely responsible for maintaining original payroll records, which delineate, among other things, the hours each employee worked within a given classification. Contractors using rates and/or classifications not promulgated by the Comptroller do so at their own risk. Additionally, prior to bid, an agency's chief contracting officer must contact the Bureau of Labor Law to obtain a wage determination for a work classification not published in this schedule.

The information listed below is intended to assist you in meeting your living wage and prevailing wage obligation. Contractors are advised to review the Comptroller's Living Wage Schedule prior to submitting a bid for City work. Any wage rate error made by the contracting agency in the contract documents will <u>not</u> preclude a finding against the contractor for an underpayment of the applicable living wage or the applicable prevailing wage.

This schedule sets forth the living wage and benefit rates required to be annexed to and form part of the contract specifications for work covered by New York City Administrative Code § 6-109. Contractors performing such work are required to pay not less that the rates specified in this schedule for the applicable trade or occupation.

## Benefits are paid for <u>EACH HOUR WORKED</u> unless otherwise noted.

Wasyl Kinach, P.E. Director of Classifications Bureau of Labor Law

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## BUILDING CLEANER AND MAINTAINER (OFFICE)

For the above building service classification, see the Labor Law Section 230 Schedule.

## BUILDING CLEANER AND MAINTAINER (RESIDENTIAL)

For the above building service classification, see the Labor Law Section 230 Schedule.

## CLEANER (PARKING GARAGE)

For the above building service classification, see the Labor Law Section 230 Schedule.

### DAY CARE SERVICES

#### **Day Care Services**

'Day Care Services' means provision of day care services through the city's center-based day care program administered under contract with the city's Administration for Children's Services. No other day care programs shall be covered, including family-based day care programs administered by city-contracted day care centers.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$10.00 Supplemental Benefit Rate per Hour: \$1.50

(NYC Administrative Code §6-109)

## FOOD SERVICE EMPLOYEES

<u>Cook</u>

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Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$16.63 Supplemental Benefit Rate per Hour: \$1.70

### Cafeteria Attendant

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$11.67 Supplemental Benefit Rate per Hour: \$1.70

### **Counter Attendant**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$11.22 Supplemental Benefit Rate per Hour: \$1.70

### Kitchen Helper / Dishwasher

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$11.04 Supplemental Benefit Rate per Hour: \$1.70

#### **Overtime**

Time and one half the regular hourly rate after 40 hours in any work week.

(Based on data from NYS Department of Labor Occupational Employment Statistics and US Department of Labor Bureau of Labor Statistics)

## GARDENER

For the above building service classification, see the Labor Law Section 230 Schedule.

## HEAD START SERVICES

#### Head Start Services

'Head Start Services' means provision of head start services through the city's center-based head start program administered under contract with the city's Administration for Children's Services. No other head start programs shall be covered.

PUBLISH DATE: 7/1/2015 EFFECTIVE PERIOD: JULY 1, 2015 THROUGH JUNE 30, 2016 Page 5 of 8

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$10.00 Supplemental Benefit Rate per Hour: \$1.50

(NYC Administrative Code §6-109)

## HOMECARE SERVICES

### Home Care Services

Homecare Services' means the provision of homecare services under the city's Medicaid Personal Care/Home Attendant or Housekeeping Programs, including but not limited to the In-Home Services for the Elderly Programs administered by the Department for the Aging.

For homecare services provided under the Personal Care Services program, the wage and supplemental benefit rate above shall apply only as long as the state and federal government maintain their combined aggregate proportionate share of funding and approved rates for homecare services in effect as of the date of the enactment of this section.

For contractors or subcontractors providing homecare services, the supplemental benefit rate may be waived by the terms of a bona fide collective bargaining agreement with respect to employees who have never worked a minimum of eighty (80) hours per month for two consecutive months for that covered employer, but such provision may not be waived for any employee once a minimum of eighty (80) hours for two consecutive months have never worked a provision may not be waived for any employee once a minimum of eighty (80) hours for two consecutive months have never worked a provision may not be waived for any employee once a minimum of eighty (80) hours for two consecutive months have never worked.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$10.00 Supplemental Benefit Rate per Hour: \$1.50

(NYC Administrative Code §6-109)

## SECURITY GUARD (ARMED)

For the above building service classification, see the Labor Law Section 230 Schedule.

## SECURITY GUARD (UNARMED)

For the above building service classification, see the Labor Law Section 230 Schedule.

PUBLISH DATE: 7/1/2015 EFFECTIVE PERIOD: JULY 1, 2015 THROUGH JUNE 30, 2016 Page 6 of 8

## SERVICES TO PERSONS WITH CEREBRAL PALSY

### Services To Person With Cerebral Palsy

'Services to Persons with Cerebral Palsy' means provision of services which enable persons with cerebral palsy and related disabilities to lead independent and productive lives through an agency that provides health care, education, employment, housing and technology resources to such persons under contract with the city or the department of education.

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$10.00 Supplemental Benefit Rate per Hour: \$1.50

(NYC Administrative Code §6-109)

## **TEMPORARY OFFICE SERVICES**

### **Administrative Assistant**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$33.89 Supplemental Benefit Rate per Hour: None

### **Cashier**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$11.50 Supplemental Benefit Rate per Hour: None

### **Clerk (various)**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$15.50 Supplemental Benefit Rate per Hour: None

### **Computer Assistant**

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: \$20.12 Supplemental Benefit Rate per Hour: None

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### Data Entry Operator

Effective Period: 7/1/2015 - 6/30/2016 Nage Rate per Hour: \$16.38 Supplemental Benefit Rate per Hour: None

### <u>Receptionist</u>

Effective Period: 7/1/2015 - 6/30/2016 Wage Rate per Hour: **\$15.29** Supplemental Benefit Rate per Hour: None

### Secretary (various)

Effective Period: 7/1/2015 - 6/30/2016 Nage Rate per Hour: **\$19.58** Supplemental Benefit Rate per Hour: None

### <u>Nord Processor</u>

Effective Period: 7/1/2015 - 6/30/2016 Nage Rate per Hour: \$18.32 Supplemental Benefit Rate per Hour: None

#### Overtime

Fime and one half the regular hourly rate after 40 hours in any work week.

Based on data from NYS Department of Labor Occupational Employment Statistics and US Department of Labor Bureau of Labor Statistics or NYC Administrative Code §6-109)

### WINDOW CLEANER

For the above building service classification, see the Labor Law Section 230 Schedule.



Leonard A. Mancusi SENIOR ASSISTANT COMPTROLLER THE CITY OF NEW YORK OFFICE OF THE COMPTROLLER 1 CENTRE STREET ROOM 1120 NEW YORK, N.Y. 10007-2341

TELEPHONE: (212) 66 FAX NUMBER: (212) 66

ALAN G. HEVESI COMPTROLLER

#### **MEMORANDUM**

#### November 6, 2000

То	Agency Chief Contracting Officers
From:	Leonard A. Mancusi
Re:	Security at Construction Sites

Prior to the enactment of Administrative Code §6-109, security guards on construction sites were not subject to prevailing wages. Security guards under the New York State labor law are covered under §230 which provides that prevailing wages are to be paid for security guards in existing buildings. §6-109 of the Administrative Code which was enacted in 1996 closed this loophole by including all security guards working pursuant to a city contract as a prevailing wage trade.

Although some construction contract boilerplate language has been amended to include §6-109, sub-contractors performing security services have advised us that they were not aware of this provision and, since traditionally, security guards were not a covered trade on construction sites, and they were not advised by a prime contractor that they would have to pay prevailing wages, they have not been doing so.

To avoid the possibility of issuing stop payments against prime contractors for the failure of their security service sub-contractors to pay prevailing wages, we suggest that you write to all your existing security guard sub-contractors and their primes and in the future, upon approval of a security guard sub-contractor, advise the contractors of their obligation to pay prevailing wages under §6-109 of the Administrative Code.

As always, your cooperation is appreciated.

•LAM:er acco.security at sites





## INFRASTRUCTURE DIVISION BUREAU OF DESIGN

## **VOLUME 2 OF 3**

	Contractor
Dated	, 20
APPROVED AS TO FORM CERTIFIED AS TO LEGAL AUTHORITY	

Acting Corporation Counsel

Dated\_



Department of
 Design and
 Construction

## INFRASTRUCTURE DIVISION BUREAU OF DESIGN

## **VOLUME 2 OF 3**

#### PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL;
POPPENHUSEN AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 5TH AVENUE BETWEEN 121ST STREET AND COLLEGE PLACE; 6TH AVENUE BETWEEN 119TH
STREET AND COLLEGE PLACE; 7TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 8TH AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9TH AVENUE
BETWEEN 118TH STREET AND 120TH STREET; 118TH STREET BETWEEN 9TH AVENUE AND 12TH AVENUE; 119TH STREET BETWEEN 12TH AVENUE AND POPPENHUSEN
AVENUE; 119TH STREET BETWEEN 7TH AVENUE AND 9TH AVENUE; 120TH STREET BETWEEN 9TH AVENUE AND 9TH ROAD; 9TH ROAD BETWEEN 120TH STREET AND 121ST STREET; 121ST STREET BETWEEN 9TH ROAD AND 12TH AVENUE

INCLUDING WATER MAIN AND STREET LIGHTING WORK

Together With All Work Incidental Thereto BOROUGH OF QUEENS CITY OF NEW YORK

Inter Contracting Con Contractor

Februar 16 . 2016 Dated

**APPROVED AS TO FORM** CERTIFIED AS TO LEGAL AUTHORITY

Acting Corporation Counsel

20 /

Dated



Department of Design and Construction

### THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

30-30 THOMSON AVENUE LONG ISLAND CITY, NEW YORK 11101-3045 TELEPHONE (718) 391-1000 WEBSITE www.nyc.gov/buildnyc

## **VOLUME 3 OF 3**

## SCHEDULE A ADDENDA NOS. 1 TO 6

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED F

## **PROJECT ID: SEQ200463**

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 5TH AVENUE BETWEEN 121ST STREET AND COLLEGE PLACE; 6TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 7TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 8TH AVENUE BETWEEN COLLEGE PLACE AND DEA END; 9TH AVENUE BETWEEN 118TH STREET AND 120TH STREET; 118T STREET BETWEEN 9TH AVENUE AND 12TH AVENUE; 119TH STREET BETWEEN 12TH AVENUE AND POPPENHUSEN AVENUE; 119TH STREET BETWEEN 7TH AVENUE AND 9TH AVENUE; 120TH STREET BETWEEN 9TH AVENUE AND 9TH ROAD; 9TH ROAD BETWEEN 120TH STREET AND 121ST STREET; 121ST STREET BETWEEN 9TH ROAD AND 12TH AVENUE

### **INCLUDING WATER MAIN AND STREET LIGHTING WORK**

Together With All Work Incidental Thereto BOROUGH OF QUEENS CITY OF NEW YORK



FOR THE DEPARTMENT OF ENVIRONMENTAL PROTECTION PREPARED BY IN-HOUSE DESIGN

June 22, 2015

#### SPECIFICATIONS AND STANDARDS OF NEW YORK CITY

The following New York City Department of Transportation (NYCDOT) reference documents are available on-line at: http://www.nyc.gov/html/ddc/html/pubs/pubs infrastdts.shtml or for purchase between 9:00 A.M. and 3:00 P.M. at 55 Water St., Ground Floor, NYC, N.Y. 10041. Contact: Ms Vivian Valdez, Tel. (212) 839-9434

- 1. NYCDOT Standard Highway Specifications, November 1, 2010
- 2. NYCDOT Standard Highway Details of Construction, July 1, 2010
- 3. NYCDOT Division of Street Lighting Specifications
- 4. NYCDOT Division of Street Lighting Standard Drawings
- 5. NYCDOT Standard Specifications for Traffic Signals
- 6. NYCDOT Standard Drawings for Traffic Signals

The following reference documents for New York City Department of Environmental Protection (NYCDEP) are available on-line at: http://www.nyc.gov/html/ddc/html/pubs/pubs infrastdts.shtml or for pick up between 8:00 A.M. and 4:00 P.M. at 30-30 Thomson Avenue, 3rd Floor, Division of Infrastructure, Long Island City, N.Y. 11101. Contact: Mr. Waqar Ahmad, Tel. (718) 391-2056

- 1. NYCDEP Standard Sewer and Water Main Specifications, July 1, 2014
- 2. NYCDEP Instructions for Concrete Specifications, Jan. 92
- 3. NYCDEP General Specification 11-Concrete, November 1991
- 4. NYCDEP Sewer Design Standards, (September 2007) Revised January 2009

The following reference documents for New York City Department of Environmental Protection (NYCDEP) are available on-line at: http://www.nyc.gov/html/ddc/html/pubs/pubs\_infrastdts.shtml or for pick up between 8:00 A.M. and 4:00 P.M. at 30-30 Thomson Avenue, 3rd Floor, Division of Infrastructure, Long Island City, N.Y. 11101. Contact: Mr. Robert Kuhlmann, Tel. (718) 391-2145

- 1. NYCDEP Water Main Standard Drawings
- Specifications for Trunk Main Work, dated July 2014
   Standards for Green Infrastructure, latest version, available only on-line at: http://www.nyc.gov/html/dep/pdf/green infrastructure/bioswalesstandard-designs.pdf

Water main work material specifications are available at the Department of Environmental Protection, 59-17 Junction Boulevard, 3rd Floor Low-Rise Building, Flushing, N.Y. 11373-5108. Contact: Mr. Tarlock Sahansra, P.E., Tel. (718) 595-5302 E-mail: TSAHANSRA@DEP.NYC.GOV

Standard Specifications and Drawings for New York City Fire Department Communications facilities are available from the FDNY Facilities Management Bureau, Plant Operations Engineering, 316 Sgt. Beers Avenue Cluster 1 Box 16, Fort Totten, N.Y. 11359. Contact: Mr. Ed Durkin, Tel. (718) 281-3933

Tree Planting Standards of the City of New York Parks & Recreation are available at the following Department of Parks & Recreation website: http://www.nycgovparks.org/pagefiles/53/Tree-Planting-Standards.pdf

#### SPECIFICATIONS AND STANDARDS OF PRIVATE UTILITIES

The Following reference document for Private Utility Work is available for pick up between 8:30 A.M. and 4:00 P.M. at 30-30 Thomson Avenue, First Floor Bid Procurement Room, L.I.C., N.Y. 11101.

1. CET SPECIFICATIONS AND SKETCHES dated November 2010

### (NO TEXT ON THIS PAGE)

## SCHEDULE "A"

### (<u>GENERAL CONDITIONS TO CONSTRUCTION CONTRACT</u>) (<u>INCLUDING GENERAL CONDITIONS RELATED TO ARTICLE 22 - INSURANCE</u>)

### PART I. REQUIRED INFORMATION

	INFORMATION FOR BIDDERS SECTION 26 BID SECURITY	Required provided the TOTAL BID PRICE set forth on the Bid Form is \$1,000,000 or more.
	The Contractor shall obtain a bid security in the amount	Certified Check: 2% of Bid Amount or
	indicated to the right.	Bond: 10% of Bid Amount
	INFORMATION FOR BIDDERS SECTION 26 PERFORMANCE AND PAYMENT BONDS	Required for contracts in the amount of \$1,000,000 or more.
	The <b>Contractor</b> shall obtain performance and payment bonds in the amount indicated to the right.	Performance Security and Payment Security shall each be in an amount equal to 100% of the Contract Price.
	CONTRACT ARTICLE 14. DATE FOR SUBSTANTIAL COMPLETION	
	The <b>Contractor</b> shall substantially complete the <b>Work</b> in the number of calendar days indicated to the right.	See Page SA-4
	CONTRACT ARTICLE 15. LIQUIDATED DAMAGES	
	If the <b>Contractor</b> fails to substantially complete the <b>Work</b> within the time fixed for substantial completion plus authorized time extensions or if the <b>Contractor</b> , in the sole determination of the <b>Commissioner</b> , has abandoned the <b>Work</b> , the <b>Contractor</b> shall pay to the <b>City</b> the amount indicated to the right.	For Each Consecutive Calendar Day Over Substantia Completion Time: <u>\$1,500.00</u>
	CONTRACT ARTICLE 17. SUB-CONTRACTOR	
	The <b>Contractor</b> shall not make subcontracts totaling an amount more than the percentage of the total <b>Contract</b> price indicated to the right.	Not to Exceed <u>35%</u> of the <b>Contract</b> Price
	CONTRACT ARTICLE 21. RETAINAGE	
	The <b>Commissioner</b> shall deduct and retain until the substantial completion of the <b>Work</b> the percent value of the <b>Work</b> indicated to the right.	<u>5%</u> of the Value of the <b>Work</b>
	CONTRACT ARTICLE 22.	
	(Per Directions Indicated To The Right)	See pages SA-5 through SA-9
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<u>CONTRACT ARTICLE 24.</u> <u>DEPOSIT GUARANTEE</u> As security for the faithful performance of its obligations, the Contractor, upon filing its requisition for payment on Substantial Completion, shall deposit with the Commissioner a sum equal to the percentage of the Contract price indicated to the right.	<u>1%</u> of <b>Contract</b> Price
CONTRACT ARTICLE 24. PERIOD OF GUARANTEE Periods of maintenance and guarantee other than the period set forth in Article 24.1 are indicated to the right.	Eighteen (18) Months, excluding Trees Twenty-Four (24) Months for Tree Planting
CONTRACT ARTICLE 74. STATEMENT OF WORK The Contractor shall furnish all labor and materials and perform all Work in strict accordance with the Contract Drawings, Specifications, and all Addenda thereto.	See Contract Article 74
<u>CONTRACT ARTICLE 75.</u> <u>COMPENSATION TO BE PAID TO CONTRACTOR</u> The City shall pay and the Contractor shall accept in full consideration for the performance of the Contract, subject to additions and deductions as provided in Contract Article 75, this said sum being the amount at which the Contract was awarded to the Contractor at a public letting thereof, based upon the Contractor's bid for the Contract.	See Contract Article 75
<u>CONTRACT ARTICLE 78.</u> <u>PARTICIPATION BY MINORITY-OWNED AND WOMEN-</u> <u>OWNED BUSINESS ENTERPRISES IN CITY</u> <u>PROCUREMENT</u>	See M/WBE Utilization Plan in the Bid Booklet

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STANDARD HIGHWAY SPECIFICATIONS SECTION 6.40         LIQUIDATED DAMAGES FOR ENGINEER'S FIELD OFFICE         If the Contractor fails to satisfactorily provide the field office and all equipment specified in Section 6.40 - Engineer's Field Office, and/or if a cited deficiency exceed seventy two (72) hours after notice from the Engineer in writing, or is permitted to recur, liquidated damages will be assessed in the amount specified herein for each subsequent calendar day or part thereof that a cited deficiency resulting in nonpayment, as described in Section 6.40.5, is not corrected.	
STANDARD HIGHWAY SPECIFICATIONS SECTION 6.70 LIQUIDATED DAMAGES FOR MAINTENANCE AND PROTECTION OF TRAFFIC	For each instance of failure to comply with the Maintenance and Protection of Traffic requirements within three (3) hours after written notice from the Engineer: <u>\$250.00</u>
	For each and every hour of failing to open the entire width of roadway to traffic the morning following a night/weekend work operation: <u>\$500.00</u>
STANDARD HIGHWAY SPECIFICATIONS SECTION 7.13 LIQUIDATED DAMAGES FOR MAINTENANCE OF SITE If the Contractor fails to comply, within three (3) consecutive hours after written notice from the Engineer, with the requirements of Section 7.13 - Maintenance of Site, the Contractor shall pay to the City of New York, until such notice has been complied with or rescinded, the sum specified above per calendar day, for each instance of such failure, as liquidated damages and not as a penalty, for such default.	For Each Calendar Day, for Each Occurrence: <u>\$250.00</u>

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#### Date for Substantial Completion (Reference: Article 14)

The Contractor shall substantially complete the Work within the Final Contract Duration determined in accordance with the terms and conditions set forth herein.

The Base Contract Duration for this project is 730 consecutive calendar days ("ccds").

The Final Contract Duration shall be the Base Contract Duration when a check mark is indicated before the word "NO", below, and shall be the Base Contract Duration adjusted by the table set forth below when a check mark is indicated before the word "YES", below.

\_\_\_\_\_YES \_\_\_\_\_NO

When the Final Contract Duration is indicated above to be adjusted by the table below, the table may increase the Base Contract Duration depending on the date of scheduled substantial completion to avoid a scheduled substantial completion of the Work during the winter months. The date of the scheduled substantial completion shall be determined by adding the Base Contract Duration to the date specified to commence work in the written Notice To Proceed. The Final Contract Duration shall then be determined as follows:

- (a) Find the row that corresponds to the month of the substantial completion based on the Base Contract Duration added to the date specified to commence work in the written Notice To Proceed.
- (b) Find the number of days to be added to the Base Contract Duration in the table below. Add that number of days to the Base Contract Duration to obtain the Final Contract Duration in consecutive calendar days.

Month Of Substantial Completion Based On The Base Contract Duration	Number Of Days Of Adjustment
January	150
February	120
March	90
April	60
Мау	30
June	0
July	0
August	0
September	0
October	0
November - December 15	0
December 16 - December 31	180

In addition, should Item No. 9.30 - STORM WATER POLLUTION PREVENTION exist in the Contract and the required Storm Water Pollution Prevention Plan (SWPPP) does not conform to NYSDEC's recommended Standards, an additional 60-ccds shall be added to the above Final Contract Duration.

### (GENERAL CONDITIONS RELATING TO ARTICLE 22 - INSURANCE)

## PART II. TYPES OF INSURANCE, MINIMUM LIMITS AND SPECIAL CONDITIONS

<u>Note</u>: All certificate(s) of insurance submitted pursuant to Contract Article 22.3.3 must be accompanied by a Certification by Broker consistent with Part III below and include the following information:

- For each insurance policy, the name and NAIC number of issuing company, number of policy, and effective dates;
- Policy limits consistent with the requirements listed below;
- Additional insureds or loss payees consistent with the requirements listed below; and
- The number assigned to the Contract by the City (in the "Description of Operations" field).

Insurance indicated by a blackened box ( $\blacksquare$ ) or by an X in a box ( $\boxtimes$ ) to left will be required under this contract

<u>TYPES OF INSURANC</u> (per Article 22 in its entirety, including	MINIMUM LIMITS AND SPECIAL CONDITIONS
Commercial General Liability	<ul> <li>The minimum limits shall be \$3,000,000 per Occurrence and \$6,000,000 per Project Aggregate applicable to this Contract.</li> <li>Additional Insureds: <ol> <li>(1) <u>City of New York, including its officials and employees, with coverage at least as broad as ISO Form CG 20 10 and CG 20 37.</u></li> <li>(2) <u>All person(s) or organization(s), if any, that Article 22.1.1(b) of the Contract requires to be named as Additional Insured(s), with coverage at least as broad as ISO Form CG 20 26. The Additional Insured endorsement shall either specify the entity's name, if known, or the entity's title (e.g., Project Manager).</u></li> <li>(3) <u>Consolidated Edison Company Of New York</u></li> </ol> </li> </ul>

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Workers' Compensation	Art. 22.1.2	Workers' Compensation, Employers' Liability, and Disability Benefits Insurance: Statutory per New York State law without
Disability Benefits Insurance	Art. 22.1.2	regard to jurisdiction.
Employers' Liability	Art. 22.1.2	Note: The following forms are acceptable: (1) New York State Workers' Compensation Board Form No. C-105.2, (2)
Jones Act	Art. 22.1.3	State Insurance Fund Form No. U-26.3, (3) New York State Workers' Compensation Board Form No. DB-120.1 and (4) Request for WC/DB Exemption Form No. CE-200. The City
U.S. Longshoremen's and Harbor Workers Compensation Act	Art. 22.1.3	will not accept an ACORD form as proof of Workers' Compensation or Disability Insurance.
		Jones Act and U.S. Longshoremen's and Harbor Workers' Compensation Act: Statutory per U.S. Law.
		Additional Requirements:
		(1)
		(2)
Builders' Risk	Art. 22.1.4	100% of Total Value of <b>Work</b>
		<b>Contractor</b> the Named Insured; the <b>City</b> both an Additional Insured and one of the loss payees as its interests may appear.
		If the <b>Work</b> does not involve construction of a new building or gut renovation work, the <b>Contractor</b> may provide an installation floater in lieu of Builders Risk insurance.
		Note: Builders Risk Insurance may terminate upon <b>Substantial Completion</b> of the <b>Work</b> in its entirety.
Commercial Auto Liability	Art. 22.1.5	\$2,000,000 per accident combined single limit
-		If vehicles are used for transporting hazardous materials, the <b>Contractor</b> shall provide pollution liability broadened
		coverage for covered vehicles (endorsement CA 99 48) as well as proof of MCS 90.
		coverage for covered vehicles (endorsement CA 99 48) as well as proof of MCS 90. Additional Insureds:
		well as proof of MCS 90. Additional Insureds:
		well as proof of MCS 90.

# Project ID.: SEQ200463

Contractors Pollution Liability	Art. 22.1.6	per occurrence
		<u>\$</u> aggregate
		Additional Insureds:
		(1) City of New York, including its officials and employees.
		(2)
		(3)
Marine Protection and Indemnity	Art. 22.1.7(a)	<u>\$</u> each occurrence
		\$aggregate
		Additional Insureds:
		(1) City of New York, including its officials and employees.
		(2)
		(3)
Hull and Machinery Insurance	Art. 22.1.7(b)	per occurrence
		<u>\$</u> aggregate
		Additional Insureds:
		(1) City of New York, including its officials and employees.
		(2)
		(3)
Marine Pollution Liability	Art. 22.1.7(c)	<u>\$</u> per occurrence
		\$aggregate
		Additional Insureds:
		(1) City of New York, including its officials and employees.
		(2)
		(3)

[OTHER] Art. 22.1.8 ☐ Railroad Protection Liability Policy (ISO-RIMA or equivalent form) approved by Permittor covering the work to be performed at the designated site and affording protection for damages arising out of bodily injury or death, physical damage to or destruction of property, including damage to the Insured's own property and conforming to the following:	<pre>\$2,000,000 per occurrence \$6,000,000 annual aggregate Named Insureds: (1) (2)</pre>
<ul> <li>Policy Endorsement CG 28 31 - Pollution Exclusion Amendment is required to be endorsed onto the policy when environmental- related work and/or exposures exist.</li> </ul>	
<ul> <li>Indicate the Name and address of the Contractor to perform the work, the Contract Number and the name of the railroad property where the work is being performed and the Agency Permit.</li> </ul>	
<ul> <li>Evidence of Railroad Protective Liability Insurance, must be provided in the form of the Original Policy. A detailed Insurance Binder (ACORD or Manuscript Form) will be accepted pending issuance of the Original Policy, which must be provided within thirty (30) days of the Binder Approval.</li> </ul>	

#### [OTHER]

Art. 22.1.8

#### Professional Liability

- A. The Contractor's Professional Engineer shall maintain and submit evidence of Professional Liability Insurance in the minimum amount of <u>\$1,000,000</u> per claim. The policy or policies shall include an endorsement to cover the liability assumed by the Contractor under this Contract arising out of the negligent performance of professional services or caused by an error, omission or negligent act of the Contractor's Professional Engineer or anyone employed by the Contractor's Professional Engineer.
- B. Claims-made policies will be accepted for Professional Liability Insurance. All such policies shall have an extended reporting period option or automatic coverage of not less than two (2) years. If available as an option, the Contractor's Professional Engineer shall purchase extended reporting period coverage effective on cancellation or termination of such insurance unless a new policy is secured with a retroactive date, including at least the last policy year.

[OTHER]	Art. 22.1.8	
Engineer's Field Office		Fire insurance, extended coverage and vandalism, malicious
Section 6.40, Standard Highwa	y Specifications	mischief and burglary, and theft insurance coverage in the amount of <u>\$40,000</u>

#### [OTHER]

Art. 22.1.8

The Following Additional Insurance Must Be Provided:

<u>Umbrella/Excess Liability Insurance</u> - The Contractor shall provide Umbrella/Excess Liability Insurance in the minimum amount of \$10,000,000 per Occurrence and \$10,000,000 in Aggregate. The policy terms and condition should be at least as broad as the underlying policies. The underlying policies should comply with the insurance provision as outlined by the contract. Defense cost should be in addition to the limit of liability. The City of New York, including its officials and employees, should be included as additional insured as respects to the noted project.

# SCHEDULE A <u>(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)</u> <u>(GENERAL CONDITIONS RELATING TO ARTICLE 22 – INSURANCE)</u>

# PART III. CERTIFICATES OF INSURANCE

All certificates of insurance (except certificates of insurance solely evidencing Workers' Compensation Insurance, Employer's Liability Insurance, and/or Disability Benefits Insurance) must be accompanied by one of the following:

(1) the Certification by Insurance Broker or Agent on the following page setting forth the required information and signatures;

## -- OR --

(2) copies of all policies as certified by an authorized representative of the issuing insurance carrier that are referenced in such certificate of insurance. If any policy is not available at the time of submission, certified binders may be submitted until such time as the policy is available, at which time a certified copy of the policy shall be submitted.

# CERTIFICATION BY INSURANCE BROKER OR AGENT

The undersigned insurance broker represents to the City of New York that the attached Certificate of Insurance is accurate in all material respects.

[Name Of Broker or Agent (Typewritten)]

[Address Of Broker or Agent (Typewritten)]

[E-Mail Address Of Broker or Agent (Typewritten)]

[Phone Number/Fax Number Of Broker or Agent (Typewritten)]

[Signature Of Authorized Official, Broker or Agent]

[Name And Title Of Authorized Official, Broker or Agent (Typewritten)]

State of .....) ) ss.: County of ......)

Sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_

NOTARY PUBLIC FOR THE STATE OF

# SCHEDULE "A"

# (GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)

# PART IV. ADDRESS OF COMMISSIONER

Wherever reference is made in Article 7 or Article 22 to documents to be sent to the **Commissioner** (e.g., notices, filings, or submissions), such documents shall be sent to the address set forth below or, in the absence of such address, to the **Commissioner's** address as provided elsewhere in this **Contract**.

DDC Director, Insurance Risk Manager

30 - 30 Thomson Avenue, 4th Floor (IDCNY Building)

Long Island City, NY 11101

# NO TEXT THIS PAGE

#### ATTACH TO CONTRACT DOCUMENTS

### THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION INFRASTRUCTURE DIVISION BUREAU OF DESIGN

#### PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL;
POPPENHUSEN AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 5TH AVENUE BETWEEN 121ST STREET AND COLLEGE PLACE; 6TH AVENUE BETWEEN 119TH
STREET AND COLLEGE PLACE; 7TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 8TH AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9TH AVENUE
BETWEEN 118TH STREET AND 120TH STREET; 118TH STREET BETWEEN 9TH AVENUE
AND 12TH AVENUE; 119TH STREET BETWEEN 12TH AVENUE AND POPPENHUSEN
AVENUE; 119TH STREET BETWEEN 7TH AVENUE AND 9TH AVENUE; 120TH STREET BETWEEN 9TH AVENUE AND 9TH ROAD; 9TH ROAD BETWEEN 120TH STREET AND 121ST STREET; 121ST STREET BETWEEN 9TH ROAD AND 12TH AVENUE

# INCLUDING WATER MAIN AND STREET LIGHTING WORK

Together With All Work Incidental Thereto BOROUGH OF CITY OF NEW YORK

ADDENDUM NO. 1

#### DATED: April 15, 2015

# THIS ADDENDUM IS HEREBY MADE A PART OF THE CONTRACT DOCUMENTS

The New York City Department of Transportation Standard Highway Specifications, dated November 1, 2010, (which include, but are not limited to, "General Conditions", "Basic Materials of Construction", "Combined Materials of Construction", "Construction Methods", "Inspection and Testing of Materials, Adjustments for Deficiencies, and Maintenance", and "Supplemental Construction Methods"), as modified by addenda issued prior to the opening of bids, shall apply to and become a part of the contract.

All references contained herein are to the New York City Department of Transportation, Standard Highway Specifications, dated November 1, 2010. The said Specifications are hereby revised. Included hereunder are the following REVISIONS:

- 1. Amendments to Standard Highway Specifications, Volume I
- Amendments to Standard Highway Specifications, Volume II, including Section 7.88 (Revised) and new Section 6.44 PO and 6.52 CG.

#### 1. AMENDMENTS TO STANDARD HIGHWAY SPECIFICATIONS, VOLUME I

The following amendments to the Contract Requirements shall become a part of and apply to the contract:

#### [Added 12-09-2010]

1. <u>Refer</u> to Page 15, Subsection 1.06.23.(C) CONFORMANCE WITH FEDERAL, STATE AND CITY AGENCIES;

Add the following new paragraphs:

"The Contractor is notified that all vehicles that are owned, leased or operated by the Contractor or its subcontractors and used in connection with the Project shall comply with the following requirement:

Every truck, tractor, and tractor-trailer or semitrailer combination, having a gross vehicle weight rating of twenty-six thousand pounds or more, and a conventional cab configuration in which more than half of the engine length is forward of the foremost point of the windshield base, and the steering wheel hub is in the forward quarter of the vehicle length shall be equipped with a convex mirror on the front of such vehicle or combination of vehicles. Such convex mirror shall be adjusted so as to enable the operator of such vehicle or combination of vehicles to see all points on an imaginary horizontal line which is three feet above the road, is one foot directly forward from the midpoint of the front of such vehicle or combination of vehicles, and extends the full width of the front of such vehicle or combination of vehicles.

Any vehicle that does not comply with this provision may be prohibited from entering the Project site and/or supplying equipment or materials to the Project. The Contractor shall not be entitled to any damages as a result of such prohibition."

### [Added 01-09-2011]

2. <u>Refer</u> to Page 240, Subsection 4.16.5.(B) STUMP REMOVAL; <u>Delete</u> Subsection 4.16.5.(B) STUMP REMOVAL, in its entirety: Substitute the following revised Subsection 4.16.5.(B):

#### "(B) STUMP REMOVAL

1. Tree stumps designated to be removed and their roots shall be completely excavated to a minimum depth of three (3) feet below the existing grade. A portable stump cutter may be required in some locations. It may be necessary to remove concrete, asphalt, pavers, and/or other types of material surrounding the base of the stump. All excess debris, including chips from tree stumps, shall be removed and disposed of by the Contractor, away from the site prior to backfilling and the area shall be restored by completion of the workday, to the satisfaction of the Engineer. The disposal of tree stumps by burning in open fires will not be permitted.

2. All voids and excavations left after the removal of the stump and roots shall be backfilled to grade with clean earth fill. Fill shall be placed and compacted to a minimum of 95 percent of Standard Proctor Maximum Density by acceptable methods to the satisfaction of the Engineer. Where paving blocks exist, they are to be reset to the existing grade as directed.

3. Maximum safety and care must be used by Contractor during stump removal. The Contractor shall carefully protect against damage all existing trees, plants, curbs, sidewalks and utilities and other features to remain. The Contractor is responsible for locating and protecting underground utilities from damage during stump removal procedures. During stump grinding operations, plywood must be used to protect adjacent vehicles, real property, and pedestrians. If, when removing stumps, existing sidewalks or curbs are disturbed, the Contractor shall restore and/or reset these sidewalks and curbs, at no additional cost to the City. Restoration work shall be done to match the existing, to the satisfaction of the Engineer. All damaged trees, curbs, sidewalks, real property, vehicles and utilities must be addressed within three (3) days."

## [Added 04-18-2011]

- 3. Refer to Pages 218 and 219, Subsection 4.13.4.(H) PIGMENT; Delete the first three (3) paragraphs on page 219: Substitute the following revised three (3) paragraphs.
  - "Where the color of the concrete is required to simulate the color of dark gray bluestone, the concrete shall be integrally pigmented to produce a gray color equivalent to: Davis Colors No. 884-3%; Lansco Color No. 437 "Strong Black" 5 lbs. per 94 lbs. Light Grey Portland Cement and 3 parts sand; L.M. Scofield "Cool Black No. 4"; Bayferrox Limestone 330, 2 lbs. per 94 lbs. Light Gray Portland Cement; or an approved equivalent, unless otherwise specified.
  - Where the color of the concrete is required to simulate the color of light to medium gray granite, the concrete shall be integrally pigmented to produce a gray color equal to: Davis Colors No. 884-1%; Lansco Color No. 437 "Strong Black" 2.5 lbs. per 94 lbs. Light Grey Portland Cement and 3 parts sand; L.M. Scofield "Cool Black No. 1"; Bayferrox Silver 330, 1 lb. per 94 lbs. Light Gray Portland Cement; or an approved equivalent, unless otherwise specified.

Where the sidewalk is designated to have a saw cut joint finish the color of the concrete shall be integrally pigmented to produce a gray color equivalent to L.M. Scofield "Landmarks Grey" K-157-4; L.M. Scofield "Cool Black No. 4"; Davis Colors No. 884-3%; Lansco Color No. 437 "Strong Black" 5 lbs. per 94 lbs. Light Grey Portland Cement and 3 parts sand; Bayferrox NYC Landmark Commission Gray, 3.5 lbs. per 94 lbs. Light Gray Portland Cement; or an approved equivalent, unless otherwise specified."

#### [Added 07-01-2011]

- Refer to Page 14, Subsection 1.06.23.(A) PERMITS; Delete line (b) under the first paragraph; Substitute the following text:
  - "(b) Any planned work requiring a DOT Construction Permit that may potentially be within 100 feet of a bridge structure will be placed on a Bridge Hold. If any proposed work is within 100 feet of a bridge structure, permittees must submit a scaled drawing showing the work and exact location, along with the following:
    - Plan layout of the project area.
    - The scope of work.
    - The contractor's means and methods.
    - Indicate if work will be done of the bridge itself or its abutments, and the type of work.

If the work is more than 100 feet away from the bridge structure, permittees may send a certification by e-mail stating so. Either response must be sent to the Division of Bridges at bridgeshold@dot.nyc.gov for review and release prior to commencing work. Emergency work will not be placed on hold and shall proceed in accordance with the New York City Highway Rules, section 2-11 (g);

(c) Permits from the Department of Sanitation for use of City landfills;"



### [Added 07-27-2011]

5. <u>Refer</u> to Page 37, Subsection 1.06.46. (A) 6. Sign Graphics; <u>Delete</u> article "a." beginning with the words "All visual components of the sign are in an Adobe \*.pdf file, . . ." and ending with the words ". . . DDC to the Contractor (on a CD or via E-mail) for printing.", in its entirety; Substitute the following revised article "a":

"a. All visual components of the sign are in an Adobe \*.pdf file, which is provided by the Commissioner's representative. The file is not to be altered for composition, type font or image from the version provided by DDC. The Commissioner's representative shall provide a complete file with data and image. The digital file shall be provided by DDC to the Contractor (on a CD or via E-mail) for printing."

#### [Added 09-27-2012]

6. <u>Refer</u> to Page 36, **Subsection 1.06.46. Project Sign**; <u>Delete</u> the words "Unless otherwise specified in the Special Provisions of the contract, the following shall apply:"; Substitute the following revised text:

"The Contractor is notified that he shall be required to furnish, install, maintain, and remove, when directed, Construction Project Information Signs (CPIS) as per Sec. 2-02(c)(4) and (5) of the NYC DOT Highway Rule and the cost shall be deemed included under all scheduled items of the contract. In addition, unless otherwise specified in the Special Provisions of the contract, the following Project Sign shall also apply:"

#### [Added 04-08-2013]

7. Refer to Page 200, Subsection 4.11.2.(B), first paragraph, sixth line;

Delete the word "porcelain,".

8. <u>Refer</u> to Page 201, Subsection 4.11.3. (B) FILL AND BACKFILL, second and third paragraphs; <u>Delete</u> the second and third paragraphs under Subsection

4.11.3.(B), in their entirety; Substitute the following revised two paragraphs:

"Glass or Recycled Porcelain Aggregate (RPA) from recycling facilities that meets the requirements of **Subsection 4.11.3.(E)** for Glass and **Subsection 4.11.3.(F)** for RPA shall be considered suitable material for mixing with fill provided the Contractor maintains the gradations specified herein. However, glass shall not be placed in contact with synthetic liners, geogrids, geotextiles or other geosynthetics.

Glass and/or RPA incorporated into fill shall be thoroughly mixed with other suitable material so that glass, RPA or combination of both constitutes no more than 30 percent by volume anywhere in the fill as visually determined by the Engineer."

## 9. <u>Refer</u> to Page 202, Subsection 4.11.3.(E) GLASS; <u>Add</u> the following new Subsection 4.11.3.(F) RECYCLED PORCELAIN <u>AGGREGATE (RPA)</u>:

## "(F) RECYCLED PORCELAIN AGGREGATE (RPA)

All porcelain to be used as RPA shall be crushed by a New York City Department of Environmental Protection (NYCDEP) approved recycling facility to a maximum particle size of 3/8 inch and graded to meet the gradation specified above for use in either fill, backfill or select fill, as may be required. RPA from any other source will not be permitted. The NYCDEP approved recycling facility will also certify that the RPA being furnished is free from organic material and other unsuitable material.

Should the Contractor desire to use RPA in his fill or backfill material, he shall contact Mr. Vasyl Kravchyk at NYCDEP (Tel. No. 718-595-7512) to determine the availability of RPA and from which recycling facility it can be obtained.

The Contractor shall be required to make arrangement with the recycling plant, at least two (2) weeks in advance of when he would need the material, to schedule the time, date and quantity available for pickup. The Contractor shall be required to furnish the recycling facility with a complete list of his trucks involved in transporting the material, which shall include the name of the registered owner (Contractor), Consumer Affairs or DOS Permit numbers, body license plate number, and truck volume. This information must be supplied to the facility prior to the start of picking up the RPA.

Weight ticket receipt slips given by the recycling facility to each truck driver picking up RPA shall be collected by the Contractor and given to the Engineer upon delivering fill or backfill material to the site that contains RPA, and the Contractor agrees and warrants that in obtaining the RPA that such material has originated only from a NYCDEP approved recycling plant and it has not been mixed with porcelain material from any other source.

The Contractor shall be required to transport said material from the approved recycling facility to his yard for storage and mixing with his fill material; however, there is not guarantee that the material will actually be available.

The Contractor is advised that there is no guarantee that RPA will in fact be available for his use from a NYCDEP approved recycling plant and he shall make no claim against the City for loss of anticipated profits should the material not be available upon request by the Contractor.

All excess RPA not used in the fill or backfill shall remain the property of the DDC Contractor.

The Contractor must comply with all rules and regulations of the Department of Transportation and the Department of Environmental Protections governing the use of RPA in its fill and backfill material."

10. <u>Refer</u> to Pages 218 and 219, **Subsection 4.13.4.(H) PIGMENTING**, first four paragraphs;

Delete the first four paragraphs under Subsection 4.13.4.(H), in their entirety;

Substitute the following revised four paragraphs:

"Where pigmenting is specified, the concrete sidewalks shall be pigmented with an admixture complying with the requirements of **Section 2.19** and the following requirements:

'Commercial Gray': In commercial districts C4–4 through C4–7, C5 and C6, as defined in the Zoning Resolution of the City of New York, and in areas under the jurisdiction of the Lower Manhattan Development Corporation the color of the concrete shall be integrally pigmented to produce a gray color equivalent to L.M. Scofield 'Landmarks Grey' K-157-4; L.M. Scofield 'Cool Black No. 4'; Davis Colors No. 884-3%; Lansco Color No. 437 'Strong Black' 5 lbs. per 94 lbs. Light Grey Portland Cement and 3 parts sand; Bayferrox NYC Landmark Commission Gray, 3.5 lbs. per 94 lbs. Light Gray Portland Cement; or an approved equivalent, unless otherwise specified.

'Bluestone': Where the color of the concrete is required to simulate the color of dark gray bluestone, the concrete shall be integrally pigmented to produce a gray color equivalent to: Davis Colors No. 884-3%; Lansco Color No. 437 'Strong Black' 5 lbs. per 94 lbs. Light Grey Portland Cement and 3 parts sand; L.M. Scofield 'Cool Black No. 4'; Bayferrox Limestone 330, 2 lbs. per 94 lbs. Light Gray Portland Cement; or an approved equivalent, unless otherwise specified.

'Granite': Where the color of the concrete is required to simulate the color of light to medium gray granite, the concrete shall be integrally pigmented to produce a gray color equal to: Davis Colors No. 884-1%; Lansco Color No. 437 'Strong Black' 2.5 lbs. per 94 lbs. Light Grey Portland Cement and 3 parts sand; L.M. Scofield 'Cool Black No. 1'; Bayferrox Silver 330, 1 lb. per 94 lbs. Light Gray Portland Cement; or an approved equivalent, unless otherwise specified."

#### [Added 05-24-2013]

11. <u>Refer</u> to Page 14, **Subsection 1.06.23.(A) PERMITS**, first paragraph as modified by Article 4 on page A1-1b; Add the following new text:

- "(d) All necessary permits from the Department of Environmental Protection which may include, but are not limited to, permits for use of City water."
- 12. <u>Refer</u> to Page 14, **Subsection 1.06.23.(A) PERMITS**, second paragraph; Add the following as the third paragraph:

"No fee permits for use of City water necessary to complete roadway pavement reconstruction project in conjunction with installation of sewers and/or water mains, will be issued by the Department of Environmental Protection. However, for all other type projects (such as installation of sidewalks, installation of pedestrian ramps, pavement milling, resurfacing, rehabilitation of retaining walls, and bridge reconstruction type projects) the Contractor will be required to obtain the water use permit at its own cost."

#### [Added 08-05-2013]

- 13. <u>Refer</u> to page 116, second paragraph up from the bottom of the page, first line;
  - Change the words "Concrete of Type IA and IIA shall have ..." to read "Concrete of Type IA, IIA and IIIA shall have ..."

## [Added 09-04-2013]

14. Refer to page 100, Subsection 3.01.3.(C)1.(c);

Delete the last two lines of text beginning with the words "The proportion of reclaimed asphalt pavement permitted within each mix ....";

- <u>Substitute</u> the following sentence: "The proportion of reclaimed asphalt pavement permitted within each mix shall be not less than 30 percent for the top and bottom courses as per Local Law #71 of 2011."
- 15. <u>Refer</u> to page 110, Subsection 3.05.2.(A), Table 3.05-I; Insert the following text at the bottom of Table 3.05-I:
  - "Note: The above proportions shown for non-High-Early mixes shall be modified by pozzolan substitutes as per **Subsection 3.05.4**."

16. <u>Refer</u> to page 112, **Subsection 3.05.3.(C)**, second paragraph; <u>Delete</u> the second paragraph in its entirety; <u>Substitute</u> the following paragraph:

"Water shall be potable and drawn from municipal water mains."

17. <u>Refer</u> to page 113, first line of text, beginning with the words "condition making up one (1) cubic yard of concrete.";

Insert the following sentence between the words "condition making up one (1) cubic yard of concrete." and "The range of water-cement ratio within which the ...":

"The calculated yield of the mix shall be within ± 2% of the Theoretical one (1) cubic yard."

- 18. <u>Refer</u> to Page 113, second paragraph beginning with the words "The Contractor may substitute Portland cement . . . ";
  - Delete the second paragraph under Subsection 3.05.4., in its entirety;

Substitute the following revised paragraph:

"With the exception of high-early strength concrete, the Contractor shall be required to substitute Portland cement with pozzolans (Fly Ash or GGBFS) such that the maximum amount of Portland cement per cubic yard of concrete does not exceed 400 pounds, and with the use of an approved non-corrosive, non-chloride admixture as required to obtain a minimum compressive strength of 3,000 psi in seven (7) days. For high-early strength concrete the Contractor may substitute Portland cement with pozzolans (Fly Ash or GGBFS), pound for pound, up to 20% (or up to 25% for tidal/sea water spray areas) of the weight of cement specified for any concrete mixture provided the Contractor can obtain a minimum compressive strength of 3,000 p.s.i. in three (3) days. The Contractor, immediately following but not later than eight weeks after the date of the Contractor's Notice to Proceed, shall file with the Engineer, Age-Strength data of the job mix he proposes to use for the various ambient temperatures anticipated during the period of concrete placement. This data shall be presented in both tabular and graphical form for those various ambient temperatures with a maximum setting period of seven (7) days for Class B-32 concrete or seventy-two (72) hours for High-Early Strength Concrete."

- 19. <u>Refer</u> to Page 115, **TABLE 3.05-III INGREDIENT MATERIALS**; <u>Change</u> in the third row, second column, the type of Portland <u>Cement from "Type III\*"</u> to read "Type II or Type III\*"
- 20. <u>Refer</u> to page 132, **Subsection 3.06.3.(D)**; <u>Change</u> the words "Water shall be drawn from mains owned by The City of New York." to read "Water shall be potable and drawn from municipal water mains."
- 21. <u>Refer</u> to page 133, **Subsection 3.07.3.(D)**; <u>Change</u> the words "Water shall be drawn from mains owned by or supplying water to The <u>City of New York.</u>" to read "Water shall be potable and drawn from municipal water mains."
- 22. Refer to page 134, Subsection 3.08.4.(D);

<u>Change</u> the words "Water shall be drawn from mains owned by or supplying water to The City of New York." to read "Water shall be potable and drawn from municipal water mains."

- 23. <u>Refer</u> to Page 166, Subsection 4.05.2.(A); <u>Delete</u> Subsection 4.05.2.(A), in their entirety; Substitute the following revised Subsection 4.05.2.(A):
- "(A) Concrete Pavement shall be of the following types:
  - Type 1--Non-reinforced Type 2--Reinforced (Unpigmented or pigmented if specified) Type 3--High Early Strength Reinforced (Unpigmented or pigmented if specified)

Type 2 and Type 3 pavements shall consist of a concrete surface course, which shall be unpigmented or pigmented if specified, laid on a concrete base course, which may or may not be pigmented at the Contractor's option, while the base course is still plastic, of the thickness shown on the Contract Drawings, with reinforcement placed between the surface and base course."

- 24. Refer to Page 166, Subsection 4.05.3.(A); Insert the following new Subsection 4.05.3.(A1):
  - "(A1) PIGMENTING

Where pigmenting is specified, the surface course of the concrete bus pad shall be pigmented with an admixture complying with Section 2.19 and the following requirements:

Where the color of the concrete is required to simulate the red color of the Red Bus Lane Pavement Overlay (Item 6.44 POR in Section 6.44 PO), the surface course concrete shall be integrally pigmented to produce a red color equivalent to Scofield's quarry red.

Except for the use of an air-entraining agent complying with ASTM Designation C 260 and water reducing admixtures complying with ASTM Designation C 494 used in combination with the Pigment Admixture as per the pigment manufacturer's instruction, no other admixtures (including, but not limited to, calcium chloride) shall be used unless stated in writing by the manufacturer of the Pigment Admixture to be of no consequence to the colorfastness of the concrete mixture and is approved by the Engineer.

All pigmented concrete at different locations shall be identical, unless otherwise directed. Variations in color/tint/hue will not be acceptable. Therefore, the same brand and type of cement and the same source and type of aggregate shall be used throughout the project.

Prior to the mix design being made, the cement intended for use shall be checked to determine that its lightness/darkness is similar to the cement used in the original approved sample. The Pigmented Admixture shall be added in the standard proportion specified by the manufacturer."

25. <u>Refer</u> to Page 170, **Subsection 4.05.5.(A) GENERAL**; Insert the following two new paragraphs:

"For pigmented concrete, the Contractor shall within eight weeks of the notice to proceed, submit the name of its proposed roadway installer upon which his bid is based, along with their respective work history experience in placing pigmented concrete. The installer shall have documented experience in working with pigmented concrete.

Prior to making any field samples and the placing of any pigmented concrete, the Contractor, its concrete supplier, installer, cement producer, laboratory, the pigmented admixture's representative, and the Engineer shall meet and agree on the specifications and methods of handling the pigmented concrete."

26. <u>Refer</u> to Page 183, **Subsection 4.05.9. PRICES TO COVER**, 4<sup>th</sup> line; <u>Insert</u> in the fourth line, the words "pigment when specified" between the words "specifications, including, but not limited to," and "furnishing and installing...":

- 27. <u>Refer</u> to Page 183, **Subsection 4.05.9. PRICES TO COVER**; <u>Insert</u> the following two new Items to the list of Item Nos. at the bottom of **Subsection 4.05.9**:
- "4.05 ACP REINFORCED CONCETE PAVEMENT (BUS STOPS)(PIGMENTED) C.Y.

4.05 AXP HIGH-EARLY STRENGTH REINFORCED CONCRETE PAVEMENT (BUS STOPS)(PIGMENTED)

C.Y."

#### [Added 04-15-2015]

28. <u>Refer</u> to Page 12, the second page of CONTRACTOR CODE OF CONDUCT; <u>Delete</u> the text on page 12, in its entirety; <u>Substitute</u> the revised text as contained on the following page A1-1i.

29. Refer to Page 15, Subsection 1.06.23.(B) DISPOSAL OF CONSTRUCTION WASTE;

Delete Subsection 1.06.23.(B) DISPOSAL OF CONSTRUCTION WASTE, in its entirety;

Substitute the following text:

"(B) DISPOSAL OF CONSTRUCTION WASTE

The Contractor shall dispose of all waste materials in a legal and proper manner. Should the facilities of the New York City Department of Sanitation be used, waste material shall be disposed of in accordance with the rules and regulations of the Department of Sanitation. The Contractor shall submit an affidavit to the Commissioner indicating that he has complied with said rules and regulations, the site used, and proof of purchase of dump tickets. Should the Contractor use a site other than the Department of Sanitation, the Contractor shall submit an affidavit to the Commissioner indicating that he has complied with said rules and regulations, the site used, and proof of purchase of dump tickets. Should the Contractor use a site other than the Department of Sanitation, the Contractor shall submit an affidavit to the Commissioner indicating that he has complied with all laws for removal of waste material, the site used, and a paid receipt. In addition, should the facilities used be located in the State of New York, it shall be a Solid Waste Management Facility registered with the New York State Department of Environmental Conservation, Division of Solid & Hazardous Materials. Failure to comply with this provision shall be deemed a material breach of this contract."

30. <u>Refer</u> to Pages 20 and 21, Subsection 1.06.25. Schedule of Operations; <u>Delete</u> the last paragraph of Subsection 1.06.25., in its entirety; <u>Substitute</u> the following paragraph:

"The Contractor shall submit weekly progress status update reports showing its anticipated work schedule for the upcoming week or as otherwise directed by the Engineer. Timely submission of these weekly progress schedules are critical for preparation of the Weekly Construction Bulletin to be prepared by the Construction Community Liaison or project staff. If the Contractor fails to submit the required anticipated work schedule, the Resident Engineer will issue a Field Order. The Contractor shall submit updated bar chart every month. The revised bar chart shall be made in the same form and detail as the original submittal and shall be accompanied by an explanation of the reasons for the revisions all of which shall be subject to approval by the Engineer."

31. <u>Refer</u> to Page 89, Subsection 2.26.5.; <u>Change</u> the "Percent Passing" for Siece Size No. 100 from "40-600%" to "40-60%".

# Relationships with the community will be polite and helpful

- Inappropriate or foul language will not be tolerated.
- Personal and commercial deliveries will be accommodated.
- Access will always be maintained for mail delivery and the community.
- Construction personnel will be courteous to the public and will refer all questions to the Resident Engineer or Community Construction Liaison.

# The community will be kept informed about the project

- An overall project schedule along with detailed information about immediate project activities will be maintained and kept up-to-date, for use by City representatives to keep the community informed of Contractor's operations.
- All 24 Hour Notifications signs prepared by the project staff in order to inform the public of impending work (i.e. water shutdowns, parking interruptions, traffic pattern changes, access restrictions, etc.) shall be posted by the Contractor in the affected geographical area as directed by the Engineer, at least 24 hours before the start of such work.

# Construction will proceed in a safe manner

- Temporary walkways will be clearly marked, smooth, drained, and clear of obstacles.
- Access to active fire hydrants will be maintained.
- Equipment and vehicles will be operated at acceptable speeds and in a safe manner.
- Fencing will be maintained in an acceptable condition.
- There will be sufficient traffic control devices and they will be maintained.
- Trucks will not stand idling.
- Steel road plates will be skid-resistant.
- Flaggers will ALWAYS be used when equipment is moved into and out of congested or high-volume traffic areas.
- Temporary asphalt ramps and roadway restorations will be well maintained.

Signature of Principal of Construction Company

Date

Name of Construction Company (Print)

2. AMENDMENTS TO STANDARD HIGHWAY SPECIFICATIONS, VOLUME II

[Added 01-25-2012]

"(h)

- 1. <u>Refer</u> to Pages 365 and 366, Subsection 6.40.2.(C)(c)(1) Personal Computer(s) - Workstation Configuration; <u>Delete</u> the text under Subsections (a), (b), (c), (d), (h), (i),
  - and (m), in their entirety; Substitute the following revised text:
    - "(a) Make and Model: Dell: HP: Gatew
      - Make and Model: Dell; HP; Gateway; Acer; or, an approved equivalent. (Note: an approved equivalent requires written approval of the Assistant Commissioner of ITS.)
      - (b) Processor: i5-2400 (6MB Cache, 3.1GHz) or faster computer Single Processor.
      - (c) System Ram: Minimum of 4GB (Gigabytes) Dual Channel DDR3 SDRAM at 1333MHz – 2 DIMMSs
      - (d) Hard Disk Drive(s): 500 GB (Gigabytes) Serial ATA (7200RPM) w/DataBurst Cache, or larger."
        - Video Display Card: HD Graphics (VGA, HDMI) with a minimum of 64 MB of RAM.
      - (i) Monitor: 22" W, 23.0 Inch VIS, Widescreen, VGA/DVI LCD Monitor."
      - "(m) Software Requirements: Microsoft Windows 7 Professional SP1, 64 bit; Microsoft Office Professional 2010; Microsoft Project 2010; Adobe Acrobat reader; Anti-Virus software package with 2 year updates subscription; and, either Auto Cad 2012 LT or Microsoft Visio 2010 Standard Edition, as directed by the Engineer."

2. Refer to Page 366, Subsection 6.40.2. (C) (c) (2) (b); Delete the text under Subsection (b), which begins with the words "(b) One (1) 600 DPI HP Laser Jet . ..., in its entirety; Substitute the following revised text: "(b) One (1) 600 DPI HP Color Laser Jet all-in-one Printer/Scanner/Copier/Fax (twelve (12) pages per minute or faster) with one (1) Extra Paper Tray (Legal Size) networked to all office computers."

3. <u>Refer</u> to Page 367, Subsection 6.40.3. SPECIFIC REQUIREMENTS FOR ENGINEERS FIELD OFFICE (TYPE A, B, C, CU, D OR DU), first paragraph; <u>Delete</u> the text in the first paragraph of Subsection 6.40.3., in its entirety; <u>Substitute</u> the following revised text:

6.40.3. SPECIFIC REQUIREMENTS FOR ENGINEER'S FIELD OFFICE (TYPE A, B, C, CU, D, OR DU). In addition to the general requirements, each type of Field Office shall have the minimum floor area indicated in Table 6.40-I calculated based on usable area only, excluding any loss factors. Loss factors are defined as those areas such as lobby, sidewalk window ledge, elevator shafts and stairways. The Contractor shall provide and maintain furnishings for each type of Field Office in the quantity specified in Table 6.40-I. The furnishings shall be new or used equipment satisfactory to the Engineer:

Refer to Page 368, TABLE 6.40-I, ADDITIONAL REQUIREMENTS SPECIFIC REQUIREMENTS; Delete the requirements for a Photocopy Machine shown in the 15th row of TABLE 6.40-I, in its entirety; Substitute the following revised requirements:	
Photocopying Machine – Stand-alone, heavy duty, electric, dry- process color photocopying type with a minimum production rate of 70 pages per minute and an adequate supply of sopy paper, toner, etc. The machine shall be capable of duplex copying paper sizes of 8-1/2 x 11 inches, 8-1/2 x 14 inches and 11 x 17 inches, and have separate trays for each paper size. It shall have a document feeder, collator, stapler, and the capability to reduce/enlarge copies between each paper size. The supply of each size copy paper, toner, etc. shall be replenished and the machines shall be maintained for the duration of the contract by the Contractor as required by the Engineer. Make and model can be Minolta, Canon, IBM, Epson, or an approved equivalent, and shall be networked to the office computers.	

5. Refer to Page 368, TABLE 6.40-I, ADDITIONAL REQUIREMENTS SPECIFIC REQUIREMENTS;

Insert the following two additional requirements:

Heavy duty commercial grade diamond cut shredder with automatic start. The shredder shall be able to receive 8-1/2 inch wide paper and shred a minimum of 15 sheets simultaneously along with CDs and staples.	1	1	1	1	1	1
Projector – 1080p LCD with a min. of 2200 ANSI Lumins, 1920 x 1080, 16:9, 40,000:1 contrast ratio, HDMI, VGA, USB, and a 10 feet diagonal, 16:9 Projection Screen.	-	-	1	1	1	1

- 6. <u>Refer</u> to Page 496, Subsection 7.20.4. METHODS, last paragraph beginning with the words "When directed by the Engineer, due to the original conditions . . .";
  - Add the following sentence to the end of the last paragraph under Subsection 7.20.4:

"However, if the owner at his own expense supplies the replacement frame and doors or hatch covers the Contractor shall install the replacement frame and doors or hatch covers under this Item 7.20, as a basement access reset, in lieu of the steel safety closure plate."

#### [Added 07-16-2012]

7. <u>Refer</u> to Page 365, Subsection 6.40.2.(C)(c)(1) "Personal Computer(s) - Workstation Configuration"; <u>Delete</u> the text under Subsections (g) and (k), in their entirety;

Substitute the following revised text:

I/O Ports:

"(g)

- Must have at least one (1) Serial Port, one (1) Parallel Port, and three (3) USB Ports.
- (k) Network Interface: Integrated 10/100/1000 Ethernet card."
- 8. <u>Refer</u> to Page 366, Subsection 6.40.2.(C)(c)(2) "All field offices requiring computers shall be provided with the following:"; <u>Delete</u> the text under Subsection (a), in its entirety; <u>Substitute</u> the following revised text:
  - "(a) One (1) broad-band internet service account. Wideband Internet connectivity at a minimum throughput of 15 Mbps download and 5 Mbps upload is required at each field office location with 1-5 staffers. For larger field offices see table below for minimum required upload speeds. Telephone service should be bundled together with Internet connectivity. Because of throughput requirements Verizon FIOS is the preferred connectivity provider where available.

Office Personnel #	Upload Speeds (Minimum)
1 - 5	5 Mbps
6 - 10	10 Mbps
11 - 15	15 Mbps
16 - 20	20 Mbps

This account will be active for the life of the project. The email name for the account shall be the DDC Field Office/project Id (e.g. FLD K HWK666 McGuinness@earthlink.com)."



10. <u>Refer</u> to Page 368, TABLE 6.40-I, ADDITIONAL REQUIREMENTS SPECIFIC REQUIREMENTS; <u>Delete</u> the requirements for a Photocopy Machine shown in the 15th row of TABLE 6.40-I, as modified by Article 4 on page A1-2a of this Addendum, in its entirety; Substitute the following revised requirements:

1 Photocopying Machine - Stand-alone, heavy duty, electric, dry-1 1 1 1 1 process color photocopying type with color scan and send capability via e-mail, a minimum production rate of 70 pages per minute and an adequate supply of copy paper, toner, etc. The machine shall be capable of duplex copying paper sizes of 8-1/2 x 11 inches, 8-1/2 x 14 inches and 11 x 17 inches, and have separate trays for each paper size. It shall have a document feeder, collator, stapler, and the capability to reduce/enlarge copies between each paper size. The supply of each size copy paper, toner, etc. shall be replenished and the machines shall be maintained for the duration of the contract by the Contractor as required by the Engineer. Make and model can be Minolta, Canon, IBM, Epson, or an approved equivalent, and shall be networked to the office computers.

[Added 11-26-2012]
11. Refer to Pages 504 through 508, SECTION 7.88 - Rodent and Waterbug
Pest Control;
Delete Section 7.88, in its entirety;
Substitute SECTION 7.88 (Revised), as contained on the following
pages A1-2d through A1-2i.

[Added 02-08-2013] 12. (NO TEXT)

# SECTION 7.88 (Revised) Rodent and Waterbug Pest Control

**7.88.1. DESCRIPTION.** The Contractor shall provide all labor, materials, plant and equipment, and incidentals required to survey and monitor rodent activity and control any infestation or outbreak of rodents and waterbugs (American cockroaches) within the project limit.

**7.88.2. MATERIALS.** All materials shall be approved by the New York State Department of Environmental Conservation and comply with the New York City Health Code for the intended usage.

Rodenticide weatherproof bait blocks shall be multiple dose anticoagulants such as Chlorophacinone or Dephacinone, or single feed rodenticides such as ContraMeal, ContracBait block, Quintox pellets or TalonG pellets, or an approved equivalent.

Tamper proof bait station boxes shall be designed to exclude other mammals and shall be used with poisoned bait to attract rats. Information on "tamper proof bait station boxes" is available from the NYC Bureau of Regulatory & Environmental Health Services, Pest Control Office (718-956-7103/4).

Live traps shall be of proper dimensions for trapping rats and shall <u>not</u> be used with poisoned bait.

Insecticide bait shall be a residual type such as phenol methyl carbamate (2%) bait or an approved equivalent.

(A) SUBMITTALS

Prior to commencement of construction activities the Contractor shall submit to the Engineer manufacturer's installation instructions for all materials required for rodent and waterbug pest control work and product data which shall include illustrations, catalog data, product characteristics, typical use, performance, and limitation criteria of all rodent and waterbug pest control materials required.

**7.88.3. PERSONNEL.** The Contractor shall employ two independent licensed exterminators: one to engage in survey and monitoring work to establish the level of infestation of rodents and insects and provide recommendations for specific Integrated Pest Management (IPM) actions, and one to execute the rodent and waterbug pest control work to deal with such infestations. All pest control personnel employed by each exterminator company must be supervised by an exterminator licensed in categories 7A & 8. The Contractor shall submit the names and license credentials of the two exterminator companies to the Engineer for approval prior to the commencement of any work under this section.

**7.88.4. METHODS.** Application and dosage of all materials shall be done in strict compliance with the manufacturer's recommendations. All surveying, monitoring, baiting, and/or live trapping work shall be performed in the presence of the Engineer, without which no payment will be made under this Section.



# (A) GENERAL

The Contractor's construction activity is expected to disturb any established rodent and/or waterbug population that may exist within the project limits, possibly causing their dispersion. The Contractor shall take all appropriate action to eliminate and/or control these populations within the construction corridor: the construction corridor shall be defined as being the full width of streets under the contract and intersecting streets up to the limits of construction, from property line to property line, excluding buildings and under sidewalk building vaults.

Under the Maintenance of Site requirements for the contract, any unsanitary conditions, such as uncollected garbage or debris, resulting from the Contractor's activities which will provide food and shelter to the resident rodent population shall be corrected by the Contractor immediately after notification of such condition by the Engineer. Non-compliance shall be subject to the application of the "Nonconformance" provisions of the Item for Maintenance of Site, and no payment will be made for any additional application of rodenticide or insecticide needed to control resultant infestations.

# (B) SURVEY AND MONITORING WORK

(1) <u>Prior to Construction</u> - The Contractor's designated survey and monitoring exterminator shall execute a survey of the project area and estimate the level of rodent (Norway rat, House mouse) infestation and the waterbug population within the construction corridor. An appropriate sample of utility manholes (sewer, electrical, telephone, etc.) and catch basins should be opened and surveyed to the satisfaction of the Engineer. Contractor shall maintain all survey records in the manner described in 7.88.6., Records and Reports.

(2) <u>During Construction</u> - The Contractor shall monitor the rodent activity through trapping (snap, glue traps or live traps), fecal count methods, and inspection of the conditions of all installed baits every week during construction activity or as otherwise directed by the Engineer. Contractor shall maintain all monitoring records in the manner described in 7.88.6., Records and Reports.

## (C) RODENT CONTROL WORK

(1) <u>Wetlands, Woodlands and Areas Within Seventy-five (75') feet of a</u> <u>Stream</u>. In wetlands, woodlands and areas adjacent to a stream, special precautions must be taken to protect water quality and to ensure the safety of other wildlife. To prevent poisoned bait from entering streams, no poisoned bait shall be used in areas within seventy-five (75') feet of either streambank. Live traps must be used in these seventy-five (75') feet buffer zone areas and within wetland and woodland areas.

(2) <u>Outside Wetland Areas, Woodland Areas and Beyond Seventy-five (75')</u> <u>feet of a Stream</u>. In areas outside the seventy-five foot zone of protection adjacent to streams, and areas outside wetlands and woodlands, tamper proof bait stations with poisoned bait shall be established during the period of construction and any consumed or decomposed bait shall be replenished as directed. Rodent control shall be achieved in two stages as follows:

Stage I. At least <u>one month prior</u> to initiation of the construction work, and periodically thereafter, live traps and/or rodenticide bait, as directed above, shall be placed at locations [e.g., burrows, utility manholes (sewer, electrical, phone, etc.), and catch basins] that are inaccessible to pets, human beings, children and other non-target species, particularly wildlife (e.g., birds) in the construction corridor. Locations of initial bait placement and quantities of bait shall be determined by the survey and monitoring exterminator's written report of his survey and monitoring results, or as otherwise directed by the Engineer.

Stage II. <u>During Construction</u> - Infested sites as determined by the survey and monitoring exterminator's monitoring report shall be baited and/or rebaited, and live traps shall be collected and replaced, the rates and quantities of which shall be determined by the written monitoring reports submitted weekly or as otherwise directed by the Engineer in consultation with the City's Office of Pest Control.

The baiting exterminator shall be responsible for collecting and disposing of all trapped and poisoned rodents found in live traps and tamper proof bait stations. The baiting exterminator shall also be responsible for posting and maintaining signs announcing the baiting of each particular location.

The Contractor, under his maintenance of site operations, shall be responsible for the immediate collection and disposal of any visible rodent remains found on streets or sidewalk within the project limits. Any visible remains shall be placed into double plastic bags. No more than five (5) carcasses shall be placed into each bag. Each bag shall be a minimum of 3 mils thick, black plastic. No additional payment will be made for this work.

It is anticipated that public complaints will be addressed to the Engineer's Field Office. The Contractor, where directed by the Engineer, shall take appropriate Integrated Pest Management (IPM) actions, such as baiting, trapping, proofing, etc., to remedy the source of a complaint within the next six (6) hours of normal working time, which is defined herein, for the purposes of this section, as 7 A.M. to 6 P.M. on Mondays through Saturdays.

(D) WATERBUG (AMERICAN COCKROACH) CONTROL

Infested sites (e.g., sewers) shall be baited at least 2 times per month with insecticides, or as directed by the Engineer in consultation with the exterminator monitoring the work and the City's Office of Pest Control.

**7.88.5. EDUCATION & TRAINING.** The Contractor shall post notices in all Construction Bulletin Boards advising workers, employees, and residents to call the Engineer's Field Office to report rodent and waterbug infestations. The Contractor shall provide and distribute literature pertaining to IPM techniques of rodent control to affected businesses and superintendents of nearby residential buildings to ensure their participation in maintaining their establishments free of unsanitary conditions, harborage removal and rodent proofing.

Prior to application of any chemicals, the Contractor shall furnish copies or sample labels for each pesticide, antidote information, and Material Data Safety Sheets (MSDS) for each chemical used.

# 7.88.6. RECORDS AND REPORTS.

## (A) GENERAL

The Contractor shall be responsible for assigning within the construction corridor an identifying number to each manhole, catch basin, and other location where bait and/or live trap placement and/or waterbug control work is proposed by the survey and monitoring exterminator. The Contractor shall then provide that list of locations and corresponding reference numbers along with a drawing showing the locations, as a reference for the exterminator(s) performing the work, to indicate locations of bait placement and waterbug control work and rodent and waterbug activity (droppings, bait consumed, dead rodents, etc.).

# (B) SURVEY AND MONITORING WORK

(1) <u>Prior to Construction</u> – Contractor shall submit to the Engineer, for approval, a written survey report including proposed IPM procedures, including specific materials, quantities, locations, methods, and time schedule for the implementation of the exterminating work. The written report shall also include a survey with a drawing (provided by the Contractor) marked with locations indicating all signs of rodent (Norway rat, House mouse) infestation and waterbug activity discovered during the execution of the survey indicating that rodent and waterbug pest control work is necessary.

(2) <u>During Construction</u> - Based on monitoring results, Contractor shall submit to the Engineer a weekly written monitoring report identifying all locations and conditions of installed bait and/or other rodent control work. The monitoring report shall also include any other recommended IPM techniques, such as baiting, trapping, proofing, etc., proposed for rodent and waterbug pest control.

The survey and monitoring exterminator shall keep a record of all rodent and waterbug infestation surveys s/he has conducted. The Contractor shall be required to submit a copy of all survey and monitoring reports to the Engineer each week, prior to payment.

# (C) RODENT AND WATERBUG CONTROL WORK

The baiting exterminator shall maintain records of all locations baited along with the type and quantity of rodenticide and insecticide bait used. These records will be kept by the City Inspector. A weekly report shall be prepared, signed and certified by the approved licensed exterminator, and such reports shall be submitted to the Engineer each week, prior to payment.

**7.88.7. NONCONFORMANCE.** If the Contractor fails to perform as directed to control the rodent and/or waterbug population at any location within the project limits for a period of more than one week, the Engineer will correct the adverse conditions by any means he deems appropriate, including but not limited to, the use of "outside services" and shall deduct the cost of the corrective work from any monies due to the Contractor. The deducted cost of this work shall be in addition to the non-payment for rodent and waterbug pest control.

# 7.88.8. MEASUREMENT.

# (A) RODENT INFESTATION SURVEY AND MONITORING

The quantity to be measured for payment under Item No. 7.88 AA, RODENT INFESTATION SURVEY AND MONITORING, shall be a Lump Sum measurement.

## (B) RODENT BAIT STATIONS

The quantity to be measured for payment under Item No. 7.88 AB, RODENT BAIT STATIONS, shall be the number of tamper-proof rodent bait station boxes and/or live traps satisfactorily installed or reinstalled after inspection within the construction corridor, as approved by the Engineer. However, the initial baiting, and subsequent rebaiting as may be required, of any bait station will be paid for under Item 7.88 AC.

# (C) BAITING OF RODENT BAIT STATIONS

The quantity to be measured for payment under Item No. 7.88 AC, BAITING OF RODENT BAIT STATIONS, shall be the number of tamper-proof rodent bait station boxes, utility manholes, catch basins, or other locations approved by the Engineer, satisfactorily baited or rebaited to replenish consumed or decomposed bait within the construction corridor, as approved by the Engineer.

# (D) WATERBUG BAIT APPLICATION

The quantity to be measured for payment under Item No. 7.88 AD, WATERBUG BAIT APPLICATIONS, shall be the number of blocks satisfactorily treated with insecticide bait within the construction corridor, as approved by the Engineer. A block shall be defined as the area of street, measured between property lines, from intersection to intersection. Each rebaiting of any block shall be considered as a new block for measurement purposes.

# 7.88.9. PRICES TO COVER.

# (A) RODENT INFESTATION SURVEY AND MONITORING

Payment will be made at the lump sum price bid for RODENT INFESTATION SURVEY AND MONITORING which shall include the cost of furnishing all the labor, materials, plant, equipment (traps, etc.), insurance, and other incidentals required, including but not limited to providing all required maintenance of traffic equipment, to perform a rodent infestation survey of the project area and then monitor the site each week for rodent activity, all in accordance with the specifications and the directions of the Engineer.

Ten (10%) percent of the lump sum price bid will be paid when the initial survey of the project area has been completed and the written survey report has been submitted to the satisfaction of the Engineer. The remainder will be paid in proportion to the percentage of contract completion.

# (B) RODENT BAIT STATIONS

The Contract price bid for RODENT BAIT STATIONS shall be a unit price per each tamper proof bait station box and/ or live trap installed or reinstalled after inspection and shall cover the cost of furnishing all labor, materials, plant, equipment (bait stations, etc.), insurance, and other incidentals, including but not limited to providing all required maintenance of traffic equipment, required to control the rodent population found within the project limits in accordance with the specifications and the directions of the Engineer.

In addition to the payment for Rodent Bait Stations installed or reinstalled under this Item 7.88 AB, the Contractor will also be paid for each baiting or rebaiting, when required, of each bait station, under Item No. 7.88 AC.

# (C) BAITING OF RODENT BAIT STATIONS

The Contract price bid for BAITING OF RODENT BAIT STATIONS shall be a unit price per each bait station, utility manhole, catch basin or other location approved by the Engineer satisfactorily baited or rebaited, when required, and shall cover the cost of furnishing all labor, materials, plant, equipment (bait), insurance, and other incidentals, in accordance with the specifications and directions of the Engineer. Installation or resetting of the bait station will be paid for under Item 7.88 AB.

# (D) WATERBUG BAIT APPLICATION

The Contract price bid for WATERBUG BAIT APPLICATION shall be a unit price per block treated by the exterminator and shall include the cost of furnishing all the labor, materials, plant, equipment (bait, etc.), insurance, and other incidentals, including but not limited to providing all required maintenance of traffic equipment, necessary to control the waterbug population found within the project limits for the duration of the contract in accordance with the specifications and the directions of the Engineer.

#### Payment will be made under:

Item No.	ltem	Pay Unit
7.88 AA	RODENT INFESTATION SURVEY AND MONITORING	L.S.
7.88 AB	RODENT BAIT STATIONS	EACH
7.88 AC	BAITING OF RODENT BAIT STATIONS	EACH
7.88 AD	WATERBUG BAIT APPLICATION	BLOCK

#### [Added 05-24-2013]

13. <u>Refer</u> to Page 366, Subsection 6.40.2. (C) (c) (1) (m) Software Requirements, as modified by Article 1 on page A1-2; <u>Delete</u> the text under Subsection (m), in its entirety; <u>Substitute</u> the following revised text:

"(m) Software Requirements: Microsoft Windows 7 Professional

SP1, 32 bit; Microsoft Office Professional 2010; Microsoft Project 2010; Adobe Acrobat reader; Anti-Virus software package with 2 year updates subscription; and, either Auto Cad LT or Microsoft Visio Standard Edition, as directed by the Engineer."

## [Added 09-04-2013]

14. <u>Refer</u> to Page 384, the end of Section 6.44 - White and Yellow Thermoplastic Reflectorized Pavement Markings; <u>Insert</u> new SECTION 6.44 PO, after Section 6.44, as contained on

the following pages A1-2k through A1-2m.

[Added 02-10-2014]

15. <u>Refer</u> to Pages 393 and 394, **SECTION 6.52 - Uniformed Full-Time** Flagperson;

Delete Section 6.52 on pages 393 and 394, but do not delete examples on pages 395 and 396;

Substitute SECTION 6.52 CG, as contained on the following pages A1-2n and A1-20.

# SECTION 6.44 PO Lane Pavement Overlay

**6.44PO.1. DESCRIPTION.** This section describes the furnishing and application of an approved Green Asphalt Pavement Color Scheme along designated bicycle lanes and Brick-Red Asphalt Pavement Color Scheme matching Quest's StreetBondCL Terracotta color along designated Select Bus Service (SBS) lanes, as indicated in the Contract Drawings or as directed by the Engineer.

# 6.44PO.2. <u>REFERENCES</u>.

- A. ASTM D-4541 Standard Test Method for Pull-Off Strength of Coatings Using Portable Adhesion Tester.
- B. ASTM D-4060 Test Method for Abrasion Resistance of Organic Coatings by the Taber Abrasion.
- C. ASTM D-522-93A Standard Test Method for Mandrel Bend Test of Attached Organic Coatings.
- D. ASTM G-155 QUV Accelerated Weathering Environment. Standard Practice for Operating Fluorescent Light Apparatus for UV Exposure of Nonmetallic Materials.
- E. ASTM D-2486 MEK rub test for chemical resistance.
- F. ASTM D-570 Standard Test Method for water absorption of plastics.
- G. ASTM E-303 British Pendulum test for friction.
- H. EPA 24 ASTM D3960-05 Volatile Organic Compounds.

# 6.44PO.3. <u>SUBMITTALS</u>.

- A. A copy of the current year accreditation certificate available from the Contractor or subcontractor who will be performing this work, or written verification from the coating supplier that the Contractor or subcontractor is qualified to perform this Work.
- B. Written and published specification for the application of the selected asphalt pavement coating.
- C. Confirmation of coating color.
- D. Proof of coating performance through a Certificate of Analysis or equivalent document as provided by the Contractor or the coating supplier.

## 6.44PO.4. MATERIALS.

The following table outlines minimum performance properties of a typical asphalt pavement coating.

Characteristic	Test Specification	Measured result
Durability: Taber Abrasion resistance	ASTM D-4060 7 day cure, H-10 wheel (wet test)	< 5.0 g/1000
Water sensitivity	ASTM D-570 Water absorption after 9 days: Remaining absorption after 1 hour of recovery:	< 10% < 1.0%
Color stability	ASTM G-155 QUV 2,000 hours (CIE units)	New York City Bike Lane Green ∆E < 1.5
Color stability	ASTM G-155 QUV 2,000 hours (CIE units)	Brick color $\Delta E < 1.5$

Flexibility: Mandrel Bend	ASTM D-522-93A Flexibility as measured by Mandre 0.5mm thick sample passes 10 mm at 21°C 0.5mm thick sample passes 125mm at -18°C	l bend
Chemical resistance	ASTM D-2486 Modified MEK scrubs 16 dry mils, number of scrubs until 50% substrate exposed	>5000
Adhesion to Asphalt	ASTM D-4541	Substrate Failure
Friction Wet	ASTM E-303 British Pendulum Tester	>55
Environmental Sensitivity	EPA 24 ASTM D-3960-05 Volatile Organic Compounds	VOC < 150

These properties shall be evidenced by Certificates of Analysis produced by an independent qualified testing facility.

Green Bicycle and Red Bus Lane Pavement Overlays furnished by the following manufacturers, or approved equivalent, are acceptable for use in this contract:

Ennis Paint, Inc. 1509 S. Kaufman Street Ennis, TX 75119

Integrated Pavement Concepts, Inc. 102-17957 55th Avenue Surrey, BC Canada V3S 6C4

Crafco, Inc. 420 N. Roosevelt Avenue Chandler, AZ 85226

**6.44PO.5.** <u>METHODS</u>. The asphalt pavement coating system shall be applied to the pavement in accordance with the manufacturer's specification. In its hardened state the color shall be as specified, and as approved by the Engineer. The material shall present a marking whose color and chemical resistance will not degrade under normal exposure to calcium chloride, sodium chloride or automotive oils and fuels. Color pigments used shall remain stable under exposure to ultra violet light. A minimum of four (4) layers of coating material shall be applied to the pavement surface.

The Contractor shall be required to use the proper equipment in the application of the asphalt pavement coating, as per the recommendation of the coating supplier, and as approved by the Engineer.

Asphalt pavement must be stable, well compacted and generally in excellent condition for the application of the asphalt pavement coating to be successful. The Engineer shall make the final determination as to the suitability of the existing asphalt pavement.

The asphalt pavement surface shall be dry and free from all foreign matter, including but not limited to dirt, dust, de-icing materials, and chemical residue.

The asphalt pavement coating shall only be applied in the correct environmental conditions as instructed by the coating supplier, and as approved by the Engineer.

Refer to the instructions provided by the coating supplier regarding when the painted lane may be opened to traffic. Wait time is typically a function of the dry rate of the coating, and climate conditions.

The Engineer may, at his discretion, require the Contractor to remove all extraneous marks on the pavement made by the agents or employees of the Contractor, or made by others due to improper control or protection of the work area by the Contractor, his agents or employees. Any installation which, in the opinion of the Engineer, is not acceptable, whether by reason of poor workmanship, poor appearance, poor performance, poor materials, improper width or improper alignment, shall be reworked by the Contractor at no cost to the City. The Contractor shall replace rejected installation as directed by the Engineer, within fifteen (15) days after receiving written notification of the rejection of such completed work.

**6.44PO.6.** <u>**MEASUREMENT**</u>. The quantities to be measured for payment shall be the number of square yards of Lane Pavement Overlay, of each color, placed as specified to the satisfaction of the Engineer.

**6.44PO.7.** <u>**PRICES TO COVER.</u>**. The unit prices bid per square yard of Green Bicycle Lane Pavement Overlay and Red Bus Lane Pavement Overlay shall cover the cost of all labor, materials, plant, equipment, insurance, and necessary incidentals required including, but not limited to, testing, cleaning, preparation of surfaces, and application of the lane pavement overlay materials, all in accordance with the contract plans and specifications, and as directed by the Engineer.</u>

Payment will be made under:

Item No.	Item	Pay Unit
6.44 POG	GREEN BICYCLE LANE PAVEMENT OVERLAY	S.Y.
6.44 POR	RED BUS LANE PAVEMENT OVERLAY	S.Y.

# SECTION 6.52 CG Crossing Guard

**6.52CG.1. INTENT.** This section describes the employment of full-time uniformed crossing guards to direct and detour traffic.

**6.52CG.2. DESCRIPTION.** The Contractor shall furnish an adequate number of competent crossing guards to control vehicular and pedestrian traffic when it is necessary to maintain alternating one-way traffic in one lane of a two-way roadway, and at all other locations where construction operations, construction vehicles and equipment, and temporary traffic patterns related to the construction operations require positive temporary traffic control for safe, efficient traffic operations.

**6.52CG.3. METHODS.** All crossing guards, whether paid for under this item or not, shall be proficient in speaking, writing and reading English and adequately trained, as approved by the Engineer, in controlling vehicular and pedestrian traffic at construction sites.

All crossing guards, whether paid for under this item or not, their apparel, hand-signaling devices, and active two-way radios shall be appropriate for use at roadway construction sites as approved by the Engineer.

Prior to the start of crossing guard operations, the Contractor shall provide to the Engineer a list of crossing guards to be used in the contract, identifying the source of crossing guard training for each individual. When requested by the Engineer, crossing guards shall demonstrate their competency in crossing guard procedures. Crossing guards not competent in controlling vehicular and pedestrian traffic procedures to the satisfaction of the Engineer shall be retrained or replaced at once. Each crossing guard paid under this item must be a full-time crossing guard. If any worker performing services under this item is also assigned the task of directing construction equipment (as per attached Example #2, worker acting as a flagperson 'A') or any laborer tasks, then such worker shall be deemed to be subject to the provisions of Labor Law §220 Prevailing Wage Schedule and will not be paid for under this Item.

**6.52CG.4. MEASUREMENT**. The quantity to be measured for payment shall be the number of person-hours of uniformed crossing guard service actually performed, as authorized by the Engineer. Laborers who are not full-time crossing guard will not be measured for payment as crossing guards under this or any other item. Each uniformed crossing guard shall be required to work a minimum of eight (8) hours a day and the Contractor will be given a minimum of twelve (12) hours advanced notice by the Engineer as to when to furnish a crossing guard.

**6.52CG.5. PRICE TO COVER.** The contract price per person-hour shall cover the cost of all labor, materials, equipment, and insurance necessary to employ a uniformed full-time crossing guard, and equip him/her with safety vests, hard hats, and signaling devices, including all other incidental costs necessary to control and detour traffic, as shown on the Contract Drawings, the Examples #1 and #2 on pages 395 and 396 (excluding worker acting as a flagperson "A" in Example #2), or as directed by the Engineer.

Payment for flagperson "A" in Example #2, shall be deemed to be included under other items of work, as appropriate.

Where there is no scheduled item for Crossing Guard, the cost of furnishing Crossing Guards as required shall be deemed included in the unit price bid for the Maintenance and Protection of Traffic item.

Payment will be made under:

Item No. Item

Pay Unit

6.52 CG CROSSING GUARD

PERSON-HOUR (P/HR)

[Added 02-24-2014]

16. <u>Refer</u> to Pages 480 and 481, Subsection 7.13.2.(B) MAINTENANCE OF STREETS, 4<sup>th</sup> paragraph, beginning with the words "The Contractor shall maintain the traveled way . . .; <u>Delete</u> the 4<sup>th</sup> paragraph, in its entirety; <u>Substitute</u> the following text:

"The Contractor shall maintain the traveled way in such a condition and conduct operations in such a manner that snow and ice may be readily removed by others as and when necessary, and in such a manner that proper drainage is provided for the melting of snow in the banks resulting from normal plowing. However, the Contractor will not be responsible for snow or ice removal on the pavement or traveled way opened for public usage, except within the limits of the work zone(s) which may include, but is not be limited to, stairways, promenades, esplanade areas, and sidewalk, including those fronting his office and the Engineer's field office all of which will be the responsibility of the Contractor."

## [Added 04-15-2015]

- 17. Refer to Page A1-2n of this Addendum, Subsection 6.52CG.5. PRICE TO COVER;
  - $\underline{\text{Add}}$  the following text as the second paragraph under Subsection 6.52CG.5.:

"The Contractor is advised that until the Comptroller of the City of New York sets a prevailing wage rate for crossing guards, there are no prevailing wage rates for crossing guards."

18. Refer to Pages 328 through 341, Subsections 6.23.5. MEASUREMENT and 6.23.6. PRICES TO COVER;

Delete Subsection 6.23.5. MEASUREMENT and 6.23.6. PRICES TO COVER, in their entirety;

Substitute Subsection 6.23.5. MEASUREMENT (Revised) and 6.23.6. PRICES TO COVER (Revised), as contained on the following pages A1-2q through A1-2af

19. <u>Refer</u> to Page 511, SECTIONS 7.97 THRU 8.01 (NO TEXT); <u>Change</u> the words "SECTIONS 7.97 THRU 8.01 (NO TEXT)" to read "SECTIONS 7.97 THRU 7.99 (NO TEXT)"; <u>Insert</u> new Section 8.00 MT, as contained on the following pages A1-2ag Through A1-2ai; <u>Insert</u> the words "SECTION 8.01 (NO TEXT)".

## 6.23.5. MEASUREMENT (Revised).

(A) The quantities of

ITEM NO. 6.23 AA	FURNISH AND INSTALL FIRE ALARM POST IN ACCORDANCE WITH F.D.
	STD. DWG. #141
ITEM NO. 6.23 AB	REMOVE EXISTING FIRE ALARM POST
ITEM NO. 6.23 AC	ADJUST FIRE ALARM POST TO NEW GRADE IN ACCORDANCE WITH
	F.D. STD. DWG. #167
ITEM NO. 6.23 BA	FURNISH AND INSTALL FIRE ALARM POST AND SUBBASE IN
	ACCORDANCE WITH F.D. STD. DWG. #141

to be measured for payment shall be the number of fire alarm posts with or without subbases, as specified, incorporated in the work as shown, specified or required, to the satisfaction of the Engineer; the number of fire alarm posts and/or empty housings actually delivered to the Fire Department's storehouse as shown, specified or required, to the satisfaction of the Engineer; or, the number of fire alarm posts adjusted to the new grade as shown, specified or required, to the satisfaction of the Engineer.

(B) The quantities of

ITEM NO. 6.23 AF	FURNISH AND INSTALL FIRE DEPARTMENT POLE TERMINAL (CHIPPY) BOX IN ACCORDANCE WITH FIRE DEPARTMENT STANDARD
ITEM NO. 6.23 AFA	DRAWING #145AA FURNISH AND INSTALL FIRE DEPARTMENT POLE TERMINAL (CHIPPY) BOX IN ACCORDANCE WITH FIRE DEPARTMENT STANDARD
ITEM NO. 6.23 BF	DRAWING #145AA WITHOUT TERMINATING CABLES FURNISH AND INSTALL FIRE DEPARTMENT POLE TERMINAL (HOFFMAN) BOX IN ACCORDANCE WITH FIRE DEPARTMENT
ITEM NO. 6.23 BFE	STANDARD DRAWING #146 FURNISH AND INSTALL FIRE DEPARTMENT POLE TERMINAL
	(HOFFMAN) BOX IN ACCORDANCE WITH FIRE DEPARTMENT STANDARD DRAWING #146 WITHOUT TERMINATING CABLES

to be measured for payment shall be the number of pole terminal boxes of each type, incorporated in the work, complete, as shown, specified or required, to the satisfaction of the Engineer.

(C) The quantities of

ITEM NO. 6.23 BBS	FURNISH AND INSTALL 3" 90 DEGREE P.V.C. WIDE BEND, SCHEDULE 40, U.L. 651 (WITHOUT PAVEMENT EXCAVATION) IN ACCORDANCE WITH F.D. STD. DWG. #141
ITEM NO. 6.23 BBSE	FURNISH AND INSTALL 3" 90 DEGREE P.V.C. WIDE BEND, SCHEDULE 40, U.L. 651 (WITH PAVEMENT EXCAVATION) IN ACCORDANCE WITH F.D. STD. DWG. #141
ITEM NO. 6.23 BH	FURNISH AND INSTALL 4" 90 DEGREE P.V.C. WIDE BEND, SCHEDULE 40, U.L. 651 (WITHOUT PAVEMENT EXCAVATION) IN ACCORDANCE WITH F.D. STD. DWG. #141 OR #145AA
ITEM NO. 6.23 BHE	FURNISH AND INSTALL 4" 90 DEGREE P.V.C. WIDE BEND, SCHEDULE 40, U.L. 651 (WITH PAVEMENT EXCAVATION) IN ACCORDANCE WITH F.D. STD. DWG. #141 OR #145AA
ITEM NO. 6.23 CB	FURNISH AND INSTALL 2 – 3" 90 DEGREE P.V.C. WIDE BENDS, SCHEDULE 40, U.L. 651 IN ONE TRENCH (WITHOUT PAVEMENT EXCAVATION) IN ACCORDANCE WITH F.D. STD. DWG. #141
ITEM NO. 6.23 CBE	FURNISH AND INSTALL 2 – 3" 90 DEGREE P.V.C. WIDE BENDS, SCHEDULE 40, U.L. 651 IN ONE TRENCH (WITH PAVEMENT EXCAVATION) IN ACCORDANCE WITH F.D. STD. DWG. #141

ITEM NO. 6.23 XBB	FURNISH AND INSTALL 2" GALVANIZED STEEL 90 DEGREE BEND
	(WITHOUT PAVEMENT EXCAVATION) IN ACCORDANCE WITH ED STD
	DVVG. #145DD
ITEM NO. 6.23 XBBE	FURNISH AND INSTALL 2" GALVANIZED STEEL 90 DEGREE BEND
	(WITH PAVEMENT EXCAVATION) IN ACCORDANCE WITH F.D. STD.
	DWG. #145BB
ITEM NO. 6.23 XCC	FURNISH AND INSTALL 3" GALVANIZED STEEL 90-DEGREE BEND
	(WITHOUT PAVEMENT EXCAVATION)
ITEM NO. 6.23 XCCE	FURNISH AND INSTALL 3" GALVANIZED STEEL 90-DEGREE BEND
	(WITH PAVEMENT EXCAVATION)
ITEM NO. 6.23 XDD	(WITTERAVEMENT EXCAVATION)
	FURNISH AND INSTALL 4" GALVANIZED STEEL 90-DEGREE BEND
	(WITHOUT PAVEMENT EXCAVATION)
ITEM NO. 6.23 XDDE	FURNISH AND INSTALL 4" GALVANIZED STEEL 90-DEGREE BEND
	(WITH PAVEMENT EXCAVATION)

to be measured for payment shall be the number of bends of each type and size of eighteen (18") inch radius for fire alarm posts or poles installed in the work, complete, as shown, specified or required, to the satisfaction of the Engineering.

(D) The quantities of

. . . . .

ITEM NO. 6.23 BCS	FURNISH AND INSTALL 3" P.V.C. CONDUIT, SCHEDULE 40,
	U.L. 051 (WITHOUT PAVEMENT FXCAVATION)
ITEM NO. 6.23 BCSE	FURNISH AND INSTALL 3" P.V.C. CONDUIT SCHEDULE 40
	U.L. 651 (WITH PAVEMENT EXCAVATION)
ITEM NO. 6.23 BGS	FURNISH AND INSTALL 4" P.V.C. CONDUIT SCHEDULE 40
	U.L. 651 (WITHOUT PAVEMENT EXCAVATION)
ITEM NO. 6.23 BGSE	FURNISH AND INSTALL 4" P.V.C. CONDUIT, SCHEDULE 40,
ITEM NO. 6.23 BGT	FURNISH AND INSTALL 2 – 4" P.V.C. CONDUITS SCHEDULE 40, LL
	651 IN ONE TRENCH (WITHOUT PAVEMENT EXCAVATION, ONE ON
	(OP OF THE OTHER)
ITEM NO. 6.23 BGTE	FURNISH AND INSTALL 2 - 4" P.V.C. CONDUITS SCHEDULE 40, 111
	651 IN ONE TRENCH (WITH PAVEMENT EXCAVATION, ONE ON TOP OF
ITEM NO. 6.23 CC	FURNISH AND INSTALL 2 – 3" P.V.C. CONDUITS, SCHEDULE 40, U.L.
	651 IN ONE TRENCH (WITHOUT PAVEMENT EXCAVATION, ONE ON
	IOP OF THE OTHER)
ITEM NO. 6.23 CCE	FURNISH AND INSTALL 2 - 3" P.V.C. CONDUITS SCHEDULE 40. LL
	651 IN ONE TRENCH (WITH PAVEMENT EXCAVATION, ONE ON TOP OF
ITEM NO. 6.23 XB	FURNISH AND INSTALL 2" STEEL GALVANIZED CONDUIT (WITHOUT
ITEM NO. 6.23 XBE	FURNISH AND INSTALL 2" STEEL GALVANIZED CONDUIT (M/ITH
	PAVEMENT EXCAVA (ION)
ITEM NO. 6.23 XC	FURNISH AND INSTALL 3" GALVANIZED STEEL CONDUIT (WITHOUT
	PAVEMENT EXCAVATION)
ITEM NO. 6.23 XCE	FURNISH AND INSTALL 3" GALVANIZED STEEL CONDUIT (WITH
	PAVEMENT EXCAVATION)
ITEM NO. 6.23 XD	FURNISH AND INSTALL 4" GALVANIZED STEEL CONDUIT (WITHOUT
	PAVEMENT EXCAVATION)
ITEM NO. 6.23 XDE	FURNISH AND INSTALL 4" GALVANIZED STEEL CONDUIT (WITH
	PAVEMENT EXCAVATION)

to be measured for payment shall be the number of linear feet of each size, number and kind of fire communication conduit and forty-eight (48") radius bends incorporated in the work, complete, as shown, specified or required, to the satisfaction of the Engineer, measured along the center line of each run of fire communication conduit, from center line of manhole to center line of manhole or to center line of fire alarm post or pole, as is applicable.

#### (E) The quantities of

ITEM NO. 6.23 BD	FURNISH AND INSTALL 4-PAIR FIRE ALARM CABLE
ITEM NO. 6.23 DC	FURNISH AND INSTALL 10 PAIR FIRE ALARM CABLE
ITEM NO. 6.23 DDA	FURNISH AND INSTALL 15 PAIR FIRE ALARM CABLE
ITEM NO. 6.23 DDB	FURNISH AND INSTALL 20 PAIR FIRE ALARM CABLE
ITEM NO. 6.23 DDC	FURNISH AND INSTALL 25 PAIR FIRE ALARM CABLE
ITEM NO. 6.23 DDD	FURNISH AND INSTALL 30 PAIR FIRE ALARM CABLE
ITEM NO. 6.23 DDE	FURNISH AND INSTALL 40 PAIR FIRE ALARM CABLE
ITEM NO. 6.23 DF	FURNISH AND INSTALL 45 PAIR FIRE ALARM CABLE
ITEM NO. 6.23 DF	FURNISH AND INSTALL 50 PAIR FIRE ALARM CABLE
	FURNISH AND INSTALL 45 PAIR FIRE ALARM CABLE FURNISH AND INSTALL 50 PAIR FIRE ALARM CABLE FURNISH AND INSTALL 55 PAIR FIRE ALARM CABLE FURNISH AND INSTALL 60 PAIR FIRE ALARM CABLE

to be measured for payment shall be the number of linear feet of each size and type of fire alarm cable, including slack, incorporated in the work, complete, as shown, specified or required, to the satisfaction of the Engineer, measured along the centerline of each run of fire alarm cable, continuously through manholes.

(F) The quantities of

ITEM NO. 6.23 BE	FURNISH AND INSTALL FIRE DEPARTMENT MANHOLE TYPE "A" WITH FRAME AND COVER IN ACCORDANCE WITH F.D. STD. DWG. #140, #144, & #144E
ITEM NO. 6.23 BES	FURNISH AND INSTALL FIRE DEPARTMENT SLOTTED MANHOLE TYPE "A" WITH FRAME AND COVER IN ACCORDANCE WITH F.D. STD. DWG. #140, #144S & #144E
ITEM NO. 6.23 EB	FURNISH AND INSTALL FIRE DEPARTMENT MANHOLE TYPE "B" WITH FRAME & COVER IN ACCORDANCE WITH F.D. STD. DWG. #140, #144, #144C, #144CC, & #144E
ITEM NO. 6.23 HH	FURNISH AND INSTALL F.D.N.Y. SIDEWALK HANDHOLE WITH FRAME AND COVER IN ACCORDANCE WITH F.D. STD. DWG. #144B OR #144E
ITEM NO. 6.23 HHA	FURNISH AND INSTALL F.D.N.Y. SIDEWALK HANDHOLE WITH FRAME AND COVER IN ACCORDANCE WITH F.D. STD. DWG. #143
ITEM NO. 6.23 HHS	FURNISH AND INSTALL F.D.N.Y. SIDEWALK SLOTTED HANDHOLE WITH FRAME AND COVER IN ACCORDANCE WITH F.D. STD. DWG. #144E OR #144BS

to be measured for payment shall be the number of each type manhole or handhole installed in the work, complete, as shown, specified or required, to the satisfaction of the Engineer.

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(G) The quantities of

ITEM NO. 6.23 BFA	FURNISH AND INSTALL FIRE DEPARTMENT 48 WIRE TERMINAL BOX AND TERMINATE FIRE ALARM CABLES
ITEM NO. 6.23 BFB	FURNISH AND INSTALL FIRE DEPARTMENT 24 WIRE TERMINAL BOX
ITEM NO. 6.23 BFC	AND TERMINATE FIRE ALARM CABLES FURNISH AND INSTALL FIRE DEPARTMENT 12 WIRE TERMINAL BOX
TENINO, 0.23 DI C	AND TERMINATE FIRE ALARM CABLES

to be measured for payment shall be the number of terminal boxes of each type, incorporated in the work, complete, as shown, specified or required, to the satisfaction of the Engineer.

(H) The quantity of

# ITEM NO. 6.23 BGB FURNISH AND INSTALL 4" PVC CONDUIT TO 4" GALVANIZED STEEL BUSHING AS SHOWN IN F.D. STD. DWG. #145AA OR 146

FURNISH AND INSTALL 3" PVC CONDUIT TO 3" GALVANIZED STEEL
BUSHING AS SHOWN IN F.D. STD. DWG. #145AA OR 146
FURNISH AND INSTALL 4" PVC CONDUIT TO 2" GALVANIZED STEEL
REDUCER BUSHING AS SHOWN IN F.D. STD. DWG. #145AA
FURNISH AND INSTALL 4" PVC CONDUIT TO 3" GALVANIZED STEEL
REDUCER BUSHING AS SHOWN IN F.D. STD. DWG. #145AA OR 146 FURNISH AND INSTALL 3" PVC CONDUIT TO 2" GALVANIZED STEEL
REDUCER BUSHING AS SHOWN IN F.D. STD. DWG. #145AA OR 146
FURNISH AND INSTALL 3" PVC CONDUIT TO 4" GALVANIZED STEEL
REDUCER BUSHING AS SHOWN IN F.D. STD. DWG. #145AA OR 146

to be measured for payment shall be the number of bushings incorporated in the work, complete, as shown, specified or required, to the satisfication of the Engineer.

(I) The quantity of

ITEM NO. 6.23 BP

FURNISH AND INSTALL FIRE ALARM PEDESTAL BUMPERS (2 REQUIRED PER SET) IN ACCORDANCE WITH F.D. STD. DWG. #168

to be measured for payment shall be the number of sets of bumpers, incorporated in the work, complete, as shown, specified or required, to the satisfaction of the Engineer. Each set shall consist of two (2) bumpers.

(J) The quantities of

ITEM NO. 6.23 FC	REMOVE EXISTING F.D.N.Y. MANHOLE FRAME & COVER AND FURN AND INSTALL F.D.N.Y. FRAME & COVER IN ACCORDANCE WITH F.D.
ITEM NO. 6.23 HFC	STD. DWG. #140 REMOVE EXISTING F.D.N.Y. SIDEWALK HANDHOLE FRAME & COVER
	AND FURNISH AND INSTALL F.D.N.Y. SIDEWALK HANDHOLE FRAME & COVER IN ACCORDANCE WITH F.D. STD. DWG. #143 OR #144B

to be measured for payment shall be the number of each type of existing F.D.N.Y. manhole frame & cover or sidewalk handhole frame & cover that have been replaced, at the locations shown or as directed and as shown on the Fire Department Standards, to the satisfaction of the Engineer.

(K) The quantities of

ITEM NO. 6.23 FCA	FURNISH AND INSTALL F.D.N.Y. MANHOLE FRAME & COVER IN
	ACCORDANCE WITH F.D. STD. DWG, #140
ITEM NO. 6.23 FCB	FURNISH AND INSTALL F.D.N.Y. MANHOLE COVER IN ACCORDANCE
	WITH F.D. STD. DWG. #140
ITEM NO. 6.23 HC	FURNISH AND INSTALL F.D.N.Y. SIDEWALK HANDHOLE COVER IN
	ACCORDANCE WITH F.D. STD. DWG. #143 OR #144B
ITEM NO. 6.23 HFCA	FURNISH AND INSTALL F.D.N.Y. SIDEWALK HANDHOLF FRAME AND
	COVER IN ACCORDANCE WITH F.D. STD. DWG. #143 OR #144B

to be measured for payment shall be the number of new manhole or sidewalk handhole frames with covers or manhole or sidewalk handhole covers incorporated in the work, complete, as shown, specified or required, to the satisfaction of the Engineer.

(L) The quantities of

11 EM NO. 6.23 RH	REMOVE EXISTING F.D.N.Y. SIDEWALK HANDHOLE
ITEM NO. 6.23 RM	REMOVE EXISTING F.D.N.Y. MANHOLE

to be measured for payment shall be the number of F.D.N.Y. manholes or sidewalk handholes actually removed, as specified, at the location shown or as directed, to the satisfaction of the Engineer.

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(M) The quantities of

ITEM NO. 6.23 RIC	RODDING AND INSTALLING FIRE ALARM CABLE IN EXISTING TELEPHONE CONDUIT SYSTEM
ITEM NO. 6.23 RICA	ROD AND ROPE CONDUIT AND INSTALL 4 PAIR FIRE ALARM CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICB	ROD AND ROPE CONDUIT AND INSTALL 10 PAIR FIRE ALARM CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICC	ROD AND ROPE CONDUIT AND INSTALL 15 PAIR FIRE ALARM CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICD	ROD AND ROPE CONDUIT AND INSTALL 20 PAIR FIRE ALARM CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICE	ROD AND ROPE CONDUIT AND INSTALL 25 PAIR FIRE ALARM CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICF	ROD AND ROPE CONDUIT AND INSTALL 30 PAIR FIRE ALARM CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICG	ROD AND ROPE CONDUIT AND INSTALL 40 PAIR FIRE ALARM CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICH	ROD AND ROPE CONDUIT AND INSTALL 45 PAIR FIRE ALARM CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICI	ROD AND ROPE CONDUIT AND INSTALL 50 PAIR FIRE ALARM CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICJ	ROD AND ROPE CONDUIT AND INSTALL 55 PAIR FIRE ALARM CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICK	ROD AND ROPE CONDUIT AND INSTALL 60 PAIR FIRE ALARM CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RR ITEM NO. 6.23 XY	ROD AND ROPE EXISTING CONDUIT FURNISH AND INSTALL POLYPROPYLENE DRAG ROPE

to be measured for payment shall be the number of linear feet of drag rope, with or without rodding, or cable with rodding, including slack, incorporated in the work, complete, as shown, specified or required, to the satisfaction of the Engineer, measured along the center line of each run of drag rope.

(N) The quantities of

ITEM NO. 6.23 XAPE	FURNISH AND INSTALL 1/2" GALVANIZED STEEL CONDUIT POLE RISER IN ACCORDANCE WITH FIRE DEPARTMENT STANDARD DRAWING #145AA
ITEM NO. 6.23 XBPE	FURNISH AND INSTALL 2" GALVANIZED STEEL CONDUIT POLE RISER IN ACCORDANCE WITH FIRE DEPARTMENT STANDARD DRAWING
ITEM NO. 6.23 XCPE	#145AA FURNISH AND INSTALL 3" GALVANIZED STEEL CONDUIT POLE RISER IN ACCORDANCE WITH FIRE DEPARTMENT STANDARD DRAWING #145AA OR #146
ITEM NO. 6.23 XDPE	FURNISH AND INSTALL 4" GALVANIZED STEEL CONDUIT POLE RISER IN ACCORDANCE WITH FIRE DEPARTMENT STANDARD DRAWING #145AA OR #146

to be measured for payment shall be the number of each type of conduit pole riser installed in the work, complete, as shown, specified or required, to the satisfaction of the Engineer.

(O) The quantity of

ITEM NO. 6.23 MW FURNISH AND INSTALL 5/16 INCH 7 STRAND GALVANIZED STEEL MESSENGER WIRE AND APPURTENANCES

to be measured for payment shall be the number of linear feet of each size and type of steel messenger wire, including slack, incorporated in the work, complete, as shown, specified or required, to the satisfaction of the Engineer, measured along the centerline of each run.



(P) The quantity of

#### ITEM NO. 6.23 PP PAINT EXISTING FIRE ALARM POST AND/OR HOUSING

to be measured for payment shall be the number of fire alarm posts and/or boxes painted, complete, as specified or required, to the satisfaction of the Engineer.

**6.23.6. PRICES TO COVER (Revised).** Before payment is made for work done under this Section, the Contractor shall obtain a Certificate of Compliance from the Fire Department and file such certificate with the Engineer. The said certificate shall certify that all work at each location complies with the standards of, and is acceptable to, the Fire Department, Bureau of Communications.

The following contract items shall also include the cost of the permits and the letter of acceptance required and necessary to construct the new Fire Communications System at the locations shown or required all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.

(A) The contract prices bid for
 ITEM NO. 6.23 AA FURNISH AND INSTALL FIRE ALARM POST IN ACCORDANCE WITH F.D. STD. DWG. #141
 ITEM NO. 6.23 BA FURNISH AND INSTALL FIRE ALARM POST AND SUBBASE IN ACCORDANCE WITH F.D. STD. DWG. #141
 ITEM NO. 6.23 AB REMOVE EXISTING FIRE ALARM POST
 ITEM NO. 6.23 AC ADJUST FIRE ALARM POST TO NEW GRADE IN ACCORDANCE WITH F.D. STD. DWG. #167

shall be a unit price for each and shall cover the cost of all labor, materials, plant, equipment, insurance, samples, and tests required and necessary to furnish and install fire alarm post with or without subbase, as specified, and at the location shown or as directed and as shown on the Fire Department Standards; or to remove the fire alarm post and/or empty housing at the locations shown and deliver them to the Fire Department's Storehouse as directed; and shall include, but not be limited to, adjustment of base, subbase, and terminal box appurtenances, as may be required, at the locations shown or as directed. Said work shall include, but not be limited to, furnishing and installing base, subbase when specified, and appurtenances; connections; and furnishing and installing all other items necessary to complete this work and do all work incidental thereto, all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.

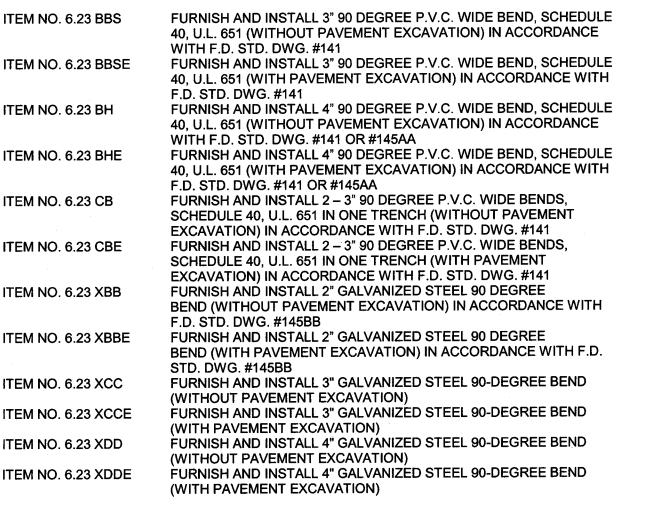
(B) The contract prices bid for

ITEM NO. 6.23 AF	FURNISH AND INSTALL FIRE DEPARTMENT POLE TERMINAL (CHIPPY)
	BOX IN ACCORDANCE WITH FIRE DEPARTMENT STANDARD
	DRAWING #145AA
ITEM NO. 6.23 AFA	FURNISH AND INSTALL FIRE DEPARTMENT POLE TERMINAL (CHIPPY)
	BOX IN ACCORDANCE WITH FIRE DEPARTMENT STANDARD
	DRAWING #145AA WITHOUT TERMINATING CABLES
ITEM NO. 6.23 BF	FURNISH AND INSTALL FIRE DEPARTMENT POLE TERMINAL
	(HOFFMAN) BOX IN ACCORDANCE WITH FIRE DEPARTMENT
	STANDARD DRAWING #146
ITEM NO. 6.23 BFE	FURNISH AND INSTALL FIRE DEPARTMENT POLE TERMINAL
	(HOFFMAN) BOX IN ACCORDANCE WITH FIRE DEPARTMENT
	STANDARD DRAWING #146 WITHOUT TERMINATING CABLES

shall be a unit price for each type pole terminal box and shall cover the cost of all labor, materials, plant, equipment, insurance, samples, and tests required and necessary to furnish and install the pole terminal box of the type and at the locations shown or as directed and as shown on the Fire Department Standards. Said work shall also include, but not limited to, connections, cable terminations, and furnishing and installing all other items necessary to complete this work and doing all work incidental thereto, all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.

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shall be a unit price for each type of conduit bend, single or double, with or without pavement excavation, as specified, and shall cover the cost of all labor, materials, plant, equipment, insurance, samples, and tests required and necessary to furnish and install a two (2") or three (3") or four (4") inch bend, single or double in one trench, of eighteen (18") inch radius for fire alarm posts or poles at the locations shown or as directed and as shown on the Fire Department Standards. Said work shall also include, but not be limited to, excavation and backfilling, connections, and furnishing and installing all other items necessary to complete this work and doing all work incidental thereto, all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.

(D) The contract prices bid for

ITEM NO. 6.23 BCS	FURNISH AND INSTALL 3" P.V.C. CONDUIT, SCHEDULE 40, U.L. 651 (WITHOUT PAVEMENT EXCAVATION)
ITEM NO. 6.23 BCSE	FURNISH AND INSTALL 3" P.V.C. CONDUIT, SCHEDULE 40, U.L. 651 (WITH PAVEMENT EXCAVATION)
ITEM NO. 6.23 BGS	FURNISH AND INSTALL 4" P.V.C. CONDUIT, SCHEDULE 40, U.L. 651 (WITHOUT PAVEMENT EXCAVATION)
ITEM NO. 6.23 BGSE	FURNISH AND INSTALL 4" P.V.C. CONDUIT, SCHEDULE 40, U.L. 651 (WITH PAVEMENT EXCAVATION)
ITEM NO. 6.23 BGT	FURNISH AND INSTALL 2 – 4" P.V.C. CONDUITS, SCHEDULE 40, U.L. 651 IN ONE TRENCH (WITHOUT PAVEMENT EXCAVATION, ONE ON
ITEM NO. 6.23 BGTE	TOP OF THE OTHER) FURNISH AND INSTALL 2 – 4" P.V.C. CONDUITS, SCHEDULE 40, U.L.
TEMINO. 0.20 DOTE	651 IN ONE TRENCH (WITH PAVEMENT EXCAVATION, ONE ON TOP OF THE OTHER)
04/15/0015	



ITEM NO. 6.23 CC	FURNISH AND INSTALL 2 – 3" P.V.C. CONDUITS, SCHEDULE 40, U.L.
	651 IN ONE TRENCH (WITHOUT PAVEMENT EXCAVATION, ONE ON TOP OF THE OTHER)
ITEM NO. 6.23 CCE	FURNISH AND INSTALL 2 – 3" P.V.C. CONDUITS, SCHEDULE 40, U.L.
	651 IN ONE TRENCH (WITH PAVEMENT EXCAVATION, ONE ON TOP OF
	THE OTHER)
ITEM NO. 6.23 XB	FURNISH AND INSTALL 2" STEEL GALVANIZED CONDUIT (WITHOUT
	PAVEMENT EXCAVATION)
ITEM NO. 6.23 XBE	FURNISH AND INSTALL 2" STEEL GALVANIZED CONDUIT (WITH
	PAVEMENT EXCAVATION)
ITEM NO. 6.23 XC	FURNISH AND INSTALL 3" GALVANIZED STEEL CONDUIT (WITHOUT
	PAVEMENT EXCAVATION)
ITEM NO. 6.23 XCE	FURNISH AND INSTALL 3" GALVANIZED STEEL CONDUIT (WITH
	PAVEMENT EXCAVATION)
ITEM NO. 6.23 XD	FURNISH AND INSTALL 4" GALVANIZED STEEL CONDUIT (WITHOUT
	PAVEMENT EXCAVATION)
ITEM NO. 6.23 XDE	FURNISH AND INSTALL 4" GALVANIZED STEEL CONDUIT (WITH
	PAVEMENT EXCAVATION)

shall be a unit price per linear foot for each size and kind of fire communication conduit and forty-eight (48") inch radius bends, single or double, with or without pavement excavation, as specified, and shall cover the cost of all labor, materials, plant, equipment, insurance, samples, and tests required and necessary to furnish and install the fire communication conduit (single or double in one trench) of the sizes and kind (P.V.C. or Galvanized Steel) specified, to the lines and grades and at the locations shown or as directed, with or without pavement excavation, as specified, of all materials of whatever nature encountered (except excavation of boulders in open cut and ledge rock). Said work shall also include, but not limited to, concrete cradles and encasements as required; all sheeting and bracing; pumping; bridging, decking; removal or abandonment, as required, of parts of the existing Fire Communications System; breaking down and filling in of abandoned fire appurtenances; furnishing and installing select granular fill material for backfill; backfilling; compaction; cleaning up; temporary restoration of street surfaces; installation and removal of temporary fire alarm communication facilities, if required; connections; and furnishing and installing all other items necessary to complete this work and do all work incidental thereto, all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.

#### (E) The contract prices bid for

ITEM NO. 6.23 BD ITEM NO. 6.23 DC ITEM NO. 6.23 DDA ITEM NO. 6.23 DDB ITEM NO. 6.23 DDC ITEM NO. 6.23 DDC ITEM NO. 6.23 DDE ITEM NO. 6.23 DF ITEM NO. 6.23 DG ITEM NO. 6.23 DH ITEM NO. 6.23 DJ

FURNISH AND INSTALL 4-PAIR FIRE ALARM CABLE FURNISH AND INSTALL 10 PAIR FIRE ALARM CABLE FURNISH AND INSTALL 15 PAIR FIRE ALARM CABLE FURNISH AND INSTALL 20 PAIR FIRE ALARM CABLE FURNISH AND INSTALL 25 PAIR FIRE ALARM CABLE FURNISH AND INSTALL 30 PAIR FIRE ALARM CABLE FURNISH AND INSTALL 40 PAIR FIRE ALARM CABLE FURNISH AND INSTALL 45 PAIR FIRE ALARM CABLE FURNISH AND INSTALL 50 PAIR FIRE ALARM CABLE FURNISH AND INSTALL 55 PAIR FIRE ALARM CABLE FURNISH AND INSTALL 55 PAIR FIRE ALARM CABLE FURNISH AND INSTALL 56 PAIR FIRE ALARM CABLE FURNISH AND INSTALL 60 PAIR FIRE ALARM CABLE

shall be a unit price per linear foot for each size and type of fire alarm cable, and shall cover the cost of all labor, materials, plant, equipment, insurance, samples, and tests required and necessary to furnish and install the fire alarm cable of the sizes and at the locations shown or as directed. Said work shall also include, but not be limited to, the cost of splices as required; cutting existing conduit, if required; protection and maintenance of the system for the duration of the guarantee period as required; and furnishing and installing all other items necessary to complete this work and do all work incidental thereto, all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.



#### (F) The contract prices bid for

ITEM NO. 6.23 BE	FURNISH AND INSTALL FIRE DEPARTMENT MANHOLE TYPE "A" WITH FRAME AND COVER IN ACCORDANCE WITH F.D. STD. DWG. #140, #144, & #144E
ITEM NO. 6.23 BES	FURNISH AND INSTALL FIRE DEPARTMENT SLOTTED MANHOLE TYPE "A" WITH FRAME AND COVER IN ACCORDANCE WITH F.D. STD. DWG. #140, #144S & #144E
ITEM NO. 6.23 EB	FURNISH AND INSTALL FIRE DEPARTMENT MANHOLE TYPE "B" WITH FRAME & COVER IN ACCORDANCE WITH F.D. STD. DWG. #140, #144, #144C, #144CC, & #144E
ITEM NO. 6.23 HH	FURNISH AND INSTALL F.D.N.Y. SIDEWALK HANDHOLE WITH FRAME AND COVER IN ACCORDANCE WITH F.D. STD. DWG. #144B OR #144E
ITEM NO. 6.23 HHA	FURNISH AND INSTALL F.D.N.Y. SIDEWALK HANDHOLE WITH FRAME AND COVER IN ACCORDANCE WITH F.D. STD. DWG. #143
ITEM NO. 6.23 HHS	FURNISH AND INSTALL F.D.N.Y. SIDEWALK SLOTTED HANDHOLE WITH FRAME AND COVER IN ACCORDANCE WITH F.D. STD. DWG. #144E OR #144BS

shall be a unit price for each type manhole or sidewalk handhole and shall cover the cost of all labor, materials, plant, equipment, insurance, samples, and tests required and necessary to furnish and install the Fire Department manhole with frame and cover or sidewalk handhole with frame and cover, as specified, at the locations shown or as directed and as shown on the Fire Department Standards. Said work shall also include, but not limited to, excavation of all materials of whatever nature encountered (except excavation of boulders in open cut and ledge rock); reinforcement; all sheeting and bracing; pumping; bridging, decking; removal or abandonment, as required of parts of the existing Fire Communications System; breaking down and filling in of abandoned fire appurtenances; backfill; compaction; cleaning up; temporary restoration of street surfaces; installation and removal of temporary fire alarm communication facilities, if required; connections; and furnishing and installing all other items necessary to complete this work and do all work incidental thereto, all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.

(G) The contract prices bid for

ITEM NO. 6.23 BFA	FURNISH AND INSTALL FIRE DEPARTMENT 48 WIRE TERMINAL BOX AND TERMINATE FIRE ALARM CABLES
ITEM NO. 6.23 BEB	FURNISH AND INSTALL FIRE DEPARTMENT 24 WIRE TERMINAL BOX
TENINO, 0.23 DED	AND TERMINATE FIRE ALARM CABLES
ITEM NO. 6.23 BFC	FURNISH AND INSTALL FIRE DEPARTMENT 12 WIRE TERMINAL BOX
· · … — — —	AND TERMINATE FIRE ALARM CABLES

shall be a unit price bid for each type cable terminal box and shall cover the cost of all labor, materials, plant, equipment, insurance, samples, and tests required and necessary to furnish and install the cable terminal box of the type and at the locations shown or as directed and as shown on the Fire Department Standards. Said work shall also include, but not be limited to, connections, cable terminations, and furnishing and installing all other items necessary to complete this work and do all work incidental thereto, all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.

(H) The contract price bid for

ITEM NO. 6.23 BGB	FURNISH AND INSTALL 4" PVC CONDUIT TO 4" GALVANIZED STEEL
	BUSHING AS SHOWN IN F.D. STD. DWG. #145AA OR 146
ITEM NO. 6.23 BGD	FURNISH AND INSTALL 3" PVC CONDUIT TO 3" GALVANIZED STEEL
	BUSHING AS SHOWN IN F.D. STD. DWG. #145AA OR 146
ITEM NO. 6.23 BGR	FURNISH AND INSTALL 4" PVC CONDUIT TO 2" GALVANIZED STEEL
	REDUCER BUSHING AS SHOWN IN F.D. STD. DWG. #145AA
ITEM NO. 6.23 BGRA	FURNISH AND INSTALL 4" PVC CONDUIT TO 3" GALVANIZED STEEL
	REDUCER BUSHING AS SHOWN IN F.D. STD. DWG. #145AA OR 146

ITEM NO. 6.23 BGRC

ITEM NO. 6.23 BGRE

FURNISH AND INSTALL 3" PVC CONDUIT TO 2" GALVANIZED STEEL REDUCER BUSHING AS SHOWN IN F.D. STD. DWG. #145AA OR 146 FURNISH AND INSTALL 3" PVC CONDUIT TO 4" GALVANIZED STEEL REDUCER BUSHING AS SHOWN IN F.D. STD. DWG. #145AA OR 146

shall be a unit price bid for each reducer and shall cover the cost of all labor, materials, plant, equipment, insurance, samples, and tests required and necessary to furnish and install a bushing of the type specified and at the location shown or as directed and as shown on the Fire Department Standards. Said work shall also include, but not be limited to, furnishing and installing all other items necessary to complete this work and do all work incidental thereto, all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.

(I) The contract price bid for

ITEM NO. 6.23 BP

#### FURNISH AND INSTALL FIRE ALARM PEDESTAL BUMPERS (2 REQUIRED PER SET) IN ACCORDANCE WITH F.D. STD. DWG. #168

shall be a unit price for each set of bumpers (2 required per set) and shall cover the cost of all labor, materials, plant, equipment, insurance, samples, and tests required and necessary to furnish and install bumpers at the locations shown or as directed and as shown on the Fire Department Standards. Said work shall also include, but not be limited to, excavation and backfill; furnishing and installing steel bar reinforcement and concrete; compaction; cleaning up; temporary restoration of sidewalk surfaces; painting; and furnishing and installing all other items necessary to complete this work; and do all work incidental thereto, all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.

### (J) The contract prices bid for

ITEM NO. 6.23 FC	REMOVE EXISTING F.D.N.Y. MANHOLE FRAME & COVER AND FURNISH AND INSTALL F.D.N.Y. FRAME & COVER IN ACCORDANCE WITH F.D.
	STD. DWG. #140
ITEM NO. 6.23 HFC	REMOVE EXISTING F.D.N.Y. SIDEWALK HANDHOLE FRAME & COVER
	AND FURNISH AND INSTALL F.D.N.Y. SIDEWALK HANDHOLE FRAME & COVER IN ACCORDANCE WITH F.D. STD. DWG. #143 OR #144B
	00 1 m 143 UR #144B

shall be a unit price for each and shall cover the cost of all labor, materials, plant, equipment, insurance, samples, and tests required and necessary to remove existing F.D.N.Y. manhole frame and cover or sidewalk handhole frame & cover and furnish and install manhole frame and cover or sidewalk handhole frame & cover, at the locations shown or as directed and as shown on the Fire Department Standards. Said work shall also include, but not be limited to, furnishing and installing all other items necessary to complete this work and do all work incidental thereto, all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.

(K) The contract prices bid for

ITEM NO. 6.23 FCA	FURNISH AND INSTALL F.D.N.Y. MANHOLE FRAME & COVER IN
	ACCORDANCE WITH F.D. STD. DWG. #140
ITEM NO. 6.23 FCB	FURNISH AND INSTALL F.D.N.Y. MANHOLE COVER IN ACCORDANCE
	WITH F.D. STD. DWG. #140
ITEM NO. 6.23 HC	FURNISH AND INSTALL F.D.N.Y. SIDEWALK HANDHOLE COVER IN
	ACCORDANCE WITH F.D. STD. DWG. #143 OR #144B
ITEM NO. 6.23 HFCA	FURNISH AND INSTALL F.D.N.Y. SIDEWALK HANDHOLE FRAME AND
	COVER IN ACCORDANCE WITH F.D. STD. DWG. #143 OR #144B

shall be a unit price bid for each type and shall cover the cost of all labor, materials, plant, equipment, insurance, samples, and tests required and necessary to furnish and install the Fire Department manhole frame and/or cover or furnish and install sidewalk handhole fame and/or cover, at the location shown or as directed and as shown on the Fire Department Standards. Said work shall also include, but not be limited to, the excavation of all materials of whatever nature encountered (except excavation of boulders in open



cut and ledge rock); reinforcement; removal or abandonment, as required of parts of the existing Fire Communications System; breaking down and filling in of abandoned fire appurtenances; backfill; compaction; cleaning up; temporary restoration of street surfaces; installation and removal of temporary fire alarm communication facilities, if required; connections; and furnishing and installing all other items necessary to complete this work and do all work incidental thereto, all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.

(L) The contract prices bid for

	REMOVE EXISTING F.D.N.Y. SIDEWALK HANDHOLE
ITEM NO. 6.23 RM	REMOVE EXISTING F.D.N.Y. MANHOLE

shall be a unit price for each manhole or sidewalk handhole and shall cover the cost of all labor, materials, plant, equipment, insurance, samples, and tests required and necessary to remove an existing Fire Department manhole or sidewalk handhole, as specified, at the location shown or as directed. Said work shall also include, but not limited to, the removal or abandonment of an existing F.D.N.Y. manhole or sidewalk handhole; breaking down and filling in of abandoned fire appurtenances; furnishing and installing backfill; compaction; cleaning up; temporary restoration of street surfaces, if required; and furnishing and installing all other items necessary to complete this work and do all work incidental thereto, all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.

(M) The contract prices bid for

ITEM NO. 6.23 RIC	RODDING AND INSTALLING FIRE ALARM CABLE IN EXISTING
TEMINO. 0.23 RIC	TELEPHONE CONDUIT SYSTEM
ITEM NO. 6.23 RICA	ROD AND ROPE CONDUIT AND INSTALL 4 PAIR FIRE ALARM CABLE IN
	EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICB	ROD AND ROPE CONDUIT AND INSTALL 10 PAIR FIRE ALARM CABLE
	IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICC	ROD AND ROPE CONDUIT AND INSTALL 15 PAIR FIRE ALARM CABLE
	IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM ROD AND ROPE CONDUIT AND INSTALL 20 PAIR FIRE ALARM CABLE
ITEM NO. 6.23 RICD	IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICE	ROD AND ROPE CONDUIT AND INSTALL 25 PAIR FIRE ALARM CABLE
TEM NO. 0.23 NICL	IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICF	ROD AND ROPE CONDUIT AND INSTALL 30 PAIR FIRE ALARM CABLE
	IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICG	ROD AND ROPE CONDUIT AND INSTALL 40 PAIR FIRE ALARM CABLE
	IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICH	ROD AND ROPE CONDUIT AND INSTALL 45 PAIR FIRE ALARM CABLE
	IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM ROD AND ROPE CONDUIT AND INSTALL 50 PAIR FIRE ALARM CABLE
ITEM NO. 6.23 RICI	IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
	ROD AND ROPE CONDUIT AND INSTALL 55 PAIR FIRE ALARM CABLE
ITEM NO. 6.23 RICJ	IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RICK	ROD AND ROPE CONDUIT AND INSTALL 60 PAIR FIRE ALARM CABLE
	IN EXISTING VERIZON OR FIRE DEPARTMENT CONDUIT SYSTEM
ITEM NO. 6.23 RR	ROD AND ROPE EXISTING CONDUIT
ITEM NO. 6.23 XY	FURNISH AND INSTALL POLYPROPYLENE DRAG ROPE

shall be a unit price per linear foot for drag rope with or without rodding, or cable with rodding and shall cover the cost of all labor, materials, plant, equipment, insurance, samples, and tests required and necessary to furnish and install drag rope of the type specified at the locations shown or as directed and as shown on the Fire Department Standards, including furnishing and installing all other items necessary to complete this work and do all work incidental thereto, all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.

(N) The contract prices bid for

ITEM NO. 6.23 XAPE	FURNISH AND INSTALL 1/2" GALVANIZED STEEL CONDUIT POLE RISER IN ACCORDANCE WITH FIRE DEPARTMENT STANDARD DRAWING #145AA
ITEM NO. 6.23 XBPE	FURNISH AND INSTALL 2" GALVANIZED STEEL CONDUIT POLE RISER IN ACCORDANCE WITH FIRE DEPARTMENT STANDARD DRAWING #145AA
ITEM NO. 6.23 XCPE	FURNISH AND INSTALL 3" GALVANIZED STEEL CONDUIT POLE RISER IN ACCORDANCE WITH FIRE DEPARTMENT STANDARD DRAWING #145AA OR #146
ITEM NO. 6.23 XDPE	FURNISH AND INSTALL 4" GALVANIZED STEEL CONDUIT POLE RISER IN ACCORDANCE WITH FIRE DEPARTMENT STANDARD DRAWING #145AA OR #146

shall be a unit price for each type of conduit pole riser and shall cover the cost of all labor, materials, plant, equipment, insurance, samples, and tests required and necessary to furnish and install each type of pole riser at the location shown or as directed and as shown on the Fire Department Standards. Said work shall also include, but not be limited to, connections, and furnishing and installing all other items necessary to complete this work and doing all work incidental thereto, all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.

(O) The contract price bid for

ITEM NO. 6.23 MW FURNISH AND INSTALL 5/16 INCH 7 STRAND GALVANIZED STEEL MESSENGER WIRE AND APPURTENANCES

shall be a unit price per linear foot for each size and type of steel messenger wire, and shall cover the cost of all labor, materials, plant, equipment, insurance, samples, and tests required and necessary to furnish and install the fire alarm messager wire of the size and type specified at the locations shown or as directed and as shown on the Fire Department Standards including, but not limited to, furnishing and installing approved galvanized clamps and other appurtenances as may be required, to complete this work and do all work incidental thereto, all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.

- (P) The contract price bid for
- ITEM NO. 6.23 PP PAINT I
  - PAINT EXISTING FIRE ALARM POST AND/OR HOUSING

shall be a unit price for each fire alarm post and/or box and shall cover the cost of all labor, materials, plant, equipment, insurance, samples, and tests required and necessary to paint existing fire alarm posts and/or boxes as directed and doing all work incidental thereto, all in accordance with the Contract Drawings, Specifications and Standards, and as directed by the Engineer.

Payment will be made under:

Item No.	Item	Pay Unit
6.23 AA	FURNISH AND INSTALL FIRE ALARM POST IN ACCORDANCE WITH F.D. STD. DWG. #141	FACU
6.23 AB	REMOVE EXISTING FIRE ALARM POST	EACH
6.23 AC	ADJUST FIRE ALARM POST TO NEW GRADE IN ACCORDANCE	EACH
6.23 AF	WITH F.D. STD. DWG. #167 FURNISH AND INSTALL FIRE DEPARTMENT POLE TERMINAL (CHIPPY)BOX IN ACCORDANCE WITH FIRE DEPARTMENT	EACH
	STANDARD DRAWING #145AA	EACH



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6.23 AFA	FURNISH AND INSTALL FIRE DEPARTMENT POLE TERMINAL	
0.207.17	(CHIPPY) BOX IN ACCORDANCE WITH FIRE DEPARTMENT STANDARD DRAWING #145AA WITHOUT TERMINATING	
	CABLES	EACH
6.23 BA	FURNISH AND INSTALL FIRE ALARM POST AND SUBBASE IN ACCORDANCE WITH F.D. STD. DWG. #141	EACH
6.23 BBS	FURNISH AND INSTALL 3" 90 DEGREE P.V.C. WIDE BEND,	
	SCHEDULE 40, U.L. 651 (WITHOUT PAVEMENT EXCAVATION)	EACH
6.23 BBSE	IN ACCORDANCE WITH F.D. STD. DWG. #141 FURNISH AND INSTALL 3" 90 DEGREE P.V.C. WIDE BEND,	EXCIT
0.23 DD3L	SCHEDULE 40, U.L. 651 (WITH PAVEMENT EXCAVATION)	FAOL
	IN ACCORDANCE WITH F.D. STD. DWG. #141	EACH
6.23 BCS	FURNISH ANC INSTALL 3" P.V.C. CONDUIT, SCHEDULE 40, U.L. 651 (WITHOUT PAVEMENT EXCAVATION)	L.F.
6.23 BCSE	FURNISH AND INSTALL 3" P.V.C. CONDUIT, SCHEDULE 40,	
	U.L. 651 (WITH PAVEMENT EXCAVATION)	L.F. L.F.
6.23 BD 6.23 BE	FURNISH AND INSTALL 4-PAIR FIRE ALARM CABLE FURNISH AND INSTALL FIRE DEPARTMENT MANHOLE TYPE "A"	<b>L</b> ., .
0.23 DE	WITH FRAME AND COVER IN ACCORDANCE WITH F.D. STD.	
	DWG. #140, #144, & #144E	EACH
6.23 BES	FURNISH AND INSTALL FIRE DEPARTMENT SLOTTED MANHOLE TYPE "A" WITH FRAME AND COVER IN ACCORDANCE WITH	
	F.D. STD. DWG, #140, #144S & #144E	EACH
6.23 BF	FURNISH AND INSTALL FIRE DEPARTMENT POLE TERMINAL	
	(HOFFMAN) BOX IN ACCORDANCE WITH FIRE DEPARTMENT STANDARD DRAWING #146	EACH
6.23 BFA	FURNISH AND INSTALL FIRE DEPARTMENT 48 WIRE TERMINAL	
0.20 BI A	BOX AND TERMINATE FIRE ALARM CABLES	EACH
6.23 BFB	FURNISH AND INSTALL FIRE DEPARTMENT 24 WIRE TERMINAL BOX AND TERMINATE FIRE ALARM CABLES	EACH
6.23 BFC	FURNISH AND INSTALL FIRE DEPARTMENT 12 WIRE TERMINAL	
0.23 DI O	BOX AND TERMINATE FIRE ALARM CABLES	EACH
6.23 BFE	FURNISH AND INSTALL FIRE DEPARTMENT POLE TERMINAL	
	(HOFFMAN) BOX IN ACCORDANCE WITH FIRE DEPARTMENT STANDARD DRAWING #146 WITHOUT TERMINATING CABLES	EACH
6.23 BGB	FURNISH AND INSTALL 4" PVC CONDUIT TO 4" GALVANIZED	
	STEEL BUSHING AS SHOWN IN F.D. STD. DWG. #145AA OR 146	EACH
6.23 BGD	FURNISH AND INSTALL 3" PVC CONDUIT TO 3" GALVANIZED STEEL BUSHING AS SHOWN IN F.D. STD. DWG. #145AA OR 146	EACH
6.23 BGR	FURNISH AND INSTALL 4" PVC CONDUIT TO 2" GALVANIZED	
0.20 2 0 0	STEEL REDUCER BUSHING AS SHOWN IN F.D. STD.	EACH
	DWG. #145AA FURNISH AND INSTALL 4" PVC CONDUIT TO 3" GALVANIZED	EACH
6.23 BGRA	STEEL REDUCER BUSHING AS SHOWN IN F.D. STD.	
	DWG #145AA OR 146	EACH
6.23 BGRC	FURNISH AND INSTALL 3" PVC CONDUIT TO 2" GALVANIZED STEEL REDUCER BUSHING AS SHOWN IN F.D. STD.	
	DWG. #145AA OR 146	EACH
6.23 BGRE	FURNISH AND INSTALL 3" PVC CONDUIT TO 4" GALVANIZED	
	STEEL REDUCER BUSHING AS SHOWN IN F.D. STD.	EACH
6.23 BGS	DWG. #145AA OR 146 FURNISH AND INSTALL 4" P.V.C. CONDUIT, SCHEDULE 40,	LAON
0.23 865	UL 651 (WITHOUT PAVEMENT EXCAVATION)	L.F.
6.23 BGSE	FURNISH AND INSTALL 4" P.V.C. CONDUIT, SCHEDULE 40,	L.F.
	U.L. 651 (WITH PAVEMENT EXCAVATION) FURNISH AND INSTALL 2 – 4" P.V.C. CONDUITS, SCHEDULE 40,	L.F.
6.23 BGT	U.L. 651 IN ONE TRENCH (WITHOUT PAVEMENT EXCAVATION,	_
	ONE ON TOP OF THE OTHER)	L.F.
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6.23 BGTE	FURNISH AND INSTALL 2 – 4" P.V.C. CONDUITS, SCHEDULE 40,	
	U.L. 651 IN ONE TRENCH (WITH PAVEMENT EXCAVATION	
	ONE ON TOP OF THE OTHER)	L.F.
6.23 BH	FURNISH AND INSTALL 4" 90 DEGREE P.V.C. WIDE BEND,	
	SCHEDULE 40, U.L. 651 (WITHOUT PAVEMENT EXCAVATION)	
	IN ACCORDANCE WITH F.D. STD. DWG. #141 OR #145AA	EACH
6.23 BHE	FURNISH AND INSTALL 4" 90 DEGREE P.V.C. WIDE BEND,	
	SCHEDULE 40, U.L. 651 (WITH PAVEMENT EXCAVATION)	
	IN ACCORDANCE WITH F.D. STD. DWG. #141 OR #145AA	EACH
6.23 BP	FURNISH AND INSTALL FIRE ALARM PEDESTAL BUMPERS	
	(2 REQUIRED PER SET) IN ACCORDANCE WITH F.D. STD.	
	DWG. #168	SETS
6.23 CB	FURNISH AND INSTALL 2 – 3" 90 DEGREE P.V.C. WIDE BENDS,	
	SCHEDULE 40, U.L. 651 IN ONE TRENCH (WITHOUT PAVEMENT	
	EXCAVATION) IN ACCORDANCE WITH F.D. STD. DWG. #141	EACH
6.23 CBE	FURNISH AND INSTALL 2 – 3" 90 DEGREE P.V.C. WIDE BENDS	
	SCHEDULE 40, U.L. 651 IN ONE TRENCH (WITH PAVEMENT	
	EXCAVATION) IN ACCORDANCE WITH F.D. STD. DWG #141	EACH
6.23 CC	FURNISH AND INSTALL 2 – 3" P.V.C. CONDUITS, SCHEDULE 40	
	U.L. 651 IN ONE TRENCH (WITHOUT PAVEMENT EXCAVATION	
	ONE ON TOP OF THE OTHER)	L.F.
6.23 CCE	FURNISH AND INSTALL 2 – 3" P.V.C. CONDUITS, SCHEDULE 40,	
	U.L. 651 IN ONE TRENCH (WITH PAVEMENT EXCAVATION	
	ONE ON TOP OF THE OTHER)	L.F.
6.23 DC	FURNISH AND INSTALL 10 PAIR FIRE ALARM CABLE	L.F.
6.23 DDA	FURNISH AND INSTALL 15 PAIR FIRE ALARM CABLE	L.F.
6.23 DDB	FURNISH AND INSTALL 20 PAIR FIRE ALARM CABLE	L.F.
6.23 DDC	FURNISH AND INSTALL 25 PAIR FIRE ALARM CABLE	L.F.
6.23 DDD	FURNISH AND INSTALL 30 PAIR FIRE ALARM CABLE	L.F.
6.23 DDE	FURNISH AND INSTALL 40 PAIR FIRE ALARM CABLE	L.F.
6.23 DF	FURNISH AND INSTALL 45 PAIR FIRE ALARM CABLE	L.F.
6.23 DG	FURNISH AND INSTALL 50 PAIR FIRE ALARM CABLE	L.F.
6.23 DH	FURNISH AND INSTALL 55 PAIR FIRE ALARM CABLE	L.F.
6.23 DJ	FURNISH AND INSTALL 60 PAIR FIRE ALARM CABLE	L.F.
6.23 EB	FURNISH AND INSTALL FIRE DEPARTMENT MANHOLE TYPE "B"	•
	WITH FRAME & COVER IN ACCORDANCE WITH	
	F.D. STD. DWG. #140, #144, #144C, #144CC, & #144E	EACH
6.23 FC	REMOVE EXISTING F.D.N.Y. MANHOLE FRAME & COVER AND	
	FURNISH AND INSTALL F.D.N.Y. FRAME & COVER	
6.23 FCA	IN ACCORDANCE WITH F.D. STD. DWG. #140	EACH
0.23 FCA	FURNISH AND INSTALL F.D.N.Y. MANHOLE FRAME & COVER	
6.23 FCB	IN ACCORDANCE WITH F.D. STD. DWG. #140	EACH
0.23 FCB	FURNISH AND INSTALL F.D.N.Y. MANHOLE COVER	
6.23 HC	IN ACCORDANCE WITH F.D. STD. DWG. #140	EACH
0.23 110	FURNISH AND INSTALL F.D.N.Y. SIDEWALK HANDHOLE COVER	
6.23 HFC	IN ACCORDANCE WITH F.D. STD. DWG. #143 AND #144B	EACH
0.23 HFC	REMOVE EXISTING F.D.N.Y. SIDEWALK HANDHOLE FRAME &	
	COVER AND FURNISH AND INSTALL F.D.N.Y. SIDEWALK	
	HANDHOLE FRAME & COVER IN ACCORDANCE WITH F.D. STD. DWG. #143 & #144B	
6.23 HFCA		EACH
0.20 TH OA	FURNISH AND INSTALL F.D.N.Y. SIDEWALK HANDHOLE	
	FRAME AND COVER IN ACCORDANCE WITH F.D. STD. DWG. #143 AND #144B	
6.23 HH		EACH
0.20111	FURNISH AND INSTALL F.D.N.Y. SIDEWALK HANDHOLE WITH	
	FRAME AND COVER IN ACCORDANCE WITH F.D. STD. DWG. #143, #144B, & #144E	
6.23 HHA	FURNISH AND INSTALL F.D.N.Y. SIDEWALK HANDHOLE WITH	EACH
	FRAME AND COVER IN ACCORDANCE WITH F.D. STD.	
	DWG. #143	
-		EACH
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6.23 HHS	FURNISH AND INSTALL F.D.N.Y. SIDEWALK SLOTTED	
	HANDHOLE WITH FRAME AND COVER IN ACCORDANCE	FAOU
	WITH F.D. STD. DWG. #144E & #144BS	EACH
6.23 MW	FURNISH AND INSTALL 5/16 INCH 7 STRAND GALVANIZED STEEL	
	MESSENGER WIRE AND APPURTENANCES	L.F.
6.23 PP	PAINT EXISTING FIRE ALARM POST AND/OR HOUSING	EACH
6.23 RH	REMOVE EXISTING F.D.N.Y. SIDEWALK HANDHOLE	EACH
6.23 RIC	RODDING AND INSTALLING FIRE ALARM CABLE IN EXISTING	. –
	TELEPHONE CONDUIT SYSTEM	L.F.
6.23 RICA	ROD AND ROPE CONDUIT AND INSTALL 4 PAIR FIRE ALARM	
	CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT	
	CONDUIT SYSTEM	L.F.
6.23 RICB	ROD AND ROPE CONDUIT AND INSTALL 10 PAIR FIRE ALARM	
	CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT	
	CONDUIT SYSTEM	L.F.
6.23 RICC	ROD AND ROPE CONDUIT AND INSTALL 15 PAIR FIRE ALARM	
	CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT	
	CONDUIT SYSTEM	L.F.
6.23 RICD	ROD AND ROPE CONDUIT AND INSTALL 20 PAIR FIRE ALARM	
	CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT	
	CONDUIT SYSTEM	L.F.
6.23 RICE	ROD AND ROPE CONDUIT AND INSTALL 25 PAIR FIRE ALARM	
••••••	CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT	•
	CONDUIT SYSTEM	L.F.
6.23 RICF	ROD AND ROPE CONDUIT AND INSTALL 30 PAIR FIRE ALARM	
0.2011.01	CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT	
	CONDUIT SYSTEM	L.F.
6.23 RICG	ROD AND ROPE CONDUIT AND INSTALL 40 PAIR FIRE ALARM	
0.201400	CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT	
	CONDUIT SYSTEM	L.F.
6.23 RICH	ROD AND ROPE CONDUIT AND INSTALL 45 PAIR FIRE ALARM	
0.2010011	CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT	
	CONDUIT SYSTEM	L.F.
6.23 RICI	ROD AND ROPE CONDUIT AND INSTALL 50 PAIR FIRE ALARM	
0.201001	CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT	
	CONDUIT SYSTEM	L.F.
6.23 RICJ	ROD AND ROPE CONDUIT AND INSTALL 55 PAIR FIRE ALARM	
0.201000	CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT	
	CONDUIT SYSTEM	L.F.
6.23 RICK	ROD AND ROPE CONDUIT AND INSTALL 60 PAIR FIRE ALARM	
0.20 1000	CABLE IN EXISTING VERIZON OR FIRE DEPARTMENT	
	CONDUIT SYSTEM	L.F.
6.23 RM	REMOVE EXISTING F.D.N.Y. MANHOLE	EACH
6.23 RR	ROD AND ROPE EXISTING CONDUIT	L.F.
6.23 XAPE	FURNISH AND INSTALL 1/2" GALVANIZED STEEL CONDUIT	
0.23 AAF L	POLE RISER IN ACCORDANCE WITH FIRE DEPARTMENT	
	STANDARD DRAWING #145AA	EACH
6.23 XB	FURNISH AND INSTALL 2" GALVANIZED STEEL CONDUIT	
0.23 AD	(WITHOUT PAVEMENT EXCAVATION)	L.F.
6.23 XBB	FURNISH AND INSTALL 2" GALVANIZED STEEL 90 DEGREE	
0.23 ADD	BEND (WITHOUT PAVEMENT EXCAVATION) IN ACCORDANCE	
	WITH F.D. STD. DWG. #145BB	EACH
6.23 XBBE	FURNISH AND INSTALL 2" GALVANIZED STEEL 90 DEGREE	·
U.ZJ ADDE	BEND (WITH PAVEMENT EXCAVATION) IN ACCORDANCE	
	WITH F.D. STD. DWG. #145BB	EACH
6.23 XBE	FURNISH AND INSTALL 2" GALVANIZED STEEL CONDUIT	
0.23 ADE	(WITH PAVEMENT EXCAVATION)	L.F.

6.23 XBPE	FURNISH AND INSTALL 2" GALVANIZED STEEL CONDUIT POLE RISER IN ACCORDANCE WITH FIRE DEPARTMENT	
6.23 XCPE	STANDARD DRAWING #145AA FURNISH AND INSTALL 3" GALVANIZED STEEL CONDUIT POLE RISER IN ACCORDANCE WITH FIRE DEPARTMENT	EACH
6.23 XDPE	STANDARD DRAWING #145AA OR #146 FURNISH AND INSTALL 4" GALVANIZED STEEL CONDUIT POLE RISER IN ACCORDANCE WITH FIRE DEPARTMENT	EACH
6.23 XCC	STANDARD DRAWING #145AA OR #146 FURNISH AND INSTALL 3" GALVANIZED STEEL 90-DEGREE	EACH
6.23 XCCE	BEND (WITHOUT PAVEMENT EXCAVATION) FURNISH AND INSTALL 3" GALVANIZED STEEL 90-DEGREE	EACH
6.23 XD	BEND (WITH PAVEMENT EXCAVATION) FURNISH AND INSTALL 4" GALVANIZED STEEL CONDUIT	EACH
6.23 XDD	(WITHOUT PAVEMENT EXCAVATION) FURNISH AND INSTALL 4" GALVANIZED STEEL 90-DEGREE	L.F.
6.23 XDDE	BEND (WITHOUT PAVEMENT EXCAVATION) FURNISH AND INSTALL 4" GALVANIZED STEEL 90-DEGREE	EACH
6.23 XDE	BEND (WITH PAVEMENT EXCAVATION) FURNISH AND INSTALL 4" GALVANIZED STEEL CONDUIT	EACH
6.23 XC	(WITH PAVEMENT EXCAVATION) FURNISH AND INSTALL 3" GALVANIZED STEEL CONDUIT	L.F.
6.23 XCE	(WITHOUT PAVEMENT EXCAVATION) FURNISH AND INSTALL 3" GALVANIZED STEEL CONDUIT	L.F.
6.23 XY	(WITH PAVEMENT EXCAVATION) FURNISH AND INSTALL POLYPROPYLENE DRAG ROPE	L.F. L.F.

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### **SECTION 8.00 MT – Microtrenching**

**8.00MT.1. INTENT**. This section describes Microtrenching.

**8.00MT.2. DESCRIPTION.** Microtrenching involves the placement of shallow depth microduct systems in street and sidewalk applications. This process includes the saw cutting of sidewalks and/or streets, installation of microduct systems and fiber optic wiring, restoration of sidewalks and/or streets and disposal of all unused debris. Locations of saw-cut can be from manhole (if necessary) in street to curb entrance; either at curb seam or below curb; and then in sidewalk expansion joint between flag and curb. All microduct systems must be placed at a minimum depth of 4" below sidewalk grade and 6" in depth below street grade locations. If manhole entrance is necessary the excavation to enter manhole system shall be the minimum required to gain entrance to said manhole.

#### 8.00MT.3. GENERAL REQUIRMENTS.

#### (A) DISPOSAL OF MATERIALS

1. All materials that are not reused pursuant to Subsection 6.02.3 of the NYC Department of Transportation, Standard Highway Specifications shall be disposed of in compliance with the applicable requirements of **Sections 1.06.47 and 1.06.48** in General Conditions.

## (B) EXPOSED STRUCTURES TO BE PROTECTED

1. All exposed sewers, manholes, receiving basins, water mains and other hardware and structures shall be carefully protected.

#### 8.00MT.4. MICROTRENCHING CONSTRUCTION METHODS.

All trenching/excavations shall be carried to the required depths in such a manner as to produce a pathway that produces an undisturbed subgrade and allows for standard restoration.

- Cutting expansion joints in Sidewalks: Expansion joints shall be cut using a wet-cut methodology to reduce damage to adjacent sidewalk flags. All cuts shall be approximately 1" wide to approximately 9"-12" in depth to allow for the microduct system to be placed at a minimum of 4" below sidewalk grade. The depth of the cut will depend upon the depth of the existing flags, pathway required and existing sidewalk furniture and fixtures (including but not limited to tree pits, roots, parking meters, mailboxes, bike racks, etc.).
- 2. Sidewalk flags and placement of utility handholes and/or grade-level boxes: All handholes/grade-level boxes shall be centered in a sidewalk flag. This flag will be removed in whole, sub-grade access placed and sidewalk flag restored. At no time will handhole or grade-level box be in the corner of a sidewalk flag to ensure concrete integrity of the flag. In the event of the removal of a sidewalk flag, the flag should be removed in its entirety and replaced in accordance with all applicable sidewalk restoration rules and regulations.
  - a. All handholes and/or grade-level boxes must meet weight bearing requirements for the application and comply with ANSI / SCTE 77 2007:

Application	Loading Requirements			
	Design Load (lbs)	Test Load w/ F.S. 1.5 (lbs)	Design Load (psf)	Test Load w/ F.S. 1.5 (psf)
Tier 5 – Sidewalk applications with a safety factor for occasional non- deliberate vehicular traffic	5,000	7,500	600	900
Tier 8 – Sidewalk applications with a safety factor for non-deliberate vehicular traffic	8,000	12,000	600	900
Tier 15 – Driveway, parking lot, and off roadway applications subject to occasional non-deliberate heavy vehicular traffic	15,000	22,500	800	1,200
Tier 22 – Driveway, parking lot and off roadway applications subject to occasional non-deliberate heavy vehicular traffic	22,500	33,750	800	1,200
AASHTO H-20 - Deliberate vehicular traffic applications	Certified pre-cast concrete, cast iron, or AASHTO recognized materials			

- b. Minimum handhole size is 17"x30"x24"
- c. Grade-level Box minimum size is 3'x5'x3'
- d. All handholes/grade-level boxes must be composite to negate slippage due to environmental factors
- 3. Manhole entrance: Manholes are generally located within the street/vehicular pathway. Access to any manhole shall be kept to a minimum to gain entrance for microduct pathway, unless other obstructions exist which would make it necessary to continue the pathway in the roadway. Entrances to manhole shall be via pit directly adjacent to manhole and in direct line with pathway direction to minimize vehicular and pedestrian impact. All manhole related activities shall be performed in accordance with applicable rules and regulations.
- 4. Duct pathway placement: All microducts shall be placed vertically into the saw-cut trench, and the microduct should always be covered with requisite backfill material

## 8.00MT.5. RESTORATION.

- 1. Sidewalk expansion joint restoration:
  - a. Sidewalk expansion joints are to be restored using only NYC DOT approved materials. All expansion joints shall be recessed 1/2" below finished sidewalk surface and sealed with sealer, on an approved bond breaker, as soon as practical.

- b. All expansion joints shall be sealed with a sealant meeting ASTM C 920, Type M, Grade P, Class 25, Use T<sub>1</sub>, and color to match to that of the adjacent sidewalk. The sealant shall be a rapid-setting, polyurethane-based joint sealant and shall be a self-leveling compound that provides for elongation. The sealant should be applied to avoid spillage onto sidewalk surface area.
  - i. Joints are to be recessed 1/2" with the finished surface.
  - ii. Joints should not be sealed during inclement weather.
  - iii. Application of sealant shall be as per the manufacturer's written instructions.
- 2. Sidewalk flag restoration:
  - a. Any flags that need to be restored must be done according the NYC DOT specifications as outlined in the Standard Highway Specifications Volumes I and II, latest version and as currently amended.
  - b. Any flags that are below the standards set forth in NYC DOT specifications as outlined in the Standard Highway Specifications, Volumes I and II, and are directly adjacent to the original flag being replaced shall be replaced in accordance with Section 2(a) above.
- 3. Street/Asphalt restoration:
  - a. All street/asphalt restoration must be done according to the NYC DOT specifications Section 2.22, Type 1 Hot poured sealer as outlined in the Standard Highway Specifications Volumes I and II, latest version and as currently amended. Joints are to be finished flush with the pavement.
- 4. Street/Concrete restoration:
  - a. All street/concrete pavement restoration shall be done in accordance with the requirements for sidewalk expansion joint restoration, above.

END OF SECTION

#### ATTACH TO CONTRACT DOCUMENTS

#### THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION INFRASTRUCTURE DIVISION BUREAU OF DESIGN

#### PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 5<sup>TH</sup> AVENUE BETWEEN 121<sup>ST</sup> STREET AND COLLEGE PLACE; 6<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 7<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 8<sup>TH</sup> AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9<sup>TH</sup> AVENUE BETWEEN 118<sup>TH</sup> STREET AND 120<sup>TH</sup> STREET; 118<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 12<sup>TH</sup> AVENUE; 119<sup>TH</sup> STREET BETWEEN 12<sup>TH</sup> AVENUE AND POPPENHUSEN AVENUE; 119<sup>TH</sup> STREET BETWEEN 7<sup>TH</sup> AVENUE AND 9<sup>TH</sup> AVENUE; 120<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 9<sup>TH</sup> ROAD; 9<sup>TH</sup> ROAD BETWEEN 120<sup>TH</sup> STREET AND 121<sup>ST</sup> STREET; 121<sup>ST</sup> STREET BETWEEN 9<sup>TH</sup> ROAD AND 12<sup>TH</sup> AVENUE

#### INCLUDING WATER MAIN AND STREET LIGHTING WORK

#### **Together With All Work Incidental Thereto**

#### **BOROUGH OF QUEENS**

#### ADDENDUM NO. 2

#### DATED: June 22, 2015

This Addendum is issued for the purpose of amending the requirements of the contract documents and is hereby made part of said contract documents to the same extent as if it was originally included therein.

The Standard Sewer And Water Main Specifications of the Department of Environmental Protection (dated July 1, 2014), Sewer Design Standards of the Department of Environmental Protection (dated (September 2007) Revised January 2009), Water Main Standard Drawings of the Department of Environmental Protection (latest revisions), Specifications For Trunk Main Work of the Department of Environmental Protection (dated July 2014) and the Standard Highway Specifications (Volumes I and II) of the Department of Transportation (dated November 1, 2010) of The City of New York, shall be included as part of the contract documents. These said specifications and standard drawings are hereby revised under the following section headings:

- A. NOTICE TO BIDDERS
- B. AMENDMENTS TO THE STANDARD HIGHWAY SPECIFICATIONS
- C. AMENDMENTS TO THE STANDARD SEWER AND WATER MAIN SPECIFICATIONS
- D. AMENDMENTS TO THE SPECIFICATIONS FOR TRUNK MAIN WORK

#### A. NOTICE TO BIDDERS

(1) (A) The Contractor is advised that copies of the Standard Sewer And Water Main Specifications (dated July 1, 2014), Sewer Design Standards (dated (September 2007) Revised January 2009), Specifications For Trunk Main Work (dated July 2014) and Water Main Standard Drawings (latest revisions) are available to all prospective bidders at no cost upon presentation of receipt of purchase of Bid Package at the following location:

> Department of Design and Construction Division of Infrastructure Design Services, Specifications, 3<sup>rd</sup> Floor 30-30 Thomson Avenue Long Island City, NY 11101

(B) The Contractor is advised that copies of the Standard Highway Specifications (Volume I and II) (dated November 1, 2010), Standard Highway Details of Construction (latest revisions), Division of Street Lighting Specifications (latest revisions), Division of Street Lighting Standard Drawings (latest revisions), Standard Specifications for Traffic Signals (latest revisions), and Standard Drawings for Traffic Signals (latest revisions) are available to all prospective bidders for a fee at the following location:

Department of Transportation 55 Water Street, Ground Floor New York City, NY 10041

- (2) The Contractor is notified that a Notice To Proceed (NTP) date will be issued for work to commence within twenty-one (21) to thirty (30) days of Contract Registration.
- (3) The Contractor shall furnish, install, maintain and subsequently remove temporary Protective Tree Barriers. Protective Tree Barriers shall be Type B, unless otherwise directed by the Engineer, and shall be constructed and installed as shown on the Protective Tree Barrier sketch in Department Of Transportation, Standard Highway Details Of Construction, Drawing No. H-1046A, as directed by the Engineer, and in accordance with Department of Parks and Recreation requirements.
- (4) All utility locations and invert elevations are not guaranteed, nor is there any guarantee that all existing utilities, whether functional or abandoned within the project area are shown.
- (5) All existing house connections shall be maintained and supported during construction. The Contractor shall replace any existing house connection damaged as a result of the Contractor's construction operations as ordered by the Engineer at no cost to the City.
- (6) The Contractor is advised that any City owned light poles, traffic signals, street name signs, traffic signs and encumbrances including, but not limited to, underground conduit displaced as the result of the installation of the new sewers, water mains, catch basins, catch basin connections and appurtenances shall be replaced in kind and as directed by the Engineer. The cost of such work shall be deemed included in the prices bid for all items of work under this contract.
- (7) The Contractor is notified that Victaulic Style 77 Coupling is no longer acceptable for use in any steel water main work. All reference to Victaulic Style 77 Coupling within the Standard Sewer And Water Main Specifications of the Department of Environmental Protection (dated July 1, 2014), the Water Main Standard Drawings of the Department of Environmental Protection (latest revisions), the Specifications For Trunk Main Work (dated July 2014), and the contract drawings, shall be replaced with Bolted Split-Sleeve Restrained Coupling.
- (8) The Contractor is notified that wherever the Item No. "6.52" and words "flagger", "flagperson" and "flagman" are used in the contract documents and drawings it shall mean the Item No. "6.52 CG" and the words "Crossing Guard", respectively. The Contractor is advised that until the Comptroller of the



City of New York sets a prevailing wage rate for crossing guards, there are no prevailing wage rates for crossing guards.

- (9) The Contractor is notified that the fuel cost per gallon used in the formula under Sub-Article 26.2.8 of the Standard Construction Contract for Extra Work will be derived from the fuel price index for the United States East Coast published weekly by the United States Energy Information Administration ("USEIA"), and available on its website at <a href="http://www.eia.gov/petroleum/gasdiesel/">http://www.eia.gov/petroleum/gasdiesel/</a>. The USEIA published cost per gallon for the applicable fuel on the East Coast for the week in which the first day of each calendar quarter during the contract term occurs (i.e., January 1<sup>st</sup>, April 1<sup>st</sup>, July 1<sup>st</sup> and September 1<sup>st</sup>) will be used in the reimbursement formula for all Extra Work invoiced that was performed during that calendar quarter. Should the USEIA stop publishing this fuel price index, the fuel cost per gallon will be determined by reference to a substitute index to be agreed upon by the Contractor and the City.
- (10)The Contractor is responsible for any damage to the existing street and traffic signal equipment, including underground conduits and the safety of both pedestrian and vehicular traffic for the duration of the contract.

Should any conduits, cables or foundations need repair due to the Contractor's negligent operations during construction, all work shall be performed according to NYCDOT Bureau of Traffic's Standard Drawings and Specifications at the sole expense of the Contractor.

It is the Contractor's responsibility to secure an approved electrical contractor to perform all traffic signal work (if any). For list of approved electrical contractors, contact Mr. Michael R. LeFosse of New York City Department of Transportation at (718) 786-2236.

- (11)The Contractor is advised that where the existing roadway pavement is designated to be replaced from curb to curb, then no full depth saw cutting of pavement for sewer and water main trenches will be required, except at the limits of full width pavement restoration. No separate or additional payment will be made for any saw cutting.
- (12)The Contractor is advised that the Department of Design and Construction has contacted The United States Army Corps of Engineers pertaining to required permits needed to perform the proposed modification work on the specified outfalls. It shall be the Contractor's responsibility to pursue and obtain such permits and no work shall commence until such permits have been obtained for this project. No additional or separate payment shall be made for this work in order to comply with the requirements, for the required updating of permits and obtaining the permits. The cost of such work shall be deemed included in the prices bid for all contract items of work.
- (13)The Contractor is advised that the Department of Design and Construction is in the process of filing permit application with the New York State Department of Environmental Conservation (NYSDEC), under the Environmental Conservation Law, Article 25 Tidal Wetlands, implemented by 6NYCRR Part 661; and, Title 5 of Article 15, implemented by 6NYCRR Part 401 Water Quality Certification. No work shall commence until the above-mentioned permit has been obtained for this project by the City. It is the Contractor's responsibility to comply with the permit requirements and to update the said permit. No separate or additional payment shall be made to the Contractor for updating of said permits. The cost of such work shall be deemed included in the prices bid for all contract items of work.
- (14)The Contractor is advised that the Department of Design and Construction is in the process of filing permit application with the New York State Department of State (NYSDOS); Costal Management Program Consistency Determination. No work shall commence until such permit has been obtained for this project by the Contractor. No additional or separate payment shall be made for the work in order to comply with the requirements, for the required updating of permits and obtaining the permits. The cost of such work shall be deemed included in the prices bid for all contract items of work.



# B. AMENDMENTS TO THE STANDARD HIGHWAY SPECIFICATIONS

(NO TEXT)



## C. AMENDMENTS TO THE STANDARD SEWER AND WATER MAIN SPECIFICATIONS

- (1) <u>Refer</u> to Subsection 10.15 Notice To Utility Companies, Etc., To Remove Structures Occupying Place Of Sewers, Water Mains Or Appurtenances, Page I-11: <u>Add</u> the following to Subsection 10.15:
  - (1) CONSOLIDATED EDISON COMPANY OF NEW YORK (CON EDISON)

There are CON EDISON facilities in the area of construction. The Contractor shall notify CON EDISON at least seventy-two (72) hours prior to the start of construction by contacting Mr. Alan Owen at (718) 802-4085.

#### (2) VERIZON

There are VERIZON facilities in the area of construction. The Contractor shall notify VERIZON at least seventy-two (72) hours prior to the start of construction by contacting Mr. Rohan Eccles P.E at (718) 977-8142.

## (3) TIME WARNER CABLE OF NEW YORK CITY

There are TIME WARNER CABLE facilities in the area of construction. The Contractor shall notify TIME WARNER CABLE at least seventy-two (72) hours prior to the start of construction by contacting Mr. Mark Larm at (917) 335-9181.

#### (2) <u>Refer</u> to Subsection 10.21 - Contractor To Notify City Departments, Page I-13: <u>Add</u> the following to Subsection 10.21:

(1) N.Y.C. D.E.P., BUREAU OF WATER AND SEWERS OPERATIONS

The Contractor shall notify Mr. Edward Coleman, P.E., Assistant Commissioner, Engineering and Planning at the Department of Environmental Protection, 59-17 Junction Blvd., 3rd floor low rise, Corona N.Y. 11368, at least thirty (30) days prior to the start of construction.

#### (2) NEW YORK CITY FIRE DEPARTMENT

The Contractor shall notify the Bureau of Fire Communications at least thirty (30) days prior to the start of construction by contacting Mr. Ed Durkin at (718) 624-4194 or (718) 624-3752.

#### (3) N.Y.C. DEPARTMENT OF TRANSPORTATION

The Contractor shall notify Mr. Steve Galgano, P.E. Chief of Signal/Street Lighting Operations, 34-02 Queens Blvd., Long Island City, N.Y. 11101 at (718) 786-3550, at least seventy-two (72) hours prior to the start of construction.

### (4) N.Y.C. DEPARTMENT OF PARKS AND RECREATION

The Contractor shall notify the Parks Department at least seventy-two (72) hours prior to the start of construction by contacting Mr. Ricardo Hinkle/Jimmy Mui at (718) 965-7739/(718) 393-7240.

#### (5) N.Y.C. TRANSIT AUTHORITY

The Contractor is advised that bus routes as well as bus stops, within the scope of this project may be affected during construction operations. The Contractor shall notify the Transit Authority at least two (2) weeks prior to the start of construction, in order to make the necessary arrangements.



PROJECT ID.: SEQ200463

Arrangements shall be made through:

Ms. Sarah Wyss Director Of Short Range, Bus Service Planning (SRB) New York City Transit 2 Broadway, 17<sup>th</sup> Floor New York, N.Y. 10004 Telephone No. (646) 252-5517 sarah.wyss@nyct.com

(3) <u>Refer</u> to Subsection 10.30 - Contractor To Provide For Traffic, Page I-15: Add the following to Subsection 10.30:

(1) Traffic Stipulations:

The Contractor shall refer to the Traffic Stipulations (four (4) pages) that are attached to the end of this addendum, and as directed by the Engineer.

#### (4) <u>Refer</u> to Subsection 40.02.15 - Disposal Of Water From Trenches, Page IV-9: Add the following to Subsection 40.02.15:

(A) The Department of Design and Construction has <u>not</u> filed application for Dewatering Permit with the New York State Department of Conservation (NYSDEC), under the Environmental Conservation Law (ECL), Title 15 of Article 15, for a Temporary Well Point System Permit. However, it is anticipated that the criteria for rate of pumping specified herebefore in this section will be exceeded in areas of construction; the Contractor shall be responsible for applying and obtaining the necessary dewatering permit prior to the dewatering of trenches within the scope of this project.

As part of the permit application the Contractor will be required to comply with all the requirements of **Section 40.14** of this addendum.

Copies of all materials submitted to NYSDEC shall be sent to the New York City Department of Design and Construction (NYCDDC), Infrastructure/Design.

The following minimum requirements set forth by the New York Department of Environmental Conservation shall be complied with prior to the start of work in areas of construction requiring dewatering permit:

- (1) An analysis must be made of water samples taken. The results are to be submitted to the Regional Permit Administrator. An analysis shall be made for BOD, salinity, oil, and grease. The samples shall be analyzed by a laboratory certified by the New York State Health Department and the results are to be submitted directed to the New York State Department of Environmental Conservation by the laboratory.
- (2) Prior to setting any wells, wellpoints or header pipes, the Contractor shall submit to the NYSDEC a layout of the complete dewatering system including the location of the discharge point. When permitted by the NYSDEC, discharge of groundwater on the beach areas shall be done in such a manner as to eliminate any erosion or siltation and will require the installation of splash blocks and/or settling basins.

The Contractor is advised that all work required in obtaining a permit, must be submitted to, and approved by the NYSDEC prior to the commencement of any work in areas of construction requiring dewatering permit. No payment for any item of work will be made, and no shop drawing shall be approved for the areas of construction until such time that a written approval is obtained from the NYSDEC.

#### **ADDENDUM NO. 2**

(B) The Contractor is advised that all work shall be governed by the provisions and requirements of the obtained permit, and their said provisions and requirements shall be made a part of the contract and the Contractor shall be responsible for strict adherence thereto.

The cost of all work required for applying, complying and obtaining required dewatering permits including the cost for any required updating of permits shall be deemed included in the prices bid for all item of this contract. No additional or separate payment will be made for any work required in order to comply with these requirements.

(5) <u>Refer</u> to Page IV-34:
 <u>Add</u> the following new Section 40.14:

#### SECTION 40.14 DEWATERING PERMITS

#### 40.14.1 DESCRIPTION

Under this contract, and at locations where groundwater will be present in the trenches and excavations, the Contractor is required to install, maintain and operate a temporary dewatering system of sufficient size and capacity to control ground and surface water flow into the excavation and to allow all work to be accomplished in the "dry condition".

The Contractor shall be required to obtain the following permits in order to operate a temporary dewatering system.

- (A) A Dewatering/Discharge Permit from the New York City Department of Environmental Protection (NYCDEP);
- (B) A Long Island Well Permit from the New York State Department of Environmental Conservation (NYSDEC), under the Environmental Conservation Law (ECL), Title 15 of Article 15, implemented by 6NYCRR Part 601 - Water Supply and Part 602 - Long Island Well. <u>This permit is required only in the Boroughs of Brooklyn and Queens to withdraw</u> water using a well point or deep well system where the total capacity of such well or wells is in excess of 45-gallons per minute (or 64,800-gallons per day); and,
- (C) <u>An Industrial State Pollutant Discharge Elimination System (SPDES) or a Non-Jurisdictional Determination Letter in compliance with Title 8 and 7 of Article 17 of the Environmental Conservation Law of New York State, respectively.</u>

The Contractor is advised that the provisions and requirements of the aforementioned permits shall govern all work, and the said provisions and requirements are hereby made a part of the sewer contract and the Contractor shall be responsible for strict adherence thereto.

No dewatering work shall commence until the above-mentioned Permits have been obtained for this project.

The Contractor is advised that in order to comply with all the permits requirements, the Contractor will be required to submit maps, test data, etc. prior to the start of work. In order to expedite the processing of the permit and its requirements, the Contractor shall be required to obtain the services of an independent Environmental Scientist as herein described below in **Subsection 40.14.2** to perform this work and act as liaison with NYSDEC and NYCDEP.

#### 40.14.2 QUALIFICATIONS

The Environmental Scientist utilized to perform the work required under this section must have adequate experience in work of this nature (obtaining Long Island Well Permit/Dewatering Permit) and must have previous experience in working with the NYSDEC and the NYCDEP, designing equivalent dewatering

#### ADDENDUM NO. 2

systems, and have successfully obtained the type of permits required under this contract. Prior to the start of work, the Contractor will be required to submit the name and resume of the Environmental Scientist for approval.

#### 40.14.3 NYSDEC DEWATERING PERMITS

The dewatering system shall be designed by the Environmental Scientist using accepted and professional methods of design and engineering consistent with the best modern practices.

The material to be submitted shall include, but not be limited to the following:

(1) Site Plan - Scaled, showing construction activity (e.g. excavation, pathway of the pipe, new outfalls, etc.) locations of well points, header pipes and pumps, and all staging and storage areas.

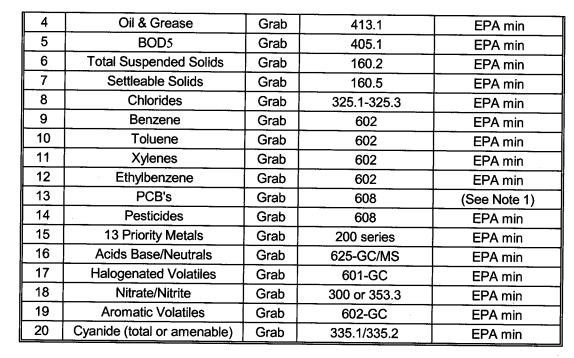
Also included herein shall be a layout of the complete dewatering system including the location of the discharge point. When permitted by the NYSDEC, discharge of groundwater on beach areas shall be done in such a manner as to prevent any erosion or siltation and will require the design and installation of splash blocks and/or settling basins.

- (2) Dewatering System Specifications:
  - (a) Number of Well Points
  - (b) Diameter of Well Points
  - (c) Spacing of Well Points
  - (d) Length to Screen
  - (e) Depth to Bottom of Screen
  - (f) Static Water Level
  - (g) Drawdown Required

- (h) Total Volume Pumped
- (i) Number of Pumps
- (j) Capacity of Pumps
- (k) Duration of Pumping
- (I) Initial and Average GPM
- (m) Estimated Daily Pumpage
- (n) Flow Meter
- (3) Cross Section Scaled, showing well points, riser, header, annular material (if used) and other equipment associated with each point. A typical construction style drawing may be utilized. Should the Contractor be permitted to use a deep well system, all information regarding it must be submitted.
- (4) Drawdown Contour Map Based upon a review of the surrounding area affected by the dewatering and upon boring within the project area and characteristics of the soils, the depth and pumping rate of dewatering system and the duration of the pumping, the Environmental Scientist shall submit both a narrative and diagram showing the anticipated maximum cone of depression which shall be shown from both above and in cross section on scaled diagrams. Contour lines on diagrams shall be labeled to show depth from land surface.
- (5) Description of Site and Adjacent Areas A short narrative shall be prepared describing the land use in the area paying attention to any potential sources of groundwater contamination that may migrate into the well's cone of depression, such as gas stations, chemical plants, wrecking yards, sanitary landfills, etc. Latest map of the area shall be included in the narrative.
- (6) Groundwater Analysis The Environmental Scientist shall develop and submit a sampling and analysis program subject to NYSDEC Approval (a minimum of one groundwater sample from a site well shall be collected and analyzed). A laboratory certified by the New York State Health Department shall analyze the samples. The sampling and analysis program must include but is not limited to the following:

NO.	PARAMETERS	TYPE	EPA METHOD	DETECTION
1	pH	Grab	150.1	EPA min
2	Temperature	۴F	After Pumping	EPA min
3	Fecal Coliform	Grab	5-Tubes/3-Dilutions	2-MPN/100-ml

#### **NYSDEC REGION 2 - DEWATERING PROJECTS SAMPLING INFORMATION**



NOTE:

(1) List each individual aroclor found and report the concentration of each aroclor tested. Use the N.Y.S. detection limit, which is 0.065-µg/l.

Small dewatering projects with a total estimated pumped volume up to 15-Million Gallons (MG) require sampling analysis for parameters No.'s 1 through 12.

Medium dewatering projects with a total estimated pumped volume between 15-MG and 60-MG require sampling analysis for parameters No.'s 1 through 14.

Large dewatering projects with a total estimated pumped volume greater than 60-MG require sampling analysis for parameters No.'s 1 through 20.

Samples are to be collected after development of the well by a licensed well driller.

A laboratory certified by the NYS Department of Health must conduct all testing.

Irrespective of the aforementioned sampling requirements based on total estimated pumped volumes, the Department may require sampling of additional parameters if the proposed dewatering site is suspected of being contaminated.

#### 40.14.4 SUBMISSION OF DEWATERING PLAN

The Environmental Scientist will be required to submit two (2) copies of the Dewatering Plan (together with all reports, materials, designs, drawings, maps and plans) to the Infrastructure Engineering Support Unit for review and approval. Once approved the Environmental Scientist shall submit in triplicate the Final Dewatering Plan to both the NYSDEC and the NYCDEP. The Dewatering Plan should be bound and bear the name of the Contractor, NYSDEC Application Number and the Signature of the preparer. All drawings and maps shall be on sheets 27-inches by 40-inches and to scale not less than 1"=30'.

#### 40.14.5 DAMAGES

The Contractor shall be responsible for and shall repair at no cost to the City any damage caused by inadequate or improper design and operation of the dewatering system, and any mechanical or electrical failure of the dewatering system.



#### 40.14.6 SYSTEM REMOVAL

The Contractor shall remove all dewatering equipment and temporary electrical service from the site. All wells shall be removed or cut off a minimum of three (3) feet below the final ground surface and capped. Holes left from pulling wells or wells that are capped shall be grouted in a manner approved by the Engineer.

#### 40.14.7 PAYMENTS

No additional or separate payment will be made for any work described herein. The costs for all labor, materials, equipment, permit fees, samples, tests, reports, services and insurance required or necessary to perform all the work described herein shall be deemed included in the price bid for all items of work.

#### (6) <u>Refer</u> to Page IV-34:

Add the following new Section 40.15:

#### SECTION 40.15 OUTFALL AND TIDAL WETLANDS PERMITS

#### 40.15.1 DESCRIPTION

Under this contract, at location(s) where outfall(s) are being constructed, and where work is being performed within area(s) of tidal wetlands, the Contractor shall be required to comply with the following permits while performing outfall work within navigable waters and/or work within tidal wetland areas.

- (A) A Protection Of Waters Permit from the New York State Department of Environmental Conservation (NYSDEC), under the Environmental Conservation Law (ECL), Title 5 of Article 15, implemented by 6NYCRR Part 608 - Protection Of Waters: (i) For The Excavation And Fill In Navigable Waters; and, (ii) Part 401 - Water Quality Certification; and,
- (B) A Tidal Wetlands Permit from the New York State Department of Environmental Conservation (NYSDEC), under the Environmental Conservation Law (ECL), Article 25, implemented by 6NYCRR Part 661 Tidal Wetlands; and,
- (C) A Department Of The Army Permit from the U.S. Army Corps of Engineers, under Code of Federal Regulations, Title 7 Outfall Structures And Associated Intake Structures and,
- (D) A Coastal Consistency Concurrence Certification from the New York State Department of State (NYSDOS).

To expedite the Permit process, the Department of Design and Construction has filed a joint application for (A), (B), (C), and (D) above. As the application is being processed it shall be the Contractor's responsibility to comply with the requirements of the said permits. The Application ID number will be provided to the Contractor at the Preconstruction meeting.

The Contractor is advised that the provisions and requirements of the aforementioned permits shall govern all work, and the said provisions and requirements are hereby made a part of the sewer contract and the Contractor shall be responsible for strict adherence thereto.

No work shall commence until the above-mentioned Permits have been obtained for this project, and a copy of each permit transmitted to the Engineer.

The Contractor is advised that in order to comply with all the permits requirements, the Contractor will be required to submit maps, test data, etc. prior to the start of work. In order to expedite the processing of the permit and its requirements, the Contractor shall be required to obtain the services of an independent



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Wetland Specialist and, if required, an Environmental Scientist as herein described below in **Subsection 40.15.2** to perform this work and act as liaison with NYSDEC and NYCDEP.

#### 40.15.2 QUALIFICATIONS

The Wetland Specialist and/or Environmental Scientist utilized to perform the work required under this section must have adequate experience in work of this nature (obtaining Protection Of Waters, Tidal Wetlands and Department Of The Army Permits) and must have previous experience in working with the NYSDEC and the NYCDEP and have successfully obtained the type of permits required under this contract. Prior to the start of work, the Contractor will be required to submit the name and resume of the Wetland Specialist and/or Environmental Scientist for approval.

#### 40.15.3 NYSDEC PERMITS

The Wetland Specialist and/or Environmental Scientist shall prepare and submit all appropriate data and perform tasks as specified and required by the NYSDEC and/or Army Corps of Engineers.

The data to be prepared and submitted and the tasks to be performed shall include, but not be limited to the following:

- (1) The Wetland Specialist shall flag/stake the limits of the wetlands. These boundary locations are to be surveyed and plotted on a site plan whereon the adjacent areas shall then be drawn using the regulated wetland limit as a baseline. This site plan shall depict all existing conditions including descriptions of all vegetation.
- (2) An overlay of the site plan described above depicting the Contractor's proposed construction operations, including areas of equipment and material storage, and access roads.
- (3) Development of a sedimentation and erosion control plan including the location and specification for installing hay bales and siltation fencing between the construction right-of-way, staging areas, stockpiled materials and wetland adjacent areas.
- (4) Plans and specifications for the restoration/revegetation of all disturbed wetlands and regulated adjacent areas impacted by the Contractor's operations.
- (5) Upon completion of sewer, outfall and other related work on this project, the Contractor shall commence with the restoration/revegetation plan. All planting and revegetation shall be done under the supervision of the Wetland Specialist.
- (6) Should dewatering be required within the project area information regarding all dewatering activities must be submitted to and approved by NYSDEC in accordance with **Subsection 40.02.15**.
- (7) Wherever dewatering is extensive or of long duration and the cone of depression will extend to wetland areas the Wetlands Specialist shall develop and implement a program to monitor groundwater levels in the wetlands (e.g. install hand driven well points) and surface water levels in water bodies. Prior to construction, the Wetlands Specialist shall gather information on any rare, endangered or threatened species and base-line data on existing vegetation and wildlife. Monitoring of these parameters shall continue throughout the construction to assure no adverse impacts to habitat within wetlands and water bodies from dewatering.
- (8) The Wetland Specialist shall also submit a plan for mitigation should the monitoring of wetlands reveal any adverse effects to vegetation, etc.

#### 40.15.4 SUBMISSION OF REPORT

The Wetland Specialist and/or Environmental Scientist will be required to submit two (2) copies of a Report (together with all appropriate data, maps, site plans and surveys, mitigation plan, reports, materials, designs and drawings) to the Infrastructure Engineering Support Unit for review and approval.

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Once approved the Wetland Specialist and/or Environmental Scientist shall submit in triplicate this report to both the NYSDEC and the NYCDEP. The Report should be bound and bear the name of the Contractor, NYSDEC Application Number and the Signature of the preparer. All drawings and maps shall be on sheets 27-inches by 40-inches and to scale not less than 1"=30'.

#### 40.15.5 DAMAGES

The Contractor shall be responsible for and shall repair at no cost to the City any damage caused to the outfall location(s) and tidal wetland areas by inadequate or improper designs and construction operations by the Contractor.

#### 40.15.6 AREAS TO BE LEFT CLEAN

The Contractor shall remove all material and equipment from the outfall location(s) and area(s) of tidal wetlands after completion of work at the site(s). The locations and areas shall be left in a clean and neat condition in accordance with the requirements and directions of the Engineer, the NYSDEC and the Army Corps of Engineers.

#### 40.15.7 PAYMENTS

No additional or separate payment will be made for any work described herein. The costs for all labor, materials, equipment, permit fees, samples, tests, reports, services and insurance required or necessary to perform all the work described herein shall be deemed included in the price bid for all items of work.

# (7) <u>Refer</u> to Subsection 71.41.4 - Specific Pavement Restoration Provisions, Page VII-67: <u>Add</u> the following to Subsection 71.41.4:

- (E) Specific Pavement Restoration Provisions:
  - (1) In 9<sup>TH</sup> Road between 121<sup>ST</sup> Street to College Place, 121<sup>ST</sup> Street between 9<sup>TH</sup> Road and 12<sup>TH</sup> Avenue and 8<sup>TH</sup> Avenue between 119<sup>TH</sup> Street and approximately 320 feet east of 119<sup>TH</sup> Street the restoration shall be as follows:
    - (a) The permanent restoration over the trench width and cutbacks only shall consist of a top course of one and one-half (1-1/2) inches of asphaltic concrete wearing course on a base course of a minimum of four and one-half (4-1/2) inches of binder mixture, or a top course of one and one-half (1-1/2) inches of asphaltic concrete wearing course on a minimum of one and one-half (1-1/2) inches of binder mixture on a base course of a minimum of one and one-half (1-1/2) inches of binder mixture on a base course of a minimum of six (6) inches of high-early strength concrete, to match the existing pavement as directed by the Engineer.
  - (2) In all other street areas, the restoration shall be as follows:
    - (a) The permanent restoration over the trench width and cutbacks only shall consist of a top course of one and one-half (1-1/2) inches of binder mixture on a base course of a minimum of four and one-half (4-1/2) inches of binder mixture, or a top course of a minimum of three (3) inches of binder mixture on a base course of a minimum of six (6) inches of high-early strength concrete, to match the existing pavement as directed by the Engineer.
    - (b) Finally an overlay of two (2) inches of asphaltic concrete wearing course shall be installed over the entire width of the roadway from **curb to curb** or **edge to edge** of existing roadway.
  - (3) The following requirements apply:

- (a) Before the top course is installed, an additional width of asphalt beyond the edge of new base course shall be saw-cut and removed from all edges of trenches to a depth to accommodate the specified top course and the entire area restored. This additional removal shall be in accordance with paragraph (b) below.
- (b) Pavement excavation along with saw cutting of pavements for sewer and water main trenches shall be in accordance with Section 71.21 - Pavement Excavation of the Standard Sewer And Water Main Specifications.
- (c) At locations requiring the installation of a concrete base course, a reflective cracking membrane shall be installed over joints prior to restoration, the cost of which shall be deemed included in the prices bid for all pavement restoration items. Additionally, appropriate pavement keys as described below shall be used.
- (d) Pavement keys Type B-1 shall be used to insure a desired four (4) inch curb reveal (two and one-half (2-1/2) inch absolute minimum). Pavement key Type A shall be used in all intersections. Both keys are to be per Bureau of Highways Operations Specifications and Standard Details of Construction.
- (e) Unless otherwise specified, the cost for Proctor analyses, in-place soil density tests, tack coating, eradication of temporary roadway markings, stripping or milling of pavement keys and adjustment of city-owned castings for all roadway work shall be deemed included in the prices bid for all pavement restoration items.
- (f) Payment for placement of temporary pavement marking shall be made under Item No. 6.49 TEMPORARY PAVEMENT MARKINGS (4" WIDE).
- (g) Payment for removal of existing pavement markings shall be made under Item No. 6.53 REMOVE EXISTING LANE MARKINGS (4"WIDE).
- (h) Payment for placement of permanent pavement marking with thermoplastic reflectorized pavement markings (crosswalk and lane dividers) shall be made under Item No. 6.44 - THERMOPLASTIC REFLECTORIZED PAVEMENT MARKINGS (4" WIDE).
- (i) Payment for pavement restoration shall be made under the following items:

Item No. Item Payment Description 4.02 AB-R Asphaltic Concrete Wearing (For asphaltic concrete wearing course Course, 1-1/2" Thick top course when no overlay is required.) 4.02 AF-R Asphaltic Concrete Wearing (For 2" asphaltic concrete wearing Course, 2" Thick course overlay from curb to curb or edge to edge.) 4.02 CA **Binder Mixture** (For binder mixture base course over trenches and cutbacks; binder mixture top filler course under asphaltic concrete wearing course when no overlay is required; binder mixture top course when overlay is required; binder mixture in Type A and B Keys; and binder mixture to fill in roadway depressions and to provide a leveling course prior to overlay where ordered.) 4.04 H Concrete Base For Pavement. (For concrete base course over trenches Variable Thickness For Trench and cutbacks.) Restoration, (High-Early

A2-13

Strength)

# D. AMENDMENTS TO THE SPECIFICATIONS FOR TRUNK MAIN WORK

 <u>Refer</u> to Part 1 – Furnishing And Delivering Steel Pipes And Appurtenances 30 Inches In Diameter And Larger, Paragraph 13. Special Fittings:, Page 5; <u>Add</u> the following to Paragraph 13:

The steel reducer shall have a length of seven (7) feet for every twelve (12) inches reduction in diameter.

#### END OF ADDENDUM NO. 2

This Addendum consists of fourteen (14) pages plus four (4) pages of attachments.

	partment of Transportation	POLLY TROTTENBERG, Commissioner
OCMC TRAFF	IC STIPULATIONS	FEBRUARY 13, 2015
OCMC FILE NO	QEC-14-466	
CONTRACT NO	NYCDDC PROJECT SEQ200463	
PROJECT: REP WA	LACEMENT AND EXTENSION OF STORM AND SA TERMAINS AND APPURTENANCES IN COLLEGE	ANITARY SEWERS AND APPURTENANCES AND PLACE AND OTHER LOCATIONS
LOCATION(S):	COLLEGE PLACE FROM POPPENHUSEN AVE POPPENHUSEN AVENUE FROM 119TH STREET 6TH AVENUE FROM 119TH STREET TO COLLEGE 7TH AVENUE FROM 119TH STREET TO COLLEGE 8TH AVENUE FROM 118TH STREET TO 120TH STR 12TH AVENUE FROM 118TH STREET TO 120TH STR 12TH AVENUE FROM 118TH STREET TO 119TH ST 118TH STREET FROM 9TH AVENUE TO 12TH AVEN 119TH STREET FROM 9TH AVENUE TO 9TH ROAD	TO 121ST STREET E PLACE PLACE PLACE PLACE REET REET NUE TO 12TH AVENUE

PERMISSION IS HEREBY GRANTED TO THE NYCODC AND ITS DULY AUTHORIZED AGENT, TO ENTER UPON AND RESTRICT THE FLOW OF TRAFFIC AT THE ABOVE LOCATION(S) FOR THE PURPOSE OF CARRYING OUT THE ABOVE NOTED PROJECT, SUBJECT TO THE STIPULATIONS, AS NOTED BELOW:

#### A. SPECIAL STIPULATIONS

- 1. <u>EMBARGOES</u> A CONSTRUCTION EMBARGO WILL APPLY TO THOSE LOCATIONS BELOW WHICH FALL WITHIN THE <u>HOLIDAY</u> <u>EMBARGO</u> OR ANY OTHER SPECIAL EVENT EMBARGOES SUCH AS THE **{OTHER EMBARGOES IF APPLICABLE}** AS PUBLISHED BY THE BUREAU OF PERMIT MANAGEMENT AND CONSTRUCTION CONTROL.
- 2. <u>BIKE LANES</u> IF WORK IS IN OR AFFECTING A BIKE LANE, THE PERMITTEE MUST POST ADVANCE WARNING SIGNS 350 FEET AND 200 FEET PRIOR TO THE WORK ZONE STATING "CONSTRUCTION IN BIKE LANE AHEAD PROCEED WITH CAUTION", AND ALSO POST A SIGN AT THE WORK ZONE STATING "CONSTRUCTION IN BIKE LANE PROCEED WITH CAUTION". SUCH SIGNS SHALL BE ORANGE, 3' X 3', DIAMOND-SHAPED WITH 4" BLACK LETTERING. SIGNS SHALL BE POSTED IN ACCORDANCE WITH THE FEDERAL MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES (MUTCD).
- 3. <u>BIKE SHARE STATIONS</u>: THE PERMITTEE SHALL NOT REMOVE, RELOCATE, DAMAGE OR DISRUPT THE OPERATION OF EXISTING BIKE SHARE STATIONS WITHOUT FIRST CONTACTING NYC BIKE SHARE AT 855-245-3311 FOR THEIR REQUIREMENTS PRIOR TO COMMENCING WORK.
- 4. <u>BUS STOPS</u> -- THE PERMITTEE SHALL PROVIDE WRITTEN NOTICE TO NYC DOT OCMC AND NEW YORK CITY TRANSIT (NYCT) A MINIMUM OF FIVE (5) WEEKS IN ADVANCE FOR LANE/STREET CLOSURES THAT AFFECT BUS ROUTES/BUS STOPS.
- 5. <u>STREET LIGHTS / TRAFFIC SIGNALS</u>: THE PERMITTEE SHALL NOT REMOVE OR RELOCATE EXISTING STREET LIGHTS OR TRAFFIC SIGNALS WITHOUT FIRST OBTAINING APPROVAL FROM NYCDOT STREET LIGHTING / TRAFFIC SIGNALS UNIT.
- 6. **TRAFFIC CAMERAS, DETECTION/COMMUNICATION EQUIPMENT:** IF AT ANY TIME DURING THE APPROVED WORK, THE PERMITTEE ENCOUNTERS TRAFFIC SURVEILLANCE CAMERAS, DETECTION EQUIPMENT OR ANY TYPE OF COMMUNICATION EQUIPMENT (WIRELESS OR HARD-WIRED) ON ANY NYC DOT FACILITY, THAT IS NOT INCLUDED ON THE DESIGN/BUILD DRAWINGS, THE PERMITTEE SHALL IMMEDIATELY NOTIFY NYC DOT TRAFFIC MANAGEMENT BY PHONE AT 718-433-3390 OR 718-433-3340 AND VIA EMAIL AT <u>IMC@DOT.NYC.GOV</u> AND AWAIT DIRECTION PRIOR TO CONTINUING WORK.
- 7. <u>METERS</u> THE PERMITTEE SHALL NOT REMOVE OR RELOCATE PARKING METERS WITHOUT FIRST OBTAINING APPROVAL FROM NYCDOT PARKING METER DIVISION AT 718 894 8651.
- 8. <u>**TEST PITS</u> THE BELOW TRAFFIC STIPULATIONS DO NOT APPLY TO TEST PIT WORK RELATED TO THIS CONTRACT. WORK HOURS AND OTHER REQUIREMENTS FOR TEST PIT OPERATIONS MAY DIFFER FROM THE STIPULATIONS IDENTIFIED BELOW. THE PERMITTEE SHALL BE REQUIRED TO OBTAIN SEPARATE PERMITS RELATED TO TEST PITS.</u>**

NYC Department of Transportation ureau of Permit Management and Construction Control Water Street – 7<sup>th</sup> Floor, New York, NY 10041 T: 212.839.9637 F: 212-839-8970 www.nyc.gov/dot

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# OCMC FILE NO: QWC-14-466 CONTRACT NO: NYCDDC PROJECT SEQ 200463 PROJECT: REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES AND WATERMAINS AND APPURTENANCES IN COLLEGE PLACE AND OTHER LOCATIONS

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- 9. TEMPORARY PARKING REGULATIONS/PAVEMENT MARKINGS THE PERMITTEE IS REQUIRED TO INSTALL, MAINTAIN AND REMOVE ALL NECESSARY TEMPORARY PARKING AND REGULATORY SIGNS AND PAVEMENT MARKINGS, AND RESTORE THEIR ORIGINAL CONDITION PER NYC DOT STANDARDS, PRIOR TO EXPIRATION OF THEIR PERMITS. THE PERMITTEE OR AGENCY PERFORMING PUBLIC OUTREACH SHALL POST AND MAINTAIN ADVISORY SIGNS A MINIMUM OF 48 HOURS PRIOR TO CHANGING EXISTING PARKING REGULATION SIGNS TO APPROVED TEMPORARY CONSTRUCTION PARKING REGULATION SIGNS. THE ADVISORY SIGNS SHOULD BE POSTED ON ALL POLES AND DRIVE RAILS ON THE SEGMENT AFFECTED, INDICATING THE DATE OF THE CHANGE, THE NEW REGULATIONS AND A TELEPHONE NUMBER TO OBTAIN MORE INFORMATION.
- 10. <u>ACCESS TO ABUTTING PROPERTIES</u> THE PERMITTEE SHALL COORDINATE ALL ACTIVITIES WITH ABUTTING PROPERTY OWNERS TO ENSURE ACCESS IS PROVIDED TO/FROM ENTRANCES/DRIVEWAYS AT ALL TIMES.
- 11. <u>AUTHORIZED PARKING</u> PRIOR TO PERFORMING WORK WHICH IMPACTS AUTHORIZED PARKING, THE PERMITTEE SHALL SUBMIT IN WRITING, AND COPY OCMC-STREETS, A REQUEST TO OCCUPY SPACE CURRENTLY USED BY AUTHORIZED VEHICLES. APPROVAL MUST BE RECEIVED FROM AUTHORIZED PARKING PRIOR TO OCCUPYING THESE AREAS.
- 12. NOTIFICATION THE PERMITTEE MUST AT LEAST TWO (2) WORKING DAYS BEFORE THE START OF CONSTRUCTION NOTIFY THE NYC FIRE DEPARTMENT, NYC POLICE DEPARTMENT, NYCEMS, LOCAL COMMUNITY BOARD, BOROUGH PRESIDENT'S OFFICE-CHIEF ENGINEER, NYCDOT OCMC OFFICE, AND ALL ABUTTING PROPERTY OWNERS.
- 13. <u>CONSTRUCTION INFORMATIONAL SIGNS</u> THIS PROJECT REQUIRES A CONSTRUCTION PROJECT INFORMATIONAL SIGN (CPIS) IN ACCORDANCE WITH NYCDOT HIGHWAY RULE SECTION 2-02 (4) AND (5). CRITERIA AND A PROTOTYPE FOR THIS SIGN MAY BE FOUND ON THE NYCDOT WEBSITE AT:

#### HTTP://WWW.NYC.GOV/HTML/DOT/DOWNLOADS/PDF/DOT\_CPIS\_DIRECTIONS.PDF

#### 14. ENHANCED MITIGATIONS

- ENHANCED MITIGATIONS FOR PEDESTRIAN FLOW, INCLUDING METAL FENCING, SHALL BE PROVIDED TO ENSURE PEDESTRIANS STAY WITHIN THEIR DESIGNATED PATH/ROUTE. FLAGGERS SHALL BE PROVIDED TO ASSIST WITH PEDESTRIANS AT THE DESIGNATED CROSSWALK AREAS. THESE FLAGGERS SHALL BE ASSIGNED TO THIS FUNCTION ONLY.
- <u>VARIABLE MESSAGE SIGNS (VMS)</u> SHALL BE PROVIDED FOR THIS PROJECT. THE LOCATIONS AND MESSAGES SHALL BE RECOMMENDED BY NYCDDC AND THEIR CONTRACTOR A MINIMUM OF TWO (2) WEEKS PRIOR TO WORK COMMENCING, FOR OCMC REVIEW AND APPROVAL.
- <u>"NO STANDING ANYTIME-TEMPORARY CONSTRUCTION" SIGNS AND TEMPORARY PAVEMENT MARKINGS SHALL BE</u> INSTALLED AND MAINTAINED AS WARRANTED BY THE MAINTENANCE AND PROTECTION OF TRAFFIC (MPT) REQUIRED TO FACILITATE TRAFFIC MOVEMENTS THROUGH THE WORK ZONE. ALL TEMPORARY SIGNS AND PAVEMENT MARKINGS SHALL BE REMOVED UPON COMPLETION OF THE PROJECT.
- O COMMUNITY OUTREACH SHALL BE PROVIDED FOR THE DURATION OF THE PROJECT.

#### B. MAINTENANCE AND PROTECTION OF TRAFFIC(continued)

#### POPPENHUSEN AVENUE FROM 119TH STREET TO 121ST STREET 119TH STREET FROM POPPENHUSEN AVENUE TO 12TH AVENUE 120TH STREET FROM 9TH AVENUE TO 9TH ROAD

Work hours shall be as follows:

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- 8AM to 6PM, Monday thru Friday
  - From 9AM to 6PM Saturdays and Sundays
- The contractors shall maintain 1-12 foot lane for local and emergency traffic during working hours.
- The contractors shall occupy maximum half the width of any intersection during working hours and maintain 2-way traffic in the remaining half width of the intersection.
- Full sidewalk closure allowed for sidewalks less than 15 Ft wide. Post Signs meeting NYCDOT Specs at
  work zone and at both intersections directing Pedestrians to opposite sidewalk. Maintain 5-Foot
  clear for pedestrians on sidewalks 15-Foot or more in width. After working hours minimum of 5-foot of
  sidewalk must be maintained for pedestrians in both cases
- The contractor shall not work in more than two consecutive street blocks at a time.
- The contractor shall restore the full width of the street at the end of the working hours either by backfilling the excavation or covering it with steel plates

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The contractor shall restore the full width of the sidewalks after working hours.

OCMC FILE NO: CONTRACT NO: PROJECT:

#### QWC-14-466 NYCDDC PROJECT SEQ 200463 REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS ANDAPPURTENANCES AND **FEBRUARY13, 2015** WATERMAINS AND APPURTENANCES IN COLLEGE PLACE AND OTHER LOCATIONS Page 3 of 4

# MAINTENANCE AND PROTECTION OF TRAFFIC(continued)

COLLEGE PLACE FROM POPPENHUSEN AVENUE TO 8TH AVENUE 6TH AVENUE FROM 119TH STREET TO COLLEGE PLACE 7th AVENUE FROM 119th STREET TO COLLEGE PLACE 8th AVENUE FROM 119th STREET TO COLLEGE PLACE 9TH AVENUE FROM 118TH STREET TO 120TH STREET 12TH AVENUE FROM 118TH STREET TO 119TH STREET 118TH STREET FROM 9TH AVENUE TO 12TH AVENUE

Work hours shall be as follows: .

8AM to 6PM, Monday thru Friday

- From 9AM to 6PM Saturdays and Sundays
- The contractor shall maintain 2-11 foot lanes, 1-11 foot lane in each direction for two-way traffic The contractors shall occupy maximum half the width of any intersection during working hours and . maintain 2-way traffic in the remaining half width of the intersection.
- .
- The contractor shall not work in more than two consecutive street blocks at a time.
- The contractor shall maintain minimum 5-foot clear sidewalk on both sides during working hours. The contractor shall restore the full width of the street at the end of the working hours either by . backfilling the excavation or covering it with steel plates
- The contractor shall restore the full width of the sidewalks after working hours.

#### GENERAL NOTES

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- THIS IS NOT A PERMIT. THIS STIPULATION SHEET MUST BE SUBMITTED WITH ALL REQUESTS FOR PERMITS PERTAINING TO THE ABOVE 1. CONTRACT AND PRESENT AT THE WORK SITE ALONG WITH ALL ACTIVE CONSTRUCTION PERMITS WHEN THE APPROVED WORK IS BEING
- THE CONTRACTOR MUST COMPLY WITH ALL CONSTRUCTION EMBARGOS ISSUED BY THE NYCDOT INCLUDING THE HOLIDAY EMBARGO. 2. 3.
  - THE CONTRACTOR SHALL COMPLY WITH ALL REQUIREMENTS OF THE NYCDOT SPECIAL EVENTS UNIT AS IDENTIFIED BELOW:

#### A. STREET FAIRS / FESTIVALS

- ALL EXCAVATIONS MUST BE PLATED WITH SKID RESISTANT PLATES. .
- PLATES MUST BE RECESSED AND FLUSH WITH PAVEMENT.
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
- ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.

#### RUNNING / WALKING / BIKING EVENTS ٠

- ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT.
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
- ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.

#### PARADES C.

- ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT.
- FORMATION AND DISPERSAL AREA PLATES MUST BE RECESSED AND FLUSH WITH PAVEMENT (PLATES MUST BE SKID RESISTANT).
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT). •
- ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.

#### MAYORAL EVENTS <u>p</u>.

- ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT.
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT). ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.

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OCMC FILE NO:	QWC-14-466	
CONTRACT NO: PROJECT:	NYCDDC PROJECT SEQ 200463 REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS ANDAPPURTENANCES AND MATERIAL INF. AND EXTENSION OF STORM AND SANITARY SEWERS ANDAPPURTENANCES AND	
	WATERMAINS AND APPURTENANCES IN COLLEGE PLACE AND OTHER LOCATIONS	

- 4. ALL RELOCATION WORK BY THE UTILITIES SUCH AS; CON EDISON, TELEPHONE, GAS AND CABLE COMPANIES SHALL PRECEDE THE CONTRACTORS' START OF WORK ON ALL AFFECTED ROADWAYS IN THE IMPACTED CONTRACT AREA.
- 5. THE CONTRACTOR IS ADVISED THAT OTHER CONTRACTORS MAY BE WORKING IN THE GENERAL AREA DURING THE TERM OF THIS STIPULATION. IN WHICH EVENT, THE CONTRACTOR MAY REQUIRE MODIFICATIONS BY THE OCMC-STREETS.
- 6. THE PERMITTEE IS NOT AUTHORIZED TO ENTER, OCCUPY OR USE ANY PUBLICLY-OWNED OR PRIVATELY OWNED, NON-PAVED, LANDSCAPE OR NON-LANDSCAPED LOCATION WITHOUT SPECIFIC WRITTEN PERMISSION. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF A LIMITED-ACCESS ARTERIAL HIGHWAY, WRITTEN APPROVAL FROM THE NYCDOT OCMC-HIGHWAYS IS REQUIRED. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF A PUBLIC STREET OR PUBLIC PARK, WRITTEN APPROVAL FROM THE NEW YORK CITY DEPARTMENT OF TRANSPORTATION OR NEW YORK CITY DEPARTMENT OF PARKS AND RECREATION IS REQUIRED. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF ANY OTHER JURISDICTION SUCH AS PRIVATE PROPERTY, STATE, FEDERAL ETC., IT IS THE PERMITTEE'S RESPONSIBILITY TO DETERMINE THE PROPERTY OWNER AND OBTAIN THE WRITTEN APPROVAL.
- 7. THE PERMITTEE SHALL ADHERE TO THE NYCDOT BUREAU OF BRIDGES' SPECIAL PROVISIONS FOR LANDSCAPE PROTECTION, MAINTENANCE AND RESTORATION, ITEMS 1.18.15 THROUGH 1.18.19, WHENEVER AND WHEREVER ANY OF THE PERMITTEE'S ACTIVITIES OCCUR WITHIN A LIMITED ACCESS ARTERIAL HIGHWAY RIGHT - OF - WAY.
- 8. NO DEVIATION OR DEPARTURE FROM THESE STIPULATIONS WILL BE PERMITTED WITHOUT THE PRIOR WRITTEN APPROVAL FROM THE OCMC-STREETS. REQUEST FOR SUCH MODIFICATIONS SHALL BE SUBMITTED TO THE OFFICE OF THE OCMC-STREETS, NEW YORK CITY DEPARTMENT OF TRANSPORTATION, A MINIMUM OF TWENTY (20) DAYS IN ADVANCE FOR CONSIDERATION.
- 9. FOR ANY CONSTRUCTION ACTIVITY RESULTING IN THE FULL CLOSURE OF A ROADWAY FOR MORE THAN 180 CONSECUTIVE CALENDAR DAYS, THE CONTRACTOR MUST PRODUCE AND SUBMIT A COMMUNITY REASSESSMENT, IMPACT AND AMELIORATION (CRIA) STATEMENT TO NYCDOT PLANNING AND OBTAIN THEIR APPROVAL BEFORE APPLYING FOR PERMITS, IN COMPLIANCE WITH THE PROVISIONS OF LOCAL LAW 24 STREET CLOSURE LAW.
- 10. FOR THIS PROJECT THE CONTRACTOR SHALL FURNISH, INSTALL AND MAINTAIN ALL NECESSARY ADVANCE WARNING AND DETOUR SIGNS, TEMPORARY CONTROL DEVICES, BARRICADES, LIGHTS AND FLASHING ARROW BOARDS IN ACCORDANCE WITH THE "MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES," THE TYPICAL SCHEMES INCLUDED IN THIS SPECIFICATION; AND AS ORDERED BY THE ENGINEER-IN-CHARGE AND THE OCMC-STREETS.
- 11. THE CONTRACTOR SHALL BE RESPONSIBLE FOR IDENTIFYING HIS CONSTRUCTION SIGNAGE. THE IDENTIFICATION SHALL INCLUDE THE CONTRACTOR'S NAME, SPONSORING AGENCY NAME AND THE CONTRACT NUMBER. THE IDENTIFICATION SHALL BE PLACED ON THE BACK OF THE SIGN. THE LETTERING SHALL BE THREE (3) INCHES HIGH.
- 12. THE OCMC-STREETS RESERVES THE RIGHT TO VOID OR MODIFY THESE STIPULATIONS SHOULD CONSTRUCTION FAIL TO COMMENCE WITHIN TWO (2) YEARS OF THE SIGNED DATE OF THESE STIPULATIONS.

NICOLAS DAGHER, P.E. EXECUTIVE DIRECTOR OCMC-STREETS

MAZHAR JAMIL PROJECT MANAGER OCMC-STREETS

#### ATTACH TO CONTRACT DOCUMENTS

#### THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION INFRASTRUCTURE DIVISION BUREAU OF DESIGN

#### PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 5<sup>TH</sup> AVENUE BETWEEN 121<sup>ST</sup> STREET AND COLLEGE PLACE; 6<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 7<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 8<sup>TH</sup> AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9<sup>TH</sup> AVENUE BETWEEN 118<sup>TH</sup> STREET AND 120<sup>TH</sup> STREET; 118<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 12<sup>TH</sup> AVENUE; 119<sup>TH</sup> STREET BETWEEN 12<sup>TH</sup> AVENUE AND POPPENHUSEN AVENUE; 119<sup>TH</sup> STREET BETWEEN 7<sup>TH</sup> AVENUE AND 9<sup>TH</sup> AVENUE; 120<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 9<sup>TH</sup> ROAD; 9<sup>TH</sup> ROAD BETWEEN 120<sup>TH</sup> STREET AND 121<sup>ST</sup> STREET; 121<sup>ST</sup> STREET BETWEEN 9<sup>TH</sup> ROAD AND 12<sup>TH</sup> AVENUE

#### INCLUDING WATER MAIN AND STREET LIGHTING WORK

Together With All Work Incidental Thereto BOROUGH OF QUEENS

ADDENDUM NO. 3

DATED: June 22, 2015

This Addendum is issued for the purpose of amending the requirements of the Contract Documents and is hereby made part of said Contract Documents to the same extent as if it was originally included therein.

GAS COST SHARING (EP-7) STANDARD SPECIFICATIONS

#### EP-7 GAS COST SHARING STANDARD SPECIFICATIONS

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## I - NOTICE TO ALL BIDDERS; GAS COST SHARING WORK

All prospective bidders are hereby advised that, pursuant to the "Gas Facility Cost Allocation Act", ("the Act"), the City of New York has entered into an agreement ("the Agreement") with the gas companies (Con Edison or National Grid (formerly KeySpan Energy Delivery)) operating in their respective areas of the City to "share" the cost of facility relocation and/or support and protection of facilities disturbed by proposed water and/or sewer and related City work specified in this contract. Therefore, bid items, specifications and estimated quantities for the incremental costs of support and protection of certain gas facilities have been included in this contract. The low bid for this contract shall be determined by examining each bid for all work to be performed under this contract including any work of support and protection of gas facilities to be performed. The Contractor shall not seek additional compensation from gas companies except as specifically set forth in its contract.

### **II - GENERAL PROVISIONS; GAS COST SHARING WORK**

#### 1. General:

The Contractor shall perform City work with interferences from existing live and abandoned gas facilities. This shall be defined as utility work. Therefore, this contract includes bid items, specifications and estimated quantities designed to fully compensate him/her for the incremental costs of supporting, protecting, providing accommodations and, avoiding disturbing gas facilities located in the streets shown on the contract drawings. In the event that any other provisions of this contract related to gas facilities (or private utilities) conflict with these provisions, these provisions shall supersede and govern all work related to gas facilities owned by the companies operating in the project area. All utility work, as defined in these specifications, including changes and additions thereto shall be paid solely by the City except when specified otherwise in this contract. Contractor hereby agrees that the facility operator shall not be liable to pay him/her for any work performed including extra utility work. Contractor agrees that its bid prices include all compensation for loss of productivity and efficiency, idle time, delays (including any delays occasioned by negotiation of a contract change), change in operations, mobilization, demobilization, remobilization, added cost or expense, lost of profit, other damages or impact costs that may be suffered by or because of utility work, or the presence of gas facilities in the proximity of City work and that it will not seek additional compensation for these items. All disputes shall be resolved as specified in the contract.

Pursuant to the Act, Agreement, and the New York City Administrative Code, the gas company(ies) has been directed by the Commissioner and is required to perform all maintenance, repairs, replacement, shifting, alteration, relocation, and/or removal work that are not part of this contract. By having bid on this contract, the Contractor understands and agrees that the Commissioner has preasserted any right the City has to require, including the issuance of any directives or so called "order outs" under the New York City Administrative Code, any or all gas companies to maintain, repair, replace, protect, support, shift, alter, relocate, and/or remove all gas facilities that are about to be disturbed by the City contract work. The issuance of additional such directives during the performance of the contract work, where necessary in the sole judgment of the Commissioner, shall be initiated by such Commissioner as set forth in the relevant sections of the Act and Agreement. Contractor further agrees to insert such requirements as set forth herein above into any contracts with its approved subcontractors so that its subcontractors also understand and agree to such contract requirements.

#### 2. Gas Interferences And Accommodations:

During the performance of sewer and water main work funded by the New York City Department of Environmental Protection (NYCDEP), as instructed by the Engineer, the use of any applicable contract bid item is allowed in order to resolve and accommodate all gas facilities interferences with such City work, including the removal of contaminated soil in associated trench excavation. This is in addition to the specified EP-7 bid items in the contract. Payment for such accommodation shall be funded by EP-7 bid item <u>"UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS" (F.S. Fixed Sum)</u>. The value of such accommodation shall be computed by multiplying the appropriate unit prices bid to the quantity of work performed, as determined by the Engineer, and applying the total amount thus to be paid

to EP-7 bid item "<u>UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS</u>". When EP-7 bid item "<u>UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS</u>" does not exist, such additional accommodation work shall be at no cost to the City but shall be a matter of adjustment between gas facility operator and Contractor. Private facilities, other than gas, that become in interference due to gas interferences accommodations shall also be accommodated, if so directed by the Resident Engineer, at no additional cost to the City and, provided that its owner agrees to be responsible for all additional costs to Contractor, otherwise, such facility shall be ordered by the City to be maintained, shifted, relocated or replaced by its owner at his/her expenses.

#### 2a. Water Main Accommodations:

When water main construction is to be performed in this contract, Contractor shall be required, if warranted by field conditions, and at locations designated by the Resident or Borough Engineer, to change the vertical or horizontal alignment of water mains including but not limited to all additional labor, material, work method accommodations, furnishing, delivering and laying offset fittings and pipes, etc., necessary in order to complete water main installation and, avoid gas interferences in the project area, including street intersections. Typical work method accommodations shall include, but not be limited to, pier and plate, installation of filter fabric and select fill, etc. Such work shall be performed as directed by the Engineer and in accordance with contract specifications and latest edition of water mains standards and specifications.

#### 2b.Sewer Accommodations:

When sewer construction is to be performed in this contract, Contractor shall be required, if warranted by field conditions, and at locations designated by the Resident or Borough Engineer, to change the horizontal alignment of sewer facilities (if possible) including but not limited to all additional labor, material, work method accommodations, furnishing, delivering and construction of additional manholes or modification of manholes/catch basins, extending chute connections, house connections, using alternate materials and methods, poured-in-place structures, etc., necessary in order to complete sewer installation and, avoid gas interferences in the project area, including street intersections. The term sewer facility shall include, but not be limited to, all sewer pipe and appurtenances, manholes, catch basins, catch basin chutes, etc. Such work shall be performed as directed by the Engineer and in accordance with contract specifications and latest edition of sewer standards and specifications.

#### 3. Quantity Overruns, EP-7 Funded Bid items:

No quantity overrun, in excess of one hundred twenty five (125) percent, shall be permitted for EP-7 funded bid items (gas) included in this contract, <u>except</u> when Resident Engineer determines that such overruns are caused by field modifications to planned City work, or approved construction methods, or contract scope changes. Overruns not paid by City shall be negotiated and paid to Contractor by gas facility operator who then shall be entitled to reimbursement by NYCDEP under established cost sharing procedures.

#### 4. Changes And Extra Work:

This section is not applicable to work defined under "Emergency Reconstruction Contracts" or so-called "Where and When Contracts" since these projects, by definition, inherently encounter unanticipated gas facilities and cannot be pre-engineered. In all other cases, any contract changes proposed for City work shall also cover and include all associated changes to support and protection of gas facilities affected by such changes to City work. In all other cases where the Contractor finds that City work cannot be performed as planned and specified and/or, as approved because of a need to support, protect and/or alleviate interferences from gas facilities that were not listed and/or shown, or incorrectly shown in contract plans and specifications, he shall immediately notify the Resident Engineer and the facility operators' representative of his findings. Resident Engineer shall promptly examine such claims and determine whether or not such work is covered by contract bid items and /or specifications (contract bid items and specifications shall include city contract items as well as EP-7 items). The Resident Engineer shall also examine the claim to determine if the application of EP-7 bid item "UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS" is appropriate to resolve the claim. If upon examination, the Engineer determines that such field conditions were unanticipated (not shown and/or listed, or incorrectly shown in contract documents) and are not covered by bid items and contract specifications, he shall then direct the Contractor and the affected facility operator to negotiate the cost of supporting and

protecting, and/or alleviating the impact on City work caused by such unanticipated gas facilities with each other with the understanding that the performance of City work shall continue during negotiations. If a cost agreement is reached, the Contractor and facility operator shall adjust such costs between themselves at no additional costs to the City contract. If the Contractor and affected facility operator do not reach an agreement concerning the price to be paid for the extra work within five (5) business days of the Engineer's directive to engage into such negotiations and, after considering: public safety and inconvenience, requirements of laws and regulations applicable to private utilities, integrity of all utility systems, including but not limited to sewer and water, gas, electric, telephone and, cable TV facilities, sound engineering practices, cost (long and short term) to all affected parties, and potential City work delays, then the Resident Engineer, depending on nature and severity of interferences with City work, shall either, direct the facility operator to relocate or replace its facilities at its own discretion and cost, reimbursable by NYCDEP under established gas cost sharing procedures or, direct the Contractor to perform the utility work on actual time, material and equipment costs basis pursuant to relevant contract requirements and amendments. Contract bid prices for any applicable items of work involved shall be applied, or converted to an allowance for time and material charges. Changes shall be for affected portions of utility work and, shall be processed with EP-7 funds.

#### 5. Excavation:

All excavators shall notify the NYC/LI One Call Center at 1-800-272-4480 at least two (2) working days, not including the day of the call, but not more than ten (10) working days in advance of the start of any excavation work. The gas company(ies) will mark out its facilities within the project limits and provide Construction Inspector(s) during all excavation work in close proximity (within twelve (12) inches) to gas facilities. The Contractor shall exercise extreme caution when excavating in the vicinity of any gas facilities. Hand excavation shall be performed within twelve (12) inches of gas facilities. The Contractor prior to excavating underneath these facilities shall adequately support all gas facilities. Standard support details for gas facilities have been included in the specifications. Any damage to gas facilities shall be reported immediately to the gas company(ies). The Contractor shall be responsible for all cost associated with repairs made necessary by damages caused by his operations.

#### 6. Backfilling And Street Restoration:

Backfilling operations and street restorations shall be in accordance with contract requirements.

#### 7. Non-Responsive Bids:

Every gas (EP-7) bid item has a suggested "Not less than" value per unit indicated on contract bid sheet. Bids resulting in cost of less than suggested for EP-7 items are hereby prohibited and if submitted shall be considered NON-RESPONSIVE.

#### 8. Minimum Clearances:

Clearance requirements for City work shall govern and supersede any clearance requirement of gas facility operator. Therefore, a minimum of twelve (12) inches clearance between private utilities and City water mains, sewers or related structures to be installed in this contract shall be maintained. When this clearance is not attainable, the Resident Engineer may allow a minimum of four (4) inches clearance. With less than twelve (12) inches clearance a neoprene/polyethylene shield (to be provided by facility operator) shall be installed as part of all work item specifications. However, if Resident Engineer determines that City work cannot be performed within allowable clearance and no reasonable City accommodation (no-cost change to City work) is possible, the City shall direct the facility operator to remove, relocate, shift, or alter their facility(ies) pursuant to the New York City Administrative Code.

#### 9. Work By Facility Operator:

The facility operator may find it necessary to perform the following types of work during performance of City work: accommodating a contractor's request for gas facilities modifications (in order to facilitate City contractor's proposed construction method) or, remedial and emergency work on gas facilities proper with their own resources and materials if an approved method of construction for City work causes unanticipated disturbances to gas facilities or, replacing defective gas facilities when they are exposed by the Contractor and their actual conditions are observable by the facility operator. Also included in the above category of defective gas facilities are: the presence of environmental contaminants attributable to

the gas facility in or around gas facilities. If such work is deemed required by the facility operator or if facility operator is directed by the City to address such deficiencies at any time during the course of construction, the Contractor shall modify the construction schedule at no cost to the City and allow the facility operator five (5) business days to perform such work without interferences. Additional costs to the facility operator (in cases of accommodations) or, Contractor (in cases of defective gas facilities) due to such gas work, if any, shall be the responsibility of the parties involved and not of the City. Such costs shall be a matter of adjustment between the Contractor and the facility operator.

#### 10. Materials Furnished By Facility Operator:

It shall be the Contractor's responsibility to inspect material to be installed by him immediately upon delivery and advise the facility operator through its authorized representative, of all damaged materials. The Contractor at no additional costs to the City or the facility operator shall replace any material that is damaged or lost after the Contractor's inspection.

#### 11. Liability And Insurance:

Notwithstanding the provisions of this contract, the existing division of liabilities to third parties shall remain the same as between the City and the company. Therefore, it is specifically agreed by the City, company and Contractor (by bidding on this contract) that for the purpose of any liabilities to third parties, that the City contractor performing work directly and physically relating to gas company facilities in this project, shall be deemed an agent of the company and not an agent of the City, the New York City Municipal Water Finance Authority, or the New York City Water Board. Contractor shall include the company as an additional insured on all insurance policies maintained to comply with the City's insurance requirements.

#### 12. Width And Depth Of Excavation:

Contractor shall not be authorized to deliberately change trench or excavation widths and/or depth specified without Engineer's approval. Enlargement of any side of excavation up to eighteen (18) inches beyond pay limits (or inside face of sheeting) requested by the Contractor for the installation of certain types of sheeting may be granted. However, such enlargements or those greater than allowable shall not be approved when, in the sole judgment of the City, field conditions allow the water mains and sewer work to be performed within the limits specified and, the sole purpose of such enlargement request is to impact adjacent utilities (public or private) whose support and protection are part of this contract. Any approval shall be given at no additional cost to the City contract, including EP-7 funding, and all costs associated with unauthorized enlargements shall be the sole responsibility of the Contractor.

#### 13. Depth And Crossing Angles Of Gas Facilities:

Where gas facilities are shown (or specified as) crossing proposed alignment of sewers, water mains, catch basins and chute connections or any other proposed excavations at specific angles (as measured off plans or sketches or specified in contract), it shall be understood that actual field measurements may deviate (plus or minus) forty-five (45) degrees from those shown or specified. The cover, or depth from street surface to top of facilities, shall be as shown or specified in contract documents, no deviation is to be assumed. Where gas facilities are not shown on contract documents, but their support and protection are otherwise included in this contract then, all references to facilities crossing at "various angles and depth" in the gas sections shall mean that such facilities are crossing sewer, water, catch basin and, catch basin chute, and other excavations at a ninety (90) degree angle to the proposed sheeting line or side of excavation (for unsheeted trenches) with an allowable deviation of forty-five (45) degrees in any direction, except for catch basin chute excavation where the allowable deviation shall be sixty (60) degrees. Where the cover is not noted or specified, the bottom face of such facilities shall be assumed to be crossing catch basin chutes at a depth of three (3) foot eight (8) inches or less from the street surface. Paragraph No. 2 above shall apply in cases of distribution water main construction. Appropriate bid items and specifications are provided for cases where angle and depth are greater than stated above. This section also applies to work defined in "Emergency Reconstruction Contracts" or so-called "Where and When Contracts". These contracts are not pre-engineered and consequently have no drawings, sketches or determined locations and so, gas facilities encountered will be crossing existing and proposed sewer, water, catch basin/catch basin chutes and all appurtenances at various angles and depths.

#### 14. Maintenance Of Traffic For Gas Work:

All work pertaining to gas bid items and specifications shall be performed within the contract maintenance of traffic plan as specified in the contract document. The bid price for the Maintenance and Protection of Traffic shall cover all work pertaining to gas items. The City shall make compensation for additional maintenance and protection of traffic items in connection with gas item of work only when such additional work is deemed reasonable and necessary by the Resident Engineer and is approved by him prior to its performance.

#### 15. Relocated Gas And Temporary Systems Installation:

In cases where the Contractor is allowed to select the location for temporary construction such as, installation of dewatering headers, wells, well points, etc., he shall not disturb any gas facilities shown on sketches provided in this section. The only exception shall be, if the affected gas company agrees to such relocation and provided that the cost of such relocation is a matter of adjustment between the company and Contractor, and at no cost to the City.

### 16. Role Of Company Inspector:

In any case in which the City elects to perform some or all support and protection work with its own employees, personnel or contractors, the facility operator shall provide onsite inspectors to approve and certify such support and protection work (exclusive of City accommodations) performed by the City's own employees, personnel, and contractors. Facility operator's inspectors are not authorized to direct City contractor during the performance of contract work. They shall act through the City Resident Engineer and provide him/her required approvals and certifications, prior to preparing partial payments of EP-7 items, in a format and frequency to be prescribed by the appropriate City Head of Construction.

#### 17. Coordination With Gas Company:

The Contractor shall be required to notify the gas company(ies), in writing, at least two (2) weeks prior to the start of final paving in order to allow companies to complete any unfinished gas work located within the area to be paved. Every effort shall be made to maintain gas service with minimum inconvenience to the public.

### **III - TECHNICAL SECTION**

# SECTION 6.01 - Trench Crossings; Support And Protection Of Gas Facilities And Services.

#### 1. Description:

Under this section, the Contractor shall provide all labor, materials, equipment, and incidentals required to support and/or protect the integrity of gas mains, services and appurtenances of any sizes, configurations, and operating pressures crossing trench excavations above subgrade for planned construction of sewers and water mains facilities. A gas service shall be defined as a gas pipe of three (3) inches in diameter or less branching from the main to a customer pick up point or property valve box. A gas main may be any size pipe that is part of a distribution or transmission network other than services described above. Crossings shall be defined as gas facilities spanning the width of excavation (one side to the other side). These crossings may be at various angles and depth as shown on "Gas Cost Sharing Work Standard Sketches Nos. 1 and 1A", and as specified in "General Provisions; Gas Cost Sharing Work Paragraph No. 13" and, at the locations shown or listed in contract documents. The gas company operating in the area, (facility operator), owns these facilities. The work shall be performed in accordance with contract specifications, plans, and at the directions of the Resident Engineer in consultation with the authorized representatives of the facility operator.



#### 2. Method Of Construction:

- A. Protection: In general, the gas facilities shall be protected as required by New York State Industrial Code 753. In particular, the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) directly below the pavement base to expose the gas facilities (marked out by facility operators) and to ascertain the clearances and cover of the facilities with respect to the proposed excavation. Upon exposing the affected facilities sufficiently, at the discretion of the Resident Engineer, to ascertain the foregoing, Contractor shall be permitted to proceed with a combination of hand and machine excavation, as appropriate, outside a zone of protection whose limit shall be defined as a perimeter located twelve (12) inches from the outside face of each gas facility crossings (See "Gas Cost Sharing Work Standard Sketch No. 2"). If the facilities are in direct interference with City work, meaning that "Minimum Clearances" described in "General Provisions; Gas Cost Sharing Work Paragraph No. 8" cannot be maintained, and excavation has to be temporarily or permanently abandoned then this particular location shall become a test pit and dealt with as specified in Section 6.07, and "General Provisions; Gas Cost Sharing Work Paragraphs Nos. 2 and 8".
- B. Support: Gas mains or services crossing excavations equal or less than four (4) feet wide are generally self supporting, unless field conditions as determined by the Resident Engineer require otherwise. The support requirements for gas mains and services crossing excavations greater than four (4) feet wide shall be as shown on the attached "Gas Cost Sharing Work Standard Sketch No. 1" and Contractor shall use sheeting methods that permit the maintenance of gas facilities in their existing locations and configurations. Alternate methods equivalent to those shown on the sketch or accommodations by the facility operator proposed by the Contractor in order to facilitate the execution of the specified work shall be allowable, provided that prior approval is obtained by the Contractor from the Engineer and the facility operator. The support and protection of gas facilities crossings shown on plans, drawings, listings or otherwise identified in this contract shall not be circumvented with the issuance of so called "order outs".

#### 3. Method Of Measurement:

The Contractor shall be paid for supporting and/or protecting gas facilities crossing trench excavations under the appropriate bid items covered by this section. The Contractor shall be directly responsible to the facility operator for the total cost of using any alternate method requiring the use of resources owned by the facility operator. Regardless of the method used, the City shall pay the bid price for the appropriate support and/or protect item of work. The average rate charged by the facility operator for alternate support and protection work such as, disconnecting and reconnecting gas services is listed in attached "Schedule GCS-A".

4. Payment Restrictions:

These items shall not be paid for: gas services crossing unsheeted water main trench excavation; abandoned gas main/services identified by facility operator; gas mains/services crossing trench excavations for fire hydrant branch connections pipes, catch basins and/or chutes (sewer drain pipe), house sewer and/or water services; gas facilities encroaching any face of excavation for sewer and/or water construction, all of which are covered under other contract sections. Also this item shall not be paid for new gas mains and services crossing water trenches when trenching for such new facilities has been performed by the Contractor in common with trench excavation for City work (overlapping trench limits). The cost of supporting and protecting such gas facilities crossings shall be deemed included in the cost of trench excavation for the new gas facilities. This payment restriction shall apply even if such common trench gas excavation to Contractor to completely perform the work described. No other bid items shall be combined with these items in order to pay for gas main and/or services crossing excavations specified herein.

#### 5. Method Of Payment:

Each (Ea.) gas facility crossing trench excavation as described in these specifications shall be counted for payment.

6. Price To Cover:

The cost of timber/steel supports installed for gas facilities shall be included in the bid price. The bid price for each crossing shall also cover all additional supervision, labor, material (except those provided by the facility operator), equipment and insurance necessary to completely maintain the gas facilities without disruption of service to the customers and in accordance with contract plans, specifications and facility operator standards. The price shall also include: changes of method of operations; sheeting modifications where necessary to accommodate the gas facilities crossings; installation and removal of water pipe under gas facilities (so called "snaking"); extra care during excavation (including hand excavation under existing single and multiple gas facilities); extra backfilling and compaction around, over and under gas facilities; installation and removal of sheeting around gas facilities; associated maintenance and protection of traffic; barricades; and traffic plates that may be required to temporarily close and/or complete the work.

# SECTION 6.02 - Extra Excavation For The Installation Of Catch Basin Sewer Drain Pipes With Gas Interferences.

#### 1. Description:

Under this item, the Contractor shall provide all labor, materials, equipment, insurance, and incidentals for the extra excavation associated with the installation of catch basin sewer drain pipes (chute) under gas facilities of various sizes crossing the trench excavation at various angles and depth at the locations shown in the contract documents and also, for the support and protection of these facilities during associated excavation and backfill operations. The gas company operating in the area, (facility operator), owns these facilities.

2. Method Of Measurement:

The bid price shall be per location (Each) where extra excavation is required when catch basin sewer drain pipes are installed at an upstream invert depth lower than four (4) feet (up to a maximum of six (6) feet) from the proposed pavement grade because the bottom faces of interfering gas mains and appurtenances are located at a depth greater than three (3) foot eight (8) inches from proposed pavement surface (See "Gas Cost Sharing Work Standard Sketch No. 4").

3. Method Of Construction:

Incremental cost responsibility for chute excavation is determined by the first private facility encountered starting from catch basin structure proper and that prevents the installation of the chute connection at an upstream cover less than or equal to three (3) feet or any other minimum cover required to avoid City facilities (e.g. water, sewer, etc.) as directed by the Resident Engineer.

4. Payment Restrictions:

This item shall not apply and related bid item shall not be paid in cases where:

- A. Upstream invert chute is more than six (6) feet deep because of gas facilities.
- B. Chute cannot be installed above existing gas facilities because of interferences with other private facilities that are not otherwise covered under this contract, regardless of upstream invert depth.

The above cases shall be at no cost to the City, but shall be a matter of adjustment between the Contractor and the facility operator(s).

5. Price To Cover:

The bid price shall cover the additional cost of all additional supervision, labor, materials, equipment and insurance, to complete the installation of catch basins and associated sewer connections in accordance with the contract plans and specifications. The price shall include: excavation by hand around and under single and multiple gas facilities; locating, supporting and protecting gas facilities; backfilling and all other items necessary to perform all work incidental thereto including: installation and removal of drain pipe under gas facilities ("snaking"); widening of trenches to facilitate the above work; subsequent additional



backfill and pavement restoration; modifying precast catch basin window to accommodate connection; changing sheeting method and configuration to accommodate gas facility crossings; maintenance and protection of traffic; barricades; and installation of traffic plates that may be required to temporarily close and/or complete the work. The price shall not include removal of ledge rock and/or excavation of boulders in open cut.

## SECTION 6.02.1 - Extra Excavation For The Installation Of Catch Basin Sewer Drain Pipes With Upstream Inverts Greater Than Six (6) Feet.

#### 1. Description:

Under this item, the Contractor shall provide all labor, materials, equipment, insurance and incidentals for the extra excavation of catch basin chutes where the upstream invert is greater than six (6) feet under gas facilities of various sizes crossing the trench excavation at various angles and depth at the locations shown in the contract documents or as determined by field conditions and also, for the support and protection of these facilities during the associated excavation, sheeting and backfilling operations.

#### 2. Method Of Measurement:

The bid price shall be per location (Each) where extra excavation and sheeting is required when the catch basin chute installed at an upstream invert depth lower than six (6) feet from the proposed pavement grade because the bottom faces of the interfering gas mains and appurtenances are located at a greater depth than three foot eight inches from the proposed pavement surface only.

#### 3. Method Of Construction:

Incremental cost responsibility for chute excavation is determined by the first private facility encountered during such excavation when initiated from catch basin structure and that prevents the installation of the chute at an upstream cover less than or equal to three (3) feet or any other cover required to avoid City facilities as directed by the Resident Engineer.

4. Payment Restriction:

This item shall not apply and related bid item shall not be paid in cases where:

Upstream invert chute is less than or equal to six (6) feet deep because of gas facilities. Section 6.02 shall be paid.

5. Price To Cover:

The bid price shall cover the additional cost of all supervision, labor, materials, equipment and insurance to complete the installation of catch basin and associated sewer connections in accordance with the contract plans and specifications. The price shall include: excavation by hand around and under single and multiple gas facilities; locating, supporting and protecting gas facilities incidental thereto; widening of trenches to facilitate the above work; subsequent additional backfilling and pavement restoration; modifying pre-cast basin window to accommodate connection; the installation of catch basin with deeper sumps as specified; additional sheeting and changes in sheeting method and configuration to accommodate gas facility crossings; maintenance and protection of traffic; barricades; and installation of traffic plates that may be required to temporarily close and/or complete the work.

## SECTION 6.03 - Removal Of Abandoned Gas Facilities. All Sizes.

#### 1. Description:

Under this section the Contractor shall provide all labor, materials, equipment, insurance and, incidentals required for the removal of abandoned gas mains, services, or appurtenances thereof, located within the street shown on the contract plans, owned by gas company operating in the project area (facility operator), used or to be used for or in connection with or to facilitate the conveying, transportation, distribution or

furnishing of gas (natural or manufactured or mixture of both) for light, heat, or power, but does not include property used solely for or in connection with business of selling, distributing or furnishing of gas in enclosed containers. Such removal shall include only abandoned gas facilities that interfere with (i.e. cause additional work) City work.

2. Determination Of Operating Status Of Gas Facilities:

The Contractor shall notify facility operator, as required by New York State Industrial Code 753. Gas facilities shall not be removed without the approval of the facility operator whose authorized representative shall certify in writing (specific facility or area wide facilities certification) and in a timely manner acceptable to the Resident Engineer that abandoned facilities are free of combustible gas and any other environmental contaminants prior to removal. The Resident Engineer shall rely on facility operator's certification. The facility operator may request the excavation of test pits (See Section 6.07) for this determination ahead of City work and, Contractor shall provide safe access, facilitate and permit facility operator to enter test pit excavations for the purpose of testing gas facilities to be removed by the Contractor. However, facility operator may prefer to make this test during performance of City work, in order to issue the above certification. This shall be permitted provided that it is agreed that additional costs, if any resulting from this choice shall be a matter of adjustment between the Contractor and facility operator only, and at no cost to the City.

3. Restrictions:

The facility operator shall be solely responsible for its contaminated gas facilities, surrounding contaminated soil and their disposal and abatement procedures, unless contract bid items are applicable and provided for such work. In such cases, the quantity removed shall be charged to EP-7 bid item "<u>UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS</u>" at the City bid prices.

4. Method Of Measurement:

Abandoned gas pipeline removal shall be measured for payment per linear foot of pipe and appurtenances removed.

5. Price To Cover:

The price shall cover all additional cost of supervision, labor, materials, equipment, and insurance necessary to complete this work in accordance with the contract plans and specifications, including excavation by hand around and under other City and facility operator owned properties and, where necessary, support and protection of such properties. The price shall also cover breaking, cutting, and/or burning of abandoned gas pipes and their disposal from the site; sealing open ends remaining in the excavation with concrete or caps (caps to be provided by the facility operator) and backfilling of the area where the pipeline has been removed with clean backfill. The price shall also include any required dump charges. This item does not include any type of extra excavation, backfilling, compaction, pavement removal and restoration associated with abandoned gas facilities removal, all of which are covered under Section 6.06.

## SECTION 6.03.1 - Removal Of Abandoned Gas Facilities With Possible Coal Tar Wrap. All Sizes. (For National Grid Work Only)

1. Description:

Under this section the Contractor shall provide all labor, materials, equipment, insurance and, incidentals required for the removal of abandoned gas mains, services or appurtenances thereof, located within the street shown on the contract plans, owned by the gas company operating in the project area (facility operator), used or to be used for or in connection with or to facilitate the conveying, transportation, distribution or furnishing of gas (natural or manufactured or mixture of both) for light, heat, or power, but does not include property used solely for or in connection with business of selling, distributing or furnishing of gas in enclosed containers. Such removal shall include only abandoned gas facilities that interfere with (i.e. cause additional work) City work. These gas facilities may be coated with Coal Tar Wrap and so, may require special handling and disposal methods as specified in National Grid Standard Operating Procedure 12-2, Coal Tar Wrap Handling and 12NYCRR56.



#### 2. Determination Of Operating Status Of Gas Facilities:

The Contractor shall notify facility operator, as required by New York State Industrial Code 753. Gas facilities shall not be removed without the approval of the facility operator whose authorized representative shall certify in writing (specific facility or area wide facilities certification) and in a timely manner acceptable to the Resident Engineer that abandoned facilities are free of combustible gas and any other environmental contaminants prior to removal. The Resident Engineer shall rely on the facility operator's certification. The facility operator may request the excavation of test pits (See Section 6.07) for this determination ahead of City work and, the Contractor shall provide safe access, facilitate and permit facility operator to enter test pit excavations for the purpose of testing gas facilities to be removed by the Contractor. However, the facility operator may prefer to make this test during performance of City work, in order to issue the above certification. This shall be permitted provided that it is agreed that additional costs, if any, resulting from this choice shall be a matter of adjustment between the Contractor and the facility operator only, and at no cost to the City contract. Should such investigation result in the determination that the abandoned gas facilities do not contain Coal Tar Wrap then the removal of said facilities shall be covered under separate item (See Section 6.03).

#### 3. Requirements:

The City Contractor shall excavate abandoned gas facility sufficiently, either in its entirety, or at locations determined by Contractor to allow the removal of Coal Tar Wrap (if present on the abandoned gas facility) and to facilitate the safe extraction of manageable lengths of abandoned pipe without damage to adjacent facilities, utilities or City structures either parallel to or crossing above or below abandoned gas facility. The Contractor is to allow access to the designated cutting points within the Contractor's trench by authorized National Grid personnel who will remove the Coal Tar Wrap as per National Grid procedures. This work by National Grid personnel shall be performed in a timely fashion and shall not unduly impede the Contractor's progress and/or productivity. Upon completion of the coating removal, the Contractor shall be allowed to cut, burn or grind the gas facility and remove the section of abandoned pipe. The Contractor at a site designated by the Contractor shall stockpile the removed pipe. The facility operator will be responsible to provide trucking and disposal services with its own personnel and shall remove the stockpiled pipes during off hours or during such time as agreed to by the Contractor. Since the pipe removed will remain the property of the facility operator and is to be disposed of by the facility operator, the facility operator shall be responsible for any required notifications, filings, dump charges and incidentals associated with the disposal of abandoned gas facilities found to contain Coal Tar Wrap.

#### 4. Method Of Measurement:

Abandoned gas pipeline removal shall be measured for payment per linear foot of pipe and appurtenances removed.

#### 5. Price To Cover:

The price shall cover all additional cost of supervision, labor, materials, equipment and insurance necessary to complete this work in accordance with the contract plans and specifications, including excavation by hand around and under other City and facility operator owned properties and, where necessary, the support and protection of such properties. The cost shall also include hand excavation in the area(s) of proposed abandoned pipe cut(s), cutting and/or burning of abandoned gas pipes and stockpile of removed sections of abandoned pipe and associated maintenance and protection of traffic, blocking and temporary fencing if required. The unit price shall also cover sealing open ends remaining in the excavation with concrete or end caps (end caps to be provided by the facility operator) and backfilling of the area where the abandoned pipeline has been removed with clean backfill material. This item does not include any type of extra excavation, backfilling, compaction, pavement removal and/or restoration (temporary and permanent) associated with abandoned pipe removal ("lost trench"), all of which are covered under separate Section 6.06. The price shall also include allowance for any loss of productivity by the Contractor due to required facility operator work to remove pipe coating and prepare pipe for cutting as well as any change in Contractor's excavation method, additional trucking and/or stockpiling costs.

## SECTION 6.03.1a - Removal Of Abandoned Gas Facilities With Possible Coal Tar Wrap. All Sizes. (For Con Edison Work Only)

#### 1. Description:

Under this section the Contractor shall provide all labor, material, equipment, insurance and, incidentals required to prepare abandoned gas mains, services and appurtenances thereof located within the street shown on contract plans, owned by the gas company operating in the project area (facility operator), for removal due to interference with proposed City work. These abandoned gas facilities were, at one time, used for or in connection with or to facilitate the conveying, transportation, distribution or furnishing of gas (natural, manufactured or a combination of both) for light, heat, or power, but does not include property used solely for or in connection with business of selling, distribution or furnishing of gas in enclosed containers. Such preparation for removal shall include only abandoned gas facilities that interfere with (i.e. cause additional work) City work. These gas facilities may be coated with Coal Tar Wrap which may contain asbestos or PCB's and so, may require special handling and disposal methods as specified in Con SECTION 06.04 - COAL TAR WRAP REMOVAL. For under 25' (feet) in length and an approved NYC-DEP variance for over 25' (feet).

## 2. Determination Of Operating Status Of Gas Facilities:

The Contractor shall notify facility operator, as required by New York State Industrial Code 753. Gas Facilities shall not be removed without the approval of the facility operator whose authorized representative shall certify in writing (specific facility or area wide facilities certification) and in a timely manner acceptable to the Resident Engineer that abandoned facilities are free of combustible gas and any other environmental contaminants prior to removal. The Resident Engineer shall rely on the facility operator's certification. The facility operator may request the excavation of test pits (See Section 6.07) for this determination ahead of City work and Contractor shall provide safe access, facilitate and permit facility operator to enter test pit excavations for the purpose of testing gas facilities. However, the facility operator may prefer to make this test during performance of City work in order to issue the above certification. This shall be permitted provided that it is agreed that additional costs, if any, resulting from this choice shall be a matter of adjustment between the Contractor and the facility operator only, and at no cost the City contract. Should such investigation result in the determination that the abandoned gas facilities do not contain Coal Tar Warp then the removal of said facilities shall be covered under separate

#### 3. Requirements:

The Contractor shall excavate abandoned gas facility sufficiently, either in it's entirety, or at locations determined by Contractor to allow the removal of Coal Tar Wrap (if present on the abandoned gas facility) and to facilitate the safe extraction of manageable lengths of abandoned pipe without damage to adjacent facilities, utilities or city structures either parallel to or crossing above or below abandoned gas facility. The Contractor is to allow access to the designated cutting points within the Contractors trench by authorized Con Edison personnel who will remove the Coal Tar Wrap as per Con Edison and/or NYC-DEP approved procedures. This access shall conform to all applicable codes, rules & regulations. This work by Con Edison personnel shall be performed in a timely fashion and shall not unduly impede the Contractors progress and/or productivity. Upon completion of the coating removal, the Contractor shall be allowed to cut, burn or grind the gas facility and remove the section of abandoned pipe. Contractor shall designate a specific site to stockpile those removed pipes. The facility operator will be responsible to provide trucking and disposal services with its own personnel and shall remove the stockpiled pipes during off hours or during such time as agreed to by the Contractor. Since the pipe removed will remain the property of the facility operator and is to be disposed of by the facility operator, the facility operator shall be responsible for any required notifications, filings, dump charges and incidentals associated with the disposal of abandoned gas facilities found to contain Coal Tar Wrap.

#### 4. Method Of Measurement:

Abandoned gas facility removal shall be measured for payment per linear foot of pipe and appurtenances removed.

#### 5. Price To Cover:

The price shall cover all additional cost of supervision, labor, materials, equipment and insurance necessary to complete this work in accordance with the plans and specifications, including, but not limited to, excavation by hand around and under other City and facility operator owned properties and, where necessary, the support and protection of such properties. The cost shall also include hand excavation in the area(s) of proposed abandoned pipe cut(s), cutting and/or burning of abandoned gas pipes and stockpile of removed sections of abandoned pipe and associated maintenance of traffic, blocking and temporary fencing if required. The unit price shall also cover sealing open ends remaining in the area where the abandoned pipeline has been removed with clean backfill material. This item does not include any type of extra excavation, backfilling, compaction, pavement removal and/or restoration (temporary and permanent) associated with abandoned pipe removal ("lost trench"), all of which are covered under separate Section 6.06. The price shall also include allowance for any loss of productivity by the Contractor due to required facility operator work to remove pipe coating and prepare pipe for cutting as well as any change in Contractor excavation method, additional trucking and/or stockpiling costs.

# SECTION 6.04 - Adjust Hardware To Grade Using Spacer Rings/Adaptors. (Street Repaying.)

#### 1. Description:

Under this section, the Contractor shall provide all labor, supervision, materials, equipment, insurance and incidentals required to adjust to final grade gas street surface hardware located within the contract area boundaries shown on the plans. The gas company operating in the area, (facility operator), owns these facilities. The work shall be performed in accordance with the contract plans, specifications and at the directions of the Resident Engineer in concurrence with authorized representative of the facility operator.

#### 2. Materials:

The facility operator shall furnish and deliver all prefabricated hardware parts required. These include adaptors for the grade adjustment proper and new street hardware if existing ones are found to be defective, all in accordance with the facility operator standards and City rules and regulations. The Contractor shall notify the facility operator of the installation schedule at least three (3) business days before materials are required on the site. Should the facility operator fail to deliver the necessary material according to any schedule mutually agreed upon by the Contractor and facility operator, the City shall not be responsible for any delays attributable thereto, nor for the failure of delivery of such materials. On project where material storage is not permitted on site, the facility operator shall deliver the material to the Contractor's yard and it shall be the Contractor's responsibility to transport the material to the work site when needed for installation. It shall also be the Contractor's responsibility to inspect the materials to be installed by him immediately upon delivery and advise the facility operator through its authorized representative, of all damaged materials. The Contractor at no additional expense to the City or the facility operator shall replace any material that is damaged or lost after the Contractor's inspection.

#### 3. Method Of Measurement:

The Contractor shall be paid for each six (6) inch round box and/or nine (9) inch square box adjusted to grade regardless of adjustment height requirements.

4. Price To Cover:

The unit price bid for this item shall include all additional labor, supervision, insurance, equipment and, material (except those to be provided by the facility operator), required to adjust each box to grade as required in the contract plans and specifications. The bid price shall also include the removal of existing frames and covers from existing facilities to be salvaged and returned to the facility operator and, all material transportation from the Contractor's material storage yard to the work site. In addition the bid price shall include "chipping" around existing box using appropriate means and methods where grinding is required.

## SECTION 6.05 - Adjust Hardware To Grade By Resetting. (Road Reconstruction.)

#### 1. Description:

Under this item, the Contractor shall provide all labor, supervision, materials, equipment, insurance and incidentals required to adjust to the proposed grade gas street surface hardware located within the contract area boundaries shown on the plans. The gas company operating in the area, (facility operator), owns these facilities. The work shall consist of either building up or lowering or resetting the casting by removing the existing frame and cover building up or decreasing the existing installation, replacing the frame and/or cover if damaged or worn out, as determined by the Resident Engineer, with a new frame and/or cover furnished by the owner, and setting the frame and cover to new elevation. The work shall be performed in accordance with the contract plans, specifications and at the directions of the Resident Engineer.

#### 2. Materials:

The facility operator shall furnish and deliver all new hardware parts required. The Contractor shall furnish materials such as mortar, bricks and concrete in compliance with contract requirements. At locations where high-early strength concrete is required under this contract to be placed adjacent to gas facilities, then the requirement for concrete shall be high-early strength complying with the current New York State Department of Transportation, Standard Specifications for Class F concrete. Existing castings may be replaced as required and deemed necessary by the Engineer and by City rules and regulations. The Contractor shall install the new castings of various sizes furnished by the facility operator. The Contractor shall notify the facility operator of the installation schedule at least three (3) business days before materials are required on the site and, shall provide off-loading services to the facility operator. Should the facility operator fail to deliver the necessary material according to any schedule mutually agreed upon by the Contractor and facility operator, the City shall not be responsible for any delays attributable thereto, nor for the failure of delivery of such materials. Such delays shall be a matter of adjustment between the Contractor and the facility operator. On project where material storage is not permitted on site, the facility operator shall deliver the required material to the Contractor's yard and it shall be the Contractor's responsibility to transport the material to the work site when needed for installation. It shall also be the Contractor's responsibility to inspect the materials to be installed by him, immediately upon delivery and advise the facility operator through its authorized representative, of all damaged materials. The Contractor at no additional expense to the City or the facility operator shall replace any material that is damaged or lost after the Contractor's inspection.

#### 3. Methods Of Construction:

The Contractor shall remove and reinstall existing castings or install new castings to the proposed grade. Setting and resetting the castings shall be done with mortar and brick according to the standards of the facility operator. Work shall be performed in a workmanlike manner. Castings that are deemed unacceptable for resetting shall remain the property of the facility operator and he shall be responsible for their removal and proper disposal from site. No traffic shall be allowed on adjusted street hardware until permitted by the Engineer.

#### 4. Method Of Measurement:

The Contractor shall be paid for each gas hardware adjusted to grade regardless of size or adjustment height requirements (up or down).

#### 5. Price To Cover:

The unit price bid for this item shall include all additional labor, supervision, insurance, equipment and, material (except those to be provided by the facility operator), required to adjust each gas hardware to grade as required in the contract plans and specifications. The bid price shall also include the removal of existing frames and covers from existing facilities; building up the existing installations with bricks and mortar, or lowering the existing installation by removing bricks and mortar; replacing damaged frames and/or covers with new frames and/or covers furnished by the facility operator; setting the frames and covers to the new elevations; protect existing installations; repair minor structural damages to existing installations prior to resetting frames; unloading of furnished castings at the Contractor's yard and transporting castings from the Contractor's yard to the job site as required; completing the work in



accordance with the contract plans, specifications and, at the directions of the Engineer. In addition the bid price shall include "chipping" around existing gas facilities using appropriate means and methods where grinding is required.

## SECTION 6.06 - Special Care Excavation And Backfilling.

#### 1. Description:

Under this section, the Contractor shall provide all labor, materials, equipment, insurance and incidentals required to support and protect the integrity of live gas facilities including mains, services, related structures and appurtenances during excavations. The gas company operating in the area, (facility operator), owns these facilities. The work shall be performed in accordance with the contract plans, specifications and at the directions of the Resident Engineer in consultation with authorized representatives of the facility operator.

#### 2. Applicability Of Section:

This section shall apply to live gas facilities of various sizes located within two (2) feet of any face of unsheeted excavation, (unsheeted excavation refers to any excavation performed for city work and includes excavations performed that are to be subsequently sheeted using approved methods) and paralleling or, encroaching any face of excavation. Also, for crossings greater than forty-five (45) degrees and/or located at a cover depth greater than five (5) feet from existing street surface. Parallel facilities are not exposed at any time during excavation (See "Gas Cost Sharing Work Standard Sketch No. 5"). Encroaching facilities are partially exposed inside the limit of excavation (See "Gas Cost Sharing Work Standard Sketch No. 5"). This section shall also apply to gas facilities crossing catch basins excavation, and catch basins sewer connections (chutes) trench excavation only when extra depth (covered in other section), is not required for chutes installations because of such utilities interferences (See "Gas Cost Sharing Work Standard Sketch No. 3"). This section shall also apply to gas services (if shown or otherwise listed in contract documents) crossing unsheeted excavations for water mains, gas facilities crossing fire hydrant branch connections, house sewer and/or water service connections excavations. This section shall also apply for so called "loss trench", as described further, and for additional excavation (pavement and/or soil), backfilling, compaction, roadway base and pavement restoration due to abandoned gas facilities, only if removed by Contractor. If operating status of gas facilities cannot be determined prior to excavation then such facilities shall be considered live and this section shall fully apply. The excavation around fully exposed live gas facilities along and within limits of excavation (not crossings) shall be covered by this section also (not shown on "Gas Cost Sharing Work Standard Sketch No. 5"), however the support requirement, if any is required, of such facilities is beyond the scope of these specifications and therefore shall be the responsibility of facility operator to determine and prescribe, at no cost to the City contract, but shall be a matter of adjustment between the Contractor and facility operator.

3. Payment Restriction:

No special care excavation shall be paid for abandoned gas facilities paralleling and/or encroaching excavation and therefore are not in direct interference with City work. Except as allowed in this section, the bid item specified under this section shall not be used in combination with items covered under other sections for work done due to a particular gas facility. This item shall not be paid for new gas facilities when trenching for such new facilities has been performed by the Contractor of record in common with trench excavation for City Work (overlapping trench limits). The cost of excavating with care as defined in this section shall be deemed included in the cost of trench excavation for the new gas facilities. This restriction shall apply even if such gas common trench excavation is not part of the contract. If facilities are in direct interference with City work, meaning that "Minimum Clearances" described in "General Provisions; Gas Cost Sharing Work Paragraph No. 8" cannot be maintained and excavation has to be temporarily or permanently abandoned then this particular location shall become a test pit and dealt with as specified in Section 6.07 and "General Provisions; Gas Cost Sharing Work Paragraphs Nos. 2 and 8".

#### 4. Method Of Construction:

All excavation in the vicinity of gas facilities shall be as required by NYS Industrial Code 753. Where these facilities are paralleling and located two (2) feet or less from the limits of the proposed excavation, the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) to ascertain



the clearances of these facilities with respect to the proposed excavation. Once the location of these facilities with respect to the proposed excavation is verified to the satisfaction of the Resident Engineer, the Contractor shall then proceed with a combination of hand and machine excavation as required preserving the integrity of the facilities. The installation of timber supports or underpinning, when soil foundation cannot fully support partially exposed pipes, may be required to prevent pipe movement as directed by the Resident Engineer.

5. Method Of Payment:

The unit price for this work item shall be based on cubic yard (CY) of average excavation with care and, is to be considered as an incremental cost for performing City work with gas facilities interferences.

- 6. Method Of Measurement:
- A. For Paralleling Facilities: Volume calculated as: Depth as measured from existing street surface to the bottom of unsheeted trench excavation allowable by OSHA regulations, multiplied by, the width measured as one (1) foot from the face of excavation toward the center of excavation, multiplied by the length of parallel facility, divided by twenty-seven (27) cubic feet per cubic yard (See "Gas Cost Sharing Work Standard Sketch No. 5"). The gas facility is no longer considered to be in interference once sheeting has been installed, therefore no further compensation for paralleling facilities as described above will be made.
- B. For Encroaching Facilities: Volume calculated as: Depth of trench as allowable by OSHA, maximum up to five (5) feet multiplied by, the width of partially exposed pipe plus one (1) foot, multiplied by the length of facility encroachment, divided by twenty-seven (27) cubic feet per cubic yard (See "Gas Cost Sharing Work Standard Sketch No. 5").
- C. Fully Exposed Gas Facilities: (Not shown on "Gas Cost Sharing Work Standard Sketch No. 5") along and inside trench and/or crossing trench at an angle greater than forty-five (45) degrees and/or a cover depth greater than five (5) feet from the existing street surface. The volume shall be measured as the depth of trench excavation multiplied by the distance measured along the sheeting line between two (2) points of intersections of the gas facilities and the sides of trench excavation, multiplied by the width of trench excavation.
- D. For Additional Excavation And Restoration Due To So Called "Loss Trench", When The Integrity Of Pavement And Soil Above And Around Existing Live Gas Facilities Cannot Be Maintained Due To Its Lack Of Cohesiveness: Volume shall be calculated as: Depth of unsheeted trench excavation multiplied by width measured as distance of facility from closest edge of unsheeted excavation plus, width of facility proper plus, one (1) foot or a maximum width of three (3) feet multiplied by length of facility fully exposed divided by, twenty-seven (27) cubic feet per cubic yard (not shown on "Gas Cost Sharing Work Standard Sketch No. 5").
- E. For Facilities Crossing Excavation For Catch Basins, Or Chutes Installations (When NYCDEP Funded) Or Fire Hydrant Branch Connections, Or Unsheeted Water Main Trench, Or House Sewer And/Or Water Services: Volume calculated as: Depth as measured from existing street surface to the bottom of the trench excavation multiplied by, the width taken as the outside diameter of pipe or the width of structure plus one (1) foot on either side (two (2) feet), multiplied by, the length of exposed facility crossing the trench, divided by twenty-seven (27) cubic feet per cubic yard (not shown on "Gas Cost Sharing Work Standard Sketch No. 5").

Overlapping volume dimensions measured as described above may occur when multiple facilities are paralleling excavations, encroaching excavations or crossing catch basins and catch basin chute installations. In such cases, all such facilities shall be counted as one limited by the extreme pipes, faces (See "Gas Cost Sharing Work Standard Sketch No. 2"). The volume shall then be calculated as described above.

7. Price To Cover:

The bid price shall also cover all additional supervision, labor, material, equipment and insurance necessary to excavate while protecting and maintaining (excluding supports for fully exposed live gas) gas facilities without disruption of service to the public and in accordance with contract specifications. The

price shall also include, changes of sheeting method and excavation width configuration where necessary to accommodate gas facilities in their existing locations; difficulties during the installation of catch basins, chute connections, hydrant branch, and house sewer and water connections under or over gas facilities; loss of productivity due to slower rate of excavation (special care) during excavation, including the use of such methods as: hand excavation around existing single and multiple facilities, extra excavation and backfilling due to lost trench because of existing and adjacent gas facilities, compaction, removal of sheeting from the facilities, extra roadway base restoration and temporary pavement, associated maintenance and protection of traffic, barricades, and traffic plates that may be required to temporarily close and/or complete the work.

### SECTION 6.07 - Test Pits For Gas Facilities.

#### 1. Description:

Under this section, the Contractor shall furnish all labor, materials, insurance, equipment and appliances necessary to excavate, sheet and, maintain test pits at locations approved by the Resident Engineer in consultation with the facility operator. Test pits shall be dug in order to ascertain exact locations, cover and invert elevations, clearances, alignment and operating status (live or dead) of existing gas facilities. The Contractor shall inspect jointly with the Resident Engineer and facility operator, gas facilities and other structures uncovered, take all relevant measurements and elevations as directed by the Resident Engineer. Tests to determine operating status of gas facilities shall be performed by facility operator. The pits shall be covered with steel plates during daytime nonworking hours, and uncovered, as required, until the inspection work is completed. Testing of gas facilities may require a maximum of four (4) hours. Then, the pits shall be backfilled with clean fill, and resurfaced with temporary pavement. All traffic shall be maintained and all safety measures as stipulated shall be complied with.

#### 2. Methods Of Construction:

- A. Excavation: Existing pavement to be removed shall be neatly cut along lines of removal with a saw or other approved equipment which leaves a neat straight joint line along the juncture with subsequently replaced pavement. Excavation in the vicinity of utilities and other structures shall be performed using hand tools. Use of hand operated pneumatic and electric jackhammers will be permitted only for breaking pavement and removal of masonry, concrete and boulders, or as otherwise directed by the Resident Engineer. The Contractor shall properly dispose of all materials excavated from test pits away from site. Test pits shall be excavated at locations shown on the contract drawings or as directed by the Resident Engineer. Additional test pits may be required and shall be excavated where required, as ordered by the Resident Engineer. All test pits shall be excavated to a depth and size necessary to locate the existing facilities. Sheeting shall be used when depth of excavation exceeds five (5) feet. The sheeting required shall be furnished and installed in full compliance with the State of New York and Federal Safety Codes requirements and as specified in contract, whichever is more stringent. Care shall be taken that no existing gas facilities or other structures are broken or damaged. All broken or damaged facilities shall be reported immediately to facility operator who shall decide whether such facilities shall be repaired or replaced by company forces or by City contractor and in conformance with "General Provisions; Gas Cost Sharing Work Paragraph No. 9". Contractor shall excavate all material encountered, including large masses of concrete, cemented masonry and boulders, as directed by the Resident Engineer. Any type of excavation protection used, shall satisfy the following:
  - (a) Industrial Code Rule 753.
  - (b) Prevent injury to workers and the public, and avoid damage to existing water, sewer, and gas pipes or other structures, and to pavements and their foundations, through caving or sliding of the banks of the excavation.

Should it become necessary, as determined by the Resident Engineer, to enlarge any test pit in any dimension after sheeting has been placed, the Contractor shall remove portions of the sheeting, as necessary, enlarge the test pits as directed, and replace the sheeting without additional compensation for this work other than for the additional volume of material excavated.

B. Maintenance Of Test Pits: Excavated test pits shall be maintained free of debris and kept dry by the Contractor in order to permit the inspection and measurements and to determine the locations of

facilities. In order to accomplish this, Contractor shall, upon completion of excavation and placement of sheeting (if depth greater than five (5) feet), furnish and install adequate steel plates and posting over the excavated pits and shall temporarily remove all equipment debris and workers, and relocate barricades in order to open the full width of street to traffic during nonworking hours. The Contractor shall then, at no additional cost, relocate such barricades, barrels, cones and other warning devices and remove steel plates, as and when directed by the Resident Engineer to facilitate the inspection of exposed facilities. When work is being performed and the pits are not covered with steel plates, the Contractor shall provide complete and safe access to the test pits as may be required, and he shall provide construction barricades and maintain traffic at all times as shown or as directed by the Resident Engineer. Upon completion of test pit inspection by the Resident Engineer, the pit shall be backfilled by the Contractor as specified in contract, except that backfill material shall conform to contract specifications for such purpose.

C. Pavement And Sidewalk Restoration: After backfilling is completed, the Contractor shall construct a temporary pavement consisting of a minimum of four (4) inches thick asphaltic concrete mixture in roadway areas or a two (2) inches thick asphaltic concrete mixture in sidewalk areas in order to maintain existing pedestrian and vehicular traffic. This temporary pavement shall be maintained until permanent pavement and sidewalk replacement is constructed as specified in contract.

#### 3. Measurements:

The quantity to be measured for payment shall be the number of cubic yards of material removed from within the limits of the pit dimensions as directed by the Resident Engineer. The volume occupied by existing pipes or other structures remaining within the maximum payment lines will not be deducted from the total volume measured except, where the cross sectional area of these facilities exceeds four (4) square feet. As determined by the Resident Engineer, the quantity measured for payment may be proportionate to a fair and reasonable estimate of gas responsibility in the total volume excavated.

#### 4. Price To Cover:

The contract price bid per cubic yard for test pits shall cover all additional costs of labor, material, insurance, equipment, appliances and incidentals required to excavate test pits, including removal and disposal of excavated materials, sheeting, steel plating, backfill, compaction and temporary pavement and sidewalk restoration all in accordance with the specifications and as directed by the Resident Engineer. The price shall also include the cost of providing safe access to the excavation by facility operator for the performance of certain test to determine operating status of gas facilities prior to City work. The price shall also include support and protection of all gas facilities crossing excavation, paralleling and/or encroaching any face of excavation.

### GAS COST SHARING STANDARD SPECIFICATIONS SCHEDULE GCS-A

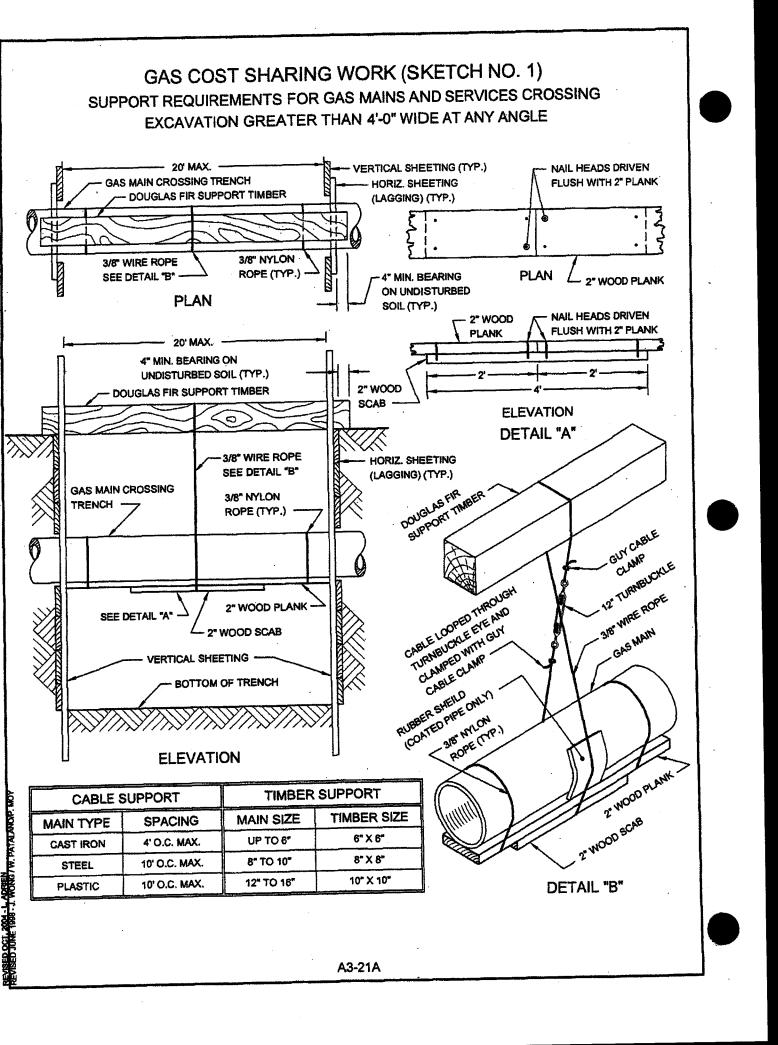
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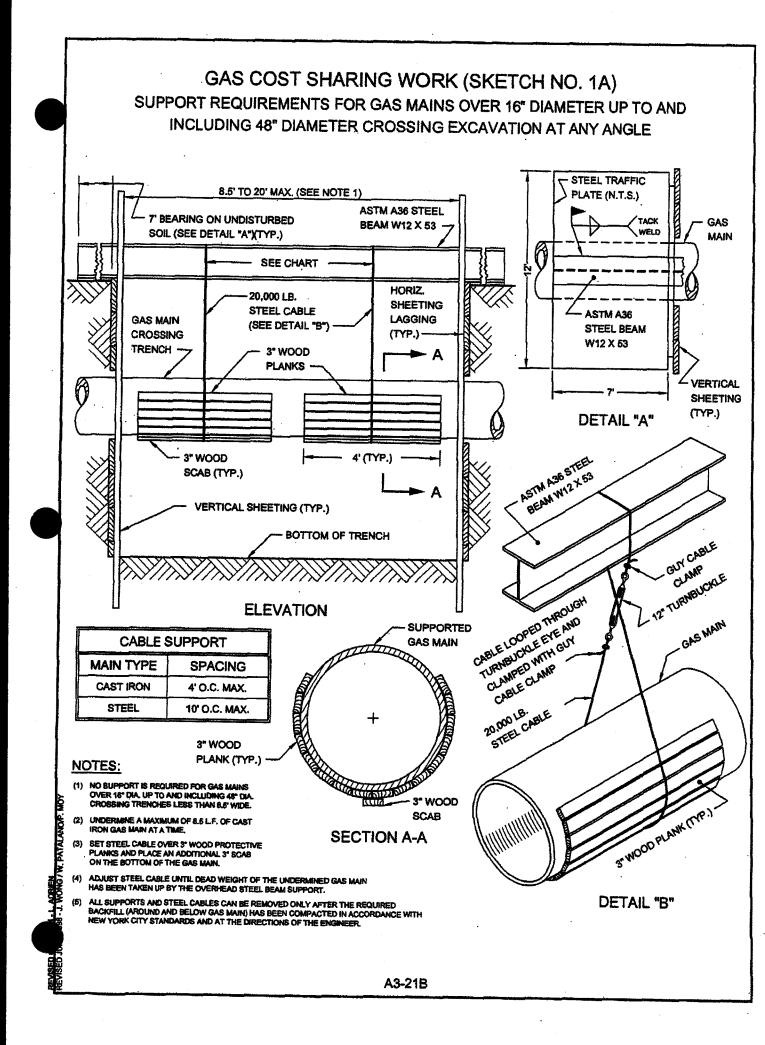
- 1. National Grid \$586.90 per Service/and Visit
- 2. Con Edison \$524.00 per Service/and Visit

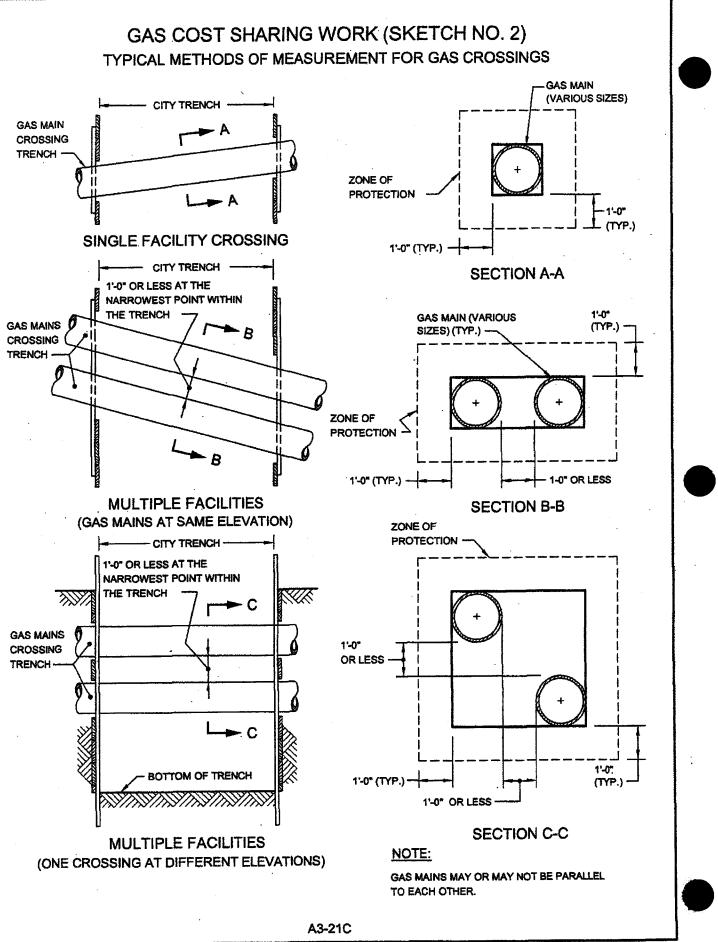
## IV - STANDARD SKETCHES; GAS COST SHARING WORK

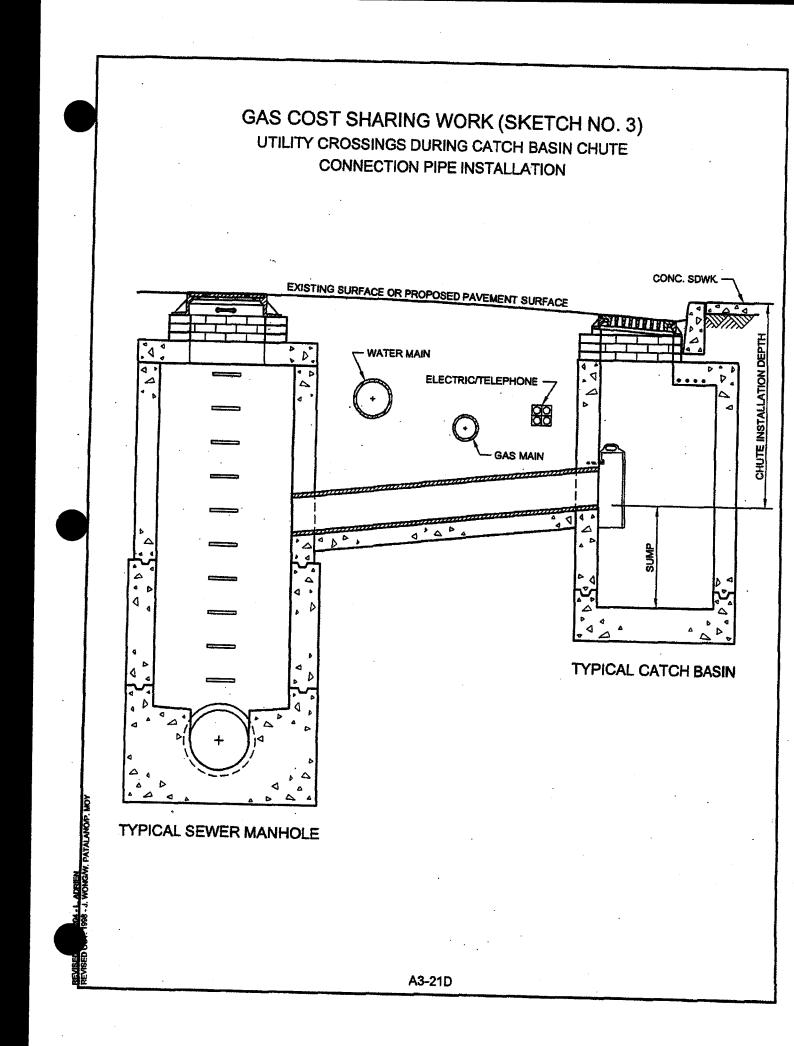
Hereinafter attached are the following Standard Sketches for Gas Cost Sharing Work:

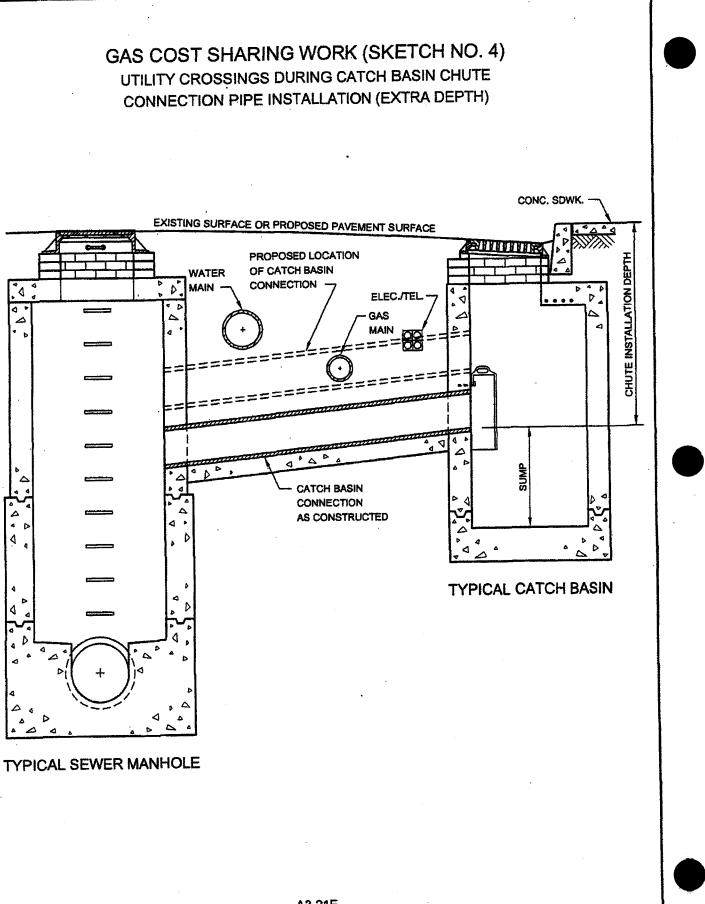
- Sketch No. 1 Support Requirements For Gas Mains And Services Crossing Excavation Greater Than 4' - 0" Wide At Any Angle
- Sketch No. 1A Support Requirements For Gas Mains Over 16" Diameter Up To And Including 48" Diameter Crossing Excavation At Any Angle
- Sketch No. 2 Typical Methods Of Measurement For Gas Crossings
- Sketch No. 3 Utility Crossings During Catch Basin Chute Connection Pipe Installation
- Sketch No. 4 Utility Crossings During Catch Basin Chute Connection Pipe Installation (Extra Depth)
- Sketch No. 5 Gas Main Encroachment On And/Or Parallel To Excavation Of Unsheeted Trench





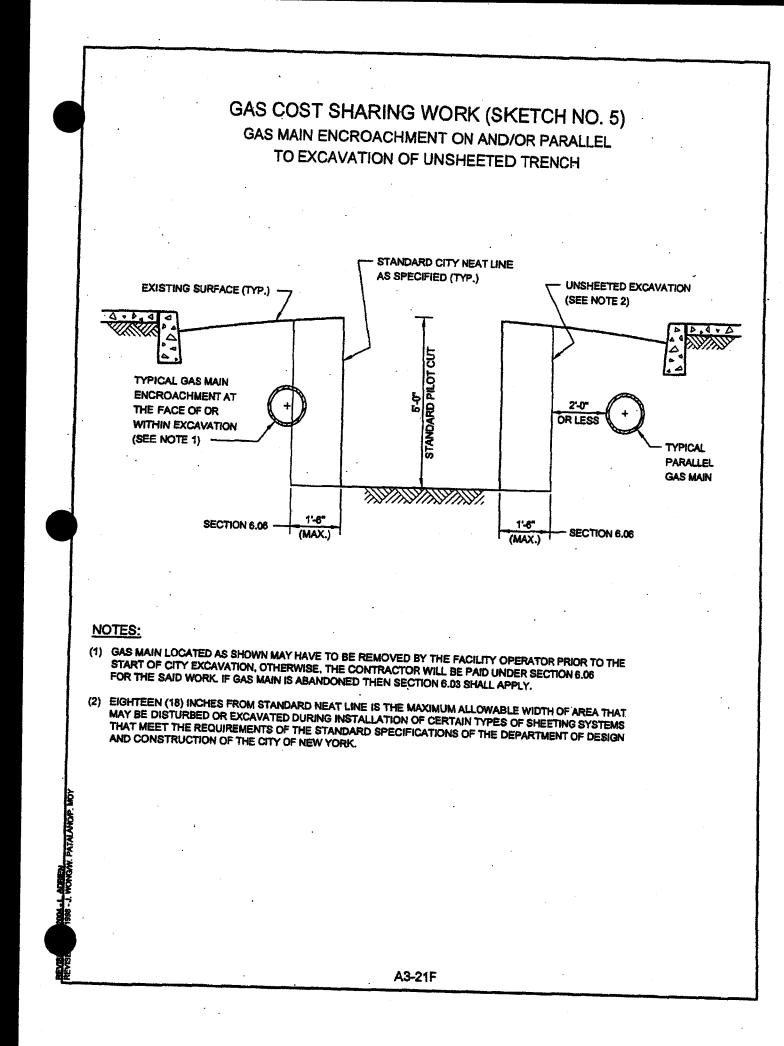






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## <u>V - PRELIMINARY GAS WORK TO BE PERFORMED BY</u> <u>FACILITY OPERATOR.</u>

## APPLICABLE TO ALL GAS DRAWINGS:

- ALL RELOCATION WORK SHOWN IN THIS ADDENDUM IS TO BE PERFORMED BY FACILITY OPERATOR.
- ALL SUPPORT AND PROTECTION WORK TO BE PERFORMED BY CITY CONTRACTOR
- IF ADDITIONAL INFORMATION IS NEEDED REGARDING THE FACILITY OPERATOR'S RELOCATION WORK, THE CONTRACTOR IS ADVISED TO CONTACT THE GAS COMPANY REPRESENTATIVE:

Ms. Theresa Kong Con Edison Company 4 Irving Pl., 17<sup>th</sup> Floor. New York, NY 10003 Tel.: (212) 460-4834

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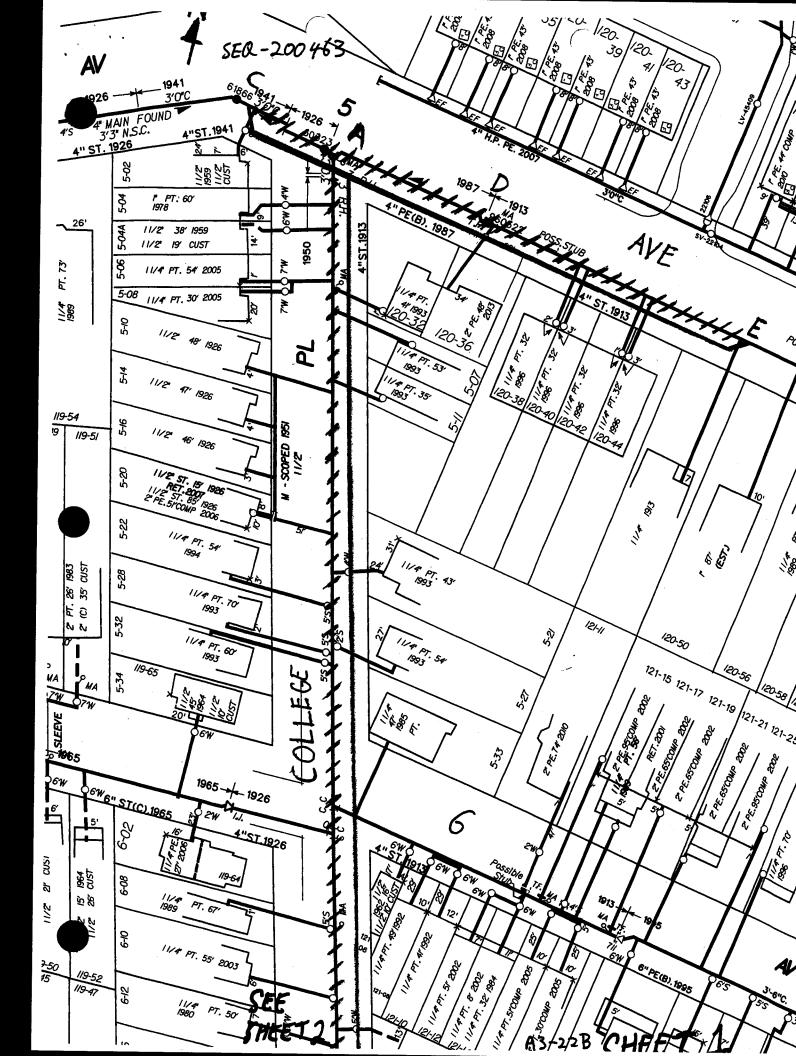
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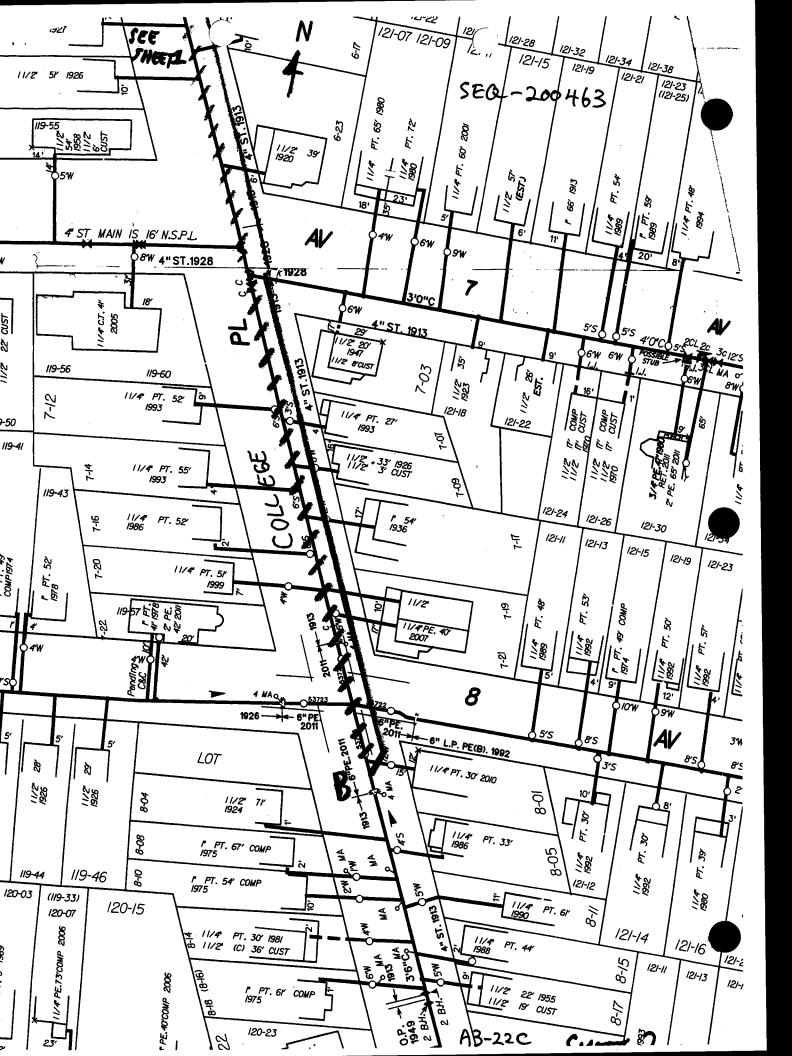
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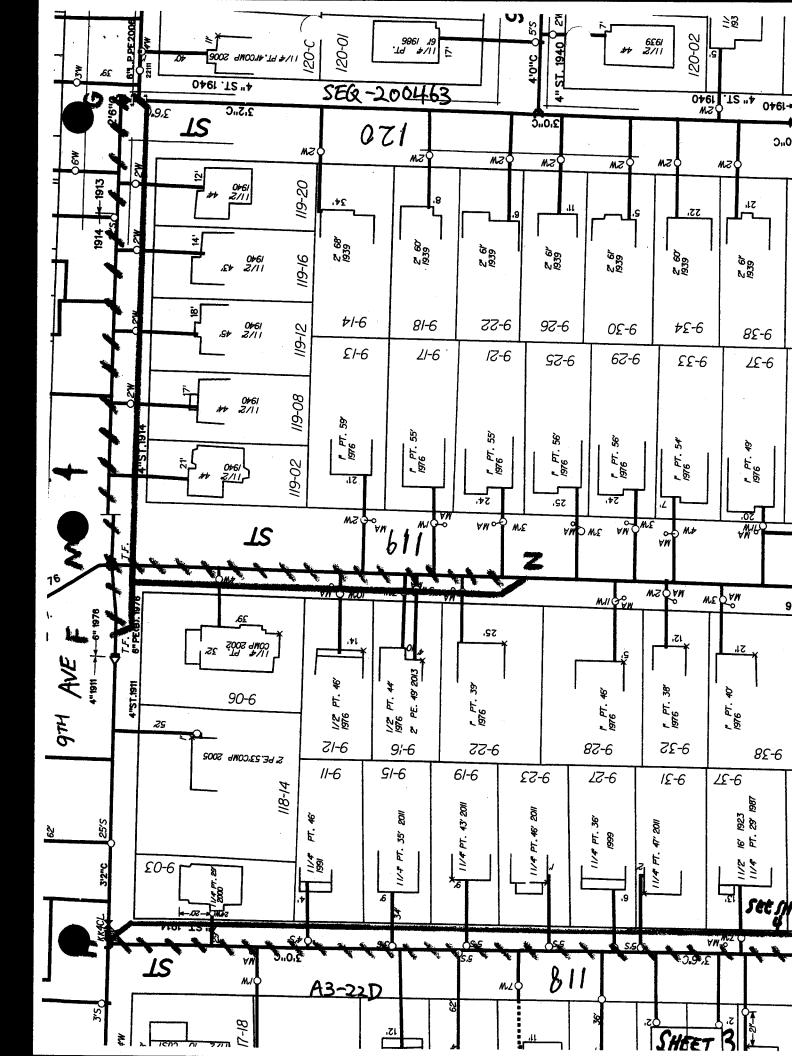
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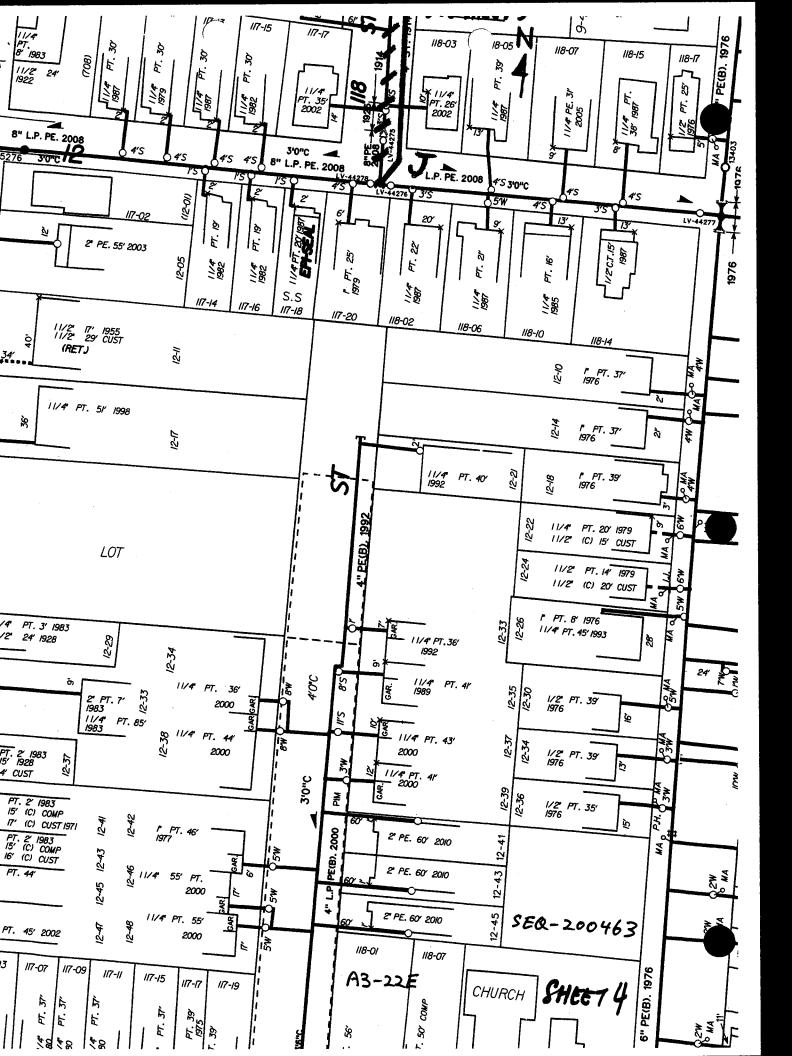
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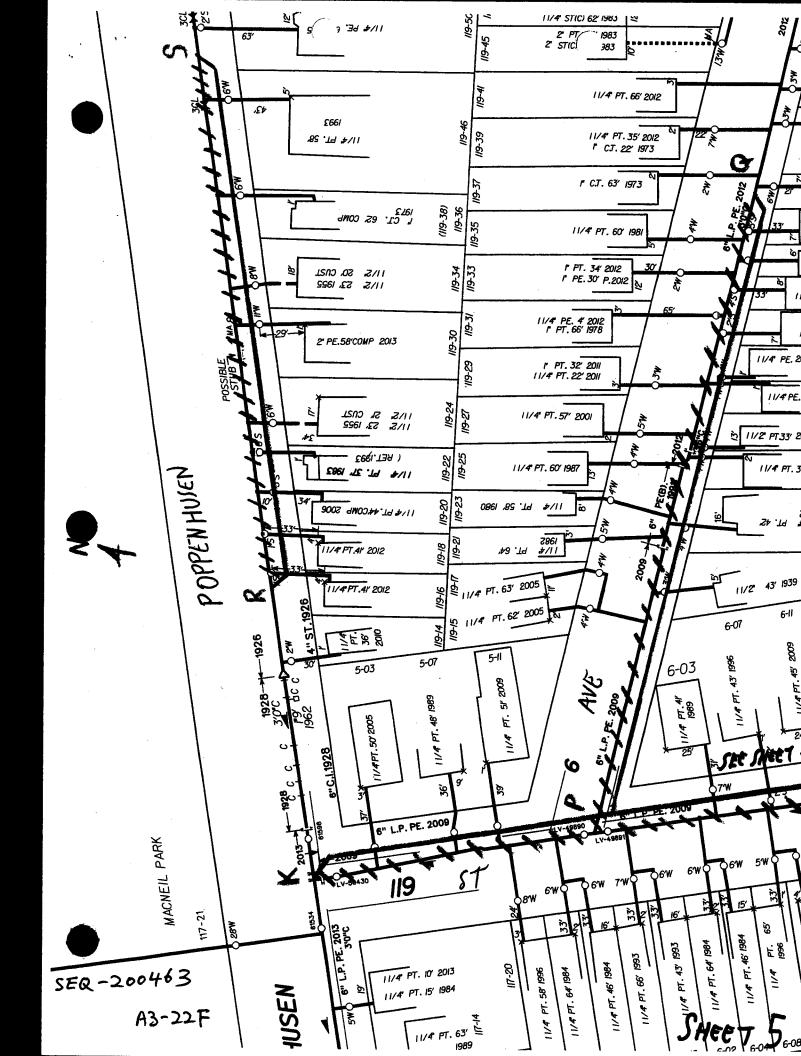
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## VI - LISTING OF APPROXIMATE LOCATIONS OF EP-7 BID ITEMS QUANTITIES

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EP-7 STD. SPECS 03/23/09

### SCOPE OF WORK SUPPORT AND PROTECTION FOR CONTRACT SEQ-200463

The City of New York Department of Design and Construction is proposing to install sewers and/or water mains and all appurtenances in various locations in The City of New York along with all work incidental thereto.

## 6.01.1 - Gas Main Crossing Sewer Up To 24" In Diameter. (Ea.)

in Int @ College Pl & Poppenhusen Ave.
 in Int @ College Pl & 6 Ave.
 in Int @ College Pl & 7 Ave.
 in Int @ College Pl & 8 Ave.
 in Int @ 9 Ave & 120 St.
 in Int @ 9 Ave & 119 St.
 in Int @ 7 Ave & 119 St.
 in Int @ 6 Ave & 119 St.
 in Int @ 9 Rd & 120 St.
 in Int @ 9 Rd & 121 St.

### 6.01.2 - Gas Main Crossing Sewer 30" In Diameter. (Ea.)

2 in Int @ 119 St & 7 Ave.

## 6.01.3 - Gas Main Crossing Sewer 36" Thru 42" In Diameter. (Ea.)

1 in Int @ Poppenhusen Ave & 119 St.

## 6.01.8 - Gas Services Crossing Trenches And/Or Excavations. (Ea.)

180 in Various Locations as Required.

#### 6.01.9 - Gas Main Crossing Water Main Up To 20" In Diameter. (Ea.)

in Int @ Poppenhusen Ave & College Pl.
 in Int @ College Pl & 6 Ave.
 in Int @ College Pl & 7 Ave.
 in Int @ College Pl & 8 Ave.
 in Int @ Poppenhusen Ave & 119 St.
 in F/O # 121-22 5 Ave.
 in Int @ 5 Ave & 121 St.
 in Int @ 9 Ave & 120 St.
 in Int @ 9 Ave & 119 St.

A3-23A

### SCOPE OF WORK SUPPORT AND PROTECTION FOR CONTRACT SEQ-200463

The City of New York Department of Design and Construction is proposing to install sewers and/or water mains and all appurtenances in various locations in The City of New York along with all work incidental thereto.

> 1 in Int @ 9 Ave & 118 St. 2 in Int @ 119 St & 7 Ave. 1 in Int @ 119 St & 6 Ave. 1 in Int @ 120 St & 9 Rd.

6.02 - Extra Excavation For The Installation Of Catch Basin Sewer Drain Pipes With Gas Interferences. (Ea.)

15 in Various Locations as Required.

6.03 - Removal Of Abandoned Gas Facilities. All Sizes. (L.F.)

4000 in Various Locations as Required.

6.03.1A - Removal Of Abandoned Gas Facilities With Possible Coal Tar Wrap. All Sizes.(L.F.) (For ConEdison Work Only)

100 in Various Locations as Required.

6.04 - Adjust Hardware To Grade Using Spacer Rings/Adaptors (Street Repaving). (Ea.)

10 in Various Locations as Required.

6.05 - Adjust Hardware To Grade By Resetting (Road Reconstruction). (Ea.)

10 in Various Locations as Required.

## 6.06 - Special Care Excavation And Backfill. (C.Y.)

1000 in various locations as required, including but not limited to all gas services crossing unsheeted water main trenches.

### 6.07 - Test Pits For Gas Facilities. (C.Y.)

100 in Various Locations as Required.

A3-23B

End of Addendum No.3 This Addendum consists of fort-((40) pages.

#### THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION INFRASTRUCTURE DIVISION BUREAU OF DESIGN

#### PROJECT ID: SEQ-200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8<sup>TH</sup> AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 5<sup>TH</sup> AVENUE BETWEEN 121<sup>ST</sup> STREET AND COLLEGE PLACE;6<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 7<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 8<sup>TH</sup> AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9<sup>TH</sup> AVENUE BETWEEN 118<sup>TH</sup> STREET AND 120<sup>TH</sup> STREET; 118<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 12<sup>TH</sup> AVENUE; 119<sup>TH</sup> STREET BETWEEN 12<sup>TH</sup> AVENUE AND POPPENHUSEN AVENUE; 119<sup>TH</sup> STREET BETWEEN 7<sup>TH</sup> AVENUE AND 9<sup>TH</sup> AVENUE; 120<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 9<sup>TH</sup> ROAD; 9<sup>TH</sup> ROAD BETWEEN 120<sup>TH</sup> STREET AND 121<sup>ST</sup> STREET; 121<sup>ST</sup> STREET BETWEEN 9<sup>TH</sup> ROAD AND 12<sup>TH</sup> AVENUE

INCLUDING WATER MAIN AND STREET LIGHTING WORK

TOGETHER WITH ALL WORK INCIDENTAL THERETO

BOROUGH OF QUEENS CITY OF NEW YORK

ADDENDUM NO.4 (SECTION U VERSION 2.0) DATED: JUNE 22, 2015

- 1. The Contractor shall be responsible for compliance with all the provisions of the following Sections and Schedules, which are hereby made a part of the original contract documents:
  - A. "SECTION U: Additional Contract Requirements Applying to Work Performed in the Presence of Privately Owned Utility Facilities" (Pages A4-3 through A4-13)
  - B. Schedule U-1 (Page A4-14)
  - C. Schedules U-2 listing scope of utility interferences is no longer included in City contract. Such information will be part of Interference Agreement between Utility Operator and Contractor.
  - D. Section U-3 Page A4-15 (as per the Private Utilities reference document for SECTION U called "CET SPECIFICATIONS AND SKETCHES", dated November 2010).
- 2. Each facility operator shall provide inspectors at the work site to inspect methods of interference work, verify quantities and items of Utility Work, and coordinate all phases of the facility operator operations.

- 3. In addition, the following statements are made to provide clarification of various paragraphs under Section U:
  - A. Section U, ¶3, requires the Contractor to immediately commence negotiations with each Company for an Interference Agreement under which the Company will compensate the Contractor for any Interference Work which the Company does not elect to perform with its own forces or by specialty contractors retained by the Company. Thus the Contractor is on notice that its work under the Contract may be affected by Interference Work performed by (a) the Contractor pursuant to a separate Interference Agreement with the Company, (b) the Company, or (c) partly by each.
  - B. The City has no contract with any of the Companies for work on or adjacent to the site of work under this Contract, and the Companies are not "Other Contractors" as defined for the purposes of this Contract. The Contractor is reminded, however, that pursuant to Section U, ¶3, the City will not compensate the Contractor for any direct and/or indirect costs related to Interference Work, regardless of whether such Interference Work is covered by an Interference Agreement between the Contractor and the Company or is performed by the Company using its own forces or by specialty contractors retained by the Company.
  - C. Section U, ¶13, provides that the provisions of Section U are material provisions of the Contract and that the Contractor's failure to comply with the procedures set forth in Section U are sufficient for the Commissioner to declare the Contractor in default pursuant to Article 48 of the Contract.

Pursuant to this Addendum, the Contractor is informed that the Performance Bond required of the Contractor pursuant to the Contract is not deemed to guarantee performance of any of the Interference Work.

# Section U: Additional Contract Requirements Applicable to Work Performed in the Presence of Privately Owned Utility Facilities

The Contractor is hereby notified that pursuant to the law and franchise agreements issued by the City, certain private utility and public service companies named in Schedule U-1 ("the Companies") own and/or operate surface and/or subsurface facilities within the limits of this contract. The existence of these facilities impacts the productivity of the City work called for in the contract. In order to improve coordination of the City construction with the private utility facilities owned and/or operated by the Companies named in Schedule U-1, Article 1.06.30 of the Standard Highway Specifications of the New York City Department of Transportation, Dated November 1, 2010; and/or Articles 10.15 through 10.18 of the Standard Sewer and Water Main Specifications of the New York City Department of Environmental Protection, Dated July 1, 2014; as applicable, are amended and will be implemented as follows:

### 1. Means and methods for City work:

- a) The Contractor is hereby notified that the utility interferences may impact the performance of, and/or interferes with, City work. The contractor will be required to perform such utility work as directed by the Resident Engineer in order to clear all utility interferences from the project site as required for satisfactory completion of City work within specified contract schedule.
- b) In areas serviced by overhead electric system, the contractor understands and by bidding for this contract agrees that he/she will be required to perform the public work in the presence of energized electrical overhead lines and appurtenances located in areas adjacent and/or within the project area. As a consequence he/she will select means and method of construction appropriate to maintain the safety clearances required or as permitted by electric operators in order to avoid damaging the insulation or shielding of these lines and also to prevent knocking them down.

### 2. Field inspection prior to construction:

Prior to the start of any contract work in areas serviced by overhead electric lines, and after the award to the apparent low bidder for this contract, the contractor must request a field walk of the project area along with the operator of the overhead electrical facilities and the DDC Engineer-In-Charge. At that time the facility operator will confirm the type and condition of Section U March 9, 2015 A4-3 the overhead electrical lines and the sufficiency of their insulating properties with respect to the means and methods proposed by the contractor. The contractor must be prepared to describe in enough details his/her proposed means and methods of construction operations in order to anticipate the likelihood that electric lines insulation would be cut or otherwise compromised. Also such details will allow the facility operator to anticipate the need for added insulation and/or shielding of non-insulated lines.

#### 3. Compensation for interference work:

Compensation for Interference Work is a matter of adjustment between the Contractor and each private utility company located within the limits of the project area and whose utility facilities are affected by City contract work. In particular, the City will not compensate the Contractor for any direct and/or indirect costs related to Interference Work, including, but not limited to, lost profit, increased overhead, or any other impact costs. Upon receipt of a Notice of Award from the City, the Contractor shall immediately commence negotiations with each of the Companies concerning the manner in which and the price for which the Contractor, through its own forces or by others hired by it, will perform and be paid by the Company for all necessary Interference Work as defined above, and at known locations of City contract work, that the Company(ies) choose(s) not to perform with its(their) own forces or by specialty Contractors hired by it (them) (as per "Interference Agreement"). (Specialty contractors' work is limited to (i) insulation installation and removal, (ii) live gas and steam work, (iii) cleanup and disposal of hazardous materials, (iv) splicing live electrical and telecommunications facilities, and (v) work not traditionally performed by general construction contractors.

#### 4. Interference Agreement:

- 1. Although the parties may negotiate an Interference Agreement in any format or manner they deem fit, the Contractor is hereby advised that the Companies have indicated to the City that they will agree to compensate the Contractor on a unit price basis for Types of Interferences encountered on this Contract in accordance to the Private Utilities reference document for SECTION U called "CET SPECIFICATIONS AND SKETCHES", dated November 2010, copy of which is available on demand.
- 2. The Contractor shall notify the City upon concluding an Interference Agreement with each of the Companies.

## 5. City contract work to continue without Interference Agreement :

If, prior to the start of construction, as directed by the City's Order to work / Notice To Proceed (OTW/ NTP) date any of the Companies and the Contractor have not concluded an Interference Agreement as described above, then the City shall issue a written "48 Hours notice to Public Corporation" as prescribed by the City of New York Administrative Code", commonly referred to as "Order-Outs" and City construction will proceed as ordered and the Contractor will be directed by the Resident Engineer to perform the City work on Time, Material and Equipment basis (T&M) as specified in standard City contract agreement Article 26.2. T&M records will include identification of types of utility facilities interfering with City work, utility facility owners, specifying the nature and quantity of any materials, plant and equipment furnished or used in connection with the performance of such work and crew size, such as: name and number of each worker employed on such work. T&M records will also indicate the hours of active time, standby time and idle time. The Company (ies) and the Contractor will maintain separate records of the actual quantity and cost of labor, materials, and equipment expended, and will provide copies of this information to the other party on a daily basis for reconciliation. These T&M records along with cost evaluations will be submitted daily to the Resident Engineer for review and approval. The total cost of City work will be based on quantity of work performed multiplied by unit price contract bid items. The total interference cost will be calculated as the difference between the total T&M cost and total cost for City work. The Resident Engineer will conduct a monthly reconciliation session of the daily T&M records with the affected Company (ies) and contractor. If the contractor and affected utility companies cannot reconcile their T&M records, by the last day of each month, then the Resident Engineer will submit the approved City's T&M records along with total cost evaluations to the DDC Director of Construction who will review these records and recommend approval and validity certification by the DDC Deputy Commissioner.

1. Copies of the DDC approved and certified T&M records will then be transmitted by the DDC to the contractor and the utility companies. These certified records may be used by the contractor for compensation claims against the responsible private facility owners, or may be used by any party as supporting documentation in dispute regarding compensation for performing Interference Work. The contractor will be required to perform City work while invoices are submitted by the contractor to the Utility

Section U March 9, 2015

companies for payment within 30 days, or while compensation disputes between contractor and affected company (ies) are submitted to Binding Arbitration process described in Paragraph 9.

- 2. All issues related to utility work and/ or delays due to compensation disputes or claims against utility companies are not allowable as justification for granting contract time extensions or delay claims against the City. The City may assess liquidated damages specified in the contract for net overall delays suffered by City contract work as a result of utility issues, disputes and claims.
- 3. The standard City contract dispute resolution process specified in Article 27 "Presentation of disputes to Commissioner", of the standard City contract agreement is not applicable to any disputes related to utility work and/ or compensation for such work or claim against utility companies. Utility work issues, disputes and claims may only be submitted to Binding Arbitration process described in Paragraph 9.
- 4. The contractor will notify the Resident Engineer when utility work that require the intervention of company utility specialty crews causes excessive contractor's labor and equipment standby or idleness and, thereby jeopardizing the City project schedule. The Resident Engineer will submit the facts to the DDC Director of construction who will recommend to the Deputy Commissioner regarding the issuance of a "48 hours notice" to the concerned utility company as authorized by the New York City administrative Code Section 19-143 and/ or Section 24-521 as applicable.
- 5. Utility delays caused by utility and/ or by unavailability of utility specialty crews cannot be discounted for earning any contractual bonus when such bonus clause is included in a contract. However, if such specified bonus is not earned or disallowed by the City or if the City assesses specified liquidated damages as a result of such excessive delays, the contractor may seek damages from the responsible utility company (ies).

#### 6. Extra utility work with Utility Agreement:

If during construction the Contractor encounters utility facilities interferences or utility scope of work that it believes is not covered by the Interference Agreement as described above, then the Contractor shall immediately notify the Company in writing, with a copy to the City,

describing the nature and location of the extra work in question. The Company then has five (5) business days to investigate the conditions and then:

- 1. Advise the Contractor and the City in writing that no interference with its facilities exists at the location in question, and hence that the Contractor may proceed with City work without providing for any impact from Company facilities;
- 2. Advise the Contractor and the City in writing that the Interference Agreement negotiated pursuant to Paragraph 4, provides for the scope of work encountered.
- 3. Advise the Contractor and the City in writing that it intends to perform the necessary utility Work with company forces or with its own contractor including, but not limited to, relocating its facility out of the way of the proposed City work. In this case, the Company shall provide a written schedule for the performance of the utility work it proposes to perform, which shall be subject to approval by the City based on its impact to the Contractor's currently approved progress schedule. Upon approval of the Company's schedule by the City, the Contractor shall provide access to the worksite to the Company and/or any contractors hired by it to perform this utility work. If necessary, the City may grant a contract time extension for delays caused by the performance of such utility work by the company.
- 4. Reasonably specify in writing the scope of work to be performed by the Contractor on behalf of the Company that is not covered under the Interference Agreement negotiated pursuant to Paragraph 5, including, but not limited to, relocating, supporting, and/or protecting the Company's facilities, and/or shifting the City facility if approved by the Resident Engineer, and/or otherwise changing its operations to work in the presence of the Company's facilities. Should the Company elect this option, it must adequately define and provide an initial price offer for the work required to be performed.

### 7. Means and Methods for utility work:

Upon receipt of the Company's determination pursuant to paragraphs 6.2, or 6.4, above, the Contractor shall determine reasonable means and methods of performing the work defined by the Company. These means and methods are subject to approval of the Company, which shall not be unreasonably withheld. If, however, the Company objects to the Contractor's proposed

Section U March 9, 2015

means and methods then it shall define an alternate method of construction. Upon receipt of the Company's approval or its proposed alternate method of construction, the Contractor shall commence performance of the work defined by the Company as soon as possible, and shall perform the work in a good, workmanlike, and efficient manner, using the means and methods approved by the Company, in order to permit the City work to proceed in the most expeditious manner possible, but without imposing unreasonable and/or unnecessary costs on the Company. It is expressly understood by all parties that the City's rights pursuant to Article 4 of the Contract apply to Utility Work performed pursuant to this section.

#### 8. Disputed utility work covered by a utility agreement:

The City Work will continue as described in paragraph 5 above. In the event of any dispute between the Company (ies) and the Contractor regarding any issue related to the performance of, or payment for, utility work, including, but not limited to, any indirect or impact costs incurred by the Contractor due to the Utility Work and/or to the existence of facilities owned or operated by the Company (ies) on the line of the work. The Company (ies) and the Contractor hereby agree to submit to each other a "Final Offer," in writing, by certified mail. Each party shall then have three business days to consider each other's Final Offer. In the event that neither party accepts the other's Final Offer within those three days, the Company (ies) and the Contractor agree to immediately submit the dispute to binding arbitration as described in Paragraph 9. During the pendency of any arbitration, the Company (ies) and the Contractor shall maintain separate records of the actual quantity and cost of labor, materials, and equipment expended, and to provide copies of this information to the other party on a daily basis for reconciliation. Any and all disagreement with the records maintained and provided by the other, must be documented in writing to all parties. However, these records are solely for the benefit of presentation to the arbitrator, whose decision may not necessarily be based on these records and in any event is final. Both parties should be aware that the City will not confirm or deny the accuracy of any records maintained by either party for Utility work performed pursuant to a Utility Agreement. While the arbitration is pending, the Company shall pay the Contractor on a monthly basis, based on the price offered by the Company to the Contractor for the performance of the work.

#### 9. Arbitration of utility work:

Section U March 9, 2015 The arbitration of the issues described above shall be conducted pursuant to the Construction Industry Arbitration Rules of the American Arbitration Association (hereinafter "the Rules" and "AAA") in effect on the date the arbitration is initiated except as set forth herein. The arbitration award shall be final and binding upon the parties to the arbitration and judgment upon the award may be entered in a court having jurisdiction.

- (a) Once an arbitrator(s) has been appointed by the AAA, the arbitration shall be scheduled as promptly as possible given the arbitrator(s) and the parties' schedules.
- (b) No later than seven days prior to the first arbitration hearing, Company and Contractor shall submit to the arbitrator(s), and to each other, a summary of each party's respective position and such other information as is deemed appropriate, along with a copy of each party's Final Offer as specified in paragraph 8.
- (c) The arbitration shall be conducted and concluded in two days.
- (d) On the morning of the first day of the arbitration, Contractor and/or representatives shall have 3 ½ hrs to make a presentation of its claim to the arbitrator. During its presentation, Contractor shall not be permitted to produce any documents or cost records which have not already been provided to the Company. Contractor shall be permitted to produce any analysis or description of its claim which has been prepared for the purpose of its presentation.
- (e) After lunch, Company and/or its representatives shall have two hours to ask Contractor questions about its claim and its presentation. Thereafter the arbitrator(s) shall have two hours to ask Contractor questions about its claim and its presentation.
- (f) On the morning of the second day of the arbitration, Company and/or its representatives shall have 3 ½ hours to make a presentation of its claim to the arbitrator. During its presentation, the Company shall not be permitted to produce any documents or cost records which have not already been provided to the Contractor. The Company shall be permitted to produce any analysis or description of its claim which has been prepared for the purpose of its presentation.
- (g) After lunch, Contractor and/or its representatives shall have two hours to ask Company questions about its claim and its presentation. Thereafter the arbitrator(s) shall have two hours to ask Company questions about its claim and its presentation.
- (h) Subject to the above time limitations, the arbitrator(s) may conduct the arbitration in such manner as the arbitrator(s) deems reasonable.

- (i) The arbitrator(s) shall then have one week to select in writing, as the arbitrator ('s) award, that party's Final Offer which appears to be more reasonable, based on the presentations at the arbitration hearings.
- The arbitrator shall have no discretion to grant an award other than one of the two Final (j) Offers submitted by the parties.
- Any award for work that has already been performed shall be paid on the 7<sup>th</sup> day after (k) receipt of the arbitrator's decision, or on the 30<sup>th</sup> day after completion of the work, whichever is later. Payment for work not yet completed at the time of the arbitrator's decision shall be paid within 30 days of completion of work. Interest shall accrue from the date payment is due at the rate of 9% per annum. Either party may cause judgment to be entered in accordance with the arbitrator(s) decision in a court in the State of New York, County of New York.
- The arbitrator's fees and any other costs of the arbitration shall be initially shared equally **(1)** by Company and Contractor. The non-prevailing party shall then pay all arbitrator's fees and costs of the arbitration and shall reimburse the prevailing party for its share of such fees and costs theretofore paid.
- The parties may, at any time, settle any matter submitted to arbitration. (m)

#### 10. Order-out waiver:

The Contractor and all subcontractors hired by it, if an Interference Agreement is executed as specified between the concerned parties, agree to waive any rights they may have, if any, under law, contract or otherwise to compel the City to assert any right the City may have, including the issuance of any directives required under the New York City Administrative Code, Section 19-143 and Section 24-521, to require any or all of the Companies to maintain, repair, replace, protect, support, shift, alter, relocate, and/or remove utility facilities in connection with the work to be performed under this contract. However, nothing in this section shall preclude the City from exercising its rights under the Law to issue such a directive to the Company.

#### 11. Cost of insurance:

Each of the named Companies, at their option and if an Interference Agreement is executed as specified between the concerned parties, may be named as an additional insured on all insurance policies required to be maintained under this contract. In the event that a Company opts to be so named as an additional insured, the actual incremental cost, if any, to the Section U March 9, 2015

Contractor of providing such insurance coverage shall be borne by that Company. The Contractor shall provide a written statement from its insurance provider documenting the actual cost of this added coverage to the Company. Under no circumstances shall the cost of insurance coverage on behalf of any Company be borne by the City. Nothing in this paragraph shall be interpreted to imply the City's acceptance of any additional responsibility or liability for any matter related to the performance of Utility Work. In particular, the Company and the Contractor bear joint and full responsibility to ensure that any Utility Work performed by the Contractor is in compliance with all applicable government and Company regulations.

### 12. Cost of utility interference work:

The Companies, by virtue of a prior agreement with the City, have agreed to perform their obligations described in this section. It is expressly understood that the cost of Utility Work or any delays cost cause by such utility work shall not be a charge against the City, but shall be a matter for adjustment between the Contractor and the Company or Companies concerned. The City and the Contractor agree that the Companies are third party beneficiaries of this section of the contract, if a Utility Agreement is executed between the contractor and utility company (ies). The provisions of this section shall govern in all cases where Company property interferes with or is about to be disturbed by the City work, notwithstanding any other provision of the Contract, except for Natural Gas transmission/distribution facilities covered subject to the Gas Facility Cost Allocation Act (GFCAA) and covered separately in this contract.

### 13. Default declaration:

The Contractor agrees that the provisions of this section are material provisions of the contract, and that the Contractor's failure to comply with the procedures set forth above are sufficient for the Commissioner to declare the Contractor in default pursuant to Article 48 of the Contract.

### 14. NYS Labor Law:

The Contractor is hereby advised that New York State Labor Law applies to public work. The work described in this Section U of the contract performed by utility company (ies) with their own forces or vendors hired by such company (ies) is not public work.

Section U March 9, 2015

### 15. Facility operators:

The insurance requirements in Paragraph 11 of this Section U apply to: (i) additional Companies, if any, who were not named in Schedule "A" but which have executed a Utility Agreement with the contractor for utility work; and (ii) additional coverage, if any, paid for by Utility Companies whose utility facilities are located within the project limits, that they may require for the utility work pursuant to an utility agreement between the contractor and such utility companies.

[End]

### "STANDARD UTILITY LETTER OF AGREEMENT"

(Name) Deputy Commissioner, Infrastructure Division Department of Design and Construction 30-30 Thomson Avenue Long Island City, NY 11101

> RE: <u>City Work Performed in the Presence of Private Utility Facilities</u> Project No: \_\_\_\_\_

Dear (Name):

This letter is to certify that \_\_\_\_\_\_, has requested the inclusion of the attached "Section U: Additional contract requirements applying to work performed in the presence of privately owned utility." The company agrees to abide by the terms of this Section U at the company's own expenses due to their facilities interferences with the Public work.

Sincerely,

By: Authorized Company Representative

Title

<u>NOTARY PUBLIC</u>

CERTIFIED AS TO FORM AND LEGAL AUTHORITY:

By:\_\_\_\_\_

#### **SCHEDULE U-1**

#### SEQ-200463

#### INCLUDING WATER MAIN AND STREET LIGHTING WORK

### SCHEDULE U-1 LISTING OF COMPANIES NAMED FOR THIS CONTRACT

COMPANY NAME	CONTACT NAME	<b>CONTACT TELEPHONE</b>
CON EDISON	THERESA KONG	212-460-4834
VERIZON	AUBREY MAKHANLALL	718-977-8165
TIME WARNER	JOHN PIAZZA	718-888-4261

# **SECTION U-3**

# (NO TEXT IN THIS SECTION)

# END OF ADDENDUM No.4 This Addendum consists of Sixteen (16) pages

#### ATTACH TO CONTRACT DOCUMENTS

#### THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION INFRASTRUCTURE DIVISION BUREAU OF DESIGN

#### PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 5<sup>TH</sup> AVENUE BETWEEN 121<sup>ST</sup> STREET AND COLLEGE PLACE; 6<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 7<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 8<sup>TH</sup> AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9<sup>TH</sup> AVENUE BETWEEN 118<sup>TH</sup> STREET AND 120<sup>TH</sup> STREET; 118<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 12<sup>TH</sup> AVENUE; 119<sup>TH</sup> STREET BETWEEN 12<sup>TH</sup> AVENUE AND POPPENHUSEN AVENUE; 119<sup>TH</sup> STREET BETWEEN 7<sup>TH</sup> AVENUE AND 9<sup>TH</sup> AVENUE; 120<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 9<sup>TH</sup> ROAD; 9<sup>TH</sup> ROAD BETWEEN 120<sup>TH</sup> STREET AND 121<sup>ST</sup> STREET; 121<sup>ST</sup> STREET BETWEEN 9<sup>TH</sup> ROAD AND 12<sup>TH</sup> AVENUE

**INCLUDING WATER MAIN AND STREET LIGHTING WORK** 

Together With All Work Incidental Thereto BOROUGH OF QUEENS

ADDENDUM NO. 5

DATED: June 22, 2015

This Addendum is issued for the purpose of amending the requirements of the Contract Documents and is hereby made part of said Contract Documents to the same extent as if it was originally included therein.

### SPECIFICATIONS FOR HANDLING, TRANSPORTATION AND DISPOSAL OF NONHAZARDOUS AND POTENTIALLY HAZARDOUS CONTAMINATED MATERIALS

### (NO TEXT ON THIS PAGE)

### SPECIFICATIONS FOR HANDLING, TRANSPORTATION AND DISPOSAL OF NON-HAZARDOUS AND POTENTIALLY HAZARDOUS CONTAMINATED MATERIALS

### **Reconstruction of Storm Sewer in College Place**

**Borough of Queens** 

DDC Project No. SEQ200463

**Prepared By:** 



30-30 Thomson Avenue, 3<sup>rd</sup> Floor Long Island City, New York 11101

Date: 6/4/2015

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### Attachments

- 1. New York City Department of Environmental Protection Limitations for Effluent to Storm-Sanitary or Combined Sewers Parameters
- 2. Applicable Regulations
- 3. Definitions
- 4. Phase II Subsurface Corridor Investigation Report

### ITEM 8.01 C1 HANDLING, TRANSPORTING AND DISPOSAL OF NON-HAZARDOUS CONTAMINATED SOILS

### 8.01 C1.1 WORK TO INCLUDE

<u>General</u>: This work shall consist of the handling, transportation and disposal of non-hazardous contaminated soils. The materials covered by this specification are soils that are contaminated with petroleum or chemical products but cannot be classified as hazardous waste. For the purpose of this specification, soil shall be defined as any material excavated below the pavement and base for pavement.

Non-hazardous contaminated soils are defined as soils exhibiting one or more of the following characteristics:

- Elevated Photo-Ionization Detector (PID) readings, subsequently confirmed by lab analysis
- Visual evidence of contamination
- Petroleum and/or chemical odors
- Soils that have been documented as contaminated in previous environmental reports

Non-hazardous contaminated soils must be stockpiled at an off-site approved location or secured onsite by the Contractor, meeting all required Federal, State and Local stipulations. Sampling and laboratory analysis must be conducted to determine if the soils are hazardous, unless the alternative procedure as defined under subsection 8.01 C1.1 A.5 has been agreed upon by treatment facilities. Contaminated soils determined to be non-hazardous shall be handled in accordance with the specifications herein for Item 8.01 C1. Contaminated soils determined to be hazardous shall be handled in accordance with the specifications for Item 8.01 H – Handling, Transporting and Disposal of Hazardous Soils.

The Contractor shall retain the services of an independent Environmental Consultant, as specified under Item 8.01 S – Health and Safety, to oversee the work required under this Item.

Non-hazardous soils shall be delivered to the disposal or treatment facility within thirty (30) calendar days after excavation.

The Contractor shall conduct sampling and analysis of the impacted soils as specified under Item 8.01 C2 – Sampling and Testing of Contaminated/Potentially Hazardous Soils for Disposal Parameters. The laboratory results shall be forwarded to DDC Program Administration, Engineering Support Services (ESS) for review to determine if the soils will be handled and disposed of as contaminated regulated soils or hazardous waste. No other soils shall be sampled or tested without the DDC's approval or direction.

The Contractor shall ensure that all operations associated with the handling, sampling, loading, transportation, and disposal of non-hazardous contaminated soils are in compliance with all applicable Federal, State, and City statutes and regulations.

The Contractor shall document the excavation, handling, transportation and disposal of nonhazardous contaminated soils. The Contractor shall supply all equipment, material and labor required to conduct the specified work of this Item.

- A. <u>Material Handling Plan</u>: Within forty-five (45) calendar days after award of Contract, the Contractor shall submit to the Program Administration, ESS for review, a Material Handling Plan (MHP). The MHP must be approved by the Program Administration, ESS, prior to the Contractor's commencement of work. The MHP shall, at a minimum, consist of:
  - 1. The Contractor's procedures for identifying non-hazardous contaminated soils during excavation, including the specific model and manufacturer of intended organic vapor

monitoring equipment and calibration procedures to be used. It should also include the training and experience of the personnel who will operate the equipment.

- 2. The Contractor's procedures for safely handling non-hazardous contaminated soils. The procedures must include personnel safety and health as well as environmental protection considerations.
- 3. Name, address, New York State Department of Health's (DOH) Environmental Laboratories Accreditation Program (ELAP) status and telephone number of the proposed laboratory for analysis of representative soil samples. The ELAP for the intended analysis must approve the laboratory.
- 4. Identification of the Contractor's proposed waste transporter(s). This information shall include:
  - a. Name and Waste Transporter Permit Number
  - b. Address
  - c. Name of responsible contact for the hauler
  - d. Telephone number for the contact
  - e. Any and all necessary permit authorizations for each type of waste transported
  - f. Previous experience in performing the type of work specified herein
- 5. All staging/stockpiling areas (if stockpiling areas are intended and available), or alternate procedures that will be used. Alternate procedures may include, but are not limited to, agreements from the intended disposal or treatment facilities to accept boring data and/or analytical data previously obtained during the site characterization so that materials may be directly loaded into vehicles for shipment to the disposal facility.
- 6. A backup facility should the staging/stockpile areas become unavailable, insufficient in area or not be present by some other unforeseen difficulty.
- 7. Identification of the Contractor's two proposed Treatment Storage or Disposal (TSD) facilities for non-hazardous contaminated soils (primary and back-up) for final disposal of the soils. The primary TSD shall be an approved soil recycling/treatment facility. The backup facility may be a recycling/treatment facility or a New York State Department of Environmental Conservation (DEC) approved lined landfill or other facility approved by DEC to accept this material. The information required for each facility shall include:
  - a. Facility name and the State identification number
    - (1) Facility location
    - (2) Name of responsible contact for the facility
    - (3) Telephone number for contact
    - (4) Signed letter of agreement to accept waste as specified in this contract
    - (5) Unit of measure utilized at facility for costing purposes
  - b. A listing of all permits, licenses, letters of approval, and other authorizations to operate, which are currently held and valid for the proposed facility.
  - c. A listing of all permits, licenses, letters of approval, and other authorizations to operate which have been applied for by the proposed facility but not yet granted or issued.

- d. The Contractor shall specify and describe the disposal/containment unit(s) that the proposed facility will use to manage the waste. The Contractor shall identify the capacity available in the units and the capacity reserved for the subject waste.
- e. The Contractor shall provide the date of the proposed facility's last compliance inspection.
- f. A list of all active (unresolved) compliance orders (or agreements), enforcement notices, or notices of violations issued to the proposed facility shall be provided. The source and nature of the cause of violation shall be stated, if known.
- 8. Description of all sampling and field/laboratory analyses that will be needed to obtain disposal facility approval.

### 8.01 C1.2 MATERIALS

- A. Containers shall be as required in the United State Department of Transportation (DOT) regulations.
- B. Polyethylene to be placed under (20 mil. thickness minimum) and over (10 mil. thickness minimum) soil piles.
- C. The Contractor shall assure that the waste hauler's appropriate choice of vehicles and operating practices shall prevent spillage or leakage of contaminated material from occurring en route.
- D. The Contractor shall provide, install and maintain any temporary loading facilities on site as required until completion of material handling activities. The location and design of any facilities shall be included in the MHP and be approved by the Program Administration, ESS.

### 8.01 C1.3 CONSTRUCTION DETAILS

- A. Material Handling
  - 1. Immediately after excavation of non-hazardous contaminated soil the Contractor shall:
    - a. Load material directly onto trucks/tankers/roll offs for disposal off site; or
    - b. If interim stockpiling is required, place on a minimum of 20 mil. or equivalent plastic ground cloth and cover by minimum of 10 mil. polyethylene sheeting or equivalent to protect against leaching or runoff of contaminants into groundwater or stormwater. Weight or secure the sheeting by appropriate means and seal seams as approved by the DDC to prevent tearing or removal by weather. Grade surrounding surface to provide for positive drainage away from pile. Stockpile shall not exceed 100 cubic yards.
  - 2. Institute appropriate procedures and security measures to ensure the protection of site personnel and the public from contaminated materials as described in the approved MHP and Item 8.01 S Health and Safety.
  - 3. Any soil encountered that appears to contain unknown contaminants (based on visual, odor, or other observation), or that vary substantially from the material originally identified must be segregated in stockpiles and the independent Environmental Consultant promptly notified. Construct stockpiles to the same requirements as stated in subsection A.1.b above.

- 4. Provide any dewatering that is necessary to complete the work. Contaminated water shall be disposed of in accordance with Item 8.01 W1 Removal, Treatment and Discharge/Disposal of Contaminated Water.
- 5. Provide and operate field organic vapor test equipment, a PID or a flame ionization detector (FID), to detect general organic vapor levels at intervals of approximately fifty (50) cubic yards of soil excavated, when visual or odor observations indicate the material may substantially differ from the soil previously excavated and/or as directed by the independent Environmental Consultant.

#### B. Off-Site Transportation to Disposal or Treatment Facility

- 1. General
  - a. The Contractor shall furnish all labor, equipment, supplies and incidental costs required to transport contaminated material from the work area to the off-site disposal or treatment facility, and any other items and services required for transporting contaminated material for disposal at an off-site facility.
  - b. The Contractor shall submit the name and location of the facility where an off-site scale is located. The Contractor shall also submit a plan to the DDC for review outlining procedures on controlling trucks leaving the work site and en-route to the off-site scale. The Contractor shall be responsible for tracking all material/vehicles from the site to the off-site scale.
  - c. The Contractor shall provide to the DDC certified tare and gross weight slips for each load received at the accepted facility which shall be attached to each returned manifest.
  - d. The Contractor shall coordinate the schedule for truck arrival and material deliveries at the job site to meet the approved project schedule.
  - e. The Contractor shall inspect all vehicles leaving the project site to ensure that contaminated soils adhering to the wheels or undercarriage are removed prior to the vehicle leaving the site.
  - f. The Contractor shall obtain letters of commitment from the waste haulers and the treatment, disposal or recovery facility to haul and accept shipments. The letter shall indicate agreement to handle and accept the specified estimated quantities and types of material during the time period specified in the project schedule and any time extension as deemed necessary.
  - g. The Program Administration, ESS shall review and approve waste profiles before transportation to the TSD facility.
- 2. Hauling
  - a. The Contractor shall coordinate manifesting, placarding of shipments, and vehicle decontamination. All quantities shall also be measured and recorded upon arrival at the disposal or treatment facility. If any deviation between the two records occurs, the matter is to be reported immediately to the DDC and to be resolved by the Contractor to the satisfaction of the DDC.
  - b. The Contractor shall be held responsible, at its own cost for any and all actions necessary to remedy situations involving material spilled in transit or mud and dust tracked off-site.

- c. The Contractor shall ensure that trucks are protected against contamination by properly covering and lining them with compatible material (such as polyethylene) or by decontaminating them prior to and between acceptances of loads.
- d. The Contractor shall be responsible for inspecting the access routes for road conditions, overhead clearance, and weight restrictions.
- e. The Contractor shall only use the transporter(s) identified in the MHP for the performance of work. Any use of substitute or additional transporters must have previous written approval from the Program Administration, ESS at no additional cost to the City.
- f. The Contractor shall develop, document, and implement a policy for accident prevention.
- g. The Contractor shall not combine contaminated materials from other projects with material from this project.
- h. No material shall be transported until approved by the DDC.
- 3. Off-Site Disposal
  - a. The Contractor shall use only the facility(ies) identified in the MPH for the performance of the work. Substitutions or additions shall not be permitted without prior written approval from the Program Administration, ESS, and if approved shall be at no extra cost to the City.
  - b. The Contractor shall be responsible for acceptance of the materials at an approved facility, for ensuring that the facility is properly permitted to accept the stated materials, and that the facility provides the stated treatment and/or disposal services.
  - c. The DDC reserves the right to contact and visit the disposal or treatment facility and regulatory agencies to verify the agreement to accept the stated materials and to verify any other information provided.
  - d. In the event that the identified and approved facility ceases to accept the stated materials or the facility ceases operations, it is the Contractor's responsibility to locate an alternate approved and permitted facility(ies) for accepting materials. The alternate facility(ies) must be approved in writing by the DDC in the same manner and with the same requirements as for the original facility(ies). This shall be done at no extra cost or delay to the City.
  - e. The Contractor shall obtain manifest forms, and complete the shipment manifest records required by the appropriate regulatory agencies for verifying the material and quantity of each load in unit of volume and weight. Copies of each manifest shall be submitted to the DDC within four (4) business days following shipment, and within three (3) business days after notification of receipt of the facility. Any manifest discrepancies shall be reported immediately to the DDC and be resolved by the Contractor to the satisfaction of the DDC.
- 4. Equipment and Vehicle Decontamination
  - a. The Contractor shall design and construct a portable decontamination station to be used to decontaminate equipment and vehicles exiting from the exclusion zone. The cost for this work will be paid under Item 8.01 S Health and Safety.

b. Water generated during the decontamination process shall be disposed of in accordance with Item 8.01 W1 – Removal, Treatment and Discharge/Disposal of Contaminated Water.

#### 8.01 C1.4 METHOD OF MEASUREMENT

Quantities for non-hazardous contaminated soils shall be measured in tons. The tonnage will be determined by off-site truck scales, as per Subsection 8.01 C1.3.B1, that are capable of generating load tickets.

#### 8.01 C1.5 PRICE TO COVER

- A. The unit bid price bid per ton for Item 8.01 C1 shall include the cost of furnishing all labor, materials, equipment, plan, and insurance for excavation, handling, transportation, disposal, documentation, fees, permits, loading, stockpiling, hauling, and any other incidentals necessary to complete all the work as specified herein for handling, transporting, and disposal of non-hazardous contaminated soil.
- B. Final disposal of hazardous soil shall be paid for under Item 8.01 H Handling, Transporting and Disposal of Hazardous Soils. Disposal of decontamination water shall be paid for under Item 8.01 W1 – Removal, Treatment and Discharge/Disposal of Contaminated Water.
- C. Backfill will be paid for under its respective item as specified in the contract document.
- D. The independent Environmental Consultant shall be paid under Item 8.01 S Health and Safety.

Payment will be made under:

ITEM NUMBER	ITEM	PAYMENT UNIT
8.01 C1	Handling, Transporting, and Disposal of Non-Hazardous Contaminated Soil	Tons

### ITEM 8.01 C2 SAMPLING AND TESTING OF CONTAMINATED/ POTENTIALLY HAZARDOUS SOIL FOR DISPOSAL PARAMETERS

### 8.01 C2.1 WORK TO INCLUDE

A. <u>Description</u>

The work shall consist of collecting and analyzing representative soil samples for parameters typically requested by the disposal facilities.

- B. <u>Sampling and Laboratory Analysis</u>
  - 1. At least thirty (30) days prior to the commencement of work, the Contractor's independent Environmental Consultant must submit a Soil Sampling Plan/Field Sampling Plan (SSP/FSP) to the Program Administration, Engineering Support Services (ESS) for review and approval. The plan shall include the name, address, DOH's ELAP status, and telephone numbers of the proposed laboratory. The plan shall also include training and experience of the personnel who will collect the samples.
  - Contractor shall sample and analyze representative samples of the 2. The contaminated/potentially hazardous soils. For stockpiled soils, the Contractor shall collect and analyze one (1) composite sample per 500 cubic yards or fraction thereof. Each composite sample shall consist of a minimum of five (5) grab samples collected from greater than two (2) feet below the soil surface. For drummed soil, the Contractor shall collect one (1) composite sample per (ten) 10 drums or fraction thereof. Each composite sample shall consist of a grab sample from each of the ten (10) drums or fraction thereof. Each composite sample shall be analyzed for Resource Conservation and Recovery Act (RCRA) hazardous waste characteristics (Ignitability, Reactivity, Corrosivity), Full Toxicity Characteristic Leaching Procedure (TCLP) (including RCRA metals, volatile Organic Compounds (VOCs), Semi-Volatile Organic Compounds (SVOCs), pesticides, herbicides), Total Petroleum Hydrocarbons (TPH) and Polychlorinated Biphenyls (PCBs). All samples collected should be analyzed on a five (5) calendar days turn around time and analytical results must be submitted to Program Administration, ESS upon receipt of the analytical results.
  - 3. All sampling shall be conducted by a person trained in sampling protocols using standard accepted practices for obtaining representative samples.
  - 4. The Contractor must also contact the disposal facility where the waste will be sent for permanent disposal, and arrange to collect any additional samples required by the facility. The cost associated with additional sampling and testing shall be included in the bid price of this Item.
  - 5. The quality of the data from the sampling program is the Contractor's responsibility. The Contractor must furnish all qualified personnel, equipment and instruments necessary to carry out the sampling. Unless directed otherwise, all sampling procedures must follow the DEC sampling guidelines and protocols.
  - 6. All sample containers shall be marked and identified with legible sample labels which shall indicate the project name, sample location and/or container, the sample number, the date and time of sampling, preservatives utilized and other information that may be useful in determining the character of the sample. Chain-of-custody shall be tracked from laboratory issuance of sample containers through laboratory receipt of the samples.

- The Contractor shall maintain a bound sample logbook. The Contractor shall provide 7. DDC access to it at all times and shall turn it over to the DDC in good condition at the completion of the work. The following information, as a minimum shall be recorded to the log:
  - 1. Sample identification number
  - 2. Sample location
  - Field observation 3.
  - 4. Sample type
  - Analyses 5.
  - Date/time of collection 6.
  - Collector's name 7.
  - 8. Sample procedures and equipment utilized
  - Date sent to laboratory and name of laboratory 9.
- The City reserves the right to direct the Contractor to conduct alternative sampling in 8. lieu of the parameters described in subsection B2, if the situation warrants. The substitute sampling parameters shall be of equal or lesser monetary value than those described in subsection B2, as determined by industry laboratory pricing standards.
- Only dedicated sampling equipment may be used to collect these samples. 9. All equipment involved in field sampling must be decontaminated before being brought to the sampling location, and must be properly disposed after use.
- Soils exceeding any of the hazardous characteristic criteria meet the legal definition of 10. hazardous soils (rather than non-hazardous contaminated soils) and shall be transported or disposed of under Item 8.01 H - Handling, Transporting and Disposal of Hazardous Soils. All analyses must be done by a laboratory that has received approval from the ELAP for the methods to be used. The Contractor must specify the laboratory in the MHP.

#### 8.01 C2.2 METHOD OF MEASUREMENT

Ouantities for samples shall be measured as the number of sets of samples that are tested. A set shall be defined as one (1) composite sample analyzed for the full range of parameters as specified in subsection B2.

#### **PRICE TO COVER** 8.01 C2.3

The unit price bid per set for Item 8.01 C2 shall include the cost of furnishing all labor, materials, equipment, plan, and insurance necessary for sampling, handling, transporting, testing, documentation, fees, permits and any other incidentals necessary to complete the work as specified herein for sampling and testing of contaminated/potentially hazardous soil.

Payment will be made under:

ITEM NUMBER	ITEM	PAYMENT UNIT
8.01 C2	Sampling and Testing of Contaminated/ Potentially Hazardous Soil for Disposal Parameters	Set

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# ITEM 8.01 H HANDLING, TRANSPORTING, AND DISPOSAL OF HAZARDOUS SOILS

### 8.01 H.1 WORK TO INCLUDE

<u>General:</u> This work shall consist of the handling, transportation and disposal of soils or materials that are listed as hazardous wastes or exhibit any of the characteristics of a hazardous waste, namely ignitability, corrosivity, reactivity, and toxicity, as defined in 6 NYCRR Part 371, Section 371.3 and 40 CFR Section 261. For the purpose of this specification, soils shall be defined as any materials excavated below the pavement and base for pavement.

Contaminated soils determined to be hazardous under Item 8.01 C2 shall be handled, transported, and disposed of under Item 8.01 H in accordance with the specifications herein.

The independent Environmental Consultant retained by the Contractor, as specified under Item 8.01 S – Health and Safety, shall conduct sampling and analysis of above soils to determine which soils are hazardous.

All work under Item 8.01 H shall be performed under the direct supervision of the Contractor's Environmental Consultant, as approved by the Program Administration, Engineering Support Services (ESS).

The Contractor shall ensure that all operations associated with the handling, sampling, loading, transportation, and disposal of hazardous materials are in compliance with the applicable Federal, State, and Local statutes and regulations.

The Contractor shall document the excavation, handling, sampling, and testing, transportation and disposal of hazardous soils. The City shall be listed in the disposal documents as the waste generator.

The Contractor shall supply all equipment, material and labor required to conduct the specified work of this section.

The Contractor shall ensure that all operations associated with the handling, sampling, loading, transportation and disposal of hazardous soils are conducted in a manner to protect site personnel, the public and the environment, in accordance with all applicable Federal, State, and Local laws and regulations.

The Contractor shall decontaminate all equipment prior to its removal from the exclusion zone and/or following contact with hazardous materials, as detailed in Item 8.01 S - Health and Safety. Water generated during the decontamination process shall be disposed of under Item 8.01 W1 – Removal, Treatment and Discharge/Disposal of Contaminated Water.

- A. <u>Material Handling Plan</u>: Within forty-five (45) calendar days after award of Contract, the Contractor shall submit to the Program Administration, ESS for review, a Material Handling Plan (MHP). The MHP must be approved by the Program Administration, ESS, prior to the Contractor's commencement of work. The MHP shall, at a minimum, consist of:
  - 1. The Contractor's procedures for identifying contaminated/potentially hazardous soils during excavation, including instrumentation and calibration procedures to be used.
  - 2. The Contractor's procedures for safely handling hazardous soils or soils which have not yet been tested but are believed to be potentially hazardous.
  - 3. Identification of the Contractor's proposed waste transporter(s). This information shall include:
    - a. Name and waste transporter permit number
    - b. Address

- c. Name of responsible contact for the hauler
- d. Telephone number for the contact
- e. Any and all necessary permit authorizations for each type of waste transported
- f. Previous experience in performing the type of work specified herein
- 4. All staging/stockpiling areas (if stockpiling areas are intended and available), or alternate procedures that will be used. Alternate procedures could include, but are not limited to, agreements from the intended disposal or treatment facilities to accept boring data and/or analytical data previously obtained during the site characterization so that materials may be directly loaded into vehicles for shipment to the disposal facility or the use of off-site stockpiling locations approved by the DEC.
- 5. A backup facility, should the staging/stockpile areas become unavailable, insufficient in area or not be present by some other unforeseen difficulty.
- 6. Identification of the Contractor's two proposed United State Environmental Protection Agency (EPA) or DEC approved RCRA TSD facilities for hazardous soils.
- 7. The Contractor shall submit the following information prior to any transportation of soils regarding the temporary and final off-site TSD or facilities where it is proposing to take hazardous soils. The expense of furnishing all information will be included in the Contractor's bid price:
  - a. General Information
    - (1) Facility name and the EPA identification number
    - (2) Facility location
    - (3) Name of responsible contact for the facility
    - (4) Telephone number for contact
    - (5) Signed letter of agreement to accept waste as specified in this contract
    - (6) Signed letter of agreement with a TSD for disposal of waste that may not be land-disposed
    - (7) Unit of measure utilized at each facility for costing purposes
  - b. A listing of all permits, licenses, letters of approval, and other authorizations to operate, which are currently held and valid for the proposed facility as they pertain to receipt and management of wastes derived from this Contract.
  - c. A listing of all permits, licenses, letters of approval, and other authorizations to operate which have been applied for by the proposed facility.
  - d. The Contractor shall specify and describe the disposal/containment unit(s) that the proposed facility will use to manage the waste. The Contractor shall identify the capacity available in the units and the capacity reserved for the subject waste.
  - e. The Contractor shall provide the date of the proposed facility(ies) last compliance inspection under RCRA.
  - f. A list of all active (unresolved) compliance orders, agreements, enforcement notices or notices of violations issued to the proposed facility shall be approved. The source and nature of the cause of violation shall be stated, if known.
- 8. Description of all sampling and analyses that will be needed to obtain disposal facility approval.

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### 8.01 H.2 MATERIALS

- A. Containers shall be watertight as required in the DOT regulations and must meet all applicable regulations including but not limited to those in Attachment 2.
- B. Polyethylene (20 mil. thickness minimum) to be placed under and (10 mil. thickness minimum) over soil piles. If soils are placed in drums, polyethylene must be placed over the drums.

### 8.01 H1.3 CONSTRUCTION DETAILS

### A. <u>Material Handling</u>

- 1. The Contractor shall institute procedures to protect site personnel and the public from the non-hazardous and hazardous materials as described in Section 8.01 S Health and Safety.
- 2. The Contractor shall handle hazardous soil as approved in the MHP.
- 3. Stockpiled materials at the temporary TSD facility shall be handled according to the facility requirements but at a minimum: shall be drummed or placed on and covered with polyethylene to protect against erosion and leaching into surrounding soils, the stockpile area shall be graded for positive drainage away from the pile, and shall be labeled while being held for sampling prior to permanent disposal.
- 4. Provide any dewatering that is necessary to complete the work. Water shall be disposed of in accordance with Item 8.01 W1 Removal, Treatment and Discharge/Disposal of Contaminated Water.

### B. Off-Site Transportation and Disposal

- 1. The Contractor shall furnish all labor, equipment and supplies required to transport hazardous materials from the work area to the off-site TSD facility(ies) and to acquire any other items and services required for transporting hazardous materials for storage and/or disposal at an approved off-site facility.
- 2. Weight Measurement
  - a. The Contractor shall submit the name and location of the facility where an off-site scale is located. The Contractor shall also submit a plan to the DDC for review outlining procedures on controlling trucks leaving the work site and on-route to the off-site scale. The Contractor shall be responsible for tracking all materials/vehicles from the site to the off-site scale.
  - b. The Contractor shall provide to the DDC certified tare and gross weight slips for each load received at the accepted facility which shall be attached to each returned manifest.

### 3. General

- a. Manifests: The Contractor shall organize and maintain the material shipment records/manifests required by law.
- b. The Contractor shall coordinate the schedule for truck arrival and material deliveries at the job site to meet the approved project schedule. The schedule shall be compatible with the availability of equipment and personnel for material handling at the job site.

- c. The Contractor shall inspect all vehicles leaving the project site to ensure that hazardous soils adhering to the wheels or under carriage are removed prior to the vehicle leaving the site.
- d. The Contractor shall obtain letters of commitment from the waste haulers and the TSD facility to haul and accept shipments. The letter shall indicate agreement to handle and accept the specified estimated quantities and types of material during the time period specified in the project schedule and any time extension as deemed as necessary.

#### 4. Hauling

- a. The Contractor shall not deliver waste to any facility other than the TSD facility(ies) listed on the shipping manifest.
- b. The Contractor shall coordinate manifesting, placarding, of shipments, and vehicle decontamination. All quantities shall also be measured and recorded upon arrival at the TSD facility. If any deviation between the two records occurs, the matter is to be reported immediately to the DDC and to be resolved by the Contractor to the satisfaction of the DDC.
- c. The Contractor shall be held responsible, at its own expense, for any and all actions necessary to remedy situations involving material spilled in transit or mud and dust tracked off-site.
- d. The Contractor shall ensure that trucks are protected against contamination by properly covering and lining them with compatible material (such as polyethylene) or by decontaminating them prior to any use other than hauling hazardous materials.
- e. The Contractor shall be responsible for inspecting the access routes for road conditions, overhead clearance, and weight restrictions.
- f. The Contractor shall only use the transporter(s) identified in the MHP for the performance of work. Only a transporter with a current Part 364 Waste Transporter Permit from the DEC may transport this material. Any use of substitute or additional transporters must have previous written approval from the DDC at no additional cost to the City.
- g. The Contractor shall develop, document, and implement a policy for accident prevention.
- h. The Contractor shall not combine hazardous materials from other projects with material from this project.
- i. The Contractor shall obtain for the City an EPA hazardous waste generator identification number and a representative of Program Administration, ESS will review and sign the manifest as the generator.
- j. No materials shall be transported until approved by the DDC.
- 5. Off-Site Disposal
  - a. The Contractor shall be responsible for acceptance of the materials at an approved TSD facility, for ensuring that the facility is properly permitted to accept the stated materials, and that the facility provides the stated storage and/or disposal services.
  - b. In the event that the identified and approved facility ceases to accept the stated materials or the facility ceases operations, it is the Contractor's responsibility to

locate an alternate approved and permitted facility(ies) for accepting materials. The Contractor is responsible for making the necessary arrangements to utilize the facility(ies), and the alternate facility(ies) must be approved in writing by the DDC in the same manner and with the same requirements as for the original facility(ies). This shall be done with no extra cost or delay to the City.

- c. The Contractor shall submit all results and weights to the DDC.
- d. The Contractor is responsible to pay <u>all fees</u> associated with the generation and disposal of all excavated hazardous waste. These fees include, but are not limited to, the <u>New York State Department of Finance and Taxation (DFT)</u> <u>quarterly fees</u> for hazardous waste and the <u>New York State DEC annual</u> <u>hazardous waste regulatory fee program</u>. The Contractor shall submit a copy of proof of payment to the DDC and Program Administration, ESS.
- 6. Equipment and Vehicle Decontamination

The Contractor shall design and construct a portable decontamination station to be used to decontaminate equipment and vehicles exiting from the exclusion zone. The cost for this work shall be paid under Item 8.01 S - Health and Safety. Disposal of decontamination liquids is described under Item 8.01 W1 – Removal, Treatment and Discharge/Disposal of Contaminated Water.

7. Record Keeping

The Contractor shall obtain manifest forms, and complete the shipment manifest records required by the appropriate regulatory agencies for verifying the material and quantity of each load in unit of volume and weight. Copies of each manifest shall be submitted to the DDC within four (4) business days following shipment, and within three (3) business days after notification of receipt of the facility. Any manifest discrepancies shall be reported immediately to the DDC and be resolved by the Contractor to the satisfaction of the DDC.

### 8.01 H.4 METHOD MEASUREMENT

Quantities for hazardous soil shall be measured in tons satisfactorily delivered to the treatment, storage or disposal facility. The tonnage will be determined by off-site truck scales, as per subsection 8.01 H1.3.B.2, that are capable of generating load tickets.

### 8.01 H.5 PRICE TO COVER

- A. The unit price bid per ton for Item 8.01 H shall include the cost of furnishing all labor, materials, equipment, plan, and insurance for excavation, handling, transportation, disposal, documentation, permits, fees, taxes, stockpiling, hauling, and any other incidentals necessary to complete the work as specified herein for handling, transporting and disposal of hazardous soils.
- B. Final disposal of non-hazardous materials shall be paid for under Item 8.01 C1 Handling, Transporting and Disposal of Non-Hazardous Soils. Disposal of decontamination water shall be paid under Item 8.01 W1 – Removal, Treatment and Discharge/Disposal of Contaminated Water.
- C The independent Environmental Consultant shall be paid under Item 8.01 S Health and Safety.
- D. Backfill will be paid for under its respective item.

ITEM NUMBER	ITEM	PAYMENT UNIT
8.01 H	Handling, Transporting, and Disposal of Hazardous Soils	Tons

# ITEM 8.01 S HEALTH AND SAFETY

# 8.01 S.1 WORK TO INCLUDE

# Health and Safety Requirements

A. <u>Scope of Work</u>

It is the Contractor's responsibility to stage and conduct his work in a safe manner. The Contractor shall implement a Health and Safety Plan (HASP) for contaminated/hazardous soil intrusive activities as set forth in Occupational Safety and Health Administration (OSHA) Standards 1910.120 and 1926.650-652. The Contractor shall ensure that all workers have at a minimum hazard awareness training. The Contractor shall segregate contaminated work area in secured exclusion zones. These zones shall limit access to Contractor personnel specifically trained to enter the work area. The exclusion zone shall be set up to secure the area from the public and untrained personnel. The project health and safety program shall apply to all construction personnel including persons entering the work area. In addition, the Contractor shall protect the public from on-site hazards, including subsurface contaminants associated with on-site activities. The HASP shall be signed off by a Certified Industrial Hygienist and reviewed by Program Administration, Engineering Support Services (ESS).

Work shall include, but not be limited to:

- 1. Implementation of a baseline medical program.
- 2. Providing safety equipment and protective clothing for site personnel, including maintenance of equipment on a daily basis; replacement of disposable equipment as required; decontamination of clothing, equipment and personnel; and providing all other health and safety measures.
- 3. Providing, installing, operating and maintaining on-site emergency medical first aid equipment as specified in this section for which payment is not provided under other pay items in this Contract.
- 4. Providing, installing, operating, maintaining and decommissioning all equipment and personnel decontamination facilities specified within this section, including, but not limited to, the decontamination pad, decontamination water supply, decontamination water collection equipment and all other items and services required for the implementation of the health and safety requirements for which pay items are not provided elsewhere in this Contract.
- 5. Provide the minimum health and safety requirements for excavation activities within the limits of this Contract.
- 6. Implement and enforce a HASP: The HASP as presented in these specifications is dynamic with provisions for change to reflect new information, new practices or procedures, changing site environmental conditions or other situations which may affect site workers and the public. The HASP will also address measures for community protection, accident prevention, personnel protection, emergency response/contingency planning, air monitoring, odor control and hazardous chemicals expected on site. Providing a Confined Space Entry Program as defined in the Occupational Safety and Health Act, Confined Space Entry Standard, 29 CFR 1910.146.
- B. Environmental Consulting Services

The Contractor shall retain an independent Environmental Consultant to obtain all permits and perform all field screening, air monitoring, community air monitoring, soil sampling, and health and safety services. The independent Environmental Consultant shall at a minimum provide documentation to the Program Administration, ESS demonstrating the minimum requirements as set forth below:

- 1. The independent Environmental Consultant project supervisor on site and other designated key personnel shall have a minimum of three (3) years experience in the environmental field dealing with issues associated with contaminated soils. Such experience shall include oversight on environmental, specifically volatile organic compound and dust monitoring services as a routine part of its daily operations.
- 2. The independent Environmental Consultant must be experienced in work of this nature, size, and complexity and must have previous experience in working with the DEC.
- 3. The independent Environmental Consultant shall furnish a project listing identifying the location, nature of services provided, owner, owner's contact, contact's telephone number, project duration and value for at least five (5) projects within the last three (3) years.
- 4. If conditions within the exclusion zone are deemed hazardous, then the Contractor and its independent Environmental Consultant shall ensure that all personnel working within identified exclusion zones and/or involved (direct contact) with the handling, storage or transport of hazardous and contaminated materials shall have completed a minimum of forty (40) hours of Health and Safety Training on Hazardous Waste Sites in accordance with 29 CFR 1910.120(e). The training program shall be conducted by a qualified safety instructor. If conditions in the exclusion zone are deemed to be non-hazardous, the independent Environmental Consultant shall provide site specific training.
- 5. The Contractor shall ensure that on-site management and supervisors directly responsible for or who supervise employees engaged in hazardous waste operations shall receive the training specified in above and at least eight (8) additional hours of specialized training on managing such operations at the time of job assignment.
- C. <u>Submittals</u>
  - 1. The Contractor shall submit, within forty-five (45) calendar days after the contract award, a written HASP as specified herein, to Program Administration, ESS for review and comment. The Contractor shall make all necessary revisions required by Program Administration, ESS and resubmit the HASP to the Program Administration, ESS for acceptance. Start-up work for the project will not be permitted until written acceptance has been issued by the Program Administration, ESS.
  - 2. Daily safety logs shall be maintained by the Contractor and shall be submitted to the DDC either on request or on completion of the work. Training logs shall be maintained by the Contractor and submitted to the DDC either on request or on completion of the work. Daily logs on air monitoring during excavation activities shall be prepared and maintained by the Contractor and submitted to the DDC either on request or upon completion of the work.
  - 3. A closeout report shall be submitted by the Contractor to the DDC upon completion of the work within the defined exclusion zones. This report shall summarize the daily safety and monitoring logs and provides an overview of the Contractor's performance regarding environmental and safety issues. The report shall carefully document all areas where contamination has been found including pictures, addresses of locations, and potential sources.

- 4. Medical Surveillance Examinations: The Contractor shall submit to the DDC the name, office address and telephone number of the medical consultant utilized. Evidence of baseline medical examinations together with the evidence of the ability to wear National Institute for Occupational Safety and Health (NIOSH) approved respirators (as specified in American National Standards Institute (ANSI) Z88.6) shall be provided to the DDC for all construction personnel who are to enter the exclusion zones.
- 5. Accident Reports: All accidents, spills, or other health and safety incidents shall be reported to the DDC.

#### D. Health and Safety Plan

The HASP shall comply with OSHA regulations 29 CFR 1910.120/1926.65. This document shall at a minimum contain the following:

- 1. Description of work to be performed
- 2. Site description
- 3. Key personnel
- 4. Worker training procedures
- 5. Work practices and segregation of work area
- 6. Hazardous substance evaluation
- 7. Hazard assessment
- 8. Personal and community air monitoring procedures and action levels
- 9. Personal protective equipment
- 10. Decontamination procedures
- 11. Safety rules
- 12. Emergency procedures
- 13. Spill control, dust control, vapor/odor suppression procedures
- 14. Identification of the nearest hospital and route
- 15. Confined space procedures
- 16. Excavation safety procedures

## 8.01 S.2 MEASUREMENT

#### Health and Safety Requirements

A. 25% of the lump sum price will be paid when the following items are implemented or mobilized:

Medical surveillance program Health and safety training Health and safety plan Environmental and personnel monitoring Instrumentation Spill control Dust control Personnel and equipment decontamination facilities Personnel protective clothing Communications Mobilization

- B. 50% will be paid in proportional monthly amounts over the period of work.
- C 25% will be paid when the operation is demobilized and removed from the project site.

### 8.01 S.3 PRICE TO COVER

#### Health and Safety Requirements

The lump sum price bid for the health and safety requirements shall include all labor, materials, equipment, and insurance necessary to complete the work in accordance with these specifications. The price bid shall include, but not be limited to, the following:

- A. Providing training, safety personnel, air monitoring and medical examinations as specified.
- B. Providing safety equipment and protective clothing for site personnel, including maintenance of equipment on a daily basis; replacement of disposable equipment as required; decontamination of clothing, equipment and personnel; and all other health and safety activities or costs not paid for under other pay items in this Contract.
- C. Providing, installing, operating and maintaining on-site emergency medical and first aid equipment. This includes all furnishings, equipment, supplies and maintenance of all medical equipment, and all other health and safety items and services for which payment is not provided under other pay items in this Contract.
- D. Providing, installing, operating, maintaining, and decommissioning all personnel and equipment decontamination facilities, including decontamination pad, decontamination water supply, and all other items and services required for the implementation of the health and safety requirements for which pay items are not provided elsewhere in this Contract. Vehicle decontamination pads shall be included in the price of this item. Disposal of decontamination fluid shall be paid for under Item 8.01 W1 Removal, Treatment and Discharge/Disposal of Contaminated Water.
- E. <u>Spill Control</u>
  - 1. Payment shall account for furnishing, installing, and maintaining all spill control equipment and facilities. Payment will include equipment and personnel to perform emergency measures required to contain any spillage and to remove spilled materials and soils or liquids that become contaminated due to spillage during work within the exclusion zones and handling of excavated soils and liquids from these areas. This collected spill material will be properly disposed of.
  - 2. Payment under this item shall not include testing, handling, transportation or disposal of petroleum-contaminated/potentially hazardous soils excavated during construction. The price for this work will be paid for under Items 8.01 C1 Handling, Transporting and Disposal of Non-Hazardous Contaminated Soils, 8.01 C2 Sampling and Testing of Contaminated/Potentially Hazardous Soil for Disposal Parameters or 8.01 H Handling, Transporting and Disposal of Hazardous Soils, as appropriate.
- F. <u>Dust Control</u>

Payment shall account for furnishing, installing, and maintaining dust control equipment and facilities to be used whenever applicable dust levels are exceeded. Payment will include all necessary labor, equipment, clean water, foam, and all other materials required by the Dust Control Plan. The DOH Community Air Monitoring Plan (CAMP) may be used as guidance.

G. Vapor/Odor Suppression

Payment shall account for furnishing, installing and maintaining vapor/odor control equipment and facilities to be used whenever organic vapor monitoring or the presence of odors indicates that vapor suppression is required to protect workers or the public. Payment will include all necessary labor, equipment, clean water, foam and all other materials required by the Vapor/Odor Suppression Plan.

# H. Mobilization/Demobilization

1. Mobilization

Payment shall include but not be limited to:

- a. All work required to furnish, install and maintain all signs, fencing, support zone facilities, parking areas and all temporary utilities;
- b. All work required to furnish, install, and maintain an office space with phone and utilities for health and safety personnel;
- c. All work required for complete preparation of lay down area for roll-off containers, including sampling, and any required fencing;
- d. All direct invoiced cost from bonding companies and government agencies for permits and costs of insurance; and
- e. All other items and services required for mobilization and site preparation.
- 2. Demobilization

Payment shall include but not be limited to: All work required to sample the area; remove from the site all equipment, temporary utilities and supporting facilities; performance of necessary decontamination and repairs; disposal of disposable equipment and protective gear and other items and services required for complete demobilization.

Payment will be made under:

ITEM NUMBER	ITEM	PAYMENT UNIT
8.01 S	Health and Safety	Lump Sum

DDC Project No. SEQ200463

A5-23

### ITEM 8.01 W1 REMOVAL, TREATMENT AND DISCHARGE/DISPOSAL OF CONTAMINATED WATER

#### 8.01 W1.1 WORK TO INCLUDE

General: This work shall consist of the proper removal and disposal of all contaminated groundwater and decontamination water generated during construction operations. The Contractor shall be solely responsible for the proper disposal or discharge of all contaminated water generated at the job site. The Contractor will have the option of treating water on-site for discharge to the combined sanitary/storm sewer system or removing contaminated water for off-site disposal. The Contractor shall be responsible to choose a method compatible to the construction work and shall be compensated on a per day basis regardless of method employed. The Contractor will be compensated for only those days where the system is in full operation.

The Contractor shall retain a dewatering/water treatment Specialist (hereinafter the "Specialist") and laboratory as specified under Item 8.01 W2 – Sampling and Testing of Contaminated Water, to conduct any testing that may be required for disposal of impacted water.

The dewatering/water treatment Specialist is responsible to obtain all permits; perform all water sampling, testing; and provide ancillary services related to dewatering and water treatment. The Specialist shall at a minimum provide documentation to the Program Administration, Engineering Support Services (ESS) demonstrating the minimum requirements as set forth below:

- 1. The Specialist shall demonstrate that it has, at a minimum, three (3) years experience in the design of dewatering plans. The Specialist should demonstrate expertise dealing with issues associated with contaminated water. During that three (3) year period, the Specialist shall demonstrate that it provided dewatering and water treatment systems as a routine part of its daily operations.
- 2. The Specialist must be experienced in work of this nature, size, and complexity and must have previous experience in working with the DEC.
- 3. The Specialist shall furnish a project listing identifying the location, nature of services provided, owner, owner's contact, contact's telephone number, project duration and value for at least five (5) projects within the last three (3) years of a similar nature, size, and complexity to this one.
- 4. If conditions within the exclusion zone are deemed hazardous, then the Contractor and its independent Environmental Consultant shall ensure that all personnel working within identified exclusion zones and/or involved (direct contact) with the handling, storage or transport of hazardous and contaminated material shall have completed a minimum of forty (40) hours of Health and Safety Training on Hazardous Waste Sites in accordance with 29 CFR 1910.120(e). The training program shall be conducted by a qualified safety instructor. If conditions in the exclusion zone are deemed to be non-hazardous, the Specialist shall be responsible to provide site-specific training to its employees and other affected personnel.
- 5. The Contractor shall ensure that on-site management and supervisors directly responsible for or who supervise employees engaged in hazardous waste operations shall receive the training specified in above and at least eight (8) additional hours of specialized training on managing such operations at the time of job assignment.

The Contractor shall document all operations associated with the handling, sampling and disposal of contaminated water, and ensure that they are in compliance with applicable Federal, State and Local statutes and regulations.

The Contractor shall supply all labor, equipment, transport, plant, material, treatment, and other incidentals required to conduct the specified work of this section.

If water will be disposed of into the combined sanitary/storm sewer system, the Contractor shall ensure the Specialist treats the water to comply with the New York City Department of Environmental Protection (DEP) Sanitary/Combined and Storm Sewer Effluent Limit concentrations prior to discharge. The Contractor is responsible for providing settling or filtering tanks and any other apparatus required by DEP. Alternatively, the Contractor can provide a plan for transport and disposal at an off-site waste disposal facility.

Within forty-five (45) calendar days after award of Contract, the Contractor shall submit to the Program Administration, ESS for review, a Water Handling Plan (WHP). The WHP must be approved by the Program Administration, ESS, prior to the Contractor's commencement of work. The minimum requirements for the WHP are specified herein Item 8.01W 1.2, for each type of disposal (disposal into the combined sanitary/storm sewer or off-site disposal). The Contractor shall maintain a complete, up to date copy of the WHP on the job site at all times.

## 8.01 W1.2 CONSTRUCTION DETAILS

For each disposal method the Contractor proposes to utilize (disposal to combined sanitary/storm sewer or off-site disposal), the WHP shall include the information required in paragraphs A and B below, as appropriate.

- A. <u>On-site treatment and discharge into New York City combined sanitary/storm sewers.</u>
  - 1. Regulations: The Contractor shall comply with all applicable regulations. This includes but may not be limited to:

Title 15-New DEP Sewer Use Regulations.

- 2. Permits: The Contractor is solely responsible to obtain all necessary and appropriate Federal, State and Local permits and approvals. The Contractor will be responsible for performing all and any system pilot tests required for permit approval. This includes but may not be limited to:
  - a. Industrial waste approval for the New York City sewer system.
  - b. Groundwater discharge permit for the New York City sewer system (DEP Division of Sewer Regulation and Control), if discharge to sewer exceeds 10,000 gallons per day.
  - c. The Contractor shall comply with DEC State Pollutant Discharge Elimination System (SPDES) Permit Number GP-0-10-001, General Permit for Stormwater Discharges.
  - d. Long Island well point permit for Brooklyn and Queens sites, if well points are used for dewatering.
  - e. Wastewater quality control application, DEP.
- 3. The WHP for this portion of the work shall include at a minimum:

- a. Identification and design of Contractor's proposed treatment to assure that the water meets the DEP sewer use guidelines prior to discharge to the sewer, including identification of all materials, procedures, settling or filtering tanks, filters and other appurtenances proposed for treatment and disposal of contaminated water.
- b. The name, address and telephone number of the contact for the Contractor's proposed chemical laboratory, as well as the laboratory's certifications under Federal, State or non-governmental bodies.
- c. The name, address and telephone number of the contact for the Contractor's proposed independent Environmental Consultant.
- d. Copies of all submitted permit applications and approved permits the Contractor have received.
- 4. Materials

The Contractor shall supply all settling or filtering tanks, pumps, filters, treatment devices and other appurtenances for treatment, temporary storage and disposal of contaminated water. All equipment shall be suitable for the work described herein.

- 5. Execution
  - a. The Contractor is solely responsible for disposal of all water, in accordance with all Federal, State and Local regulations.
  - b. The Contractor is solely responsible for any treatment required to assure that water discharged into the sewer is in compliance with all permits and Federal, State and Local statutes and regulations.
  - c. The Contractor is solely responsible for the quality of the water disposed of into the sewers.
  - d. The Contractor is responsible for sampling and testing of water for the DEP Sanitary/Combined and Storm sewer Effluent Limit concentrations. The quality of the data is the Contractor's responsibility. Any sampling and testing shall be conducted and paid in accordance with Item 8.01 W2 – Sampling and Testing of Contaminated Water.
  - e. The Contractor shall be responsible to maintain the discharge rate to the sewer such that all permit requirements are met, the capacity of the sewer is not exceeded and no surcharging occurs downstream due to the Contractor's actions. Dewatering by means of well points or deep wells will not be allowed in the Boroughs of Brooklyn or Queens where the rate of pumping exceeds forty-five (45) gallons per minute unless the appropriate permit has been secured from the DEC.
  - f. Disposal of Treatment Media
    - (1) The Contractor shall be responsible for disposal or recycling of treatment media in accordance with all Federal, State and Local regulations.
    - (2) The Contractor shall provide the DDC with all relevant documentation concerning the disposal of treatment media, including manifests, bills of

lading, certificates of recycling or destruction and other applicable documentation.

(3) Disposal of treatment media shall not be considered as a separate pay item; instead it shall be considered as incidental work thereto and included in the unit price bid.

## B. Off-Site Disposal

- 1. Regulations: The Contractor shall conform to all applicable Federal, State and Local regulations pertaining to the transportation, storage and disposal of any hazardous and/or non-hazardous materials as listed in Attachment 2.
- 2. The following shall be submitted to the DDC prior to initiating any off-site disposal:
  - a. (1) Name and waste transporter permit number
    - (2) Address
    - (3) Name of responsible contact for the hauler
    - (4) Any and all necessary permit authorizations for each type of waste transported
    - (5) Previous experience in performing the type of work specified herein
  - b. General information for each proposed treatment/disposal facility and at least one backup treatment/disposal facility
    - (1) Facility name and EPA identification number
    - (2) Facility location
    - (3) Name of responsible contact for the facility
    - (4) Telephone number for contact
    - (5) Unit of measure utilized at facility for costing purposes
  - c. A listing of all permits, licenses, letters of approval and other authorizations to operate, which are currently held and valid for the proposed facility as they pertain to receipt and management of the wastes derived from this Contract.
  - d. A listing of all permits, licenses, letters of approval and other authorizations to operate which have been applied for by the proposed facility but not yet granted or issued. Provide dates of application(s) submitted. Planned submittals shall also be noted.
  - e. The Contractor shall specify and describe the disposal/containment unit(s) that the proposed facility will use to manage the waste and provide dates of construction and beginning of use, if applicable. Drawings may be provided. The Contractor shall identify the capacity available in the units and the capacity reserved for the subject waste.
  - f. The Contractor shall provide the date of the proposed facility's last compliance inspection.

- g. A list of all active (unresolved) compliance orders, agreements, enforcement notices or notices of violations issued to the proposed facility shall be submitted. The source and nature of the cause of violation shall be stated, if known. If groundwater contamination is noted, details of the facility's groundwater monitoring program shall be provided.
- h. Description of all sampling and field/laboratory analyses that will be needed to obtain disposal facility approval.
- 3. Materials

All vessels for temporary storage and transport to an off-site disposal facility shall be as required in DOT regulations.

#### 4. Execution

- a. General
  - (1) The Contractor shall organize and maintain the material shipment records/manifests required by Federal, State and Local law. The Contractor shall include all bills of lading, certificates of destruction, recycling or treatment and other applicable documents.
  - (2) The Contractor shall coordinate the schedule for truck arrival and material deliveries at the job site to meet the approved project schedule. The schedule shall be compatible with the availability of equipment and personnel for material handling at the job site.
  - (3) The Contractor shall inspect all vehicles leaving the project site to ensure that contaminated liquids are not spilling and are contained for transport.
  - (4) The Contractor shall obtain letters of commitment from the waste haulers and the treatment, disposal or recovery facility to haul and accept shipment. The letter shall indicate agreement to handle and accept the specified estimated quantities and types of material during the time period specified in the project schedule and any time extension as deemed as necessary.
  - (5) The Contractor shall verify the volume of each shipment of water from the site.
  - (6) The Contractor is responsible for sampling and testing of water for off-site disposal. The quality of the data is the Contractor's responsibility. Any sampling and testing shall be conducted and paid in accordance with Item 8.01 W2 – Sampling and Testing of Contaminated Water.
  - (7) The Contractor shall be responsible for any additional analyses required by the TSD facility, and for the acceptance of the water at an approved TSD facility.
- b. Hauling
  - (1) The Contractor shall not deliver waste to any facility other than the TSD facility(ies) listed on the shipping manifest.

- (2) The Contractor shall coordinate manifesting, placarding of shipments, and vehicle decontamination. All quantities shall also be measured and recorded upon arrival at the TSD facility(ies). If any deviation between the two records occurs, the matter is to be reported immediately to the DDC and shall be resolved by the Contractor to the satisfaction of the DDC.
- (3) The Contractor shall be held responsible for any and all actions necessary to remedy situations involving material spilled in transit or mud and dust tracked off-site. This cleanup shall be accomplished at the Contractor's expense.
- (4) The Contractor shall be responsible for inspecting the access routes for road conditions, overhead clearance and weight restrictions.
- (5) The Contractor shall only use the transporter(s) identified in the WHP for the performance of work. Only a transporter with a current Part 364 Waste Transporter Permit from DEC may transport this material. Any use of substitute or additional transporters must have previous written approval from the DDC at no additional cost to the City.
- (6) The Contractor shall develop, document, and implement a policy for accident prevention.
- (7) The Contractor shall not combine waste materials from other projects with material from this project.
- (8) The Contractor shall obtain for the City a hazardous waste generator identification number and will sign the manifest as the generator, if necessary.
- (9) No material shall be transported until approved by the DDC.
- c. Disposal Facilities
  - (1) The Contractor shall use only the TSD facility(ies) identified in the WHP for the performance of the work. Substitutions or additions shall not be permitted without prior written approval from the Program Administration, ESS, and, if approved, shall be at no extra cost to the City.
  - (2) The Contractor shall be responsible for acceptance of the material at an approved TSD facility, for ensuring that the facility is properly permitted to accept the stated material, and that the facility provides the stated storage and/or disposal services.
  - (3) The DDC reserves the right to contact and visit the disposal facility and regulatory agencies to verify the agreement to accept the stated material and to verify any other information provided. This does not in any way relieve the Contractor of his responsibilities under this Contract.
  - (4) In the event that the identified and approved facility ceases to accept the stated materials or the facility ceases operations, it is the Contractor's responsibility to locate an alternate approved and permitted facility(ies) for accepting materials. The Contractor is responsible for making the necessary arrangements to utilize the facility(ies), and the alternate facility(ies) must be

approved in writing by the DDC in the same manner and with the same requirements as for the original facility(ies). This shall be done with no extra cost or delay to the City.

- d. Equipment and Vehicle Decontamination
  - (1) The Contractor shall design and construct a portable decontamination station to be used to decontaminate equipment and vehicles exiting the exclusion zone. The cost for this work shall be paid under Item 8.01 S Health and Safety.

#### 8.01 W1.3 METHOD OF MEASUREMENT

The quantity for on-site treatment and discharge or off-site disposal shall be on a per day basis.

#### 8.01 W1.4 PRICE TO COVER

- A. The per day price bid for Item 8.01 W1 shall include the cost of furnishing all labor, materials, equipment, plan, and insurance for handling, transportation, disposal, documentation, permits, hauling, mobilization and demobilization, and any other incidentals thereto to complete the work.
- B. The Contractor will not be paid for water that is within the DEP Sewer Discharge Limits.

Payment will be made under:

ITEM NUMBER	ITEM	PAYMENT UNIT	
8.01 W1	Removal, Treatment and Disposal/Discharge of Contaminated Water	Day	

# ITEM 8.01 W2 SAMPLING AND TESTING OF CONTAMINATED WATER

### 8.01 W2.1 WORK TO INCLUDE

A. Description

The work shall consist of sampling and testing of potentially contaminated groundwater, surface runoff within the excavated area and all contaminated water generated during the decontamination process.

### B. <u>Sampling and Testing</u>

- 1. The Contractor is responsible, at a minimum, for sampling and testing of contaminated water for the DEP Sanitary/Combined and Storm Sewer Effluent Limit concentrations as listed in Attachment 1. The quality of the data is the Contractor's responsibility. Any additional testing required by the Federal, State and/or disposal facilities shall be included in the bid price of this Item.
- 2. All sampling and testing shall be conducted by a person trained in sampling protocols using accepted standard practices and/or the DEC sampling guidelines and protocols.
- 3. All sample containers shall be marked with legible sample labels which shall indicate the project name, sample location and/or container, the sample number, the date and time of sampling, preservatives utilized, how the sample was chilled to 4 degrees Celsius, and other information that may be useful in determining the character of the sample.
- 4. Chain-of-custody shall be tracked from laboratory issuance of sample containers through receipt of the samples.
- 5. The Contractor shall maintain a bound sample log book. The Contractor shall provide the DDC access to it at all times and shall turn it over to the DDC in good condition at the completion of the work. The following information, as a minimum, shall be recorded to the log:
  - a. Sample identification number
  - b. Sample location
  - c. Field observation
  - d. Sample type
  - e. Analyses
  - f. Date/time of collection
  - g. Collector's name
  - h. Sample procedures and equipment used
  - i. Date sent to laboratory/name of laboratory
- 6. Only dedicated sampling equipment may be used to collect these samples. All equipment involved in field sampling must be decontaminated before being brought to the site, and must be properly disposed of after use.

- 7. Samples shall be submitted to the Contractor's laboratory within the holding times for the parameters analyzed.
- 8. All analyses must be done by a laboratory that has received approval from the DOH's ELAP for the methods to be done. The Contractor must specify the laboratory in the WHP.
- 9. Analytical results for water discharged to the sewer and for off-site disposal must be submitted to the DDC no later than five (5) days after sample collection.
- 10. The City reserves the right to direct the Contractor to conduct alternative sampling in lieu of the parameters described above, if the situation warrants. The substitute sampling parameters shall be of equal or lesser monetary value than those described above, as determined by industry laboratory pricing standards.

#### 8.01 W2.2 METHOD OF MEASUREMENT

Quantities for samples shall be measured as the number of sets of samples that are tested for the DEP Sanitary/Combined and Storm Sewer Effluent Limit concentrations. A set shall be defined as one (1) representative sample analyzed for the full range of DEP parameters as specified in attachment 1.

#### 8.01 W2.3 PRICE TO COVER

The unit price bid per set for Item 8.01 W2 shall include the cost of furnishing all labor, materials, equipment, plan, and insurance for handling, transport, sampling, testing, documentation, permits, other incidentals necessary to complete the work of sampling and testing of contaminated water. Any additional costs incurred by the Contractor for sampling and testing of contaminated water shall be included in the bid price of this Item.

#### Payment will be made under:

ITEM NUMBER	ITEM	PAYMENT UNIT
8.01 W2	Sampling and Testing of Contaminated Water	Set

# **ATTACHMENT 1**

New York City Department of Environmental Protection Limitations for Discharge To Storm, Sanitary/Combined Sewer

DDC Project No. SEQ200463

A5-33

# NEW YORK CITY DEPARTMENT OF ENVIRONMENTAL PROTECTION BUREAU OF WASTEWATER TREATMENT

#### Limitations for Effluent to Sanitary or Combined Sewers

Parameter <sup>1</sup>	Daily Limit	Units	Sample Type	Monthly Limit
Non-polar material <sup>2</sup>	50	mg/l	Instantaneous	
pH	5-11	SU's	Instantaneous	
Temperature	< 150	Degree F	Instantaneous	
Flash Point	> 140	Degree F	Instantaneous	
Cadmium	2	mg/l	Instantaneous	
	0.69	mg/l	Composite	
Chromium (VI)	5	mg/l	Instantaneous	
Copper	5	mg/l	Instantaneous	
Lead	2	mg/l	Instantaneous	
Mercury	0.05	mg/l	Instantaneous	
Nickel	3	mg/l	Instantaneous	
Zinc	5	mg/l	Instantaneous	
Benzene	134	ppb	Instantaneous	57
Carbontetrachloride			Composite	
Chloroform			Composite	
1,4 Dichlorobenzene			Composite	
Ethylbenzene	380	ppb	Instantaneous	142
MTBE (Methyl-Tert- Butyl-Ether)	50	ppb	Instantaneous	
Naphthalene	47	ppb	Composite	19
Phenol			Composite	
Tetrachloroethylene (Perc)	20	ррb	Instantaneous	
Toluene	74	ppb	Instantaneous	28
1,2,4 Trichlorobenzene			Composite	
1,1,1 Trichloroethane			Composite	
Xylenes (Total)	74	ppb	Instantaneous	28
PCB's (Total) <sup>3</sup>	1	ppb	Composite	
Total Suspended Solids (TSS)	350 <sup>4</sup>	mg/l	Instantaneous	
CBOD <sup>5</sup>			Composite	
Chloride <sup>5</sup>			Instantaneous	
Total Nitrogen <sup>5</sup>			Composite	
Total Solids <sup>5</sup>			Instantaneous	
Other				

1 All handling and preservation of collected samples and laboratory analyses of samples shall be performed in accordance with 40 C.F.R. pt. 136. If 40 C.F.R. pt. 136 does not cover the pollutant in question, the handling, preservation, and analysis must be performed in accordance with the latest edition of "Standard Methods for the Examination of Water and Wastewater." All analyses shall be performed using a detection level less than the lowest applicable regulatory discharge limit. If a parameter does not have a limit, then the detection level is defined as the least of the Practical Quantitation Limits identified in NYSDEC's <u>Analytical Detectability and Quantitation Guidelines for Selected Environmental Parameters</u>, December 1988

- 2 Analysis for *non-polar materials* must be done by EPA method 1664 Rev. A. Non-Polar Material shall mean that portion of the oil and grease that is not eliminated from a solution containing N-Hexane, or any other extraction solvent the EPA shall prescribe, by silica gel absorption.
  - Analysis for PCB=s is required if **both** conditions listed below are met:

1) if proposed discharge  $\geq$  10,000 gpd;

2) if duration of a discharge > 10 days.

Analysis for PCB=s must be done by EPA method 608 with MDL=<65 ppt. PCB's (total) is the sum of PCB-1242 (Arochlor 1242), PCB-1254 (Arochlor 1254), PCB-1221 (Arochlor 1221), PCB-1232 (Arochlor 1232), PCB-1248 (Arochlor 1248), PCB-1260 (Arochlor 1260) and PCB-1016 (Arochlor 1016).

- 4 For discharge ≥ 10,000 gpd, the TSS limit is 350 mg/l. For discharge < 10,000gpd, the limit is determined on a case by case basis.
- 5

3

Analysis for Carbonaceous Biochemical Oxygen Demand (CBOD), Chloride, Total Solids and Total Nitrogen are required if proposed discharge  $\geq 10,000$  gpd.

# **ATTACHMENT 2**

Applicable Regulations

Applicable regulations include, but are not limited to:

- 1. 49 CFR 100 to 179 DOT Hazardous Materials Transport and Manifest System Requirements
- 2. New York State Department of Environmental Conservation (DEC), Spills Technology and Remediation Series (STARS) Memo #1
- 3. 6 NYCRR 360-1 DEC Solid Waste Management Facilities
- 4. 6 NYCRR 364- Waste Transporter permits
- 5. Local restrictions on transportation of waste/debris
- 6. 40 CFR 260 to 272 Hazardous Waste Management (RCRA)
- 7. 6 NYCRR 371 Identification and Listing of Hazardous Wastes
- 8. 6 NYCRR 372 Hazardous Waste Manifest System and Related Standards for Generators, Transporters and Facilities
- 9. 6 NYCRR 373-1 Hazardous Waste Treatment, Storage and Disposal Facility Permitting Requirements
- 10. 6 NYCRR 376 Land Disposal Restrictions
- 11. Posted weight limitations on roads or bridges
- 12. Transportation Skills Programs, Inc. 1985 Hazardous Materials and Waste Shipping Papers and Manifests
- 13. Other local restrictions on transportation of waste/debris
- 14. Occupational Safety and Health Administration (OSHA), Standards and Regulations, 29 CFR 1910 (General Industry)
- 15. OSHA 29 CFR 1910.120 Hazardous Waste Operations and Emergency Response
- 16. OSHA Safety and Health Standards 29 CFR 1926 (Construction Industry)
- 17. OSHA 29 CFR 1910.146 Confined Space Entry Standard
- Standard Operating Safety Guidelines, EPA Office of Emergency and Remedial Response Publication, 9285.1-03
- 19. NIOSH / OSHA / USCG / EPA Occupational Safety and Health Guidance Manual for Hazardous Waste Site Activities (1986)
- 20. U.S. Department of Health and Human Services (DHHS) "NIOSH Sampling and Analytical Methods," DHHS (NIOSH) Publication 84-100
- 21. ANSI, Practice for Respiratory Protection, Z88.2 (1980)
- 22. ANSI, Emergency Eyewash and Shower Equipment, Z41.1 (1983)
- 23. ANSI, Protective Footwear, Z358.1 (1981)
- 24. ANSI, Physical Qualifications for Respirator Use, Z88.6 (1984)
- 25. ANSI, Practice for Occupational and Educational Eye and Face Protection, Z87.1 (1968)
- 26. Water Pollution Control Federation "Manual of Practice No. 1, Safety in Wastewater Works"

- 27. NFPA No. 327 "Standard Procedures for Cleaning and Safeguarding Small Tanks and Containers"
- 28. Occupational Safety and Health Act Confined Space Entry Standard 29 CFR 1910.146.87
- 29. Department of Transportation 49 CFR 100 through 179
- 30. Department of Transportation 49 CFR 387 (46 FR 30974, 47073)
- 31. Environmental Protection Agency 40 CFR 136 (41 FR 52779)
- 32. Environmental Protection Agency 40 CFR 262 and 761
- 33. Resource Conservation and Recovery Act (RCRA)
- 34. Any transporter of hazardous or non-hazardous materials shall be licensed in the State of New York and all other states traversed in accordance with all applicable regulations.

# **ATTACHMENT 3**

# Definitions

DDC Project No. SEQ200463

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- **Contaminated Groundwater and Decontamination Fluids:** Groundwater within the excavation trench or decontamination water that contains regulated compounds above the NYCDEP Discharge to Sanitary/Combined Sewer Effluent limits.
- **Disposal or Treatment Facility:** A facility licensed to accept either non-hazardous regulated waste or hazardous waste for either treatment or disposal.
- **Exclusion Zone:** Work area that will be limited to access by Contractor personnel specifically trained to enter the work area only. The exclusion zone will be set up to secure the area from the public and untrained personnel. The project health and safety program will apply to all construction personnel including persons entering the work area.
- Hazard Assessment: An assessment of any physical hazards that may be encountered on a work site.
- **Hazardous Soils:** Soils that exhibit any of the characteristics of a hazardous waste, namely ignitability, corrosivity, reactivity, and toxicity, as defined in 6 NYCRR Part 371, Section 371.3 and 40 CFR Section 261.
- **Hazardous Substance Evaluation:** An evaluation of the possible or known presence of any hazardous substances that may be encountered on a job site. This evaluation is included in the Health and Safety Plan and will include the identification and description of any hazardous substances expected to be encountered. Material Safety Data Sheets (MSDS) will be included for each substance.
- **Health and Safety Plan:** A plan employed at a work site that describes all the measures that will be taken to assure that all work is conducted in a safe manner, and that the health of the workers and the public will be insured.
- Material Handling Plan: A plan outlining the methods that will be employed to handle, transport and dispose of contaminated materials.
- Non-Hazardous Contaminated Soils: Soils which exhibit a distinct chemical or petroleum odor, or exhibit elevated photoionization detector readings but are not classified as hazardous waste under 6 NYCRR Part 371, Section 371.3 and 40 CFR Section 261.
- New York State Health Department's Environmental Laboratory Approval Program: A program by which the state of New York approves and accredits environmental testing laboratories.
- **PCBs:** Polychlorinated biphenyls are a group of toxic compounds commonly used as a coolant in transformers and other electrical components.
- **Photoionization Detector:** A hand held instrument used to measure volatile organic compounds in air. The instrument ionizes the organic molecules through the use of an ultraviolet lamp.
- **RCRA Hazardous Waste Characteristics:** Characteristics of a material which may indicate the material is hazardous. These include: ignitability corrosivity, reactivity, and toxicity.
- **Total Petroleum Hydrocarbons:** An analytical procedure used to determine the total amount of petroleum compounds in a material.

# **ATTACHMENT 4**

Phase II Subsurface Corridor Investigation Report

# - FINAL -

Phase II Subsurface Corridor Investigation Report

for

Storm Sewer in College Place College Place Between 9<sup>th</sup> Avenue and East River, etc. Queens, New York

> DDC PROJECT NO. SEQ200463 WOL NO. 8741-LBA-3-8222 CONTRACT REGISTRATION NO. 20101417626

> > Prepared for:



Bureau of Environmental and Geotechnical Services 30-30 Thomson Avenue, Fifth Floor Long Island City, New York 11101

Prepared by:



Louis Berger & Assoc., PC 48 Wall Street, 16<sup>th</sup> Floor New York, NY 10005 Tel. (212) 612-7900 Fax (212) 363-4341 PROJECT NO. SPC-870T2

June 3, 2013





New York City Department of Design and Construction Final Phase II Subsurface Corridor Investigation Report Storm Sewer in College Place, Queens, NY

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# **EXECUTIVE SUMMARY**

On behalf of the New York City Department of Design and Construction (NYCDDC), Louis Berger & Assoc., P.C. (LBA) conducted a Phase II Subsurface Corridor Investigation (SCI) of the portions of 5<sup>th</sup> through 12<sup>th</sup> Avenues between 118<sup>th</sup> Street and College Place, and a portion of Poppenhusen Avenue in the College Point section of Queens, New York (hereinafter referred to as the "Corridor"). The New York City Department of Environmental Protection (NYCDEP) is proposing the installation of storm sewers within the Corridor as part of its outfall project under the City Environmental Quality Review (CEQR). The approximate linear length of the Corridor is 1.24 miles and comprises the following 11 street segments:

- 5<sup>th</sup> Avenue from 121<sup>st</sup> Street to College Place 0.07 mile
- North of 5<sup>th</sup> Avenue within Hermon A. MacNeil Park 0.05 mile
- Poppenhusen Avenue from College Place to 119<sup>th</sup> Street 0.14 mile
- 6<sup>th</sup> Avenue east of 119<sup>th</sup> Street 0.07 mile
- 7<sup>th</sup> Avenue east of 119<sup>th</sup> Street 0.05 mile
- 8<sup>th</sup> Avenue west of College Place 0.09 mile
- 9<sup>th</sup> Avenue between College Place and 118<sup>th</sup> Street 0.16 mile
- 118<sup>th</sup> Street Between 9<sup>th</sup> Avenue and 12<sup>th</sup> Avenue 0.12 mile
- 119<sup>th</sup> Street south of Poppenhusen Avenue 0.26 mile
- 120<sup>th</sup> Street from 9<sup>th</sup> Avenue to 9<sup>th</sup> Road 0.04 mile
- College Place between 5<sup>th</sup> Avenue and 8<sup>th</sup> Avenue 0.19 mile

The Phase II SCI was conducted to determine if the Corridor's environmental condition might impact proposed construction activities.

LBA prepared a Phase I Corridor Assessment Report (Phase I CAR) dated December 2012, which presented the results of a survey conducted along the Corridor to assess the presence of potential sources of subsurface contamination within, and in the immediate vicinity of, the Corridor. The Phase I CAR identified one (1) final "High" and two (2) final "Moderate" risk sites with respect to potential impact on the project Corridor (soil and/or groundwater), and recommended the completion of a Phase II SCI. The objective of the Phase II SCI was to assess the presence of subsurface contamination that may potentially impact proposed construction activities. The Phase II SCI consisted of the following components:

• The advancement of seven (7) soil borings (SB01 through SB07) to a terminal depth of twenty (20) feet below ground surface (ft bgs). All borings were initially pre-cleared, using a vactron and air-knife methods to 6 ft bgs. The borings were then advanced using a Geoprobe® direct push drill rig. Soil samples were collected using 5-foot long, 2-inch diameter Macro Core stainless steel samplers equipped with acetate sleeves. One (1) temporary well point (TWP) was installed in soil boring SB01, selected based on the number of high risk sites in the vicinity and depth to groundwater. For the installation of the TWP, the Geoprobe® unit was advanced to a terminal depth of 20 ft bgs, approximately five (5) feet below the encountered water table. The TWP consisted of a 20-foot length screen section of one-inch diameter schedule 40 PVC. A groundwater sample was collected from the TWP



for screening and laboratory analysis via dedicated Teflon tubing and a peristaltic pump. A TWP was proposed in soil boring SB07; however, groundwater was not encountered at this location;

- Field screening, classification and identification of soils from the surface grade to the terminal depth of each boring. Soil samples were visually classified in the field using the Burmister soil classification system in addition to the unified soil classification system (USCS) and Munsell Rock Color charts. Field screening consisted of visual and olfactory indicators of impacts as well as screening with a photoionization detector (PID);
- The collection of one (1) composite and one (1) grab sample from the borings. The composite samples taken from the soil borings were comprised of soil from the entire boring column. The grab samples were collected from the 6-inch interval exhibiting the highest evidence of contamination, the 6-inch interval above the water table, or if groundwater was not encountered, the bottom 6-inch interval of the boring;
- Composite samples were analyzed for: (1) Target Compound List (TCL) base neutral/acid (BN/A) extractable semi-volatile organic compounds (SVOCs) by United States Environmental Protection Agency (EPA) Method 8270; (2) Target Analyte List (TAL) metals by EPA Method 6010B; (3) TCL herbicides and pesticides by EPA Method 8151A and 8081A; and (4) TCL polychlorinated biphenyls (PCBs) by EPA Method 8082. The grab samples were analyzed for TCL volatile organic compounds (VOCs) by EPA Method 8260;
- The collection of two (2) composite waste characterization soil samples (TCLP01 and TCLP02). Composite sample TCLP01 was created by compositing aliquots from samples SB01 through SB03 and TCLP02 was created by compositing aliquots from samples SB04 through SB07. The waste characterization samples were analyzed for: (1) the EPA Full Toxicity Characteristics Leaching Procedure (TCLP) parameters by EPA Method SW846; and (2) the Resource Conservation and Recovery Act (RCRA) Characteristics (ignitability, reactivity and corrosivity) by EPA Method SW846;
- The collection of one (1) groundwater sample (TWP01) from the TWP. The groundwater sample was analyzed for: (1) TCL VOCs by EPA Method 8260; (2) TCL BN/A extractable SVOCs by EPA Method 8270; (3) TAL metals by EPA Method 6010B; (4) TCL herbicides and pesticides by EPA Method 8151A and 8081A; (5) TCL PCBs by EPA Method 8082; and (6) the parameters published by the New York City Department of Environmental Protection (NYCDEP) as Limitations for Effluent to Sanitary or Combined Sewers (NYCDEP Sewer Discharge Criteria); and
- The preparation of this report, which include tables summarizing the laboratory analytical results and figures depicting boring locations, significant site features and, if applicable, contamination occurrence and distribution.

In order to evaluate subsurface soil quality, laboratory analytical results of grab and composite soil samples were compared with regulatory standards identified in: (1) New York State

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Department of Environmental Conservation (NYSDEC) Subpart 375-6: Remedial Program Unrestricted, Residential, and Commercial Use (Track 1 and Track 2) Soil Cleanup Objectives (SCOs) and (2) NYSDEC CP-51 Soil Cleanup Guidance Supplemental Soil Cleanup Objectives (SSCOs) to NYSDEC Subpart 375-6. The laboratory analytical results of the waste classification soil samples were compared with the Toxicity Characteristic Regulatory Levels for Hazardous Waste published in RCRA and NYSDEC Part 371. The analytical results of the groundwater samples were compared to (1) NYSDEC Class GA Groundwater Standards and Guidance Values as per NYSDEC Technical and Operational Guidance Series (TOGS) and (2) the NYCDEP Sewer Discharge Criteria.

Based on the evaluation of the field screening data and the laboratory analytical results, and a comparison to applicable regulatory standards, the following conclusions are presented:

- No evidence of visual or olfactory contamination was observed and PID readings were not detected above the background level of 1 part per million (ppm) at any soil boring location;
- The Corridor was found to be underlain by approximately seven (7) feet of anthropogenic fill material in the vicinity of soil boring SB04. The fill material consisted of dark yellowish brown to black coarse to fine sand with a little silt and traces of medium to fine gravel. Brick debris was noted within the fill layer. Fill material was not encountered at any of the remaining soil boring locations. Native soils observed along the Corridor are comprised mostly of moderate yellowish brown silty sand or sandy silt with traces of medium to fine gravel. In addition, layers of light olive gray clayey silt were observed in soil boring SB05. Bedrock was not encountered during this Phase II SCI;
- No VOCs, SVOCs, pesticides, herbicides, or PCBs were detected above regulatory standards in any of the soil samples collected as part of this Phase II SCI;
- Mercury was detected above Unrestricted Use (Track 1) and Residential Use (Track 2) SCOs in sample SB03. In addition, several metals were detected in all seven (7) soil samples; however, no other exceedances of regulatory standards were reported. According to LBA's Phase I CAR, the area surrounding SB03 had been backed-filled at some point between 1955 and 1966 and again between 1966 and 1979. The elevated levels of mercury in sample SB03 may be attributed to historic fill material placed beneath the Corridor;
- The composite waste classification samples TCLP01 and TCLP02 were analyzed for USEPA RCRA hazardous waste characteristics including corrosivity, ignitability, reactivity and toxicity. Results of these analyses indicate that the soil beneath the Corridor does not exhibit evidence of hazardous waste characteristics;
- No VOCs, SVOCs, pesticides, herbicides, or PCBs were detected above regulatory standards in any of the groundwater samples collected as part of this Phase II SCI;
- Iron, magnesium, manganese, and sodium were detected above NYSDEC Class GA Groundwater Standards and Guidance Values in sample TWP01. Unfiltered groundwater



samples exhibited numerous exceedances which are typically associated with the increased turbidity of the groundwater during sampling procedures and the leaching of constituents from soil particles due to added acid preservative. In addition, TWP01 was located in close proximity to the East River and magnesium and sodium are common constituents of brackish water. Therefore, the elevated levels of iron, magnesium, manganese, and sodium in sample TWP01 may be attributed to the leaching of constituents from soil particles due to sample preservation and may be partially attributed to native background conditions; and

• The groundwater sample TWP01 was analyzed for the parameters required by the NYCDEP Limitations for Effluent to Sanitary or Combined Sewers (Daily Limit). No exceedances of NYCDEP Sewer Discharge Criteria were reported.

Based on the results of the field investigation and laboratory analytical results, LBA recommends the following:

- The Contract documents should identify provisions for managing, handling, transporting and disposing of nonhazardous metals-impacted soil. As a contingency, contract documents should include specifications for transporting hazardous metals-impacted soil. The Contractor should be required to submit a Material Handling Plan, to identify the specific protocol and procedures that will be employed to manage the waste in accordance with applicable regulations;
- Dust control procedures are recommended during excavation activities to minimize the creation and dispersion of fugitive airborne dust. The Contractor may implement dust control measures to minimize potential airborne contaminants released into the ambient environment as a direct result of construction activities. A Community Air Monitoring Plan (CAMP) should be developed in accordance with NYSDEC DER-10 Regulations. The CAMP requires real-time monitoring for particulates (i.e., dust) at the downwind perimeter of each designated work area when certain activities are in progress at contaminanted sites. The CAMP is intended to provide a measure of protection for the area of the surrounding community located downwind from the potential release of airborne contaminants. Specific requirements should be reviewed for each situation and coordinate with the New York State Department of Health (NYSDOH) to ensure proper applicability;
- Based on the observed depth to groundwater (11 to 18 ft bgs), dewatering may be necessary for the proposed excavation activities. If dewatering is necessary the contractor will be required to obtain a NYCDEP sewer discharge permit and perform sampling and laboratory analysis prior to discharge into sanitary and combined sewers;
- In addition, if discharge into storm sewers is required during dewatering, it may be done under the appropriate NYSDEC State Pollutant Discharge Elimination System (SPDES) permit. Additional sampling and laboratory analysis may be required to satisfy NYSDEC requirements prior to discharge into storm sewers; and



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Prior to initiating any of the proposed excavation activities, the contractor should submit a site-specific health and safety plan (HASP) that will meet the requirements set forth by the Occupational, Safety and Health Administration (OSHA), the NYSDOH and any other applicable regulations. The HASP should identify the possible locations and risks associated with the potential contaminants that may be encountered, and the administrative and engineering controls that will be utilized to mitigate concerns (i.e. dust control procedures for metals).





# **1.0 INTRODUCTION**

On behalf of the New York City Department of Design and Construction (NYCDDC), Louis Berger & Assoc., P.C. (LBA) conducted a Phase II Subsurface Corridor Investigation (SCI) of the portions of 5<sup>th</sup> through 12<sup>th</sup> Avenues between 118<sup>th</sup> Street and College Place, and a portion of Poppenhusen Avenue in the College Point section of Queens, New York (hereinafter referred to as the "Corridor"). The New York City Department of Environmental Protection (NYCDEP) is proposing the installation of storm sewers within the Corridor as part of its outfall project under the City Environmental Quality Review (CEQR). The Corridor location is identified on the Topographic Map on Figure 1. The approximate linear length of the Corridor is 1.24 miles and comprises the following 11 street segments:

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- $6^{th}$  Avenue east of 119<sup>th</sup> Street 0.07 mile
- $7^{\text{th}}$  Avenue east of  $119^{\text{th}}$  Street 0.05 mile
- 8<sup>th</sup> Avenue west of College Place 0.09 mile
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- 118<sup>th</sup> Street Between 9<sup>th</sup> Avenue and 12<sup>th</sup> Avenue 0.12 mile
- 119<sup>th</sup> Street south of Poppenhusen Avenue 0.26 mile
- 120<sup>th</sup> Street from 9<sup>th</sup> Avenue to 9<sup>th</sup> Road 0.04 mile
- College Place between 5<sup>th</sup> Avenue and 8<sup>th</sup> Avenue 0.19 mile

The Phase II SCI was conducted to determine if the Corridor's environmental condition might impact proposed construction activities.

# 1.1 Summary of Previous Environmental Investigations

LBA prepared a Phase I Corridor Assessment Report (CAR) for the Corridor in December 2012. The Corridor Assessment process involved conducting a Corridor reconnaissance to document current property use and conditions; a review of historical Sanborn Fire Insurance Maps to document historical property usage; and a review of a regulatory agency database report to identify Corridor properties and adjoining sites of potential environmental concern. In instances where the Corridor reconnaissance, Sanborn Fire Insurance Map review or regulatory agency database report review identified multiple "High" or "Moderate" risks within a single Block, the entire Block was assigned a single risk category and is considered a single site.

The Phase I CAR initially identified 13 sites categorized as initially having a "High" risk and one (1) site categorized as initially having a "Moderate" risk with respect to potential impact on the proposed project. Based on modifying information, LBA recommended that two (2) of the 13 initial "High" risk sites be reclassified as "Moderate" risk sites and that 10 of the initial 13 "High" risk sites be reclassified as "Low" risk sites. LBA also recommends that initial one (1) "Moderate" risk sites.



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The sites identified in the Phase I CAR were re-classified because they were found to be outside of the Corridor limits or were identified on one or more of the regulatory agency databases but modifying information or professional judgment indicated a lower risk to the Corridor. Modifying information included sites with closed New York State Department of Environmental Conservation (NYSDEC) spills, sites identified on one or more databases with no evidence or records of spills or other environmental concerns, historical sites that were redeveloped or are location where they no longer posed any significant risks to proposed construction activities within the Corridor.

Therefore, the final evaluation has identified one (1) final "High" risk site and two (2) final "Moderate" risk sites with respect to potential impact on the project Corridor. The final "High" and "Moderate" risk sites are listed below:

### "HIGH" RISK SITE

 5<sup>th</sup> Avenue and College Place / East PV DVLP - College PT, 120-31 - 120-61 5<sup>th</sup> Avenue (Map IDs B2, B9, D11 and 32) (Block 3916, Lots 1-7, 9, 111, 11 and 15)

# "MODERATE" RISK SITES

- 1) 516 College Place (Identified during the Sanborn review) (Block 3936, Lot 54)
- 2) JTR College Point / College Point Properties, Inc., 5<sup>th</sup> Avenue and 121<sup>st</sup> Street (Map IDs D12, D14, D15 and D17)

# 1.2 Scope of Work

The Phase II SCI consisted of a field investigation, laboratory analyses, and the preparation of this report, which includes tables summarizing the laboratory analytical results and figures depicting boring locations, significant site features and, if applicable, contamination occurrence and distribution. Drilling activities for the field investigation were performed by Aquifer Drilling and Testing, Inc. (ADT) of Mineola, New York. Oversight of drilling activities was performed by Mr. Joseph Nelson, Senior Environmental Scientist of LBA. Laboratory analyses were provided by Hampton-Clarke/Varitech (HC-V) of Fairfield, New Jersey which is a New York State Department of Health (NYSDOH) Environmental Laboratory Approval Program (ELAP)-certified analytical laboratory (No. 11408). Field derived Quality Assurance/Quality Control samples consisted of two (2) trip blanks, one (1) field blank, and one (1) blind field duplicate soil sample. The field investigation was conducted on May 6, 7, and 8, 2013 and consisted of the following components:

• The advancement of seven (7) soil borings (SB01 through SB07) to a terminal depth of twenty (20) feet below ground surface (ft bgs). All borings were initially pre-cleared, using a vactron and air-knife methods to 6 ft bgs. The borings were then advanced using a Geoprobe® direct push drill rig. Soil samples were collected using 5-foot long, 2-inch diameter Macro Core stainless steel samplers equipped with acetate sleeves. One (1) temporary well point (TWP) was installed in soil boring SB01, selected based on the number



of high risk sites in the vicinity and depth to groundwater. For the installation of the TWP, the Geoprobe® unit was advanced to a terminal depth of 20 ft bgs, approximately five (5) feet below the encountered water table. The TWP consisted of a 20-foot length screen section of one-inch diameter schedule 40 PVC. A groundwater sample was collected from the TWP for screening and laboratory analysis via dedicated Teflon tubing and a peristaltic pump. A TWP was proposed in soil boring SB07; however, groundwater was not encountered at this location;

- Field screening, classification and identification of soils from the surface grade to the terminal depth of each boring. Soil samples were visually classified in the field using the Burmister soil classification system in addition to the unified soil classification system (USCS) and Munsell Rock Color charts. Field screening consisted of visual and olfactory indicators of impacts as well as screening with a photoionization detector (PID);
- The collection of one (1) composite and one (1) grab sample from the borings. The composite samples taken from the soil borings were comprised of soil from the entire boring column. The grab samples were collected from the 6-inch interval exhibiting the highest evidence of contamination, the 6-inch interval above the water table, or if groundwater was not encountered, the bottom 6-inch interval of the boring;
- Composite samples were analyzed for: (1) Target Compound List (TCL) base neutral/acid (BN/A) extractable semi-volatile organic compounds (SVOCs) by United States Environmental Protection Agency (EPA) Method 8270; (2) Target Analyte List (TAL) metals by EPA Method 6010B; (3) TCL herbicides and pesticides by EPA Method 8151A and 8081A; and (4) TCL polychlorinated biphenyls (PCBs) by EPA Method 8082. The grab samples were analyzed for TCL volatile organic compounds (VOCs) by EPA Method 8260;
- The collection of two (2) composite waste characterization soil samples (TCLP01 and TCLP02). Composite sample TCLP01 was created by compositing aliquots from samples SB01 through SB03 and TCLP02 was created by compositing aliquots from samples SB04 through SB07. The waste characterization samples were analyzed for: (1) the EPA Full Toxicity Characteristics Leaching Procedure (TCLP) parameters by EPA Method SW846; and (2) the Resource Conservation and Recovery Act (RCRA) Characteristics (ignitability, reactivity and corrosivity) by EPA Method SW846;
- The collection of one (1) groundwater sample (TWP01) from the TWP. The groundwater sample was analyzed for: (1) TCL VOCs by EPA Method 8260; (2) TCL BN/A extractable SVOCs by EPA Method 8270; (3) TAL metals by EPA Method 6010B; (4) TCL herbicides and pesticides by EPA Method 8151A and 8081A; (5) TCL PCBs by EPA Method 8082; and (6) the parameters published by the New York City Department of Environmental Protection (NYCDEP) as Limitations for Effluent to Sanitary or Combined Sewers (NYCDEP Sewer Discharge Criteria); and

Louis Berger & Associates, P.C. DDC CAPIS ID No. SEQ200463

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• The preparation of this report, which include tables summarizing the laboratory analytical results and figures depicting boring locations, significant site features and, if applicable, contamination occurrence and distribution.



### 2.0 CORRIDOR INFORMATION

### 2.1 Corridor Location, Description and Use

The Corridor is located in College Point, New York and consists of portions of 5<sup>th</sup> through 12<sup>th</sup> Avenues between 118<sup>th</sup> Street and College Place, and a portion of Poppenhusen Avenue. The Corridor partially extends northward into Macneil Park. The Corridor location is identified on the Topographic Map on Figure 1. The approximate length of the Corridor is 1.24 miles and consists of the following 11 street segments:

- 5<sup>th</sup> Avenue from 121<sup>st</sup> Street to College Place 0.07 mile
- North of 5<sup>th</sup> Avenue within Hermon A. MacNeil Park 0.05 mile
- Poppenhusen Avenue from College Place to 119<sup>th</sup> Street 0.14 mile
- $6^{\text{th}}$  Avenue east of 119<sup>th</sup> Street 0.07 mile
- 7<sup>th</sup> Avenue east of 119<sup>th</sup> Street 0.05 mile
- 8<sup>th</sup> Avenue west of College Place 0.09 mile
- 9<sup>th</sup> Avenue between College Place and 118<sup>th</sup> Street 0.16 mile
- 118<sup>th</sup> Street Between 9<sup>th</sup> Avenue and 12<sup>th</sup> Avenue 0.12 mile
- 119<sup>th</sup> Street south of Poppenhusen Avenue 0.26 mile
- 120<sup>th</sup> Street from 9<sup>th</sup> Avenue to 9<sup>th</sup> Road 0.04 mile
- College Place between 5<sup>th</sup> Avenue and 8<sup>th</sup> Avenue 0.19 mile

Manhole covers, asphalt patches and storm drains are visible in roadway and sidewalk areas throughout the Corridor, and indicate the potential presence of multiple buried utilities which may include electric, communications, sewer, water and gas services. Overhead, pole-mounted utility lines span the length of each road within the Corridor.

The Corridor is located within a residential neighborhood consisting of single-family, townhomes and multi-family apartment style dwellings. MacNeil Park is located within the northern Corridor limits and contains a walking/running trail, playground, restrooms and a baseball diamond. Each street within the Corridor is lined with pole-mounted overhead utilities in addition to underground natural gas, water and sewer utilities.

### 2.2 Description of Surrounding Properties

Generally, the Corridor is surrounded on all sides by residential properties. North of the Corridor, along Soundview Lane, is a development of two-family townhomes. Beyond the development is the East River. North of the intersection of 5<sup>th</sup> Avenue and College Point Boulevard is a second development consisting of single family row homes. East of the Corridor is primarily residential in nature with a few commercial properties. College Point Delicatessen at 6-23 College Point Boulevard is located at the intersection of 7<sup>th</sup> Avenue and College Point Boulevard. A convenience store, Boulevard Laundry and Gio's Deli are located on College Point Boulevard, east of the Corridor. Properties south of the Corridor are predominately used for residential purposes.



### 2.3 Corridor and Regional Topographic Setting

The United States Geologic Survey (USGS) 7.5-minute Topographic Quadrangle for Flushing, N.Y. (USGS, 1966) (Figure 1) maps topography within the Corridor as sloping from southeast to northwest. The Corridor ground surface elevation ranges from approximately 55 feet above mean seal level (msl) at the intersection of College Place and 9<sup>th</sup> Avenue, to less than 5 feet above msl at the northern most edge of the Corridor along College Place, adjacent to Hermon A. MacNeil Park. Surface runoff within the Corridor is anticipated to flow northwest, discharging into the East River.

### 2.4 Corridor and Regional Geology

The NYC Reconnaissance Soil Survey (2005) indicates two separate soil types exist within the Corridor. The northern portion of the Corridor is underlain by the Montauk-Foresthills complex. This complex is classified as till plains and moraines that have been only partially filled with natural soil materials for cemeteries, golf courses, or athletic fields with slopes of 0 to 8 percent. Some patches of woods and pockets of gneissic till soils are present within the complex. The remainder of the Corridor is located within the Pavement Buildings-Laguardia-Ebbets complex. This complex is comprised of urbanized areas filled with a mixture of natural soil materials and construction debris with 0 to 8 percent slopes. Approximately 50 to 80 percent of this complex is capped by impervious surfaces consisting of buildings and pavement.

The Subsurface Geology and Paleogeography of Queens County, Long Island New York (1978) and the Quaternary Geologic Map of the Hudson River  $4^{\circ} \times 6^{\circ}$  Quadrangle, United States and Canada (1992) indicates the till plains and moraines, which can reach thicknesses of up to 30 feet, are underlain by the Clay Member of the Raritan Formation. The Clay Member of the Raritan Formation is composed of clay beds with inclusions of silty clay and clayey silts containing fine quartz sand, lignite and to a lesser extent pyrite. Underlying the Clay Member of the Raritan Formation is the Lloyd Sand Member, which is composed of fine to coarse grained quartz sand interbedded with silt and gravel layers.

According to the *Bedrock and Engineering Geologic Maps of Bronx and Parts of New York and Queens County New York* (1992), the Clay Member and the Lloyd Sand Member of the Raritan Formation are underlain by the Pelham Bay Member of the Hartland Formation. The Pelham Bay Member consists of sillimanite-grade gneiss and garnet-plagioclase-sillimanite-muscovite and quartz gneiss. Bedrock is expected to be encountered at approximately 100 to 150 feet below ground surface (bgs) underlying the Corridor.

During this Phase II SCI the Corridor was found to be underlain by approximately seven (7) feet of anthropogenic fill material in the vicinity of soil boring SB04. The fill material consisted of dark yellowish brown to black coarse to fine sand with a little silt and traces of medium to fine gravel. Brick debris was noted within the fill layer. Fill material was not encountered at any of the remaining soil boring locations; however, LBA's Phase I CAR indicates that the area around SB03 was back-filled at some point between 1955 and 1966 and again between 1966 and 1979. Native soils observed along the Corridor are comprised mostly of moderate yellowish brown silty sand or sandy silt with traces of medium to fine gravel. In addition, layers of light olive gray

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clayey silt were observed in soil boring SB05. Bedrock was not encountered during this Phase II SCI.

### 2.5 Corridor and Regional Hydrogeology

The closest surface water body to the Corridor is the East River, located at the northern edge of the Corridor. According to LBA's Phase I CAR, the northern portion of the Corridor (along 5<sup>th</sup> Avenue) is located within the 500-year flood zone, with a smaller portion (at the intersection of 5<sup>th</sup> Avenue and College Place), located within the 100-year flood zone.

According to the U.S. Fish and Wildlife Service (USFWS) National Wetlands Inventory, estuarine and marine deep water wetlands are located at the very northern edge of the Corridor at the end of College Place (USFWS, 2012). Additionally, the environmental database report provided by Environmental Data Resources, Inc. (EDR), of Milford, CT and included in LBA's Phase I CAR, indicates that federally-regulated wetlands are located immediately adjacent to the northern edge of College Place (USFWS, 2012).

In general, groundwater was encountered between 11 and 18 ft bgs during this Phase II SCI; however, groundwater was not encountered at locations SB04, SB06, and SB07. Based on the elevation of the East River, local topographic features, and the elevation of the Corridor ground surface, groundwater is estimated to be encountered at less than 5 feet bgs in the northern portions of the Corridor and at approximately 15 to 20 feet bgs in the remainder of the Corridor. Groundwater flow directions may vary based on pumping, seasonal fluctuations in precipitation, local demand usage, underground utilities and dewatering operations.

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### 3.0 CORRIDOR EVALUATION

Proposed construction activities within the Corridor include soil excavation and may include dewatering, which in-turn requires that soils and groundwater at the site be characterized to identify material handling requirements (i.e. use of protective equipment) and for material reuse, handling and/or waste disposal requirements. LBA provided oversight for the advancement of seven (7) soil borings, and the collection of soil and groundwater samples during the field investigation at the designated areas in the vicinity of the planned construction. A summary of the field observations, including the location of the sites and the details of the soil borings, is provided in Table 1.

### 3.1 Soil Quality Investigation

Seven (7) soil borings (SB01 through SB07) were advanced to a terminal depth of 20 ft bgs, using a Geoprobe® direct push drill rig. Prior to direct push advancement, borings were cleared to a depth of six (6) ft bgs with a vactron and air-knife. Soil was recovered using a 5-foot long, 2-inch diameter Macro Core stainless steel sampler equipped with disposable acetate sleeves. Soil boring locations are depicted on Figure 2. The designations and sampling intervals for the samples that were submitted to the laboratory are included in Table 1. Maps depicting each boring location are included in Appendix A. Boring logs are provided in Appendix B. The locations of each boring are described below:

- SB01- Advanced in the vicinity of a "High" risk site and "Moderate" risk site and located on the field north of 5<sup>th</sup> Avenue, 6 feet and 1 inch east of the northwest intersection of 5<sup>th</sup> Avenue and College Place and 33 feet and 11 inches north of the curb along the south side of 5<sup>th</sup> Avenue.
- SB02 Located on the sidewalk along the north side of 5<sup>th</sup> Avenue, 289 feet and 7 inches east of the northeast corner of the intersection of 5<sup>th</sup> Avenue and 119<sup>th</sup> Street and 31 feet and 6 inches north of the curb along the south side of 5<sup>th</sup> Avenue.
- SB03 Advanced in the vicinity of a "High" risk and "Moderate" risk site and located on the sidewalk along the north side of 5<sup>th</sup> Avenue, 69 feet and 1 inch west of the northwest corner of the intersection of 5<sup>th</sup> Avenue and Soundview Lane and 35 feet north of the curb along the south side of 5<sup>th</sup> Avenue.
- SB04 Located on the sidewalk along the north side of 7<sup>th</sup> Avenue, 30 feet and 10 inches east of the northeast corner of the intersection of 7<sup>th</sup> Avenue and 119<sup>th</sup> Street and 46 feet and 9 inches north of the curb along the south side of 7<sup>th</sup> Avenue.
- SB05 Advanced in the vicinity of a "Moderate" risk site and located on the sidewalk along the west side of College Place, 19 feet and 5 inches south of the southwest corner of the intersection of 6<sup>th</sup> Avenue and College Place and 25 feet west of the curb along the
- east side of College Place.





- SB06 Located on the sidewalk along the south side of 9<sup>th</sup> Avenue, 15 feet and 8 inches east of the southeast corner of the intersection of 9<sup>th</sup> Avenue and 120<sup>th</sup> Street and 32 feet and 10 inches south of the curb along the north side of 9<sup>th</sup> Avenue.
- SB07 Located on the sidewalk along the west side of 118<sup>th</sup> Street, 73 feet and 5 inches north of the northwest corner of the intersection of 118<sup>th</sup> Street and 12<sup>th</sup> Avenue and 26 feet and 9 inches west of the curb along the east side of 118<sup>th</sup> Street.

Soil from each boring was classified and examined for visual evidence (i.e. staining, discoloration) and any olfactory indications (i.e. odors) of contamination. Continuous soil cores were collected from each of the borings at 5-foot intervals. In addition, a PID was used to screen the soil for VOC vapors.

In order to identify representative conditions relative to the presence of SVOCs, metals, herbicides, pesticides and PCBs over the entire soil column in each boring, composite soil samples were collected by mixing the soil from the entire column in a stainless steel bowl. A boring composite sample was taken from each soil boring.

In order to identify representative conditions relative to the presence of VOCs, a grab sample(s) was collected from the 6-inch interval exhibiting the highest evidence of contamination, the 6-inch interval above the water table, or if groundwater was not encountered, the bottom 6-inch interval of the boring.

In order to identify representative conditions for disposal purposes, two (2) composite waste characterization soil samples (TCLP01 and TCLP02) were collected. Composite sample TCLP01 was created by compositing aliquots from samples SB01 through SB03 and composite sample TCLP02 was created by compositing aliquots from samples SB04 through SB07.

Soil classification information, including stratigraphy, is documented on the boring logs included in Appendix B. All boring equipment was cleaned by being rinsed with tap water, scrubbed with Alconox, then rinsed with deionized water again between each sample interval. In addition, a disposable acetate liner was used inside the sampler for recovery of the soil cores. Following the completion of each boring, the boreholes were back-filled with drill cuttings, and then sealed with concrete, if appropriate.

### 3.2 Groundwater Quality Investigation

As groundwater may be encountered within the depths associated with the future excavation, one (1) groundwater sample was collected from SB01 for screening and laboratory analysis during the soil boring activities. A groundwater sample was proposed to be collected from SB07; however, groundwater was not encountered at this location. In general, groundwater was encountered at approximately 11 to 18 ft bgs. The groundwater sample was collected for screening and laboratory analysis via dedicated Teflon tubing and a peristaltic pump. The Teflon tubing was new, clean, and unused then properly disposed of after use. Upon extraction, the sample was examined for visual evidence (i.e., discoloration, sheen) and any olfactory indications (i.e. odors) of contamination and noted in the field book.

### 3.3 Laboratory Analyses

Soil samples were submitted to Hampton-Clarke/Varitech (HC-V) of Fairfield, New Jersey which is a New York State Department of Health (NYSDOH) Environmental Laboratory Approval Program (ELAP)-certified analytical laboratory (No. 11408). Field derived Quality Assurance/Quality Control samples consisted of two (2) trip blanks, one (1) field blank, and (1) blind field duplicate soil sample. Laboratory analytical reports are included in Appendix C.

The grab soil samples were analyzed for USEPA TCL VOCs by Method 8260. The boring composite soil samples were analyzed for: (1) TCL BN/A extractable SVOCs by EPA Method 8270; (2) TAL metals by EPA Method 6010B; (3) TCL pesticides and herbicides by EPA Method 8081A and EPA Method 8151A; and (4) PCBs by EPA Method 8082. The waste characterization soil sample was analyzed for: (1) EPA Full TCLP parameters and (2) RCRA Characteristics (ignitability, reactivity and corrosivity).

The groundwater sample was analyzed for: (1) USEPA TCL VOCs by Method 8260; (2) TCL BN/A extractable SVOCs by EPA Method 8270; (3) TAL metals by EPA Method 6010B; (4) TCL pesticides and herbicides by EPA Method 8081A and EPA Method 8151A; and (5) PCBs by EPA Method 8082; and (6) the parameters published by NYCDEP as Limitations for Effluent to Sanitary or Combined Sewers

### 3.4 Data Evaluation

In order to evaluate subsurface soil quality, laboratory analytical results of grab and composite soil samples were compared with regulatory standards identified in: (1) New York State Department of Environmental Conservation (NYSDEC) Subpart 375-6: Remedial Program Unrestricted, Residential, and Commercial Use (Track 1 and Track 2) Soil Cleanup Objectives (SCOs) and (2) NYSDEC CP-51 Soil Cleanup Guidance Supplemental Soil Cleanup Objectives (SSCOs) to NYSDEC Subpart 375-6. The laboratory analytical results of the waste classification soil samples were compared with the Toxicity Characteristic Regulatory Levels for Hazardous Waste published in RCRA and NYSDEC Part 371. The analytical results of the groundwater samples were compared to (1) NYSDEC Class GA Groundwater Standards and Guidance Values as per NYSDEC Technical and Operational Guidance Series (TOGS) and (2) the NYCDEP Sewer Discharge Criteria.



### 4.0 FINDINGS

This section discusses the analytical data and findings for activities discussed in Section 3.0. Boring logs and well installation records can be found in Appendix B. Complete analytical data reports are included in Appendix C.

### 4.1 Field Screening

Field screening consisted of visual and olfactory indicators of impacts as well as field screening with a PID. No evidence of visual or olfactory contamination was observed and PID readings were not detected above the background level of 1 part per million (ppm) at any soil boring location. Refer to Table 1 for a summary of environmental boring data.

### 4.2 Soil and Groundwater Laboratory Analytical Results

### 4.2.1 Volatile Organic Compounds (VOCs) in Soil

No VOCs were detected above regulatory criteria in any of the soil samples collected as part of this Phase II SCI. Refer to Table 2 for a summary of VOC detections.

### 4.2.2 Semi-Volatile Organic Compounds (SVOCs) in Soil

No SVOCs were detected above the laboratory's reporting limits in any of the soil samples collected as part of this Phase II SCI. Refer to Table 3 for a summary of SVOC detections.

### 4.2.3 Target Analyte List Metals (TAL Metals) in Soil

Mercury was detected above Unrestricted Use (Track 1) and Residential Use (Track 2) SCOs in sample SB03. In addition, several metals were detected in all seven (7) soil samples; however, no other exceedances of regulatory standards were reported. According to LBA's Phase I CAR, the area surrounding SB03 had been backed-filled at some point between 1955 and 1966 and again between 1966 and 1979. The elevated levels of mercury in sample SB03 may be attributed to historic fill material placed beneath the Corridor. Refer to Table 4 for a summary of metals detections.

### 4.2.4 Pesticides and Herbicides in Soil

No pesticides were detected above regulatory criteria in any of the soil samples collected as part of this Phase II SCI. Refer to Table 5 for a summary of pesticide detections.

No herbicides were detected above the laboratory's reporting limits in any of the soil samples collected as part of this Phase II SCI. Refer to Table 6 for a summary of herbicide detections

### 4.2.5 Polychlorinated Biphenyls (PCBs) in Soil

No PCBs were detected above the laboratory's reporting limits in any of the soil samples collected as part of this Phase II SCI. Refer to Table 7 for a summary of PCB detections.

### 4.2.6 Waste Classification of Soil

The composite waste classification samples TCLP01 and TCLP02 were analyzed for USEPA RCRA hazardous waste characteristics including corrosivity, ignitability, reactivity and toxicity. Results of these analyses indicate that the soil beneath the Corridor does not exhibit evidence of hazardous waste characteristics. Refer to Table 8 for a summary of TCLP parameters and RCRA characteristics.

### 4.2.7 Volatile Organic Compounds (VOCs) in Groundwater

No VOCs were detected above the laboratory's reporting limits in the groundwater sample collected as part of this Phase II SCI. Refer to Table 9 for a summary of VOC detections.

### 4.2.8 Semi-Volatile Organic Compounds (SVOCs) in Groundwater

No SVOCs were detected above the laboratory's reporting limits in the groundwater sample collected as part of this Phase II SCI. Refer to Table 10 for a summary of SVOC detections.

### 4.2.9 Target Analyte List Metals (TAL Metals) in Groundwater

Iron, magnesium, manganese, and sodium were detected above NYSDEC Class GA Groundwater Standards and Guidance Values in sample TWP01. Unfiltered groundwater samples exhibited numerous exceedances which are typical given the increased turbidity of the groundwater during sampling procedures and the leaching of constituents from soil particles due to added acid preservative. In addition, TWP01 was located in close proximity to the East River and magnesium and sodium are common constituents of brackish water. Therefore, the elevated levels of iron, magnesium, manganese, and sodium in sample TWP01 may be attributed to the leaching of constituents from soil particles due to sample preservation and may be partially attributed to native background conditions. Refer to Table 11 for a summary of metals detections.

### 4.2.10 Pesticides and Herbicides in Groundwater

No pesticides were detected above the laboratory's reporting limits in any of the soil samples collected as part of this Phase II SCI. Refer to Table 12 for a summary of pesticide detections.

No herbicides were detected above the laboratory's reporting limits in any of the soil samples collected as part of this Phase II SCI. Refer to Table 13 for a summary of herbicide detections

### 4.2.11 PCBs in Groundwater

No PCBs were detected above the laboratory's reporting limits in any of the soil samples collected as part of this Phase II SCI. Refer to Table 14 for a summary of PCB detections.

### 4.2.12 Analysis of NYCDEP Parameters in Groundwater

The groundwater sample TWP01 was analyzed for the parameters required by the NYCDEP Limitations for Effluent to Sanitary or Combined Sewers (Daily Limit). No exceedances of





NYCDEP Sewer Discharge Criteria were reported. Refer to Table 15 for a summary of selected NYCDEP parameters in groundwater.

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### 5.0 CONCLUSIONS AND RECOMMENDATIONS

Based on the evaluation of the field screening data and the laboratory analytical results, and a comparison to applicable regulatory standards, the following conclusions are presented:

- No evidence of visual or olfactory contamination was observed and PID readings were not detected above the background level of 1 part per million (ppm) at any soil boring location;
- The Corridor was found to be underlain by approximately seven (7) feet of anthropogenic fill material in the vicinity of soil boring SB04. The fill material consisted of dark yellowish brown to black coarse to fine sand with a little silt and traces of medium to fine gravel. Brick debris was noted within the fill layer. Fill material was not encountered at any of the remaining soil boring locations. Native soils observed along the Corridor are comprised mostly of moderate yellowish brown silty sand or sandy silt with traces of medium to fine gravel. In addition, layers of light olive gray clayey silt were observed in soil boring SB05. Bedrock was not encountered during this Phase II SCI;
- No VOCs, SVOCs, pesticides, herbicides, or PCBs were detected above regulatory standards in any of the soil samples collected as part of this Phase II SCI;
- Mercury was detected above Unrestricted Use (Track 1) and Residential Use (Track 2) SCOs in sample SB03. In addition, several metals were detected in all seven (7) soil samples; however, no other exceedances of regulatory standards were reported. According to LBA's Phase I CAR, the area surrounding SB03 had been backed-filled at some point between 1955 and 1966 and again between 1966 and 1979. The elevated levels of mercury in sample SB03 may be attributed to historic fill material placed beneath the Corridor;
- The composite waste classification samples TCLP01 and TCLP02 were analyzed for USEPA RCRA hazardous waste characteristics including corrosivity, ignitability, reactivity and toxicity. Results of these analyses indicate that the soil beneath the Corridor does not exhibit evidence of hazardous waste characteristics;
- No VOCs, SVOCs, pesticides, herbicides, or PCBs were detected above regulatory standards in any of the groundwater samples collected as part of this Phase II SCI;
- Iron, magnesium, manganese, and sodium were detected above NYSDEC Class GA Groundwater Standards and Guidance Values in sample TWP01. Unfiltered groundwater samples exhibited numerous exceedances which are typical given the increased turbidity of the groundwater during sampling procedures and the leaching of constituents from soil particles due to added acid preservative. In addition, TWP01 was located in close proximity to the East River and magnesium and sodium are common constituents of brackish water. Therefore, the elevated levels of iron, magnesium, manganese, and sodium in sample TWP01 may be attributed to the leaching of constituents from soil particles due to sample preservation and may be partially attributed to native background conditions; and





• The groundwater sample TWP01 was analyzed for the parameters required by the NYCDEP Limitations for Effluent to Sanitary or Combined Sewers (Daily Limit). No exceedances of NYCDEP Sewer Discharge Criteria were reported.

Based on the results of the field investigation and laboratory analytical results, LBA recommends the following:

- The Contract documents should identify provisions for managing, handling, transporting and disposing of nonhazardous metals-impacted soil. As a contingency, contract documents should include specifications for transporting hazardous metals-impacted soil. The Contractor should be required to submit a Material Handling Plan, to identify the specific protocol and procedures that will be employed to manage the waste in accordance with applicable regulations;
- Dust control procedures are recommended during excavation activities to minimize the creation and dispersion of fugitive airborne dust. The Contractor may implement dust control measures to minimize potential airborne contaminants released into the ambient environment as a direct result of construction activities. A Community Air Monitoring Plan (CAMP) should be developed in accordance with NYSDEC DER-10 Regulations. The CAMP requires real-time monitoring for particulates (i.e., dust) at the downwind perimeter of each designated work area when certain activities are in progress at contaminated sites. The CAMP is intended to provide a measure of protection for the area of the surrounding community located downwind from the potential release of airborne contaminants. Specific requirements should be reviewed for each situation and coordinate with the New York State Department of Health (NYSDOH) to ensure proper applicability;
- Based on the observed depth to groundwater (11 to 18 ft bgs), dewatering may be necessary for the proposed excavation activities. If dewatering is necessary the contractor will be required to obtain a NYCDEP sewer discharge permit and perform sampling and laboratory analysis prior to discharge into sanitary and combined sewers;
- In addition, if discharge into storm sewers is required during dewatering, it may be done under the appropriate NYSDEC State Pollutant Discharge Elimination System (SPDES) permit. Additional sampling and laboratory analysis may be required to satisfy NYSDEC requirements prior to discharge into storm sewers; and
- Before beginning any excavation activity, the contractor should submit a site-specific health and safety plan (HASP) that will meet the requirements set forth by the Occupational, Safety and Health Administration (OSHA), the NYSDOH and any other applicable regulations. The HASP should identify the possible locations and risks associated with the potential contaminants that may be encountered, and the administrative and engineering controls that will be utilized to mitigate concerns (i.e. dust control procedures for metals).

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### 6.0 STATEMENT OF LIMITATIONS

The data presented and the opinions expressed in this report are qualified as stated in the attachment to this section of the report.

Report Prepared By:

Evan Mankoff, P.G. Manager, Subsurface Investigations

Report Reviewed By:

Unichoel JUl Chalung

Michael J. McCloskey, PG QA/QC Manager







### STATEMENT OF LIMITATIONS

The data presented and the opinions expressed in this report are qualified as follows:

The sole purpose of the investigation and of this report is to assess the physical characteristics of the Site with respect to the presence or absence in the environment of oil or hazardous materials and substances as defined in the applicable state and federal environmental laws and regulations and to gather information regarding current and past environmental conditions at the Site.

LBA derived the data in this report primarily from visual inspections, examination of records in the public domain, interviews with individuals with information about the Site, and a limited number of subsurface explorations made on the dates indicated. The passage of time, manifestation of latent conditions or occurrence of future events may require further exploration at the Site, analysis of the data, and reevaluation of the findings, observations, and conclusions expressed in the report.

In preparing this report, LBA has relied upon and presumed accurate certain information (or the absence thereof) about the Site and adjacent properties provided by governmental officials and agencies, the Client, and others identified herein. Except as otherwise stated in the report, LBA has not attempted to verify the accuracy or completeness of any such information.

The data reported and the findings, observations, and conclusions expressed in the report are limited by the Scope of Services, including the extent of subsurface exploration and other tests. The Scope of Services was defined by the requests of the Client, the time and budgetary constraints imposed by the Client, and the availability of access to the Site.

Because of the limitations stated above, the findings, observations, and conclusions expressed by LBA in this report are not, and should not be considered, an opinion concerning the compliance of any past or present owner or operator of the site with any federal, state or local law or regulation. No warranty or guarantee, whether express or implied, is made with respect to the data reported or findings, observations, and conclusions expressed in this report. Further, such data, findings, observations, and conclusions are based solely upon site conditions in existence at the time of investigation.

This report has been prepared on behalf of and for the exclusive use of the Client, and is subject to and issued in connection with the Agreement and the provisions thereof.

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	-			Sample		T	Metals	Danáh ta	1	
	Boring No.	Sample ID	High PID (ppm)	interval (ftbgs)	Total VOCs (mg/kg)	Total SVOCs (mg/kg)	Exceed	Depth to Water (ftbgs)	Total Depth (ftbgs)	Other Comments
		SB01	<1	14.0 -14.5	0.0026	-	Ň1.			
	SB01			0 - 20	-	ND	No			Groundwater Sample TWP01 was
	0001	DUP01	<1	14.0 -14.5	0.0023	-		14.5	20	Collected from SB01
				0 - 20		ND	No			
	SB02	SB02	<1	17.5 -18.0	ND					· · · · · · · · · · · · · · · · · · ·
		0.002		0 - 20		ND	No	18.0	20	Collected Waste Classification Sample
	SB03	SB03	<1	14.5-15.0	0.0024		N			TCLP01 from SB01, SB02 and SB03
				0 - 20		ND	Yes	15.0	20	
	SB04	SB04	<1	17.5 - 18.0	ND					
				0 - 20		ND	No	NE	20	
	SB05	SB05	<1	10.5 -11.0	0.0036					
L				0 - 20	- 1	ND	No	11.0	20	
	SB06	SB06	<1	18.0 -18.5	ND	-				Collected Waste Classification Sample
				0 - 20	- 1	ND	No	NE	20	TCLP02 from SB04 through SB07
	SB07	SB07	<1	17.0 -17.5	ND	-				
L				0 - 20		ND	No	NE	20.0	

### Table 1. Summry of Environmental Boring Data Phase II Subsurface Corridor Investigation for Storm Sewer in College Place Queens, New York

### Notes:

Notes: 1. Metal(s) exceeds Unrestricted Use (Track 1) or Residential Use (Track 2) SCOs. All soil samples were analyzed for Target Compound List (TCL) Volatile Organic Compounds, Semi-Volatile Organic Compounds (SVOCs) Pesticides, PCBs, Tareget Analyte List (TAL) Metals and Herbicides. All groundwater samples were analyzed for NYCDEP Limitations for Effluent to Sanitary or Combined Sewers PLD = Photoionization dotestor

PID = Photoionization detector

N/A = Not applicable. PID was not used door to poor weather.

ND = Not Detected

NE = Not Encountered

ftbgs = feet below ground surface

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# Table 2. Summary of Target Compound List Volatile Organic Compounds Detected in Soll Phase II Subsurface Corridor Investigation for Storm Sewer in College Place Queens, New York

	Unrestricted Use	Commerical Use	<b>Residential Use</b>			Samp	Sample ID, Date Collected, and Depth	ilected, and <b>E</b>	)epth		
	(Track 1)	(Track 2)	(Track 2)	SB01	DUP01	SB02	SB03	SB04	SB05	SB06	SB07
	Soil Cleanup	Soil Cleanup	Soli Cleanup	5/7/2013	5/7/2013	5/7/2013	5/7/2013	5/8/2013	5/7/2013	5/8/2013	5/8/2013
	Objectives (SCOs)	Objectives (SCOs) Objectives (SCOs) Objectives	Objectives (SCOs)	14.0 - 14.5	14.0 - 14.5	17.5 - 18.0			10.5 - 11.0	18.0 - 18.5	17.0 - 17.5
	0.05	τ υυ ε	51	0.0026	0.0023	QN	0.0024	QN	Q	QN	QN
Methylene Unioride	cn.u	200	5							4	4
	NC	SN	NS	2	2	g	g	a	0.0036	NN	D
mop-Aylerie	2	21		-	4				0 0036	ç	G
Xvlene (Total)	0.26	500	100	NN	ND	ND	ND		0.0000		

### Notes:

All concentrations are in parts per million or milligrams per kilogram(ppm or mg/kg) ND = Compound not detected above method detection limit (see attached lab report for mdl's)

SCOs = Soil Cleanup Objectives as per the NYSDEC Regulations 6 NYCRR Subpart 375-6 Remedial Program Soil Cleanup Objectives (December 14, 2006) BOLD = Concentration exceeds Residential Use (Track 2) Soil Cleanup Objectives NS = No Standard

versungen og en stander og som en stander og en som en Underline = Concentration exceeds Unrestricted Use (Track 1) Soil Cleanup Objectives

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# Table 3. Summary of Target Compound List Semi-Volatile Organic Compounds Detected in Soil Phase II Subsurface Corridor Investigation for Storm Sewer in College Place Queens, New York

	Unrestricted Use (Track 1)	Inrestricted Use Commerical Use (Track 1)	Residential Use (Track 2)			Samp	le ID, Date Co	Sample ID, Date Collected, and Depth	apth		
	Soil Cleanup	Soil Cleanup	Soll Cleanup	SB01	DUP01	SB02	SB03	SB04	SB05	SB06	SR07
	<b>Objectives (SCOs)</b>	Objectives (SCOs) Objectives (SCOs) Objecti		5/7/2013	5/7/2013	5/7/2013	5/7/2013	5/8/2013	5/7/2013	5/8/2013	5/8/2013
				0 - 20	0-20	0-20	0 - 20	0-20	0- 20	00-0	
No SVOCs were detected	SN	SN	SN	Ģ		4				07 - D	N2 - V
		2	2		ND	P	Q N	Ð	g	g	2

### Notes:

All concentrations are in parts per million or milligrams per kilogram(ppm or mg/kg)

ND = Compound not detected above method detection limit (see attached lab report for mdl's)

NS = No Standard

SCOs = Soil Cleanup Objectives as per the NYSDEC Regulations 6 NYCRR Subpart 375-6 Remedial Program Soil Cleanup Objectives (December 14, 2006)

BOLD = Concentration exceeds Residential Use (Track 2) Soil Cleanup Objectives

Underline = Concentration exceeds Unrestricted Use (Track 1) Soil Cleanup Objectives

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	Unrestricted Use	Commerical Use	Residential Use			Samp	Sample ID, Date Collected, and Depth	llected, and D	)epth		
Target Analyte List	(Track 1)	(Track 2)	(Track 2)	SB01	DUP01	SB02	SB03	SB04	SB05	SB06	SB07
Metal			Soil Cleanup	5/7/2013	5/7/2013	5/7/2013	5/7/2013	5/8/2013	5/7/2013	5/8/2013	5/8/2013
	<b>Objectives (SCOs)</b>	Objectives (SCOs)	Objectives (SCOs)	0 - 20	0 - 20	0 - 20	0 - 20	0 - 20	0 - 20	0 - 20	0 - 20
Aliminim	SN	SN	NS	14000	16000	5100	12000	9700	13000	8100	10000
Arsonic	13	16	16	QN	Q	QN	3.9	6.4	Q	3.4	Q
Barium	350	400	350	100	110	20	110	51	120	45	.96
Bendlium	7.2	590	72	0.79	0.88	QN	QN	QN	0.93	QN	Q
Calcium Metal	SN	NS	NS	QN	Q	1200	1400	6900	2700	1500	1500
	SN	NSN	NS	26	35	14	23	19	34	17	24
Critomur	SN	SN	NS	12	12	8.5	25	9	12	6.5	10
Coport	202	270	270	28	29	30	22	24	28	18	29
copper	SN	SN	NS	23000	26000	13000	22000	17000	25000	18000	21000
	63	1000	400	6.8	7.3	5.9	19	29	7.9	QN	16
Magnosium	SN N	SN	NS	4700	5900	2500	3200	4900	. 0002	3200	4400
Mananese	1600	10000	2000	640	430	270	1300	230	460	150	340
Mercury	0.18	2.8	0.81	QN	QN	DN	2	QN	Q	QN	Q
Nickel	30	310	140	23	25	15	17	14	27	13	21
Detrecium	SN	SN	NS	3700	5200	2400	1400	1300	4500	1600	4300
Codium	SN	SN	NS	920	1300	310	570	460	QN	490	QN
Vanadium	SN	SN	SN	40	45	20	33	37	44	23	32
7:		1000	2200	47	54	28	37	44	62	27	40
ZINC	201	00001									

# Table 4. Summary of Target Analyte List Metals Detected in Soll Phase II Subsurface Corridor Investigation for Storm Sewer in College Place Queens, New York

### Notes:

All concentrations are in parts per million or milligrams per kilogram(ppm or mg/kg)

ND = Compound not detected above method detection limit (see attached lab report for mdl's)

NS = No Standard

SCOs = Soil Cleanup Objectives as per the NYSDEC Regulations 6 NYCRR Subpart 375-6 Remedial Program Soil Cleanup Objectives (December 14, 2006)

BOLD = Concentration exceeds Residential Use (Track 2) Soil Cleanup Objectives

Shading - Concentration exceeds Unrestricted Use (Track 1) Soil Cleanup Objectives Underline = Concentration exceeds Unrestricted Use (Track 1) Soil Cleanup Objectives

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### Phase II Subsurface Corridor Investigation for Storm Sewer in College Place Table 5. Summary of Target Analyte List Pesticides Detected in Soll Queens, New York

	SRAG CDA7	4	+	
epth	SB05	5/7/2013	0-20	
Sample ID, Date Collected, and Depth	SB04	5/8/2013	0-20	2.9
e ID, Date Co	SB03	5/7/2013	0-20	Q
Samp	SB02	5/7/2013	0-20	Q
	DUP01	5/7/2013	0-20	QN
	SB01	5/7/2013	0-20	QN
Residential Use	Soil Cleanup	Objectives (SCOe)	loopol pormates	SN
Unrestricted Use Commerical Use (Track 1)	Soil Cleanup	Objectives (SCOs) Objectives (SCOs) Objectives (SCOs)		SN
Unrestricted Use (Track 1)	Soil Cleanup	<b>Objectives (SCOs)</b>		NS
;	Pesticide			Chlordane

### Notes:

All concentrations are in parts per million or milligrams per kilogram(ppm or mg/kg)

ND = Compound not detected above method detection limit (see attached lab report for mdl's)

NS = No Standard

SCOs = Soil Cleanup Objectives as per the NYSDEC Regulations 6 NYCRR Subpart 375-6 Remedial Program Soil Cleanup Objectives (December 14, 2006) BOLD = Concentration exceeds Residential Use (Track 2) Soil Cleanup Objectives

<u> Underline = Concentration exceeds Unrestricted Use (Track 1) Soil Cleanup Objectives</u>

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Table 6. Summary of Herbicides Detected in Soll Phase II Subsurface Corridor Investigation for Storm Sewer in College Place Queens, New York

	Unrestricted Use	Unrestricted Use Commerical Use	<b>Residential Use</b>			Samp	ole ID, Date Co	Sample ID, Date Collected, and Depth	epth		
	(Track 1)	(Track 2)	(Track 2)	SB01	DUP01	SB02	SB03	SB04	SB05	SB06	SB07
Herbicide	Soil Cleanup	Soil Cleanup	Soil Cleanup	5/7/2013	5/7/2013	5/7/2013	5/7/2013	5/8/2013	5/7/2013	5/8/2013	5/8/2013
	<b>Objectives (SCOs)</b>	Objectives (SCOs) Objectives (SCOs)	Objectives (SCOs)	0-20	0-20	0-20	0-20	0-20	0 - 20	0 - 20	0 - 20
	NIC	SN	SN	Q	Q	Q	QN	QN	QN	QN	QN
No Herbicides were detected	2	2									

### Notes:

All concentrations are in parts per million or milligrams per kilogram(ppm or mg/kg)

ND = Compound not detected above method detection limit (see attached lab report for mdl's)

SCOs = Soil Cleanup Objectives as per the NYSDEC Regulations 6 NYCRR Subpart 375-6 Remedial Program Soil Cleanup Objectives (December 14, 2006) BOLD = Concentration exceeds Residential Use (Track 2) Soil Cleanup Objectives

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Phase II Subsurface Corridor Investigation for Storm Sewer in College Place Table 7. Summary of Polychlorinated Biphenyls Detected in Soil Queens, New York

	Unrestricted Use	Unrestricted Use Commerical Use	<b>Residential Use</b>			Sample I	Sample ID, Date Collected, and Denth	lected. and	Denth		
PCR	(I Lack 1)	(Track 2)	(Track 2)								
	Soil Cleanup	Soil Cleanup	لــــ	SB01	DUP01	<b>SB02</b>	SB03	SB04	SB05	SROG	CB07
	Obications (600-)			5/12012	E17/2042	01001013					2001
	Unjectives (SCOS) Unjectives (SCOS	UDJectives (SCOs) 0	Objectives (SCOs)	01112010	01/12/10	51/1ZU13	S///2013	5/8/2013	5/7/2013	5/8/2013	5/R/2012
				0.20	00						01012013
No DCBe were Defected				22-20	N - 2V	0 - ZU	02-0	0-20	0 - 20	0 - 20	0.20
INO LOOS WELE DELECIED	202	SS	U.Z		Ş						2 - 20
			2		P	NN	QN	Q	g	Q	CN

Notes:

All concentrations are in parts per million or milligrams per kilogram(ppm or mg/kg)

ND = Compound not detected above method detection limit (see attached lab report for mdl's) NS = No Standard

\* Refers to the total concentration of PCBs in the sample

SCOs = Soil Cleanup Objectives as per the NYSDEC Regulations 6 NYCRR Subpart 375-6 Remedial Program Soil Cleanup Objectives (December 14, 2006) BOLD = Concentration exceeds Residential Use (Track 2) Soil Cleanup Objectives

Underline = Concentration exceeds Unrestricted Use (Track 1) Soil Cleanup Objectives

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### Table 8. Summary of Waste Classification Parameters Detected in Soil Phase II Subsurface Corridor Investigation for Storm Sewer in College Place Queens, New York

	RCRA			Sample ID an	d Date Collected	
	Hazardous		TCL	D01	TCI	P02
Parameter	Hazardous		5/7/2		5/8/	2013
	Levels	' <b> </b> -			_	
	>140	۱°F	ND	°F	ND	°F
gnitability	>2 and < 12.5		7.3		7.9	
Н	250	mg/kg		mg/kg		mg/kg
Reactive Cyanide	500	mg/kg		mg/kg		mg/kg
Reactive Sulfide	0.7	mg/L		mg/L		mg/L
,1-Dichloroethene	0.5	mg/L		mg/L		mg/L
,2-Dichloroethane	7.5	mg/L	0.0029	mg/L		mg/L
,4-Dichlorobenzene	200	mg/L		mg/L		mg/L
-Butanone	0.5	mg/L	ND	mg/L		mg/L
Benzene	0.5	mg/L		mg/L		mg/L
Carbon tetrachloride	100	mg/L	0.0022			mg/L
Chlorobenzene	6	mg/L		mg/L		mg/L
Chloroform	0.7	mg/L		mg/L		mg/L
	0.5	mg/L		mg/L		mg/L
Trichloroethene	0.2	mg/L		mg/L		mg/L
2,4,5-Trichlorophenol	400	mg/L	ND	mg/L		mg/L
2,4,5-Trichlorophenol	2	mg/L	ND	mg/L		mg/L
2,4,6-Trichlorophenol	0.13	mg/L	ND	mg/L		mg/L
	200	mg/L	ND	mg/L	NE	mg/L
2-Methylphenol	200	mg/L		mg/L		) mg/L
3&4-Methylphenol	0.13	mg/L	ND	mg/L		mg/L
Hexachlorobutadiene	0.5	mg/L		mg/L		) mg/L
Hexachloroethane	3	mg/L	ND	mg/L		) mg/L
Nitrobenzene	2	mg/L		mg/L		) mg/L
Pentachlorophenol	100	img/L		mg/L		) mg/L
Pyridine	5	mg/L		mg/L		) mg/L
Chlorodane	0.03	mg/L		mg/L		) mg/L
Endrin	0.02	mg/L		mg/L		) mg/L
Gamma-BHC	0.4	mg/L		) mg/L		) mg/L
Heptachlor	0.008	mg/L		mg/L		) mg/L
Heptachlor epoxide	0.008	mg/L		mg/L		Dmg/L
Methoxychior	10	mg/L		) mg/L		D mg/L
Toxaphene	0.5	mg/L		) mg/L		D mg/L
2,4-D	10	mg/L		) mg/L		Dmg/L
Silvex	1	mg/L		) mg/L		Dmg/L
Arsenic	5	mg/L	N	) mg/L		D mg/L
Barium	100	mg/L		4 mg/L		2 mg/L
Cadmium	1	mg/L		) mg/L		D mg/L
Chromium	5	mg/L		D mg/L		D mg/L
Lead	5	mg/L		1 mg/L		D mg/L
Mercury	0.2	mg/L		D mg/L		D mg/L
Selenium	1	mg/L		D mg/L		D mg/L
Silver	5	mg/L	N	D mg/L	N	D mg/L

Notes:

All concentrations are in parts per million, milligrams per kilogram, or milligrams per liter (ppm, mg/kg, or mg/L)

NS = No Standard ND = Compound not detected above method detection limit (see attached lab report for mdl's)

N/A = Not Analyzed

Bold = Positive detection

Shaded = Concentration exceeds RORA Hazardous Waste Devel

Table 9. Summary of Target Compound List Volatile Organic Compounds Detected in Groundwater Phase II Subsurface Corridor Investigation for Storm Sewer in College Place **Queens, New York** 

Sample ID, Sampling Date, and Depth to Water TWP01 5/7/2013	ND
NYSDEC Class GA Groundwater Standards and Guidance Values	SN
TCL VOC	No VOCs were Detected

### Notes:

Sample TWP04 was collected during the Grace Asphalt Plant Phase II ESI in November 2009 All concentrations are reported in parts per billion or micrograms per liter (ppb or ug/L) U = Unfiltered sample

ND = Compoound not detected above method detection limit (see attached lab report for mdl's) NS = No standard

**Bold** = Positive detection

<u>Underline</u> = Concentration exceeds Limitation to Combined Sewers

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Table 10. Summary of Target Compound List Semi-Volatile Organic Compounds Detected in Groundwater Phase II Subsurface Corridor Investigation for Storm Sewer in College Place Queens, New York

NYSDEC Class GA and Depth to Water		Standards and Guidance 5/7/2013	Values 14.5	ND
-5 UASI	TCL SVOC			No SOVCs were Detected

### Notes:

All concentrations are reported in parts per billion or micrograms per liter (ppb or ug/L)

ND = Compoound not detected above method detection limit (see attached lab report for mdl's)

NS = No standard

NA = Not Analyzed

**Bold** = Positive detection

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Underline = Concentration exceeds Limitation to Combined Sewers

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Table 11. Summary of Target Analyte List Metals Detected in GroundwaterPhase II Subsurface Corridor Investigation for Storm Sewer in College PlaceQueens, New York

Target Analyte List Metal	NYSDEC Class GA Groundwater Standards and Guidance Values	Sample ID, Sampling Date, and Depth to Water TWP01 5/7/2013 14.5
Aluminum	NS	14.5
Antimony	3	ND
Barium	1000	52
Calcium Metal	NS	170000
Chromium	NS	ND
Copper	200	ND
Iron	300	资料。2013年4月1日,1月1日,1月1日,1月1日,1月1日 1月1日 - 1月1日 - 1 1月1日 - 1月1日 - 1
Lead	25	ND
Magnesium	35000	
Manganese	300	
Mercury	0.7	ND
Nickel	100	29
Potassium	NS	32000
Sodium	20000	
Zinc	2000	ND

Notes:

All concentrations are reported in parts per billion or micrograms per liter (ppb or ug/L)

ND = Compoound not detected above method detection limit (see attached lab report for mdl's)

NS = No standard

NA = Not Analyzed

**Bold** = Positive detection

<u>Underline</u> = Concentration exceeds Limitation to Combined Sewers

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Phase II Subsurface Corridor Investigation for Storm Sewer in College Place, Queens, New York New York City Department of Design and Construction

## Table 12. Summary of Pesticides Detected in Groundwater Phase II Subsurface Corridor Investigation for Storm Sewer in College Place Queens, New York

Sample ID, Sampling Date, and Depth to Water	TWP01	5/7/2013	14.5	ŊD
ΥS	Groundwater	Standards and	Guidance values	NS
	Pesticide			Not Pesticides were Detected

### Notes:

ND = Compoound not detected above method detection limit (see attached lab report for mdl's) All concentrations are reported in parts per billion or micrograms per liter (ppb or ug/L)

NS = No standard

NA = Not Analyzed

**Bold** = Positive detection

Underline = Concentration exceeds Limitation to Combined Sewers

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Phase II Subsurface Corridor Investigation for Storm Sewer in College Place Table 13. Summary of Herbicides Detected in Groundwater Queens, New York .

	NYSDEC Class GA Groundwater	Sample ID, Sampling Date, and Depth to Water
Herbicide	Standarde and	TWP01
	Guidance Values	5/7/2013
*		14.5
No Herbicides were detected	NS	QN

Notes:

All concentrations are reported in parts per billion or micrograms per liter (ppb or ug/L)

ND = Compoound not detected above method detection limit (see attached lab report for mdl's)

NS = No standard

NA = Not Analyzed

**Bold** = Positive detection



Underline = Concentration exceeds Limitation to Combined Sewers

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Phase II Subsurface Corridor Investigation for Storm Sewer in College Place, Queens, New York New York City Department of Design and Construction

 Table 14. Summary of Polychlorinated Biphenyls Detected in Groundwater

 Phase II Subsurface Corridor Investigation for Storm Sewer in College Place

 Queens, New York

NYSDEC Class GA Sample ID, Sampling Date, Groundwater and Depth to Water	Ctandards and TWP01	Guidence Voluce 5/7/2013	Guidalite Values 14.5	stected NS ND
PCB		No PCBs were detected		

Notes:

All concentrations are reported in parts per billion or micrograms per liter (ppb or ug/L)

ND = Compoound not detected above method detection limit (see attached lab report for mdl's)

NS = No standard

NA = Not Analyzed

**Bold** = Positive detection

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<u>Underline</u> = Concentration exceeds Limitation to Combined Sewers

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	NYC DEP Limitations to Sanitary or Combined Sewers		Sample ID, Sampling Date, and Depth to Water	
Parameter <sup>1</sup>			TWP01	
l i i i i i i i i i i i i i i i i i i i			5/7/2013	
Floop Deint Lin 190 mil			14.5	
Flash Point - Liquid/Solid	> 140	۴	> 141	l]°F
	>2 and <10		6.5	
Cadmium (Instantaneous or Composite)		mg/L	ND	mg/L
Chromium Hexavalent (VI)	5	mg/L	ND	mg/L
Copper Lead	5	mg/L	ND	mg/L
	2	mg/L	ND	mg/L
Mercury Nickel	0.05	mg/L	ND	mg/L
	3	mg/L	29	mg/L
	5	mg/L	ND	mg/L
Benzene	134	ug/L	ND	ug/L
Carbontetrachloride	NS	ug/L	ND	ug/L
Chloroform	NS	ug/L	ND	ug/L
1,4 Dichlorobenzene	NS	ug/L	ND	ug/L
Ethylbenzene	380	ug/L	ND	ug/L
MTBE (Methyl-Tert-Butyl-Ether)	50	ug/L	ND	ug/L
Naphthalene	47	ug/L		ug/L
Phenol	NS	ug/L		ug/L
Tetrachloroethene	20	ug/L		ug/L
Toluene	74	ug/L	ND	ug/L
1,2,4 Trichlorobenzene	NS	ug/L		ug/L
1,1,1 Trichloroethane	NS	ug/L		ug/L
Xylenes (Total)	74	ug/L		ug/L
PCBs (Total) <sup>3</sup>	1	ug/L		ug/L
Total Suspended Solids	350	mg/L		mg/L
CBOD <sup>5</sup>	NS	mg/L		mg/L
Chloride <sup>5</sup>	NS	mg/L	1300	
Total Nitrogen <sup>5</sup>	NS	mg/L	1780	
Total Solids <sup>5</sup>	NS	mg/L	4200	

### Table 15. Summary of NYCDEP Discharge Parameters Phase II Subsurface Corridor Investigation for Storm Sewer in College Place Queens, New York

Notes:

All concentrations are reported in parts per million, milligrams per liter (ppm or mg/L), parts per billior or micrograms per liter (ppb or ug/L)

°F = Degrees Fahrenheit

N/A = Compound or sample characteristic not analyzed

NS = No Standard

ND = Compound not detected above method detection limit (see attached lab report for mdl's)

Bold = Positive detection

<sup>1</sup> All handling and preservation of collected samples and laboratory analyses of samples was performed in accordance with 40 CFR Part 136.

<sup>2</sup> Analysis for non-polar materials was performed by EPA method 1664.

- <sup>3</sup> Analysis for polychlorinated biphenyls (PCBs) was performed according to EPA method 608 with method detection limit =<65 parts per trillion Analysis for PCBs is required if discharge =>10,000 gallons per day (gpd) and duration of discharge > 10 days.
- <sup>4</sup> For discharge >= 10,000 gpd, the total suspended solids (TSS) limit is 350 mg/l. For discharge < 10,000 gpd, the limit is determined on a case by case basis

<sup>5</sup> Analysis for Carbonaceous Biochemical Oxygen Demand (CBOD), Chloride, Total Solids, and Total Nitrogen are required if proposed discarge >= 10,000 gpd

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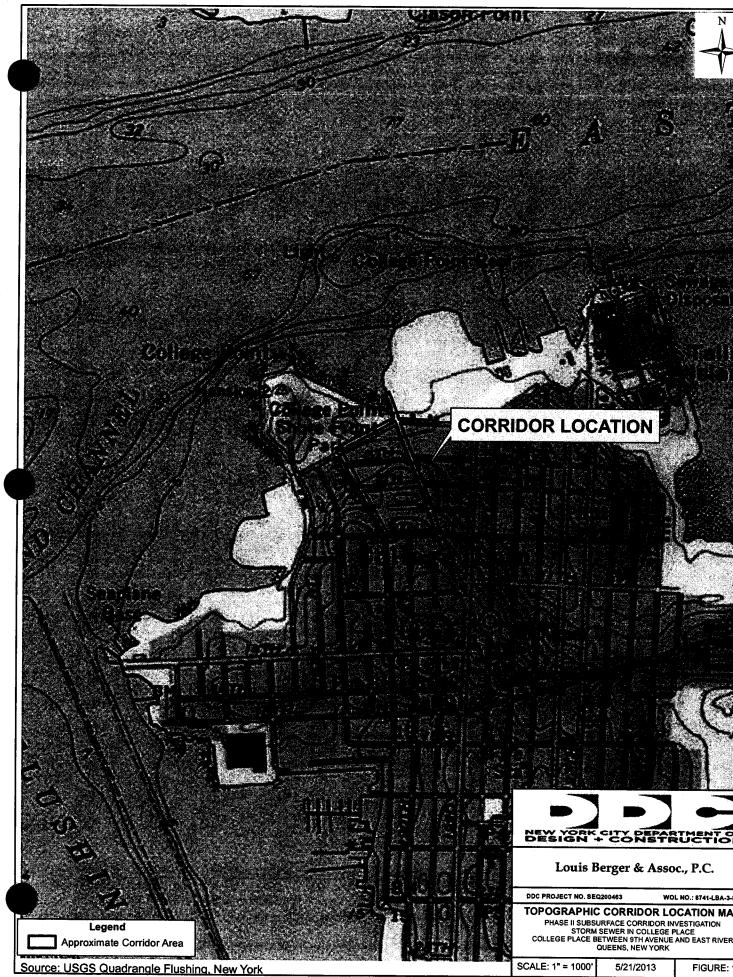




Louis Berger & Associates, P.C. DDC CAPIS ID No. SEQ200463 June 3, 2013 Work Order Letter No. 8741-LBA-3-8222



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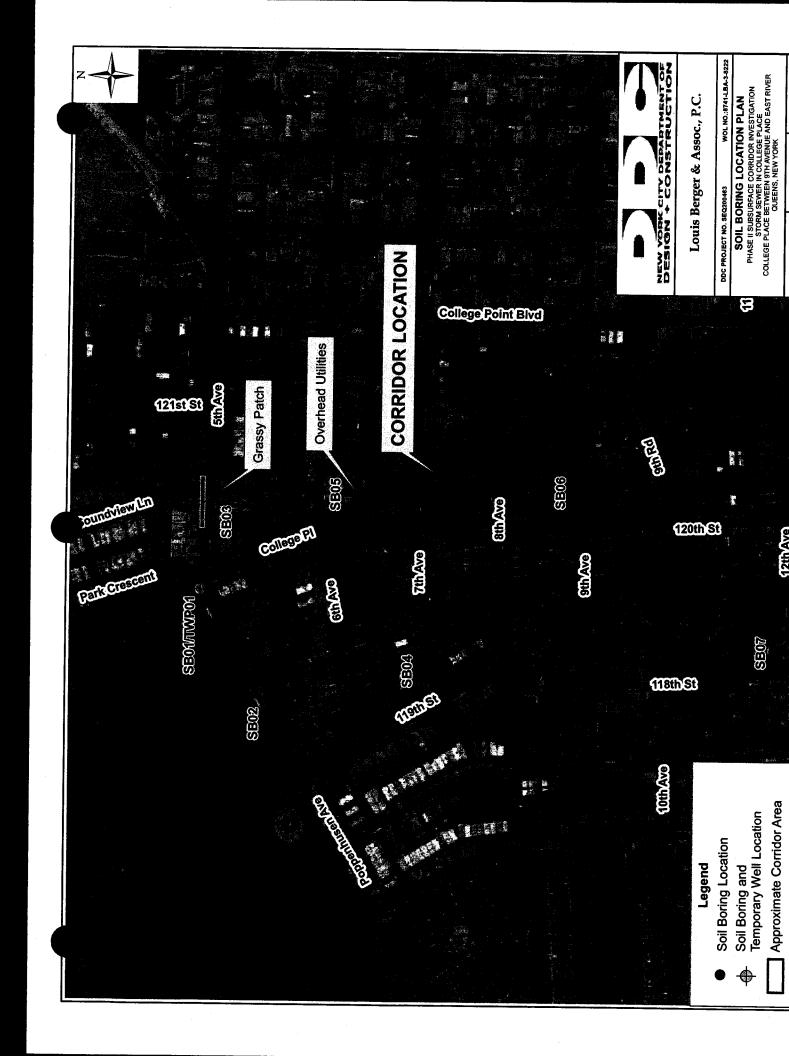


### FIGURE 2 – SAMPLE LOCATION PLAN

Louis Berger & Associates, P.C. DDC CAPIS ID No. SEQ200463 June 3, 2013 Work Order Letter No. 8741-LBA-3-8222



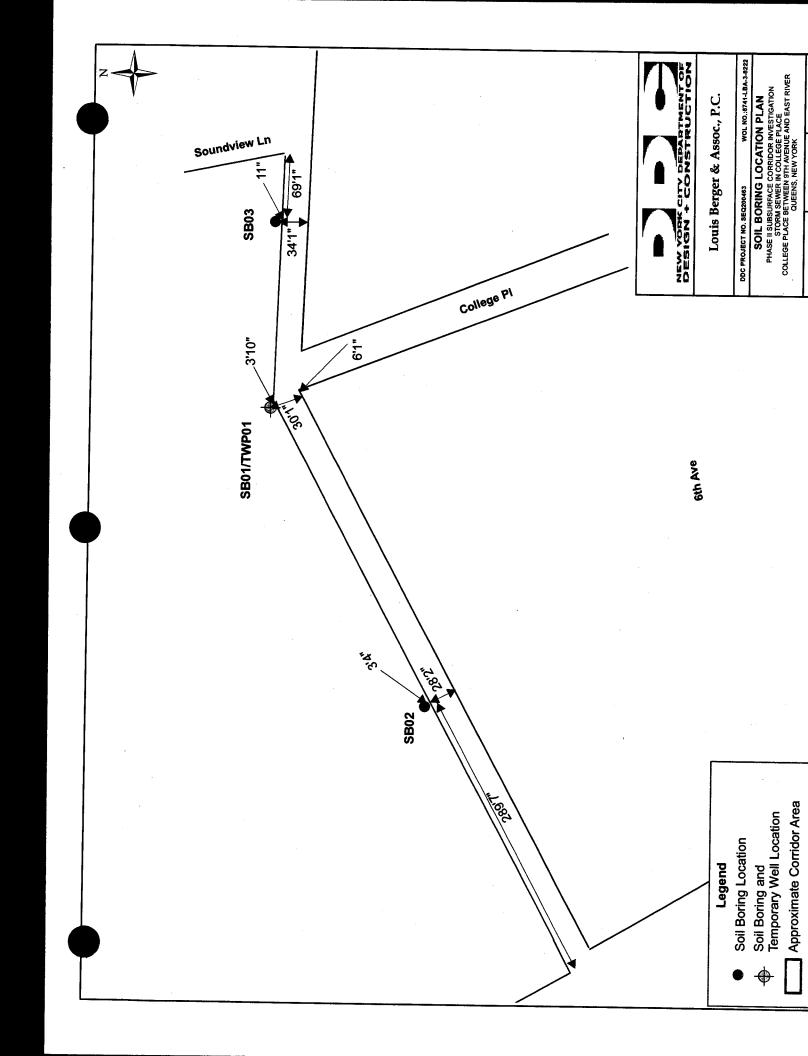
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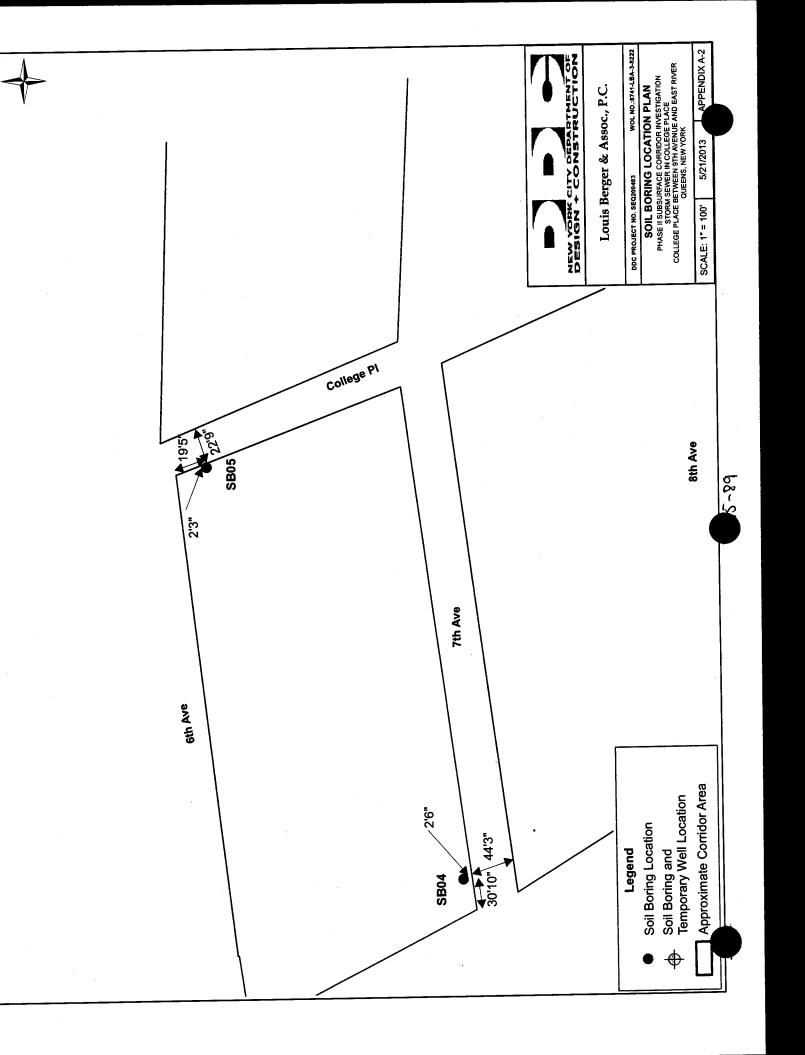


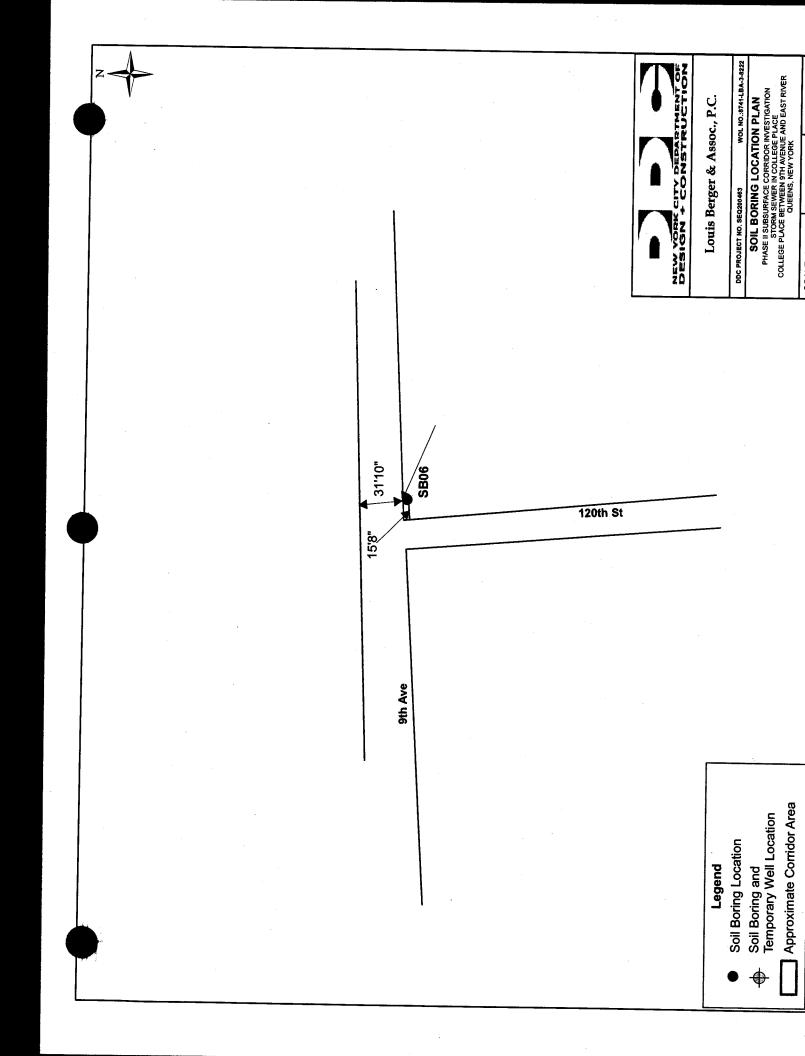
### APPENDIX A BORING LOCATION PLAN

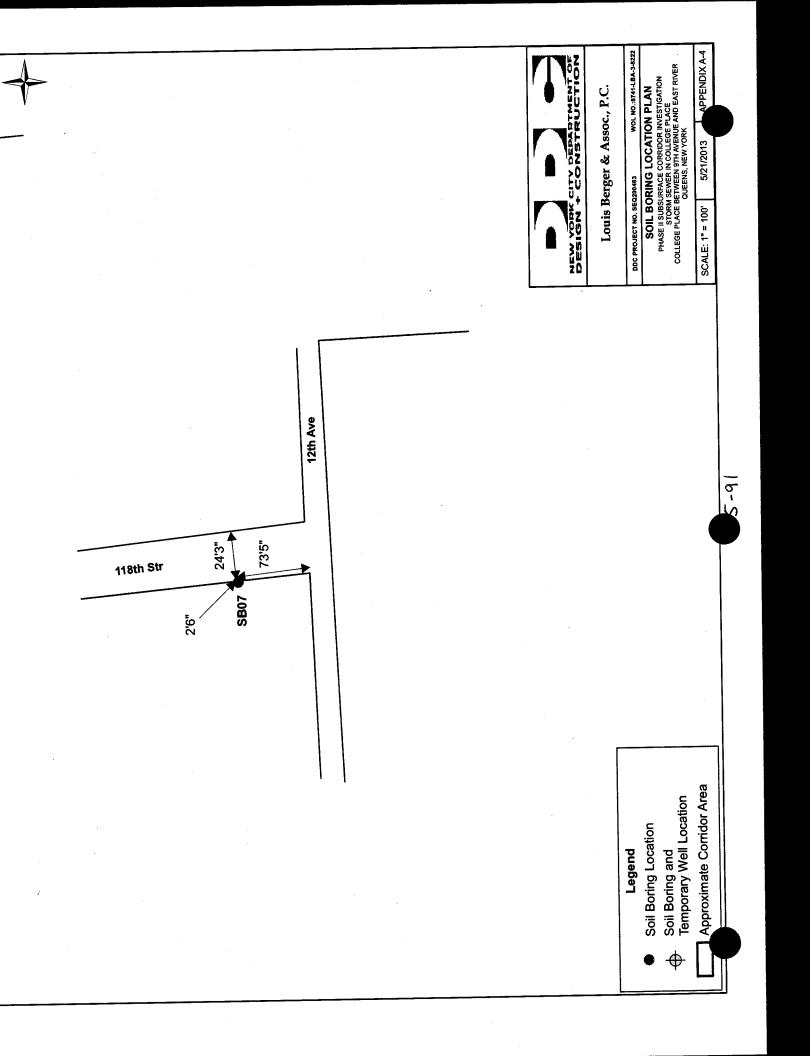
Louis Berger & Associates, P.C. DDC CAPIS ID No. SEQ200463 June 3, 2013 Work Order Letter No. 8741-LBA-3-8222













New York City Department of Design and Construction Final Phase II Subsurface Corridor Investigation Report Storm Sewer in College Place, Queens, NY

## APPENDIX B GEOLOGIC BORING LOGS

Louis Berger & Associates, P.C. DDC CAPIS ID No. SEQ200463

June 3, 2013 Work Order Letter No. 8741-LBA-3-8222

A5-92

48 V New CLIEN PROJE DRILL DRILL Diamete Total D Depth t Depth t Depth t	Wall S V Yor T: N CT: ING ING BOH er (in epth o Rei o W <sup>2</sup> o Ro	Street k, Ne Storr CON MET REH( 1): (ft): fusal ater ( ck (ft pil bor	n Sewer i TRACT THOD: ( DLE DAT 2 20.00 (ft): N// ft): 14. t): N// ing was pr	or Departu n Colle OR: Geopro TA 0 A 5 5 A e-cleare ased on	ege I Aqu be I ed to Burr	Place Direct Direct Well Total Scroo Dep Slot 6 ft bg	Pesign Phase Drilling Push Il Diar al Dep een Le th to t Size: gs.	well DATA         weter:       1         th (ft):       20         ength (ft):       10         Water (ft):       14.5	BORING ID: WELL ID: LOCATION: PROJECT NO: FMS ID#: WOL #: DATE STARTED: DATE STARTED: DATE FINISHED: DRILLER: LBA INSPECTOR: NORTHING: EASTING: SURFACE ELEVA	SPC8 SEQ2 8741 5/7/2 5/7/2 C. Id J. N N/A N/A	01 ns, NY 370T2 200463 -LBA-3-8222 013 013 013 odice elson	
Well Construction	- 0 - 0	Lithology	AS AS	Sample Interval	storage and made	Blows/6 in	PID (ppm)	Description	i.		Remarks Sand Sandy Silt	
	8		ML				<1	Moderate yellowish brown (10Y fine Sand, trace fine Gravel; moi				

	Louis Berger & Assoc., P.C. 48 Wall Street, 16th Floor New York, New York 10005						PR	OJECT NO.: SPC870T2	BORING NO.:	SB01
	ew York, New York 10005							Page 2 of 2	WELL NO.:	TWP01
Well	Depth	Lith.	nscs	Interval	Rec.	Blows	DID	Description	<b>D</b>	Remarks
	10  12  14  16  18		ML ML ML				<1 <1	Moderate yellowish brown (10YR trace fine Gravel; wet. Moderate yellowish brown (10YR fine Sand; saturated. Olive gray (5Y4/1) SILT, some m	5/4) SILT, some medium to	Collected grab sample SB01 and DUP01 from 14 - 14.5 ft bgs and composite sample from 0-20 ft bgs. Water Level at 14.5 ft bgs Collected groundwater sample TWP01 End of Boring at 20 ft bgs

48 W New CLIENT PROJEC DRILLIN DRILLIN B Diameter Total De Depth to Depth to	all S Yor : N CT: NG SOR (in pth Ref Wa Roo So	Street k, Ne Eew Y Storn CON MET REHO (ft): (ft)	m Sewer i <b>JTRACT</b> <b>THOD:</b> <b>OLE DA</b> 2 20.0 <b>(ft):</b> N/ <b>ft):</b> 18 <b>h):</b> N/ ing was pr	or 00005 Dep: n Cc OR: Geop TA 0 A A	ared to	Place juifer I Direct We Tot Scr Dej Slo o 6 ft b	Design Phase Drilling t Push ell Dian al Dep reen Lo pth to ot Size: rgs.	g and Testing, WELL D. neter: oth (ft): ength (ft): Water (ft):	2 tion ATA N/A N/A N/A N/A N/A N/A		BORING ID: WELL ID: LOCATION: PROJECT NO: FMS ID#: WOL #: DATE STARTED: DATE FINISHED DRILLER: LBA INSPECTOF NORTHING: EASTING: SURFACE ELEVA	SPC SEQ 874 5/7/2 5/7/2 5/7/2 C. 1 R: J. N N/A N/A ATIO	ens, NY 870T2 2200463 1-LBA-3-8222 2013 2013 Iodice Nelson
Well Construction	Depth	Lithology	nscs	Sample Interval	Sample Recovery	Blows/6 in	PID (ppm)		Descrip	tion			Remarks
	- 2 - 4 - 6 - 8 -		SP				<1	brown (10YR Gravel; dry.	5/4) medium (	to fine \$	o moderate yellowish SAND, little Silt, trace	e fine	Sand

Louis Berger & Assoc., P.C.	PF	OJECT NO.: SPC870T2	BORING NO.:	SB02
48 Wall Street, 16th Floor New York, New York 10005		Page 2 of 2	WELL NO.:	N/A
well Depth Lith. USCS Interval Rec.	Blows PID	Description	n.	Remarks
10	<1	Very pale orange (10YR8/2) coar Gravel; dry.	se to fine SAND, trace fine	Collected grab sample SB02 from 17.5 - 18.0 ft bgs and composite sample from
				0-20 ft bgs.
SP 16	<1	Very pale orange (10YR8/2) coars Gravel; dry.	se to fine SAND, trace fine	
18 - SP	<1	Dark yellowish brown (10YR4/2) saturated.	coarse to fine SAND;	Water Level 18 ft bgs End of Borin

48 V New ILIEN ROJE RILL RILL iamet otal D epth t epth t epth t	Wall & v Yor T: N CT: ING ING BOI er (in bepth o Re o Ro o Ro S: So	Street k, Ne Storn CON MET REHC 1): (ft): fusal ater ( ck (ff bil bon	m Sewer i <b>TRACT</b> <b>THOD:</b> ( <b>DLE DAT</b> 2 20.00 ( <b>ft</b> ): N/A <b>ft</b> ): 15 t): N/A ing was pro-	or Depar n Coll OR: Geopro FA	ege l Aqu obe I	Place nifer I Direct We Tot Scr Dep Slo 6 ft by	Design Phase Drilling Push Il Diar al Dep een Le oth to t Size: gs.	g and Testing, Inc. WELL DATA neter: N/A th (ft): N/A ength (ft): N/A Water (ft): N/A	BORING ID: WELL ID: LOCATION: PROJECT NO: FMS ID#: WOL #: DATE STARTED: DATE FINISHED: DRILLER: LBA INSPECTOR NORTHING: EASTING: SURFACE ELEVA	SPC: SEQ 8741 5/7/2 5/7/2 5/7/2 5/7/2 5/7/2 C. I : : J. N N/A N/A N/A	ens, NY 870T2 200463 -LBA-3-8222 2013 2013 2013 codice felson	
Construction	4       -       -       0       Depth         Also       -       -       0       0         Also       -       -       -       -         Also       -       -       -       -       -         Also       -       -       -       -       -       -         Also       -       -       -       -       -       -       -       -       -       -       -       -       -       -					Blows/6 in	△ PID (ppm)	Description Moderate yellowish brown (10YI little Silt, trace fine Gravel; mois	t.		Remarks Sand Sandy Silt	
	6 - 8 -		ML					brown (10YR5/4) SILT, some fin	ne Sand; moist.			

	Louis Berger & Assoc., P.C. 48 Wall Street, 16th Floor New York, New York 10005						PRO	DJECT NO.: SPC870T2	BORING NO.: S	B03
	v York		w York 1	0005	<b>-</b>	-		Page 2 of 2	WELL NO.: N	I/A
Well	Depth	Lith.	nscs	Interval	Rec.	Blows	OId	Description		Remarks
	10 - 		ML				<1	Moderate yellowish brown (10YR fine Sand; moist. Olive gray (5Y4/1) SILT, and med Gravel; saturated.		Collected grab sample SB03 from 14.5 - 15.0 ft bgs and composite sample from 0-20 ft bgs.

As-98

48 Wa New Y CLIENT: PROJEC DRILLIN DRILLIN	all S York Ne T: S IG ( IG N OR (in) oth ( Reft Roc Soi	treet treet treet treet Storn CON MET EH( ): (ft): usal ter ( k (ff 1 bor	n Sewer i <b>TRACT</b> <b>TROD:</b> ( <b>DLE DA</b> 2 20.00 ( <b>ft</b> ): N/2 <b>ft</b> ): N/2 ing was pr	ог 00005 Depa n Co OR: Geop ГА 0 0 А А А е-clea	ared to	Place juifer Direc We Tot Scr Dej Slo	Design Phase Drilling t Push ell Dian cal Dep reen Le pth to V ot Size: pgs.	g and Testing, Inc. WELL DATA neter: N/A	LOCATION: PROJECT NO: FMS ID#: WOL #: DATE STARTED: DATE FINISHED: DRILLER: LBA INSPECTOR: NORTHING: EASTING: SURFACE ELEVA'	5/8/201 5/8/201 C. Iodi J. Nels N/A N/A	0T2 0463 BA-3-8222 3 3 ice son
Well Construction	Depth	Lithology	USCS	Sample Interval	Sample Recovery	Blows/6 in	PID (ppm)	Description			Remarks
- 0 2 4 6		ASASASASAYSAYSAYSAYSAYSAYSAYSAYSAYSAYSAY	FILL		s a state of the s		<1	Dark yellowish brown (10YR4/2) little Silt, trace fine Gravel; dry. Dark yellowish brown (10YR4/2) SAND, little Silt, trace fine Grave	to black (N1) coarse to f el (Fill - bricks); dry.	fine	and (Fill)
8	3 -		ML					Moderate yellowish brown (10YF fine Sand; wet.	(3)(4) SIL1, some mediur		inter Site

Louis Berg	Louis Berger & Assoc., P.C. 48 Wall Street, 16th Floor New York, New York 10005					DJECT NO.: SPC870T2	BORING NO.:	SB04
		10005			-	Page 2 of 2	WELL NO.:	N/A
Well	Lith. USCS	Interval	Rec.	Blows	GIA	Description	· · ·	Remarks
				-				
	SM				<1	Dusky yellowish brown (10YR2/2) some Silt; moist.	) coarse to fine SAND,	Silty Sand
12 -								
14 -								
					<1	Moderate yellowish brown (10YR5 brown (10YR4/2) SILT, little fine S	i/4) to dark yellowish Sand; moist.	Silt Collected grab sample SB04 from 17.5 - 18.0 ft bgs and composite sample from 0-20 ft bgs.
20								End of Boring at 20 ft bgs

									DODING TO	000	
			& Assoc.,			1	1	<b>Drilling Log</b>	BORING ID:	SB0	)
			, 16th Flo			1			WELL ID: LOCATION:	N/A	ens, NY
			w York 10			1		Page 1 of 2		_	870T2
								and Construction	PROJECT NO:		
ROJE	CT:	Storr	n Sewer i	n Co	llege	Place	Phase	II SCI	FMS ID#:		200463
								g and Testing, Inc.	WOL #:		-LBA-3-8222
			THOD:		robe	Direct	Push		DATE STARTED:		
	BOF	REHO	OLE DA	ГА				WELL DATA	DATE FINISHED		
liamet	er (in	ı):	2					neter: N/A	DRILLER:		odice
<b>Cotal D</b>	epth	(ft):	20.0	0				th (ft): N/A	LBA INSPECTOR		leison
epth t	o Ref	fusal	(ft): N/.	A				ength (ft): N/A	NORTHING:	N/A	
epth t	o Wa	ater (	<b>ft):</b> 11					Water (ft): N/A	EASTING:	N/A	
Depth t							t Size:	N/A	SURFACE ELEVA	TIO	N: N/A
NOTE	S: Sa Sa	oil bor oil des	ing was pr	re-clea ased o	ared to n Bur	o 6 ft b; mister	gs. Soil Cl	assification System, USCS, and M	unsell Rock Color Char	t	
uo				1 1				· · · · · · · · · · · · · · · · · · ·			
Well Construction	Depth	Lithology	NSCS	Sample Interval	Sample Recovery	Blows/6 in	PID (ppm)	Description			Remarks
· · · · ·	-0			$\propto$			<1	Dark yellowish brown (10YR4/2	) medium to fine SAND		Sand
			SP					little Silt, trace fine Gravel; mois		,	
	-										
			:								
								•			
	•										
	2 -										
					$\mathbb{N}$						
							1				
	4 -				$\mathbb{N}$						
					$\langle / \rangle$		1				
					$\langle / \rangle$		l				
					V/A			· · · · · · · · · · · · · · · · · · ·			Clayey Silt
			ML				<1	Olive gray (5Y4/1) Clayey SILT	; wet.		ChayCy Shi
					1//						
					V/A						
	6 .										
					V/A						
		÷									
		÷÷									
							1				
			1		X//						
	0						1				
					X//						
			1				1				

			& Assoc.,			Τ	PR	OJECT NO.: SPC870T2	<b>BORING NO.:</b>	SB05
			t, 16th Fle ew York 1					Page 2 of 2	WELL NO.:	N/A
Mel	Depth	Lith.	nscs	Interval	Rec.	Blows	DID	Description		Remarks
	10 -		ML				<1	Light olive gray (5Y6/1) Clayey S	ILT; wet.	⊽ Water Levelat 11 ft bgs
	14 -		SP				<1	Olive gray (5Y4/1) medium to fine	SAND, little Silt; wet.	Sand
	16 -		ML				<1	Light olive gray (5Y6/1) Clayey SI	LT; wet.	Clayey Silt Collected grab sample SB05 from 10.5 - 11.0 ft bgs and composite sample from 0-20 ft bgs.
	-20		SP				<1	Moderate olive brown (5Y4/2) med Silt; saturated.	ium to fine SAND, little	Sand End of Boring at 20 ft bgs

Cotal Depth (ft):20Depth to Refusal (ft):Depth to Water (ft):Depth to Rock (ft):NOTES:Soil boring wa	Floor k 10005 ity Departn er in Colle CTOR: : Geopro DATA 2 0.00 N/A N/A N/A s pre-cleare n based on 1	ge Pla Aquife be Dire V T S I S d to 6 f Burmis	f Desigr ce Phase er Drillir ect Push Well Dia Cotal De Screen L Depth to Slot Size ft bgs.	II SCI g and Testing, Inc. WELL DATA meter: N/A pth (ft): N/A ength (ft): N/A Water (ft): N/A : N/A	BORING ID: WELL ID: LOCATION: PROJECT NO: FMS ID#: WOL #: DATE STARTED DATE FINISHED DRILLER: LBA INSPECTOF NORTHING: EASTING: SURFACE ELEV.	0: 5/8/2013         C. Iodice         R: J. Nelson         N/A         N/A         ATION: N/A
Well Construction Depth Lithology USCS	Sample Interval	Blows/6 in	PID (ppm)	Des	cription	Remarks
0       CONC         2       IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	- 1000/2		<1	fine Sand; moist.	own (10YR5/4) SILT, little medi rown (10YR5/4) SILT, little medi	

	Louis Berger & Assoc., P.C. 48 Wall Street, 16th Floor New York, New York 10005						PR	OJECT NO.: SPC870T2	BORING NO.: S	SB06
40 Nev	w Yor	k, Ne	w York	10005			v	Page 2 of 2	WELL NO.: N	N/A
Mell						Blows	PID	Description	n	Remarks
	10 -									
	-		SP				<1	Pale yellowish brown (10YR6/2) Silt; moist.	medium to fine SAND, little	Sand
	12 -									
	14 -								•	
	- 16 -		SP				<1	Dark yellowish brown (10YR4/2) (10YR6/2) medium to fine SAND,	to pale yellowish brown , little Silt; moist.	Collected grab sample SB06 from
	- 18 -							· · · · · · · · · · · · · · · · · · ·		18.0 - 18.5 f bgs and composite sample fron 0-20 ft bgs.
	-20								• •	End of Bori at 20 ft bgs

1 . .

										0.0.0		
Louis Berger & Assoc., P.C. 48 Wall Street, 16th Floor New York, New York 10005						Drilling Log			BORING ID:	SB0 N/A	1	
								Page 1 of 2	WELL ID: LOCATION:		ens, NY	
							<b>`</b>		PROJECT NO:		870T2	
LIENT: New York City Departmen									FMS ID#:		200463	
<b>ROJECT:</b> Storm Sewer in College Place Phase II SCI <b>RILLING CONTRACTOR:</b> Aquifer Drilling and Testi							Phase		WOL #:		-LBA-3-8222	
								g and Testing, Inc.	DATE STARTED:			
			THOD: (	A	orobe	Direct	t Push	WELL DATA	DATE FINISHED			
			OLE DA'	I'A			U Dias		DRILLER:		odice	
iamet			2				ell Diar		DRILLER.     C. Ide       LBA INSPECTOR:     J. Nel       NORTHING:     N/A       EASTING:     N/A		Velson	
otal D			20.0				al Dep	ngth (ft): N/A				
-			(ft): N/2					Water (ft): N/A				
epth t							t Size:	N/A	SURFACE ELEVATION: N/A			
epth t				_					BURIACE EEL			
OTES	S: Sc	oil bor oil des	ing was pr	re-cle ased (	area te	ο ο π σ rmister	ogs. • Soil Cl	assification System, USCS, and M	unsell Rock Color Char	t		
				1	- T							
Well Construction				Sample Interval	Sample Recovery	í in	Ê					
well struct	Depth	Lithology	uscs	Int	Rec	Blows/6 in	PID (ppm)	Description			Remarks	
) nst	De	ithe	ñ	ple	ple	Blo	ĝ					
ŭ				Sam	am							
	0		SP	<b>**</b>			<1	Dark yellowish brown (10YR4/2	) to moderate yellowish		Sand	
			51					brown (10YR5/4) medium to find	SAND, little Silt, trace	e fine	-	
								Gravel; dry.				
	-											
	2 -											
	2	••••									-	
											1	
	•	• • • •										
	4 -											
					$\langle / \rangle$							
					¥A		N/A	Dark yellowish brown (10YR4/2	) medium to fine SANI	).		
			SP		¥//			little Silt; moist.		-,		
					$\mathcal{I}$							
	6.				\$//}							
	0				¥//		1					
					\$//>							
					\$//>							
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				888	$\mathcal{Y}/\mathcal{N}$							

Lou 48	Louis Berger & Assoc., P.C. 48 Wall Street, 16th Floor						PR	OJECT NO.: SPC870T2	<b>BORING NO.:</b>	SB07
Nev	New York, New York 10005							Page 2 of 2	WELL NO.: N/A	
Well	Depth	Lith.	uscs	Interval	Rec.	Blows	DIA	Description		Remarks
	10 -		SP				N/A	Dusky yellowish brown (10YR2/2) little Silt; moist.	) medium to fine SAND,	
	12 -									
	14 -									
	16 -		SM				N/A	Dusky yellowish brown (10YR2/2) some Silt; moist.	medium to fine SAND,	Silty Sand Collected grab sample SB07 from 17.0 - 17.5 ft bgs and
	18 -									composite sample from 0-20 ft bgs.
;	20		-							End of Borin at 20 ft bgs

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## APPENDIX C LABORATORY ANALYTICAL RESULTS (INCLUDED ON CD)

# End of Addendum No. 5 This Addendum consists of one hundred seven (107) pages.

Louis Berger & Associates, P.C. DDC CAPIS ID No. SEQ200463 June 3, 2013 Work Order Letter No. 8741-LBA-3-8222



#### THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION INFRASTRUCTURE DIVISION BUREAU OF DESIGN

#### PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 5<sup>TH</sup> AVENUE BETWEEN 121<sup>ST</sup> STREET AND COLLEGE PLACE; 6<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 7<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 8<sup>TH</sup> AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9<sup>TH</sup> AVENUE BETWEEN 118<sup>TH</sup> STREET AND 120<sup>TH</sup> STREET; 118<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 12<sup>TH</sup> AVENUE; 119<sup>TH</sup> STREET BETWEEN 12<sup>TH</sup> AVENUE AND POPPENHUSEN AVENUE; 119<sup>TH</sup> STREET BETWEEN 7<sup>TH</sup> AVENUE AND 9<sup>TH</sup> AVENUE; 120<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 9<sup>TH</sup> ROAD; 9<sup>TH</sup> ROAD BETWEEN 120<sup>TH</sup> STREET AND 121<sup>ST</sup> STREET; 121<sup>ST</sup> STREET BETWEEN 9<sup>TH</sup> ROAD AND 12<sup>TH</sup> AVENUE

INCLUDING WATER MAIN AND STREET LIGHTING WORK

**Together With All Work Incidental Thereto** 

**BOROUGH OF QUEENS** 

ADDENDUM NO. 6

DATED: June 22, 2015

This Addendum is issued for the purpose of amending the requirements of the contract documents and is hereby made part of said contract documents to the same extent as if it was originally included therein.

### BEST MANAGEMENT PRACTICE (BMP) SPECIFICATIONS FOR SEDIMENT AND EROSION CONTROL, GRADING AND EARTHWORK, AND LANDSCAPING FOR THE CONSTRUCTION SITES AND WETLAND MITIGATION BOROUGH OF QUEENS, NY

#### NO TEXT ON THIS PAGE

### STORM AND SANITARY SEWERS IN COLLEGE PLACE QUEENS, NY

### SPECIFICATIONS FOR

### CONTRACT SEQ200463

### SPECIFICATIONS FOR SEDIMENT AND EROSION CONTROL, GRADING AND EARTHWORK, AND LANDSCAPING FOR THE CONSTRUCTION SITES AND WETLAND MITIGATION

April 2015 Prepared for the NYC Department of Design and Construction

By Hazen and Sawyer, P.C./AKRF Engineering, P.C. A Joint Venture

#### Project ID: <u>SEQ200463</u>

#### ADDENDUM NO.6

#### <u>DIVISION VII - DETAILED SPECIFICATIONS –</u> <u>CONTRACT SEQ200463</u>

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7.02	Work Included	167
7.03	Inspection Before Bidding and Mandatory Pre-Bid Conference	A6-10
7.04	Standard Sewer Specifications	A6-10
7.05	Inspection by the City, State and Federal Government	A6-11
7.06	Existing Utilities	
7.07	Permits Required	A6-11
7.08	Land for Contractor's Use	A6-12
7.09	Licensed Surveyor for Engineer's Use	A6-12
7.10	Construction – Special Requirements	A6-13
7.11	Transportation and Handling of Materials and Equipment	A6-16
7.12	Protection of Materials and Equipment at the Site	
7.13	Final Cleaning	
7.14	OSHA Requirements	
7.15	No Separate Payment	
7.16	Bid Breakdown	
7.17	Detailed Work Description	

### Project ID: SEQ200463

### DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

## STRUCTURES AND MISCELLANEOUS EQUIPMENT

Section No.	Title Page No.
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7.102	DewateringA6-25



A6-3

### Project ID: SEQ200463

#### DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

#### EARTHWORK AND GRADING

Section No.	Title	Page No.
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7.307 <b>-</b> A	Grading	A6-39
7.308	Fill On-Site	A6-41

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### Project ID: SEQ200463

### <u>DIVISION VII - DETAILED SPECIFICATIONS –</u> <u>CONTRACT SEQ200463</u>

## LANDSCAPING AND RESTORATION

<ul> <li>7.400 Work Included</li></ul>	A.C. 40
<ul> <li>7.401 Landscaping for Terrestrial Zone and Wetland Zone</li> <li>7.404-A Restoration Specialist (Construction Monitor).</li> <li>7.404-B Erosion and Sediment Control Licensed/Certified Professional</li> <li>7.407-A Erosion Control Mat – Straw</li> <li>7.411 Watering and Weeding During the Guarantee Period.</li> <li>7.413 Temporary Goose Exclusion Fence</li> <li>7.417 Debris Exclusion Fence</li> <li>7.418-A Clean Sand for Restored Area</li> </ul>	A6-43 A6-71 A6-75 A6-83 A6-89 A6-92 A6-92



### Project ID: <u>SEQ200463</u>

#### DIVISION VII - DETAILED SPECIFICATIONS – CONTRACT SEQ200463

### EROSION AND SEDIMENTATION CONTROL MEASURES

Section No.	Title	Page No.
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7.516	Turbidity Curtain	A6-126

#### Project ID: SEQ200463

#### DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

#### OUTFALL CONSTRUCTION DIVISION VII

#### SPECIFIC PROVISIONS

#### 7.01 LOCATION OF WORK

Work under this Contract is to be performed at the north area of College Point in Queens, New York. Specifically, the work will occur north of the intersection of Poppenhusen Avenue and College Place. The site is on property owned and regulated by the City of New York.

### 7.02 WORK INCLUDED

The work under this Contract includes the erosion and sediment control measures during the construction of a new outfall and the installation of a splash pad. The following descriptions of work included under this Contract are general descriptions only and shall not be construed as a complete description of the work to be performed.

### The principal items of work include:

1. Erosion and sediment control measures during the construction

This shall entail the erosion and sediment control measures during the construction of the storm sewer outfall. Specifications and plans for this work are included in this Addendum.

### 2. <u>Outfall Construction for Storm Sewer Networks</u>

This entails excavation of trenches and layout of storm sewer outfall pipelines. The specifications and plans for this work are included elsewhere in these Contract Documents, not in this Addendum.

#### 3. Splash Pad Installation

This entails the construction and installation of a splash pad for the new storm sewer outfall. Specifications for this work is included on Section 5B of the New York Standards and Specifications for Erosion and Sediment Control, 2005 edition.

4. <u>Site Restoration</u>

A.

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The entire Project site will be restored upon project completion as per the Contract Drawings. Specifications and plans for this work are included in this Addendum and elsewhere in these Contract Documents.

#### 5. <u>Wetland Mitigation</u>

Wetland mitigation would be performed along the northeastern shoreline of Herman A. McNeil Park to the northwest of the outfall location, as shown on the Contract Drawings. The wetland mitigation will consist of the installation of *Spartina alternaflora* plantings adjacent to patches of existing *Spartina alternaflora* as shown on the Contract Drawings. All work associated with the wetland mitigation will be completed by hand and no machinery will be used. No grading is required for the mitigation. The wetland mitigation site shall be landscaped as directed by the Restoration Specialist and approved by the Engineer. A debris exclusion fence, and goose exclusion fence will also be installed.

#### B. Involved Agencies and Firms

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Before bidding, the contractor shall become familiar with the following involved agencies and firms and their respective responsibilities in the project:

1. <u>New York City Department of Design and Construction</u> (NYCDDC)

The NYCDDC will administer and inspect the Contractor's work with regard to all aspects of the Contract, including managing the overall project schedule, sequencing of the project and construction. The NYCDDC will handle permit compliance in relation to the sewer outfall construction. Whenever reference is made in these specifications to "the Engineer", it means the Resident Engineer on site, hired by NYCDDC.

2. New York City Department of Environmental Protection (DEP)

This City agency will maintain the facilities where the outfall is to be re-constructed under this project.

3. <u>New York State Department of Environmental Conservation</u> (NYSDEC)

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This State Agency will be issuing a tidal wetland permit authorizing work in regulated areas to be performed under this Contract. This Agency has the regulatory authority to inspect the work site in order to ensure that permit requirements are not violated.

#### 5. <u>Hazen and Sawyer, P.C.</u>

This engineering firm is the design consultant for all the work contained in these specifications. They are engaged by NYCDDC.

6. <u>United States Army Corp of Engineers</u>

This Federal Agency issues permits for all work within Federal jurisdiction wetlands. This agency has the regulatory authority to inspect the work site in order to ensure that permit requirements are not violated.

### 7. <u>Restoration Specialist (Construction Monitor)</u>

The Restoration Specialist shall be retained by the Contractor. The Restoration Specialist shall supervise all restoration work performed by the Contractor and his/her Subcontractors for the duration of the project, in accordance with the plans, specifications and directions of the Engineer. The individual or firm filling this position will be responsible for oversight of the complete outfall and splash pad installation. This individual or firm will be familiar with the erosion and sediment control plan for the entire outfall site, and oversee all work in wetland areas and ensuring that the work adheres to permit requirements. The Restoration Specialist is responsible for compliance with the permit as it relates to outfall construction. The exact powers of the Restoration Specialist (Construction Monitor) are stipulated in the wetland permit.

#### Qualifications of Contractor/Subcontractor

1. The Contractor shall have performed at least three (3) contracts that involved the installation and maintenance of soil erosion and sediment control devices during construction of a project.

To support the Contractor's contention that he/she is qualified, the Contractor shall be able to provide the following information in a Statement of Qualifications, as detailed in the paragraph below.

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C.

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Provide specific details on the projects (i.e., location, size cost, client, etc.). Provide client contact person's name and telephone number. Describe regulatory requirements of the erosion control devices. Describe any problems encountered during construction and operation of the devices. Discuss corrective actions taken to remedy the problem. Describe any violations issued by regulatory agencies. How were the violations resolved? Provide chronological photos recording the progress of construction and operation of the erosion control devices, including preconstruction through operation during site construction and restoration after construction.

Within three (3) days upon request by the City the Contractor shall identify a Certified Professional in Erosion and Sediment Control who will be responsible for implementation of this aspect of the project. The Contractor shall also provide a copy of the certification for the person so identified.

2. The Contractor must be able to complete and submit to DCC the Statement of Qualifications described in this Section within three (3) calendar days after requested to do so by DCC.

#### 7.03 <u>INSPECTION BEFORE BIDDING AND MANDATORY PRE-BID</u> CONFERENCE

Before bidding the Contractor shall visit the site of the work. The Contractor shall obtain all necessary information, and make his own determinations of any and all conditions which may affect in any way the performance of his work and his bid prices under these Contracts. All pertinent data and dimensions with regard to existing construction shall be verified by the Contractor.

Access to the site for inspection purposes prior to bidding is on a continual basis, since the site is a public property.

All bidders are required to attend a mandatory pre-bid conference, if one should be scheduled. Exact time and place meeting place is to be announced later.

#### 7.04 STANDARD SPECIFICATIONS

a. Roadway Repair and Resurfacing

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Unless otherwise specified, all work, materials, and equipment shall conform to the applicable sections of the City of New York Department of Transportation Standard Highway Specifications.

b. Sewer Work

Unless otherwise specified, all work, materials, and equipment shall conform to the applicable sections of the City of New York Department of Environmental Protection Bureau of Water and Sewer Operations Standard Sewer and Water Main Specifications.

7.05

#### INSPECTION BY THE CITY, STATE AND FEDERAL GOVERNMENT

The Contractor shall provide proper facilities for inspection and access to the work at all times, whenever it is in preparation and progress, for authorized representatives of the City, State and Federal Governments, the latter two in the presence of the Engineer.

#### 7.06 <u>E</u>

### EXISTING UTILITIES

All subsurface utility and structure information shown on the Contract Drawings were obtained from various plans and maps and field investigations, however, it is not guaranteed to be complete or accurate. It shall be the Contractor's responsibility to locate all such necessary utilities or structures by the digging of test pits prior to the start of construction and/or by contracting the Joint Underground Locating Service (JULS). No separate payment will be made for test pits or any other work related to locating existing utilities. During the progress of the work, the Contractor shall protect from damage any existing utilities or services within the work areas until, if required, they have been re-routed, disconnected or capped off.

#### PERMITS REQUIRED

The Contractor is advised that the Department of Design and Construction (NYCDDC) has filed a joint application for permit with the New York State Department of Environmental Conservation (NYSDEC), the United States Army Corps of Engineers (USACE), the New York State Department of State (NYSDOS) and the New York City Department of City Planning. No work shall commence until the above-mentioned permit has been obtained for this project. As the application is being processed, it shall be the Contractor's responsibility to obtain and update the said permit.

#### 7.07

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The Contractor shall also become familiar with the following permits approvals which will be obtained by NYCDDC:

- New York State Department of Environmental Conservation Excavation and Fill in Navigable Waters;
- New York State Department of Environmental Conservation 401 Water Quality Certification;
- New York State Department of Environmental Conservation Tidal Wetlands;
- New York State Department of Environmental Conservation SPDES General Permit GP-0-15-002;
- U.S. Army Corps of Engineers Nationwide Permit 7 Outfall Structures and Associated Intake Structures;
- New York State Department of State Coastal Consistency Concurrence;
- New York City Planning Commission Waterfront Revitalization (Coastal Zone) Consistency Determination.

The Contractor shall obtain all necessary permits as outlined in NYCDOT Standard Specifications, Section 1.06.23.

The Contractor is responsible for performing all work in compliance with all permit requirements, including the 5-year monitoring requirement required by the NYSDEC/USACE permits. No separate or additional payment shall be made to the Contractor for complying with the above requirements, and obtaining and updating of said permits. The cost of such work shall be deemed included in the prices bid for all contract items of work.

#### 7.08 LAND FOR CONTRACTOR'S USE

It is the responsibility of the Contractor to acquire land for staging area and/or use as a construction equipment and material storage yard. Staging area, stock pile sites, and other storage locations shall be protected from erosion and stormwater runoff.

#### 7.09 <u>LICENSED SURVEYOR FOR ENGINEER'S USE</u>

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#### Work Included

The Contractor shall engage the services of a New York State licensed surveyor as approved by the Engineer and reporting directly to the Engineer to make such surveys, as-builts, soundings, cross sections or other measurements as may be required by the Engineer for wetland mitigation construction. Surveying services included in the item are for the sole use of the Engineer. The surveyor may be used by the Engineer to verify grades, but surveying services needed for activities not related to wetland mitigation construction is the responsibility of the Contractor and is not provided under this item.

The Contractor for this Contract shall include in his total bid a per diem cost for the services performed by the Licensed Surveyor. This cost shall be shown on the Bid Schedule of Prices as Item No. BMP-7.09.

The cost proposals shall include unit prices on a per diem basis and shall include all necessary equipment, including vehicles for the Surveyors.

The cost proposals shall be submitted to the Engineer for evaluation and selection.

#### Measurement and Payment

Measurement for payment shall be on a per diem basis. One day shall consist of any eight (8) hour time period from 7:00 AM to 6:00 PM Monday through Friday plus travel time, not including holidays. The per diem rate shall include the services of a three man surveying crew. The Engineer shall be present during the progress of Work and the Engineer shall deem as to whether a full eight hour period had been employed in completing the Work, and as to whether the Contractor has utilized his crew at the productivity output required to complete the Work as anticipated. The surveyor will submit invoices to the Engineer, which will be forwarded to the Contractor for prompt payment. Payments shall be made for invoiced costs only, with no payment for overhead and profit.

### 7.10

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## Field Measurements

The Contractor shall take all necessary measurements in the field to determine the exact dimensions for all work and verify all pertinent data and dimensions shown on the Contract Drawings.

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#### Β. **Excavated Material**

Unsuitable excavated material shall be removed from the site together with all debris encountered in the excavations and the costs of such removal and disposal shall be included in the unit price bid for the applicable items in this Contract.

#### С. Access Requirements

The Contractor is advised that he shall provide access to the sites of the work for all other Contractors and that access to the sites of the work performed under all contracts shall be closely coordinated and scheduled with all other Contractors at the various sites during the life of this Contract.

#### D. **Connections to Existing Piping**

Connections to existing piping shall be made to permit ready disconnection of equipment with minimum disturbance of adjoining piping and equipment. The Contractor shall be responsible for the exact alignment of all piping with the existing piping and associated equipment and under no circumstances will pipe springing be allowed.

#### E. Noise Control

The Contractor shall implement noise control measures during construction including limits on the hours of operation and compliance with sound level standards. Those measures will comply with NYC and Federal noise requirements. The Contractor shall comply with the NYC Noise Code. No separate payment shall be made for this work; the cost thereof shall be included in the bid price for other items.

#### **Dust Control**

During construction, all appropriate fugitive dust control, including watering of exposed areas and using dust covers for trucks shall be employed. These measures include satisfying Section 1402.2-9.11 of the New York City Air Pollution Code. To prevent fugitive dust from construction activities from becoming airborne, the following measures are proposed:

Use of water or surfactant to control dust in the construction operations and during the clearing and grading of land;

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- Application of water to dirt paths, materials, stockpiles, and other surfaces that can generate airborne dust over extended periods. Construction of accessways would be built with properly sized stone or concrete equivalent over filtering material;
- Covering open-body trucks transporting materials likely to generate airborne dust at all times when in motion; and
- Prompt removal of earth or other material from paved streets where earth or other material has been deposited by trucking or earth-moving equipment, erosion by water, or other means.

No separate payment shall be made for this work; the cost thereof shall be included in the bid price for other items.

#### G.

Sequence of Construction

All work shall be completed in accordance with the Contract Drawings, and upon approval of the Engineer. All work shall be done in a manner to minimize disturbance to the natural area and existing vegetation. Stake out and receive approval from the Restoration Specialist for the limits of work before beginning any clearing.

Landscaping is not included in the suggested sequence of construction. The contractor must receive approval for all landscaping work from the Restoration Specialist prior to construction.

- 1. Install perimeter erosion control measures including construction limit fencing, around the work area. If clearing is required for installation of a particular measure, all measures not requiring clearing shall be installed first. Clearing of the necessary land for installation of the particular measure may then proceed.
- 2. Install stabilized construction entrance. The contractor shall maintain the stabilized construction entrance to prevent the deposition of materials onto the public roadway. All materials deposited onto the public roadway shall be removed immediately.
- 3. Perform site clearing, grubbing, debris removal and removal of existing trees as shown on the Erosion and Sediment Control Plan (Dwg. C1).
- 4. Perform soil sampling as per contract documents.
- 5. Install approved dewatering measures to discharge below the mean lower low water line. A portable sediment tank, or approved equal,

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shall be used to treat dewatering effluent prior to discharge. Discharge location shall be in a location that will not cause erosion and must be approved by the engineer. The engineer may direct the contractor to install an approved erosion control measure such as a riprap apron for dewatering effluent if necessary to prevent erosion. Installation of such a measure will be at no additional cost and included in the price bid for all work.

- 6. Install turbidity curtain as shown or as approved by the engineer. Install cofferdam, or other approved method, following turbidity curtain installation. Top of cofferdam shall be above the mean higher high water line to isolate the work area from tidal influence. The work area shall contain no standing water and all work below the mean higher high water line shall be conducted within the confines of a cofferdam or other approved method. Construction materials including but not limited to debris, sediment, and fresh concrete shall be prevented from entering the waterways.
- 7. Install the proposed sewer pipe, outfall structure, and splash pad. Perform site grading as necessary to establish final grades of the splash pad as shown.
- 8. Perform site grading and excavation to meet proposed grades.
- 9. Install debris exclusion fence.
- Perform site clearing and site restoration for proposed landscape area shown on the Landscaping and Wetland Mitigation Plan (Dwg. C4) per NYCDOT specification section 6.01. Existing stones within the proposed planting area shall be relocated hand as directed by the restoration specialist.
- 11. Perform landscaping and installation of goose exclusion fence. All disturbed areas shall be restored to existing conditions unless otherwise shown on the Landscaping Plan and as directed by the restoration specialist. Install goose exclusion fence as landscaping occurs such that all new plantings are immediately protected.
- 12. Stabilize disturbed areas with erosion control mat as directed by the restoration specialist.
- 13. Once all areas have been stabilized, remove temporary perimeter erosion and sediment control measures.

#### 7.11 TRANSPORTATION AND HANDLING OF MATERIALS AND EQUIPMENT

A. <u>General</u>

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- 1. Contractor shall make all arrangements for transportation, delivery, handling and rigging of equipment and materials required for prosecution and completion of the work.
- 2. Working space on the site is limited. Equipment shall not be delivered to the site until it can be moved directly to the area where it will be utilized.
- 3. If necessary to move stored materials and equipment during construction, the Contractor shall move or cause to be moved materials and equipment without any additional compensation.

4. The Contractor shall take all necessary provisions to prevent inadvertent deposition and spillage of excavated soils or other materials that are being transported from the project site. The Contractor must employ the use of the truck tracing pad, wheel washing stations or other equipment deemed necessary to prevent spillage and deposition from vehicles from other construction equipment.

#### <u>Delivery</u>

- 1. The Contractor shall arrange deliveries of products in accordance with construction schedules and in ample time to facilitate inspection prior to installation.
- 2. Coordinate deliveries to avoid conflict with work and conditions at the site and to accommodate the following:
  - a. Work of other Contractors.
  - b. Limitations of storage space.
  - c. Availability of equipment and personnel for handling products.
- 3. Do not have products delivered to project site until related Working Drawings have been approved by the Engineer.
- 4. Do not have products delivered to site until required storage facilities have been provided.

B.

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- 5. Do not have products delivered to site until the manufacturer's recommended storage instructions have been submitted and approved.
- 6. Have products delivered to site in manufacturer's original, unopened, labeled containers. Keep Engineer informed of delivery of all equipment to be incorporated in the work.
- 7. Partial deliveries of component parts of equipment shall be clearly marked to identify the equipment, to permit easy accumulation of parts and to facilitate assembly.
- 8. Immediately upon delivery, inspect shipments to assure:
  - a. Product complies with requirements of Contract Documents and approved submittals.
  - b. Quantities are correct.
  - c. Containers and packages are intact, labels are legible.
  - d. Products are properly protected and undamaged.

#### Product Handling

- 1. The Contractor shall provide equipment and personnel necessary to handle products by methods to prevent soiling or damage to products or packaging.
- 2. Provide additional protection during handling as necessary to prevent scraping, marring or otherwise damaging products or surrounding surfaces.
- 3. Handle products by methods to prevent bending or overstressing.
- 4. Lift heavy components only at designated lifting points.
- 5. Materials and equipment shall at all times be handled in a safe manner and as recommended by manufacturer or supplier so that no damage will occur to them. Do not drop, roll or skid products off delivery vehicles. Hand carry or use suitable materials handling equipment.
- D. Removing and Hauling Equipment and Materials

C.

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- 1. The Contractor shall inspect all items including all boxes, crates and packages containing equipment and materials for damage that may have occurred during shipment prior to its removal from the truck or other conveyance. Any damage shall be reported immediately to the Engineer.
- 2. The Contractor shall then carefully remove the equipment and materials from the truck or trucks on which it is shipped. The equipment and materials shall then be transported to the place of installation at the job site. The Contractor shall be liable for loss or damage to the equipment and materials that may occur while being unloaded, transported, stored or installed.
- 3. All equipment that arrives at the job site during normal working hours shall be unloaded as soon as practicable.

# 7.12 PROTECTION OF MATERIALS AND EQUIPMENT AT THE SITE

The Contractor shall make every effort to minimize extended storage periods of materials and equipment at the Site by judiciously scheduling deliveries to coincide with construction needs.

Storage of any mechanical or electrical equipment out of doors at any time is absolutely prohibited regardless of the protection furnished. Storage of mechanical and electrical equipment within structures at the Site will not be permitted unless the structures are enclosed.

All mechanical equipment shall be coated, wrapped and otherwise protected from snow, rain, drippings of any sort, dust, mud, condensed water vapor, etc. during shipment, storage, and installation and until placed in service.

Should storage of mechanical equipment become necessary before it can be stored at the Site, the Contractor shall provide storage in a weatherproof warehouse.

Materials may be stored out of doors if supported above ground surface on wood runners and protected with approved, effective and durable covers.

All storage and protection of materials and equipment at the Site shall be subjected to the approval of the Engineer.

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All costs for equipment protection including warehousing or other work to meet the scheduled completion date shall be deemed to be included under the Contract and no additional payment will be made.

#### 7.13 FINAL CLEANING

#### A. Final Cleaning Under This Contract

- 1. At the completion of the work, the Contractor for this Contract shall remove all rubbish from and about the site of the work, and all temporary structures, construction signs, tools, scaffolding, materials, supplies and equipment which he or any of his subcontractors may have used in the performance of the work. The Contractor shall broom clean paved surfaces and rake clean other surfaces of grounds.
- 2. The Contractor shall thoroughly clean all materials, equipment and structures installed under this Contract; all marred surfaces shall be touched up to match adjacent surfaces.
- 3. The Contractor shall clean all landscaped areas of all debris and any objectionable material, as determined by the Engineer, and shall remove all such debris off-site.
- 4. The Contractor shall remove all temporary erosion control measures and replace with final features as shown on the plans and other Contract Documents contained herein, as directed by the Engineer.

#### B. Cleaning Materials and Methods

#### The Contractor shall:

- 1. Use only cleaning materials recommended by manufacturer of surface to be cleaned.
- 2. Use each type of cleaning material on only those surfaces recommended by the cleaning material manufacturer.
- 3. Use only materials which will not create hazards to health or property.
- 4. The Contractor shall only use cleaning methods approved by the Engineer.

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# C. <u>Payment for Final Cleaning</u>

No separate payment will be made for the aforementioned work, the cost thereof shall be included in the price bid for other items of this Contract.

# 7.14 OSHA REQUIREMENTS

The Contractor shall comply with all applicable OSHA rules and regulations regarding hazardous materials. The Contractor's specific attention is called to OSHA Regulation 29 CFR, Part 1920.120.

## 7.15 <u>NO SEPARATE PAYMENT</u>

No separate payment shall be made for the work specified in the Specific Provisions. All costs shall be included in the various Contract items unless otherwise specified.

## 7.16

#### <u>BID BREAKDOWN</u>

The Contractor shall submit a breakdown of the bid prices of this Contract within 15 days after the commencement date specified in the Notice to Proceed. The bid breakdown shall be by reference to every detailed specification section listed for the Contract Item, including physical quantities, material costs, unit costs, and installation costs, where applicable. In addition, separate amounts for the following shall be included in the bid breakdown:

Bond, Insurance and Mobilization Final Working Drawings, Record Drawings

7.17

## DETAILED WORK DESCRIPTION

## Storm Sewer Outfall and Splash Pad

This shall entail the construction of a new stormwater outfall with a splash pad at the end of College Place, north of Poppenhusen Avenue in Queens, New York. The new outfall and splash pad would improve water quality, provide flow velocity attenuation and erosion control prior to the release of stormwater to the East River.

#### Wetland Mitigation

The wetland mitigation work shall entail *Spartina alternaflora* plantings along the northeastern shoreline of Macneil Park to the northwest of the new stormwater outfall at the end of College Place, north of Poppenhusen

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Avenue in Queens, New York. The new plantings will be planted among the existing patches of *Spartina alternaflora* and will be protected by a debris exclusion fence and goose fence to be installed as shown on the Contract Drawings. All work associated with the wetland mitigation will be completed by hand.

#### Specification Section

#### **Specific Provisions**

7.09 Licensed Surveyor Structures and Misc. Equipment

7.101	Work Included
7.102	Dewatering

#### Earthwork and Grading

7.300	Work Included
7.307 <b>-</b> A	Grading
7.308	Fill On-Site

#### Landscaping and Restoration

7.400	Work Included
7.401	Landscaping for Terrestrial Zone and Wetland Zone
7.404-A	Restoration Specialist (Construction Monitor)
7.404-B	Erosion and Sediment Control Licensed/Certified
	Professional
7.407-A	Erosion Control Mat - Straw
7.411	Watering and Weeding During the Guarantee Period
7.413	Temporary Goose Exclusion Fence
7.417	Debris Exclusion Fence
7.418	Clean Sand For Restored Area

#### **Erosion and Sedimentation Control Measures**

7.500	Soil Erosion and Sedimentation Control Measures
7.501	Maintenance of Erosion Control Measures
7.502	Construction Limit Fence
7.504-A	Silt Fence
7.509-A	Stabilized Construction Entrance
7.510	Portable Sediment Tanks
7.516	Turbidity Curtain

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#### STRUCTURES AND EQUIPMENT

## 7.101 WORK INCLUDED

Under structures and equipment work, Contractor shall furnish all labor, materials and equipment and shall do all work as specified herein and as shown on the Contract Drawings, including all incidental and appurtenant work required for a complete job.

The work shall include items of work specified under the following sections:

Section No.

7.102

Dewatering

\* \* \* \* \*

<u>Title</u>

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## <u>DIVISION VII - DETAILED SPECIFICATIONS –</u> <u>CONTRACT SEQ200463</u>

## 7.102 <u>DEWATERING</u>

## A. <u>Description of Work</u>

The Contractor shall furnish, install, operate and maintain dewatering equipment as required, for construction work as specified herein. The dewatering equipment shall include, but not be limited to, the following equipment items:

- 1. Pumps
- 2. Piping
- 3. Accessories
- 4. Wells.

#### General Requirements

- 1. <u>General Specifications</u> Work performed under this Section shall be in conformance with the Standard Sewer Specifications.
- 2. <u>Examination of the Sites</u> The Contractor shall take all steps that he considers necessary to familiarize himself with the surface and subsurface conditions at the site, and shall obtain the data that is required to analyze the water and soil conditions at the site.
- 3. <u>Shop Drawings</u> The Contractor shall submit to the Engineer for approval shop drawings and any other material required to substantiate conformance with the requirements set forth in the specifications. Shop drawings shall include a detailed plan of operations.

#### <u>Dewatering</u>

1. <u>General Information</u> - The Contractor shall perform dewatering activities to insure that all construction is performed under dry conditions. If a well point system is proposed, the Contractor shall utilize a licensed well driller. The Contractor shall always drill down to sand or gravel layer when available and when it is below the lowest excavated invert.

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## DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

The Contractor shall operate the dewatering pumps continuously, a day before and during construction until all associated work within the influence zone of the well point have been completed.

2. <u>Care and Disposal of Water</u> - Care of water shall be in accordance with Section 15 - 15.25 of the Environmental Conservation Law.

Water from open cut and/or sheeted excavations, manholes, structures, trenches, or from whatever source, shall be disposed of strictly in accordance with methods approved by the Engineer.

The Contractor shall submit proposed dewatering methods to the New York State Department of Environmental Conservation for the required permits. If a well point dewatering system is proposed, the Contractor shall utilize a licensed well driller. Contractor shall contact NYSDEC a minimum of two (2) weeks in advance of dewatering system startup.

When required by the Engineer, such water shall be passed through a settling basin and tank of acceptable size and shape and equipped with an overflow. Each settling basin shall be cleaned as required and as ordered by the Engineer.

Sufficient water to flush all sewers and drains shall be provided by the Contractor when necessary. If any sewer, drain, catch basin, inlet or gutter, that receives dirty water attributable to the Site, should become filled or partially filled with sediment or debris, the Contractor shall promptly and satisfactorily remove such deposits.

#### D. Design Criteria

- 1. Provide dewatering system which will effectively reduce hydrostatic pressure and lower groundwater levels below excavation levels as necessary for safe and proper prosecution of the work and which will result in obtaining stable, substantially dry subgrade for prosecution of subsequent operations.
- 2. Design dewatering methods so that the effluent discharge from the sediment control measures (sump pit, sediment tank) does not impact surface water using the following protocol which was developed to monitor dewatering effluent discharge:
  - a. Monitoring of Dewatering Operations

*D*.

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Prior to the start of dewatering operations, a visual inspection of the installation of the sediment control measure(s) such as a dewatering sump pit and/or a portable sediment tank shall be made by the Engineer. Upon commencement of dewatering effluent discharge from the sediment control measures, at least three turbidity measurements of the effluent shall be conducted over a 15 minute-period using the following methodology. If the arithmetic mean of these three turbidity measurements is greater than three (3) times the ambient turbidity level or 50 NTUs, whichever is less, all dewatering operations shall be discontinued until the Engineer is consulted regarding additional control measures. If the ambient turbidity level is greater than 50 NTUs, the dewatering effluent discharge shall not exceed the ambient turbidity level unless otherwise directed by the Engineer.

## b. Determination of Ambient Turbidity

Ambient turbidity levels of surface waters shall be determined using a Hanna Instruments HI 93703 Portable Microprocessor Turbidity Meter available from Hanna Instruments, Inc., Woonsocket, RI or Orbeco Hellige Portable Turbidity or LaMotee Portable Turbidimeter or equivalent approved by the Engineer. Ambient turbidity measurements shall be collected under dry weather conditions. Dry weather conditions are defined as no precipitation in the preceding 48 hours. A minimum of three turbidity measurements shall be collected using as follows:

- Water samples shall be collected a minimum of 20 feet upstream of the work area prior to commencement of any construction activity.
- Water samples shall be collected without disturbing stream bank or stream bed sediments.
- The turbidity measurements shall be conducted according to the instructions provided in the unit's Operational Guide which are summarized below.

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- After the meter has been turned on, fill a clean cuvet up to one quarter inch from its rim with thoroughly agitated sample.
- Allow sufficient time for bubbles to escape before securing the cap.
- Wipe the outside of the cuvet thoroughly with a lint-free tissue.
- Place the cuvet into the cell of the meter.
- Press the Read key and the LCD will display a blinking "SIP" (Sampling in Process). The turbidity value will appear after approximately 25 second.

The arithmetic means of the three dry weather upstream turbidity measurements shall be the ambient turbidity level. Turbidity shall be measured in Nephelometric Turbidity Units (NTUs).

#### Submittals

Submit the following for approval:

- 1. Working Drawings
  - a. Type of dewatering system proposed, showing arrangement, location and depths of proposed system, complete description of equipment and materials to be used, procedure to be followed, standby equipment, standby power supply and proposed location(s) of points of discharge of water.
  - b. Obtain approval from the Engineer and appropriate regulatory agencies prior to installation of system.

#### Job Conditions

1. <u>Subsurface Conditions</u>

a. Subsurface investigations and groundwater level determinations shall be conducted by the Contractor prior to implementation as specified herein.

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F.

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## 2. <u>Responsibilities</u>

- a. Select and install dewatering system to accomplish groundwater control as specified.
- b. Monitor quality of discharge from dewatering system to determine if soil particles are being removed by system.
- c. Measure to ascertain if movement is caused in adjacent areas by dewatering operations; take approved measures to minimize such movement.
- d. Take measures to prevent damage to property.
- e. Repair as approved damage, disruption or interference resulting directly or indirectly from dewatering operations.
- f. Remove sediments from all intercepted groundwater or surface water as specified herein and approved by the Engineer and the jurisdictional agency concerned. Under no circumstances shall the Contractor directly discharge, without treatment, into the drainage channel or creek.

#### <u>Sump Pit</u>

G.

The Contractor, at the direction of the Engineer shall provide a stone filled pit with perforated standpipe/nozzle wrapped with filter fabric in which intercepted groundwater is pumped to an approved location.

The size and shape of the sump pit will vary due to site conditions. The size of pump should be determined from manufacturer's specifications.

- 1. The standpipe shall be a perforated 12"-24" diameter corrugated or PVC pipe.
- 2. A base of 2" aggregate shall be placed in the pit to a depth of 12". After installing the standpipe, the pit surrounding the standpipe shall then be backfilled with 2" aggregate.

3. The standpipe shall extend 12"-18" above the lip of the pit.

4. The standpipe shall be wrapped with filter cloth before installation. If desired, 1/2" x 1/2" hardware cloth may be placed around the

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standpipe, prior to attaching the filter cloth. This will increase the rate of water seepage into the pipe.

#### H. <u>Surface Drainage</u>

- 1. Intercept and divert surface drainage away from BMP or other excavations, wells by use of dikes, ditches, swales, open stone lined channel, temporary diversion pipes which could be either on the surface or buried, sumps or other means. To properly install buried diversion pipe the contractor may be required to excavate.
- 2. Design surface drainage systems to prevent erosion on or off the site or unwanted water flow.
- 3. Remove surface drainage system when no longer required.
- 4. Remove debris and restore site(s) to original conditions.

#### Drainage Of Excavated Areas

- 1. Provide and maintain ditches of adequate size to collect surface and subsurface water and seepage which may enter excavations and divert water into sump so that it can be drained or pumped into drainage channels as approved by the Engineer and the jurisdictional agency concerned.
- 2. Install settling basins or other approved apparatus as necessary to reduce amount of fine particles carried by water diverted away from excavation.
- 3. When no longer necessary, backfill and seal drainage ditches, sumps and settling basins with approved material.

#### J. Execution

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- 1. Install dewatering system as specified and with the approval of the Engineer.
- 2. Demonstrate by approved methods that no soil particles are present in water after 12 hours of initial pumping or draining and additionally as directed.
- 3. Dispose of precipitation and subsurface water away and clear of the work area. Keep excavation dry.

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- 4. Maintain continuous and complete effectiveness of the installation.
- 5. Maintain water level at such elevation that no damage to structure or plant material can occur because of excessive hydrostatic pressure. In any event, maintain water level two feet minimum below bottom of subgrade until sufficient concentrate work or backfilling or both has been completed to adequately offset uplift pressures.

## Dewatering System Removal

- 1. Remove and dispose of all stone, filter fabric and piping that comprise curtain drains and/or sump pits used in dewatering in accordance with Federal, State and local regulations at a permitted site.
- 2. Backfill remaining space as necessary to restore surface and subsurface to its original or proposed condition in accordance with the Engineer's approval.

## No Separate Payment

Dewatering work shall be performed by the Contractor only as directed by the Engineer. The cost for all labor, materials and equipment required for the Dewatering shall be deemed included in the bid price for other Contract Items. No separate payment shall be made for Dewatering.

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## DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

#### EARTHWORK AND GRADING

#### 7.300 Work Included

Under earthwork and grading, the Contractor shall provide all labor, material, tools and equipment necessary to complete the execution of the work in complete accordance with the Specifications and all Contract Drawings. The work shall include items of work specified under the following sections.

Section Number

7.307-A 7.308 Grading Fill On-Site

Title

\* \* \* \* \*

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7.301 DEBRIS REMOVAL AND DISPOSAL

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## 7.302 CLEARING, GRUBBING AND REMOVALS

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# 7.303 <u>TEMPORARY WOODEN TREE GUARDS</u>

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7.304 EXCAVATION

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## 7.305 <u>CRUSHED STONE</u>

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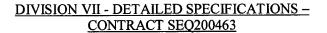


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## Project ID: <u>SEQ200463</u>



7.306 TREE REMOVAL AND DISPOSAL

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7.307-A <u>GRADING</u>

## A. <u>Description of Work</u>

The Contractor shall furnish all labor, materials, equipment and services necessary to perform all grading as indicated on the Contract Drawings and as specified herein.

B.

## General Requirements

1. <u>General Specifications</u> - Except as modified and supplemented hereinafter in the Detailed Specifications, work performed under this Section shall conform to the NYCDDC Sewer Construction General Specifications.

2. <u>Shop Drawings</u> - The Contractor shall submit to the Engineer for approval shop drawings and other documentation required to show conformance with the requirements set forth on the Contract Drawings and these Specifications. Shop drawings shall include, but not be limited to, the requirements for shop drawings as specified in the General Specifications.

<u>Grading</u> – The Contractor shall perform filling, compacting, and grading of the indicated areas of site, including minor cutting and filling high and low areas, and leveling such areas to elevations and within limits shown on the Contract Drawings. All work shall be performed in accordance with the applicable requirements of the NYCDDC Sewer Construction General Specifications.

Any grading below the mean higher high water (MHHW) line should be done in the dry during periods of low tide.

#### Compaction

- 1. <u>Wetland Areas</u> Compaction shall not be done in wetland and landscaped areas.
- 2. <u>Other Areas</u> Each layer of fill or backfill shall be compacted by a minimum of four complete passes with an approved tamping roller, pneumatic-tired roller, three-wheel power roller, or other approved compaction requirement. Compaction shall not be less than 95 percent of the maximum density modified proctor as determined by ASTM D1557, Method D.

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- 3. Field Control - Sufficient in place density tests shall be performed by the Contractor in order to satisfy the Engineer that the specified density is being obtained. These tests shall be made at no cost to the City and shall be made using the calibrated sand cone method (ASTM D1556) or other method as determined by the Engineer.
- Finished Excavation, Fills, and Embankments All areas covered by the project, including excavated and filled sections and adjacent transition areas, shall be uniformly graded. The finished surface shall be reasonably smooth, compacted, and free from irregular surface changes. The degree of finish shall be that ordinarily obtainable from blade-grader operations. Surfaces shall be finished not more than 0.15 foot above or below the established grade or approved cross section.
- Protection Newly graded areas shall be protected from traffic and erosion, and any settlement or washing away that may occur from any cause, prior to acceptance, shall be repaired and grades re-established to the required elevations and slopes, at no additional expense to the City.

The Contractor shall provide temporary ground cover sufficient to restrain erosion on all disturbed areas upon which further active construction is not taking place.

Measurement and Payment

The quantity to be measured for payment under the pay item Grading shall be the total number of square feet of work area graded. The contract price per square foot for grading shall be as indicated on the BID SCHEDULE OF PRICES Item No. BMP-7.307-A. The bid price shall constitute full compensation for labor, materials, equipment and work incidental thereto, necessary to complete the work in accordance with the plans and specifications to the satisfaction of the Engineer.

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## 7.308 <u>FILL ON-SITE</u>

## A. <u>Description of Work</u>

This work shall consist of providing and placing approved fill material where required throughout the project area only. The Contractor may use approved fill material excavated from sewer and foundation trenches and stockpiled excavated soils within project limits as long as it meets the approved definition. Reuse of onsite excavated material requires that the material be screened prior to placement as fill. The approved fill material shall be provided, placed, spread, compacted and fine graded to the elevations, lines, grades and cross-sections indicated on the drawings as directed by the Engineer.

#### <u>Definition</u>

Approved fill is hereby defined as clean earth, consisting of a mixture of silt and clay. Fill material shall have a liquid limit less than or equal to 40 and a plasticity index less than or equal to 20, as determined by ASTM D4318. This mixture must be free of any roots, trees, tree stumps, leaves or other organic matter. Furthermore, this material must also be free of any metals, masonry (i.e. construction debris), stones over one and one-half (1-1/2) inches in diameter and deleterious material. In addition, the fill shall have a gradation such that 100% (by weight) passes a 3" sieve, 50-100% passes a #10 sieve, 20-90% passes a #60 sieve, and 0-20% passes a #200 sieve.

Fill used in planted areas shall be clean earth, consisting of a mixture of silt, clay, and sand. No custom ("select fill") fill shall be used in area which are to be planted.

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#### Measurement and Payment

There will be no separate or additional payment made for the aforementioned work. The cost for this work shall be deemed included in the price bid for all items of work.

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#### LANDSCAPING AND RESTORATION WORK

#### Work Included 7.400

Under landscaping and restoration work, the Contractor shall provide labor, materials, tools and equipment necessary to complete the execution of the work in complete accordance with the Specifications and all Contract Drawings. The work shall include items of work specified under the following sections.

Section Number	Title
7.401	Landscaping for Terrestrial Zone and Wetland Zone
7.404-A	Restoration Specialist (Construction Monitor)
7.404-B	Erosion and Sediment Control Licensed/Certified Professional
7.407-A	Erosion Control Mat - Straw
7.411	Watering and Weeding During the Guarantee Period
7.413	Temporary Goose Exclusion Fence
7.417	Debris Exclusion Fence
7.418	Clean Sand For Restored Area

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# 7.401 LANDSCAPING FOR TERRESTRIAL ZONE AND WETLAND ZONE

## A. Work Included

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Under these items, the Contractor shall furnish all labor, materials, equipment and services necessary for the proper execution of all landscaping work, as specified herein and as shown on the Contract Drawings, including all incidental and appurtenant work required for a complete job. In addition, the contractor will also furnish and deliver Permanent Seed Mix as directed by the Engineer.

#### General Requirements

## 1. <u>Reference Standards</u>

- a. American Association of Nurserymen, Inc., (American National Standards Institute) Nursery Stock (Z60.1)
- b. American Joint Committee on Horticultural Nomenclature Standardized Plant Names.
- c. A Checklist of New York State Plants, Contributions to a Flora of New York State, Checklist III, Bulletin #458, Richard S. Mitchell, State Botanist, New York State Museum, 1986.
- Gleason, The Late Henry A. and Arthur Cronquist. 1991. Manual of the Vascular Plants of Northeastern United States and Adjacent Canada, 2<sup>nd</sup> ed, New York Botanical Garden.
- e. Mitchell, Richard S. and Gordon C. Tucker. 1997. A Revised Checklist of New York State Plants, Bull. #490, New York State Museum.

## C. Quality Assurance

- 1. Source Quality Control:
  - a. If private nursery sources are used, they must be within a 250-mile radius of the planting site. All specified plants shall have also been grown in the same USDA climatic zone as that of the planting site.

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All seed and original stock material for herbaceous plants shall have been collected from locally adapted ecotypes within a one-hundred mile radius of the project site. Plant material may have to be contract grown in order to meet this requirement.

No substitutions of specified plants will be accepted without prior approval of the Engineer or his/her duly authorized representative.

- b. General. Ship landscape material with certificates of inspection when required by governmental authorities. Comply with governing regulations applicable to landscape material.
- c. Packaged Material. Package standard products with manufacturer's certified analysis. For other material, such as topsoil, provide analysis by recognized laboratory made in accordance with methods established by the Association of Official Agricultural Chemists, wherever applicable, or as further specified.
- d. All seed shall be interagency certified under the auspices of a State Seed Improvement Cooperative and must bear their seals of certification on bag. Permanent seed shall be 75% Pure Live Seed minimum. Weed content of seed lots shall not exceed 0.25 percent. All seed shall be free of noxious weeds. Provide fresh, clean, new-crop seed complying with the tolerance for purity and germination established by the Official Seed Analysts of North America. Provide seed of the species, proportions and minimum percentages of purity, germination, and maximum percentage of weed seed.
- e. Comply with governing regulations applicable to wetland and landscape materials including certification that tidal wetland plants have been acclimated to 15 to 25 parts per thousand salinity for a period of not less than two (2) weeks prior to installation.
- 2. Trees and plants shall be specified as in the Contract Documents. Nurseries which collect plants from the wild shall be rejected. No substitutions shall be permitted, except as authorized in writing by Engineer. If specified landscape material is not obtainable, submit proof of non-availability to Engineer, together with proposal for

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use of equivalent material. All plants specified within this Contract are native to the State of New York. Species native to this region, but not listed as native within *A Checklist of New York State Plants*, may be accepted on a case-by-case basis.

- The Contractor shall provide trees and plants of quantity, size, 3. genus, species and variety shown and scheduled in the Contract Documents for landscape work and complying with recommendations and requirements of ANSI Z60.1 "American Standard for Nursery Stock" and the Manual of Vascular Plants of the Northeastern United States and Adjacent Canada. The Contractor shall provide healthy, vigorous stock, grown in a recognized nursery in accordance with good horticultural practice and free of disease, insects, eggs, larvae and defects such as knots, sun-scald, injuries abrasions, or disfigurement. Contractor shall submit certification that wetland plants are procured at least six months prior to scheduled planting.
- 4. All plants furnished under this Item shall be true to name. Plant names shall agree with the nomenclature of Standardized Plant Names as adopted by the American Joint Committee on Horticultural Nomenclature, 1942 Edition. Size and grading shall conform to those of the American Association of Nurserymen. All wetland plants shall come from Queens stock or within 250-mile radius of Queens.
- 5. Certified analyses by a recognized laboratory shall be submitted by the Contractor for approval by the Engineer for topsoil before delivery to the site. Analyses must include mechanical analysis, magnesium, nitrogen, potassium, and phosphorus levels, soluble salts, pH and organic matter. Standards and formatting for topsoil analyses shall conform to those of Cornell Cooperative Extension of Nassau County. Associated costs and additional guidelines for topsoil analyses shall be as specified NYCDOT Specifications.

## 6. Inspection:

- a. The Engineer shall inspect trees and shrubs at place of growth before planting, for compliance with requirements for genus, species, variety, size and quality. Contractor shall be responsible for all inspection costs beyond a 50-mile radius from New York City.
- b. Plant materials shall be inspected by the Engineer upon arrival at the job site and prior to planting. Any materials

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not in compliance with specifications shall not be accepted and shall be removed from the job site immediately.

- c. The Engineer retains the right to further inspect trees for size and condition of balls and root systems, insects, injuries and latent defects, and to reject unsatisfactory or defective material at any time during progress of work. The Contractor shall remove rejected trees immediately from project site.
- d. Tagged samples of plant materials shall be delivered to the site and planted in locations approved by the Engineer. These tagged samples shall be maintained, protected and used as standards for comparison with the plants furnished for the work.
- e. The Contractor shall be responsible for all certificates of inspection of plant material that may be required by Federal, State or other authorities to accompany each shipment of plants. On arrival, the certificates shall be filed with the Engineer. The Engineer shall receive a copy of each shipping invoice immediately after the delivery has arrived at the job site.

#### D. <u>Submittals</u>

The General Contractor shall submit the following information (as listed in 1. through 4.) for approval within ten working days following the date in the Notice to Commence Work:

- 1. Subcontractors. Subcontractors proposed for landscaping and associated restoration and site work must be approved by the Engineer prior to start of work. The Contractor shall submit at least three (3) alternative Subcontractors to the Engineer for review and approval. The Subcontractors proposed shall be evaluated on the following criteria, prioritized in descending order:
  - a. The Contractor shall submit a minimum of three (3) projects similar in scope and type (i.e., location, size, cost, client, plant species, time of planting, etc.) within the last five years whereby the Contractor was directly responsible for the installation, restoration and maintenance of native habitats and wetlands. References and xerographic reproductions of photographs of the projects shall be submitted. Projects shall not be more than five years old.

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Provide client contact person's name and telephone number. Describe any problems encountered during construction and corrective action taken to remedy the problem. Describe any violations issued by NYSDEC or any other regulatory agency. How were the violations resolved. Enclose copies of applicable wetland permits. Provide chronological photos recording the progress of the restoration and/or creation efforts, including preconstruction through completion. Include any required sign-offs from client and provide a list of all plants replaced on site.

- b. Demonstrated capacity to accomplish the work in the required time including qualification of experienced foreman and key personnel.
- c. Experience in digging and transplanting field stock.
- d. Experience with agencies, such as the Department of Parks and Recreation, Central Park Conservancy, the Botanic Gardens, HPD and/or the Port Authority.
- e. Experience with State or Federal Agencies, particularly with experience in conducting mitigation pursuant to USACE or NYSDEC requirements.
- f. Wetland/landscape contractor shall have performed at least three (3) contracts that involved the installation and maintenance of soil erosion and sediment control devices during construction of the project. The projects shall be at least three (3) years old and successful.
- g. Other references or experience deemed appropriate to obtaining approval.
- 2. List of growers/nurseries.
- 3. Certified arborist or nurseryman, experienced in tree pruning and removal.
- 4. List of all materials and certificates specified within this Item.

The General Contractor shall submit the following information (as listed in 5 through 8) prior to construction:

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- 5. Certificates:
  - a. All necessary State, Federal and other inspection certificates as may be required by law.
  - b. Two (2) copies to the Engineer of manufacturers' or vendors' certified analysis for soil treatments and fertilizer materials shall be submitted with samples.
  - c. Certification and guarantee that all plant material is true to name and in conformance with these specifications.
  - d. The invoice or a written statement showing the size and grade of materials received or shipped, together with the source and health of the plant material and verification that balled and burlapped plants were sprayed with an antidesiccant within 48 hours prior to digging. No plants shall be accepted that have been collected from property other than that owned or leased by a nursery.
  - e. Certification that all herbaceous plant material was grown from seed or stock collected from locally adapted ecotypes within a one-hundred mile radius of the project site.
  - f. Certificates from seed vendors: certified statement for each seed mixture required, stating botanical and common name, percentage by weight and percentages of purity, germination, and weed seed for each species.
- 6. Planting Schedule. Submit proposed planting schedule within one month of official Notice to Commence Work, indicating dates for each type of landscape work during normal seasons and as specified in the Contract for such work in area of site. Included shall be a schedule of nursery visits for the Restoration Specialist to tag plant material. Correlate from date of substantial completion. Once accepted, revise dates only as approved in writing by the Engineer, after documentation of reasons for delays.
- 7. List of equipment, methods of operation, and maintenance plant, including methods for protection of existing vegetation.
- 8. Manufacturer's Literature. Manufacturer's literature for all materials furnished shall be submitted with samples of same.

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- 9. The Contractor is required to perform a separate germination test on the seed mixes to be used on this project prior to submitting the seed mix and supplier. The results of the germination test shall be included in with the information submitted to the Engineer for review and acceptance. The Contractor is advised that these tests can run two-months or more and should be prepared to have these tests completed in sufficient time for the next seeding season. Seed shall conform to all applicable state and federal regulations and to test provisions of the Association of Official Seed Analysts. There shall be no exceptions.
- 10. The Landscape Subcontractor shall submit a watering and weeding plan and maintenance schedule prior to the installation of plant material, to be approved by the Engineer with consultation from the Restoration Specialist. The plan shall include proposed methods of watering and weeding, including but not limited to tree gators (bags), sprinklers, drip hoses, irrigation, tanker vehicles and hand watering, etc., as well as manual weeding and weeding tools. No additional payment will be made for watering and weeding during installation and during the three year guarantee period.

The approved plan and schedule do not relieve the Contractor in any way from any aspect of the replacement of dead plant material. The Landscape Subcontractor may alter the maintenance schedule based on weather and field conditions.

- 11. The Contractor shall submit a sequence of construction for work to the Engineer for approval. The proposed sequence of work shall conform with any Special Conditions stated in any USACE Permit or NYSDEC Permit specific to this project.
- 12. Final planting plan, based on the post-excavation field topographic survey, including location of actual planting areas and densities for each ecological community, sediment control fence, and other required work.
- 13. Sediment control fence and goose exclusion fencing plan layout and details illustrating fence height, location of posts.
- 14. Submit a monitoring plan that meets the requirements of Section 7.404-A.G. The monitoring plan shall include hydrology, vegetation, and soils monitoring to document that the mitigation and restoration areas meet the criteria established in the USACE 1987 Wetland Delineation Manual. An action plan for addressing failures or deviation from goals shall also be included. The

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monitoring plan shall also satisfy the following specific requirements:

- a. The National Wetlands Mitigation Action Plan (December 2002).
- b. Compensatory Mitigation Guidelines and Mitigation Checklist for Review of Mitigation Plans, USACE, New York District, 2004, Section I Monitoring Plan and Report, and Section J Maintenance and Adaptive Management Plan.
- c. New York State Salt Marsh Restoration and Monitoring Guidelines. NYSDEC, December 2000, Section 7 Salt Marsh Restoration – Recommended Monitoring Plan.
- d. The monitoring plan shall conform with any Special Conditions stated in any USACE Permit or NYSDEC Permit specific to this project.

#### Product Delivery, Storage and Handling

- 1. Delivery of Materials:
  - a. Packaged Materials. Deliver packaged materials in unopened bags or containers, each bearing the name, warranty, and trademark of the producer and the composition, analysis and the weight of the material. Contractor shall notify Engineer 48 hours in advance of delivery of all plant material.
  - b. Trees and Plants. The Contractor shall provide trees and plants of the stock type and quantities shown on the Contract Drawings. Do not prune prior to delivery unless otherwise approved by the Engineer. Do not bend or bindtie trees or plants in such a manner as to damage bark, break branches or destroy natural shape. Provide protective covering during delivery, and insure that all balled and burlapped stock, container stock, tube stock, and/or bare root material is handled properly and is not dropped.
  - c. All plant materials shall be protected from drying out and from wind damage during delivery.

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- d. The Contractor shall deliver trees and plants after preparations for planting have been completed and plant immediately. If planting is delayed more than 6 hours after delivery, set trees and plants in shade, protect from wind, weather and mechanical damage, and keep roots moist by covering with mulch, burlap or other acceptable means of retaining moisture. Water as necessary.
- e. The Contractor shall not remove container grown stock from container until planting time.
- f. Material should be planted in the ground immediately after delivery to site. Plants should be covered with damp-not wet-leaf compost while awaiting ground installation. Do not allow the plants to dry out or freeze.
- g. Fertilizer delivered to the job site shall be in original, unopened containers bearing the manufacturer's chemical analysis and essential information. Fertilizer containers shall be protected from exposure to precipitation and direct sunlight.
- h. All materials shall be stored in upland areas that are protected from weather.

## i. Seeding:

- 1. Seed shall be clean and fresh and delivered to the site in the original, unopened bags showing the net weight, composition of mix, suppliers name and guarantee of analysis. Seed shall be delivered and stored in original unopened packages, kept dry, and not opened until needed for use. Damaged or faulty packages shall not be used and will be rejected. Seed shall have been harvested for planting in the current growing season, and shall have been packed within the last 9 months.
- 2. All seed shall be interagency certified under the auspices of a State Seed Improvement Cooperative and shall bear theirs seals of certification on each 50 pound bag. Permanent seed shall be 75% pure live seed minimum.
- 3. Seed materials will be inspected by the Engineer upon arrival at the job site and prior to planting. Any materials

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not in compliance with specifications will not be accepted and shall be removed from the job site immediately.

- 4. All seed materials shall be protected from drying out and from wind damage during delivery.
- 5. Furnish seed in sealed, standard containers with germination and purity percentages clearly labeled.
- j. Plant Material: Provide healthy, vigorous growing specimens exhibiting uniform growth and form characteristic of their species that satisfy the project specifications. Plants shall be free of chlorosis, yellowing, blemished or damaged parts.
- k. Label all flats of plants and all separate plants with a securely attached waterproof tag, bearing legible designation of botanical and common name, written with waterproof ink.

#### 2. Storage of Materials

- a. Store and cover materials to prevent deterioration. Remove packaged materials which have become wet or show deterioration or water marks from the site and replace at no additional cost to the City.
- b. Seed that is wet or moldy or that has been otherwise damaged in transit or storage shall be replaced at no additional cost to the City.

#### Job Conditions

<u>Terrestrial and Wetland Buffer Zone Plantings:</u> Unless otherwise directed by the Engineer, evergreen material shall be planted and transplanted from April 1st to May 15th and from September 1st to October 15th. Deciduous material shall be planted and transplanted from March 1st to May 30th and from October 15th to December 1st. Container-grown herbaceous material shall be planted and transplanted from March 1st to May 30th and from August 15th to September 15th (SEE PLANTING SCHEDULE). Perform actual planting when conditions are suitable. No plant material shall be planted when the ground is frozen or in excessively moist condition. All material labeled as fall planting hazard shall be installed

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during the spring only. Notify the Engineer before proceeding with any planting operations.

#### Wetland Plantings:

- 1. Time of Planting and Transplanting. All wetland plantings shall be installed in time frames indicated under the above Terrestrial and Wetland Buffer Zone Planting section. Perform actual planting when conditions are suitable. No plant material shall be planted when the ground is frozen or in excessively moist condition. Notify the Engineer before proceeding with any planting operations.
- 2. The Contractor shall proceed with and complete landscape work as rapidly as portions of site become available, working within seasonal limitations for each kind of landscape work required.
- 3. Utilities. The Contractor shall determine location of underground utilities and perform work in a manner which will avoid possible damage. Hand excavate, as required. Maintain grade stakes set by others until removal is approved by the Engineer.
- 4. Excavation. When conditions detrimental to plant growth are encountered, such as rubble fill, adverse draining conditions, or obstructions, notify the Engineer.
- 5. Preservation and Restoration of Existing Trees and Shrubs.
  - a. In order to avoid surface and subsurface root damage and soil compaction, the Contractor shall not be permitted to stockpile materials of any nature under the drip line of existing trees and shrubs. This directive shall apply to all areas within or outside the Contract limit line.
  - b. The Contractor shall assume the responsibility for any remedial work such as root and top pruning required and/or necessary to prevent loss of plant material when this article is violated or when trees or shrubs are injured by construction equipment.
  - c. Compensatory pruning and fertilizing of existing trees and shrubs shall be performed to compensate for damage of roots incurred. Fertilize in areas around undamaged roots only and not adjacent to the trunk or main stem. Fertilizer

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shall be applied in the fall unless otherwise approved by Engineer.

- d. Tree pruning shall be performed in accordance with NYCDOT Standard Highway Specification Section 4.18.
- e. No separate payment will be made for fertilizing and pruning of trees and shrubs in stockpile areas or when trees or shrubs are injured by construction equipment, but the cost thereof will be deemed to be included in the various prices bid for the items for which such pruning and fertilizing are necessary.
- f. No existing trees, shrubs or herbaceous plants shall be removed, except as specifically required by this Contract or as specified on Contract Documents, or as specifically approved in writing by the Engineer.
- g. Any areas or items of existing landscape which are removed or damaged shall be replaced by the Contractor at no additional cost to the City. The Contractor shall match the existing condition prior to damage or as directed by the Engineer.
- h. All existing landscape features including trees, shrubs, perennial, meadows, lawns, wetlands, paving, walls, stairs, etc. shall be protected by the Contractor, utilizing methods approved by the Engineer prior to start of work.

#### G. <u>Guarantee</u>

- 1. Landscape Guarantee and Replacements
  - a. Guarantee. All landscaping work shall have a replacement guarantee for a period of three (3) years beginning at the date of acceptance of the Landscaping work or the date of substantial completion, whichever is later, and shall be considered as included under monies shown within the guarantee provisions of Schedule A.
  - b. Operations. The Contractor shall, for a period of three (3) years, cultivate, weed, mulch, prune, and water all trees, shrubs, herbaceous plants, vines permanent seeded areas under this Contract, to the satisfaction of the Engineer. The Contractor shall replace, according to the original

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specifications, any plant material which is dead or in a dying condition at the request of the Engineer. The Engineer shall be the sole judge as to the condition of the plants. The guarantee and maintenance applies to all planted and grassed areas, meadows, paved and other landscaped areas.

Replacement. Any plant material that is dead or not showing satisfactory growth, as determined by the Engineer, shall be promptly removed and replaced by the Contractor during normal planting season specified in Section 7.401.2E. Initial replacement of dead material and the repair of bare areas will take place one year following the acceptance of plant material. The replacement shall be of the same variety, size and character as specified for the original planting. Unless a written waiver of this clause is issued, under the terms of the guarantee, replacement plants shall be chosen only by the Engineer.

At the end of the guarantee period, and upon written request, an inspection will be made by the Engineer. If mortality exceeds ten percent or if bare areas occur, the Contractor shall replace plant material.

#### <u>Materials</u>

# 1. Clean Sand

a.

c.

- Sand from site stripping shall be used if the material meets specifications listed in 7.418. A material test(s) shall be made at Contractor's expense to determine if the specifications for all the tests listed in (7.418) have been met. A material test shall be required and shall serve as a representative analysis for every 200 cubic yards of material utilized.
- b. Additional clean sand shall be furnished from sources off the Contract site. Material shall comply with the requirements of Specification section 7.418.

### 2. <u>Fertilizer</u>

Fertilizer shall be provided as indicated on the Contract Drawings: Osmocote, granular, slow-release in the specified time frame releases and analyses. Fertilizer shall be furnished in standard

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containers, with name, weight and guarantee analysis of contents clearly marked thereon. Appropriate containers to disperse specified amounts of fertilizer into planting holes shall be supplied and used by the Contractor.

#### 3. <u>Plant Material</u>

b.

- a. The Contractor shall furnish all plant material shown. Plant material must be true to name and size and conform with the following standards:
  - i. American Joint Committee on Horticultural Nomenclature, Standardized Plant Names (Published by Mount Pleasant Press J. Horace McFarland Company, Harrisburg, PA.).
  - ii. American Association of Nurseryman, "Horticultural Standards" (Published by American Association of Nurserymen, Inc., 635-636 Southern Building, Washington, D.C.).
  - Nursery grown plants shall mean plants propagated by seed, division, tissue culture or cloned from existing stock at a nursery, which are healthy, vigorous plants, cultivated in accordance with sound horticultural practice. All plants shall be nursery grown unless collected from natural areas owned or leased for that purpose by the nursery. All plants shall have been grown under the same climatic conditions as those of the planting site. All herbaceous plants shall come from seeds or stock collected within a one-hundred mile radius of the project site. Only those nurseries within a 250-mile radius of the planting site will be accepted as plant sources. In some cases plant material may be obtained outside the 250-mile radius on a case-by-case basis.
- c. All plants and all balled and burlapped plants shall be freshly dug; neither heeled-in nor plants from cold storage will be accepted. All plants shall have been transplanted or root pruned at least once in the past three years.
- d. All plants shall conform to the measurements specified in the plant list on the Contract Drawings. All plants shall be typical of their species and shall have a normal, healthy habit of growth and be of first quality, sound, vigorous, well-branched and densely foliated. Plants that meet the

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requirements specified in the plant list, but that do not possess a normal balance between height and spread will not be accepted. No damaged or diseased plants will be accepted.

e.

Plug Stock Plants: Provide plug stock plants grown in an approved nursery in accordance with good horticultural practice, with healthy root systems developed by transplanting or root pruning. Plug stock shall be grown in 2-inch cavity trays. Tidal plug stock plants shall be acclimated in the nursery to salinity levels between 15 and 25 ppt for a period of not less than two (2) weeks prior to installation. Plugs shall be propagated and grown in cells and not as bare root stock or as bedded plants. The extracted root system shall conform to the shape and dimensions of the growing cells without sloughing soil or growth media as determined by on-site inspection. Materials not conforming to the dimensions of the cell may be rejected without compensation to the Contractor. The extracted root system of the plugs shall have the majority of the roots in the vertical orientation. Roots shall be white in coloration and firm to the touch. Roots shall not have a strong sulfide odor (rotten egg smell) or be black in color. If the horizontal roots are thick and flattened and the roots stays in a thick net shape of the original cell when the media is shaken loose, the plant may be determined to be "pot bound " and shall be rejected without compensation to the Contractor. Species shall be as shown on the Contract Drawings.

#### 4. Mulch

Mulch shall be organic mulch free from deleterious materials and suitable for top dressing of trees, shrubs or plants and shall be shredded hardwood bark, decayed hardwood chips, leaf mold, pine straw, partially decayed leaves, cottonseed hulls, peanut hulls or other organic products. Mulch must be aged at least one year, should not contain elm wood chips, or be from diseased trees. No shredded bark pieces shall be greater than 3" in length and 3" in width. Mulch for seeded areas shall be clean, seed-free salt hay. Mulch shall be free of roots or other parts of invasive exotic plants that may take root in restored area.

- 5. <u>Temporary Seed Mixtures</u>
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Soil stockpiles and cleared and graded areas shall receive oat seed (avena sativa) for temporary stabilization as required during the spring and summer months. Areas requiring temporary stabilization after August shall be seeded with certified "Aroostook" winter rye.

#### 6. <u>Permanent Seed Mixture</u>

Seed mixture shall be as specified on the Contract Drawings unless otherwise directed by the Engineer.

Nurse/Cover seed for the permanent seed mixture shall consist of oats (Avena sativa) during spring seeding season and certified "Aroostook" winter rye (cereal rye) during fall seeding season. Nurse/cover seed shall be added to the permanent seed mix at a rate of 15 lbs per acre or 0.35 lbs per 1,000 sq. ft..

7. Erosion Control Mat (Blanket)

The erosion control fabric used in planted areas shall conform to Detailed Specification 7.407-A - Erosion Control Mat - Straw.

#### Execution

# Installation/Application/Performance For Terrestrial and Wetland Buffer Zone Plants

- 1. Workmanship. The Contractor shall complete all work in the best manner, so that the work as a whole is of uniform quality and appearance. The Contractor shall conform to the requirements specified hereafter.
- 2. Preparation.
  - a. Areas described and shown on plans shall be rough graded with suitable local fill to (maximum) four (4) inches below the finished surface, fine graded, prepared for planting and landscaped.
  - b. Subgrade shall be kept free of masonry, concrete, metal waste materials, and debris.
  - c. Remove stones over 1-1/2 inches in any dimension, as well as sticks, rubbish and other extraneous matter.

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- d. The planting beds and pits shall be worked up well, and shall be free of other vegetation and large clods of soil.
- e. Apply fertilizer at rate specified in Contract Drawings during planting and seeding operations.
- 3. <u>Delivery</u>: Plants shall be packed, transported, and handled with utmost care to insure adequate protection against injury. When transported in closed vehicles, plants shall receive adequate ventilation to prevent sweating. When transported in open vehicles, plants shall be protected by tarpaulins or other suitable cover material. All bare root plants shall be adequately protected from drying out and immediately after inspection shall be heeled in moist soil. Balled and burlapped plants shall be set on the ground and the ball covered with soil. Until planted, all material shall be properly maintained and kept adequately moist, to the satisfaction of the Engineer.
- 4. <u>Inspection</u>: Inspection may be made before digging if the Engineer directs, but no plant material shall be planted by the Contractor until inspected by the Engineer at the site of the work. Plant material will be rejected if delivered with broken or damaged root balls, or if damaged on site by rough handling. All rejected material shall be immediately removed from the site and replaced with acceptable material at no additional cost to the City. Final inspection shall be made upon completion of the Contract.

# 5. <u>Installation</u>.

2.

- a. Planting Operations.
  - 1. Layout: All trees, shrubs and herbaceous shall be laid out in random and naturalistic arrangements, as specified in the Contract Drawings unless otherwise directed by the Engineer. All plant and planting area locations shall be staked prior to planting by the Engineer. Place no plantings within two (2) feet of pavements or structures, unless otherwise indicated.
    - Loosen sand to a depth of six (6) inches. Loosen sand with rototiller, backhoe or discer. The soilloosening operation shall be conducted in such a way as to back its way out of the site. After this, no more heavy machinery shall be allowed on the planting beds.

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- 3. Rototill/cultivate soils to a depth equal to the depth of the root ball and two times the diameter of the root ball. Set the tree/shrub on the undisturbed solid ground in the center of the area.
- 4. Obstructions below Ground: Remove any rock, rubble, masonry, concrete, metal, stones over one inch or other underground obstructions to the depth necessary to permit proper planting.
- 5. Disposal: Remove and dispose of all excess excavations and unsuitable materials. Dispose in accordance with all local laws and regulations at Contractor's cost.
- 6. Plant Beds: All plant material shall be planted in clean sand.
- 7. Bare root material shall be adequately protected from drying out and immediately heeled in after inspection. The bundles of heeled-in plants shall be set upright on the ground, covered with mulch, and kept adequately moist until the time of installation. Until the time of planting, all plant material shall be stored in an approved location, securely fenced and maintained, to the satisfaction of the Engineer, at no additional cost to the City. All plants not planted immediately shall be watered as necessary to maintain optimal health until planting.
- 8. Setting Plants: Plant all plants to the same depth as their place of growth, unless otherwise directed. Center the plants in their planting pits. Set in the natural upright position at such a level that, after settlement, a normal or natural relationship of the crown of the plant with the ground surface shall be established. Be careful not to exert any pressure that will damage any portion of the plant.
- 9. Avoid compacting the sand. Do not leave plants exposed to sun or wind prior to planting. Take special care to avoid desiccation of fibrous-rooted plants.

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- 10. The Contractor shall be liable for any damage to property caused by planting operations and the Contractor shall, without any additional cost, restore to original condition or replace all trees, plant beds, lawns, meadows and all construction disturbed or damaged in performing the work of this Contract.
- 6. <u>Method of Work</u>. Submit a list of proposed methods of execution of work under this section for review by the Engineer when proposed methods are different from, or supplementary to, those specified herein.

# Temporary Seed Mixture

- 1. Soil stockpiles shall be seeded with a temporary seed mixture if they will be in place for greater than 30 days. Cleared and graded areas shall also be seeded with a temporary seed mixture to temporarily stabilize them, if they will not be landscaped or planted (final) for more than 30 days. A temporary seed mixture shall be used to stabilize stockpiles and portions of the site where construction activities have temporarily or permanently no more than 7 days after the construction activity in that portion of the site has temporarily or permanently ceased. This requirement does not apply if earth-disturbing activities will be resumed within fourteen (14) days.
- 2. Seed mixture Temporary seeding shall be oat seed (Avena sativa) at a rate of 30 lbs per acre or 0.7 lbs per 1,000 sq. ft. If area is seeded during months of September through November, certified "Aroostook" winter rye (cereal rye) shall be used at a rate of 50 lbs per acre or 1.25 lbs per 1,000 sq. ft.
- 3. If temporary seeding is not made within 24 hours of construction/disturbance, the soil must be scarified prior to seeding.
- 4. Method of seeding seed shall be evenly applied with broadcast seeder, drill or cultipack seeder.
- 5. If temporary seeding is made under favorable soil and site conditions during the optimum seeding dates (April 1 June 1 or September 1 October 15) mulch is not required. Any temporary seeding outside of those dates shall be hydroseeded with a mulch binder. Binder shall be a cellulose or non-asphaltic emulsion.

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natural gum binder blended with gelling or hardening agents. A wood fiber mulch shall also be added to the binder for improved stability. Terra-tack, as manufactured by Reinco, Inc., Hydrobond by JRM Chemical, Inc, Dustout by DustoutUS, or equivalent as approved by the engineer, shall be used. Alternatively, the temporarily seeded area can be mulched with a straw of oat or wheat stalks (not hay) applied at a rate of 2 tons per acre (100 - 200 bales / acre) uniformly distributed over the sown seeds and held in place through the use of a straw crimper.

6. Any area with fail to establish vegetative cover adequate to prevent rill erosion will be reseeded as soon as such areas are identified.

#### Permanent Seed Mixture

- 1. Seed materials shall be inspected by the Engineer upon arrival at the job site and prior to planting. Any materials not in compliance with specifications shall not be accepted and shall be removed from the job site immediately.
- 2. All seed materials shall be protected from drying out and from wind damage during delivery.
- 3. All areas shown to receive seed on Contract Drawings and all areas which are disturbed and not planted shall be seeded.
- Seedbed Preparation Scarify all compacted areas and remove all debris and obstacles such as rocks and stumps.
- 5. Do not broadcast seed by mechanical application when the wind velocity is such as to prevent uniform seed distribution.
- 6. Apply seed at the rate(s) specified on the Contract Drawings.
- 7. Time of Seeding Permanent seeding shall be done within 15 days of final construction activities. Optimum seeding times are in the spring from April 1 June 1 and in fall from September 1 October 15. If construction is completed during mid-summer, seeding may be done if watering will be provided.
- 8. Method of Seeding Seed shall be broadcast by hand or mechanically using a drop-hopper. Seeds shall be blended thoroughly with a sand filler and uniformly broadcast over the entire area then gently hand raked 1/8 to ¼ inch into the soil.

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- 9. Following the seeding operation, 10-10-10 fast release fertilizer shall be broadcast at a rate of 400 lbs/acre throughout the seeded area by hand or mechanically using a cyclone broadcaster. Seed shall be watered as recommended by the seed manufacturer to achieve specified growth coverage.
- 10. Seeded areas shall be covered with ECS-1B single net straw biodegradable rolled erosion control product furnished by East Coast Erosion Blankets, 443 Bricker Road, Berryville, PA 19056, (800) 582-4005 or approved equal. Alternatively mulching straw of oat or wheat stalks (not hay) shall be applied at a rate of 2 tons per acre (100 200 bales / acre) uniformly distributed over the sown seeds and held in place through the use of a straw crimper.
- 11. Seeding shall be deemed acceptable when 85% coverage of the seeded area with the seeded species has been achieved. Any area not meeting this requirement shall be reseeded with the original seed mix.
- 12. Areas seeded with temporary cover grass shall be rototilled and/or harrowed prior to seeding with permanent seed mix during the allowed time period.

#### Final Acceptance

Plants must be thriving. Planting beds must be evenly mulched and free of invasive nonnative plant species. Paving/landscape interface must be a smooth, crafted transition free from defects such as gaps, sharp edges or sudden level changes.

#### Final Cleanup

At time of final inspection of work, and before final acceptance, clean any paved areas that are dirty or stained due to work of this Section by sweeping or washing, and remove any defacements or stains. Remove construction equipment, excess materials and tools. Remove from site any debris and dispose of off-site, in accordance with all local laws, and at the Contractor's expense. The Contractor shall also cut all perimeter grass and weeds before final acceptance.

### N. <u>Wetland Plantings</u>:

1. Installation

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The Contractor shall complete all work in the best manner, so that the work as a whole is of uniform quality and appearance. The Contractor shall conform to the requirements specified hereafter..

- a. At the elevations described and shown on the plans, the areas shall be fine graded, prepared for planting and landscaped.
- b. Subgrade shall be kept free of waste material and debris. Subgrade shall be compacted prior to sand application at the Engineer's direction. No clean sand is to be placed until the subgrade is approved by the Engineer.
- c. Remove stones over 1-1/2 inches in any dimension, as well as sticks, rubbish and other extraneous matter.
- d. The planting areas shall be worked up well, and shall be free of other vegetation and large clods of soil.
- e. For planting beds, spread clean sand fill to a minimum depth required to meet lines, grades and elevations shown on the Contract Drawings, after light rolling and natural settlement.
- f. Install erosion control mat.
- g. Apply fertilizer at rate specified in Contract Drawings and specified herein during planting and seeding operations.
- 2. Spartina alterniflora shall be planted at 1.5 foot on center spacing.
  - a. An auger shall be used to develop planting hole with bit slightly larger than plug stock.
  - b. The depth of auger placement shall allow for easy plant placement to match the base of plant with the surrounding grade while limiting formation of air pockets beneath planting hole.
  - c. Prior to placement of plant plug, add one (1) ounce of fertilizer to bottom of planting hole.
  - d. Insert plant in hole with root system oriented downward. While the plant is in this position, the soil profile shall be fully and firmly closed with an appropriate hand tool.

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- e. Once the soil is closed, firm foot pressure shall be applied in several positions immediately adjacent to the plantings to ensure good soil and plant contact, and to remove any air pockets and voids.
- f. If a soil depression is formed above or immediately adjacent to the planting location, enough soil shall be sloughed from the surrounding area and firmly tamped, but not compacted, into the depression to leave the planting area at the same elevation as the surrounding soil or slightly higher.
- 2. Erosion Control Blankets
  - a. Center a blanket in the bottom of the wetland area and anchor the erosion control blankets in a minimum 8" x 8" slot using five evenly spaced staples. Unroll blankets carefully in the direction of water flow, being careful to place blankets loosely and in full contact with the soil.
  - b. Overlap blanket edges approximately 4" with downstream edges over upstream edges.
  - c. Staple blankets using approximately 3 staples per square yard.
  - d. Overlap blanket ends 6" in a minimum 8" x 8" check slot, upper blanket over lower blanket and staple using five evenly spaced staples.
  - e. Cut excess blanket with scissors and anchor at the entrance to the weir/micro pool in a minimum 8" x 8" check slot with five evenly spaced staples.
  - f. Blanket shall not float or bubble anywhere after wetland is inundated with water.
- 3. Planting Operations
  - a. Layout: All plants shall be laid out in random and naturalistic arrangements, as specified in the Contract Drawings unless otherwise directed by the Engineer. All plant and planting area locations shall be staked prior to

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planting by the Engineer. Place no plantings within two (2) feet of pavements or structures, unless otherwise indicated.

- b. When planting containerized wetland plants, care shall be taken so as not to set the plants in water where there is in excess of 3" 4" of water between the top of plant and the water surface. When planting dormant wetland plants, care shall be taken so as not to set the plants in water where there is in excess of 12" 14" of water between the top of plant and the water surface.
- c. When planting containerized or tube wetland plants, care shall be taken so to set the plants in the water regime noted on the Contract Drawings plant schedule.
- d. Rototill/cultivate soils to a depth equal to the depth of the root ball and two times the diameter of the root ball. Set the tree/shrub on the solid ground in the center of the area.
- e. Obstructions below ground: remove any rock, rubble, masonry, concrete, metal, stones over one inch in diameter or other underground obstructions to the depth necessary to permit proper planting.
- f. Disposal: Remove and dispose of all excess excavations and unsuitable materials. Dispose in accordance with all local laws and regulations at Contractor's cost.
- g. Plug stock plants shall be removed from tube entirely and without damage. Plugs shall have solid soil/root masses with the soil in place. Roots must appear clean and white in coloration. If plug is root-bound or can be easily pulled from tube, plant shall be rejected. Plug shall be installed in hole perpendicular with root collar and even with the surrounding grades. Plant to be firmed in to remove air pockets, then watered to full saturation.
- h. The storm sewers tributary to outfalls should not be put into service for 6 to 8 weeks after completion of the associated wetland plantings. This will permit the wetland plantings to establish themselves.

# Measurement and Payment

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The quantity to be measured for payment under this section shall be the total amount of trees, shrubs, herbaceous plants and seeded areas furnished, planted and maintained.

The contract price per unit for Landscaping Work shall be as indicated on the BID SCHEDULE OF PRICES Item Nos. BMP-7.401-I through BMP-7.401-J inclusive. The price bid shall be a separate unit price per tree, shrub and herbaceous plant specified within the Contract Drawings, and shall include the costs of all excavating and preparing planting pits and beds, adding soil amendments, furnishing plants, digging, inspecting, planting, pruning, staking, guying, anchoring, wrapping, mulching, fertilizing, furnishing seed, seeding, liming, disking, raking, tilling harrowing, mowing, material, and maintaining all plant material and seeded areas. The price bid shall also include the costs of all rough and fine grading, all specified soils necessary and required for the satisfactory completion of all landscaping work and all other work incidental thereto in accordance with the plans and specifications to the satisfaction of the Engineer.

The contract price per square foot of seeding shall be as indicated on the BID SCHEDULE OF PRICES Item No. BMP-7.401-I.

# PLANT MATERIAL SUMMARY FOR LANDSCAPING WORK

<u>Item</u>

# Description

BMP-7.401-I inclusive BMP-7401-J inclusive

Seeding Herbaceous Plants – Plugs

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# 7.403 TOPSOIL FOR RESTORED AREA

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# 7.404–A <u>RESTORATION SPECIALIST (CONSTRUCTION MONITOR)</u>

A.

#### Description of Work

The Contractor is advised that the portions of work within this Contract pertaining to the construction of the outfall, wetland restoration and all other work in the project area shall require the supervisory expertise of a Restoration Specialist. The Restoration Specialist shall supervise all restoration work performed by the Contractor and his/her Subcontractors for the duration of the project, in accordance with the plans, specifications and directions of the Engineer.

The Restoration Specialist shall also be responsible for overseeing the implementation of the project's soil erosion control plan. In addition, the Restoration Specialist shall be cognizant of all conditions of the NYSDEC freshwater wetlands permit for the project, as they relate to work in the wetlands. Furthermore, the Restoration Specialist shall be responsible for overseeing all installation of plant material. The Restoration Specialist shall be responsible for preparing a restoration plan for any property disturbed by sanitary or storm sewer construction. The Restoration Specialist shall report to the NYCDDC, as represented on-site by the Engineer. The qualifications of the Restoration Specialist shall be approved by the NYCDDC and on-site prior to the start of any work.

#### Qualifications

The Restoration Specialist utilized to perform the work required must have performed at least three (3) projects similar in scope and type to the required work in the previous five (5) years. The Restoration Specialist shall be a Registered Landscape Architect or have equivalent professional experience. Prior to the start of work, the Contractor shall be required to submit the names and resumes of at least three prospective candidates to the NYCDDC. The NYCDDC shall approve the qualifications of the prospective candidates or alternatively ask for more choices, if the NYCDDC deems the candidates to be not qualified.

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#### Site Monitoring

The Restoration Specialist shall monitor the Contractor's in-place erosion and sediment control devices, including, but not limited to, construction (limiting) fences, silt fences, etc., and shall notify the Contractor when maintenance or repair of these devices is necessary. The Restoration Specialist shall monitor related/adjacent construction to insure that these activities do not adversely impact restoration activities or the success of the restoration work.

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#### **Restoration Supervision** D.

The Restoration Specialist shall supervise all aspects of the wetland and upland installation including Wildlife Control and removal, in-stream sediment removal work, plant and sod salvage, and perimeter restoration work. The Restoration Specialist shall oversee all landscaping activities including installation of plant material related to the restoration of wetland areas.

#### Design and Design Review E.

The Restoration Specialist shall prepare, design and review design work as needed during construction. This work shall include but not be limited to the following:

- research and prepare design revision/modification drawings, a.
- revisions/modifications to detailed research and prepare b. specifications,
- prepare supplemental field sketches, c.
- drawings and modification design review and critique d. supplemental drawings.

The Restoration Specialist shall undertake this work when directed by the NYCDDC as represented on-site by the Engineer.

#### Photo Documentation

The Restoration Specialist shall keep a digital photograph log of the project. The photo log will follow the progress of the project, in a clear and understandable progression, and shall incorporate before, in progress and completed photographs of the work area and natural area restorations within the project. Fixed photopoints shall be used at each site to ensure that before and after photographs are taken from the same location and angle. The photo log will be utilized by NYCDDC for required reports, etc.

The Restoration Specialist shall use a digital camera with a minimum resolution of 4.1 megapixels for use during all phases of the project for photo-documentation purposes. The Restoration Specialist shall assemble the completed photo log onto CD's and transmit the complete photo log to the Engineer.

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# G. <u>Monitoring Reports</u>

The Restoration Specialist shall prepare and submit a Monitoring Report to the NYCDDC representative, its agent, or the Engineer following the completion of all planting and associated restoration activities. The Restoration Specialist shall continue to submit an annual Monitoring Report until the guarantee period(s) for the plant material has expired. Six (6) copies of each report submittal shall be required.

The Restoration Specialist shall examine, monitor and report on the various components of the restoration and shall incorporate color photographs, color photocopies, graphs, etc., as appropriate. All information shall be reported in a concise format. The Monitoring Report shall:

- report on all construction activities related to restoration and stabilization,
- report the conditions of the vegetation planted within this Contract,
- quantify survival and cover rates and compare to permit requirements,
- recommend replacement species,
- report observed impacts to existing vegetation,
- report success rates in controlling erosion and sedimentation,
- report voluntary recruitment,
- present recommendations,
- give general commentary for increasing the success of future DDC restoration projects.

### H. -

# Measurement and Payment

The quantity to be measured for payment under this section shall be the total number of hours necessary for the supervision of all restoration work within this Contract, site monitoring, design and diagram review, photo documentation, preparation of monitoring reports and completion of the as-built plans in accordance with the plans, specifications and direction of the Engineer, performed prior to the date of Substantial Completion.

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For supplying all labor, materials and equipment necessary for Restoration Specialist, the Contractor shall receive a unit price bid.

The contract price per unit for Restoration Specialist shall be as indicated on the BID SCHEDULE OF PRICES Item No. BMP-7.404-A. The unit price bid shall include the costs for all labor, materials, equipment and incidental expenses necessary or required to complete the work in accordance with the plans and specifications to the satisfaction of the NYCDDC representative, its agent, or Engineer.

No separate or additional payment will be made for work performed in accordance with the requirements of this section during the Maintenance and Guarantee Period specified for the Landscaping work. In addition, said work shall be considered a part of the Maintenance and Guarantee and subject to the provisions thereof should the Contractor fail to complete this work as specified.

\* \* \* \*

A.

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# DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

# 7.404-B <u>EROSION AND SEDIMENT CONTROL LICENSED/CERTIFIED</u> <u>PROFESSIONAL</u>

#### Description of Work

The Contractor is advised to retain the services of an independent Licensed/Certified professional with practical experience in the principles and practices of erosion and sediment control and Stormwater Management to prepare and certify a site specific Stormwater Pollution Prevention Plan (SWPPP) in compliance with the New York State Department of Environmental Conservation (NYSDEC) Pollutants Discharge Elimination System (SPDES) General Permit for Stormwater water Runoff from Construction Activities, GP-0-15-002, issued pursuant to Article 17, Titles 7, 8, and Article 70 of the Environmental Conservation Law (ECL). The Certified Professional shall be approved by NYCDDC and be present on-site prior to the start of any work.

Within thirty (30) days after the contract is registered, The Contractor shall submit a complete SWPPP and Notice of Intent (NOI) to the New York City Department of Design and Construction (NYCDDC) Infrastructure –Engineering Support Unit for review and comments. The Contractor through his Licensed/Certified Professional shall make all necessary revisions required and resubmit the SWPPP and the NOI for acceptance and signature. Work shall not begin until a permit identification number is issued by the NYSDEC, and an initial inspection is conducted by the Licensed/Certified Professional certifying that the appropriate control measures specified in the SWPPP have been adequately implemented to the satisfaction of the Resident Engineer and the Project Manager of the Engineering Support Unit.

#### B.

#### **Qualifications**

The Licensed/Certified Professional employed to perform the required work must have previous experience in work of this nature and in completing the necessary submittals required under this Contract. The Certified Professional shall be a Professional Engineer or a Landscape Architect licensed to practice in New York State, or a Soil and Water Conservation Society Certified Professional in Erosion and Sediment Control (CPESC). Prior to the start of work, the Contractor is required to submit the names and resumes of at least three (3) prospective candidates to the NYCDDC for approval. The NYCDDC shall make a selection or alternatively ask for more choices, if they deem the candidates to be unqualified.

C.

Site Monitoring, Inspection and Reports

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#### **ADDENDUM NO.6**

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The Certified Professional shall monitor disturbed areas and the Contractor's in-place erosion and sediment control devices, including Turbidity Curtain, Construction Limit fence, Silt fence, Debris Exclusion fence, Goose Exclusion Fence, Stabilized Construction Entrance, Portable Sediment Tanks, and site dewatering measures, and shall notify the Contractor when maintenance or repair of these devices is necessary.

Following the start of construction activities, site inspections shall be conducted by the Certified Professional at least once a week and within 24 hours of rainfall events of 0.5 inches or greater. For construction sites where soils disturbance is greater than five (5) acres at one time, the Certified Professional shall conduct at least two (2) site inspections every seven (7) calendar days and within twenty-four (24) hours of the end of each rainfall event of 0.5 inches or greater. The two inspections shall be separated by a minimum of two (2) full calendar days. Subsequent to each inspection, the Certified Professional shall prepare an inspection report and submit the original to the Resident Engineer and one copy to the Infrastructure-Engineering Support Unit. At a minimum, the inspection report shall include, but not limited to, the following information:

- 1. Date and Time of inspection;
- 2. Name and Title of person performing the inspection;
- 3. A description of the weather and soil conditions (e.g. dry, wet, saturated) at the time of the inspection;
- 4. A description of the condition runoff at all points of discharged from the construction site. This shall include identification of any discharges of sediment from the construction site. Include discharges from conveyance systems (i.e. pipes, culverts, ditches, etc.) and overland flow;
- 5. A description of the condition of all natural surface waterbodies located within or immediately adjacent to the properties boundaries of the construction site which receive runoff from disturbed areas. This shall include identification of any discharges of sediment to the surface waterbody;
- 6. Record of any evidence of soil erosion on the site, potential for pollutants entering the drainage systems, problems at discharge points (such as turbidity in receiving water) and signs of soil and mud transport from the site to the public road at the limits of the project;
- 7. Identification of all erosion and sediment control practices that need repair or maintenance;
- 8. Identification of all erosion and sediment practices that were not installed properly or are not functioning as designed and need to be reinstalled or replaced;

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- 9. Description and sketch of areas with active soil disturbance activity, areas that have been disturbed but are inactive at the time of the inspection, and areas that have been stabilized (temporary and/or final) since the last inspection;
- 10. Current phase of construction of all post-construction stormwater management practices and identification of all construction that is not in conformance with the SWPPP and technical standards;
- 11. Corrective actions that must be taken to install, repair, replace or maintain erosion and sediment control practices; and to correct deficiencies identified with the construction of post-construction stormwater management practices;
- 12. Identification and status of all corrective actions that were required by previous inspection;
- 13. Digital photographs, with date stamp, that clearly show the condition of all practices that have been identified as needing corrective actions. The Qualified Inspector shall attach paper color copies of the digital photographs to the inspection report being maintained onsite within seven (7) calendar days of the date of the inspection. The qualified inspector shall also take digital photographs with date stamp, that clearly show the condition of the practice(s) after the corrective actions has been completed. The qualified inspector shall attach paper color copies of the digital photographs to the inspection report that documents the completion of the corrective action work within seven (7) calendar days of that inspection.
- 14. Within one business day of the completion of an inspection, the Qualified Inspector shall notify the Contractor and the Resident Engineer of any corrective actions that need to be taken. The Contractor shall begin implementing the corrective actions within one business day of this notification; and
- 15. All the inspection reports shall be signed by the Licensed Professional.

The Contractor shall retain a signed copy of the General Permit GP-0-15-002, NOI, SWPPP, signed MS4 SWPPP Acceptance form, NOI Acknowledgment Letter and all original inspection reports required by this general permit at the construction site in a prominent place for public viewing from the date of initiation of construction activities to the date of final stabilization and the Notice of Termination (NOT) has been submitted to the NYSDEC. These documents must be made available to the permitting authority upon request. Prior to starting construction, the Contractor shall certify in the site logbook that the SWPPP was prepared in accordance with the requirements of the permit and it meets all federal, state and local erosion and sediment control requirements.

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In addition, the Contractor and Subcontractors shall identify at least one Trained Contractor who is an employee of the company that will be responsible for a day to day implementation of the SWPPP. The name and telephone number of this person should be listed in the SWPPP. The Trained Contractor shall be a Professional Engineer, Registered Landscape Architect, or have received a DEC-endorsed four (4) hours of Erosion and Sediment Control training. After receiving the initial training, the Trained Contractor shall attend a four (4) hours training every three (3) years. The Contractor shall ensure that at least one Trained Contractor is on site on a daily basis when soil disturbance activities are being performed.

Performing implementation of a SWPPP on a permitted construction project without a Trained Contractor on site daily is a violation of Part III.A.6 of the SPDES General Permit GP-0-15-002. Stormwater controls must be maintained in good operating condition until all disturbed soils are permanently stabilized. Control devices in need of repair should be repaired promptly after identification.

Prior to filing of the Notice of Termination (NOT), or at the end of the permit term, the Contractor shall have the Licensed Professional perform a final site inspection. The Licensed Professional shall certify that the site has undergone final stabilization using either vegetative or structural stabilization methods and that all temporary erosion and sediment controls (such as silt fence, construction limit fence, turbidity curtain, stabilized construction entrance) not needed for long term erosion control have been removed. Subsequently, the Contractor shall submit a complete NOT to the Engineering Support Unit to terminate the permit coverage.

Additionally, the Licensed Professional must identify all permanent Stormwater management structures that have been constructed, and provide the owner(s) of such structures with a manual describing the operation and maintenance practices that will be necessary in order for the structures to function as designed after the site has been stabilized.

The Licensed Professional must also certify that the permanent structures have been constructed as described in the SWPPP.

#### D. <u>Contractor's Liability</u>.

The Contractor shall be liable for any discharge that either causes or contributes to a violation of water quality standards as contained in Parts 700 through 705 of Title 6 of the Official Compilation of Codes, Rules and Regulations of the State of New York. Should any storm water runoff from the site violate the water quality standards, the Contractor will be

# ADDENDUM NO.6

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directed to take immediate steps, at his own expense, to rectify the situation and prevent any further sediment from entering the storm sewer system.

In the event that pollutants are discharged to the storm water system due to the Contractor's negligence, the Resident Engineer will direct the Contractor to cease any or all construction activities contributing to the release of these pollutants. The Contractor shall be held responsible, at his own cost, for any and all necessary actions to remedy the damage.

Furthermore, failure of the Contractor and Sub-contractor(s) to strictly adhere to any permit requirements shall constitute a permit violation that could result in substantial criminal, civil, and administrative penalties.

It is the Contractor's responsibility to pay all the SPDES permit fees which shall consist of the yearly regulatory fee, the initial authorization fee per acre of land disturbed and per acre of future impervious area. The Contractor shall be liable for all penalties incurred due to his failure to pay these fees on time.

E.

# Measurement and Payment

The quantity to be measured for payment under this section shall be the total number of days necessary to prepare the required reports to secure the permits; conduct the inspection and supervision of all erosion and sediment control works within this Contract, site monitoring, photo documentation, and preparation of monitoring reports in accordance with the plans, specifications and direction of the resident engineer, performed prior to the date of substantial completion.

The Contractor shall receive a unit price bid for supplying all labor, materials and equipment required by the Certified Professional.

The contract price per unit for the Licensed/Certified Professional shall be as indicated on the Bid Schedule of Price for Item No. BMP-7.404-B. The unit price bid shall include, but not be limited to, the cost of furnishing all the labor, materials, fees, permits and testing required to prepare the SWPPP, provide and construct all erosion and sediment control devices in accordance with the approved SWPPP; inspect and monitor the work; comply with NYSDEC permitting requirements and all necessary incidentals to complete the work all in accordance with the specifications and the directions of the Engineer.

\* \* \* \*

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7.405 <u>VECTOR, PEST AND WILDLIFE CONTROL</u>

# NO TEXT ON THIS PAGE

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7.406

WOOD CHIPS

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7.407 <u>JUTE MESH</u>

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#### Project ID: SEQ200463

# DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

# 7.407–A <u>EROSION CONTROL MAT - STRAW</u>

# A. <u>Description of Work</u>

Under this item, the Contractor shall furnish and place erosion control mat for slope protection within areas designated on the plans or where directed by the Engineer.

#### <u>Material</u>

B.

The Erosion Control Mat shall meet the following requirements:

Netting

One Side Only, Organic Leno Weave Jute, 100% Biodegradable 0.5" x 1.0" opening

Matrix

100% Agriculture Straw 0.55 lbs/yd<sup>2</sup> 298.4 g/m<sup>2</sup>

Thread

1.5" stitch space, Completely biodegradable

Index Value Properties

Property	Test Method	Typical
Mass/Unit Area	ASTM D6475	$10.0 \text{ oz/yd}^2$
Thickness	ASTM D6525	0.40 in
Tensile Strength-MD	ASTM D6818	106 lb/ft
Elongation-MD	ASTM D6818	16.7%
Tensile Strength-TD	ASTM D6818	118 lb/ft
Elongation-TD	ASTM D6818	26.8%
Light Penetration	ASTM D6567	6%
Water Absorption	ASTM D1117	322%
Unvegetated Shear Stress	ASTM D6460	1.55 lbs/ft <sup>2</sup>
Slope		3:1 or flatter

The Erosion Control Mat shall be ECS-1B manufactured by East Coast Erosion Blankets, Bernville, PA, or approved equal.

<u>Wire Staples</u> shall consist of 12-inch lengths of No. 11 gauge wire bent to form a "U" or other wire staples as approved.

C.

#### <u>Method</u>

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Erosion control mat shall be placed on topsoil perpendicular to slope contours where directed by the Engineer. Erosion control mat shall be laid without stretching so that it lies loosely on the soil and in contact with the soil at all points and shall be pressed firmly into the soil surface by rolling or tamping. If seeding is required, it shall be done prior to the installation of the erosion control mat.

The upper end of each roll of erosion control mat shall be turned and buried to a depth of six (6) inches, with the soil firmly tamped against it. Erosion control matting shall have a minimum lap of six (6) inches on all sides. Ends of rolls shall also have a minimum lap of six (6) inches with the upgrade section on top.

Check slots shall be constructed at intervals of 50 feet, unless otherwise directed by placing a fold of erosion control mat six (6) inches vertically into the ground with replaced soil tamped firmly against it.

Erosion control mat shall be held tightly to the soil by staples driven firmly into the ground. Staples shall be spaced not more than three (3) feet apart, along the sides and center of the erosion control mat and not more than one (1) foot apart at roll ends, check slots and at other critical areas as determined by the Engineer.

#### D. <u>Maintenance</u>

The Contractor shall maintain the areas of erosion control mat installation until final acceptance of the contract. Maintenance shall consist of providing protection for erosion control mat and repair of areas damaged by equipment, erosion, fire, or other causes, as well as re-establishment of the grade and conditions of the area as specified.

#### Measurement and Payment

The quantity to be measured for payment under this Section shall be the number of square feet of surface area on which erosion control mat has been installed in accordance with the plans and specifications and directions of the Engineer.

The Contract price per square feet of Erosion Control Mat - Straw shall be as indicated on the BID SCHEDULE OF PRICES Item No. BMP-7.407-A. The bid price shall include the costs for all labor, material, equipment and incidental in accordance with the plans and specifications to the satisfaction of the Engineer.

\* \* \* \* \*

E.

#### c.

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7.407-B EROSION CONTROL MAT – CURLED WOOD OR COCONUT FIBER

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# 7.408-B <u>HERBICIDE APPLICATION</u>

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7.409

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7.410 PLANT PROTECTION FENCE

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## 7.411 WATERING AND WEEDING DURING GUARANTEE PERIOD

A.

### Description of Work

### 1. <u>Planting Period:</u>

The Contractor shall provide all labor, material, tools and equipment necessary to maintain and cultivate the healthy growth of all plantings in the planted area after installation, in accordance with the specifications and contract drawings, during the planting period of the project. For the purposes of this item, the planting period shall begin at the onset of planting, and be continuous until planting is accepted. Plant material will not be accepted unless the plants exhibit healthy growth and satisfactory foliage conditions. For watering and weeding during the planting period, the Contractor shall utilize this item for payment.

### 2. <u>Guarantee Period:</u>

Upon acceptance by the City and during the guarantee period, the Contractor shall assume the work outlined within this item at no additional payment. No separate payment will be made for watering and weeding during the guarantee period, and such work will be deemed included in the prior bid for plant material.

### <u>Requirements</u>

The Contractor's responsibilities under this item consists of watering and weeding after installation as required to maintain installed plant material in a healthy and vigorous condition and to provide an acceptable growth medium, in accordance with the specifications and contract drawings.

The Landscape Subcontractor shall submit a watering and weeding plan and maintenance schedule prior to the installation of plant material, to be approved by the Engineer or Restoration Specialist. The plan shall include proposed methods of watering and weeding, including but not limited to tree gators (bags), sprinklers, drip hoses, irrigation, tanker vehicles and hand watering, etc., as well as manual weeding and weeding tools.

The approved plan and schedule do not relieve the Contractor in any way from any aspect of the replacement of dead plant material. The Landscape Subcontractor may alter the maintenance schedule based on weather and field conditions.

С.

B.

No Separate Payment

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No separate payment shall be made for this work of the specification. All costs shall be included in the various Contract Items of this Contract.

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## 7.412 <u>SLOPE STABILIZATION</u>

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### **ADDENDUM NO.6**

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#### 7.413 TEMPORARY GOOSE EXCLUSION FENCE

#### Description A.

Under this Item, the Contractor shall furnish, install, maintain and remove a temporary Goose Fence as a protective measure against plant herbivory, according to the Plans and Specifications and the direction of the Engineer.

The fence shall be a minimum of five (5) feet high above existing grade and the posts shall be a non-tropical hardwood. The fence shall completely enclose all planted areas so as to prevent geese from entering any newly planted site.

The Contractor shall repair the fence as often as is necessary throughout the guarantee period. The Contractor shall remove the entire fence at the end of the maintenance period, or as directed by the Engineer. Removal of fence is included under this Item, no additional payment will be made for this work.

#### Submittals and Materials Β.

The Contractor must submit the following samples or the manufacturer's catalog cuts for approval ten (10) days before beginning work:

- Non-tropical hardwood stakes (untreated). 1.
- Black Bi-oriented Utility Fence (TENAXUS, Memphis Net & 2. Twine Co., Inc., Industrial Netting or approved equal). The fencing shall meet the following specifications:
  - Material: High density UV stabilized polyethylene plastic a. resin
  - Height/length: 48" x 50" b.
  - Weight per roll: 20 lbs c.
  - Nominal mesh opening: 1" d.
  - Tensile strength (range): 2000 2310psi e.
  - f. Elongation at Break (%): 660%
  - 1/4" twine or string g.

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- h. 1" metallic mylar
- i. 1-1/2" galvanized roofing nails.
- j. 2" galvanized staples.
- k. 14" Nylon cable ties.

### Execution

The goose fence shall be installed by skilled laborers with proper tools and equipment for an aquatic environment within 1 day of completing each 50' section of planting. Layout location of stakes according to the Contract Drawings. The Contractor shall drive stakes so that they are level and 1' (min.) from the edge of proposed coir logs or wetland plugs. All broken or splintered stakes shall be removed and replaced with new ones.

Install black bi-oriented utility fencing making sure that there is no space between the existing grade and the bottom of the fence. Fasten safety fence to stake by using 1-1/2" roofing nails. Close all gaps so that adjacent pieces of fence abut tightly together.

Attach twine or string to stakes as shown on the Contract Drawings. String shall be pulled taught to reduce sagging. The string must alternate in and out of fence netting for increased stability of fence. Tie 10" strip of metallic (mylar) flagging along string every four feet. Work in one direction only. The Contractor shall be responsible for removing the fence at the end of the guarantee period for the wetland plants, or at the direction of the Engineer.

D.

### Measurement and Payment

The quantity to be measured for payment under this Section shall be the total number of linear feet of fence furnished, installed, maintained and accepted in accordance with the Plans and Specifications and the directions of the Engineer.

The contract price per linear foot for Goose Exclusion Fence shall be as indicated on the BID SCHEDULE OF PRICES, Item No. BMP-7.413. The unit price per linear foot shall include all labor, materials, equipment and work incidental expenses necessary or required to complete the work in accordance with the plans and specifications and to the satisfaction of the Engineer.

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C.

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\* \* \* \* \*

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## 7.414 BMP AS-BUILT PLANS

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# 7.415 <u>VINE AND INVASIVE PLANT REMOVAL</u>

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## Project ID: <u>SEQ200463</u>

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7.415A WILDLIFE STRUCTURES

### NO TEXT ON THIS PAGE

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7.416 <u>GALVINIZED CHICKEN WIRE</u>

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### DIVISION VII - DETAILED SPECIFICATIONS – CONTRACT SEQ200463

### 7.417 <u>DEBRIS EXCLUSION FENCE</u>

### A. <u>Description of Work</u>

The Contractor shall furnish all materials, labor, and equipment necessary to install the debris exclusion fence specified herein and as shown on the Contract Drawings, including all incidental and appurtenant work required for a complete job.

The debris exclusion fence shall protect recently installed plant material from water borne debris and wrack. The Contactor shall maintain the debris exclusion fence shall be maintained in good condition and make repairs as necessary and as directed by the Engineer, by the Contractor during the landscaping and plant guarantee period as directed by the Engineer.

### B. <u>Materials and Methods</u>

The debris exclusion fence shall be constructed with the following materials:

A. Furnish saltwater wire netting as follows:

- 1. 18 gage galvanized wire
- 2. 1.5 inch mesh opening
- 3. Galvanized after weaving
- B. Posts: Furnish posts of the minimum sizes and weights as follows, 8-foot long, 2-inch square oak posts. Space posts 5 feet on center maximum, unless otherwise shown.
- C. For connecting oak posts together Contractor shall use minimum 16 gage high tensile wire. The ties shall be rust resistant.
- D. Ties: For attaching netting to oak posts, use 16 inch high tensile polyethylene cable tie strips. For attaching netting to wire grid, use 1/8 inch high tensile polyethylene cable tie strips. All ties shall be ultraviolet light resistant.
- E. Line posts shall be spaced 5-feet on center maximum, unless otherwise shown.

The fence shall conform to the detail for Construction limit fence on the Contract drawings.

C.

D.

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The debris exclusion fence shall be located where indicated on the Contract Drawings.

<u>Maintenance</u>

The debris exclusion fence shall be inspected periodically (at least once per week), or as directed by the Engineer through the plant guarantee period. Any required repairs shall be made immediately.

### Measurement and Payment

The quantity to be measured for payment under this Section shall be the total number of linear feet of debris exclusion fence furnished, installed and maintained in accordance with the Plans and Specifications and the directions of the Engineer.

The contract price per linear foot for Debris Exclusion Fence shall be as indicated on the BID SCHEDULE OF PRICES Item No. BMP-7.417. The unit price per linear foot shall include all labor, materials, equipment and work incidental expenses necessary or required to complete the work in accordance with the plans and specifications and to the satisfaction of the Engineer. The cost of removal and disposal of the debris exclusion fence shall be deemed included in the unit price.

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### 7.418 CLEAN SAND FOR RESTORED AREA

### A. <u>Description of Work</u>

Under this item, the Contractor shall provide clean sand for fill in accordance with the plans and directed by the Engineer.

The Contractor shall be liable for any damage to property caused by topsoiling operations and all areas of construction disturbed shall be restored to their original condition to the satisfaction of the Engineer.

The Contractor shall supply information detailing source location of clean sand from off-site and provide a sample of sand to be used for inspection by the Engineer and Restoration Specialist prior to delivery of sand stockpile to site.

### B. <u>Material</u>

Material shall consist of sand, free of organic material, loam, debris, frozen soil or other deleterious material which may be compressible. The sand shall be of uniform quality, friable, free from hard clods, stiff clay, hard pan, partially disintegrated stone, stones, lime, cement, ashes, slag, concrete, tar residues, tarred paper, gasoline, motor oil, or other petroleum hydrocarbons, boards, brush, weeds, stalks, roots, sods, chips, sticks or any other undesirable material. Invasive, nonnative seed shall not be allowed in the clean sand material.

Clean sand should conform to the following gradation requirements:

U.S. Standard Sieve Size	Percent Passing by Weight
No. 8	100
No. 10	15-100
No. 40	0-70
No. 60	0-12

Uniformly graded sands, defined as having a uniformity coefficient (Cu = D60/D10) less than 6, are unacceptable.

Tests shall be required and shall serve as a representative analysis for every 200 cubic yards of material utilized.

Clean sand shall comply with the following requirements: No sand shall be delivered in a frozen or muddy condition.

1. Invasive, Nonnative Plant Species: Clean sand shall be free of

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invasive nonnative plant propagules or if present, sand shall be sterilized with documentation.

Sand from site stripping shall be used if the material meets these specifications. A material test(s) shall be made at the Contractor's expense to determine if the specifications have been met as directed by the Engineer.

C.

### Measurement and Payment

The quantity of clean sand to be paid for under this item shall be the number of cubic yards of clean sand furnished from off-contract site sources (i.e. suppliers approved by the engineer), mixed, placed and incorporated in the completed work in accordance with the plans and specifications to the satisfaction of the Engineer, measured in trucks used for delivery, at the site of the work. The quantity of clean sand to be paid for under this item shall be measured in cubic yards in trucks used for delivery. No clean sand shall be furnished until ordered by the Engineer. Delivery ticket with name and address of vendor, date and estimated volume must be supplied to the Engineer prior to truck measurement.

The contract price per unit for Clean Sand shall be as indicated on the BID SCHEDULE OF PRICES Item No. BMP-7.418. The bid price shall be a unit price per cubic yard of Clean Sand, and shall include the cost of all labor, materials and equipment necessary to prepare topsoil areas, furnish, mix, place and incorporate topsoil and compost, and all other work incidental thereto, in accordance with the plans and specifications to the satisfaction of the Engineer.

4/27/15

\* \* \* \* \*

### DIVISION VII - DETAILED SPECIFICATIONS – CONTRACT SEQ200463

#### 7.500

## SOIL EROSION AND SEDIMENTATION CONTROL MEASURES

Under soil erosion and sedimentation control work, the Contractor shall provide all labor, materials, tools and equipment necessary to complete the execution of the work in complete accordance with the Specifications and all Contract Drawings. All Soil Erosion and Sedimentation Control work shall be done in conformance with and subject to the renewed State Pollutant Discharge Elimination System (SPDES) General Permits for Discharges Stormwater from Construction Activity, GP-0-15-002, the latest edition of the "New York Guidelines for Urban Erosion and Sediment Control" published by the Empire State Chapter of the Soil and Water Conservation Society, and the New York State Department of Transportation Standard Specification Part 107-12 -Soil, Erosion and Air Pollution Statement, including, but not limited to, the following methods of erosion and sedimentation control.

- 1. Slopes left exposed will, within 30 working days of completion of any phase of grading, be planted or otherwise provided with ground cover device, or structures sufficient to restrain erosion.
- 2. A ground cover sufficient to restrain erosion must be planted or otherwise provided within 15 working days on that portion of the tract (disturbed area) upon which further active construction is not being undertaken.

The Contractor shall submit for approval by the Engineer, and NYSDEC, a written Erosion and Sedimentation Control Plan, prepared by a Certified Professional in Erosion and Sediment Control (CPESC), who is a Professional Engineer (P.E.) or under the supervision of a P.E. The Erosion and Sediment Control Plan must be signed and sealed by that CPESC and/or the supervising P.E. The Plan shall comply with all conditions of the applicable freshwater wetland permit issued by NYSDEC.

The Erosion and Sedimentation Control Plan shall conform to the guidelines as set forth in the latest edition of the "New York Guidelines for Urban Erosion and Sediment Control" published by the Empire State Chapter of the Soil and Water Conservation Society and he/she shall implement the followings:

- No stockpiling of excavated material would be allowed in a manner or location that would permit erosion and its subsequent sedimentation in wetlands or other natural areas.
  - No storage of soil shall be permitted within the Contract limits.

SEQ200463 College Pt. North Specifications.docx

### Project ID: SEQ200463

### **ADDENDUM NO.6**

### DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

Soil is deemed to be for this requirement any sediment including material such as topsoil fill, sand, any excavated material, boulders, stones, cold patch, etc.

- Storm sewers will be installed in a sequence and manner that reduces the time during which the tops of excavated areas would be exposed and vulnerable to erosion.
- At the end of each day's work, the street where sewers are being installed will be cleaned and swept to reduce the amount of soil that could potentially impact downstream areas as sediment. The Contractor shall be required to have a street sweeper on the site.
- Use truck tracking pads at the construction access locations to remove sediment from the tires of the trucks and other construction equipment prior to driving on the adjacent streets.
- Utilize sediment basins, sediment traps and/or sediment filters in the erosion control plan to capture sediment form run-off and from water produced by dewatering operations.
- Use portable sediment tanks to remove sediment from water generated by dewatering operations. All water from dewatering shall be treated before discharge into any surface water bodies, unless the turbidity of the effluent is less than three times the ambient level of the receiving water body as measured by the turbidity meter in standard units (i.e. NTU's).
- The Contractor shall supply all portable equipment.
- Use construction limiting fence, and silt fence as shown on Contract Drawings, unless otherwise directed by the Engineer.
- Schedule work in wet areas, such as the mitigation site, during relatively dry summer months.
- Employ water diversions to direct the stream away from the area being worked on, so as to create drier conditions for in-stream work.
- Use temporary pumping sump to control water level at site.
- Prior to the start of construction activities, such as sewer installation, inspect all erosion control measures and continually monitor them, especially after each storm event.

#### Project ID: SEQ200463

### **ADDENDUM NO.6**

### DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

If the Contractor uses dewatering methods which produce effluent discharges, the Contractor shall monitor each discharge effluent and receiving water body. Discharges shall not cause substantial visible contrast to the natural condition in any receiving water body. A meter which records turbidity in standard units (i.e. NTUs) shall be utilized to establish ambient conditions in each water prior to discharge. If any monitored turbidity level exceeds three times the ambient level of the receiving water body, the Contractor shall insure (e.g., by reducing the flow rate or otherwise adjusting the dewatering system) that no substantial visible contrast to the natural condition in the receiving water body occurs. The action(s) taken, or the decision not to take any action, shall be recorded in the monitors log.

The Contractor shall not receive any payment for the preparation of the Erosion and Sedimentation Control Plan. Installation of the Erosion and Sedimentation features and maintenance of them will result in payment for their respective items as described in Section 7.501 through 7.516. The work shall take place at the mitigation site only and is not payment for street work or the installation of sewers; with the exception of the Erosion and Sediment Control Licensed Professional (Section 7.404-B). The Erosion and Sediment Control Licensed Professional shall oversee construction and the installation of the sewers for the entire project.

The work shall include items of work specified under the following sections:

Section Number	Title
7.501 7.502 7.504-A 7.509A 7.510 7.516	Maintenance of Erosion Control Measures Construction Limit Fence Silt Fence Stabilized Construction Entrance Portable Sediment Tank Turbidity Curtain

### DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

7.501

### MAINTENANCE OF EROSION CONTROL MEASURES

Maintenance/repair of the erosion and sediment control measures shall be performed by the Contractor only as directed by the Engineer.

When, in the judgment of the Engineer, Restoration Specialist, and NYCDDC Construction Monitor, the soil erosion control measures have deteriorated to a point of not functioning adequately because of storm events, the Contractor shall be notified to make the necessary repairs.

If the Engineer deems that the erosion control device was not adequately installed in the first place, repair of such a device shall be the sole responsibility of the Contractor.

Damage to the erosion control measures caused by the construction activity of the Contractor is the responsibility of the Contractor. If the Engineer determines that the damage is the result of the Contractor's construction activity, then the Engineer shall order that the devices are repaired. The Contractor shall make the repairs at his/her own expense.

In the event that the erosion control measures are damaged as a result of vandalism by the general public, the Contractor shall notify his insurance company and put forth his claim for remuneration to the said damage.

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### DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

### 7.502 CONSTRUCTION LIMIT FENCE

### A. <u>Description of Work</u>

The Contractor shall furnish all materials, labor, and equipment necessary to install the construction limit fence specified herein and as shown on the Contract Drawings, including all incidental and appurtenant work required for a complete job. The construction limit fence is used to mark the limit of the construction activity and to protect the adjacent areas.

Upon furnishing and installing the above sedimentation and erosion control device but prior to commencing any other work on-site, the Contractor shall notify the Engineer and arrange for an on-site inspection.

The construction limit fence shall be maintained in good condition and repaired as necessary by the Contractor during the construction and post-construction/site stabilization phases as directed by the Engineer.

#### Materials and Methods

<u>Construction Limit Fence</u>: The construction limit fence shall be high visibility orange construction fence with a minimum height of four (4) feet. The fence shall be constructed of polyethylene fabric fastened to vertical line posts.

Fabric shall be a high density polyethylene grid tightly secured to wood posts. The fabric shall be securely fastened to vertical line posts by means of ties and spaced not more than 12 inches apart on rails and not more than 14 inches apart on line posts.

The construction limit fence shall be located where indicated on the Contract Drawings. The fence shall be adjusted to avoid interference with trees and to maintain access to houses.

Line posts shall be conventional metal "T" or "U" post and shall be spaced not more than 6 feet on centers. Posts shall be securely set in the ground. Line posts shall extend at least 2 feet below finished grade. Post locations shall be adjusted to avoid tree roots as appropriate.

### Maintenance

The construction limit fences shall be inspected periodically (at least once per week), or as directed by the Engineer. Any required repairs shall be made immediately.

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### Project ID: SEQ200463

### DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

### D.

### Measurement and Payment

The quantity to be measured for payment under this Section shall be the total number of linear feet of construction limit fence furnished, installed and maintained in accordance with the Plans and Specifications and the directions of the Engineer.

The contract price per linear foot for Construction Limit Fence shall be as indicated on the BID SCHEDULE OF PRICES, Item No. BMP-7.502. The unit price per linear foot shall include all labor, materials, equipment and work incidental expenses necessary or required to complete the work in accordance with the plans and specifications and to the satisfaction of the Engineer.

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## Project ID: <u>SEQ200463</u>

### ADDENDUM NO.6

## DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

## 7.503A STAKED STRAW BALES

# NO TEXT ON THIS PAGE

## Project ID: SEQ200463

## <u>DIVISION VII - DETAILED SPECIFICATIONS –</u> <u>CONTRACT SEQ200463</u>

7.504 <u>REINFORCED SILT FENCE</u>

## NO TEXT ON THIS PAGE

### **DIVISION VII - DETAILED SPECIFICATIONS -**CONTRACT SEQ200463

#### 7.504A SILT FENCE

### Description of Work

The Contractor shall furnish all materials, labor, and equipment necessary to construct silt fence specified herein and as shown on the Contract Drawings, including all incidental and appurtenant work required for a complete job.

Upon furnishing and installing the approved silt fence but prior to commencing any other work on-site, the Contractor shall notify the Engineer and arrange for an on-site inspection.

The silt fence shall be maintained in good condition and repaired as necessary by the Contractor during the construction and postconstruction/site stabilization phases as directed by the Engineer.

#### Materials and Methods Β.

Welded Wire Fence: The welded wire fence shall be a welded wire 1. fence with a minimum height of 20 inches. The fence shall be constructed of wire fabric fastened to the middle rails and to vertical line posts.

Wire fabric shall be of No. 14 gauge wire with a mesh of approximately 4 inches. The upper edge of the fabric shall be twisted and barbed. The fabric shall be securely fastened to vertical line posts by means of ties and spaced not more than 12 inches apart on rails and not more than 14 inches apart on line posts.

The silt fence shall be located where indicated on the Contract Drawings. The fence shall be adjusted to avoid interference with trees and to maintain access to houses.

Line posts shall be spaced not more than 6 feet on centers. Posts shall be securely set in the ground. Line posts shall extend at least 16 inches below finished grade. Post locations shall be adjusted to avoid tree roots as appropriate.

Filter Fabric: Filter fabric shall be securely attached to the vertical 2. line posts and wire fabric, and shall be situated between the wire fabric and staked straw bales.

> The filter fabric shall be purchased and delivered in a continuous roll and cut on-site to the length of the barrier(s) to avoid the use of

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### **ADDENDUM NO.6**

### DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

joints. Dimensions of the roll shall be thirty-six (36) inches by one hundred (100) feet in length. When joints are necessary, filter cloth shall be spliced together only at a line post, with a minimum 6-inch overlap, and securely sealed. The filter fabric shall meet NYSDOT specifications for geotextile, latest edition, and shall be fabric MUTUAL MISF 1776 as manufactured by Mutual Industries Inc., Fabric # GTF190 as manufactured by Linq Industrial Fabric, Fabric # 2130 as manufactured by Propex, or approved equal.

A trench shall be excavated approximately 6 inches wide and 6 inches deep along the line of posts and upslope from the barrier. The filter fabric shall be extending into the trench, the trench backfilled, and the soil compacted over the filter fabric.

Silt fences shall be removed when they have served their useful purpose, but not before the upslope area has been permanently stabilized.

### Maintenance

The silt fences shall be inspected at least once per week and immediately after each rainfall and at least daily during prolonged rainfall, or as directed by the Engineer. Any required repairs shall be made immediately. Should the filter fabric decompose or become ineffective prior to the end of the expected usable life while the barrier is still necessary, the fabric shall be replaced promptly.

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### Measurement and Payment

The quantity to be measured for payment under this section shall be the total number of linear feet of Silt Fence, installed and maintained in accordance with the plans, specifications and directions of the Engineer.

The bid price shall constitute full compensation for all labor, materials and equipment and incidental expenses necessary to complete the work in accordance with the plans and specifications and to the satisfaction of the Engineer.

Payment will be made under:

Item No.	Item	Pay Unit
BMP-7.504A	Silt Fence * * * * *	LF

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7.505 <u>SAND BAGS</u>

## NO TEXT ON THIS PAGE

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## DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

7.506

## SEDIMENT TRAP WITH FILTER

## NO TEXT ON THIS PAGE

## DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

7.507 <u>SEDIMENT FILTER</u>

## NO TEXT ON THIS PAGE

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## DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

7.508 <u>SEDIMENT BASIN</u>

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### DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

### 7.509A STABILIZED CONSTRUCTION ENTRANCE

### A. Description of Work

The Contractor shall furnish all materials, labor, and equipment necessary to construct the stabilized construction entrance specified herein and within the limits as shown on the Contract Drawings, including all incidental and appurtenant work required for a complete job.

Upon furnishing and installing the stabilized construction entrance but prior to commencing any other work on-site, the Contractor shall notify the Engineer and arrange for an on-site inspection.

The entrance shall be maintained in good condition and repaired as necessary by the Contractor during the construction phases as directed by the Engineer.

### B. <u>Materials and Methods</u>

- 1. The entrance areas shall be cleared and stripped of all vegetation, roots and other objectionable material prior to installation of the access way as specified.
- 2. Provide surface drainage and divert excess runoff to stabilized areas as required and as directed by the Engineer.
- 3. Rock use NYSDOT Size No. 3 coarse aggregate.
- 4. Thickness not less than six (6) inches for rock.
- 5. Width shall be twelve (12) foot minimum.
- 6. Filter cloth shall be placed over the entire area prior to placing of stone. Filter cloth shall be as specified below.

Filter cloth underliner shall be suitable for heavy duty construction traffic and have the following minimum properties:

Grab tensile strength Elongation at failure Mullen Burst Strength Puncture Strength Equivalent opening size 220 lbs. 220% 430% 125 lbs. 40-80 mm

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### <u>DIVISION VII - DETAILED SPECIFICATIONS –</u> <u>CONTRACT SEQ200463</u>

Filter cloth shall be Mirafi 600x, Beltech 315, TerraTexHD or approved equal.

- 7. Surface water All surface water flowing or diverted toward construction entrances shall be piped across the entrance. If piping is impractical, a mountable berm with 5:1 slopes will be permitted.
- 8. Maintenance the entrance shall be maintained in a condition which will prevent tracking or flowing of sediment onto public rights-of-way. All sediment spilled, dropped, washed or tracked onto public rights-of-way must be removed immediately.
- 9. When washing is required, it shall be done on an area stabilized with stone and which drains into an approved sediment trapping device.
- 10. Periodic inspection and needed maintenance shall be provided after each rain.
- 11. After completion of the project, the stabilized construction entrance shall be removed and regraded to its original condition. Prior to grading and planting, the area shall be tilled to lessen the compaction of the soils.

### <u>Maintenance</u>

- 1. Maintenance of the stabilized construction entrance will include periodic inspection of the surface condition. Top dress with new gravel as needed. Any areas producing sediment should be treated immediately.
- 2. After completion of the project, the stabilized construction entrance shall be removed and the areas regraded to their original elevations. Prior to seeding and planting, the areas shall be tilled to lessen the compaction of the soils.
- 3. For those stabilized construction entrances that are in the beds of accessways, the rock can stay in place for use in accessways. (See specification for accessways.)

### No Separate Payment

The contract price per unit for the Stabilized Construction Entrance shall be as indicated on the BID SCHEDULE OF PRICES, Item No. BMP-7.509-A. The bid price shall constitute full compensation for all labor,

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materials and equipment and incidental expenses necessary to complete the work in accordance with the plans and specifications and to the satisfaction of the Engineer.

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#### Project ID: <u>SEQ200463</u>

### <u>DIVISION VII - DETAILED SPECIFICATIONS –</u> <u>CONTRACT SEQ200463</u>

### 7.510 PORTABLE SEDIMENT TANK

#### Description of Work

A.

The Contractor shall furnish all materials, labor and equipment necessary to install the portable sediment tank specified herein and as shown on the Contract Drawings. A sediment tank is a compartmented tank container through which sediment laden water is pumped to trap and retain the sediment.

The purpose of the portable sediment tank is to trap and retain sediment prior to discharging the water to wetlands, adjoining properties and rightsof-way below the sediment tank site. The sediment tank shall be located for ease of cleanout and disposal of the trapped sediment and to minimize the interference with construction activities and pedestrian traffic. The temporary relocation of the tank(s) during clean-out shall be included in the cost of this item. Relocating the tank(s) from one work area to another before, during and after construction shall be included in the cost of this item.

#### Design Criteria

The following formula should be used in determining the storage volume of the sediment tank: pump discharge (gpm) x 16 = cubic foot storage.

Certified pump curves are to be provided to ensure that the pump provided can meet the hydraulic requirements.

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B.

### Tank Specifications

The portable sediment tank shall be an above ground horizontal singlewall UL-142 manufactured by Highland Tank or weir box manufactured by Rain for Rent or equivalent as approved by the Engineer.

The Contractor shall submit proposed sediment tanks for approval.

### Maintenance

Portable sediment tanks shall be installed and maintained in accordance with Section 5A.47 of the New York Standards and Specifications for Erosion and Sediment Controls to the satisfaction of the Engineer.

The Contractor shall be responsible for cleaning out the sediment tank when silt reaches a depth of 6 inches. All sediment collected in the tank

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### <u>DIVISION VII - DETAILED SPECIFICATIONS –</u> <u>CONTRACT SEQ200463</u>

shall be disposed of in an approved location in which further sediment transport will not occur or as approved by the Inspector.

### E. <u>Measurement and Payment</u>

The quantity to be paid for under this item shall be the number of portable sediment tanks placed in accordance with the plans and specifications to the satisfaction of the Engineer, measured in number of above items at the site of the work.

The Contract price per unit for portable sediment tanks shall be as indicated on the BID SCHEDULE OF PRICES, Item No. BMP-7.510. The bid price shall be a unit price per portable sediment tank and shall include the cost of all labor, materials and equipment necessary to furnish, place and incorporate and all other work incidental thereto, in accordance with the plans and specifications to the satisfaction of the Engineer.

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## Project ID: SEQ200463

### DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

## 7.511 STORM DRAIN - INLET PROTECTION MEASURES

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## DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

7.512 <u>DIRTBAG</u>

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### 7.513 SURFACE WATER COLLECTOR

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## Project ID: <u>SEQ200463</u>

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## 7.514 <u>TEMPORARY WATER BARRIER</u>

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# Project ID: SEQ200463

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# 7.515 JERSEY BARRIER

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# DIVISION VII - DETAILED SPECIFICATIONS -CONTRACT SEQ200463

# 7.516 <u>TURBIDITY CURTAIN</u>

# A. <u>Description of Work</u>

- 1. The Contractor shall furnish, deliver and install a turbidity curtain in the water body adjacent to the work area to trap sediment and prevent migration of silt from the work site into the water body. The turbidity curtain is an impenetrable barrier supported at the top through a flotation system and weighted at the bottom to achieve closure.
- 2. The turbidity curtain shall be used when construction activity occurs along the water body shoreline. The turbidity curtain shall be in place prior to any work starting in the work area before any land disturbance activities are initiated. The turbidity curtain shall be removed within a week of completing the work.
- 3. The Turbidity Curtain shall be located beyond the lateral limits of the work area and firmly anchored in place. The alignment shall be set as close to the work area as possible but not so close as to be disturbed by construction equipment. The height of the curtain shall be 20% greater than the depth of the water at Mean Higher High Water, to account for water level fluctuations and tidal range.
- 4. End anchors shall be provided, with intermediate anchor points (for stakes or anchors) such that unanchored spans do not exceed 100 feet, sufficient to maintain the turbidity curtain in place

# B. <u>Materials</u>

- 1. Turbidity Curtain shall be per NYSDOT Sheet 209-06.
- 2. Turbidity Curtain shall be made from monofilament woven polypropylene with the following properties, or approved equal:

5.8 oz/sq. yd. (ASTM D-4632)
120 lbs (ASTM D-4533)
600 psi (ASTM 3786)
150 psi (ASTM D-3787)
70%, 500 hrs (ASTM D-4632)
40 (ASTM D-4335)

3. Turbidity Curtain floats shall be 6 inch diameter expanded polystyrene logs providing a minimum of 9 lbs/ft buoyancy.

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# <u>DIVISION VII - DETAILED SPECIFICATIONS –</u> <u>CONTRACT SEQ200463</u>

- 4. Curtain shall have 5/16 inch galvanized steel tension cable and 5/16 inch galvanized ballast chain, or approved equal.
- 5. Seams shall be double sewn with grommets.
- 6. Barrier connection shall be using marine grade quick connects.

#### <u>Method</u>

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- 1. The area of proposed installation of the curtain shall be inspected for obstacles and impediments that could damage the curtain or impair its effectiveness to retain sediment.
- 2. All materials shall be removed so they cannot enter the water body.
- 3. Shallow installations can be made by securing the curtain by staking rather than using a flotation system. Supplemental anchors of the turbidity curtain toe shall be used, as needed, depending on water surface disturbances such as boats and wave action by winds.

#### <u>Maintenance</u>

- 1. The turbidity curtain shall be inspected daily and repaired or replaced immediately.
- 2. When necessary, or as directed by the Engineer, sediment removal shall be done by hand prior to removal of the barrier.
- 3. All removed silt shall be stabilized away from the water body.
- 4. The barrier shall be removed by carefully pulling it toward the construction site to minimize the release of attached sediment. Any floating construction or natural debris shall be immediately removed to prevent damage to the curtain.
- 5. If the curtain is oriented in a manner that faces the prevailing winds, frequent checks of the anchorage shall be made.

# E.

Measurement and Payment

The quantity to be measured for payment under this Section shall be the total number of linear feet, provided and placed, and removed upon the completion of work, as indicated on the Contract Drawings and as directed by the Engineer.

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# **ADDENDUM NO.6**

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The contract price per linear foot of turbidity curtain shall be as indicated on the BID SCHEDULE OF PRICES Item No. BMP-7.516. The bid price shall constitute full compensation for all labor, materials, equipment and work incidental thereto, necessary to complete the work in accordance with the plans and specifications to the satisfaction of the Engineer.

\* \* \* \* \*

End of Addentum No.6

This Addendum consists of one hundred thirty (130) pages.

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CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

# **ADDENDA CONTROL SHEET**

BID OPENING DATE: OCTOBER 1, 2015

PROJECT NO.: <u>SEQ200463</u>

TITLE: FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE, ETC

ADDENDA ISSUED	No. OF DRAWINGS	DATE
#1: Amendments to Standard Highway Specs.		04/15/2015
#2: Sewer and Water Main Specifications		06/22/2015
#3: Gas Cost Sharing (EP-7) Std. Specifications		06/22/2015
#4: To CET Specifications	- · · · · · · · · · · · · · · · · · · ·	06/22/2015
#5: Hazmat Specifications		06/22/2015
#6: Best Management Practice (BMP) Specifications		06/22/2015
#7: Additional Amendments		09/15/2015
	:	·

#### ATTACH TO CONTRACT DOCUMENTS

## THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION INFRASTRUCTURE DIVISION BUREAU OF DESIGN

## PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 5<sup>TH</sup> AVENUE BETWEEN 121<sup>ST</sup> STREET AND COLLEGE PLACE; 6<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 7<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 8<sup>TH</sup> AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9<sup>TH</sup> AVENUE BETWEEN 118<sup>TH</sup> STREET AND 120<sup>TH</sup> STREET; 118<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 12<sup>TH</sup> AVENUE; 119<sup>TH</sup> STREET BETWEEN 12<sup>TH</sup> AVENUE AND POPPENHUSEN AVENUE; 119<sup>TH</sup> STREET BETWEEN 7<sup>TH</sup> AVENUE AND 9<sup>TH</sup> AVENUE; 120<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 9<sup>TH</sup> ROAD; 9<sup>TH</sup> ROAD BETWEEN 120<sup>TH</sup> STREET AND 121<sup>ST</sup> STREET; 121<sup>ST</sup> STREET BETWEEN 9<sup>TH</sup> ROAD AND 12<sup>TH</sup> AVENUE

INCLUDING WATER MAIN AND STREET LIGHTING WORK

**Together With All Work Incidental Thereto** 

#### **BOROUGH OF QUEENS**

#### ADDENDUM NO. 7

#### DATED: September 15, 2015

This Addendum is issued for the purpose of amending the requirements of the Contract Documents and is hereby made part of said Contract Documents to the same extent as if it was originally included therein.

 <u>Refer</u> to the Bid and Contract Documents, VOLUME 1 OF 3, Page A-1, Attachment 1 - Bid Information;
 <u>Change the dates shown for Submission of Bids To; and for Bid Opening; from "Septemb
</u>

<u>Change</u> the dates shown for Submission of Bids To: and for Bid Opening: from "September 17, 2015 to read "October 1, 2015."

(2) <u>Refer</u> to the Bid and Contract Documents, VOLUME 1 OF 3, Page 13, Schedule B - MWBE; <u>Change</u> the dates shown for Bid/Proposal Response Date: from "September 17, 2015 to read "October 1, 2015."

By signing in the space provided below, the bidder acknowledges receipt of the one (1) page of this Addendum.

THIS ADDENDUM MUST BE SIGNED BY ALL BIDDERS AND ATTACHED TO THEIR BIDS.

Her **GURDIP SAINI. P.E.** 

Associate Commissioner/Design I

Name of Bidder

By:\_

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CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

# **ADDENDA CONTROL SHEET**

BID OPENING DATE: OCTOBER 7, 2015

PROJECT NO.: SEQ200463

TITLE: FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE, ETC

ADDENDA ISSUED	No. OF DRAWINGS	DATE
#1: Amendments to Standard Highway Specs.		04/15/2015
#2: Sewer and Water Main Specifications		06/22/2015
#3: Gas Cost Sharing (EP-7) Std. Specifications		06/22/2015
#4: To CET Specifications		06/22/2015
#5: Hazmat Specifications		06/22/2015
#6: Best Management Practice (BMP) Specifications		06/22/2015
#7: Additional Amendments		09/15/2015
#8: Additional Amendments		09/30/2015
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### ATTACH TO CONTRACT DOCUMENTS

## THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION INFRASTRUCTURE DIVISION BUREAU OF DESIGN

## PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 5<sup>TH</sup> AVENUE BETWEEN 121<sup>ST</sup> STREET AND COLLEGE PLACE; 6<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 7<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 8<sup>TH</sup> AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9<sup>TH</sup> AVENUE BETWEEN 118<sup>TH</sup> STREET AND 120<sup>TH</sup> STREET; 118<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 12<sup>TH</sup> AVENUE; 119<sup>TH</sup> STREET BETWEEN 12<sup>TH</sup> AVENUE AND POPPENHUSEN AVENUE; 119<sup>TH</sup> STREET BETWEEN 7<sup>TH</sup> AVENUE AND 9<sup>TH</sup> AVENUE; 120<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 9<sup>TH</sup> ROAD; 9<sup>TH</sup> ROAD BETWEEN 120<sup>TH</sup> STREET AND 121<sup>ST</sup> STREET; 121<sup>ST</sup> STREET BETWEEN 9<sup>TH</sup> ROAD AND 12<sup>TH</sup> AVENUE

# INCLUDING WATER MAIN AND STREET LIGHTING WORK

#### **Together With All Work Incidental Thereto**

#### **BOROUGH OF QUEENS**

#### ADDENDUM NO. 8

#### DATED: September 30, 2015

This Addendum is issued for the purpose of amending the requirements of the Contract Documents and is hereby made part of said Contract Documents to the same extent as if it was originally included therein.

 <u>Refer</u> to the Bid and Contract Documents, VOLUME 1 OF 3, Page A-1, Attachment 1 - Bid Information;
 <u>Change</u> the dates shown for Submission of Bids To: and for Bid Opening: from "October 1, 2015"

to read "October 7, 2015."

- (2) <u>Refer</u> to the Bid and Contract Documents, VOLUME 1 OF 3, Page 13, Schedule B MWBE; <u>Change</u> the dates shown for Bid/Proposal Response Date: from "October 1, 2015 to read "October 7, 2015."
- (3) <u>Refer</u> to the Contract Drawings, sheet 8 of 38; <u>Delete</u> the text that reads: "(Spot repair 20" San. Sewer approx. 20'±) (See notes on Sheet No. 19)"
- (4) See attached list of QUESTIONS SUBMITTED BY BIDDERS AND RESPONSES PROVIDED BY DDC for additional information.

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By signing in the space provided below, the bidder acknowledges receipt of the two (2) pages of this Addendum plus one (1) page of attachment.

THIS ADDENDUM MUST BE SIGNED BY ALL BIDDERS AND ATTACHED TO THEIR BIDS.

< 1G. Sam

GURDIP SAINI, P.E. Associate Commissioner/Design I

Name of Bidder

By:\_\_\_\_\_

#### PROJECT ID: SEQ200463

## QUESTIONS SUBMITTED BY BIDDERS AND RESPONSES PROVIDED BY DDC

QUESTION NO. 1: What are the pay limits for the outfall?

**ANSWER NO. 1:** For the pay limit of the outfall structure, refer to sheet No. 23 of 38 of the Contract Drawings.

**QUESTION NO. 2:** Are any permits required from New York City Department of Parks and Recreation? If so please provide a copy of the permit or a list of the permit requirements.

**ANSWER NO. 2:** The contractor shall apply for all necessary permits during construction phase of the project as stated in the Standard Sewer and Water Main Specifications dated July 1, 2014 and in Addendum No. 2 provided in Volume 3 of 3 of the Bid and Contract Documents.

**QUESTION NO. 3:** Are all approvals, acquisitions, permits, etc. necessary to construct the entire project in place at this time?

**ANSWER NO. 3:** Acquisitions and easements related work is being processed at this time. However, for permits see answer to Question No. 2.

QUESTION NO. 4: Is a load test required for piles?

**ANSWER NO. 4:** Refer to the Standard Sewer and Water Main Specifications dated July 1, 2014, **Subsection 70.11.3** – GENERAL PILE INSTALLATION PROVISIONS, page VII – 4.

**QUESTION NO. 5**: The manhole over the 30" interceptor requires the bottom be poured in place. Can the existing invert be left in place? If not please provide the flow in the interceptor so a bypass system can be designed and costed.

**ANSWER NO. 5:** No, the manhole bottom shall be poured in place. The estimated flow in the interceptor sewer is 78± cubic feet per second.

**QUESTION NO. 6:** The pavement cores and borings show some streets have areas of concrete base with asphalt and other areas of just asphalt. How will this be handled in the field? Will all concrete base be required or all asphalt or both?

ANSWER NO. 6: Refer to Addendum No. 2, Article (7), page A2-12.

**QUESTION NO. 7:** Sheet 8 shows a spot repair for a 20" sewer but there is no corresponding bid item. Please clarify.

ANSWER NO. 7: Refer to Article (3) of this Addendum

QUESTION NO. 8: Can water main work be performed prior to the issuance of the outfall permits?

ANSWER NO. 8: Yes

QUESTION NO. 9: Can sanitary sewer be done prior to issuing the outfall permits?

ANSWER NO. 9: Yes

# PAGE 1 OF 1



CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

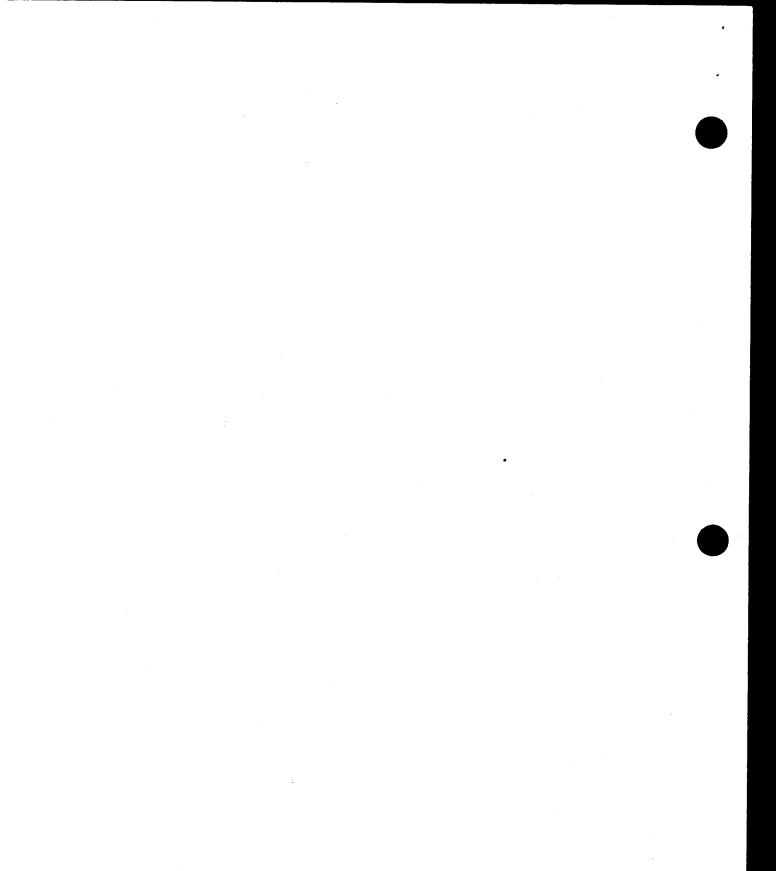
# **ADDENDA CONTROL SHEET**

BID OPENING DATE: OCTOBER 7, 2015

PROJECT NO.: <u>SEQ200463</u>

TITLE: FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE, ETC

ADDENDA ISSUED	No. OF DRAWINGS	DATE
#1: Amendments to Standard Highway Specs.		04/15/2015
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#3: Gas Cost Sharing (EP-7) Std. Specifications		06/22/2015
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#6: Best Management Practice (BMP) Specifications	· · · · · · · · · · · · · · · · · · ·	06/22/2015
#7: Additional Amendments		09/15/2015
#8: Additional Amendments		09/30/2015
#9: Additional Amendments		10/02/2015
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## ATTACH TO CONTRACT DOCUMENTS

## THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION INFRASTRUCTURE DIVISION BUREAU OF DESIGN

## PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 5<sup>TH</sup> AVENUE BETWEEN 121<sup>ST</sup> STREET AND COLLEGE PLACE; 6<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 7<sup>TH</sup> AVENUE BETWEEN 119<sup>TH</sup> STREET AND COLLEGE PLACE; 8<sup>TH</sup> AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9<sup>TH</sup> AVENUE BETWEEN 118<sup>TH</sup> STREET AND 120<sup>TH</sup> STREET; 118<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 12<sup>TH</sup> AVENUE; 119<sup>TH</sup> STREET BETWEEN 12<sup>TH</sup> AVENUE AND POPPENHUSEN AVENUE; 119<sup>TH</sup> STREET BETWEEN 7<sup>TH</sup> AVENUE AND 9<sup>TH</sup> AVENUE; 120<sup>TH</sup> STREET BETWEEN 9<sup>TH</sup> AVENUE AND 9<sup>TH</sup> ROAD; 9<sup>TH</sup> ROAD BETWEEN 120<sup>TH</sup> STREET AND 121<sup>ST</sup> STREET; 121<sup>ST</sup> STREET BETWEEN 9<sup>TH</sup> ROAD AND 12<sup>TH</sup> AVENUE

INCLUDING WATER MAIN AND STREET LIGHTING WORK

**Together With All Work Incidental Thereto** 

## **BOROUGH OF QUEENS**

#### ADDENDUM NO. 9

## DATED: October 2, 2015

This Addendum is issued for the purpose of amending the requirements of the Contract Documents and is hereby made part of said Contract Documents to the same extent as if it was originally included therein.

- (1) <u>Refer</u> to the Bid and Contract Documents, VOLUME 3 of 3, ADDENDUM NO. 2, OCMC TRAFFIC STIPULATIONS, pages 1 to 4. <u>Delete</u> all pages in their entirety; <u>Substitute</u> with attached revised OCMC TRAFFIC STIPULATIONS, pages 1R to 4R;
- (2) See attached list of QUESTIONS SUBMITTED BY BIDDERS AND RESPONSES PROVIDED BY DDC for additional information.

By signing in the space provided below, the bidder acknowledges receipt of the one (1) page of this Addendum plus six (6) pages of attachments.

THIS ADDENDUM MUST BE SIGNED BY ALL BIDDERS AND ATTACHED TO THEIR BIDS.

GURDIP SAINI, P.E. Associate Commissioner/Design I

Name of Bidder

By:\_

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## PROJECT ID: SEQ200463

# QUESTIONS SUBMITTED BY BIDDERS AND RESPONSES PROVIDED BY DDC

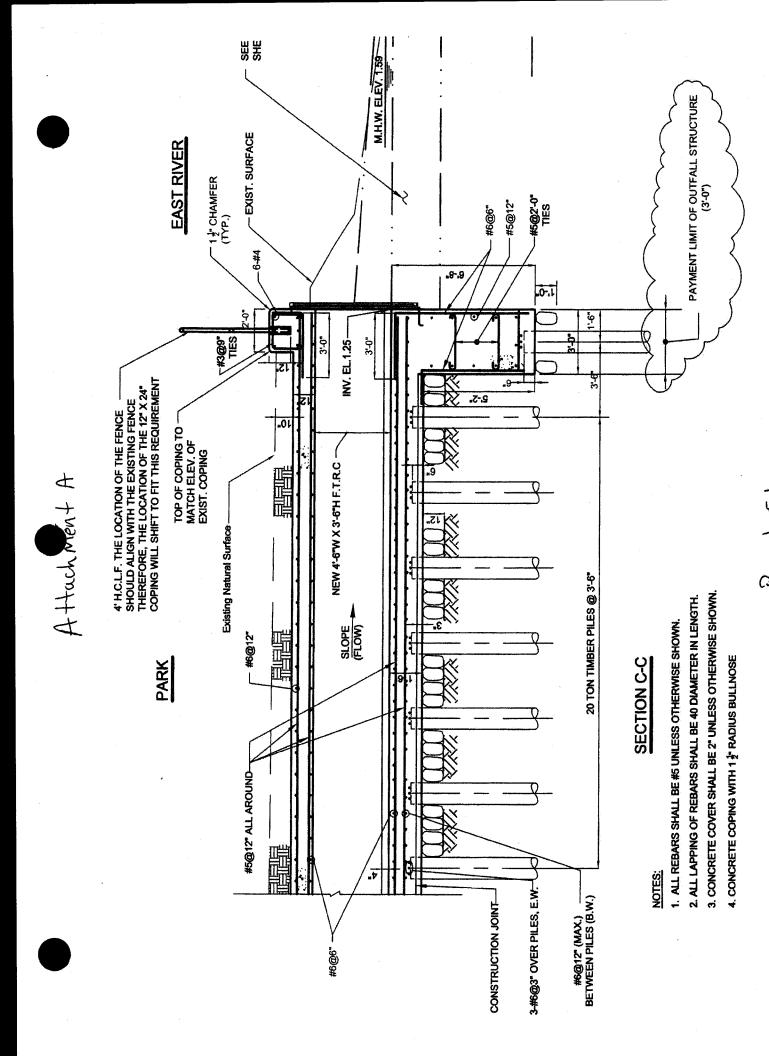
**QUESTION NO. 1:** The pay limits of the outfall are not shown on Sheet 23 of 38. The limits shown on that sheet are perpendicular to the line of the sewer work. The limits should be along the line of the sewer and clearly show the demarcation point between the outfall and the sewer. Please clarify.

**ANSWER NO. 1:** For the pay limit of the outfall structure, refer to the attached reference to the outfall (Attachment A).

**QUESTION NO. 2:** It is not possible to comply with the traffic stipulations at many locations. For example, College Place is approx. 24 feet wide. During construction of sanitary sewers there is not enough space for a travel lane. Please clarify.

**ANSWER NO. 2:** No changes are required to the traffic stipulations. If however, during construction the field conditions warrant a change to the traffic stipulations, the Contractor in coordination with the Engineer shall apply for the required permits.





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Department of Transportation

POLLY TROTTENBERG, Commissioner

UCMC TRAFFI	C STIPULATIONS	SEPTEMBER 23, 2015
OCMC FILE NO:	QEC-14-466 REVISED SEPTEMBER 2015	
CONTRACT NO:	NYCDDC PROJECT SEQ200463	
PROJECT: REPI WAT	ACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS FERMAINS AND APPURTENANCES IN COLLEGE PLACE AND OTH	AND APPURTENANCES AND ER LOCATIONS
LOCATION(S):	COLLEGE PLACE FROM POPPENHUSEN AVENUE TO 8TH AVEN	NUE
	POPPENHUSEN AVENUE FROM 119TH STREET TO 121ST STREET	
	6TH AVENUE FROM 119TH STREET TO COLLEGE PLACE	
	7TH AVENUE FROM 119TH STREET TO COLLEGE PLACE	
	8TH AVENUE FROM 119TH STREET TO COLLEGE PLACE	
	8TH AVENUE FROM COLLEGE PLACE TO DEAD END	
	9TH AVENUE FROM 119TH STREET TO COLLEGE PLACE	
	9TH AVENUE FROM 118TH STREET TO 120TH STREET	
	9TH ROAD FROM 120TH STREET TO 121ST STREET	
	12TH AVENUE FROM 118TH STREET TO 119TH STREET	
	118TH STREET FROM 9TH AVENUE TO 12TH AVENUE	
	119TH STREET FROM POPPENHUSEN AVENUE TO 12TH AVENUE	
	119TH STREET FROM 7TH AVENUE TO 9TH AVENUE	
	120TH STREET FROM 9TH AVENUE TO 9TH ROAD	
	121st STREET FROM 9TH AVENUE TO 12TH AVENUE	

PERMISSION IS HEREBY GRANTED TO THE NYCDDC AND ITS DULY AUTHORIZED AGENT, TO ENTER UPON AND RESTRICT THE FLOW OF TRAFFIC AT THE ABOVE LOCATION(S) FOR THE PURPOSE OF CARRYING OUT THE ABOVE NOTED PROJECT, SUBJECT TO THE STIPULATIONS, AS NOTED BELOW:

# A. SPECIAL STIPULATIONS

NEW YORK CITY

- 1. <u>EMBARGOES</u> A CONSTRUCTION EMBARGO WILL APPLY TO THOSE LOCATIONS BELOW WHICH FALL WITHIN THE <u>HOLIDAY</u> <u>EMBARGO</u> OR ANY OTHER SPECIAL EVENT EMBARGOES SUCH AS THE **{OTHER EMBARGOES IF APPLICABLE}** AS PUBLISHED BY THE BUREAU OF PERMIT MANAGEMENT AND CONSTRUCTION CONTROL.
- 2. <u>BIKE LANES</u> IF WORK IS IN OR AFFECTING A BIKE LANE, THE PERMITTEE MUST POST ADVANCE WARNING SIGNS 350 FEET AND 200 FEET PRIOR TO THE WORK ZONE STATING "CONSTRUCTION IN BIKE LANE AHEAD PROCEED WITH CAUTION", AND ALSO POST A SIGN AT THE WORK ZONE STATING "CONSTRUCTION IN BIKE LANE PROCEED WITH CAUTION". SUCH SIGNS SHALL BE ORANGE, 3' X 3', DIAMOND-SHAPED WITH 4" BLACK LETTERING. SIGNS SHALL BE POSTED IN ACCORDANCE WITH THE FEDERAL MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES (MUTCD).
- 3. <u>BIKE SHARE STATIONS</u>: THE PERMITTEE SHALL NOT REMOVE, RELOCATE, DAMAGE OR DISRUPT THE OPERATION OF EXISTING BIKE SHARE STATIONS WITHOUT FIRST CONTACTING NYC BIKE SHARE AT 855-245-3311 FOR THEIR REQUIREMENTS PRIOR TO COMMENCING WORK.
- 4. <u>BUS STOPS</u> THE PERMITTEE SHALL PROVIDE WRITTEN NOTICE TO NYC DOT OCMC AND NEW YORK CITY TRANSIT (NYCT) A MINIMUM OF FIVE (5) WEEKS IN ADVANCE FOR LANE/STREET CLOSURES THAT AFFECT BUS ROUTES/BUS STOPS.
- 5. <u>STREET LIGHTS / TRAFFIC SIGNALS: THE PERMITTEE SHALL NOT REMOVE OR RELOCATE EXISTING STREET LIGHTS OR TRAFFIC SIGNALS WITHOUT FIRST OBTAINING APPROVAL FROM NYCDOT STREET LIGHTING / TRAFFIC SIGNALS UNIT.</u>
- 6. TRAFFIC CAMERAS, DETECTION/COMMUNICATION EQUIPMENT: IF AT ANY TIME DURING THE APPROVED WORK, THE PERMITTEE ENCOUNTERS TRAFFIC SURVEILLANCE CAMERAS, DETECTION EQUIPMENT OR ANY TYPE OF COMMUNICATION EQUIPMENT (WIRELESS OR HARD-WIRED) ON ANY NYC DOT FACILITY, THAT IS NOT INCLUDED ON THE DESIGN/BUILD DRAWINGS, THE PERMITTEE SHALL IMMEDIATELY NOTIFY NYC DOT TRAFFIC MANAGEMENT BY PHONE AT 718-433-3390 OR 718-433-3340 AND VIA EMAIL AT TMC@DOT, NYC.GOV AND AWAIT DIRECTION PRIOR TO CONTINUING WORK.
- 7. METERS THE PERMITTEE SHALL NOT REMOVE OR RELOCATE PARKING METERS WITHOUT FIRST OBTAINING APPROVAL FROM NYCOOT PARKING METER DIVISION AT 718 894 8651.
- 8. <u>TEMPORARY PARKING REGULATIONS/PAVEMENT MARKINGS</u> THE PERMITTEE IS REQUIRED TO INSTALL, MAINTAIN AND REMOVE ALL NECESSARY TEMPORARY PARKING AND REGULATORY SIGNS AND PAVEMENT MARKINGS, AND RESTORE THEIR

NYC Department of Transportation

Bureau of Permit Management and Construction Control

55 Water Street - 7<sup>th</sup> Floor, New York, NY 10041

T: 212.839.9637 F: 212-839-8970

www.nyc.gov/dot

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OCMC FILE NO:

#### QWC-14-466 REVISED SEPTEMBER, 2015

CONTRACT NO: PROJECT:

#### NYCDDC PROJECT SEQ 200463 REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES AND WATERMAINS AND APPURTENANCES IN COLLEGE PLACE AND OTHER LOCATIONS Page 2 of 4

ORIGINAL CONDITION PER NYC DOT STANDARDS, PRIOR TO EXPIRATION OF THEIR PERMITS. THE PERMITTEE OR AGENCY PERFORMING PUBLIC OUTREACH SHALL POST AND MAINTAIN ADVISORY SIGNS A MINIMUM OF 48 HOURS PRIOR TO CHANGING EXISTING PARKING REGULATION SIGNS TO APPROVED TEMPORARY CONSTRUCTION PARKING REGULATION SIGNS. THE ADVISORY SIGNS SHOULD BE POSTED ON ALL POLES AND DRIVE RAILS ON THE SEGMENT AFFECTED, INDICATING THE DATE OF THE CHANGE, THE NEW REGULATIONS AND A TELEPHONE NUMBER TO OBTAIN MORE INFORMATION.

- 9. ACCESS TO ABUTTING PROPERTIES THE PERMITTEE SHALL COORDINATE ALL ACTIVITIES WITH ABUTTING PROPERTY OWNERS TO ENSURE ACCESS IS PROVIDED TO/FROM ENTRANCES/DRIVEWAYS AT ALL TIMES.
- 10. <u>AUTHORIZED PARKING</u> PRIOR TO PERFORMING WORK WHICH IMPACTS AUTHORIZED PARKING, THE PERMITTEE SHALL SUBMIT IN WRITING, AND COPY OCMC-STREETS, A REQUEST TO OCCUPY SPACE CURRENTLY USED BY AUTHORIZED VEHICLES. APPROVAL MUST BE RECEIVED FROM AUTHORIZED PARKING PRIOR TO OCCUPYING THESE AREAS.
- 11. NOTIFICATION THE PERMITTEE MUST AT LEAST TWO (2) WORKING DAYS BEFORE THE START OF CONSTRUCTION NOTIFY THE NYC FIRE DEPARTMENT, NYC POLICE DEPARTMENT, NYCEMS, LOCAL COMMUNITY BOARD, BOROUGH PRESIDENT'S OFFICE-CHIEF ENGINEER, NYCDOT OCMC OFFICE, AND ALL ABUTTING PROPERTY OWNERS.
- 12. <u>CONSTRUCTION INFORMATIONAL SIGNS</u> THIS PROJECT REQUIRES A CONSTRUCTION PROJECT INFORMATIONAL SIGN (CPIS) IN ACCORDANCE WITH NYCDOT HIGHWAY RULE SECTION 2-02 (4) AND (5). CRITERIA AND A PROTOTYPE FOR THIS SIGN MAY BE FOUND ON THE NYCDOT WEBSITE AT:
  - HTTP://WWW.NYC.GOV/HTML/DOT/DOWNLOADS/PDF/DOT\_CPIS\_DIRECTIONS.PDF

#### 13. ENHANCED MITIGATIONS

- ENHANCED MITIGATIONS FOR PEDESTRIAN FLOW, INCLUDING METAL FENCING, SHALL BE PROVIDED TO ENSURE PEDESTRIANS STAY WITHIN THEIR DESIGNATED PATH/ROUTE. FLAGGERS SHALL BE PROVIDED TO ASSIST WITH PEDESTRIANS AT THE DESIGNATED CROSSWALK AREAS. THESE FLAGGERS SHALL BE ASSIGNED TO THIS FUNCTION ONLY.
- <u>VARIABLE MESSAGE SIGNS (VMS)</u> SHALL BE PROVIDED FOR THIS PROJECT. THE LOCATIONS AND MESSAGES SHALL BE RECOMMENDED BY NYCDDC AND THEIR CONTRACTOR A MINIMUM OF TWO (2) WEEKS PRIOR TO WORK COMMENCING, FOR OCMC REVIEW AND APPROVAL.
- O <u>"NO STANDING ANYTIME-TEMPORARY CONSTRUCTION" SIGNS</u> AND TEMPORARY PAVEMENT MARKINGS SHALL BE INSTALLED AND MAINTAINED AS WARRANTED BY THE MAINTENANCE AND PROTECTION OF TRAFFIC (MPT) REQUIRED TO FACILITATE TRAFFIC MOVEMENTS THROUGH THE WORK ZONE. ALL TEMPORARY SIGNS AND PAVEMENT MARKINGS SHALL BE REMOVED UPON COMPLETION OF THE PROJECT.
- COMMUNITY OUTREACH SHALL BE PROVIDED FOR THE DURATION OF THE PROJECT.

# B. MAINTENANCE AND PROTECTION OF TRAFFIC:

# **REPLACEMENT AND EXTENSION OF STORM SEWERS AND APPURTENANCES:**

#### POPPENHUSEN AVENUE FROM 119TH STREET TO 121ST STREET COLLEGE PLACE FROM POPPENHUSEN AVENUE TO 8TH AVENUE 12TH AVENUE FROM 118TH STREET TO 119TH STREET 119TH STREET FROM POPPENHUSEN AVENUE TO 12TH AVENUE 120TH STREET FROM 9TH AVENUE TO 9TH ROAD

- Work hours shall be as follows:
- 8AM to 6PM, Monday thru Friday
- From 9AM to 6PM Saturdays and Sundays
- The contractors shall maintain 1-12 foot lane for local and emergency traffic during working hours.
- The contractors shall occupy maximum half the width of any intersection during working hours and maintain 2-way traffic in the remaining half width of the intersection.
- Full sidewalk closure allowed for sidewalks less than 15 Ft wide. Post Signs meeting NYCDOT Specs at work zone and at both Intersections directing Pedestrians to opposite sidewalk. Maintain 5-Foot clear for pedestrians on sidewalks 15-Foot or more in width. After working hours minimum of 5-foot of sidewalk must be maintained for pedestrians in both cases
- The contractor shall not work in more than two consecutive street blocks at a time.
- The contractor shall restore the full width of the street at the end of the working hours either by backfilling the excavation or covering it with steel plates

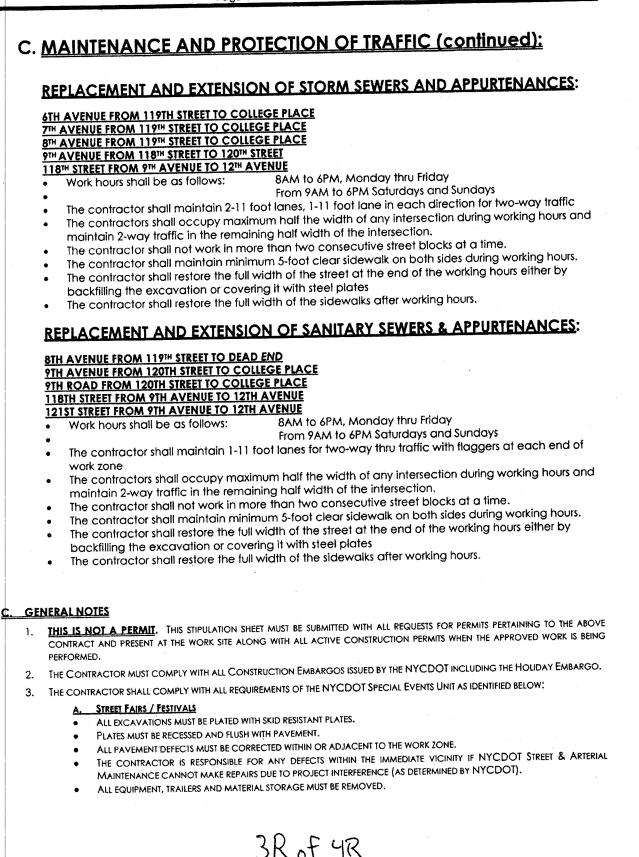
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• The contractor shall restore the full width of the sidewalks after working hours.

OCMC FILE NO:

QWC-14-466 REVISED SEPTEMBER, 2015

CONTRACT NO: PROJECT: NYCDDC PROJECT SEQ 200463 REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS ANDAPPURTENANCES AND WATERMAINS AND APPURTENANCES IN COLLEGE PLACE AND OTHER LOCATIONS Page 3 of 4



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OCMC FILE NO:

# QWC-14-466 REVISED SEPTEMBER, 2015

CONTRACT NO: PROJECT:

#### **SEPTEMBER 23, 2015** NYCDDC PROJECT SEQ 200463 REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES AND WATERMAINS AND APPURTENANCES IN COLLEGE PLACE AND OTHER LOCATIONS

Page 4 of 4

- RUNNING / WALKING / BIKING EVENTS 8.
- ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT. .
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
- ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED. .
- PARADES С,
- ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT. ٠
- FORMATION AND DISPERSAL AREA PLATES MUST BE RECESSED AND FLUSH WITH PAVEMENT (PLATES MUST BE SKID RESISTANT).
  - ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
  - THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
  - ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED. .
  - MAYORAL EVENTS D.
  - ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT.
  - ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
  - THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
  - ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED. ٠
- ALL RELOCATION WORK BY THE UTILITIES SUCH AS; CON EDISON, TELEPHONE, GAS AND CABLE COMPANIES SHALL PRECEDE THE 4. CONTRACTORS' START OF WORK ON ALL AFFECTED ROADWAYS IN THE IMPACTED CONTRACT AREA.
- THE CONTRACTOR IS ADVISED THAT OTHER CONTRACTORS MAY BE WORKING IN THE GENERAL AREA DURING THE TERM OF THIS 5. STIPULATION. IN WHICH EVENT, THE CONTRACTOR MAY REQUIRE MODIFICATIONS BY THE OCMC-STREETS.
- THE PERMITTEE IS NOT AUTHORIZED TO ENTER, OCCUPY OR USE ANY PUBLICLY-OWNED OR PRIVATELY OWNED, NON-PAVED, LANDSCAPE OR NON-LANDSCAPED LOCATION WITHOUT SPECIFIC WRITTEN PERMISSION. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF A 6. LIMITED-ACCESS ARTERIAL HIGHWAY, WRITTEN APPROVAL FROM THE NYCDOT OCMC-HIGHWAYS IS REQUIRED. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF A PUBLIC STREET OR PUBLIC PARK, WRITTEN APPROVAL FROM THE NEW YORK CITY DEPARTMENT OF TRANSPORTATION OR NEW YORK CITY DEPARTMENT OF PARKS AND RECREATION IS REQUIRED. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF ANY OTHER JURISDICTION SUCH AS PRIVATE PROPERTY, STATE, FEDERAL ETC., IT IS THE PERMITTEE'S RESPONSIBILITY TO DETERMINE THE PROPERTY OWNER AND OBTAIN THE WRITTEN APPROVAL.
- THE PERMITTEE SHALL ADHERE TO THE NYCDOT BUREAU OF BRIDGES' SPECIAL PROVISIONS FOR LANDSCAPE PROTECTION, 7. MAINTENANCE AND RESTORATION, ITEMS 1.18.15 THROUGH 1.18.19, WHENEVER AND WHEREVER ANY OF THE PERMITTEE'S ACTIVITIES OCCUR WITHIN A LIMITED ACCESS ARTERIAL HIGHWAY RIGHT - OF - WAY.
- NO DEVIATION OR DEPARTURE FROM THESE STIPULATIONS WILL BE PERMITTED WITHOUT THE PRIOR WRITTEN APPROVAL FROM THE OCMC-8. STREETS. REQUEST FOR SUCH MODIFICATIONS SHALL BE SUBMITTED TO THE OFFICE OF THE OCMC-STREETS, NEW YORK CITY
- FOR THIS PROJECT THE CONTRACTOR SHALL FURNISH, INSTALL AND MAINTAIN ALL NECESSARY ADVANCE WARNING AND DETOUR SIGNS, 9. TEMPORARY CONTROL DEVICES, BARRICADES, LIGHTS AND FLASHING ARROW BOARDS IN ACCORDANCE WITH THE "MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES," THE TYPICAL SCHEMES INCLUDED IN THIS SPECIFICATION; AND AS ORDERED BY THE ENGINEER-IN-CHARGE AND THE OCMC-STREETS.
- 10. THE CONTRACTOR SHALL BE RESPONSIBLE FOR IDENTIFYING HIS CONSTRUCTION SIGNAGE. THE IDENTIFICATION SHALL INCLUDE THE CONTRACTOR'S NAME, SPONSORING AGENCY NAME AND THE CONTRACT NUMBER. THE IDENTIFICATION SHALL BE PLACED ON THE BACK OF THE SIGN. THE LETTERING SHALL BE THREE (3) INCHES HIGH.
- 11. THE OCMC-STREETS RESERVES THE RIGHT TO VOID OR MODIFY THESE STIPULATIONS SHOULD CONSTRUCTION FAIL TO COMMENCE WITHIN TWO (2) YEARS OF THE SIGNED DATE OF THESE STIPULATIONS.

NICOLAS DAGHER, P.E. **EXECUTIVE DIRECTOR OCMC-STREETS** 

MAZHAR JAMIL **PROJECT MANAGER OCMC-STREETS** 

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INFRASTRUCTURE DIVISION BUREAU OF DESIGN

# **VOLUME 3 OF 3**

PROJECT ID: SEQ200463

FOR THE REPLACEMENT AND EXTENSION OF STORM AND SANITARY SEWERS AND APPURTENANCES IN COLLEGE PLACE BETWEEN 8TH AVENUE AND OUTFALL; POPPENHUSEN AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 5TH AVENUE BETWEEN 121ST STREET AND COLLEGE PLACE; 6TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 7TH AVENUE BETWEEN 119TH STREET AND COLLEGE PLACE; 8TH AVENUE BETWEEN COLLEGE PLACE AND DEAD END; 9TH AVENUE BETWEEN 118TH STREET AND 120TH STREET; 118TH STREET BETWEEN 9TH AVENUE AND 12TH AVENUE; 119TH STREET BETWEEN 12TH AVENUE AND POPPENHUSEN AVENUE; 119TH STREET BETWEEN 7TH AVENUE AND 9TH AVENUE; 120TH STREET BETWEEN 9TH AVENUE AND 9TH ROAD; 9TH ROAD BETWEEN 120TH STREET AND 121ST STREET; 121ST STREET BETWEEN 9TH ROAD AND 12TH AVENUE

INCLUDING WATER MAIN AND STREET LIGHTING WORK

Together With All Work Incidental Thereto BOROUGH OF QUEENS CITY OF NEW YORK

	Contractor
Dated	, 20