

Construction

THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

30-30 THOMSON AVENUE LONG ISLAND CITY, NEW YORK 11101-3045 TELEPHONE (718) 391-1000 WEBSITE www1.nyc.gov/site/ddc/index.page

LAW

VOLUME 1 OF 3 BID BOOKLET

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED FOR:

PROJECT ID: BED809

CAPITAL PROJECT WM-1

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET

Together With All Work Incidental Thereto
BOROUGH OF BROOKLYN
CITY OF NEW YORK



FOR THE DEPARTMENT OF ENVIRONMENTAL PROTECTION PREPARED BY IN-HOUSE DESIGN

November 1, 2017



August 08, 2018

CERTIFIED MAIL - RETURN RECEIPT REQUEST TRIUMPH CONSTRUCTION CORP. 1354 SENECA AVENUE BRONX, NY 10474

RE: FMS ID: BED-809

E-PIN: 85018B0058001

DDC PIN: 8502016WM0017C

FOR REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND

AVENUE AND E. 18TH STREET-BOROUGH OF BROOKLYN

NOTICE OF AWARD

Dear Contractor:

You are hereby awarded the above referenced contract based upon your bid in the amount of \$25,430,427.44 submitted at the bid opening on June 14, 2018. Within ten (10) days of your receipt of this notice of award, you are required to take the actions set forth in Paragraphs (1) through (3) below. For your convenience, attached please find a copy of Schedule A of the General Conditions to the Contract, which sets forth the types and amounts of insurance coverage required for this contract.

- (1) Execute two copies of the Agreement in the Contracts Unit, 30-30 Thomson Avenue, 1st Floor, Long Island City, New York (IDCNY Building). A Commissioner of Deeds will be available to witness and notarize your signature. The Agreement must be signed by an officer of the corporation or a partner of the firm.
- (2) Submit to the Contracts Unit two properly executed performance and payment bonds. If required for this contract, copies of performance and payment bonds are attached.
- (3) Submit to the Contracts Unit the following insurance documentation: (a) original certificate of insurance for general liability in the amount required by Schedule A, and (b) original certificates of insurance or other proof of coverage for workers' compensation and disability benefits, as required by New York State Law. The insurance documentation specified in this paragraph is required for registration of the contract with the Comptroller's Office.



On or before the contract commencement date, you are required to submit all other certificates of insurance and/or policies in the types and amounts required by Schedule A. Such certificates of Insurance and/or policies must be submitted to the Agency Chief Contracting Office, Attention: Risk Manager, Fourth Floor at the above indicated department address.

Your attention is directed to the section of the Information for Bidders entitled "Failure to Execute Contract". As indicated in this section, in the event you fail to execute the contract and furnish the required bonds within the (10) days of your receipt of this notice of award, your bid security will be retained by the City and you will be liable for the difference between your bid price and the price for which the contract is subsequently awarded, less the amount of the bid security retained.

Sincerely,

Michael Shipman Director of Contracts

Notices to Bidders

Pre-Bid Questions (PBQs)

Please be advised that PBQs should be submitted to the Agency Contact Person (CSB_projectinquiries@ddc.nyc.gov) at least five (5) business days (by 5:00 PM EST) prior to the bid opening date as indicated in ATTACHMENT 1 - BID INFORMATION, page A-1 and SCHEDULE B, page 13, VOLUME 1 OF 3 of this BID BOOKLET.

All PBQs must reference the Project ID. If a Bidder has multiple PBQs for the same Project ID, the PBQs must be numbered sequentially, even if they are submitted separately.

Apprenticeship Program

If Apprenticeship Program is required as noted on Page 19 of this BID BOOKLET, the following notice applies:

Please be advised that, pursuant to the authority granted to the City under Labor Law §816-b, the New York City Department of Design and Construction hereby requires that the contractor awarded a contract as a result of this solicitation, and any of its subcontractors with subcontracts worth two million dollars or over, have, prior to entering into such contract or subcontract, apprenticeship agreements appropriate for the type and scope of work to be performed that have been registered with, and approved by, the New York State Commissioner of Labor. In addition, the contractor and its subcontractors will be required to show that such apprenticeship program/s have successfully passed the two year Probation period following the initial registration date of such program/s with the New York State Department of Labor.

The failure to prove, upon request, that these requirements have been met shall result in the contract not being awarded to the contractor or the subcontractor not being approved.

Please be further advised that, pursuant to Labor Law §220, the allowable ratio of apprentices to journeypersons in any craft classification shall not be greater than the ratio permitted to the contractor as to its workforce on any job under the registered apprenticeship program.

Notices to Bidders

PASSPort Disclosure Filing

All vendors that intend to do business with the City of New York must complete a disclosure process in order to be considered for a contract. This disclosure process was formerly completed using Vendor Information Exchange System (VENDEX) paper-based forms. Beginning in summer 2017, the City of New York will move collection of vendor disclosure information online. In early August 2017, the New York City Mayor's Office of Contract Services (MOCS) launched the **Procurement and Sourcing Solutions Portal (PASSPort)**, a new online procurement system that will replace the paper-VENDEX process. In anticipation of awards, all bidders must create online accounts in the new PASSPort system, and file all disclosure information when the system becomes available. **Paper submissions, including certifications of no changes to existing VENDEX packages will not be accepted in lieu of complete online filings.**

Vendors that fall into any of the following categories are encouraged to complete early enrollment in August 2017:

- Have a pending award with a City Agency; or
- Hold a current contract with a City Agency and have either an expiring VENDEX or expiring Certificate of No Change; and
- Currently working on an Agency-prioritized paper submission that may not be fully complete and delivered to MOCS before late July 2017.

The Department of Design and Construction (DDC) and the Mayor's Office of Contract Services (MOCS) will notify all proposers when the PASSPort system becomes available and it is time to file, and disclosure filing completion will be required prior to any award through this competitive bid. After PASSPort launches, you will be able to register for training and log in. Prior to launch, you may sign up for one of the weekly briefings offered by MOCS.

To enroll in PASSPort and to access the PASSPort website, please visit nyc.gov/passport. Contact MOCS at passport@mocs.nyc.gov for additional information and technical support.

Notices to Bidders

NYC Construction Loan Pilot Program

The New York City Department of Small Business Services (SBS), in conjunction with the New York Business Development Corporation (NYBDC), have established **a NYC Construction Loan** pilot program to provide prime contractors and subcontractors financing for mobilization costs on certain City construction projects.

Under this initiative, loans are available for early stage mobilization needs such as insurance, labor, supplies and equipment. Bidders are strongly encouraged to visit "Growing Your Business" at www.nyc.gov/nycbusiness to learn more about the loan or contact constructionloan@sbs.nyc.gov / (212) 513-6444 to obtain details and to determine preliminary eligibility.

A successful loan applicant will be required to make an assignment of its contract (or subcontract) payments to the lender NYBDC until the loan is repaid. If the loan is to a subcontractor, a prime contractor must honor the terms of such an assignment.

A prime contractor may not discriminate against a subcontractor or potential subcontractor by reason of the subcontractor's participation, or nonparticipation, in the NYC Construction loan program.

(NO TEXT THIS PAGE)

NOTICE TO BIDDERS: NEW NOISE REQUIREMENTS

The bidder is notified that conformance with NYC City Council Introduction 1653-2017 (https://laws.council.nyc.gov/legislation/int-1653-2017/) is required for all work to be performed under this contract.

No separate payment will be made for conformance with this requirement, and the costs thereof must be included in the prices bid for all items of work.

CITY OF NEW YORK

DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

BID BOOKLET

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CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

BID BOOKLET

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CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

SPECIAL NOTICE TO BIDDERS

BID SUBMISSION REQUIREMENTS

THE FOLLOWING DOCUMENTS ARE TO BE COMPLETED AND SUBMITTED WITH THE BID:

- 1. Bid Schedule and Bid Form, including Affirmation
- 2. Bid Security (if required, see Attachment 1 on Page A-1)
- 3. Schedule B: M/WBE Utilization Plan (if participation goals have been established)

FAILURE TO SUBMIT ITEMS (1), (2) AND (3) WILL RESULT IN THE DISQUALIFICATION OF THE BID.

- 4. Safety Questionnaire
- 5. Construction Employment Report (if bid is \$1,000,000 or more)
- 6. Contract Certificate (if bid is less than \$1,000,000)
- 7. Confirmation of Vendex Compliance
- 8. Bidder's Certification of Compliance with Iran Divestment Act
- 9. Special Experience Requirements (if applicable)
- 10. Apprenticeship Program Questionnaire (if applicable)
- 11. Any addenda issued prior to the receipt of bids

FAILURE TO SUBMIT ITEMS (4) THROUGH (11) MAY RESULT IN THE DISQUALIFICATION OF THE BID.

NOTES:

- (1) All of the above referred to blank forms to be completed and submitted with the bid are included in the BID BOOKLET.
- (2) If the bidder has any questions or requires additional information, please contact the Department of Design and Construction by phone (718-391- 2601) or by fax (718-391-2627).
- (3) <u>VENDEX QUESTIONNAIRES</u>: The Bidder is advised that Vendex Questionnaires and procedures have been changed. Vendex Questionnaires, as well as detailed instructions, may be obtained at <u>www.nyc.gov/vendex</u>. The bidder may also obtain Vendex forms and instructions by contacting the Agency Chief Contracting Officer or the contact person for this contract.
- (4) <u>SPECIAL EXPERIENCE REQUIREMENTS</u>: The Bidder is advised that Special Experience Requirements may apply to this contract. Such requirements are set forth on pages 3, 3a, 3b, and 4 of this Bid Booklet.

SPECIAL NOTICE TO BIDDERS

SPECIAL EXPERIENCE REQUIREMENTS (Revised 03/2014)

(A) <u>SPECIAL EXPERIENCE REQUIREMENTS FOR THE BIDDER:</u> The Special Experience Requirements set forth below apply to the bidder. Compliance with such Special Experience Requirements will be determined solely by the City prior to an award of contract. Failure to comply with the Special Experience Requirements will result in rejection of the bid as non-responsive.

The requirements in this Section (A) apply to this contract where indicated by a blackened box (11).

The bidder must, within the last seven (7) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least one (1) project similar in scope and type to the required work. Such prior project may have been performed as a prime contractor, subcontractor or sub-subcontractor.

The Special Experience Requirements next to the blackened box below apply to the bidder. If the bidder intends to perform such work itself, it must demonstrate compliance with the Special Experience Requirements. If the bidder intends to subcontract this work, the proposed subcontractor or sub-subcontractor must demonstrate compliance with the Special Experience Requirements. The contractor, subcontractor or sub-subcontractor (hereinafter referred to as the "entity") that will perform any specific area of work indicated by the blackened box below, may have performed the required prior project(s) as a prime contractor, subcontractor or sub-subcontractor. Once approved, no substitution will be permitted, unless the qualifications of the proposed replacement have been approved in writing in advance by the City.

quan	iicatio	is of the proposed replacement have been approved in writing in advance by the City.
	last	nk Water Main Work: The entity that will perform the trunk water main work must, within the seven (7) consecutive years prior to the bid opening, have successfully completed in a timely on at least one (1) project similar in scope and type to the required work.
	the I	Management Practice Work: Best Management Practice ("BMP") Work is any item of work in Bid Schedule that begins with the prefix "BMP". The entity that will perform any BMP Work, within the last five (5) consecutive years prior to the bid opening, have successfully completed in the last three (3) projects similar in scope and type to the required work.
	indiv	professional services in connection with BMP Work, (i.e., monitoring and reporting services), the ridual who will perform the required services must, within the last five (5) consecutive years prior e bid opening, have successfully completed in a timely fashion at least three (3) projects similar in e and type to the required work. Additional requirements are set forth below.
		The individual serving as the Restoration Specialist (Construction Monitor) must be a Registered Landscape Architect licensed by the state of New York, or must have equivalent professional experience.
		The individual serving as the Erosion and Sediment Control Licensed/Certified Professional must be a Certified Professional in Erosion and Sediment Control (CPESC), certified by CPESC, Inc.
	work	ro-Tunneling/Pipe Jacking Work: The entity that will perform the micro-tunneling/pipe jacking must, within the last five (5) consecutive years prior to the bid opening, have successfully pleted in a timely fashion at least two (2) projects similar in scope and type to the required work.
	OTE	IER:

3

(B) <u>SPECIAL EXPERIENCE REQUIREMENTS FOR SPECIFIC AREAS OF WORK (to be provided after an award of contract):</u>

The requirements in this Section (B) apply to this contract where indicated by a blackened box (11).

The Special Experience Requirements set forth below apply to the contractor, subcontractor or subsubcontractor that will perform the specific area of work. Compliance with such Special Experience Requirements will be determined solely by the City after an award of contract. After an award of contract, when requested by the City, the contractor will be required to submit the qualifications of the contractor, subcontractor or sub-subcontractor that will perform the specific area of work. If the bidder intends to perform such work itself, it must demonstrate compliance with the Special Experience Requirements. If the bidder intends to subcontract this work, the proposed subcontractor or sub-subcontractor must demonstrate compliance with the Special Experience Requirements. Once approved, no substitution will be permitted, unless the qualifications of the proposed replacement have been approved in writing in advance by the City.

Special Experience Requirements apply to the contractor, subcontractor or sub-subcontractor (hereinafter referred to as the "entity") that will perform any specific area of work indicated by a blackened box. The entity may have performed the required prior project(s) as a prime contractor, subcontractor or sub-subcontractor.

	Hazmat Work: Hazmat Work is any item of work in the Bid Schedule that begins with the prefix 8.01. The entity that will perform any Hazmat Work must, within the last three (3) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least five (5) projects similar in scope and type to the required work.
	Pile, CFA Pile, and/or Mini-Pile Work: The entity that will perform the Pile, CFA Pile and/or Mini-Pile Work must, within the last three (3) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least two (2) projects similar in scope and type to the required work.
	For professional services in connection with Pile Work, (i.e., engineering and inspection services), the individual who will perform the required services must be a Professional Engineer licensed by the state of New York. Such individual must also comply with the above requirements for prior projects.
	Construction Report, Monitoring And Post-Construction Report, and Continuous Real-Time Monitoring For Vibrations And Movements And Post-Construction Report Work: The entity that will perform the Construction Report, Monitoring For Vibrations And Movements, and Post-Construction Report Work must, within the last three (3) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least two (2) projects similar in scope and type to the required work.
	For professional services in connection with Reporting and Monitoring Work, (i.e., engineering and inspection services), the individual who will perform the required services must be a Professional Engineer licensed by the state of New York. Such individual must also comply with the above requirements for prior projects.
	OTHER:
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- (C) <u>SPECIFICATIONS</u>: In the event of any conflict, omission or inconsistency between (1) the Specifications and/or Contract Drawings, and (2) the Special Experience Requirements in Section (B) of the Special Notice To Bidders, the special experience listed in the Specifications and/or Contract Drawings shall be controlling. The Special Experience Requirements in Section (B) of this Special Notice To Bidders are only for the convenience of the bidders.
- (D) <u>SUBMISSION REQUIREMENTS</u>: For each project submitted to demonstrate compliance with the Special Experience Requirements, the bidder must complete and submit the Qualification Form included in the Bid Booklet. The City will only evaluate a project if the following criteria are met: (1) the project is described on the Qualification Form, and (2) all information on the Qualification Form is provided. The City will not evaluate any project which does not comply with the criteria set forth herein, including any project which is referred to only on the resume of an individual.

If Special Experience Requirements are indicated for any specific area of work, the submission requirement set forth above shall apply to the entity that will perform the specific area of work.

- (E) <u>CONDITIONS</u>: In determining compliance with the Special Experience Requirements for the bidder set forth above, the City may consider prior projects completed by principal(s) or other employees of the bidder while affiliated with another entity, subject to the conditions set forth below.
 - Any principal or other employee on whose prior experience the bidder is relying to demonstrate compliance with this special experience requirement must have held the following: (a) a significant management role in the prior entity with which he/she was affiliated, and (b) a significant management role in the entity submitting the bid for a period of six (6) months or more from the inception of the bidding entity.
 - The bidder may not rely on the experience of its principals or other employees to demonstrate compliance with any other requirements, including without limitation, financial requirements or requirements for a specified minimum amount of annual gross revenues.
- (F) <u>JOINT VENTURES</u>: In the event the bidder is a joint venture, at least one firm in the joint venture must meet the above described experience requirements.

Qualification Form

List previous projects completed to meet the special experience requirements for this contract. Please photocopy this form for submission of all required projects.

Name of Contractor: Trumph Construction Corp
Name of Project: GNERNS Plaza Bicycle & Pel improvements
Location of Project:
Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:
Name: Dan Colongiane Title: RE Phone Number: 212-312-3765
Brief description of the Project completed or the Project in progress: With Main Study Concrete Sidewalk, Asphalt Courty Eighty Pavors, Was the Project performed as a prime, a subcontractor or a sub-subcontractor: Panel
Amount of Contract, Subcontract or Sub-subcontract: 38,330,225,89
Start Date and Completion Date:

Name of Contractor: Triumph Const. Corp
Name of Project: Low Pressive water main
Location of Project: Branchy Nauy Yard
Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:
Name: Courmine Stabile Title: Phone Number: 845-642-0491
Brief description of the Project completed or the Project in progress: Wake Main, Sewer Concrete School Asphill purity, Landscaping, and pavers
Was the Project performed as a prime, a subcontractor or a sub-subcontractor: Prime
Amount of Contract, Subcontract or Sub-subcontract: Q, 937, 036, 73 Start Date and Completion Date:

ATTACHMENT 1 - BID INFORMATION

PROJECT ID: BED809 PIN: 8502016WM0017C

		PIN: 8502010 W W 1001 / C				
Description and Location	on of V	Vork: For The Replacement Of Water Mains In Avenue S B Island Avenue And E. 18th Street Together With All W Thereto, Borough Of Brooklyn.				
Documents Available A	<u>\t</u> :	30-30 Thomson Avenue First Floor Bid Procurement Room Long Island City, New York 11101 8:30 A.M. to 4:00 P.M Monday through Friday 30-30 Thomson Avenue First Floor Bid Procurement Room Long Island City, New York 11101 Before 11:00 A.M. on JUNE 7, 2018				
Submission of Bids To:	:					
Bid Opening:		30-30 Thomson Avenue First Floor Bid Procurement Room Long Island City, New York 11101				
		Time and Date: 11:00 A.M. on JUNE 7, 2018				
Pre-Bid Conference:		Yes NoX				
		If Yes, Mandatory: Optional: Time and Date: Location:				
Bid Security:	securit	curity is required in the amount set forth below; provided, he is not required if the TOTAL BID PRICE set forth on the I an \$1,000,000.00.	•			
	(1)	Bond in an amount not less than 10% of the TOTAL BID P	RICE set			
	(2)	forth on the Bid Form, OR Certified Check in an amount not less than 2% of the TOTA PRICE set forth on the Bid Form.	AL BID			
		nrity: Required for contracts in the amount of \$1,000,000 or nent Security shall each be in an amount equal to 100% of the				
Agency Contact Person:	:	Lorraine Holley Phone: 718-391-2601 FAX: 718-391-2627 Email: CSB_projectinquiries@ddc.nyc.gov				
CITY OF NEW YORK		A-1	BID BOOKLET			

LIST OF DRAWINGS

PROJECT ID: BED809 PIN: 85020160017C

SHEET	DESCRIPTION
NO.	DESCRIPTION
1	TITLE SHEET
2	KEY MAP
3	GENERAL NOTES
4	NEW YORK CITY TRANSIT GENERAL NOTES
5-15	WATER MAIN PLANS
15-19	MAINTENANCE AND PROTECTION OF TRAFFIC
-d	FOR REFERENCE ONLY
1	FDNY BASE MAPS AND NOTES
1	NYCT DUCT ASSIGNMENT-VICINITY
1	GENERAL NOTES AND CONDITIONS FOR UTILITY
1-2	CON EDISON PROPOSED FACILITIES
1-12	CON EDISON PLATES AND LOW TENSION MAINS
1-5	VERIZON EXISTING FACILITIES PLANS
1-11	VERIZON OVERHEAD MAIN LINES

06/08/2018 10:02AM

Ver 5.00.01

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION

DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

8502016WM0017C Contract PIN

BED809

Project ID

BID SCHEDULE

The Agency may reject a bid if it contains unbalanced bid prices. An unbalanced bid is considered to be one containing lump sum or unit items which do not reflect reasonable actual costs plus a reasonable proportionate Ξ NOTE:

share of the Bidder's anticipated profit, overhead costs, and other indirect

costs, anticipated for the performance of the items in question.

The following bid prices on Unit Price Contracts are to be paid for the actual quantities of the several classes of work in the completed work or structure, and they cover the cost of all work, labor, material, tools, plant and appliances of every description necessary to complete the entire work, as specified, and the removal of all debris, temporary work and appliances. (5)

PLEASE BE SURE A LEGIBLE BID IS ENTERED, IN INK, FOR EACH ITEM. Alterations must be initialed in ink by the bidder. (3)

The Extended Amount entered in Column 5 shall be the product of the Estimated Quantity in Column 2 times the Unit Price Bid in Column 4. (4)

before bidding, must advise the Commissioner, in writing, if any Prospective bidders must examine the Bid Schedule carefully and, pages are missing, and must request that such missing pages be furnished them. The pages of this Bid Schedule are numbered consecutively, as follows: B.3 through B.56 [REVISION #2] (2)

B - 3 [REVISION # 2]

06/08/2018 10:02AM

Contract PIN

8502016WM0017C

BED809

	CTS	37 80 \$ 967,106 80	38 80,080 80	98 80 , 784,196 00	200 00 2, 880 80
COL. 3 CLASSIFICATIONS		ASPHALTIC CONCRETE WEARING COURSE, 1-1/2" THICK	ASPHALTIC CONCRETE WEARING COURSE, 2" THICK	BINDER MIXTURE	ASPHALTIC CONCRETE MIXTURE
COL. 1 COL. 2 ITEM NUMBER ENCINEER'S ESTIMATE OF	(SEQUENCE NO.) QUANTITIES	26,138.0 31) S.Y.	10,000.0 2) S.Y.	12 CA 8,002.0	4.02 CB 14.0 (004) TONS
	COL. 2COL. 3COL. 4ENGINEER'SCLASSIFICATIONSUNIT PRICESESTIMATE OF(IN FIGURES)	COL. 2 COL. 3 COL. 5 ENGINEER'S ESTIMATE OF QUANTITIES CLASSIFICATIONS (IN FIGURES) (IN FIGURES) EXTENDED AMOUNTS (IN FIGURES) (IN FIGURES)	COL. 2 COL. 3 COL. 4 COL. 5 ENGINEER'S ESTIMATE OF QUANTITIES QUANTITIES CLASSIFICATIONS (IN FIGURES) EXTENDED AMOUNTS (IN FIGURES) 26,138.0 ASPHALTIC CONCRETE WEARING COURSE, 1-1/2" DOLLARS CTS DOLLARS S.Y. THICK S 3 367 / 102 4	NUMBER ENGINEER'S ENGINEER'S CLASSIFICATIONS (IN FIGURES) RICE NO.) 2 6,138.0 S.Y. THICK 10,000.0 ASPHALTIC CONCRETE WEARING COURSE, 2" THICK S.Y. S.Y. 10,000.0 ASPHALTIC CONCRETE WEARING COURSE, 2" THICK S.Y. S.Y. S.Y. THICK S.Y. S.Y. S.Y. THICK S.Y. S.Y. S.Y. S.Y. S.Y. THICK S.Y. S.Y. S.Y. S.Y. S.Y. S.Y. S.Y. S.Y	MUMBER ENGINEER'S CLASSIFICATIONS COURTES (IN PRICES) 26,138.0 ASPHALTIC CONCRETE WEARING COURSE, 1-1/2" S.Y. THICK 10,000.0 ASPHALTIC CONCRETE WEARING COURSE, 2" THICK S.Y. S.Y. THICK 10,000.0 ASPHALTIC CONCRETE WEARING COURSE, 2" THICK S.Y. S.Y. THICK THICK THICK 10,000.0 ASPHALTIC CONCRETE WEARING COURSE, 2" THICK S.Y. S.Y. SHALTIC CONCRETE WEARING COURSE, 2" THICK S.Y. S.Y. S.Y. SHALTIC CONCRETE WEARING COURSE, 2" THICK S.Y. S.Y. S.Y. SHALTIC CONCRETE WEARING COURSE, 2" THICK S.Y. S.Y. S.Y. SHALTIC CONCRETE WEARING COURSE, 2" THICK S.Y. S.Y. S.Y. SHALTIC CONCRETE WEARING COURSE, 2" THICK S.Y. S.Y. S.Y. SHALTIC CONCRETE WEARING COURSE, 2" THICK S.Y. S.Y. S.Y. SHALTIC CONCRETE WEARING COURSE, 2" THICK S.Y. S.Y. S.Y. SHALTIC CONCRETE WEARING COURSE, 2" THICK S.Y. SHALTIC CONCRETE WEARING COURSE, 3" THICK S.Y. SHALTIC CONCRETE WEARING C

06/08/2018 10:02AM BID PAGES	MEN VEN	NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	Contract PIN Project ID	8502016WM0017C BED809
COL. 1 ITEM NUMBER (SEQUENCE NO.)	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	CLASSIFICATIONS	COL. 4 UNIT PRICES (IN FIGURES) DOLLARS CTS	COL, 5 EXTENDED AMOUNTS (IN FIGURES) DOLLARS CTS
4.04 B (005)	4,000.0 C.Y.	CONCRETE BASE FOR PAVEMENT, VARIABLE THICKNESS FOR TRENCH RESTORATION, CLASS B-32	135 000	\$ 540,000 &
4.04 H (006)	46.0 C.Y.	CONCRETE BASE FOR PAVEMENT, VARIABLE THICKNESS FOR TRENCH RESTORATION, (HIGH-EARLY STRENGTH)	- 18/ sa	\$ 7.590 as
4.05 AX (007)	200.0 C.Y.	HIGH-EARLY STRENGTH REINFORCED CONCRETE PAVEMENT (BUS STOPS)	8	\$ 160,000 &
4.08 AA (008)	2,500.0 L.F.	CONCRETE CURB (18" DEEP)	9	3,500 000

8502016WM0017C BED809	COL. 5 EXTENDED AMOUNTS (IN FIGURES)	DOLLARS	288	\$ 940 80	525 00	8 2 3
Contract PIN Project ID	COL. 4 UNIT PRICES (IN FIGURES)	DOLLARS	8	\$ - \frac{1}{2} \rightarrow \f	90	9
NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	COL. 3 CLASSIFICATIONS		CONCRETE CURB (27" DEEP)	STRAIGHT STEEL FACED CONCRETE CURB (18" DEEP)	STRAIGHT STEEL FACED CONCRETE CURB (27" DEEP)	DEPRESSED STEEL FACED CONCRETE CURB (18" DEEP)
NEW L	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES		200.0 L.F.	940.0 L.F.	525.0 L.F.	200.0 L.F.
06/08/2018 10:02am BID PAGES	COL. 1 ITEM NUMBER (SEQUENCE NO.)		4.08 AJ (009)	4.09 AD (010)	4.09 AF (011)	4.09 BD (012)

Contract PIN

CIS 400 00 36,800 80 EXTENDED AMOUNTS (IN FIGURES) 8502016WM0017C COL. 5 DOLLARS BED809 CTS 3 g UNIT PRICES (IN FIGURES) 1 Project ID COL. 4 DOLLARS CORNER STEEL FACED CONCRETE CURB (18" DEEP) 4" CONCRETE SIDEWALK (UNPIGMENTED) NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION CLASSIFICATIONS DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN COL. 3 18,400.0 1,400.0 I.F S.F. ENGINEER'S ESTIMATE OF QUANTITIES COL. 2 (SEQUENCE NO.) ITEM NUMBER COL. 1 06/08/2018 BID PAGES 4.13 AAS 10:02AM 4.09 CD (014) (013)

8

148

010

EMBEDDED PREFORMED DETECTABLE WARNING UNITS

1,480.0

4.13 DE

(016)

S.F

31,800 100

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M

7" CONCRETE SIDEWALK (UNPIGMENTED)

10,600.0

4.13 BAS

(015)

S.F.

•	1				
	ITS	L L _	8	8	<u> </u>
8502016WM0017C BED809	EXTENDED AMOUNTS (IN FIGURES) DOLLARS	, 136, 000	38,000	36,000	20,250 %
	S C CITS	8!	81	8	8!
Contract PIN Project ID	COL. 4 UNIT PRICES (IN FIGURES) DOLLARS	400	460	« ASP »	450 80
NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	COL. 3 CLASSIFICATIONS	MAINTENANCE TREE PRUNING (UNDER 12" CAL.)	MAINTENANCE TREE PRUNING (12" TO UNDER 18" CAL.)	MAINTENANCE TREE PRUNING (18" TO UNDER 24" CAL.)	MAINTENANCE TREE PRUNING (24" CAL. AND OVER)
NE	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	340.0 EACH	95.0 EACH	80.0 EACH	45.0 EACH
06/08/2018 10:02AM BID PAGES	COL. 1 ITEM NUMBER (SEQUENCE NO.)	4.18 A (017)	4.18 B (018)	4.18 C (019)	4.18 D (020)

8502016WM0017C BED809	COL. 5 EXTENDED AMOUNTS (IN FIGURES) CTS DOLLARS	93,500 0	2000	8 0 8 7	753 8
Contract PIN Project ID	COL. 4 UNIT PRICES (IN FIGURES) DOLLARS	85 80	2000/	- 00 00 00 00 00 00 00 00 00 00 00 00 00	0
NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	CLASSIFICATIONS	TREE CONSULTANT	STANDARD CATCH BASIN, TYPE 1	12" DUCTILE IRON PIPE BASIN CONNECTION	UNCLASSIFIED EXCAVATION
AEN	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	1,100.0 P/HR	5.0 EACH	100.0 L.F.	7,530.0 C.Y.
06/08/2018 10:02AM BID PAGES	COL. 1 ITEM NUMBER (SEQUENCE NO.)	4.21 (021)	51,41S001 (022)	52.11D12 (023)	6.02 AAN (024)

06/08/2018 10:02AM

Contract PIN

8502016WM0017C

BED809

	TS	T 40	Q +		8
BED809	COL. 5 EXTENDED AMOUNTS (IN FIGURES)	DOLLARS .	450,000	000 000 °Z °	80 8
Project ID	COL. 4 UNIT PRICES (IN FIGURES)	DOLLARS CTS	15,000 %	2	0 0 0
NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	COL. 3 CLASSIFICATIONS	LIGHTED TIMBER BARRICADES	ENGINEER'S FIELD OFFICE (JOINT USE) (TYPE CU)	THERMOPLASTIC REFLECTORIZED PAVEMENT MARKINGS (4" WIDE)	TEMPORARY PAVEMENT MARKINGS (4" WIDE)
EIX	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	1,140.0 L.F.	30.0 MONTH	10,000.0 L.F.	8,000.0 L.F.
10:02AM BID PAGES	COL. 1 ITEM NUMBER (SEQUENCE NO.)	6.28 AA (029)	6.40 CU (030)	6.44 (031)	6.49

06/08/2018 10:02AM BID PAGES

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION

Contract PIN

8502016WM0017C

BED809 Project ID

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	NTS (CIIS	-	F8+-	<u> </u>	
	COL. 5 EXTENDED AMOUNTS (IN FIGURES)	DOLLARS	2 8 8 P	240,000 &	80 8	\$ 715
		CTS	8	8	6	0.
	COL. 4 UNIT PRICES (IN FIGURES)	DOLLARS	- 3 - 4	100 001 s	100	0 0
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	COL. 3 CLASSIFICATIONS		CLEANING OF DRAINAGE STRUCTURES	CROSSING GUARD	REMOVE EXISTING LANE MARKINGS (4" WIDE)	SAWCUTTING EXISTING PAVEMENT
	COL. 2. ENGINEER'S ESTIMATE OF		100.0 EACH	5,400.0 P/HR	8,000.0 L.F.	7,150.0 L.F.
DID IAGES	COL. 1 ITEM NUMBER (SEQUENCE NO.)		6.50	6.52 CG (034)	6.53	(036)

06/08/2018 10:02AM BID PAGES

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN

8502016WM0017C BED809 Project ID

COL. 5 EXTENDED AMOUNTS (IN FIGURES)	DOLLARS	80,000,00	5,000.00	78 80	8000
COL. 4 UNIT PRICES (IN FIGURES)	DOLLARS	200 8	5,000 00	700	200 <u>5</u>
COL. 3 CLASSIFICATIONS		GRINDING EXISTING ASPHALTIC CONCRETE WEARING COURSE	LOLLIPOP TYPE BUS STOP SIGNS PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 5,000.00	PLASTIC BARRELS	FURNISHING AND DELIVERING 16-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 55)
COL. 2 ENGINEER'S ESTIMATE OF	QUANTITIES	400.0 C.Y.	1.0 F.S.	7,800.0 EACH	40.0 L.E.
COL. 1 ITEM NUMBER	(SEQUENCE NO.)	6.75	6.84 B (038)	6.87 (039)	60.11R516 (040)

805, Sas | so 1,481,85000 CIS 782,850 00 EXTENDED AMOUNTS (IN FIGURES) 8502016WM0017C COL. 5 DOLLARS BED809 08/58/ 85 80 8 CTS 80.00 Contract PIN UNIT PRICES (IN FIGURES) Project ID COL. 4 DOLLARS FURNISHING AND DELIVERING 12-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56) DUCTILE FURNISHING AND DELIVERING 6-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56) FURNISHING AND DELIVERING 8-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56) FURNISHING AND DELIVERING 20-INCH DUC' IRON RESTRAINED JOINT PIPE (CLASS 55) NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION CLASSIFICATIONS DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN 8,010.0 L.F 1,146.0 16,110.0 9,210.0 L.F. L.F. ENGINEER'S ESTIMATE OF QUANTITIES COL. 2 (SEQUENCE NO.) ITEM NUMBER COL. 1 06/08/2018 60.11R520 60.11R606 60.11R608 60.11R612 BID PAGES 10:02AM (043) (044) (042)

Contract PIN 8502016WM0017C Project ID BED809	UNIT PRICES EXTENDED AMOUNTS (IN FIGURES) (IN FIGURES) DOLLARS CTS DOLLARS CTS	200 00 258 400 00	200 504 5 \$ 403,000 80	225 s 2,140,875 so	\$ 560 80 \$ 25,080 80
NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	COL. 3 CLASSIFICATIONS	LAYING 6-INCH DUCTILE IRON PIPE AND FITTINGS	LAYING 8-INCH DUCTILE IRON PIPE AND FITTINGS	LAYING 12-INCH DUCTILE IRON PIPE AND FITTINGS	LAYING 16-INCH DUCTILE IRON PIPE AND FITTINGS
NEW NEW	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	1,292.0 L.F.	17,015.0 L.F.	9,515.0 L.F.	50.0 L.F.
06/08/2018 10:02AM BID PAGES	COL. 1 ITEM NUMBER (SEQUENCE NO.)	60.12D06 (045)	60.12D08	60.12D12. (047)	60.12D16 (048)

06/08/2018 10:02AM

Contract PIN

8502016WM0017C

BED809

BED809	COL. 5 EXTENDED AMOUNTS (IN FIGURES) DOLLARS CTS	, 2,052,500	<i>S84,000</i> ∞	25.7	\$ 158, Z6 800 +
Project ID	COL. 4 UNIT PRICES (IN FIGURES) DOLLARS CTS	250 80	8,000 8	8	. 1,250/80
NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	COL. 3 CLASSIFICATIONS	LAYING 20-INCH DUCTILE IRON PIPE AND FITTINGS	FURNISHING AND DELIVERING DUCTILE IRON MECHANICAL JOINT 24-INCH DIAMETER AND SMALLER FITTINGS, INCLUDING WEDGE TYPE RETAINER GLANDS	FURNISHING, DELIVERING AND INSTALLING BELL JOINT CLAMPS, COMPLETE FOR 20-INCH PIPE AND LESS	FURNISHING AND DELIVERING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS
NEW	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	8,210.0 L.F.	73.0 TONS	15.0 EACH	127.0 EACH
10:02AM BID PAGES	COL. 1 ITEM NUMBER (SEQUENCE NO.)	60.12D20 (049)	60.13M0A24 (050)	60.18BJC20EL (051)	61.11DMM06 (052)

06/08/2018 10:02AM **BID PAGES**

Contract PIN

8502016WM0017C BED809 Project ID

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

20	EXTENDED AMOUNTS (IN FIGURES)	CTS	63,000 00	ga 000/06 °	432,000 00	17,600 50
COL.	EXTENDEC (IN FI	DOLLARS	\$ 63,	, 90,00	, 432,	911
		CTS		8.	8	3
COL. 4	UNIT PRICES (IN FIGURES)	DOLLARS	© 001/1 °	8 7	, 18,000 00	3
COL. 3	CLASSIFICATIONS		FURNISHING AND DELIVERING 8-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	FURNISHING AND DELIVERING 12-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	FURNISHING AND DELIVERING 20-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	FURNISHING AND DELIVERING 3-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS
COL. 2	ENGINEER'S ESTIMATE OF QUANTITIES		45.0 EACH	30.0 EACH	24.0 EACH	22.0 EACH
cor. 1	ITEM NUMBER (SEQUENCE NO.)		61.11DMM08 (053)	61.11DMM12 (054)	61.11DMM20 (055)	61.11TWC03 (056)

Contract PIN 8502016WM0017C Project ID BED809	COL. 4 UNIT PRICES (IN FIGURES) (IN FIGURES) DOLLARS (TS DOLLARS CTS	s /,100 00 // s	1,400 80 8,400 00	1,500 00 7,500 100 100 100 100 100 100 100 100 100	s / 127 & s
NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	COL. 3 CLASSIFICATIONS	FURNISHING AND DELIVERING 4-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	FURNISHING AND DELIVERING 6-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	FURNISHING AND DELIVERING 8-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	SETTING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS
NE NE	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	14.0 EACH	6.0 EACH	5.0 EACH	127.0 EACH
06/08/2018 10:02AM BID PAGES	COL. 1 ITEM NUMBER (SEQUENCE NO.)	61.11TWC04 (057)	61.11TWC06 (058)	61.11TWC08 (059)	61.12DMM06 (060)

06/08/2018 10:02AM BID PAGES

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN 8502016WM0017C

Project ID BED809

. S	CTS	8+-	<u> 8+-</u>	F-3+-	2
COL. 5 • EXTENDED AMOUNTS (IN FIGURES)	DOLLARS	45	20 - 8 + -	24	, 22
- 0	CTS	8	8		8!
COL. 4 UNIT PRICES (IN FIGURES)	DOLLARS	v ₀	<i>S</i>	, w	7
COL. 3 CLASSIFICATIONS		SETTING 8-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	SETTING 12-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	SETTING 20-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	SETTING 3-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS
COL. 2 ENGINEER'S ESTIMATE OF	COMMITTES	45.0 EACH	30.0 EACH	24.0 EACH	22.0 EACH
COL. 1 ITEM NUMBER (SEDITENCE NO.)	(Seguence no.)	61.12DMM08 (061)	61.12DMM12 (062)	61.12DNM20 (063)	61.12TWC03 (064)

8502016WM0017C

	S	_8	<u> </u>	8	8
8502016WM0017C BED809	COL. 5 EXTENDED AMOUNTS (IN FIGURES) DOLLARS	7	9	\frac{1}{2}	, 482,600
	S)	g -	8	8	- 8 -
Contract PIN Project ID	COL. 4 UNIT PRICES (IN FIGURES) DOLLARS	s	s	s	3,880
NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	COL. 3 CLASSIFICATIONS	SETTING 4-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	SETTING 6-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	SETTING 8-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	FURNISHING AND DELIVERING HYDRANTS
NEV NEV	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	14.0 EACH	6.0 EACH	5.0 EACH	127.0 EACH
06/08/2018 10:02am BID PAGES	COL. 1 ITEM NUMBER (SEQUENCE NO.)	61.12TWC04 (065)	61.12TWC06 (066)	61.12TWC08 (067)	62.11SD (068)

06/08/2018 10:02AM BID PAGES

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION

Contract PIN Project ID

8502016WM0017C BED809

DID INOES		DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN		
<u>col. 1</u>	COL. 2	COI. 3	COL. 4	COL. 5
ITEM NUMBER (SEQUENCE NO.)	ENGINEER'S ESTIMATE OF OUANTITIES	CLASSIFICATIONS	UNIT PRICES	EXTENDED AMC (IN FIGURE
			DOLLARS	DOLLARS
62.12SG (069)	127.0 EACH	SETTING HYDRANTS COMPLETE WITH WEDGE TYPE RETAINER GLANDS	2,580 8	\$ 317, 50
62.13RH (070)	109.0 EACH	REMOVING HYDRANTS	80 /	601
62.14FS (071)	254.0 EACH	FURNISHING, DELIVERING AND INSTALLING HYDRANT FENDERS	8 88	\$ SO 88
63.11VC (072)	175.0 TONS	FURNISHING AND DELIVERING VARIOUS CASTINGS	8 0/	2527/

Contract PIN

Project ID

8502016WM0017C

BED809

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

UNTS	CTS	$\left -\frac{\partial}{\delta} \right $	$-\frac{2}{9}$	8+-	<u> </u>
COL. 5 EXTENDED AMOUNTS (IN FIGURES)	DOLLARS	266,000,00	\$ 165,000 %	\$ 755 ex	82,580
	CTS	8 i	81	8	8
COL. 4 UNIT PRICES	DOLLARS	350	3	3	25 8
COL. 3 CLASSIFICATIONS		WITHDRAWING AND REPLACING HOUSE SERVICES USING 1-1/2-INCH OR LARGER SCREW TAPS	WITHDRAWING AND REPLACING HOUSE SERVICES USING SMALLER THAN 1-1/2-INCH SCREW TAPS	CUTTING AND OFFSETTING HOUSE SERVICE WATER CONNECTIONS (EQUAL TO OR GREATER THAN 3-INCH DIAMETER)	CUTTING AND OFFSETTING HOUSE SERVICE WATER CONNECTIONS (LESS THAN 3-INCH DIAMETER)
COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES		760.0 EACH	326.0 EACH	150.0 L.F.	3,300.0 L.F.
COL. 1 ITEM NUMBER (SEQUENCE NO.)		64.11EL (073)	64.11ST (074)	64.12COEG	64.12COLT (076)

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN

Project ID

8502016WM0017C

BED809

30,000 00 CIS 14,000 80 28,000 8 EXTENDED AMOUNTS (IN FIGURES) COL. 5 DOLLARS 9 CIS 2,28010 8 8 UNIT PRICES (IN FIGURES) 18 COL. 4 DOLLARS FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 8-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS EXTENDING HOUSE SERVICE WATER CONNECTIONS (EQUAL TO OR GREATER THAN 3-INCH DIAMETER) EXTENDING HOUSE SERVICE WATER CONNECTIONS (LESS THAN 3-INCH DIAMETER) FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 12-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS CLASSIFICATIONS COL. 3 20.0 20.02 EACH 150.0 L.F. 3,300.0 L.F. EACH ENGINEER'S ESTIMATE OF QUANTITIES COL. 2 (SEQUENCE NO.) ITEM NUMBER COL. 1 64.12ESEG 64.13WC08 64.12ESLT 64.13WC12 (770)(018) (079) (080)

06/08/2018 10:02am BID PAGES	NEV	NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	Contract PIN Project ID	8502016WM0017C BED809
COL. 1 ITEM NUMBER (SEQUENCE NO.)	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	COL. 3 CLASSIFICATIONS	COL. 4 UNIT PRICES (IN FIGURES) DOLLARS CTS	COL. 5 EXTENDED AMOUNTS (IN FIGURES) DOLLARS CTS
64.13WC20 (081)	7.0 EACH	FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 20-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS	3,800	8
65.11BR (082)	1,875.0 LBS.	FURNISHING, DELIVERING AND INSTALLING BANDS, RODS, WASHERS, ETC., COMPLETE, FOR RESTRAINING JOINTS	8	\$ 000,51
65.21PS (083)	36,090.0 L.F.	FURNISHING AND PLACING POLYETHYLENE SLEEVE Unit price bid shall not be less than: \$ 0.50	0 0	\$ 18,045 80
65.31FF (084)	320,990.0 S.F.	FURNISHING, DELIVERING AND PLACING FILTER FABRIC Unit price bid shall not be less than: \$ 0.10	0	32,099 00

06/08/2018 10:02AM BID PAGES	- NEW	NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION	Contract PIN Project ID	8502016WM0017C	
		DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN			
COL. 1 ITEM NUMBER (SEQUENCE NO.)	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	COL. 3 CLASSIFICATIONS	COL. 4 UNIT PRICES (IN FIGURES)	COL. 5 EXTENDED AMOUNTS (IN FIGURES)	
			DOLLARS	DOLLARS CTS	۱
65.51PC (085)	100.0 C.Y.	FURNISHING AND PLACING CAST-IN-PLACE CONCRETE CLASS 40 AND PRECAST CONCRETE CLASS 50	- 8 - 8	000/5/	
65.71SG (086)	3,008.0 C.Y.	FURNISHING, DELIVERING AND PLACING SCREENED GRAVEL OR SCREENED BROKEN STONE BEDDING	0 0	300 80	. ^
7.13 B (087)	24.0 MONTH	MAINTENANCE OF SITE Unit price bid shall not be less than: \$8,000.00	s 15,000 s	360,000 00	
7.19	210.0 L.F.	LOAD TRANSFER JOINT	100	2 2	

06/08/2018

Contract PIN

CTS 8 24,420 00 20,000 00 158,400100 EXTENDED AMOUNTS (IN FIGURES) 290 8502016WM0017C COL. 5 DOLLARS BED809 25 CTS 8 10 0 20,000 00 UNIT PRICES (IN FIGURES) o Q Project ID COL. 4 DOLLARS RODENT INFESTATION SURVEY AND MONITORING Unit price bid shall not be less than: \$ 16,500.00 NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION CLASSIFICATIONS Unit price bid shall not be less than: \$ 60.00 BAITING OF RODENT BAIT STATIONS DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN PEDESTRIAN STEEL BARRICADES RODENT BAIT STATIONS 29,000.0 1.0 2,640.0 2,640.0 L.F. EACH EACH L.S. ESTIMATE OF QUANTITIES ENGINEER'S COL. 2 (SEQUENCE NO.) ITEM NUMBER BID PAGES 10:02AM 7.88 AA 7.88 AB 7.88 AC (680) (091) (060) 7.36 (092)

Unit price bid shall not be less than: \$ 9.25

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION

Contract PIN

8502016WM0017C BED809 Project ID

	COL. 5 EXTENDED AMOUNTS (IN FIGURES)	* 102,960 80	140,000 °C	\$ 22,575	% 000 06° °
	PRICES	DOLLARS CTS	8 2	8	38
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	COL. 3 CLASSIFICATIONS	WATERBUG BAIT APPLICATIONS Unit price bid shall not be less than: \$65.00	FENCING Unit price bid shall not be less than: \$ 2.00	EXCAVATION OF BOULDERS IN OPEN CUT Unit price bid shall not be less than: \$75.00	ROCK EXCAVATION
	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	1,584.0 BLOCK	70,000.0 L.F.	301.0 C.Y.	300.0 C.Y.
CIO I MOES	COL. 1 ITEM NUMBER (SEQUENCE NO.)	7.88 AD (093)	70.31FN (094)	70.51EO (095)	70.61RE

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION

06/08/2018 10:02am BID PAGES	MEN	NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	Contract PIN Project ID	8502016WM0017C BED809
COL. 1 ITEM NUMBER (SEQUENCE NO.)	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	COL. 3 CLASSIFICATIONS	COL. 4 UNIT PRICES (IN FIGURES) DOLLARS CTS	EXTENDED AMOUNTS (IN FIGURES)
70.71SB (097)	300.0 C.Y.	STONE BALLAST Unit price bid shall not be less than: \$15.00	5	9
70.81CB (098)	15,058.0 C.Y.	CLEAN BACKFILL Unit price bid shall not be less than: \$30.00	8	451,740 00
70.91SW12 (099)	48,518.0 S.F.	FURNISHING AND PLACING SHEETING AND BRACING IN TRENCH FOR WATER MAIN PIPE 12-INCH IN DIAMETER AND LESS	0	481 58
70.91SW20 (100)	90,000.0 S.F.	FURNISHING AND PLACING SHEETING AND BRACING IN TRENCH FOR WATER MAIN PIPE 20-INCH IN DIAMETER	0	900

Contract PIN

8502016WM0017C

CTS 12,5ad as EXTENDED AMOUNTS (IN FIGURES) COL. 5 DOLLARS BED809 62 50 CIS UNIT PRICES (IN FIGURES) Project ID COL. 4 DOLLARS NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION CLASSIFICATIONS Unit price bid shall not be less than: \$62.50 DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN ADDITIONAL BRICK MASONRY 1,000.0 ADDITIONAL CONCRETE 200.0 C.Y. ENGINEER'S ESTIMATE OF QUANTITIES COL. 2 (SEQUENCE NO.) ITEM NUMBER COL. 1 06/08/2018 **BID PAGES** 10:02AM 73.11AB 73.21AC (101)

39,000 00

g

62,580100

62 | 50

g

20

ADDITIONAL EARTH EXCAVATION INCLUDING TEST PITS (ALL DEPTHS)

3,057.0

73.31AE0

(103)

C.Y.

Unit price bid shall not be less than: \$ 62.50

C.Y.

(102)

ADDITIONAL SELECT GRANULAR BACKFILL

2,600.0

73.41AG

(104)

ς.Υ.

Unit price bid shall not be less than: \$ 15.00

Unit price bid shall not be less than: \$ 20.00

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BURFALL OF DESIGN

Contract PIN

8502016WM0017C

BED809

AD CONSTRUCTION Project ID

	ı						
		S	CIS	8	8	9	8
	COL. 5	EXTENDED AMOUNTS (IN FIGURES)	DOLLARS	630	\$ 22,500 80	25,000	2,000
ż			CTS	0	8	8	8
	COL. 4	UNIT PRICES (IN FIGURES)	DOLLARS	0	% (SZ/) *	22 22	2,800
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	<u>col., 3</u>	CLASSIFICATIONS		HANDLING, TRANSPORTING AND DISPOSAL OF NON-HAZARDOUS CONTAMINATED SOIL	SAMPLING AND TESTING OF CONTAMINATED/FOTENTIALLY HAZARDOUS SOIL FOR DISPOSAL PURPOSES	HANDLING, TRANSPORTING AND DISPOSAL OF HAZARDOUS SOIL	HEALTH AND SAFETY
	COL. 2	ENGINEER'S ESTIMATE OF QUANTITIES		6,300.0 TONS	15.0 SETS	1,000.0 TONS	1.0 L.S.
	COL. 1	ITEM NUMBER (SEQUENCE NO.)		8.01 C1 (105)	8.01 C2 (106)	8.01 н (107)	8.01 S (108)

ot PIN 8502016WM0017C	COL. 4 COL. 5 UNIT PRICES (IN FIGURES) (IN FIGURES) LIARS CTS COL. 5 (IN FIGURES) CIS COL. 5 C	100 s 100 s	8 8 8	0 00 104 00	0 0/2 /2 80
Contract PIN Project ID	COL UNIT (IN FI	ν,	on on	v.	er-
NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	COL. 3 CLASSIFICATIONS	REMOVAL, TREATMENT, AND DISCHARGE/DISPOSAL OF CONTAMINATED WATER	SAMPLING AND TESTING OF CONTAMINATED WATER	SPECIAL CARE EXCAVATION AND RESTORATION FOR SIDEWALK WORK	SPECIAL CARE EXCAVATION AND RESTORATION FOR CURB WORK
NE	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	1.0 DAY	2.0 SETS	10,400.0 S.F.	1,200.0 L.F.
06/08/2018 10:02AM BID PAGES	COL. 1 ITEM NUMBER (SEQUENCE NO.)	8.01 W1 (109)	8.01 W2 (110)	8.02 A (111)	8.02 B

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10:02AM BID PAGES	NEV	NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	Project ID	BED809
COL. 1 ITEM NUMBER (SEQUENCE NO.)	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	COL, 3 CLASSIFICATIONS	COL. 4 UNIT PRICES (IN FIGURES) DOLLARS	COL. 5 EXTENDED AMOUNTS (IN FIGURES)
9.04 HW (113)	1.0 F.S.	ALLOWANCE FOR ANTI-FREEZE ADDITIVE IN CONCRETE PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 50,000.00	0,000,0	20,000
9.99	2.0 EACH	FLASHING ARROW BOARD	\$, 8,000 00	16,000 80
JB 108.1 (CABV)	9.0 EACH	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .1) Unit price bid shall not be less than: \$ 700.00	8	6,300 80
JB 108.1 (CE) (116)	121.0 EACH	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .1) Unit price bid shall not be less than: \$ 497.00	8 005	\$ 60,500

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NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION

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CTS 99,200100 ,400 80 g 31,280/80 EXTENDED AMOUNTS (IN FIGURES) 8,92 COL. 5 DOLLARS **BED809** CTS 1,200 100 1,550 100 8 00/00/1 UNIT PRICES (IN FIGURES) Project ID COL. 4 DOLLARS w, UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .1) UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .2) UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .2) UTILITIES CROSSING TRÊNCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .3) Unit price bid shall not be less than: \$ 1,550.00 Unit price bid shall not be less than: \$ 1,097.00 Unit price bid shall not be less than: \$2,517.00 Unit price bid shall not be less than: \$886.00 CLASSIFICATIONS DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN COL. 3 50.0 EACH EACH EACH 11.0 12.0 EACH ENGINEER'S ESTIMATE OF QUANTITIES (SEQUENCE NO.) ITEM NUMBER JB 108.1 (VERZ) JB 108.2 (VERZ) COL. 1 JB 108.2 (CE) JB 108.3(CE) BID PAGES (1117)(118)(119)(120)

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

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Project ID

BED809

		DIVISION OF INTRASTRUCTURE - BUREAU OF DESIGN			
COL. 1	COL. 2	COL. 3	COL. 4	COL. 5	
ITEM NUMBER	ENGINEER'S ESTIMATE OF	CLASSIFICATIONS	UNIT PRICES (IN FIGURES)	EXTENDED AMOUNTS (IN FIGURES)	S
(SECOENCE NO.)			DOLLARS CTS	DOLLARS	CIS
JB 108.3 (VERZ) (121)	18.0 EACH	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .3)	1500 00	27,000 80	8
		Unit price bid shall not be less than: \$ 1,322.00	w-	*	,
JB 108.4 (VERZ)	3.0 EACH	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .4)	2,500 100	2,500 %	8
		Unit price bid shall not be less than: \$ 1,622.00		,	·
JB 108.5 (VERZ)	3.0 EACH	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .5)	2,560 &	7,500	8
		Unit price bid shall not be less than: \$ 1,983.00	\$	s	
JB 108.6 (VERZ)	3.0 EACH	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .6)	000052	00 005 2	8
		Unit price bid shall not be less than: \$2,313.00	v.		1

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8502016WM0017C Contract PIN Project ID

CIS d g Q 664 80 EXTENDED AMOUNTS (IN FIGURES) 9,600 8502016WM0017C 12,216 DOLLARS COL. **BED809** 3 1,666 00 CTS 3,054 120 3,200/8 Contract PIN UNIT PRICES (IN FIGURES) 2,500 Project ID COL. 4 DOLLARS UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .2) UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .3) UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .4) UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .3) Unit price bid shall not be less than: \$ 1,345.00 Unit price bid shall not be less than: \$ 3,054.00 Unit price bid shall not be less than: \$ 1,666.00 Unit price bid shall not be less than: \$ 2,007.00 NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION CLASSIFICATIONS DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN COI. 3 EACH EACH EACH 3.0 EACH ENGINEER'S ESTIMATE OF QUANTITIES COL. 2 (SEQUENCE NO.) ITEM NUMBER JB 109.2 (VERZ) JB 109.3 (VERZ) JB 109.4 (VERZ) COL. 1 JB 109.3 (CE) 06/08/2018 **BID PAGES** 10:02AM (129)(132)(130)(131)

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NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DAYSION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN

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g P CTS EXTENDED AMOUNTS (IN FIGURES) 7,524 90301 COL. 5 DOLLARS CTS 250818 250 0 \mathcal{B} 3,010 100 UNIT PRICES (IN FIGURES) 266 COL. 4 DOLLARS UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .5) UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .6) SPECIAL CARE EXCAVATION AND BACKFILLING SPECIAL CARE EXCAVATION AND BACKFILLING Unit price bid shall not be less than: \$ 2,508.00 Unit price bid shall not be less than: \$3,010.00 Unit price bid shall not be less than: \$ 235.00 Unit price bid shall not be less than: \$ 167.00 CLASSIFICATIONS 3.0 EACH EACH 98.0 187.0 C.Y. C.Y. ENGINEER'S ESTIMATE OF QUANTITIES COL. 2 (SEQUENCE NO.) ITEM NUMBER JB 109.5 (VERZ) JB 109.6 (VERZ) JB 300 (VERZ) JB 300 (CE) (133)(134)(135)(136)

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Project ID NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

COL. 1	COL. 2	COL. 3	COL. 4	COL. 5	
ITEM NUMBER (SEQUENCE NO.)	ENGINEER'S ESTIMATE OF QUANTITIES	CLASSIFICATIONS	UNIT PRICES (IN FIGURES)	EXTENDED AMOUNTS (IN FIGURES)	S.L.
			DOLLARS	S DOLLARS	CILS
JB 306(CE)	13.0 C.Y.	EXCAVATION REQUIRING SPECIAL CARE ON ACCOUNT OF PARALLELING UTILITY FACILITIES	~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~	% 6,500 l %	8
		Unit price bid shall not be less than: \$ 235.00			<u> </u>
JB 330E.1 (CE)	256.0 L.F.	SUPPORT AND PROTECTION OF ELECTRIC AND GAS FACILITIES DURING EXCAVATION OF CITY TRENCH WHEN FACILITIES LIE WITHIN TRENCH LIMITS (TYPE .1)	/30 80	 	8
		Unit price bid shall not be less than: \$ 25.00	S	\$	_
JB 330E.2(CE)	203.0 L.F.	SUPPORT AND PROTECTION OF ELECTRIC AND GAS FACILITIES DURING EXCAVATION OF CITY TRENCH WHEN FACILITIES LIE WITHIN TRENCH LIMITS (TYPE .2)	8	 	<u> </u>
		Unit price bid shall not be less than: \$ 30.00		\$ 12.7 S) -
JB 330E.3(CE)	35.0 L.F.	SUPPORT AND PROTECTION OF ELECTRIC AND GAS FACILITIES DURING EXCAVATION OF CITY TRENCH WHEN FACILITIES LIE WITHIN TRENCH LIMITS (TYPE .3)	8 05/	2,250	8
		Unit price bid shall not be less than: \$35.00	\$	*	_

NEW OWN CHIT DEPORTMENT OF DESIGN AND CONSTRUCTION TITEM NUMBER SECURATION (SECURATION (SECU	06/08/2018			Contract PIN	8502016WM0017C	17C
ENCINEER'S ENCINEER'S ENCINEER'S ENCINEER'S GOM. 4 COM. 3 COM. 3 COM. 4 COM. 4 COM. 3 COM. 13 COM. 4 COM. 3 COM. 15 CHASTETCATIONS UNIT PRICES CHASTETCATIONS DOLLARS (IN FIGURES)	02AM PAGES	NEW	WYORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	Project ID	BED809	
ESTIMATE OF GLASSIFICATIONS GOANTITIES GOANTITIES 100.0 100.0 COMMUNICATION PARTICITY OF CHANTON (S) REQUEST 1. F. THE TRENCH SET STATIST OF PERATOR (S) REQUESTS 1. F. THE TRENCH SET STATIST OF PERATOR (S) REQUESTS 1. F. THE TRENCH SHEETING BE MODIFIED 2. S. S. C.	COL. 1	i +	COL. 3	1 1	1 1	
100.0 COMMUNICATION PER COMMUNICATION COMMUNICATION OF CITY TRENCH WHEN FACILITY OPERATOR (S) REQUEST 1.0. COMMUNICATIONS FACILITY OPERATOR (S) REQUEST 1.0. COMMUNICATION FACILITY OPERATOR (S) REQUESTS 1.0. COMMUNICATION FACILITY OPERATOR (S) REQUESTS 1.0. COMMUNICATION FACILITY OPERATOR (S) REQUESTS 1.1. COMMUNICATION FACILITY OPERATOR (S) REQUESTS 1.1. COMMUNICATION FACILITY OF SAID 1.2. SAID ON THE TRENCH SHEET OF SAID 1.3. COMMUNICATION FACILITY SAID OF SAID 1.4. SAID ON THE TRENCH SHEET OF SAID 1.5. SAID ON THE TRENCH SHEET OF SAID 1.6. SAID ON THE TRENCH SHEET SAID 1.7. SAID ON THE TRENCH SHEET SAID 1.8. SAID ON THE TRENCH SHEET SAID 1.9. SAID ON THE TRENCH SHEET SAID 1.1. SAID ON THE TRENCH SHEET SAID 1.1. SAID SAID SAID SAID SAID SAID SAID SAID	ITEM NUMBER	ENGINEER'S ESTIMATE OF	CLASSIFICATIONS	UNIT PRICES (IN FIGURES)	EXTENDED (IN FIG	AMOUNT'S URES)
100.0 COMMUNICATION FROM STATE STATE TO THE TRENCH AND PROTECTION OF CITY TRENCH WHEN PACILITYES LIE IN OR CLOSE CITY TRENCH WHEN PACILITY ES LIE IN OR CLOSE 1/5 2/5 2/6						CIS
100.0 COMMUNICATIONS FACILITY OPERATOR (S) REQUEST L.F. THE TRENCH BE WIDENED 100.0 COMMUNICATION FACILITY OPERATOR (S) REQUESTS 100.0 COMMUNICATION FACILITY OPERATOR (S) REQUESTS L.F. THE TRENCH/SHEETING BE MODIFIED 1.0 OVERHEAD ACCOMMODATION, PROTECTION OF OVERHEAD ACCOMMODATION, PROTECTION OF L.S. APPURTENANCES. Unit price bid shall not be less than: \$ 50,220.00 L.S. APPURTENANCES. Unit price bid shall not be less than: \$ 50,220.00	330T (VERZ) 1)	904.0 L.F.	SUPPORT AND PROTECTION OF COMMUNICATION UTILITY FACILITIES DURING EXCAVATION OF CITY TRENCH WHEN FACILITIES LIE IN OR CLOSE PROXIMITY TO TRENCH LIMITS	18		960
100.0 THE TRENCH BE WIDENED 1.6. Unit price bid shall not be less than: \$232.00 1.0. OVERHEAD ACCOMMODATION, PROTECTION OF 1.0 OVERHEAD ACCOMMODATION, PROTECTION OF 1.0 OVERHEAD EXCLITITES, POLES AND 2. 60,220 00 3. 60,220 4. 60,220 4. 60,220 4. 60,220			Unit price bid shall not be less than: \$ 115.00	w		<u> </u>
OT2.2 (VERZ) 100.0 COMMUNICATION FACILITY OPERATOR (S) REQUESTS L. F. Unit price bid shall not be less than: \$232.00 Unit price bid shall not be less than: \$326.00 L. S. APPURTENANCES. Unit price bid shall not be less than: \$60,220.00 S. S. S. S. S. S. S. S. S.	330T2.1 (VERZ) 2)	100.0 L.F.	OPERATOR(S)	7.2.7		8
OT2.2 (VERZ) Lo. F. Unit price bid shall not be less than: \$326.00 Lo. S. APPURTENANCES. Unit price bid shall not be less than: \$60,220.00 S. S. S. S. S. S. S. S. S.			Unit price bid shall not be less than: \$ 232.00		en en	<u> </u>
Unit price bid shall not be less than: \$326.00 1.0 OVERHEAD ACCOMMODATION, PROTECTION OF OVERHEAD FACILITIES, POLES AND L.S. APPURTENANCES. Unit price bid shall not be less than: \$60,220.00	OT2.2	100.0 L.F.	COMMUNICATION FACILITY OPERATOR(S) REQUESTS THE TRENCH/SHEETING BE MODIFIED	9 762		1
1.0 OVERHEAD ACCOMMODATION, PROTECTION OF L.S. APPURTENANCES. Unit price bid shall not be less than: \$60,220.00			Unit price bid shall not be less than: \$ 326.00		w-	1
	350 T/TW (VERZ)	1.0 L.S.	EAD ACCOMMODATION, EAD FACILITIES, POI TENANCES.			1
			Unit price bid shall not be less than: \$ 60,220.00			+-

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN Project ID

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		DIVISION OF INTRASTRUCTURE - BUREAU OF DESIGN			
COL. 1	COL. 2	<u>con. 3</u>	COL. 4	COL. 5	
ITEM NUMBER (SEQUENCE NO.)	ENGINEER'S ESTIMATE OF QUANTITIES	CLASSIFICATIONS	UNIT PRICES (IN FIGURES)	EXTENDED AMOUNTS (IN FIGURES)	ω
			DOLLARS CTS	DOLLARS	CIIS
JB 350 (CABV) (145)	1.0 L.S.	OVERHEAD ACCOMMODATION, PROTECTION OF OH FACILITIES & APPURTENANCES	6000	80 000	
		Unit price bid shall not be less than: \$80,000.00		2)
JB 350 (CE) (146)	1.0 L.S.	OVERHEAD ACCOMMODATION, PROTECTION OF OH FACILITIES & APPURTENANCES	121,090,80	000 121	&
		Unit price bid shall not be less than: \$ 121,000.00	\$	3 /3/ 5)
JB 351 (CE) (147)	21.0 EACH	INSTALL AND REMOVE "A" FRAME ON UTILITY POLES	1,67	76076	&
		Unit price bid shall not be less than: \$ 1,187.00	30/1/2	3///2)
JB 400 (CE)	335.0 C.Y.	TEST PITS FOR UTILITY FACILITIES	8	67.000	{
		Unit price bid shall not be less than: \$ 200.00	3		?

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN

8502016WM0017C BED809 Project ID

TEM NUMBER ENGINEER'S (SEQUENCE NO.) QUANTITIES JB 400 (VERZ) 20. (149) C.Y JB 401 (CABV) 30. (150) C.Y				
MBER ENGINEE ESTIMATI	<u>2</u>	COL. 4	COL. 5	
	CLASSIFICATIONS OF ES	UNIT PRICES (IN FIGURES)	EXTENDED AMOUNTS (IN FIGURES)	
		DOLLARS	DOLLARS	CTS
	20.0 TEST PITS FOR UTILITY FACILITIES			
	C.Y.	450 8	8,000/	δ
	Unit price bid shall not be less than: \$218.00			1
	30.0 TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITY C.Y.	180 0	5,400 00	8
	Unit price bid shall not be less than: \$ 180.00	\$	w.	i
JB 401 (CE) 199	199.0 TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITY C.Y.	767 0	168 188	1 8
	Unit price bid shall not be less than: \$ 242.00	2/77	02,00)
JB 401 (VERZ) 751 (152) C.	751.0 TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITY C.Y.	778 80	acc /C/	6
	Unit price bid shall not be less than: \$ 228.00	2	32 1/1 5	}

DID PAGES DEW YORK CITY DEPARTMENT OF DEPARTMENT OF DEPARTMENT OF DEPARTMENT OF TELL COL. 2		Contract PIN	8502016WM0017C	
EER ENGINEER'S ESTIMATE OF QUANTITIES ANO.) (Z) 50.0 (C.Y.) (C.Y.) (C.Y.) (C.Y.) (C.Y.) (C.Y.)	V YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	Project ID	BED809	
BER ENGINEER'S ESTIMATE OF QUANTITIES QUANTITIES (Z) 50.0 C.Y. (C.Y. (C.	COL. 3	COL. 4	COL. 5	
(Z) 50.0 C.Y. 10.0 340.0 L.F.	CLASSIFICATIONS	UNIT PRICES (IN FIGURES)	EXTENDED AMOUNTS (IN FIGURES)	တ
(Z) 50.0 C.Y. () 10.0 C.Y. 340.0 L.F.		DOLLARS	DOLLARS	CTS
10.0 C.Y. 340.0 L.F.	SPECIAL CARE PAVEMENT EXCAVATION FOR ADJUSTMENT OF TELECOMMUNICATION FACILITIES CONNECTED TO OR NEAR THE BASE PAVEMENT	8	3 880	8
10.0 C.Y. 340.0 I.F.	Unit price bid shall not be less than: \$ 76.00	w.	\$	
340.0 L.F.	SPECIAL CARE PAVEMENT EXCAVATION FOR ADJUSTMENT OF TELECOMMUNICATION FACILITIES CONNECTED TO OR NEAR THE BASE PAVEMENT		1,650	8
340.0 L.F.	Unit price bid shall not be less than: \$ 165.00		\$	†
210.0	EXISTING CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT	7 - 8	74 140	8
210.0	Unit price bid shall not be less than: \$ 71.00	<u>-</u>	22//2)
(156) L.F. IN FINAL POSIT	G CONCRETE ENCASED CONDUITS PLACED AL POSITION WITH CONCRETE ENCASEMENT	76 8	15 960 80	8
Unit price bid shall n	Unit price bid shall not be less than: \$ 76.00	or.		

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COL. 1 ITEM NUMBER (SEQUENCE NO.)	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	COL. 3 CLASSIFICATIONS	COL. 4 UNIT PRICES (IN FIGURES) DOLLARS CTS	COL. 5 EXTENDED AMOUNTS (IN FIGURES) DOLLARS	l si
JB 402.2(CE)	1,150.0 L.F.	EXISTING NON-CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT Unit price bid shall not be less than: \$44.00	8 14 8	\$ 50,620 °	9
JB 402T.1 (VERZ)	200.0 L.F.	EXISTING CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT Unit price bid shall not be less than: \$ 47.00	- 1 1 8 1 1 1 8 1 1 1 1 8 1	9,480 8	1 0.
JB 402T.1A(VERZ)	2,985.0 L.F.	EXISTING CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT Unit price bid shall not be less than: \$53.00	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	\$ 158,205 &	Q.
JB 402T.2 (VERZ)	200.0 L.F.	EXISTING NON-CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT Unit price bid shall not be less than: \$39.00	12 8	s 10,000 &	ا ی

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NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN 8502016WM0017C Project ID BED809

COL. 1	COL. 2	COL. 3	COL. 4	COL. 5	
ITEM NUMBER	ENGINEER'S ESTIMATE OF	CLASSIFICATIONS	UNIT PRICES (IN FIGURES)	EXTENDED AMOUNTS (IN FIGURES)	m
			DOLLARS CTS	DOLLARS	CIS
JB 402T.2A(CABV)	90.0 L.F.	EXISTING NON-CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	28 82	2,520	8
		Unit price bid shall not be less than: \$ 28.00			I
JB 402T.2A(VERZ)	5,274.0 L.F.	EXISTING OCCUPIED NON-CONRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	18 00	253 152 00	8
		Unit price bid shall not be less than: \$ 44.00			ı
JB 402T.VIA(VERZ) (163)	835.0 L.F.	EXISTING VACANT CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	14 180	36.740 80	8
		Unit price bid shall not be less than: \$41.00		+	1
JB 402T.V2A(VERZ) (164)	1,707.0 L.F.	EXISTING VACANT NON-CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT		R 282 W	4
		Unit price bid shall not be less than: \$ 26.00	w.	\$	1

28 3,000 00 31,140 00 8 CIS EXTENDED AMOUNTS (IN FIGURES) 8502016WM0017C DOLLARS **BED809** 451804 8 346 8 325100 CIS UNIT PRICES (IN FIGURES) Contract PIN Project ID COL. 4 DOLLARS ₩ UTILITY FACILITIES WITH TOTAL DEPTHS EQUAL TO OR GREATER THAN FIVE FEET, REQUIRING SHEETING TRENCH EXCAVATION FOR INSTALLATION OF UTILITY FACILITIES WITH TOTAL DEPTHS LESS CONSTRUCTION FIELD SUPPORT - SMALL SIZE CREW (TYPE .2) TRENCH EXCAVATION FOR INSTALLATION OF Placing steel protection plates for utilites facilites Unit price bid shall not be greater than: \$ 346.00 NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION Unit price bid shall not be less than: \$ 408.59 Unit price bid shall not be less than: \$ 325.00 Unit price bid shall not be less than: \$ 14.85 CLASSIFICATIONS DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN THAN FIVE FEET 200.0 115.0 200.0 90.0 C.Y. C.Y. CREW/HR S ESTIMATE OF QUANTITIES ENGINEER'S COL. 2 (SEQUENCE NO.) ITEM NUMBER JB 403T.2 (VERZ) JB 405.1 (VERZ) JB 405.2 (VERZ) COL. 1 JB 450.2 (CE) 06/08/2018 BID PAGES 10:02AM (168)(165)(166)

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COL. 1	COL. 2	COT. 3	COL. 4	COL. 5
ITEM NUMBER (SEQUENCE NO.)	ENGINEER'S ESTIMATE OF QUANTITIES	CLASSIFICATIONS	UNIT PRICES (IN FIGURES)	EXTENDED AMOUNTS (IN FIGURES)
			DOLLARS	DOLLARS
JB 450.3(CE)	280.0 CREW/HR	CONSTRUCTION FIELD SUPPORT - MEDIUM SIZE CREW (TYPE .3)	@ 0501	294000
	·	Unit price bid shall not be less than: \$ 975.00		us us
JB 500 (CE)	6,230.0 L.F.	REMOVAL OF ABANDONED UTILITY CONDUITS (NON-CONCRETE ENCASED)	, ,	Cb9'81
		Unit price bid shall not be less than: \$3.00	<u> </u>	
JB 500 (VERZ) (171)	729.0 L.F.	REMOVAL OF ABANDONED UTILITY CONDUITS (NON-CONCRETE ENCASED)	00 7	00 7166
		Unit price bid shall not be less than: \$ 4.00	vr vr	
JB 501(CE) (172)	146.0 C.Y.	REMOVAL OF ABANDONED MASONRY FOR UTILITY FACILITIES	701.00	44 206.00
		Unit price bid shall not be less than: \$ 304.00	% ~) X 97 / / s

06/08/2018 BID PAGES 10:02AM

8 8 CTS g 13,200 100 EXTENDED AMOUNTS (IN FIGURES) 620 2,200 6,600 8502016WM0017C COL. 5 DOLLARS BED809 8 23/180 8 B CTS UNIT PRICES (IN FIGURES) Contract PIN 33 99 Project ID COL. 4 DOLLARS CONDUITS INSTALL 2 EACH 2", 4" OR 1-1/4" QUAD CONDUITS (PVC OR STEEL) IN ANY COMBINATION CONDUITS REMOVAL OF ABANDONED MASONRY FOR UTILITY FACILITIES INSTALL 12 EACH 4" OR 1-1/4" QUAD (PVC OR STEEL) IN ANY COMBINATION NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION INSTALL 6 EACH 4" OR 1-1/4" QUAD (PVC OR STEEL) IN ANY COMBINATION Unit price bid shall not be less than: \$ 231.00 Unit price bid shall not be less than: \$ 33.00 Unit price bid shall not be less than: \$ 66.00 Unit price bid shall not be less than: \$ 11.00 CLASSIFICATIONS DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN COL. 3 200.0 20.0 200.0 200.0 C.Y. L.F. L.F. L.F. ENGINEER'S ESTIMATE OF QUANTITIES COL. 2 (SEQUENCE NO.) JB 603T.4 (VERZ) JB 603T.2 (VERZ) JB 603T.6 (VERZ) ITEM NUMBER COL. 1 JB 501 (VERZ) (173)(174)(175)(176)

06/08/2018

YORK CITY DEPARTMENT OF DESIGN AND CONSTRI

Contract PIN 85

8502016WM0017C

00/0/1/ CIS 600 80 2,110 80 EXTENDED AMOUNTS 2,954 (IN FIGURES) COI. 5 DOLLARS BED809 190 80 160 80 8 8 CLS UNIT PRICES (IN FIGURES) Project ID DOLLARS MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE (34" TO UNDER 41" WIDTH) ADJUSTMENT OF UTILITY HARDWARE (34" TO UNDER 41" WIDTH) NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION Unit price bid shalf not be less than: \$ 490.00 Unit price bid shall not be less than: \$211.00 Unit price bid shall not be less than: \$ 150.00 Unit price bid shall not be less than: \$211.00 CLASSIFICATIONS DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN REPAIR TO UTILITY STRUCTURES REPAIR TO UTILITY STRUCTURES EACH EACH 14.0 C.Y. C.Y. ESTIMATE OF QUANTITIES ENGINEER'S COL. 2 (SEQUENCE NO.) ITEM NUMBER JB 636 EE (VERZ) JB 636 ME (VERZ) JB 636 R (VERZ) COL. 1 JB 636 R(CE) BID PAGES 10:02AM (177)(178)(180)(179)

06/08/2018

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NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION

Contract PIN

8502016WM0017C **BED809** Project ID

ð d CLS g EXTENDED AMOUNTS 28,500 (IN FIGURES) 12,000 1,900 DOLLARS 2,576100 8 9 CIS 8 UNIT PRICES (IN FIGURES) 087/ COL. 4 \propto DOLLARS INSTALLATION OF FIELD CONSTRUCTED UTILITIES STRUCTURES. SPECIAL MODIFICATION OF WORK METHODS TO ACCOMMODATE/PROTECT UNDERGROUND FACILITIES WITH LIMITED COVER SPECIAL MODIFICATION OF WORK METHODS TO ACCOMMODATE/PROTECT UNDERGROUND FACILITIES WITH LIMITED COVER BREAK OUT AND REMOVE UTILITY STRUCTURE Unit price bid shall not be greater than: \$95.00 Unit price bid shall not be less than: \$2,576.00 Unit price bid shall not be less than: \$ 353.00 Unit price bid shall not be less than: \$95.00 CLASSIFICATIONS DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN COL. 3 10.0 10.0 20.0 C.Y. 300.0 C.Y. ENGINEER'S ESTIMATE OF QUANTITIES COL. 2 (SEQUENCE NO.) ITEM NUMBER JB 638 N (VERZ) JB 638 R (VERZ) COL. 1 JB 700 (CABV) JB 700 (VERZ) BID PAGES (183)(184)(182)(181)

Contract PIN

8502016WM0017C BED809

Project ID

10:02AM BID PAGES	NEW	NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	Project ID	BED809
<u>cor. 1</u>	COL. 2	COL. 3	COL. 4	COL. 5
ITEM NUMBER	ENGINEER'S ESTIMATE OF	CLASSIFICATIONS	UNIT PRICES (IN FIGURES)	EXTENDED AMOUNTS (IN FIGURES)
(SEQUENCE NO.)	QUANTILES		DOLLARS CTS	DOLLARS
JB 710.1(CE)	15.0 L.F.	REMOVAL OF ABANDONED UTILITY STEEL/CAST IRON/ PLASTIC PIPES, UP TO AND INCLUDING 12" DIAMETER PIPE	13 80	3 56/
		Unit price bid shall not be less than: \$ 13.00	,	
JB 710.1(VERZ) (186)	100.0 L.F.	REMOVAL OF ABANDONED UTILITY STEEL/CAST IRON/ PLASTIC PIPES, UP TO AND INCLUDING 12" DIAMETER PIPE	(2 60	00 001
		Unit price bid shall not be less than: \$ 12.00		
JB 711 (VERZ) (187)	200.0 L.F.	USE SHEETING LINE AS FORM		
		Unit price bid shall not be less than: \$ 6.00		8 7 /
JB 798 (VERZ) (188)	200.0 L.F.	MODIFICATION OF NON-CONCRETE YOKE TROLLEY STRUCTURES REMOVAL WHEN CROSSING UTILITY FACILITIES	8021	20 00 OX
		Unit price bid shall not be less than: \$ 150.00	\$	4

06/08/2018 10:02AM BID PAGES	NBW L	NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	Contract PIN Project ID	8502016WM0017C BED809
COL. 1 ITEM NUMBER (SEQUENCE NO.)	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	COL. 3 CLASSIFICATIONS	COL. 4 UNIT PRICES (IN FIGURES) DOLLARS CIS	EXTENDED AMOUNTS (IN FIGURES) DOLLARS CTS
JB 799 (VERZ) (189)	200.0 L.F.	MODIFICATION OF NON-CONCRETE TROLLEY STRUCTURES REMOVAL PARALLEL TO UTILITY FACILITIES Unit price bid shall not be less than: \$ 32.00	 	6,400,000
JB 800 (VERZ) (190)	200.0 L.F.	MODIFICATION OF CONCRETE YOKE TROLLEY STRUCTURES REMOVAL WHEN CROSSING UTILITY FACILITIES Unit price bid shall not be less than: \$ 241.00	241 80	48,200 80
JB 801 (VERZ) (191)	200.0 L.F.	MODIFICATION OF CONCRETE YOKE TROLLEY STRUCTURES REMOVAL PARALLEL TO UTILITY FACILITIES Unit price bid shall not be less than: \$ 76.00	2/2 %	\$ 15,200 %
JB 803 (VERZ) (192)	200.0 L.F.	LINE CUT BY PNEUMATIC TOOLS IN LIEU OF SAW CUT ASSOCIATED WITH ROADWAY REMOVAL OPERATIONS (LINE CUT ANY COMBINATION OF ASPHALT AND CONCRETE ROADWAY) Unit price bid shall not be less than: \$ 15.80	S S	3,160 80

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION

DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN

Project ID

8502016WM0017C

CTS 804 8 265,623.75 150,00d.00 EXTENDED AMOUNTS (IN FIGURES) COL. 5 DOLLARS 8 crs265, 625 75 150,000100 UNIT PRICES (IN FIGURES) DOLLARS GAS SERVICES CROSSING TRENCHES AND/OR EXCAVATIONS (86.01) PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 150,000.00 PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 265,625.75 Unit price bid shall not be less than: \$ 265,625.75 Unit price bid shall not be less than: \$ 150,000.00 EXTRA UTILITY WORK COSTS ALLOWANCE EXTRA UTILITY WORK COSTS ALLOWANCE PLACING RUBBER SHEETS FOR UTILITY CLASSIFICATIONS Unit price bid shall not be less than: \$ 3.00 FACILITIES EACH 1.0 123.0 168.0 S.F. ENGINEER'S ESTIMATE OF QUANTITIES COL. 2 (SEQUENCE NO.) ITEM NUMBER COL. 1 JB 900 (VERZ) UTL-6.01.8 JB 850(CE) JB 900 (CE) (196)(193)(194)(195)

465180

Unit price bid shall not be less than: \$ 465.00

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN Project ID

8502016WM0017C

06/08/2018 10:02AM BID PAGES	NEW	NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	Contract PIN Project ID	8502016WMD01/C BED809
COL. 1 ITEM NUMBER (SEQUENCE NO.)	COL. 2 ENGINEER'S ESTIMATE OF	COL. 3 CLASSIFICATIONS	COL. 4 UNIT PRICES (IN FIGURES) DOLLARS CTS	COL. 5 EXTENDED AMOUNTS (IN FIGURES) DOLLARS CTS
UTL-6.01.9 (197)	91.0 EACH	GAS MAIN CROSSING WATER MAIN UP TO 20" IN DIAMETER (S6.01) Unit price bid shall not be less than: \$485.00	485 00	44, 135 an
UTL-6.03 (198)	7,700.0	REMOVAL OF ABANDONED GAS FACILITIES. ALL SIZES. (S6.03) Unit price bid shall not be less than: \$15.00		1/5,580 80
UTL-6.03.1 (199)	600.0 L.F.	REMOVAL OF ABANDONED GAS FACILITIES WITH POSSIBLE COAL TAR WRAP. ALL SIZES. (FOR NATIONAL GRID WORK ONLY) (S6.03) Unit price bid shall not be less than: \$25.00	- SZ	ce 100°,51
UTL-6.04	120.0 EACH	ADJUST HARDWARE TO GRADE USING SPACER RINGS/ADAPTORS. (STREET REPAVING.) (S6.04) Unit price bid shall not be less than: \$35.00	λ	% 200 / _s

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06/08/2018

10:02AM

BID PAGES

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN

Project ID

IN 8502016WM0017C

BED809

CTS 380 86 B EXTENDED AMOUNTS 25,880 (IN FIGURES) DOLLARS 180 180 8 CIS 8 8 29 UNIT PRICES (IN FIGURES) 22 COL. 4 DOLLARS SPECIAL CARE EXCAVATION AND BACKFILLING FOR TRANSMISSION MAINS (TRANSMISSION MAIN IS DESCRIBED AS ANY GAS MAIN WITH A MAOP GREATER THAN 124-PSIG) (S6.06A) SPECIAL CARE EXCAVATION AND BACKFILLING (S6.06) ADJUST HARDWARE TO GRADE BY RESETTING. TEST PITS FOR GAS FACILITIES (S6.07) Unit price bid shall not be less than: \$ 180.00 Unit price bid shall not be less than: \$ 230.00 Unit price bid shall not be less than: \$ 100.00 Unit price bid shall not be less than: \$65.00 CLASSIFICATIONS (ROAD RECONSTRUCTION.) (\$6.05) COL, 3 20.02 EACH 250.0 190.0 2,500.0 C.Y. C.Y. C.Y. ESTIMATE OF QUANTITIES ENGINEER'S COL. 2 (SEQUENCE NO.) ITEM NUMBER UTL-6.06A UTL-6.05 UTL-6.06 UTL-6.07 (201) (202)(203)(204)

Contract PIN

Project ID

8502016WM0017C BED809

		S	CTS		00	
BED809	COL. 5	EXTENDED AMOUNTS (IN FIGURES)	DOLLARS		100,000.00	
			CTS		00	
Project ID	COL. 4	(IN FIGURES)	DOLLARS		100,000 00	
NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN	COL. 3 CLASSIFICATIONS			1.0 GAS INTERFERENCES AND ACCOMMODATIONS .S.	PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 100,000.00	
NE	COL. 2 ENGINEER'S	ESTIMATE OF QUANTITIES		1.0 F.S.		
BID PAGES	COL. 1 ITEM NUMBER	(SEQUENCE NO.)	DESC. SOOTHILL	(205)		

Contract PIN

8502016WM0017C BED809 Project ID

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

	COL. 5	EXTENDED AMOUNTS (IN FIGURES)	DOLLARS	,24,45,334 08		, 978,093 36	44 12406452	
		s)	CTS	:1				
	COL. 4	UNIT PRICES (IN FIGURES)	DOLLARS	SUB-TOTAL:	·	SUB-TOTAL	TOTAL BID PRICE:	
	COL. 3 CLASSIFICATIONS				MOBILIZATION PRICE BID SHALL NOT EXCEED 4% OF THE ABOVE SUB-TOTAL			
	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES				1.0	LUMPSUM		i
	COL. 1	ITEM NUMBER (SEQUENCE NO.)			6.39 A	(206)		

PLEASE BE SURE A LEGIBLE BID IS ENTERED FOR EACH ITEM.

THE BIDDER SHALL INSERT THE TOTAL BID PRICE IN THE BID FORM ON PAGE C-4 OF THIS BID BOOKLET.

BID FORM THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

BID FOR FURNISHING ALL LABOR AND MATERIAL NECESSARY AND REQUIRED FOR:

PROJECT ID: BED809 REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET Together With All Work Incidental Thereto BOROUGH OF BROOKLYN CITY OF NEW YORK

Name of Bidder: Triumph Const Corp
Date of Bid Opening: (a) 14 15
Bidder is: (Check one, whichever applies) Individual () Partnership () Corporation ()
Place of Business of Bidder: 1354 Seviely and Brong M 10474
Bidder's Telephone Number: 118-801-6060 Fax Number: 118-861-660
Bidder's E-Mail Address: DCUZZI @ Triumph Constructionny. Com
Residence of Bidder (If Individual):
If Bidder is a Partnership, fill in the following blanks: Names of Partners Residence of Partners
If Bidder is a Corporation, fill in the following blanks: Organized under the laws of the State of New York
Name and Home Address of President: 45 Windstell Oval Carlo UTU Pru Rahelle NY 10805
Name and Home Address of Secretary:
Name and Home Address of Treasurer:
CITY OF NEW YORK C-1 BID BOOKLET

MARCH 2017

DEPARTMENT OF DESIGN AND CONSTRUCTION



The above-named Bidder affirms and declares:

- 1. The said bidder is of lawful age and the only one interested in this bid; and no person, firm or corporation other than hereinbefore named has any interest in this bid, or in the Contract proposed to be taken.
- 2. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief: (1) the prices in this bid have been arrived at independently without collusion, consultation, communication or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor; (2) unless otherwise required by law, the prices quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and (3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.
- 3. No councilman or other officer or employee or person whose salary is payable in whole or in part from the City Treasury is directly or indirectly interested in this bid, or in the supplies, materials, equipment, work or labor to which it relates, or in any of the profits thereof.
- 4. The bidder is not in arrears to the City of New York upon debt or contract or taxes, and is not a defaulter, as surety or otherwise, upon any obligation of the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York or State of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except as set forth on the Affirmation included as page C-6 of this Bid Booklet.

The bidder hereby affirms that it has paid all applicable City income, excise and other taxes for all years it has conducted business activities in New York City.

5. The bidder, as an individual, or as a member, partner, director or officer of the bidder, if the same be a firm, partnership or corporation, executes this document expressly warranting and representing that should this bid be accepted by the City and the Contract awarded to him, he and his subcontractors engaged in the performance: (1) will comply with the provisions of Section 6-108 of the Administrative Code of the City of New York and the non-discrimination provisions of Section 220a of the New York State Labor Law, as more expressly and in detail set forth in the Agreement; (2) will comply with Section 6-109 of the Administrative Code of the City of New York in relation to minimum wages and other stipulations as more expressly and in detail set forth in the Agreement; (3) have complied with the provisions of the aforesaid laws since their respective effective dates, and (4) will post notices to be furnished by the City, setting forth the requirements of the aforesaid laws in prominent and conspicuous places in each and every plant, factory, building and structure where employees engaged in the performance of the Contract can readily view it, and will continue to keep such notices posted until the supplies, materials and equipment, or work labor and services required to be furnished or rendered by the Contractor have been finally accepted by the City. In the event of any breach or violation of the foregoing, the Contractor may be subject to damages, liquidated or otherwise, cancellation of the Contract and suspension as a bidder for a period of three years. (The words, "the bidder", "he", "his", and "him" where used herein shall mean the individual bidder, firm, partnership or corporation executing this bid).

6. Compliance Report

The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, (1) represents that his attention has been specifically drawn to Executive Order No. 50, dated, April 25, 1980, on Equal Employment Compliance of the contract, and (2) warrants that he will comply with the provisions of Executive Order No. 50. The Employment Report must be submitted as part of the bid.

The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, executes this document expressly warranting that he will comply with: (1) the provision of the contract on providing records, Chapter 8.

- 7. By submission of this bid, the bidder certifies that it now has and will continue to have the financial capability to fully perform the work required for this contract. Any award of this contract will be made in reliance upon such certification. Upon request therefor, the bidder will submit written verification of such financial capability in a form that is acceptable to the department.
- 8. In accordance with Section 165 of the State Finance Law, the bidder agrees that tropical hardwoods, as defined in Section 165 of the State Finance Law, shall not be utilized in the performance of this Contract, except as the same are permitted by the foregoing provision of law.
- 9. The bidder has visited and examined the site of the work and has carefully examined the Contract in the form approved by the Corporation Counsel, and will execute the Contract and perform all its items, covenants and conditions, and will provide, furnish and deliver all the work, materials, supplies, tools and appliances for all labor and materials necessary or required for the hereinafter named work, all in strict conformity with the Contract, for the prices set forth in the Bid Schedule:
- 10. M/WBE UTILIZATION PLAN: By signing its bid, the bidder agrees to the Vendor Certification and Required Affirmations set forth below, unless a full waiver of the Participation Goals is granted. The Vendor Certification and Required Affirmations will be deemed to satisfy the requirement to complete Section V of Part II of Schedule B: M/WBE Utilization Plan.

Section V: Vendor Certification and Required Affirmations:

I hereby:

- 1) acknowledge my understanding of the M/WBE participation requirements as set forth in this Contract and the pertinent provisions of Section 6-129 of the Administrative Code of the City of New York and the rules promulgated thereunder;
- 2) affirm that the information supplied in support of the M/WBE Utilization Plan is true and correct:
- 3) agree, if awarded this Contract, to comply with the M/WBE participation requirements of this Contract, the pertinent provisions of Section 6-129, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract;
- 4) agree and affirm that it is a material term of this Contract that the Vendor will award the total dollar value of the M/WBE Participation Goals to certified MBEs and/or WBEs, unless a full waiver is obtained or such goals are modified by the Agency; and
- 5) agree and affirm, if awarded this Contract, to make all reasonable, good faith efforts to meet the M/WBE Participation Goals, or If a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms.

BID FORM

PROJECT ID. BED809

TOTAL BID PRICE: In the space provided below, the Bidder shall indicate its Total Bid Price in figures. Such Total Bid Price is set forth on the final page of the Bid Schedule.

TOTAL BID PRICE: (a/k/a BID PROPOSAL)

55,430,421. 36,049,404,2400 BB 6/14/18

BIDDER'S SIGNATURE AND AFFIDAVIT

Bidder: TOWN COND COND

By: (Signature of Partner or corporate officer)

Attest: (Corporate Seal)

Affidavit on the following page should be subscribed and sworn to before a Notary Public

BID FORM (TO BE NOTARIZED)

AFFIDAVIT WHERE BIDDER IS AN INDIVIDUAL

STATE OF NEW YORK, COUNTY OF	ss: being duly sworn says:
am the person described in and who executed trespects true.	he foregoing bid, and the several matters therein stated are in all
·	(Signature of the person who signed the Bid)
Subscribed and sworn to before me this day of,	
Notary Public	
AFFIDAVIT WE	HERE BIDDER IS A PARTNERSHIP
STATE OF NEW YORK, COUNTY OF	ss:
	being duly sworn says:
	the firm described in and which executed the foregoin behalf of the firm, and the several matters therein stated are in all
	(Signature of Partner who signed the Bid)
Subscribed and sworn to before me this	(Digitative of 1 males, who dighted are 214)
day of,	
Notary Public	
Hotaly I ublic	
A EETO A VIIT VIII	HERE BIDDER IS A CORPORATION
STATE OF NEW YORK, COUNTY OF	ss:
Care Crai	being duly sworn says: ne above named corporation whose name is subscribed to and which
I am the free of the opening hid. I recide at (15 th)	ne above named corporation whose name is subscribed to and which
I have knowledge of the several matters therein	
	7 0
•	4
	(Signature of Corporate Officer who signed the Bid)
Subscribed and sworn to before me this	SHIRLEY JACOBS
5 day of 12 . 2018	NOTARY PUBLIC-STATE OF NEW YORK
	No. 01 JA6078625
Notary Public	Qualified in Westchester County
Troumy I dollar	My Commission Expires 08-05-1

AFFIRMATION

PROJECT ID. BED809

New Y York,	lebt, con fork, and nor is the	ed bidder affirms and declares that said bidder is not in arretract or taxes and is not a defaulter, as surety or otherwise has not been declared not responsible, or disqualified, by ere any proceeding pending relating to the responsibility of contracts except:	, upon obli any agenc	gation to the Ci	ne City of tv of New
		dder shall insert the word "None" in the space provided al	bove.)		
Full N Addre	ame of F	Bidder: Triumph Const. Corp.			***************************************
City _	Star	· · · · · · · · · · · · · · · · · · ·	Zip Code	10474	
CHEC	K ONE	BOX AND INCLUDE APPROPRIATE NUMBER:			
<u>/</u> /	Α-	Individual or Sole Proprietorship* SOCIAL SECURITY NUMBER			
<u>/_</u> /	B -	Partnership, Joint Venture or other unincorporated organ EMPLOYER IDENTIFICATION NUMBER	ization		
<u>1</u>	C-	Corporation EMPLOYER IDENTIFICATION NUMBER			
		134050635		•	
Ву:	~		· · · · · · · · · · · · · · · · · · ·	··	
Title:	Sign	ature 8			
1 IIIE	If a cor	noration place seal here			

This affirmation must be signed by an officer or duly authorized representative.

*Under the Federal Privacy Act the furnishing of Social Security Numbers by bidders on City contracts is voluntary. Failure to provide a Social Security Number will not result in a bidder's disqualification. Social Security Numbers will be used to identify bidders, proposers or vendors to ensure their compliance with laws, to assist the City in enforcement of laws, as well as to provide the City a means of identifying of businesses which seek City contracts.

BID BOND 1 FORM OF BID BOND

KN	OW ALL	MEN BY THESE PRESENTS.	That we, Triumph Construction Corp.
,			1354 Seneca Avenue
-	44/31-1111 - 1		Bronx, NY 10474
here	inafter re	ferred to as the "Principal", and	Liberty Mutual Ingurance Company
		Truopar, and	Liberty Mutual Insurance Company 1200 MacArthur Blvd., 3rd floor
32 million	ALE CHICAGO		Mahwah, NJ 07430
arthur pp		Michigan Control of the Control of t	
here here	inafter re inafter re	ferred to as the "Surety" are held ferred to as the "CITY", or to its	and firmly bound to THE CITY OF NEW YORK, successors and assigns in the penal sum of
Ten	Percent of	the Amount Bid	
mon succ	10% cy well aressors and), Dollars lawful money of ad truly to be made, we, and eac d assigns, jointly and severally,	f the United States, for the payment of which said sum of h of us, bind ourselves, our heirs, executors, administrators firmly by these presents.
prop	Where	eas, the Principal is about to sub by made a part hereof, to enter i	mit (or has submitted) to the City the accompanying into a contract in writing for FMS Project ID# BED-809 -
Capita	al Project W	/M-1 For The Replacement of Water I	Mains in Avenue S Between Coney Island Avenue and E. 18th Street
<u>Foget</u>	her With A	ll Work Incidental Thereto Borough o	f Brooklyn, City of New York - PIN#8502016WM0017C
with open shall	draw said ing of bid	Proposal without the consent of	of this obligation are such that if the Principal shall not f the City for a period of forty-five (45) days after the of the Principal's Proposal by the City, if the Principal
to the	(a) c City all cordance	Within ten (10) days after not the executed counterparts of the with the proposal as accepted, a	ification by the City, execute in quadruplicate and deliver Contract in the form set forth in the Contract Documents, and
for tl all re	(b) spects to	performance and proper fulfilling	nd separate payment bond, as may be required by the City, nent of such Contract, which bonds shall be satisfactory in y good and sufficient sureties, and
provi the at	oresaid P	information for Bidders, bound	eement created by the acceptance of said Proposal as I herewith and made a part hereof, or if the City shall reject Il be null and void; otherwise to remain in full force and
			4

BID BOND 2

In the event that the Proposal of the Principal shall be accepted and the Contract be awarded to him the Surety hereunder agrees subject only to the payment by the Principal of the premium therefore, if requested by the City, to write the aforementioned performance and payment bonds in the form set forth in the Contract Documents.

It is expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall in no event exceed the penal amount of this obligation as herein stated.

There shall be no liability under this bond if, in the event of the acceptance of the Principal's Proposal by the City, either a performance bond or payment bond, or both, shall not be required by the City on or before the 30th day after the date on which the City signs the Contract.

The surety, for the value received, hereby stipulates and agrees that the obligations of the Surety and its bond shall in no way be impaired or affected by any postponements of the date upon which the City will receive or open bids, or by any extensions of the time within which the City may accept the Principal's Proposal, or by any waiver by the City of any of the requirements of the Information for Bidders, and the Surety hereby waives notice of any such postponements, extensions, or waivers.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals and such of them as are corporations have caused their corporate seals to be hereto affixed and these

(Seal)

Triumph Construction Corp.

Principal

By:

Liberty Mutual Insurance Company
Surety

Sandra A. Pace, Attorney-in-Fact

(Seal)

BID BOND 3

ACKNOWLEDGMENT OF PRINCIPAL IF A CORPORATION

				Same of S	
State of New	York	county of Blow		*S\$*	
	14th day of	June	2018	before me personally	
Corlo Cuca	The Street of th		own who b	cing by me duly sworn, d	vaust 13 January – 3
that he resides	a 45 winch	11 (1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	J Tool illo	M 10805	in achose ana se
that he is the	President	of		Construction Corp.	<u> </u>
the corporatio	n described in and	which executed t	ha Coranolno	instrument; that he know	-Abarran Abarr
corporation: th	bat one of the seal	Raffixed to said in	aproment for	such seal; that it was so af	s the seal of said
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SURETY ACKNOWLEDGMENT

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On thi	s <u>14th</u>	day of	<u>June</u> , <u>2</u>	2018				
Before me pe	ersonally came <u>Sa</u>	ndra A. Pa	ce_to me k	nown,	who be	eing by	me d	uly
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This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 7994392

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To confirm the validity of this 1-610-832-8240 between 9:00 a

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Power of Attorney

Liberty Mutual Insurance Company The Ohio Casualty Insurance Company

West American Insurance Company

POWER OF ATTORNEY

KNOWN	I ALL PERSONS	BY THESE	PRESENTS:	That The Ohi	Casualty In	surance Compa	any is a c	corporation duly	organized	under the	laws of the	State of 1	lew Hamp	shire, that
Liberty I	Mutual Insurance	Company	is a corporati	on duly organ	ized under t	he laws of the	State of	Massachusetts,	and West	American	Insurance (Company i	s a corpor	ation duly
organize	ed under the laws	of the Stat	e of Indiana (I	erein collectiv	ely called the	*Companies"),	pursuant	to and by author	rity herein	set forth, o	loes hereby	name, con	stitute and	appoint,
Lisa A	. Anderson; C	heryl R. C	oleman; Ma	ry J. D'Ama	to; Rachae	l Hurley: Mar	c J. Mic	halewsky; Sa	ndra A. P	ace, Tho	mas M. T	rue		

each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge all of the city of Westfield , state of NJ and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons:

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed day of January 2018

STATE OF PENNSYLVANIA COUNTY OF MONTGOMERY

On this 31st day of January ., 2018, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.

PAS

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Teresa Pastella, Notary Public Upper Merion Twp., Montgomery County My Commission Expires March 28, 2021 Member, Pennsylvania Association of Notaries

The Ohio Casualty Insurance Company

Liberty Mutual Insurance Company West American Insurance Company

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS - Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings. Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-infact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Liewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this





LMS 12873 022017



LIBERTY MUTUAL INSURANCE COMPANY

FINANCIAL STATEMENT — DECEMBER 31, 2016

Assets	Liabilities
Cash and Bank Deposits \$1,092,914,837	Unearned Premiums \$6,929,723,299
*Bonds — U.S Government 1,406,763,970	Reserve for Claims and Claims Expense17,233,877,300
*Other Bonds11,379,916,523	Funds Held Under Reinsurance Treaties 208,362,823
*Stocks	Reserve for Dividends to Policyholders
	Additional Statutory Reserve
Real Estate	Reserve for Commissions, Taxes and
Agents' Balances or Uncollected Premiums 4,709,977,463	Other Liabilities
Accrued Interest and Rents	Total\$27,473,676,194
Other Admitted Assets	Special Surplus Funds \$95,257,334
Other Admitted Assets	Capital Stock
	Paid in Surplus 9,229,250,104
	Unassigned Surplus 7,193,698,055
Total Admitted Assets <u>\$44,001,881,687</u>	Surplus to Policyholders 16,528,205,493
	Total Liabilities and Surplus <u>\$44,001,881,687</u>



* Bonds are stated at amortized or investment value; Stocks at Association Market Values.

The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2016, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 23rd day of March, 2017.

Assistant Secretary

Amiholajewski.

M/WBE PROGRAM

M/WBE UTILIZATION PLAN

<u>M/WBE Program Requirements</u>: The requirements for the M/WBE Program are set forth on the following pages of this Bid Booklet, in the section entitled "Notice to All Prospective Contractors".

Schedule B: M/WBE Utilization Plan: Schedule B: M/WBE Utilization Plan for this Contract is set forth in this Bid Booklet on the pages following the section entitled "Notice to All Prospective Contractors". The M/WBE Utilization Plan (Part I) indicates whether Participation Goals have been established for this Contract. If Participation Goals have been established for this Contract, the bidder must submit an M/WBE Utilization Plan (Part II) with its bid.

<u>Waiver</u>: The bidder may seek a full or partial pre-award waiver of the Participation Goals in accordance with the "Notice to All Prospective Contractors" (See Part A, Section 10). The bidder's request for a waiver must be submitted at least seven (7) calendar days prior to the bid date. Waiver requests submitted after the deadline will not be considered. The form for requesting a waiver of the Participation Goals is set forth in the M/WBE Utilization Plan (Part III).

Rejection of the Bid: The bidder must complete Schedule B: M/WBE Utilization Plan (Part II) set forth in this Bid Booklet on the pages following the section entitled "Notice to All Prospective Contractors". A Schedule B submitted by the bidder which does not include the Vendor Certification and Required Affirmations (See Section V of Part II) will be deemed to be non-responsive, unless a full waiver of the Participation Goals is granted (Schedule B, Part III). In the event that the City determines that the bidder has submitted a Schedule B where the Vendor Certification and Required Affirmations are completed but other aspects of the Schedule B are not complete, or contain a copy or computation error that is at odds with the Vendor Certification and Required Affirmations, the bidder will be notified by the Agency and will be given four (4) calendar days from receipt of notification to cure the specified deficiencies and return a completed Schedule B to the Agency. Failure to do so will result in a determination that the Bid is non-responsive. Receipt of notification is defined as the date notice is emailed or faxed (if the bidder has provided an email address or fax number), or no later than five (5) calendar days from the date of mailing or upon delivery, if delivered.

<u>Impact on LBE Requirements</u>: If Participation Goals have been established for the participation of M/WBEs, the contractor is not required to comply with the Locally Based Enterprise Program ("LBE"). The LBE Program is set forth in Article 67 of the Contract.

NOTICE TO ALL PROSPECTIVE CONTRACTORS

PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT

ARTICLE I. M/WBE PROGRAM

Local Law No. 129 of 2005 added and Local Law 1 of 2013 amended Section 6-129 of the Administrative Code of the City of New York (hereinafter "Section 6-129"). Section 6-129 establishes the program for participation in City procurement ("M/WBE Program") by minority- owned business enterprises ("MBEs") and women-owned business enterprises ("WBEs"), certified in accordance with Section 1304 of the New York City Charter. As stated in Section 6-129, the intent of the program is to address the impact of discrimination on the City's procurement process, and to promote the public interest in avoiding fraud and favoritism in the procurement process, increasing competition for City business, and lowering contract costs. The contract provisions contained herein are pursuant to Section 6-129, and the rules of the Department of Small Business Services ("DSBS") promulgated thereunder.

If this Contract is subject to the M/WBE Program established by Section 6-129, the specific requirements of MBE and/or WBE participation for this Contract are set forth in Schedule B of the Contract (entitled the "M/WBE Utilization Plan"), and are detailed below. The Contractor must comply with all applicable MBE and WBE requirements for this Contract.

All provisions of Section 6-129 are hereby incorporated in the Contract by reference and all terms used herein that are not defined herein shall have the meanings given such terms in Section 6-129. Article I, Part A, below, sets forth provisions related to the participation goals for construction, standard and professional services contracts. Article I, Part B, below, sets forth miscellaneous provisions related to the M/WBE Program.

PART A

<u>PARTICIPATION GOALS FOR CONSTRUCTION, STANDARD</u> AND PROFESSIONAL SERVICES CONTRACTS OR TASK ORDERS

1. The MBE and/or WBE Participation Goals established for this Contract or Task Orders issued pursuant to this Contract, ("Participation Goals"), as applicable, are set forth on Schedule B, Part I to this Contract (see Page 1, line 1 Total Participation Goals) or will be set forth on Schedule B, Part I to Task Orders issued pursuant to this Contract, as applicable.

The **Participation Goals** represent a percentage of the total dollar value of the Contract or Task Order, as applicable, that may be achieved by awarding subcontracts to firms certified with New York City Department of Small Business Services as MBEs and/or WBEs, and/or by crediting the participation of prime contractors and/or qualified joint ventures as provided in Section 3 below, unless the goals have been waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

- 2. If **Participation Goals** have been established for this Contract or Task Orders issued pursuant to this Contract, Contractor agrees or shall agree as a material term of the Contract that Contractor shall be subject to the **Participation Goals**, unless the goals are waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.
- 3. If **Participation Goals** have been established for this Contract or Task Order issued pursuant to this Contract, a Contractor that is an MBE and/or WBE shall be permitted to count its own participation toward fulfillment of the relevant **Participation Goal**, provided that in accordance with Section 6-129 the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that the Contractor pays to direct subcontractors (as defined in Section 6-129(c)(13)), and provided further that a Contractor that is certified as both an MBE and a WBE may count its own participation either toward the goal for MBEs or the goal for WBEs, but not both.

- A Contractor that is a qualified joint venture (as defined in Section 6-129(c)(30)) shall be permitted to count a percentage of its own participation toward fulfillment of the relevant **Participation Goal**. In accordance with Section 6-129, the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that Contractor pays to direct subcontractors, and then multiplying the remainder by the percentage to be applied to total profit to determine the amount to which an MBE or WBE is entitled pursuant to the joint venture agreement, provided that where a participant in a joint venture is certified as both an MBE and a WBE, such amount shall be counted either toward the goal for MBEs or the goal for WBEs, but not both.
- 4. A. If **Participation Goals** have been established for this Contract, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Utilization Plan, Part II (see Pages 2-4) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; and (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end. In the event that this M/WBE Utilization Plan indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals**, the bid or proposal, as applicable, shall be deemed non-responsive, unless Agency has granted the bidder or proposer, as applicable, a pre- award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.
- B. (i) If this Contract is for a master services agreement or other requirements type contract that will result in the issuance of Task Orders that will be individually registered ("Master Services Agreement") and is subject to M/WBE Participation Goals, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Participation Requirements for Master Services Agreements That Will Require Individually Registered Task Orders, Part II (page 2) indicating the prospective contractor's certification and required affirmations to make all reasonable good faith efforts to meet participation goals established on each individual Task Order issued pursuant to this Contract, or if a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms. In the event that the Schedule B indicates that the bidder or proposer, as applicable, does not intend to meet the Participation Goals that may be established on Task Orders issued pursuant to this Contract, the bid or proposal, as applicable, shall be deemed nonresponsive.
- (ii) Participation Goals on a Master Services Agreement will be established for individual Task Orders issued after the Master Services Agreement is awarded. If Participation Goals have been established on a Task Order, a contractor shall be required to submit a Schedule B M/WBE Utilization Plan For Independently Registered Task Orders That Are Issued Pursuant to Master Services Agreements, Part II (see Pages 2-4) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; and (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end. The contractor must engage in good faith efforts to meet the Participation Goals as established for the Task Order unless Agency has granted the contractor a pre-award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.
- C. THE BIDDER/PROPOSER MUST COMPLETE THE SCHEDULE B INCLUDED HEREIN (SCHEDULE B, PART II). A SCHEDULE B SUBMITTED BY THE BIDDER/PROPOSER WHICH DOES NOT INCLUDE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS (SEE SECTION V OF PART II) WILL BE DEEMED TO BE NON-RESPONSIVE, UNLESS A FULL WAIVER OF THE PARTICIPATION GOALS IS GRANTED (SCHEDULE B, PART III). IN THE EVENT THAT THE CITY DETERMINES THAT THE BIDDER/PROPOSER HAS SUBMITTED A SCHEDULE B WHERE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS ARE COMPLETED BUT OTHER ASPECTS OF THE SCHEDULE B ARE NOT COMPLETE, OR CONTAIN A COPY OR COMPUTATION ERROR THAT IS AT ODDS WITH THE VENDOR CERTIFICATION AND AFFIRMATIONS, THE BIDDER/PROPOSER WILL BE NOTIFIED BY THE AGENCY AND WILL BE GIVEN FOUR (4) CALENDAR DAYS FROM RECEIPT OF NOTIFICATION TO CURE THE SPECIFIED DEFICIENCIES AND RETURN A COMPLETED SCHEDULE B TO THE AGENCY. FAILURE TO DO

SO WILL RESULT IN A DETERMINATION THAT THE BID/PROPOSAL IS NON-RESPONSIVE. RECEIPT OF NOTIFICATION IS DEFINED AS THE DATE NOTICE IS E-MAILED OR FAXED (IF THE BIDDER/PROPOSER HAS PROVIDED AN E-MAIL ADDRESS OR FAX NUMBER), OR NO LATER THAN FIVE (5) CALENDAR DAYS FROM THE DATE OF MAILING OR UPON DELIVERY, IF DELIVERED.

- Where an M/WBE Utilization Plan has been submitted, the Contractor shall, within 30 days of issuance by Agency of a notice to proceed, submit a list of proposed persons or entities to which it intends to award subcontracts within the subsequent 12 months. In the case of multiyear contracts, such list shall also be submitted every year thereafter. The Agency may also require the Contractor to report periodically about the contracts awarded by its direct subcontractors to indirect subcontractors (as defined in Section 6-129(c)(22)). PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor must identify all those to which it intends to award construction subcontracts for any portion of the Wicks trade work at the time of bid submission, regardless of what point in the life of the contract such subcontracts will occur. In identifying intended subcontractors in the bid submission, bidders may satisfy any Participation Goals established for this Contract by proposing one or more subcontractors that are MBEs and/or WBEs for any portion of the Wicks trade work. In the event that the Contractor's selection of a subcontractor is disapproved, the Contractor shall have a reasonable time to propose alternate subcontractors.
- 6. MBE and WBE firms must be certified by DSBS in order for the Contractor to credit such firms' participation toward the attainment of the **Participation Goals**. Such certification must occur prior to the firms' commencement of work. A list of MBE and WBE firms may be obtained from the DSBS website at www.nyc.gov/buycertified, by emailing DSBS at buyer@sbs.nyc.gov, by calling (212) 513-6356, or by visiting or writing DSBS at 110 William St., New York, New York, 10038, 7th floor. Eligible firms that have not yet been certified may contact DSBS in order to seek certification by visiting www.nyc.gov/getcertified, emailing MWBE@sbs.nyc.gov, or calling the DSBS certification helpline at (212) 513-6311. A firm that is certified as both an MBE and a WBE may be counted either toward the goal for MBEs or the goal for WBEs, but not both. No credit shall be given for participation by a graduate MBE or graduate WBE, as defined in Section 6-129(c)(20).
- 7. Where an M/WBE Utilization Plan has been submitted, the Contractor shall, with each voucher for payment, and/or periodically as Agency may require, submit statements, certified under penalty of perjury, which shall include, but not be limited to,: the total amount the Contractor paid to its direct subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount direct subcontractors paid to indirect subcontractors; the names, addresses and contact numbers of each MBE or WBE hired as a subcontractor by the Contractor, and, where applicable, hired by any of the Contractor's direct subcontractors; and the dates and amounts paid to each MBE or WBE. The Contractor shall also submit, along with its voucher for final payment: the total amount it paid to subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount its direct subcontractors paid directly to their indirect subcontractors; and a final list, certified under penalty of perjury, which shall include the name, address and contact information of each subcontractor that is an MBE or WBE, the work performed by, and the dates and amounts paid to each.
- 8. If payments made to, or work performed by, MBEs or WBEs are less than the amount specified in the Contractor's M/WBE Utilization Plan, Agency shall take appropriate action, in accordance with Section 6-129 and Article II below, unless the Contractor has obtained a modification of its M/WBE Utilization Plan in accordance with Section 6-129 and Part A, Section 11 below.
- 9. Where an M/WBE Utilization Plan has been submitted, and the Contractor requests a change order the value of which exceeds the greater of 10 percent of the Contract or Task Order, as applicable, or \$500,000, Agency shall review the scope of work for the Contract or Task Order, as applicable, and the scale and types of work involved in the change order, and determine whether the **Participation Goals** should be modified.

- 10. Pre-award waiver of the **Participation Goals**. (a) A bidder or proposer, or contractor with respect to a Task Order, may seek a pre-award full or partial waiver of the **Participation Goals** in accordance with Section 6-129, which requests that Agency change one or more **Participation Goals** on the grounds that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, or by demonstrating that it has legitimate business reasons for proposing a lower level of subcontracting in its M/WBE Utilization Plan.
- (b) To apply for a full or partial waiver of the **Participation Goals**, a bidder, proposer, or contractor, as applicable, must complete Part III (Page 5) of Schedule B and submit such request no later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due, in writing to the Agency by email at zhangji@ddc.nyc.gov or via facsimile at (718) 391-1886. Bidders, proposers, or contractors, as applicable, who have submitted requests will receive an Agency response by no later than two (2) calendar days prior to the due date for bids, proposals, or Task Orders; provided, however, that if that date would fall on a weekend or holiday, an Agency response will be provided by close-of-business on the business day before such weekend or holiday date.
- (c) If the Agency determines that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, it shall revise the solicitation and extend the deadline for bids and proposals, or revise the Task Order, as applicable.
- (d) Agency may grant a full or partial waiver of the Participation Goals to a bidder, proposer or contractor, as applicable, who demonstrates—before submission of the bid, proposal or Task Order, as applicable—that it has legitimate business reasons for proposing the level of subcontracting in its M/WBE Utilization Plan. In making its determination, Agency shall consider factors that shall include, but not be limited to, whether the bidder, proposer or contractor, as applicable, has the capacity and the bona fide intention to perform the Contract without any subcontracting, or to perform the Contract without awarding the amount of subcontracts represented by the Participation Goals. In making such determination, Agency may consider whether the M/WBE Utilization Plan is consistent with past subcontracting practices of the bidder, proposer or contractor, as applicable, has made efforts to form a joint venture with a certified firm, and whether the bidder, proposer, or contractor, as applicable, has made good faith efforts to identify other portions of the Contract that it intends to subcontract.
- 11. Modification of M/WBE Utilization Plan. (a) A Contractor may request a modification of its M/WBE Utilization Plan after award of this Contract. PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor may request a Modification of its M/WBE Utilization Plan as part of its bid submission. The Agency may grant a request for Modification of a Contractor's M/WBE Utilization Plan if it determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts to meet the Participation Goals. In making such determination, Agency shall consider evidence of the following efforts, as applicable, along with any other relevant factors:
- (i) The Contractor advertised opportunities to participate in the Contract, where appropriate, in general circulation media, trade and professional association publications and small business media, and publications of minority and women's business organizations;
- (ii) The Contractor provided notice of specific opportunities to participate in the Contract, in a timely manner, to minority and women's business organizations;
- (iii) The Contractor sent written notices, by certified mail or facsimile, in a timely manner, to advise MBEs or WBEs that their interest in the Contract was solicited;
- (iv) The Contractor made efforts to identify portions of the work that could be substituted for portions originally designated for participation by MBEs and/or WBEs in the M/WBE Utilization Plan, and for which the Contractor claims an inability to retain MBEs or WBEs;

- (v) The Contractor held meetings with MBEs and/or WBEs prior to the date their bids or proposals were due, for the purpose of explaining in detail the scope and requirements of the work for which their bids or proposals were solicited;
- (vi) The Contractor made efforts to negotiate with MBEs and/or WBEs as relevant to perform specific subcontracts, or act as suppliers or service providers;
- (vii) Timely written requests for assistance made by the Contractor to Agency's M/WBE liaison officer and to DSBS:
- (viii) Description of how recommendations made by DSBS and Agency were acted upon and an explanation of why action upon such recommendations did not lead to the desired level of participation of MBEs and/or WBEs.

Agency's M/WBE officer shall provide written notice to the Contractor of the determination.

- (b) The Agency may modify the **Participation Goals** when the scope of the work has been changed by the Agency in a manner that affects the scale and types of work that the Contractor indicated in its **M/WBE** Utilization Plan would be awarded to subcontractors.
- 12. If this Contract is for an indefinite quantity of construction, standard or professional services or is a requirements type contract and the Contractor has submitted an M/WBE Utilization Plan and has committed to subcontract work to MBEs and/or WBEs in order to meet the Participation Goals, the Contractor will not be deemed in violation of the M/WBE Program requirements for this Contract with regard to any work which was intended to be subcontracted to an MBE and/or WBE to the extent that the Agency has determined that such work is not needed.
- 13. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, at least once annually during the term of the Contract or Task Order, as applicable, Agency shall review the Contractor's progress toward attainment of its M/WBE Utilization Plan, including but not limited to, by reviewing the percentage of work the Contractor has actually awarded to MBE and/or WBE subcontractors and the payments the Contractor made to such subcontractors.
- 14. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, Agency shall evaluate and assess the Contractor's performance in meeting those goals, and such evaluation and assessment shall become part of the Contractor's overall contract performance evaluation.

PART B: MISCELLANEOUS

- 1. The Contractor shall take notice that, if this solicitation requires the establishment of an M/WBE Utilization Plan, the resulting contract may be audited by DSBS to determine compliance with Section 6-129. See §6-129(e)(10). Furthermore, such resulting contract may also be examined by the City's Comptroller to assess compliance with the M/WBE Utilization Plan.
- 2. Pursuant to DSBS rules, construction contracts that include a requirement for an **M/WBE** Utilization Plan shall not be subject to the law governing Locally Based Enterprises set forth in Section 6-108.1 of the Administrative Code of the City of New York.
- 3. DSBS is available to assist contractors and potential contractors in determining the availability of MBEs and/or WBEs to participate as subcontractors, and in identifying opportunities that are appropriate for participation by MBEs and/or WBEs in contracts.
- 4. Prospective contractors are encouraged to enter into qualified joint venture agreements with MBEs and/or WBEs as defined by Section 6-129(c)(30).

5. By submitting a bid or proposal the Contractor hereby acknowledges its understanding of the M/WBE Program requirements set forth herein and the pertinent provisions of Section 6-129, and any rules promulgated thereunder, and if awarded this Contract, the Contractor hereby agrees to comply with the M/WBE Program requirements of this Contract and pertinent provisions of Section 6-129, and any rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract. The Contractor hereby agrees to make all reasonable, good faith efforts to solicit and obtain the participation of MBEs and/or WBEs to meet the required **Participation Goals**.

ARTICLE II. ENFORCEMENT

- 1. If Agency determines that a bidder or proposer, as applicable, has, in relation to this procurement, violated Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, Agency may disqualify such bidder or proposer, as applicable, from competing for this Contract and the Agency may revoke such bidder's or proposer's prequalification status, if applicable.
- 2. Whenever Agency believes that the Contractor or a subcontractor is not in compliance with Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to any M/WBE Utilization Plan, Agency shall send a written notice to the Contractor describing the alleged noncompliance and offering the Contractor an opportunity to be heard. Agency shall then conduct an investigation to determine whether such Contractor or subcontractor is in compliance.
- 3. In the event that the Contractor has been found to have violated Section 6-129, the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to, any M/WBE Utilization Plan, Agency may determine that one of the following actions should be taken:
- (a) entering into an agreement with the Contractor allowing the Contractor to cure the violation;
- (b) revoking the Contractor's pre-qualification to bid or make proposals for future contracts;
- (c) making a finding that the Contractor is in default of the Contract;
- (d) terminating the Contract;
- (e) declaring the Contractor to be in breach of Contract;
- (f) withholding payment or reimbursement;
- (g) determining not to renew the Contract;
- (h) assessing actual and consequential damages;
- (i) assessing liquidated damages or reducing fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the M/WBE Program, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;
- (j) exercising rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or
- (k) taking any other appropriate remedy.
- 4. If an M/WBE Utilization Plan has been submitted, and pursuant to this Article II, Section 3, the Contractor has been found to have failed to fulfill its Participation Goals contained in its M/WBE Utilization Plan or the Participation Goals as modified by Agency pursuant to Article I, Part A, Section 11, Agency may assess liquidated damages in the amount of ten percent (10%) of the difference between the dollar amount of work required to be awarded to MBE and/or WBE firms to meet the Participation Goals and the dollar amount the Contractor actually awarded and paid, and/or credited, to MBE and/or WBE firms. In view of the difficulty of accurately ascertaining the loss which the City will suffer by reason of Contractor's failure to meet the Participation Goals, the foregoing amount is hereby fixed and agreed as the liquidated damages that the City will suffer by reason of such failure, and not as a penalty. Agency may deduct and retain out of any monies which may become due under this Contract the amount of any such liquidated damages; and in case the amount which may become due under this Contract shall be less than the amount of liquidated damages suffered by the City, the Contractor shall be liable to pay the difference.

- 5. Whenever Agency has reason to believe that an MBE and/or WBE is not qualified for certification, or is participating in a contract in a manner that does not serve a commercially useful function (as defined in Section 6-129(c)(8)), or has violated any provision of Section 6-129, Agency shall notify the Commissioner of DSBS who shall determine whether the certification of such business enterprise should be revoked.
- 6. Statements made in any instrument submitted to Agency pursuant to Section 6-129 shall be submitted under penalty of perjury and any false or misleading statement or omission shall be grounds for the application of any applicable criminal and/or civil penalties for perjury. The making of a false or fraudulent statement by an MBE and/or WBE in any instrument submitted pursuant to Section 6-129 shall, in addition, be grounds for revocation of its certification.
- 7. The Contractor's record in implementing its M/WBE Utilization Plan shall be a factor in the evaluation of its performance. Whenever Agency determines that a Contractor's compliance with an M/WBE Utilization Plan has been unsatisfactory, Agency shall, after consultation with the City Chief Procurement Officer, file an advice of caution form for inclusion in VENDEX as caution data.

APT E-	
PIN#:	85018B0058

SCHEDULE B - M/WBE Utilization Plan Part I: M/WBE Participation Goals

Part I to be completed by contracting agency

Contract Overview									
APT E- Pin #	85018B0058		FMS P	roject IDa	#: <u>BE</u>	D-809)		
Project Title/ Agency PIN #	Storm and Sanitary sew	er in Ave	enue S in Br	ooklyn /85	02016	WM00	017C		
Bid/Proposal Response Date	JU	NE 7, 20	018			'-			
Contracting Agency	Department of Design a	ind Cons	truction					:	
Agency Address	30-30 Thomson Ave.	City	Long Islan	d City	State	NY	Zip Code	11101	
Contact Person	Tempestt Bellamy		Title	MWBE	Outrea	ch & (Compliance /	Analyst	
Telephone #	(718) 391-2604	~~~	Email	bellamy	te@dd	c.nyc.	gov		
Project Description (atta	ach additional pages if necessary	j.							165.) VJQ

PROJECT ID: BED809

CAPITAL PROJECT WM-1

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND E.
18TH STREET

Together With All Work Incidental Thereto BOROUGH OF BROOKLYN CITY OF NEW YORK

M/WBE Participation Goals for Services

Enter the percentage amount for each group or for an unspecified goal. Please note that there are no goals for Asian Americans in Professional Services.

Prime Contract Industry: Construction

Group	Percentage		
Unspecified*	7%	:	
or			
Black American	UNSPECIFIED*	· .	
Hispanic American	UNSPECIFIED*		
Asian American	UNSPECIFIED*		
Women	UNSPECIFIED*		•
Total Participation Goals	7%	Line 1	

^{*}Note: For this procurement, individual ethnicity and gender goals are not specified. The Total Participation Goal for construction contracts may be met by using either Black-American, Hispanic-American, Asian American, or Women certified firms or any combination of such firms.

NPT E-		
PIN#:	85018B0058	

SCHEDULE B - Part II: M/WBE Participation Plan

Part II to be completed by the bidder/proposer.

Please note: For Non-M/WBE Prime Contractors who will NOT subcontract any services and will self-perform the entire contract, you must obtain a FULL waiver by completing the Waiver Application on pages 17 and 18 and timely submitting it to the contracting agency pursuant to the Notice to Prospective Contractors. Once a FULL WAIVER is granted, it must be included with your bid or proposal and you do not have to complete or submit this form with your bid or proposal.

Section 1. Finne Contractor Contact mon				
Tax ID# 134050635		FMS Vendor ID #		
Business Name TY WAR COME	· (ma)	Contact Person	∞	rinick Cuzzi
Address 1354 Sevieda	Che Ero			
Telephone # 718-861-6060		owaci @ Triumph	Con	Struction ny . Com
Section II: M/WBE Utilization Goal Calcula			ıbsec	tion.
PRIME CONTRACTOR ADOPTING AG		ricipation goals	T	
For Prime Contractors (including Qualified Joint Ventures and M/WBE firms) adopting Agency M/WBE	Total Bid/Proposal Value	Agency Total Participation Goals (Line 1, Page 13)		Calculated M/WBE Participation Amount
Participation Goals.	25,430,427.44	/		1,780,129,92
iculate the total dollar value of your total cid that you agree will be awarded to M/WBE subcontractors for services and/or credited to an M/WBE prime contractor or Qualified Joint Venture.	20,001,701	<u></u>		1.823.1830
Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation.	35,854,78		=	\$ Line 2
PRIME CONTRACTOR OBTAINED PARTIAL WAIVER APPROVAL: ADOPTING MODIFIED M/WBE PARTICIPATION GOALS				
For Prime Contractors (including Qualified Joint Ventures and M/WBE	Total Bid/Proposal Value	Adjusted Participation Goal (From Partial Waiver)		Calculated M/WBE Participation Amount
firms) adopting Modified M/WBE Participation Goals.				
Calculate the total dollar value of your total bid that you agree will be awarded to M/WBE subcontractors for services and/or credited to an M/WBE prime contractor or Qualified Joint Venture.				
Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation.	\$	x	=	\$ Line 3

APT E-PIN #: 85018B0058

Section III: M/WBE Utilization Plan: How Proposer/Bidder Will Fulfill M/WBE Participation Goals. Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation. Check applicable box. The Proposer or Bidder will fulfill the M/WBE Participation Goals:				
As an M/WBE Prime Contractor that will self-perform and/or subcontract to other M/WBE firms a portion of the contract the value of which is at least the amount located on Lines 2 or 3 above, as applicable. The value of any work subcontracted to non-M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals. Please check all that apply to Prime Contractor:				
As a Qualified Joint Venture vand/or the value of any work subcabove, as applicable. The value fulfillment of M/WBE Participation As a non M/WBE Prime Cont	contracted to other M/WBI of any work subcontracted of Goals. ractor that will enter into s	E firms is at least the I to non M/WBE firm ubcontracts with M/	e amount located o	n Lines 2 or 3 ed towards
least the amount located on Lines	s 2 or 3 above, as applicat	ole.		
Section IV: General Contract Info What is the expected percenta services, regardless of M/WBE	ge of the total contract dollar	value that you expect	to award in subconti	racts for
	Enter brief description of the tr subcontracting if awarded this participation by MBEs and/or end, Use additional sheets if	contract, For each item, WBEs and the time fram	, indicate whether the w	vork is designated for
	1. Land Scape 2. Hazmost 3. Froteing		YIBE MBE MBE 74 ODO	Servation
	6. Pest Control 7. Trucking moe 8.	\$ 150,600 - 1,081,000	CONTRACTOR AND AND AND AND CONTRACTOR OF THE PARTY OF THE	
✓ Scopes of Subcontract Work	9. 10. 11. 12.			
	13			

APT E-PIN #:

85018B0058

Section V: Vendor Certification and Required Affirmations

I hereby:

- 1) acknowledge my understanding of the M/WBE participation requirements as set forth herein and the pertinent provisions of Section 6-129 of the Administrative Code of the City of New York ("Section 6-129"), and the rules promulgated thereunder;
- 2) affirm that the information supplied in support of this M/WBE Utilization Plan is true and correct;
- 3) agree, if awarded this Contract, to comply with the M/WBE participation requirements of this Contract, the pertinent provisions of Section 6-129, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract;
- 4) agree and affirm that it is a material term of this Contract that the Vendor will award the total dollar value of the M/WBE Participation Goals to certified MBEs and/or WBEs, unless a full waiver is obtained or such goals are modified by the Agency; and
- 5) agree and affirm, if awarded this Contract, to make all reasonable, good faith efforts to meet the M/WBE Participation Goals, or If a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms.

Signature	Date	6/5/18	
Print Name (a. l. Cutt	 Title	PLES	
	•		

APPRENTICESHIP PROGRAM REQUIREMENTS

Bidders are advised that the Apprenticeship Program Requirements set forth below apply to each contract for which a check mark is indicated before the word "Yes". Compliance with these requirements will be determined solely by the City.

 YES	NO

(1) Apprenticeship Program Requirements

Notice to Bidders: Please be advised that, pursuant to the authority granted to the City under Labor Law Section 816-b, the Department of Design and Construction hereby requires that the contractor awarded a contract as a result of this Invitation for Bids, and any of its subcontractors with subcontracts worth two million dollars or over, have, prior to entering into such contract or subcontract, apprenticeship agreements appropriate for the type and scope of work to be performed that have been registered with, and approved by, the New York State Commissioner of Labor. In addition, the contractor and its subcontractors will be required to show that such apprenticeship program/s have successfully passed the two year Probation period following the initial registration date of such program/s with the New York State Department of Labor.

The failure to prove, upon request, that these requirements have been met shall result in the contract not being awarded to the contractor or the subcontract not being approved.

Please be further advised that, pursuant to Labor Law Section 220, the allowable ratio of apprentices to journeypersons in any craft classification shall not be greater than the ratio permitted to the contractor as to its workforce on any job under the registered apprenticeship program.

(2) Apprenticeship Program Questionnaire

The bidder must submit a completed and signed Apprenticeship Program Questionnaire. The Questionnaire is set forth on the following page of the Bid Booklet.

APPRENTICESHIP PROGRAM QUESTIONNAIRE ("APQ")

Bidder Name: Triumph Comp Project ID Number: BYD 809
The Bidder MUST complete, sign, and submit this Apprenticeship Program Questionnaire with its bid. 1. Does the bidder have any Apprenticeship Program agreement(s) appropriate for the type and scope of work to be performed? (Note: Participation may be by either direct sponsorship or through collective bargaining agreement(s).)
2. Has/have the bidder's Apprenticeship Program agreement(s) been registered with, and approved by the New York State Commissioner of Labor ("NYSDOL Commissioner")?
YESNO 3. Has/have the bidder's Apprenticeship Program successfully passed the two-year Probation period following its initial registration with the New York State Department of Labor ("NYSDOL")?
YESNO If the answers to Questions 1, 2, and 3 are "Yes". The bidder shall, in the space below (and/or attached herewith where applicable), provide the contact information for such Apprenticeship Program(s) as well as information demonstrating that such Apprenticeship Program(s) have passed the two-year Probation period following its initial registration with the NYSDOL. (The bidder may attach additional pages if necessary).
 Where the bidder directly sponsors any such apprenticeship Program(s), the bidder shall provide the following:
 The trade classification(s) covered by such program(s), and the date(s) such program(s) was/were approved by the NYSDOL Commissioner; and/or A copy of a letter(s) from the NYSDOL, on NYSDOL's letterhead, executed by an official thereof, which verifies/verify the trade classification(s) covered by such program(s), and the date(s) such program(s) was/were approved by the NYSDOL Commissioner and the Active status of such program(s).
 Where the bidder participates in any such Apprenticeship Program(s) through its membership in an employer organization(s) that directly sponsors such program(s) or where the employer association(s) participates in such program(s) through collective bargaining, the bidder shall provide the following:
o The contact information for the employer organization(s), and the apprenticeable trade(s) covered pursuant to the bidder's affiliation therewith, and the date such program(s) was/were approved by the NYSDOL Commissioner; or
o A letter(s) from such employer organization(s), on letterhead of such organization(s) executed by an officer, delegate or official thereof, which verifies/verify the trade classification(s) covered by such program(s) was/were approved by the NYSDOI Commissioner, and that the bidder is both a member in good standing of the identified employer organization and is subject to the provisions of the Apprenticeship Program agreement(s) sponsored thereby.
CITY OF NEW YORK 20 BID BOOKLET

Building, Concrete, Excavating & Common Laborers Union Local No. 731, of Greater New York

JOSEPH D'AMATO, Business Manager DOMINIC J. VALDNER, Secretary-Treasurer

Affiliated with the Laborers' International Union of North America, AFL-CIO



July 1, 2016

Dear Sir or Madam:

Enclosed please find two copies of the new Collective Bargaining Agreement for the period of July 1, 2016 through April 30, 2022. Please immediately fill out the contractor's information on page 78 and have an authorized signatory sign and return one copy of the Agreement.

In accordance with Article VIII, Sections 5(a) and 5(b) of the Collective Bargaining Agreement, we have enclosed Supplemental dues and P.A.C. authorization forms. Should you need additional Supplemental dues or P.A.C. authorization forms, you can either make photostats or call this office or additional copies will be sent to you.

We suggest that you carefully examine the terms of Sections 8 (a) and 8 (b) of Article VIII of your new Collective Bargaining Agreement which are the bonding requirements and procedures. If you have not already done so, please take immediate steps in order to comply with these provisions. We have enclosed a copy of our surety bond form for your convenience.

Thank you for your cooperation and prompt attention to this matter. Should you have any questions regarding the above, please feel free to contact the undersigned.

Very truly yours,

Joseph D'Amato Business Manager

Enc.

Building, Concrete, Excavating & Common Laborers Union Local No. 731, of Greater New York

JOSEPH D'AMATO, Business Manager DOMINIC J. VALDNER, Secretary-Treasurer Affiliated with the Laborers' International Union of North America, AFL-CIO

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Effective Date: July 1, 2016

TO: ALL EMPLOYERS WITHIN THE JURISDICTION OF EXCAVATORS UNION LOCAL 731

RE: COLLECTIVE BARGAINING AGREEMENT July 1, 2016 through April 30, 2022

Please take notice that Excavators Union Local 731 ("Local 731") and the General Contractors Association of New York, Inc. have agreed upon the following schedule of wages and fringe benefits for the period of July 1, 2016 through April 30, 2022. Although our Collective Bargaining Agreement covers the period of July 1, 2016 through April 30, 2022, the allocation of increases for years commencing July 1, 2016 and thereafter will be determined in advance, by Local 731. The total package increase will be 19.5%. The breakdown will be 3.25% for years 1 through 6.

WAGES:

<u>LABORERS</u>	PER 40 HOUR WEEK
7/1/16 to 6/30/17 Increase of \$.50 hr. to \$41.00	\$1,640,00
7/1/17 to 6/30/18 Increase of \$.TBD hr. to \$ TBD	\$ TBD
7/1/18 to 6/30/19 increase of \$ TBD hr. to \$ TBD	\$ TBD
7/1/19 to 6/30/20 Increase of \$ TBD hr. to \$ TBD	\$ TBD
7/1/20 to 6/30/21 Increase of \$.TBD hr. to \$ TBD	\$ TBD
7/1/21 to 4/30/22 Increase of \$ TBD hr. to \$ TBD	\$ TBD
T ADOD HODDING IN A .	•
LABOR FOREMAN (hired on a weekly basis)	·
7/1/16 to 6/30/17 Increase of \$.50 hr. to \$43.50	\$1,740.00
7/1/17 to 6/30/18 Increase of \$.TBD hr. to \$ TBD	\$ TBD
7/1/18 to 6/30/19 Increase of \$ TBD hr. to \$ TBD	\$ TBD ·
7/1/19 to 6/30/20 Increase of \$ TBD hr. to \$ TBD	\$ TBD
7/1/20 to 6/30/21 Increase of \$.TBD hr. to \$ TBD	\$ TBD
7/1/21 to 4/30/22 Increase of \$ TBD hr. to \$ TBD	\$ TBD
UTILITY LABORERS	
7/1/16 to 6/30/17 Increase of \$.50 hr. to \$40.85	Ø1 624 00
7/1/17 to 6/30/18 Increase of \$.TBD hr. to \$ TBD	
7/1/18 to 6/30/19 Increase of \$ TBD hr. to \$ TBD	\$ 1BD
7/1/19 to 6/30/20 Increase of \$ TBD hr. to \$ TBD	IBD
7/1/20 to 6/30/21 Increase of \$.TBD hr. to \$.TBD	TBD
7/1/21 to 4/30/22 Increase of \$ TBD hr. to \$ TBD	a IBD
to "sour morease of a top in. to a top	IBD

Page	2
Empl	over

UTILITY FOREMAN (hired on a weekly basis)	
7/1/16 to 6/30/17 Increase of \$.50 hr. to \$43.35	\$1,734.00
7/1/17 to 6/30/18 Increase of \$.TBD hr. to \$ TBD	
7/1/18 to 6/30/19 Increase of \$ TBD hr. to \$ TBD	\$ TBD
7/1/19 to 6/30/20 Increase of \$ TBD hr. to \$ TBD	
7/1/20 to 6/30/21 Increase of \$.TBD hr. to \$ TBD	
7/1/21 to 4/30/22 Increase of \$ TBD hr. to \$ TBD	
FRINGE BENEFITS: To be paid on a "per hour" basis for all laborer	

<u>Date</u>	Welfare Fund	Pension Fund	Annuity Fund	731 Training Fund
7/1/16 to 6/30/17	\$17.33	\$14.20	\$6.50	\$0.50
7/1/17 to 6/30/18	TBD	TBD	TBD	TBD
7/1/18 to 6/30/19	TBD	TBD	TBD	TBD
7/1/19 to 6/30/20	TBD	TBD	TBD	TBD
7/1/20 to 6/30/21	TBD	TBD	TBD	TBD
7/1/21 to 4/30/22	TBD	TBD	TBD	TBD

ADDITIONAL EMPLOYER CONTRIBUTIONS:

LECET (Laborers/Employers Cooperation Education Trust)......\$.10 per hour

DEDUCTIONS FROM WAGES:

Supplemental Membership Dues	7/1/16 thru 6/30/17 7/1/17 thru 6/30/18 7/1/18 thru 6/30/19 7/1/19 thru 6/30/20 7/1/20 thru 6/30/21 7/1/21 thru 4/30/22	\$ 1.20 per hour TBD TBD TBD TBD TBD
NYS Political Action Committee	(Voluntary Deduction)	\$.10 per hour

LABOR DAY AND THANKSGIVING DAY ARE PAID HOLIDAYS EXCLUDING BENEFITS

The preceding schedules are effective as of July 1, 2016.

Sincerely yours,

Joseph D'Amato Business Manager

LIUNA LOCAL 731 Training Fund

3411 35th Avenue Astoria, NY 11106

Tel: 718-752-9860 • Fax: 718-752-9880

APPRENTICESHIP PROGRAM

To Whom It May Concern:

Apprentice Rates are as follows: Effective July 1, 2016

1-1000 hrs.	= 50% of a Journeyman's rate	\$20.50 hr.
1000-2000	= 60% of a Journeyman's rate	\$24.60 hr.
2000-3000	= 75% of a Journeyman's rate	\$30.75 hr.
3000-4000	= 90% of a Journeyman's rate	\$36.90 hr.
4000+	= Full Journeyman's rate	\$41.00 hr.

Benefits are paid the same as a Journeyman.

Rates are in effect until June 30, 2017.

APPRENTICESHIP PROGRAM QUESTIONNAIRE ("APQ")

Project ID Number: • Where the bidder participates in any such Apprenticeship Programs through collective bargaining agreements, the bidder shall provide the following:		
 The contact information for such contrade(s) covered pursuant to the bide A letter(s) from such collective bar executed by an officer, delegate or 	ellective bargaining entity(ies) and the apprenticeable der's affiliation therewith; gaining entity(ies), on letterhead of such entity(ies), or official thereof, which verifies/verify the bidder's cood standing to such collective bargaining entity(ies)	

	And the second s	
Bidder: Triumph Comt. Com		
1100	2.00	
By: (Signature of Partner or Corporate Officer)	Title: Vision	
Date: $L_{e}/\sqrt{18}$		
Date: CF 110		
CITY OF NEW YORK		
CITY OF NEW YORK 2: DEPARTMENT OF DESIGN AND CONSTRUCTION	BID BOOKLET MARCH 2017	

MARCH 2017

Project 1	D.	
-		

SAFETY QUESTIONNAIRE

The bidder must include, with its bid, all information requested on this Safety Questionnaire. Failure to provide a completed and signed Safety Questionnaire at the time of bid opening may result in disqualification of the bid as non-responsive.

1. Bidder Information:		
Company Name: Wilmph Conf C	A(p	
DDC Project Number: PED 80	9	
Company Size: Ten (10)	employees or less	
Greater	than ten (10) employees	
Company has previously worked for DDC	YES	NO
2. Type(s) of Construction Work		
TYPE OF WORK General Building Construction Residential Building Construction Nonresidential Building Construction Heavy Construction, except building Highway and Street Construction Heavy Construction, except highways Plumbing, Heating, HVAC Painting and Paper Hanging Electrical Work Masonry, Stonework and Plastering Carpentry and Floor Work Roofing, Siding, and Sheet Metal Concrete Work Specialty Trade Contracting Asbestos Abatement	LAST 3 YEARS	THIS PROJECT
Other (specify)	· · · · · · · · · · · · · · · · · · ·	

3. Experience Modification Rate:

The Experience Modification Rate (EMR) is a rating generated by the National Council of Compensation Insurance (NCCI). This rating is used to determine the contractor's premium for worker's compensation insurance. The contractor may obtain its EMR by contacting its insurance broker or the NCCI. If the contractor cannot obtain its EMR, it must submit a written explanation as to why.

The Contractor must in contractors with less the	ndicate its <u>Intra</u> state and <u>Inter</u> state EMR for the plan three years of experience, the EMR will be co	ast three years. [Note: For onsidered to be 1.00].	
YEAR	INTRASTATE RATE	INTERSTATE RATE	
2017	094	094	
2016		092	
2015	070	. 070	
contractor must attac	or Interstate EMR for any of the past three year th, to this questionnaire, a written explanation n was taken to correct the situation resulting in	for the rating and identify	
4. OSHA Informatio	n:		
YESNO	Contractor has received a willful violation iss Department of Buildings (NYCDOB) within	sued by OSHA or New York City the last three years.	
YESNO	NO Contractor has had an incident requiring OSHA notification within 8 hours (all work-related fatalities) or an incident requiring OSHA notification within 24 hours (all work-related in-patient hospitalizations, all amputations and all losses of an eye).		
employees, on a yearly	ety and Health Act (OSHA) of 1970 requires emply basis to complete and maintain on file the form of this form is commonly referred to as the OSHA	entitled "Log of Work-related	
The OSHA 300 Log m employees.	ust be submitted for the last three years for contra	actors with more than ten	
The Contractor must in payroll records for the	ndicate the total number of hours worked by its en past three years.	nployees, as reflected in	
past three years. The For each given year, illnesses reported on	submit the Incident Rate for Lost Time Injue Incident Rate is calculated in accordance withe total number of incidents is the total number of the OSHA 300 Log. The 200,000 hours reporty hours a week, fifty weeks per year.	th the formula set forth below mber of non-fatal injuries and	
Incident Rate =	Total Number of Incide Total Number of Hours Worker		
YEAR	TOTAL NUMBERS OF HOURS WORKED BY EMPLOYEES	INCIDENT RATE	
207	642, 215	(6)	
2016	547,138	1.46	
2015	66P,8ÓQ	1.44	
		the state of the s	

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BID BOOKLET MARCH 2017

CITY OF NEW YORK

DEPARTMENT OF DESIGN AND CONSTRUCTION

Project ID.

	Project ID.	
If the contractor's Incident Rate for any of the past three years is one point higher than the incident Rate for the type of construction it performs (listed below), the contractor must attach, to this questionnaire, a written explanation for the relatively high rate.		
General Building Construction	8.5	
Residential Building Construction	7.0	

General Building Construction	8.5
Residential Building Construction	7.0
Nonresidential Building Construction	10.2
Heavy Construction, except building	8.7
Highway and Street Construction	9.7
Heavy Construction, except highways	(8.3')
Plumbing, Heating, HVAC	11.3
Painting and Paper Hanging	6.9
Electrical Work	9.5
Masonry, Stonework and Plastering	10.5
Carpentry and Floor Work	12.2
Roofing, Siding, and Sheet Metal	10.3
Concrete Work	8.6
Specialty Trade Contracting	8.6

5.	Safety	Performance o	n Previous	DDC	Proje	ect(s)

YES	NO	Contractor previously audited by the DDC Office of Site Safety.
		DDC Project Number(s):,
YES	NO	Accident on previous DDC Project(s).
		DDC Project Number(s):
YES	No	Fatality or Life-altering Injury on DDC Project(s) within the last three years. [Examples of a life-altering injury include loss of limb, loss of a sense (e.g., sight, hearing), or loss of neurological function].
		DDC Project Number(s):,
Date: 🕢	5/18	By: (Signature of Owner, Partner, Corporate Officer)
		Title: PRE-GOCT

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Pre-Award Process

The bidder is advised that as part of the pre-award review of its bid, it may be required to submit the information described in Sections (A) through (D) below. If required, such information must be submitted by the bidder within five (5) business days following receipt of notification from DDC that it is among the low bidders. Such notification from DDC will be by facsimile or in writing and will specify the types of information must be submitted. The types of information the bidder may be required to submit are described below. In the event the bidder fails to submit the required information within the specified time frame, its bid may be rejected as nonresponsive.

- (A) **Project Reference Form**: If required, the bidder must complete and submit the Project Reference Form set forth on pages 27 through 29 of this Bid Booklet. The Project Reference Form consists of 3 parts: (1) Contracts Completed by the Bidder, (2) Contracts Currently Under Construction by the Bidder, and (3) Pending Contracts Not Yet Started by the Bidder.
- (B) Copy of License: If required, the bidder must submit a copy of the license under which the bidder will be performing the work. Such license must clearly show the following: (1) Name of the Licensee, (2) License Number, and (3) Expiration date of the License. A copy of the license will be required from bidders for the following contracts: Plumbing Work, Electrical Work and Asbestos Abatement
- (C) **Financial Information**: If required, the bidder must submit the financial information described below:
 - (1) Audited Financial Statements: Financial statements (Balance Sheet and Income Statement) of the entity submitting the bid, as audited by an independent auditor licensed to practice as a certified public accountant (CPA). Audited financial statements for the three most recent fiscal years must be submitted. Each such financial statement must include the auditor's standard report.

If the bidder does not have audited financial statements, it must submit an affidavit attesting to the fact that the bidder does not have such statements. In addition, the bidder must submit the following documentation covering the three most recent fiscal years: signed federal tax returns, unaudited financial statements, and a "certified review letter" from a certified public accountant (CPA) verifying the unaudited financial statements.

Unless the most recent audited or unaudited financial statement was issued within ninety (90) days, the bidder must submit interim financial information that includes data on financial position and results of operation (income data) for the current fiscal year. Such information may be summarized on a monthly or quarterly basis or at other intervals.

(2) Schedule of Aged Accounts Receivable, including portion due within ninety (90) days.

- (D) **Project Specific Information**: If required, the bidder must submit the project specific information described below:
 - (1) Statement indicating the number of years of experience the bidder has had and in what type of construction.
 - (2) Resumes of all key personnel to be involved in the project, including the proposed project superintendent.
 - (3) List of significant pieces of equipment expected to be used for the contract, and whether such equipment is owned or leased.
 - (4) Description of work expected to be subcontracted, and to what firms, if known.
 - (5) List of key material suppliers.
 - (6) Preliminary bar chart time schedule
 - (7) Contractor's expected means of financing the project. This should be based on the assumption that the contractor is required to finance 2X average monthly billings throughout the contract period.
 - (8) Any other issues the contractor sees as impacting his ability to complete the project according to the contract.

In addition to the information described in Sections (A) through (D) above, the bidder shall submit such additional information as the Commissioner may require, including without limitation, an explanation or justification for specific unit price items.

The bidder is further advised that it may be required to attend a pre-award meeting with DDC representatives. If such a meeting is convened, the bidder will be advised as to any additional material to be provided.

PROJECT REFERENCES - CONTRACTS COMPLETED BY THE BIDDER Ą

List all contracts substantially completed within the last 4 years, up to a maximum of 10, in descending order of date of substantial completion.

Architect/Engineer Reference & Tel. No. if different from owner					
Owner Reference & Tel. No.					
Date Completed	·	·			
Contract Amount (\$000)					
Contract					
Project & Location					

CITY OF NEW YORK
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PROJECT REFERENCES – CONTRACTS CURRENTLY UNDER CONSTRUCTION BY THE BIDDER B.

List all contracts currently under construction even if they are not similar to the contract being awarded.

Architect/Engineer Reference & Tel. No. if different	from owner			
Owner Reference & Tel. No.				
Date Scheduled to Complete			:	
Uncompleted Portion (\$000)				
Subcontracted to Others (\$000)				
Contract Amount (\$000)				-
Contract Type				
Project & Location				

	CONSTRUCTION
ORK	OF DESIGN AND CO
YITY OF NEW YORK	DEPARTMENT OF

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PROJECT REFERENCES – PENDING CONTRACTS NOT YET STARTED BY THE BIDDER ن

List all contracts awarded to or won by the bidder but not yet started.

Contract Amount Date Scheduled Owner Reference & Tel. No. Tel. No. owner Contract (\$000) to Start Reference & if different from Tel. No. owner Contract Contract (\$000) to Start Reference & if different from Owner Contract Contra	gineer Fel. No. from		·			
Contract Amount Date Scheduled Type (\$000) to Start	Architect/En Reference & T if different owner					
Contract Amount Type (\$000)	Owner Reference & Tel. No.					
Contract Type	Date Scheduled to Start					
	Contract Amount (\$000)	-		:		
oject & Location	Contract Type					
	Project & Location					

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Completed Projects awarded					
Contract Name	Contract #	Contract Description	Owner / Owners Representative	Contract Amount	Owner Reference & Contact
Lexington Ave Bollards	1000023573 GCT	Furnish and Install Security Bollards, Parge NYCTA Vent Shaft, Water Main - Curb Valve Relocation, Catch Basin removal and installation, Waterproofing, Sidewalk, Expansion Joint and Vent Shaft frame & Grating Installation at 420 Lexington Ave, New York, NY.	Metro- North	\$ 709,500.00	Coim R. Saunders, PE 914-461-0474
Queens Plaza Bicycle and Pedestrian Improvements Project	1442002	Watermain, Sewer, Lighting, Signal Work, Concrete Sidewalk, Asphait Milling and Paving, Landscaping, Custom Pavers and Irrigation.	NYC Economic Development Corp.	\$ 38,330,225.89	Dan Colangione 212-312- 3765
Low pressure water main	835147	Watermian, Sewer, Lighting, Signal, Concrete Sidewalk, Asphalt Paving, Landscaping and Pavers.	Brooklyn Navy Yard Development Corp.	\$ 12,937,036.73	Carmine Stabile 845-642-0491
Construction of Catch Basins, Various Locations, Bronx, NY	SECBX1	Installation of Catch Basins and Chute Connections, Various Locations, Bronx, NY	NYC Dept. of Design and Construction	\$ 1,931,893.50	Lambert Monah 917-939-6966
Emergency Sidewalk Repairs Project	HWSEMER11	Removal and replacement of trees, stumps, sidewalk, etc due to Hurrican Sandy damage	NYCDDC	\$ 1,326,879.16	Mina Marcos 516-852-8595
Construction of Catch Basins, Various Locations, Manhattan, NY	SECBHLN2	Installation of Catch Basins and Chute Connections, Various Locations, Manhattan, NY	NYC Dept. of Design and Construction	\$ 1,692,668.50	Pierre Rameau Jr 347-203- 1360
High pressure water Main	835147	Watermain, Sewer, Concrete Sidewalk and Asphait Milling and Paving	Brooklyn Navy Yard Development Corp.	\$ 1,258,028.70	Carmine Stabile 845-642-0491
Reconstruction of Building 292 Parking Lot	835147	Storm Water Detention System, Sewer, Watermain, Concrete Sidewalk, Asphait Pavement	Brooklyn Navy Yard Development Corp.	\$ 2,376,909.81	Carmine Stabile 845-642-0491
North Shore Marine Transfer Station		Instilation of hydrants, pipe for new manhole, Sewer tie ins, water line connections	Prismatic	\$ 620,000.00	Grant Macdonald 973-882-1133
Astor Place Reconstruction HWMP116	HWMP116	Water main, sewer, Traffic lighting, street lighting,	NYCDDC	\$ 15,754,866.59	Rafael Rodriguez R.E. 347-865-4413
HWSRT200A Safe Routes for Transit, Bronx, NY	HWSRT200A	Complex bump out ramps Catch basins, curb and sidewalk, & concrete	NYCDDC	\$ 2,855,503.31	John Pavlik (917) 939-7334
Safe Routes to schools	HWCSCH3B2	Installation of bump outs, catch basins, chutes, and utility work	NYCDDC	\$ 5,197,263.00	lyad Marzouq, EIC 212-313-3526

	List of	Current General Co	ontractor Projects	, , , , , , , , , , , , , , , , , , ,	
Contract Name	Agency	Prime/Sub	Contract Amount	Status (% Complete)	Owner Reference & Contact
Reconstruction of Pershing SQ. HWPLZ004M	NYCDDC	Prime	\$ 10,371,035.27	90%	Sanjay Modi EIC 212-442- 1897
Reconstruction of 9th Ave / Gansevoort Area HWMP2020	NYCDDC	Prime	\$ 17,170,162.50	20%	lyad Marzouq, EIC 212- 313-3526
HWCSCH3B2 Safe routes Manhattan	NYCDDC	Prime ·	\$ 5,197,263.00	99%	lyad Marzouq, EIC 212- 313-3526
Kiosk installations	CityBridge	Prime	Task orders	20%	Dan McCarthy 201-214- 3841
E. 86th Street Safety and Streetscape Improvements	NYCDDC	Prime	\$ 10,556,199.64	10%	Srinivasa Keshava (917) 939-7330
HWD10211 185th St - Streetscape Improvements, Manhattan	NYCDDC	Prime	\$3,490,562.25	7%	Ashwin Patel (212) 313-3530
HWP14MTA Complex Ped. Ramps, Manhattan	NYCDDC	Prime	\$3,668,802.49	10%	Krishna Manikarnika
HWK300-01, North Flatbush Ave Atlantic Yard Area, BK NY	NYCDDC	Prime	\$4,894,760.88	15%	Max Achille (646) 739- 7123
GCJAM-01 Green Infrastructure in JAM-003 & JAM-006 Phase 1	NYCDDC	Prime	\$9,267,820.00	5%	Norbu Tsering 718-391- 2555
HWPLZ008Q Realignment and Plaza enchancment for Myrtle/Cooper pl	NYCDDC	Prime	\$3,399,662.03	38%	Nathalie Pierre-Georges 718-391-2477
HWP15KCL- Complex pedestrian ramps	NYCDDC	Prime	\$6,475,482.52	10%	Eric Cifaldi (732) 575-4075
GE-357	NYCDDC	Prime	Task orders	10%	
Bronx sewer & water main HEDA002	NYCDDC	Prime	\$18,049,346.12	5%	
Complex Ped ramps adjacent to landmarks. Manhattan (HWP16MTAL)	NYCDDC	Prime	\$ 2,739,707.88	5%	

OFFICE OF THE MAYOR BUREAU OF LABOR SERVICES CONTRACT CERTIFICATE

To be completed if the contract is less than \$1,000,000

Contractor:		
Address:		
Telephone Number:		1
Name and Title of Signatory:		
Contracting Agency or Owner:		
Project Number:		·
Proposed Contract Amount:		
Description and Address of Proposed Contra	ct:	
Names of Subcontractors in the amount of 75 state indicating that trades will be subcontracted.		
I, (fill in name of person signing) hereby affirm that I am authorized by the abo	ove-named contractor to cert	ify that said contractor's
proposed contract with the above-named owr is made in accordance with Executive Order	ner or city agency is less than	1,000,000. This affirmation
Date	Signatu	re

WILLFUL OR FRAUDULENT FALSIFICATION OF ANY DATA OR INFORMATION SUBMITTED HEREWITH MAY RESULT IN THE TERMINATION OF ANY CONTRACT BETWEEN THE CITY AND THE BIDDER OR CONTRACTOR AND BAR THE BIDDER OR CONTRACTOR FROM PARTICIPATION IN ANY CITY CONTRACT FOR A PERIOD OF UP TO THREE YEARS. FURTHER, SUCH FALSIFICATION MAY RESULT IN CRIMINAL PROSECUTION.

VENDEX COMPLIANCE

- (A) <u>Vendex Fees</u>: Pursuant to Procurement Policy Board Rule 2-08(f)(2), the contractor will be charged a fee for the administration of the VENDEX system, including the Vendor Name Check process, if a Vendor Name Check review is required to be conducted by the Department of Investigation. The contractor shall also be required to pay the applicable required fees for any of its subcontractors for which Vendor Name Check reviews are required. The fee(s) will be deducted from payments made to the contractor under the contract. For contracts with an estimated value of less than or equal to \$1,000,000, the fee will be \$175 per Vendor Name Check review. For contracts with an estimated value of greater than \$1,000,000, the fee will be \$350 per Vendor Name Check review.
- **(B)** <u>Confirmation of Vendex Compliance</u>: The Bidder shall submit this Confirmation of Vendex Compliance to the Department of Design and Construction, Contracts Section, 30-30 Thomson Avenue First Floor, Long Island City, NY 11101.

Bid Information: The Bidder shall complete the bid information set forth below.

	Name of Bidder:
	Bidder's Address:
	Bidder's Telephone Number:
•	Bidder's Fax Number:
	Date of Bid Opening:
	PROJECT ID:
	lex Compliance: To demonstrate compliance with Vendex requirements, the Bidder shall complete
eithe	r Section (1) or Section (2) below, whichever applies.
(1)	Submission of Vendex Questionnaires to MOCS: By signing in the space provided below, the
	Bidder certifies that as of the date specified below, the Bidder has submitted Vendex
	Questionnaires to the Mayor's Office of Contract Services, Attn: VENDEX, 253 Broadway, 9 th
	Floor, New York, New York 10007.
	Date of Submission:
	By:
	By:(Signature of Partner or corporate officer)
	Print Name:
(2)	Submission of Certification of No Change to DDC: By signing in the space provided below.
(2)	the Bidder certifies that it has read the instructions in a "Vendor's Guide to Vendex" and that such instructions do not require the Bidder to submit Vendex Questionnaires. The Bidder has
	completed TWO ORIGINALS of the Certification of No Change set forth on the next page of this Bid Booklet.
	By:
	(Signature of Partner or corporate officer)
	Print Name:

Certificate of No Change Form



- Please submit two completed forms. Copies will not be accepted.
- Please send both copies to the agency that requested it, unless you are advised to send it directly to the Mayor's Office of Contract Services (MOCS).
- A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.
- A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges

I,, being duly sworn, state that I have read Enter Your Name
and understand all the items contained in the vendor questionnaire and any submission of change as identified on page one of this form and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.
In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) and any submission of change identified on page two of this form have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.
I understand that the City of New York will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.
Vendor Questionnaire This section is required. This refers to the vendor questionnaire(s) submitted for the vendor doing business with the City.
Name of Submitting Entity:
Vendor's Address:
Vendor's EIN or TIN: Requesting Agency:
Are you submitting this Certification as a parent? (Please circle one) Yes No
Signature date on the last full vendor questionnaire signed for the submitting vendor:
Signature date on change submission for the submitting vendor:

Principal Questionnaire



This section refers to the most recent principal questionnaire submissions.

Principal Name	Date of signature on last full Principal Questionnaire	Date(s) of signature of submission of change
s form must be signed and notarize	-	opies will not be accepted
s form must be signed and notarize	-	opies will not be accepted
s form must be signed and notarize	-	opies will not be accepted
s form must be signed and notarize ertified By: Name (Print)	-	opies will not be accepted
s form must be signed and notarize ertified By: Name (Print) Title	-	opies will not be accepted
s form must be signed and notarize ertified By: Name (Print) Title	-	opies will not be accepted
s form must be signed and notarize ertified By: Name (Print) Title	-	Date
Name of Submitting Entity	-	
s form must be signed and notarize ertified By: Name (Print) Title Name of Submitting Entity Signature	-	
s form must be signed and notarize ertified By: Name (Print) Title Name of Submitting Entity Signature otarized By:	ed. Please complete this twice. Co	Date

Certificate of No Change Form



- Please submit two completed forms. Copies will not be accepted.
- Please send both copies to the agency that requested it, unless you are advised to send it directly to the Mayor's Office of Contract Services (MOCS).
- A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.
- A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges

, be Enter Your Name	eing duly sworn, state that I have read
and understand all the items contained in the vendor question as identified on page one of this form and certify that as of this changed. I further certify that, to the best of my knowledge, in are full, complete, and accurate; and that, to the best of my knowledge answers continue to be full, complete, and accurate.	s date, these items have not nformation and belief, those answers
In addition, I further certify on behalf of the submitting vendor principal questionnaire(s) and any submission of change iden not changed and have been verified and continue, to the best and accurate.	tified on page two of this form have
I understand that the City of New York will rely on the informa additional inducement to enter into a contract with the submitt	
Vendor Questionnaire This section is required. This refers to the vendor questionnaire(s) submitted for the ve	endor doing business with the City.
Name of Submitting Entity:	
Vendor's Address:	
Vendor's EIN or TIN: Requesting A	gency:
Are you submitting this Certification as a parent? (Please circ	ele one) Yes No
Signature date on the last full vendor questionnaire signed for	the submitting vendor:
Signature date on change submission for the submitting vend	or:

Principal Questionnaire



This section refers to the most recent principal questionnaire submissions.

Principal Name	Date of signature on last full Principal Questionnaire	Date(s) of signature or submission of change
· · · · · · · · · · · · · · · · · · ·		
		3.33.00
-	equired. ized. Please complete this twice. C	opies will not be accepted
ertified By:		
Name (Print)		
Title		
Name of Submitting Entity	***************************************	
rianie or cazimiany Linay		
Signature		Date
Notarized By:		
Notary Public	County License Issued	License Number
Sworn to before me on:		
Date		

IRAN DIVESTMENT ACT COMPLIANCE RIDER

FOR NEW YORK CITY CONTRACTORS

The Iran Divestment Act of 2012, effective as of April 12, 2012, is codified at State Finance Law ("SFL") §165-a and General Municipal Law ("GML") §103-g. The Iran Divestment Act, with certain exceptions, prohibits municipalities, including the City, from entering into contracts with persons engaged in investment activities in the energy sector of Iran. Pursuant to the terms set forth in SFL §165-a and GML §103-g, a person engages in investment activities in the energy sector of Iran if:

- (a) The person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or
- (b) The person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran and is identified on a list created pursuant to paragraph (b) of subdivision three of Section 165-a of the State Finance Law and maintained by the Commissioner of the Office of General Services.

A bid or proposal shall not be considered for award nor shall any award be made where the bidder or proposer fails to submit a signed and verified bidder's certification.

Each bidder or proposer must certify that it is not on the list of entities engaged in investment activities in Iran created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law. In any case where the bidder or proposer cannot certify that they are not on such list, the bidder or proposer shall so state and shall furnish with the bid or proposal a signed statement which sets forth in detail the reasons why such statement cannot be made. The City of New York may award a bid to a bidder who cannot make the certification on a case by case basis if:

- (1) The investment activities in Iran were made before the effective date of this section (i.e., April 12, 2012), the investment activities in Iran have not been expanded or renewed after the effective date of this section and the person has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
- (2) The City makes a determination that the goods or services are necessary for the City to perform its functions and that, absent such an exemption, the City would be unable to obtain the goods or services for which the contract is offered. Such determination shall be made in writing and shall be a public document.

BIDDER'S CERTIFICATION OF COMPLIANCE WITH <u>IRAN DIVESTMENT ACT</u>

Pursuant to General Municipal Law §103-g, which generally prohibits the City from entering into contracts with persons engaged in investment activities in the energy sector of Iran, the bidder/proposer submits the following certification:

[Pleas	se Check One]
BIDDE	ER'S CERTIFICATION
	By submission of this bid or proposal, each bidder/proposer and each person signing on behalf of any bidder/proposer certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief, that each bidder/proposer is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law.
	I am unable to certify that my name and the name of the bidder/proposer does not appear on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law. I have attached a signed statement setting forth in detail why I cannot so certify.
	SIGNATURE Clerke Corte
	PRINTED NAME PRESIDEST
	to before me this day of 10.2.20
Notary	SHIRLEY JACOBS Public NOTARY PUBLIC-STATE OF NEW YORK No. 01JA6078625
Dated:	

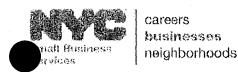
The City of New York Department of Small Business Services Division of Labor Services Contract Compliance Unit 110 William Street, New York, New York 10038

Phone: (212) 513 - 6323 Fax: (212) 618-8879 CONSTRUCTION EMPLOYMENT REPORT

	Your contractual relationship in this contract is:	Prime contractor_x_ Subcontractor
	Are MWBE goals attached to this project? Yes	No
	Please check one of the following if your firm would it	ike information on how to certify with the
	Minority Owned Business EnterpriseWomen Owned Business EnterpriseDisadvantaged Business Enterprise	Locally Based Business Enterprise Emerging Business Enterprise
	if you are certified as an MBE, WBE, LBE, EBE or I certified with?	OBE, what city/state agency are you Are you DBE certified? Yes No
	Please indicate if you would like assistance from SBS contracting opportunities: Yes No	3 in identifying certified MWBEs for
	Is this project subject to a project labor agreement?	Yes No
	Are you a Union contractor? Yes / No lf with 131,1010, 나나 도	yes, please list which local(s) you affiliated
		6-
T	Are you a Veteran owned company? YesNo	
T	Are you a Veteran owned company? Yes No_ I: CONTRACTOR/SUBCONTRACTOR INFORMATION	ON S
T	Are you a Veteran owned company? YesNo_ i: CONTRACTOR/SUBCONTRACTOR INFORMATION SHOWS Employer Identification Number or Federal Tax I.D. Trumph Unit Comp	ON S
T	Are you a Veteran owned company? Yes No_ I: CONTRACTOR/SUBCONTRACTOR INFORMATION 345655 Employer Identification Number or Federal Tax I.D. Trumph	ON TO OTTHUMPHONSTRUCTION MY Email Address
T -	Are you a Veteran owned company? Yes No_ I: CONTRACTOR/SUBCONTRACTOR INFORMATION Sample Samp	ON ZU O Triumph Construction My Email Address M 10474
T -	Are you a Veteran owned company? Yes No_ I: CONTRACTOR/SUBCONTRACTOR INFORMATION Sample Samp	ON TO OTTHUMPHONSTRUCTION MY Email Address

	06-0-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1	<i>.</i>) _	
	Contract Information:	JS,	1 30,4
	5 25 050 Fresho	· '	. '
	(a) Contracting Agency (City Agency) (b) Contract Amount	•.	
		a"	
	(c) 8502016WM0017(1)	*	
	Procurement Identification Number (PIN) Contract Registration Number (CT#)	!	
	(e)(f)	19	
	Projected Commencement Date Projected Completion Date		
	(g) Description and location of proposed contract:		". •
	Replacement of water mains in Ave S. between Coney	1	W
			١,
	Island Aug + E 18th St. in Brookfur		•,1
		•.	
	If yes, attach a copy of certificate.		
	If yes, attach a copy of certificate. Has DLS within the past month reviewed an Employment Report submission for your company and issued a Conditional Certificate of Approval? YesNo	1	
•	Has DLS within the past month reviewed an Employment Report submission for your company		· •
F	Has DLS within the past month reviewed an Employment Report submission for your company and issued a Conditional Certificate of Approval? Yes No		N
	Has DLS within the past month reviewed an Employment Report submission for your company and issued a Conditional Certificate of Approval? YesNo		V .
	Has DLS within the past month reviewed an Employment Report submission for your company and issued a Conditional Certificate of Approval? YesNo If yes, attach a copy of certificate. TE: DLS WILL NOT ISSUE A CONTINUED CERTIFICATE OF APPROVAL IN CONNECTION THE THIS CONTRACT UNLESS THE REQUIRED CORRECTIVE ACTIONS IN PRIOR NOITIONAL CERTIFICATES OF APPROVAL HAVE BEEN TAKEN. Has an Employment Report already been submitted for a different contract (not covered by this Employment Report) for which you have not yet received compliance certificate? Yes No If yes,		N
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	Has DLS within the past month reviewed an Employment Report submission for your company and issued a Conditional Certificate of Approval? YesNo		N
	Has DLS within the past month reviewed an Employment Report submission for your company and issued a Conditional Certificate of Approval? YesNo		N
	Has DLS within the past month reviewed an Employment Report submission for your company and issued a Conditional Certificate of Approval? YesNo		

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Gregg Bishop Commissioner

218CY076

March 19, 2018

Mr. Sergio Eiras Triumph Construction Corporation 1354 Seneca Avenue Bronx, NY 10474

RE: NYC Department of Design and Construction Contract (DDC); Project No. SECBRK901; Pin No. 8502017SE0027C; Installing new catch basins and reconstruction of existing collapsed catch basins; Boroughs of Brooklyn and Staten Island; Contract Value: \$3,935,331.50; Certificate of Approval.

Dear Mr. Eiras:

The Department of Small Business Services/Division of Labor Services (DLS) has concluded that Triumph Construction Corporation meets the equal employment opportunity requirements of the City of New York, as stated in Executive Order No. 50 (1980) as amended (E.O. 50), its implementing Rules (Rules), and Chapter 56 of the City Charter (Chapter 56). Consequently, DLS has notified (DDC) of this determination.

Contingent upon Triumph Construction Corporation's ongoing compliance with E.O. 50 and Chapter 56, this approval shall be effective or the three (3) year period commencing on March 19, 2018 and terminating on March 18, 2021. This determination for a three-year approval only exempts contractors from completing the policy and procedure section of the Employment Report on future contracts within this three-year period. However, a Construction Employment Report must be submitted for each new project. In addition, Triumph Construction Corporation must regularly submit the Monthly Workforce Utilization Table and Monthly Payroll Records as explained during the Pre-Award Conference on March 14, 2018.

Page Two

It is important that Triumph Construction Corporation as a New York City contractor provide equal employment opportunity for all employees and applicants for employment.

Please direct all correspondence to Ms. Rosalyn Dawson, Project Manager. Should you have any questions regarding this letter, you may call Ms. Dawson at (212) 618-8843 or e-mail her at <u>rdawson@sbs.nyc.gov</u>.

Very truly yours,

Heleit Wilson Assistant Commissioner Division of Labor Services

cc: Lorraine Holley (DDC)
Michael Shipman (DDC)

Rosalyn Dawson

File

,	(a) Na	me and address of OFCCP office.	and the second s
	(b) Wa	as a Certificate of Equal Employment Compliance issued within the past 36	s months?
* *	lf y	es, attach a copy of such certificate.	•
	(c) We	re any corrective actions required or agreed to? Yes No	enteres entere La companya de la companya de
	° If'y	es, attach a copy of such requirements or agreements.	\$ c
	(d) We	re any deficiencies found? Yes No	
	lf y	es, attach a copy of such findings.	•
19.	is resp	company or its affiliates a member or members of an employers' trade as onsible for negotiating collective bargaining agreements (CBA) which affecting? Yes No	sociation which at construction
	if yes,	attach a list of such associations and all applicable CBA's.	
PAR'	T II: DOC	CUMENTS REQUIRED	*
20.	brochu	following policies or practices, attach the relevant documents (e.g., printe res, manuals, memoranda, etc.). If the policy(les) are unwritten, attach a foractices. See instructions.	d booklets, ull explanation
	(a)	Health benefit coverage/description(s) for all management, nonunion and union employees (whether company or union administered)	, ,
	(b)	المراجع والمنافذ والم	h** 4** *
	(c)	Employee Policy/Handbook	
	(d)	Personnel Policy/Manual	
	(e)	Supervisor's Policy/Manual	
	,— (f)	Pension plan or 401k coverage/description for all management, nonunion and union employees, whether company or union administere	d
	(g)	Collective bargaining agreement(s).	
	(h)		•
	(j)	Employee evaluation policy/form(s).	
	()	Does your firm have medical and/or non-medical (i.e. education, military personal, pregnancy, child care) leave policy?	,
	×		1

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	(vi) Didne to tak affair	
	(a) Prior to job offer Yes No (b) After a conditional job offer Yes No (c) No (c)	4'
	(c) After a job offer Yes No.	•
	(d) Within the first three days on the job Yes No	
	(e) To some applicants Yes No	
. '	(f) To all applicants Yes No.	, a.
	(g) To some employees Yes No	*
•	(h) To all employees Yes _ No	
	Explain where and how completed I-9 Forms, with their supportive documentation, are maintained and made accessible.	
	maintained and made accessible.	
	Cubleath tile?	
		٠,
-	Does your firm or any of its collective bargaining agreements require job applicants to take	а
	medical examination? Yes No	-
	If yes, is the medical examination given:	
	(a) Prior to a job offer Yes No	
	(b) After a conditional job offer Yes No	•
	(c) After a job offer Yes No	; "
	(d) To all applicants Yes No	
	(e) Only to some applicants YesNo	
	(e) Only to some applicants Yes No	
	If yes, list for which applicants below and attach copies of all medical examination or	
		e
	If yes, list for which applicants below and attach copies of all medical examination or	£
	If yes, list for which applicants below and attach copies of all medical examination or questionnaire forms and instructions utilized for these examinations.	r 1.
	If yes, list for which applicants below and attach copies of all medical examination or	
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	If yes, list for which applicants below and attach copies of all medical examination or questionnaire forms and instructions utilized for these examinations. Do you have a written equal employment opportunity (EEO) policy? Yes No If yes, list the document(s) and page number(s) where these written policies are located.	7 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -
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1354 Seneca Avenue Bronx, New York 10474 Phone: 718 861-6060 Fax: 718 861-6660

QUESTION #20

- A) Health benefits are offered to non-union employees after 3 months of employment. Union employees are covered by their Union Benefits in which Triumph pays into as per our collective bargaining agreements.
- B) Disability coverage is paid to NYS as required. Life insurance and other optional coverage may be provided by Triumph on a case by case basis. Union employees are cover as per union benefits.
- C) Triumph does have a written policy that is available upon request.
- D) Triumph does have a written policy that is available upon request.
- E) Triumph does have a written policy that is available upon request.
- F) Union administered
- G) Collective bargaining agreements are available for review if required
- H) Employment applications are help in employee files; which are maintained at our office
- i) Employee evaluations are performed on an informal basis
- J) We do have an internal as needed leave policy that is discussed individually upon request.

Has any employee, within the past three years, filed a complaint pursuant to an internal grievance procedure or with any official of your firm with respect to equal employment opportunity? Yes No
If yes, attach an internal complaint log. See instructions.
Has your firm, within the past three years, been named as a defendant (or respondent) in an administrative or judicial action where the complainant (plaintiff) alleged violation of any anti-discrimination or affirmative action laws? YesNo
If yes, attach a log. See instructions.
Are there any jobs for which there are physical qualifications? Yes No
if yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).
Are there any jobs for which there are age, race, color, national origin, sex, creed, disability, marital status, sexual orientation, or citizenship qualifications? YesNo_i
If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

SIGNATURE PAGE

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		e best of my knowledge and be	
ubmitted with the understanding			
		Executive Order No. 50 (1980)	. as
		contractual obligation. I also a	
shalf of the company to submit	a certified copy of payroll re-	cords to the Division of Labor S	ervices on
monthly basis.			
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Triumph Const Co	<u>~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~</u>		
ontractor's Name			
Somnick Wa	· Tar	Dr. out Ma	
SOFTIMULA COCI	<u> </u>	Title	<u>~~~</u>
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acho Carri	* * * *	President	
ame of official authorized to sign	on behalf of the contractor		
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CONTRACT BID INFORMATION: USE OF SUBCONTRACT STRADES

- Do you plan to subcontractor work on this contract? Yes / No
- If yes, complete the chart below.

NOTE: All proposed subcontractors with a subcontract in excess of \$750,000 must complete an Employment Report for review and approval before the contract may be awarded and work commences.

	08/1990		*		
PROJECTED DOLLAR VALUE OF SUBCONTRACT	180/2000 Cartifact	3/1) Just	350 000	J 50,000	
TRADE PROJECTED FOR USE BY SUBCONTRACTOR	Troking	(ant score	Hazulat	Pest Control	
WORK TO BE PERFORMED BY SUBCONTRACTOR					
OWNERSHIP (ENTER APPROPRATE CODE LETTERS BELOW)	\(\times_{\text{\chi}}\)				•
SUBCONTRACTOR'S NAME*					

"If subcontractor is presently unknown, please enter the trade (craft name).

OWNERSHIP CODES

W: White

Black Hispanic

Native American Asian

N: Native A F: Female

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FORM B. PROJECTED WORKFORCE

ASSIFICATION CODES TRADE

(A) Apprentice (TRN) Trainee (J) Journeylevel Workers (TOT) Total by Column

For each trade to be engaged by your company for this project, enter the projected workforce for Males and Females by trade classification on the charts below.

Trade:				MALES					E	FEMALES		-
(८००४		(1) White	88ack	ල	4	<u>(</u>	***		<u>\$</u> 3	(8)	6	(10)
Union Affiliation, if applicable		Non Hisp.	Non Hisp.	Hisp.	Astan	Native Amer.	Non Hisp	1	Non Hisp	HIsp.	Asian	Native Amer.
Total (Col. #1-10):	~			3								*
Total Minority, Male & Female	I									·	*	- - - - - - - - - - - -
(Col. #2,3,4,5,7,8,9, & 10):	⋖		ī.	**								7
Total Female (Col. #6 – 10): ()	TRN					3	-		*			
	TOT			4	4	4					*	
							i .		*.	r'	al A	

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center; community outreach)? Union July

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ade:				MALES					P	FEMALES			
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What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)? Marin hille

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FORM C. CURRENT WORKFORCE

TRADIA ASSIFICATION CODES

(J) Journeylevel Workers (A) Apprentice (H) Helper (TRN) Trainee (TOT) Total by Column

For each trade currently engaged by your company for all work performed in New York City, enter the current workforce for Males and Females by trade classification on the charts below.

frade:		:•.	. (55)	MALES	я.,			Œ	FEMALES		
Union Affiliation, if applicable	*	(1) White Non Hisp.	(2) Black Non Hisp.	ES G	Asian	(5) Native	(6) White Non Hisp	Non History	© §	(9)	(10) Native
Total (Col. #1-10):	7	\sim	3					-			Š
Total Minority, Male & Female	I	-									į.
Col. #2,3,4,5,7,8,9, & 10):	· «										
Fotal Female Col. #6 – 10):	TRN										
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What are the recruitment appropa for you projected hires (i.e., unions, government employment office, job tap center, community outreach)? シシン

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MALES

Trade:

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?	-	
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ATTACH TO CONTRACT DOCUMENTS

THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION **INFRASTRUCTURE DIVISION BUREAU OF DESIGN**

PROJECT ID: BED809

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET IN BROOKLYN.

> Together With All Work Incidental Thereto **BOROUGH OF BROOKLYN** CITY OF NEW YORK

> > ADDENDUM NO. 1

DATED: MAY 31, 2018

THIS ADDENDUM IS HEREBY MADE A PART OF THE CONTRACT DOCUMENTS

For additional information, see the attached ONE (1) page of "Questions Submitted by Bidders and DDC's Responses".

END OF ADDENDUM NO. 1

By signing in the space provided below, the bidder acknowledges receipt of this Addendum consisting of ONE (1) page and attachment consisting of ONE (1) page.

THIS ADDENDUM MUST BE SIGNED BY ALL BIDDERS AND ATTACHED TO THEIR BID

sociate Commissioner/Design I

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

ADDENDA CONTROL SHEET

BID OPENING DATE: June 7, 2018

PROJECT NO .:

BED809

DESCRIPTION:

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S

BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET IN

BROOKLYN

	BROOKLYN.							
Addendum		Addendum Contains:						
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The Table above is a guide. Refer to the referenced Addendum for specific information.

ATTACH TO CONTRACT DOCUMENTS

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
INFRASTRUCTURE DIVISION
BUREAU OF DESIGN

PROJECT ID: BED809

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND E.
18TH STREET IN BROOKLYN.

Together With All Work Incidental Thereto BOROUGH OF BROOKLYN CITY OF NEW YORK

ADDENDUM NO. 1

DATED: MAY 31, 2018

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THIS ADDENDUM MUST BE SIGNED BY ALL BIDDERS AND ATTACHED TO THEIR BID

	for GURDIP SIANI, P.E. Associate Commissioner/Design I
Name of Bidder	
Ву:	

QUESTIONS SUBMITTED BY BIDDERS AND DDC'S RESPONSES

Question 1:

On Sheet 6 of the drawings "National Grid Gas Notes (Typ.)" indicates the presence of an existing 30" 350 PSI gas transmission line. Where is this line?

DDC's Response:

"National Grid Gas Notes (Typ.)" provided by the National Grid regarding transmission line is just for information purposes. Exact location of the utility is to be determined at site.

Question 2:

On Sheet 14 of the drawings the plan for E. 13th Street shows new water mains labeled as both 8" and 12". Please clarify.

DDC's Response:

Existing water main at E. 13th Street between Avenue V and Gravesend Neck Road must be replaced with new 12" water main.

Question 3:

On the "General Notes and Conditions for Utility Work" sheet, labeled Sheet 1 of 1, Special Conditions Note 1 indicates that time to accomplish utility specialty work has been included in Schedule A. Please indicate how much time has been allocated for the utility company specialty work.

DDC's Response:

Specialty work is to be deemed included in the consecutive calendar days ("ccds") mentioned in Schedule A.

ATTACH TO CONTRACT DOCUMENTS

THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION INFRASTRUCTURE DIVISION BUREAU OF DESIGN

PROJECT ID: BED809

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET IN BROOKLYN.

Together With All Work Incidental Thereto BOROUGH OF BROOKLYN CITY OF NEW YORK

ADDENDUM NO. 2

DATED: JUNE 5, 2018

THIS ADDENDUM IS HEREBY MADE A PART OF THE CONTRACT DOCUMENTS

- 1. Refer to the Bid and Contract Documents, VOLUME 1 OF 3, BID SCHEDULE.
 - **Delete** BID SCHEDULE in its entirety and replace it with attached revised BID SCHEDULE.
- 2. Refer to the Bid and Contract Documents, VOLUME 3 OF 3, SCHEDULE A.
 - Delete Schedule A in its entirety and replace it with attached revised SCHEDULE A.
- 3. For additional information, see the attached ONE (1) page of "Questions Submitted by Bidders and DDC's Responses".

END OF ADDENDUM NO. 2

By signing in the space provided below, the bidder acknowledges receipt of this Addendum consisting of ONE (1) page and attachments consisting of sixty-eight (68) pages.

THIS ADDENDUM MUST BE SIGNED BY ALL BIDDERS AND ATTACHED TO THEIR BID

GURDIP SIANI, P.E.

Associate Commissioner/Design I

Name of Bidder

Bv:

A2-1

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

ADDENDA CONTROL SHEET

BID OPENING DATE: June 7, 2018

PROJECT NO.:

BED809

DESCRIPTION:

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S

BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET IN

BROOKLYN.

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Addendum		Addendum Contains:							
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THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
INFRASTRUCTURE DIVISION
BUREAU OF DESIGN

PROJECT ID: BED809

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND E.

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Together With All Work Incidental Thereto
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 <u>Delete BID SCHEDULE</u> in its entirety and replace it with attached revised BID SCHEDULE.
- Refer to the Bid and Contract Documents, VOLUME 3 OF 3, SCHEDULE A.
 Delete Schedule A in its entirety and replace it with attached revised SCHEDULE A.
- 3. For additional information, see the attached ONE (1) page of "Questions Submitted by Bidders and DDC's Responses".

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furumant ana.
GURDIP SIANI, P.E. Associate Commissioner/Design I

Project ID: BED809

QUESTIONS SUBMITTED BY BIDDERS AND DDC'S RESPONSES

Question 1:

With reinforcement required to be placed in possible Bus Stop locations as per bid item 4.05 AX, where are we to include the material and installation of the necessary steel reinforcement for them?

DDC's Response:

The material and installation of necessary Bus Stop steel reinforcement is included in item # 7.19. Load Transfer Joint

Question 2:

Will there be a set "Unit price bid shall not less than bid amount" for bid item #145 - JB 350 T/TW (Verizon) - Overhead Accommodations, Protection of Overhead Facilities, Poles and Appurtenances?

DDC's Response:

In no case shall the unit price bid be less than \$60,220.00.

Question 3:

Will there be a set "Unit price bid shall not be less than bid amount" for bid item #182 – JB 638 N (Verizon) – Installation of Field Constructed Utilities Structures?

DDC's Response:

In no case shall the unit price bid be less than \$2,576.00.

Question 4:

We will need clarification as to the required local street "Specific Pavement Restorations" needed for this area. It is unclear as to the type and necessities needed for such restoration for all of the streets that the watermain will be installed.

DDC's Response:

Please refer to Bid Documents, volume 3 of 3 -SW Pages, specific pavement restoration provisions, page SW12 - SW13.

Question 5:

Page SW-5 identifies the requirement of "Railroad Protection Liability Insurance" for this project but no such indication referenced in Schedule "A" as to the need and parameters. Can you clarify as to the type of need?

DDC's Response:

Refer to Article No. 2 of this Addendum

Question 6:

In Schedule "A" (pg. SA-4), the base contract duration is shown to be a total of 1,520 consecutive calendar days, but the Bid Schedule has a "Maintenance of Site" set at 18 months and an "Engineer's Office" to be maintained for 26 months. Please clarify as to what time limits are accurate for this project?

DDC's Response:

Refer to Article No. 1&2 of this Addendum

ATTACH TO CONTRACT DOCUMENTS

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
INFRASTRUCTURE DIVISION
BUREAU OF DESIGN

PROJECT ID: BED809

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET IN BROOKLYN.

Together With All Work Incidental Thereto BOROUGH OF BROOKLYN CITY OF NEW YORK

ADDENDUM NO. 3

DATED: JUNE 6, 2018

THIS ADDENDUM IS HEREBY MADE A PART OF THE CONTRACT DOCUMENTS

1. Refer to the Bid and Contract Documents, VOLUME 1 OF 3, Attachment 1 – Bid Information on Page A-1;

<u>Change</u> the dates shown for <u>Submission of Bids</u> and for <u>Bid Opening</u> from "June 7, 2018" to read "June 14, 2018."

2. Refer to the Bid and Contract Documents, VOLUME 1 OF 3, SCHEDULE B – M/WBE Utilization Plan on Page 13:

<u>Change</u> the date shown for Bid/Proposal Response Date from "June 7, 2018" to read "June 14, 2018."

END OF ADDENDUM NO. 3

By signing in the space provided below, the bidder acknowledges receipt of this Addendum consisting of <u>ONE (1)</u> page.

THIS ADDENDUM MUST BE SIGNED BY ALL BIDDERS AND ATTACHED TO THEIR BID

GURDIP SIANI, P.E.

Associate Commissioner/Design I

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

ADDENDA CONTROL SHEET

BID OPENING DATE: June 14, 2018

PROJECT NO.:

BED809

DESCRIPTION:

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S

BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET IN

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ATTACH TO CONTRACT DOCUMENTS

THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION INFRASTRUCTURE DIVISION BUREAU OF DESIGN

PROJECT ID: BED809

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND E.
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BOROUGH OF BROOKLYN
CITY OF NEW YORK

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		GURDIP SIANI, P.E.
		Associate Commissioner/Design I
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By:		

ATTACH TO CONTRACT DOCUMENTS

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
INFRASTRUCTURE DIVISION
BUREAU OF DESIGN

PROJECT ID: BED809

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET IN BROOKLYN.

Together With All Work Incidental Thereto BOROUGH OF BROOKLYN CITY OF NEW YORK

ADDENDUM NO. 4

DATED: JUNE 8, 2018

THIS ADDENDUM IS HEREBY MADE A PART OF THE CONTRACT DOCUMENTS

- <u>Refer</u> to the Bid and Contract Documents, VOLUME 1 OF 3, BID SCHEDULE.
 <u>Delete</u> BID SCHEDULE in its entirety and replace it with attached revised BID SCHEDULE.
- Refer to the Bid and Contract Documents, VOLUME 3 OF 3, JB-PAGES.
 Delete page JB-8 and JB-9 in its entirety and replace it with attached revised pages JB-8R and JB-9R.
- 3. For additional information, see the attached ONE (1) page of "Questions Submitted by Bidders and DDC's Responses".

END OF ADDENDUM NO. 4

By signing in the space provided below, the bidder acknowledges receipt of this Addendum consisting of <u>ONE (1)</u> page and <u>FIFTY-SEVEN (57)</u> page of attachment.

THIS ADDENDUM MUST BE SIGNED BY ALL BIDDERS AND ATTACHED TO THEIR BID

GURDIP SIANI, P.E.

Associate Commissioner/Design I

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

ADDENDA CONTROL SHEET

BID OPENING DATE: June 14, 2018

PROJECT NO.:

BED809

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FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S

BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET IN

BROOKLYN.

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THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
INFRASTRUCTURE DIVISION
BUREAU OF DESIGN

PROJECT ID: BED809

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND E.

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GURDIP SIANI, P.E.
Associate Commissioner/Design I

Name of Bidder

By:______

Project ID: BED809

QUESTIONS SUBMITTED BY BIDDERS AND DDC'S RESPONSES

Question 1:

7 street locations identified in section E.1.1 (pg SW-12) require curb to curb restoration if any construction work is performed before date of protection. These 7 streets list dates of when the current "protection" limits expire. What type of "curb to curb restoration" will ultimately be required for these 7 specific streets?

DDC's Response:

Please refer to Bid Documents, volume 3 of 3, page SW-12. Sub section 40.14.7 (7) (E) (2) (A) (a) and (b), for details regarding overlay pavement restoration for the seven streets locations.

Question 2:

(E.2) states that "In street areas requiring sewer and water main work outside the limits of highway reconstruction the restoration shall be as follows". What specific streets will require such restoration listed under section (E.2.A.a.b) or (E.2.B.a) on pages SW-12 – SW-13?

DDC's Response:

There are no specific streets targeted during design, but can occur during construction as directed by the engineer.

Question 3:

The new bid sheets that have been provided under Addendum #2 have several bid items that seem to have been revised for some reason. These bid items listed below have been revised to NOT show a "Unit Price bid shall not be less than" amount. Can you please clarify that these items listed below will not need to be limited to specific bid amounts?

65.21PS - Furnishing & Placing Polyethylene Sleeve

65.31FF - Furnishing/Delivering & Placing Filter Fabric

7.13 B – Maintenance of Site

70.81 CB - Clean Backfill

73.31AE0 – Additional Earth Excavation Incl Test Pits (All Depths)

DDC's Response:

Please refer to Article No. 1 of this Addendum and changes made therein.



INFRASTRUCTURE DIVISION BUREAU OF DESIGN

VOLUME 1 OF 3

PROJECT ID: BED809

CAPITAL PROJECT WM-1

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET

Together With All Work Incidental Thereto BOROUGH OF BROOKLYN CITY OF NEW YORK

	······································	Contractor
Dated		



THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

30-30 THOMSON AVENUE LONG ISLAND CITY, NEW YORK 11101-3045 TELEPHONE (718) 391-1000 WEBSITE www1.nyc.gov/site/ddc/index.page

VOLUME 2 OF 3

INFORMATION FOR BIDDERS
CONTRACT
PERFORMANCE AND PAYMENT BONDS
PREVAILING WAGE SCHEDULE

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED FOR:

PROJECT ID: BED809

CAPITAL PROJECT WM-1

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET

Together With All Work Incidental Thereto
BOROUGH OF BROOKLYN
CITY OF NEW YORK



FOR THE DEPARTMENT OF ENVIRONMENTAL PROTECTION PREPARED BY IN-HOUSE DESIGN

November 1, 2017



THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

30-30 THOMSON AVENUE LONG ISLAND CITY, NEW YORK 11101-3045 TELEPHONE (718) 391-1000 WEBSITE www1.nyc.gov/site/ddc/index.page

VOLUME 2 OF 3

INFORMATION FOR BIDDERS CONTRACT PERFORMANCE AND PAYMENT BONDS PREVAILING WAGE SCHEDULE

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED FOR:



FOR THE DEPARTMENT OF ENVIRONMENTAL PROTECTION PREPARED BY IN-HOUSE DESIGN

November 1, 2017

CITY OF NEW YORK

DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURES

INFORMATION FOR BIDDERS

JUNE 2015

CITY OF NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION INFORMATION FOR BIDDERS

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INFORMATION FOR BIDDERS

1. <u>Description and Location of Work</u>

The description and location of the work for which bids are requested are specified in Attachment 1, "Bid Information". Attachment 1 is included as page A-l of the Bid Booklet.

2. <u>Time and Place for Receipt of Bids</u>

Sealed bids shall be received on or before the date and hour specified in Attachment 1, at which time they will be publicly opened and read aloud in the presence of the Commissioner or his or her representative, and any bidders who may desire to be present.

3. Definitions

The definitions set forth in the Procurement Policy Board Rules shall apply to this Invitation For Bids.

4. <u>Invitation For Bids and Contract Documents</u>

- (A) Except for titles, sub-titles, headings, running headlines, tables of contents and indices (all of which are printed herein merely for convenience) the following, except for such portions thereof as may be specifically excluded, shall be deemed to be part of the Contract and the Invitation for Bids.
 - (1) All provisions required by law to be inserted in this Contract, whether actually inserted or not
 - (2) The Contract Drawings and Specifications
 - (3) The General Conditions, the General Requirements and the Special Conditions, if any
 - (4) The Contract
 - (5) The Information for Bidders; Request for Proposals; Notice of Solicitation and Proposal For Bids; Bid or Proposal, and, if used, the Bid Booklet
 - (6) The Budget Director's Certificate; all Addenda issued prior to the receipt of the bids; the Notice of Award; Performance and Payment Bonds, if required; and the Notice to Proceed with the Work.
- (B) For particulars as to this procurement, including quantity and quality of the purchase, extent of the work or labor to be performed, delivery and performance schedule, and any other special instructions, prospective bidders are referred to the Invitation For Bids Documents. A copy of such documents can be obtained at the location set forth in Attachment 1.
- (C) <u>Deposit for Copy of Invitation For Bids Documents</u>: Prospective bidders may obtain a copy of the Invitation For Bids Documents by complying with the conditions set forth in the Notice of Solicitation. The deposit must be in the form of a check or money order made payable to the City of New York, and drawn upon a state or national bank or trust company, or a check of such bank or trust company signed by a duly authorized officer thereof.
- (D) Return of Invitation For Bids Documents: All Invitation For Bids Documents must be returned to the Department upon request. If the bidder elects not to submit a bid thereunder, the

Invitation For Bids Documents shall be returned to the Department, along with a statement that no bid will be submitted.

- (E) Return of Deposit: Such deposit will be returned within 30 days after the award of the contract or the rejection of all bids as set forth in the advertisement, provided the Invitation For Bids Documents are returned to the location specified in Attachment 1, in physical condition satisfactory to the Commissioner.
- (F) <u>Additional Copies</u>: Additional copies of the Invitation For Bids Documents may be obtained, subject to the conditions set forth in the advertisement for bids.

5. Pre-Bid Conference

A pre-bid conference shall be held as set forth in Attachment 1. Nothing stated at the pre-bid conference shall change the terms or conditions of the Invitation For Bids Documents, unless a change is made by written amendment as provided in Section 9 below. Failure to attend a mandatory pre-bid conference shall constitute grounds for the rejection of the bid.

6. Agency Contact

Any questions or correspondence relating to this bid solicitation shall be addressed to the Agency Contact person specified in Attachment 1.

7. Bidder's Oath

- (A) The bid-shall be properly signed by an authorized representative of the bidder and the bid shall be verified by the written oath of the authorized representative who signed the bid, that the several matters stated and information furnished therein are in all aspects true.
- (B) A materially false statement willfully or fraudulently made in connection with the bid or any of the forms completed and submitted with the bid may result in the termination of any Contract between the City and the Bidder. As a result, the Bidder may be barred from participating in future City contracts as well as be subject to possible criminal prosecution.

8. Examination and Viewing of Site

- (A) Pre-Bidding (Investigation) Viewing of Site -Bidders must carefully view and examine the site of the proposed work, as well as its adjacent area, and seek other usual sources of information, for they will be conclusively presumed to have full knowledge of any and all conditions on, about or above the site relating to or affecting in any way the performance of the work to be done under the Contract which were or should have been indicated to a reasonably prudent bidder. To arrange a date for visiting the work site, bidders are to contact the Agency Contact person specified in Attachment 1.
- (B) Should the contractor encounter during the progress of the work subsurface conditions at the site materially differing from any shown on the Contract Drawings or indicated in the Specifications or such subsurface conditions as could not reasonably have been anticipated by the contractor and were not anticipated by the City, which conditions will materially affect the cost of the work to be done under the

Contract, the attention of the Commissioner must be called immediately to such conditions before they are disturbed. The Commissioner shall thereupon promptly investigate the conditions. If he finds that they do so materially differ, or that they could not have been reasonably anticipated by the contractor and were not anticipated by the City, the Contract may be modified with his written approval.

9. Examination of Proposed Contract

- (A) Request for Interpretation or Correction: Prospective bidders must examine the Contract Documents carefully and before bidding must request the Commissioner in writing for an interpretation or correction of every patent ambiguity, inconsistency or error therein which should have been discovered by a reasonably prudent bidder. Such interpretation or correction, as well as any additional contract provisions the Commissioner may decide to include, will be issued in writing by the Commissioner as an addendum to the Contract, which will be transmitted to each person recorded as having received a copy of the Contract Documents from the Department. Transmission of such addendum will be by mail, e-mail, facsimile or hand delivery. Such addendum will also be posted at the place where the Contract Documents are available for the inspection of prospective bidders. Upon transmission as provided for herein, such addendum shall become a part of the Contract Documents, and binding on all bidders, whether or not actual notice of such addendum is shown.
- (B) Only Commissioner's Interpretation or Correction Binding: Only the written interpretation or correction so given by the Commissioner shall be binding, and prospective bidders are warned that no other officer, agent or employee of the City is authorized to give information concerning, or to explain or interpret, the Contract.
- (C) Documents given to a subcontractor for the purpose of soliciting the subcontractor's bid shall include either a copy of the bid cover sheet or a separate information sheet setting forth the project name, the Contract number (if available), the contracting agency and the Project's location.

10. Form of Bid

Each bid must be submitted upon the prescribed form and must contain: a) the name, residence and place of business of the person or persons making the same; b) the names of all persons interested therein, and if no other person is so interested, such fact must be distinctly stated; c) a statement to the effect that it is made without any connection with any other person making a bid for the same purpose and that it is in all respects fair and without collusion or fraud; d) a statement that no Council member or other officer or employee or person whose salary is payable in whole or part from the City Treasury is directly or indirectly interested therein or in the supplies, materials or equipment and work or labor to which it relates, or in any portion of the profits thereof; e) a statement that the bidder is not in arrears to the City or to any agency upon a debt or contract or taxes, and is not a defaulter as surety or otherwise upon any obligation to the City to any agency thereof, except as set forth in the bid.

THE BID SHALL BE TYPEWRITTEN OR WRITTEN LEGIBLY IN INK. THE BID SHALL BE SIGNED IN INK. ERASURES OR ALTERATIONS SHALL BE INITIALED BY THE SIGNER IN INK. FAILURE TO CONFORM TO THE REQUIREMENTS OF THIS SECTION 10 SHALL RESULT IN THE REJECTION OF THE BID.

11. Irrevocability of Bid

The prices set forth in the bid cannot be revoked and shall be effective until the award of the Contract, unless the bid is withdrawn as provided for in Sections 15 and 18 below.

12. Acknowledgment of Amendments

The receipt of any amendment to the Contract Documents shall be acknowledged by the bidder in its bid submission.

13. <u>Bid Samples and Descriptive Literature</u>

Bid samples and descriptive literature shall not be submitted by the bidder, unless expressly requested elsewhere in the Contract or Contract Documents. Any unsolicited bid samples or descriptive literature which are submitted shall not be examined or tested and shall not be deemed to vary any of the provisions of this Contract.

14. Proprietary Information/Trade Secrets

- (A) The bidder shall identify those portions of the bid which it deems to be confidential, proprietary information or trade secrets, and provide justification why such materials shall not be disclosed by the City. All such materials shall be clearly indicated by stamping the pages on which such information appears, at the top and bottom thereof with the word "Confidential". Such materials stamped "Confidential" must be easily separable from the non-confidential sections of the bid.
- (B) All such materials so indicated shall be reviewed by the Agency and any decision not to honor a request for confidentiality shall be communicated in writing to the bidder. For those bids which are unsuccessful, all such confidential materials shall be returned to the bidder. Prices, makes and model or catalog numbers of the items offered, deliveries, and terms of payment shall be publicly available after bid opening, regardless of any designation of confidentiality made by the bidder.

15. <u>Pre-Opening Modification or Withdrawal of Bids</u>

Bids may be modified or withdrawn by written notice received in the office designated in Attachment 1, before the time and date set for the bid opening. If a bid is withdrawn in accordance with this Section, the bid security, if any, shall be returned to the bidder.

16. Bid Evaluation and Award

In accordance with the New York City Charter, the Procurement Policy Board Rules and the terms and conditions of this Invitation For Bids, this Contract shall be awarded, if at all, to the responsible bidder whose bid meets the requirements and evaluation criteria set forth in the Invitation For Bids, and whose bid price is either the most favorable bid price or, if the Invitation For Bids so states, the most favorable evaluated bid price. A bid may not be evaluated for any requirement or criterion that is not disclosed in the Invitation For Bids.

<u>Restriction</u>: No negotiations with any bidder shall be allowed to take place except under the circumstances and in the manner set forth in Section 21. Nothing in this Section shall be deemed to permit a contract award to a bidder submitting a higher quality item than that designated in the Invitation For Bids, if that bid is not also the most favorable bid.

17. Late Bids, Late Withdrawals and Late Modifications

Any bid received at the place designated in the solicitation after the time and date set for receipt of bids is late and shall not be considered. Any request for withdrawal or modification received at the place designated in the solicitation after the time and date set for receipt of bids is late and shall not be considered. The exception to this provision is that a late modification of a successful bid that makes the bid terms more favorable to the City shall be considered at any time it is received.

18. Withdrawal of Bids.

Except as provided for in Section 15, above, a bidder may not withdraw its bid before the expiration of forty-five (45) days after the date of the opening of bids; thereafter, a bidder may withdraw its bid only in writing and in advance of an actual award. If within sixty (60) days after the execution of the Contract, the Commissioner fails to fix the date for commencement of work by written notice to the bidder, the bidder, at his option, may ask to be relieved of his obligation to perform the work called for by written notice to the Commissioner. If such notice is given to the Commissioner, and the request to withdraw is granted, the bidder waives all claims in connection with this Contract.

19. Mistake in Bids

(A) <u>Mistake Discovered Before Bid Opening</u>: A bidder may correct mistakes discovered before the time and date set for bid opening by withdrawing or correcting the bid as provided in Section 15 above.

(B) <u>Mistakes Discovered Before Award</u>

- (1) In accordance with General Municipal Law (Section 103, subdivision 11), where a unilateral error or mistake is discovered in a bid, such bid may be withdrawn upon written approval of the Agency Chief Contracting Officer if the following conditions are met:
 - (a) The mistake is known or made known to the agency prior to the awarding of the Contract or within 3 days after the opening of the bid, whichever period is shorter; and
 - (b) The price bid was based upon an error of such magnitude that enforcement would be unconscionable; and
 - (c) The bid was submitted in good faith and the bidder submits credible evidence that the mistake was a clerical error as opposed to a judgment error; and
 - (d) The error in the bid is actually due to an unintentional and substantial arithmetic error or an unintentional omission of a substantial quantity of work, labor, material or services made directly in the compilation of the bid, which unintentional arithmetic error or unintentional omission can be clearly shown by objective evidence drawn from inspection of the original work paper, documents, or materials used in the preparation of the bid sought to be withdrawn; and
 - (e) It is possible to place the agency in the same position as existed prior to the bid.
- (2) Unless otherwise required by law, the sole remedy for a bid mistake in accordance with this Article shall be withdrawal of the bid, and the return of the bid bond, or other security, if any, to the bidder. Thereafter, the agency may, in its discretion, award the Contract to the next lowest bidder or rebid the Contract. Any amendment to or reformation of a bid or a Contract to rectify such an error or mistake

therein is strictly prohibited.

(3) If the mistake and the intended correct bid are clearly evident on the face of the bid document, the bid shall be corrected to the intended correct bid and may not be withdrawn. Examples of mistakes that may be corrected are typographical errors, errors in extending unit prices, transposition errors and arithmetical errors.

20. Low Tie Bids

- (A) When two or more low responsive bids from responsible bidders are identical in price, meeting all the requirements and criteria set forth in the Invitation For Bids, the Agency Chief Contracting Officer will break the tie in the following manner and order of priority:
 - (1) Award to a certified New York City small, minority or woman-owned business entity bidder;
 - (2) Award to a New York City bidder;
 - (3) Award to a certified New York State small, minority or woman-owned business bidder;
 - (4) Award to a New York State bidder.
- (B) If two or more bidders still remain equally eligible after application of paragraph (A) above, award shall be made by a drawing by lot limited to those bidders. The bidders involved shall be invited to attend the drawing. A witness shall be present to verify the drawing and shall certify the results on the bid tabulation sheet.

21. Rejection of Bids

- (A) Rejection of Individual Bids: The Agency may reject a bid if:
- (1) The bidder fails to furnish any of the information required pursuant to Section 24 or 28 hereof; or if
- (2) The bidder is determined to be not responsible pursuant to the Procurement Policy Board Rules; or if
- (3) The bid is determined to be non-responsive pursuant to the Procurement Policy Board Rules; or if
- (4) The bid, in the opinion of the Agency Chief Contracting Officer, contains unbalanced bid prices and is thus non-responsive, unless the bidder can show that the prices are not unbalanced for the probable required quantity of items, or if the imbalance is corrected pursuant to Section 15.
- (B) Rejection of All Bids: The Agency, upon written approval by the Agency Chief Contracting Officer, may reject all bids and may elect to resolicit bids if in its sole opinion it shall deem it in the best interest of the City so to do.
- (C) Rejection of All Bids and Negotiation With All Responsible Bidders: The Agency Head may determine that it is appropriate to cancel the Invitation For Bids after bid opening and before award and to complete the acquisition by negotiation. This determination shall be based on one of the following reasons:

- (1) All otherwise acceptable bids received are at unreasonable prices, or only one bid is received and the Agency Chief Contracting Officer cannot determine the reasonableness of the bid price, or no responsive bid has been received from a responsible bidder; or
- (2) In the judgment of the Agency Chief Contracting Officer, the bids were not independently arrived at in open competition, were collusive, or were submitted in bad faith.
- (D) When the Agency has determined that the Invitation for Bids is to be canceled and that use of negotiation is appropriate to complete the acquisition, the contracting officer may negotiate and award the Contract without issuing a new solicitation, subject to the following conditions:
 - (1) prior notice of the intention to negotiate and a reasonable opportunity to negotiate have been given by the contracting officer to each responsible bidder that submitted a bid in response to the Invitation for Bids;
 - (2) the negotiated price is the lowest negotiated price offered by a responsible bidder, and
 - (3) the negotiated price is lower than the lowest rejected bid price of a responsible bidder that submitted a bid in response to the Invitation for Bids.

22. Right to Appeal Determinations of Non-Responsiveness or Non-Responsibility and Right to Protest Solicitations and Award

The bidder has the right to appeal a determination of non-responsiveness or non-responsibility and has the right to protest a solicitation and award. For further information concerning these rights, the bidder is directed to the Procurement Policy Board Rules.

23. Affirmative Action and Equal Employment Opportunity

This Invitation For Bids is subject to applicable provisions of Federal, State and Local Laws and executive orders requiring affirmative action and equal employment opportunity.

24. <u>VENDEX Questionnaires</u>

- (A) Requirement: Pursuant to Administrative Code Section 6-116.2 and the PPB Rules, bidders may be obligated to complete and submit VENDEX Questionnaires. Generally, if this bid is \$100,000 or more, or if this bid when added to the sum total of all contracts, concessions and franchises the bidder has received from the City and any subcontracts received from City contractors over the past twelve months, equals or exceeds \$100,000, Vendex Questionnaires must be completed. If required, Vendex Questionnaires must be completed and submitted before any award of contract may be made or before approval is given for a proposed subcontractor. Non-compliance with these submission requirements may result in the disqualification of the bid, disapproval of a subcontractor, subsequent withdrawal of approval for the use of an approved subcontractor, or the cancellation of the contract after its award.
- (B) <u>Submission</u>: Vendex Questionnaires must be submitted directly to the Mayor's Office of Contract Services, ATTN: Vendex, 253 Broadway, 9th Floor, New York, New York 10007. In addition, the bidder must submit a Confirmation of Vendex Compliance to the agency. A form for this confirmation is set forth in the Bid Booklet.
- (C) Obtaining Forms: Vendex Questionnaires, as well as detailed instructions, may be obtained at www.nyc.gov/vendex. The bidder may also obtain Vendex forms and instructions by contacting the

Agency Chief Contracting Office or the contract person for this contract.

25. Complaints About the Bid Process

The New York City Comptroller is charged with the audit of contracts in New York City. Any vendor who believes that there has been unfairness, favoritism or impropriety in the bid process should inform the Comptroller, Office of Contract Administration, One Centre Street, Room 835, New York, New York; telephone number (212)669-2797.

26. Bid, Performance and Payment Security

- (A) Bid Security: Each bid must be accompanied by bid security in an amount and type specified in Attachment 1 (page A-1 of the Bid Booklet). The bid security shall assure the City of New York of the adherence of the bidder to its proposal, the execution of the Contract, and the furnishing of Performance and Payment Bonds by the bidder, if required in Attachment 1. Bid security shall be returned to the bidder as follows:
 - (1) Within ten (10) days after the bid opening, the Comptroller will be notified to return the deposits of all but the three (3) lowest bidders. Within five (5) days after the award, the Comptroller will be notified to return the deposits of the remaining two unsuccessful bidders.
 - (2) Within five (5) days after the execution of the Contract and acceptance of the Contractor's bonds, the Comptroller will be notified to return the bid security of the successful bidder or, if performance and payment bonds are not required, only after the sum retained under Article 21 of the Contract equals the amount of the bid security.
 - (3) Where all bids are rejected, the Comptroller will be notified to return the deposit of the three (3) lowest bidders at the time of rejection.
- (B) <u>Performance and Payment Security</u>: Performance and Payment Security must be provided in an amount and type specified in Attachment 1 (page A-l of the Bid Booklet). The performance and payment security shall be delivered by the contractor prior to or at the time of execution of the Contract. If a contractor fails to deliver the required performance and payment security, its bid security shall be enforced, and an award of Contract may be made to the next lowest responsible and responsive bidder, or the contract may be rebid.
- (C) <u>Acceptable Types of Security</u>: Acceptable types of security for bids, performance, and payment shall be limited to the following:
 - (1) a one-time bond in a form satisfactory to the City;
 - (2) a bank certified check or money order;
 - (3) obligations of the City of New York; or
 - (4) other financial instruments as determined by the Office of Construction in consultation with the Comptroller.

Whenever the successful bidder deposits obligations of the City of New York as performance and payment security, the Comptroller may sell and use the proceeds thereof for any purpose for which the principal or surety on such bond would be liable under the terms of the Contract. If the money is deposited with the Comptroller, the successful bidder shall not be entitled to receive interest on such money from the City.

(D) Form of Bonds: Security provided in the form of bonds must be prepared on the form of bonds authorized by the City of New York. Forms for bid, performance, and payment bonds are included in the Invitation for Bids Documents. Such bonds must have as surety thereunder such surety company or companies as are: (I) approved by the City of New York; (2) authorized to do business in the State of New York, and (3) approved by the Department of the Treasury of the United States. Premiums for any required bonds must be included in the base bid.

The bidder is advised that submission of a bid bond where the surety on such bond fails to meet the criteria set forth herein, shall result in the rejection of the bid as non-responsive.

The Department of the Treasury of the United States advises that information concerning approved surety companies may be obtained as follows: (1) from the Government Printing Office at 202-512-1800; (2) through the Internet at http://www.fms.treas.gov/c570/index.html, and (3) through a computerized public bulletin board, which can be accessed by using your computer modem and dialing 202-874-6887.

(E) <u>Power of Attorney</u>: Attorneys in fact who sign bid, performance, or payment bonds must file with each bond a certified copy of their power of attorney to sign said bonds.

27. Failure to Execute Contract

In the event of failure of the successful bidder to execute the Contract and furnish the required security within ten (10) days after notice of the award of the Contract, the deposit of the successful bidder or so much thereof as shall be applicable to the amount of the award made shall be retained by the City, and the successful bidder shall be liable for and hereby agrees to pay on demand the difference between the price bid and the price for which such Contract shall be subsequently awarded, including the cost of any required reletting and less the amount of such deposit. No plea of mistake in such accepted bid shall be available to the bidder for the recovery of the deposit or as a defense to any action based upon such accepted bid. Further, should the bidder's failure to comply with this Section cause any funding agency, body or group (Federal, State, City, Public, Private, etc.) to terminate, cancel or reduce the funding on this project, the bidder in such event shall be liable also to the City for the amount of actual funding withdrawn by such agency on this project, less the amount of the forfeited deposit.

28. <u>Bidder Responsibilities and Qualifications</u>

- (A) Bidders must include with their bids all information necessary for a determination of bidder responsibility, as set forth in the Specifications.
- (B) The Agency may require any bidder or prospective bidder to furnish all books of account, records, vouchers, statements or other information concerning the bidder's financial status for examination as may be required by the Agency to ascertain the bidder's responsibility and capability to perform the Contract. If required, a bidder must also submit a sworn statement setting forth such information as the Agency may require concerning present and proposed plant and equipment, the personnel and qualifications of his working organizations, prior experience and performance record.
- (C) Oral Examination on Qualifications: In addition thereto, and when directed by the Agency, the bidder, or a responsible officer, agent or employee of the bidder, must submit to an oral examination to be conducted by the Agency in relation to his proposed tentative plan and schedule of

operations, and such other matters as the Agency may deem necessary in order to determine the bidder's ability and responsibility to perform the work in accordance with the Contract. Each person so examined must sign and verify a stenographic transcript of such examination noting thereon such corrections as such person may desire to make.

(D) If the bidder fails or refuses to supply any of the documents or information set forth in paragraph (B) hereof or fails to comply with any of the requirements thereof, the Agency may reject the bid.

29. Employment Report

In accordance with Executive Order No. 50 (1980) as modified by Executive Order 108 (1986), the filing of a completed Employment Report (ER) is a requirement of doing business with the City of New York for construction contractors with contracts of \$1,000,000 or more and subcontractors with construction subcontracts of \$750,000 or more. The required forms and information are included in the Bid Booklet.

30. <u>Labor Law Requirements</u>

- (A) <u>General</u>: The successful bidder will be required to comply strictly with all Federal, State and local labor laws and regulations.
- (B) New York State Labor Law: This Contract is subject to New York State Labor Law Section 220, which requires that construction workers on the site be paid prevailing wages and supplements. The Contractor is reminded that all wage provisions of this Contract will be enforced strictly and failure to comply will be considered when evaluating performance. Noncompliance may result in the contractor being debarred by the City from future contracts. Complaints filed with the Comptroller may result in decisions which may debar a contractor from bidding contracts with any state governmental entity and other political subdivisions.
- (C) <u>Records</u>: The Contractor is expected to submit accurate payroll reports and other required documents and verify attendance and job classifications being utilized in compliance with the law, Contract provisions and agency procedures.

31. <u>Insurance</u>

- (A) Bidders are advised that the insurance requirements contained herein are regarded as material terms of the Contract. As required by Article 22 of the Contract, the contractor must effect and maintain with companies licensed and authorized to do business in the State of New York, the types of insurance set forth therein, when required by and in the amounts set forth in Schedule A of the General Conditions. Such required insurance must be provided from the date the contractor is ordered to commence work and up to the date of final acceptance of all required work.
- (B) The contractor must, within ten days of receipt of the notice of award, submit the following insurance documentation: (a) original certificate of insurance for general liability in the amount required by Schedule A of the General Conditions, and (b) original certificates of insurance or other proof of coverage for workers' compensation and disability benefits, as required by Section 57 of the New York State Workers' Compensation Law and Section 220 of the Disability Benefits Law.

32. Lump Sum Contracts

- (A) <u>Comparison of Bids</u>: Bids on Lump Sum Contracts will be compared on the basis of the lump sum price bid, adjusted for alternate prices bid, if any.
- (B) Lump Sum Bids for "General Construction Work" which include excavation shall include all necessary excavation work defined in the Specifications as being included in the lump sum bid. The bidder shall also bid a unit price for the additional cost of excavating material which is defined in the Specifications as excavation for which additional payment will be made. The total estimated additional cost of removing such material will be taken as the quantity set forth in the Engineer's Estimate multiplied by the unit price bid. This total estimated cost of additional excavation shall be added to the lump sum bid for the General Construction Work for the purpose of comparing bids to determine the low bidder.
- (C) <u>Variations from Engineer's Estimate</u>: The Engineer's Estimate of the quantity of excavation for which additional payment will be made is approximate only and is given solely to be used as a uniform basis for the comparison of bids and such estimate is not to be considered as part of this contract. The quantities actually required to complete the contract work may be more or less than the quantities in the Engineer's Estimate and, if so, no action for damages or for loss of profits shall accrue to the contractor by reason thereof.

33. Unit Price Contracts

- (A) <u>Comparison of Bids</u>: Bids on Unit Price Contracts will be compared on the basis of a total estimated price, arrived at by taking the sum of the estimated quantities of such items, in accordance with the Engineer's Estimate of Quantities set forth in the Bid Schedule, multiplied by the corresponding unit prices, and including any lump sum bids on individual items.
- (B) <u>Variations from Engineer's Estimate</u>: Bidders are warned that the Engineer's Estimate of Quantities on the various items of work and materials is approximate only, given solely to be used as a uniform basis for the comparison of bids, and is not be considered part of this contract. The quantities actually required to complete the contract work may be less or more than so estimated, and if so, no action for damages or for loss of profits shall accrue to the contractor by reason thereof.
- (C) Overruns: The terms and conditions applicable to overruns of unit price items are set forth in Article 26 of the Contract.

34. Excise Tax

Bidders are referred to the Specifications for information on Federal Excise Tax exemptions.

35. <u>Licenses and Permits</u>

The successful bidder will be required to obtain all necessary licenses and permits necessary to perform the work.

36. <u>Multiple Prime Contractors</u>

If more than one prime contractor will be involved on this project, all contractors are required to examine the Invitation for Bid packages for all other parts of the project.

37. Locally Based Enterprise Requirements (LBE)

This Contract is subject to the requirements of Administrative Code, Section 6-108.1, and the regulations promulgated thereunder. No construction contract will be awarded unless and until these requirements have been complied with in their entirety. The bidder is advised of the provisions set forth below, as well as the provisions with respect to the Locally Based Enterprise Program contained in Article 67 of the Contract. The contractor is advised that:

- (A) If any portion of the Contract is subcontracted, not less than ten percent of the total dollar amount of the contract shall be awarded to locally based enterprises ("LBEs"); except, where less than ten percent of the total dollar amount of the Contract is subcontracted, such lesser percentage shall be so awarded.
 - (B) No contractor shall require performance and payment bonds from LBE subcontractors.
 - (C) No Contract shall be awarded unless the contractor first identifies in its bid:
 - (1) the percentage, dollar amount and type of work to be subcontracted; and
 - (2) the percentage, dollar amount and type of work to be subcontracted to LBEs.
- (D) Within ten calendar days after notification of low bid, the apparent low bidder shall submit an "LBE Participation Schedule" to the contracting agency. If such schedule does not identify sufficient LBE subcontractors to meet the requirements of Administrative Code Section 6-108.1, the apparent low bidder shall submit documentation of its good faith efforts to meet such requirements.
 - (1) The "LBE Participation Schedule" shall include:
 - (a) the name and address of each LBE that will be given a subcontract,
 - (b) the percentage, dollar amount and type of work to be subcontracted to the LBE, and
 - (c) the dates when the LBE subcontract work will commence and end.
 - (2) The following documents shall be attached to the "LBE Participation Schedule":
 - (a) verification letters from each subcontractor listed in the "LBE Participation Schedule" stating that the LBE will enter into a formal agreement for work,
 - (b) certification documents of any proposed LBE subcontractor which is not on the LBE certified list, and
 - (c) copies of the certification letter of any proposed subcontractor which is an LBE.
 - (3) Documentation of good faith efforts to achieve the required LBE percentage shall include as appropriate but not limited to the following:
 - (a) attendance at prebid meetings, when scheduled by the agency, to advise bidders of contract requirements;

- (b) advertisement where appropriate in general circulation media, trade association publications and small business media of the specific subcontracts that would be at least equal to the percentage goal for LBE utilization specified by the contractor;
- (c) written notification to association of small, minority and women contractors soliciting specific subcontractors;
- (d) written notification by certified mail to LBE firms that their interest in the contract is solicited for specific work items and their estimated values;
- demonstration of efforts made to select portions of the work for performance by LBE firms in order to increase the likelihood of achieving the stated goal;
- (f) documented efforts to negotiate with LBE firms for specific subcontracts, including at a minimum:
 - (i) The names, address and telephone numbers of LBE firms that are contacted;
 - (ii) A description of the information provided to LBE firms regarding the plans and specifications for portions of the work to be performed;
 - (iii) Documentation showing that no reasonable price can be obtained from LBE firms;
 - (iv) A statement of why agreements with LBE firms were not reached;
- (g) a statement of the reason for rejecting any LBE firm which the contractor deemed to be unqualified; and
- (h) documentation of efforts made to assist the LBE firms contacted that needed assistance in obtaining required insurance.
- (E) Unless otherwise waived by the Commissioner with the approval of the Office of Economic and Financial Opportunity, failure of a proposed contractor to provide the information required by paragraphs (C) and (D) above may render the bid non-responsive and the Contract may not be awarded to the bidder. If the contractor states that it will subcontract a specific portion of the work, but can demonstrate despite good faith efforts it cannot achieve its required LBE percentage for subcontracted work until after award of Contract, the Contract may be awarded, subject to a letter of compliance from the contractor stating that it will comply with Administrative Code Section 6-108.1 and subject to approval by the Commissioner. If the contractor has not met its required LBE percentage prior to award, the contractor shall demonstrate that a good faith effort has been made subsequent to award to obtain LBEs on each subcontract until its meets the required percentage.
- (F) When a bidder indicates prior to award that no work will be subcontracted, no work may be subcontracted without the prior written approval of the Commissioner, which shall be granted only if the contractor in good faith seeks LBE subcontractors at least six weeks prior to the start of work.
- (G) The contractor may not substitute or change any LBE which was identified prior to award of the contract without the written permission of the Commissioner. The contractor shall make a written application to the Commissioner for permission to make such substitution or change, explaining why the contractor needs to change its LBE subcontractor and how the contractor will meet its LBE subcontracting requirement. Copies of such application must be served on the originally identified LBE by certified mail return receipt requested, as well as the proposed substitute LBE. The Commissioner shall determine whether or not to grant the contractor's request for substitution.
- 38. <u>Bid Submission Requirements</u>

The following forms, all of which are contained in the Bid Booklet, are to be completed and submitted with the bid:

- (1) Bid Schedule and Bid Form, including Affirmation
- (2) Bid Security (if required, see Attachment 1 on Page A-1)
- (3) M/WBE Subcontactor Utilization Plan (if participation goals have been established)

FAILURE TO SUBMIT ITEMS (1), (2) AND (3) WILL RESULT IN THE DISQUALIFICATION OF THE BID.

- (4) Safety Questionnaire
- (5) Construction Employment Report (if bid is \$1,000,000 or more)
- (6) Contract Certificate (if bid is less than \$1,000,000)
- (7) Confirmation of Vendex Compliance
- (8) Special Experience Requirements (if applicable to this contract)
- (9) Apprenticeship Program Questionnaire (if applicable)

FAILURE TO SUBMIT ITEMS (4) THROUGH (9) MAY RESULT IN THE DISQUALIFICATION OF THE BID.

39. Comptroller's Certificate

This Contract shall not be binding or of any force unless it is registered by the Comptroller in accordance with Section 328 of the City Charter and the Procurement Policy Board Rules. This Contract shall continue in force only after annual appropriation of funds by the City of New York and certification as hereinabove set forth.

40. Procurement Policy Board Rules

This Invitation For Bids is subject to the Rules of the Procurement Policy Board of the City of New York. In the event of a conflict between said Rules and a provision of this Invitation For Bids, the Rules shall take precedence.

41. <u>DDC Safety Requirements</u>

The DDC Safety Requirements apply to the work to be performed pursuant to the Contract. The DDC Safety Requirements are set forth on the following pages.

CITY OF NEW YORK

DEPARTMENT OF DESIGN AND CONSTRUCTION

SAFETY REQUIREMENTS

June 2015

THE DDC SAFETY REQUIREMENTS INCLUDE THE FOLLOWING SECTIONS:

- I. POLICY ON SITE SAFETY
- II. PURPOSE
- III. DEFINITIONS
- IV. RESPONSIBILITIES
- V. SAFETY QUESTIONNAIRE
- VI. SAFETY PROGRAM AND SITE SAFETY PLAN
- VII. KICK-OFF/PRE-CONSTRUCTION MEETINGS AND SAFETY REVIEW
- VIII. EVALUATION DURING WORK IN PROGRESS
- IX. SAFETY PERFORMANCE EVALUATION

City of New York Department of Design and Construction: Safety Requirements Safety and Site Support- Quality Assurance and Construction Safety

I. POLICY ON SITE SAFETY

The City of New York Department of Design and Construction (DDC) is committed to a policy of injury and illness prevention and risk management for construction work that will ensure the safety and health of the workers engaged in the projects and the protection of the general public. Therefore, it is DDC's policy that work carried out by Contractors on DDC jobsites must, at a minimum, comply with applicable federal, state and city laws, rules and regulations, including without limitation:

- U. S. Department of Labor 29 Code of Federal Regulations (CFR) Part 1926 and applicable Sub-parts of Part 1910 U.S. Occupational Safety and Health Administration (OSHA); New York State Department of Labor Industrial Code Rule 23 Protection in Construction, Demolition and Excavation:
- ☐ New York City Construction Codes, Title 28
- □ NYC Department of Transportation Title 34 Chapter 2 Highway Rules
- New York State Department of Labor Industrial Code Rule 16 NYCRR Part 753
- ☐ Title 15 of the Rules of the City of New York, Chapter 13 Citywide Construction Dust Mitigation
- ☐ Manual on Uniform Traffic Control Devices (MUTCD)
- Title 15 of the Rules of the City of New York, Chapter 28 Citywide Construction Noise Mitigation

II. PURPOSE

The purpose of this policy is to ensure that Contractors perform their work and supervise their employees in accordance with all applicable federal, state and city rules and regulations. Further, Contractors will be expected to minimize or eliminate jobsite and public hazards, through a planning, inspection, auditing and corrective action process. The goal is to control risks so that injuries, illnesses and accidents to contractors' employees, DDC employees and the general public, as well as damage to city-owned and private property, are reduced to the lowest level feasible.

III. DEFINITIONS

Agency Chief Contracting Officer (ACCO): The ACCO shall mean the person delegated authority by the Commissioner to organize and supervise the procurement activity of subordinate Agency staff in conjunction with the CCPO.

Competent Person: As defined by OSHA, an individual who is capable of identifying existing and predictable hazards in the surroundings or working conditions that are unsanitary, hazardous, or dangerous to employees or the general public, and who has authorization to take prompt corrective measures to eliminate them.

Construction Safety Auditor: A representative of the QA&CS Construction Safety Unit who provides inspection and assessment services to enhance health and safety on all DDC construction projects. The activities of the Construction Safety Auditor include performing site surveys, reviewing health and safety plans, reviewing construction permits, and rendering technical advice and assistance to DDC Resident Engineers and Project Managers.

Construction Safety Unit: A part of QA&CS within the Division of Program Management/ Safety & Site Support that assesses contractor safety on DDC jobsites and advises responsible parties of needed corrective actions.

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Construction Superintendent: A representative of the contractor responsible for overseeing performance of the required construction work. This individual must engage in sound construction practices, and is responsible to maintain a safe work site. In the case of a project involving the demolition, alteration or new construction of buildings, the Construction Superintendent must be licensed by the NYC Department of Buildings.

Contractor: For purposes of these Safety Requirements, the term "Contractor" shall mean any person or entity that enters into a contract for the performance of construction work on a DDC project. The term "Contractor" shall include any person or entity which enters into any of the following types of contracts: (1) a prime construction contract for a specific project, (2) a prime construction contract using the Job Order Contracting System ("JOCS Contract"), and (3) a subcontract with a CM/Builder ("First Tier Subcontract").

Daily Safety Job Briefing: Daily jobsite safety meetings, giving to all jobsite personnel by contractor, with the purpose of discussing project specific safety procedures for the scheduled construction work.

Director - Quality Assurance and Construction Safety (QA&CS): Responsible for the operations of the QACS Construction Safety Unit and the DDC Site Safety management programs.

Job Hazard Analysis (JHA): A process of identifying the major job steps and any potential site-specific hazards that may be present during construction and establishing the means and methods to eliminate or control those hazards.

Qualified Person: As defined by OSHA, an individual who, by possession of a recognized degree, certificate, license or professional standing, or who by extensive knowledge, training, and experience, has successfully demonstrated his or her ability to solve problems relating to the subject matter, the work, or the project. Qualified Persons are required under regulation to address issues pertaining, but without limit, to fall protection, scaffold design and trenching and shoring, among others.

Project Site: Those areas indicated in the Contract Documents where the Work is to be performed.

Project Safety Representative: The designated project safety representative shall have completed an authorized 30 hour OSHA Construction Safety Course and other safety training applicable to Contractor's/subcontractor's project work. Except in instances where a dedicated Project Safety Manager is required, a Project Safety Representative may also function as a superintendent, foreman or crew leader on the Project, but must have sufficient experience and authority to undertake corrective actions and must qualify to be a competent person. No work is to be performed on site when a Project Safety Representative is not present.

Project Safety Manager: A dedicated, full-time project safety manager may be a contractual requirement on large projects or projects deemed by DDC to be particularly high risk. This would be in addition or in lieu of a Contractor's Project Safety Representative. This individual shall not have any other assigned duties. This individual shall have received, at a minimum an authorized 30 hour OSHA Construction Safety Course. Other examples of acceptable training are OSHA Safety and Health Standards for the Construction Industry training program (OSHA 510), Certified Safety Professional (CSP), Certified Industrial Hygienist (CIH) or a degree/certificate in a safety and health from a college-level curriculum.

A Project Safety Manager shall possess the additional training, years of experience, and skills necessary to thoroughly understand the health and safety hazards and controls for large construction projects, including the full scope of the specific Work.

QA&CS - Quality Assurance and Construction Safety of the New York City Department of Design and Construction.

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Resident Engineer (RE) / Construction Project Manager (CPM): Representative of the Commissioner duly designated by the Commissioner to be his/her representative at the site of the work. (The RE/CPM may be a third-party consultant, including a Construction Management firm, retained by DDC)

Safety Program: Established by the Contractor that covers all operations of that Contractor and establishes the Contractor's overall safety policy, regulatory compliance plan and minimum safety standards. The Safety Program must be submitted prior to the commencement of work at the site and is subject to review and acceptance by the Construction Safety Unit.

Safety Questionnaire: Used by DDC to evaluate Contractor's current and past safety performance. It is required to be completed by all Contractors initially when submitting bids for Construction work, or when being pre-qualified and updated annually or as requested by the DDC.

Site Safety Manager: For certain projects, as defined in NYC Construction Codes – Title 28, the Contractor shall provide a Site Safety Manager with a Site Safety Manager License issued by the NYC Department of Building.

Site Safety Plan: A site-specific safety plan developed by the Contractor for a specific project. The Site Safety Plan must identify hazards associated with the project, and include specific safety procedures and training appropriate and necessary to complete the work. The Site Safety Plan must be submitted prior to the commencement of work at the site and is subject to review and acceptance by the Construction Safety Unit.

Unsafe or Unhealthy Condition: A condition that could be potentially hazardous to the health and safety of personnel or the public, and/or damaging to equipment, machinery, property or the environment.

Weekly Safety Meetings: Weekly documented jobsite safety meetings, given to all jobsite personnel by contractor, with the purpose of discussing general safety topics and job specific requirements encountered at the DDC work site.

Work: The construction required by the Contract Documents whether completed or partially completed, performed by the Contractor/ subcontractors. Work refers to the furnishing of labor, furnishing and incorporating materials and equipment into the construction and providing any service required by the Contract Documents to fulfill the Contractor's obligation to complete the Project.

IV. RESPONSIBILITIES

All persons who manage, perform, and provide support for construction projects shall conduct operations in compliance with the requirements identified in this Policy and all applicable governing regulatory agency requirements and guidelines pertaining to safety in construction.

A. DDC or CM Resident Engineer / Construction Project Manager

- Monitors the issuance of safety-related permits, approvals and drawings and maintains copies on site.
- Monitors construction-related work activities to confirm that they are conducted in accordance with DDC policies and all applicable regulations that pertain to construction safety.
- Maintains documentation and periodically attends weekly safety meetings and daily safety job briefings.
- Notifies the Construction Safety Unit and the ACCO's Insurance and Risk Management Unit of
 project- related accidents and emergencies, as per DDC's Construction Safety Emergency and
 Accident Notification and Response Protocol.
- Gathers facts related to all accidents and prepares DDC Construction Accident Report.

City of New York Department of Design and Construction: Safety Requirements Safety and Site Support—Quality Assurance and Construction Safety

- Notifies the Construction Safety Unit within two (2) hours of the start of an inspection by any outside regulatory agency personnel, including OSHA, NYC DOB or others and forwards a copy of the inspection report within three days of its receipt.
- Monitors the conditions at the site for conformance with the contractor's Site Safety Plan and DDC construction documents.
- Notifies the contractor and DDC in the event that any condition or activity exists that is not in compliance with the contractor's Site Safety Plan, applicable federal, state or local codes or any condition that presents a potential risk of injury to the public or workers or possible damage to property.
- Notifies DDC of any unsafe or unhealthy condition and directs the contractor to provide such labor, materials, equipment and supervision to abate such conditions.
- Escort and assist QA&CS Construction Safety Auditors during the field and record inspections.
- Reports emergency conditions to the Construction Safety Unit immediately.

B. Contractors

- Submit a completed Safety Questionnaire and other safety performance related documentation with its bid or as part of a pre-qualification package.
- Complete a written Job Hazard Analysis (JHA) that identifies safety hazards for project specific work tasks and hazard control methods. A written JHA shall be available at the site for reference and included in the Site Safety Plan submitted by the contractor.
- Submit a Site Safety Plan and Safety Program within 30 days from the Award Date or as otherwise
 directed. The Site Safety Plan and Safety Program are subject to review and acceptance by the
 Construction Safety Unit prior to the commencement of work at the site. The Site Safety Plan shall be
 revised and updated as necessary.
- Develop project specific safety procedures to protect general public during all construction activities for the duration of the project.
- Ensure that all employees are aware of the hazards associated with the project through documented
 formal and informal training and/or other communications. Conduct and document weekly safety
 meetings and daily job briefing sessions for the duration of the project. Documentation to be provided
 to the RE/CPM on a monthly basis.
- Name the Project Safety Representative and Project Safety Manager, if required. The Contractor will
 be required to identify the Project Safety Representative and Project Safety Manager in the Site Safety
 Plan. Resumes, outlining the qualification and experience for the Project Safety Representative and
 Project Safety Manager, shall be available upon request. DDC reserves the right to request that the
 Contractor replace any Project Safety Representative or Project Safety Manager for any reason at any
 time during the project.
- Name a Competent Person(s), The Contractor will be required to identify a Competent Person(s) in the Site Safety Plan.
- Comply with all mandated federal, state and local safety and health rules and regulations.
- Comply with all provisions of the Site Safety Plan.
- Conduct applicable safety training prior to the commencement of work at the site. All training records
 (OSHA 10-hour, flagger, scaffold, fall protection, confined space entry, etc.) shall be provided to the
 RE/CPM prior to mobilization, included in the Site Safety Plan, kept current during the course of the
 project, and available for review. Prior to performing any work on DDC project all employees shall
 have successfully completed, within the previous five calendar years, a 10 Hour OSHA construction
 safety course.
- As part of the Site Safety Plan, prepare a site specific programs and plans, such as MPT plan, steel
 erection plan, confined space program, fall protection plan, demolition plan, etc. (if not otherwise
 provided in the contract documents) and comply with all of its provisions.
- Conduct and document site-specific safety orientation for Contractor personnel to review the hazards
 associated with the project as identified in the Site Safety Plan and the specific safety procedures and

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controls that will be used to protect workers, the general public and property. The Project Safety Representative and/or Project Safety Manager will conduct this training prior to mobilization and provide documentation to the RE/CPM.

- Provide, replace and adequately maintain at or around the project site, suitable and sufficient signage, lights, barricades and enclosures (fences, sidewalk sheds, netting, bracing, etc.).
- Report unsafe or unhealthy conditions to the RE/CPM as soon as practical, but no more than 24 hours after discovery, and take prompt actions to remove or abate such conditions.
- Report any accidents involving injuries to workers or the general public, as well as property damage, to the RE/CPM within one (1) hour.
- Following an accident, the Contractor shall not remove or alter any equipment, structure, material, or
 evidence related to the accident. Exception: Immediate emergency procedures taken to secure
 structures, temporary construction, operations, or equipment that pose a continued imminent danger or
 facilitate assistance for persons who are trapped or who have sustained bodily injury.
- Notify the RE/CPM within one (1) hour of the start of an inspection by any outside regulatory agency personnel, including OSHA, NYC DOB or others.
- Maintain all records pertaining to all required compliance documents and accident and injury reports.
- Address DDC recommendations on safety, which shall in no way relieve the Contractor of its responsibilities for safety on the project. The Contractor has sole responsibility for safety.

V. SAFETY QUESTIONNAIRE

DDC requires that all Contractors provide information regarding their current and past safety performance and programs. This will be accomplished by the use of the DDC Safety Questionnaire. As a part of the bid submittal package, the contractor must submit a completed DDC Safety Questionnaire listing company workers' compensation experience modification rating and OSHA Incident Rates for the three (3) years prior to the date of the bid opening. DDC may request a Contractor to update its Questionnaire at any time or to provide more detailed information. The Contractor must provide the requested information within 15 days.

The following criteria will be used by DDC in reviewing the Contractor's responsibility, which will be based on the information provided on the questionnaire:

Criteria 1: OSHA Injury and Illness Rates (I&IR) are no greater than the average for the industry (based on the most current Bureau of Labor Statistics data for the Contractors SIC code); and

Criteria 2: Insurance workers compensation Experience Modification Rate (EMR) equal to or less than 1.0; and

Criteria 3: Any willful violations issued by OSHA or NYC DOB within the last three (3) years; and Criteria 4: A fatality (worker or member of public) and injuries, requiring OSHA notification,

experienced on or near Contractor's worksite within the last three (3) years; and
Criteria 5: Past safety performance on DDC projects (accidents; status of safety program and site

safety plan submittals; etc.)
Criteria 6: OSHA violation history for the last three (3) years;

Criteria 7: Contractor shall provide OSHA Injury and Illness Records (currently OSHA 300 and 300A Logs) for the last three (3) years.

If the Contractor fails to meet the basic criteria listed above, the Construction Safety Unit may request, through the ACCO, more details concerning the Contractor's safety experience. DDC may request the Contractor to provide copies of, among other things, accident investigation reports, OSHA records, OSHA and NYC DOB citations, EPA citations and written corrective action plan.

VI. SAFETY PROGRAM AND SITE SAFETY PLAN

Within thirty (30) days from the Award Date, or as otherwise directed, the Contractor shall submit the following: (1) Safety Program, and (2) Site Safety Plan. The Safety Program shall set forth the Contractor's overall safety policy, regulatory compliance plan and minimum safety standards. The Site Safety Plan shall identify project work scope, safety hazards associated with the project tasks, and include specific safety procedures and training appropriate and necessary to complete the work. The Safety Program and the Site Safety Plan are subject to review and acceptance by the Construction Safety Unit prior to the commencement of work at the site. Failure by the Contractor to submit an acceptable Site Safety Plan and Safety Program shall be grounds for default.

<u>Safety Program</u>: Corporate Safety Program established by the Contractor that includes the Contractor's overall safety policy, regulatory compliance plan and basic safety procedures covering all aspects of construction operations, performed by the Contractor. The Safety Program shall be a written document with a separate section describing each element of the Safety Program. The Safety Program shall have at minimum the following elements applicable to the Contractor's operations:

- Responsibility and Organization - Contractor's company organization chart, including titles, names, contact information, roles and responsibilities for key personnel, etc.

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- Safety Training Program Contractor's corporate training program.
- Hazard Corrective Actions Criteria for safety inspections, identification of safety noncompliances, implementation and verification of corrective actions, forms to document safety inspections results, etc.
- Accident/Exposure Investigation
- Recordkeeping and Reporting Injuries Responsible staff; reporting and recording criteria; OSHA 300 and 300A form completion, etc.
- Fire Protection and Prevention Program
- Housekeeping
- Illumination
- Sanitation
- Personal Protective Equipment (PPE) Company policy for the use of head protection, foot protection, hearing protection, eye and face protection, protective clothing, and any additional protective equipment based on work tasks; PPE inspection and replacement policy.
- Hazard Communication Program
- Employee Emergency Action Plan
- Protection of Underground Facilities and Utilities
- Ionizing/Nonionizing Radiation
- Material Handling, Storage, Use and Disposal
- Tools Hand and Power
- Signs, Signals, and Barricades
- Scaffold Local Law 52 requirements, installation, use, inspection, dismantling, training and general safety requirements.
- Welding and Cutting
- Electrical Safety
- Fall Protection
- Cranes, Derrick, Hoists, Elevators, Conveyors
- Excavation Safety
- Concrete and Masonry Construction
- Maintenance and Protection of Traffic
- Steel Erection
- Demolition
- Blasting and the Use of Explosives
- Stairways and Ladders

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- Toxic and Hazardous Substances
- Alcohol and Drug Abuse Policy
- Rodents and Vermin
- Occupational Noise Exposure
- Confined Space Program General confined Space Program: training requirements, confined space hazard evaluation procedure, atmospheric testing procedure, confined space classification, permit-required procedure, communication procedure, rescue procedure, forms, etc.
- Construction Vehicles/Heavy Equipment
- Dust Control Procedures

Site Safety Plan: The Site Safety Plan shall be a written document and shall apply to all project specific Contractor and subcontractor operations, and shall have at a minimum, the following elements with each element described in a separate section (It may be necessary to modify the basic format for certain unique or high-risk projects, such as tunnels or high-rise construction):

- Project Work Scope Detailed information regarding work tasks that will be performed by contractor and subcontractors under the project.
- Responsibility and Organization Contractor's organization chart with responsible staff for the project, including titles, names, contact information, roles and responsibilities.
- Safety Training and Education OSHA 10 Hours training, requirements for daily safety briefings and weekly safety meetings, any work task specific training, responsible staff for implementation of training program for the project.
- Job Hazard Analysis (JHA) Project specific Job Hazard Analysis including work tasks, identified hazards, hazard control methods (administrative, engineering, PPE), contractor's name, project id, location, name and signature of a certifying person, hazard assessment date.
- Protection of Public
- Hazard Corrective Actions Responsible staff, forms, frequency of safety inspections and implementation of corrective actions.
- Accident/Exposure Investigation Accident/incident notification procedure of DDC project staff.
 Project specific procedures for accident investigation and implementation of corrective actions.
- First Aid and Medical Attention Responsible staff, location and inspection of First Aid kit, directions to local hospitals; emergency telephone numbers.
- Project Specific Fire Protection and Prevention Program.
- Project Specific Illumination Procedure.
- Project Specific Sanitation Procedure.
- Personal Protective Equipment (PPE)
- Hazard Communication Program Responsible staff; training; SDS records, project specific list of chemical; location of the program and SDS records.
- Means of Egress Information regarding free and unobstructed egress from all parts of the building or structure; exit marking; maintenance of means of egress, etc.
- Employee Emergency Action Plan Project specific: responsible staff, emergency alarm system, evacuation procedure, procedure to account for employees after evacuation, etc.
- Evacuation Plan Project specific evacuation plan (drawing/scheme) with exists and evacuation routes.
- Protection of Underground Facilities and Utilities, including responsible staff.
- Ionizing/Nonionizing Radiation Competent person, license and qualification requirements, type of radiation, employees exposure and protection, etc.
- Material Handling, Storage, Use and Disposal Project specific information regarding material storage and disposal.
- Signs, Signals, and Barricades Use of danger/warning signs, sidewalk closure, safety instruction signs, pedestrian fencing and barricades, etc.
- Scaffold Project specific scaffold types, training, scaffold drawings, competent person, criteria for project specific scaffold, falling object protection.

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- Welding and Cutting project specific procedure for welding and cutting, including all necessary safety requirements such as fire prevention, personal protective equipment, hot work permits, FDNY certificate requirements.
- Fall Protection Project specific information regarding selected fall protection systems, fall protection plan.
- Cranes, Derrick, Hoists, Elevators, Conveyors project specific equipment information including type, rated load capacity, manufacture specification requirements, competent person, exposure to falling load, inspection, recordkeeping, clearance requirements, communication procedure, ground lines, permits.
- Excavation Safety Competent person, project specific protective system.
- Maintenance and Protection of Traffic Plan Project specific MPT plan, flagmen training.
- Steel Erection Site specific erection plan, requirements for applicable written notifications, competent person.
- Demolition Engineering survey, including written evidence, disconnection of all effected utilities, identification of all hazardous chemicals, materials, gases, etc., floor openings, chutes, inspection and maintenance of all stairs/passageways, removal of materials/debris/structural elements, lock out/tag out, competent person.
- Blasting and the Use of Explosives Project specific safety procedures, warning signs, training/qualification, transportation, storage and use of explosives, inspection.
- Toxic and Hazardous Substances Safety procedures for substances to be used on project.
- Noise Mitigation Plan Completed project specific Noise Mitigation Plan.
- Confined Space Program Project specific Confined Space Program, responsible staff, training records, equipment information, rescue procedure, list of project specific confined spaces, forms,
- Construction Vehicles/Heavy Equipment Type of construction vehicles/heavy equipment to be used on site.
- Dust Mitigation Plan Completed project specific Dust Mitigation Plan.

The most critical component of the Site Safety Plan is the Job Hazard Analysis (JHA) section. The JHA form is a written document prepared by the contractor. The contractor must conduct a site and task assessment JHA to identify the major job steps and any potential safety or environmental hazards related to performance of the work, eliminate or implement controls for the potential hazards, and identify proper personal protective equipment for the task. The JHA shall be communicated to all contractor/subcontractor personnel on site.

The initial Job Hazard Assessment form shall be included in the contractor's Site Safety Plan and the current form shall be available at the construction site for reference.

Certain DDC programs, such as Job Order Contracting System (JOCS), may not necessarily require Site Safety Plans. The JOCS contractor shall submit a Safety Program. The Site Safety Plan requirement for the JOCS contractor will be determined by QA&CS based on a project work scope, construction activities and project location. In addition, certain DDC Operating Units may establish client-specific program or safety requirements. The contractor's Site Safety Plan must address such client-specific program or safety requirements.

VII. KICK-OFF MEETINGS/PRE-CONSTRUCTION AND SAFETY REVIEW

RE/CPM shall invite QA&CS Construction Safety Unit to the construction kick-off meeting. A QA&CS representative will participate in this meeting with the Contractor and RE/CPM prior to the start of the project for the purpose of:

- A. Reviewing the safety issues detailed in the contract.
- B. Reviewing the Site Safety Plan.
- C. Reviewing any new issues or information that was not previously addressed.
- D. Discussing planned inspections and audits of the site by QA&CS personnel.

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VIII. EVALUATION DURING WORK IN PROGRESS

The Contractor's adherence to these Safety Requirements will be monitored throughout the project. This will be accomplished by the following:

- A. Use of a safety checklist by a representative of the Construction Safety Unit or other designated DDC representative or Consultant during regular, unannounced inspections of the job site. Field Exit Conferences will be held with the RE/CPM, Contractor Project Safety Representatives.
- B. The RE/CPM will continually monitor the safety and environmental performance of the contractor's employees and work methods. Deficiencies shall be brought to the attention of the contractor's representative on site for immediate correction. The DDC representative will maintain a written record of these deficiencies and have these records available upon request. Any critical deficiencies shall be immediately reported to QA&CS phone# (718) 391-1624 or (718) 391-1911.
- C. If the Contractor's safety performance during the project is not up to DDC standards (safety performance measure, accident/incident rate, etc.) the Director QA&CS, or his/her designee will meet with the Contractor's Project Safety Representative and or Project Safety Manager, the DDC Project Manager, the RE/CPM, and the DDC Environmental Specialist (if environmental issues are involved). The purpose of this meeting is to 1) determine the level of non-compliance; 2) explain and clarify the safety/environmental provisions; 3) agree on a future course of action to correct the deficiencies.
- D. If the deficiencies continue to occur with inadequate attention by the contractor, this shall, among other remedies available, be grounds for default.
- E. The contractor shall within 1 hour inform the RE/CPM/CM of all accidents/incidents including all fatalities, any injuries to employees or members of the general public, and property damage (e.g., structural damage, equipment rollovers, utility damage, loads dropped from crane). The RE/CPM shall notify the Construction Safety Unit as per DDC's Construction Safety Emergency and Accident Notification and Response Protocol and shall maintain a record of all contractor accidents/incidents for the project.
- F. The Construction Safety Unit shall be notified within two (2) hours of the start of any NYS-DOL/NYC-COSH/OSHA/EPA inspections.

IX. SAFETY PERFORMANCE EVALUATION

The contractor's safety record, including accident/incident history and DDC safety inspection results, will be considered as part of the Contractor's performance evaluation at the conclusion of the project. Poor safety performance during the course of the project shall be a reason to rate a Contractor unsatisfactory which may be reflected in the City's Vendex system and will be considered for future procurement actions as set forth in the City's Procurement Policy Board Rules.

CITY OF NEW YORK STANDARD CONSTRUCTION CONTRACT

March 2017

CITY OF NEW YORK STANDARD CONSTRUCTION CONTRACT

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WITNESSETH:

The parties, in consideration of the mutual agreements contained herein, agree as follows:

CHAPTER I: THE CONTRACT AND DEFINITIONS

ARTICLE 1. THE CONTRACT

- 1.1 Except for titles, subtitles, headings, running headlines, tables of contents and indices (all of which are printed herein merely for convenience), the following, except for such portions thereof as may be specifically excluded, shall be deemed to be part of this **Contract**:
 - 1.1.1 All provisions required by law to be inserted in this **Contract**, whether actually inserted or not;
 - 1.1.2 The Contract Drawings and Specifications;
 - 1.1.3 The General Conditions and Special Conditions, if any;
 - 1.1.4 The Contract:
 - 1.1.5 The Information for Bidders; Request for Proposals; Notice of Solicitation and Proposal For Bids; Bid or Proposal, and, if used, the Bid Booklet;
 - 1.1.6 All Addenda issued prior to the receipt of the bids; the Notice of Award; Performance and Payment Bonds, if required; and the Notice to Proceed or the Order to Work.
- 1.2 Should any conflict occur in or between the Drawings and Specifications, the **Contractor** shall be deemed to have estimated the most expensive way of doing the **Work**, unless the **Contractor** shall have asked for and obtained a decision in writing from the **Commissioner** of the **Agency** that is entering into this **Contract**, before the submission of its bid, as to what shall govern.

ARTICLE 2. DEFINITIONS

- 2.1 The following words and expressions, or pronouns used in their stead, shall, wherever they appear in this Contract, be construed as follows, unless a different meaning is clear from the context:
 - 2.1.1 "Addendum" or "Addenda" shall mean the additional Contract provisions and/or technical clarifications issued in writing by the Commissioner prior to the receipt of bids.
 - 2.1.2 "Agency" shall mean a city, county, borough or other office, position, department, division, bureau, board or commission, or a corporation, institution or agency of government, the expenses of which are paid in whole or in part from the City treasury.
 - 2.1.3 "Agency Chief Contracting Officer" (ACCO) shall mean a person delegated authority by the Commissioner to organize and supervise the procurement activity of subordinate Agency staff in conjunction with the CCPO, or his/her duly authorized representative.

- 2.1.4 "Allowance" shall mean a sum of money which the Agency may include in the total amount of the Contract for such specific contingencies as the Agency believes may be necessary to complete the Work, e.g., lead or asbestos remediation, and for which the Contractor will be paid on the basis of stipulated unit prices or a formula set forth in the Contract or negotiated between the parties provided, however, that if the Contractor is not directed to use the Allowance, the Contractor shall have no right to such money and it shall be deducted from the total amount of the Contract.
- 2.1.5 "City" shall mean the City of New York.
- 2.1.6 "City Chief Procurement Officer" (CCPO) shall mean a person delegated authority by the Mayor to coordinate and oversee the procurement activity of Mayoral agency staff, including the ACCO and any offices which have oversight responsibility for the procurement of construction, or his/her duly authorized representative.
- 2.1.7 "Commissioner" shall mean the head of the Agency that has entered into this Contract, or his/her duly authorized representative.
- 2.1.8 "Comptroller" shall mean the Comptroller of the City of New York.
- 2.1.9 "Contract" or "Contract Documents" shall mean each of the various parts of the contract referred to in Article 1 hereof, both as a whole and severally.
- 2.1.10 "Contract Drawings" shall mean only those drawings specifically entitled as such and listed in the Specifications or in any Addendum, or any drawings furnished by the Commissioner, pertaining or supplemental thereto.
- 2.1.11 "Contract Work" shall mean everything required to be furnished and done by the Contractor by any one or more of the parts of the Contract referred to in Article 1, except Extra Work as hereinafter defined.
- 2.1.12 "Contractor" shall mean the entity which executed this Contract, whether a corporation, firm, partnership, joint venture, individual, or any combination thereof, and its, their, his/her successors, personal representatives, executors, administrators, and assigns, and any person, firm, partnership, joint venture, individual, or corporation which shall at any time be substituted in the place of the Contractor under this Contract.
- 2.1.13 "Days" shall mean calendar days, except where otherwise specified.
- 2.1.14 "Engineer" or "Architect" or "Project Manager" shall mean the person so designated in writing by the Commissioner in the Notice to Proceed or the Order to Work to act as such in relation to this Contract, including a private Architect or Engineer or Project Manager, as the case may be. Subject to written approval by the Commissioner, the Engineer, Architect or Project Manager may designate an authorized representative.
- 2.1.15 "Engineering Audit Officer" (EAO) shall mean the person so designated by the Commissioner to perform responsible auditing functions hereunder.
- 2.1.16 "Extra Work" shall mean Work other than that required by the Contract at the time of award which is authorized by the Commissioner pursuant to Chapter VI of this Contract.

- 2.1.17 "Federal-Aid Contract" shall mean a contract in which the United States (federal) Government provides financial funding as so designated in the Information for Bidders.
- 2.1.18 "Final Acceptance" shall mean final written acceptance of all the Work by the Commissioner, a copy of which shall be sent to the Contractor.
- 2.1.19 "Final Approved Punch List" shall mean a list, approved pursuant to Article 14.2.2, specifying those items of Work to be completed by the Contractor after Substantial Completion and dates for the completion of each item of Work.
- 2.1.20 "Laws" or "Laws" shall mean the Constitution of the State of New York, the New York City Charter, the New York City Administrative Code, a statute of the United States or of the State of New York, a local law of the City of New York, any ordinance, rule or regulation having the force of law, or common law.
- 2.1.21 "Materialman" shall mean any corporation, firm, partnership, joint venture, or individual, other than employees of the Contractor, who or which contracts with the Contractor or any Subcontractor, to fabricate or deliver, or who actually fabricates or delivers, plant, materials or equipment to be incorporated in the Work.
- 2.1.22 "Means and Methods of Construction" shall mean the labor, materials, temporary structures, tools, plant, and construction equipment, and the manner and time of their use, necessary to accomplish the result intended by this Contract.
- 2.1.23"Notice to Proceed" or "Order to Work" shall mean the written notice issued by the Commissioner specifying the time for commencement of the Work and the Engineer, Architect or Project Manager.
- 2.1.24 "Other Contractor(s)" shall mean any contractor (other than the entity which executed this Contract or its Subcontractors) who or which has a contract with the City for work on or adjacent to the building or Site of the Work.
- 2.1.25 "Payroll Taxes" shall mean State Unemployment Insurance (SUI), Federal Unemployment Insurance (FUI), and payments pursuant to the Federal Insurance Contributions Act (FICA).
- 2.1.26 "Project" shall mean the public improvement to which this Contract relates.
- 2.1.27 "Procurement Policy Board" (PPB) shall mean the Agency of the City of New York whose function is to establish comprehensive and consistent procurement policies and rules which shall have broad application throughout the City.
- 2.1.28 "Required Quantity" in a unit price Contract shall mean the actual quantity of any item of Work or materials which is required to be performed or furnished in order to comply with the Contract.
- 2.1.29 "Resident Engineer" shall mean the representative of the Commissioner duly designated by the Commissioner to be his/her representative at the site of the Work.
- 2.1.30 "Site" shall mean the area upon or in which the Contractor's operations are carried on, and such other areas adjacent thereto as may be designated as such by the Engineer.

- 2.1.31 "Small Tools" shall mean items that are ordinarily required for a worker's job function, including but not limited to, equipment that ordinarily has no licensing, insurance or substantive storage costs associated with it; such as circular and chain saws, impact drills, threaders, benders, wrenches, socket tools, etc.
- 2.1.32 "Specifications" shall mean all of the directions, requirements, and standards of performance applying to the Work as hereinafter detailed and designated under the Specifications.
- 2.1.33 "Subcontractor" shall mean any person, firm or corporation, other than employees of the Contractor, who or which contracts with the Contractor or with its subcontractors to furnish, or actually furnishes labor, or labor and materials, or labor and equipment, or superintendence, supervision and/or management at the Site. Wherever the word Subcontractor appears, it shall also mean sub-Subcontractor.
- 2.1.34 "Substantial Completion" shall mean the written determination by the Engineer that the Work required under this Contract is substantially, but not entirely, complete and the approval of the Final Approved Punch List.
- 2.1.35 "Work" shall mean all services required to complete the Project in accordance with the Contract Documents, including without limitation, labor, material, superintendence, management, administration, equipment, and incidentals, and obtaining any and all permits, certifications and licenses as may be necessary and required to complete the Work, and shall include both Contract Work and Extra Work.

CHAPTER II: THE WORK AND ITS PERFORMANCE

ARTICLE 3. CHARACTER OF THE WORK

3.1 Unless otherwise expressly provided in the Contract Drawings, Specifications, and Addenda, the Work shall be performed in accordance with the best modern practice, utilizing, unless otherwise specified in writing, new and unused materials of standard first grade quality and workmanship and design of the highest quality, to the satisfaction of the Commissioner.

ARTICLE 4. MEANS AND METHODS OF CONSTRUCTION

- 4.1 Unless otherwise expressly provided in the Contract Drawings, Specifications, and Addenda, the Means and Methods of Construction shall be such as the Contractor may choose; subject, however, to the Engineer's right to reject the Means and Methods of Construction proposed by the Contractor which in the opinion of the Engineer:
 - 4.1.1 Will constitute or create a hazard to the Work, or to persons or property; or
 - 4.1.2 Will not produce finished Work in accordance with the terms of the Contract; or
 - 4.1.3 Will be detrimental to the overall progress of the **Project**.
- 4.2 The Engineer's approval of the Contractor's Means and Methods of Construction, or his/her failure to exercise his/her right to reject such means or methods, shall not relieve the Contractor

of its obligation to complete the **Work** as provided in this **Contract**; nor shall the exercise of such right to reject create a cause of action for damages.

ARTICLE 5. COMPLIANCE WITH LAWS

- 5.1 The Contractor shall comply with all Laws applicable to this Contract and to the Work to be done hereunder.
- 5.2 Procurement Policy Board Rules: This Contract is subject to the Rules of the PPB ("PPB Rules") in effect at the time of the bid opening for this Contract. In the event of a conflict between the PPB Rules and a provision of this Contract, the PPB Rules shall take precedence.
 - 5.3 Noise Control Code provisions.
 - 5.3.1 In accordance with the provisions of Section 24-216(b) of the Administrative Code of the City ("Administrative Code"), Noise Abatement Contract Compliance, devices and activities which will be operated, conducted, constructed or manufactured pursuant to this Contract and which are subject to the provisions of the City Noise Control Code shall be operated, conducted, constructed, or manufactured without causing a violation of the Administrative Code. Such devices and activities shall incorporate advances in the art of noise control development for the kind and level of noise emitted or produced by such devices and activities, in accordance with regulations issued by the Commissioner of the City Department of Environmental Protection.
 - 5.3.2 The Contractor agrees to comply with Section 24-219 of the Administrative Code and implementing rules codified at 15 Rules of the City of New York ("RCNY") Section 28-100 et seq. In accordance with such provisions, the Contractor, if the Contractor is the responsible party under such regulations, shall prepare and post a Construction Noise Mitigation Plan at each Site, in which the Contractor shall certify that all construction tools and equipment have been maintained so that they operate at normal manufacturers operating specifications. If the Contractor cannot make this certification, it must have in place an Alternative Noise Mitigation Plan approved by the City Department of Environmental Protection. In addition, the Contractor's certified Construction Noise Mitigation Plan is subject inspection by the City Department of Environmental Protection in accordance with Section 28-101 of Title 15 of RCNY. No Contract Work may take place at a Site unless there is a Construction Noise Mitigation Plan or approved Alternative Noise Mitigation Plan in place. In addition, the Contractor shall create and implement a noise mitigation training program. Failure to comply with these requirements may result in fines and other penalties pursuant to the applicable provisions of the Administrative Code and RCNY.
- 5.4 Ultra Low Sulfur Diesel Fuel: In accordance with the provisions of Section 24-163.3 of the Administrative Code, the Contractor specifically agrees as follows:
 - 5.4.1 Definitions. For purposes of this Article 5.4, the following definitions apply:
 - 5.4.1(a) "Contractor" means any person or entity that enters into a Public Works Contract with a **City Agency**, or any person or entity that enters into an agreement with such person or entity, to perform work or provide labor or services related to such Public Works Contract.

- 5.4.1(b) "Motor Vehicle" means any self-propelled vehicle designed for transporting persons or property on a street or highway.
- 5.4.1(c) "Nonroad Engine" means an internal combustion engine (including the fuel system) that is not used in a Motor Vehicle or a vehicle used solely for competition, or that is not subject to standards promulgated under Section 7411 or Section 7521 of Title 42 of the United States Code, except that this term shall apply to internal combustion engines used to power generators, compressors or similar equipment used in any construction program or project.
- 5.4.1(d) "Nonroad Vehicle" means a vehicle that is powered by a Nonroad Engine, fifty (50) horsepower and greater, and that is not a Motor Vehicle or a vehicle used solely for competition, which shall include, but not be limited to, excavators, backhoes, cranes, compressors, generators, bulldozers, and similar equipment, except that this term shall not apply to horticultural maintenance vehicles used for landscaping purposes that are powered by a Nonroad Engine of sixty-five (65) horsepower or less and that are not used in any construction program or project.
- 5.4.1(e) "Public Works Contract" means a contract with a City Agency for a construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge; a contract with a City Agency for the preparation for any construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge; or a contract with a City Agency for any final work involved in the completion of any construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge.
- 5.4.1(f) "Ultra Low Sulfur Diesel Fuel" means diesel fuel that has a sulfur content of no more than fifteen parts per million (15 ppm).

5.4.2 Ultra Low Sulfur Diesel Fuel

- 5.4.2(a) All Contractors shall use Ultra Low Sulfur Diesel Fuel in diesel-powered Nonroad Vehicles in the performance of this Contract.
- 5.4.2(b) Notwithstanding the requirements of Article 5.4.2(a), Contractors may use diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm) to fulfill the requirements of this Article 5.4.2, where the Commissioner of the City Department of Environmental Protection ("DEP Commissioner") has issued a determination that a sufficient quantity of Ultra Low Sulfur Diesel Fuel is not available to meet the needs of Agencies and Contractors. Any such determination shall expire after six (6) months unless renewed.
- 5.4.2(c) Contractors shall not be required to comply with this Article 5.4.2 where the City Agency letting this Contract makes a written finding, which is approved, in writing, by the DEP Commissioner, that a sufficient quantity of Ultra Low Sulfur Diesel Fuel, or diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm) is not available to meet the requirements of Section 24-163.3 of the Administrative Code, provided that such Contractor in its fulfillment of the

requirements of this **Contract**, to the extent practicable, shall use whatever quantity of Ultra Low Sulfur Diesel Fuel or diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm) is available. Any finding made pursuant to this Article 5.4.2(c) shall expire after sixty (60) **Days**, at which time the requirements of this Article 5.4.2 shall be in full force and effect unless the **City Agency** renews the finding in writing and such renewal is approved by the DEP Commissioner.

- 5.4.2(d) Contractors may check on determinations and approvals issued by the DEP Commissioner pursuant to Section 24-163.3 of the Administrative Code, if any, at www.dep.nyc.gov or by contacting the City Agency letting this Contract.
- 5.4.2(e) The requirements of this Article 5.4.2 do not apply where they are precluded by federal or State funding requirements or where the **Contract** is an emergency procurement.

5.4.3 Best Available Technology

- 5.4.3(a) All Contractors shall utilize the best available technology for reducing the emission of pollutants for diesel-powered Nonroad Vehicles in the performance of this Contract. For determinations of best available technology for each type of diesel-powered Nonroad Vehicle, Contractors shall comply with the regulations of the City Department of Environmental Protection, as and when adopted, Chapter 14 of Title 15 of the Rules of the City of New York (RCNY). The Contractor shall fully document all steps in the best available technology selection process and shall furnish such documentation to the City Agency or the DEP Commissioner upon request. The Contractor shall retain all documentation generated in the best available technology selection process for as long as the selected best available technology is in use.
- 5.4.3(b) No **Contractor** shall be required to replace best available technology for reducing the emission of pollutants or other authorized technology utilized for a diesel-powered Nonroad Vehicle in accordance with the provisions of this Article 5.4.3 within three (3) years of having first utilized such technology for such vehicle.
- 5.4.3(c) This Article 5.4.3 shall not apply to any vehicle used to satisfy the requirements of a specific Public Works Contract for fewer than twenty (20) **Days**.
- 5.4.3(d) The Contractor shall not be required to comply with this Article 5.4.3 with respect to a diesel-powered Nonroad Vehicle under the following circumstances:
 - 5.4.3(d)(i) Where the **City Agency** makes a written finding, which is approved, in writing, by the DEP Commissioner, that the best available technology for reducing the emission of pollutants as required by this Article 5.4.3 is unavailable for such vehicle, the **Contractor** shall use whatever technology for reducing the emission of pollutants, if any, is available and appropriate for such vehicle.
 - 5.4.3(d)(ii) Where the DEP Commissioner has issued a written waiver based upon the **Contractor** having demonstrated to the DEP Commissioner that the use of the best available technology for reducing the emission of pollutants might endanger the operator of such vehicle or those working near such vehicle, due to engine malfunction, the **Contractor** shall use whatever technology for

reducing the emission of pollutants, if any, is available and appropriate for such vehicle, which would not endanger the operator of such vehicle or those working near such vehicle.

5.4.3(d)(iii) In determining which technology to use for the purposes of Articles 5.4.3(d)(i) and 5.4.3(d)(ii) above, the **Contractor** shall primarily consider the reduction in emissions of particulate matter and secondarily consider the reduction in emissions of nitrogen oxides associated with the use of such technology, which shall in no event result in an increase in the emissions of either such pollutant.

5.4.3(d)(iv) The Contractor shall submit requests for a finding or a waiver pursuant to this Article 5.4.3(d) in writing to the DEP Commissioner, with a copy to the ACCO of the City Agency letting this Contract. Any finding or waiver made or issued pursuant to Articles 5.4.3(d)(i) and 5.4.3(d)(ii) above shall expire after one hundred eighty (180) Days, at which time the requirements of Article 5.4.3(a) shall be in full force and effect unless the City Agency renews the finding, in writing, and the DEP Commissioner approves such finding, in writing, or the DEP Commissioner renews the waiver, in writing.

5.4.3(e) The requirements of this Article 5.4.3 do not apply where they are precluded by federal or State funding requirements or where the **Contract** is an emergency procurement.

5.4.4 Section 24-163 of the Administrative Code. The **Contractor** shall comply with Section 24-163 of the Administrative Code related to the idling of the engines of motor vehicles while parking.

5.4.5 Compliance

- 5.4.5(a) The **Contractor's** compliance with Article 5.4 may be independently monitored. If it is determined that the **Contractor** has failed to comply with any provision of Article 5.4, any costs associated with any independent monitoring incurred by the **City** shall be reimbursed by the **Contractor**.
- 5.4.5(b) Any **Contractor** who violates any provision of Article 5.4, except as provided in Article 5.4.5(c) below, shall be liable for a civil penalty between the amounts of one thousand (\$1,000) and ten thousand (\$10,000) dollars, in addition to twice the amount of money saved by such **Contractor** for failure to comply with Article 5.4.
- 5.4.5(c) No Contractor shall make a false claim with respect to the provisions of Article 5.4 to a City Agency. Where a Contractor has been found to have done so, such Contractor shall be liable for a civil penalty of twenty thousand (\$20,000) dollars, in addition to twice the amount of money saved by such Contractor in association with having made such false claim.

5.4.6 Reporting

5.4.6(a) For all Public Works Contracts covered by this Article 5.4, the Contractor shall report to the City Agency the following information:

- 5.4.6(a)(i) The total number of diesel-powered Nonroad Vehicles used to fulfill the requirements of this Public Works Contract;
- 5.4.6(a)(ii) The number of such Nonroad Vehicles that were powered by Ultra Low Sulfur Diesel Fuel;
- 5.4.6(a)(iii) The number of such Nonroad Vehicles that utilized the best available technology for reducing the emission of pollutants, including a breakdown by vehicle model and the type of technology;
- 5.4.6(a)(iv) The number of such Nonroad Vehicles that utilized such other authorized technology in accordance with Article 5.4.3, including a breakdown by vehicle model and the type of technology used for each such vehicle;
 - 5.4.6(a)(v) The locations where such Nonroad Vehicles were used; and
- 5.4.6(a)(vi) Where a determination is in effect pursuant to Article 5.4.2(b) or 5.4.2(c), detailed information concerning the **Contractor's** efforts to obtain Ultra Low Sulfur Diesel Fuel or diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm).
- 5.4.6(b) The **Contractor** shall submit the information required by Article 5.4.6(a) at the completion of **Work** under the Public Works Contract and on a yearly basis no later than August 1 throughout the term of the Public Works Contract. The yearly report shall cover **Work** performed during the preceding fiscal year (July 1 June 30).
- 5.5 Ultra Low Sulfur Diesel Fuel. In accordance with the Coordinated Construction Act for Lower Manhattan, as amended:
 - 5.5.1 Definitions. For purposes of this Article 5.5, the following definitions apply:
 - 5.5.1(a) "Lower Manhattan" means the area to the south of and within the following lines: a line beginning at a point where the United States pierhead line in the Hudson River as it exists now or may be extended would intersect with the southerly line of West Houston Street in the Borough of Manhattan extended, thence easterly along the southerly side of West Houston Street to the southerly side of Houston Street, thence easterly along the southerly side of Houston Street to the southerly side of East Houston Street, thence northeasterly along the southerly side of East Houston Street to the point where it would intersect with the United States pierhead line in the East River as it exists now or may be extended, including tax lots within or immediately adjacent thereto.
 - 5.5.1(b) "Lower Manhattan Redevelopment Project" means any project in Lower Manhattan that is funded in whole or in part with federal or State funding, or any project intended to improve transportation between Lower Manhattan and the two air terminals in the City known as LaGuardia Airport and John F. Kennedy International Airport, or between Lower Manhattan and the air terminal in Newark known as Newark Liberty International Airport, and that is funded in whole or in part with federal funding.

- 5.5.1(c) "Nonroad Engine" means an internal combustion engine (including the fuel system) that is not used in a Motor Vehicle or a vehicle used solely for competition, or that is not subject to standards promulgated under Section 7411 or Section 7521 of Title 42 of the United States Code, except that this term shall apply to internal combustion engines used to power generators, compressors or similar equipment used in any construction program or project.
- 5.5.1(d) "Nonroad Vehicle" means a vehicle that is powered by a Nonroad Engine, fifty (50) horsepower (HP) and greater, and that is not a Motor Vehicle or a vehicle used solely for competition, which shall include, but not be limited to, excavators, backhoes, cranes, compressors, generators, bulldozers, and similar equipment, except that this terms shall not apply to horticultural maintenance vehicles used for landscaping purposes that are powered by a Nonroad Engine of sixty-five (65) HP or less and that are not used in any construction program or project.
- 5.5.1(e) "Ultra Low Sulfur Diesel Fuel" means diesel fuel that has a sulfur content of no more than fifteen parts per million (15 ppm).
- 5.5.2 Requirements. Contractors and Subcontractors are required to use only Ultra Low Sulfur Diesel Fuel to power the diesel-powered Nonroad Vehicles with engine HP rating of fifty (50) HP and above used on a Lower Manhattan Redevelopment Project and, where practicable, to reduce the emission of pollutants by retrofitting such Nonroad Vehicles with oxidation catalysts, particulate filters, or technology that achieves lowest particulate matter emissions.
- 5.6 Pesticides. In accordance with Section 17-1209 of the Administrative Code, to the extent that the **Contractor** or any **Subcontractor** applies pesticides to any property owned or leased by the **City**, the **Contractor**, and any **Subcontractor** shall comply with Chapter 12 of the Administrative Code.
- 5.7 Waste Treatment, Storage, and Disposal Facilities and Transporters. In connection with the **Work**, the **Contractor** and any **Subcontractor** shall use only those waste treatment, storage, and disposal facilities and waste transporters that possess the requisite license, permit or other governmental approval necessary to treat, store, dispose, or transport the waste, materials or hazardous substances.
- 5.8 Environmentally Preferable Purchasing. The **Contractor** shall ensure that products purchased or leased by the **Contractor** or any **Subcontractor** for the **Work** that are not specified by the **City** or are submitted as equivalents to a product specified by the **City** comply with the requirements of the New York City Environmentally Preferable Purchasing Program contained in Chapter 11 of Title 43 of the RCNY, pursuant to Chapter 3 of Title 6 of the Administrative Code.

ARTICLE 6. INSPECTION

- 6.1 During the progress of the **Work** and up to the date of **Final Acceptance**, the **Contractor** shall at all times afford the representatives of the **City** every reasonable, safe, and proper facility for inspecting all **Work** done or being done at the **Site** and also for inspecting the manufacture or preparation of materials and equipment at the place of such manufacture or preparation.
- 6.2 The Contractor's obligation hereunder shall include the uncovering or taking down of finished Work and its restoration thereafter; provided, however, that the order to uncover, take down and restore shall be in writing, and further provided that if Work thus exposed proves satisfactory, and if the Contractor has complied with Article 6.1, such uncovering or taking down and restoration shall be

considered an item of Extra Work to be paid for in accordance with the provisions of Article 26. If the Work thus exposed proves unsatisfactory, the City has no obligation to compensate the Contractor for the uncovering, taking down or restoration.

- 6.3 Inspection and approval by the Commissioner, the Engineer, Project Manager, or Resident Engineer, of finished Work or of Work being performed, or of materials and equipment at the place of manufacture or preparation, shall not relieve the Contractor of its obligation to perform the Work in strict accordance with the Contract. Finished or unfinished Work not found to be in strict accordance with the Contract shall be replaced as directed by the Engineer, even though such Work may have been previously approved and paid for. Such corrective Work is Contract Work and shall not be deemed Extra Work.
- 6.4 Rejected Work and materials shall be promptly taken down and removed from the Site, which must at all times be kept in a reasonably clean and neat condition.

ARTICLE 7. PROTECTION OF WORK AND OF PERSONS AND PROPERTY; NOTICES AND INDEMNIFICATION

- 7.1 During the performance of the Work and up to the date of Final Acceptance, the Contractor shall be under an absolute obligation to protect the finished and unfinished Work against any damage, loss, injury, theft and/or vandalism and in the event of such damage, loss, injury, theft and/or vandalism, it shall promptly replace and/or repair such Work at the Contractor's sole cost and expense, as directed by the Resident Engineer. The obligation to deliver finished Work in strict accordance with the Contract prior to Final Acceptance shall be absolute and shall not be affected by the Resident Engineer's approval of, or failure to prohibit, the Means and Methods of Construction used by the Contractor.
- 7.2 During the performance of the Work and up to the date of Final Acceptance, the Contractor shall take all reasonable precautions to protect all persons and the property of the City and of others from damage, loss or injury resulting from the Contractor's, and/or its Subcontractors' operations under this Contract. The Contractor's obligation to protect shall include the duty to provide, place or replace, and adequately maintain at or about the Site suitable and sufficient protection such as lights, barricades, and enclosures.
- 7.3 The Contractor shall comply with the notification requirements set forth below in the event of any loss, damage or injury to Work, persons or property, or any accidents arising out of the operations of the Contractor and/or its Subcontractors under this Contract.
 - 7.3.1 The Contractor shall make a full and complete report in writing to the Resident Engineer within three (3) Days after the occurrence.
 - 7.3.2 The Contractor shall also send written notice of any such event to all insurance carriers that issued potentially responsive policies (including commercial general liability insurance carriers for events relating to the Contractor's own employees) no later than twenty (20) days after such event and again no later than twenty (20) days after the initiation of any claim and/or action resulting therefrom. Such notice shall contain the following information: the number of the insurance policy, the name of the Named Insured, the date and location of the incident, and the identity of the persons injured or property damaged. For any policy on which the City and/or the Engineer, Architect, or Project Manager are Additional Insureds, such notice shall expressly specify that "this notice is

being given on behalf of the City of New York as Additional Insured, such other Additional Insureds, as well as the Named Insured."

- 7.3.2(a) Whenever such notice is sent under a policy on which the City is an Additional Insured, the Contractor shall provide copies of the notice to the Comptroller, the Commissioner and the City Corporation Counsel. The copy to the Comptroller shall be sent to the Insurance Unit, NYC Comptroller's Office, 1 Centre Street Room 1222, New York, New York, 10007. The copy to the Commissioner shall be sent to the address set forth in Schedule A of the General Conditions. The copy to the City Corporation Counsel shall be sent to Insurance Claims Specialist, Affirmative Litigation Division, New York City Law Department, 100 Church Street, New York, New York 10007.
- 7.3.2(b) If the **Contractor** fails to provide any of the foregoing notices to any appropriate insurance carrier(s) in a timely and complete manner, the **Contractor** shall indemnify the **City** for all losses, judgments, settlements, and expenses, including reasonable attorneys' fees, arising from an insurer's disclaimer of coverage citing late notice by or on behalf of the **City**.
- 7.4 To the fullest extent permitted by law, the Contractor shall defend, indemnify, and hold the City, its employees, and officials (the "Indemnitees") harmless against any and all claims (including but not limited to claims asserted by any employee of the Contractor and/or its Subcontractors) and costs and expenses of whatever kind (including but not limited to payment or reimbursement of attorneys' fees and disbursements) allegedly arising out of or in any way related to the operations of the Contractor and/or its Subcontractors in the performance of this Contract or from the Contractor's and/or its Subcontractors' failure to comply with any of the provisions of this Contract or of the Law. Such costs and expenses shall include all those incurred in defending the underlying claim and those incurred in connection with the enforcement of this Article 7.4 by way of cross-claim, third-party claim, declaratory action or otherwise. The parties expressly agree that the indemnification obligation hereunder contemplates (1) full indemnity in the event of liability imposed against the Indemnitees without negligence and solely by reason of statute, operation of Law or otherwise; and (2) partial indemnity in the event of any actual negligence on the part of the Indemnitees either causing or contributing to the underlying claim (in which case, indemnification will be limited to any liability imposed over and above that percentage attributable to actual fault whether by statute, by operation of Law, or otherwise). Where partial indemnity is provided hereunder, all costs and expenses shall be indemnified on a pro rata basis.
 - 7.4.1 Indemnification under Article 7.4 or any other provision of the **Contract** shall operate whether or not **Contractor** or its **Subcontractors** have placed and maintained the insurance specified under Article 22.
- 7.5 The provisions of this Article 7 shall not be deemed to create any new right of action in favor of third parties against the **Contractor** or the **City**.

CHAPTER III: TIME PROVISIONS

ARTICLE 8. COMMENCEMENT AND PROSECUTION OF THE WORK

8.1 The Contractor shall commence the Work on the date specified in the Notice to Proceed or the Order to Work. The time for performance of the Work under the Contract shall be computed from

the date specified in the Notice to Proceed or the Order to Work. TIME BEING OF THE ESSENCE to the City, the Contractor shall thereafter prosecute the Work diligently, using such Means and Methods of Construction as are in accord with Article 4 herein and as will assure its completion not later than the date specified in this Contract, or on the date to which the time for completion may be extended.

ARTICLE 9. PROGRESS SCHEDULES

- 9.1 To enable the **Work** to be performed in an orderly and expeditious manner, the **Contractor**, within fifteen (15) **Days** after the **Notice to Proceed** or **Order to Work**, unless otherwise directed by the **Engineer**, shall submit to the **Engineer** a proposed progress schedule based on the Critical Path Method in the form of a bar graph or in such other form as specified by the **Engineer**, and monthly cash flow requirements, showing:
 - 9.1.1 The anticipated time of commencement and completion of each of the various operations to be performed under this **Contract**; and
 - 9.1.2 The sequence and interrelation of each of these operations with the others and with those of other related contracts; and
 - 9.1.3 The estimated time required for fabrication or delivery, or both, of all materials and equipment required for the **Work**, including the anticipated time for obtaining required approvals pursuant to Article 10; and
 - 9.1.4 The estimated amount in dollars the Contractor will claim on a monthly basis.
- 9.2 The proposed schedule shall be revised as directed by the **Engineer**, until finally approved by the **Engineer**, and after such approval, subject to the provisions of Article 11, shall be strictly adhered to by the **Contractor**.
- 9.3 If the Contractor shall fail to adhere to the approved progress schedule, or to the schedule as revised pursuant to Article 11, it shall promptly adopt such other or additional Means and Methods of Construction, at its sole cost and expense, as will make up for the time lost and will assure completion in accordance with the approved progress schedule. The approval by the City of a progress schedule which is shorter than the time allotted under the Contract shall not create any liability for the City if the approved progress schedule is not met.
- 9.4 The Contractor will not receive any payments until the proposed progress schedule is submitted.

ARTICLE 10. REQUESTS FOR INFORMATION OR APPROVAL

10.1 From time to time as the **Work** progresses and in the sequence indicated by the approved progress schedule, the **Contractor** shall submit to the **Engineer** a specific request in writing for each item of information or approval required by the **Contractor**. These requests shall state the latest date upon which the information or approval is actually required by the **Contractor**, and shall be submitted in a reasonable time in advance thereof to provide the **Engineer** a sufficient time to act upon such submissions, or any necessary re-submissions thereof.

10.2 The Contractor shall not have any right to an extension of time on account of delays due to the Contractor's failure to submit requests for the required information or the required approval in accordance with the above requirements.

ARTICLE 11. NOTICE OF CONDITIONS CAUSING DELAY AND DOCUMENTATION OF DAMAGES CAUSED BY DELAY

- 11.1 After the commencement of any condition which is causing or may cause a delay in completion of the **Work**, including conditions for which the **Contractor** may be entitled to an extension of time, the following notifications and submittals are required:
 - 11.1.1 Within fifteen (15) **Days** after the **Contractor** becomes aware or reasonably should be aware of each such condition, the **Contractor** must notify the **Resident Engineer** or **Engineer**, as directed by the **Commissioner**, in writing of the existence, nature and effect of such condition upon the approved progress schedule and the **Work**, and must state why and in what respects, if any, the condition is causing or may cause a delay. Such notice shall include a description of the construction activities that are or could be affected by the condition and may include any recommendations the **Contractor** may have to address the delay condition and any activities the **Contractor** may take to avoid or minimize the delay.
 - 11.1.2 If the Contractor shall claim to be sustaining damages for delay as provided for in this Article 11, within forty-five (45) Days from the time such damages are first incurred for each such condition, the Contractor shall submit to the Commissioner a verified written statement of the details and estimates of the amounts of such damages, including categories of expected damages and projected monthly costs, together with documentary evidence of such damages as the Contractor may have at the time of submission ("statement of delay damages"), as further detailed in Article 11.6. The Contractor may submit the above statement within such additional time as may be granted by the Commissioner in writing upon written request therefor.
 - 11.1.3 Articles 11.1.1 and 11.1.2 do not relieve the **Contractor** of its obligation to comply with the provisions of Article 44.
- 11.2 Failure of the **Contractor** to strictly comply with the requirements of Article 11.1.1 may, in the discretion of the **Commissioner**, be deemed sufficient cause to deny any extension of time on account of delay arising out of such condition. Failure of the **Contractor** to strictly comply with the requirements of both Articles 11.1.1 and 11.1.2 shall be deemed a conclusive waiver by the **Contractor** of any and all claims for damages for delay arising from such condition and no right to recover on such claims shall exist.
- 11.3 When appropriate and directed by the **Engineer**, the progress schedule shall be revised by the **Contractor** until finally approved by the **Engineer**. The revised progress schedule must be strictly adhered to by the **Contractor**.

11.4 Compensable Delays

11.4.1 The Contractor agrees to make claim only for additional costs attributable to delay in the performance of this Contract necessarily extending the time for completion of the Work or resulting from acceleration directed by the Commissioner and required to maintain the progress schedule, occasioned solely by any act or omission to act of the City listed below. The Contractor also agrees that delay from any other cause shall be

compensated, if at all, solely by an extension of time to complete the performance of the Work.

- 11.4.1.1 The failure of the City to take reasonable measures to coordinate and progress the Work to the extent required by the Contract, except that the City shall not be responsible for the Contractor's obligation to coordinate and progress the Work of its Subcontractors.
- 11.4.1.2 Unreasonable delays attributable to the review of shop drawings, the issuance of change orders, or the cumulative impact of change orders that were not brought about by any act or omission of the **Contractor**.
- 11.4.1.3 The unavailability of the Site caused by acts or omissions of the City...
- 11.4.1.4 The issuance by the **Engineer** of a stop work order that was not brought about through any act or omission of the **Contractor**.
- 11.4.1.5 Differing site conditions or environmental hazards that were neither known nor reasonably ascertainable on a pre-bid inspection of the **Site** or review of the bid documents or other publicly available sources, and that are not ordinarily encountered in the **Project**'s geographical area or neighborhood or in the type of **Work** to be performed.
- 11.4.1.6 Delays caused by the City's bad faith or its willful, malicious, or grossly negligent conduct;
- 11.4.1.7 Delays not contemplated by the parties;
- 11.4.1.8 Delays so unreasonable that they constitute an intentional abandonment of the **Contract** by the **City**; and
- 11.4.1.9 Delays resulting from the City's breach of a fundamental obligation of the Contract.
- 11.4.2 No claim may be made for any alleged delay in **Substantial Completion** of the **Work** if the **Work** will be or is substantially completed by the date of **Substantial Completion** provided for in Schedule A unless acceleration has been directed by the **Commissioner** to meet the date of **Substantial Completion** set forth in Schedule A, or unless there is a provision in the **Contract** providing for additional compensation for early completion.
- 11.4.3 The provisions of this Article 11 apply only to claims for additional costs attributable to delay and do not preclude determinations by the **Commissioner** allowing reimbursements for additional costs for **Extra Work** pursuant to Articles 25 and 26 of this **Contract**. To the extent that any cost attributable to delay is reimbursed as part of a change order, no additional claim for compensation under this Article 11 shall be allowed.
- 11.5 Non-Compensable Delays. The **Contractor** agrees to make no claim for, and is deemed to have included in its bid prices for the various items of the **Contract**, the extra/additional costs attributable to any delays caused by or attributable to the items set forth below. For such items, the **Contractor** shall be compensated, if at all, solely by an extension of time to complete the performance of the **Work**, in accordance with the provisions of Article 13. Such extensions of time will be granted, if at all, pursuant to the grounds set forth in Article 13.3.
 - 11.5.1 The acts or omissions of any third parties, including but not limited to **Other Contractors**, public/ governmental bodies (other than **City Agencies**), utilities or private enterprises, who are disclosed in the **Contract Documents** or are ordinarily encountered or generally recognized as related to the **Work**;

- 11.5.2 Any situation which was within the contemplation of the parties at the time of entering into the Contract, including any delay indicated or disclosed in the Contract Documents or that would be generally recognized by a reasonably prudent contractor as related to the nature of the Work, and/or the existence of any facility or appurtenance owned, operated or maintained by any third party, as indicated or disclosed in the Contract Documents or ordinarily encountered or generally recognized as related to the nature of the Work;
- 11.5.3 Restraining orders, injunctions or judgments issued by a court which were caused by a Contractor's submission, action or inaction or by a Contractor's **Means and Methods of Construction**, or by third parties, unless such order, injunction or judgment was the result of an act or omission by the **City**;
- 11.5.4 Any labor boycott, strike, picketing, lockout or similar situation;
- 11.5.5 Any shortages of supplies or materials, or unavailability of equipment, required by the **Contract Work**;
- 11.5.6 Climatic conditions, storms, floods, droughts, tidal waves, fires, hurricanes, earthquakes, landslides or other catastrophes or acts of God, or acts of war or of the public enemy or terrorist acts, including the City's reasonable responses thereto; and
- 11.5.7 Extra Work which does not significantly affect the overall completion of the Contract, reasonable delays in the review or issuance of change orders or field orders and/or in shop drawing reviews or approvals.
- 11.6 Required Content of Submission of Statement of Delay Damages
 - 11.6.1 In the verified written statement of delay damages required by Article 11.1.2, the following information shall be provided by the **Contractor**:
 - 11.6.1.1 For each delay, the start and end dates of the claimed periods of delay and, in addition, a description of the operations that were delayed, an explanation of how they were delayed, and the reasons for the delay, including identifying the applicable act or omission of the City listed in Article 11.4.
 - 11.6.1.2 A detailed factual statement of the claim providing all necessary dates, locations and items of **Work** affected by the claim.
 - 11.6.1.3 The estimated amount of additional compensation sought and a breakdown of that amount into categories as described in Article 11.7.
 - 11.6.1.4 Any additional information requested by the **Commissioner**.

11.7 Recoverable Costs

- 11.7.1 Delay damages may be recoverable for the following costs actually and necessarily incurred in the performance of the **Work**:
 - 11.7.1.1 Direct labor, including payroll taxes (subject to statutory wage caps) and supplemental benefits, based on time and materials records;
 - 11.7.1.2 Necessary materials (including transportation to the **Site**), based on time and material records;



- 11.7.1.3 Reasonable rental value of necessary plant and equipment other than small tools, plus fuel/energy costs according to the applicable formula set forth in Articles 26.2.4 and/or 26.2.8, based on time and material records:
- 11.7.1.4 Additional insurance and bond costs;
- 11.7.1.5 Extended **Site** overhead, field office rental, salaries of field office staff, on-site project managers and superintendents, field office staff vehicles, **Project**-specific storage, field office utilities and telephone, and field office consumables;
- 11.7.1.6 Labor escalation costs based on actual costs;
- 11.7.1.7 Materials and equipment escalation costs based on applicable industry indices unless documentation of actual increased cost is provided;
- 11.7.1.8 Additional material and equipment storage costs based on actual documented costs and additional costs necessitated by extended manufacturer warranty periods; and
- 11.7.1.9 Extended home office overhead calculated based on the following formula:
 - (1) Subtract from the original Contract amount the amount earned by original contractual Substantial Completion date (not including change orders);
 - (2) Remove 15% overhead and profit from the calculation in item (1) by dividing the results of item (1) by 1.15;
 - (3) Multiply the result of item (2) by 7.25% for the total home office overhead;
 - (4) Multiply the result of item (3) by 7.25% for the total profit; and
 - (5) The total extended home office overhead will be the total of items (3) and (4).
- 11.7.2 Recoverable Subcontractor Costs. When the Work is performed by a **Subcontractor**, the **Contractor** may be paid the actual and necessary costs of such subcontracted **Work** as outlined above in Articles 11.7.1.1 through 11.7.1.8, and an additional overhead of 5% of the costs outlined in Articles 11.7.1.1 through 11.7.1.3.
- 11.7.3 Non-Recoverable Costs. The parties agree that the City will have no liability for the following items and the Contractor agrees it shall make no claim for the following items:
 - 11.7.3.1Profit, or loss of anticipated or unanticipated profit, except as provided in Article 11.7.1.9;
 - 11.7.3.2Consequential damages, including, but not limited to, construction or bridge loans or interest paid on such loans, loss of bonding capacity, bidding opportunities, or interest in investment, or any resulting insolvency;
 - 11.7.3.3 Indirect costs or expenses of any nature except those included in Article 11.7.1;
 - 11.7.3.4 Direct or indirect costs attributable to performance of **Work** where the **Contractor**, because of situations or conditions within its control, has not progressed the **Work** in a satisfactory manner; and
 - 11.7.3.5 Attorneys' fees and dispute and claims preparation expenses.

- 11.8 Any claims for delay under this Article 11 are not subject to the jurisdiction of the Contract Dispute Resolution Board pursuant to the dispute resolution process set forth in Article 27.
- 11.9 Any compensation provided to the **Contractor** in accordance with this Article 11 will be made pursuant to a claim filed with the **Comptroller**. Nothing in this Article 11 extends the time for the **Contractor** to file an action with respect to a claim within six months after **Substantial Completion** pursuant to Article 56.

ARTICLE 12. COORDINATION WITH OTHER CONTRACTORS

- 12.1 During the progress of the Work, Other Contractors may be engaged in performing other work or may be awarded other contracts for additional work on this Project. In that event, the Contractor shall coordinate the Work to be done hereunder with the work of such Other Contractors and the Contractor shall fully cooperate with such Other Contractors and carefully fit its own Work to that provided under other contracts as may be directed by the Engineer. The Contractor shall not commit or permit any act which will interfere with the performance of work by any Other Contractors.
- 12.2 If the Engineer determines that the Contractor is failing to coordinate its Work with the work of Other Contractors as the Engineer has directed, then the Commissioner shall have the right to withhold any payments otherwise due hereunder until the Contractor completely complies with the Engineer's directions.
- 12.3 The Contractor shall notify the Engineer in writing if any Other Contractor on this Project is failing to coordinate its work with the Work of this Contract. If the Engineer finds such charges to be true, the Engineer shall promptly issue such directions to the Other Contractor with respect thereto as the situation may require. The City shall not, however, be liable for any damages suffered by any Other Contractor's failure to coordinate its work with the Work of this Contract or by reason of the Other Contractor's failure to promptly comply with the directions so issued by the Engineer, or by reason of any Other Contractor's default in performance, it being understood that the City does not guarantee the responsibility or continued efficiency of any contractor. The Contractor agrees to make no claim against the City for any damages relating to or arising out of any directions issued by the Engineer pursuant to this Article 12 (including but not limited to the failure of any Other Contractor to comply or promptly comply with such directions), or the failure of any Other Contractor to coordinate its work, or the default in performance of any Other Contractor.
- 12.4 The Contractor shall indemnify and hold the City harmless from any and all claims or judgments for damages and from costs and expenses to which the City may be subjected or which it may suffer or incur by reason of the Contractor's failure to comply with the Engineer's directions promptly; and the Comptroller shall have the right to exercise the powers reserved in Article 23 with respect to any claims which may be made for damages due to the Contractor's failure to comply with the Engineer's directions promptly. Insofar as the facts and Law relating to any claim would preclude the City from being completely indemnified by the Contractor, the City shall be partially indemnified by the Contractor to the fullest extent provided by Law.
- 12.5 Should the Contractor sustain any damage through any act or omission of any Other Contractor having a contract with the City for the performance of work upon the Site or of work which may be necessary to be performed for the proper prosecution of the Work to be performed hereunder, or through any act or omission of a subcontractor of such Other Contractor, the Contractor shall have no claim against the City for such damage, but shall have a right to recover such damage from the Other

Contractor under the provision similar to the following provisions which apply to this Contract and have been or will be inserted in the contracts with such Other Contractors:

- 12.5.1 Should any Other Contractor having or who shall hereafter have a contract with the City for the performance of work upon the Site sustain any damage through any act or omission of the Contractor hereunder or through any act or omission of any Subcontractor of the Contractor, the Contractor agrees to reimburse such Other Contractor for all such damages and to defend at its own expense any action based upon such claim and if any judgment or claim (even if the allegations of the action are without merit) against the City shall be allowed the Contractor shall pay or satisfy such judgment or claim and pay all costs and expenses in connection therewith and agrees to indemnify and hold the City harmless from all such claims. Insofar as the facts and Law relating to any claim would preclude the City from being completely indemnified by the Contractor, the City shall be partially indemnified by the Contractor to the fullest extent provided by Law.
- 12.6 The City's right to indemnification hereunder shall in no way be diminished, waived or discharged by its recourse to assessment of liquidated damages as provided in Article 15, or by the exercise of any other remedy provided for by Contract or by Law.

ARTICLE 13. EXTENSION OF TIME FOR PERFORMANCE

- 13.1 If performance by the **Contractor** is delayed for a reason set forth in Article 13.3, the **Contractor** may be allowed a reasonable extension of time in conformance with this Article 13 and the **PPB** Rules.
- 13.2 Any extension of time may be granted only by the ACCO or by the Board for the Extension of Time (hereafter "Board") (as set forth below) upon written application by the Contractor:
- 13.3 Grounds for Extension: If such application is made, the Contractor shall be entitled to an extension of time for delay in completion of the Work caused solely:
 - 13.3.1 By the acts or omissions of the City, its officials, agents or employees; or
 - 13.3.2 By the act or omissions of Other Contractors on this Project; or
 - 13.3.3 By supervening conditions entirely beyond the control of either party hereto (such as, but not limited to, acts of God or the public enemy, excessive inclement weather, war or other national emergency making performance temporarily impossible or illegal, or strikes or labor disputes not brought about by any act or omission of the **Contractor**).
 - 13.3.4 The Contractor shall, however, be entitled to an extension of time for such causes only for the number of **Days** of delay which the **ACCO** or the Board may determine to be due solely to such causes, and then only if the Contractor shall have strictly complied with all of the requirements of Articles 9 and 10.
- 13.4 The Contractor shall not be entitled to receive a separate extension of time for each of several causes of delay operating concurrently, but, if at all, only for the actual period of delay in completion of the Work as determined by the ACCO or the Board, irrespective of the number of causes contributing to produce such delay. If one of several causes of delay operating concurrently results from any act, fault or omission of the Contractor or of its Subcontractors or Materialmen, and would of itself (irrespective

of the concurrent causes) have delayed the Work, no extension of time will be allowed for the period of delay resulting from such act, fault or omission.

- 13.5 The determination made by the **ACCO** or the Board on an application for an extension of time shall be binding and conclusive on the **Contractor**.
- 13.6 The ACCO or the Board acting entirely within their discretion may grant an application for an extension of time for causes of delay other than those herein referred.
- 13.7 Permitting the **Contractor** to continue with the **Work** after the time fixed for its completion has expired, or after the time to which such completion may have been extended has expired, or the making of any payment to the **Contractor** after such time, shall in no way operate as a waiver on the part of the **City** of any of its rights under this **Contract**.
 - 13.8 Application for Extension of Time:
 - 13.8.1 Before the **Contractor's** time extension request will be considered, the **Contractor** shall notify the **ACCO** of the condition which allegedly has caused or is causing the delay, and shall submit a written application to the **ACCO** identifying:
 - 13.8.1(a) The Contractor; the registration number; and Project description;
 - 13.8.1(b) Liquidated damage assessment rate, as specified in the Contract;
 - 13.8.1(c) Original total bid price;
 - 13.8.1(d) The original Contract start date and completion date;
 - 13.8.1(e) Any previous time extensions granted (number and duration); and
 - 13.8.1(f) The extension of time requested.
 - 13.8.2 In addition, the application for extension of time shall set forth in detail:
 - 13.8.2(a) The nature of each alleged cause of delay in completing the Work;
 - 13.8.2(b) The date upon which each such cause of delay began and ended and the number of **Days** attributable to each such cause:
 - 13.8.2(c) A statement that the **Contractor** waives all claims except for those delineated in the application, and the particulars of any claims which the **Contractor** does not agree to waive. For time extensions for **Substantial Completion** and final completion payments, the application shall include a detailed statement of the dollar amounts of each element of claim item reserved; and
 - 13.8.2(d) A statement indicating the Contractor's understanding that the time extension is granted only for purposes of permitting continuation of Contract performance and payment for Work performed and that the City retains its right to conduct an investigation and assess liquidated damages as appropriate in the future.
 - 13.9 Analysis and Approval of Time Extensions:

- 13.9.1 For time extensions for partial payments, a written determination shall be made by the ACCO who may, for good and sufficient cause, extend the time for the performance of the Contract as follows:
 - 13.9.1(a) If the **Work** is to be completed within six (6) months, the time for performance may be extended for sixty (60) **Days**;
 - 13.9.1(b) If the **Work** is to be completed within less than one (1) year but more than six (6) months, an extension of ninety (90) **Days** may be granted;
 - 13.9.1(c) If the **Contract** period exceeds one (1) year, besides the extension granted in Article 13.9.1(b), an additional thirty (30) **Days** may be granted for each multiple of six (6) months involved beyond the one (1) year period; or
 - 13.9.1(d) If exceptional circumstances exist, the ACCO may extend the time for performance beyond the extensions in Articles 13.9.1(a), 13.9.1(b), and 13.9.1(c). In that event, the ACCO shall file with the Mayor's Office of Contract Services a written explanation of the exceptional circumstances.
- 13.9.2 For extensions of time for **Substantial Completion** and final completion payments, the **Engineer**, in consultation with the **ACCO**, shall prepare a written analysis of the delay (including a preliminary determination of the causes of delay, the beginning and end dates for each such cause of delay, and whether the delays are excusable under the terms of this **Contract**). The report shall be subject to review by and approval of the Board, which shall have authority to question its analysis and determinations and request additional facts or documentation. The report as reviewed and made final by the Board shall be made a part of the **Agency** contract file. Neither the report itself nor anything contained therein shall operate as a waiver or release of any claim the **City** may have against the **Contractor** for either actual or liquidated damages.
- 13.9.3 Approval Mechanism for Time Extensions for Substantial Completion or Final Completion Payments: An extension shall be granted only with the approval of the Board which is comprised of the ACCO of the Agency, the City Corporation Counsel, and the Comptroller, or their authorized representatives.
- 13.9.4 Neither the granting of any application for an extension of time to the **Contractor** or any **Other Contractor** on this **Project** nor the papers, records or reports related to any application for or grant of an extension of time or determination related thereto shall be referred to or offered in evidence by the **Contractor** or its attorneys in any action or proceeding.
- 13.10 No Damage for Delay: The Contractor agrees to make no claim for damages for delay in the performance of this Contract occasioned by any act or omission to act of the City or any of its representatives, except as provided for in Article 11.

ARTICLE 14. COMPLETION AND FINAL ACCEPTANCE OF THE WORK

14.1 Date for **Substantial Completion**: The **Contractor** shall substantially complete the **Work** within the time fixed in Schedule A of the General Conditions, or within the time to which such **Substantial Completion** may be extended.

- 14.2 Determining the Date of **Substantial Completion**: The **Work** will be deemed to be substantially complete when the two conditions set forth below have been met.
 - 14.2.1 Inspection: The Engineer or Resident Engineer, as applicable, has inspected the Work and has made a written determination that it is substantially complete.
 - 14.2.2 Approval of Final Approved Punch List and Date for Final Acceptance: Following inspection of the Work, the Engineer/Resident Engineer shall furnish the Contractor with a final punch list, specifying all items of Work to be completed and proposing dates for the completion of each specified item of Work. The Contractor shall then submit in writing to the Engineer/Resident Engineer within ten (10) Days of the Engineer/Resident Engineer furnishing the final punch list either acceptance of the dates or proposed alternative dates for the completion of each specified item of Work. If the Contractor neither accepts the dates nor proposes alternative dates within ten (10) Days, the schedule proposed by the Engineer/Resident Engineer shall be deemed accepted. If the Contractor proposes alternative dates, then, within a reasonable time after receipt, the Engineer/Resident Engineer, in a written notification to the Contractor, shall approve the Contractor's completion dates or, if they are unable to agree, the Engineer/Resident Engineer shall establish dates for the completion of each item of Work. The latest completion date specified shall be the date for Final Acceptance of the Work.
- 14.3 Date of Substantial Completion. The date of approval of the Final Approved Punch List, shall be the date of Substantial Completion. The date of approval of the Final Approved Punch List shall be either (a) if the Contractor approves the final punch list and proposed dates for completion furnished by the Engineer/Resident Engineer, the date of the Contractor's approval; or (b) if the Contractor neither accepts the dates nor proposes alternative dates, ten (10) Days after the Engineer/Resident Engineer furnishes the Contractor with a final punch list and proposed dates for completion; or (c) if the Contractor proposes alternative dates, the date that the Engineer/Resident Engineer sends written notification to the Contractor either approving the Contractor's proposed alternative dates or establishing dates for the completion for each item of Work.
- 14.4 Determining the Date of Final Acceptance: The Work will be accepted as final and complete as of the date of the Engineer's/Resident Engineer's inspection if, upon such inspection, the Engineer/Resident Engineer finds that all items on the Final Approved Punch List are complete and no further Work remains to be done. The Commissioner will then issue a written determination of Final Acceptance.
- 14.5 Request for Inspection: Inspection of the Work by the Engineer/Resident Engineer for the purpose of Substantial Completion or Final Acceptance shall be made within fourteen (14) Days after receipt of the Contractor's written request therefor.
- 14.6 Request for Re-inspection: If upon inspection for the purpose of Substantial Completion or Final Acceptance, the Engineer/Resident Engineer determines that there are items of Work still to be performed, the Contractor shall promptly perform them and then request a re-inspection. If upon reinspection, the Engineer/Resident Engineer determines that the Work is substantially complete or finally accepted, the date of such re-inspection shall be the date of Substantial Completion or Final Acceptance. Re-inspection by the Engineer/Resident Engineer shall be made within ten (10) Days after receipt of the Contractor's written request therefor.

14.7 Initiation of Inspection by the Engineer/Resident Engineer: If the Contractor does not request inspection or re-inspection of the Work for the purpose of Substantial Completion or Final Acceptance, the Engineer/Resident Engineer may initiate such inspection or re-inspection.

ARTICLE 15. LIQUIDATED DAMAGES

- 15.1 In the event the Contractor fails to substantially complete the Work within the time fixed for such Substantial Completion in Schedule A of the General Conditions, plus authorized time extensions, or if the Contractor, in the sole determination of the Commissioner, has abandoned the Work, the Contractor shall pay to the City the sum fixed in Schedule A of the General Conditions, for each and every Day that the time consumed in substantially completing the Work exceeds the time allowed therefor; which said sum, in view of the difficulty of accurately ascertaining the loss which the City will suffer by reason of delay in the Substantial Completion of the Work hereunder, is hereby fixed and agreed as the liquidated damages that the City will suffer by reason of such delay, and not as a penalty. This Article 15 shall also apply to the Contractor whether or not the Contractor is defaulted pursuant to Chapter X of this Contract. Neither the failure to assess liquidated damages nor the granting of any time extension shall operate as a waiver or release of any claim the City may have against the Contractor for either actual or liquidated damages.
- 15.2 Liquidated damages received hereunder are not intended to be nor shall they be treated as either a partial or full waiver or discharge of the City's right to indemnification, or the Contractor's obligation to indemnify the City, or to any other remedy provided for in this Contract or by Law.
- 15.3 The **Commissioner** may deduct and retain out of the monies which may become due hereunder, the amount of any such liquidated damages; and in case the amount which may become due hereunder shall be less than the amount of liquidated damages suffered by the **City**, the **Contractor** shall be liable to pay the difference.

ARTICLE 16. OCCUPATION OR USE PRIOR TO COMPLETION

- 16.1 Unless otherwise provided for in the Specifications, the Commissioner may take over, use, occupy or operate any part of the Work at any time prior to Final Acceptance, upon written notification to the Contractor. The Engineer or Resident Engineer, as applicable, shall inspect the part of the Work to be taken over, used, occupied, or operated, and will furnish the Contractor with a written statement of the Work, if any, which remains to be performed on such part. The Contractor shall not object to, nor interfere with, the Commissioner's decision to exercise the rights granted by Article 16. In the event the Commissioner takes over, uses, occupies, or operates any part of the Work:
 - 16.1.1 the Engineer/Resident Engineer shall issue a written determination of Substantial Completion with respect to such part of the Work;
 - 16.1.2 the Contractor shall be relieved of its absolute obligation to protect such part of the unfinished Work in accordance with Article 7;
 - 16.1.3 the Contractor's guarantee on such part of the Work shall begin on the date of such use by the City; and;
 - 16.1.4 the Contractor shall be entitled to a return of so much of the amount retained in accordance with Article 21 as it relates to such part of the Work, except so much thereof as may be retained under Articles 24 and 44.

CHAPTER IV: SUBCONTRACTS AND ASSIGNMENTS

ARTICLE 17. SUBCONTRACTS

- 17.1 The Contractor shall not make subcontracts totaling an amount more than the percentage of the total Contract price fixed in Schedule A of the General Conditions, without prior written permission from the Commissioner. All subcontracts made by the Contractor shall be in writing. No Work may be performed by a Subcontractor prior to the Contractor entering into a written subcontract with the Subcontractor and complying with the provisions of this Article 17.
- 17.2 Before making any subcontracts, the Contractor shall submit a written statement to the Commissioner giving the name and address of the proposed Subcontractor; the portion of the Work and materials which it is to perform and furnish; the cost of the subcontract; the VENDEX questionnaire if required; the proposed subcontract if requested by the Commissioner; and any other information tending to prove that the proposed Subcontractor has the necessary facilities, skill, integrity, past experience, and financial resources to perform the Work in accordance with the terms and conditions of this Contract.
- 17.3 In addition to the requirements in Article 17.2, Contractor is required to list the Subcontractor in the web based Subcontractor Reporting System through the City's Payee Information Portal (PIP), available at www.nyc.gov/pip. I For each Subcontractor listed, Contractor is required to provide the following information: maximum contract value, description of Subcontractor's Work, start and end date of the subcontract and identification of the Subcontractor's industry. Thereafter, Contractor will be required to report in the system the payments made to each Subcontractor within 30 days of making the payment. If any of the required information changes throughout the Term of the Contract, Contractor will be required to revise the information in the system.

Failure of the Contractor to list a Subcontractor and/or to report Subcontractor payments in a timely fashion may result in the Commissioner declaring the Contractor in default of the Contract and will subject Contractor to liquidated damages in the amount of \$100 per day for each day that the Contractor fails to identify a Subcontractor along with the required information about the Subcontractor and/or fails to report payments to a Subcontractor, beyond the time frames set forth herein or in the notice from the City. Article 15 shall govern the issue of liquidated damages.

- 17.4 If an approved **Subcontractor** elects to subcontract any portion of its subcontract, the proposed sub-subcontract shall be submitted in the same manner as directed above.
- 17.5 The Commissioner will notify the Contractor in writing whether the proposed Subcontractor is approved. If the proposed Subcontractor is not approved, the Contractor may submit another proposed Subcontractor unless the Contractor decides to do the Work. No Subcontractor shall be permitted to enter or perform any work on the Site unless approved.
- 17.6 Before entering into any subcontract hereunder, the Contractor shall provide the proposed Subcontractor with a complete copy of this document and inform the proposed Subcontractor fully and completely of all provisions and requirements of this Contract relating either directly or indirectly to the Work to be performed and the materials to be furnished under such subcontract, and every such

¹ In order to use the new system, a PIP account will be required. Detailed instructions on creating a PIP account and using the new system are also available at www.nyc.gov/pip. Additional assistance with PIP may be obtained by emailing the Financial Information Services Agency Help Desk at pip@fisa.nyc.gov.

Subcontractor shall expressly stipulate that all labor performed and materials furnished by the Subcontractor shall strictly comply with the requirements of this Contract.

- 17.7 Documents given to a prospective **Subcontractor** for the purpose of soliciting the **Subcontractor's** bid shall include either a copy of the bid cover or a separate information sheet setting forth the **Project** name, the **Contract** number (if available), the **Agency** (as noted in Article 2.1.6), and the **Project's** location.
- 17.8 The Commissioner's approval of a Subcontractor shall not relieve the Contractor of any of its responsibilities, duties, and liabilities hereunder. The Contractor shall be solely responsible to the City for the acts or defaults of its Subcontractor and of such Subcontractor's officers, agents, and employees, each of whom shall, for this purpose, be deemed to be the agent or employee of the Contractor to the extent of its subcontract.
- 17.9 If the **Subcontractor** fails to maintain the necessary facilities, skill, integrity, past experience, and financial resources (other than due to the **Contractor**'s failure to make payments where required) to perform the **Work** in accordance with the terms and conditions of this **Contract**, the **Contractor** shall promptly notify the **Commissioner** and replace such **Subcontractor** with a newly approved **Subcontractor** in accordance with this Article 17.
- 17.10 The Contractor shall be responsible for ensuring that all Subcontractors performing Work at the Site maintain all insurance required by Law.
- 17.11 The **Contractor** shall promptly, upon request, file with the **Engineer** a conformed copy of the subcontract and its cost. The subcontract shall provide the following:
 - 17.11.1 Payment to Subcontractors: The agreement between the Contractor and its Subcontractor shall contain the same terms and conditions as to method of payment for Work, labor, and materials, and as to retained percentages, as are contained in this Contract.
 - 17.11.2 Prevailing Rate of Wages: The agreement between the **Contractor** and its **Subcontractor** shall include the prevailing wage rates and supplemental benefits to be paid in accordance with Labor Law Section 220.
 - 17.11.3 Section 6-123 of the Administrative Code: Pursuant to the requirements of Section 6-123 of the Administrative Code, every agreement between the Contractor and a Subcontractor in excess of fifty thousand (\$50,000) dollars shall include a provision that the Subcontractor shall not engage in any unlawful discriminatory practice as defined in Title VIII of the Administrative Code (Section 8-101 et seq.).
 - 17.11.4 All requirements required pursuant to federal and/or state grant agreement(s), if applicable to the Work.
- 17.12 The Commissioner may deduct from the amounts certified under this Contract to be due to the Contractor, the sum or sums due and owing from the Contractor to the Subcontractors according to the terms of the said subcontracts, and in case of dispute between the Contractor and its Subcontractor, or Subcontractors, as to the amount due and owing, the Commissioner may deduct and withhold from the amounts certified under this Contract to be due to the Contractor such sum or sums as may be claimed by such Subcontractor, or Subcontractors, in a sworn affidavit, to be due and owing until such time as such claim or claims shall have been finally resolved.

- 17.13 On contracts where performance bonds and payment bonds are executed, the **Contractor** shall include on each requisition for payment the following data: **Subcontractor's** name, value of the subcontract, total amount previously paid to **Subcontractor** for **Work** previously requisitioned, and the amount, including retainage, to be paid to the **Subcontractor** for **Work** included in the requisition.
- 17.14 On Contracts where performance bonds and payment bonds are not executed, the Contractor shall include with each requisition for payment submitted hereunder, a signed statement from each and every Subcontractor and/or Materialman for whom payment is requested in such requisition. Such signed statement shall be on the letterhead of the Subcontractor and/or Materialman for whom payment is requested and shall (i) verify that such Subcontractor and/or Materialman has been paid in full for all Work performed and/or material supplied to date, exclusive of any amount retained and any amount included on the current requisition, and (ii) state the total amount of retainage to date, exclusive of any amount retained on the current requisition.

ARTICLE 18. ASSIGNMENTS

- 18.1 The Contractor shall not assign, transfer, convey or otherwise dispose of this Contract, or the right to execute it, or the right, title or interest in or to it or any part thereof, or assign, by power of attorney or otherwise any of the monies due or to become due under this Contract, unless the previous written consent of the Commissioner shall first be obtained thereto, and the giving of any such consent to a particular assignment shall not dispense with the necessity of such consent to any further or other assignments.
- 18.2 Such assignment, transfer, conveyance or other disposition of this **Contract** shall not be valid until filed in the office of the **Commissioner** and the **Comptroller**, with the written consent of the **Commissioner** endorsed thereon or attached thereto.
- 18.3 Failure to obtain the previous written consent of the Commissioner to such an assignment, transfer, conveyance or other disposition, may result in the revocation and annulment of this Contract. The City shall thereupon be relieved and discharged from any further liability to the Contractor, its assignees, transferees or sublessees, who shall forfeit and lose all monies therefor earned under the Contract, except so much as may be required to pay the Contractor's employees.
- 18.4 The provisions of this clause shall not hinder, prevent, or affect an assignment by the **Contractor** for the benefit of its creditors made pursuant to the **Laws** of the State of New York.
- 18.5 This **Contract** may be assigned by the **City** to any corporation, agency or instrumentality having authority to accept such assignment.

CHAPTER V: CONTRACTOR'S SECURITY AND GUARANTEE

ARTICLE 19. SECURITY DEPOSIT

19.1 If performance and payment bonds are required, the City shall retain the bid security to ensure that the successful bidder executes the Contract and furnishes the required payment and performance security within ten (10) Days after notice of the award of the Contract. If the successful bidder fails to execute the Contract and furnish the required payment and performance security, the City shall retain such bid security as set forth in the Information for Bidders. If the successful bidder executes the

Contract and furnishes the required payment and performance security, the City shall return the bid security within a reasonable time after the furnishing of such bonds and execution of the Contract by the City.

- 19.2 If performance and payment bonds are not required, the bid security shall be retained by the **City** as security for the **Contractor**'s faithful performance of the **Contract**. If partial payments are provided, the bid security will be returned to the **Contractor** after the sum retained under Article 21 equals the amount of the bid security, subject to other provisions of this **Contract**. If partial payments are not provided, the bid security will be released when final payment is certified by the **City** for payment.
- 19.3 If the **Contractor** is declared in default under Article 48 prior to the return of the deposit, or if any claim is made such as referred to in Article 23, the amount of such deposit, or so much thereof as the **Comptroller** may deem necessary, may be retained and then applied by the **Comptroller**:
 - 19.3.1 To compensate the City for any expense, loss or damage suffered or incurred by reason of or resulting from such default, including the cost of re-letting and liquidated damages; or
 - 19.3.2 To indemnify the City against any and all claims.

ARTICLE 20. PAYMENT GUARANTEE

- 20.1 On Contracts where one hundred (100%) percent performance bonds and payment bonds are executed, this Article 20 does not apply.
- 20.2 In the event the terms of this **Contract** do not require the **Contractor** to provide a payment bond or where the **Contract** does not require a payment bond for one hundred (100%) percent of the **Contract** price, the **City** shall, in accordance with the terms of this Article 20, guarantee payment of all lawful claims for:
 - 20.2.1 Wages and compensation for labor performed and/or services rendered; and
 - 20.2.2 Materials, equipment, and supplies provided, whether incorporated into the **Work** or not, when demands have been filed with the **City** as provided hereinafter by any person, firm, or corporation which furnished labor, material, equipment, supplies, or any combination thereof, in connection with the **Work** performed hereunder (hereinafter referred to as the "beneficiary") at the direction of the **City** or the **Contractor**.
 - 20.3 The provisions of Article 20.2 are subject to the following limitations and conditions:
 - 20.3.1 If the **Contractor** provides a payment bond for a value that is less than one hundred (100%) percent of the value of the **Contract Work**, the payment bond provided by the **Contractor** shall be primary (and non-contributing) to the payment guarantee provided under this Article 20.
 - 20.3.2 The guarantee is made for the benefit of all beneficiaries as defined in Article 20.2 provided that those beneficiaries strictly adhere to the terms and conditions of Article 20.3.4 and 20.3.5.

- 20.3.3 Nothing in this Article 20 shall prevent a beneficiary providing labor, services or material for the **Work** from suing the **Contractor** for any amounts due and owing the beneficiary by the **Contractor**.
- 20.3.4 Every person who has furnished labor or material, to the Contractor or to a Subcontractor of the Contractor, in the prosecution of the Work and who has not been paid in full therefor before the expiration of a period of ninety (90) Days after the date on which the last of the labor was performed or material was furnished by him/her for which the claim is made, shall have the right to sue on this payment guarantee in his/her own name for the amount, or the balance thereof, unpaid at the time of commencement of the action; provided, however, that a person having a direct contractual relationship with a Subcontractor of the Contractor but no contractual relationship express or implied with the Contractor shall not have a right of action upon the guarantee unless he/she shall have given written notice to the Contractor within one hundred twenty (120) Days from the date on which the last of the labor was performed or the last of the material was furnished, for which his/her claim is made, stating with substantial accuracy the amount claimed and the name of the party to whom the material was furnished or for whom the labor was performed. The notice shall be served by delivering the same personally to the Contractor or by mailing the same by registered mail, postage prepaid, in an envelope addressed to the Contractor at any place where it maintains an office or conducts its business; provided, however, that where such notice is actually received by the Contractor by other means, such notice shall be deemed sufficient.
- 20.3.5 Except as provided in Labor Law Section 220-g, no action on this payment guarantee shall be commenced after the expiration of the one-year limitations period set forth in Section 137(4)(b) of the State Finance Law.
- 20.3.6 The Contractor shall promptly forward to the City any notice or demand received pursuant to Article 20.3.4. The Contractor shall inform the City of any defenses to the notice or demand and shall forward to the City any documents the City requests concerning the notice or demand.
- 20.3.7 All demands made against the City by a beneficiary of this payment guarantee shall be presented to the Engineer along with all written documentation concerning the demand which the Engineer deems reasonably appropriate or necessary, which may include, but shall not be limited to: the subcontract; any invoices presented to the Contractor for payment; the notarized statement of the beneficiary that the demand is due and payable, that a request for payment has been made of the Contractor and that the demand has not been paid by the Contractor within the time allowed for such payment by the subcontract; and copies of any correspondence between the beneficiary and the Contractor concerning such demand. The City shall notify the Contractor that a demand has been made. The Contractor shall inform the City of any defenses to the demand and shall forward to the City any documents the City requests concerning the demand.
- 20.3.8 The City shall make payment only if, after considering all defenses presented by the Contractor, it determines that the payment is due and owing to the beneficiary making the demand.
- 20.3.9 No beneficiary shall be entitled to interest from the City, or to any other costs, including, but not limited to, attorneys' fees, except to the extent required by State Finance Law Section 137.

- 20.4 Upon the receipt by the City of a demand pursuant to this Article 20, the City may withhold from any payment otherwise due and owing to the Contractor under this Contract an amount sufficient to satisfy the demand.
 - 20.4.1 In the event the City determines that the demand is valid, the City shall notify the Contractor of such determination and the amount thereof and direct the Contractor to immediately pay such amount to the beneficiary. In the event the Contractor, within seven (7) Days of receipt of such notification from the City, fails to pay the beneficiary, such failure shall constitute an automatic and irrevocable assignment of payment by the Contractor to the beneficiary for the amount of the demand determined by the City to be valid. The Contractor, without further notification or other process, hereby gives its unconditional consent to such assignment of payment to the beneficiary and authorizes the City, on its behalf, to take all necessary actions to implement such assignment of payment, including without limitation the execution of any instrument or documentation necessary to effectuate such assignment.
 - 20.4.2In the event that the amount otherwise due and owing to the **Contractor** by the **City** is insufficient to satisfy such demand, the **City** may, at its option, require payment from the **Contractor** of an amount sufficient to cover such demand and exercise any other right to require or recover payment which the **City** may have under **Law** or **Contract**.
 - 20.4.3 In the event the City determines that the demand is invalid, any amount withheld pending the City's review of such demand shall be paid to the Contractor; provided, however, no lien has been filed. In the event a claim or an action has been filed, the terms and conditions set forth in Article 23 shall apply. In the event a lien has been filed, the parties will be governed by the provisions of the Lien Law of the State of New York.
- 20.5 The provisions of this Article 20 shall not prevent the City and the Contractor from resolving disputes in accordance with the **PPB** Rules, where applicable.
- 20.6 In the event the City determines that the beneficiary is entitled to payment pursuant to this Article 20, such determination and any defenses and counterclaims raised by the Contractor shall be taken into account in evaluating the Contractor's performance.
- 20.7 Nothing in this Article 20 shall relieve the Contractor of the obligation to pay the claims of all persons with valid and lawful claims against the Contractor relating to the Work.
- 20.8 The Contractor shall not require any performance, payment or other bonds of any Subcontractor if this Contract does not require such bonds of the Contractor.
- 20.9 The payment guarantee made pursuant to this Article 20 shall be construed in a manner consistent with Section 137 of the State Finance Law and shall afford to persons furnishing labor or materials to the **Contractor** or its **Subcontractors** in the prosecution of the **Work** under this **Contract** all of the rights and remedies afforded to such persons by such section, including but not limited to, the right to commence an action against the **City** on the payment guarantee provided by this Article 20 within the one-year limitations period set forth in Section 137(4)(b).

ARTICLE 21. RETAINED PERCENTAGE

21.1 If this Contract requires one hundred (100%) percent performance and payment security, then as further security for the faithful performance of this Contract, the Commissioner shall deduct, and

retain until the substantial completion of the Work, five (5%) percent of the value of Work certified for payment in each partial payment voucher.

- 21.2 If this Contract does not require one hundred (100%) percent performance and payment security and if the price for which this Contract was awarded does not exceed one million (\$1,000,000) dollars, then as further security for the faithful performance of this Contract, the Commissioner shall deduct, and retain until the substantial completion of the Work, five (5%) percent of the value of Work certified for payment in each partial payment voucher.
- 21.3 If this Contract does not require one hundred (100%) percent performance and payment security and if the price for which this Contract was awarded exceeds one million (\$1,000,000) dollars, then as further security for the faithful performance of this Contract, the Commissioner shall deduct, and retain until the substantial completion of the Work, up to ten (10%) percent of the value of Work certified for payment in each partial payment voucher. The percentage to be retained is set forth in Schedule A of the General Conditions.

ARTICLE 22. INSURANCE

- 22.1 Types of Insurance: The Contractor shall procure and maintain the following types of insurance if, and as indicated, in Schedule A of the General Conditions (with the minimum limits and special conditions specified in Schedule A). Such insurance shall be maintained from the date the Contractor is required to provide Proof of Insurance pursuant to Article 22.3.1 through the date of completion of all required Work (including punch list work as certified in writing by the Resident Engineer), except for insurance required pursuant to Article 22.1.4, which may terminate upon Substantial Completion of the Contract. All insurance shall meet the requirements set forth in this Article 22. Wherever this Article requires that insurance coverage be "at least as broad" as a specified form (including all ISO forms), there is no obligation that the form itself be used, provided that the Contractor can demonstrate that the alternative form or endorsement contained in its policy provides coverage at least as broad as the specified form.
 - 22.1.1Commercial General Liability Insurance: The Contractor shall provide Commercial General Liability Insurance covering claims for property damage and/or bodily injury, including death, which may arise from any of the operations under this Contract. Coverage under this insurance shall be at least as broad as that provided by the latest edition of Insurance Services Office ("ISO") Form CG 0001. Such insurance shall be "occurrence" based rather than "claims-made" and include, without limitation, the following types of coverage: premises operations; products and completed operations; contractual liability (including the tort liability of another assumed in a contract); broad form property damage; independent contractors; explosion, collapse and underground (XCU); construction means and methods; and incidental malpractice. Such insurance shall contain a "per project" aggregate limit, as specified in Schedule A, that applies separately to operations under this Contract.
 - 22.1.1(a) Such Commercial General Liability Insurance shall name the City as an Additional Insured. Coverage for the City shall specifically include the City's officials and employees, be at least as broad as the latest edition of ISO Form CG 20 10 and provide completed operations coverage at least as broad as the latest edition of ISO Form CG 20 37.
 - 22.1.1(b) Such Commercial General Liability Insurance shall name all other entities designated as additional insureds in Schedule A but only for claims arising from the

Contractor's operations under this Contract, with coverage at least as broad as the latest edition of ISO Form CG 20 26.

- 22.1.1(c) If the **Work** requires a permit from the Department of Buildings pursuant to 1 RCNY Section 101-08, the **Contractor** shall provide Commercial General Liability Insurance with limits of at least those required by 1 RCNY section 101-08 or greater limits required by the Agency in accordance with Schedule A. If the **Work** does not require such a permit, the minimum limits shall be those provided for in Schedule A.
- 22.1.1(d) If any of the **Work** includes repair of a waterborne vessel owned by or to be delivered to the **City**, such Commercial General Liability shall include, or be endorsed to include, Ship Repairer's Legal Liability Coverage to protect against, without limitation, liability arising from navigation of such vessels prior to delivery to and acceptance by the **City**.
- 22.1.2 Workers' Compensation Insurance, Employers' Liability Insurance, and Disability Benefits Insurance: The Contractor shall provide, and shall cause its Subcontractors to provide, Workers Compensation Insurance, Employers' Liability Insurance, and Disability Benefits Insurance in accordance with the Laws of the State of New York on behalf of all employees providing services under this Contract (except for those employees, if any, for which the Laws require insurance only pursuant to Article 22.1.3).
- 22.1.3 United States Longshoremen's and Harbor Workers Act and/or Jones Act Insurance: If specified in Schedule A of the General Conditions or if required by Law, the Contractor shall provide insurance in accordance with the United States Longshoremen's and Harbor Workers Act and/or the Jones Act, on behalf of all qualifying employees providing services under this Contract.
- 22.1.4 Builders Risk Insurance: If specified in Schedule A of the General Conditions, the Contractor shall provide Builders Risk Insurance on a completed value form for the total value of the Work through Substantial Completion of the Work in its entirety. Such insurance shall be provided on an All Risk basis and include coverage, without limitation, for windstorm (including named windstorm), storm surge, flood and earth movement. Unless waived by the Commissioner, it shall include coverage for ordinance and law, demolition and increased costs of construction, debris removal, pollutant clean up and removal, and expediting costs. Such insurance shall cover, without limitation, (a) all buildings and/or structures involved in the Work, as well as temporary structures at the Site, and (b) any property that is intended to become a permanent part of such building or structure, whether such property is on the Site, in transit or in temporary storage. Policies shall name the Contractor as Named Insured and list the City as both an Additional Insured and a Loss Payee as its interest may appear.
 - 22.1.4(a) Policies of such insurance shall specify that, in the event a loss occurs at an occupied facility, occupancy of such facility is permitted without the consent of the issuing insurance company.
 - 22.1.4(b) Such insurance may be provided through an Installation Floater, at the **Contractor's** option, if it otherwise conforms with the requirements of this Article 22.1.4.
- 22.1.5 Commercial Automobile Liability Insurance: The Contractor shall provide Commercial Automobile Liability Insurance for liability arising out of ownership,

maintenance or use of any owned (if any), non-owned and hired vehicles to be used in connection with this **Contract**. Coverage shall be at least as broad as the latest edition of ISO Form CA0001. If vehicles are used for transporting hazardous materials, the Automobile Liability Insurance shall be endorsed to provide pollution liability broadened coverage for covered vehicles (endorsement CA 99 48) as well as proof of MCS 90.

22.1.6 Contractors Pollution Liability Insurance: If specified in Schedule A of the General Conditions, the Contractor shall maintain, or cause the Subcontractor doing such Work to maintain, Contractors Pollution Liability Insurance covering bodily injury and property damage. Such insurance shall provide coverage for actual, alleged or threatened emission, discharge, dispersal, seepage, release or escape of pollutants (including asbestos), including any loss, cost or expense incurred as a result of any cleanup of pollutants (including asbestos) or in the investigation, settlement or defense of any claim, action, or proceedings arising from the operations under this Contract. Such insurance shall be in the Contractor's name and list the City as an Additional Insured and any other entity specified in Schedule A. Coverage shall include, without limitation, (a) loss of use of damaged property or of property that has not been physically injured, (b) transportation, and (c) nonowned disposal sites.

22.1.6(a) Coverage for the City as Additional Insured shall specifically include the City's officials and employees and be at least as broad as provided to the Contractor for this Project.

22.1.6(b) If such insurance is written on a claims-made policy, such policy shall have a retroactive date on or before the effective date of this Contract, and continuous coverage shall be maintained, or an extended discovery period exercised, for a period of not less than three (3) years from the time the Work under this Contract is completed.

22.1.7 Marine Insurance:

22.1.7(a) Marine Protection and Indemnity Insurance: If specified in Schedule A of the General Conditions or if the Contractor engages in marine operations in the execution of any part of the Work, the Contractor shall maintain, or cause the Subcontractor doing such Work to maintain, Marine Protection and Indemnity Insurance with coverage at least as broad as Form SP-23. The insurance shall provide coverage for the Contractor or Subcontractor (whichever is doing this Work) and for the City (together with its officials and employees) and any other entity specified in Schedule A as an Additional Insured for bodily injury and property damage arising from marine operations under this Contract. Coverage shall include, without limitation, injury or death of crew members (if not fully provided through other insurance), removal of wreck, damage to piers, wharves and other fixed or floating objects and loss of or damage to any other vessel or craft, or to property on such other vessel or craft.

22.1.7(b) Hull and Machinery Insurance: If specified in Schedule A of the General Conditions or if the Contractor engages in marine operations in the execution of any part of the Work, the Contractor shall maintain, or cause the Subcontractor doing such Work to maintain, Hull and Machinery Insurance with coverage for the Contractor or Subcontractor (whichever is doing this Work) and for the City (together with its officials and employees) as Additional Insured at least as broad as the latest edition of American Institute Tug Form for all tugs used under this

Contract and Collision Liability at least as broad as the latest edition of American Institute Hull Clauses.

- 22.1.7(c) Marine Pollution Liability Insurance: If specified in Schedule A of the General Conditions or if the Contractor engages in marine operations in the execution of any part of the Work, the Contractor shall maintain, or cause the Subcontractor doing such Work to maintain, Marine Pollution Liability Insurance covering itself (or the Subcontractor doing such Work) as Named Insured and the City (together with its officials and employees) and any other entity specified in Schedule A as an Additional Insured. Coverage shall be at least as broad as that provided by the latest edition of Water Quality Insurance Syndicate Form and include, without limitation, liability arising from the discharge or substantial threat of a discharge of oil, or from the release or threatened release of a hazardous substance including injury to, or economic losses resulting from, the destruction of or damage to real property, personal property or natural resources.
- 22.1.8 The Contractor shall provide such other types of insurance, at such minimum limits and with such conditions, as are specified in Schedule A of the General Conditions.
- 22.2 General Requirements for Insurance Coverage and Policies:
 - 22.2.1 All required insurance policies shall be maintained with companies that may lawfully issue the required policy and have an A.M. Best rating of at least A-/VII or a Standard and Poor's rating of at least A, unless prior written approval is obtained from the City Corporation Counsel.
 - 22.2.2 The Contractor shall be solely responsible for the payment of all premiums for all required policies and all deductibles and self-insured retentions to which such policies are subject, whether or not the City is an insured under the policy.
 - 22.2.3 In his/her sole discretion, the **Commissioner** may, subject to the approval of the **Comptroller** and the **City** Corporation Counsel, accept Letters of Credit and/or custodial accounts in lieu of required insurance.
 - 22.2.4 The City's limits of coverage for all types of insurance required pursuant to Schedule A of the General Conditions shall be the greater of (i) the minimum limits set forth in Schedule A or (ii) the limits provided to the Contractor as Named Insured under all primary, excess, and umbrella policies of that type of coverage.
 - 22.2.5 The Contractor may satisfy its insurance obligations under this Article 22 through primary policies or a combination of primary and excess/umbrella policies, so long as all policies provide the scope of coverage required herein.
 - 22.2.6 Policies of insurance provided pursuant to this Article 22 shall be primary and non-contributing to any insurance or self-insurance maintained by the City.

22.3 Proof of Insurance:

22.3.1 For all types of insurance required by Article 22.1 and Schedule A, except for insurance required by Articles 22.1.4 and 22.1.7, the **Contractor** shall file proof of insurance in accordance with this Article 22.3 within ten (10) **Days** of award. For insurance

provided pursuant to Articles 22.1.4 and 22.1.7, proof shall be filed by a date specified by the **Commissioner** or ten (10) **Days** prior to the commencement of the portion of the **Work** covered by such policy, whichever is earlier.

- 22.3.2 For Workers' Compensation Insurance provided pursuant to Article 22.1.2, the Contractor shall submit one of the following forms: C-105.2 Certificate of Workers' Compensation Insurance; U-26.3 State Insurance Fund Certificate of Workers' Compensation Insurance; Request for WC/DB Exemption (Form CE-200); equivalent or successor forms used by the New York State Workers' Compensation Board; or other proof of insurance in a form acceptable to the Commissioner. For Disability Benefits Insurance provided pursuant to Article 22.1.2, the Contractor shall submit DB-120.1 Certificate Of Insurance Coverage Under The NYS Disability Benefits Law, Request for WC/DB Exemption (Form CE-200); equivalent or successor forms used by the New York State Workers' Compensation Board; or other proof of insurance in a form acceptable to the Commissioner. ACORD forms are not acceptable.
- 22.3.3 For policies provided pursuant to all of Article 22.1 other than Article 22.1.2, the Contractor shall submit one or more Certificates of Insurance on forms acceptable to the Commissioner. All such Certificates of Insurance shall certify (a) the issuance and effectiveness of such policies of insurance, each with the specified minimum limits (b) for insurance secured pursuant to Article 22.1.1 that the City and any other entity specified in Schedule A is an Additional Insured thereunder; (c) in the event insurance is required pursuant to Article 22.1.6 and/or Article 22.1.7, that the City is an Additional Insured thereunder; (d) the company code issued to the insurance company by the National Association of Insurance Commissioners (the NAIC number); and (e) the number assigned to the Contract by the City. All such Certificates of Insurance shall be accompanied by either a duly executed "Certification by Insurance Broker or Agent" in the form contained in Part III of Schedule A or copies of all policies referenced in such Certificate of Insurance as certified by an authorized representative of the issuing insurance carrier. If any policy is not available at the time of submission, certified binders may be submitted until such time as the policy is available, at which time a certified copy of the policy shall be submitted.
- 22.3.4 Documentation confirming renewals of insurance shall be submitted to the **Commissioner** prior to the expiration date of coverage of policies required under this **Contract**. Such proofs of insurance shall comply with the requirements of Articles 22.3.2 and 22.3.3.
- 22.3.5 The Contractor shall be obligated to provide the City with a copy of any policy of insurance provided pursuant to this Article 22 upon the demand for such policy by the Commissioner or the City Corporation Counsel.

22.4 Operations of the Contractor:

- 22.4.1 The Contractor shall not commence the Work unless and until all required certificates have been submitted to and accepted by the Commissioner. Acceptance by the Commissioner of a certificate does not excuse the Contractor from securing insurance consistent with all provisions of this Article 22 or of any liability arising from its failure to do so.
- 22.4.2 The Contractor shall be responsible for providing continuous insurance coverage in the manner, form, and limits required by this Contract and shall be authorized to perform Work only during the effective period of all required coverage.

- 22.4.3 In the event that any of the required insurance policies lapse, are revoked, suspended or otherwise terminated, for whatever cause, the Contractor shall immediately stop all Work, and shall not recommence Work until authorized in writing to do so by the Commissioner. Upon quitting the Site, except as otherwise directed by the Commissioner, the Contractor shall leave all plant, materials, equipment, tools, and supplies on the Site. Contract time shall continue to run during such periods and no extensions of time will be granted. The Commissioner may also declare the Contractor in default for failure to maintain required insurance.
- 22.4.4 In the event the **Contractor** receives notice, from an insurance company or other person, that any insurance policy required under this Article 22 shall be cancelled or terminated (or has been cancelled or terminated) for any reason, the **Contractor** shall immediately forward a copy of such notice to both the **Commissioner** and the New York City Comptroller, attn: Office of Contract Administration, Municipal Building, One Centre Street, room 1005, New York, New York 10007. Notwithstanding the foregoing, the **Contractor** shall ensure that there is no interruption in any of the insurance coverage required under this Article 22.
- 22.4.5 Where notice of loss, damage, occurrence, accident, claim or suit is required under an insurance policy maintained in accordance with this Article 22, the Contractor shall notify in writing all insurance carriers that issued potentially responsive policies of any such event relating to any operations under this Contract (including notice to Commercial General Liability insurance carriers for events relating to the Contractor's own employees) no later than 20 days after such event. For any policy where the City is an Additional Insured, such notice shall expressly specify that "this notice is being given on behalf of the City of New York as Insured as well as the Named Insured." Such notice shall also contain the following information: the number of the insurance policy, the name of the named insured, the date and location of the damage, occurrence, or accident, and the identity of the persons or things injured, damaged or lost. The Contractor shall simultaneously send a copy of such notice to the City of New York c/o Insurance Claims Specialist, Affirmative Litigation Division, New York City Law Department, 100 Church Street, New York, New York 10007.
- 22.4.6 In the event of any loss, accident, claim, action, or other event that does or can give rise to a claim under any insurance policy required under this Article 22, the **Contractor** shall at all times fully cooperate with the **City** with regard to such potential or actual claim.
- 22.5 Subcontractor Insurance: In the event the Contractor requires any Subcontractor to procure insurance with regard to any operations under this Contract and requires such Subcontractor to name the Contractor as an Additional Insured thereunder, the Contractor shall ensure that the Subcontractor name the City, including its officials and employees, as an Additional Insured with coverage at least as broad as the most recent edition of ISO Form CG 20 26.
- 22.6 Wherever reference is made in Article 7 or this Article 22 to documents to be sent to the Commissioner (e.g., notices, filings, or submissions), such documents shall be sent to the address set forth in Schedule A of the General Conditions. In the event no address is set forth in Schedule A, such documents are to be sent to the Commissioner's address as provided elsewhere in this Contract.
- 22.7 Apart from damages or losses covered by insurance provided pursuant to Articles 22.1.2, 22.1.3, or 22.1.5, the **Contractor** waives all rights against the **City**, including its officials and employees, for any damages or losses that are covered under any insurance required under this Article 22 (whether or

not such insurance is actually procured or claims are paid thereunder) or any other insurance applicable to the operations of the **Contractor** and/or its employees, agents, or **Subcontractors**.

- 22.8 In the event the **Contractor** utilizes a self-insurance program to satisfy any of the requirements of this Article 22, the **Contractor** shall ensure that any such self-insurance program provides the **City** with all rights that would be provided by traditional insurance under this Article 22, including but not limited to the defense and indemnification obligations that insurers are required to undertake in liability policies.
- 22.9 Materiality/Non-Waiver: The **Contractor's** failure to secure policies in complete conformity with this Article 22, or to give an insurance company timely notice of any sort required in this **Contract** or to do anything else required by this Article 22 shall constitute a material breach of this **Contract**. Such breach shall not be waived or otherwise excused by any action or inaction by the **City** at any time.
- 22.10 Pursuant to General Municipal Law Section 108, this Contract shall be void and of no effect unless Contractor maintains Workers' Compensation Insurance for the term of this Contract to the extent required and in compliance with the New York State Workers' Compensation Law.
- 22.11 Other Remedies: Insurance coverage provided pursuant to this Article 22 or otherwise shall not relieve the **Contractor** of any liability under this **Contract**, nor shall it preclude the **City** from exercising any rights or taking such other actions available to it under any other provisions of this **Contract** or **Law**.

ARTICLE 23. MONEY RETAINED AGAINST CLAIMS

- 23.1 If any claim shall be made by any person or entity (including Other Contractors with the City on this Project) against the City or against the Contractor and the City for any of the following:
 - (a) An alleged loss, damage, injury, theft or vandalism of any of the kinds referred to in Articles 7 and 12, plus the reasonable costs of defending the **City**, which in the opinion of the **Comptroller** may not be paid by an insurance company (for any reason whatsoever); or
 - (b) An infringement of copyrights, patents or use of patented articles, tools, etc., as referred to in Article 57; or
 - (c) Damage claimed to have been caused directly or indirectly by the failure of the Contractor to perform the Work in strict accordance with this Contract,

the amount of such claim, or so much thereof as the Comptroller may deem necessary, may be withheld by the Comptroller, as security against such claim, from any money due hereunder. The Comptroller, in his/her discretion, may permit the Contractor to substitute other satisfactory security in lieu of the monies so withheld.

23.2 If an action on such claim is timely commenced and the liability of the City, or the Contractor, or both, shall have been established therein by a final judgment of a court of competent jurisdiction, or if such claim shall have been admitted by the Contractor to be valid, the Comptroller shall pay such judgment or admitted claim out of the monies retained by the Comptroller under the provisions of this Article 23, and return the balance, if any, without interest, to the Contractor.

ARTICLE 24. MAINTENANCE AND GUARANTY

- 24.1 The Contractor shall promptly repair, replace, restore or rebuild, as the Commissioner may determine, any finished Work in which defects of materials or workmanship may appear or to which damage may occur because of such defects, during the one (1) year period subsequent to the date of Substantial Completion (or use and occupancy in accordance with Article 16), except where other periods of maintenance and guaranty are provided for in Schedule A.
- 24.2 As security for the faithful performance of its obligations hereunder, the Contractor, upon filing its requisition for payment on Substantial Completion, shall deposit with the Commissioner a sum equal to one (1%) percent of the price (or the amount fixed in Schedule A of the General Conditions) in cash or certified check upon a state or national bank and trust company or a check of such bank and trust company signed by a duly authorized officer thereof and drawn to the order of the Comptroller, or obligations of the City, which the Comptroller may approve as of equal value with the sum so required.
- 24.3 In lieu of the above, the **Contractor** may make such security payment to the **City** by authorizing the **Commissioner** in writing to deduct the amount from the **Substantial Completion** payment which shall be deemed the deposit required above.
- 24.4 If the Contractor has faithfully performed all of its obligations hereunder the Commissioner shall so certify to the Comptroller within five (5) Days after the expiration of one (1) year from the date of Substantial Completion and acceptance of the Work or within thirty (30) Days after the expiration of the guarantee period fixed in the Specifications. The security payment shall be repaid to the Contractor without interest within thirty (30) Days after certification by the Commissioner to the Comptroller that the Contractor has faithfully performed all of its obligations hereunder.
- 24.5 Notice by the Commissioner to the Contractor to repair, replace, rebuild or restore such defective or damaged Work shall be timely, pursuant to this article, if given not later than ten (10) Days subsequent to the expiration of the one (1) year period or other periods provided for herein.
- 24.6 If the Contractor shall fail to repair, replace, rebuild or restore such defective or damaged Work promptly after receiving such notice, the Commissioner shall have the right to have the Work done by others in the same manner as provided for in the completion of a defaulted Contract, under Article 51.
- 24.7 If the security payment so deposited is insufficient to cover the cost of such Work, the Contractor shall be liable to pay such deficiency on demand by the Commissioner.
- 24.8 The Engineer's certificate setting forth the fair and reasonable cost of repairing, replacing, rebuilding or restoring any damaged or defective Work when performed by one other than the Contractor, shall be binding and conclusive upon the Contractor as to the amount thereof.
- 24.9 The Contractor shall obtain all manufacturers' warranties and guaranties of all equipment and materials required by this Contract in the name of the City and shall deliver same to the Commissioner. All of the City's rights and title and interest in and to said manufacturers' warranties and guaranties may be assigned by the City to any subsequent purchasers of such equipment and materials or lessees of the premises into which the equipment and materials have been installed.

CHAPTER VI: CHANGES, EXTRA WORK, AND DOCUMENTATION OF CLAIM

ARTICLE 25. CHANGES

- 25.1 Changes may be made to this Contract only as duly authorized in writing by the Commissioner in accordance with the Law and this Contract. All such changes, modifications, and amendments will become a part of the Contract. Work so ordered shall be performed by the Contractor.
- 25.2 Contract changes will be made only for Work necessary to complete the Work included in the original scope of the Contract and/or for non-material changes to the scope of the Contract. Changes are not permitted for any material alteration in the scope of Work in the Contract.
- 25.3 The Contractor shall be entitled to a price adjustment for Extra Work performed pursuant to a written change order. Adjustments to price shall be computed in one or more of the following ways:
 - 25.3.1 By applicable unit prices specified in the Contract; and/or
 - 25.3.2 By agreement of a fixed price; and/or
 - 25.3.3 By time and material records; and/or
 - 25.3.4 In any other manner approved by the CCPO.
- 25.4 All payments for change orders are subject to pre-audit by the Engineering Audit Officer and may be post-audited by the Comptroller and/or the Agency.

ARTICLE 26. METHODS OF PAYMENT FOR OVERRUNS AND EXTRA WORK

- 26.1 Overrun of Unit Price Item: An overrun is any quantity of a unit price item which the **Contractor** is directed to provide which is in excess of one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule.
 - 26.1.1For any unit price item, the Contractor will be paid at the unit price bid for any quantity up to one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule. If during the progress of the Work, the actual quantity of any unit price item required to complete the Work approaches the estimated quantity for that item, and for any reason it appears that the actual quantity of any unit price item necessary to complete the Work will exceed the estimated quantity for that item by twenty-five (25%) percent, the Contractor shall immediately notify the Engineer of such anticipated overrun. The Contractor shall not be compensated for any quantity of a unit price item provided which is in excess of one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule without written authorization from the Engineer.
 - 26.1.2 If the actual quantity of any unit price item necessary to complete the Work will exceed one hundred twenty five (125%) percent of the estimated quantity for that item set forth in the bid schedule, the City reserves the right and the Contractor agrees to negotiate a new unit price for such item. In no event shall such negotiated new unit price exceed the unit bid price. If the City and Contractor cannot agree on a new unit price, then the City shall order the Contractor and the Contractor agrees to provide additional quantities of

the item on the basis of time and material records for the actual and reasonable cost as determined under Article 26.2, but in no event at a unit price exceeding the unit price bid.

- 26.2 Extra Work: For Extra Work where payment is by agreement on a fixed price in accordance with Article 25.3.2, the price to be paid for such Extra Work shall be based on the fair and reasonable estimated cost of the items set forth below. For Extra Work where payment is based on time and material records in accordance with Article 25.3.3, the price to be paid for such Extra Work shall be the actual and reasonable cost of the items set forth below, calculated in accordance with the formula specified therein, if any.
 - 26.2.1 Necessary materials (including transportation to the Site); plus
 - 26.2.2 Necessary direct labor, including payroll taxes (subject to statutory wage caps) and supplemental benefits; plus
 - 26.2.3 Sales and personal property taxes, if any, required to be paid on materials not incorporated into such Extra Work; plus
 - Reasonable rental value of Contractor-owned (or Subcontractor-owned, as applicable), necessary plant and equipment other than **Small Tools**, plus fuel/energy costs. Except for fuel costs for pick-up trucks which shall be reimbursed based on a consumption of five (5) gallons per shift, fuel costs shall be reimbursed based on actual costs or, in the absence of auditable documentation, the following fuel consumption formula per operating hour: (.035) x (HP rating) x (Fuel cost/gallon). Reasonable rental value is defined as the lower of either seventy-five percent of the monthly prorated rental rates established in "The AED Green Book, Rental Rates and Specifications for Construction Equipment" published by Equipment Watch (the "Green Book"), or seventy-five percent of the monthly prorated rental rates established in the "Rental Rate Blue Book for Construction Equipment" published by Equipment Watch (the "Blue Book") (the applicable Blue Book rate being for rental only without the addition of any operational costs listed in the Blue Book). The reasonable rental value is deemed to be inclusive of all operating costs except for fuel/energy consumption and equipment operator's wages/costs. For multiple shift utilization, reimbursement shall be calculated as follows: first shift shall be seventy-five (75%) percent of such rental rates; second shift shall be sixty (60%) percent of the first shift rate; and third shift shall be forty (40%) percent of the first shift rate. Equipment on standby shall be reimbursed at one-third (1/3) the prorated monthly rental rate. Contractor-owned (or Subcontractor-owned, as applicable) equipment includes equipment from rental companies affiliated with or controlled by the Contractor (or Subcontractor, as applicable), as determined by the Commissioner. In establishing cost reimbursement for non-operating Contractor-owned (or Subcontractor-owned, as applicable) equipment (scaffolding, sheeting systems, road plates, etc.), the City may restrict reimbursement to a purchase-salvage/life cycle basis if less than the computed rental costs; plus
 - 26.2.5 Necessary installation and dismantling of such plant and equipment, including transportation to and from the **Site**, if any, provided that, in the case of non-**Contractor**-owned (or non-**Subcontractor**-owned, as applicable) equipment rented from a third party, the cost of installation and dismantling are not allowable if such costs are included in the rental rate; plus
 - 26.2.6 Necessary fees charged by governmental entities; plus

- 26.2.7 Necessary construction-related service fees charged by non-governmental entities, such as landfill tipping fees; plus
- 26.2.8 Reasonable rental costs of non-Contractor-owned (or non-Subcontractor-owned, as applicable) necessary plant and equipment other than Small Tools, plus fuel/energy costs. Except for fuel costs for pick-up trucks which shall be reimbursed based on a consumption of five (5) gallons per shift, fuel costs shall be reimbursed based on actual costs or, in the absence of auditable documentation, the following fuel consumption formula per hour of operation: (.035) x (HP rating) x (Fuel cost/gallon). In lieu of renting, the City reserves the right to direct the purchase of non-operating equipment (scaffolding, sheeting systems, road plates, etc.), with payment on a purchase-salvage/life cycle basis, if less than the projected rental costs; plus
- 26.2.9 Workers' Compensation Insurance, and any insurance coverage expressly required by the City for the performance of the Extra Work which is different than the types of insurance required by Article 22 and Schedule A of the General Conditions. The cost of Workers' Compensation Insurance is subject to applicable payroll limitation caps and shall be based upon the carrier's Manual Rate for such insurance derived from the applicable class Loss Cost ("LC") and carrier's Lost Cost Multiplier ("LCM") approved by the New York State Department of Financial Services, and with the exception of experience rating, rate modifiers as promulgated by the New York Compensation Insurance Rating Board ("NYCIRB"); plus
- 26.2.10 Additional costs incurred as a result of the Extra Work for performance and payment bonds; plus
- 26.2.11 Twelve percent (12%) percent of the total of items in Articles 26.2.1 through 26.2.5 as compensation for overhead, except that no percentage for overhead will be allowed on **Payroll Taxes** or on the premium portion of overtime pay or on sales and personal property taxes. Overhead shall include without limitation, all costs and expenses in connection with administration, management superintendence, small tools, and insurance required by Schedule A of the General Conditions other than Workers' Compensation Insurance; plus
- 26.2.12 Ten (10%) percent of the total of items in Articles 26.2.1 through 26.2.5, plus the items in Article 26.2.11, as compensation for profit, except that no percentage for profit will be allowed on **Payroll Taxes** or on the premium portion of overtime pay or on sales and personal property taxes; plus
- 26.2.13 Five (5%) percent of the total of items in Articles 26.2.6 through 26.2.10 as compensation for overhead and profit.
- 26.3 Where the Extra Work is performed in whole or in part by other than the Contractor's own forces pursuant to Article 26.2, the Contractor shall be paid, subject to pre-audit by the Engineering Audit Officer, the cost of such Work computed in accordance with Article 26.2 above, plus an additional allowance of five (5%) percent to cover the Contractor's overhead and profit.
- 26.4 Where a change is ordered, involving both Extra Work and omitted or reduced Contract Work, the Contract price shall be adjusted, subject to pre-audit by the EAO, in an amount based on the difference between the cost of such Extra Work and of the omitted or reduced Work.
- 26.5 Where the **Contractor** and the **Commissioner** can agree upon a fixed price for **Extra Work** in accordance with Article 25.3.2 or another method of payment for **Extra Work** in accordance with

Article 25.3.4, or for Extra Work ordered in connection with omitted Work, such method, subject to pre-audit by the EAO, may, at the option of the Commissioner, be substituted for the cost plus a percentage method provided in Article 26.2; provided, however, that if the Extra Work is performed by a Subcontractor, the Contractor shall not be entitled to receive more than an additional allowance of five (5%) percent for overhead and profit over the cost of such Subcontractor's Work as computed in accordance with Article 26.2.

ARTICLE 27. RESOLUTION OF DISPUTES

- 27.1 All disputes between the City and the Contractor of the kind delineated in this Article 27.1 that arise under, or by virtue of, this Contract shall be finally resolved in accordance with the provisions of this Article 27 and the PPB Rules. This procedure for resolving all disputes of the kind delineated herein shall be the exclusive means of resolving any such disputes.
 - 27.1.1 This Article 27 shall not apply to disputes concerning matters dealt with in other sections of the **PPB** Rules, or to disputes involving patents, copyrights, trademarks, or trade secrets (as interpreted by the courts of New York State) relating to proprietary rights in computer software.
 - 27.1.2 This Article 27 shall apply only to disputes about the scope of Work delineated by the Contract, the interpretation of Contract documents, the amount to be paid for Extra Work or disputed work performed in connection with the Contract, the conformity of the Contractor's Work to the Contract, and the acceptability and quality of the Contractor's Work; such disputes arise when the Engineer, Resident Engineer, Engineering Audit Officer, or other designee of the Commissioner makes a determination with which the Contractor disagrees.
- 27.2 All determinations required by this Article 27 shall be made in writing clearly stated, with a reasoned explanation for the determination based on the information and evidence presented to the party making the determination. Failure to make such determination within the time required by this Article 27 shall be deemed a non-determination without prejudice that will allow application to the next level.
- 27.3 During such time as any dispute is being presented, heard, and considered pursuant to this Article 27, the Contract terms shall remain in force and the Contractor shall continue to perform Work as directed by the ACCO or the Engineer. Failure of the Contractor to continue Work as directed shall constitute a waiver by the Contractor of its claim.
 - 27.4 Presentation of Disputes to Commissioner.

Notice of Dispute and Agency Response. The Contractor shall present its dispute in writing ("Notice of Dispute") to the Commissioner within thirty (30) Days of receiving written notice of the determination or action that is the subject of the dispute. This notice requirement shall not be read to replace any other notice requirements contained in the Contract. The Notice of Dispute shall include all the facts, evidence, documents, or other basis upon which the Contractor relies in support of its position, as well as a detailed computation demonstrating how any amount of money claimed by the Contractor in the dispute was arrived at. Within thirty (30) Days after receipt of the detailed written submission comprising the complete Notice of Dispute, the Engineer, Resident Engineer, Engineering Audit Officer, or other designee of the Commissioner shall submit to the Commissioner all materials he or she deems pertinent to the dispute. Following initial submissions to the Commissioner, either party may demand of the other the production of any document or other material the demanding party believes may be relevant to the dispute. The requested party shall produce all relevant materials that are not otherwise

protected by a legal privilege recognized by the courts of New York State. Any question of relevancy shall be determined by the **Commissioner** whose decision shall be final. Willful failure of the **Contractor** to produce any requested material whose relevancy the **Contractor** has not disputed, or whose relevancy has been affirmatively determined, shall constitute a waiver by the **Contractor** of its claim.

- 27.4.1 Commissioner Inquiry. The Commissioner shall examine the material and may, in his or her discretion, convene an informal conference with the Contractor, the ACCO, and the Engineer, Resident Engineer, Engineering Audit Officer, or other designee of the Commissioner to resolve the issue by mutual consent prior to reaching a determination. The Commissioner may seek such technical or other expertise as he or she shall deem appropriate, including the use of neutral mediators, and require any such additional material from either or both parties as he or she deems fit. The Commissioner's ability to render, and the effect of, a decision hereunder shall not be impaired by any negotiations in connection with the dispute presented, whether or not the Commissioner participated therein. The Commissioner may or, at the request of any party to the dispute, shall compel the participation of any Other Contractor with a contract related to the Work of this Contract, and that Contractor shall be bound by the decision of the Commissioner. Any Other Contractor thus brought into the dispute resolution proceeding shall have the same rights and obligations under this Article 27 as the Contractor initiating the dispute.
- 27.4.2 Commissioner Determination. Within thirty (30) Days after the receipt of all materials and information, or such longer time as may be agreed to by the parties, the Commissioner shall make his or her determination and shall deliver or send a copy of such determination to the Contractor, the ACCO, and Engineer, Resident Engineer, Engineering Audit Officer, or other designee of the Commissioner, as applicable, together with a statement concerning how the decision may be appealed.
- 27.4.3 Finality of Commissioner's Decision. The Commissioner's decision shall be final and binding on all parties, unless presented to the Contract Dispute Resolution Board pursuant to this Article 27. The City may not take a petition to the Contract Dispute Resolution Board. However, should the Contractor take such a petition, the City may seek, and the Contract Dispute Resolution Board may render, a determination less favorable to the Contractor and more favorable to the City than the decision of the Commissioner.
- 27.5 Presentation of Dispute to the **Comptroller**. Before any dispute may be brought by the **Contractor** to the Contract Dispute Resolution Board, the **Contractor** must first present its claim to the **Comptroller** for his or her review, investigation, and possible adjustment.
 - 27.5.1 Time, Form, and Content of Notice. Within thirty (30) Days of its receipt of a decision by the Commissioner, the Contractor shall submit to the Comptroller and to the Commissioner a Notice of Claim regarding its dispute with the Agency. The Notice of Claim shall consist of (i) a brief written statement of the substance of the dispute, the amount of money, if any, claimed and the reason(s) the Contractor contends the dispute was wrongly decided by the Commissioner; (ii) a copy of the written decision of the Commissioner; and (iii) a copy of all materials submitted by the Contractor to the Agency, including the Notice of Dispute. The Contractor may not present to the Comptroller any material not presented to the Commissioner, except at the request of the Comptroller.

- 27.5.2 Response. Within thirty (30) **Days** of receipt of the Notice of Claim, the **Agency** shall make available to the **Comptroller** a copy of all material submitted by the **Agency** to the **Commissioner** in connection with the dispute. The **Agency** may not present to the **Comptroller** any material not presented to the **Commissioner** except at the request of the **Comptroller**.
- 27.5.3 Comptroller Investigation. The Comptroller may investigate the claim in dispute and, in the course of such investigation, may exercise all powers provided in Sections 7-201 and 7-203 of the Administrative Code. In addition, the Comptroller may demand of either party, and such party shall provide, whatever additional material the Comptroller deems pertinent to the claim, including original business records of the Contractor. Willful failure of the Contractor to produce within fifteen (15) Days any material requested by the Comptroller shall constitute a waiver by the Contractor of its claim. The Comptroller may also schedule an informal conference to be attended by the Contractor, Agency representatives, and any other personnel desired by the Comptroller.
- 27.5.4 Opportunity of **Comptroller** to Compromise or Adjust Claim. The **Comptroller** shall have forty-five (45) **Days** from his or her receipt of all materials referred to in Article 27.5.3 to investigate the disputed claim. The period for investigation and compromise may be further extended by agreement between the **Contractor** and the **Comptroller**, to a maximum of ninety (90) **Days** from the **Comptroller's** receipt of all materials. The **Contractor** may not present its petition to the Contract Dispute Resolution Board until the period for investigation and compromise delineated in this Article 27.5.4 has expired. In compromising or adjusting any claim hereunder, the **Comptroller** may not revise or disregard the terms of the **Contract** between the parties.
- 27.6 Contract Dispute Resolution Board. There shall be a Contract Dispute Resolution Board composed of:
 - 27.6.1 The chief administrative law judge of the Office of Administrative Trials and Hearings (OATH) or his/her designated OATH administrative law judge, who shall act as chairperson, and may adopt operational procedures and issue such orders consistent with this Article 27 as may be necessary in the execution of the Contract Dispute Resolution Board's functions, including, but not limited to, granting extensions of time to present or respond to submissions;
 - 27.6.2 The **CCPO** or his/her designee; any designee shall have the requisite background to consider and resolve the merits of the dispute and shall not have participated personally and substantially in the particular matter that is the subject of the dispute or report to anyone who so participated; and
 - 27.6.3 A person with appropriate expertise who is not an employee of the City. This person shall be selected by the presiding administrative law judge from a prequalified panel of individuals, established and administered by OATH with appropriate background to act as decision-makers in a dispute. Such individual may not have a contract or dispute with the City or be an officer or employee of any company or organization that does, or regularly represents persons, companies, or organizations having disputes with the City.
- 27.7 Petition to the Contract Dispute Resolution Board. In the event the claim has not been settled or adjusted by the Comptroller within the period provided in this Article 27, the Contractor,

within thirty (30) Days thereafter, may petition the Contract Dispute Resolution Board to review the Commissioner's determination.

- 27.7.1 Form and Content of Petition by Contractor. The Contractor shall present its dispute to the Contract Dispute Resolution Board in the form of a petition, which shall include (i) a brief written statement of the substance of the dispute, the amount of money, if any, claimed, and the reason(s) the Contractor contends the dispute was wrongly decided by the Commissioner; (ii) a copy of the written Decision of the Commissioner, (iii) copies of all materials submitted by the Contractor to the Agency; (iv) a copy of the written decision of the Comptroller, if any, and (v) copies of all correspondence with, or written material submitted by the Contractor, to the Comptroller. The Contractor shall concurrently submit four (4) complete sets of the Petition: one set to the City Corporation Counsel (Attn: Commercial and Real Estate Litigation Division) and three (3) sets to the Contract Dispute Resolution Board at OATH's offices with proof of service on the City Corporation Counsel. In addition, the Contractor shall submit a copy of the written statement of the substance of the dispute, cited in (i) above, to both the Commissioner and the Comptroller.
- 27.7.2 Agency Response. Within thirty (30) Days of its receipt of the Petition by the City Corporation Counsel, the Agency shall respond to the brief written statement of the Contractor and make available to the Contract Dispute Resolution Board all material it submitted to the Commissioner and Comptroller. Three (3) complete copies of the Agency response shall be provided to the Contract Dispute Resolution Board and one to the Contractor. Extensions of time for submittal of the Agency response shall be given as necessary upon a showing of good cause or, upon consent of the parties, for an initial period of up to thirty (30) Days.
- 27.7.3 Further Proceedings. The Contract Dispute Resolution Board shall permit the Contractor to present its case by submission of memoranda, briefs, and oral argument. The Contract Dispute Resolution Board shall also permit the Agency to present its case in response to the Contractor by submission of memoranda, briefs, and oral argument. If requested by the City Corporation Counsel, the Comptroller shall provide reasonable assistance in the preparation of the Agency's case. Neither the Contractor nor the Agency may support its case with any documentation or other material that was not considered by the Comptroller, unless requested by the Contract Dispute Resolution Board. The Contract Dispute Resolution Board, in its discretion, may seek such technical or other expert advice as it shall deem appropriate and may seek, on its own or upon application of a party, any such additional material from any party as it deems fit. The Contract Dispute Resolution Board, in its discretion, may combine more than one dispute between the parties for concurrent resolution.
- 27.7.4 Contract Dispute Resolution Board Determination. Within forty-five (45) Days of the conclusion of all written submissions and oral arguments, the Contract Dispute Resolution Board shall render a written decision resolving the dispute. In an unusually complex case, the Contract Dispute Resolution Board may render its decision in a longer period, not to exceed ninety (90) Days, and shall so advise the parties at the commencement of this period. The Contract Dispute Resolution Board's decision must be consistent with the terms of the Contract Dispute Resolution Board shall only resolve matters before the Contract Dispute Resolution Board and shall not have precedential effect with respect to matters not before the Contract Dispute Resolution Board.

- 27.7.5 Notification of Contract Dispute Resolution Board Decision. The Contract Dispute Resolution Board shall send a copy of its decision to the Contractor, the ACCO, the Engineer, the Comptroller, the City Corporation Counsel, the CCPO, and the PPB. A decision in favor of the Contractor shall be subject to the prompt payment provisions of the PPB Rules. The Required Payment Date shall be thirty (30) Days after the date the parties are formally notified of the Contract Dispute Resolution Board's decision.
- 27.7.6 Finality of Contract Dispute Resolution Board Decision. The Contract Dispute Resolution Board's decision shall be final and binding on all parties. Any party may seek review of the Contract Dispute Resolution Board's decision solely in the form of a challenge, filed within four (4) months of the date of the Contract Dispute Resolution Board's decision, in a court of competent jurisdiction of the State of New York, County of New York pursuant to Article 78 of the Civil Practice Law and Rules. Such review by the court shall be limited to the question of whether or not the Contract Dispute Resolution Board's decision was made in violation of lawful procedure, was affected by an error of Law, or was arbitrary and capricious or an abuse of discretion. No evidence or information shall be introduced or relied upon in such proceeding that was not presented to the Contract Dispute Resolution Board in accordance with this Article 27.
- Any termination, cancellation, or alleged breach of the **Contract** prior to or during the pendency of any proceedings pursuant to this Article 27 shall not affect or impair the ability of the **Commissioner** or Contract Dispute Resolution Board to make a binding and final decision pursuant to this Article 27.

ARTICLE 28. RECORD KEEPING FOR EXTRA OR DISPUTED WORK OR WORK ON A TIME & MATERIALS BASIS

- 28.1 While the Contractor or any of its Subcontractors is performing Work on a time and material basis or Extra Work on a time and material basis ordered by the Commissioner under Article 25, or where the Contractor believes that it or any of its Subcontractors is performing Extra Work but a final determination by Agency has not been made, or the Contractor or any of its Subcontractors is performing disputed Work (whether on or off the Site), or complying with a determination or order under protest in accordance with Articles 11, 27, and 30, in each such case the Contractor shall furnish the Resident Engineer daily with three (3) copies of written statements signed by the Contractor's representative at the Site showing:
 - 28.1.1 The name, trade, and number of each worker employed on such Work or engaged in complying with such determination or order, the number of hours employed, and the character of the Work each is doing; and
 - 28.1.2 The nature and quantity of any materials, plant and equipment furnished or used in connection with the performance of such **Work** or compliance with such determination or order, and from whom purchased or rented.
- 28.2 A copy of such statement will be countersigned by the **Resident Engineer**, noting thereon any items not agreed to or questioned, and will be returned to the **Contractor** within two (2) **Days** after submission.
- 28.3 The Contractor and its Subcontractors, when required by the Commissioner, or the Comptroller, shall also produce for inspection, at the office of the Contractor or Subcontractor, any and all of its books, bid documents, financial statements, vouchers, records, daily job diaries and reports,

and cancelled checks, and any other documents relating to showing the nature and quantity of the labor, materials, plant and equipment actually used in the performance of such Work, or in complying with such determination or order, and the amounts expended therefor, and shall permit the Commissioner and the Comptroller to make such extracts therefrom, or copies thereof, as they or either of them may desire.

- 28.4 In connection with the examination provided for herein, the Commissioner, upon demand therefor, will produce for inspection by the Contractor such records as the Agency may have with respect to such Extra Work or disputed Work performed under protest pursuant to order of the Commissioner, except those records and reports which may have been prepared for the purpose of determining the accuracy and validity of the Contractor's claim.
- 28.5 Failure to comply strictly with these requirements shall constitute a waiver of any claim for extra compensation or damages on account of the performance of such **Work** or compliance with such determination or order.

ARTICLE 29. OMITTED WORK

- 29.1 If any Contract Work in a lump sum Contract, or if any part of a lump sum item in a unit price, lump sum, or percentage-bid Contract is omitted by the Commissioner pursuant to Article 33, the Contract price, subject to audit by the EAO, shall be reduced by a pro rata portion of the lump sum bid amount based upon the percent of Work omitted subject to Article 29.4. For the purpose of determining the pro rata portion of the lump sum bid amount, the bid breakdown submitted in accordance with Article 41 shall be considered, but shall not be the determining factor.
- 29.2 If the whole of a lump sum item or units of any other item is so omitted by the **Commissioner** in a unit price, lump sum, or percentage-bid **Contract**, then no payment will be made therefor except as provided in Article 29.4.
- 29.3 For units that have been ordered but are only partially completed, the unit price shall be reduced by a pro rata portion of the unit price bid based upon the percentage of **Work** omitted subject to Article 29.4.
- 29.4 In the event the Contractor, with respect to any omitted Work, has purchased any non-cancelable material and/or equipment that is not capable of use except in the performance of this Contract and has been specifically fabricated for the sole purpose of this Contract, but not yet incorporated into the Work, the Contractor shall be paid for such material and/or equipment in accordance with Article 64.2.1(b); provided, however, such payment is contingent upon the Contractor's delivery of such material and/or equipment in acceptable condition to a location designated by the City.
- 29.5 The Contractor agrees to make no claim for damages or for loss of overhead and profit with regard to any omitted Work.

ARTICLE 30. NOTICE AND DOCUMENTATION OF COSTS AND DAMAGES; PRODUCTION OF FINANCIAL RECORDS

30.1 If the Contractor shall claim to be sustaining damages by reason of any act or omission of the City or its agents, it shall submit to the Commissioner within forty-five (45) Days from the time such damages are first incurred, and every thirty (30) Days thereafter to the extent additional damages are being incurred for the same condition, verified statements of the details and the amounts of such

damages, together with documentary evidence of such damages. The Contractor may submit any of the above statements within such additional time as may be granted by the Commissioner in writing upon written request therefor. Failure of the Commissioner to respond in writing to a written request for additional time within thirty (30) Days shall be deemed a denial of the request. On failure of the Contractor to strictly comply with the foregoing provisions, such claims shall be deemed waived and no right to recover on such claims shall exist. Damages that the Contractor may claim in any action or dispute resolution procedure arising under or by reason of this Contract shall not be different from or in excess of the statements and documentation made pursuant to this Article 30. This Article 30.1 does not apply to claims submitted to the Commissioner pursuant to Article 11 or to claims disputing a determination under Article 27.

- 30.2 In addition to the foregoing statements, the Contractor shall, upon notice from the Commissioner, produce for examination at the Contractor's office, by the Engineer, Architect or Project Manager, all of its books of account, bills, invoices, payrolls, subcontracts, time books, daily reports, bank deposit books, bank statements, check books, and cancelled checks, showing all of its acts and transactions in connection with or relating to or arising by reason of this Contract, and submit itself and persons in its employment, for examination under oath by any person designated by the Commissioner or Comptroller to investigate claims made or disputes against the City under this Contract. At such examination, a duly authorized representative of the Contractor may be present.
- 30.3 In addition to the statements required under Article 28 and this Article 30, the Contractor and/or its Subcontractor shall, within thirty (30) Days upon notice from the Commissioner or Comptroller, produce for examination at the Contractor's and/or Subcontractor's office, by a representative of either the Commissioner or Comptroller, all of its books of account, bid documents, financial statements, accountant workpapers, bills, invoices, payrolls, subcontracts, time books, daily reports, bank deposit books, bank statements, check books, and cancelled checks, showing all of its acts and transactions in connection with or relating to or arising by reason of this Contract. Further, the Contractor and/or its Subcontractor shall submit any person in its employment, for examination under oath by any person designated by the Commissioner or Comptroller to investigate claims made or disputes against the City under this Contract. At such examination, a duly authorized representative of the Contractor may be present.
- 30.4 Unless the information and examination required under Article 30.3 is provided by the Contractor and/or its Subcontractor upon thirty (30) Days' notice from the Commissioner or Comptroller, or upon the Commissioner's or Comptroller's written authorization to extend the time to comply, the City shall be released from all claims arising under, relating to or by reason of this Contract, except for sums certified by the Commissioner to be due under the provisions of this Contract. It is further stipulated and agreed that no person has the power to waive any of the foregoing provisions and that in any action or dispute resolution procedure against the City to recover any sum in excess of the sums certified by the Commissioner to be due under or by reason of this Contract, the Contractor must allege in its complaint and prove, at trial or during such dispute resolution procedure, compliance with the provisions of this Article 30.
- 30.5 In addition, after the commencement of any action or dispute resolution procedure by the Contractor arising under or by reason of this Contract, the City shall have the right to require the Contractor to produce for examination under oath, up until the trial of the action or hearing before the Contract Dispute Resolution Board, the books and documents described in Article 30.3 and submit itself and all persons in its employ for examination under oath. If this Article 30 is not complied with as required, then the Contractor hereby consents to the dismissal of the action or dispute resolution procedure.

CHAPTER VII: POWERS OF THE RESIDENT ENGINEER, THE ENGINEER OR ARCHITECT AND THE COMMISSIONER

ARTICLE 31. THE RESIDENT ENGINEER

31.1 The **Resident Engineer** shall have the power to inspect, supervise, and control the performance of the **Work**, subject to review by the **Commissioner**. The **Resident Engineer** shall not, however, have the power to issue an **Extra Work** order, except as specifically designated in writing by the **Commissioner**.

ARTICLE 32. THE ENGINEER OR ARCHITECT OR PROJECT MANAGER

- 32.1 The Engineer or Architect or Project Manager, in addition to those matters elsewhere herein delegated to the Engineer and expressly made subject to his/her determination, direction or approval, shall have the power, subject to review by the Commissioner:
 - 32.1.1 To determine the amount, quality, and location of the Work to be paid for hereunder; and
 - 32.1.2 To determine all questions in relation to the Work, to interpret the Contract Drawings, Specifications, and Addenda, and to resolve all patent inconsistencies or ambiguities therein; and
 - 32.1.3 To determine how the Work of this Contract shall be coordinated with Work of Other Contractors engaged simultaneously on this Project, including the power to suspend any part of the Work, but not the whole thereof; and
 - 32.1.4 To make minor changes in the Work as he/she deems necessary, provided such changes do not result in a net change in the cost to the City or to the Contractor of the Work to be done under the Contract; and
 - 32.1.5 To amplify the **Contract Drawings**, add explanatory information and furnish additional **Specifications** and drawings, consistent with this **Contract**.
- 32.2 The foregoing enumeration shall not imply any limitation upon the power of the Engineer or Architect or Project Manager, for it is the intent of this Contract that all of the Work shall generally be subject to his/her determination, direction, and approval, except where the determination, direction or approval of someone other than the Engineer or Architect or Project Manager is expressly called for herein.
- 32.3 The Engineer or Architect or Project Manager shall not, however, have the power to issue an Extra Work order, except as specifically designated in writing by the Commissioner.

ARTICLE 33. THE COMMISSIONER

33.1 The **Commissioner**, in addition to those matters elsewhere herein expressly made subject to his/her determination, direction or approval, shall have the power:

- 33.1.1 To review and make determinations on any and all questions in relation to this **Contract** and its performance; and
- 33.1.2 To modify or change this **Contract** so as to require the performance of **Extra Work** (subject, however, to the limitations specified in Article 25) or the omission of **Contract Work**; and
- 33.1.3 To suspend the whole or any part of the **Work** whenever in his/her judgment such suspension is required:
 - 33.1.3(a) In the interest of the City generally; or
 - 33.1.3(b) To coordinate the Work of the various contractors engaged on this **Project** pursuant to the provisions of Article 12; or
 - 33.1.3(c) To expedite the completion of the entire **Project** even though the completion of this particular **Contract** may thereby be delayed.

ARTICLE 34. NO ESTOPPEL

- 34.1 Neither the City nor any Agency, official, agent or employee thereof, shall be bound, precluded or estopped by any determination, decision, approval, order, letter, payment or certificate made or given under or in connection with this Contract by the City, the Commissioner, the Engineer, the Resident Engineer, or any other official, agent or employee of the City, either before or after the final completion and acceptance of the Work and payment therefor:
 - 34.1.1 From showing the true and correct classification, amount, quality or character of the Work actually done; or that any such determination, decision, order, letter, payment or certificate was untrue, incorrect or improperly made in any particular, or that the Work, or any part thereof, does not in fact conform to the requirements of this Contract; and
 - 34.1.2 From demanding and recovering from the **Contractor** any overpayment made to it, or such damages as the **City** may sustain by reason of the **Contractor's** failure to perform each and every part of its **Contract**.

CHAPTER VIII: LABOR PROVISIONS

ARTICLE 35. EMPLOYEES

- 35.1 The Contractor and its Subcontractors shall not employ on the Work:
 - 35.1.1 Anyone who is not competent, faithful and skilled in the Work for which he/she shall be employed; and whenever the Commissioner shall inform the Contractor, in writing, that any employee is, in his/her opinion, incompetent, unfaithful or disobedient, that employee shall be discharged from the Work forthwith, and shall not again be employed upon it; or

- 35.1.2 Any labor, materials or means whose employment, or utilization during the course of this Contract, may tend to or in any way cause or result in strikes, work stoppages, delays, suspension of Work or similar troubles by workers employed by the Contractor or its Subcontractors, or by any of the trades working in or about the buildings and premises where Work is being performed under this Contract, or by Other Contractors or their Subcontractors pursuant to other contracts, or on any other building or premises owned or operated by the City, its Agencies, departments, boards or authorities. Any violation by the Contractor of this requirement may, upon certification of the Commissioner, be considered as proper and sufficient cause for declaring the Contractor to be in default, and for the City to take action against it as set forth in Chapter X of this Contract, or such other article of this Contract as the Commissioner may deem proper; or
- 35.1.3 In accordance with Section 220.3-e of the Labor Law of the State of New York (hereinafter "Labor Law"), the Contractor and its Subcontractors shall not employ on the Work any apprentice, unless he/she is a registered individual, under a bona fide program registered with the New York State Department of Labor. The allowable ratio of apprentices to journey-level workers in any craft classification shall not be greater than the ratio permitted to the Contractor as to its work force on any job under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered as above, shall be paid the wage rate determined by the Comptroller of the City for the classification of Work actually performed. The Contractor or Subcontractor will be required to furnish written evidence of the registration of its program and apprentices as well as all the appropriate ratios and wage rates, for the area of the construction prior to using any apprentices on the Contract Work.
- 35.2 If the total cost of the Work under this Contract is at least two hundred fifty thousand (\$250,000) dollars, all laborers, workers, and mechanics employed in the performance of the Contract on the public work site, either by the Contractor, Subcontractor or other person doing or contracting to do the whole or a part of the Work contemplated by the Contract, shall be certified prior to performing any Work as having successfully completed a course in construction safety and health approved by the United States Department of Labor's Occupational Safety and Health Administration that is at least ten (10) hours in duration.
- 35.3 In accordance with Local Law Nos. 30-2012 and 33-2012, codified at sections 6-132 and 12-113 of the Administrative Code, respectively,
 - 35.3.1 The Contractor shall not take an adverse personnel action with respect to an officer or employee in retaliation for such officer or employee making a report of information concerning conduct which such officer or employee knows or reasonably believes to involve corruption, criminal activity, conflict of interest, gross mismanagement or abuse of authority by any officer or employee relating to this Contract to (a) the Commissioner of the Department of Investigation, (b) a member of the New York City Council, the Public Advocate, or the Comptroller, or (c) the CCPO, ACCO, Agency head, or Commissioner.
 - 35.3.2 If any of the Contractor's officers or employees believes that he or she has been the subject of an adverse personnel action in violation of Article 35.3.1, he or she shall be entitled to bring a cause of action against the Contractor to recover all relief necessary to make him or her whole. Such relief may include but is not limited to: (a) an injunction to restrain continued retaliation, (b) reinstatement to the position such employee would have had but for the retaliation or to an equivalent position, (c) reinstatement of full fringe benefits and seniority rights, (d) payment of two times back

pay, plus interest, and (e) compensation for any special damages sustained as a result of the retaliation, including litigation costs and reasonable attorney's fees.

- 35.3.3 The Contractor shall post a notice provided by the City in a prominent and accessible place on any site where work pursuant to the Contract is performed that contains information about:
 - 35.3.3(a) how its employees can report to the New York City Department of Investigation allegations of fraud, false claims, criminality or corruption arising out of or in connection with the **Contract**; and
 - 35.3.3(b) the rights and remedies afforded to its employees under Administrative Code sections 7-805 (the New York City False Claims Act) and 12-113 (the Whistleblower Protection Expansion Act) for lawful acts taken in connection with the reporting of allegations of fraud, false claims, criminality or corruption in connection with the Contract.
- 35.3.4 For the purposes of this Article 35.3, "adverse personnel action" includes dismissal, demotion, suspension, disciplinary action, negative performance evaluation, any action resulting in loss of staff, office space, equipment or other benefit, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected officer or employee.
- 35.3.5 This Article 35.3 is applicable to all of the Contractor's Subcontractors having subcontracts with a value in excess of \$100,000; accordingly, the Contractor shall include this rider in all subcontracts with a value a value in excess of \$100,000.
- 35.4 Article 35.3 is not applicable to this **Contract** if it is valued at \$100,000 or less. Articles 35.3.1, 35.3.2, 35.3.4, and 35.3.5 are not applicable to this **Contract** if it was solicited pursuant to a finding of an emergency.
 - 35.5 Paid Sick Leave Law.
 - 35.5.1 Introduction and General Provisions.
 - 35.5.1(a) The Earned Sick Time Act, also known as the Paid Sick Leave Law ("PSLL"), requires covered employees who annually perform more than 80 hours of work in New York City to be provided with paid sick time. Contractors of the City or of other governmental entities may be required to provide sick time pursuant to the PSLL.
 - 35.5.1(b) The PSLL became effective on April 1, 2014, and is codified at Title 20, Chapter 8, of the New York City Administrative Code. It is administered by the City's Department of Consumer Affairs ("DCA"); DCA's rules promulgated under the PSLL are codified at Chapter 7 of Title 6 of the Rules of the City of New York ("Rules").

² Pursuant to the PSLL, if fewer than five employees work for the same employer, as determined pursuant to New York City Administrative Code § 20-912(g), such employer has the option of providing such employees uncompensated sick time.

- 35.5.1(c) The Contractor agrees to comply in all respects with the PSLL and the Rules, and as amended, if applicable, in the performance of this Contract. The Contractor further acknowledges that such compliance is a material term of this Contract and that failure to comply with the PSLL in performance of this Contract may result in its termination.
- 35.5.1(d) The Contractor must notify the Agency Chief Contracting Officer of the Agency with whom it is contracting in writing within ten (10) days of receipt of a complaint (whether oral or written) regarding the PSLL involving the performance of this Contract. Additionally, the Contractor must cooperate with DCA's education efforts and must comply with DCA's subpoenas and other document demands as set forth in the PSLL and Rules.
- 35.5.1(e) The PSLL is summarized below for the convenience of the Contractor. The Contractor is advised to review the PSLL and Rules in their entirety. On the website www.nyc.gov/PaidSickLeave there are links to the PSLL and the associated Rules as well as additional resources for employers, such as Frequently Asked Questions, timekeeping tools and model forms, and an event calendar of upcoming presentations and webinars at which the Contractor can get more information about how to comply with the PSLL. The Contractor acknowledges that it is responsible for compliance with the PSLL notwithstanding any inconsistent language contained herein.
- 35.5.2 Pursuant to the PSLL and the Rules: Applicability, Accrual, and Use.
 - 35.5.2(a) An employee who works within the City of New York for more than eighty hours in any consecutive 12-month period designated by the employer as its "calendar year" pursuant to the PSLL ("Year") must be provided sick time. Employers must provide a minimum of one hour of sick time for every 30 hours worked by an employee and compensation for such sick time must be provided at the greater of the employee's regular hourly rate or the minimum wage. Employers are not required to provide more than 40 hours of sick time to an employee in any Year.
 - 35.5.2(b) An employee has the right to determine how much sick time he or she will use, provided that employers may set a reasonable minimum increment for the use of sick time not to exceed four hours per **Day**. In addition, an employee may carry over up to 40 hours of unused sick time to the following Year, provided that no employer is required to allow the use of more than forty hours of sick time in a Year or carry over unused paid sick time if the employee is paid for such unused sick time and the employer provides the employee with at least the legally required amount of paid sick time for such employee for the immediately subsequent Year on the first **Day** of such Year.
 - 35.5.2(c) An employee entitled to sick time pursuant to the PSLL may use sick time for any of the following:
 - such employee's mental illness, physical illness, injury, or health condition
 or the care of such illness, injury, or condition or such employee's need for
 medical diagnosis or preventive medical care;
 - ii. such employee's care of a family member (an employee's child, spouse, domestic partner, parent, sibling, grandchild or grandparent, or the child or parent of an employee's spouse or domestic partner) who has a mental

- illness, physical illness, injury or health condition or who has a need for medical diagnosis or preventive medical care;
- iii. closure of such employee's place of business by order of a public official due to a public health emergency; or
- iv. such employee's need to care for a child whose school or childcare provider has been closed due to a public health emergency.
- 35.5.2(d) An employer must not require an employee, as a condition of taking sick time, to search for a replacement. However, an employer may require an employee to provide: reasonable notice of the need to use sick time; reasonable documentation that the use of sick time was needed for a reason above if for an absence of more than three consecutive work days; and/or written confirmation that an employee used sick time pursuant to the PSLL. However, an employer may not require documentation specifying the nature of a medical condition or otherwise require disclosure of the details of a medical condition as a condition of providing sick time and health information obtained solely due to an employee's use of sick time pursuant to the PSLL must be treated by the employer as confidential.
- 35.5.2(e) If an employer chooses to impose any permissible discretionary requirement as a condition of using sick time, it must provide to all employees a written policy containing those requirements, using a delivery method that reasonably ensures that employees receive the policy. If such employer has not provided its written policy, it may not deny sick time to an employee because of noncompliance with such a policy.
- 35.5.2(f) Sick time to which an employee is entitled must be paid no later than the payday for the next regular payroll period beginning after the sick time was used.
- 35.5.3 Exemptions and Exceptions. Notwithstanding the above, the PSLL does not apply to any of the following:
 - 35.5.3(a) an independent contractor who does not meet the definition of employee under section 190(2) of the New York State Labor Law;
 - 35.5.3(b) an employee covered by a valid collective bargaining agreement in effect on April 1, 2014, until the termination of such agreement;
 - 35.5.3(c) an employee in the construction or grocery industry covered by a valid collective bargaining agreement if the provisions of the PSLL are expressly waived in such collective bargaining agreement;
 - 35.5.3(d) an employee covered by another valid collective bargaining agreement if such provisions are expressly waived in such agreement and such agreement provides a benefit comparable to that provided by the PSLL for such employee;
 - 35.5.3(e) an audiologist, occupational therapist, physical therapist, or speech language pathologist who is licensed by the New York State Department of Education and who calls in for work assignments at will, determines his or her own schedule, has the ability to reject or accept any assignment referred to him or her, and is paid an average hourly wage that is at least four times the federal minimum wage;

- 35.5.3(f) an employee in a work study program under Section 2753 of Chapter 42 of the United States Code;
- 35.5.3(g) an employee whose work is compensated by a qualified scholarship program as that term is defined in the Internal Revenue Code, Section 117 of Chapter 20 of the United States Code; or
- 35.5.3(h) a participant in a Work Experience Program (WEP) under section 336-c of the New York State Social Services Law.
- 35.5.4 Retaliation Prohibited. An employer may not threaten or engage in retaliation against an employee for exercising or attempting in good faith to exercise any right provided by the PSLL. In addition, an employer may not interfere with any investigation, proceeding, or hearing pursuant to the PSLL.

35.5.5 Notice of Rights.

- 35.5.5(a) An employer must provide its employees with written notice of their rights pursuant to the PSLL. Such notice must be in English and the primary language spoken by an employee, provided that DCA has made available a translation into such language. Downloadable notices are available on DCA's website at http://www.nyc.gov/html/dca/html/law/PaidSickLeave.shtml.
- 35.5.5(b) Any person or entity that willfully violates these notice requirements is subject to a civil penalty in an amount not to exceed fifty dollars for each employee who was not given appropriate notice.
- 35.5.6 Records. An employer must retain records documenting its compliance with the PSLL for a period of at least three years, and must allow DCA to access such records in furtherance of an investigation related to an alleged violation of the PSLL.

35.5.7 Enforcement and Penalties.

- 35.5.7(a) Upon receiving a complaint alleging a violation of the PSLL, DCA has the right to investigate such complaint and attempt to resolve it through mediation. Within 30 **Days** of written notification of a complaint by DCA, or sooner in certain circumstances, the employer must provide DCA with a written response and such other information as DCA may request. If DCA believes that a violation of the PSLL has occurred, it has the right to issue a notice of violation to the employer.
- 35.5.7(b) DCA has the power to grant an employee or former employee all appropriate relief as set forth in New York City Administrative Code § 20-924(d). Such relief may include, among other remedies, treble damages for the wages that should have been paid, damages for unlawful retaliation, and damages and reinstatement for unlawful discharge. In addition, DCA may impose on an employer found to have violated the PSLL civil penalties not to exceed \$500 for a first violation, \$750 for a second violation within two years of the first violation, and \$1,000 for each succeeding violation within two years of the previous violation.
- 35.5.8 More Generous Polices and Other Legal Requirements. Nothing in the PSLL is intended to discourage, prohibit, diminish, or impair the adoption or retention of a more generous sick time policy, or the obligation of an employer to comply with any contract,

collective bargaining agreement, employment benefit plan or other agreement providing more generous sick time. The PSLL provides minimum requirements pertaining to sick time and does not preempt, limit or otherwise affect the applicability of any other law, regulation, rule, requirement, policy or standard that provides for greater accrual or use by employees of sick leave or time, whether paid or unpaid, or that extends other protections to employees. The PSLL may not be construed as creating or imposing any requirement in conflict with any federal or state law, rule or regulation.

35.6 HireNYC: Hiring and Reporting Requirements. This Article 35.6 applies to construction contracts of \$1,000,000 or more. The **Contractor** shall comply with the requirements of Articles 35.6.1-35.6.5 for all non-trades jobs (e.g., for an administrative position arising out of **Work** ant located in New York City). The **Contractor** shall reasonably cooperate with SBS and the **City** on specific outreach events, including "Hire-on-the-Spot" events, for the hiring of trades workers in connection with the **Work**. If provided elsewhere in this **Contract**, this **Contract** is subject to a project labor agreement.

35.6.1 Enrollment. The **Contractor** shall enroll with the HireNYC system, found at www.nyc.gov/sbs, within thirty (30) days after the registration of this **Contract** pursuant to Section 328 of the New York City Charter. The **Contractor** shall provide information about the business, designate a primary contact and say whether it intends to hire for any entry to mid-level job opportunities arising from this **Contract** and located in New York City, and, if so, the approximate start date of the first hire.

35.6.2 Job Posting Requirements.

35.6.2(a) Once enrolled in HireNYC, the Contractor agrees to update the HireNYC portal with all entry to mid-level job opportunities arising from this Contract and located in New York City, if any, which shall be defined as jobs requiring no more than an associate degree, as provided by the New York State Department of Labor (see Column F of https://labor.ny.gov/stats/2012-2022- NYS-Employment-Prospects.xls). The information to be updated includes the types of entry and mid-level positions made available from the work arising from the Contract and located in New York City, the number of positions, the anticipated schedule of initiating the hiring process for these positions, and the contact information for the Contractor's representative charged with overseeing hiring. The Contractor must update the HireNYC portal with any hiring needs arising from the contract and located in New York City, and the requirements of the jobs to be filled, no less than three weeks prior to the intended first day of employment for each new position, except with the permission of SBS, not to be unreasonably withheld, and must also update the HireNYC portal as set forth below.

35.6.2(b) After enrollment through HireNYC and submission of relevant information, SBS will work with the **Contractor** to develop a recruitment plan which will outline the candidate screening process, and will provide clear instructions as to when, where, and how interviews will take place. HireNYC will screen applicants based on employer requirements and refer applicants whom it believes are qualified to the **Contractor** for interviews. The **Contractor** must interview referred applicants whom it believes are qualified.

35.6.2(c) After completing an interview of a candidate referred by HireNYC, the **Contractor** must provide feedback via the portal within twenty (20) business days to indicate which candidates were interviewed and hired, if any. In addition, the **Contractor** shall provide the start date of new hires, and additional information

reasonably related to such hires, within twenty (20) business days after the start date. In the event the **Contractor** does not have any job openings covered by this Rider in any given year, the **Contractor** shall be required to provide an annual update to HireNYC to that effect. For this purpose, the reporting year shall run from the date of the registration of the **Contract** pursuant to Charter section 328 and each anniversary date.

35.6.2(d) These requirements do not limit the **Contractor's** ability to assess the qualifications of prospective workers, and to make final hiring and retention decisions. No provision of this Article 35.6 shall be interpreted so as to require the **Contractor** to employ any particular worker.

35.6.2(e) In addition, the provisions of this Article 35.6 shall not apply to positions that the **Contractor** intends to fill with employees employed pursuant to the job retention provision of Section 22-505 of the Administrative Code of the City of New York. The **Contractor** shall not be required to report such openings with HireNYC. However, the **Contractor** shall enroll with the HireNYC system pursuant to Article 35.6.1, above, and, if such positions subsequently become open, then the remaining provisions of this Article 35.6 will apply.

35.6.3 Breach and Liquidated Damages. If the Contractor fails to comply with the terms of the ContrSact and this Article 35.6 (1) by not enrolling its business with HireNYC; (2) by not informing HireNYC, as required, of open positions; or (3) by failing to interview a qualified candidate, the Agency may assess liquidated damages in the amount of two-thousand five hundred dollars (\$2,500) per breach. For all other events of noncompliance with the terms of this Article 35.6, the Agency may assess liquidated damages in the amount of five hundred dollars (\$500) per breach. Furthermore, in the event the Contractor breaches the requirements of this Article 35.6 during the term of the Contract, the City may hold the Contractor in default of this Contract.

35.6.4 Audit Compliance. In addition to the auditing requirements set forth in other parts of the Contract, the Contractor shall permit SBS and the City to inspect any and all records concerning or relating to job openings or the hiring of individuals for work arising from the Contract and located in New York City. The Contractor shall permit an inspection within seven (7) business days of the request.

35.6.5 Other Reporting Requirements. The Contractor shall report to the City, on a monthly basis, all information reasonably requested by the City that is necessary for the City to comply with any reporting requirements imposed by Law, including any requirement that the City maintain a publicly accessible database. In addition, the Contractor agrees to comply with all reporting requirements imposed by Law, or as otherwise requested by the City.

35.6.6 Federal Hiring Requirements. If this Contract is federally funded (as indicated elsewhere in this Contract), the Contractor shall comply with all federal hiring requirements as may be set forth in this Contract, including, as applicable: (a) Section 3 of the HUD Act of 1968, which requires, to the greatest extent feasible, economic opportunities for 30 percent of new hires be given to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing and Executive Order 11246, which prohibits discrimination in employment due to race, color, religion, sex or national origin, and requires the implementation of goals for minority and female participation for work involving any construction trade.

ARTICLE 36. NO DISCRIMINATION

- 36.1 The Contractor specifically agrees, as required by Labor Law Section 220-e, as amended, that:
 - 36.1.1 In the hiring of employees for the performance of Work under this Contract or any subcontract hereunder, neither the Contractor, Subcontractor, nor any person acting on behalf of such Contractor or Subcontractor, shall by reason of race, creed, color or national origin discriminate against any citizen of the State of New York who is qualified and available to perform the Work to which the employment relates;
 - 36.1.2 Neither the Contractor, Subcontractor, nor any person on its behalf shall, in any manner, discriminate against or intimidate any employee hired for the performance of Work under this Contract on account of race, creed, color or national origin;
 - 36.1.3 There may be deducted from the amount payable to the Contractor by the City under this Contract a penalty of fifty (\$50.00) dollars for each person for each Day during which such person was discriminated against or intimidated in violation of the provisions of this Contract; and
 - 36.1.4 This Contract may be cancelled or terminated by the City and all moneys due or to become due hereunder may be forfeited, for a second or any subsequent violation of the terms or conditions of this Article 36.
 - 36.1.5 This Article 36 covers all construction, alteration and repair of any public building or public work occurring in the State of New York and the manufacture, sale, and distribution of materials, equipment, and supplies to the extent that such operations are performed within the State of New York pursuant to this **Contract**.
- 36.2 The Contractor specifically agrees, as required by Section 6-108 of the Administrative Code, as amended, that:
 - 36.2.1 It shall be unlawful for any person engaged in the construction, alteration or repair of buildings or engaged in the construction or repair of streets or highways pursuant to a **Contract** with the **City** or engaged in the manufacture, sale or distribution of materials, equipment or supplies pursuant to a **Contract** with the **City** to refuse to employ or to refuse to continue in any employment any person on account of the race, color or creed of such person.
 - 36.2.2 It shall be unlawful for any person or any servant, agent or employee of any person, described in Article 36.1.2, to ask, indicate or transmit, orally or in writing, directly or indirectly, the race, color or creed or religious affiliation of any person employed or seeking employment from such person, firm or corporation.
 - 36.2.3 Breach of the foregoing provisions shall be deemed a violation of a material provision of this **Contract**.
 - 36.2.4 Any person, or the employee, manager or owner of or officer of such firm or corporation who shall violate any of the provisions of this Article 36.2 shall, upon

conviction thereof, be punished by a fine of not more than one hundred (\$100.00) dollars or by imprisonment for not more than thirty (30) **Days**, or both.

- 36.3 This Contract is subject to the requirements of Executive Order No. 50 (1980) ("E.O. 50"), as revised, and the rules and regulations promulgated thereunder. No contract will be awarded unless and until these requirements have been complied with in their entirety. By signing this Contract, the Contractor agrees that it:
 - 36.3.1 Will not engage in any unlawful discrimination against any employee or applicant for employment because of race, creed, color, national origin, sex, age, disability, marital status or sexual orientation with respect to all employment decisions including, but not limited to, recruitment, hiring, upgrading, demotion, downgrading, transfer, training, rates of pay or other forms of compensation, layoff, termination, and all other terms and conditions of employment; and
 - 36.3.2 Will not engage in any unlawful discrimination in the selection of **Subcontractors** on the basis of the owner's race, color, creed, national origin, sex, age, disability, marital status or sexual orientation; and
 - 36.3.3 Will state in all solicitations or advertisements for employees placed by or on behalf of the **Contractor** that all qualified applicants will receive consideration for employment without unlawful discrimination based on race, creed, color, national origin, sex, age, citizens status, disability, marital status, sexual orientation, or that it is an equal employment opportunity employer; and
 - 36.3.4 Will send to each labor organization or representative of workers with which it has a collective bargaining agreement or other contract or memorandum of understanding, written notification of its equal employment opportunity commitments under E.O. 50 and the rules and regulations promulgated thereunder; and
 - 36.3.5 Will furnish, before the award of the **Contract**, all information and reports, including an employment report, that are required by E.O. 50, the rules and regulations promulgated thereunder, and orders of the **City** Department of Business Services, Division of Labor Services (**DLS**) and will permit access to its books, records, and accounts by the **DLS** for the purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- 36.4 The Contractor understands that in the event of its noncompliance with the nondiscrimination clauses of this Contract or with any of such rules, regulations, or orders, such noncompliance shall constitute a material breach of this Contract and noncompliance with E.O. 50 and the rules and regulations promulgated thereunder. After a hearing held pursuant to the rules of the DLS, the Director of the DLS may direct the Commissioner to impose any or all of the following sanctions:
 - 36.4.1 Disapproval of the Contractor; and/or
 - 36.4.2 Suspension or termination of the Contract; and/or
 - 36.4.3 Declaring the Contractor in default; and/or
 - 36.4.4 In lieu of any of the foregoing sanctions, the Director of the DLS may impose an employment program.

In addition to any actions taken under this **Contract**, failure to comply with E.O. 50 and the rules and regulations promulgated thereunder, in one or more instances, may result in a **City Agency** declaring the **Contractor** to be non-responsible in future procurements. The **Contractor** further agrees that it will refrain from entering into any **Contract** or **Contract** modification subject to E.O. 50 and the rules and regulations promulgated thereunder with a **Subcontractor** who is not in compliance with the requirements of E.O. 50 and the rules and regulations promulgated thereunder.

- 36.5 The **Contractor** specifically agrees, as required by Section 6-123 of the Administrative Code, that:
 - 36.5.1 The Contractor will not engage in any unlawful discriminatory practice in violation of Title 8 of the Administrative Code; and
 - 36.5.2 Any failure to comply with this Article 36.5 may subject the **Contractor** to the remedies set forth in Section 6-123 of the Administrative Code, including, where appropriate, sanctions such as withholding of payment, imposition of an employment program, finding the **Contractor** to be in default, cancellation of the **Contract**, or any other sanction or remedy provided by **Law** or **Contract**.

ARTICLE 37. LABOR LAW REQUIREMENTS

- 37.1 The Contractor shall strictly comply with all applicable provisions of the Labor Law, as amended. Such compliance is a material term of this Contract.
- 37.2 The Contractor specifically agrees, as required by Labor Law Sections 220 and 220-d, as amended, that:
 - 37.2.1 Hours of Work: No laborer, worker, or mechanic in the employ of the Contractor, Subcontractor or other person doing or contracting to do the whole or a part of the Work contemplated by this Contract shall be permitted or required to work more than eight (8) hours in any one (1) Day, or more than five (5) Days in any one (1) week, except as provided in the Labor Law and in cases of extraordinary emergency including fire, flood, or danger to life or property, or in the case of national emergency when so proclaimed by the President of the United States of America.
 - 37.2.2 In situations in which there are not sufficient laborers, workers, and mechanics who may be employed to carry on expeditiously the Work contemplated by this Contract as a result of such restrictions upon the number of hours and Days of labor, and the immediate commencement or prosecution or completion without undue delay of the Work is necessary for the preservation of the Site and/or for the protection of the life and limb of the persons using the same, such laborers, workers, and mechanics shall be permitted or required to work more than eight (8) hours in any one (1) Day; or five (5) Days in any one (1) week; provided, however, that upon application of any Contractor, the Commissioner shall have first certified to the Commissioner of Labor of the State of New York (hereinafter "Commissioner of Labor") that such public Work is of an important nature and that a delay in carrying it to completion would result in serious disadvantage to the public; and provided, further, that such Commissioner of Labor shall have determined that such an emergency does in fact exist as provided in Labor Law Section 220.2.
 - 37.2.3 Failure of the **Commissioner** to make such a certification to the Commissioner of Labor shall not entitle the **Contractor** to damages for delay or for any cause whatsoever.

- 37.2.4 Prevailing Rate of Wages: The wages to be paid for a legal day's Work to laborers, workers, or mechanics employed upon the Work contemplated by this Contract or upon any materials to be used thereon shall not be less than the "prevailing rate of wage" as defined in Labor Law Section 220, and as fixed by the Comptroller in the attached Schedule of Wage Rates and in updated schedules thereof. The prevailing wage rates and supplemental benefits to be paid are those in effect at the time the Work is being performed.
- 37.2.5 Requests for interpretation or correction in the Information for Bidders includes all requests for clarification of the classification of trades to be employed in the performance of the Work under this Contract. In the event that a trade not listed in the Contract is in fact employed during the performance of this Contract, the Contractor shall be required to obtain from the Agency the prevailing wage rates and supplementary benefits for the trades used and to complete the performance of this Contract at the price at which the Contract was awarded.
- 37.2.6 Minimum Wages: Except for employees whose wage is required to be fixed pursuant to Labor Law Section 220, all persons employed by the **Contractor** and any **Subcontractor** in the manufacture or furnishing of the supplies, materials, or equipment, or the furnishing of work, labor, or services, used in the performance of this **Contract**, shall be paid, without subsequent deduction or rebate unless expressly authorized by **Law**, not less than the sum mandated by **Law**.
- 37.3 Working Conditions: No part of the **Work**, labor or services shall be performed or rendered by the **Contractor** in any plants, factories, buildings or surroundings or under working conditions which are unsanitary or hazardous or dangerous to the health and safety of employees engaged in the performance of this **Contract**. Compliance with the safety, sanitary, and factory inspection **Laws** of the state in which the **Work** is to be performed shall be prima facie evidence of compliance with this Article 37.3.
- 37.4 Prevailing Wage Enforcement: The Contractor agrees to pay for all costs incurred by the City in enforcing prevailing wage requirements, including the cost of any investigation conducted by or on behalf of the Agency or the Comptroller, where the City discovers a failure to comply with any of the requirements of this Article 37 by the Contractor or its Subcontractor(s). The Contractor also agrees that, should it fail or refuse to pay for any such investigation, the Agency is hereby authorized to deduct from a Contractor's account an amount equal to the cost of such investigation.
 - 37.4.1 The Labor Law Section 220 and Section 220-d, as amended, provide that this **Contract** shall be forfeited and no sum paid for any **Work** done hereunder on a second conviction for willfully paying less than:
 - 37.4.1(a) The stipulated prevailing wage scale as provided in Labor Law section 220, as amended, or
 - 37.4.1(b) The stipulated minimum hourly wage scale as provided in Labor Law section 220-d, as amended.
 - 37.4.2 For any breach or violation of either working conditions (Article 37.3) or minimum wages (Article 37.2.6) provisions, the party responsible therefor shall be liable to the **City** for liquidated damages, which may be withheld from any amounts due on any contracts with the **City** of such party responsible, or may be recovered in actions brought by the **City**

Corporation Counsel in the name of the City, in addition to damages for any other breach of this Contract, for a sum equal to the amount of any underpayment of wages due to any employee engaged in the performance of this Contract. In addition, the Commissioner shall have the right to cancel contracts and enter into other contracts for the completion of the original contract, with or without public letting, and the original Contractor shall be liable for any additional cost. All sums withheld or recovered as deductions, rebates, refunds, or underpayment of wages hereunder, shall be held in a special deposit account and shall be paid without interest, on order of the Comptroller, directly to the employees who have been paid less than minimum rates of pay as set forth herein and on whose account such sums were withheld or recovered, provided that no claims by employees for such payments shall be entertained unless made within two (2) years from the date of actual notice to the Contractor of the withholding or recovery of such sums by the City.

- 37.4.3 A determination by the **Comptroller** that a **Contractor** and/or its **Subcontractor** willfully violated Labor Law Section 220 will be forwarded to the **City's** five District Attorneys for review.
- 37.4.4 The Contractor's or Subcontractor's noncompliance with this Article 37.4 and Labor Law Section 220 may result in an unsatisfactory performance evaluation and the Comptroller may also find and determine that the Contractor or Subcontractor willfully violated the New York Labor Law.
 - 37.4.4(a) An unsatisfactory performance evaluation for noncompliance with this Article 37.4 may result in a determination that the **Contractor** is a non-responsible bidder on subsequent procurements with the **City** and thus a rejection of a future award of a contract with the **City**, as well as any other sanctions provided for by **Law**.
 - 37.4.4(b) Labor Law Section 220-b, as amended, provides that when two (2) final determinations have been rendered against a Contractor or Subcontractor within any consecutive six (6) year period determining that such Contractor or Subcontractor has willfully failed to pay the prevailing rate of wages or to provide supplements in accordance with the Labor Law and this Article 37.4, whether such failures were concurrent or consecutive and whether or not such final determinations concerning separate public works projects are rendered simultaneously, such Contractor or Subcontractor shall be ineligible to submit a bid on or be awarded any public works contract with the City for a period of five (5) years from the second final determination. If the final determination involves the falsification of payroll records or the kickback of wages or supplements, the Contractor or Subcontractor shall be ineligible to submit a bid on or be awarded any public works contract with the City for a period of five (5) years from the first final determination.
 - 37.4.4(c) Labor Law Section 220, as amended, provides that the **Contractor** or **Subcontractor** found to have violated this Article 37.4 may be directed to make payment of wages or supplements including interest found to be due, and the **Contractor** or **Subcontractor** may be directed to make payment of a further sum as a civil penalty in an amount not exceeding twenty-five (25%) percent of the total amount found to be due.
- 37.5 The Contractor and its Subcontractors shall within ten (10) Days after mailing of a Notice of Award or written order, post in prominent and conspicuous places in each and every plant, factory, building, and structure where employees of the Contractor and its Subcontractors engaged in the

performance of this Contract are employed, notices furnished by the City, in relation to prevailing wages and supplements, minimum wages, and other stipulations contained in Sections 220 and 220-h of the Labor Law, and the Contractor and its Subcontractors shall continue to keep such notices posted in such prominent and conspicuous places until Final Acceptance of the supplies, materials, equipment, or Work, labor, or services required to be furnished or rendered under this Contract.

37.6 The Contractor shall strictly comply with all of the provisions of Articles 37.6.1 through 37.6.5, and provide for all workers, laborers or mechanics in its employ, the following:

37.6.1 Notices Posted At Site: Post, in a location designated by the City, schedules of prevailing wages and supplements for this Project, a copy of all re-determinations of such schedules for the Project, the Workers' Compensation Law Section 51 notice, all other notices required by Law to be posted at the Site, the City notice that this Project is a public works project on which each worker is entitled to receive the prevailing wages and supplements for the occupation at which he or she is working, and all other notices which the City directs the Contractor to post. The Contractor shall provide a surface for such notices which is satisfactory to the City. The Contractor shall maintain and keep current such notices in a legible manner and shall replace any notice or schedule which is damaged, defaced, illegible or removed for any reason. The Contractor shall post such notices before commencing any Work on the Site and shall maintain such notices until all Work on the Site is complete; and

37.6.2 Daily **Site** Sign-in Sheets: Maintain daily **Site** sign-in sheets, and require that **Subcontractors** maintain daily **Site** sign-in sheets for its employees, which include blank spaces for an employee's name to be both printed and signed, job title, date started and Social Security number, the time the employee began work and the time the employee left work, until **Final Acceptance** of the supplies, materials, equipment, or **Work**, labor, or services to be furnished or rendered under this **Contract** unless exception is granted by the **Comptroller** upon application by the **Agency**. In the alternative, subject to the approval of the **CCPO**, the **Contractor** and **Subcontractor** may maintain an electronic or biometric sign-in system, which provides the information required by this Article 37.6.2; and

37.6.3 Individual Employee Information Notices: Distribute a notice to each worker, laborer or mechanic employed under this Contract, in a form provided by the Agency, that this **Project** is a public works project on which each worker, laborer or mechanic is entitled to receive the prevailing rate of wages and supplements for the occupation at which he or she is working. If the total cost of the Work under this Contract is at least two hundred fifty thousand (\$250,000) dollars, such notice shall also include a statement that each worker, laborer or mechanic must be certified prior to performing any Work as having successfully completed a course in construction safety and health approved by the United States Department of Labor's Occupational Safety and Health Administration that is at least ten (10) hours in duration. Such notice shall be distributed to each worker before he or she starts performing any Work of this Contract and with the first paycheck after July first of each year. "Worker, laborer or mechanic" includes employees of the Contractor and all Subcontractors and all employees of suppliers entering the Site. At the time of distribution, the Contractor shall have each worker, laborer or mechanic sign a statement, in a form provided by the Agency, certifying that the worker has received the notice required by this Article 37.6.3, which signed statement shall be maintained with the payroll records required by this Contract; and

37.6.3(a) The Contractor and each Subcontractor shall notify each worker, laborer or mechanic employed under this Contract in writing of the prevailing rate of

wages for their particular job classification. Such notification shall be given to every worker, laborer, and mechanic on their first pay stub and with every pay stub thereafter; and

- 37.6.4 Site Laminated Identification Badges: The Contractor shall provide laminated identification badges which include a photograph of the worker's, laborer's or mechanic's face and indicate the worker's, laborer's or mechanic's name, trade, employer's name, and employment starting date (month/day/year). Further, the Contractor shall require as a condition of employment on the Site, that each and every worker, laborer or mechanic wear the laminated identification badge at all times and that it may be seen by any representative of the City. The Commissioner may grant a written waiver from the requirement that the laminated identification badge include a photograph if the Contractor demonstrates that the identity of an individual wearing a laminated identification badge can be easily verified by another method; and
- 37.6.5 Language Other Than English Used On Site: Provide the ACCO notice when three (3) or more employees (worker and/or laborer and/or mechanic) on the Site, at any time, speak a language other than English. The ACCO will then provide the Contractor the notices described in Article 37.6.1 in that language or languages as may be required. The Contractor is responsible for all distributions under this Article 37; and
- 37.6.6 Provision of Records: The Contractor and Subcontractor(s) shall produce within five (5) Days on the Site of the Work and upon a written order of the Engineer, the Commissioner, the ACCO, the Agency EAO, or the Comptroller, such records as are required to be kept by this Article 37.6; and
- 37.6.7 The Contractor and Subcontractor(s) shall pay employees by check or direct deposit. If this Contract is for an amount greater than one million (\$1,000,000) dollars, checks issued by the Contractor to covered employees shall be generated by a payroll service or automated payroll system (an in-house system may be used if approved by the Agency). For any subcontract for an amount greater than seven hundred fifty thousand (\$750,000) dollars, checks issued by a Subcontractor to covered employees shall be generated by a payroll service or automated payroll system (an in-house system may be used if approved by the Agency); and
- 37.6.8 The failure of the **Contractor** or **Subcontractor(s)** to comply with the provisions of Articles 37.6.1 through 37.6.7 may result in the **Commissioner** declaring the **Contractor** in default and/or the withholding of payments otherwise due under the **Contract**.
- 37.7 The Contractor and its Subcontractors shall keep such employment and payroll records as are required by Section 220 of the Labor Law. The failure of the Contractor or Subcontractor(s) to comply with the provisions of this Article 37.7 may result in the Commissioner declaring the Contractor in default and/or the withholding of payments otherwise due under the Contract.
- 37.8 At the time the **Contractor** makes application for each partial payment and for final payment, the **Contractor** shall submit to the **Commissioner** a written payroll certification, in the form provided by this **Contract**, of compliance with the prevailing wage, minimum wage, and other provisions and stipulations required by Labor Law Section 220 and of compliance with the training requirements of Labor Law Section 220-h set forth in Article 35.2. This certification of compliance shall be a condition precedent to payment and no payment shall be made to the **Contractor** unless and until each such certification shall have been submitted to and received by the **Commissioner**.

- 37.9 This Contract is executed by the Contractor with the express warranty and representation that the Contractor is not disqualified under the provisions of Section 220 of the Labor Law from the award of the Contract.
- 37.10 Any breach or violation of any of the foregoing shall be deemed a breach or violation of a material provision of this **Contract**, and grounds for cancellation thereof by the **City**.

ARTICLE 38. PAYROLL REPORTS

- 38.1 The Contractor and its Subcontractor(s) shall maintain on the Site during the performance of the Work the original payrolls or transcripts thereof which the Contractor and its Subcontractor(s) are required to maintain and shall submit such original payrolls or transcripts, subscribed and affirmed by it as true, within thirty (30) Days after issuance of its first payroll, and every thirty (30) Days thereafter, pursuant to Labor Law Section 220(3-a)(a)(iii). The Contractor and Subcontractor(s) shall submit such original payrolls or transcripts along with each and every payment requisition. If payment requisitions are not submitted at least once a month, the Contractor and its Subcontractor(s) shall submit original payrolls and transcripts both along with its payment requisitions and independently of its payment requisitions.
- 38.2 The Contractor shall maintain payrolls or transcripts thereof for six (6) years from the date of completion of the Work on this Contract. If such payrolls and transcripts are maintained outside of New York City after the completion of the Work and their production is required pursuant to this Article 38, the Contractor shall produce such records in New York City upon request by the City.
- 38.3 The Contractor and Subcontractor(s) shall comply with any written order, direction, or request made by the Engineer, the Commissioner, the ACCO, the Agency EAO, the Agency Labor Law Investigator(s), or the Comptroller, to provide to the requesting party any of the following information and/or records within five (5) Days of such written order, direction, or request:
 - 38.3.1 Such original payrolls or transcripts thereof subscribed and affirmed by it as true and the statements signed by each worker pursuant to this Chapter VIII; and/or
 - 38.3.2 Attendance sheets for each **Day** on which any employee of the **Contractor** and/or any of the **Subcontractor(s)** performed **Work** on the **Site**, which attendance sheet shall be in a form acceptable to the **Agency** and shall provide information acceptable to the **Agency** to identify each such employee; and/or
 - 38.3.3 Any other information to satisfy the Engineer, the Commissioner, the ACCO, the Agency EAO, the Agency Labor Law Investigator(s) or the Comptroller, that this Chapter VIII and the Labor Law, as to the hours of employment and prevailing rates of wages and/or supplemental benefits, are being observed.
- 38.4 The failure of the Contractor or Subcontractor(s) to comply with the provisions of Articles 38.1 and/or 38.2 may result in the Commissioner declaring the Contractor in default and/or the withholding of payments otherwise due under the Contract.

ARTICLE 39. DUST HAZARDS

39.1 Should a harmful dust hazard be created in performing the Work of this Contract, for the elimination of which appliances or methods have been approved by the Board of Standards and Appeals

of the City of New York, such appliances and methods shall be installed, maintained, and effectively operated during the continuance of such harmful dust hazard. Failure to comply with this provision after notice shall make this **Contract** voidable at the sole discretion of the **City**.

CHAPTER IX: PARTIAL AND FINAL PAYMENTS

ARTICLE 40. CONTRACT PRICE

40.1 The City shall pay, and the Contractor agrees to accept, in full consideration for the Contractor's performance of the Work subject to the terms and conditions hereof, the lump sum price or unit prices for which this Contract was awarded, plus the amount required to be paid for any Extra Work ordered by the Commissioner under Article 25, less credit for any Work omitted pursuant to Article 29.

ARTICLE 41. BID BREAKDOWN ON LUMP SUM

- 41.1 Within fifteen (15) Days after the commencement date specified in the Notice to Proceed or Order to Work, unless otherwise directed by the Resident Engineer, the Contractor shall submit to the Resident Engineer a breakdown of its bid price, or of lump sums bid for items of the Contract, showing the various operations to be performed under the Contract, as directed in the progress schedule required under Article 9, and the value of each of such operations, the total of such items to equal the lump sum price bid. Said breakdown must be approved in writing by the Resident Engineer.
- 41.2 No partial payment will be approved until the Contractor submits a bid breakdown that is acceptable to the Resident Engineer.
- 41.3 The Contractor shall also submit such other information relating to the bid breakdown as directed by the Resident Engineer. Thereafter, the breakdown may be used only for checking the Contractor's applications for partial payments hereunder, but shall not be binding upon the City, the Commissioner, or the Engineer for any purpose whatsoever.

ARTICLE 42. PARTIAL PAYMENTS

- 42.1 From time to time as the Work progresses satisfactorily, but not more often than once each calendar month (except where the Commissioner approves in writing the submission of invoices on a more frequent basis and for invoices relating to Work performed pursuant to a change order), the Contractor may submit to the Engineer a requisition for a partial payment in the prescribed form, which shall contain an estimate of the quantity and the fair value of the Work done during the payment period.
- 42.2 Partial payments may be made for materials, fixtures, and equipment in advance of their actual incorporation in the **Work**, as the **Commissioner** may approve, and upon the terms and conditions set forth in the General Conditions.
- 42.3 The Contractor shall also submit to the Commissioner in connection with every application for partial payment a verified statement in the form prescribed by the Comptroller setting forth the information required under Labor Law Section 220-a.

42.4 Within thirty (30) **Days** after receipt of a satisfactory payment application, and within sixty (60) **Days** after receipt of a satisfactory payment application in relation to **Work** performed pursuant to a change order, the **Engineer** will prepare and certify, and the **Commissioner** will approve, a voucher for a partial payment in the amount of such approved estimate, less any and all deductions authorized to be made by the **Commissioner** under the terms of this **Contract** or by **Law**.

ARTICLE 43. PROMPT PAYMENT

- 43.1 The Prompt Payment provisions of the **PPB** Rules in effect at the time of the bid will be applicable to payments made under this **Contract**. The provisions require the payment to the **Contractor** of interest on payments made after the required payment date, except as set forth in the **PPB** Rules.
- 43.2 The Contractor shall submit a proper invoice to receive payment, except where the Contract provides that the Contractor will be paid at predetermined intervals without having to submit an invoice for each scheduled payment.
 - 43.3 Determination of interest due will be made in accordance with the **PPB** Rules.
- 43.4 If the Contractor is paid interest, the proportionate share(s) of that interest shall be forwarded by the Contractor to its Subcontractor(s).
- 43.5 The Contractor shall pay each Subcontractor or Materialman not later than seven (7) Days after receipt of payment out of amounts paid to the Contractor by the City for Work performed by the Subcontractor or Materialman under this Contract.
 - 43.5.1 If Contractor fails to make any payment to any Subcontractor or Materialman within seven (7) Days after receipt of payment by the City pursuant to this Article 43.5, then the Contractor shall pay interest on amounts due to such Subcontractor or Materialman at the rate of interest in effect on the date such payment is made by the Contractor computed in accordance with Section 756-b (1)(b) of the New York General Business Law. Accrual of interest shall commence on the Day immediately following the expiration of the seventh Day following receipt of payment by the Contractor from the City and shall end on the date on which payment is made.
- 43.6 The Contractor shall include in each of its subcontracts a provision requiring each Subcontractor to make payment to each of its Subcontractors or Materialmen for Work performed under this Contract in the same manner and within the same time period set forth above.

ARTICLE 44. SUBSTANTIAL COMPLETION PAYMENT

- 44.1 The Contractor shall submit with the Substantial Completion requisition:
 - 44.1.1 A final verified statement of any pending Article 27 disputes in accordance with the **PPB** Rules and this **Contract** and any and all alleged claims against the **City**, in any way connected with or arising out of this **Contract** (including those as to which details may have been furnished pursuant to Articles 11, 27, 28, and 30) setting forth with respect to each such claim the total amount thereof, the various items of labor and materials included therein, and the alleged value of each item; and if the alleged claim be one for delay, the alleged cause of each such delay, the period or periods of time, giving the dates when the

Contractor claims the performance of the Work or a particular part thereof was delayed, and an itemized statement and breakdown of the amount claimed for each such delay.

44.1.1(a) With respect to each such claim, the Commissioner, the Comptroller and, in the event of litigation, the City Corporation Counsel shall have the same right to inspect, and to make extracts or copies of, the Contractor's books, vouchers, records, etc., as is referred to in Articles 11, 27, 28, and 30. Nothing contained in this Article 44.1.1(a) is intended to or shall relieve the Contractor from the obligation of complying strictly with Articles 11, 27, 28, and 30. The Contractor is warned that unless such claims are completely set forth as herein required, the Contractor upon acceptance of the Substantial Completion payment pursuant to this Article 44, will have waived any such claims.

44.1.2 A Final Approved Punch List.

- 44.1.3 Where required, a request for an extension of time to achieve Substantial Completion or final extension of time.
- 44.2 The Commissioner shall issue a voucher calling for payment of any part or all of the balance due for Work performed under the Contract, including monies retained under Article 21, less any and all deductions authorized to be made by the Commissioner, under this Contract or by Law, and less twice the amount the Commissioner considers necessary to ensure the completion of the balance of the Work by the Contractor. Such a payment shall be considered a partial and not a final payment. No Substantial Completion payment shall be made under this Article 44 where the Contractor failed to complete the Work within the time fixed for such completion in the Schedule A of the General Conditions, or within the time to which completion may have been extended, until an extension or extensions of time for the completion of Work have been acted upon pursuant to Article 13.
- 44.3 No further partial payments shall be made to the Contractor after Substantial Completion, except the Substantial Completion payment and payment pursuant to any Contractor's requisition that were properly filed with the Commissioner prior to the date of Substantial Completion; however, the Commissioner may grant a waiver for further partial payments after the date of Substantial Completion to permit payments for change order Work and/or release of retainage and deposits pursuant to Articles 21 and 24. Such waiver shall be in writing.
- 44.4 The Contractor acknowledges that nothing contained in this Article 44 is intended to or shall in any way diminish the force and effect of Article 13.

ARTICLE 45. FINAL PAYMENT

45.1 After completion and Final Acceptance of the Work, the Contractor shall submit all required certificates and documents, together with a requisition for the balance claimed to be due under the Contract, less the amount authorized to be retained for maintenance under Article 24. Such submission shall be within 90 days of the date of the Commissioner's written determination of Final Acceptance, or within such additional time as may be granted by the Commissioner in writing. If the Contractor fails to submit all required certificates and documents within the time allowed, no payment of the balance claimed shall be made to the Contractor and the Contractor shall be deemed to have forfeited its right to payment of any balance claimed. A verified statement similar to that required in connection with applications for partial payments shall also be submitted to the Commissioner.

- 45.2 Amended Verified Statement of Claims: The Contractor shall also submit with the final requisition any amendments to the final verified statement of any pending dispute resolution procedures in accordance with the PPB Rules and this Contract and any and all alleged claims against the City, in any way connected with or arising out of this Contract (including those as to which details may have been furnished pursuant to Articles 11, 27, 28, and 30) that have occurred subsequent to Substantial Completion, setting forth with respect to each such claim the total amount thereof, the various items of labor and materials included therein, and the alleged value of each such item; and if the alleged claim be one for delay, the alleged cause of each such delay, the period or periods of time, giving the dates when the Contractor claims the performance of the Work or a particular part thereof was delayed, and an itemized statement and breakdown of the amount claimed for each such delay. With reference to each such claim, the Commissioner, the Comptroller and, in the event of litigation, the City Corporation Counsel shall have the same right to inspect, and to make extracts or copies of, the Contractor's books, vouchers, records, etc., as is referred to in Articles 11, 27, 28, and 30. Nothing contained in this Article 45.2, is intended to or shall relieve the Contractor from the obligation of complying strictly with Articles 11, 27, 28, and 30. The Contractor is warned that unless such claims are completely set forth as herein required, the Contractor, upon acceptance of the Final Payment pursuant to Article 46, will have waived any such claims.
- 45.3 Preparation of Final Voucher: Upon determining the balance due hereunder other than on account of claims, the **Engineer** will prepare and certify, for the Commissioner's approval, a voucher for final payment in that amount less any and all deductions authorized to be made by the **Commissioner** under this **Contract** or by **Law**. In the case of a lump sum **Contract**, the **Commissioner** shall certify the voucher for final payment within thirty (30) **Days** from the date of completion and acceptance of the **Work**, provided all requests for extensions of time have been acted upon.
 - 45.3.1 All prior certificates and vouchers upon which partial payments were made, being merely estimates made to enable the **Contractor** to prosecute the **Work** more advantageously, shall be subject to correction in the final voucher, and the certification of the **Engineer** thereon and the approval of the **Commissioner** thereof, shall be conditions precedent to the right of the **Contractor** to receive any money hereunder. Such final voucher shall be binding and conclusive upon the **Contractor**.
 - 45.3.2 Payment pursuant to such final voucher, less any deductions authorized to be made by the Commissioner under this Contract or by Law, shall constitute the final payment, and shall be made by the Comptroller within thirty (30) Days after the filing of such voucher in his/her office.
- 45.4 The Contractor acknowledges that nothing contained in this Article 45 is intended to or shall in any way diminish the force and effect of Article 13.

ARTICLE 46. ACCEPTANCE OF FINAL PAYMENT

46.1 The acceptance by the **Contractor**, or by anyone claiming by or through it, of the final payment, whether such payment be made pursuant to any judgment of any court, or otherwise, shall constitute and operate as a release of the **City** from any and all claims of and liability to the **Contractor** for anything heretofore done or furnished for the **Contractor** relating to or arising out of this **Contract** and the **Work** done hereunder, and for any prior act, neglect or default on the part of the **City** or any of its officials, agents or employees, excepting only a claim against the **City** for the amounts deducted or retained in accordance with the terms and provisions of this **Contract** or by **Law**, and excepting any claims, not otherwise waived, or any pending dispute resolution procedures which are contained in the

verified statement filed with the Contractor's substantial and final requisitions pursuant to Articles 44 and 45.

- 46.2 The Contractor is warned that the execution by it of a release, in connection with the acceptance of the final payment, containing language purporting to reserve claims other than those herein specifically excepted from the operation of this Article 46, or those for amounts deducted by the Commissioner from the final requisition or from the final payment as certified by the Engineer and approved by the Commissioner, shall not be effective to reserve such claims, anything stated to the Contractor orally or in writing by any official, agent or employee of the City to the contrary notwithstanding.
- 46.3 Should the **Contractor** refuse to accept the final payment as tendered by the **Comptroller**, it shall constitute a waiver of any right to interest thereon.
- 46.4 The **Contractor**, however, shall not be barred by this Article 46 from commencing an action for breach of **Contract** to the extent permitted by **Law** and by the terms of the **Contract** for any claims that are contained in the verified statement filed with the **Contractor's** substantial and final requisitions pursuant to Articles 44 and 45 or that arose after submission of the final payment requisition, provided that a detailed and verified statement of claim is served upon the contracting **Agency** and **Comptroller** not later than forty (40) **Days** after the making of such final payment by electronic funds transfer (EFT) or the mailing of such final payment. The statement shall specify the items upon which the claim will be based and any such claim shall be limited to such items.

ARTICLE 47. APPROVAL BY PUBLIC DESIGN COMMISSION

47.1 All works of art, including paintings, mural decorations, stained glass, statues, bas-reliefs, and other sculptures, monuments, fountains, arches, and other structures of a permanent character intended for ornament or commemoration, and every design of the same to be used in the performance of this **Contract**, and the design of all bridges, approaches, buildings, gates, fences, lamps, or structures to be erected, pursuant to the terms of this **Contract**, shall be submitted to the Art Commission, d/b/a the Public Design Commission of the City of New York, and shall be approved by the Public Design Commission prior to the erection or placing in position of the same. The final payment shall not become due or payable under this **Contract** unless and until the Public Design Commission shall certify that the design for the **Work** herein contracted for has been approved by the said Public Design Commission, and that the same has been executed in substantial accordance with the design so approved, pursuant to the provisions of Chapter 37, Section 854 of the **City** Charter, as amended.

CHAPTER X: CONTRACTOR'S DEFAULT

ARTICLE 48. COMMISSIONER'S RIGHT TO DECLARE CONTRACTOR IN DEFAULT

- 48.1 In addition to those instances specifically referred to in other Articles herein, the Commissioner shall have the right to declare the Contractor in default of this Contract if:
 - 48.1.1 The Contractor fails to commence Work when notified to do so by the Commissioner; or if
 - 48.1.2 The Contractor shall abandon the Work; or if

- 48.1.3 The **Contractor** shall refuse to proceed with the **Work** when and as directed by the **Commissioner**; or if
- 48.1.4 The Contractor shall, without just cause, reduce its working force to a number which, if maintained, would be insufficient, in the opinion of the Commissioner, to complete the Work in accordance with the progress schedule; or if
- 48.1.5 The Contractor shall fail or refuse to increase sufficiently such working force when ordered to do so by the Commissioner; or if
- 48.1.6 The Contractor shall sublet, assign, transfer, convert or otherwise dispose of this Contract other than as herein specified; or sell or assign a majority interest in the Contractor; or if
- 48.1.7 The Contractor fails to secure and maintain all required insurance; or if
- 48.1.8 A receiver or receivers are appointed to take charge of the **Contractor's** property or affairs; or if
- 48.1.9 The Commissioner shall be of the opinion that the Contractor is or has been unnecessarily or unreasonably or willfully delaying the performance and completion of the Work, or the award of necessary subcontracts, or the placing of necessary material and equipment orders; or if
- 48.1.10 The Commissioner shall be of the opinion that the Contractor is or has been willfully or in bad faith violating any of the provisions of this Contract; or if
- 48.1.11 The Commissioner shall be of the opinion that the Work cannot be completed within the time herein provided therefor or within the time to which such completion may have been extended; provided, however, that the impossibility of timely completion is, in the Commissioner's opinion, attributable to conditions within the Contractor's control; or if
- 48.1.12 The Work is not completed within the time herein provided therefor or within the time to which the Contractor may be entitled to have such completion extended; or if
- 48.1.13 Any statement or representation of the Contractor in the Contract or in any document submitted by the Contractor with respect to the Work, the Project, or the Contract (or for purposes of securing the Contract) was untrue or incorrect when made; or if
- 48.1.14 The Contractor or any of its officers, directors, partners, five (5%) percent shareholders, principals, or other persons substantially involved in its activities, commits any of the acts or omissions specified as the grounds for debarment in the **PPB** Rules.
- 48.2 Before the Commissioner shall exercise his/her right to declare the Contractor in default, the Commissioner shall give the Contractor an opportunity to be heard, upon not less than two (2) Days' notice.

ARTICLE 49. EXERCISE OF THE RIGHT TO DECLARE DEFAULT

- 49.1 The right to declare the **Contractor** in default for any of the grounds specified or referred to in Article 48 shall be exercised by sending the **Contractor** a notice, signed by the **Commissioner**, setting forth the ground or grounds upon which such default is declared (hereinafter referred to as a "Notice of Default").
- 49.2 The Commissioner's determination that the Contractor is in default shall be conclusive, final, and binding on the parties and such a finding shall preclude the Contractor from commencing a plenary action for any damages relating to the Contract. If the Contractor protests the determination of the Commissioner, the Contractor may commence an action in a court of competent jurisdiction of the State of New York under Article 78 of the New York Civil Practice Law and Rules.

ARTICLE 50. QUITTING THE SITE

50.1 Upon receipt of such notice the Contractor shall immediately discontinue all further operations under this Contract and shall immediately quit the Site, leaving untouched all plant, materials, equipment, tools, and supplies then on the Site.

ARTICLE 51. COMPLETION OF THE WORK

- 51.1 The Commissioner, after declaring the Contractor in default, may then have the Work completed by such means and in such manner, by contract with or without public letting, or otherwise, as he/she may deem advisable, utilizing for such purpose such of the Contractor's plant, materials, equipment, tools, and supplies remaining on the Site, and also such Subcontractors, as he/she may deem advisable.
- 51.2 After such completion, the **Commissioner** shall make a certificate stating the expense incurred in such completion, which shall include the cost of re-letting and also the total amount of liquidated damages (at the rate provided for in the **Contract**) from the date when the **Work** should have been completed by the **Contractor** in accordance with the terms hereof to the date of actual completion of the **Work**. Such certificate shall be binding and conclusive upon the **Contractor**, its sureties, and any person claiming under the **Contractor**, as to the amount thereof.
- 51.3 The expense of such completion, including any and all related and incidental costs, as so certified by the Commissioner, and any liquidated damages assessed against the Contractor, shall be charged against and deducted out of monies which are earned by the Contractor prior to the date of default. Should the expense of such completion, as certified by the Commissioner, exceed the total sum which would have been payable under the Contract if it had been completed by the Contractor, any excess shall be paid by the Contractor.

ARTICLE 52. PARTIAL DEFAULT

52.1 In case the Commissioner shall declare the Contractor in default as to a part of the Work only, the Contractor shall discontinue such part, shall continue performing the remainder of the Work in strict conformity with the terms of this Contract, and shall in no way hinder or interfere with any Other Contractor(s) or persons whom the Commissioner may engage to complete the Work as to which the Contractor was declared in default.

52.2 The provisions of this Chapter relating to declaring the **Contractor** in default as to the entire **Work** shall be equally applicable to a declaration of partial default, except that the **Commissioner** shall be entitled to utilize for completion of the part of the **Work** as to which the **Contractor** was declared in default only such plant, materials, equipment, tools, and supplies as had been previously used by the **Contractor** on such part.

ARTICLE 53. PERFORMANCE OF UNCOMPLETED WORK

53.1 In completing the whole or any part of the Work under the provisions of this Chapter X, the Commissioner shall have the power to depart from or change or vary the terms and provisions of this Contract, provided, however, that such departure, change or variation is made for the purpose of reducing the time or expense of such completion. Such departure, change or variation, even to the extent of accepting a lesser or different performance, shall not affect the conclusiveness of the Commissioner's certificate of the cost of completion referred to in Article 51, nor shall it constitute a defense to an action to recover the amount by which such certificate exceeds the amount which would have been payable to the Contractor hereunder but for its default.

ARTICLE 54. OTHER REMEDIES

- 54.1 In addition to the right to declare the Contractor in default pursuant to this Chapter X, the Commissioner shall have the absolute right, in his/her sole discretion and without a hearing, to complete or cause to be completed in the same manner as described in Articles 51 and 53, any or all unsatisfactory or uncompleted punch list Work that remains after the completion date specified in the Final Approved Punch List. A written notice of the exercise of this right shall be sent to the Contractor who shall immediately quit the Site in accordance with the provisions of Article 50.
- 54.2 The expense of completion permitted under Article 54.1, including any and all related and incidental costs, as so certified by the **Commissioner**, shall be charged against and deducted out of monies which have been earned by the **Contractor** prior to the date of the exercise of the right set forth in Article 54.1; the balance of such monies, if any, subject to the other provisions of this **Contract**, to be paid to the **Contractor** without interest after such completion. Should the expense of such completion, as certified by the **Commissioner**, exceed the total sum which would have been payable under the **Contract** if it had been completed by the **Contractor**, any excess shall be paid by the **Contractor**.
- 54.3 The previous provisions of this Chapter X shall be in addition to any and all other remedies available under Law or in equity.
- 54.4 The exercise by the City of any remedy set forth herein shall not be deemed a waiver by the City of any other legal or equitable remedy contained in this Contract or provided under Law.

CHAPTER XI: MISCELLANEOUS PROVISIONS

ARTICLE 55. CONTRACTOR'S WARRANTIES

55.1 In consideration of, and to induce, the award of this Contract to the Contractor, the Contractor represents and warrants:

- 55.1.1 That it is financially solvent, sufficiently experienced and competent to perform the Work; and
- 55.1.2 That the facts stated in its bid and the information given by it pursuant to the Information for Bidders is true and correct in all respects; and
- 55.1.3 That it has read and complied with all requirements set forth in the Contract.

ARTICLE 56. CLAIMS AND ACTIONS THEREON

- 56.1 Any claim, that is not subject to dispute resolution under the **PPB** Rules or this **Contract**, against the **City** for damages for breach of **Contract** shall not be made or asserted in any action, unless the **Contractor** shall have strictly complied with all requirements relating to the giving of notice and of information with respect to such claims, as herein before provided.
- 56.2 Nor shall any action be instituted or maintained on any such claims unless such action is commenced within six (6) months after **Substantial Completion**; except that:
 - 56.2.1 Any claims arising out of events occurring after Substantial Completion and before Final Acceptance of the Work shall be asserted within six (6) months of Final Acceptance of the Work;
 - 56.2.2 If the Commissioner exercises his/her right to complete or cause to complete any or all unsatisfactory or uncompleted punch list Work that remains after the completion date specified in the Final Approved Punch List pursuant to Article 54, any such action shall be commenced within six (6) months from the date the Commissioner notifies the Contractor in writing that he/she has exercised such right. Any claims for monies deducted, retained or withheld under the provisions of this Contract shall be asserted within six (6) months after the date when such monies otherwise become due and payable hereunder; and
 - 56.2.3 If the Commissioner exercises his/her right to terminate the Contract pursuant to Article 64, any such action shall be commenced within six (6) months of the date the Commissioner exercises said right.

ARTICLE 57. INFRINGEMENT

57.1 The Contractor shall be solely responsible for and shall defend, indemnify, and hold the City harmless from any and all claims (even if the allegations of the lawsuit are without merit) and judgments for damages and from costs and expenses to which the City may be subject to or which it may suffer or incur allegedly arising out of or in connection with any infringement by the Contractor of any copyright, trade secrets, trademark or patent rights or any other property or personal right of any third party by the Contractor and/or its Subcontractors in the performance or completion of the Work. Insofar as the facts or Law relating to any claim would preclude the City from being completely indemnified by the Contractor, the City shall be partially indemnified by the Contractor to the fullest extent permitted by Law.

ARTICLE 58. NO CLAIM AGAINST OFFICIALS, AGENTS OR EMPLOYEES

58.1 No claim whatsoever shall be made by the **Contractor** against any official, agent or employee of the **City** for, or on account of, anything done or omitted to be done in connection with this **Contract**.

ARTICLE 59. SERVICE OF NOTICES

- 59.1 The Contractor hereby designates the business address, fax number, and email address specified in its bid, as the place where all notices, directions or other communications to the Contractor may be delivered, or to which they may be mailed. Any notice, direction, or communication from either party to the other shall be in writing and shall be deemed to have been given when (i) delivered personally; (ii) sent by certified mail, return receipt requested; (iii) delivered by overnight or same day courier service in a properly addressed envelope with confirmation; or (iv) sent by fax or email and, unless receipt of the fax or e-mail is acknowledged by the recipient by fax or e-mail, deposited in a post office box regularly maintained by the United States Postal Service in a properly addressed, postage prepaid envelope.
- 59.2 Contractor's notice address, email address, or fax number may be changed at any time by an instrument in writing, executed and acknowledged by the Contractor, and delivered to the Commissioner.
- 59.3 Nothing herein contained shall, however, be deemed to preclude or render inoperative the service of any notice, direction or other communication upon the **Contractor** personally, or, if the **Contractor** is a corporation, upon any officer thereof.

ARTICLE 60. UNLAWFUL PROVISIONS DEEMED STRICKEN FROM CONTRACT

60.1 If this **Contract** contains any unlawful provision not an essential part of the **Contract** and which shall not appear to have been a controlling or material inducement to the making thereof, the same shall be deemed of no effect and shall, upon notice by either party, be deemed stricken from the **Contract** without affecting the binding force of the remainder.

ARTICLE 61. ALL LEGAL PROVISIONS DEEMED INCLUDED

61.1 It is the intent and understanding of the parties to this **Contract** that each and every provision of **Law** required to be inserted in this **Contract** shall be and is inserted herein. Furthermore, it is hereby stipulated that every such provision is to be deemed to be inserted herein, and if, through mistake or otherwise, any such provision is not inserted, or is not inserted in correct form, then this **Contract** shall forthwith upon the application of either party be amended by such insertion so as to comply strictly with the **Law** and without prejudice to the rights of either party hereunder.

ARTICLE 62. TAX EXEMPTION

62.1 The City is exempt from payment of Federal, State, and local taxes, including sales and compensating use taxes of the State of New York and its cities and counties on all tangible personal property sold to the City pursuant to the provisions of this Contract. These taxes are not to be included in bids. However, this exemption does not apply to tools, machinery, equipment or other property leased by or to the Contractor, Subcontractor or Materialman or to tangible personal property which, even

though it is consumed, is not incorporated into the completed Work (consumable supplies) and tangible personal property that the Contractor is required to remove from the Site during or upon completion of the Work. The Contractor and its Subcontractors and Materialmen shall be responsible for and pay any and all applicable taxes, including sales and compensating use taxes, on such leased tools, machinery, equipment or other property and upon all such consumable supplies and tangible personal property that the Contractor is required to remove from the Site during or upon completion of the Work.

- 62.2 The Contractor agrees to sell and the City agrees to purchase all tangible personal property, other than consumable supplies and other tangible personal property that the Contractor is required to remove from the Site during or upon completion of the Work, that is required, necessary or proper for or incidental to the construction of the Project covered by this Contract. The sum paid under this Contract for such tangible personal property shall be in full payment and consideration for the sale of such tangible personal property.
 - 62.2.1 The Contractor agrees to construct the Project and to perform all Work, labor and services rendered, necessary, proper or incidental thereto for the sum shown in the bid for the performance of such Work, labor, and services, and the sum so paid pursuant to this Contract for such Work, labor, and services, shall be in full consideration for the performance by the Contractor of all its duties and obligations under this Contract in connection with said Work, labor, and services.
- 62.3 20 NYCRR Section 541.3(d) provides that a **Contractor**'s purchases of tangible personal property that is either incorporated into real property owned by a governmental entity or purchased for and sold to a governmental entity are exempt from sales and use tax. The **City** shall not pay sales tax for any such tangible personal property that it purchases from the **Contractor** pursuant to the **Contract**. With respect to such tangible personal property, the **Contractor**, at the request of the **City**, shall furnish to the **City** such bills of sale and other instruments as may be required by the **City**, properly executed, acknowledged and delivered assuring to the **City** title to such tangible personal property, free of liens and/or encumbrances, and the **Contractor** shall mark or otherwise identify all such tangible personal property as the property of the **City**.
- 62.4 Title to all tangible personal property to be sold by the **Contractor** to the **City** pursuant to the provisions of the **Contract** shall immediately vest in and become the sole property of the **City** upon delivery of such tangible personal property to the **Site**. Notwithstanding such transfer of title, the **Contractor** shall have the full and continuing responsibility to install such tangible personal property in accordance with the provisions of this **Contract**, protect it, maintain it in a proper condition and forthwith repair, replace and make good any damage thereto, theft or disappearance thereof, and furnish additional tangible personal property in place of any that may be lost, stolen or rendered unusable, without cost to the **City**, until such time as the **Work** covered by the **Contract** is fully accepted by the **City**. Such transfer of title shall in no way affect any of the **Contractor**'s obligations hereunder. In the event that, after title has passed to the **City**, any of the tangible personal property is rejected as being defective or otherwise unsatisfactory, title to all such tangible personal property shall be deemed to have been transferred back to the **Contractor**.
- 62.5 The purchase by **Subcontractors** or **Materialmen** of tangible personal property to be sold hereunder shall be a purchase or procurement for resale to the **Contractor** (either directly or through other **Subcontractors**) and therefore not subject to the aforesaid sales and compensating use taxes, provided that the subcontracts and purchase agreements provide for the resale of such tangible personal property and that such subcontracts and purchase agreements are in a form similar to this **Contract** with respect to the separation of the sale of consumable supplies and tangible personal property that the

Contractor is required to remove from the Site during or upon completion of the Work from the Work and labor, services, and any other matters to be provided, and provided further that the subcontracts and purchase agreements provide separate prices for tangible personal property and all other services and matters. Such separation shall actually be followed in practice, including the separation of payments for tangible personal property from the payments for other Work and labor and other things to be provided.

- 62.6 The Contractor and its Subcontractors and Materialmen shall furnish a Contractor Exempt Purchase Certificate to all persons, firms or corporations from which they purchase tangible personal property for the performance of the Work covered by this Contract.
- 62.7 In the event any of the provisions of this Article 62 shall be deemed to be in conflict with any other provisions of this **Contract** or create any ambiguity, then the provisions of this Article 62 shall control.

ARTICLE 63. INVESTIGATION(S) CLAUSE

- 63.1 The parties to this **Contract** agree to cooperate fully and faithfully with any investigation, audit or inquiry conducted by a United States, a State of New York (State) or a **City** governmental agency or authority that is empowered directly or by designation to compel the attendance of witnesses and to examine witnesses under oath, or conducted by the Inspector General of a governmental agency that is a party in interest to the transaction, submitted bid, submitted proposal, contract, lease, permit or license that is the subject of the investigation, audit or inquiry.
- 63.2 If any person who has been advised that his/her statement, and any information from such statement, will not be used against him/her in any subsequent criminal proceeding refuses to testify before a grand jury or other governmental agency or authority empowered directly or by designation to compel the attendance of witnesses and to examine witnesses under oath concerning the award of or performance under any transaction, agreement, lease, permit, contract, or license entered into with the City, the State, or any political subdivision or public authority thereof, or the Port Authority of New York and New Jersey, or any local development corporation within the City, or any public benefit corporation organized under the Laws of the State of New York, or;
- 63.3 If any person refuses to testify for a reason other than the assertion of his/her privilege against self incrimination in an investigation, audit or inquiry conducted by a **City** or State governmental agency or authority empowered directly or by designation to compel the attendance of witnesses and to take testimony under oath, or by the Inspector General of the governmental agency that is a party in interest in, and is seeking testimony concerning the award of, or performance under any transaction, agreement, lease, permit, contract, or license entered into with the **City**, the State, or any political subdivision thereof or any local development corporation within the **City**, then;
- 63.4 The **Commissioner** whose **Agency** is a party in interest to the transaction, submitted bid, submitted proposal, contract, lease, permit, or license shall convene a hearing, upon not less than five (5) **Days**' written notice to the parties involved to determine if any penalties should attach for the failure of a person to testify.
- 63.5 If any non-governmental party to the hearing requests an adjournment, the **Commissioner** who convened the hearing may, upon granting the adjournment, suspend any contract, lease, permit, or license, pending the final determination pursuant to Article 63.7 without the **City** incurring any penalty or damages for delay or otherwise.

- 63.6 The penalties which may attach after a final determination by the **Commissioner** may include but shall not exceed:
 - 63.6.1 The disqualification for a period not to exceed five (5) years from the date of an adverse determination for any person, or any entity of which such person was a member at the time the testimony was sought, from submitting bids for, or transacting business with, or entering into or obtaining any contract, lease, permit or license with or from the City; and/or
 - 63.6.2 The cancellation or termination of any and all such existing City contracts, leases, permits or licenses that the refusal to testify concerns and that have not been assigned as permitted under this Contract, nor the proceeds of which pledged, to an unaffiliated and unrelated institutional lender for fair value prior to the issuance of the notice scheduling the hearing, without the City incurring any penalty or damages on account of such cancellation or termination; monies lawfully due for goods delivered, work done, rentals, or fees accrued prior to the cancellation or termination shall be paid by the City.
- 63.7 The **Commissioner** shall consider and address in reaching his/her determination and in assessing an appropriate penalty the factors in Articles 63.7.1 and 63.7.2. The **Commissioner** may also consider, if relevant and appropriate, the criteria established in Articles 63.7.3 and 63.7.4, in addition to any other information which may be relevant and appropriate:
 - 63.7.1 The party's good faith endeavors or lack thereof to cooperate fully and faithfully with any governmental investigation or audit, including but not limited to the discipline, discharge, or disassociation of any person failing to testify, the production of accurate and complete books and records, and the forthcoming testimony of all other members, agents, assignees or fiduciaries whose testimony is sought.
 - 63.7.2 The relationship of the person who refused to testify to any entity that is a party to the hearing, including but not limited to, whether the person whose testimony is sought has an ownership interest in the entity and/or the degree of authority and responsibility the person has within the entity.
 - 63.7.3 The nexus of the testimony sought to the subject entity and its contracts, leases, permits or licenses with the City.
 - 63.7.4 The effect a penalty may have on an unaffiliated and unrelated party or entity that has a significant interest in an entity subject to penalties under Article 63.6, provided that the party or entity has given actual notice to the **Commissioner** upon the acquisition of the interest, or at the hearing called for in Article 63.4, gives notice and proves that such interest was previously acquired. Under either circumstance the party or entity shall present evidence at the hearing demonstrating the potential adverse impact a penalty will have on such person or entity.

63.8 Definitions:

- 63.8.1 The term "license" or "permit" as used in this Article 63 shall be defined as a license, permit, franchise or concession not granted as a matter of right.
- 63.8.2 The term "person" as used in this Article 63 shall be defined as any natural person doing business alone or associated with another person or entity as a partner, director, officer, principal or employee.

- 63.8.3 The term "entity" as used in this Article 63 shall be defined as any firm, partnership, corporation, association, joint venture, or person that receives monies, benefits, licenses, leases, or permits from or through the City or otherwise transacts business with the City.
- 63.8.4 The term "member" as used in this Article 63 shall be defined as any person associated with another person or entity as a partner, director, officer, principal or employee.
- 63.9 In addition to and notwithstanding any other provision of this Contract, the Commissioner may in his/her sole discretion terminate this Contract upon not less than three (3) Days' written notice in the event the Contractor fails to promptly report in writing to the Commissioner of the Department of Investigations ("DOI") of the City any solicitation of money, goods, requests for future employment or other benefit or thing of value, by or on behalf of any employee of the City or other person, firm, corporation or entity for any purpose which may be related to the procurement or obtaining of this Contract by the Contractor, or affecting the performance of this Contract.

ARTICLE 64. TERMINATION BY THE CITY

- 64.1 In addition to termination pursuant to any other article of this Contract, the Commissioner may, at any time, terminate this Contract by written notice to the Contractor. In the event of termination, the Contractor shall, upon receipt of such notice, unless otherwise directed by the Commissioner:
 - 64.1.1 Stop Work on the date specified in the notice;
 - 64.1.2 Take such action as may be necessary for the protection and preservation of the City's materials and property;
 - 64.1.3 Cancel all cancelable orders for material and equipment;
 - 64.1.4 Assign to the City and deliver to the Site or another location designated by the Commissioner, any non-cancelable orders for material and equipment that is not capable of use except in the performance of this Contract and has been specifically fabricated for the sole purpose of this Contract and not incorporated in the Work;
 - 64.1.5 Take no action which will increase the amounts payable by the City under this Contract.
- 64.2 In the event of termination by the City pursuant to this Article 64, payment to the Contractor shall be in accordance with Articles 64.2.1, 64.2.2 or 64.2.3, to the extent that each respective article applies.
 - 64.2.1 Lump Sum Contracts or Items: On all lump sum Contracts, or on lump sum items in a Contract, the City will pay the Contractor the sum of the amounts described in Articles 64.2.1(a) and 64.2.1(b), less all payments previously made pursuant to this Contract. On lump sum Contracts only, the City will also pay the Contractor an additional sum as provided in Article 64.2.1(c).
 - 64.2.1(a) For Work completed prior to the notice of termination, the Contractor shall be paid a pro rata portion of the lump sum bid amount, plus approved change orders, based upon the percent completion of the Work, as determined by the

Commissioner. For the purpose of determining the pro rata portion of the lump sum bid amount to which the Contractor is entitled, the bid breakdown submitted in accordance with Article 41 shall be considered, but shall not be dispositive. The Commissioner's determination hereunder shall be final, binding, and conclusive.

64.2.1(b) For non-cancelable material and equipment that is not capable of use except in the performance of this **Contract** and has been specifically fabricated for the sole purpose of this **Contract**, but not yet incorporated in the **Work**, the **Contractor** shall be paid the lesser of the following, less salvage value:

64.2.1(b)(i) The Direct Cost, as defined in Article 64.2.4; or

64.2.1(b)(ii) The fair and reasonable value, if less than Direct Cost, of such material and equipment, plus necessary and reasonable delivery costs.

64.2.1(b)(iii) In addition, the **Contractor** shall be paid five (5%) percent of the amount described in Article 64.2.1(b)(i) or Article 64.2.1(b)(ii), whichever applies.

64.2.1(c) Except as otherwise provided in Article 64.2.1(d), on all lump sum Contracts, the Contractor shall be paid the percentage indicated below applied to the difference between the total lump sum bid amount and the total of all payments made prior to the notice of termination plus all payments allowed pursuant to Articles 64.2.1(a) and 64.2.1(b):

64.2.1(c)(i) Five (5%) percent of the first five million (\$5,000,000) dollars; and

64.2.1(c)(ii) Three (3%) percent of any amount between five million (\$5,000,000) dollars and fifteen million (\$15,000,000) dollars; plus

64.2.1(c)(iii) One (1%) percent of any amount over fifteen million (\$15,000,000) dollars.

64.2.1(d) In the event the City terminates a lump sum Contract pursuant to this Article 64 within ninety (90) Days after registration of the Contract with the Comptroller, the Contractor shall be paid one (1%) percent of the difference between the lump sum bid amount and the total of all payments made pursuant to this Article 64.2.

64.2.2 Unit Price Contracts or Items: On all unit price Contracts, or on unit price items in a Contract, the City will pay the Contractor the sum of the amounts described in Articles 64.2.2(a) and 64.2.2(b), less all payments previously made pursuant to this Contract:

64.2.2(a) For all completed units, the unit price stated in the Contract, and

64.2.2(b) For units that have been ordered but are only partially completed, the **Contractor** will be paid:

64.2.2(b)(i) A pro rata portion of the unit price stated in the Contract based upon the percent completion of the unit and

- 64.2.2(b)(ii) For non-cancelable material and equipment, payment will be made pursuant to Article 64.2.1(b).
- 64.2.3 Time and Materials Contracts or Items Based on Time and Material Records: On all **Contracts** or items in a **Contract** where payment for the **Work** is based on time and material records, the **Contractor** shall be paid in accordance with Article 26, less all payments previously made pursuant to this **Contract**.
- 64.2.4 Direct Costs: Direct Costs as used in this Article 64.2 shall mean:
 - 64.2.4(a) The actual purchase price of material and equipment, plus necessary and reasonable delivery costs,
 - 64.2.4(b) The actual cost of labor involved in construction and installation at the **Site**, and
 - 64.2.4(c) The actual cost of necessary bonds and insurance purchased pursuant to requirements of this **Contract** less any amounts that have been or should be refunded by the **Contractor**'s sureties or insurance carriers.
 - 64.2.4(d) Direct Costs shall not include overhead.
- 64.3 In no event shall any payments under this Article 64 exceed the Contract price for such items.
- 64.4 All payments pursuant to Article 64 shall be in the nature of liquidated damages and shall be accepted by the **Contractor** in full satisfaction of all claims against the **City**.
- 64.5 The City may deduct or set off against any sums due and payable pursuant to this Article 64, any deductions authorized by this Contract or by Law (including but not limited to liquidated damages) and any claims it may have against the Contractor. The City's exercise of the right to terminate the Contract pursuant to this Article 64 shall not impair or otherwise effect the City's right to assert any claims it may have against the Contractor in a plenary action.
- 64.6 Where the **Work** covered by the **Contract** has been substantially completed, as determined in writing by the **Commissioner**, termination of the **Work** shall be handled as an omission of **Work** pursuant to Articles 29 and 33, in which case a change order will be issued to reflect an appropriate reduction in the **Contract** sum, or if the amount is determined after final payment, such amount shall be paid by the **Contractor**.

ARTICLE 65. CHOICE OF LAW, CONSENT TO JURISDICTION AND VENUE

- 65.1 This **Contract** shall be deemed to be executed in the **City** regardless of the domicile of the **Contractor**, and shall be governed by and construed in accordance with the **Laws** of the State of New York and the **Laws** of the United States, where applicable.
- 65.2 The parties agree that any and all claims asserted against the City arising under this Contract or related thereto shall be heard and determined in the courts of the State of New York ("New York State Courts") located in the City and County of New York. To effect this Contract and intent, the Contractor agrees:

- 65.2.1 If the City initiates any action against the Contractor in Federal court or in a New York State Court, service of process may be made on the Contractor either in person, wherever such Contractor may be found, or by registered mail addressed to the Contractor at its address as set forth in this Contract, or to such other address as the Contractor may provide to the City in writing; and
- 65.2.2 With respect to any action between the **City** and the **Contractor** in a New York State Court, the **Contractor** hereby expressly waives and relinquishes any rights it might otherwise have:
 - 65.2.2(a) To move to dismiss on grounds of forum non conveniens;
 - 65.2.2(b) To remove to Federal Court; and
 - 65.2.2(c) To move for a change of venue to a New York State Court outside New York County.
- 65.2.3 With respect to any action brought by the City against the Contractor in a Federal Court located in the City, the Contractor expressly waives and relinquishes any right it might otherwise have to move to transfer the action to a Federal Court outside the City.
- 65.2.4 If the Contractor commences any action against the City in a court located other than in the City and County of New York, upon request of the City, the Contractor shall either consent to a transfer of the action to a New York State Court of competent jurisdiction located in the City and County of New York or, if the Court where the action is initially brought will not or cannot transfer the action, the Contractor shall consent to dismiss such action without prejudice and may thereafter reinstate the action in a New York State Court of competent jurisdiction in New York County.
- 65.3 If any provision(s) of this Article 65 is held unenforceable for any reason, each and all other provision(s) shall nevertheless remain in full force and effect.

ARTICLE 66. PARTICIPATION IN AN INTERNATIONAL BOYCOTT

- 66.1 The Contractor agrees that neither the Contractor nor any substantially owned affiliated company is participating or shall participate in an international boycott in violation of the provisions of the Federal Export Administration Act of 1979, as amended, or the regulations of the United States Department of Commerce (Commerce Department) promulgated thereunder.
- 66.2 Upon the final determination by the Commerce Department or any other agency of the United States as to, or conviction of the Contractor or a substantially-owned affiliated company thereof for participation in an international boycott in violation of the provisions of the Export Administration Act of 1979, as amended, or the regulations promulgated thereunder, the Comptroller may, at his/her option, render forfeit and void this Contract.
- 66.3 The Contractor shall comply in all respects, with the provisions of Section 6-114 of the Administrative Code and the rules and regulations issued by the Comptroller thereunder.

ARTICLE 67. LOCALLY BASED ENTERPRISE PROGRAM

- 67.1 This Contract is subject to the requirements of Section 6-108.1 of the Administrative Code and regulations promulgated thereunder. No construction contract shall be awarded unless and until these requirements have been complied with in their entirety; however, compliance with this Article 67 is not required if the Agency sets Subcontractor Participation Goals for Minority- and Women-Owned Business Enterprises (M/WBEs).
- 67.2 Unless specifically waived by the **Commissioner** with the approval of the Division of Economic and Financial Opportunity of the **City** Department of Business Services, if any portion of the **Contract** is subcontracted, not less than ten (10%) percent of the total dollar amount of the **Contract** shall be awarded to locally based enterprises (LBEs); except that where less than ten (10%) percent of the total dollar amount of the **Contract** is subcontracted, such lesser percentage shall be so awarded.
 - 67.3 The Contractor shall not require performance and payment bonds from LBE Subcontractors.
- 67.4 If the Contractor has indicated prior to award that no Work will be subcontracted, no Work shall be subcontracted without the prior approval of the Commissioner, which shall be granted only if the Contractor makes a good faith effort beginning at least six (6) weeks before the Work is to be performed to obtain LBE Subcontractors to perform the Work.
- 67.5 If the Contractor has not identified sufficient LBE Subcontractors prior to award, it shall sign a letter of compliance stating that it complies with Section 6-108.1 of the Administrative Code, recognizes that achieving the LBE requirement is a condition of its Contract, and shall submit documentation demonstrating its good faith efforts to obtain LBEs. After award, the Contractor shall begin to solicit LBE's to perform subcontracted Work at least six (6) weeks before the date such Work is to be performed and shall demonstrate that a good faith effort has been made to obtain LBEs on each subcontract until it meets the required percentage.
- 67.6 Failure of the **Contractor** to comply with the requirements of Section 6-108.1 of the Administrative Code and the regulations promulgated thereunder shall constitute a material breach of this **Contract**. Remedy for such breach may include the imposition of any or all of the following sanctions:
 - 67.6.1 Reducing the Contractor's compensation by an amount equal to the dollar value of the percentage of the LBE subcontracting requirement not complied with;
 - 67.6.2 Declaring the Contractor in default;
 - 67.6.3 If the **Contractor** is an LBE, de-certifying and declaring the **Contractor** ineligible to participate in the LBE program for a period of up to three (3) years.

ARTICLE 68. ANTITRUST

68.1 The Contractor hereby assigns, sells, and transfers to the City all right, title, and interest in and to any claims and causes of action arising under the antitrust Laws of New York State or of the United States relating to the particular goods or services purchased or procured by the City under this Contract.

ARTICLE 69. MacBRIDE PRINCIPLES PROVISIONS

- 69.1 Notice To All Prospective Contractors:
 - 69.1.1 Local Law No. 34 of 1991 became effective on September 10, 1991 and added Section 6-115.1 of the Administrative Code. The local **Law** provides for certain restrictions on **City Contracts** to express the opposition of the people of the **City** to employment discrimination practices in Northern Ireland to promote freedom of work-place opportunity.
 - 69.1.2 Pursuant to Section 6-115.1, prospective Contractors for Contracts to provide goods or services involving an expenditure of an amount greater than ten thousand (\$10,000.) dollars, or for construction involving an amount greater than fifteen thousand (\$15,000.) dollars, are asked to sign a rider in which they covenant and represent, as a material condition of their Contract, that any business operations in Northern Ireland conducted by the Contractor and any individual or legal entity in which the Contractor holds a ten (10%) percent or greater ownership interest in the Contractor will be conducted in accordance with the MacBride Principles of nondiscrimination in employment.
 - 69.1.3 Prospective Contractors are not required to agree to these conditions. However, in the case of Contracts let by competitive sealed bidding, whenever the lowest responsible bidder has not agreed to stipulate to the conditions set forth in this notice and another bidder who has agreed to stipulate to such conditions has submitted a bid within five (5%) percent of the lowest responsible bid for a Contract to supply goods, services or contraction of comparable quality, the Agency shall refer such bids to the Mayor, the Speaker or other officials, as appropriate, who may determine, in accordance with applicable Law, that it is in the best interest of the City that the Contract be awarded to other than the lowest responsible pursuant to Section 313(b)(2) of the City Charter.
 - 69.1.4 In the case of Contracts let by other than competitive sealed bidding, if a prospective Contractor does not agree to these conditions, no Agency, elected official or the City Council shall award the Contract to that bidder unless the Agency seeking to use the goods, services or construction certifies in writing that the Contract is necessary for the Agency to perform its functions and there is no other responsible Contractor who will supply goods, services or construction of comparable quality at a comparable price.
- 69.2 In accordance with Section 6-115.1 of the Administrative Code, the Contractor stipulates that such Contractor and any individual or legal entity in which the Contractor holds a ten (10%) percent or greater ownership interest in the Contractor either:
 - 69.2.1 Have no business operations in Northern Ireland, or
 - 69.2.2 Shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Principles, and shall permit independent monitoring of their compliance with such principles.
 - 69.3 For purposes of this Article, the following terms shall have the following meanings:
 - 69.3.1 "MacBride Principles" shall mean those principles relating to nondiscrimination in employment and freedom of work-place opportunity which require employers doing business in Northern Ireland to:

- 69.3.1(a) increase the representation of individuals from under-represented religious groups in the workforce, including managerial, supervisory, administrative, clerical and technical jobs;
- 69.3.1(b) take steps to promote adequate security for the protection of employees from under-represented religious groups both at the work-place and while traveling to and from **Work**;
- 69.3.1(c) ban provocative religious or political emblems from the workplace;
- 69.3.1(d) publicly advertise all job openings and make special recruitment efforts to attract applicants from under-represented religious groups;
- 69.3.1(e) establish layoff, recall, and termination procedures which do not in practice favor a particular religious group;
- 69.3.1(f) abolish all job reservations, apprenticeship restrictions and different employment criteria which discriminate on the basis of religion;
- 69.3.1(g) develop training programs that will prepare substantial numbers of current employees from under-represented religious groups for skilled jobs, including the expansion of existing programs and the creation of new programs to train, upgrade, and improve the skills of workers from under-represented religious groups;
- 69.3.1(h) establish procedures to asses, identify, and actively recruit employees from under-represented religious groups with potential for further advancement; and
- 69.3.1(i) appoint a senior management staff member to oversee affirmative action efforts and develop a timetable to ensure their full implementation.
- 69.4 The Contractor agrees that the covenants and representations in Article 69.2 are material conditions to this Contract. In the event the Agency receives information that the Contractor who made the stipulation required by this Article 69 is in violation thereof, the Agency shall review such information and give the Contractor an opportunity to respond. If the Agency finds that a violation has occurred, the Agency shall have the right to declare the Contractor in default in default and/or terminate this Contract for cause and procure supplies, services or Work from another source in the manner the Agency deems proper. In the event of such termination, the Contractor shall pay to the Agency, or the Agency in its sole discretion may withhold from any amounts otherwise payable to the Contractor, the difference between the Contract price for the uncompleted portion of this Contract and the cost to the Agency of completing performance of this Contract either itself or by engaging another Contractor or Contractors. In the case of a requirement Contract, the Contractor shall be liable for such difference in price for the entire amount of supplies required by the Agency for the uncompleted term of Contractor's Contract. In the case of a construction Contract, the Agency shall also have the right to hold the Contractor in partial or total default in accordance with the default provisions of this Contract, and/or may seek debarment or suspension of the Contractor. The rights and remedies of the Agency hereunder shall be in addition to, and not in lieu of, any rights and remedies the Agency has pursuant to this Contract or by operation of Law.

ARTICLE 70. ELECTRONIC FILING/NYC DEVELOPMENT HUB

70.1 The Contractor shall electronically file all alteration type-2 and alteration type-3 applications via the New York City Development Hub Web site, except applications for the following types of minor alterations: enlargements, curb cuts, legalizations, fire alarms, builders pavement plans, and jobs filed on Landmark Preservation Commission calendared properties. All such filings must be professionally certified. Information about electronic filing via the New York City Development Hub is available on the City Department of Buildings Web site at www.nyc.gov/buildings.

ARTICLE 71. PROHIBITION OF TROPICAL HARDWOODS

71.1 Tropical hardwoods, as defined in Section 165 of the New York State Finance Law (Finance Law), shall not be utilized in the performance of this **Contract** except as expressly permitted by Section 165 of the Finance Law.

ARTICLE 72. CONFLICTS OF INTEREST

72.1 Section 2604 of the City Charter and other related provisions of the City Charter, the Administrative Code, and the Penal Law are applicable under the terms of this Contract in relation to conflicts of interest and shall be extended to Subcontractors authorized to perform Work, labor and services pursuant to this Contract and further, it shall be the duty and responsibility of the Contractor to so inform its respective Subcontractors. Notice is hereby given that, under certain circumstances, penalties may be invoked against the donor as well as the recipient of any form of valuable gift.

ARTICLE 73. MERGER CLAUSE

73.1 The written **Contract** herein, contains all the terms and conditions agreed upon by the parties hereto, and no other agreement, oral or otherwise, regarding the subject matter of this **Contract** shall be deemed to exist or to bind any of the parties hereto, or to vary any of the terms contained herein.

ARTICLE 74. STATEMENT OF WORK

74.1 The Contractor shall furnish all labor and materials and perform all Work in strict accordance with the Specifications and Addenda thereto, numbered as shown in Schedule A.

ARTICLE 75. COMPENSATION TO BE PAID TO CONTRACTOR

75.1 The City will pay and the Contractor will accept in full consideration for the performance of the Contract, subject to additions and deductions as provided herein, the total sum shown in Schedule A, this said sum being the amount at which the Contract was awarded to the Contractor at a public letting thereof, based upon the Contractor's bid for the Contract.

ARTICLE 76. ELECTRONIC FUNDS TRANSFER

76.1 In accordance with Section 6-107.1 of the Administrative Code, the Contractor agrees to accept payments under this Contract from the City by electronic funds transfer (EFT). An EFT is any

transfer of funds, other than a transaction originated by check, draft or similar paper instrument, which is initiated through an electronic terminal, telephonic instrument or computer or magnetic tape so as to order, instruct or authorize a financial institution to debit or credit an account. Prior to the first payment made under this Contract, the Contractor shall designate one financial institution or other authorized payment agent and shall complete the attached "EFT Vendor Payment Enrollment Form" in order to provide the Commissioner of the City Department of Finance with information necessary for the Contractor to receive electronic funds transfer payments through a designated financial institution or authorized payment agent. The crediting of the amount of a payment to the appropriate account on the books of a financial institution or other authorized payment agent designated by the Contractor shall constitute full satisfaction by the City for the amount of the payment under this Contract. The account information supplied by the Contractor to facilitate the electronic funds transfer shall remain confidential to the fullest extent provided by Law.

76.2 The **Commissioner** may waive the application of the requirements of this Article 76 to payments on contracts entered into pursuant to Section 315 of the **City** Charter. In addition, the Commissioner of the Department of Finance and the Comptroller may jointly issue standards pursuant to which the **Agency** may waive the requirements of this Article 76 for payments in the following circumstances: (i) for individuals or classes of individuals for whom compliance imposes a hardship; (ii) for classifications or types of checks; or (iii) in other circumstances as may be necessary in the interest of the **City**.

ARTICLE 77. RECORDS RETENTION

77.1 The Contractor agrees to retain all books, records, and other documents relevant to this Contract for six years after the final payment or termination of this Contract, whichever is later. City, state, and federal auditors and any other persons duly authorized by the City shall have full access to and the right to examine any such books, records, and other documents during the retention period.

ARTICLE 78. EXAMINATION AND VIEWING OF SITE, CONSIDERATION OF OTHER SOURCES OF INFORMATION AND CHANGED SITE CONDITIONS

78.1 Pre-Bidding (Investigation) Viewing of Site – Bidders must carefully view and examine the Site of the proposed Work, as well as its adjacent area, and seek other usual sources of information, for they will be conclusively presumed to have full knowledge of any and all conditions and hazards on, about or above the Site relating to or affecting in any way the performance of the Work to be done under the Contract that were or should have been known by a reasonably prudent bidder. To arrange a date for visiting the Site, bidders are to contact the Agency contact person specified in the bid documents.

78.2 Should the **Contractor** encounter during the progress of the Work site conditions or environmental hazards at the **Site** materially differing from any shown on the **Contract Drawings** or indicated in the **Specifications** or such conditions or environmental hazards as could not reasonably have been anticipated by the **Contractor**, which conditions or hazards will materially affect the cost of the **Work** to be done under the **Contract**, the attention of the **Commissioner** must be called immediately to such conditions or hazards before they are disturbed. The **Commissioner** shall thereupon promptly investigate the conditions or hazards. If the **Commissioner** finds that they do so materially differ, and that they could not have been reasonably anticipated by the **Contractor**, the **Contract** may be modified with the **Commissioner**'s written approval.

ARTICLE 79. PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT

NOTICE TO ALL PROSPECTIVE CONTRACTORS

ARTICLE I. M/WBE PROGRAM

Local Law No. 129 of 2005 added and Local Law 1 of 2013 amended Section 6-129 of the Administrative Code of the City of New York (hereinafter "Section 6-129"). Section 6-129 establishes the program for participation in City procurement ("M/WBE Program") by minority- owned business enterprises ("MBEs") and women-owned business enterprises ("WBEs"), certified in accordance with Section 1304 of the New York City Charter. As stated in Section 6-129, the intent of the program is to address the impact of discrimination on the City's procurement process, and to promote the public interest in avoiding fraud and favoritism in the procurement process, increasing competition for City business, and lowering contract costs. The contract provisions contained herein are pursuant to Section 6-129, and the rules of the Department of Small Business Services ("DSBS") promulgated thereunder.

If this Contract is subject to the M/WBE Program established by Section 6-129, the specific requirements of MBE and/or WBE participation for this Contract are set forth in Schedule B of the Contract (entitled the "M/WBE Utilization Plan"), and are detailed below. The Contractor must comply with all applicable MBE and WBE requirements for this Contract.

All provisions of Section 6-129 are hereby incorporated in the Contract by reference and all terms used herein that are not defined herein shall have the meanings given such terms in Section 6-129. Article I, Part A, below, sets forth provisions related to the participation goals for construction, standard and professional services contracts. Article I, Part B, below, sets forth miscellaneous provisions related to the M/WBE Program.

PART A

<u>PARTICIPATION GOALS FOR CONSTRUCTION, STANDARD</u> AND PROFESSIONAL SERVICES CONTRACTS OR TASK ORDERS

1. The MBE and/or WBE Participation Goals established for this Contract or Task Orders issued pursuant to this Contract, ("Participation Goals"), as applicable, are set forth on Schedule B, Part I to this Contract (see Page 1, line 1 Total Participation Goals) or will be set forth on Schedule B, Part I to Task Orders issued pursuant to this Contract, as applicable.

The Participation Goals represent a percentage of the total dollar value of the Contract or Task Order, as applicable, that may be achieved by awarding subcontracts to firms certified with New York City Department of Small Business Services as MBEs and/or WBEs, and/or by crediting the participation of prime contractors and/or qualified joint ventures as provided in Section 3 below, unless the goals have been waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

- 2. If **Participation Goals** have been established for this Contract or Task Orders issued pursuant to this Contract, Contractor agrees or shall agree as a material term of the Contract that Contractor shall be subject to the **Participation Goals**, unless the goals are waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.
- 3. If Participation Goals have been established for this Contract or Task Order issued pursuant to this Contract, a Contractor that is an MBE and/or WBE shall be permitted to count its own participation toward fulfillment of the relevant Participation Goal, provided that in accordance with Section 6-129 the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that the Contractor pays to direct subcontractors (as defined in Section 6-129(c)(13)), and provided further that a Contractor that is certified as both an MBE and a WBE may count its own participation either toward the goal for MBEs or the goal for WBEs, but not both.

A Contractor that is a qualified joint venture (as defined in Section 6-129(c)(30)) shall be permitted to count a percentage of its own participation toward fulfillment of the relevant **Participation Goal**. In accordance with Section 6-129, the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that Contractor pays to direct subcontractors, and then multiplying the remainder by the percentage to be applied to total profit to determine the amount to which an MBE or WBE is entitled pursuant to the joint venture agreement, provided that where a participant in a joint venture is certified as both an MBE and a WBE, such amount shall be counted either toward the goal for MBEs or the goal for WBEs, but not both.

- 4. A. If **Participation Goals** have been established for this Contract, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Utilization Plan, Part II (see Pages 2-4) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; and (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end. In the event that this M/WBE Utilization Plan indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals**, the bid or proposal, as applicable, shall be deemed non-responsive, unless Agency has granted the bidder or proposer, as applicable, a pre-award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.
- B. (i) If this Contract is for a master services agreement or other requirements type contract that will result in the issuance of Task Orders that will be individually registered ("Master Services Agreement") and is subject to M/WBE Participation Goals, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Participation Requirements for Master Services Agreements That Will Require Individually Registered Task Orders, Part II (page 2) indicating the prospective contractor's certification and required affirmations to make all reasonable good faith efforts to meet participation goals established on each individual Task Order issued pursuant to this Contract, or if a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms. In the event that the Schedule B indicates that the bidder or proposer, as applicable, does not intend to meet the Participation Goals that may be established on Task Orders issued pursuant to this Contract, the bid or proposal, as applicable, shall be deemed nonresponsive.

- (ii) Participation Goals on a Master Services Agreement will be established for individual Task Orders issued after the Master Services Agreement is awarded. If Participation Goals have been established on a Task Order, a contractor shall be required to submit a Schedule B M/WBE Utilization Plan For Independently Registered Task Orders That Are Issued Pursuant to Master Services Agreements, Part II (see Pages 2-4) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; and (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end. The contractor must engage in good faith efforts to meet the Participation Goals as established for the Task Order unless Agency has granted the contractor a pre-award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.
- C. THE BIDDER/PROPOSER MUST COMPLETE THE SCHEDULE B INCLUDED HEREIN (SCHEDULE B, PART II). SCHEDULE B SUBMITTED BY THE A BIDDER/PROPOSER WHICH DOES NOT INCLUDE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS (SEE SECTION V OF PART II) WILL BE DEEMED TO BE NON-RESPONSIVE, UNLESS A FULL WAIVER OF THE PARTICIPATION GOALS IS GRANTED (SCHEDULE B, PART III). IN THE EVENT THAT THE CITY DETERMINES THAT THE BIDDER/PROPOSER HAS SUBMITTED A SCHEDULE B WHERE THE CERTIFICATION AND REQUIRED AFFIRMATIONS ARE COMPLETED BUT OTHER ASPECTS OF THE SCHEDULE B ARE NOT COMPLETE, OR CONTAIN A COPY OR COMPUTATION ERROR THAT IS AT ODDS WITH THE VENDOR CERTIFICATION AND AFFIRMATIONS, THE BIDDER/PROPOSER WILL BE NOTIFIED BY THE AGENCY AND WILL BE GIVEN FOUR (4) CALENDAR DAYS FROM RECEIPT OF NOTIFICATION TO CURE THE SPECIFIED DEFICIENCIES AND RETURN A COMPLETED SCHEDULE B TO THE AGENCY. FAILURE TO DO SO WILL RESULT IN A DETERMINATION THAT THE BID/PROPOSAL IS NON-RESPONSIVE. RECEIPT OF NOTIFICATION IS DEFINED AS THE DATE NOTICE IS E-MAILED OR FAXED (IF THE BIDDER/PROPOSER HAS PROVIDED AN E-MAIL ADDRESS OR FAX NUMBER), OR NO LATER THAN FIVE (5) CALENDAR DAYS FROM THE DATE OF MAILING OR UPON DELIVERY, IF DELIVERED.
- 5. Where an M/WBE Utilization Plan has been submitted, the Contractor shall, within 30 days of issuance by Agency of a notice to proceed, submit a list of proposed persons or entities to which it intends to award subcontracts within the subsequent 12 months. In the case of multiyear contracts, such list shall also be submitted every year thereafter. The Agency may also require the Contractor to report periodically about the contracts awarded by its direct subcontractors to indirect subcontractors (as defined in Section 6-129(c)(22)). PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor must identify all those to which it intends to award construction subcontracts for any portion of the Wicks trade work at the time of bid submission, regardless of what point in the life of the contract such subcontracts will occur. In identifying intended subcontractors in the bid submission, bidders may satisfy any Participation Goals established for this Contract by proposing one or more subcontractors that are MBEs and/or WBEs for any portion of the Wicks trade work. In the event that the Contractor's selection of a subcontractor is disapproved, the Contractor shall have a reasonable time to propose alternate subcontractors.
- 6. MBE and WBE firms must be certified by DSBS in order for the Contractor to credit such firms' participation toward the attainment of the **Participation Goals**. Such certification must occur prior to the

firms' commencement of work. A list of MBE and WBE firms may be obtained from the DSBS website at www.nyc.gov/buycertified, by emailing DSBS at buyer@sbs.nyc.gov, by calling (212) 513-6356, or by visiting or writing DSBS at 110 William St., New York, New York, 10038, 7th floor. Eligible firms that have not yet been certified may contact DSBS in order to seek certification by visiting www.nyc.gov/getcertified, emailing MWBE@sbs.nyc.gov, or calling the DSBS certification helpline at (212) 513-6311. A firm that is certified as both an MBE and a WBE may be counted either toward the goal for MBEs or the goal for WBEs, but not both. No credit shall be given for participation by a graduate MBE or graduate WBE, as defined in Section 6-129(c)(20).

- Where an M/WBE Utilization Plan has been submitted, the Contractor shall, with each voucher for payment, and/or periodically as Agency may require, submit statements, certified under penalty of perjury, which shall include, but not be limited to,: the total amount the Contractor paid to its direct subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount direct subcontractors paid to indirect subcontractors; the names, addresses and contact numbers of each MBE or WBE hired as a subcontractor by the Contractor, and, where applicable, hired by any of the Contractor's direct subcontractors; and the dates and amounts paid to each MBE or WBE. The Contractor shall also submit, along with its voucher for final payment: the total amount it paid to subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount its direct subcontractors paid directly to their indirect subcontractors; and a final list, certified under penalty of perjury, which shall include the name, address and contact information of each subcontractor that is an MBE or WBE, the work performed by, and the dates and amounts paid to each.
- 8. If payments made to, or work performed by, MBEs or WBEs are less than the amount specified in the Contractor's M/WBE Utilization Plan, Agency shall take appropriate action, in accordance with Section 6-129 and Article II below, unless the Contractor has obtained a modification of its M/WBE Utilization Plan in accordance with Section 6-129 and Part A, Section 11 below.
- 9. Where an M/WBE Utilization Plan has been submitted, and the Contractor requests a change order the value of which exceeds the greater of 10 percent of the Contract or Task Order, as applicable, or \$500,000, Agency shall review the scope of work for the Contract or Task Order, as applicable, and the scale and types of work involved in the change order, and determine whether the Participation Goals should be modified.
- 10. Pre-award waiver of the **Participation Goals**. (a) A bidder or proposer, or contractor with respect to a Task Order, may seek a pre-award full or partial waiver of the **Participation Goals** in accordance with Section 6-129, which requests that Agency change one or more **Participation Goals** on the grounds that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, or by demonstrating that it has legitimate business reasons for proposing a lower level of subcontracting in its M/WBE Utilization Plan.
- (b) To apply for a full or partial waiver of the **Participation Goals**, a bidder, proposer, or contractor, as applicable, must complete Part III (Page 5) of Schedule B and submit such request no later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due, in writing to the Agency by email at poped@ddc.nyc.gov or via facsimile at (718) 391-1886. Bidders, proposers, or contractors, as applicable, who have submitted requests will receive an Agency response by no later than two (2) calendar days prior to the due date for bids, proposals, or Task Orders; provided, however, that if that date would fall on a weekend or holiday, an Agency response will be provided by close-of-business on the business day before such weekend or holiday date.
- (c) If the Agency determines that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, it shall revise the solicitation and extend the deadline for bids and proposals, or revise the Task Order, as applicable.

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- (d) Agency may grant a full or partial waiver of the Participation Goals to a bidder, proposer or contractor, as applicable, who demonstrates—before submission of the bid, proposal or Task Order, as applicable—that it has legitimate business reasons for proposing the level of subcontracting in its M/WBE Utilization Plan. In making its determination, Agency shall consider factors that shall include, but not be limited to, whether the bidder, proposer or contractor, as applicable, has the capacity and the bona fide intention to perform the Contract without any subcontracting, or to perform the Contract without awarding the amount of subcontracts represented by the Participation Goals. In making such determination, Agency may consider whether the M/WBE Utilization Plan is consistent with past subcontracting practices of the bidder, proposer or contractor, as applicable, whether the bidder, proposer or contractor, as applicable, has made efforts to form a joint venture with a certified firm, and whether the bidder, proposer, or contractor, as applicable, has made good faith efforts to identify other portions of the Contract that it intends to subcontract.
- 11. Modification of M/WBE Utilization Plan. (a) A Contractor may request a modification of its M/WBE Utilization Plan after award of this Contract. PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor may request a Modification of its M/WBE Utilization Plan as part of its bid submission. The Agency may grant a request for Modification of a Contractor's M/WBE Utilization Plan if it determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts to meet the Participation Goals. In making such determination, Agency shall consider evidence of the following efforts, as applicable, along with any other relevant factors:
- (i) The Contractor advertised opportunities to participate in the Contract, where appropriate, in general circulation media, trade and professional association publications and small business media, and publications of minority and women's business organizations;
- (ii) The Contractor provided notice of specific opportunities to participate in the Contract, in a timely manner, to minority and women's business organizations;
- (iii) The Contractor sent written notices, by certified mail or facsimile, in a timely manner, to advise MBEs or WBEs that their interest in the Contract was solicited;
- (iv) The Contractor made efforts to identify portions of the work that could be substituted for portions originally designated for participation by MBEs and/or WBEs in the M/WBE Utilization Plan, and for which the Contractor claims an inability to retain MBEs or WBEs;
- (v) The Contractor held meetings with MBEs and/or WBEs prior to the date their bids or proposals were due, for the purpose of explaining in detail the scope and requirements of the work for which their bids or proposals were solicited;
- (vi) The Contractor made efforts to negotiate with MBEs and/or WBEs as relevant to perform specific subcontracts, or act as suppliers or service providers;
- (vii) Timely written requests for assistance made by the Contractor to Agency's M/WBE liaison officer and to DSBS;
- (viii) Description of how recommendations made by DSBS and Agency were acted upon and an explanation of why action upon such recommendations did not lead to the desired level of participation of MBEs and/or WBEs.

Agency's M/WBE officer shall provide written notice to the Contractor of the determination.

(b) The Agency may modify the **Participation Goals** when the scope of the work has been changed by the Agency in a manner that affects the scale and types of work that the Contractor indicated in its **M/WBE** Utilization Plan would be awarded to subcontractors.

- 12. If this Contract is for an indefinite quantity of construction, standard or professional services or is a requirements type contract and the Contractor has submitted an M/WBE Utilization Plan and has committed to subcontract work to MBEs and/or WBEs in order to meet the Participation Goals, the Contractor will not be deemed in violation of the M/WBE Program requirements for this Contract with regard to any work which was intended to be subcontracted to an MBE and/or WBE to the extent that the Agency has determined that such work is not needed.
- 13. If Participation Goals have been established for this Contract or a Task Order issued pursuant to this Contract, at least once annually during the term of the Contract or Task Order, as applicable, Agency shall review the Contractor's progress toward attainment of its M/WBE Utilization Plan, including but not limited to, by reviewing the percentage of work the Contractor has actually awarded to MBE and/or WBE subcontractors and the payments the Contractor made to such subcontractors.
- 14. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, Agency shall evaluate and assess the Contractor's performance in meeting those goals, and such evaluation and assessment shall become part of the Contractor's overall contract performance evaluation.

PART B: MISCELLANEOUS

- 1. The Contractor shall take notice that, if this solicitation requires the establishment of an M/WBE Utilization Plan, the resulting contract may be audited by DSBS to determine compliance with Section 6-129. See §6-129(e)(10). Furthermore, such resulting contract may also be examined by the City's Comptroller to assess compliance with the M/WBE Utilization Plan.
- 2. Pursuant to DSBS rules, construction contracts that include a requirement for an M/WBE Utilization Plan shall not be subject to the law governing Locally Based Enterprises set forth in Section 6-108.1 of the Administrative Code of the City of New York.
- 3. DSBS is available to assist contractors and potential contractors in determining the availability of MBEs and/or WBEs to participate as subcontractors, and in identifying opportunities that are appropriate for participation by MBEs and/or WBEs in contracts.
- 4. Prospective contractors are encouraged to enter into qualified joint venture agreements with MBEs and/or WBEs as defined by Section 6-129(c)(30).
- 5. By submitting a bid or proposal the Contractor hereby acknowledges its understanding of the M/WBE Program requirements set forth herein and the pertinent provisions of Section 6-129, and any rules promulgated thereunder, and if awarded this Contract, the Contractor hereby agrees to comply with the M/WBE Program requirements of this Contract and pertinent provisions of Section 6-129, and any rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract. The Contractor hereby agrees to make all reasonable, good faith efforts to solicit and obtain the participation of MBEs and/or WBEs to meet the required **Participation Goals**.

ARTICLE II. ENFORCEMENT

1. If Agency determines that a bidder or proposer, as applicable, has, in relation to this procurement, violated Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, Agency may disqualify such bidder or proposer, as applicable, from competing for this Contract and the Agency may revoke such bidder's or proposer's prequalification status, if applicable.

- 2. Whenever Agency believes that the Contractor or a subcontractor is not in compliance with Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to any M/WBE Utilization Plan, Agency shall send a written notice to the Contractor describing the alleged noncompliance and offering the Contractor an opportunity to be heard. Agency shall then conduct an investigation to determine whether such Contractor or subcontractor is in compliance.
- 3. In the event that the Contractor has been found to have violated Section 6-129, the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to, any M/WBE Utilization Plan, Agency may determine that one of the following actions should be taken:
- (a) entering into an agreement with the Contractor allowing the Contractor to cure the violation;
- (b) revoking the Contractor's pre-qualification to bid or make proposals for future contracts;
- (c) making a finding that the Contractor is in default of the Contract;
- (d) terminating the Contract;
- (e) declaring the Contractor to be in breach of Contract;
- (f) withholding payment or reimbursement;
- (g) determining not to renew the Contract;
- (h) assessing actual and consequential damages;
- (i) assessing liquidated damages or reducing fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the M/WBE Program, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;
- (j) exercising rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or
- (k) taking any other appropriate remedy.
- 4. If an M/WBE Utilization Plan has been submitted, and pursuant to this Article II, Section 3, the Contractor has been found to have failed to fulfill its Participation Goals contained in its M/WBE Utilization Plan or the Participation Goals as modified by Agency pursuant to Article I, Part A, Section 11, Agency may assess liquidated damages in the amount of ten percent (10%) of the difference between the dollar amount of work required to be awarded to MBE and/or WBE firms to meet the Participation Goals and the dollar amount the Contractor actually awarded and paid, and/or credited, to MBE and/or WBE firms. In view of the difficulty of accurately ascertaining the loss which the City will suffer by reason of Contractor's failure to meet the Participation Goals, the foregoing amount is hereby fixed and agreed as the liquidated damages that the City will suffer by reason of such failure, and not as a penalty. Agency may deduct and retain out of any monies which may become due under this Contract the amount of any such liquidated damages; and in case the amount which may become due under this Contract shall be less than the amount of liquidated damages suffered by the City, the Contractor shall be liable to pay the difference.
- 5. Whenever Agency has reason to believe that an MBE and/or WBE is not qualified for certification, or is participating in a contract in a manner that does not serve a commercially useful function (as defined in Section 6-129(c)(8)), or has violated any provision of Section 6-129, Agency shall notify the Commissioner of DSBS who shall determine whether the certification of such business enterprise should be revoked.

- 6. Statements made in any instrument submitted to Agency pursuant to Section 6-129 shall be submitted under penalty of perjury and any false or misleading statement or omission shall be grounds for the application of any applicable criminal and/or civil penalties for perjury. The making of a false or fraudulent statement by an MBE and/or WBE in any instrument submitted pursuant to Section 6-129 shall, in addition, be grounds for revocation of its certification.
- 7. The Contractor's record in implementing its M/WBE Utilization Plan shall be a factor in the evaluation of its performance. Whenever Agency determines that a Contractor's compliance with an M/WBE Utilization Plan has been unsatisfactory, Agency shall, after consultation with the City Chief Procurement Officer, file an advice of caution form for inclusion in VENDEX as caution data.

IN WITNESS WHEREOF, the Commissioner, on behalf of the City of New York, and the Contractor, have executed this agreement in quadruplicate, two parts of which are to remain with the Commissioner, another to be filed with the Comptroller of the City, and the fourth to be delivered to the Contractor.

Contractor.			
		THE CITY OF NEW YORK	
		By: Commissioner	
		CONTRACTOR:	
		CONTRACTOR.	
		By: (Member of Firm or Officer of Corporation)	· ·
		Title: Pats	_
(Where Contractor Attest:	is a Corporation, add):		
ß	P		
Sec	cretary		
		(Seal)	

ACKNOWLEDGEMENT OF PRINCIPAL, IF A CORPORATION

to me known who, he for the corporation do corporation; that on	being by me duly sworn did dep 1 10 77 9 th lescribed in and which executed the of the seals affixed to said in corporation, and that he signed AN EW York 4042 Notary Pul	e personally came <u>Carlo</u> <u>Cor</u> sose and say that he resides at <u>1350</u> at he is the the foregoing instrument; that he knowstrument is such seal; that it was so his name thereto by like order. bliclor Commissioner of Deeds	ows the seal of said
	ACKNOWLEDGEMENT OF	PRINCIPAL, IF A PARTNERSHIP	
State of	County of	SS:	
	described e that he executed the same as an	mbers of the firm of in and who executed the foregoing nd for the act and deed of said firm. blic or Commissioner of Deeds	instrument; and he
	ACKNOWLEDGEMENT OF 1	PRINCIPAL, IF AN INDIVIDUAL	
State of	County of	ss:	
to me known, and k	of,, before me p nown to me to be the person des hat he executed the same.	personally appearedscribed in and who executed the foreg	going instrument;
	· · · · · · · · · · · · · · · · · · ·		
	Notary Pub	olic or Commissioner of Deeds	

ACKNOWLEDGEMENT BY COMMISSIONER
State of 1 10 County of County of State of 10 ee s ss:
On this 3 day of locust, 2018, before me personally came construction of the Machanian and Known, and known to be the Deputy Commissioner of the Department of Design and Construction of The City of New York, the person described as such in and who as such executed the foregoing instrument and acknowledged to me that he executed the same as Deputy Commissioner for the purposes therein mentioned.
Notary Public Gr Commissioner of Deeds

VICTORIA AYO-VAUGHAN
Notary Public, State of New York
Registration #01AY5014042
Qualified in Queens County
Commission Expires July 15,20

AUTHORITY

MAYOR'S CERTIFICATE NO. CBX BUDGET DIRECTOR'S CERTIFICATE NO.

DATED DATED

APPROPRIATION COMMISSIONER'S CERTIFICATE

York, it is hereby certified that the estimated cost of the work, materials and supplies required by the within Contract, amounting to twenty five million four hundred twenty Seven Forty four cents Dollars (\$ 25,430,427.44) is chargeable to the fund of the Department of Design and Construction entitled Code
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Forty Four cents Dollars (\$ 25,430,427.44)
Forty Four cents Dollars (\$ 25,430,427.44)
is chargeable to the fund of the Department of Design and Construction entitled Code
Department of Design and Construction
Department of Design and Construction
I howaby contify that the appointant contained bearing comply with the tames and an divious of the
I hereby certify that the specifications contained herein comply with the terms and conditions of the BUDGET.
Tachilar
Commissioner
COMPTROLLER'S CERTIFICATE
COMPTROLLER'S CERTIFICATE
The City of New York
Pursuant to the provisions of Section 6-101 of the Administrative Code of the City of New York, I hereby certify that there remains unapplied and unexpended a balance of the above mentioned fund applicable to this Contract sufficient to pay the estimated expense of executing the same viz:
\$
Comptroller

MAYOR'S CERTIFICATE OR CERTIFICATE OF THE DIRECTOR OF THE BUDGET

PERFORMANCE BOND #2 (Page 1)

PERFORMANCE BOND #2

Bond No. 015202189

	Triumph Construction Corp.
	1354 Seneca Avenue
	Bronx, NY 10474
harainaffar raformad i	to as the "Drive inel"
and.	to as the "Principal," Liberty Mutual Insurance Company
	1200 MacArthur Blvd., 3rd Floor
	Mahwah, NJ 07430
(\$ 25,430,427.44) Dollars, lawful money of the United States for the payment of
· · · · · · · · · · · · · · · · · · ·	money well and truly to be made, we, and each of us, bind ourselves, our heirs
	rators, successors and assigns, jointly and severally, firmly by these presents.
executors, administr	rators, successors and assigns, jointly and severally, firmly by these presents. incipal is about to enter, or has entered, into a Contract in writing with the City for
executors, administr	
WHEREAS, the Pri	incipal is about to enter, or has entered, into a Contract in writing with the City for
WHEREAS, the Pri	incipal is about to enter, or has entered, into a Contract in writing with the City for D-809 - Capital Project WM-1 For The Replacement of Water Mains in Avenue S Between Cone. 18th Street Together With All Work Incidental Thereto Borough of Brooklyn, City of New York

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns, shall well and faithfully perform the said Contract and all modifications, amendments, additions and alterations thereto that may hereafter be made, according to its terms and its true intent and meaning, including repair and or replacement of defective work and guarantees of maintenance for the periods stated in the Contract, and shall fully indemnify and save harmless the City from all cost and damage which it may suffer by reason of the Principal's default of the Contract, and shall fully reimburse and repay the City for all outlay and expense which the City may incur in making

PERFORMANCE BOND #2 (Page 2)

good any such default and shall protect the said City of New York against, and pay any and all amounts, damages, cost and judgments which may or shall be recovered against said City or its officers or agents or which the said City of New York may be called upon to pay any person or corporation by reason of any damages arising or growing out of the Principal's default of the Contract, then this obligation shall be null and void, otherwise to remain in full force and effect.

The Surety (Sureties), for value received, hereby stipulates and agrees, upon written notice from the City that the City has determined that the Principal is in default of the Contract, to either (1) pay the full amount of the above penal sum in complete discharge and exoneration of this bond and of all the liabilities of the Surety relating to this bond, or (2) fully perform and complete the Work to be performed under the Contract, pursuant to the terms, conditions, and covenants thereof. The Surety (Sureties) further agrees, at its option, either to tender the penal sum or to commence and diligently perform the Work specified in the Contract, including physical site work, within twenty-five (25) business days after written notice thereof from the City and to complete all Work within the time set forth in the Contract or such other time as agreed to between the City and Surety in accordance with the Contract. The Surety and the City reserve all rights and defenses each may have against the other; provided, however, that the Surety expressly agrees that its reservation of rights shall not provide a basis for non-performance of its obligation to commence and to complete all Work as provided herein.

The Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties) and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or to the said Contract or the Work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any Work to be performed or any moneys due or to become due thereunder; and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done by or in relation to said Principal.

PERFORMANCE BOND #2 (Page 3)

IN WITNESS WHEREOF, The Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this

9th	day of	August	20 18	
(Seal)		T: 10		
		Triumph Co	onstruction Corp.	(L.S.)
			Principal	
		By:		<u></u>
(Seal)			O	
		l iberty Mu	Surety utual Insurance Company	
		Liberty Wit	atual magranoe Company	
		By: Co	uci de la companya dela companya dela companya dela companya de la companya de la companya de la companya dela companya de la companya de la companya dela com	
(C1)		Sandra A. Pa	ce, Attorney-in-Fact	
(Seal)			Surety	
		By:N/A		
				<u> </u>
(Seal)			Surety	
	, ,	By: N/A		·
· (G1)		· · · · · · · · · · · · · · · · · · ·		
(Seal)			Surety	
•	•	By: N/A		
(Seal)			Surety	·
		By:N/A	•	
4		~ <i>J</i>		
Bond Premium Rate	\$17/\$10/\$7.50/\$7.0	0/\$6.50	•	•
	\$210.676		-	
Bond Premium Cost	\$219,676	70		
If the Contractor (Duin	ata at the state of the state o		· ii i eachtait	

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

PERFORMANCE BOND #2 (Page 4)

		EDGMENT OF P			
State of Vee	Your	County of	Brown		ss:
On this9th	day of	August	. 20	18	_ before me personally
came 🖛 (o	le C 1 72		,		
to me known, who	, being by me duly	sworn did depose a	nd say that he re	esides,	
at		1 11 . (v.7		1	1
- Shire lester	ON NEOLO	<u>chelle ~7;t</u>	nat he/she is the	1 20	
-01.080.118	enter of the	directors. 61 Santrant	hos wahafa @hriddfa	WYORK	she signed his/her name to the and binding act thereof.
1.7		Oualified in M	JA6078625	*	
Notary Public or (onunissioner of D	No. 0 Qualified in W cedsMy Commissio	restonester C n Expires 08-0	ounty 5-72	
		EDGMENT OF P			RSHIP
State of		County of			88:
On this	day of	•	, 20		_ before me personally
came		sworn did depose a			
to me known, who at	o, being by me duly	sworn did depose a	nd say that he/si	he resides	
		a	.1 . /-1 - *-		
		a limited/general pa	at ne/sne is	a undantha lar	partner of
		a mmed/general pa partnership described			
and that he/she sig		o the foregoing instr			
said partnership.	÷	,			-
		•			•
Notary Public or (Commissioner of D				
rotary rubile of C	commissioner of D	ceas			
	<u>ACKNOWI</u>	EDGMENT OF I	RINCIPAL II	F AN INDIVI	<u>DUAL</u>
State of		County of			ss:
On this	day of		, 20		_ before me personally
					. ·
	o, being by me duly	sworn did depose a	nd say that he/si	he resides	
at					
_1_1_1	*.* *		nd that he/she is		
		nd acknowledged to	me that by his/	her signature or	the
mstrument, said in	idividual executed	the instrument.			•
	,		•		
Notary Public or C	Commissioner of D	eeds			
duly certified copy representative of P of Attorney or other	of Power of Attorn rincipal or Surety; (or certificate of auth	ney or other certification and aduly certified ex	te of authority water from By-Landier or represent	here bond is exa aws or resolution	espective parties; (b) appropriate ecuted by agent, officer or other ns of Surety under which Power I, and (d) certified copy of latest
		***	****		9
	Affix .	Acknowledgments	and Justificati	on of Sureties	.

CITY OF NEW YORK DDC

SURETY ACKNOWLEDGMENT

State of New Jersey
County of
On this 9th day of August, 2018
Before me personally came <u>Sandra A. Pace</u> to me known, who being by me duly
sworn, did depose and say that he/she is an Attorney-in-Fact of
Liberty Mutual Insurance Company the corporation described in and which executed
the within instrument; that he/she knows the corporate seal of said corporation; that the
seal affixed to the within instrument is such corporate seal, and that he/she signed the
said instrument and affixed the said seal as Attorney-in-Fact by authority of the Board of
Directors of said corporation and by authority of this office under the Standing
Resolutions thereof. CHERYL R. COLEMAN Notary Public, State of New Jersey No. 01002182370
No. 01002182370 Commission Expires Sept. 27, 20 20 Commission Expires Sept. 27, 27, 20 Commission Expires Sept. 27, 27, 27, 27, 27, 27, 27, 27, 27, 27,

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 7994463

f this Power of Attorney call 9:00 am and 4:30 pm EST on any business day.

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validity of) between (

To confirm the v 1-610-832-8240

Liberty Mutual Insurance Company The Ohio Casualty Insurance Company

West American Insurance Company

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Lisa A. Anderson; Cheryl R. Coleman; Mary J. D'Amato; Rachael Hurley; Marc J. Michalewsky; Sandra A. Pace; Thomas M. True

all of the city of Westfield _, state of NJ each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 31st day of January



STATE OF PENNSYLVANIA

COUNTY OF MONTGOMERY

On this 31st day of January ., 2018, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Teresa Pastella, Notary Public Upper Merion Twp., Montgomery County My Commission Expires March 28, 2021

Member Pennsylvania Association of Notaries

The Ohio Casualty Insurance Company Liberty Mutual Insurance Company West American Insurance Company

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS - Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings. Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-infact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

1, Renee C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this

guarantees.

Not valid for mortgage, note,



LIBERTY MUTUAL INSURANCE COMPANY

FINANCIAL STATEMENT — DECEMBER 31, 2017

Assets	Liabilities
Cash and Bank Deposits\$370,003,299	Unearned Premiums
*Bonds — U.S Government	Reserve for Claims and Claims Expense19,658,731,454
*Other Bonds	Funds Held Under Reinsurance Treaties 224,693,828
	Reserve for Dividends to Policyholders 967,520
*Stocks	Additional Statutory Reserve
Real Estate	Reserve for Commissions, Taxes and
Agents' Balances or Uncollected Premiums 5,258,657,823	Other Liabilities
Accrued Interest and Rents	Total\$31,489,431,268
Other Admitted Access 11 100 207 520	Special Surplus Funds
Other Admitted Assets	Capital Stock
	Paid in Surplus 9,484,316,385
	Unassigned Surplus 4,860,776,066
Total Admitted Assets <u>\$46,020,754,541</u>	Surplus to Policyholders <u>14,531,323,273</u>
	Total Liabilities and Surplus <u>\$46,020,754,541</u>



I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2017, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 16th day of March, 2018.

TAMilolajewski.

Assistant Secretary

^{*} Bonds are stated at amortized or investment value; Stocks at Association Market Values.

The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

PAYMENT BOND (Page 1)

PAYMENT BOND Bond No. 015202189	•
KNOW ALL PERSONS BY THESE PRESENTS, That we,	
Triumph Construction Corp.	
1354 Seneca Avenue	
Bronx, NY 10474	
hereinafter referred to as the "Principal", and	
Liberty Mutual Insurance Company	
1200 MacArthur Blvd., 3rd Floor	
Mahwah, NJ 07430	
hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YOR hereinafter referred to as the "City" or to its successors and assigns, in the penal sum of	RK,
Twenty Five Million Four Hundred Thirty Thousand Four Hundred Twenty Seven and 44/100	
(\$\frac{25,430,427.44}{}}\) Dollars, lawful money of the United States, for the payment of which said sum of money vand truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors assigns, jointly and severally, firmly by these presents.	vell and
WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for	r
FMS Project ID# BED-809 - Capital Project WM-1 For The Replacement of Water Mains in Avenue S Be	etween Coney Island
Avenue and E. 18th Street Together With All Work Incidental Thereto Borough of Brooklyn, City of New	York -
PIN #850216WM0017C	
a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or	

(a) Wages and compensation for labor performed and services rendered by all persons engaged in the prosecution of the Work under said Contract, and any amendment or extension thereof or addition thereto, whether such persons be agents servants or employees of the Principal or any such Subcontractor, including all persons so engaged who perform the work of laborers or mechanics at or in the vicinity of the site

representatives or assigns and other Subcontractors to whom Work under this Contract is sublet and his or their

successors and assigns shall promptly pay or cause to be paid all lawful claims for

CITY OF NEW YORK

PAYMENT BOND (Page 2)

of the Project regardless of any contractual relationship between the Principal or such Subcontractors, or his or their successors or assigns, on the one hand and such laborers or mechanics on the other, but not including office employees not regularly stationed at the site of the project; and

(b) Materials and supplies (whether incorporated in the permanent structure or not), as well as teams, fuels, oils, implements or machinery furnished, used or consumed by said Principal or any subcontractor at or in the vicinity of the site of the Project in the prosecution of the Work under said Contract and any amendment or extension thereof or addition thereto; then this obligation shall be void, otherwise to remain in full force and effect.

This bond is subject to the following additional conditions, limitations and agreements:

- (a) The Principal and Surety (Sureties) agree that this bond shall be for the benefit of any materialmen or laborer having a just claim, as well as the City itself.
- (b) All persons who have performed labor, rendered services or furnished materials and supplies, as aforesaid, shall have a direct right of action against the Principal and his, its or their successors and assigns, and the Surety (Sureties) herein, or against either or both or any of them and their successors and assigns. Such persons may sue in their own name, and may prosecute the suit to judgment and execution without the necessity of joining with any other persons as party plaintiff.
- (c) The Principal and Surety (Sureties) agree that neither of them will hold the City liable for any judgment for costs of otherwise, obtained by either or both of them against a laborer or materialman in a suit brought by either a laborer or materialman under this bond for moneys allegedly due for performing work or furnishing material.
- (d) The Surety (Sureties) or its successors and assigns shall not be liable for any compensation recoverable by an employee or laborer under the Workmen's Compensation Law.
- (e) In no event shall the Surety (Sureties), or its successors or assigns, be liable for a greater sum than the penalty of this bond or be subject to any suit, action or proceeding hereon that is instituted by any person, firm, or corporation hereunder later than two years after the complete performance of said Contract and final settlement thereof.

The Principal, for himself and his successors and assigns, and the Surety (Sureties), for itself and its successors and assigns, do hereby expressly waive any objection that might be interposed as to the right of the City to require a bond containing the foregoing provisions, and they do hereby further expressly waive any defense which they or either of them might interpose to an action brought hereon by any person, firm or corporation, including subcontractors, materialmen and third persons, for work, labor, services, supplies or material performed rendered, or furnished as aforesaid upon the ground that there is no law authorizing the City to require the foregoing provisions to be place in this bond.

And the Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties), and its bonds shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or of the said Contract or the work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any part thereof, or of any Work to be performed, or any moneys due to become due thereunder and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, Subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done or in relation to said Principal.

CITY OF NEW YORK

STANDARD CONSTRUCTION CONTRACT

PAYMENT BOND (Page 3)

and seals, and such of them as are	F, the Principal and the Surety (Sureties) have hereunto set their hands corporations have caused their corporate seals to be hereunto affixed and proper officers, this day of August, 2018
(Seal)	Triumph Construction Corp. (L.S.) Principal By:
(Seal)	Liberty Mutual Insurance Company Surety
	Sandra A. Pace, Attorney-in-Fact
(Seal)	Surety By:
(Seal)	N/A Surety
	Ву:
(Seal)	N/A Surety
	Ву:

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

PAYMENT BOND (Page 4)

ACKNOWLEDGME	NT OF PRINCIPAL, IF A CO	RPORATION	ar - A
State of Now 400	County of Brand	ss:	
Oth	A	" Cha A Cinari	
On this day of _	August, 2018, before me	personally came revide at	
Lack Asits - Class	mg by me duly swom did depose	and say that be resides ate is the	0
the cornoration descri	hed in and which executed the f	oregoing instrument; that he knows the	e seal of sai
		ment is such seal; that it was so affixed	
	rporation, and that he signed his		,
RY PUBLIC-STATE OF NE	EW YORK		
No. 01JA6078625	And the state of t		
lified in Westchester C	Ounty Notaty Public		
ommission Expires 08-0	5-27 Notary Public	or Commissioner of Deeds	
ACKNOWLEDGME	ENT OF PRINCIPAL, IF A PA	RTNERSHIP	
State of	County of	go.	
State Of	County of	55.	
On this day of	hefore me	personally appeared	
to make and known and known	wn to me to be one of the member	personany appeared	
to me known, and kno	wit to the to be one of the memo	o executed the foregoing instrumen	
	Notary Public	or Commissioner of Deeds	
ACKNOWLEDGME	ENT OF PRINCIPAL, IF AN I		•
	ŕ		
State of	County of	ss:	
On this day of	hafora ma	personally appeared	
to me known and known	yum to me to be the person desc	ribed in and who executed the foregoin	
and acknowledged tha	t he executed the same.	loca in and who executes the reregent	o instrume
	the discourse the cultic.		ng instrume
			ng instrume
			ng instrume
			ng instrume
·	Notary Public	or Commissioner of Deeds	ng instrume
	Notary Public	or Commissioner of Deeds	ng instrume
Each executed	i bond should be accompanied b	y: (a) appropriate acknowledgments of	the respect
parties; (b) appropriate	l bond should be accompanied be duly certified copy of Power of	y: (a) appropriate acknowledgments of Attorney or other certificate of authori	the respect
parties; (b) appropriate is executed by agent,	I bond should be accompanied be duly certified copy of Power of officer or other representative of	y: (a) appropriate acknowledgments of Attorney or other certificate of authoric Principal or Surety; (c) a duly certified	the respect ty where bod extract from
parties; (b) appropriate is executed by agent, By-Laws or resolution	I bond should be accompanied be e duly certified copy of Power of officer or other representative of ns of Surety under which Power	y: (a) appropriate acknowledgments of Attorney or other certificate of authoric Principal or Surety; (c) a duly certified of Attorney or other certificate of a	the respect ty where be d extract frouthority of
parties; (b) appropriate is executed by agent, By-Laws or resolution agent, officer or repre	I bond should be accompanied be duly certified copy of Power of officer or other representative of ns of Surety under which Power sentative was issued, and (d) ce	y: (a) appropriate acknowledgments of Attorney or other certificate of authoric Principal or Surety; (c) a duly certified	the respect ty where be d extract frouthority of
parties; (b) appropriate is executed by agent, By-Laws or resolution	I bond should be accompanied be duly certified copy of Power of officer or other representative of ns of Surety under which Power sentative was issued, and (d) ce f Surety.	y: (a) appropriate acknowledgments of Attorney or other certificate of authoric Principal or Surety; (c) a duly certified of Attorney or other certificate of artified copy of latest published financial	the respecti ty where be d extract fro uthority of
parties; (b) appropriate is executed by agent, By-Laws or resolution agent, officer or repre	I bond should be accompanied be duly certified copy of Power of officer or other representative of ns of Surety under which Power sentative was issued, and (d) ce	y: (a) appropriate acknowledgments of Attorney or other certificate of authoric Principal or Surety; (c) a duly certified or of Attorney or other certificate of a rtified copy of latest published financia	the respect ty where be d extract fruthority of

SURETY ACKNOWLEDGMENT

State of New Jerse	÷ y	
County of <u>Union</u>		
On this 9th c	day of <u>August</u> , <u>2018</u>	
Before me personally came	e Sandra A. Pace to me known	, who being by me duly
sworn, did depose and say	that he/she is an Attorney-in-Fa	ct of
Liberty Mutual Insurance	Company the corporation desc	cribed in and which executed
the within instrument; that	he/she knows the corporate sea	of said corporation; that the
seal affixed to the within in	nstrument is such corporate sea	l, and that he/she signed the
said instrument and affixed	I the said seal as Attorney-in-Fac	ct by authority of the Board of
Directors of said corpora	ation and by authority of this	office under the Standing
Resolutions thereof.	CHERYL R. COLEMAN Notary Public. State of New Jersey No. 01602182370	
My commission expires	Commission Expires Sept. 27, 20 <u>AO</u>	Cheripa Caurea

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 7994464

Liberty Mutual Insurance Company
The Ohio Casualty Insurance Company West

West American Insurance Company

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Lisa A. Anderson; Cheryl R. Coleman; Mary J. D'Amato; Rachael Hurley; Marc J. Michalewsky; Sandra A. Pace; Thomas M. True

all of the city of Westfield state of NJ each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.



STATE OF PENNSYLVANIA COUNTY OF MONTGOMERY

3

On this 31st day of January , 2018, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Teresa Pastella, Notary Public Upper Merion Twp., Montgomery County My Commission Expires March 28, 2021

Member, Pennsylvania Association of Notaries

By: Teresa Pastella, Notary Public

The Ohio Casualty Insurance Company Liberty Mutual Insurance Company

West American Insurance Company

David M. Carey, Assistant Secretary

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV — OFFICERS — Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII – Execution of Contracts – SECTION 5. Surety Bonds and Undertakings. Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation – The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-infact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization – By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned. Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this

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294 of 300

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.

1919 CONTRACTOR TO THE PROPERTY OF THE PROPERT





Renee C. Llewellyn, Assistant Secretary



LIBERTY MUTUAL INSURANCE COMPANY

FINANCIAL STATEMENT — DECEMBER 31, 2017

Assets	Liabilities
Cash and Bank Deposits\$370,003,299	Unearned Premiums
*Bonds — U.S Government	Reserve for Claims and Claims Expense 19,658,731,454
*Other Bonds	Funds Held Under Reinsurance Treaties
	Reserve for Dividends to Policyholders 967,520
*Stocks	Additional Statutory Reserve
Real Estate	Reserve for Commissions, Taxes and
Agents' Balances or Uncollected Premiums 5,258,657,823	Other Liabilities
Accrued Interest and Rents	Total\$31,489,431,268
Other Admitted Assets	Special Surplus Funds
Other Admitted Assets	Capital Stock 10,000,000
	Paid in Surplus 9,484,316,385
	Unassigned Surplus 4,860,776,066
Total Admitted Assets <u>\$46,020,754,541</u>	Surplus to Policyholders <u>14,531,323,273</u>
	Total Liabilities and Surplus <u>\$46,020,754,541</u>



* Bonds are stated at amortized or investment value; Stocks at Association Market Values.

The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2017, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 16th day of March, 2018.

Assistant Secretary

MFANNING

CORD

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 08/09/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

iMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(les) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

THE TELEVISION TOTAL TOTAL TO THE CONTINUATE HOLDER III HER OF SE				
PRODUCER	CONTACT			
Brown & Brown of New York Inc. 800 Westchester Avenue, N.311	PHONE (A/C, No, Ext): (914) 337-1833 FAX (A/C, No): (914)	337-1596		
800 Westchester Avenue, N-311 Rye Brook, NY 10573	ADDRESS; certificates@bbinsny.com			
	INSURER(S) AFFORDING COVERAGE	NAIC#		
	INSURER A: Travelers Indemnity Company	25658		
INSURED	INSURER B: United States Fire Insurance Company	21113		
Triumph Construction Corp	INSURER C: Great American Assurance Company	26344		
1354 Seneca Ave	INSURER D: Navigators Insurance Company	42307		
Bronx, NY 10474	INSURER E: IronShore Specialty Insurance Company	25445		
	INSURER F:			
COVERAGES CERTIFICATE NUMBER:	REVISION NUMBER:			

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SLICH POLICIES I MITS SHOWN MAY HAVE BEEN BEDITIONS OF SLICH POLICIES. I MITS SHOWN MAY HAVE BEEN BEDITIONS OF SLICH POLICIES.

L	E	EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.						
1	ISR TR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF	POLICY EXP	LIMITS
	A	X COMMERCIAL GENERAL LIABILITY						EACH OCCURRENCE \$ 2,000,000
١		CLAIMS-MADE X OCCUR	X		VT1NKEXGL4E99538AIND18	08/01/2018	08/01/2019	DAMAGE TO RENTED S 300,000 PREMISES (Ea occurrence)
1		X Contractual Liab					<u> </u>	MED EXP (Any one person) \$
		X X,C,U included						PERSONAL & ADV INJURY \$ 2,000,000
		GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE \$ 4,000,000
j		POLICY X JECT LOC						PRODUCTS - COMP/OP AGG \$ 4,000,000
)	_	X OTHER: 50' RR Excl Eliminate						Employee Ben s 1,000,000
1	В	AUTOMOBILE LIABILITY						COMBINED SINGLE LIMIT \$ 1,000,000
		X ANY AUTO			133-744074-3	08/01/2018	08/01/2019	BODILY INJURY (Per person) \$
		AUTOS ONLY SCHEDULED AUTOS	l					BODILY INJURY (Per accident) \$
		X HORES ONLY X NON-SYNED						PROPERTY DAMAGE (Per accident) \$
-	_							<u>s</u>
	C	UMBRELLA LIAB X OCCUR						EACH OCCURRENCE \$ 3,000,000
1		X EXCESS LIAB CLAIMS-MADE	X		EXC 3746861	08/01/2018	08/01/2019	AGGREGATE \$ 3,000,000
		DED X RETENTION\$ 0						s
		WORKERS COMPENSATION AND EMPLOYERS' LIABILITY						PER OTH- STATUTE ER
1		ANY PROPRIETOR/PARTNER/EXECUTIVE (""")	N/A					E.L. EACH ACCIDENT \$
		(Mandatory in NH)	İ					E.L. DISEASE - EA EMPLOYEE \$
-	_	If yes, describe under DESCRIPTION OF OPERATIONS below Excess Umbrella			1040EVATARARAN		20/04/00:5	E.L. DISEASE - POLICY LIMIT \$
			X		IS18EXC735358IV	08/01/2018		Per Occurrence/Agg 5,000,000
ŀ	E	Errors & Omissions			002788302	05/30/2018	05/30/2019	Each Wrongful Act 2,000,000
L								

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 191, Additional Remarks Schedule, may be attached if more space is required)
RE: FMS ID: BED-809; E-PIN: 85018B0058001; DDC PIN: 8502016WM0017C For replacement of water mains in Avenue S between Coney Island Avenue and E.
18th Street - Borough of Brooklyn

City of New York, including its officials and employees, and the National Grid are additional insured with regard to General Liability, as required by written contract.

CERTIFICATE HOLDER	CANCELLATION
New York City Department of Design and Construction 30-30 Thomson Avenue, 4th Floor Long Island City, NY 11101	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE

Project ID.: BED809

CITY OF NEW YORK CERTIFICATION BY INSURANCE BROKER OR AGENT

The undersigned insurance broker represents to the City of New York that the attached Certificate of Insurance is accurate in all material respects.

Bro	own & Brown of New York, Inc.
[Name	of broker or agent (typewritten)]
• •	
80.	O Westchester Ave, Suite N-311, Rye Brook, NY 10573
	ess of broker or agent (typewritten)]
į, taai c	of bloker of agont (typewittering
	ıfanning@bbinsny.com
[Email	address of broker or agent (typewritten)]
•	
91	.4-337-1833/914-337-1596
[Phone	e number/Fax number of broker or agent (typewritten)]
• 2	
<u> </u>	the state of a substance of a section of a s
[Signa	ature of authorized official, broker, or agent]
Ma	ry Fanning, Commercial Lines Manager
[Name	e and title of authorized official, broker, or agent (typewritten)]
-	
State of New York)
) ss.:
County of .Westchester)
 	·····
Sworn to before me this 🤷	oth day of July 2018
Alme De	ddnous
NOTARY PUBLIC FOR TH	HE STATE OF New York

ALMA DELAKAS
Notary Public, State of New York
Registration #01DE6278296
Qualified In Westchester County
Commission Expires April 1, 2021



Workers' CERTIFICATE OF INSURANCE COVERAGE Board DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

PART 1. To be completed by Disability and Paid Family Leave B	enefits Carrier or Licensed Insurance Agent of that Carrier			
1a. Legal Name & Address of Insured (use street address only)	1b. Business Telephone Number of Insured			
Triumph Construction Corp.	(718) 861-6060			
1354 Seneca Avenue				
Bronx, NY 10474	1c. Federal Employer Identification Number of Insured or Social Security			
Work Location of insured (Only required if coverage is specifically limited to certain locations in New York State, i.e., Wrap-Up Policy)	Number 05-20171			
Name and Address of Entity Requesting Proof of Coverage (Entity Being Listed as the Certificate Holder)	3a Name of Insurance Carrier			
New York City Department of Design and Construction	Wesco Insurance Company			
30-30 Thomson Avenue, 4th Floor	3b Policy Number of Entity Listed in Box "1a"			
Long Island City, NY 11101	0232606			
	3c Policy effective period			
	_08/01/2018 to _08/01/2019			
 C. Pald family leave benefits only. 5. Policy covers: ✓ A. All of the employer's employees eligible under the NYS Disability and Paid Family Leave Benefits Law. B. Only the following class or classes of employer's employees: 				
Insured has NYS Disability and/or Paid Family Leave Benefits insurance Date Signed 08/01/2018 Oblive r licensed agent of the insurance carrier referenced above and that the named coverage as described above. • Moccia • carrier's authorized representative or NYS Licensed insurance Agent of that insurance carrier)				
	ohn Moccle, Executive Vice President			
IMPORTANT: If Boxes 4A and 5A are checked, and this form is Licensed insurance Agent of that carrier, this ce If Box 4B, 4C or 5B is checked, this certificate is	signed by the insurance carrier's authorized representative or NYS rtificate is COMPLETE. Mail it directly to the certificate holder. NOT COMPLETE for purposes of Section 220, Subd. 8 of the NYS it must be mailed for completion to the Workers' Compensation			
PART 2. To be completed by the NYS Workers' Compens				
State of New York Workers' Compensation Board According to information maintained by the NYS Workers' Compensation Board, the above-named employer has complied with the NYS Disability and Paid Family Leave Benefits Law with respect to all of his/her employees.				
Date Signed By				
	(Signature of Authorized NYS Workers' Compensation Board Employee)			
Telephone Number Name and Title				
Please Note: Only insurance carriers licensed to write NYS disability and pa	id family leave benefits insurance policies and NYS licensed insurance agents			

of those insurance carriers are authorized to issue Form DB-120.1. Insurance brokers are NOT authorized to issue this form.

DB-120.1 (10-17)

Additional Instructions for Form DB-120.1

By signing this form, the insurance carrier identified in Box 3 on this form is certifying that it is insuring the business referenced in box "1 a" for disability and/or paid family leave benefits under the New York State Disability and Paid Family Leave Benefits Law. The Insurance Carrier or its licensed agent will send this Certificate of Insurance to the entity listed as the certificate holder in Box 2.

The insurance carrier must notify the above certificate holder and the Workers' Compensation Board within 10 days IF a policy is cancelled due to nonpayment of premiums or within 30 days IF there are reasons other than nonpayment of premiums that cancel the policy or eliminate the insured from coverage indicated on this Certificate. (These notices my be sent by regular mail.) Otherwise, this Certificate is valid for one year after this form is approved by the insurance carrier or its licensed agent, or until the policy expiration date listed in Box 3c, whichever is earlier

This certificate is issued as a matter of information only and confers no rights upon the certificate holder. This certificate does not amend, extend or alter the coverage afforded by the policy listed, nor does it confer any rights or responsibilities beyond those contained in the referenced policy.

This certificate may be used as evidence of a Disability and/or Paid Family Leave Benefits contract of insurance only while the underlying policy is in effect.

Please Note: Upon the cancellation of the disability and/or paid family leave benefits policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificate holder, the business must provide that certificate holder with a new Certificate of NYS Disability and/or Paid Family Leave Benefits Coverage or other authorized proof that the business is complying with the mandatory coverage requirements of the New York State Disability and Paid Family Leave Benefits Law.

DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

§220, Subd. 8

- (a) The head of a state or municipal department, board, commission or office authorized or required by law to issue any permit for or in connection with any work involving the employment of employees in employment as defined in this article, and not withstanding any general or special statute requiring or authorizing the issue of such permits, shall not issue such permit unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits and after January first, two thousand and twenty-one, the payment of family leave benefits for all employees has been secured as provided by this article. Nothing herein, however, shall be construed as creating any liability on the part of such state or municipal department, board, commission or office to pay any disability benefits to any such employee if so employed.
- (b) The head of a state or municipal department, board, commission or office authorized or required by law to enter into any contract for or in connection with any work involving the employment of employees in employment as defined in this article and notwithstanding any general or special statute requiring or authorizing any such contract, shall not enter into any such contract unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits and after January first, two thousand eighteen, the payment of family leave benefits for all employees has been secured as provided by this article.



CERTIFICATE OF NYS WORKERS' COMPENSATION INSURANCE COVERAGE

Title: E	xecutive Vice President	
	(Signature)	(Date)
Approved by:	John Macaia	08/01/18
•	(Print name of authorized repr	esentative or licensed agent of insurance carrier)
Approved by:		John Moccia esentative or licensed agent of insurance carrier)
Inder penalty of perjury, i cert bove and that the named inst	lify that I am an authorized re ured has the coverage as dep	presentative or licensed agent of the insurance carrier referenced picted on this form.
lamed on a permit, license or	contract issued by a certifica impensation Coverage or oth	ion policy indicated on this form, if the business continues to be ate holder, the business must provide that certificate holder with a er authorized proof that the business is complying with the orkers' Compensation Law.
		nsation contract of insurance only while the underlying policy is in effect.
extend or after the coverage afforenced policy.	rded by the policy listed, nor do	fers no rights upon the certificate holder. This certificate does not amend, ses it confer any rights or responsibilities beyond those contained in the
o nonpayment of premiums or v nsured from the coverage indica rear after this form is approved i parlier.	vithin 30 days IF there are reas ated on this Certificate. (These i by the insurance carrier or its lic	nd the Workers' Compensation Board within 10 days IF a policy is canceled ons other than nonpayment of premiums that cancel the policy or eliminate to notices may be sent by regular mail.) Otherwise, this Certificate is valid for coensed agent, or until the policy expiration date listed in box "3c", whichever
ompensation under the New Yorn the INFORMATION PAGE on the INFORMATION PAGE on the Control of th	ork State Workers' Compensation of the workers' compensation se entity listed above as the cer	
		3d. The Proprietor, Partners or Executive Officers are included. (Only check box if all partners/officers included) all excluded or certain partners/officers excluded.
		3c. Policy effective period
Long Island City, NY 11101		VTC20-UB-4E994799-18
30-30 Thomson Avenue, 4th	Floor	3b. Policy Number of Entity Listed in Box "1a"
New York City Department o	f Design and Construction	Charter Oak Fire Insurance Company
Name and Address of Entity Req (Entity Being Listed as the Certifical		3a. Name of Insurance Carrier
		13-4050635
Nork Location of Insured (Only requestrain locations in New York State	uired if coverage is specifically limit i.e., a Wrap-Up Policy)	led to 1d. Federal Employer Identification Number of Insured or Social Security Number
BIONX, NY 10474		05-20171
1354 Seneca Avenue Bronx, NY 10474		1c. NYS Unemployment Insurance Employer Registration Number of Insured
Triumph Construction Corp.		(718)861-6060

Please Note: Only Insurance carriers and their licensed agents are authorized to issue Form C-105.2. Insurance brokers are <u>NOT</u> authorized to issue it.

Workers' Compensation Law

Section 57. Restriction on issue of permits and the entering into contracts unless compensation is secured.

- 1. The head of a state or municipal department, board, commission or office authorized or required by law to issue any permit for or in connection with any work involving the employment of employees in a hazardous employment defined by this chapter, and notwithstanding any general or special statute requiring or authorizing the issue of such permits, shall not issue such permit unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that compensation for all employees has been secured as provided by this chapter. Nothing herein, however, shall be construed as creating any liability on the part of such state or municipal department, board, commission or office to pay any compensation to any such employee if so employed.
- 2. The head of a state or municipal department, board, commission or office authorized or required by law to enter into any contract for or in connection with any work involving the employment of employees in a hazardous employment defined by this chapter, notwithstanding any general or special statute requiring or authorizing any such contract, shall not enter into any such contract unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that compensation for all employees has been secured as provided by this chapter.

LABOR LAW §220 PREVAILING WAGE SCHEDULE

Workers, Laborers and Mechanics employed on a public work project must receive not less than the prevailing rate of wage and benefits for the classification of work performed by each upon such public work. Pursuant to Labor Law §220 the Comptroller of the City of New York has promulgated this schedule solely for Workers, Laborers and Mechanics engaged by private contractors on New York City public work contracts.

This schedule is a compilation of separate determinations of the prevailing rate of wage and supplements made by the Comptroller for each trade classification listed herein pursuant to New York State Labor Law section 220 (5). The source of the wage and supplement rates, whether a collective bargaining agreement, survey data or other, is listed at the end of each classification.

Agency Chief Contracting Officers should contact the Bureau of Labor Law's Classification Unit with any questions concerning trade classifications, prevailing rates or prevailing practices with respect to procurement on New York City public works contracts. Contractors are advised to review the Comptroller's Prevailing Wage Schedule before bidding on public works contracts. Contractors with questions concerning trade classifications, prevailing rates or prevailing practices with respect to public works contracts in the procurement stage must contact the contracting agency responsible for the procurement.

Any error as to compensation under the prevailing wage law or other information as to trade classification, made by the contracting agency in the contract documents or in any other communication, will not preclude a finding against the contractor of prevailing wage violation.

Any questions concerning trade classifications, prevailing rates or prevailing practices on New York City public works contracts that have already been awarded may be directed to the Bureau of Labor Law's Classification Unit by calling (212) 669-4443. All callers must have the agency name and contract registration number available when calling with questions on public works contracts. Please direct all other compliance issues to: Bureau of Labor Law, Attn: Wasyl Kinach, P.E., Office of the Comptroller, 1 Centre Street, Room 651, New York, N.Y. 10007; Fax (212) 669-4002.

The appropriate schedule of prevailing wages and benefits must be posted at all public work sites pursuant to Labor Law §220 (3-a) (a).

This schedule is applicable to work performed during the effective period, unless otherwise noted. Changes to this schedule are published on our web site www.comptroller.nyc.gov. Contractors must pay the wages and supplements in effect when the worker, laborer, mechanic performs the work. Preliminary schedules for future one-year periods appear in the City Record on or about June 1 each succeeding year. Final schedules appear on or about July 1 in the City Record and on our web site www.comptroller.nyc.gov.

The Comptroller's Office has attempted to include all overtime, shift and night differential, Holiday, Saturday, Sunday or other premium time work. However, this schedule does not set forth every prevailing practice with respect to such rates with which employers must comply. All such practices are nevertheless part of the employer's prevailing wage obligation and contained in the collective bargaining agreements of the prevailing wage unions. These collective bargaining agreements are available for inspection by appointment. Requests for appointments may be made by calling (212) 669-4443, Monday through Friday between the hours of 9 a.m. and 5 p.m.

PUBLISH DATE: 7/1/2017 EFFECTIVE PERIOD: JULY 1, 2017 THROUGH JUNE 30, 2018 Page 1 of 87

Prevailing rates and ratios for apprentices are attached to this schedule in the Appendix. Pursuant to Labor Law §220 (3-e), only apprentices who are individually registered in a bona fide program to which the employer contractor is a participant, registered with the New York State Department of Labor, may be employed on a public work project. Workers who are not journey persons or not registered apprentices pursuant to Labor Law §220 (3-e) may not be substituted for apprentices and must be paid as journey persons.

Public Work construction, reconstruction, demolition, excavation, rehabilitation, repair, renovation, alteration, or improvement contracts awarded pursuant to a Project Labor Agreement ("PLA") in accordance with Labor Law section 222 may have different labor standards for shift, premium and overtime work. Please refer to the PLA's pre-negotiated labor agreements for wage and benefit rates applicable to work performed outside of the regular workday. More information is available at the Mayor's Office of Contract Services (MOCS) web page at http://www.nyc.gov/html/mocs/html/vendors/pla.shtml.

All the provisions of Labor Law section 220 remain applicable to PLA work including, but not limited to, the enforcement of prevailing wage requirements by the Comptroller; however, we will enforce shift, premium, overtime and other non-standard rates as they appear in a project's pre-negotiated labor agreement.

In order to meet their obligation to provide prevailing supplemental benefits to each covered employee, employers must either:

1) Provide bona fide fringe benefits which cost the employer no less than the prevailing supplemental benefits rate; or

2) Supplement the employee's hourly wage by an amount no less than the prevailing

supplemental benefits rate; or

3) Provide a combination of bona fide fringe benefits and wage supplements which cost the employer no less than the prevailing supplemental benefits rate in total.

Although prevailing wage laws do not require employers to provide bona fide fringe benefits (as opposed to wage supplements) to their employees, other laws may. For example, the Employee Retirement Income Security Act, 29 U.S.C. § 1001 et seq., the Patient Protection and Affordable Care Act, 42 U.S.C. § 18001 et seq., and the New York City Paid Sick Leave Law, N.Y.C. Admin. Code § 20-911 et seq., require certain employers to provide certain benefits to their employees. Labor agreements to which employers are a party may also require certain benefits. The Comptroller's Office does not enforce these laws or agreements.

Employers must provide prevailing supplemental benefits at the straight time rate for each hour worked unless otherwise noted in the classification.

Wasyl Kinach, P.E.
Director of Classifications
Bureau of Labor Law

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ASBESTOS HANDLER

(Hazardous Material; Disturbs, removes, encapsulates, repairs, or encloses friable asbestos material)

Asbestos Handler

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$36.00

Supplemental Benefit Rate per Hour: \$16.45

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Sunday.

Time and one half the regular hourly rate after 40 hours in any work week.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).
New Year's Day
Good Friday
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Paid Holidays

None

Easter

(Local #78 and Local #12A)

BLASTER

Blaster

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$46.27

Supplemental Benefit Rate per Hour: \$47.99

Blaster (Hydraulic)

Effective Period: 7/1/2017 - 6/30/2018

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Wage Rate per Hour: \$47.15

Supplemental Benefit Rate per Hour: \$47.99

Blaster - Trac Drill Hydraulic

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$41.29

Supplemental Benefit Rate per Hour: \$47.99

Blaster - Wagon: Air Trac: Quarry Bar: Drillrunners

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$40.46

Supplemental Benefit Rate per Hour: \$47.99

Blaster - Operators of Jack Hammers

Chippers: Spaders: Concrete Breakers: and all other pneumatic tools of like usage: Walk Behind Self Propelled

Hydraulic Asphalt and Concrete Breakers: Hydro (Water) Demolition

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$39.34

Supplemental Benefit Rate per Hour: \$47.99

Blaster - Powder Carriers

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$35.17

Supplemental Benefit Rate per Hour: \$47.99

Blaster - Hydraulic Trac Drill Chuck Tender

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$33.81

Supplemental Benefit Rate per Hour: \$47.99

Blaster - Chuck Tender & Nipper

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$33.00

Supplemental Benefit Rate per Hour: \$47.99

Blaster - Magazine Keepers: (Watch Person)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$18.22

Supplemental Benefit Rate per Hour: \$47.99

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Overtime Description

Magazine Keepers:

Time and one half for work performed in excess of forty (40) hours per week and for work performed on Saturdays, Sundays and Holidays.

All Other Employees:

Time and one-half for the first two hours of overtime Monday through Friday, the first ten hours, the first ten hours of work on Saturday and for Make-up Time. Double time for all hours over ten Monday through Saturday (except make-up hours) and for all hours worked on Sunday and Holidays.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).
New Year's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Thanksgiving Day
Christmas Day

Paid Holidavs

None

Shift Rates

A single shift shall be 8 hours plus an unpaid lunch, starting at 8:00 A.M (or between 6:00 A.M. and 10:00 A.M. on weekdays). When two (2) shifts are employed, each shift shall be 8 hours plus $\frac{1}{2}$ hour unpaid lunch. When three (3) shifts are employed, each shift will work seven and one-half (7 $\frac{1}{2}$) hours, but will be paid for eight (8) hours, since only one-half ($\frac{1}{2}$) hour is allowed for mealtime. When two (2) or more shifts are employed, single time will be paid for each shift. The first 8 hours of any and all work performed Monday through Friday inclusive of any off-shift shall be at the single time rate.

(Local #29)

BOILERMAKER

<u>Boilermaker</u>

Effective Period: 7/1/2017 - 12/31/2017

Wage Rate per Hour: \$55.23

Supplemental Benefit Rate per Hour: \$42.96

Supplemental Note: For time and one half overtime - \$63.82 For double overtime - \$84.68

Effective Period: 1/1/2018 - 6/30/2018

Wage Rate per Hour: \$57.17

Supplemental Benefit Rate per Hour: \$43.62

Supplemental Note: For time and one half overtime - \$64.81 For double overtime - \$86.00

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Overtime Description

For Repair and Maintenance work:
Time and one half the regular rate after an 8 hour day.
Time and one half the regular rate for Saturday.
Double time the regular rate for Sunday.
For New Construction work:
Double time the regular rate after an 8 hour day.
Double time the regular time rate for Saturday.
Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s). New Year's Day
President's Day
Memorial Day
Independence Day
Columbus Day
Election Day
Veteran's Day
Thanksgiving Day
Christmas Day

Quadruple time the regular rate for work on the following holiday(s). Labor Day

Paid Holidays

Good Friday
Day after Thanksgiving
Day before Christmas
Day before New Year's Day

Shift Rates

When shifts are required, the first shift shall work eight (8) hours at the regular straight-time hourly rate. The second shift shall work seven and one-half (7 $\frac{1}{2}$) hours and receive eight hours at the regular straight time hourly rate plus twenty-five cents (\$0.25) per hour. The third shift shall work seven (7) hours and receive eight hours at the regular straight time hourly rate plus fifty cents (\$0.50) per hour. A thirty (30) minute lunch period shall not be considered as time worked. Work in excess of the above shall be paid overtime at the appropriate new construction work or repair work overtime wage and supplemental benefit hourly rate.

(Local #5)

BRICKLAYER

Bricklayer

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$55.10

Supplemental Benefit Rate per Hour: \$31.20

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

Overtime rates to be paid outside the regular scheduled work day.

(Bricklayer District Council)

CARPENTER - BUILDING COMMERCIAL

Building Commercial

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$52.50

Supplemental Benefit Rate per Hour: \$46.28

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

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Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Day after Thanksgiving Christmas Day

Paid Holidays

None

Shift Rates

The second shift will receive one hour at the double time rate of pay for the last hour of the shift; eight hours pay for seven hours of work, nine hours pay for eight hours of work. There must be a first shift in order to work a second shift.

(Carpenters District Council)

CARPENTER - HEAVY CONSTRUCTION WORK

(Construction of Engineering Structures and Building Foundations)

Heavy Construction Work

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$52.63

Supplemental Benefit Rate per Hour: \$49.66

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

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Paid Holidays

None

Shift Rates

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate.

(Carpenters District Council)

CARPENTER - HIGH RISE CONCRETE FORMS

(Excludes Engineering Structures and Building Foundations)

Carpenter High Rise A

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$50.78

Supplemental Benefit Rate per Hour: \$41.49

Carpenter High Rise B

Carpenter High Rise B worker is excluded from high risk operations such as erection decking, perimeter debris netting, leading edge work, self-climbing form systems, and the installation of cocoon systems unless directly supervised by a Carpenter High Rise A worker.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$39.07

Supplemental Benefit Rate per Hour: \$16.65

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

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Presidential Election Day Thanksgiving Day Christmas Day

Paid Holidays

None

Shift Rates

The second shift wage rate shall be 113% of the straight time hourly wage rate. There must be a first shift in order to work a second shift.

(Carpenters District Council)

CARPENTER - SIDEWALK SHED, SCAFFOLD AND HOIST

Carpenter - Hod Hoist

(Assisted by Mason Tender)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$50.50

Supplemental Benefit Rate per Hour: \$39.46

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

Shift Rates

The second shift will receive one hour at the double time rate of pay for the last hour of the shift; eight hours pay for seven hours of work, nine hours pay for eight hours of work. There must be a first shift in order to work a second shift.

(Carpenters District Council)

CEMENT & CONCRETE WORKER

Cement & Concrete Worker

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$42.48

Supplemental Benefit Rate per Hour: \$26.00

Supplemental Note: \$29.50 on Saturdays; \$33.00 on Sundays & Holidays

Cement & Concrete Worker - (Hired after 2/6/2016)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$32.00

Supplemental Benefit Rate per Hour: \$18.00

Supplemental Note: \$19.50 on Saturdays; \$21.00 on Sundays & Holidays

Overtime Description

Time and one half the regular rate after 7 hour day (time and one half the regular rate after an 8 hour day when working with Dockbuilders on pile cap forms and for work below street level to the top of the foundation wall, not to exceed 2 feet or 3 feet above the sidewalk-brick shelf, when working on the foundation and structure.)

Overtime

Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).
New Year's Day
President's Day
Good Friday
Memorial Day
Independence Day
Labor Day

Columbus Day Presidential Election Day Thanksgiving Day

Paid Holidays

Christmas Day

1/2 day before Christmas Day 1/2 day before New Year's Day

Shift Rates

On shift work extending over a twenty-four hour period, all shifts are paid at straight time.

(Cement Concrete Workers District Council)

CEMENT MASON

Cement Mason

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$42.62

Supplemental Benefit Rate per Hour: \$38.96

Supplemental Note: For time and one half overtime - \$48.21; For double overtime - \$57.46

Overtime Description

Time and one-half the regular rate after an 8 hour day, double time the regular rate after 10 hours. Time and one-half the regular rate on Saturday, double time the regular rate after 10 hours. Double time the regular rate on Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

Any worker who reports to work on Christmas Eve or New Year's Eve pursuant to his employer's instruction shall be entitled to three (3) hours afternoon pay without working.

Shift Rates

For an off shift day, (work at times other than the regular 7:00 A.M. to 3:30 P.M. work day) a cement mason shall be paid at the regular hourly rate plus a 25% per hour differential. Four Days a week at Ten (10)hour day.

(Local #780) (BCA)

CORE DRILLER

Core Driller

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$38.82

Supplemental Benefit Rate per Hour: \$24.66

Core Driller Helper

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$30.96

Supplemental Benefit Rate per Hour: \$24.66

Core Driller Helper(Third year in the industry)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$27.86

Supplemental Benefit Rate per Hour: \$24.66

Core Driller Helper (Second year in the industry)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$24.77

Supplemental Benefit Rate per Hour: \$24.66

Core Driller Helper (First year in the industry)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$21.67

Supplemental Benefit Rate per Hour: \$24.66

Overtime Description

Time and one half the regular rate for work on a holiday plus Holiday pay when worked.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day Memorial Day Independence Day

Labor Day Thanksgiving Day Christmas Day

Shift Rates

The shift day shall be the continuous eight and one-half ($8\frac{1}{2}$) hours from 6:00 A.M. to 2:30 P.M. and from 2:30 P.M. to 11:00 P.M., including one-half ($\frac{1}{2}$) hour of employees regular rate of pay for lunch. When two (2) or more shifts are employed, single time shall be paid for each shift, but those employees employed on a shift other than from 8:00 A.M. to 5:00 P.M. shall, in addition, receive seventy-five cents (\$0.75) per hour differential for each hour worked. When three (3) shifts are needed, each shift shall work seven and one-half ($\frac{7}{2}$) hours paid for eight (8) hours of labor and be permitted one-half ($\frac{1}{2}$) hour for mealtime.

(Carpenters District Council)

DERRICKPERSON AND RIGGER

Derrick Person & Rigger

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$46.86

Supplemental Benefit Rate per Hour: \$51.40

Supplemental Note: The above supplemental rate applies for work performed in Manhattan, Bronx, Brooklyn and

Queens. \$52.82 - For work performed in Staten Island.

Derrick Person & Rigger - Site Work

Assists the Stone Mason-Setter in the setting of stone

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$40.29

Supplemental Benefit Rate per Hour: \$39.23

Overtime Description

The first two hours of overtime on weekdays and the first seven hours of work on Saturdays are paid at time and one half for wages and supplemental benefits. All additional overtimes is paid at double time for wages and supplemental benefits. Deduct \$1.42 from the Staten Island hourly benefits rate before computing overtime.

Overtime

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s). New Year's Day Washington's Birthday Good Friday Memorial Day

Independence Day Labor Day Thanksgiving Day Christmas Day

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

(Local #197)

DIVER

Diver (Marine)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$66.66

Supplemental Benefit Rate per Hour: \$49.66

Diver Tender (Marine)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$47.34

Supplemental Benefit Rate per Hour: \$49.66

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

When three shifts are utilized each shift shall work seven and one half-hours (7 1/2 hours) and paid for 8 hours, allowing for one half hour for lunch.

(Carpenters District Council)

DOCKBUILDER - PILE DRIVER

Dockbuilder - Pile Driver

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$52.63

Supplemental Benefit Rate per Hour: \$49.66

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate.

(Carpenters District Council)

DRIVER: TRUCK (TEAMSTER)

Driver - Dump Truck

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$41.18

Supplemental Benefit Rate per Hour: \$44.79

Supplemental Note: Over 40 hours worked: at time and one half rate - \$19.94; at double time rate - \$26.58

Driver - Tractor Trailer

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$42.22

Supplemental Benefit Rate per Hour: \$45.40

Supplemental Note: Over 40 hours worked: at time and one half rate - \$17.55; at double time rate - \$23.40

Driver - Euclid & Turnapull Operator

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$42.78

Supplemental Benefit Rate per Hour: \$45.40

Supplemental Note: Over 40 hours worked: at time and one half rate - \$17.55 at double time rate - \$23.40

Overtime Description

For Paid Holidays: Holiday pay for all holidays shall be prorated based two hours per day for each day worked in the holiday week, not to exceed 8 hours of holiday pay. For Thanksgiving week, the prorated share shall be 5 1/3 hours of holiday pay for each day worked in Thanksgiving week.

Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s). **New Year's Day**

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

New Year's Day

President's Day

Memorial Day

Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

Shift Rates

Off single shift work commencing between 6:00 P.M. and 5:00 A.M. shall work eight and one half hours allowing for one half hour for lunch and receive 9 hours pay for 8 hours of work.

Driver Redi-Mix (Sand & Gravel)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$38.40

Supplemental Benefit Rate per Hour: \$42.12

Supplemental Note: Over 40 hours worked: time and one half rate \$15.99, double time rate \$21.33

Overtime Description

For Paid Holidays: Employees working two (2) days in the calendar week in which the holiday falls are to paid for these holidays, provided they shape each remaining workday during that calendar week.

Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s). President's Day Columbus Day Veteran's Day

Triple time the regular rate for work on the following holiday(s).
New Year's Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Paid Holidays

New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day

Election Day Thanksgiving Day Christmas Day

(Local #282)

ELECTRICIAN

(Including all low voltage cabling carrying data; video; and voice in combination with data and or video.)

Electrician "A" (Regular Day / Day Shift)

Effective Period: 7/1/2017 - 5/9/2018 Wage Rate per Hour: \$56.00

Supplemental Benefit Rate per Hour: \$54.35

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$56.00

Supplemental Benefit Rate per Hour: \$55.72

Electrician "A" (Regular Day Overtime after 7 hrs / Day Shift Overtime after 8 hrs)

Effective Period: 7/1/2017 - 5/9/2018 Wage Rate per Hour: \$84.00

Supplemental Benefit Rate per Hour: \$57.86

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$84.00

Supplemental Benefit Rate per Hour: \$59.23

Electrician "A" (Swing Shift)

Effective Period: 7/1/2017 - 5/9/2018

Wage Rate per Hour: \$65.71

Supplemental Benefit Rate per Hour: \$61.94

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$65.71

Supplemental Benefit Rate per Hour: \$63.52

Electrician "A" (Swing Shift Overtime After 7.5 hours)

Effective Period: 7/1/2017 - 5/9/2018

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Wage Rate per Hour: \$98.57

Supplemental Benefit Rate per Hour: \$66.05

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$98.57

Supplemental Benefit Rate per Hour: \$67.64

Electrician "A" (Graveyard Shift)

Effective Period: 7/1/2017 - 5/9/2018

Wage Rate per Hour: \$73.60

Supplemental Benefit Rate per Hour: \$68.33

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$73.60

Supplemental Benefit Rate per Hour: \$70.09

Electrician "A" (Graveyard Shift Overtime After 7 hours)

Effective Period: 7/1/2017 - 5/9/2018 Wage Rate per Hour: \$110.40

Supplemental Benefit Rate per Hour: \$72.95

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$110.40

Supplemental Benefit Rate per Hour: \$74.70

Overtime

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on a holiday. New Year's Day
Martin Luther King Jr. Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

Shift Rates

When so elected by the Employer, one or more shifts of at least five days duration may be scheduled as follows: Day Shift: 8:00 am to 4:30 pm, Swing Shift 4:30 pm to 12:30 am, Graveyard Shift: 12:30 am to 8:00 am.

For multiple shifts of temporary light and/or power, the temporary light and/or power employee shall be paid for 8 hours at the straight time rate. For three or less workers performing 8 hours temporary light and/or power the supplemental benefit rate is \$25.67 and effective 5/10/18 \$25.92.

Electrician "M" (First 8 hours)

"M" rated work shall be defined as jobbing: electrical work of limited duration and scope, also consisting of repairs and/or replacement of electrical and tele-data equipment. Includes all work necessary to retrofit, service, maintain and repair all kinds of lighting fixtures and local lighting controls and washing and cleaning of foregoing fixtures.

Effective Period: 7/1/2017 - 5/9/2018 Wage Rate per Hour: \$28.50

Supplemental Benefit Rate per Hour: \$22.10

First and Second Year "M" Wage Rate Per Hour: \$24.00 First and Second Year "M" Supplemental Rate: \$19.80

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$29.00

Supplemental Benefit Rate per Hour: \$22.65

First and Second Year "M" Wage Rate Per Hour: \$24.50 First and Second Year "M" Supplemental Rate: \$20.30

Electrician "M" (Overtime After First 8 hours)

"M" rated work shall be defined as jobbing: electrical work of limited duration and scope, also consisting of repairs and/or replacement of electrical and tele-data equipment. Includes all work necessary to retrofit, service, maintain and repair all kinds of lighting fixtures and local lighting controls and washing and cleaning of foregoing fixtures.

Effective Period: 7/1/2017 - 5/9/2018 Wage Rate per Hour: \$42.75

Supplemental Benefit Rate per Hour: \$23.89

First and Second Year "M" Wage Rate Per Hour: \$36.00 First and Second Year "M" Supplemental Rate: \$21.30

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$43.50

Supplemental Benefit Rate per Hour: \$24.47

First and Second Year "M" Wage Rate Per Hour: \$36.75 First and Second Year "M" Supplemental Rate: \$21.84

Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

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Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Paid Holidays

Christmas Day

None

(Local #3)

ELECTRICIAN - ALARM TECHNICIAN

(Scope of Work - Inspect, test, repair, and replace defective, malfunctioning, or broken devices, components and controls of Fire, Burglar and Security Systems)

Alarm Technician

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$32.40

Supplemental Benefit Rate per Hour: \$16.10

Supplemental Note: \$14.60 only after 8 hours worked in a day

Overtime Description

Time and one half the regular rate for work on the following holidays: Columbus Day, Veterans Day, Day after Thanksgiving.

Double time the regular rate for work on the following holidays: New Year's day, Martin Luther King Jr. Day, President's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day.

Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Paid Holidays

New Year's Day

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Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

Shift Rates

Night Differential is based upon a ten percent (10%) differential between the hours of 4:00 P.M. and 12:30 A.M. and a fifteen percent (15%) differential for the hours 12:00 A.M. to 8:00 A.M.

Vacation

At least 1 year of employment.......ten (10) days 5 years or more of employment......fifteen (15) days 10 years of employment.......twenty (20) days

Plus one Personal Day per year

Sick Days:

One day per Year. Up to 4 vacation days may be used as sick days.

(Local #3)

ELECTRICIAN-STREET LIGHTING WORKER

Electrician - Electro Pole Electrician

Effective Period: 7/1/2017 - 5/15/2018

Wage Rate per Hour: \$56.00

Supplemental Benefit Rate per Hour: \$56.26

Effective Period: 5/16/2018 - 6/30/2018

Wage Rate per Hour: \$56.00

Supplemental Benefit Rate per Hour: \$57.63

<u>Electrician - Electro Pole Foundation Installer</u>

Effective Period: 7/1/2017 - 5/15/2018

Wage Rate per Hour: \$41.54

Supplemental Benefit Rate per Hour: \$41.02

Effective Period: 5/16/2018 - 6/30/2018

Wage Rate per Hour: \$42.16

Supplemental Benefit Rate per Hour: \$42.19

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Electrician - Electro Pole Maintainer

Effective Period: 7/1/2017 - 5/16/2018

Wage Rate per Hour: \$35.58

Supplemental Benefit Rate per Hour: \$36.89

Effective Period: 5/17/2018 - 6/30/2018

Wage Rate per Hour: \$36.11

Supplemental Benefit Rate per Hour: \$37.93

Overtime Description

Electrician - Electro Pole Electrician: Time and one half the regular rate after a 7 hour day and after 5 consecutive days worked per week.

Electrician - Electro Pole Foundation Installer: Time and one half the regular rate after 8 hours within a 24 hour

period and Saturday and Sunday.

Electrician - Electro Pole Maintainer: Time and one half the regular rate after a 7 hour day and after 5 consecutive days worked per week. Saturdays and Sundays may be used as a make-up day at straight time when a day is lost during the week to inclement weather.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Paid Holidays

Christmas Day

None

(Local #3)

ELEVATOR CONSTRUCTOR

Elevator Constructor

Effective Period: 7/1/2017 - 3/16/2018

Wage Rate per Hour: \$62.64

Supplemental Benefit Rate per Hour: \$34.25

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Effective Period: 3/17/2018 - 6/30/2018

Wage Rate per Hour: \$64.48

Supplemental Benefit Rate per Hour: \$35.85

Overtime Description

For New Construction: work performed after 7 or 8 hour day, Saturday, Sunday or between 4:30pm and 7:00am shall be paid at double time rate.

Existing buildings: work performed after an 8 hour day, Saturday, Sunday or between 5:30pm and 7:00 am shall be paid time and one half.

Overtime

Double time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day
President's Day
Good Friday
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Vacation

Employer contributes 8% of regular basic hourly rate as vacation pay for employees with more than 15 years of service, and 6% for employees with 5 to 15 years of service, and 4% for employees with less than 5 years of service.

(Local #1)

ELEVATOR REPAIR & MAINTENANCE

Elevator Service/Modernization Mechanic

Effective Period: 7/1/2017 - 3/16/2018

Wage Rate per Hour: \$49.14

Supplemental Benefit Rate per Hour: \$34.11

Effective Period: 3/17/2018 - 6/30/2018

Wage Rate per Hour: \$50.49

Supplemental Benefit Rate per Hour: \$35.71

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Overtime Description

For Scheduled Service Work: Double time - work scheduled in advance by two or more workers performed on Sundays, Holidays, and between midnight and 7:00am.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

Time and one half the regular rate for work on a holiday plus the day's pay.

Paid Holidays

New Year's Day
President's Day
Good Friday
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Shift Rates

Afternoon shift - regularly hourly rate plus a (15%) fifteen percent differential. Graveyard shift - time and one half the regular rate.

Vacation

Employer contributes 8% of regular basic hourly rate as vacation pay for employees with more than 15 years of service, and 6% for employees with 5 to 15 years of service, and 4% for employees with less than 5 years of service.

(Local #1)

ENGINEER

Engineer - Heavy Construction Operating Engineer I

Cherrypickers 20 tons and over and Loaders (rubber tired and/or tractor type with a manufacturer's minimum rated capacity of six cubic yards and over).

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$67.32

Supplemental Benefit Rate per Hour: \$36.87 Supplemental Note: \$66.34 on overtime

Shift Wage Rate: \$107.71

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Engineer - Heavy Construction Operating Engineer II

Backhoes, Basin Machines, Groover, Mechanical Sweepers, Bobcat, Boom Truck, Barrier Transport (Barrier Mover) & machines of similar nature. Operation of Churn Drills and machines of a similar nature, Stetco Silent Hoist and machines of similar nature, Vac-Alls, Meyers Machines, John Beam and machines of a similar nature, Ross Carriers and Travel Lifts and machines of a similar nature, Bulldozers, Scrapers and Turn-a-Pulls: Tugger Hoists (Used exclusively for handling excavated material); Tractors with attachments, Hyster and Roustabout Cranes, Cherrypickers. Austin Western, Grove and machines of a similar nature, Scoopmobiles, Monorails, Conveyors, Trenchers: Loaders-Rubber Tired and Tractor: Barber Greene and Eimco Loaders and Eimco Backhoes; Mighty Midget and similar breakers and Tampers, Curb and Gutter Pavers and Motor Patrol, Motor Graders and all machines of a similar nature. Locomotives 10 Tons or under. Mini-Max, Break-Tech and machines of a similar nature; Milling machines, robotic and demolition machines and machines of a similar nature, shot blaster, skid steer machines and machines of a similar nature including bobcat, pile rig rubber-tired excavator (37,000 lbs. and under), 2 man auger.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$65.31

Supplemental Benefit Rate per Hour: \$36.87 Supplemental Note: \$66.34 on overtime

Shift Wage Rate: \$104.50

Engineer - Heavy Construction Operating Engineer III

Minor Equipment such as Tractors, Post Hole Diggers, Ditch Witch (Walk Behind), Road Finishing Machines, Rollers five tons and under, Tugger Hoists, Dual Purpose Trucks, Fork Lifts, and Dempsey Dumpers, Fireperson.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$61.93

Supplemental Benefit Rate per Hour: \$36.87 Supplemental Note: \$66.34 on overtime

Shift Wage Rate: \$99.09

Engineer - Heavy Construction Maintenance Engineer I

Installing, Repairing, Maintaining, Dismantling and Manning of all equipment including Steel Cutting, Bending and Heat Sealing Machines, Mechanical Heaters, Grout Pumps, Bentonite Pumps & Plants, Screening Machines, Fusion Coupling Machines, Tunnel Boring Machines Moles and Machines of a similar nature, Power Packs, Mechanical Hydraulic Jacks; all drill rigs including but not limited to Churn, Rotary Caisson, Raised Bore & Drills of a similar nature; Personnel, Inspection & Safety Boats or any boats used to perform functions of same, Mine Hoists, Whirlies, all Climbing Cranes, all Tower Cranes, including but not limited to Truck Mounted and Crawler Type and machines of similar nature; Maintaining Hydraulic Drills and machines of a similar nature; Well Point System-Installation and dismantling; Burning, Welding, all Pumps regardless of size and/or motor power, except River Cofferdam Pumps and Wells Point Pumps; Motorized Buggies (three or more); equipment used in the cleaning and televising of sewers, but not limited to jet-rodder/vacuum truck, vacall/vactor, closed circuit television inspection equipment; high powered water pumps, jet pumps; screed machines and concrete finishing machines of a similar nature; vermeers.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$65.00

Supplemental Benefit Rate per Hour: \$36.87 Supplemental Note: \$66.34 on overtime

Shift Wage Rate: \$104.00

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Engineer - Heavy Construction Maintenance Engineer II

On Base Mounted Tower Cranes

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$85.53

Supplemental Benefit Rate per Hour: \$36.87 Supplemental Note: \$66.34 on overtime

Shift Wage Rate: \$136.85

Engineer - Heavy Construction Maintenance Engineer III

On Generators, Light Towers

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$42.73

Supplemental Benefit Rate per Hour: \$36.87 Supplemental Note: \$66.34 on overtime

Shift Wage Rate: \$68.37

Engineer - Heavy Construction Maintenance Engineer IV

On Pumps and Mixers including mud sucking

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$43.86

Supplemental Benefit Rate per Hour: \$36.87 Supplemental Note: \$66.34 on overtime

Shift Wage Rate: \$70.18

Engineer - Heavy Construction Oilers I

Gradalls, Cold Planer Grader, Concrete Pumps, Driving Truck Cranes, Driving and Operating Fuel and Grease Trucks.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$58.57

Supplemental Benefit Rate per Hour: \$36.87 Supplemental Note: \$66.34 on overtime

Shift Wage Rate: \$93.71

Engineer - Heavy Construction Oilers II

All gasoline, electric, diesel or air operated Shovels, Draglines, Backhoes, Keystones, Pavers, Gunite Machines, Battery of Compressors, Crawler Cranes, two-person Trenching Machines.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$40.36

Supplemental Benefit Rate per Hour: \$36.87

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Supplemental Note: \$66.34 on overtime

Shift Wage Rate: \$64.58

Engineer - Steel Erection Maintenance Engineers

Derrick, Travelers, Tower, Crawler Tower and Climbing Cranes

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$61.13

Supplemental Benefit Rate per Hour: \$35.41 Supplemental Note: \$63.67 on overtime

Shift Wage Rate: \$97.81

Engineer - Steel Erection Oiler I

On a Truck Crane

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$57.21

Supplemental Benefit Rate per Hour: \$35.41 Supplemental Note: \$63.67 on overtime

Shift Wage Rate: \$91.54

Engineer - Steel Erection Oiler II

On a Crawler Crane

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$43.54

Supplemental Benefit Rate per Hour: \$35.41 Supplemental Note: \$63.67 on overtime

Shift Wage Rate: \$69.66

Overtime Description

On jobs of more than one shift, if the next shift employee fails to report for work through any cause over which the employer has no control, the employee on duty who works the next shift continues to work at the single time rate.

Overtime

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day Lincoln's Birthday President's Day Memorial Day Independence Day

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Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

Engineer - Building Work Maintenance Engineers I

Installing, repairing, maintaining, dismantling (of all equipment including: Steel Cutting and Bending Machines, Mechanical Heaters, Mine Hoists, Climbing Cranes, Tower Cranes, Linden Peine, Lorain, Liebherr, Mannes, or machines of a similar nature, Well Point Systems, Deep Well Pumps, Concrete Mixers with loading Device, Concrete Plants, Motor Generators when used for temporary power and lights), skid steer machines of a similar nature including bobcat.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$58.30

Supplemental Benefit Rate per Hour: \$35.41 Supplemental Note: \$63.67 on overtime

Engineer - Building Work Maintenance Engineers II

On Pumps, Generators, Mixers and Heaters

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$45.28

Supplemental Benefit Rate per Hour: \$35.41 Supplemental Note: \$63.67 on overtime

Engineer - Building Work Oilers I

All gasoline, electric, diesel or air operated Gradealls: Concrete Pumps, Overhead Cranes in Power Houses: Their duties shall be to assist the Engineer in oiling, greasing and repairing of all machines; Driving Truck Cranes: Driving and Operating Fuel and Grease Trucks, Cherrypickers (hydraulic cranes) over 70,000 GVW, and machines of a similar nature.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$55.42

Supplemental Benefit Rate per Hour: \$35.41 Supplemental Note: \$63.67 on overtime

Engineer - Building Work Oilers II

Oilers on Crawler Cranes, Backhoes, Trenching Machines, Gunite Machines, Compressors (three or more in Battery).

Effective Period: 7/1/2017 - 6/30/2018

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Wage Rate per Hour: \$41.16

Supplemental Benefit Rate per Hour: \$35.41 Supplemental Note: \$63.67 on overtime

Overtime Description

On jobs of more than one shift, if an Employee fails to report for work through any cause over which the Employer has no control, the Employee on duty will continue to work at the rate of single time.

Overtime

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day Lincoln's Birthday President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

Shift Rates

Off Shift: double time the regular hourly rate.

(Local #15)

ENGINEER - CITY SURVEYOR AND CONSULTANT

Party Chief

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$38.18

Supplemental Benefit Rate per Hour: \$20.15

Supplemental Note: Overtime Benefit Rate - \$27.65 per hour (time & one half) \$35.15 per hour (double time).

Instrument Person

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$31.47

Supplemental Benefit Rate per Hour: \$20.15

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Supplemental Note: Overtime Benefit Rate - \$27.65 per hour (time & one half) \$35.15 per hour (double time).

Rodperson

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$27.24

Supplemental Benefit Rate per Hour: \$20.15

Supplemental Note: Overtime Benefit Rate - \$27.65 per hour (time & one half) \$35.15 per hour (double time).

Overtime Description

Time and one half the regular rate after an 8 hour day, Time and one half the regular rate for Saturday for the first eight hours worked, Double time the regular time rate for Saturday for work performed in excess of eight hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

Paid Holidays

New Year's Day
Lincoln's Birthday
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

ENGINEER - FIELD (BUILDING CONSTRUCTION)

(Construction of Building Projects, Concrete Superstructures, etc.)

Field Engineer - BC Party Chief

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$60.10

Supplemental Benefit Rate per Hour: \$32.15

Supplemental Note: Overtime Benefit Rate - \$44.90 per hour (time & one half) \$57.65 per hour (double time).

Field Engineer - BC Instrument Person

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$46.69

Supplemental Benefit Rate per Hour: \$32.15

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Supplemental Note: Overtime Benefit Rate - \$44.90 per hour (time & one half) \$57.65 per hour (double time).

Field Engineer - BC Rodperson

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$30.20

Supplemental Benefit Rate per Hour: \$32.15

Supplemental Note: Overtime Benefit Rate - \$44.90 per hour (time & one half) \$57.65 per hour (double time).

Overtime Description

Time and one half the regular rate after a 7 hour work and time and one half the regular rate for Saturday for the first seven hours worked, Double time the regular time rate for Saturday for work performed in excess of seven hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

Paid Holidays

New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

ENGINEER - FIELD (HEAVY CONSTRUCTION)

(Construction of Roads, Tunnels, Bridges, Sewers, Building Foundations, Engineering Structures etc.)

Field Engineer - HC Party Chief

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$70.25

Supplemental Benefit Rate per Hour: \$34.18

Supplemental Note: Overtime benefit rate - \$47.82 per hour (time & one half), \$61.46 per hour (double time).

Field Engineer - HC Instrument Person

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$51.64

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Supplemental Benefit Rate per Hour: \$34.18

Supplemental Note: Overtime benefit rate - \$47.82 per hour (time & one half), \$61.46 per hour (double time).

Field Engineer - HC Rodperson

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$43.37

Supplemental Benefit Rate per Hour: \$34.18

Supplemental Note: Overtime benefit rate - \$47.82 per hour (time & one half), \$61.46 per hour (double time).

Overtime Description

Time and one half the regular rate after an 8 hour day, Time and one half the regular rate for Saturday for the first eight hours worked, Double time the regular time rate for Saturday for work performed in excess of eight hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

Paid Holidays

New Year's Day Lincoln's Birthday President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

ENGINEER - FIELD (STEEL ERECTION)

Field Engineer - Steel Erection Party Chief

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$63.64

Supplemental Benefit Rate per Hour: \$33.04

Supplemental Note: Overtime benefit rate - \$46.11 per hour (time & one half), \$59.18 per hour (double time).

<u>Field Engineer - Steel Erection Instrument Person</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$49.59

Supplemental Benefit Rate per Hour: \$33.04

Supplemental Note: Overtime benefit rate - \$46.11 per hour (time & one half), \$59.18 per hour (double time).

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Field Engineer - Steel Erection Rodperson

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$33.20

Supplemental Benefit Rate per Hour: \$33.04

Supplemental Note: Overtime benefit rate - \$46.11 per hour (time & one half), \$59.18 per hour (double time).

Overtime Description

Time and one half the regular rate for Saturday for the first eight hours worked. Double time the regular rate for Saturday for work performed in excess of eight hours.

Overtime

Time and one half the regular rate after an 8 hour day. Double time the regular rate for Sunday. Double time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day Lincoln's Birthday President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

ENGINEER - OPERATING

Operating Engineer - Road & Heavy Construction I

Back Filling Machines, Cranes, Mucking Machines and Dual Drum Paver.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$76.60

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$122.56

Operating Engineer - Road & Heavy Construction II

Backhoes, Power Shovels, Hydraulic Clam Shells, Steel Erection, Moles and machines of a similar nature.

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Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$79.28

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$126.85

Operating Engineer - Road & Heavy Construction III

Mine Hoists, Cranes, etc. (Used as Mine Hoists)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$81.80

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$130.88

Operating Engineer - Road & Heavy Construction IV

Gradealls, Keystones, Cranes on land or water (with digging buckets), Bridge Cranes, Vermeer Cutter and machines of a similar nature, Trenching Machines.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$79.85

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$127.76

Operating Engineer - Road & Heavy Construction V

Pile Drivers & Rigs (employing Dock Builder foreperson): Derrick Boats, Tunnel Shovels.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$78.29

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$125.26

Operating Engineer - Road & Heavy Construction VI

Mixers (Concrete with loading attachment), Concrete Pavers, Cableways, Land Derricks, Power Houses (Low Air Pressure Units).

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$74.42

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$119.07

Operating Engineer - Road & Heavy Construction VII

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Barrier Movers, Barrier Transport and Machines of a Similar Nature.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$60.22

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$96.35

Operating Engineer - Road & Heavy Construction VIII

Utility Compressors

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$46.88

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$58.92

Operating Engineer - Road & Heavy Construction IX

Horizontal Boring Rig

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$70.79

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$113.26

Operating Engineer - Road & Heavy Construction X

Elevators (manually operated as personnel hoist).

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$65.12

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$104.19

Operating Engineer - Road & Heavy Construction XI

Compressors (Portable 3 or more in battery), Driving of Truck Mounted Compressors, Well-point Pumps, Tugger Machines Well Point Pumps, Churn Drill.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$50.73

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$81.17

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Operating Engineer - Road & Heavy Construction XII

All Drills and Machines of a similar nature.

Effective Period: 7/1/2017 - 6/30/2018 Wage Rate per Hour: \$75.19

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$120.30

Operating Engineer - Road & Heavy Construction XIII

Concrete Pumps, Concrete Plant, Stone Crushers, Double Drum Hoist, Power Houses (other than above).

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$72.84

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$116.54

Operating Engineer - Road & Heavy Construction XIV

Concrete Mixer

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$69.67

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$111.47

Operating Engineer - Road & Heavy Construction XV

Compressors (Portable Single or two in Battery, not over 100 feet apart), Pumps (River Cofferdam) and Welding Machines, Push Button Machines, All Engines Irrespective of Power (Power-Pac) used to drive auxiliary equipment, Air, Hydraulic, etc.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$47.18

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$75.49

Operating Engineer - Road & Heavy Construction XVI

Concrete Breaking Machines, Hoists (Single Drum), Load Masters, Locomotives (over ten tons) and Dinkies over ten tons, Hydraulic Crane-Second Engineer.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$66.56

Supplemental Benefit Rate per Hour: \$31.10

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Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$106.50

Operating Engineer - Road & Heavy Construction XVII

On-Site concrete plant engineer, On-site Asphalt Plant Engineer, and Vibratory console.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$67.07

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$107.31

Operating Engineer - Road & Heavy Construction XVIII

Tower Crane

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$95.98

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$153.57

Operating Engineer - Paving I

Asphalt Spreaders, Autogrades (C.M.I.), Roto/Mil

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$74.42

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$119.07

Operating Engineer - Paving II

Asphalt Roller

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$72.50

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$116.00

Operating Engineer - Paving III

Asphalt Plants

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$61.43

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Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$98.29

Operating Engineer - Concrete I

Cranes

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$79.50

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Operating Engineer - Concrete II

Compressors

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$47.54

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Operating Engineer - Concrete III

Micro-traps (Negative Air Machines), Vac-All Remediation System.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$63.66

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Operating Engineer - Steel Erection I

Three Drum Derricks

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$82.23

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$131.57

Operating Engineer - Steel Erection II

Cranes, 2 Drum Derricks, Hydraulic Cranes, Fork Lifts and Boom Trucks.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$79.04

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$126.46

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Operating Engineer - Steel Erection III

Compressors, Welding Machines.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$47.14

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$75.42

Operating Engineer - Steel Erection IV

Compressors - Not Combined with Welding Machine.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$44.91

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Shift Wage Rate: \$71.86

Operating Engineer - Building Work I

Forklifts, Plaster (Platform machine), Plaster Bucket, Concrete Pump and all other equipment used for hoisting material.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$62.87

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Operating Engineer - Building Work II

Compressors, Welding Machines (Cutting Concrete-Tank Work), Paint Spraying, Sandblasting, Pumps (with the exclusion of Concrete Pumps), All Engines irrespective of Power (Power-Pac) used to drive Auxiliary Equipment, Air, Hydraulic, Jacking System, etc.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$47.01

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Operating Engineer - Building Work III

Double Drum

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$71.60

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

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Operating Engineer - Building Work IV

Stone Derrick, Cranes, Hydraulic Cranes Boom Trucks.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$75.87

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Operating Engineer - Building Work V

Dismantling and Erection of Cranes, Relief Engineer.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$69.88

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Operating Engineer - Building Work VI

4 Pole Hoist, Single Drum Hoists.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$69.14

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

Operating Engineer - Building Work VII

Rack & Pinion and House Cars

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$54.92

Supplemental Benefit Rate per Hour: \$31.10 Supplemental Note: \$56.50 overtime hours

For New House Car projects Wage Rate per Hour \$43.77

Overtime Description

On jobs of more than one shift, if an Employee fails to report for work through any cause over which the Employer has no control, the Employee on duty will continue to work at the rate of single time.

For House Cars and Rack & Pinion only: Overtime paid at time and one-half for all hours in excess of eight hours in a day, Saturday, Sunday and Holidays worked.

Overtime

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

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Paid Holidays

New Year's Day
Lincoln's Birthday
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

Shift Rates

For Steel Erection Only: Shifts may be worked at the single time rate at other than the regular working hours (8:00 A.M. to 4:30 P.M.) on the following work ONLY: Heavy construction jobs on work below the street level, over railroad tracks and on building jobs.

(Operating Engineer Local #14)

FLOOR COVERER

(Interior vinyl composition tile, sheath vinyl linoleum and wood parquet tile including site preparation and synthetic turf not including site preparation)

Floor Coverer

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$50.50

Supplemental Benefit Rate per Hour: \$45.88

Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).
New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day

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Presidential Election Day Thanksgiving Day Day after Thanksgiving Christmas Day

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M. 1/2 day on New Year's Eve if work is performed in the A.M.

Shift Rates

Two shifts may be utilized with the first shift working 8:00 A.M. to the end of the shift at the straight time of pay. The second shift will receive one hour at double time rate for the last hour of the shift. (eight for seven, nine for eight).

(Carpenters District Council)

GLAZIER

(New Construction, Remodeling, and Alteration)

Glazier

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$44.70

Supplemental Benefit Rate per Hour: \$40.99

Supplemental Note: Supplemental Benefit Overtime Rate: \$50.09

Overtime Description

An optional 8th hour can be worked at straight time rate. If 9th hour is worked, then both hours or more (8th & 9th or more) will be at the double time rate of pay.

Overtime

Double time the regular rate after a 7 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

Shift Rates

Shifts shall be any 7 hours beyond 4:00 P.M. for which the glazier shall receive 8 hours pay for 7 hours worked.

(Local #1281)

GLAZIER - REPAIR & MAINTENANCE

(For the Installation of Glass - All repair and maintenance work on a particular building, whenever performed, where the total cumulative contract value is under \$127,628. Except where enumerated (i.e. plate glass windows) does not apply to non-residential buildings.)

Craft Jurisdiction for repair, maintenance and fabrication

Plate glass replacement, Residential glass replacement, Residential mirrors and shower doors, Storm windows and storm doors, Residential replacement windows, Herculite door repairs, Door closer repairs, Retrofit apartment house (non commercial buildings), Glass tinting.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$24.13

Supplemental Benefit Rate per Hour: \$21.12

Overtime

Time and one half the regular rate after an 8 hour day.

Double time the regular rate for Sunday.

Time and one half the regular hourly rate after 40 hours in any work week.

Paid Holidays

New Year's Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Day after Thanksgiving Christmas Day

(Local #1281)

HEAT AND FROST INSULATOR

Heat & Frost Insulator

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$58.38

Supplemental Benefit Rate per Hour: \$39.46

Overtime Description

Double time shall be paid for supplemental benefits during overtime work. 8th hour paid at time and one half.

Overtime

Double time the regular rate after an 8 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s). New Year's Day Martin Luther King Jr. Day **President's Day Memorial Day** Independence Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving **Christmas Day**

Triple time the regular rate for work on the following holiday(s). Labor Day

Paid Holidays

None

Shift Rates

The first shift shall work seven hours at the regular straight time rate. The second and third shift shall work seven hours the regular straight time hourly rate plus a fourteen percent wage and benefit premium. Off hour work in occupied or retail buildings may be worked on weekdays with an increment of \$1.00 per hour and eight hours pay for seven (7) hours worked. Double time will apply for over seven (7) hours worked on weekdays, weekends or holidays.

(Local #12) (BCA)

HOUSE WRECKER (TOTAL DEMOLITION)

House Wrecker - Tier A

On all work sites the first, second, eleventh and every third House Wrecker thereafter will be Tier A House Wreckers (i.e. 1st, 2nd, 11th, 14th etc). Other House Wreckers may be Tier B House Wreckers.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$36.33

Supplemental Benefit Rate per Hour: \$29.22

House Wrecker - Tier B

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$25.56

Supplemental Benefit Rate per Hour: \$21.63

Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

(Mason Tenders District Council)

IRON WORKER - ORNAMENTAL

Iron Worker - Ornamental

Effective Period: 7/1/2017 - 6/30/2018

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Wage Rate per Hour: \$44.20

Supplemental Benefit Rate per Hour: \$51.57

Supplemental Note: Supplemental benefits are to be paid at the applicable overtime rate when overtime is in

effect.

Overtime Description

Time and one half the regular rate after a 7 hour day for a maximum of two hours on any regular work day (the 8th and 9th hour) and double time shall be paid for all work on a regular work day thereafter, time and one half the regular rate for Saturday for the first seven hours of work and double time shall be paid for all work on a Saturday thereafter.

Overtime

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day President's Day Memorial Day Independence Day

Labor Day

Thanksgiving Day Christmas Day

Paid Holidays

None

Shift Rates

For off shift work - 8 hours pay for 7 hours of work. When two or three shifts are employed on a job, Monday through Friday, the workday for each shift shall be seven hours and paid for ten and one-half hours at the single time rate. When two or three shifts are worked on Saturday, Sunday or holidays, each shift shall be seven hours and paid fifteen and three-quarters hours.

(Local #580)

IRON WORKER - STRUCTURAL

Iron Worker - Structural

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$50.05

Supplemental Benefit Rate per Hour: \$72.53

Supplemental Note: Supplemental benefits are to be paid at the applicable overtime rate when overtime is in

effect.

Overtime Description

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Monday through Friday- the first eight hours are paid at straight time, the 9th and 10th hours are paid at time and one-half the regular rate, all additional weekday overtime is paid at double the regular rate. Saturdays- the first eight hours are paid at time and one-half the regular rate, double time thereafter. Sunday-all shifts are paid at double time.

Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s). New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M. 1/2 day on New Year's Eve if work is performed in the A.M.

Shift Rates

Monday through Friday - First Shift: First eight hours are paid at straight time, the 9th & 10th hours are paid at time and a half, double time paid thereafter. Second and third Shifts: First eight hours are paid at time and one-half, double time thereafter. Saturdays: All shifts, first eight hours paid at time and one-half, double time thereafter: Sunday all shifts are paid at double time.

(Local #40 & #361)

LABORER

(Foundation, Concrete, Excavating, Street Pipe Layer and Common)

Laborer

Excavation and foundation work for buildings, heavy construction, engineering work, and hazardous waste removal in connection with the above work. Landscaping tasks in connection with heavy construction work, engineering work and building projects. Projects include, but are not limited to pollution plants, sewers, parks, subways, bridges, highways, etc.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$41.50

Supplemental Benefit Rate per Hour: \$40.63

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Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s). New Year's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Thanksgiving Day
Christmas Day

Paid Holidays

Labor Day Thanksgiving Day

Shift Rates

When two shifts are employed, single time rate shall be paid for each shift. When three shifts are found necessary, each shift shall work seven and one half hours (7 ½), but shall be paid for eight (8) hours of labor, and be permitted one half hour for lunch.

(Local #731)

LANDSCAPING

(Landscaping tasks, as well as tree pruning, tree removing, spraying and maintenance in connection with the planting of street trees and the planting of trees in city parks but not when such activities are performed as part of, or in connection with, other construction or reconstruction projects.)

Landscaper (Above 6 years experience)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$28.75

Supplemental Benefit Rate per Hour: \$15.55

Landscaper (3 - 6 years experience)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$27.75

Supplemental Benefit Rate per Hour: \$15.55

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Landscaper (up to 3 years experience)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$25.25

Supplemental Benefit Rate per Hour: \$15.55

Groundperson

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$25.25

Supplemental Benefit Rate per Hour: \$15.55

Tree Remover / Pruner

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$33.75

Supplemental Benefit Rate per Hour: \$15.55

Landscaper Sprayer (Pesticide Applicator)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$23.75

Supplemental Benefit Rate per Hour: \$15.55

Watering - Plant Maintainer

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$18.72

Supplemental Benefit Rate per Hour: \$15.55

Overtime Description

For all overtime work performed, supplemental benefits shall include an additional seventy-five (\$0.75) cents per hour.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular rate for work on a holiday plus the day's pay.

Paid Holidays

New Year's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

Shift Rates

Work performed on a 4pm to 12am shift has a 15% differential. Work performed on a 12am to 8am shift has a 20% differential.

(Local #175)

MARBLE MECHANIC

Marble Setter

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$52.74

Supplemental Benefit Rate per Hour: \$38.67

Marble Finisher

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$41.46

Supplemental Benefit Rate per Hour: \$36.64

Marble Polisher

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$37.93

Supplemental Benefit Rate per Hour: \$28.33

Overtime Description

Supplemental Benefit contributions are to be made at the applicable overtime rates. Time and one half the regular rate after a 7 hour day or time and one half the regular rate after an 8 hour day - chosen by Employer at the start of the project and then would last for the full duration of the project.

Overtime

Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day

Columbus Day Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

(Local #7)

MASON TENDER

Mason Tender

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$37.90

Supplemental Benefit Rate per Hour: \$30.59

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

The Employer may work two (2) shifts with the first shift at the straight time wage rate and the second shift receiving eight (8) hours paid for seven (7) hours work at the straight time wage rate.

(Local #79)

MASON TENDER (INTERIOR DEMOLITION WORKER)

Mason Tender Tier A

Tier A Interior Demolition Worker performs all burning, chopping, and other technically skilled tasks related to interior demolition work.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$36.19

Supplemental Benefit Rate per Hour: \$24.25

Mason Tender Tier B

Tier B Interior Demolition Worker performs manual work and work incidental to demolition work, such as loading and carting of debris from the work site to an area where it can be loaded in to bins/trucks for removal. Also performs clean-up of the site when demolition is completed.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$25.38

Supplemental Benefit Rate per Hour: \$18.57

Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).
New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Paid Holidays

None

(Local #79)

METALLIC LATHER

Metallic Lather

Effective Period: 7/1/2017 - 6/30/2018

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Wage Rate per Hour: \$46.28

Supplemental Benefit Rate per Hour: \$42.92

Supplemental Note: Supplemental benefits for overtime are paid at the appropriate overtime rate.

Overtime Description

Overtime would be time and one half the regular rate after a seven (7) or eight (8) hours workday, which would be set at the start of the job.

Overtime

Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s). New Year's Day
Washington's Birthday
Memorial Day
Independence Day
Labor Day
Columbus Day
Thanksgiving Day
Christmas Day

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M. 1/2 day on New Year's Eve if work is performed in the A.M.

Shift Rates

There will be no shift differential paid on the first shift if more than one shift is employed. The shift differential will remain \$12/hour on the second and third shift for the first eight (8) hours if worked. There will be no pyramiding on overtime worked on second and third shifts. The time and one half (1.5x) rate will be against the base wage rate, not the shift differential

(Local #46)

MILLWRIGHT

<u>Millwright</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$51.50

Supplemental Benefit Rate per Hour: \$52.41

Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday.

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Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Good Friday
Memorial Day
Independence Day
Labor Day
Columbus Day
Presidential Election Day
Thanksgiving Day
Christmas Day

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M. 1/2 day on New Year's Eve if work is performed in the A.M.

Shift Rates

The first shift shall receive the straight time rate of pay. The second shift receives the straight time rate of pay plus fifteen (15%) per cent. Members of the second shift shall be allowed one half hour to eat, with this time being included in the hours of the workday established. There must be a first shift to work a second shift. All additional hours worked shall be paid at the time and one-half rate of pay plus fifteen (15%) per cent for weekday hours.

(Local #740)

MOSAIC MECHANIC

Mosaic Mechanic - Mosaic & Terrazzo Mechanic

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$46.86

Supplemental Benefit Rate per Hour: \$40.65

Supplemental Note: Supplemental benefits for overtime to be paid at the rate of \$51.67 per hour.

Mosaic Mechanic - Mosaic & Terrazzo Finisher

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$45.26

Supplemental Benefit Rate per Hour: \$40.63

Supplemental Note: Supplemental benefits for overtime to be paid at the rate of \$51.65

per hour.

Mosaic Mechanic - Machine Operator Grinder

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$45.26

Supplemental Benefit Rate per Hour: \$40.63

Supplemental Note: Supplemental benefits for overtime to be paid at the rate of \$51.65 per hour.

Overtime

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).
New Year's Day
Washington's Birthday
Good Friday
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

(Local #7)

PAINTER

Painter - Brush & Roller

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$42.50

Supplemental Benefit Rate per Hour: \$28.62 Supplemental Note: \$ 33.25 on overtime

Spray & Scaffold / Decorative / Sandblast

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$45.50

Supplemental Benefit Rate per Hour: \$28.62 Supplemental Note: \$33.25 on overtime

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Overtime

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s). New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Thanksgiving Day
Christmas Day

Paid Holidays

None

(District Council of Painters #9)

PAINTER - METAL POLISHER

METAL POLISHER

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$29.73

Supplemental Benefit Rate per Hour: \$7.06

METAL POLISHER - NEW CONSTRUCTION

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$30.68

Supplemental Benefit Rate per Hour: \$7.06

METAL POLISHER - SCAFFOLD OVER 34 FEET

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$33.23

Supplemental Benefit Rate per Hour: \$7.06

Overtime Description

All work performed on Saturdays shall be paid at time-in-a half. The exception being; for suspended scaffold work and work deemed as a construction project; an eight (8) hour shift lost during the week due to

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circumstances beyond the control of the employer, up to amaximumof eight (8) hours per week, may be worked on Saturday at the straight time rate.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement

Triple time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day
Martin Luther King Jr. Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Shift Rates

Four Days a week at Ten (10) hours straight a day.

Local 8A-28A

PAINTER - STRIPER

Striper (paint)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$35.00

Supplemental Benefit Rate per Hour: \$12.37

Supplemental Note: Overtime Supplemental Benefit rate - \$8.02; New Hire Rate (0-3 months) - \$0.00

Lineperson (thermoplastic)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$39.00

Supplemental Benefit Rate per Hour: \$12.37

Supplemental Note: Overtime Supplemental Benefit rate - \$8.02; New Hire Rate (0-3 months) - \$0.00

Overtime

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Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day
Good Friday
Memorial Day
Independence Day
Labor Day
Columbus Day
Presidential Election Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Shift Rates

Employees hired before April 1, 2003: 15% night shift premium differential for work commenced at 9:00 PM or later.

Vacation

Employees with one to two years service shall accrue vacation based on hours worked: 250 hours worked - 1 day vacation; 500 hours worked - 2 days vacation; 750 hours worked - 3 days vacation; 900 hours worked - 4 days vacation; 1,000 hours worked - 5 days vacation. Employees with two to five years service receive two weeks vacation. Employees with five to twenty years service receive three weeks vacation. Employees with twenty to twenty-five years service receive four weeks vacation. Employees with 25 or more years service receive five weeks vacation. Vacation must be taken during winter months. 2 Personal Days except employees hired after 4/1/12 who do not have 2 years of service.

(Local #917)

PAINTER - STRUCTURAL STEEL

Painters on Structural Steel

Effective Period: 7/1/2017 - 9/30/2017 Wage Rate per Hour: \$49.50

Supplemental Benefit Rate per Hour: \$37.08

Effective Period: 10/1/2017 - 6/30/2018

Wage Rate per Hour: \$50.00

Supplemental Benefit Rate per Hour: \$38.33

Painter - Power Tool

Effective Period: 7/1/2017 - 9/30/2017

Wage Rate per Hour: \$55.50

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Supplemental Benefit Rate per Hour: \$37.08

Overtime Wage Rate: \$6.00 above the "Painters on Structural Steel" overtime rate.

Effective Period: 10/1/2017 - 6/30/2018

Wage Rate per Hour: \$56.00

Supplemental Benefit Rate per Hour: \$38.33

Overtime Wage Rate: \$6.00 above the "Painters on Structural Steel" overtime rate.

Overtime Description

Supplemental Benefits shall be paid for each hour worked, up to forty (40) hours per week for the period of May 1st to November 15th or up to fifty (50) hours per week for the period of November 16th to April 30th.

Overtime

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).
New Year's Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Paid Holidays

None

Shift Rates

Regular hourly rates plus a ten per cent (10%) differential

(Local #806)

PAPERHANGER

<u>Paperhanger</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$44.89

Supplemental Benefit Rate per Hour: \$31.13

Supplemental Note: Supplemental benefits are to be paid at the appropriate straight time and overtime rate.

Overtime

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday.

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Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

Shift Rates

Evening shift - 4:30 P.M. to 12:00 Midnight (regular rate of pay); any work performed before 7:00 A.M. shall be at time and one half the regular base rate of pay.

(District Council of Painters #9)

PAVER AND ROADBUILDER

Paver & Roadbuilder - Formsetter

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$45.85

Supplemental Benefit Rate per Hour: \$40.98

Paver & Roadbuilder - Laborer

Paving and road construction work, regardless of material used, including but not limited to preparation of job sites, removal of old surfaces, asphalt and/or concrete, by whatever method, including but not limited to milling; laying of concrete; laying of asphalt for temporary, patchwork, and utility paving (but not production paving); site preparation and incidental work before the installation of rubberized materials and similar surfaces; installation and repair of temporary construction fencing; slurry seal coating, maintenance of safety surfaces; play equipment installation, and other related work.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$41.98

Supplemental Benefit Rate per Hour: \$40.98

Production Paver & Roadbuilder - Screed Person

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(Production paving is asphalt paving when using a paving machine or on a project where a paving machine is traditionally used)

Adjustment of paving machinery on production paving jobs.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$46.45

Supplemental Benefit Rate per Hour: \$40.98

Production Paver & Roadbuilder - Raker

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$45.85

Supplemental Benefit Rate per Hour: \$40.98

Production Paver & Roadbuilder - Shoveler

General laborer (except removal of surfaces - see Paver and Roadbuilder-Laborer) including but not limited to tamper, AC paint and liquid tar work.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$42.37

Supplemental Benefit Rate per Hour: \$40.98

Overtime Description

If an employee works New Year's Day or Christmas Day, they receive the single time rate plus 25%.

Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s). Memorial Day Independence Day Labor Day Columbus Day Thanksgiving Day

Shift Rates

When two shifts are employed, the work period for each shift shall be a continuous eight (8) hours. When three shifts are employed, each shift will work seven and one half (7 $\frac{1}{2}$) hours but will be paid for eight (8) hours since only one half (1/2) hour is allowed for meal time.

When two or more shifts are employed, single time will be paid for each shift.

Night Work - On night work, the first eight (8) hours of work will be paid for at the single time rate, except that production paving work shall be paid at 10% over the single time rate for the screed person, rakers and shovelers directly involved only. This differential is to be paid when there is only one shift and the shift works at night. All other workers will be exempt. Hours worked over eight (8) hours during said shift shall be paid for at the time and one-half rate.

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(Local #1010)

PLASTERER

Plasterer

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$44.93

Supplemental Benefit Rate per Hour: \$25.15

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

When it is not possible to conduct alteration work during regular work hours, in a building occupied by tenants, said work shall proceed on a shift basis: however work over seven (7) hours in any twenty four (24) hour period, the time after seven (7) hours shall be considered overtime.

The second shift shall start at a time between 3:30 p.m. and 7:00 p.m. and shall consist of seven (7) working hours and shall receive eight (8) hours of wages and benefits at the straight time rate. The workers on the second shift shall be allowed one-half ($\frac{1}{2}$) hour to eat with this time being included in the seven (7) hours of work.

(Local #262)

PLASTERER - TENDER

Plasterer - Tender

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$37.90

Supplemental Benefit Rate per Hour: \$30.59

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

Memorial Day

Independence Day

Labor Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

When work commences outside regular work hours, workers receive an hour additional (differential) wage and supplement payment. Eight hours pay for seven hours work or nine hours pay for eight hours work.

(Mason Tenders District Council)

PLUMBER

<u>Plumber</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$67.25

Supplemental Benefit Rate per Hour: \$31.80

Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

Plumber - Temporary Services

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Temporary Services - When there are no Plumbers on the job site, there may be three shifts designed to cover the entire twenty-four hour period, including weekends if necessary, at the following rate straight time.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$53.88

Supplemental Benefit Rate per Hour: \$25.36

Overtime Description

Double time the regular rate after a 7 hour day - unless for new construction site work where the plumbing contract price is \$1.5 million or less, the hours of labor can be 8 hours per day at the employers option. On Alteration jobs when other mechanical trades at the site are working an eighth hour at straight time, then the plumber shall also work an eighth hour at straight time.

Overtime

Double time the regular time rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Shift Rates

Shift work, when directly specified in public agency or authority documents where plumbing contract is \$8 million or less, will be permitted. 30% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shifts Monday to Friday. 50% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shift work performed on weekends. For shift work on holidays, double time wages and fringe benefits shall be paid.

(Plumbers Local #1)

PLUMBER (MECHNICAL EQUIPMENT AND SERVICE)

(Mechanical Equipment and Service work shall include any repair and/or replacement of the present plumbing system.)

Plumber

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$41.20

Supplemental Benefit Rate per Hour: \$15.41

Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

(Plumbers Local # 1)

PLUMBER (RESIDENTIAL RATES FOR 1, 2 AND 3 FAMILY HOME CONSTRUCTION)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$46.66

Supplemental Benefit Rate per Hour: \$22.95

Overtime

Double time the regular rate after an 8 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).
New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day

Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

Shift Rates

30% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shifts Monday to Friday. 50% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shift work performed on weekends. For shift work on holidays, double time wages and fringe benefits shall be paid.

(Plumbers Local #1)

PLUMBER: PUMP & TANK

Oil Trades (Installation and Maintenance)

Plumber - Pump & Tank

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$64.22

Supplemental Benefit Rate per Hour: \$23.21

Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

Shift Rates

All work outside the regular workday (8:00 A.M. to 3:30 P.M.) is to be paid at time and one half the regular hourly rate

(Plumbers Local #1)

POINTER, WATERPROOFER, CAULKER, SANDBLASTER, STEAMBLASTER

(Exterior Building Renovation)

<u>Journeyperson</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$52.57

Supplemental Benefit Rate per Hour: \$25.80

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

All work outside the regular work day (an eight hour workday between the hours of 6:00 A.M. and 4:30 P.M.) is to be paid at time and one half the regular rate.

(Bricklayer District Council)

ROOFER

<u>Roofer</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$41.50

Supplemental Benefit Rate per Hour: \$32.27

Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

Second shift - Regular hourly rate plus a 10% differential. Third shift - Regular hourly rate plus a 15% differential.

(Local #8)

SHEET METAL WORKER

Sheet Metal Worker

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$48.90

Supplemental Benefit Rate per Hour: \$48.00

Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

<u> Sheet Metal Worker - Fan Maintenance</u>

(The temporary operation of fans or blowers in new or existing buildings for heating and/or ventilation, and/or air conditioning prior to the completion of the project.)

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Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$39.12

Supplemental Benefit Rate per Hour: \$48.00

Sheet Metal Worker - Duct Cleaner

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$12.90

Supplemental Benefit Rate per Hour: \$8.07

Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s). New Year's Day
Martin Luther King Jr. Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

Shift Rates

Work that can only be performed outside regular working hours (eight hours of work between 7:30 A.M. and 3:30 P.M.) - First shift (work between 3:30 P.M. and 11:30 P.M.) - 10% differential above the established hourly rate. Second shift (work between 11:30 P.M. and 7:30 A.M.) - 15% differential above the established hourly rate.

For Fan Maintenance: On all full shifts of fan maintenance work the straight time hourly rate of pay will be paid for each shift, including nights, Saturdays, Sundays, and holidays.

(Local #28)

SHEET METAL WORKER - SPECIALTY (Decking & Siding)

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Sheet Metal Specialty Worker

The first worker to perform this work must be paid at the rate of the Sheet Metal Worker. The second and third workers shall be paid the Specialty Worker Rate. The ratio of One Sheet Metal Worker, then Two Specialty Workers shall be utilized thereafter.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$44.57

Supplemental Benefit Rate per Hour: \$25.02

Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).
New Year's Day
Martin Luther King Jr. Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Christmas Day

Paid Holidays

None

(Local #28)

SHIPYARD WORKER

Shipyard Mechanic - First Class

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$28.12

Supplemental Benefit Rate per Hour: \$3.03

Shipyard Mechanic - Second Class

Effective Period: 7/1/2017 - 6/30/2018

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Wage Rate per Hour: \$23.35

Supplemental Benefit Rate per Hour: \$2.85

Shipyard Laborer - First Class

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$20.96

Supplemental Benefit Rate per Hour: \$2.76

Shipyard Laborer - Second Class

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$15.24

Supplemental Benefit Rate per Hour: \$2.54

Shipyard Dockhand - First Class

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$22.89

Supplemental Benefit Rate per Hour: \$2.83

Shipyard Dockhand - Second Class

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$16.51

Supplemental Benefit Rate per Hour: \$2.58

Overtime Description

Work performed on holiday is paid double time the regular hourly wage rate plus holiday pay.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular hourly rate after 40 hours in any work week.

Paid Holidays

New Year's Day
Martin Luther King Jr. Day
President's Day
Good Friday
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Based on Survey Data

SIGN ERECTOR

(Sheet Metal, Plastic, Electric, and Neon)

Sign Erector

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$47.67

Supplemental Benefit Rate per Hour: \$50.67

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Time and one half the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day
Washington's Birthday
Memorial Day
Independence Day
Labor Day
Columbus Day
Election Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Shift Rates

Time and one half the regular hourly rate is to be paid for all hours worked outside the regular workday either (7:00 A.M. through 2:30 P.M.) or (8:00 A.M. through 3:30 P.M.)

(Local #137)

STEAMFITTER

Steamfitter I

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$55.50

Supplemental Benefit Rate per Hour: \$55.29

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Supplemental Note: Overtime supplemental benefit rate: \$109.84

Steamfitter -Temporary Services

The steamfitters shall not do any other work and shall not be permitted to work more than one shift in a twenty-four hour day. When steamfitters are present during the regular working day, no temporary services steamfitter will be required

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$42.18

Supplemental Benefit Rate per Hour: \$44.84

Overtime

Double time the regular rate after a 7 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Paid Holidays

None

Shift Rates

Christmas Day

Work performed between 3:30 P.M. and 7:00 A.M. and on Saturdays, Sundays and Holidays shall be at double time the regular hourly rate and paid at the overtime supplemental benefit rate above.

Steamfitter II

For heating, ventilation, air conditioning and mechanical public works contracts with a dollar value not to exceed \$15,000,000 and for fire protection/sprinkler public works contracts not to exceed \$1,500,000.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$55.50

Supplemental Benefit Rate per Hour: \$55.29

Supplemental Note: Overtime supplemental benefit rate: \$109.84

Steamfitter -Temporary Services

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The steamfitters shall not do any other work and shall not be permitted to work more than one shift in a twentyfour hour day. When steamfitters are present during the regular working day, no temporary services steamfitter will be required.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$42.18

Supplemental Benefit Rate per Hour: \$44.84

Overtime

Double time the regular rate after an 8 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

Shift Rates

May be performed outside of the regular workday except Saturday, Sunday and Holidays. A shift shall consist of eight working hours. All work performed in excess of eight hours shall be paid at double time. No shift shall commence after 7:00 P.M. on Friday or 7:00 P.M. the day before holidays. All work performed after 12:01 A.M. Saturday or 12:01 A.M. the day before a Holiday will be paid at double time. When shift work is performed the wage rate for regular time worked is a thirty percent premium together with fringe benefits.

On Transit Authority projects, where work is performed in the vicinity of tracks all shift work on weekends and holidays may be performed at the regular shift rates.

Local #638

STEAMFITTER - REFRIGERATION AND AIR CONDITIONER (Maintenance and Installation Service Person)

Refrigeration and Air Conditioner Mechanic

PUBLISH DATE: 7/1/2017 EFFECTIVE PERIOD: JULY 1, 2017 THROUGH JUNE 30, 2018 Page 78 of 87

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$39.50

Supplemental Benefit Rate per Hour: \$15.81

Refrigeration and Air Conditioner Service Person V

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$32.46

Supplemental Benefit Rate per Hour: \$14.16

Refrigeration and Air Conditioner Service Person IV

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$26.89

Supplemental Benefit Rate per Hour: \$12.80

Refrigeration and Air Conditioner Service Person III

Filter changing and maintenance thereof, oil and greasing, tower and coil cleaning, scraping and painting, general housekeeping, taking of water samples.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$23.08

Supplemental Benefit Rate per Hour: \$11.79

Refrigeration and Air Conditioner Service Person II

Filter changing and maintenance thereof, oil and greasing, tower and coil cleaning, scraping and painting, general housekeeping, taking of water samples.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$19.14

Supplemental Benefit Rate per Hour: \$10.85

Refrigeration and Air Conditioner Service Person I

Filter changing and maintenance thereof, oil and greasing, tower and coil cleaning, scraping and painting, general housekeeping, taking of water samples.

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$14.00

Supplemental Benefit Rate per Hour: \$9.76

Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

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Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
Independence Day
Labor Day
Veteran's Day
Thanksgiving Day
Christmas Day

Double time and one half the regular rate for work on the following holiday(s).

Martin Luther King Jr. Day

President's Day

Memorial Day

Columbus Day

Paid Holidays

New Year's Day
Martin Luther King Jr. Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Christmas Day

(Local #638B)

STONE MASON - SETTER

Stone Mason - Setter

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$53.62

Supplemental Benefit Rate per Hour: \$41.65

Overtime

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s). New Year's Day Washington's Birthday Good Friday

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Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

Shift Rates

For all work outside the regular workday (8:00 A.M. to 3:30 P.M. Monday through Friday), the pay shall be straight time plus a ten percent (10%) differential.

(Bricklayers District Council)

TAPER

Drywall Taper

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$47.82

Supplemental Benefit Rate per Hour: \$22.68

Overtime

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Thanksgiving Day

Christmas Day

Paid Holidays

Any worker who reports to work on Christmas Eve or New Year's Eve pursuant to his employer's instruction shall be entitled to three (3) hours afternoon pay without working.

(Local #1974)

TELECOMMUNICATION WORKER

(Voice Installation Only)

Telecommunication Worker

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$40.35

Supplemental Benefit Rate per Hour: \$13.19

Supplemental Note: The above rate applies for Manhattan, Bronx, Brooklyn, Queens. \$12.64 for Staten Island

only.

Overtime

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day
Lincoln's Birthday

Washington's Birthday

Memorial Day
Independence Day
Labor Day

Columbus Day

Election Day

Veteran's Day

Thanksgiving Day

Paid Holidays

Christmas Day

New Year's Day
Lincoln's Birthday
Washington's Birthday
Memorial Day
Independence Day
Labor Day
Columbus Day
Election Day
Veteran's Day
Thanksgiving Day
Christmas Day
Employees have the or

Employees have the option of observing either Martin Luther King's Birthday or the day after Thanksgiving instead of Lincoln's Birthday

Shift Rates

For any workday that starts before 8A.M. or ends after 6P.M. there is a 10% differential for the applicable worker's hourly rate.

Vacation

(C.W.A.)

TILE FINISHER

Tile Finisher

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$41.13

Supplemental Benefit Rate per Hour: \$31.18

Overtime

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

Shift Rates

Off shift work day (work performed outside the regular 8:00 A.M. to 3:30 P.M. workday): shift differential of one and one quarter (1½) times the regular straight time rate of pay for the seven hours of actual off-shift work.

(Local #7)

TILE LAYER - SETTER

Tile Layer - Setter

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$53.19

Supplemental Benefit Rate per Hour: \$35.35

Overtime

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s). New Year's Day
President's Day
Good Friday
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving

Shift Rates

Christmas Day

Off shift work day (work performed outside the regular 8:00 A.M. to 3:30 P.M. workday): shift differential of one and one quarter (1½) times the regular straight time rate of pay for the seven hours of actual off-shift work.

(Local #7)

TIMBERPERSON

<u>Timberperson</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$48.00

Supplemental Benefit Rate per Hour: \$49.16

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Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Time and one half the regular hourly rate after 40 hours in any work week.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day President's Day Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate.

(Local #1536)

TUNNEL WORKER

Blasters, Mucking Machine Operators (Compressed Air Rates)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$62.37

Supplemental Benefit Rate per Hour: \$52.39

Tunnel Workers (Compressed Air Rates)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$60.21

Supplemental Benefit Rate per Hour: \$50.65

Top Nipper (Compressed Air Rates)

Effective Period: 7/1/2017 - 6/30/2018

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Wage Rate per Hour: \$59.11

Supplemental Benefit Rate per Hour: \$49.74

<u>Outside Lock Tender, Outside Gauge Tender, Muck Lock Tender (Compressed Air Rates)</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$58.04

Supplemental Benefit Rate per Hour: \$48.81

Bottom Bell & Top Bell Signal Person: Shaft Person (Compressed Air Rates)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$58.04

Supplemental Benefit Rate per Hour: \$48.81

Changehouse Attendant: Powder Watchperson (Compressed Air Rates)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$50.87

Supplemental Benefit Rate per Hour: \$46.11

Blasters (Free Air Rates)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$59.52

Supplemental Benefit Rate per Hour: \$50.03

Tunnel Workers (Free Air Rates)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$56.97

Supplemental Benefit Rate per Hour: \$47.89

All Others (Free Air Rates)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$52.63

Supplemental Benefit Rate per Hour: \$44.29

Microtunneling (Free Air Rates)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$45.58

Supplemental Benefit Rate per Hour: \$38.31

Overtime Description

For Repair-Maintenance Work on Existing Equipment and Facilities - Time and one half the regular rate after a 7 hour day, or for Saturday, or for Sunday. Double time the regular rate for work on a holiday. For Small-Bore Micro Tunneling Machines - Time and one-half the regular rate shall be paid for all overtime.

Overtime

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day
Lincoln's Birthday
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Election Day
Veteran's Day
Thanksgiving Day
Christmas Day

(Local #147)

WELDER

TO BE PAID AT THE RATE OF THE JOURNEYPERSON IN THE TRADE PERFORMING THE WORK.

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OFFICE OF THE COMPTROLLER

CITY OF NEW YORK

220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

APPENDIX

Pursuant to Labor Law §220 (3-e), only apprentices who are individually registered in a bona fide program to which the employer contractor is a participant and registered with the New York State Department of Labor, may be employed on a public work project.

Any employee listed on a payroll at an apprentice wage rate, who is not registered as above, shall be paid the journey person wage rate for the classification of work he actually performed.

Apprentice ratios are established to ensure the proper safety, training and supervision of apprentices. A ratio establishes the number of journey workers required for each apprentice in a program and on a job site. Ratios are interpreted as follows: in the case of a 1:1, 1:4 ratio, there must be one journey worker for the first apprentice, and four additional journey workers for each subsequent apprentice.

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ASBESTOS HANDLER

(Ratio of Apprentice Journeyperson: 1 to 1, 1 to 3)

Asbestos Handler (First 1000 Hours)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 78% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$14.25

Asbestos Handler (Second 1000 Hours)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$14.25

<u> Asbestos Handler (Third 1000 Hours)</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 83% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$14.25

Asbestos Handler (Fourth 1000 Hours)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 89% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$14.25

(Local #78)

BOILERMAKER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Boilermaker (First Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$30.84

Effective 4/4/0040 Development Devel Detect

Effective 1/1/2018 - Supplemental Benefit Rate Per Hour: \$31.26

Boilermaker (Second Year: 1st Six Months)

Effective Period: 7/1/2017 - 6/30/2018

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Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$32.57

Effective 1/1/2018 - Supplemental Benefit Rate Per Hour: \$33.02

Boilermaker (Second Year: 2nd Six Months)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$34.29

Effective 1/1/2018 - Supplemental Benefit Rate Per Hour: \$34.78

Boilermaker (Third Year: 1st Six Months)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 80% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$36.03

Effective 1/1/2018- Supplemental Benefit Rate Per Hour: \$36.56

Boilermaker (Third Year: 2nd Six Months)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 85% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$37.76

Effective 1/1/2018 - Supplemental Benefit Rate Per Hour: \$38.32

Boilermaker (Fourth Year: 1st Six Months)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 90% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$39.51

Effective 1/1/2018 - Supplemental Benefit Rate Per Hour: \$40.09

Boilermaker (Fourth Year: 2nd Six Months)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 95% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$41.22

Effective 1/1/2018- Supplemental Benefit Rate Per Hour: \$41.84

(Local #5)

BRICKLAYER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Bricklayer (First 750 Hours)

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Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$18.80

Bricklayer (Second 750 Hours)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 60% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$18.80

Bricklayer (Third 750 Hours)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$18.80

Bricklayer (Fourth 750 Hours)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$18.80

Bricklayer (Fifth 750 Hours)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 90% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$18.80

Bricklayer (Sixth 750 Hours)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 95% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$18.80

(Bricklayer District Council)

CARPENTER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Carpenter (First Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 40% of Journeyperson's rate

Supplemental Benefit Rate Per Hour For Building Apprentice: \$31.34

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Supplemental Benefit Rate Per Hour For Heavy Apprentice: \$33.03

Carpenter (Second Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 50% of Journeyperson's rate

Supplemental Benefit Rate Per Hour For Building Apprentice: \$31.34 Supplemental Benefit Rate Per Hour For Heavy Apprentice: \$33.03

Carpenter (Third Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 65% of Journeyperson's rate

Supplemental Benefit Rate Per Hour For Building Apprentice: \$31.34 Supplemental Benefit Rate Per Hour For Heavy Apprentice: \$33.03

Carpenter (Fourth Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 80% of Journeyperson's rate

Supplemental Benefit Rate Per Hour For Building Apprentice: \$31.34 Supplemental Benefit Rate Per Hour For Heavy Apprentice: \$33.03

(Carpenters District Council)

CARPENTER - HIGH RISE CONCRETE FORMS

(Ratio of Apprentice to Journeyperson: 1 to 1, 2 to 5)

Carpenter - High Rise (First Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$16.86

Supplemental Benefit Rate per Hour: \$16.20

Carpenter - High Rise (Second Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$23.16

Supplemental Benefit Rate per Hour: \$16.33

Carpenter - High Rise (Third Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$29.61

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Supplemental Benefit Rate per Hour: \$16.46

Carpenter - High Rise (Fourth Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$37.07

Supplemental Benefit Rate per Hour: \$16.61

(Carpenters District Council)

CEMENT MASON

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Cement Mason (First Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 50% of Journeyperson's Rate

Cement Mason (Second Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 60% of Journeyperson's Rate

Cement Mason (Third Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 70% of Journeyperson's Rate

(Local #780)

CEMENT AND CONCRETE WORKER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Cement & Concrete Worker (First 1333 hours)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$17.75

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Cement & Concrete Worker (Second 1333 hours)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$23.03

Cement & Concrete Worker (Last 1334 hours)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$24.30

Cement & Concrete Worker (Hired after 2/6/2016 - First 1334 hours)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: \$16.96

Supplemental Benefit Rate Per Hour: \$11.80

Cement & Concrete Worker (Hired after 2/6/2016 - Second 1334 hours)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: \$22.08

Supplemental Benefit Rate Per Hour: \$16.49

Cement & Concrete Worker (Hired after 2/6/2016 - Last 1334 hours)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: \$27.20

Supplemental Benefit Rate Per Hour: \$17.33

(Cement Concrete Workers District Council)

DERRICKPERSON & RIGGER (STONE)

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

<u>Derrickperson & Rigger (stone) - First Year</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 50% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: 50% of Journeyperson's rate

Derrickperson & Rigger (stone) - Second Year: 1st Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 70% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

Derrickperson & Rigger (stone) - Second Year: 2nd Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 80% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

Derrickperson & Rigger (stone) - Third Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 90% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

(Local #197)

DOCKBUILDER/PILE DRIVER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)

Dockbuilder/Pile Driver (First Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 40% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$33.03

Dockbuilder/Pile Driver (Second Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$33.03

Dockbuilder/Pile Driver (Third Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$33.03

Dockbuilder/Pile Driver (Fourth Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$33.03

(Carpenters District Council)

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ELECTRICIAN

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Electrician (First Term: 0-6 Months)

Effective Period: 7/1/2017 - 5/9/2018 Wage Rate per Hour: \$14.00

Supplemental Benefit Rate per Hour: \$12.37 Overtime Supplemental Rate Per Hour: \$13.29

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$14.50

Supplemental Benefit Rate per Hour: \$12.63
Overtime Supplemental Rate Per Hour: \$13.58

Electrician (First Term: 7-12 Months)

Effective Period: 7/1/2017 - 5/9/2018 Wage Rate per Hour: \$15.00

Supplemental Benefit Rate per Hour: \$12.88
Overtime Supplemental Rate Per Hour: \$13.87

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$15.50

Supplemental Benefit Rate per Hour: \$13.14
Overtime Supplemental Rate Per Hour: \$14.16

Electrician (Second Term: 0-6 Months)

Effective Period: 7/1/2017 - 5/9/2018 Wage Rate per Hour: \$16.00

Supplemental Benefit Rate per Hour: \$13.39
Overtime Supplemental Rate Per Hour: \$14.44

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$16.50

Supplemental Benefit Rate per Hour: \$13.64
Overtime Supplemental Rate Per Hour: \$14.73

Electrician (Second Term: 7-12 Months)

Effective Period: 7/1/2017 - 5/9/2018

Wage Rate per Hour: \$17.00

Supplemental Benefit Rate per Hour: \$13.90

PUBLISH DATE: 7/1/2017 EFFECTIVE PERIOD: JULY 1, 2017 THROUGH JUNE 30, 2018 Page 10 of 36

Overtime Supplemental Rate Per Hour: \$15.02

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$17.50

Supplemental Benefit Rate per Hour: \$14.15
Overtime Supplemental Rate Per Hour: \$15.31

Electrician (Third Term: 0-6 Months)

Effective Period: 7/1/2017 - 5/9/2018 Wage Rate per Hour: \$18.00

Supplemental Benefit Rate per Hour: \$14.41
Overtime Supplemental Rate Per Hour: \$15.59

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$18.50

Supplemental Benefit Rate per Hour: \$14.66
Overtime Supplemental Rate Per Hour: \$15.88

Electrician (Third Term: 7-12 Months)

Effective Period: 7/1/2017 - 5/9/2018 Wage Rate per Hour: \$19.00

Supplemental Benefit Rate per Hour: \$14.92
Overtime Supplemental Rate Per Hour: \$16.17

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$19.50

Supplemental Benefit Rate per Hour: \$15.17 Overtime Supplemental Rate Per Hour: \$16.45

Electrician (Fourth Term: 0-6 Months)

Effective Period: 7/1/2017 - 5/9/2018

Wage Rate per Hour: \$20.00

Supplemental Benefit Rate per Hour: \$15.43
Overtime Supplemental Rate Per Hour: \$16.74

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$20.50

Supplemental Benefit Rate per Hour: \$15.68
Overtime Supplemental Rate Per Hour: \$17.03

Electrician (Fourth Term: 7-12 Months)

Effective Period: 7/1/2017 - 5/9/2018

Wage Rate per Hour: \$22.00

Supplemental Benefit Rate per Hour: \$16.44
Overtime Supplemental Rate Per Hour: \$17.89

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Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$22.50

Supplemental Benefit Rate per Hour: \$16.70 Overtime Supplemental Rate Per Hour: \$18.18

Electrician (Fifth Term: 0-12 Months)

Effective Period: 7/1/2017 - 5/9/2018 Wage Rate per Hour: \$24.00

Supplemental Benefit Rate per Hour: \$19.80 Overtime Supplemental Rate Per Hour: \$21.30

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$24.50

Supplemental Benefit Rate per Hour: \$20.30 Overtime Supplemental Rate Per Hour: \$21.84

Electrician (Fifth Term: 13-18 Months)

Effective Period: 7/1/2017 - 5/9/2018

Wage Rate per Hour: \$28,50

Supplemental Benefit Rate per Hour: \$22.10 Overtime Supplemental Rate Per Hour: \$23.89

Effective Period: 5/10/2018 - 6/30/2018

Wage Rate per Hour: \$29.00

Supplemental Benefit Rate per Hour: \$22.65 Overtime Supplemental Rate Per Hour: \$24.47

Overtime Description

Overtime Wage paid at time and one half the regular rate

(Local #3)

ELEVATOR CONSTRUCTOR

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 2)

Elevator (Constructor) - First Year

Effective Period: 7/1/2017 - 3/16/2018

Wage Rate Per Hour: 50% of Journeyperson's rate

Supplemental Rate Per Hour: \$29.88

Effective Period: 3/17/2018 - 6/30/2018

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Wage Rate Per Hour: 50% of Journeyperson's rate

Supplemental Rate Per Hour: \$31.35

Elevator (Constructor) - Second Year

Effective Period: 7/1/2017 - 3/16/2018

Wage Rate Per Hour: 55% of Journeyperson's rate

Supplemental Rate Per Hour: \$30.31

Effective Period: 3/17/2018 - 6/30/2018

Wage Rate Per Hour: 55% of Journeyperson's rate

Supplemental Rate Per Hour: \$31.80

Elevator (Constructor) - Third Year

Effective Period: 7/1/2017 - 3/16/2018

Wage Rate Per Hour: 65% of Journeyperson's rate

Supplemental Rate Per Hour: \$31.19

Effective Period: 3/17/2018 - 6/30/2018

Wage Rate Per Hour: 65% of Journeyperson's rate

Supplemental Rate Per Hour: \$32.70

Elevator (Constructor) - Fourth Year

Effective Period: 7/1/2017 - 3/16/2018

Wage Rate Per Hour: 75% of Journeyperson's rate

Supplemental Rate Per Hour: \$32.07

Effective Period: 3/17/2018 - 6/30/2018

Wage Rate Per Hour: 75% of Journeyperson's rate

Supplemental Rate Per Hour: \$33.60

(Local #1)

ELEVATOR REPAIR & MAINTENANCE

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 2)

Elevator Service/Modernization Mechanic (First Year)

Effective Period: 7/1/2017 - 3/16/2018

Wage Rate Per Hour: 50% of Journeyperson's rate

Supplemental Benefit Per Hour: \$29.80

Effective Period: 3/17/2018 - 6/30/2018

Wage Rate Per Hour: 50% of Journeyperson's rate

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Supplemental Benefit Per Hour: \$31.28

Elevator Service/Modernization Mechanic (Second Year)

Effective Period: 7/1/2017 - 3/16/2018

Wage Rate Per Hour: 55% of Journeyperson's rate

Supplemental Benefit Per Hour: \$30.23

Effective Period: 3/17/2018 - 6/30/2018

Wage Rate Per Hour: 55% of Journeyperson's rate

Supplemental Benefit Per Hour: \$31.72

Elevator Service/Modernization Mechanic (Third Year)

Effective Period: 7/1/2017 - 3/16/2018

Wage Rate Per Hour: 65% of Journeyperson's rate

Supplemental Benefit Per Hour: \$31.09

Effective Period: 3/17/2018 - 6/30/2018

Wage Rate Per Hour: 65% of Journeyperson's rate

Supplemental Benefit Per Hour: \$32.60

Elevator Service/Modernization Mechanic (Fourth Year)

Effective Period: 7/1/2017 - 3/16/2018

Wage Rate Per Hour: 75% of Journeyperson's rate

Supplemental Benefit Per Hour: \$31.95

Effective Period: 3/17/2018 - 6/30/2018

Wage Rate Per Hour: 75% of Journeyperson's rate

Supplemental Benefit Per Hour: \$33.49

(Local #1)

ENGINEER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 5)

Engineer - First Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$24.77

Supplemental Benefit Rate per Hour: \$24.62

Engineer - Second Year

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Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$30.97

Supplemental Benefit Rate per Hour: \$24.62

Engineer - Third Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$34.06

Supplemental Benefit Rate per Hour: \$24.62

Engineer - Fourth Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$37.16

Supplemental Benefit Rate per Hour: \$24.62

(Local #15)

ENGINEER - OPERATING

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 5)

Operating Engineer - First Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour 40% of Journeyperson's Rate

Supplemental Benefit Per Hour: \$20.85

Operating Engineer - Second Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 50% of Journeyperson's Rate

Supplemental Benefit Per Hour: \$20.85

Operating Engineer - Third Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 60% of Journeyperson's Rate

Supplemental Benefit Per Hour: \$20.85

(Local #14)

FLOOR COVERER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Floor Coverer (First Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 40% of Journeyperson's rate

Supplemental Rate Per Hour: \$31.14

Floor Coverer (Second Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 50% of Journeyperson's rate

Supplemental Rate Per Hour: \$31.14

Floor Coverer (Third Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 65% of Journeyperson's rate

Supplemental Rate Per Hour: \$31.14

Floor Coverer (Fourth Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 80% of Journeyperson's rate

Supplemental Rate Per Hour: \$31.14

(Carpenters District Council)

GLAZIER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Glazier (First Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 40% of Journeyperson's rate

Supplemental Rate Per Hour: \$15.26

Glazier (Second Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 50% of Journeyperson's rate

Supplemental Rate Per Hour: \$25.36

Glazier (Third Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 60% of Journeyperson's rate

Supplemental Rate Per Hour: \$28.62

Glazier (Fourth Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 80% of Journeyperson's rate

Supplemental Rate Per Hour: \$34.67

(Local #1281)

HEAT & FROST INSULATOR

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Heat & Frost Insulator (First Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 40% of Journeyperson's rate

Heat & Frost Insulator (Second Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

Heat & Frost Insulator (Third Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 70% of Journeyperson's rate

Heat & Frost Insulator (Fourth Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

(Local #12)

HOUSE WRECKER (TOTAL DEMOLITION)

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

House Wrecker - First Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$21.17

Supplemental Benefit Rate per Hour: \$18.54

House Wrecker - Second Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$22.32

Supplemental Benefit Rate per Hour: \$18.54

House Wrecker - Third Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$23.97

Supplemental Benefit Rate per Hour: \$18.54

House Wrecker - Fourth Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$26.53

Supplemental Benefit Rate per Hour: \$18.54

(Mason Tenders District Council)

IRON WORKER - ORNAMENTAL

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

<u>Iron Worker (Ornamental) - 1st Ten Months</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 50% of Journeyperson's rate

Supplemental Rate Per Hour: \$39.40

Iron Worker (Ornamental) - 11 -16 Months

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Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 55% of Journeyperson's rate

Supplemental Rate Per Hour: \$40.62

Iron Worker (Ornamental) - 17 - 22 Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 60% of Journeyperson's rate

Supplemental Rate Per Hour: \$41.83

Iron Worker (Ornamental) - 23 - 28 Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 70% of Journeyperson's rate

Supplemental Rate Per Hour: \$44.27

Iron Worker (Ornamental) - 29 - 36 Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 80% of Journeyperson's rate

Supplemental Rate Per Hour: \$46.70

(Local #580)

IRON WORKER - STRUCTURAL

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)

Iron Worker (Structural) - 1st Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$26.12

Supplemental Benefit Rate per Hour: \$50.22

Iron Worker (Structural) - 7- 18 Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$26.72

Supplemental Benefit Rate per Hour: \$50.22

Iron Worker (Structural) - 19 - 36 months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$27.32

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Supplemental Benefit Rate per Hour: \$50.22

(Local #40 and #361)

LABORER (FOUNDATION, CONCRETE, EXCAVATING, STREET PIPE LAYER & COMMON)

(Ratio Apprentice to Journeyperson: 1 to 1, 1 to 3)

<u>Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - First</u> <u>1000 hours</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 50% of Journeyperson's rate

Supplemental Rate Per Hour: \$40.63

<u>Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - Second 1000 hours</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 60% of Journeyperson's rate

Supplemental Rate Per Hour: \$40.63

<u>Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - Third 1000 hours</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 75% of Journeyperson's rate

Supplemental Rate Per Hour: \$40.63

<u>Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - Fourth 1000 hours</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 90% of Journeyperson's rate

Supplemental Rate Per Hour: \$40.63

(Local #731)

MARBLE MECHANICS

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Cutters & Setters - First 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

NO BENEFITS PAID DURING THE FIRST TWO MONTHS (PROBATIONARY PERIOD)

Cutters & Setters - Second 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 55% of Journeyperson's rate

Cutters & Setters - Third 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 65% of Journeyperson's rate

Cutters & Setters - Fourth 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 75% of Journeyperson's rate

Cutters & Setters - Fifth 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 85% of Journeyperson's rate

Cutters & Setters - Sixth 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 95% of Journeyperson's rate

Polishers & Finishers - First 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

NO BENEFITS PAID DURING THE FIRST TWO MONTHS (PROBATIONARY PERIOD)

Polishers & Finishers - Second 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

Polishers & Finishers - Third 750 Hours

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Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 75% of Journeyperson's rate

Polishers & Finishers - Fourth 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 90% of Journeyperson's rate

(Local #7)

MASON TENDER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Mason Tender - First Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$21.39

Supplemental Benefit Rate per Hour: \$19.65

<u> Mason Tender - Second Year</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$22.54

Supplemental Benefit Rate per Hour: \$19.65

Mason Tender - Third Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$24.29

Supplemental Benefit Rate per Hour: \$19.70

Mason Tender - Fourth Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$26.95

Supplemental Benefit Rate per Hour: \$19.70

(Local #79)

METALLIC LATHER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Metallic Lather (First Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$28.38

Supplemental Benefit Rate per Hour: \$10.96

Metallic Lather (Second Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$32.38

Supplemental Benefit Rate per Hour: \$12.96

Metallic Lather (Third Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$35.38

Supplemental Benefit Rate per Hour: \$17.12

Metallic Lather (Fourth Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$37.38

Supplemental Benefit Rate per Hour: \$17.92

(Local #46)

MILLWRIGHT

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Millwright (First Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$28.33

Supplemental Benefit Rate per Hour: \$34.28

Millwright (Second Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$33.48

Supplemental Benefit Rate per Hour: \$37.88

Millwright (Third Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$38.63

Supplemental Benefit Rate per Hour: \$42.13

Millwright (Fourth Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$48.93

Supplemental Benefit Rate per Hour: \$48.69

(Local #740)

PAVER AND ROADBUILDER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Paver and Roadbuilder - First Year (Minimum 1000 hours)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$27.86

Supplemental Benefit Rate per Hour: \$19.25

Paver and Roadbuilder - Second Year (Minimum 1000 hours)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$29.50

Supplemental Benefit Rate per Hour: \$19.25

(Local #1010)

PAINTER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

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Painter - Brush & Roller - First Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$17.00

Supplemental Benefit Rate per Hour: \$13.42

Painter - Brush & Roller - Second Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$21.25

Supplemental Benefit Rate per Hour: \$17.43

Painter - Brush & Roller - Third Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$25.50

Supplemental Benefit Rate per Hour: \$20.50

Painter - Brush & Roller - Fourth Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$34.00

Supplemental Benefit Rate per Hour: \$26.20

(District Council of Painters)

PAINTER - METAL POLISHER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Metal Polisher (First Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$11.75

Supplemental Benefit Rate per Hour: \$5.13

Metal Polisher (Second Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$13.00

Supplemental Benefit Rate per Hour: \$5.13

Metal Polisher (Third Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$15.75

Supplemental Benefit Rate per Hour: \$5.13

(Local 8A-28)

PAINTER - STRUCTURAL STEEL

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Painters - Structural Steel (First Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 40% of Journeyperson's rate

Painters - Structural Steel (Second Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

Painters - Structural Steel (Third Year)

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

(Local #806)

PLASTERER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Plasterer - First Year: 1st Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 40% of Journeyperson's rate

Supplemental Rate Per Hour: \$13.59

Plasterer - First Year: 2nd Six Months

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Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 45% of Journeyperson's rate

Supplemental Rate Per Hour: \$14.07

Plasterer - Second Year: 1st Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 55% of Journeyperson's rate

Supplemental Rate Per Hour: \$16.04

Plasterer - Second Year: 2nd Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 60% of Journeyperson's rate

Supplemental Rate Per Hour: \$17.12

Plasterer - Third Year: 1st Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 70% of Journeyperson's rate

Supplemental Rate Per Hour: \$19.29

Plasterer - Third Year: 2nd Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 75% of Journeyperson's rate

Supplemental Rate Per Hour: \$20.37

(Local #530)

PLASTERER - TENDER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Plasterer Tender - First Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$21.39

Supplemental Benefit Rate per Hour: \$19.65

Plasterer Tender - Second Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$22.54

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Supplemental Benefit Rate per Hour: \$19.65

Plasterer Tender - Third Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$24.29

Supplemental Benefit Rate per Hour: \$19.70

Plasterer Tender - Fourth Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$26.95

Supplemental Benefit Rate per Hour: \$19.70

(Local #79)

PLUMBER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Plumber - First Year: 1st Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$16.28

Supplemental Benefit Rate per Hour: \$5.43

Plumber - First Year: 2nd Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$19.28

Supplemental Benefit Rate per Hour: \$6.43

Plumber - Second Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$26.35

Supplemental Benefit Rate per Hour: \$17.10

Plumber - Third Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$28.45

Supplemental Benefit Rate per Hour: \$17.10

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Plumber - Fourth Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$31.30

Supplemental Benefit Rate per Hour: \$17.10

Plumber - Fifth Year: 1st Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$32.70

Supplemental Benefit Rate per Hour: \$17.10

Plumber - Fifth Year: 2nd Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$44.77

Supplemental Benefit Rate per Hour: \$17.10

(Plumbers Local #1)

POINTER, WATERPROOFER, CAULKER, SANDBLASTER, STEAMBLASTER

(Exterior Building Renovation)

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Pointer, Waterproofer, Caulker, Sandblaster, Steamblaster - First Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$25.89

Supplemental Benefit Rate per Hour: \$13.64

Pointer, Waterproofer, Caulker, Sandblaster, Steamblaster - Second Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$28.97

Supplemental Benefit Rate per Hour: \$18.15

Pointer, Waterproofer, Caulker, Sandblaster, Steamblaster - Third Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$34.12

Supplemental Benefit Rate per Hour: \$20.90

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Pointer, Waterproofer, Caulker, Sandblaster, Steamblaster - Fourth Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate per Hour: \$41.33

Supplemental Benefit Rate per Hour: \$21.60

(Bricklayer District Council)

ROOFER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 2)

Roofer - First Year

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 35% of Journeyperson's Rate

Roofer - Second Year

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 50% of Journeyperson's Rate

Roofer - Third Year

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 60% of Journeyperson's Rate

Roofer - Fourth Year

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 75% of Journeyperson's Rate

(Local #8)

SHEET METAL WORKER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Sheet Metal Worker (0-6 Months)

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Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 25% of Journeyperson's rate

Supplemental Rate Per Hour: \$6.35

Sheet Metal Worker (7-18 Months)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 35% of Journeyperson's rate

Supplemental Rate Per Hour: \$17.12

Sheet Metal Worker (19-30 Months)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 45% of Journeyperson's rate

Supplemental Rate Per Hour: \$23.54

Sheet Metal Worker (31-36 Months)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 55% of Journeyperson's rate

Supplemental Rate Per Hour: \$27.70

Sheet Metal Worker (37-42 Months)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 60% of Journeyperson's rate

Supplemental Rate Per Hour: \$29.11

Sheet Metal Worker (43-48 Months)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 70% of Journeyperson's rate

Supplemental Rate Per Hour: \$33.96

Sheet Metal Worker (49-54 Months)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 75% of Journeyperson's rate

Supplemental Rate Per Hour: \$36.07

Sheet Metal Worker (55-60 Months)

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 80% of Journeyperson's rate

Supplemental Rate Per Hour: \$38.15

(Local #28)

SIGN ERECTOR

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Sign Erector - First Year: 1st Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 35% of Journeyperson's rate

Supplemental Rate Per Hour: \$14.72

Sign Erector - First Year: 2nd Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 40% of Journeyperson's rate

Supplemental Rate Per Hour: \$16.71

Sign Erector - Second Year: 1st Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 45% of Journeyperson's rate

Supplemental Rate Per Hour: \$18.68

Sign Erector - Second Year: 2nd Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 50% of Journeyperson's rate

Supplemental Rate Per Hour: \$20.68

<u> Sign Erector - Third Year: 1st Six Months</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 55% of Journeyperson's rate

Supplemental Rate Per Hour: \$27.72

Sign Erector - Third Year: 2nd Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 60% of Journeyperson's rate

Supplemental Rate Per Hour: \$30.57

Sign Erector - Fourth Year: 1st Six Months

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 65% of Journeyperson's rate

Supplemental Rate Per Hour: \$33.31

<u> Sign Erector - Fourth Year: 2nd Six Months</u>

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Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 70% of Journeyperson's rate

Supplemental Rate Per Hour: \$35.83

Sign Erector - Fifth Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 75% of Journeyperson's rate

Supplemental Rate Per Hour: \$38.32

Sign Erector - Sixth Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 80% of Journeyperson's rate

Supplemental Rate Per Hour: \$40.81

(Local #137)

STEAMFITTER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Steamfitter - First Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate and Supplemental Per Hour: 40% of Journeyperson's rate

Steamfitter - Second Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate and Supplemental Rate Per Hour: 50% of Journeyperson's rate.

Steamfitter - Third Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate and Supplemental Rate per Hour: 65% of Journeyperson's rate.

Steamfitter - Fourth Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate and Supplemental Rate Per Hour: 80% of Journeyperson's rate.

Steamfitter - Fifth Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate and Supplemental Rate Per Hour: 85% of Journeyperson's rate.

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(Local #638)

STONE MASON - SETTER

(Ratio Apprentice of Journeyperson: 1 to 1, 1 to 2)

Stone Mason - Setters - First 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

Stone Mason - Setters - Second 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 60% of Journeyperson's rate

Supplemental Rate Per Hour: 50% of Journeyperson's rate

Stone Mason - Setters - Third 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 70% of Journeyperson's rate

Supplemental Rate Per Hour: 50% of Journeyperson's rate

Stone Mason - Setters - Fourth 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 80% of Journeyperson's rate

Supplemental Rate Per Hour: 50% of Journeyperson's rate

Stone Mason - Setters - Fifth 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 90% of Journeyperson's rate

Supplemental Rate Per Hour: 50% of Journeyperson's rate

Stone Mason - Setters - Sixth 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 100% of Journeyperson's rate

Supplemental Rate Per Hour: 50% of Journeyperson's rate

(Bricklayers District Council)

TAPER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Drywall Taper - First Year

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 40% of Journeyperson's rate

Drywall Taper - Second Year

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

Drywall Taper - Third Year

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

(Local #1974)

TILE LAYER - SETTER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Tile Layer - Setter - First 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

<u>Tile Layer - Setter - Second 750 Hours</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 55% of Journeyperson's rate

Tile Layer - Setter - Third 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 65% of Journeyperson's rate

Tile Layer - Setter - Fourth 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 75% of Journeyperson's rate

Tile Layer - Setter - Fifth 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 85% of Journeyperson's rate

Tile Layer - Setter - Sixth 750 Hours

Effective Period: 7/1/2017 - 6/30/2018

Wage and Supplemental Rate Per Hour: 95% of Journeyperson's rate

(Local #7)

TIMBERPERSON

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)

<u> Timberperson - First Year</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 40% of Journeyperson's rate

Supplemental Rate Per Hour: \$32.79

<u>Timberperson - Second Year</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 50% of Journeyperson's rate

Supplemental Rate Per Hour: \$32.79

Timberperson - Third Year

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 65% of Journeyperson's rate

Supplemental Rate Per Hour: \$32.79

<u>Timberperson - Fourth Year</u>

Effective Period: 7/1/2017 - 6/30/2018

Wage Rate Per Hour: 80% of Journeyperson's rate

Supplemental Rate Per Hour: \$32.79

(Local #1536)

PUBLISH DATE: 7/1/2017 EFFECTIVE PERIOD: JULY 1, 2017 THROUGH JUNE 30, 2018 Page 36 of 36



THE CITY OF NEW YORK OFFICE OF THE COMPTROLLER 1 CENTRE STREET ROOM 1120 NEW YORK, N.Y. 10007-2341

TELEPHONE: (212) 669-3622 FAX NUMBER: (212) 669-8499

ALAN G. HEVESI COMPTROLLER

MEMORANDUM

November 6, 2000

To

Agency Chief Contracting Officers

From:

Leonard A. Mancusi

Re:

Security at Construction Sites

Prior to the enactment of Administrative Code §6-109, security guards on construction sites were not subject to prevailing wages. Security guards under the New York State labor law are covered under §230 which provides that prevailing wages are to be paid for security guards in existing buildings. §6-109 of the Administrative Code which was enacted in 1996 closed this loophole by including all security guards working pursuant to a city contract as a prevailing wage trade.

Although some construction contract boilerplate language has been amended to include §6-109, sub-contractors performing security services have advised us that they were not aware of this provision and, since traditionally, security guards were not a covered trade on construction sites, and they were not advised by a prime contractor that they would have to pay prevailing wages, they have not been doing so.

To avoid the possibility of issuing stop payments against prime contractors for the failure of their security service sub-contractors to pay

prevailing wages, we suggest-that you write to all your existing security guard sub-contractors and their primes and in the future, upon approval of a security guard sub-contractor, advise the contractors of their obligation to pay prevailing wages under §6-109 of the Administrative Code.

As always, your cooperation is appreciated.

·LAM:er ACCO.SECURITY AT SITES



INFRASTRUCTURE DIVISION BUREAU OF DESIGN

VOLUME 2 OF 3

	Contractor
Dated	
APPROVED AS TO FORM CERTIFIED AS TO LEGAL AUTHORITY	
	Acting Corporation Counsel
Dated	



THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

30-30 THOMSON AVENUE LONG ISLAND CITY, NEW YORK 11101-3045 TELEPHONE (718) 391-1000 WEBSITE www1.nyc.gov/site/ddc/index.page

VOLUME 3 OF 3

SCHEDULE A
SPECIFICATIONS AND
REVISIONS TO STANDARD SPECIFICATIONS

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED FOR:

PROJECT ID: BED809

CAPITAL PROJECT WM-1

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET

Together With All Work Incidental Thereto
BOROUGH OF BROOKLYN
CITY OF NEW YORK



FOR THE DEPARTMENT OF ENVIRONMENTAL PROTECTION PREPARED BY IN-HOUSE DESIGN

November 1, 2017

(NO TEXT ON THIS PAGE)

SPECIFICATIONS AND STANDARDS OF NEW YORK CITY

The following New York City Department of Transportation (NYCDOT) reference documents are available on-line at:

http://www1.nyc.gov/site/ddc/resources/publications.page or for purchase between 9:00 A.M. and 3:00 P.M. at 55 Water St., Ground Floor, NYC, N.Y. 10041. Contact: Ms. Vivian Valdez, Tel. (212) 839-9434

- 1. NYCDOT Standard Highway Specifications, August 1, 2015
- 2. NYCDOT Standard Highway Details of Construction, July 1, 2010

The following New York City Department of Transportation (NYCDOT) reference documents are available on-line at:

http://www.nyc.gov/html/dot/html/about/dotlibrary.shtml#spec or for purchase between 9:00 A.M. and 3:00 P.M. at 55 Water St., Ground Floor, NYC, N.Y. 10041. Contact: Ms. Vivian Valdez, Tel. (212) 839-9434

- 1. Specifications for furnishing all labor and material necessary and required for the installation, removal or relocation of street lighting equipment in the City of New York, 1992.
- 2. Standard Drawings, Division of Street Lighting
- 3. Specifications for Traffic Signals and Intelligent Transportation Systems Construction and Equipment
- 4. Standard Drawings for Traffic Signals

The following reference documents for New York City Department of Environmental Protection (NYCDEP) are available on-line at: http://www1.nyc.gov/site/ddc/resources/publications.page or for pick up between 8:00 A.M. and 4:00 P.M. at 30-30 Thomson Avenue, 3rd Floor, Division of Infrastructure, Long Island City, N.Y. 11101. Contact: Mr. Nader Soliman, Tel. (718) 391-1179

- 1. NYCDEP Standard Sewer and Water Main Specifications, July 1, 2014
- 2. NYCDEP Instructions for Concrete Specifications, Jan. 92
- 3. NYCDEP General Specification 11-Concrete, November 1991
- 4. NYCDEP Sewer Design Standards, (September 2007) Revised January 2009

The following reference documents for New York City Department of Environmental Protection (NYCDEP) are available on-line at: http://www1.nyc.gov/site/ddc/resources/publications.page or for pick up between 8:00 A.M. and 4:00 P.M. at 30-30 Thomson Avenue, 3rd Floor, Division of Infrastructure, Long Island City, N.Y. 11101. Contact: Mr. Robert Kuhlmann, Tel. (718) 391-2145

- 1. NYCDEP Water Main Standard Drawings, November 2010
- 2. Specifications for Trunk Main Work, July 2014
- 3. Standards for Green Infrastructure, latest version, available only on-line at:

http://www.nyc.gov/html/dep/html/stormwater/green_infrastructure_stand ards.shtml

Water main work material specifications are available at the Department of Environmental Protection, 59-17 Junction Boulevard, 3rd Floor Low-Rise Building, Flushing, N.Y. 11373-5108.

Contact: Mr. Tarlock Sahansra, P.E., Tel. (718) 595-5302

E-mail: TSAHANSRA@DEP.NYC.GOV

SPECIFICATIONS AND STANDARDS OF NEW YORK CITY

Standard Specifications and Drawings for New York City Fire Department Communications facilities are available from the FDNY Facilities Management Bureau, Plant Operations Engineering, 316 Sgt. Beers Avenue Cluster 1 Box 16, Fort Totten, N.Y. 11359.

Contact: Mr. Ed Durkin, Tel. (718) 281-3933

Tree Planting Standards of the City of New York Parks & Recreation are available at the following Department of Parks & Recreation website: http://www.nycgovparks.org/pagefiles/53/Tree-Planting-Standards.pdf

SPECIFICATIONS AND STANDARDS OF PRIVATE UTILITIES

The Following reference document for Private Utility Work is available for pick up between 8:30 A.M. and 4:00 P.M. at 30-30 Thomson Avenue, First Floor Bid Procurement Room, L.I.C., N.Y. 11101.

1. CET SPECIFICATIONS AND SKETCHES dated November 2010

SCHEDULE A

(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT (INCLUDING GENERAL CONDITIONS RELATED TO ARTICLE 22 – INSURANCE) PART I. REQUIRED INFORMATION

INFORMATION FOR BIDDERS SECTION 26 BID SECURITY	Required provided the TOTAL BID PRICE set forth on the Bid Form is \$1,000,000. or more.
The Contractor shall obtain a bid security in the amount indicated to the right.	Certified Check: 2% of Bid Amount or Bond: 10% of Bid Amount
INFORMATION FOR BIDDERS SECTION 26 PERFORMANCE AND PAYMENT BONDS	Required for contracts in the amount of \$1,000,000 or more.
The Contractor shall obtain performance and payment bonds in the amount indicated to the right.	Performance Security and Payment Security shall each be in an amount equal to 100% of the Contract Price.
INFORMATION FOR BIDDERS DEPARTMENT OF DESIGN AND CONSTRUCTION SAFETY REQUIREMENTS	■ Project Safety Representative
The Contractor shall provide the safety personnel as indicated to the right.	■ Dedicated, full-time Project Safety Manager
CONTRACT ARTICLE 14 DATE FOR SUBSTANTIAL COMPLETION	
The Contractor shall substantially complete the Work in the number of calendar days indicated to the right.	See Page SA-4
CONTRACT ARTICLE 15 LIQUIDATED DAMAGES	
If the Contractor fails to substantially complete the Work within the time fixed for substantial completion plus authorized time extensions or if the Contractor, in the sole determination of the Commissioner, has abandoned the Work, the Contractor shall pay to the City the amount indicated to the right.	\$7,000.00 for each consecutive calendar day over substantial completion time
CONTRACT ARTICLE 17. SUB-CONTRACTOR The Contractor shall not make subcontracts totaling an amount more than the percentage of the total Contract price indicated to the right.	Not to exceed <u>50</u> % of the Contract price

CONTRACT ARTICLE 21.	
RETAINAGE	
	5 % of the value of the Work
The Commissioner shall deduct and retain until	3 70 Of the value of the WOR
the substantial completion of the Work the percent	
value of the Work indicated to the right.	
CONTRACT ARTICLE 22.	Can page CA E through CA 42
(Per Directions Below)	See pages SA-5 through SA-13
CONTRACT ARTICLE 24.	
DEPOSIT GUARANTEE	
DEPOSIT GOARANTEE	
As security for the faithful performance of its	
obligations, the Contractor , upon filing its	1% of Contract price
requisition for payment on Substantial	
Completion, shall deposit with the Commissioner	
a sum equal to the percentage of the Contract	
price indicated to the right.	
CONTRACT ARTICLE 24.	
PERIOD OF GUARANTEE	Eighteen (18) Months, excluding
TENTO OF CONTAINIEE	Trees
Periods of maintenance and guarantee other than	Twomby four (24) Months for Tree
the period set forth in Article 24.1 are indicated to	Twenty-four (24) Months for Tree
the right.	Planting
CONTRACT ARTICLE 74.	
STATEMENT OF WORK	
The Contractor shall furnish all labor and	Addenda, numbered:
materials and perform all Work in strict	
accordance with the Contract Drawings,	
Specifications, and all Addenda thereto, as	
shown in the column to the right.	
CONTRACT ARTICLE 75.	
COMPENSATION TO BE PAID TO CONTRACTOR	Amount for which the Contract was
	Awarded:
The City shall pay and the Contractor shall accept	/ Wardou.
in full consideration for the performance of the	
Contract, subject to additions and deductions as	
provided herein, the total sum shown in the	Dollars
column to the right, being the amount at which	Dollars
the Contract was awarded to the Contractor at a	(\$)
public letting thereof, based upon the Contractor's	/ /
bid for the Contract.	
CONTRACT ARTICLE 79.	
PARTICIPATION BY MINORITY-OWNED AND	See M/WBE Utilization Plan in the Bid
WOMEN-OWNED BUSINESS ENTERPRISES IN CITY	Booklet
PROCUREMENT	

STANDARD HIGHWAY SPECIFICATIONS
SECTION 6.40
LIQUIDATED DAMAGES FOR ENGINEER'S FIELD
OFFICE

If the Contractor fails to satisfactorily provide the field office and all equipment specified in **Section 6.40 - Engineer's Field Office**, and/or if a cited deficiency exceed seventy two (72) hours after notice from the Engineer in writing, or is permitted to recur, liquidated damages will be assessed in the amount specified herein for each subsequent calendar day or part thereof that a cited deficiency resulting in nonpayment, as described in **Section 6.40.5**, is not corrected.

\$ <u>250.00</u> for each calendar day of deficiency

STANDARD HIGHWAY SPECIFICATIONS SECTION 6.70 LIQUIDATED DAMAGES FOR MAINTENANCE AND PROTECTION OF TRAFFIC

- \$ 250.00 for each instance of failure to comply with the Maintenance and Protection of Traffic requirements within three (3) hours after written notice from the Engineer.
- \$ 500.00 for each and every hour of failing to open the entire width of roadway to traffic the morning following a night/weekend work operation.

STANDARD HIGHWAY SPECIFICATIONS SECTION 7.13 LIQUIDATED DAMAGES FOR MAINTENANCE OF SITE

If the Contractor fails to comply, within three (3) consecutive hours after written notice from the Engineer, with the requirements of **Section 7.13** - **Maintenance of Site**, the Contractor shall pay to the City of New York, until such notice has been complied with or rescinded, the sum specified above per calendar day, for each instance of such failure, as liquidated damages and not as a penalty, for such default.

\$ <u>250.00</u> for each calendar day, for each occurrence

Date for Substantial Completion (Reference: Article 14)

The Contractor shall substantially complete the Work within the Final Contract Duration determined in accordance with the terms and conditions set forth herein.

The Base Contract Duration for this project is ______consecutive calendar days ("ccds").

The Final Contract Duration shall be the Base Contract Duration when a check mark is indicated before the word "NO", below, and shall be the Base Contract Duration adjusted by the table set forth below when a check mark is indicated before the word "YES", below.

NC

When the Final Contract Duration is indicated above to be adjusted by the table below, the table may increase the Base Contract Duration depending on the date of scheduled substantial completion to avoid a scheduled substantial completion of the Work during the winter months. The date of scheduled substantial completion shall be determined by adding the Base Contract Duration to the date specified to commence work in the written Notice to Proceed. The Final Contract Duration shall then be determined as follows:

- (a) Find the row that corresponds to the month of substantial completion based on the Base Contract Duration added to the date specified to commence work in the written Notice to Proceed.
- (b) Find the number of days to be added to the Base Contract Duration in the table below. Add that number of days to the Base Contract Duration to obtain the Final Contract Duration in consecutive calendar days.

Month of Substantial Completion based on the Base Contract Duration	Number of Days of adjustment
January	150
February	120
March	90
April	60
May	30
June	0
July	0
August	0
September	0
October	0
November –December 15	0
December 16 – December 31	180

In addition, should Item No. 9.30, "Storm Water Pollution Prevention," exist in the Contract and the required Storm Water Pollution Prevention Plan (SWPPP) does not conform to NYSDEC's recommended Standards, an additional 60 ccd shall be added to the above Final Contract Duration.

(GENERAL CONDITIONS RELATING TO ARTICLE 22 - INSURANCE)

PART II. TYPES OF INSURANCE, MINIMUM LIMITS AND SPECIAL CONDITIONS

Note: All certificate(s) of insurance submitted pursuant to Contract Article 22.3.3 must be accompanied by a Certification by Broker consistent with Part III below and include the following information:

- For each insurance policy, the name and NAIC number of issuing company, number of policy, and effective dates;
- Policy limits consistent with the requirements listed below;
- Additional insureds or loss payees consistent with the requirements listed below;
 and
- The number assigned to the Contract by the City (in the "Description of Operations" field).

Insurance indicated by a blackened box (■) or by X in a □ to left will be required under this contract

Types of Insurance (per Article 22 in its entirety, including listed paragraph)	Minimum Limits and Special Conditions
	The minimum limits shall be \$ 3,000,000 per occurrence and \$ 6,000,000 per project aggregate applicable to this Contract.
■ Commercial General Liability Art. 22.1.1	 Additional Insureds: City of New York, including its officials and employees, with coverage at least as broad as ISO Form CG 20 10 and CG 20 37, All person(s) or organization(s), if any, that Article 22.1.1(b) of the Contract requires to be named as Additional Insured(s), with coverage at least as broad as ISO Form CG 20 26. The Additional Insured endorsement shall either specify the entity's name, if known, or the entity's title (e.g., Project Manager), National Grid

Workers' Compensation, Employers' Liability, and Disability Benefits Insurance: Statutory per New York State law without regard to jurisdiction. Note: The following forms are acceptable: (1) New York State Workers' Compensation Board Form No. C-105.2, (2) State Insurance Fund Form No. U-26.3, (3) New York State Workers' Compensation Board Form No. DB-120.1 and (4) Request for WC/DB Exemption Form No. CE-200. The City will not accept an ACORD ■ Workers' Compensation Art. 22.1.2 form as proof of Workers' Compensation or Disability Insurance. Art. 22.1.2 ■ Disability Benefits Insurance Jones Act and U.S. Longshoremen's and Art. 22.1.2 ■ Employers' Liability Harbor Workers' Compensation Act: Statutory per U.S. Law. Art. 22.1.3 ☐ Jones Act ☐ Additional Requirements: ☐ U.S. Longshoremen's and Harbor Workers (1) NYCTA "OUTSIDE CONTRACT" INSURANCE Compensation Act Art. 22.1.3 Workers' Compensation REQUIREMENTS: Insurance (including Employer's Liability Insurance) with limits of not less than \$2,000,000, which limit may be met by a combination of primary and excess insurance meeting the statutory limits of New York State. (2) Two (2) certificates of such insurance shall be furnished to the Director, Risk Management, MTA Risk and Insurance Management Standards. Enforcement and Claims Unit, 2 Broadway, 21st Floor, New York, NY 10004.

		☐ Required: 100% of total bid amount	
		☐ Required: 100 % of total bid amount for Item(s):	
□ Builders' Risk	Art. 22.1.4	Contractor the Named Insured; the City both an Additional Insured and one of the loss payees as its interests may appear.	
		floater in lieu of Builders Risk insurance.	
		Note: Builders Risk Insurance may terminate upon Substantial Completion of the Work in its entirety.	
■ Commercial Auto Liability		\$ 2,000,000 per accident combined single limit	
	Art. 22.1.5	If vehicles are used for transporting hazardous materials, the Contractor shall provide pollution liability broadened coverage for covered vehicles (endorsement CA 99 48) as well as proof of MCS 90	
		Contractor the Named Insured; the City both an Additional Insured and one of the loss payees as its interests may appear. If the Work does not involve construction of a new building or gut renovation work, the Contractor may provide an installation floater in lieu of Builders Risk insurance. Note: Builders Risk Insurance may terminate upon Substantial Completion of the Work in its entirety. \$ 2,000,000 per accident combined single limit If vehicles are used for transporting hazardous materials, the Contractor shall provide pollution liability broadened coverage for covered vehicles (endorsement CA 99 48) as well as proof of MCS 90 Additional Insureds: \$ 5,000,000 per occurrence \$ 5,000,000 aggregate Additional Insureds:	
□Contractors Pollution Liability	Art. 22.1.6	City of New York, including its officials and employees, and	

☐ Marine Protection and Indemnity Art. 22.1.7(a)	\$each occurrence \$aggregate Additional Insureds: 1. City of New York, including its officials and employees, and 2. 3.
☐ Hull and Machinery Insurance Art. 22.1.7(b)	\$ per occurrence \$ aggregate Additional Insureds: 1. City of New York, including its officials and employees, and 2. 3.
☐ Marine Pollution Liability Art. 22.1.7	\$\frac{1,000,000}{1,000,000}\$ per occurrence \$\frac{1,000,000}{2.000}\$ aggregate Additional Insureds: 1. City of New York, including its officials and employees, and 2. 3.

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[OTHER]

Art. 22.1.8

☐ Railroad Protection Liability Policy

(ISO-RIMA or equivalent form) approved by Permittor covering the work to be performed at the designated site and affording protection for damages arising out of bodily injury or death, physical damage to or destruction of property, including damage to the Insured's own property and conforming to the following:

- Policy Endorsement CG 28 31 Pollution Exclusion Amendment is required to be endorsed onto the policy when environmental-related work and/or exposures exist.
- Indicate the Name and address of the Contractor to perform the work, the Contract # and the name of the railroad property where the work is being performed and the Agency Permit.
- Evidence of Railroad Protective Liability
 Insurance, must be provided in the form of the
 Original Policy. A detailed Insurance Binder
 (ACORD or Manuscript Form) will be
 accepted pending issuance of the Original
 Policy, which must be provided within 30 days
 of the Binder Approval.

- \$ <u>2,000,000</u> per occurrence
- \$ <u>6,000,000</u> annual aggregate

Named Insureds:

 New York City Transit Authority (NYCTA), the Manhattan and Bronx Surface Transit Operation Authority (MaBSTOA), the Staten Island Rapid Transit Operation Authority (SIRTOA), MTA Capital Construction Co., the Metropolitan Transportation Authority (MTA) including its subsidiaries and affiliates, and the City of New York (as Owner) and all other indemnified parties.

[OTHER] Art. 22.1.8

■ Professional Liability

- A. The Contractor's Professional Engineer shall maintain and submit evidence of Professional Liability Insurance in the minimum amount of \$1,000,000 per claim. The policy or policies shall include an endorsement to cover the liability assumed by the Contractor under this Contract arising out of the negligent performance of professional services or caused by an error, omission or negligent act of the Contractor's Professional Engineer or anyone employed by the Contractor's Professional Engineer.
- B. Claims-made policies will be accepted for Professional Liability Insurance. All such policies shall have an extended reporting period option or automatic coverage of not less than two (2) years. If available as an option, the Contractor's Professional Engineer shall purchase extended reporting period coverage effective on cancellation or termination of such insurance unless a new policy is secured with a retroactive date, including at least the last policy year.

[OTHER]	Art. 22.1.8	Fire insurance, extended coverage and	
■ Engineer's Field Office		vandalism, malicious mischief and burglary, and theft insurance coverage in	
Section 6.40, Standard Highway Specifications		the amount of \$40,000	
[OTHER]	Art. 22.1.8		
☐ The Following Additional Insurance Must Be Provided: Umbrella/Excess Liability Insurance - The Contractor shall provide Umbrella/Excess Liability Insurance in the minimum amount of \$10,000,000 per Occurrence and \$10,000,000 in Aggregate. The policy terms and condition should be at least as broad as the underlying policies. The underlying policies should comply with the insurance provision as outlined by the contract. Defense cost should be in addition to the limit of liability. The City of New York, including its officials and employees, should be included as additional insured as respects to the noted project.			

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SCHEDULE A (GENERAL CONDITIONS TO CONSTRUCTION CONTRACT) (GENERAL CONDITIONS RELATING TO ARTICLE 22 – INSURANCE)

PART III. CERTIFICATES OF INSURANCE

All certificates of insurance (except certificates of insurance solely evidencing Workers' Compensation Insurance, Employer's Liability Insurance, and/or Disability Benefits Insurance) must be accompanied by one of the following:

(1) the Certification by Insurance Broker or Agent on the following page setting forth the required information and signatures;

-- OR --

(2) copies of all policies as certified by an authorized representative of the issuing insurance carrier that are referenced in such certificate of insurance. If any policy is not available at the time of submission, certified binders may be submitted until such time as the policy is available, at which time a certified copy of the policy shall be submitted.

CITY OF NEW YORK **CERTIFICATION BY INSURANCE BROKER OR AGENT**

The undersigned insurance broker represents to the City of New York that the attached Certificate of Insurance is accurate in all material respects.

	[Name of broker or agent (typewritten)]
	[Address of broker or agent (typewritten)]
	[Email address of broker or agent (typewritten)]
	[Phone number/Fax number of broker or agent (typewritten)]
	[Signature of authorized official, broker, or agent]
	[Name and title of authorized official, broker, or agent (typewritten)]
State of) ss :
County of)
Sworn to before me	e this day of, 20
NOTABY BURLIC	FOR THE STATE OF

SCHEDULE A

(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)

PART IV. ADDRESS OF COMMISSIONER

Wherever reference is made in Article 7 or Article 22 to documents to be sent to the **Commissioner** (e.g., notices, filings, or submissions), such documents shall be sent to the address set forth below or, in the absence of such address, to the **Commissioner's** address as provided elsewhere in this **Contract**.

DDC Director, Insurance Risk Manager
30 – 30 Thomson Avenue, 4th Floor (IDCNY Building)
Long Island City, NY 11101

(NO FURTHER TEXT ON THIS PAGE)

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REVISIONS TO STANDARD SPECIFICATIONS

NOTICE

The Specification Bulletin(s) ("SB(s)") referenced in this Section (R-Pages) may consist of revisions to the following Standard Specifications:

- New York City Department of Transportation ("NYC DOT") Standard Highway Specifications, dated 8/1/2015;
- New York City Department of Environmental Protection ("NYC DEP") Standard Sewer and Water Main Specifications, dated 7/1/2014; and
- NYC DEP Specifications for Trunk Main Work, dated 7/2014.

The SB(s) modify and supersede portions of the applicable Standard Specifications. The provisions contained in this Contract's I-Pages, S-Pages and SW-Pages may further modify the applicable Standard Specifications.

The following SB(s) are included as part of this contract:

- SB 16-001 REVISIONS TO THE NYC DOT STANDARD HIGHWAY SPECIFICATIONS.
- SB 16-002 REVISIONS TO THE NYC DEP STANDARD SEWER AND WATER MAIN SPECIFICATIONS.
- SB 17-001 UV CURED-IN-PLACE-PIPE (CIPP) LINING METHOD
- SB 17-002 SUPERSEDED BY SB 18-001
- SB 17-003 ENGINEERS FIELD OFFICE
- SB 17-004 FIRE DEPARTMENT FACILITIES
- SB 17-005 DIGITAL PHOTOGRAPHS
- SB 17-006 RECORDS OF SUBSURFACE STRUCTURES
- SB 17-007 MOBILIZATION
- SB 17-008 QUALIFICATION CARDS
- SB 17-009 SALVAGEABLE MATERIALS
- SB 17-010 MILLED ASPHALTIC CONCRETE AGGREGATE
- SB 17-011 DETECTABLE WARNING UNIT COLOR

3/30/2018 R-1

- SB 17-012 TEMPORARY HOUSE CONNECTION MATERIAL
- SB 18-001 RODENT AND WATERBUG PEST CONTROL
- SB 18-002 COLOR SURFACE TREATMENT FOR PAVEMENTS
- SB 18-003 WATER AND SEWER GENERAL PROVISIONS

The SB(s) are available online at: http://www1.nyc.gov/site/ddc/resources/specification-bulletins.page or for pickup between 8:00 AM and 4:00 PM at 30-30 Thomson Avenue, 3rd Floor, Division of Infrastructure, Long Island City, NY 11101. Contacts:

- Mr. Richard Jones, (718) 391-1417
- Mr. Salman Macktoom, (718) 391-2041

(NO FURTHER TEXT THIS PAGE)



Department of Design and Construction

SPECIFICATION BULLETIN

SB

18-003

Title: WATER AND SEWER GENERAL PROVISIONS			
Prepared:	3/29/2018	Approved: Punina Phera	3/29/2018
Richard Jones, P.E. CWI	Date	Purnima Dharia, P.E.	Date
Director, Specifications – Infras	tructure Design	Assistant Commissioner – Infrastruc	ture Design

APPLICABILITY:

• This Specification Bulletin (SB) is effective for projects advertised on or after 4/10/2018.

SUPERSEDENCE:

• This SB supersedes the following SBs: NONE

ATTACHMENTS:

NONE

REVISIONS TO THE NEW YORK CITY DEPARTMENT OF ENVIRONMENTAL PROTECTION STANDARD SEWER AND WATER MAIN SPECIFICATIONS, DATED 7/1/14:

All references contained below are to the New York City Department of Environmental Protection Standard Sewer and Water Main Specifications, Dated July 1, 2014. Said Standard Sewer and Water Main Specifications are hereby revised as follows:

 a) <u>Refer</u> to Page I-3, Section 10.01 – DEFINITION OF TERMS; <u>Insert</u> the following new paragraph at the end of the Section:

"Whenever the word "shall" is used herein, the term is intended to convey a contractual mandate, such as the terms "must", "will", or "be obliged to" (and not "may")."

b) Refer to Page I-18;

Insert the following new Section 10.37 - CORROSIVE SOILS:

"The contractor is notified that where ever ductile iron pipe is installed within the project scope, especially in soil environments that are considered to be potentially corrosive to ductile iron pipe, that the Engineer will direct the Contractor to test the soil and other conditions that affect the corrosion rate of ductile iron pipe in accordance with "AWWA C105/A21.5, Appendix A" to determine the necessity of polyethylene encasement of pipe. The rate of testing shall not exceed two tests per block. The Engineer reserves the right to witness all sampling and testing of the soil.



Department of Design and Construction

SPECIFICATION BULLETIN

SB

18-003

Title:

WATER AND SEWER GENERAL PROVISIONS

The cost for testing the soil, including all labor, materials and equipment, will be included in the prices bid for laying ductile iron pipe and fittings per Section 60.12 of the Standard Sewer and Water Main Specifications.

If the soil is found corrosive for the ductile iron pipe, the Contractor must encase the new ductile iron pipe and appurtenances in an approved loose 8-mil thick polyethylene sleeve in accordance with item 65.21 PS - "FURNISHING AND PLACING POLYETHYLENE SLEEVE", or as ordered by the Engineer. The payment for all labor, materials and equipment, etc., complete, required for the encasing the ductile iron pipe will be deemed included in the price bid for the item 65.21 PS - "FURNISHING AND PLACING POLYETHYLENE SLEEVE" and no separate payment will be made."

(NO FURTHER TEXT THIS PAGE)

SEWER AND WATER MAIN REVISIONS TO SPECIFICATIONS

NOTICE

The Standard Sewer And Water Main Specifications of the Department of Environmental Protection (dated July 1, 2014), Sewer Design Standards of the Department of Environmental Protection (dated (September 2007) Revised January 5, 2009), Water Main Standard Drawings of the Department of Environmental Protection (latest revisions), and Specifications For Trunk Main Work of the Department of Environmental Protection (dated July 2014) shall be included as part of the contract documents. These said specifications and standard drawings are hereby revised under the following section headings:

- A. NOTICE TO BIDDERS
- B. REVISIONS TO THE STANDARD SEWER AND WATER MAIN SPECIFICATIONS
- C. REVISIONS TO THE SPECIFICATIONS FOR TRUNK MAIN WORK

(NO TEXT ON THIS PAGE)

A. NOTICE TO BIDDERS

(1) The Contractor is advised that this project contains private Utility Facilities work as specified in herein to this contract, herein this project, and the "JOINT-BIDDING SPECIFICATIONS AND SKETCHES" dated August 1, 2005, which is available for pick-up in the Bid Procurement Office at 30-30 Thomson Ave., Long Island City, NY 11101.

Unit Price Items that are listed in the Bid Schedule and the Contingency Item List, that begin with "JB" are to be done in accordance with the corresponding "JB" specifications contained in the "JOINT-BIDDING SPECIFICATIONS AND SKETCHES" document. In addition, each "JB" item contains one of the following suffixes to denote the Utility Company that the work is chargeable to: "(CE)" for Consolidated Edison Company of New York, Inc.; "(ECS)" for Empire City Subway Company, Ltd.; and, "(TW)" for Time Warner Entertainment Company, L.P. (e.g.: JB 200(CE) refers to Section JB 200 in the "JB" specifications for work that is chargeable to Consolidated Edison Company of New York).

- (2) The Contractor is notified that a Notice To Proceed (NTP) date will be issued for work to commence within twenty-one (21) to thirty (30) days of Contract Registration.
- (3) The Contractor shall furnish, install, maintain and subsequently remove temporary Protective Tree Barriers. Protective Tree Barriers shall be Type B, unless otherwise directed by the Engineer, and shall be constructed and installed as shown on the Protective Tree Barrier sketch in Department Of Transportation, Standard Highway Details Of Construction, Drawing No. H-1046A, as directed by the Engineer, and in accordance with Department of Parks and Recreation requirements.
- (4) All utility locations and invert elevations are not guaranteed, nor is there any guarantee that all existing utilities, whether functional or abandoned within the project area are shown.
- (5) All existing house connections shall be maintained and supported during construction. The Contractor shall replace any existing house connection damaged as a result of the Contractor's construction operations as ordered by the Engineer at no cost to the City.
- (6) The Contractor is advised that any City owned light poles, traffic signals, street name signs, traffic signs and encumbrances including, but not limited to, underground conduit displaced as the result of the installation of the new sewers, water mains, catch basins, catch basin connections and appurtenances shall be replaced in kind and as directed by the Engineer. The cost of such work shall be deemed included in the prices bid for all items of work under this contract.
- (7) The Contractor is notified that Victaulic Style 77 Coupling is no longer acceptable for use in any steel water main work. All reference to Victaulic Style 77 Coupling within the Standard Sewer And Water Main Specifications of the Department of Environmental Protection (dated July 1, 2014), the Water Main Standard Drawings of the Department of Environmental Protection (latest revisions), the Specifications For Trunk Main Work (dated July 2014), and the contract drawings, shall be replaced with Bolted Split-Sleeve Restrained Coupling.
- (8) The Contractor is notified that wherever the Item No. "6.52" and words "flagger", "flagperson" and "flagman" are used in the contract documents and drawings it shall mean the Item No. "6.52 CG" and the words "Crossing Guard", respectively. The Contractor is advised that until the Comptroller of the City of New York sets a prevailing wage rate for crossing guards, there are no prevailing wage rates for crossing guards.
- (9) The Contractor is notified that the fuel cost per gallon used in the formula under Sub-Article 26.2.8 of the Standard Construction Contract for Extra Work will be derived from the fuel price index for the United States East Coast published weekly by the United States Energy Information Administration ("USEIA"), and available on its website at http://www.eia.gov/petroleum/gasdiesel/. The USEIA published cost per gallon for the applicable fuel on the East Coast for the week in which the first day of each calendar quarter during the contract term occurs (i.e., January 1st, April 1st, July 1st and September 1st) will be used in the reimbursement formula for all Extra Work invoiced that was performed during that calendar quarter. Should the USEIA stop publishing this fuel price index, the

fuel cost per gallon will be determined by reference to a substitute index to be agreed upon by the Contractor and the City.

(10)The Contractor is responsible for any damage to the existing street and traffic signal equipment, including underground conduits and the safety of both pedestrian and vehicular traffic for the duration of the contract.

Should any conduits, cables or foundations need repair due to the Contractor's negligent operations during construction, all work shall be performed according to NYCDOT Bureau of Traffic's Standard Drawings and Specifications at the sole expense of the Contractor.

It is the Contractor's responsibility to secure an approved electrical contractor to perform all traffic signal work (if any). For list of approved electrical contractors, contact Mr. Michael R. LeFosse of New York City Department of Transportation at (212) 839-3799.

- (11)The Contractor is advised that where the existing roadway pavement is designated to be replaced from curb to curb, then no full depth saw cutting of pavement for sewer and water main trenches will be required, except at the limits of full width pavement restoration. No separate or additional payment will be made for any saw cutting.
- (12)The Contractor shall exercise extreme caution and take all necessary precautions in placing sheeting and excavating to prevent any damage to the existing NYC TRANSIT'S subway structures and its appurtenances during construction work throughout the project area. The Contractor shall take full responsibility to protect the said NYC TRANSIT'S subway structures and its appurtenances and any damage caused by the Contractor's operations shall be made good by the Contractor to the satisfaction of the Engineer at no additional cost to the City.
- (13)The Contractor shall submit shop drawings to NYC Transit Authority showing all the details and methods of construction, such as, sheeting and bracing, including the Contractor's procedure and sequence of construction, supporting and/or protection of the existing TA's structures and its appurtenances, with necessary design calculations for approval prior to starting of the construction. The design shall be made by a New York State Licensed Professional Engineer skilled in this type of construction and as further evidenced by the imprint of Professional Engineer's seal and signature on all drawings. The cost of this work shall be deemed included in the price bid for all items of work under this contract.

B. REVISIONS TO THE STANDARD SEWER AND WATER MAIN SPECIFICATIONS

(1) Refer to Subsection 10.15 - Notice To Utility Companies, Etc., To Remove Structures Occupying Place Of Sewers, Water Mains Or Appurtenances, Page I-11:

Add the following to Subsection 10.15:

(1) CONSOLIDATED EDISON COMPANY OF NEW YORK (CON EDISON)

There are CON EDISON facilities in the area of construction. The Contractor shall notify CON EDISON at least seventy-two (72) hours prior to the start of construction by contacting Mr. Dimitrios Karounis at (718) 275-4085.

(2) NATIONAL GRID

There are NATIONAL GRID facilities in the area of construction. The Contractor shall notify NATIONAL GRID at least seventy-two (72) hours prior to the start of construction by contacting Mr. Neville Jacobs Jr. at (718) 963-5612.

(3) VERIZON

There are VERIZON facilities in the area of construction. The Contractor shall notify VERIZON at least seventy-two (72) hours prior to the start of construction by contacting Mr. David Reid at (718) 977-8138.

(4) SPECTRUM

There are SPECTRUM facilities in the area of construction. The Contractor shall notify TIME WARNER CABLE at least seventy-two (72) hours prior to the start of construction by contacting SPECTRUM Mr. John Piazza at (917) 888-4261.

(2) <u>Refer</u> to Subsection 10.21 - Contractor To Notify City Departments, Page I-13: <u>Add</u> the following to Subsection 10.21:

(1) N.Y.C. D.E.P., BUREAU OF WATER AND SEWERS OPERATIONS

The Contractor shall notify Mr. Peter Gordon, P.E., Chief, Linear Capital Program Management Division at the Department of Environmental Protection, 59-17 Junction Blvd., 3rd floor low rise, Corona N.Y. 11368, at least thirty (30) days prior to the start of construction.

(2) NEW YORK CITY FIRE DEPARTMENT

The Contractor shall notify the Bureau of Fire Communications at least thirty (30) days prior to the start of construction by contacting Mr. Ed Durkin at (718) 624-4194 or (718) 624-3752.

(3) N.Y.C. DEPARTMENT OF TRANSPORTATION

The Contractor shall notify Mr. Michael Lofesse/Ghanshyyam Patel - Signal/Street Lighting Operations, 34-02 Queens Blvd., Long Island City, N.Y. 11101 at (212) 839-3350, at least seventy-two (72) hours prior to the start of construction.

(4) N.Y.C. DEPARTMENT OF PARKS AND RECREATION

The Contractor shall notify the Parks Department at least seventy-two (72) hours prior to the start of construction by contacting Mr. Jason Conheeney at (718) 965-7740.

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(5) N.Y.C. TRANSIT AUTHORITY

(a) The Contractor shall notify Outside Projects at least seven (7) days prior to the start of construction.

The Contractor shall contact:

Mr. Mohamed Adam, P.E.
Project Engineer-Outside Projects
New York City Transit
2 Broadway, 7th Floor
New York, N.Y. 10004
Attention Ms. Alina Avadanei
Telephone No. (646) 252-3641

(b) The Contractor is advised that bus routes as well as bus stops, within the scope of this project may be affected during construction operations. The Contractor shall notify the Transit Authority at least two (2) weeks prior to the start of construction, in order to make the necessary arrangements as required under the NYC TRANSIT GENERAL NOTES included in Section 10.25, paragraph (A), Section 10.25 paragraph (B), and Section 10.25 paragraph (C) of this section.

Arrangements shall be made through:

Ms. Sarah Wyss
Director Of Short Range, Bus Service Planning (SRB)
New York City Transit
2 Broadway, 17th Floor
New York, N.Y. 10004
Telephone No. (646) 252-5517
sarah.wyss@nyct.com

- (c) In addition, the Contractor is advised that construction operations might affect subway lines and stations; and NYCT facilities (i.e. manholes, ducts, etc.). The Contractor shall notify the Transit Authority as required and specified in Section 10.25 paragraph (A), Section 10.25 paragraph (B), and Section 10.25 paragraph (C) of this section.
- (3) Refer to Subsection 10.25 Contractor To Carry Out Agreement Between City And Railroad Company Or Property Owner(s), Page I-14:
 Add the following to Subsection 10.25:
 - (A) NYC TRANSIT GENERAL NOTES

For NYC TRANSIT notes see the contract drawings.

- (B) NYC TRANSIT INSURANCE REQUIREMENTS
- N.Y.C. TRANSIT INSURANCE: The Contractor (Permittee) shall indemnify and save harmless the City of New York and the New York City Transit (Permittor) in accordance with the following "Insurance Requirements" and proof that the necessary insurance is in effect will be required before work can commence:

NYCT "OUTSIDE CONTRACT" INSURANCE REQUIREMENTS

(1) The Permittee at its sole cost and expense shall carry or cause to carried and shall maintain at all times during the period of performance under this Agreement policies of insurance as herein below set forth below:

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(A) Workers' Compensation Insurance - (including Employer's Liability Insurance) with limits as specified in Schedule "A", which limit may be met by a combination of primary and excess insurance meeting the statutory limits of New York State. The policy shall be endorsed to include Longshoreman's and Harbor Workers' Compensation Act/Maritime Coverage Endorsement and/or Jones Act Endorsement when applicable.

(B) Commercial General Liability Insurance - (I.S.O. 2001 Form or equivalent) approved by Permittor in the Permittee's name with limits of liability as specified in Schedule "A" for each occurrence on a combined single limit basis for injuries to persons (including death) and damages to property. The limits may be provided in the form of a primary policy or combination of primary and umbrella/excess policy. When the minimum contract amounts can only be met when applying the umbrella/excess policy; the Umbrella/Excess Policy must follow form of the underlying policy and be extended to "drop down" to become primary in the event primary limits are reduced or aggregate limits are exhausted. Such insurance shall be primary and non-contributory to any other valid and collectable insurance and must be exhausted before implicating any Permittor/MTA policy available.

Such policy should be written on an occurrence form; and shall include:

- Contractual coverage for liability assumed by the Permittee under this agreement;
- Personal and Advertising Injury Coverage;
- Products-Completed. Operations;
- Independent Contractors Coverage;
- "XCU" coverage (Explosion, Collapse, and Underground Hazards) where necessary;
- Contractual Liability Exclusion, applicable to construction or demolition operations to be performed within 50 feet of railroad tracks, must be voided, where necessary; and,
- Additional Insured Endorsement (I.S.O. Form CG 20 26 07/04 version or equivalent) approved the Permittor naming:

New York City Transit Authority (NYCTA), the Manhattan and Bronx Surface Transit Operating Authority (MaBSTOA), the Staten Island Rapid Transit Operating Authority (SIRTOA), MTA Capital Construction Co., the Metropolitan Transportation Authority (MTA) including its subsidiaries and affiliates, and the City of New York (as Owner).

- (C) <u>Business Automobile Liability Insurance Policy</u> (I.S.O. Form CA 00 01 10 01 or equivalent) approved by the Permittor is required if Permittee's vehicle enters Permittor property. The insurance must be in the name of the Permittee or its contractor entering the Permittor property with limits of liability in the amount specified in Schedule "A" for claims for bodily injuries (including death) to persons and for damage to property arising out of the ownership, maintenance or use of any owned, hired or non-owned motor vehicle.
- (D) Railroad Protective Liability Insurance policy shall be required as specified in Schedule "A".
- (E) Environmental/Pollution Exposures:

In the event environmental or pollution exposures exist, the Permittee shall require the environmental contractor or sub-contractor to provide the applicable insurance covering such exposure. The limits and type of insurance provided shall be satisfactory to the Permittor and will be confirmed to the parties prior to the start of the work.

(2) General Requirements Applicable To Insurance Policies:

- (a) All of the insurance required by this Article shall be with Companies licensed or authorized to do business in the State of New York with an A.M. Best Company rating of not less than A-/VII or better and reasonably approved by the Permittor/MTA and shall deliver evidence of such policies.
- (b) Except for Workers Compensation, all references to forms and coverages referred to above shall be the most recent used by the Insurance Services Office, Inc. (ISO") or equivalent forms approved by the Insurance Department of the State of New York, provided, however, that excess coverages may be written on forms reasonably acceptable to Permittor containing provisions other than those contained in ISO forms but otherwise conforming in substance to the requirements of this Article.
- (c) The Permittee or its Contractor performing the work shall furnish evidence of all policies before any work is started to the permittor:

For NYCT Contract Inspection C/O Mr. John Malvasio Director, MOW Engineering 130 Livingston Street, Room 8044F Brooklyn, NY 11201 Telephone: (718) 694-1358

These policies must: (i) be written in accordance with the requirements of the paragraphs above, as applicable; (ii) be endorsed in form acceptable to include a provision that the policy will not be canceled, materially changed, or not renewed, unless otherwise indicated herein, at least thirty (30) days prior written notice to the Permittor c/o MTA Risk and Insurance Management (MTA RIM) Department - Standards, Enforcement & Claims Unit, 2 Broadway -21st floor, New York, NY 10004; and (iii) state or be endorsed to provide that the coverage afforded under the contractor's policies shall apply on a primary and not on an excess or contributing basis with any policies which may be available to the Permittor/MTA, and also that the contractor's policies, primary and excess, must be exhausted before implicating any Permittor/MTA policy available. (iv) In addition, contractor's policies shall state or be endorsed to provide that, if a subcontractor's policy contains any provision that may adversely affect whether contractor's policies are primary and must be exhausted before implicating any Permittor/MTA policy available, contractor's and subcontractor's policies shall nevertheless be primary and must be exhausted before implicating any Permittor/MTA policy available. Except for Professional Liability, policies written on claims made basis are not acceptable. At least two (2) weeks prior to the expiration of the policies, contractor shall endeavor to provide evidence of renewal or replacement policies of insurance, with terms and limits no less favorable than the expiring policies. Except as otherwise indicated in the detailed coverage paragraphs below, self insured retentions and policy deductibles shall not exceed \$100,000, unless such increased deductible or retention is approved by Permittor/MTA. The Permittee shall be responsible for all claim expense and loss payments within the deductible or selfinsured retention. The insurance monetary limits required herein may be met through the combined use of the insured's primary and umbrella/excess policies.

- (d) Certificates of Insurance may be supplied as evidence of policies of the above policies, except for Policy (D) Railroad Protective Liability Insurance Policy. However, if requested by the Permittor, the Permittee shall deliver to the Authority, within forty-five (45) days of be request, a copy of such policies, certified by the insurance carrier as being true and complete. The Railroad Protective Liability Insurance Policy must be provided in the form of the Original Policy. A detailed Insurance Binder may be provided, ACORD or Manuscript Form, pending issuance of the Original Policy. The Original Policy must be submitted to MTA RIM within thirty (30) days of the Binder Approval.
- (e) If a Certificate of Insurance is submitted, it must: (1) be provided on the Permittor Certificate of Insurance Form or MTA Certificate of Insurance Form for Joint Agency Agreements; (2) be signed by an authorized representative of the insurance carrier or producer and notarized; (3) disclose any deductible, self-insured retention, sub-limit, aggregate limit or any exclusions to

the policy that materially change the coverage; (4) indicate the Additional Insureds and Named Insureds as required herein, along with a <u>physical copy</u> of the Additional Insured Endorsement (I.S.O. Form CG 20 26 07/04 version or equivalent), as applicable and the endorsement(s) must include policy number(s); (5) reference the Contract by number on the face of the certificate; and (6) expressly reference the inclusion of all required endorsements.

- (f) The minimum amounts of insurance required in the detail description of policies (A), (B), (C), and (D) above shall not be construed to limit the extent of the Permittee's liability under this Agreement.
- (g) If, at any time during the period of this Agreement, insurance as required is not in effect, or proof thereof is not provided to the Permittor, the Permittor shall have the options to: (i) direct the Permittee to suspend work or operation with no additional cost or extension of time due on account thereof; or (ii) treat such failure as an Event of Default.

(C) NYC TRANSIT CURRENT AND FUTURE PROJECTS

The Contractor is notified of the following:

- (1) The following subway lines are within the area of this project:
 - (a) The **Q**, **B** Train Brighton Line is running along East 16th Street within area of the project.
- (2) The Contactor can obtain NYC Transit Structural Drawings by contacting Mr. Chenthitta Gopalakrishnan at (646) 252-3614

Enclosed as reference the following drawing showing Power Engineering activities

- P-654 Duct Assignment Vicinity of Neck Road Station- Brighton Line BMT- Brooklyn
- (3) The project might have an impact at the following stations:
 - (a) 7th Avenue Station for the BMT Q Train Brighton Line
 - (b) Brooklyn Museum Station for the IRT No. 2 and No. 3 Lines

Should it become necessary to close any of the entrances for the above stations, see Note No. 14.35 from the General Notes that are made part of the contract drawings.

(4) (a) The following tables showing NYC Transit's current and planned projects that may impact and/or interfere with this project might require the Contractor to coordinate construction work with NYCT contractors. These tables are for information only:

Contract	Project Title	Design Manager/ Telephone No.	Construction Manager/ Telephone No.	Resident Engineer/ Telephone No.
RC03-4057 A33696-1	Fare Control Modernize AFC Equip 69 Sta; Tmst146Stn			
MW17-3607 S32301-2	Comm Cable/Eqpt Stillweii-DeKalb/BRT	Undesignated	S. Dao/ (646) 252-4368	
MW43-3615 W32071	Communic Systems Emerg Telephone Syst	Undesignated	J. Diaz/ (646) 790-2101	
MW22-3005 C33121	Line Structure Rehab Elev Str Clearance Mrkgs	Undesignated	Y. Shukla/ (646) 252-3690	
PLOI-2899 E32064/	Police Stn Sec Elevated	Undesignated	D.I. Patel/ (646) 252-4540	

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PL01-2887 C32056	Police Install Anti- Crime Gates	Undesignated	S. Kwa / _(646) 252-3189
PL01-28866 C320547	Police Station Security: 234 Mirrors	Undesignated	S. Kwa <i>I</i> (646) 252-3189
ST05-1200 A33609	Abrasive Warning Strigs63 Stations	Undesignated	S. Abraham/ (646) 507/5785
ST06-0592 A30598	Station Signage 75 Stations	N/A	B. Olanlyan/ (646) 252-2061
PL030-1624 A33613	OHWA's/Annunciators 119 Sta. OHWA's/Annun	Undesignated	B. Olanlyan/ (646) 252-2061
TR01-0531 S32229	Rapid Transit Operations PA & Train Dispatch Ph2	Undesignated	M. Maddela/ (646) 252-3975
MW23-0304 C30575	Platform, Roof & Canopy Canopies-WSt, BRT,CNR	N/A	V. Tadia/ (646) 723-9956
DB01-1251 D61142	Contingency Funds Sandy: Mitign Studies- EBCS	Undesignated	Undesignated

(4) Refer to Subsection 10.30 - Contractor To Provide For Traffic, Page I-15: Add the following to Subsection 10.30:

(1) Traffic Stipulations:

The Contractor shall refer to the Traffic Stipulations (eight (8) pages) that are attached to the end of this addendum, and as directed by the Engineer.

(5) <u>Refer</u> to Subsection 40.02.15 - Disposal Of Water From Trenches, Page IV-9: Add the following to Subsection 40.02.15:

(A) The Department of Design and Construction has <u>not</u> filed application for Dewatering Permit with the New York State Department of Conservation (NYSDEC), under the Environmental Conservation Law (ECL), Title 15 of Article 15, for a Temporary Well Point System Permit. However, it is anticipated that the criteria for rate of pumping specified herebefore in this section will be exceeded in areas of construction; the Contractor shall be responsible for applying and obtaining the necessary dewatering permit prior to the dewatering of trenches within the scope of this project.

As part of the permit application the Contractor will be required to comply with all the requirements of **Section 40.14** of this section.

Copies of all materials submitted to NYSDEC shall be sent to the New York City Department of Design and Construction (NYCDDC), Infrastructure/Design.

The following minimum requirements set forth by the New York Department of Environmental Conservation shall be complied with prior to the start of work in areas of construction requiring dewatering permit:

(1) An analysis must be made of water samples taken. The results are to be submitted to the Regional Permit Administrator. An analysis shall be made for BOD, salinity, oil, and grease. The samples shall be analyzed by a laboratory certified by the New York State

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Health Department and the results are to be submitted directed to the New York State Department of Environmental Conservation by the laboratory.

(2) Prior to setting any wells, wellpoints or header pipes, the Contractor shall submit to the NYSDEC a layout of the complete dewatering system including the location of the discharge point. When permitted by the NYSDEC, discharge of groundwater on the beach areas shall be done in such a manner as to eliminate any erosion or siltation and will require the installation of splash blocks and/or settling basins.

The Contractor is advised that all work required in obtaining a permit, must be submitted to, and approved by the NYSDEC prior to the commencement of any work in areas of construction requiring dewatering permit. No payment for any item of work will be made, and no shop drawing shall be approved for the areas of construction until such time that a written approval is obtained from the NYSDEC.

(B) The Contractor is advised that all work shall be governed by the provisions and requirements of the obtained permit, and their said provisions and requirements shall be made a part of the contract and the Contractor shall be responsible for strict adherence thereto.

The cost of all work required for applying, complying and obtaining required dewatering permits including the cost for any required updating of permits shall be deemed included in the prices bid for all item of this contract. No additional or separate payment will be made for any work required in order to comply with these requirements.

(6) Refer to Page IV-34:
Add the following new Section 40.14:

SECTION 40.14 DEWATERING PERMITS

40.14.1 DESCRIPTION

Under this contract, and at locations where groundwater will be present in the trenches and excavations, the Contractor is required to install, maintain and operate a temporary dewatering system of sufficient size and capacity to control ground and surface water flow into the excavation and to allow all work to be accomplished in the "dry condition".

The Contractor shall be required to obtain the following permits in order to operate a temporary dewatering system.

- (A) A Dewatering/Discharge Permit from the New York City Department of Environmental Protection (NYCDEP);
- (B) A Long Island Well Permit from the New York State Department of Environmental Conservation (NYSDEC), under the Environmental Conservation Law (ECL), Title 15 of Article 15, implemented by 6NYCRR Part 601 - Water Supply and Part 602 - Long Island Well. This permit is required only in the Boroughs of Brooklyn and Queens to withdraw water using a well point or deep well system where the total capacity of such well or wells is in excess of 45-gallons per minute (or 64,800-gallons per day); and,
- (C) An Industrial State Pollutant Discharge Elimination System (SPDES) or a Non-Jurisdictional Determination Letter in compliance with Title 8 and 7 of Article 17 of the Environmental Conservation Law of New York State, respectively.

The Contractor is advised that the provisions and requirements of the aforementioned permits shall govern all work, and the said provisions and requirements are hereby made a part of the sewer contract and the Contractor shall be responsible for strict adherence thereto.

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No dewatering work shall commence until the above-mentioned Permits have been obtained for this project.

The Contractor is advised that in order to comply with all the permits requirements, the Contractor will be required to submit maps, test data, etc. prior to the start of work. In order to expedite the processing of the permit and its requirements, the Contractor shall be required to obtain the services of an independent Environmental Scientist as herein described below in **Subsection 40.14.2** to perform this work and act as liaison with NYSDEC and NYCDEP.

40.14.2 QUALIFICATIONS

The Environmental Scientist utilized to perform the work required under this section must have adequate experience in work of this nature (obtaining Long Island Well Permit/Dewatering Permit) and must have previous experience in working with the NYSDEC and the NYCDEP, designing equivalent dewatering systems, and have successfully obtained the type of permits required under this contract. Prior to the start of work, the Contractor will be required to submit the name and resume of the Environmental Scientist for approval.

40.14.3 NYSDEC DEWATERING PERMITS

The dewatering system shall be designed by the Environmental Scientist using accepted and professional methods of design and engineering consistent with the best modern practices.

The material to be submitted shall include, but not be limited to the following:

(1) Site Plan - Scaled, showing construction activity (e.g. excavation, pathway of the pipe, new outfalls, etc.) locations of well points, header pipes and pumps, and all staging and storage areas.

Also included herein shall be a layout of the complete dewatering system including the location of the discharge point. When permitted by the NYSDEC, discharge of groundwater on beach areas shall be done in such a manner as to prevent any erosion or siltation and will require the design and installation of splash blocks and/or settling basins.

- (2) Dewatering System Specifications:
 - (a) Number of Well Points
 - (b) Diameter of Well Points
 - (c) Spacing of Well Points
 - (d) Length to Screen
 - (e) Depth to Bottom of Screen
 - (f) Static Water Level
 - (g) Drawdown Required

- (h) Total Volume Pumped
- (i) Number of Pumps
- (j) Capacity of Pumps
- (k) Duration of Pumping
- (I) Initial and Average GPM
- (m) Estimated Daily Pumpage
- (n) Flow Meter
- (3) Cross Section Scaled, showing well points, riser, header, annular material (if used) and other equipment associated with each point. A typical construction style drawing may be utilized. Should the Contractor be permitted to use a deep well system, all information regarding it must be submitted.
- (4) Drawdown Contour Map Based upon a review of the surrounding area affected by the dewatering and upon boring within the project area and characteristics of the soils, the depth and pumping rate of dewatering system and the duration of the pumping, the Environmental Scientist shall submit both a narrative and diagram showing the anticipated maximum cone of depression which shall be shown from both above and in cross section on scaled diagrams. Contour lines on diagrams shall be labeled to show depth from land surface.
- (5) Description of Site and Adjacent Areas A short narrative shall be prepared describing the land use in the area paying attention to any potential sources of groundwater contamination that may migrate into the well's cone of depression, such as gas stations, chemical plants, wrecking yards, sanitary landfills, etc. Latest map of the area shall be included in the narrative.

(6) Groundwater Analysis - The Environmental Scientist shall develop and submit a sampling and analysis program subject to NYSDEC Approval (a minimum of one groundwater sample from a site well shall be collected and analyzed). A laboratory certified by the New York State Health Department shall analyze the samples. The sampling and analysis program must include but is not limited to the following:

NYSDEC REGION 2 - DEWATERING PROJECTS SAMPLING INFORMATION

NO.	PARAMETERS	TYPE	EPA METHOD	DETECTION
1	рН	Grab	150.1	EPA min
2	Temperature	٩F	After Pumping	EPA min
3	Fecal Coliform	Grab	5-Tubes/3-Dilutions	2-MPN/100-ml
4	Oil & Grease	Grab	413.1	EPA min
5	BOD5	Grab	405.1	EPA min
6	Total Suspended Solids	Grab	160.2	EPA min
7	Settleable Solids	Grab	160.5	EPA min
8	Chlorides	Grab	325.1-325.3	EPA min
9	Benzene	Grab	602	EPA min
10	Toluene	Grab	602	EPA min
11	Xylenes	Grab	602	EPA min
12	Ethylbenzene	Grab	602	EPA min
13	PCB's	Grab	608	(See Note 1)
14	Pesticides	Grab	608	EPA min
15	13 Priority Metals	Grab	200 series	EPA min
16	Acids Base/Neutrals	Grab	625-GC/MS	EPA min
17	Halogenated Volatiles	Grab	601-GC	EPA min
18	Nitrate/Nitrite	Grab	300 or 353.3	EPA min
19	Aromatic Volatiles	Grab	602-GC	EPA min
20	Cyanide (total or amenable)	Grab	335.1/335.2	EPA min

NOTE:

(1) List each individual aroclor found and report the concentration of each aroclor tested. Use the N.Y.S. detection limit, which is 0.065-µg/l.

Small dewatering projects with a total estimated pumped volume up to 15-Million Gallons (MG) require sampling analysis for parameters No.'s 1 through 12.

Medium dewatering projects with a total estimated pumped volume between 15-MG and 60-MG require sampling analysis for parameters No.'s 1 through 14.

Large dewatering projects with a total estimated pumped volume greater than 60-MG require sampling analysis for parameters No.'s 1 through 20.

Samples are to be collected after development of the well by a licensed well driller.

A laboratory certified by the NYS Department of Health must conduct all testing.

Irrespective of the aforementioned sampling requirements based on total estimated pumped volumes, the Department may require sampling of additional parameters if the proposed dewatering site is suspected of being contaminated.

40.14.4 SUBMISSION OF DEWATERING PLAN

DATED: NOVEMBER 2, 2017 PROJECT ID.: BED809

The Environmental Scientist will be required to submit two (2) copies of the Dewatering Plan (together with all reports, materials, designs, drawings, maps and plans) to the Infrastructure Engineering Support Unit for review and approval. Once approved the Environmental Scientist shall submit in triplicate the Final Dewatering Plan to both the NYSDEC and the NYCDEP. The Dewatering Plan should be bound and bear the name of the Contractor, NYSDEC Application Number and the Signature of the preparer. All drawings and maps shall be on sheets 27-inches by 40-inches and to scale not less than 1"=30'.

40.14.5 **DAMAGES**

The Contractor shall be responsible for and shall repair at no cost to the City any damage caused by inadequate or improper design and operation of the dewatering system, and any mechanical or electrical failure of the dewatering system.

40.14.6 SYSTEM REMOVAL

The Contractor shall remove all dewatering equipment and temporary electrical service from the site. All wells shall be removed or cut off a minimum of three (3) feet below the final ground surface and capped. Holes left from pulling wells or wells that are capped shall be grouted in a manner approved by the Engineer.

40.14.7 PAYMENTS

No additional or separate payment will be made for any work described herein. The costs for all labor, materials, equipment, permit fees, samples, tests, reports, services and insurance required or necessary to perform all the work described herein shall be deemed included in the price bid for all items of work.

- (7) Refer to Subsection 71.41.4 Specific Pavement Restoration Provisions, Page VII-67: Add the following to Subsection 71.41.4:
 - (E) Specific Pavement Restoration Provisions:
 - (1) (1) For street protected by NYC Adm. Code 19.144 curb to curb restoration is required if any construction work performed before date of protection.

The list of protected street is following:

- Avenue U from East 15th Street to East 16th Street protected until 9/12/2019
- Avenue V from Homecrest Ave to East 13th Street protected until 9/16/2019
- Avenue V from East 14th Street to East 16th Street protected until 9/16/2019
- Gravesend Neck Rd from East 15th Street to East 16th Street protected until 9/27/2021
- Gravesend Neck Rd from East 18th Street to Ocean Ave protected until 9/27/2021
- East 15th from Avenue U to Gravesend Neck Rd protected until 11/9/2017
- East 16th from Avenue V to Gravesend Neck Rd protected until 9/15/2019
- (2) In street areas requiring sewer and water main work outside the limits of highway reconstruction the restoration shall be as follows:
 - (A) In Streets Requiring Overlay:
 - (a) The permanent restoration over the **trench width and cutbacks only** shall consist of a top course of one and one-half (1-1/2) inches of binder mixture on a base course of a minimum of four and one-half (4-1/2) inches of binder mixture, or a top course of a minimum of three (3) inches of binder mixture on a base course of a minimum of six (6) inches of concrete, to match the existing pavement as directed by the Engineer.
 - (b) Finally an overlay of two (2) inches of asphaltic concrete wearing course shall be installed over the entire width of the roadway from **curb to curb** or **edge to edge** of existing roadway.

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- (B) In Streets Not Requiring Overlay:
 - (a) The permanent restoration over the trench width and cutbacks only shall consist of a top course of one and one-half (1-1/2) inches of asphaltic concrete wearing course on a base course of a minimum of four and one-half (4-1/2) inches of binder mixture, or a top course of one and one-half (1-1/2) inches of asphaltic concrete wearing course on a minimum of one and one-half (1-1/2) inches of binder mixture on a base course of a minimum of six (6) inches of concrete, to match the existing pavement as directed by the Engineer.
- (3) The following requirements apply:
 - (a) Before the top course is installed, an additional width of asphalt beyond the edge of new base course shall be saw-cut and removed from all edges of trenches to a depth to accommodate the specified top course and the entire area restored. This additional removal shall be in accordance with paragraph (b) below.
 - (b) Pavement excavation along with saw cutting of pavements for sewer and water main trenches shall be in accordance with **Section 71.21 Pavement Excavation** of the Standard Sewer And Water Main Specifications.
 - (c) At locations requiring the installation of a concrete base course, a reflective cracking membrane shall be installed over joints prior to restoration, the cost of which shall be deemed included in the prices bid for all pavement restoration items. Additionally, appropriate pavement keys as described below shall be used.
 - (d) Pavement keys Type B-1 shall be used to insure a desired four (4) inch curb reveal (two and one-half (2-1/2) inch absolute minimum). Pavement key Type A shall be used in all intersections. Both keys are to be per Department Of Transportation Specifications and Standard Details of Construction.
 - (e) Unless otherwise specified, the cost for Proctor analyses, in-place soil density tests, tack coating, eradication of temporary roadway markings, stripping or milling of pavement keys and adjustment of city-owned castings for all roadway work shall be deemed included in the prices bid for all pavement restoration items.
 - (f) Payment for placement of temporary pavement marking shall be made under Item No. 6.49 TEMPORARY PAVEMENT MARKINGS (4" WIDE).
 - (g) Payment for removal of existing pavement markings shall be made under Item No. 6.53 REMOVE EXISTING LANE MARKINGS (4"WIDE).
 - (h) Payment for placement of permanent pavement marking with thermoplastic reflectorized pavement markings (crosswalk and lane dividers) shall be made under Item No. 6.44 - THERMOPLASTIC REFLECTORIZED PAVEMENT MARKINGS (4" WIDE).
 - (i) Payment for pavement restoration shall be made under the following items:

Item No.ItemPayment Description4.02 AB-RAsphaltic Concrete Wearing Course, 1-1/2" Thick(For asphaltic concrete wearing course when no overlay is required.)4.02 AF-RAsphaltic Concrete Wearing Course, 2" Thick(For 2" asphaltic concrete wearing course overlay from curb to curb or edge to edge.)

DATED: NOVEMBER 2, 2017 PROJECT ID.: BED809

4.02 CA	Binder Mixture	(For binder mixture base course over trenches and cutbacks; binder mixture top filler course under asphaltic concrete wearing course when <u>no</u> overlay is required; binder mixture top course when overlay is required; binder mixture in Type A and B Keys; and binder mixture to fill in roadway depressions and to provide a leveling course prior to overlay where ordered.)
4.04 B	Concrete Base For Pavement, Variable Thickness For Trench Restoration, Class B-32	(For concrete base course over trenches and cutbacks.)
4.04 H	Concrete Base for Pavement, Variable Thickness for Trench Restoration, (High-Early Strength)	(For concrete base course over trenches and cutbacks.)
4.05 AX	High-Early Strength Reinforced Concrete Pavement (Bus Stop)	(For reinforced concrete pavement at bus stops.)

DATED: NOVEMBER 2, 2017 PROJECT ID.: BED809

C. REVISIONS TO THE SPECIFICATIONS FOR TRUNK MAIN WORK

1) Refer to Part 1 – Furnishing And Delivering Steel Pipes And Appurtenances 30 Inches In Diameter And Larger, Section 11. Fabrication:, Page 4;
Add the following to Section 11:

All steel water mains shall be spiral welded pipes, and all steel water main fittings shall be fabricated from qualified spiral welded pipe. Can type pipe is not acceptable.

2) Refer to Part 1 – Furnishing And Delivering Steel Pipes And Appurtenances 30 Inches In Diameter And Larger, Section 13. Special Fittings:, Page 5;
Add the following to Section 13:

The steel reducer shall have a length of seven (7) feet for every twelve (12) inches reduction in diameter.

END OF SECTION

This Section consists of fifteen (15) pages plus eight (8) pages of attachments.

NO TEXT ON THIS PAGE



Department of Transportation

POLLY TROTTENBERG, Commissioner

OCMC TRAFFIC STIPULATIONS

July 17, 2017

OCMC FILE NO: CONTRACT NO: BNEC-17-153 BED809

PROJECT:

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH

STREET, ETC.

LOCATION(S):

AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET

AVENUE S BETWEEN EAST 19TH STREET AND EAST 21ST STREET

AVENUE T BETWEEN CONEY ISLAND AVENUE AND OCEAN AVENUE AVENUE U BETWEEN CONEY ISLAND AVENUE AND OCEAN AVENUE AVENUE V BETWEEN HOMECREST AVENUE AND OCEAN AVENUE

GRAVESEND NECK ROAD BETWEEN EAST 15TH STREET AND OCEAN AVENUE

CONEY ISLAND AVENUE BETWEEN AVENUE U AND AVENUE W
EAST 12TH STREET BETWEEN AVENUE R AND GRAVES END NECK ROAD
HOMECREST AVENUE BETWEEN AVENUE T AND GRAVESEND NECK ROAD
EAST 13TH STREET BETWEEN AVENUE R AND GRAVESEND NECK ROAD

EAST 14TH STREET BETWEEN AVENUE R AND AVENUE T

EAST 14TH STREET BETWEEN AVENUE U AND GRAVESEND NECK ROAD EAST 15TH STREET BETWEEN AVENUES AND GRAVESEND NECK ROAD

EAST 16TH STREET BETWEEN AVENUE S AND AVENUE W
EAST 17TH STREET BETWEEN AVENUE R AND AVENUE W
EAST 18TH STREET BETWEEN AVENUE I AND AVENUE W
EAST 19TH STREET BETWEEN AVENUE U AND AVENUE W
OCEAN AVENUE BETWEEN AVENUE R AND AVENUE W

PERMISSION IS HEREBY GRANTED TO THE **NEW YORK CITY DEPARTMENT OF DEISON AND CONSTRUCTION** AND ITS DULY AUTHORIZED AGENT, TO ENTER UPON AND RESTRICT THE FLOW OF TRAFFIC AT THE ABOVE LOCATION(S) FOR THE PURPOSE OF CARRYING OUT THE ABOVE NOTED PROJECT, SUBJECT TO THE STIPULATIONS, AS NOTED BELOW:

SPECIAL STIPULATIONS

- A. <u>EMBARGOES</u> A CONSTRUCTION EMBARGO WILL APPLY TO THOSE LOCATIONS BELOW WHICH FALL WITHIN THE <u>HOLIDAY</u>
 <u>EMBARGO</u> OR ANY OTHER SPECIAL EVENT EMBARGOES AS PUBLISHED BY THE BUREAU OF PERMIT MANAGEMENT AND
 CONSTRUCTION CONTROL.
- B. <u>BIKE LANES</u> IF WORK IS IN OR AFFECTING A BIKE LANE, THE PERMITTEE MUST POST ADVANCE WARNING SIGNS 350 FEET AND 200 FEET PRIOR TO THE WORK ZONE STATING "CONSTRUCTION IN BIKE LANE AHEAD PROCEED WITH CAUTION", AND ALSO POST A SIGN AT THE WORK ZONE STATING "CONSTRUCTION IN BIKE LANE PROCEED WITH CAUTION". SUCH SIGNS SHALL BE ORANGE, 3' X 3', DIAMOND-SHAPED WITH 4" BLACK LETTERING. SIGNS SHALL BE POSTED IN ACCORDANCE WITH THE FEDERAL MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES (MUTCD).
- C. <u>BIKE SHARE STATIONS</u>: THE PERMITTEE SHALL NOT REMOVE, RELOCATE, DAMAGE OR DISRUPT THE OPERATION OF EXISTING BIKE SHARE STATIONS WITHOUT FIRST CONTACTING NYC BIKE SHARE AT 855-245-3311 FOR THEIR REQUIREMENTS PRIOR TO COMMENCING WORK.
- D. <u>CITYBENCH</u>: THE PERMITTEE SHALL NOT REMOVE, RELOCATE, DAMAGE OR DISRUPT AN EXISTING CITYBENCH WITHOUT FIRST CONTACTING NYC DOT AT 212-839-6569, OR VIA EMAIL AT <u>CITYBENCH@DOT.NYC.GOV</u> PRIOR TO COMMENCING WORK.
- E. BUS STOPS THE PERMITTEE SHALL PROVIDE WRITTEN NOTICE TO NYC DOT OCMC AND NEW YORK CITY TRANSIT (NYCT) A MINIMUM OF FIVE (5) WEEKS IN ADVANCE FOR LANE/STREET CLOSURES THAT AFFECT BUS ROUTES/BUS STOPS.

NYC Department of Transportation

Bureau of Permit Management and Construction Control

55 Water Street - 7th Floor, New York, NY 10041

T: 212.839.9637 F: 212.839.8970

www.nyc.gov/dot

BNEC-17-153

CONTRACT NO:

BED809

PROJECT:

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE

AND EAST 18TH STREET

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. SPECIAL STIPULATIONS (CONTINUED)

- F. STREET LIGHTS / TRAFFIC SIGNALS: THE PERMITTEE SHALL NOT REMOVE OR RELOCATE EXISTING STREET LIGHTS OR TRAFFIC SIGNALS WITHOUT FIRST OBTAINING APPROVAL FROM NYCDOT STREET LIGHTING / TRAFFIC SIGNALS UNIT.
- G. TRAFFIC CAMERAS, DETECTION/COMMUNICATION EQUIPMENT: IF AT ANY TIME DURING THE APPROVED WORK, THE PERMITTEE ENCOUNTERS TRAFFIC SURVEILLANCE CAMERAS, DETECTION EQUIPMENT OR ANY TYPE OF COMMUNICATION EQUIPMENT (WIRELESS OR HARD-WIRED) ON ANY NYC DOT FACILITY, THAT IS NOT INCLUDED ON THE DESIGN/BUILD DRAWINGS, THE PERMITTEE SHALL IMMEDIATELY NOTIFY NYC DOT TRAFFIC MANAGEMENT BY PHONE AT 718-433-3390 OR 718-433-3340 AND VIA EMAIL AT TMC@DOT.NYC.GOV AND AWAIT DIRECTION PRIOR TO CONTINUING WORK.
- H. METERS THE PERMITTEE SHALL NOT REMOVE OR RELOCATE PARKING METERS WITHOUT FIRST OBTAINING APPROVAL FROM NYCDOT PARKING METER DIVISION AT 646 – 892 – 1219.
- I. TEST PITS THE BELOW TRAFFIC STIPULATIONS DO NOT APPLY TO TEST PIT WORK RELATED TO THIS CONTRACT. WORK HOURS AND OTHER REQUIREMENTS FOR TEST PIT OPERATIONS MAY DIFFER FROM THE STIPULATIONS IDENTIFIED BELOW. THE PERMITTEE SHALL BE REQUIRED TO OBTAIN SEPARATE PERMITS RELATED TO TEST PITS.
- J. TEMPORARY PARKING REGULATIONS/PAVEMENT MARKINGS THE PERMITTEE IS REQUIRED TO INSTALL, MAINTAIN AND REMOVE ALL NECESSARY TEMPORARY PARKING AND REGULATORY SIGNS AND PAVEMENT MARKINGS, AND RESTORE THEIR ORIGINAL CONDITION PER NYC DOT STANDARDS, PRIOR TO EXPIRATION OF THEIR PERMITS. THE PERMITTEE OR AGENCY PERFORMING PUBLIC OUTREACH SHALL POST AND MAINTAIN ADVISORY SIGNS A MINIMUM OF 48 HOURS PRIOR TO CHANGING EXISTING PARKING REGULATION SIGNS TO APPROVED TEMPORARY CONSTRUCTION PARKING REGULATION SIGNS. THE ADVISORY SIGNS SHOULD BE POSTED ON ALL POLES AND DRIVE RAILS ON THE SEGMENT AFFECTED, INDICATING THE DATE OF THE CHANGE, THE NEW REGITI ATIONS AND A TELEPHONE NUMBER TO OBTAIN MORE INFORMATION.
- K. <u>ACCESS TO ABUTTING PROPERTIES</u> THE PERMITTEE SHALL COORDINATE ALL ACTIVITIES WITH ABUTTING PROPERTY OWNERS TO ENSURE ACCESS IS PROVIDED TO/FROM ENTRANCES/DRIVEWAYS AT ALL TIMES.
- L. AUTHORIZED PARKING PRIOR TO PERFORMING WORK WHICH IMPACTS AUTHORIZED PARKING, THE PERMITTEE SHALL SUBMIT IN WRITING, AND COPY OCMC-STREETS, A REQUEST TO OCCUPY SPACE CURRENTLY USED BY AUTHORIZED VEHICLES. APPROVAL MUST BE RECEIVED FROM AUTHORIZED PARKING PRIOR TO OCCUPYING THESE AREAS.
- M. NOTIFICATION THE PERMITTEE MUST AT LEAST TWO (2) WORKING DAYS BEFORE THE START OF CONSTRUCTION NOTIFY THE NYC FIRE DEPARTMENT, NYC POLICE DEPARTMENT, NYCEMS, LOCAL COMMUNITY BOARD, BOROUGH PRESIDENT'S OFFICE-CHIEF ENGINEER, NYCDOT OCMC OFFICE, AND ALL ABUTTING PROPERTY OWNERS.
- N. CONSTRUCTION INFORMATIONAL SIGNS THIS PROJECT REQUIRES A CONSTRUCTION PROJECT INFORMATIONAL SIGN (CPIS) IN ACCORDANCE WITH NYCDOT HIGHWAY RULE SECTION 2-02(c)(3). CRITERIA AND A PROTOTYPE FOR THIS SIGN MAY BE FOUND ON THE NYCDOT WEBSITE AT:

HTTP://WWW.NYC.GOV/HTML/DOT/DOWNLOADS/PDF/DOT_CPIS_DIRECTIONS.PDF

O. ENHANCED MITIGATIONS

- O <u>ENHANCED MITIGATIONS FOR PEDESTRIAN FLOW</u>, INCLUDING METAL FENCING, SHALL BE PROVIDED TO ENSURE PEDESTRIANS STAY WITHIN THEIR DESIGNATED PATH/ROUTE. PEDESTRIAN MANAGERS SHALL BE PROVIDED TO ASSIST WITH PEDESTRIANS AT THE DESIGNATED CROSSWALK AREAS.
- O "NO STANDING ANYTIME-TEMPORARY CONSTRUCTION" SIGNS AND TEMPORARY PAVEMENT MARKINGS SHALL BE INSTALLED AND MAINTAINED AS WARRANTED BY THE MAINTENANCE AND PROTECTION OF TRAFFIC (MPT) REQUIRED TO FACILITATE TRAFFIC MOVEMENTS THROUGH THE WORK ZONE. ALL TEMPORARY SIGNS AND PAVEMENT MARKINGS SHALL BE REMOVED UPON COMPLETION OF THE PROJECT.
- O COMMUNITY OUTREACH SHALL BE PROVIDED FOR THE DURATION OF THE PROJECT.

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PROJECT:

REPLACEMENT OF WATER MAINS IN AVENUES BETWEEN CONEY ISLAND AVENUE

AND EAST 18TH STREET

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MAINTENANCE AND PROTECTION OF TRAFFIC

- A. AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET
- AVENUE S BETWEEN EAST 19TH STREET AND EAST 21ST STREET
 - The Permittee shall work on one side of the street at a time.
 - 2 The Permittee shall coordinate with nearby schools prior to mobilizing.
 - Work hours shall be as follows: 9:00AM to 2:00PM, Monday to Friday (when school is in session). 7:00AM to 4:00PM, Monday to Friday (when school is not in session). 8:00AM to 4:00PM, Saturday
 - 4. During working hours, the Permittee shall maintain a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in each direction), and a minimum 5-foot clear pedestrian path on the sidewalk.
 - 5. When working in intersections (excluding Ocean Avenue), during working hours, the Permittee shall maintain a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in each direction) on Avenue S, and a minimum of one (1) 11-foot lane for traffic on each cross street.
 - After working hours, the Permittee shall restore the roadway/sidewalk to its full width.
- C. AVENUE I BETWEEN CONEY ISLAND AVENUE AND EAST 13TH STREET
- AVENUE T BETWEEN EAST 18TH STREET AND OCEAN AVENUE
 - The Permittee shall coordinate with nearby schools prior to mobilizing.
 - Work hours shall be as follows: 9:00AM to 2:00PM, Monday to Friday (when school is in session). 7:00AM to 4:00PM, Monday to Friday (when school is not in session).

8:00AM to 4:00PM, Saturday

- During working hours, the Permittee shall maintain a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in each direction), and a minimum 5-foot clear pedestrian path on the sidewalk.
- When working in intersections (excluding Ocean Avenue), during working hours, the Permittee shall maintain a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in each direction) on Avenue T, and a minimum of one (1) 11-foot lane for traffic on each cross street.
- 5. After working hours, the Permittee shall restore the roadway/sidewalk to its full width.

AVENUE T BETWEEN EAST 13TH STREET AND EAST 18TH STREET

- Work hours shall be as follows: 7:00AM to 4:00PM, Monday to Friday 8:00AM to 4:00PM, Saturday
- 2. During working hours, the Permittee shall maintain a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in each direction), and a minimum 5-foot clear pedestrian path on the sidewalk.
- When working in intersections (excluding Ocean Avenue), during working hours, the Permittee shall maintain a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in each direction) on Avenue T, and a minimum of one (1) 11-foot lane for traffic on each cross street.
- 4. After working hours, the Permittee shall restore the roadway/sidewalk to its full width.

AVENUE U BETWEEN CONEY ISLAND AVENUE AND EAST 13TH STREET

- 1. A Special Holiday Construction Embargo will be in effect for this location.
- The Permittee shall coordinate with NYCT bus operations for all work impacting on bus stops/routes.
- The Permittee shall work on one side of the street at a time.
- Work hours shall be as follows: 9:00AM to 4:00PM, Monday to Friday
- During working hours, the Permittee shall maintain a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in each direction), and a minimum 5-foot clear pedestrian path on the sidewalk.
- When working in intersections (excluding Ocean Avenue), during working hours, the Permittee shall maintain a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in each direction) on Avenue U, and a minimum of one (1) 11-foot lane for traffic on each cross street.
- 7. After working hours, the Permittee shall restore the roadway/sidewalk to its full width.

AVENUE U BETWEEN EAST 13TH STREET AND OCEAN AVENUE

- A Special Hollday Construction Embargo will be in effect for this location.
- The Permittee shall coordinate with NYCT bus operations for all work impacting on bus stops/routes.
- Work hours shall be as follows: 9:00AM to 4:00PM, Monday to Friday
- During working hours, the Permittee shall maintain a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in each direction), and a minimum 5-foot clear pedestrian path on the sidewalk,
- When working in intersections (excluding Ocean Avenue), during working hours, the Permittee shall maintain a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in each direction) on Avenue U, and a minimum of one (1) 11-foot lane for traffic on each cross street.
- 6. After working hours, the Permittee shall restore the roadway/sidewalk to its full width.

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REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE

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II. MAINTENANCE AND PROTECTION OF TRAFFIC (CONTINUED)

H. AVENUE V BETWEEN HOMCREST AVENUE AND OCEAN AVENUE

1. Work hours shall be as follows: 7:00AM to 4:00PM, Monday to Friday

8:00AM to 4:00PM, Saturday

2. During working hours, the Permittee shall maintain a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in each direction), and a minimum 5-foot clear pedestrian path on the sidewalk.

When working in intersections (excluding Ocean Avenue and Coney Island Avenue), during working
hours, the Permittee shall maintain a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for
traffic in each direction) on Avenue V, and a minimum of one (1) 11-foot lane for traffic on each cross
street.

4. After working hours, the Permittee shall restore the roadway/sidewalk to its full width.

I. GRAVESEND NECK ROAD BETWEEN EAST 15TH STREET AND OCEAN AVENUE

Work hours shall be as follows: 7:00AM to 4:00PM, Monday to Friday 8:00AM to 4:00PM, Saturday

 During working hours, the Permittee shall maintain a minimum of one (1) 11-foot lane for traffic, and a minimum 5-foot clear pedestrian path on the sidewalk.

When working in intersections (excluding Ocean Avenue and Coney Island Avenue), during working
hours, the Permittee shall maintain a minimum of one (1) 11-foot lane for traffic on Gravesend Neck
Road, and a minimum of one (1) 11-foot lane for traffic on each cross street.

4. After working hours, the Permittee shall restore the roadway/intersection to its full width.

J. CONEY ISLAND AVENUE BETWEEN AVENUE U AND AVENUE W

1. The Permittee shall work on one side of the street at a time.

2. The Permittee shall coordinate with NYCT bus operations for all work impacting on bus stops/routes.

3. Work hours shall be as follows: 9:00AM to 4:00PM, Monday to Friday

4. During working hours, the Permittee shall maintain a minimum of three (3) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in the direction in which work is occurring, and two (2) 11-foot lanes for traffic in the opposite direction), and a minimum 5-foot clear pedestrian path on the sidewalk.

5. After working hours, the Permittee shall restore the roadway/sidewalk to its full width.

K. INTERSECTION OF CONEY ISLAND AVENUE AND AVENUE V

The Permittee shall coordinate with NYCT bus operations for all work impacting on bus routes.

2. Work hours shall be as follows: 9:00AM to 4:00PM, Monday to Friday

- During working hours, the Permittee shall maintain a minimum of three (3) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in the direction in which work is occurring, and two (2) 11-foot lanes for traffic in the opposite direction) on Coney Island Avenue, and a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in each direction) on Avenue V.
- 4. After working hours, the Permittee shall restore the intersection to its full width.

L. INTERSECTION OF CONEY ISLAND AVENUE AND GRAVESEND NECK ROAD

- 1. The Permittee shall work on one side of the street at a time.
- 2. The Permittee shall coordinate with NYCT bus operations for all work impacting on bus routes.

3. Work hours shall be as follows: 9:00AM to 4:00PM, Monday to Friday

- 4. During working hours, the Permittee shall maintain a minimum of three (3) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in the direction in which work is occurring, and two (2) 11-foot lanes for traffic in the opposite direction) on Coney Island Avenue, and a minimum of one (1) 11-foot lane for traffic on Gravesend Neck Road.
- 5. After working hours, the Permittee shall restore the intersection to its full width.
- M. EAST 12TH STREET BETWEEN AVENUE R AND AVENUE S
- N. EAST 12TH STREET BETWEEN AVENUE T AND AVENUE U
- O. HOMECREST AVENUE BETWEEN AVENUE I AND AVENUE U
- P. EAST 19TH STREET BETWEEN AVENUE S AND AVENUE T
 - Work hours shall be as follows:
 9:00AM to 3:00PM, Monday to Friday (When school is in session)
 7:00AM to 6:00PM, Monday to Friday (When school is not in session)
 - During working hours, the Permittee shall maintain a minimum of one (1) 12-foot lane for local and emergency traffic only, and a minimum 5-foot clear pedestrian path on the sidewalk.
 - 3. After working hours, the Permittee shall restore the roadway/sidewalk to its full width.

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REPLACEMENT OF WATER MAINS IN AVENUE'S BETWEEN CONEY ISLAND AVENUE

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II. MAINTENANCE AND PROTECTION OF TRAFFIC (CONTINUED)

- Q. EAST 12TH STREET BETWEEN AVENUE S AND AVENUE T
- R. EAST 16TH STREET BETWEEN AVENUE'S AND AVENUE T
- S. EAST 17th STREET BETWEEN AVENUE R AND AVENUE T
 - The Permittee shall coordinate with nearby schools prior to mobilizing.
 - 2. Work hours shall be as follows: 9:00AM to 2:00PM, Monday to Friday (When school is in session)
 7:00AM to 6:00PM, Monday to Friday (When school is not in session)
 - 3. During working hours, the Permittee shall maintain a minimum of one (1) 12-foot lane for local and emergency traffic only, and a minimum 5-foot clear pedestrian path on the sidewalk.
 - 4. After working hours, the Permittee shall restore the roadway/sidewalk to its full width.
- T. EAST 12TH STREET BETWEEN AVENUE U AND GRAVESEND NECK ROAD
- U. HOMECREST AVENUE BETWEEN AVENUE U AND GRAVESEND NECK ROAD
- V. EAST 13TH STREET BETWEEN AVENUE R AND GRAVESEND NECK ROAD
- W. EAST 14TH STREET BETWEEN AVENUE R AND AVENUE T
- X. EAST 14TH STREET BETWEEN AVENUE U AND GRAVESEND NECK ROAD
- Y. EAST 15TH STREET BETWEEN AVENUE S AND GRAVESEND NECK ROAD
- Z. EAST 16TH STREET BETWEEN AVENUE T AND AVENUE W
- AA. EAST 17th STREET BETWEEN AVENUE T AND AVENUE W
- BB. EAST 18TH STREET BETWEEN AVENUE T AND AVENUE W
- CC. EAST 19TH STREET BETWEEN AVENUE U AND AVENUE W
 - 1. Work hours shall be as follows: 7:00AM to 6:00PM, Monday to Friday
 - During working hours, the Permittee shall maintain a minimum of one (1) 12-foot lane for local and emergency traffic only, and a minimum 5-foot clear pedestrian path on the sidewalk.
 - 3. After working hours, the Permittee shall restore the roadway/sidewalk to its full width.

DD. OCEAN AVENUE BETWEEN AVENUE R AND AVENUE S EE. OCEAN AVENUE BETWEEN AVENUE S AND AVENUE T

- 1. The Permittee shall work on one side of the street at a time.
- 2. The Permittee shall coordinate with NYCT bus operations for all work impacting on bus stops/routes.
- 3. Work hours shall be as follows: 9:00AM to 4:00PM, Monday to Friday
- 4. During working hours, the Permittee shall maintain a minimum of three (3) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in the direction in which work is occurring, and two (2) 11-foot lanes for traffic in the opposite direction) plus dedicated left turn lanes, and a minimum 5-foot clear pedestrian path on the sidewalk.
- 5. After working hours, the Permittee shall restore the roadway/sidewalk to its full width.

FF. INTERSECTION OF OCEAN AVENUE AND AVENUE S

- 1. The Permittee shall work on one side of the street at a time.
- 2. The Permittee shall coordinate with NYCT bus operations for all work impacting on bus stops/routes.
- 3. Work hours shall be as follows: 9:00AM to 4:00PM, Monday to Friday
- 4. During working hours, the Permittee shall maintain a minimum of three (3) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in the direction in which work is occurring, and two (2) 11-foot lanes for traffic in the opposite direction) on Ocean Avenue, and a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in each direction) on Avenue S.
- 5. After working hours, the Permittee shall restore the Intersection to its full width.

GG. INTERSECTION OF OCEAN AVENUE AND AVENUE T

- 1. The Permittee shall coordinate with the nearby school prior to mobilizing.
- 2. The Permittee shall coordinate with NYCT bus operations for all work impacting on bus stops/routes.
- 3. Work hours shall be as follows: 9:00AM to 2:00PM, Monday to Friday (When school is in session) 9:00AM to 4:00PM, Monday to Friday (When school is not in session).
- 4. During working hours, the Permittee shall maintain a minimum of three (3) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in the direction in which work is occurring, and two (2) 11-foot lanes for traffic in the opposite direction) on Ocean Avenue, and a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in each direction) on Avenue T.
- 5. After working hours, the Permittee shall restore the intersection to its full width.

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MAINTENANCE AND PROTECTION OF TRAFFIC (CONTINUED)

HH. OCEAN AVENUE BETWEEN AVENUE T AND AVENUE U

- The Permittee shall coordinate with the nearby school prior to mobilizing.
- The Permittee shall coordinate with NYCT bus operations for all work impacting on bus stops/routes.
- Work hours shall be as follows: 9:00AM to 2:00PM, Monday to Friday (When school is in session) 3. 9:00AM to 4:00PM, Monday to Friday (When school is not in session).
- During working hours, the Permittee shall maintain a minimum of three (3) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in the direction in which work is occurring, and two (2) 11-foot lanes for traffic in the apposite direction) plus dedicated left turn lanes, and a minimum 5-foot clear pedestrian path on the
- 5. After working hours, the Permittee shall restore the roadway/sidewalk to its full width.

INTERSECTION OF OCEAN AVENUE AND AVENUE U

- The Permittee shall coordinate with the nearby school prior to mobilizing.
- The Parmittee shall coordinate with NYCT bus operations for all work impacting on bus slops/routes.
- Work hours shall be as follows: 9:00AM to 2:00PM, Monday to Friday (When school is in session) 9:00AM to 4:00PM, Monday to Friday (When school is not in session).
- During working hours, the Permittee shall maintain a minimum of three (3) 11-toot lanes for traffic (one (1) 11-foot lane for traffic in the direction in which work is occurring, and two (2) 11-foot lanes for traffic in the opposite direction) on Ocean Avenue, and a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in each direction) on Avenue U.
- After working hours, the Permittee shall restore the intersection to its full width.

JJ. OCEAN AVENUE BETWEEN AVENUE U AND AVENUE V

- The Permittee shall coordinate with the nearby school and medical centers prior to mobilizing.
- The Permittee shall coordinate with NYCT bus operations for all work impacting on bus stops/routes. 2.
- Work hours shall be as follows: 9:00AM to 2:00PM, Monday to Friday (When school is in session) 9:00AM to 4:00PM, Monday to Friday (When school is not in session).
- During working hours, the Permittee shall maintain a minimum of three (3) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in the direction in which work is occurring, and two (2) 11-foot lanes for traffic in the opposite direction) plus dedicated left turn lane, and a minimum 5-foot clear pedestrian path on the sidewalk.
- After working hours, the Permittee shall restore the roadway/sidewalk to its full width.

KK. INTERSECTION OF OCEAN AVENUE AND AVENUE V

- The Permittee shall coordinate with the nearby school prior to mobilizing.
- The Permittee shall coordinate with NYCT bus operations for all work impacting on bus stops/routes. 2.
- Work hours shall be as follows: 9:00AM to 2:00PM, Monday to Friday (When school is in session) 9:00AM to 4:00PM, Monday to Friday (When school is not in session).
- During working hours, the Permittee shall maintain a minimum of three (3) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in the direction in which work is occurring, and two (2) 11-foot lanes for traffic in the apposite direction) on Ocean Avenue, a minimum of two (2) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in each direction) on Avenue V west of Ocean Avenue, and a minimum of one (1) 11-foot lane for traffic on Avenue V east of Ocean Avenue.
- 5. After working hours, the Permittee shall restore the intersection to its full width.

OCEAN AVENUE BETWEEN AVENUE V AND GRAVESEND NECK ROAD

- The Permittee shall coordinate with NYCT bus operations for all work impacting on bus stops/routes.

 Work hours shall be as follows: 9:00AM to 4:00PM, Monday to Friday
- During working hours, the Permittee shall maintain a minimum of three (3) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in the direction in which work is occurring, and two (2) 11-foot lanes for traffic in the opposite direction) plus dedicated left turn lanes, and a minimum 5-foot clear pedestrian path on the
- After working hours, the Permittee shall restore the roadway/sidewalk to its full width.

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II. MAINTENANCE AND PROTECTION OF TRAFFIC (CONTINUED)

MM.INTERSECTION OF OCEAN AVENUE AND GRAVESEND NECK ROAD

- 1. The Permittee shall coordinate with NYCT bus operations for all work impacting on bus stops/routes.
- 2. Work hours shall be as follows: 9:00AM to 4:00PM, Monday to Friday
- 3. During working hours, the Permittee shall maintain a minimum of three (3) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in the direction in which work is occurring, and two (2) 11-foot lanes for traffic in the opposite direction) on Ocean Avenue, and a minimum of one (1) 11-foot lane for traffic on Gravesend Neck Road.
- 4. After working hours, the Permittee shall restore the intersection to its full width.

NN. OCEAN AVENUE BETWEEN GRAVESEND NECK ROAD AND AVENUE W

- 1. The Permittee shall coordinate with NYCT bus operations for all work impacting on bus stops/routes.
- 2. Work hours shall be as follows: 9:00AM to 4:00PM, Monday to Friday
- During working hours, the Permittee shall maintain a minimum of three (3) 11-foot lanes for traffic (one (1) 11-foot lane for traffic in the direction in which work is occurring, and two (2) 11-foot lanes for traffic in the opposite direction) plus dedicated left turn lanes, and a minimum 5-foot clear pedestrian path on the sidewalk.
- 4. After working hours, the Permittee shall restore the roadway/sidewalk to its full width,

III. GENERAL NOTES

- A. THIS IS NOT A PERMIT. THIS STIPULATION SHEET MUST BE SUBMITTED WITH ALL REQUESTS FOR PERMITS PERTAINING TO THE ABOVE CONTRACT AND PRESENT AT THE WORK SITE ALONG WITH ALL ACTIVE CONSTRUCTION PERMITS WHEN THE APPROVED WORK IS BEING PERFORMED.
- B. THE PERMITTEE MUST COMPLY WITH ALL CONSTRUCTION EMBARGOS ISSUED BY THE NYCDOT INCLUDING THE HOLIDAY EMBARGO.
- C. THE PERMITTEE SHALL COMPLY WITH ALL REQUIREMENTS OF THE NYCDOT SPECIAL EVENTS UNIT AS IDENTIFIED BELOW:

1. STREET FAIRS / FESTIVALS

- ALL EXCAVATIONS MUST BE PLATED WITH SKID RESISTANT PLATES.
- PLATES MUST BE RECESSED AND FLUSH WITH PAVEMENT.
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
- ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.

2. RUNNING / WALKING / BIKING EVENTS

- ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT.
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
- ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.

3. PARADES

- ALL EXCAVATIONS MUST BE BACKFILLED AND PAYED OR PLATES MUST BE RECESSED AND PAYED OVER FLUSH WITH PAYEMENT.
- FORMATION AND DISPERSAL AREA PLATES MUST BE RECESSED AND FLUSH WITH PAVEMENT (PLATES MUST BE SKID RESISTANT).
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
- ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.

4. MAYORAL EVENTS

- ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT.
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
- ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.
- D. ALL RELOCATION WORK BY THE UTILITIES SUCH AS; CON EDISON, TELEPHONE, GAS AND CABLE COMPANIES SHALL PRECEDE THE CONTRACTORS' START OF WORK ON ALL AFFECTED ROADWAYS IN THE IMPACTED CONTRACT AREA.
- E. THE PERMITTEE IS ADVISED THAT OTHER CONTRACTORS MAY BE WORKING IN THE GENERAL AREA DURING THE TERM OF THIS STIPULATION. IN WHICH EVENT, THE PERMITTEE MAY REQUIRE MODIFICATIONS BY THE OCMC-STREETS.

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III. GENERAL NOTES (CONTINUED)

- F. THE PERMITTEE IS NOT AUTHORIZED TO ENTER, OCCUPY OR USE ANY PUBLICLY-OWNED OR PRIVATELY OWNED, NON-PAVED, LANDSCAPE OR NON-LANDSCAPED LOCATION WITHOUT SPECIFIC WRITTEN PERMISSION. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF A LIMITED-ACCESS ARTERIAL HIGHWAY, WRITTEN APPROVAL FROM THE NYCDOT OCMC-HIGHWAYS IS REQUIRED. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF A PUBLIC STREET OR PUBLIC PARK, WRITTEN APPROVAL FROM THE NEW YORK CITY DEPARTMENT OF TRANSPORTATION OR NEW YORK CITY DEPARTMENT OF PARKS AND RECREATION IS REQUIRED. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF ANY OTHER JURISDICTION SUCH AS PRIVATE PROPERTY, STATE, FEDERAL ETC., IT IS THE PERMITTEE'S RESPONSIBILITY TO DETERMINE THE PROPERTY OWNER AND OBTAIN THE WRITTEN APPROVAL.
- G. THE PERMITTEE SHALL ADHERE TO THE NYCDOT BUREAU OF BRIDGES' SPECIAL PROVISIONS FOR LANDSCAPE PROTECTION, MAINTENANCE AND RESTORATION, ITEMS 1.18.15 THROUGH 1.18.19, WHENEVER AND WHEREVER ANY OF THE PERMITTEE'S ACTIVITIES OCCUR WITHIN A LIMITED ACCESS ARTERIAL HIGHWAY RIGHT OF WAY.
- H. NO DEVIATION OR DEPARTURE FROM THESE STIPULATIONS WILL BE PERMITTED WITHOUT THE PRIOR WRITTEN APPROVAL FROM THE OCMC-STREETS. REQUEST FOR SUCH MODIFICATIONS SHALL BE SUBMITTED TO THE OFFICE OF THE OCMC-STREETS, NEW YORK CITY DEPARTMENT OF TRANSPORTATION, A MINIMUM OF TWENTY (20) DAYS IN ADVANCE FOR CONSIDERATION.
- I. FOR ANY CONSTRUCTION ACTIVITY RESULTING IN THE FULL CLOSURE OF A ROADWAY FOR MORE THAN 180 CONSECUTIVE CALENDAR DAYS, THE PERMITTEE MUST PRODUCE AND SUBMIT A COMMUNITY REASSESSMENT, IMPACT AND AMELIORATION (CRIA) STATEMENT TO NYCDOT PLANNING AND OBTAIN THEIR APPROVAL BEFORE APPLYING FOR PERMITS, IN COMPLIANCE WITH THE PROVISIONS OF LOCAL LAW 24 STREET CLOSURE LAW.
- J. FOR THIS PROJECT THE PERMITTEE SHALL FURNISH, INSTALL AND MAINTAIN ALL NECESSARY ADVANCE WARNING AND DETOUR SIGNS, TEMPORARY CONTROL DEVICES, BARRICADES, LIGHTS AND FLASHING ARROW BOARDS IN ACCORDANCE WITH THE "MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES," THE TYPICAL SCHEMES INCLUDED IN THIS SPECIFICATION; AND AS ORDERED BY THE ENGINEER-INCHARGE AND THE OCMC-STREETS.
- K. THE PERMITTEE SHALL BE RESPONSIBLE FOR IDENTIFYING HIS CONSTRUCTION SIGNAGE. THE IDENTIFICATION SHALL INCLUDE THE PERMITTEE'S NAME, SPONSORING AGENCY NAME AND THE CONTRACT NUMBER. THE IDENTIFICATION SHALL BE PLACED ON THE BACK OF THE SIGN. THE LETTERING SHALL BE THREE (3) INCHES HIGH.
- L. THE OCMC-STREETS RESERVES THE RIGHT TO VOID OR MODIFY THESE STIPULATIONS SHOULD CONSTRUCTION FAIL TO COMMENCE WITHIN TWO (2) YEARS OF THE SIGNED DATE OF THESE STIPULATIONS.

DUANE C. BARRA

DIRECTOR

OCMC-STREETS

ASHLEY LOVE

PROJECT MANAGER

OCMC-STREETS

NOTICE

THE PAGES CONTAINED IN THIS SECTION REPRESENT THE GAS COST SHARING WORK THAT SHALL APPLY TO AND BECOME A PART OF THE CONTRACT.

(NO TEXT ON THIS PAGE)

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I - NOTICE TO ALL BIDDERS; GAS COST SHARING WORK

All prospective bidders are hereby advised that, pursuant to the "Gas Facility Cost Allocation Act", ("the Act"), the City of New York has entered into an agreement ("the Agreement") with the gas companies (Con Edison or National Grid (formerly KeySpan Energy Delivery)) operating in their respective areas of the City to "share" the cost of facility relocation and/or support and protection of facilities disturbed by proposed water and/or sewer and related City work specified in this contract. Therefore, bid items, specifications and estimated quantities for the incremental costs of support and protection of certain gas facilities have been included in this contract. The low bid for this contract shall be determined by examining each bid for all work to be performed under this contract including any work of support and protection of gas facilities to be performed. The Contractor shall not seek additional compensation from gas companies except as specifically set forth in its contract.

II - GENERAL PROVISIONS: GAS COST SHARING WORK

1. General:

The Contractor shall perform City work with interferences from existing live and abandoned gas facilities. This shall be defined as utility work. Therefore, this contract includes bid items, specifications and estimated quantities designed to fully compensate him/her for the incremental costs of supporting, protecting, providing accommodations and, avoiding disturbing gas facilities located in the streets shown on the contract drawings. In the event that any other provisions of this contract related to gas facilities (or private utilities) conflict with these provisions, these provisions shall supersede and govern all work related to gas facilities owned by the companies operating in the project area. All utility work, as defined in these specifications, including changes and additions thereto shall be paid solely by the City except when specified otherwise in this contract. Contractor hereby agrees that the facility operator shall not be liable to pay him/her for any work performed including extra utility work. Contractor agrees that its bid prices include all compensation for loss of productivity and efficiency, idle time, delays (including any delays occasioned by negotiation of a contract change), change in operations, mobilization, demobilization, remobilization, added cost or expense, lost of profit, other damages or impact costs that may be suffered by or because of utility work, or the presence of gas facilities in the proximity of City work and that it will not seek additional compensation for these items. All disputes shall be resolved as specified in the contract.

Pursuant to the Act, Agreement, and the New York City Administrative Code, the gas company(ies) has been directed by the Commissioner and is required to perform all maintenance, repairs, replacement, shifting, alteration, relocation, and/or removal work that are not part of this contract. By having bid on this contract, the Contractor understands and agrees that the Commissioner has preasserted any right the City has to require, including the issuance of any directives or so called "order outs" under the New York City Administrative Code, any or all gas companies to maintain, repair, replace, protect, support, shift, alter, relocate, and/or remove all gas facilities that are about to be disturbed by the City contract work. The issuance of additional such directives during the performance of the contract work, where necessary in the sole judgment of the Commissioner, shall be initiated by such Commissioner as set forth in the relevant sections of the Act and Agreement. Contractor further agrees to insert such requirements as set forth herein above into any contracts with its approved subcontractors so that its subcontractors also understand and agree to such contract requirements.

Gas Interferences And Accommodations:

During the performance of sewer and water main work funded by the New York City Department of Environmental Protection (NYCDEP), as instructed by the Engineer, the use of any applicable contract bid item is allowed in order to resolve and accommodate all gas facilities interferences with such City work, including the removal of contaminated soil in associated trench excavation. This is in addition to the specified EP-7 bid items in the contract. Payment for such accommodation shall be funded by EP-7 bid item "UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS" (F.S. Fixed Sum). The value of such accommodation shall be computed by multiplying the appropriate unit prices bid to the quantity of work performed, as determined by the Engineer, and applying the total amount thus to be paid

to EP-7 bid item "<u>UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS</u>". When EP-7 bid item "<u>UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS</u>" does not exist, such additional accommodation work shall be at no cost to the City but shall be a matter of adjustment between gas facility operator and Contractor. Private facilities, other than gas, that become in interference due to gas interferences accommodations shall also be accommodated, if so directed by the Resident Engineer, at no additional cost to the City and, provided that its owner agrees to be responsible for all additional costs to Contractor, otherwise, such facility shall be ordered by the City to be maintained, shifted, relocated or replaced by its owner at his/her expenses.

2a. Water Main Accommodations:

When water main construction is to be performed in this contract, Contractor shall be required, if warranted by field conditions, and at locations designated by the Resident or Borough Engineer, to change the vertical or horizontal alignment of water mains including but not limited to all additional labor, material, work method accommodations, furnishing, delivering and laying offset fittings and pipes, etc., necessary in order to complete water main installation and, avoid gas interferences in the project area, including street intersections. Typical work method accommodations shall include, but not be limited to, pier and plate, installation of filter fabric and select fill, etc. Such work shall be performed as directed by the Engineer and in accordance with contract specifications and latest edition of water mains standards and specifications.

2b.Sewer Accommodations:

When sewer construction is to be performed in this contract, Contractor shall be required, if warranted by field conditions, and at locations designated by the Resident or Borough Engineer, to change the horizontal alignment of sewer facilities (if possible) including but not limited to all additional labor, material, work method accommodations, furnishing, delivering and construction of additional manholes or modification of manholes/catch basins, extending chute connections, house connections, using alternate materials and methods, poured-in-place structures, etc., necessary in order to complete sewer installation and, avoid gas interferences in the project area, including street intersections. The term sewer facility shall include, but not be limited to, all sewer pipe and appurtenances, manholes, catch basins, catch basin chutes, etc. Such work shall be performed as directed by the Engineer and in accordance with contract specifications and latest edition of sewer standards and specifications.

3. Quantity Overruns, EP-7 Funded Bid Items:

No quantity overrun, in excess of one hundred twenty five (125) percent, shall be permitted for EP-7 funded bid items (gas) included in this contract, except when Resident Engineer determines that such overruns are caused by field modifications to planned City work, or approved construction methods, or contract scope changes. Overruns not paid by City shall be negotiated and paid to Contractor by gas facility operator who then shall be entitled to reimbursement by NYCDEP under established cost sharing procedures.

4. Changes And Extra Work:

This section is not applicable to work defined under "Emergency Reconstruction Contracts" or so-called "Where and When Contracts" since these projects, by definition, inherently encounter unanticipated gas facilities and cannot be pre-engineered. In all other cases, any contract changes proposed for City work shall also cover and include all associated changes to support and protection of gas facilities affected by such changes to City work. In all other cases where the Contractor finds that City work cannot be performed as planned and specified and/or, as approved because of a need to support, protect and/or alleviate interferences from gas facilities that were not listed and/or shown, or incorrectly shown in contract plans and specifications, he shall immediately notify the Resident Engineer and the facility operators' representative of his findings. Resident Engineer shall promptly examine such claims and determine whether or not such work is covered by contract bid items and /or specifications (contract bid items and specifications shall include city contract items as well as EP-7 items). The Resident Engineer shall also

examine the claim to determine if the application of EP-7 bid item "UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS" is appropriate to resolve the claim. If upon examination, the Engineer determines that such field conditions were unanticipated (not shown and/or listed, or incorrectly shown in contract documents) and are not covered by bid items and contract specifications, he shall then direct the Contractor and the affected facility operator to negotiate the cost of supporting and protecting, and/or alleviating the impact on City work caused by such unanticipated gas facilities with each other with the understanding that the performance of City work shall continue during negotiations. If a cost agreement is reached, the Contractor and facility operator shall adjust such costs between themselves at no additional costs to the City contract. If the Contractor and affected facility operator do not reach an agreement concerning the price to be paid for the extra work within five (5) business days of the Engineer's directive to engage into such negotiations and, after considering: public safety and inconvenience, requirements of laws and regulations applicable to private utilities, integrity of all utility systems, including but not limited to sewer and water, gas, electric, telephone and, cable TV facilities, sound engineering practices, cost (long and short term) to all affected parties, and potential City work delays, then the Resident Engineer, depending on nature and severity of interferences with City work. shall either, direct the facility operator to relocate or replace its facilities at its own discretion and cost, reimbursable by NYCDEP under established gas cost sharing procedures or, direct the Contractor to perform the utility work on actual time, material and equipment costs basis pursuant to relevant contract requirements and amendments. Contract bid prices for any applicable items of work involved shall be applied, or converted to an allowance for time and material charges. Changes shall be for affected portions of utility work and, shall be processed with EP-7 funds.

5. Excavation:

All excavators shall notify the NYC/LI One Call Center at 1-800-272-4480 at least two (2) working days, not including the day of the call, but not more than ten (10) working days in advance of the start of any excavation work. The gas company(ies) will mark out its facilities within the project limits and provide Construction Inspector(s) during all excavation work in close proximity (within twelve (12) inches) to gas facilities. The Contractor shall exercise extreme caution when excavating in the vicinity of any gas facilities. Hand excavation shall be performed within twelve (12) inches of gas facilities. The Contractor prior to excavating underneath these facilities shall adequately support all gas facilities. Standard support details for gas facilities have been included in the specifications. Any damage to gas facilities shall be reported immediately to the gas company(ies). The Contractor shall be responsible for all cost associated with repairs made necessary by damages caused by his operations.

6. Backfilling And Street Restoration:

Backfilling operations and street restorations shall be in accordance with contract requirements.

7. Non-Responsive Bids:

Every gas (EP-7) bid item has a suggested "Not less than" value per unit indicated on contract bid sheet. Bids resulting in cost of less than suggested for EP-7 items are hereby prohibited and if submitted shall be considered NON-RESPONSIVE.

8. Minimum Clearances:

Clearance requirements for City work shall govern and supersede any clearance requirement of gas facility operator. Therefore, a minimum of twelve (12) inches clearance between private utilities and City water mains, sewers or related structures to be installed in this contract shall be maintained. When this clearance is not attainable, the Resident Engineer may allow a minimum of four (4) inches clearance. With less than twelve (12) inches clearance a neoprene/polyethylene shield (to be provided by facility operator) shall be installed as part of all work item specifications. However, if Resident Engineer determines that City work cannot be performed within allowable clearance and no reasonable City accommodation (no-cost change to City work) is possible, the City shall direct the facility operator to remove, relocate, shift, or alter their facility(ies) pursuant to the New York City Administrative Code.

9. Work By Facility Operator:

The facility operator may find it necessary to perform the following types of work during performance of City work: accommodating a contractor's request for gas facilities modifications (in order to facilitate City contractor's proposed construction method) or, remedial and emergency work on gas facilities proper with their own resources and materials if an approved method of construction for City work causes unanticipated disturbances to gas facilities or, replacing defective gas facilities when they are exposed by the Contractor and their actual conditions are observable by the facility operator. Also included in the above category of defective gas facilities are: the presence of environmental contaminants attributable to the gas facility in or around gas facilities. If such work is deemed required by the facility operator or if facility operator is directed by the City to address such deficiencies at any time during the course of construction, the Contractor shall modify the construction schedule at no cost to the City and allow the facility operator (in cases of accommodations) or, Contractor (in cases of defective gas facilities) due to such gas work, if any, shall be the responsibility of the parties involved and not of the City. Such costs shall be a matter of adjustment between the Contractor and the facility operator.

10. Materials Furnished By Facility Operator:

It shall be the Contractor's responsibility to inspect material to be installed by him immediately upon delivery and advise the facility operator through its authorized representative, of all damaged materials. The Contractor at no additional costs to the City or the facility operator shall replace any material that is damaged or lost after the Contractor's inspection.

11. Liability And Insurance:

Notwithstanding the provisions of this contract, the existing division of liabilities to third parties shall remain the same as between the City and the company. Therefore, it is specifically agreed by the City, company and Contractor (by bidding on this contract) that for the purpose of any liabilities to third parties, that the City contractor performing work directly and physically relating to gas company facilities in this project, shall be deemed an agent of the company and not an agent of the City, the New York City Municipal Water Finance Authority, or the New York City Water Board. Contractor shall include the company as an additional insured on all insurance policies maintained to comply with the City's insurance requirements.

12. Width And Depth Of Excavation:

Contractor shall not be authorized to deliberately change trench or excavation widths and/or depth specified without Engineer's approval. Enlargement of any side of excavation up to eighteen (18) inches beyond pay limits (or inside face of sheeting) requested by the Contractor for the installation of certain types of sheeting may be granted. However, such enlargements or those greater than allowable shall not be approved when, in the sole judgment of the City, field conditions allow the water mains and sewer work to be performed within the limits specified and, the sole purpose of such enlargement request is to impact adjacent utilities (public or private) whose support and protection are part of this contract. Any approval shall be given at no additional cost to the City contract, including EP-7 funding, and all costs associated with unauthorized enlargements shall be the sole responsibility of the Contractor.

13. Depth And Crossing Angles Of Gas Facilities:

Where gas facilities are shown (or specified as) crossing proposed alignment of sewers, water mains, catch basins and chute connections or any other proposed excavations at specific angles (as measured off plans or sketches or specified in contract), it shall be understood that actual field measurements may deviate (plus or minus) forty-five (45) degrees from those shown or specified. The cover, or depth from street surface to top of facilities, shall be as shown or specified in contract documents, no deviation is to be assumed. Where gas facilities are not shown on contract documents, but their support and protection are otherwise included in this contract then, all references to facilities crossing at "various angles and depth" in the gas sections shall mean that such facilities are crossing sewer, water, catch basin and, catch basin chute, and other excavations at a ninety (90) degree angle to the proposed sheeting line or side of

excavation (for unsheeted trenches) with an allowable deviation of forty-five (45) degrees in any direction, except for catch basin chute excavation where the allowable deviation shall be sixty (60) degrees. Where the cover is not noted or specified, the bottom face of such facilities shall be assumed to be crossing catch basin chutes at a depth of three (3) foot eight (8) inches or less from the street surface. Paragraph No. 2 above shall apply in cases of distribution water main construction. Appropriate bid items and specifications are provided for cases where angle and depth are greater than stated above. This section also applies to work defined in "Emergency Reconstruction Contracts" or so-called "Where and When Contracts". These contracts are not pre-engineered and consequently have no drawings, sketches or determined locations and so, gas facilities encountered will be crossing existing and proposed sewer, water, catch basin/catch basin chutes and all appurtenances at various angles and depths.

14. Maintenance Of Traffic For Gas Work:

All work pertaining to gas bid items and specifications shall be performed within the contract maintenance of traffic plan as specified in the contract document. The bid price for the Maintenance and Protection of Traffic shall cover all work pertaining to gas items. The City shall make compensation for additional maintenance and protection of traffic items in connection with gas item of work only when such additional work is deemed reasonable and necessary by the Resident Engineer and is approved by him prior to its performance.

15. Relocated Gas And Temporary Systems Installation:

In cases where the Contractor is allowed to select the location for temporary construction such as, installation of dewatering headers, wells, well points, etc., he shall not disturb any gas facilities shown on sketches provided in this section. The only exception shall be, if the affected gas company agrees to such relocation and provided that the cost of such relocation is a matter of adjustment between the company and Contractor, and at no cost to the City.

16. Role Of Company Inspector:

In any case in which the City elects to perform some or all support and protection work with its own employees, personnel or contractors, the facility operator shall provide onsite inspectors to approve and certify such support and protection work (exclusive of City accommodations) performed by the City's own employees, personnel, and contractors. Facility operator's inspectors are not authorized to direct City contractor during the performance of contract work. They shall act through the City Resident Engineer and provide him/her required approvals and certifications, prior to preparing partial payments of EP-7 items, in a format and frequency to be prescribed by the appropriate City Head of Construction.

17. Coordination With Gas Company:

The Contractor shall be required to notify the gas company(ies), in writing, at least two (2) weeks prior to the start of final paving in order to allow companies to complete any unfinished gas work located within the area to be paved. Every effort shall be made to maintain gas service with minimum inconvenience to the public.

III - TECHNICAL SECTION

SECTION 6.01 - Trench Crossings; Support And Protection Of Gas Facilities And Services.

1. Description:

Under this section, the Contractor shall provide all labor, materials, equipment, and incidentals required to

support and/or protect the integrity of gas mains, services and appurtenances of any sizes, configurations, and operating pressures crossing trench excavations above subgrade for planned construction of sewers and water mains facilities. A gas service shall be defined as a gas pipe of three (3) inches in diameter or less branching from the main to a customer pick up point or property valve box. A gas main may be any size pipe that is part of a distribution or transmission network other than services described above. Crossings shall be defined as gas facilities spanning the width of excavation (one side to the other side). These crossings may be at various angles and depth as shown on "Gas Cost Sharing Work Standard Sketches Nos. 1 and 1A", and as specified in "General Provisions; Gas Cost Sharing Work Paragraph No. 13" and, at the locations shown or listed in contract documents. The gas company operating in the area, (facility operator), owns these facilities. The work shall be performed in accordance with contract specifications, plans, and at the directions of the Resident Engineer in consultation with the authorized representatives of the facility operator.

2. Method Of Construction:

- A. Protection: In general, the gas facilities shall be protected as required by New York State Industrial Code 753. In particular, the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) directly below the pavement base to expose the gas facilities (marked out by facility operators) and to ascertain the clearances and cover of the facilities with respect to the proposed excavation. Upon exposing the affected facilities sufficiently, at the discretion of the Resident Engineer, to ascertain the foregoing, Contractor shall be permitted to proceed with a combination of hand and machine excavation, as appropriate, outside a zone of protection whose limit shall be defined as a perimeter located twelve (12) inches from the outside face of each gas facility crossings (See "Gas Cost Sharing Work Standard Sketch No. 2"). If the facilities are in direct interference with City work, meaning that "Minimum Clearances" described in "General Provisions; Gas Cost Sharing Work Paragraph No. 8" cannot be maintained, and excavation has to be temporarily or permanently abandoned then this particular location shall become a test pit and dealt with as specified in Section 6.07, and "General Provisions; Gas Cost Sharing Work Paragraphs Nos. 2 and 8".
- B. Support: Gas mains or services crossing excavations equal or less than four (4) feet wide are generally self supporting, unless field conditions as determined by the Resident Engineer require otherwise. The support requirements for gas mains and services crossing excavations greater than four (4) feet wide shall be as shown on the attached "Gas Cost Sharing Work Standard Sketch No. 1" and Contractor shall use sheeting methods that permit the maintenance of gas facilities in their existing locations and configurations. Alternate methods equivalent to those shown on the sketch or accommodations by the facility operator proposed by the Contractor in order to facilitate the execution of the specified work shall be allowable, provided that prior approval is obtained by the Contractor from the Engineer and the facility operator. The support and protection of gas facilities crossings shown on plans, drawings, listings or otherwise identified in this contract shall not be circumvented with the issuance of so called "order outs".

3. Method Of Measurement:

The Contractor shall be paid for supporting and/or protecting gas facilities crossing trench excavations under the appropriate bid items covered by this section. The Contractor shall be directly responsible to the facility operator for the total cost of using any alternate method requiring the use of resources owned by the facility operator. Regardless of the method used, the City shall pay the bid price for the appropriate support and/or protect item of work. The average rate charged by the facility operator for alternate support and protection work such as, disconnecting and reconnecting gas services is listed in attached "Schedule GCS-A".

4. Payment Restrictions:

These items shall not be paid for: gas services crossing unsheeted water main trench excavation; abandoned gas main/services identified by facility operator; gas mains/services crossing trench excavations for fire hydrant branch connections pipes, catch basins and/or chutes (sewer drain pipe), house sewer and/or water services; gas facilities encroaching any face of excavation for sewer and/or

water construction, all of which are covered under other contract sections. Also this item shall not be paid for new gas mains and services crossing water trenches when trenching for such new facilities has been performed by the Contractor in common with trench excavation for City work (overlapping trench limits). The cost of supporting and protecting such gas facilities crossings shall be deemed included in the cost of trench excavation for the new gas facilities. This payment restriction shall apply even if such common trench gas excavation is not part of the contract. The prices bid for items covered by this section represent full compensation to Contractor to completely perform the work described. No other bid items shall be combined with these items in order to pay for gas main and/or services crossing excavations specified herein.

5. Method Of Payment:

Each (Ea.) gas facility crossing trench excavation as described in these specifications shall be counted for payment.

6. Price To Cover:

The cost of timber/steel supports installed for gas facilities shall be included in the bid price. The bid price for each crossing shall also cover all additional supervision, labor, material (except those provided by the facility operator), equipment and insurance necessary to completely maintain the gas facilities without disruption of service to the customers and in accordance with contract plans, specifications and facility operator standards. The price shall also include: changes of method of operations; sheeting modifications where necessary to accommodate the gas facilities crossings; installation and removal of water pipe under gas facilities (so called "snaking"); extra care during excavation (including hand excavation under existing single and multiple gas facilities); extra backfilling and compaction around, over and under gas facilities; installation and removal of sheeting around gas facilities; associated maintenance and protection of traffic; barricades; and traffic plates that may be required to temporarily close and/or complete the work.

SECTION 6.02 - Extra Excavation For The Installation Of Catch Basin Sewer Drain Pipes With Gas Interferences.

1. Description:

Under this item, the Contractor shall provide all labor, materials, equipment, insurance, and incidentals for the extra excavation associated with the installation of catch basin sewer drain pipes (chute) under gas facilities of various sizes crossing the trench excavation at various angles and depth at the locations shown in the contract documents and also, for the support and protection of these facilities during associated excavation and backfill operations. The gas company operating in the area, (facility operator), owns these facilities.

2. Method Of Measurement:

The bid price shall be per location (Each) where extra excavation is required when catch basin sewer drain pipes are installed at an upstream invert depth lower than four (4) feet (up to a maximum of six (6) feet) from the proposed pavement grade because the bottom faces of interfering gas mains and appurtenances are located at a depth greater than three (3) foot eight (8) inches from proposed pavement surface (See "Gas Cost Sharing Work Standard Sketch No. 4").

3. Method Of Construction:

Incremental cost responsibility for chute excavation is determined by the first private facility encountered starting from catch basin structure proper and that prevents the installation of the chute connection at an upstream cover less than or equal to three (3) feet or any other minimum cover required to avoid City facilities (e.g. water, sewer, etc.) as directed by the Resident Engineer.

4. Payment Restrictions:

This item shall not apply and related bid item shall not be paid in cases where:

- A. Upstream invert chute is more than six (6) feet deep because of gas facilities.
- B. Chute cannot be installed above existing gas facilities because of interferences with other private facilities that are not otherwise covered under this contract, regardless of upstream invert depth.

The above cases shall be at no cost to the City, but shall be a matter of adjustment between the Contractor and the facility operator(s).

5. Price To Cover:

The bid price shall cover the additional cost of all additional supervision, labor, materials, equipment and insurance, to complete the installation of catch basins and associated sewer connections in accordance with the contract plans and specifications. The price shall include: excavation by hand around and under single and multiple gas facilities; locating, supporting and protecting gas facilities; backfilling and all other items necessary to perform all work incidental thereto including: installation and removal of drain pipe under gas facilities ("snaking"); widening of trenches to facilitate the above work; subsequent additional backfill and pavement restoration; modifying precast catch basin window to accommodate connection; changing sheeting method and configuration to accommodate gas facility crossings; maintenance and protection of traffic; barricades; and installation of traffic plates that may be required to temporarily close and/or complete the work. The price shall not include removal of ledge rock and/or excavation of boulders in open cut.

SECTION 6.02.1 - Extra Excavation For The Installation Of Catch Basin Sewer Drain Pipes With Upstream Inverts Greater Than Six (6) Feet.

1. Description:

Under this item, the Contractor shall provide all labor, materials, equipment, insurance and incidentals for the extra excavation of catch basin chutes where the upstream invert is greater than six (6) feet under gas facilities of various sizes crossing the trench excavation at various angles and depth at the locations shown in the contract documents or as determined by field conditions and also, for the support and protection of these facilities during the associated excavation, sheeting and backfilling operations.

2. Method Of Measurement:

The bid price shall be per location (Each) where extra excavation and sheeting is required when the catch basin chute installed at an upstream invert depth lower than six (6) feet from the proposed pavement grade because the bottom faces of the interfering gas mains and appurtenances are located at a greater depth than three foot eight inches from the proposed pavement surface only.

3. Method Of Construction:

Incremental cost responsibility for chute excavation is determined by the first private facility encountered during such excavation when initiated from catch basin structure and that prevents the installation of the chute at an upstream cover less than or equal to three (3) feet or any other cover required to avoid City facilities as directed by the Resident Engineer.

4. Payment Restriction:

This item shall not apply and related bid item shall not be paid in cases where: Upstream invert chute is less than or equal to six (6) feet deep because of gas facilities. Section 6.02 shall be paid.

5. Price To Cover:

The bid price shall cover the additional cost of all supervision, labor, materials, equipment and insurance to complete the installation of catch basin and associated sewer connections in accordance with the contract plans and specifications. The price shall include: excavation by hand around and under single and multiple gas facilities; locating, supporting and protecting gas facilities incidental thereto; widening of trenches to facilitate the above work; subsequent additional backfilling and pavement restoration; modifying pre-cast basin window to accommodate connection; the installation of catch basin with deeper sumps as specified; additional sheeting and changes in sheeting method and configuration to accommodate gas facility crossings; maintenance and protection of traffic; barricades; and installation of traffic plates that may be required to temporarily close and/or complete the work.

SECTION 6.03 - Removal Of Abandoned Gas Facilities. All Sizes.

1. Description:

Under this section the Contractor shall provide all labor, materials, equipment, insurance and, incidentals required for the removal of abandoned gas mains, services, or appurtenances thereof, located within the street shown on the contract plans, owned by gas company operating in the project area (facility operator), used or to be used for or in connection with or to facilitate the conveying, transportation, distribution or furnishing of gas (natural or manufactured or mixture of both) for light, heat, or power, but does not include property used solely for or in connection with business of selling, distributing or furnishing of gas in enclosed containers. Such removal shall include only abandoned gas facilities that interfere with (i.e. cause additional work) City work.

2. Determination Of Operating Status Of Gas Facilities:

The Contractor shall notify facility operator, as required by New York State Industrial Code 753. Gas facilities shall not be removed without the approval of the facility operator whose authorized representative shall certify in writing (specific facility or area wide facilities certification) and in a timely manner acceptable to the Resident Engineer that abandoned facilities are free of combustible gas and any other environmental contaminants prior to removal. The Resident Engineer shall rely on facility operator's certification. The facility operator may request the excavation of test pits (See Section 6.07) for this determination ahead of City work and, Contractor shall provide safe access, facilitate and permit facility operator to enter test pit excavations for the purpose of testing gas facilities to be removed by the Contractor. However, facility operator may prefer to make this test during performance of City work, in order to issue the above certification. This shall be permitted provided that it is agreed that additional costs, if any resulting from this choice shall be a matter of adjustment between the Contractor and facility operator only, and at no cost to the City.

3. Restrictions:

The facility operator shall be solely responsible for its contaminated gas facilities, surrounding contaminated soil and their disposal and abatement procedures, unless contract bid items are applicable and provided for such work. In such cases, the quantity removed shall be charged to EP-7 bid item "<u>UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS</u>" at the City bid prices.

4. Method Of Measurement:

Abandoned gas pipeline removal shall be measured for payment per linear foot of pipe and appurtenances removed.

Price To Cover:

The price shall cover all additional cost of supervision, labor, materials, equipment, and insurance necessary to complete this work in accordance with the contract plans and specifications, including excavation by hand around and under other City and facility operator owned properties and, where necessary, support and protection of such properties. The price shall also cover breaking, cutting, and/or burning of abandoned gas pipes and their disposal from the site; sealing open ends remaining in the excavation with concrete or caps (caps to be provided by the facility operator) and backfilling of the area where the pipeline has been removed with clean backfill. The price shall also include any required dump charges. This item does not include any type of extra excavation, backfilling, compaction, pavement removal and restoration associated with abandoned gas facilities removal, all of which are covered under Section 6.06.

SECTION 6.03.1 - Removal Of Abandoned Gas Facilities With Possible Coal Tar Wrap. All Sizes. (For National Grid Work Only)

1. Description:

Under this section the Contractor shall provide all labor, materials, equipment, insurance and, incidentals required for the removal of abandoned gas mains, services or appurtenances thereof, located within the street shown on the contract plans, owned by the gas company operating in the project area (facility operator), used or to be used for or in connection with or to facilitate the conveying, transportation, distribution or furnishing of gas (natural or manufactured or mixture of both) for light, heat, or power, but does not include property used solely for or in connection with business of selling, distributing or furnishing of gas in enclosed containers. Such removal shall include only abandoned gas facilities that interfere with (i.e. cause additional work) City work. These gas facilities may be coated with Coal Tar Wrap and so, may require special handling and disposal methods as specified in National Grid Standard Operating Procedure 12-2, Coal Tar Wrap Handling and 12NYCRR56.

2. Determination Of Operating Status Of Gas Facilities:

The Contractor shall notify facility operator, as required by New York State Industrial Code 753. Gas facilities shall not be removed without the approval of the facility operator whose authorized representative shall certify in writing (specific facility or area wide facilities certification) and in a timely manner acceptable to the Resident Engineer that abandoned facilities are free of combustible gas and any other environmental contaminants prior to removal. The Resident Engineer shall rely on the facility operator's certification. The facility operator may request the excavation of test pits (See Section 6.07) for this determination ahead of City work and, the Contractor shall provide safe access, facilitate and permit facility operator to enter test pit excavations for the purpose of testing gas facilities to be removed by the Contractor. However, the facility operator may prefer to make this test during performance of City work, in order to issue the above certification. This shall be permitted provided that it is agreed that additional costs, if any, resulting from this choice shall be a matter of adjustment between the Contractor and the facility operator only, and at no cost to the City contract. Should such investigation result in the determination that the abandoned gas facilities do not contain Coal Tar Wrap then the removal of said facilities shall be covered under separate item (See Section 6.03).

3. Requirements:

The City Contractor shall excavate abandoned gas facility sufficiently, either in its entirety, or at locations determined by Contractor to allow the removal of Coal Tar Wrap (if present on the abandoned gas facility) and to facilitate the safe extraction of manageable lengths of abandoned pipe without damage to adjacent facilities, utilities or City structures either parallel to or crossing above or below abandoned gas facility. The Contractor is to allow access to the designated cutting points within the Contractor's trench by authorized National Grid personnel who will remove the Coal Tar Wrap as per National Grid procedures. This work by National Grid personnel shall be performed in a timely fashion and shall not unduly impede the Contractor's progress and/or productivity. Upon completion of the coating removal, the Contractor shall be allowed to cut, burn or grind the gas facility and remove the section of abandoned pipe. The

Contractor at a site designated by the Contractor shall stockpile the removed pipe. The facility operator will be responsible to provide trucking and disposal services with its own personnel and shall remove the stockpiled pipes during off hours or during such time as agreed to by the Contractor. Since the pipe removed will remain the property of the facility operator and is to be disposed of by the facility operator, the facility operator shall be responsible for any required notifications, filings, dump charges and incidentals associated with the disposal of abandoned gas facilities found to contain Coal Tar Wrap.

Method Of Measurement:

Abandoned gas pipeline removal shall be measured for payment per linear foot of pipe and appurtenances removed.

5. Price To Cover:

The price shall cover all additional cost of supervision, labor, materials, equipment and insurance necessary to complete this work in accordance with the contract plans and specifications, including excavation by hand around and under other City and facility operator owned properties and, where necessary, the support and protection of such properties. The cost shall also include hand excavation in the area(s) of proposed abandoned pipe cut(s), cutting and/or burning of abandoned gas pipes and stockpile of removed sections of abandoned pipe and associated maintenance and protection of traffic, blocking and temporary fencing if required. The unit price shall also cover sealing open ends remaining in the excavation with concrete or end caps (end caps to be provided by the facility operator) and backfilling of the area where the abandoned pipeline has been removed with clean backfill material. This item does not include any type of extra excavation, backfilling, compaction, pavement removal and/or restoration (temporary and permanent) associated with abandoned pipe removal ("lost trench"), all of which are covered under separate Section 6.06. The price shall also include allowance for any loss of productivity by the Contractor due to required facility operator work to remove pipe coating and prepare pipe for cutting as well as any change in Contractor's excavation method, additional trucking and/or stockpiling costs.

SECTION 6.03.1a - Removal Of Abandoned Gas Facilities With Possible Coal Tar Wrap. All Sizes. (For Con Edison Work Only)

1. Description:

Under this section the Contractor shall provide all labor, material, equipment, insurance and, incidentals required to prepare abandoned gas mains, services and appurtenances thereof located within the street shown on contract plans, owned by the gas company operating in the project area (facility operator), for removal due to interference with proposed City work. These abandoned gas facilities were, at one time, used for or in connection with or to facilitate the conveying, transportation, distribution or furnishing of gas (natural, manufactured or a combination of both) for light, heat, or power, but does not include property used solely for or in connection with business of selling, distribution or furnishing of gas in enclosed containers. Such preparation for removal shall include only abandoned gas facilities that interfere with (i.e. cause additional work) City work. These gas facilities may be coated with Coal Tar Wrap which may contain asbestos or PCB's and so, may require special handling and disposal methods as specified in Con Edison - ASBESTOS MANAGEMENT MANUAL, CHAPTER 6 - ASBESTOS WORK PROCEDURES, SECTION 06.04 - COAL TAR WRAP REMOVAL. For under 25' (feet) in length and an approved NYC-DEP variance for over 25' (feet).

2. Determination Of Operating Status Of Gas Facilities:

The Contractor shall notify facility operator, as required by New York State Industrial Code 753. Gas Facilities shall not be removed without the approval of the facility operator whose authorized representative shall certify in writing (specific facility or area wide facilities certification) and in a timely manner acceptable to the Resident Engineer that abandoned facilities are free of combustible gas and any other environmental contaminants prior to removal. The Resident Engineer shall rely on the facility

operator's certification. The facility operator may request the excavation of test pits (See Section 6.07) for this determination ahead of City work and Contractor shall provide safe access, facilitate and permit facility operator to enter test pit excavations for the purpose of testing gas facilities. However, the facility operator may prefer to make this test during performance of City work in order to issue the above certification. This shall be permitted provided that it is agreed that additional costs, if any, resulting from this choice shall be a matter of adjustment between the Contractor and the facility operator only, and at no cost the City contract. Should such investigation result in the determination that the abandoned gas facilities do not contain Coal Tar Warp then the removal of said facilities shall be covered under separate item (See Section 6.03).

3. Requirements:

The Contractor shall excavate abandoned gas facility sufficiently, either in it's entirety, or at locations determined by Contractor to allow the removal of Coal Tar Wrap (if present on the abandoned gas facility) and to facilitate the safe extraction of manageable lengths of abandoned pipe without damage to adjacent facilities, utilities or city structures either parallel to or crossing above or below abandoned gas facility. The Contractor is to allow access to the designated cutting points within the Contractors trench by authorized Con Edison personnel who will remove the Coal Tar Wrap as per Con Edison and/or NYC-DEP approved procedures. This access shall conform to all applicable codes, rules & regulations. This work by Con Edison personnel shall be performed in a timely fashion and shall not unduly impede the Contractors progress and/or productivity. Upon completion of the coating removal, the Contractor shall be allowed to cut, burn or grind the gas facility and remove the section of abandoned pipe. Contractor shall designate a specific site to stockpile those removed pipes. The facility operator will be responsible to provide trucking and disposal services with its own personnel and shall remove the stockpiled pipes during off hours or during such time as agreed to by the Contractor. Since the pipe removed will remain the property of the facility operator and is to be disposed of by the facility operator, the facility operator shall be responsible for any required notifications, filings, dump charges and incidentals associated with the disposal of abandoned gas facilities found to contain Coal Tar Wrap.

4. Method Of Measurement:

Abandoned gas facility removal shall be measured for payment per linear foot of pipe and appurtenances removed.

5. Price To Cover:

The price shall cover all additional cost of supervision, labor, materials, equipment and insurance necessary to complete this work in accordance with the plans and specifications, including, but not limited to, excavation by hand around and under other City and facility operator owned properties and, where necessary, the support and protection of such properties. The cost shall also include hand excavation in the area(s) of proposed abandoned pipe cut(s), cutting and/or burning of abandoned gas pipes and stockpile of removed sections of abandoned pipe and associated maintenance of traffic, blocking and temporary fencing if required. The unit price shall also cover sealing open ends remaining in the excavation with concrete or end caps (end caps to be supplied by facility operator) and backfilling of the area where the abandoned pipeline has been removed with clean backfill material. This item does not include any type of extra excavation, backfilling, compaction, pavement removal and/or restoration (temporary and permanent) associated with abandoned pipe removal ("lost trench"), all of which are covered under separate Section 6.06. The price shall also include allowance for any loss of productivity by the Contractor due to required facility operator work to remove pipe coating and prepare pipe for cutting as well as any change in Contractor excavation method, additional trucking and/or stockpiling costs.

SECTION 6.04 - Adjust Hardware To Grade Using Spacer Rings/Adaptors. (Street Repaving.)

1. Description:

Under this section, the Contractor shall provide all labor, supervision, materials, equipment, insurance and incidentals required to adjust to final grade gas street surface hardware located within the contract area boundaries shown on the plans. The gas company operating in the area, (facility operator), owns these facilities. The work shall be performed in accordance with the contract plans, specifications and at the directions of the Resident Engineer in concurrence with authorized representative of the facility operator.

2. Materials:

The facility operator shall furnish and deliver all prefabricated hardware parts required. These include adaptors for the grade adjustment proper and new street hardware if existing ones are found to be defective, all in accordance with the facility operator standards and City rules and regulations. The Contractor shall notify the facility operator of the installation schedule at least three (3) business days before materials are required on the site. Should the facility operator fail to deliver the necessary material according to any schedule mutually agreed upon by the Contractor and facility operator, the City shall not be responsible for any delays attributable thereto, nor for the failure of delivery of such materials. On project where material storage is not permitted on site, the facility operator shall deliver the required material to the Contractor's yard and it shall be the Contractor's responsibility to transport the material to the work site when needed for installation. It shall also be the Contractor's responsibility to inspect the materials to be installed by him immediately upon delivery and advise the facility operator through its authorized representative, of all damaged materials. The Contractor at no additional expense to the City or the facility operator shall replace any material that is damaged or lost after the Contractor's inspection.

3. Method Of Measurement:

The Contractor shall be paid for each six (6) inch round box and/or nine (9) inch square box adjusted to grade regardless of adjustment height requirements.

4. Price To Cover:

The unit price bid for this item shall include all additional labor, supervision, insurance, equipment and, material (except those to be provided by the facility operator), required to adjust each box to grade as required in the contract plans and specifications. The bid price shall also include the removal of existing frames and covers from existing facilities to be salvaged and returned to the facility operator and, all material transportation from the Contractor's material storage yard to the work site. In addition the bid price shall include "chipping" around existing box using appropriate means and methods where grinding is required.

SECTION 6.05 - Adjust Hardware To Grade By Resetting. (Road Reconstruction.)

1. Description:

Under this item, the Contractor shall provide all labor, supervision, materials, equipment, insurance and incidentals required to adjust to the proposed grade gas street surface hardware located within the contract area boundaries shown on the plans. The gas company operating in the area, (facility operator), owns these facilities. The work shall consist of either building up or lowering or resetting the casting by removing the existing frame and cover building up or decreasing the existing installation, replacing the frame and/or cover if damaged or worn out, as determined by the Resident Engineer, with a new frame and/or cover furnished by the owner, and setting the frame and cover to new elevation. The work shall be performed in accordance with the contract plans, specifications and at the directions of the Resident Engineer.

2. Materials:

The facility operator shall furnish and deliver all new hardware parts required. The Contractor shall furnish materials such as mortar, bricks and concrete in compliance with contract requirements. At locations

where high-early strength concrete is required under this contract to be placed adjacent to gas facilities, then the requirement for concrete shall be high-early strength complying with the current New York State Department of Transportation, Standard Specifications for Class F concrete. Existing castings may be replaced as required and deemed necessary by the Engineer and by City rules and regulations. The Contractor shall install the new castings of various sizes furnished by the facility operator. The Contractor shall notify the facility operator of the installation schedule at least three (3) business days before materials are required on the site and, shall provide off-loading services to the facility operator. Should the facility operator fail to deliver the necessary material according to any schedule mutually agreed upon by the Contractor and facility operator, the City shall not be responsible for any delays attributable thereto, nor for the failure of delivery of such materials. Such delays shall be a matter of adjustment between the Contractor and the facility operator. On project where material storage is not permitted on site, the facility operator shall deliver the required material to the Contractor's yard and it shall be the Contractor's responsibility to transport the material to the work site when needed for installation. It shall also be the Contractor's responsibility to inspect the materials to be installed by him, immediately upon delivery and advise the facility operator through its authorized representative, of all damaged materials. Contractor at no additional expense to the City or the facility operator shall replace any material that is damaged or lost after the Contractor's inspection.

3. Methods Of Construction:

The Contractor shall remove and reinstall existing castings or install new castings to the proposed grade. Setting and resetting the castings shall be done with mortar and brick according to the standards of the facility operator. Work shall be performed in a workmanlike manner. Castings that are deemed unacceptable for resetting shall remain the property of the facility operator and he shall be responsible for their removal and proper disposal from site. No traffic shall be allowed on adjusted street hardware until permitted by the Engineer.

4. Method Of Measurement:

The Contractor shall be paid for each gas hardware adjusted to grade regardless of size or adjustment height requirements (up or down).

5. Price To Cover:

The unit price bid for this item shall include all additional labor, supervision, insurance, equipment and, material (except those to be provided by the facility operator), required to adjust each gas hardware to grade as required in the contract plans and specifications. The bid price shall also include the removal of existing frames and covers from existing facilities; building up the existing installations with bricks and mortar, or lowering the existing installation by removing bricks and mortar; replacing damaged frames and/or covers with new frames and/or covers furnished by the facility operator; setting the frames and covers to the new elevations; protect existing installations; repair minor structural damages to existing installations prior to resetting frames; unloading of furnished castings at the Contractor's yard and transporting castings from the Contractor's yard to the job site as required; completing the work in accordance with the contract plans, specifications and, at the directions of the Engineer. In addition the bid price shall include "chipping" around existing gas facilities using appropriate means and methods where grinding is required.

SECTION 6.06 - Special Care Excavation And Backfilling.

1. Description:

Under this section, the Contractor shall provide all labor, materials, equipment, insurance and incidentals required to support and protect the integrity of live gas facilities including mains, services, related structures and appurtenances during excavations. The gas company operating in the area, (facility operator), owns these facilities. The work shall be performed in accordance with the contract plans, specifications and at the directions of the Resident Engineer in consultation with authorized

representatives of the facility operator.

2. Applicability Of Section:

This section shall apply to live gas facilities of various sizes located within two (2) feet of any face of unsheeted excavation, (unsheeted excavation refers to any excavation performed for city work and includes excavations performed that are to be subsequently sheeted using approved methods) and paralleling or, encroaching any face of excavation. Also, for crossings greater than forty-five (45) degrees and/or located at a cover depth greater than five (5) feet from existing street surface. Parallel facilities are not exposed at any time during excavation (See "Gas Cost Sharing Work Standard Sketch No. 5"). Encroaching facilities are partially exposed inside the limit of excavation (See "Gas Cost Sharing Work Standard Sketch No. 5"). This section shall also apply to gas facilities crossing catch basins excavation, and catch basins sewer connections (chutes) trench excavation only when extra depth (covered in other section), is not required for chutes installations because of such utilities interferences (See "Gas Cost Sharing Work Standard Sketch No. 3"). This section shall also apply to gas services (if shown or otherwise listed in contract documents) crossing unsheeted excavations for water mains, gas facilities crossing fire hydrant branch connections, house sewer and/or water service connections excavations. This section shall also apply for so called "loss trench", as described further, and for additional excavation (pavement and/or soil), backfilling, compaction, roadway base and pavement restoration due to abandoned gas facilities, only if removed by Contractor. If operating status of gas facilities cannot be determined prior to excavation then such facilities shall be considered live and this section shall fully apply. The excavation around fully exposed live gas facilities along and within limits of excavation (not crossings) shall be covered by this section also (not shown on "Gas Cost Sharing Work Standard Sketch No. 5"), however the support requirement, if any is required, of such facilities is beyond the scope of these specifications and therefore shall be the responsibility of facility operator to determine and prescribe, at no cost to the City contract, but shall be a matter of adjustment between the Contractor and facility operator.

3. Payment Restriction:

No special care excavation shall be paid for abandoned gas facilities paralleling and/or encroaching excavation and therefore are not in direct interference with City work. Except as allowed in this section, the bid item specified under this section shall not be used in combination with items covered under other sections for work done due to a particular gas facility. This item shall not be paid for new gas facilities when trenching for such new facilities has been performed by the Contractor of record in common with trench excavation for City Work (overlapping trench limits). The cost of excavating with care as defined in this section shall be deemed included in the cost of trench excavation for the new gas facilities. This restriction shall apply even if such gas common trench excavation is not part of the contract. If facilities are in direct interference with City work, meaning that "Minimum Clearances" described in "General Provisions; Gas Cost Sharing Work Paragraph No. 8" cannot be maintained and excavation has to be temporarily or permanently abandoned then this particular location shall become a test pit and dealt with as specified in Section 6.07 and "General Provisions; Gas Cost Sharing Work Paragraphs Nos. 2 and 8".

4. Method Of Construction:

All excavation in the vicinity of gas facilities shall be as required by NYS Industrial Code 753. Where these facilities are paralleling and located two (2) feet or less from the limits of the proposed excavation, the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) to ascertain the clearances of these facilities with respect to the proposed excavation. Once the location of these facilities with respect to the proposed excavation is verified to the satisfaction of the Resident Engineer, the Contractor shall then proceed with a combination of hand and machine excavation as required preserving the integrity of the facilities. The installation of timber supports or underpinning, when soil foundation cannot fully support partially exposed pipes, may be required to prevent pipe movement as directed by the Resident Engineer.

5. Method Of Payment:

The unit price for this work item shall be based on cubic yard (CY) of average excavation with care and, is

to be considered as an incremental cost for performing City work with gas facilities interferences.

6. Method Of Measurement:

- A. For Paralleling Facilities: Volume calculated as: Depth as measured from existing street surface to the bottom of unsheeted trench excavation allowable by OSHA regulations, multiplied by, the width measured as one (1) foot from the face of excavation toward the center of excavation, multiplied by the length of parallel facility, divided by twenty-seven (27) cubic feet per cubic yard (See "Gas Cost Sharing Work Standard Sketch No. 5"). The gas facility is no longer considered to be in interference once sheeting has been installed, therefore no further compensation for paralleling facilities as described above will be made.
- B. For Encroaching Facilities: Volume calculated as: Depth of trench as allowable by OSHA, maximum up to five (5) feet multiplied by, the width of partially exposed pipe plus one (1) foot, multiplied by the length of facility encroachment, divided by twenty-seven (27) cubic feet per cubic yard (See "Gas Cost Sharing Work Standard Sketch No. 5").
- C. Fully Exposed Gas Facilities: (Not shown on "Gas Cost Sharing Work Standard Sketch No. 5") along and inside trench and/or crossing trench at an angle greater than forty-five (45) degrees and/or a cover depth greater than five (5) feet from the existing street surface. The volume shall be measured as the depth of trench excavation multiplied by the distance measured along the sheeting line between two (2) points of intersections of the gas facilities and the sides of trench excavation, multiplied by the width of trench excavation.
- D. For Additional Excavation And Restoration Due To So Called "Loss Trench", When The Integrity Of Pavement And Soil Above And Around Existing Live Gas Facilities Cannot Be Maintained Due To Its Lack Of Cohesiveness: Volume shall be calculated as: Depth of unsheeted trench excavation multiplied by width measured as distance of facility from closest edge of unsheeted excavation plus, width of facility proper plus, one (1) foot or a maximum width of three (3) feet multiplied by length of facility fully exposed divided by, twenty-seven (27) cubic feet per cubic yard (not shown on "Gas Cost Sharing Work Standard Sketch No. 5").
- E. For Facilities Crossing Excavation For Catch Basins, Or Chutes Installations (When NYCDEP Funded) Or Fire Hydrant Branch Connections, Or Unsheeted Water Main Trench, Or House Sewer And/Or Water Services: Volume calculated as: Depth as measured from existing street surface to the bottom of the trench excavation multiplied by, the width taken as the outside diameter of pipe or the width of structure plus one (1) foot on either side (two (2) feet), multiplied by, the length of exposed facility crossing the trench, divided by twenty-seven (27) cubic feet per cubic yard (not shown on "Gas Cost Sharing Work Standard Sketch No. 5").

Overlapping volume dimensions measured as described above may occur when multiple facilities are paralleling excavations, encroaching excavations or crossing catch basins and catch basin chute installations. In such cases, all such facilities shall be counted as one limited by the extreme pipes, faces (See "Gas Cost Sharing Work Standard Sketch No. 2"). The volume shall then be calculated as described above.

7. Price To Cover:

The bid price shall also cover all additional supervision, labor, material, equipment and insurance necessary to excavate while protecting and maintaining (excluding supports for fully exposed live gas) gas facilities without disruption of service to the public and in accordance with contract specifications. The price shall also include, changes of sheeting method and excavation width configuration where necessary to accommodate gas facilities in their existing locations; difficulties during the installation of catch basins, chute connections, hydrant branch, and house sewer and water connections under or over gas facilities; loss of productivity due to slower rate of excavation (special care) during excavation, including the use of such methods as: hand excavation around existing single and multiple facilities, extra excavation and backfilling due to lost trench because of existing and adjacent gas facilities, compaction, removal of

sheeting from the facilities, extra roadway base restoration and temporary pavement, associated maintenance and protection of traffic, barricades, and traffic plates that may be required to temporarily close and/or complete the work.

SECTION 6.07 - Test Pits For Gas Facilities.

1. Description:

Under this section, the Contractor shall furnish all labor, materials, insurance, equipment and appliances necessary to excavate, sheet and, maintain test pits at locations approved by the Resident Engineer in consultation with the facility operator. Test pits shall be dug in order to ascertain exact locations, cover and invert elevations, clearances, alignment and operating status (live or dead) of existing gas facilities. The Contractor shall inspect jointly with the Resident Engineer and facility operator, gas facilities and other structures uncovered, take all relevant measurements and elevations as directed by the Resident Engineer. Tests to determine operating status of gas facilities shall be performed by facility operator. The pits shall be covered with steel plates during daytime nonworking hours, and uncovered, as required, until the inspection work is completed. Testing of gas facilities may require a maximum of four (4) hours. Then, the pits shall be backfilled with clean fill, and resurfaced with temporary pavement. All traffic shall be maintained and all safety measures as stipulated shall be complied with.

2. Methods Of Construction:

- A. Excavation: Existing pavement to be removed shall be neatly cut along lines of removal with a saw or other approved equipment which leaves a neat straight joint line along the juncture with subsequently replaced pavement. Excavation in the vicinity of utilities and other structures shall be performed using hand tools. Use of hand operated pneumatic and electric jackhammers will be permitted only for breaking pavement and removal of masonry, concrete and boulders, or as otherwise directed by the Resident Engineer. The Contractor shall properly dispose of all materials excavated from test pits away from site. Test pits shall be excavated at locations shown on the contract drawings or as directed by the Resident Engineer. Additional test pits may be required and shall be excavated where required, as ordered by the Resident Engineer. All test pits shall be excavated to a depth and size necessary to locate the existing facilities. Sheeting shall be used when depth of excavation exceeds five (5) feet. The sheeting required shall be furnished and installed in full compliance with the State of New York and Federal Safety Codes requirements and as specified in contract, whichever is more stringent. Care shall be taken that no existing gas facilities or other structures are broken or damaged. All broken or damaged facilities shall be reported immediately to facility operator who shall decide whether such facilities shall be repaired or replaced by company forces or by City contractor and in conformance with "General Provisions; Gas Cost Sharing Work Paragraph No. 9". Contractor shall excavate all material encountered, including large masses of concrete, cemented masonry and boulders, as directed by the Resident Engineer. Any type of excavation protection used, shall satisfy the following:
 - (a) Industrial Code Rule 753.
 - (b) Prevent injury to workers and the public, and avoid damage to existing water, sewer, and gas pipes or other structures, and to pavements and their foundations, through caving or sliding of the banks of the excavation.

Should it become necessary, as determined by the Resident Engineer, to enlarge any test pit in any dimension after sheeting has been placed, the Contractor shall remove portions of the sheeting, as necessary, enlarge the test pits as directed, and replace the sheeting without additional compensation for this work other than for the additional volume of material excavated.

B. Maintenance Of Test Pits: Excavated test pits shall be maintained free of debris and kept dry by the Contractor in order to permit the inspection and measurements and to determine the locations of facilities. In order to accomplish this, Contractor shall, upon completion of excavation and placement of sheeting (if depth greater than five (5) feet), furnish and install adequate steel plates and posting

over the excavated pits and shall temporarily remove all equipment debris and workers, and relocate barricades in order to open the full width of street to traffic during nonworking hours. The Contractor shall then, at no additional cost, relocate such barricades, barrels, cones and other warning devices and remove steel plates, as and when directed by the Resident Engineer to facilitate the inspection of exposed facilities. When work is being performed and the pits are not covered with steel plates, the Contractor shall provide complete and safe access to the test pits as may be required, and he shall provide construction barricades and maintain traffic at all times as shown or as directed by the Resident Engineer. Upon completion of test pit inspection by the Resident Engineer, the pit shall be backfilled by the Contractor as specified in contract, except that backfill material shall conform to contract specifications for such purpose.

C. Pavement And Sidewalk Restoration: After backfilling is completed, the Contractor shall construct a temporary pavement consisting of a minimum of four (4) inches thick asphaltic concrete mixture in roadway areas or a two (2) inches thick asphaltic concrete mixture in sidewalk areas in order to maintain existing pedestrian and vehicular traffic. This temporary pavement shall be maintained until permanent pavement and sidewalk replacement is constructed as specified in contract.

3. Measurements:

The quantity to be measured for payment shall be the number of cubic yards of material removed from within the limits of the pit dimensions as directed by the Resident Engineer. The volume occupied by existing pipes or other structures remaining within the maximum payment lines will not be deducted from the total volume measured except, where the cross sectional area of these facilities exceeds four (4) square feet. As determined by the Resident Engineer, the quantity measured for payment may be proportionate to a fair and reasonable estimate of gas responsibility in the total volume excavated.

4. Price To Cover:

The contract price bid per cubic yard for test pits shall cover all additional costs of labor, material, insurance, equipment, appliances and incidentals required to excavate test pits, including removal and disposal of excavated materials, sheeting, steel plating, backfill, compaction and temporary pavement and sidewalk restoration all in accordance with the specifications and as directed by the Resident Engineer. The price shall also include the cost of providing safe access to the excavation by facility operator for the performance of certain test to determine operating status of gas facilities prior to City work. The price shall also include support and protection of all gas facilities crossing excavation, paralleling and/or encroaching any face of excavation.

SECTION 6.08 - "NO TEXT"

SECTION 6.09 - Trench Excavation and Backfill for New Gas Mains and Services (For National Grid Work Only)

1. Description:

Under this section, the contractor shall furnish all labor, materials, equipment, insurance, permits and incidentals required to break/remove roadway and sidewalk pavement, excavate, backfill and restore gas trenches. The trench to be excavated shall be determined by the size of the gas facility to be installed. The work shall be performed in accordance with applicable specifications, and/or at the direction of the Resident Engineer in consultation with the facility operator.

2. Materials:

All materials used to excavate and prepare trenches shall be supplied by the Contractor and be approved by the facility operator in consultation with the Resident Engineer.

3. Method of Construction:

Excavation - The Contractor shall saw cut and/or break and remove existing roadway which may include but is not limited to, asphalt, concrete and cobblestone, utilizing approved equipment that leaves a neat straight joint line along the juncture with subsequently replaced pavement. Prior to starting the trenching operation, the contractor shall excavate the appropriate gas main tie-in pits at the extremities of the gas main sections to be replaced. Test pits shall be excavated to determine exact location of all tie-in pits and at appropriate intervals along proposed trench excavation to verify lane and clearances as shown on the contract plans. The tie-in pits shall be adequately protected by the contractor using wood fencing or steel traffic plates until such time when the facility operator has completed the tie-in work. The Contractor shall be permitted to excavate utilizing a combination of machine and hand excavation, as field conditions warrant, and as directed by the facility operator. The trench shall be adjusted so as to provide for a nominal cover on the new gas facilities or as required based on field conditions, applicable specifications, or as directed by the facility operator in consultation with the Resident Engineer. The width of the trench shall be as directed by the facility operator in consultation of the Resident Engineer. The bottom of the trench shall be graded smooth with a minimum cushion of 3 inches of clean sand and in conformance with applicable specification and be compacted, to minimize initial settlement and to avoid "point" support of new gas facilities. All stones projecting into the trench bottom shall be removed, and the voids backfilled before the new gas facilities are installed. Where streets are not to final grade, the cover shall be measured from the final grade, or the existing grade, whichever provides the deeper trench. Excavation in the vicinity of utilities and other structures shall be performed using hand tools. The contractor shall properly dispose of all materials excavated away from site. Size and location of excavation shall be as directed by the facility operator in consultation with the Resident Engineer. Trenches shall be excavated to a depth and size necessary to facilitate the installation of the new gas facility and in conformance with the applicable specification. All existing facilities that are encountered during trench excavating shall be protected in a manner suitable to the facility operator in consultation with the Resident Engineer. Tight sheeting shall be used, as required, based on field conditions and/or when the depth of excavation is equal to or greater than five feet. Skeleton type sheeting will not be permitted. The sheeting required shall be furnished and installed in full compliance with the State of New York and Federal Safety Code requirements and in compliance with applicable specifications and/or as directed by the facility operator in consultation with the Resident Engineer. Care shall be taken that no existing gas facilities or other structures are broken or damaged. Contractor shall excavate all material encountered necessary to facilitate the installation of the new gas facilities, and as directed by the facility operator. Care should be taken to avoid damage to existing utility facilities and structures, and to pavements and their foundations, and to avoid caving or sliding banks within the excavation.

Maintenance of Trench Excavation - Excavated trenches shall be maintained free of debris and kept dry by the contractor. In order to accomplish this, contractor shall, upon completion of excavation and placement of sheeting (as required and/or if depth is equal to or greater than five feet), furnish and install adequate steel plates, as directed by the facility operator in consultation with the Resident Engineer, and posting over the excavated trenches and shall temporarily remove all equipment debris and workers, and relocate barricades in order to open the full width of street to traffic during non-working hours, as required based on DOT requirements. National Grid forces will perform all live gas main connections, dead gas main cut-outs, and/or service work associated with disconnecting and reconnecting from old to new gas main The Contractor shall then, at no additional cost, relocate such barricades barrels, cones and other warning devices and remove steel plates, as and when directed by the facility operator in consultation with the Resident Engineer to facilitate the installation of the new gas facilities. When work is being performed and the excavations are not covered with steel plates, the Contractor shall provide complete and safe access to the trench as may be required, and shall provide construction barricades and maintain traffic at all times as shown or as directed by the facility operator in consultation with the Resident Engineer. The contractor has the responsibility to maintain and set to grade all National Grid hardware during backfill and payement restoration. Upon completion of installation of the new gas facility, the trench excavation shall be backfilled by the contractor in accordance with Contract requirements and all backfill material shall conform to contract specifications for such purpose.

Pavement and Sidewalk Restoration - After backfilling is completed, the contractor shall install temporary

pavement consisting of six inches (6") thick asphaltic concrete mixture in roadway areas or a two inches (2") thick asphaltic concrete mixture in sidewalk areas in order to maintain existing pedestrian and vehicular traffic. This temporary pavement shall be maintained until permanent replacement as specified in contract. Permanent pavement restoration shall be as required by the appropriate contract specifications and as directed by the Resident Engineer.

4. Method of Measurement:

The quantity to be measured for payment shall be the number of cubic yards (C.Y.) of trench actually excavated, including roadway pavement, base and/or sidewalk concrete removed within the limits of the trench as directed by the Resident Engineer in consultation with the facility operator. The volume occupied by existing pipes or other structures will be deducted from the total volume measured as shown on contract drawing(s) Title: EP-7 SECT. 6.09 GAS SPECIALTY CONTRACTOR WORK, or as encountered based on existing field conditions.

5. Price to Cover:

The unit price bid per cubic yard for excavation shall include the cost of all supervision, labor, material, equipment, insurance and incidentals necessary to complete excavation trenches, including backfill, compaction testing and restoration of trenches and tie-ins pits as specified or shown on the contract, plans. The bid price shall also include the cost of coordinating the sewer and water main work to be performed by the contractor with the gas installation work to be performed by others. The price shall also include, associated maintenance of traffic, and traffic plates and openings and closings of plates as may be required in order to provide access to the facility operator during the new gas facility installation, and installing, removing and maintaining tight sheeting that may be required, cut, break and remove various thickness of surface and base pavement, excavate by hand, furnish, place and compact, in compliance with DOT requirements, clean sand backfill following installation of the gas facility. Any required removing, trucking, storing, and disposing of material shall be deemed included in the unit price. The price shall also include the cost of providing temporary pavement restoration. Permanent pavement restoration shall be deemed included in this item, as required and as directed by the Resident Engineer.

SECTION 6.09a Trench Excavation and Backfill for New Gas Mains and Services (For Con Edison Work Only)

1. Description:

Under this section, the contractor shall furnish all labor, materials, equipment, insurance, permits and incidentals required to break/remove roadway and sidewalk pavement, excavate, backfill and restore gas trenches. The trench to be excavated shall be determined by the size of the gas facility to be installed. The work shall be performed in accordance with applicable specifications, and/or at the direction of the Resident Engineer in consultation with the facility operator.

2. Materials:

All materials used to excavate and prepare trenches shall be supplied by the Contractor and be approved by the facility operator in consultation with the Resident Engineer. Clean sand backfill material shall be used and shall conform to Con Edison specification EO-1181-rev.6, General Specification for Backfilling of Trench and Small Openings.

3. Method of Construction:

Excavation – The Contractor shall saw cut and/or break and remove existing roadway which may include but is not limited to, asphalt, concrete and cobblestone, utilizing approved equipment that leaves a neat straight joint line along the juncture with subsequently replaced pavement. Prior to starting the trenching operation, the contractor shall excavate the appropriate gas main tie-in pits at the extremities of the gas main sections to be replaced. Test pits shall be excavated to determine exact location of all tie-in pits and at appropriate intervals along proposed trench excavation to verify lane and clearances as shown on the

contract plans. The tie-in pits shall be adequately protected by the contractor using wood fencing or steel traffic plates until such time when the facility operator has completed the tie-in work. The Contractor shall be permitted to excavate utilizing a combination of machine and hand excavation, as field conditions warrant, and as directed by the facility operator. The trench shall be adjusted so as to provide for a nominal cover on the new gas facilities or as required based on field conditions, applicable specifications. or as directed by the facility operator in consultation with the Resident Engineer. The width of the trench shall be as directed by the facility operator in consultation of the Resident Engineer. The width and depth of the trench shall conform to Con Edison Gas Operations drawing 309495 rev. 4, Trench Excavation for Gas Mains Up to 350 PSIG, or as directed by the facility operator in consultation of the Resident Engineer. The bottom of the trench shall be graded smooth with a minimum cushion of 3 inches of clean sand and in conformance with applicable specification and be compacted, to minimize initial settlement and to avoid "point" support of new gas facilities. All stones projecting into the trench bottom shall be removed, and the voids backfilled before the new gas facilities are installed. Where streets are not to final grade, the cover shall be measured from the final grade, or the existing grade, whichever provides the deeper trench. Excavation in the vicinity of utilities and other structures shall be performed using hand tools. The contractor shall properly dispose of all materials excavated away from site. Size and location of excavation shall be as directed by the facility operator in consultation with the Resident Engineer. Trenches shall be excavated to a depth and size necessary to facilitate the installation of the new gas facility and in conformance with the applicable specification. All existing facilities that are encountered during trench excavating shall be protected in a manner suitable to the facility operator in consultation with the Resident Engineer. Tight sheeting shall be used, as required, based on field conditions and/or when the depth of excavation is equal to or greater than five feet. Skeleton type sheeting will not be permitted. The sheeting required shall be furnished and installed in full compliance with the State of New York and Federal Safety Code requirements and in compliance with applicable specifications and/or as directed by the facility operator in consultation with the Resident Engineer. Care shall be taken that no existing gas facilities or other structures are broken or damaged. Contractor shall excavate all material encountered necessary to facilitate the installation of the new gas facilities, and as directed by the facility operator. Care should be taken to avoid damage to existing utility facilities and structures, and to pavements and their foundations. and to avoid caving or sliding banks within the excavation.

Maintenance of Trench Excavation - Excavated trenches shall be maintained free of debris and kept dry by the contractor. In order to accomplish this, contractor shall, upon completion of excavation and placement of sheeting (as required and/or if depth is equal to or greater than five feet), furnish and install adequate steel plates, as directed by the facility operator in consultation with the Resident Engineer, and posting over the excavated trenches and shall temporarily remove all equipment debris and workers, and relocate barricades in order to open the full width of street to traffic during non-working hours, as required based on DOT requirements. Con Edison forces will perform all live gas main connections, dead gas main cut-outs, and/or service work associated with disconnecting and reconnecting from old to new gas main The Contractor shall then, at no additional cost, relocate such barricades barrels, cones and other warning devices and remove steel plates, as and when directed by the facility operator in consultation with the Resident Engineer to facilitate the installation of the new gas facilities. When work is being performed and the excavations are not covered with steel plates, the Contractor shall provide complete and safe access to the trench as may be required, and shall provide construction barricades and maintain traffic at all times as shown or as directed by the facility operator in consultation with the Resident Engineer. The contractor has the responsibility to maintain and set to grade all Con Edison hardware during backfill and pavement restoration. Upon completion of installation of the new gas facility, the trench excavation shall be backfilled by the contractor in accordance with Contract requirements and all backfill material shall conform to contract specifications for such purpose.

Pavement and Sidewalk Restoration - After backfilling is completed, the contractor shall install temporary pavement consisting of six inches (6") thick asphaltic concrete mixture in roadway areas or a two inches (2") thick asphaltic concrete mixture in sidewalk areas in order to maintain existing pedestrian and vehicular traffic. This temporary pavement shall be maintained until permanent replacement as specified in contract. Permanent pavement restoration shall be as required by the appropriate contract specifications and as directed by the Resident Engineer.

4. Method of Measurement:

The quantity to be measured for payment shall be the number of cubic yards (C.Y.) of trench actually excavated, including roadway pavement, base and/or sidewalk concrete removed within the limits of the trench as directed by the Resident Engineer in consultation with the facility operator. The volume occupied by existing pipes or other structures will be deducted from the total volume measured as shown on contract drawing(s) Title: EP-7 SECT. 6.09 GAS SPECIALTY CONTRACTOR WORK, or as encountered based on existing field conditions.

5. Price to Cover:

The unit price bid per cubic yard for excavation shall include the cost of all supervision, labor, material, equipment, insurance and incidentals necessary to complete excavation trenches, including backfill, compaction testing and restoration of trenches and tie-ins pits as specified or shown on the contract, plans. The bid price shall also include the cost of coordinating the sewer and water main work to be performed by the contractor with the gas installation work to be performed by others. The price shall also include, associated maintenance of traffic, and traffic plates and openings and closings of plates as may be required in order to provide access to the facility operator during the new gas facility installation, and installing, removing and maintaining tight sheeting that may be required, cut, break and remove various thickness of surface and base pavement, excavate by hand, furnish, place and compact, in compliance with DOT requirements, clean sand backfill following installation of the gas facility. Any required removing, trucking, storing, and disposing of material shall be deemed included in the unit price. The price shall also include the cost of providing temporary pavement restoration. Permanent pavement restoration shall be deemed included in this item, as required and as directed by the Resident Engineer.

GAS COST SHARING STANDARD SPECIFICATIONS SCHEDULE GCS-A

Average rate charged by utility companies to Disconnect and Reconnect Gas Services:

1. National Grid

- \$586.90 per Service/and Visit

2. Con Edison

- \$524.00 per Service/and Visit

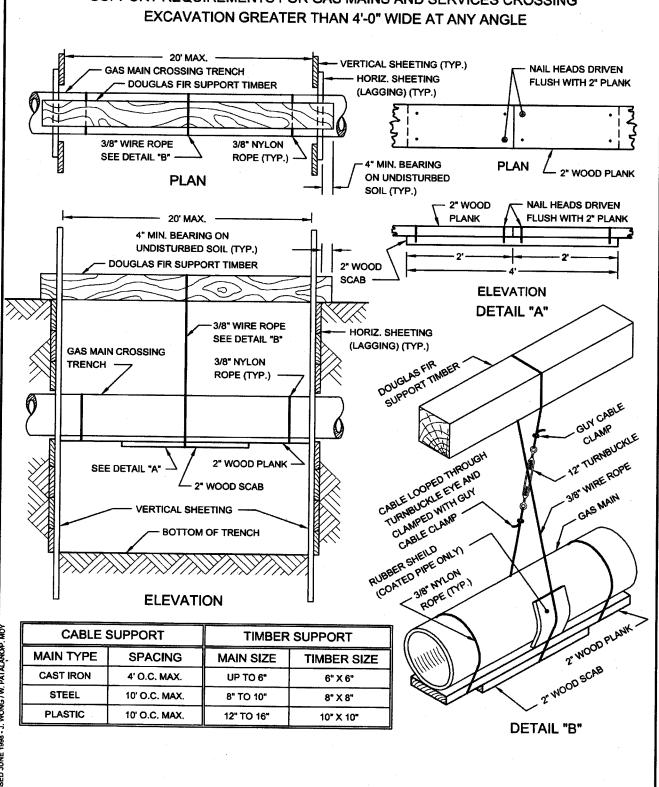
Project ID: BED809

IV - STANDARD SKETCHES; GAS COST SHARING WORK

Hereinafter attached are the following Standard Sketches for Gas Cost Sharing Work:

- Sketch No. 1 Support Requirements For Gas Mains And Services Crossing Excavation Greater Than 4' 0" Wide At Any Angle
- Sketch No. 1A Support Requirements For Gas Mains Over 16" Diameter Up To And Including 48" Diameter Crossing Excavation At Any Angle
- Sketch No. 2 Typical Methods Of Measurement For Gas Crossings
- Sketch No. 3 Utility Crossings During Catch Basin Chute Connection Pipe Installation
- Sketch No. 4 Utility Crossings During Catch Basin Chute Connection Pipe Installation (Extra Depth)
- Sketch No. 5 Gas Main Encroachment On And/Or Parallel To Excavation Of Unsheeted Trench

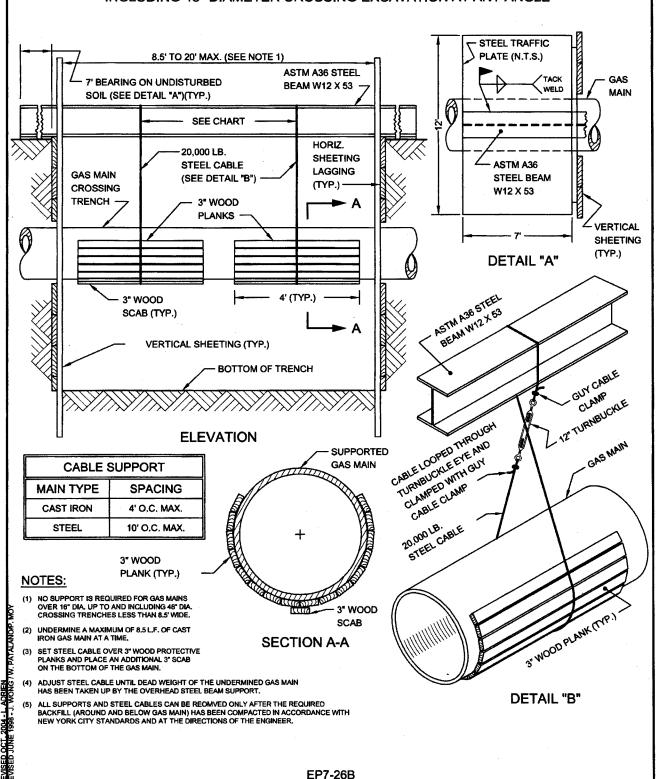
GAS COST SHARING WORK (SKETCH NO. 1) SUPPORT REQUIREMENTS FOR GAS MAINS AND SERVICES CROSSING EXCAVATION GREATER THAN 4'-0" WIDE AT ANY ANGLE



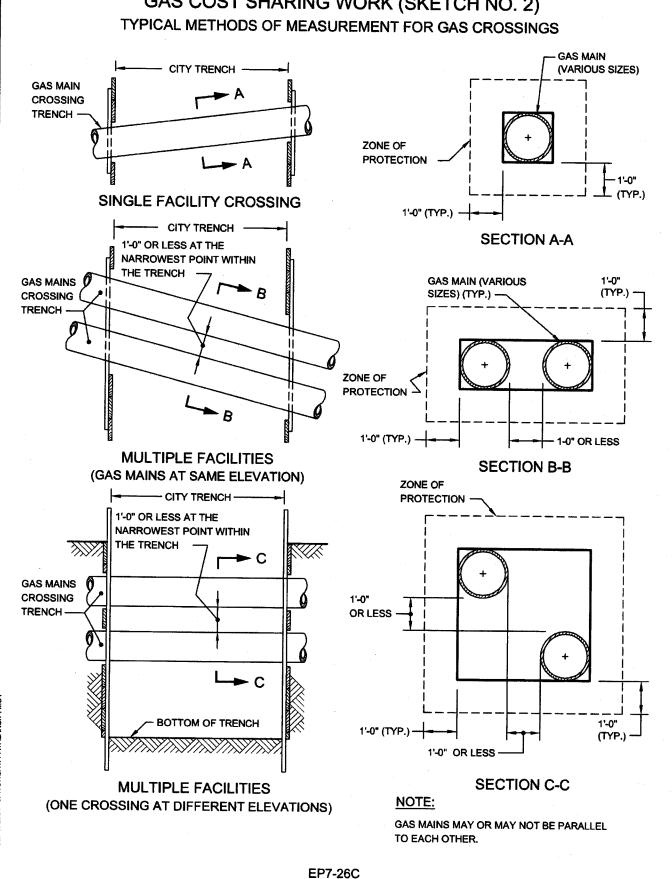
EP7-26A

GAS COST SHARING WORK (SKETCH NO. 1A)

SUPPORT REQUIREMENTS FOR GAS MAINS OVER 16" DIAMETER UP TO AND INCLUDING 48" DIAMETER CROSSING EXCAVATION AT ANY ANGLE

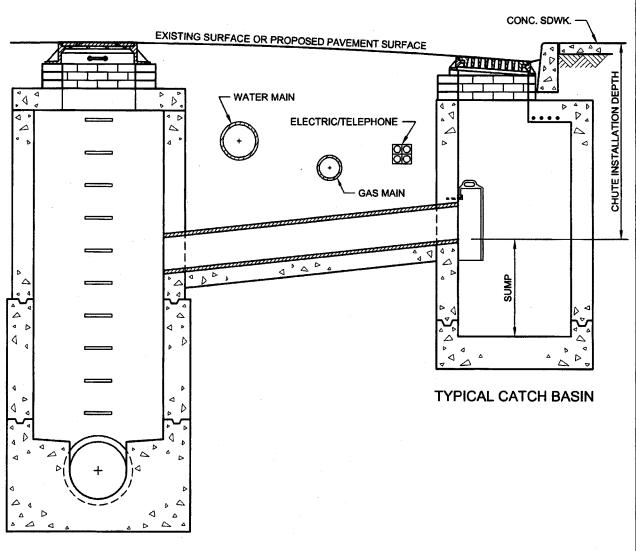


GAS COST SHARING WORK (SKETCH NO. 2)



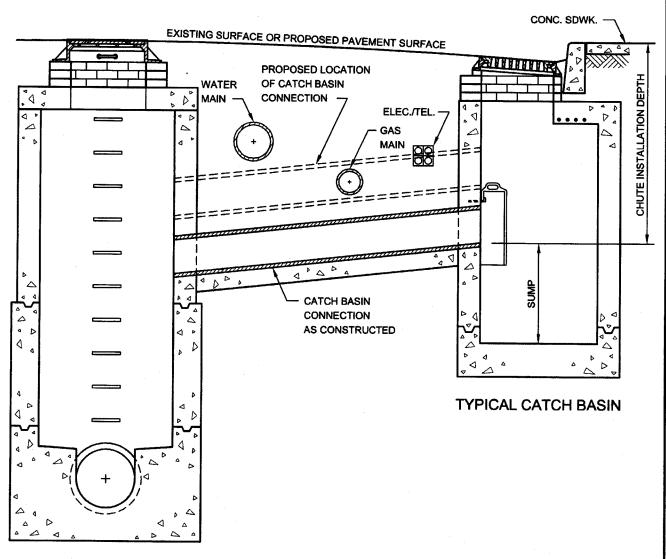
GAS COST SHARING WORK (SKETCH NO. 3)

UTILITY CROSSINGS DURING CATCH BASIN CHUTE CONNECTION PIPE INSTALLATION



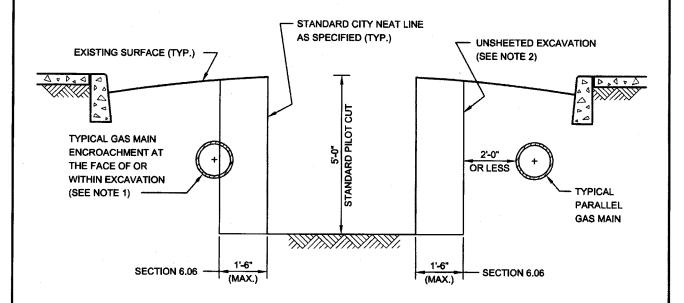
TYPICAL SEWER MANHOLE

GAS COST SHARING WORK (SKETCH NO. 4) UTILITY CROSSINGS DURING CATCH BASIN CHUTE CONNECTION PIPE INSTALLATION (EXTRA DEPTH)



TYPICAL SEWER MANHOLE

GAS COST SHARING WORK (SKETCH NO. 5) GAS MAIN ENCROACHMENT ON AND/OR PARALLEL TO EXCAVATION OF UNSHEETED TRENCH



NOTES:

- (1) GAS MAIN LOCATED AS SHOWN MAY HAVE TO BE REMOVED BY THE FACILITY OPERATOR PRIOR TO THE START OF CITY EXCAVATION, OTHERWISE, THE CONTRACTOR WILL BE PAID UNDER SECTION 6.06 FOR THE SAID WORK. IF GAS MAIN IS ABANDONED THEN SECTION 6.03 SHALL APPLY.
- (2) EIGHTEEN (18) INCHES FROM STANDARD NEAT LINE IS THE MAXIMUM ALLOWABLE WIDTH OF AREA THAT MAY BE DISTURBED OR EXCAVATED DURING INSTALLATION OF CERTAIN TYPES OF SHEETING SYSTEMS THAT MEET THE REQUIREMENTS OF THE STANDARD SPECIFICATIONS OF THE DEPARTMENT OF DESIGN AND CONSTRUCTION OF THE CITY OF NEW YORK.

<u>V - PRELIMINARY GAS WORK TO BE PERFORMED BY</u> <u>FACILITY OPERATOR.</u>

APPLICABLE TO ALL GAS DRAWINGS:

- ALL RELOCATION WORK SHOWN IN THIS SECTION IS TO BE PERFORMED BY FACILITY OPERATOR.
- ALL SUPPORT AND PROTECTION WORK TO BE PERFORMED BY CITY CONTRACTOR
- IF ADDITIONAL INFORMATION IS NEEDED REGARDING THE FACILITY OPERATOR'S RELOCATION WORK, THE CONTRACTOR IS ADVISED TO CONTACT THE GAS COMPANY REPRESENTATIVE:

Neville Jacobs Jr. NationalGrid Energy Delivery 287 Maspeth Avenue Brooklyn, NY 11211 718-963-5612

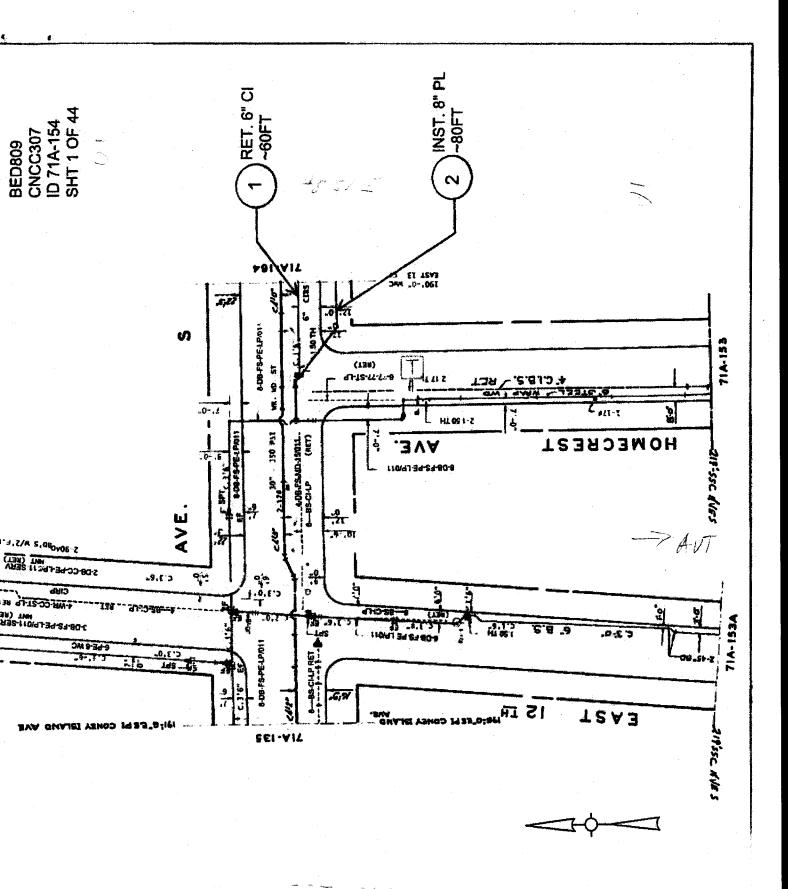
(NO TEXT IN THIS AREA, TURN PAGE)

national grid			BED 809 GAS MAIN INSTALLATION					
SHEET#	ITEM#	ON STREET	SIZE	MATL	FOOTAGE	PRESSURE	REIME	
1	2	AVENUE S	8"	PL	80	LP	YES	
2	5	AVENUE S	8'	PL	540	ŁΡ	YES	
3	6	AVENUES	8"	PL	480	LP	YES	
4	11	AVENUE S	8*	PL	310	LP	YES	
5	15	AVENUE S	8"	PL	380	ĹP	YES	
6	1.8	AVENUE S	8"	PL	300	LP	YES	
7	20	AVENUE S	8"	PL	110	LP	YES	
8	22	AVENUE S	8*	PL	115	LP	YES	
9	24	AVENUE S	8"	PL	640	LP	YES	
10	26	AVENUE T	8"	PL	490	ĹP	YES	
11	31	AVENUE T	8"	PL	400	LP	YES	
12	35	AVENUE T	8"	PL	660	LP	YE5	
13	36	AVENUE T	8"	PL	90	LP .	YES	
14	38	AVENUE T	8"	PL	100	LP	YES	
15	40	AVENUE T	12"	PL	380	LP	YES	
15	41	AVENUE T	8"	PL	300	LP	YES	
16	48	AVENUE U	8"	PL	255	LP	YES	
17	50	OCEAN AVE	8"	PL	550	LP	YES	
18	52	AVENUE U	1.2*	PL	225	LP	YES	
19	56	CONEY ISLAND AVE	8"	PL	720	LP	YES	
20	61	CONEY ISLAND AVE	12*	PL	1025	LP	YES	
21	64	AVENUE U	8"	PL	530	LP	YES	
22	67	AVENUE U	8"	PL	520	LP	YES	
23	71	AVENUE U	8"	PL	670	LP	YES	
24	72	AVENUE U	8"	PL	150	LP	YES	
25	74	AVENUE U	8*	PL	100	LP	YES	
26	76	AVENUE U	8"	PL	85	LP	YES	
27	78	AVENUE V	8"	PL	120	LP	YES	
28	83	AVENUE V	8"	PL	440	LP	YES	
29	85	EAST 13TH ST	8"	PL	600	LP	YES	
30	88	EAST 13TH/ST	8"	PL	60	LP	YES	
31	89	AVENUE V	8"	PL	100	LP	YES	
32	92	AVENUE V	8"	PL	275	LP	YES	
33	94	AVENUE V	8*	PL	15	LP	YES	
34	97	EAST 18TH ST	6"	PL	200	HP	YES	

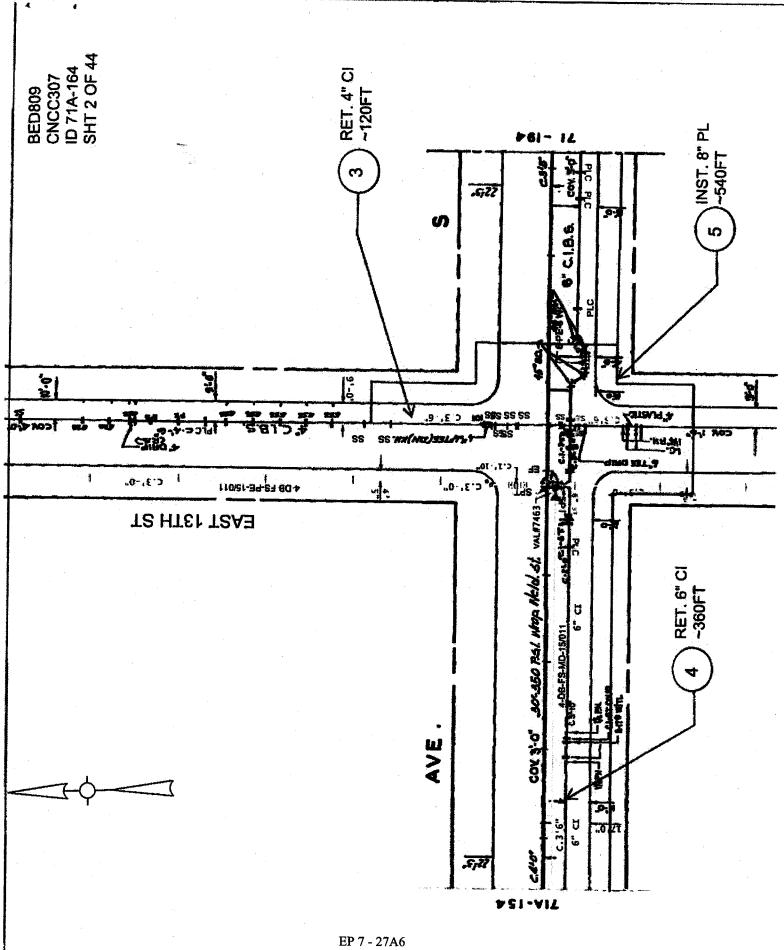
national grid		BED 809 GAS MAIN INSTALLATION					
34	99	EAST 18TH ST	8"	PL	775	LP.	YES
35	102	AVENUE V	8"	PL	510	LP	YES
36	107	EAST 19TH ST	8"	PL	520	LP	YES
36	108	EAST 19TH ST	6"	PL	130	HP	YES
37	112	AVENUE V	8°	PL	520	LP	YES
38	114	OCEAN AVE	8"	PL	610	LP	YES
39	115	AVENUE U	8"	PL	250	LP .	YES
40	119	AVENUE T	8"	PL	120	LP	YES
41	121	AVENUE T	8"	PL	135	LΡ	YES
42	122	GRAVESEND NECK RD	8"	PL	240	LP	YES
43	126	GRAVESEND NECK RD	8"	PL	220	LP	YES
44	128	EAST 16TH ST	8"	PL	450	LP	YES

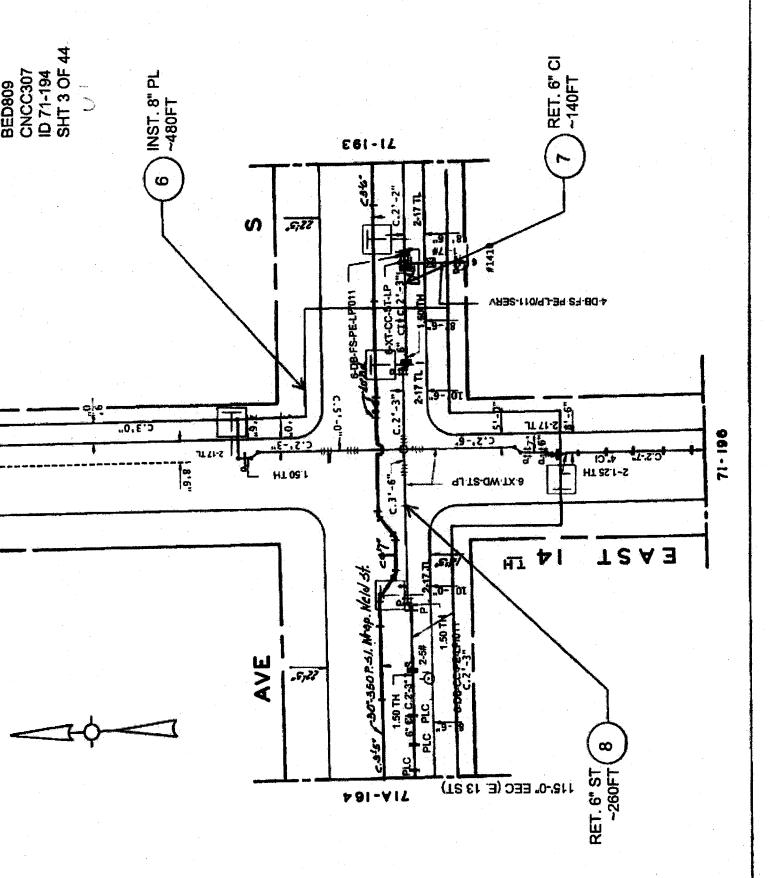
national grid BED 809 GAS MAIN RETIREMENT							
SHEET#	ITEM#	ON STREET	SIZE	MATL	FOOTAGE	PRESSURE	REIMB
1	1	AVENUE S	6"	CI	60	LP	YES
2	3	AVENUE S	4'	a	120	LP	YES
2	4	AVENUE S	6"	a	360	LP	YES
3	7	AVENUE S	6*	a	140	LP	YES
3	8	AVENUE S	6"	ST	260	LP	YES
4	9	AVENUE S	6"	ĊI	140	LP	YES
4	10	AVENUE S	6"	ST	115	LP	YES
5	12	AVENUE S	6*	а	80	LP	YES
5	13	AVĒNUE S	8"	a	40	LP	YES
5	14	AVENUE S	8"	ST	155	LP	YES
6	16	AVENUE 5	8"	CI	160	LP	YES
6	17	AVENUE S	8"	ST	140	LP	YES
7	19	AVENUE S	8"	ci	110	LP	YES
8	21	AVENUE 5	8"	Ci Ci	115	LP	YES
9	24	AVENUE S	8"	ä	330	LP	YES
9	25	AVENUE S	16"	ū	130	LP	YES
10	27	AVENUE T	6"	a	180	LP	YES
10	28	AVENUE T	4"	Ci	120	LP	YES
11	29	AVENUE T	6*	a	185	LP.	YES
11	30	AVENUE T	4"	a	100	LP	YES
11	32	AVENUE T	6"	ST	80	LP	YES
12	33	AVENUE T	6"	ci	260	LP	YES
12	34	AVENUE T	4"	CI	290	LP	YES
13	37	AVENUE T	6"	Ci	90	LP	YES
14	38	AVENUE T	6"	Ci	100	LP	YES
15	42	AVENUE T	6"	Ci	340	LP	YES
15	43	AVENUE T	12"	PL	60	LP	YES
15	44	AVENUE T	16"	cı	120	LP	YES
15	45	AVENUE T	12"	a	30	LP	YES
16	46	AVENUE U	12"	PL	50	LP	YES
16	47	AVENUE U	6*	a	215	LP	YES
17	49	OCEAN AVE	6"	С	525	LP	YES
17	51	OCEAN AVE	6"	PL	25	LP	YES
18	53	AVENUE U	12"	CI	210	LΡ	YES
19	54	CONEY ISLAND AVE	12"	CI	555	LP	YES
19	55	CONEY ISLAND AVE	6"	PL	25	LP	YES
19	57	CONEY ISLAND AVE	12"	ST	75	LP	YE5
20	58	CONEY ISLAND AVE	10"	CI	125	LP	YES
20	59	CONEY ISLAND AVE	8"	PL	250	LP	YES
20	60	CONEY ISLAND AVE	12"	CI	610	LP	YES
21	62	AVENUE U	6"	ST	50	LP	YES
21	63	AVENUE U	6"	CI	350	LP	YES
22	65	AVENUE U	6"	CI	160	LP	YES
22	66	AVENUE U	6"	PL	220	LP	YES
23	68	AVENUE U	6"	PL	435	LP	YES
23	69	AVENUE U	6"	a	85	1.P	YES
23	70	AVENUE U	8"	PL	135	LP	YE5

	idul	nal grid	BED 809 GAS MAIN RETIREMENT					
24	73	AVENUE U	6"	[a	160	I LP	YES	
25	75	AVENUE U	6"	CI	90	LP	YES	
26	77	AVENUE U	5"	CI	77	LP	YES	
27	79	AVENUE V	4"	PL	20	LP	YES	
27	80	AVENUE V	6™	ST	60	LP	YES	
28	81	AVENUE V	8"	PL	235	LP	YES	
28	82	AVENUE V	6"	CI	40	LP	YES	
29	84	EAST 13TH ST	6"	a	600	LP	YES	
30	86	EAST 13TH ST	4"	a	15	LP	YES	
30	87	EAST 13TH ST	6"	ST	30	LP	YES	
31	90	AVENUE V	6"	а	75	LP	YES	
31	91	AVENUE V	6"	ST	35	LP	YES	
32	93	AVENUE V	6"	CI	220	LP	YES	
33	95	AVENUE V	6"	CI	15	LP .	YES	
34	96	EAST 18TH ST	6"	PL	105	LP	YES	
34	98	EAST 18TH ST	6"	Ci	450	LP	YES	
34	100	EAST 18TH ST	6"	ST	160	LP	YES	
34	101	EAST 18TH ST	6"	PL	150	HP	YES	
35	103	AVENUÉ V	8"	PL	45	LP	YES	
35	104	AVENUE V	6*	ST	360	LP	YES	
36	105	EAST 19TH ST	6"	ST	275	LP	YES	
36	106	EAST 19TH ST	4"	CI	170	LP	YES	
36	109	EAST 19TH ST	6"	PL	170	HP	YES	
37	110	AVENUE V	6"	ST	150	LP	YES	
37	111	AVENUE V	6*	CI	290	LP	YES	
38	113	OCEAN AVE	6"	CI	410	LP	YES	
39	116	AVENUE U	6*	CI	230	LP	YES	
40	117	AVENUE T	6"	ST	15	LP	YES	
40	118	AVENUE T	6"	Cl	120	LP	YES	
41	120	AVENUE T	4*	Cl	120	LP	YES	
42	122	GRAVESEND NECK RD	6"	a	240	LP	YES	
43	124	GRAVESEND NECK RD	6"	ST	140	LP	YES	
43	125	GRAVESEND NECK RD	8"	PL	60	LP	YES	
44	127	EAST 16TH ST	6"	CI	440	LΡ	YES	

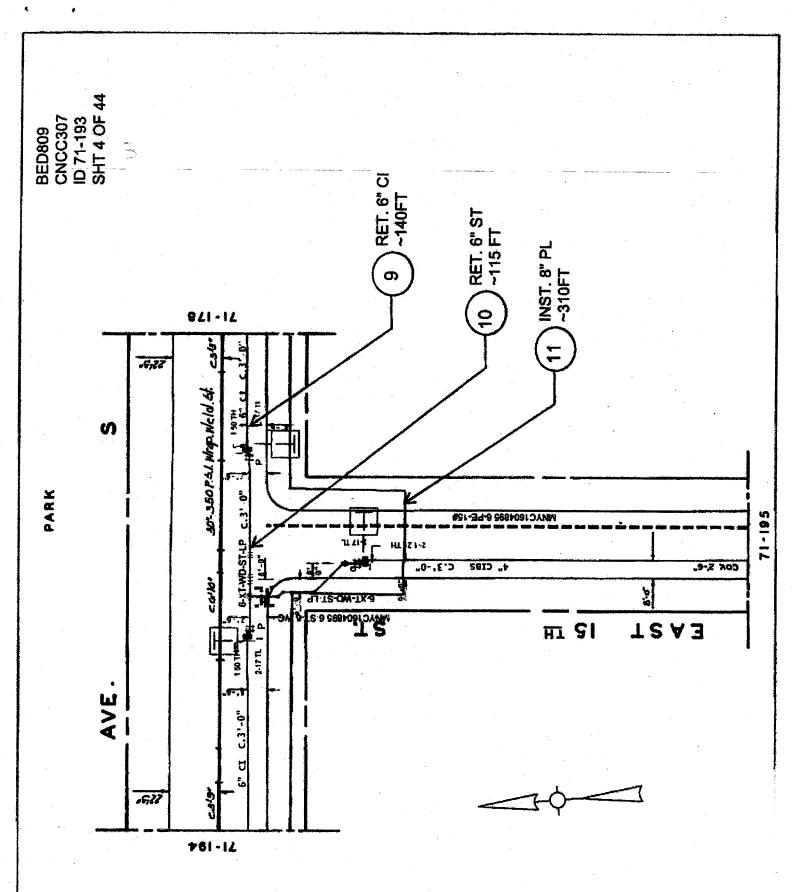


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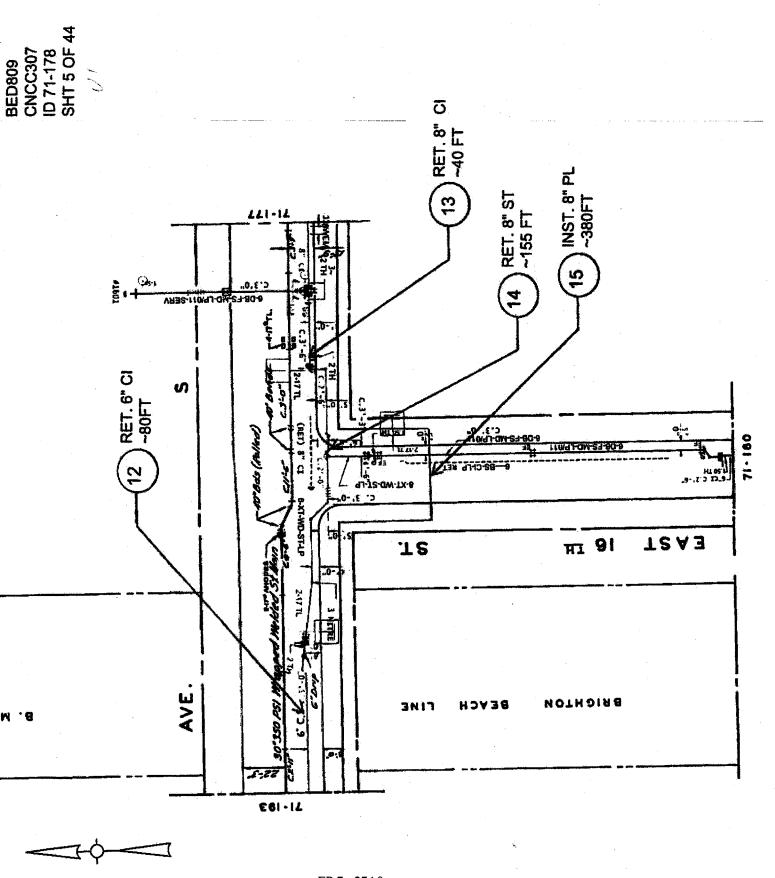




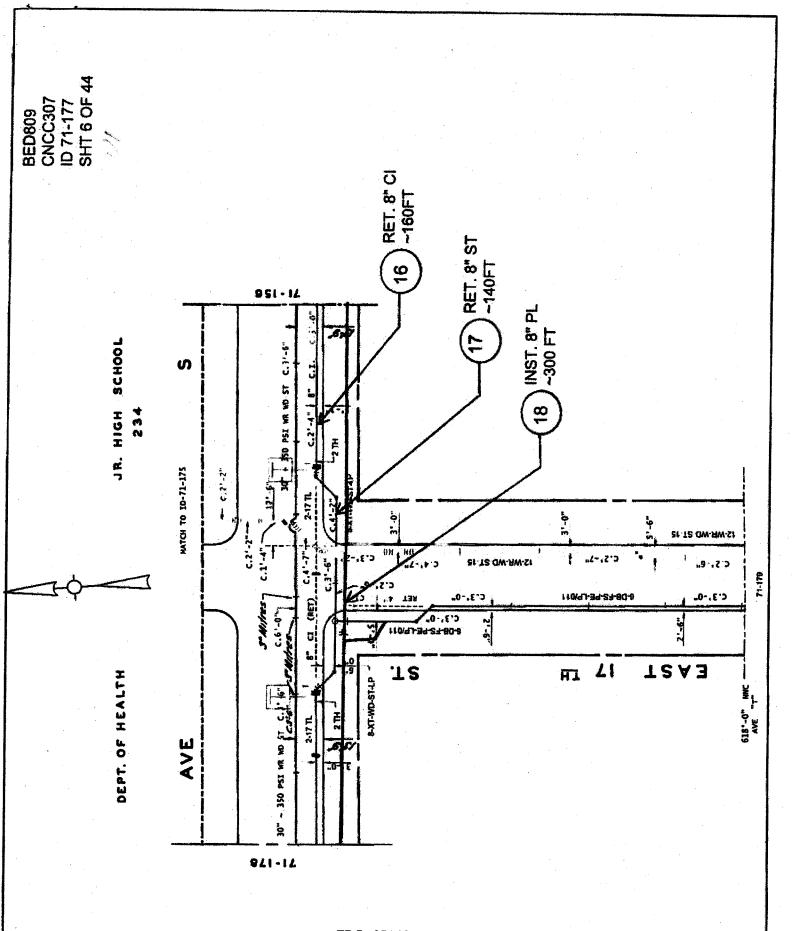
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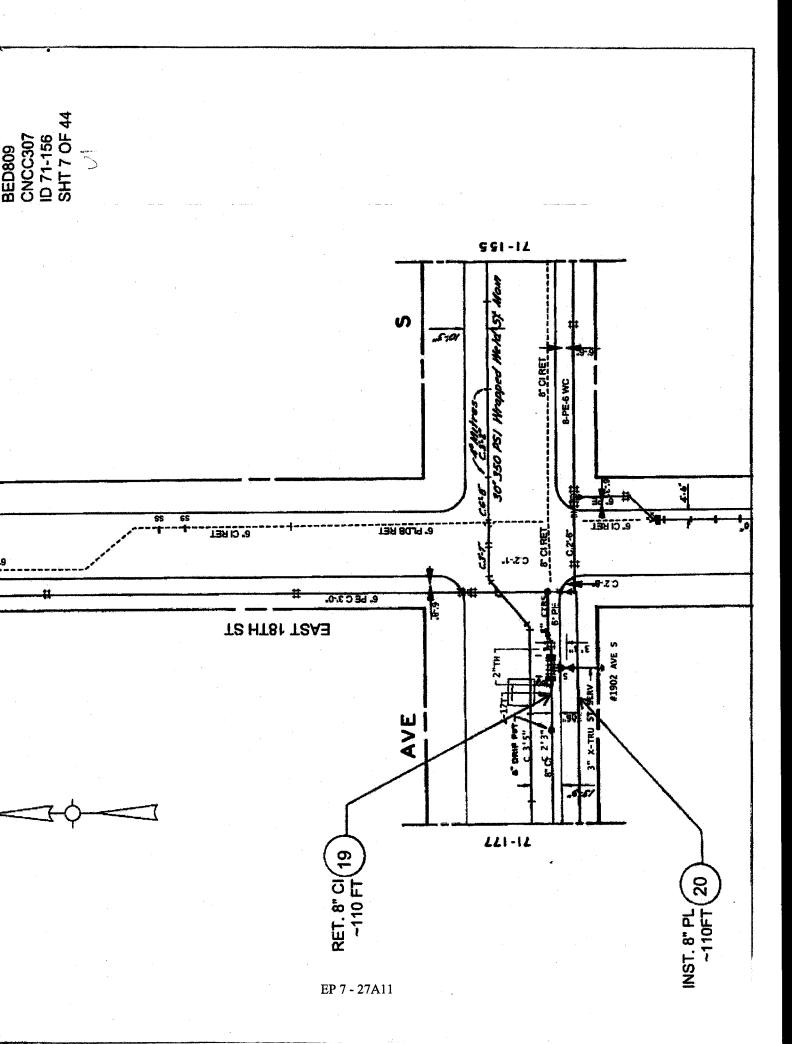
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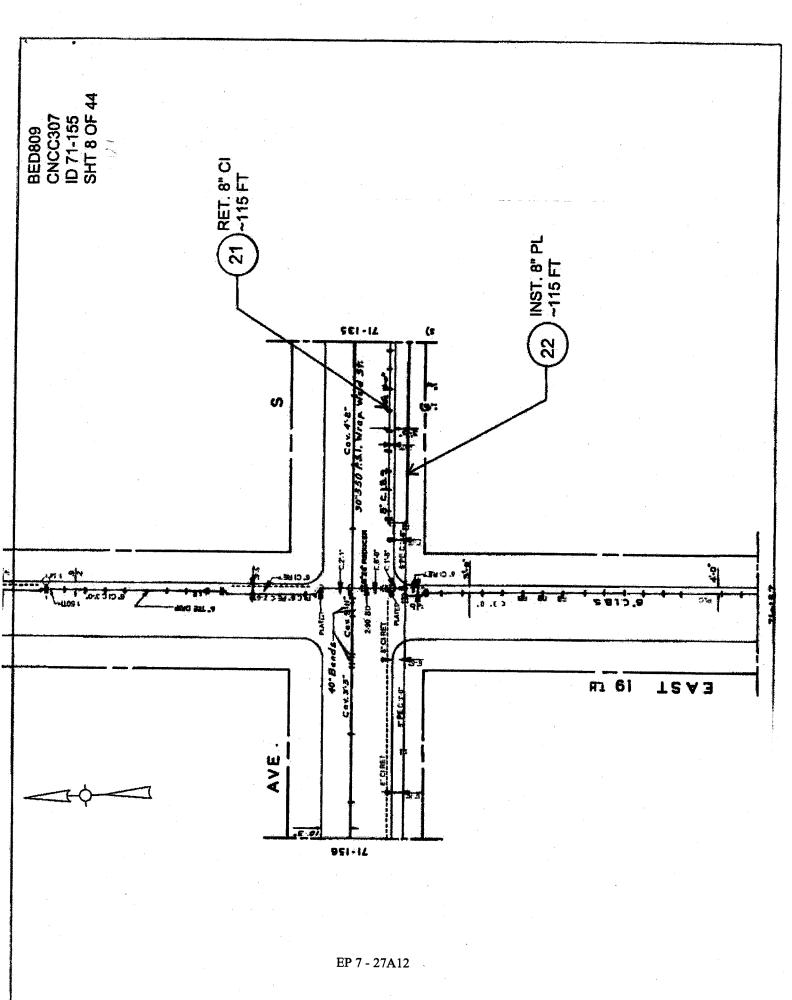


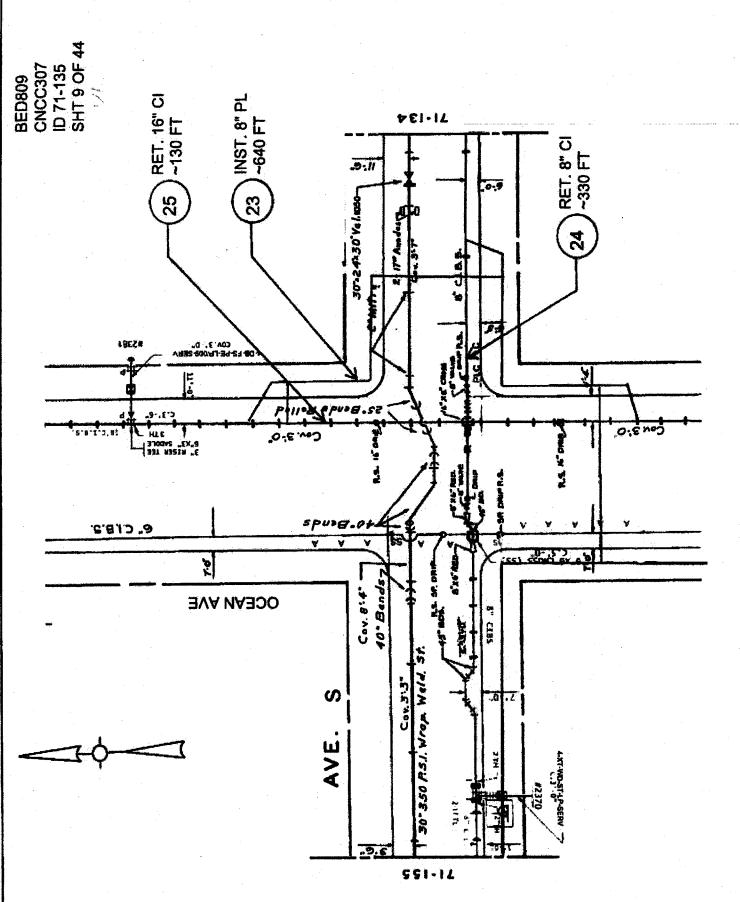
EP 7 - 27A9



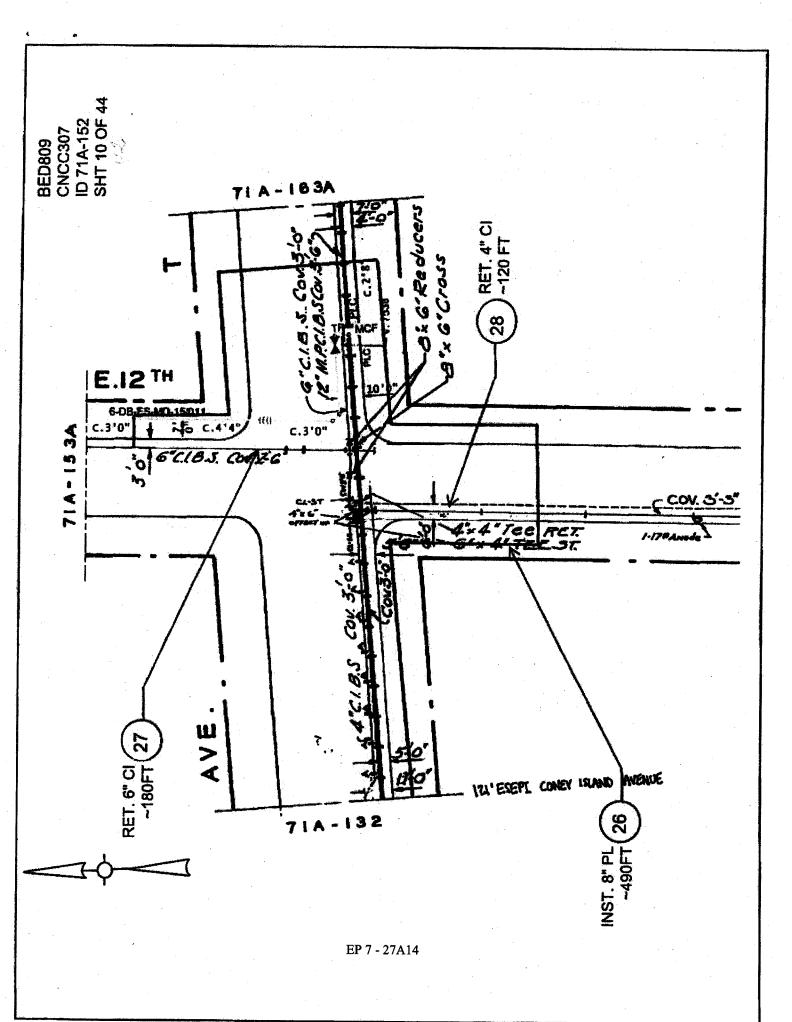
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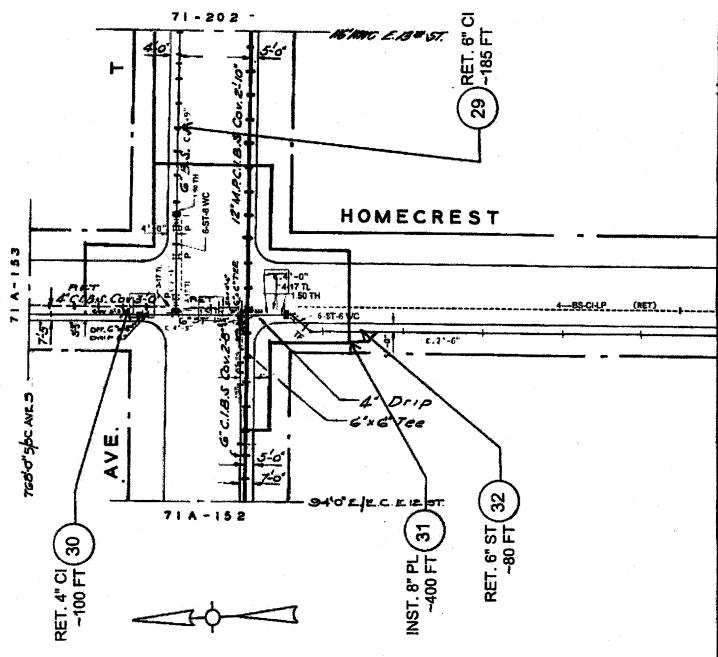


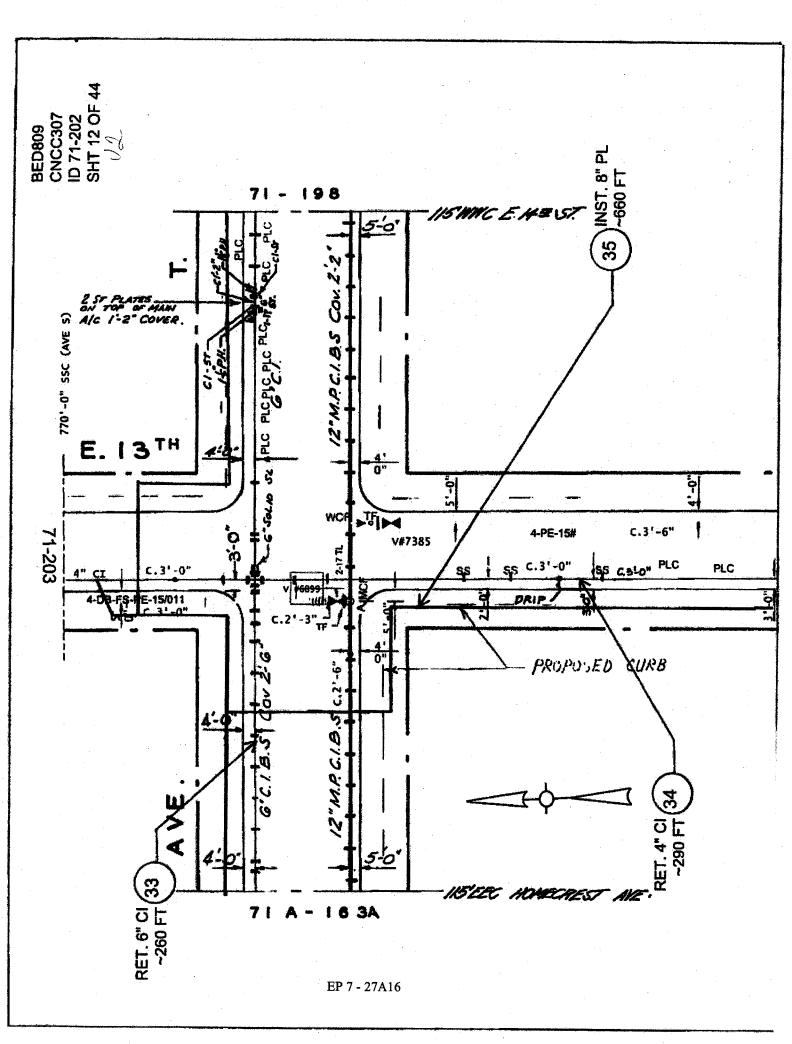


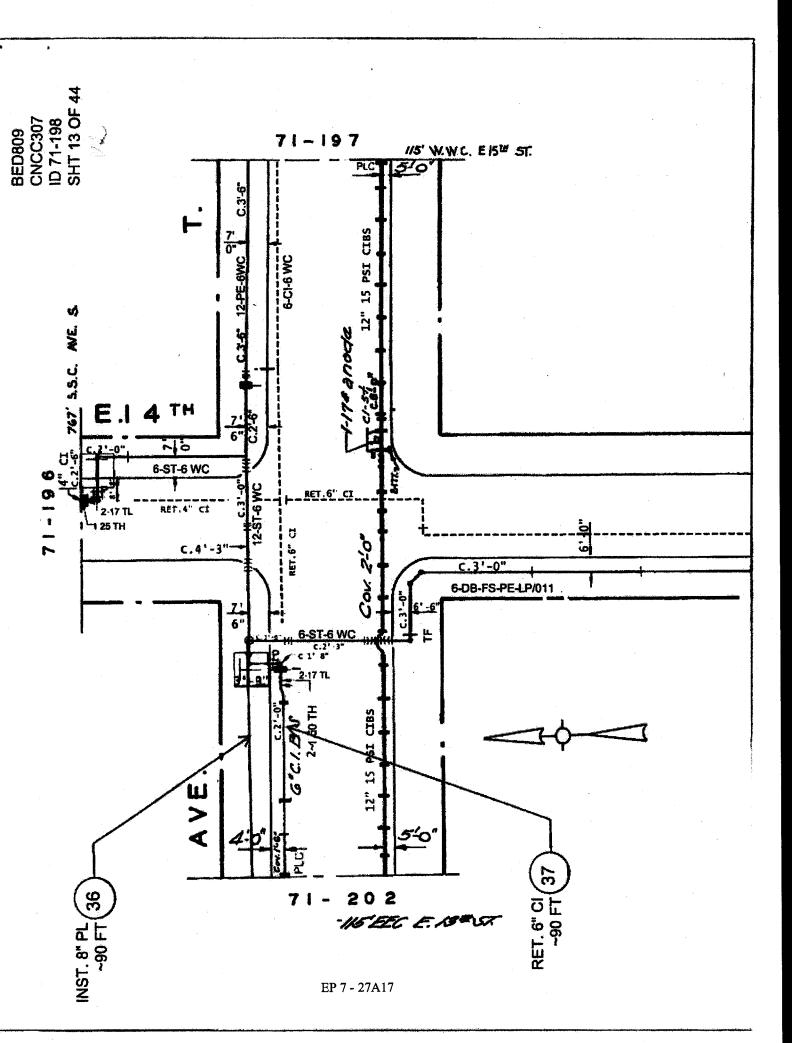


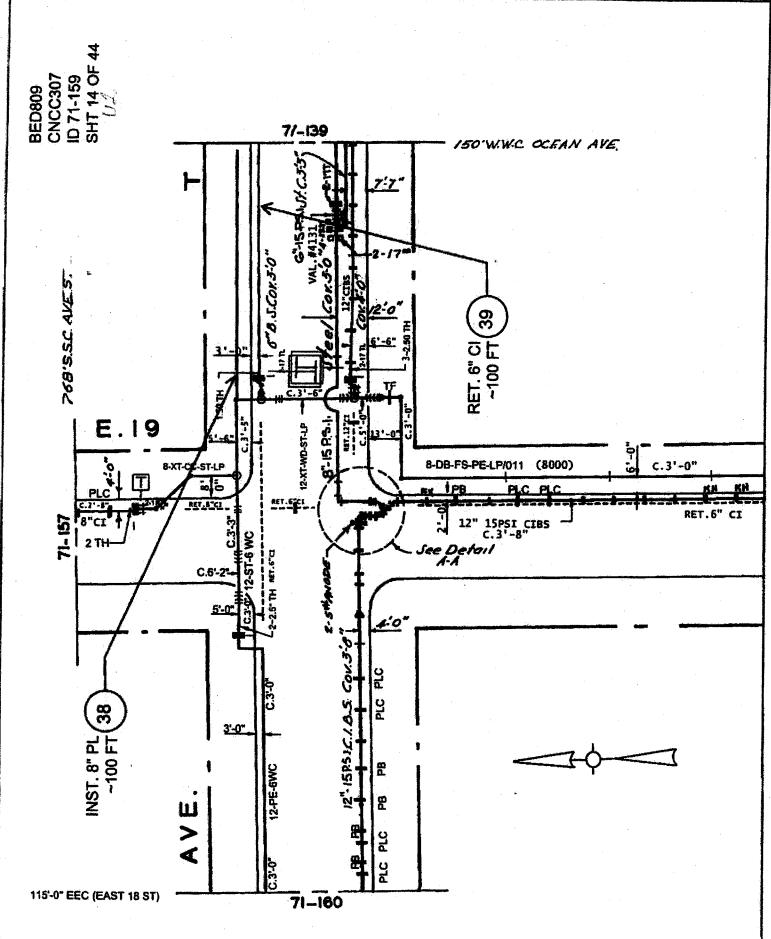
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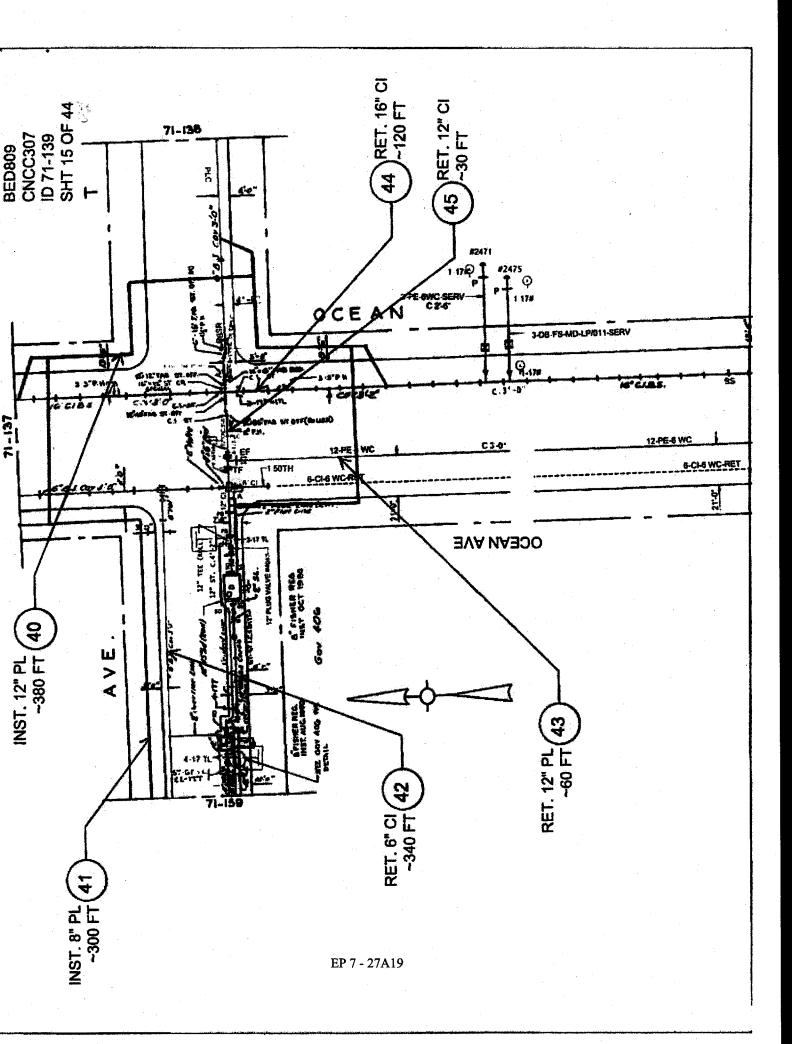


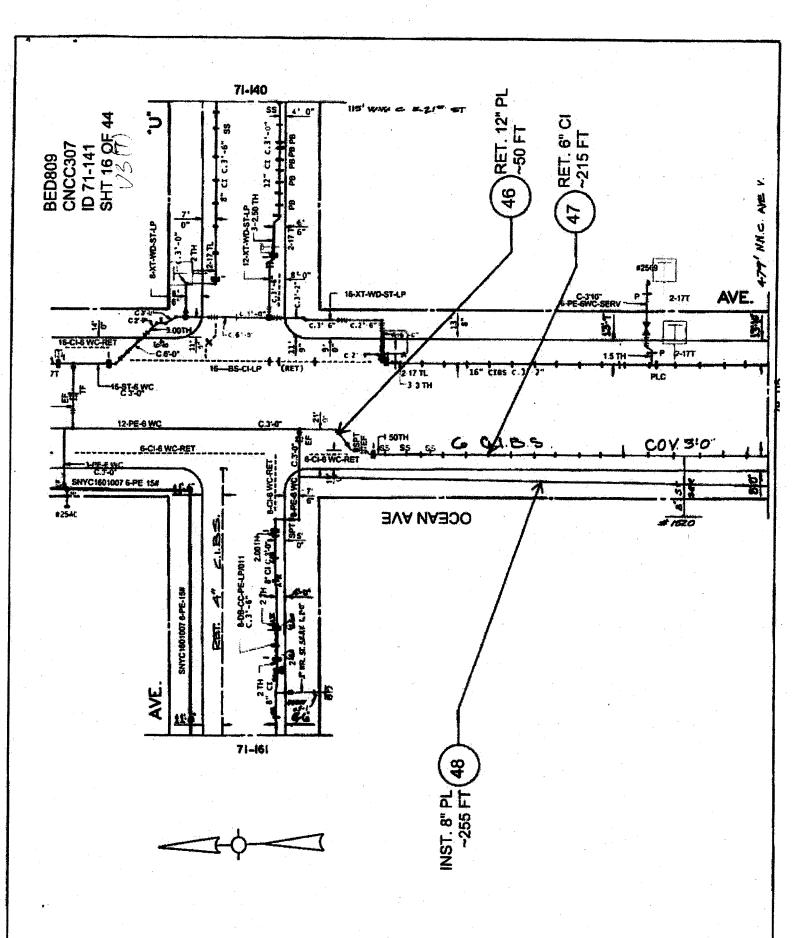


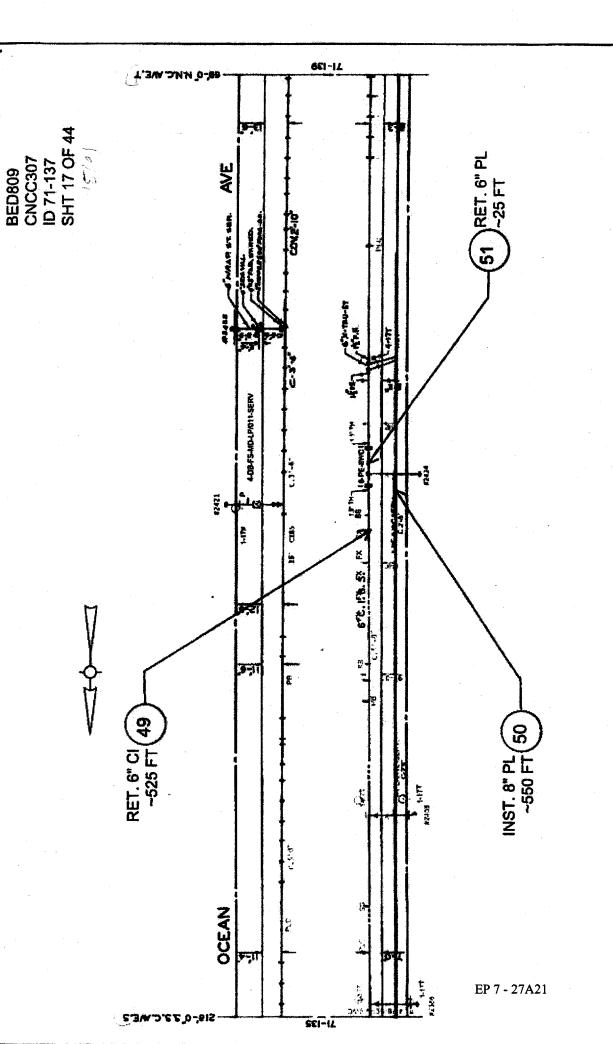


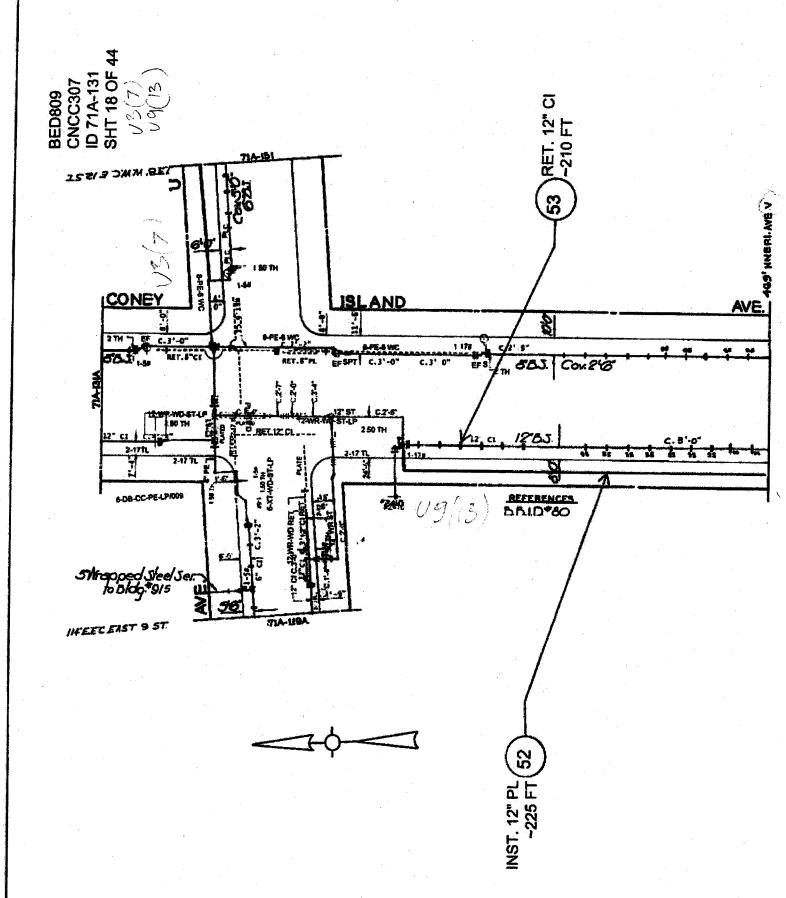


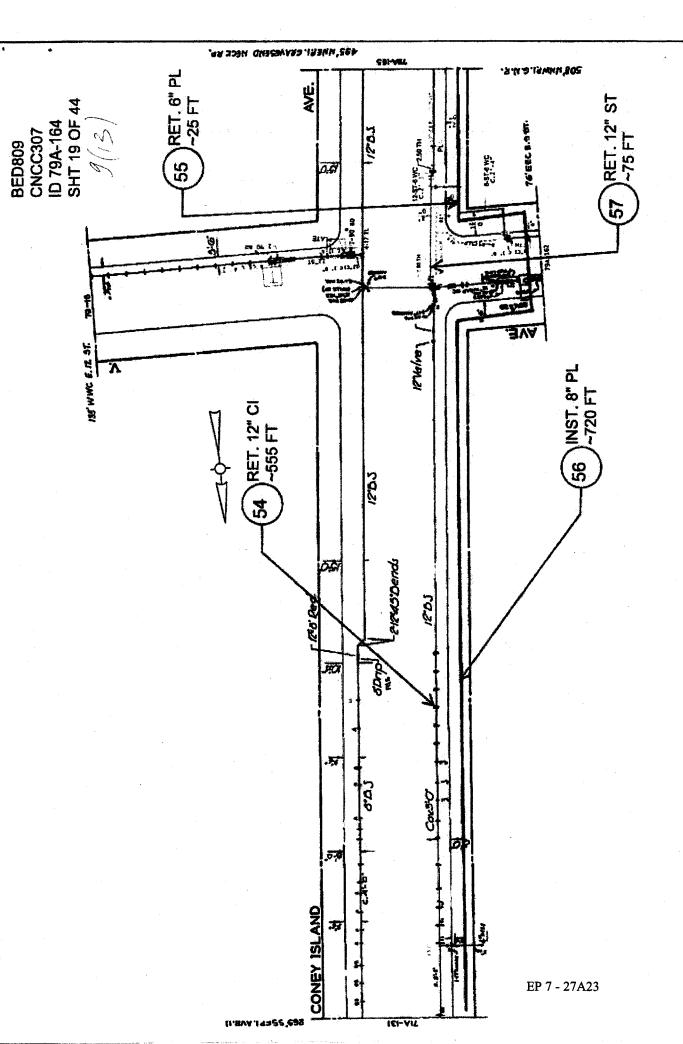
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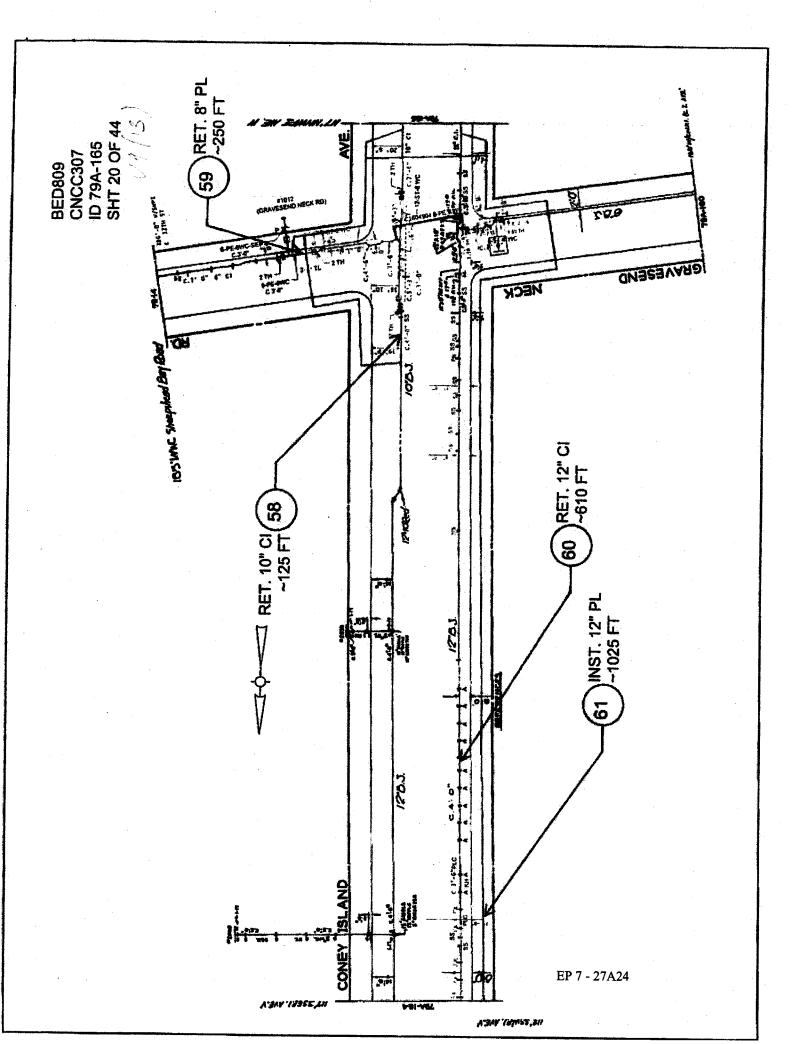


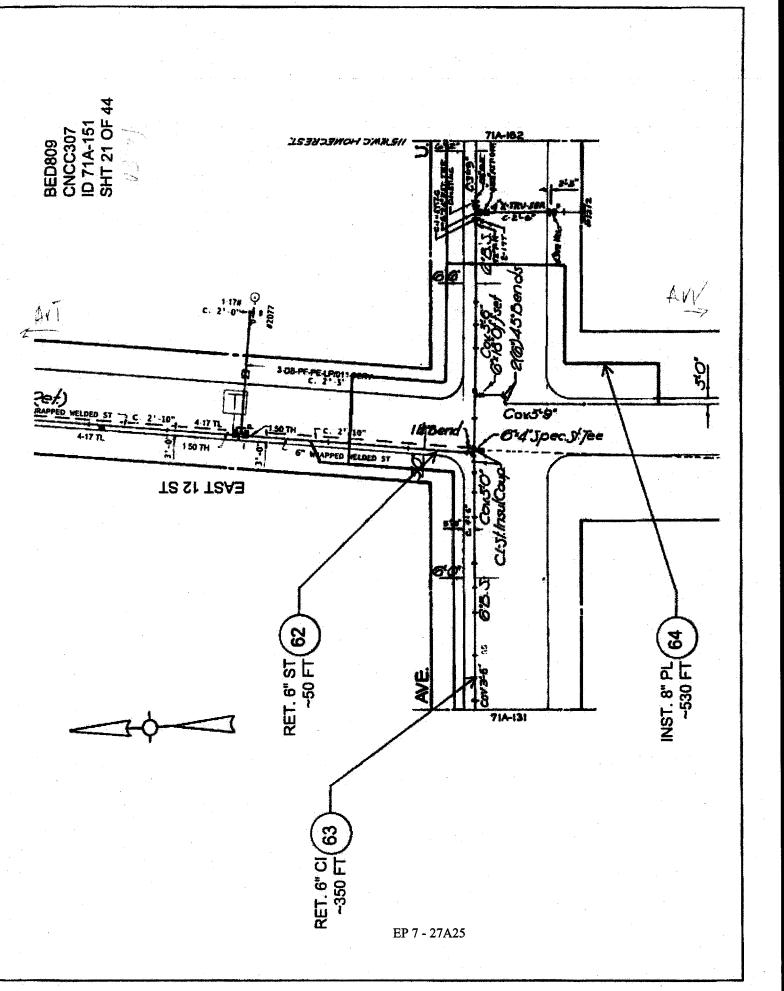


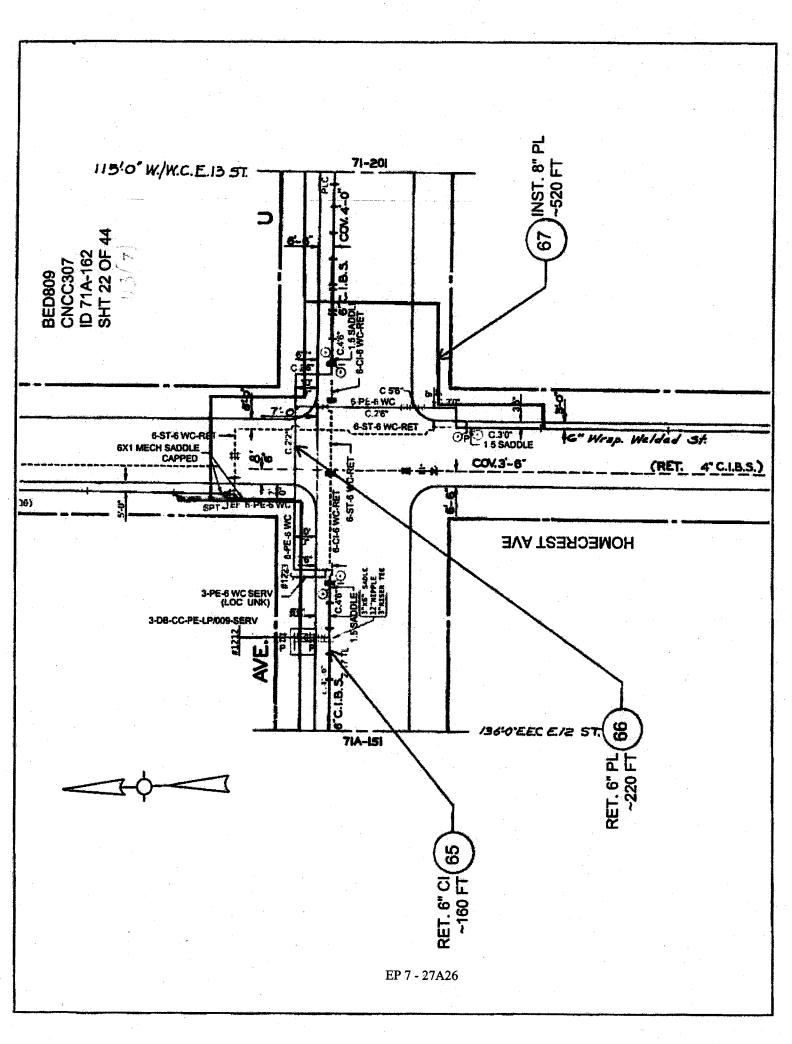


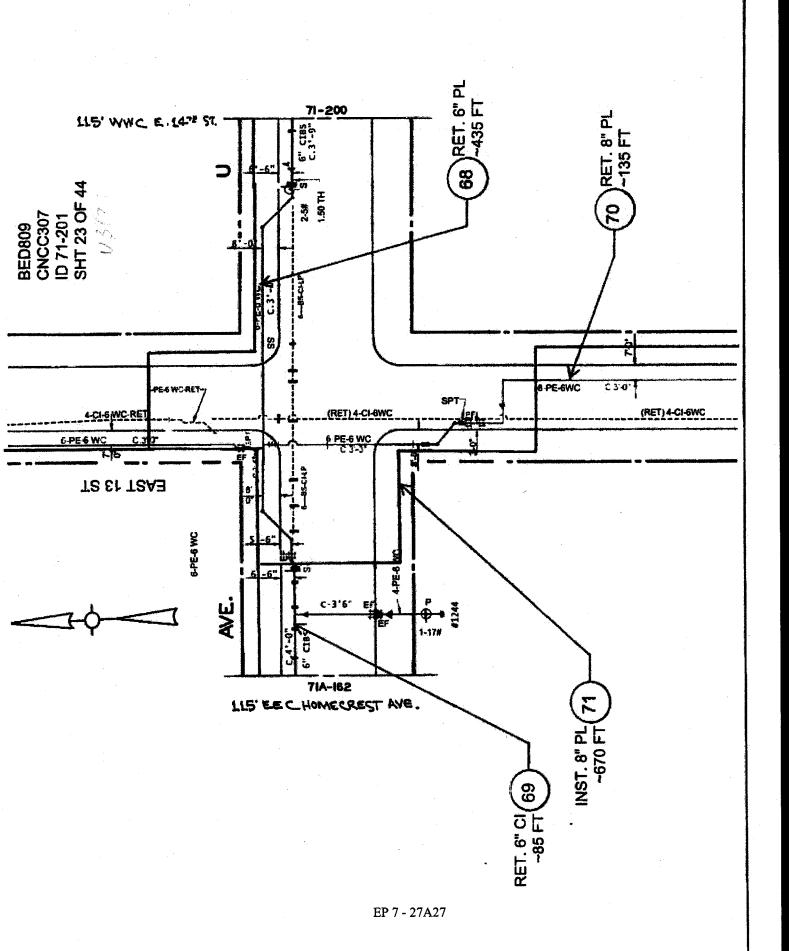


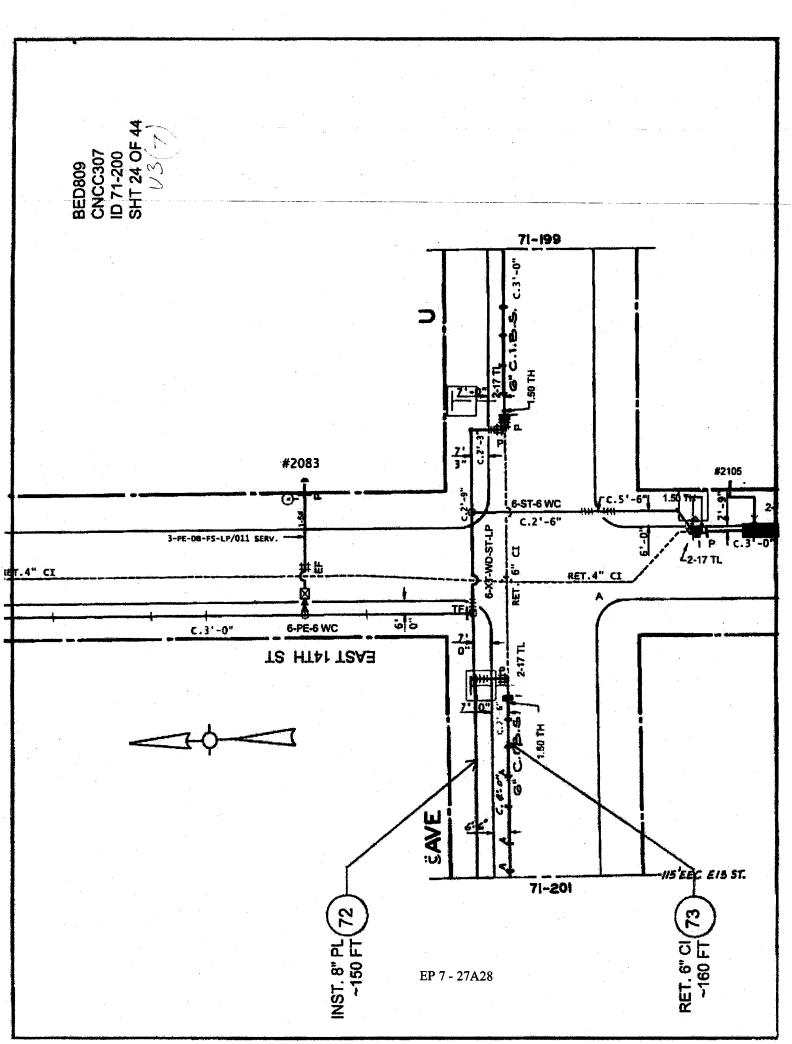


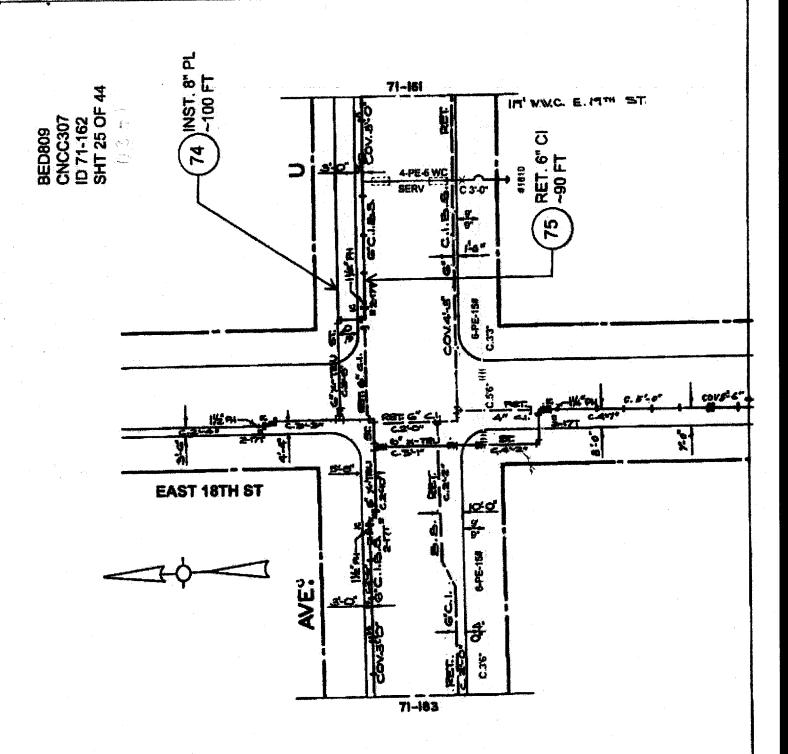


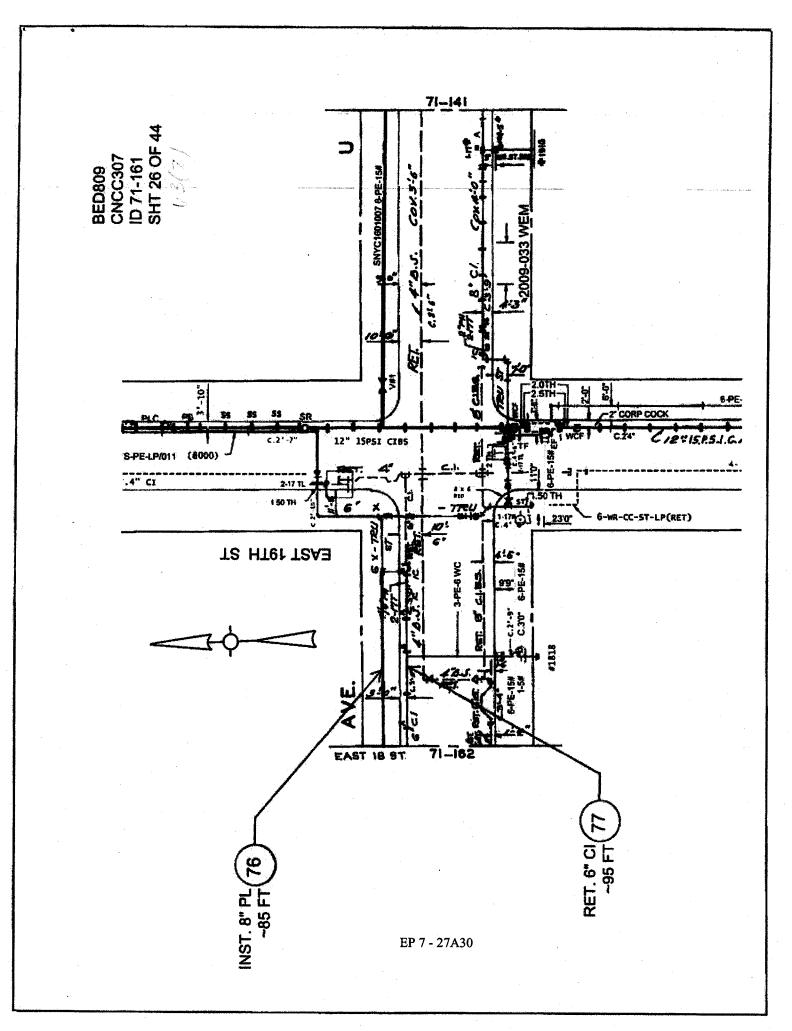


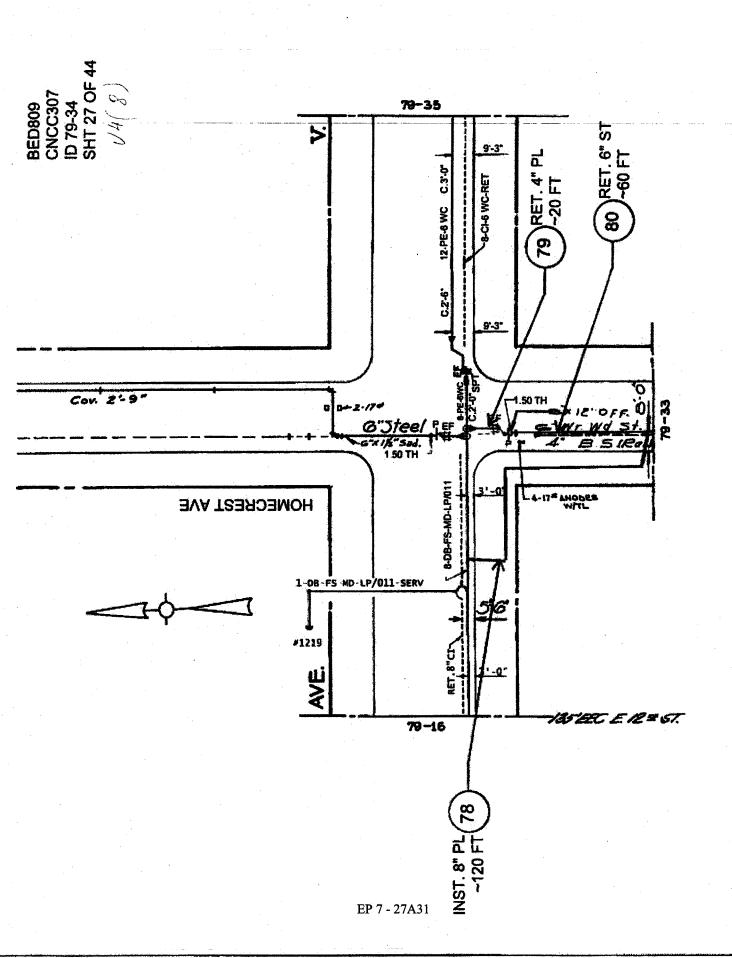


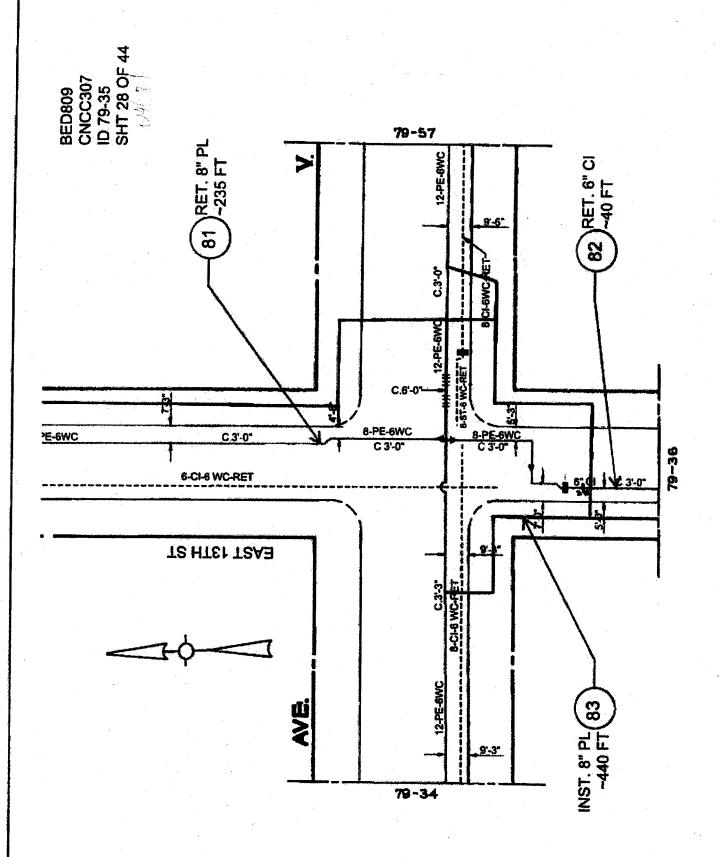


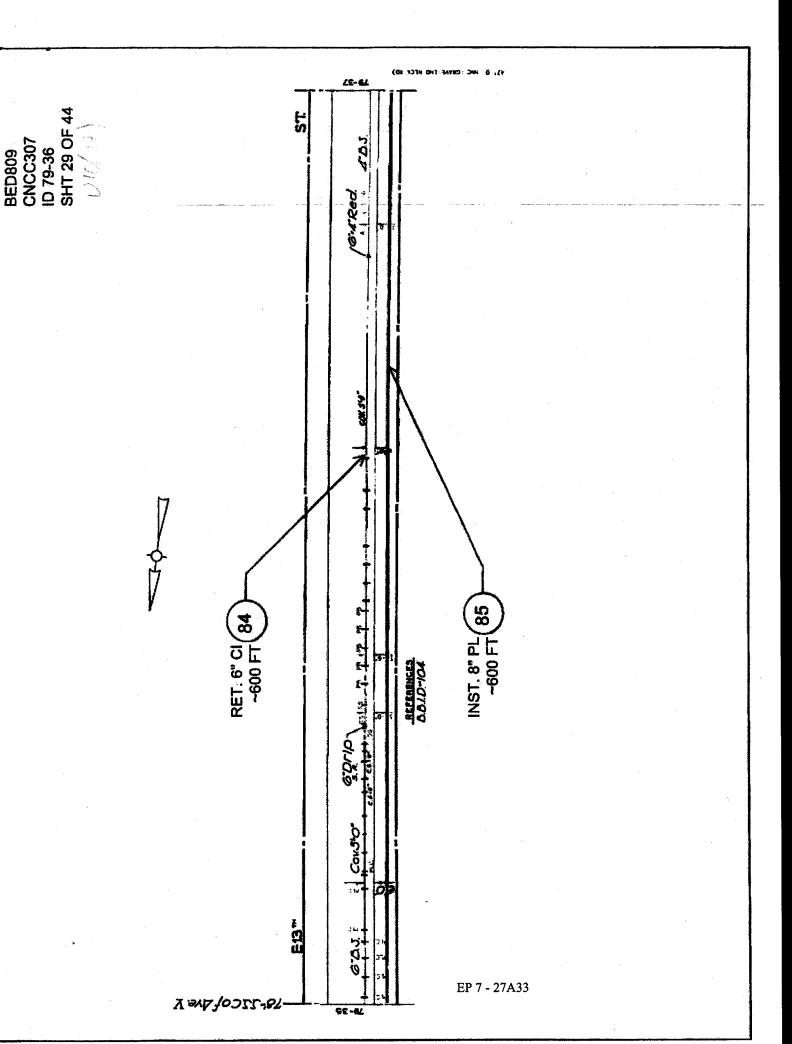


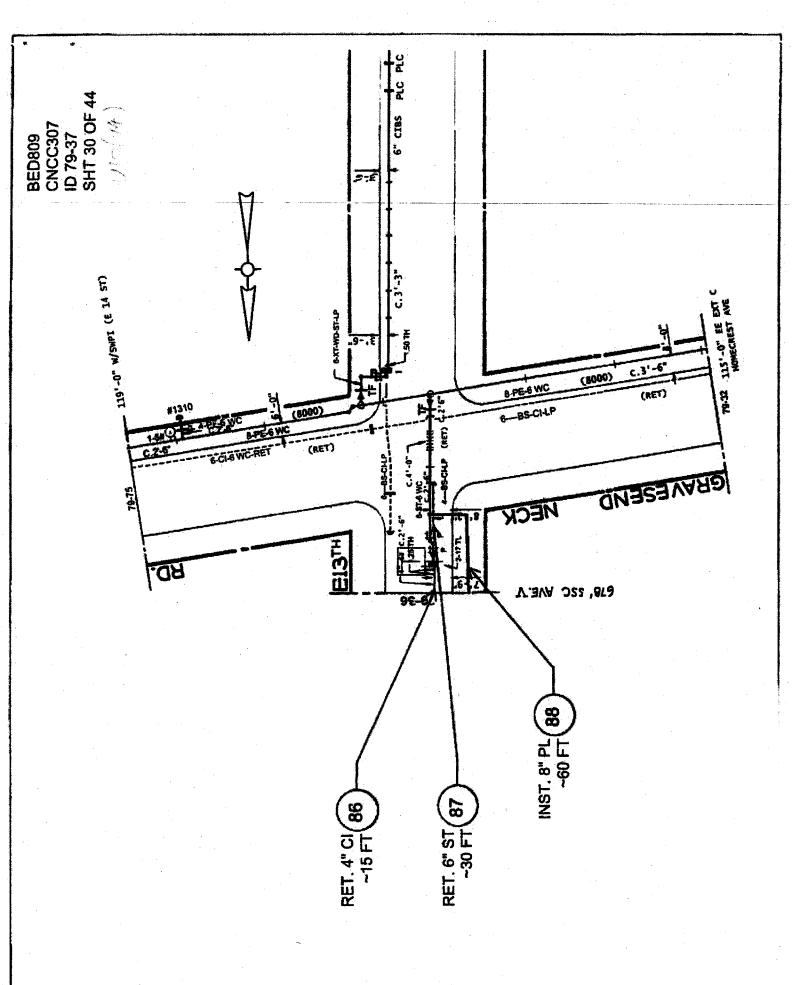


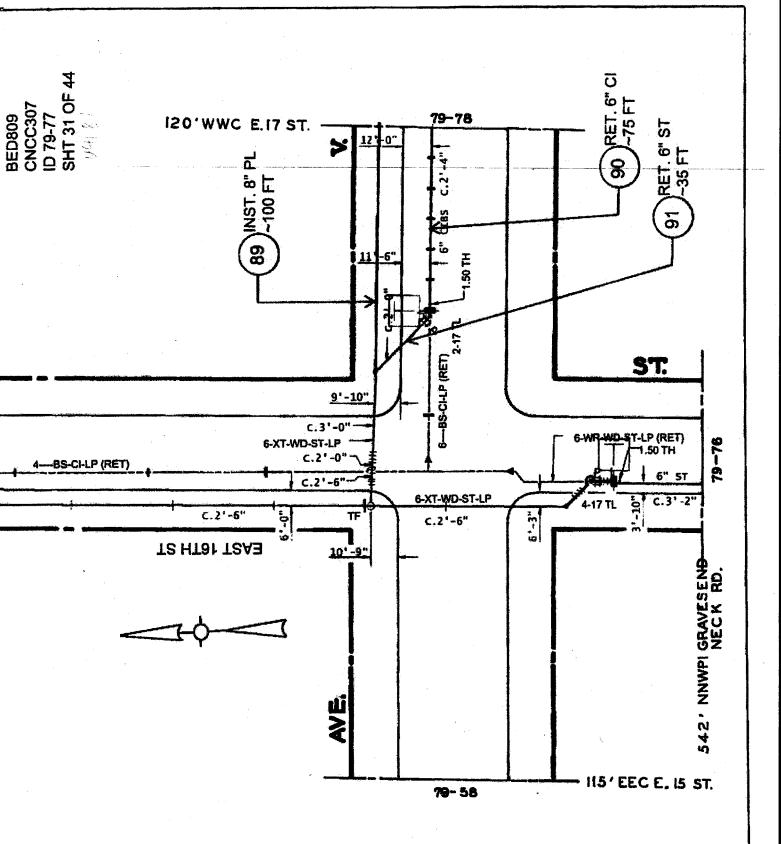


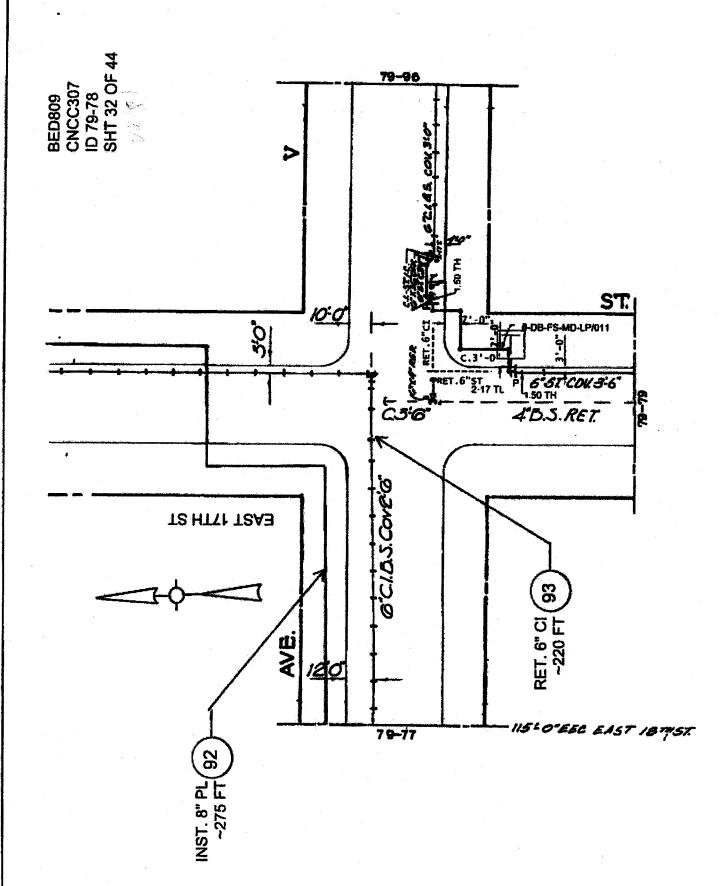




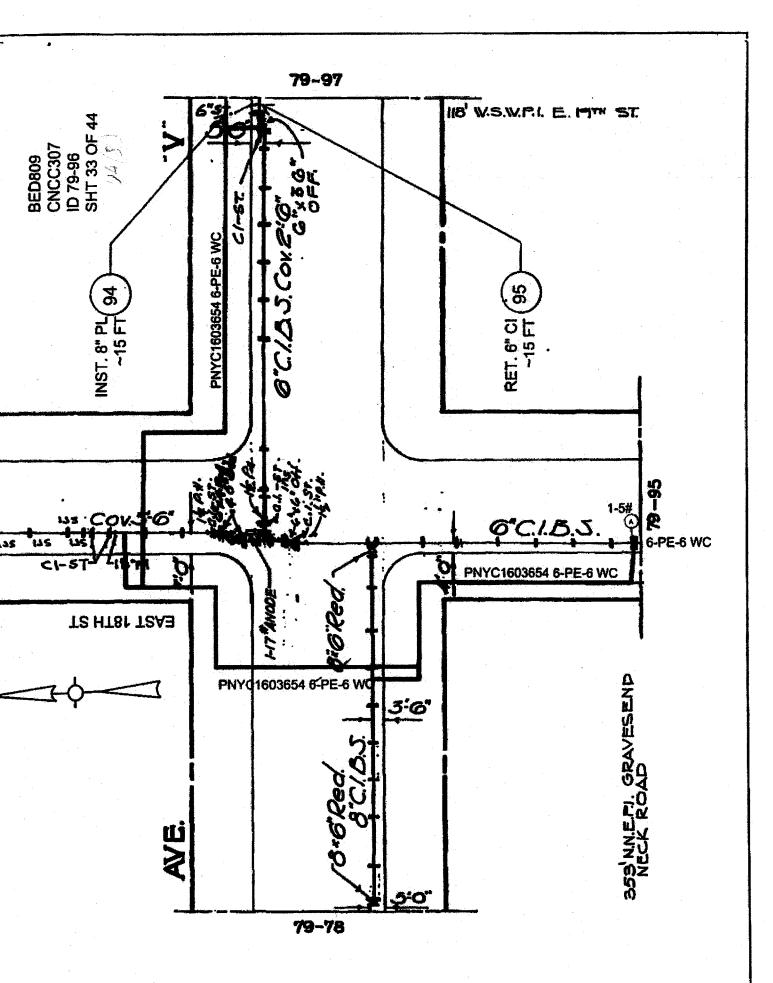




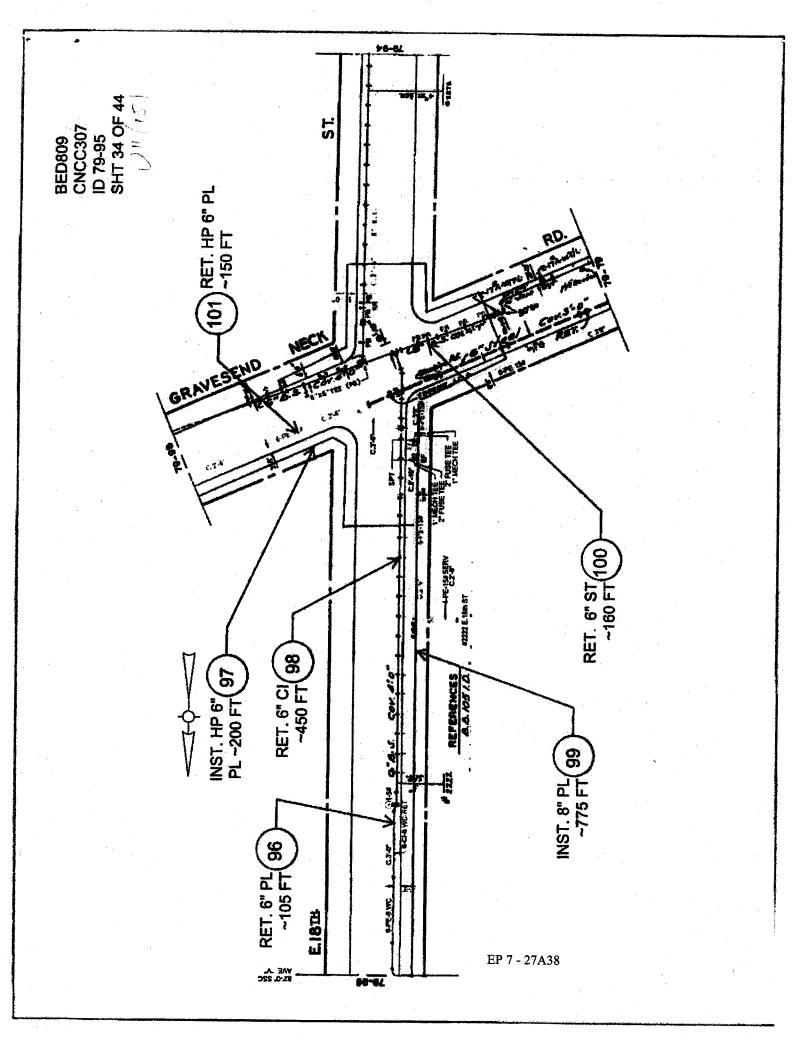


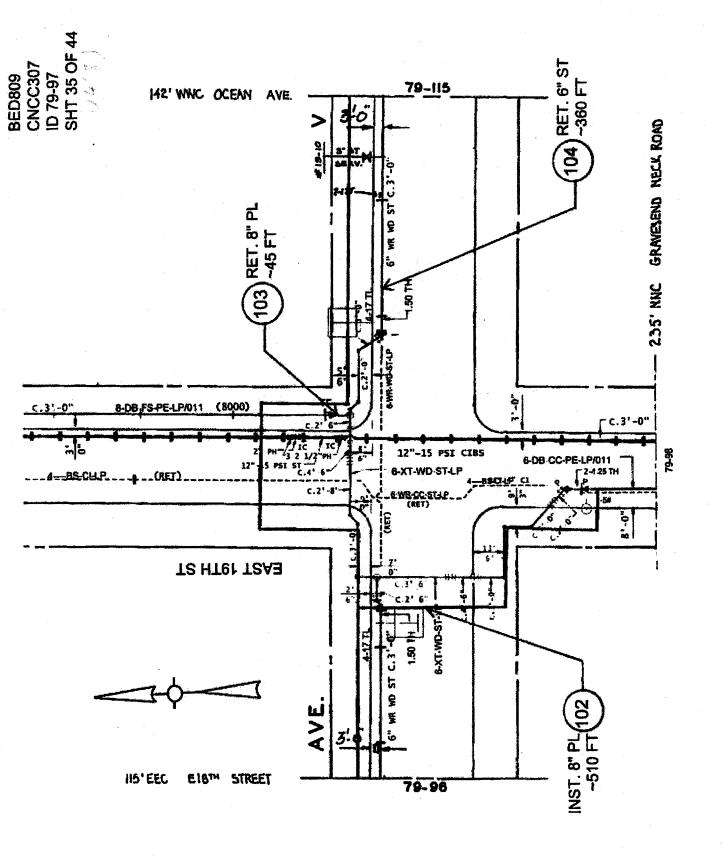


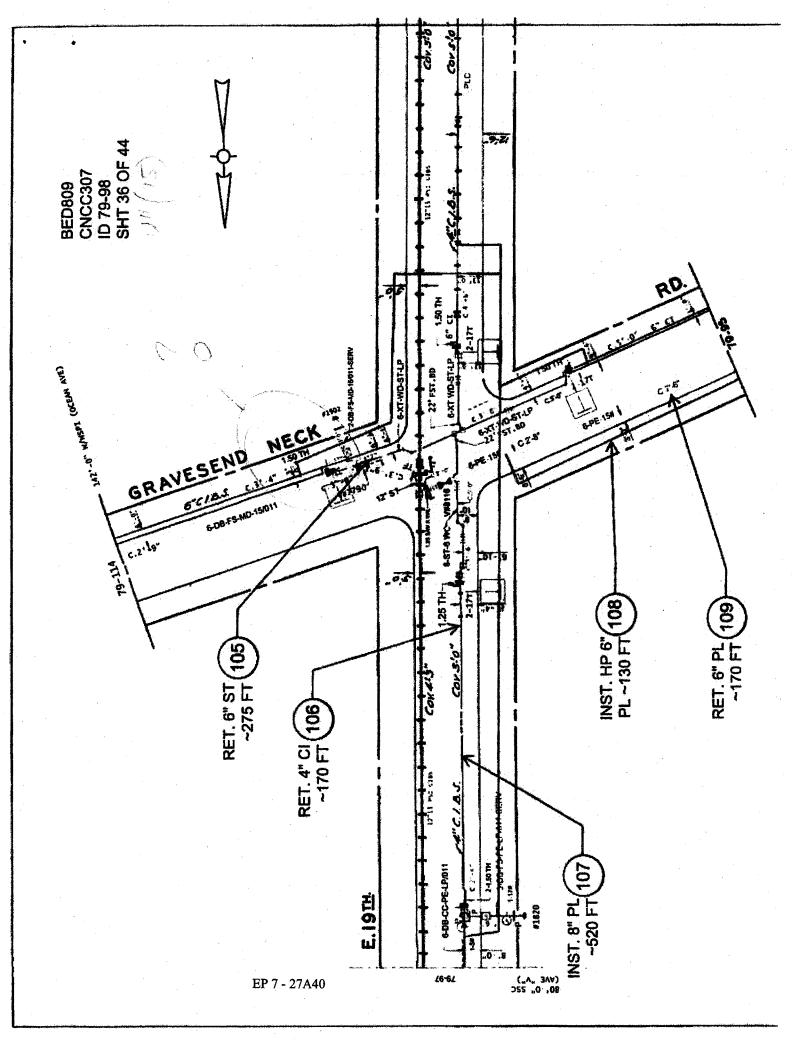
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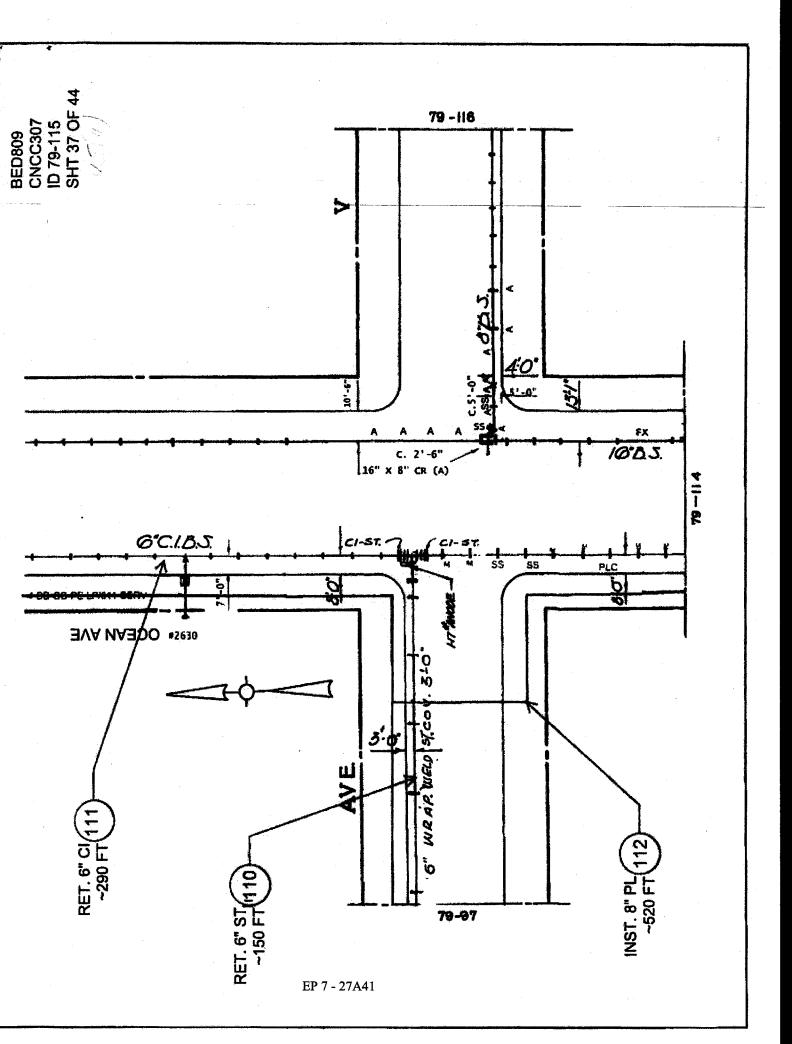


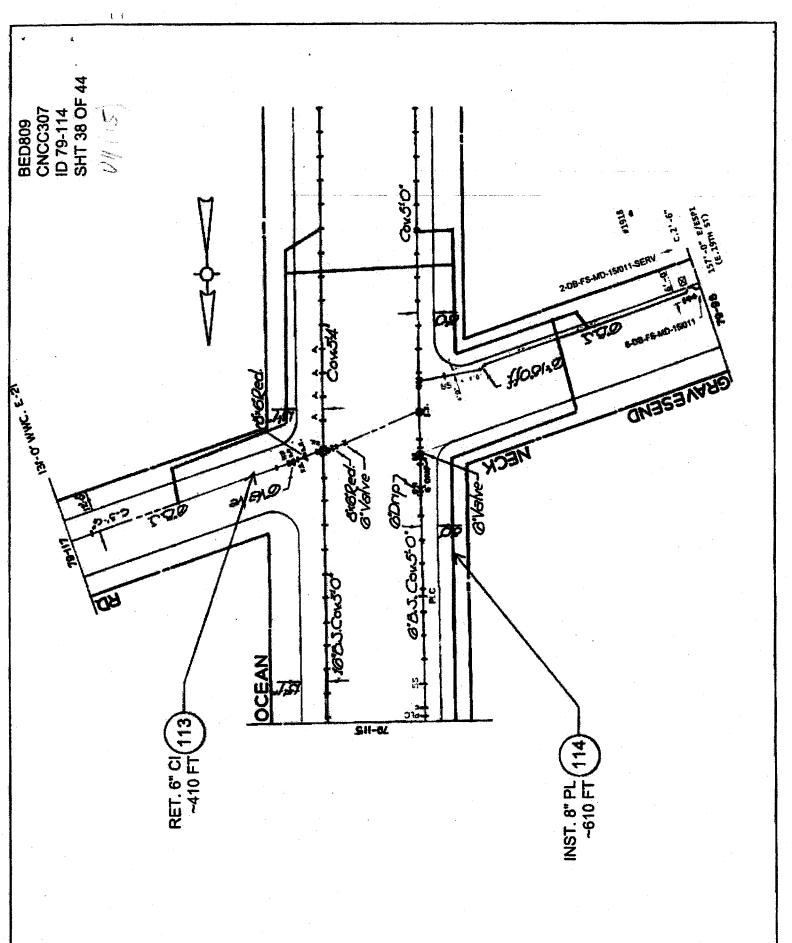
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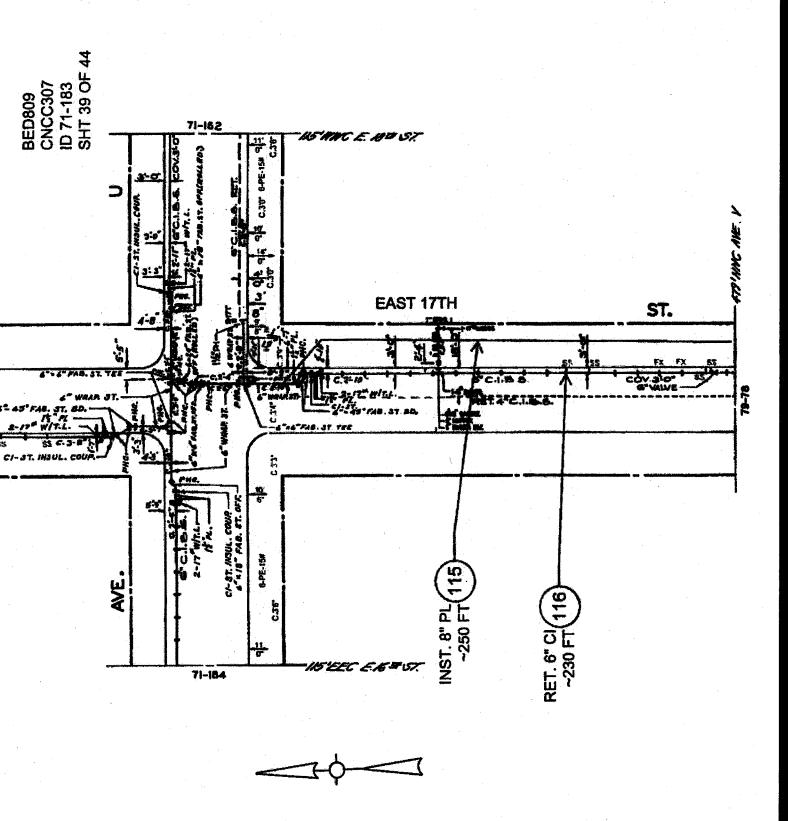


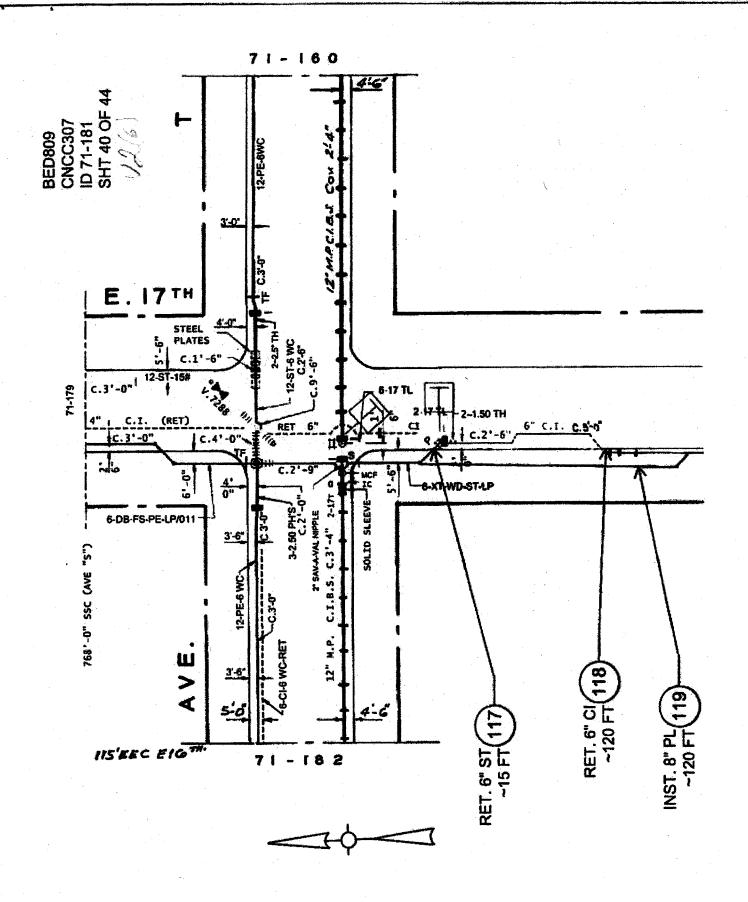




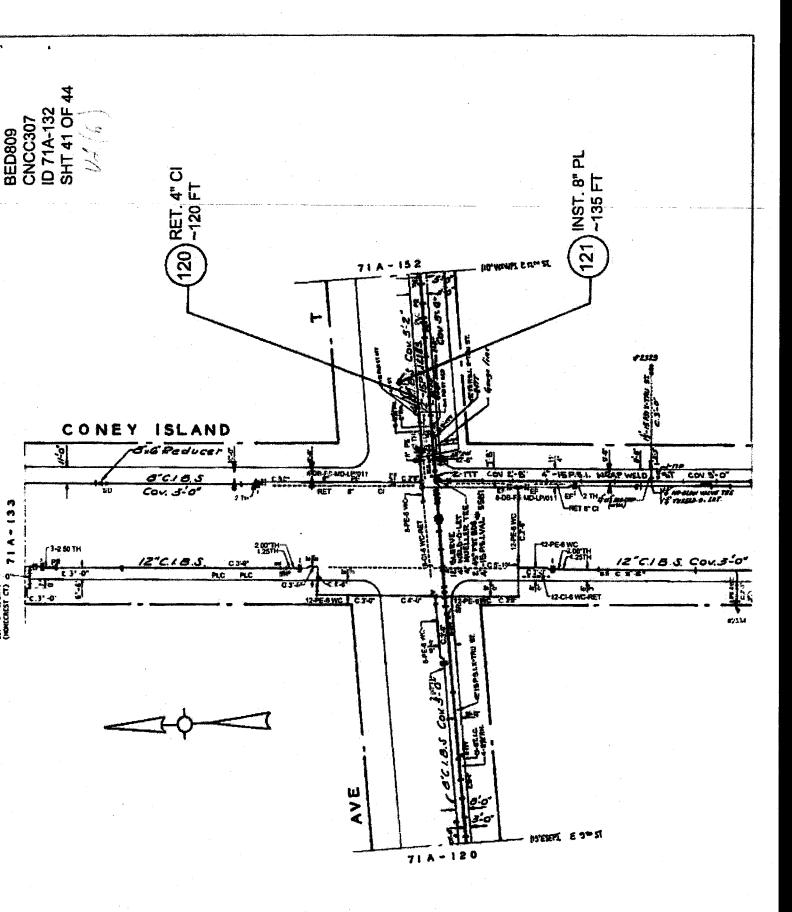


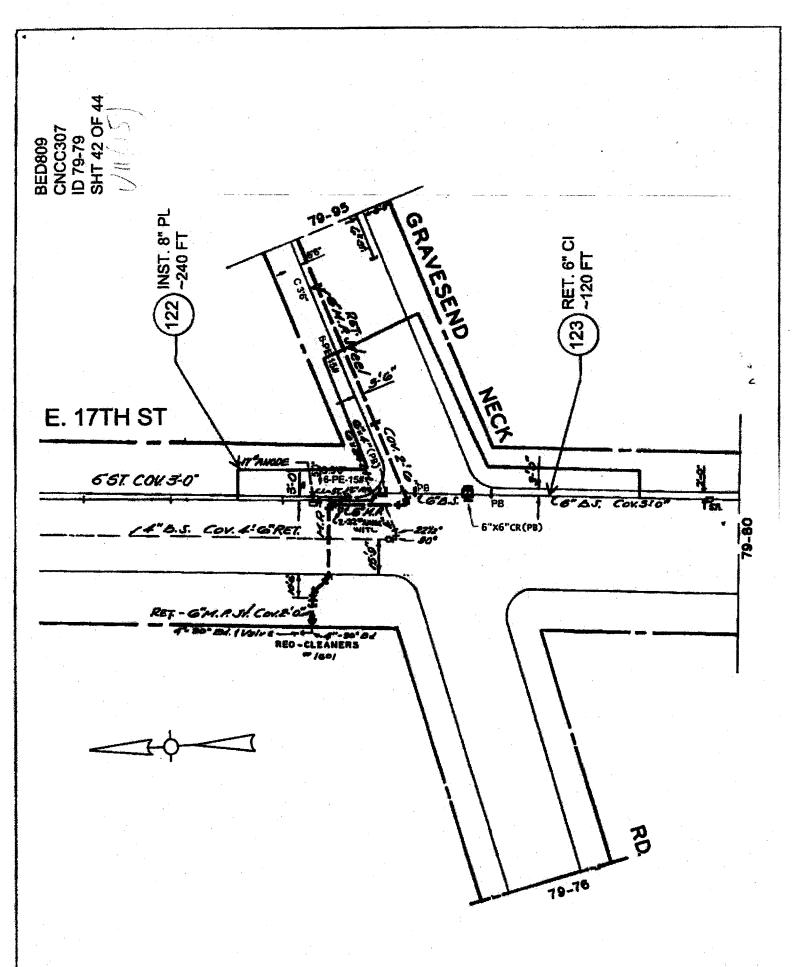




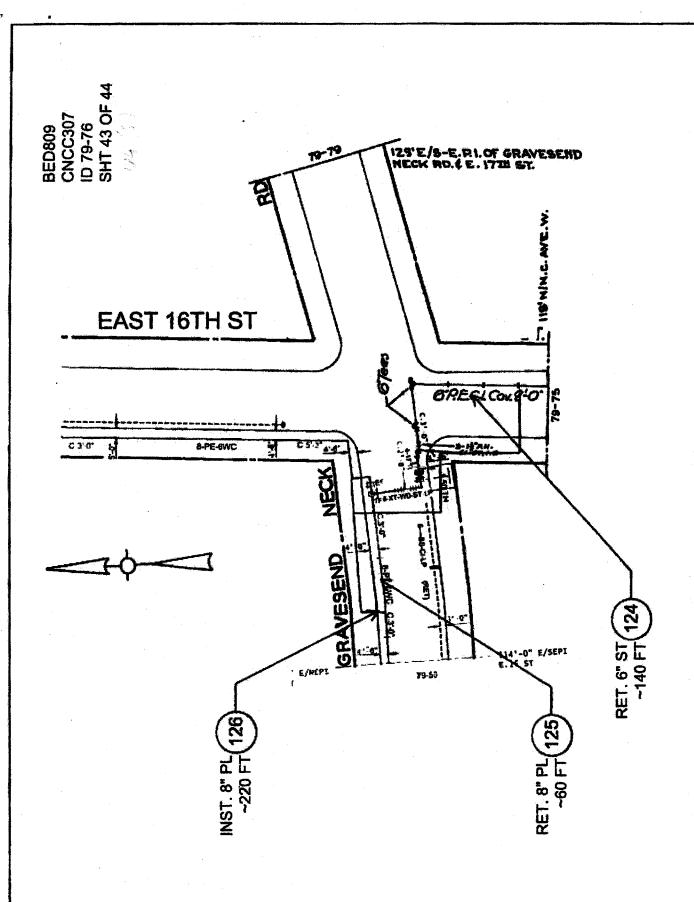


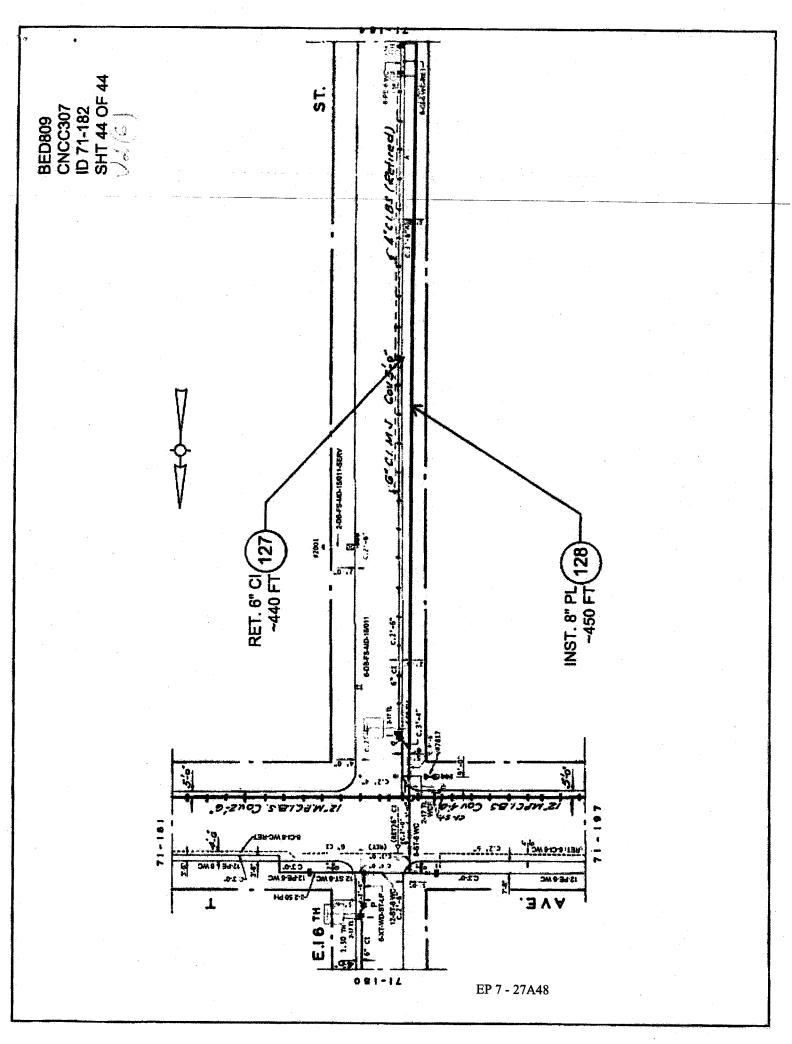
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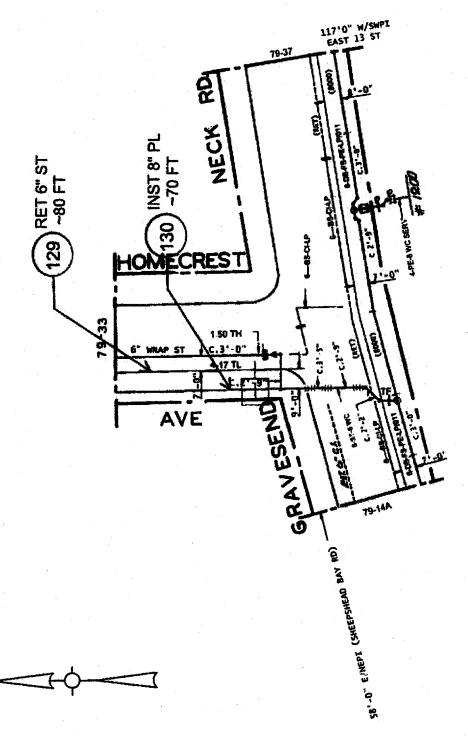


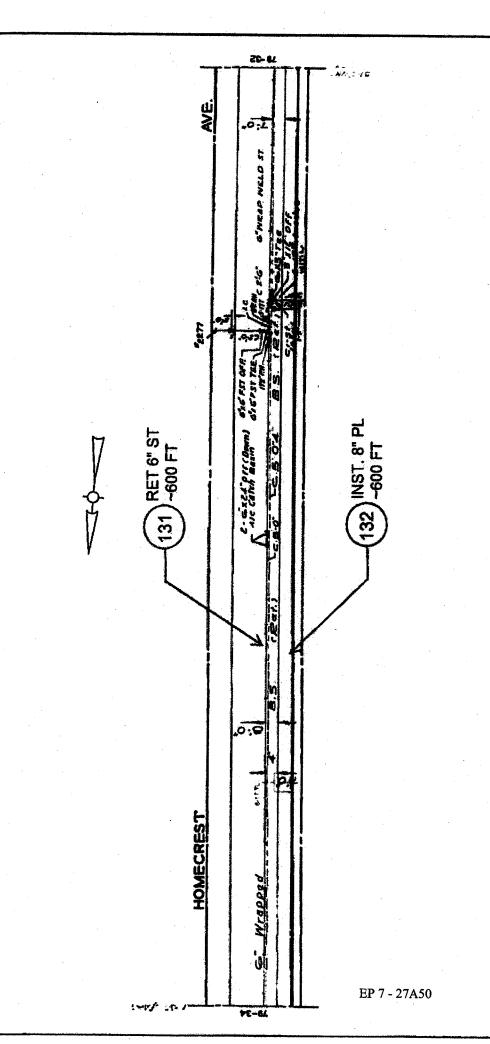


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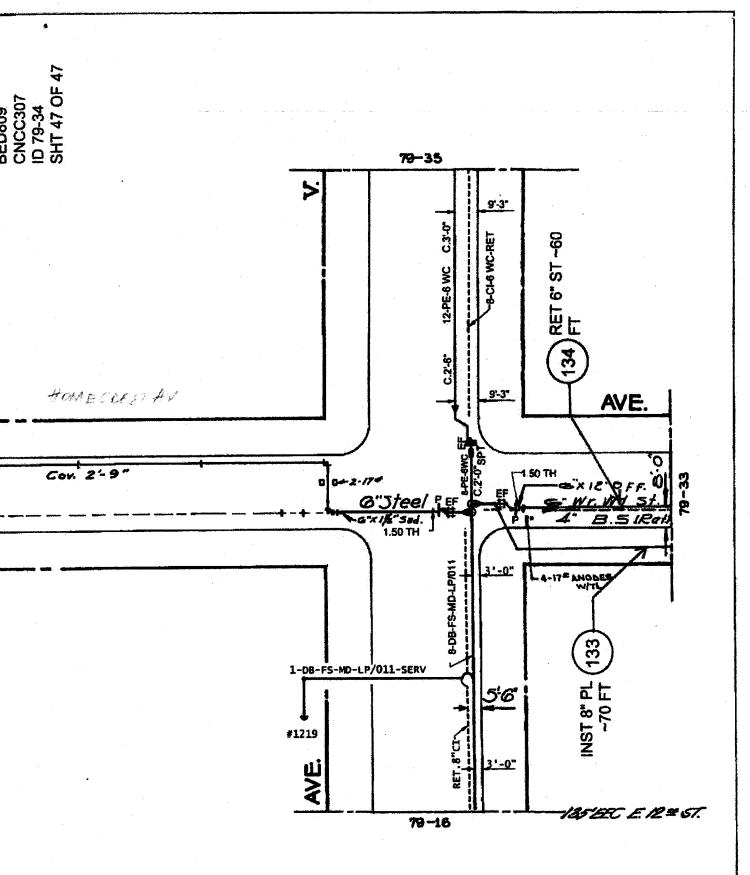


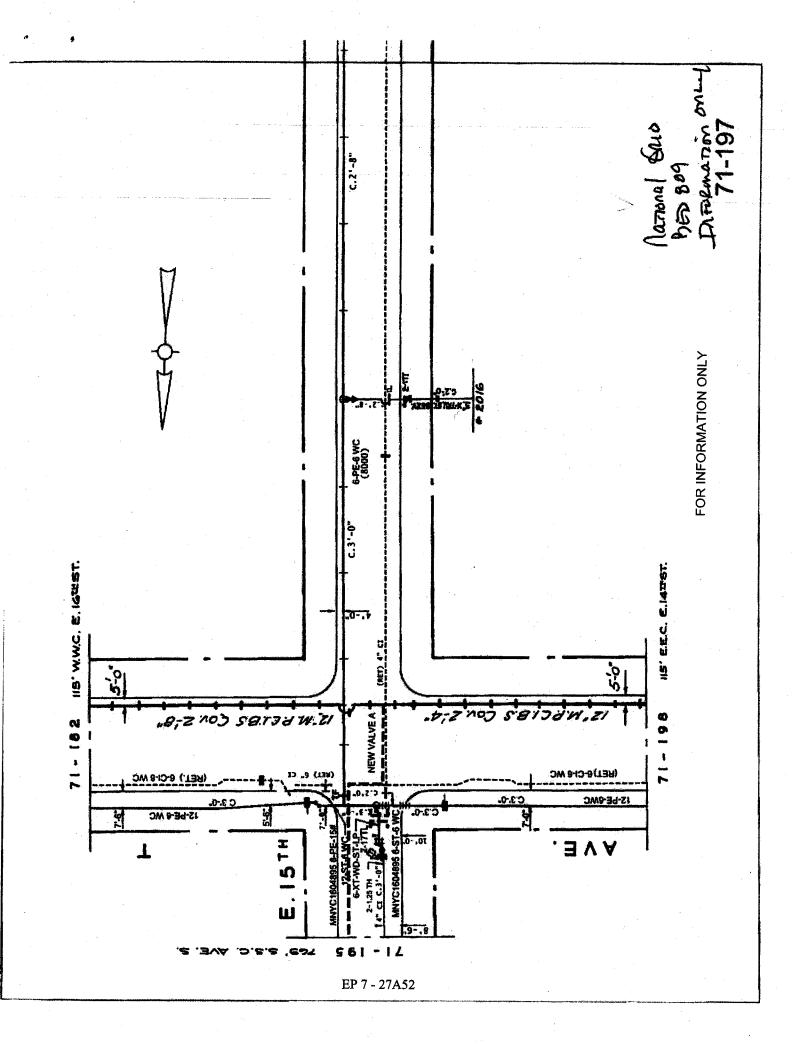


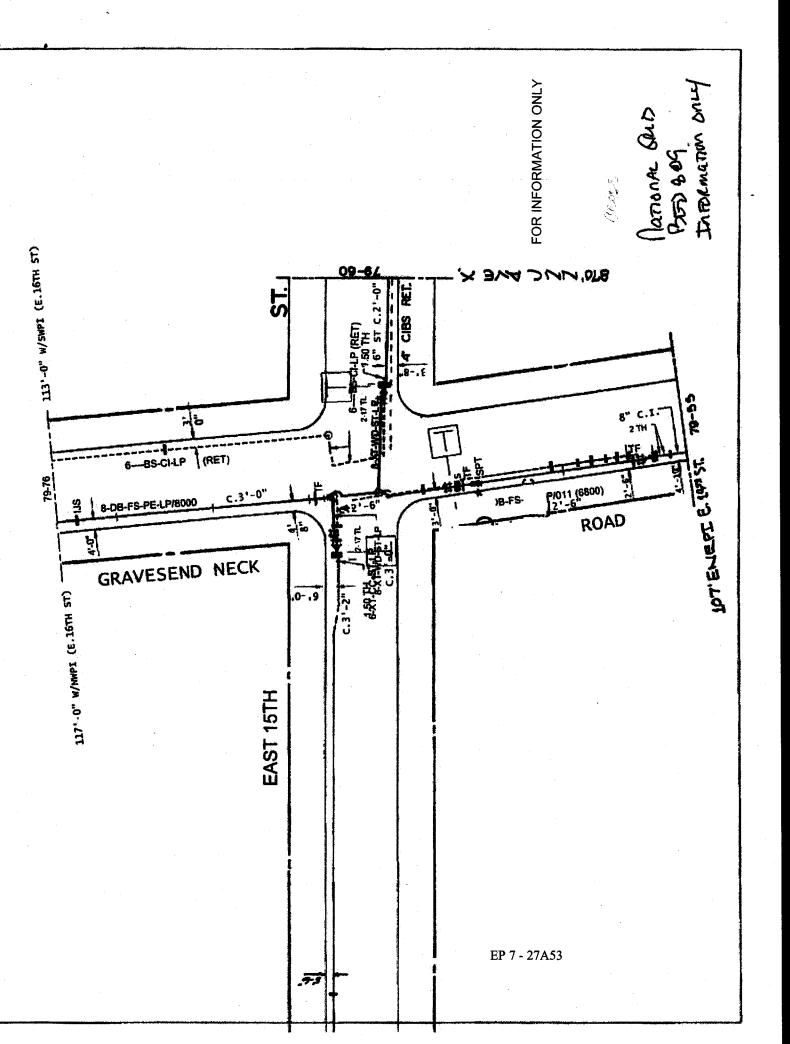


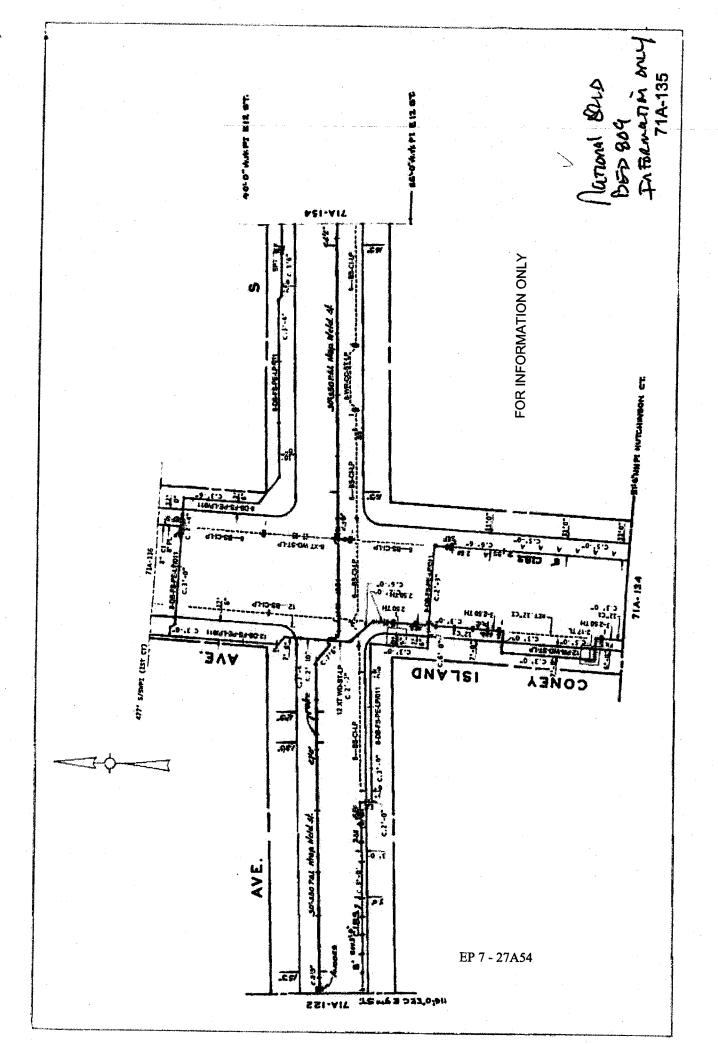
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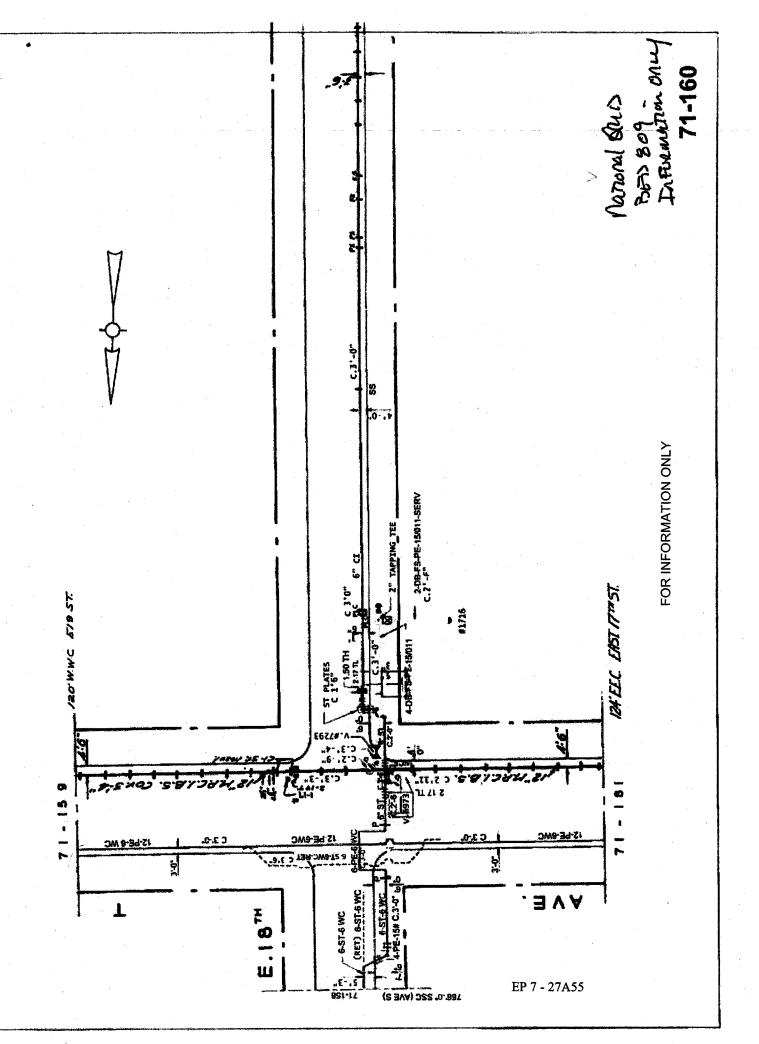
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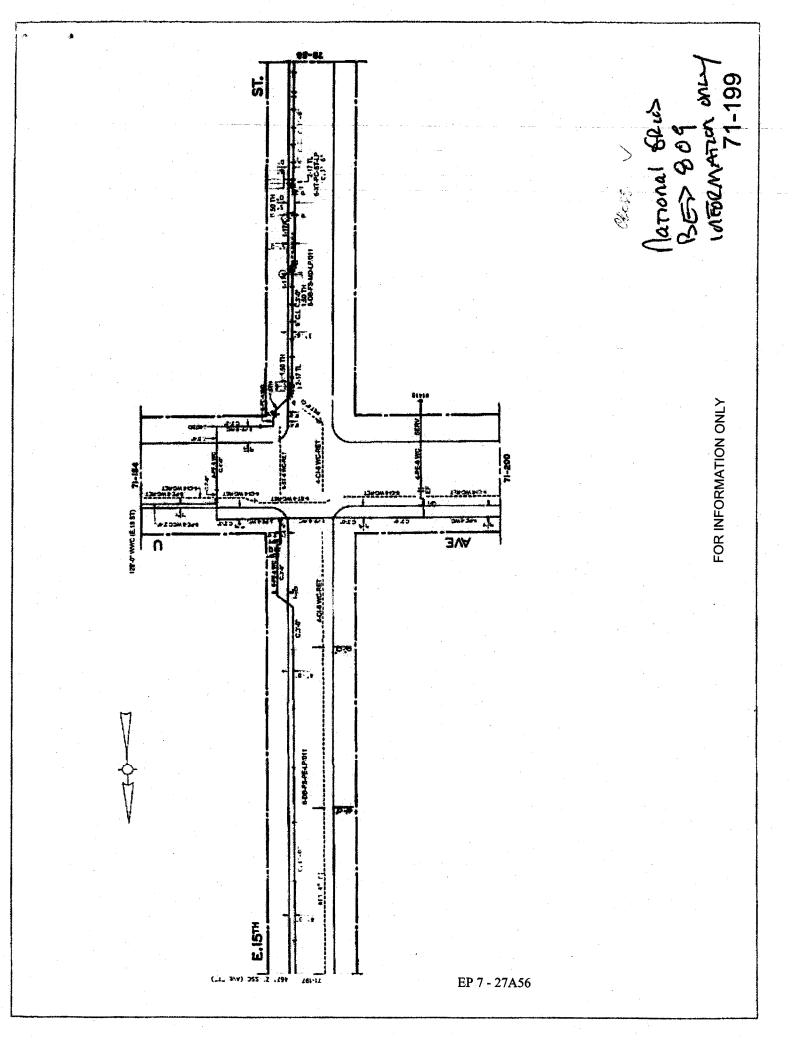


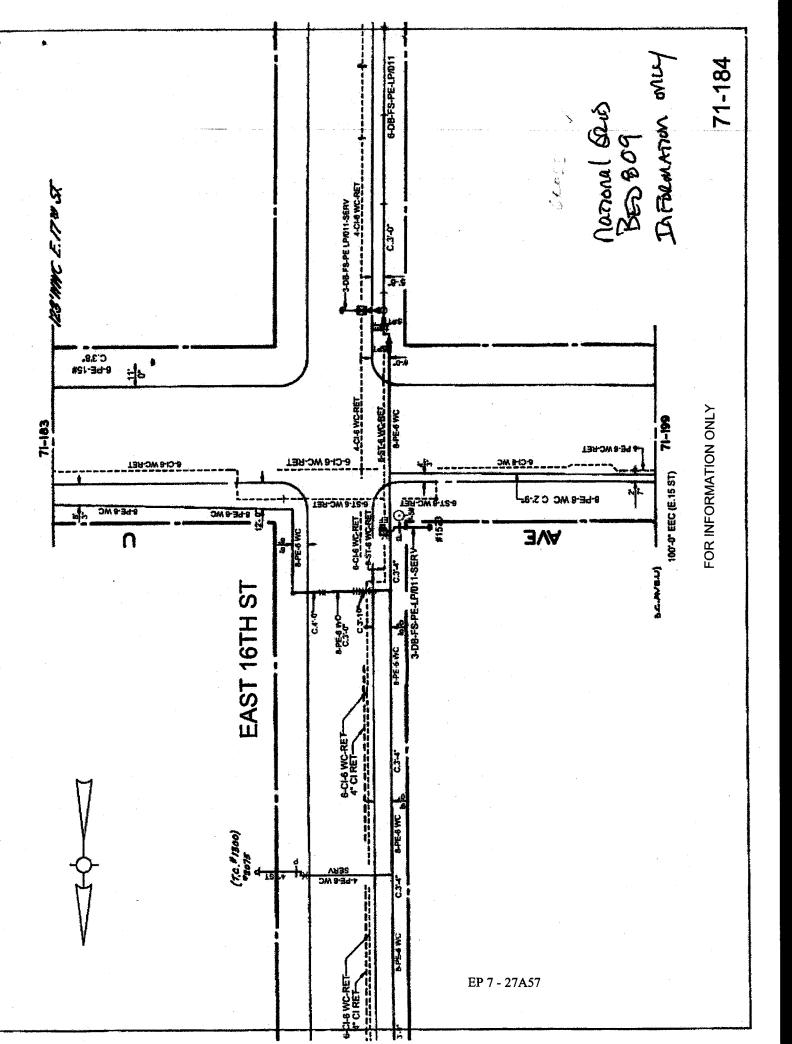


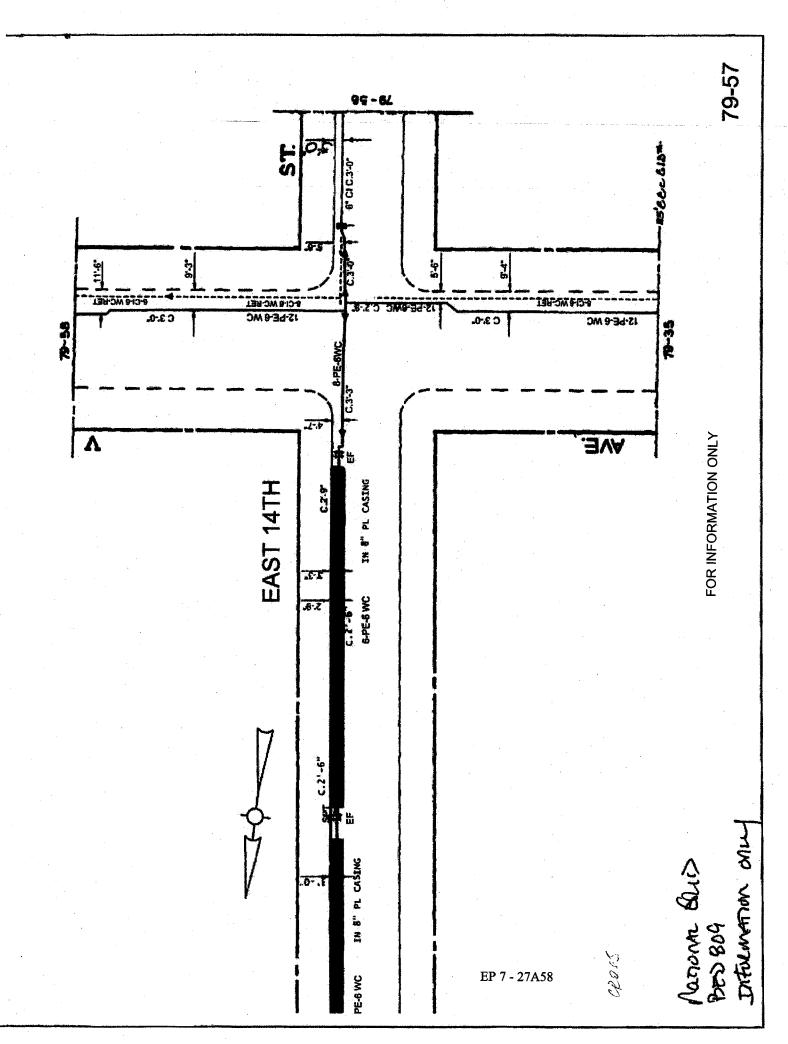


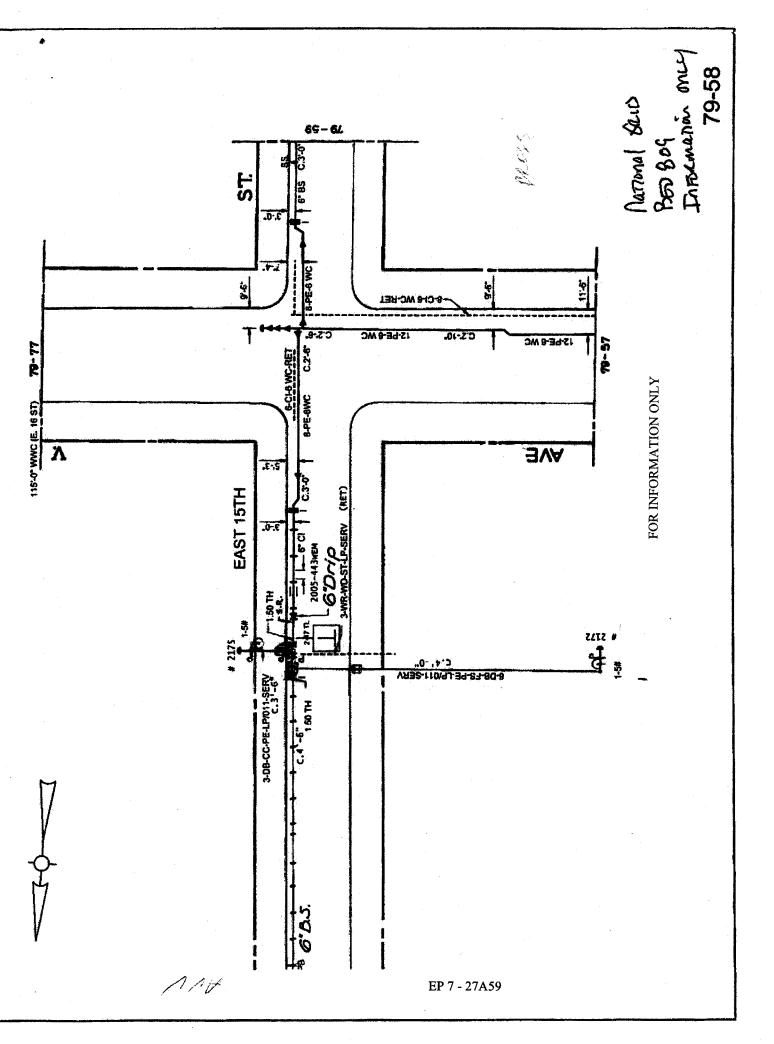












VI - LISTING OF APPROXIMATE LOCATIONS OF EP-7 BID ITEMS QUANTITIES.

(NO TEXT IN THIS AREA, TURN PAGE)

SCOPE OF WORK SUPPORT AND PROTECTION FOR CONTRACT NUMBER BED-809

The City of New York Department of Design and Construction is planning to install sewers and/or water mains and all appurtenances in various locations in The City of New York along with all work incidental thereto.

6.01.8 - Support & Protect Gas Services Crossing Trenches and/or Excavations (Ea.)

45 in Ave S bet Coney Island Ave & Ocean Ave

12 in Gravesend Neck Rd bet E 16th St & Ocean Ave

36 in E 17th St bet Ave T & Gravesend Neck Rd

10 in Coney Island Ave bet Ave U @ E 13 St

20 in Ocean Ave bet Ave S & Gravesend Neck Rd

6.01.9 - Support & Protect Gas Main Crossing Water Main Up To 20" In Diameter (Ea.)

4 in Avenue S @ E 12th St

3 in Avenue S @ Homecrest Ave

3 in Avenue S @ E 13th St

2 in Avenue S @ E 14th St

1 in Avenue S @ E 15th St

2 in Avenue S @ E 16th St

1 in Avenue S @ E 17th St

6 in Avenue S @ Ocean Ave

2 in Avenue T @ E 12th St

1 in Avenue T @ Homecrest Ave

2 in Avenue T @ E 13th St

1 in Avenue T @ E 14th St

3 in Avenue T @ E 16th St

4 in Avenue T @ E 17th St

1 in Avenue T @ E 19th St

3 in Avenue T @ Ocean Ave

3 in Avenue U @ E 12th St

3 in Avenue U @ Homecrest Ave

4 in Avenue U @ E 13th St

2 in Avenue U @ E 14th St

2 in Avenue U @ E 15th St

1 in Avenue U bet. E 14th & E 15th

2 in Avenue U @ E 16th St

4 in Avenue U @ E 17th St

2 in Avenue U @ E 18th St

1 in Avenue U @ Ocean Ave

1 in Avenue V @ E 12th St

1 in Avenue V @ Homecrest Ave

2 in Avenue V @ E 13th St

2 in Avenue V @ E 14th St

2 in Avenue V @ E 15th St

SCOPE OF WORK SUPPORT AND PROTECTION FOR CONTRACT NUMBER BED-809

The City of New York Department of Design and Construction is planning to install sewers and/or water mains and all appurtenances in various locations in The City of New York along with all work incidental thereto.

1 in Avenue V @ E 16th St

1 in Avenue V @ E 17th St

1 in Avenue V @ E 18th St

2 in Avenue V @ E 19th St

1 in Avenue V @ Ocean Ave

1 in Gravesend Neck Rd @ Homecrest Ave

1 in Gravesend Neck Rd @ E 12th St

1 in Gravesend Neck Rd @ E 13th St

1 in Gravesend Neck Rd @ E 14th St

1 in Gravesend Neck Rd @ E 15th St

1 in Gravesend Neck Rd @ E 16th St

1 in Gravesend Neck Rd @ E17th St

3 in Gravesend Neck Rd @ E 18th St

3 in Gravesend Neck Rd @ E 19th St

1 in Gravesend Neck Rd @ Ocean Avenue

6.03 - Removal Of Abandoned Gas Facilities. All Sizes (L.F.)

7700 in Various Locations As Required

6.03.1 - Removal Of Abandoned Gas facilities with Possible Coal Tar Wrap. All sizes. (L.F.) (For National Grid work Only)

600 in Various Locations As Required

6.04 - Adjust Hardware to Grade Using Spacer Rings/Adaptors (Street repaying) (Ea.)

120 in Various Locations As Required

6.05 - Adjust Hardware to Grade by Resetting (Road Reconstruction) (Ea.)

20 in Various Locations As Required

6.06 - Special Care Excavation & Backfilling (C.Y.)

2500 in various locations, as required, including but not limited to all gas services crossing unsheeted water main trenches.

SCOPE OF WORK SUPPORT AND PROTECTION FOR CONTRACT NUMBER BED-809

The City of New York Department of Design and Construction is planning to install sewers and/or water mains and all appurtenances in various locations in The City of New York along with all work incidental thereto.

6.06A - Special care excavation and backfilling for transmission mains (C.Y.)

190 in various locations, as required, including but not limited to following location:

15 in Avenue S @ E 16th St

15 in Avenue S @ E 17th St

15 in Avenue S @ E 14th St

15 in Avenue S @ E 13th St

15 in Avenue S @ E 12th St

15 in Avenue S @ Ocean Avenue

40 in Ave S btw E 17th St and E 18th St

30 in Ave S btw E 16th St and E 17th St

30 in Ave S btw E 13th St and E 14th St

6.07 - Test Pits For Gas Facilities (C.Y.)

250 in Various Locations As Required

HAZ - PAGES

SPECIFICATIONS FOR HANDLING, TRANSPORTATION AND DISPOSAL OF NONHAZARDOUS AND POTENTIALLY HAZARDOUS CONTAMINATED MATERIALS

NOTICE

THE PAGES CONTAINED IN THIS SECTION ARE ISSUED FOR THE PURPOSE OF SPECIFYING THE REQUIREMENTS OF THE CONTRACT DOCUMENTS AND HEREBY MADE PART OF SAID CONTRACT DOCUMENTS.

(NO TEXT ON THIS PAGE)

SPECIFICATIONS FOR HANDLING, TRANSPORTATION AND DISPOSAL OF NON-HAZARDOUS AND POTENTIALLY HAZARDOUS CONTAMINATED MATERIALS

REPLACEMENT OF WATER MAINS BOUNDED BY CONEY ISLAND AVENUE, AVENUE S, OCEAN AVENUE, AND GRAVESEND NECK ROAD

BOROUGH OF THE BROOKLYN CITY OF NEW YORK

Capital Project ID: BED809

Prepared By:



30-30 Thomson Avenue, 3rd Floor Long Island City, New York 11101

October 30, 2017

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ITEM 8.01 C1 HANDLING, TRANSPORTING, & DISPOSAL OF NON-HAZARDOUS CONTAMINATED SOILS

8.01 C1.1 WORK TO INCLUDE

General: This work shall consist of the handling, transportation, and disposal of non-hazardous contaminated soils. The materials covered by this specification are soils that are contaminated with petroleum or chemical products but cannot be classified as hazardous waste. For the purpose of this specification, soil shall be defined as any material excavated below the pavement and base for pavement.

Non-hazardous contaminated soils are defined as soils exhibiting one or more of the following characteristics:

- ♦ Elevated Photo-Ionization Detector (PID) readings, subsequently confirmed by lab analysis
- Visual evidence of contamination
- ♦ Petroleum and/or chemical odors
- Soils that have been documented as contaminated in previous environmental reports

Non-hazardous contaminated soils must be stockpiled at an off-site approved location or secured onsite by the Contractor, meeting all required Federal, State and Local stipulations. Sampling and laboratory analysis must be conducted to determine if the soils are hazardous, unless the alternative procedure as defined under subsection 8.01 C1.1 A.5 has been agreed upon by treatment facilities. Contaminated soils determined to be non-hazardous shall be handled in accordance with the specifications herein for Item 8.01 C1. Contaminated soils determined to be hazardous shall be handled in accordance with the specifications for Item 8.01 H – Handling, Transporting and Disposal of Hazardous Soils.

The Contractor shall retain the services of an independent Environmental Consultant, as specified under Item 8.01 S – Health and Safety, to oversee the work required under this Item.

Non-hazardous soils shall be delivered to the disposal or treatment facility within thirty (30) calendar days after excavation.

The Contractor shall conduct sampling and analysis of the impacted soils as specified under Item 8.01 C2 – Sampling and Testing of Contaminated/Potentially Hazardous Soils for Disposal Parameters. The laboratory results shall be forwarded to DDC Program Management, Office of Environmental and Geotechnical Services (OEGS) for review to determine if the soils will be handled and disposed of as contaminated regulated soils or hazardous waste. No other soils shall be sampled or tested without the DDC's approval or direction.

The Contractor shall ensure that all operations associated with the handling, sampling, loading, transportation, and disposal of non-hazardous contaminated soils are in compliance with all applicable Federal, State, and City statutes and regulations.

The Contractor shall document the excavation, handling, transportation and disposal of non-hazardous contaminated soils. The Contractor shall supply all equipment, material and labor required to conduct the specified work of this Item.

A. <u>Material Handling Plan</u>: Within forty-five (45) calendar days after award of Contract, the Contractor shall submit to the Program Management, OEGS for review, a Material Handling Plan (MHP). The MHP must be approved by the Program Management, OEGS, prior to the Contractor's commencement of work. The MHP shall, at a minimum, consist of:

- 1. The Contractor's procedures for identifying non-hazardous contaminated soils during excavation, including the specific model and manufacturer of intended organic vapor monitoring equipment and calibration procedures to be used. It should also include the training and experience of the personnel who will operate the equipment.
- 2. The Contractor's procedures for safely handling non-hazardous contaminated soils. The procedures must include personnel safety and health as well as environmental protection considerations.
- 3. Name, address, New York State Department of Health's (DOH) Environmental Laboratories Accreditation Program (ELAP) status and telephone number of the proposed laboratory for analysis of representative soil samples. The ELAP for the intended analysis must approve the laboratory.
- 4. Identification of the Contractor's proposed waste transporter(s). This information shall include:
 - a. Name and Waste Transporter Permit Number
 - b. Address
 - c. Name of responsible contact for the hauler
 - d. Telephone number for the contact
 - e. Any and all necessary permit authorizations for each type of waste transported
 - f. Previous experience in performing the type of work specified herein
- 5. All staging/stockpiling areas (if stockpiling areas are intended and available), or alternate procedures that will be used. Alternate procedures may include, but are not limited to, agreements from the intended disposal or treatment facilities to accept boring data and/or analytical data previously obtained during the site characterization so that materials may be directly loaded into vehicles for shipment to the disposal facility.
- 6. A backup facility should the staging/stockpile areas become unavailable, insufficient in area or not be present by some other unforeseen difficulty.
- 7. Identification of the Contractor's two proposed Treatment Storage or Disposal (TSD) facilities for non-hazardous contaminated soils (primary and back-up) for final disposal of the soils. The primary TSD shall be an approved soil recycling/treatment facility. The backup facility may be a recycling/treatment facility or a New York State Department of Environmental Conservation (DEC) approved lined landfill or other facility approved by DEC to accept this material. The information required for each facility shall include:
 - a. Facility name and the State identification number
 - (1) Facility location
 - (2) Name of responsible contact for the facility
 - (3) Telephone number for contact
 - (4) Signed letter of agreement to accept waste as specified in this contract
 - (5) Unit of measure utilized at facility for costing purposes
 - b. A listing of all permits, licenses, letters of approval, and other authorizations to operate, which are currently held and valid for the proposed facility.

- c. A listing of all permits, licenses, letters of approval, and other authorizations to operate which have been applied for by the proposed facility but not yet granted or issued.
- d. The Contractor shall specify and describe the disposal/containment unit(s) that the proposed facility will use to manage the waste. The Contractor shall identify the capacity available in the units and the capacity reserved for the subject waste.
- e. The Contractor shall provide the date of the proposed facility's last compliance inspection.
- f. A list of all active (unresolved) compliance orders (or agreements), enforcement notices, or notices of violations issued to the proposed facility shall be provided. The source and nature of the cause of violation shall be stated, if known.
- 8. Description of all sampling and field/laboratory analyses that will be needed to obtain disposal facility approval.

8.01 C1.2 MATERIALS

- A. Containers shall be as required in the United State Department of Transportation (DOT) regulations.
- B. Polyethylene to be placed under (20 mil. thickness minimum) and over (10 mil. thickness minimum) soil piles.
- C. The Contractor shall assure that the waste hauler's appropriate choice of vehicles and operating practices shall prevent spillage or leakage of contaminated material from occurring en route.
- D. The Contractor shall provide, install and maintain any temporary loading facilities on site as required until completion of material handling activities. The location and design of any facilities shall be included in the MHP and be approved by the Program Management, OEGS.

8.01 C1.3 CONSTRUCTION DETAILS

A. Material Handling

- 1. Immediately after excavation of non-hazardous contaminated soil the Contractor shall:
 - a. Load material directly onto trucks/tankers/roll offs for disposal off site; or
 - b. If interim stockpiling is required, place on a minimum of 20 mil. or equivalent plastic ground cloth and cover by minimum of 10 mil. polyethylene sheeting or equivalent to protect against leaching or runoff of contaminants into groundwater or stormwater. Weight or secure the sheeting by appropriate means and seal seams as approved by the DDC to prevent tearing or removal by weather. Grade surrounding surface to provide for positive drainage away from pile. Stockpile shall not exceed 100 cubic yards.
- 2. Institute appropriate procedures and security measures to ensure the protection of site personnel and the public from contaminated materials as described in the approved MHP and Item 8.01 S Health and Safety.
- 3. Any soil encountered that appears to contain unknown contaminants (based on visual, odor, or other observation), or that vary substantially from the material originally identified must be segregated in stockpiles and the independent Environmental Consultant promptly notified. Construct stockpiles to the same requirements as stated in subsection A.1.b above.

- 4. Provide any dewatering that is necessary to complete the work. Contaminated water shall be disposed of in accordance with Item 8.01 W1 Removal, Treatment and Discharge/Disposal of Contaminated Water.
- 5. Provide and operate field organic vapor test equipment, a PID or a flame ionization detector (FID), to detect general organic vapor levels at intervals of approximately fifty (50) cubic yards of soil excavated, when visual or odor observations indicate the material may substantially differ from the soil previously excavated and/or as directed by the independent Environmental Consultant.

B. Off-Site Transportation to Disposal or Treatment Facility

1. General

- a. The Contractor shall furnish all labor, equipment, supplies and incidental costs required to transport contaminated material from the work area to the off-site disposal or treatment facility, and any other items and services required for transporting contaminated material for disposal at an off-site facility.
- b. The Contractor shall submit the name and location of the facility where an off-site scale is located. The Contractor shall also submit a plan to the DDC for review outlining procedures on controlling trucks leaving the work site and en-route to the off-site scale. The Contractor shall be responsible for tracking all material/vehicles from the site to the off-site scale.
- c. The Contractor shall provide to the DDC certified tare and gross weight slips for each load received at the accepted facility which shall be attached to each returned manifest.
- d. The Contractor shall coordinate the schedule for truck arrival and material deliveries at the job site to meet the approved project schedule.
- e. The Contractor shall inspect all vehicles leaving the project site to ensure that contaminated soils adhering to the wheels or undercarriage are removed prior to the vehicle leaving the site.
- f. The Contractor shall obtain letters of commitment from the waste haulers and the treatment, disposal or recovery facility to haul and accept shipments. The letter shall indicate agreement to handle and accept the specified estimated quantities and types of material during the time period specified in the project schedule and any time extension as deemed necessary.
- g. The Program Management, OEGS shall review and approve waste profiles before transportation to the TSD facility.

2. Hauling

- a. The Contractor shall coordinate manifesting, placarding of shipments, and vehicle decontamination. All quantities shall also be measured and recorded upon arrival at the disposal or treatment facility. If any deviation between the two records occurs, the matter is to be reported immediately to the DDC and to be resolved by the Contractor to the satisfaction of the DDC.
- b. The Contractor shall be held responsible, at its own cost for any and all actions necessary to remedy situations involving material spilled in transit or mud and dust tracked off-site.
- c. The Contractor shall ensure that trucks are protected against contamination by properly covering and lining them with compatible material (such as polyethylene) or by decontaminating them prior to and between acceptances of loads.

- d. The Contractor shall be responsible for inspecting the access routes for road conditions, overhead clearance, and weight restrictions.
- e. The Contractor shall only use the transporter(s) identified in the MHP for the performance of work. Any use of substitute or additional transporters must have previous written approval from the Program Management, OEGS at no additional cost to the City.
- f. The Contractor shall develop, document, and implement a policy for accident prevention.
- g. The Contractor shall not combine contaminated materials from other projects with material from this project.
- h. No material shall be transported until approved by the DDC.

3. Off-Site Disposal

- a. The Contractor shall use only the facility(ies) identified in the MPH for the performance of the work. Substitutions or additions shall not be permitted without prior written approval from the Program Management, OEGS, and if approved shall be at no extra cost to the City.
- b. The Contractor shall be responsible for acceptance of the materials at an approved facility, for ensuring that the facility is properly permitted to accept the stated materials, and for ensuring that the facility provides the stated treatment and/or disposal services.
- c. The DDC reserves the right to contact and visit the disposal or treatment facility and regulatory agencies to verify the agreement to accept the stated materials and to verify any other information provided.
- d. In the event that the identified and approved facility ceases to accept the stated materials or the facility ceases operations, it is the Contractor's responsibility to locate an alternate approved and permitted facility(ies) for accepting materials. The alternate facility(ies) must be approved in writing by the DDC in the same manner and with the same requirements as for the original facility(ies). This shall be done at no extra cost or delay to the City.
- e. The Contractor shall obtain manifest forms, and complete the shipment manifest records required by the appropriate regulatory agencies for verifying the material and quantity of each load in unit of volume and weight. Copies of each manifest shall be submitted to the DDC within four (4) business days following shipment, and within three (3) business days after notification of receipt of the facility. Any manifest discrepancies shall be reported immediately to the DDC and be resolved by the Contractor to the satisfaction of the DDC.

4. Equipment and Vehicle Decontamination

- a. The Contractor shall design and construct a portable decontamination station to be used to decontaminate equipment and vehicles exiting from the exclusion zone. The cost for this work will be paid under Item 8.01 S Health and Safety.
- b. Water generated during the decontamination process shall be disposed of in accordance with Item 8.01 W1 Removal, Treatment and Discharge/Disposal of Contaminated Water.

8.01 C1.4 METHOD OF MEASUREMENT

Quantities for non-hazardous contaminated soils shall be measured in tons. The tonnage will be determined by off-site truck scales, as per Subsection 8.01 C1.3.B1, that are capable of generating load tickets.

8.01 C1.5 PRICE TO COVER

- A. The unit bid price bid per ton for Item 8.01 C1 shall include the cost of furnishing all labor, materials, equipment, plan, and insurance for excavation, handling, transportation, disposal, documentation, fees, permits, loading, stockpiling, hauling, and any other incidentals necessary to complete all the work as specified herein for handling, transporting, and disposal of non-hazardous contaminated soil.
- B. Final disposal of hazardous soil shall be paid for under Item 8.01 H Handling, Transporting and Disposal of Hazardous Soils. Disposal of decontamination water shall be paid for under Item 8.01 W1 Removal, Treatment and Discharge/Disposal of Contaminated Water.
- C. Backfill will be paid for under its respective item as specified in the contract document.
- D. The independent Environmental Consultant shall be paid under Item 8.01 S Health and Safety.

Payment will be made under:

ITEM NUMBER	ITEM	PAYMENT UNIT	
8.01 C1	Handling, Transporting, and Disposal of Non-Hazardous Contaminated Soil	Tons	

ITEM 8.01 C2 SAMPLING AND TESTING OF CONTAMINATED/ POTENTIALLY HAZARDOUS SOIL FOR DISPOSAL PARAMETERS

8.01 C2.1 WORK TO INCLUDE

A. Description

The work shall consist of collecting and analyzing representative soil samples for parameters typically requested by the disposal facilities.

B. Sampling and Laboratory Analysis

- 1. At least thirty (30) days prior to the commencement of work, the Contractor's independent Environmental Consultant must submit a Soil Sampling Plan/Field Sampling Plan (SSP/FSP) and an Investigation Health and Safety Plan to the Program Management, Office of Environmental and Geotechnical Services (OEGS) for review and approval. The SSP/FSP shall include the name, address, DOH's ELAP status, and telephone numbers of the proposed laboratory. The SSP/FSP shall also include training and experience of the personnel who will collect the samples. The Investigation HASP shall identify actual and potential hazards associated with planned sampling field activities and stipulate appropriate health and safety procedures, so as to minimize field personnel exposure to physical, biological, chemical hazards that may be present in the all sampling media.
- The Contractor shall sample and analyze representative samples of the 2. contaminated/potentially hazardous soils. For stockpiled soils, the Contractor shall collect and analyze one (1) composite sample per 500 cubic yards or fraction thereof. Each composite sample shall consist of a minimum of five (5) grab samples collected from greater than two (2) feet below the soil surface. For drummed soil, the Contractor shall collect one (1) composite sample per (ten) 10 drums or fraction thereof. Each composite sample shall consist of a grab sample from each of the ten (10) drums or fraction thereof. Each composite sample shall be analyzed for Resource Conservation and Recovery Act (RCRA) hazardous waste characteristics (Ignitability, Reactivity, Corrosivity), Full Toxicity Characteristic Leaching Procedure (TCLP) (including RCRA metals, volatile Organic Compounds (VOCs), Semi-Volatile Organic Compounds (SVOCs), pesticides, herbicides), Total Petroleum Hydrocarbons (TPH) and Polychlorinated Biphenyls (PCBs). All samples collected should be analyzed on a five (5) calendar days turn around time and analytical results must be submitted to Program Management, OEGS upon receipt of the analytical results.
- 3. All sampling shall be conducted by a person trained in sampling protocols using standard accepted practices for obtaining representative samples.
- 4. The Contractor must also contact the disposal facility where the waste will be sent for permanent disposal, and arrange to collect any additional samples required by the facility. The cost associated with additional sampling and testing shall be included in the bid price of this Item.
- 5. The quality of the data from the sampling program is the Contractor's responsibility. The Contractor must furnish all qualified personnel, equipment and instruments necessary to carry out the sampling. Unless directed otherwise, all sampling procedures must follow the DEC sampling guidelines and protocols.
- 6. All sample containers shall be marked and identified with legible sample labels which shall indicate the project name, sample location and/or container, the sample number, the

- date and time of sampling, preservatives utilized and other information that may be useful in determining the character of the sample. Chain-of-custody shall be tracked from laboratory issuance of sample containers through laboratory receipt of the samples.
- 7. The Contractor shall maintain a bound sample logbook. The Contractor shall provide DDC access to it at all times and shall turn it over to the DDC in good condition at the completion of the work. The following information, as a minimum shall be recorded to the log:
 - 1. Sample identification number
 - 2. Sample location
 - 3. Field observation
 - 4. Sample type
 - 5. Analyses
 - 6. Date/time of collection
 - 7. Collector's name
 - 8. Sample procedures and equipment utilized
 - 9. Date sent to laboratory and name of laboratory
- 8. The City reserves the right to direct the Contractor to conduct alternative sampling in lieu of the parameters described in subsection B2, if the situation warrants. The substitute sampling parameters shall be of equal or lesser monetary value than those described in subsection B2, as determined by industry laboratory pricing standards.
- 9. Only dedicated sampling equipment may be used to collect these samples. All equipment involved in field sampling must be decontaminated before being brought to the sampling location, and must be properly disposed after use.
- 10. Soils exceeding any of the hazardous characteristic criteria meet the legal definition of hazardous soils (rather than non-hazardous contaminated soils) and shall be transported or disposed of under Item 8.01 H Handling, Transporting and Disposal of Hazardous Soils. All analyses must be done by a laboratory that has received approval from the ELAP for the methods to be used. The Contractor must specify the laboratory in the MHP.

8.01 C2.2 METHOD OF MEASUREMENT

Quantities for samples shall be measured as the number of sets of samples that are tested. A set shall be defined as one (1) composite sample analyzed for the full range of parameters as specified in subsection B2.

8.01 C2.3 PRICE TO COVER

The unit price bid per set for Item 8.01 C2 shall include the cost of furnishing all labor, materials, equipment, plan, and insurance necessary for sampling, handling, transporting, testing, documentation, fees, permits and any other incidentals necessary to complete the work as specified herein for sampling and testing of contaminated/potentially hazardous soil.

Payment will be made under:

ITEM NUMBER	TIEM	PAYMENT UNIT	
8.01 C2	Sampling and Testing of Contaminated/ Potentially Hazardous Soil for Disposal Parameters	Set	

ITEM 8.01 H HANDLING, TRANSPORTING, AND DISPOSAL OF HAZARDOUS SOILS

8.01 H.1 WORK TO INCLUDE

<u>General</u>: This work shall consist of the handling, transportation and disposal of soils or materials that are listed as hazardous wastes or exhibit any of the characteristics of a hazardous waste, namely ignitability, corrosivity, reactivity, and toxicity, as defined in 6 NYCRR Part 371, Section 371.3 and 40 CFR Section 261. For the purpose of this specification, soils shall be defined as any materials excavated below the pavement and base for pavement.

Contaminated soils determined to be hazardous under Item 8.01 C2 shall be handled, transported, and disposed of under Item 8.01 H in accordance with the specifications herein.

The independent Environmental Consultant retained by the Contractor, as specified under Item 8.01 S – Health and Safety, shall conduct sampling and analysis of above soils to determine which soils are hazardous.

All work under Item 8.01 H shall be performed under the direct supervision of the Contractor's Environmental Consultant, as approved by the Program Management, Office of Environmental and Geotechnical Services (OEGS).

The Contractor shall ensure that all operations associated with the handling, sampling, loading, transportation, and disposal of hazardous materials are in compliance with the applicable Federal, State, and Local statutes and regulations.

The Contractor shall document the excavation, handling, sampling, and testing, transportation and disposal of hazardous soils. The City shall be listed in the disposal documents as the waste generator.

The Contractor shall supply all equipment, material and labor required to conduct the specified work of this section.

The Contractor shall ensure that all operations associated with the handling, sampling, loading, transportation and disposal of hazardous soils are conducted in a manner to protect site personnel, the public and the environment, in accordance with all applicable Federal, State, and Local laws and regulations.

The Contractor shall decontaminate all equipment prior to its removal from the exclusion zone and/or following contact with hazardous materials, as detailed in Item 8.01 S - Health and Safety. Water generated during the decontamination process shall be disposed of under Item 8.01 W1 - Removal, Treatment and Discharge/Disposal of Contaminated Water.

- A. <u>Material Handling Plan</u>: Within forty-five (45) calendar days after award of Contract, the Contractor shall submit to the Program Management, OEGS for review, a Material Handling Plan (MHP). The MHP must be approved by the Program Management, OEGS, prior to the Contractor's commencement of work. The MHP shall, at a minimum, consist of:
 - 1. The Contractor's procedures for identifying contaminated/potentially hazardous soils during excavation, including instrumentation and calibration procedures to be used.
 - 2. The Contractor's procedures for safely handling hazardous soils or soils which have not yet been tested but are believed to be potentially hazardous.
 - 3. Identification of the Contractor's proposed waste transporter(s). This information shall include:
 - a. Name and waste transporter permit number
 - b. Address
 - c. Name of responsible contact for the hauler

- d. Telephone number for the contact
- e. Any and all necessary permit authorizations for each type of waste transported
- f. Previous experience in performing the type of work specified herein
- 4. All staging/stockpiling areas (if stockpiling areas are intended and available), or alternate procedures that will be used. Alternate procedures could include, but are not limited to, agreements from the intended disposal or treatment facilities to accept boring data and/or analytical data previously obtained during the site characterization so that materials may be directly loaded into vehicles for shipment to the disposal facility or the use of off-site stockpiling locations approved by the DEC.
- 5. A backup facility, should the staging/stockpile areas become unavailable, insufficient in area or not be present by some other unforeseen difficulty.
- 6. Identification of the Contractor's two proposed United State Environmental Protection Agency (EPA) or DEC approved RCRA TSD facilities for hazardous soils.
- 7. The Contractor shall submit the following information prior to any transportation of soils regarding the temporary and final off-site TSD or facilities where it is proposing to take hazardous soils. The expense of furnishing all information will be included in the Contractor's bid price:
 - a. General Information
 - (1) Facility name and the EPA identification number
 - (2) Facility location
 - (3) Name of responsible contact for the facility
 - (4) Telephone number for contact
 - (5) Signed letter of agreement to accept waste as specified in this contract
 - (6) Signed letter of agreement with a TSD for disposal of waste that may not be land-disposed
 - (7) Unit of measure utilized at each facility for costing purposes
 - b. A listing of all permits, licenses, letters of approval, and other authorizations to operate, which are currently held and valid for the proposed facility as they pertain to receipt and management of wastes derived from this Contract.
 - c. A listing of all permits, licenses, letters of approval, and other authorizations to operate which have been applied for by the proposed facility.
 - d. The Contractor shall specify and describe the disposal/containment unit(s) that the proposed facility will use to manage the waste. The Contractor shall identify the capacity available in the units and the capacity reserved for the subject waste.
 - e. The Contractor shall provide the date of the proposed facility(ies) last compliance inspection under RCRA.
 - f. A list of all active (unresolved) compliance orders, agreements, enforcement notices or notices of violations issued to the proposed facility shall be approved. The source and nature of the cause of violation shall be stated, if known.
- 8. Description of all sampling and analyses that will be needed to obtain disposal facility approval.

8.01 H.2 MATERIALS

- A. Containers shall be watertight as required in the DOT regulations and must meet all applicable regulations including but not limited to those in Attachment 2.
- B. Polyethylene (20 mil. thickness minimum) to be placed under and (10 mil. thickness minimum) over soil piles. If soils are placed in drums, polyethylene must be placed over the drums.

8.01 H1.3 CONSTRUCTION DETAILS

A. Material Handling

- 1. The Contractor shall institute procedures to protect site personnel and the public from the non-hazardous and hazardous materials as described in Section 8.01 S Health and Safety.
- 2. The Contractor shall handle hazardous soil as approved in the MHP.
- 3. Stockpiled materials at the temporary TSD facility shall be handled according to the facility requirements but at a minimum: shall be drummed or placed on and covered with polyethylene to protect against erosion and leaching into surrounding soils, the stockpile area shall be graded for positive drainage away from the pile, and shall be labeled while being held for sampling prior to permanent disposal.
- 4. Provide any dewatering that is necessary to complete the work. Water shall be disposed of in accordance with Item 8.01 W1 Removal, Treatment and Discharge/Disposal of Contaminated Water.

B. Off-Site Transportation and Disposal

1. The Contractor shall furnish all labor, equipment and supplies required to transport hazardous materials from the work area to the off-site TSD facility(ies) and to acquire any other items and services required for transporting hazardous materials for storage and/or disposal at an approved off-site facility.

2. Weight Measurement

- a. The Contractor shall submit the name and location of the facility where an off-site scale is located. The Contractor shall also submit a plan to the DDC for review outlining procedures on controlling trucks leaving the work site and on-route to the off-site scale. The Contractor shall be responsible for tracking all materials/vehicles from the site to the off-site scale.
- b. The Contractor shall provide to the DDC certified tare and gross weight slips for each load received at the accepted facility which shall be attached to each returned manifest.

3. General

- a. Manifests: The Contractor shall organize and maintain the material shipment records/manifests required by law.
- b. The Contractor shall coordinate the schedule for truck arrival and material deliveries at the job site to meet the approved project schedule. The schedule shall be compatible with the availability of equipment and personnel for material handling at the job site.

- c. The Contractor shall inspect all vehicles leaving the project site to ensure that hazardous soils adhering to the wheels or under carriage are removed prior to the vehicle leaving the site.
- d. The Contractor shall obtain letters of commitment from the waste haulers and the TSD facility to haul and accept shipments. The letter shall indicate agreement to handle and accept the specified estimated quantities and types of material during the time period specified in the project schedule and any time extension as deemed as necessary.

4. Hauling

- a. The Contractor shall not deliver waste to any facility other than the TSD facility(ies) listed on the shipping manifest.
- b. The Contractor shall coordinate manifesting, placarding, of shipments, and vehicle decontamination. All quantities shall also be measured and recorded upon arrival at the TSD facility. If any deviation between the two records occurs, the matter is to be reported immediately to the DDC and to be resolved by the Contractor to the satisfaction of the DDC.
- c. The Contractor shall be held responsible, at its own expense, for any and all actions necessary to remedy situations involving material spilled in transit or mud and dust tracked off-site.
- d. The Contractor shall ensure that trucks are protected against contamination by properly covering and lining them with compatible material (such as polyethylene) or by decontaminating them prior to any use other than hauling hazardous materials.
- e. The Contractor shall be responsible for inspecting the access routes for road conditions, overhead clearance, and weight restrictions.
- f. The Contractor shall only use the transporter(s) identified in the MHP for the performance of work. Only a transporter with a current Part 364 Waste Transporter Permit from the DEC may transport this material. Any use of substitute or additional transporters must have previous written approval from the DDC at no additional cost to the City.
- g. The Contractor shall develop, document, and implement a policy for accident prevention.
- h. The Contractor shall not combine hazardous materials from other projects with material from this project.
- i. The Contractor shall obtain for the City an EPA hazardous waste generator identification number and a representative of Program Management, OEGS will review and sign the manifest as the generator.
- j. No materials shall be transported until approved by the DDC.

5. Off-Site Disposal

- a. The Contractor shall be responsible for acceptance of the materials at an approved TSD facility, for ensuring that the facility is properly permitted to accept the stated materials, and that the facility provides the stated storage and/or disposal services.
- b. In the event that the identified and approved facility ceases to accept the stated materials or the facility ceases operations, it is the Contractor's responsibility to locate an alternate approved and permitted facility(ies) for accepting materials.

The Contractor is responsible for making the necessary arrangements to utilize the facility(ies), and the alternate facility(ies) must be approved in writing by the DDC in the same manner and with the same requirements as for the original facility(ies). This shall be done with no extra cost or delay to the City.

- c. The Contractor shall submit all results and weights to the DDC.
- d. The Contractor is responsible to pay all fees associated with the generation and disposal of all excavated hazardous waste. These fees include, but are not limited to, the New York State Department of Finance and Taxation (DFT) quarterly fees for hazardous waste and the New York State DEC annual hazardous waste regulatory fee program. The Contractor shall submit a copy of proof of payment to the DDC and Program Management, OEGS.

6. Equipment and Vehicle Decontamination

The Contractor shall design and construct a portable decontamination station to be used to decontaminate equipment and vehicles exiting from the exclusion zone. The cost for this work shall be paid under Item 8.01 S - Health and Safety. Disposal of decontamination liquids is described under Item 8.01 W1 - Removal, Treatment and Discharge/Disposal of Contaminated Water.

7. Record Keeping

The Contractor shall obtain manifest forms, and complete the shipment manifest records required by the appropriate regulatory agencies for verifying the material and quantity of each load in unit of volume and weight. Copies of each manifest shall be submitted to the DDC within four (4) business days following shipment, and within three (3) business days after notification of receipt of the facility. Any manifest discrepancies shall be reported immediately to the DDC and be resolved by the Contractor to the satisfaction of the DDC.

8.01 H.4 METHOD MEASUREMENT

Quantities for hazardous soil shall be measured in tons satisfactorily delivered to the treatment, storage or disposal facility. The tonnage will be determined by off-site truck scales, as per subsection 8.01 H1.3.B.2, that are capable of generating load tickets.

8.01 H.5 PRICE TO COVER

- A. The unit price bid per ton for Item 8.01 H shall include the cost of furnishing all labor, materials, equipment, plan, and insurance for excavation, handling, transportation, disposal, documentation, permits, fees, taxes, stockpiling, hauling, and any other incidentals necessary to complete the work as specified herein for handling, transporting and disposal of hazardous soils.
- B. Final disposal of non-hazardous materials shall be paid for under Item 8.01 C1 Handling, Transporting and Disposal of Non-Hazardous Soils. Disposal of decontamination water shall be paid under Item 8.01 W1 Removal, Treatment and Discharge/Disposal of Contaminated Water.
- C The independent Environmental Consultant shall be paid under Item 8.01 S Health and Safety.
- D. Backfill will be paid for under its respective item.

Payment will be made under:

ITEM NUMBER	ITEM	PAYMENT UNIT
8.01 H	Handling, Transporting, and Disposal of Hazardous Soils	Tons

ITEM 8.01 S HEALTH AND SAFETY

8.01 S.1 WORK TO INCLUDE

Health and Safety Requirements

A. Scope of Work

It is the Contractor's responsibility to stage and conduct his work in a safe manner. The Contractor shall implement a Health and Safety Plan (HASP) for contaminated/hazardous soil intrusive activities as set forth in Occupational Safety and Health Administration (OSHA) Standards 1910.120 and 1926.650-652. The Contractor shall ensure that all workers have at a minimum hazard awareness training. The Contractor shall segregate contaminated work area in secured exclusion zones. These zones shall limit access to Contractor personnel specifically trained to enter the work area. The exclusion zone shall be set up to secure the area from the public and untrained personnel. The project health and safety program shall apply to all construction personnel including persons entering the work area. In addition, the Contractor shall protect the public from on-site hazards, including subsurface contaminants associated with on-site activities. The HASP shall be signed off by a Certified Industrial Hygienist and reviewed by Program Management, Office of Environmental and Geotechnical Services (OEGS).

Work shall include, but not be limited to:

- 1. Implementation of a baseline medical program.
- Providing safety equipment and protective clothing for site personnel, including
 maintenance of equipment on a daily basis; replacement of disposable equipment as
 required; decontamination of clothing, equipment and personnel; and providing all other
 health and safety measures.
- 3. Providing, installing, operating and maintaining on-site emergency medical first aid equipment as specified in this section for which payment is not provided under other pay items in this Contract.
- 4. Providing, installing, operating, maintaining and decommissioning all equipment and personnel decontamination facilities specified within this section, including, but not limited to, the decontamination pad, decontamination water supply, decontamination water collection equipment and all other items and services required for the implementation of the health and safety requirements for which pay items are not provided elsewhere in this Contract.
- 5. Provide the minimum health and safety requirements for excavation activities within the limits of this Contract.
- 6. Implement and enforce a HASP: The HASP as presented in these specifications is dynamic with provisions for change to reflect new information, new practices or procedures, changing site environmental conditions or other situations which may affect site workers and the public. The HASP will also address measures for community protection, accident prevention, personnel protection, emergency response/contingency planning, air monitoring, odor control and hazardous chemicals expected on site. Providing a Confined Space Entry Program as defined in the Occupational Safety and Health Act, Confined Space Entry Standard, 29 CFR 1910.146.

B. <u>Environmental Consulting Services</u>

The Contractor shall retain an independent Environmental Consultant to obtain all permits and perform all field screening, air monitoring, community air monitoring, soil sampling, and health

and safety services. The independent Environmental Consultant shall at a minimum provide documentation to the Program Management, OEGS demonstrating the minimum requirements as set forth below:

- 1. The independent Environmental Consultant project supervisor on site and other designated key personnel shall have a minimum of three (3) years experience in the environmental field dealing with issues associated with contaminated soils. Such experience shall include oversight on environmental, specifically volatile organic compound and dust monitoring services as a routine part of its daily operations.
- 2. The independent Environmental Consultant must be experienced in work of this nature, size, and complexity and must have previous experience in working with the DEC.
- 3. The independent Environmental Consultant shall furnish a project listing identifying the location, nature of services provided, owner, owner's contact, contact's telephone number, project duration and value for at least five (5) projects within the last three (3) years.
- 4. If conditions within the exclusion zone are deemed hazardous, then the Contractor and its independent Environmental Consultant shall ensure that all personnel working within identified exclusion zones and/or involved (direct contact) with the handling, storage or transport of hazardous and contaminated materials shall have completed a minimum of forty (40) hours of Health and Safety Training on Hazardous Waste Sites in accordance with 29 CFR 1910.120(e). The training program shall be conducted by a qualified safety instructor. If conditions in the exclusion zone are deemed to be non-hazardous, the independent Environmental Consultant shall provide site specific training.
- 5. The Contractor shall ensure that on-site management and supervisors directly responsible for or who supervise employees engaged in hazardous waste operations shall receive the training specified in above and at least eight (8) additional hours of specialized training on managing such operations at the time of job assignment.

C. Submittals

- 1. The Contractor shall submit, a written HASP, as specified herein, to Program Management, OEGS for review and comment. The written HASP shall be submitted, within thirty (30) calendar days after the availability of analytical results of the soil and groundwater testing, as required under Section 8.01 C2 and Section 8.01 W2. The Contractor shall make all necessary revisions required by Program Management, OEGS and resubmit the HASP to the Program Management, OEGS for acceptance. Start-up work for the project will not be permitted until written acceptance has been issued by the Program Management, OEGS.
- 2. Daily safety logs shall be maintained by the Contractor and shall be submitted to the DDC either on request or on completion of the work. Training logs shall be maintained by the Contractor and submitted to the DDC either on request or on completion of the work. Daily logs on air monitoring during excavation activities shall be prepared and maintained by the Contractor and submitted to the DDC either on request or upon completion of the work.
- 3. A closeout report shall be submitted by the Contractor to the DDC upon completion of the work within the defined exclusion zones. This report shall summarize the daily safety and monitoring logs and provides an overview of the Contractor's performance regarding environmental and safety issues. The report shall carefully document all areas where contamination has been found including pictures, addresses of locations, and potential sources.

- 4. Medical Surveillance Examinations: The Contractor shall submit to the DDC the name, office address and telephone number of the medical consultant utilized. Evidence of baseline medical examinations together with the evidence of the ability to wear National Institute for Occupational Safety and Health (NIOSH) approved respirators (as specified in American National Standards Institute (ANSI) Z88.6) shall be provided to the DDC for all construction personnel who are to enter the exclusion zones.
- 5. Accident Reports: All accidents, spills, or other health and safety incidents shall be reported to the DDC.

D. Health and Safety Plan

The HASP shall comply with OSHA regulations 29 CFR 1910.120/1926.65. This document shall at a minimum contain the following:

- 1. Description of work to be performed
- 2. Site description
- 3. Key personnel
- 4. Worker training procedures
- 5. Work practices and segregation of work area
- 6. Hazardous substance evaluation
- 7. Hazard assessment
- 8. Personal and community air monitoring procedures and action levels
- 9. Personal protective equipment
- 10. Decontamination procedures
- 11. Safety rules
- 12. Emergency procedures
- 13. Spill control, dust control, vapor/odor suppression procedures
- 14. Identification of the nearest hospital and route
- 15. Confined space procedures
- 16. Excavation safety procedures

8.01 S.2 MEASUREMENT

Health and Safety Requirements

A. 25% of the lump sum price will be paid when the following items are implemented or mobilized:

Medical surveillance program

Health and safety training

Health and safety plan

Environmental and personnel monitoring

Instrumentation

Spill control

Dust control

Personnel and equipment decontamination facilities

Personnel protective clothing

Communications

Mobilization

- B. 50% will be paid in proportional monthly amounts over the period of work.
- C 25% will be paid when the operation is demobilized and removed from the project site.

8.01 S.3 PRICE TO COVER

Health and Safety Requirements

The lump sum price bid for the health and safety requirements shall include all labor, materials, equipment, and insurance necessary to complete the work in accordance with these specifications. The price bid shall include, but not be limited to, the following:

- A. Providing training, safety personnel, air monitoring and medical examinations as specified.
- B. Providing safety equipment and protective clothing for site personnel, including maintenance of equipment on a daily basis; replacement of disposable equipment as required; decontamination of clothing, equipment and personnel; and all other health and safety activities or costs not paid for under other pay items in this Contract.
- C. Providing, installing, operating and maintaining on-site emergency medical and first aid equipment. This includes all furnishings, equipment, supplies and maintenance of all medical equipment, and all other health and safety items and services for which payment is not provided under other pay items in this Contract.
- D. Providing, installing, operating, maintaining, and decommissioning all personnel and equipment decontamination facilities, including decontamination pad, decontamination water supply, and all other items and services required for the implementation of the health and safety requirements for which pay items are not provided elsewhere in this Contract. Vehicle decontamination pads shall be included in the price of this item. Disposal of decontamination fluid shall be paid for under Item 8.01 W1 Removal, Treatment and Discharge/Disposal of Contaminated Water.

E. Spill Control

- 1. Payment shall account for furnishing, installing, and maintaining all spill control equipment and facilities. Payment will include equipment and personnel to perform emergency measures required to contain any spillage and to remove spilled materials and soils or liquids that become contaminated due to spillage during work within the exclusion zones and handling of excavated soils and liquids from these areas. This collected spill material will be properly disposed of.
- 2. Payment under this item shall not include testing, handling, transportation or disposal of petroleum-contaminated/potentially hazardous soils excavated during construction. The price for this work will be paid for under Items 8.01 C1 Handling, Transporting and Disposal of Non-Hazardous Contaminated Soils, 8.01 C2 Sampling and Testing of Contaminated/Potentially Hazardous Soil for Disposal Parameters or 8.01 H Handling, Transporting and Disposal of Hazardous Soils, as appropriate.

F. Dust Control

Payment shall account for furnishing, installing, and maintaining dust control equipment and facilities to be used whenever applicable dust levels are exceeded. Payment will include all necessary labor, equipment, clean water, foam, and all other materials required by the Dust Control Plan. The DOH Community Air Monitoring Plan (CAMP) may be used as guidance.

G. Vapor/Odor Suppression

Payment shall account for furnishing, installing and maintaining vapor/odor control equipment and facilities to be used whenever organic vapor monitoring or the presence of odors indicates that vapor suppression is required to protect workers or the public. Payment will include all necessary labor, equipment, clean water, foam and all other materials required by the Vapor/Odor Suppression Plan.

H. Mobilization/Demobilization

1. Mobilization

Payment shall include but not be limited to:

- a. All work required to furnish, install and maintain all signs, fencing, support zone facilities, parking areas and all temporary utilities;
- b. All work required to furnish, install, and maintain an office space with phone and utilities for health and safety personnel;
- c. All work required for complete preparation of lay down area for roll-off containers, including sampling, and any required fencing;
- d. All direct invoiced cost from bonding companies and government agencies for permits and costs of insurance; and
- e. All other items and services required for mobilization and site preparation.

2. Demobilization

Payment shall include but not be limited to: All work required to sample the area; remove from the site all equipment, temporary utilities and supporting facilities; performance of necessary decontamination and repairs; disposal of disposable equipment and protective gear and other items and services required for complete demobilization.

Payment will be made under:

ITEM NUMBER	ITEM	PAYMENT UNIT
8.01 S	Health and Safety	Lump Sum

ITEM 8.01 W1 REMOVAL, TREATMENT, AND DISCHARGE/DISPOSAL OF CONTAMINATED WATER

8.01 W1.1 WORK TO INCLUDE

General: This work shall consist of the proper removal and disposal of all contaminated groundwater and decontamination water generated during construction operations. The Contractor shall be solely responsible for the proper disposal or discharge of all contaminated water generated at the job site. The Contractor will have the option of treating water on-site for discharge to the combined sanitary/storm sewer system or removing contaminated water for off-site disposal. The Contractor shall be responsible to choose a method compatible to the construction work and shall be compensated on a per day basis regardless of method employed. The Contractor will be compensated for only those days where the system is in full operation.

The Contractor shall retain a dewatering/water treatment Specialist (hereinafter the "Specialist") and laboratory as specified under Item 8.01 W2 — Sampling and Testing of Contaminated Water, to conduct any testing that may be required for disposal of impacted water.

The dewatering/water treatment Specialist is responsible to obtain all permits; perform all water sampling, testing; and provide ancillary services related to dewatering and water treatment. The Specialist shall at a minimum provide documentation to the Program Management, Office of Environmental and Geotechnical Services (OEGS) demonstrating the minimum requirements as set forth below:

- 1. The Specialist shall demonstrate that it has, at a minimum, three (3) years experience in the design of dewatering plans. The Specialist should demonstrate expertise dealing with issues associated with contaminated water. During that three (3) year period, the Specialist shall demonstrate that it provided dewatering and water treatment systems as a routine part of its daily operations.
- 2. The Specialist must be experienced in work of this nature, size, and complexity and must have previous experience in working with the DEC.
- 3. The Specialist shall furnish a project listing identifying the location, nature of services provided, owner, owner's contact, contact's telephone number, project duration and value for at least five (5) projects within the last three (3) years of a similar nature, size, and complexity to this one.
- 4. If conditions within the exclusion zone are deemed hazardous, then the Contractor and its independent Environmental Consultant shall ensure that all personnel working within identified exclusion zones and/or involved (direct contact) with the handling, storage or transport of hazardous and contaminated material shall have completed a minimum of forty (40) hours of Health and Safety Training on Hazardous Waste Sites in accordance with 29 CFR 1910.120(e). The training program shall be conducted by a qualified safety instructor. If conditions in the exclusion zone are deemed to be non-hazardous, the Specialist shall be responsible to provide site-specific training to its employees and other affected personnel.
- 5. The Contractor shall ensure that on-site management and supervisors directly responsible for or who supervise employees engaged in hazardous waste operations shall receive the training specified in above and at least eight (8) additional hours of specialized training on managing such operations at the time of job assignment.

The Contractor shall document all operations associated with the handling, sampling and disposal of contaminated water, and ensure that they are in compliance with applicable Federal, State and Local statutes and regulations.

The Contractor shall supply all labor, equipment, transport, plant, material, treatment, and other incidentals required to conduct the specified work of this section.

If water will be disposed of into the combined sanitary/storm sewer system, the Contractor shall ensure the Specialist treats the water to comply with the New York City Department of Environmental Protection (DEP) Sanitary/Combined and Storm Sewer Effluent Limit concentrations prior to discharge. The Contractor is responsible for providing settling or filtering tanks and any other apparatus required by DEP. Alternatively, the Contractor can provide a plan for transport and disposal at an off-site waste disposal facility.

Within forty-five (45) calendar days after award of Contract, the Contractor shall submit to the Program Management, OEGS for review, a Water Handling Plan (WHP). The WHP must be approved by the Program Management, OEGS, prior to the Contractor's commencement of work. The minimum requirements for the WHP are specified herein Item 8.01W 1.2, for each type of disposal (disposal into the combined sanitary/storm sewer or off-site disposal). The Contractor shall maintain a complete, up to date copy of the WHP on the job site at all times.

8.01 W1.2 CONSTRUCTION DETAILS

For each disposal method the Contractor proposes to utilize (disposal to combined sanitary/storm sewer or off-site disposal), the WHP shall include the information required in paragraphs A and B below, as appropriate.

- A. On-site treatment and discharge into New York City combined sanitary/storm sewers.
 - 1. Regulations: The Contractor shall comply with all applicable regulations. This includes but may not be limited to:
 - Title 15-New DEP Sewer Use Regulations.
 - 2. Permits: The Contractor is solely responsible to obtain all necessary and appropriate Federal, State and Local permits and approvals. The Contractor will be responsible for performing all and any system pilot tests required for permit approval. This includes but may not be limited to:
 - a. Industrial waste approval for the New York City sewer system.
 - Groundwater discharge permit for the New York City sewer system (DEP Division of Sewer Regulation and Control), if discharge to sewer exceeds 10,000 gallons per day.
 - c. The Contractor shall comply with DEC State Pollutant Discharge Elimination System (SPDES) Permit Number GP-0-10-001, General Permit for Stormwater Discharges.
 - d. Long Island well point permit for Brooklyn and Queens sites, if well points are used for dewatering.
 - e. Wastewater quality control application, DEP.
 - 3. The WHP for this portion of the work shall include at a minimum:

- a. Identification and design of Contractor's proposed treatment to assure that the water meets the DEP sewer use guidelines prior to discharge to the sewer, including identification of all materials, procedures, settling or filtering tanks, filters and other appurtenances proposed for treatment and disposal of contaminated water.
- b. The name, address and telephone number of the contact for the Contractor's proposed chemical laboratory, as well as the laboratory's certifications under Federal, State or non-governmental bodies.
- c. The name, address and telephone number of the contact for the Contractor's proposed independent Environmental Consultant.
- d. Copies of all submitted permit applications and approved permits the Contractor have received.

4. Materials

The Contractor shall supply all settling or filtering tanks, pumps, filters, treatment devices and other appurtenances for treatment, temporary storage and disposal of contaminated water. All equipment shall be suitable for the work described herein.

5. Execution

- a. The Contractor is solely responsible for disposal of all water, in accordance with all Federal, State and Local regulations.
- b. The Contractor is solely responsible for any treatment required to assure that water discharged into the sewer is in compliance with all permits and Federal, State and Local statutes and regulations.
- c. The Contractor is solely responsible for the quality of the water disposed of into the sewers.
- d. The Contractor is responsible for sampling and testing of water for the DEP Sanitary/Combined and Storm sewer Effluent Limit concentrations. The quality of the data is the Contractor's responsibility. Any sampling and testing shall be conducted and paid in accordance with Item 8.01 W2 Sampling and Testing of Contaminated Water.
- e. The Contractor shall be responsible to maintain the discharge rate to the sewer such that all permit requirements are met, the capacity of the sewer is not exceeded and no surcharging occurs downstream due to the Contractor's actions. Dewatering by means of well points or deep wells will not be allowed in the Boroughs of Brooklyn or Queens where the rate of pumping exceeds forty-five (45) gallons per minute unless the appropriate permit has been secured from the DEC.

f. Disposal of Treatment Media

- (1) The Contractor shall be responsible for disposal or recycling of treatment media in accordance with all Federal, State and Local regulations.
- (2) The Contractor shall provide the DDC with all relevant documentation concerning the disposal of treatment media, including manifests, bills of

- lading, certificates of recycling or destruction and other applicable documentation.
- (3) Disposal of treatment media shall not be considered as a separate pay item; instead it shall be considered as incidental work thereto and included in the unit price bid.

B. Off-Site Disposal

- 1. Regulations: The Contractor shall conform to all applicable Federal, State and Local regulations pertaining to the transportation, storage and disposal of any hazardous and/or non-hazardous materials as listed in Attachment 2.
- 2. The following shall be submitted to the DDC prior to initiating any off-site disposal:
 - a. (1) Name and waste transporter permit number
 - (2) Address
 - (3) Name of responsible contact for the hauler
 - (4) Any and all necessary permit authorizations for each type of waste transported
 - (5) Previous experience in performing the type of work specified herein
 - b. General information for each proposed treatment/disposal facility and at least one backup treatment/disposal facility
 - (1) Facility name and EPA identification number
 - (2) Facility location
 - (3) Name of responsible contact for the facility
 - (4) Telephone number for contact
 - (5) Unit of measure utilized at facility for costing purposes
 - c. A listing of all permits, licenses, letters of approval and other authorizations to operate, which are currently held and valid for the proposed facility as they pertain to receipt and management of the wastes derived from this Contract.
 - d. A listing of all permits, licenses, letters of approval and other authorizations to operate which have been applied for by the proposed facility but not yet granted or issued. Provide dates of application(s) submitted. Planned submittals shall also be noted.
 - e. The Contractor shall specify and describe the disposal/containment unit(s) that the proposed facility will use to manage the waste and provide dates of construction and beginning of use, if applicable. Drawings may be provided. The Contractor shall identify the capacity available in the units and the capacity reserved for the subject waste.
 - f. The Contractor shall provide the date of the proposed facility's last compliance inspection.

- g. A list of all active (unresolved) compliance orders, agreements, enforcement notices or notices of violations issued to the proposed facility shall be submitted. The source and nature of the cause of violation shall be stated, if known. If groundwater contamination is noted, details of the facility's groundwater monitoring program shall be provided.
- h. Description of all sampling and field/laboratory analyses that will be needed to obtain disposal facility approval.

3. Materials

All vessels for temporary storage and transport to an off-site disposal facility shall be as required in DOT regulations.

4. Execution

a. General

- (1) The Contractor shall organize and maintain the material shipment records/manifests required by Federal, State and Local law. The Contractor shall include all bills of lading, certificates of destruction, recycling or treatment and other applicable documents.
- (2) The Contractor shall coordinate the schedule for truck arrival and material deliveries at the job site to meet the approved project schedule. The schedule shall be compatible with the availability of equipment and personnel for material handling at the job site.
- (3) The Contractor shall inspect all vehicles leaving the project site to ensure that contaminated liquids are not spilling and are contained for transport.
- (4) The Contractor shall obtain letters of commitment from the waste haulers and the treatment, disposal or recovery facility to haul and accept shipment. The letter shall indicate agreement to handle and accept the specified estimated quantities and types of material during the time period specified in the project schedule and any time extension as deemed as necessary.
- (5) The Contractor shall verify the volume of each shipment of water from the site.
- (6) The Contractor is responsible for sampling and testing of water for off-site disposal. The quality of the data is the Contractor's responsibility. Any sampling and testing shall be conducted and paid in accordance with Item 8.01 W2 – Sampling and Testing of Contaminated Water.
- (7) The Contractor shall be responsible for any additional analyses required by the TSD facility, and for the acceptance of the water at an approved TSD facility.

b. Hauling

(1) The Contractor shall not deliver waste to any facility other than the TSD facility(ies) listed on the shipping manifest.

- (2) The Contractor shall coordinate manifesting, placarding of shipments, and vehicle decontamination. All quantities shall also be measured and recorded upon arrival at the TSD facility(ies). If any deviation between the two records occurs, the matter is to be reported immediately to the DDC and shall be resolved by the Contractor to the satisfaction of the DDC.
- (3) The Contractor shall be held responsible for any and all actions necessary to remedy situations involving material spilled in transit or mud and dust tracked off-site. This cleanup shall be accomplished at the Contractor's expense.
- (4) The Contractor shall be responsible for inspecting the access routes for road conditions, overhead clearance and weight restrictions.
- (5) The Contractor shall only use the transporter(s) identified in the WHP for the performance of work. Only a transporter with a current Part 364 Waste Transporter Permit from DEC may transport this material. Any use of substitute or additional transporters must have previous written approval from the DDC at no additional cost to the City.
- (6) The Contractor shall develop, document, and implement a policy for accident prevention.
- (7) The Contractor shall not combine waste materials from other projects with material from this project.
- (8) The Contractor shall obtain for the City a hazardous waste generator identification number and will sign the manifest as the generator, if necessary.
- (9) No material shall be transported until approved by the DDC.

c. Disposal Facilities

- (1) The Contractor shall use only the TSD facility(ies) identified in the WHP for the performance of the work. Substitutions or additions shall not be permitted without prior written approval from the Program Management, OEGS, and, if approved, shall be at no extra cost to the City.
- (2) The Contractor shall be responsible for acceptance of the material at an approved TSD facility, for ensuring that the facility is properly permitted to accept the stated material, and that the facility provides the stated storage and/or disposal services.
- (3) The DDC reserves the right to contact and visit the disposal facility and regulatory agencies to verify the agreement to accept the stated material and to verify any other information provided. This does not in any way relieve the Contractor of his responsibilities under this Contract.
- (4) In the event that the identified and approved facility ceases to accept the stated materials or the facility ceases operations, it is the Contractor's responsibility to locate an alternate approved and permitted facility(ies) for accepting materials. The Contractor is responsible for making the necessary arrangements to utilize the facility(ies), and the alternate facility(ies) must be approved in writing by the DDC in the same manner and with the same

requirements as for the original facility(ies). This shall be done with no extra cost or delay to the City.

- d. Equipment and Vehicle Decontamination
 - (1) The Contractor shall design and construct a portable decontamination station to be used to decontaminate equipment and vehicles exiting the exclusion zone. The cost for this work shall be paid under Item 8.01 S Health and Safety.

8.01 W1.3 METHOD OF MEASUREMENT

The quantity for on-site treatment and discharge or off-site disposal shall be on a per day basis.

8.01 W1.4 PRICE TO COVER

- A. The per day price bid for Item 8.01 W1 shall include the cost of furnishing all labor, materials, equipment, plan, and insurance for handling, transportation, disposal, documentation, permits, hauling, mobilization and demobilization, and any other incidentals thereto to complete the work.
- B. The Contractor will not be paid for water that is within the DEP Sewer Discharge Limits.

Payment will be made under:

ITEM NUMBER	ITEM	PAYMENT UNIT
8.01 W1	Removal, Treatment and Disposal/Discharge of Contaminated Water	Day

ITEM 8.01 W2 SAMPLING AND TESTING OF CONTAMINATED WATER

8.01 W2.1 WORK TO INCLUDE

A. Description

The work shall consist of sampling and testing of potentially contaminated groundwater, surface runoff within the excavated area and all contaminated water generated during the decontamination process.

B. Sampling and Testing

- 1. The Contractor is responsible, at a minimum, for sampling and testing of contaminated water for the DEP Sanitary/Combined and Storm Sewer Effluent Limit concentrations as listed in Attachment 1, and in accordance with the DDC-approved SSP/FSP and the Investigation HASP, as specified in 8.01 C2. The quality of the data is the Contractor's responsibility. Any additional testing required by the Federal, State and/or disposal facilities shall be included in the bid price of this Item.
- 2. All sampling and testing shall be conducted by a person trained in sampling protocols using accepted standard practices and/or the DEC sampling guidelines and protocols.
- 3. All sample containers shall be marked with legible sample labels which shall indicate the project name, sample location and/or container, the sample number, the date and time of sampling, preservatives utilized, how the sample was chilled to 4 degrees Celsius, and other information that may be useful in determining the character of the sample.
- 4. Chain-of-custody shall be tracked from laboratory issuance of sample containers through receipt of the samples.
- 5. The Contractor shall maintain a bound sample log book. The Contractor shall provide the DDC access to it at all times and shall turn it over to the DDC in good condition at the completion of the work. The following information, as a minimum, shall be recorded to the log:
 - a. Sample identification number
 - b. Sample location
 - c. Field observation
 - d. Sample type
 - e. Analyses
 - f. Date/time of collection
 - g. Collector's name
 - h. Sample procedures and equipment used
 - i. Date sent to laboratory/name of laboratory
- 6. Only dedicated sampling equipment may be used to collect these samples. All equipment involved in field sampling must be decontaminated before being brought to the site, and must be properly disposed of after use.
- 7. Samples shall be submitted to the Contractor's laboratory within the holding times for the parameters analyzed.

- 8. All analyses must be done by a laboratory that has received approval from the DOH's ELAP for the methods to be done. The Contractor must specify the laboratory in the WHP.
- 9. Analytical results for water discharged to the sewer and for off-site disposal must be submitted to the DDC no later than five (5) days after sample collection.
- 10. The City reserves the right to direct the Contractor to conduct alternative sampling in lieu of the parameters described above, if the situation warrants. The substitute sampling parameters shall be of equal or lesser monetary value than those described above, as determined by industry laboratory pricing standards.

8.01 W2.2 METHOD OF MEASUREMENT

Quantities for samples shall be measured as the number of sets of samples that are tested for the DEP Sanitary/Combined and Storm Sewer Effluent Limit concentrations. A set shall be defined as one (1) representative sample analyzed for the full range of DEP parameters as specified in attachment 1.

8.01 W2.3 PRICE TO COVER

The unit price bid per set for Item 8.01 W2 shall include the cost of furnishing all labor, materials, equipment, plan, and insurance for handling, transport, sampling, testing, documentation, permits, other incidentals necessary to complete the work of sampling and testing of contaminated water. Any additional costs incurred by the Contractor for sampling and testing of contaminated water shall be included in the bid price of this Item.

Payment will be made under:

ITEM NUMBER	ITEM	PAYMENT UNIT
8.01 W2	Sampling and Testing of Contaminated Water	Set

ATTACHMENT 1: NYCDEP LIMITATIONS FOR DISCHARGE TO STORM, SANITARY/COMBINED SEWER

NEW YORK CITY DEPARTMENT OF ENVIRONMENTAL PROTECTION BUREAU OF WASTEWATER TREATMENT

Limitations for Effluent to Sanitary or Combined Sewers

Parameter ⁱ	Daily Limit	Units	Sample Type	Monthly Limit
Non-polar material ²	50	mg/l	Instantaneous	
pН	5-11	SU's	Instantaneous	
Temperature	< 150	Degree F	Instantaneous	
Flash Point	> 140	Degree F	Instantaneous	
Cadmium	2	mg/l	Instantaneous	
	0.69	mg/l	Composite	
Chromium (VI)	5	mg/l	Instantaneous	
Copper	5	mg/l	Instantaneous	
Lead	2	mg/l	Instantaneous	
Mercury	0.05	mg/l	Instantaneous	
Nickel	3	mg/l	Instantaneous	
Zinc	5	mg/l	Instantaneous	
Benzene	134	ppb	Instantaneous	57
Carbontetrachloride			Composite	
Chloroform			Composite	
1,4 Dichlorobenzene			Composite	
Ethylbenzene	380	ppb	Instantaneous	142
MTBE (Methyl-Tert-Butyl-Ether)	50	ppb	Instantaneous	
Naphthalene	47	ppb	Composite	19
Phenol			Composite	
Tetrachloroethylene (Perc)	20	ppb	Instantaneous	
Toluene	74	ppb	Instantaneous	28
1,2,4 Trichlorobenzene			Composite	
1,1,1 Trichloroethane			Composite	
Xylenes (Total)	74	ppb	Instantaneous	28
PCB's (Total) ³	1	ppb	Composite	
Total Suspended Solids (TSS)	350 ⁴	mg/l	Instantaneous	
CBOD ⁵			Composite	
Chloride ⁵			Instantaneous	
Total Nitrogen ⁵			Composite	
Total Solids ⁵			Instantaneous	

All handling and preservation of collected samples and laboratory analyses of samples shall be performed in accordance with 40 C.F.R. pt. 136. If 40 C.F.R. pt. 136 does not cover the

pollutant in question, the handling, preservation, and analysis must be performed in accordance with the latest edition of "Standard Methods for the Examination of Water and Wastewater." All analyses shall be performed using a detection level less than the lowest applicable regulatory discharge limit. If a parameter does not have a limit, then the detection level is defined as the least of the Practical Quantitation Limits identified in NYSDEC's Analytical Detectability and Quantitation Guidelines for Selected Environmental Parameters, December 1988

- Analysis for *non-polar materials* must be done by EPA method 1664 Rev. A. Non-Polar Material shall mean that portion of the oil and grease that is not eliminated from a solution containing N-Hexane, or any other extraction solvent the EPA shall prescribe, by silica gel absorption.
- 3 Analysis for PCB=s is required if **both** conditions listed below are met:
 - 1) if proposed discharge ≥ 10,000 gpd;
 - 2) if duration of a discharge > 10 days.
 - Analysis for PCB=s must be done by EPA method 608 with MDL=<65 ppt. PCB's (total) is the sum of PCB-1242 (Arochlor 1242), PCB-1254 (Arochlor 1254), PCB-1221 (Arochlor 1221), PCB-1232 (Arochlor 1232), PCB-1248 (Arochlor 1248), PCB-1260 (Arochlor 1260) and PCB-1016 (Arochlor 1016).
- For discharge ≥ 10,000 gpd, the TSS limit is 350 mg/l. For discharge < 10,000gpd, the limit is determined on a case by case basis.
- Analysis for Carbonaceous Biochemical Oxygen Demand (CBOD), Chloride, Total Solids and Total Nitrogen are required if proposed discharge ≥ 10,000 gpd.

ATTACHMENT 2: APPLICABLE REGULATIONS

Applicable regulations include, but are not limited to:

- 1. 49 CFR 100 to 179 DOT Hazardous Materials Transport and Manifest System Requirements
- 2. New York State Department of Environmental Conservation (DEC), Spills Technology and Remediation Series (STARS) Memo #1
- 3. 6 NYCRR 360-1 DEC Solid Waste Management Facilities
- 4. 6 NYCRR 364- Waste Transporter permits
- 5. Local restrictions on transportation of waste/debris
- 6. 40 CFR 260 to 272 Hazardous Waste Management (RCRA)
- 7. 6 NYCRR 371 Identification and Listing of Hazardous Wastes
- 8. 6 NYCRR 372 Hazardous Waste Manifest System and Related Standards for Generators, Transporters and Facilities
- 9. 6 NYCRR 373-1 Hazardous Waste Treatment, Storage and Disposal Facility Permitting Requirements
- 10. 6 NYCRR 376 Land Disposal Restrictions
- 11. Posted weight limitations on roads or bridges
- 12. Transportation Skills Programs, Inc. 1985 Hazardous Materials and Waste Shipping Papers and Manifests
- 13. Other local restrictions on transportation of waste/debris
- 14. Occupational Safety and Health Administration (OSHA), Standards and Regulations, 29 CFR 1910 (General Industry)
- 15. OSHA 29 CFR 1910.120 Hazardous Waste Operations and Emergency Response
- 16. OSHA Safety and Health Standards 29 CFR 1926 (Construction Industry)
- 17. OSHA 29 CFR 1910.146 Confined Space Entry Standard
- 18. Standard Operating Safety Guidelines, EPA Office of Emergency and Remedial Response Publication, 9285.1-03
- 19. NIOSH / OSHA / USCG / EPA Occupational Safety and Health Guidance Manual for Hazardous Waste Site Activities (1986)
- 20. U.S. Department of Health and Human Services (DHHS) "NIOSH Sampling and Analytical Methods," DHHS (NIOSH) Publication 84-100
- 21. ANSI, Practice for Respiratory Protection, Z88.2 (1980)
- 22. ANSI, Emergency Eyewash and Shower Equipment, Z41.1 (1983)
- 23. ANSI, Protective Footwear, Z358.1 (1981)
- 24. ANSI, Physical Qualifications for Respirator Use, Z88.6 (1984)
- 25. ANSI, Practice for Occupational and Educational Eye and Face Protection, Z87.1 (1968)
- Water Pollution Control Federation "Manual of Practice No. 1, Safety in Wastewater Works"
- 27. NFPA No. 327 "Standard Procedures for Cleaning and Safeguarding Small Tanks and Containers"

- 28. Occupational Safety and Health Act Confined Space Entry Standard 29 CFR 1910.146.87
- 29. Department of Transportation 49 CFR 100 through 179
- 30. Department of Transportation 49 CFR 387 (46 FR 30974, 47073)
- 31. Environmental Protection Agency 40 CFR 136 (41 FR 52779)
- 32. Environmental Protection Agency 40 CFR 262 and 761
- 33. Resource Conservation and Recovery Act (RCRA)
- 34. Any transporter of hazardous or non-hazardous materials shall be licensed in the State of New York and all other states traversed in accordance with all applicable regulations.

ATTACHMENT 3: DEFINITIONS

- Contaminated Groundwater and Decontamination Fluids: Groundwater within the excavation trench or decontamination water that contains regulated compounds above the NYCDEP Discharge to Sanitary/Combined Sewer Effluent limits.
- **Disposal or Treatment Facility:** A facility licensed to accept either non-hazardous regulated waste or hazardous waste for either treatment or disposal.
- **Exclusion Zone:** Work area that will be limited to access by Contractor personnel specifically trained to enter the work area only. The exclusion zone will be set up to secure the area from the public and untrained personnel. The project health and safety program will apply to all construction personnel including persons entering the work area.
- **Hazard Assessment:** An assessment of any physical hazards that may be encountered on a work site.
- **Hazardous Soils:** Soils that exhibit any of the characteristics of a hazardous waste, namely ignitability, corrosivity, reactivity, and toxicity, as defined in 6 NYCRR Part 371, Section 371.3 and 40 CFR Section 261.
- Hazardous Substance Evaluation: An evaluation of the possible or known presence of any hazardous substances that may be encountered on a job site. This evaluation is included in the Health and Safety Plan and will include the identification and description of any hazardous substances expected to be encountered. Material Safety Data Sheets (MSDS) will be included for each substance.
- **Health and Safety Plan:** A plan employed at a work site that describes all the measures that will be taken to assure that all work is conducted in a safe manner, and that the health of the workers and the public will be insured.
- Material Handling Plan: A plan outlining the methods that will be employed to handle, transport and dispose of contaminated materials.
- Non-Hazardous Contaminated Soils: Soils which exhibit a distinct chemical or petroleum odor, or exhibit elevated photoionization detector readings but are not classified as hazardous waste under 6 NYCRR Part 371, Section 371.3 and 40 CFR Section 261.
- New York State Health Department's Environmental Laboratory Approval Program: A program by which the state of New York approves and accredits environmental testing laboratories.
- **PCBs:** Polychlorinated biphenyls are a group of toxic compounds commonly used as a coolant in transformers and other electrical components.
- **Photoionization Detector:** A hand held instrument used to measure volatile organic compounds in air. The instrument ionizes the organic molecules through the use of an ultraviolet lamp.
- RCRA Hazardous Waste Characteristics: Characteristics of a material which may indicate the material is hazardous. These include: ignitability corrosivity, reactivity, and toxicity.
- **Total Petroleum Hydrocarbons:** An analytical procedure used to determine the total amount of petroleum compounds in a material.

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ATTACHMENT 4: PH	IASE II SUBSURI	FACE CORRI	DOR INVE	STIGATION	N REPORT
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DDC Project No. BED809

- Final -

Phase II Subsurface Corridor Investigation Report

for

Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, Ocean Avenue, and Gravesend Neck Road

Brooklyn, New York

DDC PROJECT NO. BED809

WOL NO. 13338-LBA-4-12148

CONTRACT REGISTRATION NO. 20151405733

Prepared for:



Office of Environmental and Geotechnical Services 30-30 Thomson Avenue, 3rd Floor Long Island City, New York 11101

Prepared by:



Louis Berger & Assoc., P.C.

48 Wall Street, 16th Floor

New York, NY 10005

Tel. (212) 612-7900 Fax (212) 363-4341

PROJECT NO. 2011040.321

October 31, 2017



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EXECUTIVE SUMMARY

On behalf of the New York City Department of Design and Construction (DDC), Louis Berger & Assoc., PC. (Louis Berger) conducted a Phase II Subsurface Corridor Investigation (Phase II SCI) of the BED809 project located in the Sheepshead Bay section of the Borough of Brooklyn, New York (hereinafter referred to as the "Corridor"). Construction for the replacement of distribution water mains is proposed along the Corridor to improve water quality and residual pressure in the project area.

The approximately 5.89-mile (31,099-foot) long Corridor is identified on the Figure 1 Topographic Corridor Location Map and is comprised of the following street segments:

Street Segments	Length (mile)
Avenue S between Coney Island Avenue and East 18th Street	0.38
Avenue S between East 19th Street and 50 feet east of Ocean Avenue	0.08
Avenue T between Coney Island Avenue and 50 feet east of Ocean Avenue	0.56
Avenue U between Coney Island Avenue and 50 feet east of Ocean Avenue	0.52
Avenue V between Homecrest Avenue and 50 feet east of Ocean Avenue	0.43
Gravesend Neck Road from 130 feet west of the intersection of East 16 th Street to 50 feet east of Ocean Avenue	0.28
Coney Island Avenue between Avenue U and Gravesend Neck Road	0.27
East 12 th Street from 50 feet north of Avenue S to 50 feet south of Avenue T	0.20
East 12th Street between Avenue U to Gravesend Neck Road	0.28
Homecrest Avenue between Avenue V and Gravesend Neck Road	0.14
East 13th Street from 50 feet north of Avenue S to Gravesend Neck Road	0.63
East 14th Street between Avenue U and Gravesend Neck Road	0.28
East 15th Street between Avenue U and Gravesend Neck Road	0.27
East 16th Street between Avenue T and Avenue U	0.16
East 16th Street between Avenue V and Gravesend Neck Road	0.13
East 17th Street between Avenue T and Gravesend Neck Road	0.42
East 18th Street between Avenue V and Gravesend Neck Road	0.09
East 19th Street between Avenue S and Avenue T	0.16
East 19th Street between Avenue V and Gravesend Neck Road	0.07
Ocean Avenue from 50 feet north of Avenue S to 50 feet south of Gravesend Neck Road	0.54



Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, etc. - Brooklyn, NY

Louis Berger prepared a Phase I Corridor Assessment Report (Phase I CAR) dated September 11, 2017, which identified 84 final "High" risk sites and 43 final "Moderate" risk sites with respect to potential impact on the Corridor, and recommended conducting a Phase II SCI.

The Phase II SCI was conducted to determine if the Corridor's environmental condition may potentially impact proposed construction activities. The proposed depth of excavation for the DDC infrastructure project is estimated to be 6 feet below grade (ftbg). Based on the review of available information provided by the DDC, and discussions with the DDC Project Manager, Louis Berger proposed the advancement of 30 soil borings along the Corridor area to characterize soils that may be encountered during construction.

The Phase II SCI was conducted on October 11 through 16, 2017, and consisted of the following components:

Scope of Work

- The advancement of 30 soil borings (SB01 through SB30) utilizing hand tools. Each soil boring was advanced to terminal depths ranging from 4 to 6 ftbg;
- Field screening, classification and identification of soils from surface grade to the terminal
 depth of each boring. Soil samples were visually classified in the field using the Burmister
 Classification, Unified Soil Classification System (USCS), and Munsell Rock Color charts.
 Field screening of soils consisted of visual and olfactory indicators of impacts, as well as
 screening with a photoionization detector (PID);
- The collection of one (1) grab soil sample from each boring. The grab soil samples were collected from the 6-inch interval above the bottom of the proposed excavation depth (where recovery allowed). The soil samples were analyzed for Target Compound List (TCL) Volatile Organic Compounds (VOCs) using US Environmental Protection Agency (USEPA) Method 8260C;
- The collection of one (1) composite waste classification soil sample from each boring. The waste classification sample was a composite from ground surface to the bottom of the proposed excavation depth (where recovery allowed). The waste classification samples were analyzed for Polycyclic Aromatic Hydrocarbons (PAHs) by USEPA Method 8270C, Total Petroleum Hydrocarbons-Diesel Range Organics/Gasoline Range Organics (TPH-DRO/GRO) by USEPA 8015B, Polychlorinated Biphenyls (PCBs) by USEPA Method 8082A/608, Toxicity Characteristic Leaching Procedure (TCLP) Metals (Resource Conservation and Recovery Act



[RCRA] 8) by USEPA Method 1311/6010B, and RCRA Characteristics, including ignitability, reactivity and corrosivity, by USEPA Methods 9012B/9034, 1030/1010A, and 9045C,

• The preparation of this report, which includes tables summarizing the laboratory analytical results, and figures depicting boring locations, significant Corridor features and, if applicable, contamination occurrence and distribution.

respectively, as well as Paint Filter Test by USEPA Method 9095B; and,

In order to evaluate subsurface soil quality for waste classification purposes, laboratory analytical results of grab and composite soil samples were compared with regulatory standards identified in: New York State Department of Environmental Conservation (NYSDEC) Subpart 375-6: Remedial Program Unrestricted (Track 1), Restricted-Residential, and Commercial Use (Track 2) Soil Cleanup Objectives (SCOs) and Toxicity Characteristic Regulatory Levels for Hazardous Waste published in RCRA and 6 New York Codes, Rules and Regulations (NYCRR) Part 371.

Based on the evaluation of the field screening data and the laboratory analytical results, and a comparison to applicable regulatory standards, the following findings are presented:

Findings and Conclusions

- No visual or olfactory evidence of contamination was observed and no elevated PID readings were detected at any soil boring location;
- Fill material, consisting of dusky yellowish brown to light brown, sand with silt and gravel and silty clay with sand and gravel, was found in all 30 soil boring locations at depths ranging from 0 to 6 ftbg. Anthropogenic fill material consisting of concrete and ash was observed in two (2) of the 30 borings (SB19 and SB30) to a maximum depth of 6 ftbg (SB19);
- Groundwater and bedrock were not encountered at any of the boring locations;
- One (1) VOC (methylene chloride) was detected above the laboratory's reporting limits in the soil sample collected from SB19; however, the concentration was below the applicable regulatory standards;
- Several PAHs were detected above the laboratory's reporting limits in all soil samples with the
 exception of soil samples SB04, SB05, and SB14; however, only soil sample SB18 exhibited
 concentrations above regulatory standards. Laboratory results indicate that benzo[a]pyrene
 (1.8 parts per million [ppm]) was detected above the Unrestricted Use (Track 1), Restricted-



Residential Use (Track 2), and Commercial Use (Track 2) SCOs. Benzo[a]anthracene (2.5 ppm), benzo[b]fluoranthene (2.3 ppm), and indeno[1,2,3-cd]pyrene (1.0 ppm) were detected above the Unrestricted Use (Track 1) and Restricted-Residential Use (Track 2) SCOs. Benzo[k]fluoranthene (0.84 ppm) and chrysene (2.1 ppm) were detected above the Unrestricted Use (Track 1) SCO. Lithology indicates the presence of historic fill material in all soil borings; therefore, the PAH exceedances may be attributed to contaminants related to historic fill material;

- No PCBs were detected above the laboratory's reporting limits in any of the soil samples collected as part of this Phase II SCI;
- Waste classification laboratory results indicate that TCLP barium was detected in 21 soil samples and TCLP lead was detected only in SB20. All detected concentrations were below RCRA Hazardous Waste Levels. Therefore, soil samples collected from the Corridor do not exhibit evidence of hazardous waste characteristics;
- TPH-GRO was not detected in any of the waste classification soil samples, while TPH-DRO concentrations were detected above the laboratory's reporting limits in eight (8) waste classification soil samples with concentrations ranging from 65 ppm (SB06) to 150 ppm (SB28). However, there are no regulatory standards for TPH. Lithology shows the presence of fill material in all of the soil borings; therefore, the TPH-DRO detections may be attributed to historic fill material. It is also possible that the TPH concentrations observed during the Phase II SCI are the result of petroleum releases within or adjacent to the Corridor; and
- The analytical laboratory results of the soil samples show that the RCRA parameters (reactivity, ignitability, and corrosivity) were within the RCRA standards. Therefore, results of these analyses indicate that the soil samples collected do not exhibit evidence of hazardous waste characteristics.

Based on the results of the field investigation and laboratory analytical results, the following recommendations are provided:

Recommendations

 The Contract documents should identify provisions for managing, handling, transporting and disposing of contaminated non-hazardous soil. The Contractor should be required to submit a Material Handling Plan to identify the specific protocol and procedures that will be employed to manage the waste in accordance with applicable regulations;



- Dust control procedures are recommended and should be implemented during excavation
 activities to minimize the creation and dispersion of fugitive airborne dust. The Contractor
 should implement dust control measures to minimize potential airborne contaminants (i.e.,
 PAHs and TPH) released into the ambient environment as a direct result of construction
 activities;
- Groundwater was not encountered during the Phase II SCI activities. However, if dewatering
 becomes necessary, the contractor will be required to obtain a New York City Department of
 Environmental Protection (NYCDEP) sewer discharge permit and perform sampling and
 laboratory analysis prior to discharge into the sanitary or combined sewers;
- In addition, if discharge into storm sewers, which ultimately discharge into a surface water body, is required during dewatering, it may be performed under the appropriate NYSDEC State Pollutant Discharge Elimination System (SPDES) permit. Additional sampling and laboratory analysis may be required to satisfy NYSDEC requirements prior to discharge into storm sewers; and,
- Before beginning any excavation activity, the contractor should submit a Corridor-specific health and safety plan (HASP) that will meet the requirements set forth by the Occupational, Safety and Health Administration (OSHA), the New York State Department of Health (NYSDOH) and any other applicable regulations. The HASP should identify the possible locations and risks associated with the potential contaminants that may be encountered, and the administrative and engineering controls that will be utilized to mitigate concerns.



1.0 INTRODUCTION

On behalf of the New York City Department of Design and Construction (DDC), Louis Berger & Assoc., PC. (Louis Berger) conducted a Phase II Subsurface Corridor Investigation (Phase II SCI) of the BED809 project located in the Sheepshead Bay section of the Borough of Brooklyn, New York (hereinafter referred to as the "Corridor"). Construction for the replacement of distribution water mains is proposed along the Corridor to improve water quality and residual pressure in the project area.

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Ocean Avenue from 50 feet north of Avenue S to 50 feet south of Gravesend Neck Road	0.54

Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, etc. - Brooklyn, NY

Louis Berger prepared a Phase I Corridor Assessment Report (Phase I CAR) dated September 11, 2017, which identified 84 final "High" risk sites and 43 final "Moderate" risk sites with respect to potential impact on the Corridor, and recommended conducting a Phase II SCI.

The Phase II SCI was conducted to determine if the Corridor's environmental condition may potentially impact proposed construction activities. The proposed depth of excavation for the DDC infrastructure project is estimated to be 6 feet below grade (ftbg). Based on the review of available information provided by the DDC, and discussions with the DDC Project Manager, Louis Berger proposed the advancement of 30 soil borings along the Corridor area to characterize soils that may be encountered during construction.

1.1 Summary of Previous Environmental Investigations

The Phase I CAR presented the results of an investigation to document the current use, a review of Sanborn fire insurance maps to document historical use, and a review of the state and federal government databases to identify sites on or adjoining the Corridor that constitute a potential environmental concern.

Based on Risk Criteria protocol established by the DDC, findings presented in the Phase I CAR defined these sites as posing either "High", "Moderate", or "Low" risk for possible contamination to the subsurface environment along the Corridor. Louis Berger identified 408 sites categorized as initially having a "High" risk and no sites categorized as initially having a "Moderate" risk with respect to potential impact on the project in relation to the Corridor. Based on modifying information, Louis Berger has identified the following 84 final "High" risk sites and 43 final "Moderate" risk sites with respect to potential impact on the project Corridor. The final "High" and "Moderate" risk sites are listed below:

"High" Risk Sites:

No:	Facility Name	Address	Maj ID
1	Apartment Building (Historical Stain and Paint Shop)	1105 Avenue S	H#1
2	Mike's Dry-Cleaning & Tailoring	1109 Avenue S	H#2
3	Private Residence (Historical Cleaners)	1217 Avenue S	H#3



	l per constitution		
No.	Facility Name	Address	Map ID
4	Green Funding of NY Inc. Mortgage Insurance (Historical Auto Station Operations)	1236 Avenue S	H#4
5	Apartment Building (Historical Auto Operations; Active aboveground storage tank [AST])	1862 East 14th Street	H#5
6	Homecrest Health Center N.Y.C Department of Health (Current hazardous waste generator, Historical Pumping Station)	1601 Avenue S	H#6
7	Royal Wheels Leasing, Owllife (Historical Auto Station Operations and Historical Manufacturing)	2305 Coney Island Avenue	H#7
8	BP Gas Station	2315 Coney Island Avenue	H#8
9	Private Residence (Historical Auto Station Operations)	1993 East 14th Street	H#9
10	Private Residence (Historical Cleaners)	1957 East 18th Street	H#10
11	Apartment Building (Historical Cleaners)	2067 East 12th Street	H#11
12	Fortuna Restaurant & Bar (Historical Manufacturing)	1223 Avenue U	H#12
13	Mina Corner Deli, Apartment (Historical Manufacturing)	1225 Avenue U	H#13
14	Sweet Island Grocery, Red Apple Cleaners	1214 to 1216 Avenue U	H#14
15	Laundromat (Historical Paints)	1244 Avenue U	H#15
16	Good Family Bakery, Apartment (NY Drycleaners)	1317 Avenue U	H#16
17	Luck Spring Hair Salon and Spa Inc, Apartments (Historical Clothing Manufacturing)	2112 East 14th Street	H#17
18	Apartment Building (Historical Drycleaners, Historical Manufacturing)	2105 East 14th Street	H#18
19	Evon Hair Studio (Historical Dry Cleaners)	1422 to 1424 Avenue U, 2102 to 2112 East 15th Street	H#19
20	Boss Dental (Historical Dry Cleaners)	1502 to 1504 Avenue U, 2101 to 2111 East 15th Street	H#20



No.	Facility Name	Address	Map ID
21	Easy Shop Supermarket Inc. (Historical Dry Cleaners)	1520 Avenue U, 2102 East 16th Street	H#21
22	Private Residence (Historical Auto Operations)	2124 East 17th Street	H#22
23	HSBC Bank (Historical Auto Station Operations)	1702 to 1710 Avenue U, 2101 to 2111 East 17th Street	H#23
24	European Fashion Boutique (Historical Men's Hat Manufacturing)	1712 Avenue U	H#24
25	Chase Bank (Historical Filling Station)	1720 to 1724 Avenue U, 2102 to 2122 East 18th Street	H#25
26	U Spa Nail & Hair (Historical Paints)	1806 Avenue U	H#26
27	Nice Laundromat and Cleaners	1810 Avenue U	H#27
28	Kago 99¢ Dollar Store (Historical Dry Cleaner)	1812 Avenue U	H#28
29	Lotus Bridal (Historical Paints)	1822 Avenue U	H#29
30	Law Offices Joseph N. Di Grazia Attorney at Law (Historical Dry Cleaner, Historical Auto Repair)	1824 Avenue U, 2102 to 2112 East 19th Street	H#30
31	Central Salon (Open Spill)	1900 to 1904 Avenue U, 2101 to 2111 East 19th Street	H#31
32	A&M Optometric Group (Historical Clothing Manufacturer)	1910 Avenue U	H#32
33	Private Residence (Historical Dumping of Oil)	2074 East 19th Street	H#33
34	Pacific Surgical Supply (Historical Auto Station Operations)	1901 Avenue U, 2077 to 2089 East 19th Street	H#34
35	Big Apple Merchandise 99¢ Dollar Store (Historical Dry Cleaner)	1911 Avenue U	H#35
36	Lot Under Development (Historical Auto Station Operations)	2499 Ocean Avenue	H#36
37	David's Hair & Nail (Historical Dry Cleaner)	2003 to 2009 Avenue U	H#37



No.	Facility Name	Address	MapID
38	Apartment Building, First Floor Commercial (E Designation, Historical Filling Station)	2563 to 2575 Ocean Avenue	H#38
39	Vacant Store Front (Historical Cleaner, Historical Auto Towing)	2415 to 2417 Coney Island Avenue	H#39
40	Burger King (Historical Used Car Sales)	2425 to 2437 Coney Island Avenue	H#40
41	Ocean Bay Market (Historical Used Car Sales)	2424 Coney Island Avenue	H#41
42	Marquis Auto, Hari Masters	2444 Coney Island Avenue	H#42
43	Ben & Nino Repair Shop	2443 to 2449 Coney Island Avenue	H#43
44	GC Lumber Supply Inc (Historical Auto Repair)	2451 to 2463 Coney Island Avenue	H#44
45	Lumber Center (Historical Auto Repair)	2467 to 2469 Coney Island Avenue	H#45
46	Brace Central Orthodontics and Pediatric Dentistry NYC (Historical Auto Body Works, Historical Used Car Sales)	2464 Coney Island Avenue	H#46
47	Commercial Plaza with a Laundromat & Cleaners	2478 to 2486 Coney Island Avenue, 2173 to 2183 East 9th Street, 901 to 913 Avenue V	H#47
48	Galaxy Tire shop	2487 Coney Island Avenue	H#48
49	Sunoco Gas Station	2489 to 2499 Coney Island Avenue, 1102 to 1108 Avenue V	H#49
50	Chase Bank (Historical Used Car Sales, Historical Nursery Operations)	2490 to 2500 Coney Island Avenue, 914 Avenue V	H#50
51	Silk And Sheer Laser Hair Removal (Historical Contractors Yard, Historical Contractor Storage)	2502 to 2508 Coney Island Avenue	H#51
52	Coney and V Auto Sales (Historical Auto Repair)	2510 Coney Island Avenue	H#52
53	Beys Specialty Inc (Historical Manufacturing)	2520 Coney Island Avenue	H#53
54	Kasai Hibachi Restaurant & Bar (Historical Manufacturing)	2524 Coney Island Avenue	H#54



No.	Facility Name	Address	Map ID
55	Popular Truck Center, Vacant Commercial Building (Historical Filling Station, Auto Repair and Used Auto Sales)	2505 Coney Island Avenue	H#55
56	Raymond's Dry Cleaners	2548 Coney Island Avenue	H#56
57	Khan Grocery (Historical Clothing Manufacturing)	2552 to 2560 Coney Island Avenue, 837 Gravesend Neck Road	H#57
58	Chateau De Captain Seafood Restaurant (Historical Gas Tanks, Historical Auto Sales)	2566 to 2570 Coney Island Avenue, 828 to 838 Gravesend Neck Road	H#58
59	Eat N' Run Café (Historical Auto Repair)	2574 Coney Island Avenue	H#59
60	New York Police Department 61st Precinct (Historical Auto Wrecking, Historical Auto Repair and Painting)	2563 to 2583 Coney Island Avenue, 1001 to 1017 Avenue W, 1002 Gravesend Neck Road	H#60
61	Parking Lot for New York Police Department 61st Precinct (Historical Filling Station and Auto Repair)	2557 Coney Island Avenue, 1001 to 1011 Gravesend Neck Road	H#61
62	Nakash Family Building, Rabbi Shaul J. Kassin Boys High School (Historical Auto Repairs, Historical Garage with Gas Tanks, Historical Auto Sales and Service)	2533 Coney Island Avenue, 2254 to 2268 East 12th Street, 1017 to 1035 Gravesend Neck Road	H#62
63	Apartment Building (Historical Coal Storage, Historical Repair Yard, Active Underground Storage Tank [UST])	1401 to 1423 Avenue V, 2183 East 14th Street, 2184 East 15th Street	H#63
64	Pal Laundry and Pressing Dry Cleaning (Historical Auto Station Operations)	1192 Gravesend Neck Road	H#64
65	Apartment Building (Historical Auto Station Operations)	1200 Gravesend Neck Road	H#65
66	Raymond's Dry Cleaning	1215 Gravesend Neck Road	H#66
67	May Laundromat (Includes Dry Cleaning)	1217 Gravesend Neck Road	H#67



No.	Facility Name	Address	MipD
68	The Ink Shop Printing & Graphics (Historical Clothing Manufacturer)	1219 Gravesend Neck Road	H#68
69	Gravesend Neck Road Deli & Grocery (Historical Dry Cleaner)	1223 Gravesend Neck Road	H#69
70	Déjà Vu Flower Shop, Vacant Commercial Building, Best Billing Co, Atlantic Photo (Historical Clothing Manufacturers)	1301 to 1309 Gravesend Neck Road, 2275 to 2281 East 13 Street	H#70
71	Laundromat and Dry Cleaners	1403 Gravesend Neck Road	H#71
72	Empire Transportation (Historical Clothing Manufacturing)	1417 Gravesend Neck Road	H#72
73	Baron Cleaners	1602 to 1612 Gravesend Neck Road, 2277 East 16th Street	H#73
74	Net Cost Market, Anteka Pharmacy, Laguna Flowers, Aminach Furniture (Historical Auto Repair, Historical Manufacturing)	2247 to 2259 East 16th Street, 2252 to 2264 East 17th Street, 1601 to 1625 Gravesend Neck Road	H#74
75	Next Millennium Auto Center	2232 to 2244 East 17th Street	H#75
76	Apartment Building (Historical Auto Station Operations)	1718 Avenue V	H#76
77	Unnamed Auto Repair Shop	2235 to 2259 East 17th Street, 1701 to 1707 Gravesend Neck Road	H#77
78	Private Residence (Historical Auto Station Operations)	1711 Gravesend Neck Road	H#78
79	Jordan's Bay Diagnostic (Historical Gas Tanks, Historical Auto Repair)	1713 to 1717 Gravesend Neck Road	H#79
80	Treacy Auto Repair	1725 Gravesend Neck Road, 2250 East 18th Street	H#80
81	Multiple Private Residences (Historical Lumber Yard)	1700 to 1726 Gravesend Neck Road, 2267 to 2283 East 17th Street, 2258 to 2282 East 18th Street	H#81
82	Vacant Lot (Open Spill, Historical Filling Station)	2633 to 2643 Ocean Avenue, 2002 to 2012 Avenue V	H#82
83	CITGO gas station	2001 Gravesend Neck Road	H#83
84	Apartment Building (Historical Auto Station Operations)	2657 to 2693 Ocean Avenue, 2002 Gravesend Neck Road	H#84

"Moderate" Risk Sites:

No.	Facility Name	Address	Map ID
1	Bet Yaakov Aterct Torah (Closed tanks, Former RCRA Waste Generator)	2166 to 2174 Coney Island Avenue	M#1
2	Apartment Building (Active Tank)	1884 to 1890 East 14th Street	M#2
3	Apartment Building (Active Tank)	1406 to 1410 Avenue S	M#3
4	St. Arthur Cunningham Junior High School (Active Tanks, Former Hazardous Waste Generator)	1866 East 17th Street, 1615 Avenue S	M#4
5	Public School 253 (Active tank, Current Hazardous Waste Generator)	1875 East 17th Street, 1701 Avenue S	M#5
6	Apartment Building (Active Tank)	2332 to 2362 Ocean Avenue, 1909 to 1929 Avenue S	M#6
7	Apartment Building (Active Tank)	2337 to 2361 Ocean Avenue, 2001 to 2011 Avenue S	M#7
8	Apartment Building (Active Tank)	2364 to 2376 Ocean Avenue, 1916 to 1930 Avenue S	M#8
9	Apartment Building (Active Tank)	2363 to 2377 Ocean Avenue, 2002 to 2014 Avenue S	M#9
10	Apartment Building (Active Tank)	2387 to 2407 Ocean Avenue	M#10
11	Apartment Building (Active Tank)	2423 to 2439 Ocean Avenue	M#11
12	Vacant Lot, Under Development by Starealty Estate (One Converted Tank)	2446 to 2458 Ocean Avenue, 1913 to 1929 Avenue T	M#12
13	Public School 153 (Active Tank, Current Hazardous Waste Generator)	1970 to 1996 Homecrest Avenue, 1201 to 1217 Avenue T, 1969 to 1997 East 12th Street	M#13
14	Apartment Building (Active Tank)	1985 East 15th Street, 1501 Avenue T	M#14
15	Apartment Building (Active Tank)	1600 Avenue T, 2001 to 2023 East 16th Street	M#15
16	Two Apartment Buildings (Active Tank)	2025 to 2035 East 16th Street	M#16
17	Apartment Building (Closed in Place Tank)	2061 to 2075 East 16th Street	M#17



"Moderate" Risk Sites Continued:

No.	Facility Name	Address	Map ID
18	Nefesh Academy (Active Tank)	2001 to 2011 East 17th Street, 1702 to 1704 Avenue T	M#18
19	Apartment Building (Active Tank)	1716 Avenue T, 2002 East 18th Street	M#19
20	Apartment Building (Active Tank)	2049 East 17th Street	M#20
21	C Town Supermarket (Closed Tank)	1709 to 1723 Avenue U, 2080 to 2090 East 18th Street	M#21
22	St. Edmonds Preparatory High School (Active Tank)	2474 to 2480 Ocean Avenue, 1902 to 1914 Avenue T, 2001 East 19th Street	M#22
23	Ocean View Court Apartment Building (Active Tank)	2530 Ocean Avenue	M#23
24	Marine Court Apartment Building (Active Tank)	2534 to 2548 Ocean Avenue, 1919 to 1929 Avenue U	M#24
25	Investors Bank, Offices (Active Tank)	2560 Ocean Avenue, 1926 Avenue U	M#25
26	Rite AID Pharmacy (Hazardous Waste Generator)	2002 to 2006 Avenue U, 2549 to 2555 Ocean Avenue	M#26
27	Lester's Clothing Store (Active Tanks)	1111 Avenue U	M#27
28	Apartment Building (Active Tank)	2077 East 12th Street, 1201 to 1211 Avenue U	M#28
29	Apartment Building (Active Tank)	2101 to 2113 East 12th Street, 1202 to 1212 Avenue U	M#29
30	Chinese Porcelain Store (Closed in Place Tank)	1222 Avenue U	M#30
31	Apartment Building (Active Tank)	2044 East 13th Street	M#31
32	Apartment Building (Active Tank)	2175 East 15th Street, 1501 to 1507 Avenue V	M#32
33	Apartment Building (Active PBS ID)	2146 East 17th Street	M#33
34	Apartment Building (Active Tank)	2164 East 17th Street	M#34
35	Apartment Building (Active Tank)	2147 East 17th Street	M#35
36	Apartment Building (Active Tank)	2257 to 2287 Homecrest Avenue, 1201 to 1211 Gravesend Neck Road, 2254 to 2262 East 13th Street	M#36

"Moderate" Risk Sites Continued:

No.	Facility Name	Address	Map ID
37	Apartment Building (Active Tank)	2287 to 2299 East 13th Street, 1302 Gravesend Neck Road	M#37
38	Apartment Building (Active Tank)	2222 East 18th Street, 2223 to 2225 East 17th Street	M#38
39	Apartment Building (Active Tank)	1818 to 1824 Avenue V	M#39
40	Apartment Building (Active Tank)	2622 to 2632 Ocean Avenue, 1919 to 1929 Avenue V	M#40
41	Apartment Building (Active Tank)	2636 to 2662 Ocean Avenue, 1921 to 1931 Gravesend Neck road	M#41
42	Apartment Building (Active Tanks)	2664 to 2678 Ocean Avenue, 1918 to 1932 Gravesend Neck Road	M#42
43	Apartment Building (Active Tank)	2241 to 2259 East 19th Street, 1902 to 1916 Gravesend Neck Road	M#43

1.2 Scope of Work

The Phase II SCI consisted of a field investigation, laboratory analyses, and the preparation of this report, which includes tables summarizing the laboratory analytical results and figures depicting boring locations, significant Corridor features and, if applicable, contamination occurrence and distribution. Hand-clearing activities were performed by PAL Environmental Services (PAL). Soil boring oversight and sample collection were conducted by Mr. Martin Donovan and Mr. Nevin Diehl, Environmental Technicians of Louis Berger, and Mr. Jonathan Ganz, Project Scientist of Louis Berger. Laboratory analyses were provided by Hampton-Clarke/Veritech (HC-V) of Fairfield, New Jersey, which is a New York State Department of Health (NYSDOH) Environmental Laboratory Approval Program (ELAP)-certified analytical laboratory (No. 11408). Field-derived Quality Assurance/Quality Control (QA/QC) samples (i.e., blind duplicates, equipment/rinsate blanks, and trip blanks) were not collected for this project.

The field investigation was conducted on October 11 through 16, 2017, and consisted of the following components:

The advancement of 30 soil borings (SB01 through SB30) utilizing hand tools. Each soil boring was advanced to terminal depths ranging from 4 to 6 ftbg;



- Field screening, classification and identification of soils from surface grade to the terminal depth of each boring. Soil samples were visually classified in the field using the Burmister Classification, Unified Soil Classification System (USCS), and Munsell Rock Color charts. Field screening of soils consisted of visual and olfactory indicators of impacts, as well as screening with a photoionization detector (PID);
- The collection of one (1) grab soil sample from each boring. The grab soil samples were
 collected from the 6-inch interval above the bottom of the proposed excavation depth (where
 recovery allowed). The soil samples were analyzed for Target Compound List (TCL) Volatile
 Organic Compounds (VOCs) using US Environmental Protection Agency (USEPA) Method
 8260C;
- The collection of one (1) composite waste classification soil sample from each boring. The waste classification sample was a composite from ground surface to the bottom of the proposed excavation depth (where recovery allowed). The waste classification samples were analyzed for Polycyclic Aromatic Hydrocarbons (PAHs) by USEPA Method 8270C, Total Petroleum Hydrocarbons-Diesel Range Organics/Gasoline Range Organics (TPH-DRO/GRO) by USEPA 8015B, Polychlorinated Biphenyls (PCBs) by USEPA Method 8082A/608, Toxicity Characteristic Leaching Procedure (TCLP) Metals (Resource Conservation and Recovery Act [RCRA] 8) by USEPA Method 1311/6010B, and RCRA Characteristics, including ignitability, reactivity and corrosivity, by USEPA Methods 9012B/9034, 1030/1010A, and 9045C, respectively, as well as Paint Filter Test by USEPA Method 9095B; and,
- The preparation of this report, which includes tables summarizing the laboratory analytical results, and figures depicting boring locations, significant Corridor features and, if applicable, contamination occurrence and distribution.

2.0

CORRIDOR INFORMATION

2.1 Corridor Location, Description and Use

The approximately 5.89-mile (31,099-foot) long Corridor is located in the Sheepshead Bay section of the Borough of Brooklyn, New York. Currently, it is developed with paved roadways and existing infrastructure systems, and exhibits evidence of utilities, such as manholes, pavement scars, utility mark-outs, and valve covers throughout the roadways and sidewalk areas, indicating the presence of buried sewer, water, and natural gas utilities. Overhead utility lines are present throughout the Corridor.

Adjoining property usage consists primarily of mixed-use residential and commercial properties along Avenue S, Avenue T, Avenue U, and Gravesend Neck Road, while side streets that run in the north-south direction are mostly residential, with some commercial properties at intersections. The commercial properties include delicatessens, restaurants, banks and salons. Running through the center of the Corridor between East 15th and East 16th Streets is an elevated railway for the Metropolitan Transportation Authority (MTA) Q-line. Along Avenue V, between East 13th and East 14th Streets, is Mellet Playground, which is operated by the New York City Department of Parks and Recreation. Several schools are located along the Corridor, including PS 255 Barbara Reing School (1866 East 17th Street), WA Cunningham IS 234 (1875 East 17th Street), PS 153 Homecrest (1970 Homecrest Avenue), Windmill Montessori School (1317 Avenue T), and St. Edmund Elementary School (1902 Avenue T). The Corridor and environs are shown on Figure 2 Corridor Area Map.

2.2 Description of Surrounding Properties

Generally, surrounding property use has the same mixed residential and commercial trends as the Corridor. Coney Island Avenue and Avenue U continue to be characterized by mostly commercial usage, whereas the remaining surrounding areas consist of mostly single- and multi-family residences.

2.3 Corridor and Regional Topographic Setting

Louis Berger reviewed the *United States Geologic Survey (USGS)* 7.5-minute Topographic Quadrangle Map for Coney Island, New York (2013) to determine the topography at the Corridor. The Corridor exhibits a topographic elevation change of approximately 7.5 feet. The elevation of the Corridor varies from approximately 22.5 feet above mean sea level (msl) along the elevated railway for the MTA Q-Line between East 15th Street and East 16th Street to approximately 15 feet above msl at the intersection of Gravesend Neck Road and Ocean Avenue. Under natural



conditions, surface runoff at the Corridor would be expected to follow the topography, which generally slopes to the southeast in the eastern half of the Corridor toward Plumb Beach Channel and Sheepshead Bay, and to the southwest in the western half of the Corridor toward Raritan Bay and the Coney Island Channel. However, storm runoff within the Corridor is managed by drains and catch basins.

2.4 Corridor and Regional Geology

Based on the NYC Detailed Soil Survey via Web Soil Survey (National Cooperative Soil Survey, Version 6, September 24, 2016), the vast majority of the Corridor is situated within the Urban land-Flatbush complex (UFA), which consists of 75% urban land outwash substratum, 12% Flatbush and similar soils, and 13% minor components, and has slopes between 0 to 3%. The soil along the elevated MTA Q-Line is Urban Land-Greenbelt complex (UGDI), which consists of 60% urban land till substratum, 25% greenbelt and similar soils, and 15% minor components, and has slopes between 15 to 25%. The northwestern-most border of the Corridor along Avenue S is comprised of Urban land outwash substratum (UoA), which consists of 92% urban land outwash substratum, and 8% minor components, and has slopes between 0 to 3%. Based on the New York City Reconnaissance Soil Survey (2005), the entire Corridor is situated on the Pavement & buildings, Flatbush-Riverhead complex. This complex is classified as nearly level to gently sloping, highly urbanized areas with 50 to 80% of the surface covered by impervious pavement and buildings. Slopes range between 0 and 8%. Soils are a mixture of anthropogenic and gneissic outwash.

During the advancement of soil borings for this Phase II SCI, fill material was observed in all 30 borings at depths ranging from 0 to 6 ftbg. Anthropogenic fill (such as brick, concrete, ash or glass) was observed in two (2) of the 30 borings, SB19 (ash) and SB30 (concrete) to a maximum depth of 6 ftbg (SB19).

2.5 Corridor and Regional Hydrogeology

The Ground-Water Resources of Kings and Queens Counties, Long Island, New York (1999) and the Quaternary Geologic Map of the Hudson River 4° x 6° Quadrangle, United States and Canada (1992) indicate the surficial soils are underlain by Upper Pleistocene deposits consisting of outwash sand, gravel and silt to a depth of approximately 170 ftbg. The Upper Pleistocene deposits are, in turn, underlain by approximately 40 feet of the Gardiners Clay (170 to 210 ftbg), which consists of greenish gray clay and silt and some interbedded sand. Underlying the Gardiners Clay is approximately 40 feet of the Jameco Gravel (210 – 250 ftbg), which consists of fine sand to gravel with some lenses of clay and silt. Underlying the Jameco Gravel is approximately 100 feet



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of the Magothy Formation (250 – 350 ftbg), which consists of deltaic quartzose, very fine to coarse sand, and silty sand with lesser amounts of clay and silt. Below the Magothy Formation is 325 feet of the Raritan Formation, which is composed of two members. The first Raritan Formation Member is 125 feet of the Raritan Clay Member (350 – 475 ftbg), consisting of clay beds with inclusions of silty clay and clayey silts; and the second Raritan Formation Member is 200 feet of the Lloyd Sand (475 to 675 ftbg). The Lloyd Sand is the final unconsolidated unit before bedrock, and consists of fine to coarse quartz sand. These deposits are, in turn, underlain by crystalline metamorphic bedrock, expected to be encountered at approximately 675 ftbg.

According to the USGS Long Island Depth to Water Viewer (2010), one (1) USGS water well (K 3951.1) was identified along Avenue S between East 13th Street and East 14th Street, and had a recorded water depth of 16.77 ftbg. This resource also estimated the groundwater depth to be between 10 to 19 ftbg for most of the Corridor, and as deep as 24 ftbg in the areas adjacent to the elevated MTA Q-Line in the center of the Corridor. The nearest surface water body is Sheepshead Bay located approximately 0.80 miles (4,292 feet) southeast of the southwestern corner of the Corridor at the intersection of Gravesend Neck Road and East 13th Street (its closest point). Based on the groundwater contour lines in Water-Table and Potentiometric-Surface Altitudes in the Upper Glacial, Magothy, and Lloyd Aquifers beneath Long Island, New York, March-April 2006 (Monti and Busciolano, 2009), groundwater beneath the Corridor is expected to flow to the south-southwest to the Lower Bay. All references to groundwater flow direction/hydraulic gradient in this report are based upon this assumption. Groundwater flow can also be influenced by seasonal fluctuations in precipitation, local variations in geology, underground anthropogenic structures, local dewatering operations, and/or tidal influence of the Kill Van Kull and Newark Bay.

Based on the information supplied by the United States Fish and Wildlife Service National Wetlands Inventory (2017), there are no wetlands mapped along any portion of the Corridor. The nearest wetland is Sheepshead Bay, which is classified as Estuarine and Marine Deepwater (E1UBLx). Wetlands of this classification are deepwater tidal habitats and adjacent tidal wetlands that are influenced by water runoff from and often by semi-enclosed by land, and they have variable salinity due to their proximity to coastlines. They are continuously submerged below and flooded by tidal water, and lay over land that has been altered by humans.

Federal Emergency Management Agency (FEMA) Flood Insurance Rate Maps (FIRM) were accessed from the FEMA website. One (1) map panel (Panel Number 3604970352F, revision September 5, 2007) shows that no portion of the Corridor is within the 100- or 500-year flood zones.



3.0 CORRIDOR EVALUATION

Louis Berger advanced 30 soil borings during the field investigation conducted on October 11 through 16, 2017. The field investigation was performed at designated areas in the vicinity of the planned excavation areas. All hand-clearing activities were performed by PAL under the oversight of Louis Berger. A summary of the field observations and details of the soil borings are provided in Table 1.

3.1 Soil Quality Investigation

All soil borings were advanced utilizing evasive methods (i.e., a hand auger and/or hand tools) to terminal depths ranging between 4 and 6 ftbg. Refusal was encountered in three (3) of the 30 soil boring locations at depths of 4 ftbg (SB02, SB03, and SB29). Refusals were likely due to large rocks and boulders. To ensure the clearance of sensitive subsurface utilities and features, the soil boring locations were advanced via evasive methods. Soil boring locations are depicted on Figure 2. The designations and sampling intervals for the samples that were submitted to the laboratory are included in Table 1. Maps depicting each boring location are included in Appendix A. Boring logs are provided in Appendix B. The location of each boring is described below:

- SB01 Located in the grass along the eastern curb line of East 12th Street, 31 feet and 9 inches east of the western curb line of East 12th Street, and 364 feet and 6 inches south of the southern curb line of Avenue S.
- SB02 Located in the grass along the western curb line of East 13th Street, 32 feet and 4 inches west of the eastern curb line of East 13th Street, and 93 feet and 9 inches south of the southern curb line of Avenue S.
- SB03 Located in the dirt along the northern curb line of Avenue S, 46 feet and 4 inches north of the southern curb line of Avenue S, and 134 feet and 3 inches east of the eastern curb line of East 14th Street.
- SB04 Located in the grass along the southern curb line of Avenue S, 46 feet and 6 inches south of the northern curb line of Avenue S, and 89 feet and 2 inches east of the eastern curb line of East 17th Street.
- SB05 Located in the grass along the western curb line of East 19th Street, 37 feet and 7 inches west of the eastern curb line of East 19th Street, and 348 feet and 2 inches south of the southern curb line of Avenue S.

- SB06 Located in a tree pit along the eastern curb line of Ocean Avenue, 73 feet and 7 inches east of the western curb line of Ocean Avenue, and 105 feet and 10 inches south of the southern curb line of Avenue S.
- SB07 Located in a tree pit along the southern curb line of Avenue U, 46 feet and 6 inches south of the northern curb line of Avenue U, and 158 feet and 1 inch east of the eastern curb line of East 12th Street.
- SB08 Located in a tree pit along the southern curb line of Avenue T, 47 feet and 6 inches south of the northern curb line of Avenue T, and 61 feet and 7 inches east of the eastern curb line of Homecrest Avenue.
- SB09 Located in a tree pit along the western curb line of East 13th Street, 33 feet and 11 inches west of the eastern curb line of East 13th Street, and 183 feet and 5 inches north of the northern curb line of Avenue U.
- SB10 Located in a tree pit along the southern curb line of Avenue T, 46 feet and 3 inches south of the northern curb line of Avenue T, and 45 feet and 6 inches east of the eastern curb line of East 14th Street.
- SB11 Located in a tree pit along the western curb line of East 16th Street, 37 feet and 2 inches west of the eastern curb line of East 16th Street, and 295 feet north of the northern curb line of Avenue U.
- SB12 Located in a tree pit along the eastern curb line of East 17th Street, 38 feet and 3 inches east of the western curb line of East 17th Street, and 106 feet and 9 inches south of the southern curb line of Avenue T.
- SB13 Located in a tree pit along the southern curb line of Avenue T, 46 feet and 11 inches south of the northern curb line of Avenue T, and 83 feet and 10 inches west of the western curb line of East 19th Street.
- SB14 Located in a tree pit along the eastern curb line of Ocean Avenue, 75 feet and 5 inches east of the western curb line of Ocean Avenue, and 265 feet and 2 inches south of the southern curb line of Avenue T.



SB15 – Located in a tree pit along the eastern curb line of Coney Island Avenue, 74 feet and 8 inches east of the western curb line of Coney Island Avenue, and 224 feet and 11 inches south

of the southern curb line of Avenue U.

■ SB16 – Located in a tree pit along the eastern curb line of East 12th Street, 31 feet and 5 inches east of the western curb line of East 12th Street, and 99 feet and 3 inches north of the northern

curb line of Avenue V.

■ SB17 – Located in a tree pit along the western curb line of East 13th Street, 35 feet and 8 inches west of the eastern curb line of East 13th Street, and 256 feet and 11 inches south of the southern

curb line of Avenue U.

■ SB18 – Located in a tree pit along the western curb line of East 15th Street, 32 feet and 10 inches west of the eastern curb line of East 15th Street, and 74 feet and 7 inches south of the

southern curb line of Avenue U.

• SB19 – Located in a tree pit along the western curb line of East 17th Street, 37 feet and 8 inches west of the eastern curb line of East 17th Street, and 346 feet and 11 inches south of the southern

curb line of Avenue U.

■ SB20 – Located in a tree pit along the northern curb line of Avenue U, 45 feet and 6 inches north of the southern curb line of Avenue U, and 67 feet and 9 inches west of the western curb

line of East 19th Street.

■ SB21 – Located in a tree pit along the western curb line of Ocean Avenue, 74 feet and 11

inches west of the eastern curb line of Ocean Avenue, and 343 feet and 7 inches south of the

southern curb line of Avenue U.

• SB22 – Located in a tree pit along the eastern curb line of Coney Island Avenue, 74 feet and 9

inches east of the western curb line of Coney Island Avenue, and 267 feet and 8 inches north

of the northern curb line of Gravesend Neck Road.

SB23 – Located in a tree pit along the eastern curb line of East 12th Street, 32 feet and 9 inches

east of the western curb line of East 12th Street, and 139 feet and 11 inches north of the northern

curb line of Gravesend Neck Road.



SB24 – Located in a tree pit along the western curb line of East 13th Street, 32 feet and 7 inches west of the eastern curb line of East 13th Street, and 278 feet and 9 inches north of the northern

curb line of Gravesend Neck Road.

• SB25 – Located in a tree pit along the eastern curb line of East 14th Street, 32 feet and 11 inches east of the western curb line of East 14th Street, and 224 feet and 9 inches south of the southern

curb line of Avenue V.

■ SB26 – Located in a tree pit along the western curb line of East 15th Street, 31 feet and 3 inches west of the eastern curb line of East 15th Street, and 136 feet and 3 inches north of the northern

curb line of Gravesend Neck Road.

• SB27 – Located in a tree pit along the western curb line of East 16th Street, 34 feet and 6 inches west of the eastern curb line of East 16th Street, and 287 feet and 7 inches north of the northern

curb line of Gravesend Neck Road.

■ SB28 – Located in a tree pit along the northwestern curb line of Gravesend Neck Road, 36 feet and 5 inches northwest of the southeastern curb line of Gravesend Neck Road, and 111 feet

and 3 inches northeast of the eastern curb line of East 17th Street.

■ SB29 – Located in the grass along the southern curb line of Avenue V, 44 feet and 4 inches south of the northern curb line of Avenue V, and 74 feet and 6 inches west of the western curb

line of East 19th Street.

■ SB30 – Located in a tree pit along the northwestern curb line of Gravesend Neck Road, 38 feet and 7 inches northwest of the southeastern curb line of Gravesend Neck Road, and 82 feet and

5 inches southwest of the western curb line of East 19th Street.

Soil from each boring was classified and examined for visual evidence (i.e., staining, discoloration) and any olfactory indications (i.e., odors) of contamination. In addition, a PID was used to screen

the soil for VOC vapors.

In order to identify representative conditions relative to the presence of PAHs, TCLP metals, PCBs, total petroleum hydrocarbons, RCRA characteristics, and conditions relative to waste disposal in each boring, composite soil samples were collected at each boring location. Based on the DDC protocol regarding soil sample collection for waste classification analysis, composite soil samples for SB01 through SB30 were collected from the ground surface to the bottom of the

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Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, etc. – Brooklyn, NY

proposed excavation depth. Composite soil samples were collected by mixing the soil from the column in a decontaminated stainless steel bowl.

In order to identify representative conditions relative to the presence of VOCs, grab samples were to be collected from either the 6-inch interval above the water table (when encountered), the 6-inch interval above the bottom of the proposed excavation (where recovery allowed), or from the 6-inch interval showing the highest potential for contamination based on field observation. However, all grab samples were collected from the 6-inch interval above the bottom of the proposed excavation depth except were refusal was encountered and samples were collected from the 6-inch interval above the refusal.

Soil classification information, including stratigraphy, is documented on the boring logs provided in Appendix B. All equipment was cleaned by rinsing with deionized water, scrubbed with Alconox®, and then rinsed with deionized water a second time between each sample location to prevent any cross-contamination. Following the completion of each boring, the boreholes were backfilled with removed material.

3.2 Laboratory Analyses

Soil samples were submitted to HC-V of Fairfield, New Jersey which is a NYSDOH ELAP-certified analytical laboratory (No. 11408). Field-derived Quality Assurance/Quality Control samples were not collected for this project. Laboratory analytical reports are included in Appendix C.

The grab soil samples SB01 through SB30 were analyzed for TCL VOCs using USEPA Method 8260C. The composite soil samples SB01 through SB30 were analyzed for PAHs by USEPA Method 8270C, TPH-DRO/GRO by USEPA 8015B, PCBs by USEPA Method 8082A/608, TCLP Metals (RCRA 8) by USEPA Method 1311/6010B, and RCRA Characteristics, including ignitability, reactivity and corrosivity, by USEPA Methods 9012B/9034, 1030/1010A, and 9045C, respectively, as well as Paint Filter Test by USEPA Method 9095B, for waste classification purposes.

Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, etc. - Brooklyn, NY

3.3 Data Evaluation

In order to evaluate subsurface soil quality for waste classification purposes, laboratory analytical results of grab and composite soil samples were compared with regulatory standards identified in: New York State Department of Environmental Conservation (NYSDEC) Subpart 375-6: Remedial Program Unrestricted (Track 1), Restricted-Residential, and Commercial Use (Track 2) Soil Cleanup Objectives (SCOs) and Toxicity Characteristic Regulatory Levels for Hazardous Waste published in RCRA and 6 New York Codes, Rules and Regulations (NYCRR) Part 371.



4.0 FINDINGS

This section discusses the analytical data and findings for activities discussed in Section 3.0. Boring logs can be found in Appendix B. A complete analytical data report is included in Appendix C.

4.1 Field Screening

Field screening consisted of identifying visual and olfactory indicators of potential impact, as well as screening soil for VOC vapors with a PID. No visual and olfactory indications of contamination were encountered in any of the 30 borings. A summary of the environmental boring data is presented in Table 1.

4.2 Laboratory Analytical Results

4.2.1 Target Compound List (TCL) Volatile Organic Compounds (VOCs) in Soil

One (1) VOC, methylene chloride, was detected above the laboratory's reporting limits in the soil sample collected from SB19; however, the detected concentration was below the applicable regulatory standards. A summary of the VOC results is provided as Table 2.

4.2.2 Polycyclic Aromatic Hydrocarbons (PAHs) in Soil

Several PAHs were detected above the laboratory's reporting limits in all soil samples with the exception of SB04, SB05 and SB14; however, only soil sample SB18 exhibited concentrations above regulatory standards. A summary of the PAH results is provided as Table 3.

The following exceedances of regulatory standards were found during the Phase II SCI.

<u>Soil Sample SB18</u>

- Benzo[a]pyrene (1.8 parts per million [ppm]) was detected above the Unrestricted Use (Track 1), Restricted-Residential Use (Track 2), and Commercial Use (Track 2) SCOs.
- Benzo[a]anthracene (2.5 ppm), benzo[b]fluoranthene (2.3 ppm), and indeno[1,2,3-cd]pyrene (1.0 ppm) were detected above the Unrestricted Use (Track 1) and Restricted-Residential Use (Track 2) SCOs;
- Benzo[k]fluoranthene (0.84 ppm) and chrysene (2.1 ppm) were detected above the Unrestricted Use (Track 1) SCO.

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4.2.3 Polychlorinated Biphenyls (PCBs) in Soil

No PCBs were detected above the laboratory's reporting limits in any of the soil samples collected

as part of this Phase II SCI. A summary of the PCB results is provided as Table 4.

4.2.4 Waste Classification of Soil

TCLP Metals

Waste classification laboratory results indicate that TCLP barium was detected in 21 of the soil samples (SB01 through SB05, SB09, SB10, SB12, SB13, SB16, SB17, SB19, SB20, SB23 through SB30) at concentrations ranging from 0.26 ppm (SB10 and SB12) to 0.48 ppm (SB24). TCLP lead was detected in one (1) soil sample at 0.067 ppm (SB20). All detected concentrations were below the applicable regulatory standards. Results of the TCLP metals analysis indicate that the soil samples collected do not exhibit evidence of the Hazardous Waste characteristics for Toxicity. A summary of the waste classification parameters is provided as Table 5.

Total Petroleum Hydrocarbons (TPH)

TPH-GRO was not detected in any of the waste classification soil samples, while TPH-DRO concentrations were detected above the laboratory's reporting limits in eight (8) waste classification soil samples with concentrations ranging from 65 ppm (SB06) to 150 ppm (SB28). No regulatory standards exist for TPH. A summary of the waste classification parameters is provided as Table 5.

RCRA Parameters (Reactivity, Corrosivity, Ignitability)

The analytical laboratory results of the soil samples show that the RCRA parameters (reactivity, ignitability, or corrosivity) were within the RCRA standards. The pH of the samples was found to be within the RCRA limits of 2 and 12.5. The flash point was greater than 140 degrees Fahrenheit in the soil samples; therefore, the RCRA characteristics for ignitability were negative. Reactive cyanide and reactive sulfide were not detected in any of the soil samples.

Therefore, results of these analyses indicate that the waste classification soil samples collected do not exhibit evidence of hazardous waste characteristics. A summary of the waste classification parameters is provided as Table 5.



5.0 CONCLUSIONS AND RECOMMENDATIONS

Based on the evaluation of the field screening data and the laboratory analytical results, and a comparison to applicable regulatory standards, the following findings, conclusions, and recommendations are presented:

Findings and Conclusions

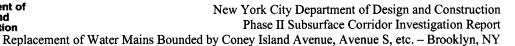
- No visual or olfactory evidence of contamination was observed and no elevated PID readings were detected at any soil boring location;
- Fill material, consisting of dusky yellowish brown to light brown, sand with silt and gravel and silty clay with sand and gravel, was found in all 30 soil boring locations at depths ranging from 0 to 6 ftbg. Anthropogenic fill material consisting of concrete and ash was observed in two (2) of the 30 borings (SB19 and SB30) to a maximum depth of 6 ftbg (SB19);
- Groundwater and bedrock were not encountered at any of the boring locations;
- One (1) VOC (methylene chloride) was detected above the laboratory's reporting limit in the soil sample collected from SB19; however, the concentration was below the applicable regulatory standards;
- Several PAHs were detected above the laboratory's reporting limits in all soil samples with the exception of soil samples SB04, SB05, and SB14; however, only soil sample SB18 exhibited concentrations above regulatory standards. Laboratory results indicate that benzo[a]pyrene (1.8 ppm) was detected above the Unrestricted Use (Track 1), Restricted-Residential Use (Track 2), and Commercial Use (Track 2) SCOs. Benzo[a]anthracene (2.5 ppm), benzo[b]fluoranthene (2.3 ppm), and indeno[1,2,3-cd]pyrene (1.0 ppm) were detected above the Unrestricted Use (Track 1) and Restricted-Residential Use (Track 2) SCOs. Benzo[k]fluoranthene (0.84 ppm) and chrysene (2.1 ppm) were detected above the Unrestricted Use (Track 1) SCO. Lithology indicates the presence of historic fill material in all soil borings; therefore, the PAH exceedances may be attributed to contaminants related to historic fill material;
- No PCBs were detected above the laboratory's reporting limits in any of the soil samples collected as part of this Phase II SCI;

Waste classification laboratory results indicate that TCLP barium was detected in 21 soil samples and TCLP lead was detected only in SB20. All detected concentrations were below RCRA Hazardous Waste Levels. Therefore, soil samples collected from the Corridor do not exhibit evidence of hazardous waste characteristics;

- TPH-GRO was not detected in any of the waste classification soil samples, while TPH-DRO concentrations were detected above the laboratory's reporting limits in eight (8) waste classification soil samples with concentrations ranging from 65 ppm (SB06) to 150 ppm (SB28). However, there are no regulatory standards for TPH. Lithology shows the presence of fill material in all of the soil borings; therefore, the TPH-DRO detections may be attributed to historic fill material. It is also possible that the TPH concentrations observed during the Phase II SCI are the result of petroleum releases within or adjacent to the Corridor; and
- The analytical laboratory results of the soil samples show that the RCRA parameters (reactivity, ignitability, and corrosivity) were within the RCRA standards. Therefore, results of these analyses indicate that the soil samples collected do not exhibit evidence of hazardous waste characteristics.

Recommendations

- The Contract documents should identify provisions for managing, handling, transporting and disposing of contaminated non-hazardous soil. The Contractor should be required to submit a Material Handling Plan to identify the specific protocol and procedures that will be employed to manage the waste in accordance with applicable regulations;
- Dust control procedures are recommended and should be implemented during excavation
 activities to minimize the creation and dispersion of fugitive airborne dust. The Contractor
 should implement dust control measures to minimize potential airborne contaminants (i.e.,
 PAHs and TPH) released into the ambient environment as a direct result of construction
 activities;
- Groundwater was not encountered during the Phase II SCI activities. However, if dewatering
 becomes necessary, the contractor will be required to obtain a New York City Department of
 Environmental Protection (NYCDEP) sewer discharge permit and perform sampling and
 laboratory analysis prior to discharge into the sanitary or combined sewers;
- In addition, if discharge into storm sewers, which ultimately discharge into a surface water body, is required during dewatering, it may be performed under the appropriate NYSDEC State





Pollutant Discharge Elimination System (SPDES) permit. Additional sampling and laboratory analysis may be required to satisfy NYSDEC requirements prior to discharge into storm sewers; and,

• Before beginning any excavation activity, the contractor should submit a Corridor-specific health and safety plan (HASP) that will meet the requirements set forth by the Occupational, Safety and Health Administration (OSHA), the New York State Department of Health (NYSDOH) and any other applicable regulations. The HASP should identify the possible locations and risks associated with the potential contaminants that may be encountered, and the administrative and engineering controls that will be utilized to mitigate concerns.

6.0

STATEMENT OF LIMITATIONS

The data presented and the opinions expressed in this report are qualified as stated in the attachment to this section of the report.

Report Prepared By:

Fameeda Ali, CHMM, ENV SP

Project Manager

Report Reviewed By:

Michael J. McCloskey, PG

Michael & Wallaley

Principal Geologist

STATEMENT OF LIMITATIONS

The data presented and the opinions expressed in this report are qualified as follows:

The sole purpose of the investigation and of this report is to assess the physical characteristics of the Site with respect to the presence or absence in the environment of oil or hazardous materials and substances as defined in the applicable state and federal environmental laws and regulations and to gather information regarding current and past environmental conditions at the Site.

Louis Berger derived the data in this report primarily from visual inspections, examination of records in the public domain, interviews with individuals with information about the Site, and a limited number of subsurface explorations made on the dates indicated. The passage of time, manifestation of latent conditions or occurrence of future events may require further exploration at the Site, analysis of the data, and reevaluation of the findings, observations, and conclusions expressed in the report.

In preparing this report, Louis Berger has relied upon and presumed accurate certain information (or the absence thereof) about the Site and adjacent properties provided by governmental officials and agencies, the Client, and others identified herein. Except as otherwise stated in the report, Louis Berger has not attempted to verify the accuracy or completeness of any such information.

The data reported and the findings, observations, and conclusions expressed in the report are limited by the Scope of Services, including the extent of subsurface exploration and other tests. The Scope of Services was defined by the requests of the Client, the time and budgetary constraints imposed by the Client, and the availability of access to the Site.

Because of the limitations stated above, the findings, observations, and conclusions expressed by Louis Berger in this report are not, and should not be considered, an opinion concerning the compliance of any past or present owner or operator of the site with any federal, state or local law or regulation. No warranty or guarantee, whether express or implied, is made with respect to the data reported or findings, observations, and conclusions expressed in this report. Further, such data, findings, observations, and conclusions are based solely upon site conditions in existence at the time of investigation.

This report has been prepared on behalf of and for the exclusive use of the Client, and is subject to and issued in connection with the Agreement and the provisions thereof.

TABLES

TABLE 1 –	SUMMARY OF ENVIRONMENTAL BORING DATA
TABLE 2 –	SUMMARY OF TCL VOCs DETECTED IN SOIL
TABLE 3 –	SUMMARY OF PAHs DETECTED IN SOIL
TABLE 4 –	SUMMARY OF PCBs DETECTED IN SOIL
TABLE 5 –	SUMMARY OF WASTE CLASSIFICATION RESULTS IN SOIL

New York City Department of Design and Construction Phase II Subsurface Corridor Investigation Report

Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, Ocean Avenue, and Gravesend Neck Road

Phase if Subsurface Corridor Investigation for Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, Ocean Avenue, and Gravesend Neck Road Brooklyn, New York Table 1. Summary of Environmental Boring Data

Boring No.	Sample ID	High PID (ppm)	Sample Interval (ffbg)	Total VOCs Total PAHs (mg/kg)	Total PAHs (mg/kg)	TCLP Metals Exceed (Yes/No) ¹	Depth to Water (ftbg)	Total Depth (ftbg)	Other Comments
CB04	CB01	Ţ	5.5 - 6.0	Q	-	Q.	LI A	0.9	No visual or offactory signs of contamination
1000	1000	,	0.5 - 6.0	-	0.154	2	IJ Z		observed. Fill material was observed.
SB02	SB02	Ţ	3.5 - 4.0	QN	•	Ş	UN.	0.7	No visual or olfactory signs of contamination
2000	7000	,	0.5 - 4.0	•	1.809	2	<u>u</u>		observed. Fill material was observed.
SBO3	SB03	V	3.5 - 4.0	ND	-	Ş	Ц		No visual or olfactory signs of contamination
2000	COCO	,	0.5 - 4.0	-	0.24	2	<u>u</u>	t .	observed. Fill material was observed.
CBOA	CBOA	7	5.5 - 6.0	Q	-	Ç	LIN	0	No visual or olfactory signs of contamination
000	2004	,	0.5 - 6.0	-	QN	2	U <u>2</u>		observed. Fill material was observed.
SBOS	SDOR	7	5.5 - 6.0	ND		Ş	LIA	0	No visual or olfactory signs of contamination
2000	COCO	7	0.5 - 6.0	-	QN	2	U N	0.0	observed. Fill material was observed.
SBOR	SBOR	7	5.5 - 6.0	QN		Ž	Ų.	ď	No visual or offactory signs of contamination
2000	2000	,	0.5 - 6.0	-	4.996	2	JNE		observed. Fill material was observed.
SR07	SB07	ī	5.5 - 6.0	QN	-	2	DIA.	0	No visual or olfactory signs of contamination
1000	1000	;	0.5 - 6.0	•	0.123	2	INC		observed. Fill material was observed.
SBOR	SBORS	7	5.5 - 6.0	QN	_	C	U.V	ď	No visual or olfactory signs of contamination
2000	2000	•	0.5 - 6.0	-	0.041	2	Į.		observed. Fill material was observed.
SB09	SBOG	٧	5.5 - 6.0	QV	-	CZ	LIN V	C W	No visual or olfactory signs of contamination
2000	2220	,	0.5 - 6.0		0.439	2	1		observed. Fill material was observed.
SB10	SB10	V	5.5 - 6.0	S		CN	UN	0 9	No visual or offactory signs of contamination
2120	0000	,	0.5 - 6.0		0.369	2			observed. Fill material was observed.

Notes:

1- TCLP metal(s) exceeds Resource Conservation and Recovery Act (RCRA) Hazardous Waste Levels.

All soil samples were analyzed for Target Compound List (TCL) Volatile Organic Compounds (VOCs), Polycyclic Aromatic Hydrocarbons (PAHs),
Polychlorinated Biphenyls (PCBs), Toxicity Characteristic Leaching Procedure (TCLP) for Metals (RCRA 8), Total Petroleum Hydrocarbons, and RCRA Characteristics.

PID = Photoionization detector

ND = Not Detected

NE = Not Encountered

ftbg = feet below ground surface

DDC Project Number: BED809

Table 1. Summary of Environmental Boring Data (Continued) Phase II Subsurface Corridor Investigation for Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, Ocean Avenue, and Gravesend Neck Road Brooklyn, New York

ON O	High PID Sample Total VOCs (mg/kg)	Total PAHs (mg/kg)	TCLP Metals Exceed (Yes/No) ¹	Depth to Water (ftbg)	Total Depth (ftbg)	Other Comments
SB12 <1 0.5-6.0 ND - 0.136 NO NE NO		-	Ç.	ПV	0	No visual or olfactory signs of contamination
SB12 <1 \$55-6.0 ND - 0.136 NO NE SB13 <1	0.5 - 6.0	0.896	2	NC	200	observed. Fill material was observed.
SB13 <1 0.5-6.0		-	ON	Щ	9	No visual or offactory signs of contamination
SB13 <1 55-6.0 ND - NO NB SB14 <1	0.5 - 6.0	0.136	2	1	2.0	observed. Fill material was observed.
SB14 <1			Q	Щ	08	No visual or olfactory signs of contamination
SB15 <1 55-6.0 ND - ND NB	0.5 - 6.0	0.362	2	1	2:0	observed. Fill material was observed.
SB15 <1 0.5-6.0 ND		ı	CIA	ш	0	No visual or olfactory signs of contamination
SB16 <1 5.5-6.0 ND - 0.348 NO NE NO NE SB16 <1 5.5-6.0 ND - 0.348 NO NE SB18 <1 5.5-6.0 ND - 38.89 NO NE NO	0.5 - 6.0	QN	2	1	2	observed. Fill material was observed.
SB16 <1 55-6.0	L		ON A	Щ	0	No visual or olfactory signs of contamination
SB16 <1 55-6.0 ND - 1.512 NO NE ND ND NE ND ND NE ND	0.5 - 6.0	0.348	2	J.	2	observed. Fill material was observed.
SB17 <1 55-6.0 ND - 0.831 NO NE NO NE SB18 <1 55-6.0 ND - 38.89 NO NE SB19 <1 55-6.0 0.0023 - 0.128 NO NE SB20 <1 55-6.0 ND - 0.128 NO NE	_	•	Ş	ц	9	No visual or offactory signs of contamination
SB17 <1 5.5 - 6.0 ND - 0.831 NO NE NO NE SB18 <1 0.5 - 6.0 0.0023 - 0.128 NO NE SB20 <1 0.5 - 6.0 0.0023 - 0.128 NO NE SB20 <1 0.5 - 6.0 NO NE SB20 <1 0.5 - 6.0 NO NE NO NE SB20 <1 0.5 - 6.0 NO NE NO NE SB20 <1 0.5 - 6.0 NO NE N	0.5 - 6.0	1.512	2	1	25	observed. Fill material was observed.
SB18 <1 0.5-6.0		-	Ç	Щ	0	No visual or offactory signs of contamination
SB18 <1 5.5 - 6.0 ND - 38.89 NO NE NO NE SB19 <1 5.5 - 6.0 0.0023 - NO NE SB20 <1 5.5 - 6.0 ND - NO NE SB20 <1 5.5 - 6.0 ND - NO NE SB20 <1 5.5 - 6.0 ND - NO NE	0.5 - 6.0	0.831	2	1	2:5	observed. Fill material was observed.
SB19 <1 0.5-6.0 0.0023 NO NE SB20 <1 0.5-6.0 ND		•	CIV	Щ	ď	No visual or offactory signs of contamination
SB19 <1 5.5-6.0 0.0023 - NO NE O.5-6.0 ND - SB20 <1 5.5-6.0 ND - NO NE	0.5 - 6.0	38.89	2	1	2.0	observed. Fill material was observed.
SB20 <1 5.5-6.0 ND - NO NE		-	CIA	Ш	0.8	No visual or offactory signs of contamination
SB20 <1 5.5-6.0 ND - NO NE	0.5 - 6.0	0.128	2	1	2:	observed. Fill material was observed.
3050 V		•	ON.	щ	9	No visual or offactory signs of contamination
0.5 - 6.0	0.5 - 6.0	0.390	2	1	2.5	observed. Fill material was observed.

Notes:

1- TCLP metal(s) exceeds Resource Conservation and Recovery Act (RCRA) Hazardous Waste Levels.

All soil samples were analyzed for Target Compound List (TCL) Volatile Organic Compounds (VOCs), Polycyclic Aromatic Hydrocarbons (PAHs),
Polychlorinated Biphenyls (PCBs), Toxicity Characteristic Leaching Procedure (TCLP) for Metals (RCRA 8), Total Petroleum Hydrocarbons, and RCRA Characteristics.

PID = Photoionization detector

ND = Not Detected

NE = Not Encountered

ftbg = feet below ground surface

DDC Project Number: BED809

Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, Ocean Avenue, and Gravesend Neck Road

Table 1. Summary of Environmental Boring Data (Continued)

Phase II Subsurface Corridor Investigation for Replacement of Water Mains Bounded by Coney Island Avenue, Avenue, and Gravesend Neck Road
Brooklyn, New York

Boring No.	Sample ID	High PID (ppm)	Sample Interval (ftbg)	Total VOCs Total PAHs (mg/kg)	Total PAHs (mg/kg)	TCLP Metals Exceed (Yes/No) ¹	Depth to Water (ftbg)	Total Depth (ftbg)	Other Comments
CB21	CB24	7	5.5 - 6.0	QN	,	2	1		No visual or offactory signs of contamination
1200	200	,	0.5 - 6.0		2.412	2	IJ Z	0.0	observed. Fill material was observed.
CB22	CES	7	5.5 - 6.0	Q		Ş	١		No visual or offactory signs of contamination
2200	2700	,	0.5 - 6.0		0.676	2	Li Z	0.0	observed. Fill material was observed.
SB23	SB23	,	5.5 - 6.0	Q		4	١		No visual or offactory signs of contamination
220	0000	,	0.5 - 6.0	-	4.035	2	U Z	9.0	observed. Fill material was observed.
SB24	SB24	7	5.5 - 6.0	QN		2	١		No visual or offactory signs of contamination
777	1700	,	0.5 - 6.0	-	0.771	2	LI Z	0.0	observed. Fill material was observed.
SR25	SB25	12	5.5 - 6.0	QN	-	CIA	ų,	0 9	No visual or offactory signs of contamination
222	270	,	0.5 - 6.0	•	2.229	2	U Ž	0.0	observed. Fill material was observed.
SB26	SB26		5.5 - 6.0	GN	•	OIA	¥	9	No visual or offactory signs of contamination
277	0770		0.5 - 6.0	•	0.79	2	U Ž	0.0	observed. Fill material was observed.
SR27	SR27	V	5.5 - 6.0	QN	•	ON	U V	9	No visual or olfactory signs of contamination
	005	,	0.5 - 6.0	-	1.053	2	u Z	0.0	observed. Fill material was observed.
SR28	SB28	V	5.5 - 6.0	QN	•	ON	U.	c a	No visual or olfactory signs of contamination
	2	-	0.5 - 6.0	_	0.995	2	<u>.</u>	9.	observed. Fill material was observed.
SR29	SR29	۷	3.5 - 4.0	ON		ON	Ц		No visual or offactory signs of contamination
222	0200	7	0.5 - 4.0	-	1.79	Q.	U Z	0.4	observed. Fill material was observed.
SB30	SB30	۲	5.5 - 6.0	ON	•	ON	<u> </u>	ď	No visual or olfactory signs of contamination
2000	2020	-	0.5 - 6.0		10.04	2	U E	0.0	observed. Fill material was observed.

Notes:

¹ - TCLP metal(s) exceeds Resource Conservation and Recovery Act (RCRA) Hazardous Waste Levels.
All soil samples were analyzed for Target Compound List (TCL) Volatile Organic Compounds (VOCs), Polycyclic Aromatic Hydrocarbons (PAHs),
Polychlorinated Biphenyls (PCBs), Toxicity Characteristic Leaching Procedure (TCLP) for Metals (RCRA 8), Total Petroleum Hydrocarbons, and RCRA Characteristics.

PID = Photoionization detector

ND = Not Detected

NE = Not Encountered

ftbg = feet below ground surface



New York City Department of Design and Construction Phase II Subsurface Corridor Investigation Report Report Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S. Ocean Avenue, and Gravessend Neck Road. Brooklyn, New York

Table 2. Summary of Target Compound List (TCL) Volatile Organic Compounds (VOCs) Detected in Soil Phase II Subsurface Corridor Investigation for Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, Ocean Avenue, and Gravesend Neck Road Brooklyn, New York

	Unrestricted Use	Restricted- Residential	Commercial Use (Track 2)					"	Sample ID, D	Sample ID, Date Collected, and Depth	d, and Depth	_				
TCL VOCs	Soil Cleanup	Soil Cleanup Soil Cleanup	Soil Cleanup	SB01	SB02	SB03	SB04	SB05	908S	SB07	SB08	SB09	SB10	SB11	SB12	SB13
	Objectives	Objectives	Objectives	10/13/2017	10/11/2017	10/11/2017	10/11/2017	10/11/2017	10/13/2017	10/13/2017	10/13/2017	10/13/2017	10/12/2017	10/13/2017		10/12/2017
	(SCOs)		(SCOS)	5.5 - 6.0	3.5 - 4.0	3.5 - 4.0	9.5 - 6.0	5.5 - 6.0	2.5 - 6.0	5.5 - 6.0	5.5 - 6.0	5.5 - 6.0	5.5 - 6.0	5.5 - 6.0	5.5 - 6.0	5.5 - 6.0
Methylene chloride	0.05	100	200	QN	QN	QN	QN	GΝ	QN	QN	QN	QN	QN	QN	Q	Q
																ſ
	Unrestricted Use	Restricted- Residential						••	Sample ID, D	Sample ID, Date Collected, and Depth	d, and Depth	_				
TCL VOCs	Soil Cleanup	Cliack 1) Use (Hack 2)		SB14	SB15	SB16	SB17	SB18	SB19	SB20	SB21	SB22	SB23	SB24	SB25	SB26
	Objectives	Objectives	Objectives	10/13/2017	10/13/2017	10/12/2017	10/12/2017	10/12/2017	10/12/2017	10/13/2017	10/13/2017	10/16/2017	10/12/2017	10/12/2017	10/12/2017	10/16/2017
	(SCOs)		(scos)	5.5 - 6.0	5.5 - 6.0	5.5 - 6.0	5.5 - 6.0	5.5 - 6.0	5.5 - 6.0	5.5 - 6.0	5.5 - 6.0	5.5 - 6.0	5.5 - 6.0	5.5 - 6.0	5.5 - 6.0	5.5 - 6.0
Methylene chloride	0.05	100	500	QN	Q.	QN	QN	Q	0.0023	QΝ	QΝ	QN	QN	QN	ND	QN
and the control of	20.00			!												

	Unrestricted Use (Track 1)	Restricted- Residential Use (Track 2)	Residential Use (Track 2)	Sample	Sample ID, Date Collected, and Depth	llected, and	Depth
TCL VOCs	Soil Cleanup	Soil Cleanup Soil Cleanup	Soil Cleanup	SB27	SB28	SB29	SB30
	Objectives	Objectives		10/12/2017	10/16/20	/16/2017	
	(SCOs)	(SCOs)	(SC)S)	5.5 - 6.0	5.5 - 6.0	3.5 - 4.0	5.5 - 6.0
thylene chloride	0.05	100	200	QN	QN	QN	QN

All concentrations are in parts per million or milligrams per kilogram (ppm or mg/kg)

ND = Compound not detected above method detection limit (see attached lab report for MDL's)

SCOs = Soil Cleanup Objectives as per the NYSDEC Regulations 6 NYCRR Subpart 375-6 Remedial Program Soil Cleanup Objectives (December 14, 2006)

Work Order Letter No. 13338-LBA-4-12148

Table 3. Summary of Polycyclic Aromatic Hydrocarbons (PAHs) Detected in Soil
Phase II Subsurface Corridor Investigation for Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, Ocean Avenue, and Gravesend Neck Road
Brooklyn, New York

	Unrestricted Restricted- Use Residential	Restricted- Residential	Commercial Use (Track 2)		į			°	ample ID, De	Sample ID, Date Collected, and Depth	, and Depth					
PAHs	(Track 1) Soil Cleanup	Use (Track 2) Soil Cleanup	Soil Cleanup	SB01	SB02	SB03	SB04	SB05	SB06	SB07	SB08	SB09	SB10	SB11	SB12	SB13
	Objectives		sectives	10/13/2017	10/11/2017	10/11/2017	10/11/2017	10/11/2017	10/13/2017	10/13/2017	10/13/2017	1	10/12/2017	10/13/2017	10/12/2017	10/12/2017
	(SCOs)	(SCOs)	(sone)	0.5 - 6.0	0.5 - 4.0	0.5 - 4.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.9 - 6.0	0.5 - 6.0	0.9 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0
2-Methylnaphthalene	SN	SN	SN	QN	QN	Q	Q	Q	Q	Ð	£	Q	Q	Ð	QN	2
Acenaphthene	20	100	200	ON	QN	ON	QN	QN	Q	9	Q	2	Q	Ð	Q	2
Acenaphthylene	100	190	200	D	QN	DN	QN	QN	0.19	QN	2	Ð	₽	Ð	QN	S
Anthracene	100	100	200	ND	0.041	QN	QN	QN	990'0	Q	Q	Q	₽	QN	QN	Q
Benzo[a]anthracene	-	1	5.6	QN	0.15	ON	QN	QN	0.42	Ð	2	0.042	0.045	0.082	QN	0.047
Benzo[a]pyrene	-	1	1	ON	0.17	ON	QN	Q	0.56	Q	Q	90.0	0.044	60.0	Q	0.048
Benzo[b]fluoranthene	-	-	5.6	0.043	0.23	0.054	QN	QN	0.82	0.041	Ð	690'0	90'0	0.12	0.044	0.066
Benzo[g,h,i]perylene	100	100	200	ON	0.16	0.04	QN	QN	0.55	0.041	Q.	0.065	0.038	0.077	Q.	2
Benzo[k]fluoranthene	0.8	3.9	26	Q	0.076	ND	QN	QN	0.27	QN	Q	QN	Q	0.037	Ð	Q
Chrysene	-	3.9	56	Q	0.16	0.038	ND	QN	0.47	QN	Q	0.042	0.046	980'0	Q	0.049
Dibenzo[a,h]anthracene	0.33	0.33	0.56	Q	0.042	QN	QN	QN	0.17	QN	Q	2	Q	Ð	QN	2
Fluoranthene	100	100	200	0.05	0.25	0.052	ND	QN	0.44	QN	QN	0.051	0.065	0.13	0.043	0.071
Fluorene	30	100	200	Q	Q	Q	Q	QN	ND	QN	Q	2	Q	Q	₽	2
Indeno[1,2,3-cd]pyrene	0.5	0.5	5.6	Q	0.13	QN	QN	QN	0.48	QN	Q	0.048	Q	0.058	Ð	QN
Naphthalene	12	100	200	Q	Q	QN	ND	QN	QN	QN	QN	2	Q	₽	2	Q
Phenanthrene	100	100	200	QN	0.15	QN	QN	QN	0.1	QN	Q	Q	Q	990'0	Ð	Ð
Pyrene	100	100	200	0.061	0.25	0.056	QN	Q	0.46	0.041	0.041	0.062	0.071	0.15	0.049	0.081

All concentrations are in parts per million or milligrams per kilogram(ppm or mg/kg)

ND = Compound not detected above method detection limit (see attached lab report for mdl's)

NS = No Standard

SCOs = Soil Cleanup Objectives as per the NYSDEC Regulations 6 NYCRR Subpart 375-6 Remedial Program Soil Cleanup Objectives (December 14, 2006) B = Analyte is found in the associated blank, as well as in the sample

Underline = Concentration exceeds Unrestricted Use (Track 1) Soil Cleanup Objectives BOLD = Concentration exceeds Residential Use (Track 2) Soil Cleanup Objectives

DDC Project Number: BED809



Table 3. Summary of Polycyclic Aromatic Hydrocarbons (PAHs) Detected in Soil (Continued)
Phase II Subsurface Corridor Investigation for Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, Ocean Avenue, and Gravesend Neck Road
Brooklyn, New York

	Unrestricted Use (Track 1)	Restricted- Residential	Commercial Use (Track 2)					8	Sample ID, Date Collected, and Depth	ate Collected	I, and Depth					
PAHs	Soil Cleanup	Soil Cleanup	Soil Cleanup	SB14	SB15	SB16	SB17	SB18	SB19	SB20	SB21	SB22	SB23	SB24	SB25	SB26
	Objectives	Objectives	Objectives	10/13/2017	10/13/2017	10/12/2017	10/12/2017	10/12/2017	10/12/2017	10/13/2017	10/13/2017	10/16/2017	10/12/2017	10/12/2017	10/12/2017	10/16/2017
	(SCOs)	(SCOs)	(scos)	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0
2-Methylnaphthalene	SN	NS	SN	Q	Q	QN	QN	0.54	QN	Q	QN	QN	QN	QN	QN	Q
Acenaphthene	20	100	200	Q	Ð	Q	2	1.7	2	QN	QN	QN	0.047	QN	ON ON	Q
Acenaphthylene	100	100	200	S	₽	QN	Ð	Q	S	QN	QN	QN	0.059	QN	ON	Q
Anthracene	190	100	200	QN	Ð	Q	2	1.8	2	QN	0.063	QN	0.091	QN	ON	Q
Benzolalanthracene	-	-	5.6	Q	0.036	0.14	0.076	2.5	Q	0.047	0.22	0.072	0.31	0.073	0.21	0.085
Benzolalpyrene	-	-	-	Q	0.042	0.15	0.082		Q	0.049	0.21	0.081	0.32	0.079	0.23	0.086
Benzolbifluoranthene	-	-	5.6	Q	90.0	0.19	0.1	2.3	0.047	0.064	0.26	0.12	0.37	0.1	0.28	0.12
Benzola, h. ilberylene	100	100	200	S	0.043	0.12	0.074	-	QN	0.042	0.13	0.078	0.29	0.067	0.18	0.063
Benzofkifluoranthene	0.8	3.9	56	Q	Q	0.062	0.037	0.84	QN	Q	0.093	QN	0.11	0.038	0.083	0.044
Chrysene	-	3.9	56	Q	0.04	0.14	0.077	2.1	QN	0.044	0.21	690'0	0.34	0.072	0.23	0.083
Dibenzola,hlanthracene	0.33	0.33	0.56	Ş	Q	2	Q	0.31	QN	QN	0.042	ΩN	0.072	QN	0.046	2
Fluoranthene	100	100	200	Q	0.063	0.23	0.12	6.4	0.038	690'0	0.39	0.094	0.5	0.11	0.29	0.13
Fluorene	30	100	200	2	<u>Q</u>	QN	QN	1.1	QN	ND	ND	ND	0.046	QN	Q	2
Indeno[1,2,3-cd]pyrene	0.5	0.5	5.6	Q	Q	0.1	90'0	1	QN	QN	0.13	0.062	0.22	0.056	0.15	0.049
Naphthalene	12	100	200	QN	QN	QN	Q	2.5	Q	Q.	0.024	Q	Q	Q	Q	2
Phenanthrene	100	100	200	Ð	QN	0.13	0.065	7.5	QN	ND	0.27	Q	0.54	0.056	0.14	9
Pyrene	100	100	200	ΩN	0.064	0.25	0.14	5.5	0.043	0.075	0.37	0.1	0.72	0.12	0.39	0.13

All concentrations are in parts per million or milligrams per kilogram(ppm or mg/kg)

ND = Compound not detected above method detection limit (see attached lab report for mdl's)

NS = No Standard

SCOs = Soil Cleanup Objectives as per the NYSDEC Regulations 6 NYCRR Subpart 375-6 Remedial Program Soil Cleanup Objectives (December 14, 2006)

B = Analyte is found in the associated blank, as well as in the sample

Underline = Concentration exceeds Unrestricted Use (Track 1) Soil Cleanup Objectives BOLD = Concentration exceeds Residential Use (Track 2) Soil Cleanup Objectives

DDC Project Number: BED809



New York City Department of Design and Construction Phase II Subsurface Corridor Investigation Report Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S. Ocean Avenue, and Gravesend Neck Road, Brooklyn, New York

Table 3. Summary of Polycyclic Aromatic Hydrocarbons (PAHs) Detected in Soil (Continued)
Phase II Subsurface Corridor Investigation for Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, Ocean Avenue, and Gravesend Neck Road
Brooklyn, New York

	Unrestricted	Restricted-	•				
	Use	Residential	Commercial Use (Track 2)	Samp	e ID, Date Co	Sample ID, Date Collected, and Depth	Depth
DAHe	(Track 1)	Use (Track 2)	Coil Cleaning				
2	Soil Cleanup	Soil Cleanup	Original D	SB27	SB28	SB29	SB30
	Objectives	Objectives	Copectives	10/12/2017	10/16/2017	10/16/2017	10/16/2017
	(SCOs)	(SCOs)	(scos)	0.5 - 6.0	0.5 - 6.0	0.5 - 4.0	0.5 - 6.0
2-Methylnaphthalene	SN	SN	SN	Q	QN	2	QN
Acenaphthene	20	100	200	Q	QN	Q	0.19
Acenaphthylene	100	100	200	2	2	0.039	0.1
Anthracene	100	100	200	Q	Q	Q	0.3
Benzo[a]anthracene	1	1	5.6	0.1	0.094	0.17	6.0
Benzo[a]pyrene	1	1	-	0.11	960'0	0.19	0.78
Benzo[b]fluoranthene	1	- 1	5.6	0.14	0.13	0.25	96.0
Benzo[g,h,i]perylene	100	100	200	60.0	0.081	0.15	0.51
Benzo[k]fluoranthene	8'0	3.9	56	0.045	0.043	90.0	0.34
Chrysene	1	3.9	56	0.094	660'0	0.17	0.79
Dibenzo[a,h]anthracene	0.33	0.33	0.56	QN	Q	0.044	0.16
Fluoranthene	100	100	200	0.15	0.15	0.23	1.7
Fluorene	30	100	200	Š	2	Ð	0.11
Indeno[1,2,3-cd]pyrene	0.5	0.5	5.6	0.076	0.072	0.14	0.45
Naphthafene	12	100	200	Q	Q	QN	0.031
Phenanthrene	100	100	200	0.078	0.068	0.087	1.1
Pyrene	100	100	200	0.17	0.16	0.24	1.6

All concentrations are in parts per million or milligrams per kilogram(ppm or mg/kg)

ND = Compound not detected above method detection limit (see attached lab report for md/s)

NS = No Standard

SCOs = Soil Cleanup Objectives as per the NYSDEC Regulations 6 NYCRR Subpart 375-6 Remedial Program Soil Cleanup Objectives (December 14, 2006) B = Analyte is found in the associated blank, as well as in the sample

Underline = Concentration exceeds Unrestricted Use (Track 1) Soil Cleanup Objectives BOLD = Concentration exceeds Residential Use (Track 2) Soil Cleanup Objectives

Table 4. Summary of Polychlorinated Biphenyls (PCBs) Detected in Soil
Phase II Subsurface Corridor Investigation for Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, Ocean Avenue, and Gravesend Neck Road
Brooklyn, New York

	Unrestricted Use	Restricted- Residential	Commercial Use (Track 2)					.,	sample ID, D.	Sample ID, Date Collected, and Depth	1, and Depth					
PCBs	Soil Cleanup	Soil Cleanup		SB01	SB02	SB03	SB04	SB05	90BS	SB07	SB08	SB09	SB10	SB11	SB12	SB13
	Objectives	Objectives	Objectives	10/13/2017	10/11/2017	10/11/2017	10/11/2017 10/11/2017	10/11/2017	10/13/2017	10/13/2017	10/13/2017	10/13/2017	10/12/2017	10/13/2017	10/12/2017	10/12/2017
	(SCOS)		(scos)	0.5 - 6.0	0.5 - 4.0	0.5 - 4.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0
PCBs	0.1	<u>_</u>		QN	QN	Q	QN	QN	QN	QN	QN	ON	QN	QN	ND	QN
	Unrestricted		Commercial								. !					
	Ose	Residential	llse (Track 2)						Sample ID, D	Sample ID, Date Collected, and Depth	i, and Depth					
!	(Lack 1)	(Track 1) Use (Track 2)	/ unit) in (
PCBs	Soil Cleanup	Soil Cleanup	,,	SB14	SB15	SB16	SB17	SB18	SB19	SB20	SB21	SB22	SB23	SB24	SB25	SB26
	Objectives	Objectives)	10/13/2017	10/13/2017	10/12/2017	10/12/2017	10/12/2017 10/12/2017 10/12/2017		10/13/2017 10/13/2017 10/16/2017	10/13/2017		10/12/2017	10/12/2017 10/12/2017	10/12/2017	10/16/2017
	(SCOS)	(SCOs)	(scos)	_	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5-6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0
PCBs	0.1	-	-	QN	QN	Q	Q	GΝ	QN	QN	QN	ON	QN	QN	QN	Q

Depth	SB30	10/16/2017	0.5 - 6.0	ΩN
llected, and	SB29	10/16/2017	0.5 - 4.0	QN
Sample ID, Date Collected, and Depth	SB28	10/16/2017	0.5 - 6.0	QN
	SB27	10/12/2017	0.5 - 6.0	GN
Commercial Use (Track 2)	Soil Cleanup	savinadion	(sons)	
Restricted- Residential Use (Track 2)	Soil Cleanup Soil Cleanup	Objectives	(SCOs)	,
Unrestricted Use (Track 1)	Soil Cleanup	Objectives	(SCOs)	0.1
	PCBs			PCR

All concentrations are in parts per million or milligrams per kilogram (ppm or mg/kg)

ND = Compound not detected above method detection limit (see attached lab report for MDL's)

SCOs = Soil Cleanup Objectives as per the NYSDEC Regulations 6 NYCRR Subpart 375-6 Remedial Program Soil Cleanup Objectives (December 14, 2006)

Work Order Letter No. 13338-LBA-4-12148



Phase II Subsurface Corridor Investigation Report Replacement of Water Mains Bounded by Coney Island Avenue S, Ocean Avenue, and Gravesend Neck Road, Brooklyn, New York

Table 5. Summary of Waste Classification Results in Soil
Phase II Subsurface Corridor Investigation for Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, Ocean Avenue, and Gravesend Neck Road
Brooklyn, New York

														-
Analyte	Resource Conservation and Recovery Act (RCRA) Hazardous					Samp	Sample ID, Date Collected, and Borings Depth	ollected, and	l Borings De	pth				
	Waste	SB01	SB02	SB03	SB04	SB05	908S	SB07	SB08	SB09	SB10	SB11	SB12	SB13
	Levels (mg/L)	10/13/2017	10/11/2017	10/11/2017	10/11/2017	10/11/2017	10/13/2017	10/13/2017	10/13/2017	10/13/2017	10/12/2017	10/13/2017	10/12/2017	10/12/2017
		0.5 - 6.0	0.5 - 4.0	0.5 - 4.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.9 - 6.0	0.9 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0
RCRA (Including TCLP Metals)														
Hd	2 - 12.5*	7.8	8	8.5	6.9	7.8	7.3	8.8	8.1	8	8.2	8.2	8.1	8.2
Ignitability	>140 °F**	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG
Paint Filter Test	NS	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG
Reactive Cyanide	NS	ON.	QN	QN	QN	QN	Q	S	£	S	Q	Q	2	2
Reactive Suffide	NS	Q	QN	QN	QN	QN	QN	2	£	Q	Q	2	Q	Q
Arsenic	5	2	ND	QN	ON	QN	QN	Q	2	Ð	£	Q	S	S
Barium	100	0.27	0.47	0.29	0.29	0.33	QN	QN	QN	0.28	0.26	Q	0.26	0.33
Cadmium		Q	Q	Q	ON	ND	QN	ON	QN	QN	2	Q	Q	2
Chromium	5	Q	Q	Q	QN	QN	ND.	QN	QN	QN	2	Q	Q	Q
Lead	သ	Q	QN	QN	Q	QN	QN	QN	Q	2	QV	Q	Q	2
Mercury	0.2	Q	Q	NO	QN	ND	QN	ON	QN	QN	2	QN	Ð	Ş
Nickel	NS	Q	Q	ND	ND	QN	QN	QN	QN	Q	2	Q	₽	Ð
Selenium	1	2	ON	QN	ND	QN	QN	Q	QN	2	Q	Q	2	Q
Silver	5	Q	ON	QN	QN	QN	QN	QN	Q	QN	Q	Q	Q	2
TPH DRO/GRO														
TPH - Diesel Range Organics	SN	QN	75	QN	QN	Q	65	9	Q	ą	Q	Q	Q	<u>Q</u>
TPH - Gasoline Range Organics	NS	ON	ND	QN	QN	QN	QN	QN	QN	Ð	QV	9	Q	QN

Notes:

All concentrations are in parts per million or milligrams per kilogram (ppm or mg/L)

TCLP = Toxicity characteristic leaching procedure

ND = Compound not detected above method detection limit (see attached lab report for MDL's)

*A solid waste exhibits the characteristic of corrosivity if it has a pH less than or equal to 2 or greater than or equal to 12.5.

*A solid waste exhibits the characteristic of ignitability if it has flash point less than 60 °C (140 °F)

°F = Degrees Fahrenheit NEG = Negative (flash point was not detected below 140 degrees Fahrenheit)

NS = No Standard

DDC Project Number: BED809



Table 5. Summary of Waste Classification Results in Soil (Continued) Phase II Subsurface Corridor Investigation for Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, Ocean Avenue, and Gravesend Neck Road Brooklyn, New York

	Resource Conservation and					Samp	Sample ID, Date Collected, and Borings Depth	ollected, an	d Borings D	epth				
Analyte		SB14	SB15	SB16	SB17	SB18	SB19	SB20	SB21	SB22	SB23	SB24	SB25	SB26
	/L)	_	10/13/2017	10/12/2017	10/12/2017	10/12/2017	10/12/2017	10/13/2017	10/13/2017	10/16/2017	10/12/2017	10/12/2017	10/12/2017	10/16/2017
		0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0	0.5 - 6.0
RCRA (Including TCLP Metals)														
He	2 - 12.5*	6.7	6	8.3	8.2	8.2	7.7	9.8	8.2	8.4	6.7	8.1	7.9	8.5
lanitability	>140 °F**	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG
Paint Filter Test	SN	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG	NEG
Reactive Cvanide	SZ	2	2	Q	Q	QN	Q	Q	Q	QN	QN	ON	QV	Q
Reactive Sulfide	SV	₽	2	Q	Q	Q	Q	QV	QN	QN	QN	ND	Q	Q
Arsenic	5	Q	2	£	Q	Ð	Ð	S	Q	ΩN	QN	QN	Q	Q
Barium	100	2	Q.	0.33	0.38	Ð	0.33	0.42	QN	QN	0.29	0.48	0.36	0.29
Cadmium		Q	Q	Q	Q	Q	Q	Q	QN	QN	QN	ON	DD	Ω
Chromium	9	2	<u>Q</u>	Q	QN	Q	QN	QN	ND	ON	QN	QN	2	Q
Lead	5	Q	Q	QV	QN	ND	QN	0.067	Q	QN	Q	Q	2	Q
Mercury	0.2	QN	QN	ND	QN	Q	Q	Q	Q	2	Q	Q	Q.	2
Nickel	SN	QN	ND	ND	Q	ON	Q	Q	2	Q	2	Q	2	2
Selenium	-	QN	QN	QN	Q	Q	ND	Q	S	QN	2	QN N	2	2
Silver	5	QN	QN	ND	QN	QN	ON	QN	Q	QN	QN	Q.	Q	2
TPH DRO/GRO														
TPH - Diesel Range Organics	NS	2	QN	QN	QN	QN	ΔN	QN	ND	100	88	2	84	Q
TPH - Gasoline Range Organics	NS	QN	ND	ND	QN	QN	QN ON	Q.	<u>N</u>	ΩN	Q.	2	QV	Ω

All concentrations are in parts per million or milligrams per kilogram (ppm or mg/L) TCLP = Toxicity characteristic leaching procedure

ND = Compound not detected above method detection limit (see attached lab report for MDL's)

*A solid waste exhibits the characteristic of corrosivity if it has a pH less than or equal to 2 or greater than or equal to 12.5.
**A solid waste exhibits the characteristic of ignitability if it has flash point less than 60 °C (140 °F)

°F = Degrees Fahrenheit NEG = Negative (flash point was not detected below 140 degrees Fahrenheit)

NS = No Standard

DDC Project Number: BED809

New York City Department of Design and Construction
Phase II Subsurface Corridor Investigation Report
Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S. Ocean Avenue, and Gravesend Neck Road. Brooklyn, New York

Table 5. Summary of Waste Classification Results in Soil (Continued)
Phase II Subsurface Corridor Investigation for Replacement of Water Mains Bounded by Coney Island Avenue, Avenue S, Ocean Avenue, and Gravesend Neck Road
Brooklyn, New York

Name Avelide	Resource Conservation and Recovery Act (RCRA) Hazardous	Sample ID,	Sample ID, Date Collected, and Borings Depth	ted, and Bori	ings Depth	
Chevels (mg/L) 10/12/2017 10/16/2017	Aliaiye	Waste	SB27	SB28	SB29	SB30
(Including TCLP Metals) 2 - 12.5* 8.2 8.2 8.2 8.2 8.2 8.2 8.2 8.2 8.2 9.3 9.		Levels (mg/L)	10/12/2017	10/16/2017	10/16/2017	10/16/2017
V(Including TC_LP Metals) 2 - 12.5* 8.2 8 sility >140°F** NEG NEG sility N40°F** NEG NEG Filter Test NS NEG NEG NS NB ND ND ive Cyanide NS ND ND ium 5 ND ND ium 5 ND ND ium 1 ND ND ium 1 ND ND ive Cyanide 5 ND ND ium 1 ND ND ium 1 ND ND ium 1 ND ND NS			0.5 - 6.0	0.5 - 6.0	0.5 - 4.0	0.5 - 6.0
1.5	RCRA (Including TCLP Metals)					
Filter Test NEG NEG NEG	Н	2 - 12.5*	8.2	8	8.1	8.1
Inter Test NS NEG NEG Ive Cylaride NS ND ND Ive Sulfide NS ND ND Ive Sulfide 5 ND ND Ive 100 0.36 0.3 Ive 1 ND ND Ive 5 ND ND Ive 0.2 ND ND Ive 0.2 ND ND Ive 1 ND ND Ive 5 ND ND Ive 5 ND ND Ive 5 ND ND Ive 5 ND ND Ive 1 ND ND <	Ignitability	>140 °F**	NEG	NEG	NEG	NEG
ive Cyanide NS ND ND ive Suffide NS ND ND in te 5 ND ND in m 1 ND ND ium 5 ND ND ium 5 ND ND ium 6 ND ND ium 6 ND ND ium 1 ND ND ium 1 ND ND ium 1 ND ND ivm 5 ND ND Iso ND ND ND	Paint Filter Test	SN	NEG	NEG	NEG	NEG
ic Suffide NS ND ND ND ic ND	Reactive Cyanide	SN	Q	Q	2	Q
Companies	Reactive Suffide	SN	QN	9	Q	QN
Ium 100 0.36 0.3 Ium 1 ND ND nium 5 ND ND Iry 0.2 ND ND Iry NS ND ND Irw 1 ND ND Irw 5 ND ND DISSEL Range Organics NS ND 150 ND ND ND ND	Arsenic	9	QN	QN	QN	QΝ
lium 1 ND nlum 5 ND ry 0.2 ND ry 0.2 ND lom 1 ND vm 5 ND Dissel Range Organics NS ND Gasoline Range Organics NS ND	Barium	100	98'0	0.3	0.38	0.4
ium 5 ND	Cadmium		Q	QN	QN	ΩN
ND ND ND ND ND ND ND ND	Chromium	9	Q	Q	Q	Q
Inj 0.2 ND Imm 1 ND Imm 5 ND DRO/GRO NS ND Diesel Range Organics NS ND Gasoline Range Organics NS ND	Lead	5	QN	QN	QN	QN
NS ND um 1 ND 1 ND ND NSO/GRO NS ND Diesel Range Organics NS ND Gasoline Range Organics NS ND	Mercury	0.2	QN	QN	QN	ΩN
tum 1 ND DRO/GRO 5 ND Diesel Range Organics NS ND Gasoline Range Organics NS ND	Nickel	SN	QN	QN	QN	QN
5 ND NRO/GRO ND Diesel Range Organics NS ND Gasoline Range Organics NS ND	Selenium	1	Q	QN	QN	QN
ON SN SN	Silver	. 2	QN	QN	QN	QV
GN SN SS	TPH DRO/GRO					
CN SN	TPH - Diesel Range Organics	SN	QN	150	85	69
	TPH - Gasoline Range Organics	SN	Q	Q	Q	QN

Notes:

All concentrations are in parts per million or milligrams per kilogram (ppm or mg/L)

TCLP = Toxicity characteristic leaching procedure

ND = Compound not detected above method detection limit (see attached lab report for MDL's)

*A solid waste exhibits the characteristic of corrosivity if it has a pH less than or equal to 2 or greater than or equal to 12.5.

*A solid waste exhibits the characteristic of ignitability if it has flash point less than 60 °C (140 °F)

°F = Degrees Fahrenheit NEG = Negative (flash point was not detected below 140 degrees Fahrenheit)

NS = No Standard

DDC Project Number: BED809



FIGURE 1 - TOPOGRAPHIC CORRIDOR LOCATION MAP

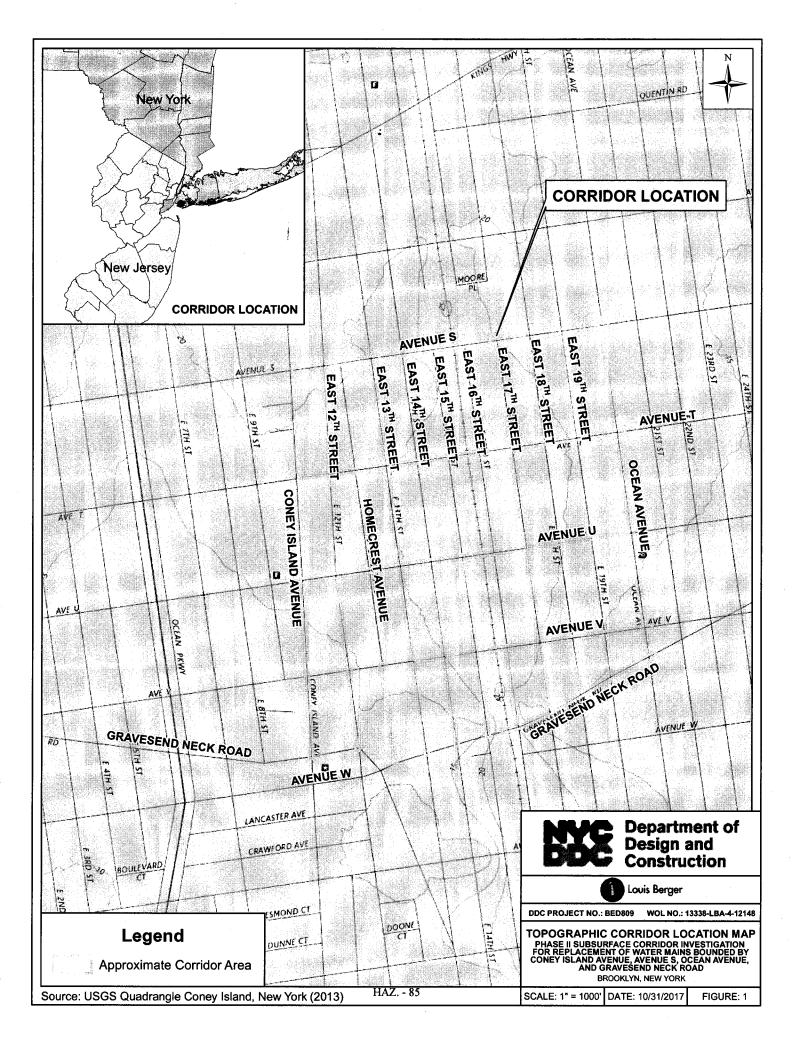
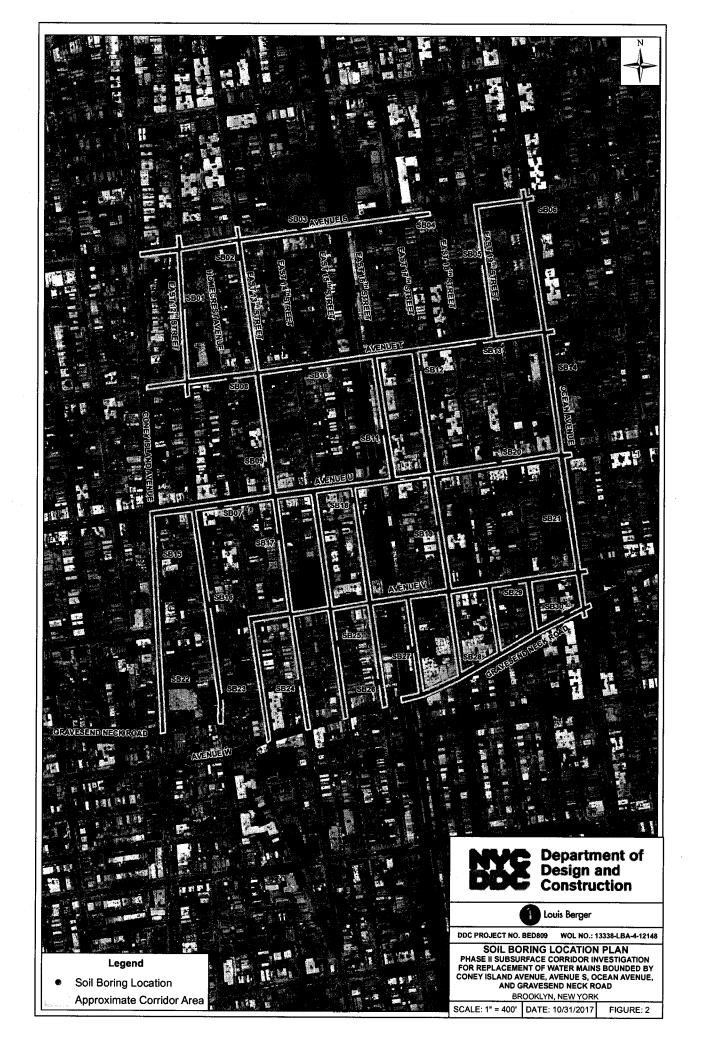


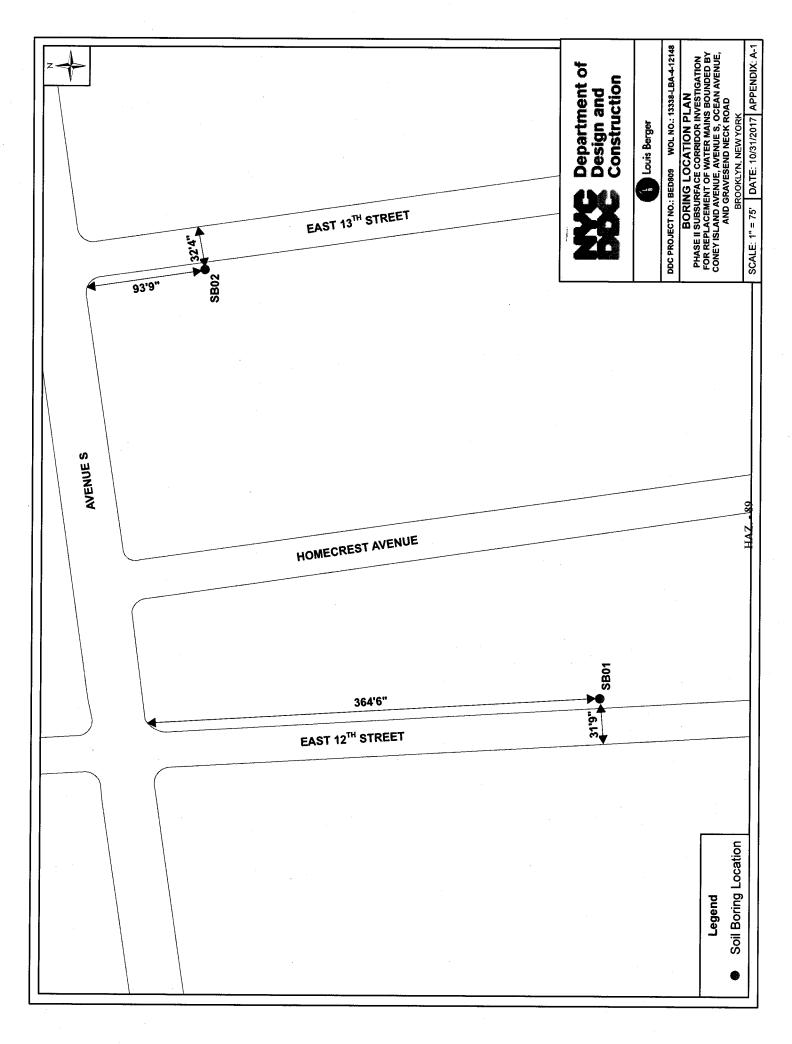


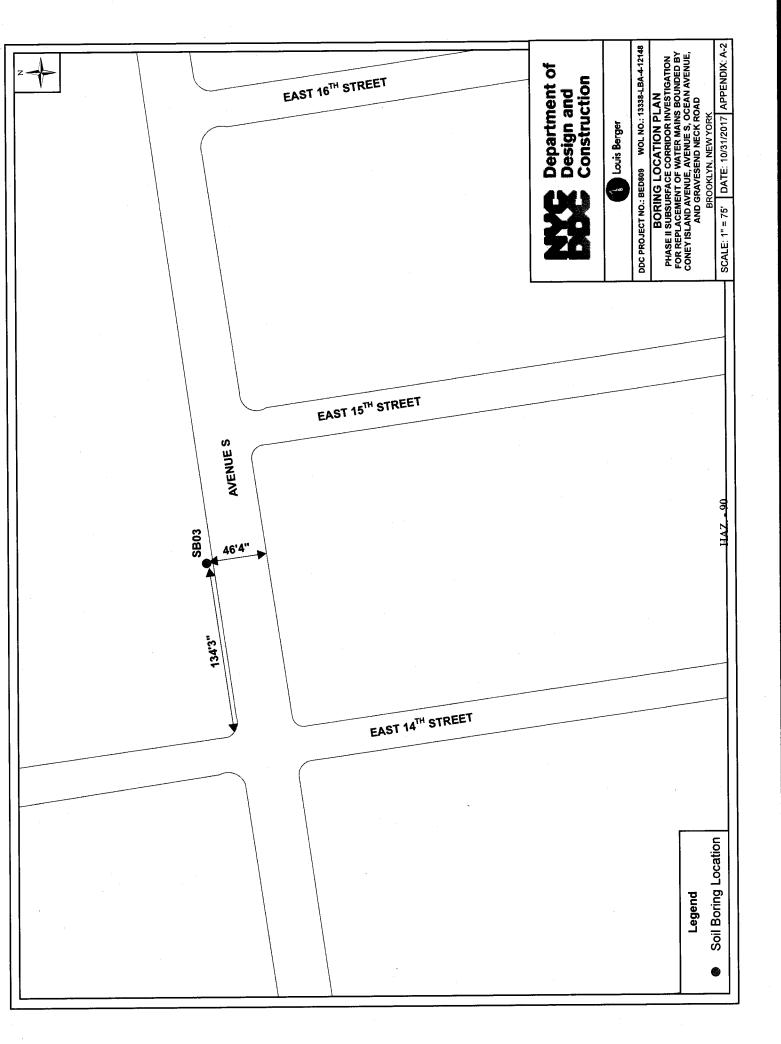
FIGURE 2 – SOIL BORING LOCATION PLAN

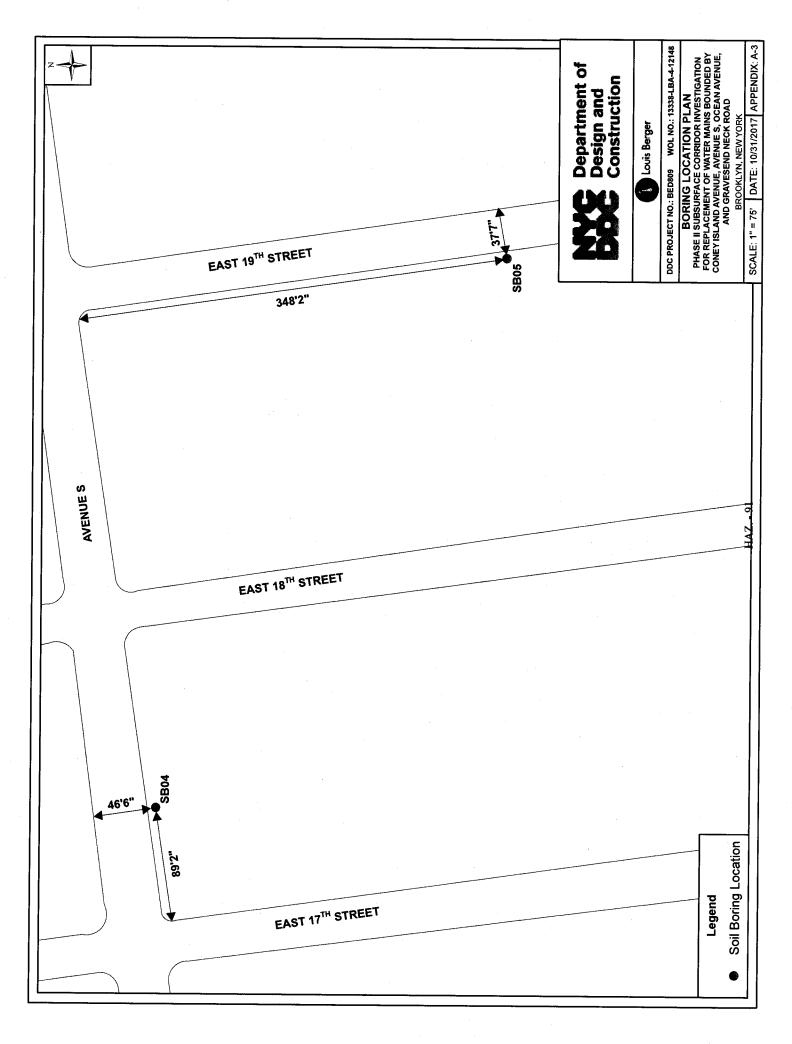


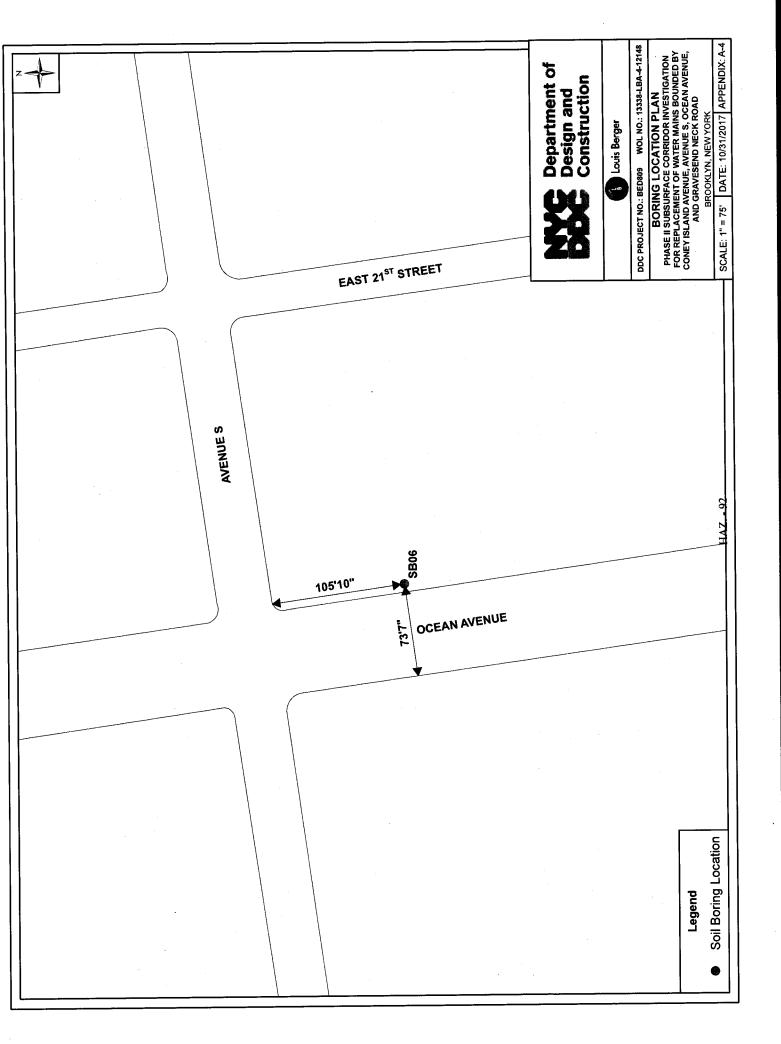


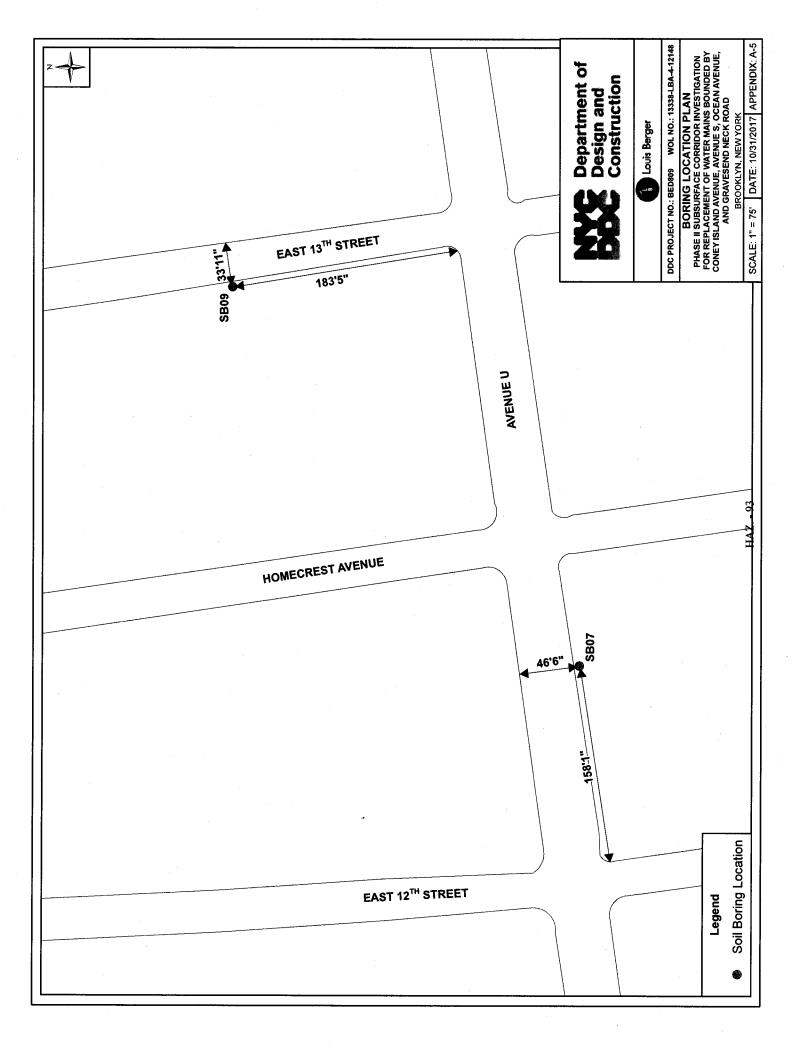
APPENDIX A BORING LOCATION PLAN

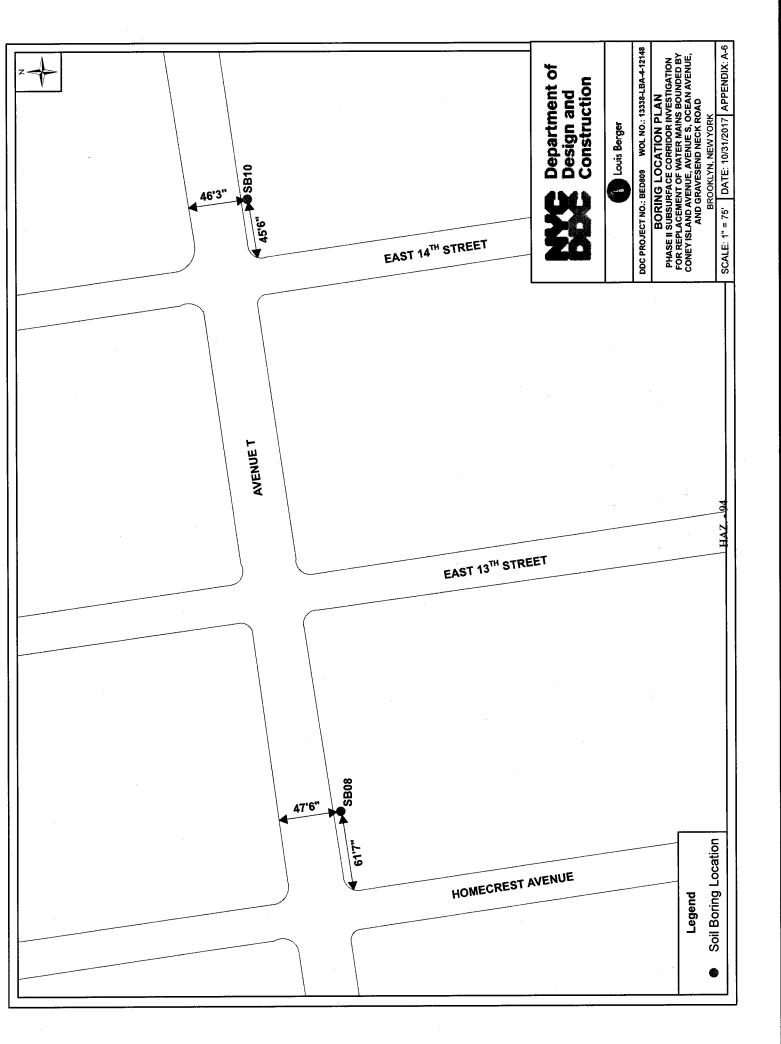


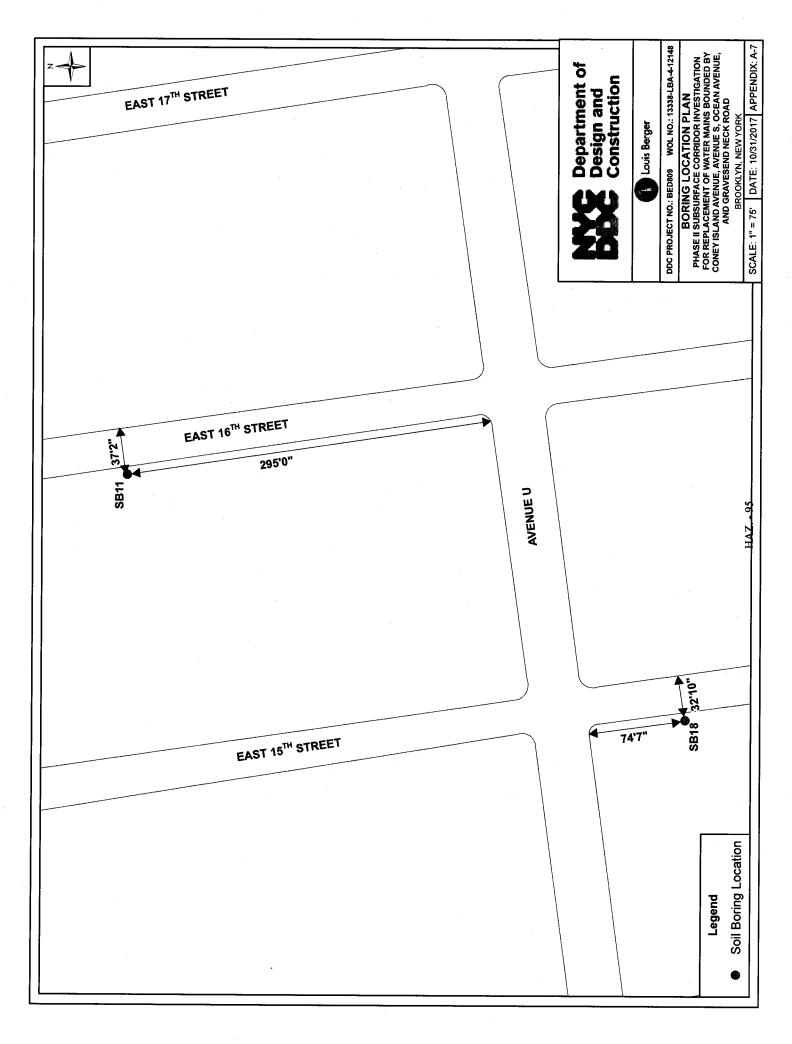


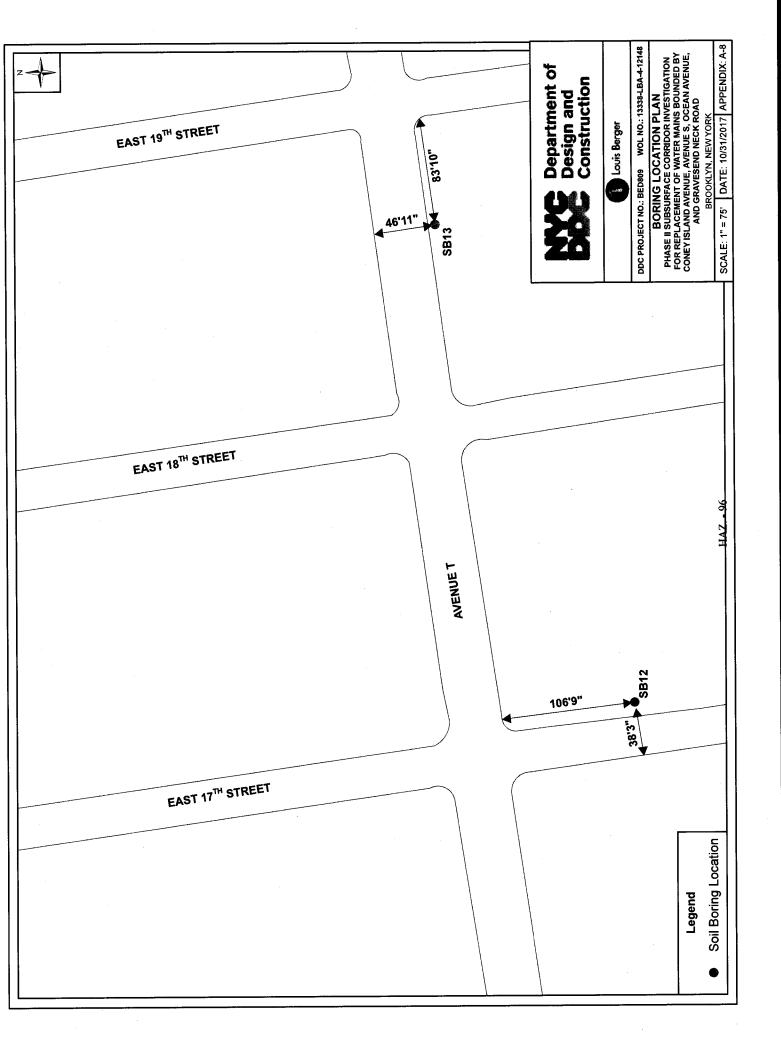


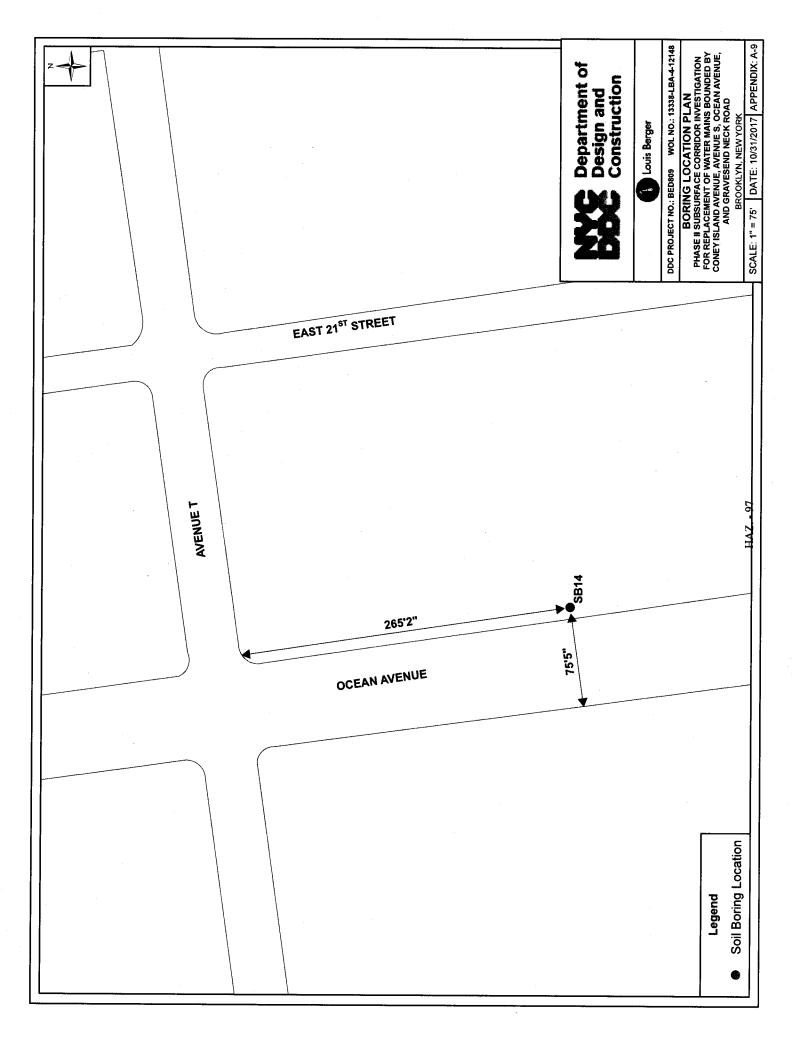


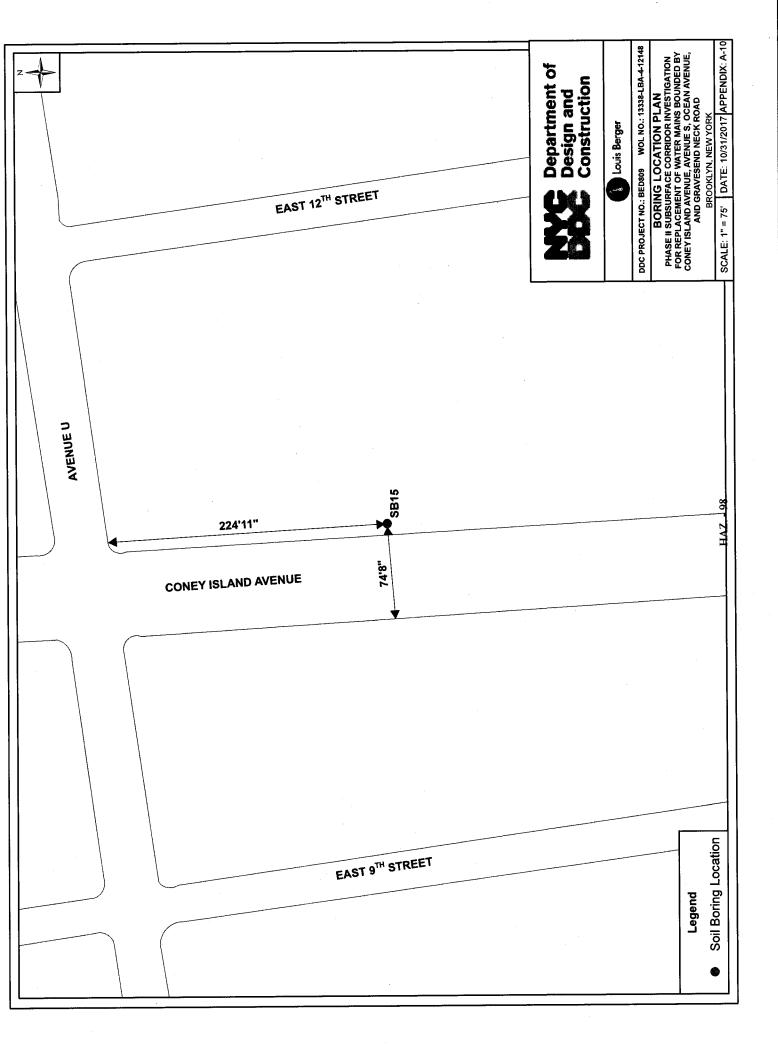


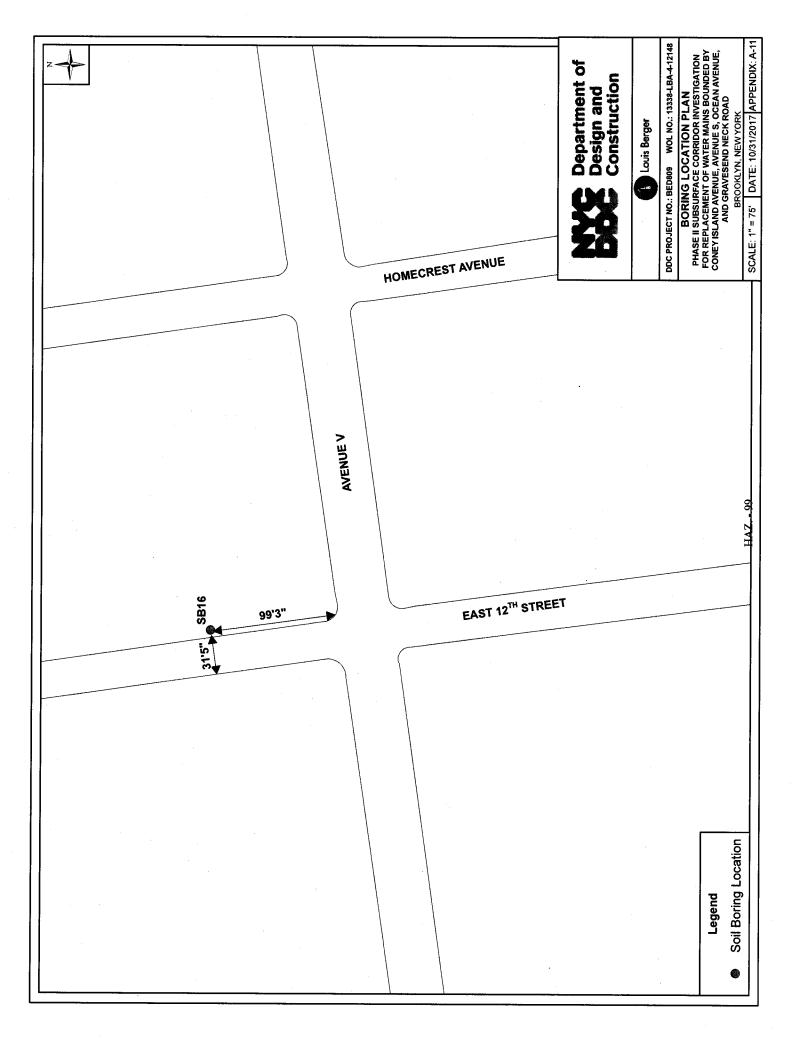


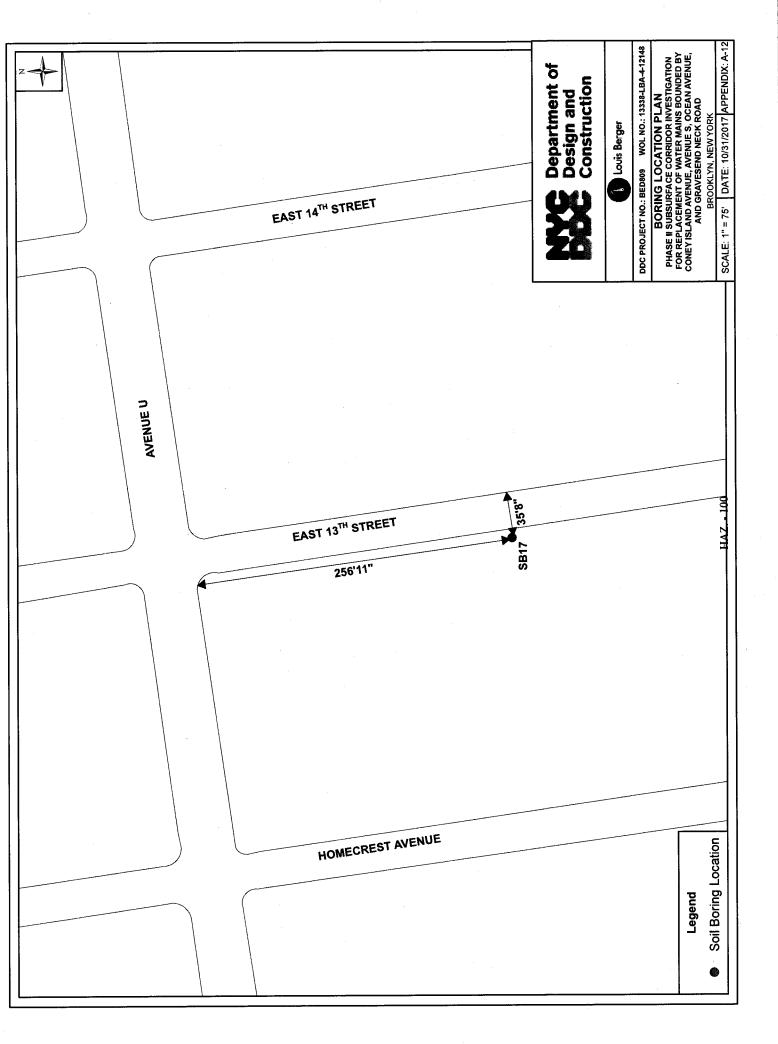


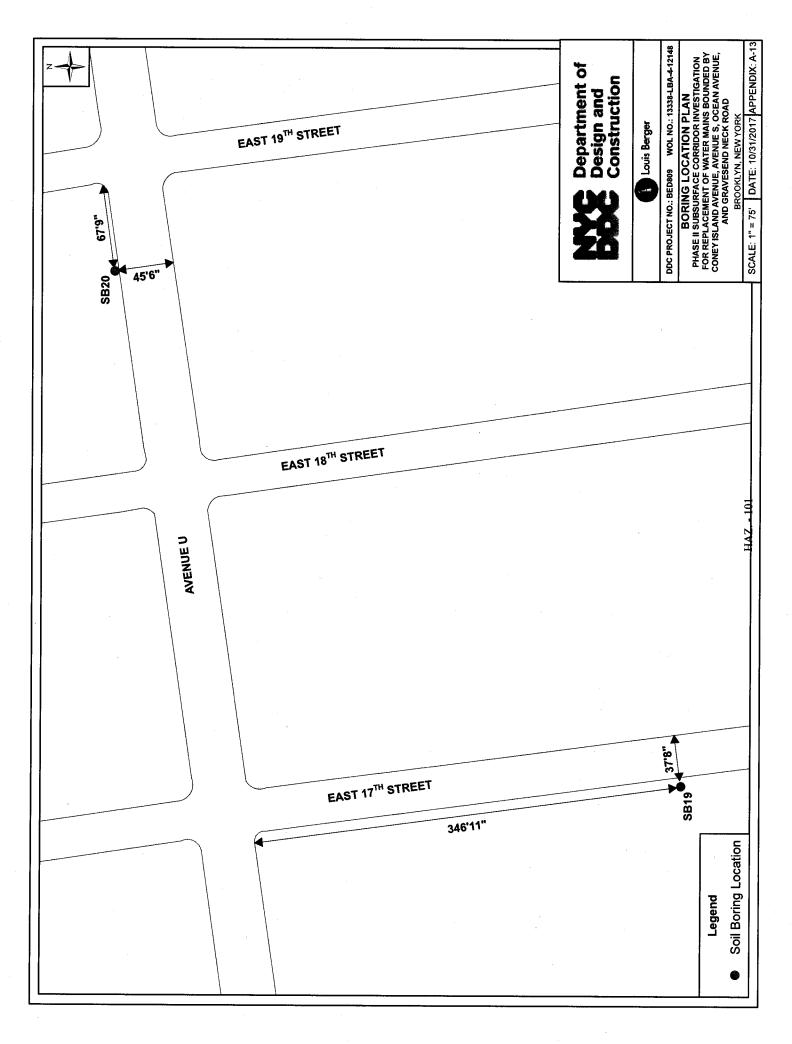


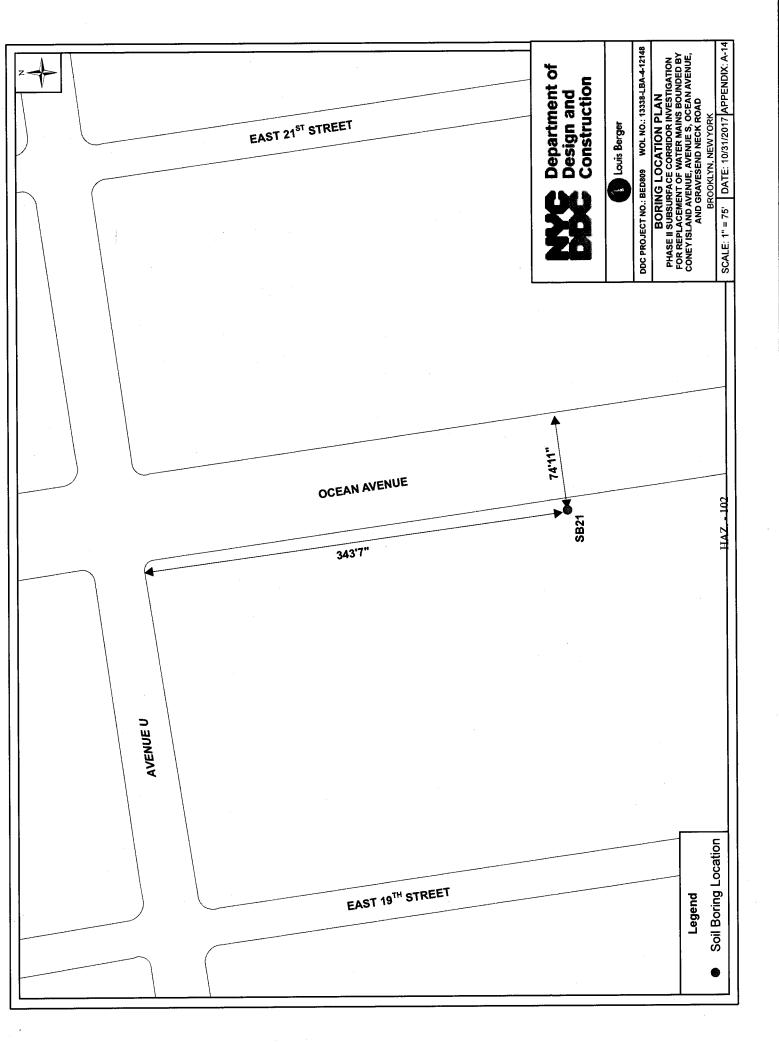


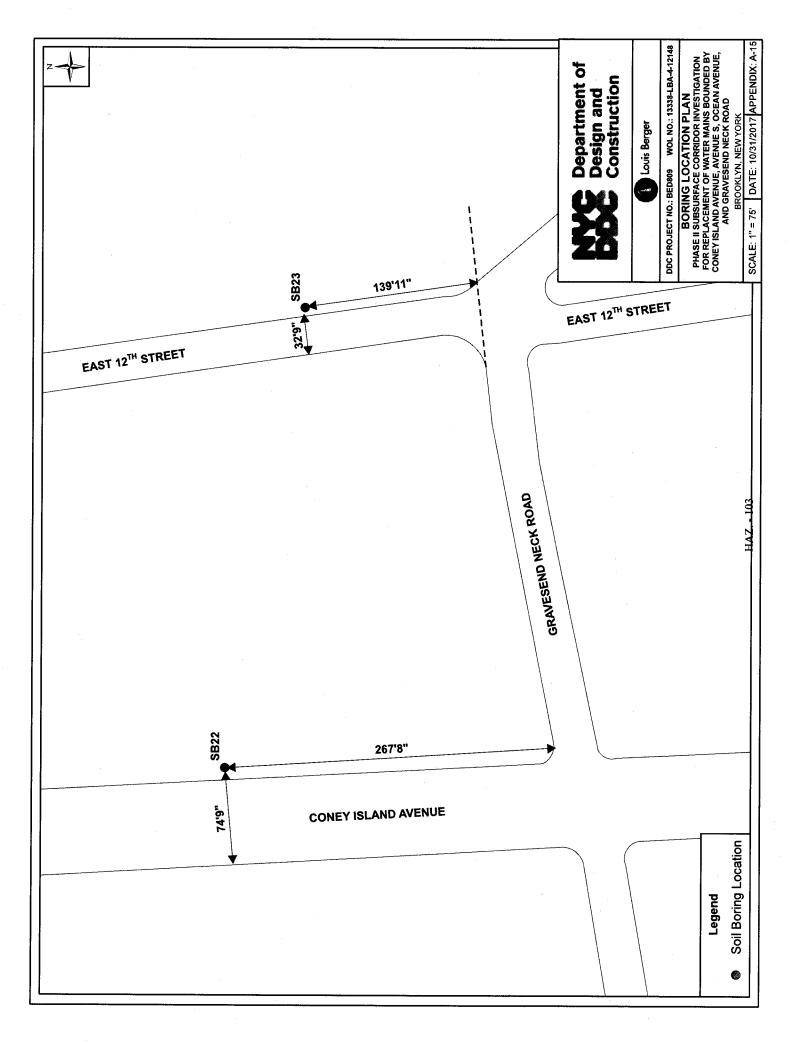


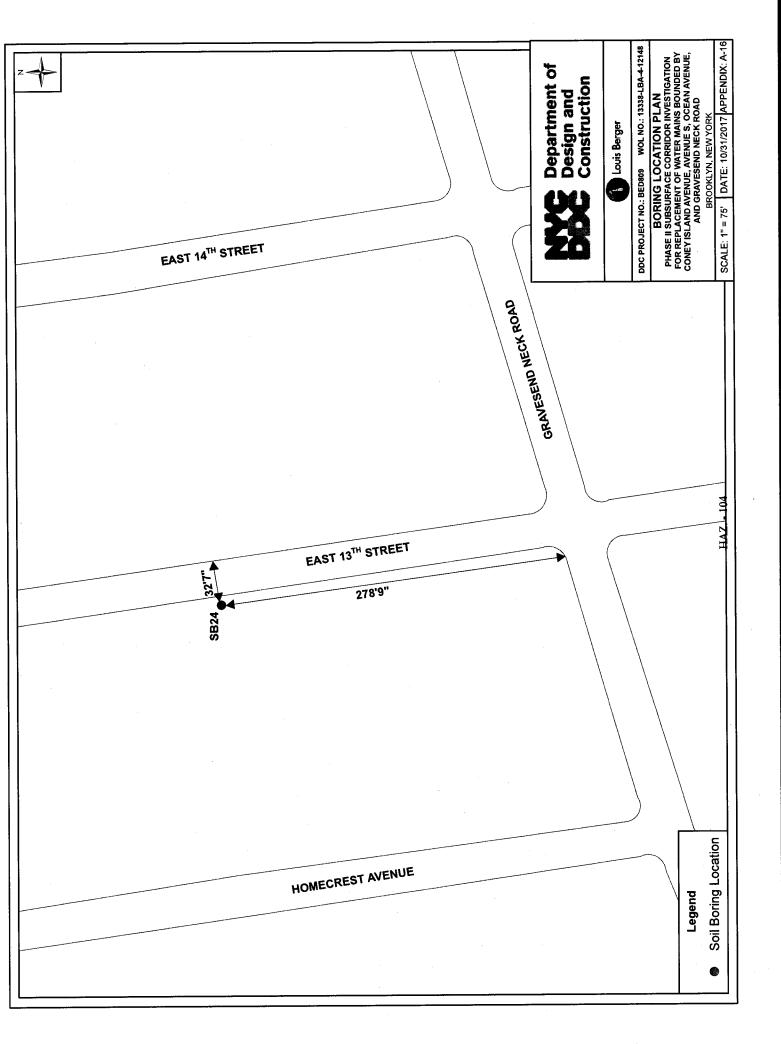


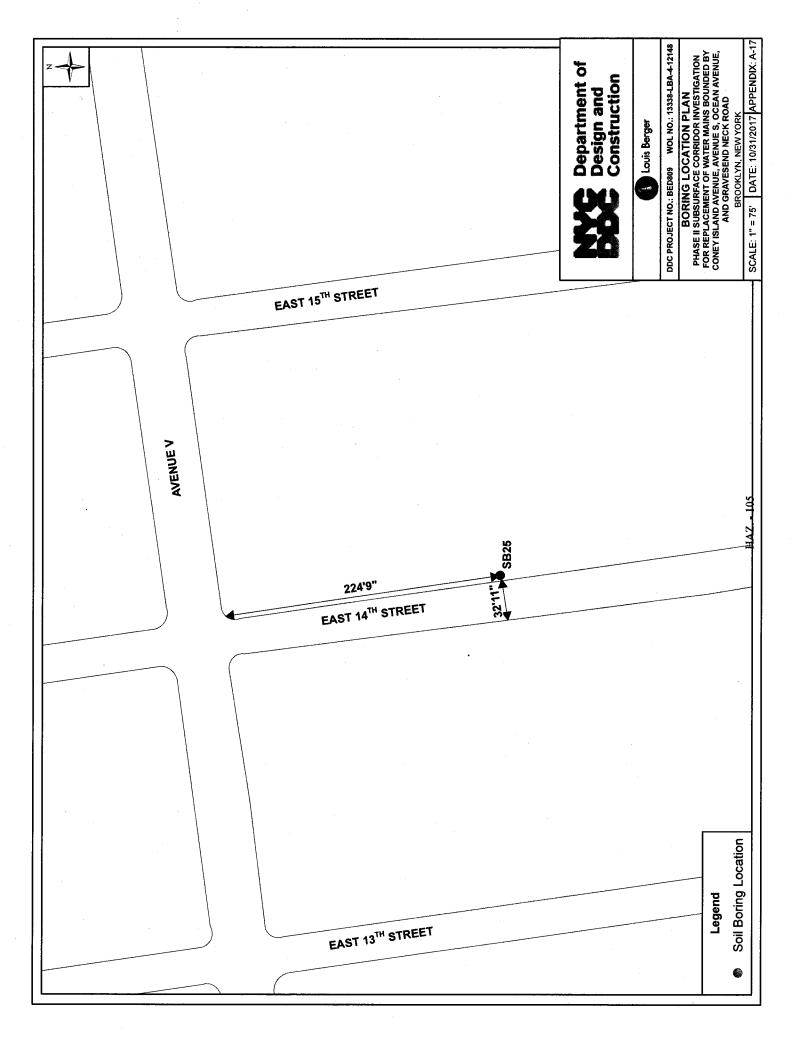


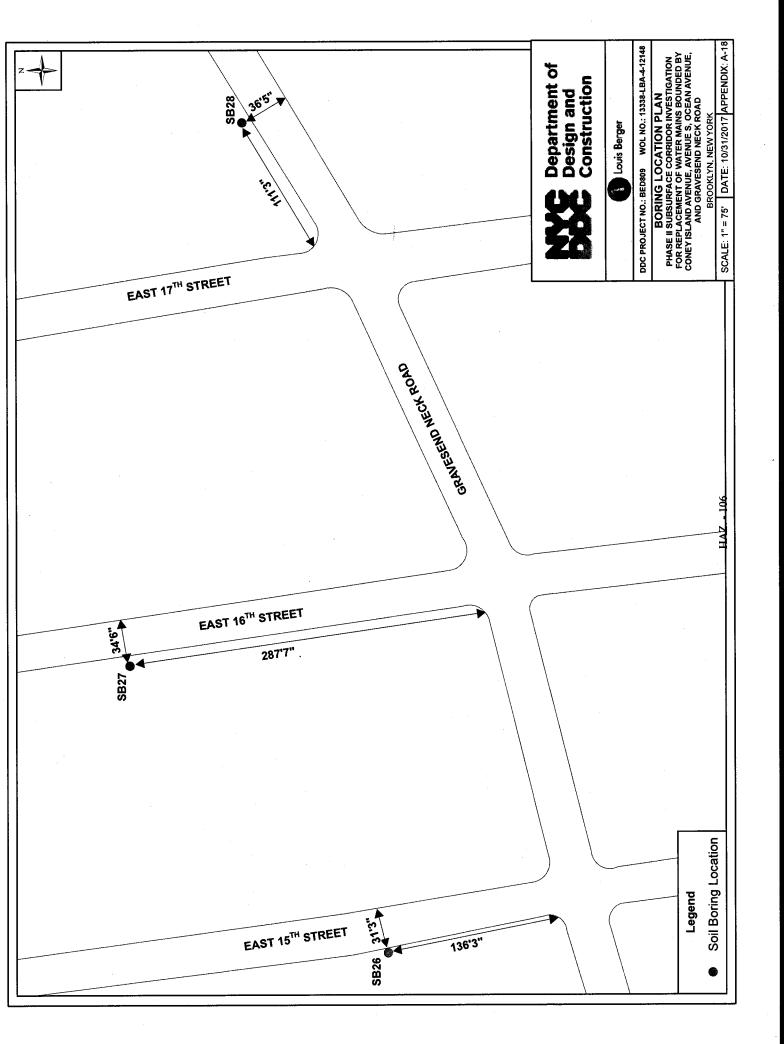


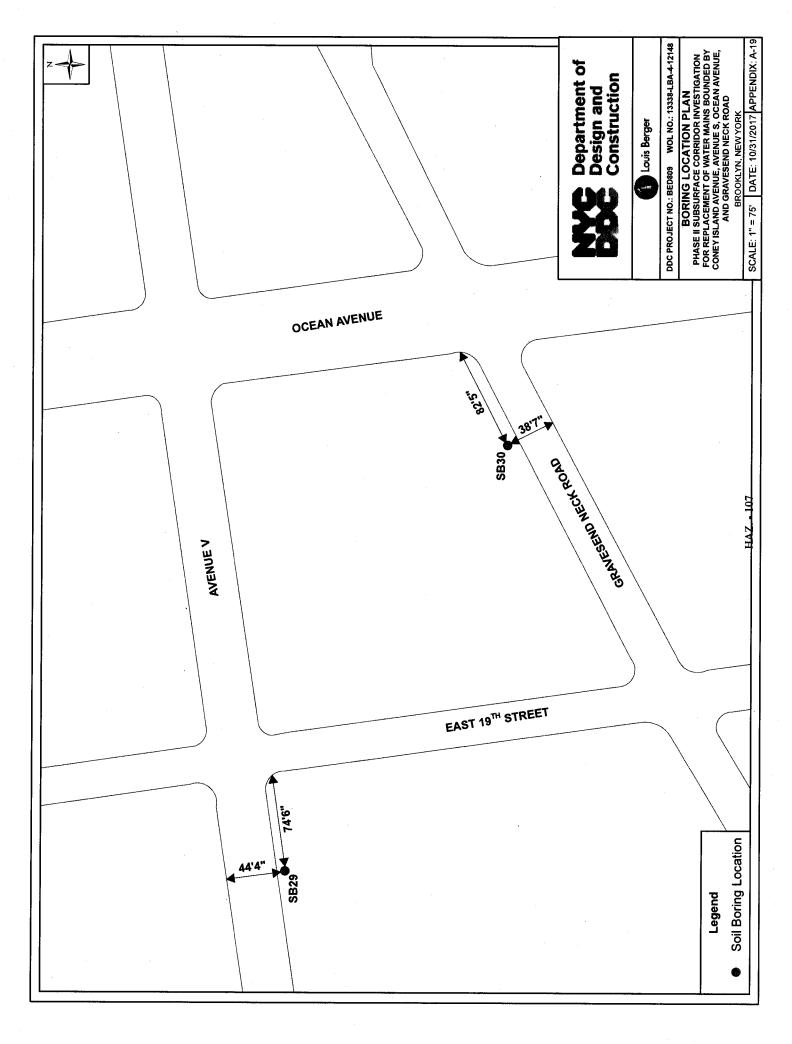














APPENDIX B GEOLOGIC BORING LOGS

Louis Berger	Drilling	Log	BORING NO.: SB01								
Loois beigei	Page 1 of	_	LOCATION: Brooklyn, NY								
CLIENT: New York City Department o	IENT: New York City Department of Design and Construction										
PROJECT: Replacement of Water Mains	ROJECT: Replacement of Water Mains in Avenue S, etc.										
DRILLING CONTRACTOR: PAL E	nvironmental Services		WOL #: 13338-LBA-4-12148								
DRILLING METHOD: Hand Auger			DATE STARTED: 10/13/2017								
BOREHOLE DATA	WELL DA	TA	DATE FINISHED: 10/13/2017								
Diameter (in): 3	Well Diameter (in):	N/A	DRILLER: E. Watkins								
Total Depth (ft.): 6	Total Depth (ft.):	N/A	LBA INSPECTOR: M. Donovan								
Depth to Refusal (ft): N/A	Screen Length (ft):	N/A	NORTHING (ft): 158629.017								
Depth to Water (ft.): N/A	Depth to Water (ft.):	N/A	EASTING (ft): 995244.907								
Depth to Rock (ft.): N/A	Slot Size (in):	N/A	SURFACE ELEVATION (ft): N/A								

Well Construction	Depth (feet)	Lithology USCS Sample Interval Sample Recovery PID Reading (ppm)		PID Reading (ppm)	Description and Stratigraphy	Remarks	
	_		FILL		<1	Dusky yellowish brown (10YR 2/2), medium to fine SAND, some Clayey Silt, moist.	Clayey Silty Sand (Fill)
	_ _		FILL		<1	Moderate brown (5YR 4/4), CLAY, some medium to fine Sand, moist.	Sandy Clay (Fill)
	2 —		FILL		<1	Dark yellowish orange (10YR 6/6), Silty CLAY, some medium to fine Sand, moist.	Sandy Silty Clay (Fill)
	4 —		FILL.		<1	Pale brown (5YR 5/2), coarse to medium SAND, trace Gravel, moist.	Sand; Collected grab sample SB01 from 5.5 to 6.0 ftbg and composite sample SB01 from 0.5 to 6.0 ftbg.

Lauis Dannan	Drilling Lo	g BORING NO.: SB02
Louis Berger	Page 1 of 1	LOCATION: Brooklyn, NY
CLIENT: New York City Department	PROJECT NO.: 2011040.321.00	
PROJECT: Replacement of Water Main	FMS ID#: BED809	
DRILLING CONTRACTOR: PAL	WOL #: 13338-LBA-4-12148	
DRILLING METHOD: Hand Auger		DATE STARTED: 10/11/2017
BOREHOLE DATA	WELL DATA	DATE FINISHED: 10/11/2017
Diameter (in): 3	Well Diameter (in):	I/A DRILLER: E. Watkins
Total Depth (ft.): 4	Total Depth (ft.):	I/A LBA INSPECTOR: M. Donovan
Depth to Refusal (ft): 4.0	Screen Length (ft):	I/A NORTHING (ft): 158949.826
Depth to Water (ft.): N/A	Depth to Water (ft.):	I/A EASTING (ft): 995244.762
Depth to Rock (ft.): N/A	Slot Size (in):	I/A SURFACE ELEVATION (ft): N/A

Well Construction	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
			FILL			·<1	Dusky yellowish brown (10YR 2/2), medium to fine SAND, some Silt, little fine Gravel, moist.	Silty Sand (Fill)
	2 —		FILL			<1	Grayish orange (10YR 7/4), medium to fine SAND, some Silt, little fine Gravel, moist.	Refusal due to boulders; Collected grab sample SB02 from 3.5 to 4.0 ftbg and composite sample SB02 from 0.5 to 4.0 ftbg.
	•	I XXX					Total Depth of Boring 4 feet.	
	_	-					·	
	_	-						
							·	

BORING NO.: SB03 Drilling Log Louis Berger **LOCATION:** Brooklyn, NY Page 1 of 1 CLIENT: New York City Department of Design and Construction PROJECT NO.: 2011040.321.00 PROJECT: Replacement of Water Mains in Avenue S, etc. FMS ID#: BED809 **DRILLING CONTRACTOR:** PAL Environmental Services WOL #: 13338-LBA-4-12148 DRILLING METHOD: Hand Auger DATE STARTED: 10/11/2017 **BOREHOLE DATA WELL DATA** DATE FINISHED: 10/11/2017 Diameter (in): Well Diameter (in): N/A DRILLER: E. Watkins Total Depth (ft.): Total Depth (ft.): N/A LBA INSPECTOR: M. Donovan Depth to Refusal (ft): 4.0 Screen Length (ft): N/A **NORTHING (ft):** 159150.557 Depth to Water (ft.): N/A Depth to Water (ft.): N/A 995997.498 **EASTING (ft):**

N/A

SURFACE ELEVATION (ft): N/A

NOTES: Soil description based on Unified Soil Classification System (USCS), Burmister Classification and Munsell Rock Color Chart.

Slot Size (in):

Depth to Rock (ft.):

Well Construction	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	2 —		FILL			<1 <1	Dusky yellowish brown (10YR 2/2), medium to fine SAND, some Clayey Silt, little fine Gravel, moist. Moderate brown (5YR 4/4), medium to fine SAND, some Clayey Silt, little fine Gravel, moist.	Clayey Silty Sand (Fill) Refusal due to boulders; Collected grab sample SB03 from 3.5 to 4.0 ftbg and composite sample SB03 from 0.5 to 4.0 ftbg.
	-						Total Depth of Boring 4 feet.	

Louis Berger	Drilling	_	BORING NO.: SB04								
	Page 1 of	1	LOCATION: Brooklyn, NY								
CLIENT: New York City Department	of Design and Construction		PROJECT NO.: 2011040.321.00								
PROJECT: Replacement of Water Mains	PROJECT: Replacement of Water Mains in Avenue S, etc.										
DRILLING CONTRACTOR: PAL	Environmental Services		WOL #: 13338-LBA-4-12148								
DRILLING METHOD: Hand Auger			DATE STARTED: 10/11/2017								
BOREHOLE DATA	WELL DA	TA	DATE FINISHED: 10/11/2017								
Diameter (in): 3	Well Diameter (in):	N/A	DRILLER: E. Watkins								
Total Depth (ft.): 6	Total Depth (ft.):	N/A	LBA INSPECTOR: M. Donovan								
Depth to Refusal (ft): N/A	Screen Length (ft):	N/A	NORTHING (ft): 159208.615								
Depth to Water (ft.): N/A	Depth to Water (ft.):	N/A	EASTING (ft): 996745.668								
Depth to Rock (ft.): N/A	Slot Size (in):	N/A	SURFACE ELEVATION (ft): N/A								

Well Construction	Depth (fect)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
			FILL			<1	Dusky yellowish brown (10YR 2/2), medium to fine SAND, some Clayey Silt, moist.	Clayey Silty Sand (Fill)
	2 —		FILL			<1	Light brown (5YR 5/6), coarse to fine SAND, some Silt, moist.	Silty Sand (Fill); Collected grab sample SB04 from 5.5 to 6.0 ftbg and composite sample SB04 from 0.5 to 6.0 ftbg.
	4 —							
	_						•	

Drilling Log BORING NO.: SB05 Louis Berger **LOCATION:** Brooklyn, NY Page 1 of 1 CLIENT: New York City Department of Design and Construction PROJECT NO.: 2011040.321.00 PROJECT: Replacement of Water Mains in Avenue S, etc. FMS ID#: BED809 **DRILLING CONTRACTOR:** PAL Environmental Services WOL #: 13338-LBA-4-12148 DRILLING METHOD: Hand Auger DATE STARTED: 10/11/2017 **BOREHOLE DATA** WELL DATA DATE FINISHED: 10/11/2017 Diameter (in): 3 Well Diameter (in): N/A DRILLER: E. Watkins Total Depth (ft.): 6 Total Depth (ft.): N/A LBA INSPECTOR: M. Donovan Depth to Refusal (ft): N/A N/A Screen Length (ft): **NORTHING (ft):** 158927.513 Depth to Water (ft.): N/A Depth to Water (ft.): N/A 997202.449

N/A

EASTING (ft):

SURFACE ELEVATION (ft): N/A

NOTES: Soil description based on Unified Soil Classification System (USCS), Burmister Classification and Munsell Rock Color Chart.

Slot Size (in):

Depth to Rock (ft.):

Well Construction	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
			FILL			<1	Dusky yellowish brown (10YR 2/2), Clayey SILT, little medium to fine Sand, moist.	Clayey Silt (Fill)
			FILL			<1	Light brown (5YR 5/6), medium to fine SAND, some Clayey Silt, moist.	Sand (Fill)
	4 —		FILL			<1	Light brown (5YR 6/4), coarse to fine SAND, little Silt, little fine Gravel, moist.	Sand (Fill); Collected grab sample SB05 from 5.5 to 6.0 ftbg and composite sample SB05 from 0.5 to 6.0 ftbg.

Louis Berger	Drilling Page 1 of 1	_	BORING NO.: LOCATION:	SB06 Brooklyn, NY
CLIENT: New York City Department of	Design and Construction		PROJECT NO.: 20	11040.321.00
PROJECT: Replacement of Water Mains		FMS ID#: BE	D809	
DRILLING CONTRACTOR: PAL E	nvironmental Services		WOL #: 133	338-LBA-4-12148
DRILLING METHOD: Hand Auger			DATE STARTED:	10/13/2017
BOREHOLE DATA	WELL DA	ГА	DATE FINISHED:	10/13/2017
Diameter (in): 3	Well Diameter (in):	N/A	DRILLER:	E. Watkins
Total Depth (ft.): 6	Total Depth (ft.):	N/A	LBA INSPECTOR:	M. Donovan
Depth to Refusal (ft): N/A	Screen Length (ft):	N/A	NORTHING (ft):	159219.164
Depth to Water (ft.): N/A	Depth to Water (ft.):	N/A	EASTING (ft):	997554.796

SURFACE ELEVATION (ft): N/A

NOTES: Soil description based on Unified Soil Classification System (USCS), Burmister Classification and Munsell Rock Color Chart.

Slot Size (in):

Depth to Rock (ft.):

Well Construction	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
			FILL			. <1	Pale yellowish brown (10YR 6/2), medium to fine SAND, some Silt, moist.	Silty Sand (Fill)
			FILL			<1	Dark yellowish orange (10YR 6/6), coarse to fine SAND, some Silt, little coarse to fine Gravel, moist.	
	2 — 4 —		FILL			<1	Moderate yellowish brown (10YR 5/4), coarse to fine SAND, little Silt, little fine Gravel, moist.	Sand (Fill)
			FILL			<1	Dark yellowish brown (10YR 4/2), coarse to fine SAND, little Silty Clay, moist.	Collected grab sample SB06 from 5.5 to 6.0 ftbg and composite sample SB06 from 0.5 to 6.0 ftbg.

Louis Berger	Drilling L	.og	BORING NO.: SB07								
	Page 1 of 1		LOCATION: Brooklyn, NY								
CLIENT: New York City Department of	LIENT: New York City Department of Design and Construction										
PROJECT: Replacement of Water Mains in	PROJECT: Replacement of Water Mains in Avenue S, etc.										
DRILLING CONTRACTOR: PAL Env	vironmental Services		WOL #: 13338-LBA-4-12148								
DRILLING METHOD: Hand Auger			DATE STARTED: 10/13/2017								
BOREHOLE DATA	WELL DATA		DATE FINISHED: 10/13/2017								
Diameter (in): 3	Well Diameter (in):	N/A	DRILLER: J. Hallieary								
Total Depth (ft.): 6	Total Depth (ft.):	N/A	LBA INSPECTOR: N. Diehl								
Depth to Refusal (ft): N/A	Screen Length (ft):	N/A	NORTHING (ft): 157302.819								
Depth to Water (ft.): N/A	Depth to Water (ft.):	N/A	EASTING (ft): 995485.319								
Depth to Rock (ft.): N/A	Slot Size (in):	N/A	SURFACE ELEVATION (ft): N/A								

NOTES: Soil description based on Unified Soil Classification System (USCS), Burmister Classification and Munsell Rock Color Chart.

Well Construction	Construction Depth (feet) Lithology					Remarks		
	2 —		SP-SM			<1	Grayish brown (5YR 3/2), medium to fine SAND, little Silt, little coarse to fine Gravel, moist. Light olive brown (5Y 5/6), coarse to fine SAND, little Silt, some coarse to fine Gravel, moist.	Gravelly Sand (Fill); Collected grab sample SB07 from 5.5 to 6.0 ftbg and composite sample SB07 from 0.5 to 6.0 ftbg.

	Drilling I	_0g	BORING NO.: SB08
Louis Berger	Page 1 of 1		LOCATION: Brooklyn, NY
CLIENT: New York City Departmen		PROJECT NO.: 2011040.321.00	
PROJECT: Replacement of Water Mai		FMS ID#: BED809	
DRILLING CONTRACTOR: PAI		WOL #: 13338-LBA-4-12148	
DRILLING METHOD: Hand Auge	r		DATE STARTED: 10/13/2017
BOREHOLE DATA	WELL DATA	A	DATE FINISHED: 10/13/2017
Diameter (in): 3	Well Diameter (in):	N/A	DRILLER: E. Watkins
Total Depth (ft.): 6	Total Depth (ft.):	N/A	LBA INSPECTOR: M. Donovan
Depth to Refusal (ft): N/A	Screen Length (ft):	N/A	NORTHING (ft): 158147.436
Depth to Water (ft.): N/A	Depth to Water (ft.):	N/A	EASTING (ft): 995548.274
Depth to Rock (ft.): N/A	Slot Size (in):	N/A	SURFACE ELEVATION (ft): N/A

Well Construction	Depth (feet)	Lithology	ÛSCS	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	-		FILL			<1	Dusky yellowish brown (10YR 2/2), medium to fine SAND, some Clayey Silt, moist.	Silty Sand (Fill)
	2 —		FILL			<1	Dark yellowish orange (10YR 6/6), Silty CLAY, some medium to fine Sand, moist.	Sand (Fill); Collected grab sample SB08 from 5.5 to 6.0 ftbg and composite sample SB08 from 0.5 to 6.0 ftbg.
	-		FILL			<1	Moderate yellowish brown (10YR 5/4), coarse to medium SAND, trace fine Gravel, moist.	-

Louis Berger	Drilling I	og	BORING NO.: SB09 LOCATION: Brooklyn, NY
CLIENT: New York City Department		PROJECT NO.: 2011040.321.00	
PROJECT: Replacement of Water Main		FMS ID#: BED809	
DRILLING CONTRACTOR: PAL		WOL #: 13338-LBA-4-12148	
DRILLING METHOD: Hand Auger			DATE STARTED: 10/13/2017
BOREHOLE DATA	WELL DATA	\	DATE FINISHED: 10/13/2017
Diameter (in): 3	Well Diameter (in):	N/A	DRILLER: J. Hallieary
Total Depth (ft.): 6	Total Depth (ft.):	N/A	LBA INSPECTOR: N. Diehl
Depth to Refusal (ft): N/A	Screen Length (ft):	N/A	NORTHING (ft): 157578.758
Depth to Water (ft.): N/A	Depth to Water (ft.):	N/A	EASTING (ft): 995793.716

SURFACE ELEVATION (ft): N/A

NOTES: Soil description based on Unified Soil Classification System (USCS), Burmister Classification and Munsell Rock Color Chart.

Slot Size (in):

Depth to Rock (ft.):

Well Construction	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
5	2 —		SP-SM	Sa	Sai	<1 <1	Grayish brown (5YR 3/2), medium to fine SAND, little SILT, some coarse to fine Gravel, dry. Light brown (5YR 5/6), medium to fine SAND, little SILT, trace coarse to fine Gravel, dry.	Gravelly Sand (Fill); Collected grab sample SB09 from 5.5 to 6.0 ftbg and composite sample SB09 from 0.5 to 6.0 ftbg.
	4 —							

BORING NO.: SB10 Drilling Log Louis Berger **LOCATION:** Brooklyn, NY Page 1 of 1 New York City Department of Design and Construction CLIENT: PROJECT NO.: 2011040.321.00 PROJECT: Replacement of Water Mains in Avenue S, etc. FMS ID#: BED809 **DRILLING CONTRACTOR:** PAL Environmental Services WOL #: 13338-LBA-4-12148 DRILLING METHOD: Hand Auger DATE STARTED: 10/12/2017 **BOREHOLE DATA WELL DATA** DATE FINISHED: 10/12/2017 Diameter (in): Well Diameter (in): N/A DRILLER: E. Watkins Total Depth (ft.): N/A LBA INSPECTOR: M. Donovan Total Depth (ft.): 6 Depth to Refusal (ft): N/A Screen Length (ft): N/A **NORTHING (ft):** 158219.523 Depth to Water (ft.): Depth to Water (ft.): N/A EASTING (ft): 996040.373 N/A

N/A

SURFACE ELEVATION (ft): N/A

NOTES: Soil description based on Unified Soil Classification System (USCS), Burmister Classification and Munsell Rock Color Chart.

Slot Size (in):

Depth to Rock (ft.):

Well Construction	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	-		FILL			<1	Dusky yellowish brown (10YR 2/2), medium to fine SAND, some Clayey Silt, moist.	Clayey Silty Sand (Fill)
	-		FILL			<1	Dark yellowish orange (10YR 6/6), medium to fine SAND, some Silt, moist.	Silty Sand (Fill)
	- -		FILL			<1	Pale brown (5YR 5/2), coarse to fine SAND, some Silt, little fine Gravel, moist.	Silty Clayey Sand (Fill); Collected grab sample SB10 from 5.5 to 6.0 ftbg and composite sample SB10
	4 —							from 0.5 to 6.0 ftbg.
	_							

Louis Berger

Drilling Log Page 1 of 1

WELL DATA

BORING NO.: SB11

LOCATION: Brooklyn, NY

CLIENT: New York City Department of Design and Construction

PROJECT: Replacement of Water Mains in Avenue S, etc.

FMS ID#: WOL #:

2011040.321.00 BED809

DRILLING CONTRACTOR:

PAL Environmental Services

DATE STARTED:

13338-LBA-4-12148 10/13/2017

DRILLING METHOD: Hand Auger

DATE FINISHED:

PROJECT NO.:

10/13/2017

BOREHOLE DATA Diameter (in):

Well Diameter (in): N/A DRILLER: N/A LBA INSPECTOR:

N/A

N/A

N/A

J. Hallieary N. Diehl

Total Depth (ft.): Depth to Refusal (ft):

6 Total Depth (ft.): N/A Screen Length (ft):

NORTHING (ft):

157798.817

Depth to Water (ft.): Depth to Rock (ft.):

N/A Depth to Water (ft.): N/A Slot Size (in):

EASTING (ft): 996548.672 **SURFACE ELEVATION (ft): N/A**

Well Construction	Depth (feet)	Lithology	USCS	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	2 —		SP-SM			<1	Moderate brown (5YR 4/4), coarse to fine SAND, little Silt, trace coarse to fine Gravel, moist. Moderate brown (5YR 4/4), coarse to fine SAND, little Silt, trace coarse to fine Gravel, moist.	Collected grab sample SB11 from 5.5 to 6.0 ftbg and composite sample SB11 from 0.5 to 6.0 ftbg.

Louis Berger	Drilling Page 1 of	_	BORING NO.: SB12 LOCATION: Brooklyn, NY				
CLIENT: New York City Department of		PROJECT NO.: 2011040.321.00					
PROJECT: Replacement of Water Mains		FMS ID#: BED809					
DRILLING CONTRACTOR: PAL H	Environmental Services	1	WOL #: 133	338-LBA-4-12148			
DRILLING METHOD: Hand Auger			DATE STARTED:	10/12/2017			
BOREHOLE DATA	WELL DA	TA	DATE FINISHED:	10/12/2017			
Diameter (in): 3	Well Diameter (in):	N/A	DRILLER:	E. Watkins			
Total Depth (ft.): 6	Total Depth (ft.):	N/A	LBA INSPECTOR:	M. Donovan			
Depth to Refusal (ft): N/A	Screen Length (ft):	N/A	NORTHING (ft):	158222.94			
Depth to Water (ft.): N/A	Depth to Water (ft.):	N/A	EASTING (ft):	996802.484			

SURFACE ELEVATION (ft): N/A

NOTES: Soil description based on Unified Soil Classification System (USCS), Burmister Classification and Munsell Rock Color Chart.

Slot Size (in):

Depth to Rock (ft.):

Well Construction	Depth (feet)	Lithology	NSCS	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	_		FILL			<1	Dusky yellowish brown (10YR 2/2), medium to fine SAND, some Clayey Silt, moist.	Clayey Silty Sand (Fill)
	_		FILL			<1	Dark yellowish orange (10YR 6/6), medium to fine SAND, some Silt, moist.	Silty Sand (Fill);
	4 —		FILL			<1	Pale brown (5YR 5/2), coarse to fine SAND, some Silt, trace fine Gravel, moist.	Collected grab sample SB12 from 5.5 to 6.0 ftbg and composite sample SB12 from 0.5 to 6.0 ftbg.

Drilling Log BORING NO.: SB13 Louis Berger **LOCATION:** Page 1 of 1 Brooklyn, NY New York City Department of Design and Construction **CLIENT:** PROJECT NO.: 2011040.321.00 PROJECT: Replacement of Water Mains in Avenue S, etc. FMS ID#: BED809 **DRILLING CONTRACTOR:** PAL Environmental Services WOL #: 13338-LBA-4-12148 **DRILLING METHOD:** Hand Auger DATE STARTED: 10/12/2017 **BOREHOLE DATA** WELL DATA DATE FINISHED: 10/12/2017 Diameter (in): Well Diameter (in): 3 N/A DRILLER: E. Watkins Total Depth (ft.): 6 Total Depth (ft.): N/A LBA INSPECTOR: M. Donovan Depth to Refusal (ft): N/A Screen Length (ft): N/A **NORTHING (ft):** 158386.081 Depth to Water (ft.): N/A Depth to Water (ft.): N/A **EASTING (ft):** 997195.024

N/A

SURFACE ELEVATION (ft): N/A

NOTES: Soil description based on Unified Soil Classification System (USCS), Burmister Classification and Munsell Rock Color Chart.

Slot Size (in):

Depth to Rock (ft.):

Well Construction	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	2 — 4 —		FILL			<1	Dusky yellowish brown (10YR 2/2), medium to fine SAND, some Clayey Silt, moist. Pale brown (5YR 5/2), coarse to fine SAND, little Silt, some coarse to fine Gravel, moist.	Clayey Silty Sand (Fill) Gravelly Sand (Fill); Collected grab sample SB13 from 5.5 to 6.0 ftbg and composite sample SB13 from 0.5 to 6.0 ftbg.

Louis Berger	Drilling Page 1 of	_	BORING NO.: SB14 LOCATION: Brooklyn, NY
CLIENT: New York City Department		PROJECT NO.: 2011040.321.00	
PROJECT: Replacement of Water Mai		FMS ID#: BED809	
DRILLING CONTRACTOR: PAL	Environmental Services		WOL #: 13338-LBA-4-12148
DRILLING METHOD: Hand Auger	•		DATE STARTED: 10/13/2017
BOREHOLE DATA	WELL DA	TA	DATE FINISHED: 10/13/2017
Diameter (in): 3	Well Diameter (in):	N/A	DRILLER: E. Watkins
Total Depth (ft.): 6	Total Depth (ft.):	N/A	LBA INSPECTOR: M. Donovan
Depth to Refusal (ft): N/A	Screen Length (ft):	N/A	NORTHING (ft): 158192.048
Depth to Water (ft.): N/A	Depth to Water (ft.):	N/A	EASTING (ft): 997701.146

SURFACE ELEVATION (ft): N/A

NOTES: Soil description based on Unified Soil Classification System (USCS), Burmister Classification and Munsell Rock Color Chart.

Slot Size (in):

Depth to Rock (ft.):

Well Construction	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	-		FILL			<1	Medium gray (N5), coarse GRAVEL, moist.	Gravel (Fill)
	_		FILL			<1	Dark yellowish orange (10YR 6/6), Clayey SILT, moist.	Clayey Silt (Fill)
	2 —		FILL			<1	Moderate yellowish brown (10YR 5/4), coarse to fine SAND, little fine Gravel, moist.	Sand (Fill); Collected grab sample SB14 from 5.5 to 6.0 ftbg and composite sample SB14 from 0.5 to 6.0 ftbg.

Louis Berger

Drilling Log

Page 1 of 1

N/A

N/A

N/A

N/A

N/A

BORING NO.: SB15

LOCATION: Brooklyn, NY

New York City Department of Design and Construction CLIENT: PROJECT: Replacement of Water Mains in Avenue S, etc.

DRILLING CONTRACTOR:

PAL Environmental Services

FMS ID#: BED809

DRILLING METHOD: Hand Auger WOL #: DATE STARTED:

PROJECT NO.:

13338-LBA-4-12148

2011040.321.00

WELL DATA 3 Well Diameter (in):

DATE FINISHED: DRILLER:

10/13/2017 10/13/2017 J. Hallieary

BOREHOLE DATA Diameter (in):

6 Total Depth (ft.):

LBA INSPECTOR: **NORTHING (ft):**

N. Diehl 157016.276

Depth to Refusal (ft): N/A Depth to Water (ft.):

Total Depth (ft.):

Screen Length (ft): N/A Depth to Water (ft.): Depth to Rock (ft.): N/A Slot Size (in):

EASTING (ft): SURFACE ELEVATION (ft): N/A

995079.444

Well Construction	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	-		SP-SM			<1	Moderate brown (5YR 4/4), medium to fine SAND, little Silt, little coarse to fine Gravel, moist.	Sand (Fill)
	2 —		SP-SM			<1	Light brown (5YR 5/6), coarse to fine SAND, little Silt, little coarse to fine Gravel, moist.	Collected grab sample SB15 from 5.5 to 6.0 ftbg and composite sample SB15 from 0.5 to 6.0 ftbg.
	4 —							

Louis Berger	Drilling Page 1 of	_	BORING NO.: SB16 LOCATION: Brooklyn, NY
CLIENT: New York City Department of	of Design and Construction		PROJECT NO.: 2011040.321.00
PROJECT: Replacement of Water Mains	s in Avenue S, etc.		FMS ID#: BED809
DRILLING CONTRACTOR: PAL H	Environmental Services		WOL #: 13338-LBA-4-12148
DRILLING METHOD: Hand Auger			DATE STARTED: 10/12/2017
BOREHOLE DATA	WELL DA	TA	DATE FINISHED: 10/12/2017
Diameter (in): 3	Well Diameter (in):	N/A	DRILLER: J. Hallieary
Total Depth (ft.): 6	Total Depth (ft.):	N/A	LBA INSPECTOR: J. Ganz
Depth to Refusal (ft): N/A	Screen Length (ft):	N/A	NORTHING (ft): 156651.617
Depth to Water (ft.): N/A	Depth to Water (ft.):	N/A	EASTING (ft): 995418.599
Depth to Rock (ft.): N/A	Slot Size (in):	N/A	SURFACE ELEVATION (ft): N/A

Well Construction	Depth (fect)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
			FILL			<1	Dusky yellowish brown (10YR 2/2), coarse to fine SAND, some Silt, trace coarse to fine Gravel, moist. Light brown (5YR 5/6), medium to fine SAND, and Silty Clay, moist. Light brown (5YR 5/6), coarse to fine SAND, trace Silt, trace fine Gravel, moist overlain by 0.2 ft of light brown (5YR5/6) medium to fine SAND, and Silty Clay.	Silty Clayey Sand (Fill) Sand (Fill); Collected grab sample SB16 from 5.5 to 6.0 ftbg and composite sample SB16 from 0.5 to 6.0 ftbg.

Louis Berger

Drilling Log Page 1 of 1

LOCATION:

WOL #:

Brooklyn, NY

New York City Department of Design and Construction **CLIENT:** PROJECT NO.: 2011040.321.00 PROJECT: Replacement of Water Mains in Avenue S, etc. FMS ID#: BED809

DRILLING CONTRACTOR: PAL Environmental Services 13338-LBA-4-12148

DRILLING METHOD: Hand Auger DATE STARTED: 10/12/2017

BORING NO.: SB17

BOREHOLE DATA WELL DATA DATE FINISHED: 10/12/2017 Diameter (in): Well Diameter (in): N/A J. Hallieary 3 DRILLER: Total Depth (ft.): Total Depth (ft.): N/A LBA INSPECTOR: J. Ganz Depth to Refusal (ft): N/A N/A Screen Length (ft): **NORTHING (ft):** 157100.149 Depth to Water (ft.): N/A Depth to Water (ft.): N/A EASTING (ft): 95865.036 N/A Depth to Rock (ft.): N/A Slot Size (in): SURFACE ELEVATION (ft): N/A

Well Construction	Depth (feet)	Lithology	USCS	Sample Interval	Sample Recovery PID Reading (ppm)	Description and Stratigraphy	Remarks
			FILL		<u></u>	Dusky yellowish brown (10YR 2/2), medium to fine SAND, some Silt, trace fine Gravel, dry.	Silty Sand (Fill)
	. -		FILL		<1	Dark yellowish brown (10YR 4/2), coarse to fine SAND, little Silt, little fine Gravel, dry.	Sand (Fill)
	- 2 —		FILL		<1	Moderate yellowish brown (10YR 5/4), coarse to fine SAND, trace Silt, trace	Collected
	_					fine Gravel, dry.	grab sample SB17 from 5.5 to 6.0 ftbg and composite sample SB1 from 0.5 to 6.0 ftbg.
	4 —						

Louis Berger	Drilling L	og	BORING NO.: SB18 LOCATION: Brooklyn, NY
CLIENT: New York City Department of I	Design and Construction		PROJECT NO.: 2011040.321.00
PROJECT: Replacement of Water Mains in	Avenue S, etc.		FMS ID#: BED809
DRILLING CONTRACTOR: PAL Env	ironmental Services		WOL #: 13338-LBA-4-12148
DRILLING METHOD: Hand Auger			DATE STARTED: 10/12/2017
BOREHOLE DATA	WELL DATA		DATE FINISHED: 10/12/2017
Diameter (in): 3	Well Diameter (in):	N/A	DRILLER: E. Watkins
Total Depth (ft.): 6	Total Depth (ft.):	N/A	LBA INSPECTOR: M. Donovan
Depth to Refusal (ft): N/A	Screen Length (ft):	N/A	NORTHING (ft): 158165.983
Depth to Water (ft.): N/A	Depth to Water (ft.):	N/A	EASTING (ft): 996237.87
Depth to Rock (ft.): N/A	Slot Size (in):	N/A	SURFACE ELEVATION (ft): N/A

Well Construction	Depth (feet) Lithology		Sample Interval	Sample Recovery PID Reading (npm)	Description and Stratigraphy	Remarks
	2	FILL			Grayish orange pink (5YR 7/2), coarse to fine SAND, trace Silt, some coarse to fine Gravel, moist.	Collected grab sample SB18 from 5.5 to 6.0 ftbg and composite sample SB18 from 0.5 to 6.0 ftbg.

Drilling Log BORING NO.: SB19 Louis Berger **LOCATION:** Page 1 of 1 Brooklyn, NY CLIENT: New York City Department of Design and Construction PROJECT NO.: 2011040.321.00 PROJECT: Replacement of Water Mains in Avenue S, etc. FMS ID#: BED809 **DRILLING CONTRACTOR:** PAL Environmental Services WOL #: 13338-LBA-4-12148 **DRILLING METHOD:** Hand Auger DATE STARTED: 10/12/2017 **BOREHOLE DATA WELL DATA** DATE FINISHED: 10/12/2017 Diameter (in): Well Diameter (in): N/A DRILLER: E. Watkins Total Depth (ft.): 6 Total Depth (ft.): N/A LBA INSPECTOR: M. Donovan Depth to Refusal (ft): N/A Screen Length (ft): N/A NORTHING (ft): 157231.506 Depth to Water (ft.): N/A Depth to Water (ft.): N/A EASTING (ft): 997447.115

N/A

SURFACE ELEVATION (ft): N/A

NOTES: Soil description based on Unified Soil Classification System (USCS), Burmister Classification and Munsell Rock Color Chart.

Slot Size (in):

Depth to Rock (ft.):

Well Construction Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	- 💥	FILL			<1 <1	Dusky yellowish brown (10YR 2/2), medium to fine SAND, some Clayey Silt, moist. Pale brown (5YR 5/2), coarse to fine SAND, little Silt, some coarse to fine	Clayey Silty Sand (Fill) Gravelly
4 —						Gravel, (5% fill material: ash), moist.	Gravelly Sand (Fill); Collected grab sample SB19 from 5.5 to 6.0 ftbg and composite sample SB19 from 0.5 to 6.0 ftbg.

	Drilling	Log	BORING NO.: SB20
Louis Berger	Page 1 of	_	LOCATION: Brooklyn, NY
CLIENT: New York City Department of	Design and Construction		PROJECT NO.: 2011040.321.00
PROJECT: Replacement of Water Mains i	n Avenue S, etc.		FMS ID#: BED809
DRILLING CONTRACTOR: PAL En	vironmental Services		WOL #: 13338-LBA-4-12148
DRILLING METHOD: Hand Auger			DATE STARTED: 10/13/2017
BOREHOLE DATA	WELL DA	TA	DATE FINISHED: 10/13/2017
Diameter (in): 3	Well Diameter (in):	N/A	DRILLER: J. Hallieary
Total Depth (ft.): 6	Total Depth (ft.):	N/A	LBA INSPECTOR: N. Diehl
Depth to Refusal (ft): N/A	Screen Length (ft):	N/A	NORTHING (ft): 157616.695
Depth to Water (ft.): N/A	Depth to Water (ft.):	N/A	EASTING (ft): 997325.767
Depth to Rock (ft.): N/A	Slot Size (in):	N/A	SURFACE ELEVATION (ft): N/A

Well Construction	Depth (fect)	Lithology	NSCS	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	2 —		SP-SM			<1	Light brown (5YR 5/6), medium to fine SAND, little Silt, trace coarse to fine Gravel, dry. Moderate brown (5YR 4/4), coarse to fine SAND, little Silt, little coarse to fine Gravel, moist.	Collected grab sample SB20 from 5.5 to 6.0 ftbg and composite sample SB20 from 0.5 to 6.0 ftbg.

Louis Rossor	Drilling Log	BORING NO.: SB21
Louis Berger	Page 1 of 1	LOCATION: Brooklyn, NY
CLIENT: New York City Department of I	Design and Construction	PROJECT NO.: 2011040.321.00
PROJECT: Replacement of Water Mains in	Avenue S, etc.	FMS ID#: BED809
DRILLING CONTRACTOR: PAL Env	rironmental Services	WOL #: 13338-LBA-4-12148
DRILLING METHOD: Hand Auger	e e e e e e e e e e e e e e e e e e e	DATE STARTED: 10/13/2017
BOREHOLE DATA	WELL DATA	DATE FINISHED: 10/13/2017
Diameter (in): 3	Well Diameter (in): N/A	DRILLER: E. Watkins
Total Depth (ft.): 6	Total Depth (ft.): N/A	LBA INSPECTOR: M. Donovan
Depth to Refusal (ft): N/A	Screen Length (ft): N/A	NORTHING (ft): 157283.289

N/A

EASTING (ft):

SURFACE ELEVATION (ft): N/A

997757.19

NOTES: Soil description based on Unified Soil Classification System (USCS), Burmister Classification and Munsell Rock Color Chart.

Depth to Water (ft.):

Slot Size (in):

Depth to Water (ft.):

Depth to Rock (ft.):

N/A

Well Construction	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	·		FILL			<1	Dusky yellowish brown (10YR 2/2), medium to fine SAND, some Clayey Silt, moist.	Clayey Silty Sand (Fill)
	_		FILL			<1	Moderate brown (5YR 4/4), Silty CLAY, trace medium to fine Sand, moist.	Silty Clay (Fill)
	<u>-</u>		FILL			<1	Moderate brown (5YR 3/4), Silty CLAY, trace medium to fine Sand, moist.	
	2 — —		FILL			<1	Medium light gray (N6), coarse to medium SAND, little coarse to fine Gravel, moist.	Sand (Fill); Collected grab sample SB21 from 5.5 to 6.0 ftbg and composite sample SB21
	4 —							from 0.5 to 6.0 ftbg.
	_							

Louis Berger	Drilling L	og	BORING NO.: SB22 LOCATION: Brooklyn, NY
CLIENT: New York City Department of I			PROJECT NO.: 2011040.321.00
PROJECT: Replacement of Water Mains in			FMS ID#: BED809
DRILLING CONTRACTOR: PAL Env	rironmental Services		WOL #: 13338-LBA-4-12148
DRILLING METHOD: Hand Auger			DATE STARTED: 10/16/2017
BOREHOLE DATA	WELL DATA		DATE FINISHED: 10/16/2017
Diameter (in): 3	Well Diameter (in):	N/A	DRILLER: E. Watkins
Total Depth (ft.): 6	Total Depth (ft.):	N/A	LBA INSPECTOR: M. Donovan
Depth to Refusal (ft): N/A	Screen Length (ft):	N/A	NORTHING (ft): 156109.049
Depth to Water (ft.): N/A	Depth to Water (ft.):	N/A	EASTING (ft): 995134.959
Depth to Rock (ft.): N/A	Slot Size (in):	N/A	SURFACE ELEVATION (ft): N/A

Well Construction	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	-		FILL			<1	Dusky yellowish brown (10YR 2/2), Clayey SILT, some medium to fine Sand, moist.	Sandy Clayey Silt (Fill)
	-		FILL			<1	Moderate brown (5YR 4/4), Silty CLAY, little medium to fine Sand, moist.	Silty Clay (Fill)
	4		FILL			<1	Dark yellowish orange (10YR 6/6), coarse to medium SAND, moist.	Sand (Fill); Collected grab sample SB22 from 5.5 to 6.0 ftbg and composite sample SB22 from 0.5 to 6.0 ftbg.

Louis Berger	Drilling Log	BORING NO.: SB23
E COOIS Delges	Page 1 of 1	LOCATION: Brooklyn, NY
CLIENT: New York City Department of	Design and Construction	PROJECT NO.: 2011040.321.00
PROJECT: Replacement of Water Mains in	n Avenue S, etc.	FMS ID#: BED809
DRILLING CONTRACTOR: PAL En	vironmental Services	WOL #: 13338-LBA-4-12148
DRILLING METHOD: Hand Auger		DATE STARTED: 10/12/2017
BOREHOLE DATA	WELL DATA	DATE FINISHED: 10/12/2017
Diameter (in): 3	Well Diameter (in): N/A	DRILLER: J. Hallieary
Total Depth (ft.): 6	Total Depth (ft.): N/A	LBA INSPECTOR: J. Ganz
Depth to Refusal (ft): N/A	Screen Length (ft): N/A	NORTHING (ft): 156047.485
		· · · · · · · · · · · · · · · · · · ·

N/A

EASTING (ft):

SURFACE ELEVATION (ft): N/A

995507.591

NOTES: Soil description based on Unified Soil Classification System (USCS), Burmister Classification and Munsell Rock Color Chart.

Depth to Water (ft.):

Slot Size (in):

Depth to Water (ft.): N/A

N/A

Depth to Rock (ft.):

Well Construction	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	_ _ _ 2 —		FILL			<1	Dark yellowish brown (10YR 4/2), medium to fine SAND, little Silt, trace coarse to fine Gravel, moist.	Sand (Fill)
	4 —		FILL			<1	Moderate yellowish brown (10YR 5/4), medium to fine SAND, some Silt, trace fine Gravel, moist. Dark yellowish brown (10YR 4/2), coarse to fine SAND, little Silt, little coarse to fine Gravel, moist.	Silty Sand (Fill); Collected grab sample SB23 from 5.5 to 6.0 ftbg and composite sample SB23 from 0.5 to 6.0 ftbg.

	Drilling Log	BORING NO.: SB24
Louis Berger	Page 1 of 1	LOCATION: Brooklyn, NY
CLIENT: New York City Department of	Design and Construction	PROJECT NO.: 2011040.321.00
PROJECT: Replacement of Water Mains in	Avenue S, etc.	FMS ID#: BED809
DRILLING CONTRACTOR: PAL Env	vironmental Services	WOL #: 13338-LBA-4-12148
DRILLING METHOD: Hand Auger		DATE STARTED: 10/12/2017
BOREHOLE DATA	WELL DATA	DATE FINISHED: 10/12/2017
Diameter (in): 3	Well Diameter (in): N/A	DRILLER: J. Hallieary
Total Depth (ft.): 6	Total Depth (ft.): N/A	LBA INSPECTOR: J. Ganz
Depth to Refusal (ft): N/A	Screen Length (ft): N/A	NORTHING (ft): 156139.814
Depth to Water (ft.): N/A	Depth to Water (ft.): N/A	EASTING (ft): 996005.124
Depth to Rock (ft.): N/A	Slot Size (in): N/A	SURFACE ELEVATION (ft): N/A

Well Construction	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	_		FILL			<1	Dusky yellowish brown (10YR 2/2), medium to fine SAND, some Silt, trace fine Gravel, moist. Moderate yellowish brown (10YR 5/4), coarse to fine SAND, trace Silt, trace coarse to fine Gravel, moist.	Silty Sand (Fill) Sand (Fill); Collected
	2 —							grab sample SB24 from 5.5 to 6.0 ftbg and composite sample SB24 from 0.5 to 6.0 ftbg.
	4 —							

Louis Berger	Drilling 1	_	BORING NO.: SB25 LOCATION: Brooklyn, NY
CLIENT: New York City Department of I	Design and Construction		PROJECT NO.: 2011040.321.00
PROJECT: Replacement of Water Mains in	Avenue S, etc.		FMS ID#: BED809
DRILLING CONTRACTOR: PAL Env	rironmental Services		WOL #: 13338-LBA-4-12148
DRILLING METHOD: Hand Auger			DATE STARTED: 10/12/2017
BOREHOLE DATA	WELL DAT	'A	DATE FINISHED: 10/12/2017
Diameter (in): 3	Well Diameter (in):	N/A	DRILLER: J. Hallieary
Total Depth (ft.): 6	Total Depth (ft.):	N/A	LBA INSPECTOR: J. Ganz
Depth to Refusal (ft): N/A	Screen Length (ft):	N/A	NORTHING (ft): 156402.053
Depth to Water (ft.): N/A	Depth to Water (ft.):	N/A	EASTING (ft): 996264.968

SURFACE ELEVATION (ft): N/A

NOTES: Soil description based on Unified Soil Classification System (USCS), Burmister Classification and Munsell Rock Color Chart.

Slot Size (in):

Depth to Rock (ft.):

Ñ/A

						_				
Well Construction	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks		
	_		FILL			<1	Dark yellowish brown (10YR 4/2), medium to fine SAND, some Silt, trace coarse to fine Gravel, dry. Moderate yellowish brown (10YR 5/4), medium to fine SAND, little Silt.	Silty Sand (Fill)		
	2 —		THE			<1	Moderate yellowish brown (10YR 5/4), medium to fine SAND, little Silt, trace coarse to fine Gravel, dry.	Sand (Fill); Collected grab sample SB25 from 5.5 to 6.0 ftbg and composite sample SB25 from 0.5 to 6.0 ftbg.		
	4 —									

Louis Berger	Drilling L	лоg	BORING NO.: SB26
	Page 1 of 1		LOCATION: Brooklyn, NY
CLIENT: New York City Department of	Design and Construction		PROJECT NO.: 2011040.321.00
PROJECT: Replacement of Water Mains in	Avenue S, etc.		FMS ID#: BED809
DRILLING CONTRACTOR: PAL En	vironmental Services		WOL #: 13338-LBA-4-12148
DRILLING METHOD: Hand Auger			DATE STARTED: 10/16/2017
BOREHOLE DATA	WELL DATA	\	DATE FINISHED: 10/16/2017
Diameter (in): 3	Well Diameter (in):	N/A	DRILLER: E. Watkins
Total Depth (ft.): 6	Total Depth (ft.):	N/A	LBA INSPECTOR: M. Donovan
Depth to Refusal (ft): N/A	Screen Length (ft):	N/A	NORTHING (ft): 156146.145
Depth to Water (ft.): N/A	Depth to Water (ft.):	N/A	EASTING (ft): 996529.697
Depth to Rock (ft.): N/A	Slot Size (in):	N/A	SURFACE ELEVATION (ft): N/A

Well Construction	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
			FILL			<1	Dusky yellowish brown (10YR 2/2), Clayey SILT, some coarse to fine Sand, moist.	Sandy Clayey Silt (Fill)
	2 — — — 4 —		FILL			<1	Light brown (5YR 5/6), coarse to fine SAND, some Clayey Silt, moist.	Clayey Silty Sand (Fill)
	-		FILL			<1	Dark yellowish brown (10YR 4/2), Silty CLAY, little medium to fine Sand, moist.	Silty Clay (Fill); Collected grab sample SB26 from 5.5 to 6.0 ftbg and composite sample SB26 from 0.5 to 6.0 ftbg.

Louis Berger

Drilling Log

Page 1 of 1

BORING NO.: SB27

LOCATION:

PROJECT NO.:

Brooklyn, NY

CLIENT:	New York City Department of Design and Construction
PROJECT:	Replacement of Water Mains in Avenue S, etc.

DRILLING CONTRACTOR:

PAL Environmental Services

FMS ID#: BED809 WOL #: 13338-LBA-4-12148

SURFACE ELEVATION (ft): N/A

DRILLING METHOD: Hand Auger

Depth to Rock (ft.):

N/A

10/12/2017

2011040.321.00

DATE STARTED: **BOREHOLE DATA** WELL DATA DATE FINISHED: 10/12/2017 Diameter (in): 3 Well Diameter (in): N/A DRILLER: J. Hallieary Total Depth (ft.): 6 Total Depth (ft.): N/A LBA INSPECTOR: J. Ganz Depth to Refusal (ft): N/A Screen Length (ft): N/A **NORTHING (ft):** 156362.834 Depth to Water (ft.): N/A Depth to Water (ft.): N/A **EASTING (ft):** 996759.473

NOTES: Soil description based on Unified Soil Classification System (USCS), Burmister Classification and Munsell Rock Color Chart.

Slot Size (in):

Well Construction	Well Construction Depth (feet) Lithology USCS				USCS Sample Interval Sample Recovery Description and Stratigraphy			Remarks	
	_		FILL			<1	Dusky yellowish brown (10YR 2/2), medium to fine SAND, some Silt, trace fine Gravel, moist.	Silty Sand (Fill)	
			FILL			<1	Dark yellowish brown (10YR 4/2), coarse to fine SAND, little Silt, little	Sand (Fill)	
	2	₩	FILL			<1	coarse to fine Gravel, moist. Dark yellowish brown (10YR 4/2), coarse to fine SAND, trace Silt, trace fine	Collected	
	_						Gravel, moist.	grab sample SB27 from 5.5 to 6.0 ftbg and composite sample SB2 from 0.5 to 6.0 ftbg.	
	4 —								
	_								

Louis Berger	Drilling	Log	BORING NO.: SB28
B Loois Bolgon	Page 1 of	1	LOCATION: Brooklyn, NY
CLIENT: New York City Department	of Design and Construction		PROJECT NO.: 2011040.321.00
PROJECT: Replacement of Water Main	s in Avenue S, etc.		FMS ID#: BED809
DRILLING CONTRACTOR: PAL	Environmental Services		WOL #: 13338-LBA-4-12148
DRILLING METHOD: Hand Auger			DATE STARTED: 10/16/2017
BOREHOLE DATA	WELL DA	TA	DATE FINISHED: 10/16/2017
Diameter (in): 3	Well Diameter (in):	N/A	DRILLER: E. Watkins
Total Depth (ft.): 6	Total Depth (ft.):	N/A	LBA INSPECTOR: M. Donovan
Depth to Refusal (ft): N/A	Screen Length (ft):	N/A	NORTHING (ft): 156264.892
Depth to Water (ft.): N/A	Depth to Water (ft.):	N/A	EASTING (ft): 997186.896
Depth to Rock (ft.): N/A	Slot Size (in):	N/A	SURFACE ELEVATION (ft): N/A

NOTES: Soil description based on Unified Soil Classification System (USCS), Burmister Classification and Munsell Rock Color Chart.

Well Construction	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	_		FILL			<1	Dusky yellowish brown (10YR 2/2), Clayey SILT, some coarse to fine Sand, moist.	Sandy Clayey Silt (Fill)
	4 —		FILL			<1	Light brown (5YR 5/6), coarse to fine SAND, some coarse to fine Gravel, moist.	Gravelly Sand (Fill); Collected grab sample SB28 from 5.5 to 6.0 ftbg and composite sample SB2 from 0.5 to 6.0 ftbg.

Drilling Log BORING NO.: SB29 Louis Berger Page 1 of 1 LOCATION: Brooklyn, NY CLIENT: New York City Department of Design and Construction PROJECT NO.: 2011040.321.00 PROJECT: Replacement of Water Mains in Avenue S, etc. FMS ID#: BED809 DRILLING CONTRACTOR: PAL Environmental Services WOL #: 13338-LBA-4-12148 **DRILLING METHOD:** DATE STARTED: 10/16/2017 **BOREHOLE DATA WELL DATA** DATE FINISHED: 10/16/2017 Diameter (in): Well Diameter (in): N/A DRILLER: E. Watkins Total Depth (ft.): 4 Total Depth (ft.): N/A LBA INSPECTOR: M. Donovan Depth to Refusal (ft): 4.0 Screen Length (ft): N/A **NORTHING (ft):** 156795.321 Depth to Water (ft.): N/A Depth to Water (ft.): N/A EASTING (ft): 997435.781 Depth to Rock (ft.): Slot Size (in): N/A SURFACE ELEVATION (ft): N/A

Well	Depth (feet)	Lithology	nscs	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	2 —		FILL			<1	Pale yellowish brown (10YR 6/2), medium to fine SAND, some Silt, little fine Gravel, moist. Pale brown (5YR 5/2), coarse to medium SAND, little Silt, little fine Gravel, moist.	Refusal due to boulders; Sand (Fill); Collected grab sample SB29 from 3.5 to 4.0 ftbg and composite sample SB29 from 0.5 to 4.0 ftbg.
	- - -						Total Depth of Boring 4 feet.	

BORING NO.: SB30 Drilling Log Louis Berger Brooklyn, NY LOCATION: Page 1 of 1 New York City Department of Design and Construction PROJECT NO.: 2011040.321.00 CLIENT: PROJECT: Replacement of Water Mains in Avenue S, etc. FMS ID#: **BED809** WOL #: 13338-LBA-4-12148 PAL Environmental Services **DRILLING CONTRACTOR:** DATE STARTED: 10/16/2017 Hand Auger DRILLING METHOD: DATE FINISHED: 10/16/2017 **BOREHOLE DATA WELL DATA** DRILLER: E. Watkins Well Diameter (in): N/A Diameter (in): LBA INSPECTOR: M. Donovan Total Depth (ft.): N/A Total Depth (ft.): 6 Screen Length (ft): N/A NORTHING (ft): 156604.241 Depth to Refusal (ft): N/A N/A **EASTING (ft):** 997782.252 Depth to Water (ft.): Depth to Water (ft.): N/A

N/A

SURFACE ELEVATION (ft): N/A

NOTES: Soil description based on Unified Soil Classification System (USCS), Burmister Classification and Munsell Rock Color Chart.

Slot Size (in):

Depth to Rock (ft.):

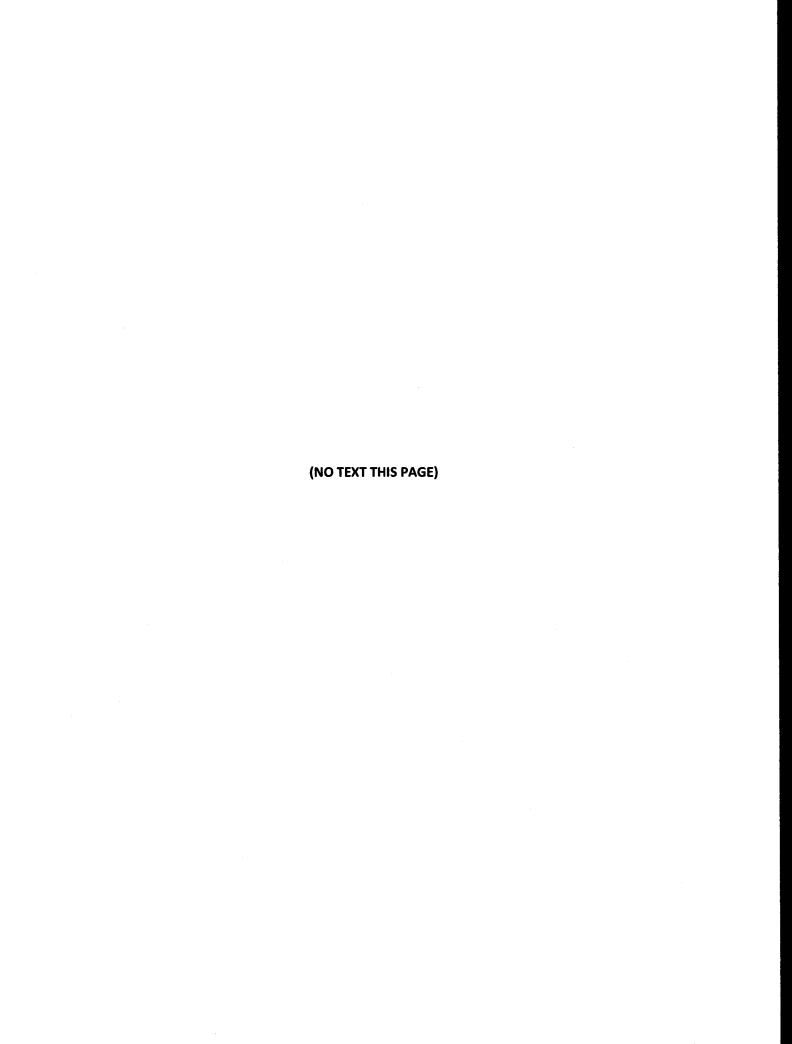
Well Construction	Depth (feet)	Lithology	USCS	Sample Interval	Sample Recovery	PID Reading (ppm)	Description and Stratigraphy	Remarks
	_		FILL			4	Very pale orange (10YR 8/2), medium to fine SAND, little Silt, some coarse to fine Gravel, (5% fill material: concrete), moist.	Gravelly Sand (Fill)
	2		FILL			<1	Pale brown (5YR 5/2), coarse to fine SAND, little Silt, some coarse to fine Gravel, moist. Note: For Laboratory Analytical Results, Please contact	Collected grab sample SB30 from 5.5 to 6.0 ftbg and composite sample SB30 from 0.5 to 6.0 ftbg.
	4 —						Design Engineer In Charge at 718 - 391 - 2182 to get a copy on a CD.	
	_							

JB-PAGES (2.0)

JOINT BID

NOTICE

THE PAGES CONTAINED IN THIS JOINT BID (JB-PAGES) REPRESENT ADDITIONAL CONTRACT REQUIREMENTS APPLYING TO WORK PERFORMED IN THE PRESENCE OF PRIVATELY OWNED UTILITY FACILITIES.



JOINT BID

DATED: February 9, 2018

- 1. The Contractor shall be responsible for compliance with all the provisions of the following Articles, Appendixes, Specifications, Sketches, and Scope of Work, which are hereby made a part of the original contract documents:
 - A. The "JOINT BID WITH PRIVATE UTILITY COMPANIES SPECIAL PROVISIONS" (Pages JB-1 through JB-4); and the following custom JB Items (contained on Pages JB-5 through JB-41):

CONED – JB 306 (pages JB 6-7), JB 350 (pages 8-9), JB 351 (page 10), JB 850 (page 11) VERIZON – JB 350T/TWC (page 12), JB 402T (pages 13-16), JB 403T (page 17), JB 405 (pages 18-20), JB 603T (pages 21-22, sketch page 23), JB 636M (pages 24-25), JB 798 (pages 26-27, sketch 28), JB 799 (pages 29-30, sketch page 31), JB 800 (pages 32-33, sketch page 34), JB 801 (pages 35-36, sketch page 37), JB 803 (pages 38-39) CABLEVISION JB 350(CabV) (pages 40-41);

- B. The Private Utilities reference document called "JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR LOWER MANHATTAN", dated August 1, 2005, and which is available for pick up between 8:30 A.M. and 4:00 P.M. at 30-30 Thomson Avenue, First Floor Bid Procurement Room, L.I.C., N.Y. 11101;
- C. Private Utility Participating List (page JB-42)
- D. Private Utilities Scope of Work (pages JB-43 through JB-78):
 - CONED Scope of Work (pages JB-44 through JB-62)
 - VERIZON Scope of Work (pages JB-63 through JB-75)
 - CABLEVISION Scope of Work (pages JB-76 through JB-78)
 and, Test Pits page JB-79 (Verizon pages JB-80 through JB-103)
- E. Private Utility drawings (31 Sheets) consisting of:
 - GENERAL NOTES & CONDITIONS JB1 (1 Sheet).
 CONED Drawings JB2 to JB3, Proposed ConEd Facilities (2 Sheets).
 CONED Drawings JB4 to JB9, CDO Plates (6 Sheets).
 CONED Drawings JB10 to JB15, Low tension Mains & Service Plates (6 Sheets).
 VERIZON Drawings JB16 to JB20, Existing Facility Plans (5 Sheets).
 VERIZON Drawings JB21 to JB31 Overhead Main Lines Plans (11 Sheets).
- 2. <u>Refer</u> to the Private Utility reference document called "JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR LOWER MANHATTAN", Specification for JB 450, pages 56, 57, and 58;

Note: Items under JB 450 are task driven operation items and are not based on crew size. These items are divided into three unique types, each of which provides a description of applicability and typical use. The "Method of Measurement", on page 57, states that "The actual crew performing the operation will not be considered by the facility operator, in consultation with the Resident Engineer, when determining the applicable Unit Item Type, which shall be only as per the task performed."

- 3. <u>Refer</u> to the Private Utility reference document called "JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR LOWER MANHATTAN", Specification for JB 225, page 10, Article A. <u>Description</u>;
 - <u>Delete</u> the last four lines of text in their entirety, beginning with the words: "accordance with Specification under Addendum #1, . . .";
 - <u>Substitute</u> the following revised text: "accordance with Specification Section 7.18 Controlled Low Strength Material (CLSM), in the Standard Highway Specification. All backfill within the maximum excavation limits shown in Sketch No. JB 225 shall be of controlled low strength material (CLSM) in compliance with requirements of Section 7.18, and its cost shall be deemed included in this item."
- 4. <u>Refer</u> to the Private Utility reference document called "JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR LOWER MANHATTAN", Specification for JB 225, page 10, Article B. <u>Materials</u>;
 - <u>Delete</u> the first sentence in its entirety, beginning with the words: "Furnish Controlled Low Strength Material fill or backfill . . .";
 - <u>Substitute</u> the following revised sentence: "Furnish Controlled Low Strength Material fill or backfill as required and specified in Section 7.18 Controlled Low Strength Material (CLSM), of the Standard Highway Specification."
- 5. Refer to the Private Utility reference document called "JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR LOWER MANHATTAN", Specification for JB 226, page 12, Article A. Description;
 - <u>Delete</u> the last five lines of text in their entirety, beginning with the words: "accordance with Specification under Addendum #1,...";
 - <u>Substitute</u> the following revised text: "accordance with Specification Section 7.18 Controlled Low Strength Material (CLSM), in the Standard Highway Specification. All backfill within the maximum excavation limits shown in Sketch No. JB 225 shall be of controlled low strength material (CLSM) backfill in compliance with Section 7.18 in the Standard Highway Specifications, and its cost shall be deemed included in this item."
- 6. Refer to the Private Utility reference document called "JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR LOWER MANHATTAN", Specification for JB 226, page 12, Article B. Materials;
 - <u>Delete</u> the first sentence in its entirety, beginning with the words: "Furnish controlled low strength material fill or backfill . . .";
 - <u>Substitute</u> the following revised sentence: "Furnish controlled low strength material fill or backfill as required and specified in Section 7.18 Controlled Low Strength Material (CLSM), of the Standard Highway Specification."

7. If the Contractor claims or alleges that delays were caused by a utility for failure to supply and/or provide Specialty Contractors in a timely manner, than the Contractor may bring a claim against the Utility. Neither the Contractor nor the Utility shall bring a delay claim against the City either in a Court of Law or the City's contract dispute resolution board process; and to the extent the Contractor alleges a delay was caused by a Utility, the Contractor will be limited to bringing such legal action in a Court of Law and will not be able to seek arbitration over any delay claims or delay-related claims. If the Contractor and Utility initiate a legal action against each other, this legal action will be outside the jurisdiction of the City's contract dispute resolution board process and the City shall not be a party in the litigation process.

[NO FURTHER TEXT HERE.]

Notices to Bidders

The City is bidding jointly Project ID: BED-809. The City has combined its Public Work, Interference Work, and Utility Work into one bid contract package. All prospective bidders should be alerted to the fact that the City prepared all specifications, drawings, and all other necessary contract documents for the Public Work, Interference Work, and Utility Work.

The City has prepared contract documents which include specifications, drawings and all other necessary contract documents for the Public Work, Interference Work, and Utility Work. The bid items, specifications, and estimated quantities have been designed to fully compensate the Contractor for its costs to perform the Public Work, Interference Work, and Utility Work.

The Contractor agrees that its bid items and prices for the Public Work, Interference Work, and Utility Work shall include all incremental costs and/ or additional compensation for performing Public Work including: coordination of its work with the Utilities, loss of productivity and efficiency, idle time, delays (including any delays occasioned by negotiation of a contract change), change in operations, mobilization, remobilization, demobilization, added cost or expense, loss of profit, other damages or impact costs that may be suffered by the Contractor because of direct or indirect obstructions due to the presence of Utility Facilities, such as conduits, ducts or duct banks containing conductors for live and/or abandoned electric, telephone, cable TV, any type of communication cables, "Non Cost Sharing" gas mains and services, steam mains, and various non-hazardous encasement materials or utility structures located within the Public Work project area.

In the bid solicitation documents, the City has provided estimates of quantities for both Specific Public Work Items and Specific Utility Work Items. Bidders shall be required to bid a unit price on Items. For the purposes of identifying the lowest responsive and responsible bidder, a bidder's unit prices bid shall be calculated on the City's Specific Public Work Items and estimates and the Utilities' Specific Utility Work Items and estimates.

[NO FURTHER TEXT HERE.]

CON EDISON SPECIALTY WORK ITEMS

JB 306 – EXCAVATION REQUIRING SPECIAL CARE ON ACCOUNT OF ENCROACHMENT AND PARALLELING UTILITY FACILITIES

A. Description

Under this section, the Contractor shall provide all incremental labor, materials, equipment, insurance and incidentals required for trench excavation when protecting and maintaining and accommodating the integrity of utility facilities, including but not limited to:

- 1. Conduits
- 2. Cables
- 3. Structures
- 4. Concrete encased conduit duct banks
- 5. Steel pipes
- 6. Steam facilities
- 7. Non-cost sharing gas facilities

of various sizes and configurations, encroaching (partially exposed) or paralleling (not exposed) within 6 inches of the bottom of the approved city trench for all phases of contract excavation as shown on contract drawings and/or as encountered during construction, except excavations to the ultimate depth for curbs, sidewalks and roadway/base/sub-base removal which are covered under other JB items. The items specified under this section shall not be measured for payment in conjunction with any other types of utility items. All work shall be performed in accordance with contract plans, specifications and at the direction of the facility operator(s).

B. Materials - N/A

C. Method of Construction

The Contractor shall maintain and protect and accommodate the integrity of all utility facilities encroaching and/or paralleling below city trench excavations. The facility operator(s) shall identify the locations of all utilities within the contract area as required by New York State Industrial Code Rule 753. As provided by the Rule, the Contractor shall use hand excavation methods (i.e. pick and shovel or hand held power tools) directly below the pavement base to expose the facilities and ascertain the spatial relationships and/or dimensions of these utilities with respect to the proposed excavation. Upon exposing the affected utilities sufficiently as determined solely by the facility operator(s), the Contractor shall be permitted to proceed with a combination of hand and machine excavation, as appropriate, within a zone of protection whose limit shall be defined as a perimeter located one foot from the outside face of each encroaching and/or paralleling facilities.

D. Method of Measurement

The unit price for this work item shall be based on the volume (C.Y.) of special care excavation calculated as follows:

For paralleling facilities (not exposed) within six inches below the city trench: The area for payment shall be measured vertically from the depth of the excavation plus one foot towards the top of the roadway and width of the city trench or the width of the paralleling facility plus 1' on each side, whichever is less, multiplied by the length of the paralleling utility facility as indicated in sketches JB 306A.

For encroaching facilities: The area for payment shall be the vertical measure of the
encroaching facility plus one foot towards the top of the roadway and the width of the trench or
width of the encroaching facility plus 1' on each side, whichever is less, multiplied by the length
of the facility encroachment as indicated in sketches JB 306B.

The volume calculation shall in all cases include, the volume occupied by the utility proper within the payment area described above. Overlapping volume dimensions measured as described above may occur when multiple utilities are encroaching trench excavations. In such cases, all such utilities shall be counted as one utility limited by the maximum encroachment of pipes, conduit(s), and conduit banks faces. The volume shall then be calculated as described above and shown on sketches JB 306A and JB 306B. Utilities identified as abandoned by the facility operator prior to be beginning of excavation, are not included for payment under this item.

E. Price to Cover

The price shall cover the cost of all labor, material, equipment, insurance and incidentals necessary to completely protect and maintain and accommodate the integrity of the facilities without disruption of service to the customers and in accordance with contract documents. The price shall also include the cost of modifications to typical work methods, loss of productivity due to slower rate of excavation and the use of such methods as hand excavation around existing single and multiple facilities; installation and removal of sheeting from around the facilities, and traffic plates that may be required to temporarily close and/or complete the work.

- 1. Sketches JB 306A, JB 306B
- 2. NYS Industrial Code Rule 753

JB 350 – ACCOMODATION OF CON EDISON OVERHEAD UTILITY FACILITIES, POLES AND APPURTANCES

A. Description

The Contractor shall provide all supervision, labor, materials, tools, equipment and incidentals required to perform its work in the presence of Con Edison overhead utility facilities, including but not limited to, electric facilities (e.g. primary, aerial, secondary and service conductors), fiber optic communications facilities, utility poles and equipment on the poles and related appurtenances. These utilities are subsequently referred to in this specification as "overhead facilities". Tree pruning and removal work under JB 352 and JB 353 as well as utility pole supports under JB 351 are not included in this specification.

B. Materials - N/A

C. Method of Construction

The Contractor shall inspect the site prior to bidding and shall utilize sketches JB 350A and JB 350B as well as Con Edison's plans for support and protection to evaluate the potential impact, if any, of overhead facilities upon performance of the work. The Contractor shall employ a method of operation, including the use of appropriate mechanized equipment and tools that will enable him to maintain adequate clearances from energized overhead facilities during all phases of construction as directed by the facility operator.

The Contractor is responsible for performing the work in accordance with all applicable Federal, New York State and Local regulations. The Contractor shall be solely responsible for damages to any overhead lines and appurtenances due to failure to comply with applicable rules, procedures, and practices.

D. Method of Measurement

Installation Crossing Overhead Facilities

The quantity to be measured for payment shall be each (EA) overhead crossing encountered during the installation of one City facility. Any and all contractor operations associated with the complete installation of one City facility including final restoration shall be considered as one utility crossing. Multiple stacked overhead lines/conductors in the same vertical space shall be considered as one utility crossing.

Installation Paralleling Overhead Facilities

The quantity to be measured for payment shall be each (EA) parallel span between two poles within the project limits encountered during the installation of one City facility that parallels the overhead facilities and requires the Contractor to change his operation in order to maintain adequate clearance as directed by the facility operator and as described in sketch JB 350A. Any and all contractor operations associated with the complete installation of one City facility including final restoration shall be considered as one parallel span. All overhead lines/conductors within a span between two poles shall be considered as one parallel span.

Installation of Sidewalks and Curbs around Utility Poles

The quantity to be measured for payment shall be each (EA) pole within the project limits encountered during the installation of new sidewalks and curbs.

If the installation of a single City facility requires the Contractor to cross and parallel the same overhead facility meeting the criteria described above, the Contractor shall be eligible for both a crossing item and a parallel item and a 10% deduction will be taken from the parallel item.

JB 350.1 – Installation of City Facilities Crossing Con Edison Overhead Facilities (EA)

JB 350.2 - Installation of City Facilities Paralleling Con Edison Overhead Facilities (EA)

JB 350.3 – Installation of New Sidewalks and Curbs around Con Edison Poles (EA)

E. Price to Cover

The price shall cover the cost of all supervision, labor, materials, tools, equipment and incidentals required to perform the work in the presence of overhead utilities and to maintain adequate clearance from the overhead facilities during all phases of construction. The price includes, but is not limited, to modification of any methods of operation, use of appropriate equipment, maintenance of traffic, extended performance, loss of productivity, protective measures, delays, change in sequencing and scheduling, breakdown and build up for pile driving equipment (manual and hydraulic) in direct interference with overhead facilities and any other costs that may be incurred by the Contractor.

Payment for all work herein specified shall be made on a one-time basis, no payment for work shall be made for the same operation or for the same utility facility more than once.

F. Reference Drawings

- 1. Sketches JB 350A & JB 350B
- 2. Con Edison's Plan for Support & Protect

JB 351 - UTILITY POLE SUPPORTS

A. <u>Description</u>

This section describes the temporary supports for utility poles at locations directed by the facility operator(s), in order to maintain such poles in their existing upright position without disturbing attached wires and equipment. The Contractor shall provide all labor, material, equipment, insurance, and incidentals required to construct, install and maintain an effective support system that will meet the stated objective.

B. Materials

All materials required to construct and maintain an effective support system shall be supplied by the Contractor and approved by the facility operator.

C. Method of Construction

Where directed by the utility representative, the Contractor shall furnish, install and remove utility pole supports and maintain utility poles as shown on sketch JB 351. Alternate methods proposed by the Contractor will be permitted if approved by the facility operator.

D. Method of Measurement

The quantity of utility pole supports to be measured for payment shall be the number of utility poles supported. The Contractor will be paid only once for each utility pole supported and maintained no matter how many different construction operations have an impact on the pole.

E. Price to Cover

The price shall cover the cost of all labor, material, equipment, insurance, and incidentals necessary to furnish, install, maintain and remove utility pole supports to completely support, maintain, protect, and accommodate the integrity of utility poles without disruption of service to customers. The price bid shall also include all additional impact cost associated with working around utility pole supports, poles and appurtenances.

Separate payment will be made for the protection of overhead facilities under the Item JB 360.

- 1. Sketch JB 351
- 2. JB 350

JB 850 – Placing Rubber Sheets for Utility Facilities

A. Description

Under this Section, the Contractor shall place permanent Rubber Sheets supplied by the facility operator(s) to protect utility facilities where directed by the facility operator(s) in consultation with the Resident Engineer.

B. Materials

Materials shall be supplied and delivered by the facility operator(s) at the job site or Construction Yard as directed by the Contractor.

C. Method of Construction

Rubber Sheets shall be placed in accordance with the attached facility operator(s) Specification for the Installation of High Pressure Pipe For 69, 138 and 345 kV Cable Systems, CE-TS-3352, under section 1.2.4.

D. Method of Measurement

The quantity for payment shall be the area of permanent rubber sheets installed and measured in Square Feet (S.F.). Each rubber sheet is typically 1/2" thick, 48" wide by 18' long.

E. Price to Cover

The unit price bid shall cover the cost of all labor, material, equipment, insurance, and incidentals necessary to complete the work.

F. References

1. Specification for the Installation of High Pressure Pipe For 69, 138 and 345 kV Cable Systems, CE-TS-3352.

JB 350T/TWC - OVERHEAD ACCOMMODATION PROTECTION OF OVERHEAD FACILITIES, POLES AND APPURTENANCES

A. Description

The Contractor shall provide all supervision, labor, materials, tools, equipment and incidentals required to perform its work in the presence of overhead telephone facilities (JB 350T), cable television facilities (JB 350TWC), including fiber optic communications facilities, utility poles and equipment on the poles and related appurtenances. These utilities are subsequently referred to in this specification as "overhead facilities". Utility pole supports under JB 351 are not included in this specification.

B. Materials - N/A

C. Method of Construction

The Contractor shall inspect the site prior to bidding to evaluate the potential impact, if any, of overhead facilities upon performance of the work. The Contractor shall employ a method of operation, including use of appropriate equipment and tools that will enable him to maintain adequate clearances from the overhead facilities during all phases of construction. The Contractor is responsible for performing the work in accordance with all applicable Federal, New York State and Local regulations. The Contractor and/or his agents shall be solely responsible for damages to any overhead lines and appurtenances due to failure to comply with applicable rules, procedures, and practices.

D. Method of Measurement

The quantity to be measured for payment shall be a lump sum measurement to complete the work in the presence of overhead facilities.

E. Price to Cover

The price shall be a lump sum for all supervision, labor, materials, tools, equipment and incidentals required to perform the work in the presence of overhead utilities and to maintain adequate clearance from the overhead facilities during all phases of construction. The price includes, but is not limited, to modification of any methods of operation, use of appropriate equipment, extended performance, loss of productivity, protective measures, delays, change in sequencing and scheduling, and any other costs that may be incurred by the Contractor. Partial payments shall be made in proportion to the percentage (%) of contract completion as determined by the facility operator in consultation with the Resident Engineer. The price shall cover the lowering and raising of pile driver boom under Verizon and Time Warner main line cables only. Verizon service wires will be disconnected and reconnected by Verizon for any pile driving operations only. The contractor shall work under the Verizon & Time Warner Cable service wires under all other work operations. The locations of the mainline cables are shown on the attached sketch.

The price shall include disconnecting and reconnecting, by the contractor, the impacted Time Warner service wires for the pile operation.

F. References

N/A

JB 402T - HORIZONTAL AND VERTICAL ADJUSTMENT OF TELECOMMUNICATIONS FACILITIES

A. Description

Under this section, the Contractor shall provide all labor, materials, equipment, insurance and incidentals required to adjust and support and protect and maintain and accommodate the integrity of telecommunication facilities including but not limited to:

- 1. Conduit(s);
- 2. Cables and Air Pipe
- 3. Concrete Encased/Capped Conduit Banks

The work shall be performed in accordance with specifications and at the direction of the facility operator in consultation with the Resident Engineer.

B. Materials

All materials used to adjust and support and protect and maintain and accommodate the integrity of utility facilities shall be similar to those indicated on the standard Sketches JB 100 A & 100 A-1 and shall be supplied by the Contractor and be approved by the facility operator in consultation with the Resident Engineer .

Materials used for replacing conduit(s) removed under this item shall be supplied by and installed by the Contractor and shall include but not be limited to the following:

- 1. Bends
- 2. Split and Solid Conduit(s) PVC and Steel
- 3. Couplings and Adapters PVC, Tile and Steel
- 4. Straps or plastic ties

PVC conduit and fittings shall be as supplied by American Pipe and Plastics, Type "C" or approved equal.

Steel Pipe and fittings shall conform to ASTM A53 Schedule 40

Tile to PVC adaptors shall be as supplied American U-Tel or approved equal.

C. Methods of Construction

Lengths of "wing-back" shall be determined by the facility operator in consultation with the Resident Engineer. All work performed prior to that approval shall be at the contractors risk,

Methods of construction shall include but not be limited to the following:

1 Removal and Support

a. Break with hand held power tools, remove and dispose of plain or reinforced concrete encasement.

- b. Break with hand held power tools, remove and dispose of conduit(s) enclosures and conduit that contain conductor(s) and/or cable(s) except steel/Iron conduits, inner ducts and 1 1/2" PVC "quad ducts. Breaking "ringing and ripping" of steel/iron conduits belonging to ECS shall be performed by ECS forces only. Contractor shall make safe the work area to accommodate the ECS forces.
- c. Support and protect exposed conduits, cables, innerduct and airpipe as shown in Sketch JB 100A-1 and approved by the facility operator in consultation with the Resident Engineer.
- d. ECS tenants cables may require inspection, testing and encapsulation before they can be shifted. Contractor shall make safe the work area to accommodate these forces. Contractor shall be notified by the facility operator of the ECS tenant requirements before the conduits are broken-out.

2 Adjust or Move Conductor(s) and/or cable(s) and support

- a. Cable shall be relocated horizontally and/or vertically as directed by the facility operator in consultation with the Resident Engineer
- Support and protect conductors and/or cables as shown on Sketch # JB 100 A-1 and/or as directed by the facility operator.

3. Replacement, Encasement, Protection and Support

- a. Replace vacant and loaded conduit(s) with solid and/or split conduit(s) and adapters.
 - 1) Vacant Conduit Repairs to conduits shall not be permitted. All damaged or impaired lengths of conduit(s) shall be removed and replaced with new conduit(s). The number of vacant conduits replaced shall be confirmed by the facility operator.
 - 2) Loaded Conduit Replacement of conduits that are removed from around existing cable(s) or innerduct shall be accomplished with split plastic (PVC) or split steel conduits as directed by the facility operator. Where split and solid plastic or steel conduit is used, the conduit(s) shall be spaced 1½ inches from each other. All split PVC shall be secured with plastic straps spaced at a maximum distance of eighteen (18") inches. Plastic conduit shall be joined with plastic couplings.
 - 3) <u>Adapting</u> Joining plastic conduit to existing conduits of other diameters or material shall be done using single or multiple adapters, (supplied by contractor).
- b. If due to subsurface conditions, the cover is less than 20" from finished grade, the duct shall be protected with steel plates furnished by the contractor and measured for payment under Item JB 403T.
- Support and protect cable(s) and/or conductor(s) and conduit(s).
- d. Verify vacant conduits and provide pull ropes.

e. Encase all exposed conduit with concrete (f'c = 1200 to 1500 psi maximum) with slump commensurate to completely fill voids around conduits. Concrete encasement shall extend to two (2") inches beyond the limits of the duct bank vertically and horizontally.

D. <u>Method of Measurement</u>

The quantity to be measured for breaking out conduits, removing concrete, moving, protecting and supporting conductors and replacing conduits with split and solid conduit, shall be paid for by the linear foot (L.F.) of each conduit replaced. A linear foot of conduit shall be defined as one (1) single conduit measured along its longitudinal axis that has been broken out or moved from its original location either horizontally and/or vertically and measured in its final location. Quad PVC ducts produced as one unit shall be consider one duct for each quad unit. All conduits removed and not restored shall be covered for payment under the appropriate bid items for Removal of Abandoned Masonry for Utility Facilities and/or Removal of Abandoned Utility Conduits.

Multiple tile duct bank with concrete protection cover is not considered concrete encasement.

Each type of utility adjustment shall be paid for separately, the types of utility adjustments are defined as follows:

JB-402T.1 Existing Concrete Encased Non-Steel/Iron Conduits Placed in Final Position without Concrete Encasement. (L.F.)

JB-402T.1A Existing Concrete Encased Non-Steel/Iron Conduits Placed in Final Position with Concrete Encasement. (L.F.)

JB-402T.2 Existing Non-Concrete Encased Non-Steel/Iron Conduits Placed in Final Position without Concrete Encasement. (L.F.)

JB-402T.2A Existing Non-Concrete Encased Non-Steel/Iron Conduits Placed in Final Position with Concrete Encasement. (L.F.)

JB-402T.R1A Existing Concrete Encased Steel/Iron Conduits Placed in Final Position with Concrete Encasement. (L.F.)

JB-402T.R2A Existing Non-Concrete Encased Steel/Iron Conduits Placed in Final Position with Concrete Encasement. (L.F.)

JB-402T.V1 Existing Vacant Concrete Encased Conduits Placed in Final Position without Concrete Encasement. (L.F.)

JB-402T.V1A Existing Vacant Concrete Encased Conduits Placed in Final Position with Concrete Encasement. (L.F.)

JB-402T.V2 Existing Vacant Non-Concrete Encased Conduits Placed in Final Position without Concrete Encasement. (L.F.)

JB-402T.V2A Existing Vacant Non-Concrete Encased Conduits Placed in Final Position with Concrete Encasement. (L.F.)

JB-402T.J1 Existing Concrete Encased Conduits Placed in Final Position without Concrete Encasement. (L.F.) in Which Only Conduit Joints are Broken Out and Conduits Remain Intact.

JB-402T.J1A Existing Concrete Encased Conduits Placed in Final Position with Concrete Encasement. (L.F.) in Which Only Conduit Joints are Broken Out and Conduits Remain Intact.

JB-402T.J2 Existing Non-Concrete Encased Conduits Placed in Final Position without Concrete Encasement. (L.F.) in Which Only Conduit Joints are Broken Out and Conduits Remain Intact.

JB-402T.J2A Existing Non-Concrete Encased Conduits Placed in Final Position with Concrete Encasement. (L.F.) in Which Only Conduit Joints are Broken Out and Conduits Remain Intact.

E. Price to Cover

The unit price bid per linear foot (L.F.) of conduit shall cover the cost of all labor, material, equipment, insurance, and incidentals necessary to shift, adjust, support, protect, maintain and accommodate the integrity of utilities without disruption of service to the facility operator's customers and in accordance with contract documents. The price bid shall also include the cost of: breaking out, removal and disposal of plain or reinforced concrete encasements, replacement with field split, split and solid conduits, adapters, clamps, straps and couplings; verify vacant conduits and provide pull ropes; furnish and install concrete encasement, supports, slings and beams for utility support; changes of sheeting method and/or configuration when required and where necessary to accommodate the utilities during all phases of contract work;; and removal of sheeting around the utilities, and all else necessary and required to complete the work.

The unit price shall include providing access to the facility operator tenants to verify and test cables before, during and after the pipe ripping operation completed by the facility operator or specialized contractor hired by the facility operator and after conduit removal by the Contractor The unit price shall include, but not limited to, opening and closing of fences; removal and replacement of temporary timber curb and opening and closing of traffic plates. Access to adjacent manholes impacted by the run is included in this item. JB 450 shall not be used in conjunction with JB-402T as these items cover access to the work site at all times.

- 1. Sketches JB 100A and 100A-1
- 2. JB 403T
- 3. American Pipe and Plastics, P.O. Box 577, Binghamton, N.Y. 13902
- 4. American U-Tel, 9760 Smith Rd., Willoughby, Ohio 44094

JB 403T - FURNISH AND INSTALL STEEL PROTECTION PLATES FOR TELECOMMUNICATIONS FACILITIES

A. Description

Under this section, the Contractor shall furnish and install as required permanent steel protection plates over telecommunications facilities where directed by the facility operator(s).

B. Materials

Material shall be:

1/4" thick ASTM A-36 plates. Maximum size 24" by 48".

3/8" thick ASTM A-36 plates. Maximum size 12" by 18".

Thickness to be determined by the facility operator(s)

C. Method of Construction

Steel protection plates shall be placed in accordance with the attached facility operator(s) standard sketch JB 403T. All protective plates shall overlap a minimum of 3".

D. Method of Measurement

The quantity for payment shall be the area of permanent steel plating protection furnished and installed (excluding overlap) and measured in place in Square Feet (S.F.).

JB 403T.1 - Furnish and Install 1/4" thick steel plate (S.F.)

JB 403T.2 - Furnish and Install 3/8" thick steel plate (S.F.)

E. Price to Cover

The price shall cover the cost of all labor, material, equipment, insurance, and incidentals necessary to complete the work.

F. References

Sketch JB 403T

JB 405 - EXCAVATION FOR INSTALLATION OF UTILITY FACILITIES

A. Description

Under this section, the Contractor shall provide all labor, materials, equipment, insurance and incidentals necessary to excavate, maintain trenches and backfill for the installation of new utility facilities including but not limited to:

- 1. Conduits
- 2. Non-cost sharing gas facilities
- 3. Steam mains
- 4. Steel pipe(s)

The trench to be excavated shall be determined by the size of the utility facility to be installed. The work shall be performed in accordance with applicable specifications, at the direction of the facility operator.

B. Materials

All materials used to excavate and prepare trenches shall be supplied by the Contractor and be approved by the facility operator.

C. Methods of Construction

Excavation - The Contractor shall saw cut and/or break and remove existing roadway which may include but is not limited to, asphalt, concrete and cobblestone, utilizing approved equipment that leaves a neat straight joint line along the juncture with subsequently replaced pavement. The Contractor shall be permitted to excavate utilizing a combination of machine and hand excavation, as field conditions warrant and as directed by the facility operator. The trench shall be adjusted so as to provide a nominal cover of (30) inches over the new utility facilities or as required based on field conditions, applicable specifications, or as directed by the facility operator. The width of the trench shall be as directed by the facility operator or as shown on Sketch JB 603T. The bottom of the trench shall be graded smooth with a minimum cushion of 3 inches of backfill material or in conformance with applicable specification and be compacted, to minimize initial settlement and to avoid "point" support of new utility facilities. All stones projecting into the trench bottom shall be removed, and the voids backfilled before the new utility facilities are installed. Where streets are not to final grade, the cover shall be measured from the final grade, or the existing grade, whichever provides the deeper trench. Excavation in the vicinity of utilities and other structures shall be performed using hand tools. The contractor shall properly dispose of all materials excavated away from site. Size and location of excavation shall be as directed by the facility operator. Trenches shall be excavated to a depth and size necessary to facilitate the installation of the new utility facility and in conformance with the applicable specification. All existing facilities that are encountered during trench excavating shall be protected in a manner suitable to the facility operator. Tight sheeting shall be used, as required, based on field conditions and/or when the depth of excavation is equal to or greater than five feet. Skeleton type sheeting will not be permitted. The sheeting required shall be furnished and installed in full compliance with the State of New York and Federal Safety Code requirements and in compliance with applicable specifications and/or as directed by the facility operator.

Care shall be taken that no existing utility facilities or other structures are broken or damaged. Contractor shall excavate all material encountered necessary to facilitate the installation of the new utility facilities, and as directed by the facility operator. Care should be taken to avoid damage to existing utility facilities and structures, and to pavements and their foundations, and to avoid caving or sliding banks within the excavation.

- 2. Maintenance of Trench Excavation Excavated trenches shall be maintained free of debris and kept dry by the contractor. In order to accomplish this, contractor shall, upon completion of excavation and placement of sheeting (as required and/or if depth is equal to or greater than five feet), furnish and install adequate steel plates, as directed by the facility operator, and posting over the excavated trenches and shall temporarily remove all equipment debris and workers, and relocate barricades in order to open the full width of street to traffic during non-working hours, as required based on DOT requirements. The Contractor shall then, at no additional cost, relocate such barricades barrels, cones and other warning devices and remove steel plates, as and when directed by the facility operator to facilitate the installation of the new utility facility. When work is being performed and the excavations are not covered with steel plates, the Contractor shall provide complete and safe access to the trench as may be required, and shall provide construction barricades and maintain traffic at all times as shown or as directed by the facility operator. Upon completion of installation of the new utility facility, the trench excavation shall be backfilled by the contractor in accordance with Contract requirements and all backfill material shall conform to contract specifications for such purpose.
- 3. <u>Pavement and Sidewalk Restoration</u> After backfilling is completed, the contractor shall install temporary pavement consisting of six inches (6") thick asphaltic concrete mixture in roadway areas or a two inches (2") thick asphaltic concrete mixture in sidewalk areas in order to maintain existing pedestrian and vehicular traffic. This temporary pavement shall be maintained until permanent replacement as specified in contract.

D. Method of Measurement

The quantity to be measured for payment shall be the number of cubic yards (C.Y.) of trench actually excavated and backfilled as directed by the facility operator or as shown on Sketch JB 603T for JB 603T Items. The volume occupied by existing pipes or other structures less than one square foot in cross-section will not be deducted from the total volume measured.

- JB 405.1 Trench Excavations for installation of Utility Facilities with total depths less than five feet (C.Y.)
- JB 405.2 Trench Excavations for Utility Facilities with total depths equal to or greater than five feet (C.Y.)

E. Price to Cover

The unit price bid for the various trench excavation items shall include the cost of all labor, materials, equipment, insurance, and incidentals necessary to completely expose, protect and maintain the integrity of the facilities without disruption of service to the customers and in accordance with the contract documents. The price shall also include, installation of traffic plates as well as opening and closing of plates as may be required in order to provide access to trench; installation, removal and maintenance of tight sheeting as required; cutting, breaking and removing various thickness of surface and base pavement; excavation by hand to expose

existing structures; furnishing, placing and compacting clean backfill following installation of utility facility in compliance with DOT requirements. Any required removing, trucking, storing, and disposing of material shall be deemed included in the unit price. The price shall also include the cost of providing temporary pavement restoration. Permanent pavement restoration shall be paid under city items. The price shall also include the cost of locating and protecting all utilities encountered as required.

Clean backfill material shall be used around gas facilities and critical facilities shall be paid for under item JB 303.

F. References

- 1. Item JB 303
- 2. Sketch JB603T
- 3. Con Edison Specifications, latest revisions

CEHSP S13.00 - Excavation and Trenching

JB 603T - FURNISH AND INSTALL TELECOMMUNICATIONS CONDUITS

A. Description

Under this Section, the Contractor shall provide all labor, materials, equipment, insurance, and incidentals required to procure and install conduit for the purpose of installing the facility operator's utilities. Conduit runs shall be as shown on the contract drawings or as specified by the facility operator in consultation with the Resident Engineer.

B. Materials

Conduit shall consist of:

PVC – 2" and 4" diameter or 1 1/4" Quad, Type "C" as supplied by American Pipe and Plastics or approved equal

Steel - 4" diameter, ASTM A53, Schedule 40 or approved equal

All conduit including sleeves, couplings, bends, pulling lines, etc. shall be supplied by the Contractor and approved by the facility operator in consultation with the resident Engineer.

The Contractor shall supply all material (Mortar, Brick, etc.) to make repairs to opening(s) as approved by the facility operator in consultation with the Resident Engineer.

C. Method of Construction

The Contractor shall install the specified conduit(s) then rod, mandrel and wire (install pulling line) the new conduits. When conduit pipes are to be connected to existing underground ducts, manholes, or boxes, the Contractor, using hand-held tools only, shall cut existing conduit, to pick-up existing underground conduits with new conduits, make openings into manholes or boxes, install/connect the conduit, and make repairs to seal the openings in the structure.

Steel pipe shall be used for shallow cover and crossing or paralleling steam mains as directed by the facility operator in consultation with the Resident Engineer.

When the facility operator required a combination of conduit types and materials the facility operator will define the configuration of the conduit system and the location of each type within the conduit bank. All conduit shall be spaced 1 ½" both vertically and horizontally from the adjacent conduit(s). All conduits shall be encased in lean concrete (fc = 1200 to 1500 psi maximum) which shall extend 2" beyond each face of the conduit formation, above and each side of the conduit formation.

If due to subsurface conditions, the cover is less than 20" from finished grade, the duct shall be protected with steel plates furnished by the facility operator(s) and measured for payment under Item JB 403.

The work shall be performed in accordance with the contract plans, specifications, and at the directions of the facility operator in consultation with the Resident Engineer.

D. Methods of Measurement

The quantity to be measured for payment shall be the number of linear feet (LF) of conduit trench for which conduit was furnished and installed:

- 1. 603T.1 Install 1 ea. 2", 4" or 1 1/4" Quad" Conduit (PVC or Steel) in any combination
- 2. 603T.2 Install 2 ea. 2", 4" or 1 1/4" Quad Conduits (PVC or Steel) in any combination
- 3. 603T.3 Install 4 ea. 4" or 1 1/2" Quad Conduits (PVC or Steel) in any combination
- 4. 603T.4 Install 6 ea. 4" or 1 1/2" Quad Conduits (PVC or Steel) in any combination
- 5. 603T.5 Install 8 ea. 4" or 1 1/4" Quad Conduits (PVC or Steel) in any combination
- 6. 603T.6 Install 12 ea. 4" or 1 1/4" Quad Conduits (PVC or Steel) in any combination
- 7. 603T.7 Install 15 ea. 4" or 1 1/4" Quad Conduits (PVC or Steel) in any combination
- 8. 603T.8 Install 24 ea. 4" or 1 1/4" Quad Conduits (PVC or Steel) in any combination
- 9. 603T.9 Install 30 ea. 4" or 1 1/4" Quad Conduits (PVC or Steel) in any combination

A Quad, consisting of four 1 1/2" conduits shall be supplied as one unit. For purposes of measurement and payment each quad unit of four 1 1/2" ducts shall be counted as one duct.

For any equivalent combination not fitting the above categories payment shall be based on the next higher category.

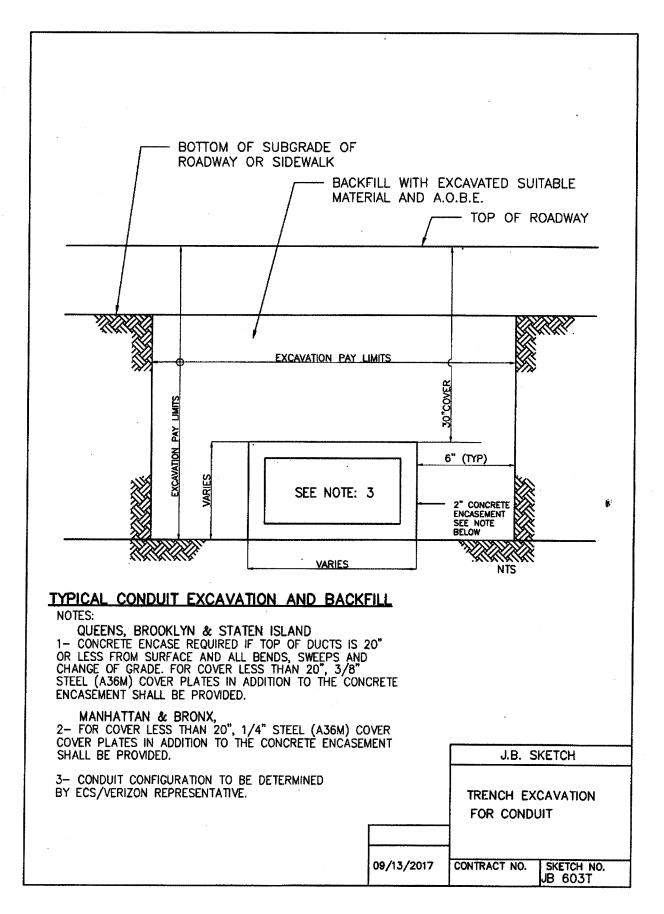
E. Price to Cover

The unit price per linear foot of Conduit trench shall cover the cost of all labor, materials, equipment, insurance and incidentals necessary to furnish, install, rod, rope, and perform any other associated work required to install the conduit completely in place. Where conduits are to be connected to ducts, manholes or boxes, the cost of cutting and/or breaking into the ducts, manholes or boxes, installing and sealing the conduit, including duct plugs; and making repairs to the openings in the structure shall be considered as included in the unit price bid for the installation of the conduit. All acceptance testing, including passing a mandrill with a diameter of 1/8" less than the inside diameter of the duct through the entire length of the duct, as required by the facility operator shall be considered as included in the unit price.

Payment for trench excavation shall be paid under Item JB 405.

Steel protection plates shall be paid for under Item JB 403T

- 1. Sketch JB 603T
- 2. Item JB 405
- 3. American Pipe and Plastics, P.O. Box 577, Binghamton, N.Y. 13902



JB 636 M - MODIFICATION OF WORK METHODS TO ACCOMMODATE UTILITY HARDWARE DURING PAVEMENT MILLING AND RESURFACING OPERATIONS

A. <u>Description</u>

Under this section, the Contractor shall provide all labor, materials, equipment, insurance, and incidentals required to maintain, protect, and accommodate the integrity of utility hardware during pavement milling and resurfacing operations. Hardware includes castings, frames, and covers on utility structures, valve box cover castings, concrete collars around steam castings, and all other hardware protecting utility facilities.

B. Materials - N/A

C. Method of Construction

Removal of existing pavement around utility hardware shall be performed by the Contractor with extreme caution by utilizing appropriate methods of operation, by employing specialized construction equipment, and by special operations and sequencing.

The Contractor shall not mill existing pavement within 12" of the perimeter of utility hardware. Removal of pavement within 12" of the perimeter of utility hardware shall be by cutting with pavement breakers or other methods as proposed by the Contractor. All methods shall be presented to the facility operator and the Resident Engineer by the Contractor prior to the start of construction and shall be approved by the facility operator in consultation with the Resident Engineer.

During removal of existing pavement and for the duration of project, the Contractor shall protect utility hardware from damage by the Contractor's operations and traffic. Contractor shall also provide all necessary protection to pedestrians to prevent injury to pedestrians when crossing utility hardware during the project. Utility street hardware damaged by the Contractor or others during the project shall be replaced by the Contractor at Contractor's expense.

The Contractor shall not place any paving materials over utility hardware during the project and shall maintain free and unobstructed access to all structures at all times. The contractor shall maintain all covers free of debris and protect the covers, if necessary, from residue that results from the paving operation.

D. Method of Measurement

The quantity to be measured for payment shall be the number of utility hardware units (ea) in each size group actually adjusted as specified under each item. The size of each hardware unit, measured in width, shall be defined as either, the diameter of the exposed edge of the casting, the exposed edge of elliptical castings measured along the major axis, or the exposed edge of the longest side of rectangular frames.

Item 636 MA – Modification of Work Methods to Accommodate Utility Hardware (Under 7" Width)

- Item 636 MB Modification of Work Methods to Accommodate Utility Hardware (7" to under 14" Width)
- Item 636 MC Modification of Work Methods to Accommodate Utility Hardware (14" to under 30" Width)
- Item 636 MD Modification of Work Methods to Accommodate Utility Hardware (30" to under 34" Width)
- Item 636 ME Modification of Work Methods to Accommodate Utility Hardware (34" to under 41" Width)
- Item 636 MG Modification of Work Methods to Accommodate Utility Hardware (41" to under 75" Width)
- Item 636 MH Modification of Work Methods to Accommodate Utility Hardware (75" to under 125" Width)
- Item 636 MI Modification of Work Methods to Accommodate Utility Hardware (125" to under 170" Width)
- Item 636 SMB Modification of Work Methods to Accommodate Utility Steam Hardware (Under and including 8" Width)
- Item 636 SMC Modification of Work Methods to Accommodate Utility Steam Hardware (Above 8" to 34" Width)

A. Price to Cover

The price to modify work methods to accommodate Utility Hardware during pavement milling and resurfacing operations shall include the cost of all incremental labor, materials, time, equipment, insurance and incidentals required for removal and disposal of existing pavement, installation and compaction of base and wearing course materials, installation and compaction and removal of temporary asphalt concrete mixture, tack coating; in accordance with the plans, the specifications and the directions of the facility operator in consultation with the Resident Engineer. The price to cover shall further include the cost of maintaining, protecting, and accommodating the integrity of utility street hardware during the project and during the performance of milling and resurfacing and the incremental additional work and effort made necessary to protect pedestrians from injury when crossing utility hardware during the project. The price to cover shall further include additional areas of modification of work methods beyond 12" of the perimeter of the utility street hardware due to the milling equipment and the location of other utility hardware, city street hardware, utility poles, street lights, traffic signals, curbs, sidewalks, medians, guide rails, pavement stops, cobblestones, and pavers. The price to cover for Items 636 SMB and 636 SMC shall also include modification of work methods due to existing concrete collars surrounding these castings.

Payment for all work herein specified shall be made on a one-time basis only; no payment for work herein specified shall be made for the same area more than one time. Adjustment to utility hardware shall be paid for under the appropriate JB 636E item.

JB 798 - MODIFICATION OF NON CONCRETE YOKE TROLLEY STRUCTURES REMOVAL WHEN CROSSING UTILITY FACILITES

A. Description

This JB item shall only be applied to trolley structure systems that do not contain concrete yoke foundations. This JB item shall only be used for trolley systems that have rails and wood ties only.

Under this section, the Contractor shall provide all incremental labor, equipment, insurance and incidentals required to maintain and protect and accommodate the integrity of utility facilities that include but are not limited to:

- 1. Conduits:
- 2. Conductors:
- 3. Concrete encased Conduit banks;
- 4. Steel Pipes: Steam Facilities:
- 5. Oil-o-static Facilities:
- 6. Non-cost Sharing Gas Facilities;
- 7. Steam Facilities:

of various sizes and configurations crossing trolley structures at various angles located within a zone of protection, as indicated on Sketch JB 798, during the removal of trolley structures and subsequent backfilling operations. Utility facilities that run parallel to trolley structures are not included within this item and will be paid for under the appropriate JB item. The work shall be performed in accordance with the contract plans, the specifications, and as encountered during construction and directed by the facility operator.

B. Materials - N/A

C. Method of Construction

The Contractor shall maintain, protect, and accommodate the integrity of all utility facilities of various sizes and configurations crossing trolley structures within a zone of protection as indicated in Sketch JB 798, during removal of trolley structures and subsequent backfilling and compaction operations under other contract item(s). The facility operator shall identify the locations of all utilities within the contract area as required by New York State Industrial Code Rule 753. As provided by the Rule, the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) directly below the pavement base to expose the utility and ascertain the numerical relationships and/or dimensions of these utilities with respect to the proposed excavation. Contractor shall perform test pits at locations determined by the facility operator to expose utility as specified in JB 400. Upon exposing the affected utilities sufficiently, and at the sole discretion of the facility operator to determine relationships and/or dimensions, the Contractor shall be permitted to proceed with care to remove existing trolley structure within the zone of protection whose limit shall be defined as a distance of 24 inches from the outside face of each utility crossing.

D. Method of Measurement

The quantity to be measured for payment shall be the number of linear feet of modified trolley structure removal within the zone of protection as indicated on JB Sketch 798, measured along the centerline of trench. The trench is defined as one track set containing two rails. The zone of protection shall be defined, for the purpose of this agreement, as the boundary/area designated on the plans or a boundary/area 24 inches to either side of each of the designated facilities, based upon available records and/or information obtained from prior or new test pits, or any combination thereof. Where overlapping of the zones occurs due to multiple facilities, the boundary/area shall be modified to one zone measured from the outside limits. The contract item specified under this section shall not be measured for payment in conjunction with other types of utility items. Modifications to work methods required in areas between zones of protection for multiple utilities or JB facilities shall not be measured for payment and are included in the price bid for this item.

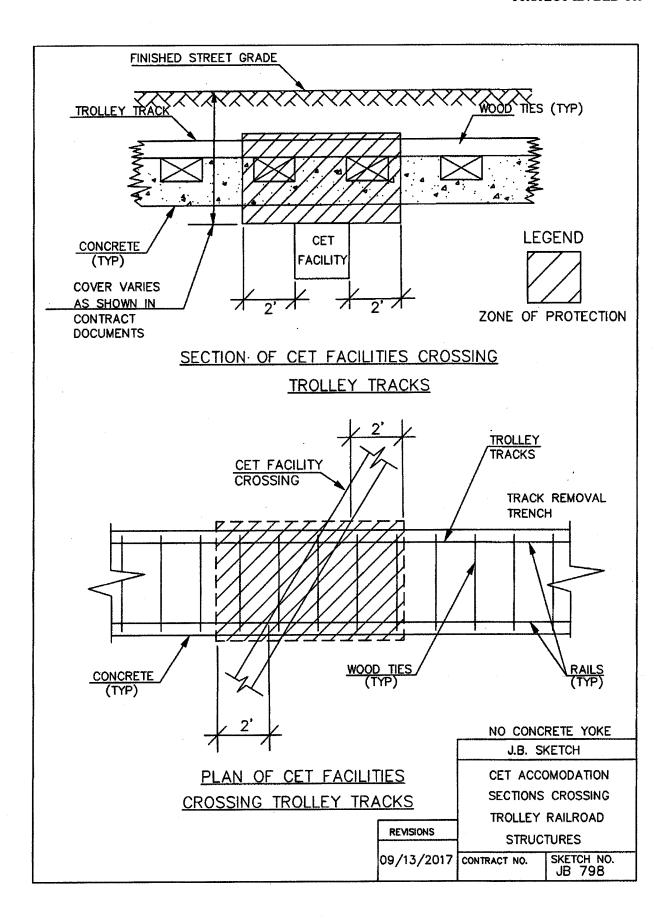
E. Price to Cover

The unit price per linear foot shall include the incremental cost for all labor, equipment, insurance and incidentals required to maintain and protect and accommodate the integrity of utility facilities during the removal of trolley structures (including rails, timber ties, trolley conduits and main conduit), and backfilling and compacting within a zoned area designated for protection of utilities by the facility operator.

The price shall include any additional cutting, removing and disposing of roadway materials; hand or machine excavation; trucking and disposing of excavated materials, installation and removal of sheeting; and furnishing, installing and compacting backfill that may be required to support, protect, maintain and accommodate the integrity of utility facilities. The price shall also include means to ascertain the numerical relationship between utility and the trolley structure and the incremental cost for providing all vehicular and pedestrian traffic maintenance necessary to perform the work.

The Contractor shall be responsible for any and all damages resulting from and/or due to trolley demolition operations that are not performed in accordance with the specifications.

- 1. NYS Industrial Code Rule 753
- 2. Sketch JB 798



JB 799 - MODIFICATION OF NON CONCRETE TROLLEY STRUCTURES REMOVAL PARALLEL TO UTILITY FACILITIES

A. <u>Description</u>

This JB item shall only be applied to trolley structure systems that do not contain concrete yoke foundations. This JB item shall only be used for trolley systems that have rails and wood ties only.

Under this section, the Contractor shall provide all incremental labor, equipment, insurance and incidentals required to maintain, protect, support and accommodate the integrity of utility facilities that include but are not limited to:

- 1. Conduits:
- 2. Conductors;
- 3. Concrete encased Conduit banks;
- 4. Steel Pipes; Steam Facilities;
- 5. Oil-o-static Facilities; and
- 6. Non-cost Sharing Gas Facilities;
- 7. Steam Facilities.

of various sizes and configurations paralleling or encroaching trolley structures located within a zone of protection, as indicated on the Plans or as directed by the field representative, during all trolley structure removal operations and subsequent backfilling operations. Utility facilities which cross over, under and between the trolley structures are not included within this item and will be paid for under the appropriate JB item. The work shall be performed in accordance with the contract plans, the specifications, and as encountered during construction and directed by the facility operator(s).

B. Materials - N/A

C. Method of Construction

The Contractor shall maintain, protect, support and accommodate the integrity of all utility facilities of various sizes and configurations paralleling or encroaching trolley structures within a zone of protection as indicated on the Plans or as directed by the field representative, during removal of trolley structures and subsequent backfilling and compaction operations under other contract item(s). The facility operator(s) shall identify the locations of all utilities within the contract area as required by New York State Industrial Code Rule 753. As provided by the Rule, the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) directly below the pavement base to expose the utility and ascertain the numerical relationships and/or dimensions of these utilities with respect to the proposed excavation. Contractor shall perform test pits at locations determined by the facility operator to expose utility as specified in JB 400. Upon exposing the affected utilities sufficiently, and at the sole discretion of the facility operator(s) to determine relationships and/or dimensions, the Contractor shall be permitted to proceed with care to remove existing trolley structure within the zone of protection whose limit shall be defined as a distance of 24 inches from the outside face of each utility to the edge of the trolley structure.

D. Method of Measurement

The quantity to be measured for payment shall be the number of linear feet of modified trolley structure removal within the zone of protection as indicated on the plans, measured along the centerline of trench. The trench is defined as one track set containing two rails. The contract item specified under this section shall not be measured for payment in conjunction with other types of utility items. Modifications to work methods required in areas between zones of protection for multiple utilities or JB facilities shall not be measured for payment and are included in the price bid for this item.

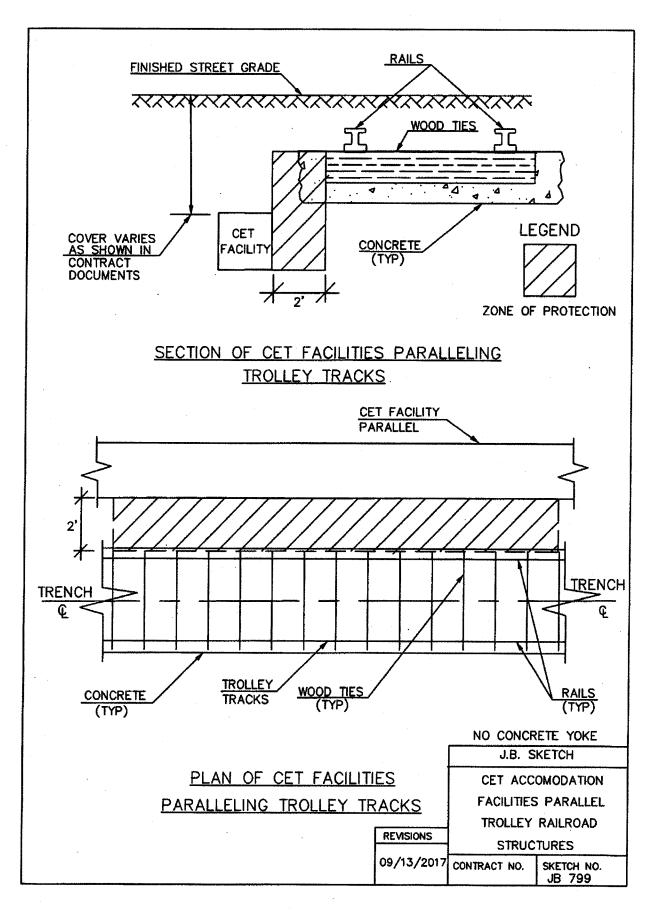
E. Price to Cover

The unit price per linear foot shall include the incremental cost for all labor, equipment, insurance and incidentals required to maintain, protect, support and accommodate the integrity of utility facilities paralleling or encroaching trolley structures during the removal of trolley structures (including rails, timber ties, trolley conduits, and main conduits), and backfilling and compacting within a zoned area designated for protection of utilities by the facility operator(s).

The unit price shall also include any additional cutting, removing and disposing of roadway materials; hand or machine excavation; trucking and disposing of excavated materials, installation and removal of sheeting; and furnishing, installing and compacting backfill that may be required to support, protect, maintain and accommodate the integrity of utility facilities. The price shall also include means to ascertain the numerical relationship between utility and the trolley structure, and the incremental cost for providing all vehicular and pedestrian traffic maintenance necessary to perform the work.

The Contractor shall be responsible for any and all damages resulting from and/or due to trolley demolition operations that are not performed in accordance with the specifications.

- 1. NYS Industrial Code Rule 753
- 2. Sketch JB 799



JB 800 - MODIFICATION OF CONCRETE YOKE TROLLEY STRUCTURES REMOVAL WHEN CROSSING UTILITY FACILITES

A. Description

This JB item shall only be applied to trolley structure systems that contain concrete yoke foundations. This JB item shall not be used for trolley systems that have rails and wood ties only.

Under this section, the Contractor shall provide all incremental labor, equipment, insurance and incidentals required to maintain and protect and accommodate the integrity of utility facilities that include but are not limited to:

- 1. Conduits
- 2. Conductors
- 3. Concrete encased conduit banks
- 4. Steel pipes
- 5. Oil-o-static facilities
- 6. Non-cost Sharing Gas Facilities and
- 7. Steam Facilities

of various sizes and configurations crossing trolley structures at various angles located within a zone of protection, as indicated on Sketch JB 800, during the removal of trolley structures and subsequent backfilling operations. Utility facilities that run parallel to trolley structures are not included within this item and will be paid for under the appropriate JB item. The work shall be performed in accordance with the contract plans, the specifications, and as encountered during construction and directed by the facility operator.

B. Materials - N/A

C. Method of Construction

The Contractor shall maintain, protect, and accommodate the integrity of all utility facilities of various sizes and configurations crossing trolley structures within a zone of protection as indicated in Sketch JB 800, during removal of trolley structures and subsequent backfilling and compaction operations under other contract item(s). The facility operator shall identify the locations of all utilities within the contract area as required by New York State Industrial Code Rule 753. As provided by the Rule, the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) directly below the pavement base to expose the utility and ascertain the numerical relationships and/or dimensions of these utilities with respect to the proposed excavation. Contractor shall perform test pits to expose the utilities as specified under JB 400. Upon exposing the affected utilities sufficiently, and at the sole discretion of the facility operator to determine relationships and/or dimensions, the Contractor shall be permitted to proceed with hand held power tools to remove existing trolley structure within the zone of protection whose limit shall be defined as a perimeter located 24 inches from the outside face of each utility crossing.

D. <u>Method of Measurement</u>

The quantity to be measured for payment shall be the number of linear feet of modified trolley structure removal within the zone of protection as indicated on JB Sketch 800, measured along the centerline of trench. The trench is defined as one track set containing two rails. The zone of protection shall be defined, for the purpose of this agreement, as the boundary/area designated on the plans or a boundary/area 3 feet to either side of each of the designated facilities, based upon available records and/or information obtained from prior or new test pits, or any combination thereof. Where overlapping of the zones occurs due to multiple facilities, the boundary/area shall be modified to one zone measured from the outside limits. The contract item specified under this section shall not be measured for payment in conjunction with other types of utility items. Modifications to work methods required in areas between zones of protection for multiple utilities or JB facilities shall not be measured for payment and are included in the price bid for this item.

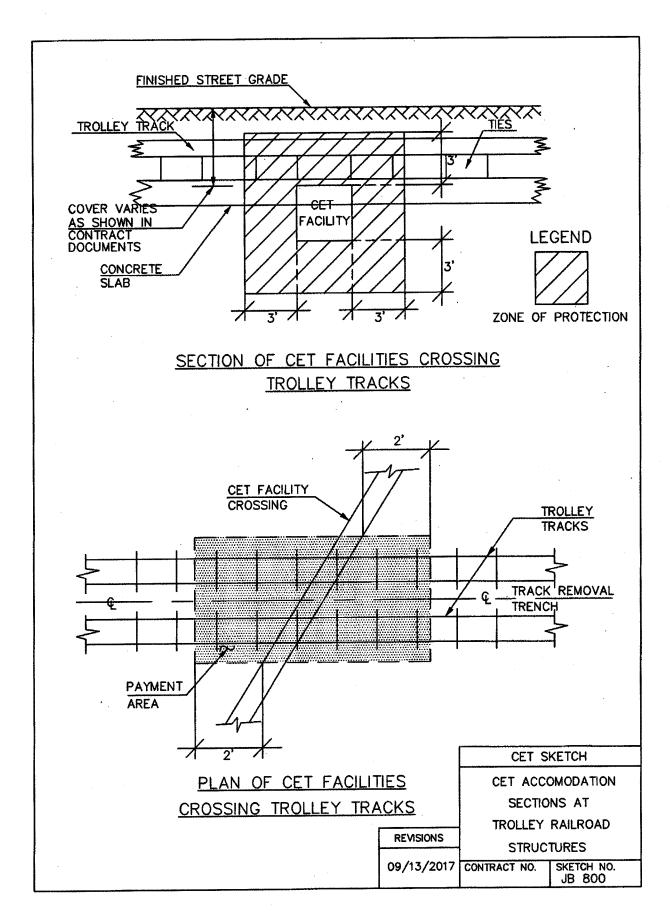
E. Price to Cover

The unit price per linear foot shall include the incremental cost for all labor, equipment, insurance and incidentals required to maintain and protect and accommodate the integrity of utility facilities during the removal of trolley structures (including rails, timber ties, yokes, trolley conduits, main conduit, rail and yoke foundations), and backfilling and compacting within a zoned area designated for protection of utilities by the facility operator.

The unit price shall also include any additional cutting, removing and disposing of roadway materials; hand or machine excavation; trucking and disposing of excavated materials, installation and removal of sheeting; and furnishing, installing and compacting backfill that may be required to support, protect, maintain and accommodate the integrity of utility facilities. The unit price shall also include the incremental cost for providing all vehicular and pedestrian traffic maintenance necessary to perform the work.

The Contractor shall be responsible for any and all damages resulting from and/or due to trolley demolition operations that are not performed in accordance with the specifications.

- 1. NYS Industrial Code Rule 753
- 2. Sketch JB 800



JB 801 - MODIFICATION OF CONCRETE YOKE TROLLEY STRUCTURES REMOVAL PARALLEL TO UTILITY FACILITIES

A. Description

This JB item shall only be applied to trolley structure systems that contain concrete yoke foundations. This JB item shall not be used for trolley systems that have rails and wood ties only.

Under this section, the Contractor shall provide all incremental labor, equipment, insurance and incidentals required to maintain, protect, support and accommodate the integrity of utility facilities that include but are not limited to:

- 1. Conduits
- 2. Conductors
- 3. Concrete encased conduit banks
- 4. Steel pipes
- 5. Oil-o-static facilities
- 6. Non-cost sharing gas facilities and
- 7. Steam facilities

of various sizes and configurations paralleling or encroaching trolley structures located within a zone of protection, as indicated in sketch JB 801 or as directed by the field representative, during all trolley structure removal operations and subsequent backfilling operations. Utility facilities which cross over, under and between the trolley structures are not included within this item and will be paid for under the appropriate JB item. The work shall be performed in accordance with the contract plans, the specifications, and as encountered during construction and directed by the facility operator(s).

B. Materials - N/A

C. <u>Method of Construction</u>

The Contractor shall maintain, protect, support and accommodate the integrity of all utility facilities of various sizes and configurations paralleling or encroaching trolley structures within a zone of protection as indicated in sketch 801 or as directed by the field representative, during removal of trolley structures and subsequent backfilling and compaction operations under other contract item(s). The facility operator(s) shall identify the locations of all utilities within the contract area as required by New York State Industrial Code Rule 753. As provided by the Rule, the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) directly below the pavement base to expose the utility and ascertain the numerical relationships and/or dimensions of these utilities with respect to the proposed excavation. Contractor shall perform test pits to expose the utilities as specified under JB 400. Upon exposing the affected utilities sufficiently, and at the sole discretion of the facility operator(s) to determine relationships and/or dimensions, the Contractor shall be permitted to proceed with hand held power tools to remove existing trolley structure within the zone of protection whose limit shall be defined as a perimeter located 24 inches from the outside face of each utility.

D. Method of Measurement

The quantity to be measured for payment shall be the number of linear feet of modified trolley structure removal within the zone of protection as indicated on the plans, measured along the centerline of trench. The trench is defined as one track set containing two rails. The contract item specified under this section shall not be measured for payment in conjunction with other types of utility items. Modifications to work methods required in areas between zones of protection for multiple utilities or JB facilities shall not be measured for payment and are included in the price bid for this item.

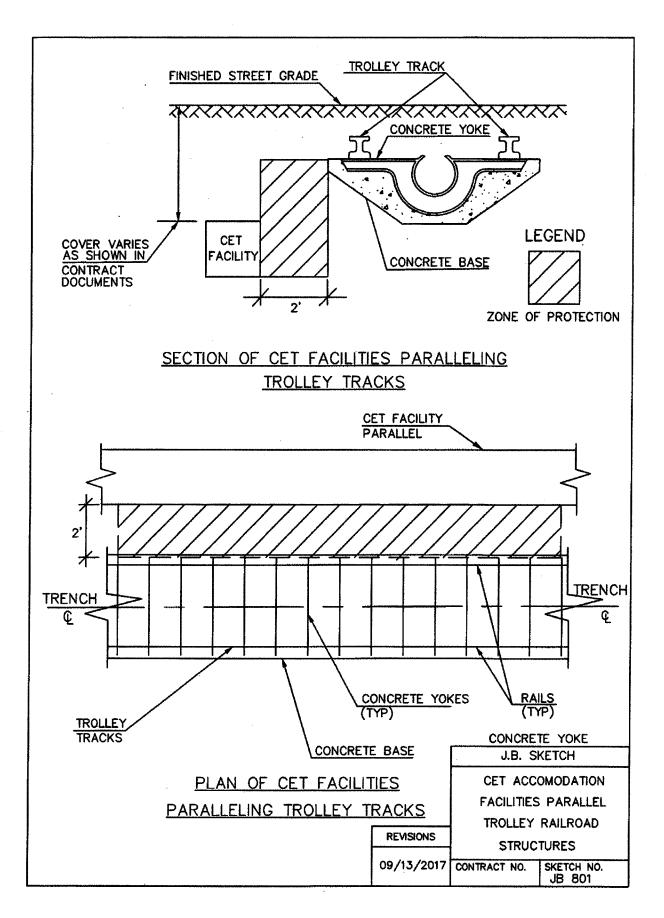
E. Price to Cover

The unit price per linear foot shall include the incremental cost for all labor, equipment, insurance and incidentals required to maintain, protect, support and accommodate the integrity of utility facilities paralleling or encroaching trolley structures during the removal of trolley structures (including rails, timber ties, yokes, trolley conduits, main conduit, rail and yoke foundations), and backfilling and compacting within a zoned area designated for protection of utilities by the facility operator(s).

The unit price shall also include any additional cutting, removing and disposing of roadway materials; hand or machine excavation; trucking and disposing of excavated materials, installation and removal of sheeting; and furnishing, installing and compacting backfill that may be required to support, protect, maintain and accommodate the integrity of utility facilities. The unit price shall also include the incremental cost for providing all vehicular and pedestrian traffic maintenance necessary to perform the work.

The Contractor shall be responsible for any and all damages resulting from and/or due to trolley demolition operations that are not performed in accordance with the specifications.

- 1. NYS Industrial Code Rule 753
- 2. Sketch JB 801



JB 803 - LINE CUT BY PNEUMATIC TOOLS IN LIEU OF SAW CUT ASSOCIATED WITH ROADWAY REMOVAL OPERATIONS

A. Description

Under this section, the Contractor shall provide all incremental labor, equipment, insurance and incidentals required to maintain, protect, support and accommodate the integrity of utility facilities that include but are not limited to oil o static facilities, and any other facilities of various sizes and configurations paralleling or crossing proposed saw cut areas located within a zone of protection associated with roadway removal operations, as determined by the utility operator. Utility facilities which cross under and between the saw cut area are included within this item. The work shall be performed in accordance with the contract plans, the specifications, and as encountered during construction and determined by the facility operator(s).

B. Materials - N/A

C. Method of Construction

The Contractor shall maintain, protect, support and accommodate the integrity of all utility facilities of various sizes and configurations paralleling or crossing the saw cut area within a zone of protection as determined by the Facility Operator, during the roadway saw cut. The facility operator(s) shall identify the locations of all utilities within the contract area as required by New York State Industrial Code Rule 753. As provided by the Rule, the Contractor shall use pneumatic tools to line cut the pavement in lieu of saw cut by machine. It is the sole discretion of the facility operator(s) to determine relationships and/or dimensions, and advise the Contractor to proceed with pneumatic tools to line cut existing roadway structure.

D. Method of Measurement

The quantity to be measured for payment shall be the number of linear feet of line cut performed by pneumatic tools measured along the length of cut. The contract item specified under this section shall not be measured for payment in conjunction with other types of utility items. Modifications to work methods required in areas between zones of protection for multiple utilities or JB facilities shall not be measured for payment and are included in the price bid for this item.

JB 803.1 Line cut Asphalt Roadway (LF)

JB 803.2 Line cut any combination of Asphalt and Concrete Roadway (LF)

JB 803.3 Line cut any combination of Asphalt, Concrete, and Belgium Block (LF)

E. Price to Cover

The unit price per linear foot shall include the incremental cost for all labor, equipment, insurance and incidentals required to maintain, protect, support and accommodate the integrity of utility facilities paralleling or crossing the saw cut area associated with the removal of roadway designated for protection of utilities by the facility operator(s).

The unit price shall also include any additional cutting, removing and disposing of roadway materials; and any backfill that may be required to support, protect, maintain and accommodate the integrity of utility facilities. The price shall also include the incremental cost for providing all vehicular and pedestrian traffic maintenance necessary to perform the work.

The Contractor shall be responsible for any and all damages resulting from and/or due to saw cutting operations that are not performed in accordance with the specifications.

F. References

1. NYS Industrial Code Rule 753

JB 350 (CABV) – OVERHEAD ACCOMMODATION PROTECTION OF OVERHEAD FACILITIES, POLES AND APPURTENANCES

A. Description

The Contractor shall provide all supervision, labor, materials, tools, equipment and incidentals required to perform its work in the presence of overhead utilities, including, but not limited to, Electric Facilities (primary, secondary and service connections), telephone facilities, cable television facilities, including fiber optic communications facilities, utility poles and equipment on the poles and related appurtenances. These utilities are subsequently referred to in this specification as "overhead facilities".

B. Materials - N/A

C. Method of Construction

The Contractor shall inspect the site prior to bidding and shall utilize sketches CET 350A-1, CET 350B-1, CET 350C-1 to evaluate the potential impact, if any, of overhead facilities upon performance of the work. The Contractor shall employ a method of operation, including use of appropriate equipment and tools that will enable him to maintain adequate clearances from the overhead facilities during all phases of construction. The Contractor is responsible for performing the work in accordance with all applicable Federal, New York State and Local regulations. The Contractor and/or his agents shall be solely responsible for damages to any overhead lines and appurtenances due to failure to comply with applicable rules, procedures, and practices.

D. Method of Measurement

The quantity to be measured for payment shall be a lump sum measurement to complete the work in the presence of overhead facilities.

E. Price to Cover

The price shall be a lump sum for all supervision, labor, materials, tools, equipment and incidentals required to perform the work in the presence of overhead utilities and to maintain adequate clearance from the overhead facilities during all phases of construction. The price includes, but is not limited, to modification of any methods of operation, use of appropriate equipment, maintenance of traffic, extended performance, loss of productivity, protective measures, delays, change in sequencing and scheduling, and any other costs that may be incurred by the Contractor. Partial payments shall be made in proportion to the percentage (%) of contract completion as determined by the facility operator in consultation with the Resident Engineer. For projects involving installation of piles, the price shall cover the lowering and raising of the pile driver boom under main line cables only, if so requested by the Cablevision representative. Cablevision reserves the right to determine if service wires will be removed. Cablevision forces will be responsible for removing service wires. A Cablevision representative will provide a map of facilities where required. Payment for all work specified shall be made on a one-time basis, no payment for work shall be made for the same operation or for the same utility facility more than once.

F. References

- 1. New York City DDC Protocol for Implementation of Working Near Con Edison Energized Overhead Electric Distribution Systems
- 2. Con Edison Overhead Electric Condition Report included with contract plans
- 3. Guidelines for Working Near Con Edison Energized Overhead Electric Distribution Systems
- 4. Copy of the OSHA Letter dated August 9, 2004
- 5. Sketch CET-350A-1
- 6. Sketch CET-350B-1
- 7. Sketch CET-350C-1

LISTING OF COMPANIES NAMED FOR THIS CONTRACT

BED 809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18 STREET, ETC.

COMPANY NAME	CONTACT NAME	CONTACT TELEPHONE
CONSOLIDATED EDISON	O'NEIL A. WRIGHT	718-460-3870
VERIZON	ROHAN ECCLES	718-977-8142
CABLEVISION	WAYNE WOOD	718-975-1713

PRIVATE UTILITY SCOPE OF WORK

(NO TEXT IN THIS SECTION)

JOINT BID WORKSHEET ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE FOR CONSOLIDATED EDISON COMPANY OF NEW YORK

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. BOROUGH OF BROOKLYN

JOINT BID ITEM NUMBER	DESCRIPTION	UNITS	ESTIMATED QUANTITY
JB 108.1	UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .1)	EA	121
JB 108.2	UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .2)	EA	64
JB 108.3	UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .3)	EA	12
JB 109.1	UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" (TYPE .1)	EA	28
JB 109.2	UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" (TYPE .2)	EA	15
JB 109.3	UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" (TYPE .3)	EA	4
JB 300	SPECIAL CARE EXCAVATION AND BACKFILING	CY	98
JB 306	SPECIAL CARE EXCAVATION ON ACCOUNT OF ENCROACHMENT AND PARALLELING UTILITY	CY	13
JB 330E.1	SUPPORT & PROTECT ELEC, GAS & STEAM FACILITIES DURING EXCAVATION OF CITY TRENCH WHEN FACILITIES LIE W/IN TRENCH LIMITS (TYPE .1)	LF	256
JB 330E.2	SUPPORT & PROTECT ELEC, GAS & STEAM FACILITIES DURING EXCAVATION OF CITY TRENCH WHEN FACILITIES LIE W/IN TRENCH LIMITS (TYPE .2)	LF	203
JB 330E.3	SUPPORT & PROTECT ELEC, GAS & STEAM FACILITIES DURING EXCAVATION OF CITY TRENCH WHEN FACILITIES LIE W/IN TRENCH LIMITS (TYPE .3)	LF	35
JB 350	OVERHEAD ACCOMMODATION, PROTECTION OF OVERHEAD FACILITIES AND APPURTENANCES	LS	1
JB 351	INSTALL AND REMOVE "A" FRAME ON UTILITY POLES	EA	21
JB 400	TEST PITS FOR UTILITY FACILITIES	CY	335
JB 401	TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITIES	CY	199
JB 402.1	EXISTING OCCUPIED CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION W/O CONCRETE ENCASEMENT	LF	340
JB 402.1A	EXISTING OCCUPIED CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	LF	210

JOINT BID WORKSHEET ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE FOR CONSOLIDATED EDISON COMPANY OF NEW YORK

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. BOROUGH OF BROOKLYN

JOINT BID ITEM NUMBER	DESCRIPTION	UNITS	ESTIMATED QUANTITY
JB 402.2	EXISTING OCCUPIED NON-CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION W/O CONCRETE ENCASEMENT	LF	1,150
JB 450.2	CONSTRUCTION FIELD SUPPORT REQUIRING AVERAGE SMALL SIZE CREW CAPABLE OF PERFORMING VARIOUS TASKS (TYPE .2)	CRHRS	200
JB 450.3	CONSTRUCTION FIELD SUPPORT REQUIRING AVERAGE MEDIUM SIZE CREW CAPABLE OF PERFORMING VARIOUS TASKS (TYPE .3)	CRHRS	280
JB 500	REMOVAL OF ABANDONED UTILITY CONDUITS (NON-CONCRETE ENCASED)	LF	6,230
JB 501	REMOVAL OF ABANDONED MASONRY FOR ELEC. AND TEL. FACILITIES	CY	146
JB 636 R	REPAIR TO UTILITY STRUCTURES	CY	14
JB 710.1	REMOVAL OF ABANDONED UTILITY STEEL/CAST IRON/PLASTIC, UP TO AND INCL. 12" DIAMETER PIPES	LF	15
JB 850	INSTALLATION OF RUBBER SHEETS FOR UTILITY FACILITIES	SF	168
JB 900	EXTRA UTILITY WORK COSTS ALLOWANCE	FS	1

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. BOROUGH OF BROOKLYN

JB 108.1 UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .1)

ĒΑ

At the following locations:

N/S Avenue S; W/O East 12th St; F/O #1109

N/E Intr Avenue S and East 12th St.

S/W Intr Avenue S and East 12th St.

N/E Intr Avenue S and Homecrest Ave.

N/S Avenue S: W/O East 13th St: F/O #1231

N/E Intr Avenue S and East 13th St.

S/W Intr Avenue S and East 14th St.

N/W Intr Avenue S and East 14th St.

N/S Avenue S; W/O East 15th St.

N/S Avenue S; E/O East 15th St.;

N/W Intr Avenue S and East 16th St.

N/S Avenue S; E/O East 16th St.;

S/W Intr Avenue S and East 16th St.

N/E Intr Avenue S and East 17th St.

N/S Avenue S; E/O East 17th St.

N/S Avenue S; E/O East 17th St.; S/O #1871

N/S Avenue S; W/O Ocean Ave.

S/S Avenue S; W/O Ocean Ave.

N/W Intr Avenue S and Ocean Ave.

N/W Intr Ocean Ave. and Avenue S

S/W Intr Avenue S and Ocean Ave. N/E Intr Ocean Ave. and Avenue S

N/S Avenue T; E/O Coney Island Av; F/O #1101

N/S Avenue T; W/O East 12th St; F/O #1113

N/S Avenue T: W/O East 12th St.

N/S Avenue T; E/O East 12th St.

N/S Avenue T; E/O Homecrest Ave.

N/S Avenue T; W/O East 13th Stst 12th St.

S/W Intr Avenue T and East 16th St.

S/W Intr Avenue T and East 17th St.

N/E Intr Avenue T and East 17th St.

S/W Intr Avenue T and Ocean Ave.

S/W Intr Avenue T and Ocean Ave.

W/S East 19th St; N/O Avenue T; F/O # 1986

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. BOROUGH OF BROOKLYN

W/S Ocean Ave; N/O Avenue U; F/O # 2548

W/S East 16th St; S/O Avenue T; F/O # 2008

W/S East 16th St; S/O Avenue T; F/O # 2018

W/S East 16th St; S/O Avenue T; F/O # 2022

W/S East 16th St; S/O Avenue T; F/O # 2034

W/S East 16th St; S/O Avenue T; F/O # 2034

W/S East 16th St; N/O Avenue U; F/O # 2056

W/S East 16th St; N/O Avenue U; F/O # 2060

W/S East 16th St; N/O Avenue U; F/O # 2090

W/S East 16th St; N/O Avenue U; F/O # 2044

E/S East 17th St; S/O Avenue U; F/O #2157

W/S East 15th St; S/O Avenue U; F/O #2116

W/S East 15th St; S/O Avenue U; F/O #2144

W/S East 15th St; S/O Avenue U; F/O #2156

W/S East 15th St; S/O Avenue U; F/O #2164

W/S East 14th St; N/O Avenue V; F/O #2150

W/S East 14th St; N/O Avenue V; F/O Playground

E/S East 13th St; N/O Avenue V; F/O #2147

W/S East 14th St; N/O Gravesend Neck Rd; F/O #2260

W/S East 14th St; N/O Gravesend Neck Rd; F/O #2266

W/S East 13th St; S/O Avenue V; F/O #2214

W/S East 13th St; N/O Gravesend Neck Rd.; F/O #2276

W/S East 13th St; N/O Gravesend Neck Rd.; F/O #2286

N/E Intr Avenue V and East 17th St.

N/E Intr Avenue V and East 17th St.

N/W Intr Gravesend Neck Rd. and East 17th St.

N/W Intr Gravesend Neck Rd. and East 18th St.

N/S Gravesend Neck Rd.; E/O East 19th St.

W/S East 12th St; S/O Avenue U; F/O #2114

W/S Coney Island Av; S/O Avenue U; F/O #2446

E/S Coney Island Ave.; S/O Avenue V; F/O #2508

N/W Intr Avenue U and Ocean Ave.

N/S Avenue U; E/O East 19th St.; F/O #1911

N/S Avenue U; W/O East 19th St.; F/O #1815

N/S Avenue U; W/O East 19th St.; F/O #1811

N/W Intr Avenue U and East 18th St.

N/S Avenue U; W/O East 18th St.:

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. BOROUGH OF BROOKLYN

N/S Avenue U; E/O East 17th St.;

N/E Intr Avenue U and East 17th St.

N/S Avenue U; W/O East 17th St.; F/O #1621

N/S Avenue U; W/O East 17th St.; F/O #1611

N/E Intr Avenue U and East 16th St.

N/W Intr Avenue U and East 16th St.

W/S East 16th St; N/O Avenue U

N/S Avenue U; W/O East 16th St.

N/S Avenue U; E/O East 15th St.

N/E Intr Avenue U and East 15th St.

N/S Avenue U; W/O East 15th St.;

N/S Avenue U; E/O East 14th St.;

N/S Avenue U: W/O East 14th St.;

S/S Avenue U; Between Homecrest Ave. and East 13th St.;

N/S Avenue U; Between Homecrest Ave. and East 13th St.;

N/E Intr Avenue U and Homecrest Ave.

S/E Intr Avenue U and Homecrest Ave.

S/S Avenue U: 70' W/O Homecrest Ave.

N/S Avenue U; 80' W/O Homecrest Ave.

N/E Intr Avenue U and East 12th St.

S/E Intr Avenue U and East 12th St.

N/S Avenue S; W/O Ocean Ave.

E/S Coney Island Ave.; S/O Avenue V; F/O #2524

N/S Avenue U; E/O East 19th St.

N/S Avenue U; Between Coney Island Ave. and East 12th St.

W/S Ocean Ave; N/O Avenue T; F/O # 2432

W/S Ocean Ave; N/O Avenue T; F/O # 2400

Total Quantity for JB 108.1 = 121

EA

CON EDISON JOINT BIDDING SCOPE OF WORK SUPPORT AND PROTECTION

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. BOROUGH OF BROOKLYN

UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .2)

At the following locations:

JB 108.2

W/S Intr Ocean Ave. and Avenue U

S/S Avenue S; W/O Homecrest Ave.

S/W Intr Avenue S and Homecrest Ave.

S/S Avenue S; W/O East 14th St.

S/S Avenue S; W/O East 16th St.:

S/W Intr Avenue S and East 16th St.

S/S Avenue S; W/O East 17th St.;

S/E Intr Avenue S and East 17th St.

N/S Avenue S; E/O East 17th St.

N/S Avenue S; E/O East 17th St.; S/O #1871

N/S Avenue T; Between Coney Island Ave. and East 12th St.

S/S Avenue S; W/O Ocean Ave.

W/S Intr Ocean Ave. and Avenue S

S/W Intr Avenue S and Ocean Ave.

N/E Intr Ocean Ave. and Avenue S

N/W Intr Avenue T and East 12th St.

N/W Intr Avenue T and East 13th St.

S/W Intr Avenue T and East 16th St.

N/W Intr Avenue T and Ocean Ave.

W/S Ocean Ave; S/O Avenue S; F/O # 2380

W/S Ocean Ave; S/O Avenue S; F/O # 2412

W/S Ocean Ave; N/O Avenue T; F/O # 2442

W/S Ocean Ave; S/O Avenue U; F/O # 2550

W/S Ocean Ave; S/O Avenue U; F/O # 2584

W/S Ocean Ave; N/O Avenue V; F/O # 2600

N/W Intr Avenue V and Ocean Ave.

N/W Intr Avenue V and Ocean Ave.

N/S Avenue V; W/O Ocean Ave.

W/S East 16th St; S/O Avenue T; F/O # 2030

E/S East 17th St; S/O Avenue U; F/O #2113

E/S East 17th St; N/O Avenue V; F/O #2169

S/W Intr Avenue V and East 17th St.

S/W Intr Avenue V and East 18th St.

S/W Intr Avenue V and East 19th St.

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REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. BOROUGH OF BROOKLYN

N/W Intr Gravesend Neck Rd. and East 16th St.

N/W Intr Gravesend Neck Rd. and East 19th St.

W/S Ocean Ave.: N/O Gravesend Neck Rd: F/O #2622

E/S Coney Island Av; S/O Avenue U; F/O #2415

E/S Coney Island Av; S/O Avenue U; F/O #2443

E/S Coney Island Av; N/O Avenue V; F/O #2479

E/S Coney Island Av; S/O Avenue V; F/O #2489

E/S Coney Island Av; S/O Avenue V; F/O #2525

S/E Intr Coney Island Avenue and Gravesend Neck Rd.

N/W Intr Avenue U and East 18th St.

S/W Intr Avenue U and East 16th St.

S/W Intr Avenue U and East 15th St.

S/E Intr Avenue U and East 13th St.

N/E Intr Avenue U and Homecrest Ave.

N/W Intr Avenue U and Homecrest Ave.

S/E Intr Avenue U and Homecrest Ave.

S/W Intr Avenue U and Homecrest Ave.

N/W Intr Avenue U and East 12th St.

S/W Intr Avenue U and East 12th St.

N/E Intr Avenue T and East 12th St.

N/S Gravesend Neck Rd.; W/O Ocean Ave.

N/S Avenue U; 35' W/O East 12th St.

AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

Total Quantity for JB 108.2 = 64

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. BOROUGH OF BROOKLYN

JB 108.3 UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .3)

EA

At the following locations:

N/S Avenue S; W/O Ocean Ave.

W/S Ocean Ave; S/O Avenue T; F/O # 2480

W/S Ocean Ave; S/O Avenue T; F/O # 2510

W/S Ocean Ave; N/O Avenue U; F/O # 2548

N/E Intr Avenue V and East 17th St.

W/S Coney Island Av; S/O Avenue U; F/O #2410

W/S Coney Island Av; S/O Avenue U; F/O #2446

W/S Coney Island Av; S/O Avenue U; F/O #2446

E/S Coney Island Av; N/O Gravesend Neck Rd.

E/S Coney Island Ave.; S/O Avenue V; F/O #2508

E/S Coney Island Ave.; S/O Avenue V; F/O #2544

S/W Intr Avenue U and East 17th St.

Total Quantity for JB 108.3 = 12

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. BOROUGH OF BROOKLYN

JB 109.1 UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" (TYPE .1)

EA

At the following locations:

- S/S Avenue S; W/O East 12th St; F/O #1114
- S/E Intr Avenue S and East 12th St.
- S/E Intr Avenue S and Homecrest Ave.
- S/E Intr Avenue S and East 13th St.
- S/S Avenue S; W/O East 15th St.; F/O #1410
- S/S Avenue S; E/O East 15th St.;
- S/W Intr Avenue S and East 16th St.
- S/S Avenue S; E/O East 16th St.;
- S/E Intr Avenue S and East 17th St.
- S/S Avenue S; E/O East 17th St.
- S/S Avenue S; E/O East 17th St.; S/O #1901
- S/S Avenue S; W/O Ocean Ave.
- S/E Intr Avenue S and Ocean Ave.
- S/E Intr Avenue S and Ocean Ave.
- E/S Intr Ocean Ave. and Avenue S
- N/S Avenue V; W/O East 15th St.; F/O #1411
- N/S Avenue V; E/O East 15th St.
- N/E Intr Gravesend Neck Rd. and East 16th St.
- S/E Intr Gravesend Neck Rd. and East 17th St.
- S/S Gravesend Neck Rd.; C/O East 19th St.; F/O #1902
- S/S Gravesend Neck Rd.; C/O East 19th St.; S/O #2664
- E/S Coney Island Av; S/O Avenue U; F/O #2445
- E/S Coney Island Av; S/O Avenue V; F/O #2501
- E/S Coney Island Av; S/O Avenue V; F/O #2525
- S/S Avenue S; 85' W/O East 12th St.

Total Quantity for JB 109.1 = 28

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. BOROUGH OF BROOKLYN

JB 109.2 UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" (TYPE .2)

EA

At the following locations:

S/W Intr Avenue S and East 13th St.

S/W Intr Avenue S and East 14th St.

S/S Avenue S; E/O East 17th St.

S/S Avenue S; E/O East 17th St.; S/O #1901

S/S Avenue S; W/O East 18th St.

S/S Avenue S; W/O Ocean Ave.

N/S Avenue V; W/O East 16th St.

N/W Intr Avenue V and East 16th St.

S/E Intr Gravesend Neck Rd. and East 18th St.

N/S Gravesend Neck Rd.; W/O Ocean Ave.

S/W Intr Coney Island Ave. and Gravesend Neck Rd.

S/W Intr Coney Island Avenue and Gravesend Neck Rd.

S/W Intr Avenue S and Ocean Ave.

Total Quantity for JB 109.2

UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" (TYPE .3)

ΕA

At the following locations:

S/W Intr Avenue S and East 14th St.

S/E Intr Avenue V and East 16th St.

N/E Intr Coney Island Ave. and Gravesend Neck Rd.

N/W Intr Coney Island Avenue and Gravesend Neck Rd.

AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

Total Quantity for JB 109.3 = 4

JB 109.3

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. **BOROUGH OF BROOKLYN**

SPECIAL CARE EXCAVATION AND BACKFILING JB 300

CY

At the following locations:

S/E Intr Avenue S and East 12th St.

S/E Intr Avenue S and Homecrest Ave. S/E Intr Avenue S and East 13th St.

N/W Intr Avenue S and East 13th St.

W/S Intr Avenue S and East 14th St.

S/W Intr Avenue S and East 16th St.

S/S Avenue S: E/O East 16th St.; F/O #1614

S/W Intr Avenue S and East 17th St.

W/S Intr Ocean Ave. and Avenue S

E/S Intr Ocean Ave. and Avenue S

N/S Avenue T; W/O East 12th St; F/O #1113

N/E Intr Avenue T and East 13th St.

W/S Ocean Ave; S/O Avenue S; F/O # 2380

W/S Ocean Ave; N/O Avenue T; F/O # 2442

W/S Ocean Ave; N/O Avenue U; F/O # 2532

W/S Ocean Ave; S/O Avenue U; F/O # 2584

W/S Ocean Ave; S/O Avenue U; F/O # 2604

W/S Ocean Ave; N/O Avenue V; F/O # 2622

S/W Intr Avenue V and Ocean Ave.

W/S East 16th St; N/O Avenue U; F/O # 2070

W/S East 15th St; S/O Avenue U; F/O #2116

E/S East 13th St; S/O Avenue U; F/O #2139

W/S East 13th St; N/O Gravesend Neck Rd.

N/W Intr Avenue V and East 16th St.

N/W Intr Gravesend Neck Rd. and East 19th St.

W/S Ocean Ave.; N/O Gravesend Neck Rd; F/O #2622

W/S Coney Island Av; S/O Avenue U; F/O Lot

W/S Coney Island Av; N/O Avenue V; F/O #2464

E/S Coney Island Ave.; S/O Avenue V; F/O #2524

S/W Intr Coney Island Avenue and Gravesend Neck Rd.

N/S Avenue U; E/O East 19th St.; F/O #1911

N/S Avenue U; W/O East 17th St.; F/O #1611

N/S Avenue U: W/O East 14th St.;

N/W Intr Gravesend Neck Rd and Ocean Ave

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. BOROUGH OF BROOKLYN

W/S Ocean Ave.; S/O Gravesend Neck Rd.

N/S Gravesend Neck Rd.; W/O Ocean Ave.

W/S Intr Ocean Ave. and Avenue U

N/S Avenue U; 80' W/O Homecrest Ave.

N/S Avenue U; 40' E/O East 12th St.

N/E Intr Avenue T and East 12th St.

N/S Avenue T; E/O East 12th St.

N/S Avenue U; W/O East 13th St.;

W/S Ocean Ave; S/O Avenue T; F/O # 2480

W/S Ocean Ave; S/O Avenue T; F/O # 2516

S/S Avenue S; 85' W/O East 12th St.

N/S Avenue U; Between Coney Island Ave. and East 12th St.

Total Quantity for JB 300

JB 306 SPECIAL CARE EXCAVATION ON ACCOUNT OF ENCROACHMENT AND PARALLELING UTILITY

 $\mathbf{C}\mathbf{Y}$

At the following locations:

N/W Intr Avenue S and Ocean Ave.

N/W Intr Avenue S and Ocean Ave.

S/E Intr Avenue V and East 16th St.

W/S Intr Ocean Ave. and Avenue U

N/W Intr Avenue U and Ocean Ave.

N/W Intr Avenue T and Ocean Ave.

Total Quantity for JB 306 = 13

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. **BOROUGH OF BROOKLYN**

SUPPORT & PROTECT ELEC, GAS & STEAM FACILITIES DURING EXCAVATION OF CITY TRENCH LF JB 330E.1 WHEN FACILITIES LIE W/IN TRENCH LIMITS (TYPE .1)

At the following locations:

S/E Intr Avenue S and East 13th St.

S/W Intr Avenue S and East 14th St.

W/S Ocean Ave.; N/O Avenue S

S/S Avenue S: W/O Ocean Ave.

S/W Intr Avenue S and Ocean Ave.

S/E Intr Avenue S and Ocean Ave.

N/S Avenue T; E/O Coney Island Av; F/O #1101

E/S East 13th St; S/O Avenue U; F/O #2139

S/E Intr Avenue U and East 12th St.

Total Quantity for JB 330E.1 **= 256**

SUPPORT & PROTECT ELEC. GAS & STEAM FACILITIES DURING EXCAVATION OF CITY TRENCH JB 330E.2 WHEN FACILITIES LIE W/IN TRENCH LIMITS (TYPE .2)

LF

At the following locations:

N/E Intr Ocean Ave. and Avenue S

S/W Intr Avenue T and East 16th St.

N/S Avenue V: E/O East 15th St.' Under Elev. Subway Line

N/S Avenue U; Between Coney Island Ave. and East 12th St.

= 203 Total Quantity for JB 330E.2

SUPPORT & PROTECT ELEC, GAS & STEAM FACILITIES DURING EXCAVATION OF CITY TRENCH JB 330E.3 WHEN FACILITIES LIE W/IN TRENCH LIMITS (TYPE .3)

LF

At the following locations:

S/W Intr Coney Island Avenue and Gravesend Neck Rd.

AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

Total Quantity for JB 330E.3

OVERHEAD ACCOMMODATION, PROTECTION OF OVERHEAD FACILITIES AND APPURTENANCES LS JB 350

At the following locations:

Various

AS SHOWN ON CONTRACT DOCUMENTS

Total Quantity for JB 350 = 1

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. BOROUGH OF BROOKLYN

JB 351 INSTALL AND REMOVE "A" FRAME ON UTILITY POLES

EA

At the following locations:

S/S Avenue U; Between Coney Island Ave. and East 12th St.;

S/E Intr Avenue U and East 13th St.

S/S Avenue U; 100' W/O East 13th St.

S/E Intr Avenue U and Homecrest Ave.

S/S Avenue U; 70' W/O Homecrest Ave.

S/S Avenue U; 80' E/O East 12th St.

S/E Intr Avenue U and East 12th St.

E/S East 13th St; S/O Avenue U; F/O #2115

E/S East 13th St; S/O Avenue U; F/O #2127

E/S East 13th St; N/O Avenue V; F/O #2147

E/S East 13th St; N/O Avenue V; F/O #2159

E/S East 13th St; N/O Avenue V

S/W Intr Gravesend Neck Rd. and East 19th St.

S/S Gravesend Neck Rd.; Between East 18th St. and East 19th St.

S/E Intr Gravesend Neck Rd. and East 18th St.

S/W Intr Gravesend Neck Rd. and East 18th St.

S/S Gravesend Neck Rd.; Between East 17th St. and East 18th St.

S/E Intr Gravesend Neck Rd. and East 17th St.

S/W Intr Gravesend Neck Rd. and East 17th St.

S/S Gravesend Neck Rd.; Between East 16th St. and East 17th St.

AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

Total Quantity for JB 351

= 21

JB 400 TEST PITS FOR UTILITY FACILITIES

CY

At the following locations:

Various

Total Quantity for JB 400

= 335

LF

CON EDISON JOINT BIDDING SCOPE OF WORK SUPPORT AND PROTECTION

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. BOROUGH OF BROOKLYN

TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITIES

At the following locations: N/W Intr Avenue S and East 13th St. S/W Intr Avenue S and East 16th St. N/W Intr Avenue S and Ocean Ave. N/W Intr Avenue V and Ocean Ave. N/E Intr Coney Island Ave. and Gravesend Neck Rd. N/W Intr Coney Island Avenue and Gravesend Neck Rd. S/W Intr Avenue U and East 17th St. N/W Intr Avenue U and East 18th St. N/W Intr Avenue U and Ocean Ave. S/W Intr Coney Island Ave. and Gravesend Neck Rd. AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE = 199Total Quantity for JB 401 LF EXISTING OCCUPIED CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION W/O JB 402.1 CONCRETE ENCASEMENT At the following locations: N/W Intr Coney Island Avenue and Gravesend Neck Rd. N/W Intr Avenue U and Ocean Ave. S/W Intr Coney Island Ave. and Gravesend Neck Rd. AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

CONCRETE ENCASEMENT

At the following locations:

Total Quantity for JB 402.1

N/E Intr Coney Island Ave. and Gravesend Neck Rd.

AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

EXISTING OCCUPIED CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITH

340

Total Quantity for JB 402.1A = 210

JB 402.1A

JB 401

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. BOROUGH OF BROOKLYN

JB 402.2 EXISTING OCCUPIED NON-CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION W/O CONCRETE ENCASEMENT

LF

At the following locations:

N/W Intr Avenue S and East 13th St.

S/W Intr Avenue S and East 16th St.

N/W Intr Avenue V and Ocean Ave.

N/E Intr Coney Island Ave. and Gravesend Neck Rd.

N/W Intr Avenue S and Ocean Ave.

S/W Intr Avenue U and East 17th St.

N/W Intr Avenue U and East 18th St.

AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

Total Quantity for JB 402.2 = 1,150

JB 450.2 CONSTRUCTION FIELD SUPPORT REQUIRING AVERAGE SMALL SIZE CREW CAPABLE OF PERFORMING VARIOUS TASKS (TYPE .2)

CRHRS

At the following locations:

Various

Total Quantity for JB 450.2 = 200

CONSTRUCTION FIELD SUPPORT REQUIRING AVERAGE MEDIUM SIZE CREW CAPABLE OF PERFORMING VARIOUS TASKS (TYPE .3)

CRHRS

At the following locations:

Various

Total Quantity for JB 450.3 = 280

JB 450.3

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. BOROUGH OF BROOKLYN

JB 500 REMOVAL OF ABANDONED UTILITY CONDUITS (NON-CONCRETE ENCASED)

LF

At the following locations:

E/S East 17th St; S/O Avenue U; F/O #2113-2121

E/S East 17th St; S/O Avenue U; F/O #2121-2157

E/S East 17th St; N/O Avenue V; F/O #2157-2169

N/S Intr Gravesend Neck Rd. and East 16th St.

N/S Gravesend Neck Rd.; W/O East 16th St.

S/S Avenue U; Between Coney Island Ave. and East 12th St.;

N/W Intr Gravesend Neck Rd. and Ocean Ave.

S/E Intr Avenue U and East 13th St.

S/S Avenue U; Between Homecrest Ave. and East 13th St.;

S/S Avenue U; Between Homecrest Ave. and East 13th St.;

N/S Avenue U; Between Homecrest Ave. and East 13th St.;

N/W Intr Avenue U and Homecrest Ave.

S/S Intr Avenue U and Homecrest Ave.

S/S Avenue U; Between Homecrest Ave. and East 12th St.;

S/S Intr Avenue U and East 12th St.

S/W Intr Avenue S and Ocean Ave.

AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

Total Quantity for JB 500 = 6,230

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. **BOROUGH OF BROOKLYN**

REMOVAL OF ABANDONED MASONRY FOR ELEC. AND TEL. FACILITIES JB 501

CY

At the following locations:

W/S Intr Ocean Ave. and Avenue U

E/S East 17th St; S/O Avenue U; F/O #2121

E/S East 17th St; S/O Avenue U; F/O #2157

N/W Intr Gravesend Neck Rd, and East 16th St.

N/W Intr Gravesend Neck Rd. and Ocean Ave.

S/S Avenue U; 90' W/O East 13th St.

S/S Avenue U; 25' W/O Homecrest Ave.

S/S Avenue U; 95' W/O Homecrest Ave.

N/W Intr Avenue T and Ocean Ave.

W/S Ocean Ave; N/O Avenue T; F/O # 2412-2432

W/S Ocean Ave; S/O Avenue S; F/O # 2380-2408

W/S Ocean Ave; S/O Avenue S; F/O # 2364-2380

S/W Intr Avenue S and Ocean Ave.

AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

Total Quantity for JB 501

= 146

REPAIR TO UTILITY STRUCTURES JB 636 R

CY

At the following locations:

N/W Intr Avenue S and East 13th St.

S/W Intr Avenue T and East 16th St.

N/W Intr Coney Island Avenue and Gravesend Neck Rd.

N/W Intr Avenue U and Ocean Ave.

S/W Intr Coney Island Ave. and Gravesend Neck Rd.

AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

Total Quantity for JB 636 R

JB 710.1 REMOVAL OF ABANDONED UTILITY STEEL/CAST IRON/PLASTIC, UP TO AND INCL. 12" DIAMETER PIPES

LF

At the following locations:

S/S Avenue U; Between Homecrest Ave. and East 13th St.:

N/S Avenue U; Between Homecrest Ave. and East 13th St.;

N/S Avenue U; 80' W/O Homecrest Ave.

Total Quantity for JB 710.1 15

BED-809

REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND EAST 18TH STREET, ETC. BOROUGH OF BROOKLYN

JB 850 INSTALLATION OF RUBBER SHEETS FOR UTILITY FACILITIES

SF

At the following locations:

N/W Intr Avenue S and East 13th St.

S/S Avenue S; W/O East 18th St.

S/W Intr Avenue S and Ocean Ave.

N/W Intr Avenue V and Ocean Ave.

S/E Intr Avenue V and East 16th St.

N/E Intr Coney Island Ave. and Gravesend Neck Rd.

S/W Intr Avenue U and East 16th St.

S/W Intr Avenue U and East 15th St.

W/S Intr Ocean Ave. and Avenue U

N/W Intr Coney Island Avenue and Gravesend Neck Rd.

Total Quantity for JB 850

= 168

JB 900 EXTRA UTILITY WORK COSTS ALLOWANCE

FS

At the following locations:

Various

AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

Total Quantity for JB 900 = 1

JOINT BID WORKSHEET CONTRACT NO. BED809 - REPLACEMENT OF WATER MAINS IN AVE. S BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET, ETC FOR INFORMATION ONLY

ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE

FOR VERIZON

JOINT BID		r r	
ITEM	DESCRIPTION	Unit of	Estimated
NUMBER	DESCRIPTION	Measure	Quantity
NONZOZIK		Measure	Quantity
JB 108.1	UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL.	EA.	50.00
1	12" DIAMETER (TYPE .1)	<u></u>	30.00
JB 108.2	UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL.	EA.	11.00
	12" DIAMETER (TYPE .2)		, , , , ,
JB 108.3	UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL.	EA.	18.00
	12" DIAMETER (TYPE .3)		
JB 108.4	UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL.	EA.	3.00
	12" DIAMETER (TYPE .4)		
JB 108.5	UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL.	EA.	3.00
JB 108.6	12" DIAMETER (TYPE .5) UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL.		
JD 100.6	12" DIAMETER (TYPE .6)	EA.	3.00
JB 109.1	UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP	EA.	34.00
05 100.7	TO 24° DIAMETER (TYPE .1)	EA.	34.00
JB 109.2	UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP	EA.	5.00
	TO 24" DIAMETER (TYPE .2)	<u> </u>	0.00
JB 109.3	UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP	EA.	4.00
	TO 24" DIAMETER (TYPE .3)		
JB 109.4	UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP	EA.	3.00
	TO 24" DIAMETER (TYPE .4)		
JB 109.5	UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP	EA.	3.00
JB 109.6	TO 24" DIAMETER (TYPE .5) UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP		
JB 108.0	TO 24" DIAMETER (TYPE .6)	EA.	3.00
JB 300	SPECIAL CARE EXCAVATION & BACKFILLING	C.Y.	187.00
02 000	OF LOIAL OAFIE EXCAPATION & DAOR ILLING	0.1.	107.00
JB 330T	SUPPORT & PROTECTION OF COMMUNICATION UTILITY FACILITIES	L.F.	904.00
	DURING EXCAVATION OF CITY TRENCH WHEN FACILITIES LIE IN OR		004.00
	CLOSE PROXIMITY TO TRENCH LIMITS		
JB 330T2.1	COMMUNICATION FACILITY OPERATOR(S) REQUESTS TRENCH TO	L.F.	100.00
	BE WIDENED		
JB 330T2.2	COMMUNICATION FACILITY OPERATOR(S) REQUESTS TRENCH OR	L.F.	100.00
ID SEATORAL	SHEETING BE MOD.		
	OVERHEAD ACCOMODATION PROTECTION OF OVERHEAD FACILITIES, POLES AND APPURTENANCES	L.S.	1.00
	TEST PITS	C.Y.	20.00
05 400	16011110	U. 1.	20.00
JB 401	TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITIES	C.Y.	751.00
		- · · ·	, 0 0
JB 401 AT	SPECIAL CARE PAVEMENT EXCAVATION FOR ADJUSTMENT OF	C.Y.	50.00
	TELECOMMUNICATION UTILITY FACILITIES CONNECTED TO THE		
	EXISTING OCCUPIED CONCRETE ENCASED NON-STEEL/IRON	L.F.	200.00
	CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE		

JOINT BID WORKSHEET CONTRACT NO. BED809 - REPLACEMENT OF WATER MAINS IN AVE. S BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET, ETC FOR INFORMATION ONLY

ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE

FOR VERIZON

JOINT BID			
ITEM	DESCRIPTION	Unit of	Estimated
NUMBER		Measure	Quantity
JB 402T.1A	EXISTING OCCUPIED CONCRETE ENCASED NON-STEEL/IRON CONDUITS PLACED IN FINAL POSITION WITH CONCRETE	L.F.	2,985.00
IR ADOT VAA	EXISTING VACANT CONCRETE ENCASED CONDUITS PLACED IN	L.F.	835.00
3D 4021.VIA	FINAL POSITION WITH CONCRETE ENCASEMENT.	L	555.00
JB 402T.2	EXISTING OCCUPIED NON-CONCRETE ENCASED NON-STEEL/IRON CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE	LF	200.00
JB 402T.2A	EXISTING OCCUPIED NON-CONCRETE ENCASED NON-STEEL/IRON CONDUITS PLACED IN FINAL POSITION WITH CONCRETE	LF	5,274.00
JB 402T.V2A	EXISTING VACANT NON-CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT.	LF	1,707.00
JB 403T.2	PLACING STEEL PROTECTION PLATES FOR UTILITIES FACILITIES	S.F.	200.00
JB 405.1	TRENCH EXCAVATION FOR INSTALLATION OF UTILITY FACILITIES.	C.Y.	90.00
JB 405.2	TRENCH EXCAVATION FOR INSTALLATION OF UTILITY FACILITIES.	C.Y.	115.00
JB 500	RMVL. OF ABNDND MASONRY FOR ELEC. AND TEL. CONDUITS NON- CONC. ENCSD	L.F.	729.00
JB 501.0	REMOVAL OF ABANDONED MASONRY FOR ELECTRIC AND TEL. FACILITIES	C.Y.	20.00
	INSTALL 2-EA. 2", 4" OR 1-1/4" QUAD CONDUIT (PVC OR STEEL) IN ANY COMBINATION	L.F.	200.00
	INSTALL 6-EA. 2", 4" OR 1-1/4" QUAD CONDUIT (PVC OR STEEL) IN ANY COMBINATION	L.F.	200.00
JB 603T.6	INSTALL 12-EA. 2", 4" OR 1-1/4" QUAD CONDUIT (PVC OR STEEL) IN ANY COMBINATION	L.F.	200.00
JB 636 EE	ADJUSTMENT OF UTILITY HARWARE (34" TO UNDER 41" WIDTH)	EA.	3.00
	MODIFICATION OF METHODS TO ACCOMMODATE UTILITY STREET HARDWARE DURING PAVEMENT MILLING AND RESURFACING	EA.	10.00
JB 636 R	REPAIR TO UTILITY STRUCTURES	C.Y.	10.00
JB 638 N	INSTALLATION OF FIELD CONSTRUCTED UTILITY STRUCTURES	C.Y.	10.00
JB 638 R	BREAK OUT AND REMOVE UTILITY STRUCTURE	C.Y.	10.00
	SPECIAL MOD. OF WORK MTHDS TO ACCOM./PROTECT U.G. FACIL. W. LMTD CVR.	C.Y.	300.00
	REM. OF ABND. UTILITY STEEL/CAST IRON PIPE, UP TO & INCL. 12" DIAM. PIPES	L.F.	100.00
JB 711	USE SHEETING LINE AS FORM	L.F.	200.00
	MODIFICATION OF NON CONCRETE YOKE TROLLEY STRUCTURES REMOVAL WHEN CROSSING UTILITY FACILITIES	L.F.	200.00

JOINT BID WORKSHEET CONTRACT NO. BED809 - REPLACEMENT OF WATER MAINS IN AVE. S BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET. ETC

FOR INFORMATION ONLY

ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE FOR VERIZON

JOINT BID ITEM NUMBER	DESCRIPTION	Unit of Measure	Estimated Quantity
JB 799	MODIFICATION OF NON CONCRETE TROLLEY STRUCTURES REMOVAL PARALLEL TO UTILITY FACILITIES	L.F.	200.00
JB 800	MODIFICATION OF CONCRETE YOKE TROLLEY STRUCTURES REMOVAL WHEN CROSSING UTILITY FACILITIES	L.F.	200.00
JB 801	MODIFICATION OF CONCRETE YOKE TROLLEY STRUCTURE REMOVAL PARALLEL TO UTILITY FACILITIES	L.F.	200.00
JB 803	LINE CUT BY PNEUMATIC TOOLS IN LIEU OF SAW CUT ASSOCIATED WITH ROADWAY REMOVAL OPERATIONS	L.F.	200.00
JB 900	EXTRA UTILITY WORK ALLOWANCE	F.S.	1.00

SUPPORT & PROTECTION

BED809 - REPLACEMENT OF WATER MAINS IN AYE. S

BETWEEN CONEY ISLAND AVE. AND E. 18TH ST., ETC

JB 108.1	UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .1)	EA.
	At the following locations:	
	INT. OF AVENUE S AND E. 12TH STREET	2.00
	AVENUE S BET. E. 12TH STREET AND E. 13TH STREET	1.00
	AVENUE S BET. E. 17TH STREET AND E. 18TH STREET	3.00
	AVENUE S BET, E. 19TH STREET AND OCEAN AVENUE	1.00
	INT. OF AVENUE S AND OCEAN AVENUE	1.00
	INT. OF AVENUE T AND OCEAN AVENUE	1.00
	INT. OF AVENUE U AND E. 12TH STREET	1.00
	AVENUE U BET. HOMECREST AVENUE AND 13TH STREET	1.00
	INT. OF AVENUE U AND 13TH STREET	3.00
	INT. OF AVENUE U AND E. 15TH STREET	3.00
	AVENUE U BET. ISTH STREET AND 16TH STREET	1.00
	INT. OF AVENUE U AND E. 16TH STREET	1.00
	AVENUE U BET. 15TH STREET AND 16TH STREET	1.00
	INT. OF AVENUE U AND E. 16TH STREET	1.00
	INT. OF AVENUE U AND E. 17TH STREET	1.00
	AVENUE U BET. 18TH STREET AND 19TH STREET	1.00
	INT. OF AVENUE V AND E. 19TH STREET	1.00
	OCEAN AVENUE BET. AVENUE S AND AVENUE T	1.00
	OCEAN AVENUE BET. AVENUE T AND AVENUE U	4.00
	OCEAN AVENUE BET. AVENUE U AND AVENUE V	2.00
	INT. OF OCEAN AVENUE AND AVENUE V	1.00
	E 17TH STREET BET. AVENUE T AND AVENUE U	1.00
	E, 13TH STREET BET. AVENUE T AND AVENUE U	1.00
	CONEY ISLAND AVENUE BET. AVENUE U AND AVENUE V	2.00
	INT. OF CONEY ISLAND AVENUE AND AVENUE V	1.00
	CONEY ISLAND AVENUE BET. AVENUE U AND AVENUE V	1.00
	CONEY ISLAND AVENUE BET. AVENUE V AND GRAVESEND NECK ROAD	1.00
	INT. OF CONEY ISLAND AND GRAVESEND NECK ROAD	1.00
	14TH STREET BET. AVENUE V AND GRAVESEND ROAD	1.00
	E. 17TH STREET BET. AVENUE V AND GRAVESEND NECK ROAD	1.00
	B. 19TH STREET BET. AVENUE V AND GRAVESEND NECK ROAD	1.00
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	7.00
	Total quantity for JB 108.1 = 50.00	
JB 108.2	UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .2)	EA.
	At the following locations:	
	INT. OF AVENUE S AND OCEAN AVENUE	1.00
•	INT. OF AVENUE U AND E. 15TH STREET	
		3.00
	AVENUE U BET. 15TH STREET AND 16TH STREET INT. OF AVENUE U AND E. OCEAN AVENUE	2.00 1.00
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	4.00
	Total quantity for JB 108.2 = 11.00	
JB 108.3	UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .3)	EA.
	At the following locations:	•
	-	
	INT. OF AVENUE S AND E. 12TH STREET	1.00
	INT. OF AVENUE'S AND E. 14TH STREET	1.00
	AVENUE S BET. E. 17TH STREET AND E. 18TH STREET	2.00
	AVENUE S BET. E. 19TH STREET AND OCEAN AVENUE	2.00

SUPPORT & PROTECTION

BED809 - REPLACEMENT OF WATER MAINS IN AVE. S

BETWEEN CONEY ISLAND AVE. AND E. 18TH ST., ETC

	INT. OF AVENUE S AND OCEAN AVENUE INT. OF AVENUE U AND E. 12TH STREET INT. OF AVENUE U AND HOMECREST AVENUE INT. OF AVENUE U AND 13TH STREET INT. OF AVENUE U AND E. 15TH STREET INT. OF AVENUE U AND E. CCEAN AVENUE INT. OF AVENUE U AND E. 17TH STREET INT. OF AVENUE U AND E. 18TH STREET INT. OF AVENUE U AND E. 18TH STREET AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	1.00 1.00 1.00 1.00 1.00 1.00 1.00 1.00
	Total quantity for JB 108.3 = 18.00	
JB 108.4	UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .4)	EA.
	At the following locations:	
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	3.00
	Total quantity for JB 108.4 $=$ 3.00	
JB 108.5	UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .5)	EA.
	At the following locations:	
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	3.00
-	Total quantity for $IB 108.5 = 3.00$	
JB 108.6	UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .6)	EA.
	At the following locations:	
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	3.00
	Total quantity for JB 108.6 = 3.00	
JB 109.1	UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .1)	EA.
	At the following locations:	
	AVENUE S BET. CONEY ISLAND AVENUE AND B. 12TH STREET	1.00
	INT. OF AVENUE S AND E. 12TH STREET AVENUE S BET. CONEY ISLAND AVENUE AND B. 12TH STREET	1.00 1.00
	AVENUE S BET. B. 12TH STREET AND B. 13TH STREET	3.00
	INT. OF AVENUE S AND E. 13TH STREET	1.00
	AVENUE S BET. E. 14TH STREET AND E. 15TH STREET AVENUE S BET. E. 15TH STREET AND E. 16TH STREET	1.00
	AVENUE S BET. E. 17TH STREET AND E. 18TH STREET	1.00 3.00
	AVENUE S BET. E. 19TH STREET AND OCEAN AVENUE	2.00
	INT. OF AVENUE S AND OCEAN AVENUE	3.00
	AVENUE U BET. E. 12TH STREET AND HOMECREST AVENUE	1.00
	AVENUE U BET. HOMECREST AVENUE AND 13TH STREET INT. OF AVENUE U AND 13TH STREET	1.00 1.00
	CONEY ISLAND AVENUE BET. AVENUE V AND GRAVESEND NECK ROAD	1.00
	INT. OF CONEY ISLAND AND GRAVESEND NECK ROAD	1.00
	INT. E. 17TH STREET AND GRAVESEND NECK ROAD	2.00
	GRAVESEND ROAD NECK BET, E. 18TH STREET AND E. 19TH STREET	2.00
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	8.00
	Total quantity for JB 109.1 = 34.00	

SUPPORT & PROTECTION

BED809 - REPLACEMENT OF WATER MAINS IN AVE. S BETWEEN CONEY ISLAND AVE. AND E. 18TH ST., ETC

JB 109.2	UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" DIAMETER (TYPE 2)	EA.
	At the following locations:	
	INT. OF AVENUE S AND OCEAN AVENUE INT. OF AVENUE U AND OCEAN AVENUE AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	1.00 1.00 3.00
	Total quantity for JB 109.2 = 5.00	
JB 109.3	UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .3)	EA.
	At the following locations:	
	INT. OF AVENUE S AND E. 13TH STREET INT. OF AVENUE S AND OCBAN AVENUE AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	1.00 1.00 2.00
	Total quantity for JB 109.3 = 4.00	
JB 109.4	UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" DIAMETER (TYPE A)	EA.
	At the following locations:	
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	3.00
	Total quantity for JB 109.4 = 3.00	
JB 109.5	UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .5)	EA.
	At the following locations:	•
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	3.00
	Total quantity for JB 109.5 = 3.00	
JB 109.6	UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .6)	EA.
	At the following locations:	
	AS ENCOUNTERED OR DIRECTED BY ECS FIELD REPRESENTATIVE	3.00
•	Total quantity for JB 109.6 = 3.00	
JB 300	SPECIAL CARE EXCAVATION & BACKFILLING	C.Y.
	AVENUE S BET. E. 12TH STREET AND E. 13TH STREET AVENUE S BET. E. 14TH STREET AND E. 15TH STREET AVENUE S BET. E. 16TH STREET AND E. 17TH STREET AVENUE S BET. E. 17TH STREET AND E. 18TH STREET AVENUE S BET. E. 19TH STREET AND OCEAN AVENUE AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	46.30 13.10 5.60 8.40 12.90 100.00
	Total quantity for JB 300 = 187.00	

SUPPORT & PROTECTION

BED809 - REPLACEMENT OF WATER MAINS IN AVE. S

BETWEEN CONEY ISLAND AVE, AND E. 18TH ST., ETC

JB 330T	SUPPORT & PROTECTION OF COMMUNICATION UTILITY FACILITIES DURING EXCAVATION OF CITY TRENCH WHEN FACILITIES LIE IN OR CLOSE PROXIMITY TO TRENCH LIMITS	L.F.
	At the following locations:	
	AVENUE S BET. B. 14TH STREET AND E. 15TH STREET AVENUE S BET. E. 16TH STREET AND E. 17TH STREET AVENUE S BET. E. 19TH STREET AND OCEAN AVENUE AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	225.00 145.00 234.00 300.00
	Total quantity for JB 330T = 904.00	
JB 330T2.1	COMMUNICATION FACILITY OPERATOR(S) REQUESTS TRENCH TO BE WIDENED	LF.
	At the following locations:	
•	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	100.00
	Total quantity for JB 330T2.1 = 100.00	
JB 330T2.2	COMMUNICATION FACILITY OPERATOR(S) REQUESTS TRENCH OR SHEETING BE MOD.	L.F.
	At the following locations:	
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	100.00
	Total quantity for JB 330T2.2 = 100.00	
JB 350T/TW	OVERHEAD ACCOMODATION PROTECTION OF OVERHEAD FACILITIES, POLES AND APPURTENANCES	L.S.
	At the following locations:	
	AS ENCOUNTERED AND DIRECTED BY THE VERIZON REPRESENTATIVE	1.00
JB 400	Total quantity for JB 350T/TW = 1.00 TEST PTIS	C.Y.
	At the following locations:	
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	20.00
	Total quantity for JB 400 = 20.00	
JB 401	TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITIES	C.Y.
	At the following locations:	
	INT. OF AVENUE S AND E. 12TH STREET INT. OF AVENUE S AND E. 13TH STREET AVENUE S BET. E. 17TH STREET AND E. 18TH STREET INT. OF AVENUE S AND OCEAN AVENUE INT. OF AVENUE U AND E. 12TH STREET INT. OF AVENUE U AND HOMECREST AVENUE INT. OF AVENUE U AND 13TH STREET INT. OF AVENUE U AND E. 15TH STREET E/S E. 13TH STREET S/O AVENUE U AVENUE U BET. 15TH STREET AND 16TH STREET INT. OF AVENUE U AND E. 17TH STREET	50.00 33.30 135.10 127.80 18.00 18.00 33.00 22.00 18.00 122.20 13.90

SUPPORT & PROTECTION

BED809 - REPLACEMENT OF WATER MAINS IN AVE. S

BETWEEN CONEY ISLAND AVE. AND E. 18TH ST., ETC

	INT. OF AVENUE U AND E. 18TH STREET INT. OF AVENUE U AND E. OCEAN AVENUE	26.70 33.00
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	100.00
	Total quantity for JB 401 = 751.00	
JB 401AT	SPECIAL CARE PAVEMENT EXCAVATION FOR ADJUSTMENT OF TELECOMMUNICATION UTILITY FACILITIES CONNECTED TO THE BASE PAVEMENT	C.Y.
	At the following locations:	
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	50.00
	Total quantity for JB 401AT = 50.00	
JB 402T.1	EXISTING OCCUPIED CONCRETE ENCASED NON-STEEL/IRON CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT At the following locations:	L.F.
•		200.00
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	200.00
	Total quantity for JB 402T.1 = 200.00	
JB 402T.1A	EXISTING OCCUPIED CONCRETE ENCASED NON-STEEL/IRON CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	L.F.
	At the following locations:	
	INT. OF AVENUE S AND E. 12TH STREET	120.00
	INT. OF AVENUE S AND E. 13TH STREET	150.00
	INT. OF AVENUE S AND OCEAN AVENUE	1600.00
	INT. OF AVENUE U AND E. 12TH STREET	120.00
	INT. OF AVENUE U AND HOMECREST AVENUE	180.00
	INT. OF AVENUE U AND 13TH STREET	180.00
	INT. OF AVENUE U AND E. 15TH STREET	180.00
	INT. OF AVENUE U AND E. OCEAN AVENUE INT. OF AVENUE U AND E. 17TH STREET	180.00 75.00
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	200.00
	Total quantity for JB 402T.1A = 2985.00	
JB 402T.VLA	EXISTING VACANT CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT.	L.F.
	At the following locations:	
	INT. OF AVENUE S AND E. 12TH STREET	60.00
	INT. OF AVENUE S AND E. 13TH STREET	30.00
•	INT. OF AVENUE S AND OCEAN AVENUE	320.00
	INT. OF AVENUE U AND E. 12TH STREET	60.00
	INT. OF AVENUE U AND HOMECREST AVENUE	30.00
	INT. OF AVENUE U AND 13TH STREET	30.00
	INT. OF AVENUE U AND E. 15TH STREET	30.00
	INT. OF AVENUE U AND E. OCEAN AVENUE	60.00
	INT. OF AVENUE U AND E. 17TH STREET	15.00
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	200.00
	Total quantity for JB 402T.V1A = 835.00	

SUPPORT & PROTECTION

BED809 - REPLACEMENT OF WATER MAINS IN AVE. S

BETWEEN CONEY ISLAND AVE. AND E. 18TH ST., ETC

JB 402T.2	EXISTING OCCUPIED NON-CONCRETE ENCASED NON-STEEL/IRON CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT	LF
	At the following locations:	
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	200.00
	Total quantity for IB 402T.2 = 200.00	
JB 402T.2A	EXISTING OCCUPIED NON-CONCRETE ENCASED NON-STEEL/IRON CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT	LF
	At the following locations:	
	INT. OF AVENUE S AND E. 12TH STREET AVENUE S BET. E. 17TH STREET AND E. 18TH STREET INT. OF AVENUE S AND OCEAN AVENUE INT. OF AVENUE U AND E. 12TH STREET INT. OF AVENUE U AND E. 12TH STREET	210.00 1140.00 690.00 120.00 60.00
	INT. OF AVENUE U AND 13TH STREET INT. OF AVENUE U AND E. 17TH STREET	120.00 75.00
	E/S E. 13TH STREET S/O AVENUE U AVENUE U BET. 15TH STREET AND 16TH STREET INT. OF AVENUE U AND E. 18TH STREET INT. OF AVENUE U AND E. OCEAN AVENUE	54.00 825.00 360.00 120.00
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	1500.00
	Total quantity for JB 402T.2A = 5274.00	
JB 402T.V2A	EXISTING VACANT NON-CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT.	LF
	At the following locations:	
JB 403T.2 JB 405.1	INT. OF AVENUE S AND E. 12TH STREET AVENUE S BET. E. 14TH STREET AND E. 15TH STREET AVENUE S BET. E. 15TH STREET AND E. 18TH STREET AVENUE S BET. E. 17TH STREET AND E. 18TH STREET INT. OF AVENUE S AND OCEAN AVENUE INT. OF AVENUE U AND 12TH STREET INT. OF AVENUE U AND 13TH STREET AVENUE U BET. 15TH STREET AND 16TH STREET INT. OF AVENUE U AND E. 17TH STREET INT. OF AVENUE U AND E. 18TH STREET INT. OF AVENUE U AND E. 18TH STREET INT. OF AVENUE U AND E. OCEAN AVENUE AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE Total quantity for JB 402T.V2A = 1707.00 PLACING STEEL PROTECTION PLATES FOR UTILITIES FACILITIES At the following locations: AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE Total quantity for JB 403T.2 = 200.00 TRENCH EXCAVATION FOR INSTALLATION OF UTILITY FACILITIES.	60.00 228.00 111.00 228.00 150.00 60.00 165.00 15.00 90.00 60.00 480.00 S.F.
	At the following locations:	
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	90.00
	Total quantity for IB 405.1 = 90.00	

SUPPORT & PROTECTION

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					C.Y.	
JB 405.2	TRENCH EXCAVATION FOR INSTALLATION OF UTILITY FACILITIES.					
	At the following locations:					
	AS ENCOUNTERED OR	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE				
	Total quantity for	JB 405.2	=	115.00		
JB 500	RMVL. OF ABNDND MASONRY FO	L.F.				
	At the following locations:					
	INT. OF AVENUE S AND E. 12TH STREET					
		N/S AVENUE S E/O E. 12TH STREET				
		AVENUE S BET. E. 12TH STREET AND E. 13TH STREET N/S AVENUE S E/O E. 13TH STREET AVENUE S BET. E. 13TH STREET AND E. 14TH STREET				
	•					
	INT. OF AVENUE S AND				8.00 5.00	
		INT. OF AVENUE S AND E. 15TH STREET				
	AVENUE S BET. E. 15T	156.00				
	INT. OF AVENUE S AND	20.00				
	AVENUE S BET. B. 16TF	23.00 5.00				
	AVENUE S BET. E. 17TF AVENUE S BET. E. 18TF	8.00				
	AVENUE S BET. E. 19TH				139.00	
	INT. OF AVENUE S AND OCEAN AVENUE				32.00	
	INT. OF AVENUE T ANI	5.00 8.00				
		AVENUE U BET. CONEY ISLAND AVENUE AND E. 12TH STREET				
	INT. OF AVENUE U AND E. 12TH STREET				48.00 48.00	
		INT. OF AVENUE U AND OCEAN AVENUE INT. AVENUE U AND HOMECREST AVENUE INT. OF AVENUE U AND 13TH STREET INT. OF AVENUE U AND E. 15TH STREET AVENUE U BET. E. 16TH STREET AND E. 17TH STREET AVENUE U BET. E. 17TH STREET AND E. 18TH STREET INT. OF AVENUE U AND 13TH STREET INT. OF OCEAN AVENUE AND AVENUE V				
	AVENUE U BET. E. 16T					
	,	E. 17TH STREET BET. AVENUE T AND AVENUE U E. 13TH STREET BET. AVENUE T AND AVENUE U				
		INT. OF CONEY ISLAND AVENUE AND AVENUE V				
		CONEY ISLAND AVENUE BET. AVENUE V AND GRAVESEND AVENUE				
	AS ENCOUNTERED OR	DIRECTED BY	VERIZON	FIELD REPRESENTATIVE	300.00	
	Total quantity for	JB 500	*	729.00		
JB 501.0	REMOVAL OF ABANDONED MASONRY FOR ELECTRIC AND TEL. FACILITIES					
	At the following locations:					
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE					
	Total quantity for	JB 501.0	#	20.00		
JB 603T.2	INSTALL 2-EA. 2", 4" OR 1-1/4" QU	L.F.				
	At the following locations:					
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE					
	Total quantity for	JB 603T.2	=	200.00		

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BED809 - REPLACEMENT OF WATER MAINS IN AVE. S BETWEEN CONEY ISLAND AVE. AND E. 18TH ST., ETC

JB 603T.4	INSTALL 6-EA. 2", 4" OR 1-1/4" QUAD CONDUIT (PVC OR STEEL) IN ANY COMBINATION	L.F.			
	At the following locations:				
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE				
	Total quantity for JB 603T.4 = 200.00				
JB 603T.6	INSTALL 12-EA. 2", 4" OR 1-1/4" QUAD CONDUIT (PVC OR STEEL) IN ANY COMBINATION	L.F.			
	At the following locations:				
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	200.00			
	Total quantity for IB 603T.6 = 200.00				
JB 636 EE	ADJUSTMENT OF UTILITY HARWARE (34" TO UNDER 41" WIDTH)				
	At the following locations:				
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE				
	Total quantity for JB 636 EE = 3.00				
JB 636 ME	MODIFICATION OF METHODS TO ACCOMMODATE UTILITY STREET HARDWARE DURING PAVEMENT MILLING AND RESURFACING OPERATIONS (34" TO UNDER 41" WIDTH)				
	At the following locations:				
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	10.00			
	Total quantity for JB 636 ME = 10.00				
JB 636 R	REPAIR TO UTILITY STRUCTURES	C.Y.			
	At the following locations:				
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	10.00			
	Total quantity for JB 636 R = 10.00				
JB 638 N	INSTALLATION OF FIELD CONSTRUCTED UTILITY STRUCTURES				
	At the following locations:				
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	10.00			
	Total quantity for JB 638 N = 10.00				
JB 638 R	BREAK OUT AND REMOVE UTILITY STRUCTURE				
	At the following locations:				
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	10.00			
	Total quantity for JB 638 R = 10.00				
JB 700.0	SPECIAL MOD. OF WORK MTHDS TO ACCOM/PROTECT U.G. FACIL. W. LMTD CVR.	C.Y.			
	At the following locations:				
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE	300.00			
	Total quantity for JB 700.0 = 300.00				

VERIZON JOINT BIDDING SCOPE OF WORK

SUPPORT & PROTECTION

BED809 - REPLACEMENT OF WATER MAINS IN AVE. S BETWEEN CONEY ISLAND AVE. AND E. 18TH ST. ETC

BOROUGH OF BROOKLYN

JB 710.1	REM. OF ABND, UTILITY STEEL	of abnd, utility steel/cast iron pipe, up to & incl. 12" diam. pipes			L.F.
	At the following locations	3 :			
	AS ENCOUNTERED O	R DIRECTED BY	VERIZON F	TELD REPRESENTATIVE	100.00
•	Total quantity for	JB 710.1	=	100.00	
JB 711	USE SHEETING LINE AS FORM				L.F.
	At the following location:	s:			
	AS ENCOUNTERED O	R DIRECTED BY	VERIZON I	TELD REPRESENTATIVE	200.00
	Total quantity for	JB 711	=	200.00	
JB 798	UTILITY FACILITIES		OLLEY STR	UCTURES REMOVAL WHEN CROSSING	L.F.
	At the following location	\$:			
	AS ENCOUNTERED O	R DIRECTED BY	VERIZON I	TELD REPRESENTATIVE	200.00
	Total quantity for	JB 798	=	200.00	
JB 799	MODIFICATION OF NON CONCI	RETE TROLLEY	STRUCTU	RES REMOVAL PARALLEL TO UTILITY	L.F.
	At the following location	s:			
	AS ENCOUNTERED O	R DIRECTED BY	VERIZON I	TELD REPRESENTATIVE	200.00
	Total quantity for	JB 799	=	200.00	
JB 800	MODIFICATION OF CONCRETE	YOKE TROLLE	Y STRUCT	URES REMOVAL WHEN CROSSING UTILITY	L.F.
	At the following location	s:		•	
	AS ENCOUNTERED O	R DIRECTED BY	VERIZON I	TELD REPRESENTATIVE	200.00
	Total quantity for	JB 800	=	200.00	
JB 801	MODIFICATION OF CONCRETE	YOKE TROLLE	y struct	URE REMOVAL PARALLEL TO UTILITY FAC	L.F.
	At the following location	s:			
	AS ENCOUNTERED O	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD REPRESENTATIVE			200.00
	Total quantity for	JB 801	· =	200.00	

YERIZON JOINT BIDDING SCOPE OF WORK

SUPPORT & PROTECTION

BED809 - REPLACEMENT OF WATER MAINS IN AVE. S BETWEEN CONEY ISLAND AVE. AND E. 18TH ST., ETC

BOROUGH OF BROOKLYN

36 at	LINE CUT BY PREUMATIC TOOLS IN LIEU OF SAW CUT ASSOCIATIONS	IED WITH ROADWAY REMOVAL L.F.		
	At the following locations:			
	AS ENCOUNTERED OR DIRECTED BY VERIZON FIELD R	EPRESENTATIVE 200.00		
	Total quantity for JB 803 = 200.00			
JB 9 0 0	EXTRA UTILITY WORK ALLOWANCE	F.S.		
	At the following locations:			
	AS ENCOUNTERED AND DIRECTED BY THE VERIZON REPRESENTATIVE			
	Total quantity for IB 900 = 1 00			

CABLEVISION

JOINT BID WORKSHEET

CONTRACT NO. BED809- WATER MAINS REPLACEMENT IN AVE S BTWN CONEY ISLAND AVE AND E 18TH ST, ETC.

ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE BOROUGH OF BROOKLYN

JB ITEM	UNITS	TOTAL	DESCRIPTION
JB 108.1	EACH	9	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .1)
JB 109.1	EACH	1	UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .1)
JB 350	LS	1	OVERHEAD ACCOMMODATION PROTECTION OF OVERHEAD FACILITIES, POLES AND APPURTENANCES
JB 401	CY	30	TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITY FACILITIES
JB 401.AT	CY	10	SPECIAL CARE PAVEMENT EXCAVATION FOR ADJUSTMENT OF UTILITY FACILITIES CONNECTED TO THE BASE PAVEMENT
JB 402T.2A	LF	90	EXISTING NON-CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT
JB 700	ĊY	20	SPECIAL MODIFICATION OF WORK METHODS TO ACCOMMODATE PROTECT UNDERGROUND FACILITIES WITH LIMITED COVER

CABLEVISION

JOINT BID WORKSHEET

CONTRACT NO. BED809- WATER MAINS REPLACEMENT IN AVE S BTWN

CONEY ISLAND AVE AND E 18TH ST, ETC.

ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE BOROUGH OF BROOKLYN

JB 108.1

UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .1)

12" DIAMETER (TYPE .1)		
@ THE FOLLOWING LOCATIONS		
AS ENCOUNTERED & DIRECTED BY THE CABLEVISION FIELD REPRESENTATIVE		QTY(EA) 9
JB 108.1	TOTAL	9
JB 109.1 UTILITIES CROSSING TRENCH FOR WATER MAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .1)		
@ THE FOLLOWING LOCATIONS		
AS ENCOUNTERED & DIRECTED BY THE CABLEVISION FIELD REPRESENTATIVE		QTY(EA)
JB 109.1	TOTAL	1
OVERHEAD ACCOMMODATION PROTECTION OF OVERHEAD FACILITIES, POLES AND APPURTENANCES @ THE FOLLOWING LOCATIONS AS ENCOUNTERED & DIRECTED BY THE CABLEVISION FIELD REPRESENTATIVE		QTY(LS)
JB 350	TOTAL	1
JB 401 TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITY FACILITIES @ THE FOLLOWING LOCATIONS AS ENCOUNTERED & DIRECTED BY THE CABLEVISION FIELD REPRESENTATIVE		QTY(CY)
JB 401	TOTAL	30
JB 401.AT SPECIAL CARE PAVEMENT EXCAVATION FOR ADJUSTMENT OF UTILITY FACILITIES CONNECTED TO THE BASE PAVEMENT AS ENCOUNTERED & DIRECTED BY THE CABLEVISION FIELD REPRESENTATIVE		QTY(CY)
JB 401.AT	TOTAL	10

CABLEVISION

JOINT BID WORKSHEET

CONTRACT NO. BED809- WATER MAINS REPLACEMENT IN AVE S BTWN

CONEY ISLAND AVE AND E 18TH ST, ETC.

ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE BOROUGH OF BROOKLYN

JB 402T.2A

EXISTING NON-CONCRETE ENCASED TELECOMMUNICATION CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT

@ THE FOLLOWING LOCATIONS

AS ENCOUNTERED & DIRECTED BY THE CABLEVISION FIELD REPRESENTATIVE

90

JB 402T.2A

TOTAL

90

SPECIAL MODIFICATION OF WORK METHODS TO ACCOMMODATE/
PROTECT UNDERGROUND FACILITIES WITH LIMITED COVER

@ THE FOLLOWING LOCATIONS

AS ENCOUNTERED & DIRECTED BY THE CABLEVISION FIELD REPRESENTATIVE

QTY(CY)

20

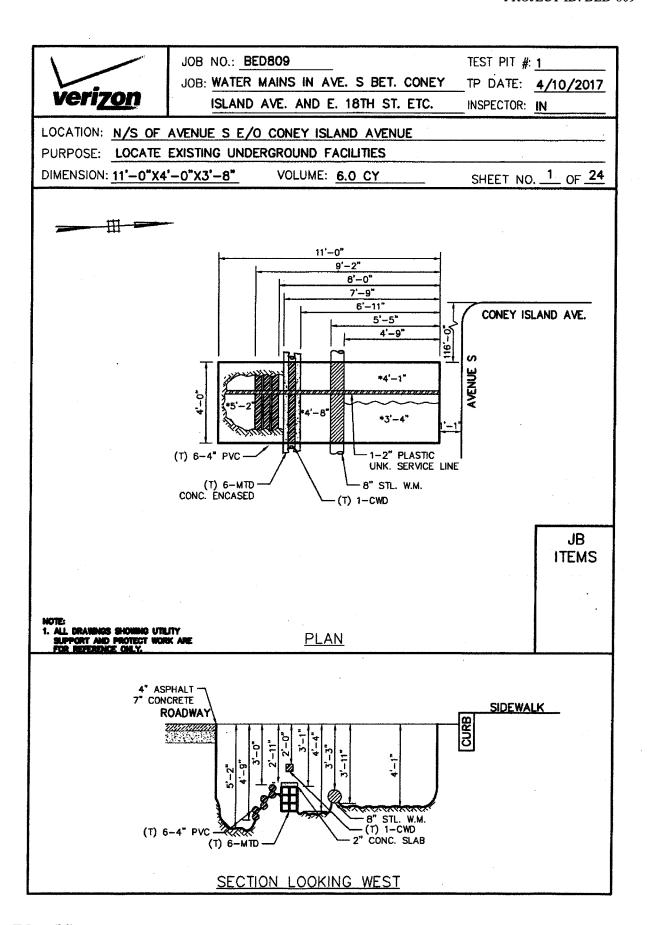
JB 700

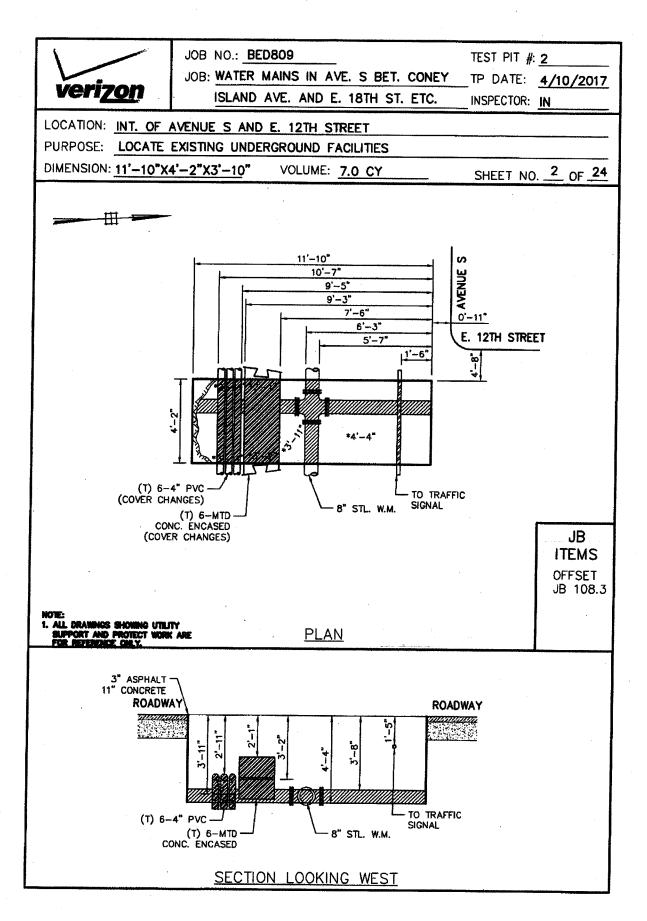
TOTAL 20

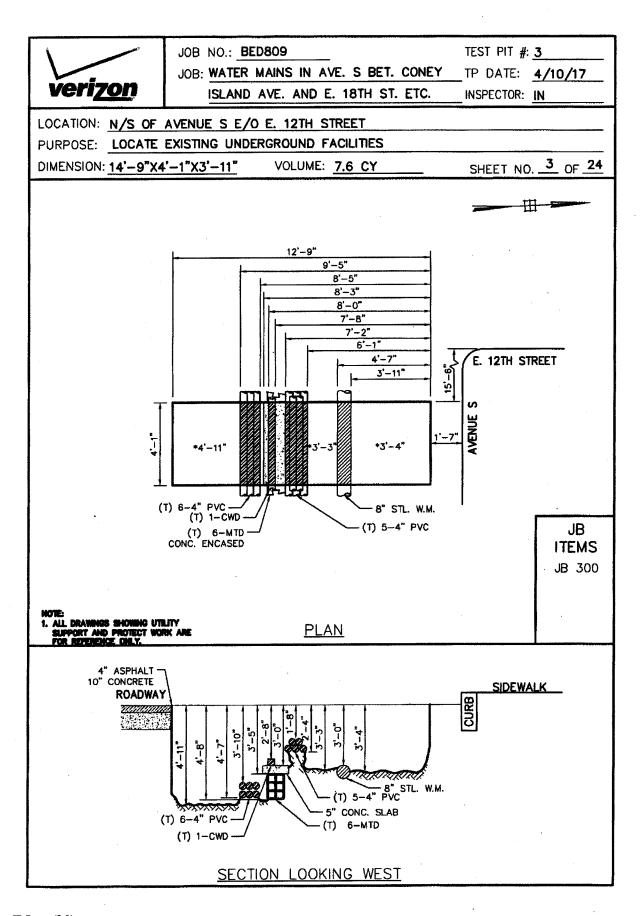
TEST PITS

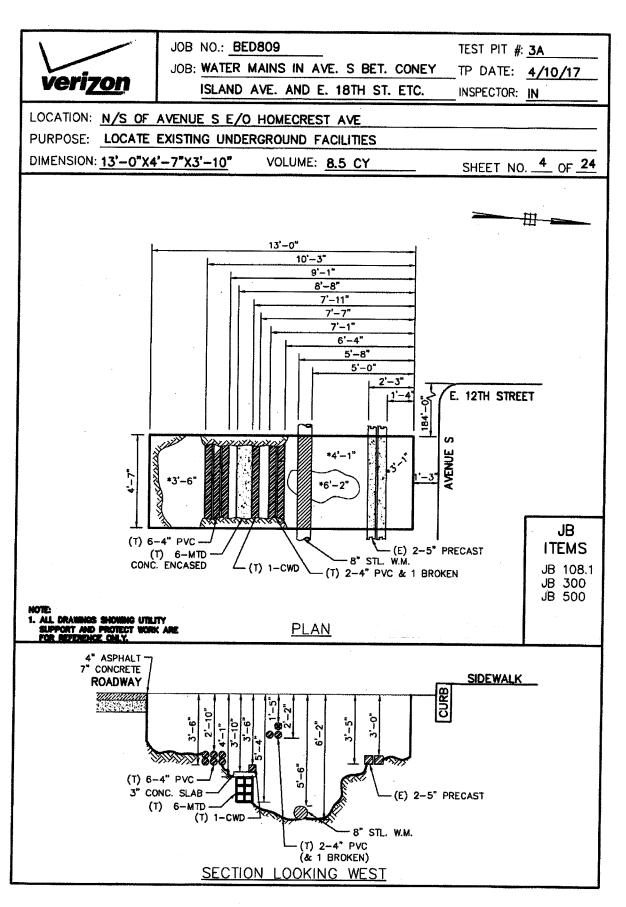
- (1) THESE TEST PITS DETAIL EXISTING CONDITIONS (AS OF BID DATE) OF UTILITIES AND OTHER SUBSURFACE FACILITIES AT LOCATIONS AS SHOWN ON THE TEST PIT LOCATIONS PLAN OF THE CONTRACT DRAWINGS.
- (2) DEPTHS OF FACILITIES ARE FROM EXISTING ROADWAY AND SIDEWALK ELEVATIONS AS SHOWN, OFFSETS ARE FROM EXISTING CURB, PROPERTY AND BUILDING LINES, AS SHOWN.
- (3) RELEVANT ITEMS ARE NOTED ON EACH TEST PIT DIAGRAM.

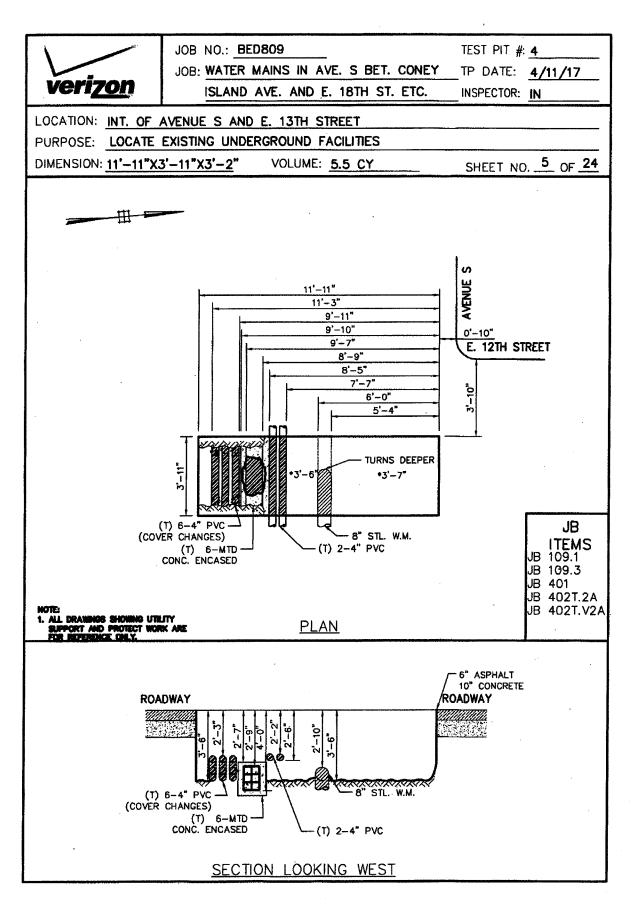
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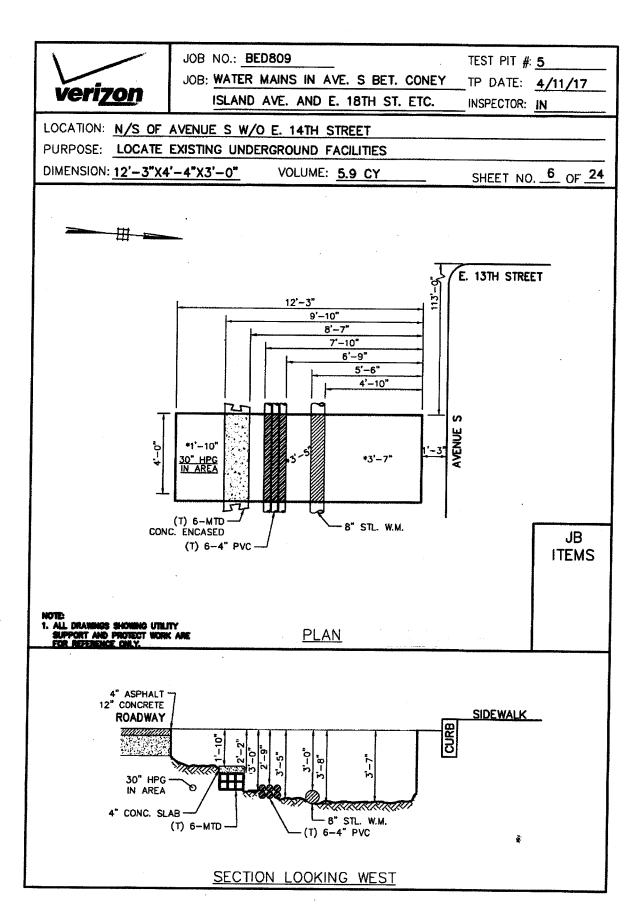


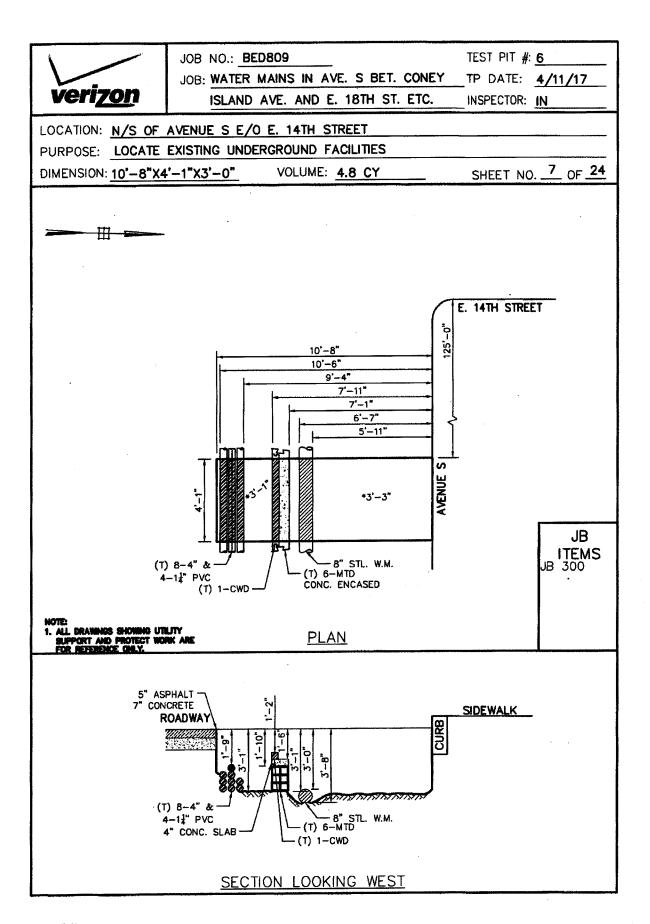


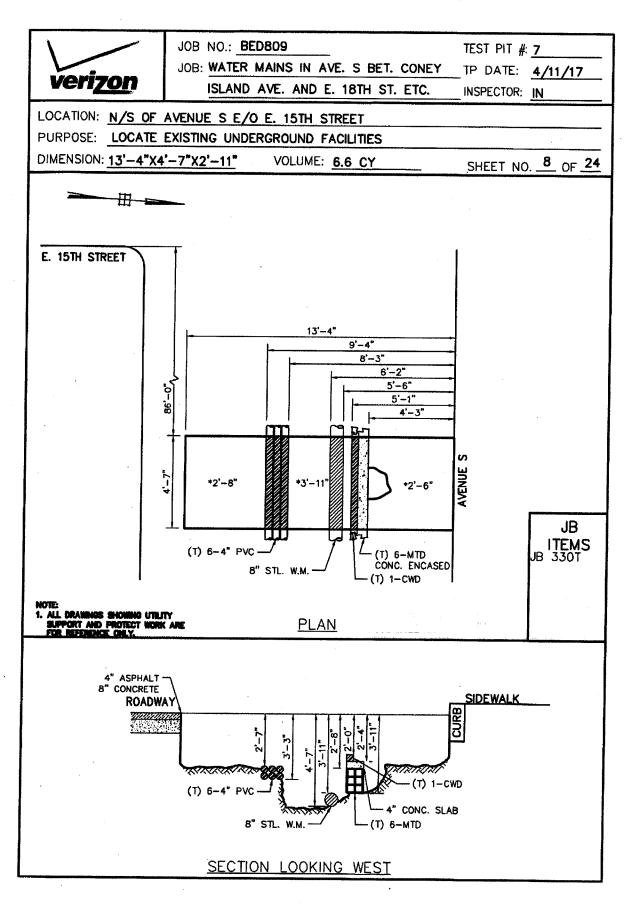


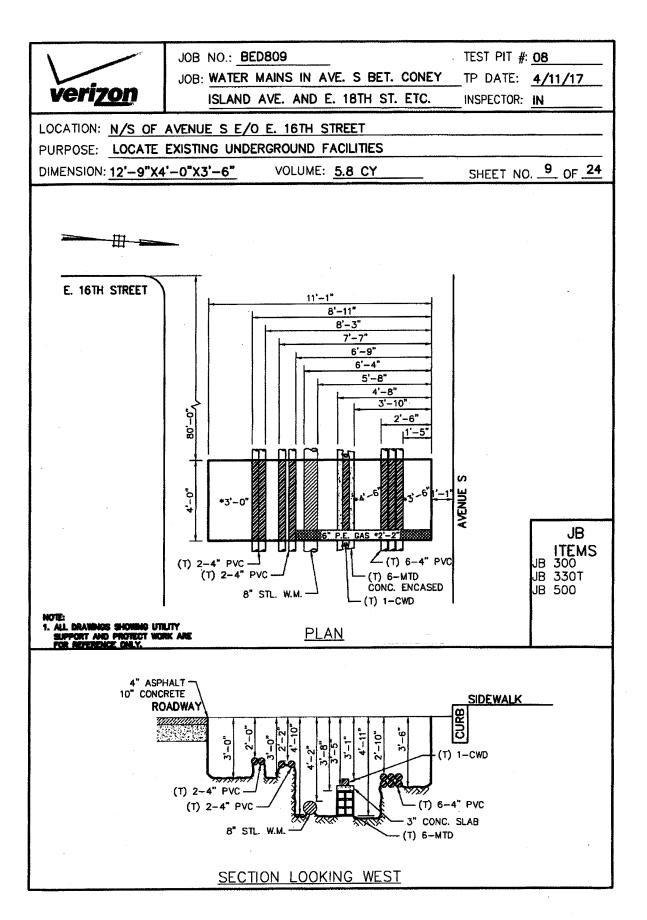


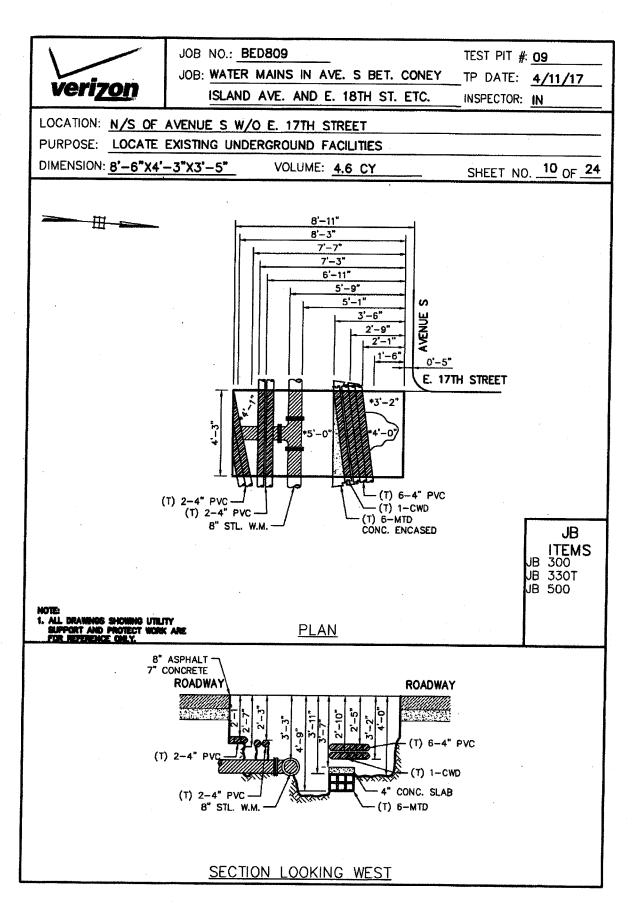


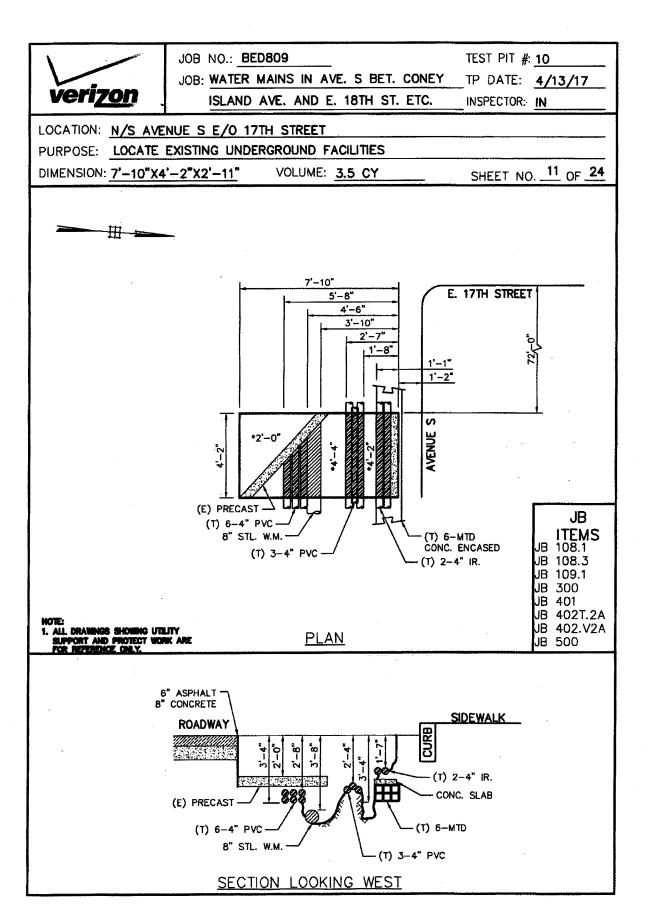


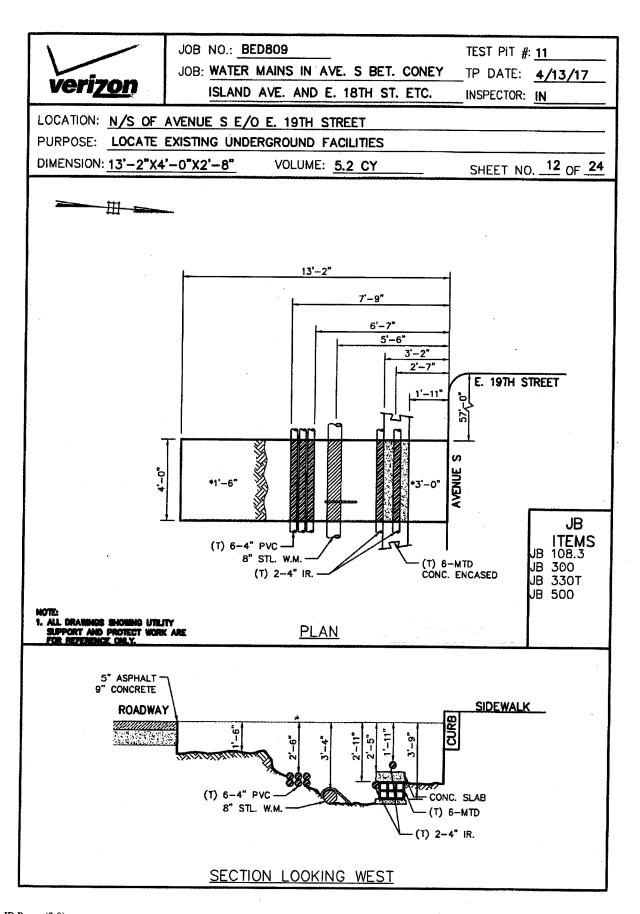


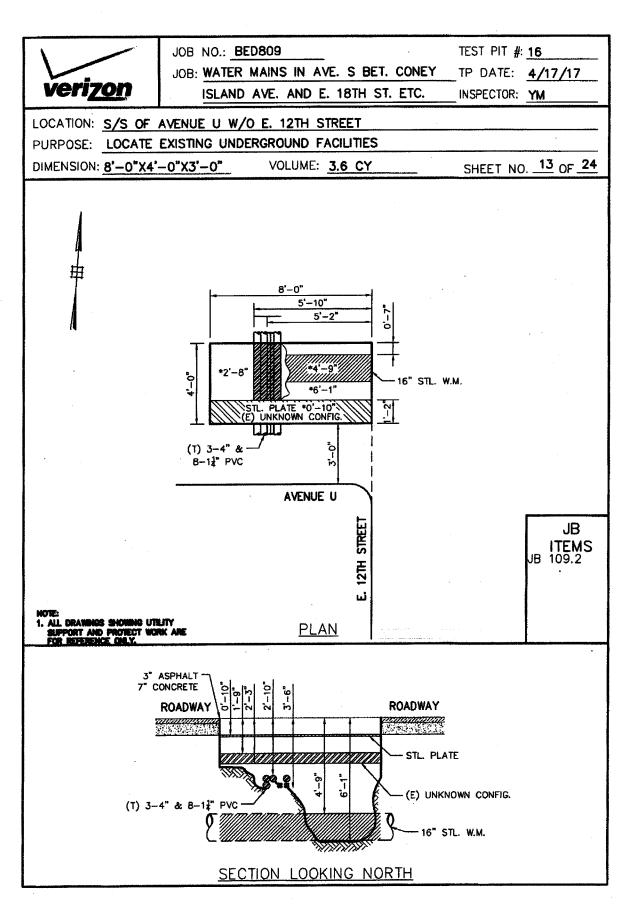


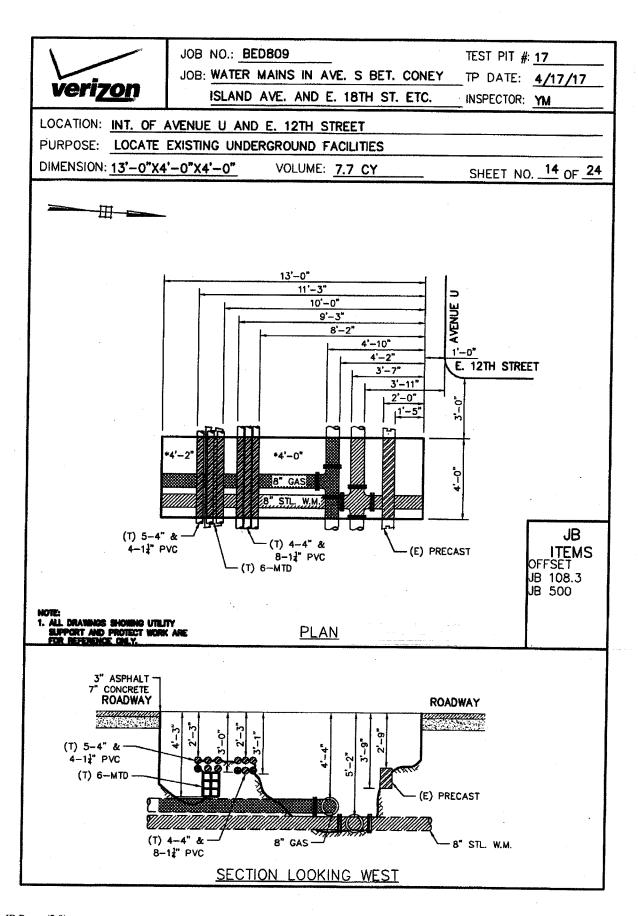


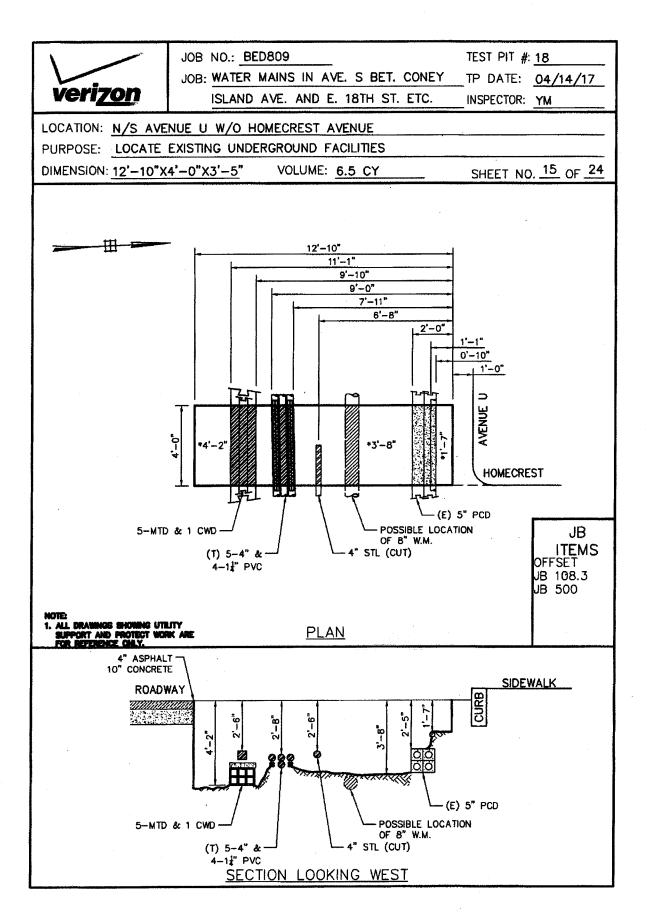


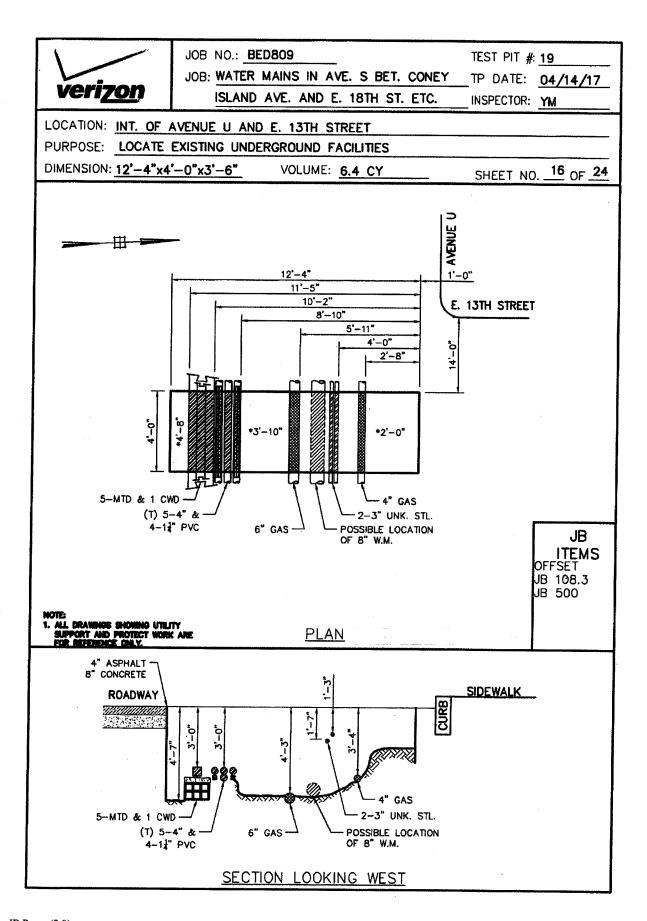


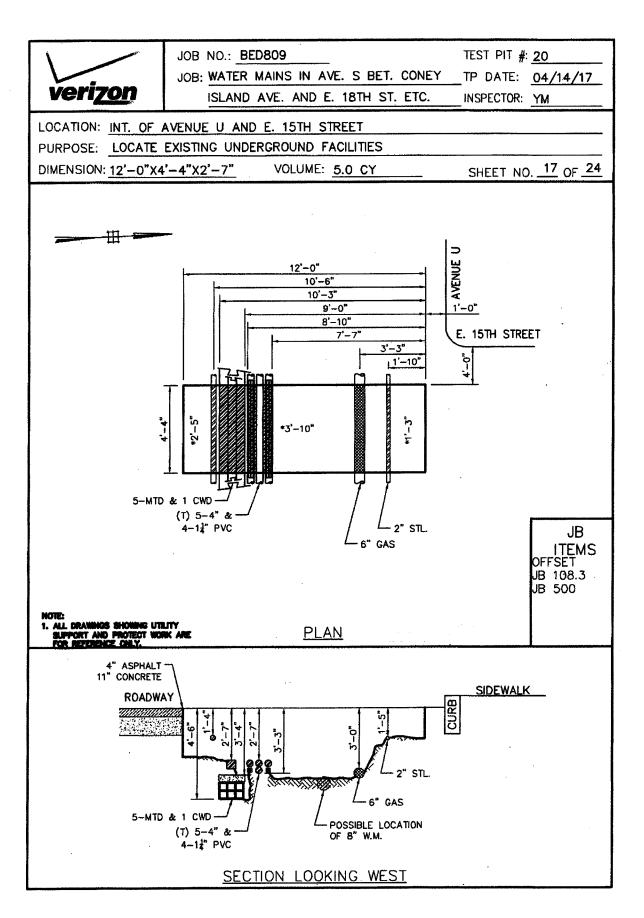


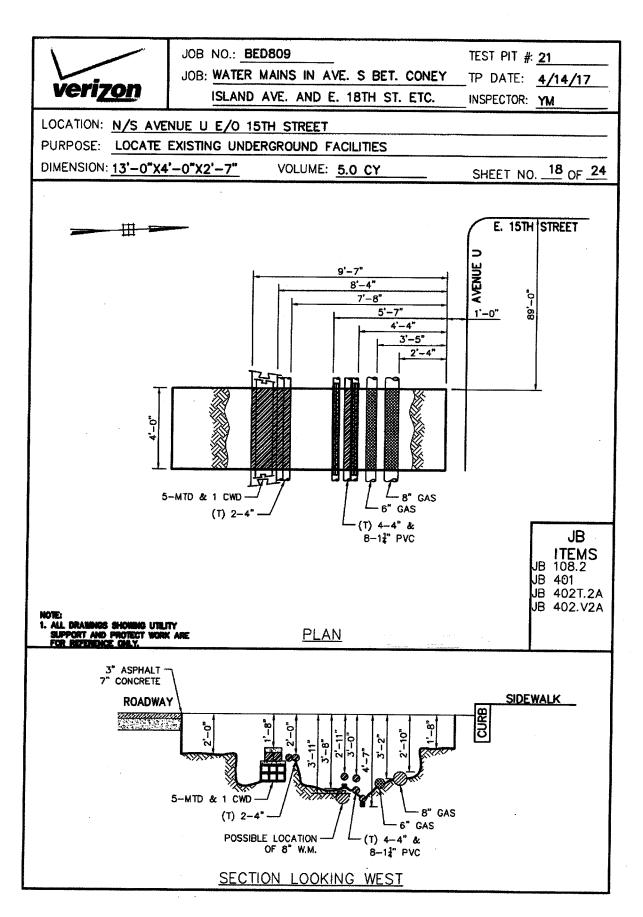


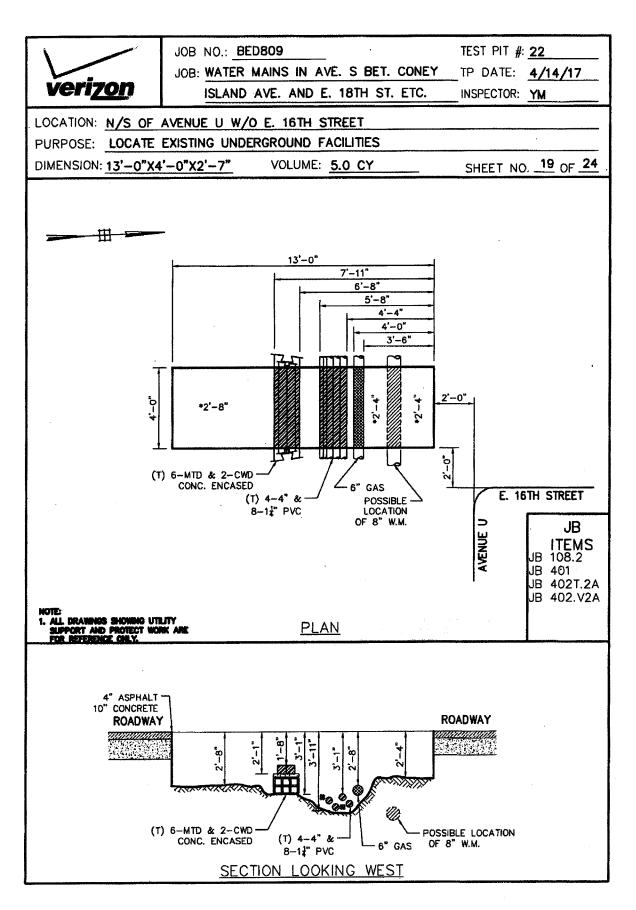


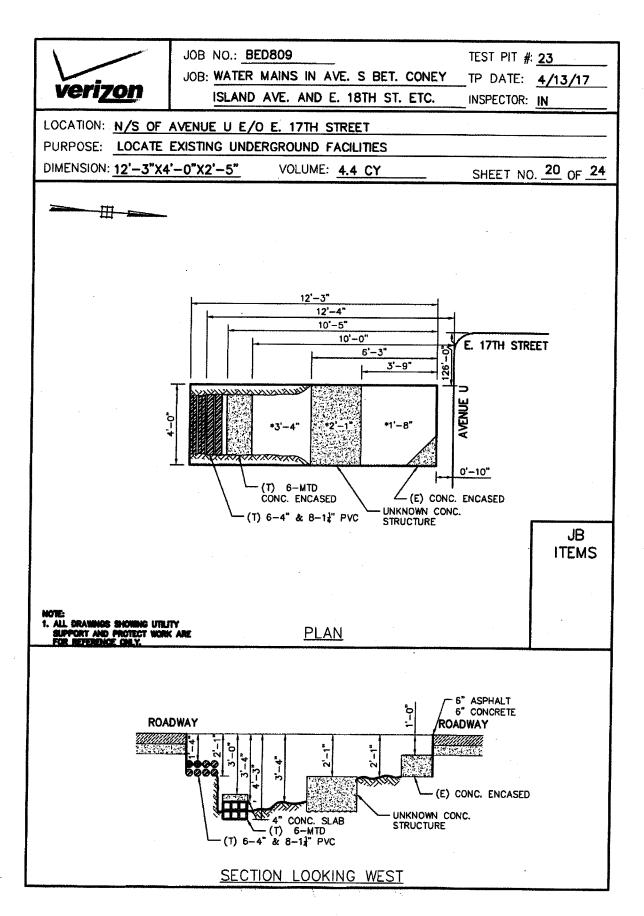


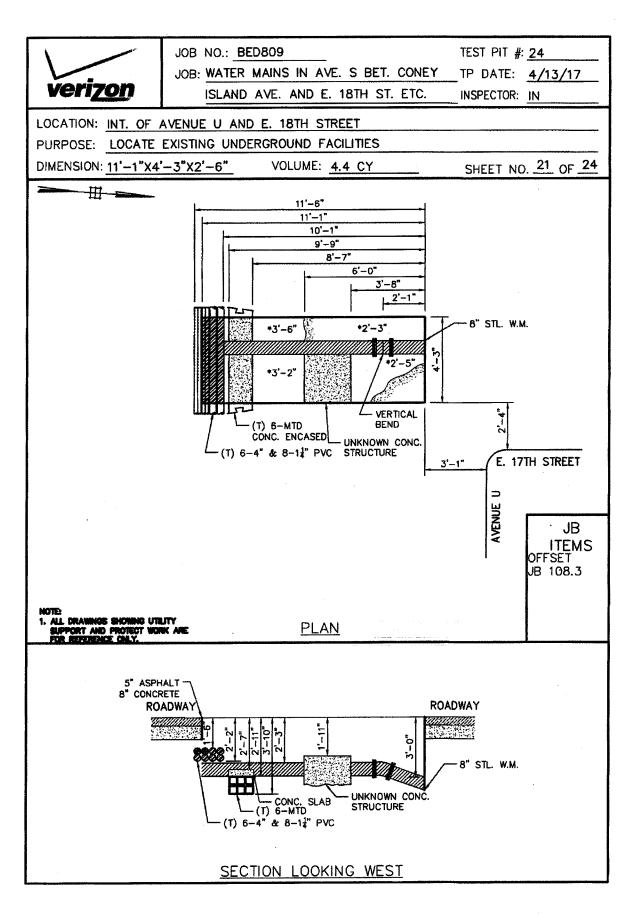


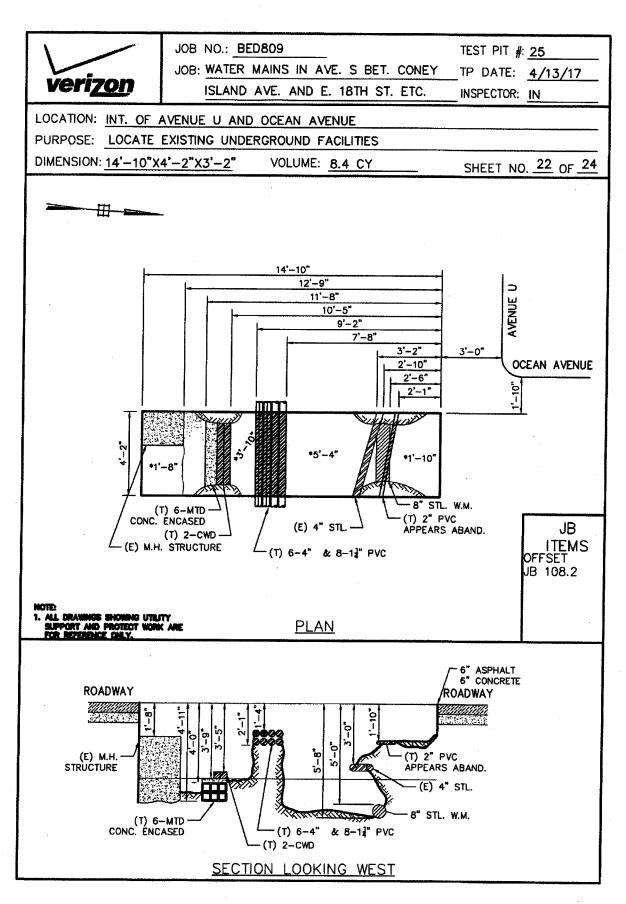


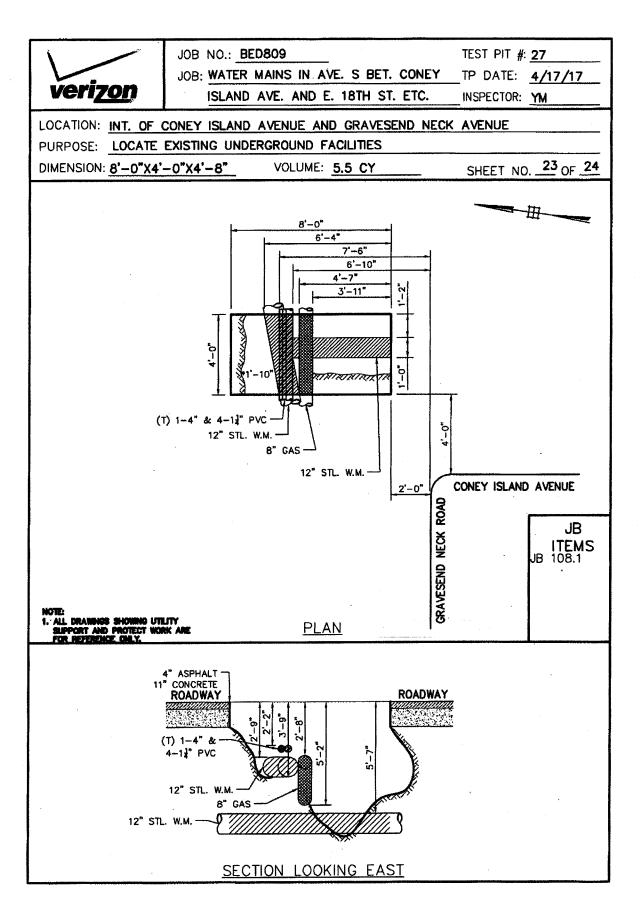


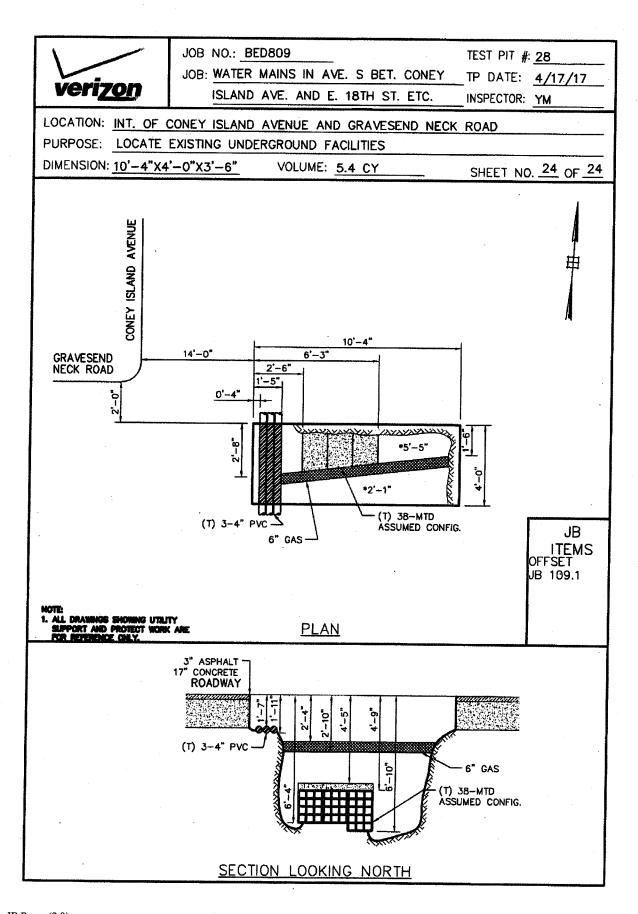












END OF JB-PAGES

THE JB-PAGES CONSIST OF ONE HUNDRED AND FIVE (105) PAGES AND THIRTY (31) SHEETS OF PRIVATE UTILITY DRAWINGS ARE ATTACHED TO THE CONTRACT PLANS





INFRASTRUCTURE DIVISION BUREAU OF DESIGN

VOLUME 3 OF 3

PROJECT ID: BED809

CAPITAL PROJECT WM-1

FOR THE REPLACEMENT OF WATER MAINS IN AVENUE S BETWEEN CONEY ISLAND AVENUE AND E. 18TH STREET

Together With All Work Incidental Thereto BOROUGH OF BROOKLYN CITY OF NEW YORK

	Contractor
Dated	